## UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

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_ Amendment	·	<u> </u>							··	
ast Name		First Name and Middle Initia	al			Annual Report	<b>1</b>			
Cotton		Tom				Calendar Year Covered by Report 2017		Arkansas)		
enate Office Address (Number.	Street, City, State, and ZIP Code)	Senate Office Telephone N	umber (Incl	ude Area Cod	de)	Termination Report	<u>.l</u> _	<del></del>	<u>-</u>	•
Citate Onico / taarcoo (rambor,	Oliver City, Olato, and Eli Overy					Termination Date (mm/dd/yy)	Prior Office / Agend	cy in Which Employed		
124 Russell Senate Washington, DC 20		202-224-2353								
AFTER READII	NG THE INSTRUCT	IONS – ANSW	ER EA	ACH O	FTH	IESE QUESTIONS AN	ND ATTACI	H THE RELEVA	NT P	ART
		······································	YES	NO					YES	NO
		alaasiksi ja liassa af			Did y	you, your spouse, or dependent	child receive any	reportable travel or		
	nization make a donation to appearance, or article in the ch PART I.			X	reim \$375	bursements for travel in the reposition one source)? es, complete and attach PART V	orting period (i.e.	<del>-</del>		X
-	ave earned income (e.g., sala g \$200 or more from any rep ch PART II.			X	(mor	you, your spouse, or dependent e than \$10,000) during the repo es, complete and attach PART V	rting period?	eportable liability		X
nore than \$1,000 at the e	dependent child hold any rependent child hold any rependent of the period, or receive the than \$200 in the reporting PART IIIA and/or IIIB.	unearned or	X		curre	you hold any reportable positions ent calendar year? es, complete and attach PART V		e date of filing in the	X	
· · · · · · · · · · · · · · · · · · ·	dependent child purchase, se ore than \$1,000 in the reporti ch PART IV		X		entity	ou have any reportable agreeme y? es, complete and attach PART IX		ent with an outside		X
oid you, your spouse, or deporting period (i.e., agging exempt)?  If Yes, complete and attack	dependent child receive any regating more than \$375 and the child PART V	reportable gift in the not otherwise		X	If this \$5,0 If Ye	s is your FIRST Report: Did you 00 from a single source in the two ses, complete and attach PART X	ı receive comper <u>vo</u> prior years?	nsation of more than		35
Eac	ch question must k	e answered ar	nd the	appro	pria	te PART attached for	r each "YE	S" response.		3X3
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BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources Report the complete name of each publicly			At	Value the concepts	uati dose e, o	of re	of A	Ass ting   n \$1	perio ,001	d.										7	ype an			K C		nco	me						
aded asset held by you, your spouse, or our dependent child, (See p. 3, CONTENTS OF REPORTS, Part B of instructions) for production of income or exestment which:  (1) had a value exceeding \$1,000 at the close of the reporting period, and/or  (2) generated over \$200 in "unearned" income during the reporting period. Include on PART IIIA a complete dentification of each public bond, mutual and, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and ublicly traded asset of a retirement plan.	None (or less than \$1,001)	8	\$15,001 - \$50,000	0,001 - \$100,000	00,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends		Interest	Capital Gains	Excepted Investment Fund	xcepted Trust	Qualified Blind Trust	Other (Specify Type)	one	\$201 - \$1,000	\$1,001 - \$2,500	,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	0,001 - \$100,000	\$100,001 - \$1,000,000	/er \$1,000,000,***	\$1,000,001 - \$5,000,000	/er \$5,000,000	Actual Amount Required if "Other" Specified
S, IBM Corp. (stock)				Х										х							Example		Х										Example
் or j (S) Keystone Fund					X		'n n'n n n'n n	<u> </u>	,,					<b></b>		<u></u>	ļ	X			Example	×											Example
Bank of America checking		ļ			X											X						×											
Centennial IRA CD			X												:			Х				X											
Northern Trust checking (SP)			X				,								·	X						Х		· · · · · · · · · · · · · · · · · · ·								; ;	
Northern Trust checking (DC)	X	<b>†</b>									B. B. B. B.		্ৰন্থ <b>কা</b> কাৰণ ক			X	\$		, , , , , , , , , , , , , , , , , , ,		: ].E.E.E.E.E E E E E.E E. <del>G.G.G.G.G.G</del> .	X	<del>) 8   8   8   8   8  </del> E.E.	:C.E.C.E.E C.E (	E.E.E.E.E.E.E.	F-8.8.8.8.8.8.8	<b>2.44.22.2</b> 4	## # # N M M M	E-E-E-E-E-E-E	) e e e e e e e e e e e e e e e e e e e			3 B B B B B B
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XEMPTION TEST (see instructions before marking box): In this category applies only if the asset is/was held independent.																													prop	riate			

