

Towards SC-enabled high density highly
miniaturized power LED drivers: A model-centric
optimization framework

J. Delos Ayllón

April 8, 2015

Contents

I	Towards Highly Miniaturized LED Power Systems	3
1	LEDification	5
1.1	Why a LED needs a driver?	16
1.2	Linear Drivers	17
1.3	Inductor Based Converters	18
1.4	Switched Capacitors	19

List of Figures

1.1	Philips commercial comparing what was before <i>Vroege</i> a oil lamp and was <i>Today</i> the incandescent light bulb	5
1.2	Components of the Philips SL compact fluorescent lamp.	6
1.3	Picture of a blue LED researched by Shuij Nakamura.	7
1.4	U.S. Lighting Service Forecast, 2013 to 2030 [1].	9
1.5	900 lumens LED light bulb.	11
1.6	<i>Source: DOE SSL Roundtable and Workshop attendees</i>	13
1.7	Exploded vision of an LED light bulb.	13
1.8	Power System in a Package die. The circuit implements a buck converter.	15
1.9	Idealized LED voltage-current with the <i>forward voltage</i> V_f identified and projection of the <i>bias point</i> P	16
1.10	Linear driver, <i>left</i> - schematic; <i>right</i> - conversion ratio vs. efficiency characteristics	17
1.11	Inductor based converter, <i>left</i> - buck converter schematic; <i>right</i> characteristic comparing the <i>Theoretical</i> limit and the <i>Real</i> case.	19
1.12	Magnetic components marked with a red square in a mains connected LED driver. The magnetic components dominate the volume of the converter.	19
1.13	Generic two port block diagram of an inductor based SMPS, and the regulation-efficacy characteristic comparing the <i>Theoretical</i> limit and the <i>Real</i> case.	20

List of Tables

1.1	Characteristics for different lamp technologies (winter 2015).	10
-----	--	----

Part I

Towards Highly Miniaturized LED Power Systems

Chapter 1

LEDification: The second lighting revolution

The light bulb was one of the most relevant inventions from our past history. Electrical lighting was definitely a revolution in the early 19th century society; for the first time in the history people had a clear, reliable and safe source of artificial light that was easy to distribute and control. The apparition of the electrical light bulb was also, with no doubt, the trigger for the commercialization of electric power and the deployment of the first power distribution networks. The impact was to such degree that it settled two of capital sectors from the present industry, the lighting and the electric power distribution, with world recognized companies such as Philips, General Electric or Osram. Actually, both sectors have been so close related that even often we use the word *light* when we actually are refereing *electricity*. In resume, a single invention changed our society for ever, bringing light and electricity to our homes.



Figure 1.1: Philips commercial comparing what was before *Vroegeer* a oil lamp and was *Today* the incandescent light bulb

From the initial invention of the first incandescent light bulb, bulbs have just been illuminating our daily lives without having any *enlightening* relevance or sense of innovation. Despite our impression, important research has continuously been done to improve the worst characteristic of the incandescent light bulb, the efficacy. Incandescent light bulbs are extremely inefficient to generate light with a luminous efficacy between $12.6\text{lm}/\text{W}$, for a tungsten incandescent bulb, up to $24\text{lm}/\text{W}$ for a quartz halogen bulb (see Table 1.1). In a more comprehensive way, we can say that in general incandescent lights convert at least 95% of the supplied power in heat and just, at most 5% in light. Knowing that lighting represents 17% of world energy consumption, we can account that 15% of the world consumed power is transformed in to heat and only a 1.7% is transformed in real light ¹. Therefore these figures illustrate the motivation and necessity of improving the efficacy/efficiency of the light bulbs.

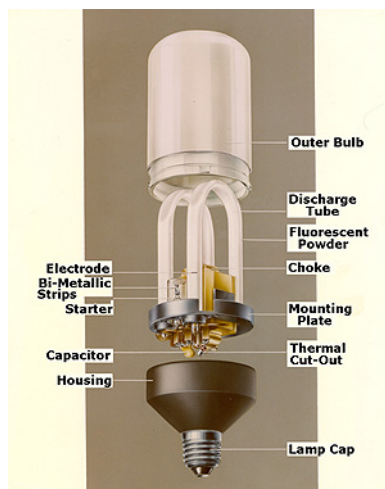


Figure 1.2: Components of the Philips SL compact fluorescent lamp.

