

# Incompatibility in GPT and tensor norms

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# General probabilistic theories: definition

(L. Lami, arXiv:1803.02902)

A GPT:  $(V, V^+, \mathbb{1})$ :

- ▶  $V$  a finite dimensional real vector space
- ▶  $V^+ \subset V$  **positive cone**: proper convex cone  
(closed, pointed:  $V^+ \cap -V^+ = \{0\}$  and generating:  $V = V^+ - V^+$ )
- ▶  $\mathbb{1}$  **unit effect**: a strictly positive functional on  $(V, V^+)$ .

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The set of **states**:

$$K = \{\rho \in V^+, \langle \mathbb{1}, \rho \rangle = 1\}.$$

- a compact convex set
- a **base** of the cone  $V^+$ .

# General probabilistic theories: duality and norms

The dual ordered space:  $(A, A^+)$

- ▶  $A = V^*$  dual vector space
- ▶  $A^+ = (V^+)^*$  the **dual cone**: positive functionals

$$(V^+)^* := \{f \in A, \langle f, v \rangle \geq 0, \forall v \in V^+\}$$

- ▶  $\mathbb{1} \in \text{int}(A^+)$  - **order unit** in  $A$

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**Base norm** in  $V$ :

$$\|v\|_V := \inf\{\langle \mathbb{1}, v_+ + v_- \rangle : v = v^+ - v^-, v_{\pm} \in V^+\} = \|v\|_A^*.$$

# General probabilistic theories: basic examples

## Classical systems

- ▶  $V = \mathbb{R}^d$
- ▶  $V^+ = \mathbb{R}_+^d = \{(x_1, \dots, x_d), x_i \geq 0\}$  simplicial cone
- ▶  $\mathbb{1} = (1, 1, \dots, 1)$

Classical state space: probability simplex

$$\Delta_d = \{(p_1, \dots, p_d), p_i \geq 0, \sum_i p_i = 1\}$$



# General probabilistic theories: basic examples

## Quantum systems

- ▶  $V = B^{sa}(\mathcal{H})$  self-adjoint operators on a Hilbert space,  $\dim(\mathcal{H}) < \infty$
- ▶  $V^+ = B^+(\mathcal{H})$  positive operators
- ▶  $\mathbb{1} = I$  identity operator

Quantum state space: set of **density operators**

$$D(\mathcal{H}) = \{\rho \in B^+(\mathcal{H}), \text{Tr } \rho = 1\}$$

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Centrally symmetric GPT:  $K \cong B_{\|\cdot\|}$  the unit ball in  $(\mathbb{R}^{d-1}, \|\cdot\|)$

$$V \cong \mathbb{R}^d, \quad V^+ \cong \{(\alpha, x), \ \|x\| \leq \alpha\}, \quad \mathbb{1} \cong (1, 0)$$

$$A \cong \mathbb{R}^d, \quad A^+ \cong \{(\beta, y), \ \|y\|^* \leq \beta\} \quad - \quad \text{the dual norm}$$

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- Cubic models:  $K \cong B_{\|\cdot\|_\infty} = [-1, 1]^{d-1}$  - hypercube
- Spherical models:  $K \cong B_{\|\cdot\|_2}$  - standard Euclidean ball

# General probabilistic theories: effects and measurements

Effects:  $f \in A^+$ ,  $0 \leq f \leq 1$ .

- ▶ map states to probabilities:

$$K \ni \rho \mapsto \langle f, \rho \rangle \in [0, 1]$$

- ▶ binary measurements

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- binary measurements

Measurements with outcomes in  $[k] = \{1, \dots, k\}$ :

- $f_1, \dots, f_k$  effects,

$\langle f_i, \rho \rangle$  – probability of outcome  $i$  in the state  $\rho \in K$

- $\sum_i f_i = \mathbb{1}$

# Compatible effects in a GPT

A tuple  $f = (f_1, \dots, f_g)$  of effects (binary measurements) is **compatible** if all  $f_i$  are marginals of a **joint measurement**:

a measurement  $h$  with outcomes in  $[2^g] \equiv [2]^g$  such that

$$f_i = \sum_{j_1, \dots, j_{i-1}, j_{i+1}, \dots, j_g} h_{j_1, \dots, j_{i-1}, 1, j_{i+1}, \dots, j_g}$$



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**Previous works:**

- ▶ (A. Bluhm, I. Nechita, JMP 2018): quantum effects
- ▶ (AJ, PRA 2018): GPT setting

# Tensor cross norms in Banach spaces

Let  $(X, \|\cdot\|_X)$  and  $(Y, \|\cdot\|_Y)$  be Banach spaces. A (reasonable) **cross norm** is a norm  $\|\cdot\|_\alpha$  on  $X \otimes Y$  such that

- ▶  $\|x \otimes y\|_\alpha = \|x\|_X \|y\|_Y$ , for all  $x \in X, y \in Y$
- ▶  $\|x^* \otimes y^*\|_{\alpha^*} = \|x^*\|_{X^*} \|y^*\|_{Y^*}$ , for all  $x^* \in X^*, y^* \in Y^*$ .