oodoodoodaj, ∋porting Individual's Name Amendment Page Number PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES I om Cotton **BLOCK A** BLOCK B BLOCK C Identity of Publicly Traded Assets Valuation of Assets Type and Amount of Income **And Unearned Income Sources** At the close of reporting period. If None, or less than \$1,001, eport the complete name of each publicly check the first column. aded asset held by you, your spouse, or Type of Income Amount of Income our dependent child, (See p.3, CONTENTS OF REPORTS, Part B of nstructions) for production of income or ivestment which. (1) had a value exceeding \$1,000 at the \$1,001) Actual stment Fund close of the reporting period; and/or 00,001 - \$5,000,000 r \$5,000,000 Other Amount (2) generated over \$200 in "unearned" - \$1,000,000 \$250,000 \$500,000 - \$1,000,00 income during the reporting period. \$100,000 Required \$50,000 Over \$1,000,000\* (Specify 0 0 8 nclude on PART IIIA a complete \$100,001 - \$25 \$250,001 - \$50 \$500,001 - \$1, Over \$1,000,00 \$1,000,001 - \$2 \$5,000,001 - \$2 \$5,000,001 - \$2 Over \$50,000,0 Excepted Inves Excepted Trust Type) \$5,00 \$15,0( None (or less t "Other" Qualified Blind \$1,000 dentification of each public bond, mutual \$1,000,001. and, publicly traded partnership interest, Dividends \$100,001 \$50,001 Interest Capital ( \$15,001 \$50,001 \$1,001 xcepted investment fund, bank account, \$5,001 \$1,001 \$2,501 \$201 xcepted and qualified blind trust, and ublicly traded asset of a retirement plan. IBM Corp. (stock) X Example ' Example ∢ample: DC, Keystone Fund Example Example Northern Trust Roth IRA (SP) -- assets below MFC Flexshares Trust Quality Dividend Index Fund MFO Harding Loevner Funds Inc Intl Equity Portfolio MFO DFA Invt Dimensions Group Inc Emerging IMarkets Core Equity Portfolio MFB Northern Funds Core Bond Fund MFO DFA Dimensions Group Inc US Core Equity 1 Portfolio MFB Northern High Yield Fixed Income Fund MFO DFA Invt Dimensions Group Inc Real Estate Securities Portfolio MFC Flexshares Tr Morningstar Global Upstream Natural Resource Index Fund Cash -- Money Market Fund KEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. \* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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eporting Individual's Name	<b>n</b> /	4 D'	<b>T</b> []	1	ľ	~ I I'	<b>-</b>	-	·V	<b>-</b>	- 4	~ E	-	4 (				A N.I		• • •		\ I		• • • •						1			Paç	ge Number
Tom Cotton		1 N		IA.		<b>'</b> U'	5L		_ Y		(A)	DE	:U	Ac	) 		<b>5</b>	AN	<b>D</b>	UN	ie,	ARNE	:U	IN		) <b> V</b>	ES	301 —	JK	CE	<b>:</b> S			3
BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources Report the complete name of each publicly raded asset held by you, your spouse, or our dependent child, (See p. 3,			At	the continues	uati close ne, or	e of re	of A	Ass rting p	perio 1,001	od.						Ty	pe	of Ir	ncc	ome		ype and			KCunt	of I				of in	100	ne		
CONTENTS OF REPORTS, Part B of instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period, and/or  (2) generated over \$200 in "unearned" income during the reporting period. Include on PART IIIA a complete dentification of each public bond, mutual and, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and ublicly traded asset of a retirement plan.	None (or less than \$1,001)		15,001	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Inforact	ווופובאו	Capital Gains Excepted Investment Find	Excepted investment rund	Excepted I rust	Qualified Blind I rust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	000	Actual Amount Required if "Other" Specified
S, IBM Corp. (stock)				X										X								Example		X									········	Example
or J (S) Keystone Fund	lacksquare	<b>_</b>			X													×	(			Example	Х											Example
Northern Trust Rollover IRA (SP) assets below																																		
MFC Flexshares Tr Morningstar Global Upstream Natural Resource Index Fund	Х																	X		<b>T</b>	1		Х											
Cash Money Market Fund	X																	X			1		Х											
MFB Northern Funds Core Bond Fund		X																X					Х											
MFC Flexshares Trust Quality Dividend Index Fund		X																X					X											
MFO Harding Loevner FDS Inc Intl Equity Portfolio		Х																X			$\uparrow$		X											
MFO DFA Invt Dimensions Group Inc Emerging Markets Core Equity Portfolio		Х																×			1		X											
MFO DFA Invt Dimensions Group Inc US Core Equity Portfolio		Х																X	,				×											
MFB Northern High Yield Fixed Income Fund		х																×			T		X											
MFO DFA Invt Dimensions Group Inc Real Estate Securities Portfolio		Х																X		1	1		X											
XEMPTION TEST (see instructions before marking box): I  This category applies only if the asset is/was held independent	If you ende	omit ntly t	ted a by the	ny as	set l use c	becar or de	use i pend	t mee lent c	ets th	ie thr If th	ee-p	part te set is	est s/wa	for ex as eith	æmp ner h	ition ield l	deso by th	cribed ne file	d in t	the ir jointl	ıstrı y he	actions, ple eld, use the	e oth	chec er ca	ck bo itego	x to f	the ri of va	ght. lue, a	as a <sub>l</sub>	prop	riate	•		

