

Math 275A:  
Topology

Instructor:  
Slobodan  
Simić

Logistics

What is  
topology?

Sets and  
functions

# Math 275A: Topology

Spring 2020

Instructor: Slobodan Simić

# Welcome

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# Welcome!

# Outline for today

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1 Logistics

2 What is topology?

3 Sets and functions

# Acknowledgement

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I would like to thank [Francis Su](#) for permission to borrow from the web site for his IBL topology course Harvey Mudd.

# Course information

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- **Prerequisite:** Math 131A with a grade of B or better or instructor consent.
- **Textbook:** Michael Starbird and Francis Su, *Topology Through Inquiry*, AMS/MAA Textbooks Volume: 58; 2019
- **Office hours:** Mondays 10:30–11:30 AM and 2:45–3:15 PM, Wednesdays 10:00–11:30 AM, or by appointment (in MH 318A)
- **Exams:** None
- **Class web page:**  
<https://sites.google.com/sjsu.edu/slobodan-simic/home/teaching/spring20/math-275a>

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- We will be using an **IBL (Inquiry-Based Learning)** format.

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- We will be using an **IBL (Inquiry-Based Learning)** format.
- This means there will be **no lectures**. Instead: I will provide **weekly assignments** consisting of a list of theorems and exercises from the textbook.

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- You will take turns **presenting proofs of theorems in class**, while other students will determine if they are correct.

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- You will take turns **presenting proofs of theorems in class**, while other students will determine if they are correct.
- I will provide perspective on the material and motivating examples if you get stuck.

# No outside sources

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- As is customary in any IBL course, you are not allowed to consult any outside sources, including textbooks or the internet, to solve these problems.
- The exceptions are sources that you are required to use for other courses.

# Notebook

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- You should acquire a **loose-leaf binder**, in which you will save all course notes. This will become your **Notebook**.
- As you prove theorems in this course (or see them proved in class), you will write up these proofs and add them to your Notebook.
- In a sense, you are writing your own book on the subject, filled with your own proofs.

# Reading assignments and homework

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- **Weekly homework assignments** will consist of a list of theorems and exercises from the sections covered by the reading assignment.
- You will be asked to write up proofs/solutions of the assigned theorems/exercises and hand them in **each Wednesday**.
- For each class, we will have a **target**, consisting of a list of theorems and exercises from the homework to be proved/solved in that class.
- You will be able to **revise and rewrite** your homework based on the class discussion and my feedback. It is important to pay attention to proofs of theorems presented in class, since you will write these up for credit.

# How to write your homework

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- You should write your proofs using full English sentences, as if you were writing a paper or a book.
- Follow [Some Guidelines for Good Mathematical Writing](#) by Francis Su, which can be found on Piazza and the class web page.

# Honor code

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- Cooperation is encouraged, but solutions should be written up individually.
- You may not consult outside mathematical sources without my permission, unless required for some other course.

# Class mechanics

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Here is how **each week** will be organized:

- 1 On **Wednesday** of the previous week I will assign reading, homework, and targets for the coming week.
- 2 On **Monday** we will discuss the targeted theorems. That means: you will be asked to present your proofs, while other students will determine if they are correct.
- 3 Same on **Wednesday**.
- 4 You will turn in your homework **on Wednesday**.



# Class mechanics, continued

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- 5 I will grade the homework and return it the following Monday.
- 6 Next, you will revise and rewrite your homework based on my feedback and class discussion.
- 7 The final version of your homework goes into your Notebook.

# Notebook

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Here is what should be in your Notebook:

- 1 A summary sheet to track your progress;
  - 2 Proofs or sketches for Theorems and Exercises;
  - 3 Any other notes you have taken;
  - 4 Returned homework assignments.
- How you organize the notebook is up to you.
  - The rule of thumb is that this notebook should be something you look back on with pride 10 years from now. It should contain enough details for you to be able to reconstruct your thoughts.

# Keeping track of progress

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As we discuss the theorems in class, please record on the summary sheet (using the code provided below), whether a theorem was:

- P Presented in class;
- S Solved and prepared to present [mostly correct];
- C Proved with some collaboration and prepared to present [mostly correct, worked with others, or others supplied small fixes for your proof];
- L Learned in class, or with substantial collaboration.

# Suggestions for proofs

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There will be many times when you are stuck on a problem. This is where the real learning occurs. Here are several ideas:

- Draw pictures!
- Make sure you thoroughly understand the definitions involved, and work out examples!
- Work on a simpler special case if you cannot solve the whole problem.
- Is every hypothesis necessary? Construct examples to show why the theorem fails if a hypothesis is missing. This will often show you what is needed for the proof.

