

Effectiveness of community-based marine reserves in small-scale fisheries

Juan Carlos Villaseñor-Derbez^{1,2,*}, Eréndira Aceves-Bueno^{1,3}, Álvin Suarez²,
Stuart Fulton², Arturo Hernández-Velasco², Jorge Torre², Fiorenza Micheli⁴

¹*Bren School of Environmental Science and Management, University of California, Santa Barbara, Santa Barbara, CA, USA*

²*Comunidad y Biodiversidad A.C., Guaymas, Sonora, Mexico*

³*Nicholas School of the Environment, Duke University, Beaufort, NC, USA*

⁴*Hopkins Marine Station and Center for Ocean Solutions, Stanford University, Pacific Grove, CA, USA*

Correspondence*:

Juan Carlos Villaseñor-Derbez, Bren Hall, University of California, Santa Barbara, Santa Barbara, CA, 93106

juancarlos@ucsb.edu

2 ABSTRACT

3 Coastal marine ecosystems provide livelihoods for small-scale fishers and coastal communities
4 around the world. Artisanal fisheries face great challenges since they are difficult to monitor,
5 enforce, and manage. Combining territorial user rights for fisheries (TURF) with no-take marine
6 reserves to create TURF-reserves is believed to improve the performance of small-scale fisheries
7 by buffering fisheries from environmental variability and management errors, while ensuring that
8 fishers reap the benefits of conservation investments. In the last six years, 18 TURF-reserves
9 have been implemented in Mexico; their effectiveness has not been formally evaluated. We
10 combine causal inference techniques and the Social-Ecological Systems framework to provide a
11 holistic evaluation of community-based TURF-reserves in three coastal communities in Mexico.
12 We find that while reserves have not yet achieved their stated goal of increasing lobster densities,
13 they continue to receive significant support from the fishing communities. A lack of ecological and
14 socioeconomic effects likely results from a combination of factors. First, the lobster fisheries are
15 already well managed, and it is unlikely that reserves might have a detectable effect. Second,
16 some of the reserves are not large enough to protect lobsters' home ranges. Third, some of
17 these reserves might be too young for the effects to show. However, these reserves have shaped
18 small-scale fishers' way of thinking about marine conservation, which can provide a foundation
19 for establishing additional, larger marine reserves needed to effectively conserve mobile species.

20 **Keywords:** TURF-reserves, Causal Inference, Social-Ecological Systems, Marine Protected Areas, Marine Conservation, Small-Scale
21 Fisheries

1 INTRODUCTION

Marine ecosystems around the world sustain significant impacts due to overfishing and unsustainable fishing practices (Halpern et al., 2008; Worm et al., 2006; Pauly et al., 2005). In particular, artisanal fisheries face great challenges since they tend to be hard to monitor and enforce (Costello et al., 2012). Recent research shows that combining Territorial Use Rights for Fisheries (TURFs) with no-take marine reserves (MRs) can greatly improve the performance of coastal fisheries and the health of the local resources (Costello and Kaffine, 2010; Lester et al., 2017). Commonly known as TURF-Reserves, these systems increase the benefits of spatial access rights allowing the maintenance of healthy resources (Afflerbach et al., 2014; Lester et al., 2017). Although in theory these systems are successful (Costello and Kaffine, 2010; Smallhorn-West et al., 2018), there is little empirical evidence of their effectiveness and the drivers of their success (Afflerbach et al., 2014; Lester et al., 2017).

The performance of these systems depends on how environmental and social factors combine and interact. The science of marine reserves has largely focused on understanding the ecological effects of these areas, which include increased biomass, species richness, and densities of organisms within the protected regions, climate change mitigation, and protection from environmental variability (Lester et al., 2009; Giakoumi et al., 2017; Sala and Giakoumi, 2017; Roberts et al., 2017; Micheli et al., 2012). Modelling studies show that fishery benefits of marine reserves depend on initial stock status and the management under which the fishery operates, as well as reserve size and the amount of larvae exported from these (Hilborn et al., 2006; Krueck et al., 2017; De Leo and Micheli, 2015). Other research has focused on the relationship between socioeconomic and governance structures and reserve effectiveness (Halpern et al., 2013; López-Angarita et al., 2014; Mascia et al., 2017). However, to our knowledge, no studies exist that evaluate TURF-reserves from both a social and ecological perspective. This is especially important in social-ecological coastal systems dominated by close interaction and feedbacks between people and natural resources (Ostrom, 2009).

TURF-reserves can be created as community-based marine reserves, voluntarily established and enforced by local communities. This bottom-up approach increases compliance and self-enforcement, and reserves can yield benefits similar to systematically-designed reserves (Gelcich and Donlan, 2015; Espinosa-Romero et al., 2014; Beger et al., 2004; Smallhorn-West et al., 2018). Community-based spatial closures occur in different contexts, like the *kapu* or *ra'ui* areas in the Pacific Islands (Bohnsack et al., 2004; Johannes, 2002). However, MRs are difficult to enforce if they are not legally recognized, and fishers rely on the exclusive access granted by the TURF. In an effort to bridge this normative gap, Mexican Civil Society Organizations (CSOs) served as a link between fishers and government, and created a legal framework that solves this governance issue. In Mexico, a new norm was created in 2014 allowing fishers to request the legal recognition of community-based reserves as “Fish Refuges” (*Zona de Refugio Pesquero*; NOM-049-SAG/PESC (2014)). Fish refuges can be implemented as temporal or partial reserves, which can protect one, some, or all resources within their boundaries. Since 2012, 45 Fish Refuges have been created along the Pacific, Gulf of California, and Mexican Caribbean coastlines, with 18 of them implemented as TURF-reserves. However, their effectiveness has not yet been formally evaluated and reported in the scientific literature.

Here, we combine causal inference techniques and the Social-Ecological Systems (SES) framework to provide a holistic evaluation of community-based TURF-reserves in three coastal communities in Mexico. These three case studies span a range of ecological and social conditions representative of different regions of Mexico. The objective of this work is twofold. First, to provide a triple bottom line evaluation of the effectiveness of community-based marine reserves, which may inform similar processes in other countries.

65 Second, to evaluate the effectiveness of TURF-reserves established as Fish Refuges in Mexico to identify
66 opportunities where improvement or adjustment might lead to increased effectiveness. We draw from
67 lessons learned in these three case studies and provide management recommendations to maximize the
68 effectiveness of community-based marine reserves in small-scale fisheries in Mexico and in other regions
69 around the world that use this tool to manage and rebuild their coastal fisheries.

2 METHODS

70 2.1 Study area

71 We evaluate three TURF-reserves in Mexico (Fig 1A). The first one was created by the *Buzos y Pescadores*
72 *de la Baja California* fishing cooperative, located in Isla Natividad in the Baja California Peninsula (Fig
73 1B). The main fishery in the island is the spiny lobster (*Panulirus interruptus*), but other resources like
74 finfish, sea cucumber, red sea urchin, snail, and abalone are also an important source of income. In
75 2006, the community decided to implement two marine reserves within their fishing grounds to protect
76 commercially important invertebrate species; mainly lobster and abalone. While these reserves obtained
77 legal recognition only in 2018, they have been well enforced since their implementation.

