Figure 23: Social Determinant of Health Planned Procedure Example

```
<entry>
    classCode="PROC" moodCode="ROO">
        <templateId root="2.16.840.1.113883.10.20.22.4.41"/>
        <templateId root="2.16.840.1.113883.10.20.22.4.41" extension="2014-06-09"/>  
        <templateId root="2.16.840.1.113883.10.20.22.4.41" extension="2022-06-01"/>
        <id extension="2448483" root="1.2.840.114350.1.13.5552.1.7.2.798268"/>
        <code code="464171000124102" codeSystem="2.16.840.1.113883.6.96"</pre>
displayName="Referral to Senior Farmers' Market Nutrition Program (procedure)"
codeSystemName="SNOMED-CT">
            <originalText>
                <reference value="#Procedure1name"/>
            </originalText>
        </code>
        <text>
            <reference value="#Procedure1"/>
        </text>
        <statusCode code="completed"/>
        <effectiveTime value="20160413"/>
</entry>
```

3.14 Problem Observation (V4)

[observation: identifier urn:hl7ii:2.16.840.1.113883.10.20.22.4.4:2022-06-01 (open)]

Table 24: Problem Observation (V4) Contexts

Contained By:	Contains:			
	Date of Diagnosis Act (optional)			

This template reflects a discrete observation about a patient's problem. Because it is a discrete observation, it will have a statusCode of "completed". The effectiveTime, also referred to as the "clinically relevant time" is the time at which the observation holds for the patient. For a provider seeing a patient in the clinic today, observing a history of heart attack that occurred five years ago, the effectiveTime is five years ago.

The effectiveTime of the Problem Observation is the definitive indication of whether or not the underlying condition is resolved. If the problem is known to be resolved, then an effectiveTime/high would be present. If the date of resolution is not known, then effectiveTime/high will be present with a nullFlavor of "UNK".

 ${\it Table~25: Problem~Observation~(V4)~Constraints~Overview}$

XPath	Card.	Verb	Data Type	CONF#	Value
observation (identifier: urn:h	17ii:2.16.840.1.	113883.10.2	0.22.4.4:	2022-06-01)	
@classCode	11	SHALL		4515- 9041	urn:oid:2.16.840.1.113883.5.6 (HL7ActClass) = OBS
@moodCode	11	SHALL		<u>4515-</u> <u>9042</u>	urn:oid:2.16.840.1.113883.5.1 001 (HL7ActMood) = EVN
@negationInd	01	MAY		<u>4515-</u> <u>10139</u>	
templateId	11	SHALL		4515- 14926	
@root	11	SHALL		<u>4515-</u> <u>14927</u>	2.16.840.1.113883.10.20.22.4 .4
@extension	11	SHALL		<u>4515-</u> <u>32508</u>	2022-06-01
id	1*	SHALL		4515- 9043	
code	11	SHALL		<u>4515-</u> <u>9045</u>	urn:oid:2.16.840.1.113883.3.8 8.12.3221.7.2 (Problem Type (SNOMEDCT))
statusCode	11	SHALL		4515- 9049	
@code	11	SHALL		4515- 19112	urn:oid:2.16.840.1.113883.5.1 4 (HL7ActStatus) = completed
effectiveTime	11	SHALL		<u>4515-</u> <u>9050</u>	
low	11	SHALL		<u>4515-</u> <u>15603</u>	
high	01	MAY		4515- 15604	
value	11	SHALL	CD	<u>4515-</u> <u>9058</u>	urn:oid:2.16.840.1.113883.3.8 8.12.3221.7.4 (Problem)
@code	01	MAY		<u>4515-</u> <u>31871</u>	
qualifier	0*	MAY		<u>4515-</u> <u>31870</u>	
translation	0*	MAY		4515- 16749	
@code	01	MAY		<u>4515-</u> <u>16750</u>	urn:oid:2.16.840.1.113883.6.9 0 (ICD-10-CM)
author	0*	SHOULD		4515- 31147	Author Participation (identifier: urn:oid:2.16.840.1.113883.10. 20.22.4.119
entryRelationship	01	MAY		<u>4515-</u> <u>9059</u>	

