# The dynamics of starvation and recovery

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<sup>6</sup> The eco-evolutionary dynamics of species is fundamentally linked <sup>64</sup> compared to normal periods [9, 10], sometimes delaying or even 7 to the energetic constraints of its constituent individuals. Of par- 65 foregoing reproduction for a breeding season [1, 11, 12]. Even 8 ticular importance are the tradeoffs between reproduction and 9 the dynamics of starvation and recovery in resource-limited envi-10 ronments. To elucidate the consequences of this tradeoff, we 11 introduce a minimal nutritional state-structured model that in-12 corporates two classes of consumer: nutritionally replete con-13 sumers that reproduce, and undernourished, non-reproducing 14 consumers that are susceptible to mortality. As a function of the 15 transition rates between these replete and undernourished states 72 seasons in resource-rich environments where they accumulate 16 that are determined by the presence or absence of resources, 73 nutritional reserves [14, 15, 16]. 17 the consumer populations can either undergo cyclic dynamics or 74 18 reach a steady state. We obtain strong constraints on starvation 19 and recovery rates by deriving allometric scaling relationships 20 and find that population dynamics subject to these constraints can approach the cyclic regime but are typically driven to a steady 22 state. Moreover, we find that these rates fall within a 'refuge' in pa-23 rameter space, where the probability of extinction of the consumer population is minimized. Thus we identify a potential mechanism 26 ulations. Our model provides a natural framework that predicts 82 stress [19, 20]. In the extreme case of unicellular organisms, 27 maximum body size for mammals by determining the relative stability of an otherwise homogeneous population to a mutant pop-29 ulation with altered percent body fat. For body masses  $\leq 10^7 {\rm g}$ , 30 individuals with increased energetic reserves can invade resident  $_{31}$  populations, and vice versa for body mass  $\gtrsim 10^7 {
m g}$ , thus providing 32 a principled mechanism for a within-lineage driver of Cope's rule.

33 foraging | starvation | reproduction

34 Significance Statement Energetic investment in somatic mainte-35 nance and growth vs. reproduction directly impacts the dynamics of 36 populations among species. Here, we construct a Nutritional State- $_{\rm 37}$  structured Model (NSM) to assess the population-level effects of star-38 vation and recovery of a consumer population in a resource-limited en-39 vironment, and use allometric scaling relationships for mammals to es-40 tablish all timescales and rates. Our model reveals that mammalian 41 energetic rates minimize the probability of stochastic extinction, estab-42 lishes dynamic bounds on mammalian body size while providing inde-43 pendent theoretical support for the energy equivalence hypothesis, and 44 provides a mechanistic driver for the evolutionary trend towards larger 101 capturing account 102 affects of starvation. Namely, only individuals with sufficient 45 body size known as Cope's rule.

## 46 Introduction

48 ergetic state of individuals, which directly influences how they 106 the idea that reproduction is strongly constrained allometrically 49 invest reserves in uncertain environments. Such behaviors are 107 [3], and is not generally linearly related to resource density. As 50 generally manifested as tradeoffs between investing in somatic 108 we shall show, these constraints influence the ensuing popula-51 maintenance and growth, or allocating energy towards repro-52 duction [1, 2, 3]. The timing of these behaviors responds to 53 selective pressure, as the choice of the investment impacts fu-54 ture fitness [4, 5, 6]. The influence of resource limitation on an 55 organism's ability to maintain its nutritional stores may lead to 56 repeated delays or shifts in reproduction over the course of an 57 organism's life.

The balance between (a) somatic growth and maintenance, 59 and (b) reproduction depends on resource availability [7]. For 60 example, reindeer invest less in calves born after harsh win-61 ters (when the mother's energetic state is depleted) than in 62 calves born after moderate winters [8]. Many bird species in-63 vest differently in broods during periods of resource scarcity

66 freshwater and marine zooplankton have been observed to avoid 67 reproduction under nutritional stress [13], and those that do 68 reproduce have lower survival rates [2]. Organisms may also 69 separate maintenance and growth from reproduction over space 70 and time: many salmonids, birds, and some mammals return to 71 migratory breeding grounds to reproduce after one or multiple

Physiology also plays an important role in regulating re-75 productive expenditures during periods of resource limitation. 76 The data collected thus far has shown that diverse mammals (47 77 species in 10 families) exhibit delayed implantation, whereby fe-78 males postpone fetal development (blastocyst implantation) un-79 til nutritional reserves can be accumulated [17, 18]. Many other 80 many species (including humans) suffer irregular menstrual cythat may both drive and constrain the dynamics of animal pop- 81 cling and higher abortion rates during periods of nutritional 83 nutrition is unavoidably linked to reproduction because the nu- $_{84}$  tritional state of the cell regulates all aspects of the cell cycle 85 [21]. The existence of so many independently evolved mecha-86 nisms across such a diverse suite of organisms highlights the im-87 portance and universality of the fundamental tradeoff between 88 somatic and reproductive investment. However the general dy-89 namic implications of these constraints are unknown.

Though straightforward conceptually, incorporating the en-91 ergetic dynamics of individuals [22] into a population-level 92 framework [22, 23] presents numerous mathematical obsta-93 cles [24]. An alternative approach involves modeling the 94 macroscale relations that guide somatic versus reproductive 95 investment in a consumer-resource system. 96 macroscale Lotka-Volterra models assume that the growth rate 97 of the consumer population depends on resource density, thus 98 implicitly incorporating the requirement of resource availability 99 for reproduction [25].

