
Document Image Analysis with Leptonica

Phototech EDU, 4 April 07

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Introduction

- Image analysis in a course in photographic technology?
- Image analysis in the last century.
- Hofstadter's 100 milliseconds and image processing.
- Trade-off between speed and accuracy.
- Two examples of scaling
 - Linear interpolation on color
 - Rank order cascade of 2x reductions on binary
- Why *document* image analysis?
 - Easier than natural scenes
 - Useful: conversion from paper to digital
 - Interesting: input is not well-defined

- Introduction

- Roadmap**

- Outline of talk

Goals _____

Approach _____

Primary tools _____

Example Applications _____

Roadmap

Outline of talk

■ Goals

- Page information extraction
- Restoration and/or appearance improvement
- Compression

■ Approach

- Nonlinear/Shape and Texture/Use the image

■ Primary tools

- Image morphology
- Affine transforms
- Counting and components
- Seedfill
- Leptonica library

■ Example applications

- Page image segmentation
- Background cleaning of bad photocopy
- Skew, keystoning and baselines
- Unsupervised shape classification
- Color segmentation/quantization

- Introduction

Roadmap

Goals

- Page information extraction
- Appearance improvement
- Compression

Approach

Primary tools

Example Applications

Goals

Page information extraction

- Global information

- Skew and text orientation

- Non-affine warping (e.g., projective)

- Components on the page

- Text, image, rules, ...

- What are they?

- Where are they located?

- What is the hierarchical arrangement?

- What are the equivalence classes?

- Photometry

- What is the background color?

- Are there color images?

Restoration and/or appearance improvement

■ Geometrical

Image deskew

Global dewarping

■ Color mapping

Set background to uniform color

Compensate for lighting variations

Map text to increase contrast; preserve antialiasing

Map images for larger dynamic range

Detect and remove color moire

■ Other

Remove noise from binary scans

Remove bleedthrough

Scale to gray for display

Interpolated upscaling for print

Quantization for compression

Compression

■ Artifacts

JPEG 8x8 block noise near text

Color moire: alias on halftones and gravure

Binary thresholding

 Increases contrast: bad for images

 Removes antialias: bad for text at low resolution

■ Avoidance techniques

Uniform background

Quantization of text

Capture at higher resolution

Demosaic to gray if no color

Mixed raster output

● Introduction

Roadmap

Goals

Approach

● Approach

Primary tools

Example Applications

Approach

Approach

- Nonlinear: decisions made on each pixel
 - Linear operations don't make decisions
 - Implicit labels assigned to pixels
 - Bottom-up aggregation
- Extraction of shape and texture
 - Shape at one scale is texture at another
 - Work at appropriate scale
 - Use morphology to sieve
 - Use morphology and rank reductions to modify texture
 - Use seedfill for robust segmentation and labelling
- Image as primary representation
 - All the information is there – don't lose it
 - Use image processing to do (nearly) everything
 - Complex, difficult and limiting to use other representations
 - Simple, easy and general to visualize imaging methods

● Introduction

Roadmap

Goals

Approach

Primary tools

- Image morphology (1)
- Image morphology (2)
- Image morphology (3)
- Affine transforms (1)
- Affine transforms (2)
- Counting and components
- Seedfill
- Leptonica library (1)
- Leptonica library (2)
- Leptonica library (3)

Example Applications

Primary tools

Image morphology (1)

■ References

www.leptonica.org/binary-morphology.html

www.leptonica.org/papers/morphdefs.pdf

■ What is it?

Method for extracting shape and texture

Image processing operations: dilation and erosion

Analogy with convolution

Nonlinear: special case of rank order filters

Dilation is MAX, Erosion is MIN

Kernel is Sel ("structuring element")

Hits, misses, don't-cares, origin

Opening and closing are composite operations

idempotent; independent of origin

Dualities

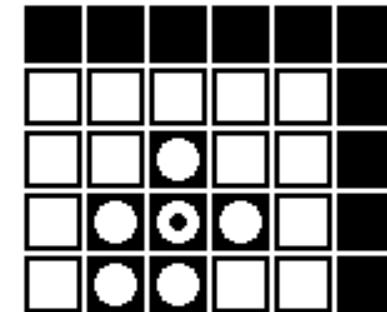
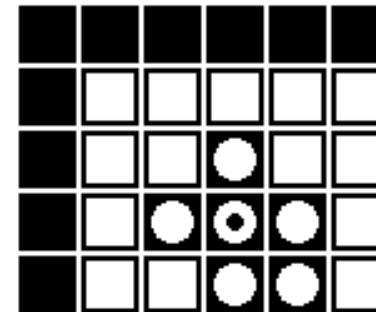
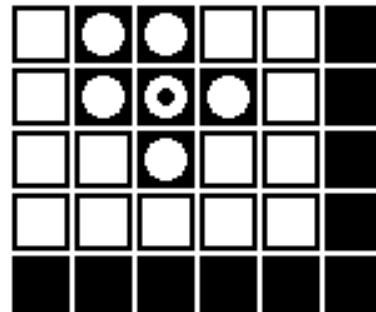
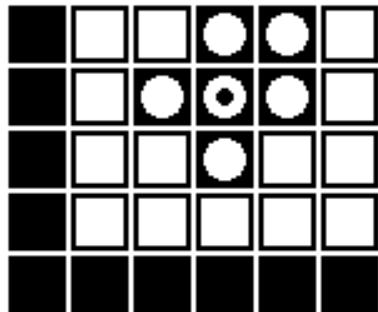
Hit-miss operation is general pattern match

Image morphology (2)

- Historical

- Invented in France in the 60s
 - Very slow adoption in the US

- Example of hit-miss Sels



- These are used to identify character ascenders and descenders

Image morphology (3)

- Implementation through rasterop

- Always use packed images and full word operations

- Conceptual: test Sel at each point on src

- Actual: let Sel direct full image rasterops

- Erosion: copy first; then AND

- Dilation: OR each hit

- Efficiency for brick Sels

- Separable in x and y

- Composable as sequence at different scales

- Implementation through dwa (dest word accumulation)

- Reference: www.leptonica.org/papers/binmorph.pdf

- Auto-gen'd code

- Unrolled destination word loop

- typically 3-4x faster than rasterop

- Both can be invoked for brick Sels with an interpreter.

Affine transforms (1)

- Translation: rasterop

- Shear: rasterop

- Rotation

- Reference: www.leptonica.org/rotation.html

- By rasterop: 2 shear and 3 shear

- By area mapping (linear interpolation)

- Scaling

- Reference: www.leptonica.org/scaling.html

- Useful for many things

- Rendering: interpolation up; antialias down

- Combining with depth change for rendering

- Choosing scale at which to work

- Combining morphology with subsampling: texture filtering

Affine transforms (2)

■ Scaling types

Binary to gray (downscale)

example: display high res binary on screen as grayscale

Gray to binary (upscale)

example: convert to high res binary for print, display

Gray to gray

Binary to binary

■ Binary to binary: rank order 2x cascade

Generalization of morphology + subsampling

Useful for texture filtering

Fast word parallel operation

Rank = 1 (1 or more are fg) solidifies fg

Rank = 4 (all 4 are fg) erodes fg

Counting and components

- Fg pixels in 1 bpp images

- Test for *any* fg pixels

- Sum pixels on raster scanlines

- Use for determining skew

- Connected components in 1 bpp images

- Use for labeling components

- Use for adaptive thresholding; e.g., word segmentation

- Histograms in 8 bpp images

- Attach tentative labels (text, image)