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Equivalently,

$$\|\cdot\|_\epsilon \leq \|\cdot\|_\alpha \leq \|\cdot\|_\pi,$$

- ▶  $\|\cdot\|_\epsilon$  - the **injective cross norm**
- ▶  $\|\cdot\|_\pi$  - the **projective cross norm**.

## Effects and tensor cross norms

Fix a GPT  $(V, V^+, \mathbb{1})$ ,  $f = (f_1, \dots, f_g)$ ,  $f_i \in A$ . Put

$$\varphi_f := \sum_i e_i \otimes (2f_i - \mathbb{1}) \in \mathbb{R}^g \otimes A.$$

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An easy observation:

All  $f_i$  are effects if and only if

$$1 \geq \max_i \|2f_i - \mathbb{1}\|_A = \|\varphi_f\|_\epsilon$$

$\|\cdot\|_\epsilon$  - injective cross norm of  $\ell_\infty^g = (\mathbb{R}^g, \|\cdot\|_\infty)$  and  $(A, \|\cdot\|_A)$ .

# Compatibility and tensor cross norms

We introduce another norm in  $\mathbb{R}^g \otimes A$ :

$$\|\varphi\|_\alpha = \inf\{\|\sum_j h_j\|_A, \varphi = \sum_j z_j \otimes h_j, \|z_j\|_\infty = 1, h_j \in A^+\}.$$

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## Characterization of compatibility

$f$  is a compatible  $g$ -tuple of effects if and only if  $\|\varphi_f\|_\alpha \leq 1$ .

# Compatibility region and degree

(P. Busch et al., EPL 2013; T. Heinosaari et al., J. Phys. A 2016)

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- ▶ **noise robustness** of incompatibility
- ▶ the amounts of noise that has to be added to the effects to obtain a compatible collection.
- ▶ different definitions by the choice of **noise**
- ▶ we choose **trivial effects**  $\mathbb{1}/2$ .

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With  $s = (s_1, \dots, s_g) \in [0, 1]^g$ , we define the noisy effects

$$f^s = (s_1 f_1 + (1 - s_1)\mathbb{1}/2, \dots, s_g f_g + (1 - s_g)\mathbb{1}/2).$$



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Compatibility region for  $f$ :

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Compatibility region for  $g$  effects in  $(V, V^+, \mathbb{1})$ :

$$\Gamma(g; V, V^+) = \cap_f \Gamma(f) = \{s \in [0, 1]^g : f_s \text{ is compatible for all } f\}$$

# Compatibility region and degree

Compatibility degree: diagonal elements in  $\Gamma(f)$

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Compatibility degree for  $g$  effects:

$$\begin{aligned}\gamma(g; V, V^+) &= \min_f \gamma(f) \\ &= \max\{s \in [0, 1] : (s, \dots, s) \in \Gamma(g; V, V^+)\}.\end{aligned}$$

# Compatibility region and degree

Each  $\Gamma(f)$  is a convex set between  $\Delta_{g+1}$  and the hypercube  $[0, 1]^g$ :

- ▶  $\Gamma = [0, 1]^g$  iff  $K$  is a simplex
- ▶  $\Gamma = \Delta_{g+1}$  iff  $\exists$  a retraction  $K \rightarrow [0, 1]^g$

# Compatibility region and degree

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a joint measurement for  $(1/gf_i + (1 - 1/g)\mathbb{1})_{i \in [g]}$ :

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We will give a dimension dependent lower bound on  $\gamma$ .

## Compatibility region and inclusion constants

$f = (f_1, \dots, f_g)$  effects,  $f_s$  the noisy effects,  $s \in [0, 1]^g$ :

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$$\Gamma(f) = \{s \in [0, 1]^g, \|s \cdot \varphi_f\|_\alpha \leq 1\}$$

$\Gamma(g; V, V^+) =$  the set of **inclusion constants** for  $\|\cdot\|_\epsilon$  and  $\|\cdot\|_\alpha$

$$= \{s \in [0, 1]^g : \frac{\|s \cdot \varphi\|_\alpha}{\|\varphi\|_\epsilon} \leq 1, \forall \varphi \in \mathbb{R}^g \otimes A\}$$

# Compatibility degree and cross norms

## Characterization of compatibility degree

1. For any  $g$ -tuple of effects, we have

$$\gamma(f) = 1/\|\varphi_f\|_\alpha$$

2. In any GPT  $(V, V^+, \mathbb{1})$  and  $g \in \mathbb{N}$ , we have

$$\gamma(g; V, V^+) = \min_{\varphi \in \mathbb{R}^g \otimes A} \frac{\|\varphi\|_\epsilon}{\|\varphi\|_\alpha}$$

3. We have the following lower bound

$$\gamma(g; V, V^+) \geq \min_{\varphi \in \mathbb{R}^g \otimes A} \frac{\|\varphi\|_\epsilon}{\|\varphi\|_\pi} \geq \max\{1/g, 1/\dim(V)\}$$

# Compatibility of quantum effects

In the quantum case:

- ▶ Let  $B_\epsilon$  and  $B_\alpha$  be the unit balls in  $\ell_\infty^g \otimes B_{sa}(\mathcal{H})$  for the two cross norms

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There is some literature around the inclusion constants for these sets (related to operator systems, cp maps, free spectrahedra, etc.)

