#### Faculty of Information Technology, Monash University

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# FIT2004: Algorithms and Data Structures

Week 4: Introduction to Graphs

#### Overview

Divide and conquer (W 1-3)

Greedy algorithms (W 4-5) Dynamic programming (W 6-7)

Network flow (W 8-9) structures (W 10-11)

- Today's lecture
  - Introduction to Graphs
  - Graph Traversal Algorithms
    - x The idea
    - Breadth-First Search (BFS)
    - ▼ Depth-First Search (DFS)
    - **▼** Applications

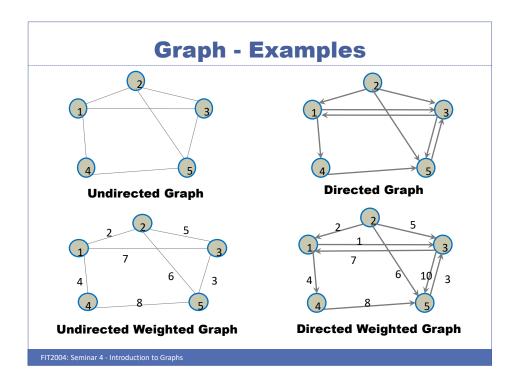
FIT2004: Seminar 4 - Introduction to Graph

#### **Outline**

- 1. Introduction to Graphs
- 2. Graph Traversal Algorithms
  - A. The idea
  - B. Breadth-First Search (BFS)
  - c. Depth-First Search (DFS)
  - D. Applications

# **Graphs**

- A graph is simply a way of encoding pairwise relationships among a set of objects.
- Each object is called a vertex or node.
- Each edge of the graph "connects" two nodes.



### **Uses of Graphs**

- Graphs are extremely useful for modelling. For example:
  - Transportation networks: map of routes of an airline, rail network, ...
  - **Communication networks**: the connections between different Internet service providers, wireless ad-hoc networks, ...
  - Information networks: the connections between different webpages using links (Google PageRank algorithm for determining the relative importance of each website), ...
  - Social networks: the persons could be the nodes and the edges represent friendship (Facebook), or the nodes represent companies and people, and the edges financial relationships between them, etc. Properties of the graphs representing social networks are often used to find influencers, target ads,...
  - O Dependency networks: prerequisites in a course map
  - O ..

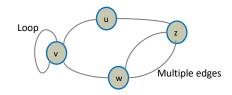
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### **Graphs - Formal notations**

- A graph G = (V, E) is defined using a set of vertices V and a set of edges E.
- An edge e is represented as e = (u, v) where u and v are two vertices
- For undirected graphs, (u, v) = (v, u) because there is no sense of direction. For a directed graph, (u, v) represents an edge from u to v and (u, v) ≠ (v, u).
- We will slightly abuse notation and use V (instead of |V|) for the number of vertices and E (instead of |E|) for the number of edges when what is meant is clear from the context.

# **Graphs – Formal notations**

- A weighted graph is represented as G = (V, E) and each edge (u, v)
  has an associated weight w.
- A graph is called a simple graph if it does not have loops AND does not contain multiple edges between same pair of vertices.



 In this unit, we focus on simple graphs with a finite number of vertices.

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### **Graphs - Connected Components**

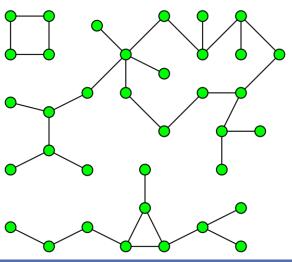
- A vertex v is reachable from u if there is a path in the graph that starts in u and ends v.
- In an undirected graph, reachability is an equivalence relation:
  - o Reflexive: each u node is reachable from itself.
  - O Symmetric: if v is reachable from u, then u is reachable from v.
  - Transitive: if v is reachable from u, and u is reachable from w, then v is reachable from w.



- The set of vertices reachable from u defines the connected component of G containing u.
- For any two nodes u and v, their connected components are either identical or disjoint.

# **Graphs – Connected Components**

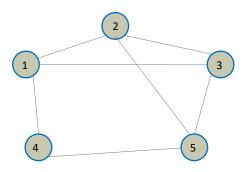
• An undirected graph with 3 connected components:



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# **Graphs – Connected Components**

- An undirected graph is **connected** if all vertices are part of a single connected component.
- In other words, for any pair of vertices u and v, there is a path between them.



### **Graphs – Connected Components**

- Why is that an important concept in practice?
  - Example: Airlines normally want their air routes to form a connected graph.

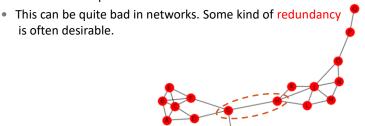


- Getting a connected graph is often an important consideration when designing communication and transportation networks.
- Hubs: Often it is not viable to have pairwise connections between all nodes; but one still wants to have paths, without many intermediary nodes, between every pair of nodes.

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### **Graphs - Connected Components**

• In this graph the edge (g, h) is quite critical as any problem in the network that eliminates this edge would break the connected graph into "large" disjoint connected components.



 Adding edges that join distinct connected components can sometimes also have bad consequences. E.g., quarantine measures often try to avoid a disease from reaching a disease-free connected component of a social network.

# **Some Graph Properties**

Let G be a graph.

- The minimum number of edges in a connected undirected graph
  - o ???
- The maximum number of edges in an undirected graph
  - o ???

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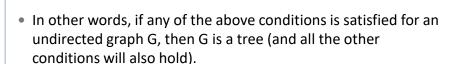
### **Some Graph Properties**

Let G be a graph.

- The minimum number of edges in a connected undirected graph
  - V-1 = O(V)
- The maximum number edges in an undirected graph
  - $\circ$  V(V 1)/2 = O(V<sup>2</sup>)
- A graph is called sparse if  $E \ll V^2$  ( $\ll$  means significantly smaller than)
- A graph is called dense if E ≈ V<sup>2</sup>

#### Tree

- Let G=(V, E) be an undirected graph. G is a tree if it satisfies any of the following equivalent conditions:
  - G is connected and acyclic (i.e., contains no cycles).
  - O G is connected and has V-1 edges.
  - o G is acyclic and has V-1 edges.
  - G is acyclic, but a cycle is formed if any edge is added to G.
  - G is connected, but would become disconnected if any single edge is removed from G.



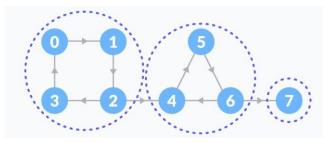
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### **Graphs - Connected Components**

- In directed graphs, reachability is reflexive and transitive, but not guaranteed to be symmetric (i.e., possibly there could be a path from u to v, but no path from v to u).
- Vertices u and v are called mutually reachable if there are paths from u to v and from v to u.
- Mutual reachability is an equivalence relation and decomposes the graph into strongly-connected components (for any two vertices u and v, their strong components are either identical or disjoint).

### **Graphs – Connected Components**

A directed graph with 3 strongly-connected components:



- A directed graph is **strongly connected** if for every pair of vertices u and v of G, there are paths from u to v and from v to u.
- I.e., the graph only has one strongly-connected component.

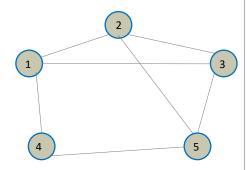
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# **Representing Graphs**

#### Adjacency Matrix (Undirected Graph):

Create a V x V matrix M and store T (true) for M[i][j] if there exists an edge between i-th and j-th vertex. Otherwise, store F (false).

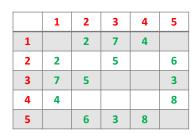


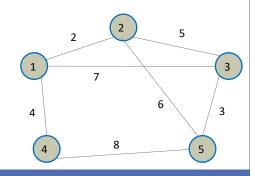


### **Representing Graphs**

Adjacency Matrix (Undirected Weighted Graph):

Create a V x V matrix M and store **weight** at M[i][j] only if there exists an edge **between** i-th and j-th vertex.





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### **Representing Graphs**

Adjacency Matrix (Directed Weighted Graph):

Create a V x V matrix M and store weight at M[i][j] only if there exists an edge **from** i-th **to** j-th vertex.

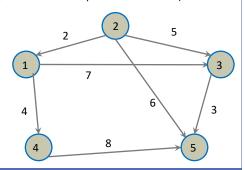
Space Complexity: O(V2) regardless of the number of edges

Time Complexity of checking if an edge exits: O(1)

Time Complexity of retrieving all neighbbors (adjacent vertices) of a given vertex:

O(V) regardless of the number of neighbors (unless additional pointers are stored)

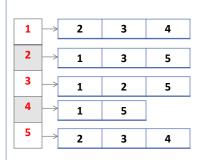
	1	2	3	4	5
1			7	4	
2	2		5		6
3					3
4					8
5					

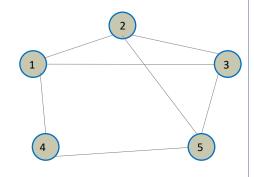


### **Representing Graphs**

#### Adjacency List (Undirected Graph):

Create an array of size V. At each V[i], store the list of vertices adjacent to the i-th vertex.





