# Exercises from the HoTT Book

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### Introduction

The following are solutions to exercises from *Homotopy Type Theory: Univalent Foundations of Mathematics*. The Coq code given alongside the by-hand solutions requires the HoTT version of Coq, available at the HoTT github repository. It will be assumed throughout that it has been imported by

Require Export HoTT.

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## 1 Type Theory

**Exercise 1.1 (p. 56)** Given functions  $f: A \to B$  and  $g: B \to C$ , define their composite  $g \circ f: A \to C$ . Show that we have  $h \circ (g \circ f) \equiv (h \circ g) \circ f$ .

**Exercise 1.2 (p. 56)** Derive the recursion principle for products  $rec_{A \times B}$  using only the projections, and verify that the definitional equalities are valid. Do the same for  $\Sigma$ -types.

**Solution** The recursion principle states that we can define a function  $f: A \times B \to C$  by giving its value on pairs. Suppose that we have projection functions  $\operatorname{pr}_1: A \times B \to A$  and  $\operatorname{pr}_2: A \times B \to B$ . Then we can define a function of type

$$\mathsf{rec}_{A\times B}: \prod_{C:\mathcal{U}} (A\to B\to C)\to A\times B\to C$$

in terms of these projections as follows

$$\operatorname{rec}_{A \times B}'(C, g, p) :\equiv g(\operatorname{pr}_1 p)(\operatorname{pr}_2 p)$$

or, in Coq,

Defined.

Section Exercise2a.

Context  $\{A B : Type\}$ .

Definition recprd (C: Type) ( $g: A \rightarrow B \rightarrow C$ ) ( $p: A \times B$ ) := g (fst p) (snd p).

We must then show that

$$\operatorname{rec}'_{A \times B}(C, g, (a, b)) \equiv g(\operatorname{pr}_1(a, b))(\operatorname{pr}_2(a, b)) \equiv g(a)(b)$$

which in Coq is also trivial:

```
Theorem recprd_correct: \forall (C: Type) (g: A \rightarrow B \rightarrow C) (a: A) (b: B), recprd C g (a, b) = g a b.

Proof.

trivial.

Defined.
```

End Exercise2a.

Section Exercise2b.

```
Context (A: Type).
Context (B: A \rightarrow \text{Type}).
```

Now for the  $\Sigma$ -types. Here we have a projection

$$\operatorname{pr}_1: \left(\sum_{x:A} B(x)\right) \to A$$

and another

$$\operatorname{pr}_2: \prod_{p: \sum_{(x:A)} B(x)} B(\operatorname{pr}_1(p))$$

Define a function of type

$$\operatorname{rec}_{\sum_{(x:A)} B(x)} : \prod_{C:\mathcal{U}} \left(\prod_{(x:A)} B(x) \to C\right) \to \left(\sum_{(x:A)} B(x)\right) \to C$$

by

$$\operatorname{rec}_{\sum_{(x:A)} B(x)}(C,g,p) :\equiv g(\operatorname{pr}_1 p)(\operatorname{pr}_2 p)$$

Definition recsm (
$$C$$
: Type) ( $g$ :  $\forall$  ( $x$ :  $A$ ),  $B$   $x \to C$ ) ( $p$ : { $x$ :  $A$  &  $B$   $x$ }) :=  $g$  ( $p$ .1) ( $p$ .2).

We then verify that

$$\mathsf{rec}_{\sum_{(x:A)}B(x)}(C,g,(a,b)) \equiv g(\mathsf{pr}_1(a,b))(\mathsf{pr}_2(a,b)) \equiv g(a)(b)$$

which is again trivial in Coq:

```
Theorem recsm_correct: \forall (C:Type) (g: \forall x, B x \rightarrow C) (a:A) (b:B a), recsm C g (a; b) = g a b.

Proof.

trivial.

Defined.
```

End Exercise2b.

**Exercise 1.3 (p. 56)** Derive the induction principle for products  $\operatorname{ind}_{A \times B}$  using only the projections and the propositional uniqueness principle uppt. Verify that the definitional equalities are valid. Generalize uppt to  $\Sigma$ -types, and do the same for  $\Sigma$ -types.

Section Exercise3a. Context {A B : Type}.

**Solution** The induction principle has type

$$\operatorname{ind}_{A\times B}: \prod_{C: A\times B\to \mathcal{U}} \left(\prod_{(x:A)} \prod_{(y:B)} C((x,y))\right) \to \prod_{z: A\times B} C(z)$$

For a first pass, we can define

$$\operatorname{ind}_{A\times B}(C,g,z):\equiv g(\operatorname{pr}_1 z)(\operatorname{pr}_2 z)$$

However, we have  $g(pr_1x)(pr_2x) : C((pr_1x, pr_2x))$ , so the type of this ind<sub> $A \times B$ </sub> is

$$\mathsf{ind}_{A\times B}: \prod_{C: A\times B \to \mathcal{U}} \left(\prod_{(x:A)} \prod_{(y:B)} C((x,y))\right) \to \prod_{z: A\times B} C((\mathsf{pr}_1 z, \mathsf{pr}_2 z))$$

To define  $ind_{A \times B}$  with the correct type, we need the transport operation from the next chapter. The uniqueness principle for product types is

$$\mathsf{uppt}: \prod_{x:A\times B} \left( (\mathsf{pr}_1 x, \mathsf{pr}_2 x) =_{A\times B} x \right)$$

By the transport principle, there is a function

$$(\mathsf{uppt}\,x)_*:C((\mathsf{pr}_1x,\mathsf{pr}_2x))\to C(x)$$

so

$$\operatorname{ind}_{A\times B}(C,g,z) :\equiv (\operatorname{uppt} z)_*(g(\operatorname{pr}_1 z)(\operatorname{pr}_2 z))$$

has the right type. In Coq we first define uppt, then use it with transport to give our  $ind_{A\times B}$ .

```
Definition uppt (x : A \times B): (fst x, snd x) = x. destruct x. reflexivity.
```

Defined.

Definition indprd 
$$(C: A \times B \rightarrow \text{Type})$$
  $(g: \forall (x:A) (y:B), C(x, y))$   $(z: A \times B) := (\text{uppt } z) \# (g \text{ (fst } z) \text{ (snd } z)).$ 

We now have to show that

$$\operatorname{ind}_{A\times B}(C, g, (a, b)) \equiv g(a)(b)$$

Unfolding the left gives

$$\begin{split} \operatorname{ind}_{A\times B}(C,g,(a,b)) &\equiv (\operatorname{uppt}(a,b))_*(g(\operatorname{pr}_1(a,b))(\operatorname{pr}_2(a,b))) \\ &\equiv \operatorname{ind}_{=_{A\times B}}(D,d,(a,b),(a,b),\operatorname{uppt}((a,b)))(g(a)(b)) \\ &\equiv \operatorname{ind}_{=_{A\times B}}(D,d,(a,b),(a,b),\operatorname{refl}_{(a,b)})(g(a)(b)) \\ &\equiv \operatorname{id}_{C((a,b))}(g(a)(b)) \\ &\equiv g(a)(b) \end{split}$$

which was to be proved. In Coq, it's as trivial as always:

Theorem indprd\_correct:  $\forall$  ( $C: A \times B \rightarrow \text{Type}$ )  $(g: \forall (x:A) (y:B), C(x, y)) (a:A) (b:B),$ indprd Cg(a, b) = gab.

Proof.

trivial.

Defined.

End Exercise3a.

Section Exercise3b.

Context  $\{A : Type\}$ .

Context  $\{B: A \to \mathsf{Type}\}.$ 

For  $\Sigma$ -types, we define

$$\operatorname{ind}_{\sum_{(x:A)}B(x)}: \prod_{C:(\sum_{(x:A)}B(x))\to \mathcal{U}} \left(\prod_{(a:A)}\prod_{(b:B(a))}C((a,b))\right) \to \prod_{p:\sum_{(x:A)}B(x)} C(p)$$

at first pass by

$$\operatorname{ind}_{\Sigma_{(x:A)}B(x)}(C,g,p) :\equiv g(\operatorname{pr}_1 p)(\operatorname{pr}_2 p)$$

We encounter a similar problem as before. We need a uniqueness principle for  $\Sigma$ -types, which would be a function

$$\mathsf{upst}: \prod_{p: \sum_{(x:A)} B(x)} \left( (\mathsf{pr}_1 p, \mathsf{pr}_2 p) =_{\sum_{(x:A)} B(x)} p \right)$$

As for product types, we can define

$$\mathsf{upst}((a,b)) :\equiv \mathsf{refl}_{(a,b)}$$

which is well-typed, since  $pr_1(a, b) \equiv a$  and  $pr_2(a, b) \equiv b$ . Thus, we can write

$$\operatorname{ind}_{\sum_{(x:A)} B(x)}(C,g,p) :\equiv (\operatorname{\mathsf{upst}} p)_*(g(\operatorname{\mathsf{pr}}_1 p)(\operatorname{\mathsf{pr}}_2 p)).$$

and in Coq,

Definition upst  $(p: \{x: A \& B x\}): (p.1; p.2) = p$ . destruct p. reflexivity.

Defined.

Definition indsm  $(C : \{x : A \& B x\} \to \text{Type}) (g : \forall (a:A) (b:B a), C (a; b)) (p : \{x : A \& B x\}) := (upst p) \# (g (p.1) (p.2)).$ 

Now we must verify that

$$\operatorname{ind}_{\Sigma_{(x,A)}B(x)}(C,g,(a,b)) \equiv g(a)(b)$$

We have

$$\begin{split} \operatorname{ind}_{\Sigma_{(x:A)} \, B(x)}(C,g,(a,b)) &\equiv (\operatorname{uppt} \, (a,b))_* (g(\operatorname{pr}_1(a,b))(\operatorname{pr}_2(a,b))) \\ &\equiv \operatorname{ind}_{=_{\Sigma_{(x:A)} \, B(x)}} (D,d,(a,b),(a,b),\operatorname{uppt} \, (a,b))(g(a)(b)) \\ &\equiv \operatorname{ind}_{=_{\Sigma_{(x:A)} \, B(x)}} (D,d,(a,b),(a,b),\operatorname{refl}_{(a,b)})(g(a)(b)) \\ &\equiv \operatorname{id}_{C((a,b))} (g(a)(b)) \\ &\equiv g(a)(b) \end{split}$$

which Coq finds trivial:

Theorem indsm\_correct:  $\forall$  (C: {x: A & B x}  $\rightarrow$  Type) (g:  $\forall$  (a:A) (b:B a), C (a; b)) (a:A) (b:B a), indsm C g (a;b) = g a b.

Proof.

trivial.

Defined.

End Exercise3b.

Exercise 1.4 (p. 56) Assuming as given only the iterator for natural numbers

$$\mathsf{iter}: \prod_{C:\mathcal{U}} C \to (C \to C) \to \mathbb{N} \to C$$

with the defining equations

```
\operatorname{iter}(C, c_0, c_s, 0) :\equiv c_0,

\operatorname{iter}(C, c_0, c_s, \operatorname{succ}(n)) :\equiv c_s(\operatorname{iter}(C, c_0, c_s, n)),
```

derive a function having the type of the recursor  $rec_{\mathbb{N}}$ . Show that the defining equations of the recursor hold propositionally for this function, using the induction principle for  $\mathbb{N}$ .

Section Exercise4.

```
Variable C: Type.
Variable c\theta: C.
Variable cs: nat 	o C 	o C.
```

**Solution** Fix some  $C: \mathcal{U}$ ,  $c_0: C$ , and  $c_s: \mathbb{N} \to C \to C$ . iter(C) allows for the n-fold application of a single function to a single input from C, whereas  $\text{rec}_{\mathbb{N}}$  allows each application to depend on n, as well. Since n just tracks how many applications we've done, we can construct n on the fly, iterating over elements of  $\mathbb{N} \times C$ . So we will use the iterator

$$\mathsf{iter}_{\mathbb{N}\times C}: \mathbb{N}\times C \to (\mathbb{N}\times C \to \mathbb{N}\times C) \to \mathbb{N}\to \mathbb{N}\times C$$

to derive a function

$$\Phi: \prod_{C:\mathcal{U}} C \to (\mathbb{N} \to C \to C) \to \mathbb{N} \to C$$

which has the same type as  $rec_N$ .

The first argument of  $\mathbb{N} \times C$  is the starting point, which we'll make  $(0, c_0)$ . The second input takes an element of  $\mathbb{N} \times C$  as an argument and uses  $c_s$  to construct a new element of  $\mathbb{N} \times C$ . We can use the first and second elements of the pair as arguments for  $c_s$ , and we'll use succ to advance the first argument, representing the number of steps taken. This gives the function

$$\lambda x. (\operatorname{succ}(\operatorname{pr}_1 x), c_s(\operatorname{pr}_1 x, \operatorname{pr}_2 x)) : \mathbb{N} \times C \to \mathbb{N} \times C$$

for the second input to  $\text{iter}_{\mathbb{N}\times C}$ . The third input is just n, which we can pass through. Plugging these in gives

$$\operatorname{iter}_{\mathbb{N}\times C}((0,c_0),\lambda x.(\operatorname{succ}(\operatorname{pr}_1 x),c_s(\operatorname{pr}_1 x,\operatorname{pr}_2 x)),n):\mathbb{N}\times C$$

from which we need to extract an element of C. This is easily done with the projection operator, so we have

$$\Phi(C, c_0, c_s, n) :\equiv \operatorname{pr}_2\bigg(\operatorname{iter}_{\mathbb{N} \times C}\big((0, c_0), \lambda x. \left(\operatorname{succ}(\operatorname{pr}_1 x), c_s(\operatorname{pr}_1 x, \operatorname{pr}_2 x)\right), n\big)\bigg)$$

which has the same type as  $rec_{\mathbb{N}}$ . In Coq we first define the iterator and then our alternative recursor:

```
Fixpoint iter (C: Type) (c0: C) (cs: C \rightarrow C) (n: nat): C:= match n with |O \Rightarrow c0| |S n' \Rightarrow cs(iter C c0 cs n') end.

Definition Phi (C: Type) (c0: C) (cs: nat \rightarrow C \rightarrow C) (n: nat):= snd (iter (nat \times C) (O, c0) (fun x \Rightarrow (S (fst x), cs (fst x) (snd x))) n).
```

Now to show that the defining equations hold propositionally for  $\Phi$ . For clarity of notation, define

$$\Phi'(n) = \mathsf{iter}_{\mathbb{N} \times C}((0, c_0), \lambda x. (\mathsf{succ}(\mathsf{pr}_1 x), c_s(\mathsf{pr}_1 x, \mathsf{pr}_2 x)), n)$$

Definition Phi'  $(n : nat) := iter (nat \times C) (O, c0) (fun x \Rightarrow (S (fst x), cs (fst x) (snd x))) n.$ 

So the propositional equalities can be written

$$\begin{aligned} \operatorname{pr}_2 \Phi'(0) &=_{C} c_0 \\ \prod_{n:\mathbb{N}} \operatorname{pr}_2 \Phi'(\operatorname{succ}(n)) &=_{C} c_s(n, \operatorname{pr}_2 \Phi'(n)). \end{aligned}$$

The first is straightforward:

$$\mathsf{pr}_2\Phi'(0) \equiv \mathsf{pr}_2\mathsf{iter}_{\mathbb{N}\times C}((0,c_0),\lambda x.\,(\mathsf{succ}(\mathsf{pr}_1x),c_s(\mathsf{pr}_1x,\mathsf{pr}_2x)),0) \equiv \mathsf{pr}_2(0,c_0) \equiv c_0$$

so  $\operatorname{refl}_{c_0} : \operatorname{pr}_2\Phi'(0) =_{\mathbb{C}} c_0$ . To establish the second, we use induction on a strengthened hypothesis involving  $\Phi'$ . We will establish that for all  $n : \mathbb{N}$ ,

$$P(n) :\equiv \Phi'(\operatorname{succ}(n)) =_C (\operatorname{succ}(n), c_s(n, \operatorname{pr}_2\Phi'(n)))$$

is inhabited. For the base case, we have

$$\begin{split} \Phi'(\mathsf{succ}(0)) &\equiv \mathsf{iter}_{\mathbb{N} \times C} \big( (0, c_0), \lambda x. \, (\mathsf{succ}(\mathsf{pr}_1 x), c_s(\mathsf{pr}_1 x, \mathsf{pr}_2 x)), \mathsf{succ}(0) \big) \\ &\equiv \Big( \lambda x. \, (\mathsf{succ}(\mathsf{pr}_1 x), c_s(\mathsf{pr}_1 x, \mathsf{pr}_2 x)) \Big) \mathsf{iter}_{\mathbb{N} \times C} \big( (0, c_0), \lambda x. \, (\mathsf{succ}(\mathsf{pr}_1 x), c_s(\mathsf{pr}_1 x, \mathsf{pr}_2 x)), 0 \big) \\ &\equiv \Big( \lambda x. \, \big( \mathsf{succ}(\mathsf{pr}_1 x), c_s(\mathsf{pr}_1 x, \mathsf{pr}_2 x) \big) \Big) \big( 0, c_0 \big) \\ &\equiv \big( \mathsf{succ}(0), c_s(0, c_0) \big) \\ &\equiv \big( \mathsf{succ}(0), c_s(0, \mathsf{pr}_2 \Phi'(0)) \big) \end{split}$$

using the derivation of the first propositional equality. So P(0) is inhabited, or  $p_0 : P(0)$ . For the induction hypothesis, suppose that  $n : \mathbb{N}$  and that  $p_n : P(n)$ . A little massaging gives

$$\begin{split} \Phi'(\mathsf{succ}(\mathsf{succ}(n))) &\equiv \mathsf{iter}_{\mathbb{N} \times C} \big( (0, c_0), \lambda x. \, (\mathsf{succ}(\mathsf{pr}_1 x), c_s(\mathsf{pr}_1 x, \mathsf{pr}_2 x)), \mathsf{succ}(\mathsf{succ}(n)) \big) \\ &\equiv \Big( \lambda x. \, (\mathsf{succ}(\mathsf{pr}_1 x), c_s(\mathsf{pr}_1 x, \mathsf{pr}_2 x)) \Big) \Phi'(\mathsf{succ}(n)) \\ &\equiv (\mathsf{succ}(\mathsf{pr}_1 \Phi'(\mathsf{succ}(n))), c_s(\mathsf{pr}_1 \Phi'(\mathsf{succ}(n)), \mathsf{pr}_2 \Phi'(\mathsf{succ}(n)))) \end{split}$$

We now apply based path induction using  $p_n$ . Consider the family

$$D: \prod_{z: \mathbb{N} \times C} \left( \Phi'(\mathsf{succ}(n)) = x \right) \to \mathcal{U}$$

given by

$$D(z) := \Big( \mathsf{succ}(\mathsf{pr}_1 \Phi'(\mathsf{succ}(n))), c_s(\mathsf{pr}_1 \Phi'(\mathsf{succ}(n)), \mathsf{pr}_2 \Phi'(\mathsf{succ}(n))) \Big) = (\mathsf{succ}(\mathsf{pr}_1 z), c_s(\mathsf{pr}_1 z, \mathsf{pr}_2 \Phi'(\mathsf{succ}(n)))) + (\mathsf{pr}_2 \Phi'(\mathsf{pr}_1 z), \mathsf{pr}_2 \Phi'(\mathsf{pr}$$

Clearly, we have

$$\mathsf{refl}_{\Phi'(\mathsf{succ}(\mathsf{succ}(n)))} : D(\Phi'(\mathsf{succ}(n)), \mathsf{refl}_{\Phi'(\mathsf{succ}(n))})$$

so by based path induction, there is an element

$$f((\mathsf{succ}(n), c_s(n, \mathsf{pr}_2\Phi'(n))), p_n) : \left(\mathsf{succ}(\mathsf{pr}_1\Phi'(\mathsf{succ}(n))), c_s(\mathsf{pr}_1\Phi'(\mathsf{succ}(n)), \mathsf{pr}_2\Phi'(\mathsf{succ}(n)))\right)$$

$$= \left(\mathsf{succ}(\mathsf{pr}_1(\mathsf{succ}(n), c_s(n, \mathsf{pr}_2\Phi'(n)))), \mathsf{pr}_2\Phi'(\mathsf{succ}(n))\right)$$

$$c_s(\mathsf{pr}_1(\mathsf{succ}(n), c_s(n, \mathsf{pr}_2\Phi'(n))), \mathsf{pr}_2\Phi'(\mathsf{succ}(n)))$$

Let  $p_{n+1} := f((\operatorname{succ}(n), c_s(n, \operatorname{pr}_2\Phi'(n))))$ . Our first bit of massaging allows us to replace the left hand side of this by  $\Phi'(\operatorname{succ}(\operatorname{succ}(n)))$ . As for the right, applying the projections gives

$$p_{n+1}: \Phi'(\mathsf{succ}(\mathsf{succ}(n))) = (\mathsf{succ}(\mathsf{succ}(n)), c_s(\mathsf{succ}(n), \mathsf{pr}_2\Phi'(\mathsf{succ}(n)))) \equiv P(\mathsf{succ}(n))$$

Plugging all this into our induction principle for  $\mathbb{N}$ , we can discharge the assumption that  $p_n: P(n)$  to obtain

$$q :\equiv \operatorname{ind}_{\mathbb{N}}(P, p_0, \lambda n. \lambda p_n. p_{n+1}, n) : P(n)$$

The propositional equality we're after is a consequence of this, which we again obtain by based path induction. Consider the family

$$E: \prod_{z: \mathbb{N} \times C} (\Phi'(n) = z) \to \mathcal{U}$$

given by

$$E(z, p) :\equiv \operatorname{pr}_2 \Phi'(\operatorname{succ}(n)) = \operatorname{pr}_2 z$$

Again, it's clear that

End Exercise4.

$$\mathsf{refl}_{\mathsf{pr}_2\Phi'(\mathsf{succ}(n))} : E(\Phi'(\mathsf{succ}(n)), \mathsf{refl}_{\Phi'(\mathsf{succ}(n))}$$

So based path induction gives us a function

$$g((\operatorname{succ}(n), c_s(n, \operatorname{pr}_2\Phi'(n))), q) : \operatorname{pr}_2\Phi'(\operatorname{succ}(n)) = \operatorname{pr}_2(\operatorname{succ}(n), c_s(n, \operatorname{pr}_2\Phi'(n)))$$

and by applying the projection function on the right and discharging the assumption of n, we have shown that

$$\prod_{n:\mathbb{N}} \mathsf{pr}_2 \Phi'(\mathsf{succ}(n)) = c_{\scriptscriptstyle S}(n, \mathsf{pr}_2 \Phi'(n))$$

is inhabited. Next chapter we'll prove that functions are functors, and we won't have to do this based path induction every single time. It'll be great. Repeating it all in Coq, we have

```
Theorem Phi'_correct1 : snd (Phi' 0) = c0.

Proof.

trivial.

Defined.

Theorem Phi'_correct2 : \forall n, Phi'(S n) = (S n, cs n (snd (Phi' n))).

Proof.

intros. induction n. reflexivity.

transitivity ((S (fst (Phi' (S n))), cs (fst (Phi' (S n))) (snd (Phi' (S n)))).

reflexivity.

rewrite IHn. reflexivity.

Defined.
```

**Exercise 1.5 (p. 56)** Show that if we define  $A + B :\equiv \sum_{(x:2)} rec_2(\mathcal{U}, A, B, x)$ , then we can give a definition of  $ind_{A+B}$  for which the definitional equalities stated in §1.7 hold.

**Solution** Define A + B as stated. We need to define a function of type

$$\operatorname{ind}_{A+B}': \prod_{C: (A+B) \to \mathcal{U}} \left( \prod_{(a:A)} C(\operatorname{inl}(a)) \right) \to \left( \prod_{(b:B)} C(\operatorname{inr}(b)) \right) \to \prod_{(x:A+B)} C(x)$$

which means that we also need to define inl':  $A \rightarrow A + B$  and inr' $B \rightarrow A + B$ ; these are

$$\operatorname{inl}'(a) :\equiv (0_2, a)$$
  $\operatorname{inr}'(b) :\equiv (1_2, b)$ 

In Coq, we can use sigT to define coprd as a  $\Sigma$ -type:

Section Exercise5.

Context  $\{A B : Type\}.$ 

Definition coprd :=  $\{x : Bool \& if x then B else A\}$ .

Definition myinl  $(a:A) := \text{existT} (\text{fun } x:\text{Bool} \Rightarrow \text{if } x \text{ then } B \text{ else } A) \text{ false } a.$ 

Definition myinr  $(b:B) := \text{existT} (\text{fun } x : \text{Bool} \Rightarrow \text{if } x \text{ then } B \text{ else } A) \text{ true } b$ .

Suppose that  $C: A + B \to \mathcal{U}$ ,  $g_0: \prod_{(a:A)} C(\mathsf{inl'}(a))$ ,  $g_1: \prod_{(b:B)} C(\mathsf{inr'}(b))$ , and x: A + B; we're looking to define

$$\operatorname{ind}_{A+B}'(C, g_0, g_1, x)$$

We will use  $\operatorname{ind}_{\sum_{(x:2)}\operatorname{rec}_2(\mathcal{U},A,B,x)}$ , and for notational convenience will write  $\Phi:\equiv\sum_{(x:2)}\operatorname{rec}_2(\mathcal{U},A,B,x)$ .  $\operatorname{ind}_{\Phi}$  has signature

$$\operatorname{ind}_{\Phi}: \prod_{C: \Phi \to \mathcal{U}} \Big( \prod_{(x:\mathbf{2})} \prod_{(y: \operatorname{rec}_{\mathbf{2}}(\mathcal{U}, A, B, x))} C((x,y)) \Big) \to \prod_{(p:\Phi)} C(p)$$

So

$$\mathsf{ind}_{\Phi}(C): \left(\prod_{(x:\mathbf{2})}\prod_{(y:\mathsf{rec}_{\mathbf{2}}(\mathcal{U},A,B,x))}C((x,y))\right) \to \prod_{(p:\Phi)}C(p)$$

To obtain something of type  $\prod_{(y: \mathsf{rec}_2(\mathcal{U}, A, B, x))} C((x, y))$  we'll have to use  $\mathsf{ind}_2$ . In particular, for  $B(x) := \prod_{(y: \mathsf{rec}_2(\mathcal{U}, A, B, x))} C((x, y))$  we have

$$\operatorname{ind}_{\mathbf{2}}(B): B(0_{\mathbf{2}}) \to B(1_{\mathbf{2}}) \to \prod_{x:\mathbf{2}} B(x)$$

along with

$$g_0: \prod_{a:A} C(\mathsf{inl'}(a)) \equiv \prod_{a: \mathsf{rec}_2(\mathcal{U}, A, B, 0_2)} C((0_2, a)) \equiv B(0_2)$$

and similarly for  $g_1$ . So

$$\mathsf{ind_2}(B,g_0,g_1): \prod_{(x:2)} \prod_{(y:\mathsf{rec}_2(\mathcal{U},A,B,x))} C((x,y))$$

which is just what we needed for  $ind_{\Phi}$ . So we define

$$\operatorname{ind}_{A+B}'(C,g_0,g_1,x) :\equiv \operatorname{ind}_{\sum_{(x:2)}\operatorname{rec}_2(\mathcal{U},A,B,x)} \left( C,\operatorname{ind}_2 \left( \prod_{y:\operatorname{rec}_2(\mathcal{U},A,B,x)} C((x,y)),g_0,g_1 \right),x \right)$$

and, in Coq, we use sigT\_rect, which is the built-in  $\operatorname{ind}_{\sum_{(x:A)} B(x)}$ :

Definition indcoprd (C: coprd  $\rightarrow$  Type) (g0:  $\forall a$ : A, C (myinl a)) (g1:  $\forall b$ : B, C (myinr b)) (x: coprd) := sigT\_rect C

(Bool\_rect (fun 
$$x$$
:Bool  $\Rightarrow \forall (y: \text{if } x \text{ then } B \text{ else } A), C(x; y))$ 

$$g1$$

$$g0)$$
 $x$ .

Now we must show that the definitional equalities

$$\operatorname{ind}'_{A+B}(C, g_0, g_1, \operatorname{inl}'(a)) \equiv g_0(a)$$
  
 $\operatorname{ind}'_{A+B}(C, g_0, g_1, \operatorname{inr}'(b)) \equiv g_1(b)$ 

hold. For the first, we have

$$\begin{split} \operatorname{ind}_{A+B}'(C,g_0,g_1,\operatorname{inl}'(a)) &\equiv \operatorname{ind}_{A+B}'(C,g_0,g_1,(0_2,a)) \\ &\equiv \operatorname{ind}_{\sum_{(x:2)}\operatorname{rec}_2(\mathcal{U},A,B,x)} \left( C,\operatorname{ind}_2 \left( \prod_{y:\operatorname{rec}_2(\mathcal{U},A,B,x)} C((x,y)),g_0,g_1 \right),(0_2,a) \right) \\ &\equiv \operatorname{ind}_2 \left( \prod_{y:\operatorname{rec}_2(\mathcal{U},A,B,x)} C((x,y)),g_0,g_1,0_2 \right) (a) \\ &\equiv g_0(a) \end{split}$$

and for the second,

$$\begin{split} \operatorname{ind}_{A+B}'(C,g_0,g_1,\operatorname{inr}'(b)) &\equiv \operatorname{ind}_{A+B}'(C,g_0,g_1,(1_2,b)) \\ &\equiv \operatorname{ind}_{\sum_{(x:2)}\operatorname{rec}_2(\mathcal{U},A,B,x)} \left(C,\operatorname{ind}_2\left(\prod_{y:\operatorname{rec}_2(\mathcal{U},A,B,x)}C((x,y)),g_0,g_1\right),(1_2,b)\right) \\ &\equiv \operatorname{ind}_2\left(\prod_{y:\operatorname{rec}_2(\mathcal{U},A,B,x)}C((x,y)),g_0,g_1,1_2\right)(b) \\ &\equiv g_1(b) \end{split}$$

Trivial calculations, as Coq can attest:

Goal  $\forall$  C g0 g1 a, indcoprd C g0 g1 (myinl a) = g0 a. trivial. Qed. Goal  $\forall$  C g0 g1 b, indcoprd C g0 g1 (myinr b) = g1 b. trivial. Qed.

End Exercise5.

**Exercise 1.6 (p. 56)** Show that if we define  $A \times B :\equiv \prod_{(x:2)} rec_2(\mathcal{U}, A, B, x)$ , then we can give a definition of  $ind_{A \times B}$  for which the definitional equalities stated in §1.5 hold propositionally (i.e. using equality types). Section Exercise6.

Context  $\{A \ B : Type\}.$ 

**Solution** Define

$$A \times B :\equiv \prod_{x:2} \operatorname{rec}_{2}(\mathcal{U}, A, B, x)$$

Supposing that a: A and b: B, we have an element  $(a, b): A \times B$  given by

$$(a,b) :\equiv \operatorname{ind}_2(\operatorname{rec}_2(\mathcal{U},A,B),a,b)$$

Defining this type and constructor in Coq, we have

Definition prd :=  $\forall x : Bool, if x then B else A$ .

Definition mypair (a:A)  $(b:B) := Bool_rect (fun x:Bool <math>\Rightarrow if x then B else A) b a$ .

An induction principle for  $A \times B$  will, given a family  $C : A \times B \to \mathcal{U}$  and a function

$$g:\prod_{(x:A)}\prod_{(y:B)}C((x,y)),$$

give a function  $f: \prod_{(x:A\times B)} C(x)$  defined by

$$f((x,y)) :\equiv g(x)(y)$$

So suppose that we have such a C and g. Writing things out in terms of the definitions, we have

$$C: \left(\prod_{x:2} \operatorname{rec}_{2}(\mathcal{U}, A, B, x)\right) \to \mathcal{U}$$
$$g: \prod_{(x:A)} \prod_{(y:B)} C(\operatorname{ind}_{2}(\operatorname{rec}_{2}(\mathcal{U}, A, B), x, y))$$

We can define projections by

$$\operatorname{pr}_1 p :\equiv p(0_2) \qquad \qquad \operatorname{pr}_2 p :\equiv p(1_2)$$

Since p is an element of a dependent type, we have

$$p(0_2) : rec_2(\mathcal{U}, A, B, 0_2) \equiv A$$
  
 $p(1_2) : rec_2(\mathcal{U}, A, B, 1_2) \equiv B$ 

Definition myfst (p : prd) := p false.

Definition mysnd (p : prd) := p true.

Then we have

$$g(\mathsf{pr}_1p)(\mathsf{pr}_2p): C(\mathsf{ind}_2(\mathsf{rec}_2(\mathcal{U}, A, B), (\mathsf{pr}_1p), (\mathsf{pr}_2p))) \equiv C((p(0_2), p(1_2)))$$

So we have defined a function

$$f': \prod_{p:A\times B} C((p(0_{\boldsymbol{2}}),p(1_{\boldsymbol{2}})))$$

But we need one of the type

$$f: \prod_{p:A\times B} C(p)$$

To solve this problem, we need to appeal to function extensionality from §2.9. This implies that there is a function

$$\mathsf{funext}: \left(\prod_{x:2} \left( (\mathsf{pr}_1 p, \mathsf{pr}_2 p)(x) =_{\mathsf{rec}_2(\mathcal{U}, A, B, x)} p(x) \right) \right) \to \left( (\mathsf{pr}_1 p, \mathsf{pr}_2 p) =_{A \times B} p \right)$$

We just need to show that the antecedent is inhabited, which we can do with ind2. So consider the family

$$\begin{split} E &:= \lambda(x : \mathbf{2}). \left( (p(0_2), p(1_2))(x) =_{\mathsf{rec}_2(\mathcal{U}, A, B, x)} p(x)) \right) \\ &= \lambda(x : \mathbf{2}). \left( \mathsf{ind}_2(\mathsf{rec}_2(\mathcal{U}, A, B), p(0_2), p(1_2), x) =_{\mathsf{rec}_2(\mathcal{U}, A, B, x)} p(x) \right) \end{split}$$

We have

$$\begin{split} E(0_2) & \equiv (\mathsf{ind_2}(\mathsf{rec_2}(\mathcal{U},A,B),p(0_2),p(1_2),0_2) =_{\mathsf{rec_2}(\mathcal{U},A,B,0_2)} p(0_2)) \\ & \equiv (p(0_2) =_{\mathsf{rec_2}(\mathcal{U},A,B,0_2)} p(0_2)) \end{split}$$

Thus  $\operatorname{refl}_{p(0_2)}: E(0_2)$ . The same argument goes through to show that  $\operatorname{refl}_{p(1_2)}: E(1_2)$ . This means that

$$h :\equiv \operatorname{ind}_{\mathbf{2}}(E,\operatorname{refl}_{p(0_{2})},\operatorname{refl}_{p(1_{2})}) : \prod_{x:2} \left( (\operatorname{pr}_{1}p,\operatorname{pr}_{2}p)(x) =_{\operatorname{rec}_{\mathbf{2}}(\mathcal{U},A,B,x)} p(x) \right)$$

and thus

funext(h): 
$$(p(0_2), p(1_2)) =_{A \times B} p$$

This allows us to define the uniqueness principle for products:

$$\mathsf{uppt} \vcentcolon \equiv \lambda p.\,\mathsf{funext}(h) : \prod_{p:A\times B} (\mathsf{pr}_1 p, \mathsf{pr}_2 p) =_{A\times B} p$$

where funext implicitly depends on p in the way we've been assuming. Now we can define  $ind_{A\times B}$  as

$$\operatorname{ind}_{A \times B}(C, g, p) :\equiv (\operatorname{uppt} p)_*(g(\operatorname{pr}_1 p)(\operatorname{pr}_2 p))$$

In Coq we can repeat this construction using Funext.

