

1 SEAXCHANGE: BLOCKCHAIN DRIVEN APP FOR
2 TUNA SUPPLY CHAIN MANAGEMENT

3 A Special Problem Proposal
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12 BAT-OG, Jeff Rouzel
13 CAHILIG, Maxinne Gwen
14 Ganit, Zyrex Djewel

15 Francis DIMZON
16 Adviser

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Abstract

19 The tuna supply chain faces critical challenges regarding traceability, transparency
20 and sustainability due to certain issues such as illegal, unreported and unregu-
21 lated fishing. Within the tuna supply chain, traceability can play a critical role in
22 enhancing consumer transparency and ensuring adherence to environmental and
23 legal standards. By leveraging blockchain technology, this research combines qual-
24 itative insights of supply chain stakeholders and uses the information to evaluate
25 the potential of blockchain in improving product traceability and accountability
26 within the supply chain.

27 **Keywords:** Blockchain, Traceability, Smart Contract, Supply Chain, etc.

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<small>61</small>		fully describe the figure in a concise manner such that there is not	
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Chapter 1

Introduction

1.1 Overview

This section gives the reader an overview of the real world problem that needs to be solved. It describes the exigency of the proposed solution. The consequences to the affected stakeholders that the problem may bring if it not addressed. Discussion must not be too technical or too detailed.

This section ends with a discussion on the problem/s faced by or that still exist in the specific technology or field (e.g., limitations of existing software or algorithms). The problem statement would lead to the research objectives.

It is easy to include a figure in JPG or PNG format as shown in the following example. Make sure that you explain what the figure is all about, and that you refer to your figure. For example, Figure 1.1 shows a graph of the performance of Disney stock from the 1980s to 2012.

Some notes on citing references. When using APA format, the author-date method of citation is followed. This means that the author's last name and the year of publication for the source should appear in the text, and a complete reference should appear in the reference list.

Here are some examples on how to do the referencing (note author's name and years are different from commented examples). For APA citation details, refer to <http://www.ctan.org/tex-archive/biblio/bibtex/contrib/apacite/>.

- Kartch (2000) compared reaction times...

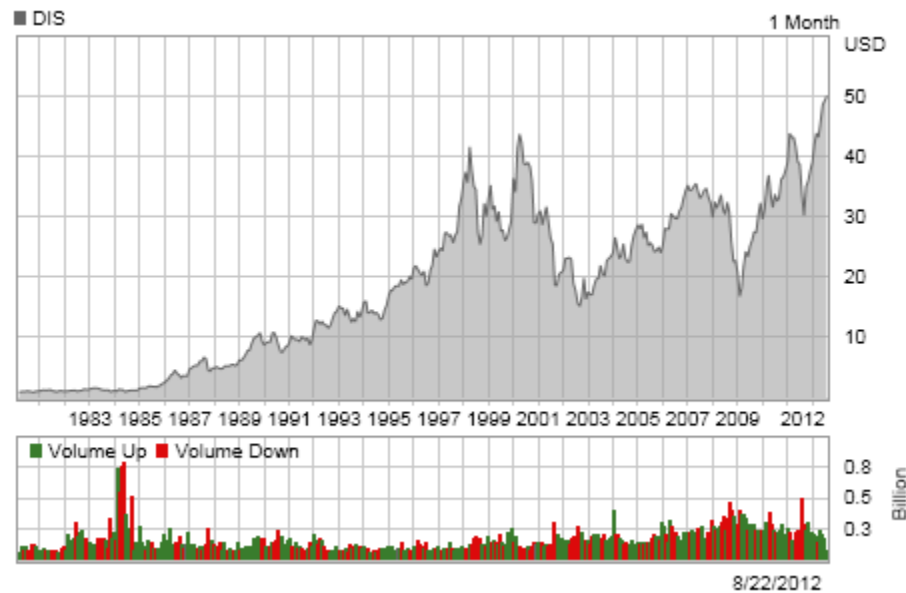


Figure 1.1: This is the figure’s caption – Disney stock chart. Captions should fully describe the figure in a concise manner such that there is not need to refer to the text when figuring out the graphic.

- 87 • In a recent study of reaction times (Kartch, 2000)...
- 88 • In 2000, Kartch compared reaction times...
- 89 • Fedkiw et al. (2001) compared reaction times...
- 90 • In a recent study of reaction times (Fedkiw et al., 2001)...
- 91 • In 2001, Fedkiw et al., compared reaction times...

92 The following are references from journal articles (Park, Linsen, Kreylos,
 93 Owens, & Hamann, 2006; Pellacini et al., 2005; Sako & Fujimura, 2000). Here’s
 94 an MS thesis document (Yee, 2000), and this is from PhD dissertation (Kartch,
 95 2000). For a book, reference is given as (Parke & Waters, 1996). Proceedings
 96 from a conference samples are (Jobson, Rahman, & Woodell, 1995; Fedkiw et al.,
 97 2001; Levoy et al., 2000). The sample bibliography file named **myreferences.bib**
 98 is from the SIGGRAPH L^AT_EX template. You can use a text editor to view the
 99 contents of the bib file. It is your task to create your own bibliography file. For
 100 those who downloaded papers from ACM or IEEE sites, there is a BibTeX link
 101 that you can click; thereafter, you just simply need to copy and paste the BibTeX
 102 entry into your own bibliography file.

