

# INVSTUR LLC

## INVESTOR APPLICATION FORM

APPLICATION FORM FOR A PRIVATE INVESTOR  
KINDLY FILL THE ENTIRE DOCUMENT IN BLOCK LETTERS  
MANDATORY FIELDS

### SECTION A

#### INVESTOR INFORMATION

An investor is the person who subscribes. All shareholder mailings will be sent to the address provided here.

##### GENERAL INFORMATION ON INVESTOR (MANDATORY)

**Residential Address (please note that "PO Box" and "Care of" are not accepted)**

##### **Identification**

Title	Mr		Mrs		Miss	
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Surname		First Name(s)	
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Date of birth		Minor	Yes		No	
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Place of birth		Country of birth	
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Nationality		Occupation	
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Sector of activity		Estimated annual income	
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Origin of funds	Savings		Inheritance		Sale of properties		Other:	
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Street/No			
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Postcode		City		Country	
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##### **Mailing Address (if different from Residential Address)**

Street/No			
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Postcode		City		Country	
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Contact person				Email ID
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Telephone Number				Fax
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##### **Tax**

Tax identification n°		Tax Residence country	
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Reporting language	English		French		German		Italian		Spanish	
	Norwegian		Dutch		Swedish		Mandarin		Polish	

Reporting currency	USD		EUR		GBP		
	NOK		PLN		HUF		Other: _____

Reporting by fax	Yes		No		Fax number	
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**In case of dividends, payment should be**

Paid to my bank		Reinvested	
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## 2. GENERAL INFORMATION ON JOINT HOLDERS OR GUARDIAN (IF APPLICABLE)

### Identification

Joint holder		Guardian		Title	Mr		Mrs		Miss	
Surname				First Name(s)						
Date of birth				Minor	Yes		No			
Place of birth				Country of birth						
Nationality				Occupation						
Sector of activity				Estimated annual income						
Origin of funds	Savings		Inheritance		Sale of real estate		Other:			

### Residential Address (please note that "PO Box" and "Care of" are not accepted)

Street/No					
Postcode		City		Country	

### Contact details

Telephone number		Fax number	
Contact person		E-mail address	

### Political Exposed Persons

Political Mandate (PEPs*)	Yes		No	
If "Yes"	Please detail the mandate			

Please note that in case of joint holders, all applicants will be considered as joint applicants, but individual signatory powers apply.  
Reporting will be sent to the First Applicant as defined in the Terms and Conditions

**Please note that in case of joint register, all applicants will be considered as joint applicants, but individual signatory powers apply.**

### **Investor payment instruction to be used for redemptions / dividend payments (mandatory)**

Bank Name					
Street/No					
Postcode		City		Country	
BIC / SWIFT code					
and/or National Bank Code (ex: BLZ, sort code...)					
Account Number (IBAN format mandatory when account currency is EUR)					
Account Holder Name				Account Currency	

In case payment must be made through a correspondent bank, please provide the following information:

Bank Name			
Street/No			
Postcode		City	Country
BIC / SWIFT code			
And/or National Bank Code			
Account Number (IBAN format mandatory when account currency is EURO)			
Account Holder Name		Account Currency	

## SECTION B

: **INTERMEDIARY INFORMATION (mandatory if the investor submits his Application Form through an intermediary)** The intermediary is the financial company through which the investor submits his application form, before sending it to INVSTUR LLC

### 1. General information on intermediary / distributor (mandatory if the investor submits his Application Form through an intermediary)

Intermediary Name			
Intermediary Registered Office Address (please note that " PO Box" and " Care of" are not accepted)			
Street/No			
Postcode		City	Country
<b>Mailing Address (if different from Registered Office Address)</b>			
Street/No			
Postcode		City	Country

### Intermediary Contact details

Telephone number		Fax number	
Contact person		E-mail address	

### Regulation

Is the intermediary regulated?	Yes	No
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If " Yes"	Name of the regulatory body	
	Regulator web site address of the page showing the name of the intermediary	

### Signature section (mandatory if investor submits his Application Form through an intermediary)

Intermediary Signature	
Signatory Name	

Intermediary Stamp	
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## SECTION C

### FINAL BENEFICIARY INFORMATION

The **final beneficiary** of the register is the person (or company) who has been listed in account as the next of kin, and has the economic benefit of the holdings.

