UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

Amendment						
Last Name	First Name and Middle Initial	<u>.1</u>				
				Calendar Year Covered by Report	Senate Office / Agency in Which Employed	
Brown	Sherrod C.			2012	Office of Senator Sherrod	l Brown
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Nu	ımber (Incl	ude Area Cor		•	
				Termination Date (mm/dd/yy)	Prior Office / Agency in Which Employed	
SH-713 Washington DC 20510	202 224-4303		-			
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AFTER READING THE INSTRUCT	TIONS - ANSWI	ER EA	(CH O	F THESE QUESTIONS AN	ND ATTACH THE RELE	VANT PART
		YES	NO			YES NO
Did any individual or organization make a donation to				Did you, your spouse, or dependent of	child receive any reportable travel o	
paying you for a speech, appearance, or article in the	-			reimbursements for travel in the repo	• •	
If Yes, complete and attach PART I.	,			\$350 from one source)? If Yes, complete and attach PART VI.	l.	
Did you or your spouse have earned income (e.g., sala	aries or fees) or non-			,	•••	<u>K</u> 7
investment income of more than \$200 from any reporta				Did you, your spouse, or dependent of (more than \$10,000) during the report	· · · · · · · · · · · · · · · · ·	
reporting period? If Yes, complete and attach PART II.		$\angle \Delta$]	If Yes, complete and attach PART VI		
Did you, your spouse, or dependent child hold any rep	ortable asset worth			Did way bald any ranadable positions	before the data of filing in the	- K
more than \$1,000 at the end of the period, or receive u	unearned or			Did you hold any reportable positions current calendar year?	3 Off of before the date of filling in the	
investment income of more than \$200 in the reporting If Yes, complete & attach PART IIIA and/or IIIB.	репоа?			If Yes, complete and attach PART VI	111_	
· · · · · · · · · · · · · · · · · · ·	all or evolunge any	$\overline{}$		Do you have any reportable agreeme	ant or arrangement with an outside	<u> </u>
Did you, your spouse, or dependent child purchase, se reportable asset worth more than \$1,000 in the reporting	-			Do you have any reportable agreeme entity?	ant or arrangement with an outside	
If Yes, complete and attach PART IV.	•			If Yes, complete and attach PART IX.	•	
Did you, your spouse, or dependent child receive any	reportable gift in the			If this is your EIDST Deport: Did you		
reporting period (i.e., aggregating more than \$350 and		.		If this is your FIRST Report: Did you \$5,000 from a single source in the two	receive compensation of more th <u>at vo</u> prior years?	
exempt)? If Yes, complete and attach PART V.				\$5,000 from a single source in the two		
			~ ~ ~ ~			
Each question must b	e answered an	a tne	appro	priate PART attached for		***
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Reporting Individual & Mame	6	1	Z Amendmen
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Brown, Sherrod C.

PART II. EARNED AND NON-INVESTMENT INCOME

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Page Number

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Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS, Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