103 The following shows how to include a program source code (or algorithm).
104 The verbatim environment, as the name suggests, outputs text (including white
105 spaces) as is...

```
106             #include <stdio.h>
107             main()
108             {
109                 printf("Hello world!\n");
110             }
```

111 Alternatively, you can also use the *lstlisting* environment from the **listings**
112 package.

113 1.2 Problem Statement

114 DO NOT FORGET to write the statement of the research problem here, i.e.,
115 before the Research Objectives.

116 A problem statement is your research problem written explicitly. The problem
117 statement should do four things:

- 118 1. Specify and describe the problem (with appropriate citations)
- 119 2. Provide evidence of the problem's existence
- 120 3. Explain the consequences of NOT solving the problem
- 121 4. Identify what is not known about the problem that should be known.
- 122 5. Subdivide the main problem into several subproblems.

123 1.3 Research Objectives

124 1.3.1 General Objective

125 This subsection states the over-all goal that must be achieved to answer the
126 problem. Address the following: Given your research challenge or opportunity,
127 how do you intend to solve it? What is the output of your research?

128 1.3.2 Specific Objectives

129 This subsection is an elaboration of the general objective. It states the specific
130 steps that must be undertaken to accomplish the general objective. These objec-
131 tives must be **S**pecific, **M**easurable, **A**ttainable, **R**ealistic, **T**ime-bounded. Also,
132 they are manageable and communicable.

133 A specific objective start with “to <verb>” for example: to design/survey/review/analyze.

134 Studying a particular programming language or development tool (e.g., to
135 study Windows/Object-Oriented/Graphics/C++ programming) to accomplish the
136 general objective is inherent in all thesis and, therefore, must not be included here.

- 137 1. To compare and contrast existing algorithms (on what problem?);
- 138 2. To develop a new algorithm (for what purpose?)
- 139 3. To analyze the algorithm (based on what criteria?)

140 1.4 Scope and Limitations of the Research

141 The scope of this study focuses on how blockchain technology can be applied
142 to enhance traceability and transparency within the tuna supply chain. It will
143 involve features such as smart contracts for recording the transactions and user
144 interface for stakeholders. The study will also focus exclusively on whole, caught
145 tuna products in the supply chain, excluding processed forms such as canned or
146 packaged tuna. The research will examine the traceability of whole tuna from
147 capture to market sale, specifically centering on a supplier based in Iloilo.

148 This study will only be limited to the supply chain in Iloilo, so findings may
149 not fully represent global practices. Since this will only focus on blockchain’s
150 function in traceability, other functions are outside the scope of this research.

151 1.5 Significance of the Research

152 This study serves a significant purpose for several stakeholders in the tuna supply
153 chain. This study aims to solve the problems related to the management of tuna
154 supply chain, particularly with regards to product traceability.

- 155 • The Stakeholders
 - 156 – This study enhances transparency and accountability which allows stake-
157 holders such as the fishers, suppliers and retailers to access tamper-
158 proof and accurate information promoting a more ethical and authentic
159 supply chain. Providing a digital record of the product’s history, this
160 study can be beneficial in ensuring the compliance with environmental
161 and legal standards.
- 162 • The Consumers
 - 163 – Since consumers are now becoming concerned regarding the sustainable
164 sourcing and ethical practices on the products they purchase, this study
165 will be able to help in verifying the history of the tuna product from its
166 origin up until its journey to the consumers, therefore increasing the
167 trust and transparency.
- 168 • For Future Researchers
 - 169 – As blockchain technology continues to grow, this study contributes to
170 the application of blockchain in the supply chain management and the
171 insights regarding its benefits and limitations. This research can be
172 helpful in the growing knowledge on digital solutions for traceability
173 and transparency for future research.

Chapter 2

Review of Related Literature

In purchasing goods, one thing to consider is the quality of it. An important part of determining the quality is to know the traceability of the supply chain. Traceability refers to the ability of tracking the journey of the product from its source until its destination. The term “traceability” is now more utilized in both the food and production industry (Islam & Cullen, 2021). In the context of the tuna supply chain, it can be used not only to promote transparency to consumers but to also ensure compliance with environmental and legal standards. With blockchain technology, the status of tuna at each stage could be recorded in the blockchain which could be used for traceability. This paper aims to address the following research question: *How can blockchain technology improve the traceability of the tuna supply chain management?*

