

Effectiveness of community-based marine reserves in small-scale fisheries

Juan Carlos Villaseñor-Derbez^{1,*}, Eréndira Aceves-Bueno^{1,2}, Stuart Fulton³, Álvin Suarez³, Arturo Hernández-Velasco³, Jorge Torre³, Fiorenza Micheli⁴

¹*Bren School of Environmental Science and Management, University of California, Santa Barbara, Santa Barbara, CA, USA*

²*Nicholas School of the Environment, Duke University, Beaufort, NC, USA*

³*Comunidad y Biodiversidad A.C., Guaymas, Sonora, Mexico*

⁴*Hopkins Marine Station and Center for Ocean Solutions, Stanford University, Pacific Grove, CA, USA*

Correspondence*:

Juan Carlos Villaseñor-Derbez, Bren Hall, University of California, Santa Barbara, Santa Barbara, CA, 93106

juancarlos@ucsb.edu

2 ABSTRACT

3 Coastal marine ecosystems provide livelihoods for small-scale fishers and coastal communities
4 around the world. Artisanal fisheries face great challenges since they are difficult to monitor,
5 enforce, and manage. Combining territorial user rights for fisheries (TURF) with no-take marine
6 reserves to create TURF-reserves is believed to improve the performance of small-scale fisheries
7 by buffering fisheries from environmental variability and management errors, while ensuring that
8 fishers reap the benefits of conservation investments. In the last six years, 18 TURF-reserves
9 have been implemented in Mexico; their effectiveness has not been formally evaluated. We
10 combine causal inference techniques and the Social-Ecological Systems framework to provide a
11 holistic evaluation of community-based TURF-reserves in three coastal communities in Mexico.
12 We find that while reserves have not yet achieved their stated goal of increasing lobster densities,
13 they continue to receive significant support from the fishing communities. A lack of ecological and
14 socioeconomic effects likely results from a combination of factors. First, the lobster fisheries are
15 already well managed, and it is unlikely that reserves might have a detectable effect. Second,
16 some of the reserves are not large enough to protect lobsters' home ranges. Third, some of
17 these reserves might be too young for the effects to show. However, these reserves have shaped
18 small-scale fishers' way of thinking about marine conservation, which can provide a foundation
19 for establishing additional, larger marine reserves needed to effectively conserve mobile species.

20 **Keywords:** TURF-reserves, Causal Inference, Social-Ecological Systems, Marine Protected Areas, Marine Conservation, Small-Scale
21 Fisheries

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1 INTRODUCTION

Marine ecosystems around the world sustain significant impacts due to overfishing and unsustainable fishing practices (Halpern et al., 2008; Worm et al., 2006; Pauly et al., 2005). In particular, artisanal fisheries face great challenges since they tend to be hard to monitor and enforce (Costello et al., 2012). Recent research shows that combining Territorial Use Rights for Fisheries (TURFs) with no-take marine reserves (MRs) can greatly improve the performance of coastal fisheries and the health of the local resources (Costello and Kaffine, 2010; Lester et al., 2017). Commonly known as TURF-Reserves, these systems increase the benefits of spatial access rights allowing the maintenance of healthy resources (Afflerbach et al., 2014; Lester et al., 2017). Although in theory these systems are successful (Costello and Kaffine, 2010; Smallhorn-West et al., 2018), there is little empirical evidence of their effectiveness and the drivers of their success (Afflerbach et al., 2014; Lester et al., 2017).

The performance of these systems depends on how environmental and social factors combine and interact. The science of marine reserves has largely focused on understanding the ecological effects of these areas, which include increased biomass, species richness, and densities of organisms within the protected regions, climate change mitigation, and protection from environmental variability (Lester et al., 2009; Giakoumi et al., 2017; Sala and Giakoumi, 2017; Roberts et al., 2017; Micheli et al., 2012). Modelling studies show that fishery benefits of marine reserves depend on initial stock status and the management under which the fishery operates, as well as reserve size and the amount of larvae exported from these (Hilborn et al., 2006; Krueck et al., 2017; De Leo and Micheli, 2015). Other research has focused on the relationship between socioeconomic and governance structures and reserve effectiveness (Halpern et al., 2013; López-Angarita et al., 2014; Mascia et al., 2017). However, to our knowledge, no studies exist that evaluate TURF-reserves from both a social and ecological perspective. This is especially important in social-ecological coastal systems dominated by close interaction and feedbacks between people and natural resources (Ostrom, 2009).