Definition myuppt '{Funext} (p : prd) : mypair (myfst p) (mysnd p) = p. apply path\_forall.

unfold pointwise\_paths; apply Bool\_rect; reflexivity.

Defined.

Definition indprd' ( $C: prd \rightarrow Type$ ) ( $g: \forall (x:A) (y:B), C (mypair x y)$ ) (z: prd) := (myuppt z) # (g (myfst z) (mysnd z)).

Now, we must show that the definitional equality holds propositionally. That is, we must show that the type

$$\operatorname{ind}_{A\times B}(C, g, (a, b)) =_{C((a,b))} g(a)(b)$$

is inhabited. Unfolding the left gives

$$\begin{split} \mathsf{ind}_{A \times B}(C, g, (a, b)) &\equiv (\mathsf{uppt}\,(a, b))_*(g(\mathsf{pr}_1(a, b))(\mathsf{pr}_2(a, b))) \\ &\equiv \mathsf{ind}_{=_{C((a, b))}}(D, d, (a, b), (a, b), \mathsf{uppt}\,(a, b))(g(a)(b)) \end{split}$$

where  $D: \prod_{(x,y:A\times B)}(x=y) \to \mathcal{U}$  is given by  $D(x,y,p) :\equiv C(x) \to C(y)$  and

$$d:\equiv \lambda x.\operatorname{id}_{C(x)}: \prod_{x:A\times B} D(x,x,\operatorname{refl}_x)$$

Now,

$$uppt(a,b) \equiv funext(h) : (a,b) =_{A \times B} (a,b)$$

and, in particular, we have  $h: x \mapsto \mathsf{refl}_{(a,b)(x)}$ , so  $\mathsf{funext}(h) = \mathsf{refl}_{(a,b)}$ . Plugging this into  $\mathsf{ind}_{=_{C((a,b))}}$  and applying its defining equality gives

$$\begin{split} \operatorname{ind}_{A \times B}(C, g, (a, b)) &= \operatorname{ind}_{=_{C((a, b))}}(D, d, (a, b), (a, b), \operatorname{refl}_{(a, b)})(g(a)(b)) \\ &= d((a, b))(g(a)(b)) \\ &= \operatorname{id}_{C((a, b))}(g(a)(b)) \\ &= g(a)(b) \end{split}$$

Verifying that the definitional equality holds propositionally. The reason we can only get propositional equality, not judgemental equality, is that  $\operatorname{funext}(h) = \operatorname{refl}_{(a,b)}$  is just a propositional equality. Understanding this better requires stuff from next chapter.

**Exercise 1.7 (p. 56)** Give an alternative derivation of  $\operatorname{ind}_{=_A}'$  from  $\operatorname{ind}_{=_A}$  which avoids the use of universes.

**Solution** To avoid universes, we follow the plan from p. 53 of the text: show that  $ind_{=_A}$  entails Lemmas 2.3.1 and 3.11.8, and that these two principles imply  $ind'_{=_A}$  directly.

First we have Lemma 2.3.1, which states that for any type family P over A and  $p: x =_A y$ , there is a function  $p_*: P(x) \to P(y)$ . The proof for this can be taken directly from the text. Consider the type family

$$D: \prod_{x,y:A} (x=y) \to \mathcal{U}, \qquad D(x,y,p) :\equiv P(x) \to P(y)$$

which exists, since  $P(x): \mathcal{U}$  for all x: A and these can be used to form function types. We also have

$$d :\equiv \lambda x. \operatorname{id}_{P(x)} : \prod_{x:A} D(x, x, \operatorname{refl}_x) \equiv \prod_{x:A} P(x) \to P(x)$$

We now apply  $ind_{=_A}$  to obtain

$$p_* :\equiv \mathsf{ind}_{=_A}(D,d,x,y,p) : P(x) \to P(y)$$

establishing the Lemma.

Next we have Lemma 3.11.8, which states that for any A and any a:A, the type  $\sum_{(x:A)}(a=x)$  is contractible; that is, there is some  $w:\sum_{(x:A)}(a=x)$  such that w=w' for all  $w':\sum_{(x:A)}(a=x)$ . Consider the point  $(a, \mathsf{refl}_a):\sum_{(a:A)}(a=x)$  and the family  $C:\prod_{(x,y:A)}(x=y)\to \mathcal{U}$  given by

$$C(x,y,p) :\equiv ((x,\mathsf{refl}_x) =_{\sum_{(z:A)}(x=z)} (y,p))$$

Take also the function

$$\mathsf{refl}_{(x,\mathsf{refl}_x)}: \prod_{x:A} ((x,\mathsf{refl}_x) =_{\sum_{(x:A)} (x=z)} (x,\mathsf{refl}_x))$$

By path induction, then, we have a function

$$g: \prod_{(x,y:A)} \ \prod_{(p:x=_Ay)} \left( (x,\mathsf{refl}_x) =_{\sum_{(z:A)}(x=z)} (y,p) \right)$$

such that  $g(x, x, refl_x) := refl_{(x, refl_x)}$ . This allows us to construct

$$\lambda p.\, g(a,\mathsf{pr}_1p,\mathsf{pr}_2p): \prod_{p: \sum_{(x:A)}(a=x)} (a,\mathsf{refl}_a) =_{\sum_{(z:A)}(a=z)} (\mathsf{pr}_1p,\mathsf{pr}_2p)$$

And upst lets us transport this, using the first lemma, to the statement that  $\sum_{(x:A)} (a=x)$  is contractible:

$$\mathsf{contr} :\equiv \lambda p. \left( (\mathsf{upst} \ p)_* g(a, \mathsf{pr}_1 p, \mathsf{pr}_2 p) \right) : \prod_{p : \sum_{(x:A)} (a=x)} \left( a, \mathsf{refl}_a \right) =_{\sum_{(z:A)} (a=z)} p$$

With these two lemmas we can derive based path induction. Fix some a:A and suppose we have a family

$$C: \prod_{x:A} (a=x) \to \mathcal{U}$$

and an element

$$c: C(a, refl_a).$$

Suppose we have x:A and p:a=x. Then we have  $(x,p):\sum_{(x:A)}(a=x)$ , and because this type is contractible, an element  $\mathsf{contr}_{(x,p)}:(a,\mathsf{refl}_a)=(x,p)$ . So for any type family P over  $\sum_{(x:A)}(a=x)$ , we have the function  $(\mathsf{contr}_{(x,p)})_*:P((a,\mathsf{refl}_a))\to P((x,p))$ . In particular, we have the type family

$$\tilde{C} :\equiv \lambda p. C(\operatorname{pr}_1 p, \operatorname{pr}_2 p)$$

so

$$(\mathsf{contr}_{(x,p)})_* : \tilde{C}((a,\mathsf{refl}_a)) \to \tilde{C}((x,p)) \equiv C(a,\mathsf{refl}_a) \to C(x,p).$$

thus

$$(\mathsf{contr}_{(x,p)})_*(c) : C(x,p)$$

or, abstracting out the x and p,

$$f :\equiv \lambda x.\,\lambda p.\,(\mathsf{contr}_{(x,p)})_*(c) : \prod_{(x:A)} \prod_{(p:x=y)} C(x,p).$$

We also have

$$\begin{split} f(a,\mathsf{refl}_a) &\equiv (\mathsf{contr}_{(a,\mathsf{refl}_a)})_*(c) \\ &\equiv ((\mathsf{upst}\,(a,\mathsf{refl}_a))_*g(a,a,\mathsf{refl}_a))_*(c) \\ &\equiv ((\mathsf{upst}\,(a,\mathsf{refl}_a))_*\mathsf{refl}_{(a,\mathsf{refl}_a)})_*(c) \\ &\equiv (\mathsf{ind}_{=}(\lambda x.\,((a,\mathsf{refl}_a) = x),\lambda x.\,\mathsf{id}_{(a,\mathsf{refl}_a) = x},(a,\mathsf{refl}_a),(a,\mathsf{refl}_a),\mathsf{refl}_{(a,\mathsf{refl}_a)})\mathsf{refl}_{(a,\mathsf{refl}_a)})_*(c) \\ &\equiv (\mathsf{id}_{(a,\mathsf{refl}_a) = (a,\mathsf{refl}_a)}\mathsf{refl}_{(a,\mathsf{refl}_a)})_*(c) \\ &\equiv (\mathsf{refl}_{(a,\mathsf{refl}_a)})_*(c) \\ &\equiv \mathsf{ind}_{=}(\tilde{C},\lambda x.\,\mathsf{id}_{\tilde{C}(x)},(a,\mathsf{refl}_a),(a,\mathsf{refl}_a),\mathsf{refl}_{(a,\mathsf{refl}_a)})(c) \\ &\equiv \mathsf{id}_{\tilde{C}((a,\mathsf{refl}_a))}(c) \\ &\equiv \mathsf{id}_{C(a,\mathsf{refl}_a)}(c) \\ &\equiv c \end{split}$$

So we have derived based path induction.

```
Definition ind \{A\}: \forall (C: \forall (x y : A), x = y \rightarrow \text{Type}),
                                (\forall (x:A), C x x 1) \rightarrow
                               \forall (x y : A) (p : x = y), C x y p.
  path\_induction. apply X.
Defined.
Definition Lemma231 \{A\} (P:A \rightarrow \text{Type}) (x y:A) (p:x=y):P(x) \rightarrow P(y).
  intro. rewrite \leftarrow p. apply X.
Defined.
Definition isContr (A: Type) := {a:A & \forall (x:A), a = x}.
Definition Lemma3118 \{A\}: \forall (a:A), isContr \{x:A \& a=x\}.
  intro a. unfold is Contr. \exists (a; 1).
  intro x. destruct x as [x p]. path\_induction. reflexivity.
Defined.
Definition my_contr \{A\} (p:isContr A) := p.2.
Definition ind' \{A\}: \forall (a:A) (C:\forall (x:A), a=x \rightarrow \text{Type}),
                                 C a 1 \rightarrow \forall (x:A) (p:a=x), C x p.
  intros.
  assert (isContr \{x: A \& a=x\}) as H. apply Lemma3118.
  change (C \times p) with ((\text{fun } c \Rightarrow C \text{ c.1 c.2}) \times (x; p)).
  apply Lemma231 with (x0:=(a; 1)) (y:=(x; p)).
  transitivity H.1. destruct H as [[a'p']z]. simpl.
  rewrite \leftarrow p'. reflexivity.
  destruct H as [[a'p']z]. simpl. rewrite \leftarrow p'. rewrite \leftarrow p. reflexivity.
  apply X.
Defined.
```

**Exercise 1.8 (p. 56)** Define multiplication and exponentiation using  $rec_{\mathbb{N}}$ . Verify that  $(\mathbb{N}, +, 0, \times, 1)$  is a semiring using only  $ind_{\mathbb{N}}$ .

Local Open Scope nat\_scope.

**Solution** For multiplication, we need to construct a function mult :  $\mathbb{N} \to \mathbb{N} \to \mathbb{N}$ . Defined with pattern-matching, we would have

```
\mathsf{mult}(0,m) :\equiv 0
\mathsf{mult}(\mathsf{succ}(n),m) :\equiv m + \mathsf{mult}(n,m)
```

so in terms of  $rec_{\mathbb{N}}$  we have

```
\operatorname{mult} :\equiv \operatorname{rec}_{\mathbb{N}}(\mathbb{N} \to \mathbb{N}, \lambda n. 0, \lambda n. \lambda g. \lambda m. \operatorname{add}(m, g(m)))
```

For exponentiation, we have the function  $\exp: \mathbb{N} \to \mathbb{N}$ , with the intention that  $\exp(e,b) = b^e$ . In terms of pattern matching,

```
\begin{split} \exp(0,b) &:\equiv 1 \\ \exp(\operatorname{succ}(e),b) &:\equiv \operatorname{mult}(b,\exp(e,b)) \end{split}
```

or, in terms of  $rec_{\mathbb{N}}$ ,

$$\exp :\equiv \operatorname{rec}_{\mathbb{N}}(\mathbb{N} \to \mathbb{N}, \lambda n. 1, \lambda n. \lambda g. \lambda m. \operatorname{mult}(m, g(m)))$$

In Coq, we can define these by

```
Fixpoint plus (n \ m : nat) : nat := match \ n \ with
| \ 0 \Rightarrow m \ | \ S \ p \Rightarrow S \ (p + m) \ end

where "n + m" := (plus n \ m) : nat\_scope.

Fixpoint mult (n \ m : nat) : nat := match \ n \ with
| \ 0 \Rightarrow 0 \ | \ S \ p \Rightarrow m + (p \times m) \ end

where "n * m" := (mult n \ m) : nat\_scope.

Fixpoint myexp (e \ b : nat) := match \ e \ with
| \ 0 \Rightarrow S \ 0 \ | \ S \ e' \Rightarrow b \times (myexp \ e' \ b) \ end.
```

To verify that  $(\mathbb{N}, +, 0, \times, 1)$  is a semiring, we need stuff from Chapter 2. In particular, we need the following properties of the identity. First, for all types A and x, y : A, we have the inversion mapping, with type

$$p \mapsto p^{-1} : (x = y) \to (y = x)$$

and such that  $\operatorname{refl}_x^{-1} \equiv \operatorname{refl}_x$  for each x : A. Second, for x, y, z : A we have concatenation:

$$p \mapsto q \mapsto p \cdot q : (x = y) \to (y = z) \to (x = z)$$

such that  $\operatorname{refl}_x \cdot \operatorname{refl}_x \equiv \operatorname{refl}_x$  for any x : A. To show that  $(\mathbb{N}, +, 0, \times, 1)$  is a semiring, we need to verify that for all  $n, m, k : \mathbb{N}$ ,

- (i)  $\prod_{(n:\mathbb{N})} 0 + n = n = n + 0$
- (ii)  $\prod_{(n:\mathbb{N})} 0 \times n = 0 = n \times 0$ .
- (iii)  $\prod_{(n:\mathbb{N})} 1 \times n = n = n \times 1$
- (iv)  $\prod_{(n,m:\mathbb{N})} n + m = m + n$
- (v)  $\prod_{(n,m,k:\mathbb{N})} (n+m) + k = n + (m+k)$
- (vi)  $\prod_{(n,m,k:\mathbb{N})} (n \times m) \times k = n \times (m \times k)$
- (vii)  $\prod_{(n,m,k:\mathbb{N})} n \times (m+k) = (n \times m) + (n \times k)$
- (viii)  $\prod_{(n,m,k:\mathbb{N})} (n+m) \times k = (n \times k) + (m \times k)$

For (i)–(iii), we show each equality separately and then use concatenation to show the implicit third equality. We dream of next chapter, where we obtain the function ap.

(i) For all  $n : \mathbb{N}$ , we have

$$0+n \equiv \mathsf{add}(0,n) \equiv n$$

so refl :  $\prod_{n:\mathbb{N}} 0 + n = n$ . For the other equality we'll need induction on n. For the base case, we have

$$0+0 \equiv \mathsf{add}(0,0) \equiv 0.$$

so  $refl_0: 0 = 0 + 0$ . Fix n and suppose for the induction step that  $p_n: n = n + 0$ . Then we have

$$succ(n) + 0 \equiv add(succ(n), 0) \equiv succ(add(n, 0))$$

so we turn again to based path induction, with the family

$$C:\prod_{m:\mathbb{N}}(n=m)\to\mathcal{U}$$
  $C(m,p):\equiv(\mathrm{succ}(n)=\mathrm{succ}(m))$ 

and the element  $refl_{succ(n)} : C(n, refl_n)$ . So we have

$$\operatorname{ind}'_{=}(n, C, \operatorname{refl}_{\operatorname{succ}(n)}, \operatorname{refl}_n, \operatorname{add}(n, 0), p_n) : \operatorname{succ}(n) = \operatorname{succ}(\operatorname{add}(n, 0))$$

and discharging our induction step gives

$$q :\equiv \mathsf{ind}_{\mathbb{N}}(\lambda n.\,(n=n+0),\mathsf{refl}_0,\lambda n.\,\mathsf{ind}'_{=}(n,C,\mathsf{refl}_{\mathsf{succ}(n)},\mathsf{refl}_n,\mathsf{add}(n,0))) : \prod_{n:\mathbb{N}} (n=n+0)$$

For the final equality, we use concatenation. From  $refl_n : 0 + n = n$  and  $q_n : n = n + 0$ , we have  $refl_n \cdot q_n : 0 + n = n + 0$ .

(ii) For all  $n : \mathbb{N}$ ,

$$0 \times n \equiv \mathsf{mult}(0, n) \equiv 0$$

so  $\lambda n$ . refl<sub>0</sub> :  $\prod_{(n:\mathbb{N})} 0 \times n = 0$ . For the other direction, induction on n. The base case is

$$0 \times 0 = \mathsf{mult}(0, 0) = 0$$

so  $\text{refl}_0: 0 = 0 \times 0$ . Fixing n and supposing for the induction step that  $p_n: 0 = n \times 0$ , we have

$$\mathsf{mult}(\mathsf{succ}(n),0) \equiv 0 + \mathsf{mult}(n,0) \equiv \mathsf{add}(0,\mathsf{mult}(n,0)) \equiv \mathsf{mult}(n,0)$$

so  $p_n : 0 = \operatorname{succ}(n) \times 0$ . Thus

$$q :\equiv \operatorname{ind}_{\mathbb{N}}(\lambda n. (0 = n \times 0), \operatorname{refl}_0, \lambda n. \operatorname{id}_{n=n \times 0}) : \prod_{n : \mathbb{N}} (n = n \times 0).$$

And again,  $refl_0 \cdot q_n : 0 \times n = n \times 0$  gives us the last equality.

(iii) For all  $n : \mathbb{N}$ ,

$$1 \times n \equiv \operatorname{succ}(0) \times n \equiv n + (0 \times n) \equiv n + 0$$

so, recalling  $q_n$  from (i), we have  $\operatorname{refl}_{1\times n} \cdot q_n^{-1} : 1 \times n = n$ . For the other direction, we proceed by induction on n. For the base case we have

$$0 \times 1 \equiv \mathsf{mult}(0,1) \equiv 0$$

so  $\operatorname{refl}_0: 0 = 0 \times 1$ . Fixing n and supposing for induction that  $p_n: n = n \times 1$ , we have

$$\mathsf{mult}(\mathsf{succ}(n),1) \equiv 1 + \mathsf{mult}(n,1) \equiv \mathsf{succ}(0) + \mathsf{mult}(n,1) \equiv \mathsf{succ}(n \times 1)$$

So we turn to based path induction again. Let  $C(m) = \operatorname{succ}(n) = \operatorname{succ}(m)$ ; then

$$\operatorname{ind}'_{=}(n, C, \operatorname{refl}_{\operatorname{succ}(n)}, n \times 1, p_n) : \operatorname{succ}(n) = \operatorname{succ}(n \times 1)$$

and

$$r :\equiv \mathsf{ind}_{\mathbb{N}}(\lambda n.\,(n=n\times 1),\mathsf{refl}_0,\lambda n.\,\mathsf{ind}'_=(n,\mathsf{C},\mathsf{refl}_{\mathsf{succ}(n)},n\times 1)) : \prod_{n:\mathbb{N}}(n=n\times 1)$$

For the third equality, finally,  $\operatorname{refl}_{1\times n} \cdot q_n^{-1} \cdot r_n : 1 \times n = n \times 1$ .

(iv) We first prove an auxiliary lemma by induction:  $\prod_{(n,m:\mathbb{N})} \operatorname{succ}(n+m) = n + \operatorname{succ}(m)$ . For the base case, we have  $\operatorname{succ}(0+m) \equiv \operatorname{succ}(m) \equiv 0 + \operatorname{succ}(m)$ , so  $\operatorname{refl}_{\operatorname{succ}(m)} : \operatorname{succ}(0+m) = 0 + \operatorname{succ}(m)$ . Fix  $n:\mathbb{N}$ , and suppose for induction that  $p_n: \operatorname{succ}(n+m) = n + \operatorname{succ}(m)$ . Then

$$succ(succ(n) + m) \equiv succ(succ(n + m))$$

and based path induction on  $C(m) :\equiv \operatorname{succ}(\operatorname{succ}(n+m)) = \operatorname{succ}(m)$  gives

$$\mathsf{ind}'_{=}(\mathsf{succ}(n+m), C, \mathsf{refl}_{\mathsf{succ}(\mathsf{succ}(n+m))}, n + \mathsf{succ}(m), p_n) : \mathsf{succ}(\mathsf{succ}(n+m)) = \mathsf{succ}(n + \mathsf{succ}(m))$$

so letting 
$$D(n) := \prod_{(m:\mathbb{N})} (\operatorname{succ}(n+m) = n + \operatorname{succ}(m))$$
,

$$r :\equiv \mathsf{ind}_{\mathbb{N}}(D, \mathsf{refl}_{\mathsf{succ}(m)}, \lambda n. \, \mathsf{ind}'_{=}(\mathsf{succ}(n+m), C, \mathsf{refl}_{\mathsf{succ}(\mathsf{succ}(n+m))}, n + \mathsf{succ}(m))) : \prod_{n : \mathbb{N}} D(n)$$

We now proceed by induction on n to show (iv). For the base case, recalling  $q_n$  from (i), we have  $refl_m \cdot q_m : 0 + m = m + 0$ . Fixing n and supposing for induction that  $p_n : n + m = m + n$ , we have

$$succ(n) + m \equiv succ(n + m)$$

We then apply based path induction on  $E(k) :\equiv \operatorname{succ}(n+m) = \operatorname{succ}(k)$  to obtain

$$\operatorname{ind}'_{=}(n+m, E, \operatorname{refl}_{\operatorname{succ}(n+m)}, m+n, p_n) : \operatorname{succ}(n) + m = \operatorname{succ}(m+n)$$

$$\operatorname{ind}'_{=}(n+m, E, \operatorname{refl}_{\operatorname{succ}(n+m)}, m+n, p_n) \cdot r_{m,n} : \operatorname{succ}(n) + m = m + \operatorname{succ}(n)$$

and, finally, for the family F(n) = n + m = m + n,

$$\mathsf{ind}_{\mathbb{N}}(F,\mathsf{refl}_m \bullet q_m, \lambda n. \, \lambda p. \, (\mathsf{ind}'_=(n+m,E,\mathsf{refl}_{\mathsf{succ}(n+m)}, m+n,p) \bullet r_{m,n})) : \prod_{n:\mathbb{M}} \, n+m = m+m$$

Abstracting out the *m* gives us (iv).

(v) Fix *m* and *k*. We proceed by induction on *n*. For the base case,

$$(0+m) + k \equiv m + k \equiv 0 + (m+k)$$

By the definition of add. Fix n, and suppose that  $p_n:(n+m)+k=n+(m+k)$ . We have

$$(\operatorname{succ}(n) + m) + k \equiv \operatorname{succ}(n + m) + k \equiv \operatorname{succ}((n + m) + k)$$

So based path induction on  $C(\ell) = \operatorname{succ}((n+m) + k) = \operatorname{succ}(\ell)$  gives

$$\operatorname{ind}'_{=}((n+m)+k, C, \operatorname{refl}_{\operatorname{succ}((n+m)+k)}, n+(m+k), p_n) : \operatorname{succ}((n+m)+k) = \operatorname{succ}(n+(m+k))$$

which is equivalently the type  $(\operatorname{succ}(n) + m) + k = \operatorname{succ}(n) + (m + k)$ . So induction over D(n) = (n + m) + k = n + (m + k) gives

$$\mathsf{ind}_{\mathbb{N}}(D,\mathsf{refl}_{(0+m)+k},\lambda n.\,\lambda p.\,\mathsf{ind}'_{=}((n+m)+k,C,\mathsf{refl}_{\mathsf{succ}((n+m)+k)},n+(m+k),p)):\prod_{n:\mathbb{N}}\,D(n)$$

and abstracting out the m and k gives us (v).

(vi) Fix m and k. First an auxiliary lemma; we show that  $(n + m) \times k = (n \times k) + (m \times k)$  by induction on n. For the base case,

$$(0+m) \times k \equiv m \times k \equiv 0 + (m \times k) \equiv (0 \times k) + (m \times k)$$

Now fix *n* and suppose that  $p_n : (n + m) \times k = n \times k + m \times k$ .

$$(\operatorname{succ}(n) + m) \times k \equiv \operatorname{succ}(n + m) \times k \equiv k + (n + m) \times k$$

and

$$succ(n) \times k + m \times k \equiv (k + n \times k) + m \times k$$

Using based path induction over  $C(\ell) := k + (n + m) \times k = k + \ell$ , we get

$$\operatorname{ind}'_{=}((n+m)\times k, C, \operatorname{refl}_{k+(n+m)\times k}, n\times k+m\times k, p_n): k+(n+m)\times k=k+(n\times k+m\times k)$$

We established in (v) that addition is associative, so we have some

$$r_{k,n\times k,m\times k}^{-1}: k + (n\times k + m\times k) = (k+n\times k) + m\times k$$

and concatenating this with the result of the based path induction gives something of type

$$k + (n + m) \times k = (k + n \times k) + m \times k$$

Our two strings of judgemental equalities mean that this is the same as the type

$$(\operatorname{succ}(n) + m) \times k = \operatorname{succ}(n) \times k + m \times k.$$

So we can now perform the induction over  $D(\ell) = (n+m) \times k = n \times k + m \times k$  to obtain

$$\operatorname{ind}_{\mathbb{N}}(D,\operatorname{refl}_{(0+m)\times k},\lambda n.\lambda p.(\operatorname{ind}'_{=}((n+m)\times k,C,\operatorname{refl}_{k+(n+m)\times k},n\times k+m\times k,p_n) \cdot r_{k,n\times k,m\times k}^{-1}))$$

which is of type

$$\prod_{n:\mathbb{N}} (n+m) \times k = n \times k + m \times k$$

abstracting out the m and k give the final result (i.e., that multiplication on the right distributes over addition).

Now, for (vi). As always, it's induction on n. For the base case

$$(0 \times m) \times k \equiv 0 \times k \equiv 0 \equiv 0 \times (m \times k)$$

Now fix *n* and assume that  $p_n : (n \times m) \times k = n \times (m \times k)$ . We have

$$(\operatorname{succ}(n) \times m) \times k \equiv (m + n \times m) \times k$$

and

$$succ(n) \times (m \times k) \equiv m \times k + n \times (m \times k)$$

From our lemma, then, there is a function

$$q: \prod_{n:\mathbb{N}} (\operatorname{succ}(n) \times m) \times k = m \times k + (n \times m) \times k$$

we use based path induction over  $E(\ell) :\equiv m \times k + \ell$  to obtain

$$\operatorname{ind}'_{=}((n \times m) \times k, E, \operatorname{refl}_{m \times k + (n \times m) \times k}, n \times (m \times k), p_n) : m \times k + (n \times m) \times k = m \times k + n \times (m \times k)$$

which, concatenated with  $q_n$  and altered by the second judgemental equality, gives something of type

$$(\operatorname{succ}(n) \times m) \times k = \operatorname{succ}(n) \times (m \times k)$$

So our induction principle over  $F(\ell) :\equiv (n \times m) \times k = n \times (m \times k)$  gives

$$\operatorname{ind}_{\mathbb{N}}(F, \operatorname{refl}_{(0 \times m) \times k}, \lambda n. \lambda p. (q_n \cdot \operatorname{ind}'_{=}((n \times m) \times k, E, \operatorname{refl}_{m \times k + (n \times m) \times k}, n \times (m \times k), p_n)))$$

of type

$$\prod_{n:\mathbb{N}} (n \times m) \times k = n \times (m \times k)$$

and abstracting out the m and k gives (vi).

(vii) Fix m and k. We proceed by induction on n. For the base case we have

$$0 \times (m+k) \equiv 0 \equiv 0 + 0 \equiv (0 \times m) + (0 \times k)$$

So fix  $n : \mathbb{N}$  and suppose that  $p_n : n \times (m+k) = (n \times m) + (n \times k)$ . We have

$$succ(n) \times (m+k) \equiv (m+k) + n \times (m+k)$$

and

$$(\operatorname{succ}(n) \times m) + (\operatorname{succ}(n) \times k) \equiv (m + n \times m) + (k + n \times k)$$

Now by (iv) and (v) we have the following two functions

$$q: \prod_{n,m:\mathbb{N}} n+m=m+n \qquad \qquad r: \prod_{n,m,k:\mathbb{N}} (n+m)+k=n+(m+k)$$

A long chain of based path inductions allows us to construct an object of type

$$(\operatorname{succ}(n) \times m) + (\operatorname{succ}(n) \times k) = (m+k) + (n \times m + n \times k)$$

In the interest of masochism, I'll do them explicitly. We start with

$$r_1 :\equiv r_{m,n \times m,k+n \times k} : (m+n \times m) + (k+n \times k) = m + (n \times m + (k+n \times k))$$

Based path induction over  $C_1(\ell) :\equiv m + (n \times m + (k + n \times k)) = m + \ell$  and using

$$r_2 :\equiv r_{n \times m, k, n \times k} : n \times m + (k + n \times k) = (n \times m + k) + n \times k$$

gives

$$\langle r_2 \rangle :\equiv \operatorname{ind}'_{=}(n \times m + (k + n \times k), C_1, \operatorname{refl}_{m + (n \times m + (k + n \times k))}, (n \times m + k) + n \times k, r_2)$$

which results in

$$r_1 \cdot \langle r_2 \rangle : (m + n \times m) + (k + n \times k) = m + ((n \times m + k) + n \times k)$$

Next consider

$$q_1 :\equiv q_{n \times m,k} : n \times m + k = k + n \times m$$

which is passed through a based path induction on  $C_2(\ell) :\equiv m + ((n \times m + k) + n \times k) = m + (\ell + n \times k)$  to get

$$\langle q_1 \rangle :\equiv \operatorname{ind}'_{=}(n \times m + k, C_2, \operatorname{refl}_{m+((n \times m+k)+n \times k)}, k + n \times m, q_1)$$

which adds to our chain, giving

$$r_1 \cdot \langle r_2 \rangle \cdot \langle q_1 \rangle : (m + n \times m) + (k + n \times k) = m + ((k + n \times m) + n \times k)$$

Now just two applications of associativity are left. We have

$$r_3 :\equiv r_{k,n \times m,n \times k} : (k + n \times m) + n \times k = k + (n \times m + n \times k)$$

so for 
$$C_3(\ell) :\equiv m + ((k + n \times m) + n \times k) = m + \ell$$
, we have

$$\langle r_3 \rangle :\equiv \operatorname{ind}'_{=}((k+n\times m)+n\times k, C_3, \operatorname{refl}_{m+((k+n\times m)+n\times k)}, k+(n\times m+n\times k), r_3)$$

making our chain of type

$$r_1 \cdot \langle r_2 \rangle \cdot \langle q_1 \rangle \cdot \langle r_3 \rangle : (m + n \times m) + (k + n \times k) = m + (k + (n \times m + n \times k))$$

Finally, take

$$r_4 :\equiv r_{m,k,n \times m+n \times k}^{-1} : m + (k + (n \times m + n \times k)) = (m+k) + (n \times m + n \times k)$$

so after applying the last judgemental equality above, we have

$$f :\equiv r_1 \cdot \langle r_2 \rangle \cdot \langle q_1 \rangle \cdot \langle r_3 \rangle \cdot r_4 : (\operatorname{succ}(n) \times m) + (\operatorname{succ}(n) \times k) = (m+k) + (n \times m + n \times k)$$

Now, consider the family  $D(\ell) :\equiv (m+k) + n \times (m+k) = (m+k) + \ell$ . Based path induction once more gives us

$$\operatorname{ind}'_{=}(n\times(m+k),D,\operatorname{refl}_{(m+k)+n\times(m+k)},n\times m+n\times k,p_n)\cdot f^{-1}$$

which, after application of our judgemental equalities, is of type

$$succ(n) \times (m+k) = (succ(n) \times m) + (succ(n) \times k)$$

So we can at last apply induction over  $\mathbb{N}$ , using the family  $E(n): n \times (m+k) = (n \times m) + (n \times k)$ , giving

$$\operatorname{ind}_{\mathbb{N}}(E,\operatorname{refl}_{0\times(m+k)},\lambda n.\lambda p.(\operatorname{ind}'_{=}(n\times(m+k),D,\operatorname{refl}_{(m+k)+n\times(m+k)},n\times m+n\times k,p)\bullet f^{-1}))$$

which is of type

$$\prod_{n:\mathbb{N}} n \times (m+k) = (n \times m) + (n \times k)$$

and m and k may be abstracted out to give (vii).

(viii) This was shown as a lemma in proving (vi).

In Coq we'll do things a touch out of order, so as to appeal to (viii) in the proof of (vi).

```
Theorem plus_0_r: \forall (n: nat), n = plus n 0.
```

Proof.

induction n; [| simpl; rewrite  $\leftarrow IHn$ ]; reflexivity. Qed.

Theorem  $ex1_8_i : \forall (n : nat),$ 

$$(0 + n = n) \wedge (n = n + 0) \wedge (0 + n = n + 0).$$

Proof.

 $\texttt{split}; \texttt{[} \texttt{|} \texttt{split}; \texttt{rewrite} \leftarrow \texttt{plus\_0\_r]}; \texttt{reflexivity}.$ 

Theorem mult\_0\_r:  $\forall$  (n: nat),  $0 = n \times 0$ .

Proof.

 $\verb"induction"\,n; \verb"[| simpl"; \verb"rewrite" \leftarrow IHn"]; \verb"reflexivity".$ 

Qed.

Theorem  $ex1_8_{ii} : \forall (n : nat),$ 

$$(0 \times n = 0) \wedge (0 = n \times 0) \wedge (0 \times n = n \times 0).$$

Proof.

 $\label{eq:split} \texttt{split}; \texttt{rewrite} \leftarrow mult\_0\_r]; \texttt{reflexivity}.$  Qed.

