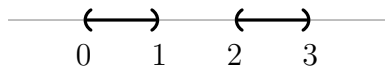


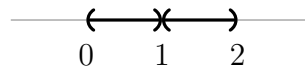
# Connectedness

Intuitively, a topological space  $X$  exists as a single piece.

## Example: Disconnected



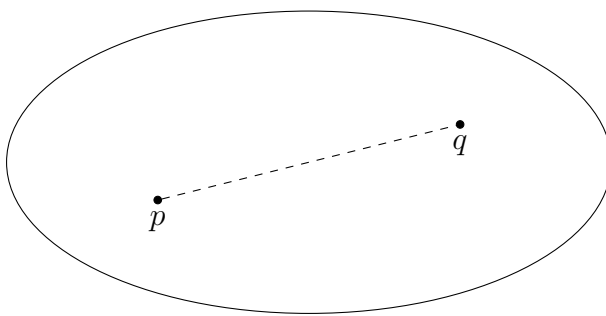
$$X = (0, 1) \cup (2, 3)$$



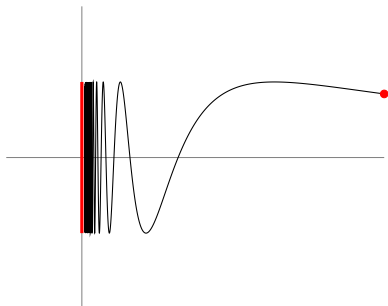
$$X = (0, 1) \cup (1, 2)$$

There are two notions of connectedness:

1. Connected: One piece
2. Path Connected: The ability to *walk* from any point to any other.



## Example: The Topologists Sine Curve



$$S = \left\{ \left( x, \sin \left( \frac{1}{x} \right) \right) \mid x \in (0, 1) \right\}$$

$$\bar{S} = S \cup \{(1, \sin(1))\} \cup \{(0, y) \mid y \in [-1, 1]\}$$

$S$  is connected and path connected.

$\bar{S}$  is connected but not path connected.

### Definition: Connected

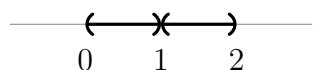
Let  $X$  be a topological space. To say that  $X$  is *connected* means that  $X$  is not the union of two disjoint non-empty open sets.

Note that if  $X = U \sqcup V$  such that  $U, V \in \mathcal{T}$  then  $U = X - V$  and  $V = X - U$ , so both  $U$  and  $V$  are clopen.

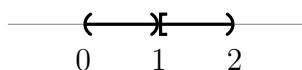
### Definition: Separated

Let  $X$  be a topological space and  $A, B \subset X$ . To say that  $A$  and  $B$  are *separated* means that  $\bar{A} \cap B = A \cap \bar{B} = \emptyset$ . Thus,  $A$  and  $B$  do not contain each other's limit points.

### Example: Separated



$X = (0, 1) \cup (1, 2)$   
Disjoint/Separated



$X = (0, 1) \cup [1, 2)$   
Disjoint/Not Separated

### Notation

$X = A|B$  means that  $X = A \cup B$  and  $A$  and  $B$  are separated sets.

### Definition: Reachable

Let  $X$  be a topological space and let  $p, q \in X$ . To say that  $q$  is *reachable* from  $p$  means that for every open cover  $\{U_\alpha : \alpha \in \lambda\}$  of  $X$ , there exists a finite subset  $\{U_{\alpha_1}, \dots, U_{\alpha_n}\}$  such that  $p \in U_{\alpha_1}$ ,  $q \in U_{\alpha_n}$ , and for all  $1 \leq k < n$ ,  $U_{\alpha_k} \cap U_{\alpha_{k+1}} \neq \emptyset$ .

### Lemma

Reachable is an equivalence relation.

*Proof.* Assume that  $X$  is a topological space,  $\{U_\alpha : \alpha \in \lambda\}$  is an open cover for  $X$ , and  $x, y, z \in X$ .

**R:** There exists some  $U_{\alpha_k}$  such that  $x \in U_{\alpha_k}$ . Thus  $x$  is trivially reachable from  $x$ .

