**This Report Should Be Filed With:**

Secretary of the Senate
Office of Public Records
Hart Building, Suite 232
Washington, DC 20510

# PERIODIC DISCLOSURE OF FINANCIAL TRANSACTIONS

SECRETARY OF THE SENATE
(Time/Date)
13 DEC -6 PM 4:33

Reporting Individual's Name: Senator Susan Collins ☐ Amendment

Senate Office / Agency in Which Employed: United States Senate

Page Number:

Report any purchase, sale, or exchange by you, your spouse, or dependent child **within 30 days** of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction.

**Example:**
(S) Spouse
(DC) Dependent Child
(J) Joint

| | Identification of Assets | Transaction Type (x): Purchase | Sale | Exchange | Transaction Date (Mo., Day, Yr.) | Amount of Transaction (x): $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example | *IBM Corp. (stock) NYSE* | X | | | *2/1/1X* | | X | | | E | X | A | M | P | L | E |
| Example | *(DC) Microsoft (stock) NASDAQ/OTC* | | X | | *2/27/1X* | | | | X | E | X | A | M | P | L | E |
| 1 | (S) STARWOOD HOTELS & RESORTS WORLDWIDE INC | X | | | 11/21/13 | X | | | | | | | | | | |
| 2 | (S) CISCO SYSTEMS INC | | X | | 11/21/13 | X | | | | | | | | | | |
| 3 | (S) REGENERON PHARMACEUTICLS INC | X | | | 11/29/13 | X | | | | | | | | | | |
| 4 | (S) YAHOO INC | X | | | 11/29/13 | X | | | | | | | | | | |
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