When learning is not statistical: how learning biases have remolded Malagasy sound patterns

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Research overview

 Phonological learning: how do people acquire systems of sound patterns?

Computational modeling

Kuo 2020; 2022; diss. in progress

Corpora

Grabowski & Kuo, 2023

Experimental evidence

Kuo 2020; Kuo, to appear

Fieldwork (Tgdaya Seediq, Mam)

Kuo 2020; Kuo & Elkins 2022

Insights from understudied languages

Seediq (Taiwan), Malagasy (Madagascar), Samoan (Samoa, American Samoa), Māori (New Zealand), Mam (Guatemala)

Phonological learning

How does this happen?



- /i/ is a sound in English
- "blick" sounds better than "bnick."
- > Add "ed" to form past tense.
-and so on!

How does phonological learning happen?

Domain-general or **Language-specific**

Tools:

historical change in Malagasy + computational modeling

How do learners deal with conflicting patterns?

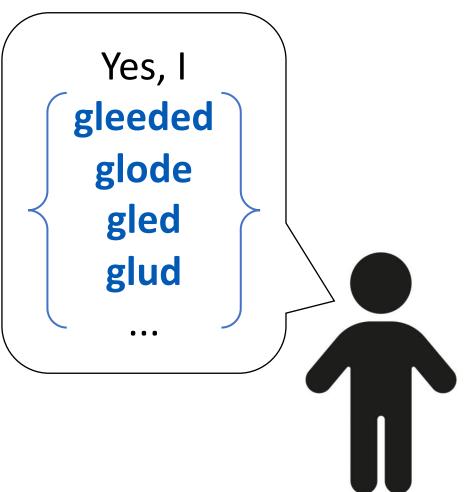
```
PRESENT
              PAST
              laughed
laugh
                             Rule: add "ed" to form past tense
dance
              danced
              jumped
jump
heed
              heeded
• • • •
                             Rule: in words ending in [id], change [i] \rightarrow [\epsilon]
bleed [blid]
              bled [blɛd]
                             to form past tense.
             read [rεd]
read [rid]
• • •
              hed [hed]
heed
```

How do learners deal with conflicting

patterns?

Did you **gleed** yesterday?





Ambiguity can lead to reanalysis

Conflicting data patterns lead to variance that is informative.

OLD PATTERN NEW PATTERN

go, went go, goed

Ambiguity can lead to reanalysis

Conflicting data patterns lead to variance that is informative

OLD PATTERN NEW PATTERN

go, went go, goed

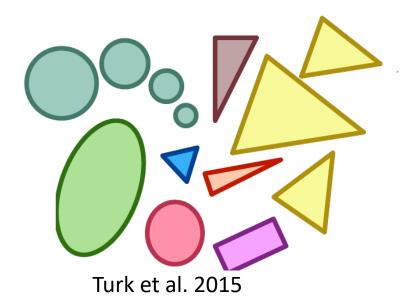
help, halp help, helped (c1300)

dive, dived dive, dove (c1800)

Reanalysis: Innovative variants are adopted and passed down.

Phonological learning: competing views

- Frequency-matching ("statistical learning")
 - Domain-general (Gallistel 1990; Saffran et al. 1999; Newport et al. 2004; Turk et al. 2015)
 - Experiments (e.g. Ernestus & Baayen, 2003; Albright & Hayes, 2003)
 - Acquisition (e.g. Maye, Werker, & Gerken 2002; Romberg & Saffran, 2010)





Gallistel 1990

Phonological learning: competing views

- Frequency-matching ("statistical learning")
 - Domain-general (Gallistel 1990; Saffran et al. 1999; Newport et al. 2004; Turk et al. 2015)
 - Experiments (e.g. Ernestus & Baayen 2003; Albright & Hayes 2003)
 - Acquisition (e.g. Maye, Werker, & Gerken 2003; Romberg & Saffran 2010)
- Linguistically-motivated biases towards:
 - simpler patterns (complexity bias; Moreton & Pater 2012a)
 - smaller changes (perceptual similarity bias; Steriade 2001; Wilson 2006; White 2017)
 - patterns that are easier to say/hear (markedness bias; Jarosz 2006)

Factors driving reanalysis

Existing models are frequency-matching

plead \rightarrow pleaded **Rule:** add "ed" to form past tense

N=1146/1234 (93%)

pled Rule: if a word ends in [id], change [i] \rightarrow [ϵ]

N=6/7 (86%)

plode Rule: if a word ends in [iC], change [i] \rightarrow [o]

N=6/184 (3.3%)

In this case (and many), frequency-matching makes the right predictions!

Generalizations from Albright & Hayes (2003), using data from CELEX database (Baayen et al. 1995)

Factors driving reanalysis

- Problem: In Malagasy, reanalysis is not entirely predictable from statistical learning.
- My proposal: reanalysis is sensitive to a markedness bias
 - "Marked"= cross-linguistically dispreferred, because of being harder to say or hear.

How does phonological learning work?

Frequency-matching

+

Linguistically-motivated biases?

Tools: historical change (reanalysis) + computational modeling

Goals of the talk

- Show that reanalysis in Malagasy can be explained as statistical learning + markedness bias
- 2. Demonstrate how computational models can be used to test theories about language learning.
- 3. Outline a model for incorporating markedness effects into reanalysis.

Outline

1

Intro

Facts of Malagasy

2

Malagasy reanalysis

Evidence **against** statistical learning.

3

Model

The cumulative effect of statistical learning + learning biases over generations of speakers.

4

Results

Demonstrate that Malagasy reanalysis is sensitive to a markedness bias.

Case study: Malagasy consonant alternations



Case study: Malagasy final consonants

- Malagasy language spoken in Madagascar
- Malayo-Polynesian
- Dialect: Official Malagasy, based on variant spoken in/around the capital city Antananarivo.



Malagasy phonology

labiodental retroflex Vowels: /a e i o u/ alveolar glottal dental velar Consonants: p, b plosives* t, d nt, nd ŋk, ŋg affricates* ts, dz ts, dz nts, ndz ⁿts, ⁿdz nasals (η) m n trills/flaps $r\sim r$ fricatives f, v h SZ

• (C)V syllables structure (no codas)

lat. approximants

Weak stems (Albro 2005; Keenan and Polinsky 2017)

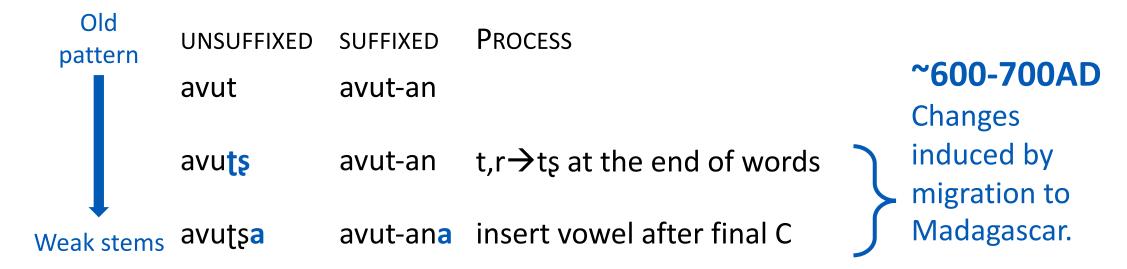
- always end in 'ka', 'tşa', or 'na'
- When suffixed, the consonant in the weak syllable (ts/k/n) may change to another consonant.

type	consonant	unsuffixed	suffixed (+ana)	
na	n	a ⁿ dzávi n a	a ⁿ dzaví n -ana	'to bear leaves'
	m	aná ⁿ dza n a	a ⁿ dzá m -ana	'to try'
ka	h	a ⁿ gáta k a	a ⁿ gatá <mark>h</mark> -ana	'to ask for'
	f	anáha <mark>k</mark> a	anahá f -ana	'to scatter'
ţşa	r	iána ţş a	ianá r -ana	'to learn'
	t	aná ⁿ dza tş a	ana ⁿ dzá t -ana	'to promote'
	f	a ⁿ dzáku tş a	a ⁿ dzakú f ana	'to cover'

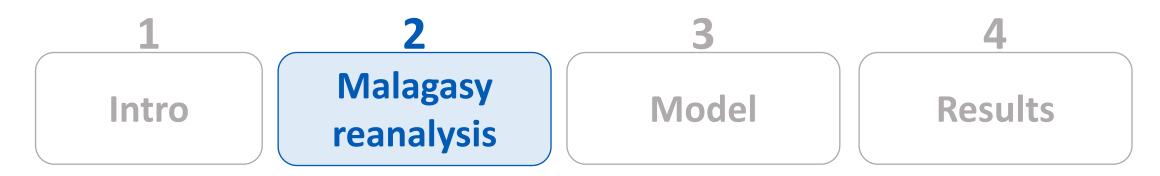
How did weak stems happen?