Gas-discharge lamps were one of the first alternatives of the incandescent lamps benefiting with a better efficacy, being the fluorescent tube the most popular among the family. The low pressure mercury-vapor gas-discharge lamp, commonly called *fluorescents*, could be considered an innovation in the lighting. Initial the tubes were mainly used for big spaces warehouses, factories and offices, and later one, in the late 80's, started populating domestic houses with the appearance of the *compact fluorescent lamps*² (CFLs), being now a days the market standard for energy efficient light bulbs, shown in Figure 1.2. Fluorescent lamps are indeed a big improvement in efficacy with respect to the incandescent lamps. The luminous efficacy ranges between $52\text{--}100\text{lm}/\text{W}$, depending on the light color temperature, converting about 22% of the input power to visible

¹Estimated 2008 values

²Screw-in version of a fluorescent tube. Now a days you can find a CFL replacement for almost the majority of sockets in the market.

light, more details of other gas-discharge bulbs is presented in Table 1.1. Although the better efficiency of the CFLs have not yet fully replace the inefficient incandescent ones due to the following reasons [2]:

- Standard CFLs are not *dimmable*. *Dimmable* CFLs are more expensive, their behaviour is not standardized among manufacturers and does not match the consumers desires.
- CFLs have a slow warm-up time³. Not being suitable for places where lights are turned on for short times.
- CFLs have different form and look. Some ones can not fit in some fixtures that mount incandescent lamps. The *pig tail* appearance is not attractive when bulbs are exposed.
- The small prices of the incandescent light bulbs compared to CFLs are more attractive for the consumer. Although CFLs save more money due to power savings, the end consumers are still biased by the retail price of the lamps.

Therefore in 2012 was estimated that still more than 50% of the installed light bulbs where still incandescent in residential environments [?]. Thus still a need for a lighting technology capable of replacing the old inefficient incandescent lamps.

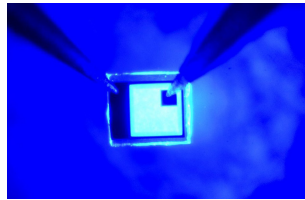


Figure 1.3: Picture of a blue LED researched by Shuij Nakamura.

It was not till 1994, with the invention of the high-efficiency blue *light-emitting diode* LED (Fig. 1.3), that the lighting industry had a real revolutionary change in the light generation technology. The breakthrough of Shuji Nakamura [3] discovery had such an impact in the last decades that he was awarded with the 2014 Nobel prize in physics. The new LED technology allowed the implement white LEDs with high brightness and settled the bases for the a new player in the lighting market, the *Solid State Lighting* (SSL) industry.

The advantages of SSL are:

Efficiency The light generation inside an LED is produced by the direct mechanism of hole-electron recombination, the supplied energy has a better use

³Gas-discharge lamps has to be warm in order to volatilise and mix chemical elements that compose the gas. Depending on the chemical elements can take from few minutes up to tens of minutes.

compared to the incandescent lamps, hence the power consumption can be up to an order of magnitude with respect to an incandescent light.

Size LEDs are tiny and flat devices, which can be considered as 2-D elements and do not need any vacuum chamber to work. They are much more flexible in the assembly and can easily replace the old glass made bulb design.

Color LED light has a very narrow light spectrum, that can be used to produce directly colored light. Colored lights are becoming more popular in domestic homes becoming a piece of decoration or mood tweaking device.

Dynamics Compared to any of the traditional sources of light LEDs have no dynamics, actually they have but it is very fast and not appreciable to the human eye. Therefore they do not have any setting time when turned on, which is not the case of the CFLs. Their fast dynamics allows to modulate the light and transmit data without disturbing the human beings.

Lifetime Solid State devices do not wear off, therefore they can be considered to have an infinite lifetime. In practice LEDs make use of organic phosphores, thus the light quality derates with the use, but the life expectancy of the LED is rated from 20.000 - 100.000 hours, multiplying 20 to 100 times longer the life expectancy of the classical light bulbs.

Observing LED lighting accounting only for the benefits of their improved efficiency, the projected energy savings for 2020 are 297TWh only in USA. The *United States Environmental Protection Agency* [1] adds that reducing the household lighting energy consumption by half - easy to achieve using with LED lighting - more than \$13 billion a year in energy costs could be saved, more than 80 million metric CO₂ tones would be avoided each year, and the need for over 30 power plants could be eliminated. All the advantages that SSL based luminaries are so relevant that the *United States National Lighting Bureau* [1] forecasts a market penetration growth from 5% in 2015, to the 74% in 2020 and reaching the 88% in 2030, as shown in the graph of Figure 1.4. Hence in a short future almost all lighting technology will be LED based.

The transition towards LED based lighting technologies, referred as *LEDification*, will come in two waves. The first wave will be a replacement period. The main focus will be to bring fast and simple SSL technology in form of light to the people in order to remove the inefficient old lighting technologies. The second wave will take advantage of the real benefits of the SSL technology transforming the old concepts of a lamps to something more that just an element to illuminate. Actually at this phase is when the the real second *revolution* of the lighting industry will happen. Indeed similar to what happen before in the late 1800s that the single light bulb brought light and electricity simultaneously to our lives, LED lighting will jointly bring efficient lighting and connectivity and, at the same time, changing the old designs of the lamps.

