

# Algorithmic Foundations of Emergent Behavior in Analog Collectives

**Joshua J. Daymude**

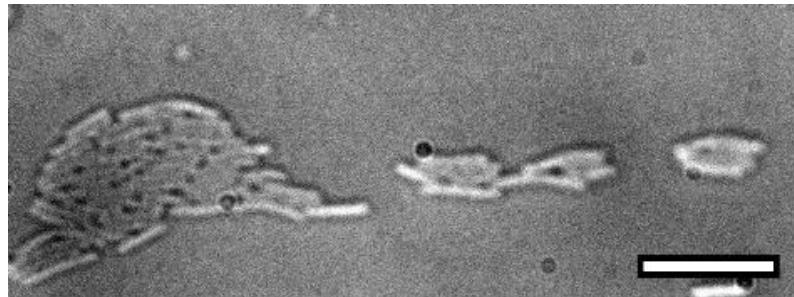
Seminar — July 14, 2021

The Santa Fe Institute

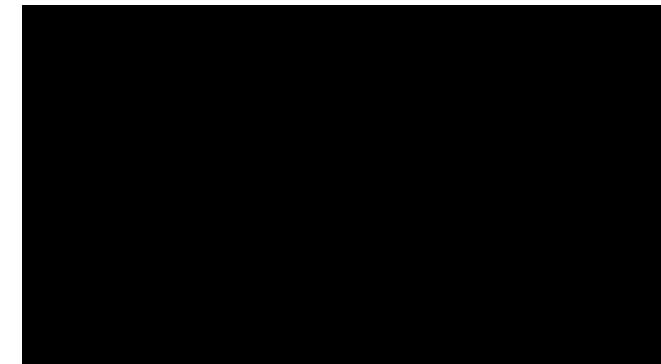
# Self-Organizing Systems

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Cooperative decentralized systems are capable of surprising emergent behavior arising from relatively simple interactions of their members.



[HMSKCLCA 2011](#)

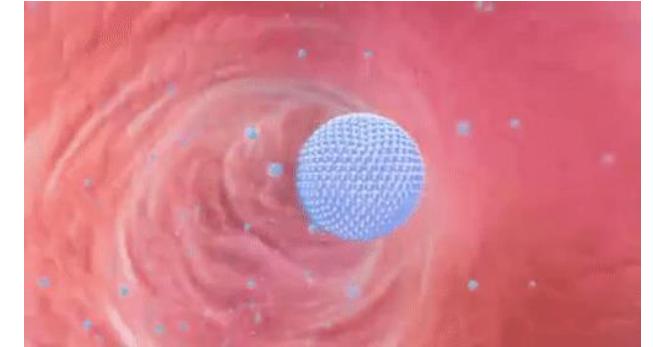


[Microsoft Research 2016](#)

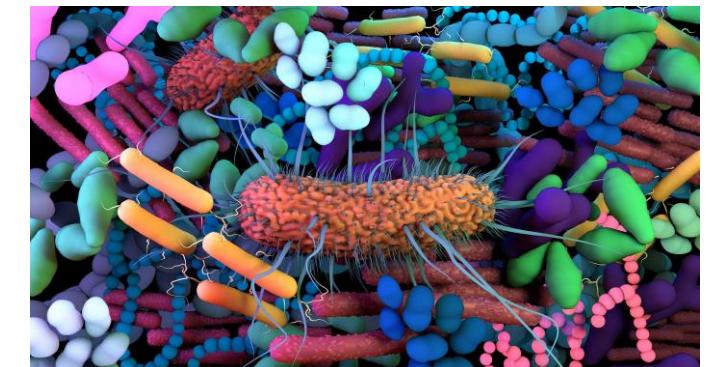
# Why Self-Organization?

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**Challenge #1:** Engineering autonomous, distributed systems with arbitrary scalability.



**Challenge #2:** Characterizing observed emergent phenomena in complex systems.



# Programmable Matter

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**Programmable matter** is a substance that can change its physical properties **autonomously** based on **user input** or **environmental stimuli**.

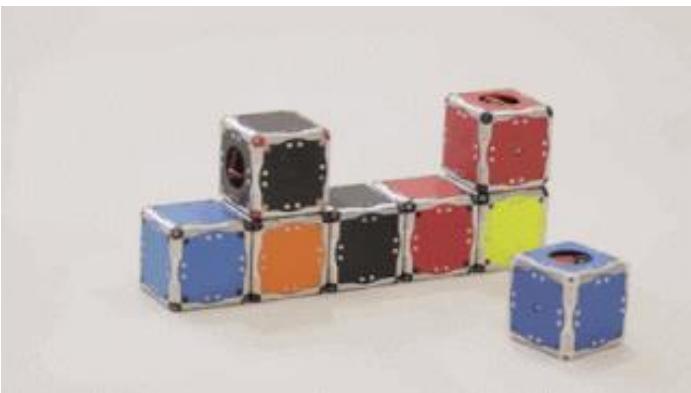
"Catoms"  
[PB 2018](#)



"Kilobots"  
[RCN 2014](#)



"M-Blocks"  
[RGR 2013](#)



"Particle Robots"  
[LBBCRHRL 2019](#)



# Programmable Matter

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Centimeter/millimeter-scale robots are more limited than, say, Spot from Boston Dynamics.



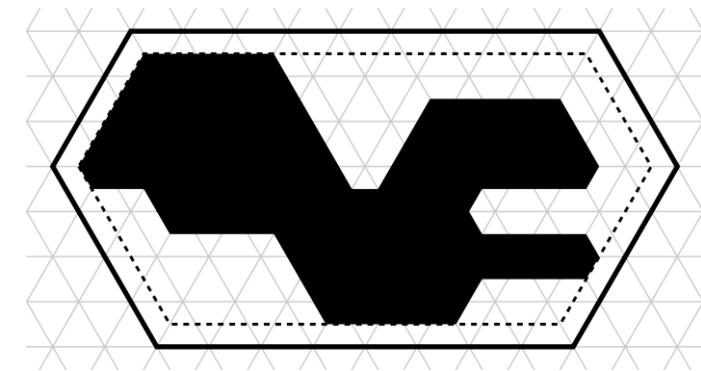
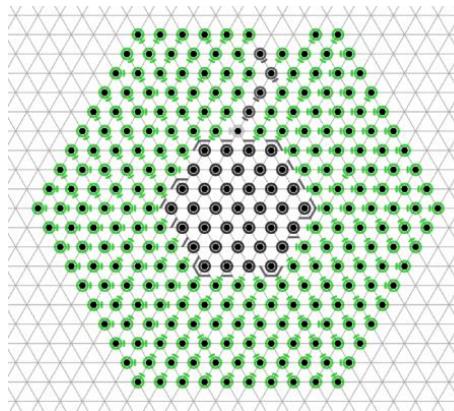
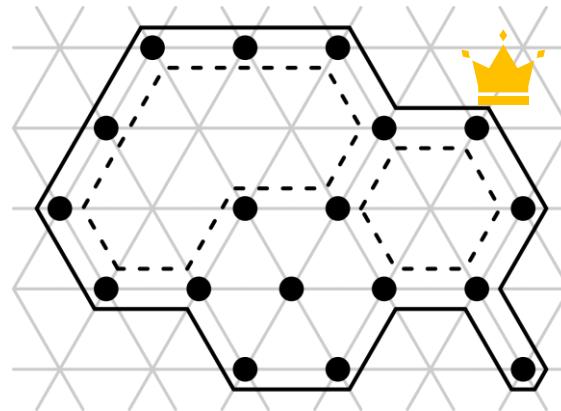
Most programmable matter and modular robotic systems assume:

- Modest **compute** resources.
- Strictly local **sensing** and **communication** (e.g., 1-neighborhood).
- Limited (e.g., constant-size) or no **persistent memory**.
- Local, rudimentary **movement**.

# Stateful Distributed Algorithms for Programmable Matter

Under the **amoebot model** [Derakhshandeh et al. 2014] we've shown that constant-size memory, local communication between neighbors, and local movements suffice to solve:

1. **Leader Election.** A **unique** amoebot must **irreversibly** declare itself the system's **leader**.
2. **Object Coating.** The system must reconfigure into even **layers** coating a given **object**.
3. **Convex Hull Formation.** The system must reconfigure as the **convex hull** of a given **object**, enclosing it with the **minimum number** of amoebots.



[1] Daymude, Gmyr, Richa, Scheideler, Strothmann. "Improved Leader Election for Self-Organizing Programmable Matter." **ALGOSENSORS** 2017.

[2] Daymude, Derakhshandeh, Gmyr, Porter, Richa, Scheideler, Strothmann. "On the Runtime of Universal Coating for Programmable Matter." **Natural Computing**, 2018.

[3] Daymude, Gmyr, Hinnenthal, Kostitsyna, Scheideler, Richa. "Convex Hull Formation for Programmable Matter." **ICDCN** 2020.

# Active Granular Matter

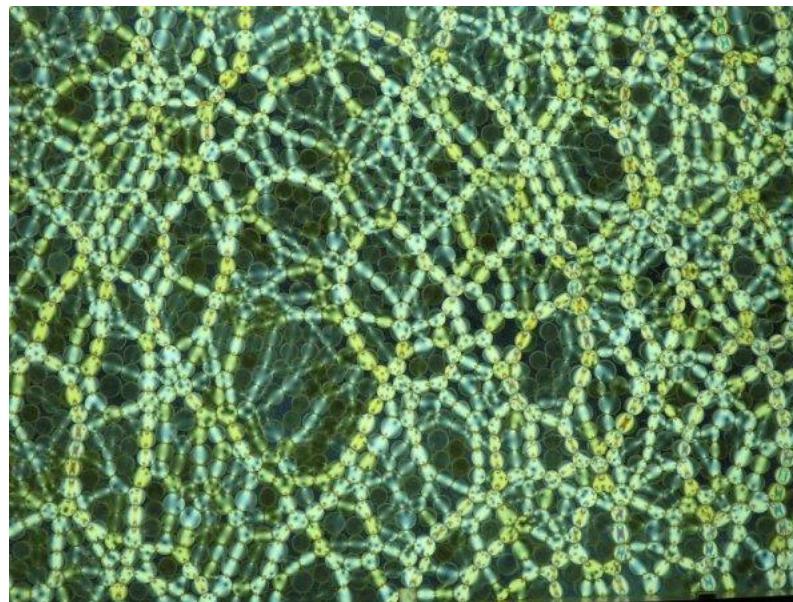
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A **granular material** is a “conglomerate of discrete, solid, macroscopic particles.” [Duran 1999].

