CMPE 180-92

Data Structures and Algorithms in C++

Novermber 16 Class Meeting

Department of Computer Engineering San Jose State University



Fall 2017 Instructor: Ron Mak





Assignment #12: Solution

```
Element
П
   Node
П
   InsertionSort::run sort algorithm()
ShellSortSuboptimal::run sort algorithm()
ShellSortOptimal::run sort algorithm()
П
   QuickSorter::quicksort()
QuickSorter::partition()
П
   QuickSortSuboptimal::choose pivot strategy()
QuickSortOptimal::choose pivot strategy()
MergeSort::mergesort()
П
   MergeSort::merge()
LinkedList::split()
П
   LinkedList::concatenate()
```



Trees

- A tree is a collection of nodes:
 - One node is the root node.
- A node contains data and has pointers (possibly null) to other nodes, its children.
 - The pointers are directed edges.
 - Each child node can itself be the root of a subtree.
 - A leaf node is a node that has no children.
- Each node other than the root node has exactly one parent node.

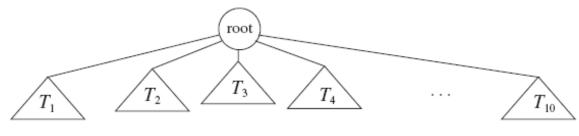
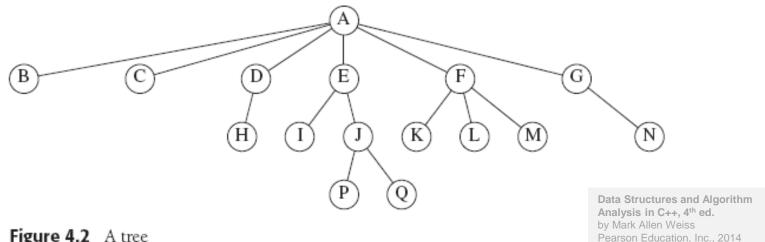




Figure 4.1 Generic tree

Trees, cont'd



- Figure 4.2 A tree
- \square The path from node n_1 to node n_k is the sequence of nodes in the tree from n_1 to n_k .
 - What is the path from A to Q? From E to P?
- The length of a path is the number of its edges.
 - What is the length of the path from A to Q?



Trees, cont'd

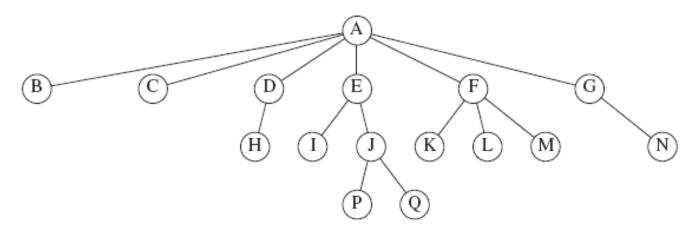


Figure 4.2 A tree

- The depth of a node is the length of the path from the root to that node.
 - What is the depth of node J? Of the root node?



Trees, cont'd

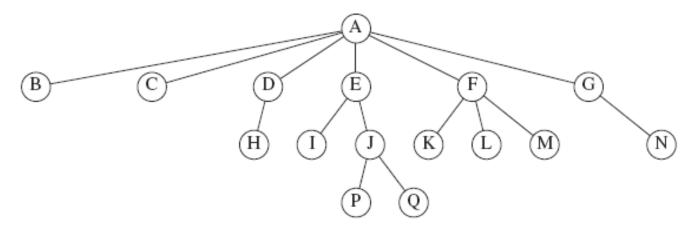


Figure 4.2 A tree

- The height of a node is the length of the longest path from the node to a leaf node.
 - What is the height of node E? Of the root node?
- Depth of a tree = depth of its deepest node = height of the tree



Tree Implementation

- In general, a tree node can have an arbitrary number of child nodes.
- Therefore, each tree node should have
 - a link to its first child, and
 - a link to its next sibling:

```
struct TreeNode
{
    Object element;
    TreeNode *firstChild;
    TreeNode *nextSibling;
}
```



Tree Implementation, cont'd

Conceptual view of a tree:

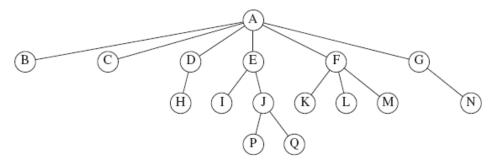


Figure 4.2 A tree

Implementation view of the same tree:

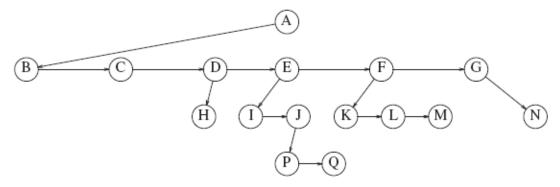


Figure 4.4 First child/next sibling representation of the tree shown in Figure 4.2



Tree Traversals

- There are several different algorithms to "walk" or "traverse" a tree.
- Each algorithm determines a unique order that each and every node in the tree is "visited"



Preorder Tree Traversal

- First visit a node.
 - Visit the node before (pre) visiting its child nodes.
- Then recursively visit each of the node's child nodes in sibling order.



