



STATE MODELLING AND ADVANCED STATE MODELLING

Unit 2

1

INTRODUCTION: STATE MODELLING

- State model describes the **sequence of operations** that occur in response to **external stimuli**.
- The state model consists of **multiple state diagrams**, one for each class with temporal behaviour.
- It express the state diagram for graphical representation for state machine that relates **events** and **states**.
 - Events represents external Stimuli
 - State represents values of objects.

EVENTS

- An Event is a **occurrence at a point in time**.
- By definition, an event happens instantaneously with regard to the **time scale** of an application.
 - One event may logically precede or follow another(**related**) or
 - two events may be **unrelated**(concurrent).
- **For example,**
- The User **clicks** the right button of mouse
- Train **departs** from Mysore to Bangalore.

CONTD..

- Events include **error conditions** as well as normal occurrences.
- For example,
motor jammed,
transaction aborted etc..

TYPES OF EVENTS

- There are three types of events are
 1. Signal event
 2. Change event
 3. Time event

1. SIGNAL EVENT

- A signal is an **explicit one-way transmission of information** from one object to another.
- Signals are by definition, different from a subroutine or method which returns a value.
- ❖ While a subroutine may be initiated by receipt of a signal, the return value is, itself, a separate message.
- ❖ The **signal will not expect a reply to confirm receipt**; such formalisms must be extensions of the designed software.

CONTD..

- A signal event is the event of **sending or receiving a signal**.
- signal is a message; “A signal event is an occurrence of a signal in time”.
- Every signal event is a unique occurrence, but we can group them into signal classes. We use the **stereotype of signal in guillemets (<< signal >>)**

Example

FlightDeparture: airline, flightNumber, city, date, gate

FlightArrival: airline, flightNumber, city, date, gate

<<signal>> FlightDeparture
airline
flightNumber
city
date
gate

<<signal>> FlightArrival
airline
flightNumber
city
date
gate

2. CHANGE EVENT

- A change event is an event that is caused by the satisfaction of a Boolean expression.
- The intent of a change event is that the **condition is continuously tested**, and that whenever the expression **changes from false to true**, the **event happens**.
- UML notation for a change event is the keyword “**when**” followed by a parenthesized boolean expression.

Example

Sample change events

- `when(roomTemperature > heatingSetPoint)`
- `when(roomTemperature < heatingSetPoint)`
- `when(batteryPower < lowerLimit)`
- `when(tirePressure < minimumPressure)`

3. TIME EVENT

- A time event is an event caused by the occurrence of an absolute time or the elapse of a time interval.

Example

Time event examples

- `when(date = "23:58:14 January 1, 2000")`
- `after(1.04 seconds)`

UML notation:

- for an absolute time, is the keyword “**when**” followed by parenthesized expression involving time.
- For a time interval, it is the keyword “**after**” followed by a parenthesized expression that evaluates to a time duration.

STATES

- A state is an **abstraction of the values and links of an object.**
- States generally refer to a **verb** with a suffix “**ing**” (e.g., boiling, waiting, dialling etc.) or duration of some condition (eg. Powered, BelowFreezing),
- whereas events generally refer to an action (e.g., **StoveTurnedOn**). Note that events may be generated by state changes (e.g., change events).
- The UML notation for a state is a rounded box containing an (optional) state name.



State

EVENT VS STATE

Events represent **points in time**; states represent **interval of time**, ie., the abstract state of an object (or collection of objects). Consider the below diagram.

Example (Some Sample States)

Example states:

- Powered
- Boiling
- InFlight
- OnApproach
- Boarding

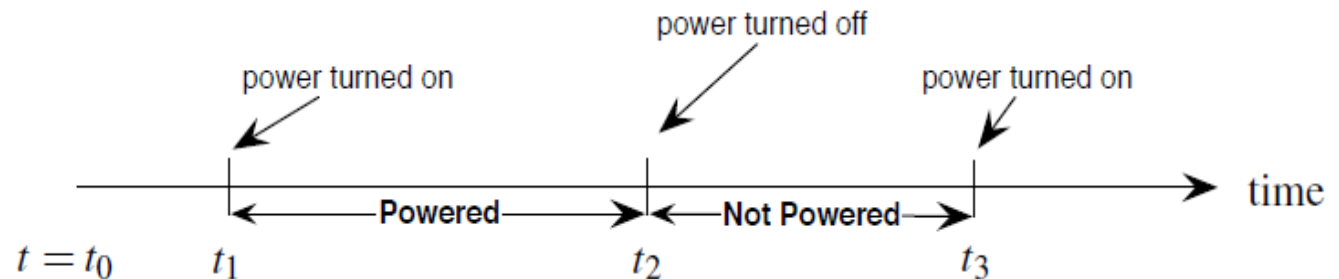


Figure: Power up, power down

- The objects in a class have finite number of possible states. **Each object can only be in one state at time.**
- Object pass through one or more states during the life time.
- A state specifies the response of an object to input events.
- An events are ignored in a state, expect those for which behavior is explicitly prescribed.
- Eg: if a digit is dialed in state **Dial tone**, the phone line drops the **Dial tone** & enters state **dialing**

EVENTS VS STATES

- Events represent points in time
 - State represent intervals of time.
- State corresponds to the **interval between two events** received by an object.
- Both events & states depend on the level of abstraction.
- Eg: A travel agent planning an itinerary (plan of journey) would treat each segment of a journey as a Single event.

TRANSITIONS & CONDITIONS

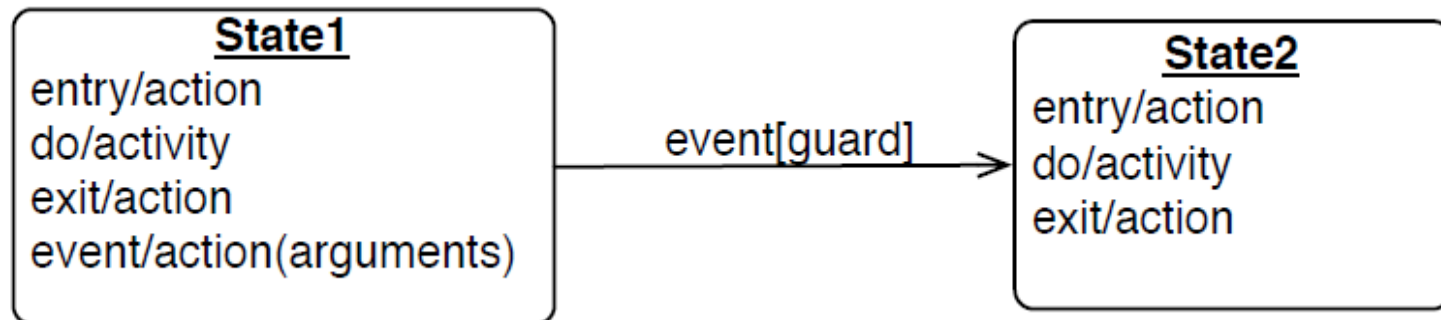
- A transition is an **instantaneous change** from one **state to another**.
- UML notation:
 - drawn as a line from the origin state to the target state.
- A transition is said to **fire** upon the change from the **source state** to the **target state**.
 - Ex: when a called phone is answered, the phone line transitions from the **Ringing state** to **Connected State**.

- Generally, the origin and target states are different, but not necessarily. The choice of next state depends both on the original state, and the event received.
- An event may cause multiple objects to transition; in this case, you can consider that they transition concurrently.

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A **guard condition** is a Boolean expression that must be true in order for a transition to occur.

The syntax for guard and event along a transition is **event[guard]**.



EXAMPLE

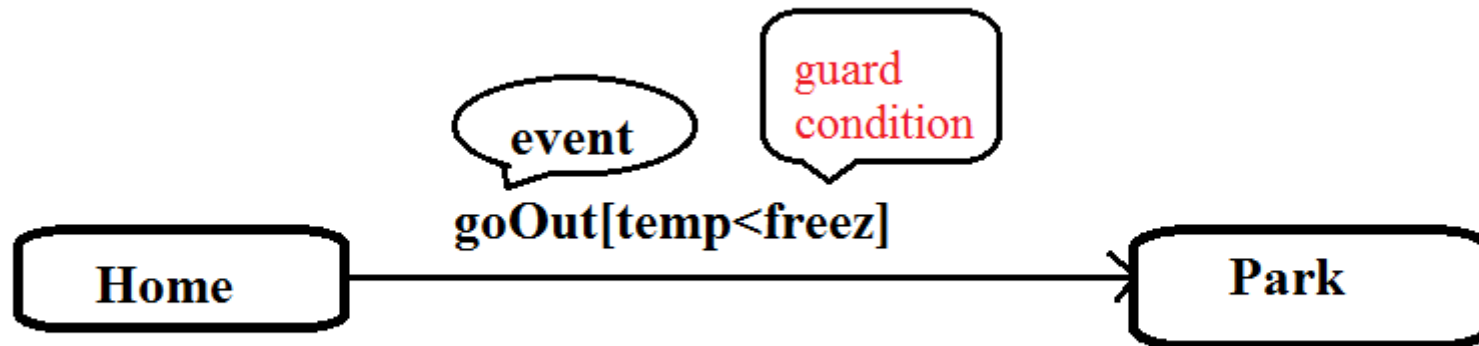
- Another example :
- When you go out in the morning, if the temperature is below freezing, then put on your gloves.

EXAMPLE

- Another example :
- When you go out in the morning (**Event**), if the temperature is below freezing (**guard condition**), then put on your gloves(**state**).

UML notation for a transition:

- Use a line from origin state to the target state, arrowhead points to the target state.
- An event may label the transition and be followed by an optional guard condition in square brackets.



STATE DIAGRAMS

- A state diagram is a **graph** whose **nodes are states** and whose **directed, annotated arcs are transitions** between states.