Theorem mult\_1\_r:  $\forall$  (n: nat),  $n = n \times 1$ .

```
Proof.
  induction n; [| simpl; rewrite \leftarrow IHn]; reflexivity.
Theorem \text{mult}_{-1}: \forall (n : \text{nat}), 1 \times n = n.
Proof.
  simpl; intro n; rewrite \leftarrow plus_0_r; reflexivity.
Theorem ex1_8_{iii} : \forall (n : nat),
                            (1 \times n = n) \wedge (n = n \times 1) \wedge (1 \times n = n \times 1).
Proof.
  split; [rewrite mult_1_l
           | split; rewrite \leftarrow mult_1_r;
              [| rewrite mult_1_l]];
  reflexivity.
Qed.
Theorem plus_n_Sm: \forall (n m: nat), S(n + m) = n + (Sm).
Proof.
  intros n m.
  induction n; [ | simpl; rewrite IHn]; reflexivity.
Theorem ex1_8_iv : \forall (n m : nat), n + m = m + n.
Proof.
  intros n m.
  induction n; [rewrite \leftarrow plus_0_r
                   | simpl; rewrite \leftarrow plus_n_Sm; rewrite IHn];
  reflexivity.
Qed.
Definition plus_comm := ex1_8_iv.
Theorem ex1_8v: \forall (n \ m \ k: nat),
                         (n+m) + k = n + (m+k).
Proof.
  intros n m k.
  induction n; [ | simpl; rewrite IHn]; reflexivity.
Theorem ex1_8_viii : \forall (n \ m \ k : nat),
                             (n+m) \times k = (n \times k) + (m \times k).
Proof.
  intros n m k.
  induction n; [| simpl; rewrite IHn; rewrite ex1_8_v]; reflexivity.
Qed.
Theorem ex1_8_vi: \forall (n m k: nat),
                          (n \times m) \times k = n \times (m \times k).
Proof.
  intros n m k.
  induction n; [| simpl; rewrite \leftarrow IHn; rewrite \leftarrow ex1_8_viii]; reflexivity.
Qed.
(* Yikes. This would be easier if replace were available *)
Theorem ex1_8_vii: \forall (n m k: nat),
                            n \times (m+k) = (n \times m) + (n \times k).
```

#### Proof.

```
intros n \ m \ k.
induction n; [reflexivity | ].
simpl. rewrite IHn. rewrite \leftarrow ex1\_8\_v. rewrite \leftarrow ex1\_8\_v.
cut (m + n \times m + k = m + k + n \times m). intro H. rewrite H. reflexivity.
rewrite ex1\_8\_v.
cut (n \times m + k = k + n \times m). intro H. rewrite H. rewrite \leftarrow ex1\_8\_v. reflexivity.
rewrite ex1\_8\_iv. reflexivity.
Qed.
```

Local Close Scope nat\_scope.

**Exercise 1.9 (p. 56)** Define the type family Fin :  $\mathbb{N} \to \mathcal{U}$  mentioned at the end of §1.3, and the dependent function fmax :  $\prod_{(n:\mathbb{N})} \mathsf{Fin}(n+1)$  mentioned in §1.4.

Local Open Scope nat\_scope.

**Solution** Fin(n) is a type with exactly n elements. Consider Fin(n) from the types-as-propositions point of view: Fin(n) is a predicate that applies to exactly n elements. Recalling that  $\sum_{(m:\mathbb{N})} (m < n)$  may be regarded as "the type of all elements m:  $\mathbb{N}$  such that (m < n)", we note that there are n such elements, and define

$$\mathsf{Fin}(n) :\equiv \sum_{m:\mathbb{N}} (m < n) \equiv \sum_{m:\mathbb{N}} \sum_{k:\mathbb{N}} (m + \mathsf{succ}(k) = n)$$

And in Coq,

```
Definition le (n m : nat): Type := \{k : nat \& n + k = m\}.

Infix "\leq" := le : nat\_scope.

Definition lt (n m : nat): Type := \{k : nat \& n + S k = m\}.

Infix "\leq" := lt : nat\_scope.

Definition Fin (n:nat): Type := \{m : nat \& m < n\}.
```

To prove that this definition is correct, we should show that for every  $n : \mathbb{N}$ ,  $\mathsf{Fin}(n)$  has n elements. This is just to say that there is a bijection between the set of numbers less than n and the elements of  $\mathsf{Fin}(n)$ . One direction is obvious: for any  $m : \mathsf{Fin}(n), \mathsf{pr}_2(\mathsf{pr}_2(m)) : (\mathsf{pr}_1(m) < n)$ . For the other direction, suppose that  $m : \mathbb{N}$  and that p : (m < n). Then

$$(m,p): \sum m: \mathbb{N}(m < n) \equiv \mathsf{Fin}(n)$$

Moreover, these two constructions are clearly inverses, so we have our bijection. To formalize this argument, I have to appeal to the fact that equality in  $\mathbb N$  is decidable as well as the notion of equivalence introduced in the next chapter. I prove it in Exercise 3.22.

To define fmax, note that one can think of an element of Fin(n) as a tuple (m, (k, p)), where p: m + succ(k) = n. The maximum element of Fin(n + 1) will have the greatest value in the first slot, so

$$\mathsf{fmax}(n) :\equiv n_{n+1} :\equiv (n, (0, \mathsf{refl}_{n+1})) : \sum_{(m:\mathbb{N})} \sum_{(k:\mathbb{N})} (m + \mathsf{succ}(k) = n+1) \equiv \mathsf{Fin}(n+1)$$

Definition fmax (n:nat): Fin(n+1) := (n; (0; idpath)).

Fully verifying that this definition is correct is tedious but straightforward. We need to show that

$$\prod_{(n:\mathbb{N})} \prod_{(m_{n+1}: \mathsf{Fin}(n+1))} \left( \mathsf{pr}_1(m_{n+1}) \leq \mathsf{pr}_1(\mathsf{fmax}(n)) \right)$$

is inhabited. Unfolding this a bit, we get

$$\prod_{(n:\mathbb{N})} \prod_{(m_{n+1}:\mathsf{Fin}(n+1))} (m \leq n) \equiv \prod_{(n:\mathbb{N})} \prod_{(m_{n+1}:\mathsf{Fin}(n+1))} \sum_{(k:\mathbb{N})} (m+k=n)$$

Fix some such n and  $m_{n+1}$ . By the propositional uniqueness principle for  $\Sigma$ -types, we can write  $m_{n+1} = (m^1, (m^2, m^3))$ , where  $m^3 : m^1 + \operatorname{succ}(m^2) = n + 1$ . Using the results of the previous exercise, we can obtain from  $m^3$  a proof  $p : m^1 + m^2 = n$ . So  $(m^2, p)$  is a witness to our result. Coq requires a bit of finagling, since inversion isn't available.

```
Definition pred (n: nat): nat :=
  match n with
    | 0 \Rightarrow 0
    |Sn' \Rightarrow n'|
Theorem S_inj: \forall (n m : nat), S n = S m \rightarrow n = m.
  intros.
  cut (pred (S n) = pred (S m)). intros.
  simpl in X. apply X.
  rewrite H. reflexivity.
Qed.
Theorem plus_1_r: \forall n, S n = n + 1.
Proof.
  intros. rewrite plus_comm. reflexivity.
Qed.
Theorem fmax_correct: \forall (n:nat) (m:Fin(n+1)),
                              m.1 < (fmax n).1.
Proof.
  unfold Fin, lt, le. intros. simpl.
  \exists m.2.1.
  apply S_inj. rewrite plus_n_Sm.
  rewrite plus_1_r.
  apply m.2.2.
Qed.
Local Close Scope nat_scope.
```

**Exercise 1.10 (p. 56)** Show that the Ackermann function ack :  $\mathbb{N} \to \mathbb{N} \to \mathbb{N}$ , satisfying the following equations

$$\begin{aligned} \operatorname{ack}(0,n) &\equiv \operatorname{succ}(n), \\ \operatorname{ack}(\operatorname{succ}(m),0) &\equiv \operatorname{ack}(m,1), \\ \operatorname{ack}(\operatorname{succ}(m),\operatorname{succ}(n)) &\equiv \operatorname{ack}(m,\operatorname{ack}(\operatorname{succ}(m),n)), \end{aligned}$$

is definable using only  $rec_N$ .

**Solution** ack must be of the form

$$ack :\equiv rec_{\mathbb{N}}(\mathbb{N} \to \mathbb{N}, \Phi, \Psi)$$

with

$$\Phi: \mathbb{N} \to \mathbb{N}$$
  $\Psi: \mathbb{N} \to (\mathbb{N} \to \mathbb{N}) \to (\mathbb{N} \to \mathbb{N})$ 

which we can determine by their intended behaviour. We have

$$\operatorname{ack}(0,n) \equiv \operatorname{rec}_{\mathbb{N}}(\mathbb{N} \to \mathbb{N}, \Phi, \Psi, 0)(n) \equiv \Phi(n)$$

So we must have  $\Phi :\equiv \mathsf{succ}$ , which is of the correct type. The next equation gives us

$$\begin{aligned} \mathsf{ack}(\mathsf{succ}(m),0) &\equiv \mathsf{rec}_{\mathbb{N}}(\mathbb{N} \to \mathbb{N},\mathsf{succ}, \Psi,\mathsf{succ}(m))(0) \\ &\equiv \Psi(m,\mathsf{rec}_{\mathbb{N}}(\mathbb{N} \to \mathbb{N},\mathsf{succ}, \Psi,m))(0) \\ &\equiv \Psi(m,\mathsf{ack}(m,-),0) \end{aligned}$$

Suppose that  $\Psi$  is also defined in terms of  $rec_{\mathbb{N}}$ . We know its signature, giving the first arg, and this second equation gives its behavior on 0, the second arg. So it must be of the form

$$\Psi = \lambda m. \lambda r. \operatorname{rec}_{\mathbb{N}}(\mathbb{N}, r(1), \Theta(m, r))$$
  $\Theta : \mathbb{N} \to (\mathbb{N} \to \mathbb{N}) \to \mathbb{N} \to \mathbb{N}$ 

The final equation fixes  $\Theta$ :

```
\begin{aligned} &\operatorname{ack}(\operatorname{succ}(m),\operatorname{succ}(n)) \\ &\equiv \operatorname{rec}_{\mathbb{N}}(\mathbb{N} \to \mathbb{N},\operatorname{succ},\lambda m.\,\lambda r.\,\operatorname{rec}_{\mathbb{N}}(\mathbb{N},r(1),\Theta(m,r)),\operatorname{succ}(m))(\operatorname{succ}(n)) \\ &\equiv \operatorname{rec}_{\mathbb{N}}(\mathbb{N},\operatorname{ack}(m,1),\Theta(m,\operatorname{ack}(m,-)),\operatorname{succ}(n)) \\ &\equiv \Theta(m,\operatorname{ack}(m,-),n,\operatorname{rec}_{\mathbb{N}}(\mathbb{N},\operatorname{ack}(m,1),\Theta(m,\operatorname{ack}(m,-)),n)) \\ &\equiv \Theta(m,\operatorname{ack}(m,-),n,\Psi(m,\operatorname{ack}(m,-),n)) \end{aligned}
```

Looking at the second equation again suggests that the final argument to  $\Theta$  is really ack(succ(m), n). Supposing this is true,

$$\Theta :\equiv \lambda m. \lambda r. \lambda n. \lambda s. r(s)$$

should work. Putting it all together, we have

$$ack :\equiv rec_{\mathbb{N}}(\mathbb{N} \to \mathbb{N}, succ, \lambda m. \lambda r. rec_{\mathbb{N}}(\mathbb{N}, r(1), \lambda n. \lambda s. r(s)))$$

In Coq, we define

```
Definition ack: nat \rightarrow nat \rightarrow nat :=
nat\_rect (fun \_ \Rightarrow nat \rightarrow nat)
S
(fun \ m \ r \Rightarrow nat\_rect (fun \_ \Rightarrow nat)
(r \ (S \ 0))
(fun \ n \ s \Rightarrow (r \ s))).
```

Now, to show that the three equations hold, we just calculate

$$\operatorname{ack}(0, n) \equiv \operatorname{rec}_{\mathbb{N}}(\mathbb{N} \to \mathbb{N}, \operatorname{succ}, \lambda m. \lambda r. \operatorname{rec}_{\mathbb{N}}(\mathbb{N}, r(1), \lambda n. \lambda s. r(s)), 0)(n) \equiv \operatorname{succ}(n)$$

for the first,

$$\begin{aligned} \mathsf{ack}(\mathsf{succ}(m),0) &\equiv \mathsf{rec}_{\mathbb{N}}(\mathbb{N} \to \mathbb{N}, \mathsf{succ}, \lambda m. \, \lambda r. \, \mathsf{rec}_{\mathbb{N}}(\mathbb{N}, r(1), \lambda n. \, \lambda s. \, r(s)), \mathsf{succ}(m))(0) \\ &\equiv \mathsf{rec}_{\mathbb{N}}(\mathbb{N}, \mathsf{ack}(m,1), \lambda n. \, \lambda s. \, \mathsf{ack}(m,s), 0) \\ &\equiv \mathsf{ack}(m,1) \end{aligned}$$

for the second, and finally

$$\begin{aligned} \mathsf{ack}(\mathsf{succ}(m),\mathsf{succ}(n)) &\equiv \mathsf{rec}_{\mathbb{N}}(\mathbb{N} \to \mathbb{N},\mathsf{succ},\lambda m.\,\lambda r.\,\mathsf{rec}_{\mathbb{N}}(\mathbb{N},r(1),\lambda n.\,\lambda s.\,r(s)),\mathsf{succ}(m))(\mathsf{succ}(n)) \\ &\equiv \mathsf{rec}_{\mathbb{N}}(\mathbb{N},\mathsf{ack}(m,1),\lambda n.\,\lambda s.\,\mathsf{ack}(m,s),\mathsf{succ}(n)) \\ &\equiv \mathsf{ack}(m,\mathsf{rec}_{\mathbb{N}}(\mathbb{N},\mathsf{ack}(m,1),\lambda n.\,\lambda s.\,\mathsf{ack}(m,s),n)) \end{aligned}$$

Focus on the second argument of the outer ack. We have

$$\mathsf{ack}(\mathsf{succ}(m), n) \equiv \mathsf{rec}_{\mathbb{N}}(\mathbb{N} \to \mathbb{N}, \mathsf{succ}, \lambda m. \lambda r. \mathsf{rec}_{\mathbb{N}}(\mathbb{N}, r(1), \lambda n. \lambda s. r(s)), \mathsf{succ}(m))(n)$$
$$\equiv \mathsf{rec}_{\mathbb{N}}(\mathbb{N}, \mathsf{ack}(m, 1), \lambda n. \lambda s. \mathsf{ack}(m, s), n)$$

and so we may substitute it back in to get

$$ack(succ(m), succ(n)) \equiv ack(m, ack(succ(m), n))$$

which is the third equality. In Coq,

Goal  $\forall$  n, ack 0 n = S n. auto. Qed.

Goal  $\forall m$ , ack (S m) 0 = ack m (S 0). auto. Qed.

Goal  $\forall m \ n$ , ack (S m) (S n) = ack m (ack (S m) n). auto. Qed.

Close Scope nat\_scope.

**Exercise 1.11 (p. 56)** Show that for any type A, we have  $\neg \neg \neg A \rightarrow \neg A$ .

**Solution** Suppose that  $\neg\neg\neg A$  and A. Supposing further that  $\neg A$ , we get a contradiction with the second assumption, so  $\neg\neg A$ . But this contradicts the first assumption that  $\neg\neg\neg A$ , so  $\neg A$ . Discharging the first assumption gives  $\neg\neg\neg A \rightarrow \neg A$ .

In type-theoretic terms, the first assumption is  $x : ((A \to \mathbf{0}) \to \mathbf{0}) \to \mathbf{0}$ , and the second is a : A. If we further assume that  $h : A \to \mathbf{0}$ , then  $h(a) : \mathbf{0}$ , so discharging the h gives

$$\lambda(h:A\to\mathbf{0}).h(a):(A\to\mathbf{0})\to\mathbf{0}$$

But then we have

$$x(\lambda(h:A\to\mathbf{0}).h(a)):\mathbf{0}$$

so discharging the a gives

$$\lambda(a:A).x(\lambda(h:A\to\mathbf{0}).h(a)):A\to\mathbf{0}$$

And discharging the first assumption gives

$$\lambda(x:((A \rightarrow \mathbf{0}) \rightarrow \mathbf{0}) \rightarrow \mathbf{0}).\lambda(a:A).x(\lambda(h:A \rightarrow \mathbf{0}).h(a)):(((A \rightarrow \mathbf{0}) \rightarrow \mathbf{0}) \rightarrow \mathbf{0}) \rightarrow (A \rightarrow \mathbf{0})$$

This is automatic for Coq, though not trivial:

Goal 
$$\forall A, \neg \neg \neg A \rightarrow \neg A$$
. auto. Qed.

We can get a proof out of Coq by printing this Goal. It returns

fun 
$$(A : Type)$$
  $(X : \neg \neg \neg A)$   $(X0 : A) \Rightarrow X$  (fun  $X1 : A \rightarrow Empty \Rightarrow X1 X0$ )  $: \forall A : Type, \neg \neg \neg A \rightarrow \neg A$ 

which is just the function obtained by hand.

Exercise 1.12 (p. 56) Using the propositions as types interpretation, derive the following tautologies.

- (i) If *A*, then (if *B* then *A*).
- (ii) If A, then not (not A).
- (iii) If (not A or not B), then not (A and B).

Section Exercise12.

Context  $\{A B : Type\}$ .

**Solution** (i) Suppose that *A* and *B*; then *A*. Discharging the assumptions,  $A \to B \to A$ . That is, we have

$$\lambda(a:A).\lambda(b:B).a:A\to B\to A$$

and in Coq,

 $\operatorname{\mathsf{Goal}} A o B o A.$  trivial.  $\operatorname{\mathsf{Qed}}.$ 

(ii) Suppose that A. Supposing further that  $\neg A$  gives a contradiction, so  $\neg \neg A$ . That is,

$$\lambda(a:A).\lambda(f:A\to\mathbf{0}).f(a):A\to(A\to\mathbf{0})\to\mathbf{0}$$

$$\texttt{Goal}\: A \to \neg \: \neg \: A. \: \texttt{auto.} \: \mathsf{Qed.}$$

(iii) Finally, suppose  $\neg A \lor \neg B$ . Supposing further that  $A \land B$  means that A and that B. There are two cases. If  $\neg A$ , then we have a contradiction; but also if  $\neg B$  we have a contradiction. Thus  $\neg (A \land B)$ .

Type-theoretically, we assume that  $x : (A \to \mathbf{0}) + (B \to \mathbf{0})$  and  $z : A \times B$ . Conjunction elimination gives  $\operatorname{pr}_1 z : A$  and  $\operatorname{pr}_2 z : B$ . We can now perform a case analysis. Suppose that  $x_A : A \to \mathbf{0}$ ; then  $x_A(\operatorname{pr}_1 z) : \mathbf{0}$ , a contradicton; if instead  $x_B : B \to \mathbf{0}$ , then  $x_B(\operatorname{pr}_2 z) : \mathbf{0}$ . By the recursion principle for the coproduct, then,

$$f(z) :\equiv \mathsf{rec}_{(A \to \mathbf{0}) + (B \to \mathbf{0})}(\mathbf{0}, \lambda x. \, x(\mathsf{pr}_1 z), \lambda x. \, x(\mathsf{pr}_2 z)) : (A \to \mathbf{0}) + (B \to \mathbf{0}) \to \mathbf{0}$$

Discharging the assumption that  $A \times B$  is inhabited, we have

$$f: A \times B \rightarrow (A \rightarrow \mathbf{0}) + (B \rightarrow \mathbf{0}) \rightarrow \mathbf{0}$$

So

```
\operatorname{swap}(A\times B,(A\to\mathbf{0})+(B\to\mathbf{0}),\mathbf{0},f):(A\to\mathbf{0})+(B\to\mathbf{0})\to A\times B\to\mathbf{0} Goal (\neg\,A+\neg\,B)\to\neg\,(A\times B). Proof. unfold not. intros H\,x. apply H. destruct x. constructor. exact a. Qed.
```

End Exercise12.

**Exercise 1.13 (p. 57)** Using propositions-as-types, derive the double negation of the principle of excluded middle, i.e. prove *not* (*not* (*P or not P*)).

```
Section Exercise13. Context {P: Type}.
```

**Solution** Suppose that  $\neg(P \lor \neg P)$ . Then, assuming P, we have  $P \lor \neg P$  by disjunction introduction, a contradiction. Hence  $\neg P$ . But disjunction introduction on this again gives  $P \lor \neg P$ , a contradiction. So we must reject the remaining assumption, giving  $\neg \neg(P \lor \neg P)$ .

In type-theoretic terms, the initial assumption is that  $g: P + (P \to \mathbf{0}) \to \mathbf{0}$ . Assuming p: P, disjunction introduction results in  $\mathsf{inl}(p): P + (P \to \mathbf{0})$ . But then  $g(\mathsf{inl}(p)): \mathbf{0}$ , so we discharge the assumption of p: P to get

$$\lambda(p:P).g(\mathsf{inl}(p)):P\to\mathbf{0}$$

Applying disjunction introduction again leads to contradiction, as

$$g(\operatorname{inr}(\lambda(p:P),g(\operatorname{inl}(p)))):\mathbf{0}$$

So we must reject the assumption of  $\neg (P \lor \neg P)$ , giving the result:

```
\lambda(g:P+(P\to\mathbf{0})\to\mathbf{0}).g(\mathsf{inr}(\lambda(p:P).g(\mathsf{inl}(p)))):(P+(P\to\mathbf{0})\to\mathbf{0})\to\mathbf{0}
```

Finally, in Coq,

```
Goal \neg \neg (P + \neg P).

Proof.

unfold not.

intro H.

apply H.

right.

intro p.

apply H.

left.

apply p.

Qed.
```

End Exercise 13.

**Exercise 1.14 (p. 57)** Why do the induction principles for identity types not allow us to construct a function  $f: \prod_{(x:A)} \prod_{(p:x=x)} (p = \text{refl}_x)$  with the defining equation

```
f(x, refl_x) :\equiv refl_{refl_x} ?
```

**Solution** The problem is that f is not well-typed in general; i.e., its purported type is not inhabited for all A, x, and p. Read propositionally,  $f: \prod_{(x:A)} \prod_{(p:x=x)} (p=\text{refl}_x)$  means that for all x:A, the only witness to x=x is  $\text{refl}_x$ , and this is not true. One can have nontrivial homotopies, leading to p:x=x such that  $\neg (p=\text{refl}_x)$ .

Coq prevents this construction for this reason. Attempting it would proceed as

```
Definition f: \forall (A: \texttt{Type}) (x:A) (p:x=x), p=1. intros. path\_induction. exact 1.
```

which returns the error message

The term "1" has type "p = p" while it is expected to have type "p = 1".

Because of the possibility of nontrivial homotopies, one might fail to have  $(p = p) = (p = refl_x)$ .

Exercise 1.15 (p. 57) Show that indiscernability of identicals follows from path induction.

**Solution** Consider some family  $C: A \rightarrow \mathcal{U}$ , and define

$$D: \prod_{x,y:A} (x =_A y) \to \mathcal{U}, \qquad D(x,y,p) :\equiv C(x) \to C(y)$$

Note that we have the function

$$\lambda x. \operatorname{id}_{C(x)} : \prod_{x:A} C(x) \to C(x) \equiv \prod_{x:A} D(x, x, \operatorname{refl}_x)$$

So by path induction there is a function

$$f: \prod_{(x,y:A)} \prod_{(p:x=Ay)} D(x,y,p) \equiv \prod_{(x,y:A)} \prod_{(p:x=Ay)} C(x) \rightarrow C(y)$$

such that

$$f(x, x, refl_x) :\equiv id_{C(x)}$$

But this is just the statement of the indiscernability of identicals: for every such family C, there is such an f.

### 2 Homotopy type theory

**Exercise 2.1 (p. 103)** Show that the three obvious proofs of Lemma 2.1.2 are pairwise equal.

**Solution** Lemma 2.1.2 states that for every type A and every x, y, z: A, there is a function

$$(x = y) \rightarrow (y = z) \rightarrow (x = z)$$

written  $p \mapsto q \mapsto p \cdot q$  such that  $refl_x \cdot refl_x = refl_x$  for all x : A. Each proof is an object  $\cdot_i$  of type

$$\bullet_i: \prod_{x,y,z:A} (x=y) \to (y=z) \to (x=z)$$

So we need to show that  $_1 = _2 = _3$ .

The first proof is induction over *p*. Consider the family

$$C_1(x,y,p) :\equiv \prod_{z : A} (y=x)(x=z)$$

we have

$$\lambda z. \lambda q. q: \left(\prod_{z:A} (x=z) \to (x=z)\right) \equiv C_1(x, x, \text{refl}_x)$$

So by path induction, there is a function

$$p \cdot_1 q : (x = z)$$

such that  $\operatorname{refl}_x \cdot_1 q \equiv q$ .

For the shorter version, we say that by induction it suffices to consider the case where y is x and p is refl<sub>x</sub>. Then given some q: x = z, we want to construct an element of x = z; but this is just q, so induction gives us a function  $p \cdot q: x = z$  such that refl<sub> $x \cdot q$ </sub> q: x = z such that refl<sub> $x \cdot q$ </sub> q: x = z.

Definition cat'  $\{A: \text{Type}\}\ \{x\ y\ z: A\}\ (p: x=y)\ (q: y=z): x=z.$  induction p. apply q.

Defined.

For the second, consider the family

$$C_2(y,z,q) :\equiv \prod_{z:A} (x=y) \to (x=z)$$

and element

$$\lambda z. \, \lambda p. \, p: \left(\prod_{z:A} (x=z) \to (x=z)\right) \equiv C_2(z,z,\mathsf{refl}_z)$$

Induction gives us a function

$$p \cdot_2 q : (x = z)$$

such that

$$p \cdot_2 \operatorname{refl}_z = \operatorname{refl}_z$$

Definition cat" {A:Type}  $\{x \ y \ z : A\}$  (p : x = y) (q : y = z) : x = z. induction q. apply p.

Defined.

Finally, for •3, we have the construction from the text. Take the type families

$$D(x, y, p) :\equiv \prod_{z:A} (y = z) \to (x = z)$$

and

$$E(x,z,q) :\equiv (x=z)$$

Since  $E(x, x, refl_x) \equiv (x = x)$ , we have  $e(x) :\equiv refl_x : E(x, x, refl_x)$ , and induction gives us a function

$$d: \left(\prod_{(x,z:A)} \prod_{(q:x=z)} (x=z)\right) \equiv \prod_{x:A} D(x,x,\mathsf{refl}_x)$$

So path induction again gives us a function

$$f: \prod_{x,y,z:A} (x=y) \to (y=z) \to (x=z)$$

Which we can write  $p \cdot_3 q : (x = z)$ . By the definitional equality of f, we have that  $\text{refl}_x \cdot q \equiv d(x)$ , and by the definitional equality of d, we have  $\text{refl}_x \cdot \text{refl}_x \equiv \text{refl}_x$ .

Definition cat''' {A:Type}  $\{x \ y \ z : A\}$  (p : x = y) (q : y = z) : x = z.

induction p, q. reflexivity.

Defined.

Now, to show that  $p \cdot_1 q = p \cdot_2 q = p \cdot_3 q$ , which we will do by induction on p and q. For the first pair, we want to construct for every x, y, z : A, p : x = y, and q : y = z, an element of  $p \cdot_1 q = p \cdot_2 q$ . By induction on p, it suffices to assume that y is x and p is  $\text{refl}_x$ ; similarly, by induction on q it suffices to assume that z is also x and q is  $\text{refl}_x$ . Then by the computation rule for  $\cdot_1$ ,  $\text{refl}_x \cdot_1 \text{refl}_x \equiv \text{refl}_x$ , and by the computation rule for  $\cdot_2$ ,  $\text{refl}_x \cdot_2 \text{refl}_x \equiv \text{refl}_x$ . Thus we have

$$\operatorname{refl}_{\operatorname{refl}_x} : (\operatorname{refl}_x \cdot_1 \operatorname{refl}_x = \operatorname{refl}_x \cdot_2 \operatorname{refl}_x)$$

which provides the necessary data for induction.

Writing this out a bit more fully for practice, we have the family

$$C: \prod_{x,y:A} (x=y) \to \mathcal{U}$$

defined by

$$C(x,y,p) :\equiv \prod_{(z:A)} \prod_{(q:y=z)} (p \bullet_1 q = p \bullet_2 q)$$

and in order to apply induction, we need an element of

$$\prod_{x:A} C(x,x,\mathsf{refl}_x) \equiv \prod_{(x,z:A)} \prod_{(q:x=z)} (\mathsf{refl}_x \bullet_1 q = \mathsf{refl}_x \bullet_2 q) \equiv \prod_{(x,z:A)} \prod_{(q:x=z)} (q = \mathsf{refl}_x \bullet_2 q)$$

Define  $D(x, z, q) :\equiv (q = \operatorname{refl}_x \cdot_2 q)$ . Then

$$\operatorname{refl}_{\operatorname{refl}_x}: D(x, x, \operatorname{refl}_x) \equiv (\operatorname{refl}_x = \operatorname{refl}_x \cdot_2 \operatorname{refl}_x) \equiv (\operatorname{refl}_x = \operatorname{refl}_x)$$

So by induction we have a function  $f: \prod_{(x,z:A)} \prod_{(p:x=z)} (q = \text{refl}_x \cdot_2 q)$  with  $f(x,x,\text{refl}_x) :\equiv \text{refl}_{\text{refl}_x}$ . Thus we have the element required for induction on p, and there is a function

$$f': \prod_{(x,y,z:A)} \prod_{(p:x=y)} \prod_{q:y=z} (p \cdot_1 q = p \cdot_2 q)$$

which we wanted to show.

```
Theorem cat'_eq_cat'': \forall {A:Type} {x \ y \ z : A} (p : x = y) (q : y = z), (cat' p \ q) = (cat'' p \ q).

Proof.

induction p, \ q. reflexivity.

Defined.
```

For the next pair, we again use induction. For all x, y, z : A, p : x = y, and q : y = z, we need to construct an element of  $p \cdot_2 q = p \cdot_3 q$ . By induction on p and q, it suffices to consider the case where y and z are x and y and q are refl<sub>x</sub>. Then (refl<sub>x</sub>  $\cdot_2$  refl<sub>x</sub> = refl<sub>x</sub>)  $\equiv$  (refl<sub>x</sub> = refl<sub>x</sub>), and refl<sub>refl<sub>x</sub> inhabits this type.</sub>

```
Theorem cat"_eq_cat": \forall \{A: \text{Type}\} \{x \ y \ z : A\} \ (p: x = y) \ (q: y = z), (cat" p \ q) = (cat" p \ q).

Proof.
```

induction p, q. reflexivity.

Defined.

The third proof goes exactly the same.

```
Theorem cat'_eq_cat''': \forall {A:Type} {x y z : A} (p : x = y) (q : y = z), (cat' p q) = (cat''' p q).

Proof.
```

induction p, q. reflexivity.

Defined.

Note that all three of these proofs must end with Defined instead of Qed if we want to make use of the computational identity (e.g.,  $p \cdot_1 refl_x \equiv p$ ) that they produce, as we will in the next exercise.

**Exercise 2.2 (p. 103)** Show that the three equalities of proofs constructed in the previous exercise form a commutative triangle. In other words, if the three definitions of concatenation are denoted by  $(p \cdot_1 q)$ ,  $(p \cdot_2 q)$ , and  $(p \cdot_3 q)$ , then the concatenated equality

$$(p \cdot_1 q) = (p \cdot_2 q) = (p \cdot_3 q)$$

is equal to the equality  $(p \cdot_1 q) = (p \cdot_3 q)$ .

**Solution** Let x, y, z : A, p : x = y, q : y = z, and let  $r_{12} : (p \cdot_1 q = p \cdot_2 q)$ ,  $r_{23} : (p \cdot_2 q = p \cdot_3 q)$ , and  $r_{13} : (p \cdot_1 q = p \cdot_3 q)$  be the proofs from the last exercise. We want to show that  $r_{12} \cdot r_{23} = r_{13}$ , where  $\cdot = \cdot_3$  is the concatenation operation from the book. By induction on p and q, it suffices to consider the case where p and p and p are refl<sub>p</sub>. Then we have p are refl<sub>p</sub>, p and p are refl<sub>p</sub>, and p are refl<sub>p</sub> by the definitions. But then the type we're trying to witness is

$$(\mathsf{refl}_{\mathsf{refl}_r} \cdot \mathsf{refl}_{\mathsf{refl}_r} = \mathsf{refl}_{\mathsf{refl}_r}) \equiv (\mathsf{refl}_{\mathsf{refl}_r} = \mathsf{refl}_{\mathsf{refl}_r})$$

from the definition of •, so refl<sub>refl<sub>refl<sub>r</sub></sub></sub> is our witness.

Theorem comm\_triangle:  $\forall$  (A:Type) (x y z : A) (p : x = y) (q : y = z),

```
(cat'_eq_cat'' p q) @ (cat''_eq_cat''' p q) = (cat'_eq_cat''' p q).
Proof.
induction p, q. reflexivity.
Qed.
```

**Exercise 2.3 (p. 103)** Give a fourth, different proof of Lemma 2.1.2, and prove that it is equal to the others.

**Solution** Let x, y : A and p : x = y. Rather than fixing some q and constructing an element of x = z out of that, we can directly construct an element of

$$\prod_{z:A} (y=z) \to (x=z)$$

by induction on p. It suffices to consider the case where y is x and p is a refl $_x$ , which then makes it easy to produce such an element; namely,

$$\lambda z.\operatorname{id}_{x=z}:\prod_{z:A}(x=z)\to(x=z)$$

Induction then gives us a function  $p \cdot_4 q : (x = z)$  such that  $\lambda q \cdot (\text{refl}_x \cdot_4 q) :\equiv \text{id}_{x=z}$ .

```
Definition cat''' {A:Type} \{x \ y \ z : A\} (p : x = y) (q : y = z) : x = z. generalize q. generalize z. induction p. trivial. Defined.
```

To prove that it's equal to the others, we can just show that it's equal to  $\cdot$  and then use concatenation. Again by induction on p and q, it suffices to consider the case where y and z are x and p and q are  $refl_x$ . Then we have

```
((\mathsf{refl}_x \, {}^{\bullet}_3 \, \mathsf{refl}_x) = (\mathsf{refl}_x \, {}^{\bullet}_4 \, \mathsf{refl}_x)) \equiv (\mathsf{refl}_x = \mathsf{id}_{x=x}(\mathsf{refl}_x)) \equiv (\mathsf{refl}_x = \mathsf{refl}_x)
```

So refl<sub>refl</sub>, is again our witness.

```
Theorem cat'''_eq_cat'''': \forall {A:Type} {x y z : A} (p : x = y) (q : y = z), (cat''' p q) = (cat'''' p q).

Proof.

induction p, q. reflexivity.

Qed.
```

**Exercise 2.4 (p. 103)** Define, by induction on n, a general notion of n-dimensional path in a type A, simultaneously with the type of boundaries for such paths.

**Solution** A 0-path in A is an element x: A, so the type of 0-paths is just A. If p and q are n-paths, then so is p = q. In the other direction, the boundary of a 0-path is empty, and the boundary of an n + 1 path is an n-path.

```
Fixpoint npath (A:Type) (n:nat): Type :=
match n with
| O \Rightarrow A 
| S n' \Rightarrow \{p : (boundary A n') \& \{q : (boundary A n') \& p = q\}\}
end
with boundary (A:Type) (n:nat): Type :=
match n with
| O \Rightarrow Empty 
| S n' \Rightarrow (npath A n') 
end.
```

Exercise 2.5 (p. 103) Prove that the functions

$$(f(x) = f(y)) \to (p_*(f(x)) = f(y))$$
 and  $(p_*(f(x)) = f(y)) \to (f(x) = f(y))$ 

are inverse equivalences.

