

# Exercises from the *HoTT Book*

John Dougherty

June 30, 2014

## Introduction

The following are solutions to (eventually all of) the exercises from *Homotopy Type Theory: Univalent Foundations of Mathematics*. The Coq code given alongside the by-hand solutions requires the HoTT version of Coq, available [at the HoTT github repository](#). It will be assumed throughout that it has been imported by

`Require Import HoTT.`

The [introduction to Coq from the HoTT repo](#) is assumed. Each exercise has its own Section in the Coq file, so Context declarations don't extend beyond the exercise—and sometimes they're even more restricted than that.

## 1 Type Theory

**Exercise 1.1 (p. 56)** Given functions  $f : A \rightarrow B$  and  $g : B \rightarrow C$ , define their `\term{composite}`  $g \circ f : A \rightarrow C$ . Show that we have  $h \circ (g \circ f) \equiv (h \circ g) \circ f$ .

**Solution** Define  $g \circ f \equiv \lambda(x : A). g(f(x))$ . Then if  $h : C \rightarrow D$ , we have

$$h \circ (g \circ f) \equiv \lambda(x : A). h((g \circ f)x) \equiv \lambda(x : A). h((\lambda(y : A). g(fy))x) \equiv \lambda(x : A). h(g(fx))$$

and

$$(h \circ g) \circ f \equiv \lambda(x : A). (h \circ g)(fx) \equiv \lambda(x : A). (\lambda(y : A). h(gy))(fx) \equiv \lambda(x : A). h(g(fx))$$

So  $h \circ (g \circ f) \equiv (h \circ g) \circ f$ . In Coq, we have

**Definition** `compose`  $\{A B C : \text{Type}\} (g : B \rightarrow C) (f : A \rightarrow B) := \text{fun } x \Rightarrow g (f x)$ .

**Theorem** `compose_assoc` :  $\forall (A B C D : \text{Type}) (f : A \rightarrow B) (g : B \rightarrow C) (h : C \rightarrow D)$ ,

`compose h (compose g f) = compose (compose h g) f`.

**Proof.**

`trivial.`

`Qed.`

**Exercise 1.2 (p. 56)** Derive the recursion principle for products  $\text{rec}_{A \times B}$  using only the projections, and verify that the definitional equalities are valid. Do the same for  $\Sigma$ -types.

**Section** `Exercise2a`.

**Context**  $\{A B : \text{Type}\}$ .

**Solution** The recursion principle states that we can define a function  $f : A \times B \rightarrow C$  by giving its value on pairs. Suppose that we have projection functions  $\text{pr}_1 : A \times B \rightarrow A$  and  $\text{pr}_2 : A \times B \rightarrow B$ . Then we can define a function of type

$$\text{rec}_{A \times B} : \prod_{C:\mathcal{U}} (A \rightarrow B \rightarrow C) \rightarrow A \times B \rightarrow C$$

in terms of these projections as follows

$$\text{rec}'_{A \times B}(C, g, p) \equiv g(\text{pr}_1 p)(\text{pr}_2 p)$$

or, in Coq,

**Definition** `recprod` ( $C : \text{Type}$ ) ( $g : A \rightarrow B \rightarrow C$ ) ( $p : A \times B$ ) :=  $g (\text{fst } p) (\text{snd } p)$ .

We must then show that

$$\text{rec}'_{A \times B}(C, g, (a, b)) \equiv g(\text{pr}_1(a, b))(\text{pr}_2(a, b)) \equiv g(a)(b)$$

which in Coq is also trivial:

**Goal**  $\forall C \ g \ a \ b, \text{recprod } C \ g \ (a, b) = g \ a \ b.$  `trivial. Qed.`

**End Exercise2a.**

**Section Exercise2b.**

**Context**  $\{A : \text{Type}\}.$

**Context**  $\{B : A \rightarrow \text{Type}\}.$

Now for the  $\Sigma$ -types. Here we have a projection

$$\text{pr}_1 : \left( \sum_{x:A} B(x) \right) \rightarrow A$$

and another

$$\text{pr}_2 : \prod_{p:\sum_{(x:A)} B(x)} B(\text{pr}_1(p))$$

Define a function of type

$$\text{rec}_{\sum_{(x:A)} B(x)} : \prod_{C:\mathcal{U}} \left( \prod_{(x:A)} B(x) \rightarrow C \right) \rightarrow \left( \sum_{(x:A)} B(x) \right) \rightarrow C$$

by

$$\text{rec}_{\sum_{(x:A)} B(x)}(C, g, p) \equiv g(\text{pr}_1 p)(\text{pr}_2 p)$$

**Definition** `recsm` ( $C : \text{Type}$ ) ( $g : \forall (x : A), B x \rightarrow C$ ) ( $p : \exists (x : A), B x$ ) :=  $g (\text{projT1 } p) (\text{projT2 } p)$ .

We then verify that

$$\text{rec}_{\sum_{(x:A)} B(x)}(C, g, (a, b)) \equiv g(\text{pr}_1(a, b))(\text{pr}_2(a, b)) \equiv g(a)(b)$$

which is again trivial in Coq:

**Goal**  $\forall C \ g \ a \ b, \text{recsm } C \ g \ (a; b) = g \ a \ b.$  `trivial. Qed.`

**End Exercise2b.**

**Exercise 1.3 (p. 56)** Derive the induction principle for products  $\text{ind}_{A \times B}$  using only the projections and the propositional uniqueness principle  $\text{uppt}$ . Verify that the definitional equalities are valid. Generalize  $\text{uppt}$  to  $\Sigma$ -types, and do the same for  $\Sigma$ -types.