Some constraints behind the structure of a state diagram:

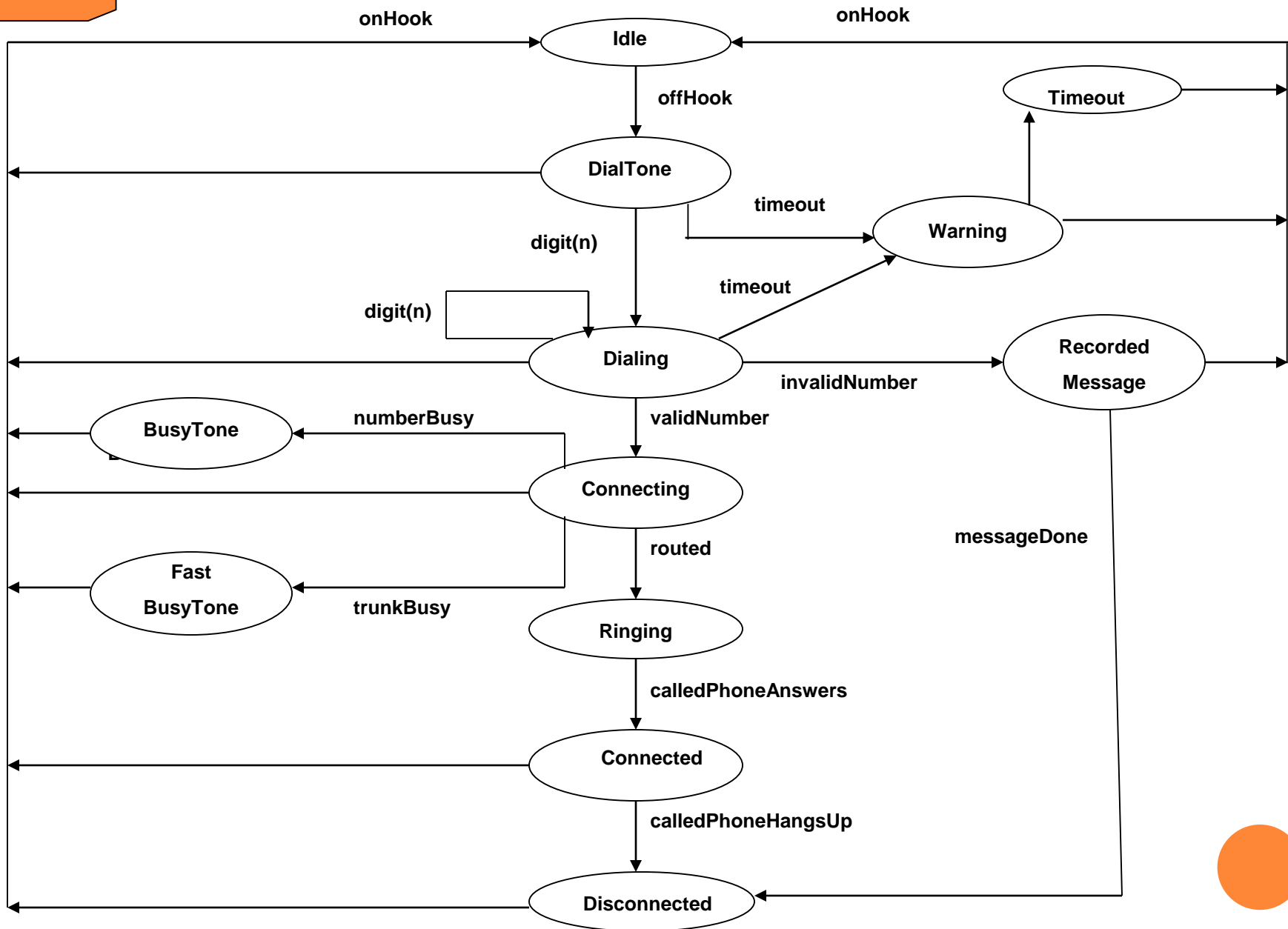
- State names must be **unique** within the scope of a state diagram
- All objects in a class execute the state diagram for that class, which models their common behaviour

CONTD..

- The state model consists of **multiple state diagrams**, one state diagram for **each class**.
- State diagram can represent **continuous loop** or **one-shot life cycle**.

Other Constraints:

- State diagrams must match on their interfaces events and guard conditions
- State diagrams interact by passing events and through side effects of guard conditions



State diagram for a telephone line

ONE-SHOT STATE DIAGRAMS

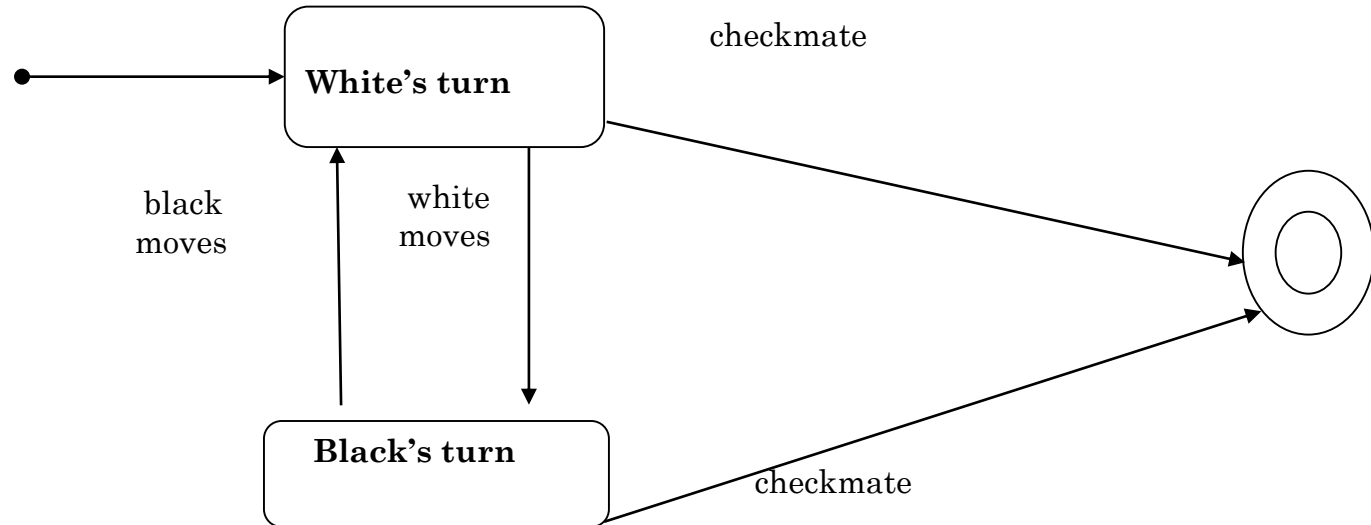
- One shot diagrams **represents objects with finite lives** and have **initial & final** states.
- A one shot diagram has a **start** - depicted as a **solid circle** - corresponds to **object creation**
- A **final state** is depicted with a **bulls eye** - corresponds to **object destruction**.
- In two ways we can demonstrate one shot state diagram:
 - ❖ **with Finite lives**
 - ❖ **By using Entry and Exit points**

A) WITH FINITE LIVES

- One-shot state diagrams represent objects with finite lives and have **initial and final states**.
- The initial state is entered on **creation** of an object; entry of the final state implies **destruction** of the object.
- The next figure shows a simplified life cycle of a chess game with a default initial state (solid circle) and a default final state (bull's eye)

CONTD..

Chess

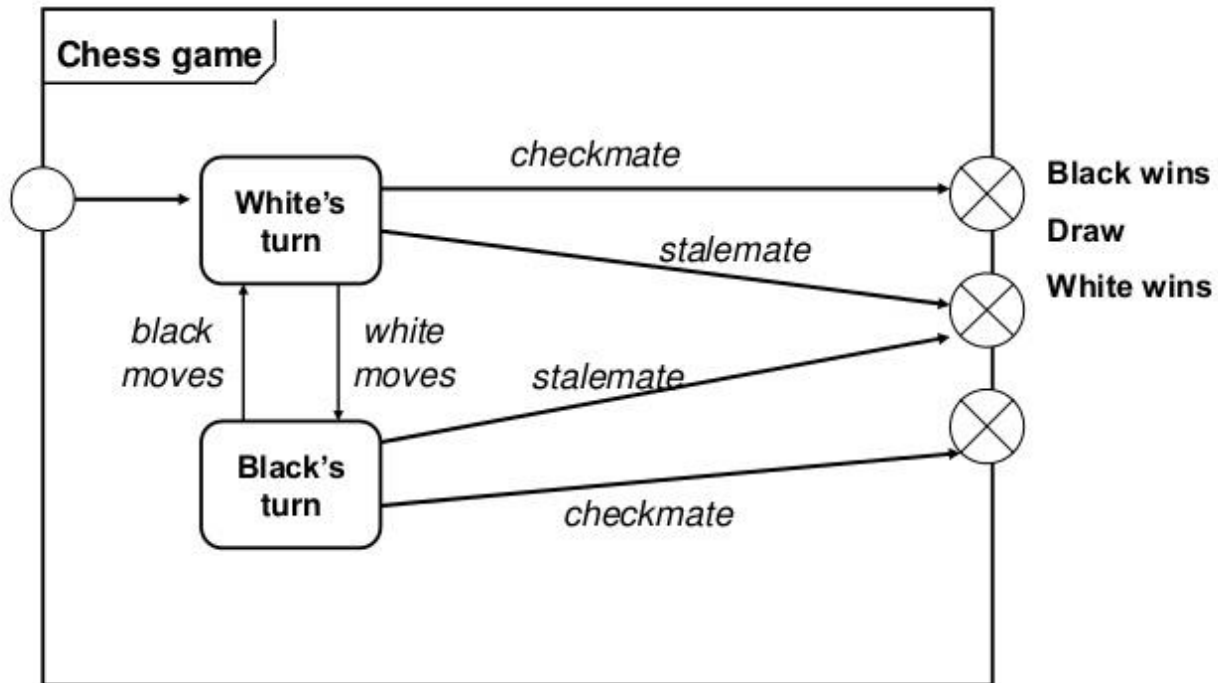


B) ENTRY & EXIT POINTS

- As an alternate notation, you can indicate **initial and final states** via **entry and exit** points.
- In the next figure, the *start entry* point **leads to white's first turn**, and the chess game eventually ends with one of three possible outcomes.
- Entry points (hollow circles) and exit points (circles enclosing an “x”) appear on the state diagram's perimeter and may be named.

CONTD...