**Solution** I take it that "inverse equivalences" means that each of the maps is the quasi-inverse of the other. Suppose that x, y : A, p : x = y, and  $f : A \rightarrow B$ . Then we have the objects

$$\operatorname{\mathsf{ap}}_f(p):(f(x)=f(y))$$
  $\operatorname{\mathsf{transportconst}}_p^B(f(x)):(p_*(f(x))=f(x))$ 

thus

$$\left( \mathsf{transportconst}_p^B(f(x)) \cdot - \right) : (f(x) = f(y)) \to (p_*(f(x)) = f(y)) \\ \left( (\mathsf{transportconst}_p^B(f(x)))^{-1} \cdot - \right) : (p_*(f(x)) = f(y)) \to (f(x) = f(y)) \\$$

Which are our maps. Composing the first with the second, we obtain an element

$$\left(\mathsf{transportconst}_p^B(f(x)) \cdot \left( (\mathsf{transportconst}_p^B(f(x)))^{-1} \cdot - \right) \right)$$

of f(x) = f(y). Using Lemma 2.1.4, we can show that this is homotopic to the identity:

$$\begin{split} &\prod_{q:f(x)=f(y)} \left( \mathsf{transportconst}_p^B(f(x)) \cdot \left( (\mathsf{transportconst}_p^B(f(x)))^{-1} \cdot q \right) = q \right) \\ &= \prod_{q:f(x)=f(y)} \left( \left( \mathsf{transportconst}_p^B(f(x)) \cdot (\mathsf{transportconst}_p^B(f(x)))^{-1} \right) \cdot q = q \right) \\ &= \prod_{q:f(x)=f(y)} \left( q = q \right) \end{split}$$

which is inhabited by refl<sub>q</sub>. The same argument goes the other way, so this concatenation is an equivalence.

```
Definition eq2_3_6 {A B : Type} {x y : A} (f : A \rightarrow B) (p : x = y) (q : f x = f y) :
     (@transport \_ (fun \_ \Rightarrow B) \_ \_ p(f x) = f y) :=
     (transport\_const p (f x)) @ q.
Definition eq2_3_7 {AB: Type} {xy: A} (f: A \rightarrow B) (p: x = y)
  (q: @transport \_ (fun \_ \Rightarrow B) \_ \_ p (f x) = f y):
  (f \ x = f \ y) :=
  (transport\_const p (f x))^0 q.
Definition alpha2_5: \forall {A B:Type} {x y : A} (f: A \rightarrow B) (p:x=y) q,
   (eq2_3_6 f p (eq2_3_7 f p q)) = q.
  unfold eq2_3_6, eq2_3_7. path_induction. reflexivity.
Defined.
Definition beta2_5: \forall {A B:Type} {x y : A} (f: A \rightarrow B) (p: x=y) q,
  (eq2_3_7 f p (eq2_3_6 f p q)) = q.
  unfold eq2_3_6, eq2_3_7. path_induction. reflexivity.
Lemma isequiv_transportconst (A B:Type) (x y z : A) (f : A \rightarrow B) (p : x = y) :
  IsEquiv (eq2_3_6 f p).
  apply (isequiv_adjointify \_ (eq2_3_7 f p) (alpha2_5 f p) (beta2_5 f p)).
Qed.
```

**Exercise 2.6 (p. 103)** Prove that if p: x = y, then the function  $(p \cdot -): (y = z) \to (x = z)$  is an equivalence.

**Solution** Suppose that p: x = y. To show that  $(p \cdot -)$  is an equivalence, we need to exhibit a quasi-inverse to it. This is a triple  $(g, \alpha, \beta)$  of a function  $g: (x = z) \to (y = z)$  and homotopies  $\alpha: (p \cdot -) \circ g \sim \operatorname{id}_{x=z}$  and  $\beta: g \circ (p \cdot -) \sim \operatorname{id}_{y=z}$ . For g, we can take  $(p^{-1} \cdot -)$ . For the homotopies, we can use the results of Lemma 2.1.4. So we have

$$((p \boldsymbol{\cdot} -) \circ g) \sim \operatorname{id}_{x=z} \equiv \prod_{q: x=z} (p \boldsymbol{\cdot} (p^{-1} \boldsymbol{\cdot} q) = q) = \prod_{q: x=z} ((p \boldsymbol{\cdot} p^{-1}) \boldsymbol{\cdot} q = q) = \prod_{q: x=z} (\operatorname{refl}_x \boldsymbol{\cdot} q = q) = \prod_{q: x=z} (q = q)$$

which is inhabited by refl<sub>q</sub> and

$$(g \circ (p \cdot -)) \sim \operatorname{id}_{y=z} \equiv \prod_{q:y=z} (p^{-1} \cdot (p \cdot q) = q) = \prod_{q:y=z} ((p^{-1} \cdot p) \cdot q = q) = \prod_{q:y=z} (\operatorname{refl}_y \cdot q = q) = \prod_{q:y=z} (q = q)$$

which is inhabited by refl<sub>a</sub>. So  $(p \cdot -)$  has a quasi-inverse, hence it is an equivalence.

Definition alpha2\_6 {A:Type}  $\{x \ y \ z:A\}\ (p:x=y)\ (q:x=z): p \ (p^ \ q) = q.$  path\_induction. reflexivity.

Defined.

Definition beta2\_6 {A:Type}  $\{x \ y \ z:A\}$  (p:x=y)  $(q:y=z): p^0 (p \ q) = q$ . path\_induction. reflexivity.

Defined.

Lemma isequiv\_eqcat (A:Type) ( $x \ y \ z : A$ ) (p : x = y) : IsEquiv (fun  $q:(y=z) \Rightarrow p \ @ q$ ).

apply (isequiv\_adjointify \_ (fun  $q:(x=z) \Rightarrow p^0 q$ ) (alpha2\_6 p) (beta2\_6 p)). Qed.

**Exercise 2.7 (p. 104)** State and prove a generalization of Theorem 2.6.5 from cartesian products to  $\Sigma$ -types.

**Solution** Suppose that we have types A and A' and type families  $B: A \to \mathcal{U}$  and  $B': A' \to \mathcal{U}$ , along with a function  $g: A \to A'$  and a dependent function  $h: \prod_{(x:A)} B(x) \to B'(f(x))$ . We can then define a function  $f: (\sum_{(x:A)} B(x)) \to (\sum_{(x:A')} B'(x))$  by  $f(x) :\equiv (g(\mathsf{pr}_1 x), h(\mathsf{pr}_1 x, \mathsf{pr}_2 x))$ . Let  $x, y: \sum_{(a:A)} B(a)$ , and suppose that  $p: \mathsf{pr}_1 x = \mathsf{pr}_1 y$  and that  $q: p_*(\mathsf{pr}_2 x) = \mathsf{pr}_2 y$ . The left-side of Theorem 2.6.5 generalizes directly to  $f(\mathsf{pair}^=(p,q))$ , where now  $\mathsf{pair}^=$  is given by the backward direction of Theorem 2.7.2.

The right hand side is trickier. It ought to represent the application of g and h, followed by the application of pair<sup>=</sup>, as Theorem 2.6.5 does. Applying g produces the first argument to pair<sup>=</sup>,  $ap_g(p) \equiv g(p)$ . For h, we'll need to construct the right object. We need one of type

$$(g(p))_*(h(pr_1x, pr_2x)) = h(pr_1y, pr_2y)$$

Which we'll construct by induction. It suffices to consider the case where  $x \equiv (a, b)$ ,  $y \equiv (a', b')$ ,  $p \equiv \text{refl}_a$ , and  $q \equiv \text{refl}_b$ . Then we need an object of type

$$[(g(\mathsf{refl}_a))_*(h(a,b)) = h(a',b')] \equiv [h(a,b) = h(a',b')]$$

which we can easily construct by applying h to p and q. So by induction, we have an object

$$T(h, p, q) : (g(p))_*(h(pr_1x, pr_2x)) = h(pr_1y, pr_2y)$$

such that  $T(h, \text{refl}_a, \text{refl}_b) \equiv \text{refl}_{h(a,b)}$ .

Now we can state the generalization. We show that

$$f(pair^{=}(p,q)) = pair^{=}(g(p), T(h, p, q))$$

by induction. So let  $x \equiv (a, b)$ ,  $y \equiv (a', b')$ ,  $p \equiv \text{refl}_a$ , and  $q \equiv \text{refl}_b$ . Then we need to show that

$$\mathsf{refl}_{f((a,b))} = \mathsf{refl}_{(g(a),h(a,b))}$$

Defined.

But from the definition of f, this is a judgemental equality. So we're done.

Coq takes of a bit of coaxing to get the types right. Definition T  $\{A \ A' : \text{Type}\}\ \{B : A \to \text{Type}\}\ \{B' : A' \to \text{Type}\}$ 

```
\{g:A\to A'\}\ (h:\forall\ a,B\ a\to B'\ (g\ a))
\{x\ y:\{a:A\ \&\ B\ a\}\}
(p:x.1=y.1)\ (q:p\ \#\ x.2=y.2)
: (ap\ g\ p)\ \#\ (h\ x.1\ x.2)=h\ y.1\ y.2.
transitivity\ (h\ y.1\ (p\ \#\ x.2));
destruct\ x; destruct\ y; simpl\ in\ *; induction\ p; [\ |\ rewrite\ q]; reflexivity.
Defined.

Theorem ex2_7: \forall\ \{A\ A': \text{Type}\}\ \{B:A\to \text{Type}\}\ \{B':A'\to \text{Type}\}
(g:A\to A')\ (h:\forall\ a,B\ a\to B'\ (g\ a))
(x\ y:\{a:A\ \&\ B\ a\})
(p:x.1=y.1)\ (q:p\ \#\ x.2=y.2),
let\ f\ z:=(g\ z.1;\ h\ z.1\ z.2)\ in
ap\ f\ (path\_sigma\ B\ x\ y\ p\ q)=path\_sigma\ B'\ (f\ x)\ (f\ y)\ (ap\ g\ p)\ (T\ h\ p\ q).
intros. unfold f, T.
destruct\ x.\ destruct\ y.\ simpl\ in\ *.\ induction\ p.\ rewrite\ \leftarrow\ q.\ reflexivity.
```

Exercise 2.8 (p. 104) State and prove an analogue of Theorem 2.6.5 for coproducts.

**Solution** Let A, A', B, B':  $\mathcal{U}$ , and let g:  $A \to A'$  and h:  $B \to B'$ . These allow us to construct a function f:  $A + B \to A' + B'$  given by

```
f(\operatorname{inl}(a)) :\equiv \operatorname{inl}'(g(a)) f(\operatorname{inr}(b)) :\equiv \operatorname{inr}'(h(b))
```

Now, we want to show that  $ap_f$  is functorial, which requires something corresponding to  $pair^=$ . The type of this function will vary depending on which two x, y : A + B we consider. Suppose that p : x = y; there are four cases:

•  $x = \text{inl}(a_1)$  and  $y = \text{inl}(a_2)$ . Then pair<sup>=</sup> is given by  $\text{ap}_{\text{inl}}$ , and we must show that f(inl(p)) = inl'(g(p))

which is easy with path induction; it suffices to consider  $p \equiv refl_a$ , which reduces our equality to

$$\mathsf{refl}_{f(\mathsf{inl}(a))} = \mathsf{refl}_{\mathsf{inl}'(g(a))}$$

and this is a judgemental equality, given the definition of f.

- $x = \operatorname{inl}(a)$  and  $y = \operatorname{inr}(b)$ . Then by 2.12.3  $(x = y) \simeq \mathbf{0}$ , and p allows us to construct anything we like.
- x = inr(b) and y = inl(a) proceeds just as in the previous case.
- x = inr(b) and y = inr(b) proceeds just as in the first case.

Since these are all the cases, we've proven the analogue to Theorem 2.6.5 for coproducts (though it was stated rather implicitly). I'll have to state it more explicitly in Coq, though the proof is the same as the one by hand.

```
Definition code \{A \ B : \text{Type}\}\ (x : A + B)\ (y : A + B) :=
```

```
match x with
    | \text{ inl } a \Rightarrow \text{match } y \text{ with }
                          | \text{ inl } a' \Rightarrow (a = a')
                          | \text{ inr } b \Rightarrow \text{Empty}
    |\inf b \Rightarrow \operatorname{match} y \text{ with }
                         | \text{ inl } a \Rightarrow \text{Empty}
                         |\inf b' \Rightarrow (b = b')
                         end
    end.
Theorem ex2_8: \forall (A A' B B': Type)
                                             (g: A \rightarrow A') (h: B \rightarrow B')
                                             (x y : A+B) (p : code x y),
   let f z := match z with
                         | \operatorname{inl} a \Rightarrow \operatorname{inl} (g a)
                         | \operatorname{inr} b \Rightarrow \operatorname{inr} (h b) |
                         end
    in
    ap f (path_sum x y p) = path_sum (f x) (f y) (
                (match x return code x y \rightarrow \text{code}(f x)(f y) with
                 | \text{inl } a \Rightarrow \text{match } y \text{ return code (inl } a) \ y \rightarrow \text{code (inl } (g \ a)) \ (f \ y) \text{ with } 
                                       |\inf a' \Rightarrow \operatorname{ap} g
                                       | inr b \Rightarrow idmap
                 |\inf b \Rightarrow \text{match } y \text{ return code (inr } b) y \rightarrow \text{code (inr } (h b)) (f y) \text{ with }
                                       | \text{inl } a \Rightarrow \text{idmap}
                                       |\inf b' \Rightarrow ap h
                                       end
                 end) p).
Proof.
    intros. destruct x; destruct y; simpl in *;
        try (path_induction; reflexivity);
        try (destruct p).
Qed.
```

Exercise 2.9 (p. 104) Prove that coproducts have the expected universal property,

$$(A + B \rightarrow X) \simeq (A \rightarrow X) \times (B \rightarrow X).$$

Can you generalize this to an equivalence involving dependent functions?

**Solution** To define the ex2\_9\_f map, let  $h: A+B \to X$  and define  $f: (A+B \to X) \to (A \to X) \times (B \to X)$  by

$$f(h) :\equiv (\lambda a. h(inl(a)), \lambda b. h(inr(b)))$$

To show that *f* is an equivalence, we'll need a quasi-inverse, given by

$$g(h) :\equiv \operatorname{rec}_{A+B}(X, \operatorname{pr}_1 h, \operatorname{pr}_2 h)$$

As well as the homotopies  $\alpha: f \circ g \sim \operatorname{id}_{(A \to X) \times (B \to X)}$  and  $\beta: g \circ f \sim \operatorname{id}_{A+B \to X}$ . For  $\alpha$  we need a witness to

$$\begin{split} &\prod_{h:(A\to X)\times(B\to X)} (f(g(h)) = \operatorname{id}_{(A\to X)\times(B\to X)}(h)) \\ &\equiv \prod_{h:(A\to X)\times(B\to X)} \left( (\lambda a.\operatorname{rec}_{A+B}(X,\operatorname{pr}_1h,\operatorname{pr}_2h,\operatorname{inl}(a)),\lambda b.\operatorname{rec}_{A+B}(X,\operatorname{pr}_1h,\operatorname{pr}_2h,\operatorname{inr}(b))) = h \right) \\ &\equiv \prod_{h:(A\to X)\times(B\to X)} \left( (\operatorname{pr}_1h,\operatorname{pr}_2h) = h \right) \end{split}$$

and this is inhabited by uppt. For  $\beta$ , we need an inhabitant of

$$\begin{split} &\prod_{h:A+B\to X} (g(f(h)) = \operatorname{id}_{A+B\to X}(h)) \\ &\equiv \prod_{h:A+B\to X} (\operatorname{rec}_{A+B}(X,\lambda a.\, h(\operatorname{inl}(a)),\lambda b.\, h(\operatorname{inr}(b))) = h) \end{split}$$

which, assuming function extensionality, is inhabited. So  $(g, \alpha, \beta)$  is a quasi-inverse to f, giving the universal property.

```
Definition ex2_9_f {A B X : Type} (h : (A + B \rightarrow X)) : (A \rightarrow X) \times (B \rightarrow X) :=
   (h \circ inl, h \circ inr).
Definition ex2_9_g {A B X : Type} (h : (A \rightarrow X) \times (B \rightarrow X)) : A + B \rightarrow X :=
   fun x \Rightarrow \text{match } x \text{ with }
                     | \text{ inl } a \Rightarrow (\text{fst } h) a
                     | \text{ inr } b \Rightarrow \text{ (snd } h) b
Lemma alpha2_9 {A B X: Type} : \forall (h: (A \rightarrow X) \times (B \rightarrow X)),
   ex2_9_f (ex2_9_g h) = h.
Proof.
   unfold ex2_9_f, ex2_9_g. destruct h as (x, y). reflexivity.
Qed.
Lemma beta2_9 '{Funext} {A \ B \ X: Type} : \forall \ (h : A + B \rightarrow X),
   ex2_{9}g(ex2_{9}fh) = h.
Proof.
   intros. apply H. unfold pointwise_paths. intros. destruct x; reflexivity.
Theorem ex2_9: \forall A B X, (A + B \rightarrow X) \simeq (A \rightarrow X) \times (B \rightarrow X).
Proof.
   intros. apply (equiv_adjointify ex2_9_f ex2_9_g alpha2_9 beta2_9).
Qed.
     All of this generalizes directly to the case of dependent functions.
Definition ex2_9_f' {A B : Type} {C: A + B \rightarrow Type} (h: \forall (p:A + B), C p)
   : (\forall a:A, C(\text{inl }a)) \times (\forall b:B, C(\text{inr }b)) :=
(\text{fun } \_ \Rightarrow h (\text{inl } \_), \text{ fun } \_ \Rightarrow h (\text{inr } \_)).
Definition ex2_9_g' {AB: Type} {C: A+B \rightarrow Type}
                  (h: (\forall a:A, C(\mathsf{inl}\, a)) \times (\forall b:B, C(\mathsf{inr}\, b))):
   \forall (p:A + B), C p :=
 fun \_\Rightarrow match \_ as s return (Cs) with
                   | \text{ inl } a \Rightarrow \text{ fst } h \text{ } a
                    | \text{inr } b \Rightarrow \text{snd } h b
```

end.

```
Theorem ex2_9': \forall A B C, (\forall (p:A + B), C(p)) \simeq (\forall a:A, C(inl a)) \times (\forall b:B, C(inr b)). Proof. intros. refine (equiv_adjointify ex2_9_f' ex2_9_g'___); unfold ex2_9_f', ex2_9_g'. intro. destruct x. apply path_prod; simpl; apply path_forall; unfold pointwise_paths; reflexivity. intro. apply path_forall; intro p. destruct p; reflexivity. Qed.
```

**Exercise 2.10 (p. 104)** Prove that  $\Sigma$ -types are "associative", in that for any  $A : \mathcal{U}$  and families  $B : A \to \mathcal{U}$  and  $C : (\sum_{(x:A)} B(x)) \to \mathcal{U}$ , we have

$$\left(\sum_{(x:A)} \sum_{(y:B(x))} C((x,y))\right) \simeq \left(\sum_{p:\sum_{(x:A)} B(x)} C(p)\right)$$

**Solution** The map

$$f(a,b,c) :\equiv ((a,b),c)$$

where a:A, b:B(a), and c:C((a,b)) is an equivalence. For a quasi-inverse, we have

$$g(p,c) :\equiv (\operatorname{pr}_1 p, \operatorname{pr}_2 p, c)$$

As proof, by induction we can consider the case where  $p \equiv (a, b)$ . Then we have

$$f(g((a,b),c)) = f(a,b,c) = ((a,b),c)$$

and

$$g(f(a,b,c)) = g((a,b),c) = (a,b,c)$$

So *f* is an equivalence.

```
Definition ex2_10_f \{A : \mathtt{Type}\}\ \{B : A \to \mathtt{Type}\}\ \{C : \{x : A \& B x\} \to \mathtt{Type}\}\ :
   \{x: A \& \{y: B x \& C (x; y)\}\} \rightarrow \{p: \{x: A \& B x\} \& C p\}.
   intro abc. destruct abc as [a [b c]]. apply ((a; b); c).
Defined.
Definition ex2_10_g {A : \text{Type}} {B : A \rightarrow \text{Type}} {C : \{x : A \& B x\} \rightarrow \text{Type}} :
   \{p: \{x:A \& B x\} \& C p\} \rightarrow \{x:A \& \{y:B x \& C (x;y)\}\}.
   intro abc. destruct abc as [[a \ b] \ c].
   \exists a; \exists b; apply c.
Defined.
Theorem ex2_10: \forall A B C, IsEquiv(@ex2_10_f A B C).
Proof.
   intros.
  refine (isequiv_adjointify ex2_10_f ex2_10_g _ _);
   unfold ex2_10_f, ex2_10_g; intro abc;
   [ destruct abc as [[ab] c] | destruct abc as [a [b c]]];
  reflexivity.
Qed.
```

## Exercise 2.11 (p. 104) A (homotopy) commutative square

$$P \xrightarrow{h} A$$

$$\downarrow k \qquad \qquad \downarrow f$$

$$B \xrightarrow{g} C$$

consists of functions f, g, h, and k as shown, together with a path  $f \circ h = g \circ k$ . Note that this is exactly an element of the pullback  $(P \to A) \times_{P \to C} (P \to B)$  as defined in 2.15.11. A commutative square is called a (homotopy) pullback square if for any X, the induced map

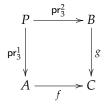
$$(X \to P) \to (X \to A) \times_{X \to C} (X \to B)$$

is an equivalence. Prove that the pullback  $P :\equiv A \times_C B$  defined in 2.15.11 is the corner of a pullback square.

**Solution** I'll start using the usual notation  $\operatorname{pr}_n^j$  for the jth projection from an n-tuple. So, for example,  $\operatorname{pr}_3^2 := \operatorname{pr}_1 \circ \operatorname{pr}_2$ . To show that P is the corner of a pullback square, we need to produce the three other corners and show that it is a pullback. Given  $f: A \to C$  and  $G: B \to C$ , we define

$$P := \sum_{(a:A)} \sum_{(b:B)} (f(a) = g(b))$$

I claim this is the corner of the following pullback square:



To show that it's commutative, it suffices to consider an element (a, b, p) of P. We then have

$$g(pr_3^2(a,b,p)) = g(b) = f(a) = f(pr_3^1(a,b,p))$$

making the square commutative.

To show that it has the required universal property, we need to construct the equivalence. Suppose that  $h: X \to P$ . Then we can compose it in either direction around the square to give

$$f \circ \operatorname{pr}_3^1 \circ h : X \to C$$
  $g \circ \operatorname{pr}_3^2 \circ h : X \to C$ 

If we can show that these two maps are equal, then we can produce an element of  $(X \to A) \times_{X \to C} (X \to B)$ . And we can, using function extensionality. Suppose that x : X. Then h(x) : P, which by induction we can assume is of the form  $h(x) \equiv (a, b, p)$ , with p : f(a) = g(b). This means that

$$f(\operatorname{pr}_3^1(h(x))) \equiv f(\operatorname{pr}_3^1(a,b,p)) \equiv f(a)$$

and

$$g(\operatorname{pr}_3^2(a,b,c)) \equiv g(b)$$

and *p* proves that these are equal. So by function extensionality,

$$f\circ \operatorname{pr}_3^1\circ h=g\circ \operatorname{pr}_3^2\circ h$$

meaning that we can define

```
h \mapsto (\operatorname{pr}_3^1 \circ h, \operatorname{pr}_3^2 \circ h, \operatorname{funext}(\operatorname{pr}_3^3 \circ h))
```

giving the forward map  $(X \to P) \to (X \to A) \times_{X \to C} (X \to B)$ .

We now need to exhibit a quasi-inverse. Suppose that  $h': (X \to A) \times_{X \to C} (X \to B)$ . By induction, we may assume that  $h' = (h_A, h_B, q)$ , where  $q: f \circ h_A = g \circ h_B$ . We want to construct a function  $X \to P$ , so suppose that x: X. Then we can construct an element of P like so:

```
h(x) :\equiv (h_A(x), h_B(x), \mathsf{happly}(q)(x))
```

Note that this expression is well-typed, since  $h_A(x):A$ ,  $h_B(x):B$ , and  $\mathsf{happly}(q)(x):f(h_A(x))=g(h_B(x))$ .

In order to show that this is a quasi-inverse, we need to show that the two possible compositions are homotopic to the identity. Suppose that  $h: X \to P$ ; then applying the forward and backward constructions gives

$$(x \mapsto (\mathsf{pr}_3^1(h(x)), \mathsf{pr}_3^2(h(x)), \mathsf{happly}(\mathsf{funext}(\mathsf{pr}_3^3 \circ h))(x))) \equiv (x \mapsto (\mathsf{pr}_3^1(h(x)), \mathsf{pr}_3^2(h(x)), \mathsf{pr}_3^3(h(x))))$$

which by function extensionality is clearly equal to h.

For the other direction, suppose that  $h': (X \to A) \times_{X \to C} (X \to B)$ , which by induction we may suppose is of the form  $(h_A, h_B, p)$ . Going back and forth gives

$$\begin{split} \left( \mathsf{pr}_3^1 \circ (x \mapsto (h_A(x), h_B(x), \mathsf{happly}(p)(x))), \\ \mathsf{pr}_3^2 \circ (x \mapsto (h_A(x), h_B(x), \mathsf{happly}(p)(x))), \\ \mathsf{funext}(\mathsf{pr}_3^3 \circ (x \mapsto (h_A(x), h_B(x), \mathsf{happly}(p)(x)))) \right) \end{split}$$

applying function extensionality again results in

```
(h_A, h_B, \text{funext}(\text{happly}(p))) \equiv (h_A, h_B, p)
```

So we have an equivalence.

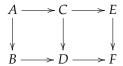
```
Section Exercise2_11.
Variables (A B C X : Type) (f: A \rightarrow C) (g: B \rightarrow C).
Definition P := \{a : A \& \{b : B \& f \ a = g \ b\}\}.
\texttt{Definition funpull} := \{h \colon X \to A \ \& \ \{k \colon X \to B \ \& \ f \circ h = g \circ k\}\}.
Definition pi1 (p : P) : A := p.1.
Definition pi2 (p:P):B:=p.2.1.
Definition ex2_11_f '{Funext}: (X \rightarrow P) \rightarrow \text{funpull}.
  intro h.
  refine (pi1 o h; (pi2 o h; \_)).
  apply path_forall; intro.
  exact (hx).2.2.
Defined.
Definition ex2_11_g: funpull \rightarrow (X \rightarrow P).
  intros h x.
  refine (h.1x; (h.2.1x; _)).
  exact (apD10 h.2.2 x).
Defined.
Theorem ex2_11 '{Funext}: (X \rightarrow P) \simeq \text{funpull}.
  refine (equiv_adjointify ex2_11_f ex2_11_g _ _).
```

```
(* alpha *) unfold Sect, ex2_11_g, ex2_11_f, path_forall; simpl. destruct 0 as [f'[g'p]]; simpl. f_ap. f_ap. apply (ap apD10)^-1. apply eisretr.

(* beta *) unfold Sect, ex2_11_g, ex2_11_f, path_forall; intro h; simpl. apply path_forall; intro x. repeat (apply path_sigma_uncurried; \exists idpath; simpl). change (h \ x) .2.2 with ((fun x' \Rightarrow (h \ x') .2.2) x); f_ap. apply eisretr. Qed.
```

End Exercise2\_11.

\*Exercise 2.12 (p. 104) Suppose given two commutative squares



and suppose that the right-hand square is a pullback square. Prove that the left-hand square is a pullback square if and only if the outer rectangle is a pullback square.

**Solution** The good ol' pullback lemma—though since we've defined pullbacks in terms of equalizers, it's not the usual proof. Let the arrows in the diagram be labeled dc, where d is the domain and c the codomain. Since the diagram commutes, we have the equalities  $r: ef \circ ce = df \circ cd$ ,  $\ell: cd \circ ac = bd \circ ab$ , and  $e: ef \circ ce \circ ac = df \circ bd \circ ab$ . Since the right hand square is a pullback, we have the equivalence

$$f: (X \to C) \simeq (X \to D) \times_{X \to F} (X \to E)$$

This equivalence allows us to express the universal property of the pulback in a slightly more familiar way. Suppose that  $k: (X \to D) \times_{X \to F} (X \to E)$ . Then we have

$$cd \circ f^{-1}(k) = \operatorname{pr}_3^1(f(f^{-1}(k))) = \operatorname{pr}_3^1(k)$$
  
 $ce \circ f^{-1}(k) = \operatorname{pr}_3^2(f(f^{-1}(k))) = \operatorname{pr}_3^2(k)$ 

Now for the pullback lemma, relating the obvious maps

$$g: (X \to A) \to (X \to B) \times_{X \to D} (X \to C)$$
  
 $h: (X \to A) \to (X \to B) \times_{X \to F} (X \to E)$ 

We want to show that g is an equivalence iff h is.

Suppose that  $k: (X \to B) \times_{X \to D} (X \to C)$ . Since  $\operatorname{pr}_3^3(k) : bd \circ \operatorname{pr}_3^1(k) = cd \circ \operatorname{pr}_3^2(k)$ , we have

$$E(\operatorname{pr}_3^3(k)): df \circ bd \circ \operatorname{pr}_3^1(k) = df \circ cd \circ \operatorname{pr}_3^2(k) = ef \circ ce \circ \operatorname{pr}_3^2(k)$$

where the first equality results from  $pr_3^3(k)$  and the second from the commutative diagram. So define

$$\phi: k \mapsto (\operatorname{pr}_3^1(k), ce \circ \operatorname{pr}_3^2(k), E(\operatorname{pr}_3^3(k)))$$

We want to show that this is an equivalence. For a quasi-inverse, consider  $k':(X \to B) \times_{X \to F} (X \to E)$ . Then

$$\tilde{k}' :\equiv (bd \circ \operatorname{pr}_3^1(k'), \operatorname{pr}_3^2(k'), \operatorname{pr}_3^3(k')) : (X \to D) \times_{X \to F} (X \to E)$$

so  $f^{-1}(\tilde{k}'): X \to C$ . Thus, if we can construct some

$$q: bd \circ \operatorname{pr}_3^1(k') = cd \circ f^{-1}(\tilde{k}')$$

then we will have a candidate for  $\phi^{-1}$ . Using the universal property of f, we have

$$cd \circ f^{-1}(\tilde{k}') = \operatorname{pr}_3^1(\tilde{k}') = bd \circ \operatorname{pr}_3^1(k')$$

which is the required equality, meaning that we have our backward map,

$$\phi^{-1}: k' \mapsto (\operatorname{pr}_3^1(k'), f^{-1}(\tilde{k}'), E'(\operatorname{pr}_3^3(k')))$$

Now to show that these are quasi-inverses. Ignore the equality for now. If  $k:(X \to B) \times_{X \to D} (X \to C)$ , then applying  $\phi^{-1} \circ \phi$  gives

$$\left(\mathsf{pr}_{3}^{1}(k), f^{-1}(bd \circ \mathsf{pr}_{3}^{1}(k), ce \circ \mathsf{pr}_{3}^{2}(k), E(\mathsf{pr}_{3}^{3}(k))), E'(E(\mathsf{pr}_{3}^{3}(k)))\right)$$

By function extensionality, this is equal to the identity if the second slot is equal to  $pr_3^2(k)$  and the third slot to  $pr_3^3(k)$ . We have

$$f(k) = (cd \circ \operatorname{pr}_3^1(k), ce \circ \operatorname{pr}_3^2(k), E(\operatorname{pr}_3^3(k)))$$

by the definition of f, so the second slot agrees.

To go the other way, suppose that  $k': (X \to B) \times_{X \to F} (X \to E)$ . Then applying  $\phi \circ \phi^{-1}$  gives

$$\left(\mathsf{pr}_3^1(k'), ce \circ f^{-1}(bd \circ \mathsf{pr}_3^1(k'), \mathsf{pr}_3^2(k'), \mathsf{pr}_3^3(k)), E(E'(\mathsf{pr}_3^3(k')))\right)$$

and the universal property of f makes it obvious that the first two slots are what we need by function extensionality.

I am too lazy to work out the equalities by hand right now. Since E and E' are both constructed out of function applications and concatenations, and both of these are functorial, induction on  $pr_3^3(k)$  is going to make everything reduce to reflexivities.

At this point we have shown that

$$(X \to B) \times_{X \to D} (X \to C) \simeq (X \to B) \times_{X \to F} (X \to E)$$

Since equivalence is an equivalence relation, this means that g is an equivalence iff h is an equivalence, which is what was to be proved.

