# PCA-seq Package Specification

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# 1 Package Purpose

The goal of this package is to implement the EIGENSTRAT and PCA-seq method of inferring population structure for both rare and common variants. Specific aims are:

- Calculate the GRM using EIGENSTRAT or PCA-seq on any subset of the data (rare or common variants)
- Make use of SNPRelate functions as much as possible for convenience
- Use SNPRelate syntax as much as possible for user convenience

### 1.1 Input Data Types

- Genotype data: SNPGDSFileClass, SNP GDS file containing all of the relevant genotype data
- Sample IDs: vector, samples to use in the analysis (NULL implies use all)
- SNP IDs: vector, SNP ids to use in the analysis (NULL implies use all)
- MAF filter: numeric or vector, if numeric, assumed to be the minimum MAF value; if vector, assumed to give (min, max) maf values. MAF values are in 0-0.5 & if two are given, they should not be equal. The first value should be less than the second value.
- Number of Eigenvectors: numeric, the number of eigenvectors & values to return. This is should be less than or equal to the number of subjects.
- Verbose: logical, indicates to show all information printed out from the analysis

- Remove Monomorphic SNPs: logical, indicates to remove monomorphic SNPs
- Autosome Only: logical or numeric or character, indicates to use the autosomal chromosomes or the named chromosomes
- Method: string, indicates which method to use for calculating the GRM

# 1.2 Output Data Types

The output data type will be an object of the class snpgdsPCAClass, which is a list with the following elements:

- Sample IDs: vector, the sample ids passed into the function & used to perform the analysis
- SNP IDs: vector, the SNP ids passed into the function & used to perform the analysis
- Eigenvalues: vector, eigenvalues
- Eigenvectors: matrix, the eigenvectors in columns
- Variance Proportion: vector, the proportion of the variance explained by each eigenvector
- GRM Trace: numeric, the trace of the GRM
- GRM: matrix, the GRM
- method: string, the method used to find the GRM
- maf: numeric or vector, the MAF filtering values

### 2 Wishlist

- seqPCA: function that performs PCA on sequences (analogous to snpgdsPCA in SNPRelate)
- segGRM: function to find the GRM only
- check.bool: function to check that the input argument is a logical
- check.ecnt: function to check that the eigen.cnt argument is valid

- check.maf: function to check that the maf argument is valid
- check.miss: function to check that the missing.rate argument is valid
- check.vect: function to check if the snp.id and samp.id vectors have length of at least 1 and no duplicates
- run.grm: function to compute the appropriate GRM
- grm.pcaseq: function to calculate the GRM using PCA-seq
- grm.eigenstrat: function to calculate the GRM using EIGENSTRAT
- get.snps: function to get the snp ids for one block of snps
- filter.snps: function to filter the genotype data based on SNPs
- filter.monosnps: function to remove monomorphic SNPs
- filter.missing: function to remove SNPs with too much missingness
- filter.auto: function to remove sex chromosome SNPs
- filter.maf: function to remove SNPs by MAF
- make.snpgdsPCAClass: function to construct an object from the snpPCAClass

### 2.1 Functions from Other Packages

- SNPRelate
  - print.snpgdsPCA
  - snpgdsPCAcorr
- matrixcalc
  - matrix.trace
- base
  - eigen

# 2.2 Function Compatibility with SNPRelate

- snpgdsPCALoading
- snpgdsPCASampLoading

# 3 Function Specification

# 3.1 seqPCA

This function takes in all of the variables specified in Section 1.1 and returns an object of the class specified in Section 1.2. Test cases for this function include:

- A few small cases to check the math
- Various levels of MAF to check
- SNP ID filtering
- Sample ID filtering
- Monomorphic SNP filtering
- Autosomal Filtering
- Try both methods

## 3.2 seqGRM

This function takes in all of the variables specified in Section 1.1 and returns sample ids, SNP ids, GRM trace, and the GRM. Test cases for this function include:

- A few small cases to check the math
- Various levels of MAF to check
- SNP ID filtering
- Sample ID filtering
- Monomorphic SNP filtering
- Autosomal Filtering
- Try both methods

#### 3.3 check.bool

This function checks if an input parameter is logical. It takes in a value of any class, and returns a logical or an error. Test cases include:

- Results for a logical (both TRUE, FALSE)
- Results for non-logical
- Results for a vector
- Results for NA
- Results for NULL
- Results for NaN

#### 3.4 check.ecnt

This function checks if an input parameter is a valid number of eigenvalues/eigenvectors. It takes in a value of any class and sample.id, and returns a logial and a number or alogical and an error. If the value is zero, then a warning is returned; if the value is greater than the number of subjects, it is set to the number of subjects and a warning is returned. Test cases include:

- Results for correct input
- Results for non-integer
- Results for negative number
- Results for vector
- ullet Results for number greater than # of subjects
- Results for 0
- Results for NaN
- Results for NA
- Results for NULL

