# Database Systems and Web (15B11CI312)

# Database Systems and Web

Lecture 6: EER

# Contents to be covered

- ☐ Enhanced ER Modelling
- □ Subclass
- □ Superclass
- □ Generalization
- □ Specialization

# The Enhanced Entity-Relationship (EER) Model

#### Enhanced ER (EER) model

- Created to design more accurate database schemas
  - Reflect the data properties and constraints more precisely
- More complex requirements than traditional applications

# Subclasses, Superclasses, and Inheritance

EER model includes all modeling concepts of the ER model

In addition, EER includes:

- Subclasses and superclasses
- Specialization and generalization
- Category or union type
- Attribute and relationship inheritance

# Subclasses, Superclasses, and Inheritance

#### **Enhanced ER** or **EER diagrams**

Diagrammatic technique for displaying these concepts in an EER schema

#### Subtype or subclass of an entity type

- Sub groupings of entities that are meaningful
- Represented explicitly because of their significance to the database application

# Subclasses, Superclasses, and Inheritance (cont'd.)

Terms for relationship between a superclass and any one of its subclasses

- Superclass/subclass
- Supertype/subtype
- Class/subclass relationship

#### Type inheritance

Subclass entity inherits all attributes and relationships of superclass

# Subclasses and Superclasses (1)

An entity type may have additional meaningful subgroupings of its entities

- Example: EMPLOYEE may be further grouped into:
  - SECRETARY, ENGINEER, TECHNICIAN, ...
    - Based on the EMPLOYEE's Job
  - MANAGER
    - EMPLOYEEs who are managers
  - SALARIED\_EMPLOYEE, HOURLY\_EMPLOYEE
    - Based on the EMPLOYEE's method of pay

EER diagrams extend ER diagrams to represent these additional subgroupings, called *subclasses* or *subtypes* 



# Subclasses and Superclasses (2)

Each of these subgroupings is a subset of EMPLOYEE entities

Each is called a subclass of EMPLOYEE

EMPLOYEE is the superclass for each of these subclasses

These are called superclass/subclass relationships:

- EMPLOYEE/SECRETARY
- EMPLOYEE/TECHNICIAN
- EMPLOYEE/MANAGER

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# Subclasses and Superclasses (3)

These are also called IS-A relationships

• SECRETARY IS-A EMPLOYEE, TECHNICIAN IS-A EMPLOYEE, ....

Note: An entity that is member of a subclass represents the same real-world entity as some member of the superclass:

- The subclass member is the same entity in a distinct specific role
- An entity cannot exist in the database merely by being a member of a subclass; it must also be a member of the superclass
- A member of the superclass can be optionally included as a member of any number of its subclasses

# Subclasses and Superclasses (4)

#### Examples:

- A salaried employee who is also an engineer belongs to the two subclasses:
  - ENGINEER, and
  - SALARIED\_EMPLOYEE
- A salaried employee who is also an engineering manager belongs to the three subclasses:
  - MANAGER,
  - ENGINEER, and
  - SALARIED\_EMPLOYEE

It is not necessary that every entity in a superclass be a member of some subclass

# Representing Specialization in EER Diagrams

Source: Fundamentals of database systems / Ramez Elmasri, Shamkant B. Navathe.—6th ed, Pearson Publications

## Attribute Inheritance in Superclass / Subclass Relationships

An entity that is member of a subclass *inherits* 

- All attributes of the entity as a member of the superclass
- All relationships of the entity as a member of the superclass

