# **BBB UNITED STATES SENATE FINA..... JISCLOSURE REPORT**FOR ANNUAL AND TERMINATION FILERS

Amendment								
Last Name	First Name and Middle Initia	31			Annual Report  Calendar Year Covered by Report	Senate Office / Agency in Which Employed		
Thune	John R.				2012	South Dakota		
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone N	umber (Inclu	ide Area Coo	ie)	Termination Report	Prior Office / Acceptation 14thich Employed		
SD-511, Washington, DC 20510	202-224-2321		٠,		Termination Date (mm/dd/yy)	Prior Office / Agency in Which Employed	_	
AFTER READING THE INSTRUCT	IONS – ANSW	ER EA	CH O	FT	HESE QUESTIONS AN	ID ATTACH THE RELEVA	ANT PA	RT
		YES	NO				YES	NO
Did any individual or organization make a donation to operating you for a speech, appearance, or article in the lift Yes, complete and attach PART I.	•			rein \$35	•	child receive any reportable travel or rting period (i.e., worth more than		
Did you or your spouse have earned income (e.g., sala investment income of more than \$200 from any reporta reporting period? If Yes, complete and attach PART II.	-			(mo	l you, your spouse, or dependent or ore than \$10,000) during the reportes, complete and attach PART VII	ting period?		
Did you, your spouse, or dependent child hold any repmore than \$1,000 at the end of the period, or receive uninvestment income of more than \$200 in the reporting If Yes, complete & attach PART IIIA and/or IIIB.	inearned or			cur	you hold any reportable positions rent calendar year? es, complete and attach PART VII	on or before the date of filing in the		
Did you, your spouse, or dependent child purchase, se reportable asset worth more than \$1,000 in the reporting of the second sec	<b>-</b> •			ent		ent or arrangement with an outside		
Did you, your spouse, or dependent child receive any reporting period (i.e., aggregating more than \$350 and exempt)?  If Yes, complete and attach PART V.	· —			\$5,	nis is your FIRST Report: Did you 000 from a single source in the two es, complete and attach PART X.		~ 7°	
Each question must b	e answered an	d the	appro	pri	ate PART attached for	each "YES" response		
						FOR OFFICIAL Do NOTWRITE B		
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Reporting Individuals Marte O S	2☐ KAmendment

### PART II. EARNED AND NON-INVESTMENT INCOME

Page Number

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Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS, Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

#### Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

	Name of Income Source	Address (City, State)	Type of Income	Amount
xample:	JP Computers	Wash., DC EXAMPLE	Salary	\$15,000
	MCI (Spouse)	Arlington, VA EXAMPLE	Salary	Over \$1,000
Sanfor	d Health Plan (Spouse)	Sioux Falls, SD	Wages	Over \$1,000
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## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

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Report the complete name of each publicly traded asset held by you, your spouse, or

#### **BLOCK B Valuation of Assets**

At the close of reporting period. If None, or less than \$1,001, check the first column.

