

# On the BS category

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March 20, 2024

## 1 Definitions and properties

### 1.1 Base sections

Let  $V$  be a finite dimensional vector space. A subset  $C \subseteq V$  is called a **proper cone** if

- $C$  is a convex cone: for any  $a, b \in C$  and  $\lambda, \mu \geq 0$  we have  $\lambda a + \mu b \in C$ ;
- $C$  is closed;
- $C$  is generating in  $V$ :  $V = C - C = \{a - b, a, b \in C\}$ ;
- $C$  is pointed:  $C \cap -C = \{0\}$ .

For a convex cone  $C$ , the **dual cone**  $C^*$  is the set of positive linear functionals

$$C^* = \{\varphi \in V^*, \langle \varphi, a \rangle \geq 0, \forall a \in C\}.$$

If  $C$  is a proper cone, then  $C^*$  is a proper cone and we have  $C^{**} = C$ . From now on  $C$  will be a proper cone.

Let  $J \subseteq V$  be a subspace. Then the dual space  $J^* \equiv V^*|_{J^\perp}$ . Let  $q_J : V^* \rightarrow J^*$ ,  $q_J(\psi) = \psi + J^\perp$  be the quotient map. Then  $J \cap C$  is a closed pointed convex cone. If  $J \cap \text{int}(C) \neq \emptyset$ ,  $J \cap C$  is also generating in  $J$ , and we have

$$(J \cap C)^* = q_J(C^*) = \{\varphi + J^\perp, \varphi \in C^*\}.$$

In other words, any positive linear functional on  $(J, J \cap C)$  extends to a positive linear functional on  $(V, C)$ . Moreover, we have

$$\text{int}((J \cap C)^*) = q_J(\text{int}(C^*)).$$

A subset  $K \subseteq C$  is a **base** of  $C$  if

- $K$  is convex;
- for any  $a \in C$ , there are unique  $x \in K$  and  $\lambda \geq 0$  such that  $a = \lambda x$ .

Any base of  $C$  is determined by a (unique) element  $u \in \text{int}(C^*)$ :

$$K = \{x \in C, \langle u, x \rangle = 1\}.$$

Given such an element  $u \in \text{int}(C^*)$ , the corresponding base will be denoted by  $K_u$ .

A subset  $B \subseteq C$  is called a **base section** if

- $B$  is a base of the cone  $\text{span}(B) \cap C$ ;
- $B \cap \text{int}(C) \neq \emptyset$ .

For relative interiors, we have  $\text{ri}(B) = B \cap \text{int}(C)$ .

**Lemma 1.** *A subset  $B \subseteq C$  is a base section if and only if  $B = \text{span}(B) \cap K$  for some base  $K$  of the cone  $C$ .*

*Proof.* Let  $B$  be a base section and let  $J = \text{span}(B)$ , then  $B$  is a base of the cone  $J \cap C$ , hence there is some (unique)  $[\varphi] \in \text{int}((J \cap C)^*) = q_J(\text{int}(C^*))$ , that is,  $[\varphi] = \varphi + J^\perp$  for some  $\varphi \in \text{int}(C^*)$ , such that

$$B = \{x \in J \cap C, \langle \varphi, x \rangle = 1\} = J \cap C \cap \varphi^{-1}(1) = J \cap K_\varphi.$$

The converse is obvious. □

**Lemma 2.** *Let  $K$  be a base of  $C$  and let  $B \subseteq K$  be such that  $B \cap \text{int}(C) \neq \emptyset$ . Then*

- (i) *The set  $\tilde{B} := \{\varphi \in C^*, \langle \varphi, x \rangle = 1, \forall x \in B\}$  is a base section in  $(V^*, C^*)$ .*
- (ii) *Let  $\tilde{J} = \text{span}(\tilde{B})$ , then  $\dim(\tilde{J}) = 1 + \dim(V) - \dim(J)$ .*
- (iii)  *$B$  is a base section if and only if  $\tilde{\tilde{B}} = B$ .*
- (iv)  *$\tilde{\tilde{B}}$  is the smallest base section containing  $B$ .*

*Proof.* Let  $\tilde{b} \in \text{int}(C^*)$  be such that  $K = K_{\tilde{b}}$ , then clearly  $\tilde{b} \in \tilde{B}$ , so that  $\tilde{B} \cap \text{int}(C^*) \neq \emptyset$ . Let  $b \in B \cap \text{int}(C)$  be any element and let  $K_b$  be the corresponding base of  $C^*$ . Then it is clear that  $\tilde{B} \subseteq K_b$ , so that  $\tilde{B} \subseteq \tilde{J} \cap K_b$ , where  $\tilde{J} = \text{span}(\tilde{B})$ . Since  $\tilde{B}$  is obviously convex, any element  $y \in \tilde{J}$  has the form  $s\tilde{b}_1 - t\tilde{b}_2$  for some  $\tilde{b}_1, \tilde{b}_2 \in \tilde{B}$  and  $s, t \geq 0$ . If  $y \in \tilde{J} \cap K_b$ , then  $y \in C^*$  and  $\langle y, b \rangle = 1$ , so that  $s - t = 1$  and hence for any  $b' \in B$ , we must have

$$\langle y, b' \rangle = \langle s\tilde{b}_1 - t\tilde{b}_2, b' \rangle = s - t = 1.$$

Hence  $y \in \tilde{B}$ . This shows that  $\tilde{B} = \tilde{J} \cap K_b$ , so that  $\tilde{B}$  is a base section in  $(V^*, C^*)$ .