In this work, we adopt an alternative approach in which we 101 explicitly account for resource limitation and the subsequent 103 energetic reserves can reproduce. Such a constraint leads to 104 reproductive time lags due to some members of the population 47 The behavioral ecology of all organisms is influenced by the en- 105 going hungry and then recovering. Additionally, we incorporate

#### **Reserved for Publication Footnotes**

109 tion dynamics in dramatic ways.

### 111 Nutritional state-structured model (NSM)

<sub>113</sub> population Model (NSM), where the consumer population is <sub>160</sub> time. The biological implication of the constraint  $\lambda < \sigma$  has a 114 partitioned into two states: (a) an energetically replete (full) 161 simple interpretation—the rate at which a macroscopic organ-115 state F, where the consumer reproduces at a constant rate  $\lambda$  162 ism loses mass due to lack of resources is generally much faster 116 and does not die from starvation, and (b) an energetically de-163 than the rate of reproduction. As we will discuss below, this 117 ficient (hungry) state H, where the consumer does not repro- 164 inequality is a natural consequence of allometric constraints [3]  $_{118}$  duce but dies by starvation at rate  $\mu$ . The underlying resource  $_{165}$  for organisms within empirically observed body size ranges. 119 R evolves by logistic growth with an intrinsic growth rate  $\alpha$  166 120 and a carrying capacity equal to one. Consumers transition 167 either be a stable node or a limit cycle (Fig. 1). In continuous-<sub>121</sub> from the full state F to the hungry state H at a rate  $\sigma$ —the <sub>168</sub> time systems, a limit cycle arises when a pair of complex con-122 starvation rate—and also in proportion to the absence of re- 169 jugate eigenvalues crosses the imaginary axis to attain positive 123 sources (1-R). Conversely, consumers recover from state  $H_{170}$  real parts [31]. This Hopf bifurcation is defined by  $Det(\mathbf{S}) = 0$ ,  $_{124}$  to state F at rate  $\rho$  and in proportion to R. Resources are  $_{171}$  with S the Sylvester matrix, which is composed of the coef-125 also eaten by the consumers—at rate  $\rho$  by hungry consumers 172 ficients of the characteristic polynomial of the Jacobian ma-126 and at rate  $\beta < \rho$  by full consumers. This inequality accounts 173 trix [32]. As the system parameters are tuned to be within the 127 for hungry consumers requiring more resources to replace lost 174 stable regime but close to the Hopf bifurcation, the amplitude of 128 body tissue. The NSM represents a fundamental extension of 175 the transient but decaying cycles become large. Given that eco-129 the idealized starving random walk model of foraging, which 176 logical systems are constantly being perturbed [33], the onset of 130 focuses on resource depletion, to include reproduction and re- 177 transient cycles, even though they decay with time in the mean-131 source replenishment [26, 27, 28].

and resources are perfectly mixed, their densities evolve accord- 180 vides a measure of its persistence. ing to the rate equations

$$\dot{F} = \lambda F + \rho R H - \sigma (1 - R) F,$$

$$\dot{H} = \sigma (1 - R) F - \rho R H - \mu H,$$

$$\dot{R} = \alpha R (1 - R) - (\rho R + P) H - \beta F$$
[1]

132 This system of nondimensional equations follows from a set 187 thereby promoting containing 132 This system of nondimensional equations follows from a set 187 thereby promoting containing 132 This system of nondimensional equations follows from a set 187 thereby promoting containing 132 This system of nondimensional equations follows from a set 187 thereby promoting containing 132 This system of nondimensional equations follows from a set 187 thereby promoting containing 132 This system of nondimensional equations follows from a set 187 thereby promoting 132 This system of nondimensional equations follows from a set 187 thereby promoting 132 This system of nondimensional equations follows from a set 187 thereby promoting 132 This system (Fig. 1). 133 of first-principle relationships for resource consumption and 189 growth (please see the supplement for a full derivation and  $\frac{1}{190}$  the starvation rate  $\sigma$  defines an absolute bound of biological 133 the dimensional form). Notice that the total consumer den-135 the dimensional form). Notice that the total consumer den-136 the dimensional form). Notice that the total consumer den-136 sity F + H evolves according to  $\dot{F} + \dot{H} = \lambda F - \mu H$ . This 192 ity of the consumer population to changes in resource density. 137 resembles the equation of motion for the predator density in 193 When  $\sigma \gg \lambda$ , the steady-state population density is small, 138 the classic Lotka-Volterra model [29], except that the resource 194 thereby increasing the risk of stochastic extinction. On the density does not appear in the growth term. As discussed above, 195 other hand, as  $\sigma$  decreases, the system will ultimately be poised 140 the attributes of reproduction and mortality have been explic- 196 either near the TC or the Hopf bifurcation (Fig. 1). If the re-141 itly apportioned to the full and hungry consumers, respectively, 197 covery rate  $\rho$  is sufficiently small, the TC bifurcation is reached  $_{142}$  so that the growth in the total density is decoupled from the  $_{198}$  and the resource eventually is eliminated. If  $\rho$  exceeds a thresh-

Equation [1] has three fixed points: two trivial fixed points 200 is approached. at  $(F^*, H^*, R^*) = (0, 0, 0)$  and (0, 0, 1), and one non-trivial,  $\frac{1}{201}$ internal fixed point at

$$F^* = \frac{\alpha \lambda \mu (\mu + \rho)}{(\lambda \rho + \mu \sigma)(\lambda \rho + \mu \beta)},$$

$$H^* = \frac{\alpha \lambda^2 (\mu + \rho)}{(\lambda \rho + \mu \sigma)(\lambda \rho + \mu \beta)},$$

$$R^* = \frac{\mu (\sigma - \lambda)}{\lambda \rho + \mu \sigma}.$$
[2]

The stability of this fixed point is determined by the Jaco145 bian matrix J, where each matrix element  $J_{ij} = \partial \dot{X}_i/\partial X_j$ 146 when evaluated at the internal fixed point, and K is the vec147 tor (F, H, R). The parameters in Eq. [1] are such that the
148 real part of the largest eigenvalue of J is negative, so that the
149 system is stable with respect to small perturbations from the
150 fixed point. Because this fixed point is unique, it is the global
151 attractor for all population trajectories for any initial condition
152 where the resource and consumer densities are both nonzero.

