

The AfHom and boolean functions

Anna Jenčová

June 13, 2024

AfH is the subcategory of Af generated from first order objects by taking tensor products and duals. Any first order object X has the form $X = (V_X, \{\tilde{a}_X\}^\sim)$ where $\tilde{a}_X \in V_X^*$. We have

$$S_X = V_X, \quad L_X = \{\tilde{a}_X\}^\perp.$$

Let us pick any $a_X \in \{\tilde{a}_X\}^\sim$ and let us denote

$$L_{X,0} := \mathbb{R}a_X, \quad L_{X,1} := \{\tilde{a}_X\}^\perp, \quad L_{X^*,0} := \mathbb{R}\tilde{a}_X, \quad L_{X^*,1} := \{a_X\}^\perp.$$

We have the decompositions

$$V_X = L_{X,0} \oplus L_{X,1}, \quad V_X^* = L_{X^*,0} \oplus L_{X^*,1} \quad (1)$$

and

$$L_{X,0}^\perp = L_{X^*,1}, \quad L_{X,1}^\perp = L_{X^*,0}. \quad (2)$$

Let Y be an object of AfH. Then Y is constructed from a set of distinct first order object X_1, \dots, X_n . In this case, we will write $Y \sim [X_1, \dots, X_n]$. Since FinVect is compact, $(V \otimes W)^* = V^* \otimes W^*$, so that the vector space of Y has the form

$$V_Y = V_{i_1} \otimes \dots \otimes V_{i_n},$$

where V_i is either V_{X_i} or $V_{X_i}^*$, according to whether X_i was subjected to taking duals an even or odd number of times. The indices such that the first case is true will be called the outputs and the subset of outputs in $[n]$ will be denoted by O , or O_Y , when we need to specify the object. The set $I = I_Y := [n] \setminus O_Y$ is the set of inputs. The following statement shows the reason for this terminology. The proof of Proposition 1 will be given later below.

Proposition 1. *Let $Y \sim [X_1, \dots, X_n]$. Let us denote*

$$X_I := \bigotimes_{i \in I} X_i, \quad X_O := \bigotimes_{i \in O} X_i.$$

Then there is a permutation $\sigma \in S_n$ such that

$$X_I^* \otimes X_O \xrightarrow{\sigma} Y \xrightarrow{\sigma^{-1}} [X_I, X_O].$$

We introduce the following notations:

$$V_i := V_{X_i}, \quad L_{i,u} := L_{X_i,u}, \quad \tilde{L}_{i,u} = L_{X_i^*,u}, \quad u \in \{0,1\}, \quad i \in O$$

and

$$V_i := V_{X_i}^*, \quad L_{i,u} := L_{X_i^*,u}, \quad \tilde{L}_{i,u} = L_{X_i,u}, \quad u \in \{0,1\}, \quad i \in I.$$

Further,

$$a_i := a_{X_i}, \quad \tilde{a}_i := \tilde{a}_{X_i}, \quad i \in O, \quad a_i := \tilde{a}_{X_i}, \quad \tilde{a}_i := a_{X_i}, \quad i \in I.$$

We will also denote for $s \in \{0,1\}^n$,

$$L_s := L_{i_1,s_{i_1}} \otimes \cdots \otimes L_{i_n,s_{i_n}}, \quad \tilde{L}_s := \tilde{L}_{i_1,s_{i_1}} \otimes \cdots \otimes \tilde{L}_{i_n,s_{i_n}}.$$

Notice that the subspaces L_s , $s \in \{0,1\}^n$ form an independent decomposition of the space V_Y , so that they generate a distributive sublattice in the lattice of subspaces of V_Y . Similarly, \tilde{L}_s , $s \in \{0,1\}^n$ form an independent decomposition of V_Y^* .

We next describe the affine subspace A_Y . We will need the following easy lemmas.