Section Exercise2\_12.

```
Variables (A \ B \ C \ D \ E \ F \ X : \text{Type}) \ (ab : A \to B) \ (ac : A \to C) \ (bd : B \to D) \ (cd : C \to D) \ (ce : C \to E) \ (df : D \to F) \ (ef : E \to F) \ (l: bd \circ ab = cd \circ ac) \ (r: df \circ cd = ef \circ ce).

Definition e: df \circ bd \circ ab = ef \circ ce \circ ac.

transitivity (df \circ cd \circ ac).

apply (ap \ (compose \ df) \ l).

apply (ap \ (fun \ (f: C \to F) \Rightarrow f \circ ac) \ r).

Defined.

Definition f(k: X \to C): \text{pullback} \ (@compose \ X \ \_ \ df) \ (@compose \ X \ \_ \ ef):= \ (cd \circ k; \ (ce \circ k; \ ap10 \ (ap \ compose \ r) \ k).

Hypothesis right\_pullback: \text{IsEquiv}(f).

Lemma comp\_cd\_is\_pr1: \ \forall \ (k: \text{pullback} \ (@compose \ X \ \_ \ ef), (@compose \ X \ \_ \ ef),
```

```
cd \circ f^{-1} k = k.1.
Proof.
  intros.
  change (cd \circ f^{-1} k) with (f(f^{-1} k)).1.
  apply (ap pr1 (eisretr f k)).
Defined.
Lemma comp_ce_is_pr2 : \forall (k: pullback (@compose X = df)
                                                      (@compose X = ef)),
                                ce \circ f^{-1} k = k.2.1.
Proof.
  intros.
  change (ce o f<sup>-1</sup> k) with (f (f<sup>-1</sup> k)).2.1.
  apply (ap ((fun (k: pullback (@compose X = df) (@compose X = ef))
                      \Rightarrow k.2.1)
               (@eisretr (X \rightarrow C)
                           (pullback (@compose X = df) (@compose X = ef))
                           right_pullback
                           k)).
Defined.
Definition phi (k: pullback (@compose X = bd) (@compose X = cd))
: pullback (@compose X = (df \circ bd)) (@compose X = ef) :=
  (k.1; (ce \circ k.2.1; (ap (compose df) k.2.2) @ (ap10 (ap compose r) k.2.1))).
Definition phi_inv (k: pullback (@compose X = (df \circ bd)) (@compose X = ef))
: pullback (@compose X - bd) (@compose X - cd) :=
  (k.1; (f^{-1} (bd \circ k.1; k.2); (base_path (eisretr f (bd \circ k.1; k.2)))^)).
Lemma ex2_12_alpha : \forall k, phi (phi_inv k) = k.
Proof.
  unfold phi, phi_inv. intro k.
  apply path_sigma_uncurried. ∃ 1. simpl.
  apply path_sigma_uncurried. simpl. \exists (comp_ce_is_pr2 (bd \circ k.1; k.2)).
  unfold comp_ce_is_pr2.
  rewrite trans_paths. rewrite ap_const. simpl. rewrite concat_1p.
  rewrite \leftarrow ap_apply_Fl.
Admitted.
Lemma ex2_12_beta : \forall k, phi_inv (phi k) = k.
Proof.
  intro k. unfold phi_inv, phi. simpl.
  apply path_sigma_uncurried. ∃ 1. simpl.
  apply path_sigma_uncurried. simpl.
  assert (f^{-1} (bd \circ k .1;
                   (ce o k.2.1;
                    ap (compose df) k.2.2 @ ap10 (ap compose r) k.2.1))
           = k.2.1).
Admitted.
Theorem ex2_12_helper:
  pullback (@compose X = bd) (@compose X = cd)
  \simeq pullback (@compose X = (df \circ bd)) (@compose X = ef).
Proof.
```

```
apply (equiv_adjointify phi_inv ex2_12_alpha ex2_12_beta). Defined. Theorem ex2_12:  (X \to A) \simeq \text{pullback (@compose } X \_ \_ bd) \text{ (@compose } X \_ \_ cd) \\ \leftrightarrow \\ (X \to A) \simeq \text{pullback (@compose } X \_ \_ (df \circ bd)) \text{ (@compose } X \_ \_ ef). \\ \text{Proof.} \\ \text{split.} \\ \text{intros.} \\ \text{apply (@equiv\_compose'} \_ \text{ (pullback (@compose } X \_ \_ bd) (@compose } X \_ \_ cd))). \\ \text{apply ex2\_12\_helper. apply } X0. \\ \text{intros.} \\ \text{apply (@equiv\_compose'} \_ \text{ (pullback (@compose } X \_ \_ (df \circ bd))} \\ \text{ (@compose } X \_ \_ ef))). \\ \text{apply (equiv\_inverse ex2\_12\_helper). apply } X0. \\ \text{Defined.} \\ \text{Defined.}
```

End Exercise2\_12.

Exercise 2.13 (p. 104) Show that  $(2 \simeq 2) \simeq 2$ .

**Solution** The result essentially says that **2** is equivalent to itself in two ways: the identity provides one equivalence, and negation gives the other. So we first define these.  $id_2$  is its own quasi-inverse; we have  $id_2 \circ id_2 \equiv id_2$ , so  $id_2 \circ id_2 \circ id_2 = id_2$ , so  $id_2 \circ id_2 \circ id$ 

To show the result, we need to map  $id_2$  and  $\neg$  onto 2 is a quasi-invertible way. But we need to define this map on all of  $2 \simeq 2$ . So for any  $h : 2 \simeq 2$ , let  $f(h) = h(0_2)$ , and define  $g : 2 \to (2 \simeq 2)$  by

$$g(0_2) = \mathsf{id}_2 \qquad \qquad g(1_2) = \neg$$

To show that these are quasi-inverses, note first that whatever else is the case, an equivalence  $\mathbf{2} \simeq \mathbf{2}$  can't be a constant function, which we can prove by a case analysis. Each of  $f(0_2)$  and  $f(1_2)$  is in  $\mathbf{2}$ , so it is either  $0_2$  or  $1_2$ . So we have the cases:

- $f(0_2) = f(1_2)$ , in which case we can apply  $f^{-1}$  to either side to get a contradiction, or
- $f(0_2) = \neg f(1_2)$ . In which case we have the result

Showing that  $f \circ g \sim id_2$  is easy, since we can do it by cases. We have

$$f(g(0_2)) = f(id_2) = id_2(0_2) = 0_2$$
  
$$f(g(1_2)) = f(\neg) = \neg 0_2 = 1_2$$

For the other direction, suppose that  $h : \mathbf{2} \simeq \mathbf{2}$  and that function extensionality holds.  $h(0_2)$  is either  $0_2$  or  $1_2$ . If the first, then because h isn't constant we have  $h(1_2) = \neg h(0_2) = 1_2$ , hence  $h = \mathrm{id}_2$ . Furthermore,

$$g(f(h)) = g(h(0_2)) = g(0_2) = id_2 = h$$

The same argument works for the other case. So f is an equivalence, and  $(2 \simeq 2) \simeq 2$ .

 $\label{eq:lemma_loss} \mbox{Lemma id\_isequiv}: \mbox{Bool} \simeq \mbox{Bool}.$   $\mbox{Proof}.$ 

refine (equiv\_adjointify idmap idmap (fun  $\_ \Rightarrow 1$ ) (fun  $\_ \Rightarrow 1$ )). Defined.

Lemma negb\_isequiv : Bool  $\simeq$  Bool.

```
Proof.
  refine (equiv_adjointify negb negb _ _);
  intro; destruct x; reflexivity.
Defined.
Definition ex2_13_f (x: Bool \simeq Bool): Bool := x false.
Definition ex2_13_g (b: Bool): (Bool \simeq Bool):=
  then {| equiv_fun := negb |}
  else { | equiv_fun := idmap | }.
Lemma equiv_not_const (f : Bool \rightarrow Bool) '{IsEquiv Bool Bool f}:
  f false = negb (f true).
Proof.
  pose proof (eissect f true) as H1.
  pose proof (eissect f false) as H2.
  destruct (f true), (f false);
  try (etransitivity; try (eassumption | | (symmetry; eassumption)));
  try (simpl; reflexivity).
Defined.
Theorem negb_involutive : \forall b, negb (negb b) = b.
Proof. destruct b; reflexivity. Qed.
Theorem ex2_13 '{Funext}: (Bool \simeq Bool) \simeq Bool.
Proof.
  refine (equiv_adjointify ex2_13_f ex2_13_g _ _);
  unfold Sect, ex2_13_f, ex2_13_g.
  (* alpha *)
  destruct x; reflexivity.
  (* beta *)
  destruct x. pose proof (equiv_not_const equiv_fun) as H1.
  apply path_equiv; apply path_forall; destruct x; simpl.
  destruct (equiv_fun false); simpl;
    repeat (transitivity (negb (negb (equiv_fun true)));
       [rewrite \leftarrow H1; reflexivity | apply negb_involutive]).
  destruct (equiv_fun false); reflexivity.
Qed.
```

**Exercise 2.14 (p. 104)** Suppose we add to type theory the equality reflection rule which says that if there is an element p: x = y, then in fact  $x \equiv y$ . Prove that for any p: x = x we have  $p \equiv \text{refl}_x$ .

**Solution** Suppose that p : x = x; we show that  $p = \text{refl}_x$ , by path induction. It suffices to consider the case where  $p \equiv \text{refl}_x$ , in which case we have  $\text{refl}_x : \text{refl}_x = \text{refl}_x$ . Thus  $p = \text{refl}_x$  is inhabited, so by the equality reflection rule,  $p \equiv \text{refl}_x$ .

Exercise 2.15 (p. 105) Show that Lemma 2.10.5 can be strengthened to

$$\mathsf{transport}^B(p,-) =_{B(x) \to B(y)} \mathsf{idtoeqv}(\mathsf{ap}_B(p))$$

without using function extensionality.

**Solution** By induction on p, we have

$$\begin{split} \mathsf{transport}^B(\mathsf{refl}_{B(x)},-) &\equiv \mathsf{id}_{B(x)} \\ &\equiv \mathsf{transport}^{X \mapsto X}(\mathsf{refl}_{B(x)},-) \\ &\equiv \mathsf{transport}^{X \mapsto X}(\mathsf{ap}_B(\mathsf{refl}_x),-) \\ &\equiv \mathsf{idtoeqv}(\mathsf{ap}_B(\mathsf{refl}_x)) \end{split}$$

```
Definition idtoeqv \{A \ B : \text{Type}\}: (A = B) \to (A \simeq B).

intro X.

refine (equiv_adjointify (transport idmap X) (transport idmap X^{^{\circ}})_{-});

intro b; [apply (transport_pV idmap X) | apply (transport_Vp idmap X)].

Defined.

Lemma ex2_15: \forall (A : \text{Type}) (B : A \to \text{Type}) (x \ y : A) (p : x = y),

transport B \ p = \text{idtoeqv} (ap B \ p).
```

Proof.

intros. unfold idtoeqv. induction p. reflexivity. Defined.

**Exercise 2.16 (p. 105)** Suppose that rather than function extensionality, we suppose only the existence of an element

$$\mathsf{funext}: \prod_{(A:\mathcal{U})} \prod_{(B:A \to \mathcal{U})} \prod_{f,g: \prod_{(x:A)} B(x)} (f \sim g) \to (f = g)$$

(with no relationship to happly assumed). Prove that in fact, this is sufficient to imply the whole function extensionality axiom (that happly is an equivalence).

**Solution** Suppose that we have such an element, and let  $A : \mathcal{U}$ ,  $B : A \to \mathcal{U}$ , and  $f,g : \prod_{(x:A)} B(x)$ . I will suppress the A and B throughout. If this implies the whole function extensionality axiom, then it must be the case that we can construct the funext from the book, which has a particular computation rule. This is not too difficult; define

$$\mathsf{funext}'(f,g,h) :\equiv \mathsf{funext}(f,g,h) \cdot (\mathsf{funext}(g,g,h))^{-1}$$

Then we have

$$\begin{split} \mathsf{funext'}(f,f,\lambda x.\,\mathsf{refl}_{f(x)}) &\equiv \mathsf{funext}(f,f,\lambda x.\,\mathsf{refl}_{f(x)}) \bullet (\mathsf{funext}(f,f,\lambda x.\,\mathsf{refl}_{f(x)}))^{-1} \\ &\equiv \mathsf{refl}_f \end{split}$$

by Lemma 2.1.4. So now we need to show that funext' is a quasi-inverse to happly. One direction is easy; since happly computes on refl, by induction we have

$$\mathsf{funext}'(f,f,\mathsf{happly}(\mathsf{refl}_f)) \equiv \mathsf{funext}'(f,f,\lambda x.\,\mathsf{refl}_{f(x)}) \equiv \mathsf{refl}_f$$

and thus  $\operatorname{funext}'(f,g) \circ h \sim \operatorname{id}_{f=g}$ . The other direction is more difficult. We need to show that for all  $h: f \sim g$ ,  $\operatorname{happly}(\operatorname{funext}(f,g,h)) = h$ . However, since h isn't an inductive type, we can't really do induction on it. In the special case that  $g \equiv f$  and  $h \equiv \lambda x$ .  $\operatorname{refl}_{f(x)}$ , we have

$$\mathsf{happly}(\mathsf{funext}(f,f,\lambda x.\,\mathsf{refl}_{f(x)})) \equiv \mathsf{happly}(\mathsf{refl}_f) \equiv \lambda x.\,\mathsf{refl}_{f(x)}$$

So if we could find a way to reduce to this case, then we'd have the result. One way to do this is to show that  $(g,h) = (f, \lambda x. \operatorname{refl}_{f(x)})$ ; since we'd need to show this for all g and h, this would be the same as showing that the type

$$\sum_{g:\Pi_{(x:A)}B(x)}(f\sim g)\equiv\sum_{(g:\Pi_{(x:A)}B(x))}\prod_{(x:A)}(f(x)=g(x))$$

is contractible, in the sense discussed in Exercise 1.7. From Theorem 2.15.7, this is equivalent to

$$\prod_{(x:A)} \sum_{(y:B(x))} (f(x) = y)$$

So if we can show that this type is contractible, then we can get the reduction to the special case.

Now, we know from the previously-discussed Lemma 3.11.8 that for any x,  $\sum_{(y:B(x))}(f(x)=y)$  is contractible. Now we want to apply Lemma 3.11.6, but the proof requires function extensionality, so we'll have to try to recap it. Suppose that  $j, k : \prod_{(x:A)} \sum_{(y:B(x))} (f(x)=y)$ . For any x : A, we have j(x)=k(x) because  $\sum_{(y:B(x))} (f(x)=y)$  is contractible. Hence there's some  $p:j\sim k$ , so funext'(j,k,p):(j=k). This means that

$$\prod_{(x:A)} \sum_{(y:B(x))} (f(x) = y)$$

is contractible. So, transporting across the equivalence,

$$\sum_{(g:\Pi_{(x:A)}B(x))}\prod_{(x:A)}(f(x)=g(x))\equiv\sum_{g:\Pi_{(x:A)}B(x)}(f\sim g)$$

is contractible. Since any two contractible types are equivalent, this means

$$\left( \sum_{(g: \prod_{(x:A)} B(x))} (f = g) \right) \simeq \left( \sum_{(g: \prod_{(x:A)} B(x))} (f \sim g) \right)$$

Since the first is contractible by Lemma 3.11.8. Thus, we've shown that total(happly(f)), as defined in Definition 4.7.5, is an equivalence. By Theorem 4.7.7, this makes happly(f,g) an equivalence for all g, proving the result. Fingers crossed that none of the HoTT library lemmas I use depend on Funext or Univalence.

Section Exercise2\_16.

```
Variable funext: \forall (A: Type) (B: A \rightarrow Type) (f g: \forall (x:A), B x),
                                   (f \sim g) \rightarrow (f = g).
Definition funext' \{A : \mathsf{Type}\}\ \{B : A \to \mathsf{Type}\}\ (f g : \forall (x:A), B x) :
   (f \sim g) \rightarrow (f = g) :=
   (\operatorname{fun} h : (f \sim g) \Rightarrow (\operatorname{funext} A B f g h) \otimes (\operatorname{funext} A B g g (\operatorname{fun} \bot \Rightarrow 1))^{\hat{}}).
Lemma funext'_computes \{A : \mathtt{Type}\}\ \{B : A \to \mathtt{Type}\}\ (f : \forall (x:A), B x) :
   funext' f f (fun  \Rightarrow 1) = 1.
Proof.
   unfold funext'. rewrite concat_pV. reflexivity.
Definition isContr (X:Type) := \{a: X \& \forall (x:X), a = x\}.
Lemma Lemma 3118 \{C\}: \forall (c:C), is Contr \{x:C \& c = x\}.
Proof.
   intro c. \exists (c; 1).
   intro x. destruct x as [x p]. path\_induction. reflexivity.
Lemma Lemma 3116 ABf: is Contr (\forall x:A, \{y: Bx \& fx = y\}).
Proof.
```

```
\exists (fun x:A \Rightarrow (f x; 1)).
  intro k. apply (funext' (fun x \Rightarrow (f x; 1)) k); intro x.
  assert (isContr \{y : B x \& f x = y\}). apply Lemma3118.
  destruct X. destruct x0. rewrite \leftarrow (p(k x)).
  apply path_sigma_uncurried. \exists p0.
  induction p0. reflexivity.
Defined.
Definition choice \{A B f\}:
  (\forall (x:A), \{y : B \ x \& f \ x = y\}) \to \{g : \forall (x:A), B \ x \& f \sim g\}.
  intro k. \exists (fun x:A \Rightarrow (kx).1).
  intro x. apply (k x).2.
Defined.
Definition choice_inv {A B f}:
   (\{g: \forall (x:A), B \ x \ \& \ f \sim g\}) \rightarrow (\forall (x:A), \{y: B \ x \ \& \ f \ x = y\}).
  intros k x. apply (k.1 x; k.2 x).
Defined.
Lemma Theorem2157 \{A \ B \ f\}: IsEquiv(@choice A \ B \ f).
  refine (isequiv_adjointify choice choice_inv _ _); intro k;
  unfold choice, choice_inv; simpl; [| apply funext'; intro x];
  apply path_sigma_uncurried; ∃ 1; reflexivity.
Defined.
Lemma contr_equiv_commute \{A B\} : A \simeq B \to \text{isContr } A \to \text{isContr } B.
Proof.
  intros f k. unfold isContr in *.
  \exists (f k.1). intro x. transitivity (f (f<sup>-1</sup> x)).
  apply (ap f). apply (k.2(f^{-1}x)).
  apply eisretr.
Defined.
Lemma reduce_to_refl {A B f} : isContr {g : \forall x:A, B x \& f \sim g}.
  apply (@contr_equiv_commute (\forall (x:A), {y : B x & f x = y})).
  refine (BuildEquiv _ _ choice Theorem2157).
  apply Lemma3116.
Defined.
Definition total_happly \{A \ B \ f\}:
  \{g: \forall x:A, Bx \& f=g\} \rightarrow \{g: \forall x:A, Bx \& f\sim g\}.
  intros. destruct X. \exists x. apply apD10. apply p.
Defined.
Definition total_happly_inv {A B f}:
  \{g: \forall x:A, B x \& f \sim g\} \rightarrow \{g: \forall x:A, B x \& f = g\}.
  intros. destruct X. \exists x. apply funext'. apply p.
Defined.
Lemma total_equivalence \{A \ B \ f\}: IsEquiv(@total_happly \ A \ B \ f).
  refine (isequiv_adjointify total_happly total_happly_inv _ _); intro k.
  - assert (isContr \{g: \forall x:A, B \ x \ \& f \sim g\}). apply reduce_to_refl.
     destruct X. rewrite (p k) ^. apply (p \text{ (total_happly (total_happly_inv } x))) ^.
  - assert (isContr \{g: \forall x:A, B \ x \ \& f = g\}). apply Lemma3118.
```

```
destruct X. rewrite (p k) ^. apply (p \text{ (total\_happly\_inv (total\_happly } x))) ^.
Defined.
Definition total \{A \ P \ Q\}\ (f : \forall (x:A), P \ x \to Q \ x) := \text{fun } w \Rightarrow (w.1; f \ w.1 \ w.2).
Lemma total_happly_is \{A B f\}: (@total_happly A B f) = total (@apD10 A B f).
Proof.
  unfold total_happly.
  apply funext'; intro.
  destruct x.
  reflexivity.
Qed.
Definition fx_inv \{A \ P \ Q\} \ \{f : \forall x:A, P \ x \rightarrow Q \ x\} \ \{k : \mathsf{lsEquiv} \ (\mathsf{total} \ f)\}
              (x : A) (y : Q x) : P x.
  destruct k.
  change x with (x; y).1.
  apply (transport \_ (base_path (eisretr (x; y)))).
  apply (equiv_inv(x; y)).2.
Defined.
Lemma Theorem477 (A: Type) (PQ: A \rightarrow \text{Type}) (f: \forall x:A, Px \rightarrow Qx):
  IsEquiv (total f) \rightarrow \forall x:A, IsEquiv (f x).
Proof.
  intros.
  refine (isequiv_adjointify (f(x)) (f(x)) (f(x)); unfold f(x)); intro f(x)
  - destruct X.
     rewrite ap_transport. simpl. unfold base_path.
     apply (fiber_path (eisretr (x; y))).
  - destruct X. unfold base_path.
     change (x; f x y) with ((total f) (x; y)).
     rewrite eisadj. rewrite \leftarrow ap_compose.
     assert ((ap (pr1 o total f) (eissect (x; y))) = (base_path (eissect (x; y)))).
     unfold compose. unfold base_path. reflexivity.
     rewrite X. unfold base_path. simpl.
     transitivity (x; y).2.
     apply (fiber_path (eissect (x; y))).
     reflexivity.
Defined.
Theorem ex2_16 {A B} (f g : \forall (x:A), B x) : IsEquiv(@apD10 A B f g).
Proof.
  apply Theorem 477.
  rewrite \leftarrow total_happly_is.
  apply total_equivalence.
Qed.
End Exercise2_16.
```

## Exercise 2.17 (p. 105)

- (i) Show that if  $A \simeq A'$  and  $B \simeq B'$ , then  $(A \times B) \simeq (A' \times B')$ .
- (ii) Give two proofs of this fact, one using univalence and one not using it, and show that the two proofs are equal.
- (iii) Formulate and prove analogous results for the other type formers:  $\Sigma$ ,  $\rightarrow$ ,  $\Pi$ , and +.

**Solution** (i) Suppose that  $g: A \simeq A'$  and  $h: B \simeq B'$ . By the univalence axiom, this means that A = A' and B = B'. But then  $A \times B = A' \times B'$ , so again by univalence  $(A \times B) \simeq (A' \times B')$ .

```
Theorem ex2_17_i '{Univalence}: \forall (A \land B \land B': Type), A \simeq A' \rightarrow B \simeq B' \rightarrow (A \times B) \simeq (A' \times B').

Proof.

intros A \land B \land B' \land g.

apply equiv_path_universe in f.

apply equiv_path_universe in g.

apply equiv_path_universe.

apply (transport (fun x:Type \Rightarrow A \times B = A' \times x) g).

apply (transport (fun x:Type \Rightarrow A \times B = x \times B) f).

reflexivity.

Defined.
```

(ii) To prove this without univalence, we construct an explicit equivalence. Suppose that  $f: A \to A'$  and  $g: B \to B'$  are both equivalences, and define  $h: A \times B \to A' \times B'$  by

$$h(a,b) :\equiv (f(a),g(b))$$

with the appropriate inverse

$$h^{-1}(a',b') :\equiv (f^{-1}(a'),g^{-1}(b'))$$

Clearly these are quasi-inverses, since

$$h(h^{-1}(a',b')) \equiv h(f^{-1}(a'),g^{-1}(b')) \equiv (f(f^{-1}(a')),g(g^{-1}(b'))) \equiv (a',b')$$

and vice versa.

```
Theorem ex2_17_i': \forall (AA'BB': Type), A \simeq A' \to B \simeq B' \to (A \times B) \simeq (A' \times B').

Proof.

intros AA'BB'fg.

refine (equiv_adjointify (fun z \Rightarrow (f \text{ (fst } z), g \text{ (snd } z)))

(fun z \Rightarrow (f^{-1} \text{ (fst } z), g^{-1} \text{ (snd } z)))

- -);

intro z; destruct z; apply path_prod; simpl; try (apply eisretr); try (apply eissect).

Defined.
```

To prove that the proofs are equivalent, it suffices to show that the underlying functions are equal, by Lemma 3.5.1.

```
Theorem equal_proofs '{Univalence}: ex2_17_i = ex2_17_i'. Proof.

unfold ex2_17_i, ex2_17_i'. simpl. unfold compose.

apply path_forall; intro A. apply path_forall; intro A'.

apply path_forall; intro B. apply path_forall; intro B'.

apply path_forall; intro f. apply path_forall; intro g.

(* equiv_fun *)

assert (transport idmap

(transport (fun x: Type \Rightarrow A \times B = A' \times x)

(path_universe_uncurried g)

(transport (fun x: Type \Rightarrow A \times B = x \times B)

(path_universe_uncurried f) 1))
```

```
fun z: A \times B \Rightarrow (f \text{ (fst } z), g \text{ (snd } z))) as H1.
  apply path_forall; intro z.
  repeat (rewrite trans_paths; hott_simpl).
  rewrite transport_pp.
  rewrite ← transport_idmap_ap.
  rewrite \leftarrow (transport_idmap_ap Type (fun a:Type \Rightarrow a \times B) A A' (path_universe_uncurried f) z).
  rewrite (@transport_prod Type idmap (fun x:Type \Rightarrow B)).
  rewrite transport_prod. simpl.
  destruct z; apply path_prod; simpl.
     rewrite transport_const.
     assert ((path_universe_uncurried f) = (path_universe (equiv_fun A A' f))).
     unfold path_universe. destruct f. reflexivity.
     rewrite X. apply transport_path_universe.
     rewrite transport_const.
     assert ((path_universe_uncurried g) = (path_universe (equiv_fun B B' g))).
     unfold path_universe. destruct g. reflexivity.
     rewrite X. apply transport_path_universe.
 unfold equiv_path, equiv_adjointify.
 (* Lemma 3.5.1 *)
 apply path_equiv. apply H1.
(iii) The proofs of the rest of these are pretty much routine. With univalence, we can just convert everything
to equality, rewrite, and then convert back to equivalences. However, since Coq's rewriting approach can
be fiddly, we sometimes have to write things out explicitly. Most of the conceptual work in this problem is
just stating the generalizations, though, which are as follows:
\Sigma If f:A\simeq A' and for all x:A we have B(x)\simeq B'(f(x)), then (\sum_{(x:A)}B(x))\simeq (\sum_{(x':A')}B'(x')). Another
      way to state the second assumption is that there is a fiberwise equivalence g: \prod_{(x:A)} B(x) \simeq B'(f(x)).
\rightarrow If A \simeq A' and B \simeq B', then (A \rightarrow B) \simeq (A' \rightarrow B').
\Pi If f: A \simeq A' and there is a fiberwise equivalence g: \prod_{(x:A)} B(x) \simeq B'(f(x)), then
            \left(\prod_{x:A} B(x)\right) \simeq \left(\prod_{x'\cdot A'} B'(f(x'))\right)
+ If A \simeq A' and B \simeq B', then A + B \simeq A' + B'.
Definition sigma_f '{Univalence} {A A': Type} {B: A \rightarrow \text{Type}} {B': A' \rightarrow \text{Type}}
          (f:A\simeq A') (g:\forall x:A,B x\simeq B' (fx)):
  {x : A \& B x} \rightarrow {x' : A' \& B' x'}.
  intros. \exists (f X.1). apply (g X.1 X.2).
Defined.
Definition sigma_f_inv '{Univalence} \{A \ A' : \text{Type}\}\ \{B : A \to \text{Type}\}\ \{B' : A' \to \text{Type}\}
     (f: A \simeq A') (g: \forall x: A, B x \simeq B' (f x)) (X: \{x': A' \& B' x'\})
     .- (f^{-1} X.1; (g(f^{-1} X.1))^{-1} ((eisretr f X.1)^* # X.2)).
Theorem ex2_17_sigma '{Univalence} (A A' : Type) (B : A \rightarrow Type) (B' : A' \rightarrow Type)
          (f:A\simeq A') (g:\forall x:A,B x\simeq B' (fx)):
```

```
\{x : A \& B x\} \simeq \{x' : A' \& B' x'\}.
Proof.
   intros.
  refine (equiv_adjointify (sigma_f f g) (sigma_f_inv f g) _ _); intro h;
   unfold sigma_f, sigma_f_inv; simpl; apply path_sigma_uncurried; simpl.
   \exists (eisretr f h.1). simpl.
   rewrite (eisretr (g(f^{-1}h.1))). rewrite transport_pV. reflexivity.
   \exists (eissect f h. 1).
  refine ((ap_transport (eissect f h.1) (fun x' \Rightarrow (g x')^{-1})
                                 (transport B' (eisretr f(fh.1)) \hat{g}(gh.1h.2)) \hat{g}(gh.1h.2)).
  rewrite transport_compose, eisadj, transport_pV. apply eissect.
Defined.
Theorem ex2_17_maps '{Univalence}: \forall (A A' B B' : Type),
  A \simeq A' \to B \simeq B' \to (A \to B) \simeq (A' \to B').
Proof.
   intros A A' B B' HA HB.
   apply equiv_path_universe in HA.
   apply equiv_path_universe in HB.
   apply equiv_path_universe.
  rewrite HA, HB. reflexivity.
Defined.
Definition pi_f \{A \ A' : \text{Type}\}\ \{B : A \to \text{Type}\}\ \{B' : A' \to \text{Type}\}\
           (f:A\simeq A') (g:\forall x:A,B x\simeq B' (fx)):
   (\forall x:A, Bx) \rightarrow (\forall x':A', B'x').
   intros.
   apply ((eisretr f(x')) # ((g(f^{-1}(x'))) (X(f^{-1}(x')))).
Defined.
Definition pi_f_inv \{A \ A' : \text{Type}\}\ \{B : A \rightarrow \text{Type}\}\ \{B' : A' \rightarrow \text{Type}\}
               (f:A\simeq A') (g:\forall x:A,B x\simeq B' (fx)):
   (\forall x':A',B'x') \rightarrow (\forall x:A,Bx).
   intros.
   apply (g x)^-1. apply (X (f x)).
Defined.
Theorem ex2_17_pi \{A \ A' : \text{Type}\}\ \{B : A \rightarrow \text{Type}\}\ \{B' : A' \rightarrow \text{Type}\}
               (f:A\simeq A') (g:\forall x:A,B x\simeq B' (fx)):
   (\forall x:A, B x) \simeq (\forall x':A', B' x').
  refine (equiv_adjointify (pi_f f g) (pi_f_inv f g) _ _); intro h;
  unfold pi_f, pi_f_inv.
   apply path_forall; intro x'.
   rewrite (eisretr (g(f^{-1}x'))). induction (eisretr f(x')). reflexivity.
   apply path_forall; intro x.
   apply (ap (g x))^-1. rewrite (eisretr (g x)).
  rewrite eisadj. rewrite ← transport_compose.
   induction (eissect f(x)). reflexivity.
Qed.
Theorem ex2_17_sum '{Univalence}: \forall (A A' B B': Type),
  A \simeq A' \rightarrow B \simeq B' \rightarrow (A + B) \simeq (A' + B').
Proof.
   intros A A' B B' HA HB.
```

```
apply equiv_path_universe in HA.
apply equiv_path_universe in HB.
apply equiv_path_universe.
rewrite HA, HB. reflexivity.
Qed.
```

## 3 Sets and logic

```
Notation Brck Q := (minus1Trunc Q).
```

**Exercise 3.1 (p. 127)** Prove that if  $A \simeq B$  and A is a set, then so is B.

**Solution** Suppose that  $A \simeq B$  and that A is a set. Since A is a set,  $x =_A y$  is a mere proposition. And since  $A \simeq B$ , this means that  $x =_B y$  is a mere proposition, hence that B is a set.

Alternatively, we can unravel some definitions. By assumption we have  $f: A \simeq B$  and

$$g:\mathsf{isSet}(A) \equiv \prod_{(x,y:A)} \prod_{(p,q:x=y)} (p=q)$$

Now suppose that x, y : B and p, q : x = y. Then  $f^{-1}(x), f^{-1}(y) : A$  and  $f^{-1}(p), f^{-1}(q) : f^{-1}(x) = f^{-1}(y)$ , so

$$f\Big(g(f^{-1}(x),f^{-1}(y),f^{-1}(p),f^{-1}(q))\Big):f(f^{-1}(p))=f(f^{-1}(q))$$

Since  $f^{-1}$  is a quasi-inverse of f, we have the homotopy  $\alpha:\prod_{(a:A)}(f(f^{-1}(a))=a)$ , thus

$$\alpha_x^{-1} \cdot f\Big(g(f^{-1}(x), f^{-1}(y), f^{-1}(p), f^{-1}(q))\Big) \cdot \alpha_y : p = q$$

So we've constructed an element of

$$\mathsf{isSet}(B): \prod_{(x,y:B)} \prod_{(p,q:x=y)} (p=q)$$

```
Theorem ex3_1 (AB: Type) '{Univalence}: A \simeq B \to \text{IsHSet } A \to \text{IsHSet } B. Proof.

intros f g.

apply equiv_path_universe in f.

rewrite \leftarrow f.

apply g.

Defined.

Theorem ex3_1' (AB: Type): A \simeq B \to \text{IsHSet } A \to \text{IsHSet } B.

Proof.

intros f g x y.

apply hprop_allpath. intros p q.

assert (ap f^{-1} p = ap f^{-1} q). apply g.

apply ((ap (ap f^{-1}))^-1 X).

Defined.
```

**Exercise 3.2 (p. 127)** Prove that if *A* and *B* are sets, then so is A + B.

**Solution** Suppose that A and B are sets. Then for all a, a': A and b, b': B, a = a' and b = b' are contractible. Given the characterization of the path space of A + B in \S2.12, it must also be contractible. Hence A + B is a set.

More explicitly, suppose that z, z' : A + B and p, q : z = z'. By induction, there are four cases.

- $z \equiv \operatorname{inl}(a)$  and  $z' \equiv \operatorname{inl}(a')$ . Then  $(z = z') \simeq (a = a')$ , and since A is a set, a = a' is contractible, so (z = z') is as well.
- $z \equiv \operatorname{inl}(a)$  and  $z' \equiv \operatorname{inr}(b)$ . Then  $(z = z') \simeq \mathbf{0}$ , so p is a contradiction.
- $z \equiv \operatorname{inr}(b)$  and  $z' \equiv \operatorname{inl}(a)$ . Then  $(z = z') \simeq \mathbf{0}$ , so p is a contradiction.
- $z \equiv \operatorname{inr}(b)$  and  $z' \equiv \operatorname{inr}(b')$ . Then  $(z = z') \simeq (b = b')$ , and since B is a set, this type is contractible.

So z = z' is contractible, making A + B a set.

```
Theorem ex3_2 (A B: Type): IsHSet A \rightarrow IsHSet B \rightarrow IsHSet (A + B). Proof.

intros f g.

intros z z'. apply hprop_allpath. intros p q.

assert ((path_sum z z')^-1 p = (path_sum z z')^-1 q).

pose proof ((path_sum z z')^-1 p).

destruct z as [a \mid b], z' as [a' \mid b'].

apply f. contradiction. contradiction. apply g.

apply ((ap (path_sum z z')^-1)^-1 X).

Defined.
```

**Exercise 3.3 (p. 127)** Prove that if *A* is a set and  $B: A \to \mathcal{U}$  is a type family such that B(x) is a set for all x: A, then  $\sum_{(x:A)} B(x)$  is a set.

**Solution** At this point the pattern in these proofs is relatively obvious: show that the path space of the combined types is determined by the path spaces of the base types, and then apply the fact that the base types are sets. So here we suppose that  $ww': \sum_{(x:A)} B(x)$ , and that pq: (w=w'). Now

```
(w=w')\simeq\sum_{p:\mathsf{pr}_1(w)=\mathsf{pr}_1(w')}p_*(\mathsf{pr}_2(w))=\mathsf{pr}_2(w')
```

by Theorem 2.7.2. Since A is a set,  $\operatorname{pr}_1(w) = \operatorname{pr}_1(w')$  is contractible, so  $(w = w') \simeq ((\operatorname{refl}_{\operatorname{pr}_1(w)})_*(\operatorname{pr}_2(w)) = \operatorname{pr}_2(w')) \equiv (\operatorname{pr}_2(w) = \operatorname{pr}_2(w'))$  by Lemma 3.11.9. And since B is a set, this too is contractible, making w = w' contractible and  $\sum_{(x:A)} B(x)$  a set.

```
Theorem ex3_3 (A: Type) (B: A \to \text{Type}):
    IsHSet A \to (\forall x:A, \text{IsHSet } (B x)) \to \text{IsHSet } \{x: A \& B x\}.

Proof.
    intros f g.
    intros w w'. apply hprop_allpath. intros p q.
    assert ((path_sigma_uncurried B w w')^-1 p = (path_sigma_uncurried B w w')^-1 q).
    apply path_sigma_uncurried. simpl.
    assert (p..1 = q..1). apply f. \exists X. apply (g w'.1).
    apply ((ap (path_sigma_uncurried B w w')^-1)^-1 X).

Defined.
```

**Exercise 3.4 (p. 127)** Show that A is a mere proposition if and only if  $A \to A$  is contractible.

**Solution** For the forward direction, suppose that A is a mere proposition. Then by Example 3.6.2,  $A \to A$  is a mere proposition. We also have  $id_A : A \to A$  when A is inhabited and  $! : A \to A$  when it's not, so  $A \to A$  is contractible.