78 The other two TURF-reserves are located in Maria Elena and Punta Herrero, in the Yucatan Peninsula
79 (Fig 1C). In contrast with Isla Natividad, which hosts a well established fishing community, Maria Elena
80 is a fishing camp –visited intermittently during the fishing season– belonging to the *Cozumel* fishing
81 cooperative; Punta Herrero is home to the *José María Azcorra* fishing cooperative, and similar to Isla
82 Natividad hosts a local community. Their main fishery is the Caribbean spiny lobster (*Panulirus argus*), but
83 they also target finfish in the off-season. Maria Elena and Punta Herrero established eight and four marine
84 reserves in 2012 and 2013, respectively. All these reserves have been legally recognized as Fishing Refuges
85 since their creation (DOF, 2012b, 2013).

86 These communities are representative of their region in terms of ecology, socioeconomic, and governance
87 aspects. Isla Natividad, for example, is part of a greater group of fishing cooperatives belonging to
88 a Federation of Fishing Cooperatives. This group has been identified as a cohesive group that often
89 cooperates to better manage their resources (McCay, 2017; McCay et al., 2014; Aceves-Bueno et al.,
90 2017). Likewise, Maria Elena and Punta Herrero are representative of fishing cooperatives in the Mexican
91 Caribbean, which are also part of a regional Federation. Together, these three communities provide an
92 accurate representation of other fishing communities in each of their regions. While each region has
93 additional communities that have established community-based TURF-reserves, available data would not
94 allow us to perform the in-depth causal inference analysis that we undertake. Yet, given the similarities
95 among communities and the socioeconomic and governance setting under which they operate, it is safe to
96 cautiously generalize our results to other communities in Mexico and other regions around the world.

97 2.2 Data collection

98 We use three main sources of information to evaluate these reserves across the ecological, socioeconomic,
99 and governance dimensions. Ecological data come from the annual ecological monitoring of reserve
100 and control areas, carried out by members from each community and personnel from the Mexican CSO
101 *Comunidad y Biodiversidad* (COBI). Trained divers record richness and abundances of fish and invertebrate
102 species along replicate transects (30x 2 m each) at depths 5-20 m in the reserves and control sites (Fulton
103 et al., 2018, 2019). Size structures are also collected during fish surveys. We define control sites as
104 regions with habitat characteristics similar to the corresponding reserves, and that presumably had a similar

105 probability of being selected as reserves during the design phase. We focus our evaluation on sites where
 106 data are available for reserve and control sites, before and after the implementation of the reserve. This
 107 provides us with a Before-After-Control-Impact (*i.e.* BACI) sampling design that allows us to capture and
 108 control for temporal and spatial dynamics (De Palma et al., 2018; Ferraro and Pattanayak, 2006). BACI
 109 designs and causal inference techniques have proven effective to evaluate marine reserves, as they allow us
 110 to causally attribute observed changes to the intervention (Moland et al., 2013; Villaseñor-Derbez et al.,
 111 2018). All sites were surveyed annually, and at least once before implementation of the reserves.

112 Socioeconomic data come from landing receipts reported to the National Commission for Aquaculture
 113 and Fisheries (*Comisión Nacional de Acuacultura y Pesca*; CONAPESCA). Data contain monthly lobster
 114 landings (Kg) and revenues (MXP) for cooperatives with and without marine reserves. Cooperatives
 115 incorporated in this analysis belong to larger regional-level Cooperative Federations, and are exposed to
 116 the same markets and institutional frameworks, making them plausible controls (McCay, 2017; Ayer et al.,
 117 2018). Landings and revenues were aggregated at the cooperative-year level, and revenues were adjusted to
 118 represent 2014 values by the Consumer Price Index for Mexico (OECD, 2017).

119 Data for the evaluation of the SES were collected at the community-level from official documents used in
 120 the creation and designation of the marine reserves (DOF, 2012b, 2013, 2018b) and based on the authors'
 121 experience and knowledge of the communities. These include information on the Resource Systems,
 122 Resource Units, Actors, and Governance System (Table 2).

123 2.3 Data analysis

124 We evaluate the effect that marine reserves have had on four ecological and two socioeconomic indicators
 125 (Table 1). Recall that reserves were implemented to protect lobster and other benthic invertebrates. However,
 126 we also use the available fish data to test for associated co-benefits.

127 We use a difference-in-differences analysis to evaluate these indicators. This approach allows us to
 128 estimate the effect that the reserve had by comparing trends across time and treatments (Moland et al.,
 129 2013; Villaseñor-Derbez et al., 2018). The analysis of ecological indicators is performed with a multiple
 130 linear regression of the form:

$$I_{itj} = \alpha + \gamma_t Year_t + \beta Zone_i + \lambda_t Year_t \times Zone_i + \sigma_j Spp_j + \epsilon \quad (1)$$

131 Where year-level fixed effects are represented by $\gamma_t Year_t$, and $\beta Zone_i$ captures the difference between
 132 reserve ($Zone = 1$) and control ($Zone = 0$) sites. The interaction term $\lambda_t Year_t \times Zone_i$ represents the
 133 mean change in the indicator inside the reserve, for year t , with respect to the year of implementation in
 134 the control site. When evaluating biomass and densities of the invertebrate or fish communities, we include
 135 σ_j to control for species-level fixed effects.

136 Socioeconomic indicators are evaluated with a similar approach. Due to data constraints, we only
 137 evaluate socioeconomic data for Isla Natividad (2000 - 2014) and Maria Elena (2006 - 2013). Neighboring
 138 communities are used as counterfactuals that allow us to control for unobserved time-invariants. Each focal
 139 community (Isla Natividad and Maria Elena) has three counterfactual communities.

$$I = \alpha + \gamma_t Year_t + \beta Treated_i + \lambda_t Year_t \times Treated_i + \sigma_j Com_j + \epsilon \quad (2)$$

140 The model interpretation remains as for Eq 1, but in this case the *Treated* dummy variable indicates if
141 the community has a reserve (*Treated* = 1) or not (*Treated* = 0) and $\sigma_j Com$ captures community-level
142 fixed-effects. These regression models allow us to establish a causal link between the implementation
143 of marine reserves and the observed trends by accounting for temporal and spatial dynamics (De Palma
144 et al., 2018). The effect of the reserve is captured by the λ_t coefficient, and represents the difference
145 observed between the control site before the implementation of the reserve and the treated sites at time
146 t after controlling for other time and space variations (i.e. γ_t and β respectively). All model coefficients
147 were estimated via ordinary least-squares and heteroskedastic-robust standard errors (Zeileis, 2004). All
148 analyses were performed in R version 3.5.1 (2018-07-02) and R Studio version 1.1.456 (R Core Team,
149 2018).

150 We use the SES framework to evaluate each community as a means of providing an explanation to the
151 biological and socioeconomic results. The use the SES framework standardizes our analysis and allows us
152 to communicate our results in a common language across fields. We based our variable selection primarily
153 on Leslie et al. (2015) and Basurto et al. (2013), who operationalized and analyzed Mexican fishing
154 cooperatives using this framework. We also incorporate other relevant variables known to influence reserve
155 performance following Di Franco et al. (2016) and Edgar et al. (2014). Table 2 shows the selected variables,
156 their definition and selected indicators.

