

# UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

☐ Amendment

Last Name	First Name and Middle Initial	Annual Report Calendar Year Covered by Report	Senate Office / Agency in Which Employed
INHOFE	JAMES M.	2012	U.S. SENATE
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report Termination Date (mm/dd/yy)	Prior Office / Agency in Which Employed
205 RUSSELL SENATE OFFICE BUILDING WASHINGTON, DC 20510	(202)224-4721		

## AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$350 from one source)? If Yes, complete and attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, complete and attach PART II.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, complete and attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If Yes, complete and attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, complete and attach PART X.	<input type="checkbox"/>	<input type="checkbox"/>

**Each question must be answered and the appropriate PART attached for each "YES" response.**

FOR OFFICIAL USE ONLY  
Do Not Write Below This Line

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SECRETARY OF THE SENATE

13 MAY 13  
SECRETARY

Reporting Individual's Name

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INHOFE, JAMES M.

**PART II. EARNED AND NON-INVESTMENT INCOME**

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS, Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

**Individuals not covered by the Honoraria Ban:**

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Name of Income Source		Address (City, State)	Type of Income	Amount
Example:	JP Computers	Wash., DC	Salary	\$15,000
	MCI (Spouse)	Arlington, VA	Salary	Over \$1,000
1	WND BOOKS	Medford, OR	ROYALTY	2822.10
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INHOFE, JAMES M.

**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES****BLOCK A****Identity of Publicly Traded Assets  
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, **CONTENTS OF REPORTS, Part B of Instructions**) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or  
(2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

**BLOCK B****Valuation of Assets**

At the close of reporting period.  
If None, or less than \$1,001,  
check the first column.

**BLOCK C****Type and Amount of Income****Type of Income****Amount of Income**

	BLOCK B Valuation of Assets												BLOCK C Type and Amount of Income																				
	1	2	3	4	5	6	7	8	9	10	11	12	Type of Income								Amount of Income												
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	a	b	c	d	e	f	g	h	i	A	B	C	D	E	F	G	H	I	J	K	L
													None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J				X									X								Example		X										Example
(S) Keystone Fund					X													X			Example	X											Example
1 SCHEDULE ATTACHED																																	
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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**JAMES M. INHOFE**  
**Details of Revocable Living Trust**  
**Capital Advisors**  
**Securities Assets**  
**Schedule IIIA**

**ATTACHMENT-PART IIIA-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

	<u>Asset and/or Income Source</u>	Category	<u>Type</u>	<u>Amount of</u>
		Year End <u>Value</u>		
S	F&M Bank & Trust-Tulsa(Account)	6	d	C
J	Congressional Federal Credit Union(Account)	1	a	A
S	Arvest Bank & Trust-Tulsa(Account)-NAME CHANGE	5	d	B
S	Stillwater National Bank-Tulsa(Account)	5	d	B
S	Ford Motor Co.(Stock)	3	b	B
S	Dean Witter Discover	2	b	B
S	Dean Witter Stock	2	b	A
S	Visteon Corporation(Spinoff of Ford Motor Co.)	2	a	A
S	Citigroup Inc.(Transfer from The Associates*)	3	b	A
S	ONB Bank & Trust	6	d	F
J	Capital Advisors(Schedule Attached)			

\*Inherited by Kay Inhofe

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**JAMES M. INHOFE**  
**Details of Revocable Living Trust**  
**Capital Advisors**  
**Securities Assets**  
**Schedule IIIA**

<u>Asset and/or Income Source</u>	<u>Category</u> <u>Year End</u> <u>Value</u>	<u>Type</u> <u>Income</u>	<u>Amount of</u> <u>Income</u>
J AT&T	3	b	C
J ABB LTD ADR	3	b	C
J AMAZON COM INC	3	a	A
J APPLE COMPUTER INC	5	b	C
J APPLIED MATLS INC	3	b	C
J BAXTER INTL INC	3	b	C
J BHP BIOLITON ADT	3	b	B
J BLACKROCK INC	4	b	C
J BROADCOM CORP	3	b	B
J CHESAPEAKE ENERGY CORP	3	b	B
J COVIDIEN PLC	3	b	B
J DISCOVER FINL SVCS	2	b	A
J E M C CORP MASS	4	a	A
J EATON CORP PLC SHS	3	b	B
J EOG RESOURCES INC	3	b	A
J FEDEX CORP	3	b	B
J GENERAL ELECTRIC	4	b	C
J GENERAL MOTORS	4	a	A
J GOOGLE INC-CL A	3	a	A
J JOHNSON & JOHNSON	4	b	C
J MICROSOFT	3	b	C
J NATIONAL OILWELL VARCO INC	3	b	B
J OCCIDENTAL PETE CORP	3	b	B
J PEPSCO INC	4	b	C
J PROCTER & GAMBLE COMPANY	3	b	C
J QUALCOMM INC	4	b	C
J TE CONNECTIVITY LTD	3	b	B
J TRANSOCEAN LTD	4	a	A
J VALEANT PHARMACEUTICALS	3	a	A
J VISA INC CL A	4	b	B
J VODAFONE GROUP INC	4	b	D
J WELLS FARGO & CO NEW	4	b	C
J YUM BRANDS INC	3	b	B

