

## 1 Highlights

### 2 **Digital technologies can scale the study of adaptation in small-scale** 3 **fisheries**

#### 4 Anonimized

- 5     • We develop and test a new method to deploy behavioral economic ex-  
6       periments by leveraging digital media platforms: “digital experiments”
- 7     • These digital economic experiments elicit and capture responses that  
8       are qualitatively similar to those through in-person games, but at a  
9       fraction of the cost
- 10    • Even when fishers were informed about the risk of an environmental  
11      shock at the onset of the experiment, adaptation ensues only after a  
12      shock has occurred, and the effect dissipates quickly.

13 Digital technologies can scale the study of adaptation  
14 in small-scale fisheries

15 Anonimized

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16 **Abstract**

Economic experiments have led to important advances in our understanding of human adaptation in coupled social-environmental systems. However, economic experiments may be costly, which limits their scale and even the external validity of their results. Digital technologies offer great potential to deploy economic experiments at scale, but this approach remains largely untested. Here, we evaluate the feasibility of using mobile computing platforms (smartphones, tablets, and computers) to deploy digital economic experiments that collect fishers' response to environmental shocks. To do so, we developed a digital version of a well-studied natural resource harvesting game characterized by a renewable common-pool resource that is harvested in repeated iterations, and used social media platforms to attract users (fishers). We recorded total of 3,369 interactions with the outreach material, which lead to a total of 740 rounds played. We show that fishers' behavior during digital experiments was qualitatively similar to responses observed during in-person games reported in the literature. Additionally, we find that providing information about the risk of environmental shocks alone is not sufficient to induce adaptation by fishers, who only adapt by reducing their harvest rates after experiencing a climatic shock.

17 *Keywords:* Climate hazards, Adaptation, Climate change, social-ecological  
18 systems, Human dimensions of environmental change

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## 19 **1. Introduction**

20 Coastal and inland small-scale fisheries and aquaculture produce half of  
21 the global fish catch and over two-thirds of aquatic food production for human  
22 consumption, providing livelihoods to 100s of millions of people as well as  
23 critical nutrition to approximately 1 billion people [1]. As with other food  
24 systems, the economic productivity and stability of the wild-caught fisheries  
25 sector is subject to the forces of economic markets and national policies  
26 [2, 3]. However, unlike other food systems where humans may control some  
27 inputs, processes, and outputs, the productivity of fisheries remains largely  
28 constrained by the environmental, ecological, physiological processes [4, 5, 6].

29 Consider the example of agriculture, where a farmer may select their crop,  
30 when to plant it, how much fertilizer, pesticide, and water to use, and when  
31 to harvest so as to maximize returns. They may also build reservoirs to water  
32 plants in the dry season, and greenhouses to control light, temperature and  
33 humidity, or provide their plants with shade to fight rising temperatures.  
34 Fishers, on the other hand, have little to no control over the factors that  
35 drive somatic growth, natural mortality, per-capita fecundity, reproductive  
36 output, early (larval) development, movement and migration of wild fish [e.g.  
37 water temperature, dissolved oxygen, [7, 8], and food availability [9, 10, 11]].  
38 This inability to control some determinants of a system's productivity (in  
39 magnitude, space, and time) makes wild-caught fisheries (particularly small-  
40 scale) disproportionately vulnerable to the adverse consequences of climate

41 change. Therefore, understanding how fishers respond to climate change and  
42 environmental shocks, and what triggers transformations and boosts adaptive  
43 capacity is a priority to ensure social-economic sustainability of fisheries, as  
44 well as for food security and nutrition of the coastal communities whose  
45 health and well being depend upon the exploitation of marine, renewable  
46 resources, particularly in low and mid-income nations [12].

47 One of the main challenges to designing and implementing adaptation-  
48 enhancing policies for small-scale fisheries is their dynamism and diversity  
49 (in size, targeted species, composition, identity, and management regimes  
50 [12]). Economic experiments, a method from experimental economics, pro-  
51 vide effective frameworks for understanding these complex dynamics and  
52 behaviors. Economic experiments are “games” designed to mimic real-life  
53 decision-making incentives under a controlled environment, where the re-  
54 searcher can credibly introduce an exogenous treatment (*e.g.* “if a 6-sided  
55 dice rolls 1, you lose 50% of your stock”) and, while maintaining everything  
56 else constant, elicit and record a player’s behavioral response (*e.g.* “I already  
57 lost 50% of my stock, so I shall harvest less (or more?) this round”). These  
58 approaches have been widely used in the literature because they allow testing  
59 how different factors of the game affect decisions and, under certain condi-  
60 tions, may indicate how fishers will respond to similar factors in the real  
61 world (See [13] for an analysis on the role of framing and external validity of  
62 games). For example, Finkbeiner et al. [14] conducted economic experiments  
63 with fishing cooperatives in Baja California (Mexico) and found that fishers  
64 adapted to environmental uncertainty or illegal fishing -both causing a sud-  
65 den decline in the stocks- by voluntarily reducing their catch rates, and that

66 these adaptive responses were stronger in communities where fishers stated  
67 trust in management institutions and secure fishing rights.

68     These methods have also been used to study trust [13], competition [15],  
69 and gender-specific responses [16], among many other relevant topics that  
70 have made important contributions to the study of social-ecological systems.  
71 However, economic experiments can face three limitations: 1) they require  
72 large upfront and continued financial resources to gather players and re-  
73 searchers in a room; 2) they often employ small sample sizes that only repre-  
74 sent a small subset of fishers; and 3) they may have limited external validity,  
75 making it difficult to use insights from local processes to inform general poli-  
76 cies [17]. As a result, large sums of money and valuable time are devoted  
77 to learning processes that may not translate outside the context of the focal  
78 community or fishery assessed. Digital technologies can be leveraged to over-  
79 come some (or most) of these challenges [18], as they offer untapped potential  
80 to cost-effectively reach a larger and more diverse group of fishers, thereby  
81 generating generalizable insights that can be used to inform policy.

