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Last Name		First Name and Middle Initia	41.41		Appual R	· · · · · · · · · · · · · · · · · · ·		···		
Last Hame		First Name and Middle made	<u>,ai</u>		Annual Re Calendar	eport Year Covered by Report	t: Senate Office / Agency in Whi	ish Employed		
Klobuchar		Amy J.			2011	Teal Obtoice by	United States Ser	, ,	. Senat	е
Senate Office Address (Number, Street, City		Senate Office Telephone N	Number (Inc	clude Area Co		ion Report				
302 Hart Senate Office Bu Washington, D.C. 20510	<u> </u>	202-224-3244	,	•		tion Date (mm/dd/yy):	Prior Office / Agency in Which	h Employed		
		CTIONS - ANSV	VER E	ACH O	F THESE (QUESTIONS A	ND ATTACH THE RE	LEVANT	PART	
		•	YES	NO				***	YES	NO
Did any individual or organization may you for a speech, appearance, or an all the second sec	article in the reporting Γ.I.	period?			reimburseme \$350 from or	ents for travel in the	dent child receive any reportate reporting period (i.e., worth market VI.			
Did you or your spouse have earned investment income of more than \$2 reporting period? If Yes, Complete and Attach PART	200 from any reportab 「II.	ble source in the			Did you, you (more than \$	· · · · · · · · · · · · · · · · · · ·	dent child have any reportable reporting period?	liability		
Did you, your spouse, or dependent more than \$1,000 at the end of the income of more than \$200 in the rep If Yes, Complete & Attach PART III/	e period, or receive und eporting period? IA and/or IIIB.	nearned or investment			current caler		sitions on or before the date of	filing in the		
Did you, your spouse, or dependent reportable asset worth more than \$1 If Yes, Complete and Attach PART	\$1,000 in the reporting IV.	g period?			entity?	any reportable agr	reement or arrangement with a	ın outside		
Did you, your spouse, or dependent reporting period (i.e., aggregating mexempt)? If Yes, Complete and Attach PART	more than \$350 and no	· —			\$5,000 from	r FIRST Report: Did a single source in the plete and Attach PA	d you receive compensation of the <u>two</u> prior years? ART X.	f more than		
							or each "YES" respor	_		
File this report and any am Senate, Washington, DC 20	mendments with 20510. \$200 Pena	the Secretary of alty for filing mor	the Ser	enate, Of n 30 days	ffice of Pub s after due	olic Records, R date.	oom 232, Hart Senate C	Office Buil	ding, U	.S.
This Financial Disclosure States by the Office of the Secretary of on Ethics. Any individual who known criminal sanctions. (See 5 U.S.)	ement is required by of the Senate to any knowingly and willfu	y the Ethics in Gover y requesting person fully falsifies, or who	ernment / upon wr knowing	Act of 19	978, as amen	ided. The statem will be reviewed by	by the Select Committee	Do Not Wri	J.S.	
Certification		Signature of Repo		vidual		3	Date (Month, Day, Year)	~	22	
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.							15/17	MAY 15	5=5	ָלְ קר בּ
	F	or Official Use Only - Do			This Line			呈		3
It is the opinion of the reviewer that the statements made in this form are in compliance with Title I of the		Signature of Revi	iewing Off	ficial	•	D	Date (Month, Day, Year)	Ω. ∴	7	
Ethics in Government Act.	1								7	

