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WASHINGTON, DC 20510

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COMMITTEES:

APPROPRIATIONS

FOREIGN RELATIONS

SELECT COMMITTEE ON INTELLIGENCE

SMALL BUSINESS AND ENTREPRENEURSHIP

SPECIAL COMMITTEE ON AGING

Julie E. Adams
Secretary of the Senate
232 Hart Senate Office Building
Washington, D.C. 20510-7116

Dear Secretary Adams:

In reviewing my financial disclosure reports from previous years, I discovered reporting errors related to my children's 529 education savings plans owned by my spouse that I would like to correct for the record.

Below are amendments I would like to make to my financial disclosure reports for calendar years 2014, 2015, 2016, and 2017

- For calendar year 2014, under Part 3 (Assets), Asset number 5 should read "Age Based Option: Age 10-12" (Education Savings Plans - 529 College Saving Plan) and include two sub-assets: Sub-asset 5.1 should read "Age Based Option: Age 10-12 Class A" (mutual fund) and Sub-asset 5.2 should read "Age Based Option: Age 10-12 Class B" (mutual fund).
- For calendar year 2014, under Part 3 (Assets), Asset number 6 should read "Age Based Option: Age 13-15" (Education Savings Plans - 529 College Saving Plan) and include two sub-assets: Sub-asset 6.1 should read "Age Based Option: Age 13-15 Class A" (mutual fund) and Sub-asset 6.2 should read "Age Based Option: Age 13-15 Class B" (mutual fund).
- For calendar year 2015, under Part 3 (Assets), Asset number 5 should read "Age Based Option: Age 13-15" (Education Savings Plans - 529 College Saving Plan) and include two sub-assets: Sub-asset 5.1 should read "Age Based Option: Age 13-15 Class A" (mutual fund) and Sub-asset 5.2 should read "Age Based Option: Age 13-15 Class B" (mutual fund).
 - o The following four transactions occurred:
 - (1) June 1, 2015: Full sale of Age-Based Option: Age 10-12 Class A in an amount between \$1,001 and \$15,000.
 - (2) June 1, 2015: Full sale of Age-Based Option: Age 10-12 Class B in an amount between \$1,001 and \$15,000.
 - (3) June 1, 2015: Purchase of Age-Based Option: Age 13-15 Class A in an amount between \$1,001 and \$15,000.
 - (4) June 1, 2015: Purchase of Age-Based Option: Age 13-15 Class B in an amount between \$1,001 and \$15,000.
- For calendar year 2015, under Part 3 (Assets), Asset number 6 should read "Age Based Option: Age 13-15" (Education Savings Plans - 529 College Saving Plan) and include two sub-assets: Sub-asset 6.1 should read "Age Based Option: Age 13-15 Class A" (mutual fund) and Sub-asset 6.2 should read "Age Based Option: Age 13-15 Class B" (mutual fund).

- For calendar year 2016, under Part 3 (Assets), Asset number 5 should read “Age Based Option: Age 13-15” (Education Savings Plans - 529 College Saving Plan) and include two sub-assets: Sub-asset 5.1 should read “Age Based Option: Age 13-15 Class A” (mutual fund) and Sub-asset 5.2 should read “Age Based Option: Age 13-15 Class B” (mutual fund).
- For calendar year 2016, under Part 3 (Assets), Asset number 6 should read “Age Based Option: Age 16-18” (Education Savings Plans - 529 College Saving Plan) and include two sub-assets: Sub-asset 6.1 should read “Age Based Option: Age 16-18 Class A” (mutual fund) and Sub-asset 6.2 should read “Age Based Option: Age 16-18 Class B” (mutual fund).
 - The following four transactions occurred:
 - (1) April 1, 2016: Full sale of Age-Based Option: Age 13-15 Class A in an amount between \$1,001 and \$15,000.
 - (2) April 1, 2016: Full sale of Age-Based Option: Age 13-15 Class B in an amount between \$1,001 and \$15,000.
 - (3) April 1, 2016: Purchase of Age-Based Option: Age 16-18 Class A in an amount between \$1,001 and \$15,000.
 - (4) April 1, 2016: Purchase of Age-Based Option: Age 16-18 Class B in an amount between \$1,001 and \$15,000.
- For calendar year 2017, under Part 3 (Assets), Asset number 5 should read “Age Based Option: Age 13-15” (Education Savings Plans - 529 College Saving Plan) and include two sub-assets: Sub-asset 5.1 should read “Age Based Option: Age 13-15 Class A” (mutual fund) and Sub-asset 5.2 should read “Age Based Option: Age 13-15 Class B” (mutual fund).
- For calendar year 2017, under Part 3 (Assets), Asset number 6 should read “Age Based Option: Age 16-18” (Education Savings Plans - 529 College Saving Plan) and include two sub-assets: Sub-asset 6.1 should read “Age Based Option: Age 16-18 Class A” (mutual fund) and Sub-asset 6.2 should read “Age Based Option: Age 16-18 Class B” (mutual fund).

Sincerely,



Marco Rubio
United States Senator