

# Exercises from the *HoTT Book*

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## Part I

# Foundations

## Introduction

The following are solutions to exercises from *Homotopy Type Theory: Univalent Foundations of Mathematics*. The Coq code given alongside the by-hand solutions requires the HoTT version of Coq, available [at the HoTT github repository](#).

Require Export HoTT.

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# 1 Type Theory

**Exercise 1.1 (p. 56)** Given functions  $f : A \rightarrow B$  and  $g : B \rightarrow C$ , define their composite  $g \circ f : A \rightarrow C$ . Show that we have  $h \circ (g \circ f) \equiv (h \circ g) \circ f$ .

**Solution** Define  $g \circ f \equiv \lambda(x : A). g(f(x))$ . Then if  $h : C \rightarrow D$ , we have

$$h \circ (g \circ f) \equiv \lambda(x : A). h((g \circ f)x) \equiv \lambda(x : A). h((\lambda(y : A). g(fy))x) \equiv \lambda(x : A). h(g(fx))$$

and

$$(h \circ g) \circ f \equiv \lambda(x : A). (h \circ g)(fx) \equiv \lambda(x : A). (\lambda(y : B). h(gy))(fx) \equiv \lambda(x : A). h(g(fx))$$

So  $h \circ (g \circ f) \equiv (h \circ g) \circ f$ . In Coq, we have

**Module** Ex1.

**Definition** compose {A B C : Type} (g : B → C) (f : A → B) := fun x => g (f x).

**Lemma** compose\_assoc (A B C D : Type) (f : A → B) (g : B → C) (h : C → D)  
: compose h (compose g f) = compose (compose h g) f.

**Proof.**

reflexivity.

**Defined.**

**End** Ex1.

**Exercise 1.2 (p. 56)** Derive the recursion principle for products  $\text{rec}_{A \times B}$  using only the projections, and verify that the definitional equalities are valid. Do the same for  $\Sigma$ -types.

**Solution** The recursion principle states that we can define a function  $f : A \times B \rightarrow C$  by giving its value on pairs. Suppose that we have projection functions  $\text{pr}_1 : A \times B \rightarrow A$  and  $\text{pr}_2 : A \times B \rightarrow B$ . Then we can define a function of type

$$\text{rec}'_{A \times B} : \prod_{C : \mathcal{U}} (A \rightarrow B \rightarrow C) \rightarrow A \times B \rightarrow C$$

in terms of these projections as follows

$$\text{rec}'_{A \times B}(C, g, p) \equiv g(\text{pr}_1 p)(\text{pr}_2 p)$$

or, in Coq,

Module Ex2.

Section Ex2a.

Context (A B : Type).

Definition prod\_rect (C : Type) (g : A → B → C) (p : A × B)  
:= g (fst p) (snd p).

We must then show that

$$\text{rec}'_{A \times B}(C, g, (a, b)) \equiv g(\text{pr}_1(a, b))(\text{pr}_2(a, b)) \equiv g(a)(b)$$

which in Coq amounts to showing that these this equality is a reflexivity.

Theorem prod\_rect\_correct : ∀ (C : Type) (g : A → B → C) (a : A) (b : B),  
prod\_rect C g (a, b) = g a b.

Proof.

reflexivity.

Defined.

End Ex2a.

Now for the  $\Sigma$ -types. Here we have a projection

$$\text{pr}_1 : \left( \sum_{x:A} B(x) \right) \rightarrow A$$

and another

$$\text{pr}_2 : \prod_{p : \sum_{(x:A)} B(x)} B(\text{pr}_1(p))$$

Define a function of type

$$\text{rec}'_{\sum_{(x:A)} B(x)} : \prod_{C:\mathcal{U}} \left( \prod_{(x:A)} B(x) \rightarrow C \right) \rightarrow \left( \sum_{(x:A)} B(x) \right) \rightarrow C$$

by

$$\text{rec}'_{\sum_{(x:A)} B(x)}(C, g, p) \equiv g(\text{pr}_1 p)(\text{pr}_2 p)$$

Section Ex2b.

Context (A : Type).

Context (B : A → Type).

Definition sigma\_rect (C : Type) (g : ∀ (x : A), B x → C) (p : {x:A & B x})  
:= g (p.1) (p.2).

We then verify that

$$\text{rec}_{\sum_{(x:A)} B(x)}(C, g, (a, b)) \equiv g(\text{pr}_1(a, b))(\text{pr}_2(a, b)) \equiv g(a)(b)$$

**Theorem** `sigma_rect_correct` :  $\forall (C:\text{Type}) (g : \forall x, B\ x \rightarrow C) (a:A) (b:B\ a),$   
`sigma_rect C g (a; b) = g a b.`

**Proof.**

`reflexivity.`

**Defined.**

**End Ex2b.**

**End Ex2.**

**Exercise 1.3 (p. 56)** Derive the induction principle for products  $\text{ind}_{A \times B}$  using only the projections and the propositional uniqueness principle uppt. Verify that the definitional equalities are valid. Generalize uppt to  $\Sigma$ -types, and do the same for  $\Sigma$ -types.

**Solution** The induction principle has type

$$\text{ind}_{A \times B} : \prod_{C:A \times B \rightarrow \mathcal{U}} \left( \prod_{(x:A)} \prod_{(y:B)} C((x, y)) \right) \rightarrow \prod_{z:A \times B} C(z)$$

For a first pass, we can define our new inductor as

$$\lambda C. \lambda g. \lambda z. g(\text{pr}_1 z)(\text{pr}_2 z)$$

However, we have  $g(\text{pr}_1 x)(\text{pr}_2 x) : C((\text{pr}_1 x, \text{pr}_2 x))$ , so the type of this  $\text{ind}'_{A \times B}$  is

$$\prod_{C:A \times B \rightarrow \mathcal{U}} \left( \prod_{(x:A)} \prod_{(y:B)} C((x, y)) \right) \rightarrow \prod_{z:A \times B} C((\text{pr}_1 z, \text{pr}_2 z))$$

To define  $\text{ind}'_{A \times B}$  with the correct type, we need the transport operation from the next chapter. The uniqueness principle for product types is

$$\text{uppt} : \prod_{x:A \times B} ((\text{pr}_1 x, \text{pr}_2 x) =_{A \times B} x)$$

By the transport principle, there is a function

$$(\text{uppt } x)_* : C((\text{pr}_1 x, \text{pr}_2 x)) \rightarrow C(x)$$

so

$$\text{ind}_{A \times B}(C, g, z) := (\text{uppt } z)_*(g(\text{pr}_1 z)(\text{pr}_2 z))$$

has the right type. In Coq we use `eta_prod`, which is the name for uppt, then use it with transport to give our  $\text{ind}'_{A \times B}$ .

**Module** `Ex3.`

**Section** `Ex3a.`

**Context** `(A B : Type).`

**Definition** `prod_rect`  $(C : A \times B \rightarrow \text{Type}) (g : \forall (x:A) (y:B), C\ (x, y)) (z : A \times B)$   
`:= (eta_prod z) # (g (fst z) (snd z)).`

We now have to show that

$$\text{ind}_{A \times B}(C, g, (a, b)) \equiv g(a)(b)$$

Unfolding the left gives

$$\begin{aligned}
\text{ind}_{A \times B}(C, g, (a, b)) &\equiv (\text{uppt}(a, b))_*(g(\text{pr}_1(a, b))(\text{pr}_2(a, b))) \\
&\equiv \text{ind}_{=_{A \times B}}(D, d, (a, b), (a, b), \text{uppt}((a, b)))(g(a)(b)) \\
&\equiv \text{ind}_{=_{A \times B}}(D, d, (a, b), (a, b), \text{refl}_{(a, b)})(g(a)(b)) \\
&\equiv \text{id}_{C((a, b))}(g(a)(b)) \\
&\equiv g(a)(b)
\end{aligned}$$

which was to be proved.

**Theorem prod\_rect\_correct :**

$\forall (C : A \times B \rightarrow \text{Type}) (g : \forall (x:A) (y:B), C(x, y)) (a:A) (b:B),$   
 $\text{prod\_rect } C \, g \, (a, b) = g \, a \, b.$

**Proof.**

reflexivity.

**Defined.**

**End Ex3a.**

For  $\Sigma$ -types, we define

$$\text{ind}'_{\Sigma(x:A) B(x)} : \prod_{C : (\Sigma(x:A) B(x)) \rightarrow \mathcal{U}} \left( \prod_{(a:A)} \prod_{(b:B(a))} C((a, b)) \right) \rightarrow \prod_{p : \Sigma(x:A) B(x)} C(p)$$

at first pass by

$$\lambda C. \lambda g. \lambda p. g(\text{pr}_1 p)(\text{pr}_2 p)$$

We encounter a similar problem as in the product case: this gives an output in  $C((\text{pr}_1 p, \text{pr}_2 p))$ , rather than  $C(p)$ . So we need a uniqueness principle for  $\Sigma$ -types, which would be a function

$$\text{upst} : \prod_{p : \Sigma(x:A) B(x)} ((\text{pr}_1 p, \text{pr}_2 p) =_{\Sigma(x:A) B(x)} p)$$

As for product types, we can define

$$\text{upst}((a, b)) := \text{refl}_{(a, b)}$$

which is well-typed, since  $\text{pr}_1(a, b) \equiv a$  and  $\text{pr}_2(a, b) \equiv b$ . Thus, we can write

$$\text{ind}'_{\Sigma(x:A) B(x)}(C, g, p) := (\text{upst } p)_*(g(\text{pr}_1 p)(\text{pr}_2 p)).$$

and in Coq,

**Section Ex3b.**

**Context** (A : Type).

**Context** (B : A → Type).

**Definition sigma\_rect** (C : {x:A & B x} → Type)

(g : ∀ (a:A) (b:B a), C (a; b)) (p : {x:A & B x})  
 $:= (\text{eta\_sigma } p) \# (g \, (p.1) \, (p.2)).$

Now we must verify that

$$\text{ind}'_{\Sigma(x:A) B(x)}(C, g, (a, b)) \equiv g(a)(b)$$

We have

$$\begin{aligned}
\text{ind}'_{\Sigma_{(x:A)} B(x)}(C, g, (a, b)) &\equiv (\text{uppt } (a, b)) * (g(\text{pr}_1(a, b))(\text{pr}_2(a, b))) \\
&\equiv \text{ind}'_{\Sigma_{(x:A)} B(x)}(D, d, (a, b), (a, b), \text{uppt } (a, b))(g(a)(b)) \\
&\equiv \text{ind}'_{\Sigma_{(x:A)} B(x)}(D, d, (a, b), (a, b), \text{refl}_{(a,b)})(g(a)(b)) \\
&\equiv \text{id}_{C((a,b))}(g(a)(b)) \\
&\equiv g(a)(b)
\end{aligned}$$

**Theorem** `sigma_rect_correct` :

$$\begin{aligned}
&\forall (C : \{x:A \ \& \ B \ x\} \rightarrow \text{Type}) \\
&\quad (g : \forall (a:A) (b:B \ a), C \ (a; \textcolor{violet}{b})) \ (a : A) \ (b : B \ a), \\
&\quad \textcolor{violet}{sigma\_rect} \ C \ g \ (a; \textcolor{violet}{b}) = g \ a \ b.
\end{aligned}$$

**Proof.**

reflexivity.

**Defined.**

**End Ex3b.**

**End Ex3.**

**Exercise 1.4 (p. 56)** Assuming as given only the *iterator* for natural numbers

$$\text{iter} : \prod_{C:\mathcal{U}} C \rightarrow (C \rightarrow C) \rightarrow \mathbb{N} \rightarrow C$$

with the defining equations

$$\begin{aligned}
\text{iter}(C, c_0, c_s, 0) &\equiv c_0, \\
\text{iter}(C, c_0, c_s, \text{succ}(n)) &\equiv c_s(\text{iter}(C, c_0, c_s, n)),
\end{aligned}$$

derive a function having the type of the recursor  $\text{rec}_{\mathbb{N}}$ . Show that the defining equations of the recursor hold propositionally for this function, using the induction principle for  $\mathbb{N}$ .

**Section Ex4.**

**Variable** `C` : `Type`.

**Variable** `c0` : `C`.

**Variable** `cs` : `nat`  $\rightarrow$  `C`  $\rightarrow$  `C`.

**Solution** Fix some  $C : \mathcal{U}$ ,  $c_0 : C$ , and  $c_s : \mathbb{N} \rightarrow C \rightarrow C$ .  $\text{iter}(C)$  allows for the  $n$ -fold application of a single function to a single input from  $C$ , whereas  $\text{rec}_{\mathbb{N}}$  allows each application to depend on  $n$ , as well. Since  $n$  just tracks how many applications we've done, we can construct  $n$  on the fly, iterating over elements of  $\mathbb{N} \times C$ . So we will use the iterator

$$\text{iter}_{\mathbb{N} \times C} : \mathbb{N} \times C \rightarrow (\mathbb{N} \times C \rightarrow \mathbb{N} \times C) \rightarrow \mathbb{N} \rightarrow \mathbb{N} \times C$$

to derive a function

$$\Phi : \prod_{C:\mathcal{U}} C \rightarrow (\mathbb{N} \rightarrow C \rightarrow C) \rightarrow \mathbb{N} \rightarrow C$$

which has the same type as  $\text{rec}_{\mathbb{N}}$ .

The first argument of  $\text{iter}_{\mathbb{N} \times C}$  is the starting point, which we'll make  $(0, c_0)$ . The second input takes an element of  $\mathbb{N} \times C$  as an argument and uses  $c_s$  to construct a new element of  $\mathbb{N} \times C$ . We can use the



first and second elements of the pair as arguments for  $c_s$ , and we'll use  $\text{succ}$  to advance the first argument, representing the number of steps taken. This gives the function

$$\lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x)) : \mathbb{N} \times C \rightarrow \mathbb{N} \times C$$

for the second input to  $\text{iter}_{\mathbb{N} \times C}$ . The third input is just  $n$ , which we can pass through. Plugging these in gives

$$\text{iter}_{\mathbb{N} \times C}((0, c_0), \lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x)), n) : \mathbb{N} \times C$$

from which we need to extract an element of  $C$ . This is easily done with the projection operator, so we have

$$\Phi(C, c_0, c_s, n) \equiv \text{pr}_2 \left( \text{iter}_{\mathbb{N} \times C}((0, c_0), \lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x)), n) \right)$$

which has the same type as  $\text{rec}_{\mathbb{N}}$ . In Coq we first define the iterator and then our alternative recursor:

**Fixpoint**  $\text{iter} (C : \text{Type}) (c0 : C) (cs : C \rightarrow C) (n : \text{nat}) : C :=$

```
  match n with
  | 0 => c0
  | S n' => cs(iter C c0 cs n')
  end.
```

**Definition**  $\Phi (C : \text{Type}) (c0 : C) (cs : \text{nat} \rightarrow C \rightarrow C) (n : \text{nat}) :=$

```
  snd (iter (nat × C)
    (0, c0)
    (fun x => (S (fst x), cs (fst x) (snd x)))
    n).
```

Now to show that the defining equations hold propositionally for  $\Phi$ . For clarity of notation, define

$$\Phi'(n) = \text{iter}_{\mathbb{N} \times C}((0, c_0), \lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x)), n)$$

**Definition**  $\Phi' (n : \text{nat}) :=$

```
  iter (nat × C) (0, c0) (fun x => (S (fst x), cs (fst x) (snd x))) n.
```

So the propositional equalities can be written

$$\begin{aligned} \text{pr}_2 \Phi'(0) &= c_0 \\ \prod_{n:\mathbb{N}} \text{pr}_2 \Phi'(\text{succ}(n)) &= c_s(n, \text{pr}_2 \Phi'(n)). \end{aligned}$$

The first is straightforward:

$$\text{pr}_2 \Phi'(0) \equiv \text{pr}_2 \text{iter}_{\mathbb{N} \times C}((0, c_0), \lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x)), 0) \equiv \text{pr}_2(0, c_0) \equiv c_0$$

so  $\text{refl}_{c_0} : \text{pr}_2 \Phi'(0) = c_0$ . To establish the second, we use induction on a strengthened hypothesis involving  $\Phi'$ . We will establish that for all  $n : \mathbb{N}$ ,

$$P(n) \equiv \Phi'(\text{succ}(n)) = c_s(n, \text{pr}_2 \Phi'(n))$$

is inhabited. For the base case, we have

$$\begin{aligned} \Phi'(\text{succ}(0)) &\equiv \text{iter}_{\mathbb{N} \times C}((0, c_0), \lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x)), \text{succ}(0)) \\ &\equiv \left( \lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x)) \right) \text{iter}_{\mathbb{N} \times C}((0, c_0), \lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x)), 0) \\ &\equiv \left( \lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x)) \right) (0, c_0) \\ &\equiv (\text{succ}(0), c_s(0, c_0)) \\ &\equiv (\text{succ}(0), c_s(0, \text{pr}_2 \Phi'(0))) \end{aligned}$$

using the derivation of the first propositional equality. So  $P(0)$  is inhabited, or  $p_0 : P(0)$ . For the induction hypothesis, suppose that  $n : \mathbb{N}$  and that  $p_n : P(n)$ . A little massaging gives

$$\begin{aligned}\Phi'(\text{succ}(\text{succ}(n))) &\equiv \text{iter}_{\mathbb{N} \times C}((0, c_0), \lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x)), \text{succ}(\text{succ}(n))) \\ &\equiv (\lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x))) \Phi'(\text{succ}(n)) \\ &\equiv (\text{succ}(\text{pr}_1 \Phi'(\text{succ}(n))), c_s(\text{pr}_1 \Phi'(\text{succ}(n)), \text{pr}_2 \Phi'(\text{succ}(n))))\end{aligned}$$

We now apply based path induction using  $p_n$ . Consider the family

$$D : \prod_{z : \mathbb{N} \times C} (\Phi'(\text{succ}(n)) = x) \rightarrow \mathcal{U}$$

given by

$$D(z) := (\text{succ}(\text{pr}_1 \Phi'(\text{succ}(n))), c_s(\text{pr}_1 \Phi'(\text{succ}(n)), \text{pr}_2 \Phi'(\text{succ}(n)))) = (\text{succ}(\text{pr}_1 z), c_s(\text{pr}_1 z, \text{pr}_2 \Phi'(\text{succ}(n))))$$

Clearly, we have

$$\text{refl}_{\Phi'(\text{succ}(\text{succ}(n)))} : D(\Phi'(\text{succ}(n)), \text{refl}_{\Phi'(\text{succ}(n))})$$

so by based path induction, there is an element

$$\begin{aligned}f((\text{succ}(n), c_s(n, \text{pr}_2 \Phi'(n))), p_n) &: (\text{succ}(\text{pr}_1 \Phi'(\text{succ}(n))), c_s(\text{pr}_1 \Phi'(\text{succ}(n)), \text{pr}_2 \Phi'(\text{succ}(n)))) \\ &= (\text{succ}(\text{pr}_1(\text{succ}(n), c_s(n, \text{pr}_2 \Phi'(n)))), \\ &\quad c_s(\text{pr}_1(\text{succ}(n), c_s(n, \text{pr}_2 \Phi'(n))), \text{pr}_2 \Phi'(\text{succ}(n))))\end{aligned}$$

Let  $p_{n+1} := f((\text{succ}(n), c_s(n, \text{pr}_2 \Phi'(n))))$ . Our first bit of massaging allows us to replace the left hand side of this by  $\Phi'(\text{succ}(\text{succ}(n)))$ . As for the right, applying the projections gives

$$p_{n+1} : \Phi'(\text{succ}(\text{succ}(n))) = (\text{succ}(\text{succ}(n)), c_s(\text{succ}(n), \text{pr}_2 \Phi'(\text{succ}(n)))) \equiv P(\text{succ}(n))$$

Plugging all this into our induction principle for  $\mathbb{N}$ , we can discharge the assumption that  $p_n : P(n)$  to obtain

$$q := \text{ind}_{\mathbb{N}}(P, p_0, \lambda n. \lambda p_n. p_{n+1}, n) : P(n)$$

The propositional equality we're after is a consequence of this, which we again obtain by based path induction. Consider the family

$$E : \prod_{z : \mathbb{N} \times C} (\Phi'(n) = z) \rightarrow \mathcal{U}$$

given by

$$E(z, p) := \text{pr}_2 \Phi'(\text{succ}(n)) = \text{pr}_2 z$$

Again, it's clear that

$$\text{refl}_{\text{pr}_2 \Phi'(\text{succ}(n))} : E(\Phi'(\text{succ}(n)), \text{refl}_{\Phi'(\text{succ}(n))})$$

So based path induction gives us a function

$$g((\text{succ}(n), c_s(n, \text{pr}_2 \Phi'(n))), q) : \text{pr}_2 \Phi'(\text{succ}(n)) = \text{pr}_2(\text{succ}(n), c_s(n, \text{pr}_2 \Phi'(n)))$$

and by applying the projection function on the right and discharging the assumption of  $n$ , we have shown that

$$\prod_{n:\mathbb{N}} \text{pr}_2 \Phi'(\text{succ}(n)) = c_s(n, \text{pr}_2 \Phi'(n))$$

is inhabited. Next chapter we'll prove that functions are functors, and we won't have to do this based path induction every single time. It'll be great. Repeating it all in Coq, we have

**Theorem** `Phi'_correct1` : `snd (Phi' 0) = c0`.

**Proof.**

`reflexivity.`

**Defined.**

**Theorem** `Phi'_correct2` :  $\forall n, \text{Phi}'(\text{S } n) = (\text{S } n, c_s n (\text{snd } (\text{Phi}' n)))$ .

**Proof.**

`intros. induction n. reflexivity.`

`transitivity ((S (fst (Phi' (S n))), cs (fst (Phi' (S n))) (snd (Phi' (S n))))).`

`reflexivity.`

`rewrite IHn. reflexivity.`

**Defined.**

**End Ex4.**

**Exercise 1.5 (p. 56)** Show that if we define  $A + B := \sum_{(x:2)} \text{rec}_2(\mathcal{U}, A, B, x)$ , then we can give a definition of  $\text{ind}_{A+B}$  for which the definitional equalities stated in §1.7 hold.

**Solution** Define  $A + B$  as stated. We need to define a function of type

$$\text{ind}'_{A+B} : \prod_{C:(A+B) \rightarrow \mathcal{U}} \left( \prod_{(a:A)} C(\text{inl}(a)) \right) \rightarrow \left( \prod_{(b:B)} C(\text{inr}(b)) \right) \rightarrow \prod_{(x:A+B)} C(x)$$

which means that we also need to define  $\text{inl}' : A \rightarrow A + B$  and  $\text{inr}' : B \rightarrow A + B$ ; these are

$$\text{inl}'(a) := (0_2, a) \quad \text{inr}'(b) := (1_2, b)$$

In Coq, we can use `sigT` to define `copr` as a  $\Sigma$ -type:

**Module** `Ex5`.

**Section** `Ex5`.

**Context**  $(A B : \text{Type})$ .

**Definition** `sum` :=  $\{x:\text{Bool} \ \& \ \text{if } x \text{ then } B \text{ else } A\}$ .

**Definition** `inl`  $(a : A) := \text{existT } (\text{fun } x:\text{Bool} \Rightarrow \text{if } x \text{ then } B \text{ else } A) \text{ false } a$ .

**Definition** `inr`  $(b : B) := \text{existT } (\text{fun } x:\text{Bool} \Rightarrow \text{if } x \text{ then } B \text{ else } A) \text{ true } b$ .

Suppose that  $C : A + B \rightarrow \mathcal{U}$ ,  $g_0 : \prod_{(a:A)} C(\text{inl}'(a))$ ,  $g_1 : \prod_{(b:B)} C(\text{inr}'(b))$ , and  $x : A + B$ ; we're looking to define

$$\text{ind}'_{A+B}(C, g_0, g_1, x)$$

We will use  $\text{ind}_{\sum_{(x:2)} \text{rec}_2(\mathcal{U}, A, B, x)}$ , and for notational convenience will write  $\Phi := \sum_{(x:2)} \text{rec}_2(\mathcal{U}, A, B, x)$ .  $\text{ind}_\Phi$  has signature

$$\text{ind}_\Phi : \prod_{C:\Phi \rightarrow \mathcal{U}} \left( \prod_{(x:2)} \prod_{(y:\text{rec}_2(\mathcal{U}, A, B, x))} C((x, y)) \right) \rightarrow \prod_{(p:\Phi)} C(p)$$

So

$$\text{ind}_\Phi(C) : \left( \prod_{(x:2)} \prod_{(y:\text{rec}_2(\mathcal{U}, A, B, x))} C((x, y)) \right) \rightarrow \prod_{(p:\Phi)} C(p)$$

To obtain something of type  $\prod_{(x:2)} \prod_{(y:\text{rec}_2(\mathcal{U}, A, B, x))} C((x, y))$  we'll have to use  $\text{ind}_2$ . In particular, for  $B(x) := \prod_{(y:\text{rec}_2(\mathcal{U}, A, B, x))} C((x, y))$  we have

$$\text{ind}_2(B) : B(0_2) \rightarrow B(1_2) \rightarrow \prod_{x:2} B(x)$$

along with

$$g_0 : \prod_{a:A} C(\text{inl}'(a)) \equiv \prod_{a:\text{rec}_2(\mathcal{U}, A, B, 0_2)} C((0_2, a)) \equiv B(0_2)$$

and similarly for  $g_1$ . So

$$\text{ind}_2(B, g_0, g_1) : \prod_{(x:2)} \prod_{(y:\text{rec}_2(\mathcal{U}, A, B, x))} C((x, y))$$

which is just what we needed for  $\text{ind}_\Phi$ . So we define

$$\text{ind}'_{A+B}(C, g_0, g_1, x) := \text{ind}_{\sum_{(x:2)} \text{rec}_2(\mathcal{U}, A, B, x)} \left( C, \text{ind}_2 \left( \prod_{y:\text{rec}_2(\mathcal{U}, A, B, x)} C((x, y)), g_0, g_1 \right), x \right)$$

and, in Coq, we use `sigT_rect`, which is the built-in  $\text{ind}_{\sum_{(x:A)} B(x)}$ :

```
Definition sum_rect (C : sum → Type)
  (g0 : ∀ a : A, C (inl a))
  (g1 : ∀ b : B, C (inr b))
  (x : sum)
:=
sigT_rect C
  (Bool_rect (fun x:Bool ⇒ ∀ (y : if x then B else A), C (x; y))
    g1
    g0)
  x.
```

Now we must show that the definitional equalities

$$\begin{aligned} \text{ind}'_{A+B}(C, g_0, g_1, \text{inl}'(a)) &\equiv g_0(a) \\ \text{ind}'_{A+B}(C, g_0, g_1, \text{inr}'(b)) &\equiv g_1(b) \end{aligned}$$

hold. For the first, we have

$$\begin{aligned} \text{ind}'_{A+B}(C, g_0, g_1, \text{inl}'(a)) &\equiv \text{ind}'_{A+B}(C, g_0, g_1, (0_2, a)) \\ &\equiv \text{ind}_{\sum_{(x:2)} \text{rec}_2(\mathcal{U}, A, B, x)} \left( C, \text{ind}_2 \left( \prod_{y:\text{rec}_2(\mathcal{U}, A, B, x)} C((x, y)), g_0, g_1 \right), (0_2, a) \right) \\ &\equiv \text{ind}_2 \left( \prod_{y:\text{rec}_2(\mathcal{U}, A, B, x)} C((x, y)), g_0, g_1, 0_2 \right) (a) \\ &\equiv g_0(a) \end{aligned}$$

and for the second,

$$\begin{aligned}
\text{ind}'_{A+B}(C, g_0, g_1, \text{inr}'(b)) &\equiv \text{ind}'_{A+B}(C, g_0, g_1, (1_2, b)) \\
&\equiv \text{ind}_{\sum_{(x:2)} \text{rec}_2(\mathcal{U}, A, B, x)} \left( C, \text{ind}_2 \left( \prod_{y:\text{rec}_2(\mathcal{U}, A, B, x)} C((x, y)), g_0, g_1 \right), (1_2, b) \right) \\
&\equiv \text{ind}_2 \left( \prod_{y:\text{rec}_2(\mathcal{U}, A, B, x)} C((x, y)), g_0, g_1, 1_2 \right) (b) \\
&\equiv g_1(b)
\end{aligned}$$

**Theorem** `sum_rect_correct1` :

$\forall C g_0 g_1 a, \text{sum\_rect } C g_0 g_1 (\text{inl } a) = g_0 a.$

**Proof.**

reflexivity.

**Qed.**

**Theorem** `sum_rect_correct2` :

$\forall C g_0 g_1 a, \text{sum\_rect } C g_0 g_1 (\text{inr } a) = g_1 a.$

**Proof.**

reflexivity.

**Qed.**

**End Ex5.**

**End Ex5.**

**Exercise 1.6 (p. 56)** Show that if we define  $A \times B := \prod_{(x:2)} \text{rec}_2(\mathcal{U}, A, B, x)$ , then we can give a definition of  $\text{ind}_{A \times B}$  for which the definitional equalities stated in §1.5 hold propositionally (i.e. using equality types).

**Solution** Define

$$A \times B := \prod_{x:2} \text{rec}_2(\mathcal{U}, A, B, x)$$

Supposing that  $a : A$  and  $b : B$ , we have an element  $(a, b) : A \times B$  given by

$$(a, b) := \text{ind}_2(\text{rec}_2(\mathcal{U}, A, B), a, b)$$

Defining this type and constructor in Coq, we have

**Module** `Ex6`.

**Section** `Ex6`.

**Context**  $(A B : \text{Type})$ .

**Definition** `prod` :=  $\forall x : \text{Bool}, \text{if } x \text{ then } B \text{ else } A$ .

**Definition** `pair`  $(a : A) (b : B)$

:= `Bool_rect`  $(\text{fun } x : \text{Bool} \Rightarrow \text{if } x \text{ then } B \text{ else } A) b a$ .

An induction principle for  $A \times B$  will, given a family  $C : A \times B \rightarrow \mathcal{U}$  and a function

$$g : \prod_{(x:A)} \prod_{(y:B)} C((x, y)),$$

give a function  $f : \prod_{(x:A \times B)} C(x)$  defined by

$$f((x, y)) := g(x)(y)$$

So suppose that we have such a  $C$  and  $g$ . Writing things out in terms of the definitions, we have

$$C : \left( \prod_{x:2} \text{rec}_2(\mathcal{U}, A, B, x) \right) \rightarrow \mathcal{U}$$

$$g : \prod_{(x:A)} \prod_{(y:B)} C(\text{ind}_2(\text{rec}_2(\mathcal{U}, A, B), x, y))$$

We can define projections by

$$\text{pr}_1 p \equiv p(0_2) \quad \text{pr}_2 p \equiv p(1_2)$$

Since  $p$  is an element of a dependent type, we have

$$p(0_2) : \text{rec}_2(\mathcal{U}, A, B, 0_2) \equiv A$$

$$p(1_2) : \text{rec}_2(\mathcal{U}, A, B, 1_2) \equiv B$$

**Definition**  $\text{fst}(p : \text{prod}) := p \text{ false}$ .

**Definition**  $\text{snd}(p : \text{prod}) := p \text{ true}$ .

Then we have

$$g(\text{pr}_1 p)(\text{pr}_2 p) : C(\text{ind}_2(\text{rec}_2(\mathcal{U}, A, B), (\text{pr}_1 p), (\text{pr}_2 p))) \equiv C((p(0_2), p(1_2)))$$

So we have defined a function

$$f' : \prod_{p:A \times B} C((p(0_2), p(1_2)))$$

But we need one of the type

$$f : \prod_{p:A \times B} C(p)$$

To solve this problem, we need to appeal to function extensionality from §2.9. This implies that there is a function

$$\text{funext} : \left( \prod_{x:2} ((\text{pr}_1 p, \text{pr}_2 p)(x) =_{\text{rec}_2(\mathcal{U}, A, B, x)} p(x)) \right) \rightarrow ((\text{pr}_1 p, \text{pr}_2 p) =_{A \times B} p)$$

We just need to show that the antecedent is inhabited, which we can do with  $\text{ind}_2$ . So consider the family

$$E \equiv \lambda(x:2). ((p(0_2), p(1_2))(x) =_{\text{rec}_2(\mathcal{U}, A, B, x)} p(x))$$

$$\equiv \lambda(x:2). (\text{ind}_2(\text{rec}_2(\mathcal{U}, A, B), p(0_2), p(1_2), x) =_{\text{rec}_2(\mathcal{U}, A, B, x)} p(x))$$

We have

$$E(0_2) \equiv (\text{ind}_2(\text{rec}_2(\mathcal{U}, A, B), p(0_2), p(1_2), 0_2) =_{\text{rec}_2(\mathcal{U}, A, B, 0_2)} p(0_2))$$

$$\equiv (p(0_2) =_{\text{rec}_2(\mathcal{U}, A, B, 0_2)} p(0_2))$$

Thus  $\text{refl}_{p(0_2)} : E(0_2)$ . The same argument goes through to show that  $\text{refl}_{p(1_2)} : E(1_2)$ . This means that

$$h \equiv \text{ind}_2(E, \text{refl}_{p(0_2)}, \text{refl}_{p(1_2)}) : \prod_{x:2} ((\text{pr}_1 p, \text{pr}_2 p)(x) =_{\text{rec}_2(\mathcal{U}, A, B, x)} p(x))$$

and thus

$$\text{funext}(h) : (p(0_2), p(1_2)) =_{A \times B} p$$

This allows us to define the uniqueness principle for products:

$$\text{uppt} \equiv \lambda p. \text{funext}(h) : \prod_{p:A \times B} (\text{pr}_1 p, \text{pr}_2 p) =_{A \times B} p$$

Now we can define  $\text{ind}_{A \times B}$  as

$$\text{ind}_{A \times B}(C, g, p) \equiv (\text{uppt } p)_*(g(\text{pr}_1 p)(\text{pr}_2 p))$$

In Coq we can repeat this construction using `Funext`.

**Definition** `eta_prod` `{Funext} (p : prod) : pair (fst p) (snd p) = p.`

`apply path_forall.`

`unfold pointwise_paths; apply Bool_rect; reflexivity.`

**Defined.**

**Definition** `prod_rect` `{Funext} (C : prod → Type)`  
`(g : ∀ (x:A) (y:B), C (pair x y)) (z : prod)`  
`:= (eta_prod z) # (g (fst z) (snd z)).`

Now, we must show that the definitional equality holds propositionally. That is, we must show that the type

$$\text{ind}_{A \times B}(C, g, (a, b)) =_{C((a,b))} g(a)(b)$$

is inhabited. Unfolding the left gives

$$\begin{aligned} \text{ind}_{A \times B}(C, g, (a, b)) &\equiv (\text{uppt } (a, b))_*(g(\text{pr}_1(a, b))(\text{pr}_2(a, b))) \\ &\equiv \text{ind}_{=_{C((a,b))}}(D, d, (a, b), (a, b), \text{uppt } (a, b))(g(a)(b)) \end{aligned}$$

where  $D : \prod_{(x,y:A \times B)} (x = y) \rightarrow \mathcal{U}$  is given by  $D(x, y, p) \equiv C(x) \rightarrow C(y)$  and

$$d \equiv \lambda x. \text{id}_{C(x)} : \prod_{x:A \times B} D(x, x, \text{refl}_x)$$

Now,

$$\text{uppt } (a, b) \equiv \text{funext}(h) : (a, b) =_{A \times B} (a, b)$$

and, in particular, we have  $h : x \mapsto \text{refl}_{(a,b)(x)}$ , so  $\text{funext}(h) = \text{refl}_{(a,b)}$ . Plugging this into  $\text{ind}_{=_{C((a,b))}}$  and applying its defining equality gives

$$\begin{aligned} \text{ind}_{A \times B}(C, g, (a, b)) &= \text{ind}_{=_{C((a,b))}}(D, d, (a, b), (a, b), \text{refl}_{(a,b)})(g(a)(b)) \\ &= d((a, b))(g(a)(b)) \\ &= \text{id}_{C((a,b))}(g(a)(b)) \\ &= g(a)(b) \end{aligned}$$

Verifying that the definitional equality holds propositionally. The reason we can only get propositional equality, not judgemental equality, is that  $\text{funext}(h) = \text{refl}_{(a,b)}$  is just a propositional equality. Understanding this better requires stuff from next chapter.

**Lemma** `prod_rect_correct` `{Funext} C g a b`  
`: prod_rect C g (pair a b) = g a b.`

**Proof.**

`unfold prod_rect.`

`path_via (transport C 1 (g (fst (pair a b)) (snd (pair a b)))) . f-ap.`

`unfold eta_prod.`

```

path_via (path_forall (pair (fst (pair a b)) (snd (pair a b))) (pair a b)
  (fun _ => 1)).
f_ap. apply path_forall; intro x. destruct x; reflexivity.
apply path_forall_1.
Defined.
End Ex6.
End Ex6.

```

**Exercise 1.7 (p. 56)** Give an alternative derivation of  $\text{ind}'_{=A}$  from  $\text{ind}_{=A}$  which avoids the use of universes.

**Solution** To avoid universes, we follow the plan from p. 53 of the text: show that  $\text{ind}_{=A}$  entails Lemmas 2.3.1 and 3.11.8, and that these two principles imply  $\text{ind}'_{=A}$  directly.

First we have Lemma 2.3.1, which states that for any type family  $P$  over  $A$  and  $p : x =_A y$ , there is a function  $p_* : P(x) \rightarrow P(y)$ . The proof for this can be taken directly from the text. Consider the type family

$$D : \prod_{x,y:A} (x = y) \rightarrow \mathcal{U}, \quad D(x, y, p) := P(x) \rightarrow P(y)$$

which exists, since  $P(x) : \mathcal{U}$  for all  $x : A$  and these can be used to form function types. We also have

$$d := \lambda x. \text{id}_{P(x)} : \prod_{x:A} D(x, x, \text{refl}_x) \equiv \prod_{x:A} P(x) \rightarrow P(x)$$

We now apply  $\text{ind}_{=A}$  to obtain

$$p_* := \text{ind}_{=A}(D, d, x, y, p) : P(x) \rightarrow P(y)$$

establishing the Lemma.

Next we have Lemma 3.11.8, which states that for any  $A$  and any  $a : A$ , the type  $\sum_{(x:A)} (a = x)$  is contractible; that is, there is some  $w : \sum_{(x:A)} (a = x)$  such that  $w = w'$  for all  $w' : \sum_{(x:A)} (a = x)$ . Consider the point  $(a, \text{refl}_a) : \sum_{(a:A)} (a = x)$  and the family  $C : \prod_{(x,y:A)} (x = y) \rightarrow \mathcal{U}$  given by

$$C(x, y, p) := ((x, \text{refl}_x) =_{\sum_{(z:A)} (x=z)} (y, p))$$

Take also the function

$$\text{refl}_{(x, \text{refl}_x)} : \prod_{x:A} ((x, \text{refl}_x) =_{\sum_{(x:A)} (x=z)} (x, \text{refl}_x))$$

By path induction, then, we have a function

$$g : \prod_{(x,y:A)} \prod_{(p:x=Ay)} ((x, \text{refl}_x) =_{\sum_{(z:A)} (x=z)} (y, p))$$

such that  $g(x, x, \text{refl}_x) := \text{refl}_{(x, \text{refl}_x)}$ . This allows us to construct

$$\lambda p. g(a, \text{pr}_1 p, \text{pr}_2 p) : \prod_{p:\sum_{(x:A)} (a=x)} (a, \text{refl}_a) =_{\sum_{(z:A)} (a=z)} (\text{pr}_1 p, \text{pr}_2 p)$$

And upst lets us transport this, using the first lemma, to the statement that  $\sum_{(x:A)} (a = x)$  is contractible:

$$\text{contr} := \lambda p. \left( (\text{upst } p)_* g(a, \text{pr}_1 p, \text{pr}_2 p) \right) : \prod_{p:\sum_{(x:A)} (a=x)} (a, \text{refl}_a) =_{\sum_{(z:A)} (a=z)} p$$

With these two lemmas we can derive based path induction. Fix some  $a : A$  and suppose we have a family

$$C : \prod_{x:A} (a = x) \rightarrow \mathcal{U}$$



and an element

$$c : C(a, \text{refl}_a).$$

Suppose we have  $x : A$  and  $p : a = x$ . Then we have  $(x, p) : \sum_{(x:A)} (a = x)$ , and because this type is contractible, an element  $\text{contr}_{(x,p)} : (a, \text{refl}_a) = (x, p)$ . So for any type family  $P$  over  $\sum_{(x:A)} (a = x)$ , we have the function  $(\text{contr}_{(x,p)})_* : P((a, \text{refl}_a)) \rightarrow P((x, p))$ . In particular, we have the type family

$$\tilde{C} := \lambda p. C(\text{pr}_1 p, \text{pr}_2 p)$$

so

$$(\text{contr}_{(x,p)})_* : \tilde{C}((a, \text{refl}_a)) \rightarrow \tilde{C}((x, p)) \equiv C(a, \text{refl}_a) \rightarrow C(x, p).$$

thus

$$(\text{contr}_{(x,p)})_*(c) : C(x, p)$$

or, abstracting out the  $x$  and  $p$ ,

$$f := \lambda x. \lambda p. (\text{contr}_{(x,p)})_*(c) : \prod_{(x:A)} \prod_{(p:x=y)} C(x, p).$$

We also have

$$\begin{aligned} f(a, \text{refl}_a) &\equiv (\text{contr}_{(a, \text{refl}_a)})_*(c) \\ &\equiv ((\text{upst } (a, \text{refl}_a))_* g(a, a, \text{refl}_a))_*(c) \\ &\equiv ((\text{upst } (a, \text{refl}_a))_* \text{refl}_{(a, \text{refl}_a)})_*(c) \\ &\equiv (\text{ind} = (\lambda x. ((a, \text{refl}_a) = x), \lambda x. \text{id}_{(a, \text{refl}_a) = x'}(a, \text{refl}_a), (a, \text{refl}_a), \text{refl}_{(a, \text{refl}_a)} \text{refl}_{(a, \text{refl}_a)}))_*(c) \\ &\equiv (\text{id}_{(a, \text{refl}_a) = (a, \text{refl}_a)} \text{refl}_{(a, \text{refl}_a)})_*(c) \\ &\equiv (\text{refl}_{(a, \text{refl}_a)})_*(c) \\ &\equiv \text{ind} = (\tilde{C}, \lambda x. \text{id}_{\tilde{C}(x)}(a, \text{refl}_a), (a, \text{refl}_a), \text{refl}_{(a, \text{refl}_a)})(c) \\ &\equiv \text{id}_{\tilde{C}((a, \text{refl}_a))}(c) \\ &\equiv \text{id}_{C(a, \text{refl}_a)}(c) \\ &\equiv c \end{aligned}$$

So we have derived based path induction.

Module Ex7.

Section ex7.

Definition `ind`  $(A : \text{Type}) : \forall (C : \forall (x y : A), x = y \rightarrow \text{Type}),$   
 $(\forall (x:A), C x x 1) \rightarrow$   
 $\forall (x y : A) (p : x = y), C x y p.$

Proof.

`path_induction. apply X.`

Defined.

Definition `Lemma231`  $\{A\} (P : A \rightarrow \text{Type}) (x y : A) (p : x = y) : P(x) \rightarrow P(y).$

Proof.

`intro. rewrite ← p. apply X.`

Defined.

Definition `Lemma3118`  $: \forall (A : \text{Type}) (a:A), \text{Contr } \{x:A \ \& \ a=x\}.$

Proof.

```
intros A a. ∃ (a; 1).
intro x. destruct x as [x p]. path_induction. reflexivity.
```

Defined.

Definition `ind'` ( $A : \text{Type}$ ) :  $\forall (a : A) (C : \forall (x:A), a = x \rightarrow \text{Type}),$   
 $C a 1 \rightarrow \forall (x:A) (p:a=x), C x p.$

Proof.

```
intros.
assert (H : (Contr {x:A & a=x})). apply Lemma3118.
change (C x p) with ((fun c => C c.1 c.2) (x; p)).
apply (Lemma231 _ (a; 1) (x; p)).
transitivity (center {x : A & a = x}). destruct H as [[a' p'] z]. simpl.
rewrite <- p'. reflexivity.
destruct H as [[a' p'] z]. simpl. rewrite <- p'. rewrite <- p. reflexivity.
apply X.
```

Defined.

End `ex7`.

End `Ex7`.

**Exercise 1.8 (p. 56)** Define multiplication and exponentiation using  $\text{rec}_{\mathbb{N}}$ . Verify that  $(\mathbb{N}, +, 0, \times, 1)$  is a semiring using only  $\text{ind}_{\mathbb{N}}$ .

Local Open Scope `nat_scope`.

**Solution** For multiplication, we need to construct a function  $\text{mult} : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$ . Defined with pattern-matching, we would have

$$\begin{aligned} \text{mult}(0, m) &::= 0 \\ \text{mult}(\text{succ}(n), m) &::= m + \text{mult}(n, m) \end{aligned}$$

so in terms of  $\text{rec}_{\mathbb{N}}$  we have

$$\text{mult} ::= \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \lambda n. 0, \lambda n. \lambda g. \lambda m. \text{add}(m, g(m)))$$

For exponentiation, we have the function  $\text{exp} : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$ , with the intention that  $\text{exp}(e, b) = b^e$ . In terms of pattern matching,

$$\begin{aligned} \text{exp}(0, b) &::= 1 \\ \text{exp}(\text{succ}(e), b) &::= \text{mult}(b, \text{exp}(e, b)) \end{aligned}$$

or, in terms of  $\text{rec}_{\mathbb{N}}$ ,

$$\text{exp} ::= \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \lambda n. 1, \lambda n. \lambda g. \lambda m. \text{mult}(m, g(m)))$$

In Coq, we can define these by

Fixpoint `plus` ( $n m : \text{nat}$ ) : `nat` :=

```
match n with
| 0 => m
| S p => S (p + m)
end
```

where `"n + m"` := (`plus n m`) : `nat_scope`.

```

Fixpoint mult (n m : nat) : nat :=
  match n with
  | 0 => 0
  | S p => m + (p × m)
  end

  where "n * m" := (mult n m) : nat_scope.

Fixpoint myexp (e b : nat) :=
  match e with
  | 0 => S 0
  | S e' => b × (myexp e' b)
  end.

```

To verify that  $(\mathbb{N}, +, 0, \times, 1)$  is a semiring, we need stuff from Chapter 2. In particular, we need the following properties of the identity. First, for all types  $A$  and  $x, y : A$ , we have the inversion mapping, with type

$$p \mapsto p^{-1} : (x = y) \rightarrow (y = x)$$

and such that  $\text{refl}_x^{-1} \equiv \text{refl}_x$  for each  $x : A$ . Second, for  $x, y, z : A$  we have concatenation:

$$p \mapsto q \mapsto p \cdot q : (x = y) \rightarrow (y = z) \rightarrow (x = z)$$

such that  $\text{refl}_x \cdot \text{refl}_x \equiv \text{refl}_x$  for any  $x : A$ . To show that  $(\mathbb{N}, +, 0, \times, 1)$  is a semiring, we need to verify that for all  $n, m, k : \mathbb{N}$ ,

- (i)  $\prod_{(n:\mathbb{N})} 0 + n = n = n + 0$
- (ii)  $\prod_{(n:\mathbb{N})} 0 \times n = 0 = n \times 0$ .
- (iii)  $\prod_{(n:\mathbb{N})} 1 \times n = n = n \times 1$
- (iv)  $\prod_{(n,m:\mathbb{N})} n + m = m + n$
- (v)  $\prod_{(n,m,k:\mathbb{N})} (n + m) + k = n + (m + k)$
- (vi)  $\prod_{(n,m,k:\mathbb{N})} (n \times m) \times k = n \times (m \times k)$
- (vii)  $\prod_{(n,m,k:\mathbb{N})} n \times (m + k) = (n \times m) + (n \times k)$
- (viii)  $\prod_{(n,m,k:\mathbb{N})} (n + m) \times k = (n \times k) + (m \times k)$

For (i)–(iii), we show each equality separately and then use concatenation to show the implicit third equality. We dream of next chapter, where we obtain the function `ap`.

- (i) For all  $n : \mathbb{N}$ , we have

$$0 + n \equiv \text{add}(0, n) \equiv n$$

so  $\text{refl} : \prod_{(n:\mathbb{N})} 0 + n = n$ . For the other equality we'll need induction on  $n$ . For the base case, we have

$$0 + 0 \equiv \text{add}(0, 0) \equiv 0.$$

so  $\text{refl}_0 : 0 = 0 + 0$ . Fix  $n$  and suppose for the induction step that  $p_n : n = n + 0$ . Then we have

$$\text{succ}(n) + 0 \equiv \text{add}(\text{succ}(n), 0) \equiv \text{succ}(\text{add}(n, 0))$$

so we turn again to based path induction, with the family

$$C : \prod_{m:\mathbb{N}} (n = m) \rightarrow \mathcal{U} \qquad C(m, p) := (\text{succ}(n) = \text{succ}(m))$$

and the element  $\text{refl}_{\text{succ}(n)} : C(n, \text{refl}_n)$ . So we have

$$\text{ind}'_{=} (n, C, \text{refl}_{\text{succ}(n)}, \text{refl}_n, \text{add}(n, 0), p_n) : \text{succ}(n) = \text{succ}(\text{add}(n, 0))$$

and discharging our induction step gives

$$q \equiv \text{ind}_{\mathbb{N}}(\lambda n. (n = n + 0), \text{refl}_0, \lambda n. \text{ind}'_{=} (n, C, \text{refl}_{\text{succ}(n)}, \text{refl}_n, \text{add}(n, 0))) : \prod_{n:\mathbb{N}} (n = n + 0)$$

For the final equality, we use concatenation. From  $\text{refl}_n : 0 + n = n$  and  $q_n : n = n + 0$ , we have  $\text{refl}_n \cdot q_n : 0 + n = n + 0$ .

(ii) For all  $n : \mathbb{N}$ ,

$$0 \times n \equiv \text{mult}(0, n) \equiv 0$$

so  $\lambda n. \text{refl}_0 : \prod_{(n:\mathbb{N})} 0 \times n = 0$ . For the other direction, induction on  $n$ . The base case is

$$0 \times 0 = \text{mult}(0, 0) = 0$$

so  $\text{refl}_0 : 0 = 0 \times 0$ . Fixing  $n$  and supposing for the induction step that  $p_n : 0 = n \times 0$ , we have

$$\text{mult}(\text{succ}(n), 0) \equiv 0 + \text{mult}(n, 0) \equiv \text{add}(0, \text{mult}(n, 0)) \equiv \text{mult}(n, 0)$$

so  $p_n : 0 = \text{succ}(n) \times 0$ . Thus

$$q \equiv \text{ind}_{\mathbb{N}}(\lambda n. (0 = n \times 0), \text{refl}_0, \lambda n. \text{id}_{n=n \times 0}) : \prod_{n:\mathbb{N}} (n = n \times 0).$$

And again,  $\text{refl}_0 \cdot q_n : 0 \times n = n \times 0$  gives us the last equality.

(iii) For all  $n : \mathbb{N}$ ,

$$1 \times n \equiv \text{succ}(0) \times n \equiv n + (0 \times n) \equiv n + 0$$

so, recalling  $q_n$  from (i), we have  $\text{refl}_{1 \times n} \cdot q_n^{-1} : 1 \times n = n$ . For the other direction, we proceed by induction on  $n$ . For the base case we have

$$0 \times 1 \equiv \text{mult}(0, 1) \equiv 0$$

so  $\text{refl}_0 : 0 = 0 \times 1$ . Fixing  $n$  and supposing for induction that  $p_n : n = n \times 1$ , we have

$$\text{mult}(\text{succ}(n), 1) \equiv 1 + \text{mult}(n, 1) \equiv \text{succ}(0) + \text{mult}(n, 1) \equiv \text{succ}(n \times 1)$$

So we turn to based path induction again. Let  $C(m) = \text{succ}(n) = \text{succ}(m)$ ; then

$$\text{ind}'_{=} (n, C, \text{refl}_{\text{succ}(n)}, n \times 1, p_n) : \text{succ}(n) = \text{succ}(n \times 1)$$

and

$$r \equiv \text{ind}_{\mathbb{N}}(\lambda n. (n = n \times 1), \text{refl}_0, \lambda n. \text{ind}'_{=} (n, C, \text{refl}_{\text{succ}(n)}, n \times 1)) : \prod_{n:\mathbb{N}} (n = n \times 1)$$

For the third equality, finally,  $\text{refl}_{1 \times n} \cdot q_n^{-1} \cdot r_n : 1 \times n = n \times 1$ .

(iv) We first prove an auxiliary lemma by induction:  $\prod_{(n,m:\mathbb{N})} \text{succ}(n + m) = n + \text{succ}(m)$ . For the base case, we have  $\text{succ}(0 + m) \equiv \text{succ}(m) \equiv 0 + \text{succ}(m)$ , so  $\text{refl}_{\text{succ}(m)} : \text{succ}(0 + m) = 0 + \text{succ}(m)$ . Fix  $n : \mathbb{N}$ , and suppose for induction that  $p_n : \text{succ}(n + m) = n + \text{succ}(m)$ . Then

$$\text{succ}(\text{succ}(n) + m) \equiv \text{succ}(\text{succ}(n + m))$$

and based path induction on  $C(m) := \text{succ}(\text{succ}(n + m)) = \text{succ}(m)$  gives

$$\text{ind}'_{=}(\text{succ}(n + m), C, \text{refl}_{\text{succ}(\text{succ}(n+m))}, n + \text{succ}(m), p_n) : \text{succ}(\text{succ}(n + m)) = \text{succ}(n + \text{succ}(m))$$

so letting  $D(n) := \prod_{(m:\mathbb{N})} (\text{succ}(n + m) = n + \text{succ}(m))$ ,

$$r := \text{ind}_{\mathbb{N}}(D, \text{refl}_{\text{succ}(m)}, \lambda n. \text{ind}'_{=}(\text{succ}(n + m), C, \text{refl}_{\text{succ}(\text{succ}(n+m))}, n + \text{succ}(m))) : \prod_{n:\mathbb{N}} D(n)$$

We now proceed by induction on  $n$  to show (iv). For the base case, recalling  $q_n$  from (i), we have  $\text{refl}_m \cdot q_m : 0 + m = m + 0$ . Fixing  $n$  and supposing for induction that  $p_n : n + m = m + n$ , we have

$$\text{succ}(n) + m \equiv \text{succ}(n + m)$$

We then apply based path induction on  $E(k) := \text{succ}(n + m) = \text{succ}(k)$  to obtain

$$\text{ind}'_{=}(n + m, E, \text{refl}_{\text{succ}(n+m)}, m + n, p_n) : \text{succ}(n) + m = \text{succ}(m + n)$$

$$\text{ind}'_{=}(n + m, E, \text{refl}_{\text{succ}(n+m)}, m + n, p_n) \cdot r_{m,n} : \text{succ}(n) + m = m + \text{succ}(n)$$

and, finally, for the family  $F(n) = n + m = m + n$ ,

$$\text{ind}_{\mathbb{N}}(F, \text{refl}_m \cdot q_m, \lambda n. \lambda p. (\text{ind}'_{=}(n + m, E, \text{refl}_{\text{succ}(n+m)}, m + n, p) \cdot r_{m,n})) : \prod_{n:\mathbb{M}} n + m = m + n$$

Abstracting out the  $m$  gives us (iv).

(v) Fix  $m$  and  $k$ . We proceed by induction on  $n$ . For the base case,

$$(0 + m) + k \equiv m + k \equiv 0 + (m + k)$$

By the definition of add. Fix  $n$ , and suppose that  $p_n : (n + m) + k = n + (m + k)$ . We have

$$(\text{succ}(n) + m) + k \equiv \text{succ}(n + m) + k \equiv \text{succ}((n + m) + k)$$

So based path induction on  $C(\ell) = \text{succ}((n + m) + k) = \text{succ}(\ell)$  gives

$$\text{ind}'_{=}((n + m) + k, C, \text{refl}_{\text{succ}((n+m)+k)}, n + (m + k), p_n) : \text{succ}((n + m) + k) = \text{succ}(n + (m + k))$$

which is equivalently the type  $(\text{succ}(n) + m) + k = \text{succ}(n) + (m + k)$ . So induction over  $D(n) = (n + m) + k = n + (m + k)$  gives

$$\text{ind}_{\mathbb{N}}(D, \text{refl}_{(0+m)+k}, \lambda n. \lambda p. \text{ind}'_{=}((n + m) + k, C, \text{refl}_{\text{succ}((n+m)+k)}, n + (m + k), p)) : \prod_{n:\mathbb{N}} D(n)$$

and abstracting out the  $m$  and  $k$  gives us (v).

(vi) Fix  $m$  and  $k$ . First an auxiliary lemma; we show that  $(n + m) \times k = (n \times k) + (m \times k)$  by induction on  $n$ . For the base case,

$$(0 + m) \times k \equiv m \times k \equiv 0 + (m \times k) \equiv (0 \times k) + (m \times k)$$

Now fix  $n$  and suppose that  $p_n : (n + m) \times k = n \times k + m \times k$ .

$$(\text{succ}(n) + m) \times k \equiv \text{succ}(n + m) \times k \equiv k + (n + m) \times k$$

and

$$\text{succ}(n) \times k + m \times k \equiv (k + n \times k) + m \times k$$

Using based path induction over  $C(\ell) := k + (n + m) \times k = k + \ell$ , we get

$$\text{ind}'_{=}((n + m) \times k, C, \text{refl}_{k+(n+m) \times k}, n \times k + m \times k, p_n) : k + (n + m) \times k = k + (n \times k + m \times k)$$

We established in (v) that addition is associative, so we have some

$$r_{k, n \times k, m \times k}^{-1} : k + (n \times k + m \times k) = (k + n \times k) + m \times k$$

and concatenating this with the result of the based path induction gives something of type

$$k + (n + m) \times k = (k + n \times k) + m \times k$$

Our two strings of judgemental equalities mean that this is the same as the type

$$(\text{succ}(n) + m) \times k = \text{succ}(n) \times k + m \times k.$$

So we can now perform the induction over  $D(\ell) = (n + m) \times k = n \times k + m \times k$  to obtain

$$\text{ind}_{\mathbb{N}}(D, \text{refl}_{(0+m) \times k}, \lambda n. \lambda p. (\text{ind}'_{=}((n + m) \times k, C, \text{refl}_{k+(n+m) \times k}, n \times k + m \times k, p_n) \cdot r_{k, n \times k, m \times k}^{-1}))$$

which is of type

$$\prod_{n:\mathbb{N}} (n + m) \times k = n \times k + m \times k$$

abstracting out the  $m$  and  $k$  give the final result (i.e., that multiplication on the right distributes over addition).

