# Genetic Algorithm

### Molecular Statistics

2014

### 1 Introduction

The genetic algorithm is a general minimization algorithm which in this project is used to minimize the energy of alkane molecules, in the flavor of the general Monte-Carlo approach. The energy is calculated using the force field MMFF94 as implemented in the software package OpenBabel.

### 1.1 Force field and dihedral angle

We will be using a classic force field, and not quantum mechanics to simulate the molecule. The energy for the molecule in a certain configuration is calculated as

$$E_{\text{configuration}} = \sum_{i}^{\text{bonds}} k_i (r_i - r_{i,e})^2 + \sum_{i}^{\text{angles}} k_i (\sigma_i - \sigma_{i,e})^2 + \sum_{i}^{\text{dihedrals}} V_i [1 \pm \cos(n_i \omega_i)]$$

$$+ \sum_{i>j}^{\text{atompairs}} \left( -\frac{A_i A_j}{r_{ij}^6} + \frac{B_i B_j}{r^1 2_{ij}} + \frac{q_i q_j}{r_{ij}} \right)$$

$$(1.1)$$

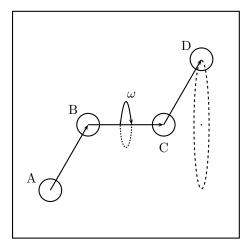


Figure 1.1: Illustrating the dihedral angle  $\omega$  between 3 bonds and 4 carbon centers, A, B, C and D.

To simplify things, we will only be focusing on the dihedral part of the energy expression. Geometrically, a dihedral (or torsion) angle is the angle between two planes. In our alkane case it is the angle between two  $CH_2$  groups (or rather the plane created by carbon atoms ABC and the plane created by atoms BCD), as illustrated in Figure 1.1.

We want to optimize the dihedral angles in such a way that the lowest configuration energy is found based on the dihedral configuration  $\Omega$ . The configuration  $\Omega$  is defined as a set of the systems dihedral angles  $\omega_i$  between each of the successive CH<sub>2</sub> groups,  $\Omega = \{\omega_1, \omega_2, ..., \omega_N\}$ .

In the beginning each  $\omega_i$  is assigned an initial random value, taken from an uniform distribution, between 0 and 360 degrees. The task in hand is then to use the genetic algorithm and modify these  $\omega_i$  angles such that the total molecular energy will be minimized in respect to the geometrical configuration.

### 1.2 Genetic Algorithm

The genetic algorithm is an optimization algorithm that is based on genetic inheritance. The algorithm is efficient in searching through conformational space and locate minima. Here we employ the genetic algorithm to try and locate the lowest energy conformation for an alkane.

As described before we define the geometry of an alkane chain through its dihedral angles  $\omega_i$  between each of the successive CH<sub>2</sub> groups, and so by modifying these angles we change the structure and thereby the energy.

We start out by defining a state vector  $\Omega$  as  $\Omega = \{\omega_1, \omega_2, ..., \omega_N\}$  where we have N dihedral angles. Each  $\omega_i$  is assigned an initial random value from an uniform distribution from 0 to 360 degrees. We then create a set of K state vectors. For each of these state vectors, we define a corresponding energy of the molecule  $E(\Omega_K)$ . The energy is obtained via the force field. From now on, these K state vectors are referred to as parents.

The parents are then modified as a result from the following algorithm steps:

#### 1. Mating.

Two parents  $\Omega_{\alpha}$  and  $\Omega_{\beta}$  of length N can combine to give birth to two children, each receiving a part of the 'genome' of the parent. The first child will inherit the first M elements from parent  $\Omega_{\alpha}$  and the remaining M-N elements from parent  $\Omega_{\beta}$ , and vice versa for the second child. The cut index M is to be determined randomly.

### 2. Mutation.

After the children has been born, sometimes a random mutation is inserted in their genome. This means randomly select one of the N angles  $\omega_i$  and assigning it a new random value, with a probability mutation\_rate.

