

# Streaming B-Trees for File System Grand Challenges

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# Grand Challenges

- At last year's HECIWG, some file-system grand challenges were identified.
- Of interest to us, develop a file system that supports:
  - Creating 30,000 microfiles/second.
  - ls -R at near disk bandwidth speed.

# Our Results

- We have developed the **Streaming B-tree**, which is a drop-in replacement for the B-tree at the back end of file systems.
- Streaming B-trees:
  - Make »30,000 insertions per second.
  - Do range queries at ~20-50% of disk bandwidth.
- When SB-trees are deployed in a file system, we expect to solve two grand challenges.

# Streaming B-Trees: Fast Updates and Range Queries

Our data structures:

- Cache-oblivious lookahead array (COLA):
  - Over 2 orders of magnitude improvement in inserts.
- Cache-oblivious shuttle tree:
  - Asymptotically optimal point queries with fast updates.
- Both:
  - are cache oblivious (no platform dependent tuning).
  - are fast for range queries.
  - slower than B-trees for point queries.

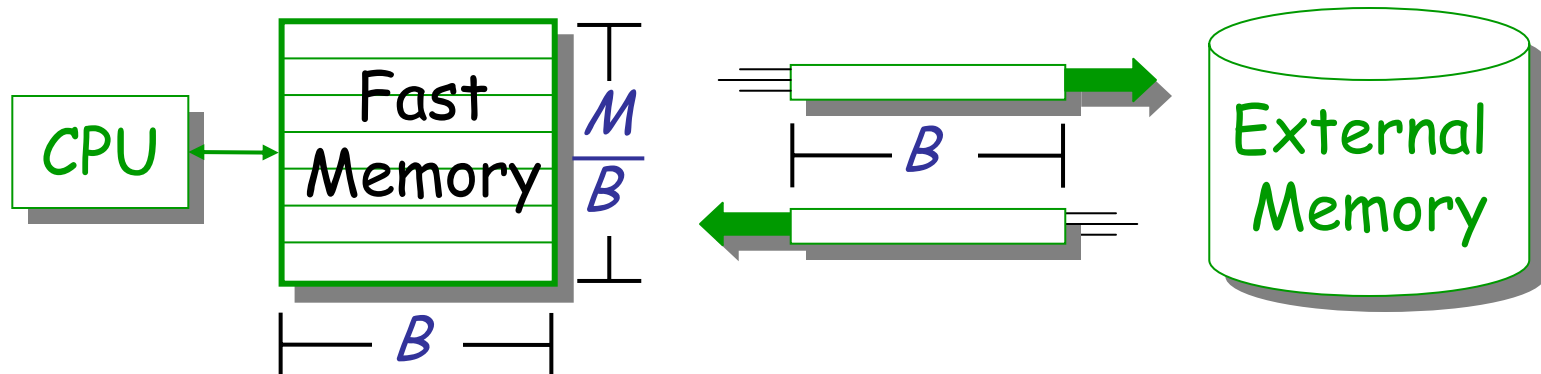
# Talk outline

- Analytic introduction to the memory hierarchy.
- Description of data structures.
- Experimental results.
- More data structures.

# Disk-Access-Machine (DAM) Model

[Aggarwal, Vitter 88]

- Fast memory of size  $M$
- Data grouped in blocks of size  $B$
- Count # of memory (block) transfers

