

Lecture 9: Search Tree Structures

(Chapter 10, Section 10.1 from
the book)

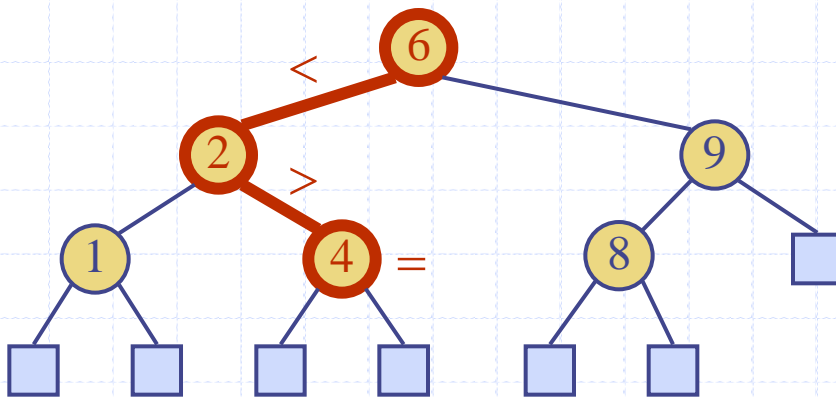
Agenda

◆ Binary Search Trees

- Search
- Insertion
- Deletion

◆ Binary Search

Binary Search Trees



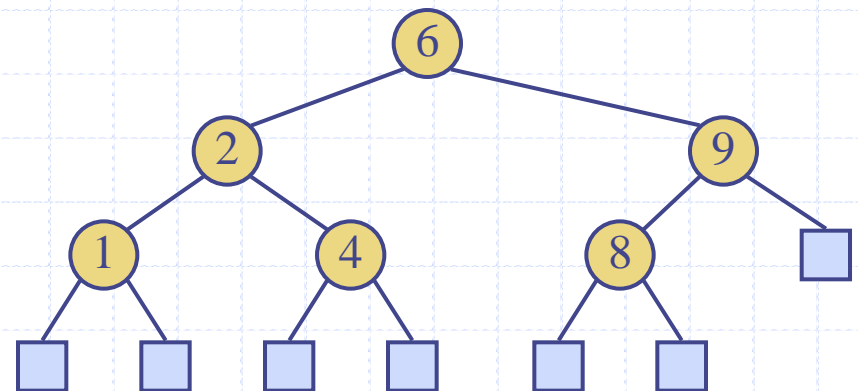
Binary Search Trees

◆ A binary search tree is a binary tree storing keys (or key-value entries) at its internal nodes and satisfying the following property:

- Let u , v , and w be three nodes such that u is in the left subtree of v and w is in the right subtree of v . We have
 $key(u) \leq key(v) \leq key(w)$

◆ External nodes do not store items

◆ An inorder traversal of a binary search tree visits the keys in increasing order



Binary Search Tree for Implementing a Map

◆ The map ADT (get, put, remove)

- **get**(k): if the map M has an entry $e=(k,o)$ with key k , return its associated value o ; else, return **null**;
- **put**(k,o): If M does not have an entry (k, o) then add it to the map M and return **null**; else, replace with o the existing value of the entry with key equal to k and return old value associated with k ;
- **remove**(k): if the map M has an entry with key k , remove it from M and return its associated value; else, return **null**;