3 RESULTS

157 The following sections present the effect that marine reserves had on each of the biological and socioe-
158 economic indicators for each coastal community. Results are presented in terms of the difference through
159 time and across sites, relative to the control site on the year of implementation (i.e. effect size λ_t). We also
160 provide an overview of the governance settings of each community, and discuss how these might be related
161 to the effectiveness and performance of the reserves.

162 3.1 Biological effects

163 Indicators showed ambiguous responses through time for each reserve. Figure 2A shows positive effect
164 sizes for lobster densities in Isla Natividad and Punta Herrero during the first years, but the effect is eroded
165 through time. In the case of Maria Elena, positive changes were observed in the third and fourth year. These
166 effects are in the order of 0.2 extra organisms m^{-2} for Isla Natividad and Punta Herrero, and 0.01 organisms
167 m^{-2} for Maria Elena, but are not significantly different from zero ($p > 0.05$). Likewise, no changes were
168 detected in fish biomass or invertebrate and fish densities (Fig. 2B-D), where effect sizes oscillated around
169 zero without clear trends. Full tables with model coefficients are presented in the supplementary materials
170 (S1 Table, S2 Table, S3 Table).

171 3.2 Socioeconomic effects

172 Lobster landings and revenue were only available for Isla Natividad and Maria Elena (Fig 3). For all years
173 before implementation, the effect sizes are close to zero, indicating that the control and treatment sites
174 have similar pre-treatment trends, suggesting that these are plausible controls. However, effect sizes do not
175 change after the implementation of the reserve. Interestingly, the negative effect observed for Isla Natividad
176 on year 5 correspond to the 2011 hypoxia events. The only positive change observed in lobster landings is
177 for Isla Natividad in 2014 ($p < 0.1$). The three years of post-implementation data for Maria Elena do not
178 show a significant effect of the reserve. Isla Natividad shows higher revenues after the implementation of
179 the reserve, as compared to the control communities. However, these changes are not significant and are

180 associated with increased variation. Full tables with model coefficients are presented in the supplementary
181 materials (S4 Table, S5 Table).

182 **3.3 Governance**

183 Our analysis of the SES (Table 2) shows that all analyzed communities share similarities known to
184 foster sustainable resource management and increase reserve effectiveness. For example, fishers operate
185 within clearly outlined TURFs (RS2, GS6.1.4.3) that provide exclusive access to resources and reserves.
186 Along with their relatively small groups (A1 - Number of relevant actors), Isolation (A3), Operational
187 rules (GS6.2), Social monitoring (GS9.1), and Graduated sanctions (GS10.1), these fisheries have solid
188 governance structures that enable them to monitor their resources and enforce rules to ensure sustainable
189 management. In general, success of conservation initiatives depends on the incentives of local communities
190 to maintain a healthy status of the resources upon which they depend (Jupiter et al., 2017). Due to the
191 clarity of access rights and isolation, the benefits of conservation directly benefit the members of the fishing
192 cooperatives, which have favored the development of efficient community-based enforcement systems.
193 However, our SES analysis also highlights factors that might hinder reserve performance or mask outcomes.
194 While total reserve size ranges from 0.2% to 3.7% of the TURF area, individual reserves are often small
195 (RS3), and relatively young (RS5). Additionally, fishers harvest healthy stocks (RS4.1), and it's unlikely
196 that marine reserves will result in increased catches.

4 DISCUSSION

197 Our results indicate that these TURF-reserves have not increased lobster densities. Additionally, no
198 co-benefits were identified when using other ecological indicators aside from the previously reported
199 buffering effect that reserves can have to environmental variability in Isla Natividad (Micheli et al., 2012).
200 The socioeconomic indicators pertaining landings and revenues showed little to no change after reserve
201 implementation. The coastal ecosystems where these reserves are located have been profoundly affected by
202 climatic and oceanographic extremes, including warming events, extreme storms and prolonged hypoxia
203 (Micheli et al., 2012; Woodson et al., in press). Despite the lack of evidence of the effectiveness of these
204 reserves, most of the communities show a positive perception about their performance and continue to
205 support their presence (Ayer et al., 2018). Understanding the social-ecological context in which these
206 communities and their reserves operate might provide insights as to why this happens.

207 Some works evaluate marine reserves by performing inside-outside (Guidetti et al., 2014; Friedlander
208 et al., 2017; Rodriguez and Fanning, 2017) or before-after comparisons (Betti et al., 2017). The first
209 approach does not address temporal variability, and the second can not distinguish between the temporal
210 trends in a reserve and the entire system (De Palma et al., 2018). Our approach to evaluate the temporal
211 and spatial changes provides a more robust measure of reserve effectiveness. For example, we capture
212 previously described patterns like the rapid increase observed for lobster densities in Isla Natividad on the
213 sixth year (*i.e.* 2012; Fig. 2A), a year after the hypoxia events described by Micheli et al. (2012), which
214 caused mass mortality of sedentary organisms such as abalone and sea urchins, but not lobster and finfish.
215 Yet, our empirical approach assumes control sites are a plausible counterfactual for treated sites. This
216 implies that treated sites would have followed the same trend as control sites, had the reserves not been
217 implemented. Nonetheless, temporal trends for each site don't show any significant increases (S1 Table, S2
218 Table, S3 Table), supporting our findings of lack of change in the indicators used.

219 A first possible explanation for the lack of effectiveness may be the young age of the reserves. Literature
220 shows that age and enforcement are important factors that influence reserve effectiveness (Edgar et al.,

221 2014; Babcock et al., 2010). Isla Natividad has the oldest reserves, and our SES analysis suggests that all
222 communities have a well-established community-based enforcement system. With these characteristics,
223 one would expect the reserves to be effective. Maria Elena and Punta Herrero are relatively young reserves
224 (*i.e.* < 6 years old) and effects may not yet be evident due to the short duration of protection, relative to the
225 life histories of the protected species; other community-based marine reserves in tropical ecosystems may
226 take up to six years to show a spillover effect (da Silva et al., 2015).

227 Another key condition for effectiveness is reserve size (Edgar et al., 2014), and the lack of effectiveness
228 can perhaps be attributed to poor ecological coherence in reserve design (*sensu* Rees et al. (2018)). Previous
229 research has shown that reserves in Isla Natividad yield fishery benefits for the abalone fishery (Rossetto
230 et al., 2015). Abalone are less mobile than lobsters, and perhaps the reserves provide enough protection
231 to these sedentary invertebrates, but not lobsters. Design principles developed by Green et al. (2017) for
232 marine reserves in the Caribbean state that reserves “should be more than twice the size of the home range
233 of adults and juveniles”, and suggest that reserves seeking to protect spiny lobsters should have at least 14
234 km across. Furthermore, fishers may favor implementation of reserves that pose low fishing costs due to
235 their small size or location. Our analysis of economic data supports this, as neither landings nor revenues
236 showed the expected short-term costs associated to the first years of reserve implementation (Ovando et al.,
237 2016).