#### Example:

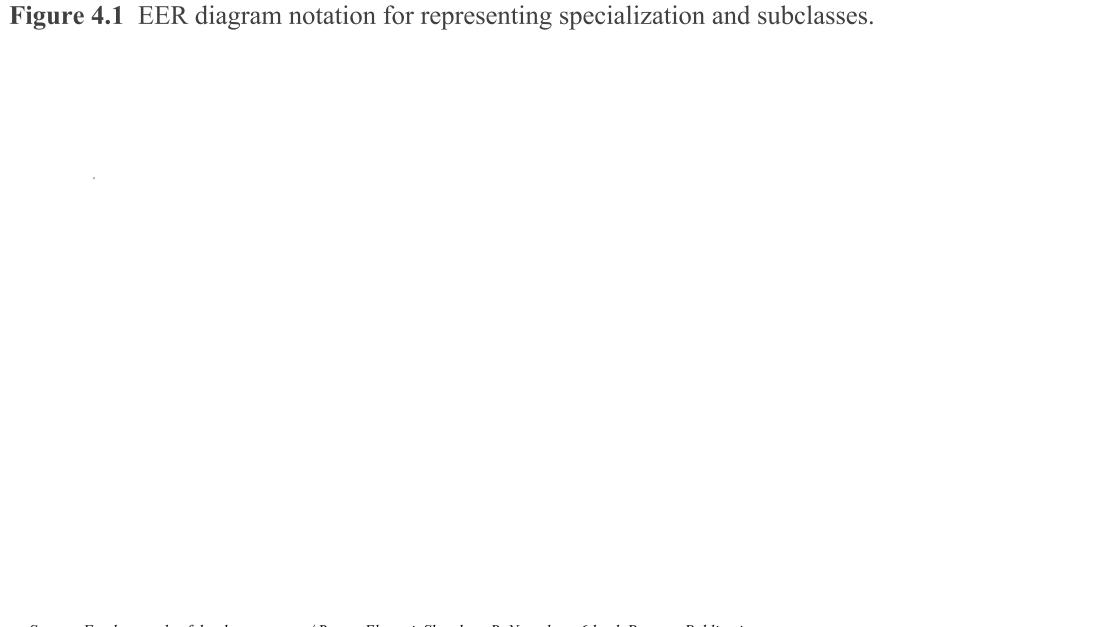
- In the previous slide, SECRETARY (as well as TECHNICIAN and ENGINEER) inherit the attributes Name, SSN, ..., from EMPLOYEE
- Every SECRETARY entity will have values for the inherited attributes

# **Specialization and Generalization**

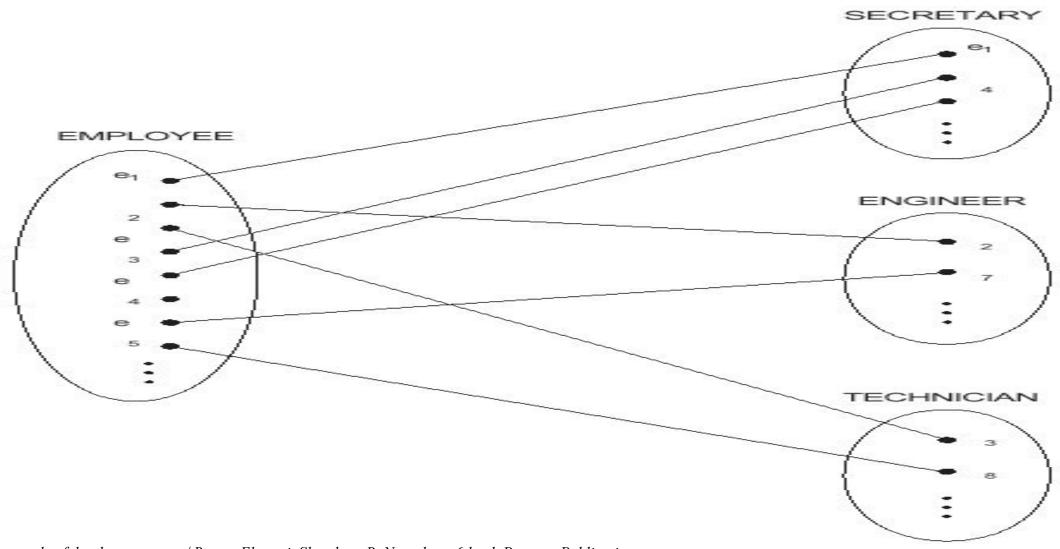
ER diagrams consider entity types to be primitive objects

EER diagrams allow refinements within the structures of entity types

Specialization: top-down refinement into (super)classes and subclasses



**Figure 4.2** Some instances of the specialization of EMPLOYEE into the {SECRETARY, ENGINEER, TECHNICIAN} set of subclasses.



