## UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

Amendment						**************************************	
Last Name	First Name and Middle Initia	<u> </u>		Annual Report			
Schumer	Charles, E			Calendar Year Covered by Report 2012	Senate Office / Agency in Which Employed U.S. Senate		AP-7-120-120-7-120-120-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Nu	umber (Include Area	Code)	Termination Report			
322 Hart Bldg, Washington, DC 20510	202-224-6542			Termination Date (mm/dd/yy)	Prior Office / Agency in Which Employed		
AFTER READING THE INSTRUCT	TIONS – ANSWI	ER EACH	OF T	HESE QUESTIONS AN	ND ATTACH THE RELEVA	NT PA	۱RT
		YES NO				YES	NO
Did any individual or organization make a donation to paying you for a speech, appearance, or article in the If Yes, complete and attach PART I.			reis	d you, your spouse, or dependent of mbursements for travel in the report from one source)? Yes, complete and attach PART VI	child receive any reportable travel or or or or ing period (i.e., worth more than		X
Did you or your spouse have earned income (e.g., salinvestment income of more than \$200 from any report reporting period?  If Yes, complete and attach PART II.	able source in the		(m	you, your spouse, or dependent or ore than \$10,000) during the repor es, complete and attach PART VI	rting period?	X	
Did you, your spouse, or dependent child hold any rep more than \$1,000 at the end of the period, or receive to investment income of more than \$200 in the reporting If Yes, complete & attach PART IIIA and/or IIIB.	unearned or		cui	you hold any reportable positions rent calendar year? es, complete and attach PART VI	on or before the date of filing in the		X
Did you, your spouse, or dependent child purchase, se reportable asset worth more than \$1,000 in the reportion of the second stack PART IV.			ent	you have any reportable agreementity? es, complete and attach PART IX	ent or arrangement with an outside	X	
Did you, your spouse, or dependent child receive any reporting period (i.e., aggregating more than \$350 and exempt)?  If Yes, complete and attach PART V.	reportable gift in the not otherwise		\$5,	nis is your FIRST Report: Did you 000 from a single source in the two	receive compensation of more than on prior years?	SECN	
Each question must be	e answered an	d the appr	opri	ate PART attached for	each "YES" response.	- E	
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Reporting Indi	Charles E		T II. EARNED	AND NON-INVESTME	NT INCOME	Page Number
0011011101,				<u> </u>		
For your amount rules. Government of your answers.	spouse, report the source (reeds to be specified for you ernment for you or your spouse, report the land for your spouse, your spouse	name and address) and to ur spouse. (See p.3, COI ouse. honoraria income receive	ype of earned inco NTENTS OF REPO	me which aggregate \$1,000 o PRTS Part B of Instructions.) [	ng \$200 or more during the report more during the reporting perion not report income from employers and descripation reported on Part I.	od. No oyment by the
	Name of Income	Source	Ac	dress (City, State)	Type of Income	Amount
Example:	JP Computers		Wash., DC	EXAMPLE	Salary	\$15,000
Example.	MCI (Spouse)	- " • · · · · · · · · · · · · · · · · · ·	Arlington, VA	EXAMPLE	Salary	Over \$1,000
1 U.S. S	Senate		Washington, DC		Salary	172,887
2 (S) Cit	y University of New York		New York, NY		Salary	234,368
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9				•		——————————————————————————————————————
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traded asset held by you, your spouse, or your dependent child, (See p. 3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period, and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.  Type of Income  Amount of Income  Amount of Income  Adoutal Advantage (Specify Type)  Actual Advantage (Specify Type)  Type)  Type of Income  Amount of Income  Amount of Income  Amount of Income  Actual Advantage (Specify Type)  Type)  Type of Income  Amount of Income  Actual Advantage (Specify Type)  Type)  Type of Income  Amount of Income  Amount of Income  Actual Advantage (Specify Type)  Type of Income  Amount of Income  Amount of Income  Amount of Income  Actual Advantage (Specify Type)  Type of Income  Amount of Income  Actual Amount of Income  Amount of Income  Actual Amount of Income  Amount of Income  Amount of Income  Actual Amount of Income  Amount of Income  Amount of Income  Amount of Income  Actual Amount of Income  Actual Amount of Income  Actual Amount of Income  Income of the reporting period.  Include on this PART IIIIA a complete of each public bond, mutual public bond of the publ	R	eporting Ind	nd v@ @ @ 0 6 2 Amendment																	•				<u> </u>		_									Pag	ge Number
Identity of Publicly Traded Assets And Unearmed Income Sources Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p. 8.) and it is the close of reporting period. If there is the ship of the child is the close of the protection of instructions for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period, include on this PART IIII ha complete income during the reporting period, include on this PART IIII ha complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified billid flusts, and publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified billid flusts, and publicly traded partnership interest.  Example: DC, ISBM Corp. (slock)    St.   ISBM Corp. (slock)   St.   ISBM Corp. (slock)   St.   ISBM Corp. (slock)   St.   ISBM Corp. (slock)   St.   ISBM Corp. (slock)   St.   ISBM Corp. (slock)   St.   ISBM Corp. (slock)   St.   ISBM Corp. (slock)   St.   ISBM Corp. (slock)   St.   ISBM Corp. (slock)   St.   ISBM Corp. (slock)   St.   ISBM Corp. (slock)   St.   ISBM Corp. (slock)   St.   ISBM Corp. (slock)   ISBM Corp. (		Schum	ner, Charles E	P	AR	TI	IIA	.	PU	BL	.IC	LY	TF	₹A	DE	ED	AS	SE	ET:	S A	IN	ΟL	INE	EARNI	ΞD	IN	CC	M	ΕŞ	30	UR	CE	ES			3