XPath	Card.	Verb	Data Type	CONF#	Value
@typeCode	11	SHALL		4515- 9060	urn:oid:2.16.840.1.113883.5.1 002 (HL7ActRelationshipType) = SUBJ
@inversionInd	11	SHALL		4515- 9069	true
observation	11	SHALL		4515- 15590	Age Observation (identifier: urn:oid:2.16.840.1.113883.10. 20.22.4.31
entryRelationship	01	MAY		4515- 29951	
@typeCode	11	SHALL		4515- 31531	urn:oid:2.16.840.1.113883.5.1 002 (HL7ActRelationshipType) = REFR
observation	11	SHALL		4515- 29952	Prognosis Observation (identifier: urn:oid:2.16.840.1.113883.10. 20.22.4.113
entryRelationship	0*	MAY		<u>4515-</u> <u>31063</u>	
@typeCode	11	SHALL		4515- 31532	urn:oid:2.16.840.1.113883.5.1 002 (HL7ActRelationshipType) = REFR
observation	11	SHALL		4515- 31064	Priority Preference (identifier: urn:oid:2.16.840.1.113883.10. 20.22.4.143
entryRelationship	01	MAY		<u>4515-</u> <u>9063</u>	
@typeCode	11	SHALL		<u>4515-</u> <u>9068</u>	urn:oid:2.16.840.1.113883.5.1 002 (HL7ActRelationshipType) = REFR
observation	11	SHALL		4515- 15591	Problem Status (identifier: urn:hl7ii:2.16.840.1.113883.1 0.20.22.4.6:2019-06-20
entryRelationship	0*	MAY		<u>4515-</u> <u>32965</u>	
@typeCode	11	SHALL		<u>4515-</u> <u>32968</u>	urn:oid:2.16.840.1.113883.5.1 002 (HL7ActRelationshipType) = SPRT
act	11	SHALL		<u>4515-</u> <u>32966</u>	Entry Reference (identifier: urn:oid:2.16.840.1.113883.10. 20.22.4.122
entryRelationship	0*	MAY		4515- 32953	
@typeCode	11	SHALL		4515- 32955	urn:oid:2.16.840.1.113883.5.1 002 (HL7ActRelationshipType) = SPRT

XPath	Card.	Verb	Data Type	CONF#	Value
observation	11	SHALL		4515- 32954	Assessment Scale Observation (identifier: urn:oid:2.16.840.1.113883.10. 20.22.4.69
entryRelationship	0*	MAY		<u>4515-</u> <u>33012</u>	
@typeCode	11	SHALL		4515- 33014	urn:oid:2.16.840.1.113883.5.1 002 (HL7ActRelationshipType) = COMP
act	11	SHALL		4515- 33013	Date of Diagnosis Act (identifier: urn:hl7ii:2.16.840.1.113883.1 0.20.22.4.502:2022-06-01

- 181. Conforms to Problem Observation (V3) template (identifier: urn:h17ii:2.16.840.1.113883.10.20.22.4.4:2015-08-01).
- 182. **SHALL** contain exactly one [1..1] @classCode="OBS" Observation (CodeSystem: HL7ActClass urn:oid:2.16.840.1.113883.5.6 **STATIC**) (CONF:4515-9041).
- 183. **SHALL** contain exactly one [1..1] **@moodCode="**EVN" Event (CodeSystem: HL7ActMood urn:oid:2.16.840.1.113883.5.1001 **STATIC**) (CONF:4515-9042).

The negationInd is used to indicate the absence of the condition in observation/value. A negationInd of "true" coupled with an observation/value of SNOMED code 64572001 "Disease (disorder)" indicates that the patient has no known conditions.

- 184. MAY contain zero or one [0..1] @negationInd (CONF:4515-10139).
- 185. **SHALL** contain exactly one [1..1] **templateId** (CONF:4515-14926) such that it
 - a. **SHALL** contain exactly one [1..1] @root="2.16.840.1.113883.10.20.22.4.4" (CONF:4515-14927).
 - b. **SHALL** contain exactly one [1..1] @extension="2022-06-01" (CONF:4515-32508).
- 186. **SHALL** contain at least one [1..*] **id** (CONF:4515-9043).
- 187. **SHALL** contain exactly one [1..1] **code**, which **SHOULD** be selected from ValueSet **Problem**Type (SNOMEDCT) urn:oid:2.16.840.1.113883.3.88.12.3221.7.2 **DYNAMIC**(CONF:4515-9045).
 - a. If code is selected from ValueSet Problem Type (SNOMEDCT) urn:oid:2.16.840.1.113883.3.88.12.3221.7.2 **DYNAMIC**, then it **SHALL** have at least one [1..*] translation, which **SHOULD** be selected from ValueSet Problem Type (LOINC) urn:oid:2.16.840.1.113762.1.4.1099.28 **DYNAMIC** (CONF:1198-32950) (CONF:4515-32950).
- 188. **SHALL** contain exactly one [1..1] **statusCode** (CONF:4515-9049).
 - a. This statusCode **SHALL** contain exactly one [1..1] @code="completed" Completed (CodeSystem: HL7ActStatus urn:oid:2.16.840.1.113883.5.14 **STATIC**) (CONF:4515-19112).

