

# Energy Reconstruction with CALIFA

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GEFÖRDERT VOM



Bundesministerium  
für Bildung  
und Forschung



TUM Members:  
Roman Gernhäuser, Lukas Ponnath, Philipp Klenze, Tobias Jenegger

**CAL**orimeter for the **In Flight** detection of  $\gamma$ -rays and light charged **p**Articles

**Endcap:**

**iPhos:**

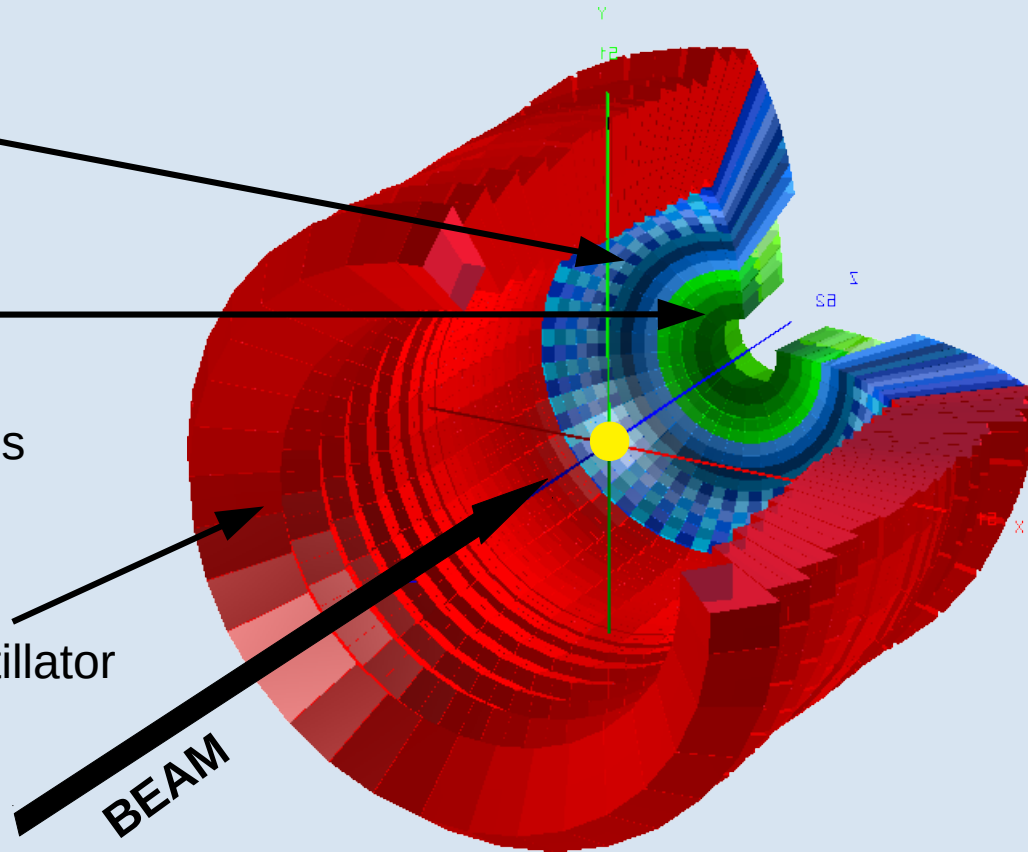
512 CsI(Tl)  
crystals

**CEPA:**

96 LaBr<sub>3</sub> &  
LaCl<sub>3</sub> crystals

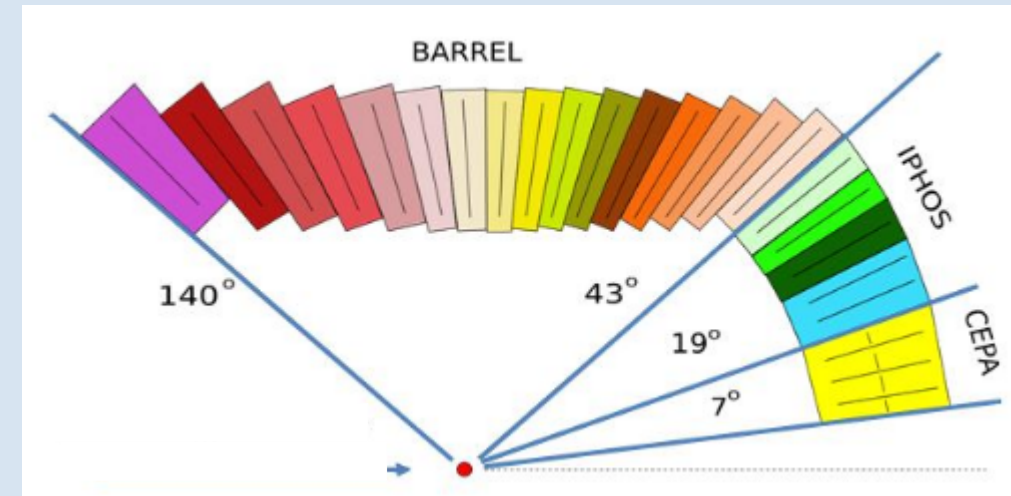
**Barrel:**

1952 CsI(Tl) scintillator  
crystals



## Requirements:

- high dynamic range:  
100 keV  $\gamma$ -rays – 700 AMeV charged particles
- high efficiency
- high granularity  $\rightarrow$  Doppler correction
- particle identification



**Over 2500 crystal channels!**

Energy

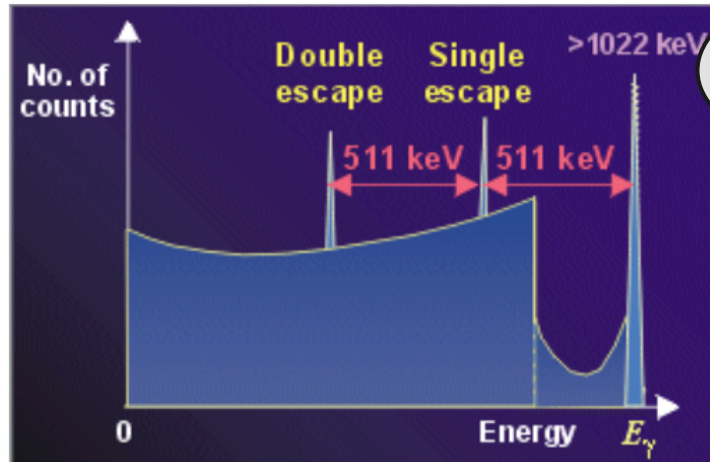
Hit Time

Spatial Position

Correlation with neighboring crystals

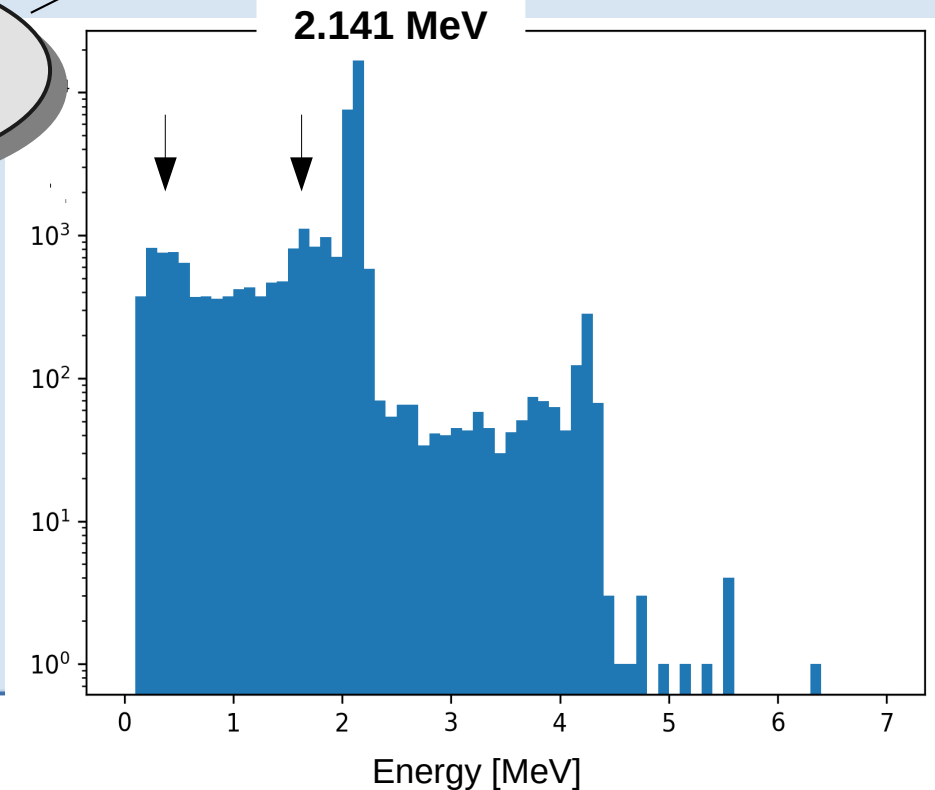
Customized Cluster

In a real detector, if the incident gamma energy is above **1022 keV**, pair production events result in the production of two 511 keV annihilation gamma-rays.

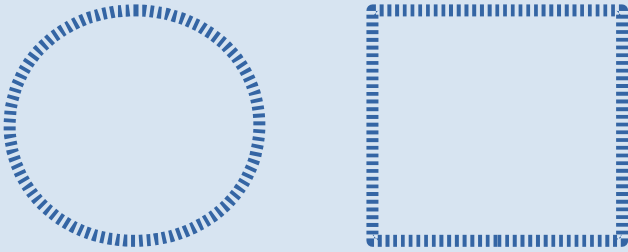


If only one of these gamma-rays escapes while the other is completely absorbed in the detector, 511 keV will be lost from the detector. This results in a separate peak in the spectrum representing  $E_\gamma - 511$  keV, called the **single** escape peak.

If both annihilation gamma-rays escape this gives rise to the **double** escape peak at  $E_\gamma - 1022$  keV.



User defines shape and size of cluster:

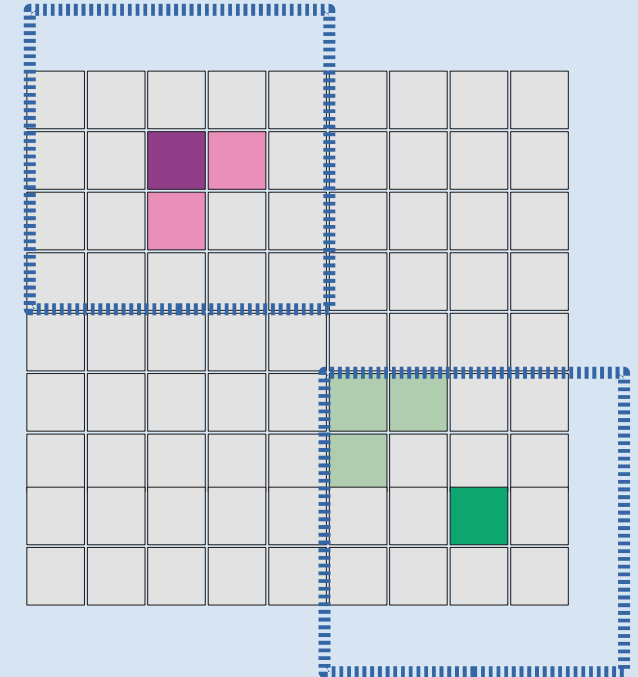


(and set energy threshold for single crystals)

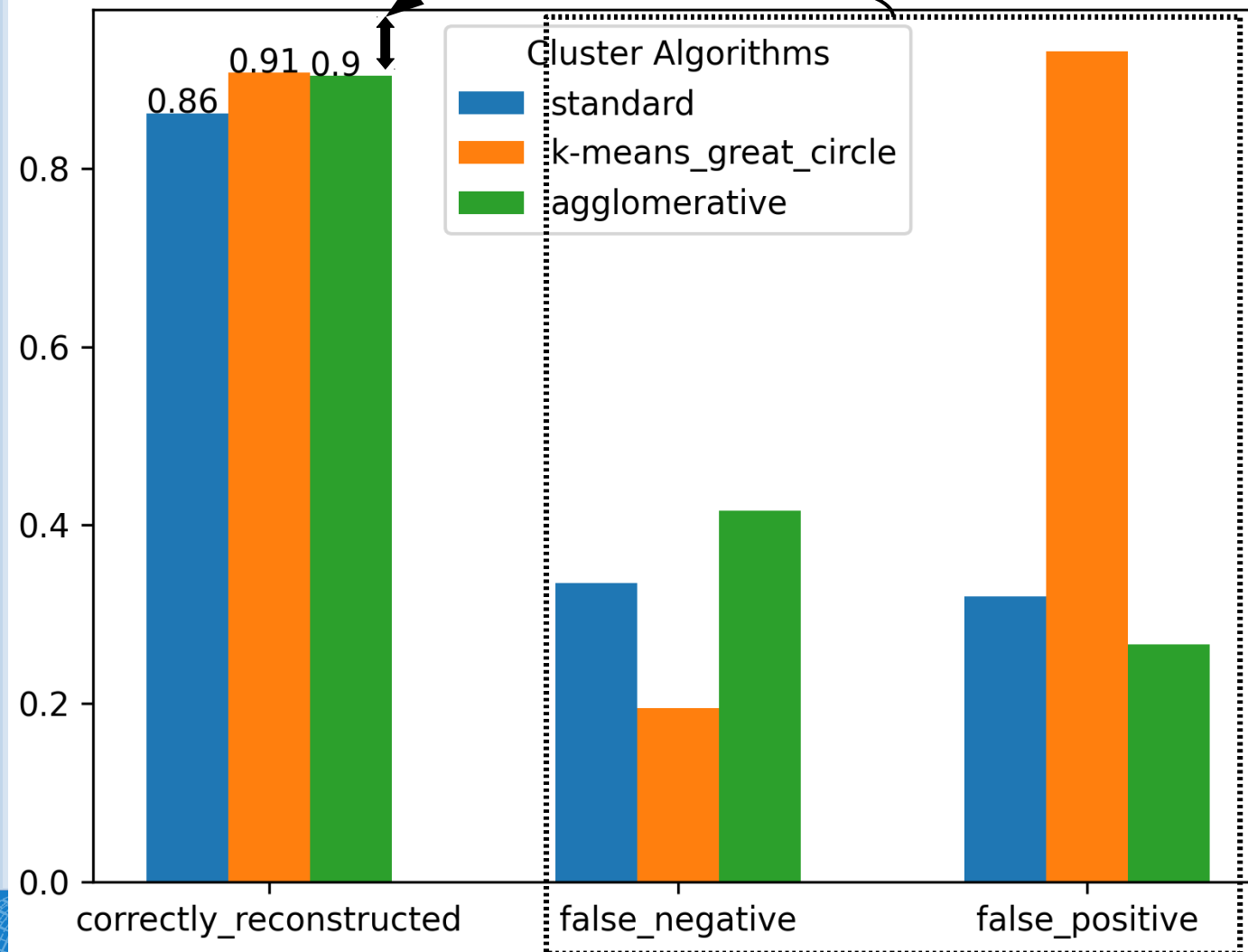
Sort the hit list according to their energy

30. MeV
22. MeV
10. MeV
5. MeV
3. MeV
2.5 MeV
0.7 MeV

1. create cluster centered around first hit
2. loop over all hits in list  
→ if hit inside cluster add it and remove it from the list
3. Do this procedure until list is empty