BLOCK C Type and Amount of Income

your dependent child, (See p.3,															٦	Гур	e o	f Inc	con	ne						Am	our	nt o	f In	con	ne		
CONTENTS OF REPORTS, Part B of	•																,																
Instructions) for production of income or																																	
investment which:																																	
(1) had a value exceeding \$1,000 at the											000							ַפַ															Actual
close of the reporting period; and/or	\$1,001)						$\circ$		8	8	0,00							Fund			Other	01)									8		Amount
(2) generated over \$200 in "unearned"	₹			اما	000	8	000,000	.	0,000	8	00							Ti.		   st		\$2(							000		0,00		Required
income during the reporting period. Include on PART IIIA a complete	ап	Į.	000	8	Ŏ,	ŏ	읽	<u></u>	8	5,0	50,	8						tme		ובֿן ב	(Specify	än				Q	8	8	8	6			if
identification of each public bond, mutual	s th	000'	~	00	325	<u>2</u> 2	<u>~</u>	일	\$	\$	1	힣					တ	ves	nst	Pd	`Type)	s t	8	20	00	9,	0'0	8	0, 1	8	\$5	00	"Other"
fund, publicly traded partnership interest,	les es	\$15	- \$50	₹	'	۳	ï	윉	9	2	001	<u> 8 </u>		ر س			äi	ے ا	<u>-</u>	B		les	o l	\$2,	\$5,0	\$15	\$5	\$	<del>67</del>	용	اغا	잃	Specified
excepted investment fund, bank account,	(or le	<del>-</del>	001	001	8	8	8	<u></u>	Ŏ.	O O	00	\$20		spua		st	<u>ڇ</u>	) ted	ğ	ĵed		(o	- \$1	-	+	<del>-</del>	10	2	8	\$1	Ŏ,	§2.	
excepted and qualified blind trust, and	None	8	5,0	0,0	8	50,	8	ē	8	8	5,0	er e	ne	Vide	ınt	ere	ğ	§	§			e e	2	8	92	8	5,0	0,0	8	ē	8	ē	
publicly traded asset of a retirement plan.	Ĭž	₩	\$1	\$2	\$1	\$	\$	ୀ	₹	\$5	\$2	ဝ	ž	اھًا	R	ţ	ပြီ	ω	<u>ش</u>	ď		ž	\$2	\$	\$2	\$5	\$1	\$5	\$1	Ó	\$1	Ó	
S, IBM Corp. (stock)				х							,			х							Example		x								一	ightharpoonup	Example
Example: DC, or J (S) Keystone Fund					х		1	_	$\dashv$			H			-			х			Example	Х										$\square$	Example
(J) FRANKLIN FEDERAL INTERM TAX-				V		一							Г			>								$\overline{\mathbf{v}}$									
FREE FUND A (FKISZ - n/k/a FKITZ)												Ш					<u> </u>		<u>L</u> _														
2 (J) CHS INC PREF SHR 8% (CHSCP)		X												х									x										
3 (J) BROKERAGE MONEY MARKET (SWUXX)				Х							•					Х						Х											
	1	-	$\vdash$			$\dashv$	十	┪				Н		$\vdash$			$\vdash$	$\vdash$	┢											$\square$	$\vdash\vdash$	$\vdash \vdash$	
4 (J) WELLS FARGO CHECKING		X								}						X						Х											
5 SD RETIREMENT SYSTEM (defined								ï				'	X								other												\$954/m
benefit plan – no present cash value)	-		<del> </del> -				-	$\dashv$	$\dashv$					-				$\vdash$	┝					-							$\vdash\vdash$	<b></b>	<u> </u>
6 BROKERAGE MONEY MARKET (SWUXX)			X						ŀ							Х				:		Х											
BLACKROCK GLOBAL ALLOCATION	T	Ţ	1			一	一		$\neg$			H	$\vdash$					<del>                                     </del>		-		,			-							······· <del> </del>	
(MDLOX)		<u> </u>				$\Box$						Ш		X								X											
8 OAKMARK EQUITY & INC (OAKBX)		Х								•				х						!		Х											
9 SCOUT MID CAP (UMBMX)		х												X								х											
10 FIRST EAGLE GOLD (SGGDX)		х												Х			х					Х									-		
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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

**BLOCK A** Identity of Publicly Traded Assets And Unearned Income Sources

**BLOCK B** Valuation of Assets

BLOCK C Type and Amount of Income

Report the complete name of each publicly

	If No	one,	or k	ess t	ortir han t col	\$1,0	01,	
 _	$\overline{}$	$\overline{}$		$\overline{}$	$\overline{}$	7		 -