Generalizations taken from Dahl (1951, 1988), Mahdi (1988), Adelaar (2012)

Note: Data has been simplified for ease of presentation, and do not accurately reflect all historical changes

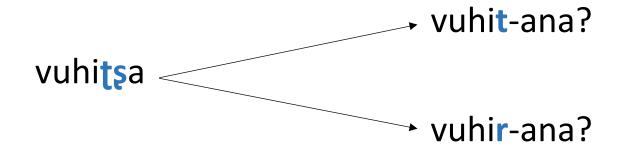


Reanalysis in weak stems



Reanalysis in weak stems

Ambiguity in the unsuffixed form → reanalyses



Reanalysis: change to a sound pattern over generations of speakers

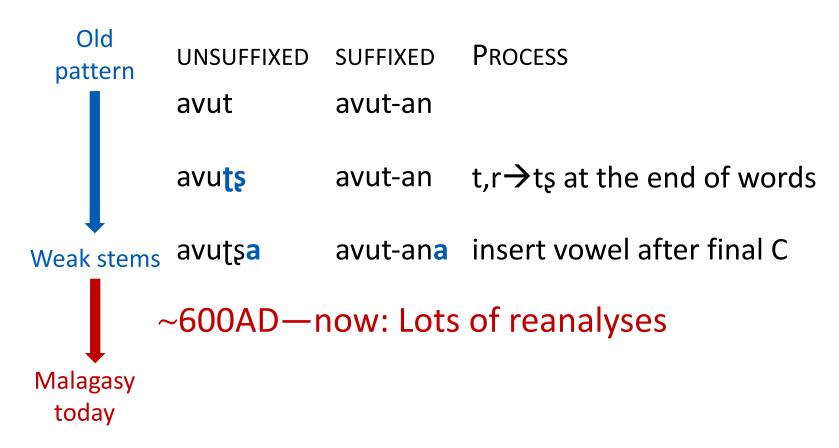
Possible reanalyses for [vuhitsa]:

DIRECTION	SUFFIXED (+ana)
$t \rightarrow r$	vuhit-ana) vuhir-ana
$r \rightarrow t$	vuhir-ana → vuhit-ana

How did weak stems happen?

Generalizations taken from Dahl (1951, 1988), Mahdi (1988), Adelaar (2013)

Note: Data has been simplified for ease of presentation, and do not accurately reflect all historical changes



Reanalysis in weak stems

 As a preview, reanalysis appears to have largely happened in the following directions:

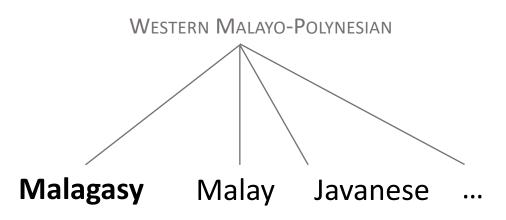
TYPE	DIRECTION	PREDICTED BY STATISTICAL LEARNING?
ka	f→h	Yes
na	m→n	Yes
ţşa	$t \rightarrow r$	No

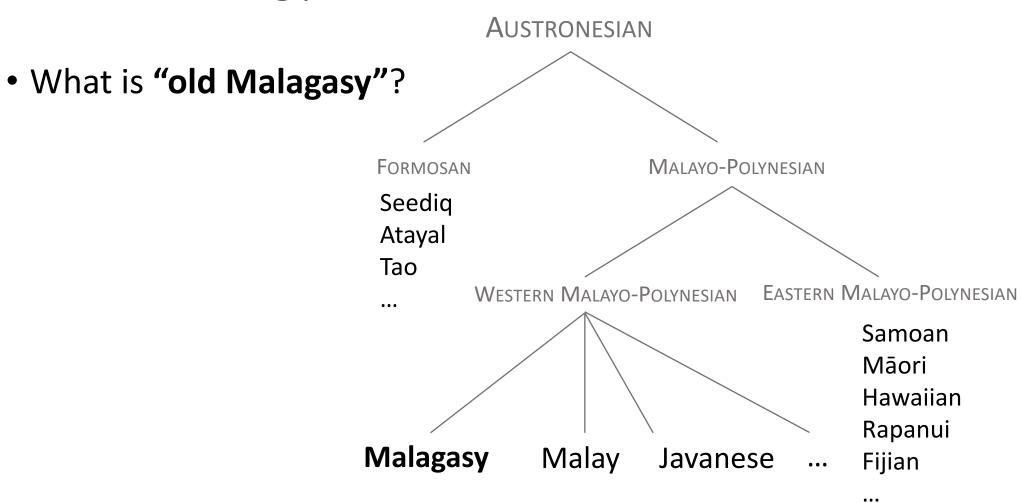
→ Note: I will largely focus on reanalysis in tşa-final words.

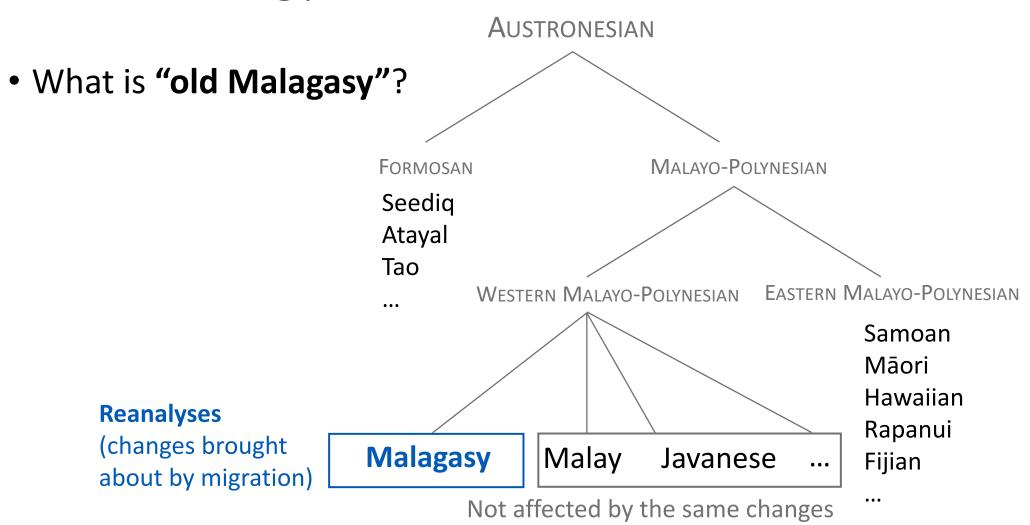
 Compare data from "old" Malagasy (pre-reanalysis) to "new" Malagasy (post-reanalysis)

- What is "old Malagasy"?
- Insights from historical linguistics

```
Dahl (1951, 1988)
Blust (1984)
Mahdi (1988)
Adelaar (2013)
etc.
```







"old" Malagasy	modern Malagasy	
approx. 7 th century AD	approx. 1880–present	
n=215	n=1893	
 Austronesian Comparative Dictionary (Blust & Trussel 2010) World Loanword Database (Adelaar 2009) 	 Malagasy Dictionary and Encyclopedia of Madagascar (MDEM; de La Beaujardière 2004) 108,000 words/phrases, filtered with help of a script. Native speaker consultant 	

Expected direction of reanalysis in tsa words

Tables: tsa-stem suffixed consonants in old Malagasy

(a) all words

Consonant	n	%
(tş~) r	17	26.6%
(tş~) t	47	73.4%

- Assuming statistical learning, we predict:
 - Reanalysis of r→t

Expected direction of reanalysis in tsa words

Tables: tsa-stem suffixed consonants in old Malagasy

(a) all words

Consonant	n	%
(tş~) r	17	26.6%
(tş~) t	47	73.4%

(b) words with a preceding [r]

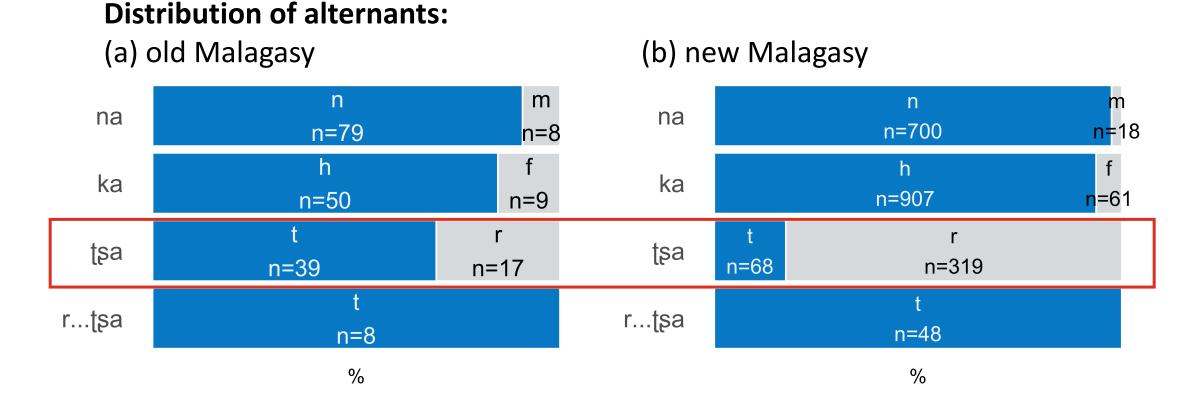
Consonant	n	%
(tş~) r	0	0
(tş~) t	8	100%

puritşa~purir-ana

puritşa~purit-ana

- Assuming statistical learning, we predict:
 - Reanalysis of r→t
 - Avoid r...r: consonant should **not** be [r] if the word already has an [r]

