

UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION REPORTS

☐ Amendment

Last Name	First Name and Middle Initial	Annual Report Calendar Year Covered by Report:	Senate Office / Agency in Which Employed
Reed	John F.	2011	U.S. Senate - RI
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report Termination Date (mm/dd/yy):	Prior Office / Agency in Which Employed
728 Hart Senate Bldg., Washington, DC 20510	202-224-4642		


AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, Complete and Attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$350 from one source)? If Yes, Complete and Attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, Complete and Attach PART VII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, Complete & Attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, Complete and Attach PART VIII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, Complete and Attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, Complete and Attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If Yes, Complete and Attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your <u>FIRST</u> Report: Did you receive compensation of more than \$5,000 from a single source in the <u>two</u> prior years? If Yes, Complete and Attach PART X.	<input type="checkbox"/>	<input type="checkbox"/>

Each question must be answered and the appropriate PART attached for each "YES" response.

File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. \$200 Penalty for filing more than 30 days after due date.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 4, § 104, and 18 U.S.C. § 1001.)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		May 15, 2012
For Official Use Only - Do Not Write Below This Line		
It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.	Signature of Reviewing Official	Date (Month, Day, Year)

**FOR OFFICIAL USE ONLY
Do Not Write Below this Line**

SECRETARY OF THE SENATE
 12 MAY 15 PM 12:58

Reporting Individual's Name

☐ Amendment**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

Page Number

1

**BLOCK A
Identity of Publicly Traded Assets
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
(2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

S, **Example:** DC, or J **IBM Corp. (stock)**
(S) **Keystone Fund**

1		ALTERA CORPORATION
2		EMC CORP. MASS
3		ETRADE FINANCIAL CORP.
4		GLOBAL X FUNDS CHINA CONSUMER
5		ISHARES DOW JONES US BROKER DEALERS INDEX
6		ISHARES NASDAQ BIOTECH INDEX FD
7		LOWES COMPANIES INC.
8		ORBITAL SCIENCES CORP.
9		OVERSEAS SHIPHLDG GR INC.
10		PEPSICO INC.

**BLOCK B
Valuation of Assets**

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
			X								
				X							
	X										
	X										
	X										
	X										
	X										
	X										
	X										
	X										

**BLOCK C
Type and Amount of Income**

Type of Income								Amount of Income											Actual Amount Required if "Other" Specified
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
	X							Example		X									Example
					X			Example	X										Example
	X								X										
	X								X										
	X								X										
	X								X										
	X								X										
	X								X										
	X								X										

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

☐

Reporting Individual's Name

☐ Amendment**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

Page Number

Reed, John F.

2

BLOCK A**Identity of Publicly Traded Assets
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BLOCK C**Type and Amount of Income**

		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																						
		At the close of reporting period. If None, or less than \$1,001, Check the first column.										Type of Income							Amount of Income															
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S, Example: DC, or J					X										X						Example		X									Example		
(S) Keystone Fund						X													X		Example	X										Example		
1	POWERSHARES GOLDEN DRAGON PORTFOLIO		X												X								X											
2	POWERSHARES QQQ TR ET SERIES 1		X												X								X											
3	PROCTOR & GAMBLE CO.		X												X								X											
4	QUALCOMM INC.			X											X									X										
5	SANOFI ADR		X												X								X											
6	SCHLUMBERGER LTD		X												X								X											
7	SCHWAB CHARLES CORP.		X												X								X											
8	STRYKER CORP.		X												X								X											
9	UNITED NATURAL FOODS INC.		X												X								X											
10	VERTEX PHARMACEUTICALS INC.		X												X								X											

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Reporting Individual's Name

☐ Amendment**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

Page Number

Reed, John F.

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BLOCK B**Valuation of Assets**

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BLOCK C**Type and Amount of Income**

		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																						
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S, IBM Corp. (stock)				X										X							Example	X											Example	
DC, (S) Keystone Fund					X													X			Example	X											Example	
1	VODAFONE GROUP PLC		X											X									X											
2	WHOLE FOODS MARKET INC.		X											X									X											
3	XILINX INC		X											X									X											
4	J AGRIMUM INC		X											X									X											
5	J CISCO SYSTEMS INC.		X											X									X											
6	J CLAYMORE TRUST 2 GUGGENHEIM SHIPPING		X											X									X											
7	J COCA-COLA COMPANY		X											X									X											
8	J COSTCO WHSL CORP.		X											X									X											
9	J EMERSON ELECTRIC CO.		X											X									X											
10	J FREEPORT MCMORAN COPPER & GOLD INC.		X											X									X											

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Reporting Individual's Name

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													Type of Income							Amount of Income							Actual Amount Required if "Other" Specified							
			None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500		\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000
S, IBM Corp. (stock)					X										X							Example	X										Example	
(S) Keystone Fund						X													X			Example	X										Example	
1	J	ILLINOIS TOOL WORKS INC		X											X								X											
2	J	INTEL CORP.		X											X								X											
3	J	ISHARES DOW JONES US ET TRANSPORTATION INDEX		X											X								X											
4	J	ISHARES DOW JONES US BROKER DEALERS INDEX		X											X								X											
5	J	ISHARES DOW JONES US AEROSPACE & DEFENSE INDEX		X											X								X											
6	J	KANSAS CITY SOUTHERN NEW		X											X								X											
7	J	PEPSICO INC.		X											X								X											
8	J	POTASH CORP.		X											X								X											
9	J	PROCTOR & GAMBLE CO.		X											X								X											
10	J	SANOFI ADR		X											X								X											

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Example:	S, DC, or J				X										X							Example	X										Example	
	(S) Keystone Fund					X												X				Example	X										Example	
1	J SPDR S&P RETAIL ET		X												X								X											
2	J STRYKER CORP.		X												X								X											
3	J VERTEX PHARMACEUTICALS INC.	X													X								X											
4	J WELLS FARGO BANK DEPOSIT SWEEP		X														X						X											
5	DC ALLIANCE BERNSTEIN COLLEGE BOUND FUND			X														X					X											
6	U.S. SENATE FEDERAL CREDIT UNION		X														X						X											
7	J U.S. SENATE FEDERAL CREDIT UNION					X											X							X										
8	Bank of America (IRA)	X															X						X											
9																																		
10																																		

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Reporting Individual's Name

☐ Amendment

Reed, John F.

PART VII. LIABILITIES

Page Number

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Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) Mortgages on your personal residences unless rented; (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

	Name of Creditor	Address	Type of Liability	Date Incurred	Interest Rate	Term if Applicable	Category of Amount of Value (x)										
							\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
	<i>Example: S, First District Bank</i>	<i>Wash., DC</i>	<i>Mortgage on undeveloped land</i>	<i>1992</i>	<i>13%</i>	<i>25yrs</i>			X		E	X	A	M	P	L	E
	<i>(J) John Jones</i>	<i>Wash., DC</i>	<i>Promissory Note</i>	<i>2000</i>	<i>10%</i>	<i>On dmd</i>				X	E	X	A	M	P	L	E
1	J Wells Fargo Bank, N.A.	Des Moines, IA	Residential Mortgage	2010	4.875	30yrs				X							
2	J Wells Fargo Bank, N.A.	Des Moines, IA	Residential Mortgage	2010	4.875	30yrs					X						
3																	
4																	
5																	
6																	
7																	
8																	
9																	
10																	
11																	
12																	

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