

## Questions

### 1 Sufficiency (reversibility)

This would be the most interesting question.

### 2 Martingale convergence

Let  $\{\mathcal{M}_i\}$  be an increasing net of unital von Neumann subalgebras of  $\mathcal{M}$  such that  $\mathcal{M} = (\bigcup_i \mathcal{M}_i)''$ . Assume that either

$$\alpha \in (0, 1), \quad z \geq \max\{\alpha, 1 - \alpha\}, \quad (2.1)$$

or

$$\alpha > 1, \quad \max\{\alpha/2, \alpha - 1\} \leq z \leq \alpha. \quad (2.2)$$

Then for every  $\varphi, \psi \in \mathcal{M}_*^+$ ,

$$D_{\alpha, z}(\psi|_{\mathcal{M}_i} \| \varphi|_{\mathcal{M}_i}) \nearrow D_{\alpha, z}(\psi \| \varphi)?$$

Maybe, this can be proved in a similar way to the proof of Theorem 3.1 of <sup>1</sup> based on <sup>2</sup>.

This can typically be applied in the following situations:

- (1) When  $\mathcal{M}$  is an injective von Neumann algebra  $\mathcal{M} = (\bigcup_i \mathcal{M}_i)''$  with an increasing net  $\{\mathcal{M}_i\}$  of finite-dimensional subalgebras of  $\mathcal{M}$ .
- (2) According to Haagerup's reduction theory, worked out in <sup>3</sup> (a compact survey is found in <sup>4</sup>), one can define

$$\hat{\mathcal{M}} := \mathcal{M} \rtimes_{\sigma\phi} G, \quad G := \bigcup_{n \in \mathbb{N}} 2^{-n} \mathbb{Z},$$

where  $\phi$  is a faithful normal state of  $\mathcal{M}$ , with a normal conditional expectation  $E_{\mathcal{M}} : \hat{\mathcal{M}} \rightarrow \mathcal{M}$ . Define  $\hat{\psi} := \psi \circ E_{\mathcal{M}}$  for any  $\psi \in \mathcal{M}_*^+$ . Then we have an increasing sequence  $\{\mathcal{M}_n\}$  of unital von Neumann subalgebras of  $\hat{\mathcal{M}}$  such that the following hold:

- (i) Each  $\mathcal{M}_n$  is a type II<sub>1</sub> von Neumann algebra with a faithful normal tracial state  $\tau_n$ .
- (ii)  $(\bigcup_n \mathcal{M}_n)'' = \hat{\mathcal{M}}$ .
- (iii) there exist faithful normal conditional expectations  $E_{\mathcal{M}_n} : \hat{\mathcal{M}} \rightarrow \mathcal{M}_n$  for which

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<sup>1</sup>F. Hiai and M. Mosonyi, Quantum Rényi divergences and the strong converse exponent of state discrimination in operator algebras, *Ann. Henri Poincaré* **24** (2023), 1681–1724.

<sup>2</sup>F. Hiai and M. Tsukada, Generalized conditional expectations and martingales in noncommutative  $L^p$ -spaces, *J. Operator Theory* **18** (1987), 265–288.

<sup>3</sup>U. Haagerup, M. Junge and Q. Xu. A reduction method for noncommutative  $L_p$ -spaces and applications, *Trans. Amer. Math. Soc.* **362** (2010), 2125–2165.

<sup>4</sup>O. Fawzi, L. Gao and M. Rahaman, Asymptotic equipartition theorems in von Neumann algebras, arXiv:2212.14700v2 [quant-ph], 2023.

- $\hat{\phi} \circ E_{\mathcal{M}_n} = \hat{\phi}$ ,
- $E_{\mathcal{M}_n}(x) \rightarrow x$  strongly for any  $x \in \hat{\mathcal{M}}$ ,
- $\|\hat{\psi} \circ E_{\mathcal{M}_n} - \hat{\psi}\| \rightarrow 0$  for any  $\psi \in \mathcal{M}_*^+$ .

In this situation, by DPI and the above martingale (if proved), we have, for any  $\varphi, \psi \in \mathcal{M}_*^+$  and for any  $(\alpha, z)$  in either (2.1) or (2.2),

$$D_{\alpha,z}(\psi\|\varphi) = D_{\alpha,z}(\hat{\psi}\|\hat{\phi}) = \lim_{n \rightarrow \infty} D_{\alpha,z}(\hat{\psi}|_{\mathcal{M}_n} \|\hat{\phi}|_{\mathcal{M}_n}). \quad (2.3)$$

The property (2.3) holds the relative entropy  $D(\alpha\|\varphi)$  (Proposition 2.2 of <sup>4</sup>). Furthermore, there might be a chance for (2.3) to hold for all  $\alpha \in (0, \infty) \setminus \{1\}$  and  $z > 0$  as well. This property enables us to reduce certain questions (e.g., the monotonicity question of  $z > 0 \mapsto D_{\alpha,z}(\psi\|\varphi)$  given below) to the type  $\text{II}_1$  case, though questions seems still difficult in the type  $\text{II}_1$  case too.