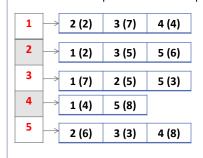
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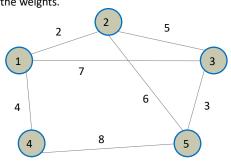
### **Representing Graphs**

#### Adjacency List (Undirected Weighted Graph):

Create an array of size V. At each V[i], store the list of vertices adjacent to the i-th vertex **along with the weights**.

The numbers in parentheses correspond to the weights.





# **Representing Graphs**

Adjacency List (Directed Weighted Graph):

Create an array of size V. At each V[i], store the list of vertices adjacent to the i-th vertex **along with the weights**.

#### Space Complexity:

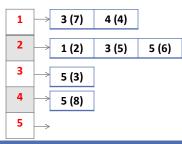
O(V + E)

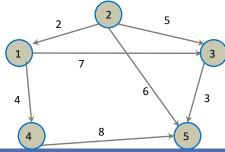
Time complexity of checking if a particular edge exists:

• O(log V) assuming each adjacency list is a sorted array on vertex IDs

Time complexity of retrieving all adjacent vertices of a given vertex:

• O(X) where X is the number of adjacent vertices (note: this is output-sensitive complexity)





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### **Graph Traversal**

Graph traversal algorithms traverse (visit) all nodes of a graph.

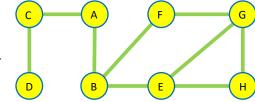
They are very important in the design of numerous algorithms.

We will **look into two algorithms** that traverse a connected component from a graph starting from a source vertex:

- Breadth-First Search (BFS)
- Depth-First Search (DFS)

Both of them visit the vertices exactly once.

They visit vertices in different orders.



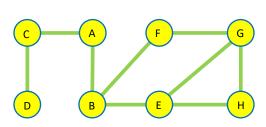
If a graph has more than one connected component, they can be repeatedly called (on unvisited nodes) until all graph nodes are marked as visited.

Each one has properties that makes it useful for certain kinds of graph problems.

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### **Graph Traversal - BFS**

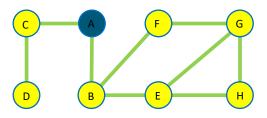
- Breadth-First Search (BFS)
  - Traverses the graph uniformly from the source vertex
  - i.e., all vertices that are k edges away from the source vertex are visited before all vertices that are k+1 edges away from source
  - o In the graph below, if A is the source, then one possible BFS order is:
  - o A, C, B, D, E, F, G, H





Konrad Zuse, computer science pioneer

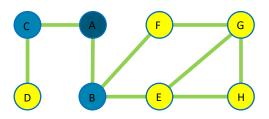
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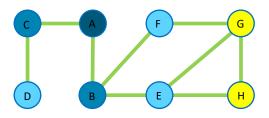
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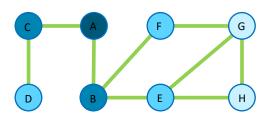
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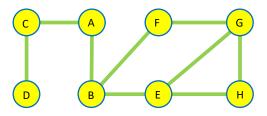
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  - o A, C, B, D, E, F, G, H



- Depth-First Search (DFS)
  - A version of DFS was investigated by the 19th century French mathematician Charles Pierre Trémaux to solve mazes.
  - Traverses the graph as deeply as possible before backtracking and traversing other nodes
  - o In the graph below, one possible DFS order is: A, B, F, G, H, E, C, D

Is A, B, E, H, F, G, C, D a possible DFS order?

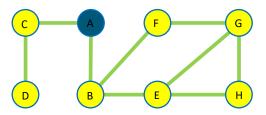


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### **Graph Traversal - DFS**

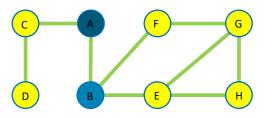
- Depth-First Search (DFS)
  - Traverses the graph as deeply as possible before backtracking and traversing other nodes
  - o In the tree, one possible DFS order is: A, B, F, G, H, E, C, D

Is A, B, E, H, F, G, C, D as possible DFS order? No!



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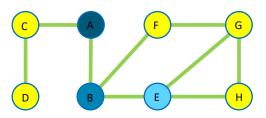


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### **Graph Traversal - DFS**

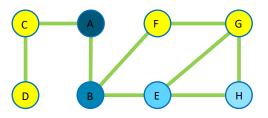
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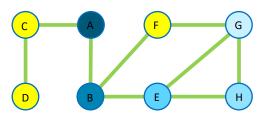


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### **Graph Traversal - DFS**

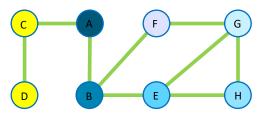
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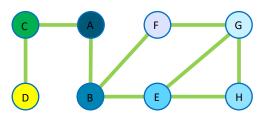


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### **Graph Traversal - DFS**

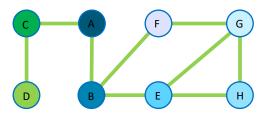
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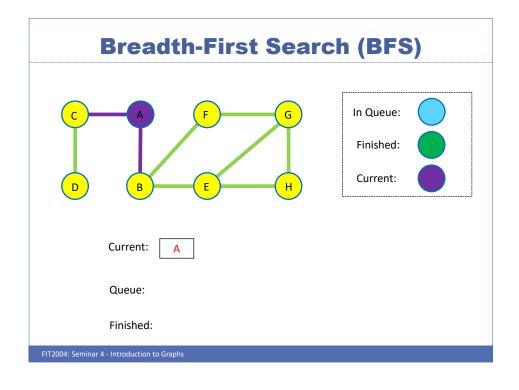
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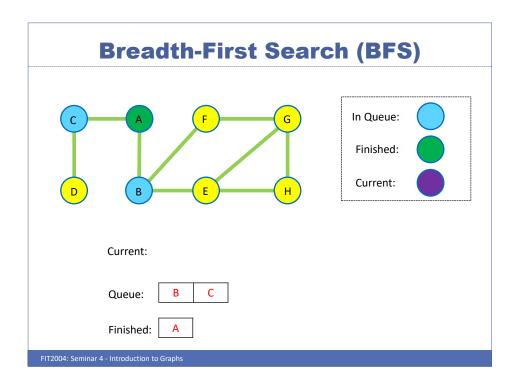


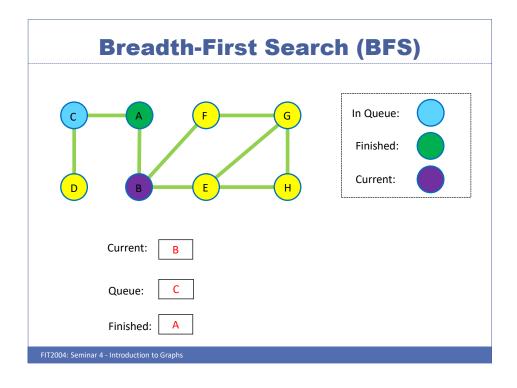
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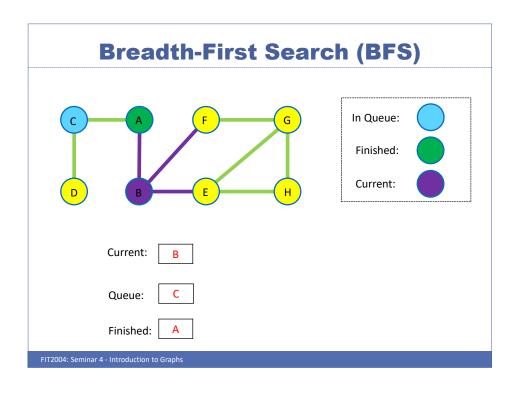
#### **Outline**

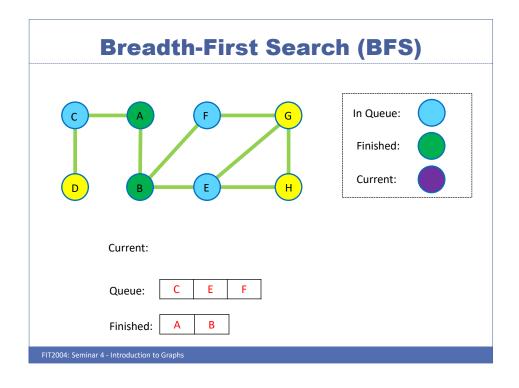
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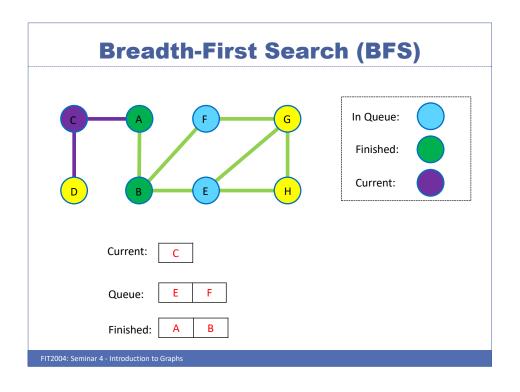


