## The dynamics of starvation and recovery

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The eco-evolutionary dynamics of species are fundamentally linked to the energetic constraints of its constituent individuals. Of particular importance is the interplay between reproduction and the dynamics of starvation and recovery. We introduce a minimal nutritional state-structured model that incorporates two classes of consumer: nutritionally replete, reproducing consumers, and undernourished, non-reproducing consumers. We obtain strong constraints on starvation and recovery rates by deriving allometric scaling relationships and find that population dynamics are typically driven to a steady state. Moreover, we find that these rates fall within a 'refuge' in parameter space, where the probability of extinction of the consumer population is minimized. We also show that our model provides a natural framework that predicts maximum body size for mammals by determining the relative stability of an otherwise homogeneous population to a competing population with altered percent body fat, providing a principled mechanism for a within-lineage driver of Cope's rule.

The behavioral ecology of all organisms is influenced 45 growth to a reproductive state<sup>3,21</sup>. The existence of so **8** by the energetic state of individuals, which directly influ-• ences how they invest reserves in uncertain environments. 10 Such behaviors are generally manifested as tradeoffs be-11 tween investing in somatic maintenance and growth, or  $_{12}$  allocating energy towards reproduction  $^{1-3}$ . The timing 13 of these behaviors responds to selective pressure, as the 14 choice of the investment impacts future fitness<sup>4-6</sup>. The 15 influence of resource limitation on an organism's ability 16 to maintain its nutritional stores may lead to repeated 17 delays or shifts in reproduction over the course of an or-18 ganism's life.

The balance between (a) somatic growth and mainte-20 nance, and (b) reproduction depends on resource avail-21 ability<sup>7</sup>. For example, reindeer invest less in calves born 22 after harsh winters (when the mother's energetic state is 23 depleted) than in calves born after moderate winters<sup>8</sup>. 24 Many bird species invest differently in broods during pe-<sup>25</sup> riods of resource scarcity compared to normal periods <sup>9,10</sup>, 26 sometimes delaying or even foregoing reproduction for 27 a breeding season<sup>1,11,12</sup>. Even freshwater and marine 28 zooplankton have been observed to avoid reproduction <sup>29</sup> under nutritional stress<sup>13</sup>, and those that do reproduce 30 have lower survival rates<sup>2</sup>. Organisms may also separate 31 maintenance and growth from reproduction over space 32 and time: many salmonids, birds, and some mammals 33 return to migratory breeding grounds to reproduce af-34 ter one or multiple seasons in resource-rich environments 35 where they accumulate reserves  $^{14-16}$ .

Physiology also plays an important role in regulating 37 reproductive expenditures during periods of resource lim-38 itation. Many mammals (47 species in 10 families) ex-39 hibit delayed implantation, whereby females postpone fe-40 tal development until nutritional reserves can be accumu-41 lated<sup>17,18</sup>. Many other species (including humans) suf-42 fer irregular menstrual cycling and higher abortion rates 50 vation, and (b) an energetically deficient (hungry) state 43 during periods of nutritional stress  $^{19,20}$ . In the extreme 81 H, where the consumer does not reproduce but dies by 44 case of unicellular organisms, nutrition directly controls  $_{22}$  starvation at rate  $\mu$ . The dynamics of the underlying re-

46 many independently evolved mechanisms across such a 47 diverse suite of organisms highlights the universality of 48 the fundamental tradeoff between somatic and reproduc-49 tive investment.

Including individual energetic dynamics<sup>22</sup> in a 51 population-level framework<sup>22,23</sup> is challenging<sup>24</sup>, and a 52 common simplifying approach is provided in the clas-53 sic Lotka-Volterra (LV) model, which assumes that 54 consumer population growth rate depends linearly on 55 resource density<sup>25</sup>. Here, we introduce an alterna-56 tive approach—the Nutritional State-structured Model 57 (NSM)—that accounts for resource limitation through 58 the consequences of starvation. In contrast to the LV 59 model, the NSM incorporates two consumer states: hun-60 gry and full, with only the former susceptible to mor-61 tality and only the latter possessing sufficient energetic 62 reserves to reproduce. Additionally, we incorporate allo-63 metrically derived constraints on reproduction<sup>3</sup>, incorpo-64 rating the timescales of starvation and recovery. As we 65 shall show, our model makes several important predic-66 tions: (i) the dynamics are typically driven to a refuge 67 far from cyclic behavior and extinction risk, (ii) steady 68 state conditions of the NSM accurately predict measured 69 biomass densities for mammals as well as Damuth's en-70 ergetic equivalence principle, (iii) an allometrically con-71 strained upper-bound for mammalian body size, and (iv) 72 a selective mechanism for the evolution of larger body 73 size, known as Cope's rule.

Nutritional state-structured model (NSM). 75 We begin by defining a minimal Nutritional State-76 structured population Model (NSM), where the consumer 77 population is partitioned into two states: (a) an energet-78 ically replete (full) state F, where the consumer repro-79 duces at a constant rate  $\lambda$  and does not die from star-

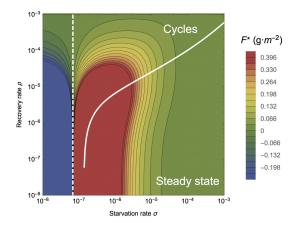


Figure 1: The transcritical (TC; dashed line) and Hopf bifurcation (solid line) as a function of the starvation rate  $\sigma$  and recovery rate  $\rho$  for a 100g consumer. These bifurcation conditions separate parameter space into unphysical (left of the TC), cyclic, and steady state dynamic regimes. The colors show the steady state densities for the energetically replete consumers  $F^*$ .