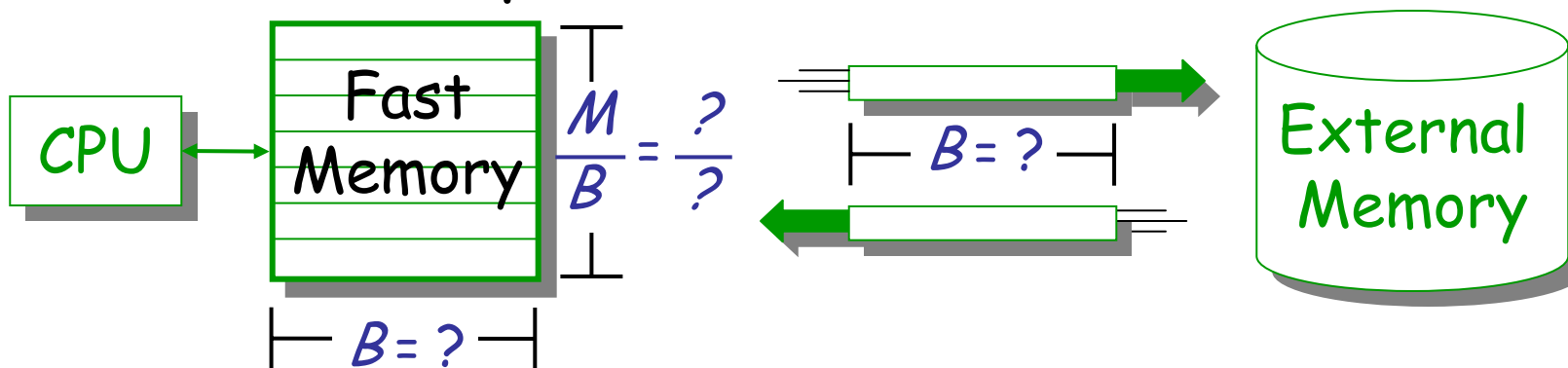


# Cache-Oblivious (CO) Model

[Frigo, Leiserson, Prokop, Ramachandran 99]

Like DAM model, except  $B$  and  $M$  unknown to algo.

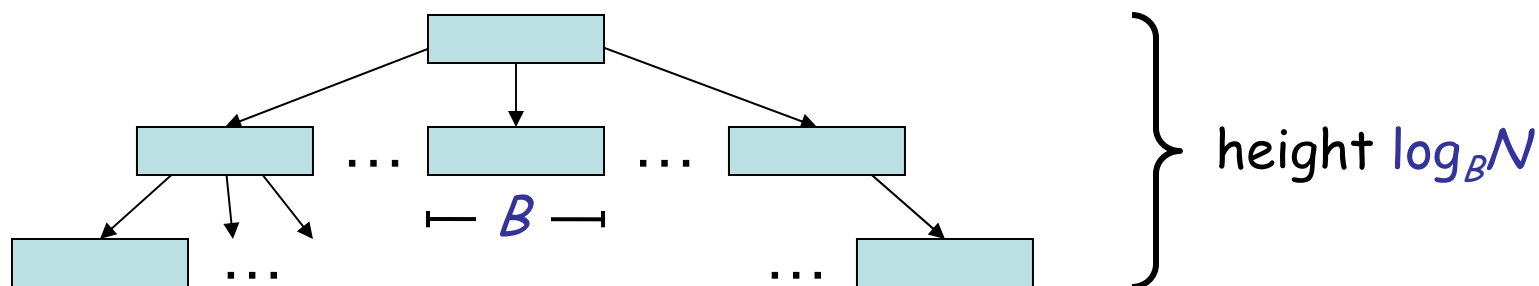
- Parameters  $B$  and  $M$  appear in proofs only.
- Results generalize to multilevel hierarchy.
- Platform independent.



Great for disks, which have no "correct" block size.  
Disk-resident CO data structures can offer speedups [Bender, Farach-Colton, Kuszmaul '06]

# B-Tree Inserts Are Slow

B-tree [Bayer, McCreight 72]



$\alpha(\log_B N)$  is suboptimal for inserts.

- Can get faster inserts with small loss to searches

Cache-Aware Data Structure	Search	Insert
B-tree [BM72]	$\alpha(\log_B N)$	$\alpha(\log_B N)$
$B^\epsilon$ -tree [BF03]	$\alpha(1/\epsilon) \log_B N$	$\alpha(1/\epsilon B^{1-\epsilon}) \log_B N^*$
$B^{1/2}$ -tree [BF03]	$\alpha(2) \log_B N$	$\alpha(1/\sqrt{B}) \log_B N^*$
BRT [BGVW00]	$\alpha(\log_2 N)$	$\alpha(1/B) \log_2 N^*$

