

# Evidence for prosodic correspondence in the vowel alternations of Tgdaya Seediq

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This paper brings new evidence for PROSODIC CORRESPONDENCE, where prosodic units (e.g. main-stressed nuclei and prominent syllables) of morphologically related forms are compared. Since prosodic correspondence was formalized in Crosswhite's (1998) analysis of Chamorro, it has received almost no empirical discussion. I argue that Tgdaya Seediq (Austronesian, Atayalic) has vowel alternations which should be analyzed using prosodic correspondence. In Seediq, unsuffixed and suffixed forms tend to share the same stressed syllable nucleus. This VOWEL MATCHING pattern cannot be explained as surface harmony, but it can be explained as the result of a constraint enforcing vowel identity of main-stressed nuclei in morphologically related forms. Unlike the categorical alternations analyzed by Crosswhite (1998), Seediq vowel matching is gradient and only emerges on a statistical level. Nevertheless, prosodic correspondence appears to be active in the synchronic grammar of Seediq; in a production experiment, speakers applied vowel matching to novel forms, and even over-generalized it to environments not predicted by lexical statistics. Vowel matching is modeled in Maximum Entropy Harmonic Grammar (Goldwater & Johnson 2003), a stochastic variant of OT. I use prosodic correspondence to model vowel matching, and Zuraw's (2000; 2010) dual listing approach to capture the discrepancy between lexical and experimental results.

*Keywords: phonology; vowel alternations; vowels; statistical learning; Seediq; prosodic correspondence*

## 1 Introduction

Morphologically related forms tend to be similar with respect to one another. In Optimality Theory (OT; Smolensky 1986; Prince & Smolensky 1993, 2004), this similarity effect has been formalized in various ways, including output-output Correspondence (Benua 1995), Uniform Exponence (Kenstowicz 1995), and Paradigm Uniformity (Steriade 2000).<sup>1</sup> These theories generally assume the comparison of segmental elements that are linearly aligned, as schematized in (1).

(1) *Segmental comparison of a stem and its suffixed form*

S <sub>1</sub>	S <sub>2</sub>	S <sub>3</sub>		STEM
S <sub>1</sub>	S <sub>2</sub>	S <sub>3</sub>	-SUFF	STEM+SUFFIX

In her work on Chamorro, however, Crosswhite (1998) finds evidence for a different type of comparison, where *prosodic*, rather than segmental, elements of morphologically related output forms are compared. As will be elaborated on in Section 2, this concept is formalized as PROSODIC CORRESPONDENCE, and involves comparison of units such as the most prominent syllable nodes, or the main-stressed nucleus, of morphologically related forms. To the author's knowledge, no other examples of prosodic correspondence have been documented. Building on Crosswhite's findings, the purpose of this paper is to come back to the idea of prosodic correspondence, with novel evidence from the vowel alternations of Tgdaya Seediq.

Tgdaya Seediq [tugu'daya se'ʔediq] is a dialect of Seediq (Austronesian, Atayalic). In Tgdaya Seediq (henceforth Seediq), stress is always penultimate, and suffixation shifts stress one syllable to the right. Seediq has two fundamental processes of stress-related vowel reduction, which result in alternations between forms of a paradigm. These processes are introduced here, and detailed in Section 3.

<sup>1</sup>Note that in Stratal OT, paradigm similarity effects fall out naturally from cyclicity, and can be treated using just IO-correspondence constraints (Kiparsky 2000, 2015). In this paper, I assume a parallel OT framework, but the main issue addressed in this paper, which deals with prosodic vs. segmental correspondence, is relevant to both parallel and stratal OT.

The first process, called pretonic reduction, causes all vowels to be reduced to [u] pretonically. As seen in (2), pretonic reduction causes the first vowel of the stem to surface as [u] in the suffixed form.<sup>2</sup>

(2) *Examples of pretonic vowel reduction in Seediq*

STEM	SUFFIXED	UR	
'beliŋ	bu'liŋ-an	/beliŋ/	'cave'
'biciq	bu'ciq-an	/biciq/	'few'
'capaŋ	cu'paŋ-an	/capaŋ/	'thick cloth'

The second process, which is directly relevant to the current paper, is post-tonic vowel reduction. This process, illustrated below in (3), causes mid vowels (/e, o/) to reduce to [u] post-tonically. As a result, the final /e/ and /o/ of the stem will surface as [u] in unsuffixed allomorphs (e.g. the isolation stem). This results in [u]~[e] and [u]~[o] alternations between stem and suffixed forms of a paradigm.

(3) *Examples of vowel alternation in Seediq verb paradigms*

	STEM	SUFFIXED	UR	
(a)	'pemux	pu'mexan	/pemex/	'hold'
(b)	'koduŋ	ku'doŋ-an	/kodoŋ/	'hook'

Crucially, the current study finds a strong propensity for alternations of the post-tonic [u] ([u]~[e] and [u]~[o]) to result in VOWEL MATCHING, where the stressed vowels of stem and suffixed allomorphs match. For example, in (3a), the stressed vowels of the isolation stem and suffixed forms, indicated in boldface, are both [e].

Vowel matching is not just present as a statistical tendency in the lexicon. In a production experiment, speakers were asked to produce novel suffixed forms, given stimuli with neutralized post-tonic vowels. This methodology, which tests speakers' application of alternations to novel items, has been shown to reflect speakers' phonological knowledge of gradient patterns in the lexicon (e.g. Ernestus & Baayen 2003; Becker, Ketrez & Nevins 2011). In the current experiment, speakers were found to successfully 'undo' post-tonic vowel neutralization, and productively apply vowel alternations in a way that resulted in vowel matching. Moreover, speakers' application of vowel matching actually extended beyond environments predicted by lexical distributions.

Seediq VOWEL MATCHING resembles vowel harmony as well as copy epenthesis, where the quality of an epenthetic vowel depends on the quality of a vocalic neighbor (Stanton & Zukoff 2018). However, traditional analyses for both harmony and copy epenthesis, such as autosegmental feature spreading (e.g. Clements 1986; Kawahara 2007), segmental correspondence (e.g. Kitto & De Lacy 1999), or agreement by correspondence (Rose & Walker 2004), do not provide a straightforward analysis for the Seediq data. This is because Seediq does not have surface vowel agreement. For example, returning to example (3a), because of post-tonic and pretonic vowel reduction, neither of the allomorphs ([**p**emux], [pu'mexan]) show surface vowel matching. Instead, vowel matching is only observed by comparing prosodic positions (specifically the nucleus of stressed syllables) across related surface forms.

As such, I will argue that Seediq vowel matching is evidence for PROSODIC CORRESPONDENCE (Crosswhite 1998). Specifically, vowel matching is the result of an output-output correspondence relationship between the stressed nuclei of morphologically related forms. In this paper, I will motivate the need for prosodic correspondence in Seediq, and provide an OT analysis for Seediq vowel matching.

The rest of this paper is organized as follows. First, Section 2 introduces prosodic correspondence, and explains how it differs from segmental correspondence. Section 3 describes relevant Seediq vowel alternations. In Section 4, I conduct a survey of a Seediq corpus, and demonstrate that there is a strong statistical

<sup>2</sup>In the following examples, I partially adopt the Seediq practical orthography, where <c> is phonetically [ts].



Building off of Chung's (1983) work on Chamorro, Crosswhite (1998) finds evidence for this type of simultaneous correspondence in Chamorro. Specifically, she uses a correspondence relation between the nuclei of main-stressed syllables to explain a length alternation in Chamorro. In Chamorro, stressed syllables must be heavy; for open syllables, this is usually achieved by lengthening the vowel (e.g. /finu-ja/ → [fi.'nu:ja] 'smoother'). Suffixation shifts stress rightwards, and typically causes the stressed vowel of the stem to lengthen. This is seen in (6) for the suffix /ja/. Main-stressed syllables are indicated in boldface.

(6) *Suffixation and vowel lengthening in Chamorro* (Chung 1983)

	STEM		STEM+ /ja/	
a.	'fi:nu	'smooth'	fi.'nu:ja	'smoother'
b.	bu.'ni:ta	'pretty'	bu.ni.'ta:ja	'prettier'
c.	man.'ti:ka	'fat'	man.ti.'ka:ja	'his fat'

In a subset of suffixed forms, however, a heavy stressed syllable is achieved by geminating the suffix, instead of lengthening the stem vowel (e.g. /lebblu-ja/ → [leb.'bloŋ.ja]). Crosswhite (1998) argues that gemination occurs so that stressed vowel nuclei of related forms share the same moraic length. Gemination ensures that if the base allomorph has main stress on a closed syllable with a short vowel, the main-stressed syllable of derived forms will also have a short vowel. The examples in (7) illustrate how this works. In (7a-c), the suffix /-ja/ surfaces as [jɲa]; the first [ɲ] is syllabified with the preceding vowel, allowing the primary stress to fall on a closed syllable in the suffixed form. Similarly, in (7d-f), the suffix /-mu/ geminates to [-mmu], so that primary stress will fall on a closed syllable.

(7) *Gemination of suffixes in Chamorro* (Chung 1983).<sup>4</sup>

	STEM		STEM+ /ja/	
a.	'leb.blu	'short'	leb.'blop.ja	'shorter'
b.	'daŋ.ku.lu	'big'	daŋ.ku.'lop.ja	'bigger'
c.	'maj.pi	'hot'	maj.'pep.ja	'hotter'

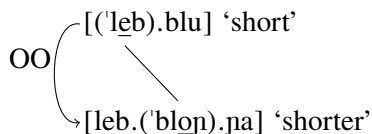
	STEM		STEM+ /mu/	
d.	'tom.mu	'knee'	tom.'mom.mu	'your knee'
e.	'kan.ta	'song'	kan.'tam.mu	'your song'
f.	'swed.du	'salary'	swed.'dom.mu	'your salary'

This prosodic correspondence effect is formalized using the the constraint NUCLEUS-IDENT-OO( $\mu$ ), defined in (8). This constraint enforces the correspondence relationship illustrated in (9), between the nucleus positions in the stressed syllables of morphologically related forms. Specifically, NUCLEUS-IDENT-OO( $\mu$ ) requires that these positions have the same moraic length.

