This Report Should Be Filed With:

Secretary of the Senate Office of Public Records Hart Building, Suite 232 Washington, DC 20510

## **ERIODIC** FINANCIAL

**NSACTIONS** 

SECRETARY OF THE SENATE PUBLIC RECORDS

2019 APR 29 PH: 5:ch0,

| Z)<br>O        | Reporting Individual's Name   | 2    | ata Office      | *            | in Which Em |         |        |             |        |         |                                       |          |        |         | Pana Mimbai | ξ       |
|----------------|---|------|-----------------|--------------|-------------|---------|--------|-------------|--------|---------|---------------------------------------|----------|--------|---------|-------------|---------|
| T              | Blumen  | _ •  | ted             | States       | Senate      |         |        |             |        |         |                                       |          |        |         |             | ,       |
| ۲              | port any purchase, sa   |      |                 |              |             |         |        | <b>&gt;</b> | mour   | nt of   | rans                                  | sactio   | )n (x) |         |             |         |
| n <b>₹</b> . 9 | thin 30 days of receiving written tification of such transaction. Rep                                       | Tra  | nsact<br>ype (: | ction<br>(x) |             |         |        |             |        |         |                                       |          |        |         |             |         |
| and            | stocks, bonds, commodity futures, other securities when the amount of transaction exceeded \$1,000. Include |      |                 |              |             |         |        |             |        |         |                                       |          |        | )       | 00          |         |
| tra :          | renort a transaction involving a  |      |                 | <u>-</u> .   | Transaction |         |        |             | )      | )       | 00                                    | _        | ,000   | 0,000   | 00,00       |         |
| p e 3          | perty, or a transaction between   |      |                 |              | ate<br>Y    | 00      | 000    | ,000        | 0,000  | 0,000   | 0,00                                  | 0***     | 5,000  | 25,00   | 50,0        | 00      |
| င္က 🎸          | r spouse, or dependent chifv which two assets are in  | •    | •               | )            | ,           | 15,0    | \$50,  | \$100       | - \$25 | - \$50  | - \$1,0                               | 00,00    | 1 - \$ | 1 - \$2 | 01 - 3      | 000,0   |
| may<br>may     | eportable of this disclosed   | chas | е               | hang         |             | 001 - 3 | ,001 - | ,001 -      | 0,001  | 0,001   | 0,001                                 | er \$1,0 | 00,00  | 00,00   | ,000,0      | er \$50 |
| [              | Identification of Assets  | Pu   | Sal             | Ex           |             | \$1,    | \$15   | \$50        | \$10   | \$25    | \$50                                  | Ove      | \$1,   | \$5,    | \$25        | Ove     |
| m (S)          | : XI  | ×    |                 |              | 2/1/1X      |         | ×      |             |        | m       | ×                                     | >        | 3      | ₽       | _           | ш       |
| (J)            | Child (DC) Microsoft (stock) Joint NASDAQ/OTC   |      | ×               |              | 2/27/1X     |         |        |             | ×      | Е       | ×                                     | >        | 3      | Ъ       | _           | ш       |
| ->             | (S) Peter L Malkin Family 2000 LLC:   |      |                 |              |             |         |        |             |        |         |                                       |          |        |         |             |         |
| 2              | (S) ELCM2 LLC   |      | X               |              | 3/28/19     |         |        |             | X      |         |                                       |          |        |         |             |         |
| ω              |   |      |                 |              |             |         |        |             |        |         | · · · · · · · · · · · · · · · · · · · |          |        |         |             |         |
| 4              | (S) Peter L Malkin Family 9 LLC:  |      |                 |              |             |         |        |             |        |         |                                       |          |        |         |             |         |
| υn             | (S) ELCM2 LLC   |      | X               |              | 3/28/19     |         |        |             |        | X       |                                       |          |        |         |             |         |
| თ              |   |      |                 |              |             |         |        |             |        |         |                                       | _        |        |         |             |         |
| 7              | (S) CMB 2009 GRAT - Peter L Malkin Family 9 LLC:  |      |                 |              |             |         |        |             |        |         |                                       |          |        |         |             |         |
| ω              | (S) ELCM2 LLC   |      | X               |              | 3/28/19     |         |        |             | X      |         |                                       |          |        |         |             |         |
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| 10             | (S) Peter L Malkin Family 2000 LLC:   |      |                 |              |             |         |        |             |        | <u></u> |                                       |          |        |         |             |         |
| =              | (S) ELCM2 LLC   |      | X               |              | 4/10/19     |         |        |             | X      |         |                                       |          | _      |         |             |         |
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| Reportin     | chard Blumenthal  |            |                |        | TRANSAC                                | only            |                  | S (ς<br>eeded)    | ontin              | inued)             | <u>a</u>             |                   |                        |   | <b>T</b> .             |
|--------------|---|------------|----------------|--------|--|-----------------|------------------|-------------------|--------------------|--------------------|----------------------|-------------------|------------------------|---|------------------------|