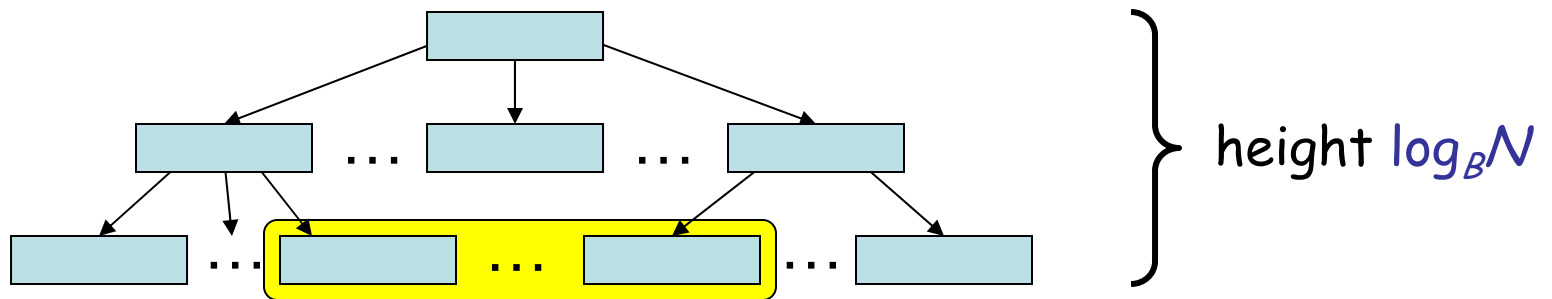
\* amortized



# B-Tree Range Queries Are Slow

*Range query.* scan of elements in chosen range.

- e.g., "Is -R"
- B-tree (and  $B^{\epsilon}$ -) leaves are scattered across disk.
- Random block transfers are **1-2** orders of magnitude slower than sequential transfers.



CO trees keeps keys (nearly) in order on disk  
 $\Rightarrow$  fast range queries.

# CO Streaming B-Trees: Results

There exists cache-aware search/insert tradeoff.

Cache-Aware DS	Search	Insert
$B^\varepsilon$ -tree [BF03]	$\alpha(1/\varepsilon)\log_B M$	$\alpha(1/\varepsilon B^{1-\varepsilon})\log_B M^*$

*This work:* two points in tradeoff, cache obliviously.

CO Data Structure	Search	Insert
CO B-tree [BDF-COO, BDIW04, BFJ02]	$\alpha\log_B M$	$\alpha\log_B N + (\log^2 M)/B^*$
CO Lookahead Array (COLA) [this talk]	$\alpha\log_2 M$	$\alpha(1/B)\log_2 M^*$
CO Shuttle Tree [this talk]	$\alpha\log_B M$	$\alpha(1/B^{\Omega(1/(\log\log B)^2)})\log_B N + (\log^2 M)/B^*$

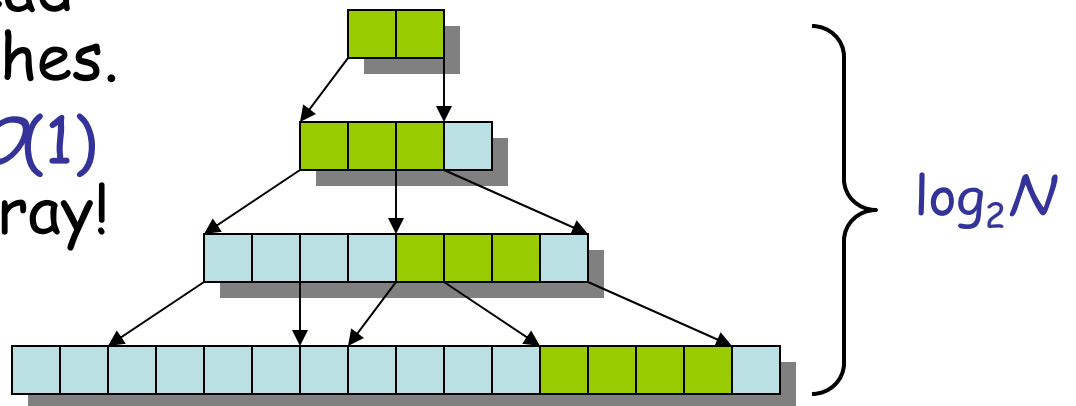
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# Cache-Oblivious Lookahead Array

- Search:  $\alpha \log_2 M$  block transfers.
- Insert:  $\alpha(1/B) \log_2 M$  amortized and  $\alpha \log_2 M$  worst-case block transfers.
- Consists of  $\lceil \log N \rceil$  arrays where the  $i$ th array stores  $2^i$  elements.
  - Each array is sorted and full ( $2^i$  elements) or “empty” (0 elements).
  - Redundant “lookahead pointers” aid searches.
  - Search scans only  $\alpha(1)$  elements in each array!