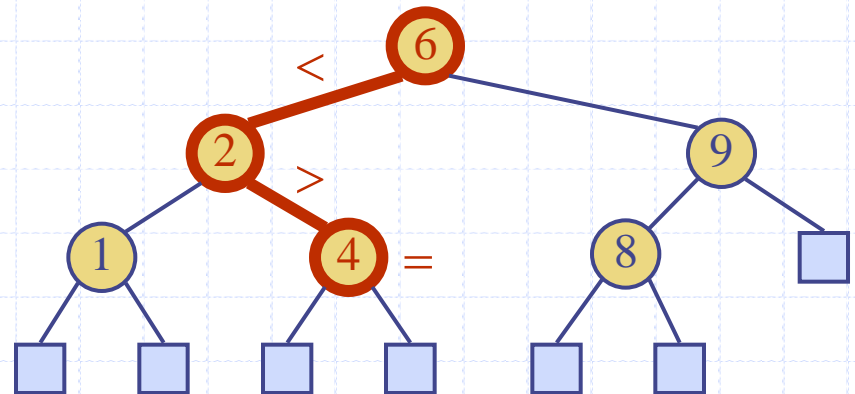
Insert and Remove External Tree Nodes

- ◆ For the future reference assume that a binary tree supports the following update operation:
- ◆ **insertAtExternal**($w, (k, o)$) – insert the element (k, o) at the external node w , and expand w to be internal, having new (empty) external node children
- ◆ **removeExternal**(w) – remove an external node w and its parent, replacing w 's parent with w 's sibling

Search

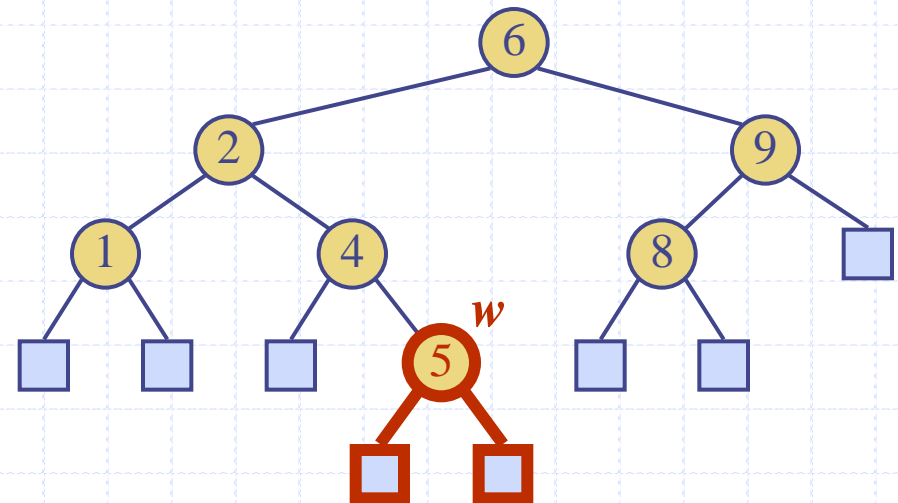
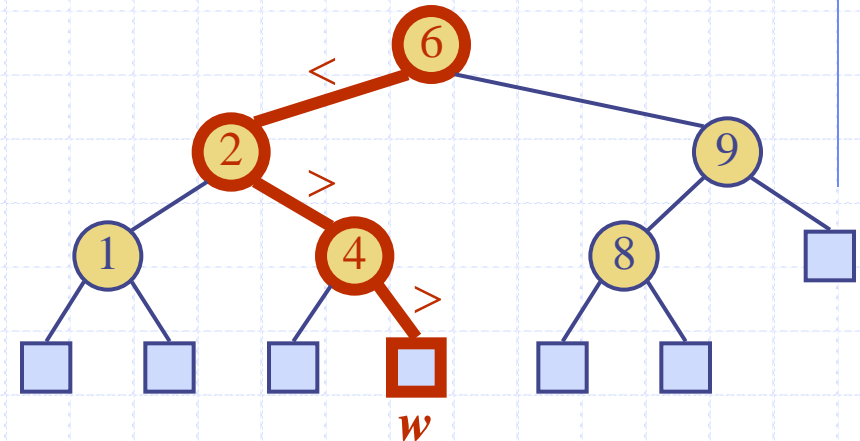
- ◆ To perform operation **get(k)** in a map M i.e. to search for a key k , we trace a downward path starting at the root
- ◆ The next node visited depends on the comparison of k with the key of the current node
- ◆ If we reach a leaf, the key is not found
- ◆ Example:
TreeSearch(4, root)

```
Algorithm TreeSearch( $k, v$ )  
  if isExternal ( $v$ )  
    return  $v$   
  if  $k < \text{key}(v)$   
    return TreeSearch( $k, \text{left}(v)$ )  
  else if  $k = \text{key}(v)$   
    return  $v$   
  else {  $k > \text{key}(v)$  }  
    return TreeSearch( $k, \text{right}(v)$ )
```



