

1 SEAXCHANGE: BLOCKCHAIN DRIVEN APP FOR
2 TUNA SUPPLY CHAIN MANAGEMENT

3 A Special Problem Proposal
4 Presented to
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Abstract

19 The tuna supply chain faces critical challenges regarding traceability, transparency
20 and sustainability due to certain issues such as illegal, unreported and unregu-
21 lated fishing. Within the tuna supply chain, traceability can play a critical role in
22 enhancing consumer transparency and ensuring adherence to environmental and
23 legal standards. By leveraging blockchain technology, this research combines qual-
24 itative insights of supply chain stakeholders and uses the information to evaluate
25 the potential of blockchain in improving product traceability and accountability
26 within the supply chain.

27 **Keywords:** Blockchain, Traceability, Smart Contract, Supply Chain, etc.

Contents

29	1 Introduction	1
30	1.1 Overview	1
31	1.2 Problem Statement	3
32	1.3 Research Objectives	3
33	1.3.1 General Objective	3
34	1.3.2 Specific Objectives	4
35	1.4 Scope and Limitations of the Research	4
36	1.5 Significance of the Research	4
37	2 Review of Related Literature	6
38	2.1 State of Tuna Industry in the Philippines	6
39	2.2 Fishing Regulations in the Philippines	7
40	2.3 Tuna and Fish Supply Chain	8
41	2.4 Tuna Supply Chain Stages and Roles	9
42	2.5 Factors Affecting the Tuna Supply Chain	10
43	2.6 Technology of Blockchain	11
44	2.7 Opportunities of Blockchain Technology for Supply Chain Manage-	
45	ment	12

46	2.8	Supply Chain Model with Blockchain Technology of Fishing Indus-	
47		try in Indonesia	14
48	2.9	Existing Technology Intended for Traceability and Supply Chain .	14
49	2.10	Developing a Traceability System for Tuna Supply Chains	16
50	2.11	Chapter Summary	17
51	3	Research Methodology	18
52	3.1	Research Activities	18
53	3.2	Calendar of Activities	19
54	4	Preliminary Results/System Prototype	20
55		References	21
56	A	Appendix Title	22
57	B	Resource Persons	23

58 List of Figures

59	1.1	This is the figure's caption – Disney stock chart. Captions should	
60		fully describe the figure in a concise manner such that there is not	
61		need to refer to the text when figuring out the graphic.	2

62 List of Tables

<small>63</small>	3.1 Timetable of Activities	19
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Chapter 1

Introduction

1.1 Overview

This section gives the reader an overview of the real world problem that needs to be solved. It describes the exigency of the proposed solution. The consequences to the affected stakeholders that the problem may bring if it not addressed. Discussion must not be too technical or too detailed.

This section ends with a discussion on the problem/s faced by or that still exist in the specific technology or field (e.g., limitations of existing software or algorithms). The problem statement would lead to the research objectives.

It is easy to include a figure in JPG or PNG format as shown in the following example. Make sure that you explain what the figure is all about, and that you refer to your figure. For example, Figure 1.1 shows a graph of the performance of Disney stock from the 1980s to 2012.

Some notes on citing references. When using APA format, the author-date method of citation is followed. This means that the author's last name and the year of publication for the source should appear in the text, and a complete reference should appear in the reference list.

Here are some examples on how to do the referencing (note author's name and years are different from commented examples). For APA citation details, refer to <http://www.ctan.org/tex-archive/biblio/bibtex/contrib/apacite/>.

- Kartch (2000) compared reaction times...

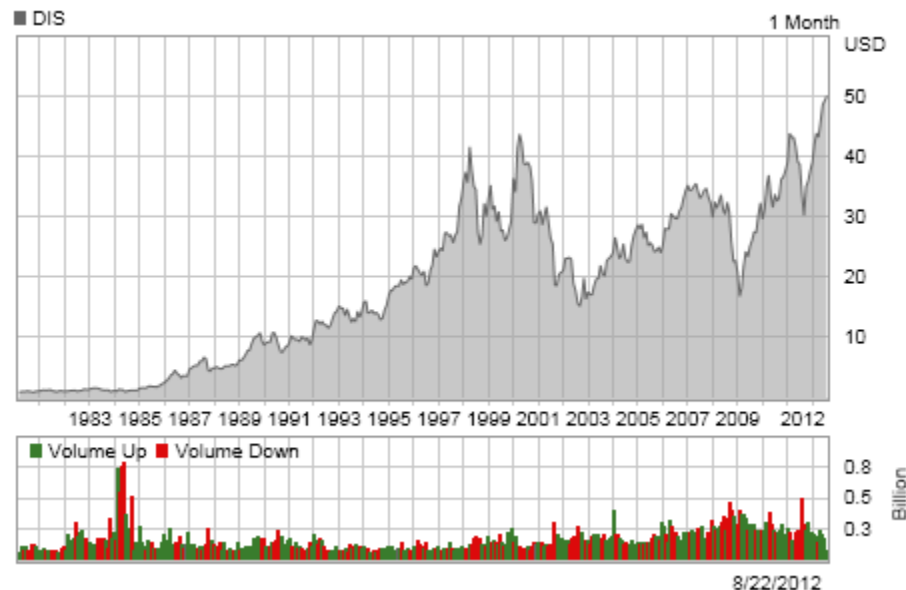


Figure 1.1: This is the figure’s caption – Disney stock chart. Captions should fully describe the figure in a concise manner such that there is not need to refer to the text when figuring out the graphic.

