

#### **Tutorial 7: The E-machine**

James Davidson October 23, 2023

#### The question

#### **Exercise**

Evaluate the following expression using E-machine rules given in the lecture:

(Ap (Fun 
$$(f.x. \text{ If } (\text{Lt} \times (\text{N} 2)) (\text{N} 0) (\text{Ap } f (\text{Minus} \times (\text{N} 1)))))) (\text{N} 3)$$

This will get incredibly long very quickly, so we're going to skip some busywork along the way. We start with

$$\circ \mid \bullet \succ (\texttt{Ap} (\texttt{Fun} (f. x. \texttt{If} (\texttt{Lt} x (\texttt{N} 2)) (\texttt{N} 0) (\texttt{Ap} f (\texttt{Minus} x (\texttt{N} 1)))))) (\texttt{N} 3).$$

<sup>&</sup>lt;sup>a</sup>using some slightly more succinct notation as compared to the lecture slides

#### The first function application

We use the application rule and work on the function being applied next:

$$\longmapsto_{E} (\texttt{Ap} \ \square \ (\texttt{N} \ 3)) \ \rhd \circ \ | \ \bullet \ \succ \ \texttt{Fun} \ (f. \ x. \ \texttt{If} \ (\texttt{Lt} \ x \ (\texttt{N} \ 2)) \ (\texttt{N} \ 0) \ (\texttt{Ap} \ f \ (\texttt{Minus} \ x \ (\texttt{N} \ 1)))).$$

#### The first function application

We use the application rule and work on the function being applied next:

$$\longmapsto_{E} (\operatorname{Ap} \square (\operatorname{N} 3)) \rhd \circ | \bullet \succ \operatorname{Fun} (f. x. \operatorname{If} (\operatorname{Lt} x (\operatorname{N} 2)) (\operatorname{N} 0) (\operatorname{Ap} f (\operatorname{Minus} x (\operatorname{N} 1)))).$$

Whenever we evaluate a function in the E-machine, we return back a closure which bundles the function value along with the current environment • in which it was originally used:

$$\longmapsto_{E} (Ap \square (N3)) \triangleright \circ | \bullet < Fun \langle (\bullet; f. x. \cdots) \rangle.$$

Now, we have an Ap frame on the stack while returning a closure, so we work on evaluating the argument next, which is just a number in this case.

Now, we have an Ap frame on the stack while returning a closure, so we work on evaluating the argument next, which is just a number in this case. Thus, skipping two steps, we have:

$$\stackrel{*}{\longmapsto_{E}} (\operatorname{Ap} \langle\!\langle \bullet; f. x. \cdots \rangle\!\rangle \Box) \rhd \circ | \bullet < 3.$$

Now, we have an Ap frame on the stack while returning a closure, so we work on evaluating the argument next, which is just a number in this case. Thus, skipping two steps, we have:

$$\stackrel{*}{\longmapsto_{E}} (\operatorname{Ap} \langle\!\langle \bullet; f. x. \cdots \rangle\!\rangle \square) \rhd \circ | \bullet < 3.$$

We are returning a value with an Ap frame on the stack, so we pop off the frame, store the current environment  $\bullet$  onto the stack, replace it with the new environment  $\eta_1 = [x = 3, f = \langle\!\langle \bullet; f.x. \cdots \rangle\!\rangle]$  and evaluate the function body next. Symbolically, this transition looks something like:

$$\longmapsto_{E} \bullet \rhd \circ \mid \eta_{1} \succ \text{If } (\text{Lt} \times (\text{N 2})) \text{ (N 0) } (\text{Ap } f \text{ (Minus } \times (\text{N 1}))).$$

## **Evaluating the If**

The next thing to evaluate is the If expression, focusing on evaluating the conditional first:

$$\longmapsto_{E} (\text{If } \square (\text{N } 0) (\text{Ap } f (\text{Minus } x (\text{N } 1)))) \triangleright \bullet \triangleright \circ | \eta_1 \succ (\text{Lt } x (\text{N } 2)).$$

#### **Evaluating the If**

The next thing to evaluate is the If expression, focusing on evaluating the conditional first:

$$\longmapsto_{E} (\text{If } \square (N0) (\text{Ap } f (\text{Minus } x (N1)))) \triangleright \bullet \triangleright \circ | \eta_1 > (\text{Lt } x (N2)).$$