(8) Crosswhite (1998, p.302):

NUCLEUS-IDENT-OO( $\mu$ ): For  $\alpha$ , a stressed nucleus of the base allomorph, and  $\beta$ , a stressed nucleus of a corresponding affixed form, where  $\alpha \mathfrak{R} \beta$ , if  $\alpha$  is monomoraic,  $\beta$  must be monomoraic.

(9) *Correspondence between stressed syllable nuclei in Chamorro*



<sup>4</sup>Some examples also involve a stress-dependent vowel height alternation. For more details on this alternation, refer to Chung (1983) and Crosswhite (1998).

NUC-IDENT-OO( $\mu$ ) identifies two main-stressed nuclei and determines whether they are both associated with only one mora on the moraic tier. In my analysis, I will argue that Seediq vowel matching can be explained using the same correspondence relation, extended to vowel alternations.

Specifically, the Seediq tendency towards vowel matching will be formalized as the effect of vowel feature identity constraints between the stressed nuclei of morphologically related forms. These constraints will identify two stressed nuclei, and determine if they are associated with the same vowel features on the *segmental tier*. The constraint formulation I will use is given in (10), where F represents the relevant vowel features (e.g. [high], [back]).

- (10) NUC-IDENT-OO[F]: For  $\alpha$ , a stressed vowel of the stem and  $\beta$ , a stressed vowel of the suffixed form, where  $\alpha \mathfrak{A} \beta$ , if  $\alpha$  is  $[\gamma F]$ , then  $\beta$  is  $[\gamma F]$ .  
i.e. stressed nuclei of corresponding stem/suffixed forms should agree in value for [F].

In (10), the correspondence relationship (between stressed vowels of related forms) is defined within the identity constraint, and there does not need to be separate constraints enforcing this correspondence relationship. Walker (2016) explores this approach of unifying correspondence and identity constraints, while Hansson (2014) makes a similar proposal.

Crosswhite's Chamorro example is categorical, such that gemination predictably occurs to preserve the moraicity of the main-stressed nucleus. In contrast, Seediq vowel matching is a gradient process. However, I will argue that vowel matching is active in the synchronic grammar of Seediq speakers; it is not only present as a strong lexical propensity in the lexicon, but was also productively extended by speakers to novel suffixed forms (see Section 5). As such, vowel matching can be modeled as the result of a gradient prosodic correspondence constraint.

The following sections will describe the facts of vowel alternation in Seediq. Following this, Section 6 will lay out a detailed stochastic constraint-based analysis of Seediq vowel alternations, and demonstrate the effect of NUC-OO-IDENT[F].

### 3 Vowel alternations in Seediq verb paradigms

Seediq is spoken in Central and Eastern Taiwan. There are around 6500 Seediq people living in Nantou, where the Tgdaya dialect, the focus of the current study, is primarily spoken (Council of Indigenous People 2020). However, the number of fluent speakers is thought to be much fewer than this, due to high rates of language attrition.

The Seediq phoneme inventory is given in (11) and (12); where the orthography that I adopt differs from standard IPA, phonetic transcription is given in brackets. Seediq verbs are almost always inflected for voice, mood, and aspect; verbal inflection can take the form of prefixes, infixes or suffixes (Holmer 1996). These affixes are summarised in Table 1.<sup>5</sup> Crucially, distributional restrictions cause there to be extensive vowel and consonant alternations between the unsuffixed and suffixed forms of a verb paradigm.<sup>6</sup>

During elicitation of verb paradigms (described in Section 3.1), all verbs were elicited with the /su-/, /-an/, /-un/, and /-i/ affixes. However, because the patterns reported in the paper were found to be consistent across affixes, examples will only compare the bare stem forms (which are representative of all unsuffixed slots of the paradigm) to forms suffixed with /-an/ 'LOCATIVE FOCUS.PRES' (which are representative of all suffixed slots).

<sup>5</sup>Holmer (1996) analyzes the LOCATIVE IMPERATIVE as a single suffix /-ani/, but I choose to analyze /-ani/ as composed of /-an/ 'LOCATIVE FOCUS' and /-i/ 'IMPERATIVE'

<sup>6</sup>The current study focuses on vowel alternations, but descriptions of Seediq consonant alternations can be found in: Yang (1976); Holmer (1996); Li (1991).

	AGENT FOCUS	LOCATIVE FOCUS	PATIENT FOCUS	INSTRU. FOCUS
PRES	-m-/mu-	-an	-un	su-
PRET	-mun-	-n-, -an	-un-	
FUT	mu(pu)-	RED-an	RED-un	
IMP		-an-i	-i	

Table 1: Inflectional morphology of Seediq

(11) *Seediq consonant inventory*

Stops	<i>p b</i>	<i>t d</i>	<i>k g</i>	<i>q</i>	<i>ʔ</i>
Fricatives		<i>s</i>	<i>x</i>		<i>h</i>
Affricates		<i>c</i> [tʂ]			
Nasals	<i>m</i>	<i>n</i>		<i>ŋ</i>	
Approximants		<i>r</i> [ɾ]	<i>y</i> [j]	<i>w</i>	
Laterals		<i>l</i>			

(12) *Seediq vowel inventory*

<i>i</i>	<i>u</i>
<i>e</i>	<i>o</i>
<i>a</i>	

## 3.1 Data

The descriptive generalizations to be outlined in the rest of this section are all taken from Yang (1976), supplemented with counts from the current study. In particular, I confirm these generalizations using a corpus of 341 verbal paradigms.<sup>7</sup> Paradigms were drawn from (1) the Taiwan Aboriginal e-Dictionary (Council of Indigenous Peoples 2020), and (2) fieldwork with three Seediq speakers (ages 69-78), carried out by the author in Puli Township, Nantou, Taiwan. Data was collected over the course of three weeks in July 2019. There is a high rate of language attrition in Seediq communities, such that fluent speakers are mostly above age 40, and only speakers around age 60 and above consistently use Seediq in daily conversation. As such, the speakers consulted in this study likely represent a more conservative variant of Seediq. All three consultants reported speaking Mandarin and Seediq regularly at roughly equal rates.

188 paradigms were collected from the online dictionary, and the remaining 156 paradigms were collected from native speaker consultants. Verb paradigms taken from the dictionary were confirmed with consultants, and omitted if my consultant(s) did not recognise the word, or provided conflicting inflected forms. Three forms were omitted under these criteria, leaving a total of 341 paradigms to be analyzed.

## 3.2 Stress-driven vowel alternations

Seediq stress is always penultimate; suffixation shifts stress rightwards (Yang 1976), giving rise to alternations such as [ˈbunuh~buˈnuhan] ‘wear hat’. Crucially, stress interacts with vowel quality, and there is neutralization both pretonically (in the suffixed forms of the paradigm) and post-tonically (in the unsuffixed/isolation stem forms). In the following examples, the underlying vowels are analyzed to be the ones that surface under stress.

Pretonically, all vowel contrasts are neutralised through reduction, deletion, or assimilation. In most cases, vowels are reduced to [u] pretonically. This is demonstrated in (13), where the stem’s initial vowel reduces to [u] when stress shifts to the second syllable in the /an/-suffixed form. Reduction to [u] occurs in 276 stems. Otherwise, if a pretonic vowel is onsetless, it is deleted, as illustrated in (14). This pattern was found for 35 vowel-initial stems. Finally, the pretonic vowel will assimilate to an adjacent stressed vowel if the two are separated by [ʔ] or [h] (see (15)); 25 verbs were found to match this description. All three pretonic vowel neutralization processes are exceptionless.

<sup>7</sup>A list of these paradigms can be found in the supplementary materials titled ‘Seediq paradigms glossed’.

(13) *Vowel reduction to [u] (276/276)*

	STEM	SUFFIX	UR	GLOSS
a.	'gedaŋ	gu'daŋ-an	/gedaŋ/	'die'
b.	'biciq	bu'ciq-an	/biciq/	'decrease'
c.	'barah	bu'rah-an	/barah/	'rare'

(14) *Onsetless vowels delete (35/35)*

a.	'awak	'wak-an	/awak/	'lead (by a leash)
b.	'eyah	'yah-an	/eyah/	'come'
c.	'uyas	'yas-an	/uyas/	'sing'

(15) *Vowel assimilation across [ʔ] or [h] (25/25)*

a.	'leʔiŋ	li'ʔiŋ-an	/leʔiŋ/	'hide (an object)'
b.	'saʔis	si'ʔis-an	/saʔis/	'sew'

Pretonically, vowels are also optionally deleted between nasals and stops, as in (16). Although Yang (1976) describes this process as obligatory, my consultants also accepted forms where the pretonic vowel is reduced to [u], rather than deleted.

(16) *Optional vowel deletion between nasals and stops (2/2)*

	STEM	SUFFIXED	
a.	qu'nedis	qun'dis-an (~qu <u>u</u> 'dis-an)	'lengthen'
b.	gu'natuk	gun'tuk-an (~gun <u>u</u> 'tuk-an)	'peck'

Post-tonically, reduction to [u] also occurs, but in a more restricted environment. Specifically, /e, o/ reduce to [u] in post-tonic closed syllables. So, for stems ending in a closed syllable with underlying /e, o, u/, the final vowel surfaces as [u] in the stem form (where it is post-tonic). However, the same vowel is stressed, and therefore surfaces faithfully, in the suffixed form. Examples of post-tonic vowel alternations are given in (17). In (17a-c), the post-tonic vowel is underlyingly /u/, and therefore non-alternating. In (17d-i), the stem has a post-tonic /e/ or /o/, resulting in [u]~[e] and [u]~[o] alternations.