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BLOCK A  Identity of Publicly Traded Assets And Unearned Income Sources  eport the complete name of each publicly aded asset held by you, your spouse, or			At	Val the of Nor ch	uat close ne, o	e of r	of a epor	Ass ting	perio ,001	od.					,	Tvr	e 0	f In	con		ype an			K C unt			ome		fin				
contents of REPORTS, Part B of instructions) for production of income or evestment which:  (1) had a value exceeding \$1,000 at the close of the reporting period, and/or  (2) generated over \$200 in "unearned" income during the reporting period include on PART IIIA a complete entification of each public bond, mutual and, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and sublicly traded asset of a retirement plan.	None (or less than \$1,001)	\$1,001 - \$15,000	15,001	\$50,001 - \$	\$100,001 - \$250,000	250,001 -	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends		Interest	Capital Gains	Excepted Investment Fund		ualified Blind Trust	Other (Specify Type)	less th	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	000	5,001 - \$50,000	0000,00	\$100,001 - \$1,000,000	ver \$1,000,000***	\$5,000,000	ver \$5,000,000	Actual Amount Required if "Other" Specified
cample: DC, IBM Corp. (stock)				X										X				<u> </u>			Example		X										Example
or J (S) Keystone Fund  Northern Trust Discretionary Account (SP) assets		<u> </u>			X						_			+-		ļ		X		·	Example	×									_		Example
below																		-															
MFB Northern Funds Stock Index Fund			х															Х				X											
MFB Northern Funds Global Tactical Asset Allocation Fund (sold)	Х																:	х				х											
MFB Northern Equity Index Funds Mid Cap Index		X																Х				Х											
MFO DFA US Small Cap Portfolio		х																Х				Х											
MFB Northern Funds Tax Exempt Fund		х																Х				Х											
Cash Money Market Fund		X							$\neg$									Х				Х						$\neg$	-		$\dashv$		
MFC Flexshares Trust Quality Dividend Index Fund	_	<u>×</u>			_		$\dashv$		-	$\dashv$	$\dashv$				_			Х				Х								_	_		
MFB Northern Funds Short Inter Tax Exempt Fund		Х										:					!	Х				X	ľ										
MFO DFA Invt Dimensions Group Inc Emerging Markets Core Equity Portfolio		X																X				×											
MFB Northern Equity Index Funds Intl Equity Index		X																Х			-	X											
EMPTION TEST (see instructions before marking box): If This category applies only if the asset is/was held independent	f you ender	omit ntly b	ted a y the	ny as spou	set t	ecau r dep	use it bende	mee ent cl	ts th	e thre	ee-pa	art te set is	est fo	or exe s eith	empti er he	ion deld by	escri the	bed i filer c	n the	instr	uctions, pl eld, use th	ease e oth	ched er ca	ck bo	x to	the ri	ight. Iue, a	ıs ap	propi	riate.			