2.1 State of Tuna Industry in the Philippines

In 2014, the Philippines became the top global producer of tuna according to Llanto et al (2018). The tuna is caught in domestic and international fishing grounds near the country through various fishing methods such as purse seines, gill nets, handline (hook and line) and ring net. Among the tuna species, the skipjack tuna accounted for the largest portion of the catch by 40%. The study of PCMARD (1993 as cited in Nepomuceno et al., 2020) stated that skipjack tuna are often caught out in open waters or in offshore areas. In addition, Nepomuceno et al. (2020) mentioned in their study that the dominant production of skipjack tuna, together with yellowfin tuna, was recorded in South Cotabato. The tuna supply has declined since 2000 due to various factors including overfishing, climate change, and the laws and regulations imposed by different governing bodies for

199 the tuna fishing ground such as the Regulation No. 56, released by the Indone-
200 sian Maritime Affairs and Fisheries Ministry in November 2014. The regulation
201 imposed a moratorium on issuance of fishing licenses from 3 November 2014 to 30
202 April 2015 to eliminate illegal, unreported, and unregulated fishing in Indonesian
203 waters near Mindanao where tuna are known to thrive (Llanto et al, 2018). The
204 regulation imposed for the protection of tuna fishing grounds in the western and
205 central pacific ocean also lead to the decline of local tuna production which re-
206 quires the fishing operators of the Philippines to invest in the manufacturing and
207 processing of fish particularly tuna in Indonesia which includes hiring Indonesian
208 crew to be deployed in the Philippine fishing vessels (Llanto et al, 2018).

209 2.2 Fishing Regulations in the Philippines

210 A study of Asche et al. (2018) divided the fishing management strategies that
211 include right-based fishery management like territorial use of rights, access rights
212 and harvest rights. It discussed that a rights-based system could support the sus-
213 tainability of global fisheries by taking in account the three pillars of sustainability
214 (economic development, social development, and environmental protection) rather
215 than focusing on their trade-offs. A restriction on the fisherman’s behavior by har-
216 vest rights and catch shares could be a profit problem for them in the short-run
217 but in the long-run, this could help both in the fish stock and the fishermen’s
218 profit. Lack of restriction could lead to overfishing. Access rights limit the en-
219 try to fishery through permits which can also reduce the effect of high harvest
220 levels. A sustainable fishing management system in the Philippines is important
221 in order to preserve marine resources. To preserve these resources and protect
222 the livelihood of local communities, various fishing management strategies should
223 be implemented. A collaboration between the fishermen, local government and
224 other stakeholders often happens to manage marine resources (Pomeroy & Court-
225 ney, 2018). The study of Pomeroy and Courtney discussed that marine tenure
226 refers to the rights and responsibilities in terms of who can access the marine and
227 coastal resources. The 1998 Fisheries Code paved the way for local government
228 units (LGUs) to be involved in the management of municipal waters. LGUs are
229 given the responsibility to overlook and regulate fisheries and establish marine
230 tenure rights for fishers within 15 km from shore and these rights are applicable
231 for municipal fishers and their respective organizations that are listed in the reg-
232 istry (Pomeroy & Courtney, 2018). In this way, it resolved problems in terms of
233 fishing rights between small-scale and commercial fishing.

234 According to the study conducted by Mullan et al. (2017), the five major
235 species of tuna: yellowfin *Thunnus albacares*, bigeye *Thunnus obesus*, bluefin

236 *Thunnus thynnus* or *Thunnus orientalis*, albacore *Thunnus alalunga*, and skip-
 237 jack *Katsuwonus pelamis* are harvested to meet the global supply chain demand
 238 which causes those group of tuna fishes to be heavily exploited and threatened.
 239 The study conducted by Paillin et al. (2022) states that there are multiple risk
 240 agents in the supply chain assessment of tuna, these include the lack of standard
 241 environmental management system, lack of maintenance management, and lack
 242 of quality control from suppliers. The usage of efficient boats and good qual-
 243 ity catching technology can also lead to fisheries depletion which causes various
 244 agency such as BFAR (Bureau of Fisheries and Aquatic Resources), the local gov-
 245 ernment units, and the Philippine Coast Guard to enable policies for upholding
 246 closed fishing season to restrict large scale fishing vessel to minimize the fishing
 247 activities in the identified areas (Macusi et al, 2022). The implementation of
 248 closed fishing season caused delay or lack of fish supply, which led to higher fish
 249 prices. The growing demands and depleting population of tuna fishes coupled with
 250 the rapid increase in fuel costs can have a negative impact on the future of the
 251 supply chain in tuna fisheries (Mullon et al., 2017). With factors concerning the
 252 slow decline of tuna catches in the Philippines and surrounding nations, the future
 253 of the global supply chain of tuna must be addressed.