(17) *Post-tonic reduction of /e,o/ to [u]*

	STEM	SUFFIXED	UR	GLOSS	
a.	'remux	ru'muxan	/remux/	'enter'	(u~u, n=60)
b.	'bunuh	bu'nuh-an	/bunuh/	'hat'	
c.	'gatak	gu'tuk-an	/gatak/	'peck'	
d.	'pemux	pu'mexan	/pemex/	'hold'	(u~e, n=36)
e.	'haŋuc	hu'ŋed-an	/haŋed/	'cook, boil	
f.	ku'tilux	kutu'lex-an	/kutilex/	'hot	
g.	'doʔus	do'ʔos-an	/doʔos/	'refine (metal)'	(u~o, n=4)
h.	tu'loʔuŋ	tulo'ʔoŋ-an	/tuloʔoŋ/	'sit	
i.	'koduŋ	ku'doŋ-an	/kodoŋ/	'hook	

I have analyzed [u]~[e] and [u]~[o] alternations as resulting from post-tonic vowel reduction of mid-vowels to [u]. An alternative account, where /u/ lowers to [e] or [o] under stress, is not viable. Consider, for example, the stem-suffix pair ['pemux]~[pu'mexan] 'hold'. Suppose we posit the UR /pemux/, and derive the suffixed form using a rule (or equivalent constraints) of /u/→[e] under stress. This rule would predict the wrong output for forms like ['remux]~[ru'muxan] 'enter', where [u] does in fact surface under stress.

In addition to this restriction on mid-vowels post-tonically, diphthongs (with the exception of /uy/) are prohibited in post-tonic (i.e. word-final) position. /ay/ and /aw/ are respectively monophthongized to [e] and

[o] as in (18a-b), while /ey/ is monophthongized to [u] as in (18c).<sup>8</sup>

(18) *Word-final monophthongization*

	STEM	SUFFIXED	UR	GLOSS	
a.	'raŋe	ru'ŋay-an	/ranay/	'play'	(e~ay, n=7)
b.	'sino	su'naw-an	/sinaw/	'to drink (alcohol)	(o~aw, n=1)
c.	'deŋu	du'ŋey-an	/deŋey/	'to dry (food)'	(u~ey, n=12)
d.	'seku	su'kuw-an	/seku/	'to store'	(u~u, n=13)

Finally, there are a subset of more complex vowel alternations which interact with consonant alternations. As summarised in (19), final /ag/ becomes [o], and final /eg, ug/ both become [u]. These alternations are historically a result of /g/ weakening to [w] word-finally, followed by monophthongization of the resulting diphthong (Li 1981).

(19) *Alternation of final /g/*

	STEM	SUFFIXED	UR	GLOSS	
a.	'hilo	hu'lag-an	/hilag/	'cover with blanket'	(o~ag, n=9)
b.	'lihu	lu'hug-an	/lihug/	'string together'	(u~ug/eg, n=9)
c.	'baruŋ	bu'rig-an	/barig/	'buy/sell'	(uy~ig, n=3)

### 3.3 Vowel alternations in doubly suffixed forms

Seediq suffixes are monosyllabic, and the majority of forms can take only one suffix. There is only exception, which arises when a stem is suffixed with /-an/ 'LOCATIVE FOCUS' followed by /-i/ 'IMPERATIVE'. These doubly suffixed forms are assumed to not be relevant in the current discussion of vowel matching, since all vowels of the stem are neutralized when it is suffixed with /-an-i/. This is demonstrated in (20). When these stems are suffixed with /-an-i/, stress shifts to the vowel of the suffix /-an/, and all of the stems' vowels are reduced to [u].

(20)

	STEM	STEM-AN	STEM-AN-I	UR	GLOSS
a.	'gedaŋ	gu'daŋan	gudu'ŋani	/gedaŋ/	'die'
b.	'pemux	pu'mexan	pumu'xani	/pemex/	'hold'

## 4 Vowel matching in Seediq

In this section, I show through a survey of the Seediq lexicon that vowel matching is present as a statistical tendency in Seediq, specifically in environments where post-tonic vowel reduction could have occurred.

### 4.1 Vowel matching and post-tonic vowel reduction

As described above in Section 3.2, forms that are underlyingly /CVC{e,u,o}C/ undergo post-tonic reduction, and surface as [CVCuC] in the unsuffixed stem. In these surface [CVCuC] stems, there is a strong statistical tendency for VOWEL MATCHING, where the stressed vowel of the unsuffixed/isolation stem form matches the stressed vowel of the suffixed form. This pattern is illustrated in Fig. 1, which shows the distribution of stressed vowels in CVCuC stems. For example, the bottom-left cell (where n=4) corresponds to stems like /potok/ ([ˈpotuk]~[puˈtok-an]), where the stressed vowel of the isolation stem and stressed vowel of the suffixed form are both [o].

<sup>8</sup>Note that [o] has a limited distribution in Seediq. As shown in (18b) and (19a), [o] surfaces post-tonically as the result of neutralization of /aw/ and /ag/ to [o]. However, there are very few stems that surface with phonemic stressed [o] as in (17c). In the current data, only four were found.



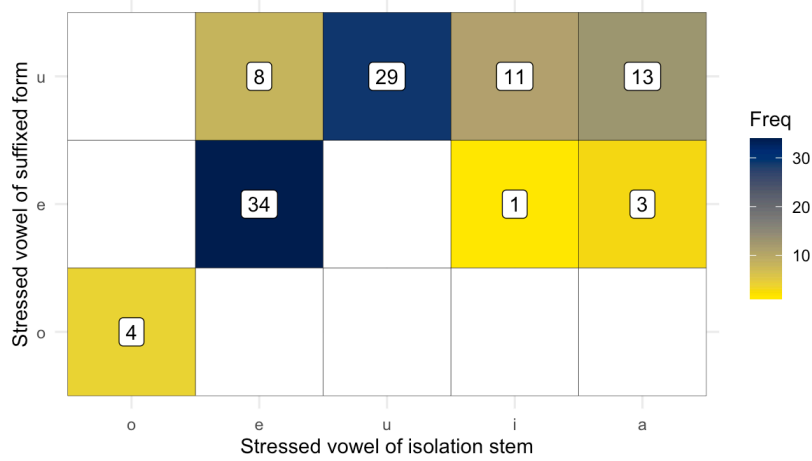


Figure 1: Stressed vowel in stem vs. suffixed form of /CVC{e,u,o}/ words

The corpus contains a few irregular alternations ( $n=3$ ), where final /a/ or /i/ reduce to [u], resulting in [u]~[a,i] alternations. For example, the stem-suffix pair ['huruc]~[hu'rid-an] 'to stop suddenly' contains an irregular post-tonic [u]~[i] alternation.<sup>9</sup> These are excluded from Fig. 1.

These exceptions aside, if the stem stressed vowel is [o], the reduced [u] always surfaces as [o] in the suffixed form (3/3, 100%). Similarly, if the stem stressed vowel is /u/, the reduced vowel will surface as [u] in the suffixed forms (29/30, 96%). For [e], there is similarly a strong tendency for vowel matching; for around 79% (34/43) of forms where the stem's stressed vowel is [e], the suffixed form's stressed vowel will also be [e].

Otherwise, the 'default' vowel that surfaces in the suffixed form appears to be [u]. In particular, when the stem stressed vowel is [a] or [i], the reduced vowel is usually non-alternating, surfacing as [u]. For CuCuC forms, the non-alternating default vowel is also the vowel-matching one. Nevertheless, it is worth noting that vowel matching is exceptionless for CuCuC forms, even though it is logically possible to have stems with a non-matching alternation pattern like ['pumux]~[pu'mexan] /pumex/.

The vowel matching pattern was confirmed using a multinomial logistic regression model using the R package *nnet* (Venables & Ripley 2002), with  $V_{\text{SUFF STRESSED}}$  (stressed vowel of the suffixed allomorph) as the dependent variable. The input data was all disyllabic forms in the corpus; the predictors were V1 ([a e i o u]) and V2 ([a i u]) of the unsuffixed allomorph, as well as a binary variable  $\text{VOWEL MATCHING}$  (i.e. whether stem and suffixed allomorphs have the same stressed nucleus). If vowel matching is present in the Seediq lexicon, this last predictor should emerge as significant.

Likelihood Ratio Tests were used to confirm the significance of each predictor, and all three predictors were significant. Crucially, consistent with predictions,  $\text{VOWEL MATCHING}$  was found to be a significant predictor of the suffixed form's stressed vowel,  $\chi^2(4) = 46.425$ ,  $p < 0.0005$  ( $= 2.01 \times 10^{-9}$ ).<sup>10</sup>

#### 4.2 Vowel matching in other environments

Notably, vowel matching is a more robust pattern in stems which are susceptible to post-tonic vowel neutralizations. In other words, there is a strong propensity towards vowel matching in stems that are underlyingly /CVC{e,o,u}C/, where the final vowel surfaces as [u] in the unsuffixed allomorphs. For forms that are under-

<sup>9</sup>Note that this stem-suffix pair also has a [c]~[d] alternation, which is completely regular, and the result of a stem-final consonant neutralization process (/d/→[c]).

<sup>10</sup>The vowel matching tendency was also confirmed using Fisher's exact tests; the suffixed form's stressed vowel was significantly more likely to be [e] if the stem's stressed vowel was [e],  $p < 0.001$  ( $= 4.0 \times 10^{-15}$ , odds ratio = 55). Similarly, the suffixed form's stressed vowel was significantly more likely to be [u] if the stem's stressed vowel was [u],  $p < 0.001$  ( $= 1.12 \times 10^{-8}$ ).

lyingly /CVCaC/ or /CVCiC/, such as the ones in (21), the final vowel does not reduce in post-tonic position, and is therefore non-alternating. In this subset of forms, vowel matching is a much weaker tendency.

(21) *Examples of Seediq stems with a non-alternating final vowel*

- a. 'betaq~bu'taq-an /betaq/ 'stab, prick'
- b. 'laliŋ~lu'liŋ-an /laliŋ/ 'to fish, fishhook'

This is demonstrated in Fig. 2, which plots the stressed vowel of stem forms against the stressed vowel of suffixed forms in *all* CVCVC stems, including both the set of stems from Fig. 1, and stems where the final vowel is /a/ or /i/. For example, the top-right cell (where n=50), represents forms like /patak/ ([patak~[pu'takan]]). The cell to the left of this one, where the stressed vowel of the stem is [i] and the stressed vowel of the suffixed form is [a], represents forms like /pitak/ ([pitak~[pu'takan]]). Underlyingly monosyllabic stems such as /ol/ 'to follow' are excluded, since they don't show vowel alternations, and are very rare (n=4 in the corpus).