Reporting India	99 DA	Amendment
Amy Klo	buchar	

PART II. EARNED AND NON-INVESTMENT INCOME

Page Number

2

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

	Name of Income Source	Address (City, State)	Type of Income	Amount
xample:	JP Computers	Wash., DC EXAMPLE	Salary	\$15,000
xample.	MCI (Spouse)	Arlington, VA EXAMPLE	Salary	Over \$1,000
State	of Maryland (University of Baltimore) (spouse)	Baltimore, MD	Salary	Over \$1,000
Georg	etown University (spouse)	Washington, DC	Salary	Over \$1,000
	•			
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Amy Klobuchar	P/	٩R	T II	IIA.	.	PU	BL	.IC	LY	TF	RA	D٤	: U	AS	SE	=18	s A	١N	o U	NE	EARNI	ΕD	IN	CC	M	E S	SO	UR	CE	ΞS		Pag	ge Number
BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets At the close of reporting period.								_							•		Type an	ВІ	LOC	K C	;		-						<u> </u>		
Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3,		<u> </u>		f No	ne, c	or les	s th	_	1,001		<u> </u>	T -				Тур	e o	of In	con	ne					<u> </u>	Am	ou	nt o	of In	cor	ne		
CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000		Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000,***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amoun Require . if "Other" Specifie
S, IBM Corp. (stock) Example: DC,				х							!			Х							Example		Х										Example
or J (S) Keystone Fund					Х						•			_				X			Example	Х											Example
(J) United States Senate Federal Credit Union (savings/checking accounts)		Х							i				X									Х											
2 (DC) (S) United States Senate Federal Credit Union (Kids Club account and CD)		X							:					Х		х						х											
3 (S) Wells Fargo (checking account)	Х											 .				Х					-	х											
4 (J) TruStone Financial (IRA certificates and bank accounts)				Х										х		Х					 -	:		Х									
5 Rydex SGI Intermediate Bond - C (IRA)			х															х				х									-		·-
6 U.S. Bank (certificate)		Х	:										-					Х				х											
7 (S) Vanguard SmCap Growth Indx Inst (MD Supp. Retirement Plan - 401(k))			х															х				х											
8 (S) TIAA CREF - CREF Bond Market (GWU Supplemental Retirement Plan)			х									:	_					х				х										\dashv	
9 (S) TIAA CREF - T-C Lifecycle 2025- Prm (MD Univ. Sys. Retirement Plan)			х		·												· · · -	х			·	X							$\overline{}$				
Waddell & Reed Advisors Asset Strategy A (IRA Beneficiary)		Х												Х			-			\dashv			x						\dashv				

Reporting Individual's Name 000005355	3
Amy Klobuchar	

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number	
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4

BLOCK A	
Identity of Publicly Traded Asset	S
And Unearned Income Sources	

Report the complete name of each publicly traded asset held by your your shouse, or

BLOCK B Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column.

BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

traded asset held by you, your spouse, or your dependent child, (See p.3,	Type of income Amount of income																																
CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	1 - \$50	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	or less tha	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	,001 - \$	5,000,000	Actual Amount Required if "Other" Specified
S, IBM Corp. (stock)				X	\dashv				-"					Х			i			-	Example		Х						\dashv	\dashv			Example
Example: DC, or J (S) Keystone Fund					x					$\neg \dagger$							\neg	$\overline{\mathbf{x}}$	\neg	一	Example	х						1	\dashv		\dashv	一	Example
1 (S) Fidelity Adv Growth Opportunity (Kelly & Berens(K&B) 401(k) rollover)		X										·						х				Х											
2 (S) Fidelity Adv Balanced (K&B 401(k) rollover)			х															X	\exists			Х				1			┪				
(S) Fidelity Adv Overseas (K&B 401(k) rollover)		Х			1						·			:		· · · · · · · · · · · · · · · · · · ·		х		_		Х		_									
(S) Fidelity Adv Equity Income (K&B 401(k) rollover)			X															х				Х								\dashv			
(S) MN College Savings Plan (Managed Allocation Age 15-17) (allocation below)				Х			 			_			}					X				Х				_			\dashv		1		
6 Emerging Markets Equity Index Fund (1.25%)																		x															· ·
7 Short-Term Book Fund (18.00%)																		x		1													· :
8 Large-Cap Growth Index Fund (6.90%)																		X	\dashv	\dashv	· .						_		\dashv		_	_	!
9 Large-Cap Value Index Fund (6.90%)					1					7							\neg	X					\neg			1		\dashv					
10 Small-Cap Blend Index Fund (1.20%)																	$\neg \dagger$	X			·			\dashv			\exists		7				