• Indirect evidence of reanalysis: comparing old vs. new Malagasy



Direct evidence: words that have undergone reanalysis

old→new	count	Example
r o r	18	velaţşa ~ velar-ana →velar-ana `to spread out'
r o t	1	saratş∼ sarar-ana →sarat-ana `to rise up'
$t \rightarrow t$	23 (43%)	oroţşa ~ oro t- ana → oro t- ana `to massage'
t o r	30 (57%)	akatşa ~ akat-ana → aka r -ana `to raise'

Direct evidence: words that have undergone reanalysis

old→new	count	Example
$r \rightarrow r$	18	velaţşa ~ vela r -ana →vela r -ana `to spread out'
r o t	1	saratş ~ sara r -ana →sara t -ana `to rise up'
t o t	23 (43%)	oroţşa ~ oro t- ana → oro t -ana `to massage'
t o r	30 (57%)	akatşa ~ akat-ana → akar-ana `to raise'

• Overwhelmingly, reanalysis is in the direction $t \rightarrow r$

Direct evidence: words that have undergone reanalysis

old→new	count	% preceding r
r o r	18 (95%)	0%
r o t	1 (5%)	100%
t o t	23 (43%)	61%
t o r	30 (57%)	0%

- Overwhelmingly, reanalysis is in the direction $t \rightarrow r$
 - Except when the word already has a preceding [r]

Summary of pattern

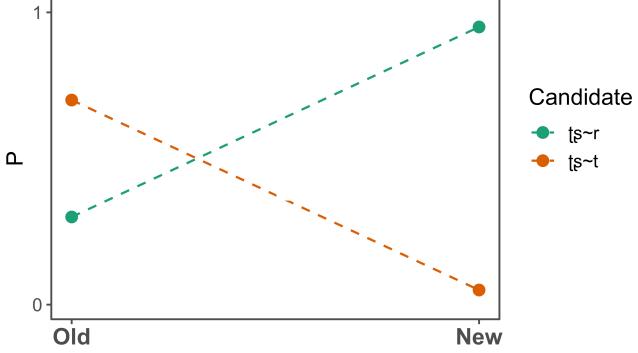
	Old	New
ka words	prefer [h]	prefer [h]
na words	prefer [n]	prefer [n]
tşa words	prefer [t] avoid rr	prefer [r] avoid rr

Graphing the pattern: tsa words (no preceding [r])

that surfaces under suffixation:

Figure: Proportion of consonant (t vs. r)

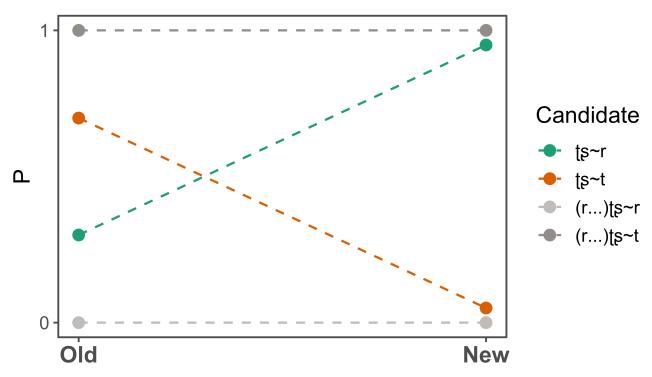
input	output	Old	New
vukiţşa	vuki <u>r</u> ana	0.3	0.95
	vuki <u>t</u> ana	0.7	0.05



Graphing the pattern: tsa words (with preceding [r])

input	output	Old	New
vukiţşa	vuki <u>r</u> ana	0.3	0.95
	vuki <u>t</u> ana	0.7	0.05
vu <u>r</u> itşa	vu <u>r</u> irana	0	0
	vu <u>r</u> i <u>t</u> ana	1	1

Figure: Proportion of consonant (t vs. r) that surfaces under suffixation:



Statistical learning vs. markedness bias

- Reanalysis is not predictable from statistical distributions
- Proposal: Reanalysis is sensitive to a markedness bias

Stops between vowels are marked

- Languages disprefer (voiceless) **stops** between vowels /p t k ts/
 - Bad: atu, faike, papi, betsuka...vulit-ana
 - Good: aro, azi, lumu, tafi, etc... vulir-ana
- harder to say/hear (Kirchner, 1998; Kaplan, 2010; Katz, 2016)
- cross-linguistically dispreferred
 - English ex: tapping! vo[t]e→ vo[r]ing "vote/voting" (Hayes 2011, 143-144)

A model of reanalysis



Elements in a model of reanalysis

- 1. A probabilistic phonological grammar
- 2. Ability to incorporate learning biases
- 3. Simulate generations of change

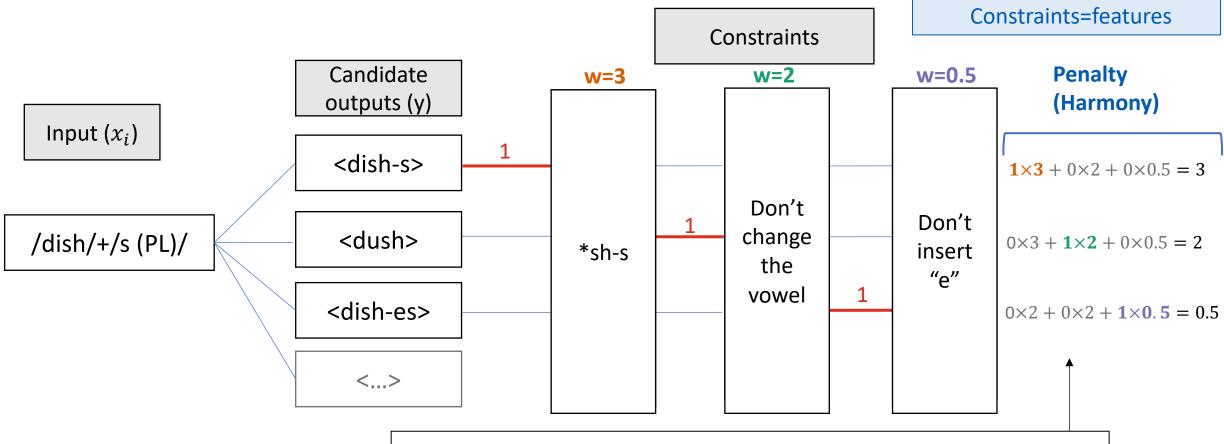
- Basic idea: the grammar has...
 - A mechanism for generating candidate outputs given an input
 - A series of constraints on the output

(Optimality Theory; Prince & Smolensky 1993/2004)

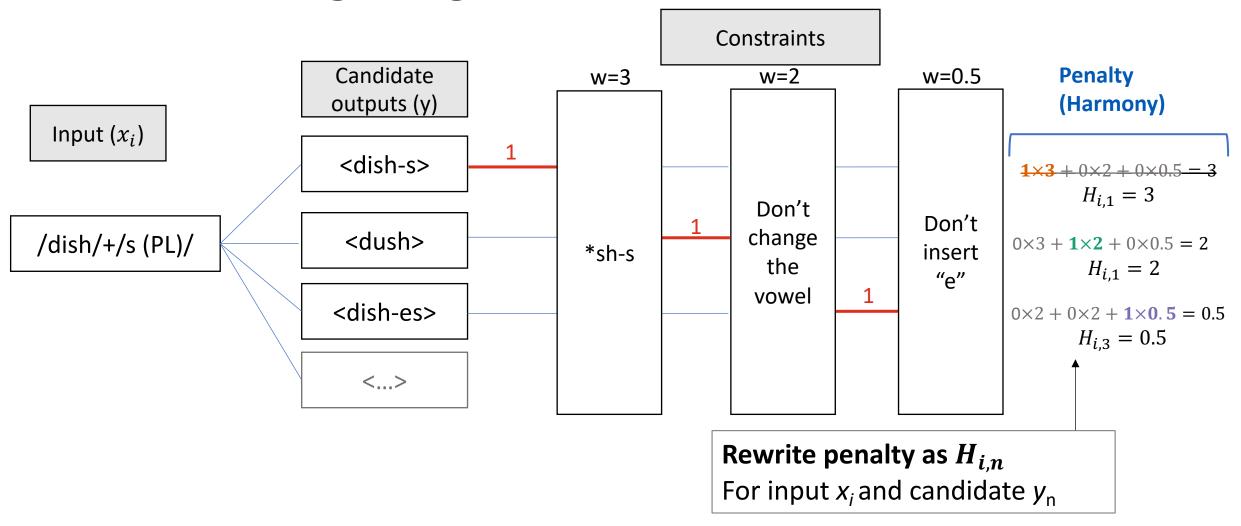
• Ex: In English, a "sh" followed by "s" is not allowed (*sh-s)

