



Kernel ETW is the best ETW

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July 2024

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Quick Glossary

- Kernel Mode – kernel (ntoskrnl.exe) and drivers (.sys)
 - Kernel Patch Protection (KPP aka PatchGuard)
- User Mode – processes (.exe) and libraries (.dll)
 - client programs (user) and system services (SYSTEM)
- Event Log – persistent key-value logs
- Event Tracing for Windows (ETW) – key-value events
 - providers, keywords
- Microsoft-Windows-Threat-Intelligence (ETW-TI)
 - Antimalware-PPL (Protected Process Light)

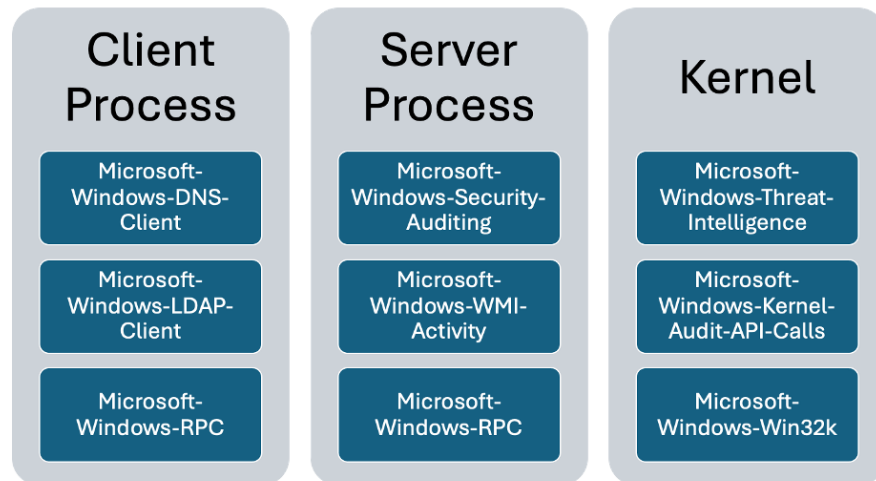
Why Kernel ETW?

- Why audit logs?
 - Secure-by-Design
- Why Kernel?
 - Security should never be client-side
- Why ETW?
 - Very limited Kernel extension points
 - Kernel Patch Protection (aka PatchGuard)



- A critical feature of secure-by-design software is generating audit logs when privileged operations are performed.
- These native audit logs can include details of the internal software state which are impractical for third party security vendors to bolt on after the fact.
- Why Kernel? Higher privilege is more trustworthy.
- It's a security boundary for medium integrity, and defence-in-depth otherwise.
- Why ETW? Kernel callbacks and mini-filters are actually strongly preferred.
- Being inline they are not vulnerable to ToCToU and provide prevention opportunities.
- We have good coverage for network, filesystem and registry kernel activity – but they are very rare otherwise.
- On x86, security vendors were able to hook additional APIs such as ZwProtectVirtualMemory.
- On x64, Kernel Patch Protection stops this.
- Microsoft has determined that PatchGuard benefits outweigh the loss of prevention opportunities for security vendors.
- When you are bypassing EDR it's often by Microsoft design.
- One could even argue that EDR only exists because the native Windows event logging is insufficient.

Why Kernel ETW?



- Why do we need to understand our data sources?
- I highly recommend SpecterOps' Jared Atkinson's multi-part On Detection series.
- Detection Engineers that don't fully understand their data sources can't write robust detections.
- Shifting from detecting tools to detecting techniques.
- Firstly, can they be trivially bypassed?
- Secondly, is the data itself trustworthy?
- Surprisingly, event 4688 for process creation is not a kernel event.
- The kernel dispatches the data to lsass service to log the event. So, I could tamper with it from within the server process.
- Whereas the ProcessStart event in the Microsoft-Windows-Kernel-Process is logged directly by the kernel.
- Microsoft-Windows-WMI-Activity is another interesting case study.
- The WMI Management Service Host seems like a fairly trustworthy log source, right?
- But it blindly logs whatever ClientProcessId value the client provides it!

ETW Flavours



- The “event” versus “trace” distinction is mostly semantic.
- Event providers are typically registered with the operating system ahead of time and you can inspect the available telemetry metadata.
- These are typically used by system administrators for troubleshooting purposes and are often semi-documented.
- But when something goes really, really wrong there are (hidden) trace providers.
- These are typically used only by the original software authors for advanced troubleshooting and are undocumented.

My Understanding Kernel ETW Approach

- | | |
|------------------------|-----------------------------------|
| 1. Modern Events | a) Documentation? |
| 2. Legacy Events | b) Native tooling? |
| 3. Modern Trace Events | c) 3 rd party tooling? |
| 4. Legacy Trace Events | d) New tooling Reversing |



- For each ETW flavour investigate documentation, native tooling, 3rd party tooling and finally new tooling if required.

Step 1 – Modern Kernel ETW Docs?



- Given this reliance, the public documentation of these kernel telemetry sources is unfortunately somewhat sparse.
- Modern ETW providers are not documented.
- Instead, you can enumerate registered providers at runtime.
- With the caveat that if a feature is not enabled then it's provider will typically not be registered.
- For example, the DNS Server provider is not registered until the DNS Server role is added.

Step 1 – Native Modern Event tooling?