**Solution** The induction principle has type

$$\text{ind}_{A \times B} : \prod_{C : A \times B \rightarrow \mathcal{U}} \left( \prod_{(x:A)} \prod_{(y:B)} C((x, y)) \right) \rightarrow \prod_{z : A \times B} C(z)$$

For a first pass, we can define

$$\text{ind}_{A \times B}(C, g, z) := g(\text{pr}_1 z)(\text{pr}_2 z)$$

However, we have  $g(\text{pr}_1 x)(\text{pr}_2 x) : C((\text{pr}_1 x, \text{pr}_2 x))$ , so the type of this  $\text{ind}_{A \times B}$  is

$$\text{ind}_{A \times B} : \prod_{C : A \times B \rightarrow \mathcal{U}} \left( \prod_{(x:A)} \prod_{(y:B)} C((x, y)) \right) \rightarrow \prod_{z : A \times B} C((\text{pr}_1 z, \text{pr}_2 z))$$

To define  $\text{ind}_{A \times B}$  with the correct type, we need the transport operation from the next chapter. The uniqueness principle for  $A \times B$  is

$$\text{uppt} : \prod_{x : A \times B} ((\text{pr}_1 x, \text{pr}_2 x) =_{A \times B} x)$$

By the transport principle, there is a function

$$(\text{uppt } x)_* : C((\text{pr}_1 x, \text{pr}_2 x)) \rightarrow C(x)$$

so

$$\text{ind}_{A \times B}(C, g, z) := (\text{uppt } z)_*(g(\text{pr}_1 z)(\text{pr}_2 z))$$

has the right type. In Coq we first define  $\text{uppt}$ , then use it with  $\text{transport}$  to give our  $\text{ind}_{A \times B}$ .

**Definition**  $\text{uppt}(x : A \times B) : (\text{fst } x, \text{snd } x) = x$ . **destruct**  $x$ ; **reflexivity**. **Defined**.

**Definition**  $\text{indprd}(C : A \times B \rightarrow \text{Type})(g : \forall (x:A) (y:B), C(x, y))(z : A \times B) :=$

$(\text{uppt } z) \# (g(\text{fst } z)(\text{snd } z))$ .

We now have to show that

$$\text{ind}_{A \times B}(C, g, (a, b)) \equiv g(a)(b)$$

Unfolding the left gives

$$\begin{aligned} \text{ind}_{A \times B}(C, g, (a, b)) &\equiv (\text{uppt } (a, b))_*(g(\text{pr}_1(a, b))(\text{pr}_2(a, b))) \\ &\equiv \text{ind}_{=_{A \times B}}(D, d, (a, b), (a, b), \text{uppt}((a, b)))(g(a)(b)) \\ &\equiv \text{ind}_{=_{A \times B}}(D, d, (a, b), (a, b), \text{refl}_{(a, b)})(g(a)(b)) \\ &\equiv \text{ind}_{=_{A \times B}}(D, d, (a, b), (a, b), \text{refl}_{(a, b)})(g(a)(b)) \\ &\equiv \text{id}_{C((a, b))}(g(a)(b)) \\ &\equiv g(a)(b) \end{aligned}$$

which was to be proved. In Coq, it's as trivial as always:

Goal  $\forall C g a b, \text{indprd } C g (a, b) = g a b. \text{ trivial. Qed.}$

End Exercise3a.

Section Exercise3b.

Context  $\{A : \text{Type}\}.$

Context  $\{B : A \rightarrow \text{Type}\}.$

For  $\Sigma$ -types, we define

$$\text{ind}_{\Sigma(x:A) B(x)} : \prod_{C : (\Sigma(x:A) B(x)) \rightarrow \mathcal{U}} \left( \prod_{(a:A)} \prod_{(b:B(a))} C((a, b)) \right) \rightarrow \prod_{p : \Sigma(x:A) B(x)} C(p)$$

at first pass by

$$\text{ind}_{\Sigma(x:A) B(x)}(C, g, p) \equiv g(\text{pr}_1 p)(\text{pr}_2 p)$$

We encounter a similar problem as before. We need a uniqueness principle for  $\Sigma$ -types, which would be a function

$$\text{upst} : \prod_{p : \Sigma(x:A) B(x)} ((\text{pr}_1 p, \text{pr}_2 p) =_{\Sigma(x:A) B(x)} p)$$

As for product types, we can define

$$\text{upst}((a, b)) \equiv \text{refl}_{(a, b)}$$

which is well-typed, since  $\text{pr}_1(a, b) \equiv a$  and  $\text{pr}_2(a, b) \equiv b$ . Thus, we can write

$$\text{ind}_{\Sigma(x:A) B(x)}(C, g, p) \equiv (\text{upst } p) * (g(\text{pr}_1 p)(\text{pr}_2 p)).$$

and in Coq,

Definition  $\text{upst}(p : \{x:A \& B x\}) : (\text{projT1 } p; \text{projT2 } p) = p. \text{ destruct } p; \text{ reflexivity. Defined.}$

Definition  $\text{indsm}(C : \{x:A \& B x\} \rightarrow \text{Type}) (g : \forall (a:A) (b:B a), C (a; b)) (p : \{x:A \& B x\}) := (\text{upst } p) \# (g (\text{projT1 } p) (\text{projT2 } p)).$

Now we must verify that

$$\text{ind}_{\Sigma(x:A) B(x)}(C, g, (a, b)) \equiv g(a)(b)$$

We have

$$\begin{aligned} \text{ind}_{\Sigma(x:A) B(x)}(C, g, (a, b)) &\equiv (\text{upst } (a, b)) * (g(\text{pr}_1(a, b))(\text{pr}_2(a, b))) \\ &\equiv \text{ind}_{\Sigma(x:A) B(x)}(D, d, (a, b), (a, b), \text{upst } (a, b))(g(a)(b)) \\ &\equiv \text{ind}_{\Sigma(x:A) B(x)}(D, d, (a, b), (a, b), \text{refl}_{(a, b)})(g(a)(b)) \\ &\equiv \text{id}_{C((a, b))}(g(a)(b)) \\ &\equiv g(a)(b) \end{aligned}$$

which Coq finds trivial:

Goal  $\forall C g a b, \text{indsm } C g (a; b) = g a b. \text{ trivial. Qed.}$

**Exercise 1.4 (p. 56)** Assuming as given only the *iterator* for natural numbers

$$\text{iter} : \prod_{C:\mathcal{U}} C \rightarrow (C \rightarrow C) \rightarrow \mathbb{N} \rightarrow C$$

with the defining equations

$$\begin{aligned} \text{iter}(C, c_0, c_s, 0) &\equiv c_0, \\ \text{iter}(C, c_0, c_s, \text{succ}(n)) &\equiv c_s(\text{iter}(C, c_0, c_s, n)), \end{aligned}$$

derive a function having the type of the recursor  $\text{rec}_{\mathbb{N}}$ . Show that the defining equations of the recursor hold propositionally for this function, using the induction principle for  $\mathbb{N}$ .