 $_{156} R = 0$ , the rate equation for F gives exponential growth of <sub>157</sub> F for  $\lambda > \sigma$ . The condition  $\sigma = \lambda$  represents a transcritical 158 (TC) bifurcation [30] that demarcates the physical regime from 112 We begin by defining a minimal Nutritional State-structured 159 the unphysical regime where F would grow exponentially with

In the physical regime of  $\lambda < \sigma$ , the fixed point [2] may 178 field description, can increase the extinction risk [34, 35, 36]. In the mean-field approximation, in which the consumers 179 Thus the distance of a system from the Hopf bifurcation pro-

When the starvation rate  $\sigma \gg \lambda$ , a substantial fraction 182 of the consumers are driven to the hungry non-reproducing 183 state. Because reproduction is inhibited, there is a low steady-[1] 184 state consumer density and a high steady-state resource den-185 sity. However, if  $\sigma/\lambda \to 1$  from above, the population is 186 overloaded with energetically-replete (reproducing) individuals, 187 thereby promoting oscillations between the consumer and re-

Whereas the relation between consumer growth rate  $\lambda$  and 199 old value, cyclic dynamics will develop as the Hopf bifurcation

#### 202 Role of allometry

203 While there are no a priori constraints on the parameters in 204 the NSM, most organisms correspond to restricted portions of 205 the parameter space. Here we use allometric scaling relations  $_{206}$  to constrain the covariation of rates in a principled and biologi-207 cally meaningful manner. Allometric scaling relations highlight 208 common constraints and average trends across large ranges in 209 body size and species diversity. Many of these relations can be 210 derived from a small set of assumptions and below we describe a The stability of this fixed point is determined by the Jaco- 211 framework to determine the covariation of timescales and rates

where the resource and consumer densities are both nonzero. From Eq. [2], an obvious constraint on the NSM is that the reproduction rate  $\lambda$  must be less than the starvation rate  $\tau$  and its body mass  $\tau$  at reproductive maturity is known to scale as  $\tau$  and its body mass  $\tau$  at reproductive maturity is known to scale as  $\tau$  and its body mass  $\tau$  at reproductive maturity is known to scale as  $\tau$  and its body mass  $\tau$  at reproductive maturity is known to scale as  $\tau$  and its body mass  $\tau$  at reproductive maturity is known to scale as  $\tau$  and its body mass  $\tau$  at reproductive maturity is known to scale as  $\tau$  and its body mass  $\tau$  at reproductive maturity is known to scale as  $\tau$  and its body mass  $\tau$  at reproductive maturity is known to scale as  $\tau$  and its body mass  $\tau$  at reproductive maturity is known to scale as  $\tau$  and its body mass  $\tau$  at reproductive maturity is known to scale as  $\tau$  and its body mass  $\tau$  at reproductive maturity is known to scale as  $\tau$  and its body mass  $\tau$  at reproductive maturity is known to scale as  $\tau$  and its body mass  $\tau$  at reproductive maturity is known to scale as  $\tau$  and its body mass  $\tau$  at reproductive maturity is known to scale as  $\tau$  and its body mass  $\tau$  and its body mass  $\tau$  at reproductive maturity is known to scale as  $\tau$  and its body mass  $\tau$  and its body

224 organism's metabolic rate B is proportional to the cost of tis-276 implicitly covered by the body fat scaling in our framework. 225 sue maintenance in the absence of growth (i.e., when the body 277 226 mass is M). By definition  $B = \beta/\xi$ , where  $\beta$  is the rate at 278 time required for an organism to go from a mature adult that 227 which resources are consumed for full consumers (see Eq. [1]) 279 reproduces at rate  $\lambda$ , to a reduced-mass hungry state where re- $_{228}$  and where  $\xi$  is related to the conversion efficiency of resource  $_{280}$  production is impossible. For starving individuals we assume 229 to consumer tissue (Supporting Information).

230 231 tween growth and maintenance purposes can be used to de-283 assumption implies the following simple metabolic balance 232 rive a general equation for both the growth trajectories and 233 growth rates of organisms ranging from bacteria to metazoans 234 [41, 42, 43, 44, 3]. This relation is derived from the simple 284 or 235 balance condition [41, 42, 43, 44, 3]

$$B_0 m^{\eta} = E_m \dot{m} + B_m m \,, \tag{3}$$

237 is the metabolic rate to support an existing unit of mass, and 287 synthesis a unit of biomass [44]. Given the replete mass, M, 238 m is the mass of the organism at any point in its development. 288 of an organism, the above energy balance prescribes the mass 239 This balance has the general solution [45, 3]

$$\left(\frac{m(t)}{M}\right)^{1-\eta} = 1 - \left[1 - \left(\frac{m_0}{M}\right)^{1-\eta}\right] e^{-a(1-\eta)t/M^{1-\eta}}$$
 [4]