To show (ii), let  $\tilde{b} \in \text{ri}(\tilde{B})$  be any fixed element. Note that as in the first part of the proof,  $y \in \tilde{J}$  has the form

$$y = s\tilde{b}_1 - t\tilde{b}_2 = (s - t)\tilde{b} + s\tilde{b}_1 - t\tilde{b}_2 - (s - t)\tilde{b} = (s - t)\tilde{b} + x$$

where  $x \in J^\perp$ . Conversely, let  $y = \alpha\tilde{b} + x$  for some  $x \in J^\perp$ ,  $\alpha \in \mathbb{R}$ . Since  $\tilde{b} \in \text{ri}(\tilde{B}) = \tilde{B} \cap \text{int}(C^*)$ , there is some  $\lambda > 0$  such that  $\lambda\tilde{b} - y \in C^*$ , which means that  $y = \lambda\tilde{b} - (\lambda\tilde{b} - y) \in \tilde{J}$ . It follows that  $\tilde{J} = \text{span}(\tilde{b}) \wedge J^\perp$ , from this (ii) follows.

For (iii), assume that  $B$  is a base section. It is clear that  $B \subset \tilde{\tilde{B}}$  and  $\tilde{\tilde{B}}$  is a base section as well. Hence  $J \subseteq \tilde{\tilde{J}}$ , and we have by (ii)

$$\dim(\tilde{\tilde{J}}) = 1 + \dim(V^*) - \dim(\tilde{\tilde{J}}) = 1 + \dim(V^*) - (1 + \dim(V) - \dim(J)) = \dim(J),$$

so that  $J = \tilde{\tilde{J}}$ . Since  $B$  and  $\tilde{\tilde{B}}$  are bases of the same cone  $J \cap C$ , we must have  $\tilde{\tilde{B}} = B$ . The converse statement is clear from (i).

Finally, it is clear that  $\tilde{\tilde{B}}$  is a base section containing  $B$ . Let  $B'$  be a base section such that  $B \subseteq B'$ , then clearly  $\tilde{B}' \subseteq \tilde{B}$  and  $\tilde{B} \subseteq \tilde{\tilde{B}}' = B'$ , by (iii). □

For an affine subspace  $A \subseteq V$ , we put

$$\tilde{A} = \{y \in V^*, \langle y, x \rangle = 1, \forall x \in A\}.$$

**Lemma 3.** *Let  $A = \text{Aff}(B)$ ,  $\tilde{b} \in \text{ri}(B)$ . Then*

$$(i) \ B = A \cap C.$$

$$(ii) \ \tilde{A} = \text{Aff}(\tilde{B}) = \tilde{b} + J^\perp.$$

*Proof.* (i) It is clear that  $B \subseteq A \cap C$ . Conversely, let  $x \in A \cap C$ , then for every  $y \in \tilde{B}$  we have  $\langle y, x \rangle = 1$ , so that  $x \in \tilde{\tilde{B}} = B$ .

(ii) It is straightforward to verify that  $\text{Aff}(\tilde{B}) \subseteq \tilde{A} \subseteq \tilde{b} + J^\perp$ . We now prove  $\tilde{b} + J^\perp \subseteq \text{Aff}(\tilde{B})$ . So let  $z \in J^\perp$ . Since  $\tilde{B} \in \text{int}(C^*)$ , there is some  $s > 0$  such that  $\tilde{c} := \tilde{b} + sz \in C^*$ , so that clearly  $\tilde{c} \in \tilde{B}$ . We then have  $\tilde{b} + z = (1 - s^{-1})\tilde{b} + s^{-1}\tilde{c} \in \text{Aff}(\tilde{B})$ . □

## 1.2 Affine subspaces

A subset  $A \subseteq V$  of a finite dimensional vector space  $V$  is an affine subspace if  $\sum_i \alpha_i a_i \in A$  whenever all  $a_i \in A$  and  $\sum_i \alpha_i = 1$ .

Let  $A \subseteq V$  be an affine subspace:

- Let  $a_0 \in A$  be an arbitrary element. Then  $A$  has the form  $A = a_0 + L$ , where

$$L = \text{Lin}(A) := \{a - b, a, b \in A\} = \{a - a_0, a \in A\}$$

is a vector subspace. The dimension of  $A$  is  $\dim(A) := \dim(\text{Lin}(A))$ .

- If  $0 \in A$ , then  $\text{Lin}(A) = \text{span}(A) = A$  and  $A$  is a vector subspace. If  $0 \notin A$ , then  $A \cap \text{Lin}(A) = \emptyset$  and  $\dim(\text{span}(A)) = \dim(A) + 1$ .
- Put  $\tilde{A} := \{a^* \in V^*, \langle a^*, a \rangle = 1, \forall a \in A\}$ . Then  $\tilde{A} \subseteq V^*$  is an affine subspace and we have

$$\text{Lin}(\tilde{A}) = \text{span}(A)^\perp.$$

Consequently, if  $0 \notin A$ ,  $\dim(\tilde{A}) = \dim(V^*) - \dim(\text{span}(A)) = \dim(V) - \dim(A) - 1$ .

- $\tilde{\tilde{A}} = A$ .

## 1.3 The category BS

Let BS be the category whose objects are triples  $\mathbf{V} = (V, C, B)$ , where  $V$  is a finite dimensional real vector space,  $C \subseteq V$  a proper cone and  $B$  a base section in  $(V, C)$ . Morphisms  $\mathbf{V} \rightarrow \mathbf{W}$  are positive linear maps preserving the base section.

Then BS is a symmetric monoidal category, inheriting the monoidal structure from FinVect. Let us define

$$\mathbf{V}_1 \otimes \mathbf{V}_2 = (V_1 \otimes V_2, C_1 \otimes C_2, B_1 \otimes B_2),$$

where  $V_1 \otimes V_2$  the tensor product in  $\text{FinVect}$ ,

$$C_1 \otimes C_2 = \left\{ \sum_i x_i \otimes y_i, x_i \in V_1, y_i \in V_2 \right\}$$

is the (minimal) tensor product of cones and

$$B_1 \otimes B_2 := \{b_1 \otimes b_2, b_1 \in B_1, b_2 \in B_2\}^\sim.$$

The monoidal unit  $I = \mathbf{I} = (\mathbb{R}, \mathbb{R}^+, \{1\})$ . All the isomorphisms are those obtained from  $\text{FinVect}$ . We have already shown that this is a symmetric monoidal structure.

