

# Cardinality

## Definition

The *cardinality* of a set  $A$ , denoted  $|A|$ , is the number of elements in  $A$ .

This is fairly intuitive for finite sets, but what about infinite sets like  $\mathbb{Z}$  and  $\mathbb{R}$ ?

## Definition

To say that two sets  $A$  and  $B$  are *equivalent*, denoted  $A \approx B$ , means there exists a bijection  $\phi : A \rightarrow B$ . When two sets are equivalent they are said to have the same cardinality, denoted  $|A| = |B|$ .

## Notation

$$[0] = \emptyset$$

$$[n] = \{1, 2, 3, \dots, n\}$$

## Definition

To say that a set  $A$  is finite means  $\exists n \in \mathbb{Z}^+, A \approx [n]$ . Otherwise,  $A$  is said to be infinite.

To say that  $A$  is countable means  $A$  is finite or  $A \approx \mathbb{Z}^+$ . Otherwise,  $A$  is said to be uncountable.

Note that by definition,  $\mathbb{Z}^+$  is countably infinite. We denote the cardinality of  $\mathbb{Z}^+$  by:

$$|\mathbb{Z}^+| = \aleph_0$$

## Theorem

$\mathbb{Z}$  is countable, and in fact:

$$|\mathbb{Z}| = \aleph_0$$

## Proof

Consider the following bijection mapping  $\mathbb{Z}$  to  $\mathbb{Z}^+$ :

$\mathbb{Z}$	0	1	-1	2	-2	3	-3	...
$\mathbb{Z}^+$	1	2	3	4	5	6	7	...

Thus,  $\mathbb{Z} \approx \mathbb{Z}^+$  and is therefore countable. Furthermore:

$$|\mathbb{Z}| = |\mathbb{Z}^+| = \aleph_0$$

So, even though  $\mathbb{Z}^+ \subset \mathbb{Z}$ , they have the same cardinality. In fact:

### Theorem

Every subset of a countable set is countable. Furthermore, if the set and the subset are infinite then they have the same cardinality.

### Theorem

$\mathbb{Q}$  is countable, and in fact:

$$|\mathbb{Q}| = \aleph_0$$

### Theorem

Arrange and traverse the elements of  $\mathbb{Q}$  as follows:

$$\begin{array}{ccccccccc}
 0 & & 1 & \rightarrow & -1 & & 2 & \rightarrow & -2 & \dots \\
 \downarrow & & \uparrow & & \downarrow & & \uparrow & & \downarrow & \\
 \frac{1}{2} & \rightarrow & -\frac{1}{2} & & \frac{3}{2} & & -\frac{3}{2} & & \frac{5}{2} & \dots \\
 & & & & \downarrow & & \uparrow & & \downarrow & \\
 \frac{1}{3} & \leftarrow & -\frac{1}{3} & \leftarrow & \frac{2}{3} & & -\frac{2}{3} & & \frac{4}{3} & \dots \\
 \downarrow & & & & & & \uparrow & & \downarrow & \\
 \frac{1}{4} & \rightarrow & -\frac{1}{4} & \rightarrow & \frac{3}{4} & \rightarrow & -\frac{3}{4} & & \frac{5}{4} & \dots \\
 & & & & & & & & \downarrow & \\
 \frac{1}{5} & \leftarrow & -\frac{1}{5} & \leftarrow & \frac{2}{5} & \leftarrow & -\frac{2}{5} & \leftarrow & \frac{3}{5} & \dots \\
 \downarrow & & & & & & & & & \\
 \vdots & & \vdots & & \vdots & & \vdots & & \vdots & 
 \end{array}$$

Note that every rational number is counted once; none are missed.

Therefore,  $\mathbb{Q} \approx \mathbb{Z}^+$  and  $|\mathbb{Q}| = |\mathbb{Z}^+| = \aleph_0$ .

### Theorem: Cantor Diagonalization

$[0, 1]$  is uncountable, and thus  $\mathbb{R}$  is uncountable.

### Proof

ABC that  $\mathbb{R}$  is countable.

Consider  $[0, 1] \subset \mathbb{R}$ ; it must also be countable.

Let the following represent an exhaustive list of the elements in  $[0, 1]$ :

$$0.\underline{a_{1,1}}a_{1,2}a_{1,3}a_{1,4}a_{1,5} \dots$$

$$0.a_{2,1}\underline{a_{2,2}}a_{2,3}a_{2,4}a_{2,5} \dots$$

$$0.a_{3,1}a_{3,2}\underline{a_{3,3}}a_{3,4}a_{3,5} \dots$$

$$0.a_{4,1}a_{4,2}a_{4,3}\underline{a_{4,4}}a_{4,5} \dots$$

$$0.a_{5,1}a_{5,2}a_{5,3}a_{5,4}\underline{a_{5,5}} \dots$$

$\vdots$

Now, consider a real number  $x \in [0, 1]$  whose  $n^{th}$  digit differs from the  $n^{th}$  digit in the  $n^{th}$  number in the list. Such a number is not in the original list, and thus the list can never be exhaustive. Thus,  $[0, 1]$  is not countable, and therefore neither is  $\mathbb{R}$ .

The uncountable cardinality of  $\mathbb{R}$  is simply denoted by  $|\mathbb{R}|$ , and the cardinality of  $\mathbb{R}^n$  is denoted by  $|\mathbb{R}|^n$ , where:

$$\aleph_0 < |\mathbb{R}| < |\mathbb{R}|^2 < \dots$$