87 of resources, the efficiency of converting resources into 138 that we explore. 88 metabolism, and how that metabolism is partitioned be- 139 94 scribe below.

Consumers transition from the full state F to the hun-96 gry state H at a rate  $\sigma$ —the starvation rate—and also 97 in proportion to the absence of resources (1-R) (we 98 assume a maximum density, the carrying capacity equal 99 to 1). Conversely, consumers recover from state H to state F at rate  $\xi \rho$  and in proportion to R, where  $\xi$  rep-101 resents a ratio between maximal resource consumption and the carrying capacity of the resource. The resources that are eaten by hungry consumers (at rate  $\rho R + \delta$ ) ac-104 count for their somatic growth  $(\rho R)$  and maintenance  $(\delta)$ . 105 Full consumers eat resources at a constant rate  $\beta$  that ac-106 counts for maximal maintenance and somatic growth (see 107 the SI for mechanistic derivations of these rates from re-108 source energetics). The NSM represents an ecologically motivated fundamental extension of the idealized starving random walk model of foraging, which focuses on re-111 source depletion, to include reproduction and resource replenishment <sup>26–28</sup>, and is a more general formulation than previous models incorporating starvation<sup>29</sup>.

116 are governed by the rate equations

$$\dot{F} = \lambda F + \xi \rho R H - \sigma (1 - R) F, 
\dot{H} = \sigma (1 - R) F - \xi \rho R H - \mu H, 
\dot{R} = \alpha (1 - R) R - (\rho R + \delta) H - \beta F$$
(1)

This system of nondimensional equations follows from a set of first-principle relationships for resource consumption and growth (see the SI for a full derivation and the 120 dimensional form). Notice that the total consumer den-121 sity F + H evolves according to  $\dot{F} + \dot{H} = \lambda F - \mu H$ . This 122 resembles the equation of motion for the predator den-123 sity in the LV model<sup>30</sup>, except that the resource density 124 does not appear in the growth term. The rate of repro-125 duction is independent of resource density because it is 126 assumed that the satiated state of the full consumer al-127 lows it to partition a constant amount of energy towards 128 reproduction, whereas a starved consumer partitions no 129 energy towards reproduction. The rate of reproduction 130 for the total consumer density is dependent on resource 131 density, which determines the size of the full and starved portions of the consumer population. Similarly, the consumer maintenance terms ( $\delta H$  and  $\beta F$ ) are independent 83 source R is governed by logistic growth with an intrinsic 134 of resource density because they represent a minimal en-84 growth rate  $\alpha$  and a carrying capacity C. The rate at 135 ergetic requirement for consumers in the H and F state, 85 which consumers transition between states and consume 136 respectively. It follows that model predictions are robust 86 resources is dependent on their number, the abundance 137 only when R is of the order of 1, which holds for all cases

Steady states of the NSM. From the solution 89 tween maintenance and growth purposes. We provide a 140 to the single internal fixed point (Eq. (2), see Meth-90 physiologically and energetically mechanistic model for 141 ods), an obvious constraint on the NSM is that the re- $_{91}$  each of these dynamics and constants (see the Supple-  $_{142}$  production rate  $\lambda$  must be less than the starvation rate 92 mentary Information (SI)), and show that the system 143  $\sigma$ , so that the consumer and resource densities are posproduces a simple non-dimensional form which we de- 144 itive. The condition  $\sigma = \lambda$  thus represents a transcrit-145 ical (TC) bifurcation<sup>31</sup> that demarcates a physical from 146 an unphysical regime where all steady-state densities be-147 come negative after intersecting the trivial fixed point 148  $(F^*, H^*, R^*) = (0, 0, 0)$ . The biological implication of the constraint  $\lambda < \sigma$  has a simple interpretation—the 150 rate at which a macroscopic organism loses mass due to 151 lack of resources is generally much faster than the rate 152 of reproduction. As we will discuss below, this inequality is a natural consequence of allometric constraints<sup>3</sup> for 154 organisms within empirically observed body size ranges. In the physical regime of  $\lambda < \sigma$ , the fixed point (2) 156 may either be a stable node or a limit cycle (Fig. 1). In 157 continuous-time systems, a limit cycle arises when a pair 158 of complex conjugate eigenvalues crosses the imaginary axis to attain positive real parts<sup>32</sup>. This Hopf bifurcation 160 is defined by  $Det(\mathbf{S}) = 0$ , with **S** the Sylvester matrix. 161 which is composed of the coefficients of the characteris-162 tic polynomial of the Jacobian matrix<sup>33</sup>. As the system 163 parameters are tuned to be within the stable regime, but 164 close to the Hopf bifurcation, the amplitude of the tran-165 sient cycles becomes large. Given that ecological systems In the mean-field approximation, in which the con- 166 are constantly being perturbed<sup>34</sup>, the onset of transient 115 sumers and resources are perfectly mixed, their densities 167 cycles, even though they decay with time in the mean-168 field description, can increase extinction risk $^{35-37}$ .