We define the dual of  $\mathbf{V}$  as  $\mathbf{V}^* = (V^*, C^*, \tilde{B})$ . For a morphism  $f : \mathbf{V}_1 \rightarrow \mathbf{V}_2$ ,  $f^* : \mathbf{V}_2^* \rightarrow \mathbf{V}_1^*$  is defined as the adjoint map in  $\text{FinVect}$ , it is easily checked that  $f^*$  is indeed a morphism in  $\text{BS}$  and  $(-)^*$  is a functor  $\text{BS}^{op} \rightarrow \text{BS}$ . We moreover have  $\mathbf{V}^{**} = \mathbf{V}$  and  $(-)^*$  is full and faithful.

We next want to show that there is a natural isomorphism

$$\text{BS}(X \otimes Y, Z^*) \simeq \text{BS}(X, (Y \otimes Z)^*).$$

Note that we have natural iso

$$\text{FinVect}(V_X \otimes V_Y, V_Z^*) \simeq \text{FinVect}(V_X, (V_Y \otimes V_Z)^*)$$

Since the morphisms in  $\text{BS}$  are special morphisms in  $\text{FinVect}$ , it is enough to show that the above iso maps  $\text{BS}$ -morphisms onto respective  $\text{BS}$ -morphisms. We see that the relation between  $f \in \text{FinVect}(V_X \otimes V_Y, V_Z^*)$  and  $\hat{f} \in \text{FinVect}(V_X, (V_Y \otimes V_Z)^*)$  is given as

$$\langle f(x \otimes y), z \rangle = \langle \hat{f}(x), y \otimes z \rangle, \quad x \in V_X, y \in V_Y, z \in V_Z.$$

## 1.4 The category $\text{Af}$

The objects of this category are pairs  $X = (V, A)$ , where  $V$  is in  $\text{FinVect}$  and  $A \subseteq V$  is an affine subspace. Unless  $V = \{0\}$ , we always assume that  $A \neq \emptyset$  and  $0 \notin A$ .

We sometimes use the notation  $X = (V_X, A_X)$  is necessary. Morphisms  $X \rightarrow Y$  are linear maps  $f : V_X \rightarrow V_Y$  such that  $f(A_X) \subseteq f(A_Y)$ .

### 1.4.1 The monoidal structure

We define the monoidal structure as follows. We put

$$X \otimes Y := (V_X \otimes V_Y, \{x \otimes y, x \in A_X, y \in A_Y\}^\sim)$$

The unit is given as  $I := (\mathbb{R}, \mathbb{R}^+, \{1\})$ . One can check that  $(\text{Af}, \otimes, I)$  is a symmetric monoidal category, with all the structures inherited from  $\text{FinVect}$ . It only remains to check that  $\alpha, \lambda, \rho$  and  $\sigma$  from  $\text{FinVect}$  are morphisms in  $\text{Af}$ . We will do it some other time, maybe.

**Lemma 4.** *Let  $x_0 \in A_X, y_0 \in A_Y$ . Put  $L_X = \text{Lin}(A_X)$ .*

$$(1) \quad \emptyset \otimes X = \emptyset, \mathbf{0} \otimes X = \mathbf{0}.$$

$$(2) \quad A_{X \otimes Y} = \text{Aff}(\{x \otimes y, x \in A_X, y \in A_Y\}).$$

$$(3) \ L_{X \otimes Y} = (x_0 \otimes L_Y) \vee (L_X \otimes y_0) \vee (L_X \otimes L_Y).$$

$$(4) \ \dim(A_{X \otimes Y}) = (\dim(A_X) + 1)(\dim(A_Y) + 1) - 1.$$

*Proof.* (1) is quite obvious from the definition.

Let  $0 \notin C \subseteq V$  be any subset of a finite dimensional vector space  $V$ . Then clearly  $\tilde{C} = \text{Aff}(C)^\sim$  and  $\tilde{\tilde{C}} = \text{Aff}(C)^\approx = \text{Aff}(C)$ , this proves (2).

Since  $A_X = x_0 + L_X$  and  $A_Y = y_0 + L_Y$ , we see from (2) that  $A_{X \otimes Y}$  is an affine span of elements of the form

$$(x_0 + u) \otimes (y_0 + v) = x_0 \otimes y_0 + u \otimes y_0 + x_0 \otimes v + u \otimes v, \quad u \in L_X, \ v \in L_Y.$$

Clearly, any such element is in  $x_0 \otimes y_0 + L_{X \otimes Y}$  as defined in (3). Moreover, since  $x_0 \notin L_X$  and  $y_0 \notin L_Y$ , the subspaces  $x_0 \otimes L_Y$ ,  $L_X \otimes y_0$  and  $L_X \otimes L_Y$  are mutually linearly independent. For any  $u \in L_X$ ,

$$x_0 \otimes y_0 + u \otimes y_0 = (x_0 + u) \otimes y_0 \in A_{X \otimes Y},$$

similarly  $x_0 \otimes y_0 + x_0 \otimes v \in A_{X \otimes Y}$  for any  $v \in L_Y$ . Moreover,

$$x_0 \otimes y_0 + u \otimes v = \frac{1}{2}((x_0 + u) \otimes (y_0 + v) + (x_0 - u) \otimes (y_0 - v)) \in A_{X \otimes Y}.$$