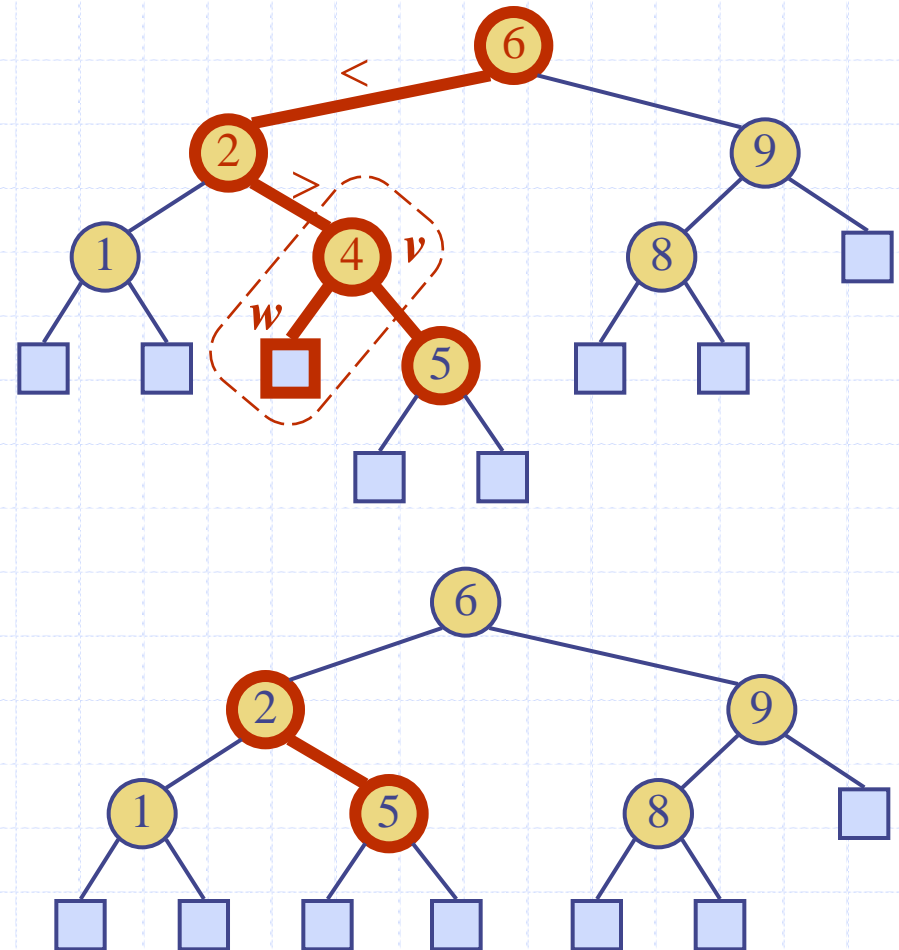
Insertion

- ◆ To perform operation $\text{put}(k,o)$, we search for key k (using `TreeSearch`)
- ◆ Assume k is not already in the tree, and let w be the leaf reached by the search
- ◆ We insert k at node w and expand w into an internal node using $\text{insertAtExternal}(w,(k,o))$
- ◆ Example: insert 5



Deletion

- ◆ To perform operation **remove(k)**, we search for key k
- ◆ Assume key k is in the tree, and let v be the node storing k
- ◆ If node v has a leaf child w , we remove v and w from the tree with operation **removeExternal(w)**, which removes w and its parent
- ◆ Example: remove 4