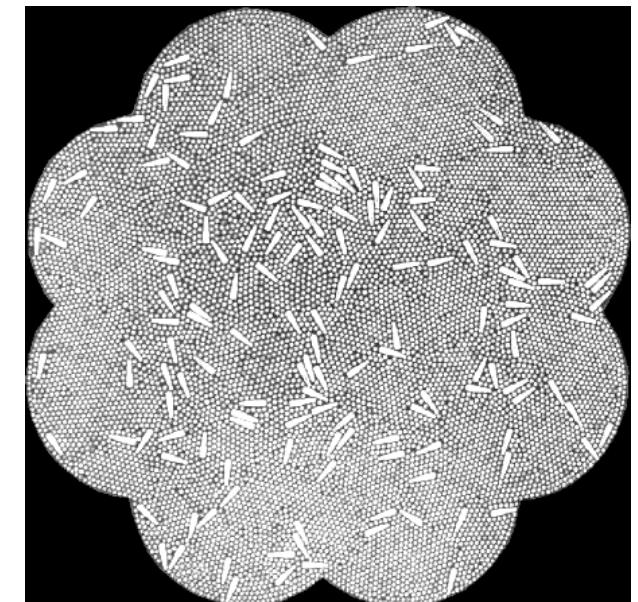
These systems don’t “compute” digitally but are still capable of sophisticated **collective behaviors** and surprising **phase changes**.



[Wikipedia](#)



[Bob Behringer](#)



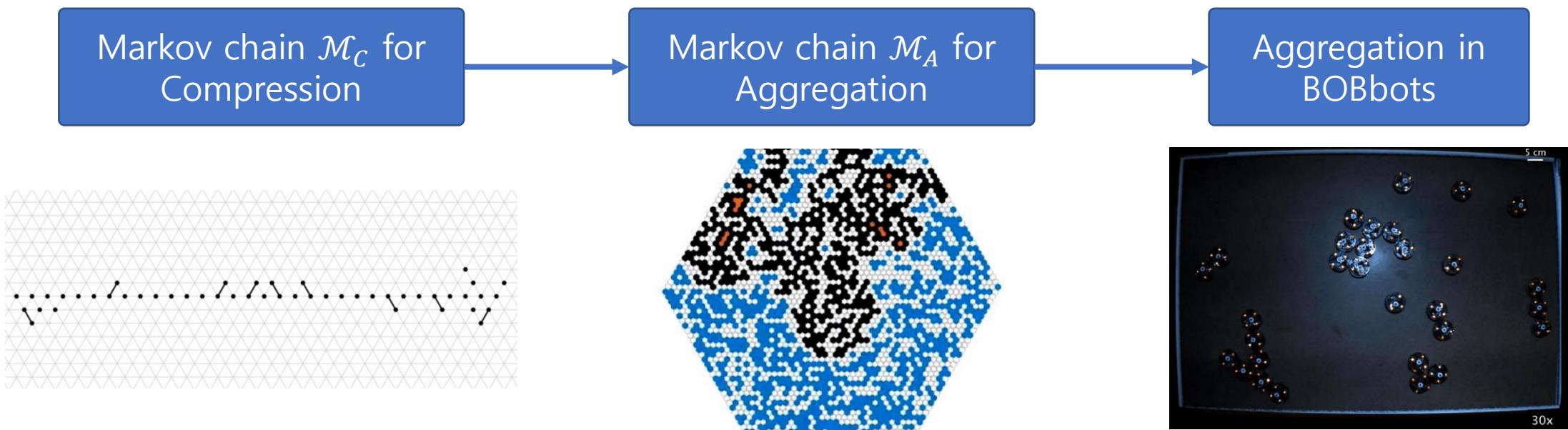
[KSRS 2014](#)

How can **digital algorithms** for collective behavior be translated to **simple, analog systems**?

# From Rigorous Algorithms to Analog Robots

**Key Idea.** Leverage physical interactions to translate digital algorithms for simple analog robots.

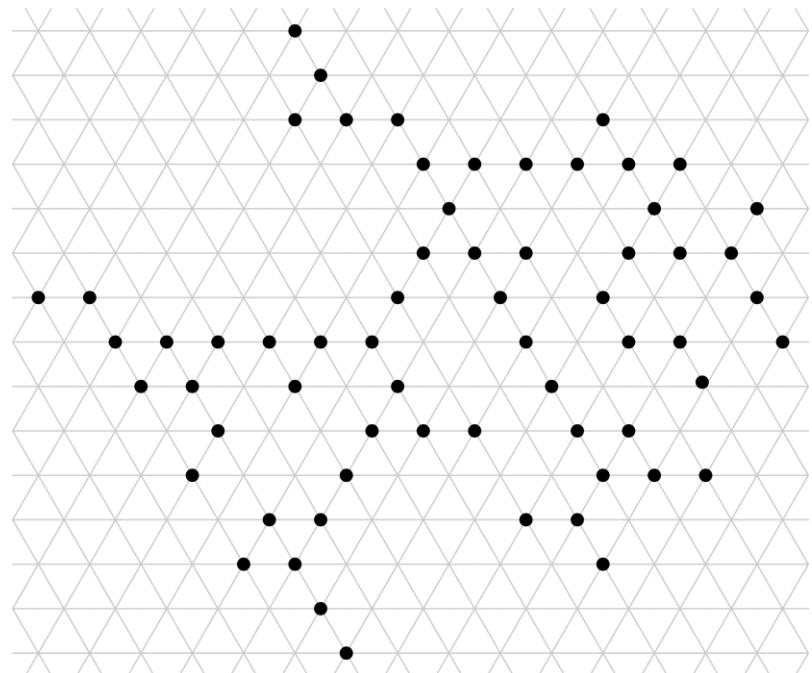
Consider **aggregation**, where robots gather compactly, and **dispersion**, its inverse.



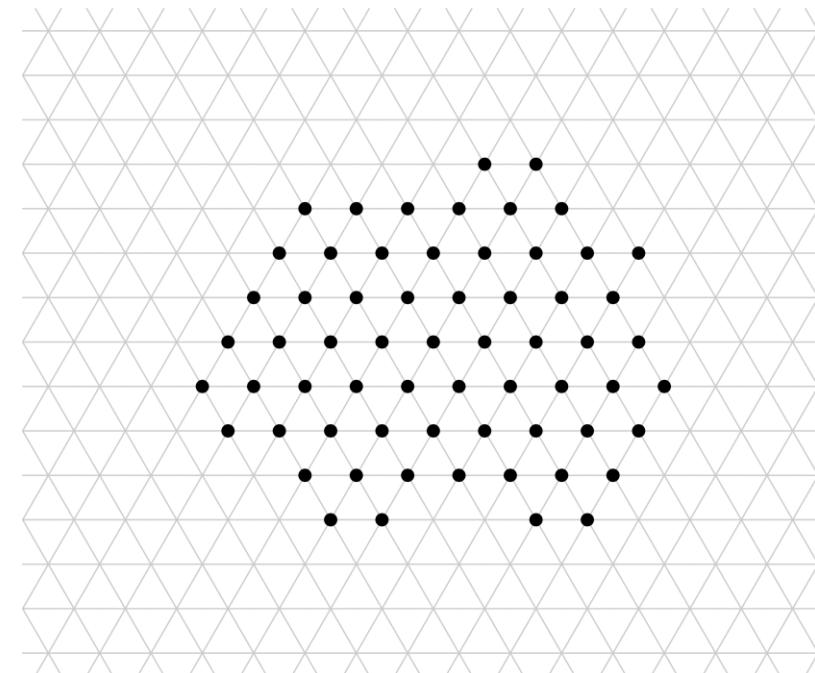
# Compression

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Problem. Using local, distributed rules, how can particles “compress,” gathering compactly while remaining simply connected?



Not compressed



Compressed

**Definition:** A configuration is  **$\alpha$ -compressed** if its perimeter is at most  $\alpha$  times the **minimum perimeter** (for this number of particles).

# The Markov Chain $\mathcal{M}_C$ for Compression

This distributed, stochastic algorithm for compression:

- Ensures system connectivity on the triangular lattice.
- Uses Metropolis probabilities to converge to  $\pi(\sigma) \propto \lambda^{e(\sigma)}$ , for bias parameter  $\lambda > 1$ .

total # of edges, or  
nearest-neighbor pairs

Fix  $\lambda > 1$ . Start in any connected configuration. Repeat:

- Pick a random particle.
- Pick a random neighboring node.
- If the proposed node is empty, move with probability  $\min\{\lambda^{e'-e}, 1\}$  if connectivity is maintained.
- Otherwise, do nothing.

