Secretary of the Senate Office of Public Records Hart Building, Suite 232 Washington, DC 20510 This Report Should Be Filed With:

## **TRANSACTIONS** FINANCIAL DISCLOSURE

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SECRETARY OF THE SENATE PUBLIC RECORDS

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ENTERPRI	DUPONT (	HER COR	VIZANT TE	TER CO	WN CASTL	BB LTD (sto	R AG (AD	OKFIELD ASS	NG CO (sto	EASE COR	RGEN PLC	(DC) Microsoft NASDAQ/OTC	IBM Corp. (s	sale,	s Name
SE (stock)	(stock)	P (stock)	CH (stock)	MM (stock)	E (stock)	ck)	R)	SET (stock)	ck)	RP (stock)	(stock)	oft (stock) FC	(stock) NYSE	tein  Itein  Ite	X Amendment
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	Reporting Individual's Name  Dianne Feinstein	i			TRANSAC	: <del>-</del>	· <u> </u>		_	ontinued)	9				ယ ယ	ber
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П	Identification of Assets	Pu	Sa	Ex		\$1	\$1	\$5	\$1 <sub>1</sub>	\$2	\$5	Ov	\$1	\$5.	\$2	Ov
	·^	X			03/21/18				X							
2	(S) MLP CEDAR (stock)	$\times$			03/21/18				X							
ω	(S) ALPHABET INC (stock)	X			03/21/18				X			Î				
4	(S) HOLOGIC INC (stock)	$\times$			03/21/18				X							
5	(S) IHS MARKIT LTD (stock)	X			03/21/18				X							
6	(S) INTEL CORP (stock)	X			03/21/18			X								
7	(S) JOHNSON & JOHNSON (stock)	X			03/21/18				X							
ω	(S) JP MORGAN (stock)	X			03/21/18				X							
9	(S) MICROCHIP TECH (stock)	$\times$			03/21/18				X							
10	(S) MICROSOFT CORP (stock)	$\times$			03/21/18				X							
1 U /	(S) NEXTERA ENERGY (stock)	$\times$			03/21/18				X							
12	2 (S) NOVARTIS AG (stock)	X			03/21/18				X							
13	3 (S) PENTAIR PLC (stock)	X			03/21/18				X							
<del>-</del> -	(S) POST HLDGS (stock)	X			03/21/18			X								
15	5 (S) RPM INTL (stock)	X	_		03/21/18				X							
16	(S) STARBUCKS CORP (stock)	X			03/21/18			$\times$								
17	(S) SERVICEMASTER HLDGS (stock)	$\perp$			03/21/18			X								

	Dianne Feinstein				TRANSAC			S (c	ontin	inued)	<u>a</u>			ס'	age Num	ber
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	LEX IN	X			03/21/18			X								
N)	2 (S) UNIVAR INC (stock)	X			03/21/18			X					:			
(3	3 (S) US FOODS CORP (stock)	X			03/21/18				X							
	4 (S) VISA, INC (stock)	X			03/21/18		$\times$			į						
(n	5 (S) WASTE CONNECTIONS (stock)	X			03/21/18			X	Ì							
œ.	6 (S) HOLOGIC INC (stock)	X			03/22/18					X						
~1	7 (S) BOEING INC (stock)	X			03/22/18					X			·			
	8 (S) JOHNSON & JOHNSON (stock)	X			03/22/18					X						
(0	9 (S) NOVARTIS AG (stock)	X	:		03/22/18					X						:
	(S) NEXTERA ENERGY (stock)	X			03/22/18					X						
	11 (S) UNIVAR INC (stock)	X			03/22/18					X						
	12 (S) PENTAIR PLC (stock)	X			03/22/18					X						
<u> </u>	(S) SERVICEMASTER HLDGS (stock)	X			03/22/18					X						
<u>-</u>	14 (S) ALPHABET INC (stock)	X			03/22/18						X					
	15 (S) CROWN CASTLE (stock)	X			03/22/18					X		-				
=	16 (S) DANAHER CORP (stock)	X			03/22/18					X				_		
<u></u>	(S) CHARTER COMM (stock)	X			03/22/18					X						

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<b>!</b>	(S) DOW DUPONT (stock)	X		81/22/20						X	<u> </u>	<u> </u>	X		
2	(S) MICROCHIP TECH (stock)	X		03/22/18	<b>~</b>	~				×	<b>X</b>	×	×	×	×
ပ	(S) CHUBB LTD (stock)	X		03/22/1	α	8	8	8	8	8 ×	8 X	<b>8</b>	<b>8</b>	<b>8</b>	<b>8</b>
4	(S) JP MORGAN (stock)	X		03/22/1	α	∞	8	<b>&amp;</b>		<b>α</b>	<b>%</b>	<b>%</b>	<b>%</b>	<b>%</b>	<b>8</b>
5	(S) FACEBOOK, INC (stock)	X		03/22/1	$\overline{\mathbf{\omega}}$	<u></u>	<u></u>	<u></u>	00	<u></u>	<u></u>	ж Х	ж Х	ж Х	ж —
6	(S) MLP CEDAR (stock)	X		03/22/1	ω	Φ	Φ	00	00	<u></u>	<u>α</u>	<u>α</u>	<u>α</u>	<u>χ</u>	<b>α</b>
7	(S) STARBUCKS CORP (stock)	X		03/22/1	ω	∞	8	8	& X	<u>α</u>	& 	×	<b>8</b>	<b>8</b>	<b>8</b>
8	(S) BROOKFIELD ASSET (stock)	X		03/22/1	8	8	8	8	8	8 ×	& X	& 	δ 	δ 	×
6	(S) INTEL CORP (stock)	X		03/22/1	8	8	8	8	& ×	& X	<b>&amp;</b>	<b>&amp;</b>	<b>&amp;</b>	<b>&amp;</b>	<b>&amp;</b>
10	(S) MICROSOFT INC (stock)	X		03/22/	18	18	18	18	18	18 X	18 X	18 X	18 X	X	
11	(S) AIRLEASE CORP (stock)	X	<u> </u>	03/22/	18	18	18	18	18	18 X	18 ×	18 ×	18 ×	18 ×	18 ×
12	(S) US FOODS CORP (stock)	X	. <u>.</u>	03/22/	18	18	18	18	18	18 ×	18 ×	18 ×	18 ×	18 ×	18 ×
13	(S) MLP ENTERPRISE (stock)	X		03/22/	18	1	1	1	1	1	1	1	1	1	1
14	(S) VISA INC (stock)	X		03/22/	18	18	18	18	18 ×	18 X	18 X	18 ————————————————————————————————————	18 ————————————————————————————————————	18 ————————————————————————————————————	18 ————————————————————————————————————
15	(S) COGNIZANT INC (stock)	X		03/22/	18	18	18	18	18	18 ×	18 ×	18 ×	18 ×	18 ×	18 ×
16	(S) IHS MARKIT LTD (stock)	X		03/22/	8	8	18	18	18	18 ×	18 ×	18 ×	18 X	18 X	18 X
17	(S) BAYER AG (stock)	X		03/22/1	8	8				<u>&amp;</u>	<u>&amp;</u>	<u>×</u>	<u>×</u>	<u>×</u>	<u>×</u>

