



• MEMBER •  
NEW YORK STOCK EXCHANGE  
SECURITIES INVESTORS PROTECTION CORPORATION

**CODES** **Richard O. Rogers III**

Discretionary Order  
DE

**THANK YOU FOR LETTING US SERVE YOU.**

Stephens Inc Custodian for  
Richard M Burr IRA

Us, our, we, him means Stephens Inc

1. That all transactions are subject to the rules and regulations of the Federal Reserve Board and customers of the Exchange or Market (and its Clearing House) if any where executed. That all securities from time to time carried in the customer's open account, or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect for a sum, not in excess of the aggregate indebtedness of such customers in respect of such securities.
2. That delivery of securities sold and payment for securities bought must be received by us at our office not later than the "Settlement Date," otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.
- 3.

4. That this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawals from or additions to existing firm personnel, where the customer does not indicate his nonacquiescence in such agreement.
5. In some cases Stephens receives payment for directing orders to particular broker/dealers or market centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.
6. Time of execution available upon request.
7. There may be, under some circumstances, an additional charge for odd-lot executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.
8. In the event of a call of callable bonds or preferred stock held in bulk segregation, impartial lotteries are used by the depository and Stephens to apportion the bonds. Uncalled fully paid securities may be withdrawn prior to call unless prohibited by regulations. Details available upon request.
9. If bonds have been delivered to you, we assume no responsibility for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.

10. **Callable Securities:** Call features may exist which could affect yield. Complete information available on request.

11. **All mutual funds are sold by prospectus.** Confirmation is acknowledgement of receipt of the prospectus. You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sales charge you paid may differ slightly from the Prospectus disclosed rate due to rounding calculations. Please refer to the Prospectus Statement of Additional Information or contact your financial advisor for further information. We may receive payments as an Excluding Broker from the fund. Additional information concerning the security will be provided upon request. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction

13. CMOs--yields are subject to fluctuation depending on the speed in which the underlying note or receivable prepays. Specific information is available upon written request.

14. If we acted as agent, name of person with whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.

15. Zero coupon bonds -- No periodic payments -- callable below maturity value without prior notice by mail to holder unless registered.

16. For advisory accounts, written consent for agency cross transactions may be revoked at any time by written consent to us or advisory affiliate.

17. SUBJECT TO US' TREASURY OR AGENCY DEBT AND AGENCY MBS Fails Charge Trading Practice Published by TMFG AND SIFMA AT <http://www.sifma.org/Services/Standard-Forms-and-Documents/Securitized-Products/Securitized-Products-Fails-Charge-Trading-Practice>

18. Agency Cites Transaction consent may be revoked at any time by written notice to Stephens

Us, our we firm means Stephens Inc

1. ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

CAP<sup>a</sup>. CAPACITY IN WHICH THE FIRM ACTED

- 1 G As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distribution, administration or other agreements. See fund prospectus and SAI re applicable commission (load) and other remuneration. Further information is available on written request.
- 2 As Principal we have sold to you or bought from you this security.
- 3 As Agent for another we have sold to you or bought from you this security.
- 4 As agent for both buyer and seller, charging a commission to buyer or seller or both. Commission amounts may differ. (Agency Cross Transaction)
- 5 As principal with commission yield displaying markup or markdown. The price is "The Trade Price reported, unless it is an average price. See below."
- 6 As Principal we make a market in this security
- 7 Riskless Principal
- 8 Multiple capacities, as some combination of principal, riskless principal, agent and/or agency cross.
- 9 B F As Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and your acceptance of this Confirmation is acknowledgment of receipt of the prospectus.
- 10 R As Agent for you we have redeemed or tendered your security.

