UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR NEW EMPLOYEE AND CANDIDATE REPORTS

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Amendment										
Last Name	· · · · · · · · · · · · · · · · · · ·	First Name and Middle In	nitial		New Employee Repo	ort				
					Date of Employment	(mm/dd/yy):	Senate Office / Agency in Which Er	nployed		
Kaine		Timothy M.					Candidate			
Senate/Candidate Office Address (Number.	Street, City, State, and ZIP)	Senate/Candidate Office	Telephone No.		Candidate Report					
					Commencement of Ca	-	State in which you are a candidate	Candidate	Reporting	Period
PO Box 12307, Richmond	d, VA 23241	(804) 359-7100)			(mm/dd/yy):	Virginia	2011-2	012	
					04/05/2011		Vii gii iid	20112		
<i></i>	AFTER READIN	IG THE INSTR	UCTIONS	1A - 8	ISWER EAC	H OF TH	ESE QUESTIONS			:
- · · · · · · · · · · · · · · · · · · ·			YES NO						YES	NO
Did you or your spouse have earn	ed income (e.g., salari	es or fees) or non-								
investment income of more than \$				Di	d you hold any repo	ortable positio	ns during the reporting period	!?		
reporting period?				lf	Yes, Complete and	Attach PART	VIII.			
If Yes, Complete and Attach PAR	Т II.							*··-·		
Did you, your spouse, or depende	nt child hold any repor	table		D:	d vou have cav ton	odoblo agros	mont or arrangement with an	outeido		
asset worth more than \$1,000 at t					a you have any rep itity on the filing dat	_	ment or arrangement with an	outside .		
or investment income of more than	, -	period?	X		Yes, Complete and		'IX.			
If Yes, Complete and Attach PAR	T IIIA and/or IIIB.			_ "		7 11100 11 7 11 7 11	.,			
D'd and a second		-4-1-1- (:-4-1)th.			d var saaalisa aana	anastian of n	nore than CE 000 from a single	o ocuroo in		
Did you, your spouse, or depende		nable liability			*		nore than \$5,000 from a single	e source in	🕶	
(more than \$10,000) during the realif Yes, Complete and Attach PAR	· • ·				e <u>two</u> prior years? Yes, Complete and	Attach PART	· X.			
				,,	roo, complete and	711(0.01) 1 7 11 17				
Each que	estion must be	answered an	d the app	ropr	iate PART at	tached fo	or each "YES" resp	onse.		
File this report and any	amendments wi	ith the Secreta	ry of the S	enate	e, Office of Pu	blic Reco	rds, Room 232, Hart	Senate C	Office	
Building, U.S. Senate, W	Vashington, DC	20510. \$200 Pe	enalty for	filing	more than 30	days afte	er due date.			
This Financial Disclosure St	atement is require	d by the Ethics in	Governme	nt Act	of 1978, as ame	ended The	statement will be	FOR OFFIC	IAL USE	ONLY
made available by the Office	-	-						Do Not Write	Below t	his Line
reviewed by the Select Com								• •		
•		_	_						u.	Co.
fails to file this report may be	e subject to civil ar		<u> </u>	0.3.0	, app. 4, § 104,				C	V29
Certification		Signature of Repo	orting Individual		776 31 7 7 4 4 1 4 1 1 1 1 1 1 1 1 1 1 1 1 1	Date	(Month, Day, Year)	~	101 102 102 103	
I CERTIFY that the statements I have made on this form and all							/ /		ŰΒ̈́.	
attached schedules are true,							/5 <i>-</i> / L	<u>.</u>	<u></u>	
complete and correct to the best of						4/	327/1 I	c'a	\circ	
my knowledge and belief.						1		÷	짜유	
	Fo	r Official Use Only - De		ow This	Line			<u> </u>	0≍t	774
It is the Opinion of the reviewer that		Signature of Rev	iewing Official		·-··	Date	(Month, Day, Year)	$\ddot{\wp}$	B.C.	כ
the statements made in this form									S) FM	
are in compliance with Title I of the								Ω.	>	
Ethics in Government Act.									- T	