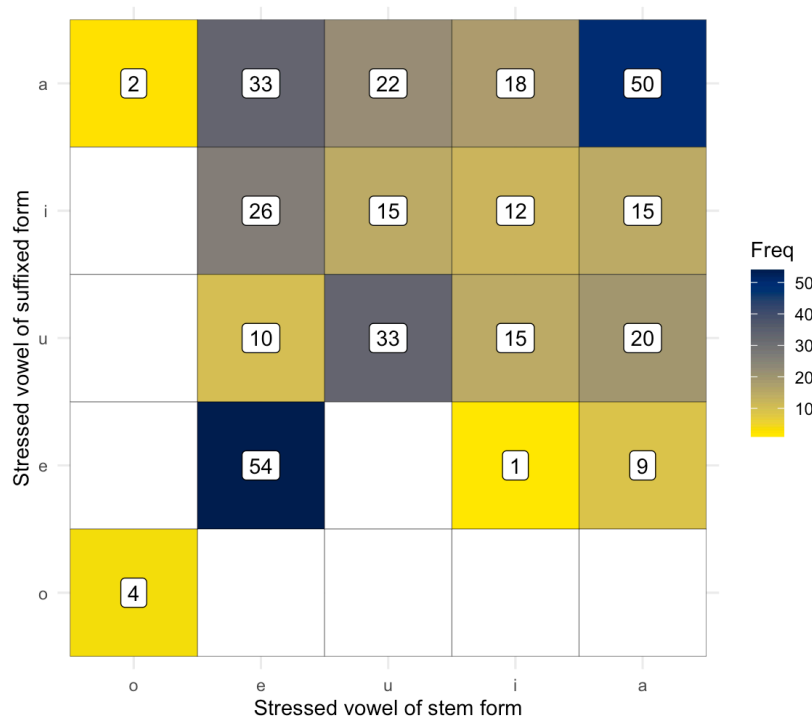


Figure 2: Distribution of stressed vowels in CVCVC stems

As seen in Fig. 2, there is some tendency towards vowel matching, but this trend is weaker compared to the patterns observed in Section 4.1, which looked only at contexts in which post-tonic /e,o/ are reduced (i.e. CVCuC stems). In particular, when the stressed vowel of the unsuffixed form is [i], the stressed vowel of the suffixed form is more likely to be [a] than [i]. In other words, stems like /pitak/ ([pitak~[pu'takan]]) are more common than /pitik/ ([pitik~[pu'tikan]]).

The stronger effect of vowel matching in CVCuC stems (relative to CVCaC and CVCiC stems) was confirmed in a logistic regression model, using the *lme4* package in R (Bates, Mächler, Bolker & Walker 2015). In this model, the dependent variable was a binary variable `VOWEL MATCH`. The predictors were V1 ([a e i o u]) and V2 ([a i u]) of the unsuffixed allomorph. For both predictors, the vowel [a] is the reference category. If vowel matching is a stronger tendency in CVCuC stems, then there should be an effect of V2, such that vowel matching is more likely when V2 is [u].

Model results are summarized in Table 2. Only the results for the factor V2 are reported here, but full model results are reported in Appendix A. As seen here, results are consistent with predictions; when all other predictors are held constant, the odds of vowel matching occurring was significantly higher if V2 was [u] (95% CI [1.39, 3.96],  $p = 0.001$ ). Moreover, there is a weak effect for [i], such that the odds of vowel matching is actually *lower* if V2 is [i] (95% CI [0.22 - 0.91],  $p = 0.029$ )

<i>Predictors</i>	VOWEL MATCH		
	<i>Odds ratios</i>	<i>CI</i>	<i>p</i>
(Intercept)	0.79	0.47-1.33	0.376
V2 [i]	0.45	0.22 – 0.91	0.029*
<b>V2 [u]</b>	<b>2.34</b>	<b>1.39 – 3.96</b>	<b>0.001**</b>

Table 2: Logistic regression: Effect of V2 on vowel matching

## 5 Productivity of vowel matching alternations

A large body of work suggests that speaker intuitions about well-formedness are gradient (e.g. Frisch, Pierrehumbert & Broe 2004), and that speakers will apply alternations in a way which matches the frequency of alternations in the lexicon (e.g. Zuraw 2000; Ernestus & Baayen 2003; Hayes & Londe 2006; Zuraw 2010). This section discusses the results of a production experiment testing whether speakers will productively extend the vowel matching pattern that is reflected lexical statistics. As a preview, I find that speakers productively apply vowel matching alternations only to CVCuC forms (i.e. surface stems where the post-tonic vowel is [u]). In addition, speakers actually learned vowel matching non-veridically, and over-extended it to environments beyond those predicted by the lexicon.

### 5.1 Methodology

The experimental methodology adopted was a modified version of a nonce-word task (i.e. wug test; Berko 1958). Speakers participated in a production task, where they were given stems and asked to produce the inflected suffixed form. Production experiments following this paradigm have been shown to elicit responses that, when averaged over several speakers, replicate distributional facts about the lexicon (e.g. Zuraw 2000; Ernestus & Baayen 2003, and many others).

#### 5.1.1 Participants

Participants were adult native speakers of Tgdaya Seediq (N=10; 7 female; ages 45-76). All speakers were paid 500NTD (around \$17) for their time. Of the 10 participants, 7 notably had slight experience training to be Seediq language teachers. Consequently, they had metalinguistic awareness of suffixes and their functions, but were not taught explicitly about the vowel alternation processes.

#### 5.1.2 Procedure

Since the experiment took place during the COVID-19 pandemic (August 2020), it was conducted remotely by the author, through video conferencing software. Stimuli were presented in Seediq orthography using Microsoft PowerPoint; each word was given in its own slide, and accompanied by its gloss in Chinese orthography. The experiment took around 1.5-2 hours per participant.

The experimenter prompted speakers to give suffixed forms for stimuli by providing an existing paradigm (e.g. [ʰhediq]~[hu'diqan]), and asking them to fill out the paradigm of the test item. This method worked well for the participants, as most have had some (limited) experience training to be Seediq language teachers, and therefore had metalinguistic knowledge about the suffixes.

If a participant failed to produce suffixed forms, the experimenter prompted them by providing more real stem-suffix examples. To minimize priming effects, example stem-suffix pairs always had /i/ as V2 (since the experiment included no stimuli where V2 was /i/). Subjects occasionally fluctuated between -an and -i suffixes, but this never resulted in variation of the stem allomorph. When needed, the experimenter would also provide a meaning for the stimulus verb. For example, the inflected form for *daruk* ‘oil, fat’ could have the meaning ‘to render the fat (out of food)’.

Prior to the experiment, speakers were asked to read a list of Seediq nouns to confirm their fluency with the orthography. Starting with two real-word practice items, speakers were asked to provide the /-an/ suffixed form for each word. After each item, the experimenter checked whether the speaker already knew the inflected forms of the stem (items known to speaker were excluded).

### 5.1.3 Stimuli

In a pilot experiment, speakers raised concerns that the use of nonce words in experiments would interfere with ongoing language revitalization efforts. In response to these concerns, the current study used ‘gapped forms’, or stems with no known suffixed forms, in place of nonce words. A full list of stimuli is given in the Appendix.

Gapped forms were selected using the following methods. First, most stimuli were formed by affixing noun stems with a verbalizer prefix ‘pu-’<sup>11</sup>. For example, the stimulus [pu'gakac] ‘VERB-chair’ can be interpreted as meaning ‘to build a chair.’ To sufficiently cover all experimental conditions, I also included some low-frequency, relatively unknown verbs.

I worked with a primary consultant (age 76, female) to confirm that all test stimuli had no known suffixed forms. A stem was determined to have no known suffixed form if she had never heard it before and had never heard her elders using it before. According to my consultant, Seediq speakers rarely use innovative suffixed forms, and therefore have a clear intuition of whether a stem is gapped. My primary consultant also has certification as a Seediq language teacher, so it was relatively straightforward to ask her whether specific suffixed forms existed.

Stems were determined to be plausibly suffixable by running a pilot experiment with two consultants; words they judged to be impossible to suffix were omitted from the final stimuli.

Stimuli consisted of disyllabic stems ending in closed syllables (i.e. CVCVC), where the first vowel (V1) was one of /a, e, u/ and the second vowel (V2) was one of /a, u/. This results in six possible vowel combinations, summarized in Table 3. These vowel combinations were selected to elicit a range of environments in which post-tonic [u] is expected to either alternate with [e] or not alternate. Stems with a post-tonic /a/ are expected to never show V2 alternations.

Stimuli where V1 was /i,o/ were not included for several reasons. First, there were concerns about keeping the experiment under two hours, so I limited the conditions tested. There were also relatively few words where V1 was [i] or [o], and almost none of these were suffixable during the pilot study.

There were 8 test items for each vowel combination, as well as 24 filler items (4 per vowel combination), which were Seediq words with known suffixed forms. This resulted in a total of resulted in 72 stimuli ( $8 \times 6 + 24$ ).<sup>12</sup>

<sup>11</sup>The prefix [pu-] can act as a “verbalizer” that derives a verb from a non-verb class Holmer (1996) describes this as a causative prefix, but I found that it could be used somewhat productively to form denominal verbs; the same verbalizer prefix is found in closely related languages like Squliq Atayal (Huang & Hayung 2008).

<sup>12</sup>Items also varied by the identity of their final consonant, to test a set of consonant alternations. Results on final consonant alternation are not reported because they are not relevant to the current discussion on vowel matching.

## 5.2 Predictions

In the Seediq lexicon, the post-tonic [u] of a stem can potentially alternate with [e] or [o] in the suffixed form, and this alternation follows a vowel matching tendency. On the other hand, given an unsuffixed stem, post-tonic [a] (and [i]) never alternate.

Predicted speaker responses, based on these distributional generalizations, are summarized in Table 3; for each condition, an example stimulus is provided with the predicted preferred outcome given in parentheses. The rightmost column (‘Match’) indicates whether the predicted output results in vowel matching. If speakers generalize the vowel matching pattern, they should apply the [u]~[e] alternation to most CeCuC stimuli. In CaCuC stems, [u]~[e] alternation should be very infrequent. In CuCuC stems, vowel alternation should never be observed, since the faithful non-alternating outcome already satisfies vowel matching.