Since any element  $w \in L_X \otimes L_Y$  has the form  $w = \sum_i u_i \otimes v_i$  with  $u_i \in L_X$ ,  $v_i \in L_Y$ , we see that

$$A_{X \otimes Y} = x_0 \otimes y_0 + L_{X \otimes Y},$$

this proves (3). (4) is quite obvious from (3). □

### 1.4.2 Duality

We define the dual object

$$X^* = (V_X^*, \tilde{A}_X).$$

Note that

$$\emptyset^* = \mathbf{0}, \quad \mathbf{0}^* = \emptyset.$$

We also have by Lemma 3

$$L_{X^*} = \text{span}(A_X)^\perp = (\mathbb{R}x_0 \vee L_X)^\perp = \{x_0\}^\perp \wedge L_X^\perp.$$

This means that

$$\dim(A_{X^*}) = \dim(V_X) - \dim(A_X) - 1.$$

Further, for  $f : X \rightarrow Y$  we define  $f^* : Y^* \rightarrow X^*$  as the usual adjoint of the map  $f : V_X \rightarrow V_Y$ . Let us check that  $f^*(A_{Y^*}) \subseteq A_{X^*}$ . So let  $y^* \in A_{Y^*} = \tilde{A}_Y$ , we have to check that  $\langle f^*(y^*), x \rangle = 1$  for all  $x \in A_X$ . Indeed,

$$\langle f^*(y^*), x \rangle = \langle y^*, f(x) \rangle = 1.$$

It follows that  $(-)^* : \text{Af}^{op} \rightarrow \text{Af}$  is a functor, which is full and faithful since the dual  $(-)^*$  on  $\text{FinVect}$  is such.

We want to show that  $(\text{Af}, \otimes, I)$  is  $*$ -autonomous. For this we need to show that there is a natural isomorphism

$$\text{Af}(X \otimes Y, Z^*) \simeq \text{Af}(X, (Y \otimes Z)^*).$$

Note that we have natural iso

$$\text{FinVect}(V_X \otimes V_Y, V_Z^*) \simeq \text{FinVect}(V_X, (V_Y \otimes V_Z)^*)$$

Since the morphisms in  $\text{Af}$  are special morphisms in  $\text{FinVect}$ , it is enough to show that the above iso maps  $\text{Af}$ -morphisms onto respective  $\text{Af}$ -morphisms. We see that the relation between  $f \in \text{FinVect}(V_X \otimes V_Y, V_Z^*)$  and  $\hat{f} \in \text{FinVect}(V_X, (V_Y \otimes V_Z)^*)$  is given as

$$\langle f(x \otimes y), z \rangle = \langle \hat{f}(x), y \otimes z \rangle, \quad x \in V_X, y \in V_Y, z \in V_Z.$$

Assume that  $f : X \otimes Y \rightarrow Z^*$ , we need to show that  $\hat{f} : X \rightarrow (Y \otimes Z)^*$ . Let  $x \in A_X$  and let  $y \in A_Y, z \in A_Z$ , then

$$\langle \hat{f}(x), y \otimes z \rangle = \langle f(x \otimes y), z \rangle = 1,$$

since  $f$  maps  $x \otimes y \in A_{X \otimes Y}$  into  $A_Z$ . It follows that

$$\hat{f}(x) \in (A_Y \otimes A_Z)^\sim = \tilde{A}_{Y \otimes Z}.$$

The converse is similar: we see that  $f$  maps all elements  $x \otimes y$  into  $\tilde{A}_Z$ , hence also the affine subspace generated by  $x \otimes y$  is mapped to  $\tilde{A}_Z$ . But this affine subspace is exactly  $A_{X \otimes Y}$ .

### 1.4.3 First order objects (states)

We say that an object  $X$  in  $\text{Af}$  is a first order object if  $\dim(A_X) = \dim(V_X) - 1$ . We will use ordinary capital letters (not bold) to indicate first order objects.

If  $X$  is first order,  $A_X$  is determined by a single element  $u_X \in V_X^*$  and we have  $X = (V_X, \{u_X\}^\sim)$ ,  $X^* = (V_X^*, \{u_X\})$ . Note that if  $X$  and  $Y$  are first order objects, then  $X \otimes Y$  is a first order object as well, and we have

$$u_{X \otimes Y} = u_X \otimes u_Y.$$

Indeed, this follows easily from Lemma 4. Note also that the tensor unit  $I$  is first order, but  $\emptyset$  and  $\mathbf{0}$  are not.

**Lemma 5.** *We  $(X \otimes Y)^* \simeq X^* \otimes Y^*$ , if and only if some of the following holds*

1. *one of the objects is  $\emptyset, \mathbf{0}$  or  $I$ ,*
2. *both  $X, Y$  are first order objects,*
3. *both  $X^*, Y^*$  are first order objects.*

*Proof.* Using the expression for  $\dim(A_{X \otimes Y})$  and  $\dim(\tilde{A}_X)$ , we get

$$\begin{aligned} \dim(A_{(X \otimes Y)^*}) &= \dim(V_{X \otimes Y}) - \dim(A_{X \otimes Y}) - 1 \\ &= \dim(V_{X \otimes Y}) - (\dim(A_X) + 1)(\dim(A_Y) + 1) \\ \dim(A_{X^* \otimes Y^*}) &= (\dim(A_{X^*}) + 1)(\dim(A_{Y^*}) + 1) - 1 \\ &= (\dim(V_X) - \dim(A_X))(\dim(V_Y) - \dim(A_Y)) - 1 \end{aligned}$$

From this one can check that

$$\dim(A_{(X \otimes Y)^*}) - \dim(A_{X^* \otimes Y^*}) = \dim(A_X) \dim(\tilde{A}_Y) + \dim(\tilde{A}_X) \dim(A_Y).$$

It is clear that this is equal to 0 if and only if some of the conditions holds. Since we always have  $A_{X^* \otimes Y^*} \subseteq \tilde{A}_{X \otimes Y}$ , the statement follows.  $\square$

The above result also shows that the dual monoidal structure

$$X \odot Y := (X^* \otimes Y^*)^*$$

coincides with  $\otimes$  if and only if  $X$  and  $Y$ , or their duals, are first order.

