00000603097

UNITED STATES SENATE FINA[illegible]LOSURE REPORT
FOR ANNUAL AND TERMINATION REPORTS

☐ Amendment

Last Name	First Name and Middle Initial	Annual Report — Calendar Year Covered by Report:	Senate Office / Agency in Which Employed
Graham	Lindsey O	2011	Office of Senator Lindsey Graham
Senate Office Address (Number, Street, City, State, and ZIP Code)	**Senate Office Telephone Number** *(Include Area Code)*	**Termination Report — Termination Date (mm/dd/yy):**	**Prior Office / Agency in Which Employed**
290 Russell Senate Office Bldg, Washington, DC 20510	202 224-5972		

AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, Complete and Attach PART I.		X
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than $200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.		X
Did you, your spouse, or dependent child hold any reportable asset worth more than $1,000 at the end of the period, or receive unearned or investment income of more than $200 in the reporting period? If Yes, Complete & Attach PART IIIA and/or IIIB.	X	
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? If Yes, Complete and Attach PART IV.	X	
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? If Yes, Complete and Attach PART V.		X
Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than $350 from one source)? If Yes, Complete and Attach PART VI.		X
Did you, your spouse, or dependent child have any reportable liability (more than $10,000) during the reporting period? If Yes, Complete and Attach PART VII.	X	
Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, Complete and Attach PART VIII.		X
Do you have any reportable agreement or arrangement with an outside entity? If Yes, Complete and Attach PART IX.		X
If this is your FIRST Report: Did you receive compensation of more than $5,000 from a single source in the two prior years? If Yes, Complete and Attach PART X.		

Each question must be answered and the appropriate PART attached for each "YES" response.

File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. $200 Penalty for filing more than 30 days after due date.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 4, § 104, and 18 U.S.C. § 1001.)

FOR OFFICIAL USE ONLY
Do Not Write Below this Line

SECRETARY OF THE SENATE
12 MAY 15 PM 12:29

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	5/14/12

For Official Use Only - Do Not Write Below This Line

	Signature of Reviewing Official	Date (Month, Day, Year)
It is the opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.		

a12

Reporting Individual's Name: Graham

☐ Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A — Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:

(1) had a value exceeding $1,000 at the close of the reporting period; and/or

(2) generated over $200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B — Valuation of Assets

At the close of reporting period. If None, or less than $1,001, Check the first column.

BLOCK C — Type and Amount of Income

#	Block A	B: None (or less than $1,001)	B: $1,001 - $15,000	B: $15,001 - $50,000	B: $50,001 - $100,000	B: $100,001 - $250,000	B: $250,001 - $500,000	B: $500,001 – $1,000,000	B: Over $1,000,000***	B: $1,000,001 - $5,000,000	B: $5,000,001 - $25,000,000	B: $25,000,001 - $50,000,000	B: Over $50,000,000	Type: None	Type: Dividends	Type: Rent	Type: Interest	Type: Capital Gains	Type: Excepted Investment Fund	Type: Excepted Trust	Type: Qualified Blind Trust	Type: Other (Specify Type)	Amount: None (or less than $201)	Amount: $201 - $1,000	Amount: $1,001 - $2,500	Amount: $2,501 - $5,000	Amount: $5,001 - $15,000	Amount: $15,001 - $50,000	Amount: $50,001 - $100,000	Amount: $100,001 - $1,000,000	Amount: Over $1,000,000***	Amount: $1,000,001 - $5,000,000	Amount: Over $5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J	*IBM Corp. (stock)*				X										X							*Example*		X										*Example*
	(S) Keystone Fund					X													X			*Example*	X											*Example*
1	TD Ameritrade Account (Attach. #1)																																	
2	TD Ameritrade IRA Account (Attach. #2)																																	
3	TD Ameritrade Cash Account		X														X						X											
4	TD Ameritrade Insured Dep. Acct (IRA)		X											X									X											
5	US Senate Credit Union (savings)	X															X						X											
6	US Senate Credit Union (checking)		X											X									X											
7	First Citizens (savings)			X													X						X											
8	First Citizens (checking)			X													X						X											
9																																		
10																																		

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name ☐ Amendment

Graham

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A — Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B — Valuation of Assets

At the close of reporting period. If None, or less than $1,001, Check the first column.