254 2.3 Tuna and Fish Supply Chain

255 According to Macusi et al (2023), the implementation of traceability programs in
 256 the agricultural product commodities and value chain in the Philippines is slower
 257 than its competing nation for tuna production. The Philippines has been steadily
 258 responding to the market innovation and integration of cost-effective and smart
 259 technologies for the traceability of various commodities. Accurate catch data is
 260 crucial for determining the attributes of the fish health, size, volumes, and matu-
 261 rity (Grantham et al, 2022) which can be used as a basis for the transparency of
 262 the traceability of the fish product. Illegal, unreported, and unregulated (IUU)
 263 is another concern for the fish industry. In the 2000s, the persistent IUU became
 264 a global crisis affecting the biological, ecological, and socio-economics factors re-
 265 volving around marine livelihood in Southeast Asia (Malinee et al, 2020). IUU
 266 fishing is known to cause short- and long-term problems in the socio-economic
 267 opportunities which affects food security and results in the possible collapse of
 268 the fish industry and stocks due to overfishing (Malinee et al, 2020).

269 The establishment of marine protected areas in the Davao Gulf (MPAs) af-
 270 fected the management of small-scale fisheries due to the growing population and
 271 demands for seafood products. The closure of a wide range of fishing areas host-
 272 ing diverse and marine organisms has affected the socio-economics and livelihood

273 of the local and small-scale fishermen (Macusi et al, 2023), this in turn resulted
 274 in IUU fishing. To ensure that fish stocks in the gulf are sustainably managed,
 275 the implementation of GPS for tracking the movement and activities of fishers
 276 through logbook and habitat monitoring can provide data and insights for track-
 277 ing, monitoring, and understanding the condition of the marine resources (Obura
 278 et al, 2019; Macusi et al, 2023).

279 2.4 Tuna Supply Chain Stages and Roles

280 The study conducted by Delfino (2023) highlights the roles of different actors in-
 281 volved in the supply, production, distribution, and marketing of skipjack tuna in
 282 Lagonoy Gulf in the Philippines. The study showcased a total of eleven intercon-
 283 nected value chains but are generalized into four major stages or roles - fishers,
 284 wholesalers, retailers, and processors. The fishers are the initial players responsible
 285 for catching fish using boats or fishing vessels equipped with purse seines, gillnets,
 286 and handlines(hook and line). Wholesalers are the actors for selling freshly caught
 287 fish locally and regionally, they receive the fish supply directly from the fishers.
 288 The next stage after wholesalers are the retailers, these intermediaries sell the fish
 289 product to local markets, house-to-house (*libod*” in Visayan languages), and other
 290 local medium such as *talipapa* or fish stands. Another intermediary is the proces-
 291 sors, they convert fresh skipjack tuna into products like smoked tuna. The given
 292 stages also overlapped in some cases as there are fisher-wholesalers who catch and
 293 sell the fishes directly to retailers and there are also retailer-processors that both
 294 sell whole and processed products. Despite having a firm system to transport fish
 295 from sea to table, all the actors face problems during seasonal challenges involving
 296 the availability of the tuna product. The fishers also need to consider strict local
 297 regulations such as RA 10654 and RA 8550. The strict implementation of RA
 298 10654 and RA 8850 at the local level or the Fisheries Code of the Local Philip-
 299 pines aims to curb the problem encountered during season of deficit tuna supply
 300 by limiting fishing activities and implementation of 15-km boundary lines in the
 301 municipal waters of each municipality (Delfino, 2023). The study suggests that
 302 improving conditions for value chain actors, particularly through support services
 303 and government involvement could lead to a stable and sustainable exchange of
 304 skipjack tuna and other seafood products from sea to table.

305 A study of Digal et al. (2017) discussed one of the value chains which was
 306 the purse seine or the skipjack tuna value chain in the Philippines. Purse seining
 307 is the method of catching a school of fish wherein it uses a large net around it,
 308 trapping them and pulling the bottom of the net like a purse-like (Digal et al.,
 309 2017). This type of catching often targets dense fishes like tuna. Skipjack fishes

310 that weigh 300 grams and above are often sold to canneries, while the smaller ones
311 are sold at local markets, often used for consumption by Filipinos. Purse seiners
312 are usually employees of a fishing company and they have a fixed salary. They
313 could reach international waters so they need to bring their passports with them.
314 Jamboleros, who act as distributors, often buy from different fishing companies
315 per *banyera* or tub. They will then pack the fish and sell it to traders/truckers
316 who go to General Santos fishport. These traders will deliver it to the retailers
317 across Kidapawan who contacted them. There is no formal contract between the
318 jambolero and traders/truckers. One of the issues of the retailer is for everyday
319 that a fish is not sold, they would have a ₱10.00 less per kilogram.

320 2.5 Factors Affecting the Tuna Supply Chain

321 The tuna supply chain faced several factors and challenges for the safety and
322 quality of the product (Mercogliano & Santonicola, 2019). Without the proper
323 handling of the tuna after catching it can lead to various food-borne diseases and
324 outbreaks. The most frequent and mitigated food-borne causing compound is
325 Histamine(HIS) which causes Scombrototoxin fish poisoning (SPF) outbreaks re-
326 lated to food allergies when consumed (EFSA, 2017; Peruzy et al., 2017). Tuna
327 species are known for having high presence of amino acid histidine concentrations
328 which are converted to HIS by bacterial enzyme histidine-decarboxylase or HDS
329 (Aponte et al., 2018; Verkhivker & Altman, 2018). To combat the risk of SPF
330 and other food-borne diseases caused by tuna consumption, several safety hazards
331 and protocols were imposed to the tuna supply chain management. The term cold
332 chain refers to the storing of fish in temperatures less than 5°C after it was caught
333 (Yang & Lin, 2017). According to the article published by Mercogliano and San-
334 tonicola (2019), implementing a cold chain from the time the fish is caught until it
335 is consumed is crucial for mitigating the outbreak of HIS poisoning. Additionally,
336 the article also states that using high-quality raw tuna, cold chain maintenance,
337 pre-cooking, and cooking can also reduce HIS development.