- Generate 1 bpp masks

Seedfill

- Use to label connected components
 - Remove components sequentially
 - Optionally save component bitmap
- Requires seed and mask images
 - Fill into seed; clip to mask
- Slow, parallel, morphological method
 - Iterate with 3x3 brick Sel for 8-c.c. fill
 - Number of iterations depends on component size
- Fast, sequential, raster/anti-raster fill
 - Use for all full-image seedfill
 - Typically requires several pairs of traverses
 - Number of iterations is independent of component size
- Grayscale version exists
 - Fast, sequential, raster/anti-raster fill
 - Use for analyzing peaks

Leptonica library (1)

- Lightweight (efficient) C library

- Mostly low-level imaging functions

- Written in 2001 - 2003; maintained to present

- Works with both endians

- About 20 structs, 1000 functions

- Open source

- Most parts have been extensively tested

- Tailored for document image analysis

- The image is the primary object

- Available at:

- www.leptonica.org*

- code.google.com/p/leptonica*

- debian packages: libleptonica, etc.*

Leptonica library (2)

■ Basic infrastructure

rasterop (depth independent)

affine transforms

- scaling, translation, rotation, shear

- on all depths; often with or without colormaps

binary morphology (two different implementations)

grayscale morphology and convolution

connected components and sequential seedfill

transforms combining changes in scale and pixel depth

pixelwise masking, blending, enhancement, arith ops, etc.

I/O for jpeg, png, tiff, pnm, bmp; O for PostScript

lots more

Leptonica library (3)

- Various "applications"

- octcube-based color quantization (incl. dithering)

- skew determination of doc images

- segmentation of page images with mixed text/images

- jbig2 unsupervised classifier

- border representations of bitmaps; raster conversion

- PostScript wrapping of images (levels 1,2)

- playing around (e.g., least-cost paths in images)

- Introduction

Roadmap

Goals

Approach

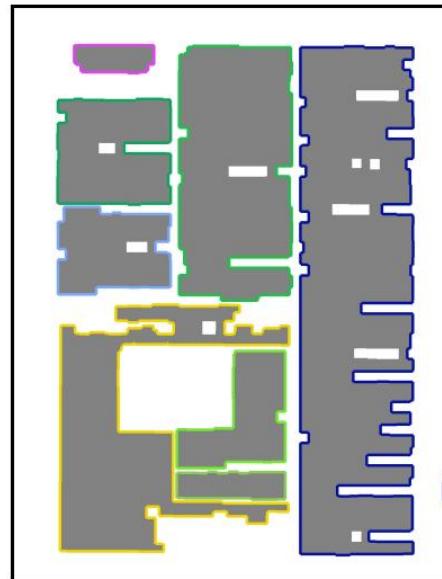
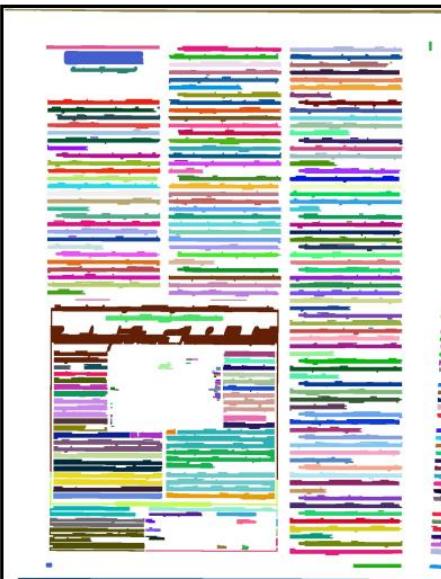
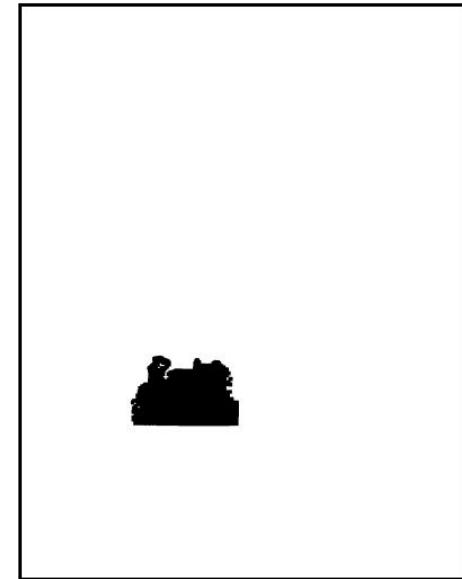
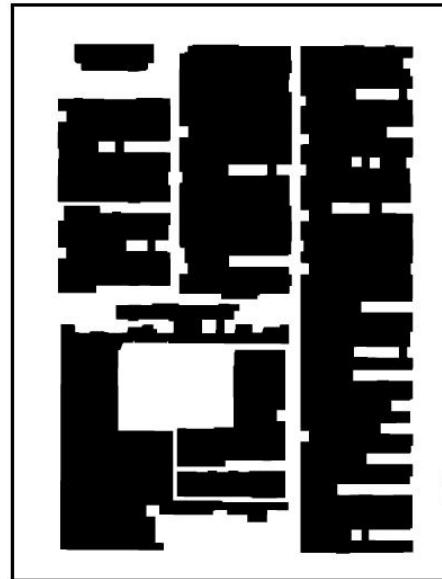
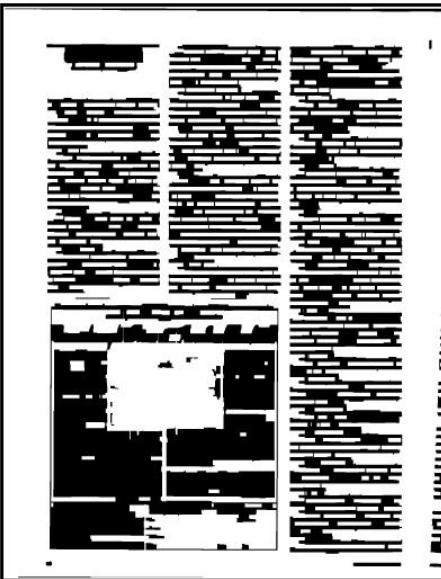
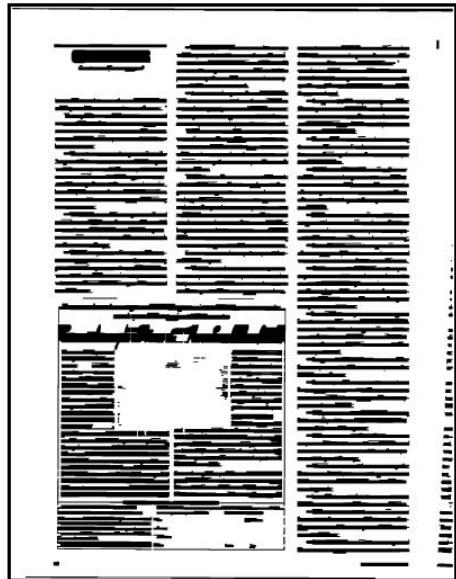
Primary tools

Example Applications

- Page segmentation (1)
- Page segmentation (2)
- Page segmentation (3)
- Page segmentation (4)
- Page segmentation (5)
- Page segmentation (6)
- Page segmentation (7)
- Page segmentation (8)
- Page segmentation (9)
- Page segmentation (10)
- Page segmentation (11)
- Page segmentation (12)
- Cleaning of bad photocopy (1)
- Cleaning of bad photocopy (2)
- Cleaning of bad photocopy (3)
- Deskew by differential line sums (1)
- Deskew by differential line sums (2)
- Deskew by differential line sums (3)
- Keystoning and baselines (1)
- Keystoning and baselines (2)
- Document Image Analysis with Leptonica
- Keystoning and baselines (3)
- Keystoning and baselines (4)

