

# Monotonicity of $\alpha \mapsto D_{\alpha,z}$ (3)

## 1 Finite-dimensional case

Let  $\mathbb{M}_n$  be the  $n \times n$  matrix algebra. For each  $A \in \mathbb{M}_n$  we write  $\lambda(A) = (\lambda_1(A), \dots, \lambda_n(A))$  for the eigenvalues of  $A$  in decreasing order (with multiplicities).

**Proposition 1.1.** *Let  $A_j, B_j \in \mathbb{M}_n^+$ ,  $j = 1, 2$ , be such that  $A_1 A_2 = A_2 A_1$  and  $B_1 B_2 = B_2 B_1$ . Then for every  $\theta \in (0, 1)$ ,*

$$\lambda((A_1^\theta A_2^{1-\theta})^{1/2} (B_1^\theta B_2^{1-\theta}) (A_1^\theta A_2^{1-\theta})^{1/2}) \prec_{\log} \lambda(A_1^{1/2} B_1 A_1^{1/2})^\theta \lambda(A_2^{1/2} B_2 A_2^{1/2})^{1-\theta}, \quad (1.1)$$

that is,

$$\prod_{i=1}^k \lambda_i((A_1^\theta A_2^{1-\theta})^{1/2} (B_1^\theta B_2^{1-\theta}) (A_1^\theta A_2^{1-\theta})^{1/2}) \leq \prod_{i=1}^k \lambda_i(A_1^{1/2} B_1 A_1^{1/2})^\theta \lambda_i(A_2^{1/2} B_2 A_2^{1/2})^{1-\theta}$$

for all  $k = 1, \dots, n$  with equality for  $k = n$ .

*Proof.* Since equality for  $k = n$  is immediate from a simple computation of determinants, it suffices to prove the case  $k = 1$ , by the familiar technique using antisymmetric tensor powers. Moreover, by continuity we may assume that  $A_j, B_j$  are invertible. Then it suffices to prove that if  $\|A_1^{1/2} B_1 A_1^{1/2}\| = \|A_2^{1/2} B_2 A_2^{1/2}\| = 1$  then  $\|(A_1^\theta A_2^{1-\theta})^{1/2} (B_1^\theta B_2^{1-\theta}) (A_1^\theta A_2^{1-\theta})^{1/2}\| \leq 1$ , that is, if  $B_j \leq A_j^{-1}$  for  $j = 1, 2$  then  $B_1^\theta B_2^{1-\theta} \leq (A_1^\theta A_2^{1-\theta})^{-1}$ . But this is immediate as  $B_1^\theta B_2^{1-\theta} = B_1 \#_{1-\theta} B_2 \leq A_1^{-1} \#_{1-\theta} A_2^{-1} = (A_1^\theta A_2^{1-\theta})^{-1}$ .  $\square$

**Corollary 1.2.** *Let  $A_j, B_j, \theta$  be as in Proposition 1.1. Then for every  $z > 0$  and  $k = 1, \dots, n$ ,*

$$\begin{aligned} & \log \sum_{i=1}^k \left( \lambda_i((A_1^\theta A_2^{1-\theta})^{1/2} (B_1^\theta B_2^{1-\theta}) (A_1^\theta A_2^{1-\theta})^{1/2}) \right)^z \\ & \leq \theta \log \sum_{i=1}^k \left( \lambda_i(A_1^{1/2} B_1 A_1^{1/2}) \right)^z + (1 - \theta) \log \sum_{i=1}^k \left( \lambda_i(A_2^{1/2} B_2 A_2^{1/2}) \right)^z. \end{aligned} \quad (1.2)$$

In particular,

$$\begin{aligned} & \log \operatorname{Tr} \left( (A_1^\theta A_2^{1-\theta})^{1/2} (B_1^\theta B_2^{1-\theta}) (A_1^\theta A_2^{1-\theta})^{1/2} \right)^z \\ & \leq \theta \log \operatorname{Tr} (A_1^{1/2} B_1 A_1^{1/2})^z + (1 - \theta) \log \operatorname{Tr} (A_2^{1/2} B_2 A_2^{1/2})^z. \end{aligned} \quad (1.3)$$

