

1-1/

How do we know if a math formula  
is true?

How do we know if an algorithm  
(like Euclid's GCD) "works"?

↖ ↘

correct effective

Does an algorithm exist?

What is an algorithm?

Does a program exist? ← problems

What is a program? ← models

I-2] A set is "a bunch of stuff"

$\emptyset$  - nothin' in it  
 $\forall x, x \notin \emptyset$

$\{ \text{pen, phone} \}$      $\{ \text{phone, pen} \}$

$\{\checkmark, \square\}$

$\nexists \text{ pen} \in \{ \text{pen, phone} \}$

$\forall x, x \in \{y\}$  iff  $x = y$

union -  $\cup$        $A \cup B$

$\forall x, x \in A \cup B$  iff  $x \in A$  or  $x \in B$

$\{ \text{pen, phone} \} = \{ \text{pen} \} \cup \{ \text{phone} \}$

[=3] "The set of all true math formulas"

A set IS its membership

" $1+1=2$ "  $\in TS \uparrow ?$

"Is there a god?"

"Will Buffy be remade?"

All sets "constructed" via  $\emptyset$ ,  $\{\cdot\}$ ,  $\cup$  are finite.

$$x \in \{\emptyset\} \cup \{\{\cdot\}\}$$

The Universe ( $U$ )

$A \subseteq B$  iff  $\forall x, x \in A \rightarrow x \in B$

↳ Our universe is made of strings  
and strings are sequences of characters  
and chars are elements of an alphabet  
an alphabet is a finite set



$$\Sigma = \{0, 1\}$$

↑  
chars

$$\{0, 1, \cup, \$, +\}$$

↑  
chars

"0100001" = a string = s

length = 7

$$s(0) = 0$$

$$s(1) = 1 \quad s(2) = 0$$

$\cup = \Sigma^*$  ← special notation

$$A^* = \{\epsilon\} \cup A \circ A^*$$

epsilon = " " = the string w/ no characters

$x \in A \circ B$  iff  $x(0) \in A$  and  
 $x(1..) \in B$

$$\{0, 1\} \circ \{0, 1\} = \{00, 01, 10, 11\}$$

$$\{1\} \circ \{0\} = \{10\}$$

LS / #1. Decide a data type to represent alphabets and characters.

Alphabet = List < Character >

Character = Object / void\*  
we need equality

#2. Decide a data type for strings

interface String { }

class MtString implements String { .. }

class OneString impl String { }

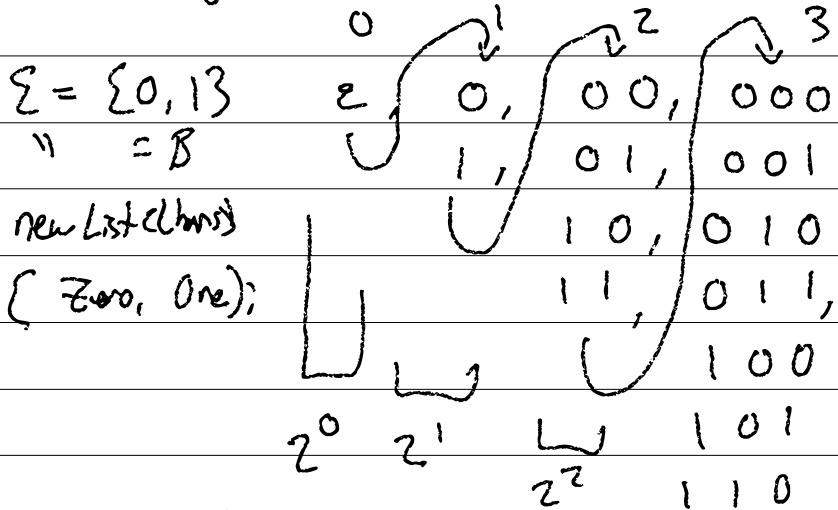
OneString ( char c, String s ) { ... }

Zero = new BasicChar('0'); One = new BC('1');

010 = new OneS(Zero, new OneS(One, new  
OneS(Zero, new MtS())));

(EP)

I-6/ Every alphabet has a lexicographical ordering of the strings in  $\Sigma^*$



$|A|^i$  where  $i$  is layer  $B^i$   
looking at  $\underbrace{111}_{2^3}$

lexi :  $\Sigma \times \mathbb{N} \rightarrow \Sigma^*$

lexi B 0 = ε

lexi B 1 = 0

lexi B 2 = 1

lexi B 6 = 101

2-1 "1+1"  $\rightarrow$  "2"