238 Even if reserves had appropriate sizes and were placed in optimal locations, there are other plausible
239 explanations for the observed patterns. For instance, marine reserves are only likely to provide fisheries
240 benefits if initial population sizes are low and the fishery is poorly managed (Hilborn et al., 2006).
241 Both lobster fisheries were certified by the Marine Stewardship Council (Pérez-Ramírez et al., 2016).
242 Additionally, lobster fisheries are managed via species-specific minimum catch sizes, seasonal closures,
243 protection of “berried” females, and escapement windows where traps are allowed (DOF, 1993). It is
244 uncertain whether such a well-managed fishery will experience additional benefits from marine reserves.
245 Additionally, Gelcich et al. (2008) have shown that TURFs alone can have greater biomass and richness
246 than areas operating under open access. This might reduce the difference between indicators from the
247 TURF and reserve sites, making it difficult to detect such a small change. Further research should focus on
248 evaluating sites in the reserve, TURF, and open access areas or similar Fish Refuges established without
249 the presence of TURFs where the impact of the reserves might be larger.

250 While the evaluated reserves have failed to provide fishery benefits up to now, there are a number of
251 additional ecological, fisheries, and social benefits. Marine reserves provide protection to a wider range of
252 species and vulnerable habitat, like coral reefs. These sites can serve as an insurance against uncertainty
253 and errors in fisheries management, as well as environmental shocks (Hilborn et al., 2004, 2006; Micheli
254 et al., 2012; Aalto et al., in press). Self-regulation of fishing effort (*i.e.* reduction in harvest) can serve as
255 a way to compensate for future declines associated to environmental variation (Finkbeiner et al., 2018).
256 Embarking in a marine conservation project can bring the community together, which promotes social
257 cohesion and builds social capital (Fulton et al., 2019). Showing commitment to marine conservation and
258 sustainable fishing practices allows fishers to have greater bargaining power and leverage over fisheries
259 management (Pérez-Ramírez et al., 2012). Furthermore, the lack of effectiveness observed in these reserves
260 may not be generalizable to other reserves established under the same legal framework (*i.e.* Fish Refuges)
261 in Mexico, and future research should aim at evaluating other areas that have also been established as
262 bottom-up processes but without the presence of TURFs (*e.g.* DOF (2012a)), or others established through
263 a top-down process (*i.e.* DOF (2018a)).

264 Community-based marine reserves in small-scale fisheries can be helpful conservation and fishery management tools when appropriately implemented. Lessons learned from these cases can guide implementation
265 of community-based marine reserves elsewhere. For the particular case of the marine reserves that we
266 evaluate, the possibility of expanding reserves or merging existing polygons into larger areas should be
267 evaluated and proposed to the communities. At the broader scale, having full community support surely
268 represents an advantage, but it is important that marine reserves meet essential design principles such as size
269 and placement. Community-based marine reserves might have more benefits that result from indirect effects
270 of the reserves, which should be taken into account when evaluating the outcomes of similar projects.
271

CONFLICT OF INTEREST STATEMENT

272 The authors declare that the research was conducted in the absence of any commercial or financial
273 relationships that could be construed as a potential conflict of interest.

AUTHOR CONTRIBUTIONS

274 JC and EA analyzed and interpreted data, discussed the results, and wrote the first draft. AHV coordinated
275 fieldwork and collected the data. AS, AHV, SF, JT, and FM discussed the results and edited the manuscript.

FUNDING

276 JCVD received funding from CONACyT (Beca de Posgrados en el extranjero, CVU 669403) and the Latin
277 American Fisheries Fellowship Program. AS, AHV, SF and JT received funding from Marisla Foundation,
278 Packard Foundation, Walton Family Foundation, Summit Foundation, and Oak Foundation.

ACKNOWLEDGMENTS

279 The authors wish to acknowledge Imelda Amador for contributions on the governance data, as well as
280 pre-processing biological data. This study would have not been possible without the effort by members of
281 the fishing communities here mentioned, who participated in the data-collection process.

REFERENCES

- 282 Aalto, E., Micheli, F., Boch, C., Espinoza-Montes, A., Woodson, C., and De Leo, G. (in press). Marine
283 protected areas lower risk of abalone fishery collapse following widespread catastrophic mortality events.
284 *American Naturalist*
- 285 Aceves-Bueno, E., Cornejo-Donoso, J., Miller, S. J., and Gaines, S. D. (2017). Are territorial use rights in
286 fisheries (TURFs) sufficiently large? *Marine Policy* 78, 189–195. doi:10.1016/j.marpol.2017.01.024
- 287 Afflerbach, J. C., Lester, S. E., Dougherty, D. T., and Poon, S. E. (2014). A global survey of turf-reserves,
288 territorial use rights for fisheries coupled with marine reserves. *Global Ecology and Conservation* 2,
289 97–106. doi:10.1016/j.gecco.2014.08.001
- 290 Ayer, A., Fulton, S., Caamal-Madrigal, J. A., and Espinoza-Tenorio, A. (2018). Halfway to sustainability:
291 Management lessons from community-based, marine no-take zones in the mexican caribbean. *Marine
292 Policy* 93, 22–30. doi:10.1016/j.marpol.2018.03.008
- 293 Babcock, R. C., Shears, N. T., Alcalá, A. C., Barrett, N. S., Edgar, G. J., Lafferty, K. D., et al. (2010).
294 Decadal trends in marine reserves reveal differential rates of change in direct and indirect effects. *Proc
295 Natl Acad Sci USA* 107, 18256–18261. doi:10.1073/pnas.0908012107
- 296 Basurto, X., Gelcich, S., and Ostrom, E. (2013). The social–ecological system framework as a knowledge
297 classificatory system for benthic small-scale fisheries. *Global Environmental Change* 23, 1366–1380.
298 doi:10.1016/j.gloenvcha.2013.08.001
- 299 Beger, M., Harborne, A. R., Dacles, T. P., Solandt, J.-L., and Ledesma, G. L. (2004). A framework of
300 lessons learned from community-based marine reserves and its effectiveness in guiding a new coastal
301 management initiative in the philippines. *Environ Manage* 34, 786–801. doi:10.1007/s00267-004-0149-z
- 302 Betti, F., Bavestrello, G., Bo, M., Asnaghi, V., Chiantore, M., Bava, S., et al. (2017). Over 10 years of
303 variation in mediterranean reef benthic communities. *Marine Ecology* 38, e12439. doi:10.1111/maec.
304 12439
- 305 Bohnsack, J. A., Ault, J. S., and Causey, B. (2004). Why have no-take marine protected areas? In *American
306 Fisheries Society Symposium*. vol. 42, 185–193
- 307 Costello, C. and Kaffine, D. T. (2010). Marine protected areas in spatial property-rights fisheries*.
308 *Australian Journal of Agricultural and Resource Economics* 54, 321–341. doi:10.1111/j.1467-8489.
309 2010.00495.x
- 310 Costello, C., Ovando, D., Hilborn, R., Gaines, S. D., Deschenes, O., and Lester, S. E. (2012). Status and
311 solutions for the world's unassessed fisheries. *Science* 338, 517–520. doi:10.1126/science.1223389
- 312 da Silva, I. M., Hill, N., Shimadzu, H., Soares, A. M. V. M., and Dornelas, M. (2015). Spillover effects of
313 a community-managed marine reserve. *PLoS ONE* 10, e0111774. doi:10.1371/journal.pone.0111774
- 314 De Leo, G. A. and Micheli, F. (2015). The good, the bad and the ugly of marine reserves for fishery yields.
315 *Philos Trans R Soc Lond, B, Biol Sci* 370. doi:10.1098/rstb.2014.0276
- 316 De Palma, A., Sanchez Ortiz, K., Martin, P. A., Chadwick, A., Gilbert, G., Bates, A. E., et al. (2018).
317 Challenges with inferring how land-use affects terrestrial biodiversity: Study design, time, space and
318 synthesis. *Advances in ecological research* doi:10.1016/bs.aecr.2017.12.004
- 319 Di Franco, A., Thiriet, P., Di Carlo, G., Dimitriadis, C., Francour, P., Gutiérrez, N. L., et al. (2016). Five
320 key attributes can increase marine protected areas performance for small-scale fisheries management.
321 *Sci Rep* 6, 38135. doi:10.1038/srep38135
- 322 [Dataset] DOF, D. (1993). Norma oficial mexicana 006-pesc-1993, para regular el aprovechamiento de
323 todas las especies de langosta en las aguas de jurisdiccion federal del golfo de mexico y mar caribe, asi
324 como del oceano pacifico incluyendo el golfo de california