V1	V2	Prediction	Example	Match
a	u	disprefer alternation	'daruk (du'ruk-an) ‘oil’	
e	u	[u]~[e] alternation	'keruŋ (ku'reŋ-an) ‘wrinkles’	✓
u	u	never alternate	'cuguk (cu'guk-an) ‘Bidens plant’	✓
a	a	V2 never alternates	'sabak (su'bak-an) ‘dregs, pulp’	✓
e	a		'rehak (ru'hak-an) ‘seed’	
u	a		ku'suwak (kusu'wak-an) ‘yawn’	

Table 3: Experimental conditions: vowel alternations

## 5.3 Results

During the experiment, speakers always applied pretonic vowel neutralization. For example, given an input stimulus ['sabak], responses were always [su'bak-an], and responses like [sa'bak-an] were never observed. In addition, speakers always applied stress shift; stress is exceptionlessly penultimate.

Experimental results for post-tonic vowel alternation are summarized in Fig. 3, which shows the proportion of response types by vowel condition, compared against lexical statistics. Cases where vowel alternation obeyed the vowel matching pattern (i.e. resulted in the stem and suffixed forms having the same stressed vowel) are indicated in green.

For 56 tokens (12% of total responses), speakers did not provide any responses.<sup>13</sup> In a small subset of tokens (n=8, 2% of total responses), instead of inflecting the provided stem, speakers would provide the inflected form of an existing, segmentally similar verb. These tokens were omitted in Fig. 3.

First, looking at the left-hand columns, which shows results for stems with a post-tonic [a], we see that as expected, final /a/ almost never alternates. For CaCaC forms (e.g. ['gakac~gu'kak-an], the non-alternating form already satisfies vowel matching. There was one exceptional token (hu'renaŋ~huru'neŋ-an), where an [a~e] was observed; this alternation resulted in vowel matching. On the right-hand columns, which show stimuli with a post-tonic [u], CeCuC stems prefer [u]~[e] alternation, and CuCuC stems never alternate.<sup>14</sup> Again, note that for CuCuC forms, the non-alternating suffixed form spuriously satisfies vowel matching. In general, for both CeCuC and CuCuC stems, speakers’ rates of alternation (or non-alternation) closely match the lexical statistics.

However, speakers deviated from the lexicon in CaCuC stems. [u]~[e] alternation was not observed at all, even though it exists as a minority pattern in the lexicon (see example (17e) and Fig. 1). Instead, for around half of the stems in this category, speakers applied an [u~a] alternation (e.g. 'daruk~du'ruk-an). This

<sup>13</sup>Most cases where speakers did not provide responses were for the items ['haluŋ] ‘gun’ (reason: many speakers had not heard this word before) and ['gakac] ‘chair’ (reason: difficulty in coming up with a meaning under which the word could be suffixed).

<sup>14</sup>The lexicon contains one exceptional CuCuC form that alternates ('cuguk~cu'gak-an).

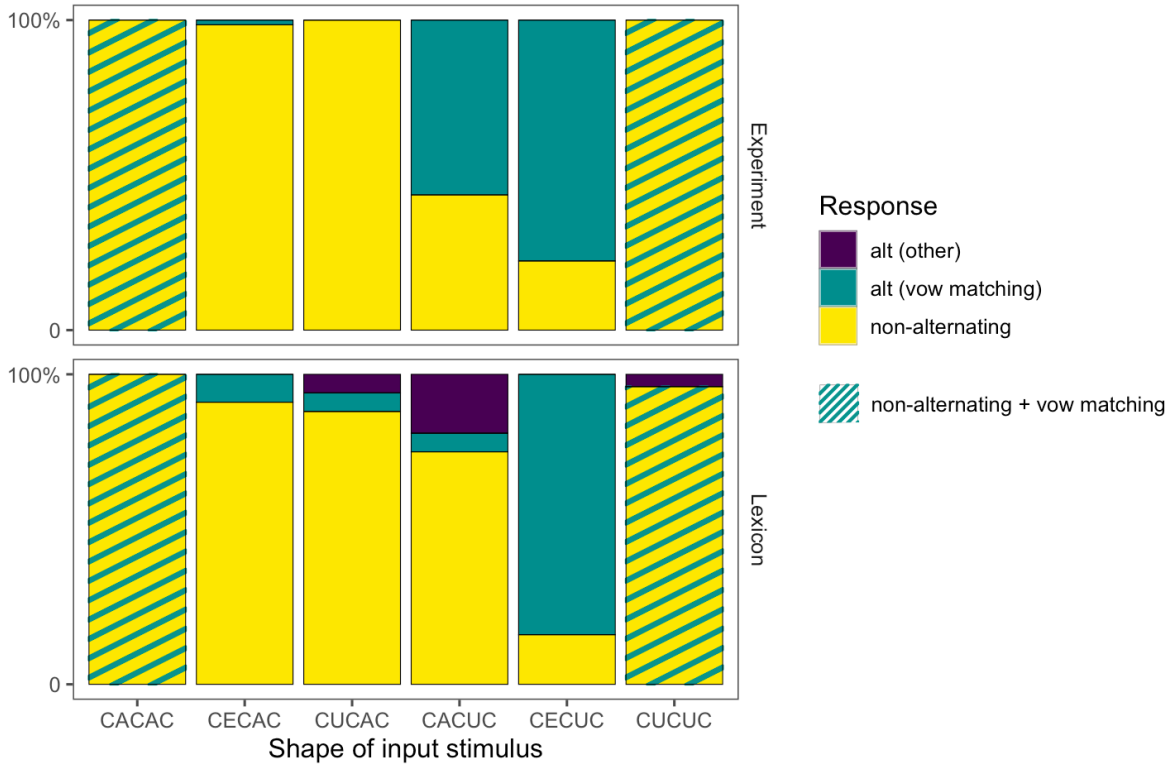


Figure 3: Vowel alternation rates in experiment vs. lexicon

alternation is innovative and novel, in the sense that it is not predicted by lexical statistics. Instead, it appears that speakers have extended the vowel matching pattern to CaCuC stems.

#### 5.4 Discussion and interim summary

Overall, experimental results suggest that speakers have productively learned the vowel matching pattern. In fact, they also appear to have generalized this pattern beyond CeCuC and CoCuC stems, resulting in [u~a] alternations for CaCuC stems.

As addressed in Section 1, Seediq vowel matching is reminiscent of harmony and copy epenthesis (Stanton & Zukoff 2018). Both harmony and copy epenthesis have been the subject of extensive analytical work, and can be treated as feature spreading in autosegmental accounts (e.g. Clements 1986; Kawahara 2007), or enforced by constraints on surface feature agreement (e.g. Hayes & Londe 2006). Copy epenthesis has also been analyzed using segmental correspondence, by enforcing faithfulness between a host segment and its copy (McCarthy & Prince 1995).

Notably however, approaches which enforce surface agreement do not straightforwardly capture Seediq vowel matching in OT. For example, when given a stimulus item such as [ˈpetus], speakers tended to provide the novel suffixed form [puˈtesan]. Because the pretonic vowel is always reduced, if we look only at the suffixed form [puˈtesan], there is no evidence for syntagmatic vowel matching. As a result, segmental vowel harmony constraints such as AGREE will not capture the tendency for vowels to agree across related forms of a paradigm.

Seediq vowel matching can only be captured by comparing morphologically related surface forms (specifically, stem and suffixed forms). Output-output correspondence constraints can do exactly this, by enforcing faithfulness between related output forms. However, classical correspondence constraints, which compare linearly related segmental units, cannot motivate vowel matching in Seediq. Instead, as already outlined in

Section 2, I formalize Seediq vowel matching as the effect of prosodic correspondence between stem and suffixed allomorphs.

## 6 A MaxEnt OT analysis of vowel matching in Seediq

In this section, in order to confirm the claims about vowel copying made above, I flesh out an Optimality Theoretic analysis of vowel matching in Seediq. This analysis will account for the patterns of vowel matching found in the experiment.

Vowel matching is gradient in Seediq, and did not apply categorically to novel forms in the experiment. To capture this, I assume the framework of Maximum Entropy (MaxEnt) Harmonic Grammar (Goldwater & Johnson 2003; Smolensky 1986), which is a stochastic variant of OT.

MaxEnt is a probabilistic variant of Harmonic Grammar (Legendre, Miyata & Smolensky 1990; Pater 2009), which are themselves variants of OT that use weighted (instead of ranked) constraints. MaxEnt generates a probability distribution over the set of candidate outputs based on their violations of a set of weighted constraints. Unlike classic OT, where strict ranking ensures that losing candidates never surface, all candidates in MaxEnt grammars receive some probability. However, if constraint weights are sufficiently different, MaxEnt produces results that are functionally very similar to classic OT, where the winning candidate gets near-perfect probability, while losing candidates get near-zero probability.

In all following tableaux, each constraint is associated with a weight; all constraint weights were learned using Excel Solver (Fylstra, Lasdon, Watson & Waren 1998), with the Conjugate Gradient Descent method. In each tableau, the column labeled **P** indicates the probability of a candidate occurring. For ease of interpretation, very small probabilities (on the order of  $10^{-5}$ ) are listed as zero.

The analysis laid out in this section is meant to demonstrate how NUC-OO-IDENT[F] is able to account for Seediq vowel matching. Because speaker's responses in the production experiment is assumed to better reflect how vowel matching applies in the synchronic grammar, the model will be fit to the experimental data, rather than the lexicon.

Section 6.1 introduces the segmental faithfulness constraints used in my analysis. Following this, I will focus on an analysis fit to experimental results. In Section 6.2, I briefly discuss pretonic vowel neutralizations, and constraints necessary for enforcing them. Section 6.3 outlines how prosodic correspondence constraints are used to capture the vowel matching pattern. Finally, in Section 6.6, I briefly discuss the discrepancies between the lexicon and experimental results, and use Zuraw's (2000; 2010) DUAL LISTING/GENERATION approach to explain the invariance of lexically listed forms.