Comparison Clustering Algorithms, E= 2.124 MeV, Mult = 3



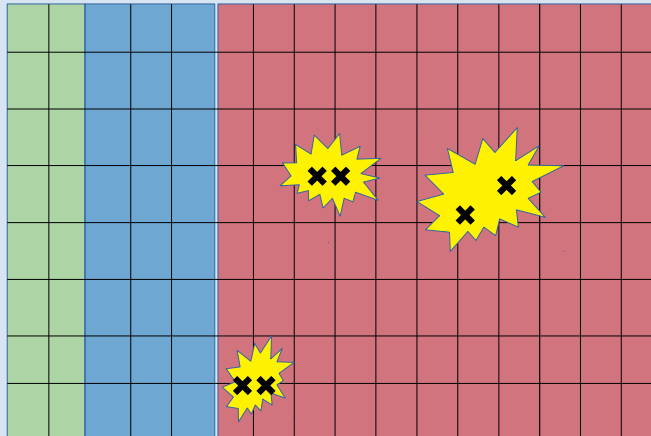
## false\_negative:

true cluster	reconstructed cluster	
$\begin{pmatrix} 1.2 \\ 0.8 \\ \mathbf{0.124} \end{pmatrix}$	$\begin{pmatrix} 1.2 \\ 0.8 \\ 0.511 \end{pmatrix}$	$\rightarrow \text{false negative} = \frac{0.124}{2.124}$

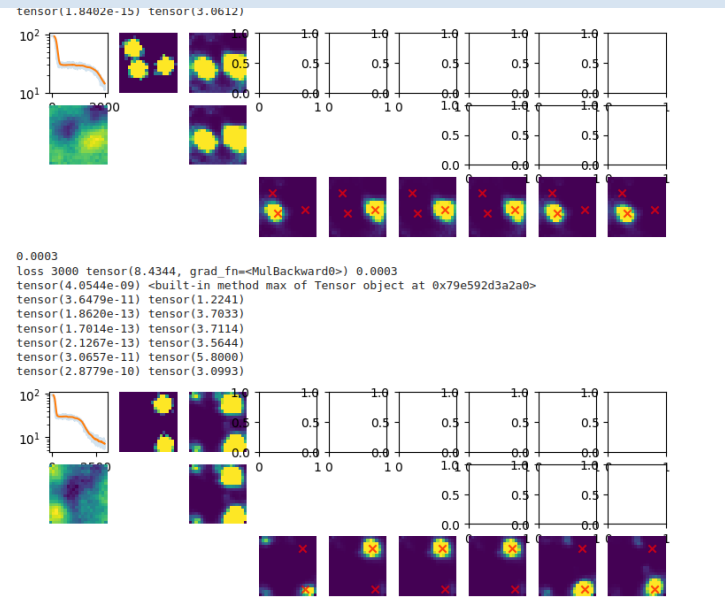
## false\_positive:

true cluster	reconstructed cluster	
$\begin{pmatrix} 1.2 \\ 0.8 \\ 0.124 \end{pmatrix}$	$\begin{pmatrix} 1.2 \\ 0.8 \\ \mathbf{0.511} \end{pmatrix}$	$\rightarrow \text{false positive} = \frac{0.511}{2.124}$

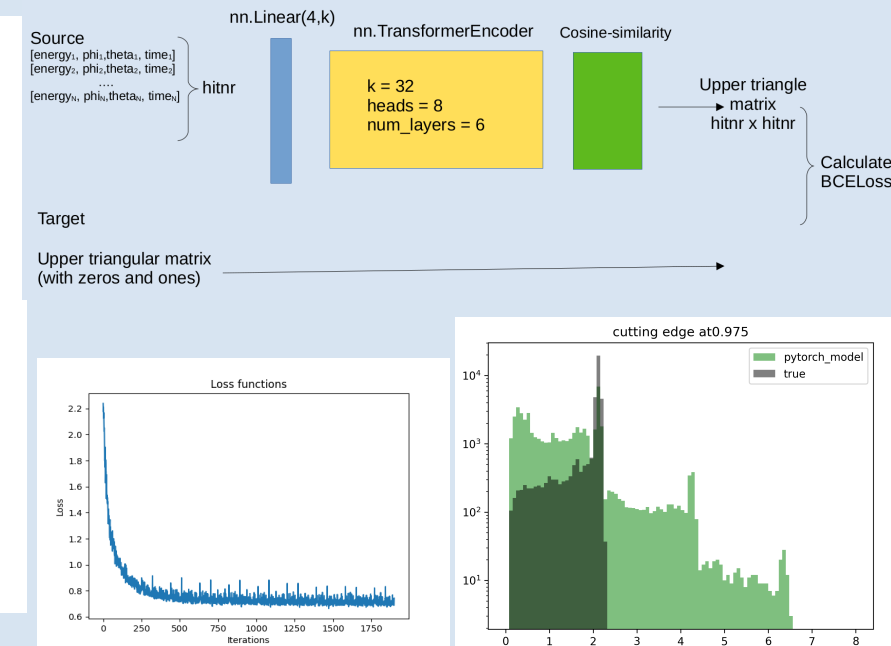
## Invariant Slot Attention:



## slot\_and\_tspn\_onenotebook from Lukas Heinrich



## Transformer Model:

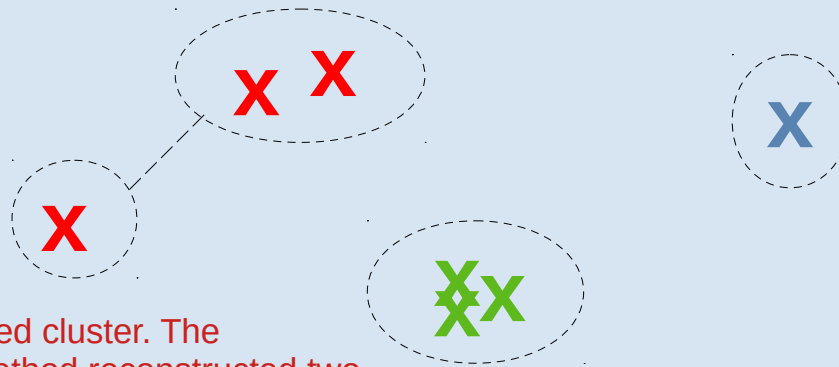


**None of the models performed well / converged !!!**

# **Next Step:**

## **Agglomerative Model + Basic Feed Forward Model**

1. Use first agglomerative method to cluster
2. Select events where we have too many clusters (false negative)

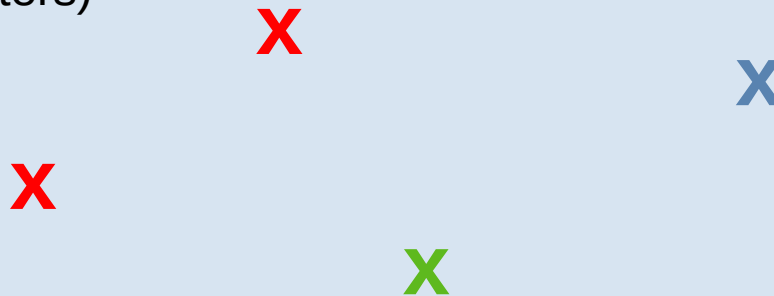


This is one true red cluster. The agglomerative method reconstructed two

## Note:

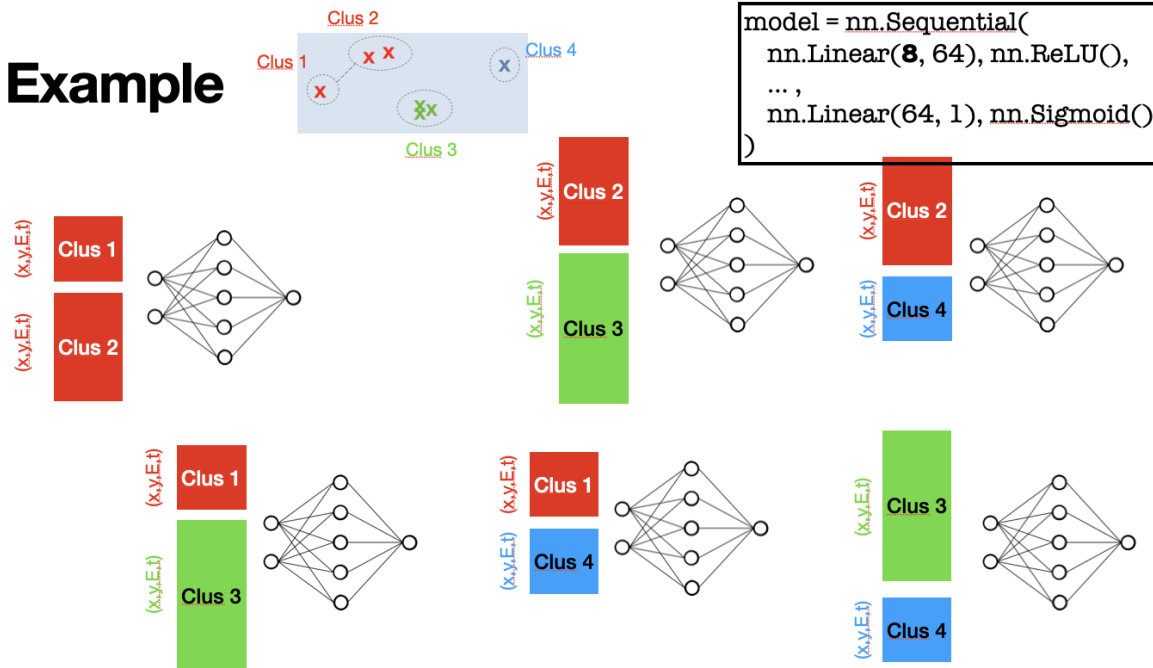
All data you see next is a subset of the whole dataset. It corresponds to events where the agglomerative model has created too many clusters

3. Feed the clusters to feed forward model (calculating cm of clusters)





## Example



Input to model:  
 $E_1, \theta_1, \varphi_1, t_1, E_2, \theta_2, \varphi_2, t_2$

## Tuning:

- Lr
- Feature size of hidden layer
- Nr of hidden layers

## Basic Scheme:

Def init :

```
self.linear = torch.nn.Linear(8,64)
self.activation = torch.nn.ReLU()
self.linear_back = torch.nn.Linear(64,1)
```

....

Def forward:

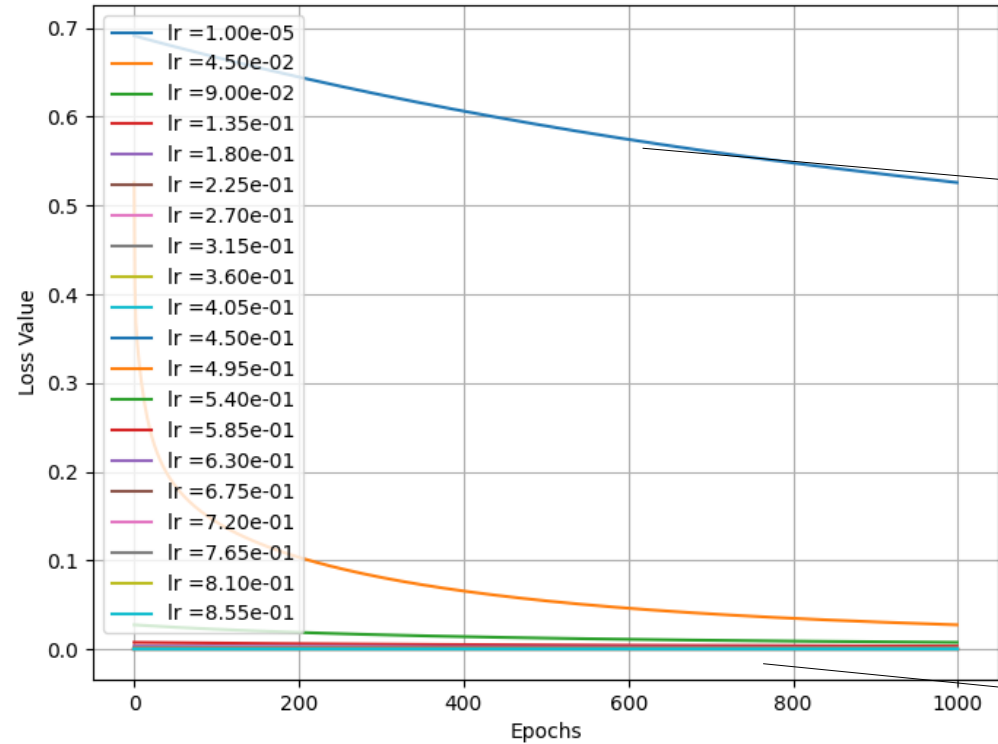
```
output_tensor = self.linear(output_tensor)
output_tensor = self.activation(output_tensor)
output_tensor = self.linear_back(output_tensor)
output_tensor = torch.sigmoid(output_tensor)
output_tensor = torch.squeeze(output_tensor)
```

# Tuning the Loss – Learning Rate

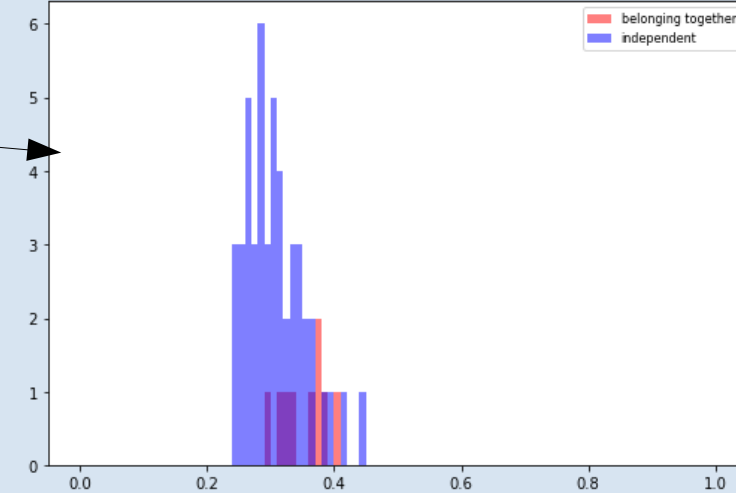
## Prediction Distributions

## Large data sample

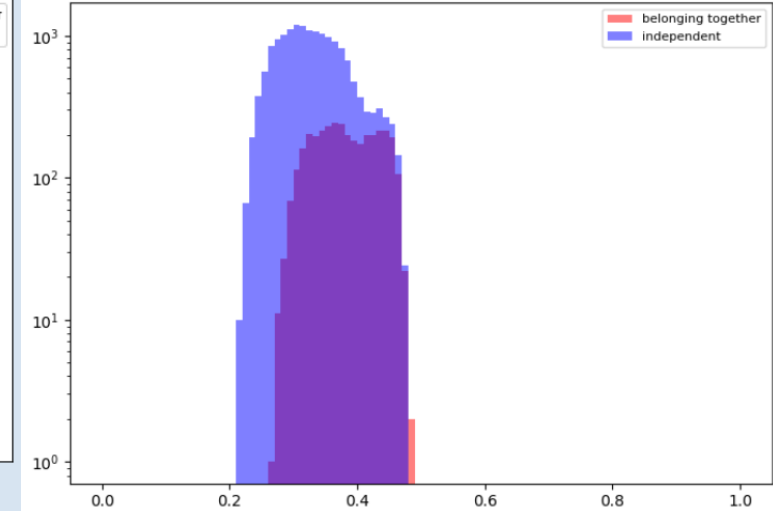
Loss Value Evolution for Specific Loss Rates