<sup>240</sup> where, for  $\eta < 1$ ,  $M = (B_0/B_m)^{1/(1-\eta)}$  is the asymptotic mass,  $_{241} a = B_0/E_m$ , and  $m_0$  is mass at birth. We now use this solu-242 tion to define the timescale of reproduction and recovery from 243 starvation (Fig. 2; see [42] for a detailed presentation of these 292 The starvation rate is then  $\sigma = 1/t_{\sigma}$ , which scales with replete-244 timescales). The time that it takes to reach a particular mass 293 state mass as  $1/M^{1-\eta} \ln (1 - f_0 M^{\gamma}/M)$ . An important feature  $_{245} \epsilon M$  is given by the timescale

$$\tau\left(\epsilon\right) = \ln\left[\frac{1 - \left(m_0/M\right)^{1-\eta}}{1 - \epsilon^{1-\eta}}\right] \frac{M^{1-\eta}}{a\left(1 - \eta\right)}$$
 [5]

246 where we will define values of  $\epsilon$  to describe a set of rates within 299 gested all fat and muscle tissues, and that muscle tissue scales 247 our model. For the time to reproduce,  $t_{\lambda} = \tau(\epsilon_{\lambda})$ , where  $\epsilon_{\lambda}$  is 300 with body mass according to  $M_{\text{musc}} = u_0 M^{\zeta}$ . This gives <sub>248</sub> the fraction of the asymptotic mass where an organism is repro- <sup>301</sup>  $\epsilon_{\mu} = 1 - (f_0 M^{\gamma} + u_0 M^{\zeta})/M$ . Muscle mass has been shown ductively mature and should be close to one (typically  $\epsilon_{\lambda} \approx 0.95$  302 to be roughly proportional to body mass [46] in mammals and 250 [41]). The growth rate is then given by  $\lambda = \ln(v)/t_{\lambda}$  where v is 303 thus  $\epsilon_{\mu}$  is merely  $\epsilon_{\sigma}$  minus a constant. The time to death is the the number of offspring produced, and for any constant value of 304 total time to reach  $\epsilon_{\mu}M$  minus the time to starve, or 252  $\epsilon_{\lambda}$  this will scale like  $\lambda \propto M^{\eta-1}$  for  $M >> m_0$  [41, 42, 43, 44, 3].

The rate of recovery  $\rho = 1/t_{\rho}$  requires that an organism 254 accrues sufficient tissue to transition from the hungry to the  $_{255}$  full state. Since only certain tissues can be digested for energy  $_{305}$  and  $\mu=1/t_{\mu}.$ 256 (for example the brain cannot be degraded to fuel metabolism), 306 Although the rate equations [1] are general, here we focus 257 we define the rates for starvation, death, and recovery by the 307 on parameterizations for terrestrial-bound endotherms, specifi-258 timescales required to reach, or return from, specific fractions 308 cally mammals, which range from a minimum of  $M \approx 1$ g (the 259 of the replete-state mass (Fig. 3; see Supporting Information, 309 Etruscan shrew Suncus etruscus) to a maximum of  $M \approx 10^6 {\rm g}$ <sup>260</sup> Table I for parameterizations). We define  $m_{\sigma} = \epsilon_{\sigma} M$ , where <sup>310</sup> (the late Eocene to early Miocene Indricotheriinae). Investigat- $_{261}$   $\epsilon_{\sigma}$  < 1 is the fraction of replete-state mass where reproduc- $_{311}$  ing other classes of organisms would simply involve altering the  $_{262}$  tion ceases. This fraction will be modified if tissue composition  $_{312}$  metabolic exponents and scalings associate with  $\epsilon$ . Moreover, 263 systematically scales with adult mass. For example, making 313 we emphasize that our allometric equations describe mean rela-264 use of the observation that body fat in mammals scales with 314 tionships, and do not account for the (sometimes considerable) 265 overall body size according to  $M_{\rm fat}=f_0M^{\gamma}$  and assuming that 315 variance associated with individual species.  $_{266}$  once this mass is fully digested the organism starves, this would  $_{316}$ 267 imply that  $\epsilon_{\sigma} = 1 - f_0 M^{\gamma}/M$ . It follows that the recovery 317 Stabilizing effects of allometric constraints 268 timescale,  $t_{\rho}$ , is the time to go from  $m = \epsilon_{\sigma} \epsilon_{\lambda} M$  to  $m = \epsilon_{\lambda} M$  318 As the allometric derivations of the NSM rate laws reveal, star-269 (Fig. 2). Using Eqs. [4] and [5] this timescale is given by 319 vation and recovery rates are not independent parameters, and 270 simply considering an adjusted starting mass of  $m'_0 = \epsilon_\sigma \epsilon_\lambda M$ , 320 the biologically relevant portion of the phase space shown in 271 in which case

$$t_{\rho} = \ln \left[ \frac{1 - (\epsilon_{\sigma} \epsilon_{\lambda})^{1 - \eta}}{1 - \epsilon^{1 - \eta}} \right] \frac{M^{1 - \eta}}{a' (1 - \eta)}$$
 [6]

where  $a' = B_0/E'_m$  accounts for possible deviations in the 326 malian body sizes is confined to the steady-state regime of the 273 biosynthetic energetics during recovery (see Supporting Infor- 327 NSM and that limit-cycle behavior is precluded. Moreover, for

<sub>223</sub> tween  $\eta \approx 1$  in eukaryotes and  $\eta \approx 1.76$  in bacteria [40, 3]. An <sub>275</sub> models explicitly handle storage [44], whereas this feature is