- 325 DOF, D. (2012a). Acuerdo por el que se establece una red de zonas de refugio en aguas marinas de
326 jurisdicción federal frente a la costa oriental del estado de baja california sur, en el corredor marino de
327 san cosme a punta coyote. *Diario Oficial de la Federación*
- 328 DOF, D. (2012b). Acuerdo por el que se establece una red de zonas de refugio pesquero en aguas marinas de
329 jurisdicción federal ubicadas en el área de sian ka an, dentro de la bahía espíritu santo en el estado de
330 quintana roo. *Diario Oficial de la Federación*
- 331 DOF, D. (2013). Acuerdo por el que se establece una red de zonas de refugio pesquero en aguas marinas de
332 jurisdicción federal ubicadas en las áreas de banco chinchorro y punta herrero en el estado de quintana
333 roo. *Diario Oficial de la Federación*
- 334 DOF, D. (2018a). Acuerdo por el que se establece el área de refugio para la tortuga amarilla (caretta
335 caretta) en el golfo de ulloa, en baja california sur. *Diario Oficial de la Federación*
- 336 DOF, D. (2018b). Acuerdo por el que se establece una red de dos zonas de refugio pesquero parciales
337 permanentes en aguas marinas de jurisdicción federal adyacentes a isla natividad, ubicada en el municipio
338 de mulegé, en el estado de baja california sur. *Diario Oficial de la Federación*
- 339 Edgar, G. J., Stuart-Smith, R. D., Willis, T. J., Kininmonth, S., Baker, S. C., Banks, S., et al. (2014). Global
340 conservation outcomes depend on marine protected areas with five key features. *Nature* 506, 216–220.
341 doi:10.1038/nature13022
- 342 Espinosa-Romero, M. J., Rodriguez, L. F., Weaver, A. H., Villanueva-Aznar, C., and Torre, J. (2014). The
343 changing role of ngos in mexican small-scale fisheries: From environmental conservation to multi-scale
344 governance. *Marine Policy* 50, 290–299. doi:10.1016/j.marpol.2014.07.005
- 345 Ferraro, P. J. and Pattanayak, S. K. (2006). Money for nothing? a call for empirical evaluation of biodiversity
346 conservation investments. *PLoS Biol* 4, e105. doi:10.1371/journal.pbio.0040105
- 347 Finkbeiner, E., Micheli, F., Saenz-Arroyo, A., Vazquez-Vera, L., Perafan, C., and Cárdenas, J. (2018).
348 Local response to global uncertainty: Insights from experimental economics in small-scale fisheries.
349 *Global Environmental Change* 48, 151–157. doi:10.1016/j.gloenvcha.2017.11.010
- 350 Friedlander, A. M., Golbuu, Y., Ballesteros, E., Caselle, J. E., Gouezo, M., Olsudong, D., et al. (2017). Size,
351 age, and habitat determine effectiveness of palau's marine protected areas. *PLoS ONE* 12, e0174787.
352 doi:10.1371/journal.pone.0174787
- 353 Fulton, S., Caamal-Madrigal, J., Aguilar-Perera, A., Bourillón, L., and Heyman, W. D. (2018). Marine
354 conservation outcomes are more likely when fishers participate as citizen scientists: Case studies from
355 the mexican mesoamerican reef. *CSTP* 3. doi:10.5334/cstp.118
- 356 Fulton, S., Hernandez-Velasco, A., Suarez-Castillo, A., Fernandez-Rivera Melo, F., Rojo, M., Saenz-
357 Arroyo, A., et al. (2019). From fishing fish to fishing data: the role of artisanal fishers in conservation
358 and resource management in mexico. In *Viability and Sustainability of Small-Scale Fisheries in*
359 *Latin America and The Caribbean*, eds. S. Salas, M. J. Barragán-Paladines, and R. Chuenpagdee
360 (Cham: Springer International Publishing), vol. 19 of *MARE Publication Series*. 151–175. doi:10.1007/
361 978-3-319-76078-0\7
- 362 Gelcich, S. and Donlan, C. J. (2015). Incentivizing biodiversity conservation in artisanal fishing com-
363 munities through territorial user rights and business model innovation. *Conserv Biol* 29, 1076–1085.
364 doi:10.1111/cobi.12477
- 365 Gelcich, S., Godoy, N., Prado, L., and Castilla, J. C. (2008). Add-on conservation benefits of marine
366 territorial user rights fishery policies in central chile. *Ecol Appl* 18, 273–281. doi:10.1890/06-1896.1
- 367 Giakoumi, S., Scianna, C., Plass-Johnson, J., Micheli, F., Grorud-Colvert, K., Thiriet, P., et al. (2017).
368 Ecological effects of full and partial protection in the crowded mediterranean sea: a regional meta-
369 analysis. *Sci Rep* 7, 8940. doi:10.1038/s41598-017-08850-w

