# UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR NEW EMPLOYEE AND CANDIDATE FILERS

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Amendment						
Last Name	First Name and Middle	Initial		New Employee Report		
Tillis	Thomas R.			Date of Employment (mm/dd/yy)	Senate Office / Agency in Which E	mployed
Senate / Candidate Office Address (Number, Street, City, State, and ZIP)	Senate / Candidate Of	fice Telepho	ne No.	Candidate Report		
16 West Jones Street Raleigh, North Carolina 27602	(919) 733-582	28		Commencement of Candidacy (mm/dd/yy) 05/30/2013	North Carolina	Candidate Reporting Period
AFTER READING THE INSTRUCTION	NS – ANSW	ER EA	CH O	F THESE QUESTIONS	AND ATTACH THE	RELEVANT PART
		YES	NO			YES NO
Did you or your spouse have earned income (e.g., salarie investment income of more than \$200 from any reportable reporting period?  If Yes, complete and attach PART II.	_	×		Did you hold any reportable position of Yes, complete and attach PART		od?
Did you, your spouse, or dependent child hold any reports asset worth more than \$1,000 at the end of the period or or investment income of more than \$200 in the reporting period of Yes, complete and attach PART IIIA and/or IIIB.	receive unearned	X		Did you have any reportable agree entity on the filing date? If Yes, complete and attach PART	_	n outside
Did you, your spouse, or dependent child have any report (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	able liability	X		Did you receive compensation of rethe two prior years? If Yes, complete and attach PART		le source in
Each question must be	answered ar	nd the	appro	priate PART attached for	or each "YES" res	onse.
					: • • • • • • • • • • • • • • • • • • •	OR OFFICIAL USE ONLY Not Write Below this Line
						SECRETARY OF
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Reporting Individual's Name	Amendmen
Thomas R. Tillis	

