

UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

☐ Amendment

Last Name	First Name and Middle Initial	Annual Report Calendar Year Covered by Report	Senate Office / Agency in Which Employed
Coons	Christopher A.	CY2012	U.S. Senate (Delaware)
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report Termination Date (mm/dd/yyyy)	Prior Office / Agency in Which Employed
127A Russell Senate Office Building Washington, DC 20510	202-224-5042		

AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$350 from one source)? If Yes, complete and attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, complete and attach PART II.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, complete and attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If Yes, complete and attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<u>If this is your FIRST Report:</u> Did you receive compensation of more than \$5,000 from a single source in the <u>two</u> prior years? If Yes, complete and attach PART X.	<input type="checkbox"/>	<input type="checkbox"/>

Each question must be answered and the appropriate PART attached for each "YES" response.

all	FOR OFFICIAL USE ONLY Do Not Write Below this Line <div style="text-align: right; transform: rotate(180deg);"> RECEIVED SECRETARY OF THE SENATE PUBLIC RECORDS 13 JUN 14 PM 1:46 </div>
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Reporting Individual's Name CHRISTOPHER A. COONS		<input type="checkbox"/> Amendment		PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES															Page Number 1																		
BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																									
Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, <i>CONTENTS OF REPORTS Part B of Instructions</i>) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.		At the close of reporting period. If None, or less than \$1,001, Check the first column.										Type of Income								Amount of Income																	
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified			
Example: S, IBM Corp. (stock) or J, (S) Keystone Fund					X									X							Example		X									Example					
1 J WSFS BANK (CKG ACC)					X											X						X															
2 FCG ADVISORS LLC (IRA) - SEE ATTACHED STATEMENTS					X									X			X							X													
3 S FCG ADVISORS LLC (IRA) - SEE ATTACHED STATEMENTS SPOUSE				X										X			X							X													
4 S OPPENHEIMER FUNDS 529 - MODERATELY AGGRESSIVE				X														X				X															
5 S OPPENHEIMER FUNDS 529 - 9-11 YEARS					X													X				X															
6 S OPPENHEIMER FUNDS 529 - SCHOOL YEARS					X													X				X															
7 S OPPENHEIMER FUNDS 529 - 12-14 YEARS					X													X				X															
8 S ANN COONS CHAR REM TRUST - SEE ATTACHED					X												X									X											
9 CHRIS COONS CHAR REM TRUST - SEE ATTACHED						X											X										X										
10 DC WSFS BANK (SAVINGS ACC)		X														X						X															
EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.																																					
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.																																					

Reporting Individual's Name CHRISTOPHER A. COONS		<input type="checkbox"/> Amendment		PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES															Page Number 2													
BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, <i>CONTENTS OF REPORTS Part B of Instructions</i>) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income																				
												Type of Income					Amount of Income															
												None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Example: S, IBM Corp. (stock) or J, (S) Keystone Fund													X					Example		X												Example
																X		Example		X												Example
1	DC GRANDMA SALLY'S EXPAND YOUR HORIZON TRUST FOR JOHN CHRISTOPHER COONS - SEE ATTACHED		X										X							X												
2	DC GRANDMA SALLY'S EXPAND YOUR HORIZON TRUST FOR MARGARET CATHERINE COONS - SEE ATTACHED		X										X							X												
3	DC GRANDMA SALLY'S EXPAND YOUR HORIZON TRUST FOR MICHAEL ANDREW COONS - SEE ATTACHED		X										X							X												
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

[Click...to create an additional page for this part.](#)

Reporting Individual's Name CHRISTOPHER A. COONS		<input type="checkbox"/> Amendment		PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES															Page Number 3													
BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income																				
												Type of Income					Amount of Income															
												None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Example: S, JP Computer, Software Design, Wash DC or J Undeveloped land, Dubuque, Iowa													X					Example		X											Example	
												X						Example	X												Example	
1	W.L GORE ASSOCIATES, INC., Manufacturing, Newark DE												X							X												
2	S W.L GORE ASSOCIATES, INC., Manufacturing, Newark DE												X		X					D						CG						
3	DC THE IRREVOCABLE TRUST FBO GRANDCHILDREN OF ROBERT W. GORE - ROBERT GORFAM INC., NEWARK DE - SEE ATTACHED																															
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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Reporting Individual's Name