82     Here, we combine digital technologies with common approaches from  
83 behavioral and natural resource economics to scale the study of adapta-  
84 tion across diverse fishing organizations. We designed a digital version of  
85 previously-tested, in-person, field experiments on behavioral responses to  
86 climate change and used mobile computing platforms (smartphones, tablets)  
87 to engage with fishers in Mexico. Our main objective was to evaluate the  
88 feasibility of using mobile digital platforms to deploy economic experiments.  
89 For digital game experiments to be a suitable substitute for in-person games,  
90 they must be able to overcome at least one of the three limitations stated

91 above (*i.e.* cost, sample size, and external validity). We assessed feasibility by addressing the following two questions: 1) Can we compel enough  
92 fishers to play our digital games? and 2) Can we collect a diverse sample,  
93 representative of different fisheries, environments, and demographics? Moreover, even if the answers to both questions are positive, we must also show  
94 that digital economic experiments can elicit and capture the same behaviors  
95 and responses as in-person economic experiments would. Therefore, we also  
96 asked: 3) How do responses captured by digital economic experiments compare to those observed for equivalent in-person games? Finally, we asked 4)  
97 What new insights, with respect to what was previously found, emerge from  
98 the analysis of the digital experiment data?  
99  
100  
101

## 102 2. Methods

103 Our methods section is divided into two main parts. The first one focuses  
104 on our experimental design and approach to data collection. We begin with  
105 a description of the original experiment and its adaptation to the digital  
106 context. We then provide a brief description of the software development  
107 component of our project, as well as the use of social media to broadcast  
108 our game and track user engagement. The second part then focuses on the  
109 analyses made to the data, which relate to our main objectives. We first  
110 outline how we measured game engagement, we then present methods used  
111 to validate our responses, and then we introduce a new analysis where we  
112 study the timing of adaptation in relation to knowledge about and realization  
113 of shocks.

## 114 2.1. Experimental design and data collection

### 115 2.1.1. The digital economic experiment

116 We develop our first digital economic experiment with the objective of  
117 studying behavioral responses in the face of climate change and, specifically,  
118 climatic shocks causing massive mortality (*sensu* [19, 20, 21]). This choice is  
119 grounded on two reasons. First, because the adverse effects of climate change  
120 are one of the most pressing issues faced by fishing communities today [12].  
121 And, secondly, because we conducted this study with the explicit goal of  
122 comparing the outcomes of game experiments fishers play through digital  
123 technology with those previously conducted in person. To this end, we repli-  
124 cate the dynamics of an in-person game experiment originally designed and  
125 conducted by Finkbeiner et al.[14] in Baja California (Mexico), as described  
126 below.

127 The original in-person game simulated a common-pool resource harvested  
128 by five fishers over 15 rounds. The stock available to these players in period  $t$   
129 depended on extraction decisions in period  $t - 1$ . Overall, 180 fishers from six  
130 fishing communities participated in the game. The experimental treatments  
131 relevant to our exercise were designed to test for changes in fishing behavior  
132 under factorial combinations of environmental uncertainty (climatic shock)  
133 and communication. In each round, fishers were presented with the stock  
134 size (ranging between 0 - 100) and they were allowed to fish 0-5 resource  
135 units each. After total harvests were tallied, the escapement (*i.e.* stock size  
136 minus total harvests) grew at a constant 10% rate for next round's stock  
137 size (up to maximum stock size of 100 units). When relevant, environmental  
138 uncertainty was introduced through a 10% chance of losing 50% of the to-

139 tal escapement each round, and communication was introduced by allowing  
140 fishers to discuss non-binding agreements on individual and aggregate-level  
141 catch. The game also included a baseline treatment of no environmental  
142 uncertainty and no communication. Fishers were paid to participate in the  
143 game, and the payouts were designed to compensate for wages earned on an  
144 average day’s fishing.

145 For the digital experiment, we make three modifications to the design.  
146 First, we restrict our implementation to two treatments: a baseline treatment  
147 without environmental uncertainty, and a main treatment of interest with en-  
148 vironmental uncertainty, following the same parameters as before. Secondly,  
149 each fisher still harvests a common-pool resource, but they interact with four  
150 pre-programmed virtual fishers (hereinafter also referred to as bots) harvest-  
151 ing the same resource, rather than playing against other real-life (human)  
152 fishers. The virtual fishers were programmed following real human decisions  
153 and parameters published by [14] for each treatment (See Appendix A). This  
154 parameterization allows for random round- and treatment-specific variations  
155 in harvest levels that replicate previously observed behavior. We do not incor-  
156 porate a communication treatment (*i.e.*, where players communicate between  
157 themselves) or peer-to-peer connections for fishers to play among themselves  
158 due to computational limitations (*i.e.*, we would require computationally-  
159 expensive peer-to-peer connections). In our game, fishers first play the base-  
160 line treatment (*i.e.*, 15 rounds with no climatic shocks), immediately followed  
161 by the environmental uncertainty treatment (*i.e.*, another 15 rounds) where,  
162 at each round, there is a 10% chance of a 50% collapse of the stock. In  
163 case the fisher chose to play more games (of 15 rounds each), these were all



164 played under the environmental uncertainty treatment. Finally, our game  
165 does not include any financial compensation or incentives because part of  
166 our objective is to see whether the game can reduce the costs of deploying  
167 experiments while simultaneously increasing sample size and external valid-  
168 ity (but see [22] for a discussion on response rates and monetary incentives).  
169 Mathematical equations and pseudo-code are provided in Appendix A.

### 170 2.1.2. *Development of the digital platform*

171 The digital experiment uses a web-based platform, which we developed  
172 under the ShinyApps framework in R and RStudio. This provides a simple  
173 way to run R code in a remote server accessed through an HTML front end  
174 faced by fishers. The digital platform, called “La Pesca Cambiante” (*i.e.*, The  
175 Changing Fishery) is available online; the source code is openly accessible on  
176 GitHub. We assumed most players would access the game on their phones or  
177 tablets, so we developed the user interface with a portrait orientation. Upon  
178 entering the app, users are presented with a brief optional survey (details  
179 below) followed by instructions on how to interact with the controls. The  
180 main screen is designed to replicate the information available to fishers during  
181 the original treatments of the game implemented by [14].

182 Throughout the game, fishers could observe the total stock size with the  
183 number of specimens -represented by a simple sketch of a marine species of  
184 commercial interest- in the fishing ground, and a numeric badge indicating  
185 current population size. One of three species of commercial interest (crab,  
186 shrimp, and finfish) was randomly selected at the beginning of each round  
187 to ensure a random and diverse representation of the resources commonly  
188 targeted by fishers. Fishers could also observe the total catch by the entire

189 group, their own previous catch, and a counter (from 0 to 15) showing the  
190 current round number. A slider allows fishers to select their catch each round  
191 (0-5, or maximum population size) and a button allows fishers to submit  
192 their harvesting intentions. When the baseline treatment was completed and  
193 fishers indicated to play the next game, they were presented with pop-up  
194 notification of environmental uncertainty and the game's color scheme was  
195 modified. Images of each screen are shown in Figure 1.