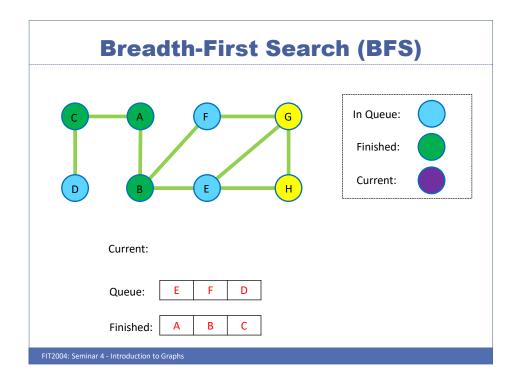


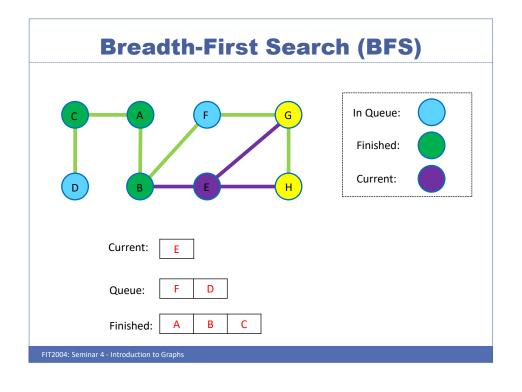


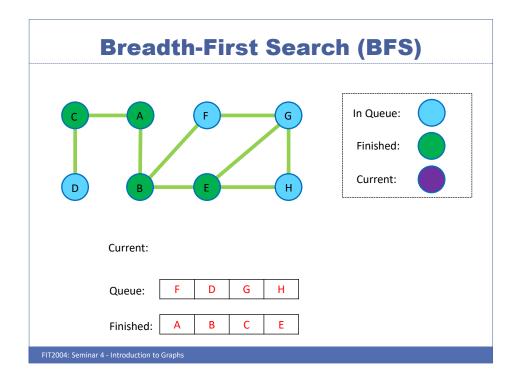


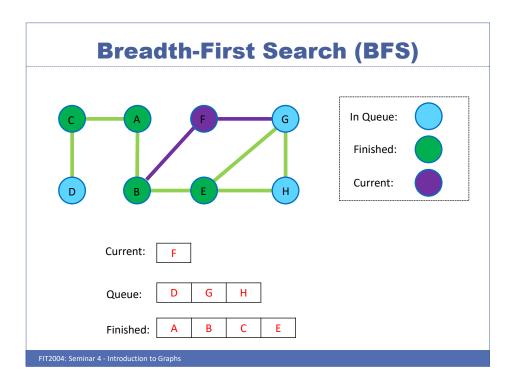


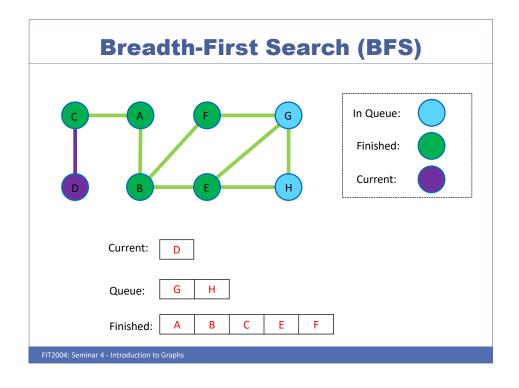


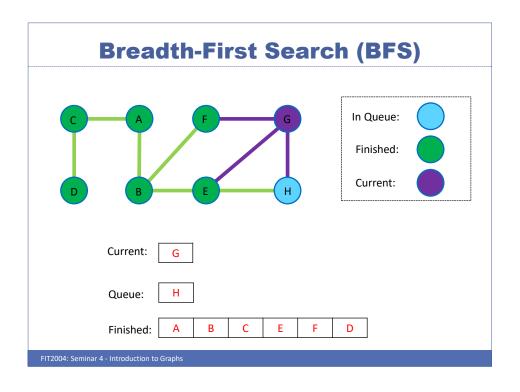


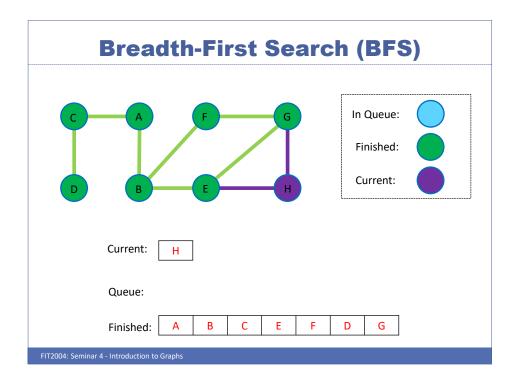


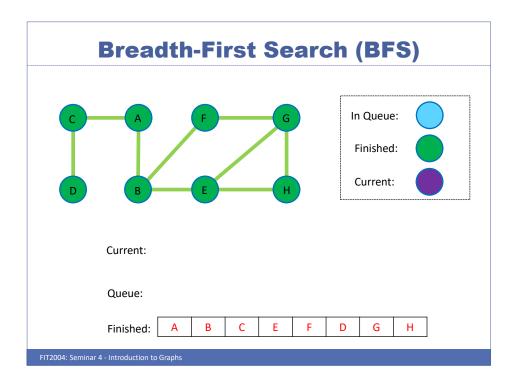












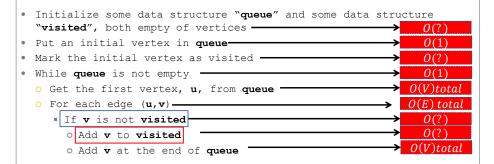
### **Breadth-First Search (BFS)**

- Initialize some data structure "queue" and some data structure "visited", both empty of vertices
- Put an initial vertex in queue
- Mark the initial vertex as visited
- While queue is not empty
  - O Get the first vertex, u, from queue
  - For each edge (u,v)
    - x If v is not visited
      - Add v to visited
      - $\circ$  Add  $\mathbf{v}$  at the end of  $\mathbf{queue}$

What is the time complexity of BFS?

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### **Breadth-First Search (BFS)**



 $\label{prop:section} \textbf{Assuming adjacency list representation}.$ 

#### Time Complexity:

- · We look at every edge twice
- For each edge, we do a lookup on visited (with some complexity)
- We insert vertices to visited at most O(V) times
- O(V\*insert to visited + E\*lookup on visited)

### **Breadth-First Search (BFS)**

Assuming adjacency list representation.

#### Time Complexity:

- O(V\*insert to visited + E\*lookup on visited)
- Visited is just a bit list, indexed by vertex ID
- Lookup and insert are both O(1)

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### **Breadth-First Search (BFS)**

- Initialize some data structure "queue" and some data structure "visited", both empty of vertices
- Put an initial vertex in queue
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- While **queue** is not empty
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    - $\boldsymbol{\mathsf{x}}$  If  $\boldsymbol{\mathsf{v}}$  is not  $\boldsymbol{\mathsf{visited}}$ 
      - $\circ$  Add  ${f v}$  to visited
      - $\circ$  add  ${\bf v}$  at the end of  ${\bf queue}$

Assuming adjacency list representation.

#### Time Complexity:

O(V \* 1 + E \* 1) = O(V+E)

#### Space Complexity:

O(V+E)

### **Breadth-First Search (BFS)**

#### Algorithm 55 Generic breadth-first search 1: **function** BFS(G = (V, E), s) visited[1..n] =false $visited[s] = \mathbf{true}$ 4: queue = Queue() 5: *queue*.push(s) while queue is not empty do 6: u = queue.pop()for each vertex v adjacent to u do if not visited[v] then 9: 10: visited[v] = truequeue.push(v)Assuming adjacency list representation.

#### Time Complexity:

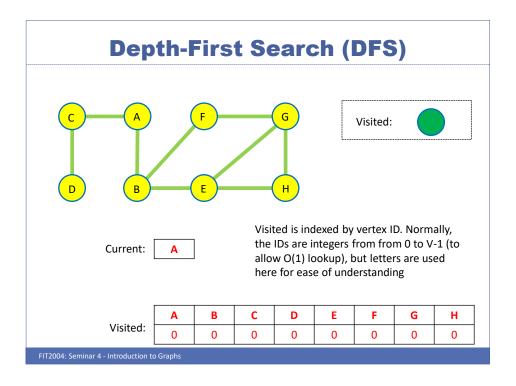
O(V+E)

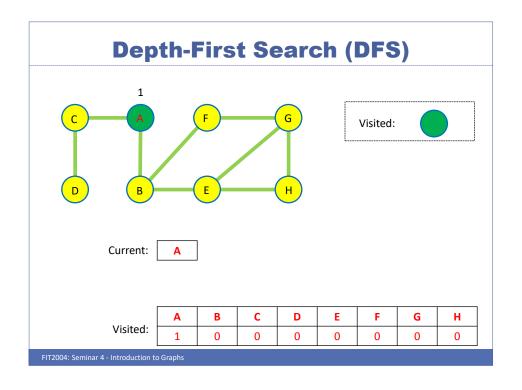
#### Space Complexity:

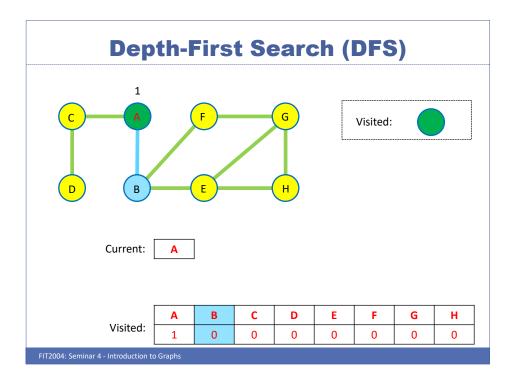
O(V+E)

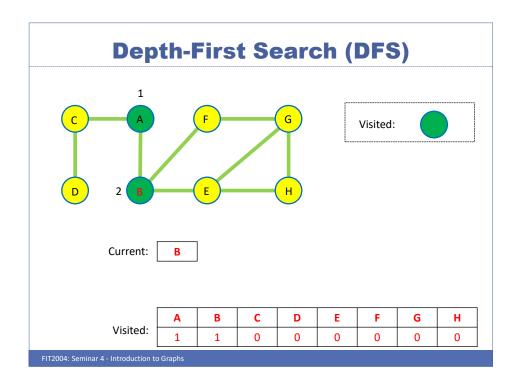
#### **Outline**

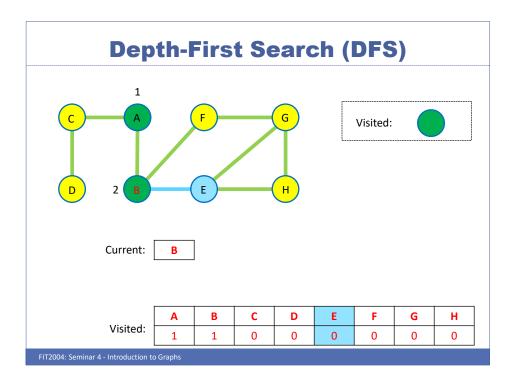
- 1. Introduction to Graphs
- 2. Graph Traversal Algorithms
  - A. The idea
  - B. Breadth-First Search (BFS)
  - c. Depth-First Search (DFS)
  - D. Applications

