# The dynamics of starvation and recovery

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<sup>6</sup> The eco-evolutionary dynamics of species is fundamentally linked <sup>64</sup> compared to normal periods [9, 10], sometimes delaying or even 7 to the energetic constraints of its constituent individuals. Of par- 65 foregoing reproduction for a breeding season [1, 11, 12]. Even 8 ticular importance are the tradeoffs between reproduction and 9 the dynamics of starvation and recovery in resource-limited envi-10 ronments. To elucidate the consequences of this tradeoff, we 11 introduce a minimal nutritional state-structured model that in-12 corporates two classes of consumer: nutritionally replete con-13 sumers that reproduce, and undernourished, non-reproducing 14 consumers that are susceptible to mortality. As a function of the 15 transition rates between these replete and undernourished states 72 seasons in resource-rich environments where they accumulate 16 that are determined by the presence or absence of resources, 73 nutritional reserves [14, 15, 16]. 17 the consumer populations can either undergo cyclic dynamics or 74 18 reach a steady state. We obtain strong constraints on starvation 19 and recovery rates by deriving allometric scaling relationships 20 and find that population dynamics subject to these constraints can approach the cyclic regime but are typically driven to a steady 22 state. Moreover, we find that these rates fall within a 'refuge' in pa-23 rameter space, where the probability of extinction of the consumer population is minimized. Thus we identify a potential mechanism 26 ulations. Our model provides a natural framework that predicts 82 stress [19, 20]. In the extreme case of unicellular organisms, 27 maximum body size for mammals by determining the relative stability of an otherwise homogeneous population to a mutant pop-29 ulation with altered percent body fat. For body masses  $\leq 10^7 {\rm g}$ , 30 individuals with increased energetic reserves can invade resident  $_{31}$  populations, and vice versa for body mass  $\gtrsim 10^7 {
m g}$ , thus providing 32 a principled mechanism for a within-lineage driver of Cope's rule.

33 foraging | starvation | reproduction

34 Significance Statement Energetic investment in somatic mainte-35 nance and growth vs. reproduction directly impacts the dynamics of 36 populations among species. Here, we construct a Nutritional State- $_{\rm 37}$  structured Model (NSM) to assess the population-level effects of star-38 vation and recovery of a consumer population in a resource-limited en-39 vironment, and use allometric scaling relationships for mammals to es-40 tablish all timescales and rates. Our model reveals that mammalian 41 energetic rates minimize the probability of stochastic extinction, estab-42 lishes dynamic bounds on mammalian body size while providing inde-43 pendent theoretical support for the energy equivalence hypothesis, and 44 provides a mechanistic driver for the evolutionary trend towards larger 101 capturing account 102 affects of starvation. Namely, only individuals with sufficient 45 body size known as Cope's rule.

## 46 Introduction

48 ergetic state of individuals, which directly influences how they 106 the idea that reproduction is strongly constrained allometrically 49 invest reserves in uncertain environments. Such behaviors are 107 [3], and is not generally linearly related to resource density. As 50 generally manifested as tradeoffs between investing in somatic 108 we shall show, these constraints influence the ensuing popula-51 maintenance and growth, or allocating energy towards repro-52 duction [1, 2, 3]. The timing of these behaviors responds to 53 selective pressure, as the choice of the investment impacts fu-54 ture fitness [4, 5, 6]. The influence of resource limitation on an 55 organism's ability to maintain its nutritional stores may lead to 56 repeated delays or shifts in reproduction over the course of an 57 organism's life.

The balance between (a) somatic growth and maintenance, 59 and (b) reproduction depends on resource availability [7]. For 60 example, reindeer invest less in calves born after harsh win-61 ters (when the mother's energetic state is depleted) than in 62 calves born after moderate winters [8]. Many bird species in-63 vest differently in broods during periods of resource scarcity

66 freshwater and marine zooplankton have been observed to avoid 67 reproduction under nutritional stress [13], and those that do 68 reproduce have lower survival rates [2]. Organisms may also 69 separate maintenance and growth from reproduction over space 70 and time: many salmonids, birds, and some mammals return to 71 migratory breeding grounds to reproduce after one or multiple

Physiology also plays an important role in regulating re-75 productive expenditures during periods of resource limitation. 76 The data collected thus far has shown that diverse mammals (47 77 species in 10 families) exhibit delayed implantation, whereby fe-78 males postpone fetal development (blastocyst implantation) un-79 til nutritional reserves can be accumulated [17, 18]. Many other 80 many species (including humans) suffer irregular menstrual cythat may both drive and constrain the dynamics of animal pop- 81 cling and higher abortion rates during periods of nutritional 83 nutrition is unavoidably linked to reproduction because the nu- $_{84}$  tritional state of the cell regulates all aspects of the cell cycle 85 [21]. The existence of so many independently evolved mecha-86 nisms across such a diverse suite of organisms highlights the im-87 portance and universality of the fundamental tradeoff between 88 somatic and reproductive investment. However the general dy-89 namic implications of these constraints are unknown.