**Solution** Fix some  $C : \mathcal{U}$ ,  $c_0 : C$ , and  $c_s : \mathbb{N} \rightarrow C \rightarrow C$ .  $\text{iter}(C)$  allows for the  $n$ -fold application of a single function to a single input from  $C$ , whereas  $\text{rec}_{\mathbb{N}}$  allows each application to depend on  $n$ , as well. Since  $n$  just tracks how many applications we've done, we can construct  $n$  on the fly, iterating over elements of  $\mathbb{N} \times C$ . So we will use the iterator

$$\text{iter}_{\mathbb{N} \times C} : \mathbb{N} \times C \rightarrow (\mathbb{N} \times C \rightarrow \mathbb{N} \times C) \rightarrow \mathbb{N} \rightarrow \mathbb{N} \times C$$

to derive a function

$$\Phi : \prod_{C:\mathcal{U}} C \rightarrow (\mathbb{N} \rightarrow C \rightarrow C) \rightarrow \mathbb{N} \rightarrow C$$

which has the same type as  $\text{rec}_{\mathbb{N}}$ .

The first argument of  $\text{iter}_{\mathbb{N} \times C}$  is the starting point, which we'll make  $(0, c_0)$ . The second input takes an element of  $\mathbb{N} \times C$  as an argument and uses  $c_s$  to construct a new element of  $\mathbb{N} \times C$ . We can use the first and second elements of the pair as arguments for  $c_s$ , and we'll use  $\text{succ}$  to advance the second argument, representing the number of steps taken. This gives the function

$$\lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x)) : \mathbb{N} \times C \rightarrow \mathbb{N} \times C$$

for the second input to  $\text{iter}_{\mathbb{N} \times C}$ . The third input is just  $n$ , which we can pass through. Plugging these in gives

$$\text{iter}_{\mathbb{N} \times C}((0, c_0), \lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x)), n) : \mathbb{N} \times C$$

from which we need to extract an element of  $C$ . This is easily done with the projection operator, so we have

$$\Phi_C(c_0, c_s, n) \equiv \text{pr}_2 \left( \text{iter}_{\mathbb{N} \times C}((0, c_0), \lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x)), n) \right)$$

which has the same type as  $\text{rec}_{\mathbb{N}}$ . In Coq we first define the iterator and then our alternative recursor:

```
Fixpoint iter (C : Type) (c0 : C) (cs : C → C) (n : nat) : C :=
  match n with
  | 0 => c0
  | S n' => cs(iter C c0 cs n')
  end.
```

```
Definition Phi (C : Type) (c0 : C) (cs : nat → C → C) (n : nat) :=
  snd (iter (nat × C)
    (0, c0)
    (fun x => (S (fst x), cs (fst x) (snd x))
    n).
```

Now to show that the defining equations hold propositionally for  $\Phi$ . To do this, we must show that

$$\begin{aligned} \Phi(C, c_0, c_s, 0) &=_{\mathcal{C}} c_0 \\ \prod_{n:\mathbb{N}} \left( \Phi_C(c_0, c_s, \text{succ}(n)) &=_{\mathcal{C}} c_s(n, \Phi(C, c_0, c_s, n)) \right) \end{aligned}$$

are inhabited. Since  $C$ ,  $c_0$ , and  $c_s$  are fixed, define for brevity. The first equality is straightforward:

$$\Phi(C, c_0, c_s, 0) \equiv \text{pr}_2 \left( \text{iter}_{\mathbb{N} \times C}((0, c_0), \lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x)), 0) \right) \equiv \text{pr}_2(0, c_0) \equiv c_0$$

and in Coq,

**Goal**  $\forall C \ c0 \ cs, \text{Phi } C \ c0 \ cs \ 0 = c0.$  **trivial.** **Qed.**

So  $\text{refl}_{c_0} : \Phi(C, c_0, c_s, 0) =_{\mathcal{C}} c_0$ . This establishes the first equality. We prove the second by strengthening the induction hypothesis. Define  $\Phi'$  as the argument of  $\text{pr}_2$  in the above definition; i.e., such that  $\Phi = \text{pr}_2 \Phi'$ .

**Definition**  $\text{Phi}' (C : \text{Type}) (c0 : C) (cs : \text{nat} \rightarrow C \rightarrow C) (n : \text{nat}) :=$   
 $\text{iter}(\text{nat} \times C) (0, c0) (\text{fun } x \Rightarrow (\text{S}(\text{fst } x), cs(\text{fst } x)(\text{snd } x))) n.$

We then show that for all  $n : \mathbb{N}$ ,

$$P(n) := (\Phi'(C, c_0, c_s, \text{succ}(n)) =_{\mathcal{C}} (\text{succ}(n), c_s(n, \text{pr}_2 \Phi'(C, c_0, c_s, n)))) .$$

For the base case, consider  $\Phi'(C, c_0, c_s, 0)$ ; we have

$$\begin{aligned} \Phi'(C, c_0, c_s, \text{succ}(0)) &\equiv \text{iter}_{\mathbb{N} \times C}((0, c_0), \lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x)), \text{succ}(0)) \\ &\equiv (\lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x))) \Phi'(C, c_0, c_s, 0) \\ &\equiv (\text{succ}(\text{pr}_1(0, c_0)), c_s(\text{pr}_1(0, c_0), \text{pr}_2 \Phi'(C, c_0, c_s, 0))) \\ &\equiv (\text{succ}(0), c_s(0, \text{pr}_2 \Phi'(C, c_0, c_s, 0))) \end{aligned}$$