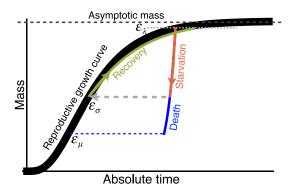


Figure 2: The growth trajectory over absolute time of an intrajectory to  $m = \epsilon_{\sigma} \epsilon_{\lambda} M$ . Recovery follows the green curve to the replete adult mass, where this trajectory differs from the original growth because only fat is being regrown which requires a longer time to reach  $\epsilon_{\lambda}M$ . Alternatively, death from starvation follows the blue trajectory to  $m = \epsilon_{\mu} \epsilon_{\lambda} M$ .

When the starvation rate  $\sigma \gg \lambda$ , a substantial <sup>227</sup> 171 reproducing state. Because reproduction is inhibited, 229 not independent parameters, and the biologically rele-172 there is a low steady-state consumer density and a high 230 vant portion of the phase space shown in Fig. 1 is con-179 become stable over time.  $_{181} \rho$  increases, such that the range of parameter space  $_{239}$  particularly in environments where resources are limit-182 giving rise to cyclic dynamics also increases with higher 240 ing. 183 recovery rates.

## 185 Results

184

199 (cf. ref. <sup>38</sup>).

203 relationships for the rates of reproduction, starvation, re-204 covery, and mortality based on first principles, and as a 205 function of an organism's body size and metabolic rate 206 (see Methods). Because we aim to explore the starvation-207 recovery dynamics as a function of an organism's body 208 mass M, we parameterize these rates in terms of the per-209 cent gain and loss of the asymptotic (maximum) body 210 mass,  $\epsilon M$ , where different values of  $\epsilon$  define different 211 states of the consumer (Fig. 2: see Methods for deriva-212 tions of allometrically constrained rate equations). Al-213 though the rate equations (1) are general and can in 214 principle be used to explore the starvation recovery dy-215 namics for most organisms, here we focus on allomet-216 ric relationships for terrestrial-bound lower trophic level 217 endotherms (see the SI for values), specifically herbivodividual organism as a function of body mass. Initial growth 218 rous mammals, which range from a minimum of  $M \approx 1 \mathrm{g}$ follows the black trajectory to an energetically replete repro- 219 (the Etruscan shrew Suncus etruscus) to a maximum of ductive adult mass  $m = \epsilon_{\lambda} M$ . Starvation follows the red 220  $M \approx 10^7 \mathrm{g}$  (the early Oligocene Indricotheriinae and the 221 Miocene Deinotheriinae). Investigating other classes of 222 organisms would simply involve altering the metabolic 223 exponents and scalings associate with  $\epsilon$ . Moreover, we 224 emphasize that our allometric equations describe mean 225 relationships, and do not account for the (sometimes con-226 siderable) variance associated with individual species.

As the allometric derivations of the NSM rate laws 170 fraction of the consumers are driven to the hungry non- 228 reveal (see Methods), starvation and recovery rates are 173 steady-state resource density. However, if  $\sigma/\lambda \to 1$  from 231 strained via covarying parameters. Given the parameters above, the population is overloaded with energetically- 232 of terrestrial endotherms, we find that the starvation rate 175 replete (reproducing) individuals, thereby promoting 233  $\sigma$  and the recovery rate  $\rho$  are constrained to lie within 176 transient oscillations between the consumer and resource 234 a small region of potential values for the known range  $_{177}$  densities (Fig. 1). If the starvation rate is low enough  $_{235}$  of body sizes M. Indeed, starvation and recovery rates 178 that the Hopf bifurcation is crossed, these oscillations  $^{236}$  across all values of M fall squarely in the steady state This threshold occurs at 237 region at some distance from the Hopf bifurcation. This 180 higher values of the starvation rate as the recovery rate 238 suggests that cyclic population dynamics should be rare,

Higher rates of starvation result in a larger flux of the 242 population to the hungry state. In this state, reproduc-243 tion is absent, thus increasing the likelihood of extinc-The allometry of extinction risk. While there are 244 tion. From the perspective of population survival, it is 187 no a priori constraints on the parameters in the NSM, 245 the rate of starvation relative to the rate of recovery that 188 we expect that each species should be restricted to a dis- 246 determines the long-term dynamics of the various species 189 tinct portion of the parameter space. We use allomet- 247 (Fig. 1). We therefore examine the competing effects of 100 ric scaling relations to constrain the covariation of rates 248 cyclic dynamics vs. changes in steady-state density on 191 in a principled and biologically meaningful manner (see 249 extinction risk, both as functions of  $\sigma$  and  $\rho$ . To this 102 Methods). Allometric scaling relations highlight com- 250 end, we computed the probability of extinction, where we 193 mon constraints and average trends across large ranges 251 define extinction as a population trajectory falling below 194 in body size and species diversity. Many of these rela- 252 one fifth of the allometrically constrained steady state at 195 tions can be derived from a small set of assumptions and 253 any time between  $t=10^8$  and  $t=10^{10}$ . This procedure is 196 in the Methods we describe our framework to determine 254 repeated for 50 replicates of the continuous-time system 197 the covariation of timescales and rates across a range of 255 shown in Eq. 1 for organisms with mass ranging from  $10^2$ 198 body sizes for each of the key parameters of our model 256 to 10<sup>6</sup> grams. In each replicate the initial densities are 257 chosen to be  $(XF^*, XH^*, R^*)$ , with X a random vari-Nearly all of the rates described in the NSM are de-258 able that is uniformly distributed in [0,2]. By allowing 201 termined by consumer metabolism, which can be used 259 the rate of starvation to vary, we assessed extinction risk 202 to describe a variety of organismal features<sup>39</sup>. We derive 260 across a range of values for  $\sigma$  and  $\rho$  between ca.  $10^{-7}$  to