|              |   |            |                |        |  |                 |                  | <b>D</b>          | nom                | nt of              | Tran                 | sacti             | ion (x                 |   | ٦                      |
|              |   | Tran<br>Ty | saction pe (x) | x)     |  |                 |                  |                   |                    |                    |                      |                   |                        |   | 0                      |
|              |   | rchase     | le             | change | Transaction<br>Date<br>(Mo., Day, Yr.) | ,001 - \$15,000 | 5,001 - \$50,000 | 0,001 - \$100,000 | 00,001 - \$250,000 | 50,001 - \$500,000 | 00,001 - \$1,000,000 | er \$1,000,000*** | ,000,001 - \$5,000,000 |   | ,000,001 - \$25,000,00 |
| $\  \ $      | Identification of Assets  | Pu         | Sa             | Ex     |  | \$1             | \$1:             | \$5               | \$1                | \$2                | \$5                  | Ov                | \$1,                   |   |                        |
| 1 (S)        | Peter L Malkin F  |            |                |        |  |                 | ·                |                   |                    |                    |                      | -                 |                        |   |                        |
| 2            | (S) ELCM2 LLC   |            | X              |        | 4/10/19                                |                 |                  |                   |                    |                    |                      | X                 |                        |   |                        |
| 3            |   |            |                |        |  |                 |                  |                   |                    |                    |                      |                   |                        |   |                        |
| 4 (S)        | (S) CMB 2009 GRAT - Peter L Malkin Family 9 LLC:                  |            |                |        |  |                 |                  |                   |                    |                    |                      |                   |                        |   |                        |
| ۍ<br>ص       | (S) ELCM2 LLC   |            | X              |        | 4/10/19                                |                 |                  |                   |                    | X                  |                      |                   |                        |   |                        |
| 6            |   |            |                |        |  |                 |                  |                   |                    |                    |                      |                   |                        |   |                        |
| 7 (S)        | ) Peter L Malkin Family 9 LLC:                                    |            |                |        |  |                 |                  |                   |                    |                    |                      |                   |                        |   |                        |
| 8            | (S) ELCM2 LLC   | -          | X              |        | 4/12/19                                |                 |                  |                   |                    |                    | X                    |                   |                        |   |                        |
| 9            |   |            |                |        |  |                 |                  |                   |                    |                    |                      |                   |                        |   |                        |
| 10 (S)<br>Fa | (S) CMB 2009 GRAT - Peter L Malkin Family 9 LLC:                  |            |                |        |  |                 |                  |                   |                    |                    |                      |                   | Ì                      |   |                        |
| <u> </u>     | (S) ELCM2 LLC   |            | X              |        | 4/12/19                                |                 |                  |                   | X                  | 1                  |                      |                   |                        |   |                        |
| 12           |   |            |                |        |  |                 |                  |                   |                    |                    |                      |                   |                        |   |                        |
| 13 (S)       | ) Peter L Malkin Family 2000 LLC:                                 |            |                |        |  |                 |                  |                   |                    |                    |                      |                   |                        |   |                        |
| 14 Inv       | (S) Lucas Brand Equity Special<br>Investment - MD Professional LP | X          |                |        | 4/5/19                                 | X               | i                |                   |                    |                    |                      |                   |                        | i |                        |
| 15           |   |            |                |        |  |                 |                  |                   |                    |                    |                      |                   |                        |   |                        |
| 16           |   |            |                |        |  |                 |                  |                   |                    |                    |                      |                   |                        |   |                        |
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April 29, 2019

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Secretary of the Senate Office of Public Records Washington, DC 20510 232 Hart Senate Office Building

## Re: Senator Richard Blumenthal

Dear Madame Secretary:

March 28, 2019 through April 12, Blumenthal's We are writing on behalf of Senator Richard Blumen Periodic Disclosure of Financial Transactions ("PTR") for transactions dated 2019. thal. Enclosed please find Senator

Please do not hesitate to call us should you have to this matter further questions. Thank you for your attention

⊀ours,

Elias

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Kate Sawyer Keane Counsel to Senator Richard Blumenthal

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