If the problem is known to be resolved, but the date of resolution is not known, then the high element **SHALL** be present, and the nullFlavor attribute **SHALL** be set to 'UNK'. Therefore, the existence of a high element within a problem does indicate that the problem has been resolved.

189. **SHALL** contain exactly one [1..1] **effectiveTime** (CONF:4515-9050).

The effectiveTime/low (a.k.a. "onset date") asserts when the condition became clinically active.

a. This effective Time **SHALL** contain exactly one [1..1] **low** (CONF:4515-15603).

The effectiveTime/high (a.k.a. "resolution date") asserts when the condition became clinically resolved.

- b. This effective Time **MAY** contain zero or one [0..1] **high** (CONF:4515-15604).
- c. When an observation/value code concept name has a temporal aspect, ensure that observation/effectiveTime/value aligns with the temporal aspect of the code. Most often, a single time is appropriate, rather than low and high values. An example SNOMED CT code is 714093000 | Sexually active in last six months (finding) (CONF:4515-32964).
- 190. **SHALL** contain exactly one [1..1] **value** with @xsi:type="CD", where the code **SHOULD** be selected from ValueSet Problem urn:oid:2.16.840.1.113883.3.88.12.3221.7.4 **DYNAMIC** (CONF:4515-9058).

A negationInd of "true" coupled with an observation/value/@code of SNOMED code 64572001 "Disease (disorder)" indicates that the patient has no known conditions.

- a. This value **MAY** contain zero or one [0..1] @code (CONF:4515-31871).
 - i. When the Problem is Social Determinant of Health Observation, the observation/value **SHOULD** be a SNOMED code selected from ValueSet Social Determinant of Health Conditions
 2.16.840.1.113762.1.4.1196.788DYNAMIC (CONF:4515-32951).

The observation/value and all the qualifiers together (often referred to as a post-coordinated expression) make up one concept. Qualifiers constrain the meaning of the primary code, and cannot negate it or change its meaning. Qualifiers can only be used according to well-defined rules of post-coordination and only if the underlying code system defines the use of such qualifiers or if there is a third code system that specifies how other code systems may be combined.

For example, SNOMED CT allows constructing concepts as a combination of multiple codes. SNOMED CT defines a concept "pneumonia (disorder)" (233604007) an attribute "finding site" (363698007) and another concept "left lower lobe of lung (body structure)" (41224006). In this example the observation value would contain "pneumonia (disorder)" (233604007), the qualifier name would contain "finding site" (363698007), and the qualifier value would contain "left lower lobe of lung (body structure)" (41224006). For more information on SNOMED CT expressions, see:

https://confluence.ihtsdotools.org/display/DOCSTART/7.+SNOMED+CT+Expressions.

- b. This value **MAY** contain zero or more [0..*] **qualifier** (CONF:4515-31870).
- c. This value **MAY** contain zero or more [0..*] **translation** (CONF:4515-16749) such that it
 - i. **MAY** contain zero or one [0..1] @code (CodeSystem: ICD-10-CM urn:oid:2.16.840.1.113883.6.90 **STATIC**) (CONF:4515-16750).