338 According to the study conducted by Pacoma and Yap-Dejeto entitled "Health
339 Risk Assessment: Total Mercury in Canned Tuna and in Yellowfin and Frigate
340 Tuna Caught from Leyte Gulf and Philippine Sea", examines mercury contamina-
341 tion in both canned and locally caught tuna in the Philippines. Methylmercury,
342 a potent neurotoxin, presents risks especially to vulnerable groups like pregnant
343 women and children. The study reveals that canned tuna generally has higher
344 mercury levels (0.07 µg/g) than locally caught tuna (0.002–0.024 µg/g). Based
345 on the Food and Agriculture Organization's fish consumption data, the mercury
346 intake from locally caught tuna is within the World Health Organization's safe

limits, whereas canned tuna may exceed these limits for some groups. This highlights the need for monitoring of the mercury levels in the Philippine tuna supply chain, as tuna is a dietary staple and economic asset in the region, to mitigate health risks from chronic exposure.

Risk management is important for tuna supply chains to analyze the root of the risk and to assess the probability of such cases through the information taken from the different locations or sorting states where the tuna product is handled before being purchased by the consumer or end-use state (Parenreng et al, 2016).

2.6 Technology of Blockchain

According to Zheng et al. (2017), the idea of blockchain was first introduced in 2008 and was first implemented in the Bitcoin business which deals with cryptocurrencies. This kind of technology tracks transactions and stores it in a list of blocks. According to Sarmah (2018), it acts as a database of transactions which is overseen and verified by distributed nodes. Blockchain works by linking blocks (where data is stored). When a transaction is initiated, it is then broadcasted to a network of computers that verifies the transaction and if the verification is successful, it will then be grouped and linked with the previous transactions that will be added to the blockchain (Zheng et al., 2017). It does not require a middleman because it operates on a peer-to-peer transaction. This eliminates the traditional way of a central authority like the bank (Sarmah, 2018).

Automated process of transactions is also one of the salient features of blockchain which is executed automatically based on predefined rules involving no third parties. These predefined rules are conditions that need to be met in order for the transaction to proceed. Given this, blockchain is described to be a “trust-free technology” since it reduces the number of trusted individuals instead they trust the machine itself which is difficult to manipulate given its cryptographic security (Ali et al., 2023). Blockchain also ensures immutability with its data. Once the data has been added to the blockchain, it is difficult to change since each block has a cryptographic link to other blocks, which guarantees that the data is tamper-proof and permanent. (Ali et al., 2023). This also brings back to the essence of blockchain being trustworthy. Immutability and tamper-proof enhances data management. It also helps prevent fraudulent activities especially with finances. Transparency with transactions is one of the features of blockchain which makes the chances of data being tampered less because it is accessible to anyone on the network (Ali et al., 2023).

382 According to Nasurudeen Ahamed et al. (2020), Blockchain technologies
383 are classified into 3 types: Public Blockchain, Private Blockchain,. Consortium
384 Blockchain. In Public Blockchain, all public peers can join together and have
385 equal rights (for example, read, write, and execute) on the public node. In Pri-
386 vate Blockchain, only Authorized Private Peers have access to the network. The
387 access to the node in the private peer is limited to the specific node. In Consor-
388 tium Blockchain, only the authorized team can access and join this blockchain,
389 and all operations in the node must adhere to the access. Their paper, Sea Food
390 Supply Chain Management Using Blockchain, gave the idea that with the pur-
391 pose of creating a blockchain-driven application, a public blockchain approach
392 could be appropriate for handling consumer-based information as users can verify
393 non-sensitive data like prices, freshness and availability. While handling sensitive
394 information such as internal works and logistics, a consortium blockchain where
395 authorized users such as fish owners, distributors, manufacturers, etc. can handle
396 the core supply chain operations, like tracking the movement of tuna from catch
397 to market.