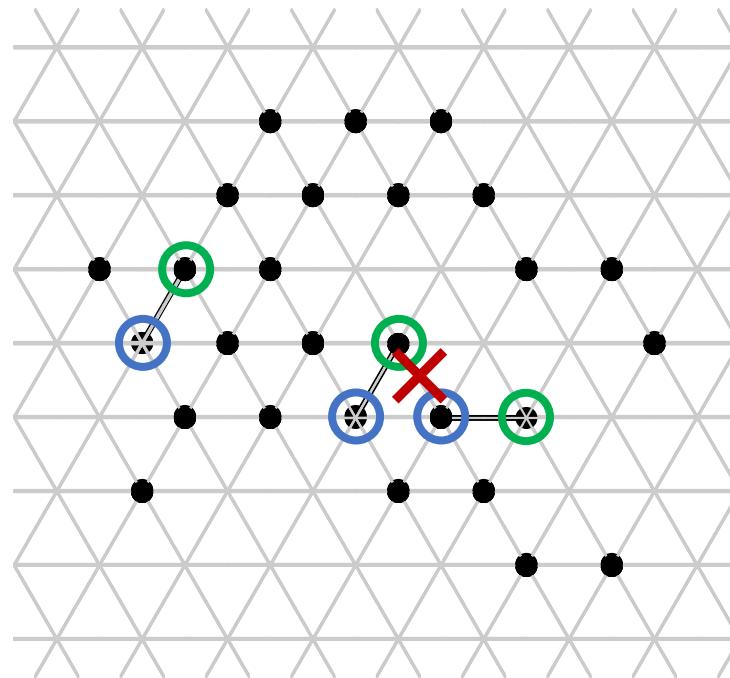
# potential neighbors  
– # current neighbors



# The Markov Chain $\mathcal{M}_C$ for Compression

Fix  $\lambda > 1$ . Start in any connected configuration. Repeat:

1. Pick a random particle.
2. Pick a random neighboring node.
3. If the proposed node is empty, move with probability  $\min\{\lambda^{e'-e}, 1\}$  if connectivity is maintained.
4. Otherwise, do nothing.

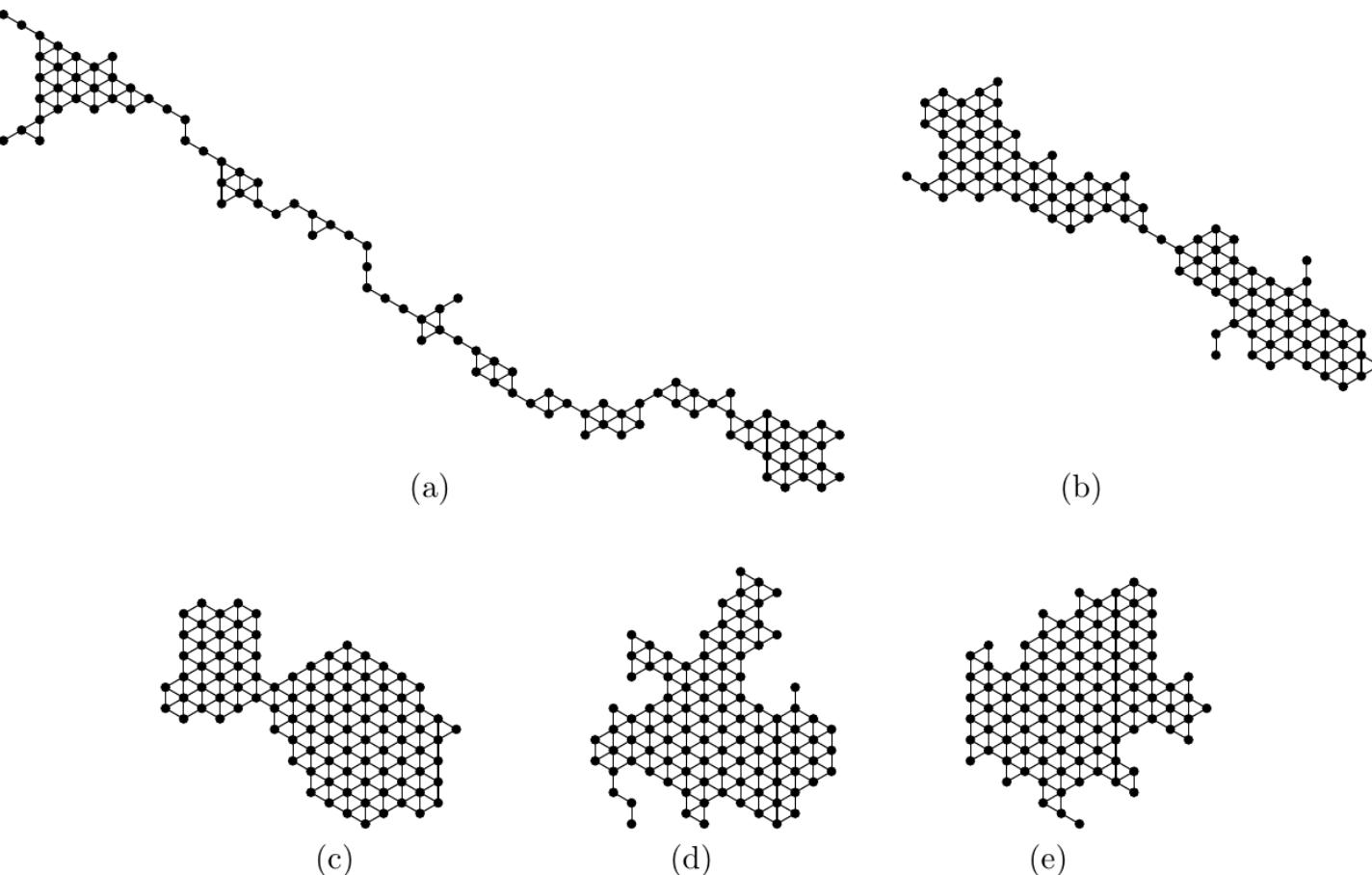


Iteration 1:  $e = 3$ ,  $e' = 4$ ,  $\Pr[\text{move}] = \lambda^{4-3} = \lambda > 1$ .

Iteration 2:  $e = 4$ ,  $e' = 2$ ,  $\Pr[\text{move}] = \lambda^{2-4} = 1/\lambda^2$ .

# The Markov Chain $\mathcal{M}_C$ for Compression: $\lambda = 4$

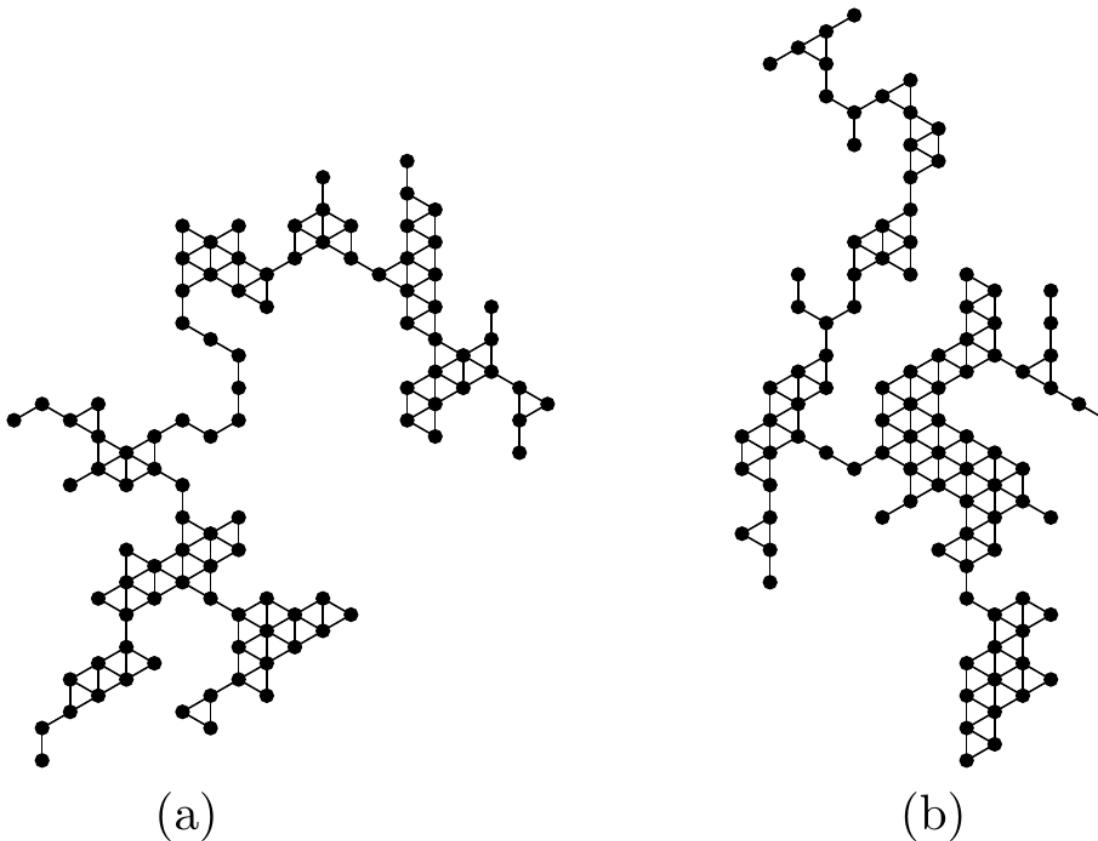
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100 particles after (a) 1 million, (b) 2 million, (c) 3 million, (d) 4 million, and (e) 5 million iterations,  
or roughly  $\mathcal{O}(n^3)$  rounds.

# The Markov Chain $\mathcal{M}_C$ for Compression: $\lambda = 2$

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100 particles after (a) 10 million and (b) 20 million iterations.

