

PERKINScoie

SECRETARY OF THE SENATE
15 DEC 22 PM 3:17

700 13th Street, NW
Suite 600
Washington, D.C. 20005-3960

T +1.202.654.6200
F +1.202.654.6211
PerkinsCoie.com

December 22, 2015

Secretary of the Senate
Office of Public Records
232 Hart Senate Office Building
Washington, DC 20510

Marc Erik Elias
Kate Sawyer Keane
MElias@perkinscoie.com
KSKeane@perkinscoie.com
D. (202) 434-1609
F. (202) 654-9126

Re: Senator Richard Blumenthal

Dear Madame Secretary:

We are writing on behalf of Senator Richard Blumenthal. Enclosed please find Senator Blumenthal's Periodic Disclosure of Financial Transactions for transactions made during the period ending November 30, 2015.

Please do not hesitate to call us should you have further questions. Thank you for your attention to this matter.

Very truly yours,



Marc Erik Elias
Kate Sawyer Keane
Counsel to Senator Richard Blumenthal

Enclosure

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This Report Should Be Filed With:

Secretary of the Senate
Office of Public Records
Hart Building, Suite 232
Washington, DC 20510

PERIODIC DISCLOSURE OF FINANCIAL TRANSACTIONS

SECRETARY OF THE SENATE

15 DEC 22 (Time/Date) PM 3:17

Reporting Individual's Name

☐ Amendment

Senate Office / Agency in Which Employed

Page Number

Richard Blumenthal

United States Senate

1

Report any purchase, sale, or exchange by you, your spouse, or dependent child **within 30 days** of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction.

Amount of Transaction (x)

Transaction
Type (x)

Transaction
Date
(Mo., Day, Yr.)

Purchase

Sale

Exchange

\$1,001 - \$15,000

\$15,001 - \$50,000

\$50,001 - \$100,000

\$100,001 - \$250,000

\$250,001 - \$500,000

\$500,001 - \$1,000,000

Over \$1,000,000***

\$1,000,001 - \$5,000,000

\$5,000,001 - \$25,000,000

\$25,000,001 - \$50,000,000

Over \$50,000,000

Identification of Assets

Example:
(S) Spouse
(DC) Dependent
Child
(J) Joint

IBM Corp. (stock) NYSE

(DC) Microsoft (stock)
NASDAQ/OTC

X

2 / 1 / 1X

X

E

X

A

M

P

L

E

X

2 / 27 / 1X

X

E

X

A

M

P

L

E

1 (S) Peter L Malkin Family 2000 LLC:

2 (S) Falcon Edge Global, LP

X

11/30/2015

X

3 (S) Peter L Malkin Family 9 LLC:

4 (S) Falcon Edge Global, LP

X

11/30/2015

X

5 (S) CMB 2009 GRAT - Peter L Malkin Family 9 LLC:

6 (S) Falcon Edge Global, LP

X

11/30/2015

X

7

8

9

10

11

12

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