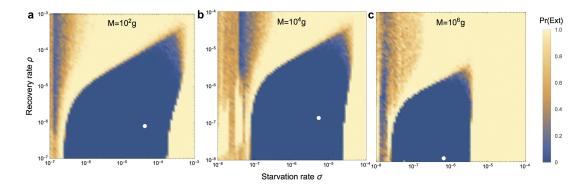


Figure 3: Probability of extinction for a consumer with (a)  $M = 10^2$ g, (b)  $M = 10^4$ g, and (c)  $M = 10^6$ g as a function of the starvation rate  $\sigma$  and recovery rate  $\rho$ , where the initial density is given as  $(XF^*, XH^*, R^*)$ , where X is a random uniform variable in [0,2]. Note the change in scale for  $M=10^4$  and  $M=10^6$ g. Extinction is defined as the population trajectory falling below 0.2× the allometrically constrained steady state. The white points denote the allometrically constrained starvation and recovery rate.

261 10<sup>-3</sup>. As expected, higher rates of extinction correlate 300 suggests that the NSM dynamics may both drive—and 262 with both high values of  $\sigma$  if  $\rho$  is small, and high values 301 constrain—natural animal populations. 263 of  $\rho$  if  $\sigma$  is small. For low values of  $\sigma$  and high values of  $\rho$ , 302 264 the increased extinction risk results from transient cycles 265 with larger amplitudes as the system nears the Hopf bi-266 furcation (Fig. 3). For high values of  $\sigma$  and low values of  $\rho$ , increased extinction risk arises because of the decrease 268 in the steady-state consumer population density (Figs. 269 1b, 3). This interplay creates an 'extinction refuge', such 270 that for a constrained range of  $\sigma$  and  $\rho$ , extinction prob-271 abilities are minimized.

 $\sigma$  and  $\rho$  fall squarely within the extinction refuge  $M^{-0.78}$ . The energy equivalence hypothesis is based  $_{274}$  across a range of M (Fig. 3a-c, white points). These  $_{313}$  on the observation that if one assumes that the total 275 values are close enough to the Hopf bifurcation to avoid  $\frac{314}{6}$  metabolism of an ecosystem  $B_{tot}$  is equally partitioned 277 avoid large-amplitude transient cycles. The feature that 316 species, is a constant), then the abundances should follow 282 lations. Such a mechanism would select for organism 321 species has not been measured at the population level 287 We also find that as body size increases, the amount 326 constant over this same range. This result is remark-291 physiological rates controlling starvation and recovery. 330 studies supporting Damuth's law provided arguments for <sup>294</sup> tinction risk among larger mammals<sup>40</sup>. Moreover, larger <sup>333</sup> not only the exponent but also this intercept by explic-296 such that fluctuations for larger organisms will be more 335 adjusting growth and consumption. 207 likely to drive resources to extinction. To summarize, 336 It should be noted the density relationships of indi-208 our finding that the allometrically-determined param- 337 vidual clades follow more shallow scaling relationships

Predicting Damuth's Law and body size lim-304 its. The NSM correctly predicts that species with 305 smaller masses have larger steady-state population densi-306 ties (Fig. 4a). Similar predictions have been made for car-307 nivore populations using alternative consumer-resource models<sup>41</sup>. Moreover, we show that the NSM provides 309 independent theoretical support for Damuth's Law and the energy equivalence hypothesis 42? -44. Damuth's law We find that the allometrically constrained values 311 shows that the abundances of species,  $N^*$ , follows  $N^* \propto$ 276 low steady-state densities, and far enough away to 315 between all species  $(B_i)$ , the total metabolism of one <sup>278</sup> allometric values of  $\sigma$  and  $\rho$  fall within this relatively <sup>317</sup>  $N\left(M\right)B\left(M\right)=B_{i}$  implying that  $N\left(M\right)\propto M^{-\eta}$ , where 270 small window supports the possibility that a selective 318  $\eta$  is the metabolic scaling exponent 43,44. As  $\eta \approx 3/4$ 280 mechanism has constrained the physiological conditions 319 this hypothesis is consistent with Damuth's law 43, how-281 that drive starvation and recovery rates within popu- 320 ever the actually equivalence of energy usage of diverse 283 physiology that generates appropriate  $\sigma$  and  $\rho$  values 322 for a variety of whole populations. Figure 4a shows that that serve to minimize extinction risk. This selection  $^{323}$  both  $F^*$  and  $H^*$  scale as  $M^{-\eta}$  over a wide range of organ-285 could occur via the tuning of body fat percentages, 324 ism sizes and that  $F^* + H^*$  closely matches the best fit 286 metabolic rates, and biomass maintenance efficiencies. 325 to Damuth's data. Figure 4b shows that  $F^*B$  is nearly 288 of low extinction risk parameter space becomes smaller 327 able because it illustrates that the steady state values of <sup>289</sup> (Fig. 3a-c), suggesting that the population dynamics <sup>328</sup> the NSM combined with the derived timescales naturally 290 of larger organisms are more sensitive to variability in 329 give rise to Damuth's law. While the previous metabolic <sup>292</sup> This finding is in accordance with, and may serve as <sup>331</sup> the value of the exponent<sup>43</sup>, these studies are only able 293 contributing support for, observations of increased ex- 332 to infer the intercept from the data. Our model predicts 295 body sizes decrease the steady state resource density, 334 itly including the resource dynamics and the parameters

