## Example: Spouse C) Dependent ays after such transaction. າay this disclosure be filed more than 45 ny reportable exchange. larify which two assets are our spouse, roperty, or a transaction between you, xcepted investment fund, any real ot report a transaction involving an ansactions that resulted in a loss. ne transaction exceeded \$1,000. ny stocks, bonds, commodity futures, nd other securities when the amount of otification of such transaction. Report ithin 30 days of receiving written eport any purchase, sale, or exchange y you, your spouse, or dependent child Richard M. eporting Individual's Name NYSE (S) (s) Loews Corporation (stock) NYSE (stock) NYSE NYSE Koninklinjke Philips N.V. (stock) NYSE (s) Koninklinjke Philips N.V. (stock) NYSE Chesapeake Energy (s) Chesapeake Child ripps Networks Interactive (stock) Scripps Networks Interactive Identification of Assets or dependent child. Burr NASDAQ/O IBM Corp. (DC) Microsoft (stock) **Energy Corporation** Corporation In no event (stock) NYSE involved in (stock) ☐ Amendment (stock) Please Include 0 Senate Office / Agency in Which Employed × $\times$ × × $\times$ × × × Transaction **Purchase** Туре (х) × Sale Exchange (Mo., Day, Yr.) Transaction 10/24/2014 10/24/2014 10/24/2014 10/24/2014 10/24/2014 10/24/2014 10/24/2014 1 Date 27 -4 × $\times$ × × $\times$ $\times$ \$1,001 - \$15,000 $\times$ × \$15,001 - \$50,000 \$50,001 - \$100,000 Amount × AON \$100,001 - \$250,000 ŧΠ Ш \$250,001 - \$500,000 ယ Transaction × \$500,001 - \$1,000,000 ➣ Over \$1,000,000\*\*\* 29 3 Ζ \$1,000,001 - \$5,000,000 T \$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000 m Щ Over \$50,000,000

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# • MEMBER • NEW YORK STOCK EXCHANGE SECURITIES INVESTORS PROTECTION CORPORATION

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## ONFIRMATION

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tween this firm and the customer: transactions are subject to the rules and regulations of the Federal Reserve Board transactions are subject to the rules and regulations of the Federal Reserve Board troms of the Exchange or Market (and its Clearing House if any) where executed, securities from time to time carried in the customer's open account, or deposited at the same may be loaned or pledged by the broker either separately or with curities of other customers in respect for a sum, not in excess of the aggregate diness of such customers in respect of such securities. In excess of the aggregate divery of securities sold and payment for securities bought must be received by us fivery of securities sold and payment Date." otherwise interest charges, additional amingle with other securities so purchased until as soon as practicable after receipt of the manufaction of the securities and the receipt of the manufaction of the securities and the receipt of the manufaction of the securities and payment on purchases we may hypothecate at the securities and payment of the securities and payment on purchases we may hypothecate at the securities and payment of the securities and payment of the securities and the securiti

That this agreement shall inure to the beriefit of any successors of this firm which result merely by withdrawals from or additions to existing firm personnel, where the customer does not indicate his nonacquiescence in such agreement.

In some cases Stephens receives payment for directing orders to particular broker/dealers or market centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.

Time of execution available upon request.

There may be, under some circumstances, an additional charge for odd-lot executions in the form of a cill of callable bonds or preferred stock held in bulk segregation, impartial lotteries are used by the depository and Stephens to apportion the bonds. Uncalled fully paid securities may be withdrawn prior to the sound of the properties of the securities. Call features may exist which could affect yield. Complete information available on request.

Confidence of the securities of the securities of the securities are used by requiations. Details available apon request.

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nutual funds are sold by prospectus. Confirmation is acknowledement of receipt of the prospect be eligible for breakpoint discounts based on the size of your purchase, current holdings or luturhases. The sales charge you paid may differ slightly from the Prospectus disclosed rate due to ading calculations. Please refer to the Prospectus Statement of Additional Information or contact incial advisor for further information. We may receive payments as an Executing Broker from the ditional information concerning the security will be provided upon request. A copil official statement for the issue, if prepared, is available if requested within one date of the transaction.

MO:s--yields are subject to flucuation depending on the speed in which the underlying note beceivable prepays. Specific information is available upon written request. We acted as agent, name of person with whom the transaction was made and source and amount of any fier remuneration received by us will be furnished upon written request. Specific to periodic payments -- callable below maturity value without prior notice by mail to holder unit advisory accounts, written consent for agency cross transactions may be revoked at any time in situation. TREASURY OR AGENCY DEBT AND AGENCY MBS FAILS CHARGE TRADING PRACTICE PUBLISHED ID SIFMA AT

THANK YOU FOR LETTING US SERVE

CODE EXPLANATION

Us, out, we, firm means Stephens Inc.

ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

Cash General Margin

Short margin
 Broker Dealer

CAPACITY IN WHICH THE FIRM ACTED

- G As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under setting agent, distribution, administration or other agreements. See fund prospectus and SAI re applicable commission (load) and other remuneration. Further information is available on written request.

- 2 As Principal we have sold to you or bought from you this security.
  3 As Agent for another we have sold to you or bought from you this security.
  4 As agent for both buyer and seller, charging a commission to buyer or seller or both. Commission amounts may differ. (Agency Cross Transaction)
  5 As principal with commission field displaying markup or markdown. The price is "The Trade Price reported unless it is an average price, see below."
  6 As Principal: we make a market in this security.
  7 Riskless Principal
  8 Multiple capacities, as some combination of principal, riskless principal and/or agent.
  A S Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and your acceptance of this Confirmation is acknowledgement of receipt of the prospectus.
  R As Agent for you we have redeemed or tendered your security.
  "IF TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES, DETAILS AVAILABLE ON REQUEST." ➣

Adjusted Options: When standardized options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, expressed as a price per share of the shares subject to the option may also be adjusted, as described in The Options Clearing Corporation Brochure, entitled Characteristics and Risks of Standardized Options, as amended ("Brochure"). The confirmations provided for transactions in standardized options that have been affected by such adjustments will disclose adjacent to the abbreviation "EASP", the estimated adjusted strike option, and will disclose adjacent to the abbreviation "EASP", the estimated adjusted strike price, expressed as a price per share, for the shares subject to the option, all calculated in accordance with the descriptions set forth in the Brochure.

Investment securities and products are NOT FDIC insured, are NOT obligations of or guaranteed by any bank and are subject to LOSS of the principal amount invested (except for certain brokered certricates of deposit, which are also subject to certain risks, indicated security-subject continuously to prepayment, actual yield may vary according to rate at which the underlying receivables or other financial assets are prepaid, information concerning factors that affect yield furnished upon written request.

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• MEMBER •

NEW YORK STOCK EXCHANGE

SECURITIES INVESTORS PROTECTION CORPORATION

CENTER STREET • LITTLE ROCK, ARKANSAS 72201

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## CONFIRMATION

# THANK YOU FOR LETTING US SERVE YOU.

## AGREEMENT

firm means Stephens Inc.

- That all transactions are subject to the rules and regulations of the Federal Reserve Board and customs of the Exchange or Market (and its Clearing House if any) where executed. That all securities from time to time carried in the customer's open account, or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect for a sum, not in excess of the aggregate indebtedness of such customers in respect of such securities. That delivery of securities sold and payment for securities bought must be received by us axes and premiums are chargeable. Pending full payment on purchases we may hypothecate axes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of the payment.

- I lotteries are used by withdrawn prior to
- That this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawals from or additions to existing firm personnel, where the customer does not indicate his nonacquescence in such agreement.

  In some cases Stephens receives payment for directing orders to particular broker/dealers or market centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.

  There may be, under some circumstances, an additional charge for odd-to executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.

  There may be, under some circumstances, an additional charge for odd-to executions in the form of a prohibitable upon request.

  There may be, under some circumstances, an additional charge for odd-to executions in the form of a construction of callable bonds or preferred stock held in bulk segregation, impartal lotterless are used it unless prohibitably regulations. Details wallable upon request.

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  The expectation of callable bonds or preferred stock held in bulk segregation, impartal lotterless are used it unless prohibitably regulations. Details wallable upon when call information becomes known. It is expected to be expected to be firefly and excepted to the prospectus. You when call information of contact your microactions are sold by prospectus. Confirmation is acknowledement of receipt of the prospectus. You was called the provided upon request.

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F THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY, FOR ULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER

- CODE EXPLANATION

  Us, our, we, firm means Stephens Inc.

  ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED
- 1 Cash 2 General Margin CAPACITY IN WHICH THE FIRM ACTED စမ Short margin Broker Dealer
- Ç ₹
- ត្ As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distribution, administration or other agreements. See fund prospectus and SAI re applicable commission (load) and other remuneration. Further information is available on written request.

- As Principal we have sold to you or bought from you this security.

  As Agent for another we have sold to you or bought from you this security.

