

JOHN BOOZMAN
ARKANSAS

320 HART SENATE OFFICE BUILDING
WASHINGTON, DC 20510
202-224-4843

COMMITTEES:

AGRICULTURE, NUTRITION AND FORESTRY

APPROPRIATIONS

ENVIRONMENT AND PUBLIC WORKS

VETERANS' AFFAIRS

United States Senate

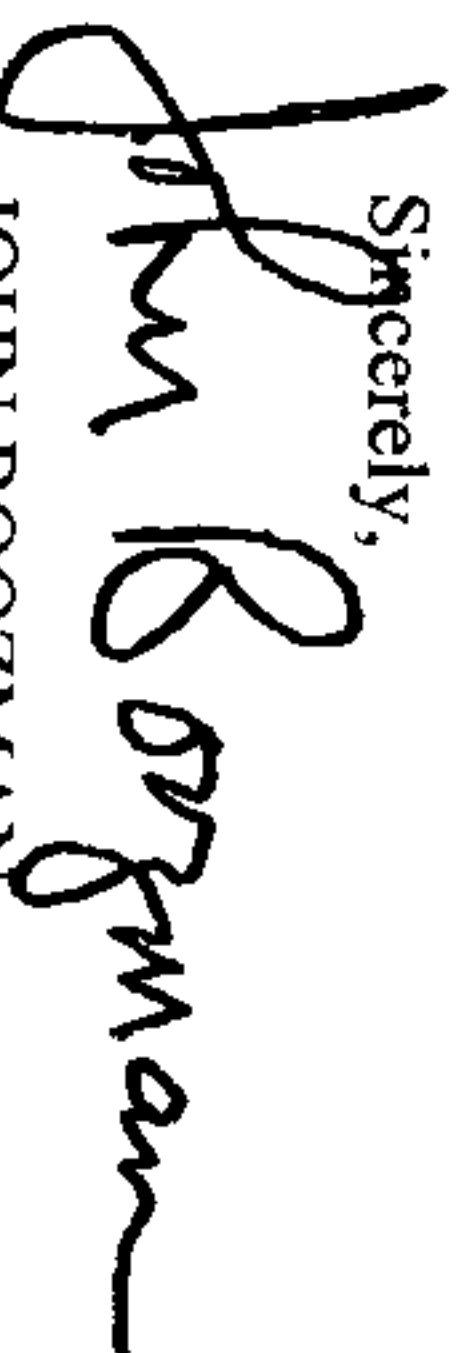
WASHINGTON, DC 20510

October 13, 2015

Dear Senate Committee on Ethics

Attached is the clarifying information to my financial disclosure report for 2013 resulting from inadvertently overlooked information.

Sincerely,



JOHN BOOZMAN
U.S. Senator

JB/so

Enclosure

RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS
15 OCT 13 AM 11 20

99

106 W. MAIN ST. 1120 GARRISON AVE. 300 S. CHURCH ST. 1401 W. CAPITOL AVE. 213 W. MONROE 1001 HWY. 62 E. 620 E. 22nd ST.
SUITE 104 SUITE B SUITE 400 PLAZA F SUITE N SUITE 11 SUITE 204
EL DORADO, AR 71730 FORT SMITH, AR 72901 JONESBORO, AR 72401 LITTLE ROCK, AR 72201 LOWELL, AR 72745 MOUNTAIN HOME, AR 72653 STUTTGART, AR 72160
870-863-4641 479-573-0189 870-268-6925 501-372-7153 479-725-0400 870-424-0129 870-672-6941

2013

00000000002651

Reporting Individual's Name

☒ Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

1/5

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS, Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
(2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
check the first column.