For the other direction, suppose that  $A \to A$  is contractible and that xy:A. We have the functions  $z \mapsto x$  and  $z \mapsto y$ , and since  $A \to A$  is contractible these functions are equal. happly then gives x = y, so A is a mere proposition.

```
Theorem ex3_4 (A: Type): IsHProp A \leftrightarrow \operatorname{Contr}(A \to A).

Proof.

split; intro H.

(* forward *)

\exists idmap; intro f.

apply path_forall; intro x. apply H.

(* backward *)

apply hprop_allpath; intros x y.

assert ((fun z:A \Rightarrow x) = (fun z:A \Rightarrow y)).

destruct H. transitivity center.

apply (contr (fun \_ \Rightarrow x))^. apply (contr (fun \_ : A \Rightarrow y)).

apply (apD10 X x).

Defined.
```

**Exercise 3.5 (p. 127)** Show that  $isProp(A) \simeq (A \rightarrow isContr(A))$ .

**Solution** Lemma 3.3.3 gives us maps  $\mathsf{isProp}(A) \to (A \to \mathsf{isContr}(A))$  and  $(A \to \mathsf{isContr}(A)) \to \mathsf{isProp}(A)$ . Note that  $\mathsf{isContr}(A)$  is a mere proposition, so  $A \to \mathsf{isContr}(A)$  is as well.  $\mathsf{isProp}(A)$  is always a mere proposition, so by Lemma 3.3.3 we have the equivalence.

```
Theorem ex3_5 (A: Type): IsHProp A \simeq (A \to \operatorname{Contr} A). Proof.

(* Lemma 3.3.3 *)

apply equiv_iff_hprop.

(* Lemma 3.11.3 *)

apply contr_inhabited_hprop.

apply hprop_inhabited_contr.

Qed.
```

**Exercise 3.6 (p. 127)** Show that if A is a mere proposition, then so is  $A + (\neg A)$ .

**Solution** Suppose that *A* is a mere proposition, and that  $x, y : A + (\neg A)$ . By a case analysis, we have

- x = inl(a) and y = inl(a'). Then  $(x = y) \simeq (a = a')$ , and A is a mere proposition, so this holds.
- x = inl(a) and y = inr(f). Then  $f(a) : \mathbf{0}$ , a contradiction.
- x = inr(f) and y = inl(a). Then  $f(a) : \mathbf{0}$ , a contradiction.
- $x = \operatorname{inr}(f)$  and  $y = \operatorname{inr}(f')$ . Then  $(x = y) \simeq (f = f')$ , and  $\neg A$  is a mere proposition, so this holds.

```
Theorem ex3_6 \{A\}: IsHProp A \to \text{IsHProp } (A + \neg A).

Proof.

intro H.

assert (IsHProp (\neg A)) as H'.

apply hprop_allpath. intros ff'. apply path_forall; intro x. contradiction. apply hprop_allpath. intros x y.
```

```
destruct x as [a \mid f], y as [a' \mid f']. apply (ap inl). apply H. contradiction. contradiction. apply (ap inr). apply H'. Defined.
```

**Exercise 3.7 (p. 127)** More generally, show that if *A* and *B* are mere propositions and  $\neg(A \times B)$ , then A + B is also a mere proposition.

**Solution** Suppose that *A* and *B* are mere propositions with  $f : \neg(A \times B)$ , and let x, y : A + B. Then we have cases:

- $x = \operatorname{inl}(a)$  and  $y = \operatorname{inl}(a')$ . Then  $(x = y) \simeq (a = a')$ , and A is a mere proposition, so this holds.
- x = inl(a) and y = inr(b). Then  $f(a, b) : \mathbf{0}$ , a contradiction.
- x = inr(b) and y = inl(a). Then  $f(a, b) : \mathbf{0}$ , a contradiction.
- $x = \operatorname{inr}(b)$  and  $y = \operatorname{inr}(b')$ . Then  $(x = y) \simeq (b = b')$ , and B is a mere proposition, so this holds.

Theorem ex3\_7 {A B} : IsHProp  $A \to \text{IsHProp } B \to \ \ (A \times B) \to \text{IsHProp } (A+B)$ . Proof.

```
intros HA \ HB \ f.

apply hprop_allpath; intros x \ y.

destruct x as [a \mid b], y as [a' \mid b'].

apply (ap inl). apply HA.

assert Empty. apply (f \ (a, b')). contradiction.

assert Empty. apply (f \ (a', b)). contradiction.

apply (ap inr). apply HB.

Defined.
```

**Exercise 3.8 (p. 127)** Assuming that some type isequiv(f) satisfies

- (i) For each  $f: A \to B$ , there is a function  $qinv(f) \to isequiv(f)$ ;
- (ii) For each f we have isequiv $(f) \rightarrow \text{qinv}(f)$ ;
- (iii) For any two  $e_1, e_2$ : isequiv(f) we have  $e_1 = e_2$ ,

show that the type  $\|qinv(f)\|$  satisfies the same conditions and is equivalent to isequiv(f).

**Solution** Suppose that  $f: A \to B$ . There is a function  $qinv(f) \to \|qinv(f)\|$  by definition. Since isequiv(f) is a mere proposition (by iii), the recursion principle for  $\|qinv(f)\|$  gives a map  $\|qinv(f)\| \to isequiv(f)$ , which we compose with the map from (ii) to give a map  $\|qinv(f)\| \to qinv(f)$ . Finally,  $\|qinv(f)\|$  is a mere proposition by construction. Since  $\|qinv(f)\|$  and isequiv(f) are both mere propositions and logically equivalent,  $\|qinv(f)\| \simeq isequiv(f)$  by Lemma 3.3.3.

Section Exercise3\_8. 
Variables (E Q: Type). 
Hypothesis  $H1: Q \to E$ . 
Hypothesis  $H2: E \to Q$ . 
Hypothesis  $H3: \forall e \ e': E, e = e'$ . 
Definition ex3\_8\_i:  $Q \to (\operatorname{Brck} Q) := \min 1$ . 
Definition ex3\_8\_i: (Brck  $Q) \to Q$ . 
intro q. apply H2. apply (@minus1Trunc\_rect\_nondep Q E).

```
apply H1. apply H3. apply q. Defined.

Theorem ex3_8_iii: \forall q \ q': Brck Q, q = q'. apply allpath_hprop.

Defined.

Theorem ex3_8_iv: (Brck Q) \simeq E. apply @equiv_iff_hprop.
apply hprop_allpath. apply ex3_8_iii. apply hprop_allpath. apply H3. apply H1 o ex3_8_ii). apply H1 o ex3_8_ii). apply (ex3_8_i o H2).

Defined.

End Exercise3_8.
```

**Exercise 3.9 (p. 127)** Show that if LEM holds, then the type  $Prop := \sum_{(A:\mathcal{U})} isProp(A)$  is equivalent to **2**.

**Solution** Suppose that

$$f: \prod_{A:\mathcal{U}} \left(\mathsf{isProp}(A) \to (A + \neg A)\right)$$

To construct a map  $Prop \to \mathbf{2}$ , it suffices to consider an element of the form (A, g), where g : isProp(A). Then  $f(g) : A + \neg A$ , so we have two cases:

- $f(g) \equiv \operatorname{inl}(a)$ , in which case we send it to  $1_2$ , or
- $f(g) \equiv \operatorname{inr}(a)$ , in which case we send it to  $0_2$ .

To go the other way, note that LEM splits Prop into two equivalence classes (basically, the true and false propositions), and  $\mathbf{1}$  and  $\mathbf{0}$  are in different classes. Univalence quotients out these classes, leaving us with two elements. We'll use  $\mathbf{1}$  and  $\mathbf{0}$  as representatives, so we send  $0_2$  to  $\mathbf{0}$  and  $1_2$  to  $\mathbf{1}$ .

Coq has some trouble with the universes here, so we have to specify that we want (Unit : Type) and (Empty : Type); otherwise we get the *Type0* versions.

```
Section Exercise3_9.

Hypothesis LEM: \forall (A: \mathsf{Type}), \mathsf{IsHProp}\ A \to (A + \neg A).

Definition \mathsf{ex3}\_9\_\mathsf{f}\ (P: \{A: \mathsf{Type}\ \&\ \mathsf{IsHProp}\ A\}): \mathsf{Bool}:= \mathsf{match}\ (LEM\ P.1\ P.2)\ \mathsf{with}
| \mathsf{inl}\ a \Rightarrow \mathsf{true}
| \mathsf{inr}\ a' \Rightarrow \mathsf{false}
\mathsf{end}.

Lemma \mathsf{hprop}\_\mathsf{Unit}: \mathsf{IsHProp}\ (\mathsf{Unit}: \mathsf{Type}).
\mathsf{apply}\ \mathsf{hprop}\_\mathsf{inhabited}\_\mathsf{contr.}\ \mathsf{intro}\ u.\ \mathsf{apply}\ \mathsf{contr}\_\mathsf{unit}.

Definition \mathsf{ex3}\_9\_\mathsf{inv}\ (b: \mathsf{Bool}): \{A: \mathsf{Type}\ \&\ \mathsf{IsHProp}\ A\} := \mathsf{match}\ b\ \mathsf{with}
| \mathsf{true} \Rightarrow @\mathsf{existT}\ \mathsf{Type}\ \mathsf{IsHProp}\ (\mathsf{Unit}: \mathsf{Type})\ \mathsf{hprop}\_\mathsf{Unit}
| \mathsf{false} \Rightarrow @\mathsf{existT}\ \mathsf{Type}\ \mathsf{IsHProp}\ (\mathsf{Empty}: \mathsf{Type})\ \mathsf{hprop}\_\mathsf{Empty}
\mathsf{end}.

Theorem \mathsf{ex3}\_9 '{Univalence}: \{A: \mathsf{Type}\ \&\ \mathsf{IsHProp}\ A\} \simeq \mathsf{Bool}.

Proof.
\mathsf{refine}\ (\mathsf{equiv}\_\mathsf{adjointify}\ \mathsf{ex3}\_9\_\mathsf{f}\ \mathsf{ex3}\_9\_\mathsf{inv}\_\_).
```

```
intro b. unfold ex3_9_f, ex3_9_inv.
  destruct b.
     simpl. destruct (LEM (Unit:Type) hprop_Unit).
       reflexivity.
       contradiction n. exact tt.
     simpl. destruct (LEM (Empty:Type) hprop_Empty).
       contradiction. reflexivity.
  intro w. destruct w as [A p]. unfold ex3_9_f, ex3_9_inv.
     simpl. destruct (LEM A p) as [x \mid x].
     apply path_sigma_uncurried. simpl.
     assert ((Unit:Type) = A).
       assert (Contr A). apply contr_inhabited_hprop. apply p. apply x.
       apply equiv_path_universe. apply equiv_inverse. apply equiv_contr_unit.
     \exists X. induction X. simpl.
     assert (IsHProp (IsHProp (Unit:Type))). apply HProp_HProp. apply X.
     apply path_sigma_uncurried. simpl.
     assert ((Empty:Type) = A).
       apply equiv_path_universe. apply equiv_iff_hprop.
          intro z. contradiction.
          intro a. contradiction.
     \exists X. induction X. simpl.
     assert (IsHProp (IsHProp (Empty:Type))). apply HProp_HProp. apply X.
Qed.
End Exercise3_9.
Exercise 3.10 (p. 127) Show that if \mathcal{U}_{i+1} satisfies LEM, then the canonical inclusion \mathsf{Prop}_{\mathcal{U}_i} \to \mathsf{Prop}_{\mathcal{U}_{i+1}} is an
equivalence.
(*
Solution
   If \mathsf{LEM}_{i+1} holds, then \mathsf{LEM}_i holds as well. For suppose that
   A:\mathcal{U}_i and p:\mathsf{isProp}(A). Then we also have A:\mathcal{U}_{i+1}, so \mathsf{LEM}_{i+1}(A,p):A+\neg A, establishing
   \mathsf{LEM}_i. By the previous exercise, then, \mathsf{Prop}_{\mathcal{U}_i} \simeq \mathbf{2} \simeq \mathsf{Prop}_{\mathcal{U}_{i+1}}.
   Since Coq doesn't let the user access the Type_i hierarchy,
   there's not much to do here. This is really more of a "proof by
   contemplation" anyway.
   *)
Exercise 3.11 (p. 127) Show that it is not the case that for all A:\mathcal{U} we have ||A|| \to A.
Solution We can essentially just copy Theorem 3.2.2. Suppose given a function f: \prod_{(A:\mathcal{U})} ||A|| \to A, and
recall the equivalence e: 2 \simeq 2 from Exercise 2.13 given by e(1_2) :\equiv 0_2 and e(0_2) = 1_2. Then u_2(e) : 2 = 2,
f(2): ||2|| \to 2, and
      \mathsf{apd}_f(\mathsf{ua}(e)) : \mathsf{transport}^{A \mapsto (\|A\| \to A)}(\mathsf{ua}(e), f(\mathbf{2})) = f(\mathbf{2})
So for u : \|2\|,
      happly(apd<sub>f</sub>(ua(e)), u): transport<sup>A \mapsto (\|A\| \to A)</sup>(ua(e), f(\mathbf{2}))(u) = f(\mathbf{2})(u)
```

```
and by 2.9.4, we have
```

```
\mathsf{transport}^{A \mapsto (\|A\| \to A)}(\mathsf{ua}(e), f(\mathbf{2}))(u) = \mathsf{transport}^{A \mapsto A}(\mathsf{ua}(e), f(\mathbf{2})(\mathsf{transport}^{|-|}(\mathsf{ua}(e)^{-1}, u)))
But, any two u, v : ||A|| are equal, since ||A|| is contractible. So transport|-| (ua(e)^{-1}, u) = u, and so
       happly(apd<sub>f</sub>(ua(e)), u): transport<sup>A\mapstoA</sup>(ua(e), f(2)(u)) = f(2)(u)
and the propositional computation rule for ua gives
       happly(apd<sub>f</sub>(ua(e)), u) : e(f(2)(u)) = f(2)(u)
But e has no fixed points, so we have a contradiction.
Lemma negb_no_fixpoint : \forall b, \neg \text{ (negb } b = b).
Proof.
   intros bH. destruct b; simpl in H.
     apply (false_ne_true H).
     apply (true_ne_false H).
Defined.
Theorem ex3_11 '{Univalence}: \neg (\forall A, \operatorname{Brck} A \to A).
Proof.
   intro f.
   assert (\forall b, negb (f \text{ Bool } b) = f \text{ Bool } b). intro b.
   assert (transport (fun A \Rightarrow \operatorname{Brck} A \to A) (path_universe negb) (f \operatorname{Bool}) b \mapsto A
              f \text{ Bool } b).
   apply (apD10 (apD f (path_universe negb)) b).
   assert (transport (fun A \Rightarrow \operatorname{Brck} A \to A) (path_universe negb) (f Bool) b
              transport idmap (path_universe negb)
                            (f Bool (transport (fun A \Rightarrow \operatorname{Brck} A)
                                                        (path_universe negb)^
                                                       b))).
   apply (@transport_arrow Type (fun A \Rightarrow \operatorname{Brck} A) idmap).
   rewrite X in X0.
   assert (b = (transport (fun A : Type \Rightarrow Brck A) (path_universe negb) ^ b)).
   apply all path_hprop. rewrite \leftarrow X1 in X0. symmetry in X0.
   assert (transport idmap (path_universe negb) (f Bool b) = negb (f Bool b)).
   apply transport_path_universe. rewrite X2 in X0. apply X0.
   apply (@negb_no_fixpoint (f Bool (min1 true))).
   apply (X (min1 true)).
Qed.
```

**Exercise 3.12 (p. 127)** Show that if LEM holds, then for all  $A : \mathcal{U}$  we have  $|||A|| \to A||$ .

**Solution** Suppose that LEM holds, and that  $A:\mathcal{U}$ . By LEM, either  $\|A\|$  or  $\neg \|A\|$ . If the former, then we can use the recursion principle for  $\|A\|$  to construct a map to  $\|\|A\| \to A\|$ , then apply it to the element of  $\|A\|$ . So we need a map  $A \to \|\|A\| \to A\|$ , which is not hard to get:

$$\lambda(a:A). |\lambda(a':||A||).a|:A \to |||A|| \to A||$$

If the latter, then we have the canonical map out of the empty type  $||A|| \to A$ , hence we have  $|||A|| \to A||$ .

```
Section Exercise3_12. 
Hypothesis LEM: \forall A, IsHProp A \rightarrow (A + \neg A). 
Theorem ex3_12: \forall A, Brck (Brck A \rightarrow A). 
Proof. 
intro A. 
destruct (LEM (Brck A) minus1Trunc_is_prop). 
apply (minus1Trunc_rect_nondep (fun a \Rightarrow \min 1 (fun \_: Brck A \Rightarrow a))). 
apply minus1Trunc_is_prop. apply m. 
apply min1. intro a. contradiction. 
Defined.
```

End Exercise3\_12.

## **Exercise 3.13 (p. 127)** Show that the axiom

$$\mathsf{LEM'}: \prod_{A:\mathcal{U}} \left(A + \neg A\right)$$

implies that for  $X : \mathcal{U}$ ,  $A : X \to \mathcal{U}$ , and  $P : \prod_{(x:X)} A(x) \to \mathcal{U}$ , if X is a set, A(x) is a set for all x : X, and P(x,a) is a mere proposition for all x : X and a : A(x),

$$\left(\prod_{x:X}\left\|\sum_{a:A(x)}P(x,a)\right\|\right)\to\left\|\sum_{(g:\Pi(x:X)}\prod_{A(x))}P(x,g(x))\right\|.$$

**Solution** By Lemma 3.8.2, it suffices to show that for any set X and any  $Y: X \to \mathcal{U}$  such that Y(x) is a set, we have

$$\left(\prod_{x:X} \|Y(x)\|\right) \to \left\|\prod_{x:X} Y(x)\right\|$$

Suppose that  $f : \prod_{(x:X)} ||Y(x)||$ . By LEM', either Y(x) is inhabited or it's not. If it is, then LEM' $(Y(x)) \equiv y : Y(x)$ , and we have

$$|\lambda(x:X).y|: \left\|\prod_{x:X} Y(x)\right\|$$

Suppose instead that  $\neg Y(x)$  and that x : X. Then f(x) : ||Y(x)||. Since we're trying to derive a mere proposition, we can ignore this truncation and suppose that f(x) : Y(x), in which case we have a contradiction, and we're done.

The reason we can ignore the truncation (and apply  $strip\_truncations$  in Coq) in hypotheses is given by the reasoning in the previous Exercise. If the conclusion is a mere proposition, then the recursion principle for ||Y(x)|| allows us to construct an arrow out of ||Y(x)|| if we have one from Y(x).

```
Definition AC := \forall X A P,

IsHSet X \to (\forall x, \text{IsHSet } (A x)) \to (\forall x a, \text{IsHProp } (P x a))

\to ((\forall x:X, \text{Brck } \{a:A x \& P x a\})

\to \text{Brck } \{g: \forall x, A x \& \forall x, P x (g x)\}).

Definition AC_simpl := \forall (X: \text{hSet}) (Y: X \to \text{Type}),

(\forall x, \text{IsHSet } (Y x)) \to

((\forall x, \text{Brck } (Y x)) \to \text{Brck } (\forall x, Y x)).

Lemma hprop_is_hset (A: \text{Type}): \text{IsHProp } A \to \text{IsHSet } A.

Proof.
```

```
typeclasses eauto.
Defined.
Lemma Lemma 382 : AC \simeq AC_{simpl}.
Proof.
  apply equiv_iff_hprop; unfold AC, AC_simpl.
  intros AC. intros XYHYD.
  assert (Brck (\{g: \forall x, Y x \& \forall x, (\text{fun } x a \Rightarrow \text{Unit}) x (g x)\})
                   \simeq Brck (\forall x, Y x)).
  apply equiv_iff_hprop.
  intro w. strip\_truncations. apply min1. apply w.1.
  intro g. strip\_truncations. apply min1. \exists g. intro x. apply tt.
  apply X0. apply (AC X Y (fun x a \Rightarrow Unit)). apply X. apply HY.
  intros. apply hprop_Unit. intros.
  assert (Brck (Y x)) as y by apply D. strip_truncations.
  apply min1. \exists y. apply tt.
  intros AC_simpl X A P HX HA HP D.
  assert (Brck (\forall x, \{a : A x \& P x a\})
               \simeq Brck \{g: \forall x, A x \& \forall x, P x (g x)\}).
  apply equiv_iff_hprop.
  intros. strip\_truncations. apply min1. \exists (fun x \Rightarrow (X0 x).1).
  intro x. apply (X0 x).2.
  intros. strip\_truncations. apply min1. intro x. apply (X0.1 x; X0.2 x).
  apply X0. apply (AC_simpl (default_HSet X HX) (fun x \Rightarrow \{a : A x \& P x a\})).
  intros. apply ex3_3. apply (HA x). intro a.
  apply hprop_is_hset. apply (HP \ x \ a).
  intro x. apply (D x).
Defined.
Section Exercise3_13.
Hypothesis LEM': \forall A, A + \neg A.
Theorem ex3_13: AC.
Proof.
  apply Lemma382. unfold AC_simpl. intros X Y HX HY.
  apply min1. intros.
  destruct (LEM'(Yx)). apply y.
  assert (Brck (Y x)) as y'. apply HY.
  assert (\neg Brck (Y x)) as nn. intro p. strip\_truncations. contradiction.
  contradiction.
Defined.
End Exercise3_13.
```

**Exercise 3.14 (p. 127)** Show that assuming LEM, the double negation  $\neg \neg A$  has the same universal property as the propositional truncation ||A||, and is therefore equivalent to it.

**Solution** Suppose that  $a: \neg \neg A$  and that we have some function  $g: A \to B$ , where B is a mere proposition, so  $p: \mathsf{isProp}(B)$ . We can construct a function  $\neg \neg A \to \neg \neg B$  by using contraposition twice, producing  $g'': \neg \neg A \to \neg \neg B$ 

$$g''(h) := \lambda(f: \neg B). h(\lambda(a:A). f(g(a)))$$

LEM then allows us to use double negation elimination to produce a map  $\neg\neg B \to B$ . Suppose that  $f: \neg\neg B$ . Then we have LEM $(B,p): B+\neg B$ , and in the left case we can produce the witness, and in the right case we use f to derive a contradiction. Explicitly, we have  $\ell: \neg\neg B \to B$  given by

$$\ell(f) :\equiv \operatorname{rec}_{B+\neg\neg B}(B, \operatorname{id}_B, f, \operatorname{\mathsf{LEM}}(B, p))$$

The computation rule does not hold judgementally for  $g'' \circ \ell$ . I don't see that it can, given the use of LEM. Clearly it does hold propositionally, if one takes  $|a|' :\equiv \lambda f. f(a)$  to be the analogue of the constructor for ||A||; for any a : A, we have g(a) : B, and the fact that B is a mere proposition ensures that  $(g'' \circ \ell)(|a|') = g(a)$ .

Section Exercise3\_14.

Hypothesis  $LEM : \forall A$ ,  $IsHProp A \rightarrow (A + \neg A)$ .

Definition Brck'  $(A : Type) := \neg \neg A$ .

Definition min1'  $\{A : \text{Type}\}\ (a : A) : \text{Brck'}\ A := \text{fun}\ f \Rightarrow f\ a.$ 

Definition contrapositive  $\{A \ B : \text{Type}\}: (A \to B) \to (\neg B \to \neg A).$ 

intros. intro a. apply X0. apply X. apply a.

Defined.

Definition DNE  $\{B : \text{Type}\}$  ' $\{\text{IsHProp } B\} : \neg \neg B \rightarrow B$ .

intros. destruct (LEM B IsHProp0). apply b. contradiction.

Defined.

Definition trunc\_rect' {A B : Type} ( $g : A \rightarrow B$ ) : IsHProp  $B \rightarrow Brck' A \rightarrow B$ .

intros HB a. apply DNE. apply (contrapositive (contrapositive g)). apply a.

Defined.

End Exercise3\_14.

Exercise 3.15 (p. 128) Show that if we assume propositional resizing, then the type

$$\prod_{P:\mathsf{Prop}} \; ((A \to P) \to P)$$

has the same universal property as ||A||.

**Solution** Let  $A: \mathcal{U}_i$ , so that for  $\|A\|'': \equiv \prod_{(P:\mathsf{Prop}_{\mathcal{U}_i})} ((A \to P) \to P)$  we have  $\|A\|'': \mathcal{U}_{i+1}$ . By propositional resizing, however, we have a corresponding  $\|A\|'': \mathcal{U}_i$ . To construct an arrow  $\|A\|'' \to B$ , suppose that  $f: \|A\|''$  and  $g: A \to B$ . Then f(B,g): B. So  $\lambda f. \tilde{f}(B,g): \|A\|'' \to B$ , where  $\tilde{f}$  is the image of f under the inverse of the canonical inclusion  $\mathsf{Prop}_{\mathcal{U}_i} \to \mathsf{Prop}_{\mathcal{U}_{i+1}}$ .

To show that the computation rule holds, let

$$|a|'' :\equiv \lambda P. \lambda f. f(a) : \prod_{P:\mathsf{Prop}} ((A \to P) \to P)$$

We need to show that  $(\lambda f. \tilde{f}(B, g))(|a|'') \equiv g(a)$ . Assuming that propositional resizing gives a judgemental equality, we have

$$(\lambda f. \tilde{f}(B,g))(|a|'') \equiv (\lambda f. \tilde{f}(B,g))(\lambda P. \lambda f. f(a))$$
$$\equiv (\lambda P. \lambda f. f(a))(B,g)$$
$$\equiv g(a)$$

Definition Brck"  $(A : \mathsf{Type}) := \forall (P : \mathsf{hProp}), ((A \to P) \to P).$ 

```
Definition min1" \{A: \mathtt{Type}\}\ (a:A) := \mathtt{fun}\ (P:\mathsf{hProp})\ (f:A\to P) \Rightarrow f\ a. Definition trunc_rect" \{A\ B: \mathtt{Type}\}\ (g:A\to B): \mathtt{IsHProp}\ B\to \mathtt{Brck''}\ A\to B. intros p\ f. apply (f\ (\mathsf{hp}\ B\ p)). apply g. Defined.
```

**Exercise 3.16 (p. 128)** Assuming LEM, show that double negation commutes with universal quantification of mere propositions over sets. That is, show that if X is a set and each Y(x) is a mere proposition, then LEM implies

$$\left(\prod_{x:X} \neg \neg Y(x)\right) \simeq \left(\neg \neg \prod_{x:X} Y(x)\right).$$

**Solution** Each side is a mere proposition, since one side is a dependent function into a mere proposition and the other is a negation. So we just need to show that each implies the other. From left to right we use the fact that LEM is equivalent to double negation to obtain  $\prod_{(x:X)} Y(x)$ , and double negation introduction is always allowed, giving the right side. For the other direction we do the same.

```
Section Exercise3_16.
```

```
\texttt{Hypothesis}\ \textit{LEM}: \forall\ \textit{A}, \texttt{IsHProp}\ \textit{A} \rightarrow (\textit{A} + \neg\ \textit{A}).
Theorem ex3_16 (X : hSet) (Y : X \rightarrow Type):
   (\forall x, \text{IsHProp}(Y x)) \rightarrow
   (\forall \, x, \neg \neg \, Y \, x) \simeq \neg \neg \, (\forall \, x, Y \, x).
   intro HY. apply equiv_iff_hprop; intro H.
   intro f. apply f. intro x.
   destruct (LEM(Yx)).
      apply HY. apply y.
      contradiction (H x).
   intro x.
   destruct (LEM(Y x)).
      apply HY. intro f. contradiction.
      assert (\neg (\forall x, Y x)). intro f. contradiction (f x).
      contradiction.
Qed.
End Exercise3_16.
```

**Exercise 3.17 (p. 128)** Show that the rules for the propositional truncation given in §3.7 are sufficient to imply the following induction principle: for any type family  $B : ||A|| \to \mathcal{U}$  such that each B(x) is a mere proposition, if for every a : A we have B(|a|), then for every x : ||A|| we have B(x).

**Solution** Suppose that  $B: ||A|| \to \mathcal{U}$ , B(x) is a mere proposition for all x: ||A|| and that  $f: \prod_{(a:A)} B(|a|)$ . Suppose that x: ||A||; we need to construct an element of B(x). By the induction principle for ||A||, it suffices to exhibit a map  $A \to B(x)$ . So suppose that a: A, and we'll construct an element of B(x). Since ||A|| is contractible, we have p: |a| = x, and  $p_*(f(a)): B(x)$ .

```
Theorem ex3_17 (A: Type) (B: Brck A 	o Type): (\forall x, \text{IsHProp } (B x)) 	o (\forall a, B \text{ (min1 } a)) 	o (\forall x, B x). Proof. intros HB f. intro x.
```

```
apply (@minus1Trunc_rect_nondep A (B x)).
intro a. assert (min1 a = x) as p. apply allpath_hprop.
apply (transport _{-} p). apply (f a).
apply allpath_hprop. apply x.
Defined.
```

Exercise 3.18 (p. 128) Show that the law of excluded middle

$$\mathsf{LEM}: \prod_{A:\mathcal{U}} \left(\mathsf{isProp}(A) \to (A + \neg A)\right)$$

and the law of double negation

$$\mathsf{DN}: \prod_{A:\mathcal{U}} \left(\mathsf{isProp}(A) \to (\neg \neg A \to A)\right)$$

are logically equivalent.

**Solution** For the forward direction, suppose that LEM holds, that  $A: \mathcal{U}$ , that  $H: \mathsf{isProp}(A)$ , and that  $f: \neg \neg A$ . We then need to produce an element of A. We have  $z :\equiv \mathsf{LEM}(A, H) : A + \neg A$ , so we can consider cases:

- $z \equiv \text{inl}(a)$ , in which case we can produce a.
- $z \equiv \operatorname{inr}(x)$ , in which case we have  $f(x) : \mathbf{0}$ , a contradiction.

giving the forward direction.

Suppose instead that DN holds, and we have  $A:\mathcal{U}$  and  $H:\mathsf{isProp}(A)$ . We need to provide an element of  $A+\neg A$ . By Exercise 3.6,  $A+\neg A$  is a mere proposition, so by DN, if we can give an element of  $\neg\neg(A+\neg A)$ , then we'll get one of  $A+\neg A$ . In Exercise 1.13 we constructed such an element, so producing that gives one of  $A+\neg A$ , and we're done.

```
Theorem ex3_18:  (\forall A, \operatorname{IsHProp} A \to (A + \neg A)) \leftrightarrow (\forall A, \operatorname{IsHProp} A \to (\neg \neg A \to A)).  Proof. split. intros \operatorname{LEM} A H f. destruct (\operatorname{LEM} A H). apply a. contradiction. intros \operatorname{DN} A H. apply (\operatorname{DN} (A + \neg A) (\operatorname{ex3\_6} H)). exact (\operatorname{fun} g : \neg (A + \neg A) \Rightarrow g (\operatorname{inr} (\operatorname{fun} a : A \Rightarrow g (\operatorname{inl} a)))). Qed.
```

\*Exercise 3.19 (p. 128) Suppose  $P: \mathbb{N} \to \mathcal{U}$  is a decidable family of mere propositions. Prove that

$$\left\| \sum_{n:\mathbb{N}} P(n) \right\| \to \sum_{n:\mathbb{N}} P(n).$$

**Solution** Since  $P: \mathbb{N} \to \mathcal{U}$  is decidable, we have  $f: \prod_{(n:\mathbb{N})} (P(n) + \neg P(n))$ . So if  $\|\sum_{(n:\mathbb{N})} P(n)\|$  is inhabited, then there is some smallest n such that P(n). It would be nice if we could define a function to return the smallest n such that P(n). But unbounded minimization isn't a total function, so that won't obviously work. Following the discussion of Corollary 3.9.2, what we can do instead is to define some

$$Q:\left(\sum_{n:\mathbb{N}}P(n)\right)\to\mathcal{U}$$

such that  $\sum_{(w:\sum_{(n:\mathbb{N})}P(n))}Q(w)$  is a mere proposition. Then we can project out an element of  $\sum_{(n:\mathbb{N})}P(n)$ .

Q(w) will be the proposition that w is the smallest member of  $\sum_{(n|\mathbb{N})} P(n)$ . Explicitly,

$$Q(w) :\equiv \prod_{w': \sum_{(n:\mathbb{N})} P(n)} \mathsf{pr}_1(w) \leq \mathsf{pr}_1(w')$$

Then we have

$$\sum_{w: \Sigma_{(n:\mathbb{N})} \; P(n)} Q(w) \equiv \sum_{(w: \Sigma_{(n:\mathbb{N})} \; P(n))} \prod_{(w': \Sigma_{(n:\mathbb{N})} \; P(n))} \mathsf{pr}_1(w) \leq \mathsf{pr}_1(w')$$

which we must show to be a mere proposition. Suppose that w and w' are two elements of this type. By  $\operatorname{pr}_2(w)$  and  $\operatorname{pr}_2(w')$ , we have  $\operatorname{pr}_1(w) \leq \operatorname{pr}_1(w')$  and  $\operatorname{pr}_1(w') \leq \operatorname{pr}_1(w)$ , so  $\operatorname{pr}_1(w) = \operatorname{pr}_1(w')$ . Since  $\mathbb N$  has decidable equality,  $\operatorname{pr}_1(w) \leq \operatorname{pr}_2(w')$  is a mere proposition for all w and w', meaning that Q(w) is a mere proposition. So w = w', meaning that our type is contractible.

Now we can use the universal property of  $\|\sum_{(n:\mathbb{N})} P(n)\|$  to construct an arrow into  $\sum_{(w:\sum_{(n:\mathbb{N})} P(n))} Q(w)$  by way of a function  $(\sum_{(n:\mathbb{N})} P(n)) \to \sum_{(w:\sum_{(n:\mathbb{N})} P(n))} Q(w)$ . So suppose that we have some element  $w:\sum_{(n:\mathbb{N})} P(n)$ . Using bounded minimization, we can obtain the smallest element of  $\sum_{(n:\mathbb{N})} P(n)$  that's less than or equal to w, and this will in fact be the smallest element *tout court*. This means that it's a member of our constructed type, so we've constructed a map

$$\left\| \sum_{n:\mathbb{N}} P(n) \right\| \to \sum_{w: \sum_{(n:\mathbb{N})} P(n)} Q(w)$$

and projecting out gives the function in the statement.