*Proof.* Since log-majorization  $\prec_{\log}$  implies weak majorization  $\prec_w$  (see [2, Prop. 4.1.6]), it follows from (1.1) that

$$\begin{aligned} & \sum_{i=1}^k \lambda_i((A_1^\theta A_2^{1-\theta})^{1/2} (B_1^\theta B_2^{1-\theta}) (A_1^\theta A_2^{1-\theta})^{1/2})^z \\ & \leq \sum_{i=1}^k \lambda_i(A_1^{1/2} B_1 A_1^{1/2})^{z\theta} \lambda_i(A_2^{1/2} B_2 A_2^{1/2})^{z(1-\theta)} \end{aligned}$$

$$\leq \left[ \sum_{i=1}^k \lambda_i(A_1^{1/2} B_1 A_1^{1/2}) \right]^\theta \left[ \sum_{i=1}^k \lambda_i(A_2^{1/2} B_2 A_2^{1/2}) \right]^{1-\theta},$$

which gives (1.2).  $\square$

**Proposition 1.3.** *For every  $\rho, \sigma \in \mathbb{M}_n^+$  with  $\rho \neq 0$  and for every  $z > 0$ , the function  $\alpha \mapsto D_{\alpha,z}(\rho\|\sigma)$  is monotone increasing on  $(0, \infty)$ , where  $D_{1,z}(\rho\|\sigma) := D_1(\rho\|\sigma) = D(\rho\|\sigma)/\text{Tr } \rho$ .*

*Proof.* Since  $\lim_{\alpha \rightarrow 1} D_{\alpha,z}(\rho\|\sigma) = D_1(\rho\|\sigma)$  for any  $z > 0$  [6, Prop. III.36], it suffices to show the monotone increasing of  $\alpha \mapsto D_{\alpha,z}(\rho\|\sigma)$  on  $(0, 1)$  and on  $(1, \infty)$  separately. First, let  $z > 0$ ,  $\alpha_1, \alpha_2 \in (0, 1)$  and  $\theta \in (0, 1)$ . Applying (1.3) with  $A_j := \rho^{\alpha_j/z}$  and  $B_j := \sigma^{(1-\alpha_j)/z}$  we have

$$\begin{aligned} & \log Q_{\theta\alpha_1+(1-\theta)\alpha_2,z}(\rho\|\sigma) \\ &= \log \text{Tr} \left( \rho^{\frac{\theta\alpha_1+(1-\theta)\alpha_2}{2z}} \sigma^{\frac{1-\theta\alpha_1-(1-\theta)\alpha_2}{z}} \rho^{\frac{\theta\alpha_1+(1-\theta)\alpha_2}{2z}} \right)^z \\ &= \log \text{Tr} \left( \left[ \left( \rho^{\frac{\alpha_1}{z}} \right)^\theta \left( \rho^{\frac{\alpha_2}{z}} \right)^{1-\theta} \right]^{1/2} \left[ \left( \sigma^{\frac{1-\alpha_1}{z}} \right)^\theta \left( \sigma^{\frac{1-\alpha_2}{z}} \right)^{1-\theta} \right] \left[ \left( \rho^{\frac{\alpha_1}{z}} \right)^\theta \left( \rho^{\frac{\alpha_2}{z}} \right)^{1-\theta} \right] \right)^z \\ &\leq \theta \log \text{Tr} \left( \rho^{\frac{\alpha_1}{z}} \sigma^{\frac{1-\alpha_1}{z}} \rho^{\frac{\alpha_1}{z}} \right)^z + (1-\theta) \log \text{Tr} \left( \rho^{\frac{\alpha_2}{z}} \sigma^{\frac{1-\alpha_2}{z}} \rho^{\frac{\alpha_2}{z}} \right)^z \\ &= \theta \log Q_{\alpha_1,z}(\rho\|\sigma) + (1-\theta) \log Q_{\alpha_2,z}(\rho\|\sigma). \end{aligned}$$

This implies that  $\alpha \mapsto \log Q_{\alpha,z}(\rho\|\sigma)$  is convex on  $(0, 1)$ . Here we may assume that  $s(\rho) \not\leq s(\sigma)$ , since otherwise  $D_{\alpha,z}(\rho\|\sigma) = \infty$  for all  $\alpha > 0$ . Then  $Q_{\alpha,z}(\rho\|\sigma) > 0$  for all  $\alpha \in (0, 1)$  and  $\lim_{\alpha \nearrow 1} Q_{\alpha,z}(\rho\|\sigma) \leq \text{Tr } \rho$ . Therefore,

$$\alpha \mapsto D_{\alpha,z}(\rho\|\sigma) = \frac{\log Q_{\alpha,z}(\rho\|\sigma) - \log \text{Tr } \rho}{\alpha - 1}$$

is increasing on  $(0, 1)$ .