299 eters fall within this low extinction probability region 338 than Damuth's law? . In the context of our model, this

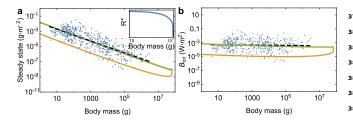


Figure 4: (a) Consumer steady states  $F^*$  (green) and  $H^*$ (orange) as a function of body mass. Inset: Resource steady the allometric relationships for metabolic rate (see the SI and Refs. $^{45-47}$ ).

341 sociated with each clade.

343 down at large M suggesting that this maximum is a hard 344 limit where deviations outside of this range are energeti-345 cally suboptimal. With respect to predicted steady state 403 timal upper bound of roughly 10<sup>7</sup> grams<sup>48</sup>, a value that 346 densities, the total metabolic rate of F and H becomes infinite at a finite mass, and occurs at the same scale where 405 over longer timescales<sup>49</sup>. These trends are thought to 348 the steady state resources vanish (Fig. 4). This asymp406 be driven by a combination of climate change and niche totic behavior is governed by body sizes at which  $\epsilon_{\mu}$  and availability  $^{56}$ ; however the underpinning energetic costs  $\epsilon_{\lambda}$  equal zero, causing the timescales to become infinite 408 and benefits of larger body sizes, and how they influ-351 and the rates  $\mu$  and  $\lambda$  to equal zero. A theoretical upper 409 ence dynamics over ecological timescales, have not been bound on mammalian body size is given by  $\epsilon_{\sigma}=0,$  where  $\epsilon_{\sigma}=0$ 353 mammals are entirely composed of metabolic reserves, 411 The NSM predicts that the steady state resource and this occurs at  $M=8.3\times10^8$  (g), or 120 times the 412 density  $R^*$  decreases with increasing body size of the mass of a male African elephant. In contrast, the  $\mu=0$  413 consumer population (Fig. 4a, inset), and classic reasymptote occurs first when  $f_0M^{\gamma-1}+u_0M^{\zeta-1}=1$ , and 414 source competition theory predicts that the species sur-357 corresponds to  $(F^*, H^*, R^*) = (0,0,0)$ . This point pre-358 dicts a more realistic upper bound on mammalian body 416 pete others 57-59. Thus, the combined NSM steady-state 350 size and occurs at  $M_{\rm max}=6.54\times10^7$  (g). Moreover, 417 dynamics and allometric timescales predict that larger  $_{360}$   $M_{
m max}$ , which is entirely determined by the population-  $_{418}$  mammals have an intrinsic competitive advantage given 361 level consequences of energetic constraints, is within an 419 a common resource, but these absolute limits do not offer 362 order of magnitude of the maximum body size observed 420 a mechanism by which larger body sizes are selected for 363 in the North American mammalian fossil record<sup>48</sup>, as 421 or against. 364 well as the mass predicted from an evolutionary model 422 We directly assess competitive outcome between two 365 of body size evolution  $^{49}$ . It should be noted that the 423 closely related species: a resident species of mass M, 366 asymptotic behavior and predicted upper bound depend 424 and a competing species (denoted by ') where individ-367 only on the scaling of body composition and are inde- 425 uals have a different proportion of body fat such that 366 pendent of the resource parameters. We also note that 426  $M' = M(1+\chi)$ . If  $\chi < 0$ , individuals within the com-366 the prediction of an asymptotic limit on mammalian size 427 peting population have fewer metabolic reserves, and if 370 parallels work on microbial life where an upper and lower  $\chi > 0$ , individuals have more metabolic reserves than the 371 bound on bacterial size, and an upper bound on sin- 429 resident species. For the allowable values of  $\chi$  the ad-372 gle cell eukaryotic size, is predicted from similar growth 430 justed mass should exceed the minimal amount of body and energetic scaling relationships<sup>3,50</sup>. It has also been 431 fat,  $1 + \chi > \epsilon_{\sigma}$ , and the adjusted time to reproduce 374 shown that models of the energetic allometry of hunting 432 must be positive, which given Equation 4, implies that 375 and resting combined with foraging time predicts a maxi- 433  $1 - \epsilon_{\lambda}^{1-\eta} (1+\chi)^{1-\eta} > 0$ . Together these conditions imply 376 mum carnivore size between  $7 \times 10^5$  and  $1.1 \times 10^6$  (g)  $^{60?}$ . 434 that  $\chi \in (-f_0 M^{\gamma-1}, 1/\epsilon_{\lambda} - 1)$  where the upper bound 377 Similarly, other past work has shown that the maximum 435 approximately equals 0.05 and the lower bound is mass-

379 the metabolic normalization constant and depend on a 380 critical death found to be constant from data<sup>61</sup>. This 381 complementary approach is based on the balance between 382 death and growth, and in connection with our model here 383 suggests that future connections between the scaling of 384 fat and muscle mass should be systematically connected 385 with  $B_0$  when comparing lineages.