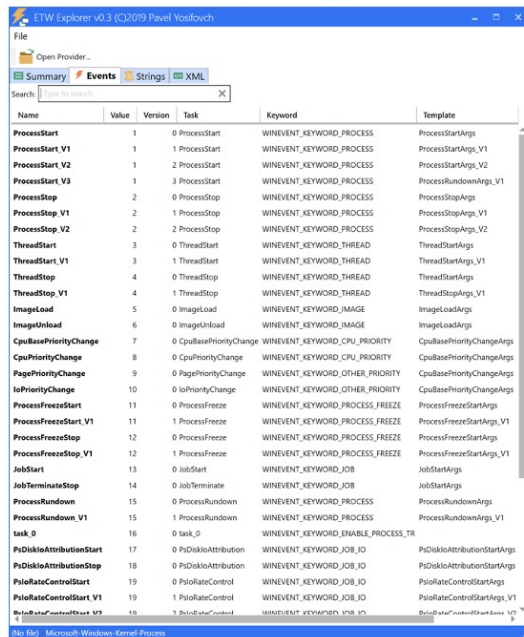
```
C:\>logman query providers | findstr Kernel
Circular Kernel Session Provider {54DEA73A-ED1F-4244-AF71-3E63D856F174}
File Kernel Trace, Operation Set 1 {075D8303-6C21-48DE-8C98-EC6C328P9291}
File Kernel Trace, Operation Set 2 {688D9951-7684-414D-85DA-A65035367446}
File Kernel Trace, Optional Data {7DA1385C-F8F5-414D-89D8-02FCA898F1EC}
File Kernel Trace, Volume To Log {127D46AF-4AD3-489F-9165-F88BA64D5467}
Microsoft-Windows-DirectShow-KernelSupport {3CC2D4AF-D45E-4ED4-BCBE-3CF995940483}
Microsoft-Windows-DriverFrameworks-KernelMode-Performance {4B8A5C7C-11CC-46C5-90E
Microsoft-Windows-Kernel-Acpi {C514638F-7723-485B-8CFC-9656S0735D4A}
Microsoft-Windows-Kernel-AppCompat {16A1ADC1-9B7F-4CD9-94B3-08296A818138}
Microsoft-Windows-Kernel-Audit-API-Calls {E82AB41C-75A3-4FA7-AFC8-AE09CF9B7F23}
Microsoft-Windows-Kernel-Boot {15CA44FF-4074-48AA-8BA8-09999585311E}
Microsoft-Windows-Kernel-BootDiagnostics {96AC7637-5958-4A38-88F7-E07E8E5734C1}
Microsoft-Windows-Kernel-Cache {A2D348F1-78A8-5821-C819-5A0DD42748FD}
Microsoft-Windows-Kernel-CPU-Starvation {7F94C48A-6C72-5C8C-896F-D8E9F90888CE}
Microsoft-Windows-Kernel-Disk {C7B0E69A-E1E9-4177-B6E7-283A01225711}
Microsoft-Windows-Kernel-Dump {17D2A329-4539-5F4D-3435-F510634CE389}
Microsoft-Windows-Kernel-EventTracing {B675EC37-BD86-4648-BC92-F3DC74D3CA2}
Microsoft-Windows-Kernel-File {ED088927-9C04-4E65-B970-C2560F85C289}
Microsoft-Windows-Kernel-General {A68CAB07-944F-4726-4698-07E2D0C61F5D}
Microsoft-Windows-Kernel-Interrupt-Steering {951B41EA-C838-44DC-A671-E2C995888988}
Microsoft-Windows-Kernel-IO {ABF1F886-2E58-4B48-928D-49844E6F80B7}
Microsoft-Windows-Kernel-IoTrace {A193C48D-8242-4A93-8DF5-1C0F383F26A6}
Microsoft-Windows-Kernel-Licensing-StartServiceTrigger {F5328DA-4E8F-4F14-2AEF-A
Microsoft-Windows-Kernel-LicensingSqm {A8AF438F-4431-41CB-A675-A265098E9477}
Microsoft-Windows-Kernel-LiveDump {BEF2A8E-81CD-11E2-A7B8-5EAC61887898}
Microsoft-Windows-Kernel-Memory {D1D93EF7-E1F2-4F45-8943-03D245FE6C80}
Microsoft-Windows-Kernel-Network {7D0A2A4B-5329-4832-88FD-41D979153A88}
Microsoft-Windows-Kernel-Pnp {9412784E-82E1-4624-8FFD-5577788F7373}
Microsoft-Windows-Kernel-Pnp {9C28A539-1258-487D-AB07-E831C6298539}
Microsoft-Windows-Kernel-Pnp-Rundown {83A8C2C8-8388-40DF-9F8D-4B2D3C38A077}
Microsoft-Windows-Kernel-Process {531C82A-2885-44C2-CC5C-7722C47D6844}
Microsoft-Windows-Kernel-PowerTrigger {AA1P73E8-15FD-4502-ABFD-E7F64F78E811}
Microsoft-Windows-Kernel-Prefetch {5322D61A-9EFA-4BC3-A3F9-148E95C144F8}
Microsoft-Windows-Kernel-Psm {B931ED29-66F4-576E-8579-088818A5DC68}
Microsoft-Windows-Kernel-Process {22F82CDE-9E78-422B-80C7-2FAD1F08E716}
Microsoft-Windows-Kernel-Processor-Power {6F57E49F-FE51-4E9F-B498-6F2948CC6627}
Microsoft-Windows-Kernel-Registry {78EB4F03-C1DE-4F73-A051-33D13D54138D}
Microsoft-Windows-Kernel-ShimEngine {68F2F894-7B68-484D-9766-E82F6580F540}
Microsoft-Windows-Kernel-StoreMgr {A6A076E3-897A-4635-91B3-4B94B4637407}
Microsoft-Windows-Kernel-Ts {4CCEC9C95-A65F-4591-B5C4-38100E51D878}
Microsoft-Windows-Kernel-Ts-Trigger {CE28D1C3-A247-4C41-BCB8-3C7F52C88885}
Microsoft-Windows-Kernel-WDI {2FF3E607-CB98-4788-9621-443F38973EDD}
Microsoft-Windows-Kernel-WHEA {7B583579-53C8-44E7-8236-0F87B9FE6594}
Microsoft-Windows-Kernel-WS-Service-StartServiceTrigger {3635D486-77E3-4375-8124-0
Microsoft-Windows-Kernel-XDV {F829AC39-38F8-4A40-B7DE-484D244804CB}
Microsoft-Windows-KernelStreaming {548C4417-CE45-41FF-99D0-528F01CE8FE1}
Microsoft-Windows-RemoteDesktopServices-RemoteFX-VN-Kernel-Mode-Transport {EB5B5F
Microsoft-Windows-WerKernl {87A623F0-8D65-5C11-7C88-A2E8BC8E5189}
Windows Kernel Trace {9EB14A4D-3284-11D2-9A82-086008A86939}
```

```
C:\>logman query providers Microsoft-Windows-Kernel-Process
Provider GUID
Microsoft-Windows-Kernel-Process {22F82CDE-9E78-422B-80C7-2FAD1F08E716}
Value Keyword Description
0x0000000000000010 WINEVENT_KEYWORD_PROCESS
0x0000000000000020 WINEVENT_KEYWORD_THREAD
0x0000000000000040 WINEVENT_KEYWORD_IMAGE
0x0000000000000080 WINEVENT_KEYWORD_CPU_PRIORITY
0x0000000000000100 WINEVENT_KEYWORD_OTHER_PRIORITY
0x0000000000000200 WINEVENT_KEYWORD_PROCESS_FREEZE
0x0000000000000400 WINEVENT_KEYWORD_JOB
0x0000000000000800 WINEVENT_KEYWORD_ENABLE_PROCESS_TRACING_CALLBACKS
0x0000000000001000 WINEVENT_KEYWORD_JOB_ID
0x0000000000002000 WINEVENT_KEYWORD_WORK_ON_BEHALF
0x0000000000004000 WINEVENT_KEYWORD_JOB_TITLE
0x0000000000008000 Microsoft-Windows-Kernel-Process/Analytic
Value Level Description
0x04 win:Informational Information
PID Image
0x00000000
The command completed successfully.
C:\>logman query providers Microsoft-Windows-Kernel-Audit-API-Calls
Provider GUID
Microsoft-Windows-Kernel-Audit-API-Calls {E82AB41C-75A3-4FA7-AFC8-AE09CF9B7F23}
Value Level Description
0x04 win:Informational Information
PID Image
0x00000000
The command completed successfully.
```



- Native tooling is quite limited.
- We can enumerate provider names and keywords only. Some providers don't have keywords.
- We could sample each provider with all keywords enabled.
- That's a lot of effort and a huge amount data to wade through.
- Note – equivalent PowerShell cmdlets also exist.