To determine the starvation rate,  $\sigma$ , we are interested in the 281 that an organism must meet its maintenance requirements using Several efforts have shown how a partitioning of B be- 282 the digestion of existing mass as the sole energy source. This

$$\dot{m}E_m' = -B_m m \tag{7}$$

$$\dot{m} = -\frac{a'}{M^{1-\eta}}m\tag{8}$$

 $B_0m' = E_mm + D_mm'$ ,  $B_m = E_m$  is the amount of energy stored in a unit of existable where  $E_m$  is the energy needed to synthesize a unit of mass,  $B_m$  and  $B_m = E_m$  is the energy needed to synthesize a unit of mass,  $B_m$  and  $B_m = E_m$  is the energy needed to synthesize a unit of mass,  $B_m$  and  $B_m = E_m$  is the energy needed to synthesize a unit of mass,  $B_m$  and  $B_m = E_m$  is the energy needed to synthesize a unit of mass,  $B_m$  and  $B_m = E_m$  is the energy needed to synthesize a unit of mass,  $B_m$  and  $B_m = E_m$  is the energy needed to synthesize a unit of mass,  $B_m$  and  $B_m = E_m$  is the energy needed to synthesize a unit of mass,  $B_m$  and  $B_m = E_m$  is the energy needed to synthesize a unit of mass,  $B_m$  and  $B_m$  are  $B_m$  and  $B_m$  and  $B_m$  are  $B_m$  and  $B_m$  are  $B_m$  are  $B_m$  are  $B_m$  and  $B_m$  are  $B_m$  are  $B_m$  are  $B_m$  and  $B_m$  are  $B_m$  are  $B_m$  are  $B_m$  and  $B_m$  are  $B_m$  and  $B_m$  are  $B_m$  are  $B_m$  are  $B_m$  are  $B_m$  are  $B_m$  and  $B_m$  are  $B_m$  are  $B_m$  are  $B_m$  are  $B_m$  are  $B_m$  are  $B_m$  and  $B_m$  are  $B_m$  are  $B_m$  ar 289 trajectory of a non-consuming organism:

$$m(t) = Me^{-a't/M^{1-\eta}}.$$
 [9]

[4] The time scale for starvation is given by the time it takes m(t)<sub>291</sub> to reach  $\epsilon_{\sigma}M$ , which gives

$$t_{\sigma} = -\frac{M^{1-\eta}}{\sigma'} \ln \left( \epsilon_{\sigma} \right).$$
 [10]

294 is that  $\sigma$  does not have a simple scaling dependence on  $\lambda$  (Fig. 295 3), which is important for the dynamics that we later discuss.

The time to death should follow a similar relation, but de-  $[5]_{297}^{296}$  fined by a lower fraction of replete-state mass,  $m_{\mu} = \epsilon_{\mu} M$ . 298 Suppose, for example, that an organism dies once it has di-

$$t_{\mu} = -\frac{M^{1-\eta}}{a'} \ln\left(\epsilon_{\mu}\right) - t_{\sigma}, \qquad [11]$$

321 Fig. 1 is constrained via covarying parameters. Given the pa-322 rameters of terrestrial endotherms, we find that the starvation [6]  $_{323}^{323}$  rate  $\sigma$  and the recovery rate  $\rho$  are constrained to lie within a  $_{324}^{4}$  small window of potential values (Fig. 4) for the known range  $_{325}$  of body sizes M. We thus find that the dynamics for all mam-274 mation). It should be noted that more complicated ontogenetic 328 larger M, the distance to the Hopf bifurcation increases, while

330 mean; Fig. 4) results in little qualitative difference in the dis-395 may both drive—and constrain—natural animal populations. 331 tance to the Hopf bifurcation. These results suggest that 396 332 small mammals are more prone to population oscillations—both 397 Dynamic and energetic barriers to body size 333 stable limit cycles and transient cycles—than mammals with 398 Metabolite transport constraints are widely thought to place 334 larger body size. Thus our NSM model predicts that popula-399 strict boundaries on biological scaling [54, 55, 38] and thereby 335 tion cycles should be less common for larger species and more 400 lead to specific predictions on the minimum possible body size 336 common for smaller species, particularly in environments where 401 for organisms [56]. Above this bound, a number of energetic and 337 resources are limiting.

339 ric constraints to explain the periodicity of cyclic populations 404 for mammals. One important such example is the fasting en- $_{340}$  [47, 48, 49], suggesting a period  $\propto M^{0.25}$ . However this relation  $_{405}$  durance hypothesis, which contends that larger body size, with 341 seems to hold only for some species [50], and potential drivers 406 consequent lower metabolic rates and increased ability to main-342 range from predator and/or prey lifespans to competitive dy- 407 tain more endogenous energetic reserves, may buffer organisms 343 namics [51, 52]. Statistically significant support for the exis-408 against environmental fluctuations in resource availability [57]. 344 tence of population cycles among mammals is predominantly 409 Over evolutionary time, terrestrial mammalian lineages show a 345 based on time series for small mammals [53], in agreement with 410 significant trend towards larger body size (known as Cope's 346 our predictions of more pronounced transient dynamics, given 411 rule) [58, 59, 60, 61], and it is thought that within-lineage 347 how close these points are to the Hopf bifurcation. On the other 412 drivers generate selection towards an optimal upper bound of 348 hand, the longer gestational times and the increased difficulty 413 roughly 10<sup>7</sup> grams [58], a value that is likely limited by higher 349 in measurements, precludes obtaining similar-quality data for 414 extinction risk for large taxa over longer timescales [59]. These 350 larger organisms.

