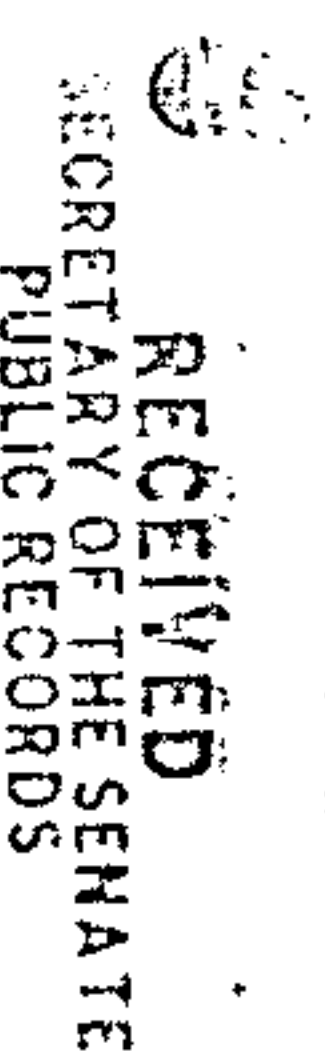


Angus S. King, Jr.



Candidate for United States Senate, 2012

135 Maine Street

PO Box 368

Brunswick, ME 04011

August 13, 2012

Secretary of the Senate
Office of Public Records
232 Hart Senate Office Building
United States Senate
Washington, DC 20510

RE: Financial Disclosure Amendment

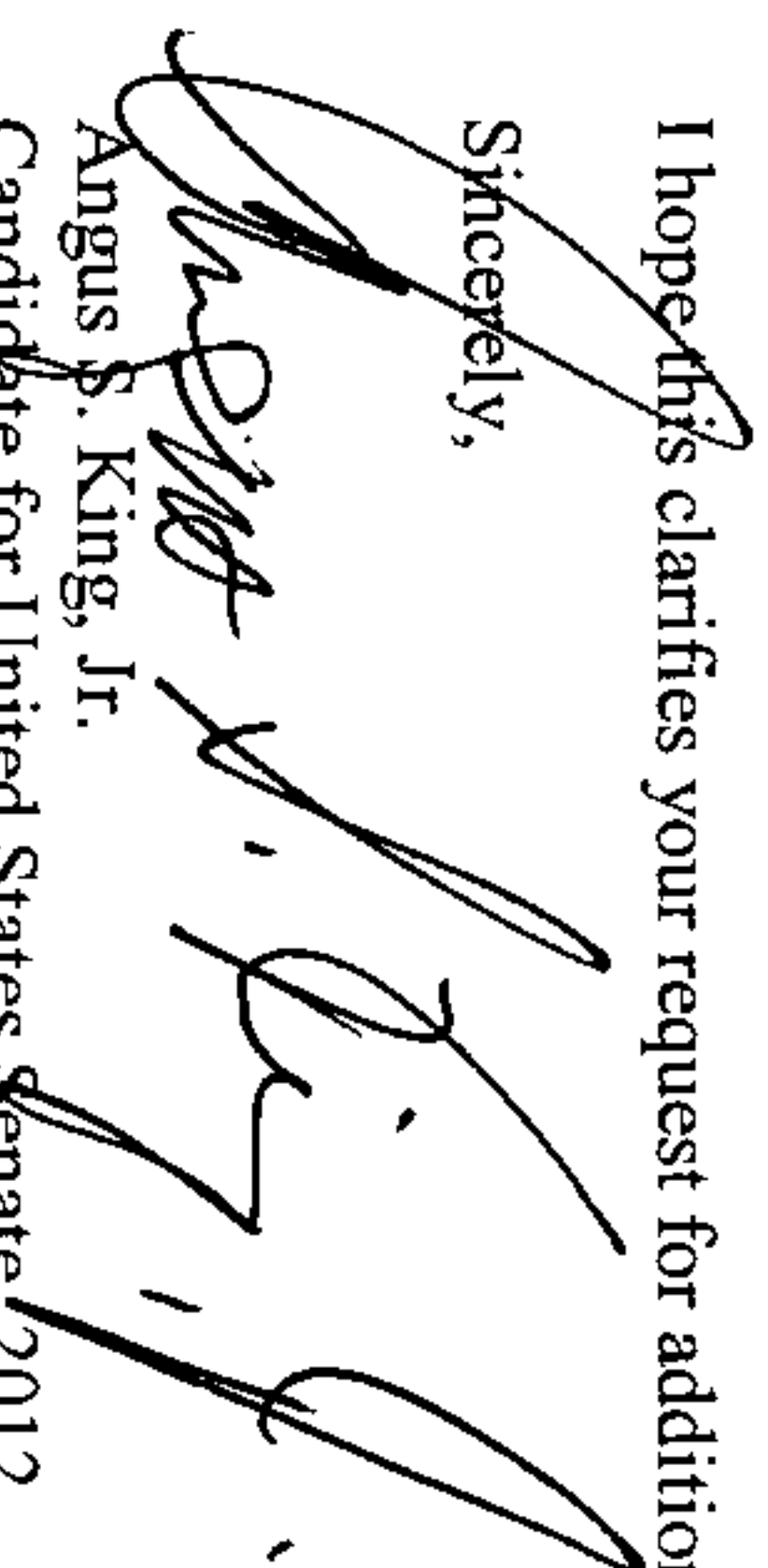
Dear Secretary of the Senate:

Pursuant to the July 13, 2012 from Mr. Sassaman requesting clarification of my investment in **Anania & Associates Investment Company LLC as listed on Part IIIB Page 1, Line Item 4**, I am submitting an amended report with the additional information. Enclosed is a Cover Page and an Amended Part IIIB Form providing the underlying assets of Anania & Associates Investment Company LLC (the Fund).

The fund manager, Peter Anania, was unable to provide a precise valuation breakdown of my share of the Fund's assets due to the lack of data on the value of the Fund's investment in these small, privately held companies as of the Report date. However, he did confirm that my ownership interest in the Fund totals only .46%, and that my proportional share of the value of the Fund's interests in each of those companies would be less than \$15,000. He also stressed the fact that I am invested only in Anania & Associates Investment Company LLC as a Non-voting Class B Member and do not actually own any portion of the underlying assets owned by Anania & Associates LLC. As you requested, we have listed the underlying Fund asset under Part IIIB Page 1, Line Item 4, with the proportion of the value of the Fund's investment represented by my membership interest in the Fund.

I hope this clarifies your request for additional information.

Sincerely,


Angus S. King, Jr.
Candidate for United States Senate, 2012

Enclosures: Amended Financial Disclosure Report dated 8/13/12; 7/13/12 Sassaman letter;
8/10/12 Extension Request

UNITED STATES SENATE FIN/ CLOSURE REPORT FOR NEW EMPLOYEE AND CANDIDATE REPORTS

☒ Amendment

Last Name	First Name and Middle Initial	New Employee Report	
KING, JR.	ANGUS S.	Date of Employment (mm/dd/yy):	Senate Office / Agency in Which Employed
Senate/Candidate Office Address (Number, Street, City, State, and ZIP)	Senate/Candidate Office Telephone No.	Candidate Report	
135 MAINE ST, BRUNSWICK, ME 04011	888-229-3420	Commencement of Candidacy (mm/dd/yy):	State in which you are a candidate
		03-13-2012	MAINE
			Candidate Reporting Period
			1/1/2011-5/14/2012


AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS

	YES	NO		YES	NO
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.	<input type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions during the reporting period? If Yes, Complete and Attach PART VIII.	<input type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period or receive unearned or investment income of more than \$200 in the reporting period? If Yes, Complete and Attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you have any reportable agreement or arrangement with an outside entity on the filing date? If Yes, Complete and Attach PART IX.	<input type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, Complete and Attach PART VII.	<input type="checkbox"/>	<input type="checkbox"/>	Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, Complete and Attach PART X.	<input type="checkbox"/>	<input type="checkbox"/>

Each question must be answered and the appropriate PART attached for each "YES" response.

File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. \$200 Penalty for filing more than 30 days after due date.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 4, § 104, and 18 U.S.C. § 1001.)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		8/13/12
For Official Use Only - Do Not Write Below This Line		
	Signature of Reviewing Official	Date (Month, Day, Year)
It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.		

FOR OFFICIAL USE ONLY
Do Not Write Below this Line

RECEIVED
 SECRETARY OF THE SENATE
 PUBLIC RECORDS
 12 AUG 17 AM 10:56

Reporting Individual's Name

☒ Amendment

ANGUS S. KING, JR

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

1

BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
(2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

BLOCK C
Type and Amount of Income

Type of Income

Amount of Income

		S, Example: DC, or J	JP Computer, Software Design, Wash DC				X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

☐

Angus S. King, Jr. 8/13/12

Reporting Individual's Name

☒ Amendment

ANGUS S. KING, JR

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

2

BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
(2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

Example: S, JP Computer, Software Design, Wash DC
or J Undeveloped land, Dubuque, Iowa

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
			X								
				X							

BLOCK C

Type and Amount of Income

Type of Income

Amount of Income

None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
	X							Example		X										Example
X								Example	X											Example