"1+1 = 2"  $\in$  Truth

"1+1 = 3"  $\notin$  Truth

$\emptyset \quad \Sigma^3 \quad A \cup B$

Alphabet  $\Sigma$  Universe  $\Sigma^*$

{0, 1}

{ε, 0110, 000001,



3

$P(A) \quad 2^A$

$x \in P(A)$  iff  $x \subseteq A$  ( $x \subseteq A$ , iff  
 $\forall y \in x, y \in A$ )

$A = \{0, 1, 2, 3\}$

$\emptyset \in P(A) \quad \emptyset \subseteq A$

0110 {1, 2}

{0}  $\in$   $\emptyset \subseteq \{2, 3\} \in \{0, 1, 2, 3\} \quad \underline{\textcircled{1}} \quad 123$

$P(\Sigma^*) \quad \Sigma^* = \{ \epsilon, 0, 1, 00, 111111 \}$

$\emptyset \in P(\Sigma^*)$

...

{ε}  $\in P(\Sigma^*)$

0011, ...

all even length strings  $\in P(\Sigma^*) = \{ \epsilon, 00, 11, 01, \dots \}$

GIFS  $\in P(\Sigma^*)$

{GIFS of me}  $\in P(\Sigma^*)$

JPGs w/ a cat in them  $\in P(\Sigma^*)$

2-2  $\text{ALL} = \mathcal{P}(\Sigma^*)$

$\text{FIN} =$  the set of  
finite sets

- ALL
- True math
  - G-TMs
  - Even strings

$\overline{\text{FIN}}$

$\emptyset \in \text{FIN}$

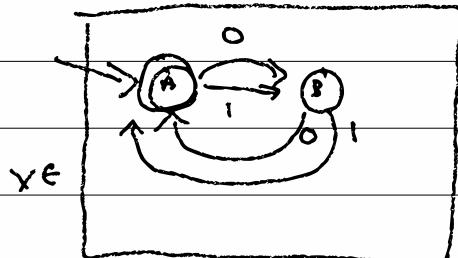
$\forall x \in \Sigma^*, \{x\} \in \text{FIN}$

$A \in \text{FIN} \wedge B \in \text{FIN}$

$\Rightarrow A \cup B \in \text{FIN}$

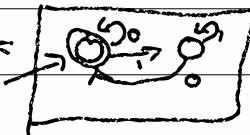
All even strings =

DFA - a deterministic finite automata



$\Sigma$  or  $\Sigma^*$

even numbers =



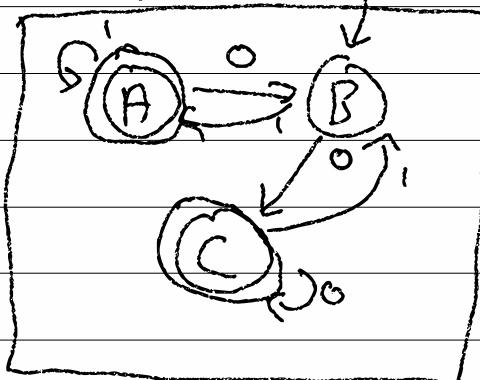
○ - states  $\Sigma A, B \}$

→ ○ - start state  $A$

○ - accepting states  $\Sigma A \}$

$\overset{x}{\rightarrow} \circ$  - transition

$x$	$\Sigma$	$0$	$1$
		$A$	$B$
		$B$	$A$
		$A$	$A$



$\Sigma A, B, C \}$

$\Sigma A, C \}$   $\Sigma = \Sigma_0, 1 \}$

$A$	$0$	$1$
$B$	$C$	$A$
$C$	$C$	$B$

2-3)  $x \in \text{DFA} (\underbrace{\text{states}, \text{alphabet}, \text{start}, \text{accepting}}_{\text{states } Q, \Sigma, q_0 \in Q, F \subseteq Q}, \delta: Q \times \Sigma \rightarrow Q - \text{transitions})$

DFA configuration =  $Q \times \Sigma^*$   
 $\stackrel{\uparrow}{[q]} w^{\uparrow}$

config update function : config  $\times \text{DFA} \rightarrow \text{config}$   
 $[q]w \rightarrow [q']w'$

$[q_i]x \rightarrow [q_j]y \text{ iff } \delta(q_i, x) = q_j$   
 $x \in \text{DFA} \text{ iff } [q_0]x \Rightarrow \Rightarrow \Rightarrow \Rightarrow [q_f] \in$   
 and  $q_f \in F$

