# This Report Should Be Filed With:

Secretary of the Senate Office of Public Records Hart Building, Suite 232 Washington, DC 20510

# PERIODIC DISCLOSUI OF FINANCIAL TRANSACTIONS

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• MEMBER •

NEW YORK STOCK EXCHANGE

SECURITIES INVESTORS PROTECTION CORPORATION

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IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR NOTIFY US NAMEDIATELY FOR FULL EXPLANATION CONTACT YOUR INVESTIMENT REPRESENTATIVE OR THE SALES MANAGER

CODE EXPLANATION

Us our we firmmests Stephens line
ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

Cash General Maigin 3 Strort nungen 0 Broker Doaler

CAPACITY IN WHICH THE FIRM ACTED

CAP.

ဂ As Agent for you we have sold or bought this security. If includit fund, we have purchased or redeeliged mutual fund shares. We also receive remulieration from funds under setting agent, distribution, administration or other agreements. See fund prospectus and SAI re applicable convintation (load) and other remuneration. Further information is available on written request.

As Principalities have solo to you or bought from you this security As Abent for another we have sold to you or bought from you this security As abent for both buyer and seller charging a commission to buyer or sollier or both Commission amounts may differ regency Cross transaction). As principal with commission field displaying markup or auxisdown. The order in The finde Price reported unless kits an average pixel set below."

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• MEMBER •

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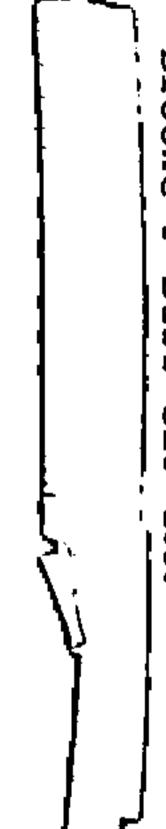
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## ONFIRMATION

THANK YOU FOR LETTING US SERVE

### AGREEMENT

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CODE EXPLANATION

Us out we firm means Steptions inc
ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED 3 Short Irargin 0 Broker Dealer

CAPACITY IN WHICH THE FIRM ACTED ₩-Cash General Margin

- As Agent for you we have sold or brought this security. If multial fond, we has purchased or redoctned motival fund shares. We also receive remarkation from funds under sellent agent, distribution, administration or other agreements. See fund prospectus and SAI re applicable constrission (load) and other remarkation. Further information is available on written request.
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CURITIES • MEMBER •
NEW YORK STOCK EXCHANGE
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## ONFIRMATION

## THANK YOU FOR LETTING US SERVE YOU.

### AGREEMENT

Us, our, we, firm means Stephens Inc.

- That all transactions are subject to the rules and regulations of the Federa Reserve Board and customs of the Exchange or Market (and its Clearing House if any) where executed. That all securities from time to time carried in the customer's open account, or deposited to protect the same may be toaned or pledged by the broker either separately or with other securities of other customers in respect for a sum, not in axcess of the aggregate indebtedness of such customers inrespect of such securities. That delivery of sucurities sold and payment for securities bought must be received by us a our office not later than the "Settlement Date" otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commincte with other securities so purchased until as soon as practicable after receipt of payment.
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- 00000000010 A. That this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawalls from or additions to existing firm personnel, where the customer does not indicate his nonacquiescence in such agreement.

  In some cases Stephens receives payment for directing orders to particular broker/dealers or market centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon request.

  Time of execution available bonds or preferred stock held in bulk segregation, impartial lotteries are used by the depository and Stephens to apportion the bonds. Uncalled fully paid securities may be withdrawn prior to call unless prohibited by regulations. Optials available upon request. If bonds have been delivered to you, we assume no responsibility for notification of redemption prior to maturally but will make every effort to alert you when call information becomes known.

