

# UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION REPORTS

Last Name	First Name and Middle Initial	Annual Report Calendar Year Covered by Report:	Senate Office / Agency in Which Employed
Johnson	Ronald H.	2011	U.S. Senate
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report Termination Date (mm/dd/yy):	Prior Office / Agency in Which Employed
386 Russell Senate Building, Washington DC 20510	(202) 224-5323		

## AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART


	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, Complete and Attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$335 from one source)? If Yes, Complete and Attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, Complete and Attach PART VII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, Complete & Attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, Complete and Attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, Complete and Attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, Complete and Attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If Yes, Complete and Attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, Complete and Attach PART X.	<input type="checkbox"/>	<input type="checkbox"/>

**Each question must be answered and the appropriate PART attached for each "YES" response.**

**File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. \$200 Penalty for filing more than 30 days after due date.**

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 4, § 104, and 18 U.S.C. § 1001.)

**FOR OFFICIAL USE ONLY  
Do Not Write Below this Line**

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/15/2012
<b>For Official Use Only - Do Not Write Below This Line</b>		
It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.	Signature of Reviewing Official	Date (Month, Day, Year)

SECRETARY OF THE SENATE  
 12 MAY 15 PM 12:31

Reporting Individual's Name			PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES																		Page Number 2											
BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.			BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001,										BLOCK C Type and Amount of Income																			
													Type of Income										Amount of Income									
			None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***
Example: S, DC, or J	IBM Corp. (stock)			X									X								Example	X										Example
	(S) Keystone Fund				X												X				Example	X										Example
1	S	(S) Bemis Co (stock)		X									X									X										
2	J	Associated Bank N.A. (cash)			X										X							X										
3	J	(J) Charles Schwab (cash)							X						X							X										
4	J	*(J) Allstate Corporation (stock)	X										X									X										
5	J	*(J) Eastman Chemical (stock)	X										X										X									
6	J	*(J) General Electric Company (stock)	X										X									X										
7	J	*(J) Merck & Co Inc New (stock)	X										X									X										
8	J	*(J) Philip Morris Intl Inc. (stock)	X										X									X										
9		Green Bay WI (tax-exempt bond)	X												X	X						X	X									
10		Arlington IL (tax-exempt bond)			X										X							X										
11		Wisconsin St Health & EFA (tax-exempt bond)				X									X										X							
12		Baird General Money Market (cash)					X						X									X										
13		*Canon Inc ADR (stock)	X										X									X										
14		*Electrobras Centrais Electricas ADR (stock)	X										X										X									
15		*Glaxosmithkline PLC Spons ADR (stock)	X										X									X										
16		*Marks & Spencer Group Plc Sponsored (stock)	X										X									X										
17		*Vodafone Group PLC Spon ADR New (stock)	X										X									X										
18		Pershing Advisor Solutions, LLC (cash)		X									X									X										
19		HSA Bank (cash)		X											X							X										
20	S	(S) Associated Wealth Management IRA (see line 21 for detailed entries)																														
21	S	(S) Goldman Sachs Financial Square Prime (cash)			X										X							X										
22		Associated Wealth Management IRA (see line 23 for detailed entries)																														
23		Goldman Sachs Financial Square Prime (cash)			X										X							X										
24	J	Jane C & Ronald H Johnson JT Rev TR (see line 25 for detailed entries)																														
25	J	Enron Corp Corn (settlement re: prior year holdings)	X													X						X										
*Stock was sold in 2010 and reported on 2010 report. Income derived in 2011 due to dividends declared for stockholders of record prior to sale in 2010 and paid out in 2011.																																

Reporting Individual's Name Ronald H. Johnson		PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES																Page Number 3													
BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources			BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																		
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.			At the close of reporting period. If None, or less than \$1,001, Check the first column.										Type of Income							Amount of Income											
													None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000
S, JP Computer, Software Design, Wash DC Example: DC, or J Undeveloped land, Dubuque, Iowa						X												Example	X												Example
							X											Example	X												Example
1		Pacur, LLC (5% interest) Plastic Extrusion Oshkosh, WI												X						X											\$4,767,301
2		Associated Wealth Management - Associated Growth LifeStage Fund (401K), Investment Fund														X															
3		Dynamic Drinkware, LLC (4% interest) Cup Mfg, Oshkosh, WI	X																	X											
4		Welsh Midwest RE Fund, LLC (2.68%) Commercial Real Estate Rentals, MN																		X		X									
5		Orchard at Picacho, LLC, Land (.73%) Development, Pichacho, AZ																		X											
6	J	Ronald H. And Jane K. Johnson LLC, (100%) Comm. Real Estate Rental, Oshkosh, WI																										X			
7		For Line 6 above, Value determined under Option 7 Assessed Value of Real Property \$4,487,300																													
8																															
9																															
10																															
EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.																															
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.																															



Reporting Individual's Name

Ronald H. Johnson

## PART IV. TRANSACTIONS

Page Number

4

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Identification of Assets		Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)										
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
Example: S, DC, or J	IBM Corp. (stock) NYSE	X			2 / 1 / 1X		X			E	X	A	M	P	L	E
	(DC) Microsoft (stock) NASDAQ/OTC		X		1 / 27 / 1X				X	E	X	A	M	P	L	E
1	<input type="checkbox"/> Green Bay WI (tax exempt bond)		X		02/01/11		X									
2	<input type="checkbox"/> Arlington IL (tax exempt bond) <input checked="" type="checkbox"/>	X			01/19/11			X								
3	<input type="checkbox"/>															
4	<input type="checkbox"/>															
5	<input type="checkbox"/>															
6	<input type="checkbox"/>															
7	<input type="checkbox"/>															
8	<input type="checkbox"/>															
9	<input type="checkbox"/>															
10	<input type="checkbox"/>															
11	<input type="checkbox"/>															
12	<input type="checkbox"/>															

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Name

Ronald H. Johnson

**PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT**

Page Number

5

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

**Exclude:** Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

Name of Organization		Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	National Assn. of Rock Collectors	NY,NY <b>EXAMPLE</b>	Non-profit education	President	6 / 91	Present
	Jones & Smith	Hometown, USA <b>EXAMPLE</b>	Law Firm	Partner	7 / 96	11 / 1X
1	Grammie Jean Foundation, Inc.	Oshkosh, WI	Non-profit	President/Director	3/09	Present
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						

Compensation in excess of \$200 from any position must be reported in Part II.

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