TURF-reserves can be created as community-based marine reserves, voluntarily established and enforced by local communities. This bottom-up approach increases compliance and self-enforcement, and reserves can yield benefits similar to systematically-designed reserves (Gelcich and Donlan, 2015; Espinosa-Romero et al., 2014; Beger et al., 2004; Smallhorn-West et al., 2018). Community-based spatial closures occur in different contexts, like the *kapu* or *ra'ui* areas in the Pacific Islands (Bohnsack et al., 2004; Johannes, 2002). However, MRs are difficult to enforce if they are not legally recognized, and fishers rely on the exclusive access granted by the TURF. In an effort to bridge this normative gap, Mexican Civil Society Organizations (CSOs) served as a link between fishers and government, and created a legal framework that solves this governance issue. In Mexico, a new norm was created in 2014 allowing fishers to request the legal recognition of community-based reserves as “Fish Refuges” (*Zona de Refugio Pesquero*; NOM-049-SAG/PESC (2014)). Fish refuges can be implemented as temporal or partial reserves, which can protect one, some, or all resources within their boundaries. Since 2012, 45 Fish Refuges have been created along the Pacific, Gulf of California, and Mexican Caribbean coastlines, with 18 of them implemented as TURF-reserves. However, their effectiveness has not yet been formally evaluated and reported in the scientific literature.

Here, we combine causal inference techniques and the Social-Ecological Systems (SES) framework to provide a holistic evaluation of community-based TURF-reserves in three coastal communities in Mexico. These three case studies span a range of ecological and social conditions representative of different regions of Mexico. The objective of this work is twofold. First, to provide a triple bottom line evaluation of the effectiveness of community-based marine reserves, which may inform similar processes in other countries.

66 Second, to evaluate the effectiveness of TURF-reserves established as Fish Refuges in Mexico to identify
67 opportunities where improvement or adjustment might lead to increased effectiveness. We draw from
68 lessons learned in these three case studies and provide management recommendations to maximize the
69 effectiveness of community-based marine reserves in small-scale fisheries in Mexico and in other regions
70 around the world where this tool is used to manage and rebuild their coastal fisheries.

2 METHODS

71 2.1 Study area

72 We evaluate three TURF-reserves in Mexico (Fig 1A). The first one was created by the *Buzos y Pescadores*
73 *de la Baja California* fishing cooperative, located in Isla Natividad in the Baja California Peninsula (Fig
74 1B). The main fishery in the island is the spiny lobster (*Panulirus interruptus*), but other resources like
75 finfish, sea cucumber, red sea urchin, snail, and abalone are also an important source of income. In
76 2006, the community decided to implement two marine reserves within their fishing grounds to protect
77 commercially important invertebrate species; mainly lobster and abalone. While these reserves obtained
78 legal recognition only in 2018, they have been well enforced since their implementation.

79 The other two TURF-reserves are located in Maria Elena and Punta Herrero, in the Yucatan Peninsula
80 (Fig 1C). In contrast with Isla Natividad, which hosts a well established fishing community, Maria Elena
81 is a fishing camp –visited intermittently during the fishing season– belonging to the *Cozumel* fishing
82 cooperative; Punta Herrero is home to the *José María Azcorra* fishing cooperative, and similar to Isla
83 Natividad hosts a local community. Their main fishery is the Caribbean spiny lobster (*Panulirus argus*), but
84 they also target finfish in the off-season. Maria Elena and Punta Herrero established eight and four marine
85 reserves in 2012 and 2013, respectively. These reserves have been legally recognized as Fishing Refuges
86 since their creation (DOF, 2012b, 2013).

87 These communities are representative of their region in terms of ecology, socioeconomic, and governance
88 aspects. Isla Natividad, for example, is part of a greater group of fishing cooperatives belonging to
89 a Federation of Fishing Cooperatives. This group has been identified as a cohesive group that often
90 cooperates to better manage their resources (McCay, 2017; McCay et al., 2014; Aceves-Bueno et al.,
91 2017). Likewise, Maria Elena and Punta Herrero are representative of fishing cooperatives in the Mexican
92 Caribbean, which are also part of a regional Federation. Together, these three communities provide an
93 accurate representation of other fishing communities in each of their regions. While each region has
94 additional communities that have established community-based TURF-reserves, available data would not
95 allow us to perform the in-depth causal inference analysis that we undertake. Yet, given the similarities
96 among communities and the socioeconomic and governance setting under which they operate, it is safe to
97 cautiously generalize our results to other communities in Mexico and other regions around the world.

