

This Report Should Be Filed With:

Secretary of the Senate
Office of Public Records
Hart Building, Suite 232
Washington, DC 20510

PERIODIC DISCLOSURE
OF FINANCIAL
TRANSACTIONS

RECEIVED
SECRETARY OF THE SENATE
- PUBLIC RECORDS -

Reporting Individual's Name

☐ Amendment

Senate Office / Agency in Which Employed

Page Number

Richard M. Burr

17 JAN -1, PM 3:45

Report any purchase, sale, or exchange by you, your spouse, or dependent child within 30 days of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction.

Identification of Assets

Example:
(S) Spouse
(DC) Dependent Child
(J) Joint

Transaction Type (x)	Purchase		Transaction Date (Mo., Day, Yr.)
	Sale		
	Exchange		
X		2 / 1 / 1X	
X		2 / 27 / 1X	
			\$1,001 - \$15,000
	X		\$15,001 - \$50,000
			\$50,001 - \$100,000
X			\$100,001 - \$250,000
E	E		\$250,001 - \$500,000
X	X		\$500,001 - \$1,000,000
A	A		Over \$1,000,000***
M	M		\$1,000,001 - \$5,000,000
P	P		\$5,000,001 - \$25,000,000
L	L		\$25,000,001 - \$50,000,000
E	E		Over \$50,000,000

(S) Spouse
(DC) Dependent Child
(J) Joint

IBM Corp. (stock) NYSE
(DC) Microsoft (stock)
NASDAQ/OTC

1 Axalta Coating Systems (stock)
NYSE

X
X
12/08/16
X

2 (s) Axalta Coating Systems (stock)
NYSE

X
X
12/08/16
X

3

4

5

6

7

8

9

10

11

12

+3

Stephens Inc.

1-800-643-9691
501-377-2000

MEMBER •
NEW YORK STOCK EXCHANGE
SECURITIES INVESTORS PROTECTION CORPORATION

111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

CODES										
SYMBOL	ACCOUNT NO.	T	TRANS NO.	MTHD	CAP	TRADE DATE	SETTLE DATE	EOFC	AE	
AXTA					1	12/08/16	12/13/16	436	687684	
YOU BOT	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION							COUPON/MATURITY
	415	G0750C108	AXALTA COATING SYSTEMS LTD							

Discretionary Order Average Reported Price DE

PRICE	PRINCIPAL	COMMISSION	INTEREST	TRAN.FEE	MISC.	HANDLING	NET AMOUNT
27 0276	11,216.45						11,216.45

Stephens Inc Custodian for
Brooke F Burr SEP IRA



CONFIRMATION

THANK YOU FOR LETTING US SERVE YOU.

U.S. our, we, firm means Stephens Inc.

AGREEMENT

It is agreed between this firm and the customer:

1. That all transactions are subject to the rules and regulations of the Federal Reserve Board and customs of the Exchange or Market (and its Clearing House if any) where executed. That all securities from time to time carried in the customer's open account, or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect for a sum, not in excess of the aggregate indebtedness of such customers in respect of such securities.
2. That delivery of securities sold and payment for securities bought must be received by us at our office not later than the "Settlement Date" otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.
3. That this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawals from or additions to existing firm personnel, where the customer does not indicate his nonacquiescence in such agreement.
4. In some cases Stephens receives payment for directing orders to particular broker/dealers or market centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.
5. Time of execution available upon request.
6. There may be, under some circumstances, an additional charge for odd-lot executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.
7. In the event of a call of callable bonds or preferred stock held in bulk segregation, impartial lotteries are used by the depository and Stephens to apportion the bonds. Uncalled fully paid securities may be withdrawn prior to call unless prohibited by regulations. Details available upon request.
8. If bonds have been delivered to you, we assume no responsibility for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.
9. Callable Securities: Call features may exist which could affect yield. Complete information available on request.
10. All mutual funds are sold by prospectus. Confirmation is acknowledgment of receipt of prospectus. You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sales charge you paid may differ slightly from the Prospectus disclosed rate due to rounding calculations. Please refer to the Prospectus. Statement of Additional Information or contact your financial advisor for further information. We may receive payments as an Exchange Broker from the fund.
11. Additional information concerning the security will be provided upon request. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction.
12. CMOs—yields are subject to fluctuation depending on the speed in which the underlying note or receivable prepay. Specific information is available upon written request.
13. If we acted as agent, name of person with whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.
14. Zero coupon bonds -- No periodic payments -- callable below maturity value without prior notice by mail to holder unless registered.
15. For advisory accounts, written consent for agency cross transactions may be revoked at any time by written consent to us or advisory affiliate.
16. SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY ABS FALLS CHARGE TRADING PRACTICE PUBLISHED BY TMPC AND SIFMA AT <http://www.sifma.org/Services/Standard-Forms-and-Documents/Securities-Products/Securities-Fall-Charge-Trading-Practice/>
17. Agency Cross Transaction consent may be revoked at any time by written notice to Stephens.