A mechanism for Cope's rule Metabolite trans-387 port constraints are widely thought to place strict bound-388 aries on biological scaling 39,51,52 and thereby lead to state  $R^*$  as a function of consumer body mass. (b) Total 389 specific predictions on the minimum possible body size energetic use  $B_{\text{tot}}$  of consumer populations at the steady state 300 for organisms<sup>53</sup>. Above this bound, a number of eneras a function of body mass. The data are from Damuth and getic and evolutionary mechanisms have been explored have been converted to total population metabolism using 392 to assess the costs and benefits associated with larger 393 body masses, particularly for mammals. One important such example is the fasting endurance hypothesis, 395 which contends that larger body size, with consequent 396 lower metabolic rates and increased ability to maintain 339 suggests that future work may be able to anticipate these 397 more endogenous energetic reserves, may buffer organ-340 shifts from differences in the physiological parameters as- 398 isms against environmental fluctuations in resource availability<sup>54</sup>. Over evolutionary time, terrestrial mammalian Our model shows that energetic equivalence breaks 400 lineages show a significant trend towards larger body size 401 known as Cope's rule<sup>48,49,55,56</sup>, and it is thought that 402 within-lineage drivers generate selection towards an op-

378 body size within a particular lineage should scale with 436 dependent. The modified mass adjusts our model via

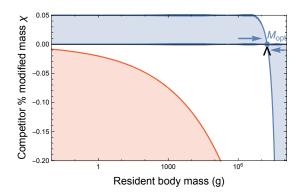


Figure 5: Competitive outcomes for a resident species with body mass M vs. a closely related competing species with modified body mass  $M' = M(1 + \chi)$ . The blue region demal mass from our model  $M_{\rm opt} = 1.748 \times 10^7$ , which may serve as an evolutionary attractor for body mass. The black wedge points to the largest body mass known for terrestrial mammals (Deinotherium spp.) at  $1.74 \times 10^7 g^{55}$ .

437 the altered rates of starvation  $\sigma(M')$ , recovery  $\rho(M')$ . 438 and the maintenance of both starving  $\delta(M')$  and full 439 consumers  $\beta(M')$  (see the SI for detailed derivations of 440 competitor rates). Importantly,  $\epsilon_{\sigma}$ , which determines the 441 point along the growth curve that defines the body com-442 position of starved foragers, is assumed to remain un-443 changed for the competing population.

To assess the susceptibility of the resident species 445 to competitive exclusion, we determine which consumer 446 pushes the steady-state resource density  $R^*$  to lower val-447 ues for a given value of  $\chi$ , with the expectation that a 448 population capable of surviving on lower resource den- $^{449}$  sities has a competitive advantage  $^{57}$ . We find that for 450  $M \leq 1.748 \times 10^7 \text{g}$ , having additional body fat  $(\chi > 0)$ 451 results in a lower steady state resource density ( $R'^*$  $_{452}$   $R^*$ ), such that the competitor has an intrinsic advan-453 tage over the resident species (Fig. 5). However, for 454  $M > 1.748 \times 10^7$ g, leaner individuals ( $\chi < 0$ ) have lower 455 resource steady state densities, switching the advantage 456 from having more metabolic reserves to having less.

The observed switch in susceptibility as a function of 458  $\chi$  at  $M_{\rm opt} = 1.748 \times 10^7 {\rm g}$  thus serves as an attractor, 459 such that the NSM predicts organismal mass to increase 460 if  $M < M_{\rm opt}$  and decrease if  $M > M_{\rm opt}$ . This value is 461 close to but smaller than the asymptotic upper bound 462 for terrestrial mammal body size predicted by the NSM, 463 however it is remarkably close to independent estimates 464 of the largest land mammals, the early Oligocene In-465 dricotherium at ca.  $1.5 \times 10^7 \mathrm{g}$  and the late Miocene 466 Deinotherium at ca.  $1.74 \times 10^{7}$  g 55. Additionally, our 467 calculation of  $M_{\rm opt}$  as a function of mass-dependent phys-468 iological rates is similar to theoretical estimates of max-469 imum body size<sup>49</sup>, and provides independent theoretical 470 support for the observation of a 'maximum body size

471 attractor' for North American mammals explored by Al- $472 \text{ roy}^{48}$ . It should be noted that the model of  $^{51}$  predicts an 473 optimal mammal size at intermediate mammalian sizes 474 using reproductive maximization. This model consid-475 ers the transition between hungry and full individuals as 476 well, but our coupling to resources and an explicit treat-477 ment of storage shows that the largest mammals have an 478 advantage in terms resource competition. Another sub-479 tlety is that the distributions in <sup>51</sup> show that intermediate 480 mammal sizes have the most number of species, and it 481 is important to recognize that our efforts consider total 482 biomass where recent work shows that many ecosystems 483 are dominated by the biomass of the large.

While the state of the environment, as well as the  $_{485}$  competitive landscape, will determine whether specific notes proportions of modified mass  $\chi$  resulting in exclusion 486 body sizes are selected for or against 56, we propose that of the resident species. The red region denotes values of  $\chi$  487 the dynamics of starvation and recovery described in that result in a mass that is below the starvation threshold 488 the NSM provide a general selective mechanism for the and are thus infeasible. Arrows point to the predicted opti- 489 evolution of larger body size among terrestrial mammals.