The assets listed in Schedule IIIa are managed by Capital Advisors, a register investment adviser. Capital Advisors has full and complete discretion and trading authority over these assets. The asset managers do not consult with me on the nature or timing of any transaction with respect to any of these assets.

The decisions made with respect to the buying and selling of these assets are made by Capital Advisors as part of its overall asset allocation model, which asset allocation model is also used for most, if not all, of the similarly constituted accounts managed by Capital Advisors.

Transactions involving these accounts are reported in part IV.

Reporting Individual's Name

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INHOFE, JAMES M.

**PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

Page Number

**BLOCK A****Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or  
(2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**  
**Valuation of Assets**

At the close of reporting period.  
If None, or less than \$1,001,  
check the first column.

**BLOCK C**  
**Type and Amount of Income****Type of Income****Amount of Income**

		BLOCK B												BLOCK C																				
		Valuation of Assets												Type and Amount of Income																				
		At the close of reporting period. If None, or less than \$1,001, check the first column.																																
														Type of Income								Amount of Income												
		1	2	3	4	5	6	7	8	9	10	11	12	a	b	c	d	e	f	g	h	i	A	B	C	D	E	F	G	H	I	J	K	L
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S, Example: DC,	JP Computer, Software Design, Wash DC				X										X							Example		X										Example
or J	Undeveloped land, Dubuque, Iowa					X								X								Example	X											Example
1	SCHEDULE ATTACHED																																	
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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**JAMES M. INHOFE**  
**Details of Revocable Living Trust**  
**Capital Advisors**  
**Securities Assets**  
**Schedule III B**

<u>Asset and/or Income Source</u>	<u>Category</u> <u>Year End</u> <u>Value</u>	<u>Type</u> <u>Income</u>	<u>Amount of</u> <u>Income</u>
J The Padre Company, LLC, Real Estate & Aircraft Tulsa County, Oklahoma & Cameron County, Texas	6	C	A
J Apartment (Contiguous to Residence) Washington, DC	5	C	E
S GMKI, LLC-located in City of Tulsa, County of Tulsa, State of Oklahoma			
S GMKI, LLC, Real Estate-Office Building(\$1,309,144.)* Tulsa, Oklahoma	9	C	\$288,000**
S GMKI, LLC, Real Estate-Office Building(\$554,500)* Owasso, Oklahoma	7	C	
S IM3RD, LLC, Investment-Tulsa Abstract & Title Company Tulsa, Oklahoma	7	J***	A

\*Per Tulsa County Assessor

\*\*Combined - same tenant both properties

\*\*\*No 2012 Income

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Reporting Individual's Name

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INHOFE, JAMES M.

**PART IV. TRANSACTIONS**

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

**Identification of Assets**

S, Example: DC, or J	IBM Corp. (stock) NYSE	X		2/1/1X	X			E	X	A	M	P	L	E
	(DC) Microsoft (stock) NASDAQ/OTC		X	1/27/1X			X	E	X	A	M	P	L	E
1	SCHEDULE ATTACHED	X	X											
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**EXEMPTION TEST** (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.  
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JAMES M. INHOFE TTEE FBO JAMES M. INHOFE  
CAPITAL ADVISORS, INC.  
PURCHASES  
1-1-2012 TO 12-31-2012

DATE			(IV)
<u>PURCHASED</u>	<u>SECURITY</u>	<u>TRANSACTION</u>	
		<u>AMOUNT</u>	
J	1/10/2012 5750SH NOKIA CORP SPON	B	
J	10/1/2012 520SH EATON CORP	B	
J	10/1/2012 950SH E M C CORP MASS	B	
J	10/1/2012 570SH BAXTER INTL INC	B	
J	10/1/2012 3000SH STAPLES INC	B	
J	10/1/2012 1700SH CHESAPEAKE ENERGY CORP	B	
J	10/1/2012 940SH TE CONNECTIVITY LTD	B	
J	10/1/2012 230SH EOG RESOURCES INC	B	
J	10/9/2012 640SH TRANSOCEAN LTD	B	
J	10/19/2012 750SH VALEANT PHARMACEUTICALS	B	
J	11/12/2012 450SH OCCIDENTAL PETE CORP	B	
J	11/27/2012 600SH COVIDIEN PLC	B	
J	12/3/2012 520SH EATON CORP PLC	B	
J	12/13/2012 350SH MICROSOFT	A	
J	12/13/2012 350SH E M C CORP MASS	A	
J	12/13/2012 500SH ABB LTD ADR	A	
J	12/17/2012 120SH QUALCOMM INC	A	
J	12/31/2012 1100SH E M C CORP MASS	B	