#### PART II. EARNED AND NON-INVESTMENT INCOME

Page Number

1

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

#### Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

		Name of Income Source	Address (City, State)	Type of Income	Amount
Exa	ample:	JP Computers  MCI (Spouse)	Wash., DC EXAMPLE  Arlington, VA EXAMPLE	Salary Salary	\$15,000 Over \$1,000
1	North	Carolina General Assembly	16 West Jones Street, Raleigh, NC 27602	Salary	\$38,151.00
2	North	Carolina General Assembly	16 West Jones Street, Raleigh, NC 27602	Expense Allowance	\$16,956.00
3	Allen	Tate Realtors	19460 Old Jetton Road, Cornelius, NC 28031	Salary	\$73,838.52
4	Allen T	ate Realtors	19460 Old Jetton Road, Cornelius, NC 28031	Commission	\$1811.25
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Reporting	Individual's Name	Amendment	D	V D.	T //		•		nı	101	V	<b>-</b> -			_	• •	٥.	т.				Note	- A DNI								~			Page	e Number
Thon	nas R. Tillis		Ρ/	4K		IA.	. t	-0	BL		_ Y <del></del>		(AI	JE	ע ע	A5 —	3E	: 12	• A	NL	. U	NE	ARN	:D	IN	<u> </u>	IVI	<u> </u>		JR	CE	:S			1
A: Report	-	Traded Assets ome Sources me of each publicly				the f No	luat close ne, o neck	ion e of r r les	epor s tha	Ass ting in \$1	perio	d.										7	ype and		-	K C int		nco	me						
	asset ne!લ by yot ependent child, <i>(</i> ડ	a, your spouse, or See p.3,	L	_	_	_		_									_	Тур	e oʻ	f Inc	con	ne	<del></del>					Am	oui	nt o	f Ind	con	10		
Instruction investor (1) hocker	se of the reporting the recommendated over \$20 come during the recommendated the rec	ding \$1,000 at the g period; and/or 00 in "unearned" eporting period.	\$1,001)				C	0	000		000'	0,000	000,000							it Fund			Other	(201)							000		000		Actual Amount Required
Include identification fund, proceedings account trusts,	e on this PART III. cation of each pul- cublicly traded par- ted investment fur- nts, excepted and and publicly trade- tent plan.	A a complete blic bond, mutual tnership interest, nds, bank qualified blind	None (or less than \$	\$15,000	1 - \$5(	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000	00,001 - \$25,0	00,001 - \$50,	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investmen	Excepted Trust	Qualified Blind Trust	(Specify Type)	(or less tha	\$201 - \$1,000	\$1,001 - \$2,500	1 - \$5,(	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	,001 - \$1,000,	Over \$1,000,000***	\$1,000,001 - \$5,000,	8	if "Other" Specified
Example	e: DC,	orp. (stock)				Х										Х							Example		Х		:								Example
gustas and a	or J (S) Keysto	ne Fund 	╄	-	-	┞	Х								_					X			Example	Х											Example
1 J	IBM Corp. (s	tock - IBM)	<u> </u>	ļ		×									×									×						:					
2 J	CVS Carem	ark (stock - CVS)	L	<b>×</b>											×							ļ		×											<u>.                                    </u>
3 J	MUNDER GROWTH O	PPT CL A (Mutual Fund -		×											×									×											
4 J	Wells Fargo Mo	oney Market Account					×								×									×											
5 J	Wells Fargo Investme Capital APRCTION-IN	nt Acct xx <del>100</del> 4 - Harbor IST #2012 (HACAX)						×							×									×											
6 J	Wells Fargo Investme TOTAL RET FD-INS	ent Acct x⊭ <del>1004</del> - PIMCO T #35 (PTTRX)						×							×									×										_	
7 J	Wells Fargo Investme ISCHARES RUSSEL	ent Acct xx1007 - L 1000 VALUE (IWD)					×								×									×								·			
8 J	Wells Fargo Investment HILL LONG-SHORT C	nt Acct xx1004 - DIAMOND OF CL i #11 (DHLSX)					×								×					:				×										,	
9 J	Wells Fargo Investme	nt Acct xx4004 - VANGUARD D-ADM #452 (VWIUX)				×									×									×											
10 J	Wells Fargo Investme	nt Acct xx1004 - NUVEEN D-R #1668 (NHMRX)				×									×									×											
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tr	And eport thaded as	sset held by you	raded Assets ome Sources he of each public your spouse, or			Α	t the If No	iuat close ne, c	tion e of e	•	Ass rting an \$1	perio 1,001	od.					7	Гур	e of	f Inc	on		Type and			K C int	of I	nco			f In	con	ne			_
C In in In id for a tri	CONTEN- nstruction nvestme (1) had close (2) gen incor- incor- clude of dentification and, pub- accounts	e of the reporting nerated over \$20 me during the report this PART IIIA tion of each publicly traded part investment funds, excepted and out of publicly traded and out of publicly traded	on of income or ing \$1,000 at the period; and/or or income or on the period; and/or porting period. A complete lic bond, mutual nership interest, ds, bank qualified blind		None (or less than \$1,001)	15 001 - \$50	01 - \$10(	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	0,001 -	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000,***	\$1,000,001 - \$5,000,000	er \$5,000,000	Actual Amount Required if "Other" Specified	it ec
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2	J	Wells Fargo Investmer EMERGING MKTS PT	nt Acct xx			×										×									×		···· <b></b>										_
3	J	Wells Fargo Inve	estment Acct xx	5		T		×			<u>-</u>					×					×				×												_
4	J	Wells Fargo Managed I SELECT CAP FD- I #13	RA xx <b>2054 -</b> WESTPORT 3 (WPSCX)			1		×								×									×					· · · · · ·							_
5	J	Wells Fargo Managed I POOLED TR INTL EQU	RA xx <b>eeu</b> r - DELAWARE JITY #31 (DPIEX)	<u> </u>				×								×									×												
6	J	Wells Fargo Managed RUSSELL 1000 VALU	IRA xx 1 - ISHARES E (IWD)					×								×									×												
7	J	Wells Fargo Managed CAPITAL APROTION-	IRA xx <del>200</del> 1 - HARBOR INST #2012 (HACAX)				×									×			,						×												
8	J	Wells Fargo Managed I EMERGING MARKETS					×									x									x				·····								
9	J	Wells Fargo Managed II RET FD-INST #35 (PTT	RA xx <u>zad</u> 1 - PIMCO TOT RX)	AL		×										x									×												_
10	J	Wells Fargo Managed II MARKET DEPOSIT AC	RA xx <b>456</b> 1 - SECURED COUNT (SMDA)			×										×									×				, ,								
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BLOCK A  Identity of Publicly Traded Assets And Unearned Income Sources  Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3,			At	the i	uat close ne, o neck	ion e of r r les	epor s tha	Ass ting in \$1	perio 1,001	od.				·	1	Тур	e o	f Inc	con		ype and			K C	of I			·	f In	con	ne		
CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000		Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	(or less tha	\$201 - \$1,000	- \$2,	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	00	Actual Amount Required if "Other" Specified
S, IBM Corp. (stock)  Example: DC,				Х										Х	igsqcup						Example		X										Example
or j (S) Keystone Fund	igwdap				X								╟	+	-	+	<u> </u>	<del>  ×</del>	L	┝	Example	×							igwdown			$\vdash \vdash$	Example
Wells Fargo Managed IRA xx <del>200</del> 1 - DIAMOND HILL LONG-SHORT FD CL I #11 (DHLSX)	Ш	×			_			. <del></del>					×	·   ·		$oldsymbol{ol}}}}}}}}}}}}}}$						×		:	····-								
2 S College Savings Plan – ME Susan Tillis FBO LTillis – Account xx0466			×										×									×											
3 J Aquesta Bank Savings account			×								:																						
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Reporting I	ndividual's Name	Amendment	_			_									_												-							Pag	ge Number
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Assets Report t	the name, addres				At	Value the confinence of the co	u <b>ati</b> dose	on of re	eport s that	Ass ing p n \$1	perio ,001	d.											ype and		LOC <b>mo</b> l	_									
•	•	pendent child (See	L	_											L	<del></del>	_	Гур	e of	fInd	con	<b>зе</b>	<del>,</del>					Am	oui	nt o	f In	con	пе		<del>,</del>
p.3, CO Instructi or inves busines (1) ha clos (2) ge inco Include underlyi the trade assets h	ions) for the productment in a non-passed which: and a value exceed the reporting the reporting the reporting asset, which is e or business. Proceed by non-publications	PORTS Part B of Juction of income Jublic trade or June 1,000 at the period; and/or 200 in "unearned" porting period. For each is not incidental to Jublicly traded	None (or less than \$1,001)	11 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
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Example:		l land, Dubuque, Iowa	┢	$\vdash$	$\vdash$	$\vdash$	x	┪	╼╉		-				l <del>∖</del> x	╫	$\vdash$	<del>                                     </del>		<del> </del>			Example	X								H			Example
1 J	TRT Holdings LLC (50	0% ownership) - Rental IC Single Family Dwelling				×			:								x											×							
ر 2	TRT Holdings LLC (50 property - Charlotte, N	0% ownership) - Rental IC Single Family Dwelling				×											×											×							
3 \		% ownership) - Rental NC Single Family Dwelling				×						. "	_				×											×							
4 J	Rental Condo	o - Davidson, NC					×										×											×							
5 J	Rental House	- Huntersville, NC								x							×												×						
6 J	Rental Cond	o - Nashville, TN				×											x										×								
ر 7	Securian Financial SV Universal Life (\$2M do	•		×											×		:			:				×											
8 J	Aquesta Bank, Cornel investment private sto				;	×		:							×									×						ļ				:	
9 J	Personal reside	nce - Cornelius, NC							×						×									×		ا							•		
ر 10																																			
EXEMPTIO	N TEST (see instruction agory applies only if the	ons before marking box): If e asset is/was held indepe	you ender	omitte	ed an	y ass spous	set be	ecaus depe	se it i endei	meet nt ch	ts the	thre If the	ee-pa	art te et is/	st fo	or exe eithe	mptic r hel	on de d by	scrib the fi	ed in iler o	the rjoin	instru tly he	uctions, ple eld, use the	ease othe	chec er cat	k bo tegor	x to t	he rig	ght. ue, a	s ap	propr	iate.			