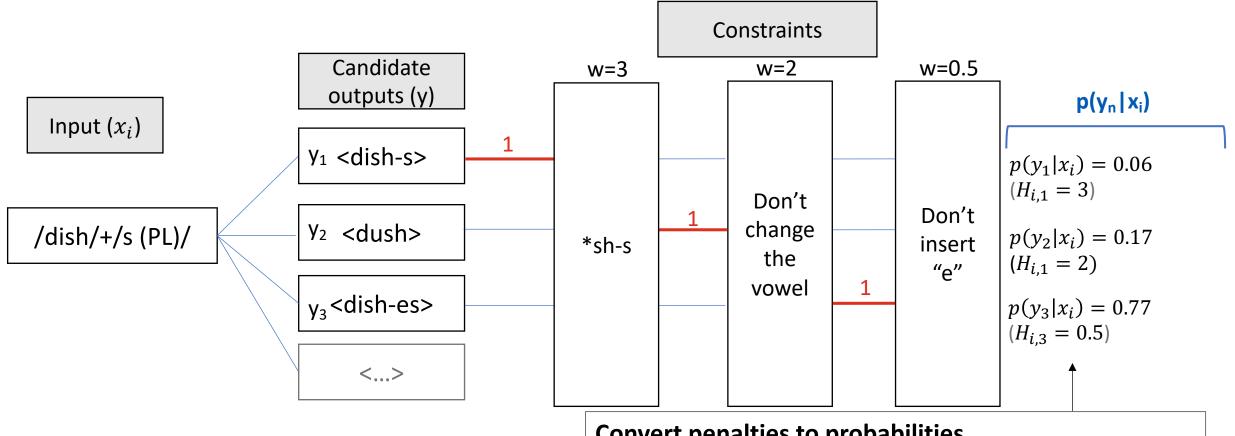
Example outputs

- The grammar also generates probabilities for outputs.
 - Maximum Entropy Harmonic Grammar (e.g., Smolensky 1986; Goldwater & Johnson, 2003)
 - = multinomial logistic regression
- Why Maximum Entropy?
 - convex parameter space, converges to an optimal solution (Della Pietra et al. 1997)
 - Being considered as a model of acquisition



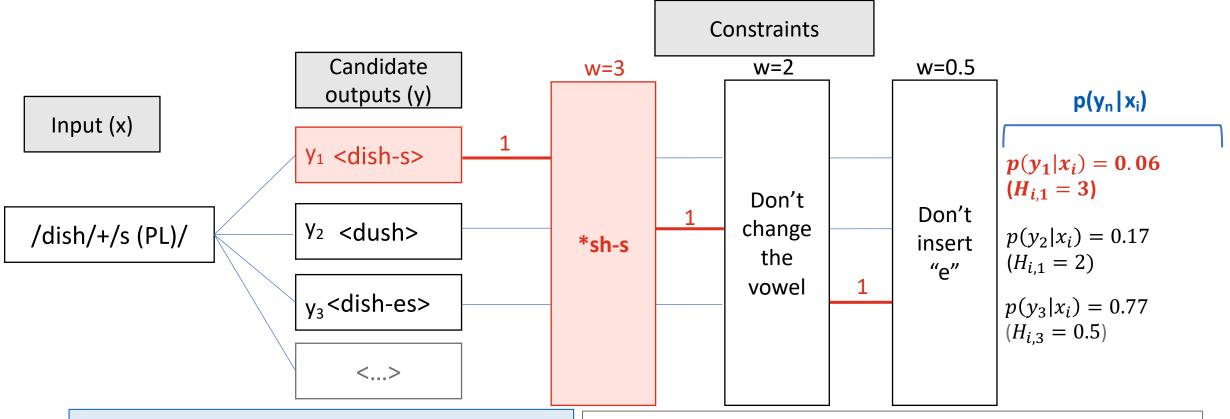
Constraints are weighted, and each candidate receives a penalty score that is the **weighted sum** of all its constraint violations.





Convert penalties to probabilities

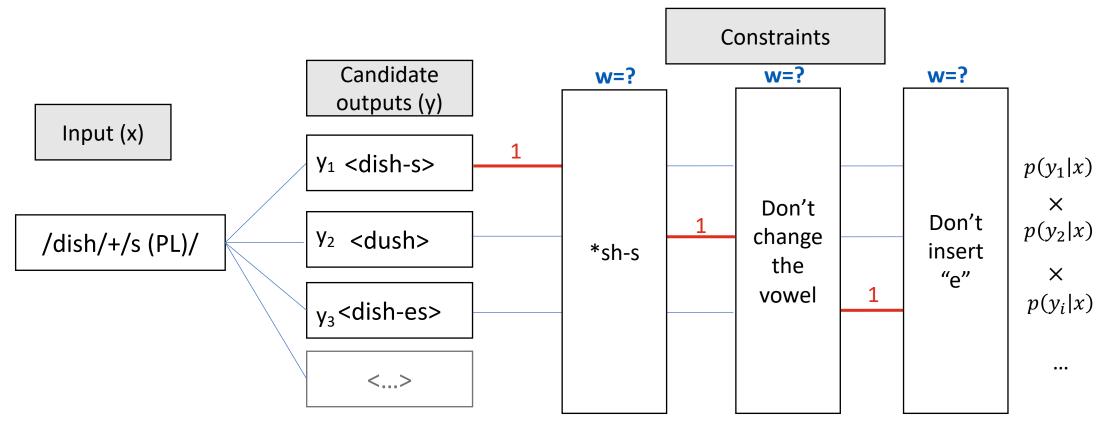
$$p(y_n|x_i) = \frac{e^{-H_{i,n}}}{Z}$$
 $Z = \sum_n e^{-H_{i,n}} = e^{-3} + e^{-2} + e^{-0.5}$



Takeaway: If a candidate output violates a highly weighted constraint, it will receive low probability.

Convert penalties to probabilities

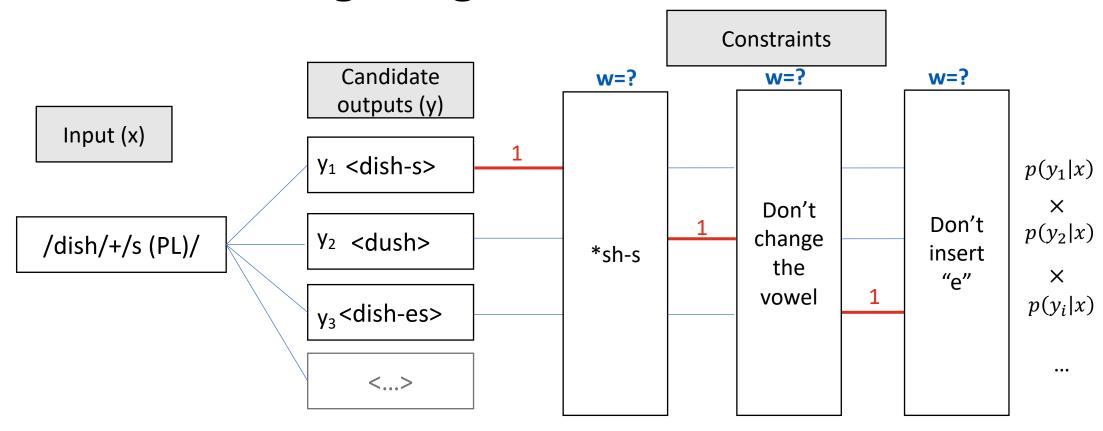
$$p(y_n|x_i) = \frac{e^{-H_{i,n}}}{Z}$$
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How are weights learned? by maximizing an **objective function** with gradient-based optimization

(Goldwater & Johnson, 2003; Lafferty et al., 2001; McCallum, 2003)

 $p(y_1|x)p(y_2|x) ... p(y_n|x)$

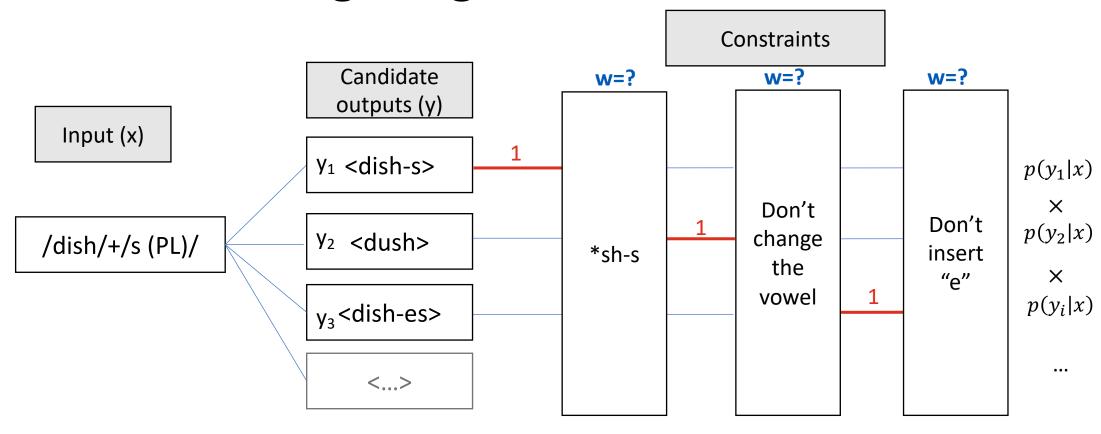


How are weights learned? by maximizing an **objective function** with gradient-based optimization