- 86 • In a recent study of reaction times (Kartch, 2000)...
- 87 • In 2000, Kartch compared reaction times...
- 88 • Fedkiw et al. (2001) compared reaction times...
- 89 • In a recent study of reaction times (Fedkiw et al., 2001)...
- 90 • In 2001, Fedkiw et al., compared reaction times...

91 The following are references from journal articles (Park, Linsen, Kreylos,
 92 Owens, & Hamann, 2006; Pellacini et al., 2005; Sako & Fujimura, 2000). Here’s
 93 an MS thesis document (Yee, 2000), and this is from PhD dissertation (Kartch,
 94 2000). For a book, reference is given as (Parke & Waters, 1996). Proceedings
 95 from a conference samples are (Jobson, Rahman, & Woodell, 1995; Fedkiw et al.,
 96 2001; Levoy et al., 2000). The sample bibliography file named **myreferences.bib**
 97 is from the SIGGRAPH L^AT_EX template. You can use a text editor to view the
 98 contents of the bib file. It is your task to create your own bibliography file. For
 99 those who downloaded papers from ACM or IEEE sites, there is a BibTeX link
 100 that you can click; thereafter, you just simply need to copy and paste the BibTeX
 101 entry into your own bibliography file.

102 The following shows how to include a program source code (or algorithm).
103 The verbatim environment, as the name suggests, outputs text (including white
104 spaces) as is...

```
105         #include <stdio.h>
106         main()
107         {
108             printf("Hello world!\n");
109         }
```

110 Alternatively, you can also use the *lstlisting* environment from the **listings**
111 package.

112 1.2 Problem Statement

113 DO NOT FORGET to write the statement of the research problem here, i.e.,
114 before the Research Objectives.

115 A problem statement is your research problem written explicitly. The problem
116 statement should do four things:

- 117 1. Specify and describe the problem (with appropriate citations)
- 118 2. Provide evidence of the problem's existence
- 119 3. Explain the consequences of NOT solving the problem
- 120 4. Identify what is not known about the problem that should be known.
- 121 5. Subdivide the main problem into several subproblems.

122 1.3 Research Objectives

123 1.3.1 General Objective

124 This subsection states the over-all goal that must be achieved to answer the
125 problem. Address the following: Given your research challenge or opportunity,
126 how do you intend to solve it? What is the output of your research?

127 1.3.2 Specific Objectives

128 This subsection is an elaboration of the general objective. It states the specific
129 steps that must be undertaken to accomplish the general objective. These objec-
130 tives must be **S**pecific, **M**easurable, **A**ttainable, **R**ealistic, **T**ime-bounded. Also,
131 they are manageable and communicable.

132 A specific objective start with “to <verb>” for example: to design/survey/review/analyze.

133 Studying a particular programming language or development tool (e.g., to
134 study Windows/Object-Oriented/Graphics/C++ programming) to accomplish the
135 general objective is inherent in all thesis and, therefore, must not be included here.

- 136 1. To compare and contrast existing algorithms (on what problem?);
- 137 2. To develop a new algorithm (for what purpose?)
- 138 3. To analyze the algorithm (based on what criteria?)

139 1.4 Scope and Limitations of the Research

140 The scope of this study focuses on how blockchain technology can be applied
141 to enhance traceability and transparency within the tuna supply chain. It will
142 involve features such as smart contracts for recording the transactions and user
143 interface for stakeholders. The study will also focus exclusively on whole, caught
144 tuna products in the supply chain, excluding processed forms such as canned or
145 packaged tuna. The research will examine the traceability of whole tuna from
146 capture to market sale, specifically centering on a supplier based in Iloilo.

147 This study will only be limited to the supply chain in Iloilo, so findings may
148 not fully represent global practices. Since this will only focus on blockchain’s
149 function in traceability, other functions are outside the scope of this research.

150 1.5 Significance of the Research

151 This study serves a significant purpose for several stakeholders in the tuna supply
152 chain. This study aims to solve the problems related to the management of tuna
153 supply chain, particularly with regards to product traceability.

- 154 • The Stakeholders
 - 155 – This study enhances transparency and accountability which allows stake-
 - 156 holders such as the fishers, suppliers and retailers to access tamper-
 - 157 proof and accurate information promoting a more ethical and authentic
 - 158 supply chain. Providing a digital record of the product’s history, this
 - 159 study can be beneficial in ensuring the compliance with environmental
 - 160 and legal standards.