Next, let  $\alpha_1, \alpha_2 \in (1, \infty)$  and  $\theta \in (0, 1)$ . We may assume that  $s(\rho) \leq s(\sigma)$ , since otherwise  $D_{\alpha,z}(\rho\|\sigma) = \infty$  for all  $\alpha \geq 1$ . Apply (1.3) with  $A_j := \rho^{\alpha_j/z}$  and  $B_j := (\sigma^{-1})^{\alpha_j-1}$  (where  $\sigma^{-1}$  is the generalized inverse of  $\sigma$ ); then we have

$$\begin{aligned} & \log Q_{\theta\alpha_1+(1-\theta)\alpha_2,z}(\rho\|\sigma) \\ &= \log \text{Tr} \left( \rho^{\frac{\theta\alpha_1+(1-\theta)\alpha_2}{2z}} (\sigma^{-1})^{\frac{\theta\alpha_1+(1-\theta)\alpha_2-1}{z}} \rho^{\frac{\theta\alpha_1+(1-\theta)\alpha_2}{2z}} \right)^z \\ &\leq \theta \log \text{Tr} \left( \rho^{\frac{\alpha_1}{z}} (\sigma^{-1})^{\frac{\alpha_1-1}{z}} \rho^{\frac{\alpha_1}{z}} \right)^z + (1-\theta) \log \text{Tr} \left( \rho^{\frac{\alpha_2}{z}} (\sigma^{-1})^{\frac{\alpha_2-1}{z}} \rho^{\frac{\alpha_2}{z}} \right)^z \\ &= \theta \log Q_{\alpha_1,z}(\rho\|\sigma) + (1-\theta) \log Q_{\alpha_2,z}(\rho\|\sigma). \end{aligned}$$

Since  $\lim_{\alpha \searrow 1} Q_{\alpha,z}(\rho\|\sigma) = \text{Tr } \rho$ , the function  $\alpha \mapsto D_{\alpha,z}(\rho\|\sigma)$  is increasing on  $(1, \infty)$ .  $\square$

## 2 von Neumann algebra case

Let  $\mathcal{M}$  be a semi-finite von Neumann algebra with a faithful normal semi-finite trace  $\tau$ . Let  $\widetilde{\mathcal{M}}$  denote the space of  $\tau$ -measurable operators affiliated with  $\mathcal{M}$ . For each  $a \in \widetilde{\mathcal{M}}$  we write  $\mu_t(a)$  for the  $(t\text{th})$  generalized  $s$ -number of  $a$  (see [1]). Below we consider operators  $a \in \widetilde{\mathcal{M}}$  satisfying

$$(*) \quad a \in \mathcal{M} \quad \text{or} \quad \mu_t(a) \leq C t^{-\gamma} \quad (t > 0) \quad \text{for some } C, \gamma > 0.$$

For each  $a \in \widetilde{\mathcal{M}}$  with  $(*)$  we define [1]

$$\Lambda_t(a) := \exp \int_0^t \log \mu_s(a) ds, \quad t > 0.$$

Note that  $\Lambda_t(a)$ ,  $t > 0$ , are well defined in  $[0, \infty)$  whenever  $a$  satisfies  $(*)$ .