1		SUB-ASSET: BIOVATION REALTY LLC 55 INDUSTRIAL PARK ROAD, BOOTHBAY, ME	X																	
2		SUB-ASSET: INDUSTRIAL MICROWAVE OVEN SERVICES LLC, 33R MAIN ST #2, WINDHAM, ME	X																	
3		SUB-ASSET: LIGHTHOUSE IMAGING LLC 477 CONGRESS ST, PORTLAND, ME	X																	
4		SUB-ASSET: TRAK TEC LLC 33R MAIN STREET #3, WINDHAM, ME	X																	
5		SUB-ASSET: THE SCIENCE SOURCE LLC 299 ATLANTIC HIGHWAY, WALDOBORO, ME	X																	
6		SUB-ASSET: ANANIA EUROPE WILLY-BRANDT STRASSE 4, SANDHAUSEN, GERMANY	X																	
7																				
8																				
9																				
10																				

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held use the other categories of value, as appropriate.

☐

Angus S. King, Jr. 8/13/12

Mr. Angus King, Jr.:
July 13, 2012

The following Part(s) may require additional information on your disclosure report.
Please refer to the items under each Part for the specific information that is being requested.

PART IIIB. NON PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

() Please disclose the underlying assets of the [business entity] as individual line entries on Part IIIB, as appropriate, unless the item qualifies as an excepted investment fund (EIF). Please also fill out blocks B and C for each individual asset listed. PAGE 1, LINE ITEM 4.

Please return your clarifications or additional information to the Secretary of the Senate, Office of Public Records, 232 Hart Senate Office Building, Washington, D.C. 20510.

000000614819

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
 *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

From: Elizabeth Butler <ebutler@pierceatwood.com>
Subject: **Request for Extension/ Angus S. King, Jr.**
Date: August 10, 2012 4:03:04 PM EDT
To: "John Lewter@ethics.senate.gov" <john_lewter@ethics.senate.gov>
Cc: Sue Bell <sbell@angus2012.com>, Hilary Innis <innis.hm@myfairpoint.net>

Dear John:

Thank you for your kind assistance in our phone call today. I am assisting Angus King, State of Maine candidate for US. Senate, in his reply to the Senate Ethics Letter dated July 13, 2012.

This is to request an extension of time to respond to the Senate Ethics Committee letter of inquiry dated July 13, 2012. There was a delay in delivery of the letter to Angus King, leaving significantly less time for the candidate to obtain information and prepare a response. We will make every effort to finalize and submit the requested response on Monday August 13, 2012. But out of an abundance of caution, and with respect for the Senate rules, we are seeking an authorization for a one week extension of time to file on or before Monday August 20, 2012, in the event that we are unable to complete the submission on Monday as planned.

Thank you for your consideration.

Best regards,

Elizabeth Butler

Elizabeth R Butler, Esq.
PIERCE ATWOOD LLP

Merrill's Wharf
254 Commercial Street
Portland, ME 04101

PH 207.791.1226
FAX 207.791.1350

ebutler@pierceatwood.com

BIO •

This e-mail was sent from Pierce Atwood. It may contain information that is privileged and confidential. If you suspect that you were not intended to receive it please delete it and notify us as soon as possible.

In accordance with I.R.S. Circular 230 we advise you that any tax advice in this email is not intended or written to be used, and cannot be used, by any recipient for the avoidance of penalties under federal tax laws.

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WASHINGTON DC 20510-0200

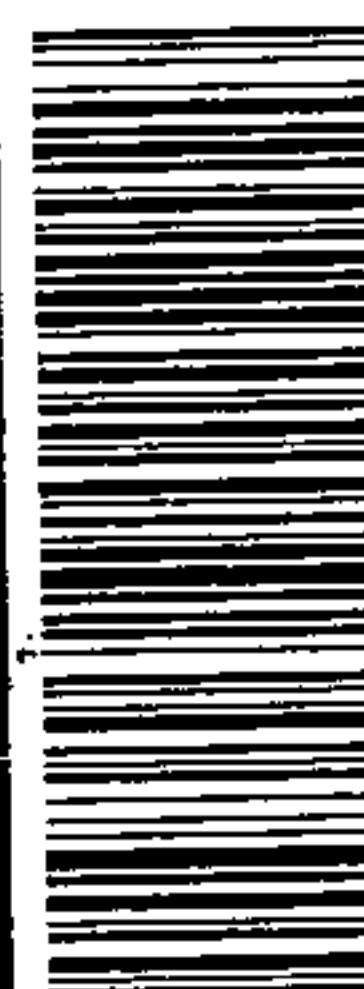


MD 201 9-48

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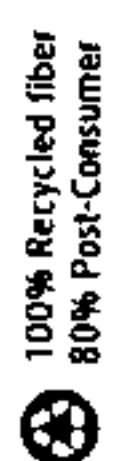
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BILLING: P/P



ON E2844 36.5V 07/2012



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