### 6.1 Inputs and faithfulness constraints

Inputs to the model are designed to match the vowel conditions of the experimental stimuli. In classical generative phonology (Chomsky & Halle 1968), the Seediq learner must compare both stem and suffixed allomorphs to form URs. This approach would not explain the experimental stimuli, where speakers projected URs from isolation stems that have no corresponding suffixed allomorphs.

While there are various approaches to projecting URs from incomplete paradigms, I will follow Albright (2002a,b, 2010, et seq.), and assume a relatively straightforward mechanism where the language learner takes whatever surface form they heard to be the UR. Essentially, URs are segmentally identical to the stimuli, but are under-specified for stress (as stress is non-phonemic). For example, given a stimulus item like ['patuk] (representing, more generally, the CaCuC condition), the corresponding UR is /patuk/. In Section 6.5, I discuss an alternative approach, where the learner forms URs on the basis of relevant lexical frequencies (Jun 2010), and show that in both cases, prosodic correspondence is needed to explain the experimental results.

When deriving the suffixed form, candidates are in both an input-output (IO) correspondence relationship with these URs (e.g. /papuk/), and an output-output (OO) correspondence relationship with the surface

unsuffixed stems (e.g. [ˈpapuk]). Additionally, inputs are assumed to not have listed suffixed forms, just like the experimental stimuli.<sup>15</sup>

The effect of segmental IO-faithfulness is demonstrated in tableau (22), which shows how suffixed forms are derived for the hypothetical input /petus/.<sup>16</sup> The constraint which enforces vowel matching will be introduced in Section 6.3; for now, it is written as OO-MATCHV. Candidate (b), which undergoes vowel alternation to satisfy OO-MATCHV, violates segmental IO-faithfulness constraints, written in the tableau as IO-FAITH. However, because IO-FAITH has lower weight than the constraint which enforces vowel matching, candidate (b) is still preferred.

(22) *IO-faithfulness*

			OO-MATCHV	IO-FAITH
/petus-an/ ~[ˈpetus]	P	ℋ	7	5
a. puˈtus-an	0.12	7	1	
b. puˈtes-an	0.88	5		1

Technically, the vowel-alternating candidate (b) also receives violations from segmental OO-Faithfulness constraints. However, these are omitted from tableau, (22) and all subsequent tableaux, because they have the exact same violation profile as segmental IO-faithfulness constraints.

## 6.2 Pretonic vowel reduction

Pretonically, vowels either delete, assimilate to a stressed vowel, or reduce to [u]. All three patterns can be motivated by fairly standard markedness constraints. In the interest of space, I discuss only pretonic vowel reduction.<sup>17</sup>

Pretonic vowel reduction to [u] can be analyzed as the result of prominence alignment, where vowels reducing to less sonorous variants in non-prominent positions (Kenstowicz 1994; Crosswhite 2000). However, a sonority-driven reduction account does not explain why /i/ and /e/ reduce to [u]. In fact, Seediq vowel reduction to [u] is saltatory (Hayes & White 2015), in the sense that /e/ reduces to [u] instead of the phonetically closer [i]. Barnes (2002) speculates that the modern Seediq pattern is a result of telescoping, where an originally transparent process of pretonic vowels merged to a central high vowel [i~u] was obscured by subsequent phonetic rounding and backing of the reduced vowel to [u].

Saltatory alternations are known to be problematic in constraint-based analyses (Hayes & White 2015; Łubowicz 2002; Ito & Mester 2003). In general, although pretonic neutralization to [u] is consistent with a prominence alignment account, it cannot be straightforwardly analyzed using standard markedness and faithfulness constraints. As such, I adopt a parsimonious constraint that describes the reduction pattern. This constraint, LICENSE[u]/pretonic (LIC[u]/pret), is defined in (23), and essentially penalizes non-[u] syllables in pretonic position.

Pretonic vowel reduction is exceptionless even in the experimental items, which have no listed suffixed form. This means that it must out-weigh (or outrank) all competing IO-Faithfulness constraints. This is demonstrated in tableau (24) for the hypothetical input [ˈpatas].

<sup>15</sup>In Section 6.6, I discuss how stem-suffix pairs that do exist in the lexicon are constrained by faithfulness to listed suffixed forms.

<sup>16</sup>Although it is not discussed here, both candidates (a) and (b) undergo pretonic reduction.

<sup>17</sup>Rule-based analyses for vowel assimilation and deletion can be found in Yang (1976).



The winning candidate (b) violates IDENT[high] due to alternation of pretonic /a/ with [u]. However, the faithful candidate (a) fatally violates a higher weighted LIC[u]/pret, and is therefore eliminated. Candidates which repair the markedness violation through other strategies are eliminated by higher weighted IO-faithfulness constraints. For example, candidate (c), which repairs the violation of LIC[u]/pret by deleting the pretonic vowel, is ruled out by the highly weighted constraint MAXC.

- (23) LICENSE[u]/pretonic: In pretonic position, vowels must be [u] (i.e. non-[u] vowels cannot appear in pretonic syllables).
- (24) *Pretonic vowel reduction.*

			LIC([u], pret)	IO-ID[high]	MAXC
/patas-an/~['patas]	P	$\mathcal{H}$	20	5	10
a. pa'tas-an	0	20	1		
b. pu'tas-an	1	1		1	
c. 'tas-an	0	10			1

Because pretonic vowel reduction is exceptionless and does not interact with the vowel matching alternation, subsequent tableaux will assume that LIC[u]/pret is never violated, and omit candidates such where the pretonic vowel is not reduced.

### 6.3 Vowel matching

In my analysis, the tendency towards vowel matching is formalized as the effect of prosodic correspondence (Crosswhite 1998), specifically using an IDENT constraint between the stressed nuclei of stem and suffixed forms. In Seediq, vowels are only fully contrastive in the stressed syllable, since vowels are reduced both pretonically and post-tonically. It is therefore plausible that the stressed nucleus would be given privileged status in the phonological representation. The constraint I adopt was defined above in Section 2, but is given again in (25) for the feature [high]. NUC-IDENT-OO[high] enforces identity of the [high] feature in the stressed nuclei of morphologically related output forms.

- (25) NUC-IDENT-OO[high]: For  $\alpha$ , a stressed nucleus of the base, and  $\beta$ , a stressed nucleus of the affixed form,  $\alpha\Re\beta$ , if  $\alpha$  is [ $\gamma$ high], then  $\beta$  is [ $\gamma$ high].  
i.e. Stressed nuclei of corresponding stem/suffixed forms should agree in value for [high].

This constraint sets up a correspondence relationships between prosodic units (stressed nuclei), but enforces identity of a *segmental* feature (vowel height) within these prosodic units. Similar constraint formulations, which reference set up correspondence and identity relations across both prosodic and segmental units, have been proposed in work such as Stanton & Zukoff (2018).<sup>18</sup>

IDENT constraints typically reference feature specifications, as in (25). For Seediq, the vowel matching pattern always results in total vowel identity. As such, instead of referencing feature-specific IDENT constraints, I will use the constraint **NUC-IDENT-OO[F]**, where **F** stands for a collection of faithfulness constraints that require two vowels to be identical. There is evidence that total identity is distinct from partial identity,

<sup>18</sup>Frameworks such as Agreement by Correspondence (Walker 2000; Rose & Walker 2004; Hansson 2001, 2010, etc.), where correspondence relations are defined by one set of features, and identity relations are defined by a separate set of features, also predict that this type of correspondence relationship is possible.

and that constraints such as the current one, which enforce total (instead of feature-by-feature) identity, are necessary (e.g. Coetzee & Pater 2008; Gallagher & Coon 2009; Stanton 2022).

The tableaux in (26) demonstrate how NUC-OO-IDENT[F] is used to derive vowel matching alternations for CVCuC forms. The column labeled ‘Obs’ shows the rates of alternation observed in the experimental stimuli. Stressed syllables are indicated in boldface for ease of interpretation.

For the representative input [‘putuk], the faithful candidate (a) satisfies NUC-OO-IDENT[F], and therefore receives high probability ( $\approx 1$ ). For [‘petuk], the high weight of NUC-OO-IDENT[F] relative to competing segmental IO-faithfulness constraints (IO-IDENT[front] and IO-IDENT[back]) causes candidate (d), which undergoes [u]~[e] alternation, to be preferred over the faithful candidate.

For the input [‘patuk], candidate (f) is ruled out because it violates both segmental and prosodic faithfulness. However, candidate (g), which undergoes post-tonic [u]~[a] alternation to resolve NUC-OO-IDENT[F] violations, is slightly preferred over the faithful candidate (e). Compared to the input [‘petuk], the rate of vowel-matching for [‘patuk] is lower (0.56). This falls out from the relative weighting of segmental IO-faithfulness constraints. Specifically, candidate (g) violates IO-IDENT[low], which has a relatively higher weight compared to the other segmental IDENT constraints. Note that these tableaux also contains the constraint \*P/i,u, which is not relevant to the current inputs, but will be explained in the following section.

- (26) *Tableau: post-tonic vowel alternations for CVCuC inputs.* The probability of each candidate in the lexicon (Obs.) is shown alongside model predictions (P).

	Obs	P	H	NUC-OO-IDENT[F]	IO-ID[low]	IO-ID[front]	IO-ID[back]	*P/i,u
				6.6	10.4	9.4	3.2	7.3
/putuk + an/ ~ [‘putuk]								
a. pu’tukan	1	1	10.3					1
b. pu’tekan	0	0	25.0	1		1	1	
[‘petuk] + /an/								
c. pu’tukan	0.22	0.22	18.4	1				1
d. pu’tekan	0.78	0.78	17.0			1	1	
[‘patuk] + /an/								
e. pu’tukan	0.44	0.44	14.5	1				1
f. pu’tekan	0	0	22.3	1		1	1	
g. pu’takan	0.56	0.56	14.3		1		1	

#### 6.4 Explaining asymmetries in vowel alternation

In the experimental results, speakers applied a novel alternation to post-tonic [u], resulting in [u]~[a] alternations. However, they never applied [a]~[u] alternations to post-tonic [a], even when doing so would resolve violations of NUC-OO-IDENT[F].