During the last decade, the lighting industry has been in a rush to bring LED light bulbs to the market, making the *LEDification* a reality. First products

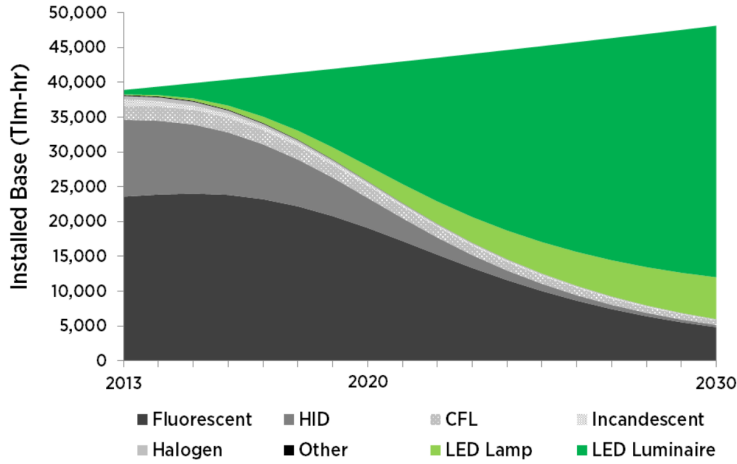


Figure 1.4: U.S. Lighting Service Forecast, 2013 to 2030 [1].

appeared in the market around 2010 and development has been continuously growing at fast speed being possible, in less than 4 years, to find an LED lamp replacement for almost all the incandescent light bulbs. Today 2015, LED light bulbs are already available in almost all of the stores with lighting products of the supermarkets and retail shops, nevertheless they are not yet adopted as the preferred solution by consumers. Despite of the advantages of LED lighting, end consumers are still very reluctant to make the change towards SSL products due to their elevated price. Currently a 100W LED replacement costs between \$20 - \$40 compared to less than \$3 of an halogen incandescent.

Actually, the majority of end consumers do not yet understand that even incandescent lamps are cheaper, LED replacements save money over the life time of the product due to energy savings. With the help of Table 1.1 this can be easily demonstrated. The *Lumen cost of owner ship*⁴ for incandescent technologies is below 60 $klm/€$ and for LED technology are easily above 200 $klm/€$. Translating these numbers into total costs⁵, we could estimate that in a productive year, around 2000h, for a 25m² office space⁶ they would be above 420€ for incandescent lamps and below 140€ for LED lamps. Nevertheless still linear fluorescent will be the cheapest option with a cost below 80€, but fluorescent tubes are definitely not suitable for general use and their life time is much short compared to LED lighting. We can definitely say that obviously LED is going to be the future lighting technology, but still the industry has find the manner to initiate the end consumer to buy LED lamps as a first choice.

⁴Lumen cost of owner ship is expressed in $klm/€$ indicating how many lumens you can produce per 1€ during thousand hours. Using this metric the different light technologies can be compared independently of the lamp power consumption.

⁵Lamp amortization are included in the costs

⁶Recommended illumination for productive office spaces is around 500 lm/m^2

Table 1.1: Characteristics for different lamp technologies (winter 2015).

	Units	<i>Incandescent</i>	<i>Halogen</i>	<i>Cold-White Fluorescent</i>	<i>Warm-White Fluorescent</i>	<i>Compact Fluorescent</i>	<i>HDI SON</i>	<i>Retrofit LED Budget</i>	<i>Retrofit LED Dimmable</i>	<i>Retrofit LED</i>	<i>Retrofit Tube LED</i>
Power	<i>W</i>	100	53	36	39	11	70	10	13	5	10.5
Flux	<i>lm</i>	1203	845	3100	3100	600	5600	600	1055	350	950
Efficacy	<i>lm/W</i>	14.3	14.42	57.14	57.14	55	80	60	81.15	70	90.5
Color Temperature	<i>K</i>	2700	2800	4000	3000	2700	2000	2700	2700	3000	3000
Color Rendering Index		100	100	85	85	82	25	87	80	80	85
Lifespan	<i>h</i>	1000	2000	20000	24000	15000	28000	2500	25000	15000	50000
Retail price	€	1	3	5.6	4.8	8.78	14.26	4.5	37.1	17	43
Lumen cost of ownership	<i>klmh/€</i>	48	59	348	324	186	324	233	229	182	281
Cost of ownership	€/kh	25	14.2	8.9	9.6	3.2	17.3	2.6	4.6	3.3	3.4
Cost for a 20m ² office	€/kh	260	210	36	39	67	39	54	55	69	43



Figure 1.5: 900 lumens LED light bulb.

Different factors can help the adoption of the SSL as the preferred lighting solution in the market. On the one hand, reducing the end product price, and on the other hand, bringing more value to the traditional lighting sources. Actually, as mentioned before, LED light bulbs already bring more value compared to the old light bulbs being much more efficient, almost one order of magnitude lower in power consumption, and a longer lifetime, easily twenty times more operating hours. However these factors are not yet a valuable argument for the consumers. Other advantages that LED lamps are starting to offer are color tuning, light output dimming, remote control and other wireless services; positioning SSL in line with the current trend of the *internet-of-things*, for lighting *internet-of-lights*. Moreover, LED lighting is also growing the luminaries industry, being more and more popular the products where the light fixtures incorporate LED in modules without using replacement lamps; these products benefit of the design advantages that allow the small form factors of the LEDs. As a matter of fact the *U.S Department of Energy* (U.S.DoE) estimate that LED luminaries will be the big player in the lighting market as shown in Figure 1.4.