# Compression: Results

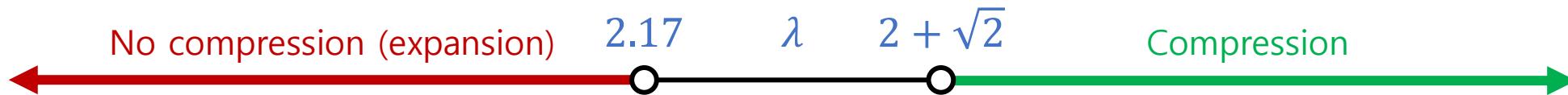
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**Definition.** A configuration is  $\alpha$ -compressed if its perimeter is at most  $\alpha$  times the minimum perimeter (for this number of particles).

**Theorem.** When  $\lambda > 2 + \sqrt{2}$ , there exists an  $\alpha = \alpha(\lambda)$  such that the particle system is  $\alpha$ -compressed at stationarity almost surely.

- For example, when  $\lambda = 4$ , we have  $\alpha = 9$ .

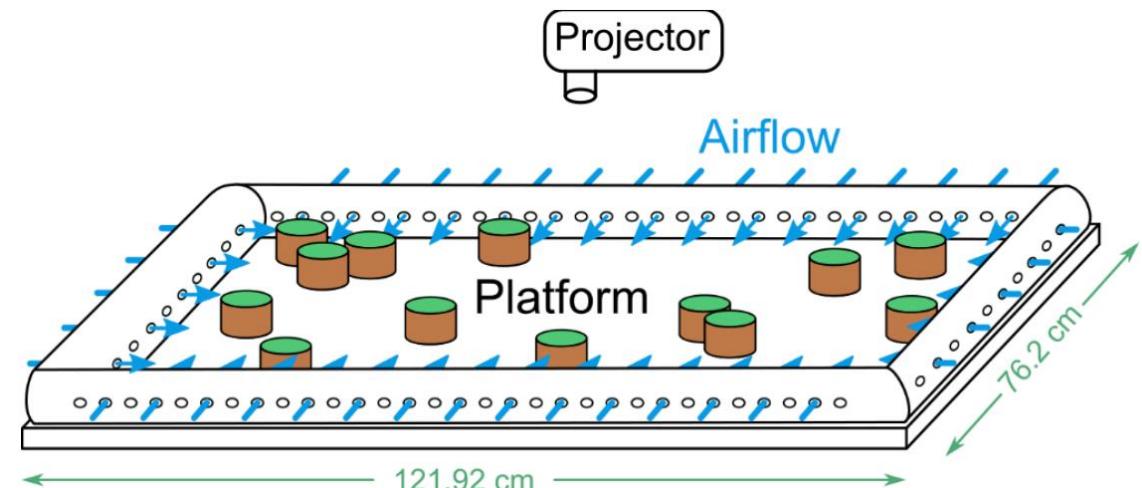
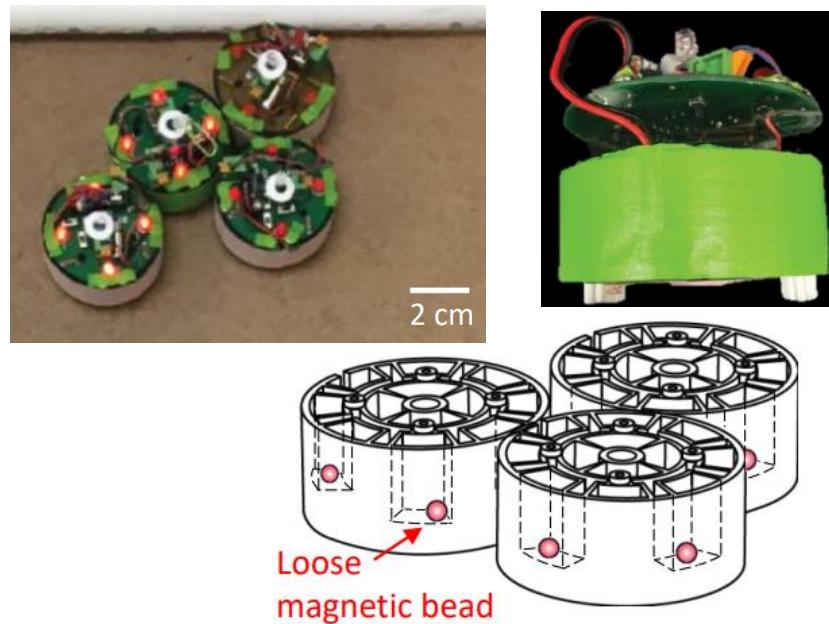
**Theorem.** When  $\lambda < 2.17$ , for any  $\alpha > 1$ , the probability the particle system is  $\alpha$ -compressed at stationarity is exponentially small.



# BOBbots: Behaving, Organizing, Buzzing Robots

Our BOBbots (named in honor of granular materials pioneer Prof. Bob Behringer, 1948-2018) replace all digital computation, sensing, and communication with physical interactions.

- Choosing a **random node** to move to ⇒ **Noisy motion**.
- Bias parameter  $\lambda$  ⇒ **Magnets** of varying strengths.



# BOBbots: Behaving, Organizing, Buzzing Robots

Many "leaps" from the theory for compression!

- Continuous vs. discrete space.
- Noisy but not random motion.
- Nonuniform robots.



# Relaxing Compression for Aggregation

Two requirements in compression that are “unnatural” for the BOBbots:

Fix  $\lambda > 1$ . Start in any ~~connected~~ configuration. Repeat:

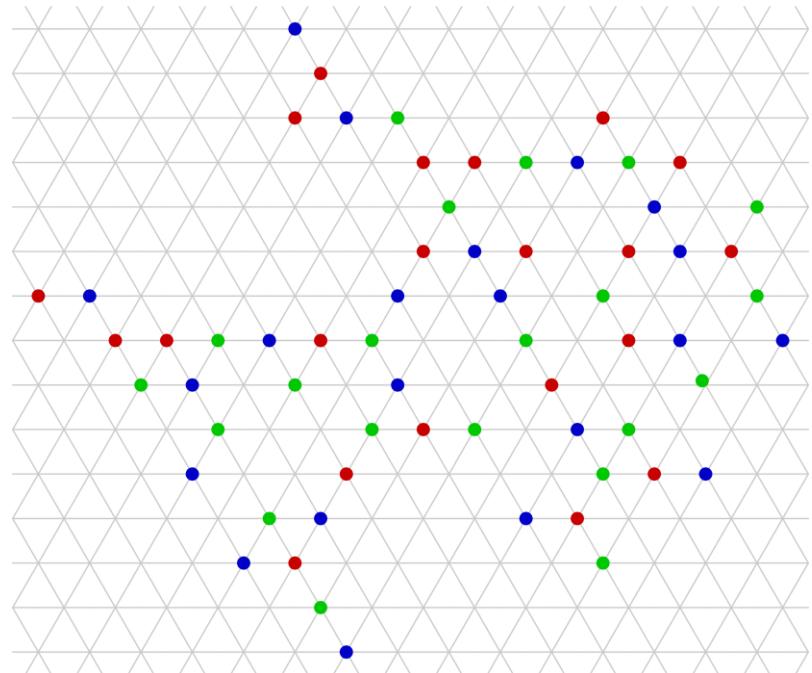
1. Pick a random particle.
2. Pick a random neighboring node.
3. If the proposed node is empty, **move** with probability  $\min\{\lambda^{e'-e}, 1\}$   
*#1* → ~~if **connectivity** is maintained.~~
4. Otherwise, do nothing.

$$\lambda^{-e} \quad \#2$$

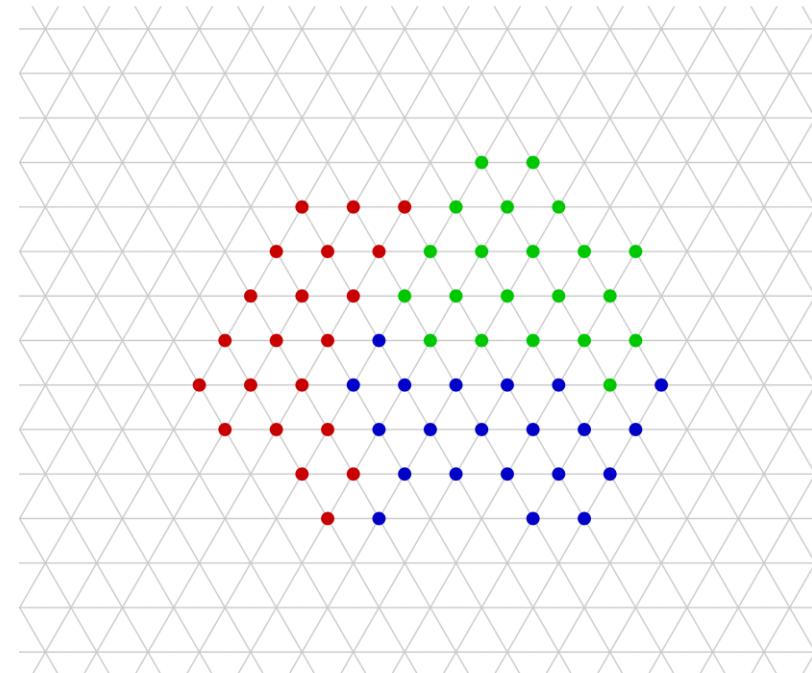
1. The **connectivity** requirement.
2. The **look ahead** requirement.

# Relaxing Connectivity: Separation

Problem. Using local, distributed rules, how can heterogeneous particles “compress” overall while also separating into mostly monochromatic groups?



