This Report Should Be Filed With:

Secretary of the Senate
Office of Public Records
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PERIODIC DISCLOSURE OF FINANCIAL TRANSACTIONS

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12 NOV -9 PM 3: 18 (Time/Date)

Reporting Individual's Name	☐ Amendment	Senate Office / Agency in Which Employed	Page Number
WHITEHOUSE, SHELDON		U S SENATOR	1

Report any purchase, sale, or exchange by you, your spouse, or dependent child **within 30 days** of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction.

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example: (S) Spouse (DC) Dependent Child (J) Joint	*IBM Corp. (stock) NYSE*	X			*2/1/1X*		X			E	X	A	M	P	L	E
	(DC) Microsoft (stock) NASDAQ/OTC		X		*2/27/1X*				X	E	X	A	M	P	L	E
1	(DC) WATTS WATER TECH INC A		X		9/26/12	X										
2	(J) CATERPILLAR INC		X		9/28/12		X									
3	(J) ACCENTURE PLC IRELAND CL A	X			9/28/12	X										
4	(J) QUALCOMM INC	X			9/28/12	X										
5	(S) GENL ELEC CAPT CORP 6.375% FIXED TO 11/2017 FLOAT BOND	X			10/01/12	X										
6	(S) XLF SELECT SPDR	X			10/01/12	X										
7	TEVA PHARMACEUTICALS ADR		X		10/02/12		X									
8																

This Periodic Disclosure Of Financial Transactions statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 4, § 104, and 18 U.S.C. § 1001.)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		

For Official Use Only - Do Not Write Below This Line

	Signature of Reviewing Official	Date (Month, Day, Year)
It is the opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.	S Whitehouse	11/5/12

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