Preorder Tree Traversal, cont'd

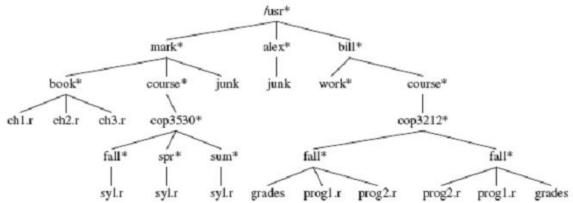


Figure 4.5 UNIX directory

```
void FileSystem::listAll(int depth = 0) const
{
    printName(depth);

    if (isDirectory())
    {
        for each file f in this directory
        {
            f.listAll(depth + 1);
        }
    }
}
```

```
ch1.r
                                 ch2.r
                                 ch3.r
                             course
                                 cop3530
                                      fall.
                                           syl.r
                                      spr
                                           syl.r
                                      sum
                                           syl.r
                             junk
                        alex
                             junk
                        bi11
                             work
                             course
                                 cop3212
                                      fall.
                                           grades
                                           prog1.r
                                           prog2.r
                                      fall
Data Structures and Algorithm
Analysis in C++, 4th ed.
                                           prog2.r
by Mark Allen Weiss
                                           prog1.r
Pearson Education, Inc., 2014
                                           grades
```

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mark

book



Postorder Tree Traversal

- First recursively visit each of a node's child nodes in sibling order.
- Then visit the node itself.



Postorder Tree Traversal, cont'd

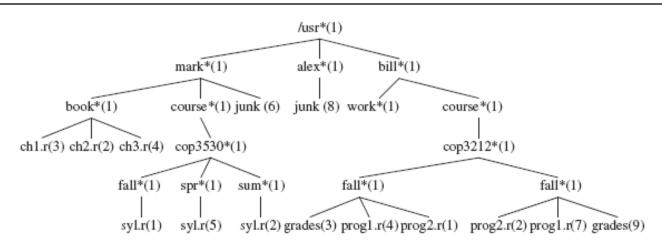


Figure 4.8 UNIX directory with file sizes obtained via postorder traversal

```
int FileSystem::size() const
{
    int totalSize = sizeOfThisFile();

    if (isDirectory())
    {
        for each file f in directory
        {
            totalSize += f.size();
        }
    }

    return totalSize;
}
```

Data Structures and Algorithm Analysis in C++, 4th ed. by Mark Allen Weiss Pearson Education, Inc., 2014

ch2.r ch3.r book 10 syl.r 1 fall syl.r spr syl.r sum 3 cop3530 12 13 course junk mark 30 .iunk alex work grades prog1.r prog2.r fall prog2.r progl.r 7 grades fall 19 cop3212 course 30 bill. 32 72 /usr

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3

Figure 4.10 Trace of the size function

Binary Trees

A binary tree is a tree where
 each node can have 0, 1, or 2 child nodes.

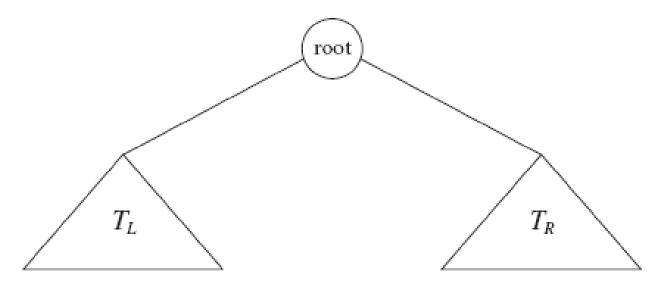


Figure 4.11 Generic binary tree



Binary Trees, cont'd

□ An arithmetic expression tree:

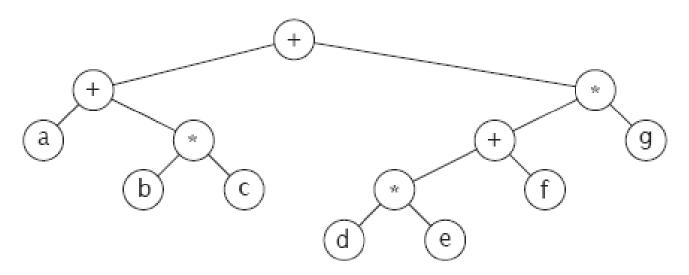


Figure 4.14 Expression tree for (a + b * c) + ((d * e + f) * g)



Conversion from Infix to Postfix Notation

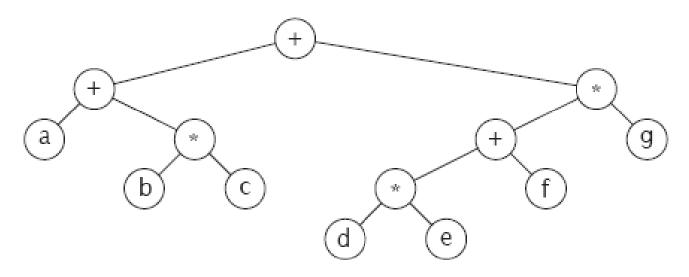


Figure 4.14 Expression tree for (a + b * c) + ((d * e + f) * g)

Do a postorder walk of our expression tree to output the expression in postfix notation:



Binary Search Trees

- □ A binary <u>search</u> tree (BST) has these properties for each of its nodes:
 - All the values in the node's <u>left subtree</u> are <u>less than</u> the value of the node itself.
 - All the values in the node's <u>right subtree</u> are <u>greater than</u> the value of the node itself.