Step 1 – 3rd party Modern Events tooling?



ETW Explorer v0.39 (C:\2019 Pavel Yosifovich)

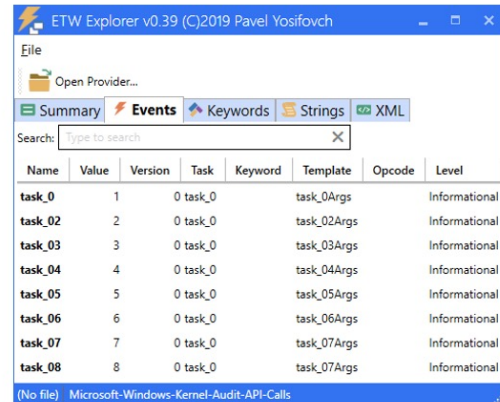
File Open Provider...

Summary Events Strings XML

Search: Type to search

Name	Value	Version	Task	Keyword	Template
ProcessStart	1	0	ProcessStart	WINEVENT_KEYWORD_PROCESS	ProcessStartArgs
ProcessStart_V1	1	1	ProcessStart	WINEVENT_KEYWORD_PROCESS	ProcessStartArgs_V1
ProcessStart_V2	1	2	ProcessStart	WINEVENT_KEYWORD_PROCESS	ProcessStartArgs_V2
ProcessStart_V3	1	3	ProcessStart	WINEVENT_KEYWORD_PROCESS	ProcessStartArgs_V3
ProcessStop	2	0	ProcessStop	WINEVENT_KEYWORD_PROCESS	ProcessStopArgs
ProcessStop_V1	2	1	ProcessStop	WINEVENT_KEYWORD_PROCESS	ProcessStopArgs_V1
ProcessStop_V2	2	2	ProcessStop	WINEVENT_KEYWORD_PROCESS	ProcessStopArgs_V2
ThreadStart	3	0	ThreadStart	WINEVENT_KEYWORD_THREAD	ThreadStartArgs
ThreadStart_V1	3	1	ThreadStart	WINEVENT_KEYWORD_THREAD	ThreadStartArgs_V1
ThreadStop	4	0	ThreadStop	WINEVENT_KEYWORD_THREAD	ThreadStopArgs
ThreadStop_V1	4	1	ThreadStop	WINEVENT_KEYWORD_THREAD	ThreadStopArgs_V1
ImageLoad	5	0	ImageLoad	WINEVENT_KEYWORD_IMAGE	ImageLoadArgs
ImageUnload	6	0	ImageUnload	WINEVENT_KEYWORD_IMAGE	ImageUnloadArgs
CpuBasePriorityChange	7	0	CpuBasePriorityChange	WINEVENT_KEYWORD_CPU_PRIORITY	CpuBasePriorityChangeArgs
CpuPriorityChange	8	0	CpuPriorityChange	WINEVENT_KEYWORD_CPU_PRIORITY	CpuPriorityChangeArgs
PagePriorityChange	9	0	PagePriorityChange	WINEVENT_KEYWORD_OTHER_PRIORITY	CpuBasePriorityChangeArgs
IoPriorityChange	10	0	IoPriorityChange	WINEVENT_KEYWORD_OTHER_PRIORITY	CpuBasePriorityChangeArgs
ProcessFreezeStart	11	0	ProcessFreeze	WINEVENT_KEYWORD_PROCESS_FREEZE	ProcessFreezeStartArgs
ProcessFreezeStart_V1	11	1	ProcessFreeze	WINEVENT_KEYWORD_PROCESS_FREEZE	ProcessFreezeStartArgs_V1
ProcessFreezeStop	12	0	ProcessFreeze	WINEVENT_KEYWORD_PROCESS_FREEZE	ProcessFreezeStopArgs
ProcessFreezeStop_V1	12	1	ProcessFreeze	WINEVENT_KEYWORD_PROCESS_FREEZE	ProcessFreezeStopArgs_V1
JobStart	13	0	JobStart	WINEVENT_KEYWORD_JOB	JobStartArgs
JobTerminateStop	14	0	JobTerminate	WINEVENT_KEYWORD_JOB	JobStartArgs
ProcessShutdown	15	0	ProcessShutdown	WINEVENT_KEYWORD_PROCESS	ProcessShutdownArgs
ProcessShutdown_V1	15	1	ProcessShutdown	WINEVENT_KEYWORD_PROCESS	ProcessShutdownArgs_V1
task_0	16	0	task_0	WINEVENT_KEYWORD_ENABLE_PROCESS_TR	
PiDiskIoAttributionStart	17	0	PiDiskIoAttribution	WINEVENT_KEYWORD_JOB_IO	PiDiskIoAttributionStartArgs
PiDiskIoAttributionStop	18	0	PiDiskIoAttribution	WINEVENT_KEYWORD_JOB_IO	PiDiskIoAttributionStartArgs
PiIoRateControlStart	19	0	PiIoRateControl	WINEVENT_KEYWORD_JOB_IO	PiIoRateControlStartArgs
PiIoRateControlStart_V1	19	1	PiIoRateControl	WINEVENT_KEYWORD_JOB_IO	PiIoRateControlStartArgs_V1
PiIoRateControlStart_V2	19	2	PiIoRateControl	WINEVENT_KEYWORD_JOB_IO	PiIoRateControlStartArgs_V2

(No file) Microsoft-Windows-Kernel-Process



ETW Explorer v0.39 (C:\2019 Pavel Yosifovich)

File Open Provider...