Though straightforward conceptually, incorporating the en-91 ergetic dynamics of individuals [22] into a population-level 92 framework [22, 23] presents numerous mathematical obsta-93 cles [24]. An alternative approach involves modeling the 94 macroscale relations that guide somatic versus reproductive 95 investment in a consumer-resource system. 96 macroscale Lotka-Volterra models assume that the growth rate 97 of the consumer population depends on resource density, thus 98 implicitly incorporating the requirement of resource availability 99 for reproduction [25].

In this work, we adopt an alternative approach in which we 101 explicitly account for resource limitation and the subsequent 103 energetic reserves can reproduce. Such a constraint leads to 104 reproductive time lags due to some members of the population 47 The behavioral ecology of all organisms is influenced by the en- 105 going hungry and then recovering. Additionally, we incorporate

#### **Reserved for Publication Footnotes**

109 tion dynamics in dramatic ways.

### 111 Nutritional state-structured model (NSM)

113 population Model (NSM), where the consumer population is 160 inequality is a natural consequence of allometric constraints [3] 114 partitioned into two states: (a) an energetically replete (full) 161 for organisms within empirically observed body size ranges. 115 state F, where the consumer reproduces at a constant rate  $\lambda$  162 116 and does not die from starvation, and (b) an energetically de-163 either be a stable node or a limit cycle (Fig. 1). In continuous-117 ficient (hungry) state H, where the consumer does not repro- 164 time systems, a limit cycle arises when a pair of complex con-118 duce but dies by starvation at rate  $\mu$ . The underlying resource 165 jugate eigenvalues crosses the imaginary axis to attain positive 119 R evolves by logistic growth with an intrinsic growth rate  $\alpha$  166 real parts [31]. This Hopf bifurcation is defined by  $\text{Det}(\mathbf{S}) = 0$ , 120 and a carrying capacity equal to one. Consumers transition 167 with S the Sylvester matrix, which is composed of the coef-<sub>121</sub> from the full state F to the hungry state H at a rate  $\sigma$ —the <sub>168</sub> ficients of the characteristic polynomial of the Jacobian ma-122 starvation rate—and also in proportion to the absence of re-169 trix [32]. As the system parameters are tuned to be within the 123 sources (1-R). Conversely, consumers recover from state  $H_{170}$  stable regime but close to the Hopf bifurcation, the amplitude of  $_{124}$  to state F at rate  $\rho$  and in proportion to R. Resources are  $_{171}$  the transient but decaying cycles become large. Given that eco-125 also eaten by the consumers—at rate  $\rho$  by hungry consumers 172 logical systems are constantly being perturbed [33], the onset of 126 and at rate  $\beta < \rho$  by full consumers. This inequality accounts 173 transient cycles, even though they decay with time in the mean-127 for hungry consumers requiring more resources to replace lost 174 field description, can increase the extinction risk [34, 35, 36]. 128 body tissue. The NSM represents a fundamental extension of 175 Thus the distance of a system from the Hopf bifurcation pro-129 the idealized starving random walk model of foraging, which 176 vides a measure of its persistence. 130 focuses on resource depletion, to include reproduction and re- 177 131 source replenishment [26, 27, 28].

ing to the rate equations

$$\begin{split} \dot{F} &= \lambda F + \rho R H - \sigma (1-R) F, \\ \dot{H} &= \sigma (1-R) F - \rho R H - \mu H, \\ \dot{R} &= \alpha R (1-R) - R (\rho H + \beta F). \end{split} \tag{1}$$

133 ing to  $\dot{F} + \dot{H} = \lambda F - \mu H$ . This resembles the equation of 189 When  $\sigma \gg \lambda$ , the steady-state population density is small, 134 motion for the predator density in the classic Lotka-Volterra 190 thereby increasing the risk of stochastic extinction. On the model [29], except that the resource density does not appear in 191 other hand, as  $\sigma$  decreases, the system will ultimately be poised 136 the growth term. As discussed above, the attributes of repro-192 either near the TC or the Hopf bifurcation (Fig. 1). If the re-137 duction and mortality have been explicitly apportioned to the 193 covery rate  $\rho$  is sufficiently small, the TC bifurcation is reached  $_{138}$  full and hungry consumers, respectively, so that the growth in  $_{194}$  and the resource eventually is eliminated. If  $\rho$  exceeds a thresh-139 the total density is decoupled from the resource density.