Example - entry and exit points



STATE DIAGRAM BEHAVIOUR

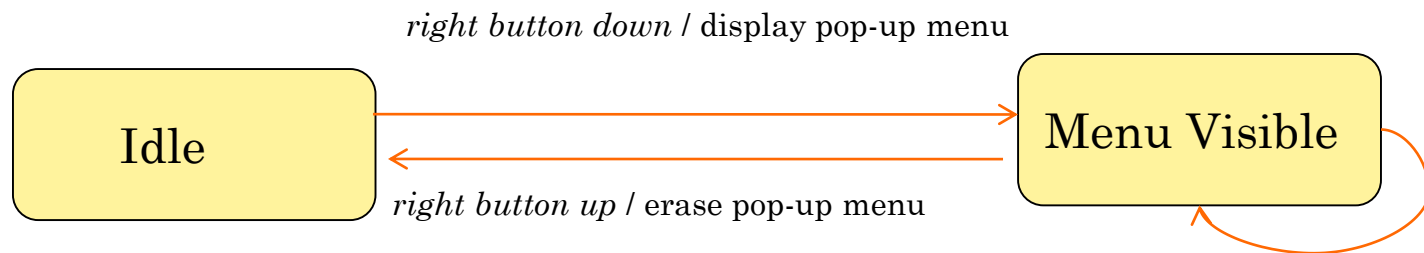
- Activity Effects
- Do-Activities
- Entry and Exit Activities
- Completion Transition
- Sending Signals
- Sample State Diagram with Activities

1. ACTIVITY EFFECTS:

- An effect is a **reference to a behavior** that is executed in response to an event.
- An activity is the actual behavior that can be invoked by any number of effects.
- For Ex: DisconnectPhoneLine might be an activity that is executed in response to an onHook event.

ACTIVITY EFFECTS...

- The notation for an activity is a **slash (“/ ”)** and the **name (or description)** of the activity, following the **event** that causes it.
- The keyword **do** is reserved for indicating an ongoing activity and may not be used as an event name



cursor moved / highlight menu item

2. DO-ACTIVITIES

- A *do-activity* is an activity that continues for an extended time.
- A do-activity can only occur within a state and cannot be attached to a transition.
- For example, the **warning light** may flash during the **paper jam state** for a copy machine.

CONTD..

- do-activities include **continuous operations**, such as
 - displaying a picture on a television screen or monitor(eg. Screen saver).
 - as well as **sequential operations** that **terminate by themselves** after an interval of time, such as closing a valve.
- The notation “**do/**” denotes a do-activity that may be performed for all or part of the duration that an object is in a state.

CONTD..

- A do-activity may be interrupted by an event that is received during its execution; **such an event may or may not cause a transition** out of the state containing the do-activity.

Paper jam

do / flash warning light

3. Entry and Exit Activities:

- As an alternative to showing activities on transition, you can bind activities to entry or to exit from a state.
- For eg, fig shows **control of a garage door opener**.
 - The user generates depress events with a push button to open & close the door.
 - Each event reverse the direction of a door, but for safety the door must open fully before it can be closed. The control generates motorup and motor down activities for the motor.
 - The motor generates door open and door closed when motion has been completed.

3. ENTRY AND EXIT ACTIVITIES

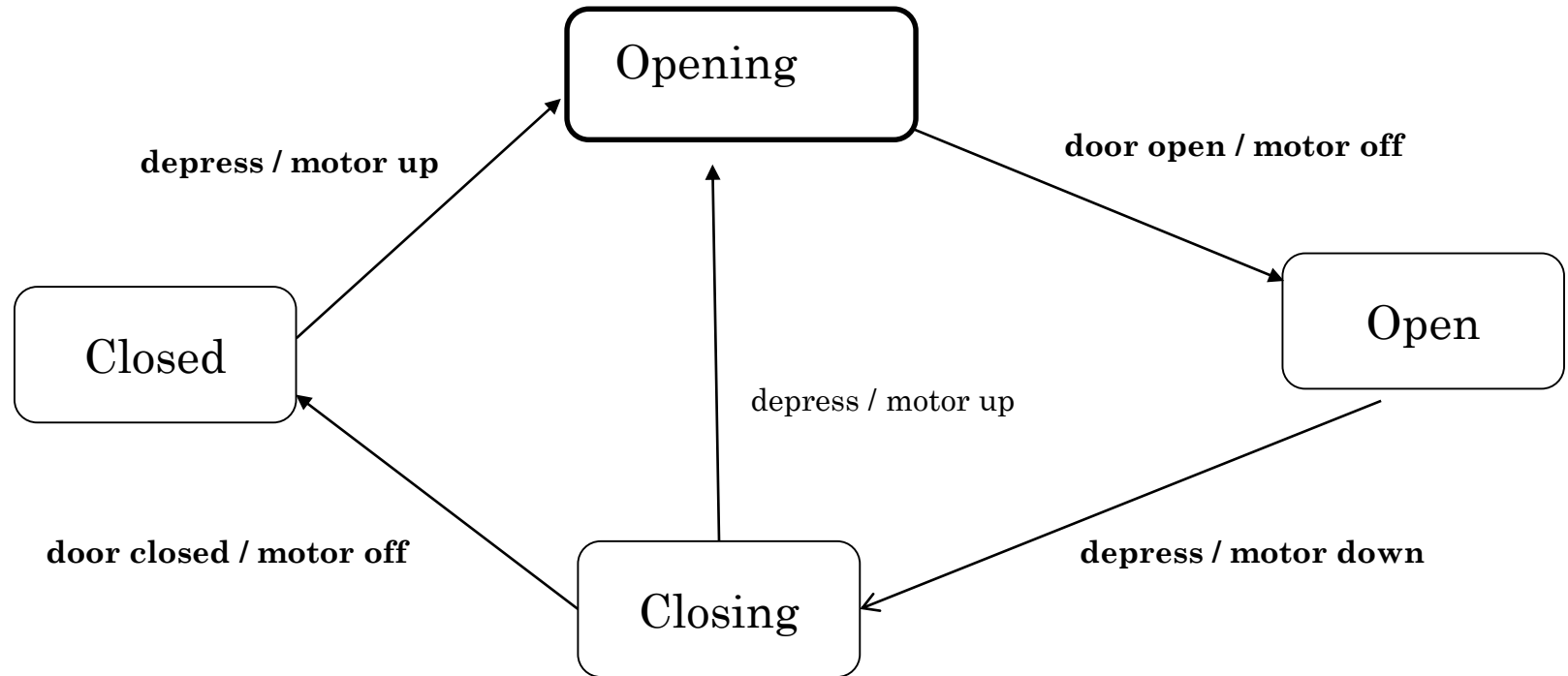
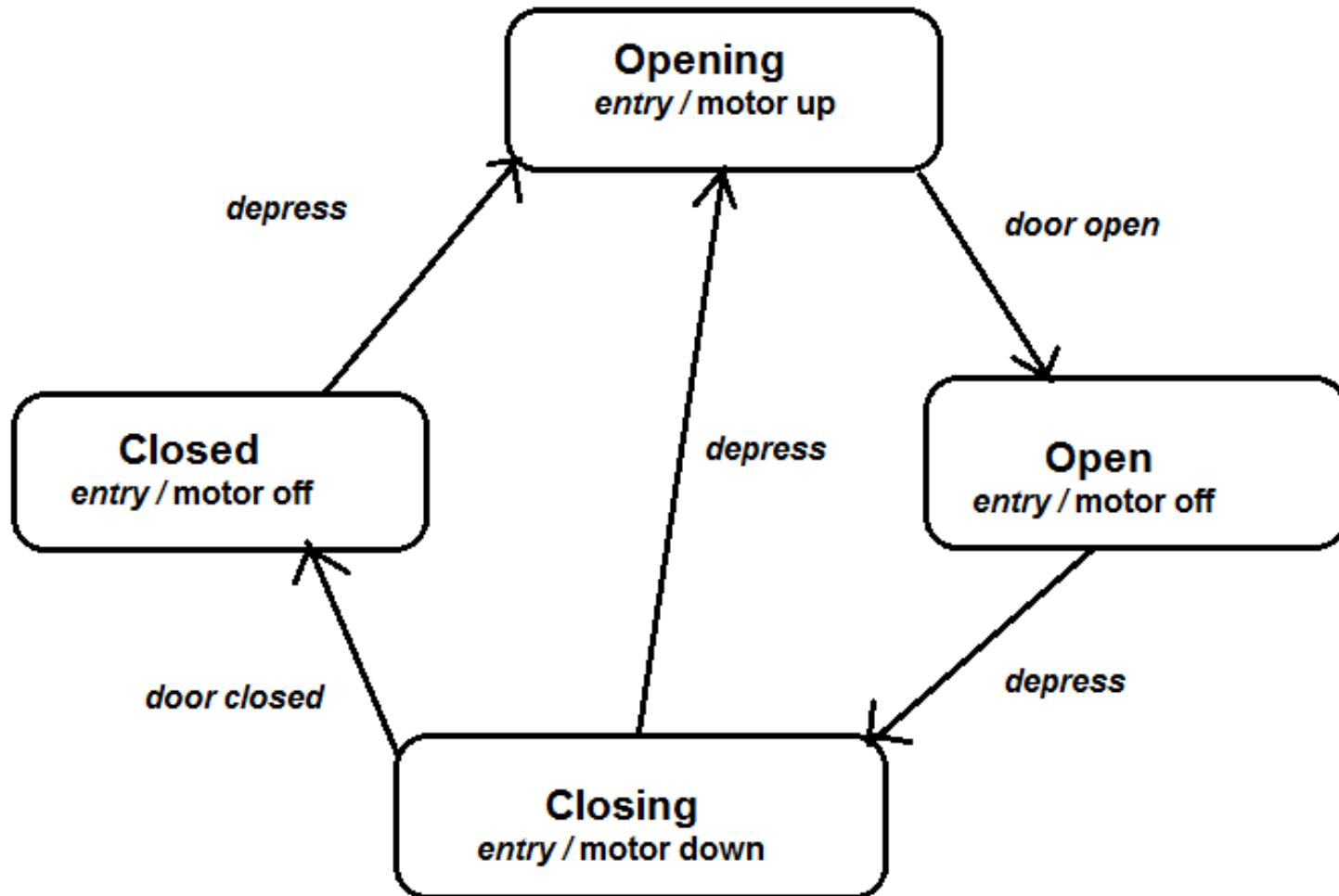
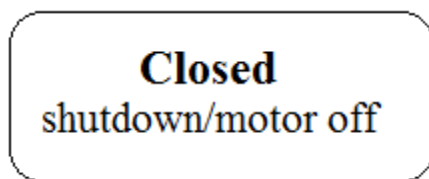


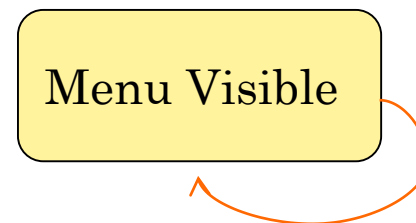
Fig: State diagram using entry and exit activities



- Exit activities are less common than entry activities, but they are occasionally useful.
- Exit activity is shown inside the state box following the keyword **exit** & a “ / ” character.
- In general, there is a difference between **event within a state** and **self-transition**.



