

# On the category of affine subspaces

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## 1 Once more from the top

We present some important categories.

### 1.1 The category $\mathbf{FinVect}$

Let  $\mathbf{FinVect}$  be the category of finite dimensional real vector spaces with linear maps. Then  $(\mathbf{FinVect}, \otimes, \mathbb{R})$  is a symmetric monoidal category, with the usual tensor product of vector spaces. With the usual duality  $(-)^* : V \mapsto V^*$  of vector spaces,  $\mathbf{FinVect}$  is compact closed. Put

$$e_U : U \otimes U^* \rightarrow \mathbb{R}, \quad e_U(u \otimes u^*) = \langle u^*, u \rangle,$$

then  $e_U$  is the cap for the duality of  $U$  and  $U^*$ . The corresponding element  $\eta_U \in (U \otimes U^*) = U^* \otimes U$  is the cup, given by

$$\eta_U = \sum_i e_i^* \otimes e_i$$

where  $\{e_i\}$  is a basis of  $U$  and  $\{e_i^*\}$  the dual basis of  $U^*$ , determined by  $\langle e_i^*, e_j \rangle = \delta_{ij}$ . It is easily verified that  $\eta_U$  does not depend on the choice of the basis  $\{e_i\}$ .

By compactness the internal hom is  $[U, V] = U^* \otimes V$  and the evaluation map  $U \otimes [U, V] \rightarrow V$  is given by

$$eval_{U,V} = e_U \otimes V : U \otimes U^* \otimes V \rightarrow V.$$

For any  $w \in U^* \otimes V$ , we obtain a linear map  $\hat{w} : U \rightarrow V$  by

$$\hat{w}(u) = (e_U \otimes V)(u \otimes w),$$

(we write  $V$  for the identity map  $id_V$ ). Conversely, for any  $f : U \rightarrow V$  we define  $\tilde{f} \in U^* \otimes V$  as

$$\tilde{f} = (f^* \otimes V)(\eta_U).$$

Note that this gives the usual identification

$$\langle \hat{w}(u), v^* \rangle = \langle w, u \otimes v^* \rangle, \quad u \in U, \quad v^* \in V^*$$

between maps  $U \rightarrow V$  and elements of  $U^* \otimes V$ . Put  $\circ_{U,V,W} := U^* \otimes e_V \otimes W$ , then  $\circ_{U,V,W}$  is a linear map

$$[U, V] \otimes [V, W] \rightarrow [U, W]$$

which corresponds to composition of maps: for  $f : U \rightarrow V$  and  $g : V \rightarrow W$ , we get

$$\circ_{U,V,W} : \tilde{f} \otimes \tilde{g} \mapsto (g \circ f)^\sim.$$

Similarly,  $e_V$  (tensored with identity maps and composed with symmetries as necessary) defines a partial composition map

$$[U, V \otimes X] \otimes [V \otimes Y, W] \rightarrow [U \otimes Y, X \otimes W].$$

This can be depicted graphically in a nice way.

## 1.2 Affine subspaces

A subset  $A \subseteq V$  of a finite dimensional vector space  $V$  is an affine subspace if  $\sum_i \alpha_i a_i \in A$  whenever all  $a_i \in A$  and  $\sum_i \alpha_i = 1$ . We say that  $A$  is proper if  $0 \neq A$  and  $A \neq \emptyset$ . We will always mean that an affine subspace is proper (if not explicitly stated otherwise).

An affine subspace can be determined in two ways:

- (i) Let  $L \subseteq V$  be a linear subspace and  $a_0 \notin L$ . Then

$$A = a_0 + L$$

is an affine subspace. Note that  $a_0 \in A$  and  $A \cap L = \emptyset$ . Conversely, any affine subspace  $A$  can be given in this way, with  $a_0$  an arbitrary element in  $A$  and

$$L = \text{Lin}(A) := \{a_1 - a_2, a_1, a_2 \in A\} = \{a - a_0, a \in A\}.$$

- (ii) Let  $S \subseteq V$  be a linear subspace and  $a_0^* \in V^* \setminus S^\perp$ . Then

$$A = \{a \in S, \langle a_0^*, a \rangle = 1\}$$

is an affine subspace. Conversely, any affine subspace  $A$  is given in this way, with  $S = \text{span}(A)$  and  $a_0^*$  an arbitrary element in