  Callable Securities: Call teatures may exist which could affect yield. Complete information available on request. All mutual tunds are sold by prospectus. Confirmation is acknowledgement of receipt of prospectus. You may be eligible to breakpoint discounts based on the size of your purchase, current hackings or future purchases. The sales charge you paid may differ slightly from the Prospectus discbased refer due to rounding calculations. Please refer to the Prospectus. Statement of Additional information or contact your financial advisor for futher information. We may receive payments as an Exchange Broker from the fund. Additional information concerning the security will be provided upon request. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of
- CMOs-vields are subject to fluctuation depending on the speed in which the underlying note or receivable prepays. Specific information is available upon written request. If we acted as agent, name of person with whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request. Zero coupon bonds No periodic payments -- callable below maturity value without prior notice by mall to holder unler for advisory accounts, written consent for agency cross transactions may be revoked at any time by written consent to us or adviosry affiliate.

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IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY, FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER.

CODE EXPLANATION

Us, our, we, firm means Stephens Inc.

ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED Short margin
 Broker Dealer

ş 1 Cash 2 General Margin CAPACITY IN WHICH THE FIRM ACTED

- our chased or redeemed mutual fund shares. We also receive remuneration from funds under stelling agent, distribution, administration or other agreements. See fund prospectus and SAI re applicable commission (load) and other remuneration. Further information is available on written request.

  As Principal we have sold to you or bought from you this security.

  As agent for another we have sold to you or bought from you this security.

  As agent for both buyer and selfer, charging a commission to buyer or selfer or both. Commission amounts may differ. (Agency Cross Transaction)

  As principal with commission field displaying markup or markdown. The price is "The Trade Price reported, unless it is an average price, see below."

  As Principal: we make a market in this security.

  Riskless Principal: we make a market in this security.

  Riskless Principal: This transaction is part of an underwritten distribution in which the gent and/or ogency cross.

  As Principal: This transaction is part of an underwritten distribution in which the Firm is participaling or has a financial relevant, and your acceptance of thus Confurmation is acknowledgement of your receipt of the official offering materials.

  R As Agent for you we have redeemed or tendered your security.

  THE TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES, DETAILS AVAILABLE ON REQUEST."

Adjusted Options: When standardized options are adjusted as a result of corporate examine of the option as slock dividends or stock spits, the number of shares deliverable upon examine of the option may be adjusted and the option exercise price, expressed as a price of share of the shares subject to the option may also be adjusted, as described in The Options Clearing Corporation Brochure, emitted Characteristics and Risks of Standardized Options, as amonded ("Brochure). The confirmations provided for transactions in standardized options, as amonded ("Brochure). The confirmations provided for transactions in standardized options, and will disclose adjacent to the abbreviation "EASP", the epimated adjusted sinker option, and will disclose adjacent to the abbreviation "EASP", the epimated adjusted sinker price, empressed as a price per share, for the shares subject to therption, all calculated in accordance with the descriptions set forth in the Brochure.

Investment securities and products are HOT FDIC insured, are NOT obligations of or guaranteed by any base and ensuring to LOSS of the principal amount invested (except for certain brokered certificates of deposit, which are also subject to certain risks.)

Asset-backet security-subject continuously to prepayment accusity feld may vary according to the rate at which the underlying receivable request.

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KIFO Least Galn/righ Cost

LIFO Least Galn/righ Cost

CFO Most Galn/Low Cost www.emmo.msrb.org
HLB (Federal Home Loan Bank); www.fhlb-of.com
NMA (Fonnie Moe);
www.fonniernoe.com
ponted copy of the Official Statement will be provided upon req FFC8 (Federal Form Credit); www.farmcredit-ficb.com FHLMC (Freddie Mac). www.freddiemac.com GNMA (Ginnie Mae): www.ginniemae.gov wss to Stephene.

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 SECURITIES INVESTORS PROTECTION CORPORATION

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## ONFIRMATION

## THANK YOU FOR LETTING US SERVE

### AGREEMENT

Us, our, we, firm means Stephens Inc.

- reed between this firm and the customer.

  That all transactions are subject to the rules and regulations of the Federa Reserve Board and customs of the Exchange or Market (and its Clearing House if any) where executed. That all securities from time to time carried in the customer's open account, or deposited to protect the same may be toaned or pledged by the broker either separately or with other securities of other customers in respect for a sum, not in excess of the aggregate indebtedness of such customers inrespect of such securities.

  That delivery of sucurities sold and payment for securities bought must be received by us a our office not later than the "Settlement Date" otherwise interest charges, additional taxes and commincte with other securities so purchased until as soon as practicable after receipt of such securities.
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- that this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawals from or additions to existing firm personnel, where the customer does not indicate his nonacquiescence in such agreement.

