

UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

☐ Amendment

Last Name	First Name and Middle Initial	Annual Report Calendar Year Covered by Report	Senate Office / Agency in Which Employed
Kaine	Timothy M.	2012	United States Senate
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report Termination Date (mm/dd/yy)	Prior Office / Agency in Which Employed
Senate Russell Office Building, Room 388, Washington, DC 20510 (as of June 3, 2013)	(202) 224-4024		

AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$350 from one source)? If Yes, complete and attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, complete and attach PART II.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, complete and attach PART IV.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If Yes, complete and attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, complete and attach PART X.	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Each question must be answered and the appropriate PART attached for each "YES" response.

FOR OFFICIAL USE ONLY
Do Not Write Below this Line

SECRETARY OF THE SENATE
 MAY 15 PM 12:55

Reporting Individual's Name

☐ Amendment

Timothy M. Kaine

PART II. EARNED AND NON-INVESTMENT INCOME

Page Number

3

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS, Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Name of Income Source		Address (City, State)	Type of Income	Amount
Example:	JP Computers	Wash., DC	Salary	\$15,000
	MCI (Spouse)	Arlington, VA	Salary	Over \$1,000
1	University of Richmond	Richmond, Virginia	Salary	\$102,580
2	Annie E. Casey Foundation (spouse)	Baltimore, Maryland	Contract fees	Over \$1,000
3	University of Virginia - Youth-Nex (spouse)	Charlottesville, Virginia	Contract fees	Over \$1,000
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				

Reporting Individual's Name

☐ Amendment**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

Page Number

4

BLOCK A**Identity of Publicly Traded Assets
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS, Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
(2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B**Valuation of Assets**

At the close of reporting period.
If None, or less than \$1,001,
check the first column.

BLOCK C**Type and Amount of Income****Type of Income****Amount of Income**

		BLOCK B Valuation of Assets											BLOCK C Type and Amount of Income																					
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J		IBM Corp. (stock)												X								Example		X									Example	
		(S) Keystone Fund																		X			Example	X										Example
1	GE (S, stock)			X											X								X											
2	American Movil (DC, stock)*				X																							X						
3	AT&T (DC, stock)*			X											X									X										
4	Bristol Meyers Squibb (DC, stock)*			X											X									X										
5	Chevron (DC, stock)*				X										X										X									
6	Coca Cola (DC, stock)*				X										X									X										
7	Duke Energy (DC, stock)*			X											X									X										
8	Exxon Mobil (DC, stock)*				X										X									X										
9	GE (DC, stock)*			X											X									X										
10	IBM (DC, stock)*			X											X								X											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

☐

Part IIIA. Publicly Traded Assets and Unearned Income Sources (Addendum)