- 1. When the Problem is Social Determinant of Health Observation, the observation/value/translation **should** be an ICD10 code selected from ValueSet Social Determinant of Health Conditions 2.16.840.1.113762.1.4.1196.788 DYNAMIC (CONF:4515-32952).
- 191. **SHOULD** contain zero or more [0..*] Author Participation (identifier: urn:oid:2.16.840.1.113883.10.20.22.4.119) (CONF:4515-31147).
- 192. MAY contain zero or one [0..1] entryRelationship (CONF:4515-9059) such that it
 - a. **SHALL** contain exactly one [1..1] @typeCode="SUBJ" Has subject (CodeSystem: HL7ActRelationshipType urn:oid:2.16.840.1.113883.5.1002 **STATIC**) (CONF:4515-9060).
 - b. **SHALL** contain exactly one [1..1] @inversionInd="true" True (CONF:4515-9069).
 - c. **SHALL** contain exactly one [1..1] Age Observation (identifier: urn:oid:2.16.840.1.113883.10.20.22.4.31) (CONF:4515-15590).
- 193. MAY contain zero or one [0..1] entryRelationship (CONF:4515-29951) such that it
 - a. **SHALL** contain exactly one [1..1] @typeCode="REFR" Refers to (CodeSystem: HL7ActRelationshipType urn:oid:2.16.840.1.113883.5.1002) (CONF:4515-31531).
 - b. **SHALL** contain exactly one [1..1] Prognosis Observation (identifier: urn:oid:2.16.840.1.113883.10.20.22.4.113) (CONF:4515-29952).
- 194. MAY contain zero or more [0..*] entryRelationship (CONF:4515-31063) such that it
 - a. **SHALL** contain exactly one [1..1] @typeCode="REFR" Refers to (CodeSystem: HL7ActRelationshipType urn:oid:2.16.840.1.113883.5.1002) (CONF:4515-31532).
 - b. **SHALL** contain exactly one [1..1] Priority Preference (identifier: urn:oid:2.16.840.1.113883.10.20.22.4.143) (CONF:4515-31064).
- 195. MAY contain zero or one [0..1] entryRelationship (CONF:4515-9063) such that it
 - a. **SHALL** contain exactly one [1..1] @typeCode="REFR" Refers to (CodeSystem: HL7ActRelationshipType urn:oid:2.16.840.1.113883.5.1002) (CONF:4515-9068).
 - b. **SHALL** contain exactly one [1..1] Problem Status (identifier: urn:h17ii:2.16.840.1.113883.10.20.22.4.6:2019-06-20) (CONF:4515-15591).

When an Entry Reference template is contained in a Problem Template instance that is a Social Determinant of Health problem, that Entry Reference MAY reference an Assessment Scale Observation elsewhere in the document. That Assessment Scale MAY contain assessment scale observations that represent LOINC question and answer pairs from SDOH screening instruments.

- 196. MAY contain zero or more [0..*] entryRelationship (CONF:4515-32965) such that it
 - a. **SHALL** contain exactly one [1..1] @typeCode="SPRT" Has support (CodeSystem: HL7ActRelationshipType urn:oid:2.16.840.1.113883.5.1002) (CONF:4515-32968).
 - b. **SHALL** contain exactly one [1..1] Entry Reference (identifier: urn:oid:2.16.840.1.113883.10.20.22.4.122) (CONF:4515-32966).

When an Assessment Scale Observation is contained in a Problem Template instance that is a Social Determinant of Health problem, that Assessment scale **MAY** contain assessment scale observations that represent LOINC question and answer pairs from SDOH screening instruments.

- 197. MAY contain zero or more [0..*] entryRelationship (CONF:4515-32953) such that it
 - a. **SHALL** contain exactly one [1..1] @typeCode="SPRT" Has support (CodeSystem: HL7ActRelationshipType urn:oid:2.16.840.1.113883.5.1002) (CONF:4515-32955).
 - b. **SHALL** contain exactly one [1..1] Assessment Scale Observation (identifier: urn:oid:2.16.840.1.113883.10.20.22.4.69) (CONF:4515-32954).
- 198. MAY contain zero or more [0..*] entryRelationship (CONF:4515-33012) such that it
 - a. **SHALL** contain exactly one [1..1] @typeCode="COMP" has Component (CodeSystem: HL7ActRelationshipType urn:oid:2.16.840.1.113883.5.1002) (CONF:4515-33014).
 - b. **SHALL** contain exactly one [1..1] <u>Date of Diagnosis Act</u> (identifier: urn:h17ii:2.16.840.1.113883.10.20.22.4.502:2022-06-01) (CONF:4515-33013).

