

RECEIVED,  
SECRETARY OF THE SENATE

13 MAY 16 PM 12:26

Perkins  
Coie

Marc Erik Elias

Kate Sawyer Keane

PHONE: (202) 654-62000

FAX: (202) 654-6211

EMAIL: [MElias@perkinscoie.com](mailto:MElias@perkinscoie.com)

[KSKeane@perkinscoie.com](mailto:KSKeane@perkinscoie.com)

700 Thirteenth Street, N.W., Suite 600

Washington, D.C. 20005-3960

PHONE: 202.654.6200

FAX: 202.654.6211

[www.perkinscoie.com](http://www.perkinscoie.com)

May 16, 2013

Secretary of the Senate  
Office of Public Records  
232 Hart Senate Office Building  
Washington, DC 20510

Re: **Cory A. Booker**

Dear Madame Secretary:

We are writing on behalf of U.S. Senate candidate Cory A. Booker. Enclosed please find Mr. Booker's Public Financial Disclosure Report.

Please do not hesitate to call us should you have further questions. Thank you for your attention to this matter.

Very truly yours,



Marc Erik Elias

Kate Sawyer Keane

Counsel to Cory A. Booker

Enclosure

88664-0001/LEGAL26718920.1

ANCHORAGE · BEIJING · BELLEVUE · BOISE · CHICAGO · DALLAS · DENVER · LOS ANGELES · MADISON · NEW YORK  
PALO ALTO · PHOENIX · PORTLAND · SAN DIEGO · SAN FRANCISCO · SEATTLE · SHANGHAI · TAIPEI · WASHINGTON, D.C.

Perkins Coie LLP

a10

00000622131

# UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR NEW EMPLOYEE AND CANDIDATE FILERS

<input type="checkbox"/> Amendment					
Last Name		First Name and Middle Initial		New Employee Report	
Booker		Cory A.		Date of Employment (mm/dd/yy)	Senate Office / Agency in Which Employed
Senate / Candidate Office Address (Number, Street, City, State, and ZIP)		Senate / Candidate Office Telephone No.		Candidate Report	
60 Park Place, Newark, NJ 07102		973-623-0692		Commencement of Candidacy (mm/dd/yy)	State in which you are a candidate
				01/08/2013	New Jersey
					Candidate Reporting Period
					2012-2013

## AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO		YES	NO
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, complete and attach PART II.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions during the reporting period? If Yes, complete and attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period or receive unearned or investment income of more than \$200 in the reporting period? If Yes, complete and attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you have any reportable agreement or arrangement with an outside entity on the filing date? If Yes, complete and attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you receive compensation of more than \$5,000 from a single source in the <u>two</u> prior years? If Yes, complete and attach PART X.	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Each question must be answered and the appropriate PART attached for each "YES" response.**

<div style="font-size: 2em; opacity: 0.5;">SECRET</div>	<b>FOR OFFICIAL USE ONLY</b> Do Not Write Below this Line
	<div style="writing-mode: vertical-rl; transform: rotate(180deg);">             EFFECTIVE              SECRETARY OF THE SENATE              13 MAY 16 PM 12:26           </div>

Reporting Individual's Name

☐ Amendment

Cory A. Booker

**PART II. EARNED AND NON-INVESTMENT INCOME**

Page Number

3

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

**Individuals not covered by the Honoraria Ban:**

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Name of Income Source		Address (City, State)	Type of Income	Amount
Example:	JP Computers	Wash., DC	Salary	\$15,000
	MCI (Spouse)	Arlington, VA	Salary	Over \$1,000
1	NYU Stern School of Business (2/12)	New York, NY	Honoraria-Lectures	\$10,500
2	Universal McCann (2/12)	Teton Village, WY	Honoraria-Lectures	\$24,500
3	University of Chicago (2/12)	Chicago, IL	Honoraria-Lectures	\$14,000
4	Hofstra University (2/12)	Hempstead, NY	Honoraria-Lectures	\$17,500
5	Assoc Perioperative Nurses (2/12)	New Orleans, LA	Honoraria-Lectures	\$24,500
6	George Washington University (3/12)	Washington, DC	Honoraria-Lectures	\$15,400
7	Jackson State University (4/12)	Jackson, MI	Honoraria-Lectures	\$17,500
8	Ohio State University (5/12)	Columbus, OH	Honoraria-Lectures	\$21,000
9	Jewish United Fund (6/12)	Highland Park, IL	Honoraria-Lectures	\$21,000
10	Jane Street Capital (8/12)	New York, NY	Honoraria-Lectures	\$17,500
11	NYU Stern School of Business (8/12)	New York, NY	Honoraria-Lectures	\$17,500
12	Community College of Baltimore (9/12)	Baltimore, MD	Honoraria-Lectures	\$17,500
13	Plymouth Housing Group (9/12)	Seattle, WA	Honoraria-Lectures	\$24,500