### 351 Extinction risk

353 flux of the population to the hungry state. In this state re420 to explore these issues.
354 production is absent, thus increasing the likelihood of extinc421 The NSM correct! <sub>355</sub> tion. From the perspective of population survival, it is the rate <sub>422</sub> masses have larger steady-state population densities (Fig. 6A). 356 of starvation relative to the rate of recovery that determines 423 Moreover, we show that the NSM provides independent theorems 423 Moreover, we show that the NSM provides independent theorems 423 Moreover, we show that the NSM provides independent theorems 423 Moreover, we show that the NSM provides independent theorems 423 Moreover, we show that the NSM provides independent theorems 423 Moreover, we show that the NSM provides independent theorems 423 Moreover, we show that the NSM provides independent theorems 423 Moreover, we show that the NSM provides independent theorems 423 Moreover, we show that the NSM provides independent theorems 423 Moreover, we show that the NSM provides independent theorems 423 Moreover, we show that the NSM provides independent theorems 423 Moreover, we show that the NSM provides independent theorems 423 Moreover, we show that the NSM provides independent theorems 423 Moreover, we show that the NSM provides independent theorems 423 Moreover, we show that the NSM provides independent theorems 423 Moreover, we show that the NSM provides independent theorems 423 Moreover, we show the NSM provides independent theorems 423 Moreover, which is the NSM provides independent theorems 423 Moreover, which is the NSM provides independent theorems 423 Moreover, which is the NSM provides independent the NSM p the long-term dynamics of the various species (Fig. 1). We 424 retical support for the energy equivalence hypothesis [62, 63]. the rong term dynamics of the total energy state density on extinction risk as a function steady state density on extinction risk as a function  $B_{tot}$ , of a population is constant independent of species size  $B_{tot}$ , of a population is constant independent of species size  $B_{tot}$ . 360 of  $\sigma$  and  $\rho$ . To this end, we computed the probability of ex427 (e.g. [62, 63]). This hypothesis is based on observations showtinction, where we define extinction as a population trajectory  $\frac{1}{428}$  ing that the abundance, N, of a species is proportional to the inction, where we define extinction as a population trajectory falling below one tenth of the allometrically constrained steady sas tate at any time between  $t=10^5$  and  $t \le 10^8$ . This procedure is repeated for 1000 replicates of the continuous-time system seem in Eq. 1 for an organism of M=100 grams. In each seem replicate the initial densities are chosen to be  $A(F^*,H^*,R^*)$ , and A a random variable that is uniformly distributed in [0,2]. This is usually stated as  $B_{tot}=NB=C$  where C and C is a constant, and has been shown to hold in both mammalian and vascular plant communities C is and C and C is a species is proportional to the step inverse of individual metabolism (e.g. C is a species is proportional to the species of individual metabolism (e.g. C is a species is proportional to the species of individual metabolism (e.g. C is a species is proportional to the species of individual metabolism (e.g. C is a species in proportional to the species of individual metabolism (e.g. C is a spec By allowing the rate of starvation to vary, we assessed extinc-368 By allowing the rate of starvation to vary, we assessed extinc-369 tion risk across a range of values for  $\sigma$  and  $\rho$  between ca.  $10^{-6}$ 379 probabilities are minimized.

We find that the anometricary constrained values of  $\rho$  and  $\rho$  fall squarely within the extinction refuge (Fig. 5, white point). While the extinction refuge (Fig. 5, white point). Say These values are close enough to the Hopf bifurcation to avoid say low steady state densities, and far enough away to avoid large-say amplitude transient cycles. The fact that allometric values of  $\rho$  well as the mass predicted from an evolutionary model of body size evolution [59]. amplitude transient cycles. The fact that allometric values of  $\sigma$  and  $\rho$  fall within this relatively small window supports the possisability that a selective mechanism has constrained the physiological conditions that drive starvation and recovery rates within spopulations. Such a mechanism would select for organism physiology that generates appropriate  $\sigma$  and  $\rho$  values that serve to minimize extinction risk. This selection could occur via the tuning of body fat percentages, metabolic rates, and biomass maintenance efficiencies. To summarize, our finding that the summarize, our finding that the summarize allometrically-determined parameters fall within this low example 151 size evolution [59].

We contend that the NSM provides a mechanistic understanding of the energetic dynamics that give rise to both observed limitations on mammalian body size as well as the observed trend towards larger body size over evolutionary time. The NSM predicts that the steady state resource density  $R^*$  decreases with increasing body size of the consumer population (Fig. 6C), and classic resource competition theory predicts that the species surviving on the lowest resource abundance will outselve the species surviving on the lowest resource abundance will outselve the species surviving on the lowest resource abundance will outselve the species surviving on the lowest resource abundance will outselve the species surviving on the lowest resource abundance will outselve the species surviving on the lowest resource abundance will outselve the species surviving on the lowest resource abundance will outselve the species surviving on the lowest resource abundance will outselve the species surviving on the lowest resource abundance will outselve the species surviving on the lowest resource abundance will outselve the species surviving on the lowest resource abundance will outselve the species surviving on the lowest resource abundance will outselve the species surviving on the lowest resource abundance will outselve the species survi

329 uncertainty in allometric parameters (20% variation around the 394 tinction probability region suggests that the NSM dynamics

402 evolutionary mechanisms have been explored to assess the costs It should be noted that previous studies have used allomet- 403 and benefits associated with larger body masses, particularly 415 trends are thought to be driven by a combination of climate 416 change and niche availability [61]; however the underpinning 417 energetic costs and benefits of larger body sizes, and how they 418 influence dynamics over ecological timescales, have not been ex-Within our model, higher rates of starvation result in a larger 419 plored. We argue that the NSM provides a suitable framework

The NSM correctly predicts that species with smaller 369 tion risk across a range of values for  $\sigma$  and  $\rho$  between ca.  $10^{-6}$  are to  $10^{-1}$ . As expected, higher rates of extinction correlate with 370 to  $10^{-1}$ . As expected, higher rates of extinction correlate with 371 both high values of  $\sigma$  if  $\rho$  is small, and high values of  $\rho$  if  $\sigma$  is 372 small. For low values of  $\sigma$  and high values of  $\rho$ , the increased 373 extinction risk results from transient cycles with larger ampli-374 tudes as the system nears the Hopf bifurcation (Fig. 5). For 375 high values of  $\sigma$  and low values of  $\rho$ , higher extinction risk arises 376 because of the decrease in the steady state consumer popula-377 tion density (Figs. 1B, 5). This interplay creates an 'extinction 378 refuge', such that for a constrained range of  $\sigma$  and  $\rho$ , extinction 379 probabilities are minimized.

