This Report Should Be Filed With:

Secretary of the Senate Office of Public Records Hart Building, Suite 232 Washington, DC 20510

RIODIC NSACT FINANCIAL DISCLOSURE RECEIVED SECRETARY OF THE SCHATE NANCIAL

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| Reporting Individual's Name | it Senat | e Office / | / Agen | cy in Which Employed | | ı | | | | ŀ | | | ī | age Nun | ber |
|--|----------|--|--------------------------------|--|--------------------|---------------------|----------------------|---------------------------------|-----------------------|---------------------------------|---------------------|--------------------------------|-----------------------------|-----------------------------|---------------------|
| WHITEHOUSE, SHELDON | S | SEN | TAN | OR | | | | | | | | | | | <u> </u> |
| port any purchase, sale, or exch | | | | | | | ╻ | Amount | nt of | Tran | saction | on (x) | Ŭ | | |
| in 30 days of a cation of such | Trar | nsacti /pe (x |) on | | | | | | | | | | | | |
| any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction. Identification of Assets | Purchase | Sale | Exchange | Transaction Date (Mo., Day, Yr.) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000*** | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 |
| Identification of | Pi | Sa | Ex | | \$1 | \$1 | \$ 5 | \$1 | \$2 | \$5 | Ov | \$1 | \$5 | \$2 | Q۷ |
| (S) Spouse IBM Corp. (stock) NYSE | × | | | 2/1/1X | | × | | | ш | × | Þ | 3 | ⊽ | <u></u> | m |
| (DC) Dependent (DC) Microsoft (stock) (J) Joint (NASDAQ/OTC) | | × | | 2/27/1X | | | | × | m | × | > | 3 | ס | r - | m |
| (S) POWERSHARES KBW PREMIUM YIEL | X | | | 8/08/12 | \times | | | | | | | | | | |
| 2 (S) AT&T INC | X | | | 8/08/12 | X | | | | | | | | | | <u>.</u> |
| 3 (S) VANGUARD INFO TECH ETF | X | | | 8/08/12 | X | ·- ·· ··· | | | | | | | | | |
| (DC) GAMESTOP CORP CL A NEW | X | | | 8/24/12 | X | | | <u> </u> | | | | | | | |
| 5 (DC) CENTURYLINK INC | X | | | 8/24/12 | X | | | | | | | | | | |
| 6 (J) WASTE MGMT INC | | X | | 8/28/12 | | X | | | | | | | | | |
| 7 CLEAN ENERGY FUELS CORP | X | | | 8/27/12 | X | | | | | | | | | | |
| 8 CLEARBRIDGE ENERGY MLP OPP FD | X | · | | 8/27/12 | X | · <u></u> | | | | | | | | | |
| This Periodic Disclosure Of Financial Transactions will be made available by the Office of the Secreta Select Committee on Ethics. Any individual who subject to civil and criminal sanctions. (See 5 U.S.) | | tatemer of the nowingly app. 4. | nt is Sena Sena y and | required by the Ethic te to any requesting I willfully falsifies, or I and 18 U.S.C. § 10 | ο γ ρ ε κ | 죽흔성 | ving mm | ent Act written a gly and | of 1 appl willf | 978, as ication ully fail | s am and s to | ended. will be file this | The st reviewe report | staten ved by | nent the y be |
| Certification I CERTIFY that the statements I have made con this form and all attached schedules are true, complete and correct to the best of my knowledge and belief. | | | | ature of Reporting Inc | | | ****** | XXXX | XXX | 00 | Date | Date (Month, Day | | 73 Year) | |
| It is the opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act. | For | Official | Sic | nature of Reviewing C |)fficial |)is Lin | | | | | Date | Date (Month, | Day, Year) | ear) | |
| Coremicia Act. | | | | | | | | | | | | | | | |

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PERIODIC DISCLOSURE OF FINANCIAL TRANSACTIONS

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| Reporting Individual's Name | Senate | Office / | Agenc | y in Which Employed | i | : | | | | | | ŀ | BG | ge Num | per |
|---|-----------------------------------|---------------------------------------|---------------------------------|--|----------------------------------|------------|---------------------|--------------------|------------|---------------------------------|--------------|---------------|-------------------|------------------|------------|
| WHITEHOUSE, SHELDON | S | SEN | Ā | OR | | | - | | | | | | | <i>N</i> | |
| port any p | | | | | | | | mou | nt of | Tran | sacti | 의 (x) | _ | | |
| within 30 days of receiving written notification of such transaction. Report | Tran Tyl | saction pe (x) | _ o | | | | | | | | | | | | |
| / future amoui | | | | | | | | | | | | 0 | 00 | 000 | |
| not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please | | | <u>.</u> | Transaction Date (Mo., Day, Yr.) | 5,000 | 50,000 | 100,000 | \$250,000 | \$500,000 | \$1,000,000 | 0,000*** | - \$5,000,000 | - \$25,000,00 | 1 - \$50,000,0 | 000,00 |
| any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction. | urchase | ale | kchange | | ,001 - \$1 | 5,001 - \$ | 0,001 - \$ | 00,001 - : | 50,001 - 3 | 00,001 - 3 | /er \$1,000 | ,000,001 | ,000,001 | 5,000,001 | er \$50,00 |
| Identification of Assets | _ | | E | | \$1 | \$1 | \$5 | \$1 | \$2 | \$5 | Ov | \$1 | \$5 | \$2 | Ov |
| , , | × | | | 2/1/1X | | × | | | m | × | > | 3 | ₽ | | ш |
| Child (DC) Microsoft (stock) (J) Joint NASDAQ/OTC | | × | | 2/27/1X | : | | | × | П | × | > | 3 | ס | | т |
| 1 UNITEDHEALTH GP INC | X | | | 8/31/12 | | X | | | | ·- | | <u> </u> | | | |
| 2 JOHNSON CONTROLS | X | | | 8/31/12 | X | | | | | | | | | | |
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| This Periodic Disclosure Of Financial Transactively will be made available by the Office of the Secres Select Committee on Ethics. Any individual was subject to civil and criminal sanctions. (See 5.0.) | tions stated cretary of who known | temen f the S wingly p. 4, § | t is re enate and 104, | quired by the E to any request willfully falsifies and 18 U.S.C. | thics in ing person who is 1001. | Gover | vernmen upon wri | nt Act ritten : | ≨ap s | 1978, as lication a fully fails | 요절점 | vill be a | The st reviewe | statem ved by | æ æ ë |
| Certification Certification CERTIFY that the statements I have made con this form and all attached schedules are rue, complete and correct to the best of my knowledge and belief. | | | | ture of Reporting Ind | | | | | | 00 | alec | W Month | Day, Ye |) Year | |
| It is the opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Sovernment Act. | For C | icial d | Sign | gnature of Reviewing O | fficial | lis Lin | | | | | Date (Morth, | | Day, Ye | Year) | |
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