Equation [1] has three fixed points: two trivial fixed points  $_{196}$  is approached. at  $(F^*, H^*, R^*) = (0, 0, 0)$  and (0, 0, 1), and one non-trivial, <sub>197</sub> internal fixed point at

$$F^* = \frac{\alpha \lambda \mu(\mu + \rho)}{(\lambda \rho + \mu \sigma)(\lambda \rho + \mu \beta)},$$

$$H^* = \frac{\alpha \lambda^2 (\mu + \rho)}{(\lambda \rho + \mu \sigma)(\lambda \rho + \mu \beta)},$$

$$R^* = \frac{\mu(\sigma - \lambda)}{\lambda \rho + \mu \sigma}.$$

The stability of this fixed point is determined by the Jaco141 bian matrix  $\bf J$ , where each matrix element  $J_{ij}=\partial\dot{X}_i/\partial X_j$ 142 when evaluated at the internal fixed point, and  $\bf X$  is the vec143 tor (F,H,R). The parameters in Eq. [1] are such that the
144 real part of the largest eigenvalue of  $\bf J$  is negative, so that the
145 system is stable with respect to small perturbations from the
146 fixed point. Because this fixed point is unique, it is the global
147 attractor for all population trajectories for any initial condition 146 fixed point. Because this fixed point is unique, it is the global attractor for all population trajectories for any initial condition are where the resource and consumer densities are both nonzero.

156 time. The biological implication of the constraint  $\lambda < \sigma$  has a 157 simple interpretation—the rate at which a macroscopic organ-158 ism loses mass due to lack of resources is generally much faster 112 We begin by defining a minimal Nutritional State-structured 159 than the rate of reproduction. As we will discuss below, this

In the physical regime of  $\lambda < \sigma$ , the fixed point [2] may

When the starvation rate  $\sigma \gg \lambda$ , a substantial fraction 178 of the consumers are driven to the hungry non-reproducing In the mean-field approximation, in which the consumers 179 state. Because reproduction is inhibited, there is a low steadyand resources are perfectly mixed, their densities evolve accord-180 state consumer density and a high steady-state resource den-181 sity. However, if  $\sigma/\lambda \rightarrow 1$  from above, the population is 182 overloaded with energetically-replete (reproducing) individuals, 183 thereby promoting oscillations between the consumer and re-[1] 184 source densities (Fig. 1).

Whereas the relation between consumer growth rate  $\lambda$  and 186 the starvation rate  $\sigma$  defines an absolute bound of biological Notice that the total consumer density F+H evolves accord
187 feasibility—the TC bifurcation— $\sigma$  also determines the sensitiv
188 ity of the consumer population to changes in resource density. 195 old value, cyclic dynamics will develop as the Hopf bifurcation

### 198 Role of allometry

199 While there are no a priori constraints on the parameters in 200 the NSM, most organisms correspond to restricted portions of 201 the parameter space. Here we use allometric scaling relations [2] 202 to constrain the covariation of rates in a principled and biologi-203 cally meaningful manner. Allometric scaling relations highlight 204 common constraints and average trends across large ranges in 205 body size and species diversity. Many of these relations can be 206 derived from a small set of assumptions and below we describe a The stability of this fixed point is determined by the Jaco- 207 framework to determine the covariation of timescales and rates

From Eq. [2], an obvious constraint on the NSM is that From Eq. [2], an obvious constraint on the NSM is that 150 the reproduction rate  $\lambda$  must be less than the starvation rate 151  $\sigma$ , so that  $R^*$  is positive. In fact, when the resource density 215 R=0, the rate equation for F gives exponential growth of 153 F for  $\lambda>\sigma$ . The condition  $\sigma=\lambda$  represents a transcritical 154 (TC) bifurcation [30] that demarcates the physical regime from 155 the unphysical regime where F would grow exponentially with 150 organism's metabolic rate B and its body mass M at reproductive maturity is known to scale as  $B=B_0M^{\eta}$  [39], where the 217 scaling exponent  $\eta$  is typically close to 2/3 or 3/4 for metazoans 218 (e.g., [38]), and has taxonomic shifts for unicellular species be-219 tween  $\eta\approx 1$  in eukaryotes and  $\eta\approx 1.76$  in bacteria [40, 3]. An 220 organism's metabolic rate B is proportional to the cost of tissue maintenance in the absence of growth (i.e., when the body 221 mass is M). By definition  $B=\beta/\xi$ , where  $\beta$  is the rate at

224 and where  $\xi$  is related to the conversion efficiency of resource 278 the digestion of existing mass as the sole energy source. This 225 to consumer tissue (Supporting Information).

Several efforts have shown how a partitioning of B be-227 tween growth and maintenance purposes can be used to de-228 rive a general equation for both the growth trajectories and 229 growth rates of organisms ranging from bacteria to metazoans 230 [41, 42, 43, 44, 3]. This relation is derived from the simple 231 balance condition [41, 42, 43, 44, 3]

$$B_0 m^{\eta} = E_m \dot{m} + B_m m \,, \tag{3}$$

232 where  $D_m$  is the energy here as a support an existing unit of mass, and 284 of an organism, the above energy balance prescribes the mass 234 m is the mass of the organism at any point in its development. 285 trajectory of a non-consuming organism: 235 This balance has the general solution [45, 3]

$$\left(\frac{m\left(t\right)}{M}\right)^{1-\eta} = 1 - \left[1 - \left(\frac{m_0}{M}\right)^{1-\eta}\right] e^{-a\left(1-\eta\right)t/M^{1-\eta}} \qquad [4]$$