Summary Events Keywords Strings XML

Search: Type to search

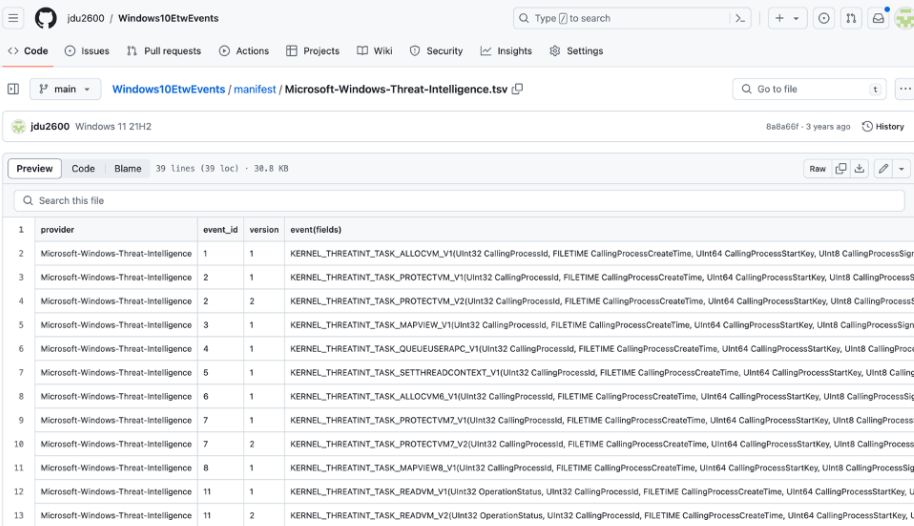
Name	Value	Version	Task	Keyword	Template	Opcode	Level
task_0	1	0	task_0		task_0Args		Informational
task_02	2	0	task_0		task_02Args		Informational
task_03	3	0	task_0		task_03Args		Informational
task_04	4	0	task_0		task_04Args		Informational
task_05	5	0	task_0		task_05Args		Informational
task_06	6	0	task_0		task_06Args		Informational
task_07	7	0	task_0		task_07Args		Informational
task_08	8	0	task_0		task_08Args		Informational

(No file) Microsoft-Windows-Kernel-Audit-API-Calls



- There are APIs that retrieve the XML manifest for a registered provider.
- This includes event and field names.
- Pavel Yosifovich, one of the Windows Internals authors, wrapped this in a GUI for us.
- So, we have the data – but is it easily searchable?

Step 1 – Write new Modern Event tooling!

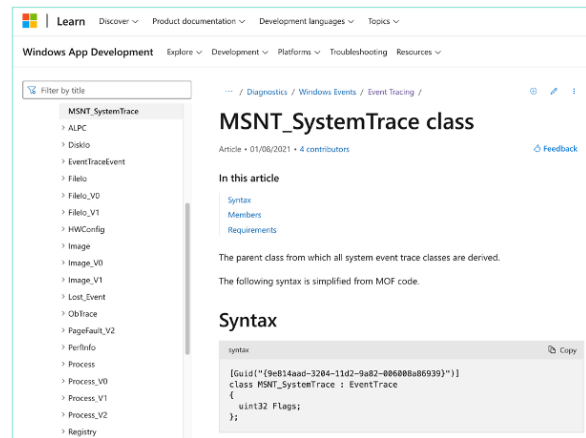


	provider	event_id	version	event(fields)
1	Microsoft-Windows-Threat-Intelligence	1	1	KERNEL_THREATINT_TASK_ALLOCM_V1(UInt32 CallingProcessId, FILETIME CallingProcessCreateTime, UInt64 CallingProcessStartKey, UInt8 CallingProcessSign
2	Microsoft-Windows-Threat-Intelligence	2	1	KERNEL_THREATINT_TASK_PROTECTVM_V1(UInt32 CallingProcessId, FILETIME CallingProcessCreateTime, UInt64 CallingProcessStartKey, UInt8 CallingProcessS
3	Microsoft-Windows-Threat-Intelligence	2	2	KERNEL_THREATINT_TASK_PROTECTVM_V2(UInt32 CallingProcessId, FILETIME CallingProcessCreateTime, UInt64 CallingProcessStartKey, UInt8 CallingProcessS
4	Microsoft-Windows-Threat-Intelligence	3	1	KERNEL_THREATINT_TASK_MAPVIEW_V1(UInt32 CallingProcessId, FILETIME CallingProcessCreateTime, UInt64 CallingProcessStartKey, UInt8 CallingProcessSign
5	Microsoft-Windows-Threat-Intelligence	4	1	KERNEL_THREATINT_TASK_QUEUEUSERAPC_V1(UInt32 CallingProcessId, FILETIME CallingProcessCreateTime, UInt64 CallingProcessStartKey, UInt8 CallingProce
6	Microsoft-Windows-Threat-Intelligence	5	1	KERNEL_THREATINT_TASK_SETTHREADCONTEXT_V1(UInt32 CallingProcessId, FILETIME CallingProcessCreateTime, UInt64 CallingProcessStartKey, UInt8 Calling
7	Microsoft-Windows-Threat-Intelligence	6	1	KERNEL_THREATINT_TASK_ALLOCM_V1(UInt32 CallingProcessId, FILETIME CallingProcessCreateTime, UInt64 CallingProcessStartKey, UInt8 CallingProcessSig
8	Microsoft-Windows-Threat-Intelligence	7	1	KERNEL_THREATINT_TASK_PROTECTVM_V1(UInt32 CallingProcessId, FILETIME CallingProcessCreateTime, UInt64 CallingProcessStartKey, UInt8 CallingProcess
9	Microsoft-Windows-Threat-Intelligence	7	2	KERNEL_THREATINT_TASK_PROTECTVM_V2(UInt32 CallingProcessId, FILETIME CallingProcessCreateTime, UInt64 CallingProcessStartKey, UInt8 CallingProcess
10	Microsoft-Windows-Threat-Intelligence	8	1	KERNEL_THREATINT_TASK_MAPVIEW_V1(UInt32 CallingProcessId, FILETIME CallingProcessCreateTime, UInt64 CallingProcessStartKey, UInt8 CallingProcessSig
11	Microsoft-Windows-Threat-Intelligence	11	1	KERNEL_THREATINT_TASK_READVM_V1(UInt32 OperationStatus, UInt32 CallingProcessId, FILETIME CallingProcessCreateTime, UInt64 CallingProcessStartKey, U
12	Microsoft-Windows-Threat-Intelligence	11	2	KERNEL_THREATINT_TASK_READVM_V2(UInt32 OperationStatus, UInt32 CallingProcessId, FILETIME CallingProcessCreateTime, UInt64 CallingProcessStartKey, U



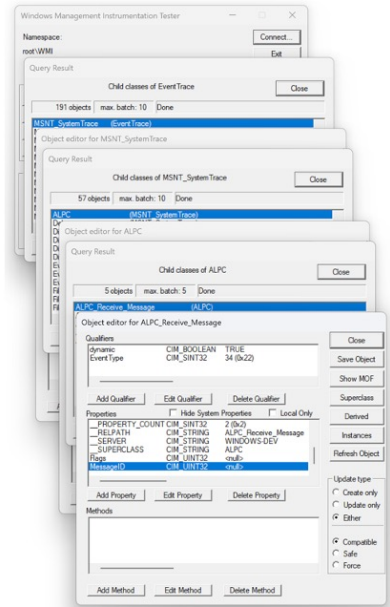
- So, I wrote a tool to dump all the things and pushed the results to github for each Windows feature release.
- git blame shows when events are added or updated.
- Some folks didn't like my grep-friendly single line record approach so have since created similar repositories hosting the XML manifests or JSON representations instead.

Step 2 – Legacy Kernel ETW Docs?