CODE EXPLANATION

U.S. our, we, firm means Stephens Inc.

T - ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

- 1 Cash
2 General Margin
3 Short margin
0 Broker Dealer

CAP - CAPACITY IN WHICH THE FIRM ACTED

- 1 G As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distribution, administration or other agreements. See fund prospectus and SAI re applicable commission (load) and other remuneration. Further information is available on written request.
- 2 As Principal we have sold to you or bought from you this security.
- 3 As Agent for another we have sold to you or bought from you this security.
- 4 As agent for both buyer and seller, charging a commission to buyer or seller or both. Commission amounts may differ. (Agency Cross Transaction)
- 5 As principal with commission field displaying markup or markdown. The price is "The Trade Price reported, unless it is an average price, see below."
- 6 As Principal, we make a market in this security.
- 7 Riskless Principal
- 8 Multiple capacities, as some combination of principal, riskless principal, agent and/or agency cross.
- A B F As Principal. This transaction is part of an underwritten distribution in which the firm is participating or has a financial interest, and your acceptance of this Confirmation is acknowledgment of your receipt of the official offering materials.
- R As Agent for you we have redeemed or tendered your security.
- "IF TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES, DETAILS AVAILABLE ON REQUEST."

Adjusted Options: When standardized options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, as expressed as a price per share of the shares subject to the option may also be adjusted, as described in The Options Clearing Corporation's Booklet, entitled Characteristics and Risks of Standardized Options, as amended (Brochure). The confirmations provided for transactions in standardized options that have been affected by such adjustments will disclose, adjacent to the abbreviation "OEL", the adjusted number of shares deliverable upon exercise of such option, and will disclose adjacent to the abbreviation "EASAP", the estimated adjusted strike price, expressed as a price per share, for the shares subject to the option, as calculated in accordance with the descriptions set forth in the Brochure.

Investment securities and products are NOT FDIC insured, are NOT obligations of or guaranteed by any bank and are subject to LSSS of the principal amount invested (except for certain brokered certificates of deposit, which are also subject to certain risks.)

Asset-backed security-subject continuously to prepayment, actual yield may vary according to the rate at which the underlying receivables or other financial assets are prepaid, information concerning factors that affect yield (including at a minimum estimated yield, weighted average life, and the prepayment assumptions underlying yield) furnished upon written request.

MTHD - Cost basis Method CUST Custom
MFO Least Gain/High Cost SIFO First In First Out
LIFO Last In First Out UCHO Most Gain/Low Cost

IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY. FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER.

• MEMBER •
NEW YORK STOCK EXCHANGE
SECURITIES INVESTORS PROTECTION CORPORATION

1-800-643-9691
501-377-2000

						CODES	
SOURCE	SYMBOL	ACCOUNT NO.	T	TRANS NO.	MTHD:	CAP.	
AXTA						1	
YOU BOT	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION		TRADE DATE	SETTLE DATE	OFC
	125	G0750C108	AXALTA COATING SYSTEMS LTD		12/08/16	12/13/16	436 687684
							COUPON/MATURITY

Discretionary Order
Average Reported Price
DE

PRICE	PRINCIPAL	COMMISSION	INTEREST	Tran Fee	MISC	HANDLING	NET AMOUNT
27 0460	3,380.75						3,380.75

Special Delivery Instructions

Stephens Inc Custodian for
Richard M Burr IRA

CONFIRMATION

[REDACTED]

THANK YOU FOR LETTING US SERVE YOU.

AGREEMENT

Us, our, we, firm means Stephens Inc.

AGREEMENT

It is agreed between this firm and the customer:

1. That all transactions are subject to the rules and regulations of the Federal Reserve Board and customers of the Exchange or Market (and its Clearing House if any) where executed, That all securities from time to time carried in the customer's open account, or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect for a sum, not in excess of the aggregate indebtedness of such customers in respect of such securities.
2. That delivery of securities sold and payment for securities bought must be received by us at our office not later than the "Settlement Date" otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment or purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.
- 3.