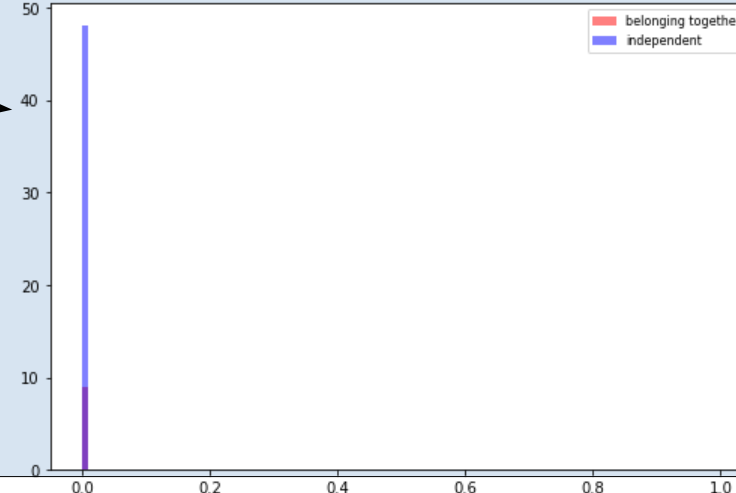
Nr features = 1000,  $lr = 1e-5$



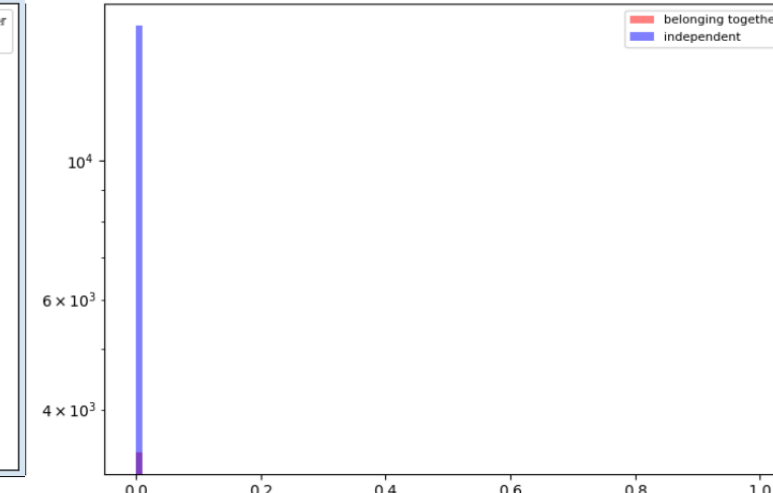
Nr features = 1000,  $lr = 1e-5$



Nr features = 1000,  $lr = 8.55e-1$



Nr features = 1000,  $lr = 8.55e-1$



Data size = 10 events

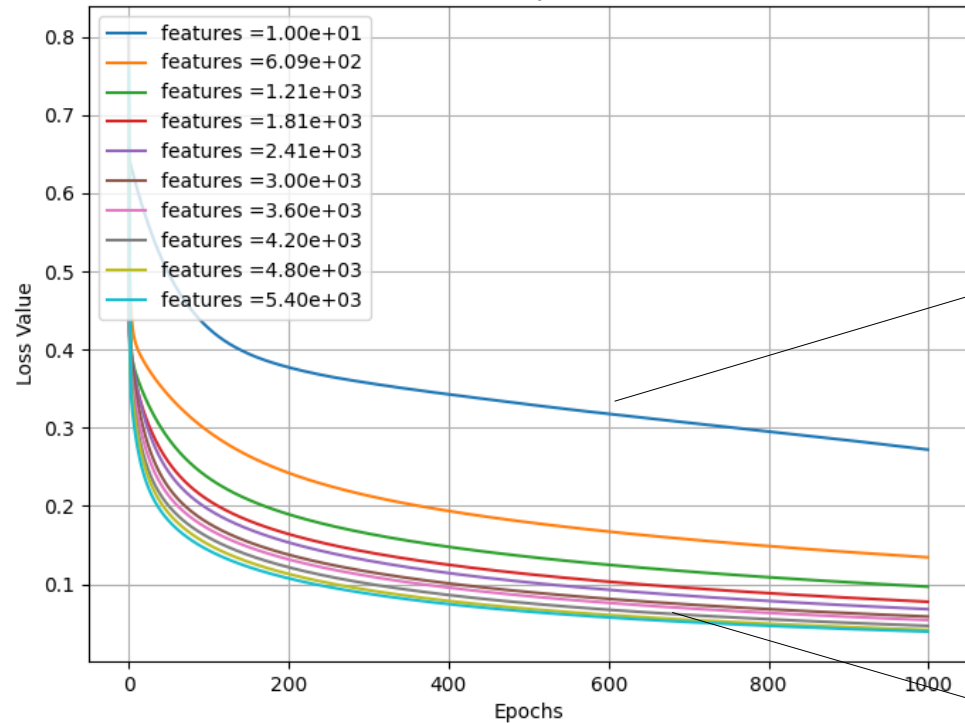
Overfit data to find out if we are in meaningful hyperparameter space

# Tuning the Loss – Feature Size

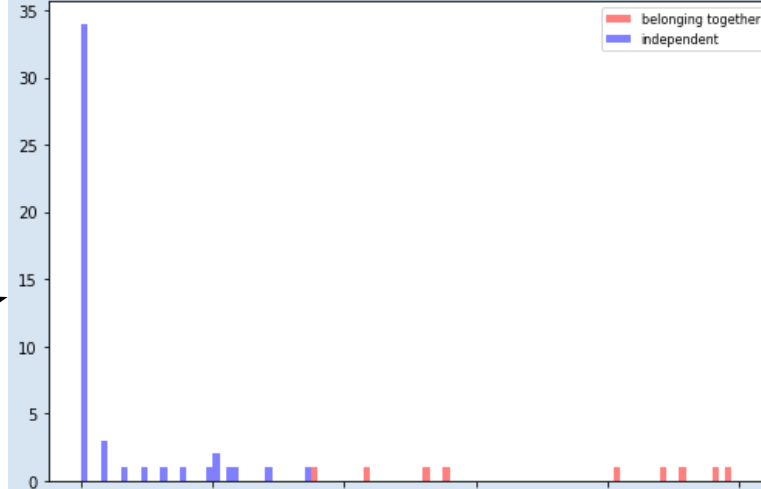
## Prediction Distributions

## Large data sample

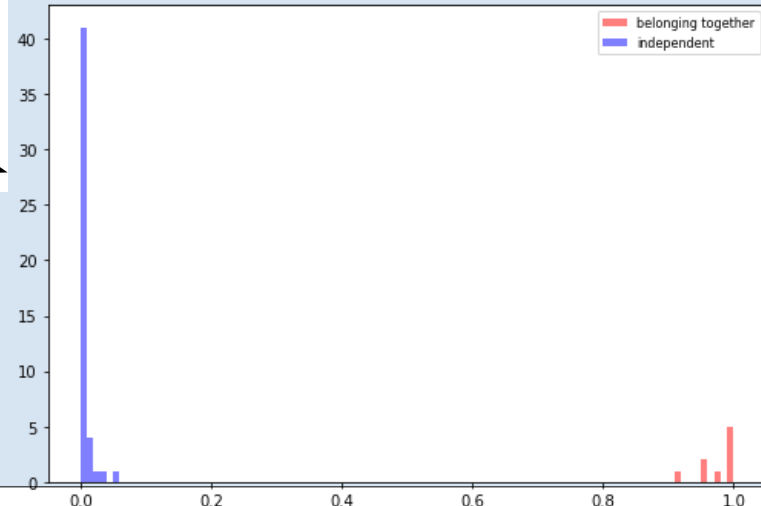
Loss Value Evolution for Specific Feature Numbers



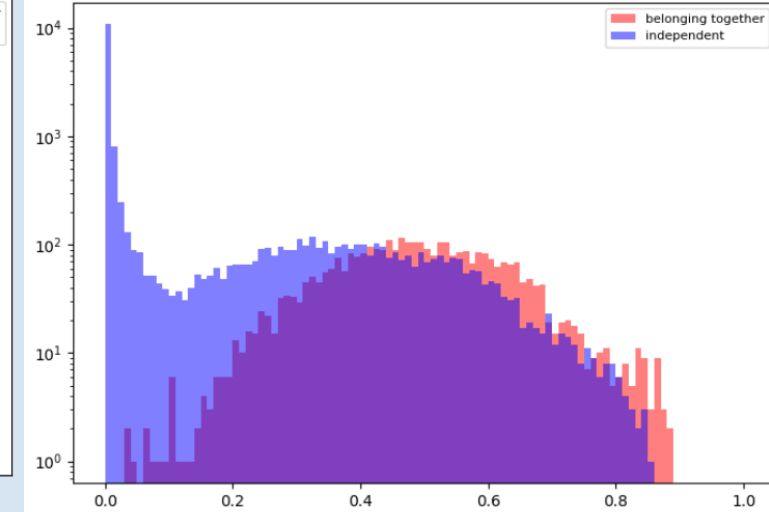
Nr features = 10, lr = 5e-2



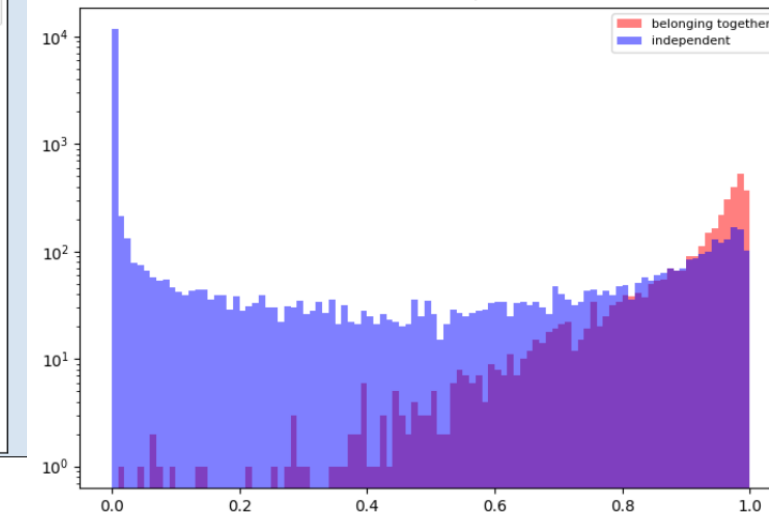
Nr features = 5401, lr = 5e-2



Nr features = 10, lr = 5e-2



Nr features = 5401, lr = 5e-2



Data size = 10 events

Overfit data to find out if we are in meaningful hyperparameter space

# Tuning the Loss – Multiple Layer

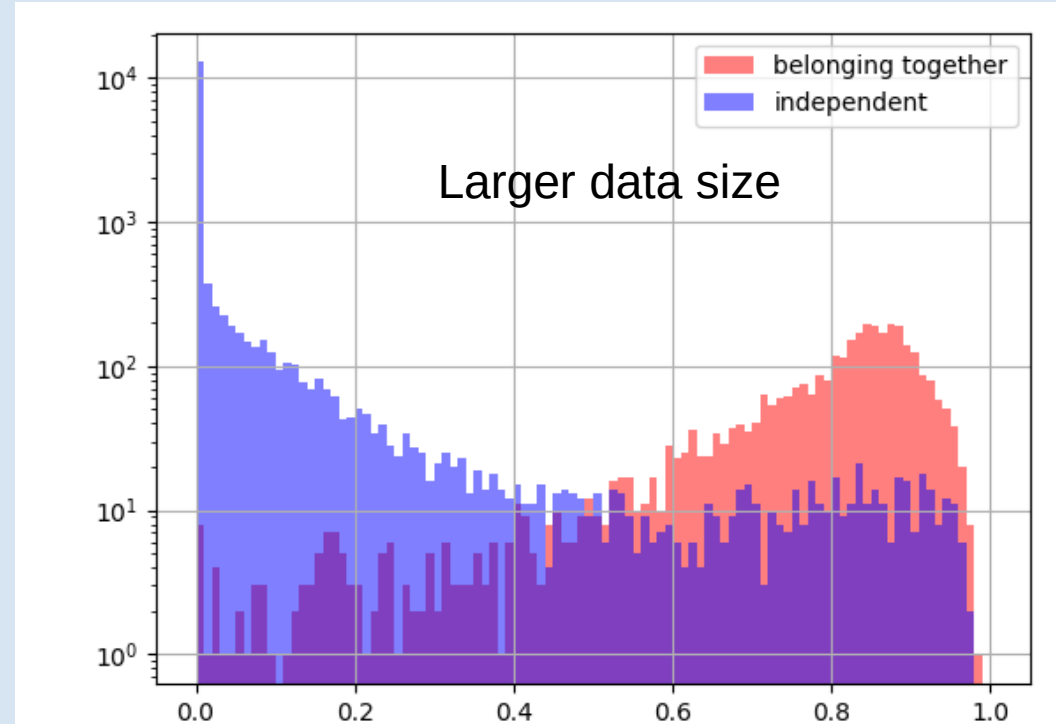
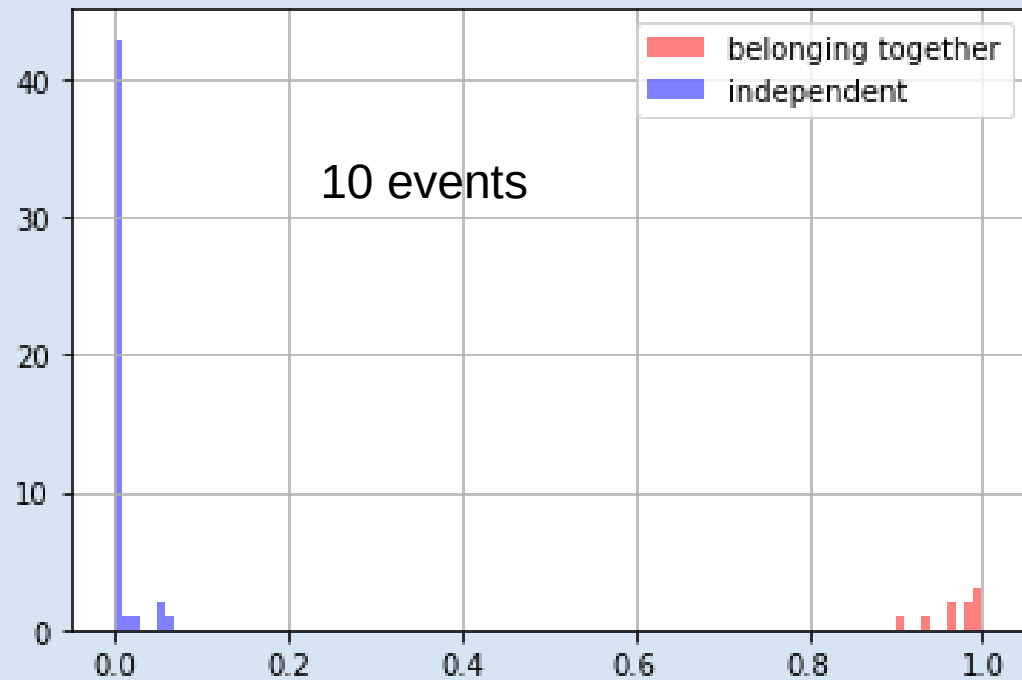
Model:

First layer: 1000 features

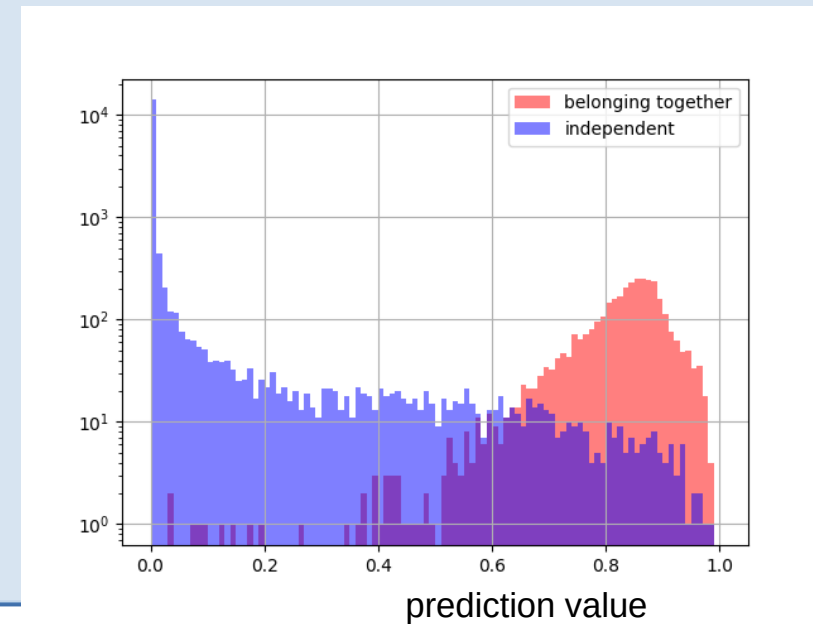
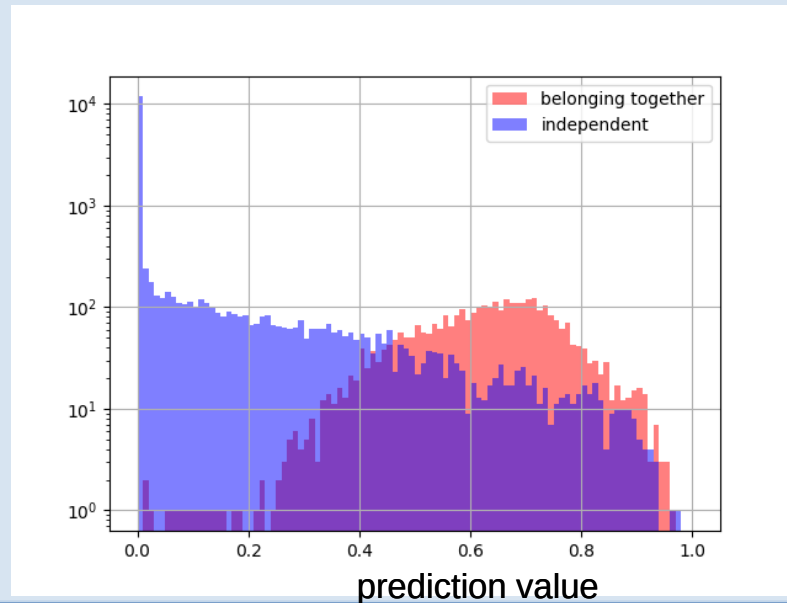
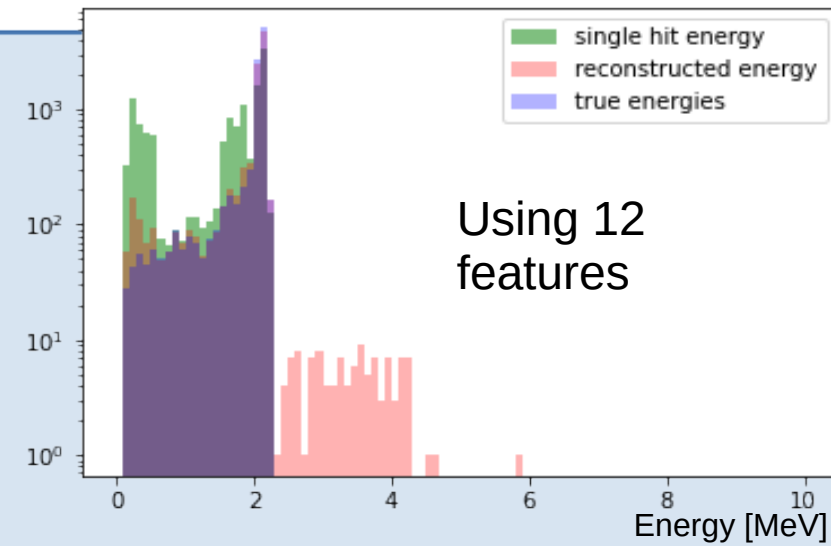
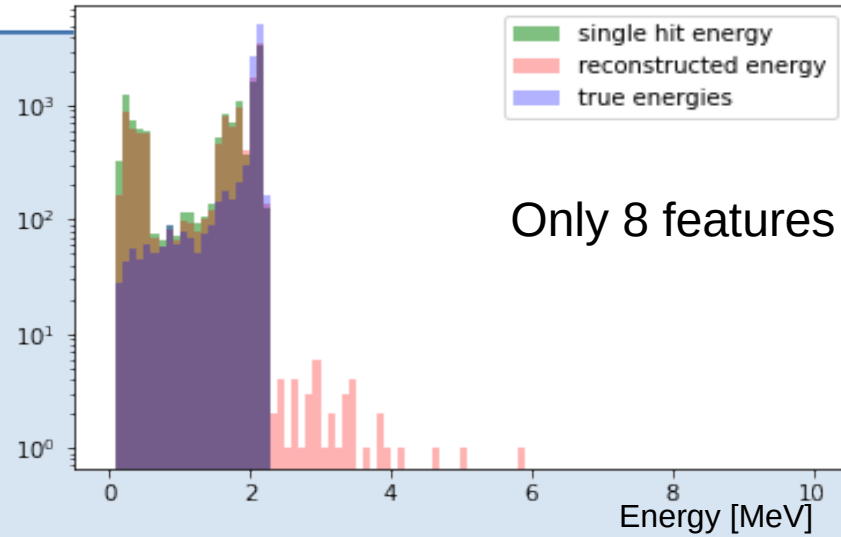
Second layer: 100 features

Third layer: 100 features

Lr= 5e-3



# More features: $\Delta t, \Delta E, \Delta \varphi, \Delta \theta$



**In both cases same feed forward model !**

Basic Feed Forward Model implemented

Cluster recognition works quite well when using  $\Delta t, \Delta E, \Delta \phi, \Delta \theta$

**Still to do:**

- Implement more features  $\rightarrow E1+E2, \phi1+\phi2, \dots$
- Use raw (not preclustered) data and only feed forward model

**However:**

- $\rightarrow$  NOT translation invariant ( maybe solved with geo. Algebra transformers GATs \*)
- $\rightarrow$  does not focus on the whole event, but only looks at the single hit
- $\rightarrow$  only tested on training data  $\rightarrow$  overfitting issue...
- $\rightarrow$  this model only works on simulated data, since for real data we do not have the cluster tags
  - $\rightarrow$  as mentioned, only subset of preclustered dataset used!

**Idea from my side:**

- $\rightarrow$  Implement an autoencoder to extract hidden features of cluster recognition
- $\rightarrow$  implement these features in e.g. agglomerative model to clusterize hits

How to implement this?

## Deadline for CALIFA ODSL Project:

- end of February/beginning of March
- what should we have at that point of time?
- How much fine-tuning can be done later on?
- Intentions to write paper or the like?



# Thank you!

**CALIFA @ Technical University of Munich (TUM)**

Roman Gernhäuser, Lukas Ponnath, Philipp Klenze, Tobias Jenegger



# Backup

# Invariant Slot Attention Model – also with time info

- Dimension of mask: → same as before!  $10 \times 3 \times 27 \times 112$
- Dimension of evt\_histogram\_array :  $10 \times \mathbf{2} \times 27 \times 112$

**Lr = 5e-5**

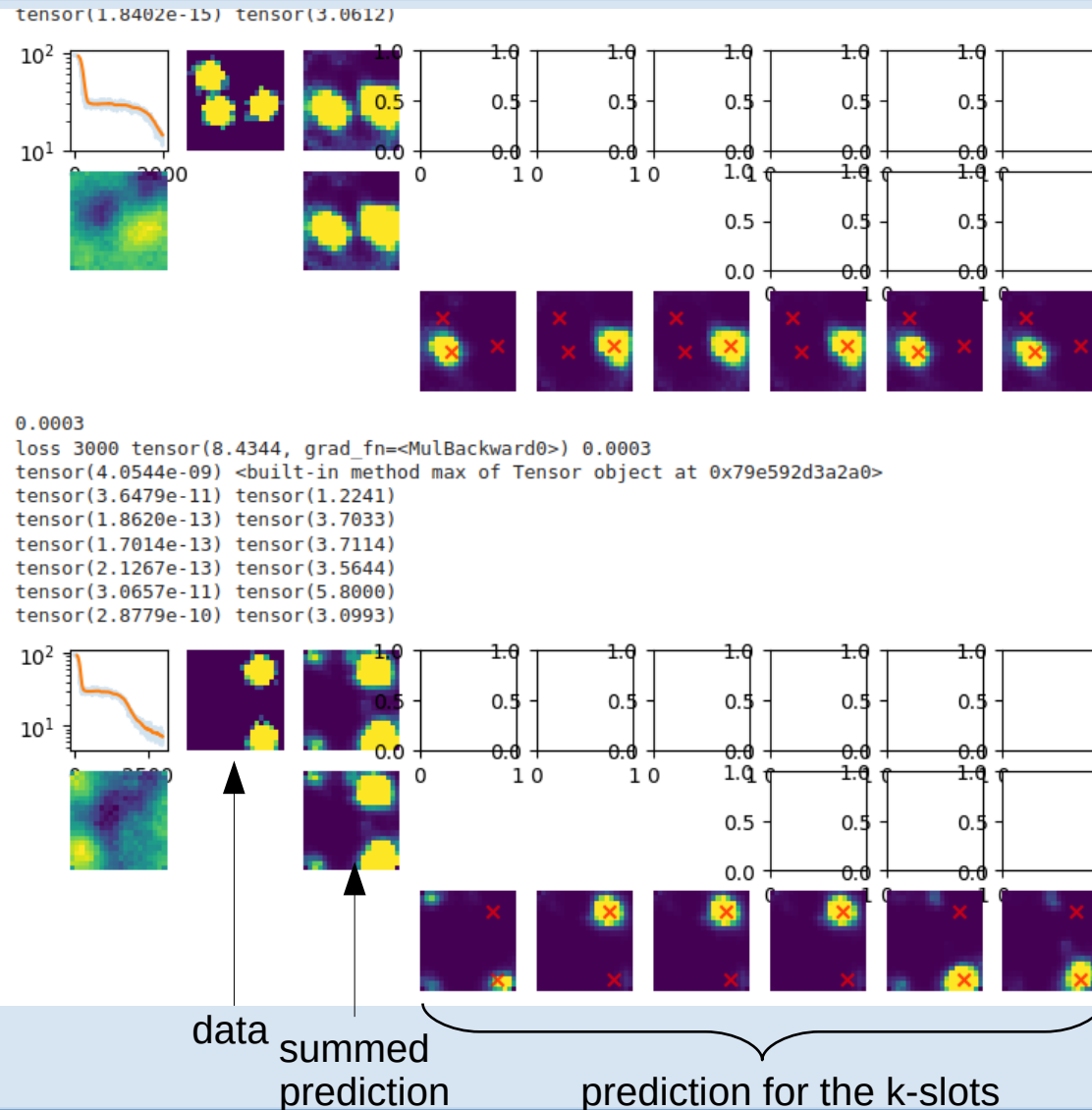
#observables:  
time & energy

```
self.gru = torch.nn.GRUCell(self.query_dim, self.query_dim)

kwargs = {'out_channels': hidden_dim, 'kernel_size': 5, 'padding': 2 }
#cnn_layers = [torch.nn.Conv2d(1,**kwargs)] old cnn, with one input channel, energy
cnn_layers = [torch.nn.Conv2d(2,**kwargs)] #now also with time info

for i in range(num_conv_layers-1):
```