**"IF TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES, DETAILS AVAILABLE ON REQUEST"**

**Adjusted Options.** When standardized options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, expressed as a price per share of the shares subject to the option, may also be adjusted, as described in the Options Clearing Corporation Brochure, entitled *Characteristics and Risks of Standardized Options*, as amended ("Brochure"). The commentators provided for transactions in standardized options that have been affected by such adjustments will dispose, adjacent to the abbreviation "DEL," the adjusted number of shares or deliverable upon exercise of such option, and will disclose adjacent to the abbreviation "EASFP," the estimated adjusted strike price, expressed as a price per share, for the shares subject to the option, all calculated in accordance with the descriptions set forth in the Brochure.

Interest on separate bonds and products are NOT FDIC insured, are NOT obligations of, or guaranteed by, any bank, and are subject to LGSs of the principal amount invested (except for certain brokered certificates of deposit which are also subject to certain risks).

Asset-backed and securities-subject continuously to prepayment, actual yield may vary according to rate at which the underlying prepayments or other financial assets are prepaid, prepayment schedule that affect yield (including at a premium), estimated yield, weighted average life, and the prepayment assumptions underlying yield (interest) upon which request.

ALLIED - Good base, 1941-1945	CUST - Custom	HFO FIRM in FIRM Deal
HFO - Least than High Cost	HFO - Least than High Cost	HFO Most Custom Cost
LFO - Least in Firm Deal		

Offering Documents for Municipalities, Government Sponsored Enterprise (GSE) and Federal Agency Securities. To obtain recent notices and listing documents including Official Statement, it available on other offering information visit the following websites:

[www.emma.msu.org](http://www.emma.msu.org)  
 FFCB ifederal Farm Credit [www.farmcredit-fcb.com](http://www.farmcredit-fcb.com)  
 FALMC (Federal Farm Loan Bank of California) [www.falmc.com](http://www.falmc.com)

FD-302a (Rev. 05-11-2012)



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1-800-643-9691  
501-377-2000

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111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

										CODES			Richard O. Rogers III		
ARG	SYMBOL		ACCOUNT NO.		TRANS NO.	MTD	THD	CAP	TRADE DATE	SETTLE DATE	LOC	AE			
					117GHB9	FIFO	1	02/17/16	02/22/16	436	687684				
YOU SLD	QUANTITY	CUSIP NUMBER		SECURITY DESCRIPTION							COUPON/MATURITY				
	110			GAS INC											

## Discretionary Order DE

Stephens Inc Custodian for  
Richard M Burr IRA

PRICE	PRINCIPAL	COMMISSION	INTEREST	TRF Fee	MISC	HANDLING	NET AMOUNT
140.8450	15,492.95			0.34			15,492.61
SPECIAL DELIVERY INSTRUCTIONS							

## CONFIRMATION

AGREEMENT  
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It is agreed between this firm and the customer:

- That all transactions are subject to the rules and regulations of the Federal Reserve Board and customs of the Exchange or Market (and its Clearing House if any) where executed.
- That all securities from time to time carried in the customer's open account, or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect for a sum, not in excess of the aggregate indebtedness of such customers in respect of such securities.
- That delivery of securities sold and payment for securities bought must be received by us at our office not later than the "Settlement Date," otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.

- That this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawals from or additions to existing firm personnel, where the customer does not indicate his nonacquiescence in such agreement.
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- Time of execution available upon request.
- There may be, under some circumstances, an additional charge for odd-lot executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.
- In the event of a call of callable bonds or preferred stock held in bulk segregation, Imperial lotteries are used by the depository and Stephens to apportion the bonds. Uncalled fully paid securities may be withdrawn prior to call unless prohibited by regulations. Details available upon request.
- If bonds have been delivered to you, we assume no responsibility for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.

- Callable Securities: Call features may exist which could affect yield. Complete information available on request.
- All mutual funds are sold by prospectus. Confirmation is acknowledgement of receipt of the prospectus. You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sales charge you paid may differ slightly from the Prospectus disclosed rate due to rounding calculations. Please refer to the Prospectus Statement or Additional Information or contact your financial advisor for further information. We may receive payments as an Executing Broker from the fund.
- Additional information concerning the security will be provided upon request. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction.

