

This Report Should Be Filed With:

Secretary of the Senate
Office of Public Records
Hart Building, Suite 232
Washington, DC 20510

PERIODIC DISCLOSURE
OF FINANCIAL
TRANSACTIONS

SECRETARY OF THE SENATE

13 JUL 2013 PM 3:03

Reporting Individual's Name

☐ Amendment

Senate Office / Agency in Which Employed

Page Number

Carper, Thomas R.

Office of Senator Thomas R. Carper

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Report any purchase, sale, or exchange by you, your spouse, or dependent child within 30 days of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event will this disclosure be filed more than 45 days after such transaction.

Report any purchase, sale, or exchange by you, your spouse, or dependent child within 30 days of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event will this disclosure be filed more than 45 days after such transaction.						Transaction Type (x)		Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)								
Identification of Assets		Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000		\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
Example: Spouse	IBM Corp. (stock) NYSE	X			2 / 1 / 1X		X			E	X	A	M	P	L	E	
) Dependent Child	(DC) Microsoft (stock) NASDAQ/OTC		X		2 / 27 / 1X				X	E	X	A	M	P	L	E	
(s) Joint																	
1	(s) 8.52% due 6/4/2013 Anadarko Petroleum ELKS		X		6/4/2013		X										
2	(s) Acct 617 Clearbridge Energy MLP FD	X			6/17/2013		X										
3	(s) Acct 847 Clearbridge Energy MP FD	X			6/17/2013	X											
4																	
5																	
6																	
7																	
8																	
9																	
10																	
11																	
12																	