That this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawals from or additions to existing firm personnel, where the customer does not indicate his nonacquiescence in such agreement.

In some cases Stephens receives payment for directing orders to particular broker/dealers or market centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.

There may be, under some circumstances, an additional charge to odd-lot executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request. In the event of a call of callable bonds or preferred stock held in bulk segregation, Imperial lotteries are used by the depository and Stephens to apportion the bonds. Uncalled fully paid securities may be withdrawn prior to call unless prohibited by regulations. Details available upon request. If bonds have been delivered to you, we assume no responsibility for notification of redemption or failure to maturity but will make every effort to alert you when call information becomes known.

Callable Securities: Call features may exist which could affect yield. Complete information available on request.

All mutual funds are sold by prospectus. Confirmation is acknowledgement of receipt of prospectus. You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sales charge you paid may differ slightly from the Prospectus disclosed rate due to rounding calculations. Please refer to the Prospectus. Statement of Additional Information or contact your financial advisor for further information. We may receive payments as an Exchange Broker from the fund.

Additional information concerning the security will be provided upon request. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction.

CMOs—yields are subject to fluctuation depending on the speed in which the receivable prepaids. Specific information is available upon written request.

14. If we acted as agent, name of person with whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.

15. Zero coupon bonds -- No periodic payments -- callable below maturity value without prior notice by mail to holder unless registered

16. For advisory accounts, written consent for agency cross transactions may be revoked at any time by written consent to us or advisory affiliate.

17. SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FALLS CHARGE TRADING PRACTICE PUBLISHED BY TMAP, AND SIFMA AT

16. Agency Cross Transaction consent may be revoked at any time by written notice to Stephens.
<http://www.gfmq.org/Services/Standard-Forms-and-Documents/Information/Client-Products-List>

CODE EXPLANATION

U.S. our, we, firm means Stephens Inc.

T*. ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

CAP. CAPACITY IN WHICH THE FIRM ACTED

- | | | | |
|---|----------------|---|---------------|
| 1 | Cash | 3 | Short margin |
| 2 | General Margin | 0 | Broker Dealer |

1 G. As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distribution, administration or other agreements. See fund prospectus and SAI re applicable commission (load) and other remuneration. Further information is available on written request.

- 2 As Principal we have sold to you or bought from you this security.
- 3 As Agent for another we have sold to you or bought from you this security.
- 4 As agent for both buyer and seller, charging a commission to buyer or seller or both. Commission amounts may differ. (Agency Cross Transaction)
- 5 As principal with commission field displaying markup or markdown. The price is 'The Trade Price reported, unless it is an average price, see below.'
- 6 As Principal, we make a market in this security.

8 Multiple capacities, as some combination of principal, riskless principal, agent and/or agency CROS.

F As Principal: This transaction is part of an underwritten distribution in which the Firm is participating or has a financial interest, and your acceptance of this Confirmation is acknowledgement of your receipt of the official offering materials.

R As Agent for you we have redeemed or tendered your security, "IF TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES. DETAILS AVAILABLE ON REQUEST."

Adjusted Options: When standardized options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, expressed as a price per share of the shares subject to the option may also be adjusted, as described in The Options Clearing Corporation Brochure, entitled *Characteristics and Risks of Standardized Options*, as amended ("Brochure"). The confirmations provided for transactions in standardized options that have been affected by such adjustments will disclose, adjacent to the abbreviation "OEL", the adjusted number of shares deliverable upon exercise of such option, and will disclose adjacent to the abbreviation "EASP", the estimated adjusted strike price, expressed as a price per share, for the shares subject to the option, all calculated in accordance with the descriptions set forth in the Brochure.

Investment activities and products are NOT FDIC insured, are NOT obligations of or guaranteed by any bank, and are subject to LOSS of the principal amount invested (except for certain brokered certificates or deposits, which are also subject to certain risks.)

Asset-back securities subject continuously to prepayment, actual yield may vary according to the underlying receivables or other financial assets are repaid, information concerning factors that affect yield (including at a minimum estimated yield, weighted average life, and the prepayment assumptions underlying yield) furnished upon written request.

MATHUS - Coal-burns Methods.

CUST	Custom	FIFO	FIFO In First Out
MF0	Least Gain/High Cost		
LF0	Least In First Out		
		LCFO	Least Gain/low Cost

IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY. FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER.

RECEIVED 04-27-2016