0110  $\in \text{EvenLen}$  ;iff  $[A]0110 \rightarrow [B]110 \rightarrow [A]10$   
 $\rightarrow [B]0 \rightarrow [A] \in AF\{A\}$  ✓

class DFA  $\Sigma$

..  $Q, \Sigma, F, q_0, \delta \dots$

public bool accepts (String x) {

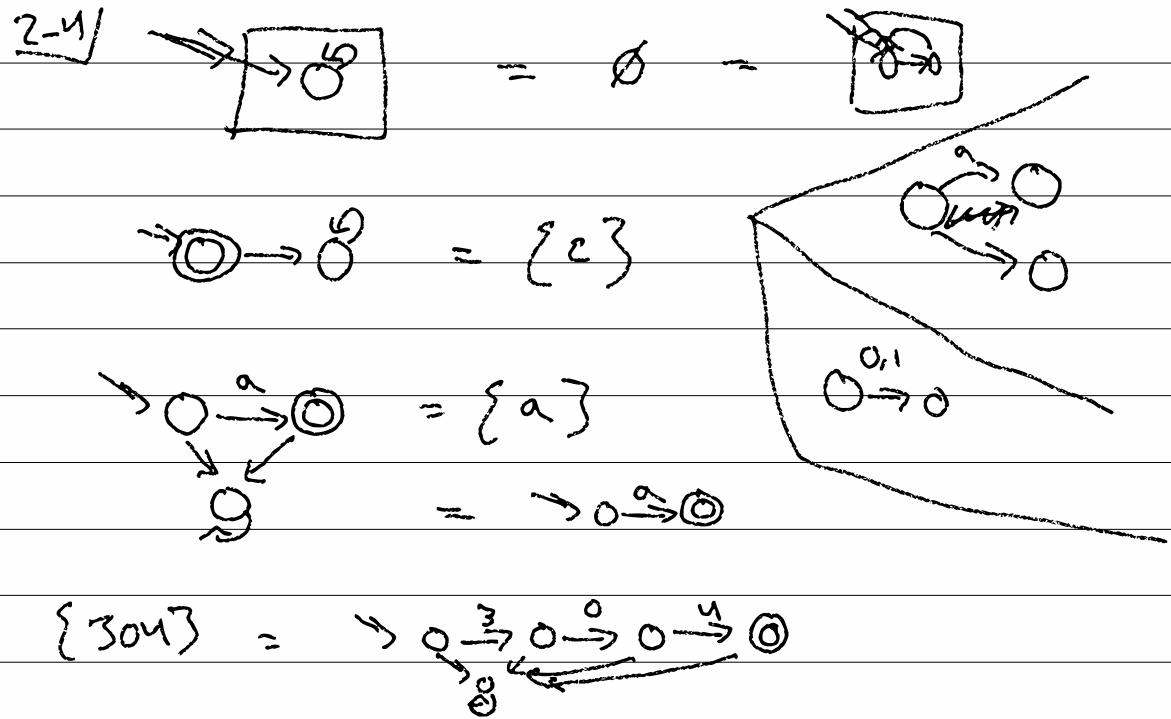
State  $q_i = q_0;$

while ( $(x, \cancel{\neq} \text{empty}) \Sigma$

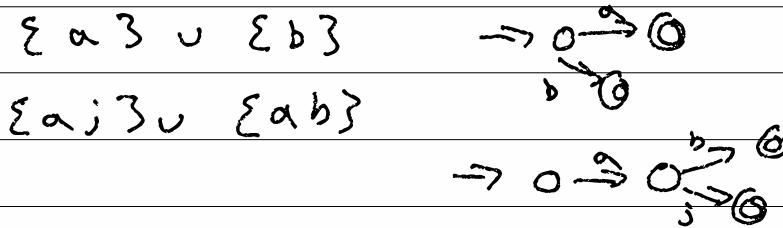
$q_i = \delta(q_i, x, \text{first}());$

$x = x, \text{rest}();$  } }

return  $F, \text{in}(q_i);$  } }



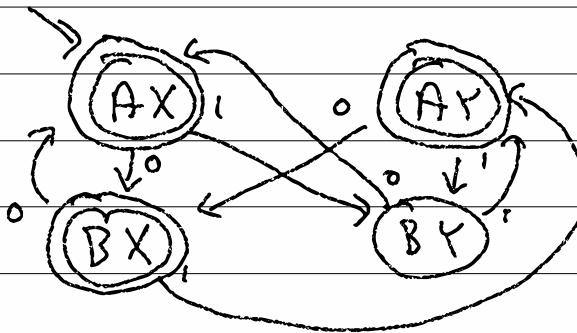
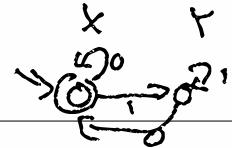
$A \cup B \leftarrow \text{DFA}$  (if  $A \in \text{DFA}$  and  $B \in \text{DFA}$ )