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porting Individual's			RT IV	/. TI	RAN	SACTIONS									Page	e Numb	er
				•					Am	oun	t of	Trai	nsac	ction	1 (x)		
porting period her securities clude transa- volving propertiveen you, operties are	CONTENTS OF REPORTS of any real property, so when the amount of the ctions that resulted in a certy used solely as you	nge by you, your spouse, or dependent ORTS, Part B of Instructions) during the stocks, bonds, commodity futures, and the transaction exceeded \$1,000. It loss. Do not report a transaction of the personal residence, or a transaction dent child. Please clarify which two able exchange.		nsac ype (		Transaction Date (Mo., Day, Yr.)	001 - \$15,000	001 - \$50,000	001 - \$100,000	0001 - \$250,000	000,000 - \$500,000	000,000,000,000,000	***000,000,1\$	00,001 - \$5,000,000	00,001 - \$25,000,000	000,001 - \$50,000,000	\$50,000,000
	Identificati	on of Assets	bur	Sal	EXC		7,	\$15,	\$50,	\$100	\$250	\$500	Over	\$1,0	\$5,0	\$25,	Over
S, Example: DC,	IBM Corp. (stock) I	VYSE	X			2/1/1X		Х			E	Х	Α	М	Р	L	Ε
or J	(DC) Microsoft (stock) NA	ASDAQ/OTC		X		1/27/1X				Х	E	Х	A	М	Р	L	E
MFB Northern E	Equity Index Funds Intl Equity In	ndex (SP)	X			6/6/17	Х		-								
MFB Northern F	unds Stock Index Fund (SP)		X			6/6/17	Х										
MFO DFA Invt D	imensions Group Inc Emerging	Markets Core Equity Portfolio (SP)	X			6/7/17	Х										
MFB Northern Fu	unds Global Tactical Asset Allo	cation Fund (SP)		X		6/6/17		Х									
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EMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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	E: E: 9:3	4.4.4.4	 2.2.2.2	E-1	<b>F</b>		- 1
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mpensation totaling \$200 or more from any position must be reported in Part II.

porting Individual's Name	Amendment

Tom Cotton

## PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Page Number

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teport any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an ficer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business nterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was old.

xclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

N	lame of Organization	Address	(City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
∢ample:	National Assn. of Rock Collectors	NY,NY EX	AMPLE	Non-profit education	President	6/01	Present
, ————————————————————————————————————	Jones & Smith	Hometown, USA	EXAMPLE	Law Firm	Partner	7/06	11 / 1X
Interna	ational Republican Institute	Washington, DC		Non-profit	Director	12/14	Present
<u></u>							
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