where, for  $\eta < 1$ ,  $M = (B_0/B_m)^{1/(1-\eta)}$  is the asymptotic mass,  $_{237} a = B_0/E_m$ , and  $m_0$  is mass at birth. We now use this solu-238 tion to define the timescale of reproduction and recovery from 239 starvation (Fig. 2; see [42] for a detailed presentation of these star varion (Fig. 2, see [42] for a detailed presentation of these 240 timescales). The time that it takes to reach a particular mass 288 The starvation rate is then  $\sigma = 1/t_{\sigma}$ , which scales with replete-241  $\epsilon M$  is given by the timescale 289 state mass as  $1/M^{1-\eta} \ln (1 - f_0 M^{\gamma}/M)$ . An important feature  $_{241}$   $\epsilon M$  is given by the timescale

$$\tau\left(\epsilon\right) = \ln\left[\frac{1 - \left(m_0/M\right)^{1-\eta}}{1 - \epsilon^{1-\eta}}\right] \frac{M^{1-\eta}}{a\left(1 - \eta\right)}$$
 [5]

242 where we will define values of  $\epsilon$  to describe a set of rates within 294 Suppose, for example, that an organism dies once it has di-243 our model. For the time to reproduce,  $t_{\lambda} = \tau\left(\epsilon_{\lambda}\right)$ , where  $\epsilon_{\lambda}$  is 295 gested all fat and muscle tissues, and that muscle tissue scales 244 the fraction of the asymptotic mass where an organism is repro-296 with body mass according to  $M_{\rm musc}=u_0M^{\zeta}$ . This gives ductively mature and should be close to one (typically  $\epsilon_{\lambda} \approx 0.95$  297  $\epsilon_{\mu} = 1 - \left(f_0 M^{\gamma} + u_0 M^{\zeta}\right)/M$ . Muscle mass has been shown 246 [41]). The growth rate is then given by  $\lambda = \ln(\upsilon)/t_{\lambda}$  where  $\upsilon$  is 298 to be roughly proportional to body mass [46] in mammals and 247 the number of offspring produced, and for any constant value of 299 thus  $\epsilon_{\mu}$  is merely  $\epsilon_{\sigma}$  minus a constant. The time to death is the  $_{248}$   $\epsilon_{\lambda}$  this will scale like  $\lambda \propto M^{\eta-1}$  for  $M >> m_0$  [41, 42, 43, 44, 3]. 300 total time to reach  $\epsilon_{\mu}M$  minus the time to starve, or

The rate of recovery  $\rho = 1/t_{\rho}$  requires that an organism 250 accrues sufficient tissue to transition from the hungry to the 251 full state. Since only certain tissues can be digested for energy 252 (for example the brain cannot be degraded to fuel metabolism), 253 we define the rates for starvation, death, and recovery by the  $^{301}$  and  $\mu=1/t_{\mu}$ . Although the rate equations [1] are general, here we focus Although the rate equations [1] are general, here we focus Although the rate equations [1] are general, here we focus Although the rate equations [1] are general, here we focus Although the rate equations [1] are general, here we focus on parameterizations for terrestrial-bound endotherms, specifications and the fraction of replete-state mass where reproductions are the fractions are the fraction of replete-state mass where reproductions are the fr 258 tion ceases. This fraction will be modified if tissue composition 259 systematically scales with adult mass. For example, making 260 use of the observation that body fat in mammals scales with 261 overall body size according to  $M_{\rm fat} = f_0 M^{\gamma}$  and assuming that 262 once this mass is fully digested the organism storage this result. 262 once this mass is fully digested the organism starves, this would included the organism starves, this would included the organism starves are started to the considerable of the consi 263 imply that  $\epsilon_{\sigma} = 1 - f_0 M^{\gamma}/M$ . It follows that the recovery 264 timescale,  $t_{\rho}$ , is the time to go from  $m = \epsilon_{\sigma} \epsilon_{\lambda} M$  to  $m = \epsilon_{\lambda} M$  312 Stabilizing effects of  $\epsilon_{\mu}$ . 265 (Fig. 2). Using Eqs. [4] and [5] this timescale is given by  $\frac{313}{48}$  Stabilizing effects of allometric constraints 267 in which case

$$t_{\rho} = \ln \left[ \frac{1 - (\epsilon_{\sigma} \epsilon_{\lambda})^{1 - \eta}}{1 - \epsilon^{1 - \eta}} \right] \frac{M^{1 - \eta}}{a' (1 - \eta)}$$
 [6]

where  $a' = B_0/E'_m$  accounts for possible deviations in the 320 small window of potential values (Fig. 4) for the known range 269 biosynthetic energetics during recovery (see Supporting Infor- 321 of body sizes M. We thus find that the dynamics for all mam-270 mation). It should be noted that more complicated ontogenetic 322 malian body sizes is confined to the steady-state regime of the 271 models explicitly handle storage [44], whereas this feature is 323 NSM and that limit-cycle behavior is precluded. Moreover, for

