# 16-720A — Spring 2021 — Homework 5

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April 25, 2021

#### Part 1

#### Q1.1

$$softmax(x_i) = \frac{e^{x_i}}{\sum_{j=1}^d e^{x_i}} \tag{1}$$

Apply translation c to the softmax function:

$$softmax(x_i + c) = \frac{e^{x_i + c}}{\sum_{j=1}^d e^{x_i + c}} = \frac{e^c e^{x_i}}{e^c \sum_{j=1}^d e^{x_i}} = \frac{e^{x_i}}{\sum_{j=1}^d e^{x_i}} = softmax(x_i)$$
(2)

Equation 2 proves that softmax function is invariant to translation. If we set c to 0, the range of values of the numerator  $e^{x_i}$  is  $(0, +\infty)$ . If we set c to  $-\max(x_i)$ , the range of values of the numerator  $e^{x_i}$  is (0, 1]. This prevents the "overflow" of the softmax function.

#### Q1.2

For softmax function, the range of each element is (0, 1], and the sum over all element is 1. One could say that "softmax takes an arbitrary real valued vector x and turns it into a **probability distribution**."

Three step process of softmax:

- (1)  $s_i = e^{x_i}$ : Compute the exponential value of each element, which represents the outcome frequency of  $x_i$ .
- (2)  $S = \sum s_i$ : Compute the sum of the exponential values of every elements, which represents the total frequency.
- (3) Divide  $s_i$  by S to compute the probability of each element  $x_i$ .

#### Q1.3

A 2-layer MLP without activation function:

$$f_1(x) = A_1 x + b_1 (3)$$

$$f_2(f_1(x)) = A_2(f_1(x)) + b_2 = A_2(A_1x + b_1) + b_2 = (A_2A_1)x + (A_2b_1 + b_2) = A^*x + b^*$$
(4)

where 
$$A^* = A_2 A_1$$
 and  $b^* = A_2 b_1 + b_2$  (5)

From equation 4 and 5, we prove that a 2-layer MLP without activation function is still a linear classifier. Since the linearity applies to more layers by induction, we prove that a MLP without activation function is still a linear classifier.

### Q1.4

$$\sigma(y) = \frac{1}{1 + e^{-y}} \tag{6}$$

$$\frac{d\sigma(y)}{dy} = \frac{d}{dy}(\frac{1}{1+e^{-y}}) = \frac{0 - (-e^{-y})}{(1+e^{-y})^2} = \frac{(1+e^{-y}) - 1}{1+e^{-y}} \frac{1}{1+e^{-y}}$$
(7)

$$= (1 - \frac{1}{1 + e^{-y}}) \frac{1}{1 + e^{-y}} = (1 - \sigma(y))\sigma(y)$$
(8)

### Q1.5

From the notional suggestions, we know that

$$\frac{\partial J}{\partial y} = \delta \in \mathbb{R}^{k \times 1} \tag{9}$$

$$W \in \mathbb{R}^{k \times d} \tag{10}$$

$$x \in \mathbb{R}^{d \times 1} \tag{11}$$

$$b \in \mathbb{R}^{k \times 1} \tag{12}$$

Given y = Wx + b, we know that  $y_i = W_{ij} x_j + b_j$ . First compute

$$\frac{\partial J}{\partial x_j} = \frac{\partial J}{\partial y} \frac{\partial y}{\partial x_j} = \delta W_{ij} \tag{13}$$

$$\frac{\partial J}{\partial W_{ij}} = \frac{\partial J}{\partial y} \frac{\partial y}{\partial W_{ij}} = \delta x_j \tag{14}$$

$$\frac{\partial J}{\partial b_i} = \frac{\partial J}{\partial y} \frac{\partial y}{\partial b_i} = \delta * 1 = \delta \tag{15}$$

Then derive the matrix form

$$\frac{\partial J}{\partial x} = W^T \delta \in \mathbb{R}^{d \times 1} \tag{16}$$

$$\frac{\partial J}{\partial W} = \delta x^T \in \mathbb{R}^{k \times d} \tag{17}$$

$$\frac{\partial J}{\partial b} = \delta \in \mathbb{R}^{k \times 1} \tag{18}$$

#### Q1.6

(1) As shown in Figure 1, sigmoid function is in range (0, 1) and its derivative is in range (0, 0.25]. Thus, when the neural network is deep, and we keep doing back-propagation (multiply gradients that are less than 1), there will be a "vanishing gradient" problem.

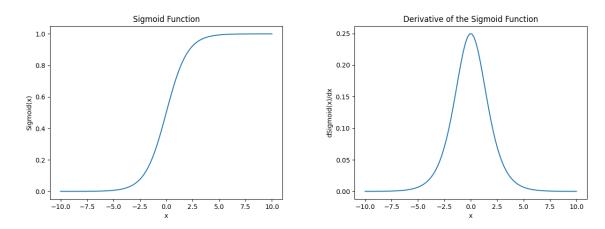


Figure 1: Sigmoid Function and its Derivative

(2) As shown in Figure 1 and 2, sigmoid function is in range (0, 1) and tanh function is in range (-1, 1). We prefer tanh function because it could reach the negative part (when x < 0, tanh(x) < 0) and it is also zero-centered.

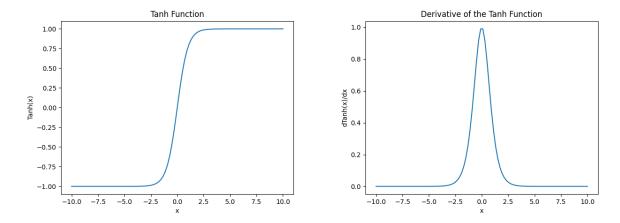


Figure 2: Tanh Function and its Derivative

(3) As shown in Figure 1 and 2, the derivative of the sigmoid function is in range (0, 0.25] and the derivative of the tanh function is in range (0, 1]. Since the range of the gradients of tanh function is larger than sigmoid function, it has less of a vanishing gradient problem.

(4)

$$\sigma(2y) = \frac{1}{1 + e^{-2y}} \tag{19}$$

$$tanh(y) = \frac{1 - e^{-2y}}{1 + e^{-2y}} = \frac{1 + e^{-2y} - 2 - 2e^{-2y} + 2}{1 + e^{-2y}} = 2(\frac{1}{1 + e^{-2y}}) - 1 = 2\sigma(2y) - 1$$
 (20)

(5) ReLU is the Rectified Linear Unit (as shown in Figure 3).

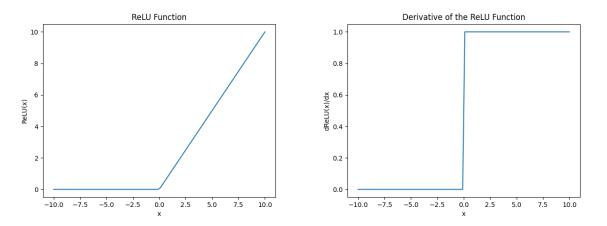


Figure 3: ReLU Function and its Derivative

$$ReLU(x) = max(0, x)$$
 (21)

Some benefits of the ReLU function:

- 1. ReLU solves the vanishing gradient problem that Sigmoid and Tanh have.
- 2. The computational cost of ReLU (Rectified Linear Unit) is lower than Sigmoid and Tanh since it doesn't require exponential computation.

## Part 2

## Q2.1.1

If we initialize the network with all zeros, the model will generate all outputs as 0 after the forward propagation. When doing back propagation, the gradients of each of the perceptron are the same. Thus, all parameters will have same updates. This makes the neural network symmetric and unable to train

If we initialize the weight and bias to the same constant value instead of zero, the training result will still be poor. Since the updates of all parameters are the same, the model fails to break the symmetry.

# Q2.1.3

The random initialization based on input and output size creates randomness for each layer and thus break the symmetry of the model. Scaling the random initialization based on input and output size works as a normalization method and keeps the variance of the gradients in a certain range, which prevents gradient vanishing/explosion and increases the training efficiency.

## Q2.4

```
Training Loop
                           ###########
itr: 00
           loss: 69.07
                     acc: 0.00
itr: 100
           loss: 38.67
                     acc: 0.67
          loss: 30.92 acc : 0.67
loss: 26.28 acc : 0.67
loss: 23.26 acc : 0.72
loss: 20.87 acc : 0.85
itr: 200
itr: 300
itr: 400
          loss: 23.26
itr: 500
           loss: 20.87
                     acc: 0.85
######
              Training Loop End
                           ###########
```

Figure 4: Screenshot of the Output of the Training Loop

### Q2.5

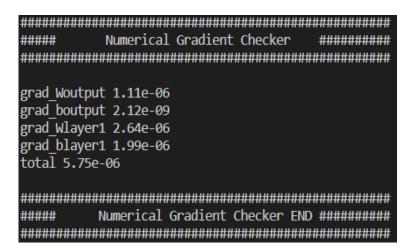


Figure 5: Screenshot of the Output of the Numerical Gradient Checker

# Q3.1

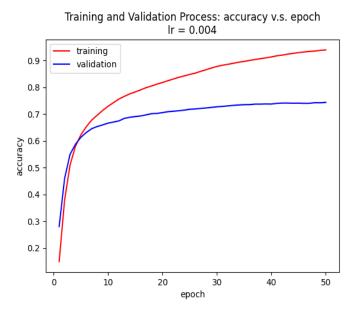


Figure 6: Training and Validation Process (Accuracy vs Epoch)

## Q3.2

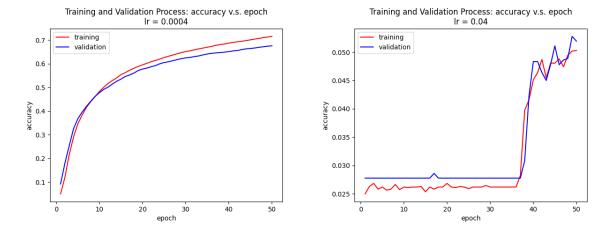


Figure 7: Training and Validation Process for the Two New Learning Rate

Learning rate is the **step size** of every updates, changing the learning rate will affect the convergence and the learning speed. When learning rate is 10 times larger (4e-2), the accuracy decreases and the training process is not smooth (oscillation occurs) since the step size is too big. When learning rate is one tenth of the default setting (4e-4), the accuracy decreases since the step size is too small, so it requires more than 50 epochs to converge to the optimum.

# Q3.3

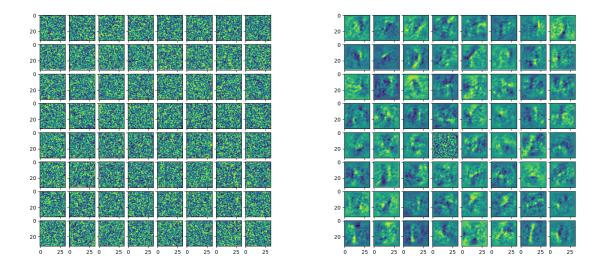


Figure 8: Visualization of the First Layer Weights (left: right after Xavier Initialization, right: after Training Loop)

As shown in Figure 8, the first layer weights right after the Xavier Initialization are just random patterns (initialize randomly). After the whole training process (50 epochs), the first layer weights show some specific patterns.

# Q3.4

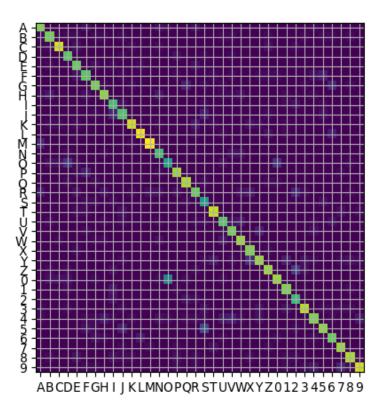


Figure 9: The Confusion Matrix on the Test Set

From Figure 9, we can see that 0 (zero) and O (alphabet O), 5 (five) and S (alphabet S) are mostly commonly confused since they are brighter than the other grids. The reason is that they look similar, so the model might misclassify the characters.