Neither compressed nor separated



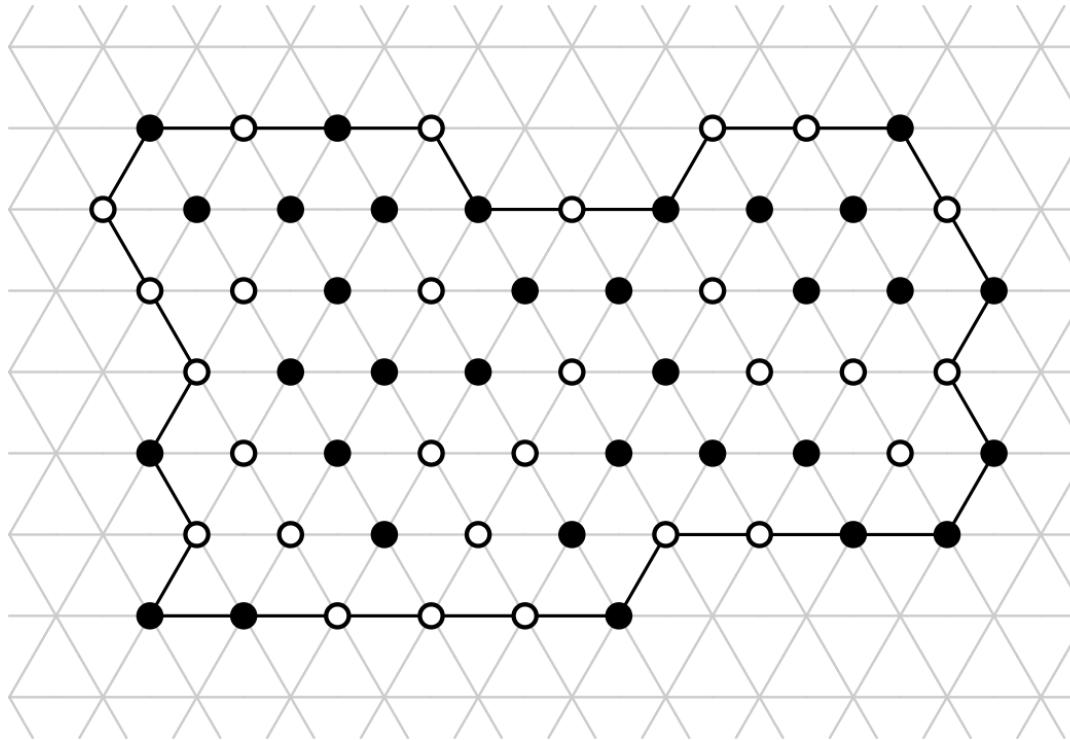
Compressed and separated

# Relaxing Connectivity: Separation

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Considered the **separation** problem in 2-color systems and proved results for:

- Particles with **fixed colors** that can **move** around.
- Particles with **fixed positions ( $\alpha$ -compressed)** that can **swap colors** with their neighbors.



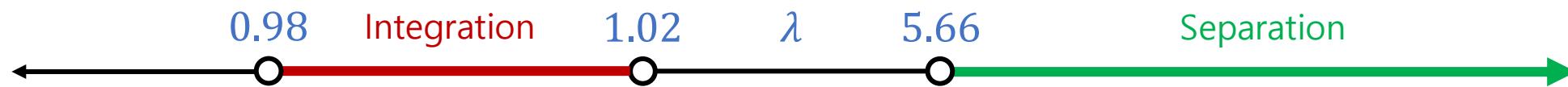
# Relaxing Connectivity: Separation

**Definition.** A configuration is  **$(\beta, \delta)$ -separated** if there is a subset  $R$  of particles such that:

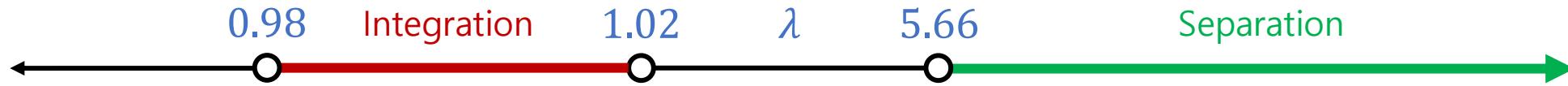
1. At most  $\beta\sqrt{n}$  edges have exactly one endpoint in  $R$ .
2. The density of particles of color  $c_1$  in  $R$  is at least  $1 - \delta$ .
3. The density of particles of color  $c_1$  not in  $R$  is at most  $\delta$ .

A configuration is **integrated** if no such  $(\beta, \delta)$  exist.

**Theorem.** Among bicolored  $\alpha$ -compressed configurations, when  $\lambda > 5.66$ , there exist  $\beta, \delta$  such that the particle system is  **$(\beta, \delta)$ -separated** almost surely. However, when  $0.98 < \lambda < 1.02$ , the particle system is **integrated** almost surely.

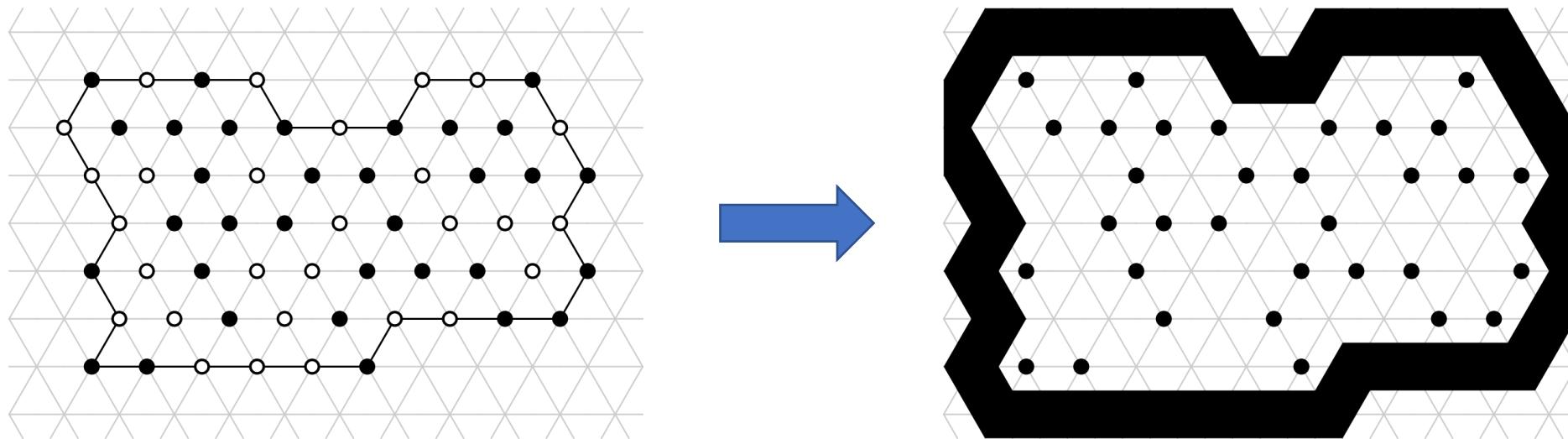


# Relaxing Connectivity: Separation



This phase change provably occurs among  $\alpha$ -compressed configurations, even in the case where particles have fixed positions but swap colors with their neighbors.

What if we treat black particles as real and white particles as unoccupied nodes?



**Key Idea.** Our results for separation also hold for aggregation in the disconnected setting!

# Relaxing Compression for Aggregation

Two requirements in compression that are “unnatural” for the BOBbots:

Fix  $\lambda > 1$ . Start in any ~~connected~~ configuration. Repeat:

1. Pick a random particle.
2. Pick a random neighboring node.
3. If the proposed node is empty, move with probability  $\min\{\lambda^{e'-e}, 1\}$   
*if connectivity is maintained.*
4. Otherwise, do nothing.

#1

#2

1. ~~The connectivity requirement~~. We use separation to generalize to the disconnected setting.
2. The look ahead requirement.

# Relaxing Look Ahead

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The  $\min\{\lambda^{e'-e}, 1\}$  transition probabilities come from the Metropolis-Hastings algorithm.

In Metropolis-Hastings, if we want a Markov chain to converge to a stationary distribution  $\pi$ , then we set the transition probability  $P(\sigma, \tau) = \min\left\{\frac{\pi(\tau)}{\pi(\sigma)}, 1\right\}$ .

Want to converge to  $\pi(\sigma) \propto \lambda^{e(\sigma)}$ , where  $e(\sigma)$  is the number of neighboring pairs in  $\sigma$ .

So, when  $\sigma \rightarrow \tau$  is the movement of a single particle  $p$ , we have:

$$P(\sigma, \tau) = \min\left\{\frac{\pi(\tau)}{\pi(\sigma)}, 1\right\} = \min\left\{\frac{\lambda^{e(\tau)}}{\lambda^{e(\sigma)}}, 1\right\} = \min\{\lambda^{e(\tau)-e(\sigma)}, 1\} = \min\{\lambda^{e'-e}, 1\}$$

where  $p$  has  $e$  neighbors in  $\sigma$  and  $e'$  neighbors in  $\tau$ .

# Relaxing Look Ahead

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**Claim.** Transition probabilities  $\lambda^{-e}$  also converge to  $\pi(\sigma) \propto \lambda^{e(\sigma)}$  but only use current neighborhood information!

Verify this by checking **detailed balance**:  $\pi$  is the unique stationary distribution of a Markov chain with transition probabilities  $P$  if:

$$\pi(\sigma)P(\sigma, \tau) = \pi(\tau)P(\tau, \sigma) \text{ for all } \sigma, \tau$$

Proof. Consider any  $\sigma \rightarrow \tau$  differing by the move of a single particle  $p$  with  $e$  neighbors in  $\sigma$  and  $e'$  neighbors in  $\tau$ . Then:

$$\frac{P(\sigma, \tau)}{P(\tau, \sigma)} = \frac{\lambda^{-e}}{\lambda^{-e'}} = \lambda^{e' - e} = \frac{\pi(\tau)}{\pi(\sigma)}$$

where the final equality follows from Metropolis-Hastings (last slide).

