

# Characterization of sufficient channels by a Rényi relative entropy

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## 1. Quantum versions of the Rényi relative entropy

In [10], it was suggested that the quantum generalization of the Rényi relative entropy should be

$$D_\alpha(\rho\|\sigma) = \begin{cases} D_\alpha^{(old)}(\rho, \sigma), & \alpha \in (0, 1) \\ D_\alpha^{(new)}(\rho\|\sigma), & \alpha > 1 \end{cases}$$

for pairs of states  $\rho, \sigma$  on a finite dimensional Hilbert space. The "old" entropies

$$D_\alpha^{(old)}(\rho\|\sigma) = \frac{1}{\alpha-1} \log \text{Tr } \rho^\alpha \sigma^{1-\alpha}$$

for  $\alpha \in (0, 1)$  have a direct operational interpretation as error exponents and cutoff rates in binary state discrimination [1, 9]. The "new", or "sandwiched" version [14, 11]

$$D_\alpha^{(new)}(\rho\|\sigma) = \begin{cases} \frac{1}{\alpha-1} \log \text{Tr} \left( \sigma^{\frac{1-\alpha}{2\alpha}} \rho \sigma^{\frac{1-\alpha}{2\alpha}} \right)^\alpha, & \text{supp}(\rho) \subseteq \text{supp}(\sigma) \\ \infty, & \text{otherwise} \end{cases}$$

has similar applications in the strong converse domain, [10, 14]. These quantities have some important properties ([4, 12, 2, 3]):

- (i) **positivity**:  $D_\alpha(\rho, \sigma) \geq 0$  and equality holds if and only if  $\rho = \sigma$ .
- (ii) **data processing inequality (DPI)**: If  $\Phi$  is a channel (i.e. a completely positive trace preserving map), then

$$D_\alpha(\rho\|\sigma) \geq D_\alpha(\Phi(\rho)\|\Phi(\sigma))$$

## 2. Sufficient channels

Let  $\Phi$  be a channel and let  $\mathcal{S}$  be a set of states. We say that  $\Phi$  is **sufficient** with respect to  $\mathcal{S}$  if there is some channel  $\Psi$ , called a **recovery map**, such that

$$\Psi \circ \Phi(\rho) = \rho \quad \rho \in \mathcal{S}.$$

Sufficient channels can be characterized by equality in the data processing inequality for a large class of information theoretic quantities, see e.g. [4, 5]. In particular, if  $\mathcal{S}$  contains an invertible element  $\sigma$ , then  $\Phi$  is sufficient with respect to  $\mathcal{S}$  if and only if

$$D_\alpha(\rho\|\sigma) = D_\alpha(\Phi(\rho)\|\Phi(\sigma)), \quad \rho \in \mathcal{S} \quad (1)$$

for some  $\alpha \in (0, 1)$ , [4, 7]. We show that this is true also for  $\alpha > 1$  and in infinite dimensions. Similarly to [2], we use an interpolating family of non-commutative  $L_p$ -spaces with respect to a state.

## 3. Noncommutative $L_p$ -spaces and interpolation

Let  $\mathcal{H}$  be a separable Hilbert space. For  $p \leq 1$ , let

$$\mathcal{L}_p(\mathcal{H}) = \{X \in B(\mathcal{H}), \text{Tr } |X|^p < \infty\}$$

be the Schatten class, with the norm  $\|X\|_p = (\text{Tr } |X|^p)^{1/p}$ . Let

$$\mathcal{L}_\infty(\mathcal{H}) = B(\mathcal{H}),$$

with  $\|\cdot\|_\infty = \|\cdot\|$ , the operator norm. Let also

$$\mathfrak{S}(\mathcal{H}) = \{\rho \in \mathcal{L}_1(\mathcal{H})^+, \text{Tr } \rho = 1\}$$

be the set of normal states. Fix a faithful  $\sigma \in \mathfrak{S}(\mathcal{H})$  and  $p \in [1, \infty]$ ,  $1/p + 1/q = 1$ . The **noncommutative  $L_p$ -space with respect to  $\sigma$**  is defined as

$$L_p(\mathcal{H}, \sigma) := \{\sigma^{1/2q} X \sigma^{1/2q}, X \in \mathcal{L}_p(\mathcal{H})\},$$

with the norm

$$\|\sigma^{1/2q} X \sigma^{1/2q}\|_{p, \sigma} := \|X\|_p.$$

Then  $L_p(\mathcal{H}, \sigma) \subseteq \mathcal{L}_1(\mathcal{H}) = L_1(\mathcal{H}, \sigma)$  and

$$L_p(\mathcal{H}, \sigma) = C_{1/p}(L_\infty(\mathcal{H}, \sigma), \mathcal{L}_1(\mathcal{H})),$$

where  $C_\theta$  is given by complex interpolation [8, 15]. This has some consequences:

- For  $1 \leq p \leq p' \leq \infty$ ,  $L_{p'}(\mathcal{H}, \sigma) \subseteq L_p(\mathcal{H}, \sigma)$  and

$$\|X\|_{p, \sigma} \leq \|X\|_{p', \sigma}, \quad \forall X \in L_{p'}(\mathcal{H}, \sigma).$$

- For  $1 \leq p < \infty$ ,  $L_q(\mathcal{H}, \sigma)$  is the dual space of  $L_p(\mathcal{H}, \sigma)$ , with duality  $\langle \cdot, \cdot \rangle : L_q(\mathcal{H}, \sigma) \times L_p(\mathcal{H}, \sigma) \rightarrow \mathbb{C}$  given by

$$\langle \sigma^{1/2p} X \sigma^{1/2p}, \sigma^{1/2q} Y \sigma^{1/2q} \rangle := \text{Tr } XY,$$

for any  $X \in \mathcal{L}_q(\mathcal{H})$ ,  $Y \in \mathcal{L}_p(\mathcal{H})$ .

- $L_2(\mathcal{H}, \sigma)$  is a Hilbert space, with inner product

$$(S, T) \mapsto \langle S^*, T \rangle.$$

Let  $\Phi : \mathcal{L}_1(\mathcal{H}) \rightarrow \mathcal{L}_1(\mathcal{K})$  be a channel. Then

- for  $1 \leq p \leq \infty$ ,  $\Phi$  restricts to a contraction  $L_p(\mathcal{H}, \sigma) \rightarrow L_p(\text{supp}(\Phi(\sigma)), \Phi(\sigma))$ .
- The equality

$$\langle \Phi_\sigma(X), \sigma^{1/2} Y \sigma^{1/2} \rangle = \langle X, \Phi(\sigma^{1/2} Y \sigma^{1/2}) \rangle,$$

for all  $X \in \mathcal{L}_1(\mathcal{K})$  and  $Y \in B(\mathcal{H})$ , defines a channel  $\Phi_\sigma : \mathcal{L}_1(\mathcal{K}) \rightarrow \mathcal{L}_1(\mathcal{H})$  - the **Petz recovery map**.

## 4. Quantum Rényi entropies and $L_p$ -spaces

Let  $\rho, \sigma \in \mathfrak{S}(\mathcal{H})$ ,  $\alpha \in (0, 1)$ . Assume that  $\tau \in \mathfrak{S}(\mathcal{H})$  is faithful. Then

$$\ell_\alpha : \omega \mapsto \tau^{(1-\alpha)/2} \omega^\alpha \tau^{(1-\alpha)/2}$$

defines a homeomorphism of the positive cone  $\mathcal{L}_1(\mathcal{H})^+$  onto  $L_{1/\alpha}(\mathcal{H}, \tau)^+$ . Put

$$D_\alpha(\rho\|\sigma) := \frac{1}{\alpha-1} \log \langle \ell_\alpha(\rho), \ell_{1-\alpha}(\sigma) \rangle$$

If  $\text{supp}(\rho) \subseteq \text{supp}(\sigma)$ , then we may put  $\tau = \sigma$  and

$$D_\alpha(\rho\|\sigma) = \frac{1}{\alpha-1} \log \|\ell_\alpha(\rho)\|_1.$$

For  $\alpha > 1$ , let  $1/\alpha + 1/\beta = 1$ . Put

$$L_\alpha(\sigma) := L_\alpha(\text{supp}(\sigma), \sigma).$$

Then  $\rho \in L_\alpha(\sigma)$  if  $\text{supp}(\rho) \subseteq \text{supp}(\sigma)$  and there is some  $\omega \in \mathcal{L}_1(\mathcal{H})^+$  such that

$$\rho = \sigma^{1/2\beta} \omega^{1/\alpha} \sigma^{1/2\beta}.$$

We have  $\|\rho\|_{\alpha, \sigma} = (\text{Tr } \omega)^{1/\alpha}$ . Define

$$D_\alpha(\rho\|\sigma) := \begin{cases} \frac{\alpha}{\alpha-1} \log(\|\rho\|_{\alpha, \sigma}), & \text{if } \rho \in L_\alpha(\sigma) \\ \infty, & \text{otherwise} \end{cases}$$

If  $\dim(\mathcal{H}) < \infty$ ,  $D_\alpha(\rho\|\sigma)$  coincides with the original definition. The following properties extend to infinite dimensional case:

- (i) positivity
- (ii) DPI
- (iii)  $\alpha \mapsto D_\alpha(\rho\|\sigma)$  is non-decreasing.
- (iv)  $(\rho, \sigma) \mapsto D_\alpha(\rho\|\sigma)$  is jointly lower semicontinuous.