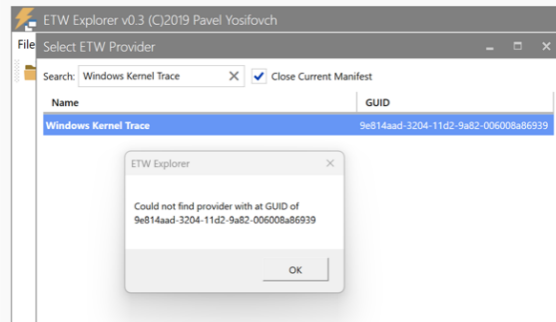
- Newer isn't always better.
- There is some overlap between legacy and modern kernel providers – eg both have a Process start event.
- But the modern provider doesn't log the command line.
- And there are some legacy events with no modern equivalent.
- We have docs this time.
- But I wanted my docs in a single location – my github repo.

Step 2 – Native Legacy Events tooling?



- It turns out that Legacy Provider metadata is stored in the WMI repository as instances of the EventTrace class.
- Providers are the root classes, groups are the children and events are the grandchildren.
- I needed a better GUI than wbemtest for ad-hoc browsing. Seven windows to view a single event!
- And I needed something to scrape all of the EventTrace classes registered in WMI.

Step 2 – 3rd party Legacy Events tooling?



- Existing third-party tooling at the time didn't support legacy providers.

Step 2 – Write new Legacy Event tooling!

6

main

Windows10Events / nsl / Windows_Kernel_Tracv

6

W6500

Windows 11 21H2

Preview

Code

Blame

537 Lines 1532 locs - 53.3 Ks

Q

W

	provider	category	event_id	version	event(Fields)
3	Windows Kernel Tracv	{08B8E465-43F0-435E-8A5E-0C9F106A7053}	10	0	SourceConfig_VL_ZPU1to32 Mixr, U3232 NumberOfProcessors, U3232 MaxVsnr, U3232 PageSize,
6	Windows Kernel Tracv	{08B8E465-43F0-435E-8A5E-0C9F106A7053}	11	0	SourceConfig_VL_PhysIoU32 DskAnalog, U3232 RbyteSize, U3232 SetaSize, U3232
7	Windows Kernel Tracv	{08B8E465-43F0-435E-8A5E-0C9F106A7053}	12	0	SourceConfig_VL_PhysIoU32 DskAnalog, U3232 RbyteSize, U3232 SetaSize, U3232
12	Windows Kernel Tracv	{08B8E465-43F0-435E-8A5E-0C9F106A7053}	13	0	SourceConfig_VL_PIOU32 w'h PchName, U3232 Index, U3232 PhysicalDisk, Char8 PchName,
13	Windows Kernel Tracv	{08B8E465-43F0-435E-8A5E-0C9F106A7053}	14	0	SourceConfig_VL_VideoU32 MemorySize, U3232 Resolution, U3232 Horizontal, U3232 Vertical,
22	Windows Kernel Tracv	{08B8E465-43F0-435E-8A5E-0C9F106A7053}	16	0	SourceConfig_VL_PowerU32 Bw SourceName, Char8 Displayname, Char8 Processors, U3232
27	Windows Kernel Tracv	{08B8E465-43F0-435E-8A5E-0C9F106A7053}	21	0	SourceConfig_VL_IQDU3248 IQ48Name, U3232 RQName, U3232 DeviceOperations, String Device
31	Windows Kernel Tracv	{08B8E465-43F0-435E-8A5E-0C9F106A7053}	22	0	SourceConfig_VL_PhysIoU32 KEng, U3232 Description, U3232 FriendlyName, U3232
73	Windows Kernel Tracv	{1055F05D-005E-11D0-8A5E-000000000000}	10	0	Image_VL_LoaderU32 Breakpoints, U3232 ModuleName, String ImageName,
113	Windows Kernel Tracv	{38468265-6A5D-11D0-8A5E-000000000000}	1	0	Process_VL_TypeConfigU32 Process, U3232 PwrdId, Char8 U3232, String ImageName,
124	Windows Kernel Tracv	{38468265-6A5D-11D0-8A5E-000000000000}	2	0	Process_VL_TypeConfigU32 Process, U3232 PwrdId, Char8 U3232, String ImageName,
129	Windows Kernel Tracv	{38468265-6A5D-11D0-8A5E-000000000000}	3	0	Process_VL_TypeConfigU32 Process, U3232 PwrdId, Char8 U3232, String ImageName,
140	Windows Kernel Tracv	{38468265-6A5D-11D0-8A5E-000000000000}	7	0	Process_VL_TypeConfigU32 Process, U3232 PwrdId, Char8 U3232, String ImageName,
145	Windows Kernel Tracv	{38468265-6A5D-11D0-8A5E-000000000000}	8	0	Process_VL_TypeConfigU32 Process, U3232 PwrdId, Char8 U3232, String ImageName,
166	Windows Kernel Tracv	{38468265-6A5D-11D0-8A5E-000000000000}	1	0	Thread_VL_TypeConfigU32 Thread, U3232 ThreadId, U3232 ProcessId,
171	Windows Kernel Tracv	{38468265-6A5D-11D0-8A5E-000000000000}	2	0	Thread_VL_TypeConfigU32 Thread, U3232 ThreadId, U3232 ProcessId,
176	Windows Kernel Tracv	{38468265-6A5D-11D0-8A5E-000000000000}	3	0	Thread_VL_TypeConfigU32 Thread, U3232 ThreadId, U3232 ProcessId,
181	Windows Kernel Tracv	{38468265-6A5D-11D0-8A5E-000000000000}	4	0	Thread_VL_TypeConfigU32 Thread, U3232 ThreadId, U3232 ProcessId,
231	Windows Kernel Tracv	{38468265-6A5D-11D0-8A5E-000000000000}	10	0	Disk_VL_TypeConfigU32 DiskName, U3232 Ippgms, U3232 TransferSize, U3232 Reserved, U3232
235	Windows Kernel Tracv	{38468265-6A5D-11D0-8A5E-000000000000}	11	0	Disk_VL_TypeConfigU32 DiskName, U3232 Ippgms, U3232 TransferSize, U3232 Reserved, U3232
267	Windows Kernel Tracv	{68686820-6A5D-11D0-8A5E-000000000000}	0	0	EventSource_VL_MessageU32 BufferSize, U3232 U3232, U3232 ProcessName, U3232 MessageID,
278	Windows Kernel Tracv	{68686820-6A5D-11D0-8A5E-000000000000}	5	0	EventSource_VL_MessageU32 BufferSize, U3232 U3232, U3232 ProcessName, U3232 MessageID,



- So, I updated it.
- And used the same code to dump all of events for my github repo.
- I discovered that there there were 340 registered legacy events, but only 116 were documented.
- Typically, each legacy event needs to be enabled via a specific flag, but these weren't documented either.
- I found a clue in the Object Trace documentation. It mentioned `PERF_OB_HANDLE` - a constant not defined in the headers in the latest SDK.
- Luckily, Geoff Chappell and the Windows 10 1511 WDK came to the rescue. I used this information to add support for `PERFINFO_GROUPMASK` kernel trace flags to Microsoft's [krabsetw](#) library.
- It also turned out that the Object Trace documentation was wrong. That non-public constant can only be used with undocumented APIs.
- Luckily, public Microsoft projects such as `perfview` often provide examples of how to use undocumented APIs.