98 2.2 Data collection

99 We use three main sources of information to evaluate these reserves across the ecological, socioeconomic,
100 and governance dimensions. Ecological data come from the annual ecological monitoring of reserve
101 and control areas, carried out by members from each community and personnel from the Mexican CSO
102 *Comunidad y Biodiversidad* (COBI). Trained divers record richness and abundances of fish and invertebrate
103 species along replicate transects (30 × 2 m each) at depths 5-20 m in the reserves and control sites
104 (Fulton et al., 2018, 2019; Suman et al., 2010). Size structures are also collected during fish surveys. We
105 define control sites as regions with habitat characteristics similar to the corresponding reserves, and that

106 presumably had a similar probability of being selected as reserves during the design phase. We focus our
 107 evaluation on sites where data are available for reserve and control sites, before and after the implementation
 108 of the reserve. This provides us with a Before-After-Control-Impact (*i.e.* BACI) sampling design that
 109 allows us to capture and control for temporal and spatial dynamics (De Palma et al., 2018; Ferraro and
 110 Pattanayak, 2006). BACI designs and causal inference techniques have proven effective to evaluate marine
 111 reserves, as they allow us to causally attribute observed changes to the intervention (Moland et al., 2013;
 112 Villaseñor-Derbez et al., 2018). All sites were surveyed annually, and at least once before implementation
 113 of the reserves.

114 Socioeconomic data come from landing receipts reported to the National Commission for Aquaculture
 115 and Fisheries (*Comisión Nacional de Acuacultura y Pesca*; CONAPESCA). Data contain monthly lobster
 116 landings (Kg) and revenues (MXP) for cooperatives with and without marine reserves. Cooperatives
 117 incorporated in this analysis belong to larger regional-level Cooperative Federations, and are exposed to
 118 the same markets and institutional frameworks, making them plausible controls (McCay, 2017; Ayer et al.,
 119 2018). Landings and revenues were aggregated at the cooperative-year level, and revenues were adjusted to
 120 represent 2014 values by the Consumer Price Index for Mexico (OECD, 2017).

121 Data for the evaluation of the SES were collected at the community-level from official documents used in
 122 the creation and designation of the marine reserves (DOF, 2012b, 2013, 2018b) and based on the authors'
 123 experience and knowledge of the communities. These include information on the Resource Systems,
 124 Resource Units, Actors, and Governance System (Table 2).

125 2.3 Data analysis

126 We evaluate the effect that marine reserves have had on four ecological and two socioeconomic indicators
 127 (Table 1). Recall that reserves were implemented to protect lobster and other benthic invertebrates. However,
 128 we also use the available fish data to test for associated co-benefits.

129 We use a difference-in-differences analysis to evaluate these indicators. This approach allows us to
 130 estimate the effect that the reserve had by comparing trends across time and treatments (Moland et al.,
 131 2013; Villaseñor-Derbez et al., 2018). The analysis of ecological indicators is performed with a multiple
 132 linear regression of the form:

$$I_{itj} = \alpha + \gamma_t Year_t + \beta Zone_i + \lambda_t Year_t \times Zone_i + \sigma_j Spp_j + \epsilon \quad (1)$$

133 Where year-level fixed effects are represented by $\gamma_t Year_t$, and $\beta Zone_i$ captures the difference between
 134 reserve ($Zone = 1$) and control ($Zone = 0$) sites. The interaction term $\lambda_t Year_t \times Zone_i$ represents the
 135 mean change in the indicator inside the reserve, for year t , with respect to the year of implementation in
 136 the control site. When evaluating biomass and densities of the invertebrate or fish communities, we include
 137 σ_j to control for species-level fixed effects.

138 Socioeconomic indicators are evaluated with a similar approach. Due to data constraints, we only
 139 evaluate socioeconomic data for Isla Natividad (2000 - 2014) and Maria Elena (2006 - 2013). Neighboring
 140 communities are used as counterfactuals that allow us to control for unobserved time-invariants. Each focal
 141 community (Isla Natividad and Maria Elena) has three counterfactual communities.

$$I = \alpha + \gamma_t Year_t + \beta Treated_i + \lambda_t Year_t \times Treated_i + \sigma_j Com_j + \epsilon \quad (2)$$

142 The model interpretation remains as for Eq 1, but in this case the *Treated* dummy variable indicates if
143 the community has a reserve (*Treated* = 1) or not (*Treated* = 0) and $\sigma_j Com$ captures community-level
144 fixed-effects. These regression models allow us to establish a causal link between the implementation
145 of marine reserves and the observed trends by accounting for temporal and spatial dynamics (De Palma
146 et al., 2018). The effect of the reserve is captured by the λ_t coefficient, and represents the difference
147 observed between the control site before the implementation of the reserve and the treated sites at time
148 t after controlling for other time and space variations (i.e. γ_t and β respectively). All model coefficients
149 were estimated via ordinary least-squares and heteroskedastic-robust standard errors (Zeileis, 2004). All
150 analyses were performed in R version 3.5.1 (2018-07-02) and R Studio version 1.1.456 (R Core Team,
151 2018).