- 161 • The Consumers
 - 162 – Since consumers are now becoming concerned regarding the sustainable
 - 163 sourcing and ethical practices on the products they purchase, this study
 - 164 will be able to help in verifying the history of the tuna product from its
 - 165 origin up until its journey to the consumers, therefore increasing the
 - 166 trust and transparency.

- 167 • For Future Researchers
 - 168 – As blockchain technology continues to grow, this study contributes to
 - 169 the application of blockchain in the supply chain management and the
 - 170 insights regarding its benefits and limitations. This research can be
 - 171 helpful in the growing knowledge on digital solutions for traceability
 - 172 and transparency for future research.

Chapter 2

Review of Related Literature

In purchasing goods, one thing to consider is the quality of it. An important part of determining the quality is to know the traceability of the supply chain. Traceability refers to the ability of tracking the journey of the product from its source until its destination. The term “traceability” is now more utilized in both the food and production industry (Islam & Cullen, 2021). In the context of the tuna supply chain, it can be used not only to promote transparency to consumers but to also ensure compliance with environmental and legal standards. With blockchain technology, the status of tuna at each stage could be recorded in the blockchain which could be used for traceability. This paper aims to address the following research question: *How can blockchain technology improve the traceability of the tuna supply chain management?*

2.1 State of Tuna Industry in the Philippines

In 2014, the Philippines became the top global producer of tuna according to Llanto et al (2018). The tuna is caught in domestic and international fishing grounds near the country through various fishing methods such as purse seines, gill nets, handline (hook and line) and ring net. Among the tuna species, the skipjack tuna accounted for the largest portion of the catch by 40%. The study of PCMARD (1993 as cited in Nepomuceno et al., 2020) stated that skipjack tuna are often caught out in open waters or in offshore areas. In addition, Nepomuceno et al. (2020) mentioned in their study that the dominant production of skipjack tuna, together with yellowfin tuna, was recorded in South Cotabato. The tuna supply has declined since 2000 due to various factors including overfishing, climate change, and the laws and regulations imposed by different governing bodies for

the tuna fishing ground such as the Regulation No. 56, released by the Indonesian Maritime Affairs and Fisheries Ministry in November 2014. The regulation imposed a moratorium on issuance of fishing licenses from 3 November 2014 to 30 April 2015 to eliminate illegal, unreported, and unregulated fishing in Indonesian waters near Mindanao where tuna are known to thrive (Llanto et al, 2018). The regulation imposed for the protection of tuna fishing grounds in the western and central pacific ocean also lead to the decline of local tuna production which requires the fishing operators of the Philippines to invest in the manufacturing and processing of fish particularly tuna in Indonesia which includes hiring Indonesian crew to be deployed in the Philippine fishing vessels (Llanto et al, 2018).

2.2 Fishing Regulations in the Philippines

A study of Asche et al. (2018) divided the fishing management strategies that include right-based fishery management like territorial use of rights, access rights and harvest rights. It discussed that a rights-based system could support the sustainability of global fisheries by taking in account the three pillars of sustainability (economic development, social development, and environmental protection) rather than focusing on their trade-offs. A restriction on the fisherman's behavior by harvest rights and catch shares could be a profit problem for them in the short-run but in the long-run, this could help both in the fish stock and the fishermen's profit. Lack of restriction could lead to overfishing. Access rights limit the entry to fishery through permits which can also reduce the effect of high harvest levels. A sustainable fishing management system in the Philippines is important in order to preserve marine resources. To preserve these resources and protect the livelihood of local communities, various fishing management strategies should be implemented. A collaboration between the fishermen, local government and other stakeholders often happens to manage marine resources (Pomeroy & Courtney, 2018). The study of Pomeroy and Courtney discussed that marine tenure refers to the rights and responsibilities in terms of who can access the marine and coastal resources. The 1998 Fisheries Code paved the way for local government units (LGUs) to be involved in the management of municipal waters. LGUs are given the responsibility to overlook and regulate fisheries and establish marine tenure rights for fishers within 15 km from shore and these rights are applicable for municipal fishers and their respective organizations that are listed in the registry (Pomeroy & Courtney, 2018). In this way, it resolved problems in terms of fishing rights between small-scale and commercial fishing.

According to the study conducted by Mullan et al. (2017), the five major species of tuna: yellowfin *Thunnus albacares*, bigeye *Thunnus obesus*, bluefin

235 *Thunnus thynnus* or *Thunnus orientalis*, albacore *Thunnus alalunga*, and skip-
 236 jack *Katsuwonus pelamis* are harvested to meet the global supply chain demand
 237 which causes those group of tuna fishes to be heavily exploited and threatened.
 238 The study conducted by Paillin et al. (2022) states that there are multiple risk
 239 agents in the supply chain assessment of tuna, these include the lack of standard
 240 environmental management system, lack of maintenance management, and lack
 241 of quality control from suppliers. The usage of efficient boats and good qual-
 242 ity catching technology can also lead to fisheries depletion which causes various
 243 agency such as BFAR (Bureau of Fisheries and Aquatic Resources), the local gov-
 244 ernment units, and the Philippine Coast Guard to enable policies for upholding
 245 closed fishing season to restrict large scale fishing vessel to minimize the fishing
 246 activities in the identified areas (Macusi et al, 2022). The implementation of
 247 closed fishing season caused delay or lack of fish supply, which led to higher fish
 248 prices. The growing demands and depleting population of tuna fishes coupled with
 249 the rapid increase in fuel costs can have a negative impact on the future of the
 250 supply chain in tuna fisheries (Mullon et al., 2017). With factors concerning the
 251 slow decline of tuna catches in the Philippines and surrounding nations, the future
 252 of the global supply chain of tuna must be addressed.