$$\tilde{A} = \{a^* \in V^*, \langle a^*, a \rangle = 1, \forall a \in A\}.$$

For an affine subspace  $A$ ,  $\tilde{A}$  is an affine subspace as well and we have  $\tilde{\tilde{A}} = A$ . More generally, if  $\emptyset \neq C \subseteq A$  is any subset of an affine subspace  $A$ , then  $\tilde{C}$  is an affine subspace and  $\tilde{\tilde{C}}$  is the smallest affine subspace containing  $C$ , that is,

$$\tilde{\tilde{C}} = \left\{ \sum_i \alpha_i c_i, c_i \in C, \sum_i \alpha_i = 1 \right\}.$$

In this case, we may write  $\tilde{\tilde{C}}$  as

$$\tilde{\tilde{C}} = c_0 + \text{Lin}(C) = c_0 + \text{span}(\{c_1 - c_2, c_1, c_2 \in C\})$$

with an arbitrary element  $c_0 \in C$ , or as

$$\tilde{\tilde{C}} = \{c \in \text{span}(C), \langle a_0^*, c \rangle = 1\}$$

for an arbitrary element  $a_0^* \in \tilde{A}$ . We clearly have

$$\text{Lin}(\tilde{\tilde{C}}) = C^\perp = \text{span}(C)^\perp, \quad \text{Lin}(C) = \text{Lin}(\tilde{C}) = \tilde{C}^\perp = \text{span}(\tilde{C})^\perp$$

and by duality also

$$\text{span}(C) = C^{\perp\perp} = \text{Lin}(\tilde{C})^\perp, \quad \text{span}(\tilde{C}) = \text{Lin}(C)^\perp.$$

### 1.3 The category Af

The objects of Af are of the form  $X = (V_X, A_X, a_X, \tilde{a}_X)$ , where  $V_X$  is in FinVect,  $A_X \subseteq V_X$  an affine subspace,  $a_X \in A_X$  and  $\tilde{a}_X \in \tilde{A}_X$  are some elements. Morphisms  $X \rightarrow Y$  are linear maps  $f : V_X \rightarrow V_Y$  such that  $f(A_X) \subseteq A_Y$ . Note that by definition  $A_X$  is proper for any object  $X$ . We may also add two special objects: the initial object  $\emptyset := (\{0\}, \emptyset, -, 0)$  and the terminal object  $0 := (\{0\}, \{0\}, 0, -)$ , here the affine subspaces are obviously not proper. The products and coproducts with these element do not work, however!

For any object  $X$ , we also put

$$L_X := \text{Lin}(A_X) \quad S_X := \text{span}(A_X), \quad d_X := \dim(L_X), \quad D_X := \dim(V_X).$$

Note that  $X$  is uniquely determined also when  $A_X$  is replaced by  $L_X$  or  $S_X$ .

#### 1.3.1 Limits and colimits

Limits and colimits should be obtained from those in FinVect, we have to specify the other structures and check whether the corresponding arrows are in Af.

Let  $X, Y$  be two objects in Af. We define their product as

$$X \times Y := (V_X \times V_Y, A_X \times A_Y, (a_X, a_Y), \frac{1}{2}(\tilde{a}_X, \tilde{a}_Y)),$$

where

$$A_X \times A_Y := \{(x, y) \in V_X \times V_Y, x \in A_X, y \in A_Y\}$$

is the direct product of  $A_X$  and  $A_Y$ . It is easily verified that this is indeed an affine subspace and the usual projections  $\pi_X : V_X \times V_Y \rightarrow V_X$  and  $\pi_Y : V_X \times V_Y \rightarrow V_Y$  are in Af. Moreover, for  $f : Z \rightarrow X$  and  $g : Z \rightarrow Y$ , the map  $f \times g(z) = (f(z), g(z))$  is also clearly a morphism  $Z \rightarrow X \times Y$  in Af. We have

$$L_{X \times Y} = L_X \times L_Y, \quad S_{X \times Y} = (S_X \times S_Y) \wedge \{(\tilde{a}_X, -\tilde{a}_Y)\}^\perp.$$