(Goldwater & Johnson, 2003; Lafferty et al., 2001; McCallum, 2003)

$$\log(p(y_1|x)p(y_2|x) \dots p(y_n|x))$$

$$= \sum_{n=1}^{N} \log(P(y_n|x_i))$$



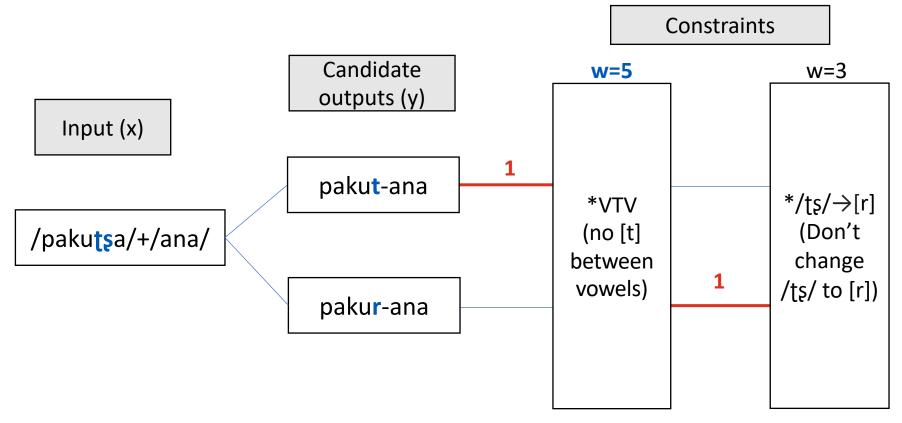
The resulting model is **frequency-matching**.

Now let's apply this to Malagasy!

1 Phonological grammar: constraints

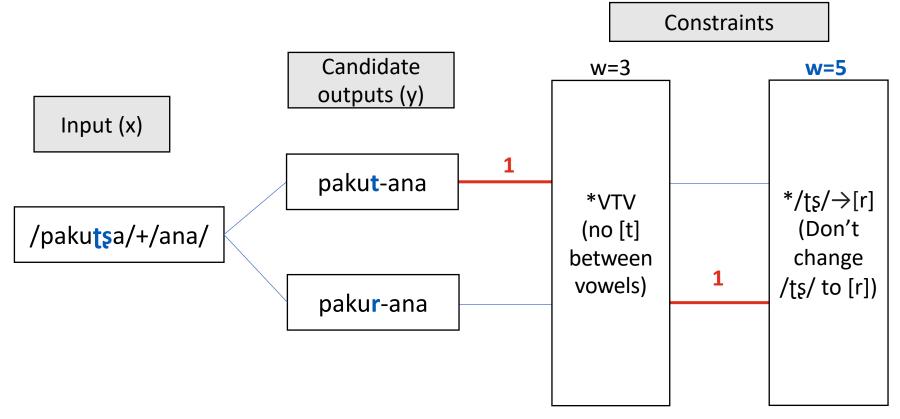
- *VTV: no voiceless stops between vowels (p,t,k,tş)
 - *[+syllabic][-continuant,-voice][+syllabic]
- Other
 - */a/→[b]: an input /a/ should <u>not</u> become [b] in the output (*MAP; Zuraw 2010; 2013)
 - e.g. vukitsa→ vukit-ana violates */tş/→[t]
 - *ts]V, *k]V, *n]V (Pater 2007; Chong 2020).
 - *r...r: penalizes sequences of r...r

A Malagasy example (simplified)



If w(*VTV) > w(*/t\$/ \rightarrow [r]), the grammar will prefer [pakur-ana] If w(*/t\$/ \rightarrow [r]) > w(*VTV), the grammar will prefer [pakut-ana]

A Malagasy example (simplified)



If w(*VTV) > w(*/ $t \le -\infty$ [r]), the grammar will prefer [pakur-ana] If w(*/ $t \le -\infty$ [r]) > w(*VTV), the grammar will prefer [pakut-ana]

Elements in a model of reanalysis

- 1. A probabilistic phonological grammar
- 2. Ability to incorporate learning biases
- 3. Simulate generations of change

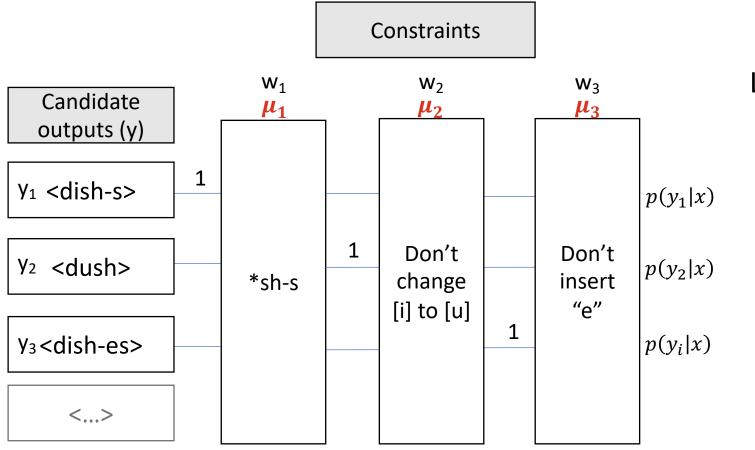
To implement a bias, we can give the model a Gaussian prior (Chen & Rosenfield 1999; Wilson 2006; White 2013)

Functionally equivalent to L2 regularization

The intuition: Each constraint weight w is associated with a Gaussian distribution, defined in terms of a **mean** μ and a **standard deviation** σ .

$$\frac{(w_m - \mu_m)^2}{2\sigma^2}$$

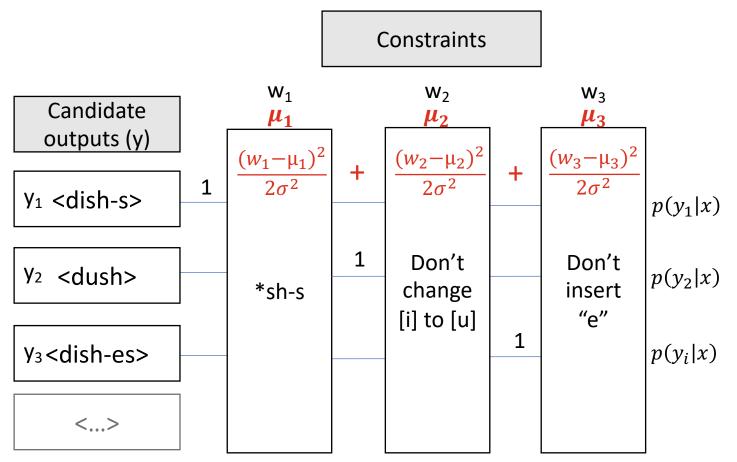
Implementing a Gaussian prior



Old objective function

$$L = \sum_{n=1}^{N} \log(P(y_n|x_i))$$

Implementing a Gaussian prior



New objective function

$$L = \sum_{n=1}^{N} \log(P(y_n|x_i)) -$$

$$\sum_{m=1}^{M} \frac{(w_m - \mu_m)^2}{2\sigma^2}$$

The bigger this value, the bigger the penalty.

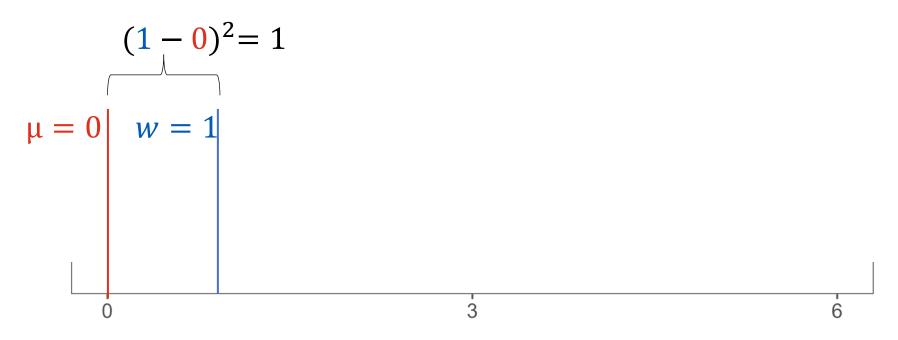
- Bias can be injected into the model by varying μ for each constraint.
 - high μ = high preferred weight
 - low μ = low preferred weight

$$\frac{(\boldsymbol{w_m} - \boldsymbol{\mu_m})^2}{2\sigma^2}$$

• σ set to 1.0 for all constraints

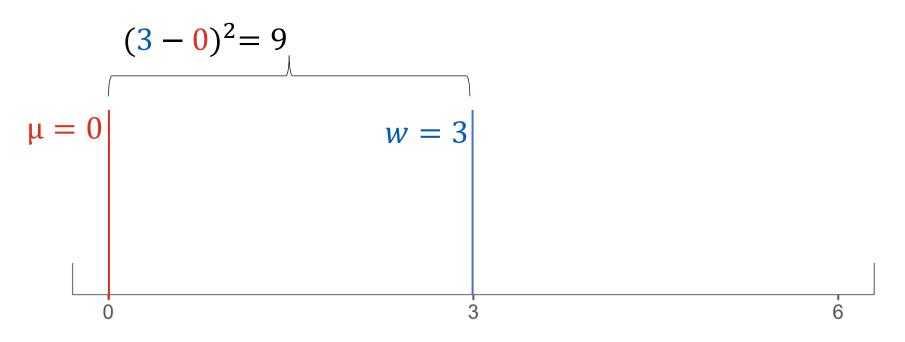
Low μ = low preferred weight

Prior =
$$\frac{(w_m - \mu_m)^2}{2\sigma^2}$$
 w = constraint weight μ = "preferred" weight