2-5 Even Len



Is Even



ε	✓
00	✓
11	✓
0	✓
110	✓

n

$(x, y) \in A \times B$

; if  $x \in A \wedge y \in B$

$$A = (Q_A, \Sigma, g_{0A}, \delta_A, F_A)$$

$$B = (Q_B, \Sigma, g_{0B}, \delta_B, F_B)$$

$$X = A \cup B$$

$$Q_X = Q_A \times Q_B \quad \delta_X = ((g_A, g_B), c) =$$

$$g_{0X} = (g_{0A}, g_{0B}) \quad ( \delta_A(g_A, c),$$

$$F_X = F_A \times F_B - n \quad \delta_B(g_B, c) )$$

$$F_A \times Q_B \cup Q_A \times F_B - V$$

$x \in A \cap B$  ; if  $x \in A \wedge x \in B$

2-6)  $x + A^c$  iff  $x \notin A \quad (x \in u)$

Even Len      odd Len  
 $\rightarrow \textcircled{0} \rightarrow \textcircled{0}$        $\Rightarrow \rightarrow \textcircled{0} \rightarrow \textcircled{0}$

$$F = \{A\}$$

complement

$$F' = Q - F$$

or  $F^c$  (wrt Q)

Algorithm for  $X \subseteq Y$  if  $X, Y$  are DFAs

### 3-11 DFA $\Rightarrow$ example or false

DFA:

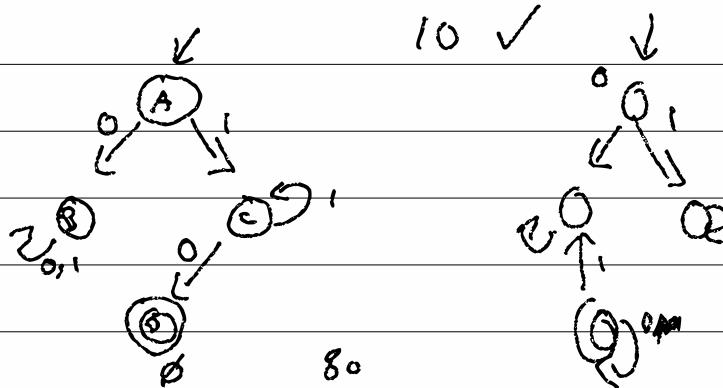
$Q$ : Sstate  $\Rightarrow$  Bool

$\Sigma$ : list of characters

$s_0$ : state

$S$ : (state  $\times$  char)  $\rightarrow$  Sstate

$F$ : State  $\Rightarrow$  Bool



$\Sigma = \{A, B, C, D\}$

$\Sigma = \{A\}$

$[A]$

$A \Rightarrow \Sigma$

$\Sigma = \{B, C, D\}$

$\Sigma = \{A\}$

$[B, C]$

$B \Rightarrow A, 0$

$C \Rightarrow A, 1$

$\Sigma = \{C, D\}$

$\Sigma = \{A, B\}$

$[C]$

Yes, it is possible.

$\Sigma = \{D\}$

$\Sigma = \{A, B, C\}$

$[D]$

$D \Rightarrow C, 0$

$\Sigma = \{\}$

$\Sigma = \{A, B, C\}$

$\square$

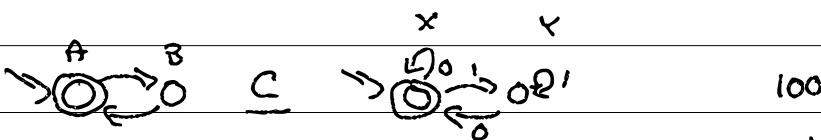
or, if not, No!

3-2/ subset

$A \subseteq B \iff \forall x \in A. x \in B \rightarrow x \in B$

$$\{\alpha, \beta\} \subseteq \{\alpha, \beta, \gamma\} \quad U = \{\alpha, \beta, \gamma\}$$

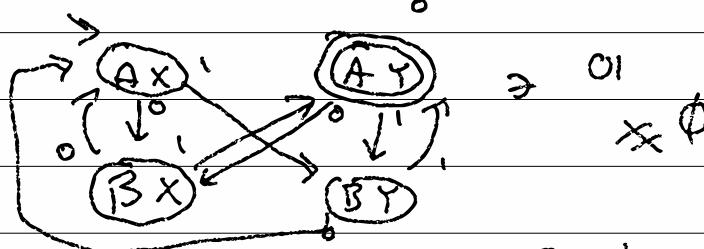
finite means naive works!