Reporting Individual's Name	Amendment
Timothy M. Kaine	

PART II. EARNED AND NON-INVESTMENT INCOME

Page Number

2

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

	Name of Income Source	Addre	ess (City, State)	Type of Income	Amount
Example:	JP Computers MCI (Spouse)	Wash., DC Arlington, VA	EXAMPLE EXAMPLE	Salary Salary	\$15,000 Over \$1,000
1 Dem	ocratic National Committee	Washington, D.C.		Salary	\$58,000
2 Unive	ersity of Richmond	Richmond, VA		Salary	\$150,000
3 Anni	e E. Casey Foundation (spouse)	Baltimore, MD		Contract Income	over \$1,000
4 Youth	-Nex (spouse)	Charlottesville, VA		Board Compensation	over \$1,000
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7					
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Reporting	Individual's Name	.				,	.	n.	: ^ I	V	~_	.		D /	N C :	<u>с</u> Е	TC		NIE		NIE	: A DAIG	-n	1814	^^	. 6.4	- 0	201	ID	Ω Ε	:0		Pag	e Number	
Timo	thy M. Kaine	Р <i>/</i> —	4K		IA.	<u> </u>	' UI	BL		_ Y	115	(AI	<u>ν</u> Ε	υ <i>γ</i>	45	<u> </u>	. 13	• A	NL	. U	NE	EARNE	ט	IIN		11411	_		UK	CE	:o 			3	
A i Report	BLOCK A ntity of Publicly Traded Assets nd Unearned Income Sources the complete name of each publicly			At	Val the of Nor Ch	uat close ne, o	ion of r	epor s tha	Ass ting	perio ,001	od.						F		. 1			ype and			K C	of I				f In					
	asset held by you, your spouse, or ependent child, (See p.3,	L			_			,	,					L,			Гур	e of	fino	com	1e				-		Am	loui	nt o	f In	COI	ne			_
nstruc nstruc nvestn (1) ha clo (2) ga inc include dentific und, p excepte excepte rusts,	ENTS OF REPORTS Part B of tions) for production of income or nent which: ad a value exceeding \$1,000 at the se of the reporting period; and/or enerated over \$200 in "unearned" come during the reporting period. It is on this PART IIIA a complete cation of each public bond, mutual sublicly traded partnership interest, ed investment funds, bank ints, excepted and qualified blind and publicly traded assets of a sent plan.	None (or less than \$1,001)	1-\$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000,***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified	t d
•	S. IBM Corp. (stock)	T			х										Χ							Example		X										Example	·
xample	or J (S) Keystone Fund					Х													X			Example	X											Example	
J	Wells Fargo (checking account)		×														×						×												
	Suntrust (savings account)			×													×						×						!						
s	Suntrust (savings account)				×												×						×					-							
J	Alex Brown (money market cash account)			×												·	×						×												_
s	General Electric (stock)			×											ĸ									×											_
	National Life Insurance (equity)*				×										×											×									_
DC	Fidelity (UTMA account for minor)**			×											×										×										
DC	Morgan Stanley (college trust)***						×								×													×							
	Morgan Stanley (IRA)****						×								×									×											
o s	Morgan Stanley (IRA)*****	T	×												×									x											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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An	BLOCK A tity of Publicly Traded Assets d Unearned Income Sources the complete name of each publicly				the	luat close ne, c	ion e of r or les	repoi	Ass rting an \$1	perio	od.										1	Type and			K C		ncc	ome	•					
traded a your dep CONTE Instruction (1) ha close (2) ge incontentification (2) ge incontentification (2) ge account	ine complete name of each publicly asset held by you, your spouse, or pendent child, (See p.3, NTS OF REPORTS Part B of ions) for production of income or ent which: Id a value exceeding \$1,000 at the se of the reporting period; and/or merated over \$200 in "unearned" one during the reporting period. In on this PART IIIA a complete ation of each public bond, mutual ablicly traded partnership interest, id investment funds, bank is, excepted and qualified blind and publicly traded assets of a	one (or less than \$1,001)	1 - \$15,000	5,001 - \$50,000	001 - \$100,000	0,001 - \$250,000		,001 – \$1,000,000		,000,001 - \$5,000,000	,000,001 - \$25,000,000	5,000,001 - \$50,000,000	ver \$50,000,000	one -	Dividends	Rent	rest	ital Gains	cepted Investment Fund		Trust	Other (Specify Type)	one (or less than \$201)	\$201 - \$1,000	1,001 - \$2,500	2,501 - \$5,000	001 - \$15,000	,001 - \$50,000	50,001 - \$100,000	0,001 - \$1,000,000	Over \$1,000,000,***	,000,001 - \$5,000,000	ver \$5,000,000	Actual Amount Required if "Other" Specified
retireme	ent plan.	ž	₩	\$	\$50	\$	\$2	\$5	Ó	\$	\$5	\$2	Ó	Non	ō	ď	Inte	ű	۵	மி	ā		ž		\$	\$2	₩	\$15	\$20	\$10	Ó	\$-	Ó	
Example:	. I /Cl. Mayotopo Euchd	+	+	╀	X	x		┝	\vdash			 	-	╟	 	╀	╁	-	 x	├	<u> </u>	Example Example	-	×	ļ									Example Example
1 J	Morgan Stanley (money market cash account)	+	×		 									╟	T		×		1		 		×											
2 DC	USAA (savings account)	×						ļ	Г					╟		 	×						×											
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4 DC	USAA (savings account)		×														×						×											
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EXEMPTION This ca	ON TEST (see instructions before marking box) tegory applies only if the asset is/was held inde	: If yo	u omi	itted a	any a e spo	asset ouse	beca or de	ause	it me dent (ets t	he th	ree-	part : sset i	test is/wa	for ex is eith	emp ner h	tion o	desc by the	ribed e filer	in th or jo	e ins	tructions, p held, use th	lease ne oth	che ner c	eck be ateg	ox to ories	the of va	right. alue,	as a	pprop	oriate	∍.		