# Q4.1

The two big assumptions that the sample method makes are:

- (1) Every characters are isolated and don't have connection with the neighbor characters since the sample method is based on the extraction of the connected area.
- (2) Every characters need to be fully-connected since the sample method extracts connected pixels. As shown in Figure 10, if the characters are not fully-connected (ex. TO DO LIST) or some characters are connected together (ex. HAIKUS ARE EASY), the characters detection might fail.

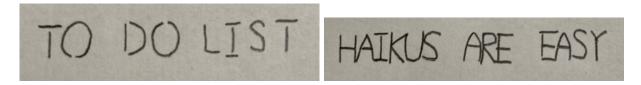


Figure 10: Example Images of Possible Characters Detection Failure

# Q4.2

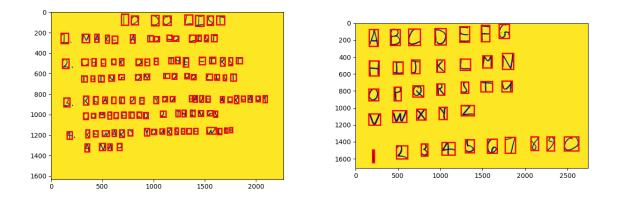


Figure 11: Visualization of the Bounding Boxes for 01\_list and 02\_letters

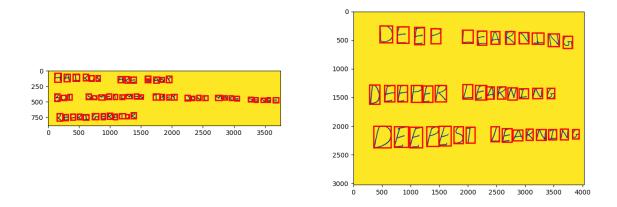


Figure 12: Visualization of the Bounding Boxes for 03\_haiku and 04\_deep

# Q4.3



Figure 13: Screenshot of the Extracted Text for 01\_list and 02\_letters



Figure 14: Screenshot of the Extracted Text for 03\_haiku and 04\_deep

# Q5.2

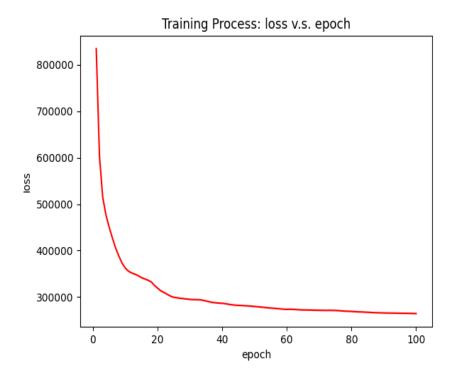


Figure 15: Training Process (Loss vs Epoch)

Using the provided default setting, the training loss curve converges to the optimum. The final total squared loss is around 260000.

# Q5.3.1

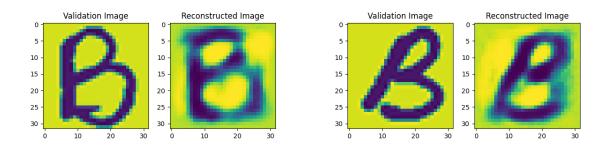
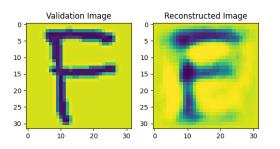


Figure 16: 2 Validation and Reconstructed Images of Class B



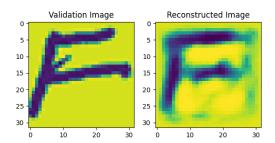
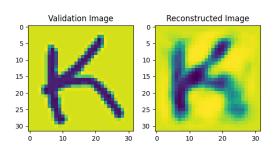


Figure 17: 2 Validation and Reconstructed Images of Class F



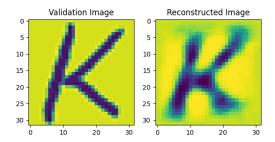
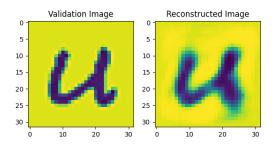


Figure 18: 2 Validation and Reconstructed Images of Class K



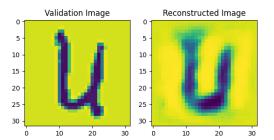
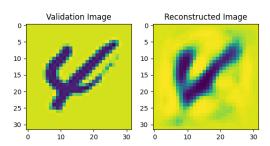


Figure 19: 2 Validation and Reconstructed Images of Class u



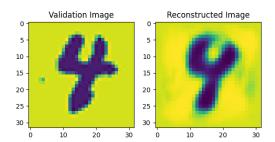


Figure 20: 2 Validation and Reconstructed Images of Class 4

Compared to the original validation images, the reconstructed images are blurred since it is impossible for the autoencoder to fully reconstruct the input images.

## Q5.3.2

The average PSNR across all validation images = 16.397221387836495

## Q6.1.1

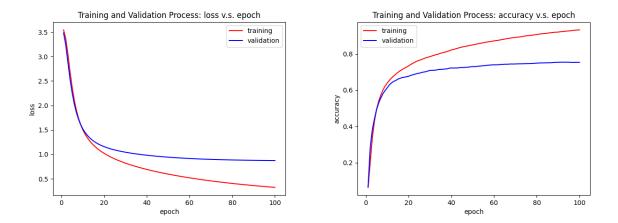


Figure 21: Training and Validation Process of the FC Network on the NIST36 dataset (Loss and Accuracy)

According to Figure 21, the loss of the training and validation dataset are 0.32 and 0.87, and the accuracy of the training and validation dataset are 93% and 75%. The accuracy of the test dataset is 76%.

# Q6.1.2

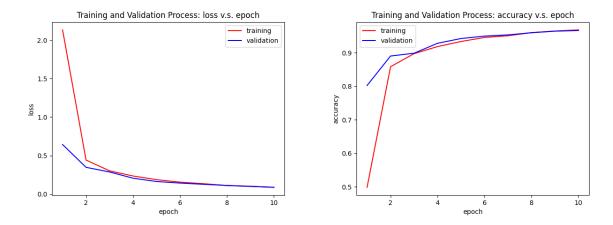


Figure 22: Training and Validation Process of the CNN on the NIST36 dataset (Loss and Accuracy)

According to Figure 22, the loss of the training and validation dataset are both 0.09, and the accuracy of the training and validation dataset are both 97%. The accuracy of the test dataset is 88%. Compared the performance of the Fully-connected Network and the Convolutional Neural Network, we know that the Convolutional Neural Network has higher accuracy and lower computational cost.

### Q6.1.3

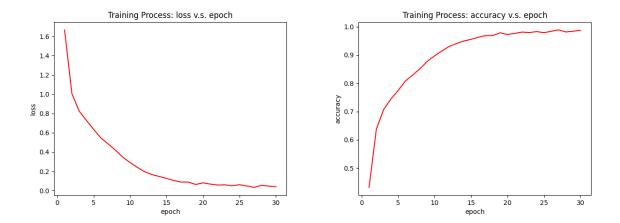


Figure 23: Training Process of the CNN on the CIFAR-10 dataset (Loss and Accuracy)

According to Figure 23, the loss of the training dataset is 0.04, and the accuracy of the training dataset is 99%. The accuracy of the test dataset is 77%.

### Q6.1.4

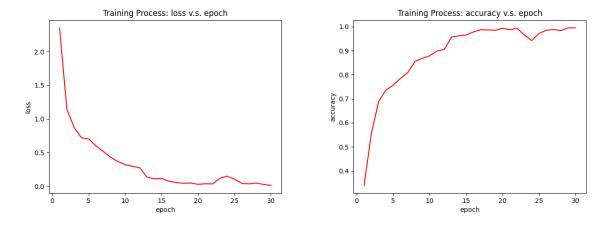


Figure 24: Training Process of the CNN on the SUN dataset (Loss and Accuracy)

According to Figure 24, the loss of the training dataset is 0.01, and the accuracy of the training dataset is 99%. The accuracy of the test dataset is 74%. Compared the result with the one from Assignment 01 (The accuracy of the BoW method on test dataset is 64.75%), we know that the Convolutional Neural Network has better performance (higher accuracy).

## Q6.2

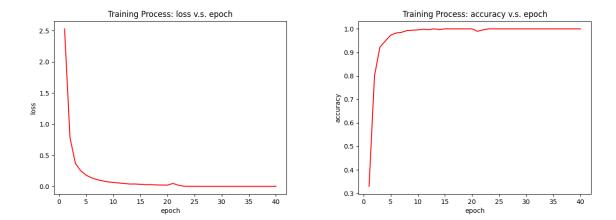


Figure 25: Training Process of the Fine-tune Single Layer Classifier on Oxford-Flower17 dataset (Loss and Accuracy)

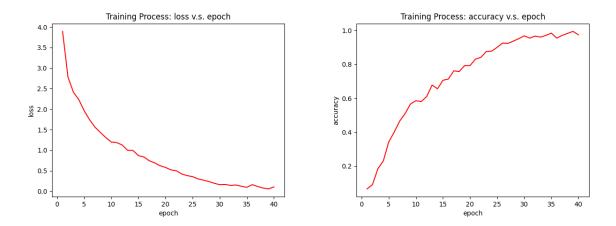


Figure 26: Training Process of the Self-defined CNN on Oxford-Flower17 dataset (Loss and Accuracy)

According to Figure 25 and 26, the loss of the training dataset for the Fine-tune Single Layer Classifier and the Self-defined CNN trained from scratch are 0 and 0.11, and the accuracy are 100% and 97%. The accuracy of the test dataset for the Fine-tune Single Layer Classifier and the Self-defined CNN trained from scratch are 91% and 49%. Compared the performance of the Fine-tune model and the Self-designed model, we know that the Fine-tune model converges faster and has higher accuracy.

