

3. Consider a communication network among five sites with matrix

$$\begin{bmatrix} 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 \end{bmatrix}$$

- Can  $P_3$  get a message to  $P_5$  in at most two stages?
- What is the minimum number of stages that will guarantee that every site can get a message to any other different site?
- What is the minimum number of stages that will guarantee that every site can get a message to any site including itself?

A dictionary defines a clique as a small exclusive group of people. In studying organizational structures, we often find subsets of people in which any pair of individuals is related, and we borrow the word clique for such a subset. A clique in an influence digraph is a subset  $S$  of vertices such that

- $|S| \geq 3$ .
- If  $P_i$  and  $P_j$  are in  $S$ , then  $P_i$  influences  $P_j$  and  $P_j$  influences  $P_i$ .
- $S$  is the largest subset that satisfies (2).

4. Identify all cliques in the digraph in Figure 2.

If the digraph is small, cliques can be identified by inspection of the digraph. In general, it can be difficult to determine cliques using only the digraph. The algorithm CLIQUE identifies which vertices belong to cliques for an influence relation given by its matrix.

#### Algorithm CLIQUE

- If  $A = [a_{ij}]$  is the matrix of the influence relation, construct the matrix  $S = [s_{ij}]$  as follows:  $s_{ij} = s_{ji} = 1$  if and only if  $a_{ij} = a_{ji} = 1$ . Otherwise,  $s_{ij} = 0$ .
  - Compute  $S \odot S \odot S = C = [c_{ij}]$ .
  - $P_i$  belongs to a clique if and only if  $c_{ii}$  is positive.
5. Use CLIQUE and the matrix for the digraph in Figure 2 to determine which vertices belong to a clique. Verify that this is consistent with your results for Question 4 above. Explain why CLIQUE works.
6. Five people have been stationed on a remote island to operate a weather station. The following social interactions have been observed:
- $P_1$  gets along with  $P_2$ ,  $P_3$ , and  $P_4$ .
  - $P_2$  gets along with  $P_1$ ,  $P_3$ , and  $P_5$ .
  - $P_3$  gets along with  $P_1$ ,  $P_2$ , and  $P_4$ .
  - $P_4$  gets along with  $P_3$  and  $P_5$ .
  - $P_5$  gets along with  $P_4$ .
- Identify any cliques in this set of people.
7. Another application of cliques is in determining the chromatic number of a graph. (See Section 8.6.) Explain how knowing the cliques in a graph  $G$  can be used to find  $\chi(G)$ .

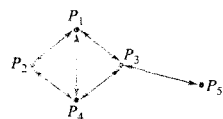


Figure 2

## CHAPTER

# 9

## Semigroups and Groups

Prerequisites: Chapters 4, 5, and 6

The notion of a mathematical structure was introduced in Section 1.6. In the following chapters, other types of mathematical systems were developed; some, such as (propositions,  $\wedge$ ,  $\vee$ ,  $\sim$ ), were not given specific names; but others, such as  $B_n$ , the Boolean algebra on  $n$  elements, were named. In this chapter, we identify more types of mathematical structures, namely, semigroups, groups, rings, and fields. Semigroups will be used in our study of finite state machines in Chapter 10. We also develop the basic ideas of groups, rings, and fields, which we will apply to coding theory in Chapter 11.

### LOOKING BACK

The term *group* was applied by Evariste Galois (1811–1832) in 1830 to a set of permutations of a finite set that satisfies certain properties. Galois was born and died in Paris. He was educated by his mother at home until the age of 12. He attended a prestigious lycée in Paris and by the age of 16 became fully absorbed in the study of mathematics, even neglecting other subjects. He failed in his two tries at admission to the highly regarded École Polytechnique and enrolled in the École Normale, a lesser institution of higher learning. In his first year there he published four papers. Three additional papers that he wrote shortly thereafter were lost by the distinguished mathematicians that he had asked to present his papers to the Academy of Sciences. In 1831, Galois wrote another paper carefully presenting the results of his research. This paper was rejected as being “incomprehensible.” During the 1830 revolution, Galois criticized the director of his school and was expelled. He also spent some time in jail because of his political activities. On May 30, 1832 he was mortally wounded in a duel and died the next day at the age of 20. Before his duel, Galois left a letter to a friend detailing the results of his research. His results were so advanced for his time that a full exposition of this work did not appear until 1870.

## LOOKING BACK (Continued)

We are all familiar with the quadratic formula for the roots of a quadratic polynomial. It uses arithmetic operations and radicals, and so a quadratic is said to be solvable by radicals. Similar formulas for the roots of a cubic and fourth-degree polynomial in terms of their coefficients were discovered in the 1500s. For the next 300 years, mathematicians tried, unsuccessfully, to solve the general fifth-degree polynomial by radicals. The Norwegian mathematician Niels Henrik Abel (1802–1829) showed at the age of 19 that the general polynomial of degree 5 or higher cannot be solved by radicals. Since many special polynomials of degree 5 or higher can be solved by radicals, it became important to determine which polynomials have this property. Galois characterized polynomials that are solvable by radicals by studying the properties of a group (now called a *Galois group*) that is associated with the polynomial.



Evariste Galois

## 9.1 Binary Operations Revisited

We defined binary operations earlier (see Section 1.6) and noted in Section 5.2 that a binary operation may be used to define a function. Here we turn the process around and define a binary operation as a function with certain properties.

A **binary operation on a set  $A$**  is an everywhere defined function  $f: A \times A \rightarrow A$ . Observe the following properties that a binary operation must satisfy:

1. Since  $\text{Dom}(f) = A \times A$ ,  $f$  assigns an element  $f(a, b)$  of  $A$  to each ordered pair  $(a, b)$  in  $A \times A$ . That is, the binary operation must be defined for each ordered pair of elements of  $A$ .
2. Since a binary operation is a function, only one element of  $A$  is assigned to each ordered pair.

Thus we can say that a binary operation is a rule that assigns to each ordered pair of elements of  $A$  a unique element of  $A$ . The reader should note that this definition is more restrictive than that given in Chapter 1, but we have made the change to simplify the discussion in this chapter. We shall now turn to a number of examples.

It is customary to denote binary operations by a symbol such as  $*$ , instead of  $f$ , and to denote the element assigned to  $(a, b)$  by  $a * b$  [instead of  $f(a, b)$ ]. It should be emphasized that if  $a$  and  $b$  are elements in  $A$ , then  $a * b \in A$ , and this property is often described by saying that  $A$  is **closed** under the operation  $*$ .

## EXAMPLE 1

Let  $A = \mathbb{Z}$ . Define  $a * b$  as  $a + b$ . Then  $*$  is a binary operation on  $\mathbb{Z}$ . ■

## EXAMPLE 2

Let  $A = \mathbb{R}$ . Define  $a * b$  as  $a/b$ . Then  $*$  is not a binary operation, since it is not defined for every ordered pair of elements of  $A$ . For example,  $3 * 0$  is not defined, since we cannot divide by zero. ■

## EXAMPLE 3

Let  $A = \mathbb{Z}^+$ . Define  $a * b$  as  $a - b$ . Then  $*$  is not a binary operation since it does not assign an element of  $A$  to every ordered pair of elements of  $A$ ; for example,  $2 * 5 \notin A$ . ■

## EXAMPLE 4

Let  $A = \mathbb{Z}$ . Define  $a * b$  as a number less than both  $a$  and  $b$ . Then  $*$  is not a binary operation, since it does not assign a *unique* element of  $A$  to each ordered pair of elements of  $A$ ; for example,  $8 * 6$  could be 5, 4, 3, 1, and so on. Thus, in this case,  $*$  would be a relation from  $A \times A$  to  $A$ , but not a function. ■

## EXAMPLE 5

Let  $A = \mathbb{Z}$ . Define  $a * b$  as  $\max\{a, b\}$ . Then  $*$  is a binary operation; for example,  $2 * 4 = 4$ ,  $-3 * (-5) = -3$ . ■

## EXAMPLE 6

Let  $A = P(S)$ , for some set  $S$ . If  $V$  and  $W$  are subsets of  $S$ , define  $V * W$  as  $V \cup W$ . Then  $*$  is a binary operation on  $A$ . Moreover, if we define  $V *' W$  as  $V \cap W$ , then  $'$  is another binary operation on  $A$ . ■

As Example 6 shows, it is possible to define many binary operations on the same set.

## EXAMPLE 7

Let  $M$  be the set of all  $n \times n$  Boolean matrices for a fixed  $n$ . Define  $A * B$  as  $A \vee B$  (see Section 1.5). Then  $*$  is a binary operation. This is also true of  $A \wedge B$ . ■

## EXAMPLE 8

Let  $L$  be a lattice. Define  $a * b$  as  $a \wedge b$  (the greatest lower bound of  $a$  and  $b$ ). Then  $*$  is a binary operation on  $L$ . This is also true of  $a \vee b$  (the least upper bound of  $a$  and  $b$ ). ■

## ■ Tables

If  $A = \{a_1, a_2, \dots, a_n\}$  is a finite set, we can define a binary operation on  $A$  by means of a table as shown in Figure 9.1. The entry in position  $i, j$  denotes the element  $a_i * a_j$ .

$*$	$a_1$	$a_2$	$\dots$	$a_j$	$\dots$	$a_n$
$a_1$						
$a_2$						
$\vdots$						
$a_i$				$a_i * a_j$		
$\vdots$						
$a_n$						

Figure 9.1

## EXAMPLE 9

Let  $A = \{0, 1\}$ . We define binary operations  $\vee$  and  $\wedge$  by the following tables:

$\vee$	0	1
0	0	1
1	1	1

$\wedge$	0	1
0	0	0
1	0	1

For  $A = \{a, b\}$ , we shall determine the number of binary operations that can be defined on  $A$ . Every binary operation  $*$  on  $A$  can be described by a table

$*$	$a$	$b$
$a$		
$b$		

Since every blank can be filled in with the element  $a$  or  $b$ , we conclude that there are  $2 \cdot 2 \cdot 2 \cdot 2 = 2^4$  or 16 ways to complete the table. Thus, there are 16 binary operations on  $A$ .

### Properties of Binary Operations

Several of the properties defined for binary operations in Section 1.6 are of particular importance in this chapter. We repeat them here.

A binary operation on a set  $A$  is said to be **commutative** if

$$a * b = b * a$$

for all elements  $a$  and  $b$  in  $A$ .

## EXAMPLE 10

The binary operation of addition on  $\mathbb{Z}$  (as discussed in Example 1) is commutative. ■

## EXAMPLE 11

The binary operation of subtraction on  $\mathbb{Z}$  is not commutative, since

$$2 - 3 \neq 3 - 2.$$

A binary operation that is described by a table is commutative if and only if the entries in the table are symmetric with respect to the main diagonal.

## EXAMPLE 12

Which of the following binary operations on  $A = \{a, b, c, d\}$  are commutative?

$*$	$a$	$b$	$c$	$d$
$a$	$a$	$c$	$b$	$d$
$b$	$b$	$c$	$b$	$a$
$c$	$c$	$d$	$b$	$c$
$d$	$a$	$a$	$b$	$b$

(a)

$*$	$a$	$b$	$c$	$d$
$a$	$a$	$c$	$b$	$d$
$b$	$c$	$d$	$b$	$a$
$c$	$b$	$b$	$a$	$c$
$d$	$d$	$a$	$c$	$d$

(b)

### Solution

The operation in (a) is not commutative, since  $a * b$  is  $c$  while  $b * a$  is  $b$ . The operation in (b) is commutative, since the entries in the table are symmetric with respect to the main diagonal. ■

A binary operation  $*$  on a set  $A$  is said to be **associative** if

$$a * (b * c) = (a * b) * c$$

for all elements  $a, b$ , and  $c$  in  $A$ .

## EXAMPLE 13

The binary operation of addition on  $\mathbb{Z}$  is associative. ■

## EXAMPLE 14

The binary operation of subtraction on  $\mathbb{Z}$  is not associative, since

$$2 - (3 - 5) \neq (2 - 3) - 5.$$

## EXAMPLE 15

Let  $L$  be a lattice. The binary operation defined by  $a * b = a \wedge b$  (see Example 8) is commutative and associative. It also satisfies the **idempotent** property  $a \wedge a = a$ . A partial converse of this example is also true, as shown in Example 16. ■

## EXAMPLE 16

Let  $*$  be a binary operation on a set  $A$ , and suppose that  $*$  satisfies the following properties for any  $a, b$ , and  $c$  in  $A$ .

1.  $a = a * a$  Idempotent property
2.  $a * b = b * a$  Commutative property
3.  $a * (b * c) = (a * b) * c$  Associative property

Define a relation  $\leq$  on  $A$  by

$$a \leq b \text{ if and only if } a = a * b.$$

Show that  $(A, \leq)$  is a poset, and for all  $a, b$  in  $A$ ,  $\text{GLB}(a, b) = a * b$ .

### Solution

We must show that  $\leq$  is reflexive, antisymmetric, and transitive. Since  $a = a * a$ ,  $a \leq a$  for all  $a$  in  $A$ , and  $\leq$  is reflexive.

Now suppose that  $a \leq b$  and  $b \leq a$ . Then, by definition and property 2,  $a = a * b = b * a = b$ , so  $a = b$ . Thus  $\leq$  is antisymmetric.

If  $a \leq b$  and  $b \leq c$ , then  $a = a * b = a * (b * c) = (a * b) * c = a * c$ , so  $a \leq c$  and  $\leq$  is transitive.

Finally, we must show that, for all  $a$  and  $b$  in  $A$ ,  $a * b = a \wedge b$  (the greatest lower bound of  $a$  and  $b$  with respect to  $\leq$ ). We have  $a * b = a * (b * b) = (a * b) * b$ , so  $a * b \leq b$ . In a similar way, we can show that  $a * b \leq a$ , so  $a * b$  is a lower bound for  $a$  and  $b$ . Now, if  $c \leq a$  and  $c \leq b$ , then  $c = c * a$  and  $c = c * b$  by definition. Thus  $c = (c * a) * b = c * (a * b)$ , so  $c \leq a * b$ . This shows that  $a * b$  is the greatest lower bound of  $a$  and  $b$ . ■

## 9.1 Exercises

In Exercises 1 through 8, determine whether the description of  $*$  is a valid definition of a binary operation on the set.

1. On  $\mathbb{R}$ , where  $a * b$  is  $ab$  (ordinary multiplication).
2. On  $\mathbb{Z}^+$ , where  $a * b$  is  $a/b$ .
3. On  $\mathbb{Z}$ , where  $a * b$  is  $a^b$ .
4. On  $\mathbb{Z}^+$ , where  $a * b$  is  $a^b$ .
5. On  $\mathbb{Z}^+$ , where  $a * b$  is  $a - b$ .
6. On  $\mathbb{R}$ , where  $a * b$  is  $a\sqrt{b}$ .
7. On  $\mathbb{R}$ , where  $a * b$  is the largest rational number that is less than  $ab$ .
8. On  $\mathbb{Z}$ , where  $a * b$  is  $2a + b$ .

In Exercises 9 through 19, determine whether the binary operation  $*$  is commutative and whether it is associative on the set.

9. On  $\mathbb{Z}^+$ , where  $a * b$  is  $a + b + 2$ .
10. On  $\mathbb{Z}$ , where  $a * b$  is  $ab$ .
11. On  $\mathbb{R}$ , where  $a * b$  is  $a \times |b|$ .
12. On the set of nonzero real numbers, where  $a * b$  is  $a/b$ .
13. On  $\mathbb{R}$ , where  $a * b$  is the minimum of  $a$  and  $b$ .
14. On the set of  $n \times n$  Boolean matrices, where  $A * B$  is  $A \odot B$  (see Section 1.5).
15. On  $\mathbb{R}$ , where  $a * b$  is  $ab/3$ .
16. On  $\mathbb{R}$ , where  $a * b$  is  $ab + 2b$ .

17. On a lattice  $A$ , where  $a * b$  is  $a \vee b$ .  
 18. On the set of  $2 \times 1$  matrices, where

$$\begin{bmatrix} a \\ b \end{bmatrix} * \begin{bmatrix} c \\ d \end{bmatrix} = \begin{bmatrix} a+c \\ b+d+1 \end{bmatrix}.$$

19. On the set of rational numbers, where  $a * b = \frac{a+b}{2}$ .  
 20. Prove or disprove that the binary operation on  $\mathbb{Z}^+$  of  $a * b = \text{GCD}(a, b)$  has the idempotent property.  
 21. Prove or disprove that the binary operation in Exercise 19 has the idempotent property.  
 22. Fill in the following table so that the binary operation  $*$  is commutative.

$*$	$a$	$b$	$c$
$a$			
$b$			
$c$			

23. Fill in the following table so that the binary operation  $*$  is commutative and has the idempotent property.

$*$	$a$	$b$	$c$
$a$			
$b$			
$c$			

24. Consider the binary operation  $*$  defined on the set  $A = \{a, b, c\}$  by the following table.

$*$	$a$	$b$	$c$
$a$			
$b$			
$c$			

- (a) Is  $*$  a commutative operation?  
 (b) Compute  $a * (b * c)$  and  $(a * b) * c$ .  
 (c) Is  $*$  an associative operation?  
 25. Consider the binary operation  $*$  defined on the set  $A = \{a, b, c, d\}$  by the following table.

$*$	$a$	$b$	$c$	$d$
$a$				
$b$				
$c$				
$d$				

## 9.2 Semigroups

In this section we define a simple mathematical structure, consisting of a set together with a binary operation, that has many important applications.

A **semigroup** is a nonempty set  $S$  together with an associative binary operation  $*$  defined on  $S$ . We shall denote the semigroup by  $(S, *)$  or, when it is clear what the operation  $*$  is, simply by  $S$ . We also refer to  $a * b$  as the **product** of  $a$  and  $b$ . The semigroup  $(S, *)$  is said to be commutative if  $*$  is a commutative operation.

### EXAMPLE 1

It follows from Section 9.1 that  $(\mathbb{Z}, +)$  is a commutative semigroup.

Compute

- (a)  $c * d$  and  $d * c$ . (b)  $b * d$  and  $d * b$ .  
 (c)  $a * (b * c)$  and  $(a * b) * c$ .  
 (d) Is  $*$  commutative? associative?

In Exercises 26 and 27, complete the given table so that the binary operation  $*$  is associative.

26.

$*$	$a$	$b$	$c$	$d$
$a$				
$b$				
$c$				
$d$				

27.