It should be reasonably clear that this condition evaluates to False since the environment asserts that x = 3 and  $3 \not < 2$ , so we'll fast forward a few steps until we reach that conclusion:

$$\stackrel{*}{\longmapsto_{E}} \big( \texttt{If} \ \Box \ \big( \texttt{N} \ 0 \big) \ \big( \texttt{Ap} \ f \ \big( \texttt{Minus} \times \big( \texttt{N} \ 1 \big) \big) \big) \big) \, \rhd \bullet \, \rhd \circ \, \big| \ \eta_1 \, \prec \, \texttt{False}.$$

#### **Evaluating the If**

The next thing to evaluate is the If expression, focusing on evaluating the conditional first:

$$\longmapsto_{E} (\text{If } \square (N0) (\text{Ap } f (\text{Minus } x (N1)))) \triangleright \bullet \triangleright \circ | \eta_1 > (\text{Lt } x (N2)).$$

It should be reasonably clear that this condition evaluates to False since the environment asserts that x = 3 and  $3 \not < 2$ , so we'll fast forward a few steps until we reach that conclusion:

$$\stackrel{*}{\longmapsto_{E}} (\text{If } \square (\text{N 0}) (\text{Ap } f (\text{Minus} \times (\text{N 1})))) \triangleright \bullet \triangleright \circ | \eta_1 < \text{False}.$$

Conditional evaluation rules tell us we should take the "else" branch of the If expression now, so we need to evaluate that expression:

$$\longmapsto_{E} \bullet \triangleright \circ \mid \eta_1 \succ (\operatorname{Ap} f (\operatorname{Minus} \times (\operatorname{N} 1))).$$

#### Some recursive function calls

Just like before, we're evaluating a function application, which usually means we have to evaluate the function expression itself though. Here, however, the function expression is a variable, and its value is that of the function closure put into  $\eta_1$  earlier. Skipping these few steps, we fast-forward to the point where we're evaluating the argument in the application expression:

$$\stackrel{*}{\longmapsto_{E}} (\operatorname{Ap} \langle\!\langle \bullet ; f. \, x. \, \cdots \rangle\!\rangle \, \Box) \, \rhd \bullet \, \rhd \circ \, | \, \eta_{1} \succ (\operatorname{Minus} \, x \, (\operatorname{N} \, 1)).$$

#### Some recursive function calls

Just like before, we're evaluating a function application, which usually means we have to evaluate the function expression itself though. Here, however, the function expression is a variable, and its value is that of the function closure put into  $\eta_1$  earlier. Skipping these few steps, we fast-forward to the point where we're evaluating the argument in the application expression:

$$\stackrel{*}{\longmapsto_{E}} (\operatorname{Ap} \langle\!\langle \bullet; f. \, x. \, \cdots \rangle\!\rangle \, \Box) \, \triangleright \bullet \, \triangleright \circ | \, \eta_{1} \succ (\operatorname{Minus} \, x \, (\operatorname{N} \, 1)).$$

We know that x=3 in  $\eta_1$ , so it should be reasonably clear this argument will evaluate to 2:

$$\stackrel{*}{\longmapsto_{E}} \left( \texttt{Ap} \left\langle \! \left\langle \bullet ; f. \, x. \, \cdots \right\rangle \right\rangle \, \Box \right) \, \rhd \bullet \, \rhd \circ \mid \eta_1 \lessdot 2.$$

Once again, we're now in a situation where we're returning a value with an Ap frame on the stack, so we pop off the frame, add the current environment  $\eta_1$  to the stack, replace it with the new environment  $\eta_2 = [x = 2, f = \langle\!\langle \bullet; f. x. \cdots \rangle\!\rangle]$  and evaluate the function body next. Symbolically,

$$\longmapsto_{E} \eta_{1} \rhd \bullet \rhd \circ \mid \eta_{2} \succ \text{If } (\text{Lt } x (N 2)) (N 0) (\text{Ap } f (\text{Minus } x (N 1))).$$

Once again, we're now in a situation where we're returning a value with an Ap frame on the stack, so we pop off the frame, add the current environment  $\eta_1$  to the stack, replace it with the new environment  $\eta_2 = [x = 2, f = \langle\!\langle \bullet; f.x. \cdots \rangle\!\rangle]$  and evaluate the function body next. Symbolically,

$$\longmapsto_{E} \eta_{1} \rhd \bullet \rhd \circ \mid \eta_{2} \succ \text{If } (\text{Lt } x (N 2)) (N 0) (\text{Ap } f (\text{Minus } x (N 1))).$$

