

This Report Should Be Filed With:

Secretary of the Senate
Office of Public Records
Hart Building, Suite 232
Washington, DC 20510

PERIODIC DISCLOSURE
OF FINANCIAL
TRANSACTIONS

RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS

13 NOV -4 (Time/Date) 56

Reporting Individual's Name

☐ Amendment

Senate Office / Agency in Which Employed

Page Number

Senator Susan Collins

United States Senate

Report any purchase, sale, or exchange by you, your spouse, or dependent child within 30 days of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction.

Identification of Assets

Example: (S) Spouse (DC) Dependent (J) Joint		IBM Corp. (stock) NYSE	X			2 / 1 / 1X		X			E	X	A	M	P	L	E	
		(DC) Microsoft (stock) NASDAQ/OTC		X			2 / 27 / 1X				X	E	X	A	M	P	L	E
1	(S) EBAY INC			X		10/17/13	X											
2	(S) UNION PACIFIC CORP			X		10/17/13	X											
3	(S) JOHNSON & JOHNSON COM		X			10/23/13	X											
4	(S) VERIZON COMMUNICATIONS INC		X			10/23/13	X											
5	(S) EMC CORP MASS			X		10/23/13	X											
6	(S) GOLDMAN SACHS GROUP INC			X		10/23/13	X											
7	(S) JPMORGAN CHASE & CO			X		10/23/13	X											
8	(S) EXPRESS SCRIPTS HLDG CO			X		10/25/13	X											
9	(S) APPLE INC		X			10/21/13	X											
10	(S) TE CONNECTIVITY LTD CHF		X			10/21/13	X											
11	(S) AMERISOURCEBERGEN CORP			X		10/21/13	X											
12	(S) HOME DEPOT INC			X		10/21/13	X											

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Senator Susan Collins

TRANSACTIONS (continued)

(use only if needed)

Identification of Assets	Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)										
	Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
1 (S) APPLE INC	X			10/24/13	X										
2 (S) VERIZON COMMUNICATIONS INC	X			10/22/13	X										
3 (S) AMERISOURCEBERGEN CORP		X		10/22/13	X										
4 (S) CISCO SYSTEMS INC		X		10/22/13	X										
5 (S) CROSSTEX ENERGY INC		X		10/22/13	X										
6															
7															
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