

PERKINS COIE

October 25, 2018

Secretary of the Senate
Office of Public Records
232 Hart Senate Office Building
Washington, DC 20510

Re: Senator Richard Blumenthal

Dear Madame Secretary:

We are writing on behalf of Senator Richard Blumenthal. Enclosed please find Senator Blumenthal's Periodic Disclosure of Financial Transactions ("PTR") for transactions dated October 2, 2018 through October 10, 2018.

Please do not hesitate to call us should you have further questions. Thank you for your attention to this matter.

Very truly yours,



Marc Erik Elias

Kate Sawyer Keane
Counsel to Senator Richard Blumenthal

Enclosures

700 13th Street, NW
Suite 600
Washington, D.C. 20005-3768
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This Report Should Be Filed With:
Secretary of the Senate
Office of Public Records
Hart Building, Suite 232
Washington, DC 20510

PERIODIC DISCLOSURE
OF FINANCIAL TRANSACTIONS
SECRETARY OF THE SENATE
PUBLIC RECORDS
OCT 25 PM 12:00

(Time/Date)

Reporting Individual's Name Blumenthal, Richard		<input type="checkbox"/> Amendment		Senate Office / Agency in Which Employed United States Senate		Page Number												
<p>Report any purchase, sale, or exchange by you, your spouse, or dependent child within 30 days of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction.</p>				Transaction Type (x)		Amount of Transaction (x)												
Identification of Assets				Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
Example: (S) Spouse (DC) Dependent Child (J) Joint				IBM Corp. (stock) NYSE	X		2 / 1 / 1X		X			E	X	A	M	P	L	E
(DC) Microsoft (stock) NASDAQ/OTC					X		2 / 27 / 1X				X	E	X	A	M	P	L	E
1	(S) AAM Partners LLC - Peter L Malkin Family 2000 LLC:																	
2	(S) Transenterix Inc Stock ¹				X		10/2/18	X										
3																		
4	(S) AAM Partners LLC - Summa I LLC:																	
5	(S) Transenterix Inc Stock ¹				X		10/2/18	X										
6																		
7	(S) Peter L Malkin Family 2000 LLC:																	
8	(S) Gaivota LLC Non-public stock				X		10/10/18		X									
9																		
10																		
11																		
12																		

¹ Shares originally distributed by Aisling Capital III on 9/28/18