152 We use the SES framework to evaluate each community as a means of providing an explanation to the
153 biological and socioeconomic results. The use the SES framework standardizes our analysis and allows us
154 to communicate our results in a common language across fields. We based our variable selection primarily
155 on Leslie et al. (2015) and Basurto et al. (2013), who operationalized and analyzed Mexican fishing
156 cooperatives using this framework. We also incorporate other relevant variables known to influence reserve
157 performance following Di Franco et al. (2016) and Edgar et al. (2014). Table 2 shows the selected variables,
158 their definition and selected indicators.

3 RESULTS

159 The following sections present the effect that marine reserves had on each of the biological and socioe-
160conomic indicators for each coastal community. Results are presented in terms of the difference through
161 time and across sites, relative to the control site on the year of implementation (i.e. effect size λ_t). We also
162 provide an overview of the governance settings of each community, and discuss how these might be related
163 to the effectiveness and performance of the reserves.

164 3.1 Biological effects

165 Indicators showed ambiguous responses through time for each reserve. Figure 2A shows positive effect
166 sizes for lobster densities in Isla Natividad and Punta Herrero during the first years, but the effect is eroded
167 through time. In the case of Maria Elena, positive changes were observed in the third and fourth year. These
168 effects are in the order of 0.2 extra organisms m^{-2} for Isla Natividad and Punta Herrero, and 0.01 organisms
169 m^{-2} for Maria Elena, but are not significantly different from zero ($p > 0.05$). Likewise, no changes were
170 detected in fish biomass or invertebrate and fish densities (Fig. 2B-D), where effect sizes oscillated around
171 zero without clear trends. Full tables with model coefficients are presented in the supplementary materials
172 (S1 Table, S2 Table, S3 Table).

173 3.2 Socioeconomic effects

174 Lobster landings and revenue were only available for Isla Natividad and Maria Elena (Fig 3). For all years
175 before implementation, the effect sizes are close to zero, indicating that the control and treatment sites
176 have similar pre-treatment trends, suggesting that these are plausible controls. However, effect sizes do not
177 change after the implementation of the reserve. Interestingly, the negative effect observed for Isla Natividad
178 on year 5 correspond to the 2011 hypoxia events. The only positive change observed in lobster landings is
179 for Isla Natividad in 2014 ($p < 0.1$). The three years of post-implementation data for Maria Elena do not
180 show a significant effect of the reserve. Isla Natividad shows higher revenues after the implementation of
181 the reserve, as compared to the control communities. However, these changes are not significant and are

182 associated with increased variation. Full tables with model coefficients are presented in the supplementary
183 materials (S4 Table, S5 Table).

184 **3.3 Governance**

185 Our analysis of the SES (Table 2) shows that all analyzed communities share similarities known to
186 foster sustainable resource management and increase reserve effectiveness. For example, fishers operate
187 within clearly outlined TURFs (RS2, GS6.1.4.3) that provide exclusive access to resources and reserves.
188 Along with their relatively small groups (A1 - Number of relevant actors), Isolation (A3), Operational
189 rules (GS6.2), Social monitoring (GS9.1), and Graduated sanctions (GS10.1), these fisheries have solid
190 governance structures that enable them to monitor their resources and enforce rules to ensure sustainable
191 management. In general, success of conservation initiatives depends on the incentives of local communities
192 to maintain a healthy status of the resources upon which they depend (Jupiter et al., 2017). Due to the
193 clarity of access rights and isolation, the benefits of conservation directly benefit the members of the fishing
194 cooperatives, which have favored the development of efficient community-based enforcement systems.
195 However, our SES analysis also highlights factors that might hinder reserve performance or mask outcomes.
196 While total reserve size ranges from 0.2% to 3.7% of the TURF area, individual reserves are often small
197 (RS3), and relatively young (RS5). Additionally, fishers harvest healthy stocks (RS4.1), and it's unlikely
198 that marine reserves will result in increased catches.

4 DISCUSSION

199 Our results indicate that these TURF-reserves have not increased lobster densities. Additionally, no
200 co-benefits were identified when using other ecological indicators aside from the previously reported
201 buffering effect that reserves can have to environmental variability in Isla Natividad (Micheli et al., 2012).
202 The socioeconomic indicators pertaining landings and revenues showed little to no change after reserve
203 implementation. The coastal ecosystems where these reserves are located have been profoundly affected by
204 climatic and oceanographic extremes, including warming events, extreme storms and prolonged hypoxia
205 (Micheli et al., 2012; Woodson et al., in press). Despite the lack of evidence of the effectiveness of these
206 reserves, most of the communities show a positive perception about their performance and continue to
207 support their presence (Ayer et al., 2018). Understanding the social-ecological context in which these
208 communities and their reserves operate might provide insights as to why this happens.