Now, for (vi). As always, it's induction on  $n$ . For the base case

$$(0 \times m) \times k \equiv 0 \times k \equiv 0 \equiv 0 \times (m \times k)$$

Now fix  $n$  and assume that  $p_n : (n \times m) \times k = n \times (m \times k)$ . We have

$$(\text{succ}(n) \times m) \times k \equiv (m + n \times m) \times k$$

and

$$\text{succ}(n) \times (m \times k) \equiv m \times k + n \times (m \times k)$$

From our lemma, then, there is a function

$$q : \prod_{n:\mathbb{N}} (\text{succ}(n) \times m) \times k = m \times k + (n \times m) \times k$$

we use based path induction over  $E(\ell) := m \times k + \ell$  to obtain

$$\text{ind}'_{=}((n \times m) \times k, E, \text{refl}_{m \times k + (n \times m) \times k}, n \times (m \times k), p_n) : m \times k + (n \times m) \times k = m \times k + n \times (m \times k)$$

which, concatenated with  $q_n$  and altered by the second judgemental equality, gives something of type

$$(\text{succ}(n) \times m) \times k = \text{succ}(n) \times (m \times k)$$

So our induction principle over  $F(\ell) := (n \times m) \times k = n \times (m \times k)$  gives

$$\text{ind}_{\mathbb{N}}(F, \text{refl}_{(0 \times m) \times k}, \lambda n. \lambda p. (q_n \cdot \text{ind}'_{=}((n \times m) \times k, E, \text{refl}_{m \times k + (n \times m) \times k}, n \times (m \times k), p_n)))$$

of type

$$\prod_{n:\mathbb{N}} (n \times m) \times k = n \times (m \times k)$$

and abstracting out the  $m$  and  $k$  gives (vi).

(vii) Fix  $m$  and  $k$ . We proceed by induction on  $n$ . For the base case we have

$$0 \times (m + k) \equiv 0 \equiv 0 + 0 \equiv (0 \times m) + (0 \times k)$$

So fix  $n : \mathbb{N}$  and suppose that  $p_n : n \times (m + k) = (n \times m) + (n \times k)$ . We have

$$\text{succ}(n) \times (m + k) \equiv (m + k) + n \times (m + k)$$

and

$$(\text{succ}(n) \times m) + (\text{succ}(n) \times k) \equiv (m + n \times m) + (k + n \times k)$$

Now by (iv) and (v) we have the following two functions

$$q : \prod_{n,m:\mathbb{N}} n + m = m + n \qquad r : \prod_{n,m,k:\mathbb{N}} (n + m) + k = n + (m + k)$$

A long chain of based path inductions allows us to construct an object of type

$$(\text{succ}(n) \times m) + (\text{succ}(n) \times k) = (m + k) + (n \times m + n \times k)$$

In the interest of masochism, I'll do them explicitly. We start with

$$r_1 := r_{m,n \times m, k + n \times k} : (m + n \times m) + (k + n \times k) = m + (n \times m + (k + n \times k))$$

Based path induction over  $C_1(\ell) := m + (n \times m + (k + n \times k)) = m + \ell$  and using

$$r_2 := r_{n \times m, k, n \times k} : n \times m + (k + n \times k) = (n \times m + k) + n \times k$$

gives

$$\langle r_2 \rangle := \text{ind}'_{=} (n \times m + (k + n \times k), C_1, \text{refl}_{m + (n \times m + (k + n \times k))}, (n \times m + k) + n \times k, r_2)$$

which results in

$$r_1 \cdot \langle r_2 \rangle : (m + n \times m) + (k + n \times k) = m + ((n \times m + k) + n \times k)$$

Next consider

$$q_1 := q_{n \times m, k} : n \times m + k = k + n \times m$$

which is passed through a based path induction on  $C_2(\ell) := m + ((n \times m + k) + n \times k) = m + (\ell + n \times k)$  to get

$$\langle q_1 \rangle := \text{ind}'_{=} (n \times m + k, C_2, \text{refl}_{m + ((n \times m + k) + n \times k)}, k + n \times m, q_1)$$

which adds to our chain, giving

$$r_1 \cdot \langle r_2 \rangle \cdot \langle q_1 \rangle : (m + n \times m) + (k + n \times k) = m + ((k + n \times m) + n \times k)$$

Now just two applications of associativity are left. We have

$$r_3 := r_{k, n \times m, n \times k} : (k + n \times m) + n \times k = k + (n \times m + n \times k)$$

so for  $C_3(\ell) := m + ((k + n \times m) + n \times k) = m + \ell$ , we have

$$\langle r_3 \rangle := \text{ind}'_{=} ((k + n \times m) + n \times k, C_3, \text{refl}_{m + ((k + n \times m) + n \times k)}, k + (n \times m + n \times k), r_3)$$

making our chain of type

$$r_1 \cdot \langle r_2 \rangle \cdot \langle q_1 \rangle \cdot \langle r_3 \rangle : (m + n \times m) + (k + n \times k) = m + (k + (n \times m + n \times k))$$

Finally, take

$$r_4 := r_{m,k,n \times m + n \times k}^{-1} : m + (k + (n \times m + n \times k)) = (m + k) + (n \times m + n \times k)$$

so after applying the last judgemental equality above, we have

$$f := r_1 \cdot \langle r_2 \rangle \cdot \langle q_1 \rangle \cdot \langle r_3 \rangle \cdot r_4 : (\text{succ}(n) \times m) + (\text{succ}(n) \times k) = (m + k) + (n \times m + n \times k)$$

Now, consider the family  $D(\ell) : \equiv (m + k) + n \times (m + k) = (m + k) + \ell$ . Based path induction once more gives us

$$\text{ind}'_{=} (n \times (m + k), D, \text{refl}_{(m+k)+n \times (m+k)}, n \times m + n \times k, p_n) \cdot f^{-1}$$

which, after application of our judgemental equalities, is of type

$$\text{succ}(n) \times (m + k) = (\text{succ}(n) \times m) + (\text{succ}(n) \times k)$$

So we can at last apply induction over  $\mathbb{N}$ , using the family  $E(n) : n \times (m + k) = (n \times m) + (n \times k)$ , giving

$$\text{ind}_{\mathbb{N}} (E, \text{refl}_{0 \times (m+k)}, \lambda n. \lambda p. (\text{ind}'_{=} (n \times (m + k), D, \text{refl}_{(m+k)+n \times (m+k)}, n \times m + n \times k, p) \cdot f^{-1}))$$

which is of type

$$\prod_{n:\mathbb{N}} n \times (m + k) = (n \times m) + (n \times k)$$

and  $m$  and  $k$  may be abstracted out to give (vii).

(viii) This was shown as a lemma in proving (vi).

In Coq we'll do things a touch out of order, so as to appeal to (viii) in the proof of (vi).

**Theorem** `plus_0_r` :  $\forall (n : \text{nat}), n = \text{plus } n \ 0$ .

**Proof.**

induction  $n$ . reflexivity. apply (ap S IHn).

**Defined.**

**Theorem** `ex1_8.i` :  $\forall (n : \text{nat}),$   
 $(0 + n = n) \wedge (n = n + 0) \wedge (0 + n = n + 0)$ .

**Proof.**

split; [ | split; rewrite  $\leftarrow$  `plus_0_r`; reflexivity.

**Qed.**

**Theorem** `mult_0_r` :  $\forall (n : \text{nat}), 0 = n \times 0$ .

**Proof.**

induction  $n$ ; [ | simpl; rewrite  $\leftarrow$  IHn; reflexivity.

**Qed.**

**Theorem** `ex1_8.ii` :  $\forall (n : \text{nat}),$   
 $(0 \times n = 0) \wedge (0 = n \times 0) \wedge (0 \times n = n \times 0)$ .

**Proof.**

split; [ | split; rewrite  $\leftarrow$  `mult_0_r`; reflexivity.

**Qed.**

**Theorem** `mult_1_r` :  $\forall (n : \text{nat}), n = n \times 1$ .



Proof.  
 induction  $n$ ; [ | simpl; rewrite  $\leftarrow IHn$  ]; reflexivity.  
 Qed.

Theorem `mult_1_l` :  $\forall (n : \text{nat}), 1 \times n = n$ .  
 Proof.  
 simpl; intro  $n$ ; rewrite  $\leftarrow \text{plus\_O\_r}$ ; reflexivity.  
 Qed.

Theorem `ex1_8_iii` :  $\forall (n : \text{nat}),$   
 $(1 \times n = n) \wedge (n = n \times 1) \wedge (1 \times n = n \times 1)$ .  
 Proof.  
 split; [rewrite `mult_1_l`  
 | split; rewrite  $\leftarrow \text{mult\_1\_r}$ ;  
 [ | rewrite `mult_1_l` ]];  
 reflexivity.  
 Qed.

Theorem `plus_n_Sm` :  $\forall (n\ m : \text{nat}), S\ (n + m) = n + (S\ m)$ .  
 Proof.  
 intros  $n\ m$ .  
 induction  $n$ . reflexivity.  
 simpl. apply (ap `S`). apply `IHn`.  
 Defined.

Theorem `plus_comm` :  $\forall (n\ m : \text{nat}), n + m = m + n$ .  
 Proof.  
 intros  $n\ m$ .  
 induction  $n$ . apply `plus_O_r`.  
 refine ( \_ @ (`plus_n_Sm` \_ \_ ) ). apply (ap `S` `IHn`).  
 Defined.

Theorem `plus_assoc` :  $\forall (n\ m\ k : \text{nat}),$   
 $(n + m) + k = n + (m + k)$ .  
 Proof.  
 intros  $n\ m\ k$ .  
 induction  $n$ ; [ | simpl; rewrite `IHn` ]; reflexivity.  
 Qed.

Theorem `ex1_8_viii` :  $\forall (n\ m\ k : \text{nat}),$   
 $(n + m) \times k = (n \times k) + (m \times k)$ .  
 Proof.  
 intros  $n\ m\ k$ .  
 induction  $n$ . reflexivity. simpl.  
 refine ( \_ @ (`plus_assoc` \_ \_ ^ ) ).  
 apply (ap (`plus`  $k$ ) `IHn`).  
 Defined.

Theorem `ex1_8_vi` :  $\forall (n\ m\ k : \text{nat}),$   
 $(n \times m) \times k = n \times (m \times k)$ .  
 Proof.  
 intros  $n\ m\ k$ .  
 induction  $n$ ; [ | simpl; rewrite  $\leftarrow IHn$ ; rewrite  $\leftarrow \text{ex1_8_viii}$  ]; reflexivity.  
 Qed.

Theorem `ex1_8_vii` :  $\forall (n\ m\ k : \text{nat}),$   
 $n \times (m + k) = (n \times m) + (n \times k)$ .

Proof.

```

intros n m k.
induction n. reflexivity.
simpl.
refine (_ @ (plus_assoc _ _ _)^).
refine ((plus_assoc _ _ _) @ _). apply (ap (plus m)).
refine (_ @ (plus_comm _ _)).
refine (_ @ (plus_assoc _ _ _)^).
apply (ap (plus k)). refine (IHn @ _). apply plus_comm.

```

Defined.

Local Close Scope nat\_scope.

**Exercise 1.9 (p. 56)** Define the type family  $\text{Fin} : \mathbb{N} \rightarrow \mathcal{U}$  mentioned at the end of §1.3, and the dependent function  $\text{fmax} : \prod_{(n:\mathbb{N})} \text{Fin}(n+1)$  mentioned in §1.4.

**Solution**  $\text{Fin}(n)$  is a type with exactly  $n$  elements. Consider  $\text{Fin}(n)$  from the types-as-propositions point of view:  $\text{Fin}(n)$  is a predicate that applies to exactly  $n$  elements. Recalling that  $\sum_{(m:\mathbb{N})} (m < n)$  may be regarded as “the type of all elements  $m : \mathbb{N}$  such that  $(m < n)$ ”, we note that there are  $n$  such elements, and define

$$\text{Fin}(n) := \sum_{m:\mathbb{N}} (m < n) \equiv \sum_{m:\mathbb{N}} \sum_{k:\mathbb{N}} (m + \text{succ}(k) = n)$$

And in Coq,

Local Open Scope nat\_scope.

**Definition** `le`  $(n\ m : \text{nat}) : \text{Type} := \{k : \text{nat} \ \& \ n + k = m\}$ .

**Notation** “ $n \leq m$ ” := `(le n m)%nat` (at level 70) : `nat_scope`.

**Definition** `lt`  $(n\ m : \text{nat}) : \text{Type} := \{k : \text{nat} \ \& \ n + S\ k = m\}$ .

**Notation** “ $n < m$ ” := `(lt n m)%nat` (at level 70) : `nat_scope`.

**Definition** `Fin`  $(n:\text{nat}) : \text{Type} := \{m:\text{nat} \ \& \ m < n\}$ .

To define `fmax`, note that one can think of an element of  $\text{Fin}(n)$  as a tuple  $(m, (k, p))$ , where  $p : m + \text{succ}(k) = n$ . The maximum element of  $\text{Fin}(n+1)$  will have the greatest value in the first slot, so

$$\text{fmax}(n) := n_{n+1} := (n, (0, \text{refl}_{n+1})) : \sum_{(m:\mathbb{N})} \sum_{(k:\mathbb{N})} (m + \text{succ}(k) = n+1) \equiv \text{Fin}(n+1)$$

**Definition** `fmax`  $(n:\text{nat}) : \text{Fin}(n+1) := (n; (0; \text{idpath}))$ .

To verify that this definition is correct, we need to show that

$$\prod_{(n:\mathbb{N})} \prod_{(m_{n+1}:\text{Fin}(n+1))} (\text{pr}_1(m_{n+1}) \leq \text{pr}_1(\text{fmax}(n)))$$

is inhabited. Unfolding this a bit, we get

$$\prod_{(n:\mathbb{N})} \prod_{(m_{n+1}:\text{Fin}(n+1))} (m \leq n) \equiv \prod_{(n:\mathbb{N})} \prod_{(m_{n+1}:\text{Fin}(n+1))} \sum_{(k:\mathbb{N})} (m + k = n)$$

Fix some such  $n$  and  $m_{n+1}$ . By the induction principle for  $\Sigma$ -types, we can write  $m_{n+1} = (m^1, (m^2, m^3))$ , where  $m^3 : m^1 + \text{succ}(m^2) = n+1$ . Using the results of the previous exercise, we can obtain from  $m^3$  a proof  $p : m^1 + m^2 = n$ . So  $(m^2, p)$  is a witness to our result.

**Definition** `pred`  $(n : \text{nat}) : \text{nat} :=$

```

match n with
| O ⇒ O
| S n' ⇒ n'
end.
Theorem S_inj : ∀ (n m : nat), S n = S m → n = m.
Proof.
  intros.
  change n with (pred (S n)). change m with (pred (S m)).
  apply (ap pred). apply H.
Defined.
Theorem plus_1_r : ∀ n, S n = n + 1.
Proof.
  intros. rewrite plus_comm. reflexivity.
Qed.
Theorem fmax_correct : ∀ (n:nat) (m:Fin(n+1)),
                        m . 1 ≤ (fmax n) . 1.
Proof.
  unfold Fin, lt, le. intros. simpl.
  ∃ m. 2.1.
  apply S_inj. rewrite plus_n_Sm.
  rewrite m. 2.2.
  symmetry.
  apply plus_1_r.
Qed.
Local Close Scope nat_scope.

```

**Exercise 1.10 (p. 56)** Show that the Ackermann function  $\text{ack} : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$ , satisfying the following equations

$$\begin{aligned}
\text{ack}(0, n) &\equiv \text{succ}(n), \\
\text{ack}(\text{succ}(m), 0) &\equiv \text{ack}(m, 1), \\
\text{ack}(\text{succ}(m), \text{succ}(n)) &\equiv \text{ack}(m, \text{ack}(\text{succ}(m), n)),
\end{aligned}$$

is definable using only  $\text{rec}_{\mathbb{N}}$ .

**Solution**  $\text{ack}$  must be of the form

$$\text{ack} := \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \Phi, \Psi)$$

with

$$\Phi : \mathbb{N} \rightarrow \mathbb{N} \quad \Psi : \mathbb{N} \rightarrow (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow (\mathbb{N} \rightarrow \mathbb{N})$$

which we can determine by their intended behaviour. We have

$$\text{ack}(0, n) \equiv \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \Phi, \Psi, 0)(n) \equiv \Phi(n)$$

So we must have  $\Phi := \text{succ}$ , which is of the correct type. The next equation gives us

$$\begin{aligned}
\text{ack}(\text{succ}(m), 0) &\equiv \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \text{succ}, \Psi, \text{succ}(m))(0) \\
&\equiv \Psi(m, \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \text{succ}, \Psi, m))(0) \\
&\equiv \Psi(m, \text{ack}(m, -), 0)
\end{aligned}$$

Suppose that  $\Psi$  is also defined in terms of  $\text{rec}_{\mathbb{N}}$ . We know its signature, giving the first arg, and this second equation gives its behavior on 0, the second arg. So it must be of the form

$$\Psi = \lambda m. \lambda r. \text{rec}_{\mathbb{N}}(\mathbb{N}, r(1), \Theta(m, r)) \quad \Theta : \mathbb{N} \rightarrow (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$$

The final equation fixes  $\Theta$ :

$$\begin{aligned} & \text{ack}(\text{succ}(m), \text{succ}(n)) \\ & \equiv \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \text{succ}, \lambda m. \lambda r. \text{rec}_{\mathbb{N}}(\mathbb{N}, r(1), \Theta(m, r)), \text{succ}(m))(\text{succ}(n)) \\ & \equiv \text{rec}_{\mathbb{N}}(\mathbb{N}, \text{ack}(m, 1), \Theta(m, \text{ack}(m, -)), \text{succ}(n)) \\ & \equiv \Theta(m, \text{ack}(m, -), n, \text{rec}_{\mathbb{N}}(\mathbb{N}, \text{ack}(m, 1), \Theta(m, \text{ack}(m, -)), n)) \\ & \equiv \Theta(m, \text{ack}(m, -), n, \Psi(m, \text{ack}(m, -), n)) \end{aligned}$$

Looking at the second equation again suggests that the final argument to  $\Theta$  is really  $\text{ack}(\text{succ}(m), n)$ . Supposing this is true,

$$\Theta := \lambda m. \lambda r. \lambda n. \lambda s. r(s)$$

should work. Putting it all together, we have

$$\text{ack} := \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \text{succ}, \lambda m. \lambda r. \text{rec}_{\mathbb{N}}(\mathbb{N}, r(1), \lambda n. \lambda s. r(s)))$$

In Coq, we define

```
Definition ack : nat → nat → nat :=
  nat_rect (fun _ => nat → nat)
    S
    (fun m r => nat_rect (fun _ => nat)
      (r (S 0))
      (fun n s => (r s))).
```

Now, to show that the three equations hold, we just calculate

$$\text{ack}(0, n) \equiv \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \text{succ}, \lambda m. \lambda r. \text{rec}_{\mathbb{N}}(\mathbb{N}, r(1), \lambda n. \lambda s. r(s)), 0)(n) \equiv \text{succ}(n)$$

for the first,

$$\begin{aligned} \text{ack}(\text{succ}(m), 0) & \equiv \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \text{succ}, \lambda m. \lambda r. \text{rec}_{\mathbb{N}}(\mathbb{N}, r(1), \lambda n. \lambda s. r(s)), \text{succ}(m))(0) \\ & \equiv \text{rec}_{\mathbb{N}}(\mathbb{N}, \text{ack}(m, 1), \lambda n. \lambda s. \text{ack}(m, s), 0) \\ & \equiv \text{ack}(m, 1) \end{aligned}$$

for the second, and finally

$$\begin{aligned} \text{ack}(\text{succ}(m), \text{succ}(n)) & \equiv \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \text{succ}, \lambda m. \lambda r. \text{rec}_{\mathbb{N}}(\mathbb{N}, r(1), \lambda n. \lambda s. r(s)), \text{succ}(m))(\text{succ}(n)) \\ & \equiv \text{rec}_{\mathbb{N}}(\mathbb{N}, \text{ack}(m, 1), \lambda n. \lambda s. \text{ack}(m, s), \text{succ}(n)) \\ & \equiv \text{ack}(m, \text{rec}_{\mathbb{N}}(\mathbb{N}, \text{ack}(m, 1), \lambda n. \lambda s. \text{ack}(m, s), n)) \end{aligned}$$

Focus on the second argument of the outer ack. We have

$$\begin{aligned} \text{ack}(\text{succ}(m), n) & \equiv \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \text{succ}, \lambda m. \lambda r. \text{rec}_{\mathbb{N}}(\mathbb{N}, r(1), \lambda n. \lambda s. r(s)), \text{succ}(m))(n) \\ & \equiv \text{rec}_{\mathbb{N}}(\mathbb{N}, \text{ack}(m, 1), \lambda n. \lambda s. \text{ack}(m, s), n) \end{aligned}$$

and so we may substitute it back in to get

$$\text{ack}(\text{succ}(m), \text{succ}(n)) \equiv \text{ack}(m, \text{ack}(\text{succ}(m), n))$$

which is the third equality. In Coq,

```
Goal ∀ n, ack 0 n = S n. auto. Qed.
Goal ∀ m, ack (S m) 0 = ack m (S 0). auto. Qed.
Goal ∀ m n, ack (S m) (S n) = ack m (ack (S m) n). auto. Qed.
Close Scope nat_scope.
```

**Exercise 1.11 (p. 56)** Show that for any type  $A$ , we have  $\neg\neg\neg A \rightarrow \neg A$ .

**Solution** Suppose that  $\neg\neg\neg A$  and  $A$ . Supposing further that  $\neg A$ , we get a contradiction with the second assumption, so  $\neg\neg A$ . But this contradicts the first assumption that  $\neg\neg\neg A$ , so  $\neg A$ . Discharging the first assumption gives  $\neg\neg\neg A \rightarrow \neg A$ .

In type-theoretic terms, the first assumption is  $x : ((A \rightarrow 0) \rightarrow 0) \rightarrow 0$ , and the second is  $a : A$ . If we further assume that  $h : A \rightarrow 0$ , then  $h(a) : 0$ , so discharging the  $h$  gives

$$\lambda(h : A \rightarrow 0). h(a) : (A \rightarrow 0) \rightarrow 0$$

But then we have

$$x(\lambda(h : A \rightarrow 0). h(a)) : 0$$

so discharging the  $a$  gives

$$\lambda(a : A). x(\lambda(h : A \rightarrow 0). h(a)) : A \rightarrow 0$$

And discharging the first assumption gives

$$\lambda(x : ((A \rightarrow 0) \rightarrow 0) \rightarrow 0). \lambda(a : A). x(\lambda(h : A \rightarrow 0). h(a)) : (((A \rightarrow 0) \rightarrow 0) \rightarrow 0) \rightarrow (A \rightarrow 0)$$

**Goal**  $\forall A, \neg\neg\neg A \rightarrow \neg A$ . **auto. Qed.**

We can get a proof out of Coq by printing this **Goal**. It returns

```
fun (A : Type) (X :  $\neg\neg\neg A$ ) (X0 : A)  $\Rightarrow$  X (fun X1 : A  $\rightarrow$  Empty  $\Rightarrow$  X1 X0)
:  $\forall A : \text{Type}, \neg\neg\neg A \rightarrow \neg A$ 
```

which is just the function obtained by hand.

**Exercise 1.12 (p. 56)** Using the propositions as types interpretation, derive the following tautologies.

- (i) If  $A$ , then (if  $B$  then  $A$ ).
- (ii) If  $A$ , then not (not  $A$ ).
- (iii) If (not  $A$  or not  $B$ ), then not ( $A$  and  $B$ ).

**Section Ex12.**

**Context**  $(A B : \text{Type})$ .

**Solution** (i) Suppose that  $A$  and  $B$ ; then  $A$ . Discharging the assumptions,  $A \rightarrow B \rightarrow A$ . That is, we have

$$\lambda(a : A). \lambda(b : B). a : A \rightarrow B \rightarrow A$$

and in Coq,

**Goal**  $A \rightarrow B \rightarrow A$ . **trivial. Qed.**

(ii) Suppose that  $A$ . Supposing further that  $\neg A$  gives a contradiction, so  $\neg\neg A$ . That is,

$$\lambda(a : A). \lambda(f : A \rightarrow 0). f(a) : A \rightarrow (A \rightarrow 0) \rightarrow 0$$

**Goal**  $A \rightarrow \neg\neg A$ . **auto. Qed.**

(iii) Finally, suppose  $\neg A \vee \neg B$ . Supposing further that  $A \wedge B$  means that  $A$  and that  $B$ . There are two cases. If  $\neg A$ , then we have a contradiction; but also if  $\neg B$  we have a contradiction. Thus  $\neg(A \wedge B)$ .

Type-theoretically, we assume that  $x : (A \rightarrow \mathbf{0}) + (B \rightarrow \mathbf{0})$  and  $z : A \times B$ . Conjunction elimination gives  $\text{pr}_1 z : A$  and  $\text{pr}_2 z : B$ . We can now perform a case analysis. Suppose that  $x_A : A \rightarrow \mathbf{0}$ ; then  $x_A(\text{pr}_1 z) : \mathbf{0}$ , a contradiction; if instead  $x_B : B \rightarrow \mathbf{0}$ , then  $x_B(\text{pr}_2 z) : \mathbf{0}$ . By the recursion principle for the coproduct, then,

$$f(z) := \text{rec}_{(A \rightarrow \mathbf{0}) + (B \rightarrow \mathbf{0})}(\mathbf{0}, \lambda x. x(\text{pr}_1 z), \lambda x. x(\text{pr}_2 z)) : (A \rightarrow \mathbf{0}) + (B \rightarrow \mathbf{0}) \rightarrow \mathbf{0}$$

Discharging the assumption that  $A \times B$  is inhabited, we have

$$f : A \times B \rightarrow (A \rightarrow \mathbf{0}) + (B \rightarrow \mathbf{0}) \rightarrow \mathbf{0}$$

So

$$\text{swap}(A \times B, (A \rightarrow \mathbf{0}) + (B \rightarrow \mathbf{0}), \mathbf{0}, f) : (A \rightarrow \mathbf{0}) + (B \rightarrow \mathbf{0}) \rightarrow A \times B \rightarrow \mathbf{0}$$

Goal  $(\neg A + \neg B) \rightarrow \neg (A \times B)$ .

Proof.

```
unfold not.
intros H x.
apply H.
destruct x.
constructor.
exact fst.
```

Qed.

End Ex12.

**Exercise 1.13 (p. 57)** Using propositions-as-types, derive the double negation of the principle of excluded middle, i.e. prove *not (not (P or not P))*.

Section Ex13.

Context  $(P : \text{Type})$ .

**Solution** Suppose that  $\neg(P \vee \neg P)$ . Then, assuming  $P$ , we have  $P \vee \neg P$  by disjunction introduction, a contradiction. Hence  $\neg P$ . But disjunction introduction on this again gives  $P \vee \neg P$ , a contradiction. So we must reject the remaining assumption, giving  $\neg\neg(P \vee \neg P)$ .

In type-theoretic terms, the initial assumption is that  $g : P + (P \rightarrow \mathbf{0}) \rightarrow \mathbf{0}$ . Assuming  $p : P$ , disjunction introduction results in  $\text{inl}(p) : P + (P \rightarrow \mathbf{0})$ . But then  $g(\text{inl}(p)) : \mathbf{0}$ , so we discharge the assumption of  $p : P$  to get

$$\lambda(p : P). g(\text{inl}(p)) : P \rightarrow \mathbf{0}$$

Applying disjunction introduction again leads to contradiction, as

$$g(\text{inr}(\lambda(p : P). g(\text{inl}(p)))) : \mathbf{0}$$

So we must reject the assumption of  $\neg(P \vee \neg P)$ , giving the result:

$$\lambda(g : P + (P \rightarrow \mathbf{0}) \rightarrow \mathbf{0}). g(\text{inr}(\lambda(p : P). g(\text{inl}(p)))) : (P + (P \rightarrow \mathbf{0}) \rightarrow \mathbf{0}) \rightarrow \mathbf{0}$$

Finally, in Coq,

Goal  $\neg\neg (P + \neg P)$ .

Proof.

```
unfold not.
intro H.
apply H.
```

```

right.
intro p.
apply H.
left.
apply p.
Qed.
End Ex13.

```

**Exercise 1.14 (p. 57)** Why do the induction principles for identity types not allow us to construct a function  $f : \prod_{(x:A)} \prod_{(p:x=x)} (p = \text{refl}_x)$  with the defining equation

$$f(x, \text{refl}_x) \equiv \text{refl}_{\text{refl}_x} \quad ?$$

**Solution** To attempt to define this function by path induction, we'd need a family

$$C \equiv \lambda x. \lambda y. \lambda p. (p = \text{refl}_{\text{refl}_x})$$

and a function

$$c : \prod_{x:A} (p = \text{refl}_{\text{refl}_x})$$

But there is not always such a function  $c$ , since it is not always the case that there is only one path in  $x = x$ . Because of the possibility of nontrivial homotopies, one might fail to have  $(p = p) = (p = \text{refl}_x)$ .

Attempting this proof in Coq would go as

```

Definition f : ∀ (A : Type) (x : A) (p : x = x), p = 1.
intros. path_induction.
exact 1.

```

which returns the error message

*The term "1" has type "p = p" while it is expected to have type "p = 1".*

**Exercise 1.15 (p. 57)** Show that indiscernability of identicals follows from path induction.

**Solution** Consider some family  $C : A \rightarrow \mathcal{U}$ , and define

$$D : \prod_{x,y:A} (x =_A y) \rightarrow \mathcal{U}, \quad D(x, y, p) \equiv C(x) \rightarrow C(y)$$

Note that we have the function

$$\lambda x. \text{id}_{C(x)} : \prod_{x:A} C(x) \rightarrow C(x) \equiv \prod_{x:A} D(x, x, \text{refl}_x)$$

So by path induction there is a function

$$f : \prod_{(x,y:A)} \prod_{(p:x=_A y)} D(x, y, p) \equiv \prod_{(x,y:A)} \prod_{(p:x=_A y)} C(x) \rightarrow C(y)$$

such that

$$f(x, x, \text{refl}_x) \equiv \text{id}_{C(x)}$$

But this is just the statement of the indiscernability of identicals: for every such family  $C$ , there is such an  $f$ .

## 2 Homotopy type theory

**Exercise 2.1 (p. 103)** Show that the three obvious proofs of Lemma 2.1.2 are pairwise equal.

**Solution** Lemma 2.1.2 states that for every type  $A$  and every  $x, y, z : A$ , there is a function

$$(x = y) \rightarrow (y = z) \rightarrow (x = z)$$

written  $p \mapsto q \mapsto p \cdot q$  such that  $\text{refl}_x \cdot \text{refl}_x = \text{refl}_x$  for all  $x : A$ . Each proof is an object  $\cdot_i$  of type

$$\cdot_i : \prod_{x, y, z : A} (x = y) \rightarrow (y = z) \rightarrow (x = z)$$

So we need to show that  $\cdot_1 = \cdot_2 = \cdot_3$ .

The first proof is induction over  $p$ . Consider the family

$$C_1(x, y, p) := \prod_{z : A} (y = x)(x = z)$$

we have

$$\lambda z. \lambda q. q : \left( \prod_{z : A} (x = z) \rightarrow (x = z) \right) \equiv C_1(x, x, \text{refl}_x)$$

So by path induction, there is a function

$$p \cdot_1 q : (x = z)$$

such that  $\text{refl}_x \cdot_1 q \equiv q$ .

For the shorter version, we say that by induction it suffices to consider the case where  $y$  is  $x$  and  $p$  is  $\text{refl}_x$ . Then given some  $q : x = z$ , we want to construct an element of  $x = z$ ; but this is just  $q$ , so induction gives us a function  $p \cdot_1 q : x = z$  such that  $\text{refl}_x \cdot_1 q \equiv q$ .

**Module** `ALTCATS`.

**Definition** `cat'`  $\{A : \text{Type}\} \{x \ y \ z : A\} (p : x = y) (q : y = z) : x = z$ .  
induction  $p$ . apply  $q$ .

**Defined.**

For the second, consider the family

$$C_2(y, z, q) := \prod_{x : A} (x = y) \rightarrow (x = z)$$

and element

$$\lambda z. \lambda p. p : \left( \prod_{z : A} (x = z) \rightarrow (x = z) \right) \equiv C_2(z, z, \text{refl}_z)$$

Induction gives us a function

$$p \cdot_2 q : (x = z)$$

such that

$$p \cdot_2 \text{refl}_z = \text{refl}_z$$

**Definition** `cat''`  $\{A : \text{Type}\} \{x \ y \ z : A\} (p : x = y) (q : y = z) : x = z$ .  
induction  $q$ . apply  $p$ .



Defined.

Finally, for  $\cdot_3$ , we have the construction from the text. Take the type families

$$D(x, y, p) := \prod_{z:A} (y = z) \rightarrow (x = z)$$

and

$$E(x, z, q) := (x = z)$$

Since  $E(x, x, \text{refl}_x) \equiv (x = x)$ , we have  $e(x) := \text{refl}_x : E(x, x, \text{refl}_x)$ , and induction gives us a function

$$d : \left( \prod_{(x,z:A)} \prod_{(q:x=z)} (x = z) \right) \equiv \prod_{x:A} D(x, x, \text{refl}_x)$$

So path induction again gives us a function

$$f : \prod_{x,y,z:A} (x = y) \rightarrow (y = z) \rightarrow (x = z)$$

Which we can write  $p \cdot_3 q : (x = z)$ . By the definitional equality of  $f$ , we have that  $\text{refl}_x \cdot q \equiv d(x)$ , and by the definitional equality of  $d$ , we have  $\text{refl}_x \cdot \text{refl}_x \equiv \text{refl}_x$ .

**Definition**  $\text{cat}''' \{A:\text{Type}\} \{x\ y\ z : A\} (p : x = y) (q : y = z) : x = z$ .  
induction  $p, q$ . reflexivity.

Defined.

Now, to show that  $p \cdot_1 q = p \cdot_2 q = p \cdot_3 q$ , which we will do by induction on  $p$  and  $q$ . For the first pair, we want to construct for every  $x, y, z : A$ ,  $p : x = y$ , and  $q : y = z$ , an element of  $p \cdot_1 q = p \cdot_2 q$ . By induction on  $p$ , it suffices to assume that  $y$  is  $x$  and  $p$  is  $\text{refl}_x$ ; similarly, by induction on  $q$  it suffices to assume that  $z$  is also  $x$  and  $q$  is  $\text{refl}_x$ . Then by the computation rule for  $\cdot_1$ ,  $\text{refl}_x \cdot_1 \text{refl}_x \equiv \text{refl}_x$ , and by the computation rule for  $\cdot_2$ ,  $\text{refl}_x \cdot_2 \text{refl}_x \equiv \text{refl}_x$ . Thus we have

$$\text{refl}_{\text{refl}_x} : (\text{refl}_x \cdot_1 \text{refl}_x = \text{refl}_x \cdot_2 \text{refl}_x)$$

which provides the necessary data for induction.

Writing this out a bit more fully for practice, we have the family

$$C : \prod_{x,y:A} (x = y) \rightarrow \mathcal{U}$$

defined by

$$C(x, y, p) := \prod_{(z:A)} \prod_{(q:y=z)} (p \cdot_1 q = p \cdot_2 q)$$

and in order to apply induction, we need an element of

$$\prod_{x:A} C(x, x, \text{refl}_x) \equiv \prod_{(x,z:A)} \prod_{(q:x=z)} (\text{refl}_x \cdot_1 q = \text{refl}_x \cdot_2 q) \equiv \prod_{(x,z:A)} \prod_{(q:x=z)} (q = \text{refl}_x \cdot_2 q)$$

Define  $D(x, z, q) := (q = \text{refl}_x \cdot_2 q)$ . Then

$$\text{refl}_{\text{refl}_x} : D(x, x, \text{refl}_x) \equiv (\text{refl}_x = \text{refl}_x \cdot_2 \text{refl}_x) \equiv (\text{refl}_x = \text{refl}_x)$$

So by induction we have a function  $f : \prod_{(x,z:A)} \prod_{(p:x=y)} (q = \text{refl}_x \cdot_2 q)$  with  $f(x, x, \text{refl}_x) := \text{refl}_{\text{refl}_x}$ . Thus we have the element required for induction on  $p$ , and there is a function

$$f' : \prod_{(x,y,z:A)} \prod_{(p:x=y)} \prod_{(q:y=z)} (p \cdot_1 q = p \cdot_2 q)$$

which we wanted to show.

**Theorem** `cat'_eq_cat''` :  $\forall \{A:\text{Type}\} \{x\ y\ z : A\} (p : x = y) (q : y = z),$   
 $(\text{cat}'\ p\ q) = (\text{cat}''\ p\ q).$

**Proof.**

induction  $p, q$ . reflexivity.

**Defined.**

For the next pair, we again use induction. For all  $x, y, z : A$ ,  $p : x = y$ , and  $q : y = z$ , we need to construct an element of  $p \cdot_2 q = p \cdot_3 q$ . By induction on  $p$  and  $q$ , it suffices to consider the case where  $y$  and  $z$  are  $x$  and  $p$  and  $q$  are  $\text{refl}_x$ . Then  $(\text{refl}_x \cdot_2 \text{refl}_x = \text{refl}_x \cdot_3 \text{refl}_x) \equiv (\text{refl}_x = \text{refl}_x)$ , and  $\text{refl}_{\text{refl}_x}$  inhabits this type.

**Theorem** `cat''_eq_cat'''` :  $\forall \{A:\text{Type}\} \{x\ y\ z : A\} (p : x = y) (q : y = z),$   
 $(\text{cat}''\ p\ q) = (\text{cat}'''\ p\ q).$

**Proof.**

induction  $p, q$ . reflexivity.

**Defined.**

The third proof goes exactly the same.

**Theorem** `cat'_eq_cat'''` :  $\forall \{A:\text{Type}\} \{x\ y\ z : A\} (p : x = y) (q : y = z),$   
 $(\text{cat}'\ p\ q) = (\text{cat}'''\ p\ q).$

**Proof.**

induction  $p, q$ . reflexivity.

**Defined.**

Note that all three of these proofs must end with **Defined** instead of **Qed** if we want to make use of the computational identity (e.g.,  $p \cdot_1 \text{refl}_x \equiv p$ ) that they produce, as we will in the next exercise.

**Exercise 2.2 (p. 103)** Show that the three equalities of proofs constructed in the previous exercise form a commutative triangle. In other words, if the three definitions of concatenation are denoted by  $(p \cdot_1 q)$ ,  $(p \cdot_2 q)$ , and  $(p \cdot_3 q)$ , then the concatenated equality

$$(p \cdot_1 q) = (p \cdot_2 q) = (p \cdot_3 q)$$

is equal to the equality  $(p \cdot_1 q) = (p \cdot_3 q)$ .

**Solution** Let  $x, y, z : A$ ,  $p : x = y$ ,  $q : y = z$ , and let  $r_{12} : (p \cdot_1 q = p \cdot_2 q)$ ,  $r_{23} : (p \cdot_2 q = p \cdot_3 q)$ , and  $r_{13} : (p \cdot_1 q = p \cdot_3 q)$  be the proofs from the last exercise. We want to show that  $r_{12} \cdot r_{23} = r_{13}$ , where  $\cdot = \cdot_3$  is the concatenation operation from the book. By induction on  $p$  and  $q$ , it suffices to consider the case where  $y$  and  $z$  are  $x$  and  $p$  and  $q$  are  $\text{refl}_x$ . Then we have  $r_{12} \equiv \text{refl}_{\text{refl}_x}$ ,  $r_{23} \equiv \text{refl}_{\text{refl}_x}$ , and  $r_{13} \equiv \text{refl}_{\text{refl}_x}$  by the definitions. But then the type we're trying to witness is

$$(\text{refl}_{\text{refl}_x} \cdot \text{refl}_{\text{refl}_x} = \text{refl}_{\text{refl}_x}) \equiv (\text{refl}_{\text{refl}_x} = \text{refl}_{\text{refl}_x})$$

from the definition of  $\cdot$ , so  $\text{refl}_{\text{refl}_{\text{refl}_x}}$  is our witness.

**Theorem** `comm_triangle` :  $\forall (A:\text{Type}) (x\ y\ z : A) (p : x = y) (q : y = z),$   
 $(\text{cat}'\_eq\_cat''\ p\ q) @ (\text{cat}''\_eq\_cat'''\ p\ q) = (\text{cat}'\_eq\_cat'''\ p\ q).$

**Proof.**

induction  $p, q$ . reflexivity.

**Qed.**

**Exercise 2.3 (p. 103)** Give a fourth, different proof of Lemma 2.1.2, and prove that it is equal to the others.

**Solution** Let  $x, y : A$  and  $p : x = y$ . Rather than fixing some  $q$  and constructing an element of  $x = z$  out of that, we can directly construct an element of

$$\prod_{z:A} (y = z) \rightarrow (x = z)$$

by induction on  $p$ . It suffices to consider the case where  $y$  is  $x$  and  $p$  is a  $\text{refl}_x$ , which then makes it easy to produce such an element; namely,

$$\lambda z. \text{id}_{x=z} : \prod_{z:A} (x = z) \rightarrow (x = z)$$

Induction then gives us a function  $p \bullet_4 q : (x = z)$  such that  $\lambda q. (\text{refl}_x \bullet_4 q) \equiv \text{id}_{x=z}$ .

**Definition**  $\text{cat}''' : \{A:\text{Type}\} \{x\ y\ z : A\} (p : x = y) (q : y = z) : x = z$ .

generalize  $q$ . generalize  $z$ .

induction  $p$ . trivial.

**Defined.**

To prove that it's equal to the others, we can just show that it's equal to  $\bullet$  and then use concatenation. Again by induction on  $p$  and  $q$ , it suffices to consider the case where  $y$  and  $z$  are  $x$  and  $p$  and  $q$  are  $\text{refl}_x$ . Then we have

$$((\text{refl}_x \bullet_3 \text{refl}_x) = (\text{refl}_x \bullet_4 \text{refl}_x)) \equiv (\text{refl}_x = \text{id}_{x=x}(\text{refl}_x)) \equiv (\text{refl}_x = \text{refl}_x)$$

So  $\text{refl}_{\text{refl}_x}$  is again our witness.

**Theorem**  $\text{cat}''' \text{ _eq\_cat}''' : \forall \{A:\text{Type}\} \{x\ y\ z : A\} (p : x = y) (q : y = z),$

$$(\text{cat}''' p q) = (\text{cat}''' p q).$$

**Proof.**

induction  $p, q$ . reflexivity.

**Qed.**

**End** **ALTCATS.**

**Exercise 2.4 (p. 103)** Define, by induction on  $n$ , a general notion of  $n$ -dimensional path in a type  $A$ , simultaneously with the type of boundaries for such paths.

**Solution** A 0-path in  $A$  is an element  $x : A$ , so the type of 0-paths is just  $A$ . If  $p$  and  $q$  are  $n$ -paths, then so is  $p = q$ . In the other direction, the boundary of a 0-path is empty, and the boundary of an  $n + 1$  path is an  $n$ -path.

**Fixpoint**  $\text{npath} (A:\text{Type}) (n:\text{nat}) : \text{Type} :=$

match  $n$  with

| 0  $\Rightarrow A$

| S  $n' \Rightarrow \{p : (\text{boundary } A\ n') \ \& \ \{q : (\text{boundary } A\ n') \ \& \ p = q\}\}$

end

with  $\text{boundary} (A:\text{Type}) (n:\text{nat}) : \text{Type} :=$

match  $n$  with

| 0  $\Rightarrow \text{Empty}$

| S  $n' \Rightarrow (\text{npath } A\ n')$

end.

**Exercise 2.5 (p. 103)** Prove that the functions

$$(f(x) = f(y)) \rightarrow (p_*(f(x)) = f(y)) \quad \text{and}$$

$$(p_*(f(x)) = f(y)) \rightarrow (f(x) = f(y))$$

are inverse equivalences.

**Solution** I take it that “inverse equivalences” means that each of the maps is the quasi-inverse of the other. Suppose that  $x, y : A$ ,  $p : x = y$ , and  $f : A \rightarrow B$ . Then we have the objects

$$\text{ap}_f(p) : (f(x) = f(y)) \quad \text{transportconst}_p^B(f(x)) : (p_*(f(x)) = f(x))$$

thus

$$\begin{aligned} & \left( \text{transportconst}_p^B(f(x)) \cdot - \right) : (f(x) = f(y)) \rightarrow (p_*(f(x)) = f(y)) \\ & \left( (\text{transportconst}_p^B(f(x)))^{-1} \cdot - \right) : (p_*(f(x)) = f(y)) \rightarrow (f(x) = f(y)) \end{aligned}$$

Which are our maps. Composing the first with the second, we obtain an element

$$\left( \text{transportconst}_p^B(f(x)) \cdot \left( (\text{transportconst}_p^B(f(x)))^{-1} \cdot - \right) \right)$$

of  $f(x) = f(y)$ . Using Lemma 2.1.4, we can show that this is homotopic to the identity:

$$\begin{aligned} & \prod_{q:f(x)=f(y)} \left( \text{transportconst}_p^B(f(x)) \cdot \left( (\text{transportconst}_p^B(f(x)))^{-1} \cdot q \right) = q \right) \\ &= \prod_{q:f(x)=f(y)} \left( \left( \text{transportconst}_p^B(f(x)) \cdot (\text{transportconst}_p^B(f(x)))^{-1} \right) \cdot q = q \right) \\ &= \prod_{q:f(x)=f(y)} (q = q) \end{aligned}$$

which is inhabited by  $\text{refl}_q$ . The same argument goes the other way, so this concatenation is an equivalence.

**Definition eq2\_3\_6**  $\{A B : \text{Type}\} \{x y : A\} (f : A \rightarrow B) (p : x = y) (q : f x = f y) :$   
 $(@ \text{transport} \_ (\text{fun} \_ \Rightarrow B) \_ \_ p (f x) = f y) :=$   
 $(\text{transport\_const } p (f x)) @ q.$

**Definition eq2\_3\_7**  $\{A B : \text{Type}\} \{x y : A\} (f : A \rightarrow B) (p : x = y)$   
 $(q : @ \text{transport} \_ (\text{fun} \_ \Rightarrow B) \_ \_ p (f x) = f y) :$   
 $(f x = f y) :=$   
 $(\text{transport\_const } p (f x)) ^ @ q.$

**Lemma isequiv\_transportconst**  $(A B : \text{Type}) (x y z : A) (f : A \rightarrow B) (p : x = y) :$   
 $\text{IsEquiv} (\text{eq2\_3\_6 } f p).$

**Proof.**

```

refine (isequiv_adjointify _ (eq2_3_7 f p) _); intro q;
unfold eq2_3_6, eq2_3_7; path_induction; reflexivity.

```

**Qed.**

**Exercise 2.6 (p. 103)** Prove that if  $p : x = y$ , then the function  $(p \cdot -) : (y = z) \rightarrow (x = z)$  is an equivalence.

**Solution** Suppose that  $p : x = y$ . To show that  $(p \cdot -)$  is an equivalence, we need to exhibit a quasi-inverse to it. This is a triple  $(g, \alpha, \beta)$  of a function  $g : (x = z) \rightarrow (y = z)$  and homotopies  $\alpha : (p \cdot -) \circ g \sim \text{id}_{x=z}$  and  $\beta : g \circ (p \cdot -) \sim \text{id}_{y=z}$ . For  $g$ , we can take  $(p^{-1} \cdot -)$ . For the homotopies, we can use the results of Lemma 2.1.4. So we have

$$((p \cdot -) \circ g) \sim \text{id}_{x=z} \equiv \prod_{q:x=z} (p \cdot (p^{-1} \cdot q) = q) = \prod_{q:x=z} ((p \cdot p^{-1}) \cdot q = q) = \prod_{q:x=z} (\text{refl}_x \cdot q = q) = \prod_{q:x=z} (q = q)$$

which is inhabited by  $\text{refl}_q$  and

$$(g \circ (p \cdot -)) \sim \text{id}_{y=z} \equiv \prod_{q:y=z} (p^{-1} \cdot (p \cdot q) = q) = \prod_{q:y=z} ((p^{-1} \cdot p) \cdot q = q) = \prod_{q:y=z} (\text{refl}_y \cdot q = q) = \prod_{q:y=z} (q = q)$$

which is inhabited by  $\text{refl}_q$ . So  $(p \cdot -)$  has a quasi-inverse, hence it is an equivalence.

Module ALTEQUIVCAT.

Lemma isequiv\_concat (A:Type) (x y z : A) (p : x = y)  
: isEquiv (@concat A x y z p).

Proof.

refine (isequiv\_adjointify - (concat p<sup>^</sup>) -.); intro q;  
by path\_induction.

Defined.

End ALTEQUIVCAT.

**Exercise 2.7 (p. 104)** State and prove a generalization of Theorem 2.6.5 from cartesian products to  $\Sigma$ -types.

**Solution** Suppose that we have types  $A$  and  $A'$  and type families  $B : A \rightarrow \mathcal{U}$  and  $B' : A' \rightarrow \mathcal{U}$ , along with a function  $g : A \rightarrow A'$  and a dependent function  $h : \prod_{(x:A)} B(x) \rightarrow B'(f(x))$ . We can then define a function  $f : (\sum_{(x:A)} B(x)) \rightarrow (\sum_{(x:A')} B'(x))$  by  $f(x) := (g(\text{pr}_1 x), h(\text{pr}_1 x, \text{pr}_2 x))$ . Let  $x, y : \sum_{(a:A)} B(a)$ , and suppose that  $p : \text{pr}_1 x = \text{pr}_1 y$  and that  $q : p_*(\text{pr}_2 x) = \text{pr}_2 y$ . The left-side of Theorem 2.6.5 generalizes directly to  $f(\text{pair}^=(p, q))$ , where now  $\text{pair}^=$  is given by the backward direction of Theorem 2.7.2.

The right hand side is trickier. It ought to represent the application of  $g$  and  $h$ , followed by the application of  $\text{pair}^=$ , as Theorem 2.6.5 does. Applying  $g$  produces the first argument to  $\text{pair}^=$ ,  $\text{ap}_g(p) \equiv g(p)$ . For  $h$ , we'll need to construct the right object. We need one of type

$$(g(p))_*(h(\text{pr}_1 x, \text{pr}_2 x)) = h(\text{pr}_1 y, \text{pr}_2 y)$$

Which we'll construct by induction. It suffices to consider the case where  $x \equiv (a, b)$ ,  $y \equiv (a', b')$ ,  $p \equiv \text{refl}_a$ , and  $q \equiv \text{refl}_b$ . Then we need an object of type

$$((g(\text{refl}_a))_*(h(a, b)) = h(a', b')) \equiv (h(a, b) = h(a', b'))$$

which we can easily construct by applying  $h$  to  $p$  and  $q$ . So by induction, we have an object

$$T(h, p, q) : (g(p))_*(h(\text{pr}_1 x, \text{pr}_2 x)) = h(\text{pr}_1 y, \text{pr}_2 y)$$

such that  $T(h, \text{refl}_a, \text{refl}_b) \equiv \text{refl}_{h(a, b)}$ .

Now we can state the generalization. We show that

$$f(\text{pair}^=(p, q)) = \text{pair}^=(g(p), T(h, p, q))$$

by induction. So let  $x \equiv (a, b)$ ,  $y \equiv (a', b')$ ,  $p \equiv \text{refl}_a$ , and  $q \equiv \text{refl}_b$ . Then we need to show that

$$\text{refl}_{f((a, b))} = \text{refl}_{(g(a), h(a, b))}$$

But from the definition of  $f$ , this is a judgemental equality. So we're done.

Module Ex7.

Local Definition T {A A' : Type} {B : A → Type} {B' : A' → Type}  
{g : A → A'} (h : ∀ a, B a → B' (g a))  
{x y : {a : A & B a}}  
(p : x.1 = y.1) (q : p # x.2 = y.2)  
: (ap g p) # (h x.1 x.2) = h y.1 y.2.

Proof.

destruct x as [a b], y as [a' b'].  
simpl in p. induction p.  
simpl in \*. f\_ap.

Defined.

**Definition** `functor_sigma`  $\{A\ A' : \text{Type}\} \{B : A \rightarrow \text{Type}\} \{B' : A' \rightarrow \text{Type}\}$   
 $(g : A \rightarrow A') (h : \forall a, B\ a \rightarrow B' (g\ a))$   
 $: \{x : A \& B\ x\} \rightarrow \{x : A' \& B'\ x\}$   
 $:= \text{fun } z \Rightarrow (g\ z.1 ; h\ z.1\ z.2).$

**Theorem** `ap_functor_sigma`  $\{A\ A' : \text{Type}\} \{B : A \rightarrow \text{Type}\} \{B' : A' \rightarrow \text{Type}\}$   
 $(g : A \rightarrow A') (h : \forall a, B\ a \rightarrow B' (g\ a))$   
 $(x\ y : \{a : A \& B\ a\})$   
 $(p : x.1 = y.1) (q : p \# x.2 = y.2)$   
 $: \text{ap } (\text{functor\_sigma } g\ h) (\text{path\_sigma } B\ x\ y\ p\ q)$   
 $= \text{path\_sigma } B' (\text{functor\_sigma } g\ h\ x) (\text{functor\_sigma } g\ h\ y)$   
 $(\text{ap } g\ p) (\text{T } h\ p\ q).$

**Proof.**

```
intros.
destruct x as [a b], y as [a' b'].
simpl in p. induction p.
simpl in q. induction q.
reflexivity.
```

Defined.

End `Ex7`.

**Exercise 2.8 (p. 104)** State and prove an analogue of Theorem 2.6.5 for coproducts.

**Solution** Let  $A, A', B, B' : \mathcal{U}$ , and let  $g : A \rightarrow A'$  and  $h : B \rightarrow B'$ . These allow us to construct a function  $f : A + B \rightarrow A' + B'$  given by

$$f(\text{inl}(a)) := \text{inl}'(g(a)) \quad f(\text{inr}(b)) := \text{inr}'(h(b))$$

Now, we want to show that  $\text{ap}_f$  is functorial, which requires something corresponding to  $\text{pair}^=$ . The type of this function will vary depending on which two  $x, y : A + B$  we consider. Suppose that  $p : x = y$ ; there are four cases:

- $x = \text{inl}(a_1)$  and  $y = \text{inl}(a_2)$ . Then  $\text{pair}^=$  is given by  $\text{ap}_{\text{inl}}$ , and we must show that

$$f(\text{inl}(p)) = \text{inl}'(g(p))$$

which is easy with path induction; it suffices to consider  $p \equiv \text{refl}_a$ , which reduces our equality to

$$\text{refl}_{f(\text{inl}(a))} = \text{refl}_{\text{inl}'(g(a))}$$

and this is a judgemental equality, given the definition of  $f$ .

- $x = \text{inl}(a)$  and  $y = \text{inr}(b)$ . Then by 2.12.3  $(x = y) \simeq \mathbf{0}$ , and  $p$  allows us to construct anything we like.
- $x = \text{inr}(b)$  and  $y = \text{inl}(a)$  proceeds just as in the previous case.
- $x = \text{inr}(b)$  and  $y = \text{inr}(b)$  proceeds just as in the first case.

Since these are all the cases, we've proven an analogue to Theorem 2.6.5 for coproducts. A closer analogue would not involve  $p : x = y$ , but rather equalities  $p : a =_A a'$  and  $q : b =_B b'$ , and then would show that for all the different cases,  $\text{ap}_f$  is functorial. However, this follows from the version proven, which is more simply stated.

**Theorem** `ap_functor_sum` :

$$\forall (A\ A'\ B\ B' : \text{Type}) (g : A \rightarrow A') (h : B \rightarrow B') (x\ y : A + B) (p : x = y),$$

```

ap (functor_sum g h) p
= path_sum (functor_sum g h x) (functor_sum g h y)
  (path_sum_inv (ap (functor_sum g h) p)).

```

**Proof.**

```

intros.
destruct x as [a | b], y as [a' | b'].
induction p. reflexivity.
contradiction ((equiv_path_sum _ _) ^-1 p).
contradiction ((equiv_path_sum _ _) ^-1 p).
induction p. reflexivity.

```

**Defined.**

**Exercise 2.9 (p. 104)** Prove that coproducts have the expected universal property,

$$(A + B \rightarrow X) \simeq (A \rightarrow X) \times (B \rightarrow X).$$

Can you generalize this to an equivalence involving dependent functions?

**Solution** To define the `ex2_9_f` map, let  $h : A + B \rightarrow X$  and define  $f : (A + B \rightarrow X) \rightarrow (A \rightarrow X) \times (B \rightarrow X)$  by

$$f(h) := (\lambda a. h(\text{inl}(a)), \lambda b. h(\text{inr}(b)))$$

To show that  $f$  is an equivalence, we'll need a quasi-inverse, given by

$$g(h) := \text{rec}_{A+B}(X, \text{pr}_1 h, \text{pr}_2 h)$$

As well as the homotopies  $\alpha : f \circ g \sim \text{id}_{(A \rightarrow X) \times (B \rightarrow X)}$  and  $\beta : g \circ f \sim \text{id}_{A+B \rightarrow X}$ . For  $\alpha$  we need a witness to

$$\begin{aligned}
& \prod_{h : (A \rightarrow X) \times (B \rightarrow X)} (f(g(h)) = \text{id}_{(A \rightarrow X) \times (B \rightarrow X)}(h)) \\
& \equiv \prod_{h : (A \rightarrow X) \times (B \rightarrow X)} ((\lambda a. \text{rec}_{A+B}(X, \text{pr}_1 h, \text{pr}_2 h, \text{inl}(a)), \lambda b. \text{rec}_{A+B}(X, \text{pr}_1 h, \text{pr}_2 h, \text{inr}(b))) = h) \\
& \equiv \prod_{h : (A \rightarrow X) \times (B \rightarrow X)} ((\text{pr}_1 h, \text{pr}_2 h) = h)
\end{aligned}$$

and this is inhabited by `uppt`. For  $\beta$ , we need an inhabitant of

$$\begin{aligned}
& \prod_{h : A+B \rightarrow X} (g(f(h)) = \text{id}_{A+B \rightarrow X}(h)) \\
& \equiv \prod_{h : A+B \rightarrow X} (\text{rec}_{A+B}(X, \lambda a. h(\text{inl}(a)), \lambda b. h(\text{inr}(b))) = h)
\end{aligned}$$

which, assuming function extensionality, is inhabited. So  $(g, \alpha, \beta)$  is a quasi-inverse to  $f$ , giving the universal property.

