

A Multi-Phase Topological Model of the Mind (MTPT)

Jen-Hsuan Chen
Independent Researcher
tcf.research.lab@gmail.com

November 2025

Keywords: cognitive topology; phase-based cognition; topological dynamics; cognitive-phase differentiation; emergent structure; human–AI comparison.

Abstract

The Multi-Phase Topological Model of the Mind (MTPT) proposes a theoretical framework for human cognition grounded in dynamic topology. Existing cognitive models—ranging from symbolic architectures [5] and stage-based process theories to connectionist and neural models—are often formulated as relatively fixed, linear structures. This prevailing formulation can make it difficult for them to fully account for dynamic jumps, cross-modal integration, phase transitions, and abstract concept formation in human thought.

MTPT starts from a different modeling premise: it treats the basic units of mind as interactions between phases and topological structures rather than as words, concepts, or neurons. The mind is treated as a deformable, multi-phase topological mind-space whose structure reorganizes across time, contexts, and internal tension states. Transitions between phases are not linear progressions, but are driven by differences in topological tension, stability, and shape.

The model articulates three main components: phase-based cognition, where the mind transitions non-continuously between distinct phase morphologies; multi-phase topological dynamics, where cognition is driven by topological information flow rather than symbolic sequences or fixed neural pathways; and cognitive-phase differentiation, which explains individual differences in abstraction, intuition, creativity, and multimodal integration as qualitative differences in phase configuration.

MTPT further highlights a structural contrast between human minds and current AI systems: AI is typically implemented in fixed vector spaces with continuous optimization [7, 3], whereas the mind is modeled here as operating through phase transitions in a deformable topological space. This difference in operational geometry may help to clarify qualitative differences in abstraction, dynamic reasoning, and emergent structure. MTPT thus offers a phase-structured, topologically grounded framework for analyzing human cognition, individual variability, and high-dimensional concept formation, and provides an extensible basis for future work in cognitive science, artificial intelligence, and complex systems research.

1 Introduction

Human cognition exhibits rapid, state-dependent changes in structure, capacity, and mode of operation. Across shifts in context, attention, affect, or internal tension, the mind can reorganize its processing pathways and integrate information at different levels of abstraction. While existing cognitive models—including symbolic systems, psychological process models, and neural network-based approaches—capture important aspects of reasoning and behavior, they typically assume an

underlying architecture that is relatively static or linear. As a result, they often struggle to account for non-linear transitions, cross-modal integration, and the emergence of abstract concepts that appear discontinuous from preceding processing steps.

Current frameworks share three structural limitations. First, they lack an explicit representation of the mind’s dynamic reconfiguration across states [4]. Second, they treat individual differences primarily as quantitative variation rather than variation in underlying cognitive structure. Third, they do not provide a clear mechanism for explaining abrupt conceptual leaps, rapid restructuring, or intuitive insights. Taken together, these limitations indicate the need for a model that can describe cognition as both structural and dynamic, and that can accommodate state transitions not governed by stepwise computation.

This paper introduces the Multi-Phase Topological Model of the Mind (MTPT) as a theoretical framework to address these gaps. MTPT proposes that the fundamental unit of cognition can be modeled as a phase rather than as a symbol, concept, or neuron. A phase is a transient topological configuration characterized by a distribution of tension, a structural form, and a specific mode of information flow. Cognition is modeled as movement across multiple such phases, each with its own local geometry and processing profile. In this framework, phase transitions are modeled as being driven by changes in topological tension rather than by sequential symbolic or neural operations, enabling the system to reorganize its structure in a non-linear manner.

MTPT is intended to provide three core contributions:

1. **Phase-based model of cognition.** It formalizes the phase as a minimal unit of cognitive organization, offering a unified way to describe dynamic changes in structure and processing.
2. **Multi-phase topological dynamics.** It explains abstract reasoning, intuitive leaps, and cross-modal integration through transitions among topologically distinct phases rather than through continuous pathway optimization alone.
3. **Cognitive-phase differentiation.** It introduces a structural basis for individual differences by modeling variation in phase number, configuration, stability, and mobility—representing qualitative rather than purely quantitative differences among minds.