I explain this directionality as a preference for more sonorous vowels in stressed positions; [u]~[a] alternations are preferred over [a]~[u] alternations because the former increases the sonority of the stressed syllable, while the latter does the opposite. This approach captures the generalization that Seediq vowel alternations are prominence-aligning; pretonic vowels raise to the less sonorous [u] (see Section 6.2), while stressed vowels prefer to be more sonorous. A similar preference for sonorous vowels in prosodic heads or

foot-peaks (i.e. main-stressed syllables) has been observed in various languages, including Zabiče Slovene (Crosswhite 1999) and Chamorro (Chung 1983).

Kenstowicz (1994) formalizes this preference for sonorous vowels in foot-peaks using a family of constraints, where less sonorous vowels are relatively more constrained from appearing in stressed positions. These constraints are given in (27); they penalize certain vowels in word-peaks, and are argued to follow a universal ranking hierarchy. In a weighted constraint model like MaxEnt, this means that a constraint like  $*P/\text{ə}$  should always have higher weight relative to  $*P/\text{e,o}$ .

(27) *Universal hierarchies and rankings for foot-peaks* (Kenstowicz 1994).

- a. Hierarchy for foot peaks:  $\text{a} > \text{e,o} > \text{i,u} > \text{ə}$
- b. Constraint formulation:  $*P/x$ , assign a violation to every vowel  $x$  that is in a foot peak (i.e. the nucleus of a stressed syllable).
- c. Constraint ranking:  $*P/\text{ə} \gg *P/\text{i,u} \gg *P/\text{e,o} \gg *P/\text{a}$

I will adopt this approach, and specifically use the constraint  $*P/\text{i,u}$ . Other foot-peak constraints such as  $*P/\text{a}$  are assumed to be in the grammar, but are not active because of their relatively low weight. The effect of  $*P/\text{i,u}$  is subtle, as it does not prevent stressed  $[\text{i}]$  and  $[\text{u}]$  from surfacing in the lexicon. Instead,  $*P/\text{i,u}$  blocks post-tonic  $[\text{a}] \sim [\text{u}]$  alternation in stems with no listed suffixed forms, in what can be seen as an emergence of the unmarked effect (McCarthy & Prince 1994).

The effect of  $*P/\text{i,u}$  is demonstrated in tableau (28), which compares inputs  $[\text{'patus}]$  and  $[\text{'putas}]$ . Given the input  $[\text{'patus}]$ , candidate (b), which exhibits  $[\text{u}] \sim [\text{a}]$  alternation, has a relatively high predicted probability because it satisfies both  $\text{NUC-OO-IDENT}[\text{F}]$  and  $*P/\text{i,u}$ . In contrast, for the input  $[\text{'putas}]$ , the  $[\text{a}] \sim [\text{u}]$  alternating candidate (c) has low probability satisfying  $\text{NUC-OO-IDENT}[\text{F}]$ . This is because alternation increases violations of  $*P/\text{i,u}$ . Candidates of the form  $\text{CiCuC}$  were not tested, so are not given in this tableau. However, they are predicted to undergo  $[\text{u}] \sim [\text{i}]$  alternation to satisfy  $\text{NUC-OO-IDENT}[\text{F}]$  (e.g.  $[\text{'pituk}] \sim [\text{pu'tikan}]$ ), because alternation of  $[\text{u}]$  to  $[\text{i}]$  does not increase or reduce violations of  $*P/\text{i,u}$ .

(28) *Tableau: effect of  $*P/\text{i,u}$*

	Obs	P	$\mathcal{H}$	$\text{NUC-OO-IDENT}[\text{F}]$	$\text{IO-ID}[\text{low}]$	$\text{IO-ID}[\text{front}]$	$\text{IO-ID}[\text{back}]$	$*P/\text{i,u}$
				6.6	10.4	9.4	3.2	7.3
/patus-an/ $\sim$ $[\text{'patus}]$								
a. pu'tusan	0.44	0.44	14.5	1				1
b. pu'tasan	0.56	0.56	14.3		1		1	
/patus-an/ $\sim$ $[\text{'putas}]$								
c. pu'tusan	0	0	19.7		1		1	1
d. pu'tasan	1	1	9.1	1				

Although I have explained the asymmetry in post-tonic vowel alternation using  $*P/\text{i,u}$ , one alternative is that speakers have learned a source-oriented generalization about the type of vowels allowed to undergo alternation (Albright & Hayes 2003; Becker & Gouskova 2016). These types of generalizations are difficult to capture using standard markedness constraints, which target the output form.

One way to encode a source-oriented generalization is to use anti-faithfulness constraints (Alderete 2001), which are output-output constraints specifying that certain features or segments should not correspond. Another method is to have highly ranked *directional* faithfulness constraints which protect post-tonic /a/ and /i/ from alternating with [u] (but would not, for example, prevent /u/ from alternating with [a]).

The current analysis, which penalizes low-sonority vowels in foot peaks, is preferable in that it (i) avoids the stipulation of non-standard faithfulness and markedness constraints, and (ii) provides a more unified account of Seediq vowel alternations, where both pretonic vowel reduction and post-tonic vowel alternations are motivated by prosodic alignment. However, it should be noted that the two analyses make different predictions about whether rates of vowel-matching alternation are affected by vowel quality.

Under the prosodic alignment analysis, sonority of the alternating vowel is predicted to affect how readily speakers extend vowel matching. For example, the post-tonic vowel in CaCiC stem could undergo [i]~[a] alternation to satisfy NUC-OO-IDENT[F] (e.g. ['patik]~[pu'takan]). Because alternation of [i] to [a] reduces violations of both \*P/i,u and NUC-OO-IDENT[F], it is predicted to occur at a relatively high rate.

In contrast, under the source-oriented generalization account, if speakers have generalized a rule where only post-tonic [u] can alternate, CaCiC forms should never undergo vowel-matching alternation. [i]~[a] alternation is not generally observed in the lexicon (as post-tonic /i/ and /a/ never alternate, barring a few exceptions), but these differing predictions could potentially be tested experimentally using gapped forms.

## 6.5 UR formation and the need for prosodic correspondence

In the above analysis, I assume that URs segmentally match the stimuli. However, language learners may also utilize a more sophisticated approach, where URs are formed on the basis of relevant lexical frequencies (Jun 2010; Ernestus & Baayen 2003). For example, given the surface form ['petuk], learners might generalize the UR /petek/, because post-tonic [u] is most likely to be underlyingly /e/ when V1 of the stem is also /e/.

Such an approach initially appears to be problematic for a prosodic correspondence account, because for a UR such as /petek/, vowel-matching surface forms can be derived without prosodic correspondence. This is demonstrated in tableau (29), where the faithful candidate (b) vacuously satisfies vowel matching, so there is no need to reference NUC-OO-IDENT[F].

### (29) Deriving vowel matching for the UR /petes/

/petes-an/ ~['petus]	P	H	NUC-OO-IDENT[F]	IO-FAITH
			0	2
a. pu'tus-an	0.12	2	1	1
b. pu'tes-an	0.88	0		

Crucially, however, prosodic correspondence is still necessary to explain why vowel matching is extended to ['CaCuC] stems. As discussed in Section 4, post-tonic [u] never alternates with [a] in the lexicon, barring one exceptional form. In fact, 76% (n=13/17) of ['CaCuC] forms in my corpus have the UR /CaCuC/, while 18% have the UR /CaCeC/. If learners are sensitive to lexical statistics during UR formation, we might then expect them to posit the UR /patuk/ for a stem like ['patuk]. In this case, as shown in tableau (30), prosodic correspondence is needed to explain why speakers preferred candidate (b), which violates segmental IO-faithfulness.

(30) *Deriving vowel matching for the UR /patus/*

			<i>Nuc-OO-IDENT[F]</i>	<i>IO-FAITH</i>
/patuk-an/ ~['patuk]	P	$\mathcal{H}$	1.5	1.3
a. pu'tus-an	0.44	1.5	1	
b. pu'tas-an	0.56	1.3		1

Overall, in both approaches to UR formation that are considered in the current paper, over-extension of vowel matching to CaCuC forms cannot be explained by IO-faithfulness. Instead, reference to prosodic correspondence is required.

## 6.6 Lexicon vs. experiment

The model I have outlined was fit to experimental results, with the goal of demonstrating that prosodic correspondence is needed to capture the Seediq alternation patterns. Although it is not the purpose of this paper, I will briefly discuss the additional mechanisms that would be needed in a model that captures facts of both the lexicon and experiment. In particular, there are two major discrepancies between lexical statistics and experimental results. In the rest of this section, I discuss how they could potentially be accounted for.

The first discrepancy concerns lexical variation. Unlike the experimental stimuli, Seediq stems with known suffixed forms never show token variation in terms of whether they alternate, even if the resulting suffixed form violates vowel matching. The invariance of lexical items can be straightforwardly dealt with using Zuraw's (2000; 2010) DUAL LISTING/ GENERATION MODEL. Although I have adopted the lexical listing approach here, there are alternative solutions which also account for lexical specificity, such as constraint cloning (Pater 2007, 2008; Becker 2009).

Under the DUAL LISTING/GENERATION MODEL (Zuraw 2000), both the stem and suffixed allomorphs of existing words are listed in the grammar. For example, given the stem-suffix pair ['remux]~[ru'muxan], the suffixed form /rumuxan/ is listed as a lexical entry. A highly weighted constraint *USELISTED* protects stored forms like this from variation.

This is illustrated in tableau (31), which shows a simplified derivation of the listed suffixed form [ru'muxan], which is associated with the stem ['remux]. The winning candidate (a) [ru'muxan] actually violates *Nuc-OO-IDENT[F]*. However, we never observe candidate (b), [ru'mexan], because /rumuxan/ is listed as a lexical entry. The highly weighted constraint *USELISTED* rules out candidates such as (b). Note that candidate (b) also violates segmental IO-faithfulness, since underlying final vowel /u/ surfaces as [e].

(31) *Example of IO-faithfulness in lexically listed forms*

			<i>USELISTED</i>	<i>Nuc-OO-IDENT[F]</i>	<i>IO-FAITH</i>
/rumuxan/~['remux]					
<i>Weights</i>	P	$\mathcal{H}$	20	7	5
a. ru'muxan	1	7		1	
b. ru'mexan	0	25	1		1

In contrast to words with known suffixed forms, the experimental stimuli have no listed suffixed form, so vowel alternations will not result in violations of *USELISTED*. This is demonstrated below in (32). Because the input stem [ˈpetus] has no listed suffixed form UR, candidate (b), which undergoes vowel alternation to satisfy *NUC-OO-IDENT[F]*, doesn't violate *USELISTED*, and gets assigned higher probability.