Inorder Tree Traversal

- Recursively visit a node's left subtree.
- Visit the node itself.
- Recursively visit the node's right subtree.
- If you do an inorder walk of a binary search tree, you will visit the nodes in sorted order.



Inorder Tree Traversal, cont'd

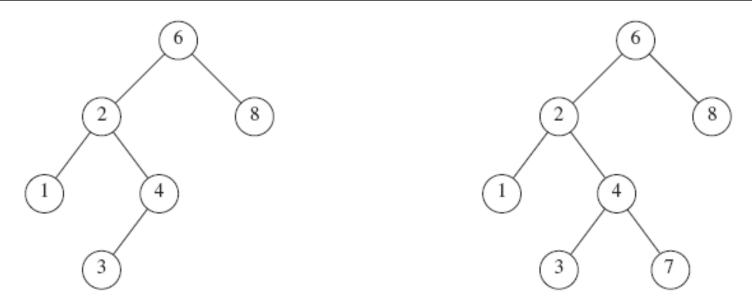


Figure 4.15 Two binary trees (only the left tree is a search tree)

□ An inorder walk of the left tree
 visits the nodes in sorted order: 1 2 3 4 6 8



The Binary Search Tree ADT

The node class of our binary search tree ADT.

```
template <class Comparable>
class BinaryNode
{
public:
    BinaryNode(Comparable data);
    BinaryNode(const Comparable& data, BinaryNode *left, BinaryNode *right);
    virtual ~BinaryNode();

    Comparable data;
    BinaryNode *left;
    BinaryNode *right;
};
```



The Binary Search Tree ADT, cont'd

```
template <typename Comparable>
class BinarySearchTree
public:
    BinarySearchTree();
    BinarySearchTree(const BinarySearchTree& rhs);
    virtual ~BinarySearchTree();
    BinarySearchTree& operator=(const BinarySearchTree& rhs);
    BinaryNode<Comparable> *getRoot() const;
    int height();
    const Comparable &findMin() const;
    const Comparable &findMax() const;
    void clear();
    bool isEmpty() const;
    bool contains (const Comparable & data) const;
    void insert(const Comparable data);
    void remove(const Comparable& data);
```

The Binary Search Tree ADT, cont'd

```
protected:
    virtual int height(BinaryNode<Comparable> *ptr);
    virtual void insert(const Comparable& data, BinaryNode<Comparable>* &ptr);
    virtual void remove(const Comparable& data, BinaryNode<Comparable>* &ptr);

private:
    BinaryNode<Comparable> *root;

BinaryNode<Comparable> *findMin(BinaryNode<Comparable> *ptr) const;
    BinaryNode<Comparable> *findMax(BinaryNode<Comparable> *ptr) const;
    void clear(BinaryNode<Comparable>* &ptr);
    bool contains(const Comparable& data, BinaryNode<Comparable> *ptr) const;
};
```



The Binary Search Tree: Min and Max

- Finding the <u>minimum and maximum values</u> in a binary search tree is easy.
 - The leftmost node has the minimum value.
 - The rightmost node has the maximum value.
- You can find the minimum and maximum values recursively or (better) iteratively.



The Binary Search Tree: Min and Max, cont'd

- Recursive code to find the minimum value.
 - Chase down the <u>left</u> child links.
 - The minimum is the leftmost child.



The Binary Search Tree: Min and Max, cont'd

- Iterative code to find the maximum value.
 - Chase down the <u>right</u> child links.
 - The maximum is the rightmost child.

```
template < template <typename Comparable>
BinaryNode<Comparable>
    *BinarySearchTree<Comparable>::findMax(BinaryNode<Comparable> *ptr) const

{
    if (ptr != nullptr)
    {
       while(ptr->right != nullptr) ptr = ptr->right;
    }

    return ptr;
}
```



The Binary Search Tree: Contains

- Does a binary search tree contain a <u>target value</u>?
- Search recursively starting at the root node:
 - If the target value is <u>less than</u> the node's value, then search the node's left subtree.
 - If the target value is <u>greater than</u> the node's value, then search the node's <u>right subtree</u>.
 - If the values are <u>equal</u>, then yes, the target value <u>is contained</u> in the tree.
 - If you "run off the bottom" of the tree, then no, the target value is <u>not contained</u> in the tree.



The Binary Search Tree: Contains, cont'd

```
template <typename Comparable>
bool BinarySearchTree<Comparable>::contains(const Comparable& data,
                                            BinaryNode<Comparable> *ptr) const
{
   while (ptr != nullptr)
        if (data < ptr->data)
           ptr = ptr->left;
        else if (data > ptr->data)
           ptr = ptr->right;
        else
            return true; // found
    return false;
                          // not found
```



The Binary Search Tree: Insert

- □ To insert a target value into the tree:
 - Proceed as if you are checking whether the tree contains the target value.
- As you're recursively examining left and right subtrees, if you encounter a null link (either a left link or a right link), then that's where the new value should be inserted.
 - Create a new node containing the target value and replace the null link with a link to the new node.
 - So the new node is attached to the <u>last-visited node</u>.



The Binary Search Tree: Insert, cont'd

- If the target value is already in the tree, either:
 - Insert a duplicate value into the tree.
 - Don't insert but "update" the existing node.