- CMO's--yields are subject to fluctuation depending on the speed in which the underlying note or receivable prepayes. Specific information is available upon written request.
- If we acted as agent, name of person with whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.
- Zero coupon bonds--No periodic payments--callable below maturity value without prior notice by retail holder unless registered.
- For advisory accounts, written consent for agency cross transactions may be revoked at any time by written consent to us or advisory affiliate.
- SUBJECT TO US TREASURY OR AGENCY DEBT AND AGENCY MBS FALLS CHARGE TRADING PRACTICE PUBLISHED BY TMFG AND SIFMA AT <http://www.efma.org/Services/Standard-Forms-and-Documents/Securitized-Products/Falls-Charge-Trading-Practice/>
- Agency Cross Transaction consent may be revoked at any time by written notice to Stephens.

IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY. FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER.

REVISED 05-11-2015

### CODE EXPLANATION

Us, our, we, firm means Stephens Inc

T ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

- Cash
- General Margin
- Short margin
- Broker Dealer

CAP\* CAPACITY IN WHICH THE FIRM ACTED

- As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distributor, administration or other agreements. See fund prospectus and SAI for applicable commission (load) and other remuneration. Further information is available on written request.
- As Principal we have sold to you or bought from you this security.
- As Agent for another we have sold to you or bought from you this security.
- As agent for both buyer and seller, charging a commission to buyer or seller or both. Commission amounts may differ. (Agency Cross Transaction)
- As principal with commission held displaying markup or markdown. The price is "The Trade Price reported, unless it is an average price, see below."
- As Principal we make a market in this security
- Riskless Principal
- Multiple capacities, as some combination of principal, riskless principal, agent and/or agency cross.
- A B F As Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and your acceptance of this Confirmation is acknowledgement of receipt of the prospectus.
- R As Agent for you we have redeemed or tendered your security.

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Adjusted Options: When standardized options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, expressed as a price per share of the shares subject to the option may also be adjusted as described in the Options Clearing Corporation Brochure, entitled Characteristics and Risks of Standardized Options as amended ("Brochure"). The confirmations provided for transactions in standardized options that have been affected by such adjustments will disclose, adjacent to the abbreviation "DEL," the adjusted number of shares deliverable upon exercise of such option, and will disclose adjacent to the abbreviation "CAP," the estimated adjusted strike price, expressed as a price per share, for the shares subject to the option, all calculated in accordance with the descriptions set forth in the Brochure.

Investment securities and products are NOT FDIC insured, are NOT obligations of or guaranteed by any bank, and are subject to LDRS of the principal amount invested (except for certain covered securities or deposits, which are also subject to certain risks).

Asset-backed security-subject continuously to prepayment, actual yield may vary according to rate at which the underlying receivables or other financial assets are prepaid. Information concerning factors that affect yield including a minimum estimated yield, weighted average life, and the prepayment assumptions underlying yield, is furnished upon written request.

MTD\* Cash and MTDs

CUST Custody  
HFD Loan Collateral Cost  
LFD Lender Fee Cost

Offering Documents for Municipal, Government Sponsored Enterprise (GSE) and Federal Agency Securities. To obtain recent notices and offering documents, including Official Statement, if available or other offering information, visit the following websites:

Municipals: [www.emma.muni.org](http://www.emma.muni.org) FICB (Federal Farm Credit) [www.farmcredit.ficb.com](http://www.farmcredit.ficb.com)  
FHLD (Federal Home Loan Bank) [www.fhlb.com](http://www.fhlb.com) FHLMC (Federal Home Loan Mortgage Corporation) [www.fhlm.com](http://www.fhlm.com)  
FIMA (Farm Home) [www.farmhome.com](http://www.farmhome.com) GNMA (Farm Home) [www.gnm.com](http://www.gnm.com)