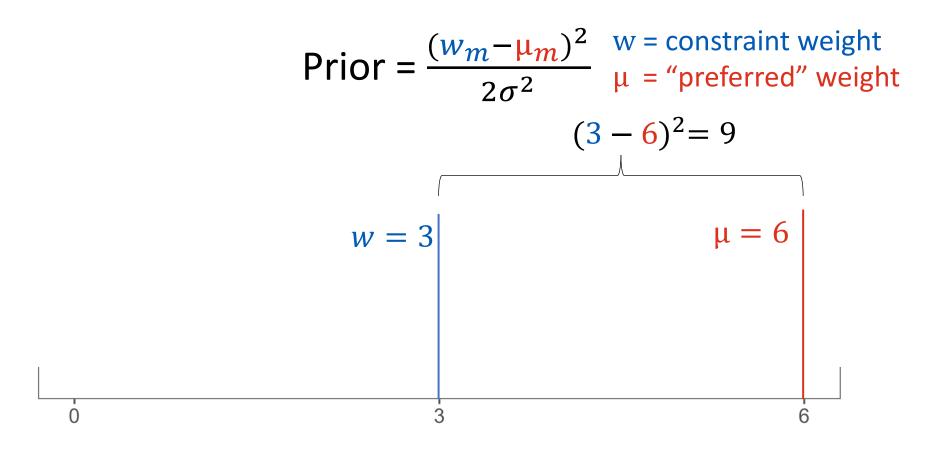


Low μ = low preferred weight

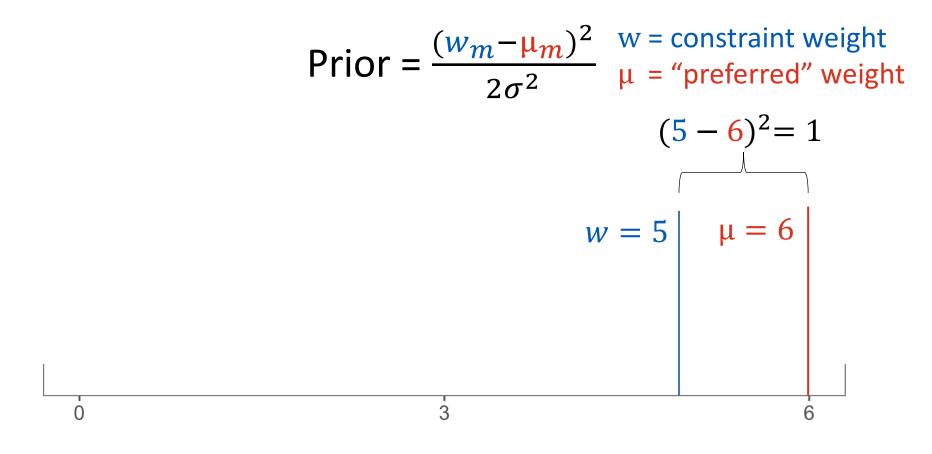
Prior =
$$\frac{(w_m - \mu_m)^2}{2\sigma^2}$$
 w = constraint weight μ = "preferred" weight



high μ = high preferred weight



high μ = high preferred weight



Setting μ for our models

Frequency-matching

Generalization: no

markedness bias

Model: μ =0 for all constraints

(uniform prior)

Markedness bias

Generalization: dispreference

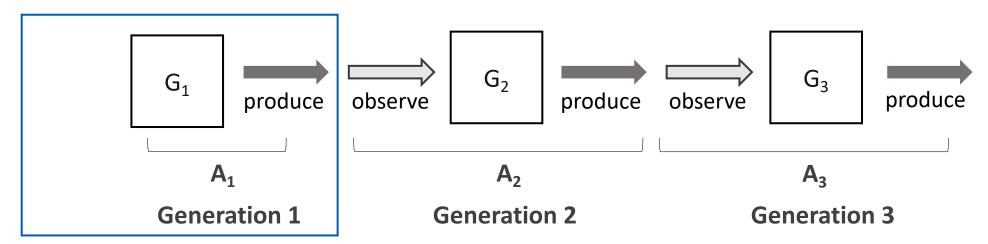
for /p, t, tş, k/ between vowels

Model: $\mu(*VTV)=1$, otherwise

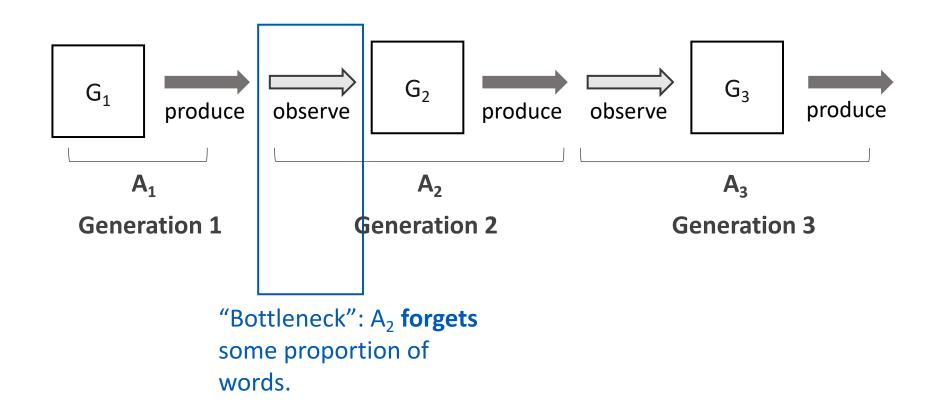
 $\mu=0$

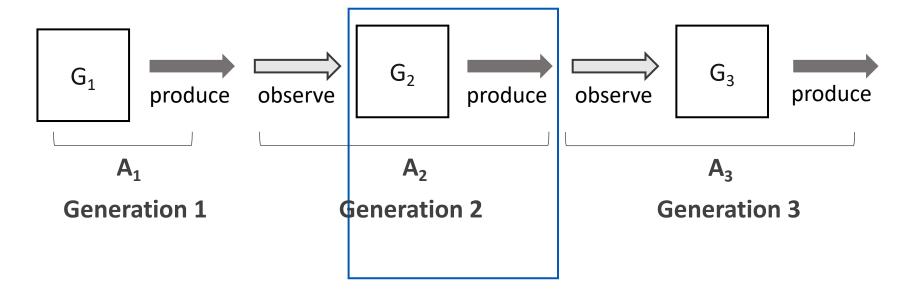
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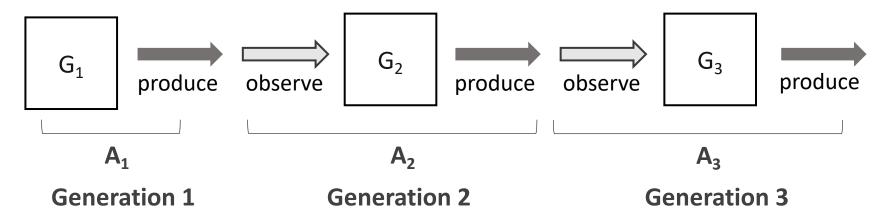


Agent A_1 produces words based on their knowledge of the grammar (G_1) , which A_2 observes

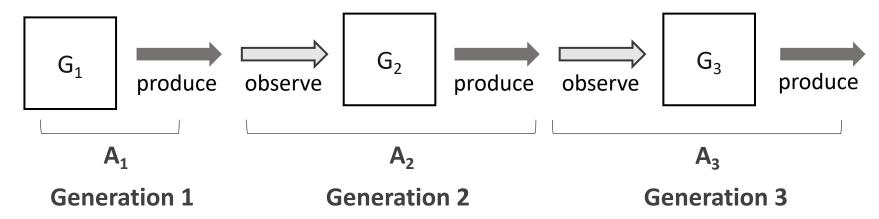




Agent A₂ learns a grammar (G₂) based on the remembered words and produces it.



- Used to simulate change/evolution (for a review: Kirby, Griffiths, & Smith 2014)
- Few applications to detailed patterns of language change.
 - See: Ito & Feldman (2022) on accent change in Sino-Korean.
 - Other work on iterated learning: de Boer 2000; Kirby 2001; Brighton 2002, etc.



Parameters:

- Forgetting rate [0, 1]
 - values: 0.05, 0.1, 0.15, <u>0.2</u>, 0.25
- 50 (25 years/generation, from 600-1800AD)
- Mean of 20 runs.