Traded asset held by you, your spouse, or your dependent child, (Sep. 3) and some or instructions) for production of income or investment with thich.  (1) had a value exceeding \$1,000 at the close of the reporting period, and/or (2) generated over \$200 in "uneamed" income during the reporting period, and/or (2) generated over \$200 in "uneamed" income during the reporting period, and/or (2) generated over \$200 in "uneamed" income during the reporting period, and/or (2) generated over \$200 in "uneamed" income during the reporting period, and/or (2) generated over \$200 in "uneamed" income during the reporting period, and/or (2) generated over \$200 in "uneamed" income during the reporting period, and/or deach public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind rutests, and publicly traded assets of a retirement plan.  Example DC or J Si McGran (stock)  Value Line Money Market  X X X X X X X X X X X X X X X X X X X			tity of Publicly Traded Assets			A	t the	luat close	t <b>ion</b> e of 1	<b>of</b> repo	Ass rting	perí	od.								•		7	rype an					ncc	me	<u>;</u>					
Instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period, include on this PART IIII a complete income during the reporting period. Include on this PART IIII a complete identification of each public bond, mutual fund, publicly traded partnership interest, included in the public bond, mutual fund, publicly traded partnership interest, included in the public bond, mutual fund, publicly traded partnership interest, included in the public bond, mutual fund, publicly traded partnership interest, included in the public bond, mutual fund, publicly traded partnership interest, included in the public bond, mutual fund, publicly traded partnership interest, included in the public bond, mutual fund, publicly traded partnership interest, included in the public bond, mutual fund, publicly traded partnership interest, included in the public bond, mutual fund, publicly traded partnership interest, included in the public bond, mutual fund, publicly traded assets of a retirement plan.  Example: Oct.   Island Copy, (stock)   Island	tr y	raded as our dep	sset held by you, your spouse, or pendent child, (See p.3,		<b>—</b>	<del></del>	lf No	ne, c	or les	s tha	an \$	1,00	1, T	_	_				Typ	e c	of In	cor	ne	-;			1		Am	ou	nt o	of In	100	ne	<u> </u>	<u></u>
Or J (S) Keystone Fund	// ir Ir ic ft e a tr	nstruction nvestme (1) had close (2) gen incor include of dentification xcepted excepted excepted excepted rusts, an	ent which: d a value exceeding \$1,000 at the e of the reporting period; and/or nerated over \$200 in "unearned" me during the reporting period. on this PART IIIA a complete ation of each public bond, mutual blicly traded partnership interest, d investment funds, bank s, excepted and qualified blind and publicly traded assets of a	ne (or less than \$1,001)	,001 - \$15,000	5,001 -	0,001 - \$100,00	00,001 - \$250,	0,001 - \$500,0	,001 - \$1,000,0	ver \$1,000,	,000,001 - \$5,000,	,000,001 - \$25,000,	,000,001 - \$50,000,	000'000'			Rent	Interest	Capital Gains	ed Investment Fu	18	Qualified Blind Trust	(Specify		1 - \$1,00	,001 - \$2,	,501 - \$5,	,001 - \$15	,001 - \$50,0	,001 - \$100,0	00,001 - \$1,000,0	ver \$1,000,	,000,001 - \$5,000,	ᄓᇰᆝ	Actual Amount Required if "Other" Specified
Value Line Money Market   X   X   Example   X     Example   X	_ _	vamnle: [	S, IBM Corp. (stock)		†		х									一	X							Example		·X										Example
Morgan Stanley Bank Deposit (Money Market)  X  X  X  X  X  X  X  X  X  X  X  X  X		•	(C) Variation Front		工			х							1						х			Example	Х											Example
Triborouph Bridge and Tunnel Authority NY Revenue, Series A X X X X X X X X X X X X X X X X X X	1		Value Line Money Market	-	×										: .		×								×											
Bonds    Federal National Mortgage Association, 10 yr, 4.375% Bonds   X   X   X   X   X   X   X   X   X	2		Morgan Stanley Bank Deposit (Money Mark	ket)			×												×						×			•								
Pleasantville, NY General Obligation Bonds, Series B	3			^	×														×							×										
Pensco Trust Company IRA (cash account, formerly Lincoln Trust K K K K K K K K K K K K K K K K K K K	4		Federal National Mortgage Association, 10 yr, 4.375% Bor	nds	×														×							×							_			
Government Securities Fund IRA)  Catskill, NY Central School District Bonds  Morgan Stanley Bank Deposit (Money Market)  Congressional Federal Credit Union  X  X  X  X  X  X  X  X  X  X  X  X  X	5 _		Pleasantville, NY General Obligation Bonds, Series	s B	×														×							×		•				:				
Morgan Stanley Bank Deposit (Money Market)  Congressional Federal Credit Union  X  X  X  X  X  X  X  X  X  X  X  X  X	3		Pensco Trust Company IRA (cash account, formerly Lincoln 1 Government Securities Fund IRA)	Trust	×														×						×											
J Congressional Federal Credit Union x	7		Catskill, NY Central School District Bon	nds	×														×							×										•
	3	J	Morgan Stanley Bank Deposit (Money Mark	ket)	T	×		:											×						×											
0 J Citibank CD x x x x x x x x x x x x x x x x x x	•	J	Congressional Federal Credit Uni	ion			×								П				×		<u> </u>	<del> </del>				×				$\dashv$	$\top$					
	0	J	Citibank CD			×				:		-			П			<del> </del>	×	厂	$oxed{}$				×					$\dashv$	<b>—</b>	$\dashv$				