274 time required for an organism to go from a mature adult that 326 mean; Fig. 4) results in little qualitative difference in the dis-275 reproduces at rate  $\lambda$ , to a reduced-mass hungry state where re- 327 tance to the Hopf bifurcation. These results suggest that

which resources are consumed for full consumers (see Eq. [1]) 277 that an organism must meet its maintenance requirements using 279 assumption implies the following simple metabolic balance

$$\dot{m}E_m' = -B_m m \tag{7}$$

$$\dot{m} = -\frac{a'}{M^{1-\eta}}m\tag{8}$$

 $B_0 m^{\eta} = E_m \dot{m} + B_m m$ , [3] 281 where  $E'_m$  is the amount of energy stored in a unit of existance  $E_m$  is the energy needed to synthesize a unit of mass,  $B_m$  282 ing body mass which differs from  $E_m$ , the energy required to 283 synthesis a unit of biomass [44]. Given the replete mass, M, and 283 synthesis a unit of biomass [44].

$$m(t) = Me^{-a't/M^{1-\eta}}.$$
 [9]

 $_{\rm 286}$  The time scale for star vation is given by the time it takes m(t)<sub>287</sub> to reach  $\epsilon_{\sigma}M$ , which gives

$$t_{\sigma} = -\frac{M^{1-\eta}}{a'} \ln \left( \epsilon_{\sigma} \right).$$
 [10]

[5]  $^{291}_{292}$  3), which is important for the dynamics that we later discuss. The time to death should follow a similar of the dynamics.  $_{290}$  is that  $\sigma$  does not have a simple scaling dependence on  $\lambda$  (Fig.

The time to death should follow a similar relation, but de- $_{293}$  fined by a lower fraction of replete-state mass,  $m_{\mu} = \epsilon_{\mu} M.$ 

$$t_{\mu} = -\frac{M^{1-\eta}}{a'} \ln\left(\epsilon_{\mu}\right) - t_{\sigma}, \qquad [11]$$

265 (Fig. 2). Using Eqs. [4] and [5] this timescale is given by  $m_0' = \epsilon_\sigma \epsilon_\lambda M$ , and  $m_0' = \epsilon_\sigma \epsilon_\lambda M$ , are  $m_0' = \epsilon_\sigma \epsilon_\lambda M$ . 316 the biologically relevant portion of the phase space shown in [6]  $^{317}$  Fig. 1 is constrained via covarying parameters. Given the pa-  $^{318}$  rameters of terrestrial endotherms, we find that the starvation 319 rate  $\sigma$  and the recovery rate  $\rho$  are constrained to lie within a 272 implicitly covered by the body fat scaling in our framework. 324 larger M, the distance to the Hopf bifurcation increases, while To determine the starvation rate,  $\sigma$ , we are interested in the 325 uncertainty in allometric parameters (20% variation around the 276 production is impossible. For starving individuals we assume 328 small mammals are more prone to population oscillations—both 329 stable limit cycles and transient cycles—than mammals with 393 Dynamic and energetic barriers to body size 330 larger body size. Thus our NSM model predicts that popula-394 Metabolite transport constraints are widely thought to place 331 tion cycles should be less common for larger species and more 395 strict boundaries on biological scaling [54, 55, 38] and thereby 332 common for smaller species, particularly in environments where 396 lead to specific predictions on the minimum possible body size 333 resources are limiting.

334 335 ric constraints to explain the periodicity of cyclic populations 399 and benefits associated with larger body masses, particularly 336 [47, 48, 49], suggesting a period  $\propto M^{0.25}$ . However this relation 400 for mammals. One important such example is the *fasting en-*337 seems to hold only for some species [50], and potential drivers 401 durance hypothesis, which contends that larger body size, with 338 range from predator and/or prey lifespans to competitive dy- 402 consequent lower metabolic rates and increased ability to main-339 namics [51, 52]. Statistically significant support for the exis-403 tain more endogenous energetic reserves, may buffer organisms 340 tence of population cycles among mammals is predominantly 404 against environmental fluctuations in resource availability [57]. 341 based on time series for small mammals [53], in agreement with 405 Over evolutionary time, terrestrial mammalian lineages show a 342 our predictions of more pronounced transient dynamics, given 406 significant trend towards larger body size (known as Cope's 343 how close these points are to the Hopf bifurcation. On the other 407 rule) [58, 59, 60, 61], and it is thought that within-lineage 344 hand, the longer gestational times and the increased difficulty 408 drivers generate selection towards an optimal upper bound of 345 in measurements, precludes obtaining similar-quality data for 409 roughly 10<sup>7</sup> grams [58], a value that is likely limited by higher 346 larger organisms.