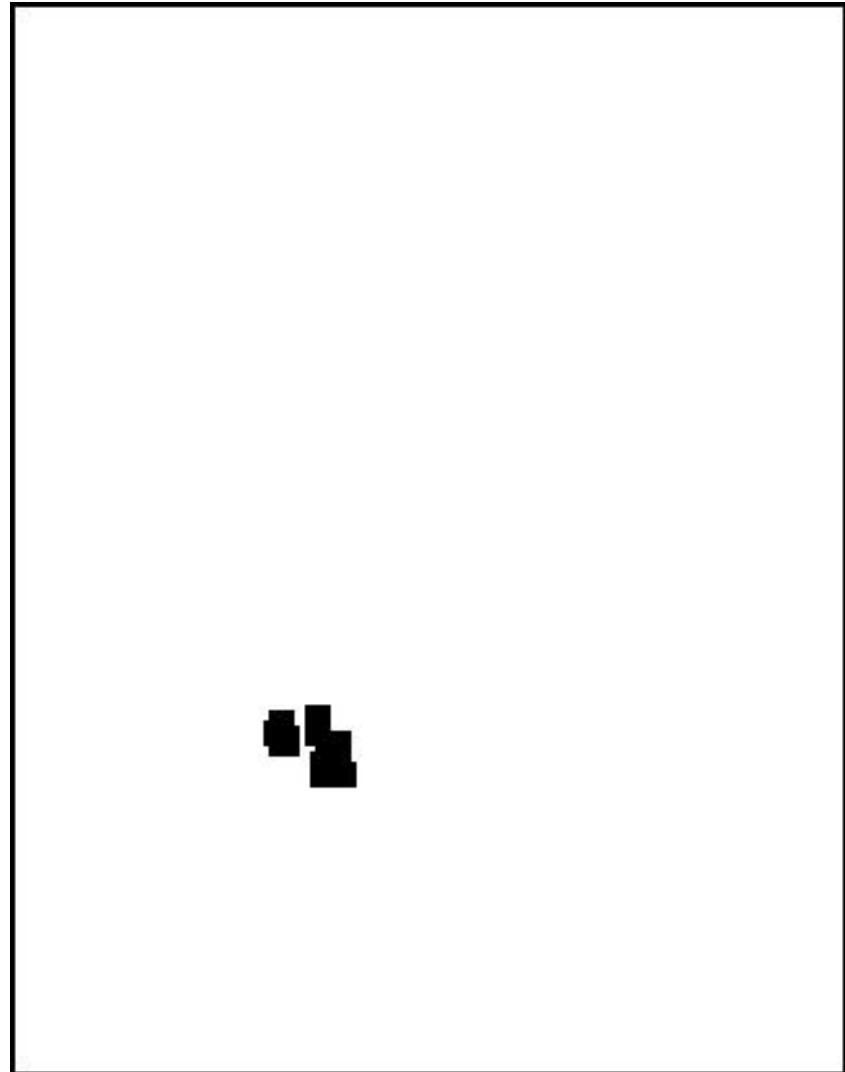
Example Applications

Page segmentation (3)



Page segmentation (4)

- `pixt1 =
pixReduceRankBinaryCascade
(pixs, 4, 4, 3, 0);`
- `pixt2 = pixOpenBrick
(NULL, pixt1, 5, 5);`
- `pixhs = pixExpandBinary
(pixt2, 8);`



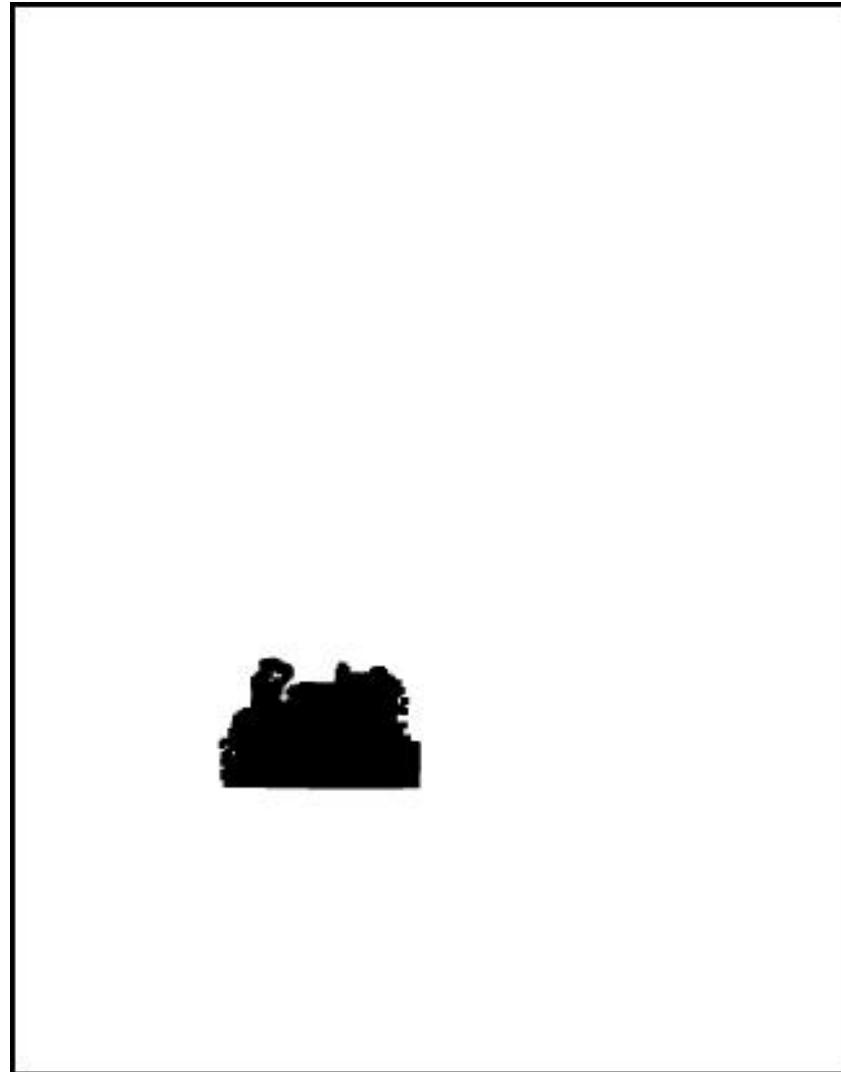
Page segmentation (5)

```
■ pixm = pixCloseSafeBrick  
    (NULL, pixs, 4, 4);
```



Page segmentation (6)

- ```
pixhm = pixSeedfillBinary
(NULL, pixhs, pixm, 4);
 // open to remove
small lines, etc.
```
- ```
pixOpenBrick (pixhm,  
pixhm, 10, 10);
```