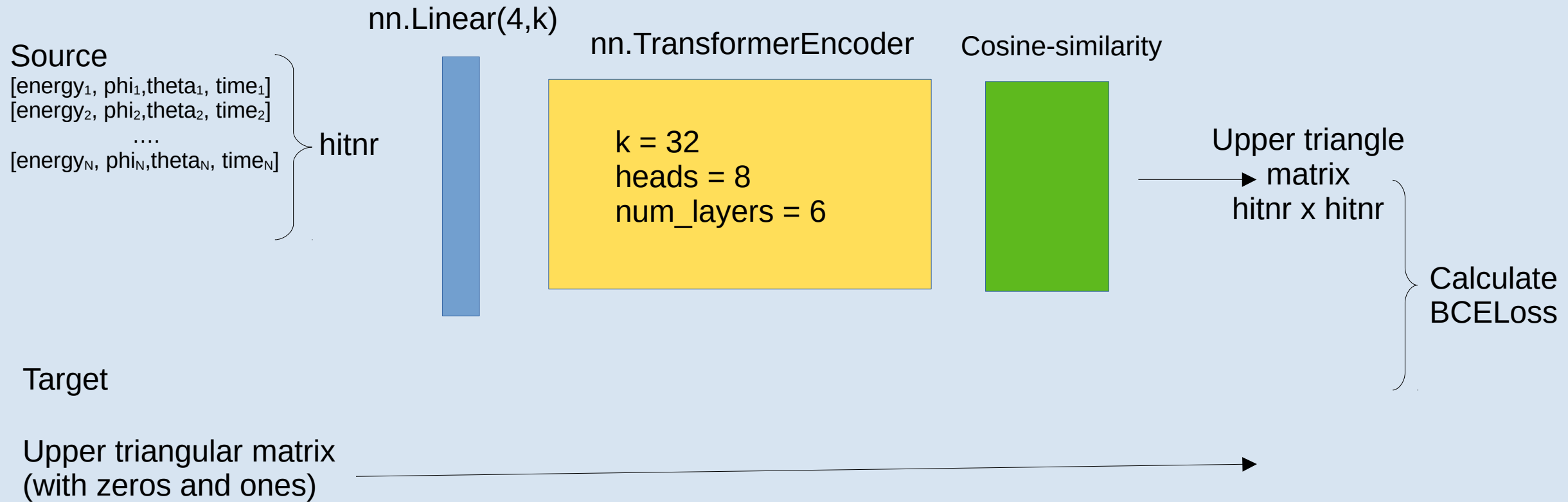
**Loss function does not converge!**



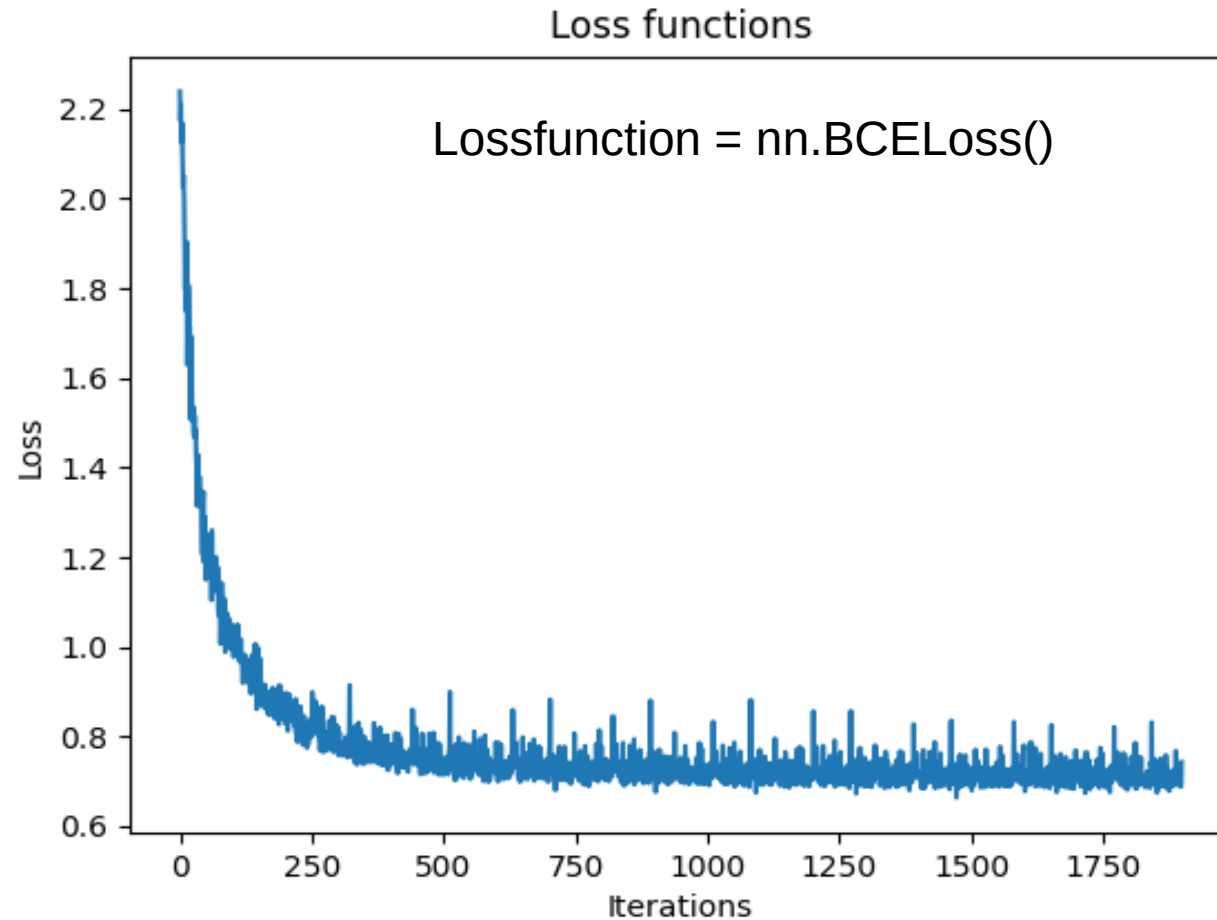
```
class AttModel(torch.nn.Module):
    def __init__(self):
        super().__init__()
        self.latent_dim = 32

        # self.encoder = TSPNEncoder(n_slots = 6)
        # self.encoder = SlotAttentionEncoder(n_slots = 6)
        self.encoder = AddNoiseEncoder(n_slots = 6)
        self.decoder = torch.nn.Sequential(
            torch.nn.Linear(self.latent_dim, 128),
            torch.nn.ReLU(),
            torch.nn.Linear(128, 256),
            torch.nn.ReLU(),
            torch.nn.Linear(256, NBINS*NBINS),
            torch.nn.Unflatten(-1, (NBINS, NBINS))
        )

    def forward(self, data):
        Nbatch, *_ = data.shape
        positions, queries = self.encoder(data)
        decoded = self.decoder(positions).exp()/2.
        reco = decoded.sum(dim = 1)
        return reco, queries, decoded
```



Batchsize = 64  
Feature number = 32  
n\_epochs = 10  
Loss\_rate =  $2e-4$   
Loss function = nn.BCELoss()

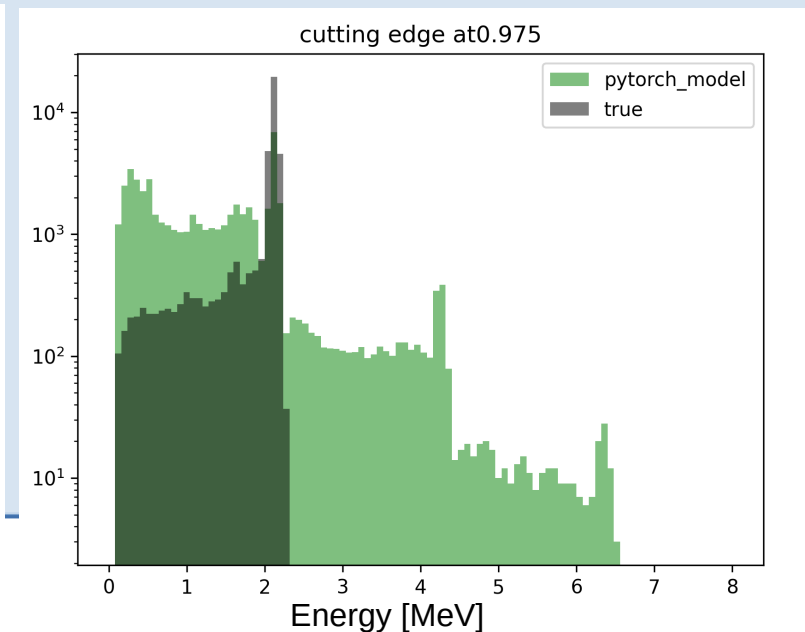
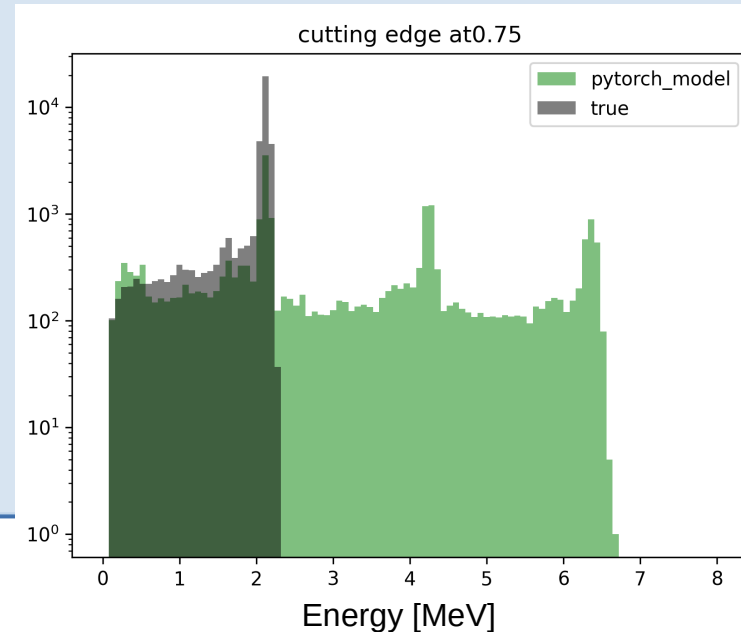
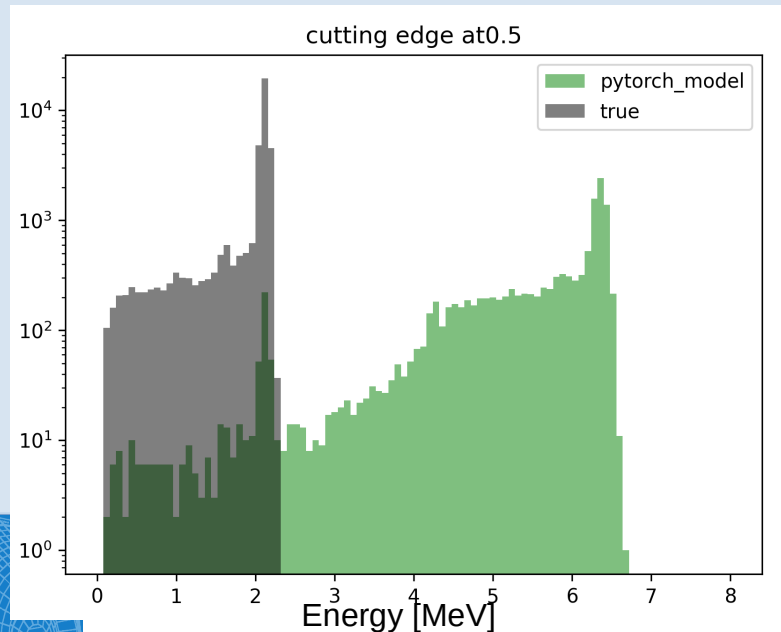
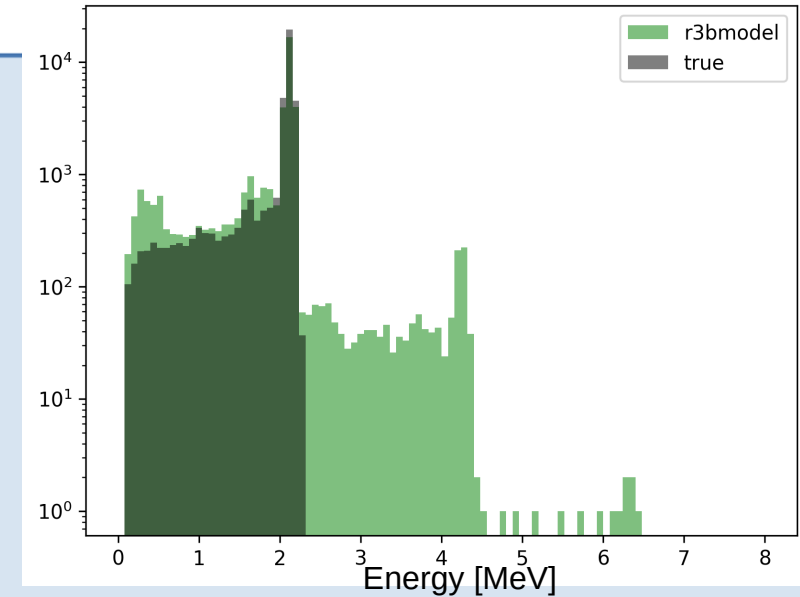


## How do the energy spectra look like?

How to clusterize hits from output of transformer model:

- 1) Take the upper triangular matrix  $\text{tri}[\text{hitnr} \times \text{hitnr}]$
- 2) set “merge cut”. If  $\text{tri}[i,j] > \text{“merge\_cut”}$  → hits belong to same cluster
- 3) do this for all combinations and merge them appropriately

## Standard Cluster vs True Clusters



# Why energy spectra so bad while loss function seems to decrease ?

Most entries in model output tensor  $\sim 0.5$ . This diminishes the loss BCELoss function!

## How to improve?

- Include some cut condition in the forward part of the transformer model

```
#out_ret_val = torch.where(ret_val > 0.7, torch.FloatTensor(1,requires_grad=True), torch.FloatTensor(0,requires_grad=True))
```

→ discontinuity of loss function → no learning!

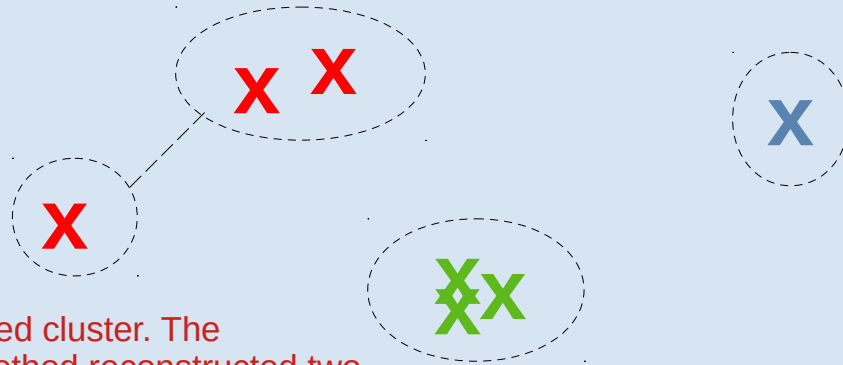
- Use linear net instead of cosine similarity

```
output_tensor = torch.cat([tensor_i.expand(-1, -1, expansion_factor, -1), tensor_j.expand(-1, expansion_factor, -1, -1)], dim=-1)
#small net:
net = nn.Sequential(
    nn.Linear(64,8),
    nn.ReLU(),
    nn.Linear(8,1),
    nn.Sigmoid()
)
res = net(output_tensor)
temp_res = torch.squeeze(res)
upper_tri_mask = torch.triu(torch.ones((temp_res.shape[1],temp_res.shape[1])),diagonal=1).bool() #out[1] is max hit number in batch
result = temp_res[:,upper_tri_mask]
```

No improvements!