☐ Amendment

CHRISTOPHER A. COONS

PART IV. TRANSACTIONS

Page Number

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Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.						Transaction Type (x)		Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)											
Identification of Assets						Purchase	Sale		Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
Example: S, DC, or J		IBM Corp. (stock) NYSE				X			2/1/1X		X			E	X	A	M	P	L	E
		(DC) Microsoft (stock) NASDAQ/OTC					X		1/27/1X				X	E	X	A	M	P	L	E
1	S	W.L GORE ASSOCIATES, INC., (STOCK)					X		11/15/2012				X							
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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Reporting Individual's Name CHRISTOPHER A. COONS		<input type="checkbox"/> Amendment		PART VII. LIABILITIES										Page Number 5								
Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions), to any one creditor at any time during the reporting period. Exclude: (1) mortgages on your personal residences unless rented (except for Senators); (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.				Date Incurred	Interest Rate	Discount Points Paid for Mortgage (Senators Only)	Term if Applicable	Category of Amount of Value (x)														
Name of Creditor		Address		Type of Liability																		
S, Example: DC, or J		First District Bank (J) John Jones		Wash., DC Wash., DC		Mortgage on undeveloped land Promissory Note		1992	13%	1 pt	25 yrs			X		E	X	A	M	P	L	E
2000		10%		n/a		On dmd						X	E	X	A	M	P	L	E			
1	J	PNC BANK	WILMINGTON, DE	MORTGAGE ON RESIDENCE	2011	5.14%	N/A	30 YRS							X							
2	J	WSFS BANK	WILMINGTON, DE	LINE OF CREDIT	2010	4.5%	N/A	ON DMD				X										
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4																						
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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Reporting Individual's Name CHRISTOPHER A. COONS		<input type="checkbox"/> Amendment		PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT			Page Number 6
<p>Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.</p> <p>Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.</p>							
	Name of Organization	Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)	
Example:	National Assn. of Rock Collectors	NY, NY EXAMPLE	Non-profit education	President	6 / 91	Present	
	Jones & Smith	Hometown, USA EXAMPLE	Law Firm	Partner	7 / 96	11 / 1X	
1	THE IRREVOCABLE TRUST FBO GRANDCHILDREN OF ROBERT W. GORE	WILMINGTON, DE	TRUST	CO-TRUSTEE	12/11	PRESENT	
2	MIDDLE RUN CHARITABLE FOUNDATION	WILMINGTON, DE	NOT-FOR-PROFIT CORPORATION	DIRECTOR	11/12	PRESENT	
3	GRANDMA SALLY'S EXPAND YOUR HORIZONS TRUST FOR JOHN CHRISTOPHER COONS	WILMINGTON, DE	IRREVOCABLE TRUST	TRUSTEE	10/12	PRESENT	
4	GRANDMA SALLY'S EXPAND YOUR HORIZONS TRUST FOR MARGARET CATHERINE COONS	WILMINGTON, DE	IRREVOCABLE TRUST	TRUSTEE	10/12	PRESENT	
5	GRANDMA SALLY'S EXPAND YOUR HORIZONS TRUST FOR MICHAEL ANDREW COONS	WILMINGTON, DE	IRREVOCABLE TRUST	TRUSTEE	10/12	PRESENT	
6							
7							
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12							
13							
Compensation in excess of \$200 from any position must be reported in Part II.							

