

This Report Should Be Filed With:

Secretary of the Senate
Office of Public Records
Hart Building, Suite 232
Washington, DC 20510

2015

PERIODIC DISCLOSURE OF FINANCIAL TRANSACTIONS

SECRETARY OF THE SENATE

16 AUG 11 AM 10:45

Page Number

Senate Office / Agency in Which Employed

☐ Amendment

Reporting Individual's Name

John Boozman

Office of Senator John Boozman

Report any purchase, sale, or exchange by you, your spouse, or dependent child within 30 days of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction.

Identification of Assets		Transaction Type (x)	Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)												
Example: (S) Spouse (DC) Dependent Child (J) Joint	IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDAQ/OTC			Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000
1	John Boozman IRA															
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See Attachment

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Purchases

2 of 17

Date

Assets Purchased

Accenture PLC Ireland 8hs CIA
04/24/15

\$1,001-\$15,000

Activis PLC 8hs

04/24/15

\$1,001-\$15,000

Alcoa Inc Com

04/24/15

\$1,001-\$15,000

American Express

04/24/15

\$1,001-\$15,000

Apple Computer Inc

04/24/15

\$1,001-\$15,000

Becton Dickinson & Co Com
04/24/15

\$1,001-\$15,000

Biogen Idec Inc

04/24/15

\$1,001-\$15,000

Capital One Financial Corp
04/24/15

\$1,001-\$15,000

Centurytel Inc Com

04/24/15

\$1,001-\$15,000

Cisco Systems Inc

04/24/15

\$1,001-\$15,000

Coca Cola Company

04/24/15

\$1,001-\$15,000

Colgate Palmolive Co
04/24/15

\$1,001-\$15,000

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Purchases

Date

Conocophillips	04/24/15	\$1,001-\$15,000
CVS Caremark Corp	04/24/15	\$1,001-\$15,000
Disney Com Stk	04/24/15	\$1,001-\$15,000
Dupont	04/24/15	\$1,001-\$15,000
Ebay Inc	04/24/15	\$1,001-\$15,000
EMC Corp Mass	04/24/15	\$1,001-\$15,000
Fiserv Inc	04/24/15	\$1,001-\$15,000
General Dynamics Corp Com	04/24/15	\$1,001-\$15,000
Gilead Sciences Inc	04/24/15	\$1,001-\$15,000
Helmerich & Payne Inc	04/24/15	\$1,001-\$15,000
Hess Corporation	04/24/15	\$1,001-\$15,000

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Purchases

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ibharas Tr 8&P 800 Grw 04/24/15		\$15,001-\$50,000
J P Morgan Chase & Co Com 04/24/15		\$1,001-\$15,000
Johnson & Johnson 04/24/15		\$1,001-\$15,000
Kohls Corp Com 04/24/15		\$1,001-\$15,000
Kraft Foods Group Inc Com 04/24/15		\$1,001-\$15,000
Lowes Cos Inc Com 04/24/15		\$1,001-\$15,000
Lyondellbasell Industries N 8hs 06/25/15		\$1,001-\$15,000
Mondeliez Intl Inc Cl A 04/24/15		\$1,001-\$15,000
National Oilwell Varco Com 04/24/15		\$1,001-\$15,000
Occidental Petro Corp 04/24/15		\$1,001-\$15,000
Oracle Corp 04/24/15		\$1,001-\$15,000
Pfizer Inc 04/24/15		\$1,001-\$15,000

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Purchases

Date		
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Powersha Db Multi Sect Comm Tr		
Db Aorticut Fd		
		\$1,001-\$15,000
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PPL Corp Com		
04/24/15		\$1,001-\$15,000
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Procter & Gamble		
04/24/15		\$1,001-\$15,000
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Public Service Enterprises Group		
04/24/15		\$1,001-\$15,000
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Quintiles Transnatio Hdqrs Inc Com		
04/24/15		\$1,001-\$15,000
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Seagate Technology PLC Shs		
04/24/15		\$1,001-\$15,000
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SPDR S & P Midcap 400 ETF Tr UTHER1		
04/24/15		\$1,001-\$15,000
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Stanley Black & Decker Inc		
04/24/15		\$1,001-\$15,000
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State Street Corp Com		
04/24/15		\$1,001-\$15,000
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Target Corp Com		
04/24/15		\$1,001-\$15,000

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Purchases

Date

TJX Companies Inc	04/24/15	\$1,001-\$15,000
Travelers Cos Inc/The	04/24/15	\$1,001-\$15,000
Union Pacific	04/24/15	\$1,001-\$15,000
United Technologies	04/24/15	\$1,001-\$15,000
U8 Bancorp	04/24/15	\$1,001-\$15,000
Valero Energy Corp Com	04/27/15	\$1,001-\$15,000
Vanguard Index Fds REIT ETF	04/24/15	\$15,001-\$50,000
Wells Fargo & Co Com	04/24/15	\$1,001-\$15,000