JAMES M. INHOFE TTEE FBO JAMES M. INHOFE  
CAPITAL ADVISORS, INC.  
SALES  
1-1-2012 TO 12-31-2012

DATE	SECURITY	(IV)		(IIIA)	
		TRANSACTION	CAPITAL	TRANSACTION	CAPITAL
<u>SOLD</u>		<u>AMOUNT</u>	<u>GAIN RANGE</u>		
J 10/1/2012	460SH ABBOTT LABORATORIES	B	E		
J 10/1/2012	640SH ABBOTT LABORATORIES	B	E		
J 10/1/2012	890SH JOHNSON CONTROLS INC	B	B		
J 10/1/2012	200SH PROCTER & GAMBLE	A	D		
J 10/1/2012	3500SH FORD MOTOR COMPANYNEW	B	A		
J 10/1/2012	180SH VISA INC CL A	B	E		
J 10/1/2012	2000SH WEYERHAEUSER CO	C	F		
J 11/12/2012	890SH ANALOG DEVICES INC	B	E		
J 11/27/2012	3000SH STAPLES INC	B	A		
J 11/29/2012	1700SH INTEL CORP	B	A		
J 12/7/2012	5750SH NOKIA CORP SPON ADR	B	A		
J 12/13/2012	30SH VISA INC CL A	A	C		
J 12/13/2012	20SH VISA INC CL A	A	C		
J 12/13/2012	100SH VISA I NC CL A	A	E		
J 12/21/2012	1100SH SUNCOR ENERGY INC NEW	B	E		

JAMES M. INHOFE TTEE FBO JAMES M. INHOFE  
CAPITAL ADVISORS, INC.  
EXCHANGES  
1-1-2012 TO 12-31-2012

J

NONE

Reporting Individual's Name

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INHOFE, JAMES M.

**PART VII. LIABILITIES**

Page Number

Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) mortgages on your personal residences unless rented (**except for Senators**); (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

Name of Creditor		Address	Type of Liability	Date Incurred	Interest Rate	Discount Points Paid for Mortgage (Senators Only)	Term if Applicable	Category of Amount of Value (x)										
								\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
Example: S, DC, or J	First District Bank	Wash., DC	Mortgage on undeveloped land	1992	13%	1 pt	25 yrs			X		E	X	A	M	P	L	E
	(J) John Jones	Wash., DC	Promissory Note	2000	10%	n/a	On dmd				X	E	X	A	M	P	L	E
1	LIBERTY SAVINGS	ENID, OK	MORTGAGE	1995	6.5		25yrs				X							
2	TRIAD BANK	TULSA, OK	LINE OF CREDIT															
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4																		
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.  
 \*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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INHOFE, JAMES M.

**PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT**

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

**Exclude:** Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

Name of Organization		Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	National Assn. of Rock Collectors	NY, NY <b>EXAMPLE</b>	Non-profit education	President	6 / 91	Present
	Jones & Smith	Hometown, USA <b>EXAMPLE</b>	Law Firm	Partner	7 / 96	11 / 1X
1	THE PADRE COMPANY, LLC	TULSA, OK	LLC	MEMBER	1/2000	PRESENT
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Compensation in excess of \$200 from any position must be reported in Part II.

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INHOFE, JAMES M.

**PART IX. AGREEMENTS OR ARRANGEMENTS**

Report your agreements or arrangements for future employment (including agreements with a publisher for writing a book or sale of other intellectual property), leaves of absence, continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. See Instructions regarding the reporting of negotiations for any of these arrangements or benefits.

**Status and Terms of any Agreement or Arrangement****Parties****Date**

Example:

*Pursuant to partnership agreement, will receive lump sum payment of capital account and partnership share calculated on services performed through 11/0X and retained pension benefits (diversified, independently managed, fully funded, defined contribution plan)*

Jones &amp; Smith, Hometown, USA

**EXAMPLE**

1 / 94

*Employment agreement with XYZ Co. to become Vice President of Government Relations. Terms of agreement include salary between \$50,001-\$100,000, signing bonus between \$2,501-\$5,000 and stock options*

XYZ Co., Bethesda, MD

**EXAMPLE**

1 / 1X

1

Publishing agreement with WND Books for a book entitled, "The Greatest Hoax," published February 28, 2012.

WND Books, Washington, DC

10/2011

2

Terms of the agreement provide for a sliding scale of royalties to be paid to the author and publisher.

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