- 370 Green, A., Chollett, I., Suarez, A., Dahlgren, C., Cruz, S., Zepeda, C., et al. (2017). *Biophysical Principles*
371 *for Designing a Network of Replenishment Zones for the Mesoamerican Reef System*. Technical report
372 Guidetti, P., Baiata, P., Ballesteros, E., Di Franco, A., Hereu, B., Macpherson, E., et al. (2014). Large-scale
373 assessment of mediterranean marine protected areas effects on fish assemblages. *PLoS ONE* 9, e91841.
374 doi:10.1371/journal.pone.0091841
- 375 Halpern, B. S., Klein, C. J., Brown, C. J., Beger, M., Grantham, H. S., Mangubhai, S., et al. (2013).
376 Achieving the triple bottom line in the face of inherent trade-offs among social equity, economic return,
377 and conservation. *Proc Natl Acad Sci USA* 110, 6229–6234. doi:10.1073/pnas.1217689110
- 378 Halpern, B. S., Walbridge, S., Selkoe, K. A., Kappel, C. V., Micheli, F., D'Agrosa, C., et al. (2008). A global
379 map of human impact on marine ecosystems. *Science* 319, 948–952. doi:10.1126/science.1149345
- 380 Hilborn, R., Micheli, F., and De Leo, G. A. (2006). Integrating marine protected areas with catch regulation.
381 *Can. J. Fish. Aquat. Sci.* 63, 642–649. doi:10.1139/f05-243
- 382 Hilborn, R., Stokes, K., Maguire, J.-J., Smith, T., Botsford, L. W., Mangel, M., et al. (2004). When
383 can marine reserves improve fisheries management? *Ocean and Coastal Management* 47, 197 – 205.
384 doi:<https://doi.org/10.1016/j.ocecoaman.2004.04.001>
- 385 Johannes, R. E. (2002). The renaissance of community-based marine resource management in oceania.
386 *Annual Review of Ecology and Systematics* 33, 317–340
- 387 Jupiter, S. D., Epstein, G., Ban, N. C., Mangubhai, S., Fox, M., and Cox, M. (2017). A social–ecological
388 systems approach to assessing conservation and fisheries outcomes in fijian locally managed marine
389 areas. *Soc Nat Resour* 30, 1096–1111. doi:10.1080/08941920.2017.1315654
- 390 Krueck, N. C., Ahmadi, G. N., Possingham, H. P., Riginos, C., Treml, E. A., and Mumby, P. J. (2017).
391 Marine reserve targets to sustain and rebuild unregulated fisheries. *PLoS Biol* 15, e2000537. doi:10.
392 1371/journal.pbio.2000537
- 393 Leslie, H. M., Basurto, X., Nenadovic, M., Sievanen, L., Cavanaugh, K. C., Cota-Nieto, J. J., et al. (2015).
394 Operationalizing the social-ecological systems framework to assess sustainability. *Proc Natl Acad Sci U
395 SA* 112, 5979–5984. doi:10.1073/pnas.1414640112
- 396 Lester, S., Halpern, B., Grorud-Colvert, K., Lubchenco, J., Ruttenberg, B., Gaines, S., et al. (2009).
397 Biological effects within no-take marine reserves: a global synthesis. *Mar. Ecol. Prog. Ser.* 384, 33–46.
398 doi:10.3354/meps08029
- 399 Lester, S., McDonald, G., Clemence, M., Dougherty, D., and Szewalski, C. (2017). Impacts of TURFs and
400 marine reserves on fisheries and conservation goals: theory, empirical evidence, and modeling. *BMS* 93,
401 173–198. doi:10.5343/bms.2015.1083
- 402 López-Angarita, J., Moreno-Sánchez, R., Maldonado, J. H., and Sánchez, J. A. (2014). Evaluating linked
403 social-ecological systems in marine protected areas. *Conserv Lett* 7, 241–252. doi:10.1111/conl.12063
- 404 Mascia, M. B., Fox, H. E., Glew, L., Ahmadi, G. N., Agrawal, A., Barnes, M., et al. (2017). A novel
405 framework for analyzing conservation impacts: evaluation, theory, and marine protected areas. *Ann NY
406 Acad Sci* 1399, 93–115. doi:10.1111/nyas.13428
- 407 McCay, B. (2017). Territorial use rights in fisheries of the northern pacific coast of mexico. *BMS* 93,
408 69–81. doi:10.5343/bms.2015.1091
- 409 McCay, B. J., Micheli, F., Ponce-Díaz, G., Murray, G., Shester, G., Ramirez-Sanchez, S., et al. (2014).
410 Cooperatives, concessions, and co-management on the pacific coast of mexico. *Marine Policy* 44, 49–59.
411 doi:10.1016/j.marpol.2013.08.001
- 412 Micheli, F., Saenz-Arroyo, A., Greenley, A., Vazquez, L., Espinoza Montes, J. A., Rossetto, M., et al.
413 (2012). Evidence that marine reserves enhance resilience to climatic impacts. *PLoS ONE* 7, e40832.
414 doi:10.1371/journal.pone.0040832

- 415 Moland, E., Olsen, E. M., Knutsen, H., Garrigou, P., Espeland, S. H., Kleiven, A. R., et al. (2013). Lobster
416 and cod benefit from small-scale northern marine protected areas: inference from an empirical before-
417 after control-impact study. *Proceedings of the Royal Society B: Biological Sciences* 280, 20122679–
418 20122679. doi:10.1098/rspb.2012.2679
- 419 NOM-049-SAG/PESC (2014). Norma oficial mexicana nom-049-sag/pesc-2014, que determina el procedi-
420 miento para establecer zonas de refugio para los recursos pesqueros en aguas de jurisdicción federal de
421 los estados unidos mexicanos. *DOF*
- 422 [Dataset] OECD (2017). Inflation CPI
- 423 Ostrom, E. (2009). A general framework for analyzing sustainability of social-ecological systems. *Science*
424 325, 419–422. doi:10.1126/science.1172133
- 425 Ovando, D., Dougherty, D., and Wilson, J. R. (2016). Market and design solutions to the short-term
426 economic impacts of marine reserves. *Fish Fish* 17, 939–954. doi:10.1111/faf.12153
- 427 Pauly, D., Watson, R., and Alder, J. (2005). Global trends in world fisheries: impacts on marine ecosystems
428 and food security. *Philosophical Transactions of the Royal Society B: Biological Sciences* 360, 5–12.
429 doi:10.1098/rstb.2004.1574
- 430 Pérez-Ramírez, M., Castrejón, M., Gutiérrez, N. L., and Defeo, O. (2016). The marine stewardship council
431 certification in latin america and the caribbean: A review of experiences, potentials and pitfalls. *Fisheries*
432 Research 182, 50–58. doi:10.1016/j.fishres.2015.11.007
- 433 Pérez-Ramírez, M., Ponce-Díaz, G., and Lluch-Cota, S. (2012). The role of msc certification in the
434 empowerment of fishing cooperatives in mexico: The case of red rock lobster co-managed fishery. *Ocean*
435 *Coast Manag* 63, 24–29. doi:10.1016/j.ocecoaman.2012.03.009
- 436 R Core Team (2018). *R: A Language and Environment for Statistical Computing*. R Foundation for
437 Statistical Computing, Vienna, Austria
- 438 Rees, S. E., Pittman, S. J., Foster, N., Langmead, O., Griffiths, C., Fletcher, S., et al. (2018). Bridging the
439 divide: Social–ecological coherence in marine protected area network design. *Aquatic Conservation: Marine and Freshwater Ecosystems*
- 440 Roberts, C. M., OLeary, B. C., McCauley, D. J., Cury, P. M., Duarte, C. M., Lubchenco, J., et al. (2017).
441 Marine reserves can mitigate and promote adaptation to climate change. *Proc Natl Acad Sci USA* 114,
442 6167–6175. doi:10.1073/pnas.1701262114
- 443 Rodriguez, A. G. and Fanning, L. M. (2017). Assessing marine protected areas effectiveness: A case study
444 with the tobago cays marine park. *OJMS* 07, 379–408. doi:10.4236/ojms.2017.73027
- 445 Rossetto, M., Micheli, F., Saenz-Arroyo, A., Montes, J. A. E., and De Leo, G. A. (2015). No-take marine
446 reserves can enhance population persistence and support the fishery of abalone. *Can. J. Fish. Aquat. Sci.*
447 72, 1503–1517. doi:10.1139/cjfas-2013-0623
- 448 Sala, E. and Giakoumi, S. (2017). No-take marine reserves are the most effective protected areas in the
449 ocean. *ICES Journal of Marine Science* doi:10.1093/icesjms/fsx059
- 450 Smallhorn-West, P. F., Bridge, T. C. L., Malimali, S., Pressey, R. L., and Jones, G. P. (2018). Predicting
451 impact to assess the efficacy of community-based marine reserve design. *Conserv Lett*, e12602doi:10.
452 1111/conl.12602
- 453 Villaseñor-Derbez, J. C., Faro, C., Wright, M., Martínez, J., Fitzgerald, S., Fulton, S., et al. (2018).
454 A user-friendly tool to evaluate the effectiveness of no-take marine reserves. *PLOS ONE* 13, 1–21.
455 doi:10.1371/journal.pone.0191821
- 456 Woodson, C., Micheli, F., Boch, C., M, A.-N., Hernandez, A., Vera, L., et al. (in press). Harnessing
457 environmental variability as a climate change adaptation for small-scale fisheries. *Conservation Letters*