BLOCK C — Type and Amount of Income

BLOCK A	BLOCK B: None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 – $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Type of Income: None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	Amount of Income: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	Over $5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J — *JP Computer, Software Design, Wash DC*				X										X							*Example*		X										*Example*
Undeveloped land, Dubuque, Iowa					X								X								*Example*	X											*Example*
1 Property at Cross Creek (undeveloped) Lot #9, Block B, Seneca, SC			x																		n/a												n/a
2 Rental Property (Washington DC)							x								x								x										
3																																	
4																																	
5																																	
6																																	
7																																	
8																																	
9																																	
10																																	

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

Graham

☐ Amendment

PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example: S, DC, or J	*IBM Corp. (stock) NYSE*	*X*			*2 / 1 / 1X*		X			E	X	A	M	P	L	E
	(DC) Microsoft (stock) NASDAQ/OTC		*X*		*1 / 27 / 1X*				X	E	X	A	M	P	L	E
1	Homestead Funds Value FD {HOVLX} (partial sale of asset)		x		12/15/11	x										
2	Vanguard Specialized Portfol Dividend Appreciation ETF {VIG}	x			12/15/11	x										
3	Yacktman FD Inc COM {YACKX}	x			5/20/11	x										
4	Yacktman FD Inc COM {YACKX}	x			6/27/11	x										
5																
6																
7																
8																
9																
10																
11																
12																

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

Graham

☐ Amendment

PART VII. LIABILITIES

Report liabilities over $10,000 owed by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) Mortgages on your personal residences unless rented; (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

	Name of Creditor	Address	Type of Liability	Date Incurred	Interest Rate	Term if Applicable	Category of Amount of Value (x): $10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example: S, DC, or J	*First District Bank*	*Wash., DC*	*Mortgage on undeveloped land*	*1992*	*13%*	*25yrs*			X		E	X	A	M	P	L	E
	(J) John Jones	*Wash., DC*	*Promissory Note*	*2000*	*10%*	*On dmd*				X	E	X	A	M	P	L	E
1	PNC Bank	Washington DC	Mortgage on Rental Property	2003	5.5%	30 yrs				x							
2																	
3																	
4																	
5																	
6																	
7																	
8																	
9																	
10																	
11																	
12																	

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

MONTHLY STATEMENT

Reporting Period: **December 1 - 31, 2011**

INDIVIDUAL

HOLDINGS DETAIL

CASH AND CASH ALTERNATIVES

Investment Description	Market Value
TD AMERITRADE CASH	$4,302.67
TOTAL CASH & CASH ALTERNATIVES	**$4,302.67**

EXCHANGE TRADED FUNDS (ETFs)

Investment Description	Symbol/ CUSIP	Quantity	Closing Price	Market Value
VANGUARD SPECIALIZED PORTFOL DIVIDEND APPRECIATION ETF	VIG	250	$54.65	$13,662.50
TOTAL EXCHANGE TRADED FUNDS (ETFs)				**$13,662.50**
	TOTAL EXCHANGE TRADED FUNDS- LONG POSITION			**13,662.50**

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Quantity	Closing Price	Market Value
DODGE & COX FUNDS STOCK FUND	DODGX	206.697	$101.64	$21,008.68
HOMESTEAD FUNDS VALUE FD	HOVLX	357.207	30.71	10,969.83
MERIDIAN GROWTH FUND	MERDX	223.681	41.63	9,311.84
ROWE T PRICE BLUE CHIP GROWT FUND	TRBCX	648.111	38.65	25,049.49
ROYCE TOTAL RETURN INVT CL	RYTRX	1,557.678	12.68	19,751.36
VANGUARD MORGAN GROWTH FD COM	VMRGX	1,873.172	17.47	32,724.31

7

[redacted]
LINDSEY O GRAHAM

MONTHLY STATEMENT

Reporting Period: **December 1 - 31, 2011**

INDIVIDUAL

HOLDINGS DETAIL *(continued)*

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Quantity	Closing Price	Market Value
YACKTMAN FD INC COM	YACKX	838.976	17.51	14,690.47
TOTAL MUTUAL FUNDS				**$133,505.98**