The coproduct is defined as

$$X \oplus Y = (V_X \times V_Y, A_X \oplus A_Y, \frac{1}{2}(a_X, a_Y), (\tilde{a}_X, \tilde{a}_Y)),$$

where

$$A_X \oplus A_Y := \{(tx, (1-t)y), x \in A_X, y \in A_Y, t \in \mathbb{R}\}$$

is the direct sum. To check that this is an affine subspace, let  $x_i \in A_X, y_i \in A_Y, s_i \in \mathbb{R}$  and let  $\sum_i \alpha_i = 1$ , then

$$\sum_i \alpha_i (s_i x_i, (1-s_i)y_i) = (\sum_i s_i \alpha_i x_i, \sum_i (1-s_i) \alpha_i y_i) = (sx, (1-s)y) \in A_X \oplus A_Y,$$

where  $s = \sum_i s_i \alpha_i$ ,  $x = s^{-1} \sum_i s_i \alpha_i x_i$  if  $s \neq 0$  and is arbitrary in  $A_X$  otherwise, similarly  $y = (1-s)^{-1} \sum_i (1-s_i) \alpha_i y_i$  if  $s \neq 1$  and is arbitrary otherwise. The usual embeddings  $p_X : V_X \rightarrow V_X \times V_Y$  and  $p_Y : V_Y \rightarrow V_X \times V_Y$  are easily seen to be morphisms in Af.

Let  $f : X \rightarrow Z$ ,  $g : Y \rightarrow Z$  be any morphisms in  $\mathbf{Af}$  and consider the map  $V_X \times V_Y \rightarrow Z$  given as  $f \oplus g(u, v) = f(u) + g(v)$ . We need to show that it preserves the affine subspaces. So let  $x \in A_X$ ,  $y \in A_Y$ , then since  $f(x), g(y) \in A_Z$ , we have for any  $s \in \mathbb{R}$ ,

$$f \oplus g(sx, (1-s)y) = sf(x) + (1-s)g(y) \in A_Z.$$

We also have

$$L_{X \oplus Y} = (L_X \times L_Y) \vee \mathbb{R}\{(a_X, -a_Y)\}, \quad S_{X \oplus Y} = S_X \times S_Y.$$

Let us turn to equalizers. So let  $f, g : X \rightarrow Y$  and let

$$V_E = \{v \in V_X, f(v) = g(v)\}.$$

Let  $h : Z \rightarrow X$  equalize  $f, g$ , then  $h(V_Z) \subseteq V_E$  and  $h(A_Z) \subseteq A_X \cap V_E$ , so that  $A_X \cap V_E$  must be nonempty. In this case,

$$E = (V_E, A_E := V_E \cap A_X, a_E, \tilde{a}_E := \tilde{a}_X)$$

with the inclusion map  $V_E \hookrightarrow V_X$  is an equalizer of  $f, g$  for any choice of  $a_E \in A_E$  (note that choosing another  $a_E$  gives us an isomorphic object in  $\mathbf{Af}$ ). If the intersection  $V_E \cap A_X$  is empty, then the only equalizing arrow for  $f$  and  $g$  is  $\emptyset \rightarrow X$ , which is then the equalizer.

For the coequalizer, let  $V_Q$  be the quotient space  $V_Q := V_Y|_{\text{Im}(f-g)}$  and let  $q : V_Y \rightarrow V_Q$  be the quotient map. If some  $h : Y \rightarrow Z$  coequalizes  $f$  and  $g$ , then  $h$  maps  $\text{Im}(f-g)$  to 0, so that  $\text{Im}(f-g) \cap A_Y = \emptyset$ , unless  $Z$  is the terminal object. It is easily checked that if  $\text{Im}(f-g) \cap A_Y = \emptyset$ , then

$$Q = (V_Q, A_Q := q(A_Y), a_Q := q(a_Y), \tilde{a}_Q)$$

together with the quotient map  $q$  is the coequalizer of  $f$  and  $g$  for any choice of  $\tilde{a}_Q \in \tilde{A}_Q$ . If the intersection is nonempty, then the unique coequalizing arrow is  $Y \rightarrow 0$ , which is then the coequalizer.