## 491 Discussion

The energetics associated with somatic maintenance, 494 growth, and reproduction are important elements that  $_{495}$  influence the dynamics of all populations  $^{11}$ . The NSM 496 is a general model that incorporates the dynamics of 497 starvation and recovery that are expected to occur in 498 resource-limited environments. By incorporating allometric relations between the rates in the NSM, we found: 500 (i) allometrically-determined rates of starvation and re-501 covery appear to minimize extinction risk, (ii) the dv-502 namic consequences of these rates may introduce ad-503 ditional drivers and hard boundaries on the evolution 504 of maximum body size, and (iii) a selective mechanism 505 for the evolution of larger body sizes known as Cope's 506 rule. We suggest that the NSM offers a means by which 507 the dynamic consequences of energetic constraints can 508 be assessed using macroscale interactions between and among species. Future efforts will involve exploring the 510 consequences of these dynamics in a spatially explicit 511 framework, thus incorporating elements such as move-512 ment costs and spatial heterogeneity, which may eluci-513 date additional tradeoffs associated with the dynamics 514 of starvation and recovery.

## Methods

Analytical solution to the NSM Equation (1) has three fixed points: two trivial fixed points at  $(F^*, H^*, R^*)$ (0,0,0) and (0,0,1), and one non-trivial, internal fixed point

$$F^* = (\sigma - \lambda) \frac{\alpha \lambda \mu^2 (\mu + \xi \rho)}{A(\lambda \rho B + \mu \sigma (\beta \mu + \lambda (\delta + \rho)))},$$

$$H^* = (\sigma - \lambda) \frac{\alpha \lambda^2 \mu (\mu + \xi \rho)}{A(\lambda \rho B + \mu \sigma (\beta \mu + \lambda (\delta + \rho)))},$$

$$R^* = (\sigma - \lambda) \frac{\mu}{A}.$$
(2)

516 where  $A = (\lambda \xi \rho + \mu \sigma)$  and  $B = (\beta \mu \xi + \delta \lambda \xi - \lambda \mu)$ . The stabil- 563 example the brain cannot be degraded to fuel metabolism), 517 ity of this fixed point is determined by the Jacobian matrix J, 564 we define the rates for starvation, death, and recovery by the 518 where each matrix element  $J_{ij} = \partial \dot{X}_i/\partial X_j$  when evaluated 565 timescales required to reach, or return from, specific fractions 519 at the internal fixed point, and  $\mathbf X$  is the vector (F,H,R). 566 of the replete-state mass (see the SI, Table I, for parameteri-520 The parameters in Eq. (1) are such that the real part of the 567 zations). We define  $m_{\sigma} = \epsilon_{\sigma} M$ , where  $\epsilon_{\sigma} < 1$  is the fraction  $_{521}$  largest eigenvalue of  $\bf J$  is negative, so that the system is sta-  $_{568}$  of replete-state mass where reproduction ceases. This fraction 522 ble with respect to small perturbations from the fixed point. 569 will deviate from a constant if tissue composition systemat-523 Because this fixed point is unique, it is the global attractor 570 ically scales with adult mass. For example, making use of 524 for all population trajectories for any initial condition where 571 the observation that body fat in mammals scales with overall 525 the resource and consumer densities are both nonzero.

531 cellular species between  $\eta \approx 1$  in eukaryotes and  $\eta \approx 1.76$  in 578 which case

Several efforts have shown how a partitioning of B be-534 tween growth and maintenance purposes can be used to 535 derive a general equation for both the growth trajectories 536 and growth rates of organisms ranging from bacteria to metazoans<sup>3,45–47,64</sup>. This relation is derived from the sim- <sup>579</sup> where  $a' = B_0/E'_m$  accounts for possible deviations in the <sup>538</sup> ple balance condition  $B_0 m^{\eta} = E_m \dot{m} + B_m m$ , <sup>3,45–47,64</sup> where <sup>580</sup> biosynthetic energetics during recovery (see the SI). It should 539  $E_m$  is the energy needed to synthesize a unit of mass,  $B_m$  is 581 be noted that more complicated ontogenetic models explicitly 540 the metabolic rate to support an existing unit of mass, and m 582 handle storage  $^{46}$ , whereas this feature is implicitly covered by 541 is the mass of the organism at any point in its development. 583 the body fat scaling in our framework. 542 This balance has the general solution  $^{3,65}$ 

$$\left(\frac{m(t)}{M}\right)^{1-\eta} = 1 - \left[1 - \left(\frac{m_0}{M}\right)^{1-\eta}\right] e^{-a(1-\eta)t/M^{1-\eta}},$$
(3)

where, for  $\eta < 1$ ,  $M = (B_0/B_m)^{1/(1-\eta)}$  is the asymptotic mass,  $a = B_0/E_m$ , and  $m_0$  is mass at birth, itself varying allometrically (see the SI). We now use this solution to define the timescale for reproduction and recovery from starvation (Fig. 2; see<sup>47</sup> for a detailed presentation of these timescales). The time that it takes to reach a particular mass  $\epsilon M$  is given by the timescale

$$\tau\left(\epsilon\right) = \ln\left[\frac{1 - (m_0/M)^{1-\eta}}{1 - \epsilon^{1-\eta}}\right] \frac{M^{1-\eta}}{a\left(1 - \eta\right)},\tag{4}$$