$*$	$a$	$b$	$c$	$d$
$a$				
$b$				
$c$				
$d$				

28. Let  $A$  be a set with  $n$  elements. How many binary operations can be defined on  $A$ ?  
 29. Let  $A$  be a set with  $n$  elements. How many commutative binary operations can be defined on  $A$ ?  
 30. Let  $A = \{a, b\}$ .  
 (a) Make a table for each of the 16 binary operations that can be defined on  $A$ .  
 (b) Using part (a), identify the binary operations on  $A$  that are commutative.  
 31. Let  $A = \{a, b\}$ .  
 (a) Using Exercise 30, identify the binary operations on  $A$  that are associative.  
 (b) Using Exercise 30, identify the binary operations on  $A$  that satisfy the idempotent property.  
 32. Let  $*$  be a binary operation on a set  $A$ , and suppose that  $*$  satisfies the idempotent, commutative, and associative properties, as discussed in Example 16. Define a relation  $\leq$  on  $A$  by  $a \leq b$  if and only if  $b = a * b$ . Show that  $(A, \leq)$  is a poset and, for all  $a$  and  $b$ ,  $\text{LUB}(a, b) = a * b$ .  
 33. Describe how the definition of a binary operation on a set  $A$  is different from the definition of a binary operation given in Section 1.6. Explain also whether a binary operation on a set is or is not a binary operation according to the earlier definition.  
 34. Define a binary operation on a set  $S$  by  $a * b = b$ . Is  $*$  associative? commutative? idempotent?

The set  $P(S)$ , where  $S$  is a set, together with the operation of union is a commutative semigroup.

The set  $\mathbb{Z}$  with the binary operation of subtraction is not a semigroup, since subtraction is not associative.

Let  $S$  be a fixed nonempty set, and let  $S^S$  be the set of all functions  $f: S \rightarrow S$ . If  $f$  and  $g$  are elements of  $S^S$ , we define  $f * g$  as  $f \circ g$ , the composite function. Then  $*$  is a binary operation on  $S^S$ , and it follows from Section 4.7 that  $*$  is associative. Hence  $(S^S, *)$  is a semigroup. The semigroup  $S^S$  is not commutative.

Let  $(L, \leq)$  be a lattice. Define a binary operation on  $L$  by  $a * b = a \vee b$ . Then  $L$  is a semigroup.

Let  $A = \{a_1, a_2, \dots, a_n\}$  be a nonempty set. Recall from Section 1.3 that  $A^*$  is the set of all finite sequences of elements of  $A$ . That is,  $A^*$  consists of all words that can be formed from the alphabet  $A$ . Let  $\alpha$  and  $\beta$  be elements of  $A^*$ . Observe that concatenation is a binary operation  $\cdot$  on  $A^*$ . Recall that if  $\alpha = a_1 a_2 \dots a_n$  and  $\beta = b_1 b_2 \dots b_k$ , then  $\alpha \cdot \beta = a_1 a_2 \dots a_n b_1 b_2 \dots b_k$ . It is easy to see that if  $\alpha, \beta$ , and  $\gamma$  are any elements of  $A^*$ , then

$$\alpha \cdot (\beta \cdot \gamma) = (\alpha \cdot \beta) \cdot \gamma$$

so that  $\cdot$  is an associative binary operation, and  $(A^*, \cdot)$  is a semigroup. The semigroup  $(A^*, \cdot)$  is called the **free semigroup generated by  $A$** .

In a semigroup  $(S, *)$  we can establish the following generalization of the associative property; we omit the proof.

### Theorem 1

If  $a_1, a_2, \dots, a_n, n \geq 3$ , are arbitrary elements of a semigroup, then all products of the elements  $a_1, a_2, \dots, a_n$  that can be formed by inserting meaningful parentheses arbitrarily are equal.

Theorem 1 shows that the products

$$((a_1 * a_2) * a_3) * a_4, \quad a_1 * (a_2 * (a_3 * a_4)), \quad (a_1 * (a_2 * a_3)) * a_4$$

are all equal.

If  $a_1, a_2, \dots, a_n$  are elements in a semigroup  $(S, *)$ , we shall write their product as

$$a_1 * a_2 * \dots * a_n,$$

omitting the parentheses.

An element  $e$  in a semigroup  $(S, *)$  is called an **identity element** if

$$e * a = a * e = a$$

for all  $a \in S$ . As shown by Theorem 1, Section 1.6, an identity element must be unique.

The number 0 is an identity in the semigroup  $(\mathbb{Z}, +)$ .

The semigroup  $(\mathbb{Z}^+, +)$  has no identity element.

A **monoid** is a semigroup  $(S, *)$  that has an identity.

**EXAMPLE 10**

The semigroup  $P(S)$  defined in Example 2 has the identity  $\emptyset$ , since

$$\emptyset * A = \emptyset \cup A = A = A \cup \emptyset = A * \emptyset$$

for any element  $A \in P(S)$ . Hence  $P(S)$  is a monoid. ■

**EXAMPLE 11**

The semigroup  $S^S$  defined in Example 4 has the identity  $1_S$ , since

$$1_S * f = 1_S \circ f = f \circ 1_S = f * 1_S$$

for any element  $f \in S^S$ , we see that  $S^S$  is a monoid. ■

**EXAMPLE 12**

The semigroup  $A^*$  defined in Example 6 is actually a monoid with identity  $\Lambda$ , the empty sequence, since  $\alpha * \Lambda = \Lambda * \alpha = \alpha$  for all  $\alpha \in A^*$ . ■

**EXAMPLE 13**

The set of all relations on a set  $A$  is a monoid under the operation of composition. The identity element is the equality relation  $\Delta$  (see Section 4.7). ■

Let  $(S, *)$  be a semigroup and let  $T$  be a subset of  $S$ . If  $T$  is closed under the operation  $*$  (that is,  $a * b \in T$  whenever  $a$  and  $b$  are elements of  $T$ ), then  $(T, *)$  is called a **subsemigroup** of  $(S, *)$ . Similarly, let  $(S, *)$  be a monoid with identity  $e$ , and let  $T$  be a nonempty subset of  $S$ . If  $T$  is closed under the operation  $*$  and  $e \in T$ , then  $(T, *)$  is called a **submonoid** of  $(S, *)$ .

Observe that the associative property holds in any subset of a semigroup so that a subsemigroup  $(T, *)$  of a semigroup  $(S, *)$  is itself a semigroup. Similarly, a submonoid of a monoid is itself a monoid.

**EXAMPLE 14**

If  $T$  is the set of all even integers, then  $(T, \times)$  is a subsemigroup of the monoid  $(\mathbb{Z}, \times)$ , where  $\times$  is ordinary multiplication, but it is not a submonoid since the identity of  $\mathbb{Z}$ , the number 1, does not belong to  $T$ . ■

**EXAMPLE 15**

If  $(S, *)$  is a semigroup, then  $(S, *)$  is a subsemigroup of  $(S, *)$ . Similarly, let  $(S, *)$  be a monoid. Then  $(S, *)$  is a submonoid of  $(S, *)$ , and if  $T = \{e\}$ , then  $(T, *)$  is also a submonoid of  $(S, *)$ . ■

Suppose that  $(S, *)$  is a semigroup, and let  $a \in S$ . For  $n \in \mathbb{Z}^+$ , we define the powers of  $a^n$  recursively as follows:

$$a^1 = a, \quad a^n = a^{n-1} * a, \quad n \geq 2.$$

Moreover, if  $(S, *)$  is a monoid, we also define

$$a^0 = e.$$

It can be shown that if  $m$  and  $n$  are nonnegative integers, then

$$a^m * a^n = a^{m+n}.$$

**EXAMPLE 16**

(a) If  $(S, *)$  is a semigroup,  $a \in S$ , and

$$T = \{a^i \mid i \in \mathbb{Z}^+\},$$

then  $(T, *)$  is a subsemigroup of  $(S, *)$ .

(b) If  $(S, *)$  is a monoid,  $a \in S$ , and

$$T = \{a^i \mid i \in \mathbb{Z}^+ \text{ or } i = 0\},$$

then  $(T, *)$  is a submonoid of  $(S, *)$ . ■

**Isomorphism and Homomorphism**

An isomorphism between two posets was defined in Section 6.1 as a one-to-one correspondence that preserved order relations, the distinguishing feature of posets. We now define an isomorphism between two semigroups as a one-to-one correspondence that preserves the binary operations. In general, an isomorphism between two mathematical structures of the same type should preserve the distinguishing features of the structures.

Let  $(S, *)$  and  $(T, \circ)$  be two semigroups. A function  $f: S \rightarrow T$  is called an **isomorphism** from  $(S, *)$  to  $(T, \circ)$  if it is a one-to-one correspondence from  $S$  to  $T$ , and if

$$f(a * b) = f(a) \circ f(b)$$

for all  $a$  and  $b$  in  $S$ .

If  $f$  is an isomorphism from  $(S, *)$  to  $(T, \circ)$ , then, since  $f$  is a one-to-one correspondence, it follows from Theorem 1 of Section 5.1 that  $f^{-1}$  exists and is a one-to-one correspondence from  $T$  to  $S$ . We now show that  $f^{-1}$  is an isomorphism from  $(T, \circ)$  to  $(S, *)$ . Let  $a'$  and  $b'$  be any elements of  $T$ . Since  $f$  is onto, we can find elements  $a$  and  $b$  in  $S$  such that  $f(a) = a'$  and  $f(b) = b'$ . Then  $a = f^{-1}(a')$  and  $b = f^{-1}(b')$ . Now

$$\begin{aligned} f^{-1}(a' \circ b') &= f^{-1}(f(a) \circ f(b)) \\ &= f^{-1}(f(a * b)) \\ &= (f^{-1} \circ f)(a * b) \\ &= a * b = f^{-1}(a') * f^{-1}(b'). \end{aligned}$$

Hence  $f^{-1}$  is an isomorphism.

We now say that the semigroups  $(S, *)$  and  $(T, \circ)$  are **isomorphic** and we write  $S \simeq T$ .

To show that two semigroups  $(S, *)$  and  $(T, \circ)$  are isomorphic, we use the following procedure:

**Step 1:** Define a function  $f: S \rightarrow T$  with  $\text{Dom}(f) = S$ .

**Step 2:** Show that  $f$  is one-to-one.

**Step 3:** Show that  $f$  is onto.

**Step 4:** Show that  $f(a * b) = f(a) \circ f(b)$ .

Let  $T$  be the set of all even integers. Show that the semigroups  $(\mathbb{Z}, +)$  and  $(T, +)$  are isomorphic.

**Solution**

**Step 1:** We define the function  $f: \mathbb{Z} \rightarrow T$  by  $f(a) = 2a$ .

**Step 2:** We now show that  $f$  is one-to-one as follows. Suppose that  $f(a_1) = f(a_2)$ . Then  $2a_1 = 2a_2$ , so  $a_1 = a_2$ . Hence  $f$  is one-to-one.

**Step 3:** We next show that  $f$  is onto. Suppose that  $b$  is any even integer. Then  $a = b/2 \in \mathbb{Z}$  and

$$f(a) = f(b/2) = 2(b/2) = b,$$

so  $f$  is onto.

**Step 4:** We have

$$f(a + b) = 2(a + b) = 2a + 2b = f(a) + f(b).$$

Hence  $(\mathbb{Z}, +)$  and  $(T, +)$  are isomorphic semigroups. ■

In general, it is rather straightforward to verify that a given function  $f: S \rightarrow T$  is or is not an isomorphism. However, it is generally more difficult to show that two semigroups are isomorphic, because one has to create the isomorphism  $f$ .

As in the case of poset or lattice isomorphisms, when two semigroups  $(S, *)$  and  $(T, *')$  are isomorphic, they can differ only in the nature of their elements; their semigroup structures are identical. If  $S$  and  $T$  are finite semigroups, their respective binary operations can be given by tables. Then  $S$  and  $T$  are isomorphic if we can rearrange and relabel the elements of  $S$  so that its table is identical with that of  $T$ .

**EXAMPLE 18**

Let  $S = \{a, b, c\}$  and  $T = \{x, y, z\}$ . It is easy to verify that the following operation tables give semigroup structures for  $S$  and  $T$ , respectively.

$*$	$a$	$b$	$c$
$a$	$a$	$b$	$c$
$b$	$b$	$c$	$a$
$c$	$c$	$a$	$b$

$*$	$x$	$y$	$z$
$x$	$x$	$x$	$y$
$y$	$x$	$y$	$z$
$z$	$y$	$z$	$x$

Let

$$\begin{aligned} f(a) &= y \\ f(b) &= x \\ f(c) &= z. \end{aligned}$$

Replacing the elements in  $S$  by their images and rearranging the table, we obtain exactly the table for  $T$ . Thus  $S$  and  $T$  are isomorphic. ■

**Theorem 2** Let  $(S, *)$  and  $(T, *')$  be monoids with identities  $e$  and  $e'$ , respectively. Let  $f: S \rightarrow T$  be an isomorphism. Then  $f(e) = e'$ .

**Proof**

Let  $b$  be any element of  $T$ . Since  $f$  is onto, there is an element  $a$  in  $S$  such that  $f(a) = b$ . Then

$$\begin{aligned} a &= a * e \\ b &= f(a) = f(a * e) = f(a) *' f(e) \\ &= b *' f(e). \end{aligned}$$

Similarly, since  $a = e * a$ ,  $b = f(e) *' b$ . Thus for any  $b \in T$ ,

$$b = b *' f(e) = f(e) *' b,$$

which means that  $f(e)$  is an identity for  $T$ . Thus since the identity is unique, it follows that  $f(e) = e'$ . ■

If  $(S, *)$  and  $(T, *')$  are semigroups such that  $S$  has an identity and  $T$  does not, it then follows from Theorem 2 that  $(S, *)$  and  $(T, *')$  cannot be isomorphic.

**EXAMPLE 19**

Let  $T$  be the set of all even integers and let  $\times$  be ordinary multiplication. Then the semigroups  $(\mathbb{Z}, \times)$  and  $(T, \times)$  are not isomorphic, since  $\mathbb{Z}$  has an identity and  $T$  does not. ■

By dropping the conditions of one to one and onto in the definition of an isomorphism of two semigroups, we get another important method for comparing the algebraic structures of the two semigroups.

Let  $(S, *)$  and  $(T, *')$  be two semigroups. An everywhere-defined function  $f: S \rightarrow T$  is called a **homomorphism** from  $(S, *)$  to  $(T, *')$  if

$$f(a * b) = f(a) *' f(b)$$

for all  $a$  and  $b$  in  $S$ . If  $f$  is also onto, we say that  $T$  is a **homomorphic image** of  $S$ .

Let  $A = \{0, 1\}$  and consider the semigroups  $(A^*, \cdot)$  and  $(A, +)$ , where  $\cdot$  is the concatenation operation and  $+$  is defined by the table

$+$	$0$	$1$
$0$	$0$	$1$
$1$	$1$	$0$

Define the function  $f: A^* \rightarrow A$  by

$$f(\alpha) = \begin{cases} 1 & \text{if } \alpha \text{ has an odd number of 1's} \\ 0 & \text{if } \alpha \text{ has an even number of 1's.} \end{cases}$$

It is easy to verify that if  $\alpha$  and  $\beta$  are any elements of  $A^*$ , then

$$f(\alpha \cdot \beta) = f(\alpha) + f(\beta).$$

Thus  $f$  is a homomorphism. The function  $f$  is onto since

$$\begin{aligned} f(0) &= 0 \\ f(1) &= 1 \end{aligned}$$

but  $f$  is not an isomorphism, since it is not one to one. ■

The difference between an isomorphism and a homomorphism is that an isomorphism must be one to one and onto. For both an isomorphism and a homomorphism, the image of a product is the product of the images.

The proof of the following theorem, which is left as an exercise for the reader, is completely analogous to the proof of Theorem 2.

**Theorem 3** Let  $(S, *)$  and  $(T, *')$  be monoids with identities  $e$  and  $e'$ , respectively. Let  $f: S \rightarrow T$  be a homomorphism from  $(S, *)$  onto  $(T, *')$ . Then  $f(e) = e'$ . ■

Theorem 3 is a stronger, or more general, statement than Theorem 2, because it requires fewer (weaker) conditions for the conclusion.

Theorem 3, together with the following two theorems, shows that, if a semigroup  $(T, *')$  is a homomorphic image of the semigroup  $(S, *)$ , then  $(T, *')$  has a strong algebraic resemblance to  $(S, *)$ .

**Theorem 4** Let  $f$  be a homomorphism from a semigroup  $(S, *)$  to a semigroup  $(T, *')$ . If  $S'$  is a subsemigroup of  $(S, *)$ , then

$$f(S') = \{t \in T \mid t = f(s) \text{ for some } s \in S'\},$$

the image of  $S'$  under  $f$ , is a subsemigroup of  $(T, *')$ .

**Proof**

If  $t_1$  and  $t_2$  are any elements of  $f(S')$ , then there exist  $s_1$  and  $s_2$  in  $S'$  with

$$t_1 = f(s_1) \quad \text{and} \quad t_2 = f(s_2).$$

Then

$$t_1 *' t_2 = f(s_1) *' f(s_2) = f(s_1 * s_2) = f(s_3),$$

where  $s_3 = s_1 * s_2 \in S'$ . Hence  $t_1 *' t_2 \in f(S')$ .

Thus  $f(S')$  is closed under the operation  $*'$ . Since the associative property holds in  $T$ , it holds in  $f(S')$ , so  $f(S')$  is a subsemigroup of  $(T, *)$ . ■

**Theorem 5** If  $f$  is a homomorphism from a commutative semigroup  $(S, *)$  onto a semigroup  $(T, *')$ , then  $(T, *')$  is also commutative.

**Proof**

Let  $t_1$  and  $t_2$  be any elements of  $T$ . Then there exist  $s_1$  and  $s_2$  in  $S$  with

$$t_1 = f(s_1) \quad \text{and} \quad t_2 = f(s_2).$$

Therefore,

$$t_1 *' t_2 = f(s_1) *' f(s_2) = f(s_1 * s_2) = f(s_2 * s_1) = f(s_2) *' f(s_1) = t_2 *' t_1.$$

Hence  $(T, *')$  is also commutative. ■

## 9.2 Exercises

1. Let  $A = \{a, b\}$ . Which of the following tables define a semigroup on  $A$ ? Which define a monoid on  $A$ ?

(a)	$\begin{array}{c cc} * & a & b \\ \hline a & a & b \\ b & a & a \end{array}$	(b)	$\begin{array}{c cc} * & a & b \\ \hline a & a & b \\ b & b & a \end{array}$
-----	--	-----	--

2. Let  $A = \{a, b\}$ . Which of the following tables define a semigroup on  $A$ ? Which define a monoid on  $A$ ?

(a)	$\begin{array}{c cc} * & a & b \\ \hline a & b & a \\ b & a & b \end{array}$	(b)	$\begin{array}{c cc} * & a & b \\ \hline a & a & b \\ b & b & a \end{array}$
-----	--	-----	--

3. Let  $A = \{a, b\}$ . Which of the following tables define a semigroup on  $A$ ? Which define a monoid on  $A$ ?

(a)	$\begin{array}{c cc} * & a & b \\ \hline a & a & a \\ b & b & b \end{array}$	(b)	$\begin{array}{c cc} * & a & b \\ \hline a & a & b \\ b & b & a \end{array}$
-----	--	-----	--

In Exercises 4 through 16, determine whether the set together with the binary operation is a semigroup, a monoid, or neither. If it is a monoid, specify the identity. If it is a semigroup or a monoid, determine if it is commutative.