  In some cases Stephens receives payment for directing orders to particular broker/deaters or market centers for execution, when such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.

  There may be, under some circumstances, an additional charge for odd-lot executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.

  In the event of a call of callable bonds or preferred stock held in bulk segregation, importial lotteries are used by the depository and Stephens to apportion the bonds. Uncalled fully paid securities may be withdrawn prior to call unless prohibited by regulations. Opialis available upon request.

  Callable Securities: Call teatures may exist which could affect year. Complete information or exclusive may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sakes charge you paid may differ slightly from the Prospectus disclosed rate due to rounding calculations. Please refer to the Prospectus. Statement of exclusion or contact your financial advisor for further information. We may receive payments as an Exchange Broker from the fund. Additional information or contact your the financial statement for the issue, if prepared, is available if requested within one year of the date of the transaction.

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IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY, FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER.

CODE EXPLANATION
Us, our, we, firm means Stephens Inc.
ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

Short margin
 Broker Dealer

1 Cash
2 General Margin
CAPACITY IN WHICH THE FIRM ACTED

- 1 G As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under seeling opent, distribution, administration or other agreements. See fund prospectus and SAI re applicable commission (load) and other remuneration. Further information is available on written request.
  2 As Principal we have sold to you or bought from you this security.
  3 As Agent for another we have sold to you or bought from you this security.
  4 As agent for both buyer and seller, changing a commission to buyer or seller or both. Commission amounts may differ. (Agency Cross Transaction)
  5 As principal with commission field displaying markup or markdown. The price is The Trade Price reported, unless it is an average price, see below.
  6 As Principal; we make a market in this security.
  7 Riskless Principal
  8 Mutitiple capacities, as some combination of principal, riskless principal, agent and/or agency cross.
  A B F As Principal; This transaction is part of an underwritten distribution in which the Firm is participating or has a financial interest, and your ecceptance of this Conferencion is acknowledgement of your receipt of the official offering materials.
  F RANSACTION IS CONFIRMED AS AN AVERAGE OF THE PORTED PRICES, DETAILS AVAILABLE ON REQUEST.\*

Adjusted Options: When standardized options are adjusted as a result of corporate exercise of the option may be adjusted and the option exercise para, expressed as a preaper share of the option may be adjusted and the option exercise para, expressed as a preaper share of the shares subject to the option may also be adjusted, as described in the Options Clearing Coirporation Brochure, entitled Characteristrics and Risks of Standardized Options, as amended ("Brochure). The confirmations provided for transactions in standardized options that have been effected by such adjustments will disclose adjusted number of shares deliverable upon exercise of such option, and will disclose adjusted number of shares deliverable upon exercise of such option, and will disclose adjusted number of shares deliverable upon exercise of such option, and calculated in accordance with the descriptions set forth in the Brochure.

Investment securities and products are NOT FDIC Insured, are NOT obligations of or guaranteed by any bank and are subject to LOSS of the principal amount invested (except for extern brokers) certificates of deposit, which are also subject to certain risks.)

Asset-backed security-subject continuously to prepayment, actual yield may vary according to the rate at which the underlying racelysted upon written request.

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www.emmopals:
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NMA (Formic Mac):
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www.formicmac.com
primed copy of the Official Statement will be provided upon rec

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SECURITIES INVESTORS PROTECTION CORPORATION

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## ONFIRMATION

THANK YOU FOR LETTING US SERVE YOU.

### AGREEMENT

Us, our, we, firm means Stephens Inc.

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- hat all transactions are subject to the rules and regulations of the Federa Reserve Board of customs of the Exchange or Market (and its Clearing House if any) where executed. It all securities from time to time carried in the customer's open account, or deposited protect the same may be loaned or pledged by the broker either separately or with her securities of other customers in respect for a surn, not in axcess of the aggregate debtedness of such customers inrespect of such securities. It delivery of sucurities sold and payment for securities bought must be received by us at a delivery of sucurities sold and payment the otherwise interest charges, additional taxes of premiums are chargeable. Pending full payment on purchases we may hypothecate and commincle with other securities so purchased until as soon as practicable after receipt payment.
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  - ≓ p̄ that this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawals from or additions to existing firm personnel, where the customer does not indicate his nonacquiescence in such agreement.