Let us mention pullbacks and pushouts. Since pullbacks can be obtained from products and equalizers, we see that we have a similar situation: if a pullback is "well defined", then it coincides with the pullback in  $\mathbf{FinVect}$ , otherwise it is trivial. More precisely, if  $f : X \rightarrow Z$  and  $g : Y \rightarrow Z$ , then we put

$$V_P := \{(x, y) \in V_X \times V_Y, f(x) = g(y)\}.$$

If  $V_P \cap A_X \times A_Y \neq \emptyset$ , that is, there are some  $x \in A_X$  and  $y \in A_Y$  such that  $f(x) = g(y)$ , then

$$(V_P, A_P := (A_X \times A_Y) \cap V_P, a_P, \frac{1}{2}(\tilde{a}_X, \tilde{a}_Y))$$

with the two projections is a pullback of  $f$  and  $g$  for any choice of  $a_P \in A_P$ , otherwise the pullback is just the initial object  $\emptyset$ .

Similarly, let  $f : Z \rightarrow X$ ,  $g : Z \rightarrow Y$ , then let  $V_Q$  be the quotient of  $V_X \times V_Y$  by the subspace

$$\{(f(z), -g(z)), x \in V_Z\}.$$

If this subspace does not contain any element of  $A_X \oplus A_Y$ , that is, there is no  $z \in V_Z$  such that for some  $t \in \mathbb{R}$ ,

$$f(tz) \in A_X, \quad g((t-1)z) \in A_Y,$$

then

$$Q = (V_Q, A_Q := q(A_X \oplus A_Y), \frac{1}{2}q(a_X, a_Y), \tilde{a}_Q)$$

with maps  $x \mapsto q(x, 0)$  and  $y \mapsto q(0, y)$  is the pushout of  $f$  and  $g$ . Otherwise the pushout is just 0.

### 1.3.2 Tensor products

Let  $X, Y$  be objects in  $\text{Af}$ . Let us define

$$A_{X \otimes Y} := \{x \otimes y, x \in A_X, y \in A_Y\}^\approx.$$

In other words,  $A_{X \otimes Y}$  is the affine subspace in  $V_X \otimes V_Y$  containing  $A_X \otimes A_Y$ . We have

$$\begin{aligned} L_{X \otimes Y} &= \text{Lin}(A_X \otimes A_Y) = \text{span}(\{x \otimes y - a_X \otimes a_Y, x \in A_X, y \in A_Y\}) \\ &= (a_X \otimes L_Y) + (L_X \otimes a_Y) + (L_X \otimes L_Y) \end{aligned} \tag{1}$$

(here  $+$  denotes the direct sum of subspaces). We also have

$$S_{X \otimes Y} = S_X \otimes S_Y.$$

*Proof.* Let  $x \in A_X, y \in A_Y$ , then

$$x \otimes y - a_X \otimes a_Y = a_X \otimes (y - a_Y) + (x - a_X) \otimes a_Y + (x - a_X) \otimes (y - a_Y),$$

so that  $L_{X \otimes Y} = \text{Lin}(A_X \otimes A_Y)$  is contained in the subspace on the RHS of (1). Let  $d$  be the dimension of this subspace, then clearly

$$d_{X \otimes Y} \leq d \leq d_X + d_Y + d_X d_Y.$$

On the other hand, any element of  $S_X$  has the form  $tx$  for some  $t \in \mathbb{R}$  and  $x \in A_X$ , so that it is easily seen that  $S_X \otimes S_Y = S_{X \otimes Y}$ . Hence

$$\begin{aligned} d_{X \otimes Y} &= \dim(L_{X \otimes Y}) = \dim(S_{X \otimes Y}) - 1 = \dim(S_X) \dim(S_Y) - 1 = (d_X + 1)(d_Y + 1) - 1 \\ &= d_X + d_Y + d_X d_Y. \end{aligned}$$

This completes the proof. □

For  $X, Y$  in  $\text{Af}$ , put

$$X \otimes Y := (V_X \otimes V_Y, A_{X \otimes Y}, a_X \otimes a_Y, \tilde{a}_X \otimes \tilde{a}_Y).$$

Also let  $I := (\mathbb{R}, \{1\}, \{1\}, \{1\})$ . Then  $(\text{Af}, \otimes, I)$  is a symmetric monoidal category. We only have to check that the associators, unitors and symmetries from  $\text{FinVect}$  are morphisms in  $\text{Af}$ . We leave this for some other day.