196 We optimized the user interface by holding two focus groups where players  
197 tested the digital platform before releasing it to the public. The first one with  
198 personnel from the civil society organization Comunidad y Biodiversidad,  
199 A.C. (COBI;  $n = 5$  players) and the second with members of a small-scale  
200 fishing cooperative in El Rosario, Mexico ( $n = 5$  players). These helped  
201 us develop, refine, and finalize the user interface for the digital economic  
202 experiment, but no changes were made to the underlying game dynamics.

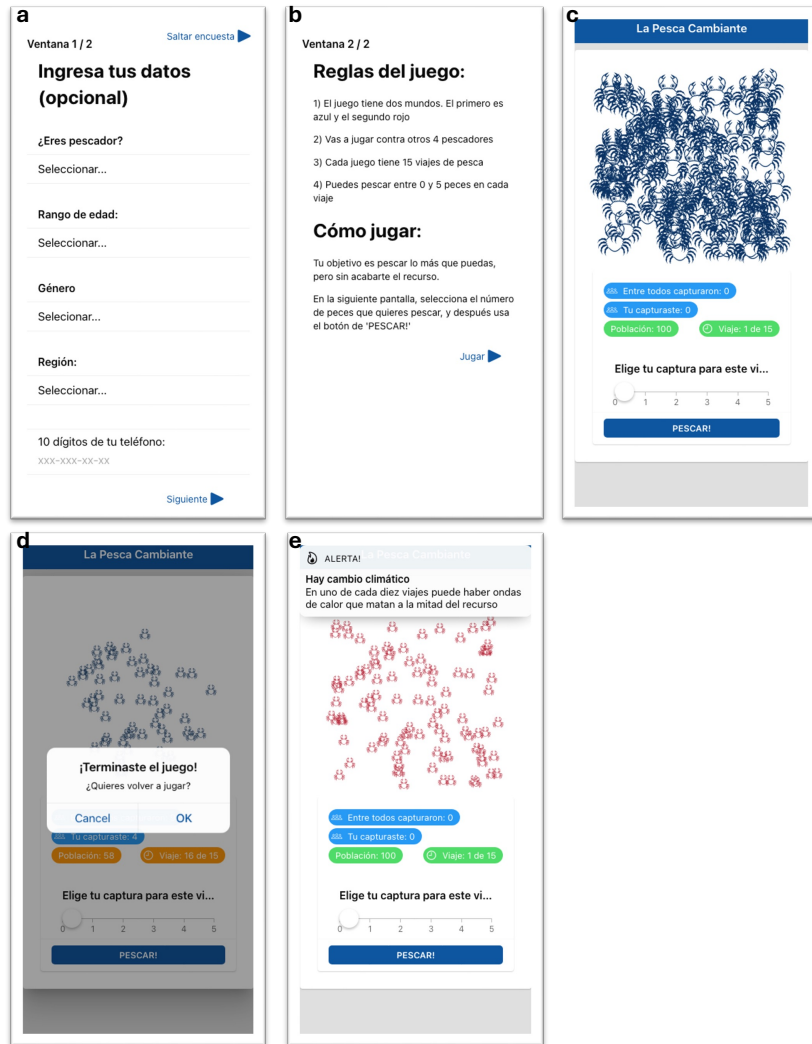


Figure 1: **Five images of different screens presented to fishers.** Panel **a** shows the welcome screen, with the brief optional survey. Panel **b** shows the instructions given to fishers. Panel **c** shows the baseline playground, with four informational badges. Panel **d** shows the end-of-game message and adoption to advance to the next game (treatment). Panel **e** shows the playground for the environmental uncertainty treatment, along with the pop-up notification. Note the change in color scheme between treatments (from blue in **c-d** to red in **e**).

### 203 2.1.3. *Communication and outreach*

204 Testing our ability to recruit players into the game was one of our research  
205 questions, so we followed two approaches. First, we wrote a blog post (in  
206 Spanish, available here) where we introduced the project, the objectives, and  
207 the game. The blog post contained an invitation and link to play the app.  
208 The blog post was shared through the networks (*i.e.*, FaceBook, web site, and  
209 groups) owned by PescaData, a digital logbook app for small-scale fishers.  
210 We used short video clips with a demonstration of the game (Supplementary  
211 materials Video 1 and Video 2). The second strategy bypassed the blog  
212 post, and instead the FaceBook post (posted on COBI's profile) directly  
213 linked social media users to the game. A total of four posts were promoted  
214 for 10, 13, 8, and 16 days, with promotion costs of \$1000 MXN, \$1200 MXN,  
215 \$400 MXN, and \$1200 MXN each. The total investment was \$3800 MXN, or  
216 around \$200 USD. We used FaceBook analytics to count the number of times  
217 people clicked on the links, and to collect basic demographic information like  
218 self-reported gender identity, age, and location. We also tracked the number  
219 of interactions with the blogpost, with the link that took readers to the game,  
220 and the extent to which users interacted with the game (*e.g.*, access, access  
221 and play one round, access and play two rounds).

### 222 2.1.4. *Tracking engagement and behavioral responses*

223 For each fishers' interaction with the game, we gathered data on the  
224 type of treatment, the round number, population size, individual harvest,  
225 aggregate harvest, escapement, and indicators for catastrophic mortality (if  
226 relevant), as well as the data gathered in the optional survey (Figure 1A).  
227 This data, stored in a google spreadsheet with a unique (anonymized) iden-

228 tifier, is comparable to the one generated by the original in-person game  
229 experiments with which we will compare our results.

## 230 2.2. Data analysis

231 We performed a survival analysis to derive the proportion of fishers that  
232 made it from one stage to the next. We counted the number of interactions  
233 at each of the following stages: Social media post, blog post, entering the  
234 game, playing at least one treatment in the game (*i.e.*, baseline), and playing  
235 at least two treatments in the game (*i.e.*, baseline and environmental uncer-  
236 tainty). We calculated the proportion of interactions flowing from one step  
237 to the other, and also generated an overall survival matrix to show pairwise  
238 comparisons of proportions of players between the stages above.

