

JOHN BOOZMAN

ARKANSAS

320 HART SENATE OFFICE BUILDING
WASHINGTON, DC 20510
202-224-4843

COMMITTEES:

AGRICULTURE, NUTRITION AND FORESTRY

COMMERCE, SCIENCE AND TRANSPORTATION

SECRETARY FOR THE SENATE
ENVIRONMENT AND PUBLIC WORKS
VETERANS' AFFAIRS

United States Senate

WASHINGTON, DC 20510

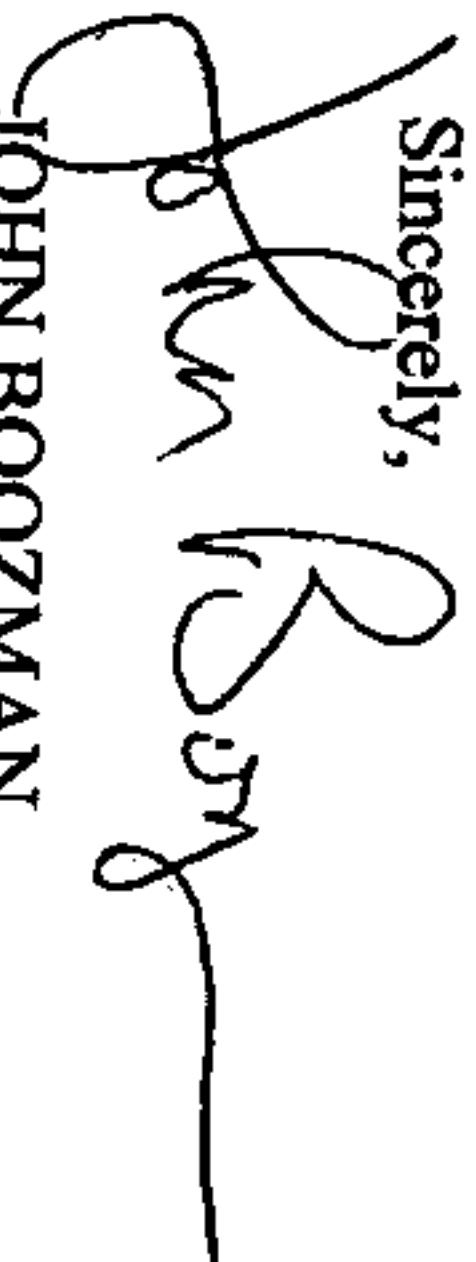
15 JUL 15 AM 11:01

July 14, 2015

Dear Senate Committee on Ethics

Attached is the clarifying information to my financial disclosure report for 2014 as requested by the Committee's letter dated June 22, 2015.

Sincerely,



JOHN BOOZMAN

U.S. Senator

JB/so

Enclosure

00000000001932

106 W. MAIN ST. SUITE 104 EL DORADO, AR 71730 870-863-4641	1120 GARRISON AVE. SUITE B FORT SMITH, AR 72901 479-573-0189	300 S. CHURCH ST. SUITE 400 JONESBORO, AR 72401 870-268-6925	1401 W. CAPITOL AVE. PLAZA F LITTLE ROCK, AR 72201 501-372-7153	213 W. MONROE SUITE N LOWELL, AR 72745 479-725-0400	1001 HWY. 62 E. SUITE 11 MOUNTAIN HOME, AR 72653 870-424-0129	620 E. 22 nd ST. SUITE 204 STUTTGART, AR 72160 870-672-6941
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019

UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

<input type="checkbox"/> Amendment			
Last Name Boozman		First Name and Middle Initial John N.	
Senate Office Address (Number, Street, City, State, and ZIP Code) Office of Senator Boozman		Senate Office Telephone Number (Include Area Code) 202-224-4843	
Annual Report Calendar Year Covered by Report 2014		Senate Office / Agency in Which Employed 141 HART	
Termination Report Termination Date (mm/dd/yy)		Prior Office / Agency in Which Employed	

AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$375 from one source)? If Yes, complete and attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income totaling \$200 or more from any reportable source in the reporting period? If Yes, complete and attach PART II.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, complete and attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$375 and not otherwise exempt)? If Yes, complete and attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, complete and attach PART X.	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Each question must be answered and the appropriate PART attached for each "YES" response.

FOR OFFICIAL USE ONLY
Do Not Write Below this Line

15 JUL 15 AM 11:00
SECRETARY OF THE SENATE

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**BLOCK A
Identity of Publicly Traded Assets
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS, Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
(2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

**BLOCK B
Valuation of Assets**

At the close of reporting period.
If None, or less than \$1,001,
check the first column.

**BLOCK C
Type and Amount of Income****Type of Income****Amount of Income**

S, Example: DC, or J		IBM Corp. (stock)		X							X			Example	X						Example
		(S) Keystone Fund			X								X	Example							Example
1	J-Arvest checking		X										X								
2	J-Fed Credit union checking	X											X								
3	J-Fed Credit union savings		X								X										
4	Senate Credit union - checking	X											X								
5	S-Region Bank (IRA) cash account	X									X										
6	J-INVESCO short term treas MM	X											X								
7	J-ABG Mun Bond Fund			X									X	X							
8	J-ABG Equity Fund		X										X	X							
9	John Boozman IRA *	see below																			
10	* INVESCO short term treas MM				X								X								

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



Reporting Individual's Name

☐ Amendment**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

Page Number

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**BLOCK A
Identity of Publicly Traded Assets
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS, Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
(2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

**BLOCK B
Valuation of Assets**

At the close of reporting period.
If None, or less than \$1,001,
check the first column.