In general three main factors are identified to influence the market penetration of SSL:

- Price
- Intelligence: Interactivity, connectivity and controllability
- Light fixture size: Luminaire design, shape and application

In order to relate this three factors with the current LED bulbs and understand the challenges in their development, it is essential to describe the different elements in an LED lamp. The system can be grouped in six main elements described below and shown in the Figure 1.7.

LED From its acronym, a *Light-Emitting Diode*, LED, is a two-lead semiconductor device that produced light when a current flows through it. Internally light is produced by the electroluminescence effect, when an electron recombines with an electron-holes releasing energy in form of photons.

The color of the light is determined by the energy band gap of the semiconductor.

The selection of the LEDs will determine light color, power, efficiency and load characteristics.

Optics Optical device that mixes and distributes the light from the LED to the illuminated space.

Driver Electronic circuit designed to transform the electrical power of the input source to properly supply the LEDs. LED drivers are considered current-to-voltage (V-I) power supplies, since almost all the common power supplies are voltage sources and LEDs need to be supplied by current.

The driver controls the current through the load, hence the light output, and it is the active part of the system where relies, essentially, the control of the lamp.

Heat sink Mechanical element that acts as a passive heat exchanger to cool the hot elements inside the lamp by dissipating the heat into the surrounding medium. In the LED bulb the energy that is not transformed into light becomes heat and must be extracted outside the lamp. The hot spots areas in the lamp are localized at the LEDs chips and at some of the driver components.

Body assembly Mechanical element that hold all the different subsystems in one single device. In many cases the heat sink does this functionality.

Connector Mechanical element that provides connection with the energy source. The most popular one is the Edison connector present in all screw-in lamps. There are many other popular ones such as GU10, MR16, MR11 coming from the halogen multifaceted reflector bulbs or the 2-pin connector of the fluorescent tubes.

In many cases, the standardized connectors suppose a restriction for the mechanical design of the lamp. Their old-fashioned design is not optimal for the new lamps.

With a better understanding of the different elements of a LED lamp we can now relate them back to with the three factors that influence their market penetration. First, price of the lamps. Figure 1.6a shows the cost breakdown for different lighting applications. There are three main elements Driver, LED package and Thermal/Mechanical/Electrical⁷ that share almost equally the costs of the lamp, and it is predicted to be similar or even a bit better distributed as shown in the forecast of Figure 1.6b. Based on that figures, it is evident that in order to achieve the predicted cost reduction, one half for 2020, actions have to be adopted at the system level keeping an equal research and development for all these three main elements.

⁷comprising this last group the heat sink, the connector socket and the *Printed circuit Board* (PCB) that interconnects input socket, LEDs and driver

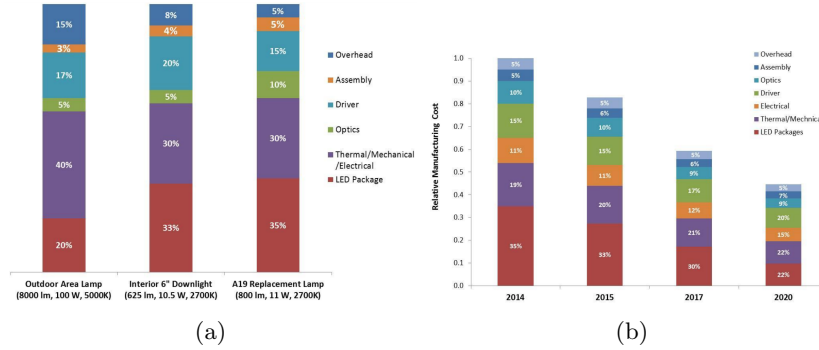


Figure 1.6: *Source: DOE SSL Roundtable and Workshop attendees*

The LED or LED chip⁸ play their main role in the price of the lamp. Innovations are populating the market with three available technologies based in current capability of the chip: low, mid and high power range. The different offer in chip packages brings more flexibility at the system level in terms of optical design, luminaire light projection, color and driver design. Helping to provide solutions all the different required applications. However full potential of the reduced profile of the LED has not been yet exploded with respect to the luminaire design. Further research at the die level will improve the reliability of manufacturing process and the efficiency reducing the costs of the lamps, but is in the better use of the small size of the LED what will provide more value for the future lamp designs.

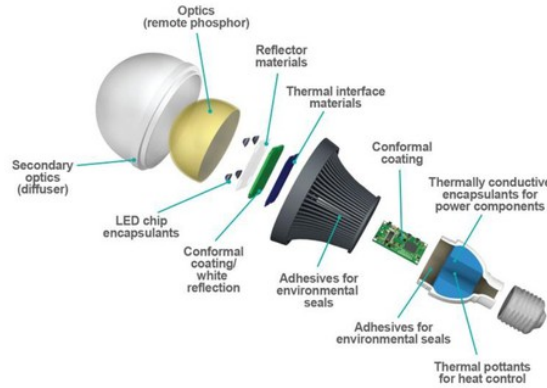


Figure 1.7: Exploded vision of an LED light bulb.