Elements in a model of reanalysis

- 1. A probabilistic phonological grammar ✓
- 2. Ability to incorporate learning biases ✓
- 3. Simulate generations of change ✓

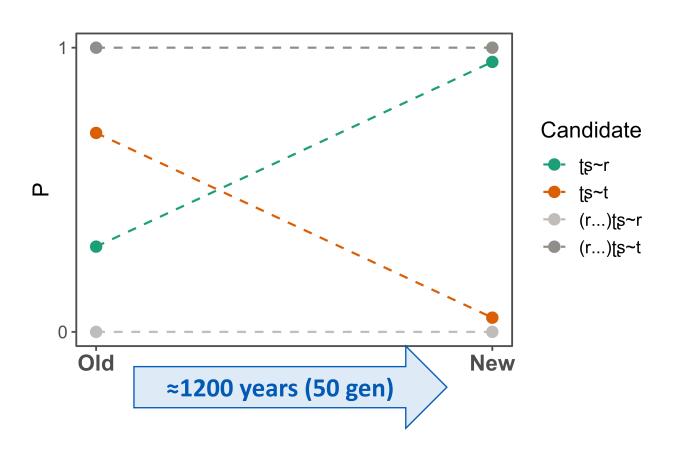
Results



Reviewing the Malagasy data: all stem types

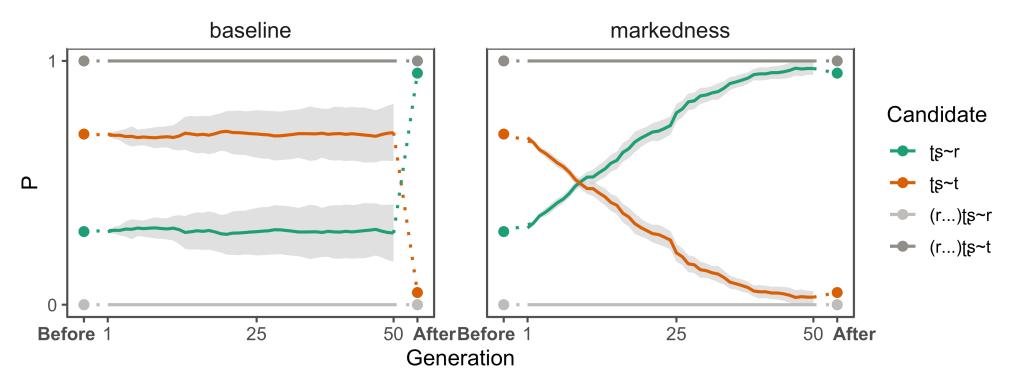
	old Malagasy	new Malagasy
ka-stems	prefer [h]	prefer [h]
na-stems	prefer [n]	prefer [n]
ţşa-stems	prefer [t] avoid rr	prefer [r] avoid rr

Reviewing the Malagasy data: ţsa stems



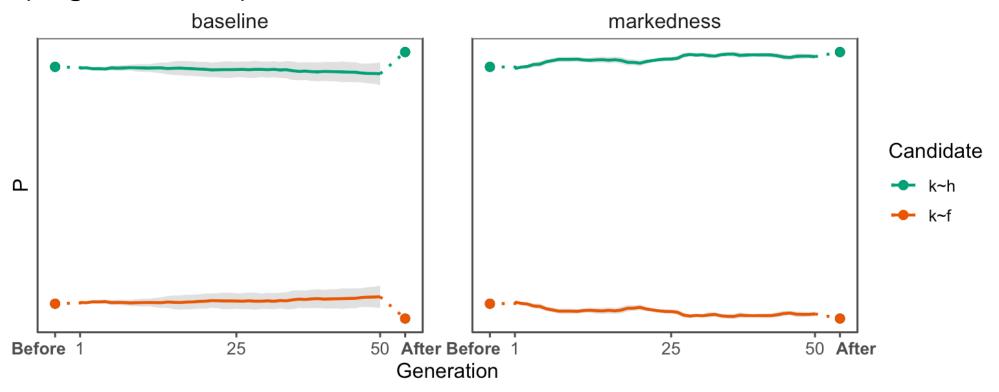
Markedness bias improves model predictions

Figure: Predicted proportion of suffixed form outputs for **tşa** weak stems (forget rate = 0.2)



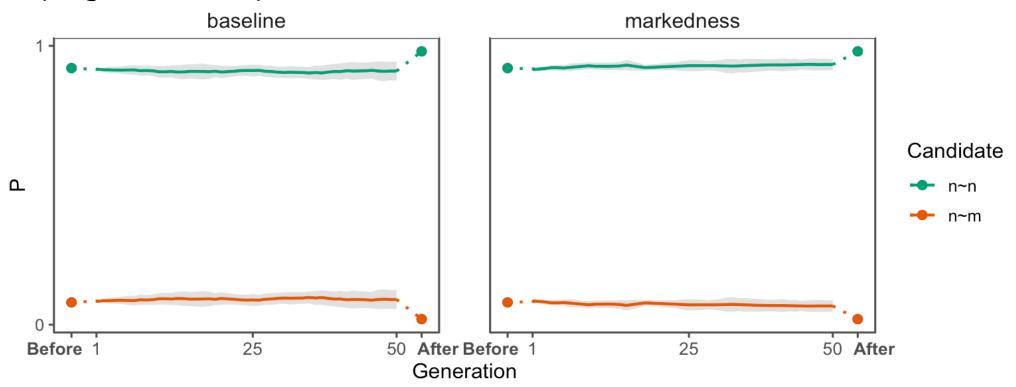
Markedness model performs well on **all** weak stems

Figure: Predicted proportion of suffixed outputs for \underline{ka} weak stems (forget rate = 0.2)



Markedness model performs well on all weak stems

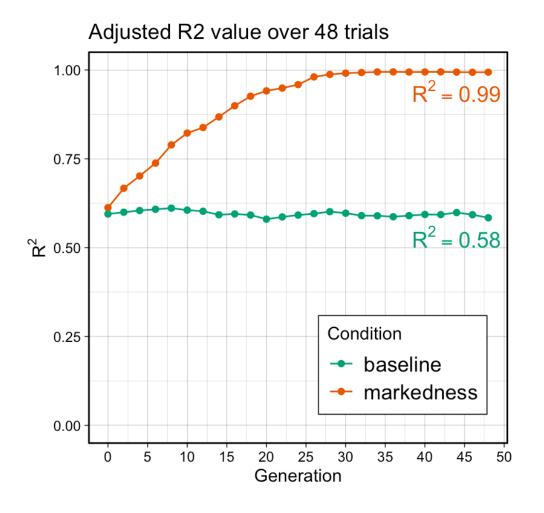
Figure: Predicted proportion of suffixed form outputs for \underline{na} weak stems (forget rate = 0.2)



Markedness model performs better overall

Figure: proportion variance accounted for (R²), fit to **modern Malagasy** data

	Log likelihood
baseline	-9273
markedness	-6033



- 1. Show that reanalysis in Malagasy can be explained as statistical learning + markedness bias
 - ➤In tsa words, t→r is motivated giving *VTV a bias towards higher weight

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 - ►In tşa words, t→r is motivated giving *VTV a bias towards higher weight
- 2. Outline a model for incorporating markedness effects into reanalysis.
 - ➤ Maximum Entropy HG with Gaussian prior + iterated learning.

- Show that reanalysis in Malagasy can be explained by the interaction of statistical learning and a markedness bias
 ▶ Reanalysis of t→r is motivated giving *VTV a bias towards higher weight
- 2. Outline a model for incorporating markedness effects into reanalysis.
 - ➤ Maximum Entropy HG with Gaussian prior + iterated learning.
- 3. Demonstrate how computational models can be used to test theories about language learning in the absence of direct evidence.

- Theories of reanalysis should be supplemented by markedness bias.
- Language change can be a "natural laboratory" for how humans learn (Kiparsky 1965; 1968; 1978, et seq)
- Where computational techniques are particularly helpful!

What's next?

- More evidence from Māori, Samoan, etc...
- What restricts the range of possible markedness effects?
 - Universal markedness
 - Learned from language-specific trends, already present in the lexiconprobably true for Malagasy!
- Expanding the empirical domain:
 - Artificial Grammar Learning studies (in progress)?
 - L2 acquisition, heritage languages

Thank you!

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472.

Markedness bias and phonotactics

- Maximum Entropy models, differing in constraint set
 - Assigns penalty scores that can then be used as constraint violations in the reanalysis model
 - (UCLA Phonotactic Learner; Hayes & Wilson, 2008)
- "Natural class model": generalizes to 'natural classes' of sounds
 - Ex: *[-syllabic] applies to all non-vowel sounds.
- "N-gram model": segment bigrams and trigrams
 - Ex: *p, *t, *k, *m, *n
- Trained on 3573 words
 - No complex words (e.g. compounds, suffixed words, etc.)
 - No reduplicated forms (e.g. [paka-paka])

Markedness bias and phonotactics

- Tested on candidate outputs of the reanalysis model
 - Generates penalty values that are then input to the reanalysis model

Ex: penalty assigned by natural class model

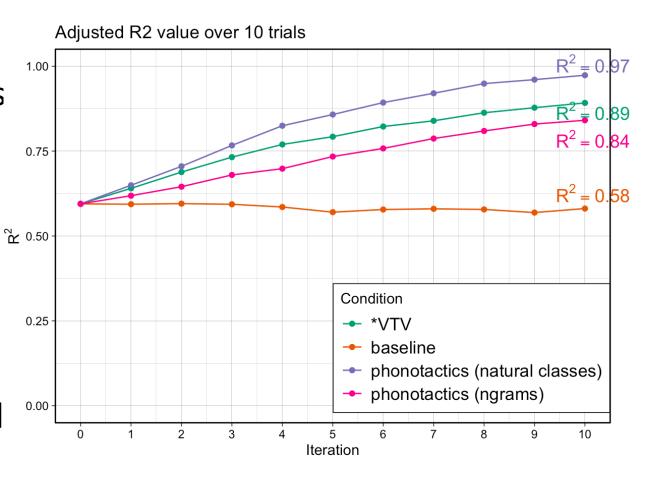
input	output	H (penalty)	
vukiţşa+ana	vukir-ana	12.25	
	vukit-ana	13.34	
	vukiţş-ana	13.76	