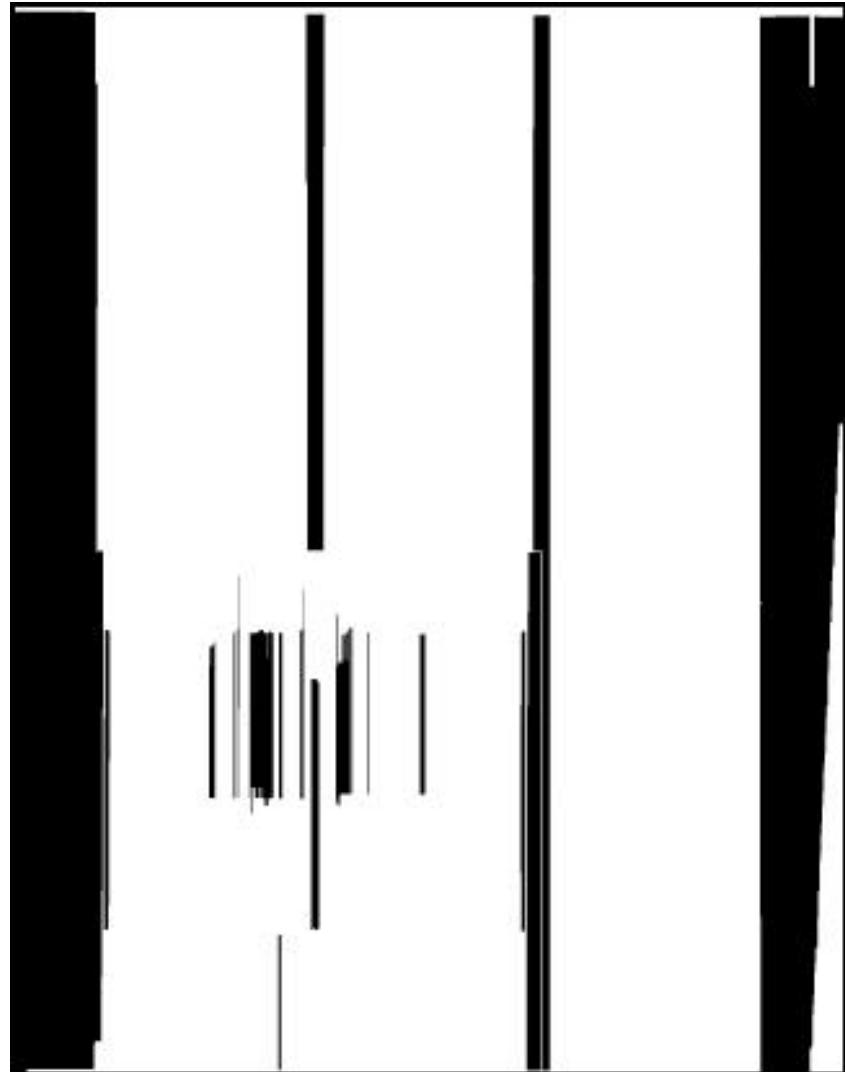


Page segmentation (7)

```
■ pixtext = pixSubtract  
    (NULL, pixs, pixhm);
```

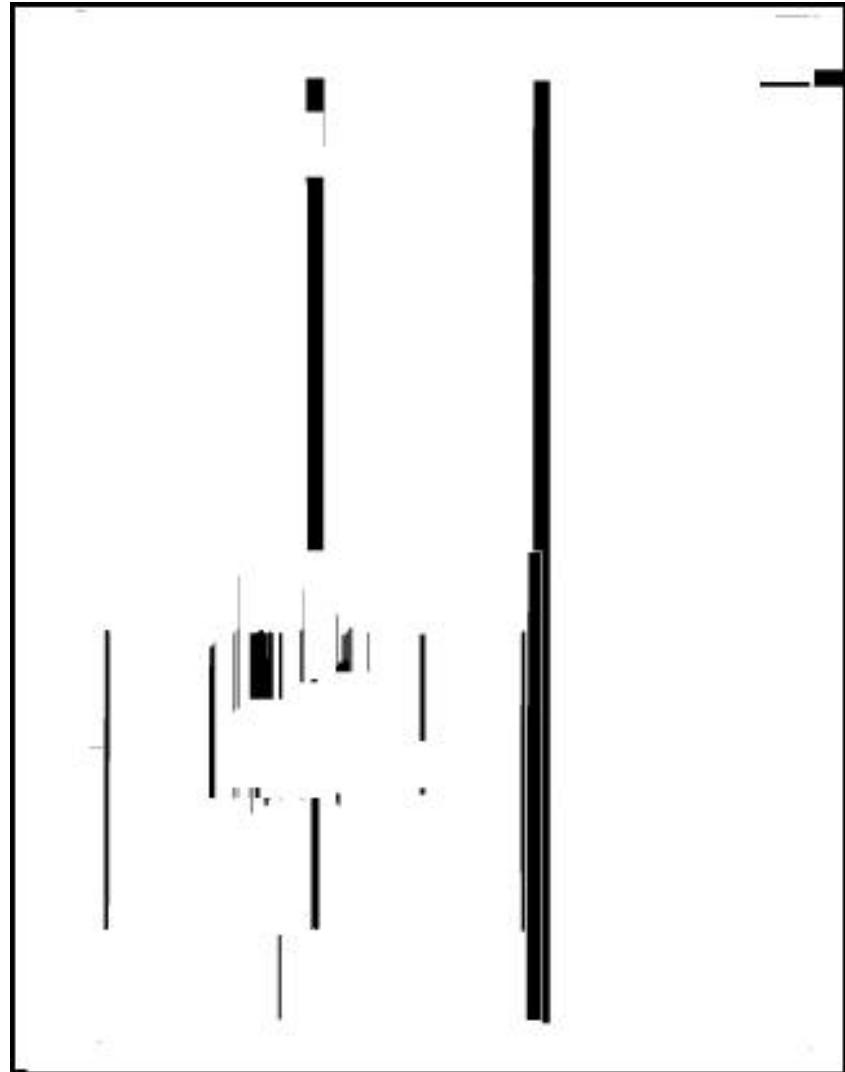
Page segmentation (8)

- `pixinv = pixInvert (NULL,
pixs);`
- `pixvws =
pixMorphCompSequence
(pixinv, "o5.1 + 01.200",
0);`



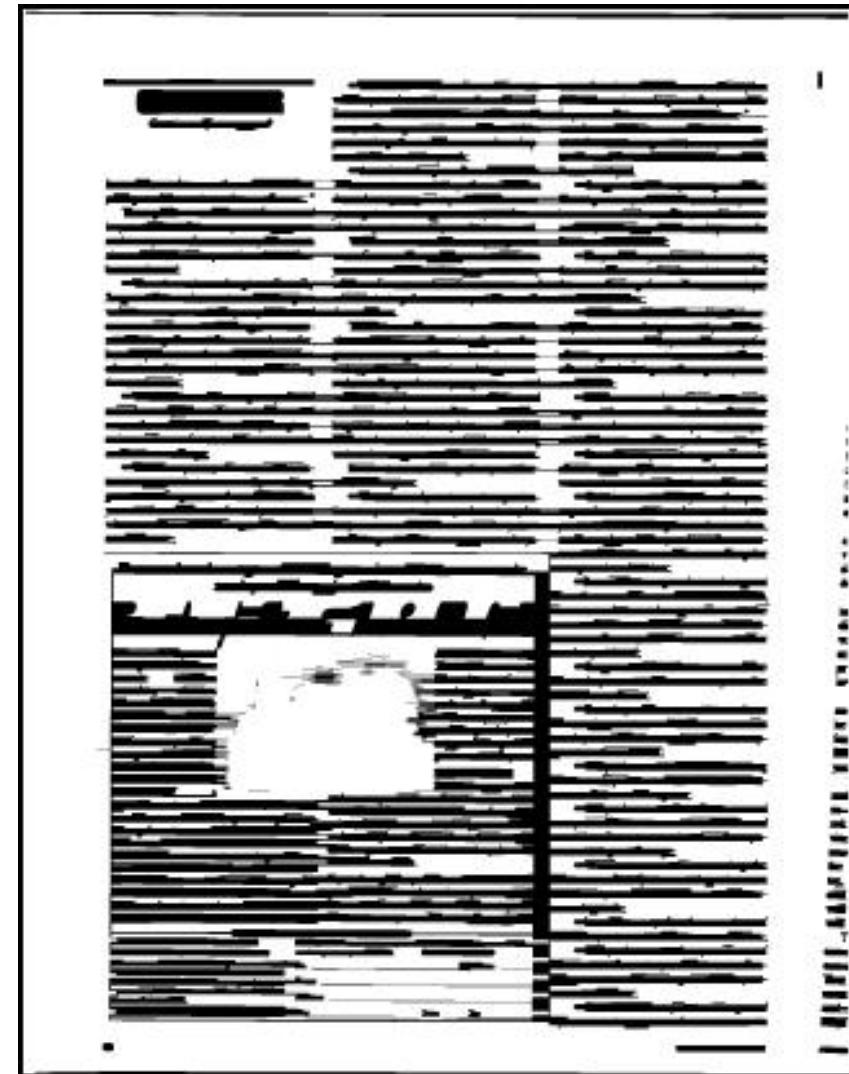
Page segmentation (9)