For the induction step, suppose that  $n : \mathbb{N}$  and that  $P(n)$  is inhabited. Then

$$\begin{aligned} \Phi'(C, c_0, c_s, \text{succ}(\text{succ}(n))) &\equiv \text{iter}_{\mathbb{N} \times C}((0, c_0), \lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x)), \text{succ}(\text{succ}(n))) \\ &\equiv (\lambda x. (\text{succ}(\text{pr}_1 x), c_s(\text{pr}_1 x, \text{pr}_2 x))) \Phi'(C, c_0, c_s, \text{succ}(n)) \\ &\equiv (\text{succ}(\text{pr}_1 \Phi'(C, c_0, c_s, \text{succ}(n))), \\ &\quad c_s(\text{pr}_1 \Phi'(C, c_0, c_s, \text{succ}(n)), \text{pr}_2 \Phi'(C, c_0, c_s, \text{succ}(n)))) \\ &=_{\mathcal{C}} (\text{succ}(\text{pr}_1(\text{succ}(n), c_s(n, \text{pr}_2 \Phi'(C, c_0, c_s, n)))), \\ &\quad c_s(\text{pr}_1(\text{succ}(n), c_s(n, \text{pr}_2 \Phi'(C, c_0, c_s, n))), \text{pr}_2 \Phi'(C, c_0, c_s, \text{succ}(n)))) \\ &=_{\mathcal{C}} (\text{succ}(\text{succ}(n)), c_s(\text{succ}(n), \text{pr}_2 \Phi'(C, c_0, c_s, \text{succ}(n)))) \end{aligned}$$

Where the step introducing the propositional equality is an application of the indiscernability of identicals as applied to the induction hypothesis. We have thus shown that  $P(n)$  holds for all  $n$ .<sup>footnote{Rather more sketchily than before I lost the first file—redo this?}</sup> Applying  $\text{pr}_2$  to either side gives

$$\Phi(C, c_0, c_s, \text{succ}(n)) \equiv \text{pr}_2 \Phi(C, c_0, c_s, \text{succ}(n)) =_{\mathcal{C}} \text{pr}_2(n, c_s(n, \Phi(C, c_0, c_s, n))) \equiv \text{pr}_2(n, c_s(n, \Phi(C, c_0, c_s, n)))$$

for all  $n$ , meaning that the defining equations hold propositionally. I need to learn more Coq to do this proof in that.

**Exercise 1.5 (p. 56)** Show that if we define  $A + B := \sum_{(x:2)} \text{rec}_2(\mathcal{U}, A, B, x)$ , then we can give a definition of  $\text{ind}_{A+B}$  for which the definitional equalities stated in `\symbol{92}S1.7` hold.

**Solution** Define  $A + B$  as stated. We need to define a function of type

$$\text{ind}'_{A+B} : \prod_{C:(A+B) \rightarrow \mathcal{U}} \left( \prod_{(a:A)} C(\text{inl}(a)) \right) \rightarrow \left( \prod_{(b:B)} C(\text{inr}(b)) \right) \rightarrow \prod_{(x:A+B)} C(x)$$

which means that we also need to define  $\text{inl}' : A \rightarrow A + B$  and  $\text{inr}' : B \rightarrow A + B$ ; these are

$$\text{inl}'(a) \equiv (0_2, a) \quad \text{inr}'(b) \equiv (1_2, b)$$

In Coq, we can use `sigT` to define `copr` as a  $\Sigma$ -type:

**Section** `Exercise5`.

**Context** `{A B : Type}`.

**Definition** `copr` := `{x:Bool & if x then B else A}`.

**Definition** `myinl`  $(a : A) := \text{existT} (\text{fun } x:\text{Bool} \Rightarrow \text{if } x \text{ then } B \text{ else } A) \text{ false } a$ .

**Definition** `myinr`  $(b : B) := \text{existT} (\text{fun } x:\text{Bool} \Rightarrow \text{if } x \text{ then } B \text{ else } A) \text{ true } b$ .

Suppose that  $C : A + B \rightarrow \mathcal{U}$ ,  $g_0 : \prod_{(a:A)} C(\text{inl}'(a))$ ,  $g_1 : \prod_{(b:B)} C(\text{inr}'(b))$ , and  $x : A + B$ ; we're looking to define

$$\text{ind}'_{A+B}(C, g_0, g_1, x)$$

We will use  $\text{ind}_{\sum_{(x:2)} \text{rec}_2(\mathcal{U}, A, B, x)}$ , and for notational convenience will write  $\Phi \equiv \sum_{(x:2)} \text{rec}_2(\mathcal{U}, A, B, x)$ .  $\text{ind}_\Phi$  has signature

$$\text{ind}_\Phi : \prod_{C:(\Phi) \rightarrow \mathcal{U}} \left( \prod_{(x:2)} \prod_{(y:\text{rec}_2(\mathcal{U}, A, B, x))} C((x, y)) \right) \rightarrow \prod_{(p:\Phi)} C(p)$$

So

$$\text{ind}_\Phi(C) : \left( \prod_{(x:2)} \prod_{(y:\text{rec}_2(\mathcal{U}, A, B, x))} C((x, y)) \right) \rightarrow \prod_{(p:\Phi)} C(p)$$

To obtain something of type  $\prod_{(x:2)} \prod_{(y:\text{rec}_2(\mathcal{U}, A, B, x))} C((x, y))$  we'll have to use  $\text{ind}_2$ . In particular, for  $B(x) \equiv \prod_{(y:\text{rec}_2(\mathcal{U}, A, B, x))} C((x, y))$  we have

$$\text{ind}_2(B) : B(0_2) \rightarrow B(1_2) \rightarrow \prod_{x:2} B(x)$$

along with

$$g_0 : \prod_{a:A} C(\text{inl}'(a)) \equiv \prod_{a:\text{rec}_2(\mathcal{U}, A, B, 0_2)} C((0_2, a)) \equiv B(0_2)$$

and similarly for  $g_1$ . So

$$\text{ind}_2(B, g_0, g_1) : \prod_{(x:2)} \prod_{(y:\text{rec}_2(\mathcal{U}, A, B, x))} C((x, y))$$

which is just what we needed for  $\text{ind}_\Phi$ . So we define

$$\text{ind}'_{A+B}(C, g_0, g_1, x) \equiv \text{ind}_{\sum_{(x:2)} \text{rec}_2(\mathcal{U}, A, B, x)} \left( C, \text{ind}_2 \left( \prod_{y:\text{rec}_2(\mathcal{U}, A, B, x)} C((x, y)), g_0, g_1 \right), x \right)$$

and, in Coq, we use `sigT_rect`, which is the built-in  $\text{ind}_{\sum_{(x:A)} B(x)}$ :

