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Amendment	· · · · · · · · · · · · · · · · · · ·											
Last Name		First Name and Middle Init	al			Annual Report 💮 😭						
						Calendar Year Covere	ed by Report:	Senate Office / Agency in Whice	ch Employed			
Brown		Sherrod C.				2011		Office of Senator Sh	errod Brow	n		
Senate Office Address (Number, Street, C	City, State, and ZIP Code)	Senate Office Telephone N	lumber (incli	ude Area Coo	le)	Termination Report						
713 Hart SOB Washingt	on DC 20510	202 224-2315				Termination Date (mr	n/dd/yy):	Prior Office / Agency in Which	Employed			
AFTER READIN	IG THE INSTRU	CTIONS - ANSV	VER EA	ACH OF	TH	IESE QUEST	IONS AND	ATTACH THE RE	LEVANT F	PART		
			YES	NO						YES NO		
Did any individual or organization paying you for a speech, appear of Yes, Complete and Attach PA	rance, or article in the	charity in lieu of reporting period?			reim \$350		avel in the repo	child receive any reportaborting period (i.e., worth mover)				
Did you or your spouse have ea investment income of more than reporting period? If Yes, Complete and Attach PA	\$200 from any report		X		(moi	you, your spouse, re than \$10,000) o es, Complete and	during the repo	_	liability .			
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, Complete & Attach PART IIIA and/or IIIB.												
Did you, your spouse, or depend reportable asset worth more that If Yes, Complete and Attach PA	n \$1,000 in the reporti		\times		entit	_		ent or arrangement with a	n outside			
Did you, your spouse, or depend reporting period (i.e., aggregation exempt)? If Yes, Complete and Attach PA	ig more than \$350 and			X	\$5,0	is is your FIRST R 000 from a single s es, Complete and	source in the ty	u receive compensation of wo prior years?	more than			
— ·		t be answered a	and the	appro	pria	te PART atta	ched for e	each "YES" respon	ise.	1		
File this report and any a Senate, Washington, DC	amendments with 20510. \$200 Per	n the Secretary of nalty for filing mo	the Se re than	nate, O 30 day	ffice s aft	of Public Rec er due date.	ords, Roor	n 232, Hart Senate C	Office Build			
This Financial Disclosure States by the Office of the Secretary on Ethics. Any individual who criminal sanctions. (See 5 U.	y of the Senate to an o knowingly and will	ny requesting persor Ifully falsifies, or who and 18 U.S.C. § 100	n upon w knowing (1.)	ritten app gly and v	plicat	ion and will be re	eviewed by the report may be	he Select Committee be subject to civil and	_	CIAL USE ONLY telescope this Line		
Certification		Signature of Rep	orting Indi	vidual	<u> KTEKETE</u>		Date	(Month, Day, Year)	2	0		
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.							5	15/19	PM 2: 1	E THE SEN		
		For Official Use Only - I	Do Not Wr	ite Below	This L	ine						
It is the Opinion of the reviewer that		Signature of Re	viewing Of	fficial			Date	(Month, Day, Year)		ריין.		
the statements made in this form are in compliance with Title I of the Ethics in Government Act.		-				. .		-				