Source: Fundamentals of database systems / Ramez Elmasri, Shamkant B. Navathe.—6th ed, Pearson Publications

# Basics

Generalization groups entity types; bottom up synthesis

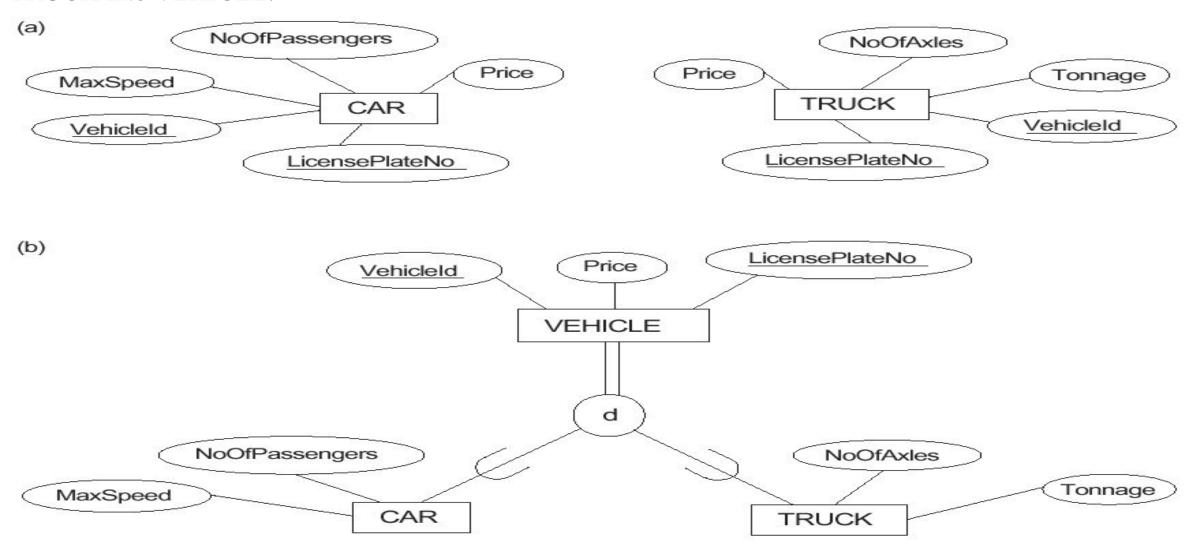
Subclasses inherit the attributes and relationships of their super classes

# WHY

Relationship types only partially applicable to the superclass

Attributes only partially applying to superclasses

**Figure 4.3** Examples of generalization. (a) Two entity types CAR and TRUCK. (b) Generalizing CAR and TRUCK into VEHICLE.



Source: Fundamentals of database systems / Ramez Elmasri, Shamkant B. Navathe.—6th ed, Pearson Publications

# More Specifications: Specialization (1)

Specialization is the process of defining a set of subclasses of a superclass

The set of subclasses is based upon some distinguishing characteristics of the entities in the superclass

- Example: {SECRETARY, ENGINEER, TECHNICIAN} is a specialization of EMPLOYEE based upon *job type*.
  - May have several specializations of the same superclass

## More Specifications: Specialization (2)

Example: Another specialization of EMPLOYEE based on *method of pay* is {SALARIED EMPLOYEE, HOURLY EMPLOYEE}.

- Superclass/subclass relationships and specialization can be diagrammatically represented in EER diagrams
- Attributes of a subclass are called *specific* or *local* attributes.
  - For example, the attribute TypingSpeed of SECRETARY
- The subclass can also participate in specific relationship types.
  - For example, a relationship BELONGS\_TO of HOURLY\_EMPLOYEE

# Review: Specialization and Generalization

#### **Specialization**

- Process of defining a set of subclasses of an entity type
- Defined on the basis of some distinguishing characteristic of the entities in the superclass

#### Subclass can define:

- Specific attributes
- Specific relationship types



# Specialization and Generalization (cont'd.)

Certain attributes may apply to some but not all entities of the superclass

Some relationship types may be participated in only by members of the subclass

# Generalization

Reverse process of abstraction

#### Generalize into a single superclass

Original entity types are special subclasses

#### Generalization

• Process of defining a generalized entity type from the given entity types

# Constraints and Characteristics of Specialization and Generalization Hierarchies

- ☐ Constraints that apply to a single specialization or a single generalization
- ☐ Differences between specialization/generalization lattices and hierarchies
- ☐ If we can determine exactly those entities that will become members of each subclass by a condition, the subclasses are called predicate-defined (or condition-defined) subclasses
  - ☐ Condition is a constraint that determines subclass members
  - ☐ Display a predicate-defined subclass by writing the predicate condition next to the line attaching the subclass to its superclass