- 459 Worm, B., Barbier, E. B., Beaumont, N., Duffy, J. E., Folke, C., Halpern, B. S., et al. (2006). Impacts of
460 biodiversity loss on ocean ecosystem services. *Science* 314, 787–790. doi:10.1126/science.1132294
461 Zeileis, A. (2004). Econometric computing with hc and hac covariance matrix estimators. *J Stat Softw* 11.
462 doi:10.18637/jss.v011.i10

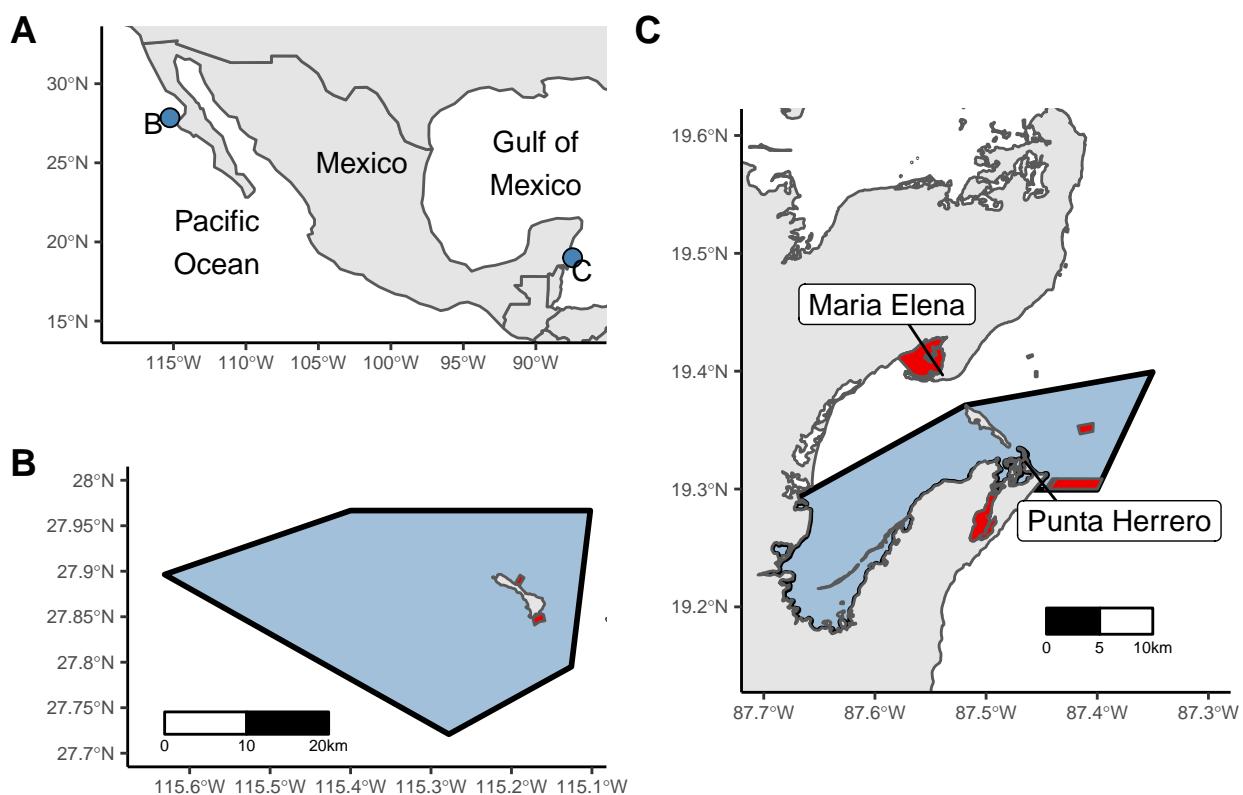
FIGURE CAPTIONS

Figure 1. Location of the three coastal communities studied (A). Isla Natividad (B) is located off the Baja California Peninsula, Maria Elena and Punta Herrero (C) are located in the Yucatan Peninsula. Blue polygons represent the TURFs, and red polygons the marine reserves.