Sweep Purchases

Invesco Government & Agency Fund
06/30/15

\$1,001-\$15,000

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Assets

Assets Sold/Refunded	
Alcoa Inc Com	06/25/15
EMC Corp Mass	
	08/17/15
18shares Barclays Agency Bond	
	04/24/15
18shares Barclays Mbs Bond Fd	
	04/24/15
18shares Barclays 20 Plus Year Treasury Bond Fund	
	04/24/15
18shares Cmbd Bond Fund	
	04/24/15
18shares Tr Barclays 10-20 Yr	
	08/18/15
18shares Tr Gs Corp Bd Fd	
	04/24/15
18shares Tr Index Barclays 1-3 Yr	
	04/24/15

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Sales

Date		
	18shares Tr MSCI Emerg Mkt MFC 04/24/15	\$15,001-\$50,000
	Occidental Petro Corp 04/27/15	\$1,001-\$15,000
	Powershares Qib1 ETF Trust Fdm Hq Yld Retl 08/18/15	\$1,001-\$15,000
	SPDR Dow Jones Indl Avg ETF UT Ser 1 04/24/15	\$15,001-\$50,000
	SPDR S & P 600 ETF Trust 04/24/15	\$250,001-\$500,000
	Vanguard Scottdale Fds 8ht Ttm Corp 04/24/15	\$1,001-\$15,000
	not Sweep Sales	
	Invesco Government & Agency Fund 08/30/15	\$100,001-\$250,000

Maths

3/15/15	Actavis PLC 8hs Name Change To Allergan	\$1,001-\$15,000
7/15/15	Allergan PLC 8hs Name Change From Actavis To Allergan	\$1,001-\$15,000
7/12/15	PPL Corp Com To Adjust Cost Basis For Spin Off To Talen Energy	Under \$1,000
03/15	Talen Energy Corp Com Rec'd 8hs As A Spin-Off By PPL Corp On Basis Of 0.124906 Shs For Each 1 Sh Held To Add Cost Basis Due To Spin Off From PPL Corp Com	Under \$1,000
12/15		

Date

Assets Purchased

Brithar Intl Inc Com	
08/24/15	\$1,001-\$15,000
Ecoab Inc Com	
09/21/16	\$1,001-\$15,000
18shares Tr MSCI EAFE Index Fd MFC	
09/23/15	\$1,001-\$15,000
18shares Tr MSCI Emerg Mkts MFC	
09/23/15	\$1,001-\$15,000
18shares Tr Russell 2000 Index MFC	
09/23/15	\$1,001-\$15,000
SPDR S & P Midcap 400 ETF Tr VTI	
09/23/15	\$1,001-\$15,000
Intl Energy Partners	
Investor Government & Agency Fund	
09/30/15	\$1,001-\$15,000

Sales

Notes

Assets Bold/Italiured

Apple Computer Inc
07/24/15

\$1,001-\$15,000

Ebay inc
08/24/15

\$1,001-\$15,000

18hares TRM8C1Emerg Mkt MFC
08/17/15

\$1,001-\$15,000

Kraft Heinz Co Com
08/21/15

\$1,001-\$15,000

Paypal Htdgs Inc Com
08/24/15

\$1,001-\$15,000

Powers/Ds Db Multl Bact Comm Tr
Db Agnicult Fd
09/02/15

\$1,001-\$15,000

Investco Government & Agency Fund
09/30/15

\$50,001-\$100,000

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Date	Description	Amount
03/15	Charmours Co Com Rec'D 12.80 Shs As A Spin-Off By Du Pont E I De Nemours & Co Com On A Basis Of 0.200 Shs For Each 1 Sh Held	Under \$1,000
03/15	Dupont To Adjust Carry Value For Spin-Off To Charmours Co Com	Under \$1,000
21/15	Ebay Inc Adjust Carry Value For Spin-Off To Paypal Hldgs Inc Com 70450Y103 Rate: \$9.12	\$1,001-\$15,000
07/15	Kraft Foods Group Inc Com To Remove 38 Shs For Kraft Heinz Co Com And Cash Stock Rate: 1 Per Sh Held Cash Rate: 16.50 Per Sh Held	\$1,001-\$15,000
7/15	Kraft Heinz Co Com Rec'd 38 Shs On Mandatory Exchange Of Kraft Foods Group Inc Com On Basis Of 1 Shs For Each 1 Sh Held	\$1,001-\$15,000
1/15	Paypal Hldgs Inc Com Rec'd 87 Shs As A Spin-Off By Ebay Inc Com On A Basis Of 1 Sh For Each 1 Sh Held	\$1,001-\$15,000

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Purchases

Assets Purchased	
Allstate Corp	12/04/15
\$1,001-\$15,000	
Dte Energy Co	
	10/08/15
\$1,001-\$15,000	
iShares Barclays TIPS ETF	
	11/03/15
\$1,001-\$15,000	
iShares Barclays 20 Plus Year Treasury Bond Fund	
	11/03/15
\$1,001-\$15,000	
Vanguard Scottsdale Fds 8ht Tm Corp	
	11/03/15
\$1,001-\$15,000	
Walgreens Boots Alliance Inc Com	
	10/27/15
\$1,001-\$15,000	
Sweep Purchases	
Invesco Government & Agency Fund	12/31/15
\$15,001-\$50,000	