4.  $\mathbb{Z}^+$ , where  $*$  is defined as ordinary multiplication.
5.  $\mathbb{Z}^+$ , where  $a * b$  is defined as  $\max\{a, b\}$ .
6.  $\mathbb{Z}^+$ , where  $a * b$  is defined as  $\text{GCD}\{a, b\}$ .
7.  $\mathbb{Z}^+$ , where  $a * b$  is defined as  $a$ .

8. The nonzero real numbers, where  $*$  is ordinary multiplication.
9.  $P(S)$ , with  $S$  a set, where  $*$  is defined as intersection.
10. A Boolean algebra  $B$ , where  $a * b$  is defined as  $a \wedge b$ .
11.  $S = \{1, 2, 3, 6, 12\}$ , where  $a * b$  is defined as  $\text{GCD}(a, b)$ .
12.  $S = \{1, 2, 3, 6, 9, 18\}$ , where  $a * b$  is defined as  $\text{LCM}(a, b)$ .
13.  $\mathbb{Z}$ , where  $a * b = a + b - ab$ .
14. The even integers, where  $a * b$  is defined as  $\frac{ab}{2}$ .
15. The set of  $2 \times 1$  matrices, where

$$\begin{bmatrix} a \\ b \end{bmatrix} * \begin{bmatrix} c \\ d \end{bmatrix} = \begin{bmatrix} a + c \\ b + d + 1 \end{bmatrix}.$$

16. The set of integers of the form  $3k + 1$ ,  $k \in \mathbb{Z}^+$ , where  $*$  is ordinary multiplication.
17. Does the following table define a semigroup or a monoid?

$*$	$a$	$b$	$c$
$a$	$c$	$b$	$a$
$b$	$b$	$c$	$b$
$c$	$a$	$b$	$c$

18. Does the following table define a semigroup or a monoid?

$*$	$a$	$b$	$c$
$a$	$a$	$c$	$b$
$b$	$c$	$b$	$a$
$c$	$b$	$a$	$c$

19. Complete the following table to obtain a semigroup.

$*$	$a$	$b$	$c$
$a$	$c$	$a$	$b$
$b$	$a$	$b$	$c$
$c$			$a$

20. Complete the following table so that it defines a monoid.

$*$	$a$	$b$	$c$	$d$
$a$	$c$	$d$	$a$	$b$
$b$		$a$	$b$	
$c$			$c$	
$d$	$b$		$d$	$a$

21. Let  $S = \{a, b\}$ . Write the operation table for the semigroup  $S^5$ . Is the semigroup commutative?
22. Let  $S = \{a, b\}$ . Write the operation table for the semigroup  $(P(S), \cup)$ .
23. Let  $A = \{a, b, c\}$  and consider the semigroup  $(A^*, \cdot)$ , where  $\cdot$  is the operation of concatenation. If  $\alpha = abac$ ,  $\beta = cba$ , and  $\gamma = babc$ , compute  
(a)  $(\alpha\beta)\gamma$  (b)  $\gamma(\alpha\beta)$  (c)  $(\gamma\beta)\alpha$
24. What is required for a subset of the elements of a semigroup to be a subsemigroup?
25. What is required for a subset of the elements of a monoid to be a submonoid?
26. Prove or disprove that the intersection of two subsemigroups of a semigroup  $(S, *)$  is a subsemigroup of  $(S, *)$ .

27. Prove or disprove that the intersection of two submonoids of a monoid  $(S, *)$  is a submonoid of  $(S, *)$ .
28. Let  $A = \{0, 1\}$ , and consider the semigroup  $(A^*, \cdot)$ , where  $\cdot$  is the operation of concatenation. Let  $T$  be the subset of  $A^*$  consisting of all sequences having an odd number of 1's. Is  $(T, \cdot)$  a subsemigroup of  $(A, \cdot)$ ?
29. Let  $A = \{a, b\}$ . Are there two semigroups  $(A, *)$  and  $(A, *')$  that are not isomorphic?
30. An element  $x$  in a monoid is called an **idempotent** if  $x^2 = x * x = x$ . Show that the set of all idempotents in a commutative monoid  $S$  is a submonoid of  $S$ .
31. Let  $(S_1, *_1)$ ,  $(S_2, *_2)$ , and  $(S_3, *_3)$  be semigroups and  $f: S_1 \rightarrow S_2$  and  $g: S_2 \rightarrow S_3$  be homomorphisms. Prove that  $g \circ f$  is a homomorphism from  $S_1$  to  $S_3$ .
32. Let  $(S_1, *)$ ,  $(S_2, *')$ , and  $(S_3, *'')$  be semigroups, and let  $f: S_1 \rightarrow S_2$  and  $g: S_2 \rightarrow S_3$  be isomorphisms. Show that  $g \circ f: S_1 \rightarrow S_3$  is an isomorphism.
33. Which properties of  $f$  are used in the proof of Theorem 2?
34. Explain why the proof of Theorem 1 can be used as a proof of Theorem 3.
35. Let  $R^+$  be the set of all positive real numbers. Show that the function  $f: R^+ \rightarrow R$  defined by  $f(x) = \ln x$  is an isomorphism of the semigroup  $(R^+, \times)$  to the semigroup  $(R, +)$ , where  $\times$  and  $+$  are ordinary multiplication and addition, respectively.
36. Let  $(S, *)$  be a semigroup and  $A$ , a finite subset of  $S$ . Define  $\hat{A}$  to be the set of all finite products of elements in  $A$ .  
(a) Prove that  $\hat{A}$  is a subsemigroup of  $(S, *)$ .  
(b) Prove that  $\hat{A}$  is the smallest subsemigroup of  $(S, *)$  that contains  $A$ .



## Products and Quotients of Semigroups

In this section we shall obtain new semigroups from existing semigroups.

**Theorem 1** If  $(S, *)$  and  $(T, *')$  are semigroups, then  $(S \times T, *'')$  is a semigroup, where  $*''$  is defined by  $(s_1, t_1) *'' (s_2, t_2) = (s_1 * s_2, t_1 *' t_2)$ .

**Proof**

The proof is left as an exercise. ■

It follows at once from Theorem 1 that if  $S$  and  $T$  are monoids with identities  $e_S$  and  $e_T$ , respectively, then  $S \times T$  is a monoid with identity  $(e_S, e_T)$ .

We now turn to a discussion of equivalence relations on a semigroup  $(S, *)$ . Since a semigroup is not merely a set, we shall find that certain equivalence relations on a semigroup give additional information about the structure of the semigroup.

An equivalence relation  $R$  on the semigroup  $(S, *)$  is called a **congruence relation** if

$$a R a' \text{ and } b R b' \text{ imply } (a * b) R (a' * b').$$

**EXAMPLE 1**

Consider the semigroup  $(\mathbb{Z}, +)$  and the equivalence relation  $R$  on  $\mathbb{Z}$  defined by

$$a R b \text{ if and only if } a \equiv b \pmod{2}.$$

Recall that we discussed this equivalence relation in Section 4.5. Remember that if  $a \equiv b \pmod{2}$ , then  $2 \mid (a - b)$ . We now show that this relation is a congruence relation as follows.

If

$$a \equiv b \pmod{2} \text{ and } c \equiv d \pmod{2},$$

then 2 divides  $a - b$  and 2 divides  $c - d$ , so

$$a - b = 2m \text{ and } c - d = 2n,$$

where  $m$  and  $n$  are in  $\mathbb{Z}$ . Adding, we have

$$(a - b) + (c - d) = 2m + 2n$$

or

$$(a + c) - (b + d) = 2(m + n),$$

so

$$a + c \equiv b + d \pmod{2}.$$

Hence the relation is a congruence relation. ■

**EXAMPLE 2**

Let  $A = \{0, 1\}$  and consider the free semigroup  $(A^*, \cdot)$  generated by  $A$ . Define the following relation on  $A$ :

$$\alpha R \beta \text{ if and only if } \alpha \text{ and } \beta \text{ have the same number of 1's.}$$

Show that  $R$  is a congruence relation on  $(A^*, \cdot)$ .

**Solution**

We first show that  $R$  is an equivalence relation. We have

1.  $\alpha R \alpha$  for any  $\alpha \in A^*$ .
2. If  $\alpha R \beta$ , then  $\alpha$  and  $\beta$  have the same number of 1's, so  $\beta R \alpha$ .
3. If  $\alpha R \beta$  and  $\beta R \gamma$ , then  $\alpha$  and  $\beta$  have the same number of 1's and  $\beta$  and  $\gamma$  have the same number of 1's, so  $\alpha$  and  $\gamma$  have the same number of 1's. Hence  $\alpha R \gamma$ .

We next show that  $R$  is a congruence relation. Suppose that  $\alpha R \alpha'$  and  $\beta R \beta'$ . Then  $\alpha$  and  $\alpha'$  have the same number of 1's and  $\beta$  and  $\beta'$  have the same number of 1's. Since the number of 1's in  $\alpha \cdot \beta$  is the sum of the number of 1's in  $\alpha$  and the number of 1's in  $\beta$ , we conclude that the number of 1's in  $\alpha \cdot \beta$  is the same as the number of 1's in  $\alpha' \cdot \beta'$ . Hence

$$(\alpha \cdot \beta) R (\alpha' \cdot \beta')$$

and thus  $R$  is a congruence relation. ■

Consider the semigroup  $(\mathbb{Z}, +)$ , where  $+$  is ordinary addition. Let  $f(x) = x^2 - x - 2$ . We now define the following relation on  $\mathbb{Z}$ :

$$a R b \text{ if and only if } f(a) = f(b).$$

It is straightforward to verify that  $R$  is an equivalence relation on  $\mathbb{Z}$ . However,  $R$  is not a congruence relation since we have

$$-1 R 2 \text{ since } f(-1) = f(2) = 0$$

and

$$-2 R 3 \text{ since } f(-2) = f(3) = 4$$

but

$$(-1 + (-2)) \not R (2 + 3)$$

since  $f(-3) = 10$  and  $f(5) = 18$ . ■

Recall from Section 4.5 that an equivalence relation  $R$  on the semigroup  $(S, *)$  determines a partition of  $S$ . We let  $[a] = R(a)$  be the equivalence class containing  $a$  and  $S/R$  denote the set of all equivalence classes. The notation  $[a]$  is more traditional in this setting and produces less confusing computations.

**Theorem 2** Let  $R$  be a congruence relation on the semigroup  $(S, *)$ . Consider the relation  $\otimes$  from  $S/R \times S/R$  to  $S/R$  in which the ordered pair  $([a], [b])$  is, for  $a$  and  $b$  in  $S$ , related to  $[a * b]$ .

- (a)  $\otimes$  is a function from  $S/R \times S/R$  to  $S/R$ , and as usual we denote  $\otimes([a], [b])$  by  $[a] \otimes [b]$ . Thus  $[a] \otimes [b] = [a * b]$ .
- (b)  $(S/R, \otimes)$  is a semigroup.

**Proof**

Suppose that  $([a], [b]) = ([a'], [b'])$ . Then  $a R a'$  and  $b R b'$ , so we must have  $a * b R a' * b'$ , since  $R$  is a congruence relation. Thus  $[a * b] = [a' * b']$ ; that is,  $\otimes$  is a function. This means that  $\otimes$  is a binary operation on  $S/R$ .

Next, we must verify that  $\otimes$  is an associative operation. We have

$$\begin{aligned} [a] \otimes ([b] \otimes [c]) &= [a] \otimes [b * c] \\ &= [a * (b * c)] \\ &= [(a * b) * c] \text{ by the associative property of } * \text{ in } S \\ &= [a * b] \otimes [c] \\ &= ([a] \otimes [b]) \otimes [c]. \end{aligned}$$

Hence  $S/R$  is a semigroup. We call  $S/R$  the **quotient semigroup** or **factor semigroup**. Observe that  $\otimes$  is a type of "quotient binary relation" on  $S/R$  that is constructed from the original binary relation  $*$  on  $S$  by the congruence relation  $R$ . ■

**Corollary 1** Let  $R$  be a congruence relation on the monoid  $(S, *)$ . If we define the operation  $\otimes$  in  $S/R$  by  $[a] \otimes [b] = [a * b]$ , then  $(S/R, \otimes)$  is a monoid.

**Proof**

If  $e$  is the identity in  $(S, *)$ , then it is easy to verify that  $[e]$  is the identity in  $(S/R, \otimes)$ . ■



Consider the situation in Example 2. Since  $R$  is a congruence relation on the monoid  $S = (A^*, \cdot)$ , we conclude that  $(S/R, \odot)$  is a monoid, where

$$[\alpha] \odot [\beta] = [\alpha \cdot \beta].$$

As has already been pointed out in Section 4.5, we can repeat Example 4 of that section with the positive integer  $n$  instead of 2. That is, we define the following relation on the semigroup  $(\mathbb{Z}, +)$ :

$$a R b \text{ if and only if } a \equiv b \pmod{n}.$$

Using exactly the same method as in Example 4 in Section 4.5, we show that  $R$  is an equivalence relation and, as in the case of  $n = 2$ ,  $a \equiv b \pmod{n}$  implies  $n \mid (a - b)$ . Thus, if  $n$  is 4, then

$$2 \equiv 6 \pmod{4}$$

and 4 divides  $(2 - 6)$ . We also leave it for the reader to show that  $\equiv \pmod{n}$  is a congruence relation on  $\mathbb{Z}$ .

We now let  $n = 4$  and we compute the equivalence classes determined by the congruence relation  $\equiv \pmod{4}$  on  $\mathbb{Z}$ . We obtain

$$\begin{aligned} [0] &= \{\dots, -8, -4, 0, 4, 8, 12, \dots\} = [4] = [8] = \dots \\ [1] &= \{\dots, -7, -3, 1, 5, 9, 13, \dots\} = [5] = [9] = \dots \\ [2] &= \{\dots, -6, -2, 2, 6, 10, 14, \dots\} = [6] = [10] = \dots \\ [3] &= \{\dots, -5, -1, 3, 7, 11, 15, \dots\} = [7] = [11] = \dots \end{aligned}$$

These are all the distinct equivalence classes that form the quotient set  $\mathbb{Z}/\equiv \pmod{4}$ . It is customary to denote the quotient set  $\mathbb{Z}/\equiv \pmod{n}$  by  $\mathbb{Z}_n$ ;  $\mathbb{Z}_n$  is a monoid with operation  $\oplus$  and identity  $[0]$ . We now determine the addition table for the semigroup  $\mathbb{Z}_4$  with operation  $\oplus$ .

$\oplus$	[0]	[1]	[2]	[3]
[0]	[0]	[1]	[2]	[3]
[1]	[1]	[2]	[3]	[0]
[2]	[2]	[3]	[0]	[1]
[3]	[3]	[0]	[1]	[2]

The entries in this table are obtained from

$$[a] \oplus [b] = [a + b].$$

Thus

$$\begin{aligned} [1] \oplus [2] &= [1 + 2] = [3] \\ [1] \oplus [3] &= [1 + 3] = [4] = [0] \\ [2] \oplus [3] &= [2 + 3] = [5] = [1] \\ [3] \oplus [3] &= [3 + 3] = [6] = [2]. \end{aligned}$$

It can be shown that, in general,  $\mathbb{Z}_n$  has the  $n$  equivalence classes

$$[0], [1], [2], \dots, [n - 1]$$

and that

$$[a] \oplus [b] = [r],$$

where  $r$  is the remainder when  $a + b$  is divided by  $n$ . Thus, if  $n$  is 6,

$$\begin{aligned} [2] \oplus [3] &= [5] \\ [3] \oplus [5] &= [2] \\ [3] \oplus [3] &= [0]. \end{aligned}$$

We shall now examine the connection between the structure of a semigroup  $(S, *)$  and the quotient semigroup  $(S/R, \otimes)$ , where  $R$  is a congruence relation on  $(S, *)$ .

**Theorem 3** Let  $R$  be a congruence relation on a semigroup  $(S, *)$ , and let  $(S/R, \otimes)$  be the corresponding quotient semigroup. Then the function  $f_R: S \rightarrow S/R$  defined by

$$f_R(a) = [a]$$

is an onto homomorphism, called the **natural homomorphism**.

*Proof*

If  $[a] \in S/R$ , then  $f_R(a) = [a]$ , so  $f_R$  is an onto function. Moreover, if  $a$  and  $b$  are elements of  $S$ , then

$$f_R(a * b) = [a * b] = [a] \otimes [b] = f_R(a) \otimes f_R(b),$$

so  $f_R$  is a homomorphism.  $\blacksquare$

**Theorem 4** Let  $f: S \rightarrow T$  be a homomorphism of the semigroup  $(S, *)$  onto the semigroup  $(T, \cdot)$ . Let  $R$  be the relation on  $S$  defined by  $a R b$  if and only if  $f(a) = f(b)$ , for  $a$  and  $b$  in  $S$ . Then

- (a)  $R$  is a congruence relation.
- (b)  $(T, \cdot)$  and the quotient semigroup  $(S/R, \otimes)$  are isomorphic.

*Proof*

- (a) We show that  $R$  is an equivalence relation. First,  $a R a$  for every  $a \in S$ , since  $f(a) = f(a)$ . Next, if  $a R b$ , then  $f(a) = f(b)$ , so  $b R a$ . Finally, if  $a R b$  and  $b R c$ , then  $f(a) = f(b)$  and  $f(b) = f(c)$ , so  $f(a) = f(c)$  and  $a R c$ . Hence  $R$  is an equivalence relation. Now suppose that  $a R a_1$  and  $b R b_1$ . Then

$$f(a) = f(a_1) \quad \text{and} \quad f(b) = f(b_1).$$

Multiplying in  $T$ , we obtain

$$f(a) \cdot f(b) = f(a_1) \cdot f(b_1).$$

Since  $f$  is a homomorphism, this last equation can be rewritten as

$$f(a * b) = f(a_1 * b_1).$$

Hence

$$(a * b) R (a_1 * b_1)$$

and  $R$  is a congruence relation.