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# COLA vs. B-Tree\*: Experimental Results

Random inserts are **1300** times faster in COLA

- B-tree: 14 **days** to insert (**1.5**×mem)-size dataset.
- COLA: 14 **minutes** to insert the same dataset.

COLA inserts are consistently fast

- Random only 10% slower than presorted inserts.
- Presorted inserts are **3.1**× slower than B-tree, but COLA does not (yet) optimize for this case.

Tradeoff:

- Point searches are **3.5**× slower than B-tree.

\* Our B-tree's performance is comparable to Berkeley DB  
[Bender, Farach-Colton, Kuszmaul 06].

# COLA Test Specs

## Machine:

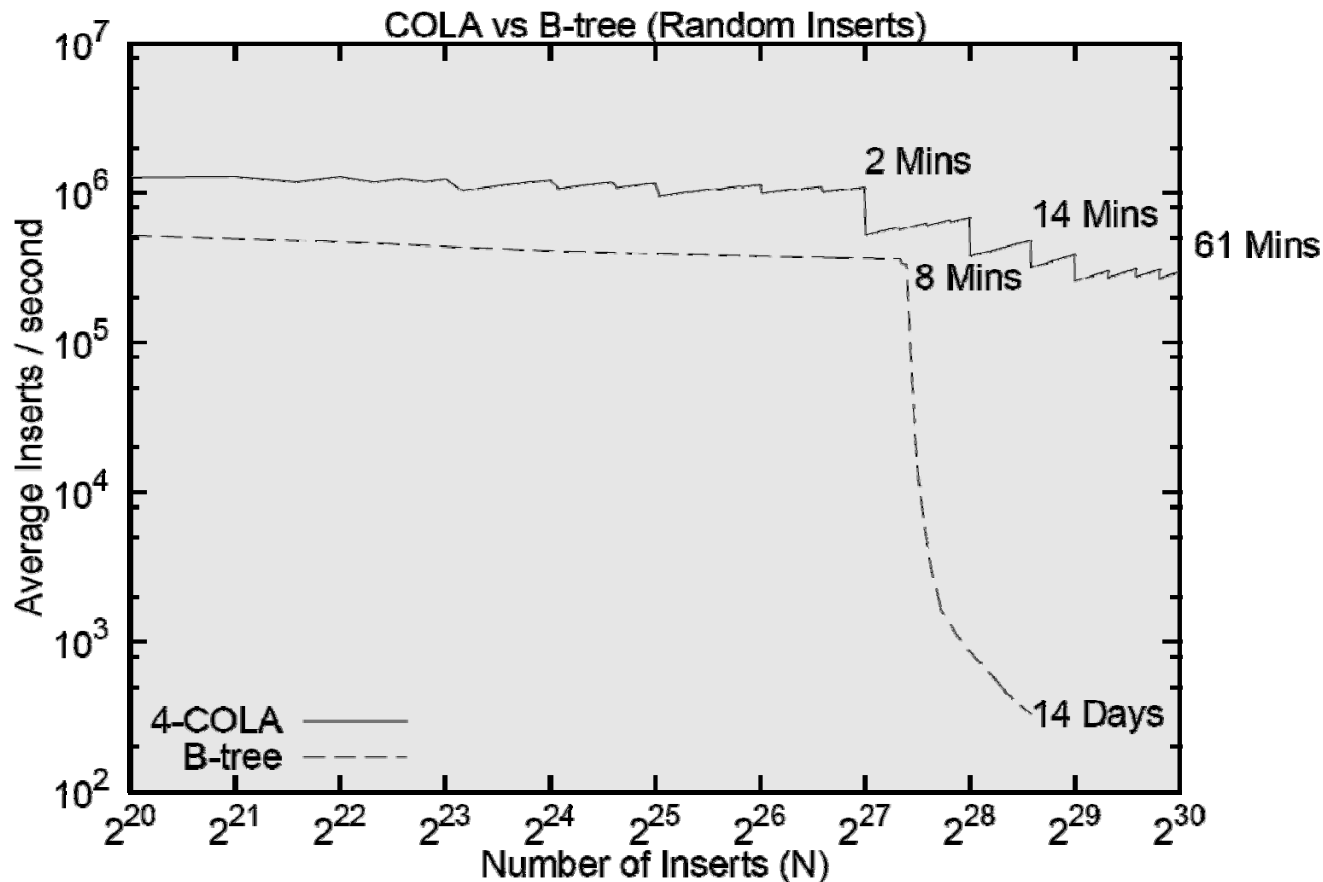
- Dual Xeon 3.2GHz with 2MiB of L2 Cache.
- 4GiB RAM.
- Two 250GB Maxtor 7L250S0 SATA drives.
  - Software RAID-0 with 64KiB stripe width.
- Linux 2.6.12-10-amd64-xeon in 64-bit mode.