traded asset held by you, your spouse, or your dependent child, (See p.3,		check the first column.														Тур	e o	f In	com	ıe		Amount of Income												
CONTENTS OF REPORTS, Part B of Instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or  (2) generated over \$200 in "unearned" income during the reporting period.  Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest,	None (or less than \$1,001)	- \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	one (or less th	\$201-\$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	ver \$5,000,000	Actual Amount Required if "Other" Specified	
S, IBM Corp. (stock)				х										X							Example		х										Example	
or j (S) Keystone Fund					Х													X			Example	X											Example	
1 HUSSMAN STRATEGIC GROWTH (HSGFX)		х			·									X			х					X												
SPROTT PHYSICAL GOLD TRUST (PHYS)		х						•					Х									X									ľ			
3																																		
(S) IRA (see below)																																		
(S) BROKERAGE MONEY MARKET (SWUXX)	х													Х			х					Х												
(S) FIRST EAGLE GOLD (SGGDX)		х												X			х					х												
(S) DOUBLELINE TOTAL RETURN (DLTNX)		х												X			х					Х												
(S) FRANKLIN NATURAL RESOURCES (FNRSZ)		х											Х									х												
(S) BLOCKROCK GLOBAL ALLOCATION (MDLOX)			х											X			Х						X											
0									ĺ											:														
EXEMPTION TEST (see instructions before marking box): I	f you	ı omil	ted a	ny a:	sset	beça	use i	t me	ets th	ne thr	ее-р	art te	est fo	or exe	empt	ion d	lescr	ibed	in the	inst	ructions, p	lease	che	ck bo	x to	the ri	ght.	<u> </u>		1		<u>t</u>		

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Nar	Ameridment			•	_													•												_			Pag	ge Number
Thune, John R	•	P	PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES										L	5																				
Identity of Pu And Unearn Report the comple	BLOCK A blicly Traded Assets ed Income Sources ete name of each public	•	BLOCK B Valuation of Assets  At the close of reporting period. If None, or less than \$1,001, check the first column.  Type of Income  BLOCK C  Type and Amount of Income  Type of Income  Amount of Income																															
traded asset held your dependent cl	by you, your spouse, o nild. (See p.3.	r 				T	1	1	~·u			_			<u> </u>	T	Тур	ес	of Ir	1001	ne						Am	oui	nt o	f In	COI	ne		
Instructions) for printed investment which:  (1) had a value close of the received or income during income during linclude on PART identification of earliest fund, publicly trade excepted investment excepted and quarters.	REPORTS, Part B of oduction of income or exceeding \$1,000 at the porting period; and/or ver \$200 in "unearned" the reporting period.	one for less than \$1 001	000	5,001 - \$	1 - \$1	1 - \$25(	\$250,001 - \$500,000	01-\$1,00	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	1	Interest	Capital Gains	ᅵᄝ	d Trust	8	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	er \$5,000,000	Actual Amount Required if "Other" Specified
S, Example: DC,	IBM Corp. (stock)				Х										х							Example		х										Example
or J (S)	Keystone Fund			$oxed{oxed}$	<u> </u>	X													X			Example	Х		:									Example
(DC) COLLEGI	E ACCESS 529 PLAN	-1	<u> </u>					ļ.														<b>4</b>												
2 PIMCO ALL AS	SET FUND CL SD A		$\perp$	x										X									х											
3 Age Based 7-10	) Years SD-A		X											х									х											
4 Cong. Federal	Credit Union Savings			x	<u> </u>												×						X											
5 Fidelity Tax Fre	e MM (FMOXX)		X														×		<i>.</i>			·	Х											
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Example: DC,

PART IV. TRANSACTIONS

Page Number

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Amount of Transaction (x)

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.
between you, your spouse, or dependent child. Please clarify which two

**Identification of Assets** 

IBM Corp. (stock) NYSE

(DC) Microsoft (stock) NASDAQ/OTC

1 PROFUNDS NASDAQ 100 FUND (OTPIX)

3 PROFUNDS NASDAQ 100 FUND (OTPIX)

5 PROFUNDS NASDAQ 100 FUND (OTPIX)

7 PROFUNDS NASDAQ 100 FUND (OTPIX)

10(S) DOUBLELINE TOTAL RETURN (DLTNX)

11 (S) FIRST EAGLE GOLD (SGGDX)

2 PROFUNDS BULL FUND INVESTOR CLASS (BLPIX)

4 PROFUNDS BULL FUND INVESTOR CLASS (BLPIX)

6 PROFUNDS BULL FUND INVESTOR CLASS (BLPIX)

8 PROFUNDS BULL FUND INVESTOR CLASS (BLPIX)

9 (S) BLOCKROCK GLOBAL ALLOCATION (MDLOX)