- (b) We now consider the relation  $\bar{f}$  from  $S/R$  to  $T$  defined as follows:

$$\bar{f} = \{([a], f(a)) \mid [a] \in S/R\}.$$

We first show that  $\bar{f}$  is a function. Suppose that  $[a] = [a']$ . Then  $a R a'$ , so  $f(a) = f(a')$ , which implies that  $\bar{f}$  is a function. We may now write  $\bar{f}: S/R \rightarrow T$ , where  $\bar{f}([a]) = f(a)$  for  $[a] \in S/R$ .

We next show that  $\bar{f}$  is one to one. Suppose that  $\bar{f}([a]) = \bar{f}([a'])$ . Then

$$f(a) = f(a').$$

So  $a R a'$ , which implies that  $[a] = [a']$ . Hence  $\bar{f}$  is one to one.

Now we show that  $\bar{f}$  is onto. Suppose that  $b \in T$ . Since  $f$  is onto,  $f(a) = b$  for some element  $a$  in  $S$ . Then

$$\bar{f}([a]) = f(a) = b.$$

So  $\bar{f}$  is onto.

Finally,

$$\begin{aligned}\bar{f}([a] \otimes [b]) &= \bar{f}([a * b]) \\ &= f(a * b) = f(a) *' f(b) \\ &= \bar{f}([a]) *' \bar{f}([b]).\end{aligned}$$

Hence  $\bar{f}$  is an isomorphism. ■

### EXAMPLE 6

Let  $A = \{0, 1\}$ , and consider the free semigroup  $A^*$  generated by  $A$  under the operation of catenation. Note that  $A^*$  is a monoid with the empty string  $\Lambda$  as its identity. Let  $N$  be the set of all nonnegative integers. Then  $N$  is a semigroup under the operation of ordinary addition, denoted by  $(N, +)$ . The function  $f: A^* \rightarrow N$  defined by

$$f(\alpha) = \text{the number of 1's in } \alpha$$

is readily checked to be a homomorphism. Let  $R$  be the following relation on  $A^*$ :

$$\alpha R \beta \quad \text{if and only if} \quad f(\alpha) = f(\beta).$$

That is,  $\alpha R \beta$  if and only if  $\alpha$  and  $\beta$  have the same number of 1's. Theorem 4 implies that  $A^*/R \simeq N$  under the isomorphism  $\bar{f}: A^*/R \rightarrow N$  defined by

$$\bar{f}([ \alpha ]) = f(\alpha) = \text{the number of 1's in } \alpha. \quad \blacksquare$$

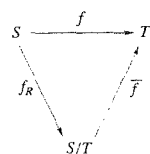
Theorem 4(b) can be described by the diagram shown in Figure 9.2. Here  $f_R$  is the natural homomorphism. It follows from the definitions of  $f_R$  and  $\bar{f}$  that

$$\bar{f} \circ f_R = f$$

since

$$(\bar{f} \circ f_R)(a) = \bar{f}(f_R(a)) = \bar{f}([a]) = f(a).$$

Figure 9.2



## 9.3 Exercises

- Let  $(S, *)$  and  $(T, *)$  be commutative semigroups. Show that  $S \times T$  (see Theorem 1) is also a commutative semigroup.
- Let  $(S, *)$  and  $(T, *)$  be monoids. Show that  $S \times T$  is also a monoid. Show that the identity of  $S \times T$  is  $(e_S, e_T)$ .
- Let  $(S, *)$  and  $(T, *)$  be semigroups. Show that the function  $f: S \times T \rightarrow S$  defined by  $f(s, t) = s$  is a homomorphism of the semigroup  $S \times T$  onto the semigroup  $S$ .
- Let  $(S, *)$  and  $(T, *)$  be semigroups. Show that  $S \times T$  and  $T \times S$  are isomorphic semigroups.
- Prove Theorem 1.

In Exercises 6 through 16, determine whether the relation  $R$  on the semigroup  $S$  is a congruence relation.

- $S = \mathbb{Z}$  under the operation of ordinary addition;  $a R b$  if and only if 2 does not divide  $a - b$ .
- $S = \mathbb{Z}$  under the operation of ordinary addition;  $a R b$  if and only if  $a + b$  is even.
- $S$  is any semigroup;  $a R b$  if and only if  $a = b$ .
- $S$  is the set of all rational numbers under the operation of addition;  $a/b R c/d$  if and only if  $ad = bc$ .
- $S$  is the set of all rational numbers under the operation of multiplication;  $a/b R c/d$  if and only if  $ad = bc$ .
- $S = \mathbb{Z}$  under the operation of ordinary addition;  $a R b$  if and only if  $a \equiv b \pmod{3}$ .
- $S = \mathbb{Z}$  under the operation of ordinary addition;  $a R b$  if and only if  $a$  and  $b$  are both even or  $a$  and  $b$  are both odd.
- $S = \mathbb{Z}^+$  under the operation of ordinary multiplication;  $a R b$  if and only if  $|a - b| \leq 2$ .
- $A = \{0, 1\}$  and  $S = A^*$ , the free semigroup generated by  $A$  under the operation of catenation;  $\alpha R \beta$  if and only if  $\alpha$  and  $\beta$  both have an even number of 1's or both have an odd number of 1's.
- $S = \{0, 1\}$  under the operation  $*$  defined by the table

$*$	0	1
0	0	1
1	1	0

$a R b$  if and only if  $a * a = b * b$ . (Hint: Observe that if  $x$  is any element in  $S$ , then  $x * x = 0$ .)

- $S = \{3k + 1, k \in \mathbb{Z}^-\}$  under the operation of ordinary multiplication;  $a R b$  if and only if  $a \equiv b \pmod{5}$ .
- Describe the quotient semigroup for  $S$  and  $R$  given in Exercise 16.
- Show that the intersection of two congruence relations on a semigroup is a congruence relation.
- Show that the composition of two congruence relations on a semigroup need not be a congruence relation.
- Describe the quotient semigroup for  $S$  and  $R$  given in Exercise 10.
- Describe the quotient semigroup for  $S$  and  $R$  given in Exercise 11.
- Describe the quotient semigroup for  $S$  and  $R$  given in Exercise 12.
- Describe the quotient semigroup for  $S = \mathbb{Z}$  with ordinary addition and  $R$  defined by  $a R b$  if and only if  $a \equiv b \pmod{5}$ .
- Consider the semigroup  $S = \{a, b, c, d\}$  with the following operation table.

$*$	a	b	c	d
a	a	b	c	d
b	b	a	d	c
c	c	d	a	b
d	d	c	b	a

Consider the congruence relation  $R = \{(a, a), (a, b), (b, a), (b, b), (c, c), (c, d), (d, c), (d, d)\}$  on  $S$ .

- Write the operation table of the quotient semigroup  $S/R$ .
  - Describe the natural homomorphism  $f_R: S \rightarrow S/R$ .
25. Consider the monoid  $S = \{e, a, b, c\}$  with the following operation table.

$*$	e	a	b	c
e	e	a	b	c
a	a	e	b	c
b	b	c	b	c
c	c	b	b	c

Consider the congruence relation  $R = \{(e, e), (e, a), (a, e), (a, a), (b, b), (b, c), (c, b), (c, c)\}$  on  $S$ .

- Write the operation table of the quotient monoid  $S/R$ .
  - Describe the natural homomorphism  $f_R: S \rightarrow S/R$ .
26. Let  $A = \{0, 1\}$  and consider the free semigroup  $A^*$  generated by  $A$  under the operation of catenation. Let  $N$  be the semigroup of all nonnegative integers under the operation of ordinary addition.
- Verify that the function  $f: A^* \rightarrow N$ , defined by  $f(\alpha) = \text{the number of digits in } \alpha$ , is a homomorphism.
  - Let  $R$  be the following relation on  $A^*$ :  $\alpha R \beta$  if and only if  $f(\alpha) = f(\beta)$ . Show that  $R$  is a congruence relation on  $A^*$ .
  - Show that  $A^*/R$  and  $N$  are isomorphic.
- Prove or disprove that  $\mathbb{Z}_2$  is isomorphic to the semigroup in Exercise 22.
  - Prove or disprove that  $\mathbb{Z}_4$  is isomorphic to the semigroup in Exercise 24.
  - Describe the strategy of the proof of Theorem 4. Outline the proof.
  - Let  $S$  be a nonempty set with  $a * b = b$ . Prove that any equivalence relation on  $S$  is a congruence relation.

## 9.4 Groups

In this section we examine a special type of monoid, called a group, that has applications in every area where symmetry occurs. Applications of groups can be found in mathematics, physics, and chemistry, as well as in less obvious areas

such as sociology. Recent and exciting applications of group theory have arisen in fields such as particle physics and in the solutions of puzzles such as Rubik's cube. In this book, we shall present an important application of group theory to binary codes in Section 11.2.

A **group**  $(G, *)$  is a monoid, with identity  $e$ , that has the additional property that for every element  $a \in G$  there exists an element  $a' \in G$  such that  $a * a' = a' * a = e$ . Thus a group is a set together with a binary operation  $*$  on  $G$  such that

1.  $(a * b) * c = a * (b * c)$  for any elements  $a, b$ , and  $c$  in  $G$ .
2. There is a unique element  $e$  in  $G$  such that

$$a * e = e * a \quad \text{for any } a \in G.$$

3. For every  $a \in G$ , there is an element  $a' \in G$ , called an **inverse** of  $a$ , such that

$$a * a' = a' * a = e.$$

Observe that if  $(G, *)$  is a group, then  $*$  is a binary operation, so  $G$  must be closed under  $*$ ; that is,

$$a * b \in G \quad \text{for any elements } a \text{ and } b \text{ in } G.$$

To simplify our notation, from now on when only one group  $(G, *)$  is under consideration and there is no possibility of confusion, we shall write the product  $a * b$  of the elements  $a$  and  $b$  in the group  $(G, *)$  simply as  $ab$ , and we shall also refer to  $(G, *)$  simply as  $G$ .

A group  $G$  is said to be **Abelian** if  $ab = ba$  for all elements  $a$  and  $b$  in  $G$ .

#### EXAMPLE 1

The set of all integers  $Z$  with the operation of ordinary addition is an Abelian group. If  $a \in Z$ , then an inverse of  $a$  is its opposite  $-a$ . ■

#### EXAMPLE 2

The set  $Z^+$  under the operation of ordinary multiplication is not a group since, for example, the element 2 in  $Z^+$  has no inverse. However, this set together with the given operation is a monoid. ■

#### EXAMPLE 3

The set of all nonzero real numbers under the operation of ordinary multiplication is a group. An inverse of  $a \neq 0$  is  $1/a$ . ■

#### EXAMPLE 4

Let  $G$  be the set of all nonzero real numbers and let

$$a * b = \frac{ab}{2}.$$

Show that  $(G, *)$  is an Abelian group.

#### Solution

We first verify that  $*$  is a binary operation. If  $a$  and  $b$  are elements of  $G$ , then  $ab/2$  is a nonzero real number and hence is in  $G$ . We next verify associativity. Since

$$(a * b) * c = \left(\frac{ab}{2}\right) * c = \frac{(ab)c}{4}$$

and since

$$a * (b * c) = a * \left(\frac{bc}{2}\right) = \frac{a(bc)}{4} = \frac{(ab)c}{4},$$

the operation  $*$  is associative.

The number 2 is the identity in  $G$ , for if  $a \in G$ , then

$$a * 2 = \frac{(a)(2)}{2} = a = \frac{(2)(a)}{2} = 2 * a.$$

Finally, if  $a \in G$ , then  $a' = 4/a$  is an inverse of  $a$ , since

$$a * a' = a * \frac{4}{a} = \frac{a(4/a)}{2} = 2 = \frac{(4/a)(a)}{2} = \frac{4}{a} * a = a' * a.$$

Since  $a * b = b * a$  for all  $a$  and  $b$  in  $G$ , we conclude that  $G$  is an Abelian group. ■

Before proceeding with additional examples of groups, we develop several important properties that are satisfied in any group  $G$ .

**Theorem 1** Let  $G$  be a group. Each element  $a$  in  $G$  has only one inverse in  $G$ .

#### Proof

Let  $a'$  and  $a''$  be inverses of  $a$ . Then

$$a'(aa'') = a'e = a'$$

and

$$(a'a)a'' = ea'' = a''.$$

Hence, by associativity,

$$a' = a''.$$

From now on we shall denote the inverse of  $a$  by  $a^{-1}$ . Thus in a group  $G$  we have

$$aa^{-1} = a^{-1}a = e.$$

**Theorem 2** Let  $G$  be a group and let  $a, b$ , and  $c$  be elements of  $G$ . Then

- (a)  $ab = ac$  implies that  $b = c$  (**left cancellation property**).
- (b)  $ba = ca$  implies that  $b = c$  (**right cancellation property**).

#### Proof

- (a) Suppose that

$$ab = ac.$$

Multiplying both sides of this equation by  $a^{-1}$  on the left, we obtain

$$a^{-1}(ab) = a^{-1}(ac)$$

$$(a^{-1}a)b = (a^{-1}a)c \quad \text{by associativity}$$

$$eb = ec \quad \text{by the definition of an inverse}$$

$$b = c \quad \text{by definition of an identity.}$$

- (b) The proof is similar to that of part (a). ■

**Corollary 1** Let  $G$  be a group and  $a \in G$ . Define a function  $M_a: G \rightarrow G$  by the formula  $M_a(g) = ag$ . Then  $M_a$  is one to one.

**Proof**

This is a direct consequence of Theorem 2.  $\blacksquare$

**Theorem 3** Let  $G$  be a group and let  $a$  and  $b$  be elements of  $G$ . Then

- (a)  $(a^{-1})^{-1} = a$ .  
 (b)  $(ab)^{-1} = b^{-1}a^{-1}$ .

**Proof**

- (a) We show that  $a$  acts as an inverse for  $a^{-1}$ :

$$a^{-1}a = aa^{-1} = e.$$

Since the inverse of an element is unique, we conclude that  $(a^{-1})^{-1} = a$ .

- (b) We easily verify that

$$(ab)(b^{-1}a^{-1}) = a(b(b^{-1}a^{-1})) = a((bb^{-1})a^{-1}) = a(ea^{-1}) = aa^{-1} = e$$

and, similarly,

$$(b^{-1}a^{-1})(ab) = e,$$

so

$$(ab)^{-1} = b^{-1}a^{-1}. \quad \blacksquare$$

**Theorem 4** Let  $G$  be a group, and let  $a$  and  $b$  be elements of  $G$ . Then

- (a) The equation  $ax = b$  has a unique solution in  $G$ .  
 (b) The equation  $ya = b$  has a unique solution in  $G$ .

**Proof**

- (a) The element  $x = a^{-1}b$  is a solution of the equation  $ax = b$ , since

$$a(a^{-1}b) = (aa^{-1})b = eb = b.$$

Suppose now that  $x_1$  and  $x_2$  are two solutions of the equation  $ax = b$ . Then

$$ax_1 = b \quad \text{and} \quad ax_2 = b.$$

Hence

$$ax_1 = ax_2.$$

Theorem 2 implies that  $x_1 = x_2$ .

- (b) The proof is similar to that of part (a).  $\blacksquare$

From our discussion of monoids, we know that if a group  $G$  has a finite number of elements, then its binary operation can be given by a table, which is generally called a **multiplication table**. The multiplication table of a group  $G = \{a_1, a_2, \dots, a_n\}$  under the binary operation  $*$  must satisfy the following properties:

1. The row labeled by  $e$  must be

$$a_1, a_2, \dots, a_n$$

and the column labeled by  $e$  must be

$$\begin{matrix} a_1 \\ a_2 \\ \vdots \\ a_n. \end{matrix}$$

2. From Theorem 4, it follows that each element  $b$  of the group must appear exactly once in each row and column of the table. Thus each row and column is a permutation of the elements  $a_1, a_2, \dots, a_n$  of  $G$ , and each row (and each column) determines a different permutation.

If  $G$  is a group that has a finite number of elements, we say that  $G$  is a **finite group**, and the **order** of  $G$  is the number of elements  $|G|$  in  $G$ . We shall now determine the multiplication tables of all nonisomorphic groups of orders 1, 2, 3, and 4.

If  $G$  is a group of order 1, then  $G = \{e\}$ , and we have  $ee = e$ . Now let  $G = \{e, a\}$  be a group of order 2. Then we obtain a multiplication table (Table 9.1) where we need to fill in the blank. The blank can be filled in by  $e$  or by  $a$ . Since there can be no repeats in any row or column, we must write  $e$  in the blank. The multiplication table shown in Table 9.2 satisfies the associativity property and the other properties of a group, so it is the multiplication table of a group of order 2.

Next, let  $G = \{e, a, b\}$  be a group of order 3. We have a multiplication table (Table 9.3) where we must fill in four blanks. A little experimentation shows that we can only complete the table as shown in Table 9.4. It can be shown (a tedious task) that Table 9.4 satisfies the associative property and the other properties of a group. Thus it is the multiplication table of a group of order 3. Observe that the groups of orders 1, 2, and 3 are also Abelian and that there is just one group of each order for a fixed labeling of the elements.

We next come to a group  $G = \{e, a, b, c\}$  of order 4. It is not difficult to show that the possible multiplication table for  $G$  can be completed as shown in Tables 9.5 through 9.8. It can be shown that each of these tables satisfies the associative property and the other properties of a group. Thus there are four possible multiplication tables for a group of order 4. Again, observe that a group of order 4 is Abelian. We shall return to groups of order 4 toward the end of this section, where we shall see that there are only two and not four different nonisomorphic groups of order 4.

TABLE 9.1

	$e$	$a$
$e$	$e$	$a$
$a$	$a$	

TABLE 9.2

	$e$	$a$
$e$	$e$	$a$
$a$	$a$	$e$

TABLE 9.3

	$e$	$a$	$b$
$e$	$e$	$a$	$b$
$a$	$a$		
$b$	$b$		

TABLE 9.4

	$e$	$a$	$b$
$e$	$e$	$a$	$b$
$a$	$a$	$b$	$e$
$b$	$b$	$e$	$a$

TABLE 9.5

	$e$	$a$	$b$	$c$
$e$	$e$	$a$	$b$	$c$
$a$	$a$	$e$	$c$	$b$
$b$	$b$	$c$	$e$	$a$
$c$	$c$	$b$	$a$	$e$

TABLE 9.6

	$e$	$a$	$b$	$c$
$e$	$e$	$a$	$b$	$c$
$a$	$a$	$e$	$c$	$b$
$b$	$b$	$c$	$a$	$e$
$c$	$c$	$b$	$e$	$a$

TABLE 9.7

	$e$	$a$	$b$	$c$
$e$	$e$	$a$	$b$	$c$
$a$	$a$	$b$	$c$	$e$
$b$	$b$	$c$	$e$	$a$
$c$	$c$	$e$	$a$	$b$

TABLE 9.8

	$e$	$a$	$b$	$c$
$e$	$e$	$a$	$b$	$c$
$a$	$a$	$c$	$e$	$b$
$b$	$b$	$e$	$c$	$a$
$c$	$c$	$b$	$a$	$e$

**PROBLEM 15**

Let  $B = \{0, 1\}$ , and let  $+$  be the operation defined on  $B$  as follows:

$+$	0	1
0	0	1
1	1	0

Then  $B$  is a group. In this group, every element is its own inverse.  $\blacksquare$

We next turn to an important example of a group.