In addition, the model clarifies a structural gap between human cognition and contemporary AI systems. Whereas artificial models operate in fixed vector spaces with continuous optimization, MTPT models human cognition as operating through phase transitions within a deformable topological space. This contrast offers a conceptual framework for analyzing the limitations of current AI systems and for motivating architectures that incorporate phase-like or topological mechanisms.

The remainder of the paper is organized as follows. Section 2 reviews related work in cognitive modeling, artificial intelligence, and topological analysis, and identifies open gaps concerning state-dependent structure, individual variation, and conceptual emergence. Section 3 formalizes the MTPT model, introducing phases, phase kernels, and topological information flow. Section 4 develops the theory of cognitive-phase differentiation, describing how individual topologies give rise to distinct cognitive profiles. Section 5 analyzes near-critical reconfiguration phenomena, where interactions among phases generate new structures and higher-order abstractions. Section 6 discusses implications for future AI architectures and human–AI hybrid computation. Section 7 concludes and outlines directions for empirical, mathematical, and architectural extensions of MTPT.

2 Related Work

Research on human cognition spans multiple theoretical traditions, each offering a different account of how mental structure and processing arise. Three major families of models—symbolic architectures, connectionist systems, and predictive-processing frameworks—have provided important insights but also exhibit structural limitations with respect to dynamic reconfiguration, non-linear transitions, and the formation of high-level abstractions.

Symbolic models view cognition as rule-based manipulation of discrete representations. These models explain formal reasoning and compositional structure, yet they rely on fixed control architectures and stepwise inference, making them ill-suited to capture rapid state shifts, cross-modal restructuring, or the emergence of concepts that do not follow from incremental symbolic updates.

Connectionist models, including neural network and distributed representation approaches, account for learning, generalization, and robustness through the interaction of many simple units. However, despite their dynamic training processes, their internal state spaces are geometrically fixed once learned; they do not incorporate mechanisms for reconfiguring the underlying representation space itself. As a result, they offer no native mechanism for modeling cognitive behavior that involves qualitative changes in structure or abrupt transitions among processing modes.

Predictive-processing frameworks conceptualize cognition as hierarchical prediction and error minimization. These models capture aspects of perception, attention, and action selection, but they primarily describe computational flow rather than the topological organization of cognitive states. They do not explicitly model how the underlying shape or connectivity of the cognitive system changes during shifts in mental state.

Parallel developments in artificial intelligence, especially in large-scale attention-based models, demonstrate impressive capabilities in language, vision, and multimodal integration through optimization in high-dimensional vector spaces. Yet these systems also operate within fixed geometric structures and lack mechanisms for endogenous restructuring of their representational topology. Their behavior is continuous and path-dependent, making them structurally different from cognitive processes that exhibit non-linear phase transitions or emergent reconfiguration.

Recent work has explored topological methods—such as persistent homology, manifold learning, and topological data analysis—as tools for examining neural activity or the internal representations of AI systems. These approaches analyze global and local connectivity patterns, offering new ways to interpret high-dimensional data. However, they typically function as analytic techniques, not as generative models of cognition itself. They provide snapshots of structure but do not specify a theory for how cognitive topology transforms across states.

Taken together, the literature highlights three unresolved gaps:

1. **Lack of a model of cognition with state-dependent structural reconfiguration.** Existing approaches describe computation within a fixed architecture but typically do not model systematic changes to the architecture itself across cognitive states.
2. **Lack of a structural account of individual cognitive differences.** Most models treat individual variation as quantitative (e.g., speed, capacity), not as differences in underlying cognitive topology.
3. **Lack of a mechanism for non-linear conceptual emergence.** Abrupt insight, abstract leaps, and cross-modal restructuring remain difficult to explain within frameworks based mainly on continuous optimization or sequential operations.

These gaps motivate the development of a framework in which cognitive structure is both topological and dynamic, capable of transitioning among qualitatively distinct configurations. The

Multi-Phase Topological Model of the Mind (MTPT) is proposed to address this need by modeling cognition as phase-based, tension-driven reconfiguration within a deformable topological space.