Lemma 1. *We have*

$$a_Y := a_{i_1} \otimes \cdots \otimes a_{i_n} \in A_Y, \quad \tilde{a}_Y := \tilde{a}_{i_1} \otimes \cdots \otimes \tilde{a}_{i_n} \in \tilde{A}_Y.$$

Proof. Easy. □

Lemma 2. *For any $s \in \{0,1\}^n$, we have*

$$L_s^\perp = \bigoplus_{\substack{t \in \{0,1\}^n \\ t \neq s}} \tilde{L}_t.$$

Proof. Using (1) and (2), we get

$$\begin{aligned} (L_{i_1,s_{i_1}} \otimes \cdots \otimes L_{i_n,s_{i_n}})^\perp &= \bigvee_j \left(V_{i_1}^* \otimes \cdots \otimes V_{i_{j-1}}^* \otimes \tilde{L}_{i_j,1-s_{i_j}} \otimes V_{i_{j+1}}^* \otimes \cdots \otimes V_{i_n}^* \right) \\ &= \bigvee_j \left(\bigoplus_{\substack{t \in \{0,1\}^n \\ t_{i_j} \neq s_{i_j}}} \tilde{L}_{i_1,t_{i_1}} \otimes \cdots \otimes \tilde{L}_{i_n,t_{i_n}} \right) \\ &= \bigoplus_{\substack{t \in \{0,1\}^n \\ t \neq s}} \left(\tilde{L}_{i_1,t_{i_1}} \otimes \cdots \otimes \tilde{L}_{i_n,t_{i_n}} \right). \end{aligned}$$

□

We next show how the objects are related to some boolean functions, in fact, these functions are related to types of objects in AfH, rather than objects themselves.

Theorem 1. *For any object in AfH, there is a function $f = f_Y : \{0,1\}^n \rightarrow \{0,1\}$ and a permutation i_1, \dots, i_n of elements in $[n]$, such that*

$$S_Y = \bigoplus_{s \in \{0,1\}^n} f(s) L_{i_1,s_{i_1}} \otimes \cdots \otimes L_{i_n,s_{i_n}}.$$

Proof. We will proceed by induction on n . For $n = 1$, the assertion is easily seen to be true, since in this case, Y is itself first order and

$$S_Y = V_Y = L_{1,0} \oplus L_{1,1} = f(0)L_{1,0} \oplus f(1)L_{1,1},$$

here $f : \{0,1\} \rightarrow \{0,1\}$ is the constant 1. Assume now that the assertion is true for all $m < n$. By construction, Y is either the tensor product of two other objects in AfH or Y is the dual of such a product. Let us assume the first case. Then there is a permutation i_1, \dots, i_n of $[n]$ and $0 < m < n$ such that $Y = Y_1 \otimes Y_2$, with

$$Y_1 \sim [X_{i_1}, \dots, X_{i_m}], \quad Y_2 \sim [X_{i_{m+1}}, \dots, X_{i_n}].$$

By the assumption, there are functions $f_1 : \{0,1\}^m \rightarrow \{0,1\}$ and $f_2 : \{0,1\}^{n-m} \rightarrow \{0,1\}$, and permutations k_1, \dots, k_m of $[m]$, l_1, \dots, l_{n-m} of $[n-m]$ such that

$$S_Y = S_{Y_1} \otimes S_{Y_2} = \bigoplus_{\substack{s \in \{0,1\}^m \\ t \in \{0,1\}^{n-m}}} f_1(s)f_2(t) L_{i_{k_1}, s_{k_1}} \otimes \dots \otimes L_{i_{k_m}, s_{k_m}} \otimes L_{i_{m+l_1}, t_{l_1}} \otimes \dots \otimes L_{i_{m+l_{n-m}}, t_{l_{n-m}}}$$

Since $\{0,1\}^n \simeq \{0,1\}^m \times \{0,1\}^{n-m}$, we get the assertion, with $f(s, t) = f_1(s)f_2(t)$ and the permutation $i_{k_1}, \dots, i_{k_m}, i_{m+l_1}, \dots, i_{m+l_{n-m}}$ of $[n]$.