253 2.3 Tuna and Fish Supply Chain

254 According to Macusi et al (2023), the implementation of traceability programs in
 255 the agricultural product commodities and value chain in the Philippines is slower
 256 than its competing nation for tuna production. The Philippines has been steadily
 257 responding to the market innovation and integration of cost-effective and smart
 258 technologies for the traceability of various commodities. Accurate catch data is
 259 crucial for determining the attributes of the fish health, size, volumes, and matu-
 260 rity (Grantham et al, 2022) which can be used as a basis for the transparency of
 261 the traceability of the fish product. Illegal, unreported, and unregulated (IUU)
 262 is another concern for the fish industry. In the 2000s, the persistent IUU became
 263 a global crisis affecting the biological, ecological, and socio-economics factors re-
 264 volving around marine livelihood in Southeast Asia (Malinee et al, 2020). IUU
 265 fishing is known to cause short- and long-term problems in the socio-economic
 266 opportunities which affects food security and results in the possible collapse of
 267 the fish industry and stocks due to overfishing (Malinee et al, 2020).

268 The establishment of marine protected areas in the Davao Gulf (MPAs) af-
 269 fected the management of small-scale fisheries due to the growing population and
 270 demands for seafood products. The closure of a wide range of fishing areas host-
 271 ing diverse and marine organisms has affected the socio-economics and livelihood

of the local and small-scale fishermen (Macusi et al, 2023), this in turn resulted in IUU fishing. To ensure that fish stocks in the gulf are sustainably managed, the implementation of GPS for tracking the movement and activities of fishers through logbook and habitat monitoring can provide data and insights for tracking, monitoring, and understanding the condition of the marine resources (Obura et al, 2019; Macusi et al, 2023).

2.4 Tuna Supply Chain Stages and Roles

The study conducted by Delfino (2023) highlights the roles of different actors involved in the supply, production, distribution, and marketing of skipjack tuna in Lagonoy Gulf in the Philippines. The study showcased a total of eleven interconnected value chains but are generalized into four major stages or roles - fishers, wholesalers, retailers, and processors. The fishers are the initial players responsible for catching fish using boats or fishing vessels equipped with purse seines, gillnets, and handlines(hook and line). Wholesalers are the actors for selling freshly caught fish locally and regionally, they receive the fish supply directly from the fishers. The next stage after wholesalers are the retailers, these intermediaries sell the fish product to local markets, house-to-house (*libod*” in Visayan languages), and other local medium such as *talipapa* or fish stands. Another intermediary is the processors, they convert fresh skipjack tuna into products like smoked tuna. The given stages also overlapped in some cases as there are fisher-wholesalers who catch and sell the fishes directly to retailers and there are also retailer-processors that both sell whole and processed products. Despite having a firm system to transport fish from sea to table, all the actors face problems during seasonal challenges involving the availability of the tuna product. The fishers also need to consider strict local regulations such as RA 10654 and RA 8550. The strict implementation of RA 10654 and RA 8850 at the local level or the Fisheries Code of the Local Philippines aims to curb the problem encountered during season of deficit tuna supply by limiting fishing activities and implementation of 15-km boundary lines in the municipal waters of each municipality (Delfino, 2023). The study suggests that improving conditions for value chain actors, particularly through support services and government involvement could lead to a stable and sustainable exchange of skipjack tuna and other seafood products from sea to table.

A study of Digal et al. (2017) discussed one of the value chains which was the purse seine or the skipjack tuna value chain in the Philippines. Purse seining is the method of catching a school of fish wherein it uses a large net around it, trapping them and pulling the bottom of the net like a purse-like (Digal et al., 2017). This type of catching often targets dense fishes like tuna. Skipjack fishes

309 that weigh 300 grams and above are often sold to canneries, while the smaller ones
 310 are sold at local markets, often used for consumption by Filipinos. Purse seiners
 311 are usually employees of a fishing company and they have a fixed salary. They
 312 could reach international waters so they need to bring their passports with them.
 313 Jamboleros, who act as distributors, often buy from different fishing companies
 314 per *banyera* or tub. They will then pack the fish and sell it to traders/truckers
 315 who go to General Santos fishport. These traders will deliver it to the retailers
 316 across Kidapawan who contacted them. There is no formal contract between the
 317 jambolero and traders/truckers. One of the issues of the retailer is for everyday
 318 that a fish is not sold, they would have a ₱10.00 less per kilogram.