### 239 2.2.1. Measuring engagement

240 We followed a similar approach to [14] and tested for changes in harvest  
241 behavior between treatments. The original analysis used average group catch  
242 as a fraction of maximum catch as the response variable. Here, since only one  
243 player per game is human (the other four being virtual fishers or bots), we use  
244 player-level catch as a fraction of maximum player-level allowable catch for  
245 each round as our response variable. We are interested in two parameters:  
246 1) the slope of catch over time and 2) the difference in catch rates across  
247 treatment status. We estimate these parameters of interest using a linear  
248 regression of the form:

$$y_{i,t} = \beta_0 T_t + \beta_1 D_i + \boldsymbol{\mu} + \epsilon_{i,t} \quad (1)$$

249 where  $y_{i,t}$  is the catch rate of player  $i$  at round  $t$ ,  $\beta_0$  captures the change in

250 catch rate through rounds ( $T_t$ ), and  $\beta_1$  captures the change in catch rate when  
 251 player  $i$  faces the environmental uncertainty treatment (*i.e.*,  $D_i = 1$ ). We  
 252 include fixed-effects by region captured by vector  $\boldsymbol{\mu}$ , and implement Driscoll-  
 253 Kraay standard-errors [23]. Note that we only analyze responses by human  
 254 fishers, never by bots. We also perform robustness tests restricting the sample  
 255 to sessions where the players played both the baseline and treatment rounds,  
 256 and when they only played the baseline round. All regressions were performed  
 257 using the fixest package (v0.12.0; [24]), running in R version 4.4.0 (2024-04-  
 258 24) and RStudio 2024.04.0+735 [25].

### 259 *2.2.2. Validation of behavioral responses*

260 Finkbeiner [14] showed that when fishers became aware of environmental  
 261 uncertainty, they reduced their catch rates; this behavioral response was  
 262 more pronounced for those who perceived to have been more exposed to  
 263 environmental change in the real world. But do they do it as soon as they are  
 264 made aware of the possibility of a shock, or only once they have experienced  
 265 a shock? To answer this question we extend the previous analysis by testing  
 266 for the timing of the behavioral response taken by fishers. We first estimate  
 267 the same model as before, but restrict the sample to all rounds leading up  
 268 but not including the round in which the first shock ensued. Thus, this  
 269 sample only contains activity where players were aware of the environmental  
 270 uncertainty, but they had not yet experienced it in the game. A negative  $\hat{\beta}_1$   
 271 would indicate that knowledge of environmental uncertainty alone is enough  
 272 to induce an anticipatory behavioral change (*i.e.*, reduction in catch rates).

273 We then extend the analysis under an event-study framework, where we  
 274 look at player-level changes in behavior immediately before and after the

shock is delivered, to assess if and how behavior changes after an actual shock, not an anticipated one, occurred. Here, the estimating equation takes the following form:

$$y_{it} = \beta_t T_t + \alpha_1 Pre_t + \alpha_2 Post_t + \omega + \tau + \epsilon_{it} \quad (2)$$

Where  $y_{it}$  is still our response variable measuring the catch rate of player  $i$  at time  $t$ ,  $\beta_t$  estimates a vector of dynamic treatment effects corresponding with time-to-treatment as indicated by the vector of dummy variables  $T_t$  (between -5 and 5). Coefficients  $\alpha_1$  and  $\alpha_2$  estimate the effect of dummy variables that aggregate the effect of observations more than 5 rounds before ( $Pre_t$ ) and after ( $Post_t$ ) from the time of treatment. Finally,  $\omega$  and  $\tau$  are unit- and time-fixed effects. Our supplementary materials include a series of robustness tests where we estimate the same model without  $\alpha_1$  and  $\alpha_2$  and expanding  $T_t$  to the full range of the data, or where we use the robust two-way fixed-effect estimator proposed by Sun and Abraham [26].

### 2.2.3. Assessing the effects of shocks on behavioral responses

## 3. Results

### 3.1. Summary statistics of user interactions

Web analytics data show large engagement in all states throughout Mexico, with a total of 3,369 clicks on the link taking viewers to the blogpost Figure 2a. The largest number of social media interactions with the social media posts were recorded for the state of Sonora (657 interactions), one of Mexico's most important states in terms of fisheries production. Veracruz, Baja California, Chiapas, and Yucatán round-up the top-five states with large

engagement numbers at 592, 491, 490, and 469, respectively. The bottom-five states were Aguascalientes (22), Querétaro (28), Tlaxcala (37), Nuevo León (48), and Baja California Sur (56) (note that the bottom four are landlocked states or states where fisheries are not a primary economic activity). There were 14 (0.38%) instances where the state could not be identified. Demographic data suggests that the posts receive the most interaction from men, especially those between 25 and 45 years of age (Figure 2b). The female modal age was lower, with 18-34 years of age being the largest portion.

Traffic analytics data show that 55 people accessed the game. Of these, 21 completed at least the first game (*i.e.*, 15 rounds without environmental uncertainty) and 11 played more than one game (*i.e.*, 15 rounds under no uncertainty and 15 rounds under uncertainty). These interactions result in a total of 740 rounds played between both treatments ( $N = 310$  baseline,  $N = 430$  uncertainty). Figure 3 shows a survival matrix and the cumulative growth in the number of unique users with respect to each post. Note that promotion of social media posts often resulted in corresponding increases in interactions with the game, suggesting broad promotion could be a mechanism for increasing engagement. These data suggest an end-to-end player acquisition rate (also termed “click-through rate”) of 0.43%, at a cost of \$345MXN (about \$18 USD) per user.

### 3.2. Validation of behavioral responses

Time series of player behavior and stock size for digital experiments as well as previous data from Finkbeiner et al [14] are shown in Figure 4. It is visually evident that catch rates decrease through time in all cases (Figure 4a). These visual insights are corroborated by regression analy-



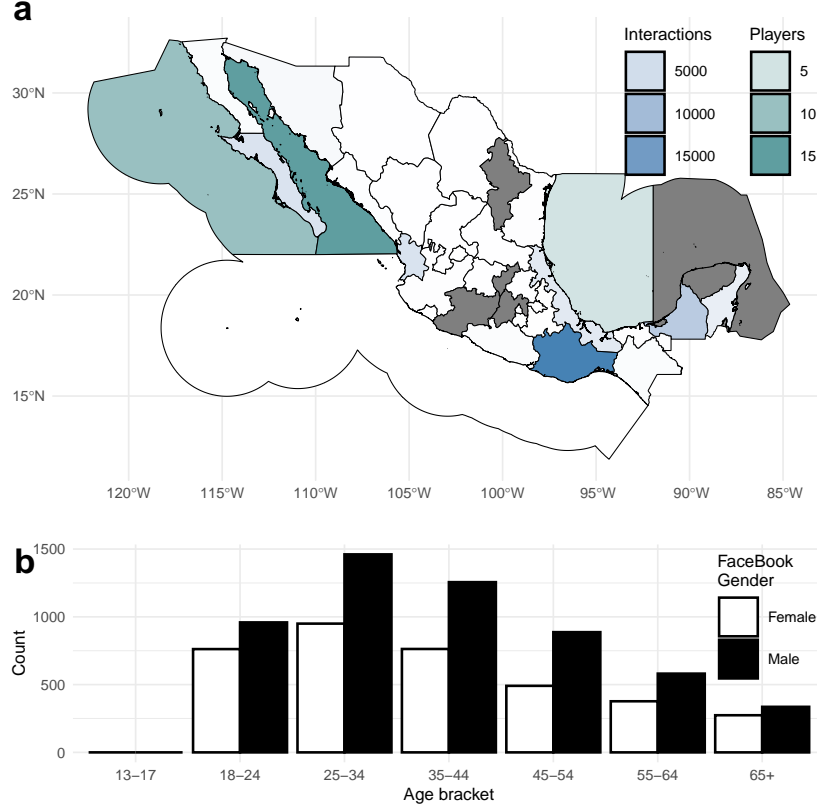


Figure 2: **Map of interactions with the FaceBook posts.** Land polygons show states in Mexico, and they are colored based on the number of interactions received in blog posts. Polygons over the ocean show Mexico’s five fishing regions and are colored based on the number of players from each. Gray polygons indicate no samples.