3 The Multi-Phase Topological Model (MTPT)

The Multi-Phase Topological Model of the Mind (MTPT) characterizes cognition as a space composed of multiple phases, each specified by its own tension distribution, morphological configuration, and information-flow dynamics. Rather than assuming a fixed representational geometry, MTPT models the mind as a deformable topological space whose configuration changes in response to internal dynamics and external conditions. This formulation provides a unified perspective for describing how momentary cognitive states form, how transitions occur, and how higher-order abstractions may emerge.

3.1 Phases as Fundamental Cognitive Units

MTPT models a phase as a transient topological configuration of the cognitive system under a particular distribution of tension. A phase specifies:

- the arrangement of local structures,
- the dominant pathways of information flow, and
- the instantaneous morphology of the cognitive space.

In contrast to continuous representational drift, MTPT suggests that cognition may involve movement among discretely distinguishable configurations. These transitions—referred to as phase shifts—provide a possible mechanism for the rapid reorganization of processing mode, attentional structure, or representational depth in response to contextual or internal changes. While MTPT does not claim that phases are directly measurable in current empirical work, it offers them as a modeling construct for describing structural changes in cognition.

3.2 The Phase Kernel: A Three-Layer Core

To formalize the internal structure of a phase, MTPT introduces a three-layer phase kernel comprising:

1. **Tension Core** — governing the concentration of cognitive activity and the stability profile of the phase.
2. **Structural Core** — determining geometric relations, connectivity patterns, and local morphological organization.
3. **Operation Core** — specifying characteristic information-flow patterns and computational priorities.

These three components jointly determine the qualitative character of a phase. Differences among phases arise from variations in tension profiles, structural constraints, and operational pathways. MTPT proposes this decomposition as a theoretical construct; future empirical or computational work would be required to assess its descriptive adequacy.

3.3 Phase Stability and Non-Linear Transitions

A phase remains stable when its tension distribution and structural configuration maintain coherence. When internal or external conditions shift tension profiles beyond certain thresholds, the system may undergo a non-linear transition into a different configuration. MTPT uses these transitions as a way to model cognitive phenomena that appear abrupt from a behavioral perspective—such as insight, rapid reframing, or sudden cross-modal integration.

The model does not assume that all such phenomena originate from literal topological transformations in the brain; rather, it employs topology as an abstract representational framework for capturing the discontinuities that traditional continuous models struggle to express.

3.4 Defining the Cognitive Topological Space

MTPT conceptualizes the mind as a deformable topological manifold defined by three interacting elements:

- **Mapping (coupling relations)** — how components of the cognitive system couple and interact within each phase.
- **Tension (dynamic gradients)** — the intensity, directionality, and stability of ongoing transformation.
- **Shape (instantaneous morphology)** — the emergent configuration resulting from the interplay of mapping and tension.

This formulation does not commit to a specific neural implementation but provides a way to describe cognitive change in geometric terms, complementing existing frameworks based on symbolic rules or distributed activation.

3.5 Topological Information Flow

Information flow in MTPT is not constrained to fixed routes. Instead, it is shaped by the morphology of the current phase. When a phase transition occurs:

- functional routes may reorganize,
- connectivity among representational units may be reweighted, and
- computational priorities may shift.

Topological information flow describes how the system integrates across representational levels, enabling rapid restructuring or abstraction. MTPT offers this mechanism as a theoretical account rather than as a direct mapping onto known neurophysiological processes.

Summary of Chapter 3

Chapter 3 presents MTPT as a theoretical framework for modeling cognition as a multi-phase, topologically deformable system. Phases encode momentary configurations; phase kernels describe internal structure; transitions reorganize cognition non-linearly; and topological information flow offers a mechanism for abstraction and restructuring. These principles set the stage for Chapters 4 and 5, which examine individual differences and emergent cognitive phenomena.