  As agent for both buyer and seller, charging a commission to buyer or seller or both. Commission amounts may differ, (Agency Cross Transaction) As principal with commission field displaying markup or markdown. The price is "The Trade Price reported, unless it is an average price, see below."

  As Principal; we make a market in this security

  Riskless Principal
- **D9 √** ♦
- 8 Multiple capacities, as some combination of principal, risidess principal and/or agent.

  8 F As Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and your acceptance of this Confirmation is acknowledgement of receipt of the prospectus.

  R As Agent for you we have redeemed or tendered your security.

  REPORTED PRICES, DETAILS AVAILABLE ON REQUEST."

- Adjusted Options: When standardized options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, expressed as a price per share of the shares subject to the option may also be adjusted, as described in the Options Cleaning Corporation Brochure, entitled Characteristics and Risks of Standardized Options, as amended ("Brochure"). The confirmations provided for transactions in standardized options that have been affected by such adjustments will disclose, adjacent to the abbreviation "EASP", the estimated adjusted to the abbreviation, and will disclose adjacent to the abbreviation "EASP", the estimated adjusted strike price, expressed as a price per share, for the shares subject to the option, all calculated in accordance with the descriptions set forth in the Brochure.

  Investment securilies and products are NOT FDIC insured, are NOT obligations of or guaranteed by any bank and are subject to certain risks if
- security-subject continuously to prepayment, actual yield may vary according to rate at which the evables or other financial assets are prepaid, information concerning factors that affect yield mannitum estimated yield, weighted average life, and the prepayment assumptions underlying yield) written request

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• MEMBER •

NEW YORK STOCK EXCHANGE

SECURITIES INVESTORS PROTECTION CORPORATION

CENTER LITTLE ROCK.

PHG SYMBOL Richard O. Rogers
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- this agreement shall inure to the benefit of any successors of this firm which result merely ithdrawals from or additions to existing firm personnel, where the customer does not indicate onacquiescence in such agreement.
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  been delivered to you, we assume no responsibility for notification of redemption by but will make every effort to afert you when call information becomes known.

  Ities: Call features may exist which could affect yield. Complete information available on request.

- MO's—yields are subject to flucuation depending on the speed in which the underlying note ir receivable prepays. Specific information is available upon written request. We acted as agent, name of person with whom the transaction was made and source and amount of any their remunertaion received by us will be furnished upon written request. The coupon bonds—No periodic payments—callable below maturity value without prior notice by mail to hold advisory accounts, written consent for agency cross transactions may be revoked at any time.

- F THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY. FOR TULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER

- CODE EXPLANATION

  Us, out, we, firm means Stephens Inc.

  ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED
- 1 Cash 2 General Margin CAPACITY IN WHICH THE FIRM ACTED Short margin
   Broker Dealer
- ÇĄ
- G As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distribution, administration or other agreements. See fund prospectus and SAI re applicable commission (load) and other remuneration. Further information is available on written request.

- As Principal we have sold to you or bought from you this security.

  As Agent for another we have sold to you or bought from you this security.

  As agent for both buyer and seller, charging a commission to buyer or seller or both. Commission amounts may differ, (Agency Cross Transaction) As principal with commission field displaying markup or markdown. The price is 'The Trade Price reported, unless it is an average price, see below."

  As Principal, we make a market in this security.

  Riskless Principal
- 7

- 8 Multiple capacities, as some combination of principal, risidess principal and/or agent.

  8 F As Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and your acceptance of this Confirmation is acknowledgement of receipt of the prospectus.

  R As Agent for you we have redeemed or tendered your security.

  TE TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES, DETAILS AVAILABLE ON REQUEST.
- Adjusted Options: When standardized options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, expressed as a price per share of the shares subject to the option may also be adjusted, as described in The Options. Seamhanded ("Brochure, entitled Characteristics and Risks of Standardized Options, as amended ("Brochure"). The confirmations provided for transactions in standardized options that have been affected by such adjustments will disclose, adjacent to the abbreviation "EASP", the estimated adjusted strike option, and will disclose adjacent to the abbreviation "EASP", the estimated adjusted strike price, expressed as a price per share, for the shares subject to the option, all calculated in accordance with the descriptions set forth in the Brochure.