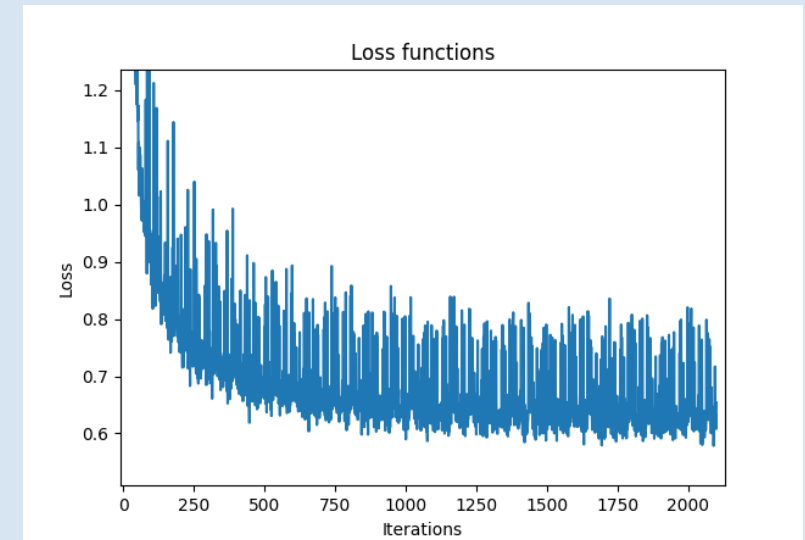
Idea:

1. Use first agglomerative method to cluster
2. Select events where we have too many clusters (false negative)



This is one true red cluster. The agglomerative method reconstructed two

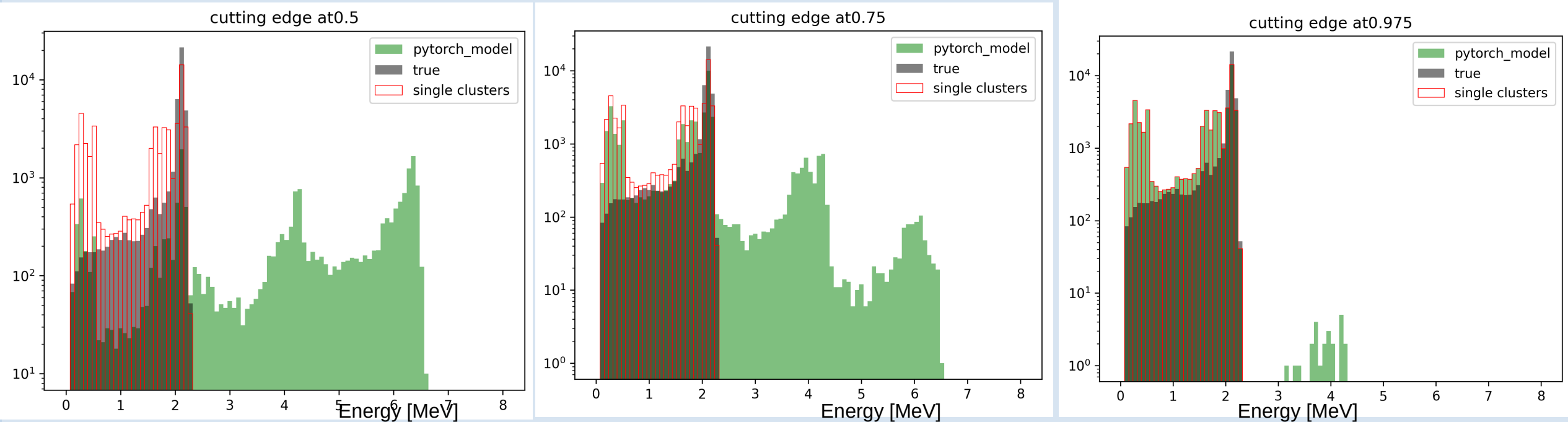
3. Feed the clusters to the transformer model (calculating cm of clusters)



**Transformer Model**



# Reconstruction with transformer model (after application of agglomerative model)



Cutting edge: model give output in range  $[0,1]$ . Cutting edge is threshold:  
 If cutting edge  $>$  pairwise cluster output  $\rightarrow$  clusters do not belong together  
 If cutting edge  $<$  pairwise cluster output  $\rightarrow$  clusters belong together

**No improvement in reconstruction,**  
 High cutting edge  $\rightarrow$  = single clusters  
 Low cutting edge  $\rightarrow$  too many clusters are merged

Since transformer method not successful, start with basic model:

Def init :

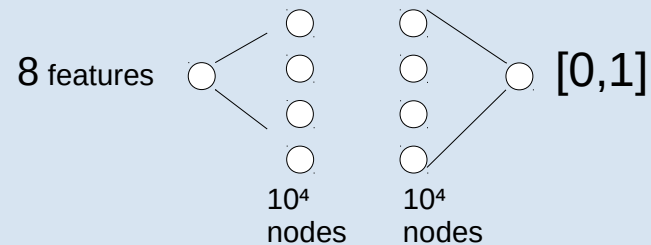
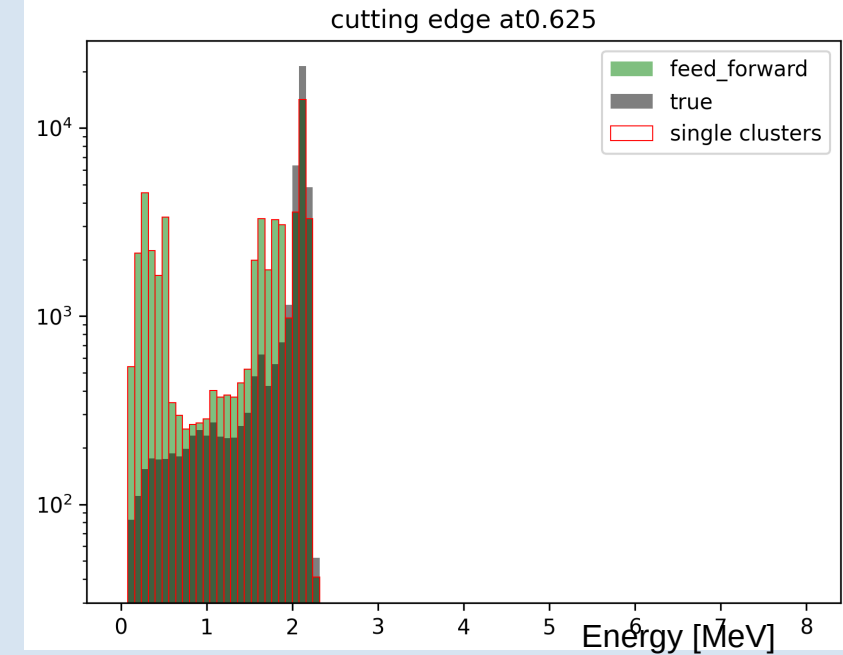
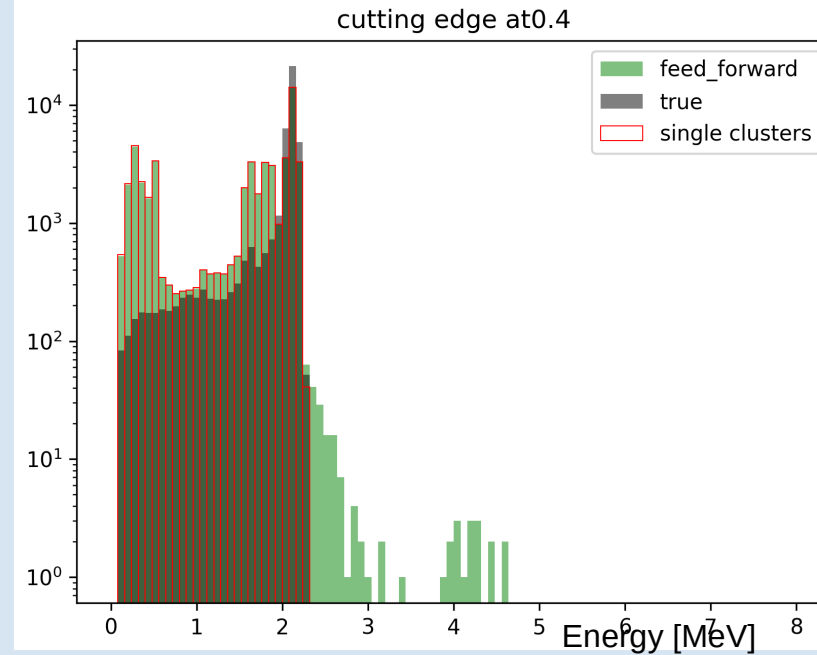
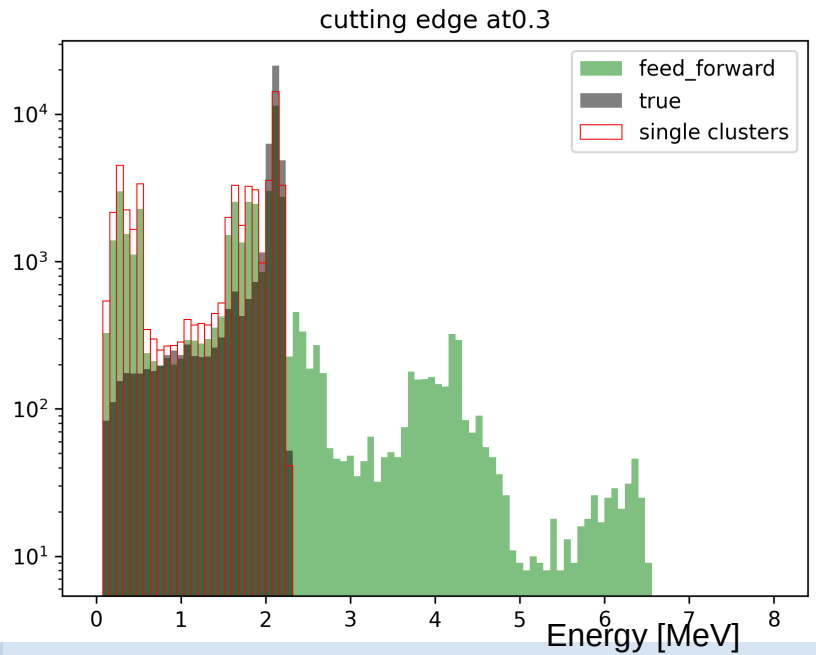
```
self.linear = torch.nn.Linear(8,64)
self.activation = torch.nn.ReLU()
self.linear_back = torch.nn.Linear(64,1)
```

....

Def forward:

```
output_tensor = self.linear(output_tensor)
output_tensor = self.activation(output_tensor)
output_tensor = self.linear_back(output_tensor)
output_tensor = torch.sigmoid(output_tensor)
output_tensor = torch.squeeze(output_tensor)
```

# Reconstruction with feed forward (after application of agglomerative model)

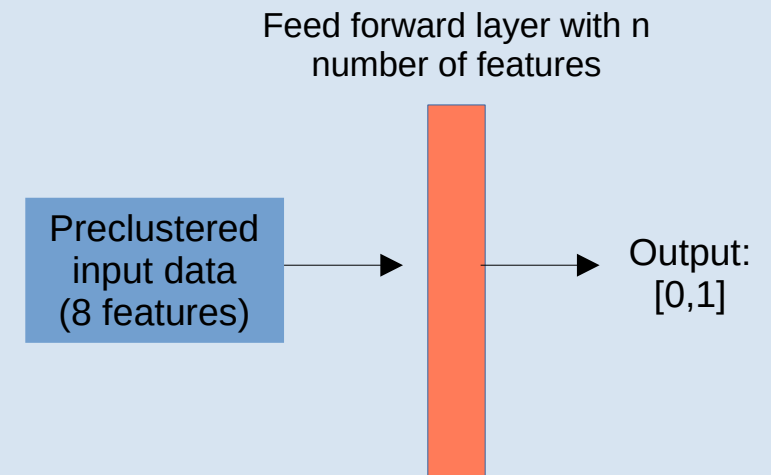


0: indep. hits  
1: belonging together

**No improvement in reconstruction!**

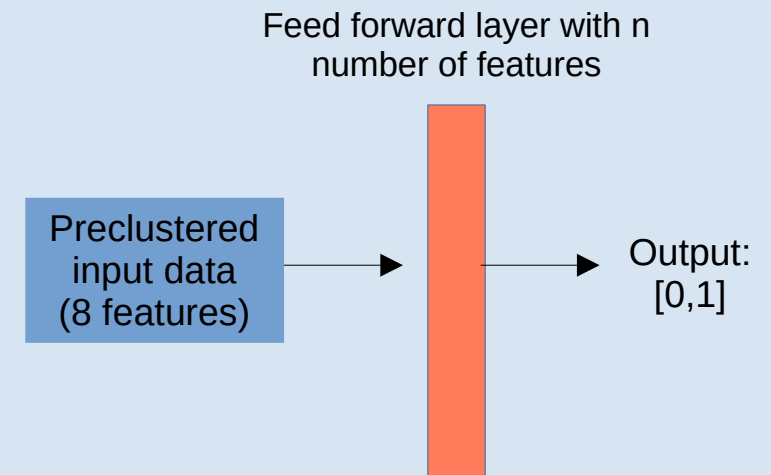
## Reconstruction with feed forward (after application of agglomerative model)

**Feature Size** vs Loss Rate for Single Feed Forward Model:

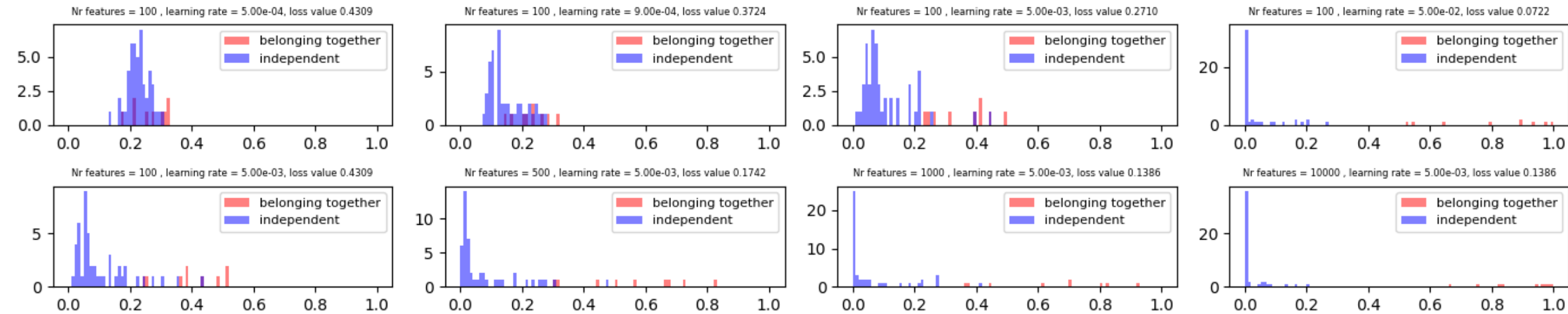


## Reconstruction with feed forward (after application of agglomerative model)

**Learning Rate** vs Loss Rate for Single Feed Forward Model:



# Prediction value distribution

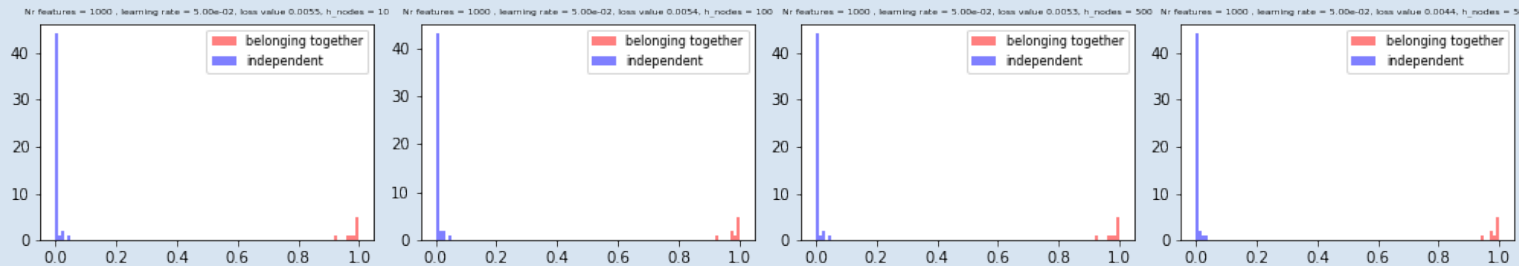


**Note: here I used only 10 events! Models should be over-determined....**

# Using more hidden layers....

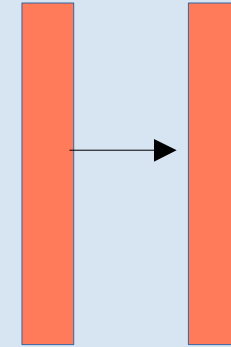
## One hidden layer:

with hidden layer from 10 to 5000 nodes



Features = 1000

Preclustered  
input data  
(8 features)

