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November 16, 2017

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Secretary of the Senate
Office of Public Records
232 Hart Senate Office Building
Washington, DC 20510

Re: Senator Richard Blumenthal

Dear Madame Secretary:

We are writing on behalf of Senator Richard Blumenthal. Enclosed please find Senator Blumenthal's Periodic Disclosure of Financial Transactions ("PTR") for transactions dated October 31, 2017

Please do not hesitate to call us should you have further to this matter questions. Thank you for your attention

Very truly yours,

Counsel to Senato

Kate Salwyer Keane Counsel to Senator Richard Blumenthal

Enclosure

SOLTHOY 16 PM 1:

SECRETARY OF THE SENATE PUBLIC RECORDS

Perkins Caie LLP

This Report Should Be Filed With:

Secretary of the Senate Office of Public Records Hart Building, Suite 232 Washington, DC 20510

PERIODIC DISCLOSURE OF FINANCIAL TRANSACTIONS

SECRETARY OF THE SENATE

2017 NOV 1/6 michitel: 18

12 5 (S) (S) _Ω.1 _ Û ω 2 days after such transaction _ may this disclosure be filed more than 45 any reportable exchange. clarify.which two assets are involved in property, or a transaction between excepted investment fund, any real the transaction exceeded \$1,000. In transactions that resulted in a loss. not report a transaction involving an and any stocks, bonds, commodity futures, by you, your spouse, or dependent child within 30 days of receiving written notification of such transaction. Report any purchase, Reporting Individual's Name Richard Example: <u>ග</u> \widehat{S} (S) CMB 2009 GRAT . Peter L Malkin Family (S) Peter L Dependent Child (S) Hedge other securities Spouse spouse, Peter Magnifique Identification of Assets <u>ග</u> <u>(S)</u> or dependent child. Malkin Malkin Malkin Malkin Malkin Malkin (DC) Microsoft NASDAQ/OTC IBM Corp. Malkin Family Blumenthal Holdings when the φ П sale, or exchange Microsoft Capital Capital amily Capital \mathbf{C} apital apital In no event (stock) NYS 2000 amount of ☐ Amendment Report Fund (stock) П П 9 П Include nd D bnu ban bund U) × **Purchase** Senate Office Transaction United Type Sale × Ξ States / Agency in Exchange 10/3 10/31/20 10/3 10/31/20 (Mo., Day, Yr.) 93 Transaction N Senate ¥hich 1 Date 1/20 1/20 1/20 27 --Employed × _ 17 __ \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 Amount \$100,001 - \$250,000 \times m \$250,001 - \$500,000 Ш 으 Transaction (x) × \$500,001 - \$1,000,000 × \triangleright Over \$1,000,000*** D 3 \$1,000,001 - \$5,000,000 3 \$5,000,001 - \$25,000,000 ס ס \$25,000,001 - \$50,000,000 Over \$50,000,000 m Ш