Let us also note that the unique dualizable object in this category is  $I$ , so  $\mathbf{Af}$  is very noncompact.

**Lemma 6.** *An object  $X$  in  $\mathbf{Af}$  is dualizable if and only if  $X \simeq I$ .*

*Proof.* Assume that  $X$  is dualizable, then there must be some  $\eta : I \rightarrow X^* \otimes X$  and  $\epsilon : X \otimes X^* \rightarrow I$  such that

$$(\epsilon \otimes X) \circ (X \otimes \eta) = id_X.$$

This means that  $\epsilon \in \tilde{A}_{X \otimes X^*}$  and  $\eta(1) \in A_{X^* \otimes X}$ , so that  $\eta(1) = \sum \alpha_i x_i^* \otimes x_i$  for some  $x_i^* \in \tilde{A}_X$ ,  $x_i \in A_X$  are such that we have for any  $x \in V_X$

$$\sum_i \alpha_i \langle \epsilon, x \otimes x_i^* \rangle x_i = x$$

This implies that  $\{x_i\}$  must be a basis of  $V_X$ , which implies that  $A_X$  must have codimension 1, that is,  $X$  is first order. But then  $\tilde{A}_X = \{u_X\}$ , so that  $\eta(1) = u_X \otimes \alpha_i x_i = u_X \otimes \bar{x}$ , so we get

$$\sum_i \alpha_i \langle \epsilon, x \otimes x_i^* \rangle x_i = \langle \epsilon, x \otimes u_X \rangle \bar{x},$$

so any element in  $V_X$  is a multiple of  $\bar{x}$ , so  $\dim(V_X) = 1$  and  $X \simeq I$ .  $\square$

#### 1.4.4 The subspaces

For  $X$  in  $\mathbf{Af}$ , we put  $L_X = \text{Lin}(A_X)$ ,  $S_X = \text{span}(A_X)$ . Let  $x_0 \in A_X$ ,  $x_0^* \in \tilde{A}_X$ ,  $y_0 \in A_Y$ ,  $y_0^* \in \tilde{A}_Y$ .

We have

$$L_{X^*} = S_X^\perp, \quad S_{X^*} = L_X^\perp$$

and

$$\begin{aligned} A_{X \otimes Y} &= \{w \in S_X \otimes S_Y, \langle w, x_0^* \otimes y_0^* \rangle = 1\} = S_X \otimes S_Y \cap \{x_0^* \otimes y_0^*\}^\sim \\ &= (x_0 \otimes y_0) + (x_0 \otimes L_Y) \times (L_X \otimes y_0) \times (L_X \otimes L_Y). \end{aligned}$$

From this we see that

$$S_{X \otimes Y} = S_X \otimes S_Y$$

and

$$\tilde{A}_{X \otimes Y} = x_0^* \otimes y_0^* + (S_X \otimes S_Y)^\perp = x_0^* \otimes y_0^* + (L_X^\perp \otimes L_Y^\perp)^\perp.$$

Note that then

$$A_{X \odot Y} = \tilde{A}_{X^* \otimes Y^*} = x_0 \otimes y_0 + (L_X^\perp \otimes L_Y^\perp)^\perp$$

### 1.4.5 Internal homs

Since  $\mathbf{Af}$  is  $*$ -autonomous, the internal hom is

$$[X, Y] = (X \otimes Y^*)^* = X^* \odot Y.$$

As we have seen above,

$$A_{[X, Y]} = x_0^* \otimes y_0 + (S_X \otimes L_Y^\perp)^\perp$$

Note that if  $X$  and  $Y$  are first order, then

$$A_{[X, Y]} = u_X \otimes y_0 + V_X^* \otimes L_Y, \quad A_{[X, Y]} = x_0^* \otimes y_0 + (S_X \otimes u_Y)^\perp$$

for all  $X, Y$ , and

$$\begin{aligned} A_{[X, Y]} &= u_X \otimes y_0 + (V_X \otimes u_Y)^\perp = \{w \in V_X^* \otimes V_Y, \varphi_{u_Y}(w) = \hat{w}^*(u_Y) = u_X\}, \\ \tilde{A}_{[X, Y]} &= A_{X \otimes Y^*} = A_X \otimes u_Y. \end{aligned}$$

Note that through the usual identification in  $\mathbf{FinVect}$ , any  $w \in U^* \otimes V$  is identified with a linear map  $\hat{w} : U \rightarrow V$ , given by

$$\langle w, u \otimes v^* \rangle = \langle v^*, \hat{w}(u) \rangle = \langle \hat{w}^*(v^*), u \rangle,$$

where  $\hat{w}^*$  is the adjoint of  $\hat{w}$ . For  $u^* \in U^*$ , we define the map  $\varphi_u^V : U \otimes V \rightarrow V$  by

$$\varphi_{u^*}^V(u \otimes v) = \langle u^*, u \rangle v, \quad u \in U, v \in V.$$

We omit the index  $V$  if not necessary. Let  $A \subseteq V$  be an affine subspace,  $0 \notin A$ ,  $A \neq \emptyset$ . Let  $u^* \in U^*$  and put

$$B = \{w \in U \otimes V, \varphi_u(w) \in A\}$$

Then  $B$  is an affine subspace,  $0 \notin B \neq \emptyset$ , indeed, for  $a_0 \in A$  and  $u \in \{u^*\}^\sim$

$$B = u \otimes a_0 + (\{u^*\}^\perp \otimes V) \vee (u \otimes \text{Lin}(A))$$

**Lemma 7.** 1.  $A_X = x_0 + L_X$ ,

$$2. \tilde{A}_X = x_0^* + S_X^\perp,$$

$$3. A_{X \otimes Y} = x_0 \otimes y_0 + \dots$$

—

## 2 Once more from the top

We present some important categories.