398 **2.7 Opportunities of Blockchain Technology for** 399 **Supply Chain Management**

400 Supply chain is the term used for understanding the business activities for design-
401 ing, developing, delivering, purchasing, and using a product or service (Hugos,
402 2024). Companies and various industries are heavily relying on supply chains to
403 achieve their business objectives. The purpose of supply chain began to be more
404 significant in the last century as firms discovered that supply chain can be used for
405 competitive advantage instead of just a cost driver as believed in the bygone days
406 (Snyder & Shen, 2019). Following the supply chain paradigm can demonstrate the
407 delivery of a product or service while strongly emphasizing the customer’s speci-
408 fications. With the increasing studies conducted and published for supply chain,
409 many companies adopted this practice for the benefit of their longevity, as such
410 the term supply chain management has come into place. The Council of Supply
411 Management Professionals or CSCMP (2024) defines supply chain management
412 as the planning and management of all activities involved in sourcing and pro-
413 curement, conversion, and all logistics management activities; essentially, supply
414 chain management integrates supply and demand management within and across
415 the company. Supply chain management is also involved with the relationship
416 with collaborators and channel partners such as suppliers, intermediaries, third
417 party providers, and customers (CSCMP, 2024).

418 In the article of Cordova et. al (Cordova et. al, 2021), the role of supply chain

management and the growing opportunities for blockchain technology in supply chain management was discussed. According to Cordova (2021), the recent innovation and globalization has given rise to the idea of using a data innovation framework for supply chain management. Technologies such as blockchains and enterprise resource planning (ERP) are among the highly contested platforms for supply chain management to operate in a seamless interaction and distribution with the product while heavily relying on modern technology and innovations. The logistic business of the supply chain market is wide and complex, the distribution and flow of products is not a simple job, and it heavily relies on paperwork (Georgiou, 2019; Cordova et. al, 2021). The usage of paperwork for logistic business can be at higher risk for lack of transparency, complex or unreliable tracking, deficiency of information, and possible dispute due to the tendency of paper to disappear or tear down, this can in turn delay the process and delivery of the item/product. With the issues encountered in the supply chain market, businesses, people and enterprises are eyeing toward the application of blockchain technology on supply chain management (Cordova et. al, 2021).

Implementing blockchain innovation in ERP systems and companies that use digital platforms can provide opportunities and contribute greatly for business processes (EOS Costa Rica, 2019 as cited by Cordova et al, 2021). The ability of blockchain technology to append new transactions to an existing block containing data can be thought of as a decentralized ledger (Cole et al, 2019). The method of blockchain to behave like a decentralized ledger can serve as a single unified source of data which in turns create a clear and consistent audit trail involved in the manufacturing, assembly, supply, and maintenance processes. According to Cole et al (2019), blockchains provide data to the movement and relation of products from its origin, inventory, shipment, and purchase. One potential of blockchain for supply chain management (Niels & Moritz, 2017) is the ease of paperwork processing, specifically in ocean freight. When IBM and Maersk settled for a permissioned blockchain solution, they were able to connect a global network of shippers, carriers, ports, and customs. Another potential of blockchain in SCM is to identify counterfeit products. In the pharmaceutical industry and healthcare setting, blockchain could improve patient safety and hazard through establishing supply chain transparency from manufacturers through wholesale and pharmacies to the individual patients (Niels & Moritz, 2017). Using blockchain can make it harder to tamper or alter the products chain of origin with illegal and counterfeit products. Blockchain have the potential to facilitate origin tracking. According to Cordova et al (2021), blockchain allows organizations to input relevant data inside a chain which would have constant updates and tracking, this supports visibility and traceability of the origin of the product. Smart contracts, an executable code and a feature of blockchain, serves as a computer protocol made between participants to digitally facilitate, execute, verify, and enforce an agreement or

460 terms of contract which is then stored in the blockchain (Khan et al, 2021).

461 **2.8 Supply Chain Model with Blockchain Tech-** 462 **nology of Fishing Industry in Indonesia**

463 Larissa and Parung (2021) who explored the application of blockchain and de-
464 signed a supply chain model based on it, specifically for the Indonesian fishing
465 industry, aimed to mitigate the challenges in the fishery industry such as product
466 quality (perishability), long shipping times ,and data manipulation. The model
467 they developed of using QR codes for each player in the supply chain then tracking
468 it by scanning the QR code, could inspire our approach in building a blockchain-
469 driven application for the tuna supply chain in the Philippines.

470 **2.9 Existing Technology Intended for Traceabil-** 471 **ity and Supply Chain**

472 A study of Shamsuzzoha et al. (2023) discussed the feasibility of implementing
473 a blockchain driven application called ‘Tracey’ for monitoring the fish traceabil-
474 ity in supply chain management. The study utilized the theoretical framework
475 developed by Islam & Cullen (2021) for improving the understanding and effec-
476 tiveness of implementing a food traceability system. The framework consists of
477 four principles as a basis for the supply chain management: identification, data
478 recording, data integration, and accessibility (Islam & Cullen, 2021). The Tracey
479 application utilized a public-private hybrid blockchain-based conceptual frame-
480 work by Mantravadi and Srai (2023) to uphold the transparency, traceability, and
481 certification of the sea food produce, specifically shrimp. The prototype being
482 studied by Shamsuzzoha et al. (2023) called Tracey focuses on the mobile-based
483 solution approach, the study found that the most widely used smartphone type
484 in the Philippines is the android phone which is where the Tracey prototype is
485 intended to be used. The Tracey app allows fishermen to log their catch details
486 and buyers to verify and update transaction history (Shamsuzzoha et al., 2023).
487 The Tracey app uses a central database for storing fish trading data and a decen-
488 tralized ledger or blockchain for traceability purposes. The decentralized ledger
489 acts as a tamper-proof copy of the data recorded by fishermen and buyers. The
490 result of the study finds that fishermen are open to using digital methods for
491 payments and confidentiality which is required for exporting the fish product to
492 maintain high standards for traceability, catch certification, and product quality.