# Suggestions for class presentations

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- **Sketch**. Begin by giving a brief outline of the argument, before giving details.
- **Be prepared** to justify the details if asked. Speak loudly.
- Get together with others in the class, and **practice presenting** your proofs to each other.
- **Knowing a proof and presenting it are two very different things!**
- An **ideal presentation** (in the sense of learning) is not necessarily a **perfect presentation**!

# What to expect

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- Expect this course to be challenging, but also very **rewarding**.
- The value of the IBL format is that when you prove theorems by yourself, you will never forget the proofs you came up with and **you will gain confidence in your abilities as mathematicians!**

# Expository paper and presentation

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- Each student will be required to write a **short expository paper** on a topic of his or her choice related to topology.
- I will post (on Piazza) a list of potential topics. You are welcome to suggest some too.
- Papers need to be typeset in **L<sup>A</sup>T<sub>E</sub>X**.
- At the end of the semester, each student will be required to present her/his expository paper.
- Presentations will be around **10 minutes** long with a few minutes for questions.

# Grading policy

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- Homework 20%, notebook 20%, participation 20%, expository paper 30%, presentation 10%
- Class participation will include points for “productive failures”.
- I would rather you not worry about grades but concentrate on learning.



# Piazza

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For most out of class Q&A we will be using **Piazza**:  
<https://piazza.com>.

- Piazza is a free online gathering place where students can ask, answer, and explore 24/7, under the guidance of their instructors.
- Students can post questions and collaborate Wikipedia-style to edit responses to these questions.
- Instructors can answer questions, endorse student answers, and edit or delete any posted content.
- Instead of emailing me questions, I encourage you to **post them on Piazza** instead.

# Outline of the course

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- Chapter 1 Cardinality: to infinity and beyond
- Chapter 2 Topological spaces: fundamentals
- Chapter 3 Bases, subspaces, products: creating new spaces
- Chapter 4 Separation properties: separating this from that
- Chapter 5 Countable features of spaces: size restrictions
- Chapter 6 Compactness: the next best thing to being finite
- Chapter 7 Continuity: when nearby points stay together
- Chapter 8 Connectedness: when things don't fall into pieces
- Chapter 9 Metric spaces: getting some distance
- Chapter 12 Fundamental group: capturing holes

# Questions

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ANY QUESTIONS?

# What is topology?

Let us answer a related question:

What makes someone a topologist?

A topologist is someone who cannot distinguish between these objects:



Figure: A cup and a bagel.

# Cup = bagel

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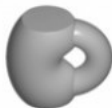
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Reason:

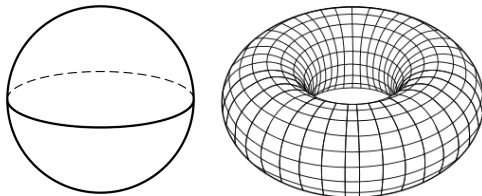


# So, what is topology?

One definition of topology is:

*Topology is a branch of mathematics that is concerned with properties of geometric objects preserved under **continuous deformations**, such as stretching, twisting, crumpling and bending, but not tearing or gluing.*

For a topologist the following objects are **not** the same:



# Topological equivalence

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- In topology two spaces are the same (or **topologically equivalent**) if there is a **homeomorphism** between them, i.e., a continuous map with a continuous inverse.
- **Question:** How does one define **continuity** between two spaces?
- Even more **basic question:** what do we mean by a “**space**”?

# Examples of (topological) spaces

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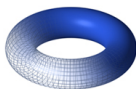
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Torus



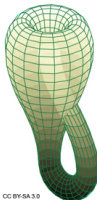
Double torus



Triple torus

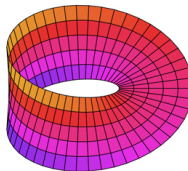


Klein bottle



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Möbius strip



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# But also this...