The Binary Search Tree: Insert

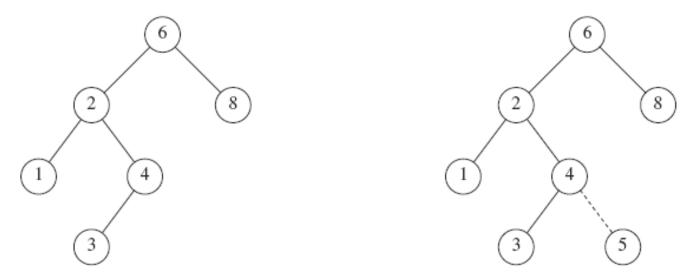


Figure 4.21 Binary search trees before and after inserting 5



The Binary Search Tree: insert()

```
template <typename Comparable>
void BinarySearchTree<Comparable>::insert(const Comparable& data,
                                                                            ptr passed
                                            BinaryNode<Comparable>* &ptr)
                                                                            by reference
{
    if (ptr == nullptr)
                                                   Create a new node
                                                   only when a null link
        ptr = new BinaryNode<Comparable>(data);
                                                   is encountered.
    else if (data < ptr->data)
        insert(data, ptr->left);
                                     Attach the newly created node to
                                     the last-visited node (pass the
    else if (data > ptr->data)
                                     pointers by reference).
        insert(data, ptr->right);
```



The Binary Search Tree: Remove

- After removing a node from a binary search tree, the remaining nodes must still be in order.
- No child case: The target node to be removed is a leaf node.
 - Just remove the target node.



- One child case: The target node to be removed has one child node.
 - Change the parent's link to the target node to point instead to the target node's child.

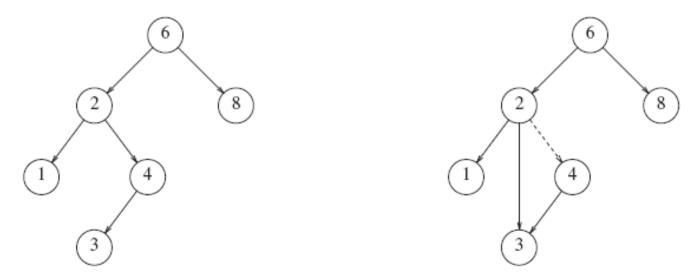


Figure 4.23 Deletion of a node (4) with one child, before and after



- Two children case: The target node to be removed has two child nodes.
 - This is the complicated case.
- How do we restructure the tree so that the order of the node values is preserved?

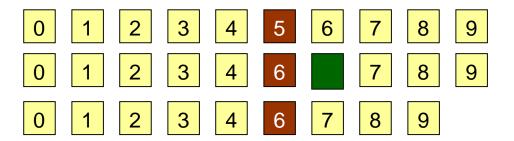


- Recall what happens you remove a list node.
 - Assume that the list is sorted.
 - 0 1 2 3 4 5 6 7 8 9
 - If we delete target node 5, which node takes its place?
 - 0 1 2 3 4 6 7 8 9
 - The replacement node is the node that is immediately after the target node in the sorted order.

- A somewhat convoluted way to do this:
 - Replace the target node's value with the successor node's value.
 - 8
 - Then remove the successor node, which is now "empty".

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- The same convoluted process happens when you remove a node from a binary search tree.
 - The successor node is the node that is immediately after the deleted node in the sorted order.
 - Replace the target node's value with the successor node's value.
 - Remove the successor node, which is now "empty".



- If you have a target node in a binary search tree, where is the node that is its immediate successor in the sort order?
 - The successor's value is ≥ than the target value.
 - It must be the minimum value in the right subtree.

General idea:

- Replace the value in the target node with the value of the successor node.
 - The successor node is now "empty".
- Recursively delete the successor node.



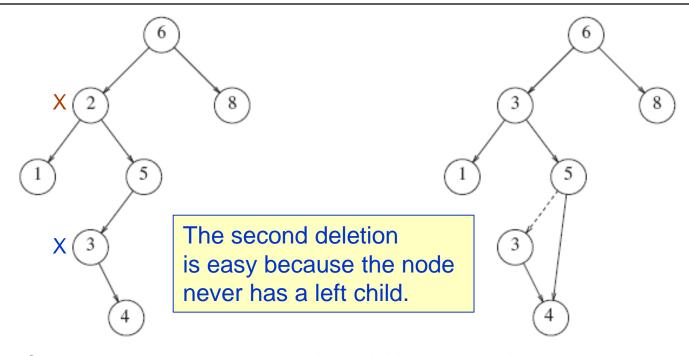


Figure 4.24 Deletion of a node (2) with two children, before and after

- Replace the value of the target node 2 with the value of the successor node 3.
- Now recursively remove node 3.



```
template <typename Comparable>
void BinarySearchTree<Comparable>::remove(const Comparable& data,
                                            BinaryNode<Comparable>* &ptr)
{
                                                                      ptr passed
    if (ptr == nullptr) return;
                                    Item not found: do nothing.
                                                                      by reference
    if (data < ptr->data)
                                     Search left.
        remove(data, ptr->left);
    else if (data > ptr->data)
        remove(data, ptr->right);
                                    Search right.
    else if (
                (ptr->left != nullptr)
                                                     Two children:
             && (ptr->right != nullptr))
                                                     Replace the target value
                                                     with the successor value.
        ptr->data = findMin(ptr->right)->data;
        remove(ptr->data, ptr->right);
                                                     Then recursively remove
                                                     the successor node.
    else
        BinaryNode<Comparable> *oldNode = ptr;
        ptr = (ptr->left != nullptr) ? ptr->left
                                                          No children or one child.
                                       : ptr->right;
```

delete oldNode;