369 tion risk across a range of values for  $\sigma$  and  $\rho$  between ca.  $10^{-6}$  435 over this same range. This result if remarkable because it illus-343 trates that the steady state values of the NSM combined with 375 treated that the steady state values of the NSM combined with 376 trates that the steady state values of the NSM combined with 376 trates that the steady state values of the NSM combined with 376 trates that the steady state values of the NSM combined with 376 trates that the steady state values of the NSM combined with 376 trates that the steady state values of the NSM combined with 376 trates that the steady state values of the NSM combined with 376 trates that the steady state values of the NSM combined with 376 trates that the steady state values of the NSM combined with 376 trates that the steady state values of the NSM combined with 376 trates that the steady state values of the NSM combined with 376 trates that the steady state values of the NSM combined with 376 trates that the steady state values of the NSM combined with 376 trates that the steady state values of the NSM combined with 376 trates that the steady state values of the NSM combined with 376 trates that the steady stat babilities are minimized.

We find that the allometrically constrained values of  $\sigma$  and which is entirely determined by the population-level consequences of energetic large values of  $\sigma$  and the constrained values of  $\sigma$  and  $\sigma$  and  $\sigma$  are constrained values of  $\sigma$  and  $\sigma$  are const

 $_{461}$  state dynamics and allometric timescales predict that larger  $_{492}$  mal mass to increase if  $M < M_{\rm opt}$  and decrease if  $M > M_{\rm opt}$ . 462 mammals have an intrinsic competitive advantage given a com- 493 This value is close to but smaller than the asymptotic upper 463 mon resource, but does not offer a within-lineage mechanism by 494 bound for terrestrial mammal size predicted by the NSM and which larger body sizes are selected for.

To examine whether the NSM could provide such a mecha- 496 land mammal [58, 59] 466 nism, we begin by noting that a theoretical upper bound on 497 467 mammalian body size is given by  $\epsilon_{\sigma} = 0$ , where mammals 498 itive landscape, will determine whether specific body sizes are 468 are entirely composed of metabolic reserves, and this occurs 499 selected for or against [61], we suggest that the dynamics of  $_{469}$  at  $M=8.3\times10^8$ , or  $4.5\times$  the mass of a blue whale. Next  $_{500}$  starvation and recovery described in the NSM may provide a 470 we examine to what extent a more realistic upper bound to 501 general driving mechanism for the evolution of larger body size 471 body mass may serve as an evolutionary attractor, thus provid- 502 among terrestrial mammals. 472 ing a suitable within-linneage mechanism for Cope's rule. We 503 473 directly assess the susceptibility of an otherwise homogeneous 504 growth, and reproduction are important elements that influence 474 population to invasion by a mutated subset of the population 505 the dynamics of all populations [11]. The NSM is a minimal and 475 (denoted by ') where individuals have a modified proportion 506 general model that incorporates the dynamics of starvation and 476 of body fat  $M' = M(1+\chi)$  where  $\chi \in [-1,1]$ , thus altering 507 recovery that are expected to occur in resource-limited envi-477 the rates of starvation  $\sigma(M')$ , recovery  $\rho(M')$ , and maintenance 508 ronments. By incorporating allometric relations between the  $_{478}$   $\beta(M')$ . There is no internal fixed point corresponding to a state 509 rates in the NSM, we found: (i) different organismal masses 479 where both original residents and invaders coexist (except for 510 have distinct population dynamic regimes, (ii) allometrically-480 the trivial state  $\chi = 0$ ). To assess the susceptibility to invasion 511 determined rates of starvation and recovery appear to min-481 as a function of the invader mass, we determine which consumer 512 imize extinction risk, and (iii) the dynamic consequences of 482 has a lower steady-state resource density for a given value of  $\chi$ . 513 these rates may introduce additional drivers and hard bound-483 We find that for  $1 \le M < VALUEg$ , having additional body 514 arises on the evolution of minimum and maximum body size. 484 fat  $(\chi > 0)$  results in a higher steady-state invader population 515 We suggest that the NSM offers a means by which the dy-485 density  $(H'^* + F'^* > H^* + F^*)$ . Thus the invader has an in- 516 namic consequences of energetic constraints can be assessed us-486 trinsic advantage over the resident population. However, for 517 ing macroscale interactions between and among species. Future  $_{487}$  M > VALUE, leaner individuals ( $\chi < 0$ ) have advantageous  $_{518}$  efforts will involve exploring the consequences of these dynamics 488 steady state densities.

490 Mopt = VALUE thus serves as an attractor, or an uninvadible 521 elucidate additional tradeoffs associated with the dynamics of 491 evolutionary stable state, such that the NSM predicts organis- 522 starvation and recovery.