    In some cases Stephens receives payment for directing orders to particular broker/dealers or market centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.

    Time of execution available upon request.

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    There may be, under some circumstances, an additional charge for odd-lot executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.

    There may be, under some circumstances, an additional charge for odd-lot executions in the form of a cell of callable bonds or preferred stock held in bulk segregation, impartial lotteries are used by the depository and Stephens to apportion the bonds. Uncalled fully paid securities may be withdrawn prior to call unless prohibited by regulations. Despile available upon request. If bonds have been delivered to you, we assume no responsibility for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.

    Callable Securities: Call teatures may exist which could affect yield. Complete information available on request. Additional information concerning the security will be provided upon request. You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sales charge you paid may differ slightly from the Prospectus discount of redemption or contact your financial advisor for further information. We may receive payment as an Exchange Broker from the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction. Additional information concerning the security official statement for the issue, if prepared, is the transaction.
- ü AOs—yields are subject to fluctuation depending on the speed in which the underlying note or zervable prepays. Specific information is available upon written request. We acted as agent, name of person with whom the transaction was made and source and sounce and to holder unless advisory accounts, written consent for agency cross transactions may be revoked at any time written consent to us or advisory affiliate.

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- ë estma.org/Services/Standard-Forms-and-Documentation/Securalized-Products/Securatized-Products-Fals-Ch Cross Transaction consent may be revoked at any time by written notice to Stephens.

IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY. FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER.

CODE EXPLANATION

Us, our, we, firm means Stephens Inc.
ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

CAPACITY IN WHICH THE FIRM ACTED Cash General Margin Short margin
 Broker Dealer

- purchased or redeemed multipal fund shares. We also receive remuneration from funds under selling opent, distribution, administration or other agreements. See tund prospectus and SAI re applicable commission (load) and other remuneration. Further information is evaliable on written request.

  2 As Principal we have sold to you or bought from you this security.

  3 As Agent for another we have sold to you or bought from you this security.

  4 As agent tor both buyer and selter, changing a commission to buyer or selter or both. Commission amounts may differ. (Agency Cross Transaction)

  5 As principal with commission field displaying markup or markdown. The price is The Trade Price reported, unless it is an average price, see below. As Principal; we make a market in this security.

  7 Riskless Principal

  8 Multiple capacities, as some combination of principal, riskless principal, agent and/or agency cross.

  A B F As Principal or risks a financial elevant, and your acceptance of this Contimation is acknowledgement of your receipt of the official offering materials.

  R As Agent for you we have redecemed or tendered your security.

  15 TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES, DETAILS AVAILABLE ON REQUEST.

Adjusted Options: When stendardized options are adjusted as a rosult of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, expressed as a price per share of the sphere subject to the option may also be adjusted, as described in The Options Clearing Coirporation Brochure, entitled Characteristrics and Ricks of Standardized Options. As amended ("Brochure). The confirmations provided for transactions in standardized options that have been affected by such adjustments will disclose adjacent to the abbraviation "EASP", the estimated adjusted atribe option, and will disclose adjacent to the abbraviation "EASP", the estimated adjusted atribe option, and will disclose adjacent to the abbraviation "EASP", the estimated adjusted in necessaries with the descriptions set forth in the Brochure.

Investment securities and products are thort fine amount invested (except for certain brokers) certificates of depocit, which are subject to Certain nets.)

Asserbactive security-subject continuously to prepayment, actual yield may vary according to the rate of which the underlying receivables or other financial assets are prepaid, information concerning factors that affect yield (including at a minimum estimated yield, weighted average lies, and the prepayment assumptions underlying yield) burstited upon when in equest.

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HLB (Federal Home Loan Bank): www.emmo.msrb.org

HLA (Federal Home Loan Bank): www.formicmoo.com

NNA (Federal Noc):

primes copy of the Official Statement will be provided upon rec FFC8 (Federal Farm Credit): www.farmcredit-ffcb.com FHUMC (Fraddie Mac). www.farmcredit-ffcb.com GNMA (Ginnie Mae): www.graniemae gov wst to Saphana.