209 Some works evaluate marine reserves by performing inside-outside (Guidetti et al., 2014; Friedlander
210 et al., 2017; Rodriguez and Fanning, 2017) or before-after comparisons (Betti et al., 2017). The first
211 approach does not address temporal variability, and the second can not distinguish between the temporal
212 trends in a reserve and the entire system (De Palma et al., 2018). Our approach to evaluate the temporal
213 and spatial changes provides a more robust measure of reserve effectiveness. For example, we capture
214 previously described patterns like the rapid increase observed for lobster densities in Isla Natividad on the
215 sixth year (*i.e.* 2012; Fig. 2A), a year after the hypoxia events described by Micheli et al. (2012), which
216 caused mass mortality of sedentary organisms such as abalone and sea urchins, but not lobster and finfish.
217 Yet, our empirical approach assumes control sites are a plausible counterfactual for treated sites. This
218 implies that treated sites would have followed the same trend as control sites, had the reserves not been
219 implemented. Nonetheless, temporal trends for each site don't show any significant increases (S1 Table, S2
220 Table, S3 Table), supporting our findings of lack of change in the indicators used.

221 A first possible explanation for the lack of effectiveness may be the young age of the reserves. Literature
222 shows that age and enforcement are important factors that influence reserve effectiveness (Edgar et al.,

223 2014; Babcock et al., 2010). Isla Natividad has the oldest reserves, and our SES analysis suggests that all
224 communities have a well-established community-based enforcement system. With these characteristics,
225 one would expect the reserves to be effective. Maria Elena and Punta Herrero are relatively young reserves
226 (*i.e.* < 6 years old) and effects may not yet be evident due to the short duration of protection, relative to the
227 life histories of the protected species; other community-based marine reserves in tropical ecosystems may
228 take up to six years to show a spillover effect (da Silva et al., 2015).

229 Another key condition for effectiveness is reserve size (Edgar et al., 2014), and the lack of effectiveness
230 can perhaps be attributed to poor ecological coherence in reserve design (*sensu* Rees et al. (2018)). Previous
231 research has shown that reserves in Isla Natividad yield fishery benefits for the abalone fishery (Rossetto
232 et al., 2015). Abalone are less mobile than lobsters, and perhaps the reserves provide enough protection
233 to these sedentary invertebrates, but not lobsters. Design principles developed by Green et al. (2017) for
234 marine reserves in the Caribbean state that reserves “should be more than twice the size of the home range
235 of adults and juveniles”, and suggest that reserves seeking to protect spiny lobsters should have at least 14
236 km across. Furthermore, fishers may favor implementation of reserves that pose low fishing costs due to
237 their small size or location. Our analysis of economic data supports this, as neither landings nor revenues
238 showed the expected short-term costs associated to the first years of reserve implementation (Ovando et al.,
239 2016).

240 Even if reserves had appropriate sizes and were placed in optimal locations, there are other plausible
241 explanations for the observed patterns. For instance, marine reserves are only likely to provide fisheries
242 benefits if initial population sizes are low and the fishery is poorly managed (Hilborn et al., 2006).
243 Both lobster fisheries were certified by the Marine Stewardship Council (Pérez-Ramírez et al., 2016).
244 Additionally, lobster fisheries are managed via species-specific minimum catch sizes, seasonal closures,
245 protection of “berried” females, and escapement windows where traps are allowed (DOF, 1993). It is
246 uncertain whether such a well-managed fishery will experience additional benefits from marine reserves.
247 Additionally, Gelcich et al. (2008) have shown that TURFs alone can have greater biomass and richness
248 than areas operating under open access. This might reduce the difference between indicators from the
249 TURF and reserve sites, making it difficult to detect such a small change. Further research should focus on
250 evaluating sites in the reserve, TURF, and open access areas or similar Fish Refuges established without
251 the presence of TURFs where the impact of the reserves might be larger.

252 While the evaluated reserves have failed to provide fishery benefits up to now, there are a number of
253 additional ecological, fisheries, and social benefits. Marine reserves provide protection to a wider range of
254 species and vulnerable habitat, like coral reefs. These sites can serve as an insurance against uncertainty
255 and errors in fisheries management, as well as environmental shocks (Hilborn et al., 2004, 2006; Micheli
256 et al., 2012; Aalto et al., in press). Self-regulation of fishing effort (*i.e.* reduction in harvest) can serve as
257 a way to compensate for future declines associated to environmental variation (Finkbeiner et al., 2018).
258 Embarking in a marine conservation project can bring the community together, which promotes social
259 cohesion and builds social capital (Fulton et al., 2019). Showing commitment to marine conservation and
260 sustainable fishing practices allows fishers to have greater bargaining power and leverage over fisheries
261 management (Pérez-Ramírez et al., 2012). Furthermore, the lack of effectiveness observed in these reserves
262 may not be generalizable to other reserves established under the same legal framework (*i.e.* Fish Refuges)
263 in Mexico, and future research should aim at evaluating other areas that have also been established as
264 bottom-up processes but without the presence of TURFs (*e.g.* DOF (2012a)), or others established through
265 a top-down process (*i.e.* DOF (2018a)).

