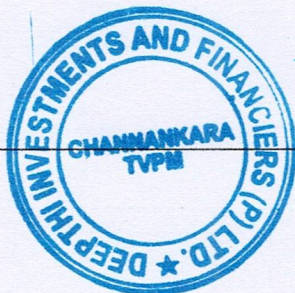


## Annex XII

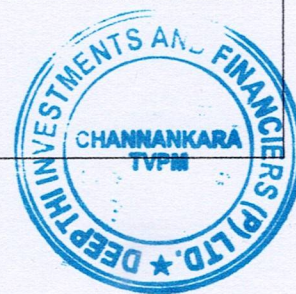
### Information about the Proposed Promoters/Directors/Shareholders of the NBFC

Annex-XII (1)		
INFORMATION ABOUT THE PROPOSED PROMOTERS/DIRECTORS/SHAREHOLDERS OF THE NBFC		
Sr. No.	Particulars Required	Response
1.	Name	Prasanth M K
2.	Designation	<del>Chairman/Managing Director/</del> <del>Director/Chief Executive Officer</del>
3.	Nationality	Indian
4.	Age (to be substantiated with date of birth)	42 Years, 21 September 1981
5.	Business Address	12A, Sree Prabha 1 Thalasseri Municipality, Temple Gate P O, Temple Gate, Kannur, Thalassery-670 102, Kerala, India
6.	Residential Address	12A, Sree Prabha 1 Thalasseri Municipality, Temple Gate P O, Temple Gate, Kannur, Thalassery-670 102, Kerala, India
7.	E-mail address/Telephone number	prasanth.mk81@gmail.com
8.	PAN under the Income Tax Act, 1961	AWSP6266H
9.	Director Identification Number (DIN)	10234188
10.	Social security number/Passport No.*	NA
11.	Educational/professional qualifications	Graduate in Commerce (Accounting)
12.	Professional Achievement relevant to the job	Has worked as Director of Operations in various Entities/Companies abroad and India and with special focus on Operations and Strategies. He has been recommended by Investors/ Directors to act as independent Director on Board of the Company.
13.	Line of business or vocation	Operations Expert for Streamlining operations and Strategic Inputs.
14.	Any other information relevant to the NBFC	Independent Director is governance requirement under Companies Act, 2013 for expansion of Board of Directors for additional investments, strategic partnership with larger investors/NBFCs and in accordance with Industry Practice. Independent Director is not counted in number of Directorship for calculating

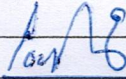
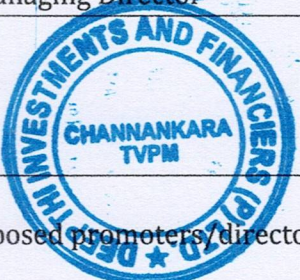




		<b>change in Management under Regulation 42 of RBI NBFC SBR 2023 Master Directions. Hence expertise in Banking and Finance is not a pre requisite under the Directions.</b>
15.	Name/s of other companies in which the person has held the post of Chairman/Managing Director/Director/Chief Executive Officer	NA
16.	Name/s of the regulators (RBI, SEBI, IRDA, PFRDA, NHB or any other foreign regulator) of the entities mentioned in which the persons hold directorships	NA
17.	Name/s of the NBFCs, if any, with which the person is associated as Promoter, Managing Director, Chairman or Director, including a Residuary Non- Banking Financial Company, which has been prohibited from accepting deposits/ prosecuted by the Reserve Bank	NIL
18.	Detail of prosecution, if any, pending or commenced or resulting in conviction in the past against the person and/or against any of the entities he is associated with for violation of economic laws and regulations	NIL
19.	Cases, if any, where the person or relatives of the person or the companies in which the person is associated with, are in default or have been in default in the last 5 years in respect of credit facilities obtained from any entity or bank	NIL
20.	If the person is a member of a professional association/body, details of disciplinary action, if any, pending or commenced or resulting in conviction in the past against him/her or whether he/she has been banned from entry of any professional occupation at any time	NIL
21.	Whether the person attracts any of the disqualification envisaged under section 164 of the Companies Act, 2013?	NIL
22.	Has the person or any of the companies, he/she is associated with, been subject to any investigation at the instance of the Government Department or Agency ?	NIL





23.	Has the person at any time been found guilty of violations of rules/ regulations/ legislative requirements by Customs/ Excise/ Income Tax/ Foreign Exchange/ Other Revenue Authorities? If so, give particulars	NIL
24.	Experience in the business of NBFC (number of years)	Nil. Please refer to note in Point 14 above.
25.	Equity shareholding in the NBFC	
(i)	No. of shares	NIL
(ii)	Face value	NIL
(iii)	Percentage to total paid-up equity share capital of the company	NIL
26.	Name/s of the companies, firms and proprietary concerns in which the person holds substantial interest	NIL
27.	Names of the principal bankers to the concerns at 26 above	NIL
28.	Names of the overseas bankers *	NA
29.	Whether number of directorships held by the person exceeds the limits prescribed under section 165 of the Companies Act, 2013	NIL
		Signature: 
		Name: Antony Jose
	Date: 02.01.2024	Designation: Managing Director
	Place: Trivandrum	Company Seal: 
<p><b>* For foreign promoters/directors/shareholders</b></p> <p><b>Note:</b> Separate form shall be submitted in respect of each of the proposed promoters/directors/ shareholders.</p>		