Timothy M. Kaine

*The National Life Insurance equity fund is comprised of the following holdings:

Sentinel VPT Common Stock Value: \$1,001-\$15,000

Sentinel VPT Small Company Value: \$1,001-\$15,000

Sentinel VPT Bond Value: \$1,001-\$15,000

Alger Large Cap Growth Value: \$1,001-\$15,000

Alliance Bernstein VPS Small/Mid Cap Value Value: \$1,001-\$15,000

Alliance Bernstein VPS International Value Value: \$1,001-\$15,000

American Century VP International Value: \$1,001-\$15,000

Neuberger Berman AMT Short Duration Bond Value: \$1,001-\$15,000

T. Rowe Price Equity Income Value: \$1,001-\$15,000

Van Eck VIP Emerging Markets Value: \$1,001-\$15,000

Van Eck VIP Global Bond Value: \$1,001-\$15,000

Van Eck VIP Global Hard Assets Value: \$1,001-\$15,000

**The Fidelity Investments UTMA account is comprised of the following holdings:

Fidelity Asset Manager 50% Value: \$1,001-\$15,000 Type of Income: Excepted Investment Fund Amount of Income: \$201-\$1,000

Fidelity Blue Chip Growth Value: \$1,001-\$15,000 Type of Income: Excepted Investment Fund Amount of Income: \$1,001-\$2,500

Fidelity Growth & Income Value: \$1,001-\$15,000 Type of Income: Excepted Investment Fund Amount of Income: \$201-\$1,000