The Mechanical/Thermal/Electrical group that comprises heat sink, socket connector, PCBs and *Electromagnetic Interference* (EMI) filter play still a rele-

⁸Electronic part composed by an assembly of LEDs connected in series or parallel and mounted in a single substrate

vant role with respect to the lamp design. The heat sink and the connector are in many cases the body of the lamp where the heat sink and helps to assemble the entire system. The traditional sockets, which are not design friendly, are currently kept in order to provide a fast transition for the current first wave of the *LEDification*, the replacement period. Replacement lamps are also known as *retrofit*⁹ lamps. Actually the current offer of *retrofitted* LED bulbs is already an evident prove of the successes achieved in that area, nowadays is already possible to find a replacement lamp for almost all sorts of old lamps. However *retrofitted* lamps will just have small market share as predicted in the lighting forecast of Figure 1.4. That is why innovations in the Mechanical/Thermal/-Electrical group will be necessary for the coming light fixtures, to evolve and reinvent the future LED luminaries, where the small size, the low profile and the colored lights of the LEDs will play an relevant role.

Third, the driver is with no doubt the most *special* component of the entire lamp. It is referred as *special* because it is the only element of the lamp that plays a role in the three factors of influence for market penetration: Intelligence, Design and Costs. First, the driver is the only element that brings the functionality to the lamp, therefore the only one that can incorporate the control and interactivity to the system. Second, its volume and its location influences the design of the lamp, the closer the driver to the LEDs is, the better the controllability and the intelligence of the system becomes. Finally the costs, actually up-to-now, reducing costs have been -with great results- the main research motivation for LED drivers.

Cost down reduction has enabled, up-to-now, to bring the prices for simple *retrofitted* lamps down to competitive levels. However the chosen circuit architectures for low cost drivers are very cost sensitive towards more intelligent drivers, as it can be seen with the different prices for the *dimmmable*, *non-dimmmable* and *smart* lamps of Table 1.1. That is why, a different approach in the driver architectures is necessary to respond the challenges for the future intelligent and connected LED lamps. In other words, the driver architecture that will provide power management, intelligence and connectivity together assembled in a reduced volume and at low cost will be, with no discussion, the key element to vertebrate the future of LED lighting technology.

The current architectures for drivers are based in discrete implementations. The circuit are composed by different discrete components all assembled in a single *printed-circuit-board*. Using this approach the development time is very fast and cheap, because many of the mounted parts already existing and are sold by millions. However it has different limitations, first the performance of old and cheap components limit the volume reduction of the required passive components in the drivers filters and magnetics. Second, as the circuit increases in complexity the *bill of materials* (BOM) increases, also the costs, therefore reducing the possibilities to offer more functionalities in the driver circuit, such us connectivity and controllability at reduced costs. In resume, the driver re-

⁹Adding the new LED technology to the older light bulb systems. In that way the end user can directly replace an incandescent lamp or a florescent tub by an LED one without needing to make any change in the current installation.

quirements for the second generation of LED lamps will be very challenging using discrete driver architectures.

The approach to meet the requirements for the future lamps will probably relay in an integrated driver solution; meaning by integrated an *application-specific integrated circuit* or ASIC. This approach brings the focus of the research in drivers from the perspective of the integrated power supplies, where the power converter can be partially or fully integrated in a single package. There are two approaches of integrated power converters: *Power System on Chip* (PSoC) or *Power System in Package* (PSiP). The first integrate all required power components, active and passive, in a single die. The second assemble all the components within the same package, keeping the appearance of an unique *Integrated Circuit* (IC), see Figure 1.8. The advantages of having an integrated power management unit align with the necessities of the LED drivers, therefore trend of the drivers will be going towards having *Power LED Drivers in Package* (PLDiP).

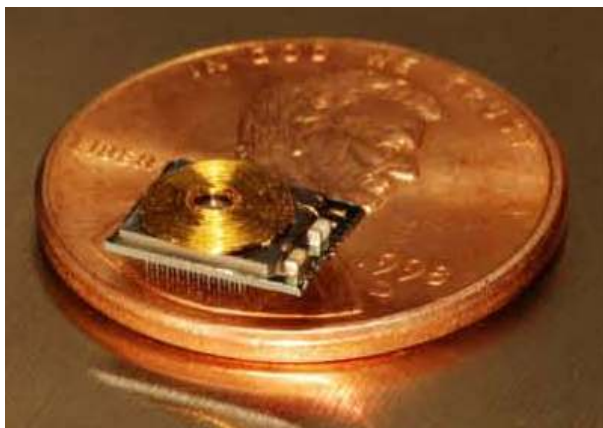


Figure 1.8: Power System in a Package die. The circuit implements a buck converter.