The Binary Search Tree Animations

- Download Java applets from <u>http://www.informit.com/content/images/067232</u> <u>4539/downloads/ExamplePrograms.ZIP</u>
 - These are from the book Data Structures and Algorithms in Java, 2nd edition, by Robert LaFlore: http://www.informit.com/store/data-structures-and-algorithms-in-java-9780672324536
- The binary search tree applet is in Chap08/Tree
- Run with the <u>appletviewer</u> application that is in your <u>java/bin</u> directory:

appletviewer Tree.html



Break



AVL Trees

- An AVL tree is a binary search tree (BST) with a balance condition.
 - Named after its inventors, Adelson-Velskii and Landis.
- For each node of the BST, the heights of its left and right subtrees can differ by at most 1.
 - Remember that the height of a tree is the length of the longest path from the root to a leaf.
 - The height of the root = the height of the tree.
 - The height of an empty tree is -1.



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AVL Trees, cont'd

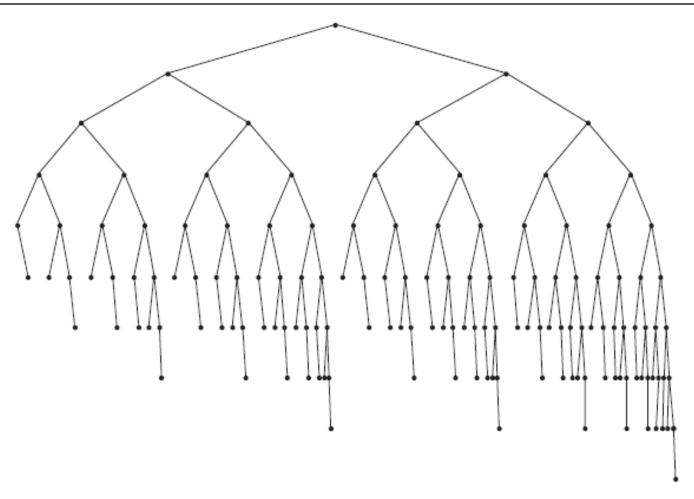


Figure 4.30 Smallest AVL tree of height 9



Balancing AVL Trees

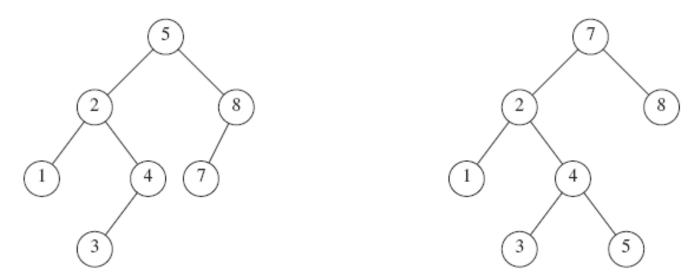


Figure 4.29 Two binary search trees. Only the left tree is AVL

- We need to <u>rebalance the tree</u> whenever the balance condition is violated.
 - Check after every insertion and deletion.



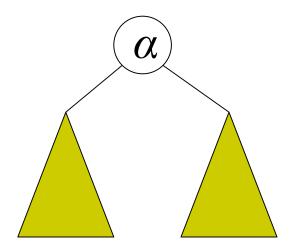
Balancing AVL Trees, cont'd

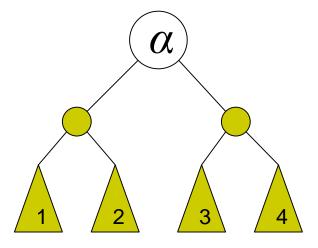
- Assume the tree was <u>balanced before</u> an insertion.
- If it became unbalanced due to the insertion, then the inserted node must have caused some nodes between itself and the root to be unbalanced.
- An unbalanced node must have the height of one of its subtrees <u>exactly 2 greater</u> than the height its other subtree.



Balancing AVL Trees, cont'd

- \square Let the deepest unbalanced node be α .
- Any node has at most two children.
- \square A new height imbalance means that the heights of α 's two subtrees now differ by 2.

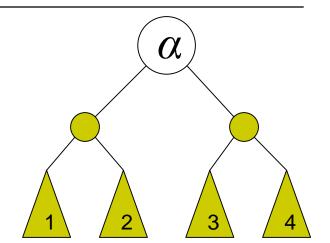






Balancing AVL Trees, cont'd

- Therefore, one of the following had to occur:
 - Case 1 (outside left-left): The insertion was into the left subtree of the left child of α .



- Case 2 (inside left-right): The insertion was into the right subtree of the left child of α .
- Case 3 (inside right-left): The insertion was into the left subtree of the right child of α .
- Case 4 (outside right-right): The insertion was into the right subtree of the right child of α .

Cases 1 and 4 are mirrors of each other, and cases 2 and 3 are mirrors of each other.