Input into reanalysis model as constraint violations of a constraint 'ObeyPhonotactics'

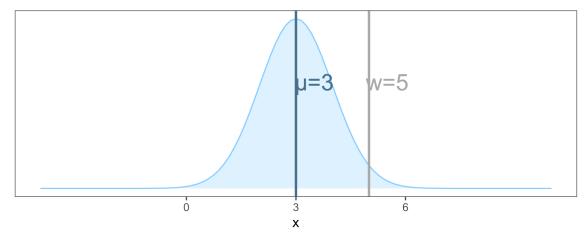
Markedness bias and phonotactics

- The phonotactic model that generalizes to natural classes performs the best
- N-gram model performs the worst
- All three models outperform the baseline
- Subsequent comparisons use results from the "natural class" model

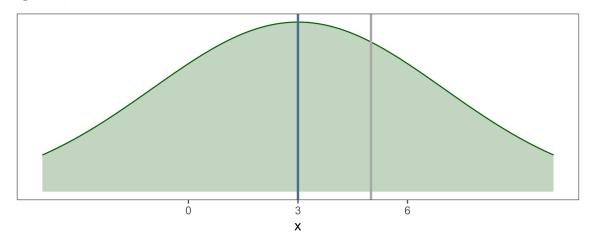


2 Learning biases: sigma

$$\sigma$$
=1



$$\sigma=4$$



$$\sum_{m=1}^{M} \frac{(w_m - 3)^2}{2\sigma^2}$$

 σ = standard deviation

Can in principle be varied to implement bias

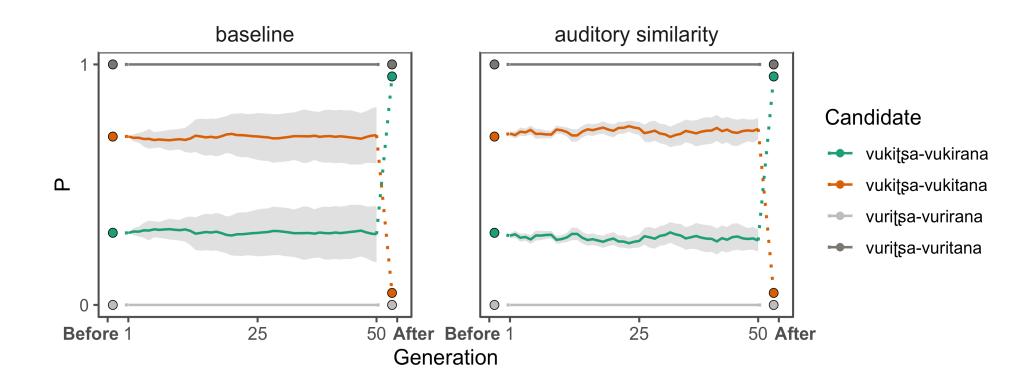
Perceptual similarity bias

- Constraints *map(a, b) penalizes changes from input a to output b
- $\mu(*/a/\rightarrow[b]) > 0$, otherwise $\mu=0$
- The more dissimilar two sounds a and b are, the higher the μ of the corresponding $*/a/\rightarrow[b]$
 - i.e. bigger changes are penalized more

input	output	Similarity	Constraint	μ
vuki ţş a+ana	vuki r -ana	low	*/tʂ/→[r]	4
	vuki t -ana	medium	*/tʂ/→[t]	1
	vuki ţş -ana	high	NA	

Similarity derived from Warner, McQueen & Cutler (2014)

Perceptual similarity bias

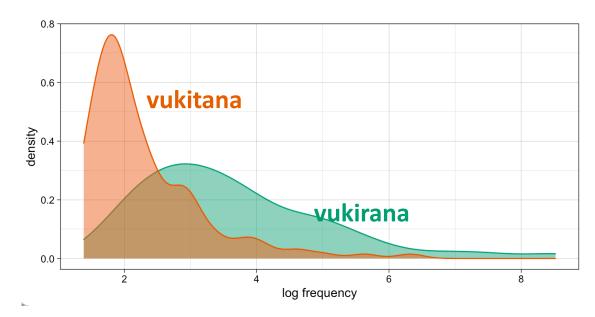


Token frequency

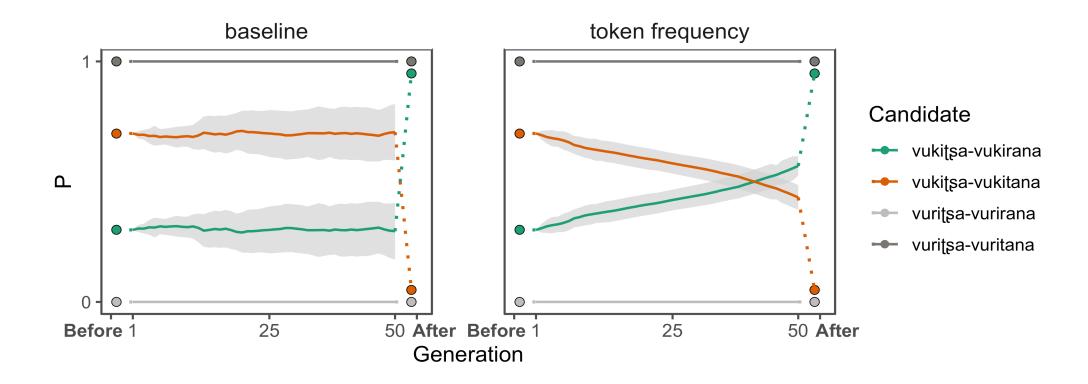
- In phonology, type frequency is a better predictor of phonological patterns (Bybee 1995; Bybee, 2001; Pierrehumbert 2001; Albright & Hayes, 2003)
- However, words with high token frequency:
 - Are more likely to be learned/passed down through generations
 - And may end up influencing a pattern (Albright, 2006).
- If tşa~r forms have higher token frequency than tşa~t forms, reanalysis could be from t→r

Token frequency

- Simulated input lexicon where tşa-r words have high token frequency.
 - Zipfian distribution (Zipf, 1935/2013)
- Scale to log frequency (Marcus et al., 1992; Jackson & Cottrell 1997; Polinsky & Everbroek, 2003)



Token frequency



UR analysis

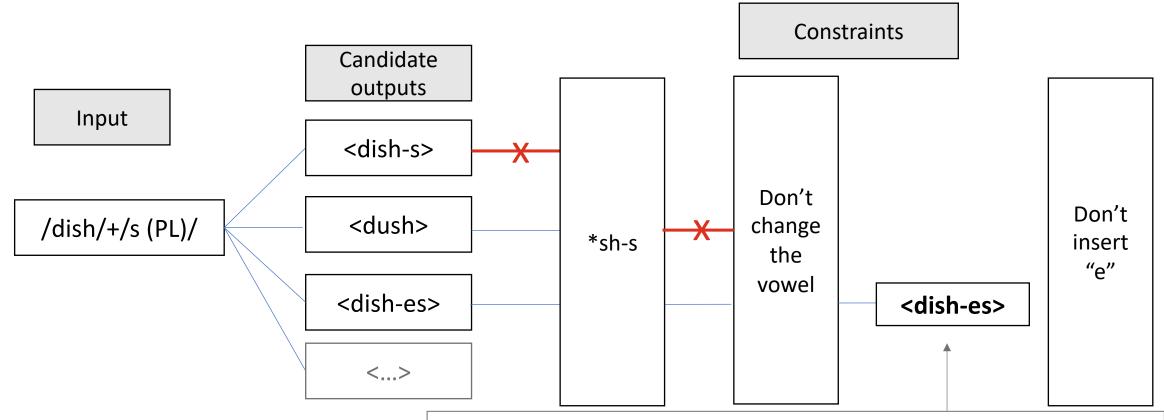
/vukic+ana/~['vukitsa]	*ts]V	*t]V	*r]V	IO-FAITH	OO-FAITH
a. vukiţşana	*	l	l	' 	
b. vukitana		۱ *	I	I	*
c. vukirana		l	*		*

Markedness bias

• Makes the correct predictions for <u>all</u> weak stems

	SUFF		EXAMPLE	TARGET OF
TYPE	Cons.	V-STOP-V	SUFFIXED FORM	REANALYSIS
ţşa	r		pulirana	✓
	t	*	puli <mark>t</mark> ana	
ka	h		puli h ana	✓
	f		pulifana	
na	n	(*)	puli n ana	✓
	m	(*)	puli <mark>m</mark> ana	

Categorical phonological grammar (OT)



In a categorical grammar, constraints are ranked, and the winner is selected once competing candidates are ruled out.

Effect of forgetting rates