sis of the digital experiment data, where we find that catch rates decrease significantly through time ( $\hat{\beta}_0 = -0.009; p < 0.01$ ) and that, when faced with environmental uncertainty, fishers significantly reduce their catch rates ( $\hat{\beta}_1 = -0.091; p < 0.01$ ). The estimate for change in catch rates through time is equivalent to that reported by Finkbeiner et al[14] (at -0.012). However, our estimate of the effect of environmental uncertainty indicates a

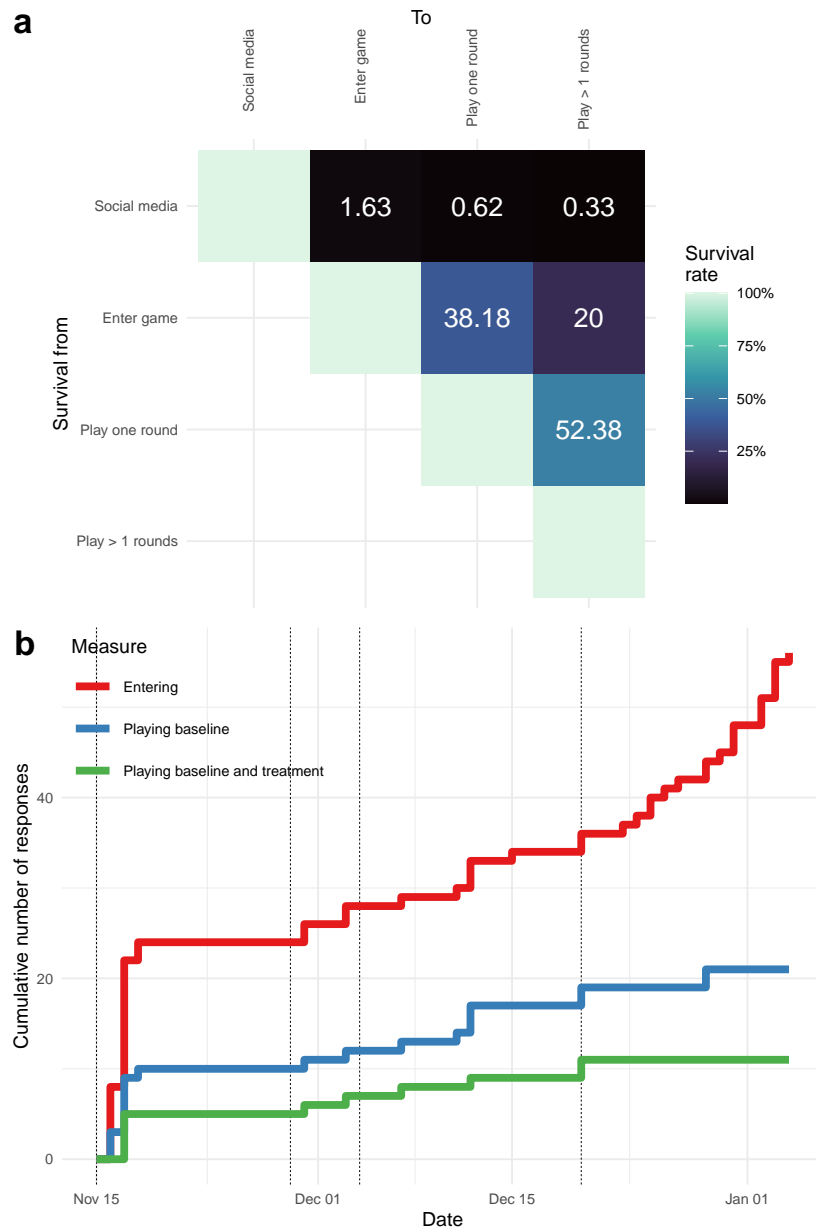


Figure 3: **Bottlenecks in user interaction and sample acquisition.** Panel **a** shows a survival matrix, where each block represents a stage and the numbers (and colors) in them show the amount of interactions. Panel **b** shows the total number of sessions where the user filled-in the survey and started the game, where at least the baseline game was played, and where the player played the baseline and uncertainty games. The dashed

328 stronger response by fishers, relative to what in-person experiments recorded  
 329 (-0.06). Restricting the sample only to players that played both games yields  
 330 a  $\hat{\beta}_0 = -0.008; p < 0.1$  and  $\hat{\beta}_1 = -0.102; p < 0.01$ , while looking at the  
 331 change in catch rate through time for those who only played the baseline  
 332 treatment we find  $\hat{\beta}_0 = -0.01; p < 0.01$ . Figure 5 shows coefficient estimates  
 333 compared to those estimated from in-person experiments [14], and Table 1A  
 334 shows model summary statistics; both also show results for different subsam-  
 335 ples as robustness tests.

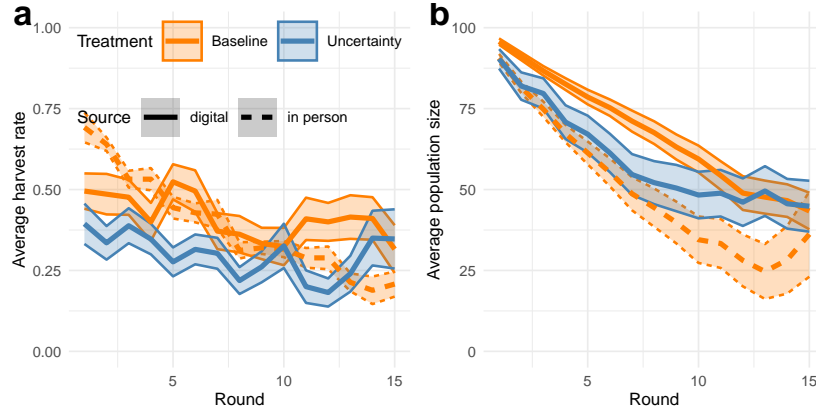


Figure 4: **Comparison of state variables in the original experiments by Finkbeiner et al [14] and the digital experiments.** Panel **a** shows change in harvest rates through time, and panel **b** shows change in population size through time. Dashed lines represent data from original in-person experiment (baseline treatment only) and solid lines indicate data from digital experiments performed here. Colors indicate the treatment.