  Investment securities and products are NOT FDIC insured, are NOT obligations of or guaranteed by any bank and are subject to LOSS of the principal amount invested (except for certain brokered certificates).
- Assel-backed security-subject continuously to prepayment, actual yield may very according to rate at which the underlying receivables or other financial assets are prepaid, information concerning factors that affect yield (including at a minimum estimated yield, weighted average life, and the prepayment assumptions underlying yield infinished upon written request

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• MEMBER •

NEW YORK STOCK EXCHANGE

SECURITIES INVESTORS PROTECTION CORPORATION

CENTER STREET LITTLE ROCK, **ARKANSAS** 72201

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- agreement shall inure to the benefit of any successors of this firm which result merely awals from or additions to existing firm personnel, where the customer does not indicate

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- is nonacquiescence in such agreement.

  I some cases Stephens receives payment for directing orders to particular broker/dealers or larket centers for execution. When such payment is received it is considered compensation Stephens and the source and amount of any such compensation in connection with your ansaction will be disclosed upon written request.

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- CMO's—yields are subject to flucuation depending on the speed in which the underlying note prince prepays. Specific information is available upon written request. If we acted as agent, name of person with whom the transaction was made and source and amount of any other remunertaion received by us will be furnished upon written request.

  Zero coupon bonds No periodic payments callable below maturity value without prior notice by mail to leave the coupon bonds.
- below maturity value without prior notice by mail to holde cross transactions may be revoked at any time
- AND AGENCY MBS FAILS CHARGE TRADING PRACTICE PUBLISH

# THANK YOU FOR LETTING US SERVE

- CODE EXPLANATION

  Us, out, we, firm means Stephens Inc.

  ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED
- Short margin
   Broker Dealer

CAP,

- 1 Cash 2 General Margin CAPACITY IN WHICH THE FIRM ACTED
- 1 G As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distribution, administration or other agreements. See fund prospectus and SAI re applicable commission (load) and other remuneration. Further information is available on written request.

- As Principal we have sold to you or bought from you this security.

  As Agent for another we have sold to you or bought from you this security.

  As agent for both buyer and selter, charging a commission to buyer or seller or both. Commission amounts may differ, (Agency Cross Transaction) As principal with commission field disptaying markup or markdown. The price is The Trade Price reported, unless it is an average price, see below."

  As Principal, we make a market in this security.

  Riskless Principal
- 7
- Multiple capacities, as some combination of principal, riskless principal
- ₽ and/or agent.

  B F As Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and your acceptance of this Confirmation is acknowledgement of receipt of the prospectus.

  R As Agent for you we have redeemed or tendered your security.

  "IF TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES. DETAILS AVAILABLE ON REQUEST."

Adjusted Options: When standardized options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, expressed as a price per share of the shares subject to the option may also be adjusted, as described in The Options. Cleaning Corporation Brochure, entitled Characteristics and Risks of Standardized Options, as amended (Brochure). The confirmations provided for transactions in standardized options that have been affected by such adjustments will disclose, adjacent to the abbreviation 'EASP', the estimated adjusted trike option, and will disclose adjacent to the abbreviation 'EASP', the estimated adjusted strike price, expressed as a price per share, for the shares subject to the option, all calculated in accordance with the descriptions set forth in the Brochure.

Investment securities and products are NOT FDIC insured, are NOT obligations of or guaranteed by any bank and set subject to certain risks, in of deposit which are also subject to certain risks, in the standard in certain brokered certificates.

Asset-backed security-subject continuously to prepayment, actual yield may vary according to rate at which the underlying receivables or other financial assets are prepaid, information concerning factors that affect yield (including at a minimum estimated yield, weighted average life, and the prepayment assumptions underlying yield) furnished upon written request

FIFO First in First Out LCFO Most Gall/Low Cost

F THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY. FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER

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LIFO Last in First Out
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MEMBER
 NEW YORK STOCK EXCHANGE
 SECURITIES INVESTORS PROTECTION CORPORATION

CENTER STREET • LITTLE ROCK **ARKANSAS** 

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## CONFIRMATION

## THANK YOU FOR LETTING US SERVE <u> Y</u>0U.

## AGREEMENT

That all transactions are subject to the rules and regulations of the Federal Reserve Board and customs of the Exchange or Market (and its Clearing House it any) where executed. That all securities from time to time carried in the customer's open account, or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect for a sum, not in excess of the aggregate indebtedness of such customers in respect of such securities.

That delivery of securities sold and payment for securities bought must be received by us at our office not later than the "Settlement Date," otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.

at this agreement shall inure to the benefit of any successors of this firm which result merely withdrawals from or additions to existing firm personnel, where the customer does not indicate an agreement.