**S:** Assume that  $x$  is reachable from  $y$ . This means that there exists a finite subset  $\{U_{\alpha_1}, \dots, U_{\alpha_n}\}$  that links  $x$  and  $y$ . Taking those sets in reverse order links  $y$  and  $x$ . Therefore  $y$  is reachable from  $x$ .

**T:** Assume that  $y$  is reachable from  $x$  and  $z$  is reachable from  $y$ . Then there exists a finite subset  $\{U_{\alpha_1}, \dots, U_{\alpha_n}\}$  linking  $x$  and  $y$  and a finite subset  $\{U_{\beta_1}, \dots, U_{\beta_m}\}$  linking  $y$  and  $z$ . Let

$U_{\alpha_j} = U_{\beta_k}$  be the first common subset in the two paths. Then  $\{U_{\alpha_1}, \dots, U_{\alpha_j}, U_{\beta_{k+1}}, \dots, U_{\beta_m}\}$  is a finite subset linking  $x$  and  $z$ . Therefore  $z$  is reachable from  $x$ . ■

### **Theorem**

Let  $X$  be a topological space. TFAE:

1.  $X$  is connected.
2. There are no continuous functions  $f : X \rightarrow \mathbb{R}$  such that  $f(X) = \{0, 1\}$ .
3.  $X$  is not the union of two disjoint non-empty separated sets.
4.  $X$  is not the union of two disjoint non-empty closed sets.
5. The only clopen sets of  $X$  are  $\emptyset$  and  $X$ .
6. For all  $p, q \in X$ ,  $q$  is reachable from  $p$ .

*Proof.*

(1  $\implies$  2) Assume that  $X$  is connected.

ABC that there exists a continuous function  $f : X \rightarrow \mathbb{R}$  such that  $f(X) = \{0, 1\}$ . Let  $U = f^{-1}(\{0\})$  and  $V = f^{-1}(\{1\})$ . Since  $\{0\}$  and  $\{1\}$  are closed in  $\mathbb{R}$  and  $f$  is continuous,  $U$  and  $V$  are closed in  $X$ . But  $U \sqcup V = X$ , so  $U = X - V$  and  $V = X - U$  meaning that  $U, V \in \mathcal{T}$  also, contradicting the connectedness of  $X$ .

Therefore there are no continuous functions  $f : X \rightarrow \mathbb{R}$  such that  $f(X) = \{0, 1\}$ .

(2  $\implies$  3) Assume that there are no continuous functions  $f : X \rightarrow \mathbb{R}$  such that  $f(X) = \{0, 1\}$ .

ABC that there exists  $A, B \subset X$  such that  $X = A|B$  and consider  $f : X \rightarrow \mathbb{R}$  defined by:

$$f(x) = \begin{cases} 0, & x \in A \\ 1, & x \in B \end{cases}$$

Now,  $\{0\}$  is closed in  $\mathbb{R}$  and  $f^{-1}(\{0\}) = A$ . But  $X = A|B$  and  $\bar{A} \cap B = \emptyset$ , so  $A$  must contain all of its own limit points, hence  $A = \bar{A}$ , meaning that  $A$  is closed in  $X$ . Similarly,  $B$  is closed in  $X$ . Thus,  $f$  is continuous, contradicting the assumption of non-existence.

Therefore  $X$  is not the union of two disjoint non-empty separated sets.

(3  $\implies$  4) (CP) Assume that  $X$  is the union of two disjoint non-empty closed sets.

This means that there exists  $A$  and  $B$  that are closed in  $X$  such that  $X = A \sqcup B$  and  $A, B \neq \emptyset$ . But  $A = \bar{A}$  and so  $\bar{A} \cap B = \emptyset$ . Similarly,  $A \cap \bar{B} = \emptyset$ . Thus,  $X = A|B$ .

Therefore  $X$  is the union of two disjoint non-empty separated sets.

(4  $\implies$  5) (CP) Assume that there exists  $A$  clopen in  $X$  such that  $A \neq \emptyset$  and  $A \neq X$ .

This means that  $X - A$  is also clopen. So  $A$  and  $X - A$  are closed in  $X$ ,  $X \sqcup (X - A) = X$ , and  $X, X - A \neq \emptyset$ .