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

		er, Charles E	P	AR	T II	IIA.	. 1	PU	BL	ICI	LY	TF	RA	DE	D.	AS	SE	ETS	- S A	NE	) U	INE	EARN	— ED	IN	CC	M	E.S	50	— UR	CE	 ES		Рас	ge Number
R	ident And Report th	BLOCK A  ity of Publicly Traded Assets  Unearned Income Sources  e complete name of each publicle	y		At	Val	l <b>uat</b> close	BLO ion e of r	CK of a	B <b>Ass</b> rting	ets	od.						•					Гуре an	BI	-00	КC	-								4
		set held by you, your spouse, or endent child, (See p.3,	Ĺ		-	Cr	neck	the i	irst o	colun	nn.					·	•	Тур	e o	f Inc	con	ne	····					Am	ou	nt o	f In	con	ne		<del></del>
Christian Indicator	CONTEN- nstruction nvestme (1) had close (2) gen incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- incon- in	ITS OF REPORTS Part B of ins) for production of income or int which: a value exceeding \$1,000 at the of the reporting period; and/or erated over \$200 in "unearned" ne during the reporting period. It is PART IIIA a complete tion of each public bond, mutual licly traded partnership interest, investment funds, bank, excepted and qualified blind d publicly traded assets of a	None (or less than \$1,001)	1 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	er \$1,000,	\$1,000,001 - \$5,000,000	er \$5,000,000	Actual Amount Required if "Other" Specified
<b>-</b>	xample: D	S, IBM Corp. (stock)	十			X						:		Н		х				:			Example		х						一				Example
	-	r J (S) Keystone Fund					Х	: .												х			Example	х											Example
1	J	Catskill, NY Central School District Bond	ds	×														×	:					×				:							
2	s	Teachers Retirement System Deferred Compensation (City University of New York, New York, NY)			×															×				·	×	·								$\neg \dagger$	
3	s	TIAA-CREF Tax Deferred Compensation (City University of Ne York, New York, NY)	w		×															×					×						$\dashv$				<u>-</u>
\$	s	Morgan Stanley Bank Deposit (Money Marke	et)				×											×							×						7				
5	S	Pensco Trust Company IRA (cash account, formerly Lincoln Tre Government Securities Fund IRA)	ust	×														×						×							十	7		一	
}	s	NYC Deferred Compensation					×									•				×			<u> </u>		×						$\neg$	$\dashv$	$\Box$	$\dashv$	
,	s	Municipal Credit Union		×														×	_				- '-	×		一				7	$\dashv$		1	$\dashv$	
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Schumer, C			RT IV	'. TI	RAN	SACTIONS									Page	e Numb	εг
			,						Am	oun	t of	Trai	nsac	ctior	1 (x)		
child (See p.3 reporting period other securities Include transatinvolving properties).	CONTENTS OF REPort of of any real property, so when the amount of actions that resulted in erty used solely as you	nge by you, your spouse, or dependent ORTS Part B of Instructions) during the stocks, bonds, commodity futures, and the transaction exceeded \$1,000. a loss. Do not report a transaction or personal residence, or a transaction dent child. Please clarify which two able exchange.	_	nsac ype (		Transaction Date (Mo., Day, Yr.)	001 - \$15,000	001 - \$50,000	001 - \$100,000	0,001 - \$250,000	0,001 - \$500,000	0,001 - \$1,000,000	r \$1,000,000***	000,001 - \$5,000,000	00,001 - \$25,000,000	000,000 - \$50,000,000	r \$50,000,000
	Identificat	ion of Assets	Pur	Sale	Exc		\$1,0	\$15,	\$50,	\$100	\$250	\$500,	Ove	\$1,0	\$5,0	\$25,	Ove