$$\boxed{\bar{A}} \subseteq \boxed{\bar{B}} \cap \boxed{\bar{A}} = \boxed{\bar{A}}$$

$$\boxed{B} \cap \boxed{A} = \boxed{\text{∅}}$$

$$\mathbb{B} \text{ EvenNum} = \rightarrow \xrightarrow{0} \xrightarrow{1} \xrightarrow{0} \xrightarrow{1} \text{01}$$



soundness: model  $\models$  theory

completeness: theory  $\vdash$  model

model = theory

$$3-3) \quad 0, 1, 2, -1, 5 \quad \mathbb{Z}, \mathbb{P}, \mathbb{N}$$

$$\{\mathbb{P}\} + \{\mathbb{N}\} = \{\mathbb{P}, \mathbb{Z}, \mathbb{N}\}$$

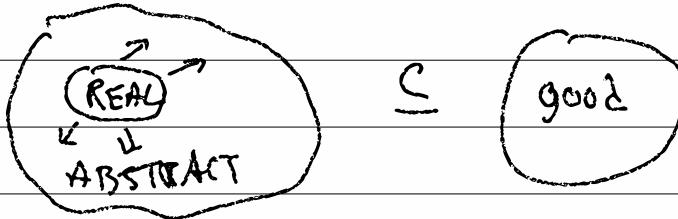
if  $x > 0$  then

$$A \quad y = 5 \Rightarrow \{\mathbb{P}\}$$

o.w.

$$B \quad y = 0 \Rightarrow \{\mathbb{Z}\}$$

$\Rightarrow$  assume  $y = \{\mathbb{P}, \mathbb{Z}\}$



<u>3-w)</u>	Finite	=	$\emptyset$	$\Sigma^3$	$A \cup B$	EDFA
			$A^c$	$A \cap B$	$A \circ B$	

Infinite =  $A^*$

\*  $x \in \Sigma^* \wedge y \in \Sigma^*$  then  $xoy \in A \circ B$  iff  
 $x \in A \wedge y \in B$

$$\varepsilon \circ y = y \quad \text{if } a \in \Sigma, (a \circ x) \circ y = a \circ (xoy)$$

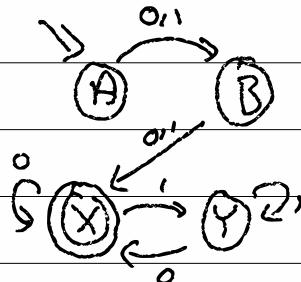
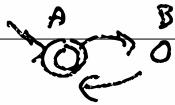
$$abcd = ab \circ cd$$

$$\{\text{jm}\} \circ \{\text{mj, nj}\} = \{\text{jim, jn}\}$$

$x \in A^*$  iff  $x = x_0 \circ x_1 \circ \dots \circ x_n$  for  $n \in N$   
and  $x_i \in A$

$$\{\text{jm}\}^* \ni \varepsilon, \text{ jm, jmjmjmjmjm}$$

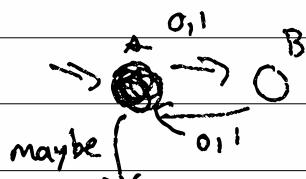
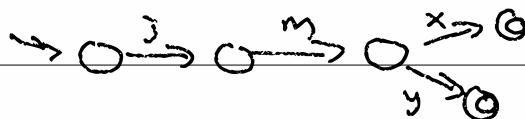
3-5/ Even Len  $\circ$  Even Num



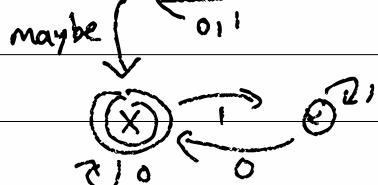
00110 ✓  
0011 X

~~00110011~~

$\{ \text{im } 3 \circ \text{Ex, y} \}$

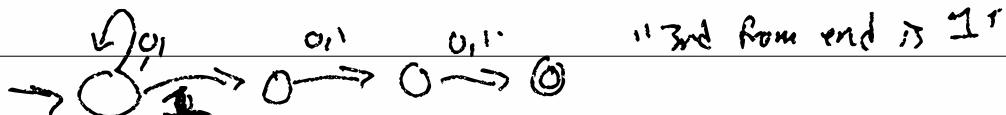


$\Sigma = \{0, 1\}$



$x \in \text{DFA}$  iff

There is some path  
from  $q_0$  to  $q_f \in F$   
labelled w/  $x$



"3rd from end is 1"

3.6) NFA = non-deterministic  
finite automata

old world: the next step was obvious

$$\delta: Q \times \Sigma \rightarrow Q$$

new world: crazy options

- do you even read achar?
- which path do you take?