- `pixt1 =
pixMorphCompSequence(pixinv,
"o80.60", 0);`
- `pixSubtract (pixvws,
pixvws, pixt1);`
- `pixDestroy (&pixt1);`



Page segmentation (10)

- ```
pixt1 = pixCloseSafeBrick
(NULL, pixs, 30, 1);
```



# Page segmentation (11)

- `pixlines = pixSubtract  
(NULL, pixt1, pixvws);`
- `pixOpenBrick (pixlines,  
pixlines, 3, 3);`



# Page segmentation (12)

- `Boxa *boxa = pixConnComp  
(pixlines, &pixa, 8);`
- `pixGetDimensions  
(pixlines, &w, &h, NULL);`
- `pixc =  
pixaDisplayRandomCmap(pixa,  
w, h);`
- `pixcmapResetColor  
(pixGetColormap(pixc), 0,  
255, 255, 255);`



# Background cleaning of bad photocopy (1)

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- Adaptive background normalization

- More flexible than background thresholding

- Two methods to get background values

- Morphological closing to remove foreground

- Tiling, bg estimation, filling, smoothing

- Map pixel values locally

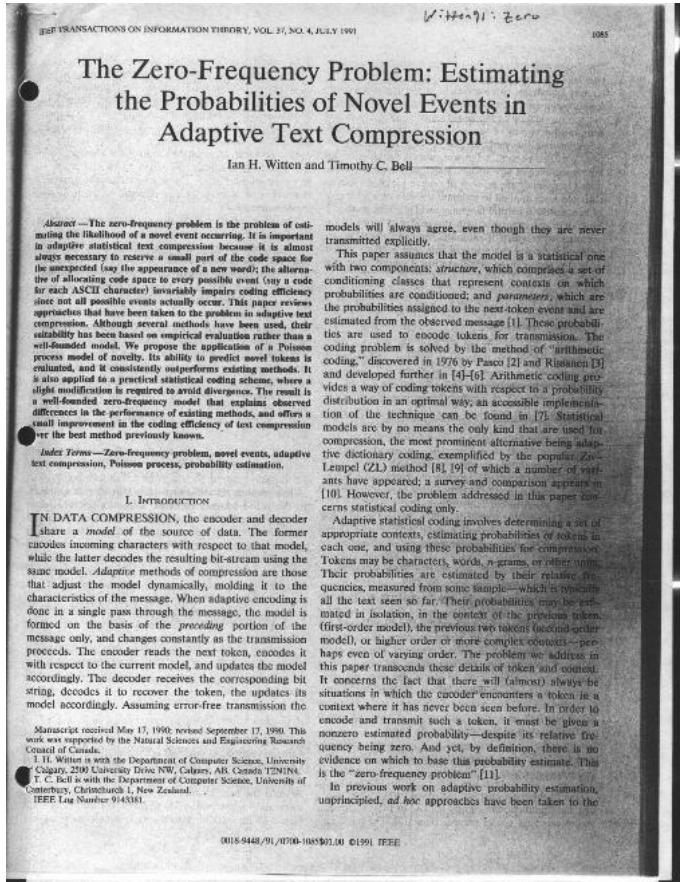
- Background goes to fixed global value

- Threshold to get binary output if desired

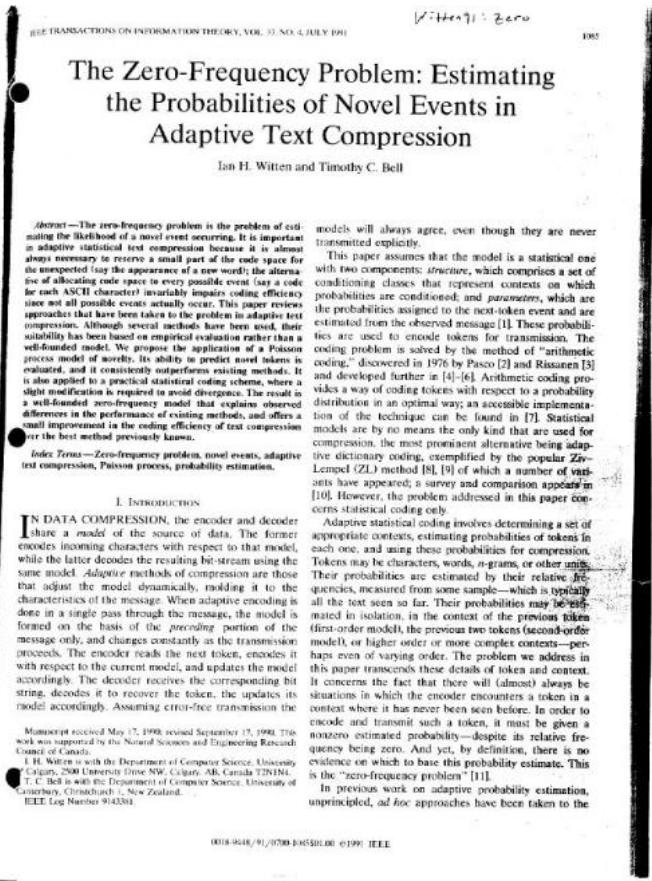
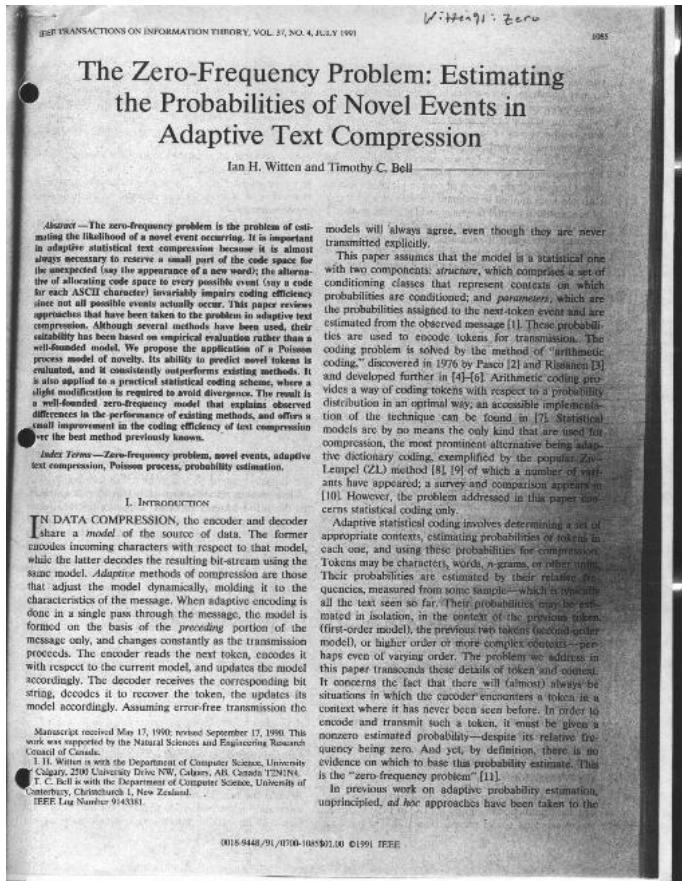
- Simple method for computing background

```
pixs = pixRead ("contrast-orig-60.jpg");
pixt1 = pixCloseGray (pixs, 11, 11);
or: pixt1 = pixScaleGrayMinMax (pixs, 11, 11, L_CHOOSE_MAX);
pixt2 = pixBlockconv(pixt1, 15, 15);
```