I'm having just the damnedest time trying to work everything out in Coq. At some point I'll sort out my loadpath to cut out the nat lemmas. I'm sure I'm overcomplicating the correctness proofs for bounded\_min, though. No way can they be this long.

```
Local Open Scope nat_scope.
Definition le (n m : nat) := \{k : nat \& n + k = m\}.
Infix "\leq" := le : nat\_scope.
Definition lt (n m : nat) := S n \le m.
Infix "<" := lt : nat\_scope.
Fixpoint leb n m :=
  match n, m with
      | 0, \bot \Rightarrow true 
      | S n', O \Rightarrow false
     |S n', S m' \Rightarrow leb n' m'
   end.
Infix "<=?" := leb (at level 70) : nat_scope.</pre>
Fixpoint nat_code (n m : nat) :=
  match n, m with
       0.0 \Rightarrow Unit
       S n', O \Rightarrow Empty
       O, S m' \Rightarrow Empty
      |S n', S m' \Rightarrow \text{nat\_code } n' m'
Fixpoint nat_r (n : nat): nat_code n : nat
   match n with
     | 0 \Rightarrow tt
     |S n' \Rightarrow \text{nat}_r n'|
```

```
end.
Definition nat_encode (n \ m : nat) (p : n = m) :=
  transport (fun \_\Rightarrow nat_code n \_) p (nat_r n).
Definition nat_decode: \forall (n \ m: nat) (p: nat_code n \ m), n = m.
  induction n, m; intro.
  reflexivity. contradiction. contradiction.
  apply (ap S). apply IHn. apply p.
Defined.
Theorem Theorem 2131 : \forall n m, (nat_code n m) \simeq (n = m).
  intros. refine (equiv_adjointify (nat_decode n m) (nat_encode n m) _ _);
  induction p. simpl. induction n. reflexivity.
  simpl. rewrite IHn. reflexivity.
  generalize dependent m. generalize dependent n.
  induction n. induction m. simpl in *. apply eta_unit. contradiction.
  induction m. contradiction.
  intro p. simpl. unfold nat_encode. rewrite \leftarrow transport_compose. simpl.
  change (transport (fun x: nat \Rightarrow nat_code n x) (nat_decode n m p) (nat_r n))
          with (nat_encode n m (nat_decode n m p)).
  simpl in p. apply IHn.
Defined.
Theorem S_inj: \forall n m, S n = S m \rightarrow n = m.
Proof.
  intros. induction n, m;
    try(reflexivity);
    try(apply Theorem2131 in H; contradiction).
    apply Theorem 2131 in H.
    change (nat\_code (S (S n)) (S (S m))) with (nat\_code (S n) (S m)) in H.
    apply Theorem 2131 in H. apply H.
Defined.
Lemma Sn_le_Sm__n_le_m (n \ m : nat) : (S \ n) \le (S \ m) \rightarrow n \le m.
  intros. destruct H. simpl in p. apply S_{-inj} in p. apply (x; p).
Defined.
Lemma n_le_m_Sn_le_Sm (n m : nat) : n \le m \to (S n) \le (S m).
  intros. destruct H. \exists x. simpl. apply (ap S). apply p.
Defined.
Lemma n_neq_Sn (n : nat) : \neg (n = S n).
Proof
  induction n.
    intro p. apply Theorem2131 in p. contradiction.
    intro p. apply Theorem2131 in p. simpl in p. apply Theorem2131 in p.
       contradiction.
Defined.
Theorem Sn_plus_Sm_SS_n_plus_m (n m : nat): Sn + Sm = S(S(n + m)).
  induction n; [| simpl; rewrite \leftarrow IHn]; reflexivity.
Defined.
Theorem plus_O_r: \forall n, n = n+0.
```

```
Proof.
  induction n; [| simpl; rewrite \leftarrow IHn]; reflexivity.
Theorem n_plus_m_n = Sn_plus_m (n m : nat) : n + S m = S n + m.
Proof.
  revert m. induction n. reflexivity.
  intros. simpl. apply (ap S). simpl in IHn. apply IHn.
Defined.
Theorem plus_assoc: \forall n m k, (n + m) + k = n + (m + k).
Proof.
  intros n m k.
  induction n; [| simpl; rewrite IHn]; reflexivity.
Defined.
Lemma O_is_id : \forall n m, n + m = n \rightarrow m = 0.
Proof.
  induction n.
    intros. apply H.
    intros m H. apply IHn. simpl in H. apply S_{-}inj in H. apply H.
Defined.
Lemma sum_Ol: \forall n m, n + m = 0 \rightarrow n = 0.
Proof.
  intros. induction n. reflexivity.
  simpl in H. apply Theorem2131 in H. contradiction.
Defined.
Lemma leb_le n m : (n \le m) = \text{true} \leftrightarrow n \le m.
Proof.
  revert m.
  induction n; destruct m; simpl.
  -split; [\exists 0 \mid]; trivial.
  - split; trivial. \exists (S m). trivial.
  - split; intros. apply false_ne_true in H. contradiction.
    destruct H. apply sum_Ol in p. apply Theorem2131 in p. contradiction.
  -split.
    + intros. apply n_le_m_Sn_le_Sm. apply IHn. apply H.
    + intros. apply IHn. apply Sn_le_Sm__n_le_m. apply H.
Defined.
Lemma subtract_on_left: \forall n m k, (n + m = n + k) \rightarrow (m = k).
Proof.
  induction n.
  intros. apply H.
  intros. simpl in H. apply S_{-inj} in H. apply IHn. apply H.
Defined.
Lemma le_antisymmetric (n \ m: nat): (n \le m) \rightarrow (m \le n) \rightarrow (n = m).
  intros I1 I2. destruct I1 as [k1 p1], I2 as [k2 p2].
  generalize dependent m. generalize dependent n.
  induction n, m.
    reflexivity.
    intros. apply Theorem2131 in p2. contradiction.
    intros. apply Theorem2131 in p1. contradiction.
```

```
intros. apply (ap S). apply IHn. simpl in p1. apply S_inj in p1. apply p1.
    intros. simpl in p2. apply S_{inj} in p2. apply p2.
Defined.
Lemma le_refl n : n \le n.
Proof.
  \exists 0. symmetry. apply plus_O_r.
Defined.
Lemma le_trans n \ m \ k : (n \le m) \to (m \le k) \to (n \le k).
Proof.
  intros HH'. destruct H,H'.
  \exists (x + x0). rewrite \leftarrow plus_assoc. rewrite p. apply p0.
Theorem ishset nat: IsHSet nat.
Proof.
  apply hset_decidable. intros n.
  induction n; intro m; destruct m.
       left. reflexivity.
       right. intro p. apply Theorem2131 in p. contradiction.
       right. intro p. apply Theorem2131 in p. contradiction.
       destruct (IHn m).
         left. apply (ap S). apply p.
         right. intro p. apply S_inj in p. contradiction.
Defined.
Section Exercise3_19.
Definition decidable \{A\} (P:A \rightarrow \mathsf{Type}) := \forall a, (Pa + \neg Pa).
Lemma nat_eq_decidable : \forall (n m : nat), (n = m) + \neg (n = m).
Proof.
  intro n.
  induction n: intro m: destruct m.
       left. reflexivity.
       right. intro p. apply Theorem2131 in p. contradiction.
       right. intro p. apply Theorem2131 in p. contradiction.
       destruct (IHn m).
         left. apply (ap S). apply p.
         right. intro p. apply S_inj in p. contradiction.
Defined.
Lemma order_partitions: \forall n m, (n \leq m) + (m < n).
Proof.
  induction n.
    intro m. left. \exists m. reflexivity.
  induction m.
    right. \exists n. reflexivity.
    destruct IHm.
       left. destruct l. \exists (S x).
       rewrite Sn_plus_Sm__SS_n_plus_m. apply (ap S). apply p.
       destruct (IHn m).
         left. apply n_le_m__Sn_le_Sm. apply 10.
         right. destruct l0. \exists x. simpl. apply (ap S). apply p.
Defined.
```

```
Definition Q \{P : \mathsf{nat} \to \mathsf{Type}\}\ (w : \{n : \mathsf{nat} \& P n\}) :=
  \forall w' : \{n : \text{nat } \& P n\}, w.1 < w'.1.
Lemma ishprop_dependent (A : Type) (P : A \rightarrow Type):
  (\forall a, \text{IsHProp } (P a)) \rightarrow \text{IsHProp } (\forall a, P a).
Proof.
  intro HP. apply hprop_allpath. intros p p'.
  apply path_forall; intro a. apply HP.
Defined.
Lemma hprop_Q: \forall P, (\forall n, \text{IsHProp}(P n)) \rightarrow
  IsHProp \{w : \{n : \text{nat } \& P n\} \& Q w\}.
Proof.
  intro P. intro HP. apply hprop_allpath. intros w w'.
  destruct w as [[n p] q], w' as [[n' p'] q'].
  apply path_sigma_uncurried. simpl.
  assert ((n; p) = (n'; p')).
  apply path_sigma_uncurried. simpl.
  assert (n = n').
  assert (n \le n') as H. apply (q (n'; p')).
  assert (n' \le n) as H'. apply (q'(n; p)).
  destruct H as [k r], H' as [k' r'].
  rewrite \leftarrow r' in r. rewrite plus_assoc in r. apply O_is_id in r.
  apply sum_Ol in r. rewrite r in r'. symmetry in r'.
  rewrite \leftarrow plus_O_r in r'. apply r'.
  induction X. \exists 1\% path. simpl.
  apply (HP n).
  \exists X.
  assert (IsHProp (Q (n'; p'))).
  unfold Q. simpl. apply ishprop_dependent. intro w. destruct w as [n''p''].
  simpl. apply hprop_allpath. intros w w'.
  apply path_sigma_uncurried.
  destruct w, w'. simpl.
  assert (x = x0). apply subtract_on_left with (n := n').
  apply (p0 \otimes p1^{\hat{}}). \exists X0.
  induction X0. simpl. apply ishset_nat. apply X0.
Defined.
Definition decidable_to_bool \{A\} (P: A \to \mathsf{Type}) (H: \mathsf{decidable}\ P): A \to \mathsf{Bool}.
  intro a. destruct (H a). apply true. apply false.
Defined.
Fixpoint bounded_min (P: nat \rightarrow Type) (H: decidable P) (b: nat): nat :=
  \mathtt{match}\ b\ \mathtt{with}
     | 0 \Rightarrow 0
     |Sn \Rightarrow if (bounded_min PHn \le n) then (bounded_min PHn) else
                    if ((\text{decidable\_to\_bool } P H) (S n)) \text{ then } (S n) \text{ else } (S (S n))
  end.
Lemma foo: \forall n m, \neg (n = m) \rightarrow (n < m) \rightarrow \neg (m < n).
  intros. intro p. apply le_antisymmetric in H0. symmetry in H0.
  contradiction. apply p.
Defined.
Lemma bar : \forall n m, \neg n = S n + m.
  induction n.
```

```
intros m p. apply Theorem2131 in p. contradiction.
  intros m p. simpl in p. apply S_{-inj} in p. apply (IHn m). apply p.
Defined.
Lemma baz : \forall n m, \neg n = n + S m.
  induction n.
  intros m p. apply Theorem2131 in p. contradiction.
  intros m p. simpl in p. apply S_{-inj} in p. apply (IHn m). apply p.
Defined.
Lemma bmin_short_circuit (P: nat \rightarrow Type) (H: decidable P):
  P 0 \rightarrow \forall n, bounded_min P H n = 0.
Proof.
  intros. induction n. simpl. unfold decidable_to_bool.
  destruct (H 0). reflexivity. contradiction.
  simpl. assert (bounded_min PH n \le n = \text{true}). apply leb_le.
  rewrite IHn. \exists n. reflexivity.
  rewrite X0. apply IHn.
Defined.
Lemma bmin_correct_i (P: nat \rightarrow Type) (H: decidable P) (n: nat):
  P n \rightarrow P (bounded_min P H n).
Admitted.
Lemma bmin_correct' (P: nat \rightarrow Type) (H: decidable P) (n: nat):
  P n \rightarrow (bounded\_min P H n) \leq n.
Proof.
  intro p. induction n. simpl.
  assert (decidable_to_bool PH0 = true) as HP0.
  unfold decidable_to_bool. destruct (H 0). reflexivity. contradiction.
  \exists 0. reflexivity.
  simpl. destruct (order_partitions (bounded_min P H n) n).
  apply leb_le in l. rewrite l. apply leb_le in l. destruct l.
  \exists (S x). rewrite n_plus_Sm__Sn_plus_m. simpl. apply (ap S). apply p0.
  assert (\neg (bounded_min P H n \leq n)).
  apply foo. intro. destruct l. rewrite \leftarrow p0 in H0. apply bar in H0.
  contradiction.
  destruct l. \exists (S x). rewrite n_plus_Sm__Sn_plus_m. apply p0.
  assert (\neg (bounded_min PH n \le n = \text{true}).
  intro H'. apply X. apply leb_le. apply H'.
  assert (bounded_min PHn \le n = \text{false}).
  destruct (bounded_min P H n \le n). assert (true = true) by reflexivity.
  contradiction. reflexivity.
  rewrite X1. unfold decidable_to_bool. destruct (H (S n)).
  apply le_refl. contradiction.
Defined.
Lemma bmin_unique (P: \mathsf{nat} \to \mathsf{Type}) (H: \mathsf{decidable}\ P) (n: \mathsf{nat}):
  P n \rightarrow \forall m, P m \rightarrow (bounded\_min P H n) = (bounded\_min P H m).
Admitted.
Definition ex3_19_arrow (P: nat \rightarrow Type) (H: decidable P):
  (\forall n, \text{IsHProp } (P n)) \rightarrow \{n : \text{nat } \& P n\} \rightarrow \{w : \{n : \text{nat } \& P n\} \& Q w\}.
  intros HP X. destruct X as [n p].
  refine ((bounded_min P H n; bmin_correct_i P H n p); _).
  unfold Q. intro w'. simpl.
```

```
apply le_trans with (m:=bounded_min P H w'.1).

\exists 0. rewrite \leftarrow plus_O_r. apply bmin\_unique. apply p. apply w'.2. apply bmin_correct'. apply w'.2.

Defined.

Definition ex3_19 (P: nat \rightarrow Type) (H: decidable P)

(HP: \forall n, \text{IsHProp } (P n)):

Brck {n: nat & P n} \rightarrow {n: nat & P n}.

intros. apply (@pr1 {n: nat & P n} Q).

assert (IsHProp {w: {n: nat & P n} & Q w}) as H'. apply hprop_Q. apply HP. strip\_truncations. apply ex3_19_arrow. apply H. apply HP. apply X.

Defined.

End Exercise3_19.
```

**Exercise 3.20 (p. 128)** Prove Lemma 3.11.9(ii): if *A* is contractible with center *a*, then  $\sum_{(x:A)} P(x)$  is equivalent to P(a).

**Solution** Suppose that A is contractible with center a. For the forward direction, suppose that w:  $\sum_{(x:A)} P(x)$ . Then  $\operatorname{pr}_1(w) = a$ , since A is contractible, so from  $\operatorname{pr}_2(w) : P(\operatorname{pr}_1(w))$  and the indiscernibility of identicals, we have P(a). For the backward direction, suppose that p: P(a). Then we have  $(a,p): \sum_{(x:A)} P(x)$ .

To show that these are quasi-inverses, suppose that p:P(a). Going backward gives  $(a,p):\sum_{(x:A)}P(x)$ , and going forward we have  $(\mathsf{contr}_a^{-1})_*p$ . Since A is contractible,  $\mathsf{contr}_a = \mathsf{refl}_a$ , so this reduces to p, as needed. For the other direction, suppose that  $w:\sum_{(x:X)}P(x)$ . Going forward gives  $(\mathsf{contr}_{\mathsf{pr}_1(w)}^{-1})_*\mathsf{pr}_2(w):P(a)$ , and going back gives

$$(a,(\mathsf{contr}_{\mathsf{pr}_1(w)}^{-1})_*\mathsf{pr}_2(w)): \sum_{x:A} P(x)$$

By Theoremm 2.7.2, it suffices to show that  $a = pr_1(w)$  and that

$$(\mathsf{contr}_{\mathsf{pr}_1(w)})_*(\mathsf{contr}_{\mathsf{pr}_1(w)}^{-1})_*\mathsf{pr}_2(w) = \mathsf{pr}_2(w)$$

The first of these is given by the fact that *A* is contractible. The second results from the functorality of transport.

```
Definition ex3_20_f (A: Type) (P: A 	o Type) (HA: Contr A): \{x: A \& P x\} 	o P (center A). intros. apply (transport _ (contr X.1)^). apply X.2. Defined.

Definition ex3_20_g (A: Type) (P: A 	o Type) (HA: Contr A): P (center A) 	o \{x: A \& P x\}. intros. apply (center A; X). Defined.

Theorem ex3_20 (A: Type) (P: A 	o Type) (HA: Contr A): \{x: A \& P x\} 	o P (center P). Proof. refine (equiv_adjointify (ex3_20_f P P P) (ex3_20_g P P); unfold ex3_20_f, ex3_20_g. intro P. simpl. assert (Contr (center P) = idpath). apply contr_paths_contr. assert (contr (center P) = idpath). apply allpath_hprop.
```

```
rewrite X0. reflexivity.

intro w. apply path_sigma_uncurried.
simpl. ∃ (contr w.1).
apply transport_pV.

Defined.
```

**Exercise 3.21 (p. 128)** Prove that  $isProp(P) \simeq (P \simeq ||P||)$ .

**Solution** isProp(P) is a mere proposition by Lemma 3.3.5.  $P \simeq \|P\|$  is also a mere proposition. An equivalence is determined by its underlying function, and for all  $f,g:P\to \|P\|$ , f=g by function extensionality and the fact that  $\|P\|$  is a mere proposition. Since each of the two sides is a mere proposition, we just need to show that they imply each other, by Lemma 3.3.3. Lemma 3.9.1 gives the forward direction. For the backward direction, suppose that  $e:P\simeq \|P\|$ , and let x,y:P. Then e(x)=e(y), since  $\|P\|$  is a proposition, and applying  $e^{-1}$  to each side gives x=y. Thus P is a mere proposition.

```
Theorem ex3_31 (P: Type): IsHProp P \simeq (P \simeq \operatorname{Brck} P). Proof.

assert (IsHProp (P \simeq \operatorname{Brck} P)). apply hprop_allpath; intros e1 e2. apply path_equiv. apply path_forall; intro p. apply hprop_allpath. apply allpath_hprop. apply equiv_iff_hprop. intro HP. apply equiv_iff_hprop. apply min1. apply (minus1Trunc_rect_nondep idmap). apply HP. intro e. apply hprop_allpath; intros x y. assert (e x = e y) as p. apply hprop_allpath. apply allpath_hprop. rewrite (eissect e x) ^. rewrite (eissect e y) ^. apply (ap e^{-1} p). Defined.
```

\*Exercise 3.22 (p. 128) As in classical set theory, the finite version of the axiom of choice is a theorem. Prove that the axiom of choice holds when X is a finite type Fin(n).

**Solution** We want to show that for all n, A:  $\mathsf{Fin}(n) \to \mathcal{U}$ , and  $P : \prod_{(m_n:\mathsf{Fin}(n))} A(m_n) \to \mathcal{U}$ , if A is a family of sets and P a family of propositions, then

$$\left(\prod_{m_n:\mathsf{Fin}(n)}\left\|\sum_{a:A(m_n)}P(m_n,a)\right\|\right)\to \left\|\sum_{(g:\prod_{(m_n:\mathsf{Fin}(n))}A(m_n))}\prod_{(m_n:\mathsf{Fin}(n))}P(m_n,g(m_n))\right\|.$$

We proceed by induction. For the base case, suppose that  $n \equiv 0$ , so we are interested in  $Fin(0) := \sum_{(n:\mathbb{N})} (m < 0)$ , which is equivalent to **0**. Then we have  $ind_{\mathbf{0}}(A) : \prod_{(m_0:Fin(0))} A(m_0)$ , so

$$(\operatorname{ind}_{\mathbf{0}}(A), \operatorname{ind}_{\mathbf{0}}(\lambda m_0. P(m_0, \operatorname{ind}_{\mathbf{0}}(A, m_0))))$$

is an element of the codomain.

For the induction step, suppose that we have an element

$$f:\prod_{m_{n+1}:\mathsf{Fin}(n+1)}\left\|\sum_{a:A(m_{n+1})}P(m_{n+1},a)
ight\|$$

which can be modified in the obvious way to give a function

$$ilde{f}:\prod_{m_n:\mathsf{Fin}(n)}\left\|\sum_{a:A(m_n)}P(m_n,a)
ight\|$$

So by the induction step, and since the element we're trying to construct is a mere proposition, we have an element

$$w: \sum_{(g:\prod_{(m_n:\mathsf{Fin}(n))} A(m_n))} \prod_{(m_n:\mathsf{Fin}(n))} P(m_n, g(m_n))$$

Now, we need to construct an element of

$$\left\| \sum_{(g: \prod_{(m_{n+1}: \mathsf{Fin}(n+1))} A(m_{n+1})} \prod_{(m_{n+1}: \mathsf{Fin}(n+1))} P(m_{n+1}, g(m_{n+1})) \right\|$$

To construct the first slot, suppose that k : Fin(n+1). Then because we have  $e : \text{Fin}(n+1) \simeq \text{Fin}(n) + 1$ , there are two cases: either e(k) : Fin(n) or e(k) = \*. In the first case, we set  $g(e(k)) :\equiv (\text{pr}_1 w)(e(k))$ . In the second, we

Suppose the first. Then we can modify f in the obvious way to obtain

$$ilde{f}:\prod_{m_n:\mathsf{Fin}(n)}\left\|\sum_{a:A(m_n)}P(m_n,a)
ight\|$$

contradiction.

So by the induction step, and since the element we're trying to construct is a mere proposition, we have an element

```
w: \sum_{(g:\prod_{(m_n:\mathsf{Fin}(n))}A(m_n))} \prod_{(m_n:\mathsf{Fin}(n))} P(m_n,g(m_n))
Infix "\neq" := (fun n m \Rightarrow \neg (n = m)) : nat\_scope.
Definition pred (n : nat) :=
  match n with
     | 0 \Rightarrow 0
     |Sn' \Rightarrow n'|
Lemma S_pred_inv : \forall n, (n \neq 0) \rightarrow S (pred n) = n.
  induction n. intros. assert (0 = 0) as H' by reflexivity. contradiction.
  intros. reflexivity.
Defined.
Definition cardF_f \{n\}: Fin (S n) \rightarrow (Fin n) + Unit.
  intro x. destruct x as [m [k p]].
  destruct (nat_eq_decidable m n).
  right. apply tt.
  left. \exists m. \exists (pred k).
  rewrite S_pred_inv.
  simpl in p. rewrite \leftarrow plus_n_Sm in p. apply S_inj in p. apply p.
  intro. rewrite H in p. rewrite \leftarrow plus_1_r in p. apply S_inj in p.
```

```
Defined.
Definition Fin_incl \{n : nat\} : Fin n \rightarrow Fin (S n).
  intros m. destruct m as [m [k p]].
  \exists m. \exists (S k). apply ((plus_n_Sm m (S k))^0 (ap S p)).
Defined.
Definition cardF<sub>g</sub> \{n\}: (Fin n) + Unit \rightarrow Fin (S n).
  intro x. destruct x as [m \mid t]. apply (Fin_incl m).
  \exists n. \exists 0. \text{ apply (plus\_1\_r } n)^{\cdot}.
Defined.
Lemma sum_O_r (n m : nat) : n + m = n \rightarrow m = 0.
Proof.
  induction n. simpl. apply idmap.
  intros. simpl in H. apply S_inj in H. apply IHn. apply H.
Defined.
Lemma cardFO: Fin O \simeq Empty.
Proof.
  refine (equiv_adjointify _ _ _ _).
  intro e. contradiction.
  intro n. destruct n as [n \ [k \ p]].
  assert (n + Sk = 0) as q. apply p. rewrite \leftarrow plus_n_Sm in q.
  apply Theorem2131 in q. contradiction.
  Grab Existential Variables. contradiction.
  intro n. destruct n as [n [k p]].
  assert (n + S k = 0) as q. apply p. rewrite \leftarrow plus_n_Sm in q.
  apply Theorem2131 in q. contradiction.
Defined.
Lemma cardF \{n : nat\}: Fin (S n) \simeq (Fin n) + Unit.
Proof.
  intros. refine (equiv_adjointify cardF_f cardF_g _ _); intros x.
  unfold cardF_f, cardF_g. simpl.
  destruct x. simpl. destruct f as [m [k p]]. simpl.
  destruct (nat_eq_decidable m n). simpl.
  rewrite \leftarrow p in p0. assert (m \neq m + S k). apply baz. contradiction.
  simpl. apply (ap inl). apply path_sigma_uncurried. simpl. ∃ idpath.
  simpl. apply path_sigma_uncurried. simpl. ∃ idpath.
  simpl. apply ishset_nat.
  destruct (nat_eq_decidable n n). apply (ap inr). apply path_unit.
  assert Empty. apply n0. reflexivity. contradiction.
  unfold cardF<sub>-</sub>f, cardF<sub>-</sub>g. simpl. destruct x as [m \ [k \ p]].
  destruct (nat_eq_decidable m n).
  apply path_sigma_uncurried. simpl. \exists p0^{\hat{}}. simpl.
  induction p0. simpl. apply path_sigma_uncurried. simpl.
  assert (0 = k). symmetry. apply sum_O_r with (n := S m).
  rewrite \leftarrow plus_n_Sm in p. simpl.
  apply p. \exists X.
  apply ishset_nat.
  apply path_sigma_uncurried. simpl. ∃ idpath. simpl.
  apply path_sigma_uncurried. simpl.
  assert (k \neq 0). intro. rewrite X in p.
  rewrite \leftarrow plus_n_Sm in p. apply S_inj in p. rewrite \leftarrow plus_0_r in p.
```

```
contradiction.
   \exists (S_pred_inv k X). apply ishset_nat.
Defined.
Theorem ex3_22 '{Univalence}: \forall (n: nat) (A: Fin n \rightarrow Type)
                                     (P: \forall (m: \operatorname{Fin} n), A m \to \operatorname{Type}),
   (\forall n, \text{IsHSet } (A n)) \rightarrow (\forall m a, \text{IsHProp } (P m a)) \rightarrow
   (\forall m, \operatorname{Brck} \{a : A \ m \ \& P \ m \ a\}) \rightarrow
   Brck \{g: \forall m, A \ m \ \& \ \forall k, P \ k \ (g \ k)\}.
Proof.
   induction n.
   (* case n = 0 *)
   intros A P HA HP f. apply min1.
   assert (\forall m, A m). intros. contradiction (cardFO m).
   \exists X. intro. contradiction (cardFO k).
   (* case n = S n *)
   intros APHAHPf.
   assert (\forall k : \text{Fin } n, \text{Brck } \{a : A \text{ (Fin\_incl } k) \& P \text{ (Fin\_incl } k) } a\}) as w.
   intros. apply (f (Fin_incl k)).
   apply IHn in w.
   strip_truncations.
   assert (\forall m : \text{Fin } (S n), A m) \text{ as } g.
   intro m.
   rewrite \leftarrow (eissect cardF m).
   destruct (cardF m) as [em \mid m_{-}m]; simpl.
      apply (w.1em).
      Admitted.
```

Local Close Scope nat\_scope.

## 4 Equivalences

\*Exercise 4.1 (p. 147) Consider the type of "two-sided adjoint equivalence data" for  $f: A \to B$ ,

$$\sum_{(g:B\to A)} \sum_{(\eta:g\circ f\sim \mathsf{id}_A)} \sum_{\epsilon:f\circ g\sim \mathsf{id}_B} \left(\prod_{x:A} f(\eta x) = \epsilon(fx)\right) \times \left(\prod_{y:B} g(\epsilon y) = \eta(gy)\right)$$

By Lemma 4.2.2, we know that if f is an equivalence, then this type is inhabited. Give a characterization of this type analogous to Lemma 4.1.1. Give an example showing that this type is not generally a mere proposition.

\*Exercise 4.2 (p. 147) Show that for any  $A, B : \mathcal{U}$ , the following type is equivalent to  $A \simeq B$ .

$$\sum_{R:A\to B\to\mathcal{U}} \left(\prod_{a:A} \mathsf{isContr}\left(\sum_{b:B} R(a,b)\right)\right) \times \left(\prod_{b:B} \mathsf{isContr}\left(\sum_{a:A} R(a,b)\right)\right).$$

Extract from this a definition of a type satisfying the three desiderata of isequiv(f).

\*Exercise 4.3 (p. 147) Reformulate the proof of Lemma 4.1.1 without using univalence.

```
Definition qinv \{A \ B : \text{Type}\}\ (f : A \to B) := \{g : B \to A \& (f \circ g \sim \text{idmap}) \times (g \circ f \sim \text{idmap})\}.
```

```
Axiom qinv\_isequiv: \forall A \ B \ (f:A \to B), \ qinv \ f \to \mathsf{lsEquiv} \ f.
Axiom isequiv\_qinv: \forall A \ B \ (f:A \to B), \ \mathsf{lsEquiv} \ f \to \mathsf{qinv} \ f.
Definition ex4\_3\_f \ \{A \ B: \mathsf{Type}\} \ \{f:A \to B\}: \ qinv \ f \to \forall \ (x:A), \ x = x.
intros.
destruct X as [g \ [alpha \ beta]].
etransitivity \ (g \ (f \ x)).
apply (beta \ x) apply (beta \ x).
Defined.
Theorem Theorem411 \{A \ B: \mathsf{Type}\} \ (f:A \to B): \ (qinv \ f) \to (qinv \ f) \simeq (\forall \ x:A, \ x = x).
Proof.
Admitted.
```

\*Exercise 4.4 (p. 147) Suppose  $f: A \to B$  and  $g: B \to C$  and b: B.

- (i) Show that there is a natural map  $\operatorname{fib}_{g \circ f}(g(b)) \to \operatorname{fib}_g(g(b))$  whose fiber over  $(b, \operatorname{refl}_{g(b)})$  is equivalent to  $\operatorname{fib}_f(b)$ .
- (ii) Show that  $\operatorname{fib}_{g \circ f}(g(b)) \simeq \sum_{(w:\operatorname{fib}_g(g(b)))} \operatorname{fib}_f(\operatorname{pr}_1 w)$ .

**Solution** (i) Unfolding the fib notation, we are looking for a map

$$\left(\sum_{a:A} (g(f(a)) = g(b))\right) \to \left(\sum_{b':B} (g(b') = g(b))\right)$$

The obvious choice is  $f^* :\equiv (a, p) \mapsto (f(a), p)$ . We then must show that  $\mathsf{fib}_{f^*}(b, \mathsf{refl}_{g(b)}) \simeq \mathsf{fib}_f(b)$ . Unfolding the notation again, we're looking for an equivalence

$$\left(\sum_{w: \mathsf{fib}_{g \circ f}(g(b))} \left(f^*(w) = (b, \mathsf{refl}_{g(b)})\right)\right) \simeq \left(\sum_{a: A} \left(f(a) = b\right)\right)$$

For the arrow, suppose that (w,p) is an element of the domain, so that w:  $\mathsf{fib}_{g \circ f}(g(b))$  and  $q: f^*(w) = (b,\mathsf{refl}_{g(b)})$ . By the induction principle for  $\mathsf{fib}_{g \circ f}(g(b))$ , it suffices to consider the case where  $w \equiv (a,p)$ , for a: A and p: g(f(a)) = g(b). Then

$$q:(f^*(a,p)=(b,\mathsf{refl}_{g(b)}))\equiv((f(a),p)=(b,\mathsf{refl}_{g(b)}))$$

thus  $(a, pr_1q)$ : fib<sub>f</sub>(b). Explicitly, our map is

$$z \mapsto (\mathsf{pr}_1(\mathsf{pr}_1 z), \mathsf{pr}_1(\mathsf{pr}_2 z))$$

For a quasi-inverse, suppose that (a, p):  $fib_f(b)$ . Then (a, g(p)):  $fib_{g \circ f}(g(b))$ . We need a proof that

$$(f^*(a,g(p))=(b,\mathsf{refl}_{g(b)}))\equiv ((f(a),g(p))=(b,\mathsf{refl}_{g(b)}))$$

p provides the proof of equality for the first slots. For the second, by induction we can consider the case where  $f(a) \equiv b$  and  $p \equiv \text{refl}_b$ . Then  $g(p) \equiv \text{refl}_{g(b)}$ , and the proof we seek is just reflexivity.

Section Exercise4\_4.

(fz.1; z.2).

Variables (A B C D: Type)  $(f: A \rightarrow B)$   $(g: B \rightarrow C)$  (b: B). Definition f\_star (z: ((hfiber  $(g \circ f) (g b))))$ : (hfiber  $(g \circ f) (g b)$ ):

Definition ex4\_4\_f (z: (hfiber f\_star (b; 1))): (hfiber f b) :=

```
(z.1.1; (base_path z.2)).
Definition ex4_4_g (w: (hfiber f b)): (hfiber f_star (b; 1)).
  refine ((w.1; ap g w.2); \_).
  unfold f-star. simpl.
  apply path_sigma_uncurried. \exists w.2. \text{ simpl.}
  induction w.2. reflexivity.
Defined.
Lemma ex4_4_alpha: Sect ex4_4_g ex4_4_f.
Proof.
  unfold ex4_4_f, ex4_4_g.
  intro w. destruct w as [a p]. simpl.
  apply path_sigma_uncurried; simpl.
  \exists 1. simpl. unfold f-star in *.
  induction p. reflexivity.
Defined.
Lemma ex4_4_beta: Sect ex4_4_f ex4_4_g.
Proof.
  unfold ex4_4, ex4_4, ex4_4, f-star. intro w.
  apply path_sigma_uncurried. simpl.
  assert ((w.1.1; ap g (base_path w.2)) = w.1).
  unfold hfiber in w.
  apply (@path_sigma A (fun x:A \Rightarrow (g \circ f) x = g b)
                      (w.1.1; ap g (base_path w.2))
                      w.1
                      1).
  simpl.
  apply (@hfiber_triangle B C g (g b) (b; 1) (f w.1.1; w.1.2) w.2^).
  apply path_sigma_uncurried. exists 1. simpl.
  destruct w as [a \ p] \ q. simpl in *.
  transitivity ((ap g (base_path q))^). symmetry. apply inv_V.
  transitivity (ap g (base_path q)^)^. hott_simpl.
  transitivity (ap g (base_path q^))^. unfold base_path. hott_simpl.
  apply moveR_V1.
  apply symmetry.
  apply (@hfiber_triangle B C g (g b) (b; 1) (f a; p) q^).
  exists X.
  destruct w as [a \ p] \ q. simpl in *.
  *)
Admitted.
Theorem ex4_4: (hfiber (f_star) (b; 1)) \simeq (hfiber f(b)).
  apply (equiv_adjointify ex4_4_f ex4_4_g ex4_4_alpha ex4_4_beta).
Defined.
End Exercise4_4.
```

**Exercise 4.5 (p. 147)** Prove that equivalences satisfy the 2-out-of-6 property: given  $f: A \to B$  and  $g: B \to C$  and  $h: C \to D$ , if  $g \circ f$  and  $h \circ g$  are equivalences, so are f, g, h, and  $h \circ g \circ f$ . Use this to give a higher-level proof of Theorem 2.11.1.