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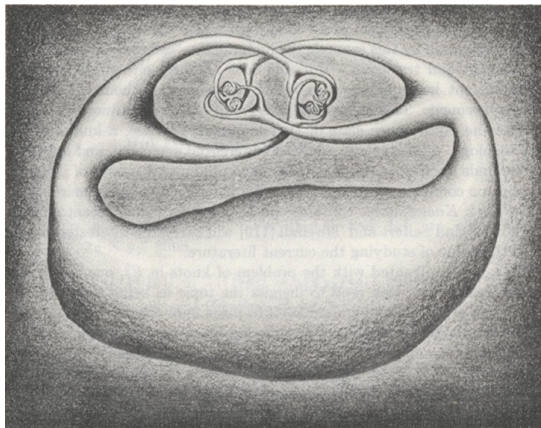


Figure: Alexander horned sphere

# Ultimate goal of topology

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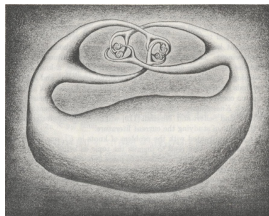
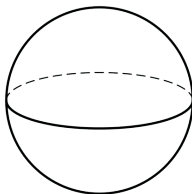
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The ultimate goal of topology is:

Given two spaces, decide if they are topologically equivalent.

For example, are these topologically equivalent?



# Continuity

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- To make this question well-defined, we need to figure out what **continuity** really means.
- For that we need to go back to analysis, where continuity first came from.
- Recall that a function  $f : \mathbb{R} \rightarrow \mathbb{R}$  is **continuous** at a point  $a$  if

$$(\forall \varepsilon > 0)(\exists \delta > 0)(\forall x) |x - a| < \delta \Rightarrow |f(x) - f(a)| < \varepsilon.$$

- $f$  is **continuous** if it is continuous at every point.

# Continuity (continued)

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- This definition depends strongly on the notion of **absolute value** in  $\mathbb{R}$ .
- How do we generalize it to spaces other than  $\mathbb{R}$ ?
- Note that the same definition works in  $\mathbb{R}^n$ :  $f : \mathbb{R}^m \rightarrow \mathbb{R}^n$  is continuous at  $a \in \mathbb{R}^m$  if

$$(\forall \varepsilon > 0)(\exists \delta > 0)(\forall x) \|x - a\| < \delta \Rightarrow \|f(x) - f(a)\| < \varepsilon.$$

where

$$\|v\| = \left( \sum_{i=1}^n v_i^2 \right)^{1/2}.$$

# Continuity (continued further)

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- Note that  $\|x - a\|$  is the **distance** between  $a$  and  $x$ .
- We can use the same definition for any map between sets on which we can define the notion of **distance**.
- If  $f : X \rightarrow Y$  is a map between sets on which we can measure distance between points, then we can define  $f$  to be continuous at  $a \in X$  if

$$(\forall \varepsilon > 0)(\exists \delta > 0)(\forall x \in X) d(x, a) < \delta \Rightarrow d(f(x), f(a)) < \varepsilon.$$

- **Conclusion:** we can define continuity on **metric spaces**.

# Origins of topology

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- At the end of the 19<sup>th</sup> century, faced with paradoxes, mathematicians embarked on a program to **axiomatize** all of mathematics.
- The goal was to emulate Euclidean geometry.
- The fundamental objects in this program were **sets**.
- Axioms for set theory were proposed and the goal was to express all known mathematics in set-theoretic terms.
- The **challenge** was to recast the familiar concepts (real number, convergence, continuity) **in terms of sets**.
- Thus arose the concept of a **topological space** and the field of topology was born.

# Some important early personalities

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Figure: Euler, Listing, Riemann, Betti, and Poincaré

# Continuity recast in terms of sets

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- Recall that  $f : \mathbb{R} \rightarrow \mathbb{R}$  is continuous if for every  $a \in \mathbb{R}$ ,

$$(\forall \varepsilon > 0)(\exists \delta > 0)(\forall x) |x - a| < \delta \Rightarrow |f(x) - f(a)| < \varepsilon.$$

- Equivalently:

$$(\forall \varepsilon > 0)(\exists \delta > 0)f(B(a, \delta)) \subset B(f(a), \varepsilon),$$

where  $B(x, r) = (x - r, x + r)$  is the  $r$ -neighborhood of  $x$ .

- Same thing holds for arbitrary unions of neighborhoods. Those are exactly the **open sets** in  $\mathbb{R}$ .
- Equivalently: for every open set  $V$  (in the codomain) and every  $a$  (in the domain) such that  $f(a) \in V$ , there is a neighborhood  $B(a, \delta)$  of  $a$  which  $f$  maps into  $V$ .



# Continuity in $\mathbb{R}$ in terms of sets

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- **Conclusion:**  $f : \mathbb{R} \rightarrow \mathbb{R}$  is continuous if for every open set  $V \subset \mathbb{R}$  (codomain),

$$f^{-1}(V) = \{x \in \mathbb{R} : f(x) \in V\}$$

is open.