# Background cleaning of bad photocopy (2)



# Background cleaning of bad photocopy (3)



# Deskew by differential line sums (1)

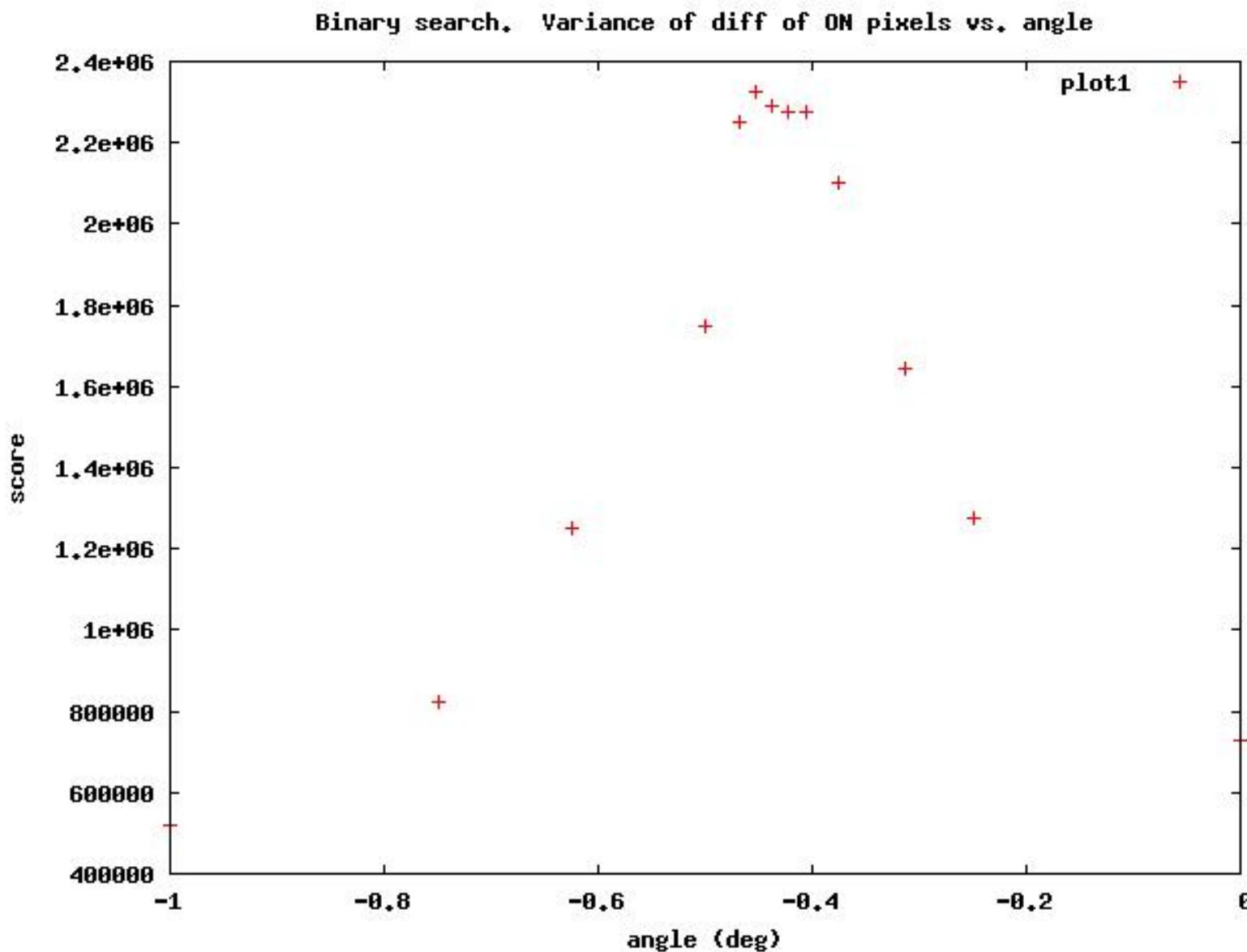
---

- References

[www.leptonica.org/skew-measurement.html](http://www.leptonica.org/skew-measurement.html) (general background)  
[www.leptonica.org/papers/docskew.pdf](http://www.leptonica.org/papers/docskew.pdf) (technical description)

- Most robust method (Postl, 1988)
- Use vertical shear to mimic rotation
- Maximize variance of difference of line sums on adjacent lines
- Use coarse linear search followed by binary search
- Typically compute at 100 - 150 ppi resolution
- Accuracy approximately 1 vertical pixel:  $1/w$  in radians
- This is about 0.05 degree
- People do not notice angles less than about 0.2 degree

# Deskew by differential line sums (2)



# Deskew by differential line sums (3)

## MEMORIES OF RICHARD FEYNMAN

I well remember my arrival at Caltech on a sunny October morning in 1970. Fresh from the University of Oxford where even graduate students at that time wore ties and shirts, I was unsure what to wear on my first meeting with Murray Gell-Mann. I grabbed, wincing, on a suit, and arrived at the office of the theory group secretary, Julie Curran, feeling more and more overdressed and as if I had a large label dangling from my collar saying "New PhD from Oxford." I had seen Gell-Mann once before in England but was unsure if the bearded individual dressed in an open-necked shirt and smock in Jules' office was indeed the eminent professor. A moment after I had introduced myself, my doubts were dispelled by the man putting out his hand and saying "Hi, I'm Murray." This episode illustrates only a small part of the healthy culture shock I experienced in California. Six years in Oxford had left me used to calling my professor "Professor Daltz, sir." At that time, I would certainly not have dared to address Richard Daltz as "Dick."

One of my first tasks on arrival in Pasadena was to buy a car. That was not as easy as it sounds. The used car lots in Pasadena are sprinkled down Colorado Boulevard for several miles, typical US fashion, and getting to them in the days when public transport in Los Angeles was probably at its lowest ebb was not straightforward. It was only after my wife and I were stopped by the police and asked why we were walking on the streets of Pasadena that I understood the paradox that, in California, you had to have a car to buy one. Another chicken-and-egg problem arose in connection with "ID": a term we had not encountered before. As a matter of routine, the police demands to see your ID and of course the only acceptable ID in deepest Pasadena at that time was a California driver's license. A British driving license without a photograph of the bearer was clearly inadequate, and even our passports were looked on with suspicion.

An introduction to America via used car salesmen is not the introduction I would recommend to my worst enemy, and it is not surprising that I sought advice from

TONY HAY is the chair of the electronics and computer science department at Southampton University in the United Kingdom. He is also the editor of *The Feynman Lectures on Computation*, scheduled for publication this month. This article is adapted from the "Afterword" in that book. ©1996 by Anthony J. G. Hey, with permission of Addison-Wesley Publishing Company Inc. All rights reserved.

Anthony J. G. Hey

the Caltech grad students. I was pointed in the direction of Steve Ellis, whose advice was to never let him be come from Detroit and was believed to be worldly-wise. I tracked Steve down to the seminar room, where I saw he was engaged in a debate with a character who looked mildly reminiscent of the used car salesman I had recently encountered. Julie Curran, feeling

more, of course, my first introduction to Dick Feynman. At first, I did not recognize him from the much earlier photograph I knew from the three red books of *The Feynman Lectures on Physics* (Addison-Wesley, 1963). Curiously enough, even after ten years or more, I always felt more comfortable addressing him as Feynman rather than Dick.