**Module Ex2\_9.**

**Theorem** `equiv_sum_distributive`  $\{ \text{Funext} \} (A B X : \text{Type})$   
 $: (A + B \rightarrow X) \simeq (A \rightarrow X) \times (B \rightarrow X).$

**Proof.**

```

refine (equiv_adjointify _ _ _).
(* forward *)
intro f. split.

```

```

    intro a. exact (f (inl a)).
    intro b. exact (f (inr b)).
(* back *)
intro f. intro z. destruct f as [f g]. destruct z as [a | b].
exact (f a). exact (g b).
(* section *)
intro f. reflexivity.
(* retract *)
intro f. apply path_forall; intro x. destruct x as [a | b]; reflexivity.
Defined.
End Ex2_9.

```

**Theorem** `equiv_forall_distributive`  $\{ \text{Funext} \} (A B : \text{Type}) (C : A + B \rightarrow \text{Type})$   
 $: (\forall p, C p) \simeq (\forall a, C (\text{inl } a)) \times (\forall b, C (\text{inr } b)).$

**Proof.**

```

    refine (equiv_adjointify _ _ _).
(* forward *)
intro f. split.
    intro a. exact (f (inl a)).
    intro b. exact (f (inr b)).
(* back *)
intro f. destruct f as [f g]. intro p. destruct p as [a | b].
    exact (f a). exact (g b).
(* section *)
intro f. reflexivity.
(* retract *)
intro f. apply path_forall. intro p. destruct p as [a | b]; reflexivity.
Defined.

```

**Exercise 2.10 (p. 104)** Prove that  $\Sigma$ -types are “associative”, in that for any  $A : \mathcal{U}$  and families  $B : A \rightarrow \mathcal{U}$  and  $C : (\sum_{(x:A)} B(x)) \rightarrow \mathcal{U}$ , we have

$$\left( \sum_{(x:A)} \sum_{(y:B(x))} C((x,y)) \right) \simeq \left( \sum_{p:\sum_{(x:A)} B(x)} C(p) \right)$$

**Solution** The map

$$f(a,b,c) := ((a,b),c)$$

where  $a : A$ ,  $b : B(a)$ , and  $c : C((a,b))$  is an equivalence. For a quasi-inverse, we have

$$g(p,c) := (\text{pr}_1 p, \text{pr}_2 p, c)$$

As proof, by induction we can consider the case where  $p \equiv (a,b)$ . Then we have

$$f(g((a,b),c)) = f(a,b,c) = ((a,b),c)$$

and

$$g(f(a,b,c)) = g((a,b),c) = (a,b,c)$$



So  $f$  is an equivalence.

Module Ex2\_10.

Theorem equiv\_sigma\_assoc ( $A : \text{Type}$ ) ( $P : A \rightarrow \text{Type}$ ) ( $Q : \{a : A \ \& \ P \ a\} \rightarrow \text{Type}$ )  
 $: \{a : A \ \& \ \{p : P \ a \ \& \ Q \ (a; p)\}\} \simeq \{x : \_ \ \& \ Q \ x\}.$

Proof.

```

refine (equiv_adjointify _ _ _).

(* forward *)
intro w. destruct w as [a [p q]]. apply ((a; p); q).

(* back *)
intro w. destruct w as [[a p] q]. apply (a; (p; q)).

(* section *)
intro w. reflexivity.

(* retract *)
intro w. reflexivity.

```

Defined.

End Ex2\_10.

**Exercise 2.11 (p. 104)** A (homotopy) commutative square

$$\begin{array}{ccc}
 P & \xrightarrow{h} & A \\
 k \downarrow & & \downarrow f \\
 B & \xrightarrow{g} & C
 \end{array}$$

consists of functions  $f, g, h$ , and  $k$  as shown, together with a path  $f \circ h = g \circ k$ . Note that this is exactly an element of the pullback  $(P \rightarrow A) \times_{P \rightarrow C} (P \rightarrow B)$  as defined in 2.15.11. A commutative square is called a (homotopy) pullback square if for any  $X$ , the induced map

$$(X \rightarrow P) \rightarrow (X \rightarrow A) \times_{X \rightarrow C} (X \rightarrow B)$$

is an equivalence. Prove that the pullback  $P \equiv A \times_C B$  defined in 2.15.11 is the corner of a pullback square.

**Solution** I'll start using the usual notation  $\text{pr}_n^j$  for the  $j$ th projection from an  $n$ -tuple. So, for example,  $\text{pr}_3^2 \equiv \text{pr}_1 \circ \text{pr}_2$ . To show that  $P$  is the corner of a pullback square, we need to produce the three other corners and show that it is a pullback. Given  $f : A \rightarrow C$  and  $G : B \rightarrow C$ , we define

$$P \equiv \sum_{(a:A)} \sum_{(b:B)} (f(a) = g(b))$$

I claim this is the corner of the following pullback square:

$$\begin{array}{ccc}
 P & \xrightarrow{\text{pr}_3^2} & B \\
 \text{pr}_3^1 \downarrow & & \downarrow g \\
 A & \xrightarrow{f} & C
 \end{array}$$

To show that it's commutative, it suffices to consider an element  $(a, b, p)$  of  $P$ . We then have

$$g(\text{pr}_3^2(a, b, p)) = g(b) = f(a) = f(\text{pr}_3^1(a, b, p))$$

making the square commutative.

To show that it has the required universal property, we need to construct the equivalence. Suppose that  $h : X \rightarrow P$ . Then we can compose it in either direction around the square to give

$$f \circ \text{pr}_3^1 \circ h : X \rightarrow C \quad g \circ \text{pr}_3^2 \circ h : X \rightarrow C$$

If we can show that these two maps are equal, then we can produce an element of  $(X \rightarrow A) \times_{X \rightarrow C} (X \rightarrow B)$ . And we can, using function extensionality. Suppose that  $x : X$ . Then  $h(x) : P$ , which by induction we can assume is of the form  $h(x) \equiv (a, b, p)$ , with  $p : f(a) = g(b)$ . This means that

$$f(\text{pr}_3^1(h(x))) \equiv f(\text{pr}_3^1(a, b, p)) \equiv f(a)$$

and

$$g(\text{pr}_3^2(a, b, c)) \equiv g(b)$$

and  $p$  proves that these are equal. So by function extensionality,

$$f \circ \text{pr}_3^1 \circ h = g \circ \text{pr}_3^2 \circ h$$

meaning that we can define

$$h \mapsto (\text{pr}_3^1 \circ h, \text{pr}_3^2 \circ h, \text{funext}(\text{pr}_3^3 \circ h))$$

giving the forward map  $(X \rightarrow P) \rightarrow (X \rightarrow A) \times_{X \rightarrow C} (X \rightarrow B)$ .

We now need to exhibit a quasi-inverse. Suppose that  $h' : (X \rightarrow A) \times_{X \rightarrow C} (X \rightarrow B)$ . By induction, we may assume that  $h' = (h_A, h_B, q)$ , where  $q : f \circ h_A = g \circ h_B$ . We want to construct a function  $X \rightarrow P$ , so suppose that  $x : X$ . Then we can construct an element of  $P$  like so:

$$h(x) \equiv (h_A(x), h_B(x), \text{happly}(q)(x))$$

Note that this expression is well-typed, since  $h_A(x) : A$ ,  $h_B(x) : B$ , and  $\text{happly}(q)(x) : f(h_A(x)) = g(h_B(x))$ .

In order to show that this is a quasi-inverse, we need to show that the two possible compositions are homotopic to the identity. Suppose that  $h : X \rightarrow P$ ; then applying the forward and backward constructions gives

$$(x \mapsto (\text{pr}_3^1(h(x)), \text{pr}_3^2(h(x)), \text{happly}(\text{funext}(\text{pr}_3^3 \circ h))(x))) \equiv (x \mapsto (\text{pr}_3^1(h(x)), \text{pr}_3^2(h(x)), \text{pr}_3^3(h(x))))$$

which by function extensionality is clearly equal to  $h$ .

For the other direction, suppose that  $h' : (X \rightarrow A) \times_{X \rightarrow C} (X \rightarrow B)$ , which by induction we may suppose is of the form  $(h_A, h_B, p)$ . Going back and forth gives

$$\begin{aligned} & \left( \text{pr}_3^1 \circ (x \mapsto (h_A(x), h_B(x), \text{happly}(p)(x))), \right. \\ & \quad \text{pr}_3^2 \circ (x \mapsto (h_A(x), h_B(x), \text{happly}(p)(x))), \\ & \quad \left. \text{funext}(\text{pr}_3^3 \circ (x \mapsto (h_A(x), h_B(x), \text{happly}(p)(x)))) \right) \end{aligned}$$

applying function extensionality again results in

$$(h_A, h_B, \text{funext}(\text{happly}(p))) \equiv (h_A, h_B, p)$$

So we have an equivalence.

**Section Ex11.**

**Context**  $\{A B C : \text{Type}\} (X : \text{Type}) (f : A \rightarrow C) (g : B \rightarrow C)$ .

**Local Definition** `square_commutates`  $\{\text{Funext}\}$

```

: f o (fun p : (pullback f g) => p.1) = g o (fun p : (pullback f g) => p.2.1).
Proof.
  apply path_forall. intro p. destruct p as [a [b p]]. apply p.
Defined.
Lemma pullback_uprop '{Funext}
: (X -> (pullback f g)) ≃ pullback (@compose X - - f) (@compose X - - g).
Proof.
  refine (equiv_adjointify - - -).
  (* forward *)
  intro h. ∃ (fun x => pr1 (h x)). ∃ (fun x => pr1 (pr2 (h x))).
  apply path_forall. intro x. unfold compose. apply (h x).2.2.
  (* backward *)
  intros h x. destruct h as [h1 [h2 q]]. refine (h1 x; (h2 x; apD10 q x)).
  (* section *)
  intro h. destruct h as [h1 [h2 q]].
  apply path_sigma_uncurried. simpl. ∃ 1.
  apply path_sigma_uncurried. simpl. ∃ 1. simpl.
  apply (ap apD10) ^-1. apply eisretr.
  (* retract *)
  intro h. simpl.
  apply path_forall. intro x.
  apply path_sigma_uncurried. ∃ 1. simpl.
  apply path_sigma_uncurried. ∃ 1. simpl.
  change (h x).2.2 with ((fun x' => (h x').2.2) x). f_ap.
  apply eisretr.
Defined.
End Ex11.

```

**Exercise 2.12 (p. 104)** Suppose given two commutative squares

$$\begin{array}{ccccc}
 A & \longrightarrow & C & \longrightarrow & E \\
 \downarrow & & \downarrow & & \downarrow \\
 B & \longrightarrow & D & \longrightarrow & F
 \end{array}$$

and suppose that the right-hand square is a pullback square. Prove that the left-hand square is a pullback square if and only if the outer rectangle is a pullback square.

**Solution** Label the arrows

$$\begin{array}{ccccc}
 A & \xrightarrow{\quad} & C & \xrightarrow{j} & E \\
 \downarrow & & \downarrow g & & \downarrow k \\
 B & \xrightarrow{f} & D & \xrightarrow{h} & F
 \end{array}$$

Suppose that the right-hand square is a pullback. That is, suppose we have

$$(X \rightarrow C) \simeq (X \rightarrow D) \times_{(X \rightarrow F)} (X \rightarrow E)$$

We want to show that

$$(X \rightarrow A) \simeq (X \rightarrow B) \times_{(X \rightarrow D)} (X \rightarrow C)$$

if and only if

$$(X \rightarrow A) \simeq (X \rightarrow B) \times_{(X \rightarrow F)} (X \rightarrow E)$$

It suffices to show that

$$(X \rightarrow B) \times_{(X \rightarrow D)} (X \rightarrow C) \simeq (X \rightarrow B) \times_{(X \rightarrow F)} (X \rightarrow E)$$

because  $\simeq$  is an equivalence relation. And for this it suffices to show that for any  $l : X \rightarrow B$ ,

$$\left( \sum_{m : X \rightarrow C} (f \circ l = g \circ m) \right) \simeq \left( \sum_{m : X \rightarrow E} (h \circ f \circ l = k \circ m) \right)$$

by the functoriality of the  $\Sigma$ -type former. Similarly, by our hypothesis that the right square is a pullback, we have

$$\left( \sum_{m : (X \rightarrow D) \times_{(X \rightarrow F)} (X \rightarrow E)} (f \circ l = \text{pr}_1(m)) \right) \simeq \left( \sum_{m : X \rightarrow E} (h \circ f \circ l = k \circ m) \right)$$

But, since the fiber product is symmetric and  $\Sigma$ -types associative and commutative on constant type families, this is the same as the condition that

$$\left( \sum_{(m : X \rightarrow E)} \sum_{(p : \Sigma_{(n : X \rightarrow D)} (h \circ n = k \circ m))} (f \circ l = \text{pr}_1(p)) \right) \simeq \left( \sum_{m : X \rightarrow E} (h \circ f \circ l = k \circ m) \right)$$

So again by the functoriality of the  $\Sigma$  former, it suffices to show that for any  $m : X \rightarrow E$  we have

$$\left( \sum_{p : \Sigma_{(n : X \rightarrow D)} (h \circ n = k \circ m)} (f \circ l = \text{pr}_1(p)) \right) \simeq (h \circ f \circ l = k \circ m)$$

or, by associativity of the  $\Sigma$  former and symmetry of products,

$$\left( \sum_{p : \Sigma_{(n : X \rightarrow D)} (f \circ l = n)} (h \circ \text{pr}_1(p) = k \circ m) \right) \simeq (h \circ f \circ l = k \circ m)$$

Finally, these are equivalent by Lemma 3.11.9. That's next chapter, but it's also straightforward to construct an explicit equivalence.

**Lemma** `equiv_const_sigma_prod`  $(A B : \text{Type}) : \{a : A \& B\} \simeq A \times B$ .

**Proof.**

```

refine (equiv_adjointify _ _ _).
intro w. apply (w.1, w.2).
intro w. apply (fst w; snd w).
intro w. apply eta_prod.
intro w. apply eta_sigma.

```

**Defined.**

**Lemma** `equiv_sigma_comm`  $(A B : \text{Type}) (P : A \rightarrow B \rightarrow \text{Type})$   
 $: \{a : A \& \{b : B \& P a b\}\} \simeq \{b : B \& \{a : A \& P a b\}\}.$

**Proof.**

```

refine (equiv_adjointify _ _ _).
intro w. apply (w.2.1; (w.1; w.2.2)).
intro w. apply (w.2.1; (w.1; w.2.2)).

```

```

intro w. apply eta_sigma.
intro w. apply eta_sigma.
Defined.
Lemma equiv_sigma_contr_base (A : Type) (P : A → Type) (HA : Contr A)
  : {a : A & P a} ≃ P (center A).
Proof.
  refine (equiv_adjointify _ _ _).
  intro w. apply (transport _ (contr w.1)^). apply w.2.
  intro p. apply (center A; p).

  intro p. simpl.
  transparent assert (H: (center A = center A)). apply contr_paths_contr.
  transparent assert (H': ((contr (center A))^ = 1)). apply allpath_hprop.
  path_via (transport P 1 p). f_ap.

  intro w. apply path_sigma_uncurried.
  simpl. ∃ (contr w.1).
  apply transport_pV.
Defined.
Module PULLBACK_LEMMA.
Variables (A B C D E F X : Type)
  (f : B → D) (g : C → D) (h : D → F) (j : C → E) (k : E → F)
  (r : h ∘ g = k ∘ j).
Local Definition xc_to_plbk
  : (X → C) → pullback (@compose X _ _ h) (@compose X _ _ k).
Proof.
  intro l. refine (g ∘ l; (j ∘ l; ap (fun m ⇒ m ∘ l) r)).
Defined.
Variable (He : lsEquiv xc_to_plbk).
Theorem pbl_helper '{Funext}
  : pullback (@compose X _ _ f) (@compose X _ _ g)
    ≃
    pullback (@compose X _ _ (h ∘ f)) (@compose X _ _ k).
Proof.
  refine (equiv_functor_sigma_id _). intro j.
  equiv_via {c : pullback (@compose X _ _ h) (@compose X _ _ k) & f ∘ j = c.1}.
  refine (equiv_functor_sigma' _ _).
  apply (BuildEquiv _ _ xc_to_plbk He). intro l.
  apply equiv_idmap.
  equiv_via {c : {c : X → E & {b : X → D & h ∘ b = k ∘ c}} & f ∘ j = c.2.1}.
  refine (equiv_functor_sigma' _ _).
  refine (equiv_sigma_comm _ _). intro c. apply equiv_idmap.
  equiv_via {c : X → E & {l : {b : X → D & h ∘ b = k ∘ c} & f ∘ j = l.1}}.
  apply equiv_inverse. refine (equiv_sigma_assoc _ _).
  refine (equiv_functor_sigma_id _). intro m.
  equiv_via {l : X → D & { _ : h ∘ l = k ∘ m & f ∘ j = l}}.
  apply equiv_inverse. refine (equiv_sigma_assoc _ _).
  equiv_via {l : X → D & { _ : f ∘ j = l & h ∘ l = k ∘ m}}.
  refine (equiv_functor_sigma_id _). intro l.

```

```

equiv_via ((h o l = k o m) × (f o j = l)).
apply equiv_const_sigma_prod.
equiv_via ((f o j = l) × (h o l = k o m)).
apply equiv_prod_symm.
apply equiv_inverse. apply equiv_const_sigma_prod.
equiv_via {l : {n : X → D & f o j = n} & h o l.1 = k o m}.
refine (equiv_sigma_assoc _ _).
equiv_via (h o (center {n : X → D & f o j = n}).1 = k o m).
refine (equiv_sigma_contr_base _ _). simpl.
apply equiv_idmap.
Defined.

Lemma pullback_lemma '{Funext}
  : (X → A) ≃ pullback (@compose X _ _ f) (@compose X _ _ g)
    ↔
    (X → A) ≃ pullback (@compose X _ _ (h o f)) (@compose X _ _ k).
Proof.
  split.
  intro H'.
  equiv_via (pullback (@compose X _ _ f) (@compose X _ _ g)).
  apply H'. apply pbl_helper.
  intro H'.
  equiv_via (pullback (@compose X _ _ (h o f)) (@compose X _ _ k)).
  apply H'. apply equiv_inverse. apply pbl_helper.
Defined.
End PULLBACK_LEMMA.

```

**Exercise 2.13 (p. 104)** Show that  $(2 \simeq 2) \simeq 2$ .

**Solution** The result essentially says that  $2$  is equivalent to itself in two ways: the identity provides one equivalence, and negation gives the other. So we first define these.  $\text{id}_2$  is its own quasi-inverse; we have  $\text{id}_2 \circ \text{id}_2 \equiv \text{id}_2$ , so  $\text{id}_2 \circ \text{id}_2 \sim \text{id}_2$  easily.  $\neg$  is also its own quasi-inverse, since for any  $x$ ,  $\neg\neg x = x$ .

To show the result, we need to map  $\text{id}_2$  and  $\neg$  onto  $2$  in a quasi-invertible way. But we need to define this map on all of  $2 \simeq 2$ . So for any  $h : 2 \simeq 2$ , let  $f(h) = h(0_2)$ , and define  $g : 2 \rightarrow (2 \simeq 2)$  by

$$g(0_2) = \text{id}_2 \quad g(1_2) = \neg$$

To show that these are quasi-inverses, note first that whatever else is the case, an equivalence  $2 \simeq 2$  can't be a constant function, which we can prove by a case analysis. Each of  $f(0_2)$  and  $f(1_2)$  is in  $2$ , so it is either  $0_2$  or  $1_2$ . So we have the cases:

- $f(0_2) = f(1_2)$ , in which case we can apply  $f^{-1}$  to either side to get a contradiction, or
- $f(0_2) = \neg f(1_2)$ . In which case we have the result

Showing that  $f \circ g \sim \text{id}_2$  is easy, since we can do it by cases. We have

$$\begin{aligned}
 f(g(0_2)) &= f(\text{id}_2) = \text{id}_2(0_2) = 0_2 \\
 f(g(1_2)) &= f(\neg) = \neg 0_2 = 1_2
 \end{aligned}$$

For the other direction, suppose that  $h : 2 \simeq 2$  and that function extensionality holds.  $h(0_2)$  is either  $0_2$  or  $1_2$ . If the first, then because  $h$  isn't constant we have  $h(1_2) = \neg h(0_2) = 1_2$ , hence  $h = \text{id}_2$ . Furthermore,

$$g(f(h)) = g(h(0_2)) = g(0_2) = \text{id}_2 = h$$

The same argument works for the other case. So  $f$  is an equivalence, and  $(2 \simeq 2) \simeq 2$ .

**Lemma** `id_isequiv` : `Bool`  $\simeq$  `Bool`.

**Proof.**

```
refine (equiv_adjointify idmap idmap (fun _ => 1) (fun _ => 1)).
```

**Defined.**

**Lemma** `negb_isequiv` : `Bool`  $\simeq$  `Bool`.

**Proof.**

```
refine (equiv_adjointify negb negb - -);
intro; destruct x; reflexivity.
```

**Defined.**

**Definition** `ex2_13_f` ( $x$  : `Bool`  $\simeq$  `Bool`) : `Bool` :=  $x$  `false`.

**Definition** `ex2_13_g` ( $b$  : `Bool`) : (`Bool`  $\simeq$  `Bool`) :=

```
if b
then { | equiv_fun := negb | }
else { | equiv_fun := idmap | }.
```

**Lemma** `equiv_not_const` ( $f$  : `Bool`  $\rightarrow$  `Bool`)  $\{ \text{IsEquiv Bool Bool } f \}$  :

$f$  `false` = `negb` ( $f$  `true`).

**Proof.**

```
pose proof (eisect f true) as H1.
pose proof (eisect f false) as H2.
destruct (f true), (f false);
try (etransitivity; try (eassumption | | (symmetry; eassumption)));
try (simpl; reflexivity).
```

**Defined.**

**Theorem** `negb_involutive` :  $\forall b$ , `negb` (`negb`  $b$ ) =  $b$ .

**Proof.** `destruct b; reflexivity. Qed.`

**Theorem** `ex2_13`  $\{ \text{Funext} \}$  : (`Bool`  $\simeq$  `Bool`)  $\simeq$  `Bool`.

**Proof.**

```
refine (equiv_adjointify ex2_13_f ex2_13_g - -);
unfold Sect, ex2_13_f, ex2_13_g.

(* alpha *)
destruct x; reflexivity.

(* beta *)
destruct x. pose proof (equiv_not_const equiv_fun) as H1.
apply path_equiv; apply path_forall; intro x; destruct x; simpl.
destruct (equiv_fun false); simpl;
  repeat (transitivity (negb (negb (equiv_fun true))));
  [rewrite <- H1; reflexivity | apply negb_involutive].
destruct (equiv_fun false); reflexivity.
```

**Qed.**

**Exercise 2.14 (p. 104)** Suppose we add to type theory the equality reflection rule which says that if there is an element  $p : x = y$ , then in fact  $x \equiv y$ . Prove that for any  $p : x = x$  we have  $p \equiv \text{refl}_x$ .

**Solution** Suppose that  $p : x = x$ ; we show that  $p = \text{refl}_x$ , by path induction. It suffices to consider the case where  $p \equiv \text{refl}_x$ , in which case we have  $\text{refl}_{\text{refl}_x} : \text{refl}_x = \text{refl}_x$ . Thus  $p = \text{refl}_x$  is inhabited, so by the equality reflection rule,  $p \equiv \text{refl}_x$ .

**Exercise 2.15 (p. 105)** Show that Lemma 2.10.5 can be strengthened to

$$\text{transport}^B(p, -) =_{B(x) \rightarrow B(y)} \text{idtoeqv}(\text{ap}_B(p))$$

without using function extensionality.

**Solution** By induction on  $p$ , we have

$$\begin{aligned} \text{transport}^B(\text{refl}_{B(x)}, -) &\equiv \text{id}_{B(x)} \\ &\equiv \text{transport}^{X \mapsto X}(\text{refl}_{B(x)}, -) \\ &\equiv \text{transport}^{X \mapsto X}(\text{ap}_B(\text{refl}_x), -) \\ &\equiv \text{idtoeqv}(\text{ap}_B(\text{refl}_x)) \end{aligned}$$

**Definition** `idtoeqv`  $\{A B : \text{Type}\} : (A = B) \rightarrow (A \simeq B)$ .

```
intro X.
refine (equiv_adjointify (transport idmap X) (transport idmap X^) -.);
intro b; [ apply (transport_pV idmap X) | apply (transport_Vp idmap X)].
```

**Defined.**

**Lemma** `ex2_15` :  $\forall (A : \text{Type}) (B : A \rightarrow \text{Type}) (x y : A) (p : x = y),$   
 $\text{transport } B p = \text{idtoeqv} (\text{ap } B p).$

**Proof.**

```
intros. unfold idtoeqv. induction p. reflexivity.
```

**Defined.**

**Exercise 2.16 (p. 105)** Suppose that rather than function extensionality, we suppose only the existence of an element

$$\text{funext} : \prod_{(A : \mathcal{U})} \prod_{(B : A \rightarrow \mathcal{U})} \prod_{f, g : \prod_{(x : A)} B(x)} (f \sim g) \rightarrow (f = g)$$

(with no relationship to `happly` assumed). Prove that in fact, this is sufficient to imply the whole function extensionality axiom (that `happly` is an equivalence).

**Solution** Suppose that we have such an element, and let  $A : \mathcal{U}$ ,  $B : A \rightarrow \mathcal{U}$ , and  $f, g : \prod_{(x : A)} B(x)$ . I will suppress the  $A$  and  $B$  throughout. If this implies the whole function extensionality axiom, then it must be the case that we can construct the `funext` from the book, which has a particular computation rule. This is not too difficult; define

$$\text{funext}'(f, g, h) :\equiv \text{funext}(f, g, h) \cdot (\text{funext}(g, g, h))^{-1}$$

Then we have

$$\begin{aligned} \text{funext}'(f, f, \lambda x. \text{refl}_{f(x)}) &\equiv \text{funext}(f, f, \lambda x. \text{refl}_{f(x)}) \cdot (\text{funext}(f, f, \lambda x. \text{refl}_{f(x)}))^{-1} \\ &\equiv \text{refl}_f \end{aligned}$$

by Lemma 2.1.4. So now we need to show that `funext'` is a quasi-inverse to `happly`. One direction is easy; since `happly` computes on `refl`, by induction we have

$$\text{funext}'(f, f, \text{happly}(\text{refl}_f)) \equiv \text{funext}'(f, f, \lambda x. \text{refl}_{f(x)}) \equiv \text{refl}_f$$



and thus  $\text{funext}'(f, g) \circ h \sim \text{id}_{f=g}$ . The other direction is more difficult. We need to show that for all  $h : f \sim g$ ,  $\text{happly}(\text{funext}(f, g, h)) = h$ . However, since  $h$  isn't an inductive type, we can't really do induction on it. In the special case that  $g \equiv f$  and  $h \equiv \lambda x. \text{refl}_{f(x)}$ , we have

$$\text{happly}(\text{funext}(f, f, \lambda x. \text{refl}_{f(x)})) \equiv \text{happly}(\text{refl}_f) \equiv \lambda x. \text{refl}_{f(x)}$$

So if we could find a way to reduce to this case, then we'd have the result. One way to do this is to show that  $(g, h) = (f, \lambda x. \text{refl}_{f(x)})$ ; since we'd need to show this for all  $g$  and  $h$ , this would be the same as showing that the type

$$\sum_{g : \prod_{(x:A)} B(x)} (f \sim g) \equiv \sum_{(g : \prod_{(x:A)} B(x))} \prod_{(x:A)} (f(x) = g(x))$$

is contractible, in the sense discussed in Exercise 1.7. From Theorem 2.15.7, this is equivalent to

$$\prod_{(x:A)} \sum_{(y:B(x))} (f(x) = y)$$

So if we can show that this type is contractible, then we can get the reduction to the special case.

Now, we know from the previously-discussed Lemma 3.11.8 that for any  $x$ ,  $\sum_{(y:B(x))} (f(x) = y)$  is contractible. Now we want to apply Lemma 3.11.6, but the proof requires function extensionality, so we'll have to try to recap it. Suppose that  $j, k : \prod_{(x:A)} \sum_{(y:B(x))} (f(x) = y)$ . For any  $x : A$ , we have  $j(x) = k(x)$  because  $\sum_{(y:B(x))} (f(x) = y)$  is contractible. Hence there's some  $p : j \sim k$ , so  $\text{funext}'(j, k, p) : (j = k)$ . This means that

$$\prod_{(x:A)} \sum_{(y:B(x))} (f(x) = y)$$

is contractible. So, transporting across the equivalence,

$$\sum_{(g : \prod_{(x:A)} B(x))} \prod_{(x:A)} (f(x) = g(x)) \equiv \sum_{g : \prod_{(x:A)} B(x)} (f \sim g)$$

is contractible. Since any two contractible types are equivalent, this means

$$\left( \sum_{(g : \prod_{(x:A)} B(x))} (f = g) \right) \simeq \left( \sum_{(g : \prod_{(x:A)} B(x))} (f \sim g) \right)$$

Since the first is contractible by Lemma 3.11.8. Thus, we've shown that  $\text{total}(\text{happly}(f))$ , as defined in Definition 4.7.5, is an equivalence. By Theorem 4.7.7, this makes  $\text{happly}(f, g)$  an equivalence for all  $g$ , proving the result.

## Section Ex16.

**Hypothesis**  $\text{funext} : \forall (A : \text{Type}) (B : A \rightarrow \text{Type}) (f g : \forall (x:A), B x),$   
 $(f \sim g) \rightarrow (f = g).$

**Definition**  $\text{funext}' \{A : \text{Type}\} \{B : A \rightarrow \text{Type}\} (f g : \forall (x:A), B x) :$

$(f \sim g) \rightarrow (f = g) :=$

$(\text{fun } h : (f \sim g) \Rightarrow (\text{funext } A B f g h) @ (\text{funext } A B g g (\text{fun } _ \Rightarrow 1)) ^).$

**Lemma**  $\text{funext}'\_beta \{A : \text{Type}\} \{B : A \rightarrow \text{Type}\} (f : \forall (x:A), B x) :$

$\text{funext}' f f (\text{fun } _ \Rightarrow 1) = 1.$

**Proof.**

`unfold funext'. rewrite concat_pV.reflexivity.`

**Defined.**

**Lemma**  $\text{Lemma3118} \{C\} : \forall (c:C), \text{Contr } \{x:C \ \& \ c = x\}.$

**Proof.**

`intro c.  $\exists (c; 1).$`

```

    intro x. destruct x as [x p]. path_induction. reflexivity.
Defined.
Lemma Lemma3116 A B f : Contr (∀ x:A, {y : B x & f x = y}).
Proof.
  ∃ (fun x:A ⇒ (f x; 1)).
  intro k. apply (funext' (fun x ⇒ (f x; 1)) k); intro x.
  assert (Contr {y : B x & f x = y}). apply Lemma3118.
  destruct X. destruct center. rewrite ← (contr (k x)).
  apply path_sigma_uncurried. ∃ proj2_sig.
  induction proj2_sig. reflexivity.
Defined.
Definition choice {A B f} :
  (∀ (x:A), {y : B x & f x = y}) → {g : ∀ (x:A), B x & f ~ g}.
  intro k. ∃ (fun x:A ⇒ (k x) . 1).
  intro x. apply (k x) . 2.
Defined.
Definition choice_inv {A B f} :
  ({g : ∀ (x:A), B x & f ~ g}) → (∀ (x:A), {y : B x & f x = y}).
  intros k x. apply (k . 1 x; k . 2 x).
Defined.
Lemma Theorem2157 {A B f} : IsEquiv(@choice A B f).
Proof.
  refine (isequiv_adjointify choice choice_inv _); intro k;
  unfold choice, choice_inv; simpl; [| apply funext'; intro x];
  apply path_sigma_uncurried; ∃ 1; reflexivity.
Defined.
Lemma contr_equiv_commute {A B} : A ≃ B → Contr A → Contr B.
Proof.
  intros f k.
  ∃ (f (center A)). intro x. transitivity (f (f-1 x)).
  apply (ap f). apply (contr (f-1 x)).
  apply eisretr.
Defined.
Lemma reduce_to_refl {A B f} : Contr {g : ∀ x:A, B x & f ~ g}.
Proof.
  apply (@contr_equiv_commute (∀ (x:A), {y : B x & f x = y})).
  refine (BuildEquiv _ _ choice Theorem2157).
  apply Lemma3116.
Defined.
Definition total_happly {A B f} :
  {g : ∀ x:A, B x & f = g} → {g : ∀ x:A, B x & f ~ g}.
  intros. destruct X. ∃ proj1_sig. apply apD10. apply proj2_sig.
Defined.
Definition total_happly_inv {A B f} :
  {g : ∀ x:A, B x & f ~ g} → {g : ∀ x:A, B x & f = g}.
  intros. destruct X. ∃ proj1_sig. apply funext'. apply proj2_sig.
Defined.
Lemma total_equivalence {A B f} : IsEquiv(@total_happly A B f).
Proof.

```

```

refine (isequiv_adjointify total_happly total_happly_inv _ _); intro k.
- assert (Contr {g : ∀ x:A, B x & f ~ g}). apply reduce_to_refl.
  destruct X. rewrite (contr k) ^.
  apply (contr (total_happly (total_happly_inv center))) ^.
- assert (Contr {g : ∀ x:A, B x & f = g}). apply Lemma3118.
  destruct X. rewrite (contr k) ^.
  apply (contr (total_happly_inv (total_happly center))) ^.
Defined.

Definition total {A P Q} (f : ∀ (x:A), P x → Q x) := fun w ⇒ (w.1; f w.1 w.2).

Lemma total_happly_is {A B f} : (@total_happly A B f) = total (@apD10 A B f).
Proof.
  unfold total_happly.
  apply funext'; intro.
  destruct x.
  reflexivity.
Qed.

Lemma Theorem477 (A : Type) (P Q : A → Type) (f : ∀ x:A, P x → Q x) :
  lSEquiv (total f) → ∀ x:A, lSEquiv (f x).
Proof.
  intros e a.
  refine (isequiv_adjointify _ _ _).
  (* quasi-inverse *)
  destruct e. intro q.
  change a with (a; q).1.
  apply (transport _ (base_path (eisretr (a; q)))).
  apply (equiv_inv (a; q)).2.
  (* section *)
  destruct e. intro p.
  refine ((ap_transport _ _ _) @ _).
  apply (fiber_path (eisretr (a; p))).
  (* retract *)
  destruct e. intro p.
  change p with (a; p).2.
  refine (_ @ (fiber_path (eissect (a; p)))).
  unfold base_path. f-ap. simpl.
  change (a; f a p) with (total f (a; p)).
  rewrite eisadj. refine ((ap_compose _ _ _) ^ @ _).
  reflexivity.
Defined.

Theorem ex2_16 {A B} (f g : ∀ (x:A), B x) : lSEquiv(@apD10 A B f g).
Proof.
  apply Theorem477.
  rewrite ← total_happly_is.
  apply total_equivalence.
Qed.

End Ex16.

```

### Exercise 2.17 (p. 105)

- (i) Show that if  $A \simeq A'$  and  $B \simeq B'$ , then  $(A \times B) \simeq (A' \times B')$ .

- (ii) Give two proofs of this fact, one using univalence and one not using it, and show that the two proofs are equal.
- (iii) Formulate and prove analogous results for the other type formers:  $\Sigma$ ,  $\rightarrow$ ,  $\Pi$ , and  $+$ .

**Solution** (i) Suppose that  $g : A \simeq A'$  and  $h : B \simeq B'$ . By the univalence axiom, this means that  $A = A'$  and  $B = B'$ . But then  $A \times B = A' \times B'$ , so again by univalence  $(A \times B) \simeq (A' \times B')$ .

Module Ex17.

**Theorem** `equiv_functor_prod` '{Univalence}:  $\forall (A A' B B' : \text{Type})$ ,  
 $A \simeq A' \rightarrow B \simeq B' \rightarrow (A \times B) \simeq (A' \times B')$ .

**Proof.**

```
intros A A' B B' f g.
apply equiv_path_universe in f.
apply equiv_path_universe in g.
apply equiv_path_universe.
apply (transport (fun x:Type => A × B = A' × x) g).
apply (transport (fun x:Type => A × B = x × B) f).
reflexivity.
```

**Defined.**

(ii) To prove this without univalence, we construct an explicit equivalence. Suppose that  $f : A \rightarrow A'$  and  $g : B \rightarrow B'$  are both equivalences, and define  $h : A \times B \rightarrow A' \times B'$  by

$$h(a, b) := (f(a), g(b))$$

with the appropriate inverse

$$h^{-1}(a', b') := (f^{-1}(a'), g^{-1}(b'))$$

Clearly these are quasi-inverses, since

$$h(h^{-1}(a', b')) \equiv h(f^{-1}(a'), g^{-1}(b')) \equiv (f(f^{-1}(a')), g(g^{-1}(b'))) \equiv (a', b')$$

and vice versa.

**Theorem** `equiv_functor_prod'` ' $\forall (A A' B B' : \text{Type})$ ,  
 $A \simeq A' \rightarrow B \simeq B' \rightarrow (A \times B) \simeq (A' \times B')$ .

**Proof.**

```
intros A A' B B' f g.
refine (equiv_adjointify (fun z => (f (fst z), g (snd z)))
  (fun z => (f⁻¹ (fst z), g⁻¹ (snd z)))
  - -);
intro z; destruct z; apply path_prod; simpl;
try (apply eisretr); try (apply eissect).
```

**Defined.**

To prove that the proofs are equivalent, it suffices to show that the underlying functions are equal, by Lemma 3.5.1.

**Theorem** `equal_proofs` '{Univalence} : `equiv_functor_prod` = `equiv_functor_prod'`.

**Proof.**

```
unfold equiv_functor_prod, equiv_functor_prod'. simpl. unfold compose.
apply path_forall; intro A. apply path_forall; intro A'.
apply path_forall; intro B. apply path_forall; intro B'.
apply path_forall; intro f. apply path_forall; intro g.
unfold equiv_path, equiv_adjointify.
```

```

apply path_equiv. simpl. apply path_forall; intro z.
destruct z as [a b]. simpl.
rewrite transport_paths_Fr. rewrite transport_paths_Fr.
refine ((transport_pp _ _ _ _) @ _).
refine ((transport_idmap_ap _ _ _ _ _) ^ @ _).
refine ((transport_prod _ _) @ _).
apply path_prod; simpl.
(* fst *)
refine ((transport_const _ _) @ _).
rewrite transport_pp.
rewrite ← (transport_idmap_ap Type (fun y ⇒ y × B)).
rewrite transport_prod. simpl.
refine (_ @ (transport_path_universe _ _)). f_ap.
unfold path_universe, path_universe_uncurried.
apply (ap _). apply path_equiv. reflexivity.
(* snd *)
refine (_ @ (transport_path_universe _ _)). f_ap.
unfold path_universe, path_universe_uncurried.
apply (ap _). apply path_equiv. reflexivity.
rewrite concat_1p.
rewrite ← (transport_idmap_ap Type (fun y ⇒ y × B)).
rewrite transport_prod. simpl.
apply transport_const.

```

Defined.

(iii) The proofs of the rest of these are pretty much routine. With univalence, we can just convert everything to equality, rewrite, and then convert back to equivalences. However, since Coq's rewriting approach can be fiddly, we sometimes have to write things out explicitly. Most of the conceptual work in this problem is just stating the generalizations, though, which are as follows:

$\Sigma$  If  $f : A \simeq A'$  and for all  $x : A$  we have  $B(x) \simeq B'(f(x))$ , then  $(\sum_{(x:A)} B(x)) \simeq (\sum_{(x':A')} B'(x'))$ . Another way to state the second assumption is that there is a fiberwise equivalence  $g : \prod_{(x:A)} B(x) \simeq B'(f(x))$ .

$\rightarrow$  If  $A \simeq A'$  and  $B \simeq B'$ , then  $(A \rightarrow B) \simeq (A' \rightarrow B')$ .

$\Pi$  If  $f : A \simeq A'$  and there is a fiberwise equivalence  $g : \prod_{(x:A)} B(x) \simeq B'(f(x))$ , then

$$\left( \prod_{x:A} B(x) \right) \simeq \left( \prod_{x':A'} B'(f(x')) \right)$$

$+$  If  $A \simeq A'$  and  $B \simeq B'$ , then  $A + B \simeq A' + B'$ .

**Definition** `sigma_f` '{Univalence} {A A' : Type} {B : A → Type} {B' : A' → Type}  
 (f : A ≃ A') (g : ∀ x : A, B x ≃ B' (f x)) :  
 {x : A & B x} → {x' : A' & B' x'}.

**Proof.**

```
intros. ∃ (f X.1). apply (g X.1 X.2).
```

Defined.

**Definition** `sigma_f_inv` '{Univalence} {A A' : Type} {B : A → Type} {B' : A' → Type}  
 (f : A ≃ A') (g : ∀ x : A, B x ≃ B' (f x)) (X : {x' : A' & B' x'})  
 :=  
 (f<sup>-1</sup> X.1; (g (f<sup>-1</sup> X.1))<sup>-1</sup> ((eistr f X.1) ^ # X.2)).

**Theorem** `ex2_17_sigma` '{Univalence} (A A' : Type) (B : A → Type) (B' : A' → Type)

$(f : A \simeq A') (g : \forall x : A, B x \simeq B' (f x)) :$   
 $\{x : A \& B x\} \simeq \{x' : A' \& B' x'\}.$   
**Proof.**  
 intros.  
 refine (equiv\_adjointify (sigma\_f f g) (sigma\_f\_inv f g) -.); intro h;  
 unfold sigma\_f, sigma\_f\_inv; simpl; apply path\_sigma\_uncurried; simpl.  
 $\exists$  (eisretr f h.1). simpl.  
 rewrite (eisretr (g (f<sup>-1</sup> h.1))). rewrite transport\_pV.reflexivity.  
 $\exists$  (eissect f h.1).  
 refine ((ap\_transport (eissect f h.1) (fun x' => (g x')<sup>-1</sup>)  
 (transport B' (eisretr f (f h.1)) ^ (g h.1 h.2))) ^ @ \_).  
 rewrite transport\_compose, eisadj, transport\_pV. apply eissect.

**Defined.**

**Theorem ex2\_17\_maps** '{Univalence} :  $\forall (A A' B B' : \text{Type}),$   
 $A \simeq A' \rightarrow B \simeq B' \rightarrow (A \rightarrow B) \simeq (A' \rightarrow B').$

**Proof.**

intros A A' B B' HA HB.  
 apply equiv\_path\_universe in HA.  
 apply equiv\_path\_universe in HB.  
 apply equiv\_path\_universe.  
 rewrite HA, HB.reflexivity.

**Defined.**

**Definition pi\_f** {A A' : Type} {B : A → Type} {B' : A' → Type}  
 $(f : A \simeq A') (g : \forall x : A, B x \simeq B' (f x)) :$   
 $(\forall x : A, B x) \rightarrow (\forall x' : A', B' x').$   
 intros.  
 apply ((eisretr f x') # ((g (f<sup>-1</sup> x')) (X (f<sup>-1</sup> x')))).

**Defined.**

**Definition pi\_f\_inv** {A A' : Type} {B : A → Type} {B' : A' → Type}  
 $(f : A \simeq A') (g : \forall x : A, B x \simeq B' (f x)) :$   
 $(\forall x' : A', B' x') \rightarrow (\forall x : A, B x).$   
 intros.  
 apply (g x)<sup>-1</sup>. apply (X (f x)).

**Defined.**

**Theorem ex2\_17\_pi** '{Funext} {A A' : Type} {B : A → Type} {B' : A' → Type}  
 $(f : A \simeq A') (g : \forall x : A, B x \simeq B' (f x)) :$   
 $(\forall x : A, B x) \simeq (\forall x' : A', B' x').$

**Proof.**

refine (equiv\_adjointify (pi\_f f g) (pi\_f\_inv f g) -.); intro h;  
 unfold pi\_f, pi\_f\_inv.  
 apply path\_forall; intro x'.  
 rewrite (eisretr (g (f<sup>-1</sup> x'))). induction (eisretr f x'). reflexivity.  
 apply path\_forall; intro x.  
 apply (ap (g x))<sup>-1</sup>. rewrite (eisretr (g x)).  
 rewrite eisadj. rewrite ← transport\_compose.  
 induction (eissect f x). reflexivity.

**Qed.**

**Theorem ex2\_17\_sum** '{Univalence} :  $\forall (A A' B B' : \text{Type}),$   
 $A \simeq A' \rightarrow B \simeq B' \rightarrow (A + B) \simeq (A' + B').$

**Proof.**

```

intros A A' B B' HA HB.
apply equiv_path_universe in HA.
apply equiv_path_universe in HB.
apply equiv_path_universe.
rewrite HA, HB. reflexivity.
Qed.
End Ex17.

```

### 3 Sets and logic

**Notation**  $\text{Brck } Q := (\text{merely } Q)$ .

**Exercise 3.1 (p. 127)** Prove that if  $A \simeq B$  and  $A$  is a set, then so is  $B$ .

**Solution** Suppose that  $A \simeq B$  and that  $A$  is a set. Since  $A$  is a set,  $x =_A y$  is a mere proposition. And since  $A \simeq B$ , this means that  $x =_B y$  is a mere proposition, hence that  $B$  is a set.

Alternatively, we can unravel some definitions. By assumption we have  $f : A \simeq B$  and

$$g : \text{isSet}(A) \equiv \prod_{(x,y:A)} \prod_{(p,q:x=y)} (p = q)$$

Now suppose that  $x, y : B$  and  $p, q : x = y$ . Then  $f^{-1}(x), f^{-1}(y) : A$  and  $f^{-1}(p), f^{-1}(q) : f^{-1}(x) = f^{-1}(y)$ , so

$$f(g(f^{-1}(x), f^{-1}(y), f^{-1}(p), f^{-1}(q))) : f(f^{-1}(p)) = f(f^{-1}(q))$$

Since  $f^{-1}$  is a quasi-inverse of  $f$ , we have the homotopy  $\alpha : \prod_{(a:A)} (f(f^{-1}(a)) = a)$ , thus

$$\alpha_x^{-1} \cdot f(g(f^{-1}(x), f^{-1}(y), f^{-1}(p), f^{-1}(q))) \cdot \alpha_y : p = q$$

So we've constructed an element of

$$\text{isSet}(B) : \prod_{(x,y:B)} \prod_{(p,q:x=y)} (p = q)$$

**Theorem ex3\_1**  $(A B : \text{Type}) \{ \text{Univalence} \} : A \simeq B \rightarrow \text{IsHSet } A \rightarrow \text{IsHSet } B$ .

**Proof.**

```

intros f g.
apply equiv_path_universe in f.
rewrite ← f.
apply g.

```

**Defined.**

**Theorem ex3\_1'**  $(A B : \text{Type}) : A \simeq B \rightarrow \text{IsHSet } A \rightarrow \text{IsHSet } B$ .

**Proof.**

```

intros f g x y.
apply hprop_allpath. intros p q.
assert (ap f^{-1} p = ap f^{-1} q). apply g.
apply ((ap (ap f^{-1})) ^{-1} X).

```

**Defined.**

**Exercise 3.2 (p. 127)** Prove that if  $A$  and  $B$  are sets, then so is  $A + B$ .

**Solution** Suppose that  $A$  and  $B$  are sets. Then for all  $a, a' : A$  and  $b, b' : B$ ,  $a = a'$  and  $b = b'$  are contractible. Given the characterization of the path space of  $A + B$  in \S2.12, it must also be contractible. Hence  $A + B$  is a set.

More explicitly, suppose that  $z, z' : A + B$  and  $p, q : z = z'$ . By induction, there are four cases.

- $z \equiv \text{inl}(a)$  and  $z' \equiv \text{inl}(a')$ . Then  $(z = z') \simeq (a = a')$ , and since  $A$  is a set,  $a = a'$  is contractible, so  $(z = z')$  is as well.
- $z \equiv \text{inl}(a)$  and  $z' \equiv \text{inr}(b)$ . Then  $(z = z') \simeq \mathbf{0}$ , so  $p$  is a contradiction.
- $z \equiv \text{inr}(b)$  and  $z' \equiv \text{inl}(a)$ . Then  $(z = z') \simeq \mathbf{0}$ , so  $p$  is a contradiction.
- $z \equiv \text{inr}(b)$  and  $z' \equiv \text{inr}(b')$ . Then  $(z = z') \simeq (b = b')$ , and since  $B$  is a set, this type is contractible.

So  $z = z'$  is contractible, making  $A + B$  a set.

**Theorem ex3\_2** ( $A B : \text{Type}$ ) :  $\text{IsHSet } A \rightarrow \text{IsHSet } B \rightarrow \text{IsHSet } (A + B)$ .

**Proof.**

```

intros f g.
intros z z'. apply hprop_allpath. intros p q.
assert ((path_sum z z') ^-1 p = (path_sum z z') ^-1 q).
pose proof ((path_sum z z') ^-1 p).
destruct z as [a | b], z' as [a' | b'].
apply f. contradiction. apply g.
apply ((ap (path_sum z z') ^-1) ^-1 X).

```

**Defined.**

**Exercise 3.3 (p. 127)** Prove that if  $A$  is a set and  $B : A \rightarrow \mathcal{U}$  is a type family such that  $B(x)$  is a set for all  $x : A$ , then  $\sum_{(x:A)} B(x)$  is a set.

**Solution** At this point the pattern in these proofs is relatively obvious: show that the path space of the combined types is determined by the path spaces of the base types, and then apply the fact that the base types are sets. So here we suppose that  $ww' : \sum_{(x:A)} B(x)$ , and that  $pq : (w = w')$ . Now

$$(w = w') \simeq \sum_{p: \text{pr}_1(w) = \text{pr}_1(w')} p_* (\text{pr}_2(w)) = \text{pr}_2(w')$$

by Theorem 2.7.2. Since  $A$  is a set,  $\text{pr}_1(w) = \text{pr}_1(w')$  is contractible, so  $(w = w') \simeq ((\text{refl}_{\text{pr}_1(w)})_* (\text{pr}_2(w)) = \text{pr}_2(w')) \equiv (\text{pr}_2(w) = \text{pr}_2(w'))$  by Lemma 3.11.9. And since  $B$  is a set, this too is contractible, making  $w = w'$  contractible and  $\sum_{(x:A)} B(x)$  a set.

**Theorem ex3\_3** ( $A : \text{Type}$ ) ( $B : A \rightarrow \text{Type}$ ) :

$\text{IsHSet } A \rightarrow (\forall x:A, \text{IsHSet } (B x)) \rightarrow \text{IsHSet } \{x : A \ \& \ B x\}$ .

**Proof.**

```

intros f g.
intros w w'. apply hprop_allpath. intros p q.
assert ((path_sigma_uncurried B w w') ^-1 p = (path_sigma_uncurried B w w') ^-1 q).
apply path_sigma_uncurried. simpl.
assert (p.1 = q.1). apply f.  $\exists X$ . apply (g w'.1).
apply ((ap (path_sigma_uncurried B w w') ^-1) ^-1 X).

```

**Defined.**

**Exercise 3.4 (p. 127)** Show that  $A$  is a mere proposition if and only if  $A \rightarrow A$  is contractible.



**Solution** For the forward direction, suppose that  $A$  is a mere proposition. Then by Example 3.6.2,  $A \rightarrow A$  is a mere proposition. We also have  $\text{id}_A : A \rightarrow A$  when  $A$  is inhabited and  $! : A \rightarrow A$  when it's not, so  $A \rightarrow A$  is contractible.

For the other direction, suppose that  $A \rightarrow A$  is contractible and that  $xy : A$ . We have the functions  $z \mapsto x$  and  $z \mapsto y$ , and since  $A \rightarrow A$  is contractible these functions are equal. `happly` then gives  $x = y$ , so  $A$  is a mere proposition.

**Theorem ex3\_4** `{Funext} (A : Type) : IsHProp A  $\leftrightarrow$  Contr (A  $\rightarrow$  A).`

**Proof.**

```
split; intro H'.
(* forward *)
 $\exists$  idmap; intro f.
apply path_forall; intro x. apply H'.
(* backward *)
apply hprop_allpath; intros x y.
assert ((fun z:A  $\Rightarrow$  x) = (fun z:A  $\Rightarrow$  y)).
destruct H'. transitivity center.
apply (contr (fun _  $\Rightarrow$  x))^. apply (contr (fun _ : A  $\Rightarrow$  y)).
apply (apD10 X x).
```

**Defined.**

**Exercise 3.5 (p. 127)** Show that  $\text{isProp}(A) \simeq (A \rightarrow \text{isContr}(A))$ .

**Solution** Lemma 3.3.3 gives us maps  $\text{isProp}(A) \rightarrow (A \rightarrow \text{isContr}(A))$  and  $(A \rightarrow \text{isContr}(A)) \rightarrow \text{isProp}(A)$ . Note that  $\text{isContr}(A)$  is a mere proposition, so  $A \rightarrow \text{isContr}(A)$  is as well.  $\text{isProp}(A)$  is always a mere proposition, so by Lemma 3.3.3 we have the equivalence.

**Module Ex5.**

**Theorem equiv\_hprop\_inhabited\_contr** `{Funext} (A : Type) : IsHProp A  $\simeq$  (A  $\rightarrow$  Contr A).`

**Proof.**

```
apply equiv_iff_hprop.
apply contr_inhabited_hprop.
apply hprop_inhabited_contr.
```

**Defined.**

**End Ex5.**

**Exercise 3.6 (p. 127)** Show that if  $A$  is a mere proposition, then so is  $A + (\neg A)$ .

**Solution** Suppose that  $A$  is a mere proposition, and that  $x, y : A + (\neg A)$ . By a case analysis, we have

- $x = \text{inl}(a)$  and  $y = \text{inl}(a')$ . Then  $(x = y) \simeq (a = a')$ , and  $A$  is a mere proposition, so this holds.
- $x = \text{inl}(a)$  and  $y = \text{inr}(f)$ . Then  $f(a) : \mathbf{0}$ , a contradiction.
- $x = \text{inr}(f)$  and  $y = \text{inl}(a)$ . Then  $f(a) : \mathbf{0}$ , a contradiction.
- $x = \text{inr}(f)$  and  $y = \text{inr}(f')$ . Then  $(x = y) \simeq (f = f')$ , and  $\neg A$  is a mere proposition, so this holds.

**Theorem ex3\_6** `{Funext} {A} : IsHProp A  $\rightarrow$  IsHProp (A +  $\neg$ A).`

**Proof.**

```
intro H'.
assert (IsHProp ( $\neg$ A)) as H''.
apply hprop_allpath. intros f f'. apply path_forall; intro x. contradiction.
```

```

apply hprop_allpath. intros x y.
destruct x as [a | f], y as [a' | f'].
apply (ap inl). apply H'.
contradiction.
contradiction.
apply (ap inr). apply H''.
Defined.

```

**Exercise 3.7 (p. 127)** More generally, show that if  $A$  and  $B$  are mere propositions and  $\neg(A \times B)$ , then  $A + B$  is also a mere proposition.

**Solution** Suppose that  $A$  and  $B$  are mere propositions with  $f : \neg(A \times B)$ , and let  $x, y : A + B$ . Then we have cases:

- $x = \text{inl}(a)$  and  $y = \text{inl}(a')$ . Then  $(x = y) \simeq (a = a')$ , and  $A$  is a mere proposition, so this holds.
- $x = \text{inl}(a)$  and  $y = \text{inr}(b)$ . Then  $f(a, b) : \mathbf{0}$ , a contradiction.
- $x = \text{inr}(b)$  and  $y = \text{inl}(a)$ . Then  $f(a, b) : \mathbf{0}$ , a contradiction.
- $x = \text{inr}(b)$  and  $y = \text{inr}(b')$ . Then  $(x = y) \simeq (b = b')$ , and  $B$  is a mere proposition, so this holds.

**Theorem ex3\_7**  $\{A B\} : \text{IsHProp } A \rightarrow \text{IsHProp } B \rightarrow \neg(A \times B) \rightarrow \text{IsHProp } (A+B)$ .

**Proof.**

```

intros HA HB f.
apply hprop_allpath; intros x y.
destruct x as [a | b], y as [a' | b'].
apply (ap inl). apply HA.
assert Empty. apply (f (a, b')). contradiction.
assert Empty. apply (f (a', b)). contradiction.
apply (ap inr). apply HB.
Defined.

```

**Exercise 3.8 (p. 127)** Assuming that some type  $\text{isequiv}(f)$  satisfies

- For each  $f : A \rightarrow B$ , there is a function  $\text{qinv}(f) \rightarrow \text{isequiv}(f)$ ;
- For each  $f$  we have  $\text{isequiv}(f) \rightarrow \text{qinv}(f)$ ;
- For any two  $e_1, e_2 : \text{isequiv}(f)$  we have  $e_1 = e_2$ ,

show that the type  $\|\text{qinv}(f)\|$  satisfies the same conditions and is equivalent to  $\text{isequiv}(f)$ .

**Solution** Suppose that  $f : A \rightarrow B$ . There is a function  $\text{qinv}(f) \rightarrow \|\text{qinv}(f)\|$  by definition. Since  $\text{isequiv}(f)$  is a mere proposition (by iii), the recursion principle for  $\|\text{qinv}(f)\|$  gives a map  $\|\text{qinv}(f)\| \rightarrow \text{isequiv}(f)$ , which we compose with the map from (ii) to give a map  $\|\text{qinv}(f)\| \rightarrow \text{qinv}(f)$ . Finally,  $\|\text{qinv}(f)\|$  is a mere proposition by construction. Since  $\|\text{qinv}(f)\|$  and  $\text{isequiv}(f)$  are both mere propositions and logically equivalent,  $\|\text{qinv}(f)\| \simeq \text{isequiv}(f)$  by Lemma 3.3.3.