266 Community-based marine reserves in small-scale fisheries can be helpful conservation and fishery management tools when appropriately implemented. Lessons learned from these cases can guide implementation
267 of community-based marine reserves elsewhere. For the particular case of the marine reserves that we
268 evaluate, the possibility of expanding reserves or merging existing polygons into larger areas should be
269 evaluated and proposed to the communities. At the broader scale, having full community support surely
270 represents an advantage, but it is important that marine reserves meet essential design principles such as size
271 and placement. Community-based marine reserves might have more benefits that result from indirect effects
272 of the reserves, which should be taken into account when evaluating the outcomes of similar projects.
273

CONFLICT OF INTEREST STATEMENT

274 The authors declare that the research was conducted in the absence of any commercial or financial
275 relationships that could be construed as a potential conflict of interest.

AUTHOR CONTRIBUTIONS

276 JC and AS conceived the idea. JC and EA analyzed data, discussed the results, and wrote the first draft.
277 FM, SF, AS, JT, and AHV discussed the results and edited the manuscript. All authors provided valuable
278 contributions.

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FIGURE CAPTIONS

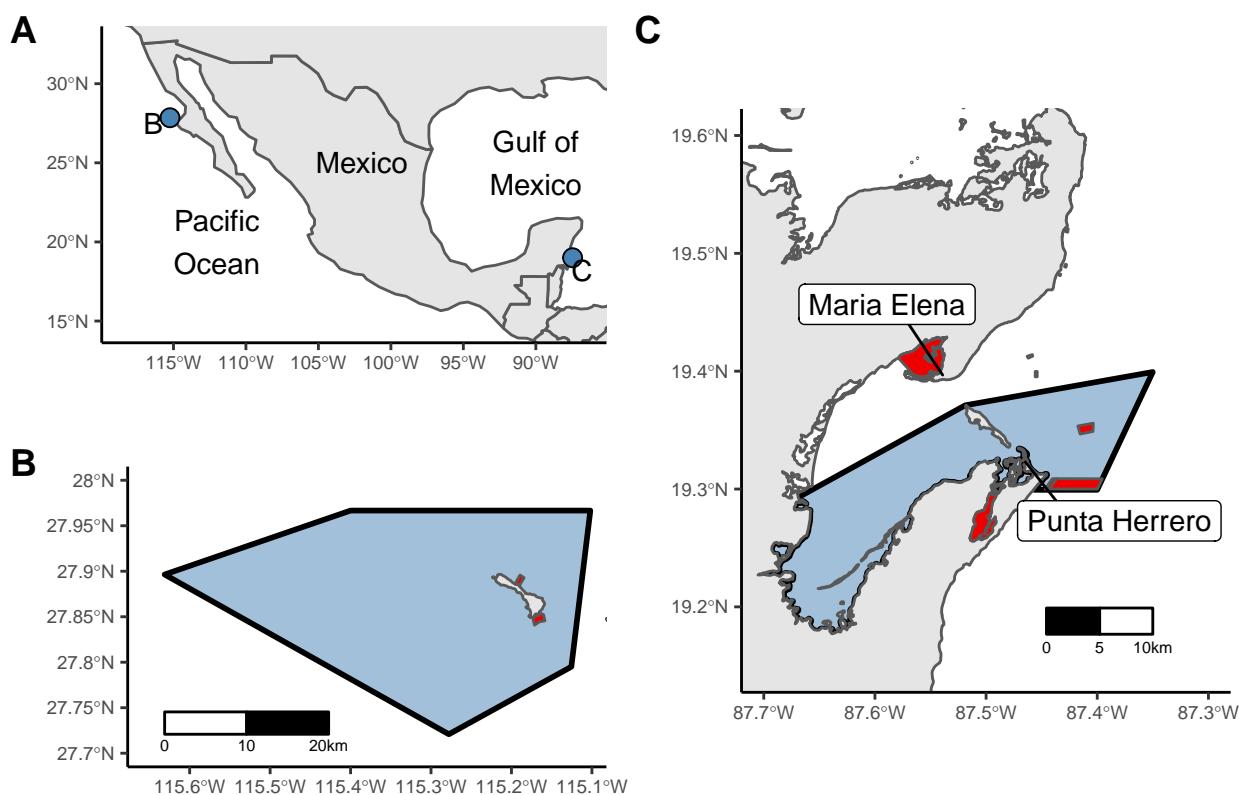


Figure 1. Location of the three coastal communities studied (A). Isla Natividad (B) is located off the Baja California Peninsula, Maria Elena and Punta Herrero (C) are located in the Yucatan Peninsula. Blue polygons represent the TURFs, and red polygons the marine reserves.