Timothy M. Kaine

***My three children are each 1/10 beneficiaries of a college trust established by their grandparents. The trust is administered by Morgan Stanley Smith Barney and the total value of the trust is as shown. All income from trust assets stays in the trust. The trust is comprised of the following holdings:

American Movil S.A.B. de CV (stock)	Value: \$15,001-\$50,000	Type of Income: Dividends	Amount of Income: None
AT&T (stock)	Value: \$15,001-\$50,000	Type of Income: Dividends	Amount of Income: \$2,501-\$5,000
Bristol Myers Squibb (stock)	Value: \$15,001-\$50,000	Type of Income: Dividends	Amount of Income: \$5,001-\$15,000
Chevron (stock)	Value: \$50,001-\$100,000	Type of Income: Dividends	Amount of Income: None
Coca-Cola (stock)	Value: \$50,001-\$100,000	Type of Income: Dividends	Amount of Income: None
Duke Energy (stock)	Value: \$1,001-\$15,000	Type of Income: Dividends	Amount of Income: \$1,001-\$2,500
Exxon Mobil (stock)	Value: \$50,001-\$100,000	Type of Income: Dividends	Amount of Income: \$5,001-\$15,000
General Electric (stock)	Value: \$15,001-\$50,000	Type of Income: Dividends	Amount of Income: \$5,001-\$15,000
IBM (stock)	Value: \$15,001-\$50,000	Type of Income: Dividends	Amount of Income: \$2,501-\$5,000
Kimberly Clark (stock)	Value: \$15,001-\$50,000	Type of Income: Dividends	Amount of Income: \$5,001-\$15,000
Kinder Morgan Energy (stock)	Value: \$15,001-\$50,000	Type of Income: Dividends	Amount of Income: \$201-\$1,000
Staples (stock)	Value: \$1,001-\$15,000	Type of Income: Dividends	Amount of Income: None
TICC Capital (stock)	Value: \$1,001-\$15,000	Type of Income: Dividends	Amount of Income: \$201-\$1,000
Cash Deposits	Value: \$15,001-\$50,000	Type of Income: Interest	Amount of Income: None
Plum Creek Timber Co (stock)	Value: None (sold in period)	Type of Income: Dividends	Amount of Income: \$201-\$1,000

Timothy M. Kaine

****The Morgan Stanley IRA account is comprised of the following holdings:

Blackrock Inflation Protected Bond	Value: \$15,001-\$50,000	Type of Income: Excepted Investment Fund	Amount of Income: \$201-\$1,000
Delaware Diversified Income Fund	Value: \$15,001-\$50,000	Type of Income: Excepted Investment Fund	Amount of Income: \$201-\$1,000
First Eagle Global Fund Class I	Value: \$50,001-\$100,000	Type of Income: Excepted Investment Fund	Amount of Income: None
Loomis Bond Fund Institutional Class	Value: \$50,001-\$100,000	Type of Income: Excepted Investment Fund	Amount of Income: \$201-\$1,000
MFS Emerging Markets Debt Fund Class I	Value: \$15,001-\$50,000	Type of Income: Excepted Investment Fund	Amount of Income: None
Franklin Mutual Global Discovery Fund Class Z	Value: \$15,001-\$50,000	Type of Income: Excepted Investment Fund	Amount of Income: None
Oppenheimer Developing Markets Fund Class Y	Value: \$15,001-\$50,000	Type of Income: Excepted Investment Fund	Amount of Income: None
Permanent Portfolio Fund	Value: \$50,001-\$100,000	Type of Income: Excepted Investment Fund	Amount of Income: None
Royce Special Equity Fund	Value: \$15,001-\$50,000	Type of Income: Excepted Investment Fund	Amount of Income: None
Templeton Global Bond Fund Advisor Class	Value: \$50,001-\$100,000	Type of Income: Excepted Investment Fund	Amount of Income: None

*****The Morgan Stanley IRA account (spouse) is comprised of the following holdings:

First Eagle Global Value: \$1,001-\$15,000 Type of Income: Excepted Investment Fund Amount of Income: None