Christopher A. Coons
IRA

As of 12/31/2012

Security Description	Ticker	Total Value
Fidelity Cash Reserves	FDRXX	8,737.42
Oppenheimer Commod Stra Tot	QRANX	4,973.30
Oppenheimer Gold & Spl Minerals	OGMINX	13,450.41
Oppenheimer Inter'l Small Company	OSMINX	11,268.52
Oppenheimer Internat'l Grow CI N	OIGNX	10,579.41
Oppenheimer Quest Int'l Value CI N	QIVNX	7,317.12
Oppenheimer Real Estate Fd CI N	ORENX	9,541.05
Oppenheimer Small & Mid Cap Value	QSCNX	16,661.05
Oppenheimer Value Fund Class N	CGRNX	35,200.28
Oppenheimer Internat'l Bond CI N	OIBNX	25,256.83
Sentinel Short Matur Govt Fd CI S	SSSGX	20,756.43
TOTAL		<u><u>163,741.82</u></u>

Ann M. Coons
IRA

As of 12/31/2012

Security Description	Ticker	Total Value
Fidelity Cash Reserves	FDRXX	371.46
Virtus Alpha Sector Rotation CI C	PWBCX	36,157.21
Virtus Foreign Opportunities CI C	JVICX	4,820.68
TOTAL		<u><u>41,349.35</u></u>

Christopher A. Coons
CRU

As of 12/31/2012

Security Description	Total Value
Httfd Ttl Return Bond	40,646.17
AlBem VPS Intl Val	36,209.07
Httfd Intl Opp HLS	21,055.53
Put VT Intl Equity	31,854.81
AlBem VPS Val	20,266.04
Fidelity VIP Equity-Incm	19,961.31
Fidelity VIP Grwth	9,761.85
Httfd Disciplined Eqty HLS	92,269.85
Invesco VK VI Comstock	13,497.42
Oppen Cap App VA	9,889.26
Oppen Main St SmMidCap	24,243.49
Put VT SmCap Val	20,786.52
TOTAL	340,441.32

Ann M. Coons
CRU

As of 12/31/2012

Security Description	Total Value
Httfd Ttl Return Bond	18,331.85
AlBem VPS Intl Val	16,330.67
Httfd Intl Opp HLS	9,496.27
Put VT Intl Equity	14,366.86
AlBem VPS Val	9,140.19
Fidelity VIP Equity-Incm	9,002.76
Fidelity VIP Grwth	4,402.70
Httfd Disciplined Eqty HLS	41,614.68
Httfd SmCap Grwth HLS	6,059.35
Invesco VK VI Comstock	6,087.48
Oppen Cap App VA	4,460.16
Oppen Main St SmMidCap	7,810.05
Put VT SmCap Val	6,249.96
TOTAL	153,352.98

Christopher A. Coons Dependent Child
Grandma Sally's Expand Your Horizons Trust
As of 12/31/2012