### 347 Extinction risk

348 Within our model, higher rates of star values and the state re349 flux of the population to the hungry state. In this state re350 production is absent, thus increasing the likelihood of extinc351 tion. From the perspective of population survival, it is the rate
351 tion. From the perspective of population survival, it is the rate
352 The NSM correctly predicts that species with smaller 352 of starvation relative to the rate of recovery that determines 418 masses have larger steady-state population densities (Fig. 6A). 353 the long-term dynamics of the various species (Fig. 1). We 419 Moreover, we show that the NSM provides independent theotherefore examine the competing effects of cyclic dynamics vs. 420 retical support for the energy equivalence hypothesis [62, 63]. changes in steady state density on extinction risk as a function 421 The energy equivalence hypothesis argues that the total energy 355 changes in steady state density on extinction risk as a function  $\rho$  and  $\rho$ . To this end, we computed the probability of ex357 tinction, where we define extinction as a population trajectory 422 use,  $\rho$  appulation is constant independent of species size 358 falling below one tenth of the allometrically constrained steady 359 state at any time between  $\rho$  and  $\rho$  365 tion risk across a range of values for  $\sigma$  and  $\rho$  between ca.  $10^{-6}$  and  $\sigma$  in risk across a range of values for  $\sigma$  and  $\sigma$  between ca.  $10^{-6}$  and  $\sigma$  is too risk across a range of values for  $\sigma$  and  $\sigma$  between ca.  $10^{-6}$  and  $\sigma$  is 10 and 10 range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result if remarkable because it illustrates that 10 same range. This result is same range. This result is part and same range. This result is part and same range. This same range. This s

384 populations. Such a mechanism would select for organism phys-385 iology that generates appropriate  $\sigma$  and  $\rho$  values that serve to 386 minimize extinction risk. This selection could occur via the 450 mammals have an intrinsic competitive advantage given a com-451 mon resource, but does not offer a within-lineage mechanism by 452 which larger body sizes are selected for. 387 tuning of body fat percentages, metabolic rates, and biomass ass maintenance efficiencies. To summarize, our finding that the same than the same that the same than the same that the same than th 391 may both drive—and constrain—natural animal populations.

397 for organisms [56]. Above this bound, a number of energetic and It should be noted that previous studies have used allomet- 398 evolutionary mechanisms have been explored to assess the costs 410 extinction risk for large taxa over longer timescales [59]. These 411 trends are thought to be driven by a combination of climate 412 change and niche availability [61]; however the underpinning 413 energetic costs and benefits of larger body sizes, and how they 348 Within our model, higher rates of starvation result in a larger 414 influence dynamics over ecological timescales, have not been ex-

We contend that the NSM provides a mechanistic under-375 probabilities are minimized.

We find that the allometrically constrained values of  $\sigma$  and  $\sigma$  and  $\sigma$  and  $\sigma$  fall squarely within the extinction refuge (Fig. 5, white point).

378 These values are close enough to the Hopf bifurcation to avoid  $\sigma$  amplitude transient cycles. The fact that allometric values of  $\sigma$  amplitude transient cycles. The fact that allometric values of  $\sigma$  amplitude transient cycles. The fact that allometric values of  $\sigma$  amplitude transient cycles. The fact that allometric values of  $\sigma$  amplitude transient cycles. The fact that allometric values of  $\sigma$  amplitude transient cycles. The fact that allometric values of  $\sigma$  amplitude transient cycles. The fact that allometric values of  $\sigma$  amplitude transient cycles. The fact that allometric values of  $\sigma$  amplitude transient cycles. The fact that allometric values of  $\sigma$  and  $\sigma$  fall within this relatively small window supports the possibility that a selective mechanism has constrained the physiolog-sical conditions that drive starvation and recovery rates within second values of  $\sigma$  and  $\sigma$  fall within this relatively small window supports the possibility that a selective mechanism has constrained the physiolog-sical conditions that drive starvation and recovery rates within second values of  $\sigma$  the contend that the NSM provides a mechanistic under-standing of the energetic dynamics that give rise to both observed limitations on mammalian body size as well as the observed trend towards larger body size over evolutionary time.

445 decreases with increasing body size of the consumer population (Fig. 6C), and classic resource competition theory predicts that the species surviving on the lowest resource abundance will out-standing of the energetic dynamics and eliminations on mammalian body size as well as the observed trend towards larger body size of the consumer populations of the energetic dynamics that give rise to both observed them towards larger body size of the consumer of th