Event within a state



cursor moved / highlight menu item

Self transition

4. COMPLETION TRANSITION

- The sole purpose of a state is to perform a sequential activity. When the **activity is completed**, a **transition to another state fires**.
- “An **arrow without an event name indicates an automatic transition** that **fires** when the activity associated with the source state is **completed**”.
- Such **unlabeled transition** are called **Completion transitions**, because they are triggered by completion of activity in source state.
- Ex: Online exam with result.

CONTD..

- A guard condition **is tested only once**, when the **event occurs**.
- If a state has one or more completion transitions, but none of the guard conditions are satisfied, then the state remains active and may become “**stuck**”- the **completion event does not occur a second time**, therefore no completion transition will fire later to change the state.

CONTD...

- If a state has completion transition leaving it, normally the guard conditions should cover every possible outcome.
- The key word *else* can be used to apply if all the other conditions are *false*.
- Do not use a guard condition on a completion transition to model waiting for a change of value. Instead model the waiting as a change event.

5. SENDING SIGNALS

- A system of objects **interacts** by **exchanging signals**
- The activity “**send** *target.S(attributes)*” sends signal *S* with the given attributes to the target object or objects.
- For example, the phone line sends a *connect(phonenumbers)* signal to the switcher when a complete phone number has been dialed.

CONTD..

- A signal can be directed at a set of objects or a single object.
- If the target is a set of objects, each of them receives a separate copy of the signal concurrently, and each of them independently process the signal and determines whether to fire a transition.

CONTD..

- If the **signal is always directed to the same object**, the diagram can **omit the target**.
- For ex: the door may or may not remain open if the button is pressed at about the time the door becomes fully open
- If an **object can receive signals from more than one object**, the order in which concurrent signals are received may affect the final state; this is called a ***race condition***.

CONTD..

- Concurrent systems frequently contain unwanted race conditions that must be avoided by careful design.
- A requirement of two signals being received simultaneously is never a meaningful condition in the real world, as slight variations in transmission speed are inherent in any distributed system.

ADVANCED STATE MODELING

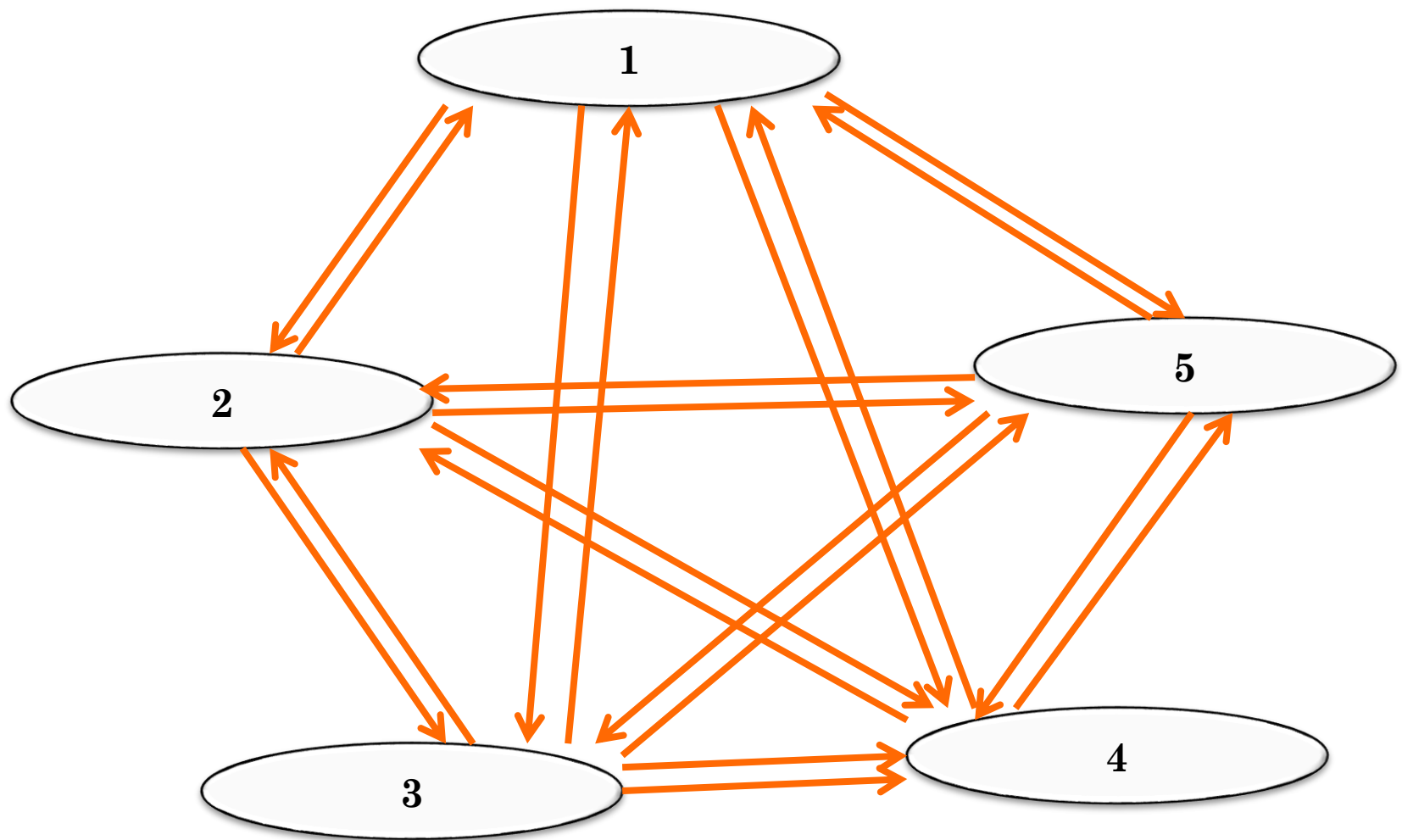
○ Contents:

- Problems with flat State Diagrams
- Expanding States
- Nested States
- Signal Generalization
- Concurrency

CONTD..

Problems with flat State Diagrams:

- Conventional state diagrams are **sufficient** for describing **simple systems** but need additional power to handle large problems.
 - Consider an object with 'n' independent **Boolean attributes** that affect control .
 - An object with **single flat state diagram** would require 2^n states
 - By **partitioning the state into 'n' independent state diagram**, only ' $2n$ ' states are required



Combinatorial explosion of transition in flat state diagram

EXPANDING STATES

- One way to organize a model is by having a high-level diagram with sub-diagrams expanding certain states.

Figure-1 elaborates the dispense state with a lower-level state diagram called a **submachine**.

- A **submachine** is a state diagram that may be invoked as **part of another state diagram**.

CONTD..

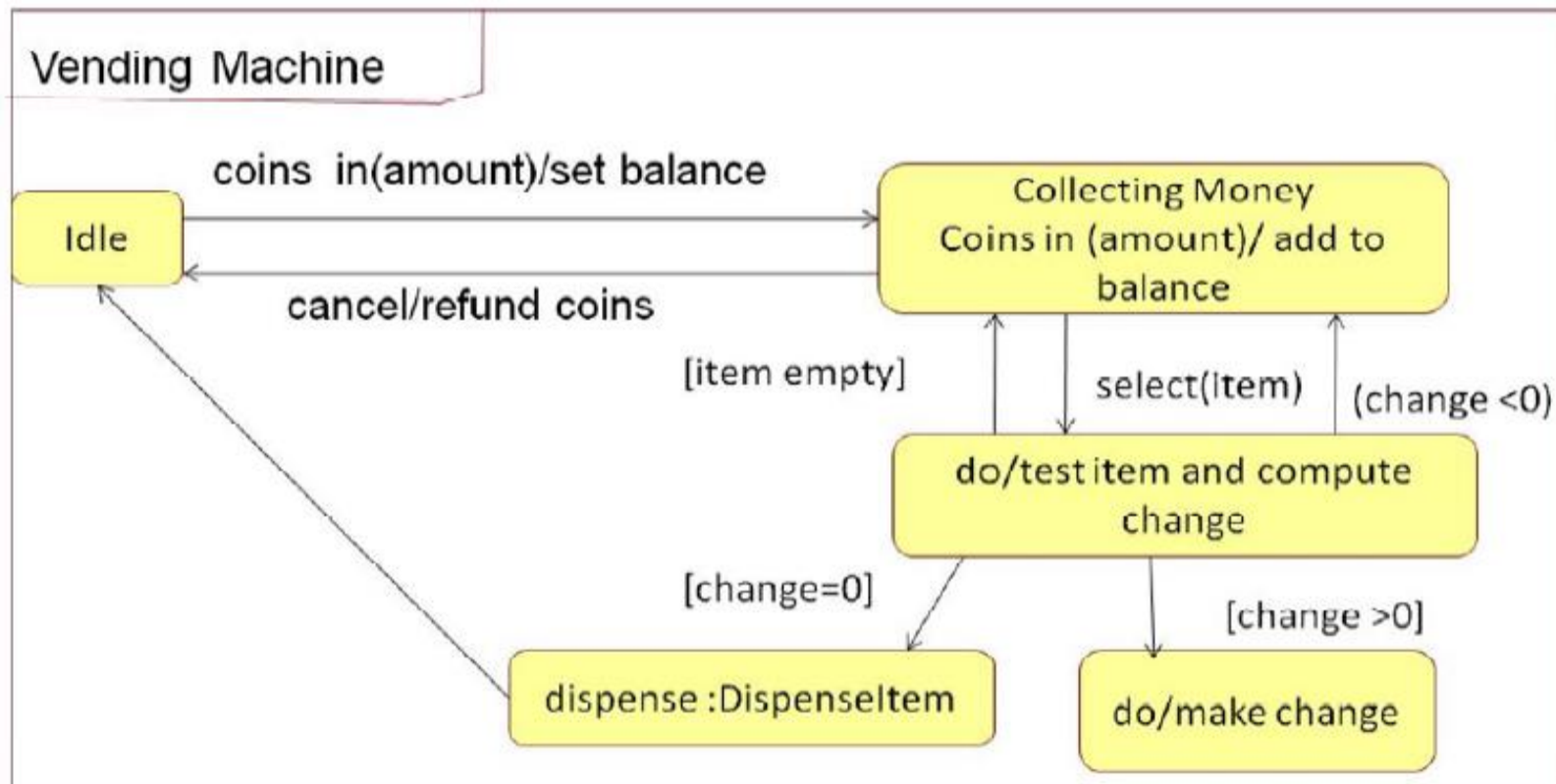


Figure-1: Vending Machine State Diagram

CONTD..

