

Secretary of the Senate
Office of Public Records
Hart Building, Suite 232
Washington, DC 20510

This Report Should Be Filed With:

PERIODIC DISCLOSURE OF FINANCIAL TRANSACTIONS

RECEIVED
SECRETARY OF THE SENATE
PUBLIC REL.

13 AUG -2 PM 2:51 (Time/Date)

Reporting Individual's Name

☐ Amendment

Senate Office / Agency in Which Employed

Page Number

Carper, Thomas R.

Office of U.S. Senator Thomas Carper

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Report any purchase, sale, or exchange by you, your spouse, or dependent child within 30 days of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction.

Identification of Assets

Example:
(S) Spouse
(DC) Dependent Child
(J) Joint

IBM Corp. (stock) NYSE	X
(DC) Microsoft (stock) NASDAQ/OTC	X

Purchase	Transaction Type (x)
Sale	
Exchange	
Transaction Date (Mo., Day, Yr.)	
\$1,001 - \$15,000	
\$15,001 - \$50,000	
\$50,001 - \$100,000	
\$100,001 - \$250,000	
\$250,001 - \$500,000	
\$500,001 - \$1,000,000	
Over \$1,000,000***	
\$1,000,001 - \$5,000,000	
\$5,000,001 - \$25,000,000	
\$25,000,001 - \$50,000,000	
Over \$50,000,000	

1	(s) Lincoln Financial		X	7/31/2013		X						
2	(s) Ford 8.8% 7/18/16 MS Contingent Autocall	X		7/15/2013		X						
3												
4												
5												
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10												
11												
12												

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