

# UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

<input type="checkbox"/> Amendment			
Last Name	First Name and Middle Initial	Annual Report	
BOOZMAN	JOHN N.	Calendar Year Covered by Report 2016	Senate Office / Agency in Which Employed 141 HART
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report	
Office of Senator BOOZMAN	202-224-4843	Termination Date (mm/dd/yy)	Prior Office / Agency in Which Employed

## AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes, complete and attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$375 from one source)? If Yes, complete and attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income totaling \$200 or more from any reportable source in the reporting period? Yes, complete and attach PART II.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? Yes, complete & attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? Yes, complete and attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$375 and not otherwise exempt)? Yes, complete and attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your <u>FIRST</u> Report: Did you receive compensation of more than \$5,000 from a single source in the <u>two</u> prior years? If Yes, complete and attach PART X.	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**Each question must be answered and the appropriate PART attached for each "YES" response.**

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BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																					
Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS, Part B of instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.		At the close of reporting period. If None, or less than \$1,001, check the first column.																															
												Type of Income							Amount of Income														
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
Example: S, DC, or J	IBM Corp. (stock)			X									X								Example	X											Example
	(S) Keystone Fund				X												X				Example	X											Example
J - Arvest Checking				X																													
J - Fed Credit Union Checking			X																														
J - Fed Credit Union Savings			X																														
Senate Credit Union Checking			X																														
Senate Credit Union Checking cash account			X																														
S - Region Bank (IRA)			X																														
J - Invesco short term treas			X													X						X											
J - ABG Muni Bond Fund				X												X	X						X										
J - ABG Equity Fund			X													X	X					X											
John Boozman IRA*																																	
* Invesco Short term Treas					X											X						X											

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<b>BLOCK C</b> <b>Type and Amount of Income</b>	
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Type of Income			Amount of Income	
None				
Dividends				
Rent				
Interest				
Capital Gains				
Excepted Investment Fund				
Excepted Trust				
Qualified Blind Trust				
Other (Specify Type)				
			None (or less than \$201)	
			\$201 - \$1,000	
			\$1,001 - \$2,500	
			\$2,501 - \$5,000	
			\$5,001 - \$15,000	
			\$15,001 - \$50,000	
			\$50,001 - \$100,000	
			\$100,001 - \$1,000,000	
			Over \$1,000,000***	
			\$1,000,001 - \$5,000,000	
			Over \$5,000,000	
				Actual Amount
				Required if "Other" Specified

[illegible][illegible][illegible]

**EXEMPTION TEST** (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

**Equity Investments**

Description	Valuation Of Assets	Income
<b>Discretionary</b>		
Brinker Intl Inc Com	\$1,001-15,000	None
Disney Com Stk	\$1,001-15,000	None
Ecolab Inc Com	\$1,001-15,000	None
Lowes Cos Inc Com	\$1,001-15,000	None
Manpowergroup Inc. Com	\$1,001-15,000	None
Target Corp Com	\$1,001-15,000	None
Viacom Inc Cl B	\$1,001-15,000	None
<b>Staples</b>		
Colgate Palmolive Co	\$1,001-15,000	None
CVS Caremark Corp	\$1,001-15,000	None
Mondelez Intl Inc Cl A	\$1,001-15,000	None
Procter & Gamble	\$1,001-15,000	None
Walgreens Boots Alliance Inc Com	\$1,001-15,000	None
<b>Energy</b>		
Baker Hughes Inc	\$1,001-15,000	None
Conocophillips	\$1,001-15,000	None
Helmreich & Payne Inc	\$1,001-15,000	None
Mass Corporation	\$1,001-15,000	None
Valero Energy Corp Com	\$1,001-15,000	None