### 1.3.3 Duality

We define  $X^* := (V_X^*, \tilde{A}_X, \tilde{a}_X, a_X)$ . Note that we have

$$L_{X^*} = S_X^\perp, \quad S_{X^*} = L_X^\perp.$$

It follows that

$$d_{X^*} = D_X - d_X - 1.$$

It is easily seen that  $(-)^*$  defines a full and faithful functor  $\text{Af}^{op} \rightarrow \text{Af}$ , moreover,  $X^{**} = X$  (if we use the canonical identification of any  $V$  in  $\text{FinVect}$  with its second dual).

**Theorem 1.**  $(\text{Af}, \otimes, I)$  is a  $*$ -autonomous category, with duality  $(-)^*$ .

*Proof.* ...

□

Let us define the dual tensor product by  $\odot$ , that is

$$X \odot Y = (X^* \otimes Y^*)^*.$$

We then have

$$\begin{aligned} L_{X \odot Y} &= S_{X^* \otimes Y^*}^\perp = (S_{X^*} \otimes S_{Y^*})^\perp = (L_X^\perp \otimes L_Y^\perp)^\perp \\ S_{X \odot Y} &= L_{X^* \otimes Y^*}^\perp = (\tilde{a}_X \otimes S_Y^\perp)^\perp \wedge (S_X^\perp \otimes \tilde{a}_Y)^\perp \wedge (S_X^\perp \otimes S_Y^\perp)^\perp \end{aligned}$$

In particular,

$$d_{X \odot Y} = D_X d_Y + d_X D_Y - d_X d_Y.$$

**Lemma 1.** *Let  $X, Y$  be nontrivial. Then  $X \otimes Y = X \odot Y$  if and only if  $D_X = d_X + 1$  and  $D_Y = d_Y + 1$ .*

*Proof.* It is easy to see that (when identifying  $X = X^{**}$ ), we have  $A_X \otimes A_Y \subseteq \tilde{A}_{X^* \otimes Y^*}$ , hence  $A_{X \otimes Y} \subseteq A_{X \odot Y}$ . We see from the above computations that

$$d_{X \odot Y} - d_{X \otimes Y} = d_X(D_Y - 1) + (D_X - 1)d_Y - 2d_X d_Y \geq 0,$$

with equality if and only if the conditions of the lemma hold.

□

The internal hom has the form

$$[X, Y] = (X \otimes Y^*)^* = X^* \odot Y.$$

We then have

$$L_{[X, Y]} = (S_X \otimes L_Y^\perp)^\perp, \quad S_{[X, Y]} = (\tilde{a}_X \otimes S_Y^\perp)^\perp \wedge (L_X \otimes \tilde{a}_Y)^\perp \wedge (L_X \otimes S_Y^\perp)^\perp$$

and

$$d_{[X, Y]} = D_X D_Y - (d_X + 1)(D_Y - d_Y).$$

We say  $X \hookrightarrow Y$  ( $X$  is embedded in  $Y$ ) if  $V_X = V_Y$  and  $A_X \subseteq A_Y$ ,  $a_X = a_Y$ ,  $\tilde{a}_X = \tilde{a}_Y$ .

### 1.3.4 The category AfH

It is easily seen that the following are equivalent:

1.  $D_X = d_X + 1$ ;
2.  $S_X = V_X$ ;
3.  $L_X = \{\tilde{a}_X\}^\perp$ ;
4.  $S_{X^*} = \mathbb{R}\tilde{a}_X$ ;

5.  $L_{X^*} = \{0\}$ .

We say that an object  $X$  is first order if any of these conditions is fulfilled. A channel is an object  $[X, Y]$  where  $X$  and  $Y$  are first order.

**Lemma 2.** *An object  $Z$  is embedded in a channel  $[X, Y]$  if and only if  $V_Z = V_X^* \otimes V_Y$ ,  $a_Z = \tilde{a}_X \otimes a_Y$ ,  $\tilde{a}_Z = a_X \otimes \tilde{a}_Y$  and*

...

We define AfH as the full subcategory of Af containing all first order objects and closed under (finite products,) duals and tensor products .