# Code Appendix

## 0.1 nn.py

```
import numpy as np
   from util import *
2
   # do not include any more libraries here!
   # do not put any code outside of functions!
4
   6
   # initialize b to 0 vector
   # b should be a 1D array, not a 2D array with a singleton dimension
8
   # we will do XW + b.
9
   # X be [Examples, Dimensions]
10
   def initialize_weights(in_size, out_size, params,name=''):
11
      W, b = None, None
12
13
      14
      ##### your code here #####
15
      ############################
16
      value = np.sqrt(6 / (in_size + out_size))
17
      W = np.random.uniform(-value, value, size = (in_size, out_size))
18
      b = np.zeros(out_size)
19
20
      params['W' + name] = W
21
      params['b' + name] = b
22
23
   24
   # x is a matrix
25
   # a sigmoid activation function
26
   def sigmoid(x):
27
      res = None
28
      #############################
30
      ##### your code here #####
31
      32
      res = 1 / (1 + np.exp(-x))
33
34
      return res
35
36
   37
   def forward(X, params, name='', activation=sigmoid):
38
39
      Do a forward pass
40
41
      Keyword arguments:
42
      X -- input vector [Examples x D]
43
      params -- a dictionary containing parameters
44
```

```
name -- name of the layer
45
       activation -- the activation function (default is sigmoid)
46
47
       pre_act, post_act = None, None
48
       # get the layer parameters
49
       W = params['W' + name]
50
       b = params['b' + name]
51
52
53
       54
       ##### your code here #####
55
       #############################
56
       pre_act = X @ W + b
57
       post_act = activation(pre_act)
58
59
       # store the pre-activation and post-activation values
60
       # these will be important in backprop
61
       params['cache_' + name] = (X, pre_act, post_act)
62
63
       return post_act
64
65
   66
   # x is [examples, classes]
67
   # softmax should be done for each row
68
   def softmax(x):
69
       res = None
70
71
       #############################
72
       ##### your code here #####
73
       #############################
74
       # Add translation c for numerical stability
75
       c = -np.max(x, axis = 1).reshape(-1, 1)
76
       x += c
77
       # Compute softmax
78
       numerator = np.exp(x)
79
       denominator = np.sum(numerator, axis = 1).reshape(-1, 1)
80
       res = numerator / denominator
81
82
       return res
83
84
   85
   # compute total loss and accuracy
86
   # y is size [examples, classes]
87
   # probs is size [examples, classes]
88
   def compute_loss_and_acc(y, probs):
89
       loss, acc = None, None
90
```

```
92
        ##### your code here #####
93
        #############################
94
        # Compute the cross-entropy loss
95
        loss = -np.sum(y * np.log(probs))
96
        # Compute the accuracy
97
        examples = y.shape[0]
98
        count = 0
99
        for i in range(examples):
100
            pred_label = np.argmax(probs[i, :])
101
            true_label = np.argmax(y[i, :])
102
            if pred_label == true_label:
103
                 count += 1
104
        acc = count / examples
105
106
        return loss, acc
107
108
    109
    # we give this to you
110
    # because you proved it
111
    # it's a function of post_act
112
    def sigmoid_deriv(post_act):
113
        res = post_act*(1.0-post_act)
114
        return res
115
116
    def backwards(delta, params, name='', activation_deriv=sigmoid_deriv):
117
118
        Do a backwards pass
119
120
        Keyword arguments:
121
        delta -- errors to backprop
122
        params -- a dictionary containing parameters
123
        name -- name of the layer
124
        activation_deriv -- the derivative of the activation_func
125
126
        # everything you may need for this layer
127
        W = params['W' + name]
128
        b = params['b' + name]
129
        X, pre_act, post_act = params['cache_' + name]
130
131
        grad_X, grad_W, grad_b = None, None, None
132
        # do the derivative through activation first
133
        # then compute the derivative W, b, and X
134
        ##############################
135
        ##### your code here #####
136
        #############################
137
        # Derivative through activation
138
        d_activation = activation_deriv(post_act)
139
```

```
dJ_dy = delta * d_activation
140
        \# Compute the derivative W, b, and X
141
        grad_X = dJ_dy @ (W.T)
142
        grad_W = (X.T) @ dJ_dy
143
        grad_b = (dJ_dy.T | 0 np.ones((dJ_dy.shape[0], 1))).reshape(-1)
145
        # store the gradients
146
        params['grad_W' + name] = grad_W
147
        params['grad_b' + name] = grad_b
148
149
        return grad_X
150
151
    152
    # split x and y into random batches
153
    # return a list of [(batch1_x, batch1_y)...]
154
    def get_random_batches(x, y, batch_size):
155
        batches = []
156
157
        ############################
        ##### your code here #####
159
        ############################
160
        # Get the basic information for splitting
161
        num_examples = x.shape[0]
162
        num_batches = int(num_examples / batch_size)
163
        # Split x and y into random batches
164
        for i in range(num_batches):
            random_index = np.random.choice(num_examples, size = batch_size,
166
                                                                   replace = False)
167
            batch_x = x[random_index, :]
168
            batch_y = y[random_index, :]
169
            batches.append((batch_x, batch_y))
170
171
        return batches
172
```

#### $0.2 \quad run_q2.py$

```
import numpy as np
    # you should write your functions in nn.py
2
    from nn import *
3
    from util import *
4
5
6
    # fake data
7
    # feel free to plot it in 2D
    # what do you think these 4 classes are?
    g0 = np.random.multivariate_normal([3.6,40],[[0.05,0],[0,10]],10)
10
    g1 = np.random.multivariate_normal([3.9,10],[[0.01,0],[0,5]],10)
11
    g2 = np.random.multivariate_normal([3.4,30],[[0.25,0],[0,5]],10)
12
    g3 = np.random.multivariate_normal([2.0,10],[[0.5,0],[0,10]],10)
13
    x = np.vstack([g0,g1,g2,g3])
14
    # we will do XW + B
15
    # that implies that the data is N x D
16
17
    # create labels
18
    y_idx = np.array([0 for _ in range(10)] + [1 for _ in range(10)] +
19
                                    [2 for _ in range(10)] + [3 for _ in range(10)])
20
    # turn to one_hot
21
    y = np.zeros((y_idx.shape[0],y_idx.max()+1))
22
    y[np.arange(y_idx.shape[0]),y_idx] = 1
23
24
    # parameters in a dictionary
25
    params = \{\}
26
27
    # Q 2.1
28
    # initialize a layer
29
    initialize_weights(2,25,params,'layer1')
30
    initialize_weights(25,4,params,'output')
31
    assert(params['Wlayer1'].shape == (2,25))
32
    assert(params['blayer1'].shape == (25,))
33
34
    #expect 0, [0.05 to 0.12]
35
    print("{}, {:.2f}".format(params['blayer1'].sum(),params['Wlayer1'].std()**2))
36
    print("{}, {:.2f}".format(params['boutput'].sum(),params['Woutput'].std()**2))
37
38
    # Q 2.2.1
39
    # implement sigmoid
40
    test = sigmoid(np.array([-100,100]))
41
    print('should be zero and one\t',test.min(),test.max())
42
    # implement forward
43
   h1 = forward(x,params,'layer1')
44
    print(h1.shape)
45
   # Q 2.2.2
46
```

```
# implement softmax
47
    probs = forward(h1,params,'output',softmax)
48
    # make sure you understand these values!
49
    # positive, ~1, ~1, (40,4)
50
    print(probs.min(),min(probs.sum(1)),max(probs.sum(1)),probs.shape)
51
52
    # Q 2.2.3
53
    # implement compute_loss_and_acc
54
    loss, acc = compute_loss_and_acc(y, probs)
55
    # should be around -np.log(0.25)*40 [~55] and 0.25
56
    # if it is not, check softmax!
57
    print("{}, {:.2f}".format(loss,acc))
58
59
    # here we cheat for you
60
    # the derivative of cross-entropy(softmax(x)) is probs - 1[correct actions]
61
    delta1 = probs
62
    delta1[np.arange(probs.shape[0]),y_idx] -= 1
63
64
    # we already did derivative through softmax
65
    # so we pass in a linear_deriv, which is just a vector of ones
66
    # to make this a no-op
67
    delta2 = backwards(delta1,params,'output',linear_deriv)
68
    # Implement backwards!
69
    backwards(delta2,params,'layer1',sigmoid_deriv)
70
71
    # W and b should match their gradients sizes
72
    for k,v in sorted(list(params.items())):
73
        if 'grad' in k:
74
            name = k.split('_')[1]
75
            print(name, v. shape, params[name].shape)
76
77
    # Q 2.4
78
    batches = get_random_batches(x,y,5)
79
    # print batch sizes
80
    print([_[0].shape[0] for _ in batches])
81
    batch_num = len(batches)
82
83
   print()
84
   print("################"")
85
                                Training Loop
    print("#####
                                                     ########")
86
   print("###############"")
87
   print()
88
89
   max_iters = 501
90
   learning_rate = 1e-3
91
    # with default settings, you should get loss < 35 and accuracy > 75%
92
    # Here we also cheat for you and write the forward + backward loop for you
93
   for itr in range(max_iters):
94
```

```
total_loss = 0
95
        avg_acc = 0
96
        for xb, yb in batches:
97
           # forward
98
           yb_idx = np.argmax(yb,1)
99
           h1 = forward(xb,params,'layer1')
100
           probs = forward(h1,params, 'output', softmax)
101
102
           # loss
103
           # be sure to add loss and accuracy to epoch totals
104
           loss, acc = compute_loss_and_acc(yb, probs)
105
           total_loss += loss
106
           avg_acc += acc/batch_num
107
108
           # backward
109
           delta1 = probs
110
           delta1[np.arange(probs.shape[0]),yb_idx] -= 1
111
112
           delta2 = backwards(delta1,params,'output',linear_deriv)
113
           backwards(delta2,params, 'layer1', sigmoid_deriv)
114
115
           # apply gradient
116
           names = ['layer1','output']
117
           for k in names:
118
               params['W'+k] -= learning_rate * params['grad_W' + k]
119
               params['b'+k] -= learning_rate * params['grad_b' + k]
120
121
122
123
        if itr % 100 == 0:
124
           print("itr: {:02d} \t loss: {:.2f} \t acc :
125
                                         {:.2f}".format(itr,total_loss,avg_acc))
126
127
128
    print()
129
    print("#####################")
130
    print("#####
                              Training Loop End
131
    print("#####################")
132
    print()
133
134
    print()
135
    136
                      Numerical Gradient Checker
                                                  #######")
137
    138
    print()
139
140
    h1 = forward(x,params,'layer1')
141
    probs = forward(h1,params,'output',softmax)
142
```

```
delta1 = probs
143
     delta1[np.arange(probs.shape[0]),y_idx] -= 1
144
145
     delta2 = backwards(delta1,params,'output',linear_deriv)
146
     backwards(delta2,params,'layer1',sigmoid_deriv)
147
148
     # save the old params
149
     import copy
150
     params_orig = copy.deepcopy(params)
151
152
     eps = 1e-6
153
     for k,v in params.items():
154
         if '_' in k:
155
             continue
156
         # we have a real parameter!
157
         # for each value inside the parameter
158
             add epsilon
159
             run the network
         #
160
            get the loss
161
             compute derivative with central diffs
162
163
         def f(p):
164
             h1 = forward(x,p,'layer1')
165
             probs = forward(h1,p,'output',softmax)
166
             new_loss, _ = compute_loss_and_acc(y, probs)
167
             return new_loss
168
         grad_v = np.zeros_like(v)
169
         it = np.nditer(params[k], flags=['multi_index'], op_flags=['readwrite'])
170
         while not it.finished:
171
172
             # evaluate function at x+h
173
             ix = it.multi_index
174
             og = v[ix]
175
             v[ix] += eps # increment by h
             fxh = f(params) # evalute f(x + h)
177
             v[ix] -= 2*eps # restore to previous value (very important!)
178
             fx = f(params) # evalute f(x + h)
179
180
             # compute the partial derivative
181
             grad_v[ix] = (fxh - fx) / (2*eps) # the slope
182
             v[ix] = og
183
             it.iternext() # step to next dimension
184
         params['grad_' + k] = grad_v
185
186
     total_error = 0
187
     for k in params.keys():
188
         if 'grad_' in k:
189
             # relative error
190
```

```
err = np.abs(params[k] - params_orig[k])/np.maximum(np.abs(params[k]),
191
                                                     np.abs(params_orig[k]))
192
           err = err.sum()
193
           print('{} {:.2e}'.format(k, err))
194
           total_error += err
195
    # should be less than 1e-4
196
    print('total {:.2e}'.format(total_error))
197
    print()
198
    print("#################"")
199
    print("#####
                    Numerical Gradient Checker END ########")
200
    print("################"")
201
    print()
202
```