### EXAMPLE 9.3

Consider the equilateral triangle shown in Figure 9.3 with vertices 1, 2, and 3. A **symmetry** of the triangle (or of any geometrical figure) is a one-to-one correspondence from the set of points forming the triangle (the geometrical figure) to itself that preserves the distance between adjacent points. Since the triangle is determined by its vertices, a symmetry of the triangle is merely a permutation of the vertices that preserves the distance between adjacent points. Let  $l_1$ ,  $l_2$ , and  $l_3$  be the angle bisectors of the corresponding angles as shown in Figure 9.3, and let  $O$  be their point of intersection.

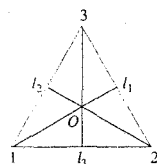


Figure 9.3

We now describe the symmetries of this triangle. First, there is a counterclockwise rotation  $f_2$  of the triangle about  $O$  through  $120^\circ$ . Then  $f_2$  can be written (see Section 5.3) as the permutation

$$f_2 = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix}.$$

We next obtain a counterclockwise rotation  $f_3$  about  $O$  through  $240^\circ$ , which can be written as the permutation

$$f_3 = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix}.$$

Finally, there is a counterclockwise rotation  $f_1$  about  $O$  through  $360^\circ$ , which can be written as the permutation

$$f_1 = \begin{pmatrix} 1 & 2 & 3 \\ 1 & 2 & 3 \end{pmatrix}.$$

Of course,  $f_1$  can also be viewed as the result of rotating the triangle about  $O$  through  $0^\circ$ .

We may also obtain three additional symmetries of the triangle,  $g_1$ ,  $g_2$ , and  $g_3$ , by reflecting about the lines  $l_1$ ,  $l_2$ , and  $l_3$ , respectively. We may denote these reflections as the following permutations:

$$g_1 = \begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix}, \quad g_2 = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix}, \quad g_3 = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \end{pmatrix}.$$

Observe that the set of all symmetries of the triangle is described by the set of permutations of the set  $\{1, 2, 3\}$ , which is considered in Section 5.3 and is denoted by  $S_3$ . Thus

$$S_3 = \{f_1, f_2, f_3, g_1, g_2, g_3\}.$$

We now introduce the operation  $*$ , followed by, on the set  $S_3$ , and we obtain the multiplication table shown in Table 9.9. Each of the entries in this table can

TABLE 9.9

*	$f_1$	$f_2$	$f_3$	$g_1$	$g_2$	$g_3$
$f_1$	$f_1$	$f_2$	$f_3$	$g_1$	$g_2$	$g_3$
$f_2$	$f_2$	$f_3$	$f_1$	$g_3$	$g_1$	$g_2$
$f_3$	$f_3$	$f_1$	$f_2$	$g_2$	$g_3$	$g_1$
$g_1$	$g_1$	$g_2$	$g_3$	$f_1$	$f_2$	$f_3$
$g_2$	$g_2$	$g_3$	$g_1$	$f_3$	$f_1$	$f_2$
$g_3$	$g_3$	$g_1$	$g_2$	$f_2$	$f_3$	$f_1$

be obtained in one of two ways: algebraically or geometrically. For example, suppose that we want to compute  $f_2 * g_2$ . Geometrically, we proceed as in Figure 9.4. Note that "followed by" here refers to the geometric order. To compute  $f_2 * g_2$  algebraically, we compute  $f_2 \circ g_2$ .

$$\begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix} \circ \begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix} = g_1$$

and find that  $f_2 * g_2 = g_1$ .

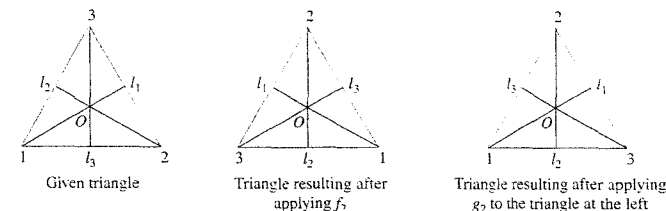


Figure 9.4

Since composition of functions is always associative, we see that  $*$  is an associative operation on  $S_3$ . Observe that  $f_1$  is the identity in  $S_3$  and that every element of  $S_3$  has a unique inverse in  $S_3$ . For example,  $f_2^{-1} = f_3$ . Hence  $S_3$  is a group called the **group of symmetries of the triangle**. Observe that  $S_3$  is the first example that we have given of a group that is not Abelian. ■

### EXAMPLE 9.4

The set of all permutations of  $n$  elements is a group of order  $n!$  under the operation of composition. This group is called the **symmetric group on  $n$  letters** and is denoted by  $S_n$ . We have seen that  $S_3$  also represents the group of symmetries of the equilateral triangle. ■

As in Example 6, we can also consider the group of symmetries of a square. However, it turns out that this group is of order 8, so it does not agree with the group  $S_4$ , whose order is  $4! = 24$ .

### EXAMPLE 9.5

In Section 9.3 we discussed the monoid  $Z_n$ . We now show that  $Z_n$  is a group as follows. Let  $[a] \in Z_n$ . Then we may assume that  $0 \leq a < n$ . Moreover,  $[n - a] \in Z_n$  and since

$$[a] \oplus [n - a] = [a + n - a] = [n] = [0],$$

we conclude that  $[n - a]$  is the inverse of  $[a]$ . Thus, if  $n$  is 6, then  $[2]$  is the inverse of  $[4]$ . Observe that  $Z_n$  is an Abelian group. ■

We next turn to a discussion of important subsets of a group. Let  $H$  be a subset of a group  $G$  such that

- (a) The identity  $e$  of  $G$  belongs to  $H$ .
- (b) If  $a$  and  $b$  belong to  $H$ , then  $ab \in H$ .
- (c) If  $a \in H$ , then  $a^{-1} \in H$ .

Then  $H$  is called a **subgroup** of  $G$ . Parts (a) and (b) say that  $H$  is a submonoid of  $G$ . Thus a subgroup of  $G$  can be viewed as a submonoid having properties (a) and (c).

Observe that if  $G$  is a group and  $H$  is a subgroup of  $G$ , then  $H$  is also a group with respect to the operation in  $G$ , since the associative property in  $G$  also holds in  $H$ .

**EXAMPLE 13**

Let  $G$  be a group. Then  $G$  and  $H = \{e\}$  are subgroups of  $G$ , called the trivial subgroups of  $G$ . ■

**EXAMPLE 14**

Consider  $S_3$ , the group of symmetries of the equilateral triangle, whose multiplication table is shown in Table 9.9. It is easy to verify that  $H = \{f_1, f_2, f_3\}$  is a subgroup of  $S_3$ . ■

**EXAMPLE 15**

Let  $A_n$  be the set of all even permutations (see Section 5.4) in the group  $S_n$ . It can be shown from the definition of even permutation that  $A_n$  is a subgroup of  $S_n$ , called the **alternating group on  $n$  letters**. ■

**EXAMPLE 16**

Let  $G$  be a group and let  $a \in G$ . Since a group is a monoid, we have already defined, in Section 9.2,  $a^n$  for  $n \in \mathbb{Z}^+$  as  $aa \cdots a$  ( $n$  factors), and  $a^0$  as  $e$ . If  $n$  is a negative integer, we now define  $a^{-n}$  as  $a^{-1}a^{-1} \cdots a^{-1}$  ( $n$  factors). Then, if  $n$  and  $m$  are any integers, we have

$$a^n a^m = a^{n+m}.$$

It is easy to show that

$$H = \{a^i \mid i \in \mathbb{Z}\}$$

is a subgroup of  $G$ . ■

Let  $(G, *)$  and  $(G', \cdot)$  be two groups. Since groups are also semigroups, we can consider isomorphisms and homomorphisms from  $(G, *)$  to  $(G', \cdot)$ .

Since an isomorphism must be a one-to-one and onto function, it follows that two groups whose orders are unequal cannot possibly be isomorphic.

**EXAMPLE 17**

Let  $G$  be the group of real numbers under addition, and let  $G'$  be the group of positive real numbers under multiplication. Let  $f: G \rightarrow G'$  be defined by  $f(x) = e^x$ . We now show that  $f$  is an isomorphism.

If  $f(a) = f(b)$ , so that  $e^a = e^b$ , then  $a = b$ . Thus  $f$  is one to one. If  $c \in G'$ , then  $\ln c \in G$  and

$$f(\ln c) = e^{\ln c} = c,$$

so  $f$  is onto. Finally,

$$f(a+b) = e^{a+b} = e^a e^b = f(a)f(b).$$

Hence  $f$  is an isomorphism. ■

**EXAMPLE 18**

Let  $G$  be the symmetric group of  $n$  letters, and let  $G'$  be the group  $B$  defined in Example 5. Let  $f: G \rightarrow G'$  be defined as follows: for  $p \in G$ ,

$$f(p) = \begin{cases} 0 & \text{if } p \in A_n \quad (\text{the subgroup of all even permutations in } G) \\ 1 & \text{if } p \notin A_n. \end{cases}$$

Then  $f$  is a homomorphism. ■

**EXAMPLE 19**

Let  $G$  be the group of integers under addition, and let  $G'$  be the group  $\mathbb{Z}_n$  as discussed in Example 8. Let  $f: G \rightarrow G'$  be defined as follows: If  $m \in G$ , then  $f(m) = [r]$ , where  $r$  is the remainder when  $m$  is divided by  $n$ . We now show that  $f$  is a homomorphism of  $G$  onto  $G'$ .

Let  $[r] \in \mathbb{Z}_n$ . Then we may assume that  $0 \leq r < n$ , so

$$r = 0 \cdot n + r,$$

which means that the remainder when  $r$  is divided by  $n$  is  $r$ . Hence

$$f(r) = [r]$$

and thus  $f$  is onto.

Next, let  $a$  and  $b$  be elements of  $G$  expressed as

$$a = q_1 n + r_1, \quad \text{where } 0 \leq r_1 < n, \text{ and } r_1 \text{ and } q_1 \text{ are integers} \quad (1)$$

$$b = q_2 n + r_2, \quad \text{where } 0 \leq r_2 < n, \text{ and } r_2 \text{ and } q_2 \text{ are integers} \quad (2)$$

so that

$$f(a) = [r_1] \quad \text{and} \quad f(b) = [r_2].$$

Then

$$f(a) + f(b) = [r_1] + [r_2] = [r_1 + r_2].$$

To find  $[r_1 + r_2]$ , we need the remainder when  $r_1 + r_2$  is divided by  $n$ . Write

$$r_1 + r_2 = q_3 n + r_3, \quad \text{where } 0 \leq r_3 < n, \text{ and } r_3 \text{ and } q_3 \text{ are integers.}$$

Thus

$$f(a) + f(b) = [r_3].$$

Adding, we have

$$a + b = q_1 n + q_2 n + r_1 + r_2 = (q_1 + q_2 + q_3)n + r_3,$$

so

$$f(a+b) = [r_1 + r_2] = [r_3].$$

Hence

$$f(a+b) = f(a) + f(b),$$

which implies that  $f$  is a homomorphism.

Note that when  $n$  is 2,  $f$  assigns each even integer to  $[0]$  and each odd integer to  $[1]$ . ■

**Theorem 5** Let  $(G, *)$  and  $(G', \cdot)$  be two groups, and let  $f: G \rightarrow G'$  be a homomorphism from  $G$  to  $G'$ .

(a) If  $e$  is the identity in  $G$  and  $e'$  is the identity in  $G'$ , then  $f(e) = e'$ .

(b) If  $a \in G$ , then  $f(a^{-1}) = (f(a))^{-1}$ .

(c) If  $H$  is a subgroup of  $G$ , then

$$f(H) = \{f(h) \mid h \in H\}$$

is a subgroup of  $G'$ .

**Proof**(a) Let  $x = f(e)$ . Then

$$x *' x = f(e) *' f(e) = f(e * e) = f(e) = x,$$

so  $x *' x = x$ . Multiplying both sides by  $x^{-1}$  on the right, we obtain

$$x = x *' x *' x^{-1} = x *' x^{-1} = e'.$$

Thus  $f(e) = e'$ .(b)  $a * a^{-1} = e$ , so

$$f(a * a^{-1}) = f(e) = e' \quad \text{by part (a)}$$

or

$$f(a) *' f(a^{-1}) = e' \quad \text{since } f \text{ is a homomorphism.}$$

Similarly,

$$f(a^{-1}) *' f(a) = e'.$$

Hence  $f(a^{-1}) = (f(a))^{-1}$ .

(c) This follows from Theorem 4 of Section 9.2 and parts (a) and (b). ■

**EXAMPLE 16**

The groups  $S_3$  and  $Z_6$  are both of order 6. However,  $S_3$  is not Abelian and  $Z_6$  is Abelian. Hence they are not isomorphic. Remember that an isomorphism preserves all properties defined in terms of the group operations. ■

**EXAMPLE 17**

Earlier in this section we found four possible multiplication tables (Tables 9.5 through 9.8) for a group of order 4. We now show that the groups with multiplication Tables 9.6, 9.7, and 9.8 are isomorphic as follows. Let  $G = \{e, a, b, c\}$  be the group whose multiplication table is Table 9.6, and let  $G' = \{e', a', b', c'\}$  be the group whose multiplication table is Table 9.7, where we put primes on every entry in this last table. Let  $f: G \rightarrow G'$  be defined by  $f(e) = e'$ ,  $f(a) = b'$ ,  $f(b) = a'$ ,  $f(c) = c'$ . We can then verify that under this renaming of elements the two tables become identical, so the corresponding groups are isomorphic. Similarly, let  $G'' = \{e'', a'', b'', c''\}$  be the group whose multiplication table is Table 9.8, where we put double primes on every entry in this last table. Let  $g: G \rightarrow G''$  be defined by  $g(e) = e''$ ,  $g(a) = c''$ ,  $g(b) = b''$ ,  $g(c) = a''$ . We can then verify that under this renaming of elements the two tables become identical, so the corresponding groups are isomorphic. That is, the groups given by Tables 9.6, 9.7, and 9.8 are isomorphic.

Now, how can we be sure that Tables 9.5 and 9.6 do not yield isomorphic groups? Observe that if  $x$  is any element in the group determined by Table 9.5, then  $x^2 = e$ . If the groups were isomorphic, then the group determined by Table 9.6 would have the same property. Since it does not, we conclude that these groups are not isomorphic. Thus there are exactly two nonisomorphic groups of order 4.

The group with multiplication Table 9.5 is called the **Klein 4 group** and it is denoted by  $V$ . The one with multiplication Table 9.6, 9.7, or 9.8 is denoted by  $Z_4$ , since a relabeling of the elements of  $Z_4$  results in this multiplication table. ■

**9.4 Exercises**

In Exercises 1 through 11, determine whether the set together with the binary operation is a group. If it is a group, determine if it is Abelian; specify the identity and the inverse of a generic element.

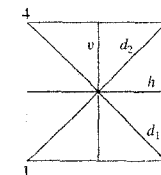
1.  $\mathbb{Z}$ , where  $*$  is ordinary multiplication.
2.  $\mathbb{Z}$ , where  $*$  is ordinary subtraction.
3.  $\mathbb{Q}$ , the set of all rational numbers under the operation of addition.
4.  $\mathbb{Q}$ , the set of all rational numbers under the operation of multiplication.
5.  $\mathbb{R}$ , under the operation of multiplication.
6.  $\mathbb{R}$ , where  $a * b = a + b + 2$ .
7.  $\mathbb{Z}^+$ , under the operation of addition.
8. The real numbers that are not equal to  $-1$ , where  $a * b = a + b + ab$ .
9. The set of odd integers under the operation of multiplication.
10. The set of all  $m \times n$  matrices under the operation of matrix addition.
11. If  $S$  is a nonempty set, the set  $P(S)$ , where  $A * B = A \oplus B$ . (See Section 1.2.)
12. Let  $S = \{x \mid x \text{ is a real number and } x \neq 0, x \neq -1\}$ . Consider the following functions  $f_i: S \rightarrow S$ ,  $i = 1, 2, \dots, 6$ :

$$f_1(x) = x, \quad f_2(x) = 1 - x, \quad f_3(x) = \frac{1}{x}$$

$$f_4(x) = \frac{1}{1-x}, \quad f_5(x) = 1 - \frac{1}{x}, \quad f_6(x) = \frac{x}{x-1}.$$

Show that  $G = \{f_1, f_2, f_3, f_4, f_5, f_6\}$  is a group under the operation of composition. Give the multiplication table of  $G$ .

13. Consider  $S_3$ , the group of symmetries of the equilateral triangle, and the group in Exercise 12. Prove or disprove that these two groups are isomorphic.
14. Show that the mapping in Example 14 is a homomorphism.
15. Let  $G$  be the group defined in Example 4. Solve the following equations:
  - (a)  $3 * x = 4$
  - (b)  $y * 5 = -2$
16. Let  $i = \sqrt{-1}$ . Prove that  $S = \{1, -1, i, -i\}$  with complex number multiplication is a group. Is this group Abelian?
17. Find all subgroups of the group in Exercise 16.
18. Let  $G$  be a group with identity  $e$ . Show that if  $a^2 = e$  for all  $a$  in  $G$ , then  $G$  is Abelian.
19. Consider the square shown in Figure 9.5.

**Figure 9.5**

The symmetries of the square are as follows:

Rotations  $f_1, f_2, f_3$ , and  $f_4$  through  $0^\circ, 90^\circ, 180^\circ$ , and  $270^\circ$ , respectively

$f_5$  and  $f_6$ , reflections about the lines  $v$  and  $h$ , respectively

$f_7$  and  $f_8$ , reflections about the diagonals  $d_1$  and  $d_2$ , respectively

Write the multiplication table of  $D_4$ , the group of symmetries of the square.