12 (S) FRANKLIN NATURAL RESOURCES (FNRSZ)

ent the		nsact ype (:														
and	Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
	X			2/1/1X		Χ			E	Х	Α	M	Р	L	E	
		X		1/27/1X				Х	E	Х	Α	M	Р	L	Ε	
	Х			03 / 13 / 12	Х						·					
	Х			03 / 13 / 12	:	Х	`				,					
		Х		04 / 10 / 12	X											
		Х	•	04 / 10 / 12	Х											
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Thune, John R.	

## PART IV. TRANSACTIONS

Page Number

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							Amount of Transaction (x)												
Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) during the			I -	nsact ype (				-								0			
re or In in	reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.				Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	5,001 - \$50,000	50,001 - \$100,000	100,001 - \$250,000	\$250,001 - \$500,000	500,001 - \$1,000,000	/er \$1,000,000***	,000,001 - \$5,000,000	,000,001 - \$25,000,000	5,000,001 - \$50,000,000	/er \$50,000,000		
Identification of Assets  S, IBM Corp. (stock) NYSE				Sale	Ĥ			\$1	\$5	\$1	\$2	\$5	Ó	\$1	\$5	\$2	Ó		
	S, Example: DC,	IBM Corp. (stock) NYSE  (DC) Microsoft (stock) NASDAO/OTC	X			2/1/1X		X		Y	E	X	A	M	P	L	E		
1	OC) MONEY	(DC) Microsoft (stock) NASDAQ/OTC	-	<i>X</i>		1/27/1X				_ ^	E	Х	A	·	P	<u>L</u>	E		
1 (DC) MONEY MARKET PLUS SD-A				X		01 / 23 / 12	Х		ļ										
2 (DC) MONEY MARKET PLUS SD-A				Х		02 / 10 / 12	Х												
3	Age Based 7	-10 Years SD-A	х			02 / 29 / 12	Х												
4	PIMCO ALL	ASSET FUND CL SD A	Х			02 / 29 / 12	Х												
5																	-		
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10	<b>!</b>														·				
11														-					
12																			
EX	EMPTION TEST This category app	(see instructions before marking box): If you omitted any asset because it meets the the lies only if the asset is/was held independently by the spouse or dependent child. If the asset	ree-part is/was e	test for e	exempti d by the	on described in the institute filer or jointly held, use t	truction	ns, pl er cat	lease egorie	check s of va	box t	o the	right. ropriat	te.					

Reporting Individual is Name U O Amendment	
Thune, John R.	PART VII. LIABILITIES

Page Number

Category of Amount of Value (x)

						1	_			Category of Amount of							<u>aiut</u>	<del>, (</del>	
p. tir re re fu	Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) mortgages on your personal residences unless rented (except for Senators); (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.						Discount Points Paid for Mortgage (Senators Only)	Term if Applicable	01 - \$15,000	001 - \$50,000	01 - \$100,000	001 - \$250,000	001 - \$500,000	001 - \$1,000,000	1,000,000,**	0,001 - \$5,000,000	0,001 - \$25,000,000	000,000 - \$50,000,000	\$50,000,000
Name of Creditor			Address	Type of Liability		-	Dis		\$10,00	\$15,0	\$50,00	\$100,	\$250,	\$500,	Over	\$1,00	\$5,00	\$25,0	Over
	S, Example: DC,	First District Bank	Wash., DC	Mortgage on undeveloped land	1992	13%	1 pt	25 yrs			X		Е	X	Α	М	Р	L	E
	or J	(J) John Jones	Wash., DC	Promissory Note	2000	10%	n/a	On dmd				X	Е	X	Α	М	Р	L	E
1 (J) Great Western Bank		Lincoln, NE	Mortgage (residence) (sold in 2012 by Great Western Bank)	2011	3.375	1/4 pt	15 yrs				Х								
2 (J) PNC		Dayton, OH	Mortgage (residence) (purchased by PNC)	2012	3.375	0	15 yrs				Х								
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