493 The usage of blockchain as exemplified by the Tracey project can be used for
 494 upholding the restriction for IUU due to its ability to ensure transparent trade,
 495 consistent records, and accessibility. The result and discussion of the study of
 496 Shamsuzzoha et al. (2023) provides a solution for improving the sustainability of
 497 tuna fishery and ensures that Filipino fishermen receive fair compensation. For
 498 the study limitation of the Tracey project, although there is a high acceptabil-
 499 ity of potentially using the app for fishermen, there are still constraints in terms
 500 of proper incentives, connectivity issues, technology usability, and education for
 501 using the app.

502 A study of Cocco and Mannaro (2021) proposed a blockchain-based technol-
 503 ogy in the traceability of the supply chain management of a traditional Italian
 504 food product, Carasau bread, which is made from durum wheat flour, salt, yeast
 505 and water. Since the production of this product is traditional, consumers would
 506 demand for transparency on the methods used in the Carasau bread production
 507 to ensure authenticity. The proposed model involves the combination of the appli-
 508 cation of Internet of Things (IoT), specifically the Radio Frequency Identification
 509 (RFID) sensors and Interplanetary File System (IPFS) with Blockchain (Cocco
 510 & Mannaro, 2021). RFID is a technology that uses radio frequencies to identify
 511 and track a tagged object while IPFS allows files to be stored and tracked over a
 512 decentralized and distributed file system. Cocco and Mannaro (2021) also stated
 513 that using RFID tags that will be integrated with different sensors to monitor
 514 food quality will be useful in their study. These sensors include freshness indica-
 515 tors to monitor the food quality when packaged, biosensors to detect degradation
 516 molecules, time temperature indicator to measure and record temperature and
 517 humidity sensors to detect the amount of water vapor in the atmosphere. The
 518 integration of IoT and blockchain technology can have a huge impact in increasing
 519 traceability in agri-food supply chain. Moreover, this study proposed to have a
 520 generic agri-food traceability system which will be based on Ethereum blockchain,
 521 Radio-frequency identification (RFID), Near Field Communication (NFC), and
 522 Interplanetary File System (IPFS) technology. Moreover, the model proposal also
 523 includes sensor network devices, smart contacts, optical cameras and an external
 524 database. Each IoT device will be connected to Raspberry Pi and interfaces with
 525 blockchain implementing smart contracts and IPFS which authorities can inspect
 526 every node and batch online through the uploaded files in IPFS; hashes of the
 527 uploaded files on IPFS are also stored on blockchain (Cocco & Mannaro, 2021).
 528 In this way, users along the chain supply can view and trace each batch using the
 529 NFC tags promoting transparency and traceability. Overall, the proposed model
 530 is a combination of two subsystems. The first one is an on-chain system which is
 531 the blockchain implementing smart contracts and will be developed using Solidity,
 532 an object-oriented language. The second one is an off-chain system that will be
 533 implemented in Javascript using Node.js (to interact with the smart contracts)

534 and Web3.js packages (to interact with blockchain) and these packages should be
535 installed on the Raspberry Pi. However, the purpose of this study is to exam-
536 ine the traceability systems of the agri-food industry and further provide possible
537 solutions.

538 **2.10 Developing a Traceability System for Tuna** 539 **Supply Chains**

540 The study of Kresna et al. (2017), proposed an IT-based traceability system for
541 tuna supply chain as opposed to the traditional paper based traceability system
542 which has several limitations such as the potency to be manipulated, error by
543 the human, language barrier, and physical damage. The architecture comprises
544 several layers: infrastructure, data, application, communication, and user lay-
545 ers. The infrastructure layer includes computer hardware, network infrastructure,
546 and sensing devices like CCTV, GPS, and RFID for data acquisition. The data
547 layer serves as the system’s database, featuring both a main system database and
548 an emergency database for critical situations. The application layer consists of
549 various modules—admin, tracing, transporter, supplier, and government—that
550 registered actors can access through different interfaces. Finally, the user layer
551 consists of the registered actors who utilize the system.