#### 3.5 check.maf

This function checks if an input parameter is a valid maf value or range of values. It takes in a value of any class, and returns a logial or an error. Test cases include:

- Results for correct input-1 value
- Results for correct input-2 values
- Results for more than two values
- Results for non-numeric values
- ullet Results for number outside of [0,0.5]
- Results for maf.min ≥ maf.max
- Results for NaN
- Results for NA
- Results for NULL

### 3.6 check.miss

This function checks if an input parameter is a valid missingness proportion. It takes in a value of any class, and returns a logial or an error. Test cases include:

- Results for correct input
- Results for more than one value
- Results for non-numeric values
- ullet Results for number outside of [0,1]
- Results for NaN
- Results for NA
- Results for NULL

# **3.7** run.grm

This function calculates the GRM matrix using the appropriate subset of the data and the requested method. It takes in

- gdsobj: SNPGDSFileClass, a SNP GDS file
- sample.id: vector, the samples to keep for the analysis
- snp.id: vector, the SNPs to keep for the anlaysis
- autosome.only: logical
- remove.monosnp: logical
- maf: numeric or vector, if numeric, assumed to be the minimum MAF value; if vector, assumed to give (min, max) maf values. MAF values are in 0-0.5 & if two are given, they should not be equal
- missing.rate: numeric
- method: string

This function returns:

• grm: matrix

Test cases for this function include:

- Results for autosome.only is TRUE
- Results for autosome.only is FALSE
- Results for sample.id removes some samples
- Results for snp.id removes some SNPs
- Results for remove.monosnp is TRUE
- Results for remove.monosnp is FALSE
- Results under various MAF
- Results for missing.rate is given
- Results for both methods

# 3.8 grm.eigenstrat

This function calculates the GRM in Pritchard et al 2006. It takes a

- genodat: matrix, the genotype data, SNPs in columns
- maf: numeric or vector, the MAF to filter on
- snp.id: vector, the snps to filter out

This function returns:

• grm: matrix

## 3.9 grm.pcaseq

This function calculates the GRM using PCA-seq. It takes a

- genodat: matrix, the genotype data, SNPs in columns
- maf: numeric or vector, the MAF to filter on
- snp.id" vector, the snps to filter out

This function returns:

• grm: matrix

# **3.10** get.snps

This function calculates the indices of the ith block of nblock snps. This function takes:

- nblock: numeric, a constant that is the number of SNPs to work with at one time
- i: numeric, an integer that indicates which block the snps are in
- $\bullet$  nsnps: numeric, the total number of snps

The function returns a vector of integers of length nblock or less that can be used to subset the snp.id vector.

## 3.11 filter.snps

This function filters the genotype data based on criteria related to the SNPs, after the data has been subset to the SNPs in snp.id. It takes:

- snp.dat: a matrix of SNP data
- autosome.only: logical
- remove.monosnp: logical
- maf: numeric or vector, if numeric, assumed to be the minimum MAF value; if vector, assumed to give (min, max) maf values. MAF values are in 0-0.5 & if two are given, they should not be equal
- missing.rate: numeric

This function returns a matrix of genotype data.

## 3.12 filter.monosnps

This function filters out monomorphic SNPs. It takes:

• snp.dat: a matrix of SNP data

This function returns a matrix of genotype data.

### 3.13 filter.missing

This function filters out SNPs with too many missing values. It takes:

- snp.dat: a matrix of SNP data
- missing.rate: numeric

This function returns a matrix of genotype data.

#### 3.14 filter.auto

This function filters out snps from non-autosomal (sex) chromosomes. It takes:

• snp.dat: a matrix of SNP data

This function returns a matrix of genotype data.

#### 3.15 filter.maf

This function filters the genotype data based on MAF. It takes:

- snp.dat: a matrix of SNP data
- maf: numeric or vector, if numeric, assumed to be the minimum MAF value; if vector, assumed to give (min, max) maf values. MAF values are in 0-0.5 & if two are given, they should not be equal

This function returns a matrix of genotype data.

### 3.16 make.snpgdsPCAClass

Need to figure out how to calculate the variance proportion. This function creates an object of the class snpgdsPCACLass. It takes the inputs:

- eigen.res: list of the eigenvalues and eigenvectors
- grm: matrix, the GRM
- sample.id: vector
- snp.id: vector
- need.genmat: logical

This function returns an object of the class snpgdsPCAClass, which is a list with the fields:

- sample.id: vector, a list of sample ids used for the calculation
- snp.id: vector, a list of SNPs used for the calculation
- eigenval: vector, a list of eigenvalues
- eigenvect: matrix, a matrix of eigenvectors
- varprop: vector, a vector of the proportion of the variance explained by each eigenvector
- TraceXTX: numeric, the trace of the GRM
- Bayesian: logical, set to FALSE always
- genmat: matrix, the GRM

Test cases for this function include:

- Correct results for including the GRM
- Correct results for not including the GRM