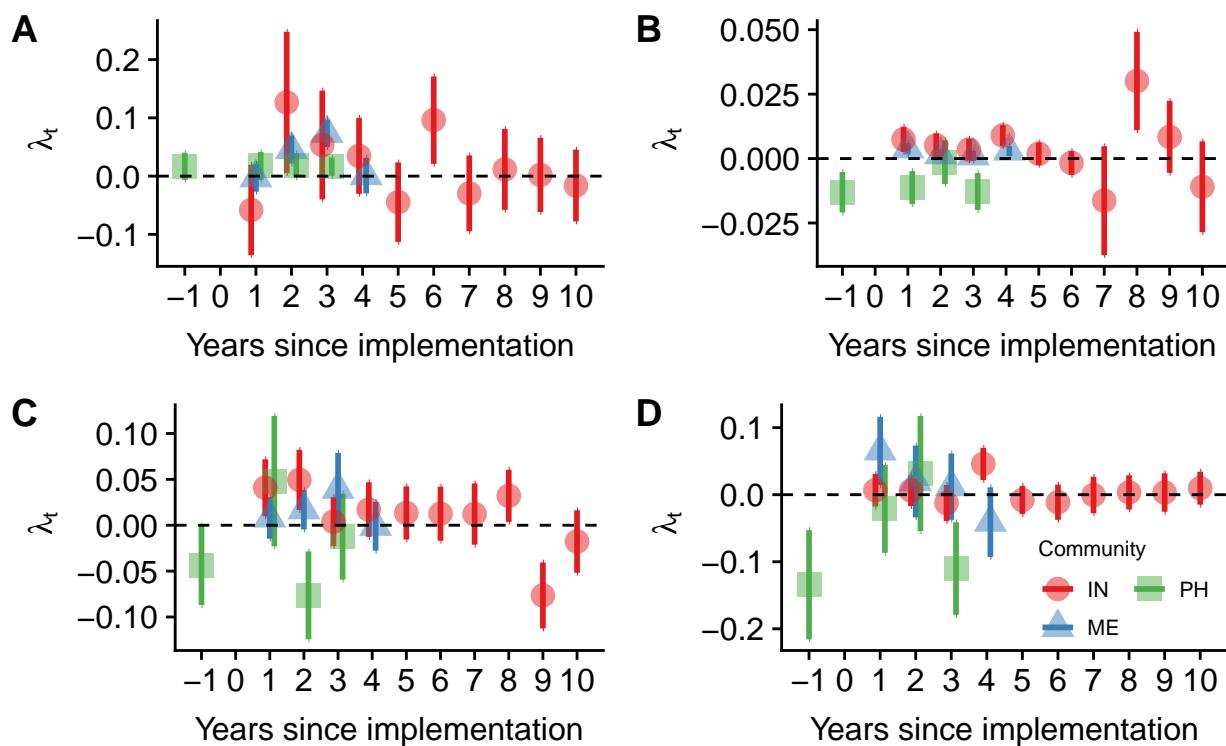


Figure 2. Effect sizes for marine reserves from Isla Natividad (IN; red circles), Maria Elena (ME; blue triangles), and Punta Herrero (PH; green squares) for lobster densities (*Panulirus spp.*; A), fish biomass (B), invertebrate densities (C), and fish densities (D). Plots are ordered by survey type (left column: invertebrates; right column: fish). Points are jittered horizontally to avoid overplotting. Points indicate the effect size and standard errors. Years have been centered to year of implementation.

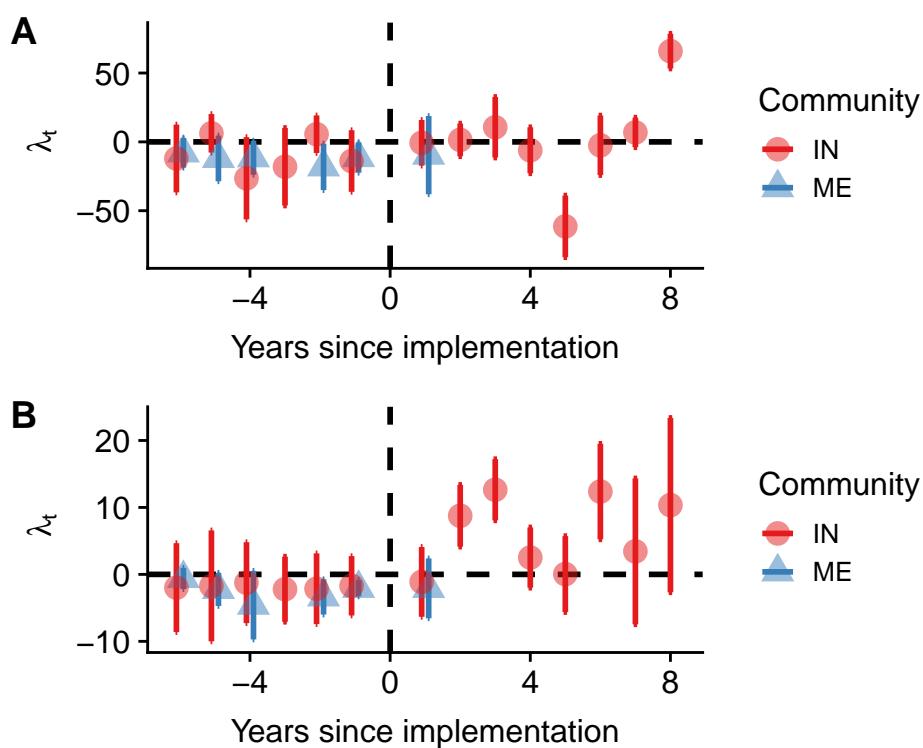


Figure 3. Effect sizes for lobster catches (A) and revenues (B) in at Isla Natividad (IN; red circles) and Maria Elena (ME; blue triangles). Points indicate the effect size and standard errors. Years have been centered to year of implementation.

Table 1. List of indicators used to evaluate the effectiveness of marine reserves, grouped by category.

Indicator	Units
Biological	
Lobster density	org m ⁻²
Invertebrate density	org m ⁻²
Fish biomass	Kg m ⁻²
Fish density	org m ⁻²
Socioeconomic	
Income from target species	M MXP
Landings from target species	Metric Tonnes

Table 2. Variables for the Social-Ecological System analysis (IN = Isla Natividad, ME = Maria Elena, PH = Punta Herrero). Alphanumeric codes follow Basurto et al. (2013); an asterisk (*) denotes variables incorporated based on Di Franco et al. (2016) and Edgar et al. (2014).

Variable	Narrative
Resource System (RS)	
RS2 - Clarity of system boundaries: Clarity of geographical boundaries of TURF and reserves	Individual TURF and reserve boundaries are explicitly outlined in official documents that include maps and coordinates. Reserve placement is decided by the community. Fishers use GPS units and landmarks.
RS3 - Size of resource system: TURF Area (Km ²)	IN = 889.5; ME = 353.1; PH = 299.7
RS3 - Size of resource system: Reserve area (Evaluated reserve area; Km ²)	IN = 2 (1.3); ME = 10.48(0.09); PH = 11.25 (4.37)
RS4.1 - Stock status: Status of the main fishery	Lobster stocks are well managed, and are (IN) or have been (ME, PH) MSC certified.
*RS5 - Age of reserves: Years since reserves were implemented	IN = 12; ME = 6; PH = 5
Resource Unit (RU)	
RU5 - Number of units (catch diversity): Number of targeted species	Lobster is their main fishery of these three communities, but they also target finfish. Additionally, fishers from Isla Natividad target other sedentary benthic invertebrates.
Actors (A)	
A1 - Number of relevant actors: Number of fishers	IN = 98; ME = 80; PH = 21
*A3 - Isolation: Level of isolation of the fishing grounds	Their fishing grounds and reserves are highly isolated and away from dense urban centers.
Governance system (G)	
GS6.1.4.3 - Territorial use communal rights : Presence of institutions that grant exclusive harvesting rights	Each community has exclusive access to harvest benthic resources, including lobster. These take the form of Territorial User Rights for Fisheries granted by the government to fishing cooperatives.
GS6.2 - Operational rules: Rules implemented by individuals authorized to partake on collective activities	Fishers have rules in addition to what the legislation mandates. These include larger minimum catch sizes, lower quotas, and assigning fishers to specific fishing grounds within their TURF.
GS9.1 - Social monitoring: Monitoring of the activities performed by cooperative members and external fishers	Fishing cooperatives have a group that monitors and enforces formal and internal rules. They ensure fishers of their fishing cooperative adhere to the established rules, and that foreign vessels do not poach their TURF and reserves.
GS9.2 - Biophysical monitoring: Monitoring of biological resources, including targeted species	Fishers perform annual standardized underwater surveys in the reserves and fishing grounds. Recently, they have installed oceanographic sensors to monitor oceanographic variables.
GS10.1 - Graduated sanctions	Fishers have penalties for breaking collective-choice rules or fishing inside the reserves. These may range from scoldings and warnings to not being allowed to harvest a particular resource or being expelled from the cooperative.