20. Let  $G$  be a group. Prove that if  $g \in G$  has the property  $gg = g$ , then  $g$  is the identity element of  $G$ .
21. Let  $G$  be a finite group with identity  $e$ , and let  $a$  be an arbitrary element of  $G$ . Prove that there exists a nonnegative integer  $n$  such that  $a^n = e$ .
22. Let  $G$  be the nonzero integers under the operation of multiplication, and let  $H = \{3^n \mid n \in \mathbb{Z}\}$ . Is  $H$  a subgroup of  $G$ ?
23. Let  $G$  be the group of integers under the operation of addition, and let  $H = \{3k \mid k \in \mathbb{Z}\}$ . Is  $H$  a subgroup of  $G$ ?
24. Let  $G$  be an Abelian group with identity  $e$ , and let  $H = \{x \mid x^2 = e\}$ . Show that  $H$  is a subgroup of  $G$ .
25. Let  $G$  be a group, and let  $H = \{x \mid x \in G \text{ and } xy = yx \text{ for all } y \in G\}$ . Prove that  $H$  is a subgroup of  $G$ .
26. Let  $G$  be a group and let  $a \in G$ . Define  $H_a = \{x \mid x \in G \text{ and } xa = ax\}$ . Prove that  $H_a$  is a subgroup of  $G$ .
27. Let  $A_n$  be the set of all even permutations in  $S_n$ . Show that  $A_n$  is a subgroup of  $S_n$ .
28. Let  $H$  and  $K$  be subgroups of a group  $G$ .
  - (a) Prove that  $H \cap K$  is a subgroup of  $G$ .
  - (b) Show that  $H \cup K$  need not be a subgroup of  $G$ .
29. Find all subgroups of the group given in Exercise 19.
30. Let  $G$  be an Abelian group and  $n$  a fixed integer. Prove that the function  $f: G \rightarrow G$  defined by  $f(a) = a^n$ , for  $a \in G$ , is a homomorphism.

31. Prove that the function  $f(x) = |x|$  is a homomorphism from the group  $G$  of nonzero real numbers under multiplication to the group  $G'$  of positive real numbers under multiplication.
32. Let  $G$  be a group with identity  $e$ . Show that the function  $f: G \rightarrow G$  defined by  $f(a) = e$  for all  $a \in G$  is a homomorphism.
33. Let  $G$  be a group. Show that the function  $f: G \rightarrow G$  defined by  $f(a) = a^2$  is a homomorphism if and only if  $G$  is Abelian.
34. Let  $G$  be a group. Show that the function  $f: G \rightarrow G$  defined by  $f(a) = a^{-1}$  is an isomorphism if and only if  $G$  is Abelian.
35. Let  $G$  be a group and let  $a$  be a fixed element of  $G$ . Show that the function  $f_a: G \rightarrow G$  defined by  $f_a(x) = axa^{-1}$ , for  $x \in G$ , is an isomorphism.
36. Let  $G = \{e, a, a^2, a^3, a^4, a^5\}$  be a group under the operation of  $a^i a^j = a^r$ , where  $i + j \equiv r \pmod{6}$ . Prove that  $G$  and  $Z_6$  are isomorphic.
37. Let  $G$  be a group. Show by mathematical induction that if  $ab = ba$ , then  $(ab)^n = a^n b^n$  for  $n \in \mathbb{Z}^+$ .
38. Prove that in the multiplication table of a group every element appears exactly once in each row and column.
39. Prove that the condition in Exercise 38 is necessary, but not sufficient, for a multiplication table to be that of a group.

## 9.5 Products and Quotients of Groups

In this section, we shall obtain new groups from other groups by using the ideas of product and quotient. Since a group has more structure than a semigroup, our results will be deeper than analogous results for semigroups as discussed in Section 9.3.

**Theorem 1** If  $G_1$  and  $G_2$  are groups, then  $G = G_1 \times G_2$  is a group with binary operation defined by

$$(a_1, b_1)(a_2, b_2) = (a_1 a_2, b_1 b_2). \quad (1)$$

*Proof*

By Theorem 1, Section 9.3, we have that  $G$  is a semigroup. The existence of an identity and inverses is easy to verify.  $\square$

### EXAMPLE 1

Let  $G_1$  and  $G_2$  be the group  $Z_2$ . For simplicity of notation, we shall write the elements of  $Z_2$  as  $\bar{0}$  and  $\bar{1}$ , respectively, instead of  $[0]$  and  $[1]$ . Then the multiplication table of  $G = G_1 \times G_2$  is given in Table 9.10.

TABLE 9.10 Multiplication Table of  $Z_2 \times Z_2$

	$(\bar{0}, \bar{0})$	$(\bar{1}, \bar{0})$	$(\bar{0}, \bar{1})$	$(\bar{1}, \bar{1})$
$(\bar{0}, \bar{0})$	$(\bar{0}, \bar{0})$	$(\bar{1}, \bar{0})$	$(\bar{0}, \bar{1})$	$(\bar{1}, \bar{1})$
$(\bar{1}, \bar{0})$	$(\bar{1}, \bar{0})$	$(\bar{0}, \bar{0})$	$(\bar{1}, \bar{1})$	$(\bar{0}, \bar{1})$
$(\bar{0}, \bar{1})$	$(\bar{0}, \bar{1})$	$(\bar{1}, \bar{1})$	$(\bar{0}, \bar{0})$	$(\bar{1}, \bar{0})$
$(\bar{1}, \bar{1})$	$(\bar{1}, \bar{1})$	$(\bar{0}, \bar{1})$	$(\bar{1}, \bar{0})$	$(\bar{0}, \bar{0})$

Since  $G$  is a group of order 4, it must be isomorphic to  $V$  or to  $Z_4$  (see Section 9.4), the only groups of order 4. By looking at the multiplication tables, we see that the function  $f: V \rightarrow Z_2 \times Z_2$  defined by  $f(e) = (\bar{0}, \bar{0})$ ,  $f(a) = (\bar{1}, \bar{0})$ ,  $f(b) = (\bar{0}, \bar{1})$ , and  $f(c) = (\bar{1}, \bar{1})$  is an isomorphism.  $\square$

If we repeat Example 1 with  $Z_2$  and  $Z_3$ , we find that  $Z_2 \times Z_3 \cong Z_6$ . It can be shown, in general, that  $Z_m \times Z_n \cong Z_{mn}$  if and only if  $\text{GCD}(m, n) = 1$ , that is, if and only if  $m$  and  $n$  are relatively prime.

Theorem 1 can obviously be extended to show that if  $G_1, G_2, \dots, G_n$  are groups, then  $G = G_1 \times G_2 \times \cdots \times G_n$  is also a group.

Let  $B = \{0, 1\}$  be the group defined in Example 5 of Section 9.4, where  $+$  is defined as follows:

$+$	0	1
0	0	1
1	1	0

Then  $B^n = B \times B \times \cdots \times B$  ( $n$  factors) is a group with operation  $\oplus$  defined by

$$(x_1, x_2, \dots, x_n) \oplus (y_1, y_2, \dots, y_n) = (x_1 + y_1, x_2 + y_2, \dots, x_n + y_n).$$

The identity of  $B^n$  is  $(0, 0, \dots, 0)$ , and every element is its own inverse. This group is essentially the same as the Boolean algebra  $B_n$  defined in Section 6.4, but the binary operation is very different from  $\wedge$  and  $\vee$ .  $\blacksquare$

A congruence relation on a group is simply a congruence relation on the group when it is viewed as a semigroup. We now discuss quotient structures determined by a congruence relation on a group.

**Theorem 2** Let  $R$  be a congruence relation on the group  $(G, *)$ . Then the semigroup  $(G/R, \otimes)$  is a group, where the operation  $\otimes$  is defined on  $G/R$  by

$$[a] \otimes [b] = [a * b] \quad (\text{see Section 9.3}). \quad (2)$$

*Proof*

Since a group is a monoid, we know from Corollary 1 of Section 9.3 that  $G/R$  is a monoid. We need to show that each element of  $G/R$  has an inverse. Let  $[a] \in G/R$ . Then  $[a^{-1}] \in G/R$ , and

$$[a] \otimes [a^{-1}] = [a * a^{-1}] = [e].$$

So  $[a]^{-1} = [a^{-1}]$ . Hence  $(G/R, \otimes)$  is a group.  $\square$

Since the definitions of homomorphism, isomorphism, and congruence for groups involve only the semigroup and monoid structure of groups, the following corollary is an immediate consequence of Theorems 3 and 4 of Section 9.3.

**Corollary 1** (a) If  $R$  is a congruence relation on a group  $G$ , then the function  $f_R: G \rightarrow G/R$ , given by  $f_R(a) = [a]$ , is a group homomorphism.  
(b) If  $f: G \rightarrow G'$  is a homomorphism from the group  $(G, *)$  onto the group  $(G', *)$ , and  $R$  is the relation defined on  $G$  by  $a R b$  if and only if  $f(a) = f(b)$ , for  $a$  and  $b$  in  $G$ , then

1.  $R$  is a congruence relation.
2. The function  $\bar{f}: G/R \rightarrow G'$ , given by  $\bar{f}([a]) = f(a)$ , is an isomorphism from the group  $(G/R, \otimes)$  onto the group  $(G', *)$ .  $\square$

Congruence relations on groups have a very special form, which we will now develop. Let  $H$  be a subgroup of a group  $G$ , and let  $a \in G$ . The **left coset** of  $H$  in  $G$  determined by  $a$  is the set  $aH = \{ah \mid h \in H\}$ . The **right coset** of  $H$  in  $G$  determined by  $a$  is the set  $Ha = \{ha \mid h \in H\}$ . Finally, we will say that a subgroup  $H$  of  $G$  is **normal** if  $aH = Ha$  for all  $a$  in  $G$ .

**Warning** If  $Ha = aH$ , it does not follow that, for  $h \in H$  and  $a \in G$ ,  $ha = ah$ . It does follow that  $ha = ah'$ , where  $h'$  is some element in  $H$ .



If  $H$  is a subgroup of  $G$ , we shall need in some applications to compute all the left cosets of  $H$  in  $G$ . First, suppose that  $a \in H$ . Then  $aH \subseteq H$ , since  $H$  is a subgroup of  $G$ ; moreover, if  $h \in H$ , then  $h = ah'$ , where  $h' = a^{-1}h \in H$ , so that  $H \subseteq aH$ . Thus, if  $a \in H$ , then  $aH = H$ . This means that, when finding all the cosets of  $H$ , we need not compute  $aH$  for  $a \in H$ , since it will always be  $H$ .

Let  $G$  be the symmetric group  $S_3$  discussed in Example 6 of Section 9.4. The subset  $H = \{f_1, g_2\}$  is a subgroup of  $G$ . Compute all the distinct left cosets of  $H$  in  $G$ .

### Solution

If  $a \in H$ , then  $aH = H$ . Thus

$$f_1H = g_2H = H.$$

Also,

$$\begin{aligned} f_2H &= \{f_2, g_1\} \\ f_3H &= \{f_3, g_3\} \\ g_1H &= \{g_1, f_2\} = f_2H \\ g_3H &= \{g_3, f_3\} = f_3H. \end{aligned}$$

The distinct left cosets of  $H$  in  $G$  are  $H$ ,  $f_2H$ , and  $f_3H$ . ■

Let  $G$  and  $H$  be as in Example 3. Then the right coset  $Hf_2 = \{f_2, g_3\}$ . In Example 3 we saw that  $f_2H = \{f_2, g_1\}$ . It follows that  $H$  is not a normal subgroup of  $G$ . ■

Show that if  $G$  is an Abelian group, then every subgroup of  $G$  is a normal subgroup.

### Solution

Let  $H$  be a subgroup of  $G$  and let  $a \in G$  and  $h \in H$ . Then  $ha = ah$ , so  $Ha = aH$ , which implies that  $H$  is a normal subgroup of  $G$ . ■

**Theorem 3** Let  $R$  be a congruence relation on a group  $G$ , and let  $H = [e]$ , the equivalence class containing the identity. Then  $H$  is a normal subgroup of  $G$  and, for each  $a \in G$ ,  $[a] = aH = Ha$ .

### Proof

Let  $a$  and  $b$  be any elements in  $G$ . Since  $R$  is an equivalence relation,  $b \in [a]$  if and only if  $[b] = [a]$ . Also,  $G/R$  is a group by Theorem 2. Therefore,  $[b] = [a]$  if and only if  $[e] = [a]^{-1}[b] = [a^{-1}b]$ . Thus  $b \in [a]$  if and only if  $H = [e] = [a^{-1}b]$ . That is,  $b \in [a]$  if and only if  $a^{-1}b \in H$  or  $b \in aH$ . This proves that  $[a] = aH$  for every  $a \in G$ . We can show similarly that  $b \in [a]$  if and only if  $H = [e] = [b][a]^{-1} = [ba^{-1}]$ . This is equivalent to the statement  $[a] = Ha$ . Thus  $[a] = aH = Ha$ , and  $H$  is normal. ■

Combining Theorem 3 with Corollary 1, we see that in this case the quotient group  $G/R$  consists of all the left cosets of  $N = [e]$ . The operation in  $G/R$  is given by

$$(aN)(bN) = [a] \otimes [b] = [ab] = abN$$

and the function  $f_R: G \rightarrow G/R$ , defined by  $f_R(a) = aN$ , is a homomorphism from  $G$  onto  $G/R$ . For this reason, we will often write  $G/R$  as  $G/N$ .

We next consider the question of whether every normal subgroup of a group  $G$  is the equivalence class of the identity of  $G$  for some congruence relation.

**Theorem 4** Let  $N$  be a normal subgroup of a group  $G$ , and let  $R$  be the following relation on  $G$ :

$$a R b \text{ if and only if } a^{-1}b \in N.$$

Then

- (a)  $R$  is a congruence relation on  $G$ .
- (b)  $N$  is the equivalence class  $[e]$  relative to  $R$ , where  $e$  is the identity of  $G$ .

### Proof

- (a) Let  $a \in G$ . Then  $a R a$ , since  $a^{-1}a = e \in N$ , so  $R$  is reflexive. Next, suppose that  $a R b$ , so that  $a^{-1}b \in N$ . Then  $(a^{-1}b)^{-1} = b^{-1}a \in N$ , so  $b R a$ . Hence  $R$  is symmetric. Finally, suppose that  $a R b$  and  $b R c$ . Then  $a^{-1}b \in N$  and  $b^{-1}c \in N$ . Then  $(a^{-1}b)(b^{-1}c) = a^{-1}c \in N$ , so  $a R c$ . Hence  $R$  is transitive. Thus  $R$  is an equivalence relation on  $G$ .

Next we show that  $R$  is a congruence relation on  $G$ . Suppose that  $a R b$  and  $c R d$ . Then  $a^{-1}b \in N$  and  $c^{-1}d \in N$ . Since  $N$  is normal,  $Nd = dN$ ; that is, for any  $n_1 \in N$ ,  $n_1d = dn_2$  for some  $n_2 \in N$ . In particular, since  $a^{-1}b \in N$ , we have  $a^{-1}bd = dn_2$  for some  $n_2 \in N$ . Then  $(ac)^{-1}bd = (c^{-1}a^{-1})(bd) = c^{-1}(a^{-1}b)d = (c^{-1}d)n_2 \in N$ , so  $ac R bd$ . Hence  $R$  is a congruence relation on  $G$ .

- (b) Suppose that  $x \in N$ . Then  $x^{-1}e = x^{-1} \in N$  since  $N$  is a subgroup, so  $x R e$  and therefore  $x \in [e]$ . Thus  $N \subseteq [e]$ . Conversely, if  $x \in [e]$ , then  $x R e$ , so  $x^{-1}e = x^{-1} \in N$ . Then  $x \in N$  and  $[e] \subseteq N$ . Hence  $N = [e]$ . ■

We see, thanks to Theorems 3 and 4, that if  $G$  is any group, then the equivalence classes with respect to a congruence relation on  $G$  are always the cosets of some normal subgroup of  $G$ . Conversely, the cosets of any normal subgroup of  $G$  are just the equivalence classes with respect to some congruence relation on  $G$ . We may now, therefore, translate Corollary 1(b) as follows: Let  $f$  be a homomorphism from a group  $(G, *)$  onto a group  $(G', *)$ , and let the **kernel** of  $f$ ,  $\ker(f)$ , be defined by

$$\ker(f) = \{a \in G \mid f(a) = e'\}.$$

Then

- (a)  $\ker(f)$  is a normal subgroup of  $G$ .
- (b) The quotient group  $G/\ker(f)$  is isomorphic to  $G'$ .

This follows from Corollary 1 and Theorem 3, since if  $R$  is the congruence relation on  $G$  given by

$$a R b \text{ if and only if } f(a) = f(b),$$

then it is easy to show that  $\ker(f) = [e]$ .

Consider the homomorphism  $f$  from  $\mathbb{Z}$  onto  $\mathbb{Z}_n$  defined by

$$f(m) = [r],$$

where  $r$  is the remainder when  $m$  is divided by  $n$ . (See Example 15 of Section 9.4.) Find  $\ker(f)$ .