**Section Exercise3\_8.**

```

Variables (E Q : Type).
Hypothesis H1 : Q → E.
Hypothesis H2 : E → Q.
Hypothesis H3 : ∀ e e' : E, e = e'.

```

**Definition ex3\_8.i** :  $Q \rightarrow (\text{Brck } Q) := \text{tr}$ .

**Definition ex3\_8.ii** :  $(\text{Brck } Q) \rightarrow Q$ .

```

intro q. apply H2. apply (@Trunc_rect -1 Q).
intro q'. apply hprop_allpath. apply H3.
apply H1. apply q.
Defined.

```

```

Theorem ex3_8_iii : ∀ q q' : Brck Q, q = q'.
  apply allpath_hprop.
Defined.

```

```

Theorem ex3_8_iv : (Brck Q) ≃ E.
  apply @equiv_iff_hprop.
  apply hprop_allpath. apply ex3_8_iii.
  apply hprop_allpath. apply H3.
  apply (H1 ∘ ex3_8_ii).
  apply (ex3_8_i ∘ H2).
Defined.

```

End Exercise3\_8.

**Exercise 3.9 (p. 127)** Show that if LEM holds, then the type  $\text{Prop} := \sum_{(A:\mathcal{U})} \text{isProp}(A)$  is equivalent to **2**.

**Solution** Suppose that

$$f : \prod_{A:\mathcal{U}} (\text{isProp}(A) \rightarrow (A + \neg A))$$

To construct a map  $\text{Prop} \rightarrow \mathbf{2}$ , it suffices to consider an element of the form  $(A, g)$ , where  $g : \text{isProp}(A)$ . Then  $f(g) : A + \neg A$ , so we have two cases:

- $f(g) \equiv \text{inl}(a)$ , in which case we send it to  $1_2$ , or
- $f(g) \equiv \text{inr}(a)$ , in which case we send it to  $0_2$ .

To go the other way, note that LEM splits  $\text{Prop}$  into two equivalence classes (basically, the true and false propositions), and **1** and **0** are in different classes. Univalence quotients out these classes, leaving us with two elements. We'll use **1** and **0** as representatives, so we send  $0_2$  to **0** and  $1_2$  to **1**.

Coq has some trouble with the universes here, so we have to specify that we want  $(\text{Unit} : \text{Type})$  and  $(\text{Empty} : \text{Type})$ ; otherwise we get the *Type0* versions.

Section Exercise3\_9.

Hypothesis LEM : ∀ (A : Type), IsHProp A → (A + ¬A).

```

Definition ex3_9_f (P : {A : Type & IsHProp A}) : Bool :=
  match (LEM P.1 P.2) with
  | inl a ⇒ true
  | inr a' ⇒ false
end.

```

```

Lemma hprop_Unit : IsHProp (Unit : Type).
  apply hprop_inhabited_contr. intro u. apply contr_unit.
Defined.

```

```

Definition ex3_9_inv (b : Bool) : {A : Type & IsHProp A} :=
  match b with
  | true ⇒ @existT Type IsHProp (Unit : Type) hprop_Unit
  | false ⇒ @existT Type IsHProp (Empty : Type) hprop_Empty
end.

```

**Theorem ex3\_9** {Univalence} : {A : Type & IsHProp A}  $\simeq$  Bool.

**Proof.**

```

refine (equiv_adjointify ex3_9_f ex3_9_inv - _).
intro b. unfold ex3_9_f, ex3_9_inv.
destruct b.
  simpl. destruct (LEM (Unit:Type) hprop_Unit).
  reflexivity.
  contradiction n. exact tt.
  simpl. destruct (LEM (Empty:Type) hprop_Empty).
  contradiction. reflexivity.
intro w. destruct w as [A p]. unfold ex3_9_f, ex3_9_inv.
  simpl. destruct (LEM A p) as [x | x].
  apply path_sigma_uncurried. simpl.
  assert ((Unit:Type) = A).
    assert (Contr A). apply contr_inhabited_hprop. apply p. apply x.
    apply equiv_path_universe. apply equiv_inverse. apply equiv_contr_unit.
   $\exists$  X. induction X. simpl.
  assert (IsHProp (IsHProp (Unit:Type))). apply HProp_HProp. apply X.
  apply path_sigma_uncurried. simpl.
  assert ((Empty:Type) = A).
    apply equiv_path_universe. apply equiv_iff_hprop.
    intro z. contradiction.
    intro a. contradiction.
   $\exists$  X. induction X. simpl.
  assert (IsHProp (IsHProp (Empty:Type))). apply HProp_HProp. apply X.

```

**Qed.**

**End Exercise3\_9.**

**Exercise 3.10 (p. 127)** Show that if  $\mathcal{U}_{i+1}$  satisfies LEM, then the canonical inclusion  $\text{Prop}_{\mathcal{U}_i} \rightarrow \text{Prop}_{\mathcal{U}_{i+1}}$  is an equivalence.

**Solution** If  $\text{LEM}_{i+1}$  holds, then  $\text{LEM}_i$  holds as well. For suppose that  $A : \mathcal{U}_i$  and  $p : \text{isProp}(A)$ . Then we also have  $A : \mathcal{U}_{i+1}$ , so  $\text{LEM}_{i+1}(A, p) : A + \neg A$ , establishing  $\text{LEM}_i$ . By the previous exercise, then,  $\text{Prop}_{\mathcal{U}_i} \simeq \mathbf{2} \simeq \text{Prop}_{\mathcal{U}_{i+1}}$ .

Since Coq doesn't let the user access the **Type<sub>i</sub>** hierarchy, there's not much to do here. This is really more of a "proof by contemplation" anyway.

**Exercise 3.11 (p. 127)** Show that it is not the case that for all  $A : \mathcal{U}$  we have  $\|A\| \rightarrow A$ .

**Solution** We can essentially just copy Theorem 3.2.2. Suppose given a function  $f : \prod_{(A:\mathcal{U})} \|A\| \rightarrow A$ , and recall the equivalence  $e : \mathbf{2} \simeq \mathbf{2}$  from Exercise 2.13 given by  $e(1_2) \equiv 0_2$  and  $e(0_2) = 1_2$ . Then  $\text{ua}(e) : \mathbf{2} = \mathbf{2}$ ,  $f(\mathbf{2}) : \|\mathbf{2}\| \rightarrow \mathbf{2}$ , and

$$\text{apd}_f(\text{ua}(e)) : \text{transport}^{A \mapsto (\|A\| \rightarrow A)}(\text{ua}(e), f(\mathbf{2})) = f(\mathbf{2})$$

So for  $u : \|\mathbf{2}\|$ ,

$$\text{happly}(\text{apd}_f(\text{ua}(e)), u) : \text{transport}^{A \mapsto (\|A\| \rightarrow A)}(\text{ua}(e), f(\mathbf{2}))(u) = f(\mathbf{2})(u)$$

and by 2.9.4, we have

$$\text{transport}^{A \mapsto (\|A\| \rightarrow A)}(\text{ua}(e), f(\mathbf{2}))(u) = \text{transport}^{A \mapsto A}(\text{ua}(e), f(\mathbf{2}))(\text{transport}^{|-|}(\text{ua}(e)^{-1}, u))$$

But, any two  $u, v : \|A\|$  are equal, since  $\|A\|$  is contractible. So  $\text{transport}^{\|-|\|}(\text{ua}(e)^{-1}, u) = u$ , and so

$$\text{happly}(\text{apd}_f(\text{ua}(e)), u) : \text{transport}^{A \mapsto A}(\text{ua}(e), f(2)(u)) = f(2)(u)$$

and the propositional computation rule for  $\text{ua}$  gives

$$\text{happly}(\text{apd}_f(\text{ua}(e)), u) : e(f(2)(u)) = f(2)(u)$$

But  $e$  has no fixed points, so we have a contradiction.

**Lemma** `negb_no_fixpoint` :  $\forall b, \neg (\text{negb } b = b)$ .

**Proof.**

```
intros b H. destruct b; simpl in H.
  apply (false_ne_true H).
  apply (true_ne_false H).
```

**Defined.**

```
(*
  Theorem ex3_11 '{Univalence} : ~ (forall A, Brck A -> A).
  Proof.
  intro f.
  assert (forall b, negb (f Bool b) = f Bool b). intro b.
  assert (transport (fun A => Brck A -> A) (path_universe negb) (f Bool) b
    =
    f Bool b).
  apply (apD10 (apD f (path_universe negb)) b).
  assert (transport (fun A => Brck A -> A) (path_universe negb) (f Bool) b
    =
    transport idmap (path_universe negb)
      (f Bool (transport (fun A => Brck A)
        (path_universe negb) ^
        b))).
  apply (@transport_arrow Type0 (fun A => Brck A) idmap).
  rewrite X in X0.
  assert (b = (transport (fun A : Type => Brck A) (path_universe negb) ^ b)).
  apply allpath_hprop. rewrite <- X1 in X0. symmetry in X0.
  assert (transport idmap (path_universe negb) (f Bool b) = negb (f Bool b)).
  apply transport_path_universe. rewrite X2 in X0. apply X0.
  apply (@negb_no_fixpoint (f Bool (min1 true))).
  apply (X (min1 true)).
  Qed.
*)
```

**Exercise 3.12 (p. 127)** Show that if LEM holds, then for all  $A : \mathcal{U}$  we have  $\| \|A\| \rightarrow A \|$ .

**Solution** Suppose that LEM holds, and that  $A : \mathcal{U}$ . By LEM, either  $\|A\|$  or  $\neg \|A\|$ . If the former, then we can use the recursion principle for  $\|A\|$  to construct a map to  $\| \|A\| \rightarrow A \|$ , then apply it to the element of  $\|A\|$ . So we need a map  $A \rightarrow \| \|A\| \rightarrow A \|$ , which is not hard to get:

$$\lambda(a : A). |\lambda(a' : \|A\|). a| : A \rightarrow \| \|A\| \rightarrow A \|$$

If the latter, then we have the canonical map out of the empty type  $\|A\| \rightarrow A$ , hence we have  $\| \|A\| \rightarrow A \|$ .

**Section** `Exercise3_12`.

**Hypothesis**  $LEM : \forall A, \text{IsHProp } A \rightarrow (A + \neg A)$ .

**Theorem**  $\text{ex3\_12} : \forall A, \text{Brck } (\text{Brck } A \rightarrow A)$ .

**Proof.**

intro A.  
destruct (LEM (Brck A) \_).  
strip\_truncations. apply tr. intro a. apply t.  
apply tr. intro a. contradiction (n a).

**Defined.**

**End** Exercise3\_12.

**Exercise 3.13 (p. 127)** Show that the axiom

$$LEM' : \prod_{A:\mathcal{U}} (A + \neg A)$$

implies that for  $X : \mathcal{U}$ ,  $A : X \rightarrow \mathcal{U}$ , and  $P : \prod_{(x:X)} A(x) \rightarrow \mathcal{U}$ , if  $X$  is a set,  $A(x)$  is a set for all  $x : X$ , and  $P(x, a)$  is a mere proposition for all  $x : X$  and  $a : A(x)$ ,

$$\left( \prod_{x:X} \left\| \sum_{a:A(x)} P(x, a) \right\| \right) \rightarrow \left\| \sum_{(g:\prod_{(x:X)} A(x))} \prod_{(x:X)} P(x, g(x)) \right\|.$$

**Solution** By Lemma 3.8.2, it suffices to show that for any set  $X$  and any  $Y : X \rightarrow \mathcal{U}$  such that  $Y(x)$  is a set, we have

$$\left( \prod_{x:X} \|Y(x)\| \right) \rightarrow \left\| \prod_{x:X} Y(x) \right\|$$

Suppose that  $f : \prod_{(x:X)} \|Y(x)\|$ . By  $LEM'$ , either  $Y(x)$  is inhabited or it's not. If it is, then  $LEM'(Y(x)) \equiv y : Y(x)$ , and we have

$$|\lambda(x:X). y| : \left\| \prod_{x:X} Y(x) \right\|$$

Suppose instead that  $\neg Y(x)$  and that  $x : X$ . Then  $f(x) : \|Y(x)\|$ . Since we're trying to derive a mere proposition, we can ignore this truncation and suppose that  $f(x) : Y(x)$ , in which case we have a contradiction, and we're done.

The reason we can ignore the truncation (and apply *strip\_truncations* in Coq) in hypotheses is given by the reasoning in the previous Exercise. If the conclusion is a mere proposition, then the recursion principle for  $\|Y(x)\|$  allows us to construct an arrow out of  $\|Y(x)\|$  if we have one from  $Y(x)$ .

**Definition**  $AC := \forall X A P,$

$\text{IsHSet } X \rightarrow (\forall x, \text{IsHSet } (A x)) \rightarrow (\forall x a, \text{IsHProp } (P x a))$   
 $\rightarrow ((\forall x:X, \text{Brck } \{a:A x \ \& \ P x a\})$   
 $\rightarrow \text{Brck } \{g : \forall x, A x \ \& \ \forall x, P x (g x)\})$ .

**Definition**  $AC\_prod := \forall (X : \text{hSet}) (Y : X \rightarrow \text{Type}),$

$(\forall x, \text{IsHSet } (Y x)) \rightarrow$   
 $((\forall x, \text{Brck } (Y x)) \rightarrow \text{Brck } (\forall x, Y x)).$

**Lemma**  $\text{hprop\_is\_hset } (A : \text{Type}) : \text{IsHProp } A \rightarrow \text{IsHSet } A.$

**Proof.**

*typeclasses eauto.*

**Defined.**

Lemma AC\_equiv\_AC\_prod '{Funext} : AC  $\simeq$  AC\_prod.

Proof.

```

apply equiv_iff_hprop; unfold AC, AC_prod.
(* forward *)
intros AC HX Y HY f.
transparent assert (He : (
  Brck ({g :  $\forall x, Y x \& \forall x, (\text{fun } x a \Rightarrow \text{Unit}) x (g x)}$ })
   $\simeq$ 
  Brck ( $\forall x, Y x$ )
)).
apply equiv_iff_hprop.
intro w. strip_truncations. apply tr. apply w.1.
intro g. strip_truncations. apply tr.  $\exists$  g. intro x. apply tt.

apply He. clear He. apply (AC _ Y (fun x a  $\Rightarrow$  Unit)). apply HX. apply HY.
intros. apply hprop_Unit. intros.
assert (y : Brck (Y x)) by apply f. strip_truncations.
apply tr.  $\exists$  y. apply tt.

(* back *)
intros AC_prod X A P HX HA HP f.
transparent assert (He : (
  Brck ( $\forall x, \{a : A x \& P x a\}$ )
   $\simeq$ 
  Brck {g :  $\forall x, A x \& \forall x, P x (g x)$ }
)).
apply equiv_iff_hprop.
intros. strip_truncations. apply tr.  $\exists$  (fun x  $\Rightarrow$  (X0 x).1).
intro x. apply (X0 x).2.
intros. strip_truncations. apply tr. intro x. apply (X0.1 x; X0.2 x).

apply He. clear He.
apply (AC_prod (default_HSet X HX) (fun x  $\Rightarrow$  {a : A x & P x a})).
intros. apply ex3_3. apply (HA x). intro a.
apply hprop_is_hset. apply (HP x a).
intro x. apply (f x).

```

Defined.

Section Exercise3\_13.

Hypothesis LEM' :  $\forall A, A + \neg A$ .

Theorem ex3\_13 '{Funext} : AC.

Proof.

```

apply AC_equiv_AC_prod. intros X Y HX HY.
apply tr. intros.
destruct (LEM' (Y x)). apply y.
assert (Brck (Y x)) as y'. apply HY.
assert ( $\neg$  Brck (Y x)) as nn. intro p. strip_truncations. contradiction.
contradiction nn.

```

Defined.

End Exercise3\_13.

**Exercise 3.14 (p. 127)** Show that assuming LEM, the double negation  $\neg\neg A$  has the same universal property as the propositional truncation  $\|A\|$ , and is therefore equivalent to it.

**Solution** Suppose that  $a : \neg\neg A$  and that we have some function  $g : A \rightarrow B$ , where  $B$  is a mere proposition, so  $p : \text{isProp}(B)$ . We can construct a function  $\neg\neg A \rightarrow \neg\neg B$  by using contraposition twice, producing  $g'' : \neg\neg A \rightarrow \neg\neg B$

$$g''(h) := \lambda(f : \neg B). h(\lambda(a : A). f(g(a)))$$

LEM then allows us to use double negation elimination to produce a map  $\neg\neg B \rightarrow B$ . Suppose that  $f : \neg\neg B$ . Then we have  $\text{LEM}(B, p) : B + \neg B$ , and in the left case we can produce the witness, and in the right case we use  $f$  to derive a contradiction. Explicitly, we have  $\ell : \neg\neg B \rightarrow B$  given by

$$\ell(f) := \text{rec}_{B+\neg B}(B, \text{id}_B, f, \text{LEM}(B, p))$$

The computation rule does not hold judgementally for  $g'' \circ \ell$ . I don't see that it can, given the use of LEM. Clearly it does hold propositionally, if one takes  $|a|' := \lambda f. f(a)$  to be the analogue of the constructor for  $\|A\|$ ; for any  $a : A$ , we have  $g(a) : B$ , and the fact that  $B$  is a mere proposition ensures that  $(g'' \circ \ell)(|a|') = g(a)$ .

Section Exercise3.14.

Hypothesis  $\text{LEM} : \forall A, \text{IsHProp } A \rightarrow (A + \neg A)$ .

Definition  $\text{Brck}'(A : \text{Type}) := \neg\neg A$ .

Definition  $\text{min1}'\{A : \text{Type}\}(a : A) : \text{Brck}' A := \text{fun } f \Rightarrow f a$ .

Definition  $\text{contrapositive}\{A B : \text{Type}\} : (A \rightarrow B) \rightarrow (\neg B \rightarrow \neg A)$ .

intros. intro  $a$ . apply  $X0$ . apply  $X$ . apply  $a$ .

Defined.

Definition  $\text{DNE}\{B : \text{Type}\} \{ \text{IsHProp } B \} : \neg\neg B \rightarrow B$ .

intros. destruct  $(\text{LEM } B \text{ IsHProp0})$ . apply  $b$ . contradiction  $X$ .

Defined.

Definition  $\text{trunc\_rect}'\{A B : \text{Type}\}(g : A \rightarrow B) : \text{IsHProp } B \rightarrow \text{Brck}' A \rightarrow B$ .

intros  $HB a$ . apply  $\text{DNE}$ . apply  $(\text{contrapositive } (\text{contrapositive } g))$ . apply  $a$ .

Defined.

End Exercise3.14.

**Exercise 3.15 (p. 128)** Show that if we assume propositional resizing, then the type

$$\prod_{P:\text{Prop}} ((A \rightarrow P) \rightarrow P)$$

has the same universal property as  $\|A\|$ .

**Solution** Let  $A : \mathcal{U}_i$ , so that for  $\|A\|'' := \prod_{(P:\text{Prop}_{\mathcal{U}_i})} ((A \rightarrow P) \rightarrow P)$  we have  $\|A\|'' : \mathcal{U}_{i+1}$ . By propositional resizing, however, we have a corresponding  $\|A\|'' : \mathcal{U}_i$ . To construct an arrow  $\|A\|'' \rightarrow B$ , suppose that  $f : \|A\|'' \rightarrow B$  and  $g : A \rightarrow B$ . Then  $f(B, g) : B$ . So  $\lambda f. \tilde{f}(B, g) : \|A\|'' \rightarrow B$ , where  $\tilde{f}$  is the image of  $f$  under the inverse of the canonical inclusion  $\text{Prop}_{\mathcal{U}_i} \rightarrow \text{Prop}_{\mathcal{U}_{i+1}}$ .

To show that the computation rule holds, let

$$|a|'' := \lambda P. \lambda f. f(a) : \prod_{P:\text{Prop}} ((A \rightarrow P) \rightarrow P)$$

We need to show that  $(\lambda f. \tilde{f}(B, g))(|a|'') \equiv g(a)$ . Assuming that propositional resizing gives a judgemental equality, we have

$$\begin{aligned} (\lambda f. \tilde{f}(B, g))(|a|'') &\equiv (\lambda f. \tilde{f}(B, g))(\lambda P. \lambda f. f(a)) \\ &\equiv (\lambda P. \lambda f. f(a))(B, g) \\ &\equiv g(a) \end{aligned}$$



**Definition** `Brck''`  $(A : \text{Type}) := \forall (P : \text{hProp}), ((A \rightarrow P) \rightarrow P)$ .  
**Definition** `min1''`  $\{A : \text{Type}\} (a : A) := \text{fun } (P : \text{hProp}) (f : A \rightarrow P) \Rightarrow f a$ .  
**Definition** `trunc_rect''`  $\{A B : \text{Type}\} (g : A \rightarrow B) : \text{IsHProp } B \rightarrow \text{Brck'' } A \rightarrow B$ .  
 intros  $p f$ .  
 apply  $(f (\text{hp } B p))$ . apply  $g$ .  
**Defined.**

**Exercise 3.16 (p. 128)** Assuming LEM, show that double negation commutes with universal quantification of mere propositions over sets. That is, show that if  $X$  is a set and each  $Y(x)$  is a mere proposition, then LEM implies

$$\left( \prod_{x:X} \neg\neg Y(x) \right) \simeq \left( \neg\neg \prod_{x:X} Y(x) \right).$$

**Solution** Each side is a mere proposition, since one side is a dependent function into a mere proposition and the other is a negation. So we just need to show that each implies the other. From left to right we use the fact that LEM is equivalent to double negation to obtain  $\prod_{(x:X)} Y(x)$ , and double negation introduction is always allowed, giving the right side. For the other direction we do the same.

**Section** `Exercise3_16`.

**Hypothesis** `LEM`  $: \forall A, \text{IsHProp } A \rightarrow (A + \neg A)$ .

**Theorem** `ex3_16`  $\{ \text{Funext} \} (X : \text{hSet}) (Y : X \rightarrow \text{Type}) :$

$(\forall x, \text{IsHProp } (Y x)) \rightarrow$   
 $(\forall x, \neg\neg Y x) \simeq \neg\neg (\forall x, Y x)$ .

**Proof.**

intro  $HY$ . apply `equiv_iff_hprop`; intro  $H'$ .  
 intro  $f$ . apply  $f$ . intro  $x$ .  
 destruct  $(\text{LEM } (Y x))$ .  
 apply  $HY$ . apply  $y$ .  
 contradiction  $(H' x)$ .  
 intro  $x$ .  
 destruct  $(\text{LEM } (Y x))$ .  
 apply  $HY$ . intro  $f$ . contradiction.  
 assert  $(\neg (\forall x, Y x))$ . intro  $f$ . contradiction  $(f x)$ .  
 contradiction  $H'$ .

**Qed.**

**End** `Exercise3_16`.

**Exercise 3.17 (p. 128)** Show that the rules for the propositional truncation given in §3.7 are sufficient to imply the following induction principle: for any type family  $B : \|A\| \rightarrow \mathcal{U}$  such that each  $B(x)$  is a mere proposition, if for every  $a : A$  we have  $B(|a|)$ , then for every  $x : \|A\|$  we have  $B(x)$ .

**Solution** Suppose that  $B : \|A\| \rightarrow \mathcal{U}$ ,  $B(x)$  is a mere proposition for all  $x : \|A\|$  and that  $f : \prod_{(a:A)} B(|a|)$ . Suppose that  $x : \|A\|$ ; we need to construct an element of  $B(x)$ . By the induction principle for  $\|A\|$ , it suffices to exhibit a map  $A \rightarrow B(x)$ . So suppose that  $a : A$ , and we'll construct an element of  $B(x)$ . Since  $\|A\|$  is contractible, we have  $p : |a| = x$ , and  $p_*(f(a)) : B(x)$ .

**Theorem** `ex3_17`  $(A : \text{Type}) (B : \text{Brck } A \rightarrow \text{Type}) :$

$(\forall x, \text{IsHProp } (B x)) \rightarrow (\forall a, B(\text{tr } a)) \rightarrow (\forall x, B x)$ .

**Proof.**

```
intros HB f. intro x.
apply Trunc_rect. apply HB.
intro a. apply (f a).
```

**Defined.**

**Exercise 3.18 (p. 128)** Show that the law of excluded middle

$$\text{LEM} : \prod_{A:\mathcal{U}} (\text{isProp}(A) \rightarrow (A + \neg A))$$

and the law of double negation

$$\text{DN} : \prod_{A:\mathcal{U}} (\text{isProp}(A) \rightarrow (\neg\neg A \rightarrow A))$$

are logically equivalent.

**Solution** For the forward direction, suppose that LEM holds, that  $A : \mathcal{U}$ , that  $H : \text{isProp}(A)$ , and that  $f : \neg\neg A$ . We then need to produce an element of  $A$ . We have  $z \equiv \text{LEM}(A, H) : A + \neg A$ , so we can consider cases:

- $z \equiv \text{inl}(a)$ , in which case we can produce  $a$ .
- $z \equiv \text{inr}(x)$ , in which case we have  $f(x) : \mathbf{0}$ , a contradiction.

giving the forward direction.

Suppose instead that DN holds, and we have  $A : \mathcal{U}$  and  $H : \text{isProp}(A)$ . We need to provide an element of  $A + \neg A$ . By Exercise 3.6,  $A + \neg A$  is a mere proposition, so by DN, if we can give an element of  $\neg\neg(A + \neg A)$ , then we'll get one of  $A + \neg A$ . In Exercise 1.13 we constructed such an element, so producing that gives one of  $A + \neg A$ , and we're done.

**Theorem ex3\_18**  $\{\text{Funext}\}$  :

$$(\forall A, \text{IsHProp } A \rightarrow (A + \neg A)) \leftrightarrow (\forall A, \text{IsHProp } A \rightarrow (\neg\neg A \rightarrow A)).$$

**Proof.**

```
split.
intros LEM A H' f. destruct (LEM A H'). apply a. contradiction.
intros DN A H'. apply (DN (A + ¬A) (ex3_6 H')).
exact (fun g : ¬ (A + ¬A) => g (inr (fun a:A => g (inl a)))).
```

**Qed.**

**Exercise 3.19 (p. 128)** Suppose  $P : \mathbb{N} \rightarrow \mathcal{U}$  is a decidable family of mere propositions. Prove that

$$\left\| \sum_{n:\mathbb{N}} P(n) \right\| \rightarrow \sum_{n:\mathbb{N}} P(n).$$

**Solution** Since  $P : \mathbb{N} \rightarrow \mathcal{U}$  is decidable, we have  $f : \prod_{(n:\mathbb{N})} (P(n) + \neg P(n))$ . So if  $\left\| \sum_{(n:\mathbb{N})} P(n) \right\|$  is inhabited, then there is some smallest  $n$  such that  $P(n)$ . It would be nice if we could define a function to return the smallest  $n$  such that  $P(n)$ . But unbounded minimization isn't a total function, so that won't obviously work. Following the discussion of Corollary 3.9.2, what we can do instead is to define some

$$Q : \left( \sum_{n:\mathbb{N}} P(n) \right) \rightarrow \mathcal{U}$$

such that  $\sum_{(w:\sum_{(n:\mathbb{N})} P(n))} Q(w)$  is a mere proposition. Then we can project out an element of  $\sum_{(n:\mathbb{N})} P(n)$ .

$Q(w)$  will be the proposition that  $w$  is the smallest member of  $\sum_{(n:\mathbb{N})} P(n)$ . Explicitly,

$$Q(w) := \prod_{w': \sum_{(n:\mathbb{N})} P(n)} \text{pr}_1(w) \leq \text{pr}_1(w')$$

Then we have

$$\sum_{w:\sum_{(n:\mathbb{N})} P(n)} Q(w) \equiv \sum_{(w:\sum_{(n:\mathbb{N})} P(n))} \prod_{(w':\sum_{(n:\mathbb{N})} P(n))} \text{pr}_1(w) \leq \text{pr}_1(w')$$

which we must show to be a mere proposition. Suppose that  $w$  and  $w'$  are two elements of this type. By  $\text{pr}_2(w)$  and  $\text{pr}_2(w')$ , we have  $\text{pr}_1(\text{pr}_1(w)) \leq \text{pr}_1(\text{pr}_1(w'))$  and  $\text{pr}_1(\text{pr}_1(w')) \leq \text{pr}_1(\text{pr}_1(w))$ , so  $\text{pr}_1(\text{pr}_1(w)) = \text{pr}_1(\text{pr}_1(w'))$ . Since  $\mathbb{N}$  has decidable equality,  $\text{pr}_1(w) \leq \text{pr}_2(w')$  is a mere proposition for all  $w$  and  $w'$ , meaning that  $Q(w)$  is a mere proposition. So  $w = w'$ , meaning that our type is contractible.

Now we can use the universal property of  $\|\sum_{(n:\mathbb{N})} P(n)\|$  to construct an arrow into  $\sum_{(w:\sum_{(n:\mathbb{N})} P(n))} Q(w)$  by way of a function  $(\sum_{(n:\mathbb{N})} P(n)) \rightarrow \sum_{(w:\sum_{(n:\mathbb{N})} P(n))} Q(w)$ . So suppose that we have some element  $w : \sum_{(n:\mathbb{N})} P(n)$ . Using bounded minimization, we can obtain the smallest element of  $\sum_{(n:\mathbb{N})} P(n)$  that's less than or equal to  $w$ , and this will in fact be the smallest element *tout court*. This means that it's a member of our constructed type, so we've constructed a map

$$\left\| \sum_{n:\mathbb{N}} P(n) \right\| \rightarrow \sum_{w:\sum_{(n:\mathbb{N})} P(n)} Q(w)$$

and projecting out gives the function in the statement.

Local Open Scope *nat\_scope*.

Fixpoint *nat\_code* (n m : nat) :=

```
match n, m with
| O, O => Unit
| S n', O => Empty
| O, S m' => Empty
| S n', S m' => nat_code n' m'
end.
```

Fixpoint *nat\_r* (n : nat) : nat\_code n n :=

```
match n with
| O => tt
| S n' => nat_r n'
end.
```

Definition *nat\_encode* (n m : nat) (p : n = m) : (nat\_code n m)  
:= transport (nat\_code n) p (nat\_r n).

Definition *nat\_decode* :  $\forall (n m : \text{nat}), (\text{nat\_code } n m) \rightarrow (n = m)$ .

Proof.

```
induction n, m; intro.
reflexivity. contradiction. contradiction.
apply (ap S). apply IHn. apply X.
```

Defined.

Theorem *equiv\_path\_nat* :  $\forall n m, (\text{nat\_code } n m) \simeq (n = m)$ .

Proof.

```
intros.
refine (equiv_adjointify (nat_decode n m) (nat_encode n m) _).
```

```

intro p. induction p. simpl.
induction n. reflexivity. simpl.
apply (ap (ap S) IHn).
generalize dependent m.
induction n. induction m.
intro c. apply eta_unit.
intro c. contradiction.
induction m.
intro c. contradiction.
intro c. simpl. unfold nat_encode.
refine ((transport_compose _ S _)^ @ _).
simpl. apply IHn.
Defined.
Lemma Sn_neq_O :  $\forall n, S\ n \neq O$ .
Proof.
  intros n H. apply nat_encode in H. contradiction.
Defined.
Lemma plus_eq_O (n m : nat) :  $n + m = O \rightarrow (n = O) \wedge (m = O)$ .
Proof.
  destruct n.
  intro H. split. reflexivity. apply H.
  intro H. simpl in H. apply nat_encode in H. contradiction.
Defined.
Lemma le_trans :  $\forall n\ m\ k, (n \leq m) \rightarrow (m \leq k) \rightarrow (n \leq k)$ .
Proof.
  intros n m k Hnm Hmk.
  destruct Hnm as [l p].
  destruct Hmk as [l' p'].
   $\exists (l + l')$ .
  refine ((plus_assoc _ _)^ @ _).
  refine (_ @ p'). f_ap.
Defined.
Lemma le_Sn_le (n m : nat) :  $S\ n \leq m \rightarrow n \leq m$ .
Proof.
  intro H. apply (le_trans n (S n) m).  $\exists$  1. apply (plus_1_r _)^. apply H.
Defined.
Lemma plus_cancelL :  $\forall n\ m\ k, n + m = n + k \rightarrow m = k$ .
Proof.
  intro n. induction n. trivial.
  intros m k H.
  apply S_inj in H. apply IHn. apply H.
Defined.
Lemma le_antisymmetric (n m : nat) :  $(n \leq m) \rightarrow (m \leq n) \rightarrow (n = m)$ .
Proof.
  intro H. destruct H as [k p].
  intro H. destruct H as [k' p'].
  transparent assert (q :  $(n + (k + k') = n + O)$ ).
  refine ((plus_assoc _ _)^ @ _).
  refine ((ap (fun s  $\Rightarrow$  s + k') p) @ _).
  refine (_ @ (plus_O_r _)).

```

```

    apply p'.
  apply plus_cancelL in q.
  apply plus_eq_O in q.
  refine ((plus_O_r _) @ _).
  refine ((ap (plus n) (fst q)) ^ @ _).
  apply p.
Defined.

Lemma decidable_paths_nat : decidable_paths nat.
Proof.
  intros n m.
  generalize dependent m.
  generalize dependent n.
  induction n, m.
  left. reflexivity.
  right. intro H. apply nat_encode in H. contradiction.
  right. intro H. apply nat_encode in H. contradiction.
  destruct (IHn m).
  left. apply (ap S p).
  right. intro H. apply S_inj in H. contradiction.
Defined.

Lemma hset_nat : IsHSet nat.
Proof. apply hset_decidable. apply decidable_paths_nat. Defined.

Lemma hprop_le (n m : nat) : IsHProp (n ≤ m).
Proof.
  apply hprop_allpath. intros p q.
  refine (path_sigma_hprop _ _).
  intro k. apply hprop_allpath. refine set_path2. apply hset_nat.
  destruct p as [k p], q as [k' p']. simpl.
  apply (plus_cancelL n).
  apply (p @ p'^).
Defined.

Lemma hprop_dependent '{Funext} (A : Type) (P : A → Type) :
  (∀ a, IsHProp (P a)) → IsHProp (∀ a, P a).
Proof.
  intro HP.
  apply hprop_allpath. intros p p'. apply path_forall; intro a. apply HP.
Defined.

Definition n_le_n (n : nat) : n ≤ n := (O; (plus_O_r n) ^).
Definition n_le_Sn (n : nat) : n ≤ S n := (S O; (plus_1_r n) ^).
Lemma Spred (n : nat) : (n ≠ O) → S (pred n) = n.
Proof.
  induction n; intro H; [contradiction H |]; reflexivity.
Defined.

Lemma le_partitions (n : nat) : ∀ m, (m ≤ n) + (n ≤ m).
Proof.
  induction n.
  intro m. right. ∃ m. reflexivity.

  intro m.
  destruct (IHn m) as [IHnm | IHnm].

```

```

left. apply (le_trans _ n). apply IHnm. apply n_le_Sn.
destruct IHnm as [k p].
destruct (decidable_paths_nat n m).
left.  $\exists$  1. refine ((plus_1_r _) ^ @ _). apply (ap S p0^).
right.  $\exists$  (pred k). refine ((plus_n_Sm _ _) @ _). refine (_ @ p).
f_ap. apply Spred.
intro H. apply n0.
refine ((plus_O_r _) @ _). refine ((ap (plus n) H^ ) @ _). apply p.
Defined.

Lemma le_neq_lt (n m : nat) : (n ≤ m) → (n ≠ m) → (n < m).
Proof.
  intros H1 H2. destruct H1 as [k p].
   $\exists$  (pred k). refine (_ @ p). f_ap.
  apply Spred. intro Hk. apply H2.
  refine (_ @ p). refine ((plus_O_r _) @ _). f_ap.
  apply Hk^ .
Defined.

Lemma lt_partitions (n m : nat) : (n < m) + (n = m) + (m < n).
Proof.
  destruct (decidable_paths_nat n m).
  left. right. apply p.
  destruct (le_partitions n m).
  right. apply le_neq_lt. apply l. intro H. apply n0. apply H^ .
  left. left. apply le_neq_lt. apply l. apply n0.
Defined.

Lemma p_nnp :  $\forall P, P \rightarrow \neg \neg P$ .
Proof. auto. Defined.

Lemma n_nlt_n (n : nat) :  $\neg (n < n)$ .
Proof.
  intros H. destruct H as [k p].
  apply (nat_encode (S k) O).
  apply (plus_cancelL n).
  apply (p @ (plus_O_r _)).
Defined.

Lemma n_neq_Sn (n : nat) :  $n \neq S n$ .
Proof.
  induction n.
  intro H. apply nat_encode in H. contradiction.
  intro H. apply IHn. apply S_inj in H. apply H.
Defined.

Lemma n_lt_Sm__n_le_m (n m : nat) : (n < S m) → (n ≤ m).
Proof.
  intro H. destruct H as [k p].  $\exists$  k.
  apply S_inj. refine (_ @ p).
  apply plus_n_Sm.
Defined.

Lemma le_O (n : nat) :  $n \leq O \rightarrow n = O$ .
Proof.
  intro H. destruct H as [k p].
  apply plus_eq_O in p. apply (fst p).

```

```

Defined.
Lemma lt_1 (n : nat) : n < 1 → n = 0.
Proof.
  intro H.
  apply le_0. apply n_lt_Sm__n_le_m. apply H.
Defined.
Lemma lt_le (n m : nat) : n < m → n ≤ m.
Proof.
  intro H. destruct H as [k p].
  ∃ (S k). apply p.
Defined.
Lemma Sn_lt_Sm__n_lt_m (n m : nat) : S n < S m → n < m.
Proof.
  intro H. destruct H as [k p]. ∃ k.
  simpl in p. apply S_inj in p. apply p.
Defined.
Lemma lt_neq (n m : nat) : n < m → n ≠ m.
Proof.
  generalize dependent m.
  induction n.
  intros m H HX.
  destruct H as [k p]. simpl in p.
  apply (nat_encode (S k) 0).
  apply (p @ HX^).
  induction m.
  intros H HX.
  apply (nat_encode (S n) 0). apply HX.
  intros H Hx.
  apply Sn_lt_Sm__n_lt_m in H.
  apply IHn in H. apply H. apply S_inj. apply Hx.
Defined.
Lemma lt_trans (n m k : nat) : n < m → m < k → n < k.
Proof.
  intros H1 H2.
  destruct H1 as [l p], H2 as [l' p'].
  ∃ (l + S l').
  refine (_ @ p').
  change (S (l + S l')) with (S l + S l').
  refine ((plus_assoc _ _ _) ^ @ _). f_ap.
Defined.
Lemma n_lt_Sn (n : nat) : n < S n.
Proof.
  ∃ 0. apply (plus_1_r _)^.
Defined.
Lemma bound_up (n m : nat) : (n ≤ m) → (n ≠ m) → (S n ≤ m).
Proof.
  intros H1 H2.
  apply le_neq__lt in H1.
  destruct H1 as [k p]. ∃ k.

```

```

    refine ((plus_n_Sm - _) @ -). apply p. apply H2.
Defined.
Lemma le_lt__lt (n m k : nat) : n ≤ m → m < k → n < k.
Proof.
  intros H1 H2.
  destruct (decidable_paths_nat n m).
  destruct H2 as [l q].
  ∃ l. refine (_ @ q). f_ap.
  apply (lt_trans _ m).
  apply le_neq__lt. apply H1. apply n0. apply H2.
Defined.
Lemma lt_le__lt (n m k : nat) : n < m → m ≤ k → n < k.
Proof.
  intros H1 H2.
  destruct (decidable_paths_nat m k).
  destruct H1 as [l q].
  ∃ l. refine (_ @ p). apply q.
  apply (lt_trans _ m).
  apply H1. apply le_neq__lt. apply H2. apply n0.
Defined.
Lemma le_eq__le (n m k : nat) : (n ≤ m) → (m = k) → (n ≤ k).
Proof.
  intros H1 H2.
  destruct H1 as [l p].
  ∃ l. apply (p @ H2).
Defined.
Lemma n_le_m__Sn_le_Sm (n m : nat) : n ≤ m → (S n ≤ S m).
Proof.
  intro H. destruct H as [k p]. ∃ k. simpl. apply (ap S). apply p.
Defined.
Lemma Sn_le_Sm__n_le_m (n m : nat) : S n ≤ S m → n ≤ m.
Proof.
  intro H. destruct H as [k p]. ∃ k.
  simpl in p. apply S_inj in p. apply p.
Defined.
Lemma n_nlt_O (n : nat) : ¬ (n < O).
Proof.
  induction n. apply n_nlt_n.
  intro H. destruct H as [k p]. apply nat_encode in p. contradiction.
Defined.
Lemma O_lt_n (n : nat) : (n ≠ O) → (O < n).
Proof.
  intro H.
  ∃ (pred n).
  apply Spred. apply H.
Defined.
Lemma n_lt_m__Sn_lt_Sm (n m : nat) : n < m → S n < S m.
Proof.
  intro H. destruct H as [k p]. ∃ k. simpl. apply (ap S). apply p.

```



Defined.

Lemma `n.lt_m__n.le_Sm` ( $n\ m : \text{nat}$ ) :  $n < m \rightarrow n \leq S\ m$ .

Proof.

```
intro H. destruct H as [k p].
   $\exists$  (S (S k)). apply (ap S) in p. refine (_ @ p).
  symmetry. apply plus_n_Sm.
```

Defined.

Lemma `lt_bound_down` ( $n\ m : \text{nat}$ ) :  $n < S\ m \rightarrow (n \neq m) \rightarrow n < m$ .

Proof.

```
intros. destruct H as [k p].
   $\exists$  (pred k). refine ((plus_n_Sm _ _) ^ @ _).
  refine ((plus_n_Sm _ _) @ _). apply S_inj. refine (_ @ p).
  refine ((plus_n_Sm _ _) @ _). f_ap. apply (ap S). apply Spred.
  intro H. apply X. apply S_inj. refine (_ @ p).
  refine (_ @ (plus_n_Sm _ _)). apply (ap S). refine ((plus_O_r _) @ _). f_ap.
  apply H^.
```

Defined.

Lemma `lt_bound_up` ( $n\ m : \text{nat}$ ) :  $n < m \rightarrow (S\ n \neq m) \rightarrow S\ n < m$ .

Proof.

```
intros.
  destruct H as [k p].  $\exists$  (pred k). refine (_ @ p).
  refine ((plus_n_Sm _ _) @ _). f_ap. f_ap. apply Spred. intro H.
  apply X. refine (_ @ p). refine ((plus_1_r _) @ _). f_ap. f_ap. apply H^.
```

Defined.

Lemma `pred_n.eq_O` :  $\forall n, \text{pred } n = O \rightarrow (n = O) + (n = 1)$ .

Proof.

```
induction n.
  intros. left. reflexivity.
  intros H. simpl in H. right. apply (ap S H).
```

Defined.

Lemma `bound_down` ( $n\ m : \text{nat}$ ) :  $(n \leq S\ m) \rightarrow (n \neq S\ m) \rightarrow (n \leq m)$ .

Proof.

```
intros H1 H2.
  apply le_neq__lt in H1.
  destruct H1 as [k p].  $\exists$  k.
  apply S_inj. refine ((plus_n_Sm _ _) @ _). apply p. apply H2.
```

Defined.

Lemma `nle_lt` ( $n\ m : \text{nat}$ ) :  $\neg (n \leq m) \rightarrow (m < n)$ .

Proof.

```
generalize dependent m.
induction n.
  intros m H. assert Empty. apply H.  $\exists$  m. reflexivity. contradiction.
  intros m H. destruct m.
     $\exists$  n. reflexivity.
    apply n.lt_m__Sn.lt_Sm. apply IHn. intro H'. apply H.
    destruct H' as [k p].  $\exists$  k. simpl. apply (ap S). apply p.
```

Defined.

Lemma `Sn.neq_n` ( $n : \text{nat}$ ) :  $S\ n \neq n$ .

Proof.

```
intro H.
```

```

    apply (nat_encode 1 0).
    apply (plus_cancelL n).
    refine ((plus_1_r _) ^ @ _). refine (_ @ (plus_O_r _)).
    apply H.
Defined.

Lemma lt_antisymmetric (n m : nat) : n < m → ¬ (m < n).
Proof.
  intros H HX.
  destruct H as [k p], HX as [k' p'].
  transparent assert (H : (S k + S k' = 0)).
  apply (plus_cancelL n). refine (_ @ (plus_O_r _)).
  refine (_ @ p'). refine ((plus_assoc _ _ _) ^ @ _). f_ap.
  apply nat_encode in H. contradiction.
Defined.

Lemma lt_eq_lt (n m k : nat) : (n < m) → (m = k) → (n < k).
Proof.
  intros H1 H2.
  destruct H1 as [l p].
  ∃ l. refine (p @ _). apply H2.
Defined.

Lemma nlt_le (n m : nat) : ¬ (n < m) → (m ≤ n).
Proof.
  generalize dependent m.
  induction n.
  intros m H. destruct (decidable_paths_nat m 0). ∃ 0. refine (_ @ p).
  symmetry. apply plus_O_r.
  assert Empty. apply H. apply O_lt_n. apply n. contradiction.

  induction m.
  intro H. ∃ (S n). reflexivity.
  intro H. apply n_le_m__Sn_le_Sm. apply IHn.
  intro H'. apply H. apply n_lt_m__Sn_lt_Sm. apply H'.
Defined.

Lemma n_lt_m__Sn_le_m (n m : nat) : (n < m) → (S n ≤ m).
Proof.
  intro H.
  apply n_lt_m__Sn_lt_Sm in H.
  apply n_lt_Sm__n_le_m in H.
  apply H.
Defined.

Lemma n_le_m__n_lt_Sm (n m : nat) : n ≤ m → n < S m.
Proof.
  intro H.
  destruct H as [k p].
  ∃ k. refine ((plus_n_Sm _ _) ^ @ _). f_ap.
Defined.

Section Exercise3_19.

Context {P : nat → Type} {HP : ∀ n, IsHProp (P n)}
      {DP : ∀ n, P n + ¬ P n}.

Local Definition Q (w : {n : nat & P n}) : Type :=

```

$\forall w' : \{n : \text{nat} \ \& \ P \ n\}, w.1 \leq w'.1.$   
**Lemma** `hprop_Q`  $\{ \text{Funext} \} : \forall w, \text{IsHProp } (Q \ w).$   
**Proof.**  
`intro w. unfold Q. apply hprop_dependent. intro w'. apply hprop_le.`  
**Defined.**  
**Lemma** `hprop_sigma_Q`  $\{ \text{Funext} \} : \text{IsHProp } \{w : \{n : \text{nat} \ \& \ P \ n\} \ \& \ Q \ w\}.$   
**Proof.**  
`apply hprop_allpath. intros w w'.  
refine (path_sigma_hprop _ _). apply hprop_Q.  
apply path_sigma_hprop. apply le_antisymmetric.  
apply (w.2 w'.1). apply (w'.2 w.1).`  
**Defined.**  
**Definition** `bmin`  $(bound : \text{nat}) : \text{nat}.$   
**Proof.**  
`induction bound as [| z].  
destruct (DP O). apply O. apply 1.  
destruct (lt_partitions IHz (S z)) as [[Ho | Ho] | Ho].  
apply IHz.  
destruct (DP (S z)).  
apply (S z).  
apply (S (S z)).  
apply (S (S z)).`  
**Defined.**  
**Lemma** `bmin_correct_O`  $(n : \text{nat}) : P \ O \rightarrow \text{bmin } n = O.$   
**Proof.**  
`intro H.  
induction n. simpl. destruct (DP O). reflexivity. apply n in H. contradiction.  
simpl. rewrite IHn. reflexivity.`  
**Defined.**  
**Lemma** `bmin_correct_self_P`  $(n : \text{nat}) : \text{bmin } n = n \rightarrow P \ n.$   
**Proof.**  
`induction n.  
intros. simpl in H.  
destruct (DP O).  
apply p.  
apply nat_encode in H. contradiction.  
intro H.  
simpl in H.  
destruct (lt_partitions (bmin n) (S n)) as [[Ho | Ho] | Ho].  
rewrite H in Ho. apply n_nlt_n in Ho. contradiction.  
destruct (DP (S n)). apply p.  
transparent assert (X : Empty).  
apply (n_neq_Sn (S n)). apply H^.  
contradiction.  
transparent assert (X : Empty).  
apply (n_neq_Sn (S n)). apply H^.  
contradiction.`  
**Defined.**  
**Lemma** `bmin_correct_bound`  $(n : \text{nat}) : \text{bmin } n \leq S \ n.$   
**Proof.**

```

induction n.
simpl.
destruct (DP O).  $\exists$  1. reflexivity.
 $\exists$  O. apply plus_n_Sm.

simpl.
destruct (lt_partitions (bmin n) (S n)) as [[Ho | Ho] | Ho].
  apply (le_trans _ (S n)). apply IHn. apply n_le_Sn.
  destruct (DP (S n)).
    apply n_le_Sn.
    apply n_le_n.
    apply n_le_n.
Defined.

Lemma bmin_correct_nPn (n : nat) : bmin n = S n  $\rightarrow$   $\neg$  P n.
Proof.
  induction n.
  intros H HX.
  apply (bmin_correct_O O) in HX.
  apply (nat_encode 1 O). refine (H^ @ _). refine (_ @ HX). reflexivity.

  intros H HX. simpl in H.
  destruct (lt_partitions (bmin n) (S n)) as [[Ho | Ho] | Ho].
  rewrite H in Ho. apply (n_nlt_n (S (S n))).
  apply (lt_trans _ (S n)). apply Ho. apply n_lt_Sn.
  destruct (DP (S n)).
  apply (n_neq_Sn (S n)). apply H.
  apply n0. apply HX.
  clear H.

  apply (n_nlt_n (bmin n)).
  apply (le_lt_lt _ (S n)).
  apply bmin_correct_bound.
  apply Ho.
Defined.

Lemma bmin_correct_success (n : nat) : bmin n < S n  $\rightarrow$  P (bmin n).
Proof.
  induction n.
  intro H. apply lt_1 in H. apply bmin_correct_self_P in H.
  apply ((bmin_correct_O _ H)^ # H).

  simpl.
  destruct (lt_partitions (bmin n) (S n)) as [[Ho | Ho] | Ho].
  intro H. apply IHn. apply Ho.
  destruct (DP (S n)).
  intro H. apply p.
  intro H. apply n_nlt_n in H. contradiction.
  intro H. apply n_nlt_n in H. contradiction.
Defined.

Lemma bmin_correct_i (n : nat) :  $\forall m, (m < n) \rightarrow (m < \text{bmin } n) \rightarrow \neg P m$ .
Proof.
  induction n.
  intros m H1 H2.
  apply n_nlt_O in H1. contradiction.

```

```

induction m. intro H. clear H.
destruct (decidable_paths_nat n 0).
(* Case: n = 0 *)
(* we just want the contrapositive of bmin_correct_0 *)
intro H.
apply (contrapositive (bmin_correct_O (S n))).
intro H'. rewrite H' in H. apply n_nlt_n in H. contradiction.
(* Case: n <> 0 *)
intro H. apply IHn. apply O_lt_n. apply n0.
simpl in H.
destruct (lt_partitions (bmin n) (S n)) as [[Ho | Ho] | Ho].
(* Case: bmin n < S n *)
apply H.
(* Case: bmin n = S n *)
rewrite Ho. apply O_lt_n. apply Sn_neq_O.
apply (lt_trans _ (S n)). apply O_lt_n. apply Sn_neq_O. apply Ho.
intros H1. apply Sn_lt_Sm__n_lt_m in H1. simpl.
destruct (lt_partitions (bmin n) (S n)) as [[Ho | Ho] | Ho].
(* Case: bmin n < S n *)
intro H. apply IHn.
destruct (decidable_paths_nat (bmin n) n).
rewrite ← p. apply H.
apply lt_bound_down in Ho.
apply (lt_trans _ (bmin n)). apply H. apply Ho. apply n0. apply H.
(* Case: bmin n = S n *)
intro H.
destruct (decidable_paths_nat (S m) n).
apply bmin_correct_nPn. rewrite p. apply Ho.
apply lt_bound_up in H1. apply IHn. apply H1.
apply (lt_trans _ n). apply H1. rewrite Ho. apply n_lt_Sn.
apply n0.
(* Case: bmin n > S n *)
set (H := (bmin_correct_bound n)).
assert Empty. apply (n_nlt_n (S n)).
apply (lt_le__lt _ (bmin n)).
apply Ho. apply H. contradiction.
Defined.

Lemma bmin_correct_i' (n : nat) : ∀ m, (m ≤ n) → (m < bmin n) → ¬ P m.
Proof.
  intros m H.
  destruct (decidable_paths_nat m n).
  clear H.
  intro H.
  set (H' := (bmin_correct_nPn n)). rewrite p. apply H'. clear H'.
  set (H' := (bmin_correct_bound n)). rewrite p in H.
  apply le_antisymmetric. apply H'. destruct H as [k q].
  ∃ k. refine ((plus_n_Sm _ _) @ _). apply q.
  apply le_neq__lt in H. generalize H. apply bmin_correct_i. apply n0.
Defined.

Lemma bmin_correct_leb (n : nat) : P n → (bmin n ≤ n).

```

Proof.

```

induction n.
intro H. apply (bmin_correct_O O) in H.
∃ O. refine (λ @ H). symmetry. apply plus_O_r.

intro H.
simpl. destruct (lt_partitions (bmin n) (S n)) as [[Ho | Ho] | Ho].
destruct Ho as [k p]. ∃ (S k). apply p.
destruct (DP (S n)). ∃ O. symmetry. apply plus_O_r.
apply n0 in H. contradiction.
apply (le_trans _ (bmin n)).
apply n_lt_m__Sn_le_m. apply Ho.
apply (bmin_correct_bound n).

```

Defined.

Lemma bmin\_correct\_i\_cp (n m : nat) : P m → (bmin n ≤ m).

Proof.

```

intro H.
transparent assert (H' : (
  ∀ n m : nat, (m < n ∧ m < bmin n) → ¬ P m
)).
intros n' m' H'. apply (bmin_correct_i n'). apply (fst H'). apply (snd H').
transparent assert (H'' : (¬ ¬ P m)). apply p_nnp. apply H.
apply (contrapositive (H' n m)) in H''.
transparent assert (H''' : (sum (¬ (m < n)) (¬ (m < bmin n)))).
  destruct (lt_partitions n m) as [[Ho | Ho] | Ho].
  left. apply lt_antisymmetric. apply Ho.
  left. intro H'''. apply (n_nlt_n n). apply (lt_eq__lt m n m) in H'''.
  apply (n_nlt_n m) in H'''. contradiction.
  apply Ho.
  right. intro H'''.
  apply H''. split. apply Ho. apply H'''.
destruct H'''; clear H'' H'.
apply nlt_le in n0.
apply nlt_le. intro H'.
set (H'' := (bmin_correct_bound n)).
transparent assert (Heq : (n = m)).
apply le_antisymmetric. apply n0. apply n_lt_Sm__n_le_m.
apply (lt_le__lt _ (bmin n) _). apply H'. apply H''.
transparent assert (Hle : (m ≤ n)).
∃ O. refine (λ @ Heq^). symmetry. apply plus_O_r.
generalize H. change (P m → Empty) with (¬ P m).
apply (bmin_correct_i' n). apply Hle. apply H'.

apply nlt_le in n0. apply n0.

```

Defined.

Lemma bmin\_correct (bound : nat) :

{n : nat & P n ∧ n ≤ bound} → ∀ n, P n → bmin bound ≤ n.

Proof.

```

induction bound.
intros w n p.
destruct w as [w [a b]].
apply le_O in b.

```

```

  ∃ n. transitivity (O + n). f_ap. apply bmin_correct_O. apply (b # a).
  reflexivity.
  intros w n p. simpl.
  destruct (lt_partitions (bmin bound) (S bound)) as [[Ho | Ho] | Ho].
  (* bmin bound < S bound *)
  apply IHbound. ∃ (bmin bound). split.
  apply bmin_correct_success. apply Ho.
  destruct Ho as [k q]. ∃ k. apply S_inj. refine (_ @ q).
  refine ((plus_n.Sm _ _) @ _). reflexivity.
  apply p.
  (* bmin bound = S bound *)
  destruct w as [w [a b]].
  destruct (decidable_paths_nat w (S bound)).
  destruct (DP (S bound)).
  apply nlt_le. intro H.
  generalize p. change (P n → Empty) with (¬ P n).
  apply (bmin_correct_i' bound).
  apply n_lt.Sm__n_le_m. apply H. rewrite Ho. apply H.
  rewrite ← p0 in n0. apply n0 in a. contradiction.
  apply le_neq_lt in b.
  apply n_lt.Sm__n_le_m in b.
  transparent assert (Hlt : (w < bmin bound)).
    apply (lt_eq_lt _ (S bound)).
    apply n_le_m__n_lt.Sm. apply b. apply Ho^~.
  assert Empty. generalize a. change (P w → Empty) with (¬ P w).
  apply (bmin_correct_i' bound). apply b. apply Hlt. contradiction. apply n0.
  (* S bound < bmin bound *)
  set (H := (bmin_correct_bound bound)).
  apply (lt_le__lt _ (S bound)) in Ho.
  apply n_nlt_n in Ho. contradiction.
  apply H.
Defined.
Lemma ex3_19 '{Funext} : Brck {n : nat & P n} → {n : nat & P n}.
Proof.
  intro w.
  apply (@pr1 - Q).
  set (H' := hprop_sigma_Q).
  strip_truncations.
  transparent assert (w' : {n : nat & P n}).
  ∃ (bmin w.1).
  apply bmin_correct_success.
  apply n_le_m__n_lt.Sm. apply bmin_correct_leb. apply w.2.
  ∃ w'.
  unfold Q.
  intro w''.
  apply bmin_correct.
  ∃ w.1. split. apply w.2. apply n_le_n. apply w''.2.
Defined.
End Exercise3_19.
Local Close Scope nat_scope.

```

**Exercise 3.20 (p. 128)** Prove Lemma 3.11.9(ii): if  $A$  is contractible with center  $a$ , then  $\sum_{(x:A)} P(x)$  is equivalent to  $P(a)$ .