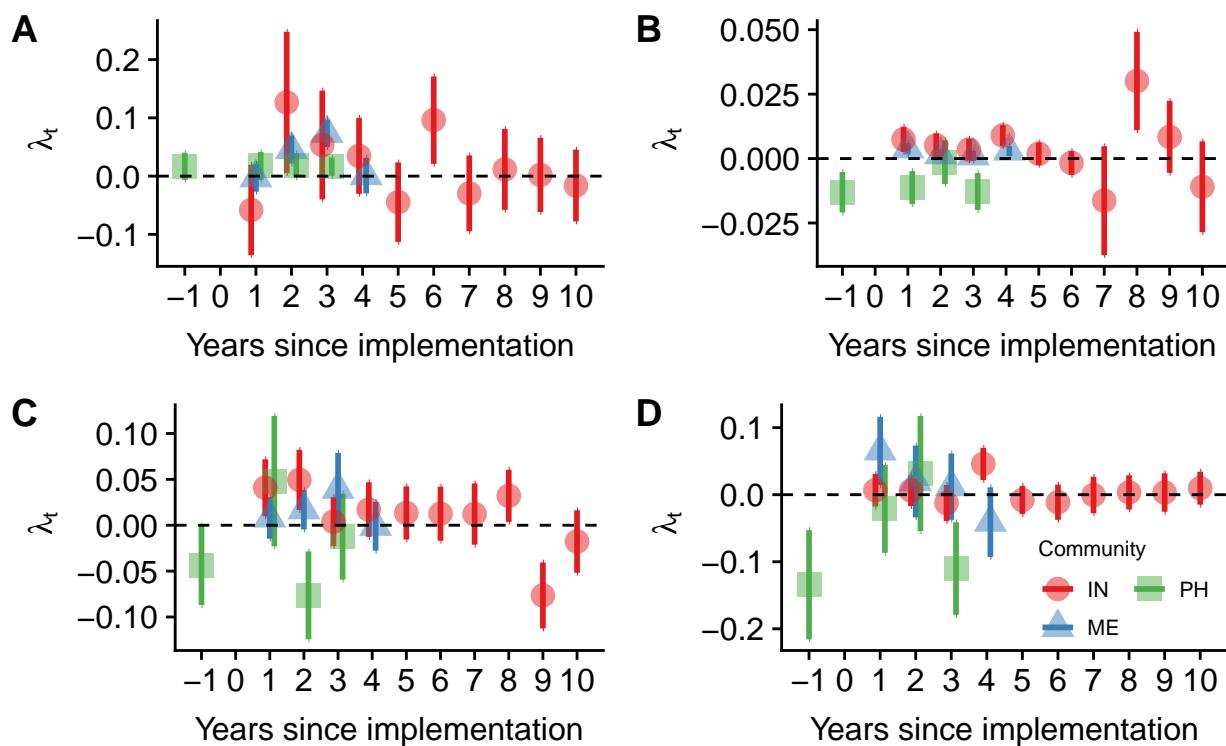


Figure 2. Effect sizes for marine reserves from Isla Natividad (IN; red circles), Maria Elena (ME; blue triangles), and Punta Herrero (PH; green squares) for lobster densities (*Panulirus spp.*; A), fish biomass (B), invertebrate densities (C), and fish densities (D). Plots are ordered by survey type (left column: invertebrates; right column: fish). Points are jittered horizontally to avoid overplotting. Points indicate the effect size and standard errors. Years have been centered to year of implementation.