#### $0.3 \quad \text{run}_{q}3.py$

```
import numpy as np
    import scipy.io
2
    from nn import *
3
    import pickle
4
    import matplotlib.pyplot as plt
5
6
    train_data = scipy.io.loadmat('../data/nist36_train.mat')
7
    valid_data = scipy.io.loadmat('../data/nist36_valid.mat')
    test_data = scipy.io.loadmat('../data/nist36_test.mat')
9
10
    train_x, train_y = train_data['train_data'], train_data['train_labels']
11
    valid_x, valid_y = valid_data['valid_data'], valid_data['valid_labels']
12
    test_x, test_y = test_data['test_data'], test_data['test_labels']
13
14
    max_iters = 50  # For q4, max_iters = 100
15
    batch_size = 72
16
    learning_rate = 4e-3 # For q4, lr = 5e-3
17
    hidden_size = 64
18
19
    batches = get_random_batches(train_x,train_y,batch_size)
20
    batch_num = len(batches)
21
22
    params = {}
23
24
    # We have initialized the single layer network for you here
25
    # Do not change the layer names
26
    initialize_weights(train_x.shape[1],hidden_size,params,'layer1')
27
    initialize_weights(hidden_size,train_y.shape[1],params,'output')
28
29
    # Store the intialized weights for Q3.3
30
    initial_w = np.copy(params['Wlayer1'])
31
32
    list_of_train_acc_per_iter = []
33
    list_of_val_acc_per_iter = []
34
    for itr in range(max_iters):
35
        total_train_loss = 0
36
        total_train_acc = 0
37
        for xb, yb in batches:
38
            ###########################
39
            ##### your code here #####
40
            ###################################
41
            # Forward propagation
42
            h1 = forward(xb, params, 'layer1')
43
            probs = forward(h1, params, 'output', softmax)
44
45
            # Compute and Update loss and accuracy
46
```

```
loss, acc = compute_loss_and_acc(yb, probs)
47
            total_train_loss += loss
48
            total_train_acc += acc
49
50
            # Back propagation
51
            # Derivative of cross-entropy(softmax(x))
52
            delta1 = probs - yb
53
            delta2 = backwards(delta1,params,'output',linear_deriv)
54
            # Implement back propagation
55
            backwards(delta2,params, 'layer1', sigmoid_deriv)
56
57
            # Apply gradient descent
58
            # Ouput layer
59
            params['W' + 'output'] -= learning_rate * params['grad_W' + 'output']
60
            params['b' + 'output'] -= learning_rate * params['grad_b' + 'output']
61
            # Hidden layer
62
            params['W' + 'layer1'] -= learning_rate * params['grad_W' + 'layer1']
63
            params['b' + 'layer1'] -= learning_rate * params['grad_b' + 'layer1']
64
65
        # Average training loss and accuracy
66
        total_train_loss = total_train_loss / batch_num
67
        total_train_acc = total_train_acc / batch_num
68
69
        val_acc = None
70
        # compute the validation accuracy here, make sure there is little
71
        # overfitting issue
72
        #############################
73
        ##### your code here #####
74
        ##############################
75
        # Forward propagation
76
        h1 = forward(valid_x, params, 'layer1')
77
        probs = forward(h1, params, 'output', softmax)
78
79
        # Compute loss and accuracy
80
        val_loss, val_acc = compute_loss_and_acc(valid_y, probs)
81
82
        # Update training and validation accuracy
83
        list_of_train_acc_per_iter.append(total_train_acc)
84
        list_of_val_acc_per_iter.append(val_acc)
85
86
        print("itr: {:02d} \t train loss: {:.2f} \t train acc : {:.2f} \t
               validation acc : {:.2f}".format(itr+1,total_train_loss,
88
               total_train_acc, val_acc))
89
90
    # In a single plot, plot the training v.s. validation accuracy per iteration
91
    #############################
92
    ##### your code here #####
93
    #############################
94
```

```
# Plot the training and validation process
95
    plt.figure()
96
    plt.plot(np.arange(1, max_iters+1), list_of_train_acc_per_iter, color = 'r',
97
                                                                   label = 'training')
98
    plt.plot(np.arange(1, max_iters+1), list_of_val_acc_per_iter, color = 'b',
99
                                                                 label = 'validation')
100
    plt.title(f"Training and Validation Process: accuracy v.s. epoch\nlr =
101
                                                                     {learning_rate}")
102
    plt.xlabel('epoch')
103
    plt.ylabel('accuracy')
104
    plt.legend()
105
    plt.show()
106
107
     # run on test set and report accuracy! should be around 75%
108
     test_acc = None
109
    h1 = forward(test_x,params,'layer1')
110
     probs = forward(h1,params,'output',softmax)
111
     loss, test_acc = compute_loss_and_acc(test_y, probs)
112
113
     print('Test accuracy: ',test_acc)
114
     saved_params = {k:v for k,v in params.items() if '_' not in k}
115
     with open('q3_weights.pickle', 'wb') as handle:
116
         pickle.dump(saved_params, handle, protocol=pickle.HIGHEST_PROTOCOL)
117
118
     # Q3.3
119
     import matplotlib.pyplot as plt
     from mpl_toolkits.axes_grid1 import ImageGrid
121
122
     # The weights after training loop are visualized here.
123
     # You may use the same visualization script to visualize the layer right after
124
     # Xavier initialization
125
     fig = plt.figure(1, (8., 8.))
126
     if hidden_size < 128:
127
         grid = ImageGrid(fig, 111, # similar to subplot(111)
                         nrows_ncols=(8, 8), # creates 2x2 grid of axes
129
                          axes_pad=0.1, # pad between axes in inch.
130
131
         img_w = params['Wlayer1'].reshape((32,32,hidden_size))
132
         for i in range(hidden_size):
133
             grid[i].imshow(img_w[:,:,i]) # The AxesGrid object work as a list of
134
                                             # axes.
135
         plt.show()
136
137
     # Visualize the layer right after Xavier initialization
138
     # Line 30-31 stores the Xavier Initialization
139
    fig = plt.figure(1, (8., 8.))
140
     if hidden_size < 128:
141
         grid = ImageGrid(fig, 111, # similar to subplot(111)
142
```

```
nrows_ncols=(8, 8), # creates 2x2 grid of axes
143
                          axes_pad=0.1, # pad between axes in inch.
144
145
         initial_w = initial_w.reshape((32,32,hidden_size))
146
         for i in range(hidden_size):
147
             grid[i].imshow(initial_w[:,:,i]) # The AxesGrid object work as a list
148
                                                 # of axes.
149
         plt.show()
150
151
152
     # Q3.4
153
     confusion_matrix = np.zeros((train_y.shape[1],train_y.shape[1]))
154
155
     # compute comfusion matrix here
156
     for i in range(test_y.shape[0]):
157
         i1 = np.argmax(probs[i])
158
         i2 = np.argmax(test_y[i])
159
         confusion_matrix[i1,i2] += 1
160
161
     import string
162
    plt.imshow(confusion_matrix,interpolation='nearest')
163
     plt.grid(True)
164
    plt.xticks(np.arange(36),string.ascii_uppercase[:26] + ''.join([str(_) for _
165
                                                                        in range(10)]))
166
    plt.yticks(np.arange(36),string.ascii_uppercase[:26] + ''.join([str(_) for _
167
                                                                        in range(10)]))
168
    plt.show()
169
```

#### $0.4 \quad \text{run}_{-}\text{q}4.\text{py}$

```
import os
    import numpy as np
2
    import matplotlib.pyplot as plt
3
    import matplotlib.patches
4
5
    import skimage
6
    import skimage.measure
    import skimage.color
    import skimage.restoration
    import skimage.io
10
    import skimage.filters
11
    import skimage.morphology
12
    import skimage.segmentation
13
14
    from nn import *
15
    from q4 import *
16
    # do not include any more libraries here!
17
    # no opency, no sklearn, etc!
18
    import warnings
19
    warnings.simplefilter(action='ignore', category=FutureWarning)
20
    warnings.simplefilter(action='ignore', category=UserWarning)
21
22
    for img in os.listdir('../images'):
23
        im1 = skimage.img_as_float(skimage.io.imread(os.path.join('../images',img)))
24
        bboxes, bw = findLetters(im1)
25
26
        plt.imshow(bw)
27
        for bbox in bboxes:
28
            minr, minc, maxr, maxc = bbox
29
            rect = matplotlib.patches.Rectangle((minc, minr), maxc - minc,
30
                            maxr - minr, fill=False, edgecolor='red', linewidth=2)
31
            plt.gca().add_patch(rect)
32
        plt.show()
33
34
        # find the rows using..RANSAC, counting, clustering, etc.
35
        #############################
36
        ##### your code here #####
37
        ############################
38
        # Split the rows based on min_row and max_row
39
        # Sort the bboxes based on max_row
40
        bboxes.sort(key = lambda x : x[2])
41
42
        # Initialize rows and single_row
43
        all_rows, single_row = [], []
44
45
        # Split the characters into rows
46
```

```
boundary = bboxes[0][2]
47
        for bbox in bboxes:
48
            # Extract min_row and max_row
49
            min_row, max_row = bbox[0], bbox[2]
50
            # Check for new rows
51
            if min_row > boundary:
52
                 # Sort the previos row based on min_column
53
                 single_row.sort(key = lambda x : x[1])
54
                 # Update rows
55
                 all_rows.append(single_row)
56
                 # Update boundary and Reset single_row
57
                boundary = max_row
58
                 single_row = []
59
            # Update single_row
60
            single_row.append(bbox)
61
        # Sort and Update the final single_row into rows
62
        single_row.sort(key = lambda x : x[1])
63
        all_rows.append(single_row)
64
65
        # crop the bounding boxes
66
        # note.. before you flatten, transpose the image (that's how the dataset is!)
67
        # consider doing a square crop, and even using np.pad() to get your
68
        # images looking more like the dataset
69
        ##########################
70
        ##### your code here #####
71
        ##########################
72
        # Crop the bounding box
73
        crop_bboxes, row_crop_bboxes = [], []
74
        for r in range(len(all_rows)):
75
            for i, bbox in enumerate(all_rows[r]):
76
                 # Crop the bbox
77
                min_row, min_col, max_row, max_col = bbox
78
                 crop_bbox = bw[min_row:max_row, min_col:max_col]
79
                 # Padding
80
                 crop_bbox = np.pad(crop_bbox, ((30, 30), (30, 30)), 'constant',
81
                                                               constant_values = (1, 1))
82
                 # Resize and Preprocessing (erosion)
83
                 crop_bbox = skimage.transform.resize(crop_bbox, (32, 32))
84
                 crop_bbox = skimage.morphology.erosion(crop_bbox,
85
                                          np.array([[0, 1, 0], [1, 1, 1], [0, 1, 0]]))
86
                 # Transpose, Flatten, and Update
                 crop_bbox = crop_bbox.T
88
                 crop_bbox = crop_bbox.reshape(1, -1)
89
                 row_crop_bboxes.append(crop_bbox)
90
            # Update crop_bboxes and Reset row_crop_bboxes
91
            crop_bboxes.append(row_crop_bboxes)
92
            row_crop_bboxes = []
93
94
```

```
# load the weights
95
         # run the crops through your neural network and print them out
96
         import pickle
97
         import string
98
         letters = np.array([_ for _ in string.ascii_uppercase[:26]]
99
                                                          + [str(_) for _ in range(10)])
100
         params = pickle.load(open('q3_weights.pickle','rb'))
101
         ############################
102
         ##### your code here #####
103
         ##########################
104
         # Print the image name
105
         print("\n" + img.split('.')[0] + "\n")
106
107
         # Classify the letter in crop_bboxes
108
         for r in range(len(crop_bboxes)):
109
             for x in crop_bboxes[r]:
110
                 # Forward propagation
111
                 h1 = forward(x, params, 'layer1')
112
                 probs = forward(h1, params, 'output', softmax)
113
                 # Evaluate the one-hot vector of the letter
114
                 letter_index = np.argmax(probs, axis = 1)
115
                 # Transfer index into letters
116
                 letter = letters[letter_index][0]
117
                 # Print out the letters
118
                 print(f"{letter} ", end = '')
119
             print("\n")
```