With the exception of a slightly different stack, this looks like something we have done previously! Because we've already been through that once, we can tell what's going to happen: x is currently 2 according to  $\eta_2$ , so the If conditional will be False once again since  $2 \nleq 2$ . Thus, we will be evaluating the "else" branch again, which turns out to applying f once again with the argument x-1, and it should be reasonably clear that x-1=1. So, skipping quite a number of steps until the evaluation of x-1 has finished, we have:

$$\stackrel{*}{\longmapsto_{E}} (\operatorname{Ap} \langle\!\langle \bullet ; f. \, x. \, \cdots \rangle\!\rangle \, \Box) \, \triangleright \eta_{1} \, \triangleright \bullet \, \triangleright \circ \mid \eta_{2} < 1.$$

This is another situation with an Ap frame on the stack and a value being returned, so we do as we've done twice before now: set

$$\eta_3 = [x = 1, f = \langle \langle \bullet; f. x. \cdots \rangle \rangle],$$
 and we obtain

$$\longmapsto_{E} \eta_{2} \triangleright \eta_{1} \triangleright \bullet \triangleright \circ \mid \eta_{3} \succ \text{If } (\text{Lt } x (N 2)) (N 0) (\text{Ap } f (\text{Minus } x (N 1))).$$

This is another situation with an Ap frame on the stack and a value being returned, so we do as we've done twice before now: set  $\eta_3 = [x = 1, f = \langle \langle \bullet; f. x. \cdots \rangle \rangle]$ , and we obtain

$$\longmapsto_{E} \eta_{2} \triangleright \eta_{1} \triangleright \bullet \triangleright \circ | \eta_{3} \triangleright \text{If } (\text{Lt } x (N 2)) (N 0) (\text{Ap } f (\text{Minus } x (N 1))).$$

We can play the same game as before and predict what this expression we're now on the hook to evaluate will become. According to  $\eta_3$ , we have x=1, and 1<2, so the conditional actually evaluates to True in this case. Taking the "then" branch of the If expression and evaluating it, we get a final expression value of 0, so no more recursive function applications to do. Skipping all of those explicit steps, we now have:

$$\stackrel{*}{\longmapsto_E} \eta_2 \, \triangleright \eta_1 \, \triangleright \bullet \, \triangleright \circ \, | \, \eta_3 < 0.$$

## **Unwinding**

We're returning a value and an environment is atop the stack. In such a situation, we take that environment on the stack, replace the current environment with it, and continue propagating the returned value. That is, we'll get something looking like this:

$$\longmapsto_{E} \eta_{1} \rhd \bullet \rhd \circ \mid \eta_{2} < 0.$$

# **Unwinding**

We're returning a value and an environment is atop the stack. In such a situation, we take that environment on the stack, replace the current environment with it, and continue propagating the returned value. That is, we'll get something looking like this:

$$\longmapsto_E \eta_1 \vartriangleright \bullet \vartriangleright \circ \mid \eta_2 \prec 0.$$

We'll repeat this twice (for the other environments  $\eta_1$  and  $\bullet$  on the stack). At long last, then, we end up with a final state: empty stack, empty environment, and returning a value!

$$\stackrel{*}{\longmapsto}_{E} \circ \mid \bullet \prec 0.$$

# **Unwinding**

We're returning a value and an environment is atop the stack. In such a situation, we take that environment on the stack, replace the current environment with it, and continue propagating the returned value. That is, we'll get something looking like this:

$$\longmapsto_{\mathsf{E}} \eta_1 \vartriangleright \bullet \vartriangleright \circ \mid \eta_2 \prec 0.$$

We'll repeat this twice (for the other environments  $\eta_1$  and  $\bullet$  on the stack). At long last, then, we end up with a final state: empty stack, empty environment, and returning a value!

$$\stackrel{*}{\longmapsto}_{E} \circ \mid \bullet \prec 0.$$

Observe that we had a bunch of environments sitting on the stack adjacent to each other, which was induced by tail-recursive calls to f. We'll see how to optimise that situation soon.