**Definition** `indcoprd`  $(C : \text{coprd} \rightarrow \text{Type}) (g0 : \forall a : A, C(\text{myinl } a)) (g1 : \forall b : B, C(\text{myinr } b)) (x : \text{coprd})$

$\text{:=}$   
 $\text{sigT\_rect } C \text{ (Bool\_rect (fun } x:\text{Bool} \Rightarrow \forall (y : \text{if } x \text{ then } B \text{ else } A), C(x; y)) g1 g0) x.$

Now we must show that the definitional equalities

$$\begin{aligned} \text{ind}'_{A+B}(C, g_0, g_1, \text{inl}'(a)) &\equiv g_0(a) \\ \text{ind}'_{A+B}(C, g_0, g_1, \text{inr}'(b)) &\equiv g_1(b) \end{aligned}$$

hold. For the first, we have

$$\begin{aligned} \text{ind}'_{A+B}(C, g_0, g_1, \text{inl}'(a)) &\equiv \text{ind}'_{A+B}(C, g_0, g_1, (0_2, a)) \\ &\equiv \text{ind}_{\Sigma_{(x:2)} \text{rec}_2(\mathcal{U}, A, B, x)} \left( C, \text{ind}_2 \left( \prod_{y:\text{rec}_2(\mathcal{U}, A, B, x)} C((x, y)), g_0, g_1 \right), (0_2, a) \right) \\ &\equiv \text{ind}_2 \left( \prod_{y:\text{rec}_2(\mathcal{U}, A, B, x)} C((x, y)), g_0, g_1, 0_2 \right) (a) \\ &\equiv g_0(a) \end{aligned}$$

and for the second,

$$\begin{aligned} \text{ind}'_{A+B}(C, g_0, g_1, \text{inr}'(b)) &\equiv \text{ind}'_{A+B}(C, g_0, g_1, (1_2, b)) \\ &\equiv \text{ind}_{\Sigma_{(x:2)} \text{rec}_2(\mathcal{U}, A, B, x)} \left( C, \text{ind}_2 \left( \prod_{y:\text{rec}_2(\mathcal{U}, A, B, x)} C((x, y)), g_0, g_1 \right), (1_2, b) \right) \\ &\equiv \text{ind}_2 \left( \prod_{y:\text{rec}_2(\mathcal{U}, A, B, x)} C((x, y)), g_0, g_1, 1_2 \right) (b) \\ &\equiv g_1(b) \end{aligned}$$

Trivial calculations, as Coq can attest:

$\text{Goal } \forall C g_0 g_1 a, \text{indcoprd } C g_0 g_1 (\text{myinl } a) = g_0 a. \text{ trivial. Qed.}$

$\text{Goal } \forall C g_0 g_1 b, \text{indcoprd } C g_0 g_1 (\text{myinr } b) = g_1 b. \text{ trivial. Qed.}$

**Exercise 1.6 (p. 56)** Show that if we define  $A \times B := \prod_{(x:2)} \text{rec}_2(\mathcal{U}, A, B, x)$ , then we can give a definition of  $\text{ind}_{A \times B}$  for which the definitional equalities stated in \symbol{92}S1.5 hold propositionally (i.e.  $\sim$  using equality types).

**Solution** Define

$$A \times B := \prod_{x:2} \text{rec}_2(\mathcal{U}, A, B, x)$$

Supposing that  $a : A$  and  $b : B$ , we have an element  $(a, b) : A \times B$  given by

$$(a, b) := \text{ind}_2(\text{rec}_2(\mathcal{U}, A, B), a, b)$$

Defining this type and constructor in Coq, we have

**Definition**  $\text{prd} := \forall x:\text{Bool}, \text{if } x \text{ then } B \text{ else } A.$

**Definition**  $\text{mypair } (a:A) (b:B) := \text{Bool\_rect (fun } x:\text{Bool} \Rightarrow \text{if } x \text{ then } B \text{ else } A) b a.$



An induction principle for  $A \times B$  will, given a family  $C : A \times B \rightarrow \mathcal{U}$  and a function

$$g : \prod_{(x:A)} \prod_{(y:B)} C((x,y)),$$

give a function  $f : \prod_{(x:A \times B)} C(x)$  defined by

$$f((x,y)) \equiv g(x)(y)$$

So suppose that we have such a  $C$  and  $g$ . Writing things out in terms of the definitions, we have

$$\begin{aligned} C &: \left( \prod_{x:2} \text{rec}_2(\mathcal{U}, A, B, x) \right) \rightarrow \mathcal{U} \\ g &: \prod_{(x:A)} \prod_{(y:B)} C(\text{ind}_2(\text{rec}_2(\mathcal{U}, A, B), x, y)) \end{aligned}$$

We can define projections by

$$\text{pr}_1 p \equiv p(0_2) \quad \text{pr}_2 p \equiv p(1_2)$$

Since  $p$  is an element of a dependent type, we have

$$\begin{aligned} p(0_2) &: \text{rec}_2(\mathcal{U}, A, B, 0_2) \equiv A \\ p(1_2) &: \text{rec}_2(\mathcal{U}, A, B, 1_2) \equiv B \end{aligned}$$

