# **Unitary Matrices**

## **Definition: Unitary**

Let  $U \in M_n$ . To say that U is *unitary* means:

$$UU^* = U^*U = I_n$$

## **Example: Unitary Matrices**

1). Diagonal matrices of the form:

$$\begin{bmatrix} e^{i\theta_1} & 0 \\ & \ddots \\ 0 & e^{i\theta_n} \end{bmatrix}$$

2). Diagonal signed matrices where  $\theta = 0, \pi$ :

$$\begin{bmatrix} \pm 1 & 0 \\ & \ddots \\ 0 & \pm 1 \end{bmatrix}$$

- 3). Permutation matrices
- 4). Products of unitary matrices

### Theorem

Let  $U_n$  be the set of all  $n \times n$  unitary matrices:

 $U_n$  is a group.

#### Proof

Clearly,  $I_n \in U_n$  and thus  $U_n \neq \emptyset$ 

Assume  $U \in U_n$ 

By definition, U is invertible with  $U^{-1}=U^*$  and so  $U_n\subset GL(n)$ 

Assume  $V \in U_n$ 

$$(UV^*)(UV^*)^* = UV^*VU^* = UI_nU^* = UU^* = I_n$$

Thus,  $UV^* \in U_n$ 

Therefore, by the subgroup test,  $U_n \leq GL(n)$ .

## **Theorem**

Let  $U \in U_n$ :

$$\det(U)=\pm 1$$

#### Proof

$$UU^* = I_n$$

$$\det(UU^*) = \det(I_n) = 1$$

$$\det(U) \det(U^*) = 1$$

$$\operatorname{But} \det(U^*) = \overline{\det(U^T)} = \overline{\det(U)}$$

$$\det(U) \det(U^*) = \det(U) \overline{\det(U)} = |\det(U)|^2 = 1$$

$$\therefore \det(U) = \pm 1$$

#### **Theorem**

Let  $U \in M_n$ . TFAE:

- 1). U is unitary
- 2). The columns of U form an orthonormal basis for  $U_n$
- 3). U preserves inner product:

$$\langle \vec{x}, \vec{y} \rangle = \langle U\vec{x}, U\vec{y} \rangle$$

4). U preserves length:

$$||U\vec{x}|| = ||x||$$

#### Proof

 $1 \rightarrow 3$ : Assume U is unitary

$$\langle U\vec{x},U\vec{y}\rangle=(U\vec{y})^*(U\vec{x})=\vec{y}^*U^*U\vec{x}=\vec{y}^*I_n\vec{x}=\vec{y}^*\vec{x}=\langle\vec{x},\vec{y}\rangle$$

 $3 \rightarrow 4 \!\!: \!\! \text{Assume} \; U$  preserves inner product

$$||U\vec{x}||^2 = \langle U\vec{x}, U\vec{x} \rangle = \langle \vec{x}, \vec{x} \rangle = ||\vec{x}||^2$$
$$\therefore ||Ux|| = ||x||$$

 $4 \rightarrow 2 \text{:} \ \text{Assume} \ U \ \text{preserves length}$ 

WTS: 
$$\langle \vec{u}_i, \vec{u}_j \rangle = \begin{cases} 1, & i = j \\ 0, & i \neq j \end{cases}$$

Consider  $\vec{e_i}$ , the columns of  $I_n$ 

The  $ec{e}_i$  are an orthonormal basis for  $\mathbb{C}^n$ 

$$\|\vec{e}_i\| = \|U\vec{e}_i\| = \|\vec{u}_i\| = 1$$

$$\langle \vec{u}_i, \vec{u}_i \rangle = ||\vec{u}_i||^2 = 1^2 = 1$$

Now consider  $\vec{e_i}$  and  $\vec{e_j}$  where  $i \neq j$ 

$$\begin{aligned} \|ve_i + \vec{e}_j\|^2 &= \|U(ve_i + \vec{e}_j)\|^2 = \|U\vec{e}_i + U\vec{e}_j\|^2 = \|\vec{u}_i + \vec{u}_j\|^2 \\ \langle \vec{e}_i + \vec{e}_j, \vec{e}_i + \vec{e}_j \rangle &= \langle \vec{u}_i + \vec{u}_j, \vec{u}_i + \vec{u}_j \rangle \end{aligned}$$

$$\begin{split} \langle \vec{e}_i, \vec{e}_i \rangle + \langle \vec{e}_i, \vec{e}_j \rangle + \langle \vec{e}_j, \vec{e}_i \rangle + \langle \vec{e}_j, \vec{e}_j \rangle &= \langle \vec{u}_i, \vec{u}_i \rangle + \langle \vec{u}_i, \vec{u}_j \rangle + \langle \vec{u}_j, \vec{u}_i \rangle + \langle \vec{u}_j, \vec{u}_i \rangle \\ 1 + 0 + 0 + 1 &= 1 + \langle \vec{u}_i, \vec{u}_j \rangle + \langle \vec{u}_j, \vec{u}_i \rangle + 1 \\ \langle \vec{u}_i, \vec{u}_j \rangle + \langle \vec{u}_j, \vec{u}_i \rangle &= 0 \\ \| ve_i + i\vec{e}_j \|^2 &= \| U(ve_i + i\vec{e}_j) \|^2 = \| U\vec{e}_i + iU\vec{e}_j \|^2 = \| \vec{u}_i + i\vec{u}_j \|^2 \\ \langle \vec{e}_i + i\vec{e}_j, \vec{e}_i + i\vec{e}_j \rangle &= \langle \vec{u}_i + i\vec{u}_j, \vec{u}_i + i\vec{u}_j \rangle \\ \langle \vec{e}_i, \vec{e}_i \rangle + \langle \vec{e}_i, i\vec{e}_j \rangle + \langle i\vec{e}_j, \vec{e}_i \rangle + \langle i\vec{e}_j, i\vec{e}_j \rangle &= \langle \vec{u}_i, \vec{u}_i \rangle + \langle \vec{u}_i, i\vec{u}_j \rangle + \langle i\vec{u}_j, \vec{u}_i \rangle + \langle i\vec{u}_j, i\vec{u}_j \rangle \\ \langle \vec{e}_i, \vec{e}_i \rangle - i \langle \vec{e}_i, \vec{e}_j \rangle + i \langle \vec{e}_j, \vec{e}_i \rangle + |i|^2 \langle i\vec{e}_j, i\vec{e}_j \rangle &= \langle \vec{u}_i, \vec{u}_i \rangle - i \langle \vec{u}_i, \vec{u}_j \rangle + i \langle \vec{u}_j, \vec{u}_i \rangle + |i|^2 \langle \vec{u}_j, \vec{u}_i \rangle + 1 \\ - i \langle \vec{u}_i, \vec{u}_j \rangle + i \langle \vec{u}_j, \vec{u}_i \rangle &= 0 \\ - \langle \vec{u}_i, \vec{u}_j \rangle + \langle \vec{u}_j, \vec{u}_i \rangle &= 0 \end{split}$$

Sum and difference = 0

$$\therefore \langle \vec{u}_i, \vec{u}_j \rangle = \langle \vec{u}_j, \vec{u}_i \rangle = 0$$

 $2 \to 1$ : Assume the columns of U form an orthonormal basis for  $\mathbb{C}^n$ 

$$(U^*U)_{ij} = \langle \vec{u}_i, \vec{u}_j \rangle = \begin{cases} 1, & i = j \\ 0, & i \neq j \end{cases}$$

$$U^*U = I_n$$

$$(U^*U)^* = I_n^* = I_n$$

$$U^*U = I_n$$

Therefore U is unitary.