17	16	15	14	1 1 1	12	=	10	9	ω	7	တ	ζħ	4	ω	2					R <sub>e</sub>
											(S) TELEFLEX INC (stock)	(S) WASTE CONNECTIONS (stock)	(S) WASTE CONNECTIONS (stock)	(S) POST HLDGS (stock)	(S) ALLERGAN PLC (stock)	(S) RPM INTL (stock)	Identification of Assets			porting Individual's Name
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																	Sale	nsa ype		
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## RECEIVED SECRETARY OF THE SEMATE PUBLIC RECORDS



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June

c/o 232 Hart Senate Office Building Secretary of the Senate United States Senate Ms. Julie E. Washington, D.C. 20510 Adams

## Additional Information Regarding Periodic Transaction Reports

Secretary Adams:

Reports filed today with your office. This letter provides information about the amended and additional Periodic Transactions

the year. Today's filings reconcile these inadvertent discrepancies. annual report and my spouse's discrepancies In preparing the calendar year 2018 were discovered between my transactions on the Periodic Transaction Reports filed throughout spouse's transactions disclosed in Part IV Annual Fi nancial Disclosure Report, several of the

year. and five additional transactions were reported that were unintentionally omitted throughout the transactions in addition to those that were amended. In total, nine transactions were The amended reports filed with your office tod lay include all the correctly reported amended,

them correctly reported once on the September report. Transaction Reports. The amended October report removes these duplicate transactions, leaving Four transactions were reported twice on both the September and October 2018 Periodic The four removed duplicate entries are:

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- \$15,001-\$50,000. sale by my spouse of Alphabet, Inc., publicly traded stock, on 10/1/18 for
- \$15,001-\$50,000. purchase by my spouse of Bayer AG, a publicly traded stock, on 10/1/18 for
- for \$15,001-\$50,000. A purchase by my spouse of Facebook Inc., a publicly traded stock, on 10/1/18
- A sale by my spouse of Johnson & Johnson, for \$15,001-\$50,000. a publicly traded stock, on 10/1/18

Reports with inexact information. These corrected entries in today's amended Periodic Transaction Report filings are: Five transactions were reported throughout the year on various 2018 Periodic Transaction

- filing noted an incorrect transaction value of \$15,001-\$50,000. Series A, a publicly traded stock, on 1/ sale by my spouse of Allergen PLC 22/18 for \$50,001-\$100,000. The original Mandatory Convertible Preferred Shares,
- for \$100,001-\$250,000. The original filing noted an incorrect transaction value of \$50,001-\$100,000. A purchase by my spouse of Cedar Fai r, L.P., a publicly traded stock, on 3/21/18
- value of \$250,001-\$500,000 3/22/18 for \$100,001-\$250,000. The original filing noted an incorrect transaction A purchase by my spouse of Starbucks Corporation, a publicly traded stock, on
- transaction value of \$15,001-\$50,000. on 6/19/18 for \$50,001-\$100,000. The A purchase by my spouse of Agilent Technologies Inc., a publicly traded stock, original filing noted an incorrect
- \$15,001-\$50,000. The original October report omitted the specific date of the transaction. sale by my spouse of Frontdoor, Inc ., a publicly traded stock, on 10/30/18 for

transactions and filed today along with the annual report. These transactions are: throughout the year. When this was discovered during preparation of the calendar year 2018 Annual Financial Disclosure Report, Periodic Transaction Reports were created for these Five transactions were unintentionally omitted from Periodic Transaction Reports

- \$15,001-\$50,000. purchase by my spouse of Visa Inc., a publicly traded stock, on 4/6/18 for
- for \$15,001-\$50,000. A sale by my spouse of JPMorgan Chase & Co., a publicly traded stock, on 4/6/18
- \$15,001-\$50,000 A sale by my spouse of Intel Corporation, a publicly traded stock, on 4/13/18 for
- \$15,001-\$50,000. purchase by my spouse of Univar Inc., a publicly traded stock, on 8/1/18 for
- on 11/20/18 for over \$1,000,000. A purchase by my spouse of CuriosityStream LLC, a non-publicly traded stock,

3841 with any Thank you, and please feel free to contact my questions chief of staff, David Grannis, at 202-224-

Dranne Feinstein United States Senator