- Therefore: to generalize continuity we need to generalize the notion of an **open set** to more general spaces.
- We also need to explore basic properties of sets and functions/maps between them.

# Sets

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- We adopt (as most mathematicians do) the **naive point of view** regarding sets.
- We will assume that it is intuitively clear what is meant by a set.
- Deeper analysis of the concept of a set belongs to **foundations of mathematics** and **mathematical logic**.
- However, beware **Russell's paradox**! We must be careful.
- One of the reasons for the axiomatization of set theory was to formulate rules that will avoid paradoxes and contradictions.
- Although we will not study axioms of set theory, the rules we will follow derive from them.

# Operations on sets

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- If  $a$  is an **element** of a set  $A$ , we write  $a \in A$ .
- $A \subset B$  if  $(\forall a) a \in A \Rightarrow a \in B$
- **Union**:  $A \cup B = \{x : x \in A \text{ or } x \in B\}$
- **Intersection**:  $A \cap B = \{x : x \in A \text{ and } x \in B\}$
- **Set difference**:  $A - B = \{x \in A : x \notin B\}$
- **Complement**: if  $A \subset X$ , the complement of  $A$  in  $X$  is  $X - A$  (sometimes  $A^c$ ).
- Unions and intersections can easily be extended to an arbitrary family of sets,  $(A_i)_{i \in I}$ .

# Functions

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- The **Cartesian (or direct) product** of sets:

$$A \times B = \{(a, b) : a \in A, b \in B\}.$$

- A **relation** between sets  $A$  and  $B$  is a subset of  $A \times B$ .
- A **function**  $f : X \rightarrow Y$  is a relation such that

$$(\forall x \in X)(\exists! y \in Y) \quad (x, y) \in f.$$

We write  $y = f(x)$ . ( $\exists!$  = there exists a unique...)

- $X$  is the **domain** and  $Y$  is the **codomain** of  $f$ .
- Function = map = mapping = transformation

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Let  $f : X \rightarrow Y$  be a function.

- If  $A \subset X$ , then

$$f(A) = \{f(x) : x \in A\} \subset Y$$

is the **image** of  $A$  under  $f$ .

- If  $B \subset Y$ , then

$$f^{-1}(B) = \{x \in X : f(x) \in B\} \subset X$$

is the **preimage** of  $B$  under  $f$ .

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- $f : X \rightarrow Y$  is **injective (or one-to-one)** if

$$(\forall x_1, x_2 \in X) f(x_1) = f(x_2) \Rightarrow x_1 = x_2.$$

- $f$  is **surjective (or onto)** if

$$(\forall y \in Y)(\exists x \in X) f(x) = y.$$

- $f$  is a **bijection** if it is injective and surjective.

# Cardinality

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- $A$  and  $B$  have the **same cardinality** ( $|A| = |B|$ ) if there is a bijection from  $A$  to  $B$ .
- $A$  is **finite** if it is either empty or has the same cardinality with  $\{1, 2, \dots, n\}$  for some  $n \geq 1$ , in which case we write  $|A| = n$ .
- Otherwise,  $A$  is called **infinite**.
- $A$  is **countable** if it is finite or it has the same cardinality as  $\mathbb{N} = \{1, 2, 3, \dots\}$ .
- Otherwise, it is **uncountable**.

# Countable sets

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- $\mathbb{Z}$  (the set of integers) and  $\mathbb{N}$  have the same cardinality.  
Can you prove it?
- Every infinite set has a countably infinite subset.
- Is  $\mathbb{R}$  countable?



# Your homework

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- **(Exercise 1.3)**  $f^{-1}(A \cup B) = f^{-1}(A) \cup f^{-1}(B)$  and  $f^{-1}(A \cap B) = f^{-1}(A) \cap f^{-1}(B)$
- **(Theorem 1.12)** The union of countably many sets is countable. That is, if  $A$  is countable and  $X_\alpha$  is countable for each  $\alpha \in A$ , then

$$X = \bigcup_{\alpha \in A} X_\alpha$$

is countable.

- **(Theorem 1.13)** The set  $\mathbb{Q}$  (of rational numbers) is countable.
- **(Theorem 1.16, Cantor)**  $\mathbb{R}$  is uncountable.

# Reading assignment for this week

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## **Sections 1.1, 1.2 and 1.3.**

Sections 1.4 and 1.5 are recommended but optional.

**Target for 1/29:** In class be prepared to present  
**Theorems 1.12, 1.13, and 1.16.**