495 is also remarkable close to independent estimates of the largest

While the state of the environment, as well as the compet-

The energetics associated with somatic maintenance, 519 in a spatially explicit framework, thus incorporating elements The observed switch in susceptibility as a function of  $\chi$  at 520 such as movement costs and spatial heterogeneity, which may

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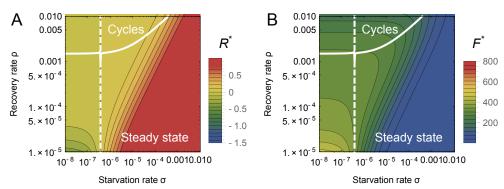


Fig. 1: The transcritical (dashed) and Hopf bifurcation (solid) as a function of the starvation rate  $\sigma$  and recovery rate  $\rho$  for a 100g consumer. These bifurcation conditions separate parameter space into infeasible, cyclic, and steady state dynamic regimes. The color gradient shows the steady state densities for (A) the resource  $R^*$  and the (B) energetically replete consumers  $F^*$ , (warmer colors denote higher densities). Steady state densities for the energetically deficient consumers  $H^*$  (not shown) scale with those for  $F^*$ .

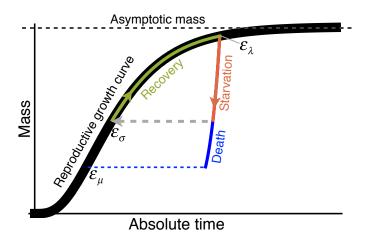


Fig. 2: The growth trajectory over absolute time of an individual organism as a function of body mass. Initial growth follows the black trajectory to an energetically replete reproductive adult mass  $m = \epsilon_{\lambda} M$  which we assume is 95% asymptotic mass M. Starvation follows the red trajectory to  $m = \epsilon_{\sigma} \epsilon_{\lambda} M$ , and recovery follows the green growth trajectory to the replete adult mass. Alternatively, death from starvation follows the blue trajectory to  $m = \epsilon_{\mu} \epsilon_{\lambda} M$ .

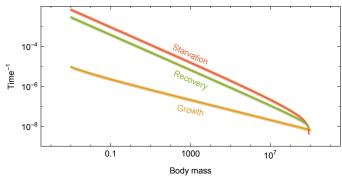


Fig. 3: Allometrically constrained starvation rate  $\sigma$  (red) and recovery rate  $\rho$  (green) relative to the reproductive rate  $\lambda$  (orange) as a function of body mass. The rate of starvation is greater than the rate of reproduction for all realized terrestrial endotherm body sizes. Mean values  $\pm 20\%$ variation are shown by the shaded region for each rate.

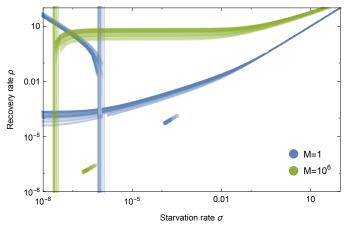


Fig. 4: Transcritical (vertical lines) and Hopf bifurcations (curves) for allometrically determined starvation  $\sigma$  and recovery  $\rho$  rates as a function of different mammalian body sizes:  $M = A \times 10^{1} \text{g}$ (blue) and  $M = A \times 10^6$  g (green), where A is a random uniform variable in [1,9]. Points denote realized values of  $\sigma$  and  $\rho$  given the drawn values for M.

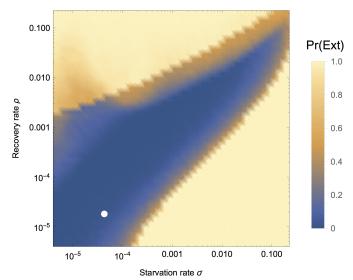


Fig. 5: Probability of extinction for a 100g consumer as a function of the starvation rate  $\sigma$  and recovery rate  $\rho$ , where the initial density is given as  $A(F^*, H^*, R^*)$ , with A being a random uniform variable in [0,2]. Extinction is defined as the population trajectory falling below  $0.1\times$  the allometrically constrained steady state. The white point denotes the allometrically constrained starvation and recovery rate.

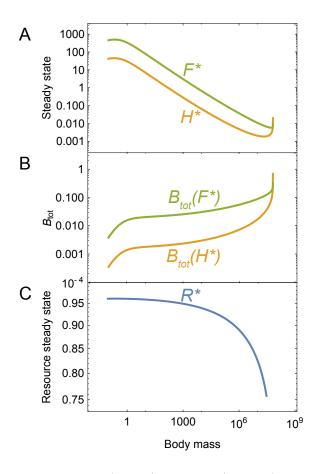


Fig. 6: (A) Consumer steady states  $F^*$  (green) and  $H^*$  (orange) as a function of body mass. (B) Total energetic use  $B_{\text{tot}}$  of consumer populations at the steady state as a function of body mass. (C) Resource steady state  $R^*$  as a function of consumer body mass.

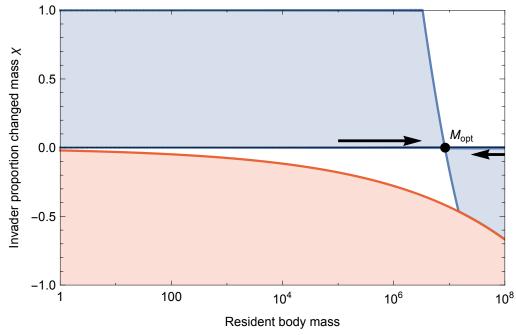


Fig. 7: Invasion feasibility for organisms with a proportional change in mass  $\chi$  against a population with a resident body mass M. The blue region denotes proportions of modified mass  $\chi$  resulting in successful invasion. The red region denotes values of  $\chi$  that result in a mass that is below the starvation threshold and is thus infeasible. Arrows point to the predicted optimal mass  $M_{\rm opt} = 8.43 \times 10^6$ , which serves as the uninvadable, evolutionary stable state.