543 where we will define values of  $\epsilon$  to describe a variety of 544 timescales, and related rates, within our model. For example, 545 the rate of reproduction is given by the timescale to go from 546 the birth mass to the adult mass. The time to reproduce is 547 given by Equation 4 as  $t_{\lambda} = \tau(\epsilon_{\lambda})$ , where  $\epsilon_{\lambda}$  is the fraction of 584 The starvation rate is then  $\sigma = 1/t_{\sigma}$ , which scales with 548 the asymptotic mass where an organism is reproductively mass as  $1/M^{1-\eta} \ln{(1-f_0M^{\gamma}/M)}$ . An impor-549 ture and should be close to one (typically  $\epsilon_{\lambda} \approx 0.95$  45). Our 586 tant feature is that  $\sigma$  does not have a simple scaling depen-550 reproductive rate,  $\lambda$ , is a specific rate, or the number of off-587 dence on  $\lambda$ , which is important for the dynamics that we later 551 spring produced per time per individual, defined as  $\dot{F}=\lambda F$ . 588 discuss.  $_{552}$  In isolation this functional form gives population growth fol-  $_{589}$ 553 lowing  $F(t) = F_0 e^{\lambda t}$  which can be related to the reproductive 590 fined by a lower fraction of replete-state mass,  $m_{\mu} = \epsilon_{\mu} M$ 554 timescale by assuming that when  $t=t_{\lambda}$  it is also the case 591 where  $\epsilon_{\mu}<\epsilon_{\sigma}$ . Suppose, for example, that an organism dies 555 that  $F = \nu F_0$ , where  $\nu - 1$  is the number of offspring pro-592 once it has digested all fat and muscle tissues, and that mus-556 duced per reproductive cycle. Following this relationship the 593 cle tissue scales with body mass according to  $M_{\rm musc}=u_0M^{\varsigma}$ .

562 state. Since only certain tissues can be digested for energy (for 599 and  $\mu=1/t_{\mu}$ .

572 body size according to  $M_{\rm fat} = f_0 M^{\gamma}$  and assuming that once  ${\bf Metabolic \ scaling \ relationships \ The \ scaling \ relation \ {}_{\bf 573} \ this \ mass \ is \ fully \ digested \ the \ organism \ starves, \ this \ would}$ 527 between an organism's metabolic rate B and its body mass M 574 imply that  $\epsilon_{\sigma}=1-f_{0}M^{\gamma}/M$ . It follows that the recovery 528 at reproductive maturity is known to scale as  $B=B_{0}M^{\eta62}$ , 575 timescale,  $t_{\rho}$ , is the time to go from  $m=\epsilon_{\sigma}\epsilon_{\lambda}M$  to  $m=\epsilon_{\lambda}M$  529 where the scaling exponent  $\eta$  is typically close to 2/3 or 3/4 576 (Fig. 2). Using Eqs. (3) and (4) this timescale is given by sim-530 for metazoans (e.g., ref.<sup>39</sup>), and has taxonomic shifts for uni-577 ply considering an adjusted starting mass of  $m'_0 = \epsilon_0 \epsilon_\lambda M$ , in

$$t_{\rho} = \ln \left[ \frac{1 - (\epsilon_{\sigma} \epsilon_{\lambda})^{1 - \eta}}{1 - \epsilon_{\lambda}^{1 - \eta}} \right] \frac{M^{1 - \eta}}{a' (1 - \eta)}$$
 (5)

To determine the starvation rate,  $\sigma$ , we are interested in the time required for an organism to go from a mature adult that reproduces at rate  $\lambda$ , to a reduced-mass hungry state where reproduction is impossible. For starving individuals we assume that an organism must meet its maintenance requirements by using the digestion of existing mass as the sole energy source. This assumption implies the following simple metabolic balance  $\dot{m}E'_m = -B_m m$  or  $\dot{m} = -a'm/M^{1-\eta}$ where  $E'_m$  is the amount of energy stored in a unit of existing body mass, which differs from  $E_m$ , the energy required to synthesis a unit of biomass<sup>46</sup>. Given the replete mass, M, of an organism, the above energy balance prescribes the mass trajectory of a non-consuming organism: $m(t) = Me^{-a't/M^{1-\eta}}$ The timescale for starvation is given by the time it takes m(t)to reach  $\epsilon_{\sigma}M$ , which gives

$$t_{\sigma} = -\frac{M^{1-\eta}}{a'} \ln \left( \epsilon_{\sigma} \right). \tag{6}$$

The time to death should follow a similar relation, but de-557 growth rate is given by  $\lambda = \ln(\nu)/t_{\lambda}$ , which is the standard 558 relationship and will scale as  $\lambda \propto M^{\eta-1}$  for  $M \gg m_0$  for any 559 constant value of  $\epsilon_{\lambda}^{3,45-47,64}$ . 559 This gives  $\epsilon_{\mu} = 1 - \left(f_0 M^{\gamma} + u_0 M^{\zeta}\right)/M$ . Muscle mass has 559 been shown to be roughly proportional to body mass 66 in 550 mammals and thus  $\epsilon_{\mu}$  is merely  $\epsilon_{\sigma}$  minus a constant. The The rate of recovery  $\rho = 1/t_{\rho}$  requires that an organism ac- 507 time to go from starvation to death is the total time to reach 561 crues sufficient tissue to transition from the hungry to the full 598  $\epsilon_{\mu}M$  minus the time to starve, or  $t_{\mu}=-M^{1-\eta}\ln{(\epsilon_{\mu})}/a'-t_{\sigma}$ ,

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