**Definition** `myfst` ( $p : \text{prd}$ ) :=  $p \text{ false}$ .

**Definition** `mysnd` ( $p : \text{prd}$ ) :=  $p \text{ true}$ .

Then we have

$$\begin{aligned} g(\text{pr}_1 p)(\text{pr}_2 p) &: C(\text{ind}_2(\text{rec}_2(\mathcal{U}, A, B), (\text{pr}_1 p), (\text{pr}_2 p))) \\ &\equiv C(\text{ind}_2(\text{rec}_2(\mathcal{U}, A, B), (\text{pr}_1 p), (\text{pr}_2 p))) \\ &\equiv C((p(0_2), p(1_2))) \end{aligned}$$

So we have defined a function

$$f' : \prod_{p:A \times B} C((p(0_2), p(1_2)))$$

But we need one of the type

$$f : \prod_{p:A \times B} C(p)$$

To solve this problem, we need to appeal to function extensionality from \S2.9. This implies that there is a function

$$\text{funext} : \prod_{f,g:A \times B} \left( \prod_{x:2} (f(x) =_{\text{rec}_2(\mathcal{U}, A, B, x)} g(x)) \right) \rightarrow (f =_{A \times B} g)$$

So, consider

$$\text{funext}(p, (\text{pr}_1 p, \text{pr}_2 p)) : \left( \prod_{x:2} (p(x) =_{\text{rec}_2(\mathcal{U}, A, B, x)} (p(0_2), p(1_2))(x)) \right) \rightarrow (p =_{A \times B} (p(0_2), p(1_2)))$$

We just need to show that the antecedent is inhabited, which we can do with  $\text{ind}_2$ . So consider the family

$$\begin{aligned} E &:= \lambda(x : \mathbf{2}). (p(x) =_{\text{rec}_2(\mathcal{U}, A, B, x)} (p(0_2), p(1_2))(x))) \\ &\equiv \lambda(x : \mathbf{2}). (p(x) =_{\text{rec}_2(\mathcal{U}, A, B, x)} \text{ind}_2(\text{rec}_2(\mathcal{U}, A, B), p(0_2), p(1_2), x))) \end{aligned}$$

We have

$$\begin{aligned} E(0_2) &\equiv (p(0_2) =_{\text{rec}_2(\mathcal{U}, A, B, 0_2)} \text{ind}_2(\text{rec}_2(\mathcal{U}, A, B), p(0_2), p(1_2), 0_2)) \\ &\equiv (p(0_2) =_{\text{rec}_2(\mathcal{U}, A, B, 0_2)} p(0_2)) \end{aligned}$$

Thus  $\text{refl}_{p(0_2)} : E(0_2)$ . The same argument goes through to show that  $\text{refl}_{p(1_2)} : E(1_2)$ . This means that

$$h := \text{ind}_2(E, \text{refl}_{p(0_2)}, \text{refl}_{p(1_2)}) : \prod_{x:\mathbf{2}} (p(x) =_{\text{rec}_2(\mathcal{U}, A, B, x)} (p(0_2), p(1_2)))$$

and thus

$$\text{funext}(p, (\text{pr}_1 p, \text{pr}_2 p), h) : p =_{A \times B} (p(0_2), p(1_2))$$

This allows us to define the uniqueness principle for products:

$$\text{uppt} := \lambda p. \text{funext}(p, (\text{pr}_1 p, \text{pr}_2 p), h) : \prod_{p:A \times B} p =_{A \times B} (\text{pr}_1 p, \text{pr}_2 p)$$

so we can define  $\text{ind}_{A \times B}$  as before:

$$\text{ind}_{A \times B}(C, g, p) := (\text{uppt } p)_*(g(\text{pr}_1 p)(\text{pr}_2 p))$$

In Coq we can repeat this construction using `Funext`.

**Context** `{Funext}`.

**Definition** `myuppt (p : prd) : mypair (myfst p) (mysnd p) = p`.

`apply path_forall`.

`unfold pointwise_paths; apply Bool_rect; reflexivity`.

**Defined**.

**Definition** `indprd' (C : prd → Type) (g : ∀ (x:A) (y:B), C (mypair x y)) (z : prd) := (myuppt z) # (g (myfst z) (mysnd z))`.

Now, we must show that the definitional equality holds propositionally. That is, we must show that the type

$$\text{ind}_{A \times B}(C, g, (a, b)) =_{C((a, b))} g(a)(b)$$

is inhabited. Unfolding the left hand side gives

$$\begin{aligned} \text{ind}_{A \times B}(C, g, (a, b)) &\equiv (\text{uppt } (a, b))_*(g(\text{pr}_1(a, b))(\text{pr}_2(a, b))) \\ &\equiv \text{ind}_{C((a, b))}(D, d, (a, b), (a, b), \text{uppt } (a, b))(g(a)(b)) \end{aligned}$$

**Exercise 1.7 (p. 56)** Give an alternative derivation of  $\text{ind}'_{=A}$  from  $\text{ind}_{=A}$  which avoids the use of universes.

**Exercise 1.8 (p. 56)** Define multiplication and exponentiation using  $\text{rec}_{\mathbb{N}}$ . Verify that  $(\mathbb{N}, +, 0, \times, 1)$  is a semiring using only  $\text{ind}_{\mathbb{N}}$ .

**Solution** For multiplication, we need to construct a function  $\text{mult} : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$ . Defined with pattern-matching, we would have

$$\begin{aligned}\text{mult}(0, m) &::= 0 \\ \text{mult}(\text{succ}(n), m) &::= m + \text{mult}(n, m)\end{aligned}$$

so in terms of  $\text{rec}_{\mathbb{N}}$  we have

$$\text{mult} ::= \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \lambda n. 0, \lambda n. \lambda g. \lambda m. \text{add}(m, g(m)))$$

For exponentiation, we have the function  $\text{exp} : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$ , with the intention that  $\text{exp}(e, b) = b^e$ . In terms of pattern matching,

$$\begin{aligned}\text{exp}(0, b) &::= 1 \\ \text{exp}(\text{succ}(e), b) &::= \text{mult}(b, \text{exp}(e, b))\end{aligned}$$

or, in terms of  $\text{rec}_{\mathbb{N}}$ ,

$$\text{exp} ::= \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \lambda n. 1, \lambda n. \lambda g. \lambda m. \text{mult}(m, g(m)))$$

In Coq, we can define these by

```
Fixpoint mult (n m : nat) :=
  match n with
  | 0 => 0
  | S n' => m + (mult n' m)
  end.

Fixpoint myexp (e b : nat) :=
  match e with
  | 0 => S 0
  | S e' => mult b (myexp e' b)
  end.
```

To verify that  $(\mathbb{N}, +, 0, \times, 1)$  is a semiring, we need stuff from Chapter 2.