- A **submachine** is a state diagram that may be **invoked as part of another state diagram**.
- E.g.: Vending Machine – High –level Diagram, DispenseItem - Subdiagram.
- The submachine replaces the local state.

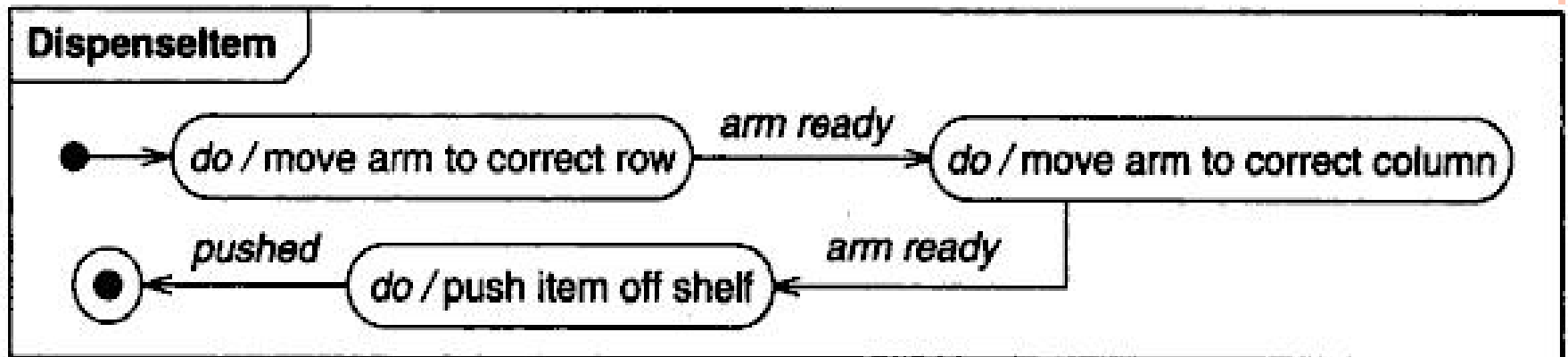
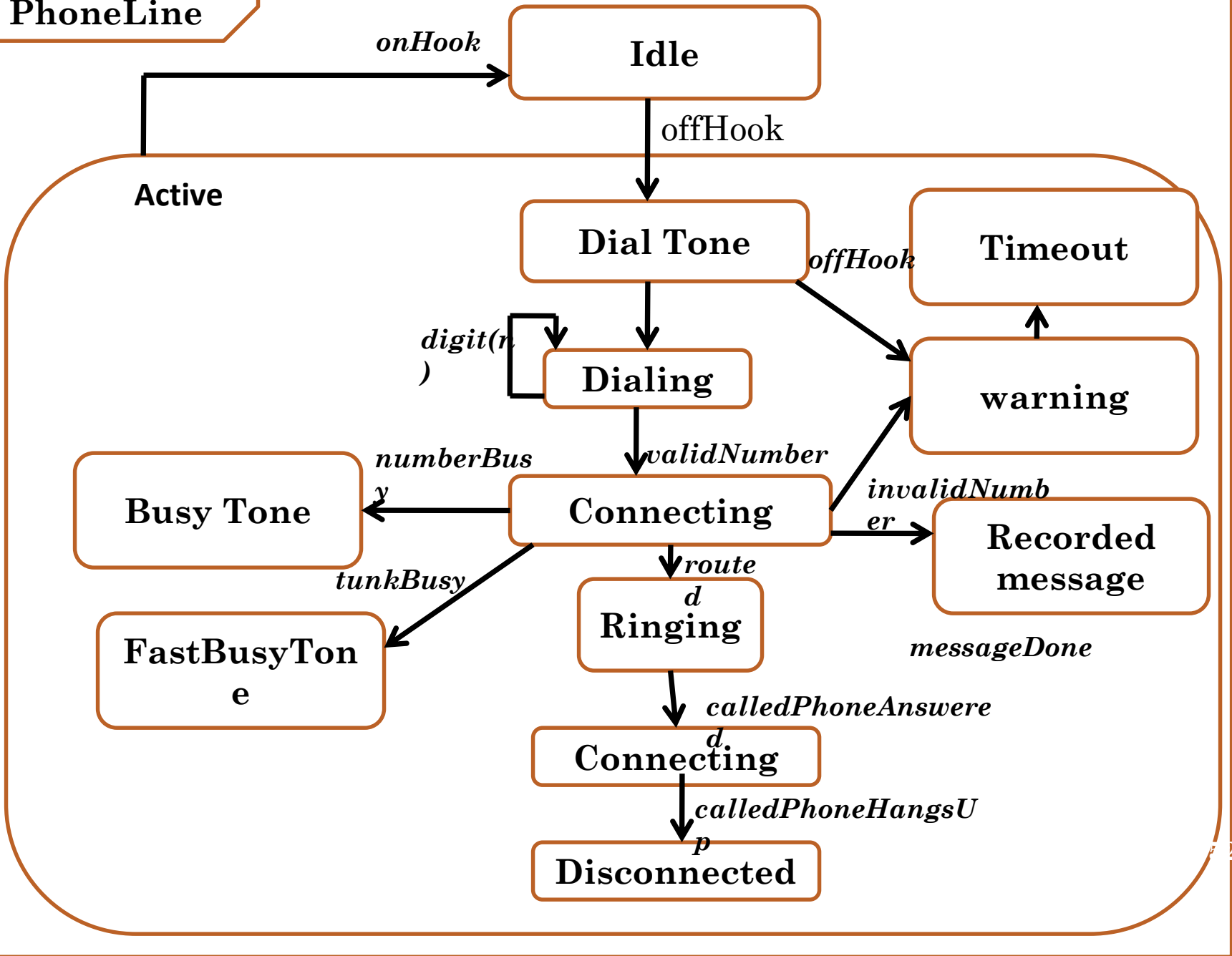


Figure 6.3 *Dispense item submachine of vending machine.* A lower-level state diagram can elaborate a state.

NESTED STATES

- Second **alternative** for structuring states is using **nested states**.
- UML2 avoids using generalization in conjunction with states.
- Local state can be replaced with “nested states”.

PhoneLine



CONTD..

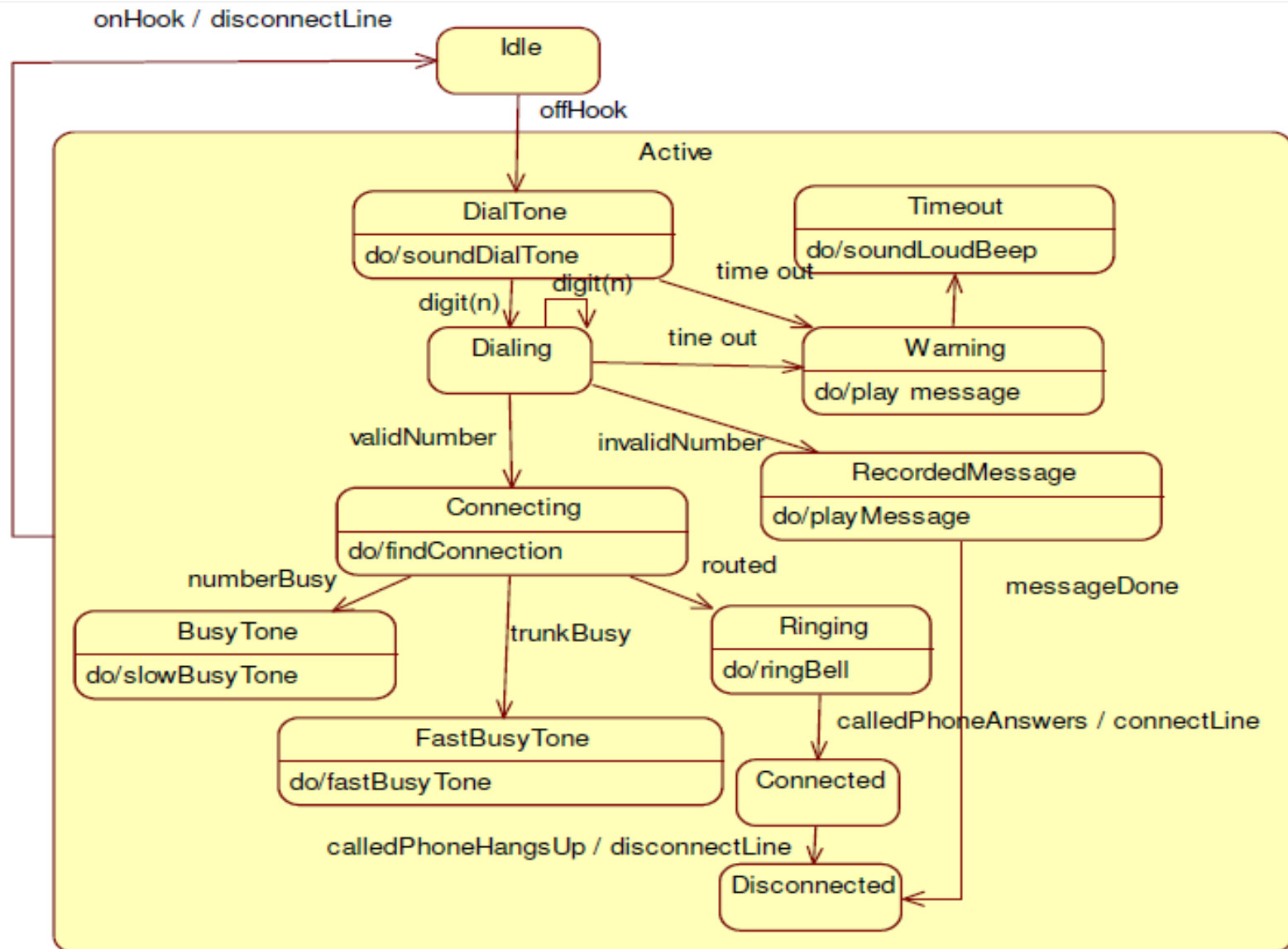


Figure-3: Nested states for phone line

CONTD..