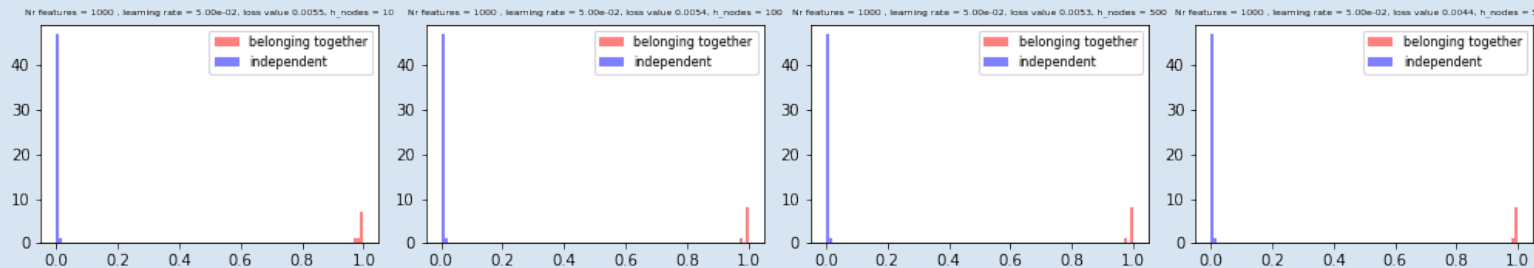


Features: 10-5000

Output:  
[0,1]

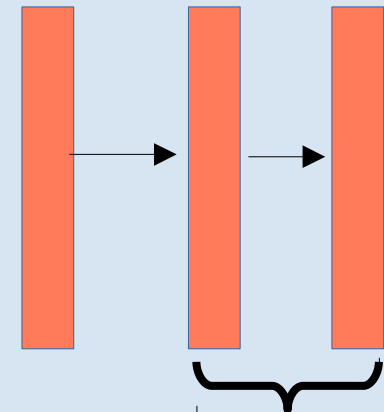
## Two hidden layers:

with two hidden layer from 10 to 5000 nodes



Features = 1000

Preclustered  
input data  
(8 features)



Features: 10-5000

Output:  
[0,1]

# Applying Feed forward with two hidden layer on larger data...

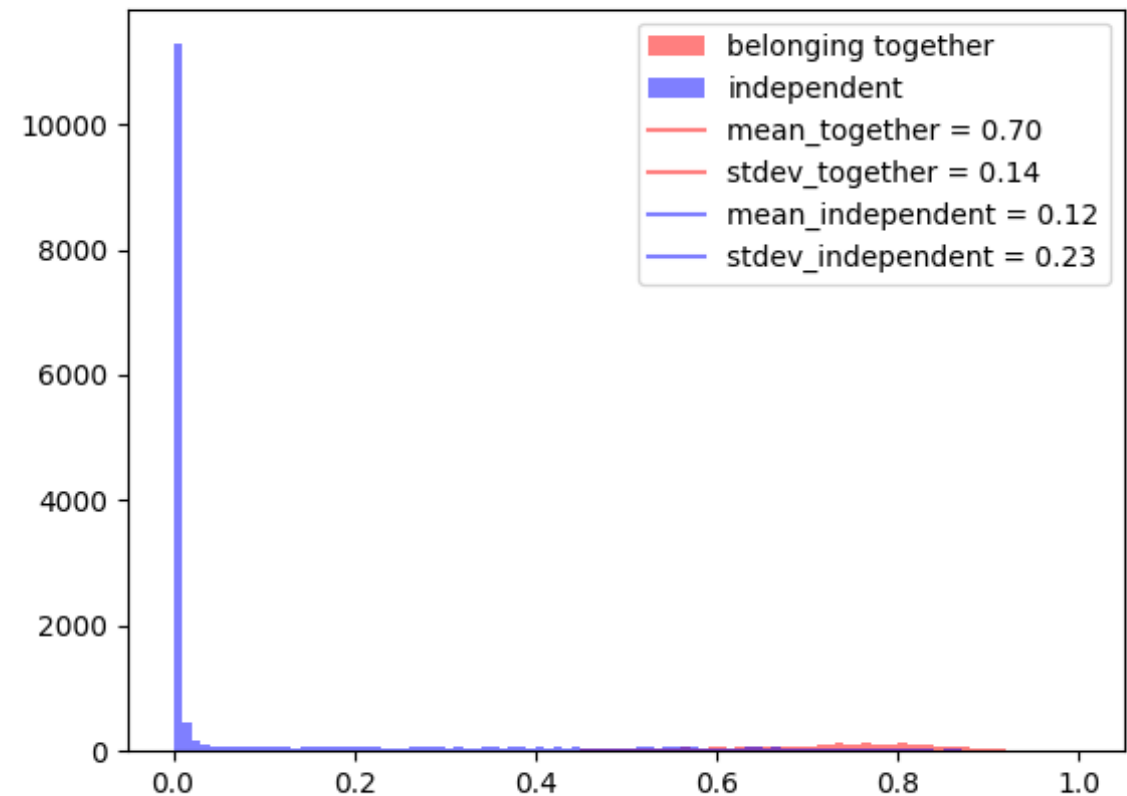
Model:

First layer: 1000 features

Second layer: 100 features

Third layer: 100 features

Lr= 5e-2





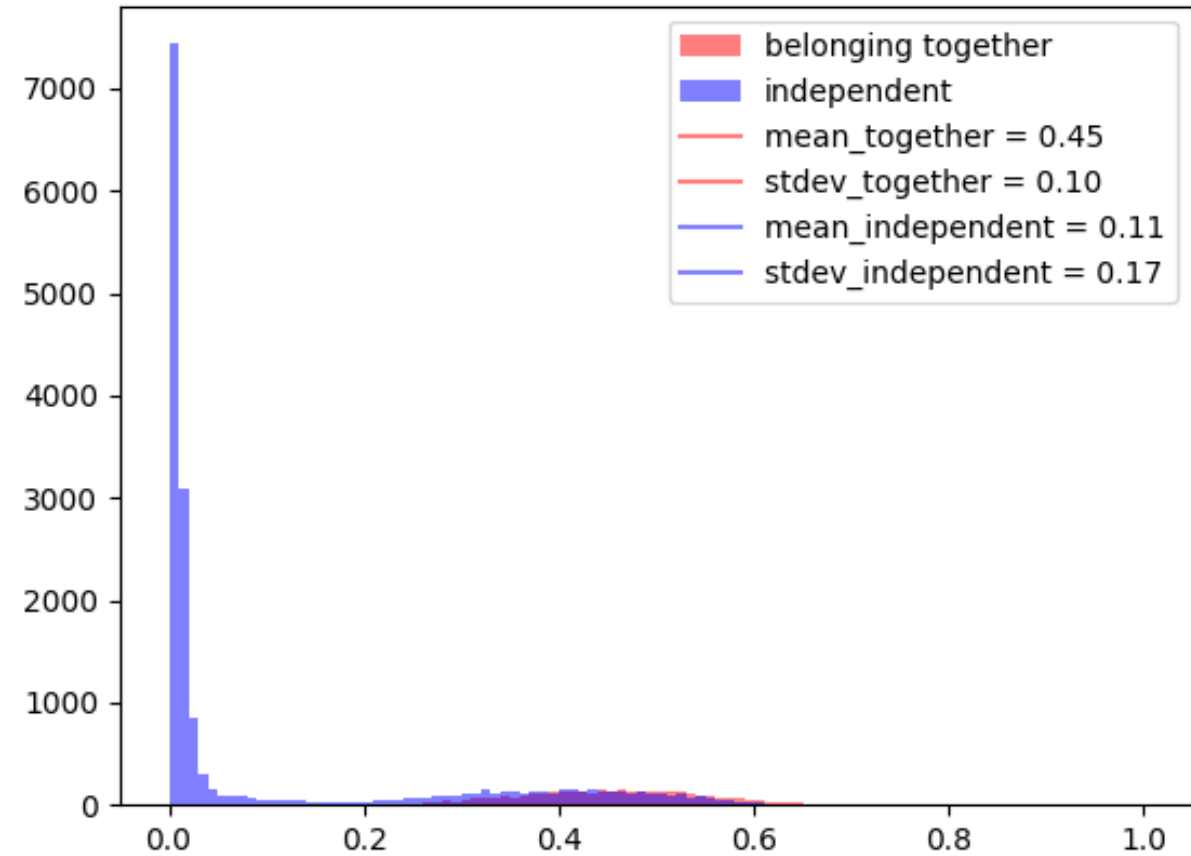
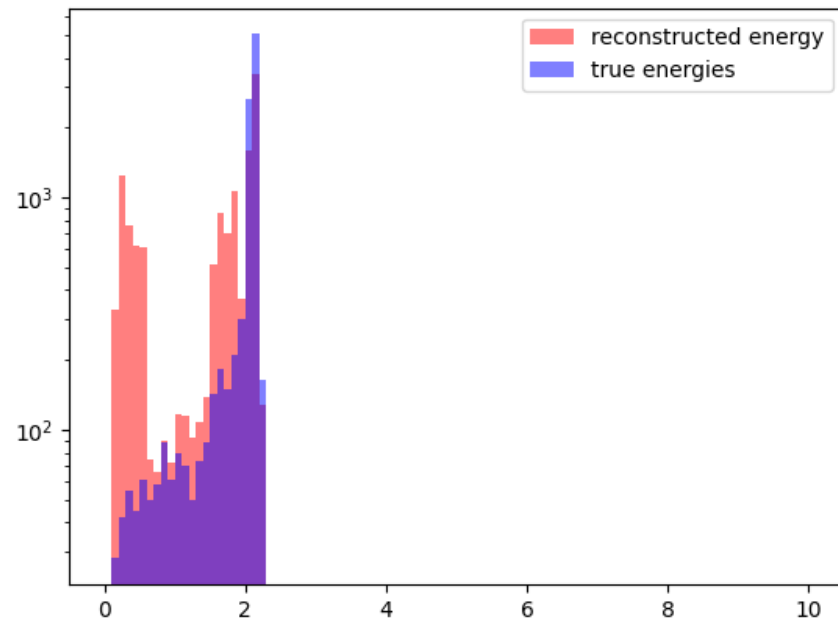
Model:

First layer: 1000 features

Second layer: 100 features

Third layer: 100 features

**Lr= 5e-3**

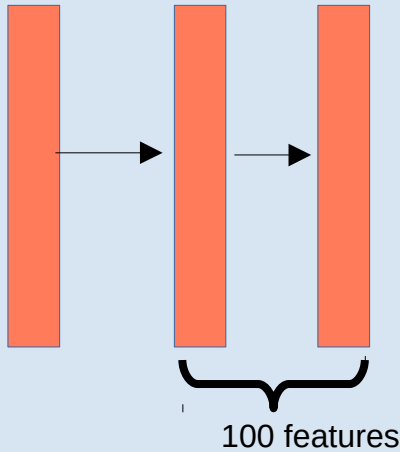


# Using more features: delta\_E, delta\_theta, delta\_phi, delta\_t

Input features: 12 = (8+4)

Features = 1000

Preclustered  
input data  
(12  
features)



Output:  
[0,1]

Combine if output > 0.75

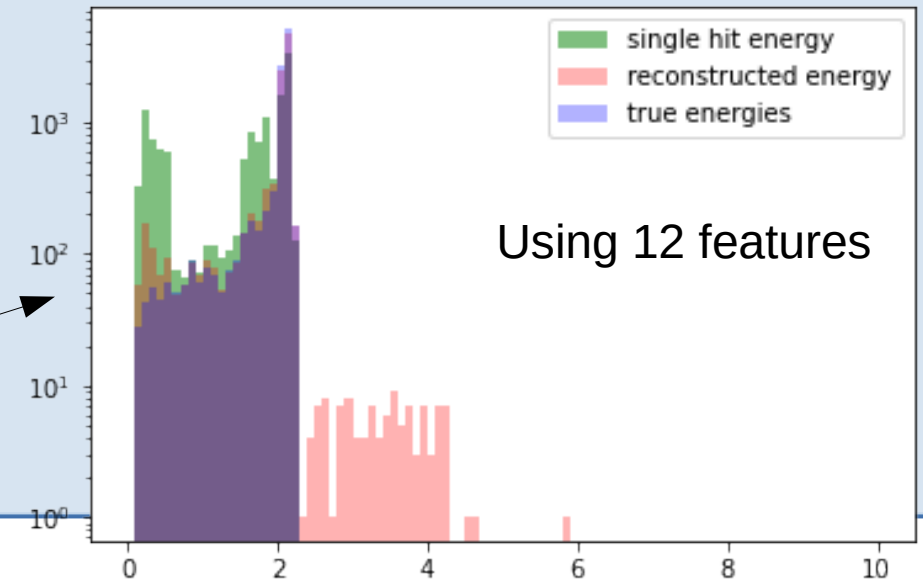
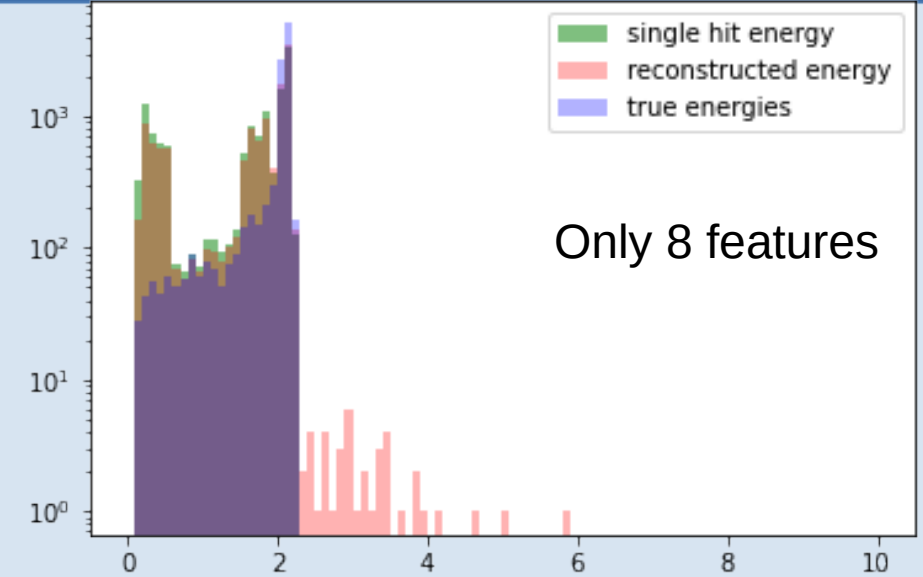
Lr = 5e-3

