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UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

☐ Amendment

Last Name	First Name and Middle Initial	Annual Report: Calendar Year Covered by Report	Senate Office / Agency in Which Employed
INHOFE	JAMES M.	2013	U.S. SENATE

Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report: Termination Date (mm/dd/yy)	Prior Office / Agency In Which Employed
205 RUSSELL SENATE OFFICE BUILDING WASHINGTON, DC 20510	(202)224-4721		

AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.		X
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than $200 from any reportable source in the reporting period? If Yes, complete and attach PART II.	X	
Did you, your spouse, or dependent child hold any reportable asset worth more than $1,000 at the end of the period, or receive unearned or investment income of more than $200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.	X	
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? If Yes, complete and attach PART IV.	X	
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? If Yes, complete and attach PART V.		X
Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than $350 from one source)? If Yes, complete and attach PART VI.		X
Did you, your spouse, or dependent child have any reportable liability (more than $10,000) during the reporting period? If Yes, complete and attach PART VII.	X	
Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	X	
Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.	X	
If this is your FIRST Report: Did you receive compensation of more than $5,000 from a single source in the two prior years? If Yes, complete and attach PART X.		

Each question must be answered and the appropriate PART attached for each "YES" response.

FOR OFFICIAL USE ONLY
Do Not Write Below this Line

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Reporting Individual's Name	☐ Amendment	PART II. EARNED AND NON-INVESTMENT INCOME	Page Number
INHOFE, JAMES M.			

Report the source (name and address), type, and amount of earned income to you from any source aggregating $200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate $1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS, Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:
For you and /or your spouse, report honoraria income received which aggregates $200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

	Name of Income Source	Address (City, State)		Type of Income	Amount
Example:	*JP Computers*	*Wash., DC*	**EXAMPLE**	*Salary*	*$15,000*
	MCI (Spouse)	*Arlington, VA*	**EXAMPLE**	*Salary*	*Over $1,000*
1	WND BOOKS	MEDFORD, OR		ROYALTY	235.02
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Reporting Individual's Name: INHOFE, JAMES M.

☐ Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

BLOCK A — Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS, Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B — Valuation of Assets

At the close of reporting period. If None, or less than $1,001, check the first column.

BLOCK C — Type and Amount of Income

Block A	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 – $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Type of Income: None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	Amount of Income: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	Over $5,000,000	Actual Amount Required if "Other" Specified
	1	2	3	4	5	6	7	8	9	10	11		a	b	c	d	e	f	g	h	i	A	B	C	D	E	F	G	H	I	J	K	L
Example: S, DC, or J — IBM Corp. (stock)				X										X							Example		X										Example
(S) Keystone Fund					X													X			Example	X											Example
1 SCHEDULE ATTACHED																																	
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EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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JAMES M. INHOFE
Details of Revocable Living Trust
Capital Advisors
Securities Assets
Schedule IIIA

ATTACHMENT-PART IIIA-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

	Asset and/or Income Source	Category Year End Value	Type Income	Amount of Income
S	F&M Bank & Trust-Tulsa(Account)	6	d	C
J	Congressional Federal Credit Union(Account)	1	a	A
S	Arvest Bank & Trust-Tulsa(Account)-NAME CHANGE	5	d	B
S	Stillwater National Bank-Tulsa(Account)	5	d	B
S	Ford Motor Co.(Stock)	3	b	B
S	Dean Witter Discover	2	b	C
S	Dean Witter Stock	2	b	A
S	Visteon Corporation(Spinoff of Ford Motor Co.)	2	a	A
S	Citigroup Inc.(Transfer from The Associates*)	3	b	A
S	ONB Bank & Trust	6	d	F
J	Capital Advisors(Schedule Attached)			

*Inherited by Kay Inhofe

JAMES M. INHOFE
Details of Revocable Living Trust
Capital Advisors
Securities Assets
Schedule IIIA

