

Problem 2 (Hash Table Analysis, 25 %):

a) Insert 15 : $h(15) = 15 \% 7 = 1$ * Is position 1 empty? Yes.

↓

	15					
0	1	2	3	4	5	6

Load factor $\alpha = \frac{\# \text{ items in table}}{\text{table size}} = \frac{1}{7} < \frac{1}{2}$

Insert 22 : $h(22) = 22 \% 7 = 1$. Is position 1 empty? No. Is position $(1 + 1^2) \% 7 = 2$ empty? Yes.

↓ ↘

	15	22				
0	1	2	3	4	5	6

Load factor $\alpha = \frac{2}{7} < \frac{1}{2}$

Insert 36 : $h(36) = 36 \% 7 = 1$. Is position 1 empty? No. Is position 2 empty? No. Is position $(1 + 2^2) \% 7 = 5$ empty? Yes.

↓ ↘ ↘

	15	22			36	
0	1	2	3	4	5	6

Load factor $\alpha = \frac{3}{7} < \frac{1}{2}$

Remove 22 : $h(22) = 22 \% 7 = 1$. Is 22 at position 1? No. Is 22 at position 2? Yes.

↓ ↘

	15	R			36	
0	1	2	3	4	5	6

Load factor $\alpha = \frac{3}{7} < \frac{1}{2}$

Find 36 : $h(36) = 36 \% 7 = 1$. Is 36 at position 1? No. Is 36 at position 2? No. Is 36 at position 5? Yes.

↓ ↘ ↘ ↗

	15	R			36	
0	1	2	3	4	5	6

Load factor $\alpha = \frac{3}{7} < \frac{1}{2}$

Returned Found.

Insert 10 : $h(10) = 10 \% 7 = 3$. Is position 3 empty? Yes.

↓

	15	R	10		36	
0	1	2	3	4	5	6

Load factor $\alpha = \frac{4}{7} > \frac{1}{2} \therefore$ Resize to table size 11!

Insert 15 : $h(15) = 15 \% 11 = 4$. Is position 4 empty? Yes.

Insert 10 : $h(10) = 10 \% 11 = 10$. Is position 10 empty? Yes.

Insert 36 : $h(36) = 36 \% 11 = 3$. Is position 3 empty? Yes.

↓ ↓ ↓

			36	15						10
0	1	2	3	4	5	6	7	8	9	10

Load factor $\alpha = \frac{3}{11} < \frac{1}{2}$

b) Insert 15: $h_1(15) = 15 \% 7 = 1$, $h_2(15) = 3 - (15 \% 3) = 3$. Is position 1 empty? Yes.

0	1	2	3	4	5	6
	15					

Load factor $\alpha = \frac{\# \text{ items in table}}{\text{table size}} = \frac{1}{7} < \frac{1}{2}$

Insert 22: $h_1(22) = 22 \% 7 = 1$, $h_2(22) = 3 - (22 \% 3) = 2$. Is position 1 empty? No. position $[h_1(22) + 1 * h_2(22)] \% 7 = 3$ empty? Yes.

0	1	2	3	4	5	6
	15		22			

Load factor $\alpha = \frac{2}{7} < \frac{1}{2}$

Insert 36: $h_1(36) = 36 \% 7 = 1$, $h_2(36) = 3 - (36 \% 3) = 3$. Is position 1 empty? No. position $[h_1(36) + 1 * h_2(36)] \% 7 = 4$ empty? Yes.

0	1	2	3	4	5	6
	15		22	36		

Load factor $\alpha = \frac{3}{7} < \frac{1}{2}$

Remove 22: $h_1(22) = 22 \% 7 = 1$, $h_2(22) = 3 - (22 \% 3) = 2$. Is 22 at position 1? No. Is 22 at position $[h_1(22) + 1 * h_2(22)] \% 7 = 3$? Yes.

0	1	2	3	4	5	6
	15		R	36		

Load factor $\alpha = \frac{3}{7} < \frac{1}{2}$

Find 36: $h_1(36) = 36 \% 7 = 1$, $h_2(36) = 3 - (36 \% 3) = 3$. Is 36 at position 1? No. Is 36 at position $[h_1(36) + 1 * h_2(36)] \% 7 = 4$? Yes. Returned Found.

0	1	2	3	4	5	6
	15		R	36		

Load factor $\alpha = \frac{3}{7} < \frac{1}{2}$

Insert 10: $h_1(10) = 10 \% 7 = 3$, $h_2(10) = 3 - (10 \% 3) = 2$. Is position 3 empty? Yes.

0	1	2	3	4	5	6
	15		10	36		

Load factor $\alpha = \frac{4}{7} < \frac{1}{2}$

c) $P_r[\text{All } j=3 \text{ positions we are checking for a key } k \text{ are set to true even though it's not in the set}] = (\frac{2}{3})^3$

Let X be the total # of false positives out of 27. $X_i = \begin{cases} 1 & \text{if false positive} \\ 0 & \text{if not} \end{cases}$

$$E[X] = \sum_{i=1}^{27} E[X_i] = \sum_{i=1}^{27} \left(\binom{27}{i} \left(\frac{2}{3} \right)^i \left(1 - \frac{2}{3} \right)^{27-i} \right)$$

By Linearity of Expectations, $= 27 \times \left(\frac{2}{3} \right)^3$

Binomial Distribution

$= 8$
- We expect 8 false positives on average.

For an element to be a false positive, all 3 hash functions in the Bloom filter, which takes up $\frac{2}{3}$ of the Bloom filter.

Problem 3 (LRU Cache Implementation, 35%) Analysis:

1. Very Large Test - <https://norvig.com/big.txt> $\approx 1,088,424$ words

Large Test - Hamlet text $\approx 31,955$ words

Moderate Test 1 - 5,007 random words

Moderate Test 2 - 5,326 ^{Uniformly} short story (www.theshortstory.co.uk/wp-content/uploads/2016/02/short-stories-by-J-Goethe.pdf)

	4. Test Size	2. Cache Capacity	# Left Rotations	# Right Rotations	3. Total Rotations	5. Avg # of Rotations	6. # Cache Misses	
Very Large Test	1mil	100	3,731,439	3,566,933	7,298,372	6	969,027	
	1mil	1,000	3,863,081	3,707,557	7,570,638	6	916,663	
	1mil	10,000	4,913,872	4,763,738	9,677,610	8	646,147	
Hamlet Text	31k	100	115,641	111,096	226,737	7	28,873	
	31k	1,000	153,831	149,706	303,537	9	22,274	
	31k	10,000	219,124	217,745	436,869	13	0	
Mod. Test 1	5k	100	20,334	19,335	39,669	7	4,851	
	5k	1,000	41,976	41,431	83,407	16	3,643	
	5k	10,000	58,208	57,892	116,100	23	0	
Mod. Test 2	5k	100	20,934	20,197	41,131	7	4,219	
	5k	1,000	33,459	33,200	66,659	12	1,233	
	5k	10,000	32,902	32,775	65,677	12	0	

7. While the cache capacity increased by factors of 10 for both moderate-sized tests, the total # of rotations increased for the uniformly random data while it increased then decreased for the English text. There was a noticeable difference between the two tests.

Average # of rotations: Moderate Test 1 had increasingly higher average # of rotations as cache capacity increased compared to Moderate Test 2 which stayed constant even as cache capacity increased by a factor of 10.