## 2.1 The category FinVect

Let  $\text{FinVect}$  be the category of finite dimensional real vector spaces with linear maps. Then  $(\text{FinVect}, \otimes, \mathbb{R})$  is a symmetric monoidal category, with the usual tensor product of vector spaces. With the usual duality  $(-)^* : V \mapsto V^*$  of vector spaces,  $\text{FinVect}$  is compact closed. Put

$$e_U : U \otimes U^* \rightarrow \mathbb{R}, \quad e_u(u \otimes u^*) = \langle u^*, u \rangle,$$

then  $e_U$  is the cap for the duality of  $U$  and  $U^*$ . The corresponding element  $\eta_U \in (U \otimes U^*) = U^* \otimes U$  is the cup, given by

$$\eta_U = \sum_i e_i^* \otimes e_i$$

where  $\{e_i\}$  is a basis of  $U$  and  $\{e_i^*\}$  the dual basis of  $U^*$ , determined by  $\langle e_i^*, e_j \rangle = \delta_{ij}$ . It is easily verified that  $\eta_U$  does not depend on the choice of the basis  $\{e_i\}$ .

By compactness the internal hom is  $[U, V] = U^* \otimes V$  and the evaluation map  $U \otimes [U, V] \rightarrow V$  is given by

$$\text{eval}_{U,V} = e_U \otimes V : U \otimes U^* \otimes V \rightarrow V.$$

For any  $w \in U^* \otimes V$ , we obtain a linear map  $\hat{w} : U \rightarrow V$  by

$$\hat{w}(u) = (e_U \otimes V)(u \otimes w),$$

(we write  $V$  for the identity map  $\text{id}_V$ ). Conversely, for any  $f : U \rightarrow V$  we define  $\tilde{f} \in U^* \otimes V$  as

$$\tilde{f} = (f^* \otimes V)(\eta_U).$$

Note that this gives the usual identification

$$\langle \hat{w}(u), v^* \rangle = \langle w, u \otimes v^* \rangle, \quad u \in U, \quad v^* \in V^*$$

between maps  $U \rightarrow V$  and elements of  $U^* \otimes V$ . Put  $\circ_{U,V,W} := U^* \otimes e_V \otimes W$ , then  $\circ_{U,V,W}$  is a linear map

$$[U, V] \otimes [V, W] \rightarrow [U, W]$$

which corresponds to composition of maps: for  $f : U \rightarrow V$  and  $g : V \rightarrow W$ , we get

$$\circ_{U,V,W} : \tilde{f} \otimes \tilde{g} \mapsto (g \circ f)^\sim.$$

Similarly,  $e_V$  (tensored with identity maps and composed with symmetries as necessary) defines a partial composition map

$$[U, V \otimes X] \otimes [V \otimes Y, W] \rightarrow [U \otimes Y, X \otimes W].$$

This can be depicted graphically in a nice way.

## 2.2 Affine subspaces

A subset  $A \subseteq V$  of a finite dimensional vector space  $V$  is an affine subspace if  $\sum_i \alpha_i a_i \in A$  whenever all  $a_i \in A$  and  $\sum_i \alpha_i = 1$ . We say that  $A$  is proper if  $0 \neq A$  and  $A \neq \emptyset$ . We will always mean that an affine subspace is proper (if not explicitly stated otherwise).

An affine subspace can be determined in two ways:

(i) Let  $L \subseteq V$  be a linear subspace and  $a_0 \notin L$ . Then

$$A = a_0 + L$$

is an affine subspace. Note that  $a_0 \in A$  and  $A \cap L = \emptyset$ . Conversely, any affine subspace  $A$  can be given in this way, with  $a_0$  an arbitrary element in  $A$  and

$$L = \text{Lin}(A) := \{a_1 - a_2, a_1, a_2 \in A\} = \{a - a_0, a \in A\}.$$

(ii) Let  $S \subseteq V$  be a linear subspace and  $a_0^* \in V^* \setminus S^\perp$ . Then

$$A = \{a \in S, \langle a_0^*, a \rangle = 1\}$$

is an affine subspace. Conversely, any affine subspace  $A$  is given in this way, with  $S = \text{span}(A)$  and  $a_0^*$  an arbitrary element in

$$\tilde{A} = \{a^* \in V^*, \langle a^*, a \rangle = 1, \forall a \in A\}.$$

For an affine subspace  $A$ ,  $\tilde{A}$  is an affine subspace as well and we have  $\tilde{\tilde{A}} = A$ . More generally, if  $\emptyset \neq C \subseteq A$  is any subset of an affine subspace  $A$ , then  $\tilde{C}$  is an affine subspace and  $\tilde{\tilde{C}}$  is the smallest affine subspace containing  $C$ , that is,

$$\tilde{\tilde{C}} = \left\{ \sum_i \alpha_i c_i, c_i \in C, \sum_i \alpha_i = 1 \right\}.$$

In this case, we may write  $\tilde{\tilde{C}}$  as

$$\tilde{\tilde{C}} = c_0 + \text{Lin}(C) = c_0 + \text{span}(\{c_1 - c_2, c_1, c_2 \in C\})$$

with an arbitrary element  $c_0 \in C$ , or as

$$\tilde{\tilde{C}} = \{c \in \text{span}(C), \langle a_0^*, c \rangle = 1\}$$

for an arbitrary element  $a_0^* \in \tilde{A}$ . We clearly have

$$\text{Lin}(\tilde{\tilde{C}}) = C^\perp = \text{span}(C)^\perp, \quad \text{Lin}(C) = \text{Lin}(\tilde{C}) = \tilde{C}^\perp = \text{span}(\tilde{C})^\perp$$

and by duality also

$$\text{span}(C) = C^{\perp\perp} = \text{Lin}(\tilde{C})^\perp, \quad \text{span}(\tilde{C}) = \text{Lin}(C)^\perp.$$

## 2.3 The category Af

The objects of Af are of the form  $X = (V_X, A_X, a_X, \tilde{a}_X)$ , where  $V_X$  is in FinVect,  $A_X \subseteq V_X$  an affine subspace,  $a_X \in A_X$  and  $\tilde{a}_X \in \tilde{A}_X$  are some elements. Morphisms  $X \rightarrow Y$  are linear maps  $f : V_X \rightarrow V_Y$  such that  $f(A_X) \subseteq A_Y$ . Note that by definition  $A_X$  is proper for any object  $X$ . We may also add two special objects: the initial object  $\emptyset := (\{0\}, \emptyset, -, 0)$  and the terminal object  $0 := (\{0\}, \{0\}, 0, -)$ , here the affine subspaces are obviously not proper.