388 maintenance emerciacies. To summate  $\epsilon$ , within this low ex454 msm, we begin by noting that  $\epsilon$  and  $\epsilon$  where mammals  $\epsilon$  mammalian body size is given by  $\epsilon_{\sigma}=0$ , where mammals 389 diloniestically-actor mines parameters and the NSM dynamics 455 mainmanan body blue is \$1.50 metabolic reserves, and this occurs 456 are entirely composed of metabolic reserves, and this occurs at  $M = 8.3 \times 10^8$ , or  $4.5 \times$  the mass of a blue whale. Next 458 we examine to what extent a more realistic upper bound to 459 body mass may serve as an evolutionary attractor, thus provid461 directly assess the susceptibility of an otherwise homogeneous 487 tive landscape, will determine whether specific body sizes are 462 population to invasion by a mutated subset of the population 488 selected for or against [61], we suggest that the dynamics of 463 (denoted by ') where individuals have a modified proportion of 489 starvation and recovery described in the NSM may provide a 464 body fat  $M' = M(1 + \chi)$  where  $\chi \in [-1, 1]$ , thus altering the 490 general driving mechanism for the evolution of larger body size 465 rates of starvation  $\sigma(M')$ , recovery  $\rho(M')$ , and maintenance 491 among terrestrial mammals. 466  $\beta(M')$ . There is no internal fixed point corresponding to a 492 467 state where both original residents and invaders coexist (except 493 growth, and reproduction are important elements that influence 468 for the trivial state  $\chi = 0$ ). To assess the susceptibility to in-494 the dynamics of all populations [11]. The NSM is a minimal and 469 vasion as a function of the invader mass, we determine which 495 general model that incorporates the dynamics of starvation and 470 consumer has a higher steady-state density for a given value of 496 recovery that are expected to occur in resource-limited envi-<sub>471</sub>  $\chi$ . We find that for  $1 \le M < 8.43 \times 10^6$  g, having additional <sub>497</sub> ronments. By incorporating allometric relations between the 472 body fat  $(\chi > 0)$  results in a higher steady-state invader popu-498 rates in the NSM, we found: (i) different organismal masses 473 lation density  $(H'^* + F'^* > H^* + F^*)$ . Thus the invader has an 499 have distinct population dynamic regimes, (ii) allometrically-474 intrinsic advantage over the resident population. However, for 500 determined rates of starvation and recovery appear to min- $_{475}$   $M > 8.43 \times 10^6$ , leaner individuals ( $\chi < 0$ ) have advantageous 501 imize extinction risk, and (iii) the dynamic consequences of 476 steady state densities.

 $_{478}$  at  $M_{\rm opt}=8.43\times10^6$  thus serves as an attractor, or an un-  $_{504}$  We suggest that the NSM offers a means by which the dy-479 invadible evolutionary stable state, such that the NSM pre-505 namic consequences of energetic constraints can be assessed us- $_{480}$  dicts organismal mass to increase if  $M < M_{
m opt}$  and decrease  $_{506}$  ing macroscale interactions between and among species. Future  $_{481}$  if  $M > M_{\rm opt}$ . Moreover,  $M_{\rm opt}$ , which is entirely determined by  $_{507}$  efforts will involve exploring the consequences of these dynamics 482 the population-level consequences of energetic constraints, is re- 508 in a spatially explicit framework, thus incorporating elements 483 markably close to the maximum body size observed in the North 509 such as movement costs and spatial heterogeneity, which may 484 American mammalian fossil record [58] as well as the mass pre- 510 elucidate additional tradeoffs associated with the dynamics of 485 dicted from an evolutionary model of body size evolution [59]. 511 starvation and recovery.

460 ing a suitable within-linneage mechanism for Cope's rule. We 486 While the state of the environment, as well as the competi-

The energetics associated with somatic maintenance, 502 these rates may introduce additional drivers and hard bound-The observed switch in susceptibility as a function of  $\chi$  503 aries on the evolution of minimum and maximum body size.

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652 ACKNOWLEDGMENTS. We thank Luis Bettencourt, Jean Philippe Gibert, West GB, Woodruff WH, Brown JH (2002) Allometric scaling of metabolic rate from 653 Eric Libby, and Seth Newsome for helpful discussions and comments on the molecules and mitochondria to cells and mammals. Proc. Natl. Acad. Sci. USA 654 manuscript. J.D.Y. was supported by startup funds at the University of California, 655 Merced, and an Omidyar Postdoctoral Fellowship at the Santa Fe Institute. C.P.K. 631 57. Millar J, Hickling G (1990) Fasting Endurance and the Evolution of Mammalian 656 was supported by an Omidyar Postdoctoral Fellowship at the Santa Fe Institute. 657 S.R. was supported by grants DMR-1608211 and 1623243 from the National 633 58. Alroy J (1998) Cope's rule and the dynamics of body mass evolution in North 658 Science Foundation, and by the John Templeton Foundation, all at the Santa Fe 659 Institute.

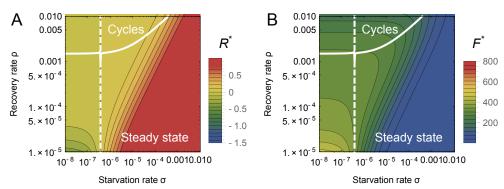


Fig. 1: The transcritical (dashed) and Hopf bifurcation (solid) as a function of the starvation rate  $\sigma$  and recovery rate  $\rho$  for a 100g consumer. These bifurcation conditions separate parameter space into infeasible, cyclic, and steady state dynamic regimes. The color gradient shows the steady state densities for (A) the resource  $R^*$  and the (B) energetically replete consumers  $F^*$ , (warmer colors denote higher densities). Steady state densities for the energetically deficient consumers  $H^*$  (not shown) scale with those for  $F^*$ .