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Amy Klobuchar

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources	Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that										item	n. This																					
Report the complete name of each publicly traded asset held by you, your spouse, or			11		eck t			-	•	•					ְ	Гур		Inc			received	or ac	Cide	u 10			<u>.</u>	it o					
your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.	None (or less than \$1,001)	1 - \$15,000	\$15,001 - \$50,000	_	8		\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Sij.	Other (Specify Type)	rless	\$201 - \$1,000		\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	S.	Ö,	00	Actual Amount Required if "Other" Specified
S, IBM Corp. (stock) Example: DC,				Χ										Х							Example		Х							:			Example
or J (S) Keystone Fund					X													Х			Example	Х			:					:			Example
International Equity Index Fund (6.25%)																		Х															
Real Estate Securities Fund (2.50%)																		x															
Bond Index Fund (33.75%)																		x														```	
Inflation-Linked Bond Fund (11.25%)										-	·						·	х							;					:			
Money Market Fund (12.00%)											· · · · · · ·							X															
(S) Northwestern Mutual Life Ins. life ins. policies (see specific funds below)								-																	:	:							
7 High Yield Bond (MSA)		х																х				×	•							-			
Index 500 Stock (MSA)		X				- "	-											Х			<u>-</u>	х											·
International Equity (MSA/Franklin Templeton)	1	x																Х				Х							:				
0 Mid Cap Growth Stock (MSA)	1	x																Х	\neg	\Box		×											········

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Amy Klobuchar

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

BLOCK A
<b>Identity of Publicly Traded Assets</b>
And Unearned Income Sources

Report the complete name of each publicly traded asset held your dependent CONTENTS OF Instructions) for p investment which

- (1) had a value close of the
- (2) generated of income durin Include on this P. identification of e fund, publicly trac excepted investm accounts, except trusts, and public retirement plan.

### **BLOCK B** Valuation of Assets

At the close of reporting period. If None, or less than \$1,001,

## **BLOCK C** Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

	eport the complete traine of each publicly		Check the first column.										Type of Income Amount of Income																				· · · · · · · · · · · · · · · · · · ·	
	aded asset held by you, your spouse, or our dependent child, (See p.3,										Type of Income													Am	our	it of	f Ind	com	ne					
CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period.  Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.		None (or less than \$1,001)	15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	ins	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)		\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,000	Actual Amount Required if "Other" Specified
	S. IBM Corp. (stock)				Х								┪		Х							Example		x	_									Example
E	or J (S) Keystone Fund					х						一							X	1		Example	X											Example
1_	Mid Cap Value (MSA/American Century)		Х																Х				Х			:						:		
2	Russell Multi-Style Equity		x		<u> </u>				:										X				Х											
3	Russell Non-US		х																X				X											
4	Select Bond (MSA)		х																X				Х											
5	Small Cap Growth Stock (MSA)		Х											:					х				Х											
6	Small Cap Value (MSA/T Rowe Price)		х																x		•		Х											
7	(S) Fidelity Adv Intermediate Bond (K&B /Faegre & Benson(F&B) 401(k) rollovers)					Х													X				Х											
8	(S) Fidelity Adv Equity Growth (K&B		х																Х				Х											
q	United States Senate Federal Credit Union (IRA certificate)		х												Х								Х											
_ 10	(S) United States Senate Federal Credit Union (IRA certificate)		х						-						Х								х											