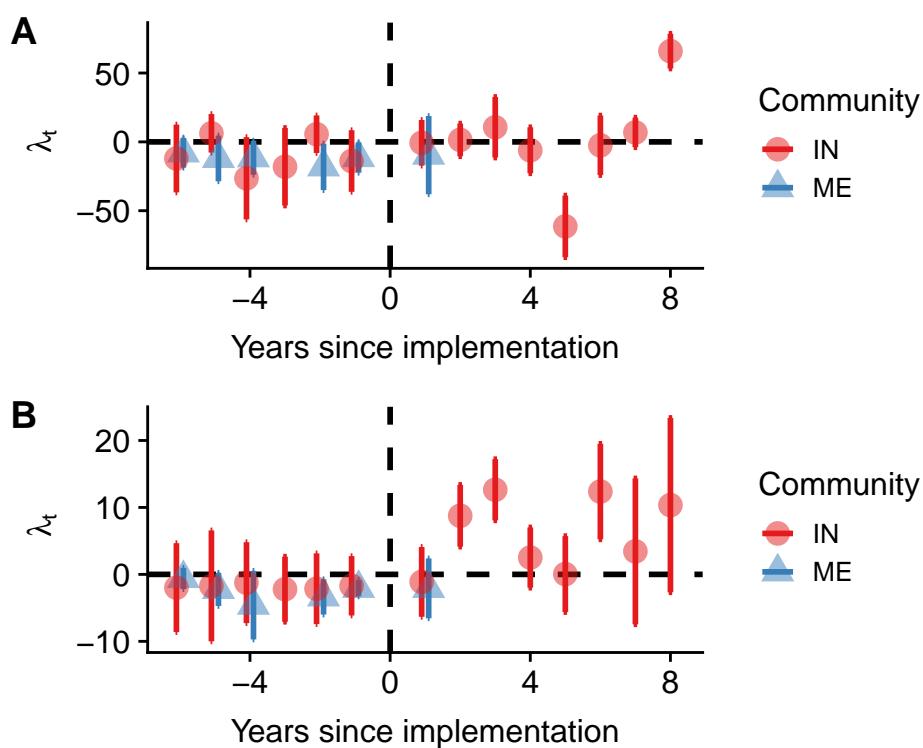


Figure 3. Effect sizes for lobster catches (A) and revenues (B) in at Isla Natividad (IN; red circles) and Maria Elena (ME; blue triangles). Points indicate the effect size and standard errors. Years have been centered to year of implementation.

Table 1. List of indicators used to evaluate the effectiveness of marine reserves, grouped by category.

Indicator	Units
Biological	
Lobster density	org m ⁻²
Invertebrate density	org m ⁻²
Fish biomass	Kg m ⁻²
Fish density	org m ⁻²
Socioeconomic	
Income from target species	M MXP
Landings from target species	Metric Tonnes

Table 2. Variables for the Social-Ecological System analysis (IN = Isla Natividad, ME = Maria Elena, PH = Punta Herrero). Alphanumeric codes follow Basurto et al. (2013); an asterisk (*) denotes variables incorporated based on Di Franco et al. (2016) and Edgar et al. (2014).

Variable	Narrative
Resource System (RS)	
RS2 - Clarity of system boundaries: Clarity of geographical boundaries of TURF and reserves	Individual TURF and reserve boundaries are explicitly outlined in official documents that include maps and coordinates. Reserve placement is decided by the community. Fishers use GPS units and landmarks.
RS3 - Size of resource system: TURF Area (Km ²)	IN = 889.5; ME = 353.1; PH = 299.7
RS3 - Size of resource system: Reserve area (Evaluated reserve area; Km ²)	IN = 2 (1.3); ME = 10.48(0.09); PH = 11.25 (4.37)
RS4.1 - Stock status: Status of the main fishery	Lobster stocks are well managed, and are (IN) or have been (ME, PH) MSC certified.
*RS5 - Age of reserves: Years since reserves were implemented	IN = 12; ME = 6; PH = 5
Resource Unit (RU)	
RU5 - Number of units (catch diversity): Number of targeted species	Lobster is their main fishery of these three communities, but they also target finfish. Additionally, fishers from Isla Natividad target other sedentary benthic invertebrates.
Actors (A)	
A1 - Number of relevant actors: Number of fishers	IN = 98; ME = 80; PH = 21
*A3 - Isolation: Level of isolation of the fishing grounds	Their fishing grounds and reserves are highly isolated and away from dense urban centers.
Governance system (G)	
GS6.1.4.3 - Territorial use communal rights : Presence of institutions that grant exclusive harvesting rights	Each community has exclusive access to harvest benthic resources, including lobster. These take the form of Territorial User Rights for Fisheries granted by the government to fishing cooperatives.
GS6.2 - Operational rules: Rules implemented by individuals authorized to partake on collective activities	Fishers have rules in addition to what the legislation mandates. These include larger minimum catch sizes, lower quotas, and assigning fishers to specific fishing grounds within their TURF.
GS9.1 - Social monitoring: Monitoring of the activities performed by cooperative members and external fishers	Fishing cooperatives have a group that monitors and enforces formal and internal rules. They ensure fishers of their fishing cooperative adhere to the established rules, and that foreign vessels do not poach their TURF and reserves.
GS9.2 - Biophysical monitoring: Monitoring of biological resources, including targeted species	Fishers perform annual standardized underwater surveys in the reserves and fishing grounds. Recently, they have installed oceanographic sensors to monitor oceanographic variables.
GS10.1 - Graduated sanctions	Fishers have penalties for breaking collective-choice rules or fishing inside the reserves. These may range from scoldings and warnings to not being allowed to harvest a particular resource or being expelled from the cooperative.