**Equity Investments**

Description	Valuation Of Assets	Income
<b>Financials</b>		
Allstate Corp	\$1,001-15,000	None
Bank of Amer Corp Com	\$1,001-15,000	None
Capital One Financial Corp	\$1,001-15,000	None
Discover Financial Service	\$1,001-15,000	None
Invesco Ltd	\$1,001-15,000	None
J P Morgan Chase & Co Com	\$1,001-15,000	None
State Street Corp Com	\$1,001-15,000	None
Travelers Cos Inc The	\$1,001-15,000	None
US Bancorp	\$1,001-15,000	None
Wells Fargo & Co Com	\$1,001-15,000	None
<b>Health Care</b>		
Allergan PLC Shs	\$1,001-15,000	None
Biogen Idec Inc	\$1,001-15,000	None
Celgene Corp Com	\$1,001-15,000	None
Gilead Sciences Inc	\$1,001-15,000	None
Johnson & Johnson	\$1,001-15,000	None
Pfizer Inc	\$1,001-15,000	None
Quintiles Transnatio Hdls Inc Com	\$1,001-15,000	None

**Equity Investments**

Description	Valuation Of Assets	Income
<b>Industrials</b>		
General Dynamics Corp Com	\$1,001-15,000	None
Honeywell Int'l Inc Com	\$1,001-15,000	None
Union Pacific	\$1,001-15,000	None
United Technologies	\$1,001-15,000	None
<b>Information Technology</b>		
Accenture PLC Ireland Shs CL A	\$1,001-15,000	None
Apple Computer Inc	\$1,001-15,000	None
Cisco Systems Inc	\$1,001-15,000	None
Fiserv Inc	\$1,001-15,000	None
Hewlett Packard Enterprise Co Com	\$1,001-15,000	None
HP Inc Com	\$1,001-15,000	None
IBM	\$1,001-15,000	None
Intel Corp	\$1,001-15,000	None
Qualcomm Inc	\$1,001-15,000	None
<b>Materials</b>		
Dupont	\$1,001-15,000	None
Vondelbasell Industries N Shs	\$1,001-15,000	None

## Equity Investments

Description	Valuation Of Assets	Income
Utilities		
Dte Energy Co	\$1,001-15,000	None
Public Service Enterprise Group	\$1,001-15,000	None
Equity Funds & Etf's		
iShares Tr MSCI EAFE Index Fd MFC	\$100,001-250,000	None
iShares Tr MSCI Emerg Mkt MFC	\$15,001-50,000	None
iShares Tr Rssl 2000 Indx MFC	\$100,001-250,000	None
SPDR S & P Midcap 400 ETF Tr UTSER1	\$100,001-250,000	None

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## **Fixed Income Investments**

Description	Valuation Of Assets	Income
<b>Federal Government Securities</b>		
iShares Barclays 20 Plus Year Treasury Bond Fund	\$15,000-50,000	None
iShares Tr Index Barclays 7-10 Yr	\$15,000-50,000	None
iShares Tr Index Barclays 1-3 Yr	\$1,001-15,000	None
iShares Barclays Tips ETF	\$15,000-50,000	None
iShares Barclays Agency Bond	\$50,001-100,000	None
iShares Tr Barclays 10-20 Yr	\$15,000-50,000	None
<b>Corporate Bonds</b>		
iShares Tr Gs Corp BD Fd	\$100,001-250,000	None
iShares Barclays Mbs Bond Fd	\$100,001-250,000	None
iShares Cmbd Bond Fund	\$50,001-100,000	None
Vanguard Scottsdale Fds Sht Trm Corp	\$50,001-100,000	None

## **Real Estate And Other Assets**

Description	Valuation Of Assets	Income
Commercial		
Vanguard Index Fds REIT ETF	\$15,000-50,000	None

# Commercial Vanguard Index Fds REIT ETF



**BLOCK C**  
**Type and Amount of Income**

At the close of reporting period.  
If None, or less than \$1,001,  
check the first column.

None (or less than \$1,001)
\$1,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$250,000
\$250,001 - \$500,000
\$500,001 - \$1,000,000
Over \$1,000,000***
\$1,000,001 - \$5,000,000
\$5,000,001 - \$25,000,000
\$25,000,001 - \$50,000,000
Over \$50,000,000

Type of Income	
None	
Dividends	
Rent	
Interest	
Capital Gains	
Excepted Investment Fund	
Excepted Trust	
Qualified Blind Trust	
Other (Specify Type)	