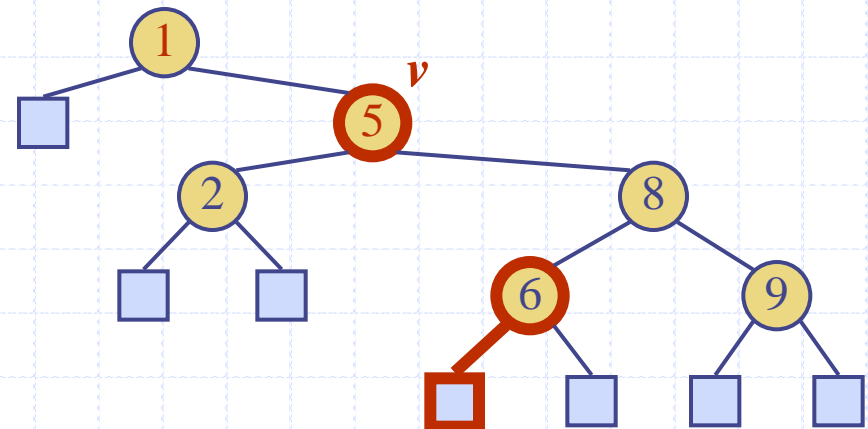
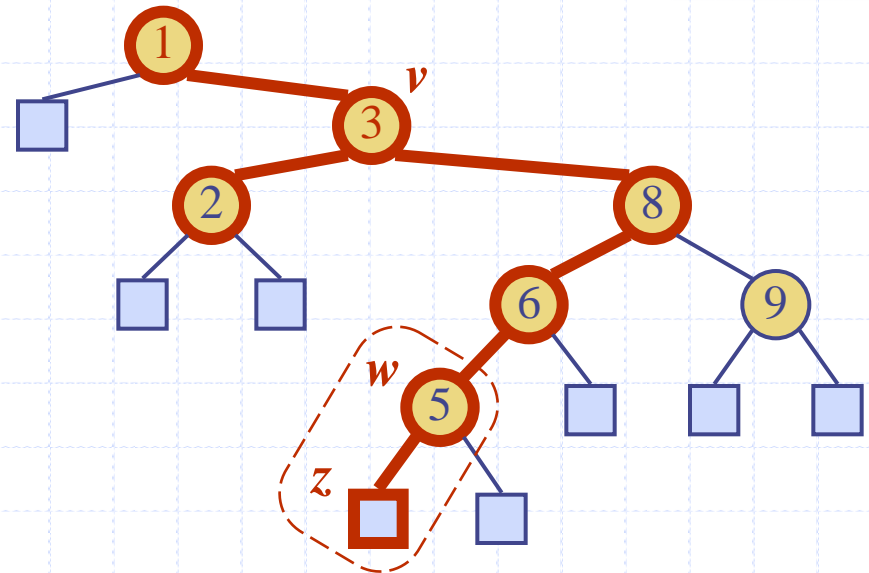


Deletion (cont.)

- ◆ We consider the case where the key k to be removed is stored at a node v whose children are both internal