319 2.5 Factors Affecting the Tuna Supply Chain

320 The tuna supply chain faced several factors and challenges for the safety and
 321 quality of the product (Mercogliano & Santonicola, 2019). Without the proper
 322 handling of the tuna after catching it can lead to various food-borne diseases and
 323 outbreaks. The most frequent and mitigated food-borne causing compound is
 324 Histamine(HIS) which causes Scombrototoxin fish poisoning (SPF) outbreaks re-
 325 lated to food allergies when consumed (EFSA, 2017; Peruzy et al., 2017). Tuna
 326 species are known for having high presence of amino acid histidine concentrations
 327 which are converted to HIS by bacterial enzyme histidine-decarboxylase or HDS
 328 (Aponte et al., 2018; Verkhivker & Altman, 2018). To combat the risk of SPF
 329 and other food-borne diseases caused by tuna consumption, several safety hazards
 330 and protocols were imposed to the tuna supply chain management. The term cold
 331 chain refers to the storing of fish in temperatures less than 5°C after it was caught
 332 (Yang & Lin, 2017). According to the article published by Mercogliano and San-
 333 tonicola (2019), implementing a cold chain from the time the fish is caught until it
 334 is consumed is crucial for mitigating the outbreak of HIS poisoning. Additionally,
 335 the article also states that using high-quality raw tuna, cold chain maintenance,
 336 pre-cooking, and cooking can also reduce HIS development.

337 According to the study conducted by Pacoma and Yap-Dejeto entitled "Health
 338 Risk Assessment: Total Mercury in Canned Tuna and in Yellowfin and Frigate
 339 Tuna Caught from Leyte Gulf and Philippine Sea", examines mercury contamina-
 340 tion in both canned and locally caught tuna in the Philippines. Methylmercury,
 341 a potent neurotoxin, presents risks especially to vulnerable groups like pregnant
 342 women and children. The study reveals that canned tuna generally has higher
 343 mercury levels (0.07 µg/g) than locally caught tuna (0.002–0.024 µg/g). Based
 344 on the Food and Agriculture Organization's fish consumption data, the mercury
 345 intake from locally caught tuna is within the World Health Organization's safe

limits, whereas canned tuna may exceed these limits for some groups. This highlights the need for monitoring of the mercury levels in the Philippine tuna supply chain, as tuna is a dietary staple and economic asset in the region, to mitigate health risks from chronic exposure.

Risk management is important for tuna supply chains to analyze the root of the risk and to assess the probability of such cases through the information taken from the different locations or sorting states where the tuna product is handled before being purchased by the consumer or end-use state (Parenreng et al, 2016).

2.6 Technology of Blockchain

According to Zheng et al. (2017), the idea of blockchain was first introduced in 2008 and was first implemented in the Bitcoin business which deals with cryptocurrencies. This kind of technology tracks transactions and stores it in a list of blocks. According to Sarmah (2018), it acts as a database of transactions which is overseen and verified by distributed nodes. Blockchain works by linking blocks (where data is stored). When a transaction is initiated, it is then broadcasted to a network of computers that verifies the transaction and if the verification is successful, it will then be grouped and linked with the previous transactions that will be added to the blockchain (Zheng et al., 2017). It does not require a middleman because it operates on a peer-to-peer transaction. This eliminates the traditional way of a central authority like the bank (Sarmah, 2018).

Automated process of transactions is also one of the salient features of blockchain which is executed automatically based on predefined rules involving no third parties. These predefined rules are conditions that need to be met in order for the transaction to proceed. Given this, blockchain is described to be a “trust-free technology” since it reduces the number of trusted individuals instead they trust the machine itself which is difficult to manipulate given its cryptographic security (Ali et al., 2023). Blockchain also ensures immutability with its data. Once the data has been added to the blockchain, it is difficult to change since each block has a cryptographic link to other blocks, which guarantees that the data is tamper-proof and permanent. (Ali et al., 2023). This also brings back to the essence of blockchain being trustworthy. Immutability and tamper-proof enhances data management. It also helps prevent fraudulent activities especially with finances. Transparency with transactions is one of the features of blockchain which makes the chances of data being tampered less because it is accessible to anyone on the network (Ali et al., 2023).

381 According to Nasurudeen Ahamed et al. (2020), Blockchain technologies
382 are classified into 3 types: Public Blockchain, Private Blockchain,. Consortium
383 Blockchain. In Public Blockchain, all public peers can join together and have
384 equal rights (for example, read, write, and execute) on the public node. In Pri-
385 vate Blockchain, only Authorized Private Peers have access to the network. The
386 access to the node in the private peer is limited to the specific node. In Consor-
387 tium Blockchain, only the authorized team can access and join this blockchain,
388 and all operations in the node must adhere to the access. Their paper, Sea Food
389 Supply Chain Management Using Blockchain, gave the idea that with the pur-
390 pose of creating a blockchain-driven application, a public blockchain approach
391 could be appropriate for handling consumer-based information as users can verify
392 non-sensitive data like prices, freshness and availability. While handling sensitive
393 information such as internal works and logistics, a consortium blockchain where
394 authorized users such as fish owners, distributors, manufacturers, etc. can handle
395 the core supply chain operations, like tracking the movement of tuna from catch
396 to market.