Amount of Income	
None (or less than \$201)	Actual Amount
\$201 - \$1,000	Required if "Other" Specified
\$1,001 - \$2,500	
\$2,501 - \$5,000	
\$5,001 - \$15,000	
\$15,001 - \$50,000	
\$50,001 - \$100,000	
\$100,001 - \$1,000,000	
Over \$1,000,000***	
\$1,000,001 - \$5,000,000	
Over \$5,000,000	

Northwestern Mutual  
Whole Life

**OPTION TEST** (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

## \*Attachment Subdivision Lots

Name of Asset	Valuation of Assets	Type of Income	Amount of Income
Subdivision Lot G	15,001-50,000	None	None
Subdivision Lot H	15,001-50,000	None	None
Subdivision Lot I	15,001-50,000	None	None
Subdivision Lot M	15,001-50,000	None	None
Subdivision Lot N	15,001-50,000	None	None
Subdivision Lot O	15,001-50,000	None	None
Subdivision Lot P	15,001-50,000	None	None
Subdivision Lot R	15,001-50,000	None	None
Subdivision Lot T	15,001-50,000	None	None
Subdivision Lot U	15,001-50,000	None	None
Subdivision Lot V	15,001-50,000	None	None
Subdivision Lot W	15,001-50,000	None	None
Subdivision Lot X	15,001-50,000	None	None
Subdivision Lot Y	15,001-50,000	None	None
Subdivision Lot Z	15,001-50,000	None	None
Subdivision Lot AA	15,001-50,000	None	None
Subdivision Lot BB	15,001-50,000	None	None
Subdivision Lot CC	15,001-50,000	None	None
Subdivision Lot DD	15,001-50,000	None	None
Subdivision Lot EE	15,001-50,000	None	None
Subdivision Lot HH	15,001-50,000	None	None
Subdivision Lot II	15,001-50,000	None	None

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## PART IV. TRANSACTIONS

port any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

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**Sales**

Description	Date	Amount of Transaction
Allergan PLC Shs	3/10/16	\$1,001-15,000
American Express	7/21/16	\$1,001-15,000
Becton Dickinson & co Com	9/12/16	\$1,001-15,000
Biogen Idec Inc	7/28/16	\$1,001-15,000
iShares Barclays Agency Bond	1/28/16	\$1,001-15,000
iShares Barclays Mbs Bond Fd	1/28/16	\$1,001-15,000
iShares Barclays Tips ETF	1/28/16	\$1,001-15,000
iShares Barclays 20 Plus Year Treasury Bond Fund	1/19/16 12/2/16	\$15,001-50,000 \$1,001-15,000
iShares Cmba Bond Fund	4/7/16	\$1,001-15,000
iShares Tr Barclys 10-20 Yr	10/3/16	\$1,001-15,000
iShares Tr Gs Corp Bd Fd	1/28/16 5/26/16 12/2/16	\$15,001-50,000 \$1,001-15,000 \$1,001-15,000
iShares Tr Index Barclays 1-3 Yr	1/28/16 5/26/16 9/15/16 10/19/16 12/2/16	\$1,001-15,000 \$1,001-15,000 \$1,001-15,000 \$1,001-15,000 \$1,001-15,000
iShares Tr MSCI EAFE Index Fd MFC	4/7/16	\$15,001-50,000
iShares Tr S&P 500 GRW	4/7/16	\$15,001-50,000
iShares National Oilwell Varco Com	12/28/16	\$1,001-15,000
iShares Oracle	4/7/16	\$1,001-15,000
iShares PPL Corp Com	3/10/16	\$1,001-15,000
Seagate Technology PLC Shs	5/16/16	\$1,001-15,000
SPDR S & P 500 ETF Trust	4/7/16	\$50,001-100,000

## Sales

Description	Date	Amount of Transaction
Stanley Black & Decker Inc	6/14/16	\$1,001-15,000
Tidewater	5/26/16	\$1,001-15,000
TJX Companies	4/14/16	\$1,001-15,000
Vanguard Index Fds REIT ETF	4/7/16	\$1,001-15,000
Vanguard Scottsdale Fds Sht Trm Corp	1/28/16	\$1,001-15,000
	5/26/16	\$15,001-50,000