To finish the proof, it is now enough to observe that if the assertion holds for Y then it also holds for Y^* . So assume that Y has the required form. Let a_Y and \tilde{a}_Y be as in Lemma 1, then $\tilde{a}_Y \in \tilde{A}_Y$, so that $L_Y = S_Y \cap \{\tilde{a}_Y\}^\perp$. As before, we use the notation

$$L_s := L_{i_1, s_{i_1}} \otimes \dots \otimes L_{i_n, s_{i_n}}, \quad \tilde{L}_s := \tilde{L}_{i_1, s_{i_1}} \otimes \dots \otimes \tilde{L}_{i_n, s_{i_n}}.$$

respecting the permutation i_1, \dots, i_n of Y , so that

$$S_Y = \bigoplus_{s \in \{0,1\}^n} f(s) L_s.$$

It is easily seen that for any $s \in \{0,1\}^n$, $L_s \subseteq \{\tilde{a}_Y\}^\perp$ if and only if $s_i = 1$ for at least some $i \in [n]$, that is, $s \neq 00 \dots 0$. Hence

$$L_Y = \bigoplus_{\substack{s \in \{0,1\}^n \\ s \neq 0}} f(s) L_s.$$

We then have using Lemma 2 and the fact that $\tilde{L}_t, t \in \{0,1\}^n$ form an independent decomposition of V_Y^* ,

$$\begin{aligned} S_{Y^*} = L_Y^\perp &= \left(\bigoplus_{\substack{s \in \{0,1\}^n \\ s \neq 0}} f(s) L_s \right)^\perp = \bigwedge_{\substack{s \in \{0,1\}^n \\ s \neq 0, f(s)=1}} L_s^\perp = \bigwedge_{\substack{s \in \{0,1\}^n \\ s \neq 0, f(s)=1}} \left(\bigoplus_{\substack{t \in \{0,1\}^n \\ t \neq s}} \tilde{L}_t \right) \\ &= \bigoplus_{t \in \{0,1\}^n} \left(\bigwedge_{\substack{s \in \{0,1\}^n \\ s \neq 0, f(s)=1}} (1 - \chi_s(t)) \tilde{L}_t \right) = \bigoplus_{t \in \{0,1\}^n} f^*(t) \tilde{L}_t. \end{aligned}$$

Here $\chi_s : \{0, 1\}^n \rightarrow \{0, 1\}$ is the characteristic function of s and $f^* : \{0, 1\}^n \rightarrow \{0, 1\}$ is given as

$$f^*(t) := \bigwedge_{\substack{s \in \{0, 1\}^n \\ s \neq 0, f(s)=1}} (1 - \chi_s(t)) = \begin{cases} 1 & \text{if } t = 0 \\ 1 - f(t) & \text{if } t \neq 0. \end{cases}$$

Note also that the change L_s to \tilde{L}_s corresponds precisely to swapping the sets of inputs and outputs, which is what happens after taking the dual. This finishes the proof. \square

The above theorem shows that any element $Y \sim [X_1, \dots, X_n]$ is up to a permutation determined by a unique boolean function $f = f_Y : \{0, 1\}^n \rightarrow \{0, 1\}$, uniqueness follows from the fact that $L_s, s \in \{0, 1\}^n$ form an independent decomposition of V_Y . It is rather obvious that not all boolean functions give rise to an object of AfH, for example, for $Y \sim [X]$ we only have $Y = X$ and $Y = X^*$, which corresponds to constant 1 and the negation, respectively. In general, one can see from the above proof that we necessarily have

$$f \in \mathcal{F}_n = \{g : \{0, 1\}^n \rightarrow \{0, 1\}, g(0) = 1\}.$$

Pick any $f \in \mathcal{F}_n$ and any permutation i_1, \dots, i_n . Keeping the above notations, in particular the input-output decomposition $[n] = I \cup O$, let

$$S_f := \bigoplus_{s \in \{0, 1\}^n} f(s) L_s, \quad A_f := S_f \cap \{\tilde{a}\}^\sim.$$