## Input:

- 64-bit keys and values.

# COLA vs. B-Tree: Random Inserts

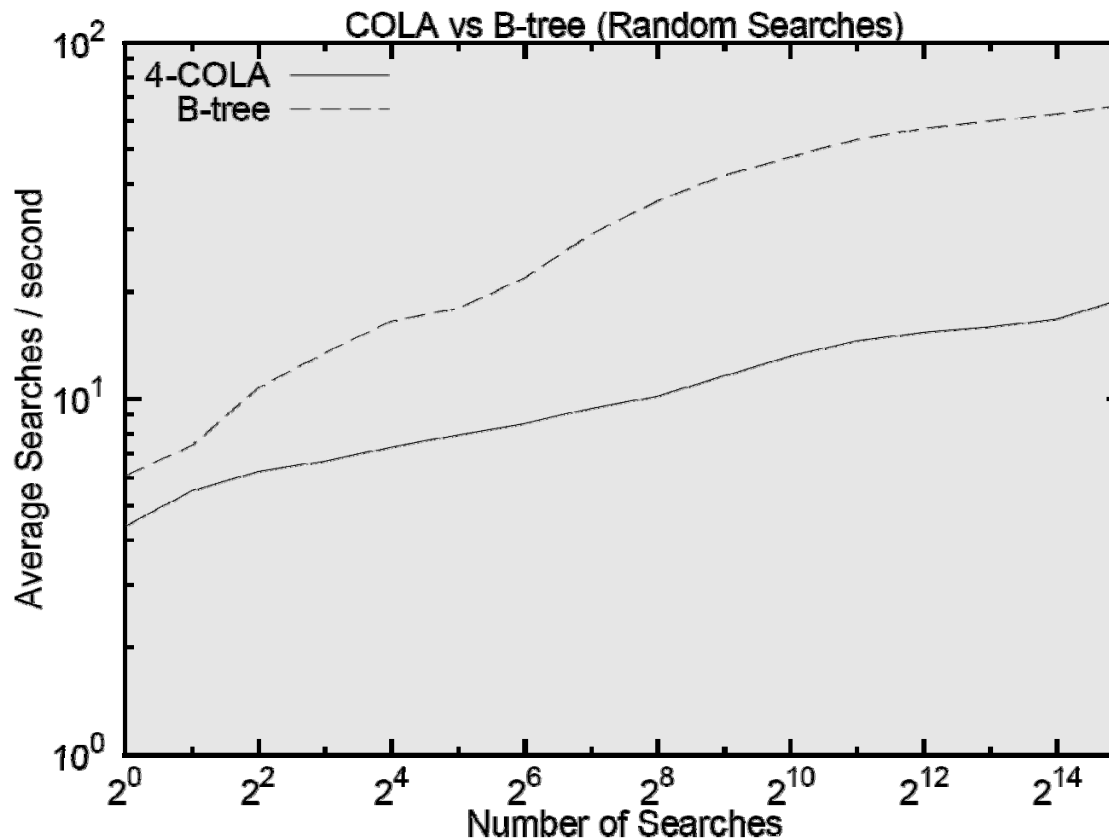
- The COLA is 1300 times faster than the B-tree
  - Expect the B-tree to level off at ~3 orders of magnitude slower than the COLA.





# COLA vs. B-Tree: Searches

- The COLA is **3.5** times slower for searches
  - $N = 2^{30} - 1$
  - Keys were inserted in order for the B-tree



# Comparison

- B-tree gives ~100/insertions/second/disk.
- COLA gives ~150,000 insertions/second/disk.
  - But point queries are 3.5x slower than B-trees.
- We have a new implementation that:
  - handles 20K-30K.
  - Point queries are 40% slower than B-trees.
  - handles variable-length keys.