### No doodling in science

Compared to my previous life as a graduate student in Oxford, life at Caltech was like changing to the fast lane on a freeway. First, instead of Oxford being the center of the universe, there was that, to a first approximation, Europe and the UK did not exist. Second, I rapidly discovered that the ethos of the theory group of Feynman and Gell-Mann was that physics was all about attacking the outstanding fundamental problems of the day. It was not about getting the phase conventions right in a difficult but ultimately well understood area. I remember asking George Zweig, a co-inventor of the whole quark picture of matter, for his comments on a paper of mine. It was the non-about-to-be-famous SLAC-PUB 1000, a paper I had written with an experimental friend at the Stanford Linear Accelerator Center (SLAC) about the analysis of three-body final states. George's uncharacteristically garrulous comment to me was "We do, after all, understand rotational invariance". In fact, the paper was both useful and correct but, on the Caltech scale of things, it amounted to doodling in the margins of science. In those days, I aspired to be as good a physicist as Zweig. This ambition strikes me now as similar to wanting to emulate the achievements of Jordan in the early days of quantum mechanics, rather than those of his collaborators, Heisenberg and Born.

One of the nice things about Caltech was the sheer excitement of being around Feynman and Gell-Mann. As a postdoc from England where one gains a rapid but narrow exposure to research, my wife and I were contemporaries in age with the final-year grad students, and a lot of our social life was spent with them. Feynman was actively working with two of them, Fira Ravinder and Mark Kielinger, who had just been awarded his PhD for

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A 'new' set of lectures—on computation—by one of the more colorful characters in modern physics, gives rise to these reminiscences by an Englishman in Richard's court.

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# Keystoning and baselines (1)

---

- ```
Pix *pix = pixDeskewLocal( "keystone.png" , 10 , 0 , 0 , 0.0 ,  
0.0 , 0.0 );
```

 - Find local skew in horizontal slices
 - Fit the skew(y) to a straight line
 - Compute the 8-pt projective transform
 - Deskew using the transform
- ```
Numa *na = pixFindBaselines(pix , &pta);
```

  - The Numa gives the baseline (y) for each textline
  - The Pta gives left and right ends of each textline
  - These are used to display the baselines

# Keystoning and baselines (2)

IN RUSSIAN TRENCHES

27

"I wouldn't ask that of you," said Ernst, with a laugh. "Even though it is Prince Suvaroff's country, too?"

"There are Germans you do not like, I suppose—who are even your enemies," said Fred. "Yet now you will forget all that, will you not?"

"God helping us, yes!" said Ernst. "You are right. Your heart must be with your own. But you don't seem like a Russian, or I would not be helping you."

Then Fred was off, going on his way into the darkness alone. Ernst had told him which road to follow, telling him that if he stuck to it he would not be likely to run into any troop movements.

"Don't see too much. That is a good rule for one who is in a country at war," he had advised. "If you know nothing, you cannot tell the enemy anything useful, and there will be less reason for our people to make trouble for you. Your only real danger lies in being taken for a spy. And if you are careful not to learn things, that will not be a very great one."

IN RUSSIAN TRENCHES

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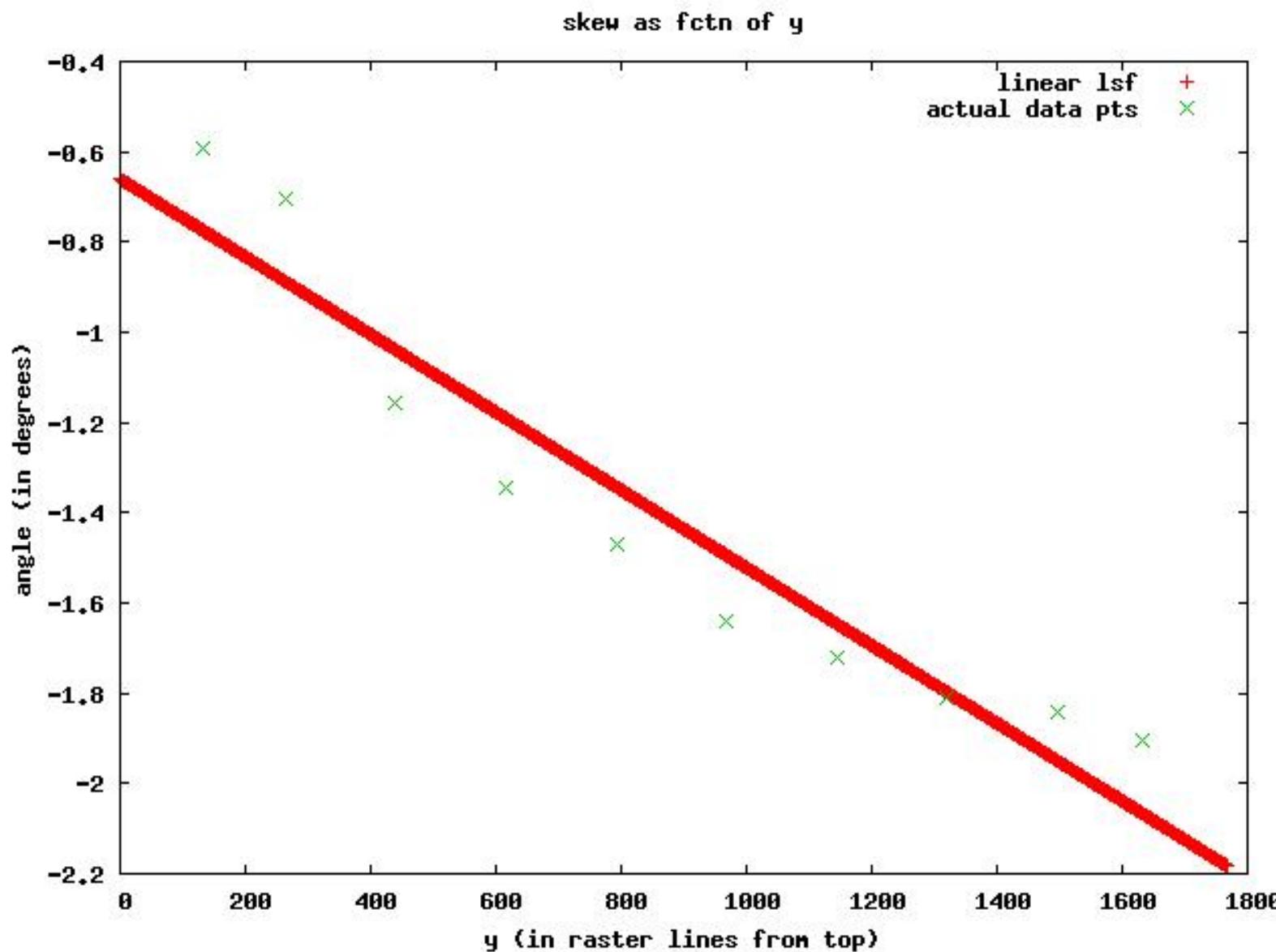
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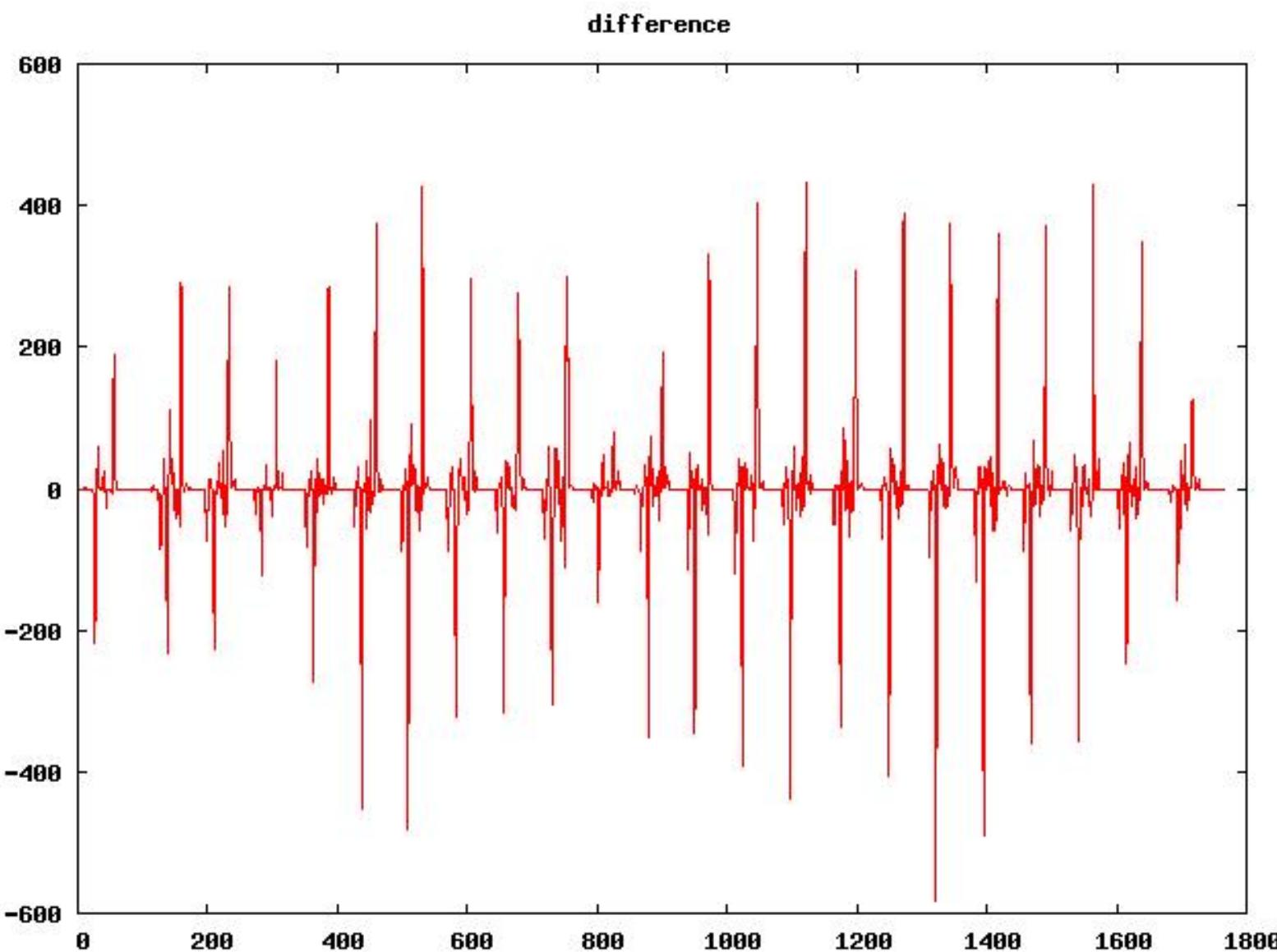
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# Keystoning and baselines (3)