4 Cognitive-Phase Differentiation

Cognitive-phase differentiation refers to qualitative differences among individuals in the composition, structure, and dynamics of their cognitive phases. Instead of assuming that individuals share an essentially uniform architecture with only quantitative variation, MTPT models cognitive differences as potential differences in topological organization. This framework is offered as a conceptual tool for organizing patterns of variability rather than as a definitive taxonomy of mind types.

4.1 Why Human Minds Exhibit Individual Differences

Many cognitive models attribute inter-individual variation to experience-dependent factors or to quantitative differences in capacity. MTPT proposes an additional layer of differentiation: individuals may vary in initial tension distributions, phase-formation tendencies, and morphological stability profiles.

These factors could, in principle, give rise to different patterns of:

- abstraction depth,
- analytic approach,
- cognitive fluidity, and
- intuitive response tendencies.

This does not imply immutable categories or fixed traits; rather, MTPT provides a structural vocabulary that may help interpret observed cognitive diversity within a unified geometric framework.

4.2 Phase Configuration

MTPT defines an individual's phase configuration through three parameters:

- **Number of Phases** — potential variation in the number or granularity of usable cognitive phases.
- **Phase Morphology** — differences in the structure, tension distribution, and concentration of information flow across phases.
- **Phase Mobility** — variation in transition thresholds, speed of switching, and available routes between phases.

These parameters shape how individuals initiate processing, perform cross-level integration, and reorganize in response to new demands. MTPT frames these as modeling constructs rather than empirically fixed traits.

4.3 Topological Differences vs. Quantitative Differences

Standard assessments often measure cognitive variation through quantitative metrics (e.g., speed, capacity). MTPT highlights that topological differences—differences in structure and connectivity—may also contribute. These include:

- the kinds of phases an individual tends to recruit,
- the separability and transitions among phases,

- the connectivity of the topological space,
- tension-field profiles, and
- generative mechanisms for abstraction.

MTPT does not claim exclusivity over these patterns but offers topology as an alternative descriptive language for variability that existing frameworks may not easily express.

4.4 Three Illustrative Cognitive Topologies

To illustrate structural diversity, MTPT describes three heuristic classes of cognitive topology:

1. **Abstractors** — phases with concentrated, higher-dimensional morphology that may support rapid abstraction or non-linear leaps.
2. **Structurers** — phases with stable boundaries and layered organization, often supporting stepwise reasoning or formal decomposition.
3. **Flow-Topologists** — phases characterized by high deformability, enabling dynamic reconfiguration or cross-modal synthesis.

These categories are not claims about innate cognitive types; they are conceptual examples illustrating how differences in phase morphology might manifest in distinct processing styles.

4.5 Dynamic Minds vs. Linear Minds

MTPT distinguishes between two modes of operation:

- **Linear minds** — primarily operate within a single stable phase with predictable information flow.
- **Dynamic minds** — exhibit high phase mobility, transitioning frequently and generating cross-level couplings.

Neither is presented as superior. These distinctions are intended as modeling abstractions describing divergent structural tendencies rather than classifications of individuals.

4.6 MTPT’s Contribution to Cognitive Classification

MTPT offers a topology-grounded set of concepts that complement existing behavioral or capacity-based approaches to classification. These concepts—phase structure, configuration, and transition dynamics—may help interpret individual variability in abstraction, intuition, and multimodal reasoning. The goal is not to replace current taxonomies, but to provide an additional descriptive layer focusing on structural organization. The differentiation framework also provides the conceptual foundation for near-critical reconfiguration phenomena discussed in Chapter 5.

5 Near-Critical Reconfiguration Phenomena

Near-critical reconfiguration refers to rapid, large-scale cognitive restructuring that arises when transitions across phases alter tension distributions and information-flow routes. In contrast to stepwise inference models, MTPT proposes that certain cognitive events—such as sudden abstraction, cross-modal synthesis, or conceptual insight—may be understood as emergent results of structural interaction across phases. MTPT presents these dynamics as a theoretical account aiming to complement existing computational and psychological models.

5.1 Phase \times Phase Interaction

MTPT treats near-critical events as arising not from a single phase but from the interaction boundary between phases. When two phases differ in structure, tension profile, or representational emphasis, their transition region may give rise to:

- shifts in local density,
- changes in connectivity, or
- novel coupling among representational elements.