Reporting Individual's Name	ا كَا دُا	4 Amen	dment
Sherrod Brown			

PART II. EARNED AND NON-INVESTMENT INCOME

Page Number

2

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

	Name of Income Source	Addı	ess (City, State)	Type of income	Amount
xample:	JP Computers	Wash., DC	EXAMPLE	Salary	\$15,000
T	MCI (Spouse)	Arlington, VA	EXAMPLE	Salary	Over \$1,000
The P	lain Dealer (spouse)	Cleveland, OH		salary	over \$1000
Create	ors Syndicate (spouse)	Los Angeles, CA	•	writing fees	over \$1000
Parac	le Magazine (spouse)	New York, NY		writing fees	over \$1000
James	Prendergast Library Assoc. (spouse)	Jamestown, NY - 1	0/18/11	speaking fee	\$1000
				-	·
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Rep	porting Individual's Names To La Amendment					_					_		-					_										_	_	_	_	_	Pa	ge Number
Sh	herrod Brown	P/	AR	T 11	IA.	. F	יטי	BL	.IC	LY	TF	RS —	DE	Đ.	AS	SE	ETS	3 A	/NE) U	INE	EARNE	ΞD	IN	CC	M	ΕS	301	JR	CE	ES			3
	BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources eport the complete name of each public	١٧		At	t the o	luati close ne, or	e of r or les	of a	Ass rting an \$1	perio 1,001	iod. 11,					•					1	Гуре and			K C unt		ncc	me	,					
trac	aded asset held by you, your spouse, or	-			Ch	heck t	the f	first o	colur	nn.							Тур	e o	f Inc	con	ne						Am	nour	nt c	of In	ICOI	me		
Included functions according to the contract of the contract o	our dependent child, (See p.3, ONTENTS OF REPORTS Part B of structions) for production of income or vestment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. clude on this PART IIIA a complete entification of each public bond, mutual nd, publicly traded partnership interest, excepted investment funds, bank excepted investment funds, bank excepted and qualified blind lasts, and publicly traded assets of a stirement plan.	than \$1,001)	1 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	0,001 - \$25,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	1	Dividends	١z	Interest	Capital Gains	Excepted Investment Fund	epted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	/er \$5,000,000	Actual Amount Required if "Other" Specified
Fxa	S, IBM Corp. (stock)		<u> </u>		х									上	х							Example		х										Example
	or J (S) Keystone Fund	\bot				X													X			Example	X											Example
1	Fidelity Magellan (mf)		×										\coprod						×	,			×											
2	Muhlenkamp Fund (mf)			×					,										×				×											
3	Ohio Public Employees Retirement System (pension	on)				×													×				×											
4	S Plain Dealer Credit Union (checkin	ıg)	×														×						×											
5	Lorain National Bank (checking)		×														×						×											
6	JPMorgan Chase (stock - Emily Brown tru	ıst) 🗶													<u> </u>			×					\prod			×								
7]	J Huntington National Bank (checkin	ng)			×										\top	igwedge	×						×											
8																							П											
9													П																					
		\top											П		†			$oxed{\top}$										\Box	\bigcap					

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Sherrod Brown

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

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Assets	BLOCK A entity of Non-Publicly Traded and Unearned Income Sources the name, address (city, state and			At	the o	uati close ie, oi	on of r	epor s tha	Ass ting in \$1	BLOCK C Assets Type and Amount of Income ing period. 1 \$1,001, olumn.																								
	ion) of each interest held by you,	l			Ch	eck t	the f	irst c	colun	nn.				┢			Tvp	e o	f In	con	ne						An	lou	nt c	f in	cor	me		
p.3, CO Instructi or inves	NTENTS OF REPORTS Part B of ons) for the production of income tment in a non-public trade or swhich:																																	Actual
clos (2) ge inco Include underlyi the trade assets h	d a value exceeding \$1,000 at the se of the reporting period; and/or nerated over \$200 in "unearned" ome during the reporting period. The above report for each ng asset, which is not incidental to e or business. Publicly traded seld by non-public entity may be a Part IIIA.	None (or less than \$1,001)		01 - \$50,	\$50,001 - \$100,000	,001	,001 - \$500,00	\$500,001 - \$1,000,000	\$1,000	,000,000	\$5,000,001 - \$25,000,000	50	r \$50,000,000	None	Dividends	Į.	Interest	Capital Gains	ᅵᅏ	Excepted Trust	ed Blind	Other (Specify Type)	e (or less t	\$201 - \$1,000	001 - \$2,50	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	er \$5,000,000	Amoun Require if "Other" Specifie
Example:	S, JP Computer, Software Design, DC, Wash DC				Х										x							Example		X										Example
•	or J Undeveloped land, Dubuque, Iowa					Х								X								Example	X										•	Example
1	Brownlea Farm, LLC, Mansfield OH (family farm)						×	·						×									×											
2					٠				:					L																				
3							·					L																		:				<u> </u>
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EXEMPTIO	N TEST (see instructions before marking box): If egory applies only if the asset is/was held independent	you inden	omitt	ed an	y as	set b se or	ecau dep	ise it	mee	ets th	e thi	ee-p	oart te	est fo	or exe	mpti er he	on de ld by	escril	bed in	n the	instru	uctions, ple eld, use the	ase othe	chec er cal	k box tegor	to t	he riç of val	ght. ue, a	ıs ap	oropr	iate.			, [