### $0.5 \quad \text{run}_{-}\text{q}5.\text{py}$

```
import numpy as np
    import scipy.io
2
    from nn import *
3
    from collections import Counter
4
5
    train_data = scipy.io.loadmat('../data/nist36_train.mat')
6
    valid_data = scipy.io.loadmat('../data/nist36_valid.mat')
7
    # we don't need labels now!
10
    train_x = train_data['train_data']
    valid_x = valid_data['valid_data']
11
12
    max_iters = 100
13
    # pick a batch size, learning rate
14
    batch\_size = 36
15
    learning_rate = 3e-5
16
    hidden_size = 32
17
    lr_rate = 20
18
    batches = get_random_batches(train_x,np.ones((train_x.shape[0],1)),batch_size)
19
    batch_num = len(batches)
20
21
    params = Counter()
22
23
    # Q5.1.1 & Q5.1.2
24
    # initialize layers here
25
    ############################
26
    ##### your code here #####
27
    #############################
28
    # Initialize input, hidden, and output layer
29
    layer_names = ['input', 'hlayer1', 'hlayer2', 'output']
30
    initialize_weights(train_x.shape[1], hidden_size, params, layer_names[0])
31
    initialize_weights(hidden_size, hidden_size, params, layer_names[1])
32
    initialize_weights(hidden_size, hidden_size, params, layer_names[2])
33
    initialize_weights(hidden_size, train_x.shape[1], params, layer_names[3])
34
35
    # Initialize momentum accumulators with zeros
36
    layer_names = ['input', 'hlayer1', 'hlayer2', 'output']
37
    for layer in layer_names:
38
        params['m_W' + layer] = np.zeros_like(params['W' + layer])
39
        params['m_b' + layer] = np.zeros_like(params['b' + layer])
40
41
    # Initialize training loss list
42
    list_of_train_loss_per_iter = []
43
44
    # should look like your previous training loops
45
   for itr in range(max_iters):
```

```
total_loss = 0
47
        for xb, _ in batches:
48
            # training loop can be exactly the same as q2!
49
            # your loss is now squared error
50
            # delta is the d/dx of (x-y)^2
51
            # to implement momentum
52
                 just use 'm_'+name variables
53
                 to keep a saved value over timestamps
54
               params is a Counter(), which returns a 0 if an element is missing
55
                so you should be able to write your loop without any special
56
                 conditions
57
58
            #############################
59
            ##### your code here #####
60
            ############################
61
            # Forward propagation
62
            h1 = forward(xb, params, layer_names[0], relu)
63
            h2 = forward(h1, params, layer_names[1], relu)
64
            h3 = forward(h2, params, layer_names[2], relu)
65
            probs = forward(h3, params, layer_names[3], sigmoid)
66
67
            # Compute and Update loss
68
            loss = np.sum((probs - xb) ** 2)
69
            total_loss += loss
70
71
            # Back propagation
72
            # delta1 = derivative of (x-y)^2
73
            delta1 = 2 * (probs - xb)
74
            delta2 = backwards(delta1, params, layer_names[3], sigmoid_deriv)
75
            delta3 = backwards(delta2, params, layer_names[2], relu_deriv)
76
            delta4 = backwards(delta3, params, layer_names[1], relu_deriv)
77
            backwards(delta4, params, layer_names[0], relu_deriv)
78
79
            # Apply gradient descent with momentum
80
            for layer in layer_names:
81
                 # Update weights
82
                params['m_W' + layer] = 0.9 * params['m_W' + layer]
83
                                            - learning_rate * params['grad_W' + layer]
84
                params['W' + layer] += params['m_W' + layer]
85
                 # Update biases
86
                params['m_b' + layer] = 0.9 * params['m_b' + layer]
                                             - learning_rate * params['grad_b' + layer]
88
                params['b' + layer] += params['m_b' + layer]
89
90
        # Update training loss
91
        list_of_train_loss_per_iter.append(total_loss)
92
93
        if itr % 2 == 0:
94
```

```
print("itr: {:02d} \t loss: {:.2f}".format(itr, total_loss))
95
         if itr % lr_rate == lr_rate-1:
96
             learning_rate *= 0.9
97
98
    # Q5.2
99
    import matplotlib.pyplot as plt
100
    # Plot the training process
101
    plt.figure()
102
    plt.plot(np.arange(1, max_iters+1), list_of_train_loss_per_iter, color = 'r')
103
    plt.title(f"Training Process: loss v.s. epoch")
104
    plt.xlabel('epoch')
105
    plt.ylabel('loss')
106
    plt.show()
107
108
    # Q5.3.1
109
    import matplotlib.pyplot as plt
110
    # visualize some results
111
    #############################
112
    ##### your code here #####
113
    114
    # Forward propagation for the validation data
115
    h1 = forward(valid_x, params, layer_names[0], relu)
116
    h2 = forward(h1, params, layer_names[1], relu)
117
    h3 = forward(h2, params, layer_names[2], relu)
118
    valid_probs = forward(h3, params, layer_names[3], sigmoid)
119
120
    # Extract 5 classes from the total 36 classes
121
    class_index = [1, 5, 10, 20, 30]
122
    valid_y = valid_data['valid_labels']
123
    extracted_classes, single_class = [], []
124
    for index in class_index:
125
         for i in range(len(valid_y)):
126
             if np.argmax(valid_y, axis = 1)[i] == index:
127
                 single_class.append(i)
128
         extracted_classes.append(single_class)
129
         single_class = []
130
131
    # Visualize 2 validation and reconstructed images for each class
132
    for i in range(len(extracted_classes)):
133
         for j in range(2):
134
             index = extracted_classes[i][j]
135
             # Plot the validation and reconstructed images
136
             fig, [ax1, ax2] = plt.subplots(1, 2)
137
             ax1.imshow(valid_x[index].reshape(32,32).T)
138
             ax1.set_title("Validation Image")
139
             ax2.imshow(valid_probs[index].reshape(32,32).T)
140
             ax2.set_title("Reconstructed Image")
141
             plt.show()
142
```

```
143
144
145
     # Q5.3.2
146
     # skimage version == 0.18.1
147
     from skimage.metrics import peak_signal_noise_ratio as psnr
148
     # evaluate PSNR
149
     ############################
150
     ##### your code here #####
151
     ###########################
152
     # Forward propagation for the validation data
    h1 = forward(valid_x, params, layer_names[0], relu)
154
    h2 = forward(h1, params, layer_names[1], relu)
155
    h3 = forward(h2, params, layer_names[2], relu)
156
     valid_probs = forward(h3, params, layer_names[3], sigmoid)
157
158
     # Compute the average psnr for all validation images
159
     total_psnr = 0
160
     for i in range(len(valid_x)):
161
         total_psnr += psnr(valid_x[i], valid_probs[i])
162
     average_psnr = total_psnr / len(valid_x)
163
    print(f"Average PSNR = {average_psnr}")
164
```