- Figure 3 simplifies the phone line model. The composite state name labels the outer contour that entirely encloses the nested states.
- A nested state receives the outgoing transitions of its composite state. States can be nested to an arbitrary depth (Eg: Car Transmission – Figure 4).

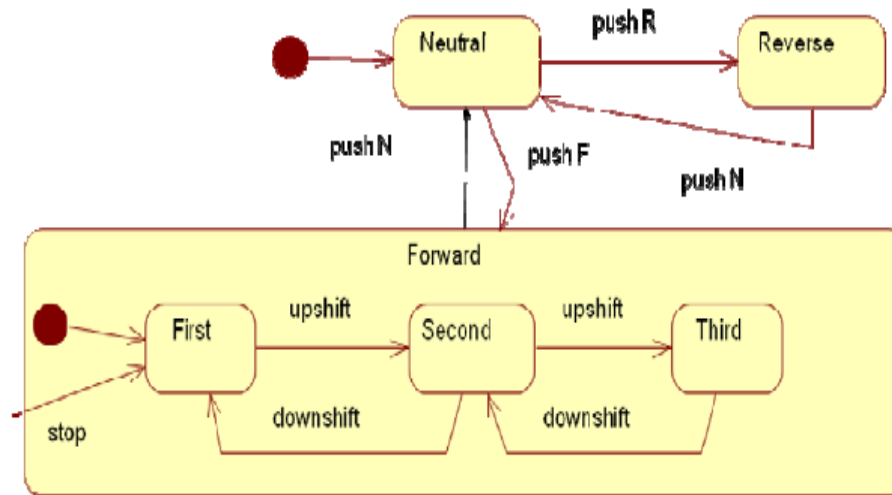


Figure-4: Nested states for Car Transmission

CONTD...

- All three nested states share the transition on *event stop from the Forward contour to state First*.

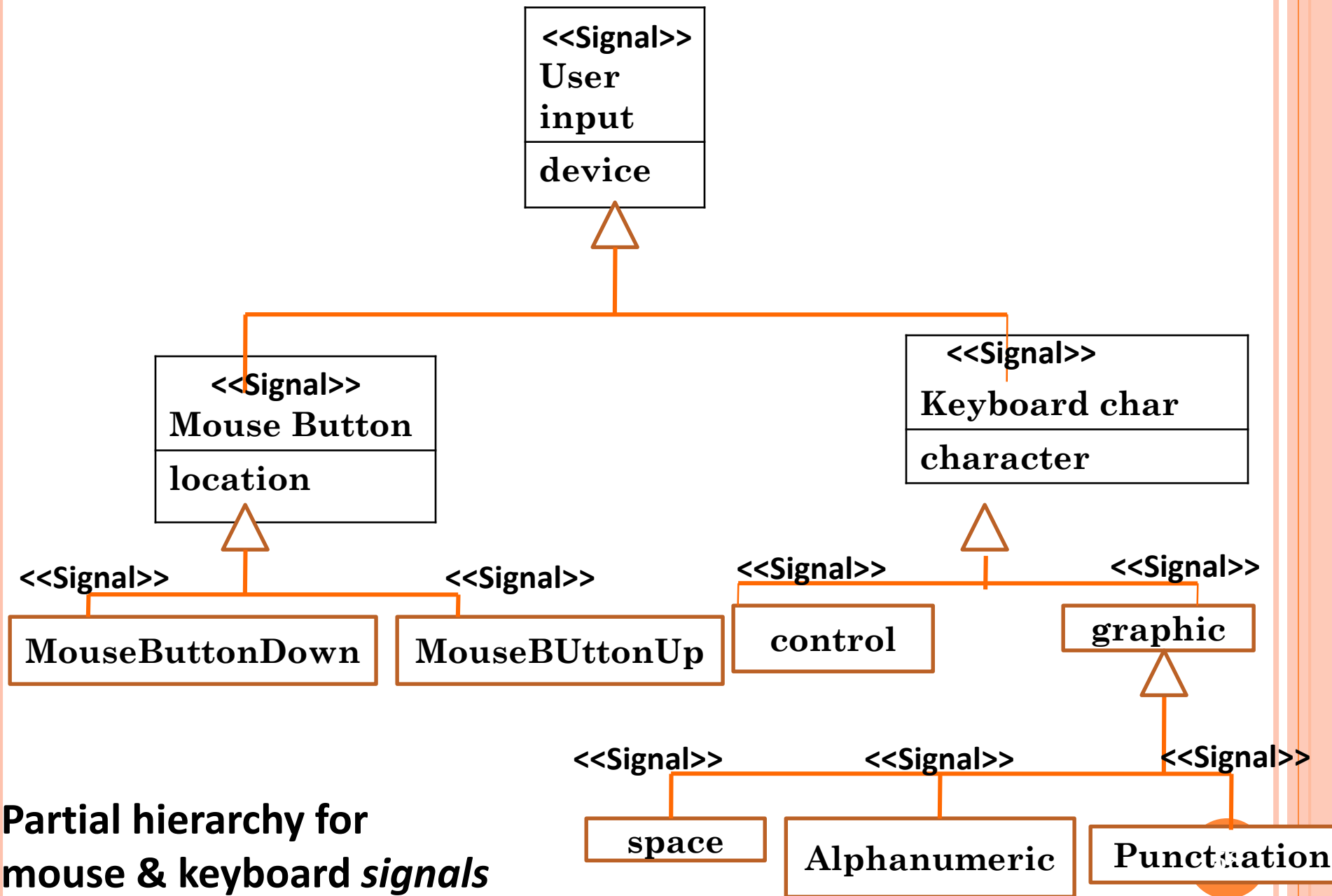
The nested states can be drawn as separate diagrams and reference them by including a submachine.

CONTD...

- Entry and exit activities are particularly useful in nested state diagrams because they permit a state.
- For a simple problem, implement nested states by degradation into “flat state” diagram.
- The entry activities are executed from the outside in and the exit activities from the inside out.

SIGNAL GENERALIZATION

- Signals can be organized into a **generalization hierarchy** with inheritance of **signal attributes**.
- It permits different levels of abstraction to be used in a model.
- E.g. Key board signals - Partial hierarchy for keyboard signals.
- The following Figure, shows a part of a tree of input signals for a workstation.



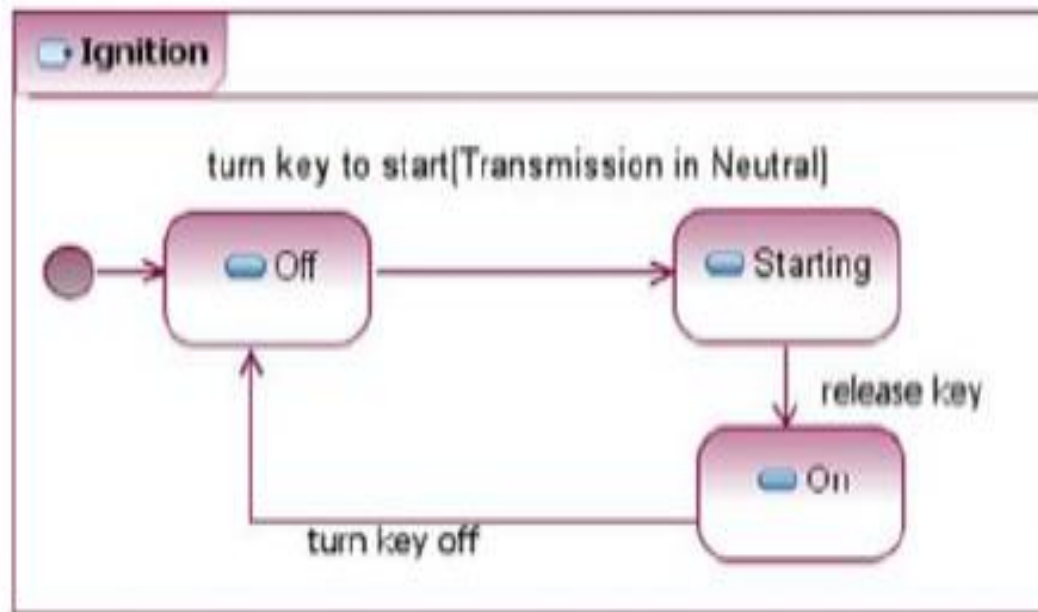
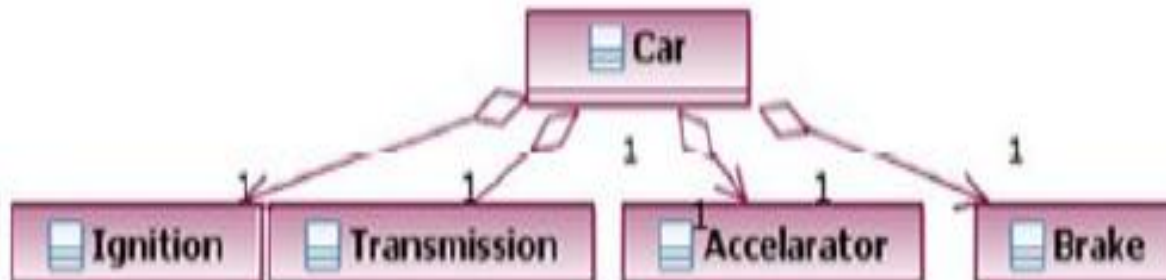
CONCURRENCY

- The state model implicitly supports concurrency among objects.
 - Aggregation Concurrency
 - Concurrency within an Object
 - Synchronization of Concurrent Activities
- Relation of Class and State Models

AGGREGATION CONCURRENCY

- The aggregate state corresponds to the combined states of all the parts. Aggregation is the “**and-relationship**”.
- Transitions for one object can depend on another object being in a given state.
- The following figure shows the state of a *Car* as an aggregation of part states: *Ignition*, *Transmission*, *Accelerator*, and *Brake* (plus other unmentioned objects).

CONTD..



CONTD...