Then A_f is a proper affine subspace in $V := \otimes_j V_{i_j}$, this follows from the fact that $f(0) = 1$, so that S contains the subspace $\mathbb{R}a$. Then $Y_f := (\otimes_j V_{i_j}, A_f)$ defines an object in Af such that $a \in A_f$ and $\tilde{a} \in \tilde{A}_f$. Such objects might not belong to AfH in general. With the pointwise ordering, \mathcal{F}_n is a distributive lattice, with the smallest element χ_0 and largest element 1. It is easy to see that for $f, g \in \mathcal{F}_n$ and some corresponding objects Y_f, Y_g , we have $f \leq g$ if and only if there is some permutation $\sigma \in S_n$ such that $Y_f \xrightarrow{\sigma} Y_g$. In particular, since $\chi_0 \leq f \leq 1$ for all $f \in \mathcal{F}_n$, there is some permutation σ such that

$$Y_{\min} \xrightarrow{\sigma} Y_f \xrightarrow{\sigma^{-1}} Y_{\max},$$

where

$$Y_{\min} := (V_1 \otimes \dots \otimes V_n, \{a_1 \otimes \dots \otimes a_n\}), \quad Y_{\max} := (V_1 \otimes \dots \otimes V_n, \{\tilde{a}_1 \otimes \dots \otimes \tilde{a}_n\}^\sim).$$

If Y_g is an object such that

$$Y_{\min} \xrightarrow{\rho} Y_g \xrightarrow{\rho^{-1}} Y_{\max},$$

for a permutation ρ , then we may define an object corresponding to $f \wedge g$ as the pullback of the two arrows $f \xrightarrow{\sigma^{-1}} Y_{\max}$ and $g \xrightarrow{\rho^{-1}} Y_{\max}$, similarly, $Y_{f \vee g}$ can be found as a pushout.

0.1 Types

We next try to find some description of the set of functions that define objects in AfH. For fixed $n \in \mathbb{N}$, we will denote this set as \mathcal{F}_n^H . It will be called the set of types.

As noted above, \mathcal{F}_n^H is a subset in \mathcal{F}_n , which is a (finite) distributive lattice, with top element the constant 1 function and the bottom element $p_n := \chi_0$. We may also define the complementation in \mathcal{F}_n as

$$f^* := 1 - f + p_n.$$

It can be easily checked that with these structures \mathcal{F}_n is a boolean algebra. Let $n_1, n_2 \in \mathbb{N}$ be such that $n = n_1 + n_2$, then for $f_i \in \mathcal{F}_{n_i}$ we define the function $f_1 \otimes f_2 \in \mathcal{F}_n$ as

$$f_1 \otimes f_2(s, t) = f_1(s)f_2(t).$$

We can see from the proof of Theorem 1 that elements of \mathcal{F}_n^H are obtained from the constant function 1 on $\{0, 1\}$ by taking complements, tensor products and precomposing with permutations. In particular, $\mathcal{F}_n^H \subseteq \mathcal{F}_n$. This gives the following description.

Proposition 2. *The set \mathcal{F}_n^H is the smallest subset in \mathcal{F}_n such that:*

1. *it is invariant under permutations: if $f \in \mathcal{F}_n^H$, then $f \circ \sigma \in \mathcal{F}_n^H$ for any permutation σ ,*
2. *it is invariant under complementation: if $f \in \mathcal{F}_n^H$ then $f^* \in \mathcal{F}_n^H$,*
3. *if $f \in \mathcal{F}_n^H$ and $g \in \mathcal{F}_m^H$, then $f \otimes g \in \mathcal{F}_{n+m}^H$,*
4. $\mathcal{F}_1^H = \{1, \chi_0\} = \mathcal{F}_1$.