Rearranging terms recovers detailed balance. ■

# Relaxing Compression for Aggregation

Two requirements in compression that are “unnatural” for the BOBbots:

Fix  $\lambda > 1$ . Start in any ~~connected~~ configuration. Repeat:

1. Pick a random particle.
2. Pick a random neighboring node.
3. If the proposed node is empty, `move` with probability  $\min\left\{\lambda^{e'-e}, 1\right\}$   
~~if `connectivity` is maintained.~~
4. Otherwise, do nothing.

#1

#2

1. ~~The `connectivity` requirement.~~ We use `separation` to generalize to the disconnected setting.
2. ~~The `look ahead` requirement.~~ Transition probabilities  $\lambda^{-e}$  still converge to  $\pi(\sigma) \propto \lambda^{e(\sigma)}$ .

# The Markov Chain $\mathcal{M}_A$ for Aggregation

Fix  $\lambda > 1$ . Start in any (bounded) configuration. Repeat:

1. Pick a random particle.
2. Pick a random neighboring node.
3. If the proposed node is empty, move with probability  $\lambda^{-e}$ .
4. Otherwise, do nothing.

**Lemma.** The unique stationary distribution of  $\mathcal{M}_A$  is  $\pi(\sigma) \propto \lambda^{e(\sigma)}$ .

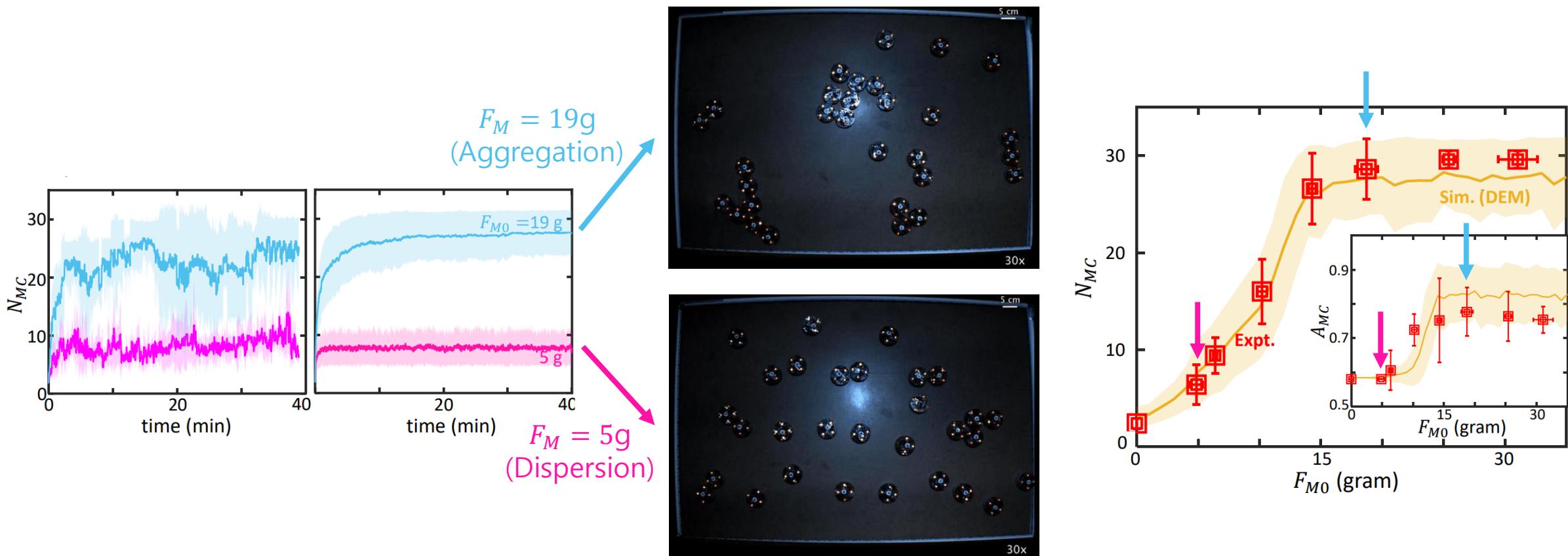
**Theorem.** Among configurations with  $\alpha$ -compressed boundaries, when  $\lambda > 5.66$ , there exist  $\beta, \delta$  such that the particle system is  $(\beta, \delta)$ -aggregated almost surely. However, when  $0.98 < \lambda < 1.02$ , the particle system is dispersed almost surely.



# BOBbots: Aggregation and Dispersion

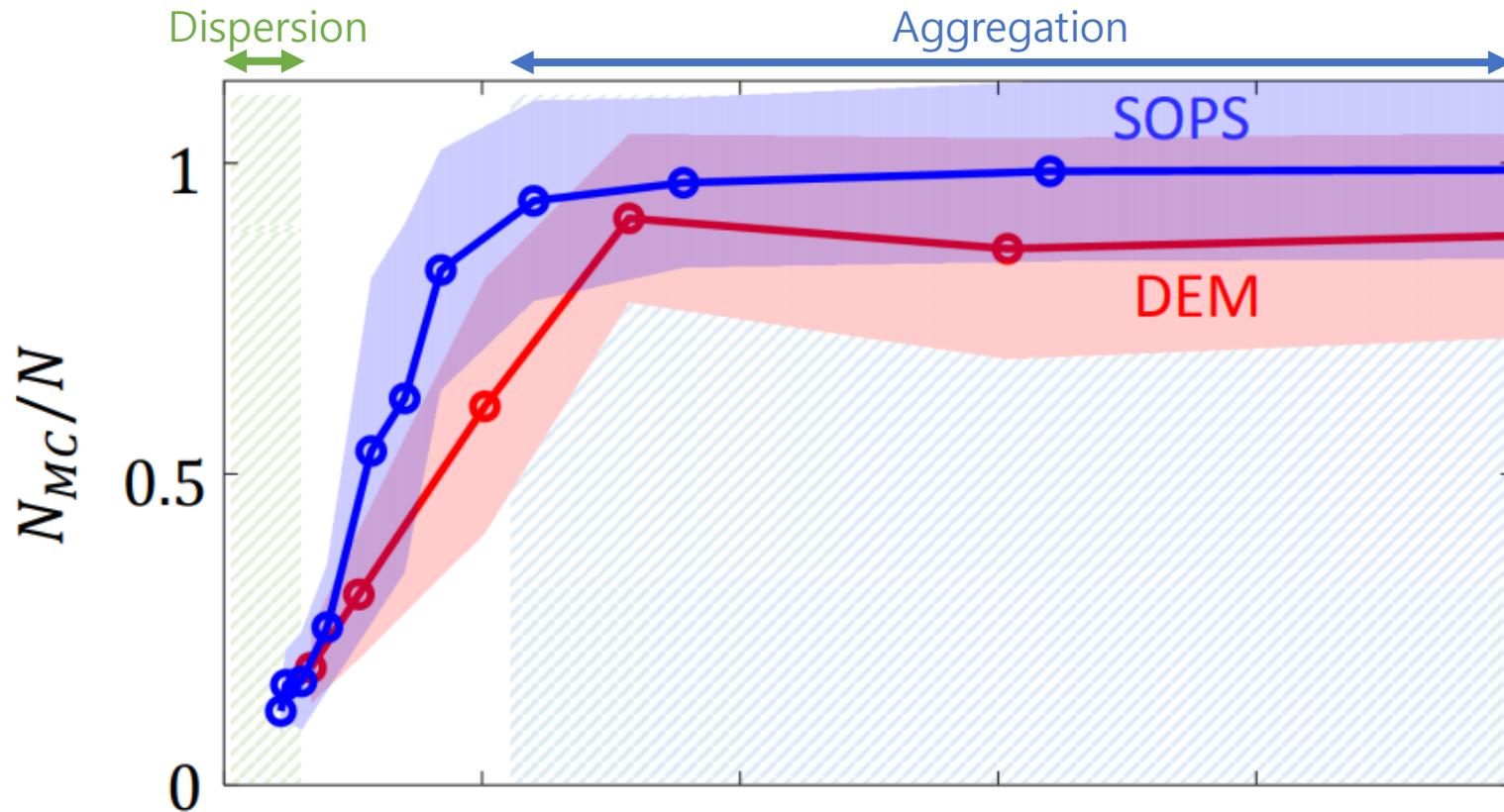
Our proofs indicate a phase change from dispersion to aggregation in  $\lambda$ -space.

BOBbot experiments indicate the same in magnet strength ( $F_M$ ) space.



# BOBbots: Aggregation and Dispersion

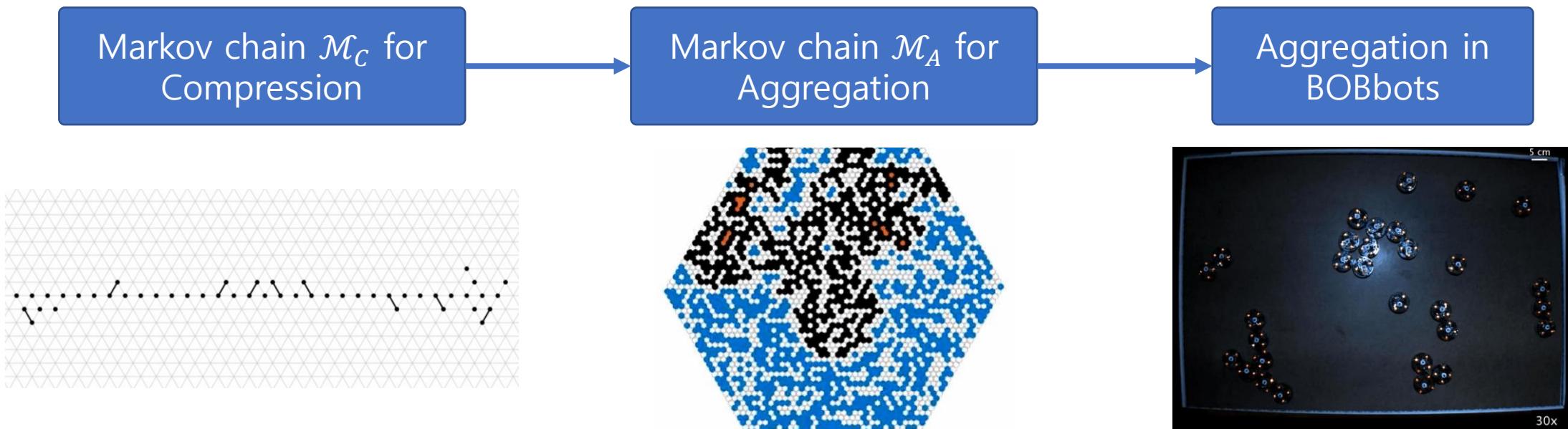
We map  $F_M$  to  $\lambda$  in experiments to obtain  $\lambda_{eff} = \exp(\beta F_M)$ , where  $\beta$  is inverse temperature, yielding agreement between the theoretical predictions and the empirical data.