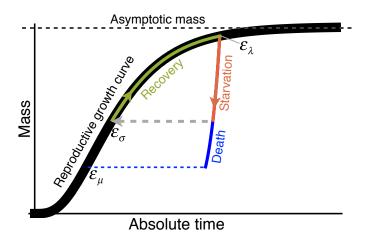


Fig. 2: The growth trajectory over absolute time of an individual organism as a function of body mass. Initial growth follows the black trajectory to an energetically replete reproductive adult mass  $m = \epsilon_{\lambda} M$  which we assume is 95% asymptotic mass M. Starvation follows the red trajectory to  $m = \epsilon_{\sigma} \epsilon_{\lambda} M$ , and recovery follows the green growth trajectory to the replete adult mass. Alternatively, death from starvation follows the blue trajectory to  $m = \epsilon_{\mu} \epsilon_{\lambda} M$ .

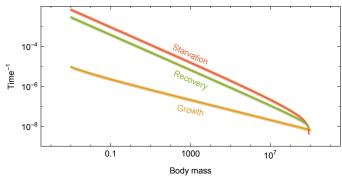


Fig. 3: Allometrically constrained starvation rate  $\sigma$  (red) and recovery rate  $\rho$  (green) relative to the reproductive rate  $\lambda$  (orange) as a function of body mass. The rate of starvation is greater than the rate of reproduction for all realized terrestrial endotherm body sizes. Mean values  $\pm 20\%$ variation are shown by the shaded region for each rate.

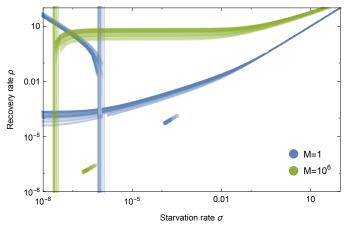


Fig. 4: Transcritical (vertical lines) and Hopf bifurcations (curves) for allometrically determined starvation  $\sigma$  and recovery  $\rho$  rates as a function of different mammalian body sizes:  $M = A \times 10^{1} \text{g}$ (blue) and  $M = A \times 10^6$  g (green), where A is a random uniform variable in [1,9]. Points denote realized values of  $\sigma$  and  $\rho$  given the drawn values for M.

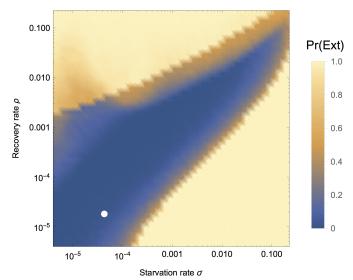


Fig. 5: Probability of extinction for a 100g consumer as a function of the starvation rate  $\sigma$  and recovery rate  $\rho$ , where the initial density is given as  $A(F^*, H^*, R^*)$ , with A being a random uniform variable in [0,2]. Extinction is defined as the population trajectory falling below  $0.1\times$  the allometrically constrained steady state. The white point denotes the allometrically constrained starvation and recovery rate.

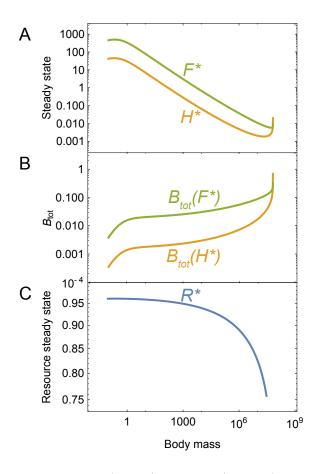


Fig. 6: (A) Consumer steady states  $F^*$  (green) and  $H^*$  (orange) as a function of body mass. (B) Total energetic use  $B_{\text{tot}}$  of consumer populations at the steady state as a function of body mass. (C) Resource steady state  $R^*$  as a function of consumer body mass.

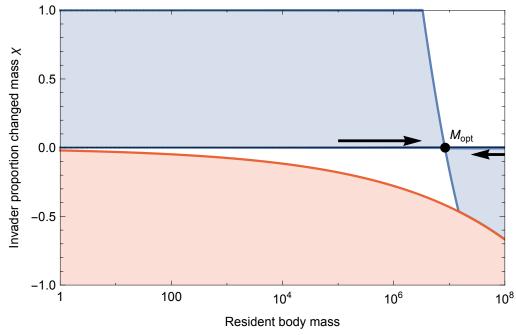


Fig. 7: Invasion feasibility for organisms with a proportional change in mass  $\chi$  against a population with a resident body mass M. The blue region denotes proportions of modified mass  $\chi$  resulting in successful invasion. The red region denotes values of  $\chi$  that result in a mass that is below the starvation threshold and is thus infeasible. Arrows point to the predicted optimal mass  $M_{\rm opt} = 8.43 \times 10^6$ , which serves as the uninvadable, evolutionary stable state.