Descriptive Set Theory: Moschovakis

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Part I

Notes

# Part II Exercises

## Chapter 1

## The Basic Classical Notions

- 1.1 Perfect Polish Spaces
- 1.2 The Borel Pointclasses of Finite Order
- 1.3 Computing with Relations; Closure Properties
- 1.4 Parameterization and Hierarchy Theorems
- 1.5 The Projective Sets
- 1.6 Countable Operations
- 1.7 Borel Functions and Isomorphisms

#### Chapter 2

### $\kappa$ -Suslin and $\lambda$ -Borel

- 2.1 The Cantor-Bendixson Theorem
- 2.2  $\kappa$ -Suslin Sets
- 2.3 Trees and the Perfect Set Theorem
- 2.4 Wellfounded Trees
- 2.5 The Suslin Theorem
- 2.6 Inductive Analysis of Projective Trees
- 2.7 The Kunen-Martin Theorem

**Exercise 2.7.1.** Prove that a binary relation R(x, y) on a set S is wellfounded if an only if there are no infinite  $<_R$ -descending chains.

*Proof.* If R(x,y) is not wellfounded then there must be some nonempty set A such that A has no  $<_R$  minimal element. Using A, we construct an infinite  $<_R$ -descending chain as follows: Let  $x_0 \in A$  arbitrary and given  $x_i$ , choose  $x_{i+1} \in A$  such that  $x_i >_R x_{i+1}$ . Such an element will always exist since A has no least element and so  $x_0 >_R x_1 > \cdots$  is an infinite  $<_R$ -descending chain.

Conversely, suppose there is an infinite  $<_R$ -descending chain  $x_0 >_R x_1 >_R \cdots$  and let  $A = \{x_i : in \in \omega\}$ . A is nonempty set with no  $<_R$  minimal element and so R(x,y) is not wellfounded.

**Exercise 2.7.2.** Prove that every Borel wellfounded relation has countable length and every  $\Delta_2^1$  wellfounded relation has length less than  $\aleph_2$ .

*Proof.* Recall that if R(x,y) is a relation we define its strict part to be

$$<_R = \{(x, y) : R(x, y) \& \neg R(y, x)\}$$

So, if R is  $\Delta_n^1$  we see that  $<_R$  is  $\Delta_n^1$  as well. Hence, if R is a Borel relation then  $<_R$  must be  $\aleph_0$ -Suslin and so, applying the Kunen-Martin theorem, it must have length less than  $\aleph_1$ . Similarly, if R is  $\Delta_2^1$  then  $<_R$  is  $\aleph_1$ -Suslin and so has length less than  $\aleph_2$ .

**Exercise 2.7.3.** Let R be a wellfounded relation on S with rank function  $\rho$ , and let  $f: S \to \text{Ordinals}$  be an order preserving function, ie.

$$x <_R y \Rightarrow f(x) < f(y)$$

Prove that for every x in S,  $\rho(x) \leq f(x)$ .

*Proof.* We show this by induction on the relation. Suppose that for all  $y <_R x$ ,  $\rho(y) \le f(y)$  then, since we have f(y) < f(x) for each  $y <_R x$ ,  $f(x) \ge f(y) + 1$  for all  $y <_R x$  and so

$$f(x) \ge \sup\{f(y) + 1: y <_R x\} \ge \sup\{\rho(y) + 1: y <_R x\} = \rho(x)$$

A norm  $\varphi$  on S is called regular if it is onto some ordinal  $\lambda$ . Given a norm  $\varphi$  on S the associated the binary relation  $\leq^{\varphi}$  on S is defined by

$$x \leqslant^{\varphi} y \Leftrightarrow \varphi(x) \leqslant \varphi(y)$$

**Exercise 2.7.4.** Prove that a binary relation  $\leq$  on a set S is a prewellordering if and only if there is a norm  $\varphi$  on S such that  $\leq = \leq^{\varphi}$ . Moreover if  $\leq$  is a prewellordering, then there is a unique regular  $\varphi$  on S such that  $\leq = \leq^{\varphi}$ .

*Proof.* If  $\leq$  is a prewellordering, we claim that its rank function  $\rho$  is a norm on S such that  $\leq = \leq^{\rho}$ , ie. for all  $x, y \in S$ 

$$x \le y \Leftrightarrow \rho(x) \leqslant \rho(y)$$

This follows from the fact that if  $x \leq y$  then  $\rho(x) \leq \rho(x)$  since all elemnts of S strictly below x will also be strictly below y. Similarly, if  $\neg x \leq y$ , then y is strictly below x so  $\rho(y) < \rho(x)$ .