$$\delta': Q \times \{\text{maybe}\} \cup \Sigma \rightarrow P(Q)$$

$$\delta'(A, r) = \{A, B\}$$

$$\delta'(A, \text{maybe}) = \{C\}$$

epsilon

$$\epsilon \in \Sigma$$

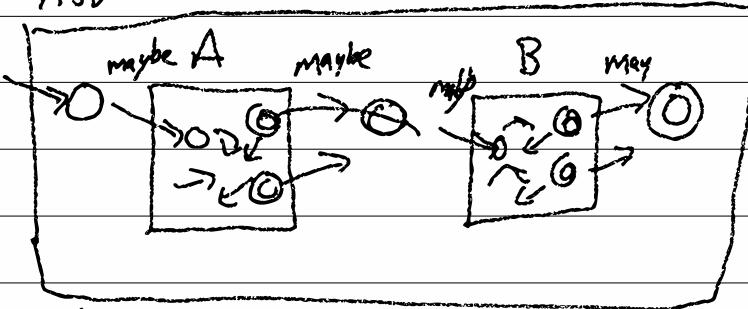
4-11  $A \circ B \in \text{DFA}$  iff  $A \in \text{DFA}$   
 $A^*$   $\wedge B \in \text{DFA}$

NFA ( $N - \underline{\text{non}} \text{ D-deterministic}$ )

$$S: Q \times (\Sigma \cup \{\text{maybe}\}) \rightarrow P(Q)$$

$$S: Q \times \Sigma \rightarrow Q$$

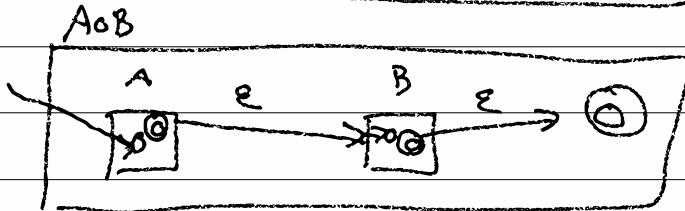
$A \circ B$



maybe written  
as "ε"

what does (NFA)

this mean?



$\text{NFA} \leftrightarrow \text{DFA}$

## 4-2] what do NFAs mean?

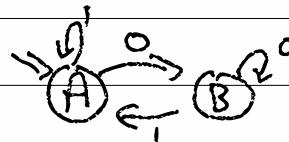
A DFA represents a set and  
a set "is" a membership function

$$U \rightarrow \{0,1\}$$

$$\subseteq \Sigma^* \rightarrow \{\text{Y}, N\}$$

$$\text{config} = \Sigma^* \times Q$$

$$\Sigma^* \rightarrow Q^*$$



$$0110 \rightarrow \underline{ABAAB} \rightarrow \text{a trace}$$

$$\Sigma^* \rightarrow (\underline{Q}, \delta)^*$$

$$0110 \rightarrow \underbrace{(0, B)(1, A)(1, A)(0, B)}_{\text{a trace}} = \Sigma^* \cup \Sigma \epsilon^3$$

$$0A1A1A0B \rightarrow N$$

$$\text{valid? } : \delta(\boxed{\Sigma}, Q)^* \rightarrow \{\text{Y}, N\}$$

$$\text{valid } g; \epsilon = Y$$

$$\text{valid } g; (c, g_j) : \text{more} = \text{if } \delta(g_j, c) = \boxed{g_j}$$

$$\text{Nvalid? } : Q \times (\boxed{\Sigma} \times Q)^* \rightarrow B$$

$$\text{valid } g; \text{ more}$$

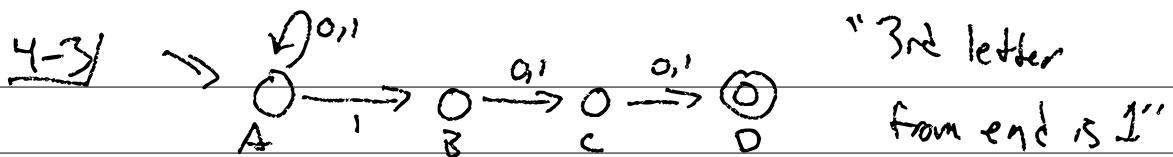
$$\text{Nvalid } g; \epsilon = Y$$

$$\text{o.w. } N$$

$$\text{Nvalid } g; (c, g_j) : \text{more} =$$

$$\text{if } \boxed{g_j} \boxed{e} \boxed{\delta(g_j, c)} \text{ then Ag Oracle}$$

$$\frac{\text{Nvalid } g; \text{ more}}{O.W. N}$$



0 1 00

1 1 1

1 1 0 1 0 0

- Y

0 0 0

1 0 0 0

1 0 1 1

- N

(0, A)(1, A)(0, A)(0, A) ✓

str( $\Sigma \times Q)^* = \Sigma^*$

(0, A)(1, B)(0, C)(0, D) ✓

str  $\epsilon = \epsilon$

(0, B)(1, C)(1, D)(0, D) X

str(c, -) : move c

$\delta(A, 0) = \Sigma A \}$

$\delta(D, 0) = \emptyset$

c o str more

accepts :  $\Sigma^* \rightarrow Y/N$

accepts  $w = Y$  iff  $\exists t \in \text{traces. } \text{str}(t) = w$ .