Reporting Individual's Name

☐ Amendment**PART II. EARNED AND NON-INVESTMENT INCOME**

Page Number

4

Cory A. Booker

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

**Individuals not covered by the Honoraria Ban:**

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Name of Income Source		Address (City, State)	Type of Income	Amount
Example:	JP Computers	Wash., DC	Salary	\$15,000
	MCI (Spouse)	Arlington, VA	Salary	Over \$1,000
1	Wilkes University (10/12)	Wilkes-Barre, PA	Honoraria-Lectures	\$15,400
2	Northwestern University (10/12)	Evanston, IL	Honoraria-Lectures	\$19,250
3	Bridges (10/12)	Memphis, TN	Honoraria-Lectures	\$17,500
4	US Green Building Council (11/12)	San Francisco, CA	Honoraria-Lectures	\$24,500
5	Jewish Federation of Los Angeles (12/12)	Los Angeles, CA	Honoraria-Lectures	\$31,500
6	Southwest Michigan First (1/13)	Kalamazoo, MI	Honoraria-Lectures	\$17,360
7	West Virginia University (2/13)	Morganville, WV	Honoraria-Lectures	\$17,500
8	NYU Stern School of Business (2/13)	New York, NY	Honoraria-Lectures	\$10,500
9	United Way of Greater Los Angeles (2/13)	Los Angeles, CA	Honoraria-Lectures	\$28,000
10	University of Mount Union (3/13)	Alliance, OH	Honoraria-Lectures	\$21,000
11	Equality Virginia (4/13)	Richmond, VA	Honoraria-Lectures	\$27,500
12	Salem University (4/13)	Lynn, MA	Honoraria-Lectures	\$24,500
13	City of Newark	Newark, NJ	Salary	\$174,496.37

Reporting Individual's Name

☐ Amendment

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

5

BLOCK A  
Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or  
(2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

BLOCK C  
Type and Amount of Income

## Type of Income

## Amount of Income

Example:	S, DC, or J	IBM Corp. (stock)				X								X				Example	X														Example
		(S) Keystone Fund					X											Example	X													Example	
1		Bank of America Checking				X								X					X														
2		Prin Life 2010 R3 Fund			X											X					X												
3		Prin Life 2020 R3 Fund			X											X					X												
4		Large Cap Growth I R3			X											X				X													
5		Apple Inc.		X											X					X													
6		American Tower Corp.				X									X					X													
7		Amazon Corp.		X											X					X													
8		Ariel Fund Investor Class		X											X					X													
9		Facebook		X											X					X													
10		International Business Machines		X											X					X													

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

☐

Reporting Individual's Name

☐ Amendment**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

Page Number

6

**BLOCK A  
Identity of Publicly Traded Assets  
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or  
(2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**BLOCK B  
Valuation of Assets**

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

**BLOCK C  
Type and Amount of Income**

S, DC, or J	BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																							
	At the close of reporting period. If None, or less than \$1,001, Check the first column.										Type of Income						Amount of Income																	
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Example:	S, DC, or J				X										X						Example		X										Example	
	(S) Keystone Fund					X													X			Example	X										Example	
1	<input type="text"/> Iron Mountain		X												X								X											
2	<input type="text"/> Netflix Inc.			X											X								X											
3	<input type="text"/> Nike Inc.		X												X								X											
4	<input type="text"/> Vanguard Balanced Index Fund Investor Shares		X														X						X											
5	<input type="text"/> SPDR Dow Jones Industrial Average TR		X																Index Fund				X											
6	<input type="text"/> Dish Network		X												X								X											
7	<input type="text"/> Dodge & Cox Balance Fund		X												X								X											
8	<input type="text"/> eBay		X												X								X											
9	<input type="text"/>																																	
10	<input type="text"/>																																	

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

☐



Reporting Individual's Name

☐ Amendment

Cory A. Booker

**PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT**

Page Number

7

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

**Exclude:** Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

Name of Organization		Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	National Assn. of Rock Collectors	NY,NY <b>EXAMPLE</b>	Non-profit education	President	6 / 91	Present
	Jones & Smith	Hometown, USA <b>EXAMPLE</b>	Law Firm	Partner	7 / 96	11 / 1X
1	Waywire	New York, NY	Startup Company	Co-Founder	6/12	Present
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						

Compensation in excess of \$200 from any position must be reported in Part II.