For any object  $X$ , we also put

$$L_X := \text{Lin}(A_X) \quad S_X := \text{span}(A_X), \quad d_X := \dim(L_X), \quad D_X := \dim(V_X).$$

Note that  $X$  is uniquely determined also when  $A_X$  is replaced by  $L_X$  or  $S_X$ .

### 2.3.1 Limits and colimits

Limits and colimits should be obtained from those in  $\mathbf{FinVect}$ , we have to specify the other structures and check whether the corresponding arrows are in  $\mathbf{Af}$ .

Let  $X, Y$  be two objects in  $\mathbf{Af}$ . We define their product as

$$X \times Y := (V_X \times V_Y, A_X \times A_Y, (a_X, a_Y), \frac{1}{2}(\tilde{a}_X, \tilde{a}_Y)),$$

where

$$A_X \times A_Y := \{(x, y) \in V_X \times V_Y, x \in A_X, y \in A_Y\}$$

is the direct product of  $A_X$  and  $A_Y$ . It is easily verified that this is indeed an affine subspace and the usual projections  $\pi_X : V_X \times V_Y \rightarrow V_X$  and  $\pi_Y : V_X \times V_Y \rightarrow V_Y$  are in  $\mathbf{Af}$ . Moreover, for  $f : Z \rightarrow X$  and  $g : Z \rightarrow Y$ , the map  $f \times g(z) = (f(z), g(z))$  is also clearly a morphism  $Z \rightarrow X \times Y$  in  $\mathbf{Af}$ . The coproduct is defined as

$$X \oplus Y = (V_X \times V_Y, A_X \oplus A_Y, \frac{1}{2}(a_X, a_Y), (\tilde{a}_X, \tilde{a}_Y)),$$

where

$$A_X \oplus A_Y := \{(tx, (1-t)y), x \in A_X, y \in A_Y, t \in \mathbb{R}\}$$

is the direct sum. To check that this is an affine subspace, let  $x_i \in A_X, y_i \in A_Y, s_i \in \mathbb{R}$  and let  $\sum_i \alpha_i = 1$ , then

$$\sum_i \alpha_i (s_i x_i, (1-s_i)y_i) = (\sum_i s_i \alpha_i x_i, \sum_i (1-s_i) \alpha_i y_i) = (sx, (1-s)y) \in A_X \oplus A_Y,$$

where  $s = \sum_i s_i \alpha_i, x = s^{-1} \sum_i s_i \alpha_i x_i$  if  $s \neq 0$  and is arbitrary in  $A_X$  otherwise, similarly  $y = (1-s)^{-1} \sum_i (1-s_i) \alpha_i y_i$  if  $s \neq 1$  and is arbitrary otherwise. The usual embeddings  $p_X : V_X \rightarrow V_X \times V_Y$  and  $p_Y : V_Y \rightarrow V_X \times V_Y$  are easily seen to be morphisms in  $\mathbf{Af}$ .

Let  $f : X \rightarrow Z, g : Y \rightarrow Z$  be any morphisms in  $\mathbf{Af}$  and consider the map  $V_X \times V_Y \rightarrow Z$  given as  $f \oplus g(u, v) = f(u) + g(v)$ . We need to show that it preserves the affine subspaces. So let  $x \in A_X, y \in A_Y$ , then since  $f(x), g(y) \in A_Z$ , we have for any  $s \in \mathbb{R}$ ,

$$f \oplus g(sx, (1-s)y) = sf(x) + (1-s)g(y) \in A_Z.$$

Let us turn to equalizers. So let  $f, g : X \rightarrow Y$  and let

$$V_E = \{v \in V_X, f(v) = g(v)\}.$$

Let  $h : Z \rightarrow X$  equalize  $f, g$ , then  $h(V_Z) \subseteq V_E$  and  $h(A_Z) \subseteq A_X \cap V_E$ , so that  $A_X \cap V_E$  must be nonempty. In this case,

$$E = (V_E, A_E := V_E \cap A_X, a_E, \tilde{a}_E := \tilde{a}_X)$$

with the inclusion map  $V_E \hookrightarrow V_X$  is an equalizer of  $f, g$  for any choice of  $a_E \in A_E$  (note that choosing another  $a_E$  gives us an isomorphic object in  $\mathbf{Af}$ ). If the intersection  $V_E \cap A_X$  is empty, then the only equalizing arrow for  $f$  and  $g$  is  $\emptyset \rightarrow X$ , which is then the equalizer.

For the coequalizer, let  $V_Q$  be the quotient space  $V_Q := V_Y|_{\text{Im}(f-g)}$  and let  $q : V_Y \rightarrow V_Q$  be the quotient map. If some  $h : Y \rightarrow Z$  coequalizes  $f$  and  $g$ , then  $h$  maps  $\text{Im}(f-g)$  to 0, so that

$Im(f-g) \cap A_Y = \emptyset$ , unless  $Z$  is the terminal object. It is easily checked that if  $Im(f-g) \cap A_Y = \emptyset$ , then

$$Q = (V_Q, A_Q := q(A_Y), a_Q := q(a_Y), \tilde{a}_Q)$$

together with the quotient map  $q$  is the coequalizer of  $f$  and  $g$  for any choice of  $\tilde{a}_Q \in \tilde{A}_Q$ . If the intersection is nonempty, then the unique coequalizing arrow is  $Y \rightarrow 0$ , which is then the coequalizer.

