

Sequences and Summations

Sequences

A sequence is a discrete structure used to represent an ordered list.

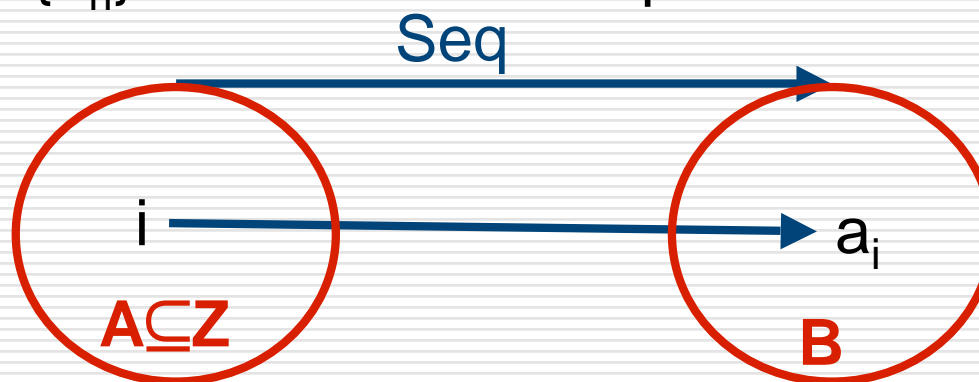
Sequences

A **sequence** is a function from a subset of the set integers (usually $\{0,1,2,\dots\}$ or $\{1,2,3,\dots\}$) to a set S .

a_n is denoted the image of integer n .

a_n is called a **term** of the sequence.

The notation $\{a_n\}$ describes the sequence.



Sequences (example)

□ Consider is the sequence $\{n^2\}$.

The list of the terms of this sequence is :

1,4,9,16,...

□ Consider is the sequence $\{1/n\}$.

The list of the terms of this sequence is :

1,1/2,1/3,1/4,...

Geometric progression

A **geometric progression** is a sequence of the form

$$a, ar, ar^2, \dots, ar^n, \dots$$

Where the initial term a and the **common ratio** r are real numbers.

$\{ar^n\}$ describes a geometric progression.

Geometric progression (example)

- Is $\{(-1)^n\}$ geometric progression?

1, -1, 1, -1, ...

Yes, $a=1$ and $r=-1$

- Is $\{2(5)^n\}$ geometric progression?

2, 10, 50, 250, ...

Yes, $a=2$ and $r=5$

- Is $\{6(1/3)^n\}$ geometric progression?

6, 2, $2/3$, $2/9$, ...

Yes, $a=6$ and $r=1/3$

Arithmetic progression

An **arithmetic progression** is a sequence of the form

$a, a+d, a+2d, \dots, a+nd, \dots$

Where the initial term a and the **common difference** d are real numbers.

$\{a+dn\}$ describes a geometric progression.

Arithmetic progression (example)

□ Is $\{-1+4n\}$ Arithmetic progression?

-1, 3, 7, 11, ...

Yes, $a=-1$ and $d=4$

□ Is $\{7-3n\}$ Arithmetic progression?

7, 4, 1, -2, ...

Yes, $a=7$ and $d=-3$

String

Finite sequences of form a_1, a_2, \dots, a_n are called strings.

The length of a string is the number of terms in the string.

The empty string has no terms and its length is zero.

Example:

‘abcd’ is a string of length four.

Sequences (example)

Find a formula for the following sequence.

1, 1/2, 1/4, 1/8, 1/16, ...

Solution:

$\{1/2^n\}$

It is a geometric progression.

$a=1$ and $r=1/2$

Sequences (example)

Find formula for the following sequence.

1, 3, 5, 7, 9, ...

Solution:

$\{1+2n\}$

It is an arithmetic progression.

$a=1$ and $d=2$

Sequences (example)

Find formula for the following sequence.

1, -1, 1, -1, 1, ...

Solution:

$$\{(-1)^n\}$$

It is a geometric progression.

$a=1$ and $r=-1$

Sequences (example)

How can you produce the terms of the following sequence?

1, 2, 2, 3, 3, 3, 4, 4, 4, 4, ...

Solution:

A rule for generating this sequence is that integer n appears exactly n times.

Sequences (example)

How can you produce the terms of the following sequence?

5, 11, 17, 23, 29, 35, 41, ...