Balancing AVL Trees: Case 1

Case 1 (outside left-left):
 Rebalance with a <u>single right rotation</u>.

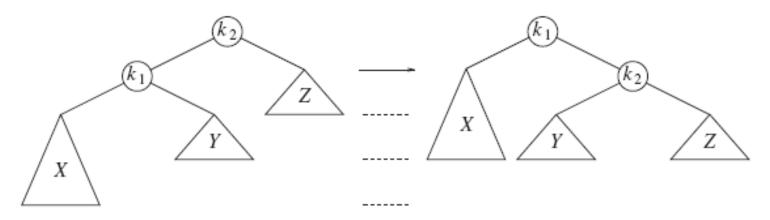


Figure 4.31 Single rotation to fix case 1



Balancing AVL Trees: Case 1, cont'd

Case 1 (outside left-left):
 Rebalance with a single right rotation.

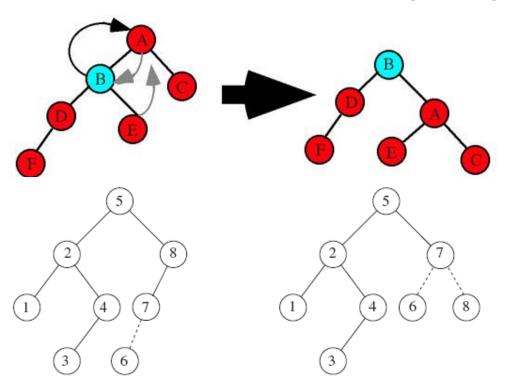


Figure 4.32 AVL property destroyed by insertion of 6, then fixed by a single rotation

Node A is unbalanced.

Single right rotation: A's left child B becomes the new root of the subtree.

Node A becomes the right child and adopts B's right child as its new left child.

Node 8 is unbalanced.

Single right rotation: 8's left child 7 becomes the new root of the subtree.

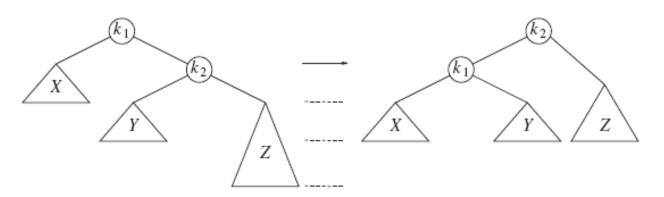
Node 8 is the right child.

http://www.cs.uah.edu/~rcoleman/CS221/Trees/AVLTree.html



Balancing AVL Trees: Case 4

Case 4 (outside right-right):
 Rebalance with a <u>single left rotation</u>.



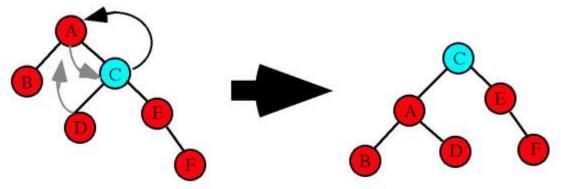
Data Structures and Algorithm Analysis in C++, 4th ed. by Mark Allen Weiss Pearson Education, Inc., 2014

Figure 4.33 Single rotation fixes case 4

Node A is unbalanced.

Single left rotation: A's right child C becomes the new root of the subtree.

Node A becomes the left child and adopts C's left child as its new right child.



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Balancing AVL Trees: Case 2

Case 2 (inside left-right):
 Rebalance with a double left-right rotation.

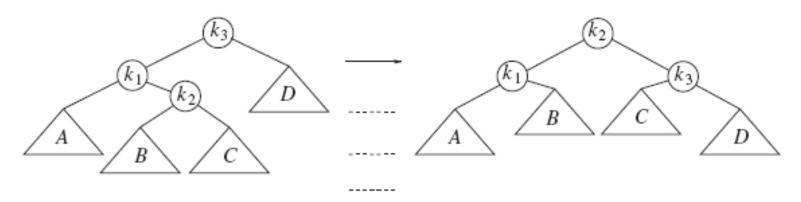
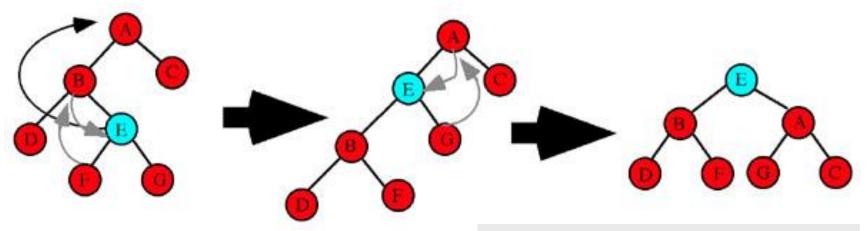


Figure 4.35 Left-right double rotation to fix case 2



Balancing AVL Trees: Case 2, cont'd

Case 2 (inside left-right):
 Rebalance with a <u>double left-right rotation</u>.



http://www.cs.uah.edu/~rcoleman/CS221/Trees/AVLTree.html

Node A is unbalanced.

Double left-right rotation: E becomes the new root of the subtree after two rotations. Step 1 is a <u>single left rotation</u> between B and E. E replaces B as the subtree root. B becomes E's left child and B adopts E's left child F as its new right child. Step 2 is a <u>single right rotation</u> between E and A. E replaces A is the subtree root. A becomes E's right child and A adopts E's right child G as its new left child.