## Constraints on Specialization and Generalization (contd..)

If all subclasses in a specialization have membership condition on same attribute of the superclass, specialization is called an attribute-defined specialization

- Attribute is called the defining attribute of the specialization
- Example: JobType is the defining attribute of the specialization {SECRETARY, TECHNICIAN, ENGINEER} of EMPLOYEE

If no condition determines membership, the subclass is called user-defined

- Membership in a subclass is determined by the database users by applying an operation to add an entity to the subclass
- Membership in the subclass is specified individually for each entity in the superclass by the user

# Displaying an attribute-defined specialization in EER diagrams

## Constraints on Specialization and Generalization (3)

Two basic constraints can apply to a specialization/generalization:

- Disjointness Constraint:
- Completeness Constraint:

# Constraints on Specialization and Generalization (4)

#### Disjointness Constraint:

- Specifies that the subclasses of the specialization must be *disjoint*:
  - an entity can be a member of at most one of the subclasses of the specialization
- Specified by <u>d</u> in EER diagram
- If not disjoint, specialization is *overlapping*:
  - that is the same entity may be a member of more than one subclass of the specialization
- Specified by <u>o</u> in EER diagram

## Constraints on Specialization and Generalization (5)

#### Completeness Constraint:

- *Total* specifies that every entity in the superclass must be a member of some subclass in the specialization/generalization
- Shown in EER diagrams by a *double line*
- Partial allows an entity not to belong to any of the subclasses
- Shown in EER diagrams by a single line

## Constraints on Specialization and Generalization (6)

Hence, we have four types of specialization/generalization:

- Disjoint, total
- Disjoint, partial
- Overlapping, total
- Overlapping, partial

Note: Generalization usually is total because the superclass is derived from the subclasses.

# **Disjointness Constraints**

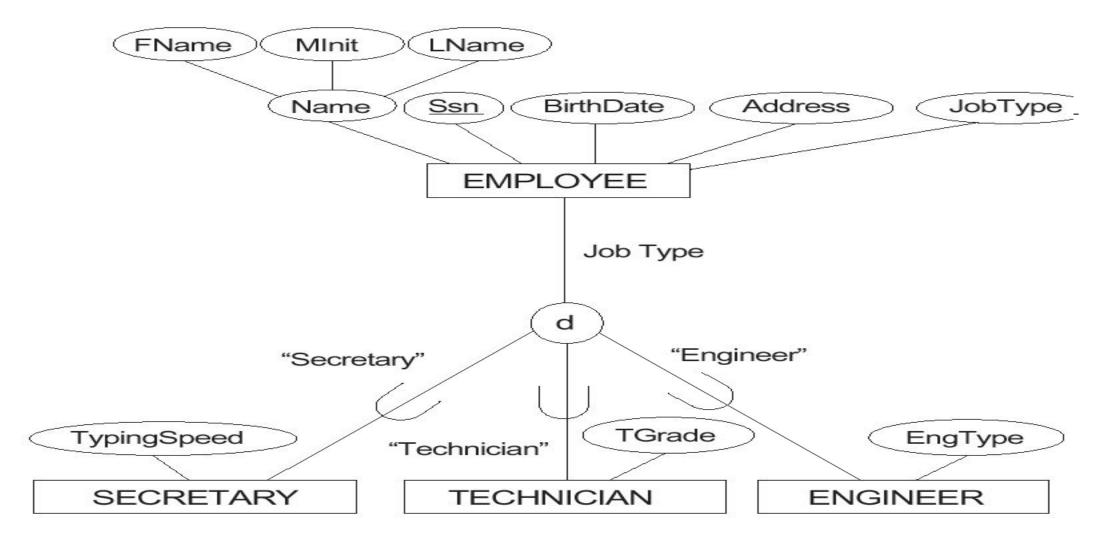
#### Disjoint (d)

• The subclasses must have disjoint sets of entities

#### Overlap (o)

• The subclasses may have overlapping sets of entities

Figure 4.4 An attribute-defined specialization on the JobType attribute of EMPLOYEE.