**BLOCK C
Type and Amount of Income**

S, Example: DC, or J		IBM Corp. (stock)		X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																											
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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BLOCK A
Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, **CONTENTS OF REPORTS**, Part B of *Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B

Valuation of Assets

At the close of reporting period.
if None, or less than \$1,001,
check the first column.

BLOCK C
Type and Amount of Income

[illegible]

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

7

Reporting Individual's Name

☐ Amendment**PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

Page Number

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BLOCK A**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) for the production of income or investment in a non-public trade or business which:

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or

(2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B**Valuation of Assets**

At the close of reporting period.
If None, or less than \$1,001,
check the first column.

BLOCK C**Type and Amount of Income****Type of Income****Amount of Income**

S, JP Computer, Software Design,
Example: DC, Wash DC
or J Undeveloped land, Dubuque, Iowa

1 J-Catswabs Partners LLC

2 Rogers, AR

3 Subdivision Lots, * attachment

4 Northwestern Mutual Whole Life

5

6

7

8

9

10

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Cotswalds Partners, LLC

*Attachment Subdivision Lots

Name of Asset	Valuation of Assets	Type of Income	Amount of Income
Subdivision Lot G	15,001-50,000	None	None
Subdivision Lot H	15,001-50,000	None	None
Subdivision Lot I	15,001-50,000	None	None
Subdivision Lot M	15,001-50,000	None	None
Subdivision Lot N	15,001-50,000	None	None
Subdivision Lot O	15,001-50,000	None	None
Subdivision Lot P	15,001-50,000	None	None
Subdivision Lot Q	15,001-50,000	None	None
Subdivision Lot R	15,001-50,000	None	None
Subdivision Lot S	15,001-50,000	None	None
Subdivision Lot T	15,001-50,000	None	None
Subdivision Lot U	15,001-50,000	None	None
Subdivision Lot V	15,001-50,000	None	None
Subdivision Lot W	15,001-50,000	None	None
Subdivision Lot X	15,001-50,000	None	None
Subdivision Lot Y	15,001-50,000	None	None
Subdivision Lot Z	15,001-50,000	None	None
Subdivision Lot AA	15,001-50,000	None	None
Subdivision Lot BB	15,001-50,000	None	None
Subdivision Lot CC	15,001-50,000	None	None
Subdivision Lot DD	15,001-50,000	None	None
Subdivision Lot EE	15,001-50,000	None	None
Subdivision Lot HH	15,001-50,000	None	None
Subdivision Lot II	15,001-50,000	None	None

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Reporting Individual's Name

☐ Amendment

PART IV. TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.					Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)											
					Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
Identification of Assets																				
Example: S, DC, or J																				
IBM Corp. (stock) NYSE					X			2/1/1X		X				E	X	A	M	P	L	E
(DC) Microsoft (stock) NASDAQ/OTC						X		1/27/1X				X	E	X	A	M	P	L	E	
1	J-Cotswald lot D					X		1/20/14			X									
2	J-ABG Equity Fund					X		3/24/14 7/21/14												
3								10/27/14												
4	Ishares MSCI EAFE				X			3/31/14				X								
5	Invesco Gov't					X		3/31/14				X								
6	Ishares Barclay Agency Bd				X			6/30/14				X								
7	Invesco Gov't					X		6/30/14				X								
8	Ishare Barclay Agency Bd				X			9/30/14			X									
9	Invesco Gov't					X		9/30/14			X									
10	Ishares Barclay Agency Bd				X			12/31/14	X											
11	Invesco Gov't					X		12/31/14		X										
12																				

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Name

☐ Amendment

Page Number

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PART VII. LIABILITIES

Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) mortgages on your personal residences unless rented (**except for Senators**); (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

Name of Creditor	Address	Type of Liability	Date Incurred	Interest Rate	Discount Points Paid for Mortgage (Senators Only)	Term if Applicable	Category of Amount										
							\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
Example: S, DC, or J	First District Bank	Wash., DC	2002	13%	1 pt	25 yrs			X		E	X	A	M	P	L	E
	(J) John Jones	Wash., DC	2010	10%	n/a	On dmd				X	E	X	A	M	P	L	E
1 ARVEST BANK	Rogers, AR	mortgage	2012	4.25	0	28 yrs					X						
2 ARVEST BANK	Rogers, AR	home equity loan	2013	3.7	0	5 yrs			X								
3 ARVEST BANK	Rogers, AR	mortgage on undeveloped land	2013	3.0	0	2 yrs					X						
4																	
5																	
6																	
7																	
8																	
9																	
10																	
11																	
12																	

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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☐

Reporting Individual's Name

☐ Amendment**PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT**

Page Number

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Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

Name of Organization		Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	National Assn. of Rock Collectors	NY, NY EXAMPLE	Non-profit education	President	6 / 01	Present
	Jones & Smith	Hometown, USA EXAMPLE	Law Firm	Partner	7 / 06	11 / 1X
1	Cotswald Partners LLC	Rogers, AR	Real Estate Development	Partner	3 / 8	Present
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						

Compensation totaling \$200 or more from any position must be reported in Part II.