Balancing AVL Trees: Case 3

Case 3 (inside right-left):
 Rebalance with a double right-left rotation.

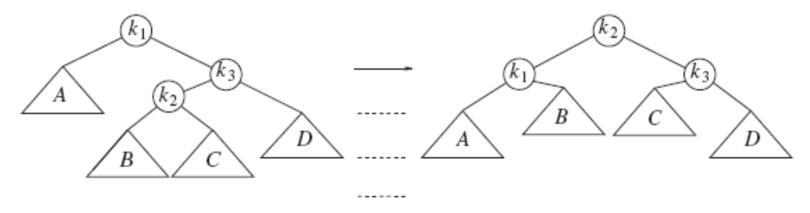
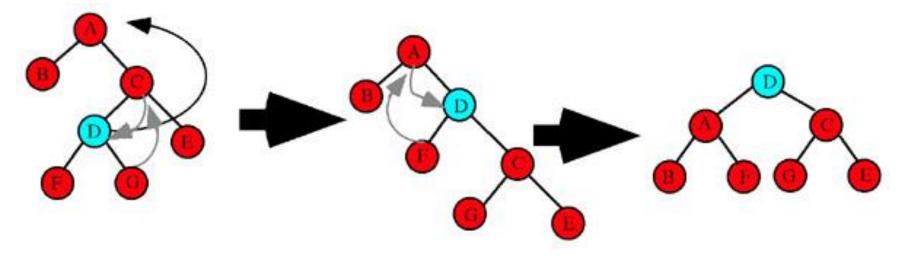


Figure 4.36 Right-left double rotation to fix case 3

Balancing AVL Trees: Case 3, cont'd

http://www.cs.uah.edu/~rcoleman/CS221/Trees/AVLTree.html

Case 3 (inside right-left):
 Rebalance with a double right-left rotation.



Node A is unbalanced.

Double right-left rotation: D becomes the new root of the subtree after two rotations. Step 1 is a <u>single right rotation</u> between C and C. D replaces C as the subtree root. C becomes D's right child and C adopts D's right child G as its new left child. Step 2 is a <u>single left rotation</u> between D and A. D replaces A is the subtree root. A becomes D's left child and A adopts D's left child F as its new right child.

AVL Tree Implementation

Since an AVL tree is just a BST with a balance condition, it makes sense to make the AVL tree class a subclass of the BST class.

```
template <class Comparable>
class AvlTree : public BinarySearchTree<Comparable>
```

 Both classes can share the same BinaryNode class.



The AVL Tree Node

With so many height calculations, it makes sense to store each node's height in the node itself.

```
template <class Comparable>
class BinaryNode
{
  public:
    BinaryNode(Comparable data);
    BinaryNode(const Comparable& data, BinaryNode *left, BinaryNode *right);
    virtual ~BinaryNode();

    Comparable data;
    int         height; // node height

    BinaryNode *left;
    BinaryNode *right;
};
```



- Class AVLTree overrides the insert() and remove() methods of class BinarySearchTree.
 - Each method calls the superclass's method and then passes the node to the balance() method.

```
template <class Comparable>
void AvlTree<Comparable>::insert(const Comparable& data, BinaryNode<Comparable>* &ptr)
{
    BinarySearchTree<Comparable>::insert(data, ptr);
    balance(ptr);
}
```

```
template <class Comparable>
void AvlTree<Comparable>::remove(const Comparable& data, BinaryNode<Comparable>* & ptr)
{
    BinarySearchTree<Comparable>::remove(data, ptr);
    balance(ptr);
}
```



The private AVLTree method balance () checks whether the balance condition still holds, and <u>rebalances the tree</u> with rotations whenever necessary.



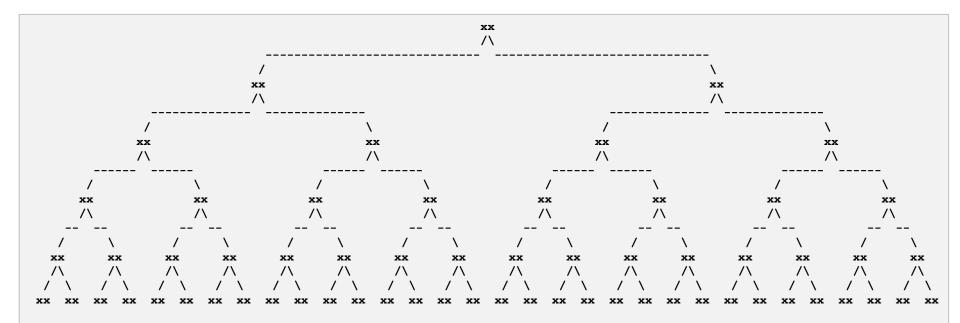
```
template <class Comparable>
BinaryNode<Comparable> *AvlTree<Comparable>::balance(BinaryNode<Comparable>* &ptr)
    if (ptr == nullptr) return ptr;
    // Left side too high.
    if (height(ptr->left) - height(ptr->right) > 1)
        if (height(ptr->left->left)
                >= height(ptr->left->right))
                                                  Case 1
            ptr = singleRightRotation(ptr);
            cout << " --- Single right rotation at "</pre>
                 << ptr->data << endl;
        }
        else
                                                  Case 2
            ptr = doubleLeftRightRotation(ptr);
                         --- Double left-right rotation at "
            cout << "
                 << ptr->data << endl;
```

```
// Right side too high.
else if (height(ptr->right) - height(ptr->left) > 1)
    if (height(ptr->right->right)
            >= height(ptr->right->left))
    {
                                                     Case 4
        ptr = singleLeftRotation(ptr);
        cout << " --- Single left rotation at "
             << ptr->data << endl;
    }
    else
                                                     Case 3
        ptr = doubleRightLeftRotation(ptr);
        cout << " --- Double right-left rotation at "</pre>
             << ptr->data << endl;
// Recompute the node's height.
node->height = (max(height(node->left),
                    height(node->right)) + 1);
return node;
```