Re	porting ind	ividual's		PAR	T IV	'. TF	RAN	SACTIONS									Page	Numb	er
								· 			Am	oun	t of	Trai	nsac	tion	(x)		
			The state of the s	by you, your spouse, or dependent S Part B of Instructions) during the		nsact ype (:									_			0	
ot In in	her seconde to the colude to the colude to the column of t	curitie ransa prop you,	s when the amount of the to ctions that resulted in a loss erty used solely as your per your spouse, or dependent involved in any reportable		urchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	1,001 - \$15,000	15,001 - \$50,000	50,001 - \$100,000	100,001 - \$250,000	250,001 - \$500,000	\$500,001 - \$1,000,000	ver \$1,000,000***	1,000,001 - \$5,000,000	0,000,	5,000,001 - \$50,000,00	
_			Identification o	·	<u> </u>	S	Ä		è	ેંડ	₩.	↔	\$2	₩	Ó	€9	\$2	\$2	Ľ
	Example	: DC, or J	IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDA	- "-	X	. X		2/1/1X 1/27/1X		X		x	E	X	A	M	P	 	
1		1	Varner (stock)			×		3/17/11	×						7.		-		
2		JPMc	rgan Chase (stock - Emily Bı	rown trust)		×		8/26/11	×										
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11			· · · · · · · · · · · · · · · · · · ·					·				<u> </u>				,			_
12	-																		
				: If you omitted any asset because it meets the thre															

Reporting Individual Names & Denterdiment	
Sherrod Brown	

PART VII. LIABILITIES

Page Number

6

										Ca	iteg	ory (of A	mοι	<u>ınt c</u>	of Va	llue	(x)	
CONTENTS OF REPORTS Particularly during the reporting period. Chaperiod. Exclude: (1) Mortgages secured by automobiles, house		F REPORTS Part orting period. Chec le: (1) Mortgages of tomobiles, househo	B of Instructions), to ck the highest amou on your personal res old furniture or appli		Date Incurred	Interest Rate	Term if Applicable	001 - \$15,000	001 - \$50,000	001 - \$100,000	,001 - \$250,000	,001 - \$500,000	,001 - \$1,000,000	\$1,000,000	00,000 - \$5,000,000	000,001 - \$25,000,000	000,000, \$50,000,000	\$50,000,000	
	Nam	ne c	of Creditor	Address	Type of Liability				\$10,0	\$15,0	\$50,0	\$100	\$250	\$500	Over	\$1,000	\$5,00	\$25,000,	Over
	S	•	First District Bank	Wash., DC	Mortgage on undeveloped land	1992	13%	25 <i>yr</i> s			Х		E	Х	Α	М	Ρ	L	E
	xample: DC or .		(J) John Jones	Wash., DC	Promissory Note	2000	10%	On dmd				х	E	X	Α	M	Ρ	L	E
1	First	t Fede	ral S&L Association of Lakewood	Lakewood, OH	mortgage on home	2011	3.25	10 yrs				×							
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individuals Name =	Amendment
Sherrod Brown	

PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Page Number

7

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

	N	ame of Organization	Address	(City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Exam	nie [,]	National Assn. of Rock Collectors	NY,NY E	XAMPLE	Non-profit education	President	6/91	Present
	pie.	Jones & Smith	Hometown, USA	EXAMPLE	Law Firm	Partner	7/96	11 / 1X
1 Ga	aliaudo	et University	Washington, DC		higher education institution	board member	6/08	present
2 En	nily C.	Brown trust	Mansfield, OH		trust	trustee	2/09	present
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Compensation in excess of \$200 from any position must be reported in Part II.

PART IX. AGREENES OR ARRANGEMENTS

Page Number

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* * * * *

Report your agreements or arrangements for future employment (including agreements with a publisher for writing a book or sale of other intellectual property), leaves of absence, continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. See Instructions regarding the reporting of negotiations for any of these arrangements or benefits.

_			·····	
		Status and Terms of any Agreement or Arrangement	Parties	Date
 	ample:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on services performed through 11/0X and retained pension benefits (diversified, independently managed, fully funded, defined contribution plan)	Jones & Smith, Hometown, USA EXAMPLE	1/94
	ample.	Employment agreement with XYZ Co. to become Vice President of Government Relations. Terms of agreement include salary between \$50,001-\$100,000, signing bonus between \$2,501-\$5,000 and stock options	XYZ Co., Bethesda, MD EXAMPLE	1/1X
1_	Book agre	ement with New Press to donate royalties from Myths of Free Trade to two charities, Cleveland Jobs with Justice and Results	New Press, New York NY	10/00
2	Book ag	reement with Kent State University Press to receive 10% of net income from sales of Congress from the Inside	Kent State University Press, Kent, OH	12/97
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