**Solution**

An integer  $m$  in  $Z$  belongs to  $\ker(f)$  if and only if  $f(m) = [0]$ , that is, if and only if  $m$  is a multiple of  $n$ . Hence  $\ker(f) = nZ$ . ■

**9.5 Exercises**

- Write the multiplication table for the group  $Z_2 \times Z_3$ .
- Prove that if  $G$  and  $G'$  are Abelian groups, then  $G \times G'$  is an Abelian group.
- Let  $G_1$  and  $G_2$  be groups. Prove that  $G_1 \times G_2$  and  $G_2 \times G_1$  are isomorphic.
- Let  $G_1$  and  $G_2$  be groups. Show that the function  $f: G_1 \times G_2 \rightarrow G_1$  defined by  $f(a, b) = a$ , for  $a \in G_1$  and  $b \in G_2$ , is a homomorphism.
- Determine the multiplication table of the quotient group  $Z/3Z$ , where  $Z$  has operation  $+$ .
- Let  $Z$  be the group of integers under the operation of addition. Prove that the function  $f: Z \times Z \rightarrow Z$  defined by  $f(a, b) = a + b$  is a homomorphism.
- What is  $\ker(f)$  for the function  $f$  in Exercise 4?
- What is  $\ker(f)$  for the function  $f$  in Exercise 6?
- Let  $G = Z_4$ . Determine all the left cosets of  $H = \{[0]\}$  in  $G$ .
- Let  $G = Z_4$ . Determine all the left cosets of  $H = \{[0], [2]\}$  in  $G$ .
- Let  $G = Z_4$ . Determine all the left cosets of  $H = \{[0], [1], [2], [3]\}$  in  $G$ .
- Let  $S = \{1, -1, i, -i\}$ ,  $i = \sqrt{-1}$ , and  $G = (S, \text{complex number multiplication})$ .  
(a) Show that  $H = \{1, -1\}$  is a subgroup of  $G$ .  
(b) Determine all left cosets of  $H$ .
- Prove or disprove that  $G$  in Exercise 12 is isomorphic to  $Z_4$ .
- Let  $G = S_3$ . Determine all the left cosets of  $H = \{f_1, g_1\}$  in  $G$ .
- Let  $G = S_3$ . Determine all the left cosets of  $H = \{f_1, g_3\}$  in  $G$ .
- Let  $G = S_3$ . Determine all the left cosets of  $H = \{f_1, f_2, f_3\}$  in  $G$ .
- Let  $G = S_3$ . Determine all the left cosets of  $H = \{f_1\}$  in  $G$ .
- Let  $G = S_3$ . Determine all the left cosets of  $H = \{f_1, f_2, f_3, g_1, g_2, g_3\}$  in  $G$ .
- Let  $G = Z_8$ . Determine all the left cosets of  $H = \{[0], [4]\}$  in  $G$ .
- Let  $G = Z_8$ . Determine all the left cosets of  $H = \{[0], [2], [4], [6]\}$  in  $G$ .
- Let  $Z$  be the group of integers under the operation of addition, and let  $G = Z \times Z$ . Consider the subgroup  $H = \{(x, y) \mid x = y\}$  of  $G$ . Describe the left cosets of  $H$  in  $G$ .
- Let  $N$  be a subgroup of a group  $G$ , and let  $a \in G$ . Define  $a^{-1}Na = \{a^{-1}na \mid n \in N\}$ .  
Prove that  $N$  is a normal subgroup of  $G$  if and only if  $a^{-1}Na = N$  for all  $a \in G$ .
- Let  $N$  be a subgroup of group  $G$ . Prove that  $N$  is a normal subgroup of  $G$  if and only if  $a^{-1}Na \subseteq N$  for all  $a \in G$ .
- Find all the normal subgroups of  $S_3$ .
- Find all the normal subgroups of  $D_4$ . (See Exercise 19 of Section 9.4.)
- Let  $G$  be a group, and let  $H = \{x \mid x \in G \text{ and } xa = ax \text{ for all } a \in G\}$ . Show that  $H$  is a normal subgroup of  $G$ .
- Let  $H$  be a subgroup of a group  $G$ . Prove that every left coset  $aH$  of  $H$  has the same number of elements as  $H$  by showing that the function  $f_a: H \rightarrow aH$  defined by  $f_a(h) = ah$ , for  $h \in H$ , is one to one and onto.
- Let  $H$  and  $K$  be normal subgroups of  $G$ . Show that  $H \cap K$  is a normal subgroup of  $G$ .
- Let  $G$  be a group and  $H$  a subgroup of  $G$ . Let  $S$  be the set of all left cosets of  $H$  in  $G$ , and let  $T$  be the set of all right cosets of  $H$  in  $G$ . Prove that the function  $f: S \rightarrow T$  defined by  $f(aH) = Ha^{-1}$  is one to one and onto.
- Let  $G_1$  and  $G_2$  be groups. Let  $f: G_1 \times G_2 \rightarrow G_2$  be the homomorphism from  $G_1 \times G_2$  onto  $G_2$  given by  $f((g_1, g_2)) = g_2$ . Compute  $\ker(f)$ .
- Let  $f$  be a homomorphism from a group  $G_1$  onto a group  $G_2$ , and suppose that  $G_2$  is Abelian. Show that  $\ker(f)$  contains all elements of  $G_1$  of the form  $aba^{-1}b^{-1}$ , where  $a$  and  $b$  are arbitrary in  $G_1$ .
- Let  $G$  be an Abelian group and  $N$  a subgroup of  $G$ . Prove that  $G/N$  is an Abelian group.
- Let  $H$  be a subgroup of the finite group  $G$  and suppose that there are only two left cosets of  $H$  in  $G$ . Prove that  $H$  is a normal subgroup of  $G$ .
- Let  $H$  and  $N$  be subgroups of the group  $G$ . Prove that if  $N$  is a normal subgroup of  $G$ , then  $H \cap N$  is a normal subgroup of  $H$ .
- Let  $f: G \rightarrow G'$  be a group homomorphism. Prove that  $f$  is one to one if and only if  $\ker(f) = \{e\}$ .
- Let  $S = \{1, 3, 7, 9\}$  and  $G = (S, \text{multiplication mod } 10)$ .  
(a) Show that  $G$  is a group.

- Determine all left cosets of the subgroup  $\{1, 9\}$ .
- Let  $G$  be a finite group and  $H$  a subgroup of  $G$ . Prove that the set of distinct left cosets of  $H$  is a partition of  $G$ .
- Use the results of Exercises 27 and 37 to describe the relationship between the order of  $H$  and the order of  $G$ .

**9.6 Other Mathematical Structures****Rings**

In earlier sections, we have seen many cases where a set  $S$  has two binary operations defined on it. Here we study such structures in more detail. In particular, let  $S$  be a nonempty set with two binary operations  $+$  and  $*$  such that  $(S, +)$  is an Abelian group and  $*$  is distributive over  $+$ . (The operation symbols are the same as those for the most well-known such structure, the real numbers.) The structure  $(S, +, *)$  is called a **ring** if  $*$  is associative. If  $*$  is associative and commutative, we call  $(S, +, *)$  a **commutative ring**. If  $(S, *)$  is a monoid, then  $(S, +, *)$  is a **ring with identity**. The identity for  $*$  is usually denoted by 1; the identity for  $+$  is usually denoted by 0.

Let  $S = Z$ , the integers, and let  $+$  and  $*$  be the usual addition and multiplication of integers. Then  $(S, +, *)$  is a commutative ring with identity. ■

**EXAMPLE 1****EXAMPLE 2**

Let  $S$  be the set of all  $2 \times 2$  matrices, and let  $+$  and  $*$  be the operations of addition and multiplication of matrices defined in Section 1.5. Then it follows from theorems proved in Section 1.5 that  $S$  is a noncommutative ring. Let  $I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ , then  $I$  is an identity for matrix multiplication, that is,  $AI = IA = A$  for all  $A$  in  $S$ . This means that  $(S, +, *)$  is a ring with identity that is not commutative. ■

Recall that if  $a$ ,  $b$ , and  $n$  are integers, with  $n > 1$ , then we say that  $a$  is congruent to  $b$  mod  $n$ , written  $a \equiv b \pmod{n}$ , if  $a - b$  is a multiple of  $n$ , or, alternatively, if  $a$  and  $b$  have the same remainder when divided by  $n$ . We showed in Section 9.4 that congruence mod  $n$  is an equivalence relation on the integers and that the set  $Z_n$  consisting of all equivalence classes is an Abelian group with respect to addition mod  $n$ . If we denote the equivalence class of an integer  $a$  by the expression  $\bar{a}$ , then  $Z_n = \{\bar{0}, \bar{1}, \bar{2}, \dots, \overline{n-1}\}$ , and  $\bar{a} + \bar{b} = \overline{a+b}$ .

We now define a multiplication in  $Z_n$ . Suppose that  $a$ ,  $b$ ,  $x$ , and  $y$  are integers and that  $a \equiv x \pmod{n}$  and  $b \equiv y \pmod{n}$ . These assumptions imply that for some integers  $s$  and  $t$ , we have  $a = x + sn$  and  $b = y + tn$ . Then  $ab = xy + xtn + ysn + stn^2$ , which means that  $ab - xy = n(xt + ys + stn)$ , so  $ab \equiv xy \pmod{n}$ . Thus we can define  $\bar{a} * \bar{b}$  to be  $\overline{ab}$  and the definition does not depend on the integers picked to represent each equivalence class.

The set  $Z_n$  with addition mod  $n$  and the multiplication defined previously is a commutative ring with identity. The computations

$$(\bar{a} * \bar{b}) * \bar{c} = \overline{ab} * \bar{c} = \overline{(ab)c} = \overline{a(bc)} = \bar{a} * \overline{bc} = \bar{a} * (\bar{b} * \bar{c})$$

and

$$\begin{aligned} \bar{a} * (\bar{b} + \bar{c}) &= \bar{a} * \overline{b+c} \\ &= \overline{a(b+c)} = \overline{ab+ac} = \overline{ab} + \overline{ac} = (\bar{a} * \bar{b}) + (\bar{a} * \bar{c}) \end{aligned}$$

**EXAMPLE 3**

show that multiplication is associative and distributive over addition. In a similar way we can prove that multiplication is associative and that 1 is the identity for multiplication. ■

Generally, we will refer to  $+$  and  $*$  as addition and multiplication even when they are not the usual operations with these names.

Many properties of the ring of integers are true for any commutative ring with identity. Two examples are given in the next theorem.

**Theorem 1** Let  $R$  be a commutative ring with additive identity 0 and multiplicative identity 1. Then

- (a) For any  $x$  in  $R$ ,  $0 * x = 0$ .
- (b) For any  $x$  in  $R$ ,  $-x = (-1) * x$ .

**Proof**

- (a) Let  $y$  denote the element  $0 * x$ . Since  $R$  is a ring, we have

$$y + y = 0 * x + 0 * x = (0 + 0) * x = 0 * x = y.$$

But  $(R, +)$  is an Abelian group, so

$$0 = (-y) + y = (-y) + (y + y) = [(-y) + y] + y = 0 + y = y,$$

which shows part (a).

- (b) Since  $x + ((-1) * x) = (1 * x) + ((-1) * x) = (1 + (-1)) * x = 0 * x = 0$ , part (b) follows. ■

In the proof of Theorem 1(b), we use the fact that an inverse in an Abelian group is unique, so that if an element behaves as an inverse, then it must be an inverse.

A nonzero element  $x$  of a commutative ring  $R$  with identity 1 is said to have a multiplicative inverse  $y$  if  $x * y = y * x = 1$ . If such a  $y$  exists, it is unique (Theorem 1 in Section 1.6). We therefore speak of the multiplicative inverse of  $x$  and denote it by  $x^{-1}$ , or sometimes by  $1/x$ .

The only integers with inverses in  $\mathbb{Z}$  are 1 and  $-1$ , but the situation in the rings  $\mathbb{Z}_n$  is different. We can show that if  $a$  is relatively prime to  $n$ , that is, if  $\text{GCD}(a, n) = 1$ , then  $\bar{a}$  has a multiplicative inverse in  $\mathbb{Z}_n$ . In fact, it follows from Theorem 4(a) of Section 1.4 that there are integers  $k$  and  $s$  satisfying the equation  $ak + ns = 1$ , or  $1 - ak = ns$ . This means that  $\bar{1} = \overline{ak} = \bar{a} * \bar{k}$ , and we see that  $\bar{a}$  has the multiplicative inverse  $\bar{k}$ .

#### EXAMPLE 4

The integer 25 is relatively prime to 384, so  $\bar{25}$  has a multiplicative inverse in  $\mathbb{Z}_{384}$ . To find it, we use the Euclidean algorithm developed in Section 1.4.

$$\begin{aligned} 384 &= 15 \times 25 + 9 \\ 25 &= 2 \times 9 + 7 \\ 9 &= 1 \times 7 + 2 \\ 7 &= 3 \times 2 + 1 \end{aligned}$$

By successive substitutions, we get

$$\begin{aligned} 1 &= 7 - 3 \cdot 2 = 7 - 3(9 - 7) = (4 \cdot 7) - (3 \cdot 9) \\ &= 4(25 - 2 \cdot 9) - (3 \cdot 9) = (4 \cdot 25) - (11 \cdot 9) \\ &= (4 \cdot 25) - 11(384 - 15 \cdot 25) = (169 \cdot 25) - 11 \cdot (384). \end{aligned}$$

This shows that  $169 \cdot 25 \equiv 1 \pmod{384}$ , so the multiplicative inverse of  $\bar{25}$  in  $\mathbb{Z}_{384}$  is  $\bar{169}$ . ■

#### Fields

Suppose that  $F$  is a commutative ring with identity. We say that  $F$  is a **field** if every nonzero element  $x$  in  $F$  has a multiplicative inverse. In the following table, we summarize the properties of a field  $F$ .

#### Field Properties

$F$  has two binary operations: an addition  $+$  and a multiplication  $*$ , and two special elements denoted 0 and 1, so that for all  $x, y$ , and  $z$  in  $F$ ,

- (1)  $x + y = y + x$
- (2)  $x * y = y * x$
- (3)  $(x + y) + z = x + (y + z)$
- (4)  $(x * y) * z = x * (y * z)$
- (5)  $x + 0 = x$
- (6)  $x * 1 = x$
- (7)  $x * (y + z) = (x * y) + (x * z)$
- (8)  $(y + z) * x = (y * x) + (z * x)$
- (9) For each  $x$  in  $F$  there is a unique element in  $F$  denoted by  $-x$  so that  $x + (-x) = 0$ .
- (10) For each  $x \neq 0$  in  $F$  there is a unique element in  $F$  denoted by  $x^{-1}$  so that  $x * x^{-1} = 1$ .

#### EXAMPLE 5

The collection  $\mathbb{R}$  of all real numbers, with ordinary addition and multiplication, is a field. Here  $x^{-1} = 1/x$ . The field properties shown in the preceding table are the standard rules of arithmetic. ■

#### EXAMPLE 6

The collection  $\mathbb{Q}$  of all rational numbers, with ordinary addition and multiplication, is a field. ■

The preceding examples are typical of fields. Fields obey virtually all the familiar rules of arithmetic and algebra, and most algebraic techniques can be used in any field. Remarkably, there are fields with only a finite number of elements. The following theorem introduces the finite fields most important to our future discussions.

**Theorem 2** The ring  $\mathbb{Z}_n$  is a field when  $n$  is a prime.

**Proof**

Recall that  $n$  is a prime if it has no divisors other than itself and 1. If  $\bar{a}$  is any nonzero element of  $\mathbb{Z}_n$ , then  $a$  is not divisible by  $n$ , so  $\text{GCD}(a, n) = 1$ . It follows from the discussion preceding Example 4 that  $\bar{a}$  has a multiplicative inverse, so  $\mathbb{Z}_n$  is a field. ■

#### EXAMPLE 7

By Theorem 2,  $\mathbb{Z}_5 = \{\bar{0}, \bar{1}, \bar{2}, \bar{3}, \bar{4}\}$  is a field. Since  $2 + 3 = 5$ , we have  $\bar{2} + \bar{3} = \bar{0}$ , so  $-\bar{2} = \bar{3}$  and  $-\bar{3} = \bar{2}$ . Similarly,  $-\bar{4} = \bar{1}$  and  $-\bar{1} = \bar{4}$ . For notational convenience, we denote the multiplicative inverse of a nonzero element  $\bar{a}$  in this field by  $\frac{1}{\bar{a}}$ , and the product of elements  $\bar{a}$  and  $\bar{b}$  by  $\bar{a} \cdot \bar{b}$ . Then, since  $2 \cdot 3 = 6 = 1 \cdot 5 + 1$ , we see that  $\bar{2} \cdot \bar{3} = \bar{1}$ . Thus  $\frac{1}{\bar{2}} = \bar{3}$  and  $\frac{1}{\bar{3}} = \bar{2}$ . Similarly, since  $4 \cdot 4 = 16 = 3 \cdot 5 + 1$ , we

have  $\frac{1}{4} = \bar{4}$  and, as in the real number field,  $\bar{1}$  is also its own multiplicative inverse. We can use these facts in the same way we would for real numbers. For example, suppose we want to solve the following system of equations simultaneously:

$$\begin{cases} 3x + 2y = \bar{4} \\ 2x + 4y = \bar{2} \end{cases}$$

We could begin by multiplying the first equation by  $\frac{1}{3} = \bar{2}$ , to obtain  $x + \bar{4}y = \bar{3}$  (since  $\bar{2} \cdot \bar{4} = \bar{3}$ ), or  $x = \bar{3} - \bar{4}y = \bar{3} + (-\bar{4})y = \bar{3} + y$ , using Theorem 1(b). We then substitute for  $x$  in the second equation and obtain

$$\bar{2} \cdot (\bar{3} + y) + \bar{4}y = \bar{1} + y = \bar{2},$$

where we have used the facts that  $\bar{2} \cdot \bar{3} = \bar{1}$  and  $\bar{2} + \bar{4} = \bar{1}$ . We see that  $y = \bar{1}$ , so  $x = \bar{4}$ . ■

The reader is invited to check this result by substituting into the system of equations.

### ■ Fermat's Little Theorem

An important property of any field  $F$  is that the set  $F'$  of nonzero elements of  $F$  is an Abelian group under multiplication. We need to show that  $F'$  is closed under multiplication, that is, that the product of nonzero elements of  $F$  is nonzero. Then the result will follow from properties (2), (4), (6), and (10) of fields. Suppose that  $a * b = 0$  in  $F$ . If  $a$  is not 0, then we can multiply both sides of the equation  $a * b = 0$  by  $a^{-1}$  and obtain

$$b = a^{-1} * 0 = 0$$

by Theorem 1(a). Thus either  $a$  or  $b$  must be 0. It follows that the product of nonzero elements in  $F$  is nonzero, and thus  $F'$  is closed under multiplication and is therefore an Abelian group.

The following result has many mathematical uses and parts (b) and (c) will be used for our treatment of public key cryptography in Chapter 11.

- Theorem 3**
- (a) If  $G = \{g_1, g_2, \dots, g_n\}$  is a finite Abelian group with identity denoted by  $e$ , and  $a$  is any element of  $G$ , then  $a^n = e$ .
  - (b) **Fermat's Little Theorem:** If  $p$  is a prime number, and  $\text{GCD}(a, p) = 1$ , then  $a^{p-1} \equiv 1 \pmod{p}$ .
  - (c) If  $p$  is a prime number, and  $a$  is any integer, then  $a^p \equiv a \pmod{p}$ .

### Proof

- (a) Corollary 1 in Section 9.4 shows that multiplication by an element in a group is a one-to-one function. Therefore, the products  $ag_1, ag_2, \dots, ag_n$  are all distinct, and are simply the elements  $g_1, g_2, \dots, g_n$  possibly arranged in a different order. It follows from this and the commutativity of multiplication in  $G$  that

$$g_1 g_2 \cdots g_n = (ag_1)(ag_2) \cdots (ag_n) = g_1 g_2 \cdots g_n (a^n).$$

Part (a) results from multiplying each side of this equation on the left by  $(g_1 g_2 \cdots g_n)^{-1}$ .

