

**This Report Should Be Filed With:**

Secretary of the Senate  
Office of Public Records  
Hart Building, Suite 232  
Washington, DC 20510

# PERIODIC DISCLOSURE OF FINANCIAL TRANSACTIONS

RECEIVED  
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PUBLIC RECORDS

2020 FEB -4 PM 3:05 (Time/Date)

Reporting Individual's Name <b>Richard Blumenthal</b>		<input type="checkbox"/> Amendment		Senate Office / Agency in Which Employed <b>United States Senate</b>										Page Number <b>1</b>				
<p>Report any purchase, sale, or exchange by you, your spouse, or dependent child <b>within 30 days</b> of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction.</p>				Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)										
				Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
Identification of Assets																		
Example: (S) Spouse (DC) Dependent Child (J) Joint		IBM Corp. (stock) NYSE		X			2/1/1X		X			E	X	A	M	P	L	E
		(DC) Microsoft (stock) NASDAQ/OTC			X		2/27/1X				X	E	X	A	M	P	L	E
1	(S) Peter L Malkin Family 9 LLC:																	
2	(S) Valiant Peregrine Fund, LP			X			12/12/19			X								
3	(S) ICG Commercial Mortgage LLC (non-public operating company)			X			1/13/20					X						
4																		
5	(S) CMB 2009 GRAT - Peter L Malkin Family 9 LLC:																	
6	(S) Valiant Peregrine Fund, LP			X			12/12/19		X									
7																		
8	(S) 3M's Partners LLC:																	
9	(S) ELCM2 LLC				X		12/26/19		X									
10																		
11	(S) Peter L Malkin Family 9 LLC - 3M's Partners LLC:																	
12	(S) ELCM2 LLC				X		12/26/19		X									

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(use only if needed)

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February 4, 2020

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Secretary of the Senate  
Office of Public Records  
232 Hart Senate Office Building  
Washington, D.C. 20510

**Re: Senator Richard Blumenthal**

Dear Madame Secretary:

We are writing on behalf of Senator Richard Blumenthal. Enclosed please find Senator Blumenthal's Periodic Disclosure of Financial Transactions ("PTR") for transactions dated December 12, 2019 through January 13, 2020.

Please do not hesitate to call us should you have further questions. Thank you for your attention to this matter.

Very truly yours,



Marc Erik Elias  
Kate Sawyer Keane  
Counsel to Senator Richard Blumenthal

Enclosures