# Summary: Aggregation for Analog Robots

How can **digital algorithms** for collective behavior be translated to **simple, analog systems**?

Connect **biased random decisions** to the physics of local interactions.



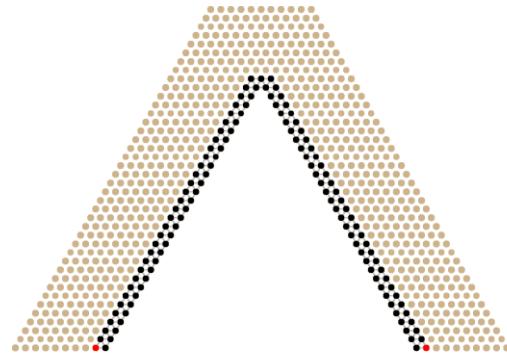
# Bridging Algorithmic Theory to Physical Mechanics

Design distributed algorithms that leverage equilibrium statistical physics to quantitatively capture and predict the nonequilibrium dynamics of living and analog systems.

## Shortcut Bridging

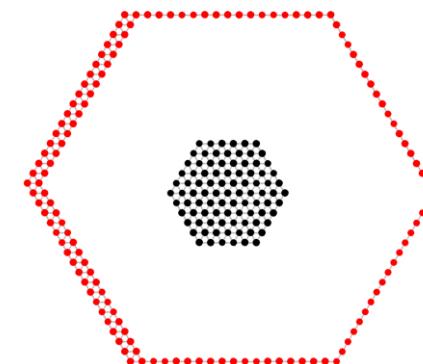
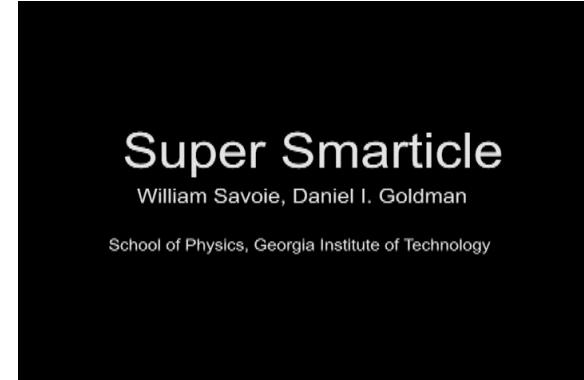


*Eciton* army ants  
[RLPKCG 2015](#)



Distributed, Stochastic Algorithm

## Directed Locomotion

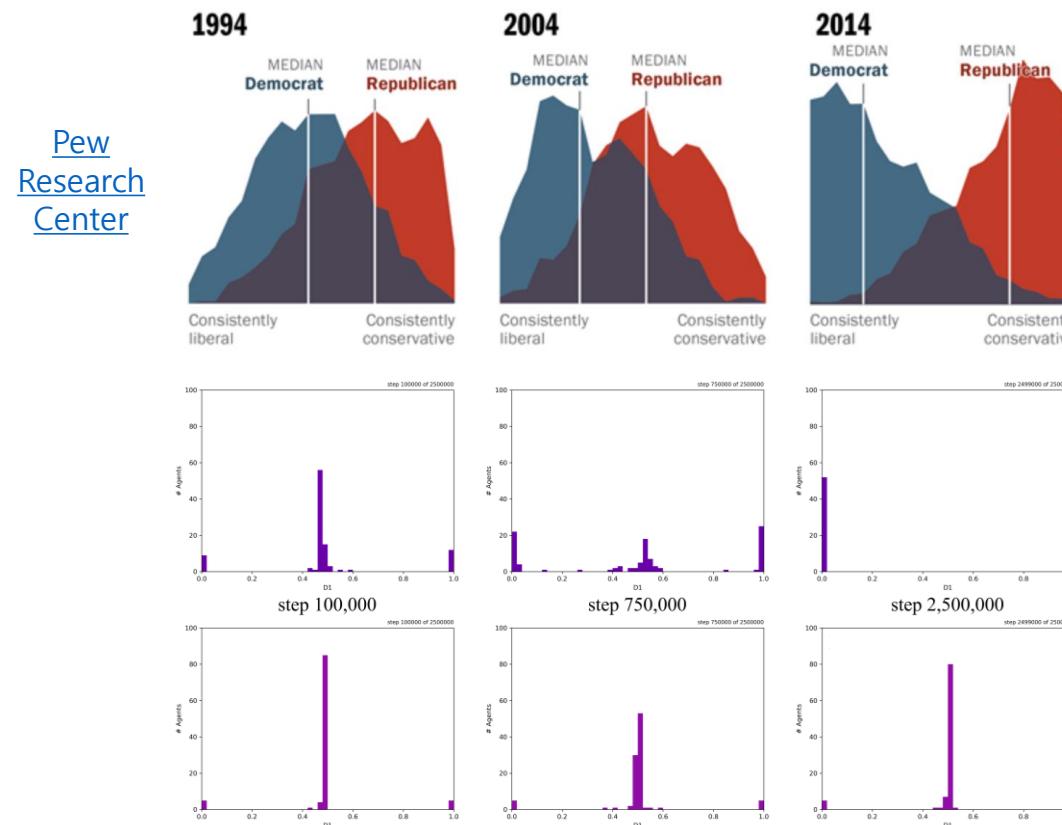


- [7] Andrés Arroyo, Cannon, **Daymude**, Randall, Richa. "A Stochastic Approach to Shortcut Bridging in Programmable Matter." **Natural Computing**, 2018.  
[8] Savoie, Cannon, **Daymude**, Warkentin, Li, Richa, Randall, Goldman. "Phototactic Supersmarticles." **Artificial Life and Robotics**, 2018.

# Characterizing Biological and Social Complex Systems

Use formal distributed modeling to characterize observed emergent phenomena in complex systems as a function of the local interactions that produce them.

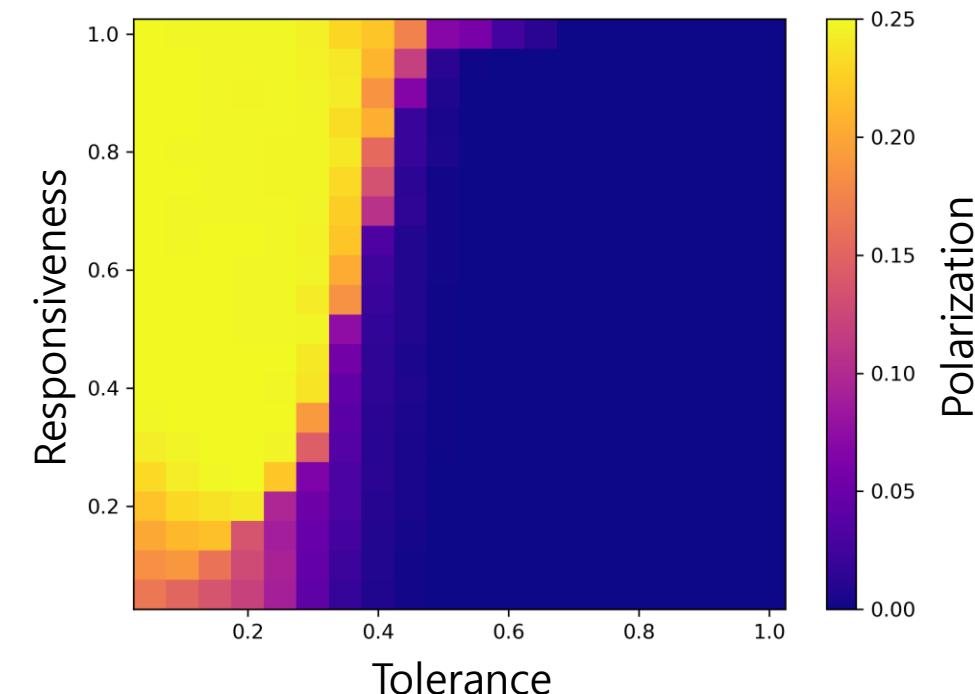
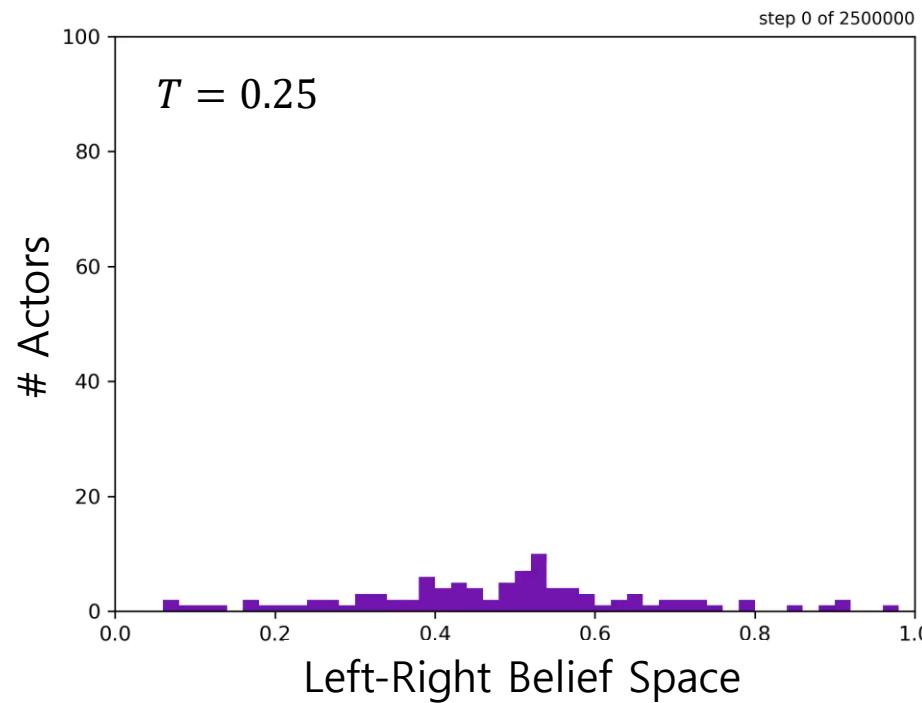
My recent work has modeled and analyzed the dynamics of political polarization.