These transition zones offer a potential mechanism for generating cognitive structures that are not readily predictable within either phase alone. MTPT does not claim that such boundaries are neurally discrete but suggests that they may serve as useful abstractions for modeling discontinuities in human cognition.

5.2 Tension Redistribution and Concept Formation

Each phase maintains a distinct distribution of tension, shaping the stability and configuration of information flow. When these distributions are perturbed—either by external input or internal dynamics—segments of the topological structure may reconfigure, producing new paths or modifying latent structures.

MTPT interprets concept formation as a possible consequence of the system reorganizing around a new tension-stability profile. This view does not replace symbolic or statistical theories; instead, it reframes concept formation as a geometric shift within a deformable cognitive space.

5.3 Interpreting Intuition as Phase Transition

Intuitive judgments are often described as arising suddenly, without access to intermediate reasoning. MTPT frames intuition as a possible steady-state outcome after rapid reorganization across phases. During transition, information may be compressed or restructured in ways not reflected in verbalizable steps. The resulting “immediate insight” appears sudden from the outside but may reflect a coherent internal reconfiguration process.

This framing treats intuition not as a metaphysical phenomenon but as a structural effect of topological transition dynamics.

5.4 Higher-Dimensional Concept Generation

When multiple phases interact, the resulting configuration may incorporate features of each, potentially producing structures that are more complex than those available within any single phase.

MTPT uses “higher-dimensional concepts” to denote representational formats that integrate across modalities, abstraction levels, or organizational constraints.

This is not meant to imply literal geometric dimensions but serves as a conceptual analogy for representational capacities that require multiple modes of organization.

5.5 Emergent Geometries

MTPT characterizes reconfiguration phenomena as shifts in the geometry of the cognitive space. These emergent geometries may include:

- local contraction or expansion,
- boundary reshaping,
- multi-layer folding, or
- changes in global connectivity.

The model uses these geometric descriptors metaphorically but systematically, offering a framework for modeling complex restructuring events. MTPT positions emergent geometries as an area for future formalization, potentially enabling mathematical descriptions of reconfiguration dynamics.

Summary of Chapter 5

Chapter 5 interprets near-critical reconfiguration as a theoretical mechanism for insight, abstraction, and rapid cognitive reorganization. Rather than asserting new empirical findings, MTPT provides a geometric vocabulary—phase interactions, tension redistribution, and emergent geometries—to describe these phenomena. This prepares the groundwork for considering how such dynamics differ from current AI systems.

6 Implications for Artificial Intelligence

MTPT offers a conceptual perspective on the differences between human cognition, symbolic representation, and modern AI architectures. By contrasting phase-based topological dynamics with fixed vector-space computation, MTPT highlights structural gaps as well as potential complementarities. These discussions are exploratory and aim to inform future research directions rather than propose immediate architectural replacements.

6.1 Three Representational Spaces

MTPT distinguishes among three representational domains:

1. **Topological Mind-Space** — deformable, multi-phase, and potentially discontinuous.
2. **Symbolic Space** — discretized and shareable across individuals via language.
3. **Vector Space in AI** — continuous, differentiable, and optimized through gradient-based learning.

These three spaces interact in human–AI communication: human cognition (topological) is translated into language (symbolic), which is further encoded into continuous vector embeddings for AI models. MTPT emphasizes that the structural properties of these spaces differ, potentially explaining mismatches in expressive power or representational flexibility.

6.2 Why AI Does Not Naturally Exhibit Phase Transitions

Contemporary AI models operate within continuous vector spaces whose geometry remains fixed during inference. While internal activations may shift, these changes do not correspond to discrete phase transitions as conceptualized in MTPT. Phase transitions require dynamic reorganization of tension fields and morphological structure—features not explicitly represented in current architectures.

However, AI systems may simulate higher-level effects through interaction: different prompts, tasks, or contexts can activate distinct patterns of computation, creating the appearance of phase-like behaviors. MTPT refers to this as a phase-induced effect, arising from external structure rather than internal dynamics.

