Second edition 2020-02

Medical electrical equipment —

Part 2-12:

Particular requirements for basic safety and essential performance of critical care ventilators

Appareils électromédicaux —

Partie 2-12: Exigences particulières relatives à la sécurité de base et aux performances essentielles des ventilateurs pulmonaires pour utilisation en soins intensifs



ISO 80601-2-12:2020(E)

This is a preview of "ISO 80601-2-12:2020". Click here to purchase the full version from the ANSI store.



COPYRIGHT PROTECTED DOCUMENT

© ISO 2020

All rights reserved. Unless otherwise specified, or required in the context of its implementation, no part of this publication may be reproduced or utilized otherwise in any form or by any means, electronic or mechanical, including photocopying, or posting on the internet or an intranet, without prior written permission. Permission can be requested from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office CP 401 • Ch. de Blandonnet 8 CH-1214 Vernier, Geneva Phone: +41 22 749 01 11 Fax: +41 22 749 09 47 Email: copyright@iso.org Website: www.iso.org Published in Switzerland

Contents

| 201.1 | Scope, object | and related standards | 1 |
|--------|-------------------|------------------------------------------------------------------------------|-----|
| 201. | 1.1 * Scope | | 1 |
| 201. | 1.2 Object | | 2 |
| 201. | 1.3 Collateral | standards | 3 |
| 201. | 1.4 Particular | standards | 3 |
| 201. 2 | Normative ref | Gerences | 4 |
| 201.3 | Terms and de | finitions | 7 |
| 201.4 | General requi | rements | 9 |
| 201. | 4.3 Essential p | performance | 9 |
| 201. | 4.3.101 | * Additional requirements for essential performance | |
| 201. | 4.4 Additional | requirements for expected service life | 9 |
| 201. | | oment or ME system parts that contact the patient | |
| 201. | 4.11.101 | * Additional requirements for pressurized gas input | 10 |
| 201. | 4.11.101.1 | Overpressure requirement | 10 |
| 201. | 4.11.101.2 | Compatibility requirement | 10 |
| 201.5 | General requi | rements for testing of ME equipment | 11 |
| 201. | 5.101 | Additional requirements for general requirements for testi | _ |
| | • • | nt | |
| | 5.101.1 | Ventilator test conditions | |
| | 5.101.2 | * Gas flowrate and leakage specifications | |
| | 5.101.3 | * Ventilator testing errors | |
| 201.6 | | of ME equipment and ME systems | |
| 201. 7 | ME equipment | identification, marking and documents | 12 |
| 201. | 7.2.3 | * Consult accompanying documents | 12 |
| 201. | 7.2.4.101 | Additional requirements for accessories | 12 |
| 201. | 7.2.13.101 | Additional requirements for physiological effects | 12 |
| 201. | 7.2.17.101 | Additional requirements for protective packaging | 12 |
| 201. | 7.2.18 | External gas source | 13 |
| 201. | 7.2.101 | * Additional requirements for marking on the outside of | |
| | | or ME equipment parts | |
| 201. | | * Units of measurement | |
| 201. | | Additional general requirements | |
| | 7.9.2.1.101 | Additional general requirements | |
| | 7.9.2.2.101 | * Additional requirements for warnings and safety notices. | |
| | 7.9.2.8.101 | * Additional requirements for start-up <i>procedure</i> | |
| | 7.9.2.9.101 | * Additional requirements for operating instructions | |
| | 7.9.2.12 | Cleaning, disinfection, and sterilization | 17 |
| 201. | 7.9.2.14.101 | * Additional requirements for <i>accessories</i> , supplementary ed material | 17 |
| | equipilielle, use | - W 111UCC1 1UI | I / |