In some cases Stephens receives payment for directing orders to particular broker/dealers or market centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.

Time of execution available upon request.

There may be, under some circumstances, an additional charge for odd-lot executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request. There event of a call of callable bonds or preferred stock held in bulk segregation, impartial lotteries are used by unless prohibited by regulations. Details available upon request.

In bonds have been delivered to you, we assume no responsibility for notification of redemption or to maturity but will make every effort to alert you when call information becomes known.

Illiable Securities: Call features may exist which could affect yield. Complete information available on request.

may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future burchases. The sales charge you paid may differ slightly from the Prospectus disclosed rate due to purchases. The sales charge you paid may differ slightly from the Prospectus disclosed rate due to purchase calculations. Please refer to the Prospectus Statement of Additional Information or contact your linancial advisor for further information. We may receive payments as an Executing Broker from the fund. Additional information concerning the security will be provided upon request. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction.

Child O's--vields are subject to flucuation depending on the speed in which the underlying note of feceivable prepays. Specific information is available upon written request.

The acted as agent, name of person with whom the transaction was made and source and amount of any public remunerlation received by us will be furnished upon written request.

The coupon bonds on periodic payments of callable below maturity value without prior notice by mail to holder unless advisory accounts, written consent for agency cross transactions may be revoked at any time by written consent to us or advisory affiliate.

SUBJECT.TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FAILS CHARGE TRADING PRACTICE PUBLISHED AND SIFMA AT http://www.sifma.org/Services/Standard-Forms-and-Documentation/Securitized-Productives-Amount Fails Or and Agency Debt And Documentation/Securitized-Productives-Amount Fails Or and Documentation Fails Or and Documentation Fail

THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY. FOR LL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER

CODE EXPLANATION

Us, our, we, firm means Stephens Inc.

ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

Cash General Margin

**0**ω Short margin Broker Dealer

CAP. CAPACITY IN WHICH THE FIRM ACTED

- ត As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distribution, administration or other agreements. See fund prospectus and SAI re applicable commission (load) and other remuneration. Further information is available on written request.
- Ar Co N→
- S As Principal we have sold to you or bought from you this security.
  As Agent for another we have sold to you or bought from you this security.
  As agent for both buyer and seller, charging a commission to buyer or seller or both. Commission amounts may differ, (Agency Cross Transaction) as principal with commission field displaying markup or markdown. The price is "The Trade Price reported, unless it is an average price, see below."
- 8 7 6
- As Principal: we make a market in this security Riskless Principal Multiple capacities, as some combination of principal, riskless principal
- > and/or agent.

  B. F. As Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and your acceptance of this Confirmation is acknowledgement of receipt of the prospectus.

  R. As Agent for you we have redeemed or tendered your security. "IF TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES, DETAILS AVAILABLE ON REQUEST."

Adjusted Options: When standardized options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, expressed as a price per share of the shares subject to the option may also be adjusted; as described in The Options Clearing Corporation Brochure, entitled Characteristics and Risks of Standardized Options, as amended ("Brochure"). The confirmations provided for transactions in standardized options that have been affected by such adjustments will disclose adjacent to the abhreviation "EASP", the estimated adjusted since option, and will disclose adjacent to the abhreviation "EASP", the estimated adjusted strike price, expressed as a price per share, for the shares subject to the option, all calculated in accordance with the descriptions set forth in the Brochure.

Investment securities and products are NOT FDIC insured are NOT obligations of or guaranteed by any bank and are subject to LOSS of the principal amount invested review for certain brokered certificates, of deposit, which are also subject to certain risks.)

Asset-backed security-subject continuously to prepayment, actual yield may vary according to rate at which the functioning at a minimum estimated yield, weighted average life, and the prepayment assumptions underlying yield) furnished upon written request.

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MEMBER •
 SECURITIES INVESTORS PROTECTION CORPORATION

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## ONFIRMATION

# THANK YOU FOR LETTING US SERVE

## AGREEMENT

That all transactions are subject to the rules and regulations of the Federal Reserve Board and customs of the Exchange or Market (and its Clearing House it any) where executed. That all securities from time to time carried in the customer's open account, or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect for a sum, not in excess of the aggregate indebtedness of such customers in respect of such securities.

That delivery of securities sold and payment for securities bought must be received by us at our office not later than the "Settlement Date." otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of the ment.

That this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawals from or additions to existing firm personnel, where the customer does not indicate his nonacquiescence in such agreement.

In some cases Stephens receives payment for directing orders to particular broker/dealers or market centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.