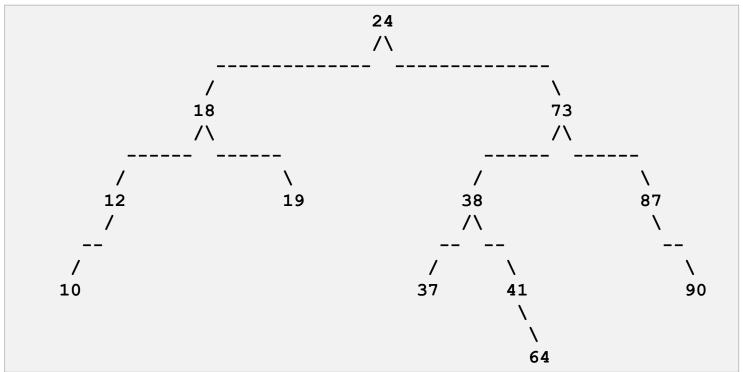
Assignment #13

- This assignment will give you practice with binary search trees (BST) and AVL trees.
- You are provided a TreePrinter class that has a print() method that will print any arbitrary binary tree.
 - A template for how it prints a tree:



Assignment #13, cont'd

- □ **TreePrinter** is able to print trees with height up to 5, *i.e.*, 32 node values on the bottom row.
 - An example of an actual printed tree:





Assignment #13: Part 1

□ The first part of the assignment makes sure that you can successfully insert nodes into, and delete nodes from, a binary search tree (BST) and an AVL tree.



- □ First <u>create a BST</u>, node by node.
 - You will be provided the sequence of values to insert into the tree.
 - Print the tree after each insertion.
 - The tree will be unbalanced.
- Now repeatedly delete the root of the tree.
 - Print the tree after each deletion.
 - Stop when the tree becomes empty.



- Second, create an AVL tree, node by node.
 - Insert the same given sequence of values.
 - Print the tree after each insertion to verify that you are keeping it balanced.
 - Each time you do a rebalancing, print a message indicating which rotation operation(s) at which node.
- As you did with the BST, <u>repeatedly delete the</u> <u>root</u> of your AVL tree.
 - Print the tree after each deletion to verify that you are keeping it balanced.



A handy AVL tree balance checker:

```
template <class Comparable>
int AvlTree<Comparable>::checkBalance(BinaryNode<Comparable> *ptr)
{
   if (ptr == nullptr) return -1;
   int leftHeight = checkBalance(ptr->left);
   int rightHeight = checkBalance(ptr->right);
   if ((abs(height(ptr->left) - height(ptr->right)) > 1)
        || (height(ptr->left) != leftHeight)
        || (height(ptr->right) != rightHeight))
       return -2; // unbalanced
   return height(ptr); // balanced
```



Assignment #13: Part 2

- □ The second part of the assignment <u>compares</u> the <u>performance</u> of a BST vs. an AVL tree.
- First, generate n random integers.
 - \blacksquare *n* is some large number, to be explained.
- Insert the random integers one at a time into the BST and AVL trees.



- For each tree, collect the following statistics:
 - Probe counts
 - A probe is whenever you visit a tree node, even if you don't do anything with the node other than use its left or right link to go to a child node.
 - Comparison counts
 - A comparison is a probe where you also check the node's value.
 - Elapsed time in milliseconds
- Do <u>not</u> print the tree after each insertion.
- Be sure to count probes and comparisons during AVL tree rotations.



- Second, generate another n random integer values.
- Search the BST and AVL trees for the values, one at a time.
 - Count probes and comparisons and compute elapsed times.
 - It doesn't matter whether or not a search succeeds



- Choose values of n large enough to give you consistent timings that you can compare.
 - Try values of n = 10,000 to 100,000 in increments of 10,000
 - Slower machines can use a different range of values for n.



- Print tables of these statistics for insertion and search with BST and AVL trees as commaseparated values.
- Use Excel to create the following graphs, each one containing plots for BST and AVL:
 - insertion probe counts
 - insertion compare counts
 - insertion elapsed time
 - search probe counts
 - search compare counts
 - search elapsed time



Assignment #13, cont'd

- Do Part 1 in CodeCheck.
 - CodeCheck will check your output.
- Do Part 2 outside of CodeCheck.
- □ You can use any code from the lectures or from the textbook or from the Web.
- Be sure to give <u>proper citations</u> if you use code that you didn't write yourself.
 - Names of books, URLs, etc.
 - Put the citations in your program comments.