Figure 24: Problem Observation Example

```
<observation classCode="OBS" moodCode="EVN">
    <!-- ** Problem Observation ** -->
    <templateId root="2.16.840.1.113883.10.20.22.4.4" />
    <templateId root="2.16.840.1.113883.10.20.22.4.4" extension="2015-08-01" />
    <templateId root="2.16.840.1.113883.10.20.22.4.4" extension="2022-06-01" />
    <id root="ab1791b0-5c71-11db-b0de-0800200c9a66" />
    <code code="64572001" displayName="Condition"</pre>
         codeSystem="2.16.840.1.113883.6.96" codeSystemName="SNOMED CT">
        <translation code="75323-6"</pre>
           codeSystem="2.16.840.1.113883.6.1"
           codeSystemName="LOINC"
           displayName="Condition"/>
    </code>
    <!-- The statusCode reflects the status of the observation itself -->
    <statusCode code="completed" />
    <effectiveTime>
        <!-- The low value reflects the date of onset -->
        <!-- Based on patient symptoms, presumed onset is July 3, 2013 -->
        <low value="20130703" />
        <!-- The high value reflects when the problem was known to be resolved -->
        <!-- Based on signs and symptoms, appears to be resolved on Aug 14, 2013 -->
        <high value="20080814" />
    </effectiveTime>
    <value xsi:type="CD"</pre>
         code="233604007"
         codeSystem="2.16.840.1.113883.6.96"
         displayName="Pneumonia" />
    <author typeCode="AUT">
        <templateId root="2.16.840.1.113883.10.20.22.4.119" />
        <time value="200808141030-0800" />
        <assignedAuthor>
            <id extension="555555555" root="2.16.840.1.113883.4.6" />
            <code code="207QA0505X"</pre>
            displayName="Adult Medicine"
            codeSystem="2.16.840.1.113883.6.101"
            codeSystemName="Healthcare Provider Taxonomy (HIPAA)" />
        </assignedAuthor>
    </author>
</observation>
```

Figure 25: Social Determinant of Health Problem Observation Example

```
<!-- SDOH Problem -->
<observation classCode="OBS" moodCode="EVN">
    <templateId root="2.16.840.1.113883.10.20.22.4.4"/>
    <templateId root="2.16.840.1.113883.10.20.22.4.4" extension="2015-08-01"/>
    <templateId root="2.16.840.1.113883.10.20.22.4.4" extension="2022-06-01"/>
    <id extension="68993"
            root="1.2.840.114350.1.13.6289.1.7.2.768076"/>
    <id root="093A5380-00CE-11E6-B4C5-0050568B000B" extension="1.1"/>
    <code code="55607006" codeSystem="2.16.840.1.113883.6.96"</pre>
            codeSystemName="SNOMED CT" displayName="Problem">
        <originalText>
            <reference value="#ProblemObs 1 PT1"/>
        </originalText>
        <translation code="75326-9"</pre>
             codeSystem="2.16.840.1.113883.6.1"
             codeSystemName="LOINC" displayName="Problem"/>
    </code>
    <text>
        <reference value="#ProblemObs1"/>
    </text>
    <statusCode code="completed"/>
    <effectiveTime>
        <low value="20140909"/>
    </effectiveTime>
    <value xsi:type="CD" code="445281000124101" displayName="Nutrition impaired due to</pre>
limited access to healthful foods (finding)" codeSystem="2.16.840.1.113883.6.96"
codeSystemName="SNOMED CT">
        <translation code="Z59.48" codeSystem="2.16.840.1.113883.6.90" codeSystemName="ICD-</pre>
10-CM" displayName="Other specified lack of adequate food"/>
    </value>
</observation>
```

3.15 Procedure Activity Procedure (V3)

```
[procedure: identifier urn:hl7ii:2.16.840.1.113883.10.20.22.4.14:2022-06-01 (open)]
```

The common notion of "procedure" is broader than that specified by the HL7 Version 3 Reference Information Model (RIM). Therefore procedure templates can be represented with various RIM classes: act (e.g., dressing change), observation (e.g., EEG), procedure (e.g., splenectomy).

This template represents procedures whose immediate and primary outcome (post-condition) is the alteration of the physical condition of the patient. Examples of these procedures are an appendectomy, hip replacement, and a creation of a gastrostomy.

This template can be used with a contained Product Instance template to represent a device in or on a patient. In this case, targetSiteCode is used to record the location of the device in or on the patient's body. Equipment supplied to the patient (e.g., pumps, inhalers, wheelchairs) is represented by the Non-Medicinal Supply Activity (V2) template.

Procedure Activity Procedure V3 Usage Note: Common practice in the industry has shown that Procedure Activity Procedure is the usually implemented CDA template for any type of intervention or procedure regardless of if the "immediate and primary outcome (post-condition) is the alteration of the