6.3 Architectural Directions Inspired by MTPT

MTPT suggests several directions for future AI research:

- **Flexible Representation Spaces** beyond fixed embeddings.
- **Tension-like Mechanisms** controlling activation stability or representational emphasis.
- **Phase-Switching Modules** enabling discontinuous or modular reconfiguration.
- **Shape-Based Concept Formation** relying on transformations rather than interpolation.

These ideas are not proposed as immediate engineering solutions; they serve as speculative directions that could motivate new classes of architectures bridging topological and vector-based computation.

6.4 Phase-Activated Models

A “phase-activated model” is a hypothetical AI architecture in which internal computations are selectively reorganized based on trigger conditions. Possible mechanisms include:

- dynamic reallocation of gradient flow,
- reconfiguration of attention topology,
- switching among latent representational subspaces, or
- modular transformations of internal geometry.

These sketches provide conceptual templates for models that exhibit structural diversity more comparable to the multi-phase framework described in MTPT.

6.5 Human–AI Hybrid Computation

MTPT highlights a potential complementary relationship:

- humans contribute phase-based abstraction and non-linear restructuring,
- AI contributes continuous optimization and large-scale integration, and
- joint systems may produce representational spaces not available to either alone.

This hybrid framing suggests that enhanced performance may arise from coordinated interaction rather than from attempts to replicate one system within the other.

Summary of Chapter 6

Chapter 6 uses MTPT to articulate structural differences between human cognition, symbolic language, and current AI architectures. The chapter does not claim superiority of one system over another but suggests that combining topological and vector-based computation may offer promising ground for future research.

7 Conclusion

The Multi-Phase Topological Model of the Mind (MTPT) offers a theoretical framework for describing cognition as a deformable topological system composed of multiple interacting phases. By integrating phase structure, topological dynamics, and near-critical reconfiguration, the model provides conceptual tools for characterizing dynamic cognitive behavior, abstraction, and individual variability.

MTPT’s three-level structure—phase, topology, and reconfiguration—offers a descriptive vocabulary for examining cognitive processes beyond linear or purely symbolic accounts. While MTPT does not assert empirical validation, it outlines a coherent set of modeling principles that could guide future research in cognitive science, computational modeling, and interdisciplinary inquiry.

The framework also highlights contrasts between human cognition and current AI architectures, suggesting that phase-based dynamics may inspire new approaches to artificial systems. Future work may proceed along three directions:

1. **Empirical Examination** — exploring whether cognitive states exhibit properties analogous to phase configuration or tension redistribution.
2. **Mathematical Formalization** — developing formal descriptions of topological deformation, transition thresholds, and emergent geometries.
3. **Computational Prototyping** — investigating architectures capable of dynamic restructuring or phase-switching.

MTPT aims to contribute to a broader conversation about how cognition may be understood as a dynamic, structurally flexible system. By framing cognitive processes through the lens of topology, the model provides a foundation for conceptual unification across cognitive science, computation, and emerging interdisciplinary fields.

References

- [1] Gunnar Carlsson. Topology and data. *Bulletin of the American Mathematical Society*, 46(2):255–308, 2009.
- [2] Frédéric Chazal and Bertrand Michel. An introduction to topological data analysis: Fundamental and practical aspects. *arXiv preprint arXiv:1710.04019*, 2017.
- [3] Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. Bert: Pre-training of deep bidirectional transformers for language understanding. *arXiv preprint arXiv:1810.04805*, 2018.
- [4] Karl Friston. The free-energy principle: A unified brain theory? *Nature Reviews Neuroscience*, 11(2):127–138, 2010.

- [5] Allen Newell and Herbert A Simon. *Computer Science as Empirical Inquiry: Symbols and Search*. Communications of the ACM, 1976.
- [6] David E Rumelhart, Geoffrey E Hinton, and James L McClelland. Parallel distributed processing: Explorations in the microstructure of cognition. In *Parallel Distributed Processing*. MIT Press, 1986.
- [7] Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Łukasz Kaiser, and Illia Polosukhin. Attention is all you need. In *Advances in Neural Information Processing Systems*, 2017.