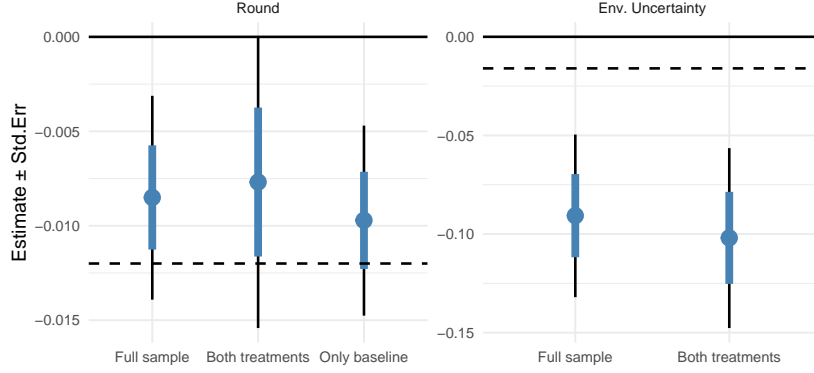


Figure 5: **Coefficient estimates retrieved from digital experiments.** The left panel shows the coefficient on time (*i.e.*,  $\hat{\beta}_0$ ) and the right panel shows the coefficient on environmental uncertainty (*i.e.*,  $\hat{\beta}_1$ ). Points show coefficient estimates, the blue portion of the error bars shows standard errors, and the black portion of the error bar shows 95% confidence intervals. We provide estimates for the full sample and two sub-samples as robustness checks (in one we retain only those who participate in both baseline and environmental uncertainty treatments, and in other one we limit it to baseline estimates only, when relevant). The solid horizontal line indicates zero, and the dashed horizontal line indicates the central estimates from Finkbeiner *et al*, [14]. Note the different y-axis scales between plots.

### 3.3. Assessing the effects of shocks on behavioral responses

A novel insight from our analysis is that information about environmental uncertainty alone (*i.e.*, the possibility that an environmental shock will significantly reduce future stock size) does not induce a behavioral response in fishers. When restricting the sample to observations that occur before any shocks, we find no significant treatment effects ( $\hat{\beta}_1 = -0.031$ ;  $p = 0.3$ ; Table 1B). This suggests that adaptation occurs only after fishers experience their first shock, which we corroborate with an analysis of dynamic effects.

Table 1: **Coefficient estimates for the effect of game round and environmental uncertainty on catch rate.** Panel A shows summary statistics associated with the validation results plotted in Fig 5. Panel B shows results for testing for the effect of information alone.

|                              | Full                 | Both treatments      | Baseline only        |
|------------------------------|----------------------|----------------------|----------------------|
| Panel A) Validation analysis |                      |                      |                      |
| Round                        | -0.009***<br>(0.003) | -0.008*<br>(0.004)   | -0.010***<br>(0.003) |
| Env. Uncertainty             | -0.091***<br>(0.021) | -0.102***<br>(0.023) |                      |
| Num.Obs.                     | 740                  | 590                  | 150                  |
| Panel B) Information only    |                      |                      |                      |
| Round                        | -0.006**<br>(0.003)  | -0.005<br>(0.004)    | -0.010***<br>(0.003) |
| Env. Uncertainty             | -0.031<br>(0.029)    | -0.032<br>(0.034)    |                      |
| Num.Obs.                     | 522                  | 372                  | 150                  |

\* $p < 0.1$ , \*\* $p < 0.05$ , \*\*\* $p < 0.01$

Each column represents results for a different sample. Each panel represents a different test. Numbers in parentheses are Driscoll-Kraay Standard errors. All specifications include fixed-effects by region.

344 We find that all coefficients leading to the impact are not significantly dif-  
 345 ferent from zero (Figure 6). Then, after the shock is realized, fishers reduce  
 346 their catch rates by more than 0.11, on average, for at least three consecu-  
 347 tive rounds ( $p < 0.1$ ; See Figure 6 and Table B.1). Their catch rates remain  
 348 lower than before the shock, though not significantly so for all five rounds  
 349 (Figure 6). The coefficients on the dummy variables indicating observations  
 350 that occur outside the 5-day window considered in the dynamic effects are  
 351 also consistent, with no significant differences before ( $\hat{\alpha}_1 = 0.024; p = 0.54$ ),  
 352 and significantly negative differences after ( $\hat{\alpha}_2 = -0.139; p < 0.1$ ; see Ta-  
 353 ble B.1). The dynamic treatment effects are also robust to other linear re-  
 354 gression specifications and to estimators specifically designed for staggered  
 355 treatment adoption and repeated treatments (See Figure B.1).

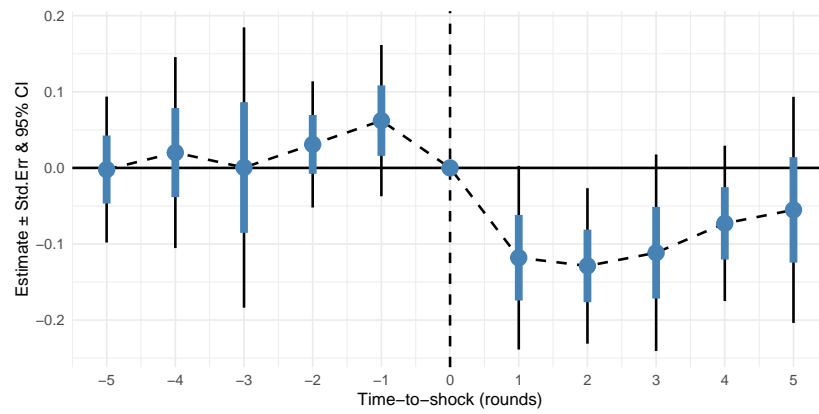


Figure 6: **Event-study plot for change in catch rate relative to time of the shock.** Points show coefficient estimates (relative to the round in which the shock was delivered), the blue portion of the error bars shows standard errors, and the black portion of the error bar shows 95% confidence intervals. Recall that the shock is delivered at the end of the round. The figure shows no significant changes in catch rates for the 5 rounds leading to the shock, and a significant decrease in catch rates (*i.e.*, adaptation) once a shock has been realized. The effect lasts for 2 ( $p < 0.05$ ) rounds after the shock.