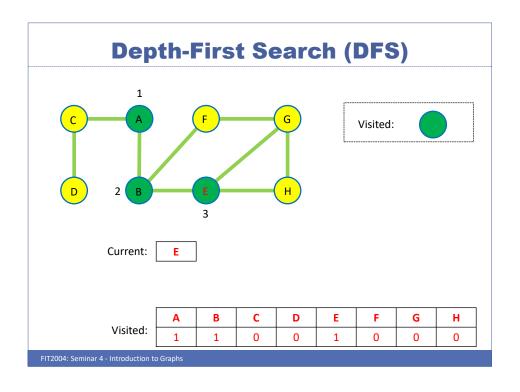


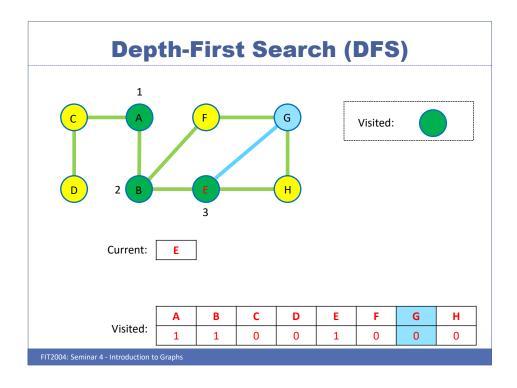


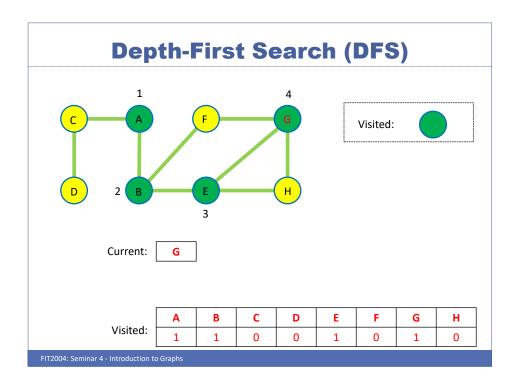


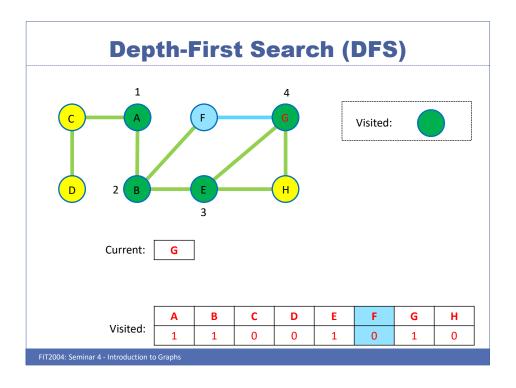


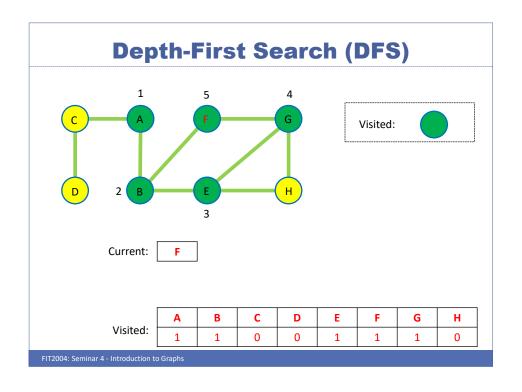


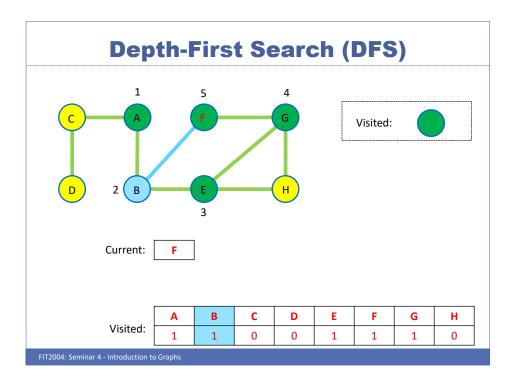


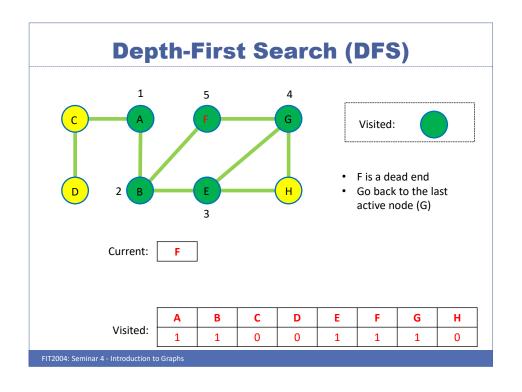


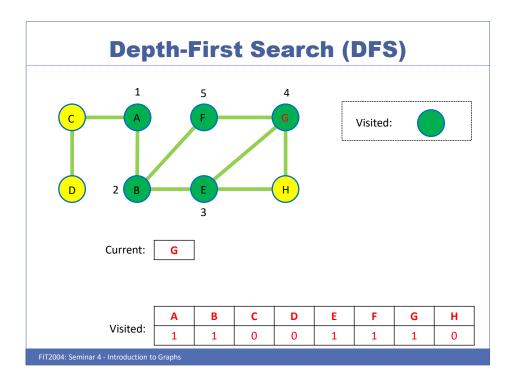


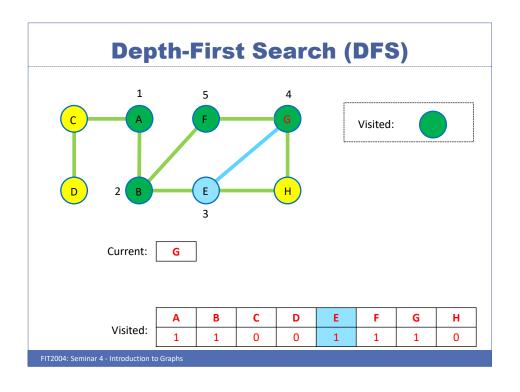


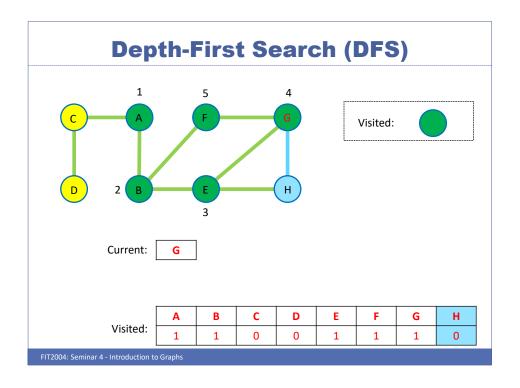


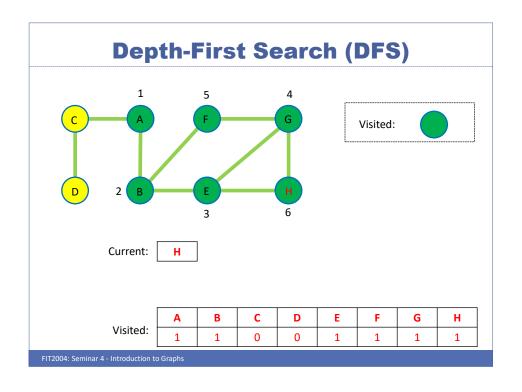


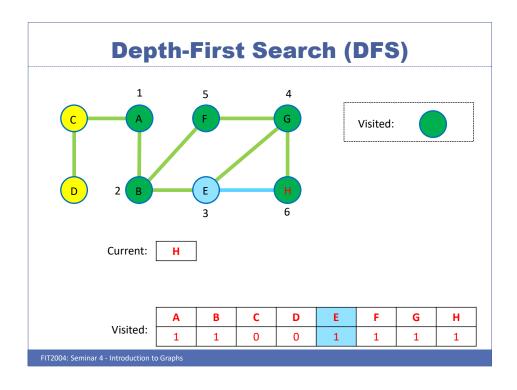


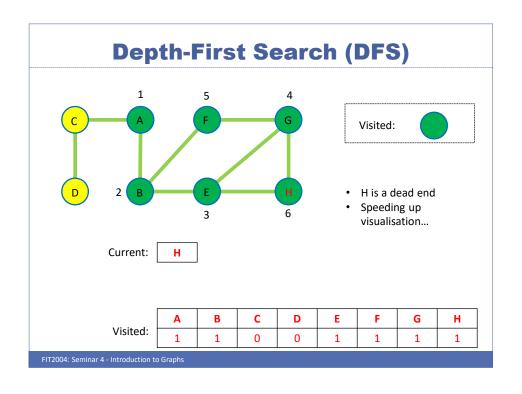


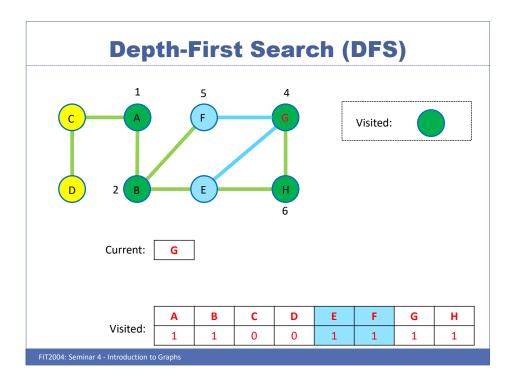


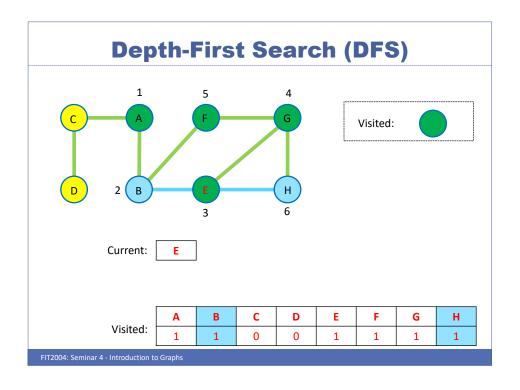


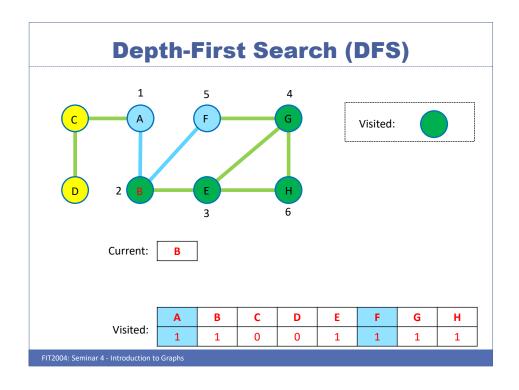


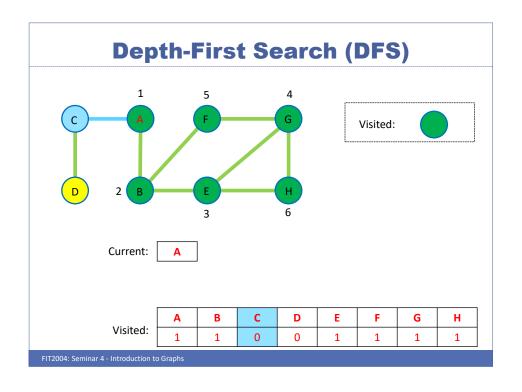


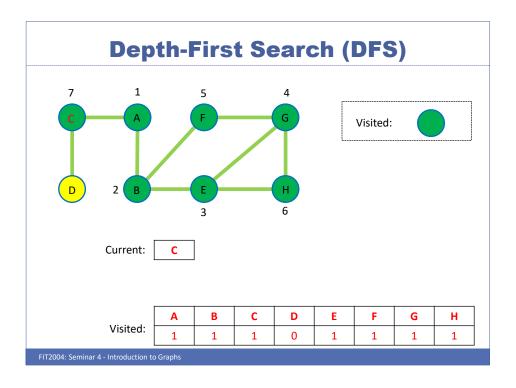


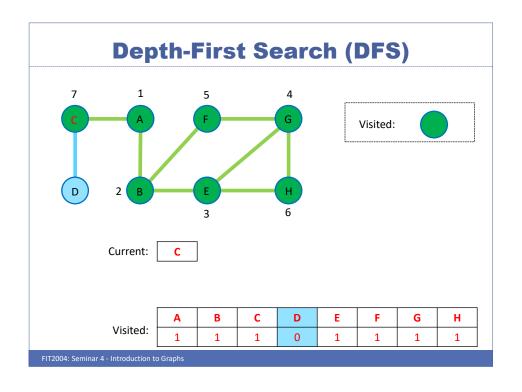


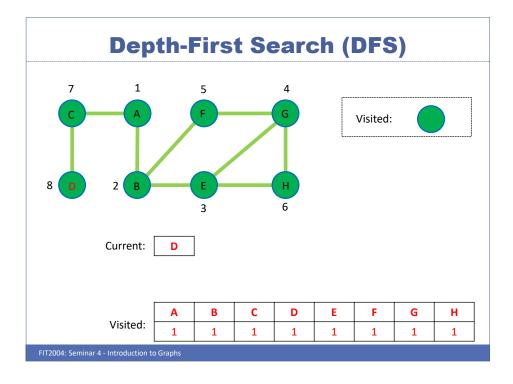












## **Depth-First Search (DFS)**

# Algorithm 52 Generic depth-first search 1: //Driver function that calls DFS until everything has been visited 2: function TRAVERSE(G = (V, E)) 3: visited[1.n] = false 4: for each vertex u = 1 to n do 5: if not visited[u] then 6: DFS(u) 7: 8: function DFS(u) 9: visited[u] = true 10: for each vertex v adjacent to u do 11: if not visited[v] then 12: DFS(v)

Assuming adjacency list representation.

#### Time Complexity:

- · Each vertex visited at most once
- Each edge accessed at most twice (once when u is visited once when v is visited)
- Total cost: O(V+E)

#### Space Complexity:

O(V+E)

### **Outline**

- 1. Introduction to Graphs
- 2. Graph Traversal Algorithms
  - A. The idea
  - B. Breadth-First Search (BFS)
  - c. Depth-First Search (DFS)
  - D. Applications

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## **Applications of DFS and BFS**

The algorithms we saw can also be applied on directed graphs.

BFS and DFS have a wide variety of applications:

- Reachability
- Finding all connected components
- Testing a graph for bipartiteness
  - A graph is bipartite when we can divide it into two sets, U and V, with every edge having one vertex in set U and the other in set V
- Finding cycles
- · Shortest paths on unweighted graphs
- Topological sort
- ...

More details are given in unit notes and applied classes.

Example of bipartite graph

#### **Shortest Path Problem**

#### Length of a path:

For unweighted graphs, the length of a path is the number of edges along the path.

For weighted graphs, the length of a path is the sum of weights of the edges along the path.

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## **Shortest Path Problem**

#### Single source, single target:

Given a source vertex s and a target vertex t, return the shortest path from s to t.

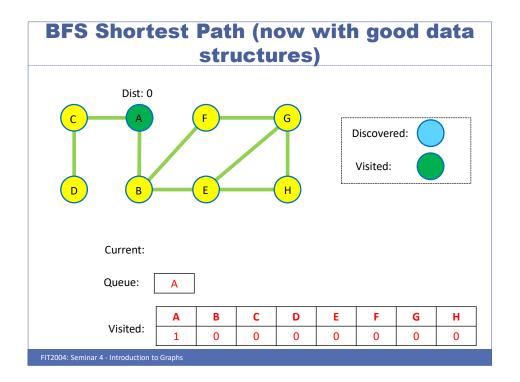
#### Single source, all targets:

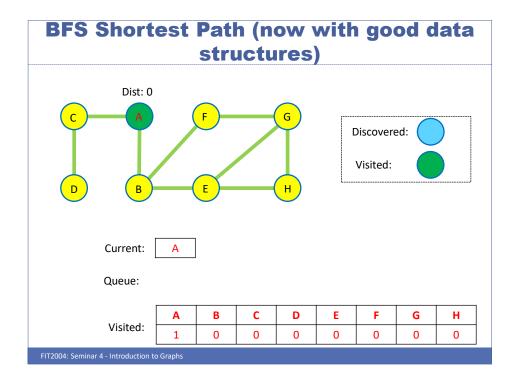
Given a source vertex s, return the shortest paths to every other vertex in the graph.