Source: Fundamentals of database systems / Ramez Elmasri, Shamkant B. Navathe.—6th ed, Pearson Publications

### **Completeness Constraints**

#### **Partial**

• An entity may not belong to any of the subclasses (single-line)

#### **Total**

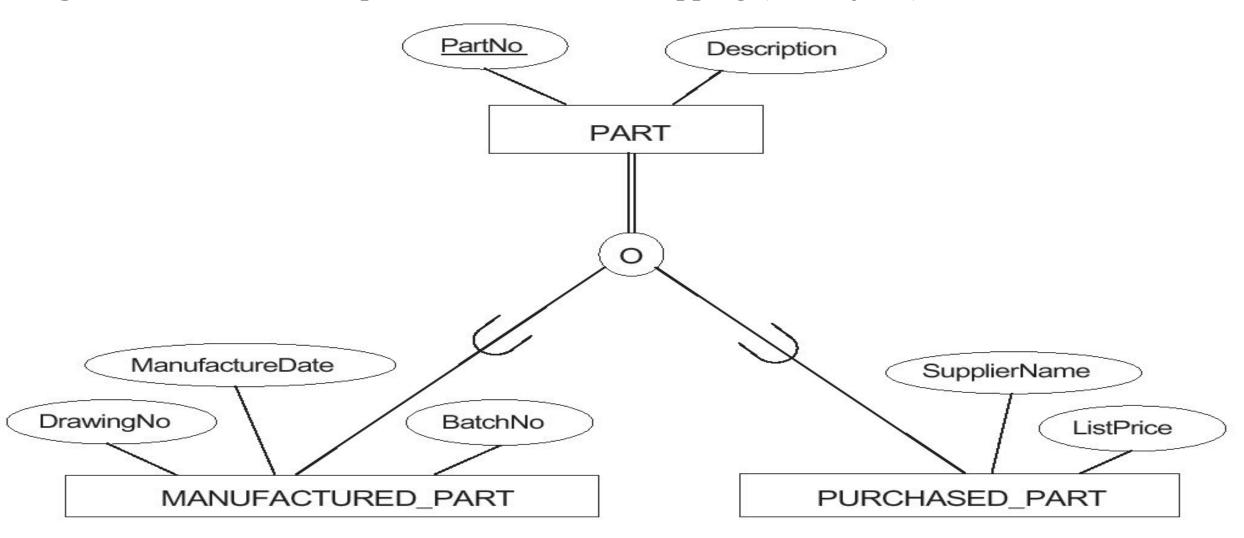
• Every entity in the superclass must be a member of some subclass (double-edge)

### **Membership Constraints**

#### **Predicate defined subclasses**

- The subclass is defined through a predicate on the attributes of the superclass
- Attribute defined subclasses
- The subclasses in the specialization are all defined by the same attribute of the superclass
- User defined subclasses
- Membership in the subclasses is determined at the insertion operation level

Figure 4.5 Notation for specialization with overlapping (nondisjoint) subclasses.