Therefore  $X$  is the union of two disjoint non-empty closed sets.

(5  $\implies$  6) Assume that the only clopen sets of  $X$  are  $\emptyset$  and  $X$ .

Assume that  $p \in X$  and define  $U = \{q \in X \mid q \text{ is reachable from } p\}$ .

WTS:  $U = X$

First, note that  $p \in U$  and so  $U \neq \emptyset$ .

Claim:  $U \in \mathcal{T}$

Assume that  $q \in U$ . This means that for any open cover  $\{U_\alpha : \alpha \in \lambda\}$  of  $X$  there exists some finite subset  $\{U_{\alpha_1}, \dots, U_{\alpha_n}\}$  linking  $p$  and  $q$  such that  $q \in U_{\alpha_n}$ . But every other point in  $U_{\alpha_n}$  is reachable from  $p$ , and so  $U_{\alpha_n} \subset U$ . Thus all  $q \in U$  are interior points and therefore  $U$  is open.

Claim:  $U$  is closed in  $X$

Assume that  $q \in \bar{U}$ . This means that for all  $U_q \in \mathcal{T}$  such that  $q \in U_q$ , it must be the case that  $U_q \cap U \neq \emptyset$ . So any open cover of  $X$  must include some such  $U_q$ . Let  $r \in U_q \cap U$ . The  $r$  is reachable from  $p$  and  $r$  is trivially reachable from  $q$ , and so  $q$  is reachable from  $p$ . Therefore  $q \in U$  and so  $U = \bar{U}$ , hence  $U$  is closed.

Thus  $U$  is clopen and  $U \neq \emptyset$ , so  $U = X$ .

Therefore, for all  $p, q \in X$ ,  $q$  is reachable from  $p$ .

(6  $\implies$  1) Assume that for all  $p, q \in X$ ,  $q$  is reachable from  $p$ .

ABC that  $X$  is not connected. This means that there exists  $U, V \in \mathcal{T}$  such that  $U \sqcup V = X$ , and so  $\{U, V\}$  is an open cover for  $X$ . Now, assume that  $p \in U$  and  $q \in V$ . There is no finite subset of this two-set cover that allows  $q$  to be reachable from  $p$ , contradicting the assumption.

Therefore  $X$  is connected. ■

## Example

Determine whether the following topological spaces are connected or disconnected:

1.  $\mathbb{R}$  with the discrete topology.

Let  $U = (-\infty, 0)$  and  $V = [0, \infty)$ .  $U, V \in \mathcal{T}$  and  $U \sqcup V = \mathbb{R}$ .

Disconnected

2.  $\mathbb{R}$  with the indiscrete topology.

$\mathcal{T} = \{\emptyset, \mathbb{R}\}$ , so there are no open non-empty  $U, V \in \mathcal{T}$  such that  $U \sqcup V = \mathbb{R}$ .

Connected

3.  $\mathbb{R}$  with the cofinite topology.

ABC there exists non-empty  $U, V \in \mathcal{T}$  such that  $U \sqcup V = \mathbb{R}$ . The  $V = \mathbb{R} - U$  is finite and  $U = \mathbb{R} - V$  is finite, meaning that  $U \sqcup V = \mathbb{R}$  is finite, a contradiction.

Connected.

4.  $\mathbb{R}_{LL}$

Let  $U = (-\infty, 0)$  and  $V = [0, \infty)$ .  $U, V \in \mathcal{T}$  and  $U \sqcup V = \mathbb{R}$ .

Disconnected

5.  $\mathbb{Q}$  as a subspace of  $\mathbb{R}$

Let  $U = (-\infty, \pi) \cap \mathbb{Q}$  and  $V = (\pi, \infty) \cap \mathbb{Q}$ .  $U, V \in \mathcal{T}_{\mathbb{Q}}$  and  $U \sqcup V = \mathbb{Q}$ .