	Asset and/or Income Source	Category Year End Value	Type Income	Amount of Income
J	ABBOTT LABORATORIES	4	b	B
J	AMAZON COM	3	b	A
J	AMGEN INC	4	b	B
J	APOLLO EDUCATION GROUP INC.	3	b	A
J	APPLE COMPUTER INC	5	b	C
J	AT&T	3	b	C
J	BLACKROCK INC	4	b	C
J	BROOKFIELD ASSET MGMT	5	b	C
J	CAPITAL ONE FINANCIAL	4	b	B
J	CISCO SYSTEMS	4	b	C
J	COSTCO WHOLESALE	4	b	B
J	COVIDIEN PLC	3	b	B
J	CSX CORP	4	b	B
J	DISCOVER FINL SVCS	2	b	A
J	EATON CORP PLC SHS	3	b	B
J	EOG RESOURCES INC	3	b	A
J	EXPRESS SCRIPTS	4	b	A
J	FACEBOOK	3	b	A
J	FEDEX CORP	4	b	A
J	GENERAL ELECTRIC	4	b	C
J	GENERAL MOTORS	4	b	A
J	GOOGLE INC-CL A	4	b	A
J	JOHNSON & JOHNSON	4	b	C
J	MALLINCKRODT PLC	1	b	A
J	MCDONALDS CORP	4	b	A
J	NATIONAL OILWELL VARCO INC	4	b	B
J	OCCIDENTAL PETE CORP	3	b	B
J	PEPSICO INC	4	b	C
J	POTASH	4	b	B
J	PROCTER & GAMBLE COMPANY	3	b	C
J	QUALCOMM INC	4	b	C
J	SCHWAB GOVT MONEY FUND	5	b	A
J	TRANSOCEAN LTD	3	b	C
J	UNILEVER PLC ADR	4	b	A
J	VALEANT PHARMACEUTICALS	4	b	A
J	VISA INC CL A	4	b	B
J	WELLS FARGO & CO NEW	4	b	C

The assets listed in Schedule IIIa are managed by Capital Advisors, a register investment adviser. Capital Advisors has full and complete discretion and trading authority over these assets. The asset managers do not consult with me on the nature or timing of any transaction with respect to any of these assets.

The decisions made with respect to the buying and selling of these assets are made by Capital Advisors as part of its overall asset allocation model, which asset allocation model is also used for most, if not all, of the similarly constituted accounts managed by Capital Advisors.

Transactions involving these accounts are reported in part IV.

Reporting Individual's Name: INHOFE, JAMES M.

☐ Amendment

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

BLOCK A — Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B — Valuation of Assets

At the close of reporting period. If None, or less than $1,001, check the first column.

BLOCK C — Type and Amount of Income

	BLOCK A	B1 None (or less than $1,001)	B2 $1,001 - $15,000	B3 $15,001 - $50,000	B4 $50,001 - $100,000	B5 $100,001 - $250,000	B6 $250,001 - $500,000	B7 $500,001 – $1,000,000	B8 Over $1,000,000***	B9 $1,000,001 - $5,000,000	B10 $5,000,001 - $25,000,000	B11 $25,000,001 - $50,000,000	B12 Over $50,000,000	Type a None	Type b Dividends	Type c Rent	Type d Interest	Type e Capital Gains	Type f Excepted Investment Fund	Type g Excepted Trust	Type h Qualified Blind Trust	Type i Other (Specify Type)	Amount A None (or less than $201)	Amount B $201 - $1,000	Amount C $1,001 - $2,500	Amount D $2,501 - $5,000	Amount E $5,001 - $15,000	Amount F $15,001 - $50,000	Amount G $50,001 - $100,000	Amount H $100,001 - $1,000,000	Amount I Over $1,000,000***	Amount J $1,000,001 - $5,000,000	Amount K Over $5,000,000	Amount L Actual Amount Required if "Other" Specified
Example: S, DC, or J	JP Computer, Software Design, Wash DC				X										X							Example		X										Example
	Undeveloped land, Dubuque, Iowa					X								X								Example	X											Example
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EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

JAMES M. INHOFE
Details of Revocable Living Trust
Capital Advisors
Securities Assets
Schedule IIIB