## $0.6 \quad \text{run\_q6\_1.py}$

```
# Import package
    import numpy as np
2
    import matplotlib.pyplot as plt
3
    import scipy.io
4
    import torch
5
    import torch.nn as nn
6
    import torch.nn.functional as func
    import torchvision
    import torchvision.transforms as transforms
    import torch.optim as optim
10
    from nn import get_random_batches
11
12
    # Load the NIST36 dataset
13
    train_data = scipy.io.loadmat('../data/nist36_train.mat')
14
    valid_data = scipy.io.loadmat('.../data/nist36_valid.mat')
15
    test_data = scipy.io.loadmat('../data/nist36_test.mat')
16
    train_x, train_y = train_data['train_data'], train_data['train_labels']
17
    valid_x, valid_y = valid_data['valid_data'], valid_data['valid_labels']
18
    test_x, test_y = test_data['test_data'], test_data['test_labels']
19
20
    # Turn the dataset (numpy) into tensor
21
    train_x, train_y = torch.from_numpy(train_x).float(), torch.from_numpy(train_y)
22
    valid_x, valid_y = torch.from_numpy(valid_x).float(), torch.from_numpy(valid_y)
23
    test_x, test_y = torch.from_numpy(test_x).float(), torch.from_numpy(test_y)
24
25
    # Q6.1.1 Fully-connected Neural Network
26
    # Set up the hyperparameters
27
   max_iters = 100
28
    batch_size = 72
29
    learning_rate = 0.1
30
   hidden_size = 64
    batches = get_random_batches(train_x, train_y, batch_size)
32
    batch_num = len(batches)
33
34
    # Build the fully-connected network
35
    class FullyConnectedNetwork(nn.Module):
36
        def __init__(self):
37
            super(FullyConnectedNetwork, self).__init__()
38
            self.fc_layer_set = nn.Sequential(
39
                nn.Linear(train_x.shape[1], hidden_size),
40
                nn.Sigmoid(),
41
                nn.Linear(hidden_size, train_y.shape[1]),
42
            )
43
44
        def forward(self, x):
45
            # Fully-connected layer
```

```
x = self.fc_layer_set(x)
47
            return x
48
49
    # Train the fully-connected layer
50
    # Load the model and Set up the criterion and optimizer
51
    fcn_model = FullyConnectedNetwork()
52
    criterion = nn.CrossEntropyLoss()
53
    optimizer = optim.SGD(fcn_model.parameters(), lr = learning_rate)
54
55
    # Initialize training/validation loss and accuracy list
56
    list_of_train_loss_per_iter = []
57
    list_of_train_acc_per_iter = []
58
    list_of_valid_loss_per_iter = []
59
    list_of_valid_acc_per_iter = []
60
61
    # Train the model
62
    for iter in range(max_iters):
63
        total_train_loss = 0
64
        total_train_acc = 0
65
        for xb, yb in batches:
66
             # Turn yb into labelb
67
            labelb = torch.argmax(yb, dim = 1)
68
69
            # Forward propagation
70
            optimizer.zero_grad()
71
            probs = fcn_model(xb)
72
73
            # Back propagation + Optimize
74
            loss = criterion(probs, labelb)
75
            loss.backward()
76
            optimizer.step()
77
78
            # Compute loss and accuaracy
79
            total_train_loss += loss.item()
80
            _, pred_label = torch.max(probs.data, 1)
81
            acc = torch.eq(pred_label, labelb).float().sum()
82
            total_train_acc += acc.item()
83
84
        # Average and Update training loss and accuracy
85
        average_train_loss = total_train_loss / batch_num
86
        average_train_acc = total_train_acc / train_x.shape[0]
        list_of_train_loss_per_iter.append(average_train_loss)
88
        list_of_train_acc_per_iter.append(average_train_acc)
89
90
        # Validation process
91
        # Turn valid_y into valid_label
92
        valid_label = torch.argmax(valid_y, dim = 1)
93
94
```

```
# Forward propagation
95
         valid_probs = fcn_model(valid_x)
96
97
         # Compute loss and accuracy
98
         valid_loss = criterion(valid_probs, valid_label)
99
         _, pred_valid_label = torch.max(valid_probs.data, 1)
100
         valid_acc = torch.eq(pred_valid_label, valid_label).float().mean()
101
102
         # Update validation loss and accuracy
103
         list_of_valid_loss_per_iter.append(valid_loss)
104
         list_of_valid_acc_per_iter.append(valid_acc)
105
106
         # Print the training and validation process
107
         print("iter: {:02d}".format(iter+1))
108
         print("train loss: {:.2f} \t train acc : {:.2f}".format(average_train_loss,
109
                                                                     average_train_acc))
110
         print("validation loss : {:.2f} \t validation acc : {:.2f}"
111
                                                         .format(valid_loss, valid_acc))
112
113
     # Test the model with the test dataset
114
     # Turn test_y into test_label
115
     test_label = torch.argmax(test_y, dim = 1)
116
     # Forward propagation
117
     test_probs = fcn_model(test_x)
118
     # Compute loss and accuracy
119
     test_loss = criterion(test_probs, test_label)
120
     _, pred_test_label = torch.max(test_probs.data, 1)
121
     test_acc = torch.eq(pred_test_label, test_label).float().mean()
122
     print("Test\ntest loss : {:.2f} \t test accuracy : {:.2f}".format(test_loss,
123
                                                                               test_acc))
124
125
     # Plot the training and validation process (loss)
126
     plt.figure()
127
     plt.plot(np.arange(1, max_iters+1), list_of_train_loss_per_iter, color = 'r',
                                                                     label = 'training')
129
     plt.plot(np.arange(1, max_iters+1), list_of_valid_loss_per_iter, color = 'b',
130
                                                                   label = 'validation')
131
    plt.title(f"Training and Validation Process: loss v.s. epoch")
132
    plt.xlabel('epoch')
133
    plt.ylabel('loss')
134
    plt.legend()
135
    plt.show()
136
137
     # Plot the training and validation process (accuracy)
138
     plt.figure()
139
    plt.plot(np.arange(1, max_iters+1), list_of_train_acc_per_iter, color = 'r',
140
                                                                     label = 'training')
141
    plt.plot(np.arange(1, max_iters+1), list_of_valid_acc_per_iter, color = 'b',
142
```

```
label = 'validation')
143
    plt.title(f"Training and Validation Process: accuracy v.s. epoch")
144
    plt.xlabel('epoch')
145
    plt.ylabel('accuracy')
146
    plt.legend()
147
    plt.show()
148
149
     # Q6.1.2 Convolutional Neural Network
150
     # Set up the hyperparameters
151
    max_iters = 10
152
     batch_size = 72
153
     learning_rate = 4e-3
154
     train_batches = get_random_batches(train_x, train_y, batch_size)
155
     train_batch_num = len(train_batches)
156
     valid_batches = get_random_batches(valid_x, valid_y, batch_size)
157
     valid_batch_num = len(valid_batches)
158
159
     # Build the CNN
160
     class CNN(nn.Module):
161
         def __init__(self):
162
             super(CNN, self).__init__()
163
             # Convolutional block
164
             self. conv_layer_set = nn.Sequential(
165
                 nn.Conv2d(in_channels = 1, out_channels = 64, kernel_size = 3,
166
                                                                             padding = 1),
167
                 nn.BatchNorm2d(64),
168
                 nn.ReLU(inplace = True),
169
                 nn.MaxPool2d(kernel_size = 2, stride = 2),
170
                 nn.Dropout(p = 0.1),
171
                 nn.Conv2d(in_channels = 64, out_channels = 32, kernel_size = 3,
172
                                                                             padding = 1),
173
                 nn.BatchNorm2d(32),
174
                 nn.ReLU(inplace = True),
175
                 nn.MaxPool2d(kernel_size = 2, stride = 2),
                 nn.Dropout(p = 0.1)
177
             )
178
             # Fully-connected block
179
             self. fc_layer_set = nn.Sequential(
180
                 nn.Linear(32 * 8 * 8, 1024),
181
                 nn.ReLU(inplace = True),
182
                 nn.Linear(1024, 36),
             )
184
185
         def forward(self, x):
186
             # Convolution layer block
187
             x = self.conv_layer_set(x)
188
             x = x.view(-1, 32 * 8 * 8)
189
             # Fully-connected layer block
190
```

```
x = self.fc_layer_set(x)
191
             return x
192
193
     # Train the CNN
194
     # Choose the device to use
195
     device = torch.device("cuda" if torch.cuda.is_available() else "cpu")
196
197
     # Load the model and Set up the criterion and optimizer
198
     cnn_model = CNN().to(device)
199
     criterion = nn.CrossEntropyLoss()
200
     optimizer = optim.Adam(cnn_model.parameters(), lr = learning_rate)
201
202
     # Initialize training/validation loss and accuracy list
203
     list_of_train_loss_per_iter = []
204
     list_of_train_acc_per_iter = []
205
     list_of_valid_loss_per_iter = []
206
     list_of_valid_acc_per_iter = []
207
208
     # Train the model
209
     for iter in range(max_iters):
210
         total_train_loss = 0
211
         total_train_acc = 0
212
         total_valid_loss = 0
213
         total_valid_acc = 0
214
         for xb, yb in train_batches:
215
             # Reshape xb and Turn yb into labelb
216
             xb = xb.reshape(batch_size, 1, 32, 32).to(device)
217
             labelb = torch.argmax(yb, dim = 1).to(device)
218
219
             # Forward propagation
220
             optimizer.zero_grad()
221
             probs = cnn_model(xb)
222
223
             # Back propagation + Optimize
             loss = criterion(probs, labelb)
225
             loss.backward()
226
             optimizer.step()
227
228
             # Compute loss and accuaracy
229
             total_train_loss += loss.item()
230
             _, pred_label = torch.max(probs.data, 1)
231
             acc = torch.eq(pred_label, labelb).float().sum()
232
             total_train_acc += acc.item()
233
234
         # Average and Update training loss and accuracy
235
         average_train_loss = total_train_loss / train_batch_num
236
         average_train_acc = total_train_acc / train_x.shape[0]
237
         list_of_train_loss_per_iter.append(average_train_loss)
238
```

```
list_of_train_acc_per_iter.append(average_train_acc)
239
240
         # Validation process
241
         for valid_xb, valid_yb in valid_batches:
242
             # Reshape xb and Turn yb into labelb
243
             valid_xb = valid_xb.reshape(batch_size, 1, 32, 32).to(device)
244
             valid_labelb = torch.argmax(valid_yb, dim = 1).to(device)
245
246
             # Forward propagation
247
             optimizer.zero_grad()
248
             valid_probs = cnn_model(valid_xb)
250
             # Back propagation + Optimize
251
             loss = criterion(valid_probs, valid_labelb)
252
             loss.backward()
253
             optimizer.step()
254
255
             # Compute loss and accuaracy
256
             total_valid_loss += loss.item()
257
             _, pred_valid_label = torch.max(valid_probs.data, 1)
258
             valid_acc = torch.eq(pred_valid_label, valid_labelb).float().sum()
259
             total_valid_acc += valid_acc.item()
260
261
         # Average and Update validation loss and accuracy
262
         average_valid_loss = total_valid_loss / valid_batch_num
263
         average_valid_acc = total_valid_acc / valid_x.shape[0]
264
         list_of_valid_loss_per_iter.append(average_valid_loss)
265
         list_of_valid_acc_per_iter.append(average_valid_acc)
266
267
         # Print the training and validation process
268
         print("iter: {:02d}".format(iter+1))
269
         print("train loss: {:.2f} \t train acc : {:.2f}".format(average_train_loss,
270
                                                                     average_train_acc))
271
         print("validation loss : {:.2f} \t validation acc :
                                {:.2f}".format(average_valid_loss, average_valid_acc))
273
274
     # Test the model with the test dataset
275
     # Reshape test_x and Turn test_y into test_label
276
     test_x = test_x.reshape(test_x.shape[0], 1, 32, 32).to(device)
277
     test_label = torch.argmax(test_y, dim = 1).to(device)
278
     # Forward propagation
279
     test_probs = cnn_model(test_x)
280
     # Compute loss and accuracy
281
     test_loss = criterion(test_probs, test_label)
282
     _, pred_test_label = torch.max(test_probs.data, 1)
283
     test_acc = torch.eq(pred_test_label, test_label).float().mean()
284
    print("Test\ntest loss : {:.2f} \t test accuracy : {:.2f}".format(test_loss,
285
                                                                               test_acc))
286
```

```
287
     # Plot the training and validation process (loss)
288
    plt.figure()
289
    plt.plot(np.arange(1, max_iters+1), list_of_train_loss_per_iter, color = 'r',
290
                                                                      label = 'training')
291
    plt.plot(np.arange(1, max_iters+1), list_of_valid_loss_per_iter, color = 'b',
292
                                                                   label = 'validation')
293
     plt.title(f"Training and Validation Process: loss v.s. epoch")
294
    plt.xlabel('epoch')
295
296
    plt.ylabel('loss')
    plt.legend()
297
     plt.show()
298
299
     # Plot the training and validation process (accuracy)
300
     plt.figure()
301
     plt.plot(np.arange(1, max_iters+1), list_of_train_acc_per_iter, color = 'r',
302
                                                                      label = 'training')
303