## 356 4. Discussion and Conclusions

357 Our objective was to explore the potential use of digital economic exper-  
358 iments as a way to scale-up the study of adaptation in small-scale fisheries.  
359 We asked whether we could recruit enough participants, and whether their  
360 responses could be compared with those reported by similar in-person ex-  
361 periments. We found that it is relatively easy and cheap (around \$18 USD  
362 / participant) to recruit participants, and that their behavior is in general  
363 agreement with previously reported studies (although very few remained en-  
364 gaged through the game). Namely, both in person and digital experiments  
365 reveal a monotonic reduction in catch rates through time, and a further re-  
366 duction of catch rates when faced with uncertainty of an environmental shock  
367 [14]. Importantly, we provide a new finding, where we show that informa-  
368 tion of environmental uncertainty alone is not enough to induce a behavioral  
369 change. Instead, fishers reduce their harvest only after they have actually  
370 experienced the shock. In addition, we find that the effect of adaptation is  
371 brief. Exit interviews with players conducted by Finkbeiner et al[14] had  
372 highlighted that previous experience with an environmental shock was a cor-  
373 relate of voluntary catch reduction in this previous experiment. Our digital  
374 experiment and analysis presented here supports this hypothesized effect,  
375 thereby providing an explanatory mechanism for variable adaptive responses  
376 across communities, and an expectation that adaptive responses may increase  
377 as the occurrence of extreme events escalate under climate change scenarios  
378 [27]. In the following lines we expand on each of these points, provide caveats  
379 related to our analysis and lessons learned, and provide concluding remarks.



#### 380 4.1. Recruitment

381 The link between reading a media post and clicking on the button that  
382 deployed the game was the largest bottle-neck in the sample acquisition  
383 pipeline, suggesting the largest marginal gains can be made here. To over-  
384 come the challenge of the observed large drop between interaction with the  
385 platform and engagement through the game, future efforts could consider  
386 incentivizing potential users to engage with the game by offering a reward  
387 or other incentives [28, 29, 22]. We decided against it because fulfilling the  
388 reward is logistically difficult (fishers from anywhere in Mexico could play),  
389 and because we were explicitly interested in testing for the feasibility of dig-  
390 ital experiments in its simplest form: a link to the game, and an invitation  
391 to play. Future efforts should balance the costs of incentivizing participation  
392 *versus* paying for promotion of social media posts or expanding the initial  
393 pool of potential surveyees. Alternatively, longer promotional campaigns  
394 and increased media activity and exposure may suffice to generate larger  
395 sample sizes. Formally assessing the feasibility and efficacy of these differ-  
396 ent approaches to increasing engagement is a critical next step before digital  
397 platforms can be broadly used for addressing research questions of adaptive  
398 responses to environmental uncertainty and other shocks.

#### 399 4.2. Validity

400 Even with the limited sample size, we find general agreement with pre-  
401 vious behavioral economic field experiments by Finkbeiner et al. [14], which  
402 suggests that digital economic experiments may provide a scalable solution  
403 to study adaptation in small-scale fisheries. Although we found similar re-  
404 sults (monotonic reductions in catch rate through time and further reduction

405 in the face of environmental uncertainty), our estimates of treatment effect  
406 (environmental uncertainty) indicate a larger reduction in catch rates than  
407 that reported for in-person experiments.

408 There are a few potential explanations for this. First, our sample size  
409 may limit our ability to retrieve the true parameter implying our estimates  
410 are biased. Second, our estimates are unbiased and the difference arises  
411 purely due to the game being played online, rather than in-person. These  
412 could be because people enjoy full anonymity in the digital games, or because  
413 the in-person games provide the opportunity for non-verbal cues and body  
414 language to still play a role. A third option is that the monetary incentives  
415 in the field could enhance the relative payoffs from immediate extractions in  
416 the game under the uncertainty of a sudden stock reduction. A final option  
417 is that fishers have had time to learn to adapt to climate change since the  
418 original experiments by Finkbeiner et al. [14](back in 2015), for example  
419 through the prolonged and extreme marine heat wave that has affected the  
420 region starting in 2014 and through 2016 [21]. Based on our result that  
421 direct experience with an environmental shock significantly affects behavior,  
422 we believe the most likely explanation is that most fishers have now been  
423 exposed to some of the adverse effects of climate change, and that they have  
424 internalized adaptation routes [30]. This is also consistent with previous  
425 research on strength of adaptive responses as it relates to historical exposure  
426 to climactic events [27].

### 427 *4.3. Implications*

428 Our results show that digital platforms hold great potential to scale up  
429 the study of adaptation in small-scale fisheries. This could provide deci-

430 sion makers, civil society organizations and academic researchers a relatively  
431 cheap, fast, and scalable solution to deploy experiments investigating adap-  
432 tation to ongoing shocks, and test the outcomes of new policies before they  
433 are implemented. Further research should expand on our analysis, including  
434 testing for external validity and sampling representation.

435 We also found that, even when fishers were provided with information  
436 on the potential of a shock, they failed to adapt until the shock had been  
437 realized. This is concerning, given that many fishery managers, politicians,  
438 and environmental scientists often rely on “environmental outreach” or “com-  
439 munication” to share insights from the climate science community. Our re-  
440 sults suggest that, to a large extent, fishers may ignore these messages until  
441 they experience the problem themselves. This finding may have implications  
442 beyond fishers, and raises an intriguing area of research about the role of  
443 individual experience in adaptation and behavioral modification. This also  
444 opens up the possibility to explore whether and how different ways of com-  
445 municating the potential of a shock may induce a behavioral response. These  
446 games provide support to the argument that we need to pay attention to the  
447 cognitive biases and limitations that affect humans when making complex  
448 decisions[31] where, in our context, they must face the problem of cooperation  
449 with other fishers while solving the challenge of anticipating future shocks  
450 that can affect payoffs in the future. Moreover, the games themselves might  
451 be explored as tools for creating experiences with environmental change and  
452 uncertainty, and their potential use for awareness and engagement of key  
453 actors as well as the general public could be further investigated.