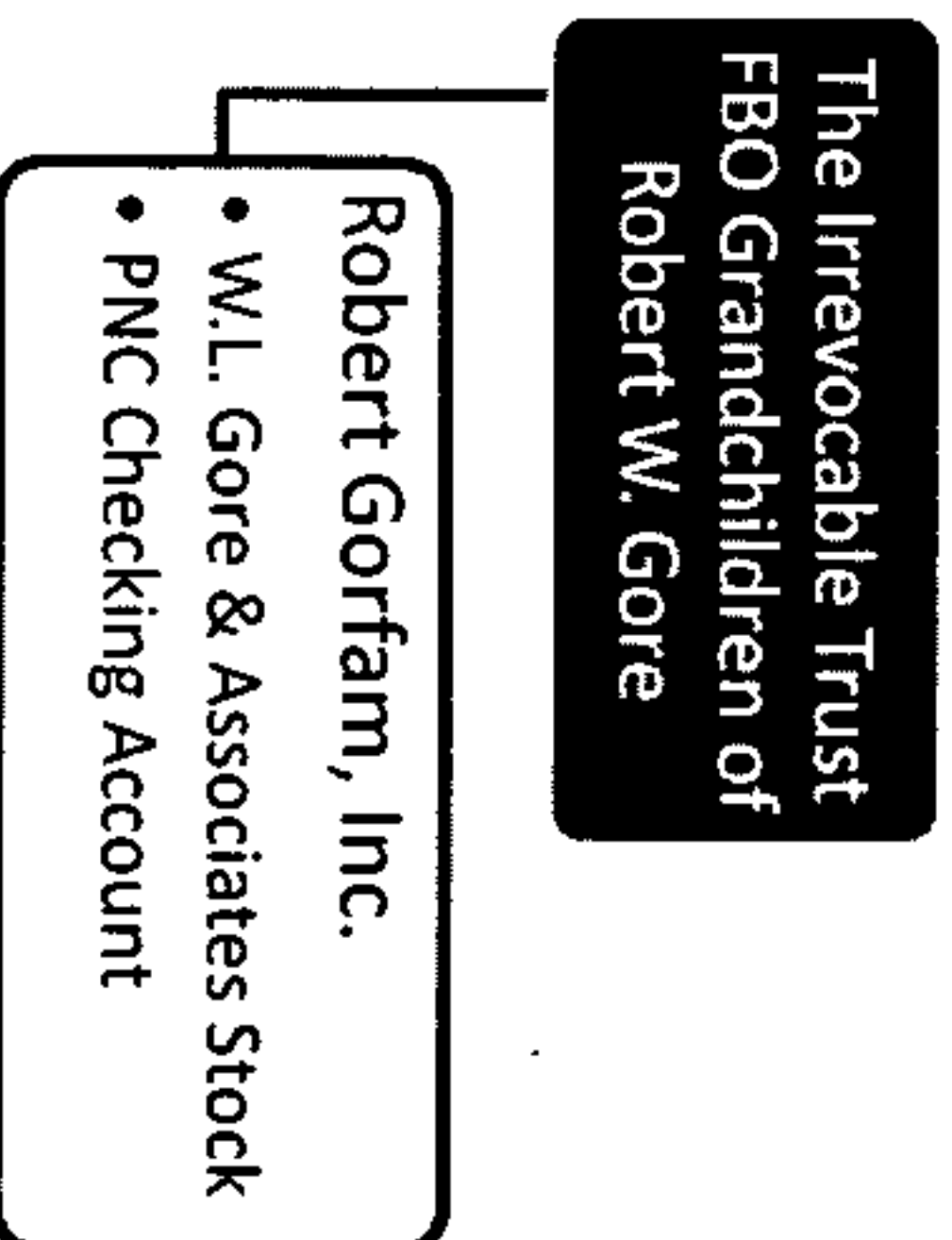
Security Description	Total Value
John Christopher	
Prime Fund Capital Reserves Money Market	<u>13,000.19</u>
TOTAL	<u><u>13,000.19</u></u>
Margaret Catherine	
Prime Fund Capital Reserves Money Market	<u>13,000.19</u>
TOTAL	<u><u>13,000.19</u></u>
Michael Andrew	
Prime Fund Capital Reserves Money Market	<u>13,000.19</u>
TOTAL	<u><u>13,000.19</u></u>

Christopher A. Coons

Part IIIB. Non-Publicly Traded Assets

The Irrevocable Trust FBO Grandchildren of Robert W. Gore (the "Trust")

The Senator's dependent children are among the beneficiaries of the Trust, and do not constitute a majority of the beneficiaries. The Senator is a co-Trustee of the Trust, and does not constitute a majority of the co-Trustees. The Trust is administered as a common fund, distributing all trust income equally among the beneficiaries. The principal of the Trust consists entirely of common stock of Robert Gorfam, Inc. (a holding company in Newark, Delaware). The Trust is the sole common stockholder of Robert Gorfam, Inc. There is no market for these shares. The source of the Trust's income is limited to dividends Robert Gorfam, Inc. receives and distributes. Due to the nature of the corporate structure and the number of trust beneficiaries, it is not possible to ascertain the value of Robert Gorfam, Inc.'s underlying assets attributable to the dependent children. The entirety of Robert Gorfam, Inc.'s underlying assets are shares of common stock of W.L. Gore & Associates, a manufacturing company in Newark, Delaware, which is not publicly traded and has a value over \$1 million, and a revolving checking account held by PNC Bank (Newark, Delaware) that has a valuation in the range of \$250,001 to \$500,000.



In 2012 the Senator's dependent children received income from the Trust attributable to dividends Robert Gorfam, Inc. received from its shares of W.L. Gore & Associates stock in the amount of \$50,000 to \$100,000. They received no income attributable to the checking account held by Robert Gorfam, Inc.