# Characterizing Biological and Social Complex Systems

Our **Attraction-Repulsion Model (ARM)** has two simple rules:

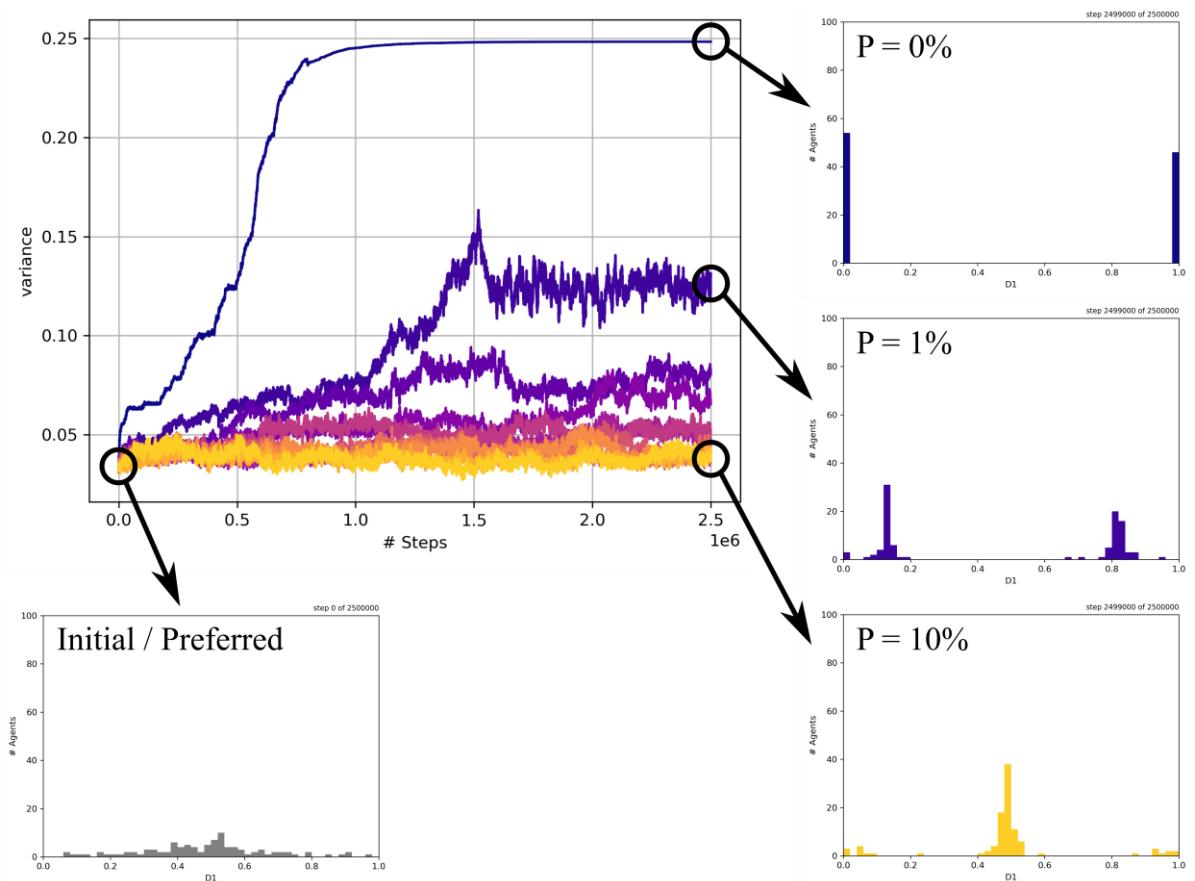
1. Actors tend to be exposed to views similar to their own (exposure w.p.  $(1/2)^{d/E}$ ).
2. Interaction between **similar** actors (within tolerance  $T$ ) reduces their ideological difference (by a fraction  $R$ ), while interaction between **dissimilar** actors increases their difference.



# Characterizing Biological and Social Complex Systems

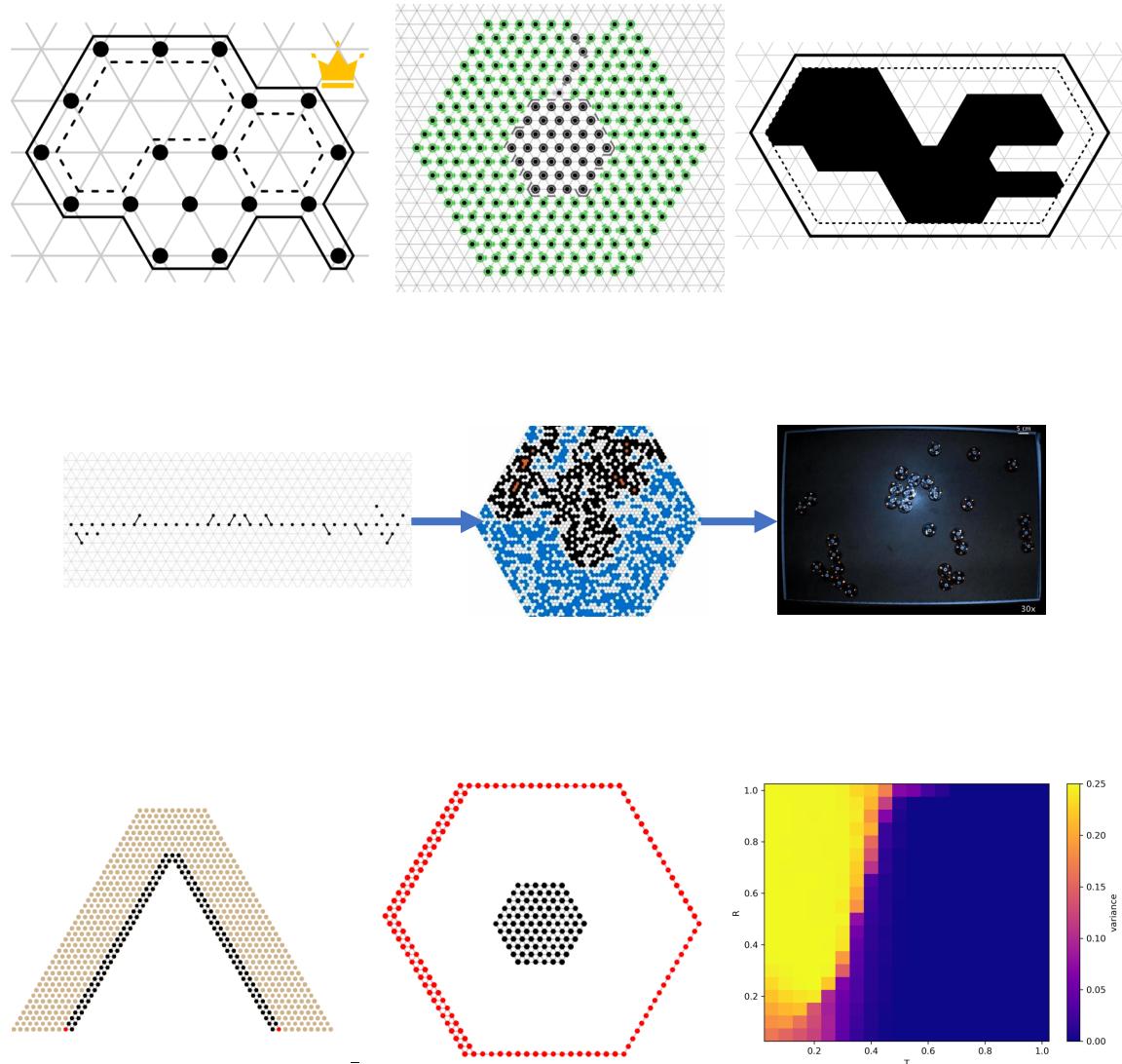
The ARM suggests that extreme polarization can be mitigated with:

- High tolerance  $T$  (leads to consensus).
- Low exposure  $E$  (encourages insulated communities).
- Any self-interest for a moderate position (leads to bimodal or centrist distributions).
- Very early or very strong external shock (leads to consensus).



# Conclusion

1. Constant-size memory, local communication, and local movements suffice to program many desired behaviors in **digital collectives**.
2. By connecting **biased random decisions** to the **physics of local interactions**, we can translate digital algorithms driving emergent collective behavior for **simple analog robots**.
3. This same framework can suggest **local rules and decisions** that capture observed collective behavior in **biological and social complex systems**.



# Thank you!

[jdaymude.github.io](https://jdaymude.github.io)