Block A - Asset-Income Source	Block B - Valuation of Asset	Block C - Type of Income	Block C - Amount of Income
Kimberly Clark (DC stock)*	15,000-50,000	Dividend	1,001-2,500
Kinder Morgan Energy (DC, stock)*	15,000-50,000	Dividend	1,001-2,500
Staples (DC, stock)*	1,001-15,000	None	None
Textron (DC, stock)*	1,001-15,000	None	None
TICC Capitol (DC, stock)*	1,001-15,000	None	None
Citibank (DC, cash)*	50,001-100,000	Interest	None
Columbia Accord International (DC, mutual fund)*	1,001-15,000	excepted investment fund	None
Franklin VA TX (DC mutual fund)*	1,001-15,000	excepted investment fund	None
MS Liquid Asset Fund	1,001-15,000	excepted investment fund	None
Blackrock Inflation Protected Bond (IRA Mutual Fund)	15,001-50,000	excepted investment fund	201-1,000
Delaware Diversified A (IRA mutual fund)	15,001-50,000	excepted investment fund	201-1,000
First Eagle Global (IRA mutual fund)	50,001-100,000	excepted investment fund	201-1,000
Franklin Mutual Global Disc 2 (IRA mutual fund)	1,001-15,000	excepted investment fund	201-1,000
Loomis Sayles Bond Int. (IRA mutual fund)	50,001-100,000	excepted investment fund	2,501-5,000
MFS Diversified Income (IRA mutual fund)	15,001-50,000	excepted investment fund	2,501-5,000
MFS Emerging Market Debt (IRA mutual fund)	15,000-50,000	excepted investment fund	1,001-2500
Oppenheimer Developing Markets Y (IRA mutual fund)	15,000-50,000	excepted investment fund	None
Permanent Portfolio Inc. (IRA mutual fund)	50,001-100,000	excepted investment fund	201-1,000
Royce Special Equity, Inv. (IRA mutual fund)	15,000-50,000	excepted investment fund	201-1,000
Templeton Global Bond Fund Adv (IRA mutual fund)	50,000-100,000	excepted investment fund	2,501-5,000
Lous Sayles Bond Inst (mutual fund)	1,001-15,000	excepted investment fund	none
Oakmark Equity and Income (mutual fund)	1,001-15,000	excepted investment fund	none
Templeton Global Bond Fund Adv (IRA mutual fund)	1,001-15,000	excepted investment fund	none
First Eagle Global A (S, IRA mutual fund)	1,001-15,000	excepted investment fund	none
Wells Fargo (checking account)	15,000-50,000	none	none
Suntrust (checking account)	15,000-50,000	none	none
NATIONAL LIFE INSURANCE (EQUITY)			
Sentinel VPT Common Stock	1,001-15,000	none	none
Sentinel VPT Small Company	1,001-15,000	none	none
Sentinel VPT Bond	1,001-15,000	none	none

Part IIIA. Publicly Traded Assets and Unearned Income Sources (Addendum)

Block A - Asset Income Source	Block B-Valuation	Block C-Type of Income	Block C-Amount of Income
Alger Large Cap Growth	1,001-15,000	none	none
Alliance Bernstein VPS Small/Mid Cap Value	1,001-15,000	none	none
Alliance Bernstein VP International Value	1,001-15,000	none	none
American Century VP International	1,001-15,000	none	none
Neuberger Berman AMT Short Duration Bond	1,001-15,000	none	none
T. Rowe Price Equity Income	1,001-15,000	none	none
Van Eck VIP Emerging Markets	1,001-15,000	none	none
Van Eck VIP Global Bond	1,001-15,000	none	none
Van Eck VIP Global Hard Assets	1,001-15,000	none	none
*My three children are beneficiaries of a trust established by their grandmother. The stock valuations shown here present the assets held for benefit of all of the grandchildren			

Reporting Individual's Name

☐ Amendment

Tinothy M. Kaine

PART VII. LIABILITIES

Page Number

7

Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) mortgages on your personal residences unless rented (**except for Senators**); (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

Name of Creditor		Address	Type of Liability			Disc Mort	T	\$10,00	\$15,00	\$50,00	\$100,0	\$250,0	\$500,0	Over \$	\$1,000	\$5,000	\$25,00	Over \$
Example:	S, DC, or J	First District Bank	Wash., DC	Mortgage on undeveloped land	1992	13%	1 pt	25 yrs			X	E	X	A	M	P	L	E
	(J)	John Jones	Wash., DC	Promissory Note	2000	10%	n/a	On dmd				X	E	X	A	M	P	L
1	Wells Fargo			Mortgage on personal residence	2011	4%	0	15 yrs			x							
2																		
3																		
4																		
5																		
6																		
7																		
8																		
9																		
10																		
11																		
12																		

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
 *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

☐

Reporting Individual's Name

☐ Amendment

Timothy M. Kaine

PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Page Number

8

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

Name of Organization		Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	National Assn. of Rock Collectors	NY,NY EXAMPLE	Non-profit education	President	6 / 91	Present
	Jones & Smith	Hometown, USA EXAMPLE	Law Firm	Partner	7 / 96	11 / 1X
1	Housing Opportunities Made Equal	Richmond, Virginia	Non-profit organization	Board member	2011	Present
2	University of Richmond	Richmond, Virginia	University	Teacher	2010	Present
3	Myotonic Dystrophy Foundation	San Francisco, California	Non-profit	Board member	2010	Present
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						

Compensation in excess of \$200 from any position must be reported in Part II.