

Secretary of the Senate  
Office of Public Records  
Hart Building, Suite 232  
Washington, DC 20510

# PERIODIC DISCLOSURE OF FINANCIAL TRANSACTIONS

SECRETARY OF THE SENATE  
(Time/Date)

15 AUG 18 PM 12:20

Reporting Individual's Name

☐ Amendment

Senate Office / Agency in Which Employed

Page Number

Richard Blumenthal

United States Senate

Report any purchase, sale, or exchange by you, your spouse, or dependent child within 30 days of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction.

## Amount of Transaction (x)

### Transaction Type (x)

Transaction Date  
(Mo., Day, Yr.)

Purchase

Sale

Exchange

\$1,001 - \$15,000

\$15,001 - \$50,000

\$50,001 - \$100,000

\$100,001 - \$250,000

\$250,001 - \$500,000

\$500,001 - \$1,000,000

Over \$1,000,000\*\*\*

\$1,000,001 - \$5,000,000

\$5,000,001 - \$25,000,000

\$25,000,001 - \$50,000,000

Over \$50,000,000

### Identification of Assets

Example:  
(S) Spouse  
(DC) Dependent  
Child  
(J) Joint

IBM Corp. (stock) NYSE

(DC) Microsoft (stock)  
NASDAQ/OTC

X

2/1/1X

X

E

X

A

M

P

L

E

X

2/27/1X

X

E

X

A

M

P

L

E

1 (S) Peter L Malkin Family 2000 LLC:

2 (S) Falcon Edge Global, LP

3 (S) Kinrose Fund, LP

4 (S) EOAGT LLC:

5 (S) Kinrose Fund, LP

(S) Peter L Malkin Family 9 LLC:

(S) Falcon Edge Global, LP

(S) CMB 2009 GRAT - Peter L Malkin Family 9 LLC:

(S) Falcon Edge Global, LP

10 Other One LLC:

11 (S) Kinrose Fund, LP

12

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SECRETARY OF THE SENATE

15 AUG 18 PM 12:21

August 18, 2015

Marc Erik Elias  
Kate Sawyer Keane  
[MElias@perkinscoie.com](mailto:MElias@perkinscoie.com)  
[KSKeane@perkinscoie.com](mailto:KSKeane@perkinscoie.com)  
D. (202) 434-1611  
F. (202) 654-9111

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232 Hart Senate Office Building  
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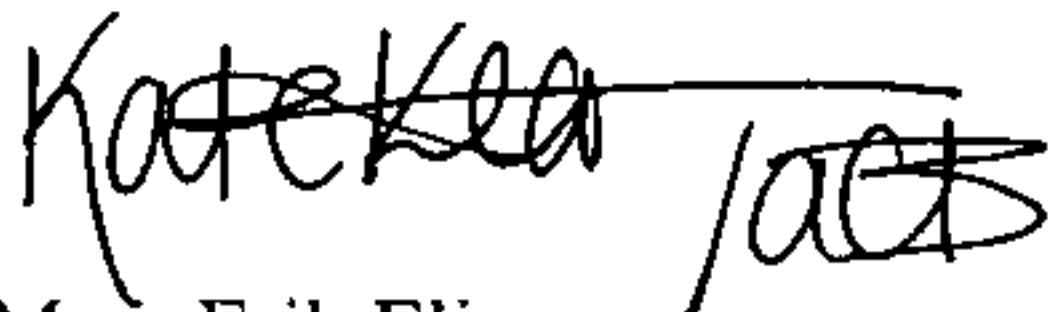
**Re: Senator Richard Blumenthal**

Dear Madame Secretary:

We are writing on behalf of Senator Richard Blumenthal. Enclosed please find Senator Blumenthal's amended Periodic Disclosure of Financial Transactions ("PTR") for several transactions made in 2014 and a new PTR for transactions made in 2015. The original PTR was filed on July 3, 2014. These corrections and additional transactions were identified during the process of preparing and reviewing Senator Blumenthal's public financial disclosure report for calendar year 2014.

Please do not hesitate to call us should you have further questions. Thank you for your attention to this matter.

Very truly yours,



Marc Erik Elias  
Kate Sawyer Keane  
Counsel to Senator Richard Blumenthal

Enclosure

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