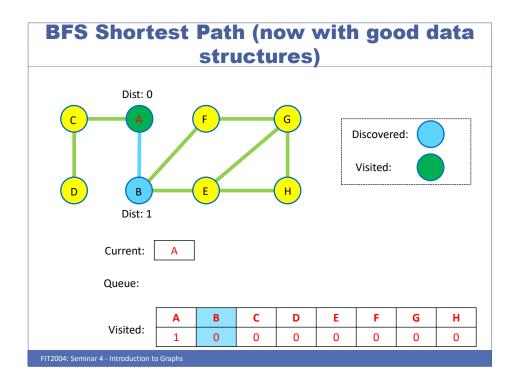
We will focus on single source, all targets problem because the single source, single target problem is subsumed by it.

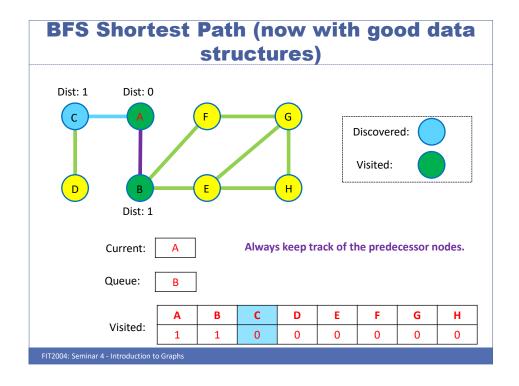
## **Shortest Path Algorithms**

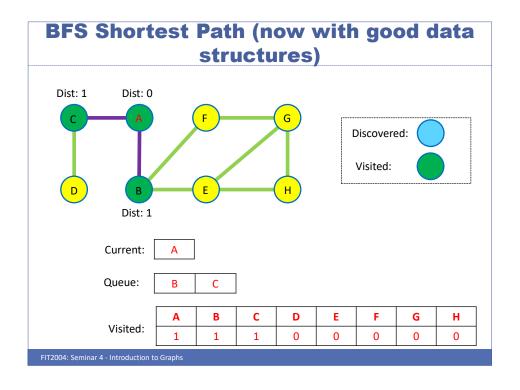
- Breadth-First Search (Single source, unweighted graphs) Today
- Dijkstra's Algorithm (Single Source, weighted graphs with nonnegative weights) Week 5
- Bellman-Ford Algorithm (Single source, weighted graphs including negative weights) Week 7
- Floyd-Warshall Algorithm
   (All pairs, weighted graphs including negative weights) Week 7