Reporting Individual splane: 55 5 5 5 Amy Klobuchar	P	AR	T	IIA	. 6	<b>2</b> U	BL	ICI	_Y	TR	AI	- DE	D A	AS	SE	TS	- A	ND	ับ	NE	ARNE	D	IN	co	MI	E S	0	UR	CE	S		Page	Number 7
BLOCK A  Identity of Publicly Traded Assets And Unearned Income Sources  Report the complete name of each public traded asset held by you, your spouse, or the complete results as a second complete results.	cly		,	At the	luat	ion e of i or les	epor	Ass ting in \$1	perio	od.				(f "I			inc	lude	s inc	11)" is some	ype and s Checked received	l Ar	nou othe	r ent	of I ry is the	nee bene	ded fit of	in Bl	indiv	ridua	l. 	item	. This
your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.	ne (a)	#4 004 - #45 000	1019 1001	1 - \$100	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000,***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	   	Dividends		Interest	al Gains	Investment Fund	epted Trust	Blind Trust	Other (Specify Type)	(or less tha	\$201 - \$1,000	\$1,001 - \$2,500	1 - \$5,000	001 - \$15,000	001 - \$50,000	\$50,001 - \$100,000	,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	er \$5,000,000	Actual Amount Required if "Other" Specified
Example: DC, or J (S) Keystone Fund		-	-	X	X	_						-		X		_		X	 		Example Example	×	X										Example Example
(S) Vanguard SmCap Growth Indx I (MD Supp. Retirement Plan - 457(b)	nst	,																×				х											
2			-		+									<u> </u> 			<u> </u> 														:		· <del>-</del>
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EXEMPTION TEST (see instructions before marking *** This category applies only if the asset is/was held	box): If y indepen	ou oi denti	nitte y by	d any the sp	asse ouse	t bec	ause epen	it me dent	eets t child	the th	ree- ne as	part i	test i s/wa	for ex is eith	emp ner h	ition o	descr y the	ibed filer	in th or jo	e ins intly	tructions, p held, use t	lease he otl	e che her c	eck beatege	ox to ories	the of va	right. alue,	as a	ррго	priate	<b>)</b> .		

Reporting Interview	Bals Name & D I LAmendment									-			_					_				<u> </u>											Paç	ge Number
Amy Klobu	uchar	P/	AR'	T 111	IB.	N	101	<b>N-P</b>	'UE	3LI	CL'	Y 1	ſR/	ŹDΕ	ΞD	AS	SE	:TS	i Al	ND	10	NEARN	1EC	4i C	(C)	MC	E S	301	JR(	CE	S			8
Assets and	BLOCK A y of Non-Publicly Traded d Unearned Income Sources name, address (city, state and			At	the of	luati close ne, o neck i	ion e of r or les	repor	Ass rting an \$1	perio 1,001	od.		Type and Amount of Income																					
	of each interest held by you, e, or your dependent child (See				Cn	IECK	the i	iist c	ioiun	nn.						<u> </u>	Гур	e of	i Inc	con	10						Am	oui	nt o	f In	СОГ	ne		
p.3, CONTE Instructions) or investment business who (1) had a volume of (2) general income of the trade or leaderlying at the trade or leaderly in the trade or lea	ents of Reports Part B of for the production of income in a non-public trade or nich: value exceeding \$1,000 at the the reporting period; and/or ated over \$200 in "unearned" during the reporting period. above report for each asset, which is not incidental to business. Publicly traded by non-public entity may be	None (or less than \$1,001)	1 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	000	Actual Amount Required if "Other" Specified
S, Example: DC,	JP Computer, Software Design, Wash DC				x						}				Х							Example		Х										Example
or J	Undeveloped land, Dubuque, Iowa			$\square$		Х								X								Example	X											Example
	Rose K. Klobuchar (final on to AK) ((S) - personal rep.)	X															•					inheri- tence												\$8,675
2																																		
3																																		