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Re	porting Individu	ual's N	ame	nent													P	age Nu	ımber		
T	homas	s R	. Tillis			PART V	II. LI/ 	ABILIT	ΓIES										1	T.	
					•••							Cate	goı	ry o	f Ar	noı	ınt (	of V	alue	= (x)	
p. tir re re fu	3, CONTE ne during porting pe nted (exc rniture or	ENT the eriod <b>ept</b> app	S OF REPORTS, reporting period.  d. Exclude: (1) mo	Part B of Instruction Check the higher or your ortgages on your block to bilities owed to	ctions), est amo r persor by auto certain		Date Incurred	Interest Rate	scount Points Paid for rtgage (Senators Only)	Term if Applicable	01 - \$15,000	001 - \$50,000	01 - \$100,000	001 - \$250,000	001 - \$500,000	001 - \$1,000,000	\$1,000,000***	0,001 - \$5,000,000	0,001 - \$25,000,000	000,000, \$50,000,000	\$50,000,000
	Nam	ne o	f Creditor	Address		Type of Liability			Disc		\$10,00	\$15,0	\$50,00	\$100,	\$250,00	\$500,00	Over \$	\$1,000	\$5,000	25,	Over
1	S <b>Example</b> : DO		First District Bank	Wash., DC		Mortgage on undeveloped land	1992	13%	1 pt	25 yrs			X		Е	X	Α	М	Р	L	Ε
	or .		(J) John Jones	Wash., DC		Promissory Note	2000	10%	n/a	On dmd				X	Ε	X	Α	М	Р	L	Ε
1	٦ W	ells	Fargo	Cornelius, NC		Home Equity Loan secured against Huntersville rental house	2005	2.75%	10	30 yrs					X						
2	n M	ells	Fargo	Cornelius, NC		Mortgage on Huntersville rental house	2005	3.25%	0	30 yrs					X						
3	n M	'ells	Fargo	Cornelius, NC		Loan secured by Wells Fargo investment accounts	2013	3.75%	n/a	3 yrs					X						
4	s Fo	ord I	Motor Credit	Omaha, NE		Wife is co-signer for daughter's auto loan	2012	1.9%	n/a	5 yrs		×									
5	s Fo	ord I	Motor Credit	Omaha, NE		Wife is co-signer for son's auto loan	2012	1.9%	n/a	5 yrs		×									
6																					
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EX	EMPTION TI	EST (	see instructions before n	narking box): If you om	nitted any a	asset because it meets the three-part	test for ex	kemption d	escribed in	the instructio	ons, p	lease	chec	ck bo	x to t	he rig	jht.			一	