Bigger data sample used here

Epochs = 10000

**This is good!**

But be aware: it's training data,...  
I have to provide validation data



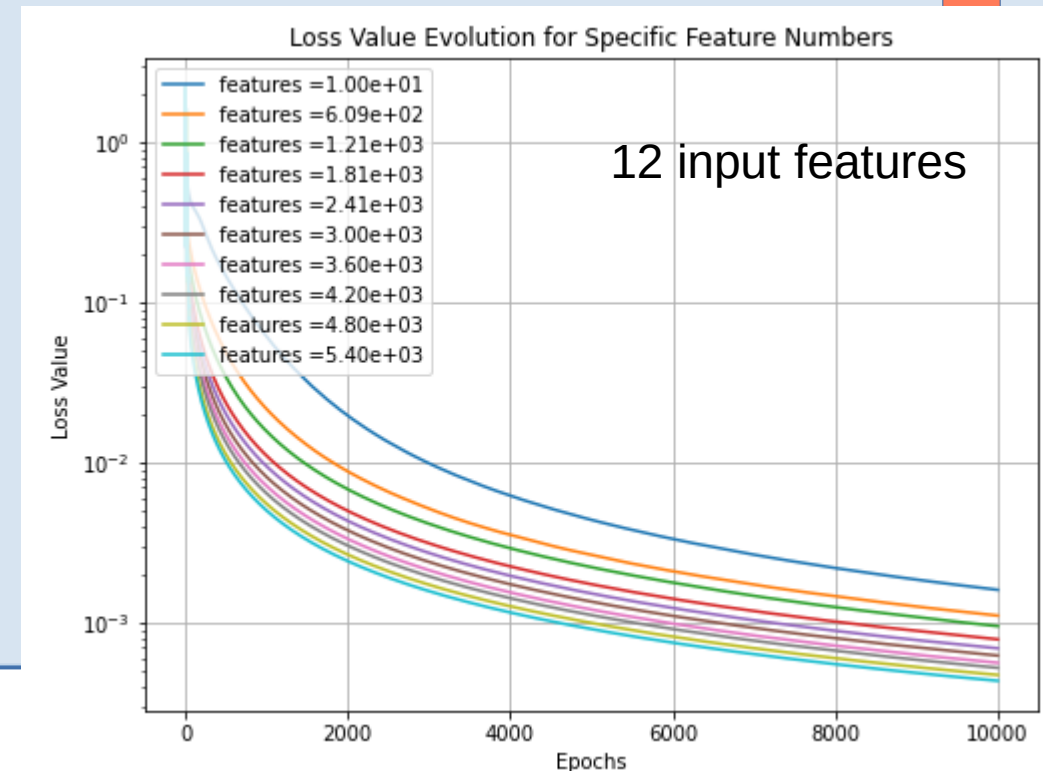
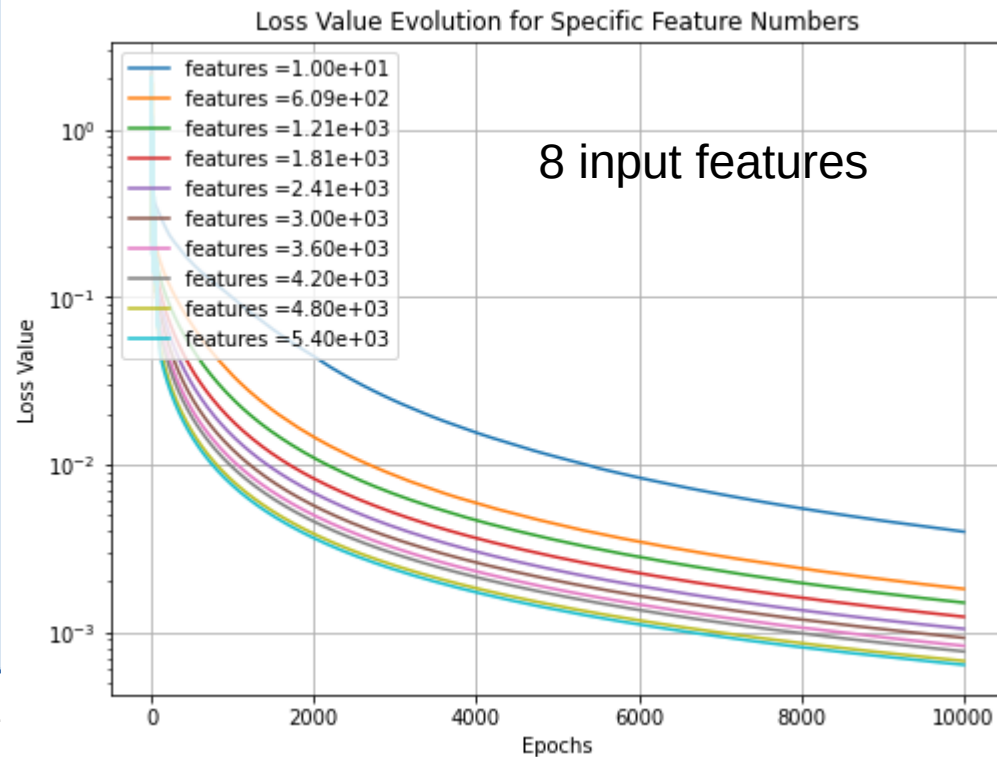
# Loss: 8 vs 12 features

Here I use simple feed forward model, small data sample (10events)

Feed forward layer with n number of features

Preclustered  
input data  
(8 features)

Output:  
[0,1]



## Pros:

- simple model, easy to deploy

## Cons:

- does not reconstruct well the clusters
- NOT translation invariant
- does not focus on the whole event, but only looks at the single hit combinations per time

## Idea from my side:

- Implement an autoencoder do extract hidden features of cluster recognition
- implement this features in e.g. agglomerative model to clusterize hits

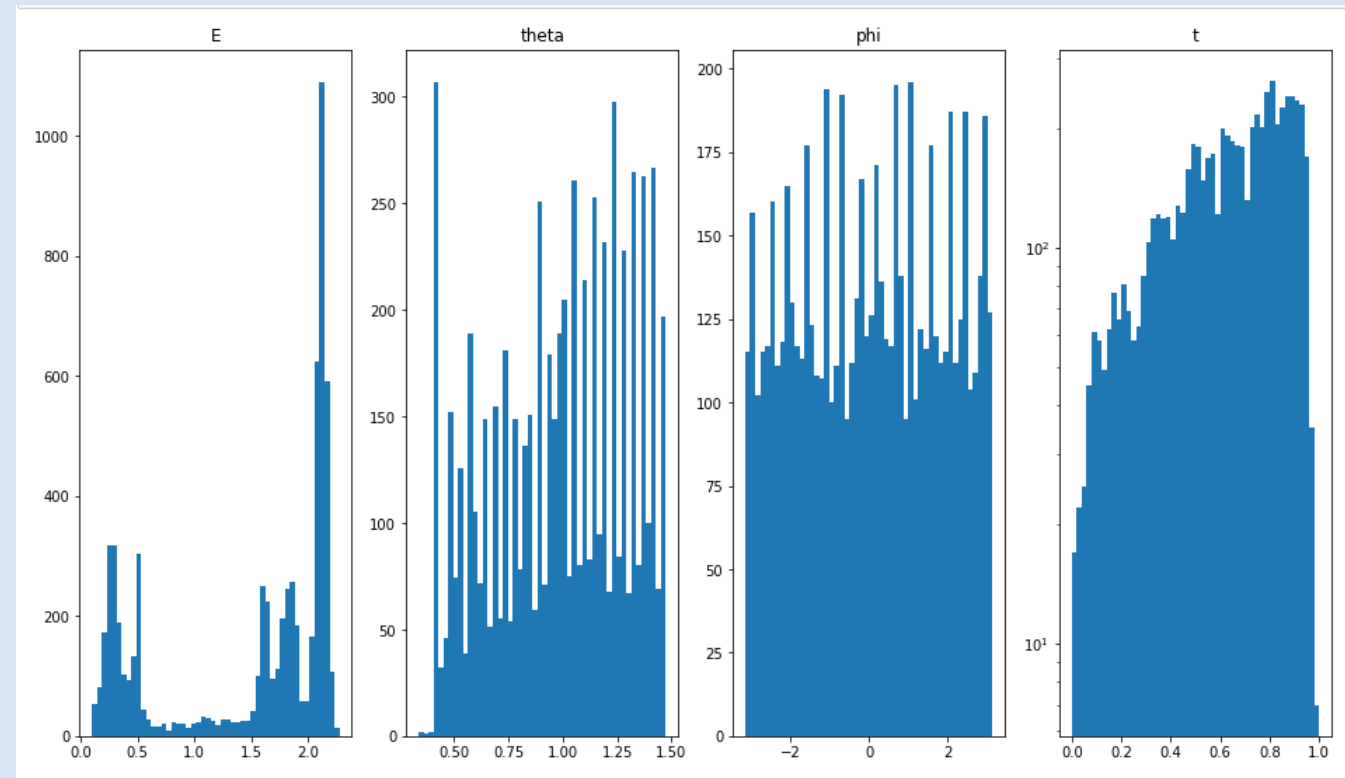
How to implement this?

We applied agglomerative cluster  
What you see here is already clustered data  
BUT all events where you have too many clusters

Agglomerative clustering has also  
drawbacks:

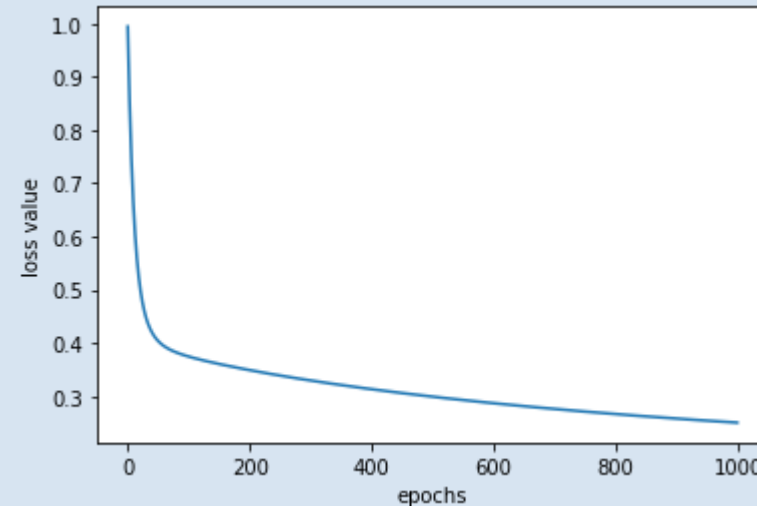
Clustering is done using E,theta,phi,time  
where the time is taken as radius

- time starts from 0 up to...
- for time  $\sim 0$  everything is clustered together!

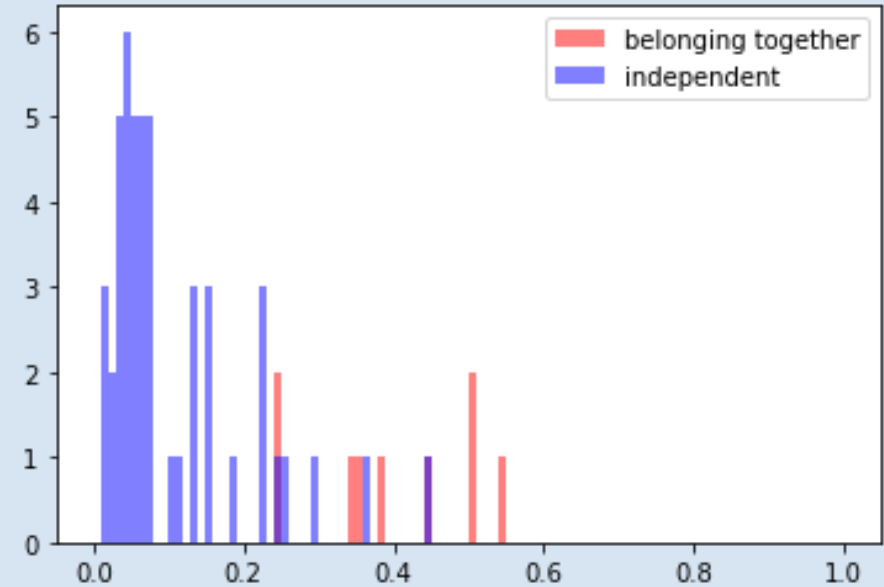


[0.	1.128016	0.913283	-2.69977	0.27121927]
[1.	0.952915	0.519144	0.878809	0.95261156]
[1.	0.434082	0.56767	-2.311936	0.93668561]
[2.	2.209619	1.105468	2.333682	0.52416023]
[3.	1.71197	1.189179	1.119164	0.78435412]
[3.	0.461599	0.777167	-0.144536	0.75403444]
[4.	2.029792	1.287946	1.30295	0. ]
[5.	0.756178	1.33176	-2.309371	0.68407621]
[6.	2.1872	0.476108	2.85122	0.8842718 ]
[7.	1.921325	1.287711	-2.407052	1. ]
[7.	0.24677	0.972258	1.512456	0.95922116]
[8.	2.12424	1.190137	0.532672	0.63181968]
[9.	2.165567	0.780356	1.333373	0.52338727]
[10.	1.927867	0.979187	-1.390222	0.83159162]
[10.	0.155214	1.058012	-2.217043	0.81032574]
[11.	2.17151	0.581699	-0.050691	0.75626808]
[12.	2.145626	0.444849	1.000789	0.69102447]
[13.	2.124236	0.907799	1.22338	0.93919196]
[14.	1.594131	0.931724	-0.470997	0.35572331]
[14.	0.518059	0.958237	1.420141	0.31123073]
[15.	1.55693	0.851083	-1.916148	0.3734817 ]
[15.	0.206072	1.377727	0.637334	0.43616126]
[16.	1.702479	1.014433	2.493843	0.51384286]
[17.	1.654359	1.057384	1.224166	0.95706616]
[18.	1.647063	1.212656	-0.142311	0.9388571 ]
[18.	0.479129	1.147077	2.998456	0.88034231]
[19.	0.887473	0.777098	-2.89615	0.68520254]
[20.	2.10968	0.773892	1.628614	0.85522686]
[21.	1.16823	1.331397	-1.120366	0.96468413]
[21.	0.287956	1.237488	-2.217654	0.97093477]
[22.	2.154496	0.828804	-0.161298	0.79557305]
[23.	1.302665	0.712428	-0.693234	0.61380342]
[24.	1.599256	0.952238	-2.448033	0.93131397]
[24.	0.505705	1.100893	-2.893951	0.92312015]
[25.	2.141112	0.839635	0.501495	0.51226942]
[26.	2.075262	1.398027	1.62944	0.71680964]
[27.	2.107771	1.101413	-2.803576	0.52078744]
[28.	2.133439	1.160672	0.212617	0.49220052]
[29.	0.432454	1.237877	-2.503905	0.94673509]

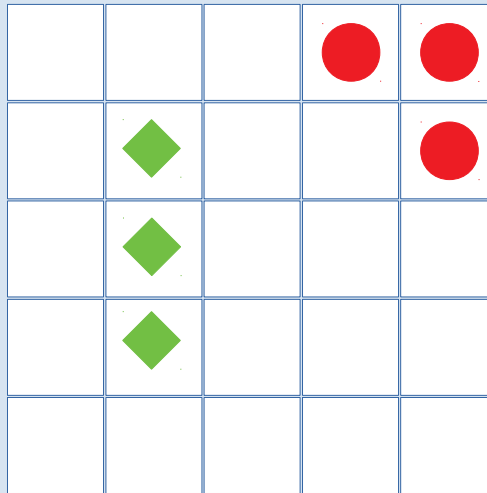
Lr = 9e-4  
torch.nn.Linear(8,1000)



Prediction value distribution



i,i+1,i+2 belong together, in sets of 3



Small grid (10x10)  
Two (true clusters), with sparse data  
Gaussian energy distribution of cluster hits

**With machine learning tools we should get at least as good as with the standard clustering (even without considering time information)!**