Besides the size reduction that an integrated driver would suppose, such approach would also bring other benefits in terms of control and connectivity. The power management unit and driver control unit could possibly be integrated together, providing the necessary intelligence for light control and the connectivity optimized for the requirements of the coming connected lighting industry. The *Philips HUE* lamp is a clear example of the requirements of the so called *smart drivers*. That lamp provides a full light color gamut color control through a mobile and web application or through a remote control. The internal driver has four light channels red, green, orange and additional amber and at the same time provides wireless connectivity through ZigBee, being the electronic board populated with discrete power drivers and few micro-controller units. A solution capable to integrates all the functions in a single IC, or few ICs (one per channel), will definitely reduce packaging and assembling costs and

still providing the same functionalities. At the same time, the expected market volume for SSL technologies will, with no doubt, justify costs of a dedicated ASIC design for LED drivers. All-in-all has been the motivation of this PhD thesis, with the goal to explore and identify new architectures that are suitable for integration for an efficient LED driver.

1.1 Why a LED needs a driver?

As shown in Figure 1.9 a LED has a very abrupt *voltage-current* curve (V-I curve). For voltages below the *forward voltage*, V_f , there is no current flow and the LED behaves as an open circuit. For voltages above V_f the curve becomes very steep and the current increases dramatically with respect to the voltages, thus the LED behaves as short circuit. The driver supplies the LED to a specific operation point, P in Figure 1.9, providing the desired output light. The colour and output flux (light intensity) will vary depending of the operation point, also known as bias point. Own to the fact that the majority of commonly used energy sources are voltage sources and, at the same time, LEDs require a limited amount of the current to operate at the desired bias point, it is necessary a circuit that controls the amount of current supplied by the source, that circuit is, indeed, the LED *Driver*.

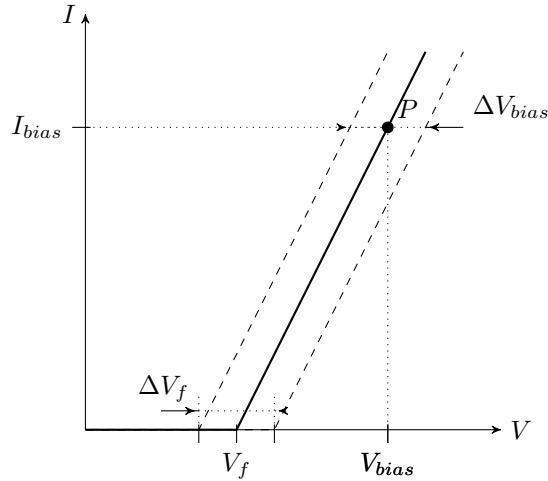


Figure 1.9: Idealized LED voltage-current with the *forward voltage* V_f identified and projection of the *bias point* P

At the first glance, keeping a constant bias current, I_{bias} , through the LED does not seem to be challenging. However LED V-I characteristic is not static, in practice LEDs have different sources of deviations and the LED drivers have to deal with them in order to keep delivering the desired light output. First, V_f has a negative dependence with the temperature, drooping its values as the

PN junction temperature increases. Second, the LED has an aging factor which derates the light output over time, and has to be adjusted by changing the bias point. And last, during production LEDs will vary in colour, flux and forward voltage; even for products from the same batch. The manufacturers have reduced the dispersion between devices by binning¹⁰, but still after binning, the parts suffer deviations, *e.g.* 10% in V_f . Figure 1.9 shows graphically how deviations in V_f produce a displacement in the V-I characteristic, which require to modify the V_{bias} within a certain range ΔV_{bias} in order to keep I_{bias} constant. All-in-all LEDs lamps have to provide certain desired light output (or range) despite variations in the LED characteristics or of the voltage supply, thus is that control function which adds special complexity to the driver circuit.

Up to date, there are three big families of LED drivers that will be presented in the following sections from the integrate power supplies perspective.

1.2 Linear Drivers

Linear drivers place a shunt element between the source and the LED. The shunt element limits the current in the LED providing the necessary voltage drop between the source and the load. The excess of voltage between the source and the load is dissipated in the shunt element, literally burned in form of heat; therefore these drivers become very inefficient if the LED voltage is not close to the source. Moreover such drivers can only provide step-down conversion, thus they cannot work when the load voltage is higher than the input supply.

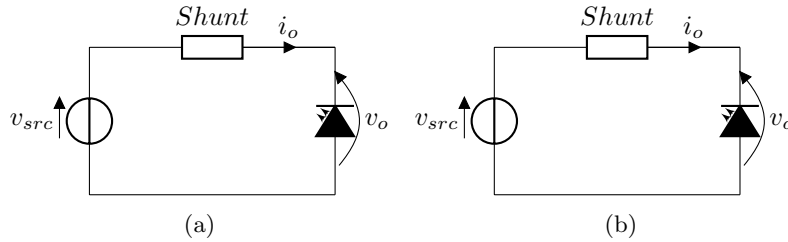


Figure 1.10: Linear driver, *left*- schematic; *right*- conversion ratio vs. efficiency characteristics

The circuit of the Figure 1.11a shows schematic of a linear driver, the shunt element can be implemented with just a resistor or with an active device. The first will impose a current depending on the input source and the load conditions; the second will provide regulation of the bias point for variations in the source and in the load. Linear drivers are very simple to implement, with very low costs and taking almost no volume, being indeed the perfect solution for integration.