Solution:

A rule for generating this sequence is $5+6(n)$.

It is an arithmetic progression.

$a=5$ and $d=6$

Useful sequences

$\{n^2\}$

1, 4, 9, 16, 25, 36, 49, ...

$\{n^3\}$

1, 8, 27, 64, 125, 216, 343, 512, ...

$\{2^n\}$

2, 4, 8, 16, 32, 64, 128, 256, ...

$\{3^n\}$

3, 9, 27, 81, 243, 729, 2187, ...

Sequences (example)

Find formula for the following sequence.

1, 7, 25, 79, 241, 727, 2185, ...

Solution:

Compare it to $\{3^n\}$.

$\{3^n - 2\}$

Summations

The sum of the terms a_m, a_{m+1}, \dots, a_n from the sequence $\{a_n\}$ is:

$$a_m + a_{m+1} + \dots + a_n$$

$$\sum_{j=m}^n a_j$$

$$\sum_{m \leq j \leq n} a_j$$

\sum denotes **summation** and j is the **index of summation**.
 m is **lower limit** and n is **upper limit**.

Summations (example)

Express the sum of the first 100 terms of the sequence $\{1/n\}$ for $n=1,2,3,\dots$.

Solution:

$$\sum_{k=1}^{100} 1/k$$

Summations (example)

What is the value of $\sum_{i=1}^3 i^2$

Solution:

$$\sum_{i=1}^3 i^2 = 1 + 4 + 9 = 14$$

Shifting the index of summation

Sometimes it is useful to shift the index of summation.

For example:

Consider $\sum_{i=1}^3 i^2$.

We want the index of summation to run between 0 and 2 rather than from 1 to 3.

$i=1,2,3$ $k=0,1,2$

So, $k=i-1$.

$$\sum_{k=0}^2 (k+1)^2 = \sum_{i=1}^3 i^2 = 1+4+9 = 14$$

Shifting the index of summation (example)

Consider the following summation. Change the index of summation to run between 1 and 4.

$$\sum_{j=0}^3 2^j$$

Solution:

$$\sum_{j=0}^3 2^j$$

$j=0,1,2,3$ $k=1,2,3,4$ $k = j+1$

$$\sum_{j=0}^3 2^j = \sum_{k=1}^4 2^{(k-1)}$$

Arithmetic laws

The usual laws for arithmetic apply to summations.

Let a and b be real numbers.

$$\begin{aligned}\sum_{k=1}^n (ax_k + by_k) &= ax_1 + by_1 + ax_2 + by_2 + \dots + ax_n + by_n \\ &= ax_1 + ax_2 + \dots + ax_n + by_1 + by_2 + \dots + by_n \\ &= \sum_{k=1}^n ax_k + \sum_{k=1}^n by_k = a \sum_{k=1}^n x_k + b \sum_{k=1}^n y_k\end{aligned}$$

Arithmetic laws (example)

$$\sum_{k=1}^3 (5k + k^2) =$$

$$\sum_{k=1}^3 5k + \sum_{k=1}^3 k^2 =$$

$$(5 + 10 + 15) + (1 + 4 + 9) =$$

$$30 + 14 =$$

$$44$$

Sums of terms of geometric progression

If a and r are real numbers and $r \neq 0$, then

$$\sum_{j=0}^n ar^j = \begin{cases} (ar^{n+1} - a) / (r-1) & \text{if } r \neq 1 \\ (n+1)a & \text{if } r = 1 \end{cases}$$

Sums of terms of geometric progression

Proof:

$$\text{Let } S = \sum_{j=0}^n ar^j.$$

$$rS = r \sum_{j=0}^n ar^j$$

(multiply both sides by r)

$$= \sum_{j=0}^n ar^{j+1}$$

(by the distributive property)

$$= \sum_{k=1}^{n+1} ar^k$$

(shifting the index of summation)

Sums of terms of geometric progression

Proof:

$$rS = \left(\sum_{k=0}^n ar^k \right) + (ar^{n+1} - a)$$

(removing $k=n+1$ term and adding $k=0$ term)

$$= S + (ar^{n+1} - a)$$

$$rS = S + (ar^{n+1} - a)$$

$$S = (ar^{n+1} - a) / (r - 1) \quad (\text{if } r \neq 1)$$

$$\text{If } r = 1, \text{ then } S = \sum_{j=0}^n ar^j = (n+1)a$$

Double summation

Double summations are used in the analysis of nested loops in computer programs.