(32) *No violations of UseLISTED for gapped or novel inputs forms*

	<i>USELISTED</i>	<i>NUC-OO-IDENT[F]</i>	<i>IO-FAITH</i>		
/petus-an/ ~ [ˈpetus]					
<i>Weights</i>	20	7	5	$\mathcal{H}$	<i>P</i>
a. pu'tusan		1		7	<b>0.12</b>
b. pu'tesan			1	5	<b>0.88</b>

The second difference between the lexicon and experiment is more surprising; as discussed in Section 5, speakers in the experiment actually learned the vowel-matching pattern non-veridically. Specifically, speakers over-generalized vowel matching, and applied a novel [u]~[a] alternation to CaCuC stems. In contrast, the lexicon has only [u]~[e] and [u]~[o] alternations (barring a few exceptions).

There are various possible reasons why speakers may have preferentially over-generalized vowel matching. One possibility is that there is an analytic bias (Moreton 2008), causing learners to preferentially learn vowel matching. More specifically, over-extension of vowel matching could be explained as a complexity bias, which biases learners to learn a general vowel matching constraint, rather than a more complex constraint that is specific to only mid vowels. This analysis would be in line with a body of work suggesting that people preferentially learn simpler constraints (e.g. Pycha, Nowak, Shin & Shosted 2003; Moreton & Pater 2012). Another type of bias involves markedness; speakers may have preferentially learned vowel matching because it is somehow less marked, and guided by UG principles. This type of naturalness bias has been explored in various work, including Becker et al. (2011) and Hayes, Spitar, Zuraw & Londe (2009).

An ideal model of Seediq vowel matching should be able to capture speakers' non-veridical learning. This type of learning model would take the lexical data as input, and be able to predict the experimental results, where Seediq learners over-extended vowel matching. Developing this model is beyond the scope of the current paper, and should be addressed in future work.

One possibility is to model the Seediq data as 'grammatical leakage' (Martin 2011). This approach, which is consistent with a complexity bias account, causes the model to preferentially learn more general constraints. Specifically, the grammar contains a smoothing term that prefers to assign uniform weight to constraints (therefore penalizing complex grammars). If the grammar has both general and vowel-specific constraints for vowel matching, the smoothing term would cause the general matching constraint to be assigned some weight, resulting in an over-extension of vowel matching.

Another approach, consistent with a markedness bias account, is to bias the model to learn lower weights for *NUC-OO-IDENT[F]*. Bias of this sort has been explored by Wilson (2006) and White (2017), and can be incorporated in MaxEnt via the model's prior probability distribution.

## 7 Could Seediq vowel matching have a diachronic explanation?

The post-tonic [u]~[e] alternation in Tgdaya Seediq arose from a sound change of Proto-Austronesian (PAN) \*ə to [u] in the final syllable, and to [e] in other environments (Li 1981). As such, \*ə reduced to [u] in the post-tonic position of unsuffixed forms, but was preserved as [e] in the suffixed forms. More concretely, Seediq

stems that were historically \*CVCəC (i.e. where the syllable is closed, with \*ə as the nucleus) are expected to reflect as u~e alternating stems in modern Seediq.

A summary of Seediq post-tonic alternation patterns and their corresponding reconstructed PAn vowels is given in (33). As seen in this table, a PAn stem that is historically \*CəCəC (with two schwas) should correspond to a stem-suffix pair like ['petus~pu'tesan], which obeys the vowel matching pattern. We should consider the possibility that modern Seediq's tendency towards vowel matching is an artifact of historical distributions. In other words, Seediq might historically have had much more CəCəC and CuCuC forms (than CəCuC/CuCəC forms), resulting in the current tendency towards vowel matching.

(33) *PAn vowels and their corresponding Seediq alternation pattern*

	PAn	EXPECTED SEEDIQ ALTERNATION
a.	*CəCəC	'petus~pu'tesan (vow matching)
b.	*CəCuC	'petus~pu'tusan
c.	*CuCuC	'putuk~pu'tukan (vow matching)
d.	*CuCəC	'putuk~pu'tekan

It is difficult to tell how much vowel matching is directly inherited from proto-Atayalic, which encompasses both Seediq and Atayalic dialects. This is because extensive vowel reduction has taken place in all dialects of proto-Atayalic. In particular, Proto-Austronesian (PAn) schwa has reduced and merged with \*u in the final position of *all* languages in proto-Atayalic (Li 1981). However, regardless of the exact historical origins of vowel matching, the results of the productivity test suggest that it is present in the synchronic grammar of Seediq speakers. In particular, Seediq speakers applied a [u]~[a] alternation, which has no historic basis.

In addition, recent quantitative work on harmony in Oceanic languages by Alderete & Finley (2016) suggests that vowel matching is present as a gradient preference in Oceanic, and likely in Proto-Austronesian. However, this preference is stronger for the high and mid vowels, and does not apply to the low vowel /a/, which has a less restricted distribution and more freely co-occurs with all over vowels. In contrast, what I find is that speakers have extended vowel matching to /a/ (giving rise to alternations like ['patus]~[pu'tasan]). This again supports the conclusion that synchronically, Seediq speakers have learned a vowel matching pattern which cannot be explained by historical facts alone.

## 8 Conclusion

Based on a survey of 341 Seediq verb paradigms, the current study finds that Seediq paradigms show a tendency towards vowel matching, where stressed vowels of morphologically related forms match each other. This vowel matching pattern was shown to be productive, and even overgeneralized, in an experiment where speakers were given stems with no known suffixed forms, and instructed to provide novel suffixed forms.

The Seediq data provides novel evidence for PROSODIC CORRESPONDENCE as defined by Crosswhite (1998). Whereas Crosswhite uses the correspondence between stressed nuclei to explain a categorical length alternation, I extend it to explain a gradient tendency for vowel matching in Seediq. In particular, speakers' over-extension of vowel matching to CaCuC forms is motivated by prosodic correspondence (using NUC-OO-IDENT[F]) and a constraint favoring sonorous vowels in stressed position (\*P/i,u).

Prominent prosodic positions are known to be privileged with respect to standard segmental faithfulness (Kenstowicz 1995; Beckman 1999, 2003, etc.). It is therefore somewhat surprising that so few languages are documented as having the type of prosodic correspondence found in Chamorro and Seediq, where prosodic positions correspond even when they are not linearly related. There are two possible reasons for this.

First, cases of prosodic correspondence could have been overlooked because they only emerge when we compare related *output* forms. In frameworks which focus on deriving surface forms from URs, such as generative phonology, cases of prosodic correspondence would be less evident. One potential case of this

variety comes from Maga Rukai (Austronesian), which has a vowel lowering process that cannot be captured by surface agreement constraints. This alternation has been analyzed in generative rule-based phonology as height assimilation/vowel coalescence followed by syncope (Hsin 2000). However, it could potentially benefit from a prosodic correspondence account, as the result of OO-correspondence between specific syllabic positions of the [high] feature.

Another potential reason for the lack of evidence for prosodic correspondence is that some of these cases are gradient, and only emerge when looking at statistical patterning in the lexicon. The approach taken in the current paper, where vowel matching was found using a combination of lexical statistics and experimental evidence, could prove useful in finding non-categorical cases of prosodic correspondence.

## Appendix A

Table 4 shows the full results of the logistic regression model reported in Section 4.2.

<i>Predictors</i>	VOWEL MATCH		
	<i>Odds ratios</i>	<i>CI</i>	<i>p</i>
(Intercept)	0.79	0.47-1.33	0.376
V1 [e]	0.75	0.41 - 1.37	0.351
V1 [i]	0.40	0.17 – 0.91	0.033*
V1 [o]	1.16	0.17 – 9.46	0.880
V1 [u]	0.96	0.49 – 1.89	0.908
V2 [i]	0.45	0.22 – 0.91	0.029*
<b>V2 [u]</b>	<b>2.34</b>	<b>1.39 – 3.96</b>	<b>0.001**</b>

Table 4: Effect of V1 and V2 on vowel matching (Model: vowel match  $\sim$  V1 + V2)



## Appendix B: stimuli

Stimuli are given in standard Seediq orthography, where pretonic reduced vowels and glottal stops are not written. <ng> refers to IPA [ŋ].

Test items			
V1	V2	word	gloss
a	a	papak sabak kkarang dayang tanah tapaq slmadac/hlmadac gakac	foot pulp (of fruit) walk on all fours make firebreak red pat, slap hunting knife chair
a	u	tatuk daruk rapung halung damux aguh ahuc (paahuc) lapuc	xylophone fat, oil mold gun rooftop call over hoe lint
e	a	rehak tpetak hrenang gelang qseyaq pheapah ngerac sepac	seed conflict sound string of, bundle of cough flower outside four
e	u	etuk thbehuk kerung bngabung gebuh knedux deluc peeruc	contain stuffy wrinkles grill granules thick attached to pillar
u	a	ksuwak ptkurak kurang rubang hrulas srmusaq hunac murac	yawn month-old celebration for infant gums hunting tool spit turbid point compress
u	u	cuguk kduruk bukung ubung btunux pnunuh gukuc	Bidens plant forehead hunch-backed loom rock breastfeed wheel

		hukuc	cane
<b>Filler items</b>			
V1	V2	word	gloss
a	a	awak	lead (by leash)
		qbahang	listen
		qamas	pickle
		qaras	happy
a	u	saruk	burn (fur, hair)
		balung	egg
		rahuq	leak away
		hanguc	cook, boil
e	a	pkepak	touch, feel
		gedang	lose
		lepax	grind
		bcebac	cut
e	u	eluk	close
		gerung	split, break
		remux	enter
		keruc	(cut with) saw
u	a	sdurak	chase
		durang	rope hunting trap
		luqah	injure
		squwaq	noisy
u	u	suyuk	twist into thread
		putung	light (fire)
		plukus	wear (clothes)
		lutuc	ancestry, descendants

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