S, Example: DC,	IBM Corp. (stock)	NYSE	X			2/1/1X		Х			Ε	Х	Α	М	Р	L	Ε
or J	(DC) Microsoft (stock) N	ASDAQ/OTC		X	:	1/27/1X				Х	E	Х	Α	М	Р	L	E
1 S US Tr	easury Federal Strip Intere	st Payment Zero Coupon IRA		X		11/15/2012				X							
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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Reporting	, Individ	lual's Na	me Amend	ment												Ł	Page No	mber		
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											Cat	ego	ry c	f A	mοι	ınt	of V	alue	e (x	<u>=</u>
p.3, C time d report rented furnitu	ONT uring ing p I (exc ire of	the teriod cept apple	S OF REPORTS reporting period. . Exclude: (1) m <b>for Senators)</b> ; (2 iances; and (3) li	Part B of Instruction,	ouse, or dependent child (See ons), to any one creditor at any amount owed during the ersonal residences unless automobiles, household rtain relatives listed in g charge accounts.	Date Incurred	Interest Rate	count Points Paid for tgage (Senators Only)	Term if Applicable	01 - \$15,000	001 - \$50,000	01 - \$100,000	001 - \$250,000	001 - \$500,000	001 - \$1,000,000	\$1,000,000***	0,001 - \$5,000,000	0,001 - \$25,000,000	000,001 - \$50,000,000	\$50,000,000
	Nar	ne of	Creditor	Address	Type of Liability			Disco Mortga		\$10,001	\$15,0	\$50,001	Ισ	\$250,	\$500,(	Over \$		σĺ	\$25,0(	Over §
Exam		§,	First District Bank	Wash., DC	Mortgage on undeveloped land	1992	13%	1 pt	25 yrs			Х		Ε	X	Α	М	Р	L	E
	or		(J) John Jones	Wash., DC	Promissory Note	2000	10%	n/a	On dmd			L	Х	E	X	Α	М	Р	L	E
1 J	Pi	нн м	lortgage	Mt. Laurel, NJ	Mortgage on primary residence (refinanced to Citibank)	2002	6.85%	n/a	15 yrs		x									; m
2 J	Ci	tibank	•	New York, NY	Mortgage on primary residence	2012	3.375°	n/a	10 yrs			×								
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Reporting Individual	s Name	Amendment

Schumer, Charles E

## PART IX. AGREEMENTS OR ARRANGEMENTS

Page Number

7

Report your agreements or arrangements for future employment (including agreements with a publisher for writing a book or sale of other intellectual property), leaves of absence, continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. See Instructions regarding the reporting of negotiations for any of these arrangements or benefits.

L	<del></del>			·	
		Status and Terms of any Agreement or Arrangement	Parties		Date
F	xample:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on services performed through 11/0X and retained pension benefits (diversified, independently managed, fully funded, defined contribution plan)	Jones & Smith, Hometown, USA	EXAMPLE	1/94
		Employment agreement with XYZ Co. to become Vice President of Government Relations. Terms of agreement include salary between \$50,001-\$100,000, signing bonus between \$2,501-\$5,000 and stock options	XYZ Co., Bethesda, MD	EXAMPLE	1 / 1X
1	"Pursu	ant to agreement with Rodale, Inc., in 2007 Senator Schumer authored a book entitled	Emmaus, PA		1/08
2	"Pos	itively American." Under the terms of the agreement the Senator received an advance (disclosed			
3	in the	e 2007 report) against royalties and is entitled to receive ongoing standard royalties			
4	The	above agreement was reviewed and approved by the Senate Ethics Committee in advance.			
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