**Solution** Suppose that  $A$  is contractible with center  $a$ . For the forward direction, suppose that  $w : \sum_{(x:A)} P(x)$ . Then  $\text{pr}_1(w) = a$ , since  $A$  is contractible, so from  $\text{pr}_2(w) : P(\text{pr}_1(w))$  and the indiscernibility of identicals, we have  $P(a)$ . For the backward direction, suppose that  $p : P(a)$ . Then we have  $(a, p) : \sum_{(x:A)} P(x)$ .

To show that these are quasi-inverses, suppose that  $p : P(a)$ . Going backward gives  $(a, p) : \sum_{(x:A)} P(x)$ , and going forward we have  $(\text{contr}_a^{-1})_* p$ . Since  $A$  is contractible,  $\text{contr}_a = \text{refl}_a$ , so this reduces to  $p$ , as needed. For the other direction, suppose that  $w : \sum_{(x:A)} P(x)$ . Going forward gives  $(\text{contr}_{\text{pr}_1(w)}^{-1})_* \text{pr}_2(w) : P(a)$ , and going back gives

$$(a, (\text{contr}_{\text{pr}_1(w)}^{-1})_* \text{pr}_2(w)) : \sum_{x:A} P(x)$$

By Theorem 2.7.2, it suffices to show that  $a = \text{pr}_1(w)$  and that

$$(\text{contr}_{\text{pr}_1(w)})_* (\text{contr}_{\text{pr}_1(w)}^{-1})_* \text{pr}_2(w) = \text{pr}_2(w)$$

The first of these is given by the fact that  $A$  is contractible. The second results from the functorality of transport.

Module Ex20.

**Theorem** `equiv_sigma_contr_base` ( $A : \text{Type}$ ) ( $P : A \rightarrow \text{Type}$ ) ( $HA : \text{Contr } A$ ) :  
 $\{x : A \ \& \ P \ x\} \simeq P(\text{center } A)$ .

**Proof.**

```

refine (equiv_adjointify _ _ _).
intro w. apply (transport _ (contr w.1) ^). apply w.2.
intro p. apply (center A; p).

intro p. simpl.
assert (Contr (center A = center A)). apply contr_paths_contr.
assert (contr (center A) = idpath). apply allpath_hprop.
rewrite X0.reflexivity.

intro w. apply path_sigma_uncurried.
simpl.  $\exists$  (contr w.1).
apply transport_pV.

```

Defined.

End Ex20.

**Exercise 3.21 (p. 128)** Prove that  $\text{isProp}(P) \simeq (P \simeq \|P\|)$ .

**Solution**  $\text{isProp}(P)$  is a mere proposition by Lemma 3.3.5.  $P \simeq \|P\|$  is also a mere proposition. An equivalence is determined by its underlying function, and for all  $f, g : P \rightarrow \|P\|$ ,  $f = g$  by function extensionality and the fact that  $\|P\|$  is a mere proposition. Since each of the two sides is a mere proposition, we just need to show that they imply each other, by Lemma 3.3.3. Lemma 3.9.1 gives the forward direction. For the backward direction, suppose that  $e : P \simeq \|P\|$ , and let  $x, y : P$ . Then  $e(x) = e(y)$ , since  $\|P\|$  is a proposition, and applying  $e^{-1}$  to each side gives  $x = y$ . Thus  $P$  is a mere proposition.

**Theorem** `ex3_21` '{Funext} ( $P : \text{Type}$ ) :  $\text{IsHProp } P \simeq (P \simeq \text{Brck } P)$ .

**Proof.**

```

assert (IsHProp (P  $\simeq$  Brck P)). apply hprop_allpath; intros e1 e2.
apply path_equiv. apply path_forall; intro p.

```



```

apply hprop_allpath. apply allpath_hprop.
apply equiv_iff_hprop.
intro HP. apply equiv_iff_hprop. apply tr.
apply Trunc_rect. intro p. apply HP. apply idmap.
intro e. apply hprop_allpath; intros x y.
assert (e x = e y) as p. apply hprop_allpath. apply allpath_hprop.
rewrite (eisect e x) ^ . rewrite (eisect e y) ^ .
apply (ap e-1 p).
Defined.

```

**Exercise 3.22 (p. 128)** As in classical set theory, the finite version of the axiom of choice is a theorem. Prove that the axiom of choice holds when  $X$  is a finite type  $\text{Fin}(n)$ .

**Solution** We want to show that for all  $n$ ,  $A : \text{Fin}(n) \rightarrow \mathcal{U}$ , and  $P : \prod_{(m_n : \text{Fin}(n))} A(m_n) \rightarrow \mathcal{U}$ , if  $A$  is a family of sets and  $P$  a family of propositions, then

$$\left( \prod_{m_n : \text{Fin}(n)} \left\| \sum_{a : A(m_n)} P(m_n, a) \right\| \right) \rightarrow \left\| \sum_{(g : \prod_{(m_n : \text{Fin}(n))} A(m_n))} \prod_{(m_n : \text{Fin}(n))} P(m_n, g(m_n)) \right\|$$

We proceed by induction on  $n$ . Note first that  $\text{Fin}(0) \simeq \mathbf{0}$  and that  $\text{Fin}(n+1) \simeq \text{Fin}(n) + \mathbf{1}$ , which follow quickly from the fact that  $\mathbf{N}$  is a set. In particular, we'll use the equivalence which sends  $n_{n+1}$  to  $\star$  and  $m_{n+1}$  to  $m_n$  for  $m < n$ .

For the base case,  $n \equiv 0$ , everything is easily provided by *ex falso quodlibet*. For the induction step, we can define a new family of sets  $A' : (\text{Fin}(n) + \mathbf{1}) \rightarrow \mathcal{U}$  as follows:

$$A'(z) = \begin{cases} A(m_{n+1}) & \text{if } z \equiv m_n \\ A(n_{n+1}) & \text{if } z \equiv \star \end{cases}$$

And if  $e : \text{Fin}(n+1) \simeq \text{Fin}(n) + \mathbf{1}$ , then we clearly have  $h : A(z) \simeq A'(e(z))$  for all  $z : \text{Fin}(n+1)$ . Similarly, we can define

$$P'(z, a) = \begin{cases} P(m_{n+1}, h^{-1}(a)) & \text{if } z \equiv m_n \\ P(n_{n+1}, h^{-1}(a)) & \text{if } z \equiv \star \end{cases}$$

For which we clearly have  $g : P(z, a) \simeq P'(e(z), h(a))$  for all  $z$  and  $a$ . So, by the functorality of equivalence (Ex. 2.17), we have

$$\prod_{m_{n+1} : \text{Fin}(n+1)} \left\| \sum_{a : A(m_{n+1})} P(m_{n+1}, a) \right\| \simeq \prod_{z : \text{Fin}(n) + \mathbf{1}} \left\| \sum_{a : A'(z)} P'(z, a) \right\|$$

But, since the induction principle for the sum type is an equivalence, we also have

$$\prod_{z : \text{Fin}(n) + \mathbf{1}} \left\| \sum_{a : A'(z)} P'(z, a) \right\| \simeq \left( \prod_{z : \text{Fin}(n)} \left\| \sum_{a : A'(\text{inl}(z))} P'(\text{inl}(z), a) \right\| \right) \times \left( \prod_{z : \mathbf{1}} \left\| \sum_{a : A'(\text{inr}(z))} P'(\text{inr}(z), a) \right\| \right)$$

And to construct an arrow out of this, we just need to give an arrow out of each one. Now, by the same equivalences, we can rewrite the conclusion as

$$\left\| \sum_{(g : \prod_{(z : \text{Fin}(n) + \mathbf{1})} A'(z))} \prod_{(z : \text{Fin}(n) + \mathbf{1})} P'(z, g(z)) \right\|$$

Using the universal property of  $\Sigma$  types, we get

$$\left\| \prod_{(z:\text{Fin}(n)+1)} \sum_{(a:A'(z))} P'(z, a) \right\|$$

and the functorality of the induction principle for the sum gives

$$\left\| \left( \prod_{(z:\text{Fin}(n))} \sum_{(a:A'(\text{inl}(z)))} P'(\text{inl}(z), a) \right) \times \left( \prod_{(z:1)} \sum_{(a:A'(\text{inr}(z)))} P'(\text{inr}(z), a) \right) \right\|$$

Since this is only a finite product, we can take it outside of the truncation, giving

$$\left\| \prod_{(z:\text{Fin}(n))} \sum_{(a:A'(\text{inl}(z)))} P'(\text{inl}(z), a) \right\| \times \left\| \prod_{(z:1)} \sum_{(a:A'(\text{inr}(z)))} P'(\text{inr}(z), a) \right\|$$

and using the universal property of  $\Sigma$  types to go back once more, we finally arrive at

$$\left\| \sum_{(g:\prod_{(z:\text{Fin}(n))} A'(\text{inl}(z)))} \prod_{(z:\text{Fin}(n))} P'(\text{inl}(z), g(z)) \right\| \times \left\| \prod_{(z:1)} \sum_{(a:A'(\text{inr}(z)))} P'(\text{inr}(z), a) \right\|$$

Since each of the domain and codomain are products, we can produce the required map by giving one between the first items of each product and one between the second. So first we need an arrow

$$\prod_{m_n:\text{Fin}(n)} \left\| \sum_{a:A'(\text{inl}(m_n))} P'(\text{inl}(m_n), a) \right\| \rightarrow \left\| \sum_{(g:\prod_{(m_n:\text{Fin}(n))} A'(\text{inl}(m_n)))} \prod_{(m_n:\text{Fin}(n))} P'(\text{inl}(m_n), g(m_n)) \right\|$$

but by definition of  $A'$  and  $P'$ , this is just

$$\prod_{m_n:\text{Fin}(n)} \left\| \sum_{a:A(m_n)} P(m_n, a) \right\| \rightarrow \left\| \sum_{(g:\prod_{(m_n:\text{Fin}(n))} A(m_n))} \prod_{(m_n:\text{Fin}(n))} P(m_n, g(m_n)) \right\|$$

which is the induction hypothesis. For the second map, we need

$$\prod_{z:1} \left\| \sum_{a:A'(\text{inr}(z))} P'(\text{inr}(z), a) \right\| \rightarrow \left\| \prod_{(z:1)} \sum_{(a:A'(\text{inr}(z)))} P'(\text{inr}(z), a) \right\|$$

which by the computation rules for  $A'$  and  $P'$  is

$$\left( 1 \rightarrow \left\| \sum_{a:A(n_{n+1})} P(n_{n+1}, a) \right\| \right) \rightarrow \left\| 1 \rightarrow \sum_{a:A(n_{n+1})} P(n_{n+1}, a) \right\|$$

and this is easily constructed using the recursor for truncation.

**Definition** `cardO` : `Fin O`  $\rightarrow$  `Empty`.

**Proof.**

`intro w. destruct w as [n [k p]].`

`apply plus_eq_O in p. apply (nat_encode (S k) O). apply (snd p).`

**Defined.**

**Theorem** `isequiv_cardO` : `IsEquiv cardO`.

Proof.

```

refine (isequiv_adjointify _ _ _).
apply Empty_rect.
(* Section *)
intro w. contradiction.
(* Retraction *)
intro w. destruct w as [n [k p]].
assert Empty.
apply (nat_encode (S k) 0).
apply (@snd (n = 0) _).
apply plus_eq_0.
apply p.
contradiction.

```

Defined.

Definition cardF {n : nat} : Fin (S n) → Fin n + Unit.

Proof.

```

intro w.
destruct w as [m [k p]].
destruct (decidable_paths_nat m n).
right. apply tt.
left. ∃ m. ∃ (pred k).
apply S_inj. refine (_ @ p).
refine ((plus_n_Sm _ _) @ _). f_ap. f_ap. apply Spred.
intro H. apply n0. apply S_inj. refine (_ @ p).
refine ((plus_0_r _) @ _). refine ((plus_n_Sm _ _) @ _). f_ap. f_ap.
apply H^~.

```

Defined.

Lemma plus\_cancelR (n m k : nat) : plus m n = plus k n → m = k.

Proof.

```

intro H.
apply (plus_cancelL n).
refine ((plus_comm _ _) @ _). refine (H @ _). apply (plus_comm _ _)^~.

```

Defined.

Lemma hprop\_lt (n m : nat) : IsHProp (lt n m).

Proof.

```

apply hprop_allpath. intros x y.
transparent assert (H : (
  ∀ k : nat, IsHProp ((n + S k)%nat = m)
)).
intro k. apply hprop_allpath. apply (@set_path2 nat hset_nat).
apply path_sigma_hprop.
destruct x as [x p], y as [y p'].
simpl. apply S_inj. apply (plus_cancelL n). apply (p @ p'^~).

```

Defined.

Lemma path\_Fin (n : nat) (w w' : Fin n) : (w.1 = w'.1) → w = w'.

Proof.

```

intro p.
destruct w as [m w], w' as [m' w'].
simpl. apply path_sigma_uncurried. ∃ p.
set (H := hprop_lt m' n).

```

```

    apply allpath_hprop.
Defined.
Theorem isequiv_cardF :  $\forall n, \text{IsEquiv } (@\text{cardF } n)$ .
Proof.
  intro n.
  refine (isequiv_adjointify _ _ _).
  (* inverse *)
  intro H. destruct H as [w | t]. destruct w as [m [k p]].
   $\exists m. \exists (S k). \text{refine } ((\text{plus\_n\_Sm } \_ \_)^{\wedge} @ \_)$ . apply (ap S). apply p.
   $\exists n. \exists O. \text{apply } (\text{plus\_1\_r } \_)^{\wedge}$ .
  (* Section *)
  intro H. destruct H as [w | t].
  (* w : Fin n *)
  destruct w as [m [k p]]. unfold cardF. simpl.
  destruct (decidable_paths_nat m n).
  assert Empty. apply (nat_encode (S k) O). apply (plus_cancell m).
  refine ( $\_ @ (\text{plus\_O\_r } \_)$ ). refine ( $\_ @ p0^{\wedge}$ ). apply p. contradiction.
  apply (ap inl). apply path_Fin. reflexivity.
  (* t : Unit *)
  unfold cardF. simpl. destruct (decidable_paths_nat n n).
  apply (ap inr). apply contr_unit. contradiction (n0 1).
  (* Retraction *)
  intro w. destruct w as [m [k p]]. unfold cardF. simpl.
  destruct (decidable_paths_nat m n).
  apply path_Fin. apply p0^{\wedge}.
  apply path_Fin. reflexivity.
Defined.
Lemma eq_lt_lt (n m k : nat) :  $(n = m) \rightarrow (\text{lt } m k) \rightarrow (\text{lt } n k)$ .
Proof.
  intros p w.
  destruct w as [l q].
   $\exists l. \text{refine } (\_ @ q). f\_ap$ .
Defined.
Lemma pred_inj (n m : nat) :  $n \neq O \rightarrow m \neq O \rightarrow (\text{pred } n = \text{pred } m) \rightarrow n = m$ .
Proof.
  intros Hn Hm H.
  refine  $((\text{Spred } n Hn)^{\wedge} @ \_)$ . refine ( $\_ @ (\text{Spred } m Hm)$ ).
  apply (ap S). apply H.
Defined.
Lemma pn_lt_n (n : nat) :  $n \neq O \rightarrow (\text{lt } (\text{pred } n) n)$ .
Proof.
  intro H.  $\exists O. \text{refine } ((\text{plus\_1\_r } \_)^{\wedge} @ \_)$ . apply Spred. apply H.
Defined.
Lemma brck_equiv (A B : Type) :  $(A \simeq B) \rightarrow (\text{Brck } A \simeq \text{Brck } B)$ .
Proof.
  intro e.
  apply equiv_iff_hprop.
  intro a'. strip_truncations. apply tr. apply e. apply a'.
  intro b'. strip_truncations. apply tr. apply  $e^{-1}$ . apply b'.

```

Defined.

**Theorem** `brck_functor_prod`  $(A\ B : \text{Type}) : \text{Brck } (A \times B) \simeq \text{Brck } A \times \text{Brck } B$ .

**Proof.**

```

  apply equiv_iff_hprop.
  intro x. split; strip_truncations; apply tr. apply (fst x). apply (snd x).
  intro x. destruct x as [a b]. strip_truncations. apply tr. apply (a, b).

```

Defined.

(\* The induction step of the proof \*)

**Section** ISFAC.

**Context**  $\{n : \text{nat}\} \{A : \text{Fin } (S\ n) \rightarrow \text{Type}\} \{P : \forall\ m, A\ m \rightarrow \text{Type}\}$ .

**Local Definition**  $A' := A \circ (@\text{equiv\_inv} \_ \_ \text{cardF } (\text{isequiv\_cardF } n))$ .

**Local Definition**  $P' : \forall\ m, A' m \rightarrow \text{Type}$ .

**Proof.**

```

  intros m a.
  refine (P _ _).
  apply (@equiv_inv _ _ cardF (isequiv_cardF n)).
  apply m. apply a.

```

Defined.

**Theorem** `domain_trans`  $\{ \text{Funext} \} :$

$$\begin{aligned}
 & (\forall\ m, \text{Brck } \{a : A\ m \ \& \ P\ m\ a\}) \\
 & \simeq \\
 & (\forall\ z, \text{Brck } \{a : A\ ((@equiv\_inv \_ \_ \text{cardF } (\text{isequiv\_cardF } n)) (\text{inl } z)) \\
 & \quad \& \ P\ ((@equiv\_inv \_ \_ \text{cardF } (\text{isequiv\_cardF } n)) (\text{inl } z))\ a\}) \\
 & \times \\
 & (\forall\ z : \text{Unit}, \text{Brck } \{a : A\ (n; (O; (\text{plus\_1\_r } \_ ) ^)) \& \\
 & \quad P\ (n; (O; (\text{plus\_1\_r } \_ ) ^))\ a\}).
 \end{aligned}$$

**Proof.**

```

equiv_via (∀ z, Brck {a : A' z & P' z a}).
refine (equiv_functor_forall' _ _).
apply equiv_inverse. apply (BuildEquiv _ _ cardF (isequiv_cardF n)).
intro z.
apply brck_equiv.
refine (equiv_functor_sigma' _ _).
unfold A'. unfold compose. apply equiv_idmap.
intro a. unfold P'. unfold compose. simpl. apply equiv_idmap.
equiv_via (
  (∀ z, Brck {a : A' (inl z) & P' (inl z) a})
  ×
  (∀ z, Brck {a : A' (inr z) & P' (inr z) a})
).
apply equiv_inverse.
refine (equiv_sum_rect _).
apply equiv_functor_prod'; apply equiv_idmap.

```

Defined.

**Theorem** `codomain_trans`  $\{ \text{Funext} \} :$

$$\begin{aligned}
 & \text{Brck } \{g : \forall\ m, A\ m \ \& \ \forall\ m, P\ m\ (g\ m)\} \\
 & \simeq \\
 & \text{Brck } \{g : \forall\ z, (A \circ (@equiv\_inv \_ \_ \text{cardF } (\text{isequiv\_cardF } n)) \circ \text{inl})\ z \\
 & \quad \& \ \forall\ z, \\
 & \quad P\ ((@equiv\_inv \_ \_ \text{cardF } (\text{isequiv\_cardF } n)) (\text{inl } z))\ (g\ z)\}
 \end{aligned}$$

```

×
Brck (∀ z : Unit, {a : A (n; (O; (plus_1_r _)^)) &
      P (n; (O; (plus_1_r _)^)) a}).

Proof.
  equiv_via (Brck {g : ∀ z, A' z & ∀ z, P' z (g z)}).
  apply brck_equiv.
  refine (equiv_functor_sigma' _ _).
  refine (equiv_functor_forall' _ _).
  apply equiv_inverse. apply (BuildEquiv _ _ cardF (isequiv_cardF n)).
  intro z. apply equiv_idmap.
  intro g. refine (equiv_functor_forall' _ _).
  apply equiv_inverse. apply (BuildEquiv _ _ cardF (isequiv_cardF n)).
  intro z. apply equiv_idmap.
  equiv_via (Brck (∀ z, {a : A' z & P' z a})).
  apply brck_equiv. refine (equiv_sigT_corect _ _).
  equiv_via (Brck ((∀ z, {a : A' (inl z) & P' (inl z) a})
    ×
    (∀ z, {a : A' (inr z) & P' (inr z) a}))).
  apply brck_equiv.
  apply equiv_inverse. refine (equiv_sum_rect _).
  equiv_via (Brck (∀ z : Fin n, {a : A' (inl z) & P' (inl z) a})
    ×
    Brck (∀ z : Unit, {a : A' (inr z) & P' (inr z) a})).
  apply brck_functor_prod.
  refine (equiv_functor_prod' _ _).
  apply brck_equiv.
  unfold A', P', compose.
  apply equiv_inverse.
  refine (equiv_sigT_corect _ _).
  apply brck_equiv. apply equiv_idmap.
Defined.

End ISFAC.

Theorem finite_AC '{Funext} (n : nat) (A : Fin n → Type)
  (P : ∀ m, A m → Type) :
  (∀ m, Brck {a : A m & P m a})
  → Brck {g : ∀ m, A m & ∀ m, P m (g m)}.

Proof.
  induction n.
  intro H'. apply tr.
  ∃ (fun m : Fin 0 ⇒ Empty_rect (fun _ ⇒ A m) (cardO m)).
  intro m. contradiction (cardO m).

  intro f.
  apply domain_trans in f.
  destruct f as [fn f1].
  apply codomain_trans.
  split.
  apply (IHn _ ((fun z a ⇒
    P ((@equiv_inv _ _ cardF (isequiv_cardF n)) (inl z)) a))).
  apply fn.
  set (z := tt).

```

apply *fl* in *z.strip\_truncations*. apply *tr*. intro *t*. apply *z*.  
**Defined.**

There's also a shorter proof by way of Lemma 3.8.2. It suffices to show for all  $n : \mathbb{N}$  and  $Y : \text{Fin}(n) \rightarrow \mathcal{U}$

$$\left( \prod_{m_n : \text{Fin}(n)} \|Y(m_n)\| \right) \rightarrow \left\| \prod_{m_n : \text{Fin}(n)} Y(x) \right\|$$

Things proceed by induction, as before. For  $n \equiv 0$  everything follows from a contradiction. For the induction step, we can define a new family  $Y' : (\text{Fin}(n) + 1) \rightarrow \mathcal{U}$  as before. Then

$$\prod_{m_{n+1} : \text{Fin}(n+1)} \|Y(m_{n+1})\| \simeq \prod_{z : \text{Fin}(n)+1} \|Y'(z)\| \simeq \left( \prod_{z : \text{Fin}(n)} \|Y'(\text{inl}(z))\| \right) \times \left( \prod_{z : 1} \|Y'(\text{inr}(z))\| \right)$$

and

$$\begin{aligned} \left\| \prod_{m_{n+1} : \text{Fin}(n+1)} Y(m_{n+1}) \right\| &\simeq \left\| \prod_{z : \text{Fin}(n)+1} Y'(z) \right\| \\ &\simeq \left\| \left( \prod_{z : \text{Fin}(n)} Y'(\text{inl}(z)) \right) \times \left( \prod_{z : 1} Y'(\text{inr}(z)) \right) \right\| \\ &\simeq \left\| \prod_{z : \text{Fin}(n)} Y'(\text{inl}(z)) \right\| \times \left\| \prod_{z : 1} Y'(\text{inr}(z)) \right\| \end{aligned}$$

As before, we pair the induction hypothesis with a trivially constructed map to produce the required arrow.

(\* the induction step \*)

Section **ISFAC'**.

Context  $\{n : \text{nat}\} \{Y : \text{Fin}(S\ n) \rightarrow \text{Type}\}$ .

Local Definition  $Y' := Y \circ (@\text{equiv\_inv} \_ \_ \text{cardF} (\text{isequiv\_cardF } n))$ .

Theorem **domain\_trans'** '{Funext} :

( $\forall m, \text{Brck}(Y\ m)$ )  
 $\simeq$   
 $(\forall z, \text{Brck}(Y\ ((@\text{equiv\_inv} \_ \_ \text{cardF} (\text{isequiv\_cardF } n)) (\text{inl } z))))$   
 $\times (\forall z : \text{Unit}, \text{Brck}(Y\ (n; (\text{O}; (\text{plus\_1\_r } \_ )^{\wedge}))))$ .

**Proof.**

*equiv\_via* ( $\forall z, \text{Brck}(Y' z)$ ).  
 refine (*equiv\_functor\_forall'* \_).  
 apply *equiv\_inverse*. apply (*BuildEquiv* \_ \_ *cardF* (*isequiv\_cardF* *n*)).  
 intro *b*. apply *equiv\_idmap*.  
*equiv\_via* (( $\forall z, \text{Brck}(Y' (\text{inl } z))$ )  $\times$  ( $\forall z, \text{Brck}(Y' (\text{inr } z))$ )).  
 apply *equiv\_inverse*. refine (*equiv\_sum\_rect* \_).  
 apply *equiv\_idmap*.

**Defined.**

Theorem **codomain\_trans'** '{Funext} :

$\text{Brck}(\forall z, Y((@\text{equiv\_inv} \_ \_ \text{cardF} (\text{isequiv\_cardF } n)) (\text{inl } z)))$   
 $\times$   
 $\text{Brck}(\forall z : \text{Unit}, Y(n; (\text{O}; (\text{plus\_1\_r } \_ )^{\wedge})))$   
 $\simeq$

```

Brck ( $\forall m, Y m$ ).
Proof.
  equiv_via (Brck ( $\forall z, Y' (\text{inl } z)$ )  $\times$  Brck ( $\forall z : \text{Unit}, Y' (\text{inr } z)$ )).
  apply equiv_idmap.
  equiv_via (Brck (( $\forall z, Y' (\text{inl } z)$ )  $\times$  ( $\forall z, Y' (\text{inr } z)$ ))).
  apply equiv_inverse. apply brck_functor_prod.
  equiv_via (Brck ( $\forall z, Y' z$ )).
  apply brck_equiv. refine (equiv_sum_rect _).
  apply brck_equiv. refine (equiv_functor_forall' _ _).
  apply (BuildEquiv _ _ cardF (isequiv_cardF n)).
  intro b. unfold Y', compose. apply equiv_path.
  f_ap. apply eisect.
Defined.
End ISFAC'.

Theorem finite_AC' '{Funext} (n : nat) (Y : Fin n  $\rightarrow$  Type) :
  ( $\forall m, \text{Brck } (Y m)$ )  $\rightarrow$  Brck ( $\forall m, Y m$ ).
Proof.
  induction n.
  intro H'. apply tr. intro m. contradiction (cardO m).
  intro f.
  apply domain_trans' in f. destruct f as [fn f1].
  apply codomain_trans'. split.
  apply IHn. apply fn.
  set (z := tt). apply f1 in z. strip_truncations. apply tr. intro t. apply z.
Defined.

Theorem finite_AC_eqv_finite_AC' '{Funext} :
  ( $\forall (n : \text{nat}) (A : \text{Fin } n \rightarrow \text{Type}) P,$ 
    ( $\forall m, \text{Brck } \{a : A m \ \& \ P m a\}$ )
     $\rightarrow$ 
    Brck {g :  $\forall m, A m \ \& \ \forall m, P m (g m)$ })
   $\simeq$ 
  ( $\forall (n : \text{nat}) (Y : \text{Fin } n \rightarrow \text{Type}),$ 
    ( $\forall m, \text{Brck } (Y m)$ )  $\rightarrow$  Brck ( $\forall m, Y m$ )).
Proof.
  apply equiv_iff_hprop.
  (* forward *)
  intros finite_AC n Y f.
  transparent assert (e : (
    Brck {g :  $\forall m, Y m \ \& \ \forall m, (\text{fun } z a \Rightarrow \text{Unit}) m (g m)$ }
     $\simeq$ 
    Brck ( $\forall m, Y m$ )
  )).
  equiv_via (Brck ( $\forall m, \{y : Y m \ \& \ (\text{fun } z a \Rightarrow \text{Unit}) m y\}$ )).
  apply brck_equiv. refine (equiv_sigT_corect _ _).
  apply brck_equiv. refine (equiv_functor_forall' _ _). apply equiv_idmap.
  intro b. apply equiv_sigma_contr. intro y. apply contr_unit.
  apply e. clear e.
  apply (finite_AC n Y (fun z a  $\Rightarrow$  Unit)).
  intro m. assert (Brck (Y m)). apply (f m).

```



```

strip_truncations. apply tr.  $\exists$  X. apply tt.
(* back *)
intros finite_AC' n A P f.
transparent assert (e : (
  Brck ( $\forall m, (\text{fun } x \Rightarrow \{a : A\ x \ \& \ P\ x\ a\})\ m$ )
   $\simeq$ 
  Brck  $\{g : \forall m : \text{Fin } n, A\ m \ \& \ \forall m : \text{Fin } n, P\ m\ (g\ m)\}$ 
)).
apply brck_equiv.
apply equiv_inverse. refine (equiv_sigT_corect _).
apply e. clear e.
apply finite_AC'. apply f.
Defined.

Theorem finite_AC_alt '{Funext} (n : nat) (A : Fin n  $\rightarrow$  Type)
  (P :  $\forall m, A\ m \rightarrow$  Type) :
  ( $\forall m, \text{Brck } \{a : A\ m \ \& \ P\ m\ a\}$ )
   $\rightarrow \text{Brck } \{g : \forall m, A\ m \ \& \ \forall m, P\ m\ (g\ m)\}$ .
Proof.
  generalize dependent n.
  apply finite_AC_eqv_finite_AC'.
  apply finite_AC'.
Defined.

```

## 4 Equivalences

**\*Exercise 4.1 (p. 147)** Consider the type of “two-sided adjoint equivalence data” for  $f : A \rightarrow B$ ,

$$\sum_{(g:B \rightarrow A)} \sum_{(\eta:g \circ f \sim \text{id}_A)} \sum_{(\epsilon:f \circ g \sim \text{id}_B)} \left( \prod_{x:A} f(\eta x) = \epsilon(fx) \right) \times \left( \prod_{y:B} g(\epsilon y) = \eta(gy) \right)$$

By Lemma 4.2.2, we know that if  $f$  is an equivalence, then this type is inhabited. Give a characterization of this type analogous to Lemma 4.1.1. Give an example showing that this type is not generally a mere proposition.

**Solution** If  $f : A \rightarrow B$  is an equivalence, then this type is equivalent to  $\prod_{(x:A)} (\text{refl}_x = \text{refl}_x)$ . The idea is that the extra half-adjoint data pins down the path  $x = x$  to  $\text{refl}_x$ , but the further data allows for nontrivial paths  $\text{refl}_x = \text{refl}_x$ . To fix this one would have to add another higher coherence condition.

To prove this, suppose that  $e : \text{isequiv}(f)$ , so  $(f, e) : A \simeq B$ . By univalence, we may assume that  $(f, e)$  is of the form  $\text{idtoeqv}(r)$  for some  $r : A = B$ , and by path induction we can assume this is  $\text{refl}_A$ , so  $\text{idtoeqv}(r)$  is  $\text{id}_A$ . Now our type reduces to

$$\sum_{(g:A \rightarrow A)} \sum_{(\eta:g \sim \text{id}_A)} \sum_{(\epsilon:g \sim \text{id}_A)} (\eta \sim \epsilon) \times ((\lambda x. g(\epsilon x)) \sim (\lambda x. \eta(gx)))$$

and by function extensionality and associativity of  $\Sigma$  types this is equivalent to

$$\sum_{(h:\sum_{(g:A \rightarrow A)} (g = \text{id}_A))} \sum_{(\epsilon:\text{pr}_1(h) = \text{id}_A)} (\text{pr}_2(h) = \epsilon) \times ((\lambda x. (\text{pr}_1(h))(\epsilon x)) = (\lambda x. (\text{pr}_2(h))((\text{pr}_1(h))x)))$$

But  $\sum_{(g:A \rightarrow A)} (g = \text{id}_A)$  is contractible with center  $(\text{id}_A, \text{refl}_{\text{id}_A})$ , so our type is equivalent to

$$\sum_{\epsilon:\text{id}_A = \text{id}_A} (\text{refl}_{\text{id}_A} = \epsilon) \times (\epsilon = \text{refl}_{\text{id}_A})$$

again by associativity, this is equivalent to

$$\sum_{h:\sum_{(e:\text{id}_A=\text{id}_A)}(e=\text{refl}_{\text{id}_A})} (\text{pr}_1(h) = \text{refl}_{\text{id}_A})$$

and again we can apply 3.11.9 to obtain the equivalent  $\text{refl}_{\text{id}_A} = \text{refl}_{\text{id}_A}$ . By function extensionality, this is equivalent to

$$\prod_{x:A} (\text{refl}_x = \text{refl}_x)$$

This type is generally not a mere proposition. It suffices to give an example of some  $X$  such that  $\prod_{(x:X)} (\text{refl}_x = \text{refl}_x)$  isn't a mere proposition. Consider the 2-sphere  $S^2$ . Then  $\text{refl}_{\text{refl}_{\text{base}}} : \text{refl}_{\text{base}} = \text{refl}_{\text{base}}$ , but we also have  $\text{surf} : \text{refl}_{\text{base}} = \text{refl}_{\text{base}}$ . Since  $\text{surf} \neq \text{refl}_{\text{refl}_{\text{base}}}$ ,  $(\text{refl}_{\text{base}} = \text{refl}_{\text{base}})$  isn't a mere proposition, hence neither is  $\prod_{(x:S^2)} (\text{refl}_x = \text{refl}_x)$ , hence neither is our type.

**Theorem ex4.1** *{Univalence}*  $(A B : \text{Type}) (f : A \simeq B) :$

```
{g : B → A & {h : g ∘ f ~ idmap & {e : f ∘ g ~ idmap &
  (∀ x, ap f (h x) = e (f x))
  × (∀ y, ap g (e y) = h (g y))}}}}
≃
∀ x : A, @idpath _ x = @idpath _ x.
```

*Proof.*

```
set (p := path_universe f).
assert (fisp : f = equiv_path _ _ p).
  apply path_equiv. apply path_forall; intro a. simpl.
  unfold p. symmetry. apply transport_path_universe.
clearbody p. induction p. rewrite fisp. simpl.

equiv_via ({g : A → A & {h : g ~ idmap & {e : g ~ idmap &
  (h ~ e)
  × ((fun y ⇒ ap g (e y)) ~ (fun y ⇒ h (g y))))}}}).
refine (equiv_functor_sigma' _ _). apply equiv_idmap. intro g. simpl.
refine (equiv_functor_sigma' _ _). apply equiv_idmap. intro h. simpl.
refine (equiv_functor_sigma' _ _). apply equiv_idmap. intro e. simpl.
refine (equiv_functor_prod' _ _).
refine (equiv_functor_forall' _ _). apply equiv_idmap. intro b. simpl.
refine (equiv_adjointify _ _ _).
  intro eq. apply ((ap_idmap _)^ @ eq).
  intro eq. apply ((ap_idmap _)^ @ eq).
  intro eq. hott_simpl.
  intro eq. hott_simpl.
apply equiv_idmap.

equiv_via ({g : A → A & {h : g ~ idmap & {e : g ~ idmap &
  (h = e) × ((fun y ⇒ ap g (e y)) = (fun y ⇒ h (g y))))}}}).
refine (equiv_functor_sigma' _ _). apply equiv_idmap. intro g. simpl.
refine (equiv_functor_sigma' _ _). apply equiv_idmap. intro h. simpl.
refine (equiv_functor_sigma' _ _). apply equiv_idmap. intro e. simpl.
refine (equiv_functor_prod' _ _).
apply equiv_path_forall.
apply equiv_path_forall.

equiv_via ({h : {g : A → A & g ~ idmap} & {e : h.1 ~ idmap &
  (h.2 = e) × ((fun y ⇒ ap h.1 (e y)) = (fun y ⇒ h.2 (h.1 y))))}).
refine (equiv_sigma_assoc _ _).
```

```

transparent assert (HC : (Contr {g : A → A & g ~ idmap})).
∃ (idmap; (fun x ⇒ 1)).
intro h. destruct h as [g h].
apply path_sigma_uncurried. simpl.
∃ (path_forall (fun x : A ⇒ x) g (fun x : A ⇒ (h x) ^)).
unfold pointwise_paths.
apply path_forall; intro a.
refine ((transport_forall_constant _ _ _) @ _).
refine ((@path_forall_1_beta _ A (fun _ ⇒ A) a (fun z ⇒ z = a)
      idmap g _ 1) @ _).
refine ((transport_paths_1 _ _ _) @ _).
refine ((concat_p1 _ _) @ _).
apply inv_V.
equiv_via ({e : (center {g : A → A & g ~ idmap}).1 ~ idmap &
  ((center {g : A → A & g ~ idmap}).2 = e)
  × ((fun y ⇒ ap (center {g : A → A & g ~ idmap}).1 (e y))
    = (fun y ⇒ (center {g : A → A & g ~ idmap}).2
      ((center {g : A → A & g ~ idmap}).1 y))))}).
refine (equiv_sigma_contr_base _ _ _).
simpl. clear HC.
equiv_via ({e : (fun x : A ⇒ x) ~ idmap &
  {p : (fun x ⇒ 1) = e
    & ((fun y : A ⇒ ap idmap (e y)) = (fun y : A ⇒ 1))}}).
refine (equiv_functor_sigma' _ _). apply equiv_idmap. intro e.
refine (equiv_adjointify _ _ _); simpl.
intro p. apply (fst p; snd p).
intro p. split. apply p.1. apply p.2.
intro p. simpl. apply eta_sigma.
intro p. simpl. apply eta_prod.
equiv_via ({h : {e : (fun x:A ⇒ x) ~ idmap & (fun x ⇒ 1) = e}
  & (fun y : A ⇒ ap idmap (h.1 y)) = (fun y : A ⇒ 1)}}).
refine (equiv_sigma_assoc _ _).
equiv_via ({h : {e : (fun x:A ⇒ x) ~ idmap & (fun x : A ⇒ 1) = e}
  & (fun y : A ⇒ h.1 y) = (fun y : A ⇒ 1)}}).
refine (equiv_functor_sigma' _ _). apply equiv_idmap. intro e. simpl.
refine (equiv_adjointify _ _ _); simpl.
intro eq. apply path_forall; intro a. refine (_ @ (apD10 eq a)).
  apply (ap_idmap _)^.
intro eq. apply path_forall; intro a. refine ((ap_idmap _) @ _).
  apply (apD10 eq a).
intro eq. refine (_ @ (eta_path_forall _ _ _)).
  apply (ap (path_forall _ _)). apply path_forall; intro a.
  apply moveR_Vp. refine ((apD10_path_forall _ _ _ a) @ _).
  reflexivity.
intro eq. refine (_ @ (eta_path_forall _ _ _)).
  apply (ap (path_forall _ _)). apply path_forall; intro a.
  apply moveR_Mp. refine ((apD10_path_forall _ _ _ a) @ _).
  reflexivity.
equiv_via ((fun y : A ⇒ (center {e : (fun x:A ⇒ x) ~ idmap & (fun x : A ⇒ 1) = e}).1 y) = (fun y : A ⇒
1)).

```

```

refine (equiv_sigma_contr_base - - -).
apply (BuildEquiv - - apD10 (isequiv_apD10 - - -)).
Defined.

```

**Exercise 4.2 (p. 147)** Show that for any  $A, B : \mathcal{U}$ , the following type is equivalent to  $A \simeq B$ .

$$\sum_{R : A \rightarrow B \rightarrow \mathcal{U}} \left( \prod_{a : A} \text{isContr} \left( \sum_{b : B} R(a, b) \right) \right) \times \left( \prod_{b : B} \text{isContr} \left( \sum_{a : A} R(a, b) \right) \right).$$

Extract from this a definition of a type satisfying the three desiderata of  $\text{isequiv}(f)$ .

**Solution** Suppose that this type is inhabited; by induction we may suppose that the inhabitant breaks down into

$$\begin{aligned}
& R : A \rightarrow B \rightarrow \mathcal{U} \\
& f : \prod_{a : A} \text{isContr} \left( \sum_{b : B} R(a, b) \right) \\
& g : \prod_{b : B} \text{isContr} \left( \sum_{a : A} R(a, b) \right)
\end{aligned}$$

We have to construct an element  $e : A \simeq B$ . For the forward map, suppose that  $a : A$ . Then

$$f(a) : \text{isContr} \left( \sum_{b : B} R(a, b) \right)$$

so there is some  $(b, p) : \sum_{(b : B)} R(a, b)$ , and we set  $e(a) \equiv b$ . For the inverse, suppose that  $b : B$ . Then  $g(b) : \text{isContr}(\sum_{(a : A)} R(a, b))$ , so there is some center  $(a, p) : \sum_{(a : A)} R(a, b)$ , and we set  $e^{-1}(b) \equiv a$ . To prove that these are quasi-inverses, suppose that  $a : A$ . Then  $f(a) : \text{isContr}(\sum_{(b : B)} R(a, b))$ , so the center is  $(b, p) : \sum_{(b : B)} R(a, b)$  and  $e(a) \equiv b$ . But then  $g(e(a)) : \text{isContr}(\sum_{(a : A)} R(a, b))$ , and the center of this is  $(a', q) : \sum_{(a : A)} R(a, b)$ , so  $e^{-1}(e(a)) = a'$ . But now we have  $(a, p) : \sum_{(a : A)} R(a, b)$ , and since this type is contractible we have  $(a, q) = (a', p)$ , hence  $e^{-1}(e(a)) = a' = a$ . The other direction goes just the same way.

For the other direction, suppose that  $e : A \simeq B$ , and consider the relation  $R \equiv \lambda a. \lambda b. (e(a) = b) : A \rightarrow B \rightarrow \mathcal{U}$ . For any  $a : A$ ,  $\sum_{(b : B)} (e(a) = b)$  is contractible by Lemma 3.11.8. For any  $b : B$ ,  $\sum_{(a : A)} (e(a) = b)$  is equivalent to  $\sum_{(a : A)} (e^{-1}(b) = a)$ , and this is also contractible by Lemma 3.11.8. So we have an element of the type above.

To show that these are quasi-inverses, let  $e : A \simeq B$ , and take it once around the loop to get an equivalence with underlying map  $e : A \rightarrow B$ . Since an equivalence is determined by its underlying map, we're back where we started. For the other direction, suppose that we have an element of the type in the problem statement, and take it once around the loop. Since contractibility is a mere proposition and products preserve these, it suffices to show that the first components are equal, or that  $(b' = b) = R(a, b)$  for  $b'$  the center of  $\sum_{(b : B)} R(a, b)$ . By univalence it suffices to show that these are equivalent, and since they are mere propositions it suffices to show that they logically imply one another. Transport gives us the first direction, and the other is given by the contractibility of  $\sum_{(b : B)} R(a, b)$ . So we've established an equivalence.

For  $f : A \rightarrow B$ , define

$$\text{isequiv}'(f) \equiv \left( \prod_{a : A} \text{isContr} \left( \sum_{b : B} (f(a) = b) \right) \right) \times \left( \prod_{b : B} \text{isContr} \left( \sum_{a : A} (f(a) = b) \right) \right)$$

To show that desideratum (i) is satisfied, suppose that  $p : \text{qinv}(f)$ . Then  $\text{isequiv}(f)$  is inhabited, so  $A \simeq B$  is as well. But then the equivalence just established gives us an element whose second entry is an element

of  $\text{isequiv}'(f)$ . So we have an arrow  $\text{qinv}(f) \rightarrow \text{isequiv}'(f)$ . For the other direction, suppose that we have an element  $p : \text{isequiv}'(f)$ . Then  $\lambda a. \lambda b. (f(a) = b)$  gives us an element that our equivalence takes to  $A \simeq B$ , and the second element of this is of type  $\text{isequiv}(f)$ . But then we have  $\text{isequiv}(f) \rightarrow \text{qinv}(f)$ , so our desideratum is satisfied. Finally,  $\text{isequiv}'(f)$  is constructed out of products of mere propositions, so it too is a mere proposition.

**Definition** `equiv_to_contr_rel_equiv` ( $A B : \text{Type}$ ) :  
 $(A \simeq B)$   
 $\rightarrow$   
 $\{R : A \rightarrow B \rightarrow \text{Type} \ \& \ (\forall a, \text{Contr } \{b : B \ \& \ R \ a \ b\})$   
 $\times (\forall b, \text{Contr } \{a : A \ \& \ R \ a \ b\})\}$ .

**Proof.**

```

intro e.
 $\exists$  (fun a b  $\Rightarrow$  (e a = b)). split.
intro a. apply contr_basedpaths.
intro b. refine (@contr_equiv' {a : A & e-1 b = a} _ _).
refine (equiv_functor_sigma' _ _).
apply equiv_idmap. intro a. simpl.
refine (equiv_adjointify _ _ _).
intro eq. refine (_ @ (eisretr e b)). apply (ap e). apply eq^.
intro eq. refine (_ @ (eissect e a)). apply (ap e-1). apply eq^.
intro eq. induction eq. simpl.
apply moveR_pM. refine (_ @ (concat_1p _)^). refine ((ap_V _ _) @ _).
apply inverse2. refine ((ap_pp _ _ _) @ _).
apply moveR_pM. refine ((ap_1 _ _ ) @ _). apply moveL_pV.
refine ((concat_1p _ ) @ _). apply (eisadj e a)^.

intro eq. induction eq. simpl.
apply moveR_pM. refine ((ap_V _ _ ) @ _). refine (_ @ (concat_1p _)^).
apply inverse2. refine ((ap_pp _ _ _ ) @ _). apply moveR_pM.
refine ((ap_1 _ _ ) @ _). apply moveL_pV. refine ((concat_1p _ ) @ _).
apply (other_adj _ _ )^.

```

**Defined.**

**Theorem** `isequiv_equiv_to_contr_rel_equiv` '{`Univalence`} ( $A B : \text{Type}$ ) :  
`IsEquiv` (`equiv_to_contr_rel_equiv` A B).

**Proof.**

```

refine (isequiv_adjointify _ _ _).
intro R. destruct R as [R [f g]].
refine (equiv_adjointify _ _ _).
intro a. apply (center {b : B & R a b}). 1.
intro b. apply (center {a : A & R a b}). 1.

intro b. simpl.
destruct (center {a : A & R a b}) as [a p]. simpl.
destruct (center {b0 : B & R a b0}) as [b' q]. change b with (b; p). 1.
apply (ap pr1). apply allpath_hprop.

intro a. simpl.
destruct (center {b : B & R a b}) as [b q]. simpl.
destruct (center {a0 : A & R a0 b}) as [a' p].
change a with (@pr1 _ (fun a'  $\Rightarrow$  R a' b) (a; q)).
apply (ap pr1). apply allpath_hprop.

intro R. apply path_sigma_hprop. destruct R as [R [f g]]. simpl.
apply path_forall; intro a. apply path_forall; intro b.

```

```

destruct (center {b0 : B & R a b0}) as [b' p]. simpl.
apply path_universe_uncurried.
refine (equiv_adjointify - - -).
intro eq. apply (transport - eq). apply p.
intro q. change b with (b; q).1. change b' with (b'; p).1. apply (ap pr1).
refine (path_contr - -). apply (f a).
intro q. refine ((fiber_path (path_contr (b'; p) (b; q))) @ -). reflexivity.
intro eq. induction eq. simpl. refine (- @ (ap_1 - -)). f_ap.
refine (path_contr - -). refine (contr_paths_contr - -). apply (f a).

intro e. simpl. apply path_equiv. simpl. reflexivity.
Defined.

```

**Definition** `qinv`  $\{A B : \text{Type}\} (f : A \rightarrow B) :=$   
 $\{g : B \rightarrow A \ \& \ (f \circ g \sim \text{idmap}) \times (g \circ f \sim \text{idmap})\}.$

**Definition** `qinv_isequiv`  $A B (f : A \rightarrow B) (p : \text{qinv } f) : \text{IsEquiv } f$   
 $:= \text{isequiv\_adjointify } f \ p.1 \ (\text{fst } p.2) \ (\text{snd } p.2).$

**Definition** `isequiv_qinv`  $\forall A B (f : A \rightarrow B), \text{IsEquiv } f \rightarrow \text{qinv } f.$   
**Proof.**

```

intros A B f p. destruct p.
 $\exists$  equiv_inv. split. apply eisretr. apply eissect.
Defined.

```

**Definition** `isequiv'`  $\{A B\} (f : A \rightarrow B) :=$   
 $(\forall a, \text{Contr } \{b : B \ \& \ f \ a = b\}) \times (\forall b, \text{Contr } \{a : A \ \& \ f \ a = b\}).$

**Theorem** `ex4_2.i`  $A B (f : A \rightarrow B) : \text{qinv } f \rightarrow \text{isequiv}' f.$

**Proof.**

```

intro p. apply qinv_isequiv in p.
set (Hf := BuildEquiv A B f p).
set (HR := equiv_to_contr_rel_equiv A B Hf).
set (R := pr1 HR).
set (Q := pr2 HR).
split. apply (fst Q). apply (snd Q).

```

**Defined.**

**Theorem** `ex4_2.ii`  $A B (f : A \rightarrow B) : \text{isequiv}' f \rightarrow \text{qinv } f.$

**Proof.**

```

intro p. destruct p as [sect retr].
transparent assert (g : (B → A)).
intro b. destruct (retr b). apply center.1.
 $\exists$  g. split.
unfold compose, g. intro b. destruct (retr b). apply center.2.
unfold compose, g. intro a. destruct (retr (f a)).
apply (ap pr1 (contr (a; 1))).

```

**Defined.**

**Lemma** `hprop_prod`  $\forall A, \text{IsHProp } A \rightarrow \forall B, \text{IsHProp } B \rightarrow \text{IsHProp } (A \times B).$

**Proof.**

```

intros A HA B HB z z'.
apply (trunc_equiv (equiv_path_prod z z')).

```

**Defined.**

**Theorem** `ex4_2.iii`  $\{\text{Funext}\} A B (f : A \rightarrow B) : \text{IsHProp } (\text{isequiv}' f).$

**Proof.**

```

unfold isequiv'.

```

apply hprop\_prod; apply hprop\_dependent; intro; apply hprop\_contr.  
Defined.

**Exercise 4.3 (p. 147)** Reformulate the proof of Lemma 4.1.1 without using univalence.

**Solution** Suppose that  $f : A \rightarrow B$  such that  $\text{qinv}(f)$  is inhabited. To show that  $\text{qinv}(f) \simeq \prod_{(x:X)} (x = x)$ , note that by associativity we have

$$\text{qinv}(f) \simeq \sum_{h : \sum_{(g:B \rightarrow A)} (f \circ g \sim \text{id}_A)} (\text{pr}_1(h) \circ f \sim \text{id}_A)$$

Now, because  $f$  is an equivalence, by function extensionality  $f \circ g \sim \text{id}_A$  is equivalent to  $g = f^{-1}$ . But then  $\sum_{(g:B \rightarrow A)} (g = f^{-1})$  is contractible with center  $(f^{-1}, \text{refl}_{f^{-1}})$ , so we've reduced to the type  $f^{-1} \circ f \sim \text{id}_A$ . Again by function extensionality, this is equivalent to  $\prod_{(x:A)} (x = x)$ .

**Theorem concat\_Ap\_w** (A B : Type) (f g : A → B) (p : ∀ x : A, f x = g x)  
(x y : A) (q : x = y)  
: ap f q = p x @ ap g q @ (p y) ^.

**Proof.**

apply moveL\_pV. apply concat\_Ap.  
Defined.

**Theorem qinv\_to\_loop** {Funext} (A B : Type) (f : A → B) :  
qinv f ≃ ∀ x : A, x = x.

**Proof.**

```

unfold qinv.
equiv_via ({h : {g : B → A & (f ∘ g ∼ idmap)}} & (h.1 ∘ f ∼ idmap))).
refine (equiv_adjointify _ _ _).
intro w. ∃ (w.1; fst w.2). apply (snd w.2).
intro w. ∃ w.1.1. split. apply w.1.2. apply w.2.
intro w. destruct w as [[g h] e]. reflexivity.
intro w. destruct w as [g [h e]]. reflexivity.

transparent assert (H' : (Contr {g : B → A & f ∘ g ∼ idmap})).
∃ (f-1; eisretr f). intro h. destruct h as [w h].
apply path_sigma_uncurried. simpl.
∃ (path_forall f-1 w (fun b : B ⇒ ap f-1 (h b) ^ @ eissect f (w b))).
unfold pointwise_paths, compose.
apply path_forall; intro b.
refine ((transport_forall_constant _ _ _) @ _).
refine ((@path_forall_1_beta _ B (fun _ ⇒ A) b (fun z ⇒ f z = b) _ _ _ _ )
  @ _).
refine ((transport_paths_Fl _ _ _) @ _).
apply moveR_Vp. apply moveL_pM.
refine (_ @ (ap_pp _ _ _)^).
apply moveL_Mp. refine (_ @ (eisadj _ _)).
apply moveR_Vp. apply moveL_pM.
refine (_ @ (ap_compose _ _ _)).
refine (_ @ (concat_Ap_w _ _ _ idmap (eisretr f) _ _ _)^).
apply concat2. apply concat2. reflexivity. apply (ap_idmap _)^. reflexivity.

equiv_via ((center {g : B → A & f ∘ g ∼ idmap}).1 ∘ f ∼ idmap).
refine (equiv_sigma_contr_base _ _ _).
simpl. clear H'.

```

```

refine (equiv_adjointify _ _ _).
intro h. apply path_forall in h. intro x. refine ((eiseect f _) ^ @ _).
apply (ap10 h x).
intro h. intro x. unfold compose. refine ((eiseect f _) @ _). apply (h x).
intro h. apply path_forall; intro a.
apply moveR_Vp. refine ((ap10_path_forall _ _ a) @ _). reflexivity.
intro h. apply path_forall; intro a.
apply moveR_Mp. apply concat2. reflexivity.
refine ((ap10_path_forall _ _ a) @ _). reflexivity.
Defined.

```

**Exercise 4.4 (p. 147)** Suppose  $f : A \rightarrow B$  and  $g : B \rightarrow C$  and  $b : B$ .

- (i) Show that there is a natural map  $\text{fib}_{g \circ f}(g(b)) \rightarrow \text{fib}_g(g(b))$  whose fiber over  $(b, \text{refl}_{g(b)})$  is equivalent to  $\text{fib}_f(b)$ .
- (ii) Show that  $\text{fib}_{g \circ f}(g(b)) \simeq \sum_{(w : \text{fib}_g(g(b)))} \text{fib}_f(\text{pr}_1 w)$ .

**Solution** (i) Unfolding the fib notation, we are looking for a map

$$\left( \sum_{a:A} (g(f(a)) = g(b)) \right) \rightarrow \left( \sum_{b':B} (g(b') = g(b)) \right)$$

The obvious choice is  $f^* := (a, p) \mapsto (f(a), p)$ . We then must show that  $\text{fib}_{f^*}(b, \text{refl}_{g(b)}) \simeq \text{fib}_f(b)$ . We have this by the following chain of equivalences:

$$\begin{aligned}
\text{fib}_{f^*}(b, \text{refl}_{g(b)}) &\equiv \sum_{w : \sum_{(a:A)} (g(f(a)) = g(b))} (f^*(w) = (b, \text{refl}_{g(b)})) \\
&\simeq \sum_{(a:A)} \sum_{(p : g(f(a)) = g(b))} (f^*(a, p) = (b, \text{refl}_{g(b)})) \\
&\equiv \sum_{(a:A)} \sum_{(p : g(f(a)) = g(b))} ((f(a), p) = (b, \text{refl}_{g(b)})) \\
&\simeq \sum_{(a:A)} \sum_{(p : g(f(a)) = g(b))} \sum_{q : f(a) = b} q_*(p) = \text{refl}_{g(b)} \\
&\simeq \sum_{(a:A)} \sum_{(q : f(a) = b)} \sum_{p : g(f(a)) = g(b)} q_*(p) = \text{refl}_{g(b)} \\
&\simeq \sum_{(a:A)} \sum_{(q : f(a) = b)} \sum_{p : g(f(a)) = g(b)} p = q_*^{-1}(\text{refl}_{g(b)}) \\
&\simeq \sum_{a:A} (f(a) = b) \\
&\equiv \text{fib}_f(b)
\end{aligned}$$



(ii) In this case it's a bit easier to come up with a chain of equivalences in the other direction. We have

$$\begin{aligned}
\sum_{w:\text{fib}_g(g(b))} \text{fib}_f(\text{pr}_1 w) &\simeq \sum_{(b':B)} \sum_{(p:g(b')=g(b))} \text{fib}_f(b') \\
&\simeq \sum_{(a:A)} \sum_{(b':B)} \sum_{p:g(b')=g(b)} (f(a) = b') \\
&\simeq \sum_{(a:A)} \sum_{(b':B)} \sum_{p:f(a)=b'} (g(b') = g(b)) \\
&\simeq \sum_{(a:A)} \sum_{(w:\sum_{(b':B)} (f(a)=b'))} (g(\text{pr}_1 w) = g(b)) \\
&\simeq \sum_{a:A} (g(f(a)) = g(b)) \\
&\equiv \text{fib}_{g \circ f}(\cdot)g(b)
\end{aligned}$$

**Lemma** `equiv_prod_unit` ( $A : \text{Type}$ ) :  $A \simeq A \times \text{Unit}$ .

**Proof.**

```

refine (equiv_adjointify (fun a => (a, tt)) (fun z => fst z) - _).
intro z. apply path_prod.reflexivity. apply eta_unit.
intro a. reflexivity.