Reporting Individual's Name	Amendment
Timothy M. Kain	е

PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Page Number

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Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

	N	ame of Organization	Address	(City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Fv	ample:	National Assn. of Rock Collectors	NY,NY E)	KAMPLE	Non-profit education	President	6/91	Present
<u> </u>	атрю.	Jones & Smith	Hometown, USA	EXAMPLE	Law Firm	Partner	7/96	11 / 1X
1	Commo	nwealth of Virginia	Richmond, VA		Government	Governor	1/05	1/10
2	Democra	atic National Committee	Washington, D.C.		National Party Committee	Chairman	1/09	4/11
3	Univers	sity of Richmond	Richmond, VA		Private Education	Faculty	1/10	present
4	Housing	Opportunities Made Equal	Richmond, VA		Non-profit Housing	Director	1/11	present
5	Myoton	ic Dystrophy Foundation	Menlo Park, CA		Non-profit Health	Director	1/11	present
6								
7	**************************************							
8								
9								
10								
11		-						
12								
13								

Compensation in excess of \$200 from any position must be reported in Part II.

MARK L. PRYOR, ARKANSAS SHERROD BROWN, OHIO JOHN C. SASSAMAN, CHIEF COUNSEL AND STAFF DIRECTOR ANNETTE GILLIS, DEPUTY STAFF DIRECTOR

PAT ROBERTS, KANSAS JAMES E. RISCH, ЮАНО

Telephone: (202) 224–2981 Facsimile: (202) 224–7416 TDD: (202) 228–3752

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PUBLIC RECORDS

HART SENATE OFFICE BUILDING, ROOM 220 SECOND AND CONSTITUTION AVENUE, NEWS SELECT COMMITTEE ON ETHICS WASHINGTON, DC 20510-6425 ; (<) 7 2: 16

May 16, 2012

Mr Timothy M. Kaine

Richmond, VA 23227

Dear Mr Kaine:

public financial disclosure report. individual may not exceed ninety days. This is in response to your request for an extension of time for filing your candidate public financial disclosure report. Your form was originally due on May 15, 2012. Pursuant to section 101(g) of the Ethics in Government Act of 1978, as amended, the Committee Committee grants you a 90-day extension, and your form will therefore be due no later than August 13, 2012. Section 101(g) also provides that the total of all extensions around to an august 13 are the section 101(g) also provides that the total of all extensions around to a section 101(g) also provides that the total of all extensions around the august 13 are the section 101(g) also provides that the total of all extensions around the august 13 are the section 101(g) also provides that the total of all extensions around the august 13 are the section 101(g) also provides that the total of all extensions around the august 13 are the section 101(g) also provides that the total of all extensions around the august 13 are the section 101(g) also provides that the total of all extensions around the august 13 are the section 101(g) also provides that the total of all extensions around the august 13 are the section 101(g) also provides that the total of all extensions around the august 13 are the august 13 are the august 13 are the august 14 are the august 13 are the august 13 are the august 14 are the august 14 are the august 13 are the august 14 are the Section 101(g) also provides that the total of all extensions granted to an

report more than 30 days after the last day of the filing extension period shall pay a filing fee Please note that section 104(d)(1) of the Act provides that any individual who files a

Sincerely,

John C. Sassaman

Chief Counsel and Staff Director

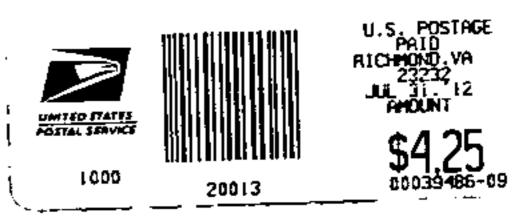
JCS/jai

cc: Secretary of the Senate

00000612573

Faine for Vivginia Po Box 12307 Pichmond, VA 23241





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Office of Public Records
P.O. Box 77578
Washington, DC 20013-75-18

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