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sales

to

Assets Sold/Matured

Centurytel Inc Com
10/06/15

\$1,001-\$15,000

Coca Cola Company
12/04/15

\$1,001-\$15,000

18shares Barclays Agency Bond
11/03/15

\$1,001-\$15,000

18shares Barclays Mbs Bond Fd
11/03/15

\$1,001-\$15,000

18shares Cmbds Bond Fund
11/03/15

\$1,001-\$15,000

Kohle Corp Com
10/27/15

\$1,001-\$15,000

5 Powershares Qibl ETF Trust Fdm
11/03/15
12/16/15

\$1,001-\$15,000

\$1,001-\$15,000

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Sales

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at Sweep Sales

Invesco Government & Agency Fund
12/31/15

\$1,001-\$15,000

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Asset Changes

Date		
1/06/15	Name And Cusip Changed To HP Inc Com 428236103 175.000 Shs	\$1,001-\$15,000
1/05/15	To Adjust Carry Value For Sph-Off To Hewlett Packard Enterprises Cusip 42824C109 Rate: 0.527	
1/03/15	Hewlett Packard Enterprise Co Com Rec'd 175 Shs As A Sph-Off By Hewlett Packard Com 428236103 On Basis 1 Shs For Each 1 Shs Held	\$1,001-\$15,000
1/06/15	HP Inc Com Name And Cusip Changed To HP Inc Com 40434L105 175.000 Shs	\$1,001-\$15,000

JOHN BOOZMAN
ARKANSAS
141 HART SENATE OFFICE BUILDING
WASHINGTON, DC 20510
202-224-4843

United States Senate
WASHINGTON, DC 20510

COMMITTEES:
AGRICULTURE, NUTRITION, AND FORESTRY
APPROPRIATIONS
ENVIRONMENT AND PUBLIC WORKS
VETERANS' AFFAIRS
RULES AND ADMINISTRATION

August 11, 2016

The Hon. Johnny Isakson
Chairman
Select Committee on Ethics
U.S. Senate
220 Hart Building
Washington, DC 20510

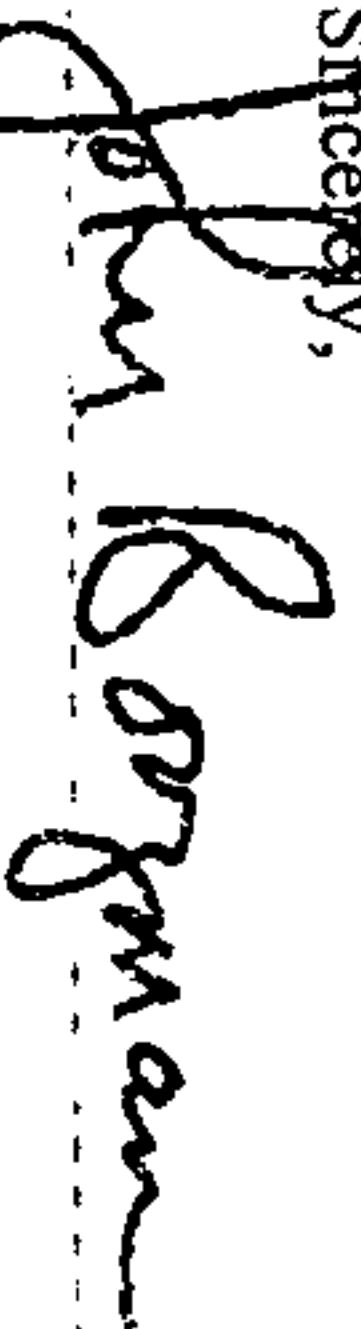
The Hon. Barbara Boxer
Vice Chairman
Select Committee on Ethics
U.S. Senate
220 Hart Building
Washington, DC 20510

Dear Chairman Isakson and Vice Chairman Boxer:

Enclosed are my January-June 2016 Periodic Disclosure of Financial Transactions completed form and my January-December 2015 Periodic Disclosure of Financial Transactions completed form. I was under the mistaken understanding that these transactions for the John Boozman IRA were not required to be reported under the STOCK Act. However, in completing my 2015 Financial Disclosure Report, I was informed by current Select Committee on Ethics staff that such reporting under the STOCK was indeed required.

Thank you for your attention to this request.

Sincerely,



JOHN BOOZMAN
U.S. Senator

JB/so

106 W. MAIN ST. 1120 GARRISON AVE. 300 S. CHURCH ST. 1401 W. CAPITOL AVE. 213 W. MONROE 1001 HWY. 62 E. 620 E. 22ND ST.
SUITE 104 SUITE 2B SUITE 400 SUITE 155 SUITE N SUITE 11 SUITE 204
EL DORADO, AR 71730 FORT SMITH, AR 72901 JONESBORO, AR 72401 LITTLE ROCK, AR 72201 LOWELL, AR 72745 MOUNTAIN HOME, AR 72653 STUTTGART, AR 72160
870-863-4641 479-573-0189 870-268-6925 501-372-7153 479-725-0400 870-424-0129 870-672-6941

16 AUG 11 AM 10:46
OFFICE OF THE CLERK