API to obtain details of State and Federal Securities



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SYMBOL	ACCOUNT NO.	ST	TRANS NO.	MTHD	CAP	TRADE DATE	SETTLE DATE	OFFC	MAE
RPM		1	1L9T9T9		1	02/17/16	02/22/16	436	687684
YOU BOT	545								
INTERNATIONAL INC									
QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION							
		INTERNATIONAL INC							
		COUPON/MATURITY							

Discretionary Order  
Average Reported Price  
DE

PRICE	PRINCIPAL	COMMISSION	INTEREST	TRAN Fee	MISC	HANDLING	NET AMOUNT
39.4567	21,503.90						21,503.90

Stephens Inc Custodian for  
Brooke F Burr SEP IRA

## CONFIRMATION

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### AGREEMENT

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- Zero coupon bonds... No periodic payments... callable below maturity value without prior notice by mail to holder unless registered.
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### CODE EXPLANATION

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T ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

- Cash
- General Margin
- Short Margin

CAP\* CAPACITY IN WHICH THE FIRM ACTED

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Investment securities and products are NOT FDIC insured, are NOT obligations of or guaranteed by any bank and are subject to LOSS of the principal amount invested (except for certain covered certificates of deposit, which are also subject to certain risks.)

Asset-backed securities subject to payment actual yield may vary according to rate at which the underlying receivables or other financial assets are disposed. Information concerning factors that affect yield (including at a minimum estimated yield, weighted average life, and the prepayment assumptions underlying yield) furnished upon written request.

MTHD\* Cost Basis Method  
CUST Custom  
HFO Last Offer High Cost  
LFO First Offer Low Cost  
LFO High Cost  
LFO High Cost

Offering Documents for Municipal, Government Sponsored Enterprise (GSE), and Federal Agency Securities to obtain recent notices and offering documents, including Official Statement, if available or other offering information, visit the following websites:  
Municipals [www.emma.muni.org](http://www.emma.muni.org) FISCAL Federal Fund Credit [www.faircredit.fitch.com](http://www.faircredit.fitch.com)  
FHLB Federal Home Loan Bank [www.fhlb.com](http://www.fhlb.com) FHLMC Freddie Mac [www.freddiemac.com](http://www.freddiemac.com)  
FRABA (if online issue) [www.faircredit.fitch.com](http://www.faircredit.fitch.com) GNM/A (if online issue) [www.gnm-a.gov](http://www.gnm-a.gov)



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Richard O. Rogers III										
SYMBOL		ACCOUNT NO.		TRANS NO.	MTHD	CAP	TRADE DATE	SETTLE DATE	OFCS	AE
ARG				118G9G9	FIFO	1	02/17/16	02/22/16	436	687684
YOU SLD		QUANTITY	CUSIP NUMBER		SECURITY DESCRIPTION					
325					AIRGAS INC					

## Discretionary Order DE

Stephens Inc Custodian for  
Brooke F Burr SEP IRA

PRICE	PRINCIPAL	COMMISSION	INTEREST	MISC	HANDLING	NET AMOUNT
140 8344	45,771.18			1.00		45,770.18
SPECIAL DELIVERY INSTRUCTIONS						

## CONFIRMATION

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### AGREEMENT

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Investment securities and products are NOT FDIC insured, are NOT guaranteed by any bank, and are subject to LQSS of the principal amount invested (except for certain brokered certificates of deposit, which are also subject to certain risks).

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INTHD Codes: M=Notes

CUST Custom  
HFO Lead/Trail/High Cost  
LFO Last in First Out

HFO First in First Out  
LFO Last in First Out

Offering Documents for Municipal, Government Sponsored Enterprise (GSE) and Federal Agency Securities. To obtain recent notices and offering documents, including Official Statement, if available, or other offering information, visit the following websites:

Municipals [www.emma.muni.org](http://www.emma.muni.org) FICB (Federal Farm Credit Administration) [www.ficb.com](http://www.ficb.com)  
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