	Name of Inc	ome Source	Addr	ess (City, State)	Type of Income	Amount
Ex	xample: JP Computers MCI (Spouse)		Wash., DC Arlington, VA	EXAMPLE EXAMPLE	Salary Salary	\$15,000 Over \$1,000
1	Creator's Syndicate (spouse)		Los Angeles, CA		writing fees	over \$1000
2	Parade Magazine (spouse)		New York, NY		writing fees	over \$1000
3	Connecticut Forum (spouse) 1	0/12/12	Hartford, CT		speaking fee	\$5969
4	Ayers Lecture, Jacksonville Sta	ate University (spouse) 3/9/12	Jacksonville , AL		speaking fee	\$10,000
5	Chicago Tribune (spouse) 10/1	12/12	Chicago, IL		writing fee	\$400
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E	Brown, Sherrod C.	Р	AR —	T	IA.	. F	PU	BL	ICI	LY	TF	RAI	DE	D.	AS	SE	:TS	S A	NE) U	NE	ARNE	ĒD	IN	CC	M	E S	O	JR	CE	:S			3	
tr	BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources Report the complete name of each public raded asset held by you, your spouse, or	-			the	luat close ne, o		of a epor s tha	Ass ting ın \$1	perio	od.					-	Гур	e o	f Inc	con		ype and		.ΟC no ι		of I				f In	cor	ne			
Ir ic fu e	contents of REPORTS, Part B of astructions) for production of income or exertine which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on PART IIIA a complete dentification of each public bond, mutual and, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and ublicly traded asset of a retirement plan.	one for less than \$1,001	1 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000		Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actua Amou Requir if "Othe Specifi	ını re: er"
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	Muhlenkamp Fund (mutual fund)		+	T _x										ļ					x			· <u> </u>		\dashv		×									
3	Ohio Public Employees Retirement System (pension)		\dagger	-		х].							<u> </u>					x				х	\dashv											
	(s) Plain Dealer Credit Union (checking)	x														х						x												_
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6	(j) Huntington National Bank (checking))		х													х						x												_
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BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources Report the name, address (city, state and			At	Valuathe class	latio	of rep less t	f As	g per 61,00	riod.										1	Type and		LOC			ncc	me						
description) of each interest held by you,	, L													7	Гур	e o	fin	con	ne						Am	oui	nt o	f In	con	ne		
your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS, Part B of			<u> </u>										Τ																			· · · · ·
Instructions) for the production of income					- 1]																			ŀ	
or investment in a non-public trade or												l																			1	
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assets held by non-public entity may be	None	1,00,1	\$15,0	\$50'(일	\$250	over Over	Į,	\$5,00	\$25,0	Over	None None	Divid	Rent	ntere	Capital	Exce	Excepte	Qualifi		None	\$201	1,00	2,50	5,00	ις,	\$50,0	001	ver	<u>,</u>	Ver	
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*** This category applies only if the asset is/was held inde	pender	ntly by	y the :	spous	e or o	leper	ident_i	child:	Jf th	e as	<u>set</u> is	/was	eithe	r hel	d'by	the f	îler o	r join	itly he	eld, use the	othe	er cat	egor	ies o	f vali	ie, a	ș app	ropri	ate.	and the second		<u> </u>

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Reporting Indivals Name	6	2 Amendment
Brown, Sherrod C.		

Name of Creditor

(J)

First Federal S&L Assoc. of

Example: DC,

Lakewood

First District Bank

John Jones

PART VII. LIABILITIES

Page Number

Category of Amount of Value (x)

5

Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) mortgages on your personal residences unless rented (except for Senators); (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

Wash., DC

Wash., DC

Lakewood, OH

Address

			l _			vau	<u> </u>	y	<u> </u>	1100	1111	OI A	alu	e (x	
	Date Incurred	Interest Rate	Discount Points Paid for Mortgage (Senators Only)	Term if Applicable	\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
•	1992	13%	1 pt	25 yrs		<u>.</u> .	X		E	X	Α	M	P	L	Ε
	2000	10%	n/a	On dmd				X	E	X	Α	М	P	L	Ε
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Type of Liability

Mortgage on undeveloped land

Promissory Note

mortgage on home

Reporting Individual's Name 6	2 Ameriament
Brown, Sherrod C.	

PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Page Number

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Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

	Na	ame of Organization	Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Fv	ample:	National Assn. of Rock Collectors	NY,NY EXAMPLE	Non-profit education	President	6/91	Present
Ĺ	аттріс.	Jones & Smith	Hometown, USA EXAMPLE	Law Firm	Partner	7/96	11 / 1X
1	Gallau	det University	Washington, DC	higher education institution	board member	6/08	present
2	Emily (C. Brown trust	Mansfield, OH	trust	trustee	2/09	3/12
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Compensation in excess of \$200 from any position must be reported in Part II.

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Reporting Individuals Name 6 2 Amendment	
Brown, Sherrod C.	

PART IX. AGREEMENTS OR ARRANGEMENTS

Page Number

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Report your agreements or arrangements for future employment (including agreements with a publisher for writing a book or sale of other intellectual property), leaves of absence, continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. See Instructions regarding the reporting of negotiations for any of these arrangements or benefits.

		Status and Terms of any Agreement or Arrangement	Parties	Date
Exa	mple:	Pursuant to partnership agreement, will receive lump sum payment of capital account and partnership share calculated on services performed through 11/0X and retained pension benefits (diversified, independently managed, fully funded, defined contribution plan)	Jones & Smith, Hometown, USA EXAMPLE	1/94
	mpio.	Employment agreement with XYZ Co. to become Vice President of Government Relations. Terms of agreement include salary between \$50,001-\$100,000, signing bonus between \$2,501-\$5,000 and stock options	XYZ Co., Bethesda, MD EXAMPLE	1 / 1X
		greement with New Press to donate royalties from Myths of Free Trade to two charities, and Jobs with Justice and Results	New Press, New York, NY	10/00
		greement with Kent State University Press to receive 10% of net income from sales of ess from the Inside	Kent State University Press, Kent, OH	12/97
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