Finding Security Relevant Kernel Events Methodology

- Kernel
- Security
- Task_
- ProcessStartKey
- API Parameter names – eg DesiredAccess
- Unique terms – eg Named Pipe



- We now have all of the ETW event metadata – how do we find the security-relevant kernel events?
- Interestingly, Microsoft often obfuscates the names of security relevant events so searching for events with a generic name prefix such as task_ yields some interesting results.
- Sometimes the keyword hints to the event's purpose. For example, task_014 in Microsoft-Windows-Kernel-General is enabled with the keyword `KERNEL_GENERAL_SECURITY_ACCESSCHECK`.
- Another useful query is to search for events with an explicit ProcessStartKey (LUID) field. Any event that includes this information for another process is often security relevant.
- If you had a specific API in mind, you might query for its name or its parameters.
- Thankfully, the parameters are almost always well named. We might guess that task_05 in Microsoft-Windows-Kernel-Audit-API-Calls is related to [OpenProcess](#) since it logs fields named TargetProcessId and DesiredAccess.
- Searching for Named Pipe leads us to Microsoft-Windows-SEC which (unfortunately) belongs to the built-in Microsoft Security drivers that Microsoft Defender for Endpoint utilises.

Step 3 – native Modern Trace tooling?

```
C:\>logman query providers -pid 3260

Provider          GUID
-----
Microsoft-Windows-AppModel-Runtime {F1EF278A-8D32-4352-BA52-DBA841E1D859}
Microsoft-Windows-AsynchronousCausality {19A4C69A-28E8-4D48-8D94-5F19055A1B5C}
Microsoft-Windows-COM-Perf {88D68618-D20F-4EEC-BBAE-87E0D080602B}
Microsoft-Windows-COM-RunDownInstrumentation {29573130-FC4A-50A4-2F69-32CE5F08C44E}
Microsoft-Windows-Crypto-BCrypt {C7E089AC-BA2A-11E0-9AF7-68384825A819B}
Microsoft-Windows-FeatureConfiguration {C2F36562-A1E4-4BC3-A6F6-01A7AD0643E8}
Microsoft-Windows-Heap-Snapshot {901D2AFA-AFF6-46D7-8D0E-53645E1A47F5}
Microsoft-Windows-Kernel-AppCompat {16A1ADC1-9B7F-4CD9-94B3-D8296A81B130}
Microsoft-Windows-Networking-Correlation {83ED54F0-4D48-4E45-B16E-726FFD1FA4AF}
Microsoft-Windows-Shell-Core {30838ED4-E327-447C-9DE8-51B652C86100}
Microsoft-Windows-User-Diagnostic {305FC87B-802A-5E26-D297-60223012CA9C}
Microsoft-Windows-WinRT-Error {A86F8471-C31D-4F8C-A035-665D06047B03}
{05F95EFE-7F75-49C7-A994-60A55CC09571} {05F95EFE-7F75-49C7-A994-60A55CC09571}
{0C4C1871-8B0C-4577-9471-0B45454ADF50} {0C4C1871-8B0C-4577-9471-0B45454ADF50}
{1AFF6089-E863-4D36-B0FD-3581F074408E} {1AFF6089-E863-4D36-B0FD-3581F074408E}
{3C7A4F89-8002-44E3-B52C-365D0F4B382A} {3C7A4F89-8002-44E3-B52C-365D0F4B382A}
{57A6ED1A-79F7-5011-B242-4784E5628CF7} {57A6ED1A-79F7-5011-B242-4784E5628CF7}
{6AF9E939-1D95-430A-AFA3-7526FADEE370} {6AF9E939-1D95-430A-AFA3-7526FADEE370}
{703FCC13-B66F-5868-DD09-E2D87F381FFB} {703FCC13-B66F-5868-DD09-E2D87F381FFB}
{A74EFE00-14BE-4EF9-9DA9-1484D5473302} {A74EFE00-14BE-4EF9-9DA9-1484D5473302}
{86FD7108-F783-4B1C-AB9C-C680990C0C07} {86FD7108-F783-4B1C-AB9C-C680990C0C07}
{07A95318-D950-5E03-6282-3145A61B1002} {07A95318-D950-5E03-6282-3145A61B1002}
{8DA92AE8-9F11-4D49-BA1D-AC2ABCA692E5} {8DA92AE8-9F11-4D49-BA1D-AC2ABCA692E5}
{C7E09E2A-C663-5399-AF79-2FCCD321D19A} {C7E09E2A-C663-5399-AF79-2FCCD321D19A}
{CA967C75-048F-4085-9A16-9885F9332A92} {CA967C75-048F-4085-9A16-9885F9332A92}
{DAF2C88D-5AC9-5066-FF08-2D3D95275779} {DAF2C88D-5AC9-5066-FF08-2D3D95275779}
{F0558438-F56A-5987-47DA-040CA75AEF05} {F0558438-F56A-5987-47DA-040CA75AEF05}
{F3A71A4B-6118-4257-8CCB-39A338A059D4} {F3A71A4B-6118-4257-8CCB-39A338A059D4}

The command completed successfully.
```

```
C:\>logman query providers -pid 4

Error:
Element not found.

C:\>logman query providers -pid 0

Error:
The GUID passed was not recognized as valid by a WMI data provider.
```



- That's events. Now what about traces.
- No public docs by design. What about tooling?
- For user-mode providers we can enumerate GUIDs at most.
- For kernel providers we get nothing.

Step 3 – 3rd party Modern Trace tooling?