### 3. Evolution.

Survival of the fittest. If the energy of a child is lower than one of the parents, then the child is kept and the parent with the larger energy is removed (the child takes its parents place). If the energy of the child is larger than a parent, there is still a probability P that it survives the evolution step. The probability P is given by the Monte Carlo Metropolis Hastings acceptance criterion, i.e.

$$P = e^{-\Delta E/(k_{\rm B}T)} \tag{1.2}$$

where  $\Delta E$  is the energy difference between the child and the parent, and T is the temperature of the system. In the following we set  $k_{\rm B} = 1$  so all temperatures are in units of  $k_{\rm B}$ . When *one* child has replaced a parent, we consider this to be the end of the generation.<sup>1</sup>

<sup>&</sup>lt;sup>1</sup>A big hint here would to look up the break command for loops

## 2 Assignment

The main task of this assignment is to implement the genetic algorithm and minimize the energy of three alkane chains,  $C_{10}H_{22}$ ,  $C_{20}H_{42}$  and  $C_{40}H_{82}$ .

### 2.1 Setup

To successfully do this assignment you need to install some software dependencies on your computer. This is done by writing the follow command in the terminal (remember that the molbox password is *science*).

```
1 sudo apt-get install openbabel python-openbabel
```

### 2.2 Examples

You will be using the module molecule.py which in turn uses the openbabel package. The module is imported the usual way and can be found on the course website.

```
1 import molecule as mol
```

Please also download butane\_example.py from the course website and inspect the code.

There are three functions you will be using from the module.

The molecule and the coordinates itself is defined and stored in the molecule module. To save the structure you can use the following function

```
1 mol.save_molecule('molecule_name.xyz')
```

which will save the structure in XYZ format. You can use the program Avogadro or JMol to open and see the structure.

### 2.3 Simulation Setup

To make it easy to get started we have split the setup into small manageable steps.

- 1. Use the code from butane\_example.py and familiarize yourself with it.
- 2. Create a list of angles from 0.0 to 180.0 degrees and calculate the energy of butane for each angle (you only have to generate the alkane once) Plot the result.
- 3. Implement the following optimization algorithm, called **Greedy optimization**:
  - (a) Create a random dihedral state.
  - (b) Create an integer no\_generations which represents how long the algorithm will go on.
  - (c) Create a for-loop and loop over the no. of generations. For each loop generate a random dihedral state and calculate the energy.
  - (d) If the energy is lower than the previous generation, save the new energy and the new state, otherwise discard the new state and continue the search.
- 4. Use the Greedy algorithm to optimize alkane structures.
- 5. Create a function that takes angles as a parameter and returns the energy.
- 6. Create a function that takes the parameters  $parent_alpha$ ,  $parent_beta$  and  $mutation_rate$ . Have this function mate the two parents and create two children based on the genetic algorithm step 1 and 2. Use numpy's randint generate a random cut index M.
- 1 M = np.random.randint(0, N)
- 7. Create two lists, one containing the state vectors and one containing the energy of the corresponding state vector. Fill them up with no\_parents of random dihedral states, and calculate the energy for each.
- 8. Finish the algorithm by creating a for-loop and loop over the number of generations defined. For each parent pair select which parents and children survives based of the genetic algorithm.

### 2.4 Simulations

### • Simulation 1

Minimize  $C_{10}H_{22}$ ,  $C_{20}H_{42}$  and  $C_{40}H_{82}$  and plot the mean and minimum energy for each generation.

#### • Simulation 2

Check for correlation between temperature T and the energy found after G generations for each molecule. Check for temperatures between 0.5 and 10.0.

### • Simulation 3

Check for correlation between mutation rate in the interval [0:0.5] and the energy found after G generation for each molecule.

#### • Simulation 4

Compare the Greedy and Genetic algorithm by plotting the min energy vs generation.

### • Simulation 5

Check the population size K of parents. Plot the number of generations it took to converge as a function of the number of K parents.

### • Simulation 6

Make the Genetic Algorithm better. You have to come up with changes to the mating and mutation routine. Change the algorithm to make your own personal minimization algorithm. Document the results.