Disconnected

6.  $\mathbb{R} - \mathbb{Q}$  as a subspace of  $\mathbb{R}$

Let  $U = (-\infty, 0) \cap (\mathbb{R} - \mathbb{Q})$  and  $V = (0, \infty) \cap (\mathbb{R} - \mathbb{Q})$ .  $U, V \in \mathcal{T}_{\mathbb{R}-\mathbb{Q}}$  and  $U \sqcup V = \mathbb{R} - \mathbb{Q}$ .

Disconnected

### **Theorem**

$\mathbb{R}_{\text{std}}$  is connected.

*Proof.* Since  $\mathbb{R}$  is homeomorphic to  $(0, 1)$ , it is sufficient to show that  $(0, 1)$  is connected. So ABC that  $(0, 1)$  is disconnected. This means that there exists  $A \subset (0, 1)$  such that  $A \neq \emptyset, (0, 1)$  and  $A$  is clopen. Since  $A$  is bounded, it has a sup, so let  $a = \sup A$ . But  $A$  is closed, so  $a \in A$ . But  $A$  is also open, so there exists  $\epsilon > 0$  such that  $B(a, \epsilon) \subset A$ , violating the fact that  $a = \sup A$ . Therefore  $(0, 1)$  is connected, and so  $\mathbb{R}$  is connected. ■

### **Corollary**

An open interval in  $\mathbb{R}$  is connected.

### **Definition: Interval**

To say that  $I \subset \mathbb{R}$  is an *interval* means that for all  $a, b \in I$ ,  $[a, b] \subset I$ .

### **Theorem**

$C \subset \mathbb{R}$  is connected iff  $C$  is an interval.

*Proof.* Assume  $C \subset \mathbb{R}$ .

$\implies$  Assume that  $C$  is connected.

ABC that  $C$  is not an interval. So there exists  $a, b \in C$  such that  $[a, b] \not\subset C$ . So there exists  $z \in [a, b]$  such that  $z \notin C$ . Let  $U = (-\infty, z) \cap C$  and  $V = (z, \infty) \cap C$ .  $U, V \in \mathcal{T}_C$ ,  $U, V \neq \emptyset, C$ , and  $U \sqcup V = C$ . Thus,  $C$  is disconnected, contradicting the assumption that  $C$  is connected. Therefore  $C$  is an interval.

$\Leftarrow$  Assume that  $C$  is an interval.

Already proved by previous theorem. ■

Note that the union of connected sets need not be connected. For example,  $U = (0, 1)$  and  $V = (1, 2)$  are both connected; however,  $U \sqcup V$  is not an interval and hence is not connected.

### **Theorem**

Let  $X$  be a topological space and let  $A, B \subset X$  be separated. If  $C \subset A \cap B$  is connected then either  $C \subset A$  or  $C \subset B$  (but not both).

### **Theorem**

Let  $X$  be a topological space and let  $\{C_\alpha : \alpha \in \lambda\}$  be a collection of connected subsets of  $X$ . Furthermore, let  $E \subset X$  also be connected such that for all  $\alpha \in \lambda$ ,  $C_\alpha \cap E \neq \emptyset$ .  $E \cup \bigcup_{\alpha \in \lambda} C_\alpha$  is connected.

### **Theorem**

Let  $X$  be a topological space and let  $C \subset X$  be connected. If  $D \subset X$  such that  $C \subset D \subset \bar{C}$  then  $D$  is connected.

*Proof.* Assume  $D \subset X$  such that  $C \subset D \subset \bar{C}$  and ABC that  $D$  is disconnected. This means that there exists  $A, B \subset D$  such that  $A, B \neq \emptyset$  and  $D = A \sqcup B$ . Now, since  $C \subset D$ , it must be the case that either  $C \subset A$  or  $C \subset B$  (but not both). So AWLOG that  $C \subset A$ , and hence  $\bar{C} \subset \bar{A}$ . But  $\bar{A} \cap B = \emptyset$  and so  $\bar{C} \cap B = \emptyset$ . And since  $D \subset \bar{C}$ ,  $D \subset B = \emptyset$ . But this can only be the case if  $B = \emptyset$ , contradicting the assumption that  $B$  is not empty. Therefore  $D$  is connected. ■

### **Corollary**

Let  $X$  be a topological space and  $C \subset X$ . If  $C$  is connected then  $\bar{C}$  is connected.