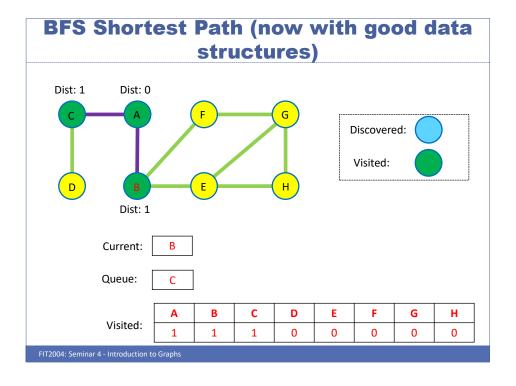


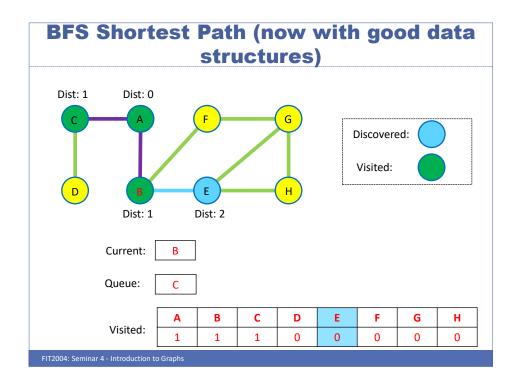


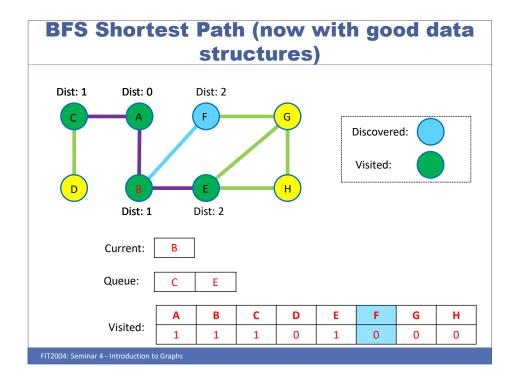


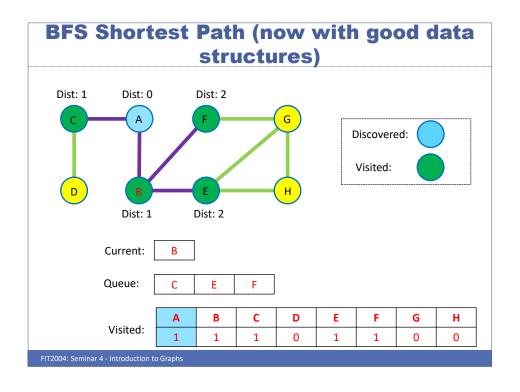


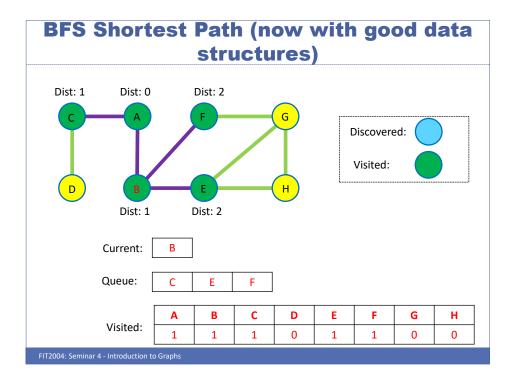


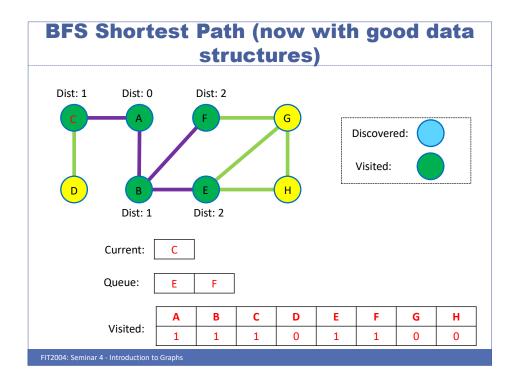


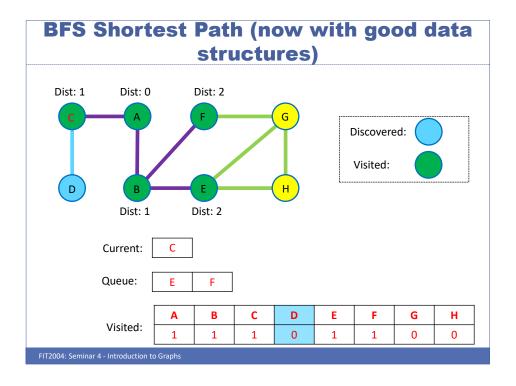


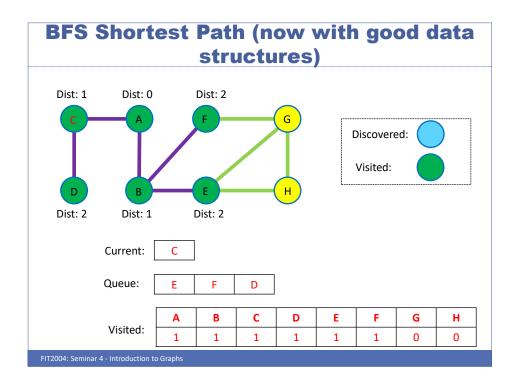


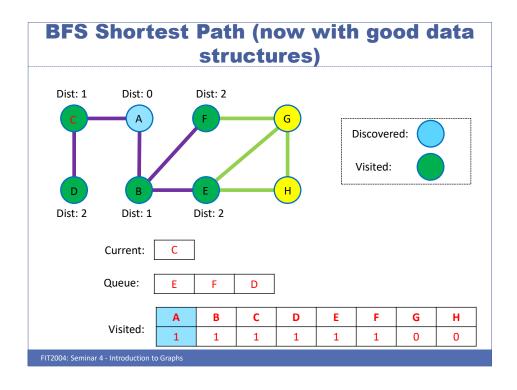


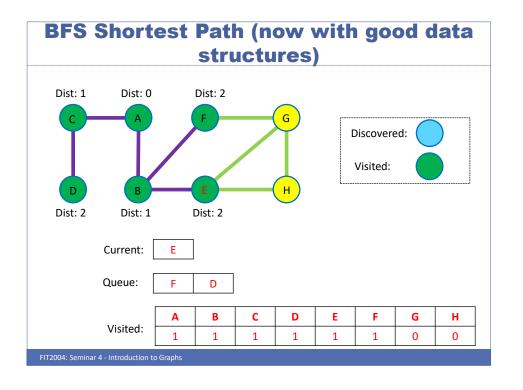


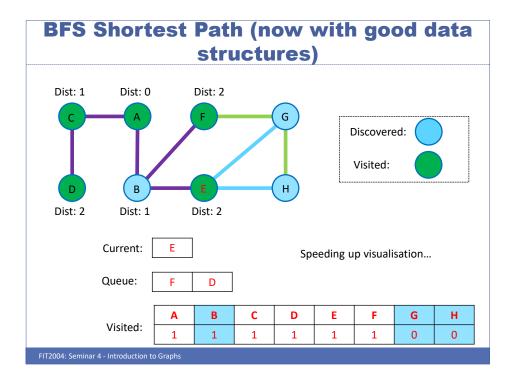


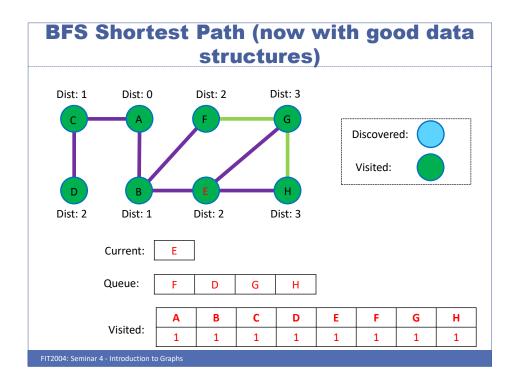


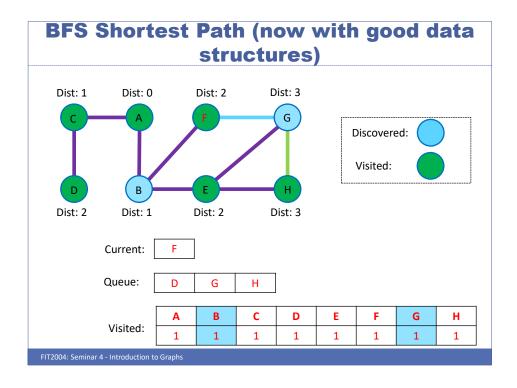


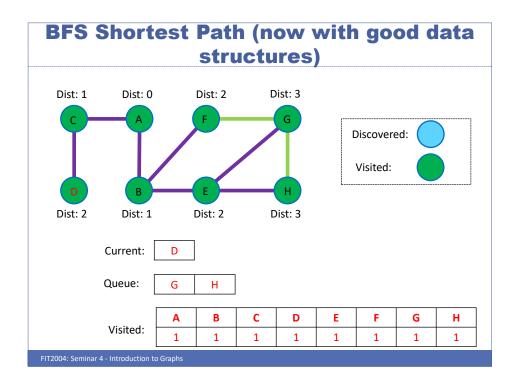


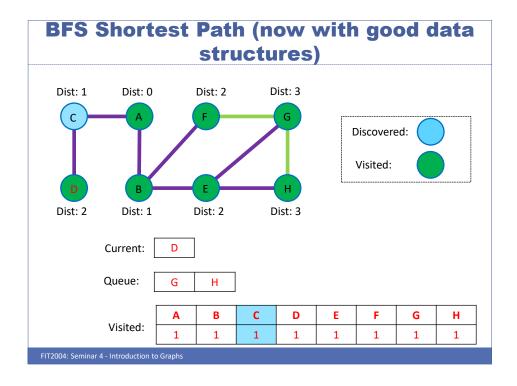


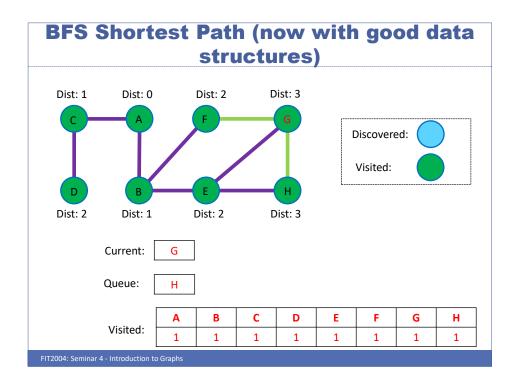


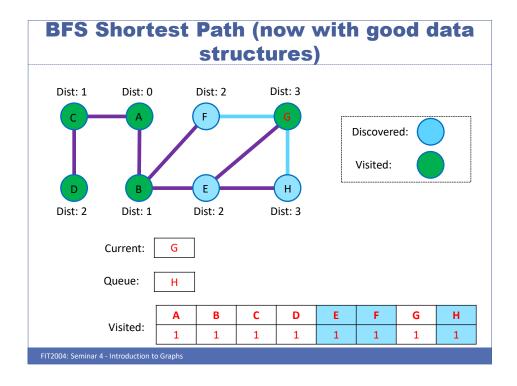


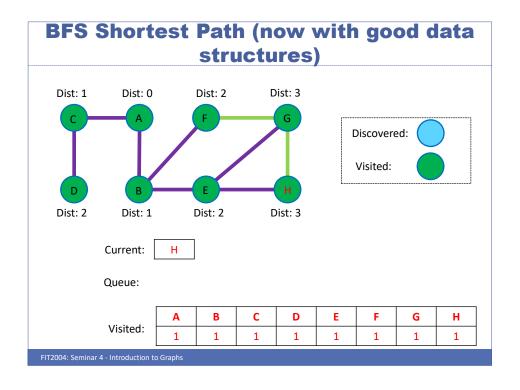


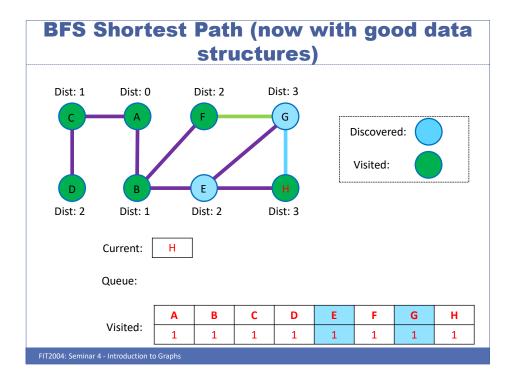


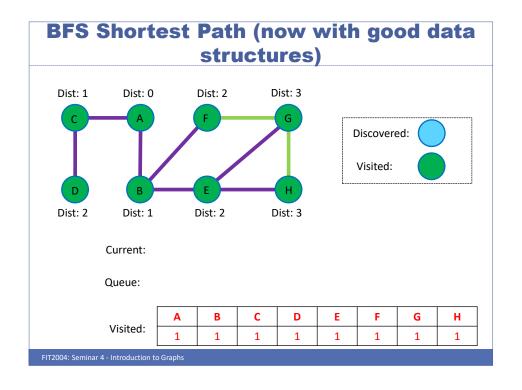












## **Unweighted Shortest Paths**

#### Algorithm 56 Single-source shortest paths in an unweighted graph

```
1: function BFS(G = (V, E), s)
      dist[1..n] = \infty
      pred[1..n] = null
      queue = Queue()
      queue.push(s)
      dist[s] = 0
      while queue is not empty do
          u = queue.pop()
          for each vertex v adjacent to u do
9:
10:
             if dist[v] = \infty then
                 dist[v] = dist[u] + 1
11:
                 pred[v] = u
12:
                 queue.push(v)
```

- Note that distances are stored in an O(1) lookup structure.
- Distances are set by lookup at the distance of the current vertex and adding 1.
- Path from s to v can be found by backtracking from v to s using the array pred.
- Complexity is the same as regular BFS, O(V+E).

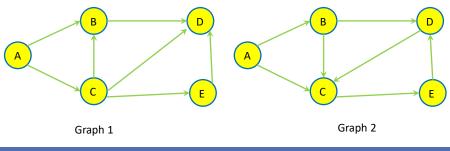
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## **Directed Acyclic Graph (DAG)**

A Directed Acyclic Graph (DAG) is

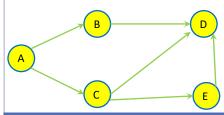
- Directed
- Acyclic has no cycles
- Graph

Which of the two graphs is a DAG?



## **DAG: Examples**

- Sub-tasks of a project and which "must finish before"
  - o A → B means task A must finish before task B
  - o so, DAGs useful in project management
- Relationships between subjects for your degree -- "is prerequisite for"
  - o A→B means subject A must be completed before enrolling in subject B
- People genealogy "is an ancestor of"
  - o A → B means A is an ancestor of B
- Power sets and "is a subset of"
  - o A → B means A is a subset of B



(x,y,z) (x,z) (y,z) (y,z) (y,z) Source: wikipedia

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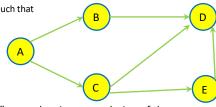
## **Topological Sort of a DAG**

#### Order of vertices in a DAG

- A < B if A → B.
  - o Note that if  $A \rightarrow B$  and  $B \rightarrow D$ , we have A < B and B < D which implies that A < D (i.e., transitivity).
- Some vertices may be incomparable (e.g., B and C are incomparable), i.e. A< B and A < C but we do not know whether C < B or B < C.</li>

#### A topological order

- o is a permutation of the vertices in the original DAG such that
- o for every directed edge u→v of the DAG
  - x u appears before v in the permutation



#### Example: A, B, C, E, D

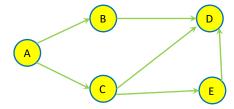
• Topological sort of a DAG of "is prerequisite of" example gives an ordering of the subjects for studying your degree, one at a time, while obeying prerequisite rules.