- Data Source Analysis and Dynamic Windows RE using WPP and TraceLogging | SpecterOps

ntoskrnl.exe TraceLogging Metadata - Windows 11 23H2 (Build 22H2.2861)

ntoskrnl_TraceLoggingMetadata.json

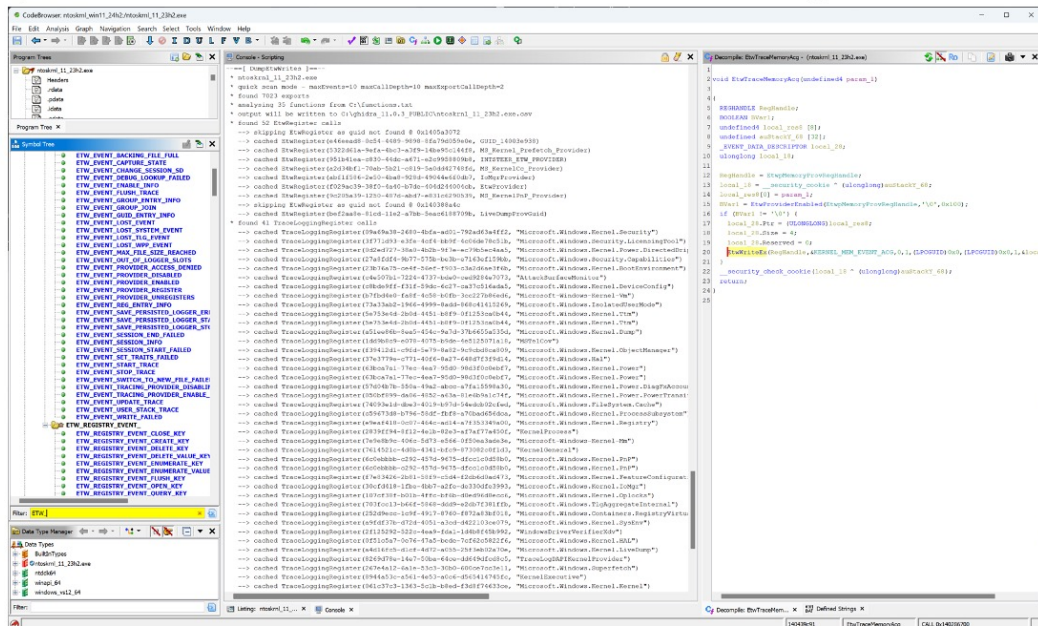
```
1 {
2   "Providers": [
3     {
4       "ProviderGUID": "9f51c5a7-9e76-47a5-bede-7cf62c5822f6",
5       "ProviderName": "Microsoft.Windows.Kernel.HAL",
6       "ProviderGroupGUID": "4f58731a-89cf-4782-b3e8-dce8c98476ba"
7     },
8     {
9       "ProviderGUID": "74893e1d-dbe3-4019-b97d-54edcb82cfed",
10      "ProviderName": "Microsoft.Windows.FileSystem.Cache",
11      "ProviderGroupGUID": "4f58731a-89cf-4782-b3e8-dce8c98476ba"
12    },
13    {
14      "ProviderGUID": "107cf38f-b01b-4ffc-bf6b-d0ed96d8ecc6",
15      "ProviderName": "Microsoft.Windows.Kernel.Opslocks",
16      "ProviderGroupGUID": "4f58731a-89cf-4782-b3e8-dce8c98476ba"
17    },
18    {
19      "ProviderGUID": "73a33ab2-1966-4999-8add-868c41415269",
20      "ProviderName": "Microsoft.Windows.IsolatedUserMode",
21      "ProviderGroupGUID": "4f58731a-89cf-4782-b3e8-dce8c98476ba"
22    },
23    {
24      "ProviderGUID": "a51ee86b-8ea5-454c-9a7d-37b655a535d",
25      "ProviderName": "Microsoft.Windows.Kernel.Dump",
```

```
14819   "Keyword": "0x0000000000000002",
14820   "Extension": [
14821     128
14822   ],
14823   "EventName": "SecurityDescriptorChanging",
14824   "FieldInfo": [
14825     {
14826       "FieldName": "KeyPath",
14827       "InType": "COUNTEDSTRING"
14828     },
14829     {
14830       "FieldName": "OriginalSD",
14831       "InType": "BINARY"
14832     },
14833     {
14834       "FieldName": "InformationToChange",
14835       "InType": "UINT32"
14836     },
14837     {
14838       "FieldName": "ChangeSD",
14839       "InType": "BINARY"
14840     },
14841     {
14842       "FieldName": "ResultingSD",
14843       "InType": "BINARY"
14844     }
14845   ]
14846 },
```



- TraceLogging metadata is stored as an opaque blob within the logging binary.
- Thankfully, this format has been reversed by Matt Graeber at SpecterOps.
- We can use Matt's script to dump all TraceLogging metadata for ntoskrnl.exe.
- Unfortunately, the metadata structure alone doesn't retain the correlation between providers and events.
- There are interesting provider names such as Microsoft.Windows.Kernel.Security and AttackSurfaceMonitor, but it's not yet clear which events belong to these providers.

Step 3 – Write new Modern Trace tooling!



- Ghidra is free. Ghidra is scriptable. And, at the time, I'd just read a blog from Pat Hogan about scripting Ghidra to hunt malware.
- Various projects out there to recover a call graph from a binary – including a great blog from Adam Chester on “Analysing RPC With Ghidra and Neo4j”.
- But I wanted more. I wanted as many parameters at the final call site as possible.
- Two relevant calls to correlate – `EtwRegister(ProviderId, hProvider)` and `EtwWrite(hProvider, pDescriptor, pData)`

Step 3 – Write new Modern Trace tooling!