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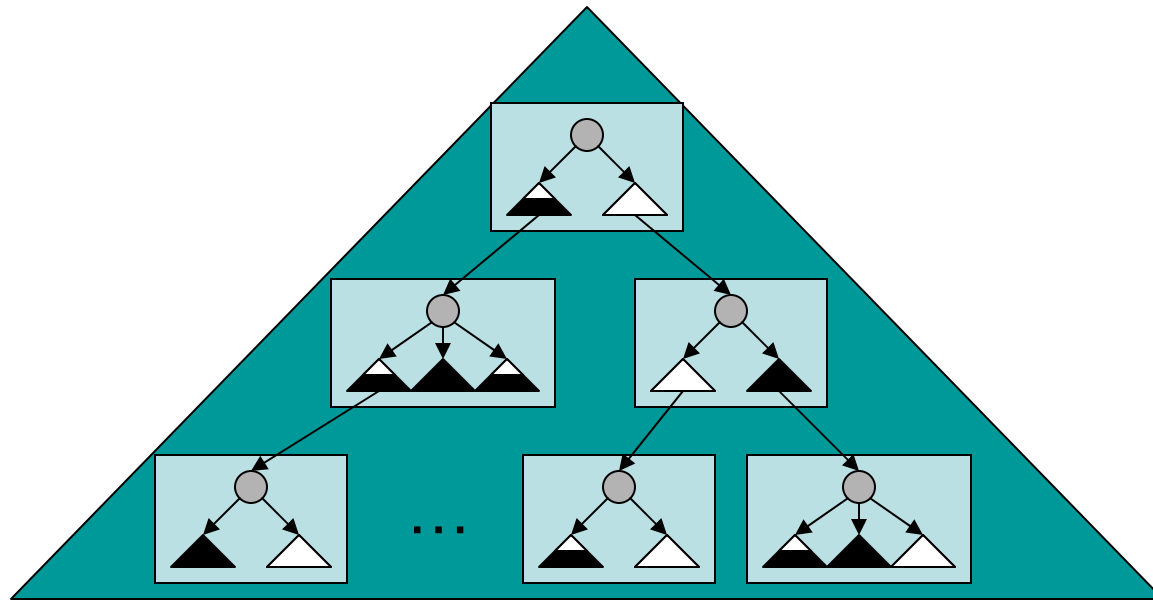
# Shuttle-Tree Overview

- Cache oblivious.
- Fast inserts ( $\alpha(1/B^{\Omega(1/(\log \log B)^2)})\log_B N + (\log^2 M)/B$ )
  - using buffers that are (recursively) shuttle trees.
- Searches asymptotically match B-trees at  $\alpha(\log_B M)$ . (COLA searches are only  $\alpha(\log_2 M)$ .)
  - using recursive cache-oblivious layout.
- Fast range queries.
  - Layout keeps elements (nearly) in order.
- Uses PMA [Bender, Demaine, Farach-Colton 00] to keep layout dynamically.

# Shuttle Tree Uses Buffers For Fast Inserts

The *Shuttle Tree* is a CO tree with degree- $\Theta(1)$  nodes, where each node has buffers.

- Buffers are also shuttle trees.



