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Identification of Assets

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Dianne Feinstein

TRANSACTIONS (continued)

(use only if needed)

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2019 JUN 14 AM 10:35
United States Senate

June 14, 2019

Ms. Julie E. Adams
Secretary of the Senate
United States Senate
c/o 232 Hart Senate Office Building
Washington, D.C. 20510

Re: Additional Information Regarding Periodic Transaction Reports

Dear Secretary Adams:

This letter provides information about the amended and additional Periodic Transactions Reports filed today with your office.

In preparing the calendar year 2018 Annual Financial Disclosure Report, several discrepancies were discovered between my spouse's transactions disclosed in Part IV of the annual report and my spouse's transactions on the Periodic Transaction Reports filed throughout the year. Today's filings reconcile these inadvertent discrepancies.

The amended reports filed with your office today include all the correctly reported transactions in addition to those that were amended. In total, nine transactions were amended, and five additional transactions were reported that were unintentionally omitted throughout the year.

Four transactions were reported twice on both the September and October 2018 Periodic Transaction Reports. The amended October report removes these duplicate transactions, leaving them correctly reported once on the September report. The four removed duplicate entries are:

- A sale by my spouse of Alphabet, Inc., a publicly traded stock, on 10/1/18 for \$15,001-\$50,000.
- A purchase by my spouse of Bayer AG, a publicly traded stock, on 10/1/18 for \$15,001-\$50,000.
- A purchase by my spouse of Facebook, Inc., a publicly traded stock, on 10/1/18 for \$15,001-\$50,000.
- A sale by my spouse of Johnson & Johnson, a publicly traded stock, on 10/1/18 for \$15,001-\$50,000.

Five transactions were reported throughout the year on various 2018 Periodic Transaction Reports with inexact information. These corrected entries in today's amended Periodic Transaction Report filings are:

- A sale by my spouse of Allergen PLC Mandatory Convertible Preferred Shares, Series A, a publicly traded stock, on 1/22/18 for \$50,001-\$100,000. The original filing noted an incorrect transaction value of \$15,001-\$50,000.
- A purchase by my spouse of Cedar Fair, L.P., a publicly traded stock, on 3/21/18 for \$100,001-\$250,000. The original filing noted an incorrect transaction value of \$50,001-\$100,000.
- A purchase by my spouse of Starbucks Corporation, a publicly traded stock, on 3/22/18 for \$100,001-\$250,000. The original filing noted an incorrect transaction value of \$250,001-\$500,000.
- A purchase by my spouse of Agilent Technologies Inc., a publicly traded stock, on 6/19/18 for \$50,001-\$100,000. The original filing noted an incorrect transaction value of \$15,001-\$50,000.
- A sale by my spouse of Frontdoor, Inc., a publicly traded stock, on 10/30/18 for \$15,001-\$50,000. The original October report omitted the specific date of the transaction.

Five transactions were unintentionally omitted from Periodic Transaction Reports throughout the year. When this was discovered during preparation of the calendar year 2018 Annual Financial Disclosure Report, Periodic Transaction Reports were created for these transactions and filed today along with the annual report. These transactions are:

- A purchase by my spouse of Visa Inc., a publicly traded stock, on 4/6/18 for \$15,001-\$50,000.
- A sale by my spouse of JPMorgan Chase & Co., a publicly traded stock, on 4/6/18 for \$15,001-\$50,000.
- A sale by my spouse of Intel Corporation, a publicly traded stock, on 4/13/18 for \$15,001-\$50,000.
- A purchase by my spouse of Univar Inc., a publicly traded stock, on 8/1/18 for \$15,001-\$50,000.
- A purchase by my spouse of CuriosityStream LLC, a non-publicly traded stock, on 11/20/18 for over \$1,000,000.

Thank you, and please feel free to contact my chief of staff, David Grannis, at 202-224-3841 with any questions.

Sincerely,



Dianne Feinstein
United States Senator