397 **2.7 Opportunities of Blockchain Technology for** 398 **Supply Chain Management**

399 Supply chain is the term used for understanding the business activities for design-
400 ing, developing, delivering, purchasing, and using a product or service (Hugos,
401 2024). Companies and various industries are heavily relying on supply chains to
402 achieve their business objectives. The purpose of supply chain began to be more
403 significant in the last century as firms discovered that supply chain can be used for
404 competitive advantage instead of just a cost driver as believed in the bygone days
405 (Snyder & Shen, 2019). Following the supply chain paradigm can demonstrate the
406 delivery of a product or service while strongly emphasizing the customer's speci-
407 fications. With the increasing studies conducted and published for supply chain,
408 many companies adopted this practice for the benefit of their longevity, as such
409 the term supply chain management has come into place. The Council of Supply
410 Management Professionals or CSCMP (2024) defines supply chain management
411 as the planning and management of all activities involved in sourcing and pro-
412 curement, conversion, and all logistics management activities; essentially, supply
413 chain management integrates supply and demand management within and across
414 the company. Supply chain management is also involved with the relationship
415 with collaborators and channel partners such as suppliers, intermediaries, third
416 party providers, and customers (CSCMP, 2024).

417 In the article of Cordova et. al (Cordova et. al, 2021), the role of supply chain

management and the growing opportunities for blockchain technology in supply chain management was discussed. According to Cordova (2021), the recent innovation and globalization has given rise to the idea of using a data innovation framework for supply chain management. Technologies such as blockchains and enterprise resource planning (ERP) are among the highly contested platforms for supply chain management to operate in a seamless interaction and distribution with the product while heavily relying on modern technology and innovations. The logistic business of the supply chain market is wide and complex, the distribution and flow of products is not a simple job, and it heavily relies on paperwork (Georgiou, 2019; Cordova et. al, 2021). The usage of paperwork for logistic business can be at higher risk for lack of transparency, complex or unreliable tracking, deficiency of information, and possible dispute due to the tendency of paper to disappear or tear down, this can in turn delay the process and delivery of the item/product. With the issues encountered in the supply chain market, businesses, people and enterprises are eyeing toward the application of blockchain technology on supply chain management (Cordova et. al, 2021).

Implementing blockchain innovation in ERP systems and companies that use digital platforms can provide opportunities and contribute greatly for business processes (EOS Costa Rica, 2019 as cited by Cordova et al, 2021). The ability of blockchain technology to append new transactions to an existing block containing data can be thought of as a decentralized ledger (Cole et al, 2019). The method of blockchain to behave like a decentralized ledger can serve as a single unified source of data which in turns create a clear and consistent audit trail involved in the manufacturing, assembly, supply, and maintenance processes. According to Cole et al (2019), blockchains provide data to the movement and relation of products from its origin, inventory, shipment, and purchase. One potential of blockchain for supply chain management (Niels & Moritz, 2017) is the ease of paperwork processing, specifically in ocean freight. When IBM and Maersk settled for a permissioned blockchain solution, they were able to connect a global network of shippers, carriers, ports, and customs. Another potential of blockchain in SCM is to identify counterfeit products. In the pharmaceutical industry and healthcare setting, blockchain could improve patient safety and hazard through establishing supply chain transparency from manufacturers through wholesale and pharmacies to the individual patients (Niels & Moritz, 2017). Using blockchain can make it harder to tamper or alter the products chain of origin with illegal and counterfeit products. Blockchain have the potential to facilitate origin tracking. According to Cordova et al (2021), blockchain allows organizations to input relevant data inside a chain which would have constant updates and tracking, this supports visibility and traceability of the origin of the product. Smart contracts, an executable code and a feature of blockchain, serves as a computer protocol made between participants to digitally facilitate, execute, verify, and enforce an agreement or

459 terms of contract which is then stored in the blockchain (Khan et al, 2021).

460 **2.8 Supply Chain Model with Blockchain Tech-** 461 **nology of Fishing Industry in Indonesia**

462 Larissa and Parung (2021) who explored the application of blockchain and de-
463 signed a supply chain model based on it, specifically for the Indonesian fishing
464 industry, aimed to mitigate the challenges in the fishery industry such as product
465 quality (perishability), long shipping times ,and data manipulation. The model
466 they developed of using QR codes for each player in the supply chain then tracking
467 it by scanning the QR code, could inspire our approach in building a blockchain-
468 driven application for the tuna supply chain in the Philippines.