### 3 Monotonicity of $z > 0 \mapsto D_{\alpha,z}(\psi\|\varphi)$

The question says that

$$0 < z \leq z' \implies \begin{cases} D_{\alpha,z}(\psi\|\varphi) \leq D_{\alpha,z'}(\psi\|\varphi), & \alpha \in (0, 1), \\ D_{\alpha,z}(\psi\|\varphi) \geq D_{\alpha,z'}(\psi\|\varphi), & \alpha > 1. \end{cases} \quad (3.1)$$

The case  $\alpha \in (0, 1)$  has been shown in Theorem 1 (x) of <sup>5</sup> and the case  $\alpha > 1$  has been raised in Question 5. The question can be reduced to the type  $\text{II}_1$  case as far as the property given in (2.3) is affirmative.

Assume that  $\mathcal{M}$  is a type  $\text{II}_1$  von Neumann algebra with a faithful normal tracial state  $\tau$ . Then one can identify  $L^p(\mathcal{M})$  with  $L^p(\mathcal{M}, \tau)$ , where  $h_\psi \in L^1(\mathcal{M})_+$  for  $\psi \in \mathcal{M}_*^+$  is the Radon–Nikodym derivative  $d\psi/d\tau \in L^1(\mathcal{M}, \tau)_+$ . For any  $\varphi, \psi \in \mathcal{M}_*^+$  and for every  $\varepsilon > 0$  and  $z > 0$ , since  $h_{\varphi+\varepsilon\tau} = h_\varphi + \varepsilon 1$  is invertible with  $y_\varepsilon := (h_\varphi + \varepsilon 1)^{-1} \in \mathcal{M}_+$ , one has  $h_\psi^{\alpha/z} = h_{\varphi+\varepsilon\tau}^{(\alpha-1)/2z} x_\varepsilon h_{\varphi+\varepsilon\tau}^{(\alpha-1)/2z}$  where  $x_\varepsilon := y_\varepsilon^{(\alpha-1)/2z} h_\psi^{\alpha/z} y_\varepsilon^{(\alpha-1)/2z}$ , so that

$$Q_{\alpha,z}(\psi\|\varphi + \varepsilon\tau) = \tau(x_\varepsilon^z) = \tau((h_\psi^{\alpha/2z} y_\varepsilon^{(\alpha-1)/z} h_\psi^{\alpha/2z})^z).$$

Then, by Kosaki's ALT inequality one can see as in the proof of Theorem 1 (x) of <sup>5</sup> (OK?) that

$$Q_{\alpha,z}(\psi\|\varphi + \varepsilon\tau) \geq Q_{\alpha,z'}(\psi\|\varphi + \varepsilon\tau) \quad \text{if } 0 < z \leq z'.$$

Hence, the inequality in (3.1) for  $\alpha > 1$  in this situation follows if we can show that

$$Q_{\alpha,z}(\psi\|\varphi) = \lim_{\varepsilon \searrow 0} Q_{\alpha,z}(\psi\|\varphi + \varepsilon\tau). \quad (3.2)$$

Note that if  $0 < \varepsilon \leq \varepsilon'$  then  $y_\varepsilon$  and  $y_{\varepsilon'}$  commute and  $y_\varepsilon \geq y_{\varepsilon'}$ , and hence  $Q_{\alpha,z}(\psi\|\varphi + \varepsilon\tau) \geq Q_{\alpha,z}(\psi\|\varphi + \varepsilon'\tau)$ . Moreover, if  $Q_{\alpha,z}(\psi\|\varphi) < +\infty$  with  $x$  in ( $\spadesuit$ ), then we have

$$x_\varepsilon := \left( \frac{h_\varphi}{h_\varphi + \varepsilon 1} \right)^{(\alpha-1)/2z} x \left( \frac{h_\varphi}{h_\varphi + \varepsilon 1} \right)^{(\alpha-1)/2z},$$

from which

$$Q_{\alpha,z}(\psi\|\varphi) = \|x\|_z^z \geq \|x_\varepsilon\|_z^z = Q_{\alpha,z}(\psi\|\varphi + \varepsilon\tau), \quad \varepsilon > 0.$$

Therefore, (3.2) follows whenever  $\varphi \in \mathcal{M}_*^+ \mapsto Q_{\alpha,z}(\psi\|\varphi)$  is lower semi-continuous.

<sup>5</sup>S. Kato, On  $\alpha$ -z-Rényi divergence in the von Neumann algebra setting, Preprint, 2023.

## 4 The convergence of $D_{\alpha,z}(\psi\|\varphi)$ as $\alpha \nearrow 1$ and $\alpha \searrow 1$

Let  $\psi, \varphi \in \mathcal{M}_*^+$  with  $\psi \neq 0$ . The following are known (see <sup>6 7 8</sup>):

- We have

$$\lim_{\alpha \nearrow 1} D_{\alpha,1}(\psi\|\varphi) = \lim_{\alpha \nearrow 1} D_{\alpha,\alpha}(\psi\|\varphi) = D_1(\psi\|\varphi) := \frac{D(\psi\|\varphi)}{\psi(1)},$$

where  $D(\psi\|\varphi)$  is the relative entropy.