For example:

loop 1: for i=1 to 4

loop 2: for j=1 to 3

$x = x + 1$

$$\sum_{i=1}^4 \sum_{j=1}^3 1$$

Double summation (example)

To evaluate the double summation, first expand the inner summation and then continue by computing the outer summation

$$\begin{aligned}\sum_{i=1}^4 \sum_{j=1}^3 i(j) &= \\ \sum i(1 + 2 + 3) &= \\ \sum (i + 2i + 3i) &= \\ (1+2+3) + (2+4+6) + (3+6+9) + (4+8+12) &= \\ 9 + 12 + 18 + 24 &= 60\end{aligned}$$

Summations and functions

The summation notation can be used to add some values of a function.

Example:

Assume $f(x) = x+2$. $\sum_{x \in \{2,3,5\}} f(x) = ?$

$$\begin{aligned} \sum_{x \in \{2,3,5\}} f(x) &= f(2) + f(3) + f(5) \\ &= 4 + 5 + 7 = 16 \end{aligned}$$

Useful summation formula

$\sum_{k=0}^n ar^k \ (r \neq 0)$	$(ar^{n+1} - a) / (r-1) , \ (r \neq 1)$
$\sum_{k=1}^n k$	$n(n+1) / 2$
$\sum_{k=1}^n k^2$	$n(n+1)(2n+1) / 6$

Useful summation formula

$$\sum_{k=1}^n k^3$$

$$n^2(n+1)^2 / 4$$

Useful summation formula (example)

100
Find $\sum_{k=50} k^2$.

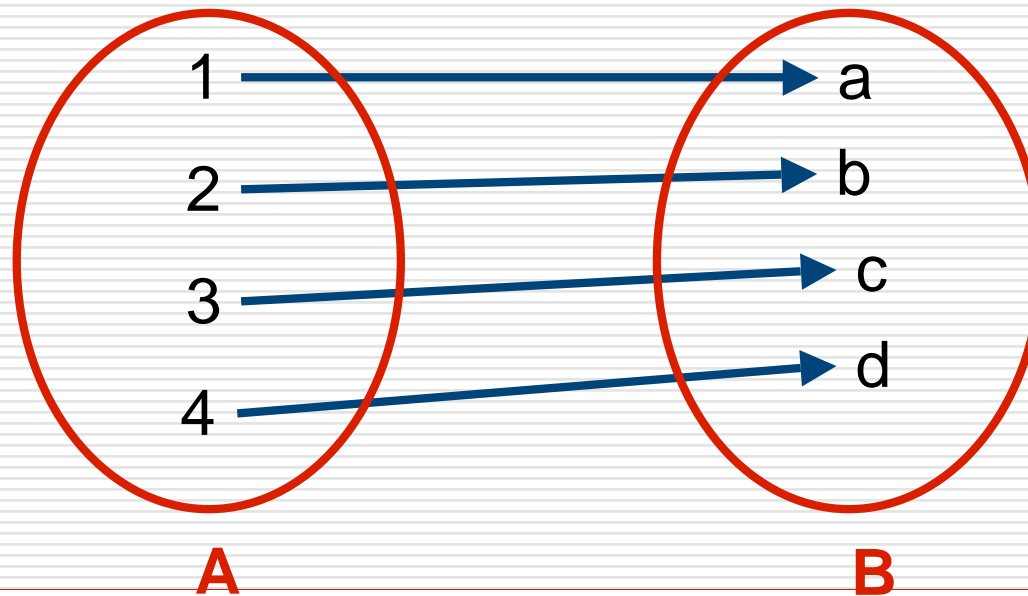
Solution:

$$\sum_{k=50}^{100} k^2 = \sum_{k=1}^{100} k^2 - \sum_{k=1}^{49} k^2 =$$

$$(100 \cdot 101 \cdot 201) / 6 - (49 \cdot 50 \cdot 99) / 6 = \\ 338350 - 40425 = 297925$$

