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THEORY

August 28, 2015

15 AUG 28 PM 12:22

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Secretary of the Senate
Office of Public Records
232 Hart Senate Office Building
Washington, DC 20510

Re: Senator Mark Warner

Dear Madame Secretary:

We are writing on behalf of Senator Mark Warner. Enclosed please find Senator Warner's Periodic Disclosure of Financial Transactions ("PTR") for a transaction made in 2014. This transaction was identified during the process of preparing and reviewing Senator Warner's public financial disclosure report for calendar year 2014.

Please do not hesitate to call us should you have further questions. Thank you for your attention to this matter.

Very truly yours,

Wates/Kar 1995

Marc Erik Elias

Kate Sawyer Keane

Counsel to Senator Mark Warner

Enclosure

000000002535

This Report Should Be Filed With:

Secretary of the Senate
Office of Public Records
Hart Building, Suite 232
Washington, DC 20510

PERIODIC DISCLOSURE
OF FINANCIAL
TRANSACTIONS



SECRETARY OF THE SENATE
(Time/Date)

15 AUG 28 PM12: 23

Reporting Individual's Name

☒ Amendment

Senate Office / Agency in Which Employed

United States Senator

Mark R. Warner

Page Number

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Report any purchase, sale, or exchange by you, your spouse, or dependent child within 30 days of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction.

Identification of Assets

Example:

(S) Spouse
(DC) Dependent
(J) Joint

IBM Corp. (stock) NYSE

(DC) Microsoft (stock)

NASDAQ/OTC

Purchase

Sale

Exchange

Transaction
Date
(Mo., Day, Yr.)

Amount of Transaction (x)

\$1,001 - \$15,000

\$15,001 - \$50,000

\$50,001 - \$100,000

\$100,001 - \$250,000

\$250,001 - \$500,000

\$500,001 - \$1,000,000

Over \$1,000,000***

\$1,000,001 - \$5,000,000

\$5,000,001 - \$25,000,000

\$25,000,001 - \$50,000,000

Over \$50,000,000

1 Echo Bridge Realty Fund I, L.P

2 HCEB Legacy Heights

X

9/17/14

X

X

2/27/1X

X

X

E

X

A

M

P

L

E

X

2/1/1X

X

E

X

A

M

P

L

E