| 201. 7.9.2.16.101 description | * Additional requirements for reference to the technical | 10 |
|------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| 201. 7.9.3.1.101 | * Additional general requirements | |
| 201. 7.9.3.101 | Additional requirements for the technical description | |
| | inst electrical hazards from ME equipment | |
| J | inst mechanical hazards of ME equipment and ME systems | |
| 201. 9.6.2.1.101 | * Additional requirements for audible acoustic energy | |
| 201. 9.101 | * Additional requirements for suction <i>procedures</i> | |
| 201. 10 Protection aga | inst unwanted and excessive radiation hazards | |
| 201. 11 Protection aga | inst excessive temperatures and other hazards | 23 |
| 201. 11.1.2.2 | * Applied parts not intended to supply heat to a patient | 23 |
| 201. 11.6.5.101 | * Additional requirements for ingress of water or particulate | |
| matter into ME | equipment or ME system | 23 |
| 201. 11.6.6 | * Cleaning and disinfection of ME equipment or ME system | 24 |
| 201. 11.6.7 | Sterilization of ME equipment or ME system | 24 |
| 201. 11.7 Biocompati | bility of ME equipment and ME systems | 24 |
| 201. 11.8.101 | * Additional requirements for interruption of the power | |
| | nains to ME equipment | |
| | ntrols and instruments and protection against hazardous | |
| - | of controls and instruments | |
| 201. 12.1. Accuracy 201. 12.1.101 | * Volume-control inflation-type | |
| 201. 12.1.101 | * Pressure-control inflation-type | |
| 201. 12.1.102 | Other inflation-types | |
| 201. 12.1.103 | * Inspiratory volume monitoring | |
| 201. 12.1.105 | * Response of the <i>ventilator</i> to an increase in set 0 ₂ | 00 |
| concentration | | 35 |
| | against hazardous output | |
| | Oxygen monitor | |
| 201. 12.4.102 | * Measurement of airway pressure | |
| 201. 12.4.103 | * Measurement of expired volume and low volume <i>alarm</i> | |
| conditions | - | 39 |
| 201. 12.4.103.1 | $Ventilators$ intended to provide a $tidal\ volume > 50\ ml$ | 39 |
| 201. 12.4.103.2 | $\textit{Ventilators} \ intended \ to \ provide \ a \ \textit{tidal volume} \le 50 \ ml$ | 40 |
| 201. 12.4.104 | * Expiratory end-tidal CO_2 monitoring equipment | 41 |
| 201. 12.4.105 | * Maximum limited pressure protection device | 42 |
| 201. 12.4.106 | st High airway pressure alarm condition and protection device | |
| 201. 12.4.107 | PEEP alarm conditions | |
| 201. 12.4.108 | * Obstruction alarm condition | |
| 201. 12.4.109 | * Disconnection alarm condition | |
| 201. 12.4.110 | Protection against inadvertent setting of high airway pressure | |
| 201 12 101 | * Duetostica against against against a suite at least against | |
| 201. 12.101 201. 13 Hazardous situ | * Protection against accidental or unintentional adjustments | |
| | | |

| 201. 13.2.101 | * Additional specific single fault conditions | 46 |
|-----------------------------|------------------------------------------------------------|---------|
| 201. 13.2.102 | * Failure of one gas supply to a ventilator | 46 |
| 201. 13.2.103 | * Independence of ventilation control function and relat | ed risk |
| | sures | |
| 201. 13.2.104 | * Failure of functional connection to a ventilator control | |
| • | neans | |
| | ble electrical medical systems (PEMS) | |
| 201. 14.101 | Software life cycle | |
| | n of ME equipment | |
| 201. 15.3.5.101 | Additional requirements for rough handling | |
| 201. 15.3.5.101.1 | * Shock and vibration (robustness) | |
| 201. 15.3.5.101.2 operation | * Shock and vibration for a transit-operable ventilator d | _ |
| 201. 15.4.1 | Construction of connectors | |
| 201. 15.1.1 | Mode of operation | |
| 201. 15.102 | Delivered oxygen concentration | |
| 201. 15.103 | Accessory self-check | |
| | | |
| 201. 16.1.101 | Additional general requirements for ME systems | |
| 201. 16.2.101 | * Additional general requirements for accompanying doc | |
| | tem | |
| 201. 17 Electromagi | netic compatibility of ME equipment and ME systems | 52 |
| 201. 101 Gas connect | ions | 52 |
| 201. 101.1 | * Protection against reverse gas leakage | 52 |
| 201. 101.2 | Connection to a high-pressure input port | |
| 201. 101.2.1 | Connector | |
| 201. 101.2.2 | * Filter | 53 |
| 201. 101.3 | VBS connectors | 53 |
| 201. 101.3.1 | * General | 53 |
| 201. 101.3.2 | Other named ports | 53 |
| 201. 101.3.2.1 | Patient-connection port | 53 |
| 201. 101.3.2.2 | Gas output port and gas return port | 54 |
| 201. 101.3.2.3 | Emergency intake port | 54 |
| 201. 101.3.2.4 | Flow-direction-sensitive components | 54 |
| 201. 101.3.2.5 | * Accessory port | 54 |
| 201. 101.3.2.6 | Gas exhaust port | 55 |
| 201. 101.3.2.7 | Temperature sensor port | 55 |
| 201. 102 Requiremen | nts for the VBS and accessories | 55 |
| 201. 102.1 | * General | 55 |
| 201. 102.2 | Labelling | 55 |
| 201. 102.3 | Breathing tubes | 55 |
| 201. 102.4 | * Water vapour management | 56 |
| 201. 102.4.1 | Humidification system | |
| 201. 102.4.2 | Heat and moisture exchanger (HME) | 56 |