    plt.plot(np.arange(1, max_iters+1), list_of_valid_acc_per_iter, color = 'b',
304
                                                                   label = 'validation')
305
     plt.title(f"Training and Validation Process: accuracy v.s. epoch")
306
    plt.xlabel('epoch')
307
    plt.ylabel('accuracy')
308
    plt.legend()
309
    plt.show()
310
311
     # Q6.1.3 Convolutional Neural Network on CIFAR-10 dataset
312
     # Set up the hyperparameters
313
     max_iters = 30
314
     batch_size = 200
315
     learning_rate = 0.001
316
317
     # Load the training and testing datatset
318
     # Set up the transform for training and testing dataset
319
     transform = transforms.Compose([transforms.ToTensor(),
         transforms.Normalize((0.4914, 0.4822, 0.4465), (0.2023, 0.1994, 0.2010))])
321
     # Load the dataset
322
     train_set = torchvision.datasets.CIFAR10(root = './data', train = True,
323
                                                download = True, transform = transform)
324
     train_loader = torch.utils.data.DataLoader(train_set, batch_size = batch_size,
325
                                                                          shuffle = True)
326
     test_set = torchvision.datasets.CIFAR10(root = './data', train = False,
327
                                                download = True, transform = transform)
328
     test_loader = torch.utils.data.DataLoader(test_set, batch_size = batch_size,
329
                                                                         shuffle = False)
330
331
     # Build the CNN
332
     class CNN_CIFAR10(nn.Module):
333
         def __init__(self):
334
```

```
super(CNN_CIFAR10, self).__init__()
335
             # Convolutional block
336
             self. conv_layer_set = nn.Sequential(
337
                  nn.Conv2d(in_channels = 3, out_channels = 128, kernel_size = 3,
338
                                                                             padding = 1),
339
                 nn.BatchNorm2d(128),
340
                 nn.ReLU(inplace = True),
341
                 nn.MaxPool2d(kernel_size = 2, stride = 2),
342
                 nn.Conv2d(in_channels = 128, out_channels = 256, kernel_size = 3,
343
                                                                             padding = 1),
344
                 nn.BatchNorm2d(256),
345
                 nn.ReLU(inplace = True),
346
                 nn.MaxPool2d(kernel_size = 2, stride = 2),
347
                 nn.Dropout(p = 0.1)
348
349
             # Fully-connected block
350
             self. fc_layer_set = nn.Sequential(
351
                 nn.Linear(256 * 8 * 8, 1024),
352
                 nn.ReLU(inplace = True),
353
                 nn.Linear(1024, 512),
354
                 nn.ReLU(inplace = True),
355
                 nn.Linear(512, 10),
356
             )
357
358
         def forward(self, x):
359
             # Convolution layer block
360
             x = self.conv_layer_set(x)
361
             x = x.view(-1, 256 * 8 * 8)
362
             # Fully-connected layer block
363
             x = self.fc_layer_set(x)
364
             return x
365
366
     # Train the CNN
367
     # Choose the device to use
368
     device = torch.device("cuda" if torch.cuda.is_available() else "cpu")
369
370
     # Load the model and Set up the criterion and optimizer
371
     cnn_cifar10_model = CNN_CIFAR10().to(device)
372
     criterion = nn.CrossEntropyLoss()
373
     optimizer = optim.Adam(cnn_cifar10_model.parameters(), lr = learning_rate)
374
375
     # Initialize training/validation loss and accuracy list
376
     list_of_train_loss_per_iter = []
377
     list_of_train_acc_per_iter = []
378
     list_of_valid_loss_per_iter = []
379
     list_of_valid_acc_per_iter = []
380
381
     # Train the model
382
```

```
for iter in range(max_iters):
383
         total_train_loss = 0
384
         total_train_acc = 0
385
         total_valid_loss = 0
386
         total_valid_acc = 0
387
         for train_data in train_loader:
388
             # Extract xb and labelb
389
             xb, labelb = train_data
390
             xb, labelb = xb.to(device), labelb.to(device)
391
392
             # Forward propagation
393
             optimizer.zero_grad()
394
             probs = cnn_cifar10_model(xb)
395
396
             # Back propagation + Optimize
397
             loss = criterion(probs, labelb)
398
             loss.backward()
399
             optimizer.step()
400
401
             # Compute loss and accuaracy
402
             total_train_loss += loss.item()
403
             _, pred_label = torch.max(probs.data, 1)
404
             acc = torch.eq(pred_label, labelb).float().sum()
405
             total_train_acc += acc.item()
406
407
         # Average and Update training loss and accuracy
408
         average_train_loss = total_train_loss / len(train_loader)
409
         average_train_acc = total_train_acc / len(train_set)
410
         list_of_train_loss_per_iter.append(average_train_loss)
411
         list_of_train_acc_per_iter.append(average_train_acc)
412
413
         # Print the training process
414
         print("iter: {:02d}".format(iter+1))
415
         print("train loss: {:.2f} \t train acc : {:.2f}".format(average_train_loss,
                                                                      average_train_acc))
417
418
     # Test the model with the test dataset
419
     test_loss = 0
420
     test_acc = 0
421
     for test_data in test_loader:
422
             # Extract test_xb and test_labelb
423
             test_xb, test_labelb = test_data
424
             test_xb, test_labelb = test_xb.to(device), test_labelb.to(device)
425
426
             # Forward propagation
427
             optimizer.zero_grad()
428
             test_probs = cnn_cifar10_model(test_xb)
429
430
```

```
# Compute and Update loss and accuracy
431
             loss = criterion(test_probs, test_labelb)
432
             test_loss += loss.item()
433
             _, pred_test_label = torch.max(test_probs.data, 1)
434
             acc = torch.eq(pred_test_label, test_labelb).float().sum()
435
             test_acc += acc.item()
436
437
     # Print the test loss and accuracy
438
     test_loss = test_loss / len(test_loader)
439
     test_acc = test_acc / len(test_set)
440
     print("Test\ntest loss : {:.2f} \t test accuracy : {:.2f}".format(test_loss,
                                                                               test_acc))
442
443
     # Plot the training process (loss)
444
     plt.figure()
445
    plt.plot(np.arange(1, max_iters+1), list_of_train_loss_per_iter, color = 'r')
446
    plt.title(f"Training Process: loss v.s. epoch")
447
    plt.xlabel('epoch')
448
     plt.ylabel('loss')
449
    plt.show()
450
451
     # Plot the training and validation process (accuracy)
452
    plt.figure()
453
    plt.plot(np.arange(1, max_iters+1), list_of_train_acc_per_iter, color = 'r')
454
    plt.title(f"Training Process: accuracy v.s. epoch")
455
     plt.xlabel('epoch')
456
    plt.ylabel('accuracy')
457
     plt.show()
458
459
     # Q6.1.4 Convolutional Neural Network on SUN dataset
460
     # Set up the hyperparameters
461
     max_iters = 30
462
     batch_size = 50
463
     learning_rate = 0.001
464
465
     # Load the SUN dataset
466
     transform = transforms.Compose([transforms.Resize((32, 32)),
467
         transforms.ToTensor(),
468
         transforms.Normalize((0.425, 0.425, 0.425), (0.225, 0.225, 0.225))])
469
     sun_data = torchvision.datasets.ImageFolder(root = '../SUN', transform =
470
                                                                               transform)
471
     # Split into training and testing dataset
472
     train_set, test_set = torch.utils.data.random_split(sun_data, [1177, 400])
473
     train_loader = torch.utils.data.DataLoader(train_set, batch_size = batch_size,
474
                                                                          shuffle = True)
475
     test_loader = torch.utils.data.DataLoader(test_set, batch_size=batch_size,
476
                                                                         shuffle = False)
477
478
```

```
# Build the CNN
479
     class CNN_SUN(nn.Module):
480
         def __init__(self):
481
             super(CNN_SUN, self).__init__()
482
             # Convolutional block
483
             self. conv_layer_set = nn.Sequential(
484
                 nn.Conv2d(in_channels = 3, out_channels = 64, kernel_size = 3,
485
                                                                             padding = 1),
486
                 nn.BatchNorm2d(64),
487
                 nn.ReLU(inplace = True),
488
                 nn.MaxPool2d(kernel_size = 2, stride = 2),
489
                 nn.Conv2d(in_channels = 64, out_channels = 128, kernel_size = 3,
490
                                                                             padding = 1),
491
                 nn.BatchNorm2d(128),
492
                 nn.ReLU(inplace = True),
493
                 nn.MaxPool2d(kernel_size = 2, stride = 2),
494
                 nn.Dropout(p = 0.1)
495
             )
496
             # Fully-connected block
497
             self. fc_layer_set = nn.Sequential(
498
                 nn.Linear(128 * 8 * 8, 1024),
499
                 nn.ReLU(inplace = True),
500
                 nn.Linear(1024, 512),
501
                 nn.ReLU(inplace = True),
502
                 nn.Linear(512, 10),
503
             )
504
505
         def forward(self, x):
506
             # Convolution layer block
507
             x = self.conv_layer_set(x)
508
             x = x.view(-1, 128 * 8 * 8)
509
             # Fully-connected layer block
510
             x = self.fc_layer_set(x)
511
             return x
512
513
     # Train the CNN
514
     # Choose the device to use
515
     device = torch.device("cuda" if torch.cuda.is_available() else "cpu")
516
517
     # Load the model and Set up the criterion and optimizer
518
     cnn_sun_model = CNN_SUN().to(device)
519
     criterion = nn.CrossEntropyLoss()
520
     optimizer = optim.Adam(cnn_sun_model.parameters(), lr = learning_rate)
521
522
     # Initialize training/validation loss and accuracy list
523
     list_of_train_loss_per_iter = []
524
     list_of_train_acc_per_iter = []
525
    list_of_valid_loss_per_iter = []
526
```

```
list_of_valid_acc_per_iter = []
527
528
     # Train the model
529
     for iter in range(max_iters):
530
         total_train_loss = 0
531
         total_train_acc = 0
532
         total_valid_loss = 0
533
         total_valid_acc = 0
534
         for train_data in train_loader:
535
             # Extract xb and labelb
536
             xb, labelb = train_data
537
             xb, labelb = xb.to(device), labelb.to(device)
538
539
             # Forward propagation
540
             optimizer.zero_grad()
541
             probs = cnn_sun_model(xb)
542
543
             # Back propagation + Optimize
             loss = criterion(probs, labelb)
545
             loss.backward()
546
             optimizer.step()
547
548
             # Compute loss and accuaracy
549
             total_train_loss += loss.item()
550
             _, pred_label = torch.max(probs.data, 1)
551
             acc = torch.eq(pred_label, labelb).float().sum()
552
             total_train_acc += acc.item()
553
554
         # Average and Update training loss and accuracy
555
         average_train_loss = total_train_loss / len(train_loader)
556
         average_train_acc = total_train_acc / len(train_set)
557
         list_of_train_loss_per_iter.append(average_train_loss)
         list_of_train_acc_per_iter.append(average_train_acc)
559
560
         # Print the training process
561
         print("iter: {:02d}".format(iter+1))
562
         print("train loss: {:.2f} \t train acc : {:.2f}".format(average_train_loss,
563
                                                                       average_train_acc))
564
565
     # Test the model with the test dataset
566
     test_loss = 0
567
     test_acc = 0
568
     for test_data in test_loader:
569
             # Extract test_xb and test_labelb
570
             test_xb, test_labelb = test_data
571
             test_xb, test_labelb = test_xb.to(device), test_labelb.to(device)
572
573
             # Forward propagation
574
```

```
optimizer.zero_grad()
575
             test_probs = cnn_sun_model(test_xb)
576
577
             # Compute and Update loss and accuracy
578
             loss = criterion(test_probs, test_labelb)
579
             test_loss += loss.item()
580
             _, pred_test_label = torch.max(test_probs.data, 1)
581
             acc = torch.eq(pred_test_label, test_labelb).float().sum()
582
             test_acc += acc.item()
583
584
     # Print the test loss and accuracy
585
     test_loss = test_loss / len(test_loader)
586
     test_acc = test_acc / len(test_set)
587
     print("Test\ntest loss : {:.2f} \t test accuracy : {:.2f}".format(test_loss,
588
                                                                               test_acc))
589
590
     # Plot the training process (loss)
591
    plt.figure()
592
     plt.plot(np.arange(1, max_iters+1), list_of_train_loss_per_iter, color = 'r')
593
    plt.title(f"Training Process: loss v.s. epoch")
594
    plt.xlabel('epoch')
595
     plt.ylabel('loss')
596
    plt.show()
597
598
     # Plot the training and validation process (accuracy)
599
     plt.figure()
600
    plt.plot(np.arange(1, max_iters+1), list_of_train_acc_per_iter, color = 'r')
601
    plt.title(f"Training Process: accuracy v.s. epoch")
602
    plt.xlabel('epoch')
603
    plt.ylabel('accuracy')
604
    plt.show()
605
```