BLOCK C

Type and Amount of Income

Type of Income

Amount of Income

your dependent child, (See p.3, CONTENTS OF REPORTS, Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.		Amount of Income										Amount of Income																					
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
Example:	S, DC, or J	IBM Corp. (stock)										Example										Example											
	(S)	Keystone Fund										Example										Example											
1	MSCI Emerg MKT			X																	Example		X										Example
2	RSSIL 2000 Index			X																	Example		X										Example
3	SPDR Dow Jones Ind Avg ETF			X																	Example		X										Example
4	SPDR S+P Mid 400 ETF			X																	Example		X										Example
5	S+P 500				X																		X										
6	Barclay 3-7 yr Treas Bond Fund	X																				X											
7	TrG Corp Bond				X																		X										
8	Barclay Mbs Bond				X																		X										
9	Ishares Combs Bond Fund			X																			X										
10	Powershares Gbl ETF Hg Yld			X																			X										

RECEIVED
SECRETARIAT OF THE SENATE
PUBLIC RECORDS
15 OCT 13 AM 11 2

RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS
15 OCT 13 AM 11 2

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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☐

Reporting Individual's Name
Boozman

☒ Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number
2/5

BLOCK A
Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS, Part B of Instructions) for production of income or investment which:

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or

(2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B
Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C
Type and Amount of Income

Type of Income								Amount of Income												Actual Amount Required if "Other" Specified
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	

Example: S, DC, or J	S, IBM Corp. (stock)																				Example
	(S) Keystone Fund				X																Example
1	Vanguard Growth																				
2	Funds Sht-Term Corp																				
3	Barclay 20 Plus yr Ties																				
4	Index Barclays 1-3 yr																				
5	Barclay Agency Bond																				
6																					
7																					
8																					
9																					
10																					

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A
Identity of Publicly Traded Assets
And Unearned Income Sources

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BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
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BLOCK C
Type and Amount of Income

Type of Income

Amount of Income

		S, Example: DC, or J	IBM Corp. (stock)				X									X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

☐

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Identification of Assets

Example: DC, S, or J

IBM Corp. (stock) NYSE

(DC) Microsoft (stock) NASDAQ/OTC

Transaction
Type (x)

Purchase

Sale

Exchange

**Transaction
Date**
(Mo., Day, Yr.)

\$1,001 - \$15,000

\$15,001 - \$50,000

\$50,001 - \$100,000

\$100.001 - \$250.000

\$250.001 - \$500.000

\$500,001 - \$1,000,000

Over \$1 000 000***

\$1 000 001 - \$5 000 000

\$5,000.00	\$25,000.00
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\$26,000
\$27,000
\$28,000
\$29,000
\$30,000
\$31,000
\$32,000
\$33,000
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\$36,000
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\$93,000
\$94,000
\$95,000
\$96,000
\$97,000
\$98,000
\$99,000
\$100,000

\$20,000,000

1 EQUITY INVESTMENTS

2	* EAFE Index
---	--------------

3	* MSCI Emerg MKT
---	------------------

4 * RSSLL 2000 Index

5	* SPDR Dow Jones Indl Avg ETF
---	-------------------------------

6 * SPDR S&P Midcap 400 ETF

7	*	S+P	500
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8 FIXED INCOME INVESTMENTS

9	⁴ Barclay 3-7 year Treasury Bond Fund
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10 $\Delta T_r G$ Corp Bond

11	^A Barclay Mbs Bond
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12	^Δ Ishares Combs Bond Fund
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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2012

00000000002033

Reporting Individual's Name

☒ Amendment

PART IV. TRANSACTIONS

Page Number

5/5

Boozman

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

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				Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
Identification of Assets																		
Example: S, DC, or J	IBM Corp. (stock) NYSE			X			2/1/1X		X			E	X	A	M	P	L	E
	(DC) Microsoft (stock) NASDAQ/OTC				X		1/27/1X				X	E	X	A	M	P	L	E
1	Powershares Gbl EFT Hq yld Raf:			X			5/1/13		X									
2	Vanquard Scottsdale Funds Sht-TrmCorp			X			5/1/13		X									
3	Barclay 20 Plus year Treasury Bond			X			5/1/13	X										
4	Index Barclays 1-3 year			X			5/1/13	X										
5	Barclay Agency Bond			X			5/1/13			X								
6																		
7																		
8																		
9																		
0																		
1																		
2																		

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