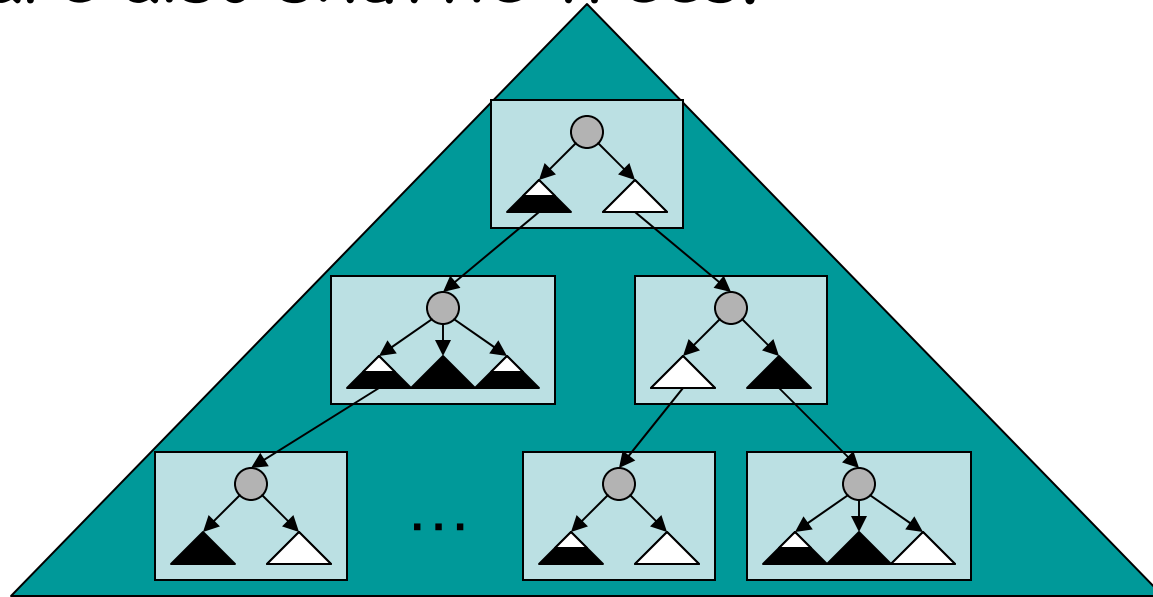
*Search:*

- Walk down tree, looking in buffers.
- Cost is  $\alpha(\text{buffer searches}) + (\text{root-to-leaf path})$ .

# Shuttle Tree Uses Buffers For Fast Inserts

The *Shuttle Tree* is a CO tree with degree- $\Theta(1)$  nodes, where each node has **buffers**.

- Buffers are also shuttle trees.



*Insert:*

- Fill buffer before moving down tree.
- Push **buffer size** keys down at a time.
- Amortize moving down tree against **buffer size**.

# Publications

- **Cache-Oblivious Streaming B-Trees (SPAA 07)**
  - Michael A. Bender, Martin Farach-Colton, Jeremy T. Fineman, Yonatan R. Fogel, Bradley C. Kuszmaul, Jelani Nelson
- **Cache-Oblivious String B-trees (PODS 06)**
  - Michael A. Bender, Martin Farach-Colton, Bradley C. Kuszmaul

# What next? Tokutek

- We are commercializing this technology through a startup called Tokutek.
- We are looking for insert-intensive applications.
- We are looking for engineers.