469 **2.9 Existing Technology Intended for Traceabil-** 470 **ity and Supply Chain**

471 A study of Shamsuzzoha et al. (2023) discussed the feasibility of implementing
472 a blockchain driven application called ‘Tracey’ for monitoring the fish traceabil-
473 ity in supply chain management. The study utilized the theoretical framework
474 developed by Islam & Cullen (2021) for improving the understanding and effec-
475 tiveness of implementing a food traceability system. The framework consists of
476 four principles as a basis for the supply chain management: identification, data
477 recording, data integration, and accessibility (Islam & Cullen, 2021). The Tracey
478 application utilized a public-private hybrid blockchain-based conceptual frame-
479 work by Mantravadi and Srari (2023) to uphold the transparency, traceability, and
480 certification of the sea food produce, specifically shrimp. The prototype being
481 studied by Shamsuzzoha et al. (2023) called Tracey focuses on the mobile-based
482 solution approach, the study found that the most widely used smartphone type
483 in the Philippines is the android phone which is where the Tracey prototype is
484 intended to be used. The Tracey app allows fishermen to log their catch details
485 and buyers to verify and update transaction history (Shamsuzzoha et al., 2023).
486 The Tracey app uses a central database for storing fish trading data and a decen-
487 tralized ledger or blockchain for traceability purposes. The decentralized ledger
488 acts as a tamper-proof copy of the data recorded by fishermen and buyers. The
489 result of the study finds that fishermen are open to using digital methods for
490 payments and confidentiality which is required for exporting the fish product to
491 maintain high standards for traceability, catch certification, and product quality.

492 The usage of blockchain as exemplified by the Tracey project can be used for
 493 upholding the restriction for IUU due to its ability to ensure transparent trade,
 494 consistent records, and accessibility. The result and discussion of the study of
 495 Shamsuzzoha et al. (2023) provides a solution for improving the sustainability of
 496 tuna fishery and ensures that Filipino fishermen receive fair compensation. For
 497 the study limitation of the Tracey project, although there is a high acceptabil-
 498 ity of potentially using the app for fishermen, there are still constraints in terms
 499 of proper incentives, connectivity issues, technology usability, and education for
 500 using the app.

501 A study of Cocco and Mannaro (2021) proposed a blockchain-based technol-
 502 ogy in the traceability of the supply chain management of a traditional Italian
 503 food product, Carasau bread, which is made from durum wheat flour, salt, yeast
 504 and water. Since the production of this product is traditional, consumers would
 505 demand for transparency on the methods used in the Carasau bread production
 506 to ensure authenticity. The proposed model involves the combination of the appli-
 507 cation of Internet of Things (IoT), specifically the Radio Frequency Identification
 508 (RFID) sensors and Interplanetary File System (IPFS) with Blockchain (Cocco
 509 & Mannaro, 2021). RFID is a technology that uses radio frequencies to identify
 510 and track a tagged object while IPFS allows files to be stored and tracked over a
 511 decentralized and distributed file system. Cocco and Mannaro (2021) also stated
 512 that using RFID tags that will be integrated with different sensors to monitor
 513 food quality will be useful in their study. These sensors include freshness indica-
 514 tors to monitor the food quality when packaged, biosensors to detect degradation
 515 molecules, time temperature indicator to measure and record temperature and
 516 humidity sensors to detect the amount of water vapor in the atmosphere. The
 517 integration of IoT and blockchain technology can have a huge impact in increasing
 518 traceability in agri-food supply chain. Moreover, this study proposed to have a
 519 generic agri-food traceability system which will be based on Ethereum blockchain,
 520 Radio-frequency identification (RFID), Near Field Communication (NFC), and
 521 Interplanetary File System (IPFS) technology. Moreover, the model proposal also
 522 includes sensor network devices, smart contacts, optical cameras and an external
 523 database. Each IoT device will be connected to Raspberry Pi and interfaces with
 524 blockchain implementing smart contracts and IPFS which authorities can inspect
 525 every node and batch online through the uploaded files in IPFS; hashes of the
 526 uploaded files on IPFS are also stored on blockchain (Cocco & Mannaro, 2021).
 527 In this way, users along the chain supply can view and trace each batch using the
 528 NFC tags promoting transparency and traceability. Overall, the proposed model
 529 is a combination of two subsystems. The first one is an on-chain system which is
 530 the blockchain implementing smart contracts and will be developed using Solidity,
 531 an object-oriented language. The second one is an off-chain system that will be
 532 implemented in Javascript using Node.js (to interact with the smart contracts)

533 and Web3.js packages (to interact with blockchain) and these packages should be
534 installed on the Raspberry Pi. However, the purpose of this study is to exam-
535 ine the traceability systems of the agri-food industry and further provide possible
536 solutions.

537 **2.10 Developing a Traceability System for Tuna** 538 **Supply Chains**

539 The study of Kresna et al. (2017), proposed an IT-based traceability system for
540 tuna supply chain as opposed to the traditional paper based traceability system
541 which has several limitations such as the potency to be manipulated, error by
542 the human, language barrier, and physical damage. The architecture comprises
543 several layers: infrastructure, data, application, communication, and user lay-
544 ers. The infrastructure layer includes computer hardware, network infrastructure,
545 and sensing devices like CCTV, GPS, and RFID for data acquisition. The data
546 layer serves as the system’s database, featuring both a main system database and
547 an emergency database for critical situations. The application layer consists of
548 various modules—admin, tracing, transporter, supplier, and government—that
549 registered actors can access through different interfaces. Finally, the user layer
550 consists of the registered actors who utilize the system.