Let us mention pullbacks and pushouts. Since pullbacks can be obtained from products and equalizers, we see that we have a similar situation: if a pullback is "well defined", then it coincides with the pullback in  $\mathbf{FinVect}$ , otherwise it is trivial. More precisely, if  $f : X \rightarrow Z$  and  $g : Y \rightarrow Z$ , then we put

$$V_P := \{(x, y) \in V_X \times V_Y, f(x) = g(y)\}.$$

If  $V_P \cap A_X \times A_Y \neq \emptyset$ , that is, there are some  $x \in A_X$  and  $y \in A_Y$  such that  $f(x) = g(y)$ , then

$$(V_P, A_P := (A_X \times A_Y) \cap V_P, a_P, \frac{1}{2}(\tilde{a}_X, \tilde{a}_Y))$$

with the two projections is a pullback of  $f$  and  $g$  for any choice of  $a_P \in A_P$ , otherwise the pullback is just the initial object  $\emptyset$ .

Similarly, let  $f : Z \rightarrow X$ ,  $g : Z \rightarrow Y$ , then let  $V_Q$  be the quotient of  $V_X \times V_Y$  by the subspace

$$\{(f(z), -g(z)), x \in V_Z\}.$$

If this subspace does not contain any element of  $A_X \oplus A_Y$ , that is, there is no  $z \in V_Z$  such that for some  $t \in \mathbb{R}$ ,

$$f(tz) \in A_X, \quad g((t-1)z) \in A_Y,$$

then

$$Q = (V_Q, A_Q := q(A_X \oplus A_Y), \frac{1}{2}q(a_X, a_Y), \tilde{a}_Q)$$

with maps  $x \mapsto q(x, 0)$  and  $y \mapsto q(0, y)$  is the pushout of  $f$  and  $g$ . Otherwise the pushout is just 0.

### 2.3.2 Tensor products

Let  $X, Y$  be objects in  $\mathbf{Af}$ . Let us define

$$A_{X \otimes Y} := \{x \otimes y, x \in A_X, y \in A_Y\}^\approx.$$

In other words,  $A_{X \otimes Y}$  is the affine subspace in  $V_X \otimes V_Y$  containing  $A_X \otimes A_Y$ . We have

$$\begin{aligned} L_{X \otimes Y} &= Lin(A_X \otimes A_Y) = span(\{x \otimes y - a_X \otimes a_Y, x \in A_X, y \in A_Y\}) \\ &= (a_X \otimes L_Y) + (L_X \otimes a_Y) + (L_X \otimes L_Y) \end{aligned} \tag{1}$$

(here  $+$  denotes the direct sum of subspaces). We also have

$$S_{X \otimes Y} = S_X \otimes S_Y.$$

*Proof.* Let  $x \in A_X$ ,  $y \in A_Y$ , then

$$x \otimes y - a_X \otimes a_Y = a_X \otimes (y - a_Y) + (x - a_X) \otimes a_Y + (x - a_X) \otimes (y - a_Y),$$

so that  $L_{X \otimes Y} = \text{Lin}(A_X \otimes A_Y)$  is contained in the subspace on the RHS of (1). Let  $d$  be the dimension of this subspace, then clearly

$$d_{X \otimes Y} \leq d \leq d_X + d_Y + d_X d_Y.$$

On the other hand, any element of  $S_X$  has the form  $tx$  for some  $t \in \mathbb{R}$  and  $x \in A_X$ , so that it is easily seen that  $S_X \otimes S_Y = S_{X \otimes Y}$ . Hence

$$\begin{aligned} d_{X \otimes Y} &= \dim(L_{X \otimes Y}) = \dim(S_{X \otimes Y}) - 1 = \dim(S_X) \dim(S_Y) - 1 = (d_X + 1)(d_Y + 1) - 1 \\ &= d_X + d_Y + d_X d_Y. \end{aligned}$$

This completes the proof. □

For  $X, Y$  in  $\text{Af}$ , put

$$X \otimes Y := (V_X \otimes V_Y, A_{X \otimes Y}, a_X \otimes a_Y, \tilde{a}_X \otimes \tilde{a}_Y).$$

Also let  $I := (\mathbb{R}, \{1\}, \{1\}, \{1\})$ . Then  $(\text{Af}, \otimes, I)$  is a symmetric monoidal category. We only have to check that the associators, unitors and symmetries from  $\text{FinVect}$  are morphisms in  $\text{Af}$ . We leave this for some other day.

### 2.3.3 Duality

We define  $X^* := (V_X^*, \tilde{A}_X, \tilde{a}_X, a_X)$ . Note that we have

$$L_{X^*} = S_X^\perp, \quad S_{X^*} = L_X^\perp.$$

It follows that

$$d_{X^*} = D_X - d_X - 1.$$

It is easily seen that  $(-)^*$  defines a full and faithful functor  $\text{Af}^{op} \rightarrow \text{Af}$ , moreover,  $X^{**} = X$  (if we use the canonical identification of any  $V$  in  $\text{FinVect}$  with its second dual). Now we can show that  $(\text{Af}, \otimes, I)$  is  $*$ -autonomous...

Let us define the dual tensor product by  $\odot$ , that is

$$X \odot Y = (X^* \otimes Y^*)^*.$$

### 2.3.4 The category $\text{AfH}$

We define  $\text{AfH}$  as the full subcategory of  $\text{Af}$  containing all first order objects and closed under duals and tensor products.