*Proof.* Assume that  $C$  is connected. But  $C \subset \bar{C} \subset \bar{\bar{C}}$ . Therefore, by previous theorem,  $\bar{C}$  is connected. ■

### **Theorem**

The closure of the topologist's sine curve in  $\mathbb{R}^2$  is connected.

*Proof.* Let:

$$S = \left\{ \left( x, \sin \left( \frac{1}{x} \right) \right) \mid x \in (0, 1) \right\}$$

$$\bar{S} = S \cup \{(1, \sin(1))\} \cup \{(0, y) \mid y \in [-1, 1]\}$$

ABC that  $S$  is not connected. This means that there exists  $g : S \rightarrow \{0, 1\}$  such that  $g$  is continuous and surjective. But  $f : (0, 1) \rightarrow S$  defined by  $f(x) = (x, \sin \frac{1}{x})$  is also continuous and surjective. This means that  $g \circ f : (0, 1) \rightarrow \{0, 1\}$  is also continuous and surjective, indicating that  $(0, 1)$  is not connected, contradicting the connectedness of the interval. Therefore  $S$  is connected, and by previous corollary,  $\bar{S}$  is connected. ■

### **Theorem**

Let  $X$  and  $Y$  be a topological spaces and let  $f : X \rightarrow Y$  be continuous and surjective. If  $X$  is connected then  $Y$  is connected.

*Proof.* Assume that  $X$  is connected and ABC that  $Y$  is disconnected. This means that there exists  $U, V \in \mathcal{T}_Y$  such that  $U, V \neq \emptyset$  and  $U \sqcup V = Y$ . Now, since  $f$  is continuous,  $f^{-1}(U), f^{-1}(V) \in \mathcal{T}_X$ . Furthermore, since  $f$  is surjective,  $f^{-1}(U), f^{-1}(V) \neq \emptyset$  and  $f^{-1}(U) \sqcup f^{-1}(V) = X$ . Thus,  $X$  is disconnected, violating the assumption. Therefore  $Y$  is connected. ■

### **Corollary**

Let  $X$  and  $Y$  be homomorphic topological spaces.  $X$  is connected iff  $Y$  is connected.

*Proof.* It is sufficient to prove one direction, so assume that  $X$  is connected. This means that there exists a homeomorphism  $f : X \rightarrow Y$ . But homeomorphism are continuous and surjective. Therefore  $Y$  is connected. ■

### **Theorem: IVT**

Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  be continuous and  $(a, b) \subset \mathbb{R}$ . If  $f(a) < r < f(b)$  then there exists  $c \in (a, b)$  such that  $f(c) = r$ .

*Proof.* Assume that  $f(a) < r < f(b)$ . Since  $[a, b]$  is connected and  $f$  is continuous,  $f([a, b])$  is connected, and hence  $f([a, b])$  must be an interval. But  $f(a), f(b) \in f([a, b])$  and  $f(a) < r < f(b)$ , so  $r \in f([a, b])$ . Therefore, there must exist some  $c \in (a, b)$  such that  $f(c) = r$ . ■

### **Theorem**

Let  $X$  and  $Y$  be topological spaces.  $X \times Y$  is connected iff  $X$  and  $Y$  are connected.

*Proof.*

$\implies$  Assume that  $X \times Y$  is connected.

$\pi_X$  and  $\pi_Y$  are continuous and surjective. Therefore  $X$  and  $Y$  are connected.

$\impliedby$  Assume that  $X$  and  $Y$  are connected.

Assume  $x_0 \in X$  and consider  $\{x_0\} \times Y$ . Since  $\{x_0\} \times Y$  is homeomorphic to  $Y$  and  $Y$  is connected,  $\{x_0\} \times Y$  is connected. Similarly, for all  $y \in Y$ ,  $X \times \{y\}$  is connected. Note that  $X \times Y = \bigcup_{y \in Y} X \times \{y\}$ . Furthermore, for all  $y \in Y$ :

$$(\{x_0\} \times Y) \cap (X \times \{y\}) = \{(x_0, y)\} \neq \emptyset$$

Therefore, by previous theorem,  $X \times Y$  is connected.

■