TOTAL HOLDINGS	**$151,471.15**
TOTAL ACCOUNT VALUE	**$151,471.15**

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description		Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/09	12/09	Dividends and Interest	ROYCE TOTAL RETURN INVT CL PAYABLE: 12/08/2011 ORDINARY DIVIDENDS	36.76	RYTRX	-	$ -	$36.76
12/09	12/09	Dividends and Interest	ROYCE TOTAL RETURN INVT CL PAYABLE: 12/08/2011 LONG TERM CAP GAINS	228.20	RYTRX	-	-	228.20
12/15	12/16	Sell	HOMESTEAD FUNDS VALUE FD SHORT TERM RDM FEE 0.00		HOVLX	(469.012)	29.85	14,000.00

Questions? Consult your Independent Advisor:
LAUREL WEALTH ADVISORS LLC (704) 927-4379

TD Ameritrade Institutional

372789 TDA3DD27 053270

Details of Form 1099-DIV - Dividends and Distributions (OMB No: 1545-0110)

**Indicates a correction.

LINE #1a ORDINARY DIVIDENDS (INCLUDING QUALIFIED DIVIDENDS)

DATE	DESCRIPTION	SYMBOL	CUSIP	INFORMATION		AMOUNT	SUBTOTAL
03/29/11	DODGE & COX FUNDS STOCK FUND	DODGX	256219106	QUALIFIED DIVIDEND		82.68	-
06/28/11	DODGE & COX FUNDS STOCK FUND	DODGX	256219106	QUALIFIED DIVIDEND		103.35	-
09/27/11	DODGE & COX FUNDS STOCK FUND	DODGX	256219106	QUALIFIED DIVIDEND		82.68	-
12/21/11	DODGE & COX FUNDS STOCK FUND	DODGX	256219106	QUALIFIED DIVIDEND		93.84	362.55
06/30/11	HOMESTEAD FUNDS VALUE FD	HOVLX	437769201	QUALIFIED DIVIDEND		200.36	-
12/30/11	HOMESTEAD FUNDS VALUE FD	HOVLX	437769201	QUALIFIED DIVIDEND		91.02	291.38
12/15/11	MERIDIAN GROWTH FUND	MERDX	589619105	QUALIFIED DIVIDEND		20.06	20.06
12/15/11	ROWE T PRICE BLUE CHIP GROWT FUND	TRBCX	77954Q106	QUALIFIED DIVIDEND		22.68	-
12/30/11	ROWE T PRICE BLUE CHIP GROWT FUND	TRBCX	77954Q106	QUALIFIED DIVIDEND		9.72	32.40
03/10/11	ROYCE TOTAL RETURN INVT CL	RYTRX	780905881	QUALIFIED DIVIDEND		38.94	-
06/09/11	ROYCE TOTAL RETURN INVT CL	RYTRX	780905881	QUALIFIED DIVIDEND		54.52	-
09/08/11	ROYCE TOTAL RETURN INVT CL	RYTRX	780905881	QUALIFIED DIVIDEND		54.52	-
12/08/11	ROYCE TOTAL RETURN INVT CL	RYTRX	780905881	QUALIFIED DIVIDEND		36.76	184.74
12/28/11	VANGUARD MORGAN GROWTH FD COM	VMRGX	921928107	QUALIFIED DIVIDEND		187.32	187.32
12/28/11	VANGUARD SPECIALIZED PORTFOL DIVIDEND AP	VIG	921908844	QUALIFIED DIVIDEND		83.00	83.00
12/29/11	YACKTMAN FD INC COM	YACKX	984281105	QUALIFIED DIVIDEND		160.22	160.22
			TOTAL LINE #1a		$	1,321.67	**1,321.67**

Details of Form 1099-DIV - Dividends and Distributions , continued

(OMB No: 1545-0110)

**Indicates a correction.

LINE #2a TOTAL CAPITAL GAIN DISTRIBUTIONS (INCLUDES LINES 2b 2c 2d)

DATE	DESCRIPTION	SYMBOL	CUSIP	INFORMATION		AMOUNT	SUBTOTAL
12/15/11	MERIDIAN GROWTH FUND	**MERDX**	589619105	LONG TERM GAIN		727.75	727.75
12/08/11	ROYCE TOTAL RETURN INVT CL	**RYTRX**	780905881	LONG TERM GAIN		228.20	228.20
12/29/11	YACKTMAN FD INC COM	**YACKX**	984281105	LONG TERM GAIN		39.81	39.81
			TOTAL LINE #2a		**$**	**995.76**	**995.76**