The plotted graph in Figure ?? presents the variation of the driver efficiency with respect to the conversion ratio m . Where m is the ratio between the

¹⁰Quality control performed at LED production line, where each LED is individual tested and sorted in groups (bins) that have the same electrical and lighting characteristics.

input voltage, v_{src} , and the output voltage, v_o , being defined as

$$m = \frac{v_o}{v_{src}}. \quad (1.1)$$

The efficiency of the driver is the ratio between the input power and the output power

$$\eta = \frac{P_o}{P_i} = \frac{v_o i_o}{v_{src} i_o} = \frac{v_o}{v_{src}}, \quad (1.2)$$

hence for this case the efficiency is indeed equal to the conversion ratio

$$\eta = m, \quad (1.3)$$

owing to the fact that LED drivers have to be efficient, saying that at worst case 80% efficiency can be accepted, such drivers could only be suitable where the ration between input voltage and load voltage is 0.8.

Despite the fact that linear drivers are cheap and easy to integrate, their poor efficiencies and limitations in power conversion palace them in a unfavorable position as for a reliable architecture for an integrated solution.

1.3 Inductor Based Converters

Inductor Based Converters (IBCs) are *Switched Mode Power Supplies* (SMPS)¹¹ that employ magnetic passive elements (i.e. inductors and transformers) to store energy and provide efficient electrical power conversion. Since IBCs have are very efficient in voltage-to-current conversion they are ideal as LED drivers.

These converters can provide step-up and step-down conversion for large dynamic ranges while keeping the efficiency very high. On top of their power conversion capabilities, such converters can also provide galvanic isolation, which in many applications, is compulsory in order to guarantee the safety of the users against electrical hazards. Such characteristics place these drivers as the preferred solution for the LED drivers manufacturers. Figure 1.11 the regulation characteristic curve of an inductor based converter. As shown, the theoretical efficiency of these converters is 100% among all the conversion ratio range, in practice due to the parasitics in switches and inductors, the efficiency drops to a certain value with small fluctuations with respect to the conversion range.

On of the disadvantage of these converters are the magnetics, and the volume related to them. In practice, inductors dominate the entire volume of the LED drivers as shown in Figure 1.12. Integrated implementations of these converters suffer the challenges of using integrated magnetic components. The present *very-large integration scale* (VLSI) technologies do not yet offer power inductors in the commercial implementations, and the other integrated inductors are not yet mature for products out of the research phase.

¹¹Electronic power supply that provides efficient electric power conversion by commuting between different circuit configurations (modes).

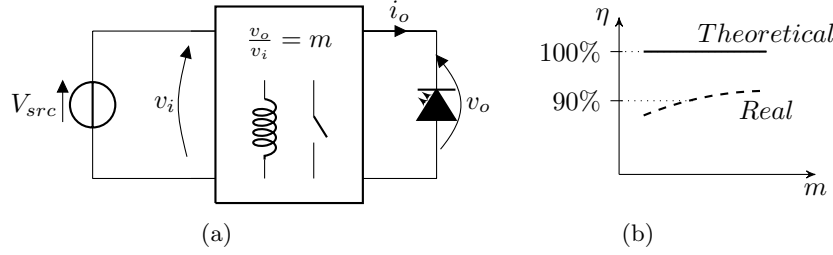


Figure 1.11: Inductor based converter, *left* - buck converter schematic; *right* characteristic comparing the *Theoretical* limit and the *Real* case.

Yet another disadvantage for integration is the voltage stress in the switches of the converter. Switches in inductive converters have to withstand the full operational voltage, which depending on the application range from tens to few hundred of volts. Using high voltage devices have three main drawbacks: First, the losses in the devices scale quadratically with the voltage stress. Second, worst switching performances, being high voltage devices less efficient and slower in the switching transitions. Third, the standard VLSI technologies do not offer these devices and the VLSI technologies that offer them are less performance and more expensive than the dedicated discrete technologies.

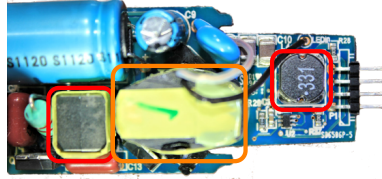


Figure 1.12: Magnetic components marked with a red square in a mains connected LED driver. The magnetic components dominate the volume of the converter.