Reporting Individual's Name

☐ Amendment

Cory A. Booker

**PART X. COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

Page Number

8

**FIRST TIME FILERS ONLY: (Except Candidate Reports - All Candidate Reports Must Include Part X If Applicable)**

Report sources of compensation received by you or your business affiliation for services provided directly by you during the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any non-profit organization when you directly provided the services to the clients and/or customers of the firm that generated a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Name of Source		Address of Source	Brief Description of Duties
Example:	Jones & Smith	Hometown, TX	Legal Services <b>EXAMPLE</b>
	Metro University (client of Jones & Smith)	Moneytown, USA	Legal Services in connection with university construction <b>EXAMPLE</b>
1	Baldwin Wallace College (2011)	Berea, OH	Speaker
2	Weinstein JCC (2011)	Richmond, VA	Speaker
3	NYU Stern School of Business (2011)	New York, NY	Speaker
4	Greater Omaha Chapter (2011)	Omaha, NE	Speaker
5	Bridgewater State (2011)	Bridgewater, MA	Speaker
6	ACPA College Student (2011) +	St. Louis, MO +	Speaker +
7	UB Foundation (2011) +	Buffalo, NY +	Speaker +
8	MedAssets (2011)	Las Vegas, NV	Speaker
9	United Way (2011)	Orlando, FL	Speaker
10	University of Rhode Island (2011)	Kingston, RI	Speaker
11	Capela University (2011)	Atlanta, GA	Speaker
12	Iconix Brand Group (2011)	New York, NY	Speaker
13	Willow Creek Association (2011) +	Willow Creek, IL +	Speaker +
14	MetLife (2011)	Atlanta, GA	Speaker
15	University of North Carolina (2011)	Pembroke, NC	Speaker



Reporting Individual's Name

☐ Amendment

Cory A. Booker










**PART X. COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

Page Number

9

**FIRST TIME FILERS ONLY: (Except Candidate Reports - All Candidate Reports Must Include Part X If Applicable)**

Report sources of compensation received by you or your business affiliation for services provided directly by you during the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any non-profit organization when you directly provided the services to the clients and/or customers of the firm that generated a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Name of Source		Address of Source	Brief Description of Duties
Example:	Jones & Smith	Hometown, TX	Legal Services <b>EXAMPLE</b>
	Metro University (client of Jones & Smith)	Moneytown, USA	Legal Services in connection with university construction <b>EXAMPLE</b>
1	Sidney Austin LLP (2011)	Menlo Park, CA	Speaker
2	Goucher College (2011)	Baltimore, MD	Speaker
3	Johns Hopkins University (2011)	Baltimore, MD	Speaker
4	Community Foundation Herkimer (2011)	Utica, NY	Speaker
5	CraigMichaels Inc. (2011)	Ft. Lauderdale, FL	Speaker
6	Promethean Inc. (2011) 	New Orleans, LA 	Speaker 
7	NYU Stern School of Business (2012) 	New York, NY 	Speaker 
8	Universal McCann (2012)	Teton Village, WY	Speaker
9	University of Chicago (2012)	Chicago, IL	Speaker
10	Hofstra University (2012)	Hempstead, NY	Speaker
11	Association of Perioperative Nurses (2012)	New Orleans, LA	Speaker
12	George Washington University (2012)	Washington, DC	Speaker
13	Jackson State University (2012) 	Jackson, MI 	Speaker 
14	Ohio State University (2012)	Columbus, OH	Speaker
15	Jewish United Fund (2012)	Highland, IL	Speaker

Reporting Individual's Name

☐ Amendment**PART X. COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

Page Number

10

Cory A. Booker

**FIRST TIME FILERS ONLY: (Except Candidate Reports - All Candidate Reports Must Include Part X If Applicable)**

Report sources of compensation received by you or your business affiliation for services provided directly by you during the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any non-profit organization when you directly provided the services to the clients and/or customers of the firm that generated a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Name of Source		Address of Source	Brief Description of Duties
Example:	Jones & Smith	Hometown, TX	Legal Services <b>EXAMPLE</b>
	Metro University (client of Jones & Smith)	Moneytown, USA	Legal Services in connection with university construction <b>EXAMPLE</b>
1	City of Newark	Newark, NJ	Mayor
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			
14			
15			