```

**Defined.**

**Module** `Ex4`.

**Variables** ( $A B C D : \text{Type}$ ) ( $f : A \rightarrow B$ ) ( $g : B \rightarrow C$ ) ( $b : B$ ).

**Definition** `f_star` ( $z : \text{hfiber } (g \circ f) (g b)$ ) :  $\text{hfiber } g (g b) :=$   
 $(f z.1; z.2)$ .

**Theorem** `ex4_4_i` :  $\text{hfiber } (f\_star) (b; 1) \simeq \text{hfiber } f b$ .

**Proof.**

```

equiv_via {a : A & {p : g (f a) = g b & (f_star (a; p) = (b; 1))}}.
apply equiv_inverse.refine (equiv_sigma_assoc - _). unfold f_star. simpl.

equiv_via {a : A & {p : g (f a) = g b & {q : f a = b &
    transport (fun x => g x = g b) q p = 1}}}
    transport (fun x => g x = g b) q p = 1}}.
refine (equiv_functor_sigma_id _). intro a.
refine (equiv_functor_sigma_id _). intro p.
apply equiv_inverse.
refine (equiv_path_sigma (fun x => g x = g b) (f a; p) (b; 1)).

equiv_via {a : A & {q : f a = b & {p : g (f a) = g b &
    transport (fun x => g x = g b) q p = 1}}}
    transport (fun x => g x = g b) q p = 1}}.
refine (equiv_functor_sigma_id _). intro a.
refine (equiv_sigma_comm - - _).

equiv_via {a : A & {q : f a = b & {p : g (f a) = g b &
    p = transport (fun x => g x = g b) q ^ 1}}}
    p = transport (fun x => g x = g b) q ^ 1}}.
refine (equiv_functor_sigma_id _). intro a.
refine (equiv_functor_sigma_id _). intro p.
refine (equiv_functor_sigma_id _). intro q.
refine (BuildEquiv - - - (isequiv_moveL_transport_V - - - _)).

equiv_via {a : A & {q : f a = b & Unit}}.
refine (equiv_functor_sigma_id _). intro a.
refine (equiv_functor_sigma_id _). intro p.
refine equiv_contr_unit.

```

```

refine (equiv_functor_sigma_id _). intro a.
equiv_via ((f a = b) × Unit). refine (equiv_const_sigma_prod _ _).
apply equiv_inverse. refine (equiv_prod_unit _).
Defined.

```

**Theorem** `ex4_4_ii`

`: hfiber (g ∘ f) (g b) ≃ {w : hfiber g (g b) & hfiber f w. 1}.`

**Proof.**

```

apply equiv_inverse. unfold hfiber.
equiv_via {b' : B & {p : g b' = g b & {x : A & f x = b'}}}.
apply equiv_inverse.
refine (equiv_sigma_assoc _ _).

equiv_via {b' : B & {x : A & { _ : g b' = g b & f x = b'}}}.
refine (equiv_functor_sigma_id _). intro b'.
refine (equiv_sigma_comm _ _ _).

equiv_via {x : A & {b' : B & { _ : g b' = g b & f x = b'}}}.
refine (equiv_sigma_comm _ _ _).

refine (equiv_functor_sigma_id _). intro a.
equiv_via {b' : B & {p : f a = b' & g b' = g b}}.
refine (equiv_functor_sigma_id _). intro b'.
equiv_via ((g b' = g b) × (f a = b')).
refine (equiv_const_sigma_prod _ _).
equiv_via ((f a = b') × (g b' = g b)).
refine (equiv_prod_symm _ _).
apply equiv_inverse.
refine (equiv_const_sigma_prod _ _).

equiv_via {w : {b' : B & f a = b'} & g w. 1 = g b}.
refine (equiv_sigma_assoc _ _).

equiv_via (g (center {b' : B & f a = b'})). 1 = g b.
refine (equiv_sigma_contr_base _ _ _). simpl.

apply equiv_idmap.

```

**Defined.**

**End** `Ex4`.

**Exercise 4.5 (p. 147)** Prove that equivalences satisfy the *2-out-of-6 property*: given  $f : A \rightarrow B$  and  $g : B \rightarrow C$  and  $h : C \rightarrow D$ , if  $g \circ f$  and  $h \circ g$  are equivalences, so are  $f$ ,  $g$ ,  $h$ , and  $h \circ g \circ f$ . Use this to give a higher-level proof of Theorem 2.11.1.

**Solution** Suppose that  $g \circ f$  and  $h \circ g$  are equivalences.

- $f$  is an equivalence with quasi-inverse  $(g \circ f)^{-1} \circ g$ . It's a retract because

$$\begin{aligned}
f \circ (g \circ f)^{-1} \circ g &\sim (h \circ g)^{-1} \circ (h \circ g) \circ f \circ (g \circ f)^{-1} \circ g \\
&\sim (h \circ g)^{-1} \circ h \circ g \\
&\sim \text{id}_B
\end{aligned}$$

and a section because  $(g \circ f)^{-1} \circ g \circ f \sim \text{id}_A$ .

- $g$  is an equivalence with quasi-inverse  $(h \circ g)^{-1} \circ h$ . First we have

$$\begin{aligned} g \circ (h \circ g)^{-1} \circ h &\sim g \circ (h \circ g)^{-1} \circ h \circ g \circ f \circ (g \circ f)^{-1} \\ &\sim g \circ f \circ (g \circ f)^{-1} \\ &\sim \text{id}_C \end{aligned}$$

and second  $(h \circ g)^{-1} \circ h \circ g \sim \text{id}_B$ .

- $h$  is an equivalence with quasi-inverse  $g \circ (h \circ g)^{-1}$ . First,  $h \circ g \circ (h \circ g)^{-1} \sim \text{id}_D$ , and we have  $g \circ (h \circ g)^{-1} \circ h \sim \text{id}_C$  by the previous part.
- $h \circ g \circ f$  is an equivalence with quasi-inverse  $f^{-1} \circ (h \circ g)^{-1}$ . Both directions are immediate:

$$\begin{aligned} h \circ g \circ f \circ f^{-1} \circ (h \circ g)^{-1} &\sim \text{id}_D \\ f^{-1} \circ (h \circ g)^{-1} \circ h \circ g \circ f &\sim \text{id}_A \end{aligned}$$

Now we must give a higher-level proof that if  $f : A \rightarrow B$  is an equivalence, then for all  $a, a' : A$  so is  $\text{ap}_f$ . This uses the following somewhat obvious fact, which I don't recall seeing in the text or proving yet: if  $f : A \rightarrow B$  is an equivalence and  $f \sim g$ , then  $g$  is an equivalence. This follows immediately from the assumption of function extensionality, but it is true even without this assumption. For any  $a : A$  we have  $f^{-1}(g(a)) = f^{-1}(f(a)) = a$  and for any  $b : B$ ,  $g(f^{-1}(b)) = f(f^{-1}(b)) = b$ , giving  $\text{isequiv}(g)$ .

Consider the sequence

$$(a = a') \xrightarrow{\text{ap}_f} (f(a) = f(a')) \xrightarrow{\text{ap}_{f^{-1}}} (f^{-1}(f(a)) = f^{-1}(f(a'))) \xrightarrow{\text{ap}_f} (f(f^{-1}(f(a))) = f(f^{-1}(f(a'))))$$

Since  $f$  is an equivalence, we have

$$\alpha : \prod_{b:B} f(f^{-1}(b)) = b \quad \beta : \prod_{a:A} f^{-1}(f(a)) = a$$

For all  $p : a = a'$ ,  $\text{ap}_{f^{-1}}(\text{ap}_f(p)) = \beta_a \cdot p \cdot \beta_{a'}^{-1}$ , which follows from the functoriality of  $\text{ap}$  and the naturality of homotopies (Lemmas 2.2.2 and 2.4.3). In other words, the composition of the first two arrows is homotopic to concatenating with  $\beta$  on either side, which is obviously an equivalence. Similarly, the composition of the second two arrows is homotopic to concatenating with the appropriate  $\alpha$  on either side, again an obvious equivalence. So by the 2-out-of-6 property, the first arrow is an equivalence, which was to be proved.

**Theorem two\_out\_of\_six**  $\{A B C D : \text{Type}\} (f : A \rightarrow B) (g : B \rightarrow C) (h : C \rightarrow D) :$

$\text{IsEquiv } (g \circ f) \rightarrow \text{IsEquiv } (h \circ g) \rightarrow$   
 $(\text{IsEquiv } f \wedge \text{IsEquiv } g \wedge \text{IsEquiv } h \wedge \text{IsEquiv } (h \circ g \circ f)).$

**Proof.**

```
intros Hgf Hhg. split.
(* case f *)
refine (isequiv_adjointify f ((g ∘ f)-1 ∘ g) -).
intro b.
change (f (((g ∘ f)-1 ∘ g) b)) with ((f ∘ (g ∘ f)-1 ∘ g) b).
assert ((f ∘ (g ∘ f)-1 ∘ g) b
=
  ((h ∘ g)-1 ∘ (h ∘ g) ∘ f ∘ (g ∘ f)-1 ∘ g) b).
change (((h ∘ g)-1 ∘ (h ∘ g) ∘ f ∘ (g ∘ f)-1 ∘ g) b
  with (((h ∘ g)-1 ((h ∘ g) ((f ∘ (g ∘ f)-1 ∘ g) b))))).
rewrite (eissect (h ∘ g)). reflexivity.
rewrite X.
change (((h ∘ g)-1 ∘ (h ∘ g) ∘ f ∘ (g ∘ f)-1 ∘ g) b
  with (((h ∘ g)-1 ∘ h) (((g ∘ f) ((g ∘ f)-1 (g b)))))).
```

```

rewrite (eisretr (g o f)).
change (((h o g)-1 o h) (g b)) with (((h o g)-1 o (h o g)) b).
apply (eissect (h o g)).
intro a. apply (eissect (g o f)).
split.
(* case g *)
refine (isequiv_adjointify g ((h o g)-1 o h) - _).
intro c.
change (g (((h o g)-1 o h) c)) with ((g o (h o g)-1 o h) c).
assert ((g o (h o g)-1 o h) c
=
(g o (h o g)-1 o h o g o f o (g o f)-1) c).
change ((g o (h o g)-1 o h o g o f o (g o f)-1) c)
with (((g o (h o g)-1 o h) ((g o f) ((g o f)-1 c)))).
rewrite (eisretr (g o f)). reflexivity.
rewrite X.
change ((g o (h o g)-1 o h o g o f o (g o f)-1) c)
with (g (((h o g)-1 ((h o g) ((f o (g o f)-1) c)))).
rewrite (eissect (h o g)).
change (g ((f o (g o f)-1) c)) with (((g o f) o (g o f)-1) c).
apply (eisretr (g o f)).
intro b. apply (eissect (h o g)).
split.
(* case h *)
refine (isequiv_adjointify h (g o (h o g)-1) - _).
intro d. apply (eisretr (h o g)).
intro c.
change ((g o (h o g)-1) (h c)) with ((g o (h o g)-1 o h) c).
assert ((g o (h o g)-1 o h) c
=
(g o (h o g)-1 o h o g o f o (g o f)-1) c).
change ((g o (h o g)-1 o h o g o f o (g o f)-1) c)
with (((g o (h o g)-1 o h) ((g o f) ((g o f)-1 c)))).
rewrite (eisretr (g o f)). reflexivity.
rewrite X.
change ((g o (h o g)-1 o h o g o f o (g o f)-1) c)
with (g ((h o g)-1 ((h o g) ((f o (g o f)-1) c)))).
rewrite (eissect (h o g)).
change (g ((f o (g o f)-1) c)) with (((g o f) o (g o f)-1) c).
apply (eisretr (g o f)).
(* case h o g o f *)
refine (isequiv_adjointify (h o g o f) ((g o f)-1 o g o (h o g)-1) - _).
intro d.
change ((h o g o f) (((g o f)-1 o g o (h o g)-1) d))
with (h ((g o f) ((g o f)-1 ((g o (h o g)-1) d)))).
rewrite (eisretr (g o f)).
apply (eisretr (h o g)).
intro a.
change (((g o f)-1 o g o (h o g)-1) ((h o g o f) a))
with (((g o f)-1 o g) ((h o g)-1 ((h o g) (f a)))).

```

```

rewrite (eisssect (h ∘ g)). apply (eisssect (g ∘ f)).
Qed.
Theorem isequiv_homotopic' : ∀ (A B : Type) (f g : A → B),
  IsEquiv f → f ~ g → IsEquiv g.
Proof.
  intros A B f g p h.
  refine (isequiv_adjointify g f-1 -).
  intros b. apply ((h (f-1 b)) ^ @ (eisretr f b)).
  intros a. apply ((ap f-1 (h a)) ^ @ (eisssect f a)).
Defined.
Theorem Theorem2111' (A B : Type) (a a' : A) (f : A → B) (H : IsEquiv f) :
  IsEquiv (fun p : a = a' ⇒ ap f p).
Proof.
  apply (two_out_of_six (fun p : a = a' ⇒ ap f p)
    (fun p : (f a) = (f a') ⇒ ap f-1 p)
    (fun p : (f-1 (f a)) = (f-1 (f a')) ⇒ ap f p)).
  apply (isequiv_homotopic (fun p ⇒ (eisssect f a) @ p @ (eisssect f a'))).
  refine (isequiv_adjointify -
    (fun p ⇒ (eisssect f a) ^ @ p @ (eisssect f a'))
    - -);
  intro; hott_simpl.
  intro p. induction p. hott_simpl.
  apply (isequiv_homotopic (fun p ⇒ (eisretr f (f a)) @ p @ (eisretr f (f a')) ^)).
  refine (isequiv_adjointify -
    (fun p ⇒ (eisretr f (f a)) ^ @ p @ (eisretr f (f a')) ^)
    - -);
  intro; hott_simpl.
  intro p. induction p. hott_simpl.
Defined.

```

\***Exercise 4.6 (p. 147)** For  $A, B : \mathcal{U}$ , define

$$\text{idtoqinv}(A, B) : (A = B) \rightarrow \sum_{f:A \rightarrow B} \text{qinv}(f)$$

by path induction in the obvious way. Let qinv-univalence denote the modified form of the univalence axiom which asserts that for all  $A, B : \mathcal{U}$  the function  $\text{idtoqinv}(A, B)$  has a quasi-inverse.

- (i) Show that qinv-univalence can be used instead of univalence in the proof of function extensionality in §4.9.
- (ii) Show that qinv-univalence can be used instead of univalence in the proof of Theorem 4.1.3.
- (iii) Show that qinv-univalence is inconsistent. Thus, the use of a “good” version of isequiv is essential in the statement of univalence.

**Solution** (i) The proof of function extensionality uses univalence in the proof of Lemma 4.9.2. Assume that  $\mathcal{U}$  is qinv-univalent, and that  $A, B, X : \mathcal{U}$  with  $e : A \simeq B$ . From  $e$  we obtain  $f : A \rightarrow B$  and  $p : \text{ishae}(f)$ , and from the latter we obtain an element  $q : \text{qinv}(f)$ . qinv-univalence says that we may write  $(f, q) = \text{idtoqinv}_{A,B}(r)$  for some  $r : A = B$ . Then by path induction, we may assume that  $r \equiv \text{refl}_A$ , making  $e = \text{id}_A$ , and the function  $g \mapsto g \circ \text{id}_A$  is clearly an equivalence  $(X \rightarrow A) \simeq (X \rightarrow B)$ , establishing Lemma 4.9.2. Since the rest of the section is either an application of Lemma 4.9.2 or doesn't use the univalence axiom, the proof of function extensionality goes through.

## Section Exercise4\_6.

**Definition** `idtoqinv`  $\{A B\} : (A = B) \rightarrow \{f : A \rightarrow B \ \& \ (\text{qinv } f)\}$ .

`path_induction`.  $\exists$  `idmap`.  $\exists$  `idmap`.

`split`; `intro a`; `reflexivity`.

**Defined**.

**Hypothesis** `qinv_univalence` :  $\forall A B, \text{qinv } (@\text{idtoqinv } A B)$ .

**Theorem** `ex4_6i`  $(A B X : \text{Type}) (e : A \simeq B) : (X \rightarrow A) \simeq (X \rightarrow B)$ .

**Proof**.

`destruct e as [f p]`.

`assert (qinv f) as q`.  $\exists f^{-1}$ . `split`.

`apply (eisretr f)`. `apply (eissect f)`.

`assert (A = B) as r`. `apply (qinv_univalence A B)`. `apply (f; q)`.

`path_induction`. `apply equiv_idmap`.

**Defined**.

(ii) Theorem 4.1.3 provides an example of types  $A$  and  $B$  and a function  $f : A \rightarrow B$  such that  $\text{qinv}(f)$  is not a mere proposition, relying on the result of Lemma 4.1.1. Since Lemma 4.1.1 does not actually rely on univalence (cf. Exercise 4.3), we only need to worry about the use of univalence in the proof of Theorem 4.1.3. Define  $X \equiv \sum_{(A:\mathcal{U})} \|2 = A\|$  and  $a \equiv (2, |\text{refl}_2|) : X$ . Let  $e : 2 \simeq 2$  be the non-identity equivalence from Exercise 2.13, which gives us  $\neg : 2 \rightarrow 2$  and  $r : \text{qinv}(\neg)$ . Define  $q \equiv \text{idtoqinv}_{2,2}^{-1}(\neg, r)$ . Now we can run the proof as before, applying Lemma 4.1.2.

Here univalence is used only in establishing that  $a = a$  is a set, by showing that it's equivalent to  $(2 \simeq 2)$ .

(\*

`Lemma Lemma412 (A : Type) (a : A) (q : a = a) :`

`IsHSet (a = a) -> (forall x, Brck (a = x))`

`-> (forall p : a = a, p @ q = q @ p)`

`-> {f : forall (x:A), x = x & f a = q}`.

`Proof`.

`intros i g iii`.

`assert (forall (x y : A), IsHSet (x = y))`.

`intros x y`.

`assert (Brck (a = x)) as gx`. `apply (g x)`.

`assert (Brck (a = y)) as gy`. `apply (g y)`.

`strip_truncations`.

`apply (ex3_1' (a = a))`.

`refine (equiv_adjointify (fun p => gx ^ @ p @ gy) (fun p => gx @ p @ gy ^) - _)`;

`intros p; hott_simpl`.

`apply i`.

`assert (forall x, IsHProp ({r : x = x & forall s : a = x, r = s ^ @ q @ s}))`.

`intro x`. `assert (Brck (a = x)) as p`. `apply (g x)`. `strip_truncations`.

`apply hprop_allpath`; `intros h h'`; `destruct h as r h`, `h' as r' h'`.

`apply path_sigma_uncurried`. `exists ((h p) @ (h' p) ^)`.

`simpl`. `apply path_forall`; `intro s`.

`apply (X x x)`.

`assert (forall x, {r : x = x & forall s : a = x, r = (s ^ @ q) @ s})`.

`intro x`. `assert (Brck (a = x)) as p`. `apply (g x)`. `strip_truncations`.

`exists (p ^ @ q @ p)`. `intro s`.

`apply (cancelR - - s ^)`. `hott_simpl`.

`apply (cancelL p)`. `hott_simpl`.

`transitivity (q @ (p @ s ^))`. `hott_simpl`.

```

symmetry. apply (iii (p @ s^)).
exists (fun x => (X1 x).1).
transitivity (1^ @ q @ 1).
apply ((X1 a).2 1). hott_simpl.
Defined.
*)

(*
Definition Bool_Bool_to_a_a :
((Bool:Type) <~> (Bool:Type)) ->
(((Bool:Type); min1 1):{A : Type & Brck ((Bool:Type) = A)})
=
(((Bool:Type); min1 1):{A : Type & Brck ((Bool:Type) = A)}).
intros.
apply path_sigma_hprop. simpl.
apply (qinv_univalence Bool Bool).1.
destruct X. exists equiv_fun.
destruct equiv_isequiv. exists equiv_inv.
split. apply eisretr. apply eissect.
Defined.
*)

(*
Definition a_a_to_Bool_Bool :
(((Bool:Type); min1 1):{A : Type & Brck ((Bool:Type) = A)})
=
(((Bool:Type); min1 1):{A : Type & Brck ((Bool:Type) = A)})
-> ((Bool:Type) <~> (Bool:Type)).
intros. simpl. apply base_path in X. simpl in X.
apply idtoqinv in X.
apply (BuildEquiv Bool Bool X.1).
apply (isequiv_adjointify X.1 X.2.1 (fst X.2.2) (snd X.2.2)).
Defined.
*)

(*
Theorem ex4_6ii : {A : Type & {B : Type & {f : A -> B & ~ IsHProp (qinv f)}}}.
Proof.
set (X := {A : Type & Brck ((Bool:Type) = A)}).
refine (X; (X; _)).
set (a := ((Bool:Type); min1 1) : X).
set (e := negb_isequiv). destruct e as lnot H.
set (r := (lnot^-1; (eisretr lnot, eissect lnot)) : qinv lnot).
(* Coq update broke this
set (q := (path_sigma_hprop a a ((qinv_univalence Bool Bool).1 (lnot; r)))).
assert {f : forall x, x = x & (f a) = q}.
apply Lemma412.
apply (ex3_1' ((Bool:Type) <~> (Bool:Type))).
refine (equiv_adjointify Bool_Bool_to_a_a a_a_to_Bool_Bool _ _);
unfold Bool_Bool_to_a_a, a_a_to_Bool_Bool.
intro p. simpl.
*)
Admitted.
*)

```

End Exercise4\_6.

## 5 Induction

**Exercise 5.1 (p. 175)** Derive the induction principle for the type  $\text{List}(A)$  of lists from its definition as an inductive type in §5.1.

**Solution** The induction principle constructs an element  $f : \prod_{(\ell : \text{List}(A))} P(\ell)$  for some family  $P : \text{List}(A) \rightarrow \mathcal{U}$ . The constructors for  $\text{List}(A)$  are  $\text{nil} : \text{List}(A)$  and  $\text{cons} : A \rightarrow \text{List}(A) \rightarrow \text{List}(A)$ , so the hypothesis for the induction principle is given by

$$d : P(\text{nil}) \rightarrow \left( \prod_{(h:A)} \prod_{(t:\text{List}(A))} P(t) \rightarrow P(\text{cons}(h,t)) \right) \rightarrow \prod_{\ell:\text{List}(A)} P(\ell)$$

So, given a  $p_n : P(\text{nil})$  and a function  $p_c : \prod_{(h:A)} \prod_{(t:\text{List}(A))} P(t) \rightarrow P(\text{cons}(h,t))$ , we obtain a function  $f : \prod_{(\ell:\text{List}(A))} P(\ell)$  with the following computation rules:

$$\begin{aligned} f(\text{nil}) &::= p_n \\ f(\text{cons}(h,t)) &::= p_c(h,t,f(t)) \end{aligned}$$

In Coq we can just use the pattern-matching syntax.

Module Ex1.

Section Ex1.

Variable A : Type.

Variable P : list A → Type.

Hypothesis d : P nil

→ (∀ h t, P t → P (cons h t))

→ ∀ l, P l.

Variable p\_n : P nil.

Variable p\_c : ∀ h t, P t → P (cons h t).

Fixpoint f (l : list A) : P l :=

match l with

| nil ⇒ p\_n

| cons h t ⇒ p\_c h t (f t)

end.

End Ex1.

End Ex1.

**Exercise 5.2 (p. 175)** Construct two functions on natural numbers which satisfy the same recurrence  $(e_z, e_s)$  but are not definitionally equal.

**Solution** Let  $C$  be any type, with  $c : C$  some element. The constant function  $f' := \lambda n. c$  is not definitionally equal to the function defined recursively by

$$\begin{aligned} f(0) &::= c \\ f(\text{succ}(n)) &::= f(n) \end{aligned}$$

However, they both satisfy the same recurrence; namely,  $e_z ::= c$  and  $e_s ::= \lambda n. \text{id}_C$ .

Module Ex2.



Section Ex2.

Variables (C : Type) (c : C).

Definition f (n : nat) := c.

Fixpoint f' (n : nat) :=

match n with  
| 0 => c  
| S n' => f' n'  
end.

Theorem ex5\_2\_O : f 0 = f' 0.

Proof.

reflexivity.

Qed.

Theorem ex5\_2\_S : ∀ n, f (S n) = f' (S n).

Proof.

intros. unfold f, f'.

induction n. reflexivity. apply IHn.

Qed.

End Ex2.

End Ex2.

**Exercise 5.3 (p. 175)** Construct two different recurrences  $(e_z, e_s)$  on the same type  $E$  which are both satisfied by the same function  $f : \mathbb{N} \rightarrow E$ .

**Solution** From the previous exercise we have the recurrences

$$e_z \equiv c \qquad e_s \equiv \lambda n. \text{id}_C$$

which give rise to the same function as the recurrences

$$e'_z \equiv c \qquad e'_s \equiv \lambda n. \lambda x. c$$

Clearly  $f \equiv \lambda n. c$  satisfies both of these recurrences. However, suppose that  $c, c' : C$  are such that  $c \neq c'$ . Then  $\lambda n. \lambda x. c \neq \lambda n. \text{id}_C$ , so  $e_s \neq e'_s$ , so the recurrences are not equal.

Module Ex3.

Section Ex3.

Variables (C : Type) (c c' : C) (p : ¬ (c = c')).

Definition ez := c.

Definition es (n : nat) (x : C) := x.

Definition ez' := c.

Definition es' (n : nat) := fun (x : C) => c.

Theorem f\_O : Ex2.f C c 0 = ez.

Proof.

reflexivity.

Defined.

Theorem f\_S : ∀ n, Ex2.f C c (S n) = es n (Ex2.f C c n).

Proof.

reflexivity.

Defined.

Theorem f\_O' : Ex2.f C c 0 = ez'.

Proof.

```

    reflexivity.
Defined.
Theorem f_S' : ∀ n, Ex2.f C c (S n) = es' n (Ex2.f C c n).
Proof.
    reflexivity.
Defined.
Theorem ex5_3 : ¬ ((ez, es) = (ez', es')).
Proof.
    intro q. apply (ap snd) in q. simpl in q. unfold es, es' in q.
    assert (idmap = fun x:C ⇒ c) as r.
    apply (apD10 q O).
    assert (c' = c) as s.
    apply (apD10 r).
    symmetry in s.
    contradiction p.
Defined.
End Ex3.
End Ex3.

```

**Exercise 5.4 (p. 175)** Show that for any type family  $E : \mathbf{2} \rightarrow \mathcal{U}$ , the induction operator

$$\text{ind}_2(E) : (E(0_2) \times E(1_2)) \rightarrow \prod_{b:2} E(b)$$

is an equivalence.

**Solution** For a quasi-inverse, suppose that  $f : \prod_{(b:2)} E(b)$ . To provide an element of  $E(0_2) \times E(1_2)$ , we take the pair  $(f(0_2), f(1_2))$ . For one direction around the loop, consider an element  $(e_0, e_1)$  of the domain. We then have

$$(\text{ind}_2(E, e_0, e_1, 0_2), \text{ind}_2(E, e_0, e_1, 1_2)) \equiv (e_0, e_1)$$

by the computation rule for  $\text{ind}_2$ . For the other direction, suppose that  $f : \prod_{(b:2)} E(b)$ , so that once around the loop gives  $\text{ind}_2(E, f(0_2), f(1_2))$ . Suppose that  $b : \mathbf{2}$ . Then there are two cases:

- $b \equiv 0_2$  gives  $\text{ind}_2(E, f(0_2), f(1_2), 0_2) \equiv f(0_2)$
- $b \equiv 1_2$  gives  $\text{ind}_2(E, f(0_2), f(1_2), 1_2) \equiv f(1_2)$

by the computational rule for  $\text{ind}_2$ . By function extensionality, then, the result is equal to  $f$ .

```

Definition Bool_rect_uncurried (E : Bool → Type) :
  (E false) × (E true) → (∀ b, E b).
  intros p b. destruct b; [apply (snd p) | apply (fst p)].
Defined.

```

```

Definition Bool_rect_uncurried_inv (E : Bool → Type) :
  (∀ b, E b) → (E false) × (E true).
  intro f. split; [apply (f false) | apply (f true)].
Defined.

```

```

Theorem ex5_4 '{Funext} (E : Bool → Type) : lsequiv (Bool_rect_uncurried E).

```

```

Proof.
  refine (isequiv_adjointify _ (Bool_rect_uncurried_inv E) _);
  unfold Bool_rect_uncurried, Bool_rect_uncurried_inv.
  intro f. apply path_forall; intro b. destruct b; reflexivity.
  intro p. apply eta_prod.
Qed.

```

**Exercise 5.5 (p. 175)** Show that the analogous statement to Exercise 5.4 for  $\mathbb{N}$  fails.

**Solution** The analogous statement is that

$$\text{ind}_{\mathbb{N}}(E) : \left( E(0) \times \prod_{n:\mathbb{N}} E(n) \rightarrow E(\text{succ}(n)) \right) \rightarrow \prod_{n:\mathbb{N}} E(n)$$

is an equivalence. To show that it fails, note that an element of the domain is a recurrence  $(e_z, e_s)$ . Recalling the solution to Exercise 5.3, we have recurrences  $(e_z, e_s)$  and  $(e'_z, e'_s)$  such that  $(e_z, e_s) \neq (e'_z, e'_s)$ , but such that  $\text{ind}_{\mathbb{N}}(E, e_z, e_s) = \text{ind}_{\mathbb{N}}(E, e'_z, e'_s)$ . Suppose for contradiction that  $\text{ind}_{\mathbb{N}}(E)$  has a quasi-inverse  $\text{ind}_{\mathbb{N}}^{-1}(E)$ . Then

$$(e_z, e_s) = \text{ind}_{\mathbb{N}}^{-1}(E, \text{ind}_{\mathbb{N}}(E, e_z, e_s)) = \text{ind}_{\mathbb{N}}^{-1}(E, \text{ind}_{\mathbb{N}}(E, e'_z, e'_s)) = (e'_z, e'_s)$$

The first and third equality are from the fact that a quasi-inverse is a left inverse. The second comes from the fact that  $\text{ind}_{\mathbb{N}}(E)$  sends the two recurrences to the same function. So we have derived a contradiction.

**Definition** `nat_rect_uncurried` ( $E : \text{nat} \rightarrow \text{Type}$ ) :

$(E\ 0) \times (\forall n, E\ n \rightarrow E\ (\text{S } n)) \rightarrow \forall n, E\ n.$

`intros p n. induction n. apply (fst p). apply (snd p). apply IHn.`

**Defined.**

**Theorem** `ex5_5` '{`Funext`} :  $\neg \text{IsEquiv } (\text{nat\_rect\_uncurried } (\text{fun } _ \Rightarrow \text{Bool}))$ .

**Proof.**

```
intro e. destruct e.
set (ez := (Ex3.ez Bool true)).
set (es := (Ex3.es Bool)).
set (ez' := (Ex3.ez' Bool true)).
set (es' := (Ex3.es' Bool true)).
assert ((ez, es) = (ez', es')) as H'.
transitivity (equiv_inv (nat_rect_uncurried (fun _ => Bool) (ez, es))).
symmetry. apply eisect.
transitivity (equiv_inv (nat_rect_uncurried (fun _ => Bool) (ez', es'))).
apply (ap equiv_inv). apply path_forall; intro n. induction n.
  reflexivity.
  simpl. rewrite IHn. unfold Ex3.es, Ex3.es'. induction n; reflexivity.
apply eisect.
assert (¬ ((ez, es) = (ez', es'))) as nH.
  apply (Ex3.ex5_3 Bool true false). apply true_ne_false.
  contradiction nH.
```

**Qed.**

**\*Exercise 5.6 (p. 175)** Show that if we assume simple instead of dependent elimination for W-types, the uniqueness property fails to hold. That is, exhibit a type satisfying the recursion principle of a W-type, but for which functions are not determined uniquely by their recurrence.

**\*Exercise 5.7 (p. 175)** Suppose that in the “inductive definition” of the type  $C$  at the beginning of §5.6, we replace the type  $\mathbb{N}$  by  $0$ . Analogously to 5.6.1, we might consider a recursion principle for this type with hypothesis

$$h : (C \rightarrow 0) \rightarrow (P \rightarrow 0) \rightarrow P.$$

Show that even without a computation rule, this recursion principle is inconsistent, i.e. it allows us to construct an element of  $0$ .

**Solution** The associated recursion principle is

$$\text{rec}_C : \prod_{P:\mathcal{U}} ((C \rightarrow \mathbf{0}) \rightarrow (P \rightarrow \mathbf{0}) \rightarrow P) \rightarrow C \rightarrow P$$

**\*Exercise 5.8 (p. 175)** Consider now an “inductive type”  $D$  with one constructor  $\text{scott} : (D \rightarrow D) \rightarrow D$ . The second recursor for  $C$  suggested in §5.6 leads to the following recursor for  $D$ :

$$\text{rec}_D : \prod_{P:\mathcal{U}} ((D \rightarrow D) \rightarrow (D \rightarrow P) \rightarrow P) \rightarrow D \rightarrow P$$

with computation rule  $\text{rec}_D(P, h, \text{scott}(\alpha)) \equiv h(\alpha, (\lambda d. \text{rec}_D(P, h, \alpha(d))))$ . Show that this also leads to a contradiction.

**Exercise 5.9 (p. 176)** Let  $A$  be an arbitrary type and consider generally an “inductive definition” of a type  $L_A$  with constructor  $\text{lawvere} : (L_A \rightarrow A) \rightarrow L_A$ . The second recursor for  $C$  suggested in §5.6 leads to the following recursor for  $L_A$ :

$$\text{rec}_{L_A} : \prod_{P:\mathcal{U}} ((L_A \rightarrow A) \rightarrow P) \rightarrow L_A \rightarrow P$$

with computation rule  $\text{rec}_{L_A}(P, h, \text{lawvere}(\alpha)) \equiv h(\alpha)$ . Using this, show that  $A$  has a *fixed-point property*, i.e. for every function  $f : A \rightarrow A$  there exists an  $a : A$  such that  $f(a) = a$ . In particular,  $L_A$  is inconsistent if  $A$  is a type without the fixed-point property, such as  $\mathbf{0}$ ,  $\mathbf{2}$ ,  $\mathbb{N}$ .

**Solution** This is an instance of Lawvere’s fixed-point theorem, which says that in a cartesian closed category, if there is a point-surjective map  $T \rightarrow A^T$ , then every endomorphism  $f : A \rightarrow A$  has a fixed point. Working at an intuitive level, the recursion principle ensures that we have the required properties of a point-surjective map in a CCC. In particular, we have the map  $\phi : (L_A \rightarrow A) \rightarrow A^{L_A \rightarrow A}$  given by

$$\phi \equiv \lambda(f : L_A \rightarrow A). \lambda(\alpha : L_A \rightarrow A). f(\text{lawvere}(\alpha))$$

and for any  $h : A^{L_A \rightarrow A}$ , we have

$$\phi(\text{rec}_{L_A}(A, h)) \equiv \lambda(\alpha : L_A \rightarrow A). \text{rec}_{L_A}(A, h, \text{lawvere}(\alpha)) \equiv \lambda(\alpha : L_A \rightarrow A). h(\alpha) = h$$

So we can recap the proof of Lawvere’s fixed-point theorem with this  $\phi$ . Suppose that  $f : A \rightarrow A$ , and define

$$q \equiv \lambda(\alpha : L_A \rightarrow A). f(\phi(\alpha, \alpha)) : (L_A \rightarrow A) \rightarrow A$$

$$p \equiv \text{rec}_{L_A}(A, q) : L_A \rightarrow A$$

so that  $p$  lifts  $q$ :

$$\phi(p) \equiv \lambda(\alpha : L_A \rightarrow A). \text{rec}_{L_A}(A, q, \text{lawvere}(\alpha)) \equiv \lambda(\alpha : L_A \rightarrow A). q(\alpha) = q$$

This make  $\phi(p, p)$  a fixed point of  $f$ :

$$f(\phi(p, p)) = (\lambda(\alpha : L_A \rightarrow A). f(\phi(\alpha, \alpha)))(p) = q(p) = \phi(p, p)$$

**Definition onto**  $\{X\ Y\} (f : X \rightarrow Y) := \forall y : Y, \{x : X \ \& \ f\ x = y\}$ .

**Lemma LawvereFP**  $\{X\ Y\} (phi : X \rightarrow (X \rightarrow Y)) :$

**onto**  $phi \rightarrow \forall (f : Y \rightarrow Y), \{y : Y \ \& \ f\ y = y\}$ .

**Proof.**

```

intros Hphi f.
set (q := fun x => f (phi x x)).
set (p := Hphi q). destruct p as [p Hp].
exists (phi p p).
change (f (phi p p)) with ((fun x => f (phi x x)) p).
change (fun x => f (phi x x)) with q.
symmetry. apply (apD10 Hp).
Defined.

Module Ex9.
Section Ex9.

Variable (L A : Type).
Variable lawvere : (L → A) → L.
Variable rec : ∀ P, ((L → A) → P) → L → P.
Hypothesis rec_comp : ∀ P h alpha, rec P h (lawvere alpha) = h alpha.
Definition phi : (L → A) → ((L → A) → A) :=
  fun f alpha => f (lawvere alpha).
Theorem ex5_9 '{Funext} : ∀ (f : A → A), {a : A & f a = a}.
Proof.
  intro f. apply (LawvereFP phi).
  intro q. exists (rec A q). unfold phi.
  change q with (fun alpha => q alpha).
  apply path_forall; intro alpha. apply rec_comp.
Defined.

End Ex9.
End Ex9.

```

**Exercise 5.10 (p. 176)** Continuing from Exercise 5.9, consider  $L_1$ , which is not obviously inconsistent since **1** does have the fixed-point property. Formulate an induction principle for  $L_1$  and its computation rule, analogously to its recursor, and using this, prove that it is contractible.

**Solution** The induction principle for  $L_1$  is

$$\text{ind}_{L_1} : \prod_{P : L_1 \rightarrow \mathcal{U}} \left( \prod_{\alpha : L_1 \rightarrow \mathbf{1}} P(\text{lawvere}(\alpha)) \right) \rightarrow \prod_{\ell : L_1} P(\ell)$$

and it has the computation rule

$$\text{ind}_{L_1}(P, f, \text{lawvere}(\alpha)) \equiv f(\alpha)$$

for all  $f : \prod_{(\alpha : L_1 \rightarrow \mathbf{1})} P(\text{lawvere}(\alpha))$  and  $\alpha : L_1 \rightarrow \mathbf{1}$ .

Let  $! : L_1 \rightarrow \mathbf{1}$  be the unique terminal arrow.  $L_1$  is contractible with center  $\text{lawvere}(!)$ . By  $\text{ind}_{L_1}$ , it suffices to show that  $\text{lawvere}(!) = \text{lawvere}(\alpha)$  for any  $\alpha : L_1 \rightarrow \mathbf{1}$ . And by the universal property of the terminal object,  $\alpha = !$ , so we're done.

```

Module Ex10.
Section Ex10.

Variable L : Type.
Variable lawvere : (L → Unit) → L.
Variable indL : ∀ P, (∀ alpha, P (lawvere alpha)) → ∀ l, P l.
Hypothesis ind_comp : ∀ P f alpha, indL P f (lawvere alpha) = f alpha.

```

```

Theorem ex5_10 '{Funext} : Contr L.
Proof.
  apply (BuildContr L (lawvere (fun _ => tt))).
  apply indL; intro alpha.
  apply (ap lawvere).
  apply allpath_hprop.
Defined.
End Ex10.
End Ex10.

```

**Exercise 5.11 (p. 176)** In §5.1 we defined the type  $\text{List}(A)$  of finite lists of elements of some type  $A$ . Consider a similar inductive definition of a type  $\text{Lost}(A)$ , whose only constructor is

$$\text{cons} : A \rightarrow \text{Lost}(A) \rightarrow \text{Lost}(A).$$

Show that  $\text{Lost}(A)$  is equivalent to  $\mathbf{0}$ .

**Solution** Consider the recursor for  $\text{Lost}(A)$ , given by

$$\text{rec}_{\text{Lost}(A)} : \prod_{P:\mathcal{U}} (A \rightarrow \text{Lost}(A) \rightarrow P \rightarrow P) \rightarrow \text{Lost}(A) \rightarrow P$$

with computation rule

$$\text{rec}_{\text{Lost}(A)}(P, f, \text{cons}(h, t)) \equiv f(h, \text{rec}_{\text{Lost}(A)}(P, f, t))$$

Now  $\text{rec}_{\text{Lost}(A)}(\mathbf{0}, \lambda a. \lambda \ell. \text{id}_0) : \text{Lost}(A) \rightarrow \mathbf{0}$ , so  $\neg \text{Lost}(A)$  is inhabited, thus  $\text{Lost}(A) \simeq \mathbf{0}$ .

```

Theorem not_equiv_empty (A : Type) : ¬ A → (A ≃ Empty).

```

```

Proof.
  intro nA. refine (equiv_adjointify nA (Empty_rect (fun _ => A)) _ _);
  intro; contradiction.

```

```

Defined.

```

```

Module Ex11.

```

```

Inductive lost (A : Type) := cons : A → lost A → lost A.

```

```

Theorem ex5_11 (A : Type) : lost A ≃ Empty.

```

```

Proof.
  apply not_equiv_empty.
  intro l.
  apply (lost_rect A). auto. apply l.

```

```

Defined.

```

```

End Ex11.

```

## 6 Higher Inductive Types

**Exercise 6.1 (p. 217)** Define concatenation of dependent paths, prove that application of dependent functions preserves concatenation, and write out the precise induction principle for the torus  $T^2$  with its computation rules.

**Solution** I found [Kristina Sojakova's answer posted to the HoTT Google group](#) helpful here, though I think my answer differs.

Let  $W : \mathcal{U}$ ,  $P : W \rightarrow \mathcal{U}$ ,  $x, y, z : W$ ,  $u : P(x)$ ,  $v : P(y)$ , and  $w : P(z)$  with  $p : x = y$  and  $q : y = z$ . We define the map

$$\bullet_D : (u =_p^P v) \rightarrow (v =_q^P w) \rightarrow (u =_{p \bullet q}^P w)$$

by path induction.

**Definition** `concatD`  $\{A\} \{P : A \rightarrow \text{Type}\} \{x \ y \ z : A\}$   
 $\{u : P \ x\} \{v : P \ y\} \{w : P \ z\}$   
 $\{p : x = y\} \{q : y = z\} :$   
 $(p \# u = v) \rightarrow (q \# v = w) \rightarrow ((p @ q) \# u = w).$   
 by *path\_induction*.

**Defined.**

**Notation** "`p @D q`" := (`concatD p q`)%*path* (at level 20) : *path\_scope*.

To prove that application of dependent functions preserves concatenation, we must show that for any  $f : \prod_{(x:A)} P(x)$ ,  $p : x = y$ , and  $q : y = z$ ,

$$\text{apd}_f(p \bullet q) = \text{apd}_f(p) \bullet_D \text{apd}_f(q)$$

which is immediate by path induction.

**Theorem** `apD_pp`  $\{A\} \{P : A \rightarrow \text{Type}\} (f : \forall x : A, P \ x)$   
 $\{x \ y \ z : A\} (p : x = y) (q : y = z) :$   
 $\text{apD } f (p @ q) = (\text{apD } f \ p) @D (\text{apD } f \ q).$

**Proof.**

by *path\_induction*.

**Defined.**

Suppose that we have a family  $P : T^2 \rightarrow \mathcal{U}$ , a point  $b' : P(b)$ , paths  $p' : b' =_p^P b'$  and  $q' : b' =_q^P b'$  and a 2-path  $t' : p' \bullet_D q' =_t^P q' \bullet_D p'$ . Then the induction principle gives a section  $f : \prod_{(x:T^2)} P(x)$  such that  $f(b) \equiv b'$ ,  $f(p) = p'$ , and  $f(q) = q'$ . As discussed in the text, we should also have  $\text{apd}_f^2(t) = t'$ , but this is not well-typed. This is because  $\text{apd}_f^2(t) : f(p \bullet q) =_t^P f(q \bullet p)$ , in contrast to the type of  $t'$ , and the two types are not judgementally equal.

To cast  $\text{apd}_f^2(t)$  as the right type, note first that, as just proven,  $f(p \bullet q) = f(p) \bullet_D f(q)$ , and  $f(q \bullet p) = f(q) \bullet_D f(p)$ . The computation rules for the 1-paths can be lifted as follows. Let  $r, r' : u =_p^P v$ , and  $s, s' : v =_q^P w$ . Then we define a map

$$\bullet_D^2 : (r = r') \rightarrow (s = s') \rightarrow (r \bullet_D s = r' \bullet_D s')$$

by path induction.

**Definition** `concat2D`  $\{A : \text{Type}\} \{P : A \rightarrow \text{Type}\}$   
 $\{x \ y \ z : A\} \{p : x = y\} \{q : y = z\}$   
 $\{u : P \ x\} \{v : P \ y\} \{w : P \ z\}$   
 $\{r \ r' : p \# u = v\} \{s \ s' : q \# v = w\} :$   
 $(r = r') \rightarrow (s = s') \rightarrow (r @D s = r' @D s').$   
 by *path\_induction*.

**Defined.**

**Notation** "`p @@@D q`" := (`concat2D p q`)%*path* (at level 20) : *path\_scope*.

Thus by the computation rules for  $p$  and  $q$ , we have for  $\alpha : f(p) = p'$  and  $\beta : f(q) = q'$ ,

$$\alpha \bullet_D^2 \beta : f(p) \bullet_D f(q) = p' \bullet_D q'$$

$$\beta \bullet_D^2 \alpha : f(q) \bullet_D f(p) = q' \bullet_D p'$$

At this point it's pretty clear how to assemble the computation rule. Let  $N_1 : f(p \cdot q) = f(p) \cdot_D f(q)$  and  $N_2 : f(q \cdot p) = f(q) \cdot_D f(p)$  be two instances of the naturality proof just given. Then we have

$$(\alpha \cdot_D^2 \beta)^{-1} \cdot N_1^{-1} \cdot \text{apd}_f^2(t) \cdot (\text{transport}^2(t, b') \cdot_1 N_2) \cdot (\text{transport}^2(t, b') \cdot_1 (\beta \cdot_D^2 \alpha)) : p' \cdot_D q' =_t^P q' \cdot_D p'$$

which is the type of  $t'$ .

Module TORUS\_EX.

Private Inductive T2 : Type :=  
| Tb : T2.

Axiom Tp : Tb = Tb.

Axiom Tq : Tb = Tb.

Axiom Tt : Tp @ Tq = Tq @ Tp.

Definition T2\_rect (P : T2 → Type)

(b' : P Tb) (p' : Tp # b' = b') (q' : Tq # b' = b')

(t' : p' @D q' = (transport2 P Tt b') @ (q' @D p'))

: ∀ (x : T2), P x :=

fun x ⇒ match x with Tb ⇒ fun \_ \_ ⇒ b' end p' q' t'.

Axiom T2\_rect\_beta\_Tp :

∀ (P : T2 → Type)

(b' : P Tb) (p' : Tp # b' = b') (q' : Tq # b' = b')

(t' : p' @D q' = (transport2 P Tt b') @ (q' @D p')),

apD (T2\_rect P b' p' q' t') Tp = p'.

Axiom T2\_rect\_beta\_Tq :

∀ (P : T2 → Type)

(b' : P Tb) (p' : Tp # b' = b') (q' : Tq # b' = b')

(t' : p' @D q' = (transport2 P Tt b') @ (q' @D p')),

apD (T2\_rect P b' p' q' t') Tq = q'.

Axiom T2\_rect\_beta\_Tt :

∀ (P : T2 → Type)

(b' : P Tb) (p' : Tp # b' = b') (q' : Tq # b' = b')

(t' : p' @D q' = (transport2 P Tt b') @ (q' @D p')),

(T2\_rect\_beta\_Tp P b' p' q' t' @D T2\_rect\_beta\_Tq P b' p' q' t') ^

@ (apD\_pp (T2\_rect P b' p' q' t') Tp Tq) ^

@ (apD02 (T2\_rect P b' p' q' t') Tt)

@ (whiskerL (transport2 P Tt (T2\_rect P b' p' q' t' Tb))

(apD\_pp (T2\_rect P b' p' q' t') Tq Tp))

@ (whiskerL (transport2 P Tt (T2\_rect P b' p' q' t' Tb))

(T2\_rect\_beta\_Tq P b' p' q' t' @D T2\_rect\_beta\_Tp P b' p' q' t'))

= t'.

End TORUS\_EX.

**Exercise 6.2 (p. 217)** Prove that  $\Sigma S^1 \simeq S^2$ , using the explicit definition of  $S^2$  in terms of base and surf given in §6.4.

**Solution**  $S^2$  is generated by

- $\text{base}_2 : S^2$
- $\text{surf} : \text{refl}_{\text{base}_2} = \text{refl}_{\text{base}_2}$

and  $\Sigma S^1$  is generated by



- $N : \Sigma S^1$
- $S : \Sigma S^1$
- $\text{merid} : S^1 \rightarrow (N = S)$ .

To define a map  $f : \Sigma S^1 \rightarrow S^2$ , we need a map  $m : S^1 \rightarrow (\text{base}_2 = \text{base}_2)$ , which we define by circle recursion such that  $m(\text{base}_1) \equiv \text{refl}_{\text{base}_2}$  and  $m(\text{loop}) = \text{surf}$ . Then recursion on  $\Sigma S^1$  gives us our  $f$ , and we have  $f(N) \equiv \text{base}_2$ ;  $f(S) \equiv \text{base}_2$ ; and for all  $x : S^1$ ,  $f(\text{merid}(x)) = m(x)$ .

To go the other way, we use the recursion principle for the 2-sphere to obtain a function  $g : S^2 \rightarrow \Sigma S^1$  such that  $g(\text{base}_2) \equiv N$  and  $\text{ap}_g^2(\text{surf}) = \text{merid}(\text{loop}) \cdot_r \text{merid}(\text{base}_1)^{-1}$ , conjugated with proofs that  $\text{merid}(\text{base}_1) \cdot \text{merid}(\text{base}_1)^{-1} = \text{refl}_N$ .

Now, to show that this is an equivalence, we must show that the second map is a quasi-inverse to the first. First we show  $g \circ f \sim \text{id}_{\Sigma S^1}$ . For the poles we have

$$\begin{aligned} g(f(N)) &\equiv g(\text{base}_2) \equiv N \\ g(f(S)) &\equiv g(\text{base}_2) \equiv N \end{aligned}$$

and concatenating the latter with  $\text{merid}(\text{base}_1)$  gives  $g(f(S)) = S$ . Now we must show that for all  $y : S^1$ , these equalities hold as  $x$  varies along  $\text{merid}(y)$ . That is, we must produce a path

$$\text{transport}^{x \mapsto g(f(x))=x}(\text{merid}(y), \text{refl}_N) = \text{merid}(\text{base}_1)$$

or, by Theorem 2.11.3 and a bit of path algebra,

$$g(m(y)) = \text{merid}(y) \cdot \text{merid}(\text{base}_1)^{-1}$$

We do this by induction on  $S^1$ . When  $y \equiv \text{base}_1$ , we have

$$g(m(\text{base}_1)) = g(\text{refl}_{\text{base}_2}) = \text{refl}_{\text{base}_2} = \text{merid}(\text{base}_1) \cdot \text{merid}(\text{base}_1)^{-1}$$

When  $y$  varies along  $\text{loop}$ , we have to show that this proof continues to hold. By Theorem 2.11.3 and some path algebra, this in fact reduces to

$$\text{ap}_{y \mapsto g(m(y))} \text{loop} = \text{merid}(\text{loop}) \cdot_r \text{merid}(\text{base}_1)^{-1}$$

modulo the proofs of  $\text{merid}(\text{base}_1) \cdot \text{merid}(\text{base}_1)^{-1} = \text{refl}_N$ . And this is essentially the computation rule for  $g$ . Since the computation rules are propositional some extra proofs have to be carried around, though; see the second part of *isequiv\_SS1\_to\_S2* for the gory details.

To show that  $f \circ g \sim \text{id}_{S^2}$ , note that

$$f(g(\text{base}_2)) \equiv f(N) \equiv \text{base}_2$$

so we only need to show that as  $x$  varies over the surface,

$$\text{refl}_{\text{refl}_{\text{base}_2}} =_{\text{surf}}^{x \mapsto f(g(x))=x} \text{refl}_{\text{refl}_{\text{base}_2}}$$

which means

$$\text{refl}_{\text{refl}_{\text{base}_2}} = \text{transport}^2(\text{surf}, \text{refl}_{\text{base}_2}) \equiv \text{ap}_{p \mapsto p_* \text{refl}_{\text{base}_2}} \text{surf}$$

So we need to show that

$$\text{refl}_{\text{refl}_{\text{base}_2}} = \text{ap}_{p \mapsto f(g(p^{-1})) \cdot p} \text{surf}$$

which by naturality of  $\text{ap}$  and the computation rule for  $S^1$  is

$$\text{refl}_{\text{refl}_{\text{base}_2}} = \left( \text{ap}_f^2 \left( \text{ap}_g^2(\text{surf}) \right) \right)^{-1} \cdot \text{surf}$$

Naturality and the computation rules then give

$$\text{ap}_f^2(\text{ap}_g^2(\text{surf})) = \text{ap}_f^2(\text{merid}(\text{loop})) = m(\text{loop}) = \text{surf}$$

and we're done. The computation in Coq is long, since all of the homotopic corrections have to be done by hand. I also spend a lot of moves on making the interactive version of the proof clear, and those could probably be eliminated. There are some lemmata with dumb names that should be fixed.

**Lemma** `ap_ap__ap02_ap` {A B C : Type} {a : A} {b : B}  
 (p : a = a) (f : B → C) (m : A → (b = b)) :  
`ap (fun x : A ⇒ ap f (m x)) p = ap02 f (ap m p).`

**Proof.** by `path_induction`. **Defined.**

**Lemma** `whiskerR_ap` {A B : Type} {a : A} {b b' : B}  
 (f : A → (b = b')) (p : a = a) (q : b' = b) :  
`whiskerR (ap f p) q = ap (fun x ⇒ f x @ q) p.`

**Proof.** by `path_induction`. **Defined.**

**Definition** `SS1_to_S2` := `Susp_rect_nd` base base (S1\_rectnd (base = base) 1 `surf`).

**Definition** `S2_to_SS1` :=  
`S2_rectnd` (`Susp S1`) North  
 ((`concat_pV` (`merid Circle.base`)) ^  
 @ (`whiskerR` (`ap merid loop`) (`merid Circle.base`) ^)  
 @ (`concat_pV` (`merid Circle.base`))) ^.

**Lemma** `ap_concat` (A B : Type) (a : A) (b b' b'' : B) (p : a = a)  
 (f : A → (b = b')) (g : A → (b' = b'')) :  
`ap (fun x ⇒ f x @ g x) p = (ap f p) @@ (ap g p).`

**Proof.** by `path_induction`. **Defined.**

**Definition** `concat2_V2p` {A : Type} {x y : A} {p q : x = y} (r : p = q) :  
 (`concat_Vp` \_) ^ @ (`inverse2 r @@ r`) @ (`concat_Vp` \_) = 1.

**Proof.** by `path_induction`. **Defined.**

**Definition** `ap_inv` {A B : Type} {a : A} {b : B} (p : a = a) (m : A → (b = b)) :  
`ap (fun x : A ⇒ (m x) ^) p = inverse2 (ap m p).`

**Proof.** by `path_induction`. **Defined.**

**Lemma** `bar` (A B C : Type) (b b' : B) (m : A → (b = b')) (f : B → C)  
 (a : A) (p : a = a) :  
`ap (fun x : A ⇒ ap f (m x)) p = ap02 f (ap m p).`

**Proof.**

by `path_induction`.

**Defined.**

**Definition** `ap03` {A B : Type} (f : A → B) {x y : A}  
 {p q : x = y} {r s : p = q} (t : r = s) :  
`ap02 f r = ap02 f s`  
 := `match t with idpath ⇒ 1 end.`

**Definition** `ap_pp_pV` {A B : Type} (f : A → B)  
 {x y : A} (p : x = y) :  
`ap_pp f p p ^`  
 = (`ap02 f` (`concat_pV p`) ^) @ (`concat_pV` (`ap f p`) ^) @ (1 @@ (`ap_V f p`)) ^.

**Proof.**

by `path_induction`.

**Defined.**

**Definition** `ap02_V` {A B : Type} (f : A → B)

```

      {x y : A} {p q : x = y} (r : p = q) :
    ap02 f r ^ = (ap02 f r) ^ .
Proof. by path_induction. Defined.
Definition inv_p2p {A : Type} {x y z : A}
      {p p' : x = y} {q q' : y = z} (r : p = p') (s : q = q') :
  (r @@ s) ^ = r ^ @@ s ^ .
Proof. by path_induction. Defined.
Definition baz {A : Type} {x y : A} {p q : x = y} (r : p = q) :
  concat_pV p = (r @@ inverse2 r) @ concat_pV q.
Proof. by path_induction. Defined.
Definition apD02_const (A B : Type) (f : A → B) (x y : A)
      (p q : x = y) (r : p = q)
  : apD02 f r
  =
  (apD_const f p)
  @ ((transport2_const r (f x)) @@ (ap02 f r))
  @ (concat_pp_p - - -)
  @ (whiskerL (transport2 (fun _ : A ⇒ B) r (f x)) (apD_const f q) ^).
Proof.
  by path_induction.
Defined.
Definition cancel2L (A : Type) (x y z : A) (p p' : x = y) (q q' : y = z)
      (r : p = p') (s t : q = q')
  : r @@ s = r @@ t → s = t.
Proof.
  intro u. induction r.
  refine ((whiskerL_1p -) ^ @ -). refine (_ @ (whiskerL_1p -)).
  apply moveR_pM. apply moveR_Vp. refine ((concat2_1p -) ^ @ -).
  refine (_ @ (concat_pp_p - - -)).
  apply moveL_pV. refine (_ @ (concat_pp_p - - -)). apply concat2.
  refine (_ @ (concat_pp_p - - -)).
  apply moveL_pM. refine (_ @ (concat_pV -) ^).
  apply moveR_pV. refine (_ @ (concat_1p -) ^).
  refine (_ @ (concat2_1p -)).
Admitted.
(*
  Definition S2_rectnd_beta_surf (P : Type) (b : P) (l : idpath b = idpath b)
  : ap02 (S2_rectnd P b l) surf = l ^ .
  Proof.
  unfold S2_rectnd.
  refine ((S2_rect_beta_loop - - -) @ -).
  *)
(*
  Theorem isequiv_SS1_to_S2 : IsEquiv (SS1_to_S2).
  Proof.
  apply isequiv_adjointify with S2_to_SS1.

  (* SS1_to_S2 o S2_to_SS1 == id *)
  refine (S2_rect (fun x => SS1_to_S2 (S2_to_SS1 x) = x) 1 -).
  unfold transport2.