## Structures in Specialization

### **Multiple Specializations**

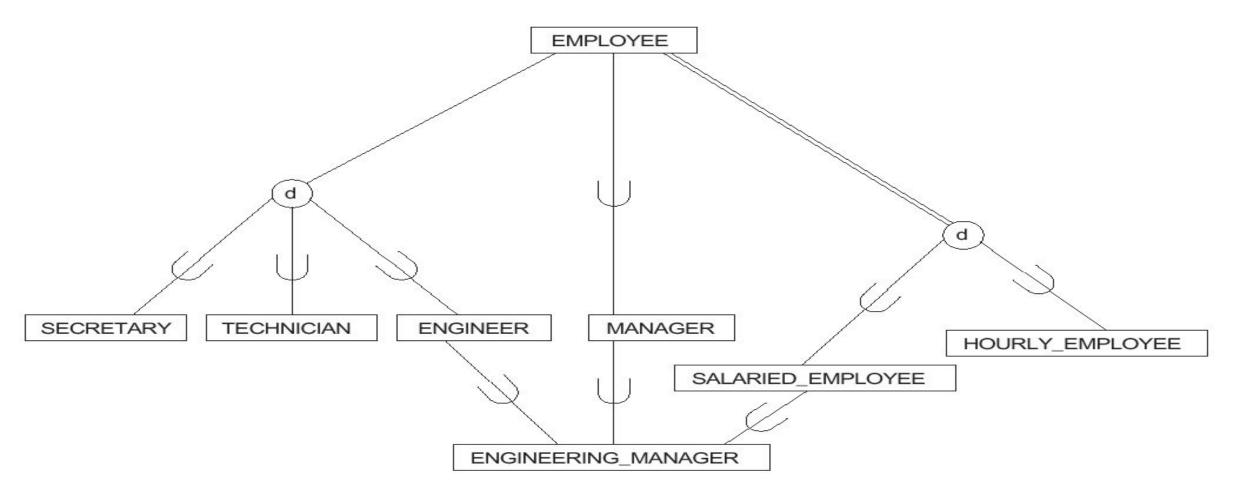
### **Specialization Hierarchy**

• Each subclass belongs to at most one class

### **Lattice Specializations**

• A subclass may belong to more than one class

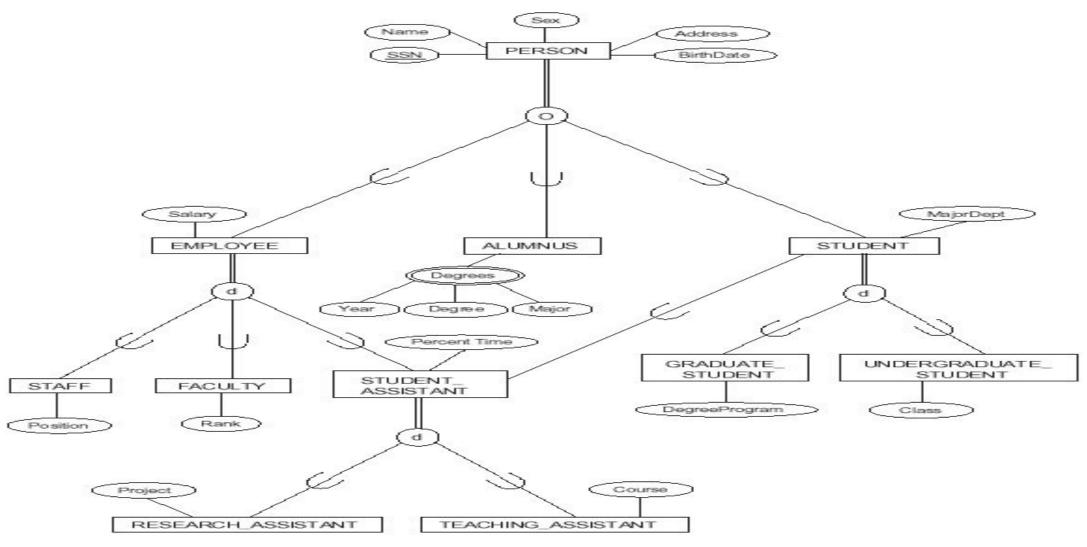
**Figure 4.6** A specialization lattice with the shared subclass ENGINEERING\_MANAGER.



