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PERIODIC DISCLOSURE
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Reporting Individual's Name		<input type="checkbox"/> Amendment		Senate Office / Agency in Which Employed		Page Number	
Carper, Thomas R.				Office of Senator Tom Carper		1	
<p>Report any purchase, sale, or exchange by you, your spouse, or dependent child within 30 days of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction.</p>							
Identification of Assets		Transaction Type (x)		Transaction Date (Mo., Day, Yr.)		Amount of Transaction (x)	
		Purchase	Sale	Exchange			
Example: S) Spouse DC) Dependent Child (J) Joint		IBM Corp. (stock) NYSE	X		2 / 1 / 1X		X
		(DC) Microsoft (stock) NASDAQ/OTC	X		2 / 27 / 1X		
1	(S) Lexmark Intl. 5.9% 6/1/13		X		4/1/13		X
2	(S) RBC ELK on FCX 7.3% 10/3/13	X			3/28/13		X
3	(S) Neuberger Berman MLP Inc. FD	X			3/25/13	X	
4	(S) Intl. Lease Fin. 3.875% 4/15/13	X			3/21/13		X
5	(S) Met. Edison 4.95% 3/15/13		X		3/15/13		X
6	(S) KKR & Co. LP	X			4/1/13		X
7	(S) Avenex Energy Corp.		X		4/1/13		X
8	(S) Lincoln National Corp.		X		3/5/13		X
9	(S) Cisco Systems	X			3/27/13		X
10	(S) Acadian Timber Corp.	X			3/27/13		X
11	(S) DDL Biopharma Inc.	X			3/27/13		X
12	(S) Navios Maritime Holdings	X			3/27/13		X

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TRANSACTIONS (continued)

(use only if needed)

[illegible]