

Secretary of the Senate  
Office of Public Records  
Hart Building, Suite 232  
Washington, DC 20510

This Report Should Be Filed With:

# PERIODIC DISCLOSURE OF FINANCIAL TRANSACTIONS

(Time/Date)

Reporting Individual's Name

☐ Amendment

Senator Susan Collins

Senate Office / Agency in Which Employed

United States Senate

Page Number

1 of 2

Report any purchase, sale, or exchange by you, your spouse, or dependent child within 30 days of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction.

## Identification of Assets

Example:

(S) Spouse  
(DC) Dependent  
(J) Joint

IBM Corp. (stock) NYSE

(DC) Microsoft (stock)

NASDAQ/OTC

1	(S) Amazon.com	X			8/16/12	X													
2	(S) Baidu Ads	X			8/21/12	X													
3	(S) CVS Caremark Corp	X			8/21/12	X													
4	(S) Freeport-McMoran Copper & Gold	X			8/21/12	X													
5	(S) Halliburton Co	X			8/22/12	X													
6	(S) News Corp Inc	X			8/22/12	X													
7	(S) Comcast Corp	X			9/6/12	X													
8	(S) United Parcel Service Inc		X		9/6/12	X													
9	(S) EMC Corp	X			9/7/12	X													
10	(S) Microsoft Corp		X		9/7/12	X													
11	(S) Qualcomm Inc	X			9/7/12	X													
12	(S) General Motors Co	X			9/10/12	X													

RECEIVED  
U.S. SENATE  
13 MAY 14 AM 9:14

00000622028

RECEIVED  
SECRETARY OF THE SENATE  
13 MAY 14 AM 9:14

Senator Susan Collins

**TRANSACTIONS (continued)**

(use only if needed)

2 of 2

				Amount of Transaction (x)															
				Transaction Type (x)			Transaction Date (Mo., Day, Yr.)												
				Purchase	Sale	Exchange													
Identification of Assets																			
1	(S) Kraft Foods Inc		X				9/10/12	X											
2	(S) Amazon.com	X					9/14/12	X											
3	(S) Comcast Corp	X					9/14/12	X											
4	(S) Precision Castparts Corp		X				9/14/12	X											
5	(S) Duke Energy Corp		X				9/18/12	X											
6	(S) EMC Corp	X					9/18/12	X											
7	(S) Citigroup Inc	X					9/26/12	X											
8	(S) JPMorgan Chase & Co	X					9/26/12	X											
9	(S) PNC Financial Services Group		X				9/26/12	X											
10	(S) Time Warner Cable Inc	X					9/26/12	X											
11	(S) Ensc0 Plc Cl A		X				9/27/12	X											
12																			
13																			
14																			
15																			
16																			
17																			

00000622029