**Lemma 2.1.** *If  $a, b \in \widetilde{\mathcal{M}}$  satisfies  $(*)$ , then  $|a|^p$  ( $p > 0$ ) and  $ab$  satisfy  $(*)$  too.*

*Proof.* Easy since  $\mu_t(ab) \leq \|a\| \mu_t(b)$  if  $a \in \mathcal{M}$ ,  $\mu_t(|a|^p) = \mu_t(a)^p$ , and  $\mu_t(ab) \leq \mu_{t/2}(a) \mu_{t/2}(b)$  (see [1, Lemma 2.5]).  $\square$

**Proposition 2.2.** *Let  $a_j, b_j \in \widetilde{\mathcal{M}}_+$ ,  $j = 1, 2$ , be such that  $a_j, b_j$  satisfy  $(*)$  and  $a_1 a_2 = a_2 a_1$ ,  $b_1 b_2 = b_2 b_1$ . Then for every  $\theta \in (0, 1)$  and any  $t > 0$ ,*

$$\Lambda_t((a_1^\theta a_2^{1-\theta})^{1/2} (b_1^\theta b_2^{1-\theta}) (a_1^\theta a_2^{1-\theta})^{1/2}) \leq \Lambda_t(a_1^\theta b_1^\theta) \Lambda_t(a_2^{1-\theta} b_2^{1-\theta}). \quad (2.1)$$

In particular,

$$\Lambda_t((a_1^{1/2} a_2^{1/2})^{1/2} (b_1^{1/2} b_2^{1/2}) (a_1^{1/2} a_2^{1/2})^{1/2}) \leq \Lambda_t(a_1^{1/2} b_1^{1/2})^{1/2} \Lambda_t(a_2^{1/2} b_2^{1/2})^{1/2}. \quad (2.2)$$

*Proof.* For any  $k \in \mathbb{N}$ , since

$$\begin{aligned} & ((a_1^\theta a_2^{1-\theta})^{1/2} (b_1^\theta b_2^{1-\theta}) (a_1^\theta a_2^{1-\theta})^{1/2})^k \\ &= (a_1^\theta a_2^{1-\theta})^{1/2} (b_1^\theta b_2^{1-\theta}) (a_1^\theta a_2^{1-\theta}) (b_1^\theta b_2^{1-\theta}) \cdots (a_1^\theta a_2^{1-\theta}) (b_1^\theta b_2^{1-\theta}) (a_1^\theta a_2^{1-\theta})^{1/2} \\ &= (a_1^\theta a_2^{1-\theta})^{1/2} b_2^{1-\theta} (b_1^\theta a_1^\theta) (a_2^{1-\theta} b_2^{1-\theta}) \cdots (b_1^\theta a_1^\theta) (a_2^{1-\theta} b_2^{1-\theta}) b_1^\theta (a_1^\theta a_2^{1-\theta})^{1/2}, \end{aligned}$$

we have, by [1, Theorem 4.2] with Lemma 2.1,

$$\begin{aligned} & \Lambda_t((a_1^\theta a_2^{1-\theta})^{1/2} (b_1^\theta b_2^{1-\theta}) (a_1^\theta a_2^{1-\theta})^{1/2})^k \\ & \leq \Lambda_t((a_1^\theta a_2^{1-\theta})^{1/2} b_2^{1-\theta}) \Lambda_t(b_1^\theta a_1^\theta)^{k-1} \Lambda_t(a_2^{1-\theta} b_2^{1-\theta})^{k-1} \Lambda_t(b_1^\theta (a_1^\theta a_2^{1-\theta})^{1/2}) \end{aligned}$$

so that

$$\begin{aligned} & \Lambda_t((a_1^\theta a_2^{1-\theta})^{1/2} (b_1^\theta b_2^{1-\theta}) (a_1^\theta a_2^{1-\theta})^{1/2}) \\ & \leq \Lambda_t((a_1^\theta a_2^{1-\theta})^{1/2} b_2^{1-\theta})^{1/k} \Lambda_t(b_1^\theta a_1^\theta)^{1-\frac{1}{k}} \Lambda_t(a_2^{1-\theta} b_2^{1-\theta})^{1-\frac{1}{k}} \Lambda_t(b_1^\theta (a_1^\theta a_2^{1-\theta})^{1/2})^{1/k}. \end{aligned}$$

Letting  $k \rightarrow \infty$  gives (2.1). When  $\theta = 1/2$ , (2.1) is rewritten as (2.2).  $\square$

**Remark 2.3.** In view of Proposition 1.1 what we would like to obtain is

$$\Lambda_t((a_1^\theta a_2^{1-\theta})^{1/2} (b_1^\theta b_2^{1-\theta}) (a_1^\theta a_2^{1-\theta})^{1/2}) \leq \Lambda_t(a_1^{1/2} b_1^{1/2})^\theta \Lambda_t(a_2^{1/2} b_2^{1/2})^{1-\theta}, \quad t > 0. \quad (2.3)$$