1.4 Switched Capacitors

Switched Capacitor Converters (SCCs) are *dc-dc* power circuits composed only by switches and capacitors that provide efficient voltage conversion. Such converters have been initially used for voltage multiplication and more recently in applications that need voltage regulation as well. Compared to inductor based power converters, the absence of magnetic elements makes them suitable for high density power systems and integrated solutions, such as Power-System-in-Package (PSiP) or Power-System-on-Chip (PSoC).

SCCs have a fix ratio of conversion between the input and the output de-

terminated by the topology. The output voltage of the converter under no load conditions is defined as *target voltage* (v_t). The converter performs at high efficiency when the load is supplied close to the *target voltage*. Similar to the linear drivers, if the output voltage goes below the *target voltage* the efficiency drops and if the output voltage is above their *target voltage* the converter cannot operate.

A common practice to extend the regulation margins of these converters is to have topologies with multiple conversion rations as shown in the circuit of Figure ???. From Figure ?? it can be seen that the efficiency increases as the ration m gets close to the first fixed conversion ration of the converter m_1 ; right after m_1 the efficiency drops again dramatically and it linearly increases as it approaches the second fixed conversion ratio of the converter m_2 . Beyond m_2 the converter does not work.

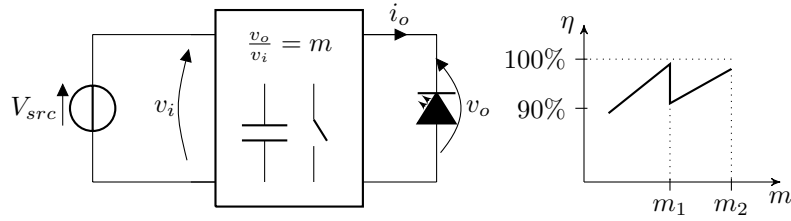


Figure 1.13: Generic two port block diagram of an inductor based SMPS, and the regulation-efficacy characteristic comparing the *Theoretical* limit and the *Real* case.

The main advantages of these converters is that they use no inductors, which make them very favorable for integration. Integrated capacitors have a better energy density than integrated inductors. The mechanical structure of the capacitors, a stack of isolator-metal-isolator, is much easier to replicate in small scale. Yet another advantage of the switch capacitors is that they split the absolute voltage applied to the converter with the different components, thus reducing the voltage stress in the switches and capacitors. Such voltage stress reduction is very interesting from the point of view of integration. First, at lower voltage capacitors have better performances: more energy density, less derating and better chances of integration. Second, lower voltage switches have better switching performances. Finally, low voltage devices take less silicon area and there is more offer in the standard VLSI technologies, thus reducing the production costs.

The big disadvantage of these converters is that they can not provide the voltage-to-current conversion required for the LEDs to work. Nevertheless they are still used as LED drivers in backlighting applications in battery supplied devices. In such cases, the SCCs steps-up or steps-down the battery voltage and afterwards a linear driver provides current regulation to bias properly the LEDs. Adopting that architecture for general lighting could be a solution but when voltages and currents are scaled to the values used in these applications

the number of necessary conversion steps of the SCC would make it totally infeasible and inefficient.

A priori adopting SCCs architectures as general LED drivers seems to be not an evident choice. On the one hand, their limitation in voltage-to-current conversion would place switched capacitors directly out of the possible candidates. However on the other hand, the advantageous characteristics of switched capacitors for integration made this circuits very attractive. Actually if the initial limitations in voltage-to-current conversion could be overcome, such architecture would be an interesting candidate to explore as a solution for a Power System *on-Chip/in-Package* LED driver. Therefore the last statement was the research motivation result of this PhD. dissertation.

This PhD. dissertation is divided in the four main sections that were necessary to build a switched capacitor LED driver. The first section introduces the new LED driver architecture used during the entire thesis, the *Hybrid-Switched Capacitor Converter*, H-SCC from now on. The second part of this book, the core of the PhD. work, presents the methodology to model H-SCC. The methodology extends the previous works in the topic providing an enhanced modeling for the design of SCCs and H-SCCs. The third section is devoted to the practical use of the new methodology, thus for the design phase of a converter. The modeling is used to help in the development facilitating the sizing and optimization of the design variables. The last section presents a discrete implementation of 12W H-SCC LED driver and the design procedure. Although is not a regular practice, experimental work is not only presented in the in the last section. The experimental work has been also used to validate the presented modeling and methodology. The final section is the conclusion of the entire work and the future opportunities that the presented work can offer.

Bibliography

- [1] Inc Navigant Consulting. Energy Savings Forecast of Solid-State Lighting in General Illumination Applications. Technical report, U.S. Department of Energy, 2014.
- [2] EPA. NEXT GENERATION LIGHTING PROGRAMS: Opportunities to Advance Efficient Lighting for a Cleaner Environment. Technical report, U.S. Environmental Protection Agency, 2011.
- [3] S. Nakamura, T. Mukai, and M. Senoh. Candela-class high-brightness InGaN/AlGaN double-heterostructure blue-light-emitting diodes. *Applied Physics Letters*, 64:1687–1689, March 1994. doi: 10.1063/1.111832.