00000603105 ATTACH. #2

10

[redacted]
LINDSEY O GRAHAM IRA
TD AMERITRADE CLEARING INC CUSTODIAN
IRA

MONTHLY STATEMENT

Reporting Period: **December 1 - 31, 2011**

HOLDINGS DETAIL

CASH AND CASH ALTERNATIVES

Investment Description	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	$3,284.42
TOTAL CASH & CASH ALTERNATIVES	**$3,284.42**

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Quantity	Closing Price	Market Value
DODGE & COX FUNDS STOCK FUND	DODGX	83.506	$101.64	$8,487.55
HOMESTEAD FUNDS VALUE FD	HOVLX	341.428	30.71	10,485.25
MERIDIAN GROWTH FUND	MERDX	393.832	41.63	16,395.23
ROYCE PENNSYLVANIA MUT FD INVSTMT CL	PENNX	916.497	10.76	9,861.51
ROYCE TOTAL RETURN INVT CL	RYTRX	631.136	12.68	8,002.80
TOTAL MUTUAL FUNDS				**$53,232.34**

TOTAL HOLDINGS	**$56,516.76**
TOTAL ACCOUNT VALUE	**$56,516.76**

Questions? Consult your Independent Advisor:
LAUREL WEALTH ADVISORS LLC (704) 927-4379

TD Ameritrade Institutional

Income Report

Fiscal Year Ending 12/31/2011

[redacted] Lindsey Graham IRA [redacted]
[redacted]
Seneca, SC 29679

Interest: Tax Free

Name of Payer	Date Received	Qualified Amount	Non-Qualified Amount	Unclassified Dividend Amount	Total Amount
TD BANK USA FDIC INSRD D	03/31/2011		0.01		0.01
TD BANK USA FDIC INSRD D	04/29/2011		0.01		0.01
TD BANK USA FDIC INSRD D	06/30/2011		0.01		0.01
TD BANK USA FDIC INSRD D	07/29/2011		0.01		0.01
TD BANK USA FDIC INSRD D	08/31/2011		0.01		0.01
TD BANK USA FDIC INSRD D	09/30/2011		0.01		0.01
TD BANK USA FDIC INSRD D	10/31/2011		0.01		0.01
TD BANK USA FDIC INSRD D	11/30/2011		0.01		0.01
TD BANK USA FDIC INSRD D	12/30/2011		0.02		0.02
			0.10		0.10
Total interest: tax free			0.10		0.10

Dividends: Tax Free

Name of Payer	Date Received	Qualified Amount	Non-Qualified Amount	Unclassified Dividend Amount	Total Amount
CASH	05/23/2011		0.01		0.01
Dodge & Cox Stock Fund	03/29/2011			33.40	33.40
Dodge & Cox Stock Fund	06/28/2011			41.75	41.75
Dodge & Cox Stock Fund	09/27/2011			33.40	33.40
Dodge & Cox Stock Fund	12/21/2011			37.91	37.91
				146.46	146.46
Homestead Value Fund	06/30/2011			82.80	82.80
Homestead Value Fund	12/30/2011			87.00	87.00
				169.80	169.80
Meridian Growth Fund	12/15/2011			28.36	28.36
Meridian Growth Fund	12/15/2011		6.96		6.96
			6.96	28.36	35.32
Pennsylvania Mutual Fund	12/08/2011			17.78	17.78
Royce Total Return Fund	03/10/2011			15.78	15.78
Royce Total Return Fund	06/09/2011			22.09	22.09
Royce Total Return Fund	09/08/2011			22.09	22.09

12

Income Report
Fiscal Year Ending 12/31/2011

[redacted] Lindsey Graham IRA [redacted]

Dividends: Tax Free

Name of Payer	Date Received	Qualified Amount	Non-Qualified Amount	Unclassified Dividend Amount	Total Amount
Royce Total Return Fund	12/08/2011			14.89	14.89
				74.85	74.85
TD BANK USA FDIC INSRD D	01/31/2011		0.01		0.01
TD BANK USA FDIC INSRD D	02/28/2011		0.01		0.01
			0.02		0.02
Total dividends: tax free			6.99	437.25	444.24
Total Income			7.09	437.25	444.34

00000603108