Time of execution available upon request.

There may be, under some circumstances, an additional charge for odd-tot executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.

In the event of a call of callable bonds or preferred stock held in bulk segregation, impartial lotteries are used by the event of a call of callable bonds or preferred stock held in bulk segregation, impartial lotteries are used by the particulations. Details available upon request.

The bonds have been delivered to you, we assume no responsibility for notification of redemption to the callable securities: Call features may exist which could affect yield. Complete information available on request.

mutual funds are sold by prospectus. Confirmation is acknowledement of receipt of the prospectus. You be eligible for breakpoint discounts based on the size of your purchase, current holdings or future chases. The sales charge you paid may differ slightly from the Prospectus disclosed rate due to inding calculations. Please refer to the Prospectus, Statement of Additional Information or contact your finding advisor for further information: We may receive payments as an Executing Broker from the functional information concerning the security will be provided upon request. A copy of all official statement for the issue, if prepared, is available if requested within one year date of the transaction.

High observields are subject to flucuation depending on the speed in which the underlying note the deceivable prepays. Specific information is available upon written request.

There remunertaion received by its will be furnished upon written request.

The coupon bonds. No periodic payments — callable below maturity value without prior notice by mail to holder unless.

For advisory accounts, written consent for agency cross transactions may be revoked at any time by written consent to us or advisory affiliate.

SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FAILS CHARGE TRADING PRACTICE PUBLISHED BY AND SIFMA AT http://www.sifma.org/Services/Standard-Forms-and-Documents/services/Standard-Forms-and-Documents/services/Standard-Forms-and-Documents/services/Standard-Forms-and-Documents/services/Standard-Forms-and-Documents/services/Standard-Forms-and-Documents/services/Standard-Forms-and-Documents/services/Standard-Forms-and-Documents/se

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CODE EXPLANATION

Us, our, we, firm means Stephens Inc.

ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

Cash General Margin

CAPACITY IN WHICH THE FIRM ACTED

Short margin
 Broker Dealer

G As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distribution, administration or other agreements. See fund prospectus and SAI re applicable commission (load) and other remuneration. Further information is available on written request.

- **4** w **4**
- জ As Principal we have sold to you or bought from you this security.
  As Agent for another we have sold to you or bought from you this security.
  As agent for both buyer and seller, charging a commission to buyer or seller or both. Commission amounts may differ. (Agency Cross Transaction) As principal with commission field displaying markup or markdown. The price is "The Trade Price reported, unless it is an average price, see below."
  As Principal; we make a market in this security
  Riskless Principal
- 8 7 0

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- **60** Ti 8 Multiple capacities, as some combination of principal, riskless principal and/or agent.

  B F As Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and your acceptance of this Confirmation is acknowledgement of receipt of the prospectus.

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• MEMBER •

NEW YORK STOCK EXCHANGE

SECURITIES INVESTORS PROTECTION CORPORATION

CENTER LITTLE ROCK, ARKANSAS

SNI SNT SYMBOL ACCOUNT NO THE TRANS NO. SCRIPPS SECURITY DESCRIPTION

NETWORKS INTERACTIVE Richard O. Rogers III

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## THANK YOU FOR LETTING US SERVE You.

## AGREEMENT

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- MO's--yields are subject to flucuation depending on the speed in which the underlying note traceivable prepays. Specific information is available upon written request. We acted as agent, name of person with whom the transaction was made and source and amount of any liner remunertaion received by us will be furnished upon written request. From the prior notice by mail to holder or advisory accounts. Written consent for agency cross transactions may be revoked at any time by written consent to us or advisory affiliate.

  UBLECT.TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FAILS CHARGE TRADING PRACTICE PUBLISHED AT

- CODE EXPLANATION
  Us, our we, firm means Stephens Inc.
  ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED
- 1 Cash 2 General Margin CÁPACITY IN WHICH THE FIRM ACTED စမ Short margin Broker Dealer
- ÇĄ.
- ត As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distribution, administration or other agreements. See fund prospectus and SAI re applicable commission (load) and other remuneration. Further information is available on written request.

- As Principal we have sold to you or bought from you this security.

  As Agent for another we have sold to you or bought from you this security.

  As agent for both buyer and seller, charging a commission to buyer or seller or both. Commission amounts may differ, (Agency Cross Transaction) As principal with commission field displaying markup or markdown. The price is "The Trade Price reported, unless it is an average price, see below."

  As Principal: we make a market in this security.

  Riskless Principal
- **→ 0**

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