Function	ProviderSymbol	RegHandleSymbol	WriteFunction	EventDescriptorSymbol
NtAllocateVirtualMemory	MemoryProvGuid	EtwpMemoryProvRegHandle	EtwWriteEx	KERNEL_MEM_EVENT_ACG
NtAllocateVirtualMemory	SecurityMitigationsProviderGuid	EtwSecurityMitigationsRegHandle	EtwWrite	MITIGATION_AUDIT_PROHIBIT_DYNAMIC_CODE
NtAllocateVirtualMemory	SecurityMitigationsProviderGuid	EtwSecurityMitigationsRegHandle	EtwWrite	MITIGATION_ENFORCE_PROHIBIT_DYNAMIC_CODE
NtAllocateVirtualMemory	ThreatIntProviderGuid	EtwThreatIntProvRegHandle	EtwWrite	
NtAllocateVirtualMemory	KernelGeneral		_tlgWriteTransfer	GenericMitigationForProcess
NtAllocateVirtualMemory			_tlgWriteEx	ProcessReserveMemFailed
NtCreateSection	SecurityMitigationsProviderGuid	EtwSecurityMitigationsRegHandle	EtwWrite	MITIGATION_AUDIT_PROHIBIT_NON_MICROSOFT_BINARIES
NtCreateSection	SecurityMitigationsProviderGuid	EtwSecurityMitigationsRegHandle	EtwWrite	MITIGATION_ENFORCE_PROHIBIT_NON_MICROSOFT_BINARIES
NtCreateSection	KernelGeneral		_tlgWriteTransfer	ProhibitNonMicrosoftBinaries
NtDeviceIoControlFile	SecurityMitigationsProviderGuid	EtwSecurityMitigationsRegHandle	EtwWrite	MITIGATION_AUDIT_PROHIBIT_FSCTL_SYSTEM_CALLS
NtDeviceIoControlFile	SecurityMitigationsProviderGuid	EtwSecurityMitigationsRegHandle	EtwWrite	MITIGATION_ENFORCE_PROHIBIT_FSCTL_SYSTEM_CALLS
NtDeviceIoControlFile	AttackSurfaceMonitor		_tlgWriteTransfer	AsstIoctlCalled
NtDeviceIoControlFile	KernelGeneral		_tlgWriteTransfer	GenericMitigationForProcess
NtFsControlFile	SecurityMitigationsProviderGuid	EtwSecurityMitigationsRegHandle	EtwWrite	MITIGATION_AUDIT_PROHIBIT_FSCTL_SYSTEM_CALLS
NtFsControlFile	SecurityMitigationsProviderGuid	EtwSecurityMitigationsRegHandle	EtwWrite	MITIGATION_ENFORCE_PROHIBIT_FSCTL_SYSTEM_CALLS
NtFsControlFile	AttackSurfaceMonitor		_tlgWriteTransfer	AsstIoctlCalled
NtFsControlFile	KernelGeneral		_tlgWriteTransfer	GenericMitigationForProcess
NtGetEnvironmentVariableEx	Microsoft.Windows.Kernel.SysEnv		_tlgWriteTransfer	GetVariable
NtMapViewOfSection	MemoryProvGuid	EtwpMemoryProvRegHandle	EtwWriteEx	KERNEL_MEM_EVENT_ACG
NtMapViewOfSection	PsProvGuid	EtwpPsProvRegHandle	EtwWriteEx	ImageLoad
NtMapViewOfSection	SecurityMitigationsProviderGuid	EtwSecurityMitigationsRegHandle	EtwWrite	MITIGATION_AUDIT_PROHIBIT_DYNAMIC_CODE
NtMapViewOfSection	SecurityMitigationsProviderGuid	EtwSecurityMitigationsRegHandle	EtwWrite	MITIGATION_AUDIT_PROHIBIT_LOWIL_IMAGE_MAP
NtMapViewOfSection	ThreatIntProviderGuid	EtwThreatIntProvRegHandle	EtwWrite	
NtMapViewOfSection	ThreatIntProviderGuid	EtwThreatIntProvRegHandle	EtwWrite	
NtMapViewOfSection	KernelGeneral		_tlgWriteTransfer	GenericMitigationForProcess
NtOpenProcess	KernelAuditApiCallsGuid	EtwApiCallsProvRegHandle	EtwWrite	KERNEL_AUDIT_API_OPENPROCESS
NtOpenProcess	Microsoft.Windows.Kernel.ProcessSubsystem		_tlgWriteTransfer	ProcessOpenFailedForForcedAccessCheck
NtOpenThread	KernelAuditApiCallsGuid	EtwApiCallsProvRegHandle	EtwWrite	KERNEL_AUDIT_API_OPENTHREAD
NtOpenThread	Microsoft.Windows.Kernel.ProcessSubsystem		_tlgWriteTransfer	ThreadOpenFailedForForcedAccessCheck
NtQueryEnvironmentVariableInfoEx	Microsoft.Windows.Kernel.SysEnv		_tlgWriteTransfer	QueryVariables



- The Microsoft.Windows.Kernel.SysEnv TraceLogging provider has events for the NtGetEnvironmentVariableEx syscall
- Probably lots more interesting kernel events to discover.
- Note – because ETW was originally designed for debugging rather than security auditing there is lots of logging for failure cases only.

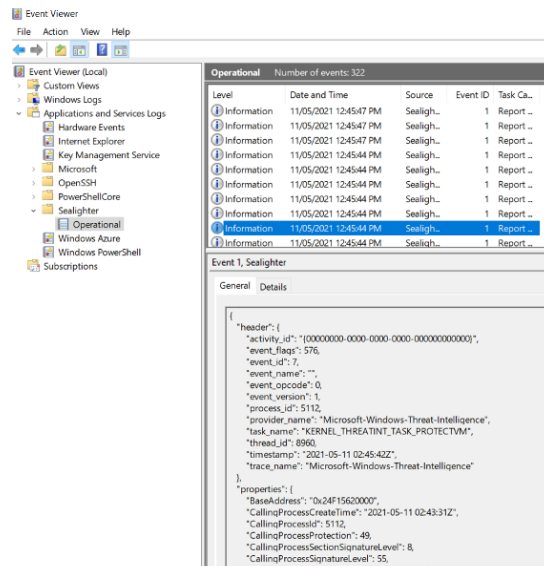
Step 4 – Write new Legacy Trace tooling!

- Public symbols for some drivers
- No `ntoskrnl.exe` WPP trace events



- WPP metadata is stored within symbols files (PDBs).
- Microsoft includes this information in the public symbols for some, but not all, drivers.
- The kernel itself, however, does not produce any WPP events.
- My Ghidra script includes support for WPP events anyway.
- It should work on any binaries with similar coding styles to the kernel – and preferably with symbols available.

Step 5 – 3rd party Threat-Intelligence PPL?



- Antimalware-PPL is the Kernel ETW elephant.
- The best events are only available if you have a security driver co-signed by Microsoft.
- Pat Hogan provided the first publicly available ETW-TI tooling to the community.
- <https://github.com/pathtofile/SealighterTI>
- It didn't quite meet my needs - it logs a json blob to the event log.
- Because of the massive event volumes, I wanted fine-grained filtering.

Step 5 – Write new BYOVD PPL Kernel ETW tooling!

- <https://github.com/microsoft/krabsetw>

+

- <https://github.com/Mattiwatti/PPLKiller>



- So, I rolled my own.

My Kernel ETW Journey

- Step 1 – Write new Modern Event tooling!
- Step 2 – Write new Legacy Event tooling!
- Step 3 – Write new Modern Trace tooling!
- Step 4 – Write new Legacy Trace tooling!
- Step 5 – Write new BYOVD PPL Kernel ETW tooling!



- If you haven't noticed, I'm a big fan of writing tooling as a way of consolidating knowledge on a topic.
- Other good approaches include explaining the topic to others including blogging and presenting at SecTalks, CSides or BSides.

My Kernel ETW Journey

- <https://github.com/jdu2600/Windows10EtwEvents>
- <https://github.com/zodiacon/EtwExplorer>
- <https://github.com/microsoft/krabsetw>
- <https://github.com/jdu2600/API-To-ETW> *new
- <https://github.com/jdu2600/ETW-PPL-Tester> *new



- There will also be an Elastic Security Labs blog post for those (like me) that prefer to learn by reading.
- This is also why I try to include speaker notes in my slides.

Other free ETW Tooling

- <https://github.com/mandiant/SilkETW>
- <https://docs.velociraptor.app/blog/2021/2021-08-18-velociraptor-and-etw/>
- https://www.elastic.co/docs/current/integrations/windows_etw
- <https://www.elastic.co/security/endpoint-security>
 - `advanced.events.api_verbose: true`



- afaik Elastic Endpoint is the only freely available option to receive de-duplicated, enriched ETW-TI events.



<https://github.com/jdu2600>

<https://twitter.com/jdu2600>