#### 1. Declaration of final beneficiary (mandatory)

<b>Who has the economic benefit of the register?</b>	<b>The investor</b>	<input type="checkbox"/>	<b>Somebody else</b>	<input type="checkbox"/>
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Note: If one of the following cases applies to you, there is **no requirement** to complete the section below.

Your financial intermediary is a company regulated in a low sensitive country (refer to the list below)

- Your financial intermediary has a parent company regulated in a low sensitive country, which controls the application of KYC / AML laws in its subsidiaries

**If final beneficiary changes, the investor MUST inform INVSTUR, LLC of the new beneficial owners(s)**

#### 2. General information on the final beneficiary (mandatory if the final beneficiary is different from the investor)

Title	Mr	<input type="checkbox"/>	Mrs	<input type="checkbox"/>	Miss	<input type="checkbox"/>
Surname				First Name(s)		
Date of birth				Minor	Yes	<input type="checkbox"/>
Place of birth				Country of birth		
Nationality				Occupation		
Sector of activity				Estimated annual income		
Origin of funds	Savings	<input type="checkbox"/>	Inheritance	<input type="checkbox"/>	Sale of real estate	<input type="checkbox"/>
		<input type="checkbox"/>		<input type="checkbox"/>	Other:	<input type="checkbox"/>

**Residential Address (please note that “ PO Box” and “ Care of” are not accepted)**

Street/No					
Postcode		City		Country	

#### Contact Details

Telephone number			Fax number	
Contact person			E-mail address	

#### Political Exposed Persons

Political Mandate (PEPs*)	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
If “ Yes”	Please detail the mandate			

**Signature section (mandatory if the final beneficiary is different from the investor)**

Final Beneficiary Signature		
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#### **SECTION 4: DECLARATIONS AND SIGNATURE OF THE INVESTOR AND JOINT APPLICANTS**

1. I/We hereby confirm that the money or assets invested by me/us in the fund are neither directly nor indirectly the proceeds of any criminal act within the meaning of applicable Luxembourg law.
2. I/We understand and accept that the application is made on the basis of and subject to the Prospectus and the Articles of Incorporation or Management Regulations of the Fund amended from time to time and that my/our statements, instructions set out in this application form shall apply to such dealings, unless I/we otherwise notify the Fund in writing.
3. I/We declare that we have received and read the latest version of the Prospectus and the latest annual audited report of the Fund and, as the case may be, the latest semi-annual financial report.
4. I/we acknowledge that, if I/we am/are not able to comply with this requirement, the subscription will not be refunded
5. I/We declare that I/we are over 18 years of age or in cases of application on behalf of investors less than 18 years of age, that I/we comply with the provisions set out in clause 5 of the Terms and Conditions.
6. I/We acknowledge and agree that the investor personal details will be held by the Transfer Agent and the Fund.
7. I/We acknowledge that this data may be subject to recording and various processing for subscriptions, redemptions and transfers.
8. I/We acknowledge that I/we have a permanent access to such data and the right to request relevant amendments of the data.
9. I/We declare to have read and agree to the Terms and Conditions.
10. I/We hereby declare that the information contained in the Application Form is correct and I/we hereby undertake to inform the Fund of any change in my/our details contained herein.
11. Please note that in case of joint register, all applicants will be considered as joint applicants, but individual signatory powers apply.

Investor Signature (or guardian signature in case of minor)	
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Joint Applicant Signature(s)	
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#### **AUTHORISATION OF EXCHANGE OF INFORMATION**

The Act sets forth the principle that your broker shall effect deduction of tax at source on interest that I receive on my investments, unless I prefer to pay this tax in my country of residence. In order to avoid being subject to deduction at source, I opt for the exchange of information system also set forth by the Directive and the Act, and I agree to information about me being transmitted by you to the Minister of Finance or authorized representative, who shall then transmit them to the competent authority in my country of residence.

Consequently, I the undersigned

Last name \_\_\_\_\_

First names \_\_\_\_\_

Date of birth \_\_\_\_\_ City of Birth \_\_\_\_\_

Tax Identification number \_\_\_\_\_

Holder of register(s) n° \_\_\_\_\_

In which are recorded my shares in the investment funds for which the register of registered shareholders

- a) Give express authorization as paying agent, under the terms and conditions of the Act, to communicate the following information to the Minister of Finance or his authorized representative:

- My first and last names and address\*
- The identification of any interest-bearing security held, as defined by the Act
- The total amount of interest or revenue periodically received and referred to by the Act, and/or the total amount of proceeds from transfer, redemption, or repayment.