551 The journal article of Tiwari (2020) called Application of Blockchain in Agri-
552 Food Supply Chain conducted two case studies for a blockchain driven app built
553 for supply chain related to food, fishing, and agriculture. The first case-study is
554 the usage and effectiveness of the Provenance system for tuna tracking certifica-
555 tion. The objective of the Provenance system is to enhance transparency in the
556 tuna supply chain by ensuring certification and standard compliance across all
557 roles(e.g. supplier, retailer) in the chain. The system is built using six modular
558 programs: registering, standards, production, manufacturing, tagging, and user-
559 interface. The usage of blockchain in the Provenance system allows transactions to
560 be recorded to allow shared ledger for transparency and smart contracts for secure
561 exchanges of money or information. The usage of the Provenance system is to
562 solve the issues encountered in the tuna fishing industry affected by various factors
563 such as illegal, unregulated, unauthorized (IUU) fishing, fraud, and human rights
564 abuses. The solution of the Provenance system is to allow tracking, tracing, and
565 certification of tuna using blockchain. The Provenance system has a smart tag-
566 ging feature that allows fishermen to use SMS for digital assets on the blockchain
567 to track where the fish, in return, all supply chain stakeholders can access the
568 data that was sourced from the SMS. The second case-study is the usage of the
569 IBM Food Trust for transparency in the food supply chain. The IBM Food Trust

570 aims to solve the problems in the food supply chain, specifically in product safety.
571 Locating supply chain items in real-time using identifiers like GTIN or UPC is
572 the primary feature of the IBM Food Trust. The app also provides end-to-end
573 product provenance, real-time location and status, and facilitates rapid product
574 recalls. The IBM Food Trust also provides insights and visibility for the freshness
575 of the product to reduce losses and spoilage. Lastly, the IBM Food Trust provides
576 certifications from the information taken when handling and managing the prod-
577 ucts in the supply chain. The case studies conducted by Tiwari (2020) illustrates
578 the potential of blockchain technology in improving transparency, efficiency, and
579 ethical practices within supply chains.

580 **2.11 Chapter Summary**

581 The literature reviewed highlighted the critical challenges and opportunities re-
582 garding the tuna supply chain. It highlighted the issues of traceability and sus-
583 tainability. There were some existing supply chain technologies which presented
584 solutions, particularly using blockchain technology but it also has its limitations
585 in blockchain adoption. Application of blockchain in the tuna supply chain has
586 shown potential in promoting and improving traceability from ocean to consumers.
587 Through this paper, a blockchain-driven solution could contribute in providing a
588 more efficient and transparent supply chain. Moreover, further studies still remain
589 in assessing the sustainability of blockchain in the long-run.

Chapter 3

Research Methodology

This chapter lists and discusses the specific steps and activities that will be performed to accomplish the project. The discussion covers the activities from pre-proposal to Final SP Writing.

3.1 Research Activities

Research activities include inquiry, survey, research, brainstorming, canvassing, consultation, review, interview, observe, experiment, design, test, document, etc. Be sure that for each method, process, or algorithm used, there is a justification why that method was chosen. The methodology also includes the following information:

- who is responsible for the task
- the resource person to be contacted
- what will be done
- when and how long will the activity be done
- where will it be done
- why should the activity be done

DO NOT FORGET to cite your references.

608 3.2 Calendar of Activities

609 A Gantt chart showing the schedule of the activities should be included as a table.
 610 For example:

611 Table 3.1 shows a Gantt chart of the activities. Each bullet represents approx-
 612 imately one week worth of activity.

Table 3.1: Timetable of Activities

Activities (2009)	Jan	Feb	Mar	Apr	May	Jun	Jul
Study on Prerequisite Knowledge			••	••••			
Review of Existing Racing Strategies	••	••••	••••	••••			
Identification of Best Features				••••	••		
Development of Racing Strategies				••	••••	••	
Simulation of Racing Strategies				••	••••	•••	
Analysis and Interpretation of the Results					••••	••••	•
Documentation	••	••••	••••	••••	••••	••••	••

613 Chapter 4

614 Preliminary Results/System 615 Prototype

616 This chapter presents the preliminary results or the system prototype of your SP.
617 Include screenshots, tables, or graphs and provide the discussion of results.

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⁶⁴⁵ **Appendix A**

⁶⁴⁶ **Appendix Title**

647 **Appendix B**

648 **Resource Persons**

649 **Mr. Firstname1 Lastname1**

650 Role1

651 Affiliation1

652 emailaddr1@domain.com

653 **Ms. Firstname2 Lastname2**

654 Role2

655 Affiliation2

656 emailaddr2@domain.net

657