| 201. 102.6 | Breathing system filters | 56 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|
| 201. 102.7 | Ventilator breathing systems | 56 |
| 201. 102.7.1 | * Leakage from complete VBS | 56 |
| 201. 102.7.2 | * Non-invasive ventilation | |
| 201. 103 * Sponta | aneous breathing during loss of power supply | 57 |
| 201. 104 * Indica | tion of duration of operation | 57 |
| 201. 105 Function | nal connection | 58 |
| 201. 105.1 | General | 58 |
| 201. 105.2 | * Connection to an electronic health record | 58 |
| 201. 105.3 | * Connection to a distributed alarm system | 58 |
| 201. 105.4 | Connection for remote control | 58 |
| 201. 106 Display | loops | 58 |
| 201. 106.1 | Pressure-volume loops | 58 |
| 201. 106.2 | Flow-volume loops | 59 |
| 201. 107 * Timed | l ventilatory pause | 59 |
| 201. 107.1 | Expiratory pause | 59 |
| 201. 107.2 | Inspiratory pause | 60 |
| 202 Electron | magnetic disturbances — Requirements and tests | 61 |
| 206 Usabilit | -y | 62 |
| 206.101 Prin | nary operating functions | 62 |
| | raining | |
| | requirements, tests and guidance for alarm systems in male equipment and medical electrical systems | |
| | ative) Guide to marking and labelling requirements for pment and ME systems | 66 |
| 201.C.101 | | |
| parts | Marking on the outside of ME equipment, ME systems of | or their |
| 2017:102 | | or their 66 |
| 201.C.102 | Accompanying documents, general | or their 66 67 |
| 201.C.103 | Accompanying documents, general | or their 66 67 |
| 201.C.103 201.C.104 | Accompanying documents, general | or their 66 67 67 |
| 201.C.103 201.C.104 Annex D (information) | Accompanying documents, general | or their 66 67 67 70 |
| 201.C.103 201.C.104 Annex D (information of the contract of th | Accompanying documents, general | or their 66 67 70 71 |
| 201.C.103 201.C.104 Annex D (information Annex AA (information AA.1 General | Accompanying documents, general | or their |
| 201.C.103 201.C.104 Annex D (information of the control of the con | Accompanying documents, general | or their |
| 201.C.103 201.C.104 Annex D (information Annex AA (information AA.1 General AA.2 Rational Annex BB (information) | Accompanying documents, general | or their |
| 201.C.103 201.C.104 Annex D (information Annex AA (information AA.1 General AA.2 Rational Annex BB (information BB.1 Backgro | Accompanying documents, general Accompanying documents, instructions for use Accompanying documents, technical description ative) Symbols on marking mative) Particular guidance and rationale guidance guidance de for particular clauses and subclauses mative) Data interfaces und and purpose | or their |
| 201.C.103 201.C.104 Annex D (information Annex AA (information AA.1 General AA.2 Rational Annex BB (information BB.1 Background BB.2 Data definition Additional Annex BB (information BB.1 Background BB.2 Data definition Annex BB (information BB.2 Data definition BB.2 Data definition Annex BB (information BB.2 Data definition BB.2 Data definiti | Accompanying documents, general Accompanying documents, instructions for use Accompanying documents, technical description ative) Symbols on marking mative) Particular guidance and rationale guidance le for particular clauses and subclauses mative) Data interfaces und and purpose | or their |
| 201.C.103 201.C.104 Annex D (information Annex AA (information AA.1 General AA.2 Rational Annex BB (information BB.1 Backgroun BB.2 Data definition Annex CC (information Annex AA (information Annex AA (information Annex AA (information Annex AA (information AA (i | Accompanying documents, general | or their |
| 201.C.103 201.C.104 Annex D (information Annex AA (information AA.1 General AA.2 Rational Annex BB (information BB.1 Backgroun BB.2 Data definer CC (information Annex DD (info | Accompanying documents, general | or their |
| 201.C.103 201.C.104 Annex D (information Annex AA (information AA.1 General AA.2 Rational Annex BB (information BB.1 Background BB.2 Data definition Annex CC (information Annex DD (information require | Accompanying documents, general Accompanying documents, instructions for use Accompanying documents, technical description ative) Symbols on marking mative) Particular guidance and rationale guidance le for particular clauses and subclauses mative) Data interfaces und and purpose mative) Reference to the essential principles mative) Reference to the general safety and performance ments | or their |
| 201.C.103 201.C.104 Annex D (information Annex AA (information AA.1 General AA.2 Rational Annex BB (information BB.1 Backgrous BB.2 Data definition Annex CC (information Annex DD (information require Annex EE (information Annex EE (informati | Accompanying documents, general | or their |

Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see www.iso.org/iso/foreword.html.

This document was prepared by Technical Committee ISO/TC 121, Anaesthetic and respiratory equipment, Subcommittee SC 3, Respiratory devices and related equipment used for patient care and Technical Committee IEC/TC 62, Electrical equipment in medical practice, Subcommittee SC 62D, Electric equipment, in collaboration with the European Committee for Standardization (CEN) Technical Committee CEN/TC 215, Respiratory and anaesthetic equipment, in accordance with the Agreement on technical cooperation between ISO and CEN (Vienna Agreement).

This second edition cancels and replaces the first edition (ISO 80601-2-12:2011), which has been technically revised. It also incorporates the Technical Corrigendum ISO 80601-2-12:2011/Cor 1:2011. The main changes compared to the previous edition are as follows:

- alignment with IEC 60601-1:2005+AMD1:2012, IEC 60601-1-8:2006+AMD1:2012, IEC 60601-1-2:2014 and IEC 60601-1-6:2010+AMD1:2013.
- determination of probability of component failure during the expected service life;
- delivered gas maximum enthalpy requirement;
- new test protocol for *internal electrical power source* operation time;
- performance test and disclosure requirements for other inflation-types;
- additional protections against hazardous outputs;
- clarification of performance requirements during abnormals testing;
- consideration of input gas of Oxygen 93 %; and
- harmonization of terminology with ISO 19223, where appropriate.

ISO 80601-2-12:2020(E)

This is a preview of "ISO 80601-2-12:2020". Click here to purchase the full version from the ANSI store.

A list of all parts in the ISO 80601 series and the IEC 80601 series can be found on the ISO website.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at www.iso.org/members.html.

Introduction

In this document, the following print types are used:

- Requirements and definitions: roman type;
- Instructions, test specifications and terms defined in Clause 3 of the general standard, in this document or as noted: italic type;
- Informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type.

In referring to the structure of this document, the term

- "clause" means one of the four numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 201 includes subclauses 201.7, 201.8, etc.);
- "subclause" means a numbered subdivision of a clause (e.g. 201.7, 201.8 and 201.12 are all subclauses of Clause 201).

References to clauses within this document are preceded by the term "Clause" followed by the clause number. References to subclauses within this document are by number only.

In this document, the conjunctive "or" is used as an "inclusive or" so a statement is true if any combination of the conditions is true.

For the purposes of this document, the auxiliary verb

- "shall" means that conformance with a requirement or a test is mandatory for conformance with this document,
- "should" means that conformance with a requirement or a test is recommended but is not mandatory for conformance with this document;
- "may" is used to describe permission (e.g. a permissible way to achieve conformance with a requirement or test),
- "can" is used to describe a possibility or capability, and
- "must" is used to express an external constraint.

Annex C contains a guide to the marking and labelling requirements in this document.

Annex D contains a summary of the symbols referenced in this document.

An asterisk (*) as the first character of a title or at the beginning of a paragraph or table title indicates that there is guidance or rationale related to that item in Annex AA.