Since  $\Lambda_t(a_j^r b_j^r) \leq \Lambda_t(a_j b_j)^r$  for any  $r \in (0, 1)$  by [5], we have from (2.1)

$$\Lambda_t((a_1^\theta a_2^{1-\theta})^{1/2} (b_1^\theta b_2^{1-\theta}) (a_1^\theta a_2^{1-\theta})^{1/2}) \leq \Lambda_t(a_1 b_1^2 a_1)^{\frac{\theta}{2}} \Lambda_t(a_2 b_2^2 a_2)^{\frac{1-\theta}{2}},$$

which is weaker than (2.3)

From now on, let  $\mathcal{M}$  be a general  $(\sigma$ -finite) von Neumann algebra.

**Lemma 2.4.** *Let  $\psi, \varphi \in \mathcal{M}_*^+$  with  $\psi \neq 0$ , and assume that  $s(\psi) \not\perp s(\varphi)$ . Then for every  $z > 0$ ,  $Q_{\alpha,z}(\psi\|\varphi) > 0$  for all  $\alpha \in (0, 1)$ , and  $\alpha \mapsto Q_{\alpha,z}(\psi\|\varphi)$  is continuous on  $(0, 1)$ .*

*Proof.* Assume that  $Q_{\alpha,z}(\psi\|\varphi) = 0$  for some  $z > 0$  and  $\alpha \in (0, 1)$ . Then  $h_\psi^{\alpha/2z} h_\varphi^{(1-\alpha)/2z} = 0$  as a  $\tau$ -measurable operator affiliated with  $\mathcal{N} := \mathcal{M} \rtimes_\sigma \mathbb{R}$ , where  $\tau$  is the canonical trace on  $\mathcal{N}$ . Since  $s(\psi) = s(h_\psi^{\alpha/2z})$  and  $s(\varphi) = s(h_\varphi^{(1-\alpha)/2z})$ , it is easy to see that  $s(\psi) \perp s(\varphi)$ . Hence the first assertion follows.

Next, since  $p > 0 \mapsto a^p \in \tilde{\mathcal{N}}$  is differentiable in the measure topology for any  $a \in \tilde{\mathcal{N}}_+$  (see, e.g., [3, Lemma 9.19]), we see that  $\alpha \mapsto h_\psi^{\alpha/2z} h_\varphi^{(1-\alpha)/z} h_\psi^{\alpha/2z}$  is differentiable (hence continuous) on  $(0, 1)$  in the measure topology. Hence by [3, Lemma 9.14], the function  $\alpha \mapsto Q_{\alpha,z}(\psi\|\varphi) = \|h_\psi^{\alpha/2z} h_\varphi^{(1-\alpha)/z} h_\psi^{\alpha/2z}\|_z^z$  is continuous. Here, when  $z < 1$ , note [1, Theorem 4.9(iii)] that  $\|a\|_z^z - \|b\|_z^z \leq \|a - b\|_z^z$  for  $a, b \in L^z(\mathcal{M})$ .  $\square$

**Proposition 2.5.** *For every  $\psi, \varphi \in \mathcal{M}_*^+$  with  $\psi \neq 0$  and for any  $z > 0$ , the function  $\alpha \mapsto D_{\alpha,z}(\psi\|\varphi)$  is monotone increasing and continuous on  $(0, 1)$ .*

*Proof.* We may assume that  $s(\psi) \not\perp s(\varphi)$ ; otherwise,  $D_{\alpha,z}(\psi\|\varphi) = 0$  for all  $\alpha \in (0, 1)$ . Then by Lemma 2.4,  $Q_{\alpha,z}(\psi\|\varphi) \in (0, \infty)$  for all  $\alpha \in (0, 1)$ , and  $\alpha \mapsto Q_{\alpha,z}(\psi\|\varphi)$  is continuous on  $(0, 1)$ . Hence  $\alpha \mapsto D_{\alpha,z}(\psi\|\varphi)$  is continuous on  $(0, 1)$  too.