#### 454 4.4. *Limitations*

455 We consider that the ShinyApps framework provides sufficient control  
456 over the development of the web-based platform that we used to deploy the  
457 games. The approach has been used in academia to build a large sample of  
458 solutions-oriented web-based apps, from evaluating community-based marine  
459 reserves [32] or simulating potential effects of subsidy reforms [33]. However,  
460 we recognize that the approach has some limitations, which may become  
461 increasingly relevant for other studies. Firstly, there is a certain barrier to  
462 entry in learning how to write the scripts that control the user interface and  
463 the back-end of the game. Fortunately, others have developed valuable guide-  
464 lines and best-practices to inform the use of ShinyApps in academic research  
465 [34, 35], which provide useful insights to those interested in implementing  
466 this approach.

467 Another limitation is that, as currently implemented, the game does not  
468 allow for peer-to-peer connections where fishers may play against or in coor-  
469 dination with each other, instead of with the pre-programmed virtual fishers.  
470 This is an important point, as it is crucial that experiments replicate the so-  
471 cial dynamics of decision-making that may arise in the real world. Although  
472 we note that the limitation could be bypassed by hosting the platform on  
473 private servers rather than on those provided by shinyapps.io services, which  
474 employ ephemeral connections to make computation more efficient and ac-  
475 cessible. We further note that a way to work around both of these limitations  
476 is for research teams to engage with professional software developers, who  
477 have the knowledge and expertise required to build the right tool for mod-  
478 est cost. This is something that we are ourselves considering, now that we

479 have confirmed the feasibility of the approach. We certainly hope others will  
480 follow.

481 Finally, we emphasize that our estimates of behavioral responses are de-  
482 rived from a total of 740 rounds played, which come from a small number  
483 of users who played both games ( $N = 11$ ). We are confident in the general  
484 results about behavioral changes because these are robust to a series of other  
485 tests and specifications (See supplementary materials), and because they are  
486 in alignment with previous findings [14]. Nonetheless, recall that our main  
487 objective was to test for the feasibility of using digital technologies to deploy  
488 behavioral experiments, with the secondary objective of drawing inference on  
489 new processes (*i.e.*, that adaptation ensues only after experiencing a shock).

#### 490 4.5. *Conclusions*

491 We conclude that digital economic experiments provide a feasible, cost-  
492 effective, and scalable alternative to study adaptation in small-scale fishers.  
493 We encourage other researchers to leverage digital technologies to perform  
494 large-scale deployments of digital economic experiments. Additionally, we  
495 find that information about uncertainty alone is not enough to induce a  
496 behavioral change in fishers: adaptation ensues once the threat has materi-  
497 alized.

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## 618 Appendix A. Supplementary text

619 Pseudo-code and mathematical representation of the game experiment

620 The timing of events is the following:

- 621 1. The user observes  $N_t$ , total stock size in round  $t$
- 622 2. User  $i$  chooses a catch level (0-5) for round  $t$ , given by:  $h_{i,t}$ . This is their  
623 choice variable, and what we will use as a response variable.
- 624 3. Bots are also pre-programmed to fish as a function of round and treat-  
625 ment status, so we must account for their catch. Total catch at time  $t$   
626 is simply the sum of everyone's catch, given by:  $H_t = \sum_{i=1}^5 h_{i,t}$
- 627 4. We can then calculate escapement at time  $t$  as:  $E_t = N_t - H_t$ .
5. The resource then groups according to the following equation of motion:

$$N_{t+1} = (1 + r)E_t\gamma_t(1 - \mu_t) \quad (\text{A.1})$$

628 Where:

- 629 •  $r$  is the resource's intrinsic growth rate, with a constant value of  
630  $(r = 0.1)$
- 631 • If the player is playing the environmental uncertainty treatment,  
632 then:  $\gamma_t$  is the environmental variation parameter, drawn from a  
633 log-normal distribution such that:  $\gamma_t \sim \text{lnorm}(1, 0.1)$
- 634 6.  $\mu_t$  is the mortality rate under a shock at time  $t$ . It takes a value of 0 in  
635 the absence of a shock, or 0.5 otherwise. The app shows the user  
636 the resulting population size  $(N_t + 1)$ , and we begin at point 1 again.

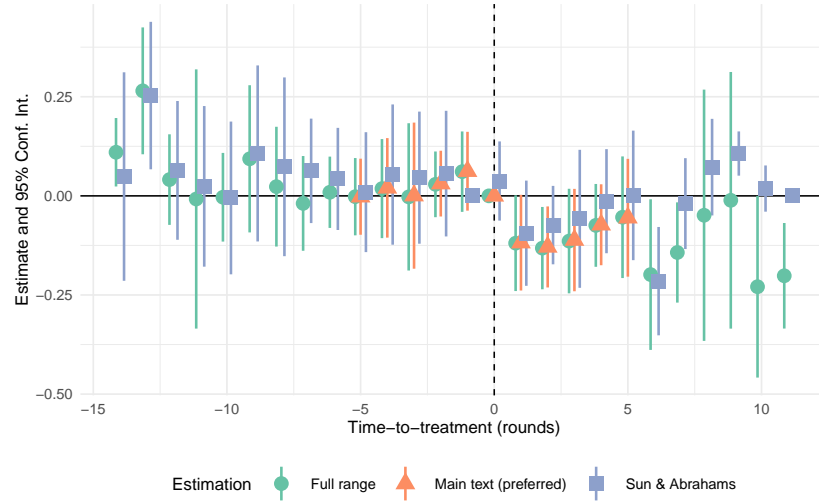


Figure B.1: **Alternative specifications and estimators applied to the event-study analysis.** The x-axis shows the number of rounds leading to and after the treatment. Points show coefficient estimates. Each color corresponds to a different estimation strategy. Our main-text results for dynamic effects (limited to  $\pm 5$  rounds) are similar to those estimated with data from all rounds and drop the pre- and post- dummy variables, and when we use the Sun & Abrahams [26] estimator for staggered treatment adoption.

Table B.1: Coefficient estimates for event study

|          | (1)      |       |
|----------|----------|-------|
|          | Est.     | S.E.  |
| pre      | 0.024    | 0.039 |
| post     | −0.140*  | 0.069 |
| ttt = -5 | −0.002   | 0.045 |
| ttt = -4 | 0.020    | 0.059 |
| ttt = -3 | 0.001    | 0.086 |
| ttt = -2 | 0.031    | 0.039 |
| ttt = -1 | 0.062    | 0.046 |
| ttt = 1  | −0.118*  | 0.056 |
| ttt = 2  | −0.129** | 0.048 |
| ttt = 3  | −0.112*  | 0.060 |
| ttt = 4  | −0.073   | 0.048 |
| ttt = 5  | −0.055   | 0.069 |
| Num.Obs. | 520      |       |

\* $p < 0.1$ , \*\*  $p < 0.05$ , \*\*\* $p < 0.01$

ttt indicates 'time-to-treatment', with negative values occurring before shock and positive values after shock.