## 5. Characterization of sufficient channels by factorization

We may assume that  $\sigma \in \mathfrak{S}(\mathcal{H})$  is faithful.

**Theorem 1.** [13] *Let  $\Phi : \mathcal{L}_1(\mathcal{H}) \rightarrow \mathcal{L}_1(\mathcal{K})$  be a channel. Then  $\Phi$  is sufficient with respect to  $\{\rho, \sigma\}$  if and only if  $\rho$  is an invariant state of the channel  $\Omega := \Phi_\sigma \circ \Phi$ .*

Since  $\Omega$  possesses a faithful normal invariant state  $\sigma$ , the structure of its invariant states is known.

**Theorem 2.** *There is a unitary  $U$  and factorizations*

$$U\mathcal{H} = \bigoplus_n \mathcal{H}_n^L \otimes \mathcal{H}_n^R, \quad \sigma = U^* \left( \bigoplus_n A_n^L \otimes \sigma_n^R \right) U,$$

where  $A_n^L \in \mathcal{L}_1(\mathcal{H}_n^L)^+$  and  $\sigma_n^R \in \mathfrak{S}(\mathcal{H}_n^R)$ , such that for any  $\rho \in \mathfrak{S}(\mathcal{H})$ ,  $\Phi$  is sufficient with respect to  $\{\sigma, \rho\}$  if and only if  $\rho$  can be factorized as

$$\rho = U^* \left( \bigoplus_n B_n^L \otimes \sigma_n^R \right) U$$

for some  $B_n^L \in \mathcal{L}_1(\mathcal{H}_n^L)^+$ .

## 6. Characterization of sufficient channels by $D_\alpha$

**Theorem 3.** *Assume that  $D_\alpha(\rho\|\sigma) < \infty$  for some  $\alpha \in (0, 1) \cup (1, \infty)$ . Then the channel  $\Phi$  is sufficient with respect to  $\{\rho, \sigma\}$  if and only if*

$$D_\alpha(\Phi(\rho)\|\Phi(\sigma)) = D_\alpha(\rho\|\sigma).$$

- For  $\alpha \in (0, 1)$ , this was proved in [7].
- For  $\alpha = 2$ , it is easy: equality in DPI implies:

$$\|\rho\|_{2, \sigma}^2 = \|\Phi(\rho)\|_{2, \Phi(\sigma)}^2 = \langle \rho, \Phi_\sigma \circ \Phi(\rho) \rangle \leq \|\rho\|_{2, \sigma}^2$$

so that  $\rho = \Phi_\sigma \circ \Phi(\rho)$  by equality condition for Schwarz inequality.

- Assume the equality holds for some  $1 < \alpha < \infty$  and let

$$\rho = \sigma^{1/2\beta} \omega^{1/\alpha} \sigma^{1/2\beta}$$

for some  $\omega \in \mathcal{L}_1(\mathcal{H})^+$ . Let  $S = \{z \in \mathbb{C}, 0 \leq \Re(z) \leq 1\}$  and

$$\rho(z) = \|\omega\|_1^{(1/\alpha-z)} \sigma^{(1-z)/2} \omega^z \sigma^{(1-z)/2}, \quad z \in S$$

Then  $z \mapsto \rho(z)$  is bounded and continuous on  $S$ , holomorphic in the interior,  $\rho = \rho(1/\alpha)$  and we have

$$\|\Phi(\rho(\theta))\|_{1/\theta, \Phi(\sigma)} = \|\rho(\theta)\|_{1/\theta, \sigma}, \quad \forall \theta \in (0, 1)$$

Let  $\theta = 1/2$  and put

$$\xi := c\rho(1/2) = c_1 \sigma^{1/4} \omega^{1/2} \sigma^{1/4} \in \mathfrak{S}(\mathcal{H}),$$

$c, c_1 > 0$  are normalization constants. Then

$$\|\Phi(\xi)\|_{2, \Phi(\sigma)} = \|\xi\|_{2, \sigma}$$

It follows that  $\Phi$  is sufficient with respect to  $\{\xi, \sigma\}$ , which implies that  $\xi$  has a factorization as in Theorem 2. But then also  $\omega$ , and, consequently,  $\rho$  has such a factorization. Hence  $\Phi$  is sufficient with respect to  $\{\rho, \sigma\}$ .

- The converse is clear from the data processing inequality.

*Remark 1.* For  $\dim(\mathcal{H}) < \infty$ , see [6]. Similar results can be obtained for pairs of normal states on arbitrary von Neumann algebras and normal unital completely positive maps.

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