	Asset and/or Income Source	Category Year End Value	Type Income	Amount of Income
J	The Padre Company, LLC, Real Estate & Aircraft Tulsa County, Oklahoma & Cameron County, Texas	6	c	A
J	Apartment (Contiguous to Residence) Washington, DC	5	c	E
S	GMKI, LLC-Located in City of Tulsa, County of Tulsa, State of Oklahoma			
S	GMKI, LLC, Real Estate-Office Building($1,309,144.)* Tulsa, Oklahoma	9	c	H**
S	GMKI, LLC, Real Estate-Office Building($554,500)* Owasso, Oklahoma	7	c	
S	IM3RD, LLC, Investment-Tulsa Abstract & Title Company Tulsa, Oklahoma	7	I***	F

*Per Tulsa County Assessor

**Combined - same tenant both properties

***K1 Distribution

Reporting Individual's Name: INHOFE, JAMES M. ☐ Amendment

PART IV. TRANSACTIONS

Page Number

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x): A $1,001 - $15,000	B $15,001 - $50,000	C $50,001 - $100,000	D $100,001 - $250,000	E $250,001 - $500,000	F $500,001 - $1,000,000	G Over $1,000,000***	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000
Example: S, DC, or J	*IBM Corp. (stock) NYSE*	X			2 / 1 / 1X		X			E	X	A	M	P	L	E
	(DC) Microsoft (stock) NASDAQ/OTC		X		1 / 27 / 1X				X	E	X	A	M	P	L	E
1	SCHEDULE ATTACHED	X	X	X												
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JAMES M. INHOFE TTEE FBO JAMES M. INHOFE
CAPITAL ADVISORS, INC.
SALES
1-1-2013 TO 12-31-2013

	DATE SOLD	SECURITY	(IV) TRANSACTION AMOUNT	(IIIA) CAPITAL GAIN RANGE
J	1/16/2013	2100 SH ABB LTD ADR	B	C
J	1/30/2013	1700 SH CHESAPEAKE ENERGY CORP	B	C
J	2/22/2013	2500 SH VODAFONE GROUP INC.	C	E
J	3/19/2013	380 SH BHP BILLITON ADR	B	A
J	4/10/2013	1000 SH BROADCOM CORP	B	C
J	4/12/2013	1850 SH MICROSOFT	A	F
J	4/12/2013	130 SH BLACKROCK	B	E
J	4/16/2013	.732 SH BROOKFIELD PROPERTY	A	A
J	4/22/2013	700 SH WELLS FARGO	B	D
J	4/29/2013	67 SH BROOKFIELD PROPERTY	A	A
J	5/15/2013	3100 SH APPLIED MATLS INC	B	D
J	6/12/2013	300 SH YUM BRANDS	B	C
J	7/10/2013	500 SH TRANSOCEAN LTD.	B	A
J	7/22/2013	1100 SH GENERAL ELECTRIC	B	E
J	9/5/2013	940 SH TE CONNECTIVITY	B	F
J	9/13/2013	570 SH FACEBOOK	B	E
J	10/16/2013	600 SH YUM BRANDS INC: YUM	B	F
J	10/22/2013	55 SH E O G RESOURCES INC: EOG	A	D
J	10/22/2013	495 SH NATIONAL OILWELL VARCO: NOV	B	E
J	11/26/2013	570 SH BAXTER INTERNATIONAL INC: BAX	B	D
J	12/10/2013	1100 SH E M C CORP MASS: EMC SHORT TERM	B	A
J	12/10/2013	950 SH E M C CORP MASS: EMC LONG TERM	B	A
J	12/10/2013	350 SH E M C CORP MASS: EMC LONG TERM	B	A

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JAMES M. INHOFE TTEE FBO JAMES M. INHOFE
CAPITAL ADVISORS, INC.
PURCHASES
1-1-2013 TO 12-31-2013

