#### State

#### Persistent state on all servers:

(Updated on stable storage before responding to RPCs)

**currentTerm** latest term server has seen (initialized to 0

on first boot, increases monotonically) candidateId that received vote in current

votedFor candidateId that received vote term (or null if none)

log entries; each entry contains command

for state machine, and term when entry was received by leader (first index is 1)

#### Volatile state on all servers:

**commitIndex** index of highest log entry known to be

committed (initialized to 0, increases

monotonically)

lastApplied index of highest log entry applied to state

machine (initialized to 0, increases

monotonically)

#### Volatile state on leaders:

(Reinitialized after election)

nextIndex[] for each server, index of the next log entry

to send to that server (initialized to leader

last log index + 1)

matchIndex[] for each server, index of highest log entry

known to be replicated on server

(initialized to 0, increases monotonically)

# **AppendEntries RPC**

Invoked by leader to replicate log entries (§5.3); also used as heartbeat (§5.2).

**Arguments:** 

term leader's term

leaderId so follower can redirect clients

new ones

prevLogTerm term of prevLogIndex entry

entries[] log entries to store (empty for heartbeat;

may send more than one for efficiency)

leaderCommit leader's commitIndex

Results:

term currentTerm, for leader to update itself success true if follower contained entry matching

prevLogIndex and prevLogTerm

### Receiver implementation:

1. Reply false if term < currentTerm (§5.1)

2. Reply false if log doesn't contain an entry at prevLogIndex whose term matches prevLogTerm (§5.3)

3. If an existing entry conflicts with a new one (same index but different terms), delete the existing entry and all that follow it (§5.3)

4. Append any new entries not already in the log

 If leaderCommit > commitIndex, set commitIndex = min(leaderCommit, index of last new entry)

## RequestVote RPC

Invoked by candidates to gather votes (§5.2).

**Arguments:** 

term candidate's term

candidateId candidate requesting vote

lastLogIndex index of candidate's last log entry (§5.4) term of candidate's last log entry (§5.4)

Results:

term currentTerm, for candidate to update itself

voteGranted true means candidate received vote

### Receiver implementation:

1. Reply false if term < currentTerm (§5.1)

2. If votedFor is null or candidateId, and candidate's log is at least as up-to-date as receiver's log, grant vote (§5.2, §5.4)

## **Rules for Servers**

### All Servers:

- If commitIndex > lastApplied: increment lastApplied, apply log[lastApplied] to state machine (§5.3)
- If RPC request or response contains term T > currentTerm: set currentTerm = T, convert to follower (§5.1)

### Followers (§5.2):

- Respond to RPCs from candidates and leaders
- If election timeout elapses without receiving AppendEntries RPC from current leader or granting vote to candidate: convert to candidate

## Candidates (§5.2):

- · On conversion to candidate, start election:
  - Increment currentTerm
  - Vote for self
  - · Reset election timer
  - · Send RequestVote RPCs to all other servers
- · If votes received from majority of servers: become leader
- If AppendEntries RPC received from new leader: convert to follower
- · If election timeout elapses: start new election

#### Leaders:

- Upon election: send initial empty AppendEntries RPCs (heartbeat) to each server; repeat during idle periods to prevent election timeouts (§5.2)
- If command received from client: append entry to local log, respond after entry applied to state machine (§5.3)
- If last log index ≥ nextIndex for a follower: send
  AppendEntries RPC with log entries starting at nextIndex
  - If successful: update nextIndex and matchIndex for follower (§5.3)
  - If AppendEntries fails because of log inconsistency: decrement nextIndex and retry (§5.3)
- If there exists an N such that N > commitIndex, a majority of matchIndex[i] ≥ N, and log[N].term == currentTerm: set commitIndex = N (§5.3, §5.4).

**Figure 2:** A condensed summary of the Raft consensus algorithm (excluding membership changes and log compaction). The server behavior in the upper-left box is described as a set of rules that trigger independently and repeatedly. Section numbers such as §5.2 indicate where particular features are discussed. A formal specification [31] describes the algorithm more precisely.