# Keystoning and baselines (4)



# Unsupervised shape classification

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- General reference: [www.leptonica.org/jbig2.html](http://www.leptonica.org/jbig2.html)
- Identifies connected components (e.g., characters) in 1 bpp images
- Places them in equivalence classes
- Can also make classes of words (e.g., *dimsum*)
- Can use either correlation or rank hausdorff for decision
- Aggregates components over multiple pages
- This is used in Adam Langley's JBIG2 open source encoder
  - [www.imperialviolet.org/jbig2.html](http://www.imperialviolet.org/jbig2.html)
- Must be careful with baselines
- The JBIG2 encoder was used to generate PDFs for Google Book Search
  - [www.leptonica.org/papers/google-books-pdf.pdf](http://www.leptonica.org/papers/google-books-pdf.pdf)

# Color quantization and color segmentation (1)

- Why color quantization?
  - Need few levels for text
  - Better compression
  - Impressionist artwork
  - Can use for color seg.
- Octcube is efficient method
  - Populate at different depths
  - Fast lookup for quantization
- Dither for rendering accuracy (not MSE)
- Generating a colormap vs.  
quantizing to a colormap



# Color quantization and color segmentation (2)



- Fixed levels; depth 2
- 27 colors

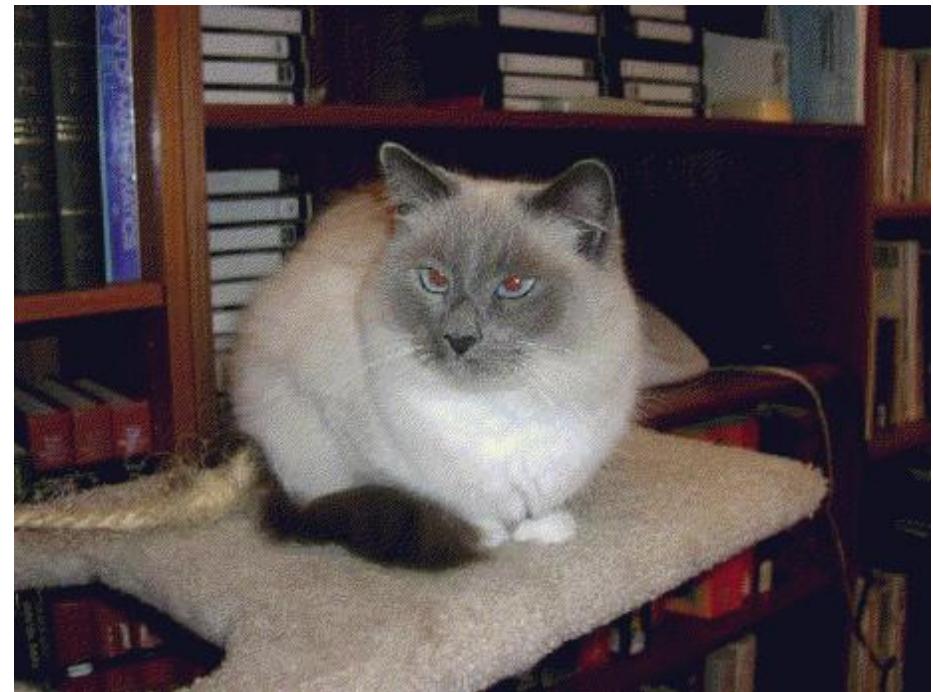


- Fixed levels; depth 3
- 86 colors

# Color quantization and color segmentation (3)



- 256 cells (3,3,2); no dithering
- 56 colors



- 256 cells (3,3,2); dithered
- 81 colors

# Color quantization and color segmentation (4)



- 2-pass octree; no dithering
- 174 colors



- 2-pass octree; dithered
- 190 colors

# Color quantization and color segmentation (5)



- color segmentation
- 2 colors



- color segmentation
- 3 colors

# Color quantization and color segmentation (6)



- color segmentation
- 5 colors



- color segmentation
- 6 colors

# Leptonica library extras

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- Programmatic interface to gnuplot
- Simple bitmap font facility
- Blending images and simple line graphics
- Generating outlines from rasters and raster conversion from outlines
- Number and string arrays, heaps, stacks, queues, lists, etc.
- Octree color quantization
- Parser to extract C prototypes for a header file
- A large number of regression tests and example programs.