

UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

☐ Amendment

Last Name		First Name and Middle Initial		Annual Report		Senate Office / Agency in Which Employed	
Klobuchar		Amy J.		Calendar Year Covered by Report 2012		United States Senator/U.S. Senate	
Senate Office Address (Number, Street, City, State, and ZIP Code)		Senate Office Telephone Number (Include Area Code)		Termination Report		Prior Office / Agency in Which Employed	
302 Hart Senate Office Building Washington, D.C. 20510		202-224-3244		Termination Date (mm/dd/yy)			

AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$350 from one source)? If Yes, complete and attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, complete and attach PART II.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, complete and attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If Yes, complete and attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, complete and attach PART X.	<input type="checkbox"/>	<input type="checkbox"/>

Each question must be answered and the appropriate PART attached for each "YES" response

(This area is reserved for the filer's signature and other comments.)	FOR OFFICIAL USE ONLY Do Not Write Below This Line

SECRET

13-MAY-15 PM 1:00

OFFICE OF THE SENATE CLERK

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS, Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:
 For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Name of Income Source		Address (City, State)	Type of Income	Amount
Example:	JP Computers	Wash., DC	Salary	\$15,000
	MCI (Spouse)	Arlington, VA	Salary	Over \$1,000
1	State of Maryland (University of Baltimore) (Spouse)	Baltimore, MD	Salary	Over \$1,000
2	Georgetown University (Spouse)	Washington, DC	Salary	Over \$1,000
3	Trustees of Dartmouth College (Spouse)	Hanover, NH	Book Advance	\$1,000
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				

BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, check the first column.											BLOCK C Type and Amount of Income																					
													Type of Income								Amount of Income													
													None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified	
Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS, Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Example: S, IBM Corp. (stock)					X									X							Example		X										Example	
Example: DC, (S) Keystone Fund						X												X			Example	X											Example	
1	(J) United States Senate Federal Credit Union (savings/checking accounts)		X											X									X											
2	(J) United States Senate Federal Credit Union (IRA certificates)		X														X						X											
3	(DC) (S) United States Senate Federal Credit Union (Kids Club account and CD)		X														X						X											
4	(S) Wells Fargo (checking account)	X															X						X											
5	Guggenheim Investments US Intermediate Bond-C (IRA)			X										X				X						X										
6	U.S. Bank (certificate)		X															X					X											
7	(S) Vanguard SmCap Growth Indx Inst (MD Supp. Retirement Plan - 401(k))				X													X					X											
8	(S) TIAA CREF - CREF Bond Market (GWU Supplemental Retirement Plan)			X														X					X											
9	(S) TIAA CREF - T-C Lifecycle 2025-Prm (MD Univ. Sys. Retirement Plan)			X														X					X											
0	Waddell & Reed Advisors Asset Strategy A (IRA Beneficiary)		X											X									X											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Name Amy Klobuchar		PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES																	Page Number 4															
<div>BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources</div> <div>Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.</div>		<div>BLOCK B Valuation of Assets</div> <div>At the close of reporting period. If None, or less than \$1,001, Check the first column.</div>										<div>BLOCK C Type and Amount of Income</div> <div>If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.</div>																						
												Type of Income							Amount of Income															
				None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000
Example: S, DC, or J IBM Corp. (stock) (S) Keystone Fund				X										X							Example	X										Example		
1	(S) Fidelity Adv Growth Opportunity (Kelly & Berens(K&B) 401(k) rollover)			X															X				X											
2	(S) Fidelity Adv Balanced (K&B 401(k) rollover)			X															X				X											
3	(S) Fidelity Adv Overseas (K&B 401(k) rollover)			X															X				X											
4	(S) Fidelity Adv Equity Income (K&B 401(k) rollover)			X															X				X											
5	(S) MN College Savings Plan (Managed Allocation Age 15-17) (allocation below)				X														X				X											
6	Emerging Markets Equity Index Fund (1.25%)																		X															
7	Short-Term Bond Fund (18.00%)																		X															
8	Large-Cap Growth Index Fund (6.90%)																		X															
9	Large-Cap Value Index Fund (6.90%)																		X															
0	Small-Cap Blend Index Fund (1.20%)																		X															
<div>EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.</div> <div>*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.</div> <div><input type="checkbox"/></div>																																		

BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																				
Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.		At the close of reporting period. If None, or less than \$1,001, Check the first column.										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																				
												Type of Income									Amount of Income											
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000
S, Example: DC, or J	IBM Corp. (stock)				X									X							Example		X									Example
	(S) Keystone Fund				X													X			Example	X										Example
1	International Equity Index Fund (6.25%)																	X														
2	Real Estate Securities Fund (2.50%)																	X														
3	Bond Index Fund (33.75%)																	X														
4	Inflation-Linked Bond Fund (11.25%)																	X														
5	Money Market Fund (12.00%)																	X														
6	(S) Northwestern Mutual Life Ins. life ins. policies (see specific funds below)																															
7	High Yield Bond (MSA)		X															X				X										
8	Index 500 Stock (MSA)		X															X				X										
9	International Equity (MSA/Franklin Templeton)		X															X				X										
0	Mid Cap Growth Stock (MSA)		X															X				X										

BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																					
Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.		At the close of reporting period. If None, or less than \$1,001, Check the first column.										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																					
												Type of Income									Amount of Income												
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
S, Example: DC, or J	IBM Corp. (stock)			X									X							Example		X										Example	
	(S) Keystone Fund				X												X			Example	X											Example	
1	Mid Cap Value (MSA/American Century)		X														X					X											
2	Russell Multi-Style Equity		X														X					X											
3	Russell Non-US		X														X					X											
4	Select Bond (MSA)			X													X					X											
5	Small Cap Growth Stock (MSA)		X														X					X											
6	Small Cap Value (MSA/T Rowe Price)		X														X					X											
7	(S) Fidelity Adv Intermediate Bond (K&B /Faegre & Benson(F&B) 401(k) rollovers)					X											X					X											
8	(S) Fidelity Adv Equity Growth (K&B 401(k) rollover)		X														X					X											
9	(J) TruStone Financial (certificates/IRAs and bank accounts)				X											X						X											
0	(S) Vanguard SmCap Growth Indx Inst (MD Supp. Retirement Plan - 457(b))			X													X					X											

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.		Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
Identification of Assets																	
Example: S, DC, or J	IBM Corp. (stock) NYSE	X			2 / 1 / 1X		X			E	X	A	M	P	L	E	
	(DC) Microsoft (stock) NASDAQ/OTC		X		1 / 27 / 1X				X	E	X	A	M	P	L	E	
1	(S) Minnesota College Savings Plan (Managed Allocation Age 15-17) (allocation listed elsewhere on form - misc. contributions from 1/17/12-12/20/12)	X			(see attachment - Appendix A)	X											
2	(S) Vanguard SmCap Growth Index Inst. - MD Supplemental Retirement Plan contributions in 2012 to 401(k) Plan	X			(see attachment - Appendix B)		X										
3	(S) Vanguard SmCap Growth Index Inst. - MD Supplemental Retirement Plan contributions in 2012 to 457(b) Plan	X			(see attachment - Appendix C)		X										
4	(S) Univ. Sys. of MD Optional - TC Lfcycle 2025 - Prm	X			(see attachment - Appendix D)	X											
5	(S) Selected Bond (MSA) (sub-account of Northwestern Mutual Life ins. policies)	X			(monthly)	X											
6	(S) High Yield Bond (MSA) (sub-account of Northwestern Mutual Life ins. policies)	X			(monthly)	X											
7	The asset identified as "Rydex SGI Intermediate Bond - C (IRA)" on the 2011 Financial Disclosure Form is now "Guggenheim Investments US Intermediate			X	5/4/12		X										
8	Bond - C (IRA)". According to a "Share Class Consolidation" Notice, "Guggenheim Investments is consolidating all share classes of Rydex Series																
9	Funds U.S. Government Money Market Fund into a single class offering--the Money Market Class Shares." The notice states: "The consolidation is																
10	expected to occur after the close of business on May 4, 2012. Please go on our website, rydex-sgi.com, for additional details and Q&A."																
11																	
12																	

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
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**UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT
FOR ANNUAL AND TERMINATION REPORTS -
SUPPLEMENTAL ATTACHMENTS TO THE REPORT
OF U.S. SENATOR AMY KLOBUCHAR**

APPENDIX A

Dates of individual contributions to (S) Minnesota College Savings Plan (Managed Allocation Age 15-17) (Beneficiary: DC) in 2012 that did not exceed \$1,000 individually (but which, collectively, fall within the \$1,001-\$15,000 range):

1/17/12
1/20/12
1/24/12
2/2/12
2/15/12
2/21/12
3/15/12
3/20/12
4/16/12
4/20/12
5/15/12
5/21/12
6/15/12
6/20/12
7/16/12
7/20/12
8/15/12
8/20/12
9/17/12
9/20/12
10/15/12
10/22/12
11/15/12
11/20/12
12/17/12
12/20/12

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APPENDIX B

Dates of individual contributions to (S) Vanguard SmCap Growth Index Inst. – MD Supplemental Retirement Plan (401(k) Plan) in 2012 that do not exceed \$1,000 individually (but which, collectively, fall within the \$15,001-\$50,000 range):

3/14/12
3/28/12
4/11/12
4/25/12
5/9/12
5/23/12
6/6/12
6/20/12
7/3/12
7/18/12
8/1/12
8/15/12
8/29/12
9/12/12
9/26/12
10/10/12
10/24/12
11/7/12
11/20/12
12/5/12
12/19/12

APPENDIX C

Dates of individual contributions to (S) Vanguard SmCap Growth Index Inst. – MD Supplemental Retirement Plan (457(b) Plan) in 2012 that do not exceed \$1,000 individually (but which, collectively, fall within the \$15,001-\$50,000 range):

1/18/12
2/1/12
2/15/12
2/29/12
3/14/12
3/28/12
4/11/12
4/25/12
5/9/12
5/23/12
6/6/12
6/20/12
7/3/12
7/18/12
8/1/12
8/15/12
8/29/12
9/12/12
9/26/12
10/10/12
10/24/12
11/7/12
11/20/12
12/5/12
12/19/12

APPENDIX D

Dates of individual contributions to (S) Univ. Sys. of MD Optional – TC Lifecycle 2025
Prm in 2012 that do not exceed \$1,000 individually (but which, collectively, fall within
the \$1,001-\$15,000 range):

1/4/12
1/18/12
2/1/12
2/15/12
2/29/12
3/14/12
3/28/12
4/11/12
4/25/12
5/9/12
5/23/12
9/12/12
9/26/12
10/10/12
10/24/12
11/7/12
11/20/12
12/5/12
12/19/12