## **Topological Sort of a DAG**

• A DAG can have many valid topological sorts, e.g., let u and v be two incomparable vertices, u may appear before or after v.

Which of these is NOT a valid topological ordering of the DAG?

- 1. A, B, C, E, D
- 2. A, C, B, E, D
- 3. A, C, E, B, D
- 4. A, B, E, C, D

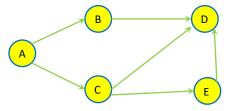


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## **Depth First Search (DFS)**

Assume we call DFS(A), which of the following is NOT a possible order in which vertices are marked visited?

- 1. A, B, D, C, E
- 2. A, C, E, D, B
- 3. A, C, D, E, B
- 4. A, C, E, B, D



D

## **DFS for Topological Sort** Algorithm 72 Topological sorting using DFS 1: **function** TOPOLOGICAL\_SORT(G = (V, E)) order = empty array visited[1..n] = falsefor each vertex v = 1 to n do if not visited[v] then

6: return reverse(order) 7: 9: **function** DFS(u) 10: visited[u] = true

2:

3:

4:

5:

14:

for each vertex v adjacent to u do 11: if not visited[v] then 12: DFS(v)13:

order.append(u)

// Add to order after visiting descendants

Sorted:

## **DFS for Topological Sort**

#### Algorithm 72 Topological sorting using DFS

1: **function** TOPOLOGICAL\_SORT(G = (V, E)) order = empty array visited[1..n] = false3: 4: **for each** vertex v = 1 **to** n **do** if not visited[v] then 5:

DFS(v)6: return reverse(order) 7:

8: 9: **function** DFS(u)

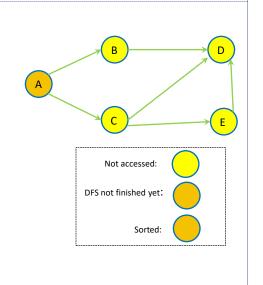
14:

visited[u] = true10: 11: for each vertex v adjacent to u do

if not visited[v] then 12: DFS(v)13: order.append(u)

// Add to order after visiting descendants

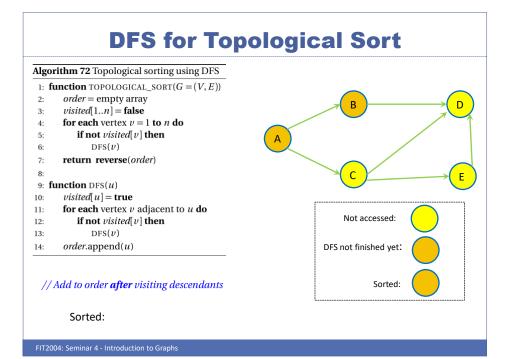
Sorted:

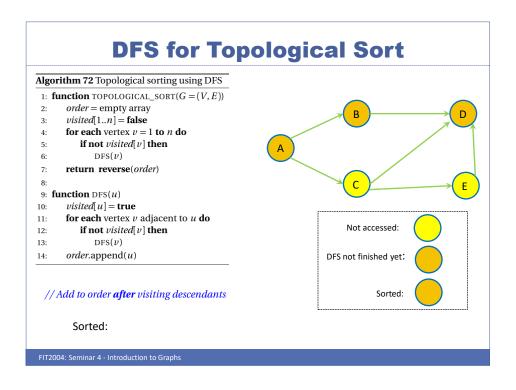


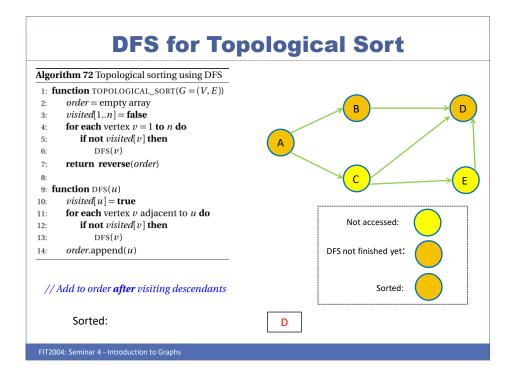
Not accessed:

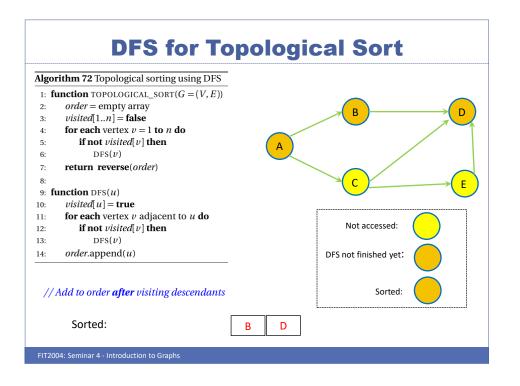
Sorted:

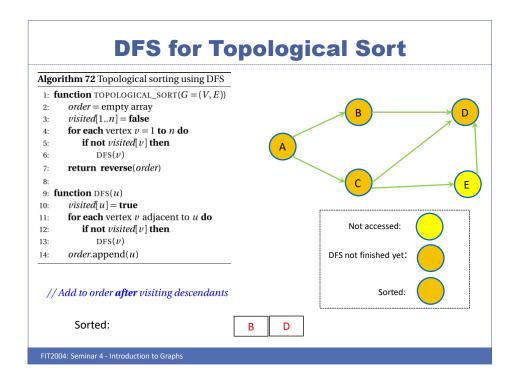
DFS not finished yet:

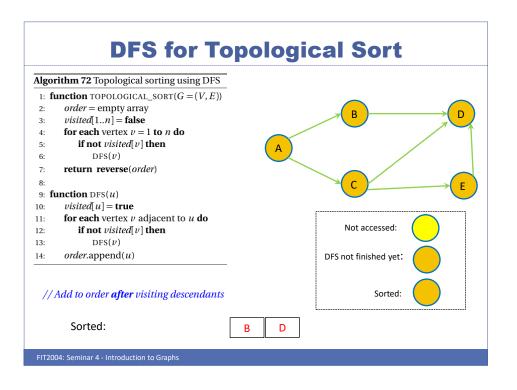


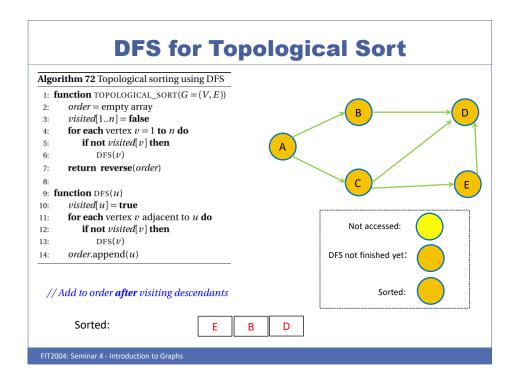


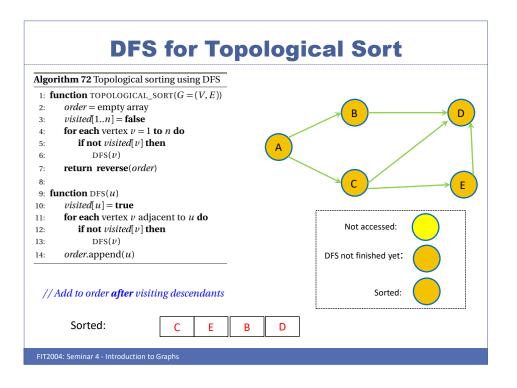


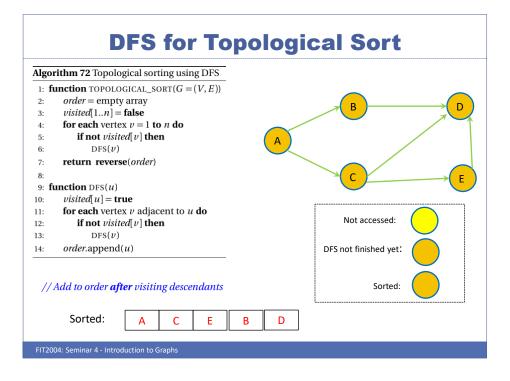












## Reading

- Course Notes: Chapter 5
- You can also check algorithms' textbooks for contents related to this lecture, e.g.:
  - o CLRS: Chapter 22
  - o KT: Chapter 3
  - O Rou: Chapters 7 and 8

# **Concluding Remarks**

#### Things to do (this list is not exhaustive)

- Read more about BFS, DFS and their applications
- Implement BFS and DFS
- Read course notes

#### **Coming Up Next**

• Greedy (Graph) Algorithms