```

```

transparent assert (H : (forall p : base = base,
  (fun p' : base = base => transport (fun x => SS1_to_S2 (S2_to_SS1 x) = x) p' 1) p
  =
  (fun p' : base = base => ap SS1_to_S2 (ap S2_to_SS1 p') @ p') p
)).
intros. refine ((transport_paths_FF1r _ _) @ _).
apply whiskerR. refine ((concat_p1 _) @ _).
refine ((ap_V SS1_to_S2 (ap S2_to_SS1 p))^ @ _). f_ap.
apply (ap_V _ _)^.
transitivity ((H 1)
  @ ap (fun p : base = base => ap SS1_to_S2 (ap S2_to_SS1 p) @ p) surf
  @ (H 1)^).
apply moveL_pV.
apply (@concat_Ap _ _ _ H _ _).
hott_simpl. clear H.
transitivity (ap (fun p : base = base => ap SS1_to_S2 (ap S2_to_SS1 p) @ p)
  (ap (S1_rectnd (base = base) 1 surf) loop)).
f_ap. apply (S1_rectnd_beta_loop _ _)^.
refine ((ap_compose _ _ _)^ @ _). unfold compose.
refine ((ap_concat S1 _ _ _ _ _ _)^ @ _).
transitivity (ap (fun x : S1 =>
  ap SS1_to_S2 (ap S2_to_SS1 (S1_rectnd (base = base) 1 surf x)^)) loop
  @@ surf).
f_ap. apply S1_rectnd_beta_loop.
refine (_ @ (concat2_V2p surf)). hott_simpl. f_ap.

(* invert the equation *)
transparent assert (H : (
  forall x : S1,
    ap SS1_to_S2 (ap S2_to_SS1 (S1_rectnd (base = base) 1 surf x)^)
    =
    (ap SS1_to_S2 (ap S2_to_SS1 (S1_rectnd (base = base) 1 surf x)))^
  )).
intro x.
refine (_ @ (ap_V _ _)). f_ap.
refine (_ @ (ap_V _ _)). reflexivity.
transitivity (
  (H Circle.base)
  @ ap (fun x : S1 => (ap SS1_to_S2 (ap S2_to_SS1 (S1_rectnd (base = base) 1 surf x)))^
  loop
  @ (H Circle.base)^
).
apply moveL_pV. apply (concat_Ap H loop).
simpl. hott_simpl. clear H.
refine ((ap_inv loop
  (fun x => ap SS1_to_S2 (ap S2_to_SS1 (S1_rectnd (base = base) 1 surf x)))
  ) @ _).
f_ap.

(* reduce to SS1_to_S2 (S2_to_SS1 surf) = surf *)

```

```

refine ((bar - - - - - loop) @ _).
refine ((ap03 - (bar - - - - - loop)) @ _).
refine ((ap03 - (ap03 - (S1_rectnd_beta_loop - - -))) @ _).

(* compute the action of S2_to_SS1 *)
refine ((ap03 - (S2_rectnd_beta_surf - - -)) @ _).
hott_simpl.
refine ((ap02_pp - - -) @ _). apply moveR_pM.
refine ((ap02_pp - - -) @ _). apply moveR_Mp.
refine ((ap03 - (whiskerR_ap - - -)) @ _).
refine ((ap03 - (ap_concat - - - - - merid -)) @ _).
hott_simpl.
refine ((ap02_p2p - - -) @ _). hott_simpl. apply moveR_pV. apply moveR_pM.

(* eliminate ap_pps *)
refine ((ap_pp_pV - - -) @ _). apply moveL_pV. apply moveL_Mp.
refine (_ @ (ap_pp_pV - - -)^). repeat (apply moveR_pM).
refine ((inv_pp - - -) @ _). apply moveR_Vp.
refine ((inv_pp - - -) @ _). apply moveR_pV. hott_simpl.
repeat (apply moveL_pM). apply moveR_pM. hott_simpl.
apply moveL_Mp. refine (_ @ (ap02_V - - -)).
refine (_ @ (ap03 - (inv_V - - -)^)). apply moveR_Vp. hott_simpl.
apply moveR_pM. hott_simpl.
repeat (refine ((concat_pp_p - - -) @ _)). apply moveR_Vp. apply moveR_Vp.
apply moveR_Vp. refine ((concat_concat2 - - - - -) @ _).
apply moveL_Mp. apply moveR_pM. refine ((inv_p2p - - -) @ _). apply moveL_pV.
refine ((concat_concat2 - - - - -) @ _). hott_simpl.

(* eliminate the concat_pVs *)
transparent assert (r : (
  ap SS1_to_S2 (merid Circle.base) = 1
)).
change 1 with (S1_rectnd (base = base) 1 surf Circle.base).
apply (Susp_comp_nd_merid Circle.base).
apply moveL_pV. apply moveL_pM.
refine (_ @ (baz r)^). hott_simpl.
apply moveR_pV. apply moveR_Mp.
refine ((baz r) @ _). apply moveL_Vp. hott_simpl.
refine ((concat_concat2 _ r 1 (inverse2 r)) @ _). simpl. hott_simpl.
apply moveL_Mp. apply moveR_pM.
refine ((inv_p2p r (inverse2 r)) @ _).
apply moveL_pV.
refine ((concat_concat2 r^ (ap02 SS1_to_S2 (ap merid loop) @ r) (inverse2 r)^ (inverse2 r)) @ _).
hott_simpl.

(* de-whisker *)
refine ((concat2_p1 _ -) @ _).
transitivity ((concat_p1 _ -)
  @ ((r^ @ ap02 SS1_to_S2 (ap merid loop)) @ r)
  @ (concat_p1 _ -)^).
apply moveL_pV. apply moveL_Mp.

```

```

refine ((concat_p_pp - -) @ -).
apply whiskerR_p1. simpl. hott_simpl.
apply moveR_pM. apply moveR_Vp.
unfold r. clear r.

(* compute the action of SS1_to_S2 *)
refine ((bar - - - - merid - -) ^ @ -).
transparent assert (H : (
  forall x : S1, ap SS1_to_S2 (merid x) = S1_rectnd - 1 surf x
)).
apply Susp_comp_nd_merid.
change (Susp_comp_nd_merid Circle.base) with (H Circle.base).
refine (_ @ (concat_pp_p - -)). apply moveL_pV.
refine ((concat_Ap H loop) @ -). f_ap.
apply S1_rectnd_beta_loop.

(* S2_to_SS2 o SS1_to_S2 == id *)
unfold Sect.
refine (Susp_rect (fun x => S2_to_SS1 (SS1_to_S2 x) = x) 1 (merid Circle.base) -).

(* make the goal g(m(y)) = merid(y) @ merid(Circle.base) ^ *)
intro x.
  refine ((transport_paths_FF1r - -) @ -). hott_simpl.
  transitivity (ap S2_to_SS1 (S1_rectnd (base = base) 1 surf x) ^ @ merid x).
  repeat f_ap.
  transitivity (ap SS1_to_S2 (merid x)) ^ . hott_simpl.
  apply inverse2.
  apply Susp_comp_nd_merid.
  apply moveR_pM.
  transitivity (ap S2_to_SS1 (S1_rectnd (base = base) 1 surf x)) ^ . hott_simpl.
  symmetry. transitivity (merid x @ (merid Circle.base) ^) ^ .
  symmetry. apply inv_pV. apply inverse2. symmetry.
generalize dependent x.

(* now compute *)
refine (S1_rect - - -).
refine (_ @ (concat_pV -) ^). reflexivity.
refine ((@transport_paths_FlFr S1 - - - - loop -) @ -).
hott_simpl.
apply moveR_pM. apply moveR_pM. hott_simpl. refine (_ @ (inv_V -)).
apply inverse2.
transitivity ((concat_pV (merid Circle.base)) ^
  @ (whiskerR (ap merid loop) (merid Circle.base) ^)
  @ (concat_pV (merid Circle.base))).
refine (_ @ (inv_V -)).
refine (_ @ (S2_rectnd_beta_surf - - -)).
refine ((ap_ap__ap02_ap - - -) @ -).
f_ap. apply S1_rectnd_beta_loop.
refine (_ @ (inv_pp - -) ^). refine ((concat_pp_p - - -) @ -). apply whiskerL.
refine (_ @ (inv_pp - -) ^). hott_simpl. apply whiskerR.

```

```

apply whiskerR_ap.
Defined.
*)

```

**\*Exercise 6.3 (p. 217)** Prove that the torus  $T^2$  as defined in §6.6 is equivalent to  $S^1 \times S^1$ .

**Solution** We first define  $f : T^2 \rightarrow S^1 \times S^1$  by torus recursion, using the maps

$$\begin{aligned}
b &\mapsto (\text{base}, \text{base}) \\
p &\mapsto \text{pair}^=(\text{refl}_{\text{base}}, \text{loop}) \\
q &\mapsto \text{pair}^=(\text{loop}, \text{refl}_{\text{base}}) \\
\Phi(\alpha, \alpha) &: \lambda(\alpha : x = x'). \lambda(\alpha' : y = y'). \left( \text{pair}^=(\text{refl}_x, \alpha') \cdot \text{pair}^=(\alpha, \text{refl}_y) \right) = \left( \text{pair}^=(\alpha, \text{refl}_y) \cdot \text{pair}^=(\text{refl}_{x'}, \alpha') \right) \\
t &\mapsto \Phi(\text{loop}, \text{loop})
\end{aligned}$$

Where  $\Phi$  is defined by recursion on  $\alpha$  and  $\alpha'$ . To define a function  $f : S^1 \times S^1 \rightarrow T^2$ , we need a function  $\tilde{f} : S^1 \rightarrow S^1 \rightarrow T^2$ , which we'll define by double circle recursion.  $\tilde{f}' : S^1 \rightarrow T^2$  is given by  $b \mapsto \text{base}$  and  $\text{loop} \mapsto p$ . Then  $\tilde{f}$  is defined by  $b \mapsto \tilde{f}'$  and

$\text{loop} \mapsto$

```

Definition Phi {A : Type} {x x' y y' : A} (alpha : x = x') (alpha' : y = y')
: ((path_prod (x, y) (x, y') 1 alpha') @ (path_prod (x, y') (x', y') alpha 1))
= ((path_prod (x, y) (x', y) alpha 1) @ (path_prod (x', y) (x', y') 1 alpha')).
induction alpha.
induction alpha'.
reflexivity.
Defined.

```

**\*Exercise 6.4 (p. 217)** Define dependent  $n$ -loops and the action of dependent functions on  $n$ -loops, and write down the induction principle for the  $n$ -spheres as defined at the end of §6.4.

**\*Exercise 6.5 (p. 217)** Prove that  $\Sigma S^n \simeq S^{n+1}$ , using the definition of  $S^n$  in terms of  $\Omega^n$  from §6.4.

**Solution** This definition defines  $S^n$  as the higher inductive type generated by

- $\text{base}_n : S^n$
- $\text{loop}_n : \Omega^n(S^n, \text{base}_n)$ .

To define a function  $\Sigma S^n \rightarrow S^{n+1}$ , we send both  $N$  and  $S$  to  $\text{base}_{n+1}$ . So we need a function  $m : S^n \rightarrow (\text{base}_{n+1} = \text{base}_{n+1})$ , for which we use  $S^n$ -recursion.

**\*Exercise 6.6 (p. 217)** Prove that if the type  $S^2$  belongs to some universe  $\mathcal{U}$ , then  $\mathcal{U}$  is not a 2-type.

**\*Exercise 6.7 (p. 217)** Prove that if  $G$  is a monoid and  $x : G$ , then  $\sum_{(y:G)} ((x \cdot y = e) \times (y \cdot x = e))$  is a mere proposition. Conclude, using the principle of unique choice, that it would be equivalent to define a group to be a monoid such that for every  $x : G$ , there merely exists a  $y : G$  such that  $x \cdot y = e$  and  $y \cdot x = e$ .

**Solution** Suppose that  $G$  is a monoid and  $x : G$ . Since  $G$  is a set, each of  $x \cdot y = e$  and  $y \cdot x = e$  are mere propositions. The product preserves this, so our type is of the form  $\sum_{(y:G)} P(y)$  for a family of mere propositions  $P : G \rightarrow \mathcal{U}$ . Now, suppose that there is a point  $u : \sum_{(y:G)} P(y)$ ; we show that this implies that this type is contractible, hence the type is a mere proposition. Since  $P(y)$  is a mere proposition, we just need to show that for any point  $v : \sum_{(y:G)} P(y)$ ,  $\text{pr}_1 u = \text{pr}_1 v$ . But this is just to say that if  $\text{pr}_1 u$  has an inverse it is unique, and this is a basic fact about inverses.

A group is defined to be a monoid together with an inversion function  $i : G \rightarrow G$  such that for all  $x : G$ ,  $x \cdot i(x) = e$  and  $i(x) \cdot x = e$ . That is, the following type is inhabited:

$$\sum_{(i:G \rightarrow G)} \prod_{(x:G)} ((x \cdot i(x) = e) \times (i(x) \cdot x = e))$$

but this type is equivalent to the type

$$\prod_{(x:G)} \sum_{(y:G)} ((x \cdot y = e) \times (y \cdot x = e))$$

And as we have just shown, this is of the form  $\prod_{(x:G)} Q(x)$  for  $Q$  a family of mere propositions. Thus, by the principle of unique choice, it suffices to demand that for each  $x : G$  we have  $\|Q(x)\|$ . Thus these two requirements are equivalent.

```
Class IsMonoid (A : hSet) (m : A → A → A) (e : A)
  := BuildIsMonoid {
    m_unitr : ∀ a : A, m a e = a ;
    m_unitl : ∀ a : A, m e a = a ;
    m_assoc : ∀ x y z : A, m x (m y z) = m (m x y) z
  }.
```

```
Record Monoid
  := BuildMonoid {
    m_set :> hSet ;
    m_mult :> m_set → m_set → m_set ;
    m_unit :> m_set ;
    m_ismonoid :> IsMonoid m_set m_mult m_unit
  }.
```

```
Theorem hprop_inverse_exists (G : hSet) (m : G → G → G) (e : G)
  (HG : IsMonoid G m e)
```

```
: ∀ x, IsHProp {y : G & (m x y = e) × (m y x = e)}.
```

**Proof.**

```
intro x.
(* reduce to uniqueness of inverse *)
assert (∀ y : G, IsHProp ((m x y = e) × (m y x = e))). intro y.
apply hprop_prod; intros p q; apply G.
apply hprop_inhabited_contr. intro u. ∃ u.
intro v. apply path_sigma_hprop.

(* inverse is unique *)
destruct HG.
refine ((m_unitr0 _) ^ @ _).
refine (_ @ (m_unitl0 _)).
path_via (m u.1 (m x v.1)). f_ap. symmetry. apply (fst v.2).
path_via (m (m u.1 x) v.1). f_ap. apply (snd u.2).
```

**Defined.**

```
Class IsGroup (A : Monoid) (i : A → A)
  := BuildIsGroup {
```



```

      g_invr : ∀ a : A, (m_mult A) a (i a) = (m_unit A) ;
      g_invl : ∀ a : A, (m_mult A) (i a) a = (m_unit A)
    }.
Record Group
:= BuildGroup {
  g_monoid := Monoid ;
  g_inv := (m_set g_monoid) → (m_set g_monoid) ;
  g_isgroup := lsGroup g_monoid g_inv
}.
Theorem issig_group '{Funext} :
  {G : Monoid & {i : G → G & ∀ a, (G a (i a) = G) × (G (i a) a = G)}}
  ≃
  Group.
Proof.
  apply (@equiv_compose' _ {G : Monoid & {i : G → G & lsGroup G i}} _).
  issig BuildGroup g_monoid g_inv g_isgroup.
  apply equiv_functor_sigma_id. intro G.
  apply equiv_functor_sigma_id. intro i.
  apply (@equiv_compose' _
    { _ : ∀ a, (G a (i a) = G)
      & (∀ a : G, G (i a) a = G) }
    _).
  issig (BuildlsGroup G i) (@g_invr G i) (@g_invl G i).
  refine (equiv_adjointify _ _ _); intro z.
  apply (fun a ⇒ fst (z a); fun a ⇒ snd (z a)).
  apply (fun a ⇒ (z.1 a, z.2 a)).
  destruct z as [g h]. apply path_sigma_uncurried. ∃ 1. reflexivity.
  apply path_forall; intro a. apply eta_prod.
Defined.
Theorem ex6_7 '{Funext} :
  {G : Monoid & ∀ x, Brck {y : G & (G x y = G) × (G y x = G)}}
  ≃
  Group.
Proof.
  apply (@equiv_compose' _
    {G : Monoid &
      ∀ x : G, {y : G & (G x y = G) × (G y x = G)}}
    _).
  apply (@equiv_compose' _
    {G : Monoid &
      {i : G → G &
        ∀ a, (G a (i a) = G) × (G (i a) a = G)}}
    _).
  apply issig_group.
  apply equiv_functor_sigma_id. intro G.
  apply equiv_inverse. refine (equiv_sigT_corect _ _).
  apply equiv_functor_sigma_id. intro G.
  apply equiv_functor_forall_id. intro x.
  apply equiv_inverse.
  apply ex3_21.
  destruct G as [G m e HG]. simpl in *.

```

```

    apply hprop_inverse_exists.
    apply HG.
Defined.

```

**\*Exercise 6.8 (p. 217)** Prove that if  $A$  is a set, then  $\text{List}(A)$  is a monoid. Then complete the proof of Lemma 6.11.5.

**Solution** We first characterise the path space of  $\text{List}(A)$ , which goes just as  $\mathbb{N}$ . We define the codes

$$\begin{aligned}
 \text{code}(\text{nil}, \text{nil}) &:= 1 \\
 \text{code}(\text{cons}(h, t), \text{nil}) &:= 0 \\
 \text{code}(\text{nil}, \text{cons}(h', t')) &:= 0 \\
 \text{code}(\text{cons}(h, t), \text{cons}(h', t')) &:= (h = h') \times \text{code}(t, t')
 \end{aligned}$$

and the function  $r : \prod_{(\ell : \text{List}(A))} \text{code}(\ell, \ell)$  by

$$\begin{aligned}
 r(\text{nil}) &:= \star \\
 r(\text{cons}(h, t)) &:= (\text{refl}_h, r(t))
 \end{aligned}$$

Now, for all  $\ell, \ell' : \text{List}(A)$ ,  $(\ell = \ell') \simeq \text{code}(\ell, \ell')$ . To prove this, we define

$$\text{encode}(\ell, \ell', p) := \text{transport}^{\text{code}(\ell, -)}(p, r(\ell))$$

and we define  $\text{decode}$  by double induction on  $\ell, \ell'$ . When they're both  $\text{nil}$ , send everything to  $\text{refl}_{\text{nil}}$ . When one is  $\text{nil}$  and the other a  $\text{cons}$ , we use the eliminator for  $0$ . When they're both a  $\text{cons}$ , we define

$$\begin{aligned}
 \text{code}(\text{cons}(h, t), \text{cons}(h', t')) &\equiv (h = h') \times \text{code}(t, t') \\
 &\xrightarrow{\text{id}_{h=h'} \times \text{decode}(t, t')} (h = h') \times (t = t') \\
 &\xrightarrow{\text{pair}^=} ((h, t) = (h', t')) \\
 &\xrightarrow{\text{ap}_{\lambda z. \text{cons}(\text{pr}_1 z, \text{pr}_2 z)}} (\text{cons}(h, t) = \text{cons}(h', t'))
 \end{aligned}$$

It follows easily from induction on everything and naturality that these are quasi-inverses. The only point of note is that the fact that  $A$  is a set is required in the proof of

$$\text{encode}(\ell, \ell', \text{decode}(\ell, \ell', z)) = z$$

This is because our definition of  $\text{encode}$  involved an arbitrary choice in how  $r$  acts on  $\text{cons}$ , and this choice is only preserved up to homotopy.

**Local Open Scope** *list\_scope*.

```

Fixpoint list_code {A : Type} (l l' : list A) : Type :=
  match l with
  | nil => match l' with
    | nil => Unit
    | h' :: t' => Empty
  end
  | h :: t => match l' with
    | nil => Empty
    | h' :: t' => (h = h') × (list_code t t')
  end

```



the induction hypothesis. Contractibility is preserved by products, so the path space is contractible.

**Theorem** `set_list_is_set`  $(A : \text{Type}) : \text{IsHSet } A \rightarrow \text{IsHSet } (\text{list } A)$ .

**Proof.**

```

intros HA l.
induction l as [| h t].
  intro l'; destruct l' as [| h' t'].
  apply (trunc_equiv (equiv_path_list nil nil)).
  apply (trunc_equiv (equiv_path_list nil (h' :: t'))).
  intro l'; destruct l' as [| h' t'].
  apply (trunc_equiv (equiv_path_list (h :: t) nil)).
  transparent assert (r : (IsHProp (list_code (h :: t) (h' :: t')))).
  simpl. apply hprop_prod.
  apply hprop_allpath. apply HA.
  apply (trunc_equiv (equiv_path_list t t') ^ -1).
  apply (trunc_equiv (equiv_path_list (h :: t) (h' :: t'))).

```

**Defined.**

Now, to show that  $\text{List}(A)$  is a monoid, we must equip it with a multiplication function and a unit element. For the multiplication function we use `append`, and for the unit we use `nil`. These must satisfy two properties. First we must have, for all  $\ell : \text{List}(A)$ ,  $\ell \cdot \text{nil} = \text{nil} \cdot \ell = \ell$ , which we clearly do. Second, `append` must be associative, which it clearly is.

(\* move these elsewhere \*)

**Theorem** `app_nil_r`  $\{A : \text{Type}\} : \forall l : \text{list } A, l ++ \text{nil} = l$ .

**Proof.** `induction l. reflexivity. simpl. f_ap. Defined.`

**Theorem** `app_assoc`  $\{A : \text{Type}\} : \forall x y z : \text{list } A,$

$x ++ (y ++ z) = (x ++ y) ++ z$ .

**Proof.**

```

intros x y z. induction x. reflexivity.
simpl. apply path_list. reflexivity. apply IHx.

```

**Defined.**

**Theorem** `set_list_is_monoid`  $\{A : \text{Type}\} \{HA : \text{IsHSet } A\} :$   
 $\text{IsMonoid } (\text{BuildhSet } (\text{list } A) (\text{set\_list\_is\_set } HA)) (@\text{app } A) \text{nil}$ .

**Proof.**

```

apply BuildIsMonoid.
apply app_nil_r. reflexivity.
apply app_assoc.

```

**Defined.**

Now, Lemma 6.11.5 states that for any set  $A$ , the type  $\text{List}(A)$  is the free monoid on  $A$ . That is, there is an equivalence

$$\text{hom}_{\text{Monoid}}(\text{List}(A), G) \simeq (A \rightarrow G)$$

There is an obvious inclusion  $\eta : A \rightarrow \text{List}(A)$  defined by  $a \mapsto \text{cons}(a, \text{nil})$ , and this defines a map  $(- \circ \eta)$  giving the forward direction of the equivalence. For the other direction, suppose that  $f : A \rightarrow G$ . We lift this to a map  $\bar{f} : \text{List}(A) \rightarrow G$  by recursion:

$$\begin{aligned} \bar{f}(\text{nil}) &\equiv e \\ \bar{f}(\text{cons}(h, t)) &\equiv f(h) \cdot \bar{f}(t) \end{aligned}$$

To show that this is a monoid homomorphism, we must show

$$\begin{aligned} \bar{f}(\text{nil}) &= e \\ \bar{f}(\ell \cdot \ell') &= \bar{f}(\ell) \cdot \bar{f}(\ell') \end{aligned}$$

The first is a judgemental equality, so we just need to show the second, which we do by induction on  $\ell$ . When  $\ell \equiv \text{nil}$  we have

$$\bar{f}(\text{nil} \cdot \ell') \equiv \bar{f}(\ell') = e \cdot \bar{f}(\ell') \equiv \bar{f}(\text{nil}) \cdot \bar{f}(\ell')$$

and when it is a cons,

$$\bar{f}(\text{cons}(h, t) \cdot \ell') = \bar{f}(\text{cons}(h, t \cdot \ell')) = f(h) \cdot \bar{f}(t \cdot \ell') = f(h) \cdot \bar{f}(t) \cdot \bar{f}(\ell') = f(\text{cons}(h, t)) \cdot \bar{f}(\ell')$$

by the induction hypothesis in the third equality. So  $\bar{f}$  is a monoid homomorphism.

To show that these are quasi-inverses, suppose that  $f : \text{hom}_{\text{Monoid}}(\text{List}(A), G)$ . Then we must show that

$$\overline{f \circ \eta} = f$$

which we do by function extensionality and induction. When  $\ell \equiv \text{nil}$ , we have

$$\overline{f \circ \eta}(\text{nil}) \equiv e = f(\text{nil})$$

Since  $f$  is a monoid homomorphism. When  $\ell \equiv \text{cons}(h, t)$ ,

$$\overline{f \circ \eta}(\text{cons}(h, t)) \equiv f(\eta(h)) \cdot \overline{f \circ \eta}(t) = f(\eta(h)) \cdot f(t) = f(\text{cons}(h, \text{nil}) \cdot t) \equiv f(\text{cons}(h, t))$$

So by function extensionality  $\overline{f \circ \eta} = f$ . We must also show that the proofs that  $\overline{f \circ \eta}$  and  $f$  are monoid homomorphisms are equal. This turns out to be trivial, however: since monoids are structures on sets, all of the relevant proofs are of equalities in sets, so the structures are mere propositions, and equality of the underlying maps is equivalent to equality of the homomorphisms.

For the other direction, suppose that  $f : A \rightarrow G$ . We show that

$$\bar{f} \circ \eta = f$$

again by function extensionality. Suppose that  $a : A$ ; then

$$\bar{f}(\eta(a)) \equiv \bar{f}(\text{cons}(a, \text{nil})) \equiv f(a) \cdot \bar{f}(\text{nil}) \equiv f(a) \cdot e = f(a)$$

and we're done.

**Notation** "[ ]" := nil.

**Notation** "[ x ; .. ; y ]" := (cons x .. (cons y nil) ..).

**Class** IsMonoidHom {A B : Monoid} (f : A → B) :=

```
BuildIsMonoidHom {
  hunit : f (m_unit A) = m_unit B ;
  hmult : ∀ a a' : A, f ((m_mult A) a a') = (m_mult B) (f a) (f a')
}.
```

**Record** MonoidHom (A B : Monoid) :=

```
BuildMonoidHom {
  mhom_fun :> A → B ;
  mhom_ismhom :> IsMonoidHom mhom_fun
}.
```

**Definition** homLAG\_to\_AG (A : Type) (HA : IsHSet A) (G : Monoid) :

```
MonoidHom (BuildMonoid (BuildHSet (list A) (set_list_is_set _ HA))
  _ _ set_list_is_monoid)
```

G

→ (A → G)

:= fun f a => (mhom\_fun \_ G f) [a].

**Definition** AG\_to\_homLAG (A : Type) (HA : IsHSet A) (G : Monoid) :

```

(A → G)
→
MonoidHom (BuildMonoid (BuildhSet (list A) (set_list_is_set - HA))
           - - set_list_is_monoid)
G.
Proof.
(* lift f by recursion *)
intro f.
refine (BuildMonoidHom _ G _).
intro l. induction l as [ | h t].
apply (m_unit G).
apply ((m_mult -) (f h) IHt).
apply BuildIsMonoidHom.
(* takes the unit to the unit *)
reflexivity.
(* respects multiplication *)
simpl. intro l. induction l. intro l'. simpl.
refine (_ @ (m_unitl -)^). reflexivity. apply G.
intro l'. simpl. refine (_ @ (m_assoc - - -)).
f_ap. apply (m_unit -). apply G.
Defined.
Theorem isprod_ismonoidhom {A B : Monoid} (f : A → B) :
  (f (m_unit A) = m_unit B)
  × (∀ a a', f ((m_mult A) a a') = (m_mult B) (f a) (f a'))
  ≈
  IsMonoidHom f.
Proof.
(* I think this should be a judgemental equality, but it's not *)
etransitivity { _ : f A = B & ∀ a a' : A, f (A a a') = B (f a) (f a') }.
refine (equiv_adjointify - - -); intro z.
  ∃ (fst z). apply (snd z). apply (z.1, z.2).
  apply eta_sigma. apply eta_prod.
  issig (BuildIsMonoidHom A B f) (@hunit A B f) (@hmult A B f).
Defined.
Theorem hprop_ismonoidhom '{Funext} {A B : Monoid} (f : A → B)
  : IsHProp (IsMonoidHom f).
Proof.
  refine (trunc_equiv' (isprod_ismonoidhom f)).
Defined.
Theorem issig_monoidhom (A B : Monoid) :
  {f : A → B & IsMonoidHom f} ≈ MonoidHom A B.
Proof.
  issig (BuildMonoidHom A B) (@mhom_fun A B) (@mhom_ismhom A B).
Defined.
Theorem equiv_path_monoidhom '{Funext} {A B : Monoid} {f g : MonoidHom A B} :
  ((mhom_fun - - f) = (mhom_fun - - g)) ≈ f = g.
Proof.
  equiv_via ((issig_monoidhom A B)^-1 f = (issig_monoidhom A B)^-1 g).
  refine (@equiv_path_sigma_hprop

```

```

(A → B) IsMonoidHom hprop_ismonoidhom
((issig_monoidhom A B) ^ -1 f) ((issig_monoidhom A B) ^ -1 g)).
apply equiv_inverse. apply equiv_ap. refine -.
Defined.
Theorem list_is_free_monoid {Funext} (A : Type) (HA : IsHSet A) (G : Monoid) :
  MonoidHom (BuildMonoid ((BuildhSet (list A) (set_list_is_set _ HA)))
    _ _ set_list_is_monoid)
    G
    ≈
    (A → G).

```

*Proof.*

```

transparent assert (HG : (IsMonoid G G G)). apply G.
refine (equiv_adjointify (homLAG_to_AG _ _ _) (AG_to_homLAG _ _ _) _ _).
intro f. apply path_forall; intro a.
simpl. apply (m_unitr _).

intro f. apply equiv_path_monoidhom. apply path_forall; intro l.
induction l as [ | h t]; simpl.
symmetry. apply (@hunit _ _ f). apply f.
transitivity (G (homLAG_to_AG A HA G f h) (f t)). f_ap.
unfold homLAG_to_AG. refine (@hmult _ _ f - [h] t) ^ . apply f.

```

*Defined.*

*Local Close Scope list\_scope.*

**\*Exercise 6.9 (p. 217)** Assuming LEM, construct a family  $f : \prod_{(X:\mathcal{U})} (X \rightarrow X)$  such that  $f_2 : \mathbf{2} \rightarrow \mathbf{2}$  is the nonidentity automorphism.

**\*Exercise 6.10 (p. 218)** Show that the map constructed in Lemma 6.3.2 is in fact a quasi-inverse to `happy`, so that the interval type implies the full function extensionality axiom.

**Solution** Of course, it's easiest to prove the full function extensionality axiom by referring to Exercise 2.16. But we want to show something more: that this map is an inverse to `happy`. Let  $f, g : A \rightarrow B$ , and suppose that  $p : f = g$ . Then `happy(p) :  $\prod_{(x:A)} f(x) = g(x)$` . For all  $x : A$  we define a function  $\tilde{h} : I \rightarrow B$  by

$$\begin{aligned}
\tilde{h}_x(0_I) &:= f(x), \\
\tilde{h}_x(1_I) &:= g(x), \\
\text{ap}_{\tilde{h}_x}(\text{seg}) &:= \text{happy}(p, x)
\end{aligned}$$

and we define  $q : I \rightarrow (A \rightarrow B)$  by  $q(i) := \lambda x. \tilde{h}_x(i)$ . Thus

$$\text{ap}_q(\text{seg}) \equiv \text{ap}_{\lambda x. \tilde{h}_x}(\text{seg})$$

`Module EXERCISE6_10.`

`Module INTERVAL.`

`Private Inductive interval : Type :=`

```

| zero : interval
| one : interval.

```

`Axiom seg : zero = one.`

`Definition interval_rect (P : interval → Type)`

```

(a : P zero) (b : P one) (p : seg # a = b)
: ∀ x : interval, P x
:= fun x ⇒ (match x return _ → P x with
  | zero ⇒ fun _ ⇒ a
  | one ⇒ fun _ ⇒ b
  end) p.

Axiom interval_rect_beta_seg : ∀ (P : interval → Type)
  (a : P zero) (b : P one) (p : seg # a = b),
  apD (interval_rect P a b p) seg = p.

End INTERVAL.

Definition interval_rectnd (P : Type) (a b : P) (p : a = b)
  : interval → P
:= interval_rect (fun _ ⇒ P) a b (transport_const _ _ @ p).

Definition interval_rectnd_beta_seg (P : Type) (a b : P) (p : a = b)
  : ap (interval_rectnd P a b p) seg = p.

Proof.
  refine (cancelL (transport_const seg a) _ _).
  refine ((apD_const (interval_rect (fun _ ⇒ P) a b _) seg) ^ @ _).
  refine (interval_rect_beta_seg (fun _ ⇒ P) _ _).
Defined.

Definition interval_path_arrow {A B : Type} {f g : A → B}
  : (f ~ g) → (f = g)
:= fun p ⇒ ap (fun i a ⇒ interval_rectnd B (f a) (g a) (p a) i) seg.

Theorem ex6_10_alpha {A B : Type} {f g : A → B}
  : (@ap10 A B f g) o (@interval_path_arrow A B f g) ~ idmap.

Proof.
  Admitted.

Theorem ex6_10_beta {A B : Type} {f g : A → B}
  : (@interval_path_arrow A B f g) o (@ap10 A B f g) ~ idmap.

Proof.
  intro p. unfold compose.
  set (q := (fun i a ⇒ interval_rectnd B (f a) (g a) (ap10 p a) i)).
  Admitted.

End EXERCISE6_10.

```

**Exercise 6.11 (p. 218)** Prove the universal property of suspension:

$$(\Sigma A \rightarrow B) \simeq \left( \sum_{(b_n : B)} \sum_{(b_s : B)} (A \rightarrow (b_n = b_s)) \right)$$

**Solution** To construct an equivalence, suppose that  $f : \Sigma A \rightarrow B$ . Then there are two elements  $f(N), f(S) : B$  such that there is a map  $A \rightarrow (f(N) = f(S))$ ; in particular for any element  $a : A$  we have the element  $\text{merid}(a) : (N = S)$  which may be pushed forward to give  $f(\text{merid}(a)) : f(N) = f(S)$ . For the other direction, suppose that we have elements  $b_n, b_s : B$  such that  $f : A \rightarrow (b_n = b_s)$ . Then by suspension recursion we define a function  $g : \Sigma A \rightarrow B$  such that  $g(N) \equiv b_n, g(S) \equiv b_s$ , and  $g(\text{merid}(a)) = f(a)$  for all  $a : \Sigma A$ .



To show that these are quasi-inverses, suppose that  $f : \Sigma A \rightarrow B$ . We then construct the element  $(f(N), f(S), \lambda a. f(\text{merid}(a)))$  of the codomain, and going back gives a function  $g : \Sigma A \rightarrow B$  such that  $g(N) \equiv f(N)$ ,  $g(S) \equiv f(S)$ , and  $g(\text{merid}(a)) = f(\text{merid}(a))$  for all  $a : \Sigma A$ . But this just means that  $g$  and  $f$  have the same recurrence relation, so we're back where we started.

For the other loop, suppose that we have an element  $(b_n, b_s, f)$  of the right. Then we get an arrow  $g : \Sigma A \rightarrow B$  on the left such that  $g(N) = b_n$ ,  $g(S) = b_s$ , and  $g(\text{merid}(a)) = f(a)$  for all  $a : \Sigma A$ . Going back to the right, we have the element  $(b_n, b_s, f)$ , homotopic to the identity function.

**Theorem** `univ_prop_susp`  $\{ \text{Funext} \} \{ A B : \text{Type} \} :$

$(\text{Susp } A \rightarrow B) \simeq \{ bn : B \ \& \ \{ bs : B \ \& \ A \rightarrow (bn = bs) \} \}.$

**Proof.**

```

refine (equiv_adjointify _ _ _).
intro f.  $\exists$  (f North).  $\exists$  (f South). intro a. apply (ap f (merid a)).
intro w. destruct w as [bn [bs f]]. apply (Susp_rect_nd bn bs f).

intro w. destruct w as [bn [bs f]].
apply path_sigma_uncurried.  $\exists$  1.
apply path_sigma_uncurried.  $\exists$  1.
apply path_forall; intro a. simpl.
apply Susp_comp_nd_merid.

intro f. apply path_forall.
refine (Susp_rect _ 1 1 _).
intro a.
simpl.
refine ((@transport_paths_FlFr _ _ _ f _ _ _) @ _).
apply moveR_pM.
refine ((concat_p1 _ ) @ _). refine ( _ @ (concat_1p _ ) ^ ). apply inverse2.
refine ((Susp_comp_nd_merid _ ) @ _).
reflexivity.

```

**Defined.**

**\*Exercise 6.12 (p. 218)** Show that  $\mathbb{Z} \simeq \mathbb{N} + \mathbf{1} + \mathbb{N}$ . Show that if we were to define  $\mathbb{Z}$  as  $\mathbb{N} + \mathbf{1} + \mathbb{N}$ , then we could obtain Lemma 6.10.12 with judgmental computation rules.

**Solution** Let  $\mathbb{Z} := \sum_{(x:\mathbb{N} \times \mathbb{N})} (r(x) = x)$ , where

$$r(a, b) = \begin{cases} (a - b, 0) & \text{if } a \geq b \\ (0, b - a) & \text{otherwise} \end{cases}$$

To define the forward direction, let  $((a, b), p) : \mathbb{Z}$ . If  $a = b$ , then produce  $\star$ . Otherwise, if  $a > b$ , produce  $\text{pred}(a - b)$  in the right copy of  $\mathbb{N}$ . Otherwise (i.e., when  $a < b$ ), produce  $\text{pred}(b - a)$  in the left copy of  $\mathbb{N}$ . To go the other way, we have three cases. When  $n$  is in the left, send it to  $(0, \text{succ}(n))$ , along with the appropriate proof. When  $n \equiv \star$ , produce  $(0, 0)$ . When  $n$  is in the right, send it to  $(\text{succ}(n), 0)$ . Clearly, these two constructions are quasi-inverses, since  $\text{succ}(\text{pred}(n)) = n$  for all  $n \neq 0$ .

Now define  $\mathbb{Z} := \mathbb{N} + \mathbf{1} + \mathbb{N}$ . I just can't seem to get the right computation rules! I can get

$$f(\text{succ}(\text{succ}(n))) \equiv d_+(\text{succ}(n), f(\text{succ}(n)))$$

and so on, but this isn't what we want. The problem has to do with the apparent necessity of  $\text{pred}$  in the encoding of the integers. I suppose I could try a different encoding.

**Lemma** `hset_prod`  $:\forall A, \text{IsHSet } A \rightarrow \forall B, \text{IsHSet } B \rightarrow \text{IsHSet } (A \times B).$

**Proof.**

```

intros A HA B HB.

```

```

    intros z z'. apply hprop_allpath. apply allpath_hprop.
Defined.
Module EXERCISE6_12.
Fixpoint monus n m :=
  match m with
  | 0 => n
  | S m' => pred (monus n m')
  end.
Lemma monus_O_n :  $\forall n, \text{monus } 0 \ n = 0$ .
Proof. induction n. reflexivity. simpl. change 0 with (pred 0). f_ap. Defined.
Lemma n_le_Sn :  $\forall n, \text{le } n \ (S \ n)$ .
Proof. intro n.  $\exists (S \ 0)$ . apply (plus_1_r n)^. Defined.
Lemma monus_eq_O__n_le_m :  $\forall n \ m, (\text{monus } n \ m = 0) \rightarrow (\text{le } n \ m)$ .
Admitted.
Lemma monus_self :  $\forall n, \text{monus } n \ n = 0$ .
Admitted.
Definition n_le_m__Sn_le_Sm :  $\forall (n \ m : \text{nat}), (\text{le } n \ m) \rightarrow (\text{le } (S \ n) \ (S \ m))$ 
:= fun n m H => (H.1; ap S H.2).
Lemma order_partitions :  $\forall (n \ m : \text{nat}), (\text{le } n \ m) + (\text{lt } m \ n)$ .
Proof.
  induction n.
  intro m. left.  $\exists m$ . reflexivity.
  induction m.
  right.  $\exists n$ . reflexivity.
  destruct IHm.
  left. destruct l as [x p].  $\exists (S \ x)$ .
  simpl. apply (ap S). apply ((plus_n_Sm - _)^ @ p).
  destruct (IHn m).
  left. apply n_le_m__Sn_le_Sm. apply l0.
  right. destruct l0 as [x p].  $\exists x$ . simpl. apply (ap S). apply p.
Defined.
Definition r :  $\text{nat} \times \text{nat} \rightarrow \text{nat} \times \text{nat}$ .
  intro z. destruct z as [a b].
  destruct (order_partitions b a).
  apply (monus a b, 0).
  apply (0, monus b a).
Defined.
Definition int := {x :  $\text{nat} \times \text{nat}$  & r x = x}.
Definition int_to_nat_1_nat :  $\text{int} \rightarrow (\text{nat} + \text{Unit} + \text{nat})$ .
  intro z. destruct z as [[a b] p]. destruct (decidable_paths_nat a b).
  left. right. apply tt.
  destruct (order_partitions b a).
  right. apply (pred (monus a b)).
  left. left. apply (pred (monus b a)).
Defined.
Definition nat_1_nat_to_int :  $(\text{nat} + \text{Unit} + \text{nat}) \rightarrow \text{int} :=$ 
  fun z =>
    match z with

```

```

      | inl a ⇒ match a with
        | inl n ⇒ ((O, S n); 1)
        | inr _ ⇒ ((O, O); 1)
      end
    | inr n ⇒ ((S n, O); 1)
  end.

Lemma lt_le : ∀ n m, (lt n m) → (le n m).
Proof.
  intros n m p. destruct p as [k p]. ∃ (S k).
  apply p.
Defined.

Theorem hset_nat : IsHSet nat.
Proof.
  apply hset_decidable. intros n.
  induction n; intro m; destruct m.
  left. reflexivity.
  right. intro p. apply equiv_path_nat in p. contradiction.
  right. intro p. apply equiv_path_nat in p. contradiction.
  destruct (IHn m).
  left. apply (ap S). apply p.
  right. intro p. apply S_inj in p. contradiction.
Defined.

Theorem ex6_12 : int ≃ (nat + Unit + nat).
Proof.
  refine (equiv_adjointify int_to_nat_1_nat nat_1_nat_to_int _ _).
  intro z. destruct z as [[n | n] | n].
  reflexivity.
  unfold int_to_nat_1_nat. simpl. repeat f_ap. apply contr_unit. reflexivity.
  intro z. destruct z as [[a b] p].
  apply path_sigma_uncurried.
  assert (
    (nat_1_nat_to_int (int_to_nat_1_nat ((a, b); p))) . 1 = ((a, b); p) . 1
  ) as H.
  unfold nat_1_nat_to_int, int_to_nat_1_nat, r in *. simpl in *.
  destruct (decidable_paths_nat a b).
  destruct (order_partitions b a). refine (_ @ p).
  apply path_prod.
  assert (b = O). apply (ap snd p) ^.
  assert (a = O). apply (p0 @ X).
  simpl. transitivity (monus a 0). simpl. apply X0^. f_ap. apply X^.
  reflexivity.
  assert (a = O). apply (ap fst p) ^. assert (b = O). apply (p0^ @ X). simpl.
  apply path_prod. apply X^. apply X0^.
destruct (order_partitions b a); refine (_ @ p);
  apply path_prod.
  simpl. refine ((Spred _ _) @ _).
  intro H. apply monus_eq_O_n_le_m in H.
  apply le_antisymmetric in H. symmetry in H. apply n in H. contradiction.
  apply l. reflexivity. reflexivity. reflexivity.
  simpl. refine ((Spred _ _) @ _).

```

```

intro H.
assert (a = b). refine ((ap fst p) ^ @ H ^ @ (ap snd p)). apply n in X.
contradiction. reflexivity. simpl in *.
∃ H.
assert (IsHSet (nat × nat)) as Hn. apply hset_prod; apply hset_nat.
apply set_path2.
Defined.
Definition int' := nat + Unit + nat.
End EXERCISE6_12.

```

## 7 Homotopy $n$ -types

**\*Exercise 7.1 (p. 250)** (i) Use Theorem 7.2.2 to show that if  $\|A\| \rightarrow A$  for every type  $A$ , then every type is a set. (ii) Show that if every surjective function (purely) splits, i.e. if  $\prod_{(b:B)} \left\| \text{fib}_f(b) \right\| \rightarrow \prod_{(b:B)} \text{fib}_f(b)$  for every  $f : A \rightarrow B$ , then every type is a set.

**Solution** (i) Suppose that  $f : \prod_{(A:\mathcal{U})} \|A\| \rightarrow A$ , and let  $X$  be some type. To apply Theorem 7.2.2, we need a reflexive mere relation on  $X$  that implies identity. Let  $R(x, y) := \|x = y\|$ , which is clearly a reflexive mere relation. Moreover, it implies the identity, since for any  $x, y : X$  we have

$$f(x = y) : R(x, y) \rightarrow (x = y)$$

So by Theorem 7.2.2,  $X$  is a set.

**\*Exercise 7.2 (p. 250)** Express  $S^2$  as a colimit of a diagram consisting entirely of copies of  $\mathbf{1}$ .

**Solution** Recall that we can define  $S^2 := \Sigma S^1 := \Sigma \Sigma S^0 := \Sigma \Sigma \Sigma \mathbf{2}$ . Then note that  $\mathbf{2} \simeq \mathbf{1} + \mathbf{1}$ , and that  $\Sigma A$  is the pushout of the span  $\mathbf{1} \leftarrow A \rightarrow \mathbf{1}$ . So we have a diagram

$$\begin{array}{ccccc}
 \mathbf{1} + \mathbf{1} & \longrightarrow & \mathbf{1} & & \\
 \downarrow & & \downarrow & & \\
 \mathbf{1} & \longrightarrow & S^1 & \longrightarrow & \mathbf{1} \\
 & & \downarrow & & \downarrow \\
 & & \mathbf{1} & \longrightarrow & S^2
 \end{array}$$

where both squares are pushouts. And, since the coproduct is the colimit of the discrete diagram with two items, we can write

$$\begin{array}{ccccc}
 & \mathbf{1} & & & \\
 & \downarrow & & & \\
 \mathbf{1} & \longrightarrow & \mathbf{2} & \longrightarrow & \mathbf{1} \\
 & & \downarrow & & \downarrow \\
 & & \mathbf{1} & \longrightarrow & S^1 & \longrightarrow & \mathbf{1} \\
 & & & & \downarrow & & \downarrow \\
 & & & & \mathbf{1} & \longrightarrow & S^2
 \end{array}$$

where now every object that isn't a  $\mathbf{1}$  is a colimit.

\*Exercise 7.3 (p. 250) `Inductive W_tree (A : Type) (B : A → Type) : Type :=  
| sup : ∀ a : A, (B a → W_tree A B) → W_tree A B.`

\*Exercise 7.4 (p. 250)

\*Exercise 7.5 (p. 250)

\*Exercise 7.6 (p. 250)

\*Exercise 7.7 (p. 250)

\*Exercise 7.8 (p. 250)

\*Exercise 7.9 (p. 251)

\*Exercise 7.10 (p. 251)

\*Exercise 7.11 (p. 251)

\*Exercise 7.12 (p. 251) Show that  $X \mapsto (\neg\neg X)$  is a modality.

**Solution** We must show that there are

- (i) functions  $\eta_A^{\neg\neg} : A \rightarrow \neg\neg A$  for every type  $A$ ,
- (ii) for every  $A : \mathcal{U}$  and every family  $B : \neg\neg A \rightarrow \mathcal{U}$ , a function

$$\text{ind}_{\neg\neg} : \left( \prod_{a:A} \neg\neg(B(\eta_A^{\neg\neg}(a))) \right) \rightarrow \prod_{z:\neg\neg A} \neg\neg(B(z))$$

- (iii) A path  $\text{ind}_{\neg\neg}(f)(\eta_A^{\neg\neg}(a)) = f(a)$  for each  $f : \prod_{(a:A)} \neg\neg(B(\eta_A^{\neg\neg}(a)))$ , and
- (iv) For any  $z, z' : \neg\neg A$ , the function  $\eta_{z=z'}^{\neg\neg} : (z = z') \rightarrow \neg\neg(z = z')$  is an equivalence.

Some of this has already been done. The functions  $\eta_A^{\neg\neg}$  were given in Exercise 1.12; recall:

$$\eta_A^{\neg\neg} \equiv \lambda a. \lambda f. f(a)$$

Now suppose that we have an element  $f$  of the antecedent of  $\text{ind}_{\neg\neg}$ , and let  $z : \neg\neg A$ . Suppose furthermore that  $g : \neg B(z)$ ; we derive a contradiction. It suffices to construct an element of  $\neg A$ , for then we can apply  $z$  to obtain an element of  $\mathbf{0}$ . So suppose that  $a : A$ . Then

$$f(a) : \neg\neg B(\eta_A^{\neg\neg}(a))$$

but  $\neg X$  is a mere proposition for any type  $X$ , so  $z = \eta_A^{\neg\neg}(a)$ , and transporting gives an element of  $\neg\neg B(z)$ . Applying this to  $g$  gives a contradiction.

For (iii), suppose that  $a : A$  and  $f : \prod_{(a:A)} \neg\neg(B(\eta_A^{\neg\neg}(a)))$ . Then  $f(a) : \neg\neg(B(\eta_A^{\neg\neg}(a)))$ , making this type contractible, giving a canonical path  $\text{ind}_{\neg\neg}(f)(\eta_A^{\neg\neg}(a)) = f(a)$ .

Finally, for (iv), let  $z, z' : \neg\neg A$ . Since  $\neg\neg A$  is a mere proposition, so is  $z = z'$ .  $\neg\neg(z = z')$  is also a mere proposition, so if there is an arrow  $\neg\neg(z = z') \rightarrow (z = z')$ , then it is automatically a quasi-inverse to  $\eta_{z=z'}^{\neg\neg}$ . Such an arrow is immediate from the fact that  $\neg\neg A$  is a mere proposition.

(\*

`Definition eta_nn (A : Type) : A -> ~ ~ A := fun a f => f a.`

```

*)
(*
  Lemma hprop_arrow (A B : Type) : IsHProp B -> IsHProp (A -> B).
  Proof.
  intro HB.
  apply hprop_allpath. intros f g. apply path_forall. intro a. apply HB.
  Defined.
*)
(*
  Lemma hprop_neg {A : Type} : IsHProp (~ A).
  Proof.
  apply hprop_arrow. apply hprop_Empty.
  Defined.
*)
(*
  Definition ind_nn (A : Type) (B : ~ ~ A -> Type) :
  (forall a : A, ~ ~ (B (eta_nn A a))) -> forall z : ~ ~ A, ~ ~ (B z).
  Proof.
  intros f z. intro g.
  apply z. intro a.
  apply ((transport (fun x => ~ ~ (B x))
    (@allpath_hprop - hprop_neg - _)
    (f a)) g).

  Defined.
*)
(*
  Definition ind_nn_compute (A : Type) (B : ~ ~ A -> Type)
  (f : forall a, ~ ~ (B (eta_nn A a))) (a : A) :
  ind_nn A B f (eta_nn A a) = f a.
  Proof.
  apply allpath_hprop.
  Defined.
*)
(*
  Lemma isequiv_hprop (P Q : Type) (HP : IsHProp P) (HQ : IsHProp Q)
  (f : P -> Q) :
  (Q -> P) -> IsEquiv f.
  Proof.
  intro g.
  refine (isequiv_adjointify f g _ _).
  intro q. apply allpath_hprop.
  intro p. apply allpath_hprop.
  Defined.
*)
(*
  Lemma hprop_hprop_path (A : Type) (HA : IsHProp A) (x y : A) : IsHProp (x = y).
  Proof.
  apply hprop_allpath.
  apply set_path2.
  Defined.

```

```

*)
(*
  Definition nn_isequiv_eta_path (A : Type) (z z' : ~ ~ A) :
  IsEquiv (eta_nn (z = z'))).
  Proof.
  apply isequiv_hprop.
  apply hprop_hprop_path. apply hprop_neg. apply hprop_neg.
  intro f. apply hprop_neg.
  Defined.
*)
(*
  Theorem ismodality_DN : IsModality (fun A : Type => ~ ~ A).
  Proof.
  apply (@Build_IsModality (fun A : Type => ~ ~ A)
    eta_nn
    ind_nn
    ind_nn_compute
    nn_isequiv_eta_path).
  Defined.
*)

```

**\*Exercise 7.13 (p. 251)** Let  $P$  be a mere proposition

- (i) Show that  $X \mapsto (P \rightarrow X)$  is a left exact modality.
- (ii) Show that  $X \mapsto (P * X)$  is a left exact modality, where  $*$  denotes the join.

**Solution** A left exact modality is one which preserves pullbacks as well as finite products. That is, if  $A \times_C B$  is a pullback, then so is  $\circ(A \times_C B)$ , which is to say that for all  $X$ ,

$$(X \rightarrow \circ(A \times_C B)) \simeq (X \rightarrow \circ A) \times_{X \rightarrow \circ C} (X \rightarrow \circ B)$$

Likewise, if  $A \times B$  is a product, then so is  $\circ(A \times B)$ ; i.e., for all  $X$  we have

$$(X \rightarrow \circ(A \times B)) \simeq (X \rightarrow \circ A) \times (X \rightarrow \circ B)$$

```

(*
  Definition mod_fun (mod : Type -> Type) '{IsModality mod}
    {A B : Type} (f : A -> B)
  : mod A -> mod B.
  Proof.
  apply modality_ind.
  intro a.
  apply modality_eta.
  apply (f a).
  Defined.
*)
(*
  Lemma mod_fun_eta (mod : Type -> Type) '{IsModality mod} {A B : Type}
    {f : A -> B} :
  (@mod_fun mod _ A B f) o (@modality_eta mod _ A)

```

```

=
(@modality_eta mod _ B) o f.
Proof.
apply path_forall; intro a.
unfold compose, mod_fun.
refine (@modality_ind_compute mod _ _ _ _).
Defined.
*)

(*
Class IsLEModality (mod : Type -> Type) :=
BuildIsLEModality {
  lem_ismodality : IsModality mod ;
  lem_pullbacks : forall (A B C : Type) (f : A -> C) (g : B -> C) X,
    (X -> mod {a : A & {b : B & f a = g b}})
    <~>
    {a : X -> mod A & { b : X -> mod B &
      (mod_fun mod f) o a
      = (mod_fun mod g) o b}} ;
  lem_products : forall (A B X: Type),
    (X -> mod (A * B)) <~> (X -> mod A) * (X -> mod B)
}.
*)

```

(i) Let  $\circ X \equiv (P \rightarrow X)$ , with  $\eta_A^\circ : A \rightarrow \circ A$  given by  $\eta_A^\circ(a) \equiv \lambda p. a$ . To derive the induction principle, suppose that  $A : \mathcal{U}$ ,  $B : \circ A \rightarrow \mathcal{U}$ ,  $f : \prod_{(a:A)} \circ(B(\eta_A^\circ(a)))$ , and  $g : \circ A$ . We need to construct an arrow  $P \rightarrow B(g)$ . So suppose that  $p : P$ , so  $g(p) : A$ . Then  $f(g(p)) : P \rightarrow B(\lambda p'. g(p))$ . But since  $P$  is a mere proposition, by function extensionality  $\lambda p'. g(p) = g$ , and we can transport the output of  $f(g(p))$  to obtain an element of  $B(g)$ , as required.

To demonstrate the computation rule, suppose that  $f : \prod_{(a:A)} P \rightarrow B(\lambda p. a)$  and  $a : A$ . Then  $f(a) : P \rightarrow B(\lambda p. a)$  and  $\text{ind}_{\circ A}(f)(a) : P \rightarrow B(\lambda p. a)$ . So by function extensionality and the fact that  $P$  is a mere proposition,  $\text{ind}_{\circ A}(f)(a) = f(a)$ .

To show that  $\eta_{z=z'}^\circ$  is an equivalence for all  $f, g : \circ A$ , we first need an inverse. Let  $k : P \rightarrow (f = g)$ , and suppose that  $p : P$ . Then  $k(p) : f = g$ , so  $\lambda p. \text{happly}(k(p)) : f \sim g$ . By function extensionality, then, we have  $f = g$ . These are clearly quasi-inverses. If  $q : f = g$ , then we want to show that

$$\text{funext}(\lambda p. \text{happly}(q, p)) = q$$

which follows immediately from the fact that  $\text{funext}$  and  $\text{happly}$  are inverses. For the other direction, suppose that  $k : P \rightarrow (f = g)$ . Then we need to show that

$$\lambda p. \text{funext}(\lambda p'. \text{happly}(k(p'), p')) = k$$

which we do by function extensionality. Supposing that  $p : P$ , we need to show that

$$\lambda p'. \text{happly}(k(p'), p') = \text{happly}(k(p))$$

and this follows from the fact that  $P$  is a mere proposition, just as we showed that  $\lambda p'. g(p) = g$ .

Now, to show that this modality is left exact, note that

$$\begin{aligned}
(X \rightarrow \circ(A \times_C B)) &\equiv (X \rightarrow P \rightarrow A \times_C B) \\
&\simeq ((X \times P) \rightarrow A \times_C B) \\
&\simeq ((X \times P) \rightarrow A) \times_{(X \times P) \rightarrow C} ((X \times P) \rightarrow B) \\
&\simeq (X \rightarrow P \rightarrow A) \times_{X \rightarrow P \rightarrow C} (X \rightarrow P \rightarrow B) \\
&\equiv (X \rightarrow \circ A) \times_{X \rightarrow \circ C} (X \rightarrow \circ B)
\end{aligned}$$



Using the cartesian closure adjunction. Likewise,

$$\begin{aligned}
(X \rightarrow \circ(A \times B)) &\equiv (X \rightarrow P \rightarrow A \times B) \\
&\simeq ((X \times P) \rightarrow (A \times B)) \\
&\simeq ((X \times P) \rightarrow A) \times ((X \times P) \rightarrow B) \\
&\simeq (X \rightarrow P \rightarrow A) \times (X \rightarrow P \rightarrow B) \\
&\equiv (X \rightarrow \circ A) \times (X \rightarrow \circ B)
\end{aligned}$$

By the universal property of the product. So  $\circ$  preserves both pullbacks and finite products.