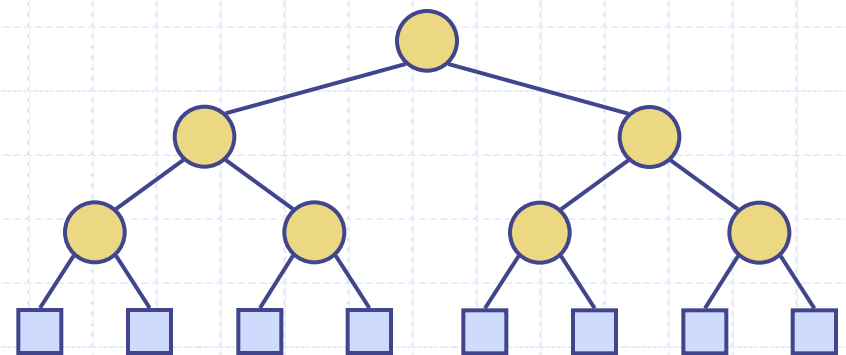
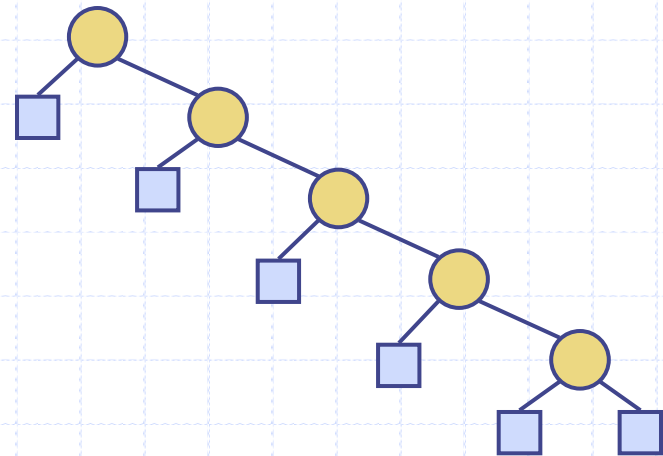
- we find the internal node w that follows v in an inorder traversal
- we copy $key(w)$ into node v
- we remove node w and its left child z (which must be a leaf) by means of operation `removeExternal(z)`

- ◆ Example: remove 3



Performance of a Binary Search Tree

- ◆ Consider a map with n items implemented by means of a binary search tree of height h
 - the space used is $O(n)$
 - methods **get**, **put** and **remove** take $O(h)$ time (assuming we spend $O(1)$ at each node)
- ◆ The height h is $O(n)$ in the worst case and $O(\log n)$ in the best case



Exercise 1 – Binary Search Tree

- ◆ Insert into an empty binary search tree, entries with keys 50, 32, 19, 40, 62, 28, 69, 55, 65, 100 (in this order). Draw the tree after each insertion
- ◆ Describe step by step the execution of operation: $\text{TreeSearch}(28, \text{root})$ on tree T
- ◆ Describe step by step the execution of operations:
 - $\text{remove}(28)$ on tree T ,
 - $\text{Remove}(69)$ on tree T .



Binary Search

Binary Search

- Assume that we have an ordered array A of size N i.e. $[0..N]$ of integers and we want to find key k
- Search for $k=12$

```
Algorithm BinarySearch( $k, A, N$ )  
   $min := 1$ ;  
   $max := N$ ;  
  repeat  
     $mid := (min+max) \text{ div } 2$ ;  
    if  $k > A[mid]$  then  $min := mid + 1$ ;  
    else  $max := mid - 1$ ;  
  until ( $A[mid] = k$ ) or ( $min > max$ );
```

0	1	2	3	4	5	6	7	8
	12	15	18	21	25	29	37	40

STEP 3:
mid=1
12=12
STOP

STEP 2:
mid=2
12<15
max=2

STEP 1:
mid=4
12<21
max=3

Binary search in a sorted sequence

- ◆ **Linear search** for the key **41** in a sequence with elements in arbitrary order:

46 9 11 27 59 14 17 3 33 63 37 41 52 7 53

- ◆ **Binary search** for the key **41** in the sorted sequence:

3 7 9 11 14 17 27 33 37 41 46 52 53 59 63

Compare with the middle element:

3 7 9 11 14 17 27 33 37 41 46 52 53 59 63

Search recursively in that half (either the lower half or the upper half) which may contain the search key:

3 7 9 11 14 17 27 33 37 41 46 52 53 59 63

3 7 9 11 14 17 27 33 37 41 46 52 53 59 63

3 7 9 11 14 17 27 33 37 41 46 52 53 59 63

- ◆ Number of comparisons in the worst case, for a sequence of n elements:

linear search: n

binary search: $\lceil \log n \rceil + 1$ (all log's with base 2).