Let  $\alpha_1, \alpha_2 \in (0, 1)$  and  $z > 0$ . Consider  $\mathcal{N} := \mathcal{M} \rtimes_\sigma \mathbb{R}$  with the canonical trace  $\tau$ . Apply (2.2) to  $a_j := h_\psi^{\alpha_j/z}$  and  $b_j := h_\varphi^{(1-\alpha_j)/z}$  in  $\tilde{\mathcal{N}}_+$  with  $t = 1$ ; we then have

$$\begin{aligned} & \int_0^1 \log \mu_s \left( h_\psi^{\frac{\alpha_1+\alpha_2}{4z}} h_\varphi^{\frac{2-\alpha_1-\alpha_2}{2z}} h_\psi^{\frac{\alpha_1+\alpha_2}{4z}} \right) ds \\ & \leq \frac{1}{2} \left[ \int_0^1 \log \mu_s \left( h_\psi^{\frac{\alpha_1}{2z}} h_\varphi^{\frac{1-\alpha_1}{z}} h_\psi^{\frac{\alpha_1}{2z}} \right) ds + \int_0^1 \log \mu_s \left( h_\psi^{\frac{\alpha_2}{2z}} h_\varphi^{\frac{1-\alpha_2}{z}} h_\psi^{\frac{\alpha_2}{2z}} \right) ds \right]. \end{aligned} \quad (2.4)$$

Since  $h_\psi^{\frac{\alpha_1+\alpha_2}{4z}} h_\varphi^{\frac{2-\alpha_1-\alpha_2}{2z}} h_\psi^{\frac{\alpha_1+\alpha_2}{4z}}$  is in  $L^z(\mathcal{M})$ , note [1, Lemma 4.8] that

$$\mu_s \left( h_\psi^{\frac{\alpha_1+\alpha_2}{4z}} h_\varphi^{\frac{2-\alpha_1-\alpha_2}{2z}} h_\psi^{\frac{\alpha_1+\alpha_2}{4z}} \right) = s^{-1/z} \left\| h_\psi^{\frac{\alpha_1+\alpha_2}{4z}} h_\varphi^{\frac{2-\alpha_1-\alpha_2}{2z}} h_\psi^{\frac{\alpha_1+\alpha_2}{4z}} \right\|_z$$

so that

$$\log \mu_s \left( h_\psi^{\frac{\alpha_1+\alpha_2}{4z}} h_\varphi^{\frac{2-\alpha_1-\alpha_2}{2z}} h_\psi^{\frac{\alpha_1+\alpha_2}{4z}} \right)^z = -\log s + \log Q_{\frac{\alpha_1+\alpha_2}{2},z}(\psi\|\varphi). \quad (2.5)$$

Similarly,

$$\log \mu_s \left( h_\psi^{\frac{\alpha_j}{2z}} h_\varphi^{\frac{1-\alpha_j}{z}} h_\psi^{\frac{\alpha_j}{2z}} \right)^z = -\log s + \log Q_{\alpha_j,z}(\psi\|\varphi), \quad j = 1, 2. \quad (2.6)$$

Multiply  $z$  to both sides of (2.4) and insert (2.5) and (2.6) into it. Since  $\int_0^1 (-\log s) ds = 1$ , we then arrive at

$$1 + Q_{\frac{\alpha_1+\alpha_2}{2},z}(\psi\|\varphi) \leq \frac{1}{2} [2 + \log Q_{\alpha_1,z}(\psi\|\varphi) + \log Q_{\alpha_2,z}(\psi\|\varphi)],$$

which implies that  $\alpha \mapsto \log Q_{\alpha,z}(\psi\|\varphi)$  is midpoint convex on  $(0, 1)$ . Since midpoint convexity implies convexity for continuous functions, it follows that  $\alpha \mapsto \log Q_{\alpha,z}(\psi\|\varphi)$  is convex on  $(0, 1)$ . Moreover, by [4, Theorem 1(vii)] we find that  $\lim_{\alpha \nearrow 1} Q_{\alpha,z}(\psi\|\varphi) \leq \psi(1)$ . Therefore, the monotone increasing of  $\alpha \mapsto D_{\alpha,z}(\psi\|\varphi)$  on  $(0, 1)$  follows.  $\square$

## References

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