- If  $D_{\alpha,1}(\psi\|\varphi) < +\infty$  for some  $\alpha \in (1, \infty)$ , then

$$\lim_{\alpha \searrow 1} D_{\alpha,1}(\psi\|\varphi) = D_1(\psi\|\varphi).$$

- If  $D_{\alpha,\alpha}(\psi\|\varphi) < +\infty$  for some  $\alpha \in (1, \infty)$ , then

$$\lim_{\alpha \searrow 1} D_{\alpha,\alpha}(\psi\|\varphi) = D_1(\psi\|\varphi).$$

Furthermore, in the finite-dimensional case, it is known (see <sup>9 10</sup>) that

$$\lim_{\alpha \rightarrow 1} D_{\alpha,z}(\rho\|\sigma) = D_1(\rho\|\sigma), \quad z \in (0, \infty],$$

where  $D_{\alpha,\infty}(\rho\|\sigma)$  is defined as

$$\begin{aligned} D_{\alpha,\infty}(\rho\|\sigma) &:= \lim_{z \rightarrow \infty} D_{\alpha,z}(\rho\|\sigma) \\ &= \begin{cases} \text{Tr } P \exp(\alpha P(\log \rho)P + (1-\alpha)P(\log \sigma)P) & \text{with } P := \rho^0 \wedge \sigma^0 \text{ if } \rho^0 \leq \sigma^0, \\ +\infty & \text{otherwise.} \end{cases} \end{aligned}$$

(due to the Lie–Trotter formula  $\lim_{z \rightarrow \infty} (B^{1/2z} A^{1/z} B^{1/2z})^z = P \exp(P(\log A)P + P(\log B)P)$  where  $P := A^0 \wedge B^0$ ).

It is interesting to obtain convergence properties similar to the above for  $D_{\alpha,z}$  in the von Neumann algebra case. In particular, for any  $z \in (0, \infty)$ ,

$$\lim_{\alpha \nearrow 1} D_{\alpha,z}(\psi\|\varphi) = D_1(\psi\|\varphi) ?$$

## 5 Epstein type concavity/convexity

By (22) of <sup>5</sup> and (1) of <sup>11</sup>, as in the discussion below (19) of <sup>5</sup>, we see the following Epstein type concavity/convexity:

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<sup>6</sup>F. Hiai, Quantum  $f$ -divergences in von Neumann algebras I. Standard  $f$ -divergences, *J. Math. Phys.* **59** (2018), 102202, 27 pp.

<sup>7</sup>M. Berta, V. B. Scholz and M. Tomamichel, Rényi divergences as weighted non-commutative vector valued  $L_p$ -spaces, *Ann. Henri Poincaré* **19** (2018), 1843–1867.

<sup>8</sup>A. Jenčová, Rényi relative entropies and noncommutative  $L_p$ -spaces, *Ann. Henri Poincaré* **19** (2018), 2513–2542.

<sup>9</sup>S. M. Lin and M. Tomamichel, Investigating properties of a family of quantum Rényi divergences, *Quantum Information Processing* **14** (2015), 1501–1512.

<sup>10</sup>M. Mosonyi and T. Ogawa, Strong converse exponent for classical-quantum channel coding, *Comm. Math. Phys.* **355** (2017), 373–426.

<sup>11</sup>A. Jenčová, DPI for  $\alpha$ - $z$ -Rényi divergence, Notes, Nov. 23, 2023.

- (i)  $\psi \in \mathcal{M}_*^+ \mapsto \text{tr}(a^{1/2}h_\psi^p a^{1/2})^{1/p}$  is convex for any  $p \in [1, 2]$  and  $a \in \mathcal{M}_+$ ,
- (ii)  $\psi \in \mathcal{M}_*^+ \mapsto \text{tr}(a^{1/2}h_\psi^p a^{1/2})^{1/p}$  is concave for any  $p \in (0, 1]$  and  $a \in \mathcal{M}_+$ .

I am interested in discussing these concavity/convexity properties in a more operator theoretic way, though they are not essential in our study of  $D_{\alpha,z}$ . For instance, let  $\widetilde{\mathcal{M}}_+$  be the set of  $\tau$ -measurable positive operators affiliated with a semi-finite von Neumann algebra  $(\mathcal{M}, \tau)$ . We then conjecture the following:

- $x \in \widetilde{\mathcal{M}}_+ \mapsto \tau(a^{1/2}x^p a^{1/2})^s$  is convex for any  $p \in [1, 2]$ ,  $s \geq 1/p$  and  $a \in \widetilde{\mathcal{M}}_+$ ?
- $x \in \widetilde{\mathcal{M}}_+ \mapsto \tau(a^{1/2}x^p a^{1/2})^s$  is concave for any  $p \in (0, 1]$ ,  $0 \leq s \leq 1/p$  and  $a \in \widetilde{\mathcal{M}}_+$ ?

These are well known in the finite-dimensional case. The extension to the  $B(\mathcal{H})$  case is probably easy by convergence arguments, but the extension to the semi-finite case might be non-trivial.