valid go  $t = Y$

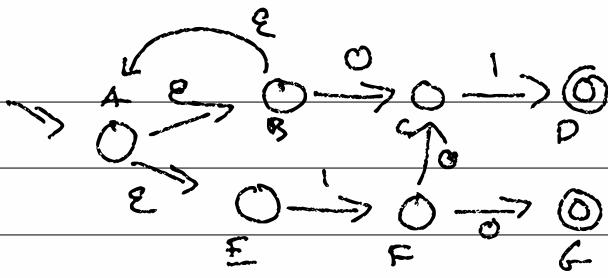
and last-state( $t$ )  $\in F$

NFA-accepts :  $\Sigma^* \rightarrow Y/N$

figure all possible traces

check if valid and if strings match

check if past is in  $\epsilon F$



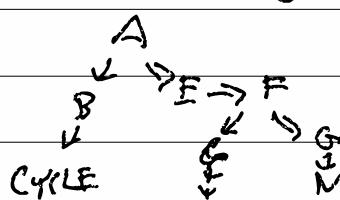
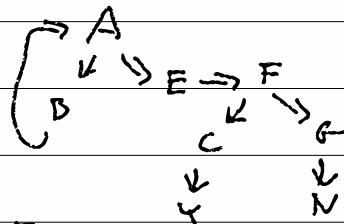
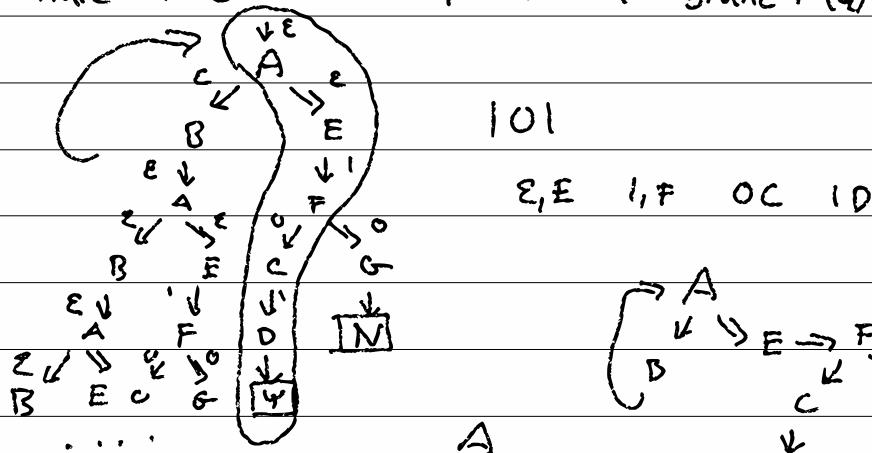
$(\epsilon, B)(0, C)(1, D) \quad 01 = 0001$

$(\epsilon, E)(1, F)(0, G) \quad 101 = 01001$

$(\epsilon, E)(1, F)(0, G) \quad 10 = 0100$

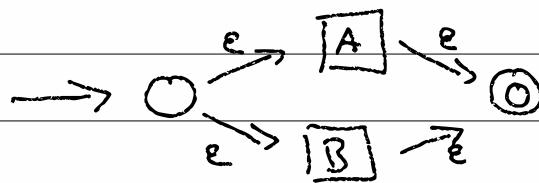
$(\epsilon, B)(\epsilon, A) \times \text{ where } \times \text{ is valid}$   
 $\rightarrow \text{valid}$

Trace Tree = T | N | Branch ( $\epsilon, Q$ ) (List TTI)



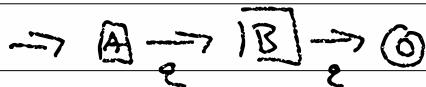
Forking model of NFAs (make TT)  
 Backtracking model (explores TT)

4-5)  $A \cup B$



$x \in A$   
 $\square \rightarrow \square$   
State  $X$  transitions  
to THE start