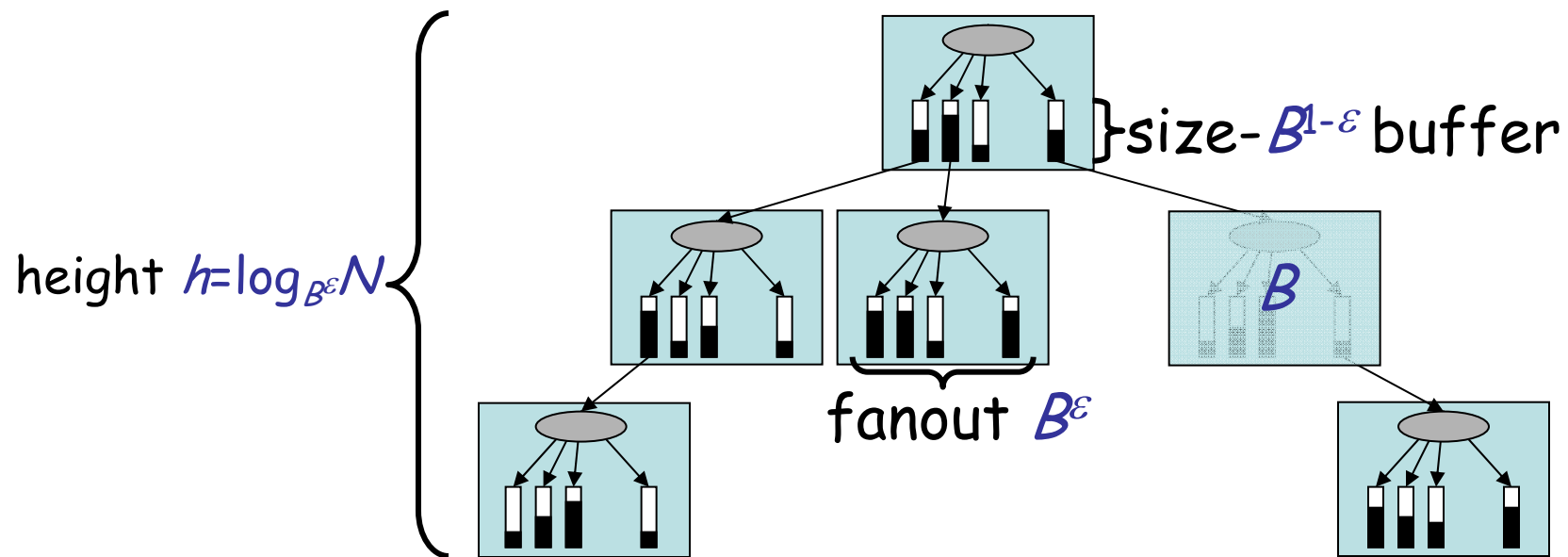




# Buffer for Fast Inserts:

## The Cache-Aware $B^\epsilon$ -Tree [Brodal, Fagerberg 03]

- Nodes have fanout  $B^\epsilon$  and total buffer size  $B$ .



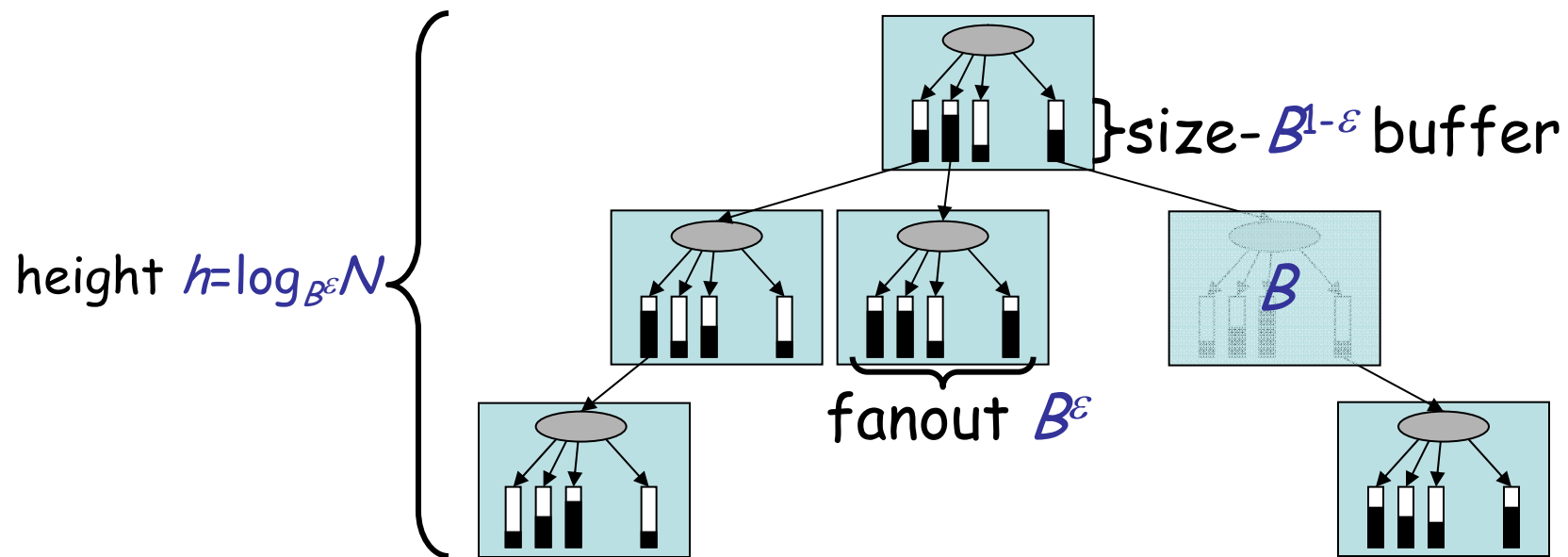
Search:

- Walk down tree, looking in buffers.
- Cost is  $\mathcal{O}(\text{buffer search})h = \mathcal{O}(1/\epsilon) \log_{B^\epsilon} N$

# Buffer for Fast Inserts:

## The Cache-Aware $B^\epsilon$ -Tree [Brodal, Fagerberg 03]

- Nodes have fanout  $B^\epsilon$  and total buffer size  $B$ .



Inserts:

- Fill buffer before moving down tree.
- Push buffer size =  $B^{1-\epsilon}$  keys down at a time.
- Cost is  $\alpha(h/(\text{buffer size})) = \alpha(1/\epsilon B^{1-\epsilon}) \log_{B^\epsilon} N$