- (b) If  $p$  is a prime, then  $Z_p$  is a field by Theorem 2, so the nonzero elements form an Abelian group under multiplication. The identity of this group is  $\bar{1}$ . Since this group has  $p - 1$  elements, part (a) implies that if  $\bar{a} \neq \bar{0}$ , then  $[\bar{a}]^{p-1} = \bar{1}$ . This is equivalent to part (b).
- (c) If  $a$  is not divisible by  $p$ , then we can apply Fermat's Little Theorem, and the result follows by multiplying both sides of the congruence by  $a$ . If  $a$  is divisible by  $p$ , then  $a^p \equiv 0 \pmod{p}$  and  $a \equiv 0 \pmod{p}$ , so  $a^p$  and  $a$  are congruent to one another. ■

### EXAMPLE 8

By Fermat's Little Theorem,  $12^{30} \equiv 1 \pmod{31}$  and  $74^{83} \equiv 74 \pmod{83}$ . ■

### EXAMPLE 9

What is the remainder when  $4^{900}$  is divided by 53?

### Solution

We know by Fermat's Little Theorem that  $4^{52} \equiv 1 \pmod{53}$ . Since

$$900 = (17 \times 52) + 16,$$

we have

$$4^{900} = 4^{(17 \times 52) + 16} = (4^{52})^{17} 4^{16} \equiv 4^{16} \pmod{53}.$$

Now

$$4^3 = 64 \equiv 11 \pmod{53}$$

$$4^6 \equiv 11^2 \equiv 15 \pmod{53}$$

$$4^{12} \equiv 15^2 \equiv 13 \pmod{53}$$

$$4^{16} \equiv 4^{12} \cdot 4^4 \equiv 13 \cdot 22 \equiv 21 \pmod{53}.$$

Thus the remainder after dividing  $4^{900}$  by 53 is 21. ■

## 9.6 Exercises

In Exercises 1 through 6, determine if the mathematical structure given is a ring, a commutative ring, or a ring with identity.

- $(2 \times 2 \text{ matrices}, +, *)$
- $(n \times n \text{ diagonal matrices}, +, *)$
- $n \times n$  Boolean matrices, where  $+$  is  $\vee$  and  $*$  is  $\wedge$ .
- $S = \{0, 1\}$  where  $+$  and  $*$  are defined by the following tables:

$+$		0	1
0	0	1	0
1	1	0	0

$*$		0	1
0	0	0	0
1	1	0	1

- $S = \{a + b\sqrt{2}, a, b \in \mathbb{Z}\}$ , where  $+$  and  $*$  are ordinary addition and multiplication.
- $S = \{a + b\sqrt{5}, a, b \in \mathbb{Z}\}$ , where  $+$  and  $*$  are ordinary addition and multiplication.

A ring  $R$  has **zero divisors** if there exist elements  $a$  and  $b$  in  $R$  such that  $a \neq 0$ ,  $b \neq 0$ , and  $a * b = 0$ .

- Show that  $(2 \times 2 \text{ matrices}, +, *)$  is a ring with zero divisors.
- Show that  $Z_{10}$  is a ring with zero divisors.

An element of a ring  $R$  is called a **unit** of  $R$  if  $r$  has a multiplicative inverse,  $r^{-1}$ , in  $R$ . In Exercises 9 through 12, give all units of the given ring.

- $Z_4$
- $Z_7$
- $Z_{10}$
- $Z_{11}$

$T$  is a **subring** of a ring  $R$  if  $(T, +)$  is a subgroup of  $(R, +)$  and  $(T, *)$  is a subsemigroup of  $(R, *)$ .

- Show that the set of  $2 \times 2$  matrices of the form  $\begin{bmatrix} 0 & a \\ 0 & b \end{bmatrix}$  is a subring of the ring in Exercise 1.
- Show that the integers form a subring of the ring given in Exercise 5.
- For each of the structures in Exercises 1 through 6, determine if the structure is a field. Explain your decisions.
- In the field  $Z_7$ , find each of the following.
  - $-\bar{3}$
  - $-\bar{2}$
  - $-\bar{6}$
  - $\frac{1}{2}$
  - $\frac{2}{3}$
- Find the multiplicative inverse of  $\bar{55}$  in  $Z_{196}$ .
- Find the multiplicative inverse of  $\bar{29}$  in  $Z_{96}$ .

19. Solve the following system of equations in
- $Z_5$
- .

$$\begin{cases} 4x - 3y = 1 \\ 2x + y = 3 \end{cases}$$

20. Solve the following system of equations in
- $Z_7$
- .

$$\begin{cases} 4x - 3y = 1 \\ 2x + 4y = 2 \end{cases}$$

21. Find all solutions of each equation in
- $Z_7$
- .

$$(a) x^2 + 2x + 3 = 4 \quad (b) x^2 + 4x + 1 = 3$$

22. Find all solutions of each equation in
- $Z_5$
- .

$$(a) x^2 + 2x + 3 = 4 \quad (b) x^2 + 4x + 1 = 3$$

23. What is the remainder when
- $3^{450}$
- is divided by 17?

24. What is the remainder when
- $5^{219}$
- is divided by 17?

25. The field
- $Z_2$
- can be identified with the finite Boolean algebra
- $B$
- defined in Section 6.5, where
- $+$
- and
- $*$
- are given by the tables in Exercise 4. If these tables are viewed as truth tables, then each has a Boolean function that represents it.

- (a) Find the Boolean function
- $f$
- such that
- $f(x, y) = x + y$
- .

- (b) Find the Boolean function
- $g$
- such that
- $g(x, y) = x * y$
- .

26. Prove that a field cannot have any zero divisors.

27. What condition on the set of units of a ring
- $R$
- will guarantee that
- $R$
- is a field?

28. Prove that if
- $n$
- is not a prime, then
- $Z_n$
- is not a field.

29. Prove that
- $Z_n$
- is a field if and only if
- $n$
- is a prime.

### Tips for Proofs

The proofs in this chapter are mostly simple direct proofs, in part because we have introduced several new mathematical structures (semigroup, monoids, groups, Abelian groups, rings, and fields). With a new structure we first explore the simple consequences of the definitions; for example, Theorem 1, Section 9.2. However, proofs of uniqueness are frequently indirect as in Theorems 1 and 4 in Section 9.4.

The idea of a substructure appears several times in this chapter. In general, to prove that a subset forms a substructure of a mathematical structure, we show that the subset together with the operation(s) satisfy the definition of this type of structure. But any global property such as associativity is inherited by the subset so we need only check closure properties and properties involving special elements. Thus, to show that a subset is a subgroup, we check closure for the multiplication, that the identity belongs to the subset, and that the inverse of each element in the subset belongs to the subset.

Isomorphism is a powerful tool for proving statements, since, roughly speaking, establishing an isomorphism between two structures allows us to transfer knowledge about one structure to the other. This can be seen in Theorem 4, Section 9.2.

### Key Ideas for Review

- Binary operation on  $A$ : everywhere defined function  $f: A \times A \rightarrow A$
- Commutative binary operation:  $a * b = b * a$
- Associative binary operation:  $a * (b * c) = (a * b) * c$
- Semigroup: nonempty set  $S$  together with an associative binary operation  $*$  defined on  $S$
- Monoid: semigroup that has an identity
- Subsemigroup  $(T, *)$  of semigroup  $(S, *)$ :  $T$  is a nonempty subset of  $S$  and  $a * b \in T$  whenever  $a$  and  $b$  are in  $T$ .
- Submonoid  $(T, *)$  of monoid  $(S, *)$ :  $T$  is a nonempty subset of  $S$ ,  $e \in T$ , and  $a * b \in T$  whenever  $a$  and  $b$  are in  $T$ .

- Isomorphism: see page 337
- Homomorphism: see page 339
- Theorem: Let  $(S, *)$  and  $(T, *)$  be monoids with identities  $e$  and  $e'$ , respectively, and suppose that  $f: S \rightarrow T$  is an isomorphism. Then  $f(e) = e'$ .
- Theorem: If  $(S, *)$  and  $(T, *)$  are semigroups, then  $(S \times T, *)$  is a semigroup, where  $*$  is defined by
 
$$(s_1, t_1) * (s_2, t_2) = (s_1 * s_2, t_1 * t_2).$$
- Congruence relation  $R$  on semigroup  $(S, *)$ : equivalence relation  $R$  such that  $a R a'$  and  $b R b'$  imply that  $(a * b) R (a' * b')$

- Theorem: Let  $R$  be a congruence relation on the semigroup  $(S, *)$ . Define the operation  $\otimes$  in  $S/R$  as follows:

$$[a] \otimes [b] = [a * b].$$

Then  $(S/R, \otimes)$  is a semigroup.

- Quotient semigroup or factor semigroup  $S/R$ : see page 343
- $Z_n$ : see page 344

- Theorem (Fundamental Homomorphism Theorem): Let  $f: S \rightarrow T$  be a homomorphism of the semigroup  $(S, *)$  onto the semigroup  $(T, *)$ . Let  $R$  be the relation on  $S$  defined by  $a R b$  if and only if  $f(a) = f(b)$ , for  $a$  and  $b$  in  $S$ . Then

- (a)  $R$  is a congruence relation.

- (b)  $T$  is isomorphic to  $S/R$ .

- Group  $(G, *)$ : monoid with identity  $e$  such that for every  $a \in G$  there exists  $a' \in G$  with the property that  $a * a' = a' * a = e$ .

- Theorem: Let  $G$  be a group, and let  $a, b$ , and  $c$  be elements of  $G$ . Then

- (a)  $ab = ac$  implies that  $b = c$  (left cancellation property).

- (b)  $ba = ca$  implies that  $b = c$  (right cancellation property).

- Theorem: Let  $G$  be a group, and let  $a$  and  $b$  be elements of  $G$ . Then

- (a)  $(a^{-1})^{-1} = a$ .

- (b)  $(ab)^{-1} = b^{-1}a^{-1}$ .

- Order of a group  $G$ :  $|G|$ , the number of elements in  $G$

- $S_n$ : the symmetric group on  $n$  letters

- Subgroup: see pages 353–354

- Theorem: Let  $R$  be a congruence relation on the group  $(G, *)$ . Then the semigroup  $(G/R, \otimes)$  is a group, where the operation  $\otimes$  is defined in  $G/R$  by

$$[a] \otimes [b] = [a * b].$$

### Review Questions

- What does it mean to say a set is closed with respect to a binary operation?
- How does an isomorphism of semigroups differ from an isomorphism of posets? How are an isomorphism of groups and an isomorphism of posets alike?
- What are the properties that define a congruence relation?
- Why are groups said to have more structure than semigroups?
- How does a field differ from a ring?

### Chapter 9 Self-Test

- For each of the following, determine whether the description of  $*$  is a valid definition of a binary operation on the given set.
  - On the set of  $2 \times 2$  Boolean matrices, where  $A * B = [(a_{ij} + b_{ij}) \pmod{2}]$
  - On the set of even integers, where  $a * b = a + b$

- Left coset  $aH$  of  $H$  in  $G$  determined by  $a$ :  $\{ah \mid h \in H\}$
- Normal subgroup: subgroup  $H$  such that  $aH = Ha$  for all  $a$  in  $G$
- Theorem: Let  $R$  be a congruence relation on a group  $G$ , and let  $H = [e]$ , the equivalence class containing the identity. Then  $H$  is a normal subgroup of  $G$  and, for each  $a \in G$ ,  $\{a\} = aH = Ha$ .
- Theorem: Let  $N$  be a normal subgroup of a group  $G$ , and let  $R$  be the following relation on  $G$ :

$$a R b \text{ if and only if } a^{-1}b \in N.$$

Then

- (a)  $R$  is a congruence relation on  $G$ .

- (b)  $N$  is the equivalence class  $[e]$  relative to  $R$ , where  $e$  is the identity of  $G$ .

- Ring  $(S, +, *)$ : nonempty set  $S$  such that  $(S, +)$  is an Abelian group,  $*$  is associative, and  $*$  distributes over  $+$ .

- Commutative ring: ring in which the operation  $*$  is commutative.

- Theorem: Let  $R$  be a commutative ring with additive identity 0 and multiplicative identity 1. Then

- (a) For any  $x$  in  $R$ ,  $0 * x = 0$ .

- (b) For any  $x$  in  $R$ ,  $-x = (-1) * x$ .

- Field: commutative ring with identity in which every nonzero element has a multiplicative inverse

- Theorem: The ring  $Z_n$  is a field when  $n$  is a prime.

- Theorem:

- (a) If  $G = \{g_1, g_2, \dots, g_n\}$  is a finite Abelian group with identity denoted by  $e$ , and  $a$  is any element of  $G$ , then  $a^n = e$ .

- (b) (Fermat's Little Theorem) If  $p$  is a prime number, and  $\text{GCD}(a, p) = 1$ , then  $a^{p-1} \equiv 1 \pmod{p}$ .

- (c) If  $p$  is a prime number and  $a$  is any integer, then  $a^p \equiv a \pmod{p}$ .

$*$	$a$	$b$	$c$
$a$			$c$
$b$			
$c$		$b$	

3. Let  $\mathbb{Q}$  be the set of rational numbers and define  $a * b = a + b - ab$ .
  - (a) Is  $(\mathbb{Q}, *)$  a monoid? Justify your answer.
  - (b) If  $(\mathbb{Q}, *)$  is a monoid, which elements of  $\mathbb{Q}$  have an inverse?
4. Determine whether the set together with the operation is a semigroup, a monoid, or neither for each of the pairs given in Exercise 1.
5. Let  $A = \{0, 1\}$ , and consider the semigroup  $(A^*, \cdot)$ , where  $\cdot$  is the operation of concatenation. Define a relation  $R$  on this semigroup by  $\alpha R \beta$  if and only if  $\alpha$  and  $\beta$  have the same length. Prove that  $R$  is a congruence relation.
6. Let  $G$  be a group and define  $f: G \rightarrow G$  by  $f(a) = a^{-1}$ . Is  $f$  a homomorphism? Justify your answer.
7. Let  $G$  be the group whose multiplication table is given below and let  $H$  be the subgroup  $\{c, d, e\}$ .

$*$	$e$	$a$	$b$	$c$	$d$	$f$
$e$	$e$	$a$	$b$	$c$	$d$	$f$
$a$	$a$	$e$	$c$	$b$	$f$	$d$
$b$	$b$	$d$	$e$	$f$	$a$	$c$
$c$	$c$	$f$	$a$	$d$	$e$	$b$
$d$	$d$	$b$	$f$	$e$	$c$	$a$
$f$	$f$	$c$	$d$	$a$	$b$	$e$

### Coding Exercises

For each of the following, write the requested program or subroutine in pseudocode (as described in Appendix A) or in a programming language that you know. Test your code either with a paper-and-pencil trace or with a computer run.

Let  $Z_n$  be as defined in Section 9.3.

1. Write a function SUM that takes two elements of  $Z_n$ ,  $[x]$  and  $[y]$  and returns their sum  $[x] \oplus [y]$ . The user should be able to input a choice for  $n$ .

### Experiment 9

The purpose of this experiment is to investigate relationships among groups, subgroups, and elements. Five groups are given as examples to use in the investigation. You may decide to look at other groups as well to test your conjectures.

- $S_3$  is the group of permutations of  $\{1, 2, 3\}$  with the operation of composition. It is also the group of symmetries of a triangle. (See Section 9.4.)
- $D_4$  is the group of symmetries of a square. (This group is presented in Exercise 19, Section 9.4.)
- $S_4$  is the group of permutations of  $\{1, 2, 3, 4\}$  with the operation of composition.
- $G_1$  is the group whose multiplication table is given in Table 1.
- $G_2$  is the group whose multiplication table is given in Table 2.

Find the right cosets of  $H$  in  $G$ .

8. Let  $f: G_1 \rightarrow G_2$  be a homomorphism from the group  $(G_1, *)_1$  onto the group  $(G_2, *)_2$ . If  $N$  is a normal subgroup of  $G_1$ , show that its image  $f(N)$  is a normal subgroup of  $G_2$ .
9. Let  $G$  be a group with identity  $e$ . Show that if  $x^2 = x$  for some  $x$  in  $G$ , then  $x = e$ .
10. Let  $G$  be the group of integers under the operation of addition and  $G'$  be the group of all even integers under the operation of addition. Show that the function  $f: G \rightarrow G'$  defined by  $f(a) = 2a$  is an isomorphism.
11. Let  $H_1, H_2, \dots, H_k$  be subgroups of a group  $G$ . Prove that  $\bigcap_{i=1}^k H_i$  is also a subgroup of  $G$ .
12. Prove that if  $\sqrt{n}$  is an irrational number, then the set of numbers of the form  $a + b\sqrt{n}$ ,  $a, b$  integers, together with ordinary addition and multiplication, is a field.
2. Let  $H = \{[0], [2]\}$ . Write a subroutine that computes the left cosets of  $H$  in  $Z_6$ .
3. Let  $H = \{[0], [2], [4], [6]\}$ . Write a subroutine that computes the right cosets of  $H$  in  $Z_8$ .
4. Write a program that given a finite operation table will determine if the operation satisfies the associative property.
5. Write a program that given a finite group  $G$  and a subgroup  $H$  determines if  $H$  is a normal subgroup of  $G$ .

TABLE 1

	1	2	3	4	5	6	7	8
1	1	2	3	4	5	6	7	8
2	2	5	4	7	6	1	8	3
3	3	8	5	2	7	4	1	6
4	4	3	6	5	8	7	2	1
5	5	6	7	8	1	2	3	4
6	6	1	8	3	2	5	4	7
7	7	4	1	6	3	8	5	2
8	8	7	2	1	4	3	6	5

TABLE 2

	1	2	3	4	5
1	1	2	3	4	5
2	2	3	4	5	1
3	3	4	5	1	2
4	4	5	1	2	3
5	5	1	2	3	4

You may find it helpful to write out the multiplication tables for  $S_3$ ,  $D_4$ , and  $S_4$ .

1. Identify the identity element  $e$  for each of the five groups.
2. For each of the five groups, do the following. For each element  $g$  in the group, find the smallest  $k$  for which  $g^k = e$ , the identity. This number  $k$  is called the **order of  $g$** .
3. What is the relationship between the order of an element of a group and the order of the group? (The order of a group is the number of elements.)
4. For each of the five groups, find all subgroups of the group.
5. A group is called **cyclic** if its elements are the powers of one of the elements. Identify any cyclic groups among the subgroups of each group.
6. What is the relationship between the order of a subgroup and the order of the group?
7. The groups  $G_1$  and  $D_4$  are both of order 8. Are they isomorphic? Explain your reasoning.