This Report Should Be Filed With:

Secretary of the Senate
Office of Public Records
Hart Building, Suite 232
Washington, DC 20510

PERIODIC DISCLOSURE OF FINANCIAL TRANSACTIONS

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PUBLIC RECORDS
13 OCT -4 PM 3:47

(Insert Date)

eporting Individual's Name	☐ Amendment	Senate Office / Agency in Which Employed	Page Number
Carper, Thomas R.		Office of Sen. Thomas Carper	1

Report any purchase, sale, or exchange y you, your spouse, or dependent child **within 30 days** of receiving written otification of such transaction. Report ny stocks, bonds, commodity futures, nd other securities when the amount of he transaction exceeded $1,000. Include ansactions that resulted in a loss. Do ot report a transaction involving an xcepted investment fund, any real roperty, or a transaction between you, our spouse, or dependent child. Please larify which two assets are involved in ny reportable exchange. In no event his disclosure be filed more than 45 after such transaction.

	Identification of Assets	Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)										
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
mple: Spouse Dependent Child Joint	IBM Corp. (stock) NYSE	X			2 / 1 / 1X		X			E	X	A	M	P	L	E
	(DC) Microsoft (stock) NASDAQ/OTC		X		2 / 27 / 1X				X	E	X	A	M	P	L	E
	(s) MS Autocall Metlife		X		9/6/2013		X									
	(s) Schlumberger		X		9/6/2013		X									
	(s) RBC Autocall Blackstone	X			9/27/2013		X									

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