**Exercise 1.9 (p. 56)** Define the type family  $\text{Fin} : \mathbb{N} \rightarrow \mathcal{U}$  mentioned at the end of \S1.3, and the dependent function  $\text{fmax} : \prod_{(n:\mathbb{N})} \text{Fin}(n+1)$  mentioned in \S1.4.

**Solution**  $\text{Fin}(n)$  is a type with exactly  $n$  elements. Essentially, we want to recreate  $\mathbb{N}$  using types; so we will replace 0 with  $\mathbf{0}$  and  $\text{succ}$  with a coproduct. So we define  $\text{Fin}$  recursively:

$$\begin{aligned}\text{Fin}(\mathbf{0}) &::= \mathbf{0} \\ \text{Fin}(\text{succ}(n)) &::= \text{Fin}(n) + \mathbf{1}\end{aligned}$$

or, equivalently,

$$\text{Fin} ::= \text{rec}_{\mathbb{N}}(\mathcal{U}, \mathbf{0}, \lambda C. C + \mathbf{1})$$

In Coq,

```
Fixpoint Fin (n : nat) : Type :=
  match n with
  | 0 => Empty
  | S n' => Unit + (Fin n')
  end.
```

**Exercise 1.10 (p. 56)** Show that the Ackermann function  $\text{ack} : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$ , satisfying the following equations

$$\begin{aligned}\text{ack}(0, n) &\equiv \text{succ}(n), \\ \text{ack}(\text{succ}(m), 0) &\equiv \text{ack}(m, 1), \\ \text{ack}(\text{succ}(m), \text{succ}(n)) &\equiv \text{ack}(m, \text{ack}(\text{succ}(m), n)),\end{aligned}$$

is definable using only  $\text{rec}_{\mathbb{N}}$ .

**Solution** Define

$$\text{ack} := \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \text{succ}, \lambda m. \lambda r. \text{rec}_{\mathbb{N}}(\mathbb{N}, r(1), \lambda n. \lambda s. r(s(r, n))))$$

To show that the defining equalities hold, we'll suppress the first argument of  $\text{rec}_{\mathbb{N}}$  for clarity. For the first we have

$$\text{ack}(0, n) \equiv \text{rec}_{\mathbb{N}}(\text{succ}, \lambda m. \lambda r. \text{rec}_{\mathbb{N}}(r(1), \lambda n. \lambda s. r(s(r, n))), 0)(n) \equiv \text{succ}(n)$$

For the second,

$$\begin{aligned}\text{ack}(\text{succ}(m), 0) &\equiv \text{rec}_{\mathbb{N}}(\text{succ}, \lambda m. \lambda r. \text{rec}_{\mathbb{N}}(r(1), \lambda n. \lambda s. r(s(r, n))), \text{succ}(m))(0) \\ &\equiv ((\lambda r. \text{rec}_{\mathbb{N}}(r(1), \lambda n. \lambda s. r(s(r, n)))) \text{rec}_{\mathbb{N}}(\text{succ}, \lambda m. \lambda r. \text{rec}_{\mathbb{N}}(r(1), \lambda n. \lambda s. r(s(r, n))), m))(0) \\ &\equiv ((\lambda r. \text{rec}_{\mathbb{N}}(r(1), \lambda n. \lambda s. r(s(r, n)))) \text{ack}(m, -))(0) \\ &\equiv \text{rec}_{\mathbb{N}}(\text{ack}(m, 1), \lambda n. \lambda s. \text{ack}(m, s(\text{ack}(m, -), n)), 0) \\ &\equiv \text{ack}(m, 1)\end{aligned}$$

Finally, using the first few steps of this second calculation again,

$$\begin{aligned}\text{ack}(\text{succ}(m), \text{succ}(n)) &\equiv \text{rec}_{\mathbb{N}}(\text{succ}, \lambda m. \lambda r. \text{rec}_{\mathbb{N}}(r(1), \lambda n. \lambda s. r(s(r, n))), \text{succ}(m))(\text{succ}(n)) \\ &\equiv \text{rec}_{\mathbb{N}}(\text{ack}(m, 1), \lambda n. \lambda s. \text{ack}(m, s(\text{ack}(m, -), n)), \text{succ}(n)) \\ &\equiv (\lambda s. \text{ack}(m, s(\text{ack}(m, -), n))) \text{rec}_{\mathbb{N}}(\text{ack}(m, 1), \lambda n. \lambda s. \text{ack}(m, s(\text{ack}(m, -), n)), n)\end{aligned}$$

**Exercise 1.11 (p. 56)** Show that for any type  $A$ , we have  $\neg\neg\neg A \rightarrow \neg A$ .

**Solution** Suppose that  $\neg\neg\neg A$  and  $A$ . Supposing further that  $\neg A$ , we get a contradiction with the second assumption, so  $\neg\neg A$ . But this contradicts the first assumption that  $\neg\neg\neg A$ , so  $\neg A$ . Discharging the first assumption gives  $\neg\neg\neg A \rightarrow \neg A$ .