Reporting Individual's Name

Thomas R. Tillis

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#### PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Page Number

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Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

	N	ame of Organization	Address	(City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
F	xample:	National Assn. of Rock Collectors	NY,NY E	XAMPLE	Non-profit education	President	6/91	Present
		Jones & Smith	Hometown, USA	EXAMPLE	Law Firm	Partner	7/96	11/1X
1	NONE							
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Compensation in excess of \$200 from any position must be reported in Part II.

Reporting Individual's Name	=======================================	* Amendment
Thomas R. T	illis	

### PART IX. AGREEMENTS OR ARRANGEMENTS

Page Number

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Report your agreements or arrangements for future employment (including agreements with a publisher for writing a book or sale of other intellectual property), leaves of absence, continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. See Instructions regarding the reporting of negotiations for any of these arrangements or benefits.

		Status and Terms of any Agreement or Arrangement	Parties		Date
F	kample:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on services performed through 11/0X and retained pension benefits (diversified, independently managed, fully funded, defined contribution plan)	Jones & Smith, Hometown, USA	EXAMPLE	1/94
	vanipie.	Employment agreement with XYZ Co. to become Vice President of Government Relations. Terms of agreement include salary between \$50,001-\$100,000, signing bonus between \$2,501-\$5,000 and stock options	XYZ Co., Bethesda, MD	EXAMPLE	1 / 1X
1	NONE				
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Reporting Individual's Name

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## PART X. COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Page Number

Thomas R. Tillis

Report sources of compensation received by you or your business affiliation for services provided directly by you during the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or .any non-profit organization when you directly provided the services to the clients and/or customers of the firm that generated a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

FIRST TIME FILERS ONLY: (Except Candidate Reports - All Candidate Reports Must Include Part X If Applicable)

Name of Source		Address of Source	Brief Description of Dut	Brief Description of Duties	
Evenne	Jones & Smith	Hometown, TX	Legal Services	EXAMPLE	
Example:	Metro University (client of Jones & Smith	Moneytown, USA	Legal Services in connection with university construction	EXAMPLE	
1 NO	<b>IE</b>				
2					
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