Reporting Individual's Name	Amendment
Amy Klobuchar	

## PART IV. TRANSACTIONS

Page Number

9

									Am	oun	t of	Trar	nsac	tior	ı (x)		
		rchase, sale, or exchange by you, your spouse, or dependent CONTENTS OF REPORTS Part B of Instructions) during the		nsact ype (												<u>8</u>	
re ot In in	porting period her securitie clude transa volving prope etween you,	d of any real property, stocks, bonds, commodity futures, and when the amount of the transaction exceeded \$1,000. In the citions that resulted in a loss. Do not report a transaction entry used solely as your personal residence, or a transaction your spouse, or dependent child. Please clarify which two involved in any reportable exchange.	urchase	ale	Exchange	Transaction Date (Mo., Day, Yr.)	1,001 - \$15,000	15,001 - \$50,000	50,001 - \$100,000	100,001 - \$250,000	\$250,001 - \$500,000	500,001 - \$1,000,000	over \$1,000,000***	1,000,000 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	over \$50,000,000
		Identification of Assets	F	0,	111	2/1/17	₩	<del>97</del>	<del>07</del>	₩.	E	₩		M	P	77	
ı	Example: DC,	IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDAQ/OTC		×		2/1/1X 1/27/1X		^		Х	E	$\frac{1}{x}$	A	M	Р	L	E
1	or J IRA Certificat	e (United States Senate Federal Credit Union)	Х			6/23/11	Х				-						
2	(S) IRA Certif	icate (United States Senate Federal Credit Union)	X			6/6/11	Х										
၁	listed elsewh		Х			3/17/11	Х										
4	(S) Minnesota listed elsewh	a College Savings Plan (Managed Allocation Age 15-17) (allocation ere on form) (misc. contributions from 1/18/11 - 12/27/11)	Х			(see attachment - Appendix A)	Х										
5	(S) Selected	Bond (MSA) (sub-account of Northwestern Mutual Life ins. policies)	Х			(monthly)	Х										
6	(S) High Yield	Bond (MSA) (sub-account of Northwestern Mutual Life ins. policies)	Х			(monthly)	Х		_								
		SmCap Growth Index Inst MD Supplemental Retirement Plan in 2011 to 401(k) Plan	Х			(see attachment - Appendix B)		х									
٥	contributions	SmCap Growth Index Inst MD Supplemental Retirement Plan in 2011 to 457(b) Plan	Х			(see attachment - Appendix C)	Х										
9	(S) Univ. Sys	of MD Optional - TC Lfcycle 2025 - Rtmt (the plan administrator se funds to TC Lfcycle 2025 - Prm (see next line)			Х	5/6/11	Х										
10	(S) Univ. Sys substituted th	of MD Optional - TC Lfcycle 2025 - Prm (the plan administrator is fund for TC Lfcycle 2025 - Rtmt) (see prior line)			Х	5/6/11	х							<u></u>			
11	(S) Univ. Sys	of MD Optional - TC Lfcycle 2025 - Prm	х			(see attachment - Appendix D)	Х										
12																	
				_							_						

# UNITED STATES SENATE FINANCIA SUPPLEMENTAL ATTACHMENTS TO THE REPORT FOR ANNUAL AND TERMINA OF U.S. SENATOR AMY **KLOBUCHAR** TION REPORTS L DISCLOSURE REPORT

# APPENDIX A

Dates of individual contributions to (S) Minnesota College Savings Plan (Managed (but which, collectively, fall within the \$1,001-\$15,000 range): Allocation Age 15-17) (Beneficiary: DC) in 2011 that did not exceed \$1,000 individually

2/22/11 8/15/11 8/22/11 3/24/11 2/15/11 5/16/11 5/20/11 4/20/11 9/20/11 7/15/11 7/20/11 6/20/11 6/15/11 5/3/11 1/20/11 12/15/11 12/20/11 11/15/11 10/20/11 10/14/11

# APPENDIX B

Dates of individual contributions to (S) Vanguard SmCap Growth Index Inst. – MD Supplemental Retirement Plan (401(k) Plan) in 2011 that fall within the \$1,001-\$15,000 range individually (and which, collectively, fall within the \$15,001-\$50,000 range):

1/12/11 1/26/11 2/9/11 2/23/11

# APPENDIX C

Supplemental Retirement Plan (457(b) Plan) in 2011 that do not exceed \$1,000 individually (but which, collectively, fall within the \$1,001-\$15,000 range): Dates of individual contributions to (S) Vanguard SmCap Growth Index Inst. --MD

3/23/11 4/6/11 4/20/11 5/4/11 5/18/11 6/1/11 6/15/11 7/13/1

3/9/11

# APPENDIX D

the \$1,001-\$15,000 range): Dates of individual contributions to (S) Univ. Sys. of MD Optional – TC Lfcycle 2025 Prm in 2011 that do not exceed \$1,000 individually (but which, collectively, fall within

9/14/11 9/28/11 10/12/11 10/26/11 11/9/11 11/22/11 12/7/11 12/7/11