For $Y \sim [X_1, \dots, X_n]$, let $f \in \mathcal{F}_n^H$ be the corresponding function and let $O \subseteq [n]$ be the corresponding set of outputs. By the construction of the functions in Theorem 1, we can see that the set O can be obtained from f , as the set of indices such that the corresponding element was under complementation an even number of times.

Example 1. For a subset $S \subseteq [n]$, we denote by p_S the function $p_S(x) = \prod_{i \in S} \bar{x}_i$, here we use the notation $\bar{x} = 1 - x$. Then $p_S \in \mathcal{F}_n^H$. Indeed, we have $p_S = \otimes_{i \in [n]} f_i$, where $f_i(x_i) = \bar{x}_i = \chi_0(x_i)$ if $i \in S$ and $f_i(x_i) = 1$ otherwise. The corresponding objects in AfH have (up to permutation) the form $X_S^* \otimes X_{[n] \setminus S}$. The complement is $f^*(x) = 1 - p_S(x) + p_n$, the corresponding objects are $[X_{[n] \setminus S}, X_S]$. Note that in this case, S is the set of inputs of p_S and the set of outputs of p_S^* .

Proposition 3. *Let $f \in \mathcal{F}_n^H$ and let $O \subseteq [n]$ be the set of outputs, $I = [n] \setminus O$ the set of inputs. Then*

$$p_I \leq f \leq p_O^*.$$

Proof. This is obviously true for $n = 1$. In this case, $\mathcal{F}_1^H = \mathcal{F}_1 = \{1, \chi_0 = p_{\{1\}}\}$ and $1^* = p_{\{1\}}$. If $f = 1$, then $O = \{1\}$, so that

$$p_I = p_\emptyset = 1 = p_{\{1\}}^*,$$

the other case is obtained by taking complements. Assume that the assertion holds for $m < n$. Let $f \in \mathcal{F}_n^H$ and assume that $f = g \otimes h$ for some $g \in \mathcal{F}_m^H$, $h \in \mathcal{F}_{n-m}^H$. Let $O_1 \subseteq [n_1]$ be the set of outputs for g and $O_2 \subseteq [n - m]$ for h . By the assumption,

$$p_{I_1} \otimes p_{I_2} \leq g \otimes h \leq p_{O_1}^* \otimes p_{O_2}^* \leq (p_{O_1} \otimes p_{O_2})^*.$$

Here the last inequality is from a more general inequality $f^* \otimes g^* \leq (f \otimes g)^*$ which holds for any $f \in \mathcal{F}_m$, $g \in \mathcal{F}_{n-m}$. Indeed, for any s, t not both equal to 0, we have $f^*(s)g^*(t) = 1$ if and only if $f(t) = g(s) = 0$, in which case $(f(s)g(t))^* = 1$. It is now enough to notice that the outputs of

f are precisely $i \in O_1$ and $m + j$, $j \in O_2$. Assume that the inequality holds for $f \in \mathcal{F}_n^H$, we will show that it is preserved by permutations and complements. Indeed, let σ be any permutation of the indices, then clearly

$$p_I \circ \sigma \leq f \circ \sigma \leq p_O^* \circ \sigma.$$

It is enough to note that the outputs of $f \circ \sigma$ are $\sigma^{-1}(O)$, similarly for the inputs, and $p_{\sigma^{-1}(S)} = p_S \circ \sigma$ for any $S \subseteq [n]$. Finally, we have by duality

$$p_O \leq f^* \leq p_I^*,$$

and taking complements exchanges inputs and outputs. □

The next result shows that there is a direct way to obtain this set from f .

Proposition 4. *Let $f \in \mathcal{F}_n^H$ and let $O \subseteq [n]$ be the corresponding set of outputs. Then $i \in O$ if and only if $f(e^i) = 1$ (here $e_j^i = \delta_{i,j}$, $j = 1, \dots, n$).*

Proof. □