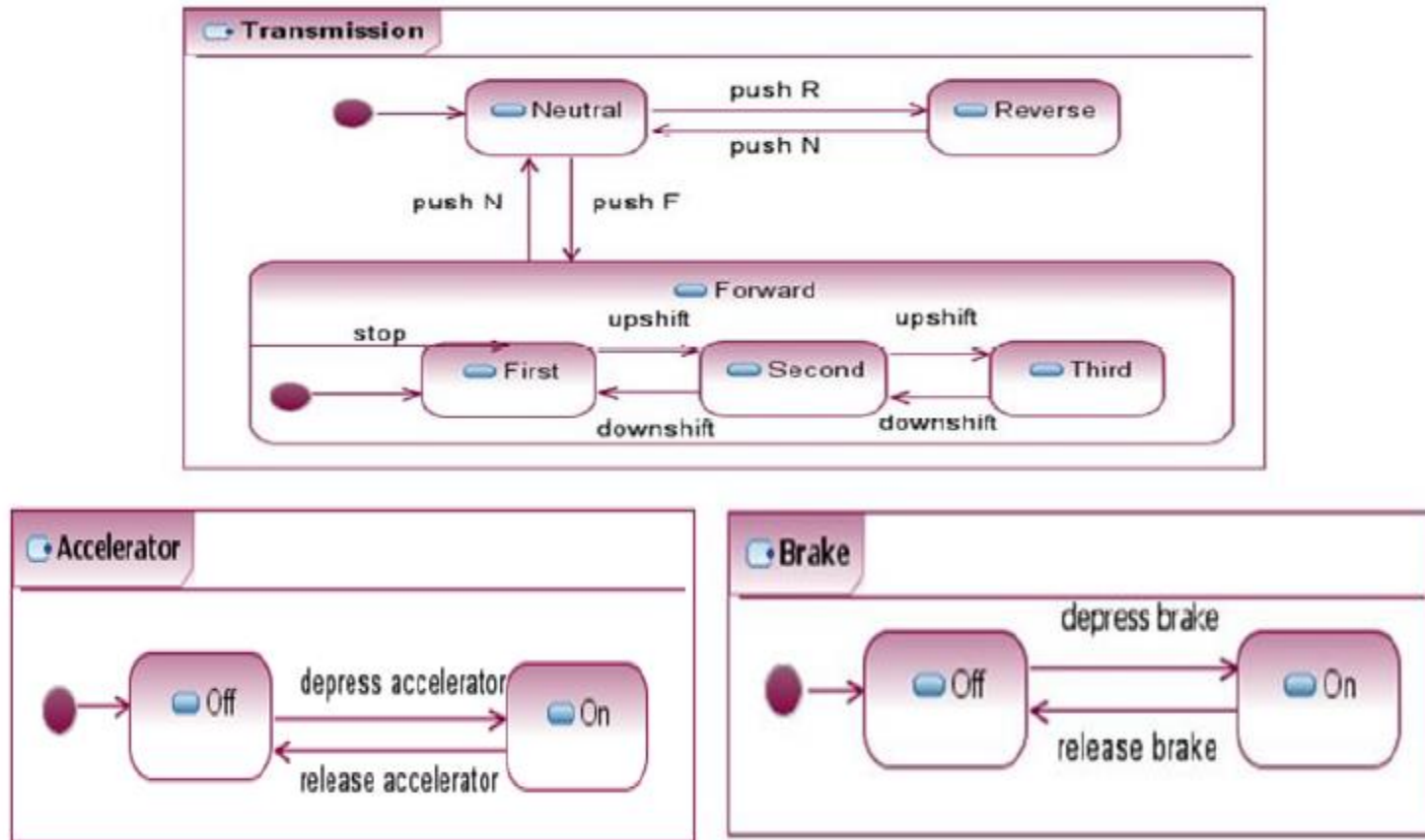


Figure-6: An aggregation and its concurrent state diagrams

CONCURRENCY WITHIN AN OBJECT

Consider an example

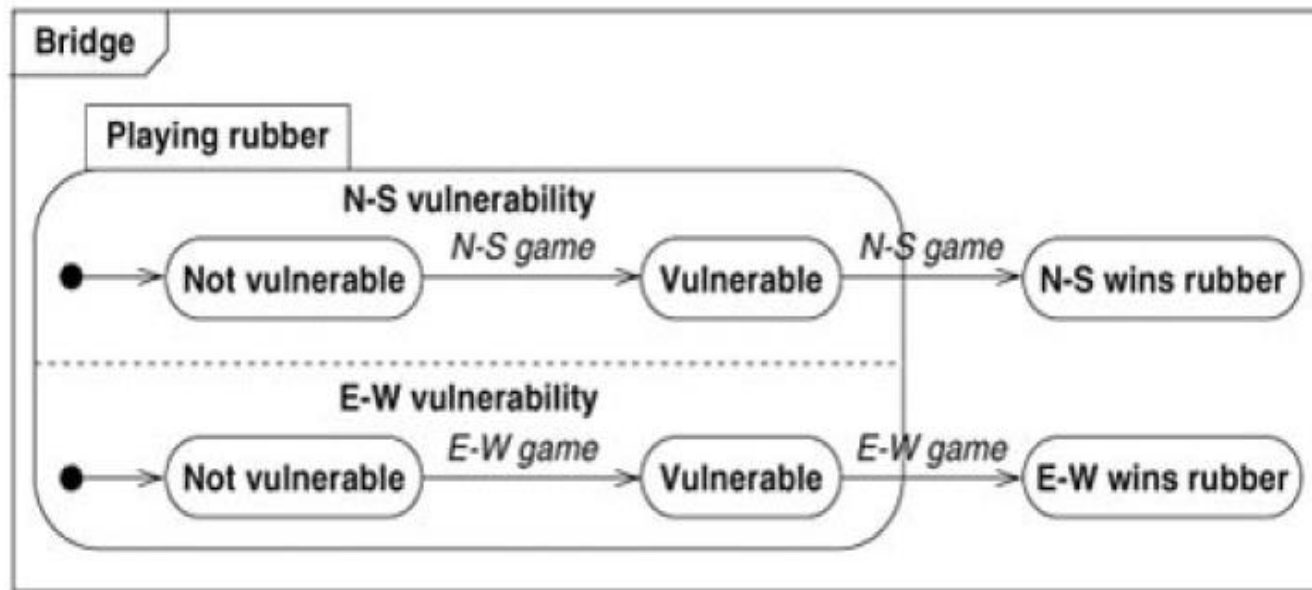


Figure-7: Bridge game with concurrent states

CONTD..

- You can partition some objects into subsets of attributes or links, each of which has its own subdiagram.
- The state of the object comprises one state from each subdiagram. The UML shows concurrency within an object by partitioning the composite state diagram.
- Figure7 shows the state diagram for the play of a bridge rubber. Most programming languages lack intrinsic support for concurrency

SYNCHRONIZATION OF CONCURRENT ACTIVITIES

- The object does not synchronize the internal steps of the activities but **must complete both activities** before it can progress to its next state.

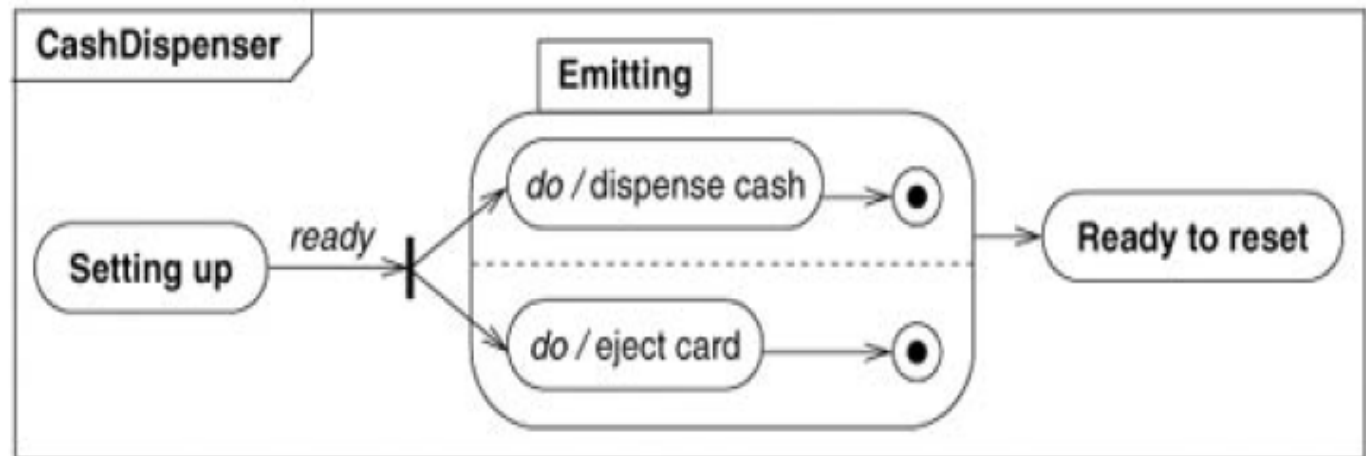


Figure-8: Synchronization of control

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- A cash dispensing machine dispenses cash and returns the user's card at the end of a transaction.
- Each region is a sub diagram that represents a concurrent activity within the composite activity.
- If any sub diagram in the composite state are not part of the merge, they automatically terminate when the merge transition fires.

CONTD..

- A **completion transition** fires when activity in the **source state is complete**.
- The firing of a **merge transition** causes a state diagram to perform the **exit activities** (if any) of all subdiagrams, in the case of both explicit and implicit merges.