This authorization is given to you for an unspecified term. I may however terminate it at any time by simple written notification on my part. This termination will take effect 30 days after you receive it.

This authorization is governed by the laws. Any dispute related to interpretation, validity, or execution hereof shall be subject to the exclusive jurisdiction of the courts of the judicial district of Canada.

Place		Date	
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Investor Signature:
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## **Anti-money laundering and counter-terrorism financing laws**

Under anti-money laundering and counter-terrorism financing laws, we have obligations to address money laundering and terrorism financing risks. We reserve the right to request such information as is necessary to enable us to:

Comply with our obligations under relevant laws, including reporting relevant matters to appropriate authorities. Verify the identity of an investor and (if appropriate) any beneficial owner of the investor, and collect details such as

An investor's occupation (for individuals) or business activity (for companies/other entities) as well as investor's source of investment funds.

In the event of a delay or failure by the investor to produce any information required for verification purposes, we may refuse to accept an application. We may also refuse to process a transaction in relation to your investments, or delay or block or refuse a transaction, where we have reasonable grounds for believing that the transaction will breach relevant laws. By signing this Application form, you acknowledge, understand and agree that:

**INVSTUR, LLC**, may be required to carry out a procedure to verify your identity before processing your application and carrying out your investment instructions from time to time thereafter.

**INVSTUR, LLC**, may request or require additional personal or entity information in order to fulfill its legislative obligations, and failure by you to supply the required information in a timely manner may result in **INVSTUR, LLC**, being prevented by law from processing your application and carrying out your investment instructions, from time to time. Where the processing of your application or the carrying out your investment instructions is delayed or refused, **INVSTUR, LLC**, and its associated entities are not liable for any loss you may suffer (including consequential loss) howsoever caused in connection with our investment in a fund. Transactions may be delayed, blocked or refused where **INVSTUR, LLC** has reasonable grounds to believe that the transaction breaches relevant laws, including sanctions or the laws or sanctions of any other country.

Where transactions are delayed, blocked or refused, **INVSTUR, LLC** is not liable for any loss you may suffer (including consequential loss) howsoever caused in connection with our investment in the fund.

**INVSTUR, LLC**, has certain reporting obligations under anti-money laundering and counter-terrorism financing laws, including the reporting of 'suspicious matters' to the relevant authority, and that the laws may prevent **INVSTUR, LLC**, from informing you that any such reporting has taken place.

Where legally obliged to do so, **INVSTUR, LLC** may disclose the information gathered to regulatory and/or law enforcement agencies, when they requested for it.

### **Declaration**

By submitting this form, you:

- (i) confirm that you have provided your tax residency status, including all countries in which you are a tax resident and the respective TIN;
- (ii) Confirm you will advise **INVSTUR, LLC** within 30 days of any change in circumstances which affect your tax residency status;
- (iii) Confirm you are not a politically exposed person;
- (iv) Declare that you received an electronic or paper copy before or at the same time as you received this Application form and have read and understood the relevant fund(s) to which this Application form relates;
- (v) Agree to be bound by the terms and conditions of this Application form and the terms of the constitution of the relevant fund(s) in which you are invested (as amended from time to time);
- (vi) Have received and accepted this offer.
- (vii) Acknowledge that **INVSTUR, LLC** reserves the right to accept or reject this application in whole or in part for any reason
- (viii) Confirm that you are 18 years of age or over (for individual or joint investors);
- (ix) Confirm that you have the legal power and are duly authorized to make this application;
- (x) Confirm that the Annual Financial Reports for the fund(s) in which you invested will be delivered.
- (xi) Confirm that your financial adviser will receive information about your investments, where you have expressly agreed on.
- (xiii) Confirm that you have read the privacy information in the terms and conditions and consent to the collection, use, storage and disclosure of your personal information as described in this form.
- (xiiii) confirm that you acknowledge, understand and agree to the matters set out in the anti-money laundering and counter-terrorism financing laws;
- (xvi) Acknowledge that **INVSTUR, LLC** make any representation as to the performance of, or the rate of income or capital return from, or recovery of money invested in, a fund