Cardinality

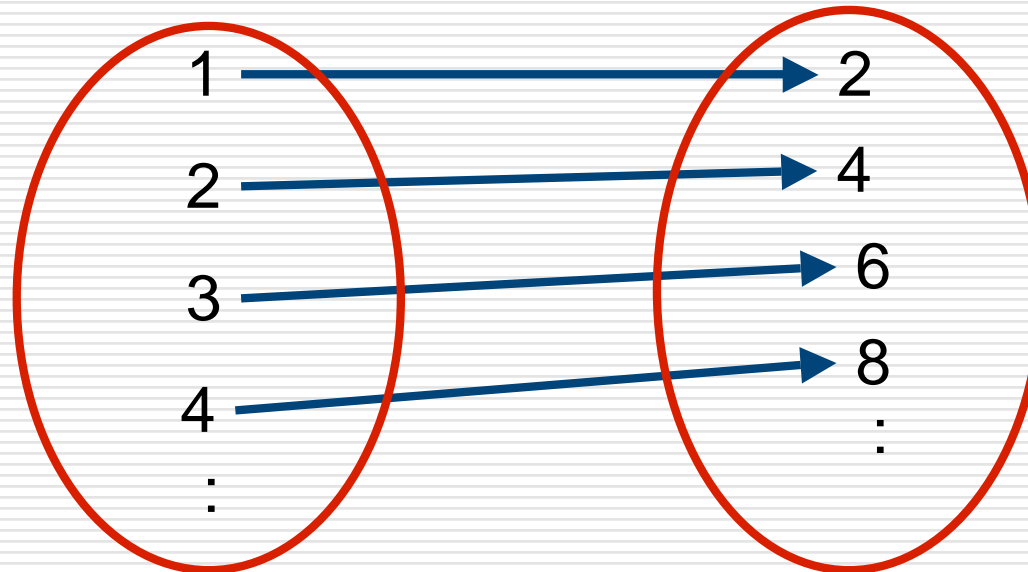
The set A and B have the same cardinality if and only if there is a bijection from A to B .



Cardinality

A set that is either finite or has the same cardinality as the set of positive integers is called **countable**.

A set that is not countable is **uncountable**.

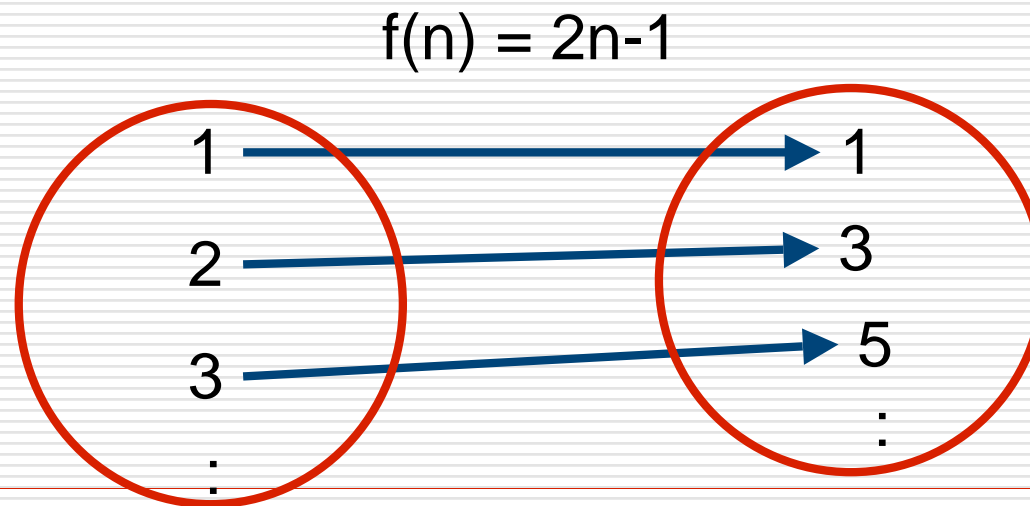


Cardinality (example)

Show that the set of odd integers is a countable set.

Solution:

- Show it has the same cardinality as positive integers.
(There is a bijection from positive integers to odd integers.)



Cardinality (example)

Show that the set of positive rational numbers is a countable set.

Solution:

- Show it has the same cardinality as positive integers. (There is a bijection from positive integers to odd integers.)

$$f(n) = 2n-1$$

Assume $f(n)=f(m)$.

$$2n-1 = 2m-1$$

So, $n=m$.

So, f is one-to-one.

Assume m is an integer.

$$f(m) = 2m - 1 = n.$$

$\exists n$ that is odd integer and $f(m)=n$.

So, f is onto. Thus, f is bijection.