In type-theoretic terms, the first assumption is  $x : ((A \rightarrow 0) \rightarrow 0) \rightarrow 0$ , and the second is  $a : A$ . If we further assume that  $h : A \rightarrow 0$ , then  $h(a) : 0$ , so discharging the  $h$  gives

$$\lambda(h : A \rightarrow 0). h(a) : (A \rightarrow 0) \rightarrow 0$$

But then we have

$$x(\lambda(h : A \rightarrow 0). h(a)) : 0$$

so discharging the  $a$  gives

$$\lambda(a : A). x(\lambda(h : A \rightarrow 0). h(a)) : A \rightarrow 0$$

And discharging the first assumption gives

$$\lambda(x : ((A \rightarrow 0) \rightarrow 0) \rightarrow 0). \lambda(a : A). x(\lambda(h : A \rightarrow 0). h(a)) : (((A \rightarrow 0) \rightarrow 0) \rightarrow 0) \rightarrow (A \rightarrow 0)$$

This is automatic for Coq, though not trivial

`Goal  $\forall A, \neg \neg \neg A \rightarrow \neg A$ . auto. Qed.`

We can get a proof out of Coq by printing this Goal. It returns

`fun (A : Type) (X :  $\neg \neg \neg A$ ) (X0 : A)  $\Rightarrow$  X (fun X1 : A  $\rightarrow$  Empty  $\Rightarrow$  X1 X0)  
:  $\forall A : \text{Type}, \neg \neg \neg A \rightarrow \neg A$`

which is just the function obtained by hand.

**Exercise 1.12 (p. 56)** Using the propositions as types interpretation, derive the following tautologies. \begin{enumerate} \item If  $A$ , then (if  $B$  then  $A$ ). \item If  $A$ , then not (not  $A$ ). \item If (not  $A$  or not  $B$ ), then not ( $A$  and  $B$ ). \end{enumerate}

**Solution** (i) Suppose that  $A$  and  $B$ ; then  $A$ . Discharging the assumptions,  $A \rightarrow B \rightarrow A$ . That is, we have

$$\lambda(a : A). \lambda(b : B). a : A \rightarrow B \rightarrow A$$

and in Coq,

`Goal  $A \rightarrow B \rightarrow A$ . trivial. Qed.`

(ii) Suppose that  $A$ . Supposing further that  $\neg A$  gives a contradiction, so  $\neg \neg A$ . That is,

$$\lambda(a : A). \lambda(f : A \rightarrow 0). f(a) : A \rightarrow (A \rightarrow 0) \rightarrow 0$$

`Goal  $A \rightarrow \neg \neg A$ . auto. Qed.`

(iii) Finally, suppose  $\neg A \vee \neg B$ . Supposing further that  $A \wedge B$  means that  $A$  and that  $B$ . There are two cases. If  $\neg A$ , then we have a contradiction; but also if  $\neg B$  we have a contradiction. Thus  $\neg(A \wedge B)$ .

Type-theoretically, we assume that  $x : (A \rightarrow 0) + (B \rightarrow 0)$  and  $z : A \times B$ . Conjunction elimination gives  $\text{pr}_1 z : A$  and  $\text{pr}_2 z : B$ . We can now perform a case analysis. Suppose that  $x_A : A \rightarrow 0$ ; then  $x_A(\text{pr}_1 z) : 0$ , a contradiction; if instead  $x_B : B \rightarrow 0$ , then  $x_B(\text{pr}_2 z) : 0$ . By the recursion principle for the coproduct, then,

$$f(z) \equiv \text{rec}_{(A \rightarrow 0) + (B \rightarrow 0)}(0, \lambda x. x(\text{pr}_1 z), \lambda x. x(\text{pr}_2 z)) : (A \rightarrow 0) + (B \rightarrow 0) \rightarrow 0$$

Discharging the assumption that  $A \times B$  is inhabited, we have

$$f : A \times B \rightarrow (A \rightarrow 0) + (B \rightarrow 0) \rightarrow 0$$

So

$$\text{swap}(A \times B, (A \rightarrow 0) + (B \rightarrow 0), 0, f) : (A \rightarrow 0) + (B \rightarrow 0) \rightarrow A \times B \rightarrow 0$$

`Goal  $(\neg A + \neg B) \rightarrow \neg (A \times B)$ .`

`Proof.`

`unfold not.  
intros H X.  
apply H.  
destruct X.  
constructor.  
exact a.`

`Qed.`

**Exercise 1.13 (p. 57)** Using propositions-as-types, derive the double negation of the principle of excluded middle, i.e.  $\neg\neg(P \vee \neg P)$ .

**Solution** Suppose that  $\neg(P \vee \neg P)$ . Then, assuming  $P$ , we have  $P \vee \neg P$  by disjunction introduction, a contradiction. Hence  $\neg P$ . But disjunction introduction on this again gives  $P \vee \neg P$ , a contradiction. So we must reject the remaining assumption, giving  $\neg\neg(P \vee \neg P)$ .

In type-theoretic terms, the initial assumption is that  $g : P + (P \rightarrow \mathbf{0}) \rightarrow \mathbf{0}$ . Assuming  $p : P$ , disjunction introduction results in  $\text{inl}(p) : P + (P \rightarrow \mathbf{0})$ . But then  $g(\text{inl}(p)) : \mathbf{0}$ , so we discharge the assumption of  $p : P$  to get

$$\lambda(p : P). g(\text{inl}(p)) : P \rightarrow \mathbf{0}$$

Applying disjunction introduction again leads to contradiction, as

$$g(\text{inr}(\lambda(p : P). g(\text{inl}(p)))) : \mathbf{0}$$

So we must reject the assumption of  $\neg(P \vee \neg P)$ , giving the result:

$$\lambda(g : P + (P \rightarrow \mathbf{0}) \rightarrow \mathbf{0}). g(\text{inr}(\lambda(p : P). g(\text{inl}(p)))) : (P + (P \rightarrow \mathbf{0}) \rightarrow \mathbf{0}) \rightarrow \mathbf{0}$$

Finally, in Coq,

**Goal**  $\neg\neg(P + \neg P)$ .

**Proof.**

unfold **not**.

intro  $H$ .

apply  $H$ .

right.

intro  $p$ .

apply  $H$ .

left.

apply  $p$ .

**Qed.**

**Exercise 1.14 (p. 57)** Why do the induction principles for identity types not allow us to construct a function  $f : \prod_{(x:A)} \prod_{(p:x=x)} (p = \text{refl}_x)$  with the defining equation

$$f(x, \text{refl}_x) :\equiv \text{refl}_{\text{refl}_x} \quad ?$$

**Exercise 1.15 (p. 57)** Show that indiscernability of identicals follows from path induction.