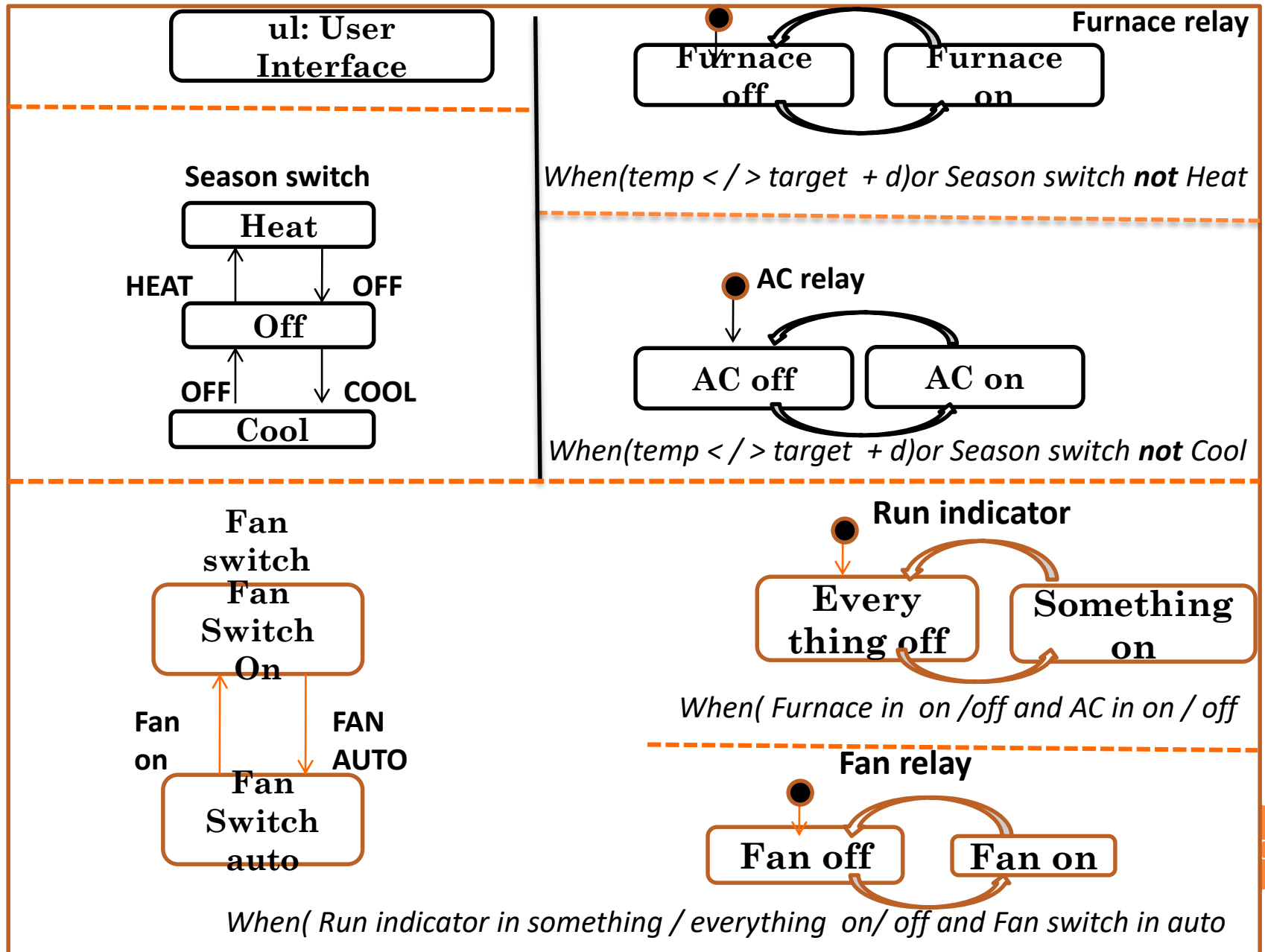
RELATION OF CLASS AND STATE MODELS

- A state diagram describes all or part of the **behaviour of the objects** of a given class.
- A **single object** can have different states over time – the object preserves its identity – but it **cannot have different classes**.
- There are **three sources of concurrency** within the class model:
 - Aggregation of objects
 - Aggregation within an object
 - Concurrent behaviour of an object

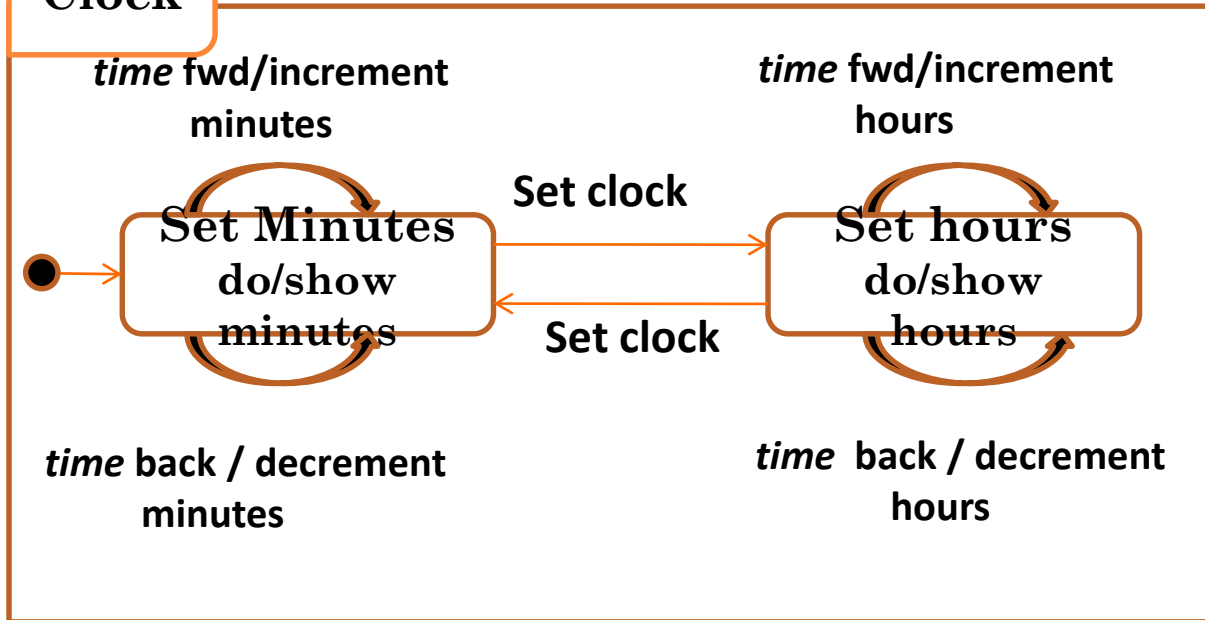
CONTD..

- Aggregation within an object: the values and links of an object are its parts, and groups of them taken together define concurrent substates of the composite object state.
- The state model of a class is inherited by its subclasses. The state diagram of the subclass must be a refinement of the state diagram of the superclass.
- Transitions can often be implemented as operations on objects.

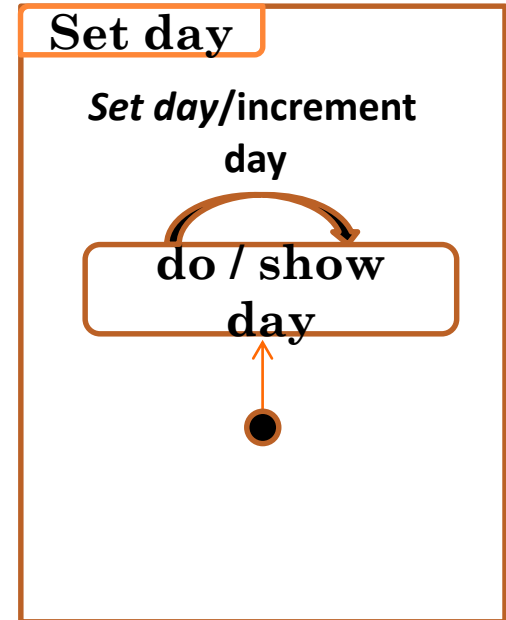
A SAMPLE STATE MODEL [Programmable Thermostat]



Clock

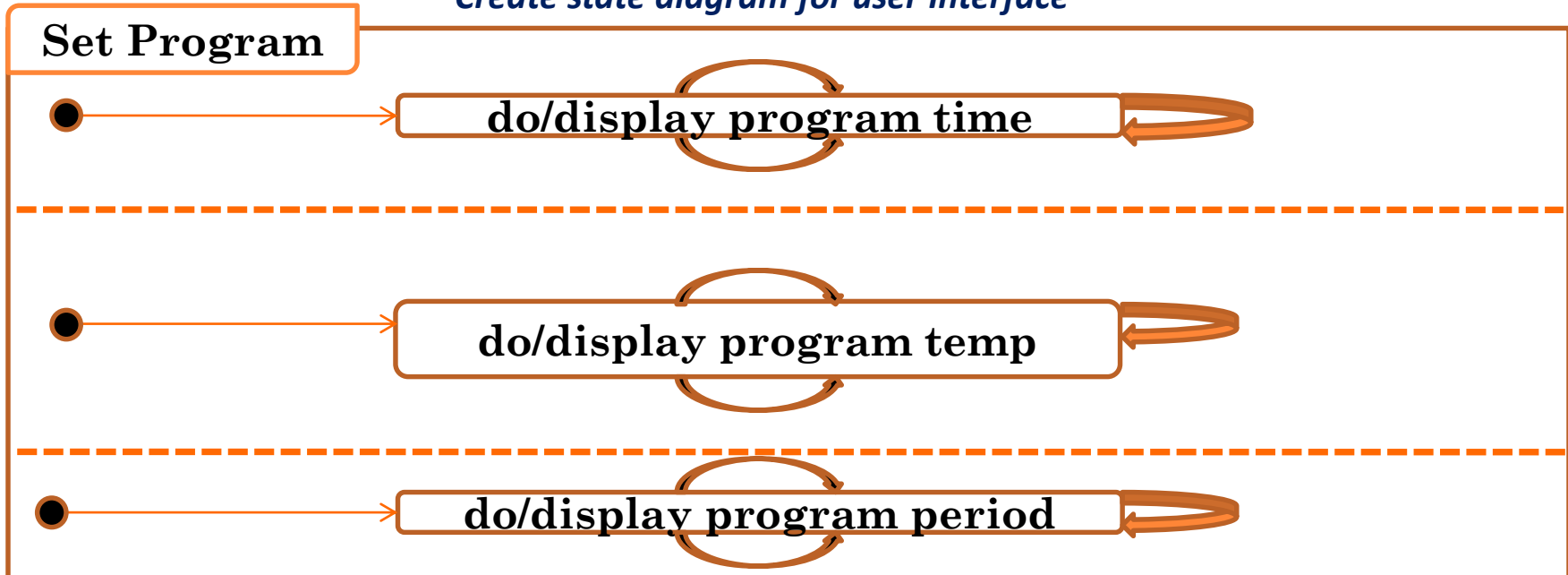


Set day



Create state diagram for user Interface

Set Program







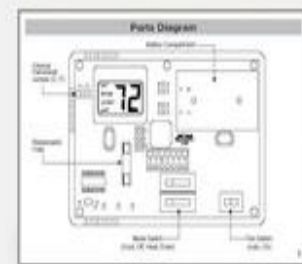
Savings



Honeywell



Energy Star



Wiring



End of State Modelling