Conversely, if there is some norm  $\varphi$  on S such that  $\leq = \leq^{\varphi}$ ,  $\leq$  must be prewellordering since  $\leq$  is a wellorder and, under the pullback, the only condition it may fail to satisfy is antisymmetry.

To show that there is a unique regular norm satisfying this property note that the rank function  $\rho$  is a regular norm since it is onto  $\lambda = | \leq |$  and so to show uniqueness it is enough to show any regular norm is equal to  $\rho$ . We do this by induction on the ordering: Suppose that  $\varphi$  is another regular norm and for all y strictly below x,  $\varphi(y) = \rho(y)$ . We must have

$$\varphi(x) \geqslant \sup{\{\varphi(y) + 1 \colon y < x\}} = \sup{\{\rho(y) + 1 \colon y < x\}} = \rho(x)$$

and if this inequality is strict, since  $\varphi$  is surjective, there must be some z such that  $\varphi(z) = \rho(x)$ . We cannot have z < x (otherwise  $\varphi(z) = \rho(z) < \rho(x)$ ) and so  $x \le z$ . However, this implies  $\varphi(x) \le \varphi(z)$  contradicting our choice of z. Hence, we must have  $\varphi(x) = \rho(x)$  as well.

#### 2.8 Category and Measure

Exercise 2.8.1. Prove that in a complete metric space no open ball in meager.

Proof. Suppose towards a contradiction that B is open ball such that  $B = \bigcup_n A_n$  where each  $A_n$  is nowhere dense. Then we have  $B \subset \bigcup \overline{A_n}$ . Since  $A_1$  is not dense in B we can find some  $B_1 \subset B$  such that  $\overline{B_1} \subset B \setminus \overline{A_1}$  and such that radius $(B_1) <$ . Similarly, given  $B_i$  we can find  $B_{i+1}$  such that  $\overline{B_i} \subset B_i \setminus \overline{A_{i+1}}$  and radius $(B_{i+1}) < 2^{-(i+1)}$ . Now, there exists a unique  $b \in \bigcap_n \overline{B_n}$  and by construction  $b \notin \overline{A_i}$  for all i and so  $b \in B \setminus \bigcup_n A_n$ , contradicting our assumption. Hence, B cannot be meager.

A poinset P has the property of Baire if there is some open poinset  $P^*$  such that  $P\Delta P^*$  is meager.

Exercise 2.8.2. Prove that every Borel poinset has the property of Baire.

Proof. We show that the class of poinsets having the property of Baire contains all the open and closed sets, and is closed under negation and countable union. If P is an open pointset, then  $P\Delta P = \varnothing$  is meager so P has the property of Baire. If P is a closed pointset, consider  $P^* = P^\circ$ .  $P\Delta P^* = P \setminus P^*$  is meager since it is closed and has empty interior since  $(P \setminus P^*)^\circ \subseteq P^\circ$  and  $(P \setminus P^*) \cap P^\circ = \varnothing$ . Next, suppose P has the property of Baire, we show  $\neg P$  does as well. If  $P^*$  is an open set such that  $P\Delta P^*$  is meager then, since  $\neg P\Delta \neg P^* = P\Delta P^*$ ,  $\neg P\Delta \neg P^*$  must be meager as well. Now, it follows that  $\neg P\Delta (\neg P^*)^\circ \subseteq (\neg P\Delta \neg P^*) \cup (\neg P^*) \setminus (\neg P^*)^\circ$  is meager and so  $\neg P$  has the property of Baire as well. Finally, we show that if  $\{P_n\}_{n\in\omega}$  are such that each  $P_i$  has the property of Baire  $\bigcup_i P_i$  does as well. Suppose we have open  $P_i^*$  such that  $P_i\Delta P_i^*$  is meager. Then,  $\bigcup_i P_i \Delta \bigcup_i P_i^* \subseteq \bigcup_i (P_i\Delta P_i^*)$  is meager and so  $\bigcup_i P_i$  has the property of Baire as well.

**Exercise 2.8.3.** Prove that for every poinset  $P \subseteq \mathcal{X}$ , there is an  $F_{\sigma}$  set  $\tilde{P} \supseteq P$  such that if  $A \subseteq P^* \backslash P$  is Borel, then A is meager.

**Exercise 2.8.4.** Prove that the collection of pointsets with the property of Baire is closed under the operation  $\mathcal{A}$ ; in particular  $\sum_{i=1}^{1}$  sets have the property of Baire.