**Solution** Suppose that  $g \circ f$  and  $h \circ g$  are equivalences.

• f is an equivalence with quasi-inverse  $(g \circ f)^{-1} \circ g$ . It's a retract because

$$\begin{split} f\circ (g\circ f)^{-1}\circ g &\sim (h\circ g)^{-1}\circ (h\circ g)\circ f\circ (g\circ f)^{-1}\circ g \\ &\sim (h\circ g)^{-1}\circ h\circ g \\ &\sim \operatorname{id}_{\mathcal{B}} \end{split}$$

and a section because  $(g \circ f)^{-1} \circ g \circ f \sim id_A$ .

• *g* is an equivalence with quasi-inverse  $(h \circ g)^{-1} \circ h$ . First we have

$$\begin{split} g \circ (h \circ g)^{-1} \circ h &\sim g \circ (h \circ g)^{-1} \circ h \circ g \circ f \circ (g \circ f)^{-1} \\ &\sim g \circ f \circ (g \circ f)^{-1} \\ &\sim \mathrm{id}_C \end{split}$$

and second  $(h \circ g)^{-1} \circ h \circ g \sim id_B$ .

- h is an equivalence with quasi-inverse  $g \circ (h \circ g)^{-1}$ . First,  $h \circ g \circ (h \circ g)^{-1} \sim \operatorname{id}_D$ , and we have  $g \circ (h \circ g)^{-1} \circ h \sim \operatorname{id}_C$  by the previous part.
- $h \circ g \circ f$  is an equivalence with quasi-inverse  $f^{-1} \circ (h \circ g)^{-1}$ . Both directions are immediate:

$$h \circ g \circ f \circ f^{-1} \circ (h \circ g)^{-1} \sim \mathrm{id}_D$$
  
$$f^{-1} \circ (h \circ g)^{-1} \circ h \circ g \circ f \sim \mathrm{id}_A$$

Now we must give a higher-level proof that if  $f:A\to B$  is an equivalence, then for all a,a':A so is  $\operatorname{ap}_f$ . This uses the following somewhat obvious fact, which I don't recall seeing in the text or proving yet: if  $f:A\to B$  is an equivalence and  $f\sim g$ , then g is an equivalence. For any a:A we have  $f^{-1}(g(a))=f^{-1}(f(a))=a$  and for any b:B,  $g(f^{-1}(b))=f(f^{-1}(b))=b$ , giving isequiv(g). Consider the sequence

$$\left(a=a'\right) \xrightarrow{\operatorname{ap}_f} \left(f(a)=f(a')\right) \xrightarrow{\operatorname{ap}_{f^{-1}}} \left(f^{-1}(f(a))=f^{-1}(f(a'))\right) \xrightarrow{\operatorname{ap}_f} \left(f(f^{-1}(f(a)))=f(f^{-1}(f(a')))\right)$$

Since *f* is an equivalence, we have

$$\alpha: \prod_{b:B} f(f^{-1}(b)) = b$$
  $\beta: \prod_{a:A} f^{-1}(f(a)) = a$ 

For all p: a = a',  $\operatorname{ap}_{f^{-1}}(\operatorname{ap}_f(p)) = \beta_a \cdot p \cdot \beta_{a'}^{-1}$ , which follows from the functorality of ap and the naturality of homotopies (Lemmas 2.2.2 and 2.4.3). In other words, the composition of the first two arrows is homotopic to concatenating with  $\beta$  on either side, which is obviously an equivalence. Similarly, the composition of the second two arrows is homotopic to concatenating with the appropriate  $\alpha$  on either side, again an obvious equivalence. So by the 2-out-of-6 property, the first arrow is an equivalence, which was to be proved.

```
Theorem two_out_of_six {A B C D : Type} (f : A \rightarrow B) (g : B \rightarrow C) (h : C \rightarrow D) : IsEquiv (g \circ f) \rightarrow IsEquiv (h \circ g) \rightarrow (IsEquiv (f \circ g) \rightarrow IsEquiv (f \circ g) \rightarrow
```

```
((h \circ g)^{-1} \circ (h \circ g) \circ f \circ (g \circ f)^{-1} \circ g) b).
change (((h \circ g)^{-1} \circ (h \circ g) \circ f \circ (g \circ f)^{-1} \circ g) b)
            with ((((h \circ g)^{-1} ((h \circ g) ((f \circ (g \circ f)^{-1} \circ g) b))))).
rewrite (eissect (h \circ g)). reflexivity.
rewrite X.
change (((h \circ g)^{-1} \circ (h \circ g) \circ f \circ (g \circ f)^{-1} \circ g) b)
            with ((((h \circ g)^{-1} \circ h) ((((g \circ f) ((g \circ f)^{-1} (g b))))))).
rewrite (eisretr (g \circ f)).
change (((h \circ g)^{-1} \circ h)(g b)) with (((h \circ g)^{-1} \circ (h \circ g))b).
apply (eissect (h \circ g)).
intro a. apply (eissect (g \circ f)).
split.
(* case g *)
refine (isequiv_adjointify g((h \circ g)^{-1} \circ h) _ _).
change (g(((h \circ g)^{-1} \circ h) c)) with ((g \circ (h \circ g)^{-1} \circ h) c).
assert ((g \circ (h \circ g)^{-1} \circ h) c
             (g \circ (h \circ g)^{-1} \circ h \circ g \circ f \circ (g \circ f)^{-1}) c).
change ((g \circ (h \circ g)^{-1} \circ h \circ g \circ f \circ (g \circ f)^{-1})c)
            with (((g \circ (h \circ g)^{-1} \circ h) ((g \circ f) ((g \circ f)^{-1} c)))).
rewrite (eisretr (g \circ f)). reflexivity.
rewrite X.
change ((g \circ (h \circ g)^{-1} \circ h \circ g \circ f \circ (g \circ f)^{-1}) c)
            with (g(((h \circ g)^{-1}((h \circ g)((f \circ (g \circ f)^{-1})c))))).
rewrite (eissect (h \circ g)).
change (g((f \circ (g \circ f)^{-1}) c)) with (((g \circ f) \circ (g \circ f)^{-1}) c).
apply (eisretr (g \circ f)).
intro b. apply (eissect (h \circ g)).
split.
(* case h *)
refine (isequiv_adjointify h(g \circ (h \circ g)^{-1}) _ _).
intro d. apply (eisretr (h \circ g)).
change ((g \circ (h \circ g)^{-1}) (h c)) with ((g \circ (h \circ g)^{-1} \circ h) c).
assert ((g \circ (h \circ g)^{-1} \circ h) c
 (g \circ (h \circ g)^{-1} \circ h \circ g \circ f \circ (g \circ f)^{-1}) c).  change ((g \circ (h \circ g)^{-1} \circ h \circ g \circ f \circ (g \circ f)^{-1}) c)
            with (((g \circ (h \circ g)^{-1} \circ h) ((g \circ f) ((g \circ f)^{-1} c)))).
rewrite (eisretr (g \circ f)). reflexivity.
change ((g \circ (h \circ g)^{-1} \circ h \circ g \circ f \circ (g \circ f)^{-1}) c)
            with (g((h \circ g)^{-1}((h \circ g)((f \circ (g \circ f)^{-1})c)))).
rewrite (eissect (h \circ g)).
change (g((f \circ (g \circ f)^{-1})c)) with (((g \circ f) \circ (g \circ f)^{-1})c).
apply (eisretr (g \circ f)).
(* case h o g o f *)
refine (isequiv_adjointify (h \circ g \circ f) ((g \circ f)^{-1} \circ g \circ (h \circ g)^{-1})_{-1}).
```

```
intro d.
   change ((h \circ g \circ f) (((g \circ f)^{-1} \circ g \circ (h \circ g)^{-1}) d))
              with (h((g \circ f)((g \circ f)^{-1}((g \circ (h \circ g)^{-1})d)))).
   rewrite (eisretr (g \circ f)).
   apply (eisretr (h \circ g)).
   intro a.
   change (((g \circ f)^{-1} \circ g \circ (h \circ g)^{-1}) ((h \circ g \circ f) a))
             with (((g \circ f)^{-1} \circ g) ((h \circ g)^{-1} ((h \circ g) (f a)))).
   rewrite (eissect (h \circ g)). apply (eissect (g \circ f)).
Qed.
Theorem isequiv_homotopic': \forall (AB: Type) (fg: A \rightarrow B),
   IsEquiv f \rightarrow f \sim g \rightarrow IsEquiv g.
Proof.
   intros A B f g p h.
   refine (isequiv_adjointify gf^{-1} _ _).
   intros b. apply ((h(f^{-1}b))^{\hat{}} \otimes (eisretr f b)).
   intros a. apply ((ap f^{-1} (h a)) \hat{0} (eissect f a)).
Defined.
Theorem Theorem2111' (A B : Type) (a a' : A) (f : A \rightarrow B) (H : IsEquiv f):
   IsEquiv (fun p : a = a' \Rightarrow ap f p).
Proof.
   apply (two_out_of_six (fun p : a = a' \Rightarrow ap f p)
                                     (\text{fun } p : (f a) = (f a') \Rightarrow \text{ap } f^{-1} p)
                                     (\text{fun } p: (f^{-1}(f a)) = (f^{-1}(f a')) \Rightarrow \text{ap } f p)).
   apply (isequiv_homotopic (fun p \Rightarrow (eissect f(a) \otimes p \otimes (eissect f(a'))).
   refine (isequiv_adjointify_
                                             (\text{fun } p \Rightarrow (\text{eissect } f a) \hat{\ } 0 p 0 (\text{eissect } f a'))
   intro; hott_simpl.
   intro p. induction p. hott_simpl.
   apply (isequiv_homotopic (fun p \Rightarrow (eisretr f(f(a)) \otimes p \otimes (eisretr f(f(a')))).
   refine (isequiv_adjointify_
                                     (\text{fun } p \Rightarrow (\text{eisretr } f (f a)) \hat{\ } 0 p 0 (\text{eisretr } f (f a')))
   intro; hott_simpl.
   intro p. induction p. hott_simpl.
Defined.
*Exercise 4.6 (p. 147) For A, B : U, define
       \mathsf{idtoqinv}(A,B):(A=B) \to \sum_{f:A \to B} \mathsf{qinv}(f)
```

by path induction in the obvious way. Let qinv-univalence denote the modified form of the univalence axiom which asserts that for all A, B:  $\mathcal{U}$  the function  $\mathsf{idtoqinv}(A,B)$  has a quasi-inverse.

- (i) Show that qinv-univalence can be used instead of univalence in the proof of function extensionality in §4.9.
- (ii) Show that ginv-univalence can be used instead of univalence in the proof of Theorem 4.1.3.
- (iii) Show that qinv-univalence is inconsistent. Thus, the use of a "good" version of isequiv is essential in the statement of univalence.

**Solution** (i) The proof of function extensionality uses univalence in the proof of Lemma 4.9.2. Assume that  $\mathcal{U}$  is qinv-univalent, and that A, B,  $X:\mathcal{U}$  with  $e:A\simeq B$ . From e we obtain  $f:A\to B$  and  $p:\operatorname{ishae}(f)$ , and from the latter we obtain an element  $q:\operatorname{qinv}(f)$ . qinv-univalence says that we may write  $(f,q)=\operatorname{idtoqinv}_{A,B}(r)$  for some r:A=B. Then by path induction, we may assume that  $r\equiv\operatorname{refl}_A$ , making  $e=\operatorname{id}_A$ , and the function  $g\mapsto g\circ\operatorname{id}_A$  is clearly an equivalence  $(X\to A)\simeq (X\to B)$ , establishing Lemma 4.9.2. Since the rest of the section is either an application of Lemma 4.9.2 or doesn't use the univalence axiom, the proof of function extensionality goes through.

```
Section Exercise4_6.

Definition idtoqinv \{A \ B\}: (A = B) \to \{f : A \to B \ \& \ (qinv \ f)\}. path\_induction. \exists \ idmap. \exists \ idmap. split; intro a; reflexivity.

Defined.

Hypothesis qinv\_univalence : \forall A \ B, qinv (@idtoqinv A \ B).

Theorem ex4_6i (A \ B \ X : Type) (e : A \simeq B) : (X \to A) \simeq (X \to B).

Proof.

destruct e as [f \ p].

assert (qinv \ f) as q. \exists \ f^{-1}. split.

apply (eisretr \ f). apply (eissect \ f).

assert (A = B) as r. apply (qinv\_univalence \ A \ B). apply (f; \ q). path\_induction. apply equiv\_idmap.

Defined.
```

(ii) Theorem 4.1.3 provides an example of types A and B and a function  $f:A\to B$  such that qinv(f) is not a mere proposition, relying on the result of Lemma 4.1.1. Since Lemma 4.1.1 does not actually rely on univalence (cf. Exercise 4.3), we only need to worry about the use of univalence in the proof of Theorem 4.1.3. Define  $X:\equiv \sum_{(A:\mathcal{U})} \|\mathbf{2} = A\|$  and  $a:\equiv (\mathbf{2},|refl_2|):X$ . Let  $e:\mathbf{2}\simeq\mathbf{2}$  be the non-identity equivalence from Exercise 2.13, which gives us  $\neg:\mathbf{2}\to\mathbf{2}$  and  $r:qinv(\neg)$ . Define  $q:\equiv idtoqinv_{\mathbf{2},\mathbf{2}}^{-1}(\neg,r)$ . Now we can run the proof as before, applying Lemma 4.1.2.

Here univalence is used only in establishing that a = a is a set, by showing that it's equivalent to  $(2 \simeq 2)$ .

```
Lemma Lemma 412 (A : Type) (a : A) (q : a = a) :
   IsHSet (a = a) \rightarrow (\forall x, \operatorname{Brck} (a = x))
   \rightarrow (\forall p: a = a, p @ q = q @ p)
   \rightarrow \{f: \forall (x:A), x = x \& f a = q\}.
Proof.
   intros i g iii.
   assert (\forall (x y : A), \text{IsHSet } (x = y)).
   intros x y.
   assert (Brck (a = x)) as gx. apply (gx).
   assert (Brck (a = y)) as gy. apply (gy).
   strip_truncations.
   apply (ex3_1' (a = a)).
   refine (equiv_adjointify (fun p \Rightarrow gx^0 p \otimes gy) (fun p \Rightarrow gx \otimes p \otimes gy^1)___);
   intros p; hott_simpl.
   apply i.
   assert (\forall x, \text{IsHProp } (\{r: x = x \& \forall s: a = x, r = s^0 \neq g \neq s\})).
   intro x. assert (Brck (a = x)) as p. apply (g x). strip\_truncations.
   apply hprop_allpath; intros h h'; destruct h as [r h], h' as [r' h'].
   apply path_sigma_uncurried. \exists ((h p) @ (h' p)^{\hat{}}).
   simpl. apply path_forall; intro s.
   apply (X \times x).
```

```
assert (\forall x, \{r : x = x \& \forall s : a = x, r = (s \hat{\ } @ q) @ s\}).
  intro x. assert (Brck (a = x)) as p. apply (g x). strip\_truncations.
  \exists (p^{\circ} @ q @ p). intro s.
  apply (cancel R_s). hott_simpl.
  apply (cancelL p). hott_simpl.
  transitivity (q @ (p @ s^{\hat{}})). hott_simpl.
  symmetry. apply (iii (p @ s^{\hat{}})).
  \exists (fun x \Rightarrow (X1 x) . 1).
  transitivity (1^{\circ} @ q @ 1).
  apply ((X1 a).21). hott_simpl.
Defined.
Definition Bool_Bool_to_a_a:
  ((Bool:Type) \simeq (Bool:Type)) \rightarrow
  (((Bool:Type); min1 1):{A : Type & Brck ((Bool:Type) = A)})
  (((Bool:Type); min1 1):\{A : \text{Type & Brck ((Bool:Type) = }A)\}).
  intros.
  apply path_sigma_hprop. simpl.
  apply (qinv_univalence Bool Bool).1.
  destruct X. \exists equiv_fun.
  destruct equiv_isequiv. ∃ equiv_inv.
  split. apply eisretr. apply eissect.
Defined.
Definition a_a_to_Bool_Bool:
  (((Bool:Type); min1 1):{A : Type & Brck ((Bool:Type) = A)})
  (((Bool:Type); min1 1):{A : Type & Brck ((Bool:Type) = A)})
  \rightarrow ((Bool:Type) \simeq (Bool:Type)).
  intros. simpl. apply base_path in X. simpl in X.
  apply idtoqinv in X.
  apply (BuildEquiv Bool Bool X.1).
  apply (isequiv_adjointify X.1 X.2.1 (fst X.2.2) (snd X.2.2)).
Defined.
Theorem ex4_6ii: \{A: \text{Type \& } \{B: \text{Type \& } \{f: A \rightarrow B \& \neg \text{IsHProp } (\text{qinv } f)\}\}\}.
  set (X := \{A : Type \& Brck ((Bool:Type) = A)\}).
  refine (X; (X; \_)).
  set (a := ((Bool:Type); min11) : X).
  set (e := negb\_isequiv). destruct e as [lnot H].
  set (r := (lnot^{-1}; (eisretr \, lnot, eissect \, lnot)) : ginv \, lnot).
  set (q := (path\_sigma\_hprop \ a \ a \ ((qinv\_univalence \ Bool \ Bool) \ .1 \ (lnot; r)))).
  \texttt{assert} \ \{f: \ \forall \ x, x = x \ \& \ (f \ a) = q\}.
  apply Lemma412.
  apply (ex3_1' ((Bool:Type) \simeq (Bool:Type))).
  refine (equiv_adjointify Bool_Bool_to_a_a a_a_to_Bool_Bool___);
  unfold Bool_Bool_to_a_a, a_a_to_Bool_Bool.
  intro p. simpl.
Admitted.
End Exercise4_6.
```

## 5 Induction

**Exercise 5.1 (p. 175)** Derive the induction principle for the type List(A) of lists from its definition as an inductive type in §5.1.

**Solution** The induction principle constructs an element  $f: \prod_{(\ell: \mathsf{List}(A))} P(\ell)$  for some family  $P: \mathsf{List}(A) \to \mathcal{U}$ . The constructors for  $\mathsf{List}(A)$  are  $\mathsf{nil}: \mathsf{List}(A)$  and  $\mathsf{cons}: A \to \mathsf{List}(A) \to \mathsf{List}(A)$ , so the hypothesis for the induction principle is given by

$$d: P(\mathsf{nil}) \to \left(\prod_{(h:A)} \prod_{(t: \mathsf{List}(A))} P(t) \to P(\mathsf{cons}(h, t))\right) \to \prod_{\ell: \mathsf{List}(A)} P(\ell)$$

So, given a  $p_n: P(\mathsf{nil})$  and a function  $p_c: \prod_{(h:A)} \prod_{(t:\mathsf{List}(A))} P(t) \to P(\mathsf{cons}(h,t))$ , we obtain a function  $f: \prod_{(\ell:\mathsf{List}(A))} P(\ell)$  with the following computation rules:

$$f(\mathsf{nil}) :\equiv p_n$$
  
 $f(\mathsf{cons}(h,t)) :\equiv p_c(h,t,f(t))$ 

In Coq we can just use the pattern-matching syntax.

Module Ex1.

```
Section Ex1.  \begin{array}{l} \text{Variable $A:$ Type.} \\ \text{Variable $P:$ list $A$} \to \text{Type.} \\ \text{Hypothesis $d:$ $P$ nil} \\ & \to (\forall \ h \ t, P \ t \to P \ (\text{cons } h \ t)) \\ & \to \forall \ l, P \ l. \\ \text{Variable $p$}\_n : P \text{ nil.} \\ \text{Variable $p$}\_c : \forall \ h \ t, P \ t \to P \ (\text{cons } h \ t). \\ \text{Fixpoint $f$} \ (l: \text{list $A$}) : P \ l := \\ \text{match $l$ with} \\ & | \ \text{nil} \Rightarrow p\_n \\ & | \ \text{cons } h \ t \Rightarrow p\_c \ h \ t \ (\text{f} \ t) \\ \text{end.} \\ \text{End Ex1.} \\ \end{array}
```

**Exercise 5.2 (p. 175)** Construct two functions on natural numbers which satisfy the same recurrence  $(e_z, e_s)$  but are not definitionally equal.

**Solution** Let *C* be any type, with c:C some element. The constant function  $f':\equiv \lambda n. c$  is not definitionally equal to the function defined recursively by

```
f(0) :\equiv cf(\mathsf{succ}(n)) :\equiv f(n)
```

However, they both satisfy the same recurrence; namely,  $e_z :\equiv c$  and  $e_s :\equiv \lambda n$ .  $id_C$ .

Module Ex2.

```
Section Ex2.
```

```
Variables (C: Type) (c: C).
Definition f (n: nat) := c.
```

```
Fixpoint f'(n : nat) :=
    match n with
       | 0 \Rightarrow c
       | S n' \Rightarrow f' n'
     end.
  Theorem ex5_2O: fO = f'O.
  Proof.
    reflexivity.
  Qed.
  Theorem ex5_2_S: \forall n, f(S n) = f'(S n).
  Proof.
     intros. unfold f, f'.
     induction n. reflexivity. apply IHn.
  Qed.
End Ex2.
End Ex2.
```

**Exercise 5.3 (p. 175)** Construct two different recurrences  $(e_z, e_s)$  on the same type E which are both satisfied by the same function  $f : \mathbb{N} \to E$ .

**Solution** From the previous exercise we have the recurrences

```
e_z :\equiv c e_s :\equiv \lambda n. id_C
```

which give rise to the same function as the recurrences

```
e'_{z} :\equiv c e'_{s} :\equiv \lambda n. \lambda x. c
```

Clearly  $f := \lambda n. c$  satisfies both of these recurrences. However, suppose that c, c' : C are such that  $c \neq c'$ . Then  $\lambda n. \lambda x. \neq \lambda n. \mathrm{id}_C$ , so  $e_s \neq e'_s$ , so the recurrences are not equal.

```
Module Ex3.
Section Ex3.
  Variables (C: Type) (c c': C) (p: \neg (c = c')).
  Definition ez := c.
  Definition es (n : nat)(x : C) := x.
  Definition ez' := c.
  Definition es' (n : nat) := fun(x : C) \Rightarrow c.
  Theorem f_O: Ex2.f C c O = ez.
  Proof.
    reflexivity.
  Defined.
  Theorem f_S: \forall n, Ex2.f C c (S n) = es n (Ex2.f C c n).
    reflexivity.
  Defined.
  Theorem f_O': Ex2.f C c O = ez'.
  Proof.
    reflexivity.
  Defined.
  Theorem f_S': \forall n, Ex2.f C c (S n) = es' n (Ex2.f C c n).
```

```
Proof.
  reflexivity.

Defined.

Theorem ex5_3: \neg ((ez, es) = (ez', es')).

Proof.
  intro q. apply (ap snd) in q. simpl in q. unfold es, es' in q.
  assert (idmap = fun x:C \Rightarrow c) as r.
  apply (apD10 q O).
  assert (c' = c) as s.
  apply (apD10 r).
  symmetry in s.
  contradiction.

Defined.

End Ex3.
End Ex3.
```

**Exercise 5.4 (p. 175)** Show that for any type family  $E: \mathbf{2} \to \mathcal{U}$ , the induction operator

$$\operatorname{ind}_{\mathbf{2}}(E): (E(0_{\mathbf{2}}) \times E(1_{\mathbf{2}})) \to \prod_{b:\mathbf{2}} E(b)$$

is an equivalence.

**Solution** For a quasi-inverse, suppose that  $f: \prod_{(b:2)} E(b)$ . To provide an element of  $E(0_2) \times E(1_2)$ , we take the pair  $(f(0_2), f(1_2))$ . For one direction around the loop, consider an element  $(e_0, e_1)$  of the domain. We then have

```
(ind_2(E, e_0, e_1, 0_2), ind_2(E, e_0, e_1, 1_2)) \equiv (e_0, e_1)
```

by the computation rule for ind<sub>2</sub>. For the other direction, suppose that  $f: \prod_{(b:2)} E(b)$ , so that once around the loop gives ind<sub>2</sub>(E, f(0<sub>2</sub>), f(1<sub>2</sub>)). Suppose that b: **2**. Then there are two cases:

```
• b \equiv 0_2 gives ind_2(E, f(0_2), f(1_2), 0_2) \equiv f(0_2)
• b \equiv 1_2 gives ind_2(E, f(0_2), f(1_2), 1_2) \equiv f(1_2)
```

by the computational rule for  $ind_2$ . By function extensionality, then, the result is equal to f.

```
Definition Bool_rect_uncurried (E: \mathsf{Bool} \to \mathsf{Type}): (E \mathsf{ false}) \times (E \mathsf{ true}) \to (\forall b, E b). intros p b. destruct b; [apply (snd p) | apply (fst p)]. Defined.

Definition Bool_rect_uncurried_inv (E: \mathsf{Bool} \to \mathsf{Type}): (\forall b, E b) \to (E \mathsf{ false}) \times (E \mathsf{ true}). intro f. split; [apply (f false) | apply (f true)]. Defined.

Theorem ex5_4 (E: \mathsf{Bool} \to \mathsf{Type}): IsEquiv (Bool_rect_uncurried E). Proof.

refine (isequiv_adjointify _ (Bool_rect_uncurried_inv E) _ _); unfold Bool_rect_uncurried, Bool_rect_uncurried_inv. intro f. apply path_forall; intro f. destruct f; reflexivity. intro f. apply uppt. Qed.
```

**Exercise 5.5 (p. 175)** Show that the analogous statement to Exercise 5.4 for N fails.

**Solution** The analogous statement is that

$$\operatorname{ind}_{\mathbb{N}}(E): \left(E(0) \times \prod_{n:\mathbb{N}} E(n) \to E(\operatorname{succ}(n))\right) \to \prod_{n:\mathbb{N}} E(n)$$

is an equivalence. To show that it fails, note that an element of the domain is a recurrence  $(e_z, e_s)$ . Recalling the solution to Exercise 5.3, we have recurrences  $(e_z, e_s)$  and  $(e'_z, e'_s)$  such that  $(e_z, e_s) \neq (e'_z, e'_s)$ , but such that  $\operatorname{ind}_{\mathbb{N}}(E, e_z, e_s) = \operatorname{ind}_{\mathbb{N}}(E, e'_z, e'_s)$ . Suppose for contradiction that  $\operatorname{ind}_{\mathbb{N}}(E)$  has a quasi-inverse  $\operatorname{ind}_{\mathbb{N}}^{-1}(E)$ . Then

$$(e_z,e_s)=\mathsf{ind}_{\mathbb{N}}^{-1}(E,\mathsf{ind}_{\mathbb{N}}(E,e_z,e_s))=\mathsf{ind}_{\mathbb{N}}^{-1}(E,\mathsf{ind}_{\mathbb{N}}(E,e_z',e_s'))=(e_z',e_s')$$

The first and third equality are from the fact that a quasi-inverse is a left inverse. The second comes from the fact that  $\operatorname{ind}_{\mathbb{N}}(E)$  sends the two recurrences to the same function. So we have derived a contradiction.

```
Definition nat_rect_uncurried (E: nat \rightarrow Type):
  (E \ \mathsf{O}) \times (\forall n, E \ n \to E \ (\mathsf{S} \ n)) \to \forall n, E \ n.
  intros p n. induction n. apply (fst p). apply (snd p). apply IHn.
Theorem ex5_5: \neg IsEquiv (nat_rect_uncurried (fun \bot \Rightarrow Bool)).
Proof.
  intro e. destruct e.
  set (ez := (Ex3.ez Bool true)).
  set (es := (Ex3.es Bool)).
  set (ez' := (Ex3.ez' Bool true)).
  set (es' := (Ex3.es' Bool true)).
  assert ((ez, es) = (ez', es')) as H.
  transitivity (equiv_inv (nat_rect_uncurried (fun \_ \Rightarrow Bool) (ez, es))).
  symmetry. apply eissect.
  transitivity (equiv_inv (nat_rect_uncurried (fun \_ \Rightarrow Bool) (ez', es'))).
  apply (ap equiv_inv). apply path_forall; intro n. induction n.
     reflexivity.
     simpl. rewrite IHn. unfold Ex3.es, Ex3.es'. induction n; reflexivity.
  apply eissect.
  assert (\neg ((ez, es) = (ez', es'))) as nH.
     apply (Ex3.ex5_3 Bool true false). apply true_ne_false.
     contradiction.
Qed.
```

\*Exercise 5.6 (p. 175) Show that if we assume simple instead of dependent elimination for W-types, the uniqueness property fails to hold. That is, exhibit a type satisfying the recursion principle of a W-type, but for which functions are not determined uniquely by their recurrence.

**Solution** Let  $W'_{x \cdot A}B(x)$  be a type such that

\*Exercise 5.7 (p. 175) Suppose that in the "inductive definition" of the type C at the beginning of §5.6, we replace the type  $\mathbb{N}$  by  $\mathbf{0}$ . Analogously to 5.6.1, we might consider a recursion principle for this type with hypothesis

$$h: (C \to \mathbf{0}) \to (P \to \mathbf{0}) \to P.$$

Show that even without a computation rule, this recursion principle is inconsistent, i.e. it allows us to construct an element of 0.

**Solution** The associated recursion principle is

$$\mathsf{rec}_{C}: \prod_{P:\mathcal{U}} \left( (C \to \mathbf{0}) \to (P \to \mathbf{0}) \to P \right) \to C \to P$$

\*Exercise 5.8 (p. 175) Consider now an "inductive type" D with one constructor scott :  $(D \to D) \to D$ . The second recursor for C suggested in §5.6 leads to the following recursor for D:

$$\mathsf{rec}_D: \prod_{P:\mathcal{U}} ((D \to D) \to (D \to P) \to P) \to D \to P$$

with computation rule  $\operatorname{rec}_D(P, h, \operatorname{scott}(\alpha)) \equiv h(\alpha, (\lambda d. \operatorname{rec}_D(P, h, \alpha(d))))$ . Show that this also leads to a contradiction.

**Exercise 5.9 (p. 176)** Let A be an arbitrary type and consider generally an "inductive definition" of a type  $L_A$  with constructor lawvere :  $(L_A \to A) \to L_A$ . The second recursor for C suggested in §5.6 leads to the following recursor for  $L_A$ :

$$\mathsf{rec}_{L_A}: \prod_{P:\mathcal{U}} \left( (L_A \to A) \to P \right) \to L_A \to P$$

with computation rule  $\operatorname{rec}_{L_A}(P,h,\operatorname{lawvere}(\alpha)) \equiv h(\alpha)$ . Using this, show that A has a *fixed-point property*, i.e. for every function  $f:A\to A$  there exists an a:A such that f(a)=a. In particular,  $L_A$  is inconsistent if A is a type without the fixed-point property, such as  $\mathbf{0}$ ,  $\mathbf{2}$ ,  $\mathbb{N}$ .

**Solution** This is an instance of Lawvere's fixed-point theorem, which says that in a cartesian closed category, if there is a point-surjective map  $T \to A^T$ , then every endomorphism  $f: A \to A$  has a fixed point. Working at an intuitive level, the recursion principle ensures that we have the required properties of a point-surjective map in a CCC. In particular, we have the map  $\phi: (L_A \to A) \to A^{L_A \to A}$  given by

$$\phi :\equiv \lambda(f: L_A \to A). \lambda(\alpha: L_A \to A). f(\mathsf{lawvere}(\alpha))$$

and for any  $h: A^{L_A \to A}$ , we have

$$\phi(\operatorname{rec}_{L_A}(A,h)) \equiv \lambda(\alpha:L_A \to A).\operatorname{rec}_{L_A}(A,h,\operatorname{lawvere}(\alpha)) \equiv \lambda(\alpha:L_A \to A).h(\alpha) = h$$

by function extensionality. So we can recap the proof of Lawvere's fixed-point theorem with this  $\phi$ . Suppose that  $f: A \to A$ , and define

$$q :\equiv \lambda(\alpha : L_A \to A). f(\phi(\alpha, \alpha)) : (L_A \to A) \to A$$
$$p :\equiv \operatorname{rec}_{L_A}(A, q) : L_A \to A$$

So that

$$\phi(p) \equiv \lambda(\alpha: L_A \to A). \operatorname{rec}_{L_A}(A, q, \operatorname{lawvere}(\alpha)) \equiv \lambda(\alpha: L_A \to A). \ q(\alpha) = q$$

This means that

$$f(\phi(p,p)) = (\lambda(\alpha: L_A \to A). f(\phi(\alpha,\alpha)))(p) = q(p) = \phi(p,p)$$

so  $f(\phi(p, p)) = \phi(p, p)$ , making  $\phi(p, p)$  a fixed point of f.

Module Ex9.

Section Ex9.

Variable (LA: Type).

Variable *lawvere* :  $(L \rightarrow A) \rightarrow L$ .

```
Variable rec : \forall P, ((L \rightarrow A) \rightarrow P) \rightarrow L \rightarrow P.
Hypothesis rec\_comp: \forall P h alpha, rec P h (lawvere alpha) = h alpha.
Definition point_surjective \{X Y\} (phi: X \rightarrow Y) :=
   \forall q: Y, \{p: X \& phi \ p=q\}.
Lemma LawvereFP \{X Y\} (phi: X \rightarrow (X \rightarrow Y)):
   point_surjective phi \rightarrow \forall (f: Y \rightarrow Y), \{y: Y \& f y = y\}.
Proof.
  intros Hphif.
   set (q := \text{fun } x \Rightarrow f (phi \ x \ x)).
   set (p := Hphi q). destruct p as [p Hp].
   \exists (phi p p).
   change (f(phi p p)) with ((fun x \Rightarrow f(phi x x)) p).
   change (fun x \Rightarrow f (phi x x)) with q.
   symmetry. apply (apD10 Hp).
Defined.
Definition phi: (L \rightarrow A) \rightarrow ((L \rightarrow A) \rightarrow A) :=
   fun f alpha \Rightarrow f (lawvere alpha).
Theorem ex5_9: \forall (f: A \to A), {a: A \& f a = a}.
Proof.
   intro f. apply (LawvereFP phi).
   intro q. \exists (rec A q). unfold phi.
   change q with (fun alpha \Rightarrow q alpha).
   apply path_forall; intro alpha. apply rec_comp.
Defined.
End Ex9.
End Ex9.
```

\*Exercise 5.10 (p. 176) Continuing from Exercise 5.9, consider  $L_1$ , which is not obviously inconsistent since 1 does have the fixed-point property. Formulate an induction principle for  $L_1$  and its computation rule, analogously to its recursor, and using this, prove that it is contractible.

\*Exercise 5.11 (p. 176) In §5.1 we defined the type List(A) of finite lists of elements of some type A. Consider a similar inductive definition of a type Lost(A), whose only constructor is

```
\mathsf{cons}: A \to \mathsf{Lost}(A) \to \mathsf{Lost}(A).
```

Show that Lost(A) is equivalent to **0**.