552 The journal article of Tiwari (2020) called Application of Blockchain in Agri-
553 Food Supply Chain conducted two case studies for a blockchain driven app built
554 for supply chain related to food, fishing, and agriculture. The first case-study is
555 the usage and effectiveness of the Provenance system for tuna tracking certifica-
556 tion. The objective of the Provenance system is to enhance transparency in the
557 tuna supply chain by ensuring certification and standard compliance across all
558 roles(e.g. supplier, retailer) in the chain. The system is built using six modular
559 programs: registering, standards, production, manufacturing, tagging, and user-
560 interface. The usage of blockchain in the Provenance system allows transactions to
561 be recorded to allow shared ledger for transparency and smart contracts for secure
562 exchanges of money or information. The usage of the Provenance system is to
563 solve the issues encountered in the tuna fishing industry affected by various factors
564 such as illegal, unregulated, unauthorized (IUU) fishing, fraud, and human rights
565 abuses. The solution of the Provenance system is to allow tracking, tracing, and
566 certification of tuna using blockchain. The Provenance system has a smart tag-
567 ging feature that allows fishermen to use SMS for digital assets on the blockchain
568 to track where the fish, in return, all supply chain stakeholders can access the
569 data that was sourced from the SMS. The second case-study is the usage of the
570 IBM Food Trust for transparency in the food supply chain. The IBM Food Trust

571 aims to solve the problems in the food supply chain, specifically in product safety.
572 Locating supply chain items in real-time using identifiers like GTIN or UPC is
573 the primary feature of the IBM Food Trust. The app also provides end-to-end
574 product provenance, real-time location and status, and facilitates rapid product
575 recalls. The IBM Food Trust also provides insights and visibility for the freshness
576 of the product to reduce losses and spoilage. Lastly, the IBM Food Trust provides
577 certifications from the information taken when handling and managing the prod-
578 ucts in the supply chain. The case studies conducted by Tiwari (2020) illustrates
579 the potential of blockchain technology in improving transparency, efficiency, and
580 ethical practices within supply chains.

581 **2.11 Chapter Summary**

582 **2.11.1 Gaps**

583 Given the advanced existing technologies in blockchain-based traceability systems
584 for agri-food supply chains, significant gaps remain in understanding the user ex-
585 perience and integration challenges faced by the fishermen. Since the study of
586 Shamsuzzoha et al.(2023) mentioned the feasibility and benefits of mobile appli-
587 cation, Tracey, they somehow overlooked the possible problems like technology
588 adoption, digital literacy and issues of connectivity. Moreover, existing tech-
589 nologies also focused on large-scale implementations and theoretical frameworks
590 without considering the practical implications and user experience necessary for
591 the effective integration of the system. This study aims to address these gaps by
592 exploring real-world challenges faced by the users, especially by the fishermen, in
593 adopting blockchain technology for traceability.

594 **2.11.2 Summary**

595 The literature reviewed highlighted the critical challenges and opportunities re-
596 garding the tuna supply chain. It highlighted the issues of traceability and sus-
597 tainability. There were some existing supply chain technologies which presented
598 solutions, particularly using blockchain technology but it also has its limitations
599 in blockchain adoption. Application of blockchain in the tuna supply chain has
600 shown potential in promoting and improving traceability from ocean to consumers.
601 Through this paper, a blockchain-driven solution could contribute in providing a
602 more efficient and transparent supply chain. Moreover, further studies still remain
603 in assessing the sustainability of blockchain in the long-run.

Chapter 3

Research Methodology

This chapter lists and discusses the specific steps and activities that will be performed to accomplish the project. The discussion covers the activities from pre-proposal to Final SP Writing.

3.1 Research Activities

Research activities include inquiry, survey, research, brainstorming, canvassing, consultation, review, interview, observe, experiment, design, test, document, etc. Be sure that for each method, process, or algorithm used, there is a justification why that method was chosen. The methodology also includes the following information:

- who is responsible for the task
- the resource person to be contacted
- what will be done
- when and how long will the activity be done
- where will it be done
- why should the activity be done

DO NOT FORGET to cite your references.

622 3.2 Calendar of Activities

623 A Gantt chart showing the schedule of the activities should be included as a table.
624 For example:

625 Table 3.1 shows a Gantt chart of the activities. Each bullet represents approx-
626 imately one week worth of activity.

Table 3.1: Timetable of Activities

Activities (2009)	Jan	Feb	Mar	Apr	May	Jun	Jul
Study on Prerequisite Knowledge			••	••••			
Review of Existing Racing Strategies	••	••••	••••	••••			
Identification of Best Features				••••	••		
Development of Racing Strategies				••	••••	••	
Simulation of Racing Strategies				••	••••	•••	
Analysis and Interpretation of the Results					••••	••••	•
Documentation	••	••••	••••	••••	••••	••••	••

627 Chapter 4

628 Preliminary Results/System 629 Prototype

630 This chapter presents the preliminary results or the system prototype of your SP.
631 Include screenshots, tables, or graphs and provide the discussion of results.

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⁶⁵⁹ **Appendix A**

⁶⁶⁰ **Appendix Title**

661 **Appendix B**

662 **Resource Persons**

663 **Mr. Firstname1 Lastname1**

664 Role1

665 Affiliation1

666 emailaddr1@domain.com

667 **Ms. Firstname2 Lastname2**

668 Role2

669 Affiliation2

670 emailaddr2@domain.net

671