**Purchases**

Description	Date	Amount of Transaction
Accenture PLC Ireland Shs Cl A	4/7/16	\$1,001-15,000
Apple Computer Inc	4/7/16	\$1,001-15,000
Baker Hughes Inc	12/28/16	\$1,001-15,000
Bank of Amer Corp Com	10/28/16	\$1,001-15,000
Becton Dickinson & Co Com	4/7/16	\$1,001-15,000
Biogen Idec Inc	4/7/16	\$1,001-15,000
Celgene Corp Com	7/28/16	\$1,001-15,000
Colgate Palmolive Co	4/7/16	\$1,001-15,000
CVS Caremark Corp	4/7/16	\$1,001-15,000
Discover Financial Services	4/7/16	\$1,001-15,000
Disney Com Stk	4/7/16	\$1,001-15,000
Fiserv Inc	4/7/16	\$1,001-15,000
General Dynamics Corp Com	4/7/16	\$1,001-15,000
Gilead Sciences Inc	4/7/16	\$1,001-15,000
Honeywell Intl Inc Com	9/12/16	\$1,001-15,000
iShares Barclays Agency Bond	4/13/16	\$1,001-15,000
iShares Barclays Mbs Bond Fd	5/26/16	\$1,001-15,000
iShares Barclays Mbs Bond Fd	4/7/16	\$1,001-15,000
iShares Barclays Mbs Bond Fd	4/13/16	\$1,001-15,000
iShares Barclays Mbs Bond Fd	5/26/16	\$1,001-15,000
iShares Barclays Tips ETF	4/7/16	\$1,001-15,000
iShares Barclays Tips ETF	4/13/16	\$1,001-15,000
iShares Barclays 20 Plus Year Treasury Bond Fund	4/13/16	\$1,001-15,000
iShares Barclays 20 Plus Year Treasury Bond Fund	10/19/16	\$1,001-15,000
iShares Cmba Bond Fund	4/13/16	\$1,001-15,000



**Purchases**

Description	Date	Amount of Transaction
iShares Tr Barclays 10-20 Yr	5/26/16 9/15/16 12/2/16	\$1,001-15,000 \$1,001-15,000 \$15,001-50,000
iShares Tr Gs Corp Bd Fd	4/7/16 4/13/16	\$1,001-15,000 \$1,001-15,000
iShares Tr Index Barclays 1-3 Yr	4/7/16 4/13/16 10/3/16	\$1,001-15,000 \$1,001-15,000 \$1,001-15,000
iShares Tr Index Barclays 7-10 Yr	12/2/16	\$1,001-15,000
iShares Tr MSCI EAFE Index Fd MFC	4/13/16	\$1,001-15,000
iShares Tr Rssll 2000 Indx MFC	4/7/16 4/13/16	\$1,001-15,000 \$1,001-15,000
Johnson & Johnson	4/7/16	\$1,001-15,000
Lowes Cos Inc Com	4/7/16	\$1,001-15,000
Manpowergroup Inc Com	6/14/16	\$1,001-15,000
Qualcomm Inc	7/21/16	\$1,001-15,000
Quintiles Transnatio Hdqgs Inc Com	4/7/16	\$1,001-15,000
SPDR S & P Midcap 400 ETF Tr UTSER1	4/7/16 4/13/16	\$1,001-15,000 \$1,001-15,000
Target Corp Com	4/7/16	\$1,001-15,000
Vanguard Scottsdale Fds Sht Trm Corp	4/7/16 4/13/16	\$1,001-15,000 \$1,001-15,000
Viacom Inc Cl B	4/7/16 4/13/16	\$1,001-15,000 \$1,001-15,000
Tidewater	4/14/16 4/15/16	\$1,001-15,000 \$1,001-15,000

## Purchases

Description	Date	Amount of Transaction
TJX Companies Inc	4/7/16	\$1,001-15,000
Union Pacific	4/7/16	\$1,001-15,000
United Technologies	4/7/16	\$1,001-15,000
Vanguard Index Fds REIT EFT	4/13/16	\$1,001-15,000

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Arvest Bank Mortgage was refinanced in 2016 with SunTrust Bank.

Arvest Bank Home Equity Loan was paid off on 11/18/2016.