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A. Bluhm, I. Nechita, JMP 2018, arxiv:1807.01508)

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Some results: for compatibility region and degree

1.  $QC_q := \{s \in [0, 1]^g, \sum_i s_i^2 \leq 1\} \subseteq \Gamma(g, \mathcal{H})$

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2.  $\gamma(g, \mathcal{H}) \geq \max\{g^{-1/2}, 1/2n\}$
3. equality holds for large enough  $n = \dim(\mathcal{H})$ :

$$n \geq 2^{(g-1)/2}$$

## Centrally symmetric GPTs

Let  $(V, V^+, \mathbf{1})$  be centrally symmetric: for some  $(\mathbb{R}^{d-1}, \|\cdot\|)$ :

$$V = \mathbb{R} \oplus \mathbb{R}^{d-1}, \quad V^+ = \{(a, x), \|x\| \leq a\}, \quad \mathbf{1} = (1, 0)$$

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Unbiased effects:  $(1/2, \bar{f}), \|f\|^* \leq 1/2$ .



## Centrally symmetric GPTs: unbiased effects

Let  $f = ((1/2, \bar{f}_1), \dots, (1/2, \bar{f}_g))$  - a collection of unbiased effects:

$$\varphi_f = \sum_i e_i \otimes (0, 2\bar{f}_i) = 0 \oplus \sum_i e_i \otimes \bar{2}f_i \in \mathbb{R}^g \otimes \mathbb{R}^{d-1}$$

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Then

$$\|\varphi_f\|_\alpha = \|\varphi_f\|_\pi = \|\varphi_f\|_{\bar{\pi}}$$

$\|\cdot\|_{\bar{\pi}}$  is the projective cross norm of  $\ell_\infty^g$  and  $(\mathbb{R}^{d-1}, \|\cdot\|^*)$ .

**Maximal incompatibility** for tuples of effects in a centrally symmetric GPT is always attained on a tuple of unbiased effects.

# Centrally symmetric GPT: compatibility region

Let  $\|\cdot\|_{\bar{\epsilon}}$  denote the injective cross norm of  $\ell_{\infty}^g$  and  $(\mathbb{R}^{d-1}, \|\cdot\|^*)$ .

## 1. Compatibility region:

$$\Gamma(g; \|\cdot\|) = \{s \in [0, 1]^g : \max_{\varphi \in \mathbb{R}^g \otimes \mathbb{R}^{d-1}} \frac{\|s \cdot \varphi\|_{\bar{\pi}}}{\|\varphi\|_{\bar{\epsilon}}} \leq 1\}$$

## 2. Compatibility degree:

$$\gamma(g; \|\cdot\|) = \min_{\varphi \in \mathbb{R}^g \otimes \mathbb{R}^{d-1}} \frac{\|\varphi\|_{\bar{\epsilon}}}{\|\varphi\|_{\bar{\pi}}} \geq \max\{1/g, 1/(d-1)\}$$

## 3. Tight lower bound

# Centrally symmetric GPTs: Examples

1. For the **cubic model**:

$$\Gamma(g; \|\cdot\|_\infty) = \{s \in [0, 1]^g, \forall I \subseteq [g], |I| \leq d-1, \sum_{i \in I} s_i \leq 1\}$$

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2. For the **spherical model**: (qubits)

$$\Gamma(g; \|\cdot\|_2) \supseteq \{s \in [0, 1]^g, \sum_i s_i^2 \leq 1\}$$

$$\gamma(g; \|\cdot\|_2) \geq \max\{1/\sqrt{g}, 1/(d-1)\}$$

with equalities if  $g \leq d-1$ .

# Incompatibility witnesses

A (strict) incompatibility witness: a linear functional

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- ▶  $\langle z, \varphi_f \rangle \leq 1$  for all compatible  $f$ ;
- ▶  $\langle z, \varphi_f \rangle > 1$  for some  $f$  (which then must be incompatible).

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**Compatibility degree:**

$$\gamma(g; V, V^+) = 1 / \max_{\|z\|_\alpha^* \leq 1} \sum_i \|z_i\|_V$$

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Small compatibility degree  $\equiv$  large hypercubes contained in  $V^+$ .

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$$\max_{\rho} \sum_i \|\rho^{1/2} X_i \rho^{1/2}\|_1 > 1.$$



# Incompatibility witnesses: centrally symmetric GPT

Let  $(V, V^+, \mathbb{1})$  be centrally symmetric: for some  $(\mathbb{R}^{d-1}, \|\cdot\|)$ :

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- ▶ we may restrict to witnesses with  $z_i = (0, \bar{z}_i)$  and  $z_0 = (1, 0)$
- ▶ hypercubes in the unit ball  $B_{\|\cdot\|}$ , with barycenter at 0.