## $0.7 \quad \text{run\_q6\_2.py}$

```
# Import package
    import numpy as np
2
    import matplotlib.pyplot as plt
3
    import scipy.io
4
   import torch
5
    import torch.nn as nn
6
    import torch.nn.functional as func
    import torchvision
    import torchvision.transforms as transforms
    import torch.optim as optim
10
11
12
    # Fine-tune a single layer classifier with SqueezeNet
13
    # Set up the hyperparameters
14
    batch\_size = 32
15
    max_iters1 = 20
16
    max_iters2 = 20
17
    learning_rate1 = 0.001
18
    learning_rate2 = 0.0001
19
20
    # Load flowers17 dataset
21
    # For fine tuning
22
    finetune_transform = transforms.Compose([transforms.Resize(256),
23
        transforms.CenterCrop(224),
24
        transforms.ToTensor(),
25
        transforms.Normalize((0.425, 0.425, 0.425), (0.225, 0.225, 0.225))])
26
    finetune_train_set = torchvision.datasets.ImageFolder(root =
27
                    '../data/oxford-flowers17/train', transform = finetune_transform)
28
    finetune_test_set = torchvision.datasets.ImageFolder(root =
29
                     '../data/oxford-flowers17/test', transform = finetune_transform)
30
    # Load the dataset into DataLoader
31
    finetune_train_loader = torch.utils.data.DataLoader(finetune_train_set,
32
                                              batch_size = batch_size, shuffle = True)
33
    finetune_test_loader = torch.utils.data.DataLoader(finetune_test_set,
34
                                             batch_size = batch_size, shuffle = False)
35
36
    # Load the SqueezeNet
37
    squeeze_model = torchvision.models.squeezenet1_1(pretrained = True)
38
    # Replace the classifier layer into 102 classes
39
    squeeze_model.classifier[1] = nn.Conv2d(in_channels = 512, out_channels = 102,
40
                                                                       kernel_size = 1)
41
42
    # Train the SqueezeNet : 2 Steps
43
    # Choose the device to use
44
    device = torch.device("cuda" if torch.cuda.is_available() else "cpu")
45
46
```

```
# Load the SqueezeNet
47
    squeeze_model = torchvision.models.squeezenet1_1(pretrained = True).to(device)
48
    # Replace the classifier layer into 102 classes
49
    squeeze_model.classifier[1] = nn.Conv2d(in_channels = 512, out_channels = 102,
50
                                                            kernel_size = 1).to(device)
51
52
    # 1st Step : train the sinlgle classifier layer
53
    for param in squeeze_model.parameters():
54
        param.requires_grad = False
55
    for param in squeeze_model.classifier.parameters():
56
        param.requires_grad = True
57
58
    # Set up the criterion and optimizer
59
    criterion = nn.CrossEntropyLoss()
60
    optimizer = optim.Adam(squeeze_model.classifier.parameters(), lr =
61
                                                                         learning_rate1)
62
63
    # Initialize training loss and accuracy list
64
    list_of_train_loss_per_iter = []
65
    list_of_train_acc_per_iter = []
66
67
    # Train the classifier layer
68
    print("Train the single layer classifier")
69
    for iter in range(max_iters1):
70
        total_train_loss = 0
71
        total_train_acc = 0
72
        for train_data in finetune_train_loader:
73
            # Extract xb and labelb
74
            xb, labelb = train_data
75
            xb, labelb = xb.to(device), labelb.to(device)
76
77
            # Forward propagation
78
            optimizer.zero_grad()
79
            probs = squeeze_model(xb)
80
81
            # Back propagation + Optimize
82
            loss = criterion(probs, labelb)
83
            loss.backward()
84
            optimizer.step()
85
86
            # Compute loss and accuaracy
            total_train_loss += loss.item()
88
            _, pred_label = torch.max(probs.data, 1)
89
            acc = torch.eq(pred_label, labelb).float().sum()
90
            total_train_acc += acc.item()
91
92
        # Average and Update training loss and accuracy
93
        average_train_loss = total_train_loss / len(finetune_train_loader)
94
```

```
average_train_acc = total_train_acc / len(finetune_train_set)
95
         list_of_train_loss_per_iter.append(average_train_loss)
96
         list_of_train_acc_per_iter.append(average_train_acc)
97
98
         # Print the training process
99
         print("iter: {:02d}".format(iter+1))
100
         print("train loss: {:.2f} \t train acc : {:.2f}".format(average_train_loss,
101
                                                                      average_train_acc))
102
103
     # 2nd Step : finetune the SqueezeNet
104
    for param in squeeze_model.parameters():
105
         param.requires_grad = True
106
107
     # Update the optimizer
108
    optimizer = optim.Adam(squeeze_model.parameters(), lr = learning_rate2)
109
110
    # Train the SqueezeNet
111
    print("Train the SqueezeNet")
112
    for iter in range(max_iters2):
113
         total_train_loss = 0
114
         total_train_acc = 0
115
         for train_data in finetune_train_loader:
116
             # Extract xb and labelb
117
             xb, labelb = train_data
118
             xb, labelb = xb.to(device), labelb.to(device)
119
120
             # Forward propagation
121
             optimizer.zero_grad()
122
             probs = squeeze_model(xb)
123
124
             # Back propagation + Optimize
125
             loss = criterion(probs, labelb)
126
             loss.backward()
127
             optimizer.step()
128
129
             # Compute loss and accuaracy
130
             total_train_loss += loss.item()
131
             _, pred_label = torch.max(probs.data, 1)
132
             acc = torch.eq(pred_label, labelb).float().sum()
133
             total_train_acc += acc.item()
134
135
         # Average and Update training loss and accuracy
136
         average_train_loss = total_train_loss / len(finetune_train_loader)
137
         average_train_acc = total_train_acc / len(finetune_train_set)
138
         list_of_train_loss_per_iter.append(average_train_loss)
139
         list_of_train_acc_per_iter.append(average_train_acc)
140
141
         # Print the training process
142
```

```
print("iter: {:02d}".format(iter+1))
143
         print("train loss: {:.2f} \t train acc : {:.2f}".format(average_train_loss,
144
                                                                      average_train_acc))
145
146
     # Test the model with the test dataset
147
     test_loss = 0
148
     test_acc = 0
149
     for test_data in finetune_test_loader:
150
             # Extract test_xb and test_labelb
151
             test_xb, test_labelb = test_data
152
             test_xb, test_labelb = test_xb.to(device), test_labelb.to(device)
153
154
             # Forward propagation
155
             optimizer.zero_grad()
156
             test_probs = squeeze_model(test_xb)
157
158
             # Compute and Update loss and accuracy
159
             loss = criterion(test_probs, test_labelb)
160
             test_loss += loss.item()
161
             _, pred_test_label = torch.max(test_probs.data, 1)
162
             acc = torch.eq(pred_test_label, test_labelb).float().sum()
163
             test_acc += acc.item()
164
165
     # Print the test loss and accuracy
166
     test_loss = test_loss / len(finetune_test_loader)
167
     test_acc = test_acc / len(finetune_test_set)
168
     print("Test\ntest loss : {:.2f} \t test accuracy : {:.2f}".format(test_loss,
169
                                                                                test_acc))
170
171
     # Plot the training process (loss)
172
    plt.figure()
173
    plt.plot(np.arange(1, max_iters1+max_iters2+1), list_of_train_loss_per_iter,
174
                                                                              color = 'r')
175
    plt.title(f"Training Process: loss v.s. epoch")
    plt.xlabel('epoch')
177
    plt.ylabel('loss')
178
    plt.show()
179
180
     # Plot the training and validation process (accuracy)
181
     plt.figure()
182
    plt.plot(np.arange(1, max_iters1+max_iters2+1), list_of_train_acc_per_iter,
183
                                                                              color = 'r')
184
     plt.title(f"Training Process: accuracy v.s. epoch")
185
    plt.xlabel('epoch')
186
    plt.ylabel('accuracy')
187
    plt.show()
188
189
    # Self-defined CNN
190
```

```
# Set up the hyperparameters
191
     batch_size = 32
192
     max_iters = 40
193
     learning_rate = 0.001
194
195
     # Load flowers17 dataset
196
     # For self-defined CNN
197
     transform = transforms.Compose([transforms.Resize((32, 32)),
198
         transforms.ToTensor(),
199
         transforms.Normalize((0.425, 0.425, 0.425), (0.225, 0.225, 0.225))])
200
     train_set = torchvision.datasets.ImageFolder(root =
201
                               '../data/oxford-flowers17/train', transform = transform)
202
     test_set = torchvision.datasets.ImageFolder(root =
203
                                '../data/oxford-flowers17/test', transform = transform)
204
     # Load the dataset into DataLoader
205
     train_loader = torch.utils.data.DataLoader(train_set, batch_size = batch_size,
206
                                                                          shuffle = True)
207
     test_loader = torch.utils.data.DataLoader(test_set, batch_size = batch_size,
208
                                                                         shuffle = False)
209
210
     # Build the self-defined CNN (LeNet)
211
     class CNN(nn.Module):
212
         def __init__(self):
213
             super(CNN, self).__init__()
214
             # Convolutional block : 3 Convolutional Layers
215
             self. conv_layer_set = nn.Sequential(
216
                 nn.Conv2d(in_channels = 3, out_channels = 6, kernel_size = 5,
217
                                                                              stride = 1),
218
                 nn.ReLU(inplace = True),
219
                 nn.MaxPool2d(kernel_size = 2, stride = 2),
220
                 nn.Conv2d(in_channels = 6, out_channels = 16, kernel_size = 5,
221
                                                                              stride = 1),
222
                 nn.ReLU(inplace = True),
223
                 nn.MaxPool2d(kernel_size = 2, stride = 2),
224
                 nn.Conv2d(in_channels = 16, out_channels = 120, kernel_size = 5,
225
                                                                              stride = 1),
226
                 nn.ReLU(inplace = True),
227
             )
228
             # Fully-connected block : 2 FC Layers
229
             self. fc_layer_set = nn.Sequential(
230
                 nn.Linear(120, 84),
231
                 nn.ReLU(inplace = True),
232
                 nn.Linear(84, 102),
233
             )
234
235
         def forward(self, x):
236
             # Convolution layer block
237
             x = self.conv_layer_set(x)
238
```

```
x = x.view(-1, 120)
239
             # Fully-connected layer block
240
             x = self.fc_layer_set(x)
241
             return x
242
243
     # Train the self-defined CNN
244
     # Choose the device to use
245
     device = torch.device("cuda" if torch.cuda.is_available() else "cpu")
246
247
     # Load the model and Set up the criterion and optimizer
248
     cnn_model = CNN().to(device)
249
     criterion = nn.CrossEntropyLoss()
250
     optimizer = optim.Adam(cnn_model.parameters(), lr = learning_rate)
251
252
     # Initialize training loss and accuracy list
253
     list_of_train_loss_per_iter = []
254
     list_of_train_acc_per_iter = []
255
256
     # Train the model
257
     for iter in range(max_iters):
258
         total_train_loss = 0
259
         total_train_acc = 0
260
         for train_data in train_loader:
261
             # Extract xb and labelb
262
             xb, labelb = train_data
263
             xb, labelb = xb.to(device), labelb.to(device)
264
265
             # Forward propagation
266
             optimizer.zero_grad()
267
             probs = cnn_model(xb)
268
269
             # Back propagation + Optimize
270
             loss = criterion(probs, labelb)
271
             loss.backward()
             optimizer.step()
273
274
             # Compute loss and accuaracy
275
             total_train_loss += loss.item()
276
             _, pred_label = torch.max(probs.data, 1)
277
             acc = torch.eq(pred_label, labelb).float().sum()
278
             total_train_acc += acc.item()
279
280
         # Average and Update training loss and accuracy
281
         average_train_loss = total_train_loss / len(train_loader)
282
         average_train_acc = total_train_acc / len(train_set)
283
         list_of_train_loss_per_iter.append(average_train_loss)
284
         list_of_train_acc_per_iter.append(average_train_acc)
285
286
```

```
# Print the training process
287
         print("iter: {:02d}".format(iter+1))
288
         print("train loss: {:.2f} \t train acc : {:.2f}".format(average_train_loss,
289
                                                                      average_train_acc))
290
291
     # Test the model with the test dataset
292
     test_loss = 0
293
     test_acc = 0
294
     for test_data in test_loader:
295
             # Extract test_xb and test_labelb
296
             test_xb, test_labelb = test_data
297
             test_xb, test_labelb = test_xb.to(device), test_labelb.to(device)
298
299
             # Forward propagation
300
             optimizer.zero_grad()
301
             test_probs = cnn_model(test_xb)
302
303
             # Compute and Update loss and accuracy
304
             loss = criterion(test_probs, test_labelb)
305
             test_loss += loss.item()
306
             _, pred_test_label = torch.max(test_probs.data, 1)
307
             acc = torch.eq(pred_test_label, test_labelb).float().sum()
308
             test_acc += acc.item()
309
310
     # Print the test loss and accuracy
311
     test_loss = test_loss / len(test_loader)
312
     test_acc = test_acc / len(test_set)
313
     print("Test\ntest loss : {:.2f} \t test accuracy : {:.2f}".format(test_loss,
314
                                                                                test_acc))
315
316
     # Plot the training process (loss)
317
     plt.figure()
318
     plt.plot(np.arange(1, max_iters+1), list_of_train_loss_per_iter, color = 'r')
319
    plt.title(f"Training Process: loss v.s. epoch")
    plt.xlabel('epoch')
321
    plt.ylabel('loss')
322
    plt.show()
323
324
     # Plot the training and validation process (accuracy)
325
     plt.figure()
326
    plt.plot(np.arange(1, max_iters+1), list_of_train_acc_per_iter, color = 'r')
327
    plt.title(f"Training Process: accuracy v.s. epoch")
328
    plt.xlabel('epoch')
329
    plt.ylabel('accuracy')
330
    plt.show()
331
```

## 0.8 util.py

```
import numpy as np
2
    # use for a "no activation" layer
3
    def linear(x):
4
        return x
5
6
    def linear_deriv(post_act):
7
        return np.ones_like(post_act)
9
    def tanh(x):
10
        return np.tanh(x)
11
12
    def tanh_deriv(post_act):
13
        return 1-post_act**2
14
15
    def relu(x):
16
        return np.maximum(x,0)
17
18
    def relu_deriv(x):
19
        return (x > 0).astype(np.float)
20
```