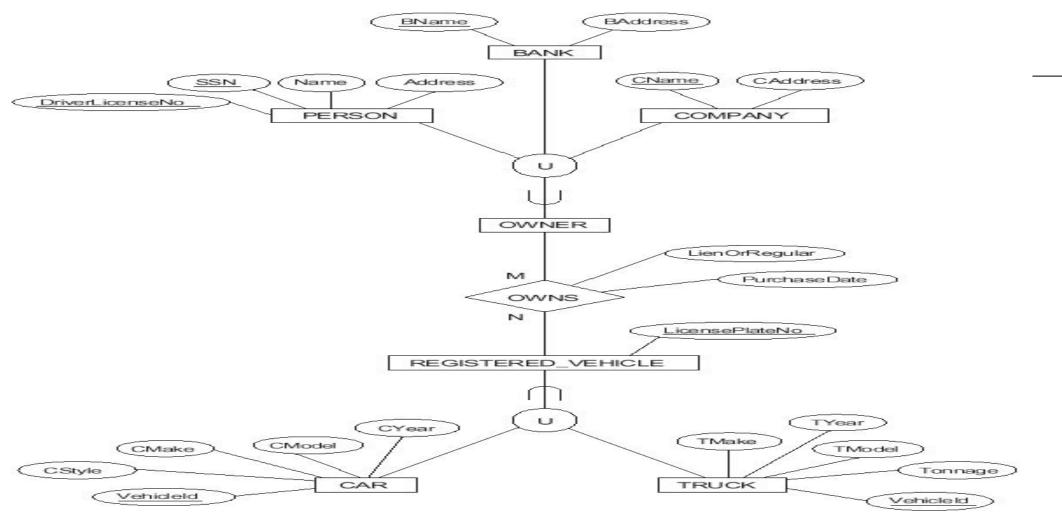
## Categories

- ☐ Associate more than one superclass to a subclass.
- ☐ In categories, different entries of the subclass may inherit attributes from different superclasses
- ☐ An entity in Category is a member of only one of its superclass
- ☐ In specializations, all the subclasses inherit all the attributes of the superclass
- ☐ Category types: Total or Partial

Figure 4.7 A specialization lattice (with multiple inheritance) for a UNIVERSITY database.



**Figure 4.8** An illustration of how to represent the UNION of two or more entity types/classes using the category notation. Two categories are shown: OWNER and REGISTERED\_VEHICLE.



## Example of disjoint partial Specialization



# Specialization/Generalization Hierarchies, Lattices & Shared Subclasses (1)

A subclass may itself have further subclasses specified on it of forms a hierarchy or a lattice

*Hierarchy* has a constraint that every subclass has only one superclass (called *single inheritance*); this is basically a *tree structure* 

In a *lattice*, a subclass can be subclass of more than one superclass (called *multiple inheritance*)

#### Shared Subclass "Engineering\_Manager"

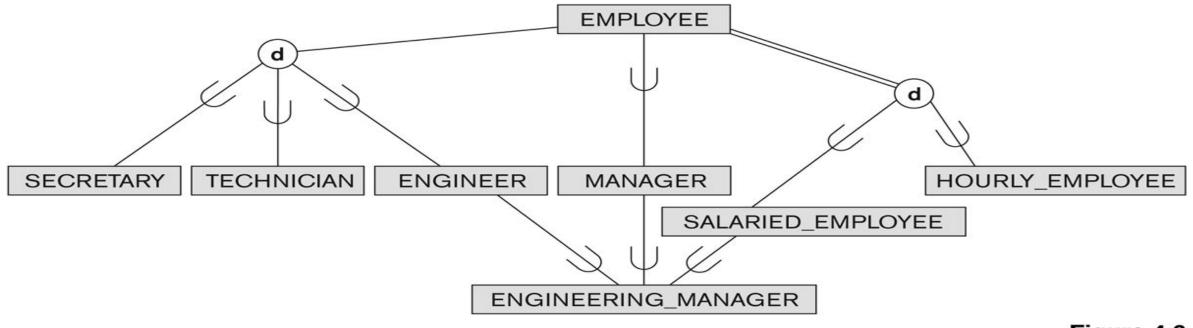


Figure 4.6 A specialization lattice with shared subclass ENGINEERING\_MANAGER.

# Specialization/Generalization Hierarchies, Lattices & Shared Subclasses (2)

In a lattice or hierarchy, a subclass inherits attributes not only of its direct superclass, but also of all its predecessor superclasses

A subclass with more than one superclass is called a shared subclass (multiple inheritance)

#### Can have:

- o specialization hierarchies or lattices, or
- o generalization hierarchies or lattices,
- depending on how they were derived

We just use *specialization* (to stand for the end result of either specialization or generalization)

# Specialization/Generalization Hierarchies, Lattices & Shared Subclasses (3)

In *specialization*, start with an entity type and then define subclasses of the entity type by successive specialization

ocalled a top down conceptual refinement process

In *generalization*, start with many entity types and generalize those that have common properties

• Called a bottom up conceptual synthesis process

In practice, a combination of both processes is usually employed

## Specialization / Generalization Lattice Example (UNIVERSITY)

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