	DATE PURCHASED	SECURITY	(IV) TRANSACTION AMOUNT
J	1/8/2013	300 SH YUM BRANDS INC.	B
J	1/16/2013	1180 SH BROOKFIELD ASSET MGMT INC.	B
J	2/1/2013	840 SH EXPRESS SCRIPTS HOLDING	B
J	2/21/2013	450 SH COSTCO WHSL CORP NEW	B
J	2/21/2013	870 SH CAPITAL ONE	B
J	3/27/2013	2500 SH CISCO SYS INC	C
J	4/10/2013	1030 SH FACEBOOK	B
J	4/10/2013	1630 SH APOLLO GROUP	B
J	4/15/2013	67.732 SH BROOKFIELD PROPERTY	A
J	4/18/2013	730 SH NATIONAL OILWELL VARCO	B
J	5/29/2013	1940 SH CSX CORP	B
J	6/20/2013	490 SH AMGEN INC	B
J	7/1/2013	75 SH MALLINCKRODT PLC (SPIN-OFF)	A
J	7/29/2013	1900 SH POTASH CORP	C
J	8/28/2013	1440 SH ABBOTT LABS	B
J	9/5/2013	1670 SH BROOKFIELD ASSET MGMT	C
J	12/10/2013	560 SH MC DONALDS CORP: MCD	C
J	12/18/2013	1650 SH UNILEVER PLC ADR NEW F SPONSORED ADR: U	C

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JAMES M. INHOFE TTEE FBO JAMES M. INHOFE
CAPITAL ADVISORS, INC.
EXCHANGES
1-1-2013 TO 12-31-2013

J NONE

Reporting Individual's Name	Amendment ☐
INHOFE, JAMES M.	

PART VII. LIABILITIES

Page Number

Report liabilities over $10,000 owed by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) mortgages on your personal residences unless rented **(except for Senators)**; (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

	Name of Creditor	Address	Type of Liability	Date Incurred	Interest Rate	Discount Points Paid for Mortgage *(Senators Only)*	Term if Applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example: S, DC, or J	*First District Bank*	*Wash., DC*	*Mortgage on undeveloped land*	*1992*	*13%*	*1 pt*	*25 yrs*			X		E	X	A	M	P	L	E
	(J) John Jones	*Wash., DC*	*Promissory Note*	*2000*	*10%*	*n/a*	*On dmd*				X	E	X	A	M	P	L	E
1	TRIAD BANK	TULSA, OK	MORTGAGE	12/2003	3%		15 yrs				X							
2	TRIAD BANK	TULSA, OK	LINE OF CREDIT															
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EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

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Reporting Individual's Name	☐ Amendment		Page Number
INHOFE, JAMES M.		**PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT**	

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

	Name of Organization	Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	*National Assn. of Rock Collectors*	*NY,NY* **EXAMPLE**	*Non-profit education*	*President*	*6 / 91*	*Present*
	Jones & Smith	*Hometown, USA* **EXAMPLE**	*Law Firm*	*Partner*	*7 / 96*	*11 / 1X*
1	THE PADRE COMPANY, LLC	TULSA, OK	LLC	MEMBER	1/2000	PRESENT
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Compensation in excess of $200 from any position must be reported in Part II.

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Reporting Individual's Name	☐ Amendment		Page Number
INHOFE, JAMES M.		

PART IX. AGREEMENTS OR ARRANGEMENTS

Report your agreements or arrangements for future employment (including agreements with a publisher for writing a book or sale of other intellectual property), leaves of absence, continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. See Instructions regarding the reporting of negotiations for any of these arrangements or benefits.

	Status and Terms of any Agreement or Arrangement	Parties		Date
Example:	*Pursuant to partnership agreement, will receive lump sum payment of capital account and partnership share calculated on services performed through 11/0X and retained pension benefits (diversified, independently managed, fully funded, defined contribution plan)*	*Jones & Smith, Hometown, USA*	**EXAMPLE**	*1/94*
	Employment agreement with XYZ Co. to become Vice President of Government Relations. Terms of agreement include salary between $50,001-$100,000, signing bonus between $2,501-$5,000 and stock options	*XYZ Co., Bethesda, MD*	**EXAMPLE**	*1/1X*
1	Publishing agreement with WND Books for a book entitled, "The Greatest Hoax," published February 28, 2012	WND Books Washington, DC		10/2011
2	Terms of the agreement provide for a sliding scale of royalties to be			
3	paid to the author and publisher.			
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