$A \circ B$

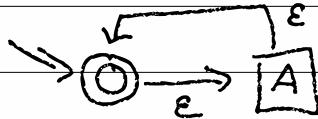


$\square \rightarrow \square$

All accepting states

of  $A$  transition to  $\square$

$A^*$

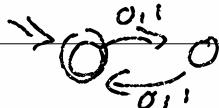


4-6)   $\forall A$ ,  $A \in \text{DFA} \Leftrightarrow A \in \text{NFA}$

$\Rightarrow$

$\Leftarrow$

DFA  $\Rightarrow$  NFA



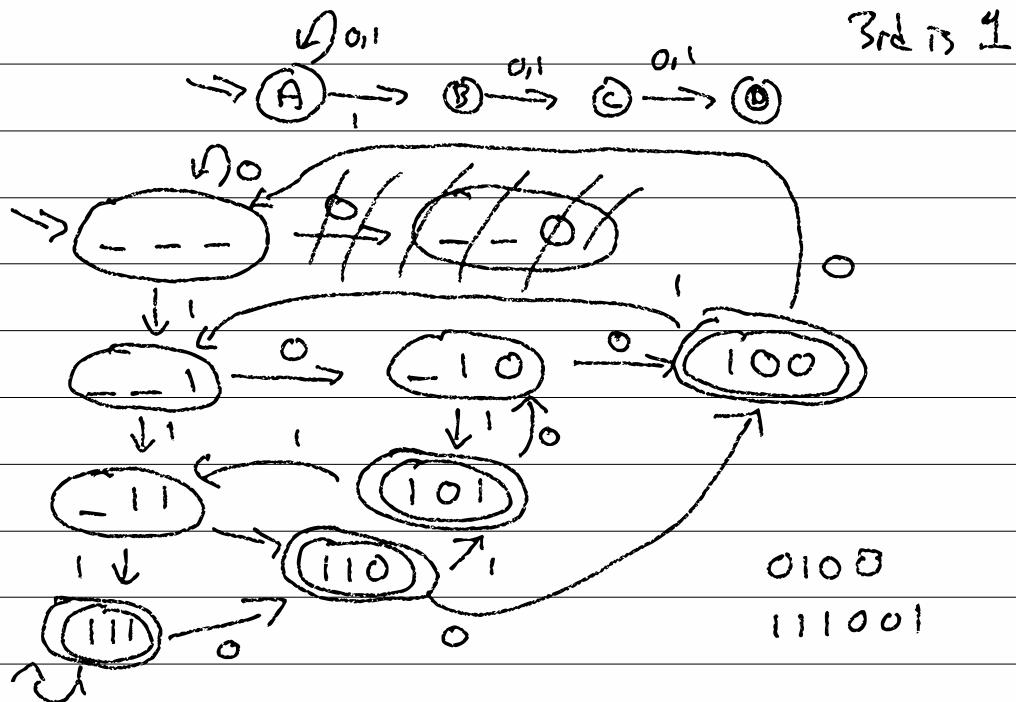
DFA  $\delta: Q \times \Sigma \rightarrow Q$

NFA  $\delta': Q \times \Sigma_c \rightarrow P(Q)$

$$\delta'(q_i, \epsilon) = \emptyset$$

$$\delta'(q_i, c \in \Sigma) = \{\delta(q_i, c)\}$$

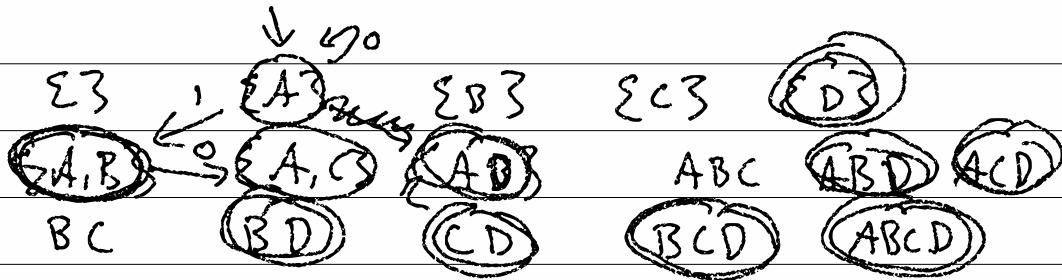
NFA  $\Rightarrow$  DFA



4-7)  $\text{NFA} = (\mathbb{Q}, \Sigma, q_0, \delta; \mathbb{P}(\mathbb{Q}) \xrightarrow{\Sigma} \mathbb{P}(\mathbb{Q}))$

$\text{DFA}^{\text{out}} = (\mathbb{Q}', \Sigma, q'_0, \delta': \mathbb{Q}' \times \Sigma \rightarrow \mathbb{Q}', F' \subseteq \mathbb{Q}')$

$$\mathbb{Q}' = \mathbb{P}(\mathbb{Q})$$



$$q'_0 = \Sigma^{q_0}$$

$F'$  = any state where  $nF \neq \emptyset$

$$\begin{aligned} \delta'(\Sigma^{q_1}, \dots, \Sigma^{q_n}, c) &= \\ \cup \quad \delta(q_i, c) \end{aligned}$$