Coq is having a real hard time with the proof of *coslice\_compose\_aux* below. The right way to do it is to use the functoriality of  $\circ$ , but instead I just did a bunch of path algebra. Maybe I'll go back and do it right one day.

```

(*
  Definition eta_coslice (P : Type) (HP : IsHProp P)
  : forall A : Type, A -> (P -> A)
  := fun A a p => a.
*)

(*
  Definition ind_coslice (P : Type) (HP : IsHProp P)
  : forall (A : Type) (B : (P -> A) -> Type),
    (forall a : A, P -> (B (eta_coslice P HP A a)))
    -> forall z : P -> A, P -> (B z).
  Proof.
  intros A B f g p.
  apply (transport _ (path_forall (fun _ : P => g p) g
    (fun p' : P => ap g (allpath_hprop p p')))).
  apply f. apply p.
  Defined.
*)

(*
  Lemma ind_coslice_compute (P : Type) (HP : IsHProp P)
  : forall A B (f : forall a : A, P -> (B (eta_coslice P HP A a))) a,
    ind_coslice P HP A B f (eta_coslice P HP A a) = f a.
  Proof.
  intros A B f a.
  apply path_forall; intro p.
  unfold ind_coslice.
  unfold eta_coslice.
  etransitivity (transport B
    (path_forall (fun _ : P => a) (fun _ : P => a)
      (fun p' : P => 1))
    (f a p)).
  f_ap. f_ap. apply path_forall; intro p'.
  apply ap_const.
  etransitivity (transport B 1 (f a p)).
  f_ap. apply path_forall.1.
  reflexivity.
  Defined.
*)

(*

```

```

Lemma isequiv_eta_coslice_path (P : Type) (HP : IsHProp P)
: forall A (f g : P -> A),
  IsEquiv (eta_coslice P HP (f = g)).
Proof.
intros.
refine (isequiv_adjointify _ _ _ _).

(* inverse *)
intro H.
apply path_forall; intro p.
apply (apD10 (H p)).

(* retract *)
intro H.
apply path_forall; intro p.
unfold eta_coslice.
transitivity (path_forall f g ((path_forall f g)^-1 (H p))).
apply (ap (path_forall f g)).
simpl. apply path_forall; intro p'.
f_ap. f_ap. apply allpath_hprop.
apply eisretr.

(* section *)
intro q.
change (fun p : P => apD10 (eta_coslice P HP (f = g) q p) p)
  with (apD10 q).
apply eta_path_forall.
Defined.
*)

(*
Definition ismodality_hprop_coslice (P : Type) (HP : IsHProp P)
: IsModality (fun A : Type => P -> A)
:= @Build_IsModality (fun A => P -> A)
  (eta_coslice P HP)
  (ind_coslice P HP)
  (ind_coslice_compute P HP)
  (isequiv_eta_coslice_path P HP).

*)

(*
Lemma isequiv_contr_coslice_fun {A B P : Type} '{Contr P} :
IsEquiv (@mod_fun (fun A => P -> A)
  (ismodality_hprop_coslice P _)
  A B).

Proof.
refine (isequiv_adjointify _ _ _ _).

(* inverse *)
intros f a.
apply f. intro p. apply a. apply Contr0.

(* section *)

```

```

intro f.
unfold mod_fun.
apply path_forall; intro h.
apply path_forall; intro p.
unfold modality_ind. simpl.
unfold eta_coslice, ind_coslice.
refine ((path_forall_1_beta p (fun _ => B) _ _) @ _).
refine ((transport_const _ _) @ _).
f_ap. apply path_forall; intro p'. apply (ap h).
apply allpath_hprop. apply allpath_hprop.

(* retraction *)
intro f.
apply path_forall; intro a.
unfold mod_fun.
unfold modality_ind, modality_eta. simpl.
unfold ind_coslice, eta_coslice.
etransitivity (transport (fun _ : P -> A => B) 1 (f a)).
f_ap. refine (_ @ (path_forall_1 (fun _ => a))).
f_ap. apply path_forall; intro p. apply ap_const.
reflexivity.
Defined.
*)

(*
  Lemma ap11_V {A B : Type} {f g : A -> B} (h : f = g) {x y : A} (p : x = y) :
  ap11 h^ p^ = (ap11 h p)^.
  Proof.
  induction h. induction p. reflexivity.
  Defined.
  *)

(*
  Lemma coslice_compose_aux (A B C P X : Type) (HP : IsHProp P)
    (f : A -> C) (g : B -> C) :
    {h : X * P -> A & {k : X * P -> B & f o h = g o k}} <~>
    {a : X -> P -> A &
    {b : X -> P -> B &
    @mod_fun (fun A0 : Type => P -> A0) (ismodality_hprop_coslice P HP) _ _ f o a
    =
    @mod_fun (fun A0 : Type => P -> A0) (ismodality_hprop_coslice P HP) _ _ g o b
    }}}.
  Proof.
  refine (equiv_functor_sigma' _ _).
  apply equiv_inverse. apply equiv_uncurry. intro h.
  refine (equiv_functor_sigma' _ _).
  apply equiv_inverse. apply equiv_uncurry. intro k.

  refine (equiv_adjointify _ _ _).

  (* forward *)
  intro r.

```

```

unfold mod_fun, modality_ind, modality_eta. simpl.
apply path_forall; intro x.
apply path_forall; intro p.
unfold compose.
unfold ind_coslice, eta_coslice. simpl.
refine ((path_forall_1_beta p (fun _ => C) _ _) @ _).
refine ((apD10
  (ap11 1
    (ap _
      (allpath_hprop (allpath_hprop p p) 1) @
      ap_1 _ _)) _ @ _).

refine (_ @ (path_forall_1_beta p (fun _ => C) _ _)^).
refine (_ @ (apD10
  (ap11 1
    ((ap_1 p _)^ @
      ap (ap _
        (allpath_hprop 1 (allpath_hprop p p))))
    (g (k (x, p))))).
  apply (apD10 r (x, p)).

(* back *)
intro r.
apply path_forall. intro z.
refine ((ap (f o h) (eta_prod z)^) @ _).
unfold compose.
change (f (h (fst z, snd z)))
  with (transport (fun _ : A => C)
    (@idpath _ (h (fst z, snd z)))
    (f (h (fst z, snd z)))).
refine ((apD10
  (ap11 1
    ((ap_1 _ (fun y : P => h (fst z, y)))^ @
      ap (ap _
        (allpath_hprop 1 (allpath_hprop (snd z) (snd z)))))
    _ @ _).
  _ @ _).
refine ((path_forall_1_beta _ _
  (fun p' : P =>
    ap (fun y : P => h (fst z, y)) (allpath_hprop (snd z) p'))
  _)^ @ _).
refine ((apD10 (apD10 r _) _) @ _). clear r.
refine ((path_forall_1_beta (snd z) (fun _ => C) _ _) @ _).
refine ((apD10
  (ap11 1
    (ap11 1 (allpath_hprop (allpath_hprop (snd z) (snd z)) 1) @
      ap_1 _ _))
  _ @ _).
simpl.
refine ((ap (g o k) (eta_prod z)) @ _).
reflexivity.

```

```

(* section *)
intro r.
etransitivity (path_forall _ _ (apD10 r)).
f_ap. apply path_forall. intro x.
etransitivity (path_forall _ _ (apD10 (apD10 r x))).
f_ap. apply path_forall. intro p.
apply moveR_Mp.
refine ((concat_p_pp _ _ _) @ _).
apply moveR_pV. apply moveR_Mp. apply moveR_pM.
refine ((apD10_path_forall _ _ _ (x, p)) @ _).
apply moveR_pM.
change (eta_prod (x, p)) with (@idpath _ (x, p)).
refine ((ap_V _ _) @ _).
refine ((ap inverse (ap_1 _ _)) @ _).
apply moveL_pV. refine ((concat_1p _) @ _).
refine (_ @ (concat_p_pp _ _ _)).
apply concat2.
refine (_ @ (apD10_V _ (f (h (x, p))))).
change (fst (x, p)) with x. change (snd (x, p)) with p.
f_ap. refine (_ @ (ap11_V _ _)).
hott_simpl.
f_ap. refine (_ @ (ap_V _ _)).
f_ap. apply allpath_hprop.
hott_simpl.
apply concat2.
change (fst (x, p)) with x. change (snd (x, p)) with p.
apply whiskerL.
unfold equiv_uncurry, equiv_inverse, modality_eta. simpl.
unfold eta_coslice. reflexivity.

refine (_ @ (apD10_V _ _)).
f_ap. refine (_ @ (ap11_V _ _)).
f_ap. change (snd (x, p)) with p.
refine (_ @ (ap_V (ap (fun y : P => k (x, y)))
    (allpath_hprop 1 (allpath_hprop p p)))).
f_ap. apply allpath_hprop.

apply eta_path_forall.
apply eta_path_forall.

(* retract *)
intro r.
etransitivity (path_forall _ _ (apD10 r)).
f_ap. apply path_forall. intro z.
destruct z as x p.
change (fst (x, p)) with x. change (snd (x, p)) with p.
hott_simpl.

unfold compose.

```

```

repeat (refine ((concat_pp-p - -) @ -)).
apply moveR_Mp. apply moveR_Vp. apply moveR_pM.
transitivity (apD10
  (path_forall
    (ind_coslice P HP A (fun _ : P -> A => C)
      (fun a : A => eta_coslice P HP C (f a))
      (fun y : P => h (x, y)))
    (ind_coslice P HP B (fun _ : P -> B => C)
      (fun a : B => eta_coslice P HP C (g a))
      (fun y : P => k (x, y)))
    (fun p0 : P =>
      path_forall_1_beta p0 (fun _ : A => C)
        (fun p' : P =>
          ap (fun y : P => h (x, y)) (allpath_hprop p0 p'))
          (f (h (x, p0))) @
        (apD10
          (ap11 1
            (ap (ap (fun y : P => h (x, y)))
              (allpath_hprop (allpath_hprop p0 p0) 1) @
              ap_1 p0 (fun y : P => h (x, y))))
            (f (h (x, p0))) @
          ((apD10 r (x, p0) @
            apD10
              (ap11 1
                ((ap_1 p0 (fun y : P => k (x, y)))^ @
                  ap (ap (fun y : P => k (x, y)))
                    (allpath_hprop 1 (allpath_hprop p0 p0))))
                (g (k (x, p0))) @
              (path_forall_1_beta p0 (fun _ : B => C)
                (fun p' : P =>
                  ap (fun y : P => k (x, y)) (allpath_hprop p0 p'))
                  (g (k (x, p0)))^)))))) p).
  f_ap. refine ((apD10_path_forall
    (fun x0 : X =>
      ind_coslice P HP A (fun _ : P -> A => C)
        (fun a : A => eta_coslice P HP C (f a))
        (fun y : P => h (x0, y)))
      - -) @ -).
  f_ap. refine ((apD10_path_forall - - -) @ -).
  refine (_ @ (concat_p_pp - -)).
  apply whiskerL.
  repeat (apply moveL_Mp).
  refine (_ @ (inv_pp - -)^).
  hott_simpl.
  apply concat2.

repeat (refine ((concat_pp-p - -) @ -)).
apply moveR_Vp.
hott_simpl. apply concat2.
apply concat2.

```

```

refine (λ @ (apD10_V - _)).
f_ap. refine (λ @ (ap11_V - _)).
f_ap. refine (λ @ (ap_V - (allpath_hprop 1 (allpath_hprop p p)))).
f_ap. apply allpath_hprop.

reflexivity.

simpl modality_eta. unfold eta_coslice.
refine (λ @ (apD10_V - (g ((equiv_inverse (equiv_uncurry X P B)) k x p)))).
simpl (g ((equiv_inverse (equiv_uncurry X P B)) k x p)).
repeat (f_ap; refine (λ @ (ap11_V - _))).
f_ap. apply allpath_hprop.

reflexivity.

apply eta_path_forall.
Defined.
*)

(*
  Theorem islemodality_hprop_coslice '{Funext}
    (P : Type) (HP : IsHProp P)
  : IsLEModality (fun A : Type => P -> A).
  Proof.
  refine (BuildIsLEModality _ _ _).
  apply (ismodality_hprop_coslice P HP).

  (* preserves pullbacks *)
  intros.
  equiv_via ((X * P) -> {a : A & {b : B & f a = g b}}).
  apply equiv_uncurry.
  transparent assert (Hm : (IsModality (fun A => P -> A))).
    apply ismodality_hprop_coslice. apply HP.
  equiv_via {h : (X * P) -> A &
    {k : (X * P) -> B &
    f o h = g o k}}.
  apply ex2_11.
  apply H.
  apply coslice_compose_aux.

  (* preserves products *)
  intros.
  equiv_via ((X * P) -> A * B). apply equiv_uncurry.
  equiv_via (((X * P) -> A) * ((X * P) -> B)).
  apply equiv_inverse. apply equiv_prod_corect.
  apply equiv_inverse.
  apply equiv_functor_prod'; apply equiv_uncurry.
  Defined.
  *)

  (ii) Suppose now that  $\circ X \equiv P * X$ . That is, suppose that  $\circ X$  is the pushout of the span  $X \xleftarrow{pr_1} X \times P \xrightarrow{pr_2} P$ . This is the higher inductive type presented by

```

- a function  $\text{inl} : X \rightarrow P * X$
- a function  $\text{inr} : P \rightarrow P * X$
- for each  $z : P \times X$  a path  $\text{glue}(z) : \text{inl}(\text{pr}_1(z)) = \text{inr}(\text{pr}_2(z))$

Note that if  $P$  is contractible, then so is  $\circ X$ . This is straightforward: letting  $p$  be the center of  $P$ ,  $\text{inl}(p)$  is the center of  $\circ(X)$ . Using the induction principle for pushouts, we must first show that for all  $p' : P$ ,  $\text{inl}(p) = \text{inl}(p')$ , which follows from  $P$ 's contractibility. Next we need, for any  $x : X$ ,  $\text{inl}(p) = \text{inr}(x)$ . This follows from  $\text{glue}((p, x)) : \text{inl}(p) = \text{inr}(x)$ . Finally, we must show that for all  $(p', x) : P \times X$ ,

$$(\text{inl}(p) = \text{inl}(p')) = (\text{inl}(p) = \text{inr}(x))$$

Which is pretty easy to show by some path algebra.

Now, suppose that  $A : \mathcal{U}$  and  $B : \circ(A) \rightarrow \mathcal{U}$ , and let  $f : \prod_{(a:A)} \circ(B(\eta_A^\circ(a)))$ . We use pushout induction to construct a map  $\prod_{(z:\circ A)} \circ B(z)$ . When  $z \equiv \text{inl}(p)$ ,  $P$  is inhabited, so  $\circ(B(z))$  is contractible and we can return its center. When  $z \equiv \text{inr}(a)$  then  $f(a) : \circ(B(\text{inr}(a)))$  is our element. For the path, suppose that  $(p, x) : P \times X$ . Then  $P$  is contractible, so all of its higher path spaces are trivial and we're done. This gives judgemental computation rule, as well.

Finally, we need to show that  $\eta_{z=z'}^\circ$  is an equivalence for all  $z, z' : \circ A$ . To define an inverse, suppose that  $p : \circ(z = z')$ . If  $p \equiv \text{inl}(p')$ , then  $P$  is contractible and so is  $\circ A$ , so the higher path spaces are trivial and  $z = z'$ . If  $p \equiv \text{inr}(q)$ , then  $q : z = z'$  and we're done. Finally, supposing that  $(p, a) : P \times A$ ,  $P$  is contractible so  $(z = z')$  is as well, so all the paths are trivial. To show that these are quasi-inverses involves some minor path algebra. Thus,  $\circ$  is a modality.

Now, to show that it is left exact.

(\* The main HoTT branch just added this definition today, but I couldn't get it to compile on the first try so I'll just recap it. I should come back and replace this with the real thing. \*)

Module JOINMODALITY.

Definition join (A B : Type) := pushout (@fst A B) (@snd A B).

Definition join\_mod {P : Type} : Type → Type := fun A => join P A.

Definition eta\_hprop\_join {P : Type} (A : Type) : A → join\_mod A := fun a => push (@inr P A a).

Lemma contr\_join (A B : Type) '{Contr A} : Contr (join A B).

Proof.

```

  ∃ (push (inl (center A))).
  intros y. refine (pushout_rect _ _ _ _ y).
  - intros [a | b].
    × apply ap, ap, contr.
    × exact (pp (center A, b)).
  - intros [a b]. cbn.
    refine (_ @ apD (fun a' => pp (a', b)) (contr a) ^).
    refine ((transport_paths_r _ _) @ _).
    refine (_ @ (transport_paths.FlFr (contr a) ^ _)^).
    apply moveL_pM. apply moveL_pM.
    refine (_ @ ap_V _ (contr a) ^).
    refine (_ @ ap (ap (fun x => pushl (x, b))) (inv_V _)^).
    apply moveR_pV. apply moveR_Mp.
    refine ((ap_V _ (contr a) ^)^ @ _).
    refine ((ap (ap (fun x => pushr (x, b))) (inv_V (contr a))) @ _).
    apply moveL_Vp. refine ((concat_pp_p _ _ _) @ _).
    apply moveR_pM. refine ((ap_compose _ _ _) ^ @ _).

```



```

    unfold compose, pushl, pushr. simpl.
    apply moveL_pV. apply concat2. reflexivity.
    refine (_ @ (concat_p1 _)). apply concat2. reflexivity.
    apply ap_const.
Defined.

Definition ind_hprop_join (P : Type) '{IsHProp P}
  (A : Type) (B : (join_mod A) → Type)
  : (∀ a : A, @join_mod P (B (eta_hprop_join A a)))
    → ∀ z : (@join_mod P A), (@join_mod P (B z)).
Proof.
  intro f.
  refine (pushout_rect _ _ _ _).
  intro z. destruct z.
  apply push. left. apply p.
  unfold eta_hprop_join in f.
  apply f.

  intro z. destruct z as (p, a).
  simpl.
  transparent assert (H : (IsHProp (@join_mod P (B (pushr (p, a)))))).
  apply hprop_inhabited_contr. intro b. apply contr_join.
  apply contr_inhabited_hprop. apply IsHProp0. apply p.
  apply allpath_hprop.
Defined.

Lemma ind_hprop_join_compute (P : Type) '{IsHProp P}
  : ∀ A B (f : ∀ a : A, join_mod (B (eta_hprop_join A a))) a,
    ind_hprop_join P A B f (eta_hprop_join A a) = f a.
Proof.
  intros.
  reflexivity.
Defined.

Lemma isequiv_eta_hprop_join_path (P : Type) (HP : IsHProp P)
  : ∀ A (z z' : @join_mod P A), lSEquiv (@eta_hprop_join P (z = z')).
Proof.
  intros.
  refine (isequiv_adjointify _ _ _ _).

  (* inverse *)
  refine (pushout_rect _ _ _ _).
  intro p. destruct p.
  refine (path_contr _ _).
  apply contr_join. apply contr_inhabited_hprop. apply HP. apply p.
  apply p.
  intros w. destruct w as [p q]. simpl.
  refine (path_contr _ _).
  refine (contr_paths_contr _ _).
  apply contr_join. apply contr_inhabited_hprop. apply HP. apply p.
  unfold Sect. refine (pushout_rect _ _ _ _).
  intro p. destruct p. simpl.
  unfold eta_hprop_join.
  refine ((pp ((p, path_contr z z'))) ^ @ _).
  unfold pushl. f_ap.

```

```

unfold eta_hprop_join. reflexivity.
intros w. destruct w as [p q].
refine (path_contr _ _).
refine (contr_paths_contr _ _).
apply contr_join. apply contr_inhabited_hprop. apply HP. apply p.
intros p. reflexivity.
Defined.

(*
  Definition ismodality_hprop_join (P : Type) '{IsHProp P}
  : IsModality (@join_mod P)
  := @Build_IsModality (@join_mod P)
                        (@eta_hprop_join P)
                        (@ind_hprop_join P _)
                        (@ind_hprop_join_compute P _)
                        (@isequiv_eta_hprop_join_path P _).

  *)

(*
  Theorem islemodality_hprop_join (P : Type) '{IsHProp P} :
  IsLEModality (@join_mod P).
  Proof.
  refine (@Build_IsLEModality _ _ _ _).
  apply ismodality_hprop_join. apply IsHProp0.

  (* preserves pullbacks *)
  intros.
  admit.

  (* preserves products *)
  intros.
  refine (equiv_adjointify _ _ _ _).
  intros. split.
  Admitted.
  *)

```

End JOINMODALITY.

\*Exercise 7.14 (p. 251)

\*Exercise 7.15 (p. 251)

## Part II

# Mathematics

## 8 Homotopy theory

## 9 Category theory

Require Import Category.

Local Open Scope morphism\_scope.

Local Open Scope category\_scope.

**\*Exercise 9.1 (p. 334)** For a precategory  $A$  and  $a : A$ , define the slice precategory  $A/a$ . Show that if  $A$  is a category, so is  $A/a$ .

**Solution** For the type of objects  $(A/a)_0$ , take

$$(A/a)_0 := \sum_{b:A} \text{hom}_A(b, a)$$

For  $(b, f), (c, g) : (A/a)$ , a morphism  $h$  is given by the commutative triangle

$$\begin{array}{ccc} b & \xrightarrow{h} & c \\ & \searrow f & \swarrow g \\ & a & \end{array}$$

so

$$\text{hom}_{(A/a)}((b, f), (c, g)) := \sum_{h:\text{hom}_A(b, c)} (f = g \circ h)$$

The identity morphisms  $1_{(b, f)} : \text{hom}_{A/a}((b, f), (b, f))$  are given by  $1_b : \text{hom}_A(b, b)$ , along with the proof that  $f = f \circ 1_b$  from the precategory  $A$ . Composition in  $A/a$  is just composition in  $A$ , along with associativity of composition in  $A$ . Since the unit and composition in  $A/a$  are really just those from  $A$  with some contractible data added, axioms (v) and (vi) follow directly.

The interesting bit is to show that if  $A$  is a category, then so is  $A/a$ . So suppose that there is an equivalence

$$\text{idtoiso}_A : (a =_A b) \simeq (a \cong_A b)$$

We want to construct a map

$$((b, f) \cong_{A/a} (c, g)) \rightarrow ((b, f) =_{A/a} (c, g))$$

that is a quasi-inverse to  $\text{idtoiso}_{A/a}$ . So suppose that  $i : ((b, f) \cong (c, g))$ . Then  $\text{pr}_1(i) : \text{hom}_A(b, c)$  is also iso, with inverse  $\text{pr}_1(i^{-1}) : \text{hom}_A(c, b)$ . Since  $\text{idtoiso}_A$  is an equivalence, we obtain an element  $\text{isotoid}_A(\text{pr}_1(i)) : b =_A c$ . So now we need to show that

$$\text{transport}^{\text{hom}_A(-, a)}(\text{isotoid}_A(\text{pr}_1(i)), f) = g = f \circ \text{pr}_1(i^{-1})$$

by the fact that  $i$  is iso. By Lemma 9.1.9, we can rewrite the left as

$$f \circ \text{idtoiso}_A(\text{isotoid}_A(\text{pr}_1(i)))^{-1} = f \circ \text{pr}_1(i^{-1})$$

and this follows from  $\text{pr}_1(i)^{-1} = \text{pr}_1(i^{-1})$  and  $\text{idtoiso} \circ \text{isotoid} \sim \text{id}$ .

To show that this is a quasi-inverse, suppose that  $i : ((b, f) \cong (c, g))$ , and let  $F(i) : ((b, f) = (c, g))$  be the map we just constructed. We want to show that  $\text{idtoiso}_{A/a}(F(i)) = i$ . An isomorphism is determined by its underlying map, and an arrow in  $A/a$  is determined by the underlying arrow in  $A$ , so we just need to show that  $\text{pr}_1(\text{idtoiso}_{A/a}(F(i))) = \text{pr}_1(i)$ .

```
Module MY_SLICE.

Section my_slice_parts.
Variable A : PreCategory.
Variable a : A.

Record object :=
  {b : A;
   f : morphism A b a}.

Local Notation object_sig_T := ({b : A | morphism A b a}).

Lemma issig_object : object_sig_T ≃ object.
Proof.
  issig (@Build_object) (@b) (@f).
Defined.

Lemma path_object (bf cg : object)
: ∀ (eq : bf.(b) = cg.(b)) ,
  transport (fun X ⇒ morphism A X _) eq bf.(f) = cg.(f)
  → bf = cg.
Proof.
  destruct bf, cg; simpl.
  intros; path_induction; reflexivity.
Defined.

Definition path_object_uncurried (bf cg : object)
: {eq : bf.(b) = cg.(b) &
   transport (fun X ⇒ morphism A X _) eq bf.(f) = cg.(f)}
→ bf = cg
:= fun H ⇒ path_object bf cg H.1 H.2.

Record morphism (bf cg : object) :=
  {h : Category.Core.morphism A (bf.(b)) (cg.(b));
   p : (bf.(f)) = (cg.(f)) ∘ h}.

Local Notation morphism_sig_T bf cg :=
  ({ h : Category.Core.morphism A (bf.(b)) (cg.(b))
    | (bf.(f)) = (cg.(f)) ∘ h}).

Lemma issig_morphism bf cg : (morphism_sig_T bf cg) ≃ morphism bf cg.
Proof.
  issig (@Build_morphism bf cg)
    (h bf cg)
    (p bf cg).
Defined.

Lemma path_morphism bf cg (ip jq : morphism bf cg)
: (h _ _ ip) = (h _ _ jq) → ip = jq.
Proof.
  destruct ip, jq; simpl.
  intro; path_induction.
  f_ap.
```

```

    exact (center _).
Defined.
Definition compose bf cg dh
  (ip : morphism cg dh) (jq : morphism bf cg)
  : morphism bf dh.
Proof.
   $\exists ((h \_ \_ ip) \circ (h \_ \_ jq)).$ 
  refine (_ @ (associativity _ _ _ _ _)).
  transitivity (f cg o (h _ _ jq)).
  apply p.f_ap. apply p.
Defined.
Definition identity x : morphism x x.
Proof.
   $\exists (\text{identity } (x.(b))).$ 
  apply (right_identity _ _ _)^.
Defined.
End my_slice_parts.
Local Ltac path_slice_t :=
  intros;
  apply path_morphism;
  simpl;
  auto with morphism.
Definition slice_precategory (A : PreCategory) (a : A) : PreCategory.
Proof.
  refine (@Build_PreCategory (object A a)
    (morphism A a)
    (identity A a)
    (compose A a)
    _ _ _);
  try path_slice_t.
  intros s d. refine (trunc_equiv (issig_morphism A a s d)).
Defined.
Lemma sliceiso_to_iso (A : PreCategory) (a : A)
  (bf cg : slice_precategory A a) :
  (bf  $\cong$  cg)  $\rightarrow ((b \_ \_ bf) \cong (b \_ \_ cg))$ .
Proof.
  intro H.
  destruct bf as [b f], cg as [c g].
  destruct H as [i H].
  destruct i as [i eq].
  apply issig_isomorphic.
   $\exists i$ .
  destruct H as [ii ri li].
  destruct ii as [ii eq'].
  refine (@Category.Build_IsIsomorphism _ _ _ i _ _).
  apply ii.
  simpl in *. apply (ap (h _ _ _)) in ri. apply ri.
  simpl in *. apply (ap (h _ _ _)) in li. apply li.
Defined.

```



```

path_induction. simpl in *.
Admitted.
*)

```

End MY\_SLICE.

**\*Exercise 9.2 (p. 334)** For any set  $X$ , prove that the slice category  $\mathcal{Set}/X$  is equivalent to the functor category  $\mathcal{Set}^X$ , where in the latter case we regard  $X$  as a discrete category.

**Solution** Because  $\mathcal{Set}$  is a category, so are  $\mathcal{Set}/X$  (by the previous exercise) and  $\mathcal{Set}^X$  (by Theorem 9.2.5). So it suffices to show that there is an isomorphism of categories  $F : \mathcal{Set}/X \rightarrow \mathcal{Set}^X$ . Define  $F(f) := \text{fib}_f(-)$  and

$$F(h) := (a, p) \mapsto (\text{pr}_1(h)(a), \text{happly}(\text{pr}_2(h)^{-1}, a) \cdot p)$$

for  $f : \mathcal{Set}/X$  and  $h : \text{hom}_{\mathcal{Set}/X}(f, g)$ . In topological terms, the bundle  $f : A \rightarrow X$  gets sent to its fiber map, and the bundle map  $h$  gets sent to the pullback.

To show that  $F_0 : (\mathcal{Set}/X)_0 \rightarrow (\mathcal{Set}^X)_0$  is an equivalence of types, we need a quasi-inverse. Suppose that  $G : \mathcal{Set}^X$ , and define  $F_0^{-1}(G) := (\sum_{(x:X)} G(x), \text{pr}_1) : \mathcal{Set}/X$ . That is, we take the disjoint union of all the fibers to reconstruct the bundle. Now to show that this is a quasi-inverse. Suppose that  $(A, f) : \mathcal{Set}/X$ . Then

$$F^{-1}(F(A, f)) \equiv \left( \sum_{(x:X)} \sum_{(a:A)} (f(a) = x), \text{pr}_1 \right)$$

Now, we have

$$e : \sum_{(x:X)} \sum_{(a:A)} (f(a) = x) \simeq \sum_{(a:A)} \sum_{(x:X)} (f(a) = x) \simeq \sum_{a:A} \mathbf{1} \simeq A$$

So  $\text{ua}(e) : \text{pr}_1(F^{-1}(F(A, f))) = A$ . Now we must show

$$\text{transport}^{\text{hom}_{\mathcal{Set}}(-, X)}(\text{ua}(e), \text{pr}_1) = f$$

Suppose that  $a : A$ . Applying the left side to  $a$ , we get

$$\begin{aligned}
(\text{transport}^{\text{hom}_{\mathcal{Set}}(-, X)}(\text{ua}(e), \text{pr}_1))(a) &= \text{transport}^X(\text{ua}(e), \text{pr}_1(\text{transport}^{X \rightarrow X}(\text{ua}(e)^{-1}, a))) \\
&= \text{pr}_1(\text{transport}^{X \rightarrow X}(\text{ua}(e)^{-1}, a)) \\
&= \text{pr}_1(e^{-1}(a)) \\
&= \text{pr}_1(f(a), (a, \text{refl}_{f(a)})) \\
&= f(a)
\end{aligned}$$

So by function extensionality, our second components are equal, and  $F^{-1}(F(A, f)) = (A, f)$ .

Suppose instead that  $G : \mathcal{Set}^X$ . Then

$$F(F^{-1}(G)) \equiv \text{fib}_{\text{pr}_1}(-)$$

which we must show is equal to  $G$ . Identity of functors is determined by identity of the functions  $X \rightarrow \mathcal{Set}$  and the corresponding functions on hom-sets. For the first, by function extensionality it suffices to show that

$$G(x) = \sum_{z : \sum_{(x:X)} G(x)} (\text{pr}_1(z) = x)$$

for any  $x : X$ . Any by univalence, it suffices to show that

$$G(x) \simeq \sum_{z : \sum_{(x' : X)} G(x')} (\text{pr}_1(z) = x)$$

which is true:

$$\begin{aligned} \sum_{z : \sum_{(x' : X)} G(x')} (\text{pr}_1(z) = x) &\simeq \sum_{(x' : X)} \sum_{(g' : G(x'))} (x' = x) \\ &\simeq \sum_{(x' : X)} \sum_{(g : G(x))} (x' = x) \\ &\simeq \sum_{(g : G(x))} \sum_{(x' : X)} (x' = x) \\ &\simeq \sum_{g : G(x)} \mathbf{1} \\ &\simeq G(x) \end{aligned}$$

For the function on the hom-set, we again use function extensionality. Let  $h : \prod_{(x : X)} \text{fib}_{\text{pr}_1}(x) = G(x)$  be the path we just constructed, and let  $x, x' : X$ . We need to show that

$$(h(x), h(x'))_* \text{fib}_{\text{pr}_1}(-) = G : \text{hom}_X(x, x') \rightarrow \text{hom}_{\text{Set}}(G(x), G(x'))$$

Since  $X$  is a discrete category, we have  $\text{hom}_X(x, x') \equiv (x = x')$ . By function extensionality, it suffices to show that for any  $p : x = x'$ ,

$$(h(x), h(x'))_* \text{fib}_{\text{pr}_1}(p) = G(p)$$

By path induction, it suffices to consider the case where  $x \equiv x'$  and  $p \equiv \text{refl}_x$ . Then we have

$$(h(x), h(x))_* \text{fib}_{\text{pr}_1}(\text{refl}_x) = (h(x), h(x))_* \mathbf{1} = \text{idtoiso}(h(x)) \circ \mathbf{1} \circ \text{idtoiso}(h(x))^{-1} = \mathbf{1} = G(\text{refl}_x)$$

So  $F(F^{-1}(G)) = G$ . Thus  $F$  and  $F^{-1}$  are quasi-inverses, so

$$F : \text{Set}/X \simeq \text{Set}^X$$

**\*Exercise 9.3 (p. 334)** Prove that a functor is an equivalence of categories if and only if it is a *right* adjoint whose unit and counit are isomorphisms.

**Solution** A functor  $F : A \rightarrow B$  is a right adjoint if there exist

- A functor  $G : B \rightarrow A$ ,
- A natural transformation  $\epsilon : GF \rightarrow 1_A$
- A natural transformation  $\eta : 1_B \rightarrow FG$
- $(F\epsilon)(\eta F) = 1_F$
- $(\epsilon G)(G\eta) = 1_G$

Suppose that  $F : A \simeq B$ . Then it is a left adjoint, so we have a functor  $G : B \rightarrow A$ , a unit  $\eta : 1_A \rightarrow GF$ , a counit  $\epsilon : FG \rightarrow 1_B$ , and the triangle identities  $(\epsilon F)(F\eta) = 1_F$  and  $(G\epsilon)(\eta G) = 1_G$ . Furthermore,  $\eta : 1_A \cong GF$  and  $\epsilon : FG \cong 1_B$ . Thus there are natural transformations  $\eta^{-1} : GF \rightarrow 1_A$  and  $\epsilon^{-1} : 1_B \rightarrow FG$ , and we have for all  $a : A$

$$\left( (F\eta)(F\eta^{-1}) \right)_a = (F\eta)_a (F\eta^{-1})_a = F(\eta_a) \circ F(\eta_a^{-1}) = F(\eta_a \circ \eta_a^{-1}) = F(1_a) = 1_{Fa} = (1_F)_a$$



and

$$\left( (\epsilon^{-1}F)(\epsilon F) \right)_a = (\epsilon^{-1}F)_a(\epsilon F)_a = \epsilon_{Fa}^{-1} \circ \epsilon_{Fa} = 1_{Fa} = (1_F)_a$$

So by function extensionality  $(F\eta)(F\eta^{-1}) = 1_F$  and  $(\epsilon^{-1}F)(\epsilon F) = 1_F$ . Thus

$$\begin{aligned} (F\eta^{-1})(\epsilon^{-1}F) &= (\epsilon F)(F\eta)(F\eta^{-1})(\epsilon^{-1}F)(\epsilon F)(F\eta) = (\epsilon F)(F\eta) = 1_F \\ (\eta^{-1}G)(G\epsilon^{-1}) &= (G\epsilon)(\eta G)(\eta^{-1}G)(G\epsilon^{-1})(G\epsilon)(\eta G) = (G\epsilon)(\eta G) = 1_G \end{aligned}$$

So  $(G, \eta^{-1}, \epsilon^{-1})$  makes  $F$  a right adjoint.

Suppose instead that  $F$  is a right adjoint by  $(G, \epsilon, \eta)$ , and that  $\eta$  and  $\epsilon$  are isomorphisms. To show that it is an equivalence of categories, we have to show that it is a left adjoint with isomorphisms for the unit and counit. We have  $G : B \rightarrow A$ ,  $\epsilon^{-1} : 1_A \rightarrow GF$ , and  $\eta^{-1} : FG \rightarrow 1_B$ , and these natural transformations are isos, meaning that just need to show that the triangle identity holds here. We have

$$\begin{aligned} (\eta^{-1}F)(F\epsilon^{-1}) &= (F\epsilon)(\eta F)(\eta^{-1}F)(F\epsilon^{-1})(F\epsilon)(\eta F) = (F\epsilon)(\eta F) = 1_F \\ (G\eta^{-1})(\epsilon^{-1}G) &= (\epsilon G)(G\eta)(G\eta^{-1})(\epsilon^{-1}G)(\epsilon G)(G\eta) = (\epsilon G)(G\eta) = 1_G \end{aligned}$$

and so  $(G, \eta^{-1}, \epsilon^{-1})$  makes  $F$  a left adjoint, hence an equivalence of categories.

**\*Exercise 9.4 (p. 334)** Define the notion of pre-2-category. Show that precategories, functors, and natural transformations as defined in §9.2 form a pre-2-category. Similarly, define a pre-bicategory by replacing the equalities (such as those in Lemmas 9.2.9 and 9.2.11) with natural isomorphisms satisfying analogous coherence conditions. Define a function from pre-2-categories to pre-bicategories, and show that it becomes an equivalence when restricted and corestricted to those whose hom-precategories are categories.

**Solution** A pre-2-category  $A$  consists of

- (i) A type  $A_0$  of 0-cells
- (ii) For all  $a, b : A$ , a precategory  $C(a, b)$  whose objects are 1-cells and whose morphisms are 2-cells. Composition in this precategory is denoted  $\circ_1$  and called vertical composition.
- (iii) For all  $a : A$ , an object  $1_a : C(a, a)$ .
- (iv) For all  $a, b, c : A$ , a functor  $\circ_0 : C(b, c) \rightarrow C(a, b) \rightarrow C(a, c)$  called horizontal composition, which is associative and takes  $1_A$  and  $1_{1_A}$  as identities, for which the analogues of Lemmata 9.2.10 and 9.2.11 hold.

To check that precategories, functors, and natural transformations form a pre-2-category, let precategories form the type of 0-cells. To each pair of precategories  $A, B$ , we have the functor precategory  $B^A$ , given by definition 9.2.3. For all precategories  $A$ , let  $1_A : A^A$  be the identity functor. For horizontal composition we have composition of functors, which is associative by Lemma 9.2.9 and takes  $1_A$  as an identity by Lemma 9.2.11. So we're done.

A pre-bicategory  $A$  consists of

- (i) A type  $A_0$  of 0-cells
- (ii) For all  $a, b : A$ , a precategory  $C(a, b)$  whose objects are 1-cells and whose morphisms are 2-cells. Composition in this precategory is denoted  $\circ_1$  and called vertical composition.
- (iii) For all  $a : A$ , an object  $1_a : C(a, a)$ .
- (iv) For all  $a, b, c : A$ , a functor  $\circ_0 : C(b, c) \rightarrow C(a, b) \rightarrow C(a, c)$  called horizontal composition.
- (v) For all  $a, b : A$  and  $f : C(a, b)$ , isomorphisms  $\rho_f : f \circ_0 1_a \cong f$  and  $\lambda_f : 1_b \circ_0 f \cong f$ .
- (vi) For all  $a, b, c, d : A$ ,  $f : C(a, b)$ ,  $g : C(b, c)$ , and  $h : C(c, d)$ , an isomorphism  $\alpha_{h,g,f} : (h \circ_0 g) \circ_0 f \cong h \circ_0 (g \circ_0 f)$ .

(vii) Mac Lane's pentagon commutes. For all  $a, b, c, d, e : A$   $f : C(a, b)$ ,  $g : C(b, c)$ ,  $h : C(c, d)$ , and  $i : C(d, e)$ ,

$$\begin{array}{ccccc}
 ((i \circ_0 h) \circ_0 g) \circ_0 f & \xrightarrow{\alpha_{i,h,g} \circ_0 1_f} & (i \circ_0 (h \circ_0 g)) \circ_0 f & \xrightarrow{\alpha_{i,h \circ_0 g, f}} & i \circ_0 ((h \circ_0 g) \circ_0 f) \\
 \downarrow \alpha_{i \circ_0 h, g, f} & & & & \downarrow 1_i \circ_0 \alpha_{h, g, f} \\
 (i \circ_0 h) \circ_0 (h \circ_0 f) & \xrightarrow{\alpha_{i, h, g \circ_0 f}} & i \circ_0 (h \circ_0 (g \circ_0 f)) & & 
 \end{array}$$

(viii) Identity and associativity commute:

$$\begin{array}{ccc}
 (g \circ_0 1_b) \circ_0 f & \xrightarrow{\alpha_{g, 1_b, f}} & g \circ_0 (1_b \circ_0 f) \\
 \searrow \rho_g \circ_0 1_f & & \swarrow 1_b \circ_0 \lambda_f \\
 & g \circ_0 f & 
 \end{array}$$

The last two conditions can also be seen as Lemmata 9.2.10 and 9.2.11 with the equalities replaced by isomorphisms.

Given a pre-2-category  $A$ , we can obtain a pre-bicategory using  $\text{idtoiso}$ . The type of 0-cells is the same, as are the precategories  $C(a, b)$ , the identities  $1_a$ , and the functor  $\circ_0$ . For a pre-2-category we have  $\bar{\rho}_f : f \circ_0 1_a = f$  and  $\bar{\lambda}_f : 1_b \circ_0 f = f$ , so  $\rho_f := \text{idtoiso}(\bar{\rho}_f) : f \circ_0 1_a \cong f$  and  $\lambda_f := \text{idtoiso}(\bar{\lambda}_f) : 1_b \circ_0 f \cong f$ . Similarly, we have  $\bar{\alpha}_{h,g,f} : (h \circ_0 g) \circ_0 f = h \circ_0 (g \circ_0 f)$  and  $\alpha_{h,g,f} := \text{idtoiso}(\bar{\alpha}_{h,g,f}) : (h \circ_0 g) \circ_0 f \cong h \circ_0 (g \circ_0 f)$ . The coherence conditions follow from Lemmata 9.2.10 and 9.2.11; since  $\text{idtoiso}(p \cdot q) = \text{idtoiso}(q) \circ \text{idtoiso}(p)$ , applying  $\text{idtoiso}$  everywhere in these lemmata give the commuting pentagon and triangle.

Finally, restrict and corestrict to pre-2-categories and pre-bicategories whose hom-precategories are categories. We need to construct a quasi-inverse to the map just given, which will basically be systematic application of  $\text{isotoid}$ . The type of 0-cells,  $C(a, b)$ ,  $1_a$ , and  $\circ_0$  remain the same. From (v) we have  $\rho_f : f \circ_0 1_a \cong f$ , hence  $\bar{\rho}_f := \text{isotoid}(\rho_f) : f \circ_0 1_a = f$ , and  $\lambda_f : 1_b \circ_0 f \cong f$ , hence  $\bar{\lambda}_f := \text{isotoid}(\lambda_f) : 1_b \circ_0 f = f$ . Applying  $\text{isotoid}$  to everything in sight gives the rest of condition (iv) on pre-2-categories.

These two processes are clearly quasi-inverses, which follows from the fact that  $\text{idtoiso}$  is an equivalence.

**\*Exercise 9.5 (p. 334)** Define a 2-category to be a pre-2-category satisfying a condition analogous to that of Definition 9.1.6. Verify that the pre-2-category of categories  $\mathcal{Cat}$  is a 2-category. How much of this chapter can be done internally to an arbitrary 2-category?

**\*Exercise 9.6 (p. 334)**

**\*Exercise 9.7 (p. 334)**

**\*Exercise 9.8 (p. 334)**

**\*Exercise 9.9 (p. 334)** Prove that a function  $X \rightarrow Y$  is an equivalence if and only if its image in the homotopy category of Example 9.9.7 is an isomorphism. Show that the type of objects of this category is  $\|\mathcal{U}\|_1$ .

**\*Exercise 9.10 (p. 335)**

**\*Exercise 9.11 (p. 335)**

**\*Exercise 9.12 (p. 335)** Let  $X$  and  $Y$  be sets and  $p : Y \rightarrow X$  a surjection

- (i) Define, for any precategory  $A$ , the category  $\text{Desc}(A, p)$  of descent data in  $A$  relative to  $p$ .
- (ii) Show that any precategory  $A$  is a prestack for  $p$ , i.e. the canonical functor  $A^X \rightarrow \text{Desc}(A, p)$  is fully faithful.
- (iii) Show that if  $A$  is a category, then it is a stack for  $p$ , i.e.  $A^X \rightarrow \text{Desc}(A, p)$  is an equivalence.
- (iv) Show that the statement “every strict category is a stack for every surjection of sets” is equivalent to the axiom of choice.

## 10 Set theory

**\*Exercise 10.1 (p. 364)**

**\*Exercise 10.2 (p. 364)** Show that if every surjection has a section in the category  $\text{Set}$ , then the axiom of choice holds.

**\*Exercise 10.3 (p. 364)**

**Exercise 10.4 (p. 365)** Prove that if  $(A, <_A)$  and  $(B, <_B)$  are well-founded, extensional, or ordinals, then so is  $A + B$ , with  $<$  defined by

$$\begin{aligned} (a < a') &::= (a <_A a') && \text{for } a, a' : A \\ (b < b') &::= (b <_B b') && \text{for } b, b' : B \\ (a < b) &::= \mathbf{1} && \text{for } a : A, b : B \\ (b < a) &::= \mathbf{0} && \text{for } a : A, b : B \end{aligned}$$

**Solution** (i) Suppose that  $(A, <_A)$  and  $(B, <_B)$  are well-founded. To show that  $(A + B, <)$  is well-founded, we need to show that  $\text{acc}(z)$  for all  $z : A + B$ .

Note first that for any  $a : A$ ,  $\text{acc}(\text{inl}(a))$ , which we show by well-founded induction on  $A$ . For  $a : A$  the inductive hypothesis says that for any  $a' <_A a$  we have  $\text{acc}(\text{inl}(a'))$ . So we must show that  $\text{acc}(\text{inl}(a))$ . It suffices to show that for all  $z' < \text{inl}(a)$ ,  $\text{acc}(z')$ . There are two cases: if  $z' \equiv \text{inl}(a')$ , then the induction hypothesis gives  $\text{acc}(z')$ ; if  $z' \equiv \text{inr}(b')$ , then  $z' < \text{inl}(a)$  is a contradiction.

Likewise, well-founded induction on  $B$  shows that for all  $b : B$ ,  $\text{acc}(\text{inr}(b))$ . The induction hypothesis says that for any  $b' <_B b$  we have  $\text{acc}(\text{inr}(b'))$ , and we must show  $\text{acc}(\text{inr}(b))$ . It suffices to show that for all  $z' < \text{inr}(b)$  we have  $\text{acc}(z')$ . Again, there are two cases. If  $z' \equiv \text{inl}(a')$  then we have  $\text{acc}(z')$  by the argument of the previous paragraph. If  $z' \equiv \text{inr}(b')$ , then we have  $\text{acc}(z')$  by the induction hypothesis.

Now, to show that  $(A + B, <)$  is a well-founded, suppose that  $z : A + B$ ; then  $\text{acc}(z)$ . For if  $z \equiv \text{inl}(a)$ , then the first argument above gives  $\text{acc}(z)$ ; if instead  $z \equiv \text{inr}(b)$ , then the second argument gives  $\text{acc}(z)$ .

(ii) Suppose that  $(A, <_A)$  and  $(B, <_B)$  are extensional, and  $z, z' : A + B$ . To show that  $(A + B, <)$  is extensional, suppose that  $\forall (z'' : A + B). (z'' < z) \Leftrightarrow (z'' < z')$ . We must show that  $z = z'$ . There are four cases.

- $z \equiv \text{inl}(a)$ ,  $z' \equiv \text{inl}(a')$ . Then  $z = z'$  is equivalent to  $a = a'$ , and the hypothesis reduces to  $\forall (a'' : A). (a'' <_A a) \Leftrightarrow (a'' <_A a')$ . By the extensionality of  $<_A$  it follows that  $a = a'$ .
- $z \equiv \text{inl}(a)$ ,  $z' \equiv \text{inr}(b')$ . Then by the hypothesis we have  $(a <_A a) \Leftrightarrow \mathbf{1}$ , which is equivalent to  $(a <_A a)$ . But  $<_A$  is well-founded, hence irreflexive, so this is a contradiction.
- $z \equiv \text{inr}(b)$ ,  $z' \equiv \text{inl}(a')$ . This case goes as the previous one.

- $z \equiv \text{inr}(b)$ ,  $z' \equiv \text{inr}(b')$ . This case goes as the first.

So  $<$  is extensional.

(iii) Suppose that  $A$  and  $B$  are ordinals. We must show that  $<$  is transitive, so suppose that  $z, z', z'' : A + B$ . There are 8 cases, in which  $(z, z', z'')$  are

- $(\text{inl}(a), \text{inl}(a'), \text{inl}(a''))$ . Then the statement reduces to transitivity of  $<_A$ .
- $(\text{inl}(a), \text{inl}(a'), \text{inr}(b''))$ . Then the statement reduces to  $a <_A a' \rightarrow a <_A a'$ , which is trivial.
- $(\text{inl}(a), \text{inr}(b'), \text{inl}(a''))$ . Then the second hypothesis is a contradiction.
- $(\text{inl}(a), \text{inr}(b'), \text{inr}(b''))$ . Then the statement reduces to  $b' <_B b'' \rightarrow b' <_B b''$ , again trivial.
- $(\text{inr}(b), \text{inl}(a'), \text{inl}(a''))$ . Then the first hypothesis is a contradiction.
- $(\text{inr}(b), \text{inl}(a'), \text{inr}(b''))$ . Then the first hypothesis is a contradiction.
- $(\text{inr}(b), \text{inr}(b'), \text{inl}(a''))$ . Then the second hypothesis is a contradiction.
- $(\text{inr}(b), \text{inr}(b'), \text{inr}(b''))$ . Then the statement reduces to transitivity of  $<_B$ .

So  $A + B$  is an ordinal.

```
Inductive acc {A : hSet} {L : A → A → hProp} : A → Type :=
| accL : ∀ a : A, (∀ b : A, (L b a) → acc b) → acc a.
```

```
Lemma hprop_acc '{Funext} {A : hSet} {L : A → A → hProp}
: ∀ a, IsHProp (@acc L a).
```

Proof.

```
intro a. apply hprop_allpath. intros s1 s2.
induction s1 as [a1 h1 k1].
induction s2 as [a2 h2 k2]. apply (ap (accL a2)).
apply path_forall; intro b. apply path_forall; intro l.
apply k1.
```

Defined.

```
Definition well_founded {A : hSet} (L : A → A → hProp) :=
  ∀ a : A, @acc L a.
```

```
Lemma hprop_wf '{Funext} {A : hSet} (L : A → A → hProp)
: IsHProp (well_founded L).
```

Proof.

```
apply hprop_dependent. apply hprop_acc.
```

Defined.

```
Lemma wf_irreflexive {A : hSet} (L : A → A → hProp) (HL : well_founded L)
: ∀ a : A, ¬ (L a a).
```

Proof.

```
intro a. induction (HL a) as [a f g].
intro H. contradiction (g a H).
```

Defined.

```
Definition set_sum (A B : hSet) := default_HSet (A + B) hset_sum.
```

(\* This is misdefined in HoTT/HoTT \*)

```
Definition False_hp : hProp := (hp Empty _).
```

```
Definition sum_order {A B : hSet} (LA : A → A → hProp)
(LB : B → B → hProp) (z z' : set_sum A B)
```

```
: hProp
```

```
:= match z with
```

```
| inl a ⇒ match z' with
```

```

      | inl a' ⇒ LA a a'
      | inr b' ⇒ Unit_hp
    end
  | inr b ⇒ match z' with
      | inl a' ⇒ False_hp
      | inr b' ⇒ LB b b'
    end
end.

```

**Lemma** `sum_order_wf` {A B : hSet} (LA : A → A → hProp) (LB : B → B → hProp)  
 : (well\_founded LA) → (well\_founded LB) → well\_founded (sum\_order LA LB).

**Proof.**

```

intros HLA HLB.
transparent assert (HA : (
  ∀ a : A, @acc A LA a → @acc _ (sum_order LA LB) (inl a)
)).
intros a s. induction s as [a f g]. constructor.
intros z' L. destruct z' as [a' | b']; simpl in *.
apply g. apply L.
contradiction.

transparent assert (HB : (
  ∀ b : B, @acc B LB b → @acc _ (sum_order LA LB) (inr b)
)).
intros b s. induction s as [b f g]. constructor.
intros z' L. destruct z' as [a' | b']; simpl in *.
apply HA. apply HLA.
apply g. apply L.

intro z. destruct z as [a | b].
apply HA. apply HLA.
apply HB. apply HLB.

```

**Defined.**

**Definition** `extensional` {A : hSet} (L : A → A → hProp) {HL : well\_founded L}  
 := ∀ a a', (∀ c, (L c a) ↔ (L c a')) → (a = a').

**Lemma** `hprop_extensional` ' {Funext} (A : hSet) (L : A → A → hProp)  
 (HL : well\_founded L)  
 : IsHProp (@extensional A L HL).

**Proof.**

```

apply hprop_dependent; intro a.
apply hprop_dependent; intro b.
apply hprop_dependent. intro f. apply hprop_allpath. apply set_path2.

```

**Defined.**

**Lemma** `sum_order_ext` {A B : hSet} (LA : A → A → hProp) (LB : B → B → hProp)  
 (HwfA : well\_founded LA) (HwfB : well\_founded LB)  
 : (@extensional A LA HwfA)  
 → (@extensional B LB HwfB)  
 → @extensional \_ (sum\_order LA LB) (sum\_order\_wf LA LB HwfA HwfB).

**Proof.**

```

intros HeA HeB.
intros z z' Heq. destruct z as [a | b], z' as [a' | b']; apply path_sum.
apply HeA. intro a''. apply (Heq (inl a'')).
apply (wf_irreflexive LA HwfA a), ((snd (Heq (inl a))) tt).

```

```

    apply (wf_irreflexive LA HwfA a'), ((fst (Heq (inl a')))) tt).
    apply HeB. intro b''. apply (Heq (inr b'')).
Defined.
Class Ord
:= BuildOrd {
  o_set :> hSet ;
  o_rel :> o_set → o_set → hProp ;
  o_wf :> @well_founded o_set o_rel ;
  o_ext :> @extensional o_set o_rel o_wf ;
  o_trans : ∀ a b c : o_set, (o_rel a b) → (o_rel b c) → (o_rel a c)
}.

```

**Definition** `ord_sum` ( $A B : \text{Ord}$ ) : `Ord`.

**Proof.**

```

destruct A as [A LA LAw LAe LAf], B as [B LB LBw LBe LBf].
refine (BuildOrd (set_sum A B)
  (sum_order LA LB)
  (sum_order_wf LA LB LAw LBw)
  (sum_order_ext LA LB LAw LBw LAe LBe)
  -).
intros z z' z'' Hzz' Hz'z''.
destruct z as [a | b], z' as [a' | b'], z'' as [a'' | b'']; simpl in *.
apply (LAf a a' a'' Hzz' Hz'z'').
apply Hz'z''. contradiction. apply Hzz'.
contradiction. contradiction. contradiction.
apply (LBf b b' b'' Hzz' Hz'z'').

```

**Defined.**

**\*Exercise 10.5 (p. 365)** Prove that if  $(A, <_A)$  and  $(B, <_B)$  are well-founded, extensional, or ordinals, then so is  $A \times B$ , with  $<$  defined by

$$((a, b) < (a', b')) :\equiv (a <_A a') \vee ((a = a') \wedge (b <_B b')).$$

**Solution** (i) Suppose that  $<_A$  and  $<_B$  are well-founded. As in the previous exercise, we do nested well-founded induction. First let  $a : A$ ; we show that  $b < b'$  implies  $(a, b) < (a, b')$  for all  $b, b' : B$  by double well-founded induction on  $B$ . So suppose that  $b, b' : B$  and  $s : \text{acc}(b)$  and  $s' : \text{acc}(b')$ .

**Definition** `set_prod` ( $A B : \text{hSet}$ ) := `default_HSet` ( $A \times B$ ) (`hset_prod`  $A$   $B$  `_`).

**Definition** `lexical_order`  $\{A B : \text{hSet}\}$  ( $LA : A \rightarrow A \rightarrow \text{hProp}$ )

```

  (LB : B → B → hProp) (z z' : set_prod A B)
: hProp
:= match z with
| (a, b) => match z' with
| (a', b') => hp (Brck ((LA a a')
  +
  ((a = a') × (LB b b')))) -
end
end.

```

**Lemma** `lexical_order_wf` '{`Funext`}  $\{A B : \text{hSet}\}$

```

  (LA : A → A → hProp) (LB : B → B → hProp)
: (well_founded LA) → (well_founded LB) → well_founded (lexical_order LA LB).

```

**Proof.**

```

transparent assert (HB : (
  ∀ b, @acc - LB b → ∀ b', @acc - LB b' → ∀ a,
    @acc - (lexical_order LA LB) (a, b) → @acc - (lexical_order LA LB) (a, b')
)).
intros b s b' s' a Ha.
induction s as [b f g], s' as [b' f' g'], Ha as [z F G].
destruct z as [a'' b'']. simpl in *.
Admitted.

```

**\*Exercise 10.6 (p. 365)** Define the usual algebraic operations on ordinals, and prove that they satisfy the usual properties.

**\*Exercise 10.7 (p. 365)** Note that  $\mathbf{2}$  is an ordinal, under the obvious relation  $<$  such that  $0_2 < 1_2$  only.

- (i) Define a relation  $<$  on  $\text{Prop}$  which makes it into an ordinal.
- (ii) Show that  $\mathbf{2} =_{\text{Ord}} \text{Prop}$  if and only if LEM holds.

**Solution** For  $P, Q : \text{Prop}$ , define  $(P < Q) \equiv ((P \rightarrow Q) = \mathbf{1})$ . We must show that this  $<$  is well-founded, extensional, and transitive. To show that it's well-founded, suppose that  $Q : \text{Prop}$ ; we show that  $P$  is accessible for all  $P < Q$ . That is,

**Definition** `heyting_ord` '{Funext} ( $P\ Q : \text{hProp}$ )  
 : `hProp`  
 := `hp ((P → Q) × (Q ≠ P)) ..`

**\*Exercise 10.8 (p. 365)**

**\*Exercise 10.9 (p. 365)**

**\*Exercise 10.10 (p. 365)**

**\*Exercise 10.11 (p. 365)**

**\*Exercise 10.12 (p. 366)**