

This Report Should Be Filed With:

Secretary of the Senate
Office of Public Records
Hart Building, Suite 232
Washington, DC 20510

PERIODIC DISCLOSURE

OF FINANCIAL
TRANSACTIONS

RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS
(Time/Date)

16 DEC -6 PM 4: 56

Reporting Individual's Name

☐ Amendment

Senate Office / Agency in Which Employed

Page Number

Richard Blumenthal

United States Senate

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Report any purchase, sale, or exchange by you, your spouse, or dependent child within 30 days of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction.

Identification of Assets

Example:
(S) Spouse IBM Corp. (stock) NYSE
(DC) Dependent (DC) Microsoft (stock)
(J) Joint NASDAQ/OTC

Purchase

Sale

Exchange

Transaction
Type (x)

Transaction
Date
(Mo., Day, Yr.)

Amount of Transaction (x)

\$1,001 - \$15,000

\$15,001 - \$50,000

\$50,001 - \$100,000

\$100,001 - \$250,000

\$250,001 - \$500,000

\$500,001 - \$1,000,000

Over \$1,000,000***

\$1,000,001 - \$5,000,000

\$5,000,001 - \$25,000,000

\$25,000,001 - \$50,000,000

Over \$50,000,000

1 (S) Peter L Malkin Family 2000 LLC:

2 (S) U.S. Suzhou, LLC

3 (S) Vanterra Direct Wellnext, LLC

4 (S) Vanterra HPH Wellnext, LP

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PERKINScoie

700 13th Street, NW RECEIVED +1.202.654.6200
Suite 600 SECRETARY OF THE SENATE PH 202.454.6211
Washington, DC 20540-3960 RECORD perkinscoie.com

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December 6, 2016

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F. (202) 654-9111

Secretary of the Senate
Office of Public Records
232 Hart Senate Office Building
Washington, DC 20510

Re: **Senator Richard Blumenthal**

Dear Madame Secretary:

We are writing on behalf of Senator Richard Blumenthal. Enclosed please find Senator Blumenthal's Periodic Disclosure of Financial Transactions ("PTR") for transactions made during the period ending November 30, 2016.

Please do not hesitate to call us should you have further questions. Thank you for your attention to this matter

Very truly yours,



Marc Erik Elias
Kate Sawyer Keane
Counsel to Senator Richard Blumenthal

Enclosure