

Faculty of Information Technology,
Monash University

COMMONWEALTH OF AUSTRALIA

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FIT2004: Algorithms and Data Structures

Week 6: Dynamic Programming

Overview

Divide and
conquer
(W 1-3)

Greedy
algorithms
(W 4-5)

Dynamic
programming
(W 6-7)

Network flow
(W 8-9)

Data
structures
(W 10-11)

- Last Lecture: greedy algorithms
 - Dijkstra's Algorithm
 - Prim's Algorithm
 - Kruskal's Algorithm
- Today's Lecture
 - Introduction to Dynamic Programming
 - Coins Change
 - Unbounded Knapsack
 - 0/1 Knapsack
 - Edit Distance
 - Constructing Optimal Solution

FIT2004: Lecture 3 - Quick Sort and Select

Outline

1. Introduction to Dynamic Programming
2. Coins Change
3. Unbounded Knapsack
4. 0/1 Knapsack
5. Edit Distance
6. Constructing Optimal Solution

FIT2004: Lecture 6 - Dynamic Programming

Dynamic Programming Paradigm

- A powerful optimization technique in computer science.
- Applicable to a wide-variety of problems that exhibit certain properties.
- Practice is the key to be good at dynamic programming.



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Core Idea

- Divide a complicated problem by breaking it down into simpler subproblems in a recursive manner and solve these.
- **Question:** But how does this differ from 'Divide and Conquer' approach?
 - **Overlapping subproblems:** the same subproblem needs to (potentially) be used multiple times (in contrast to **independent** subproblems in Divide and Conquer).
- We also need an **optimal substructure**: **optimal solutions** to subproblems help us find **optimal solutions** to larger problems.
- So how do we do this?
 - Identify the **overlapping** subproblems
 - Solve the smaller subproblems and **memoize** their solutions
 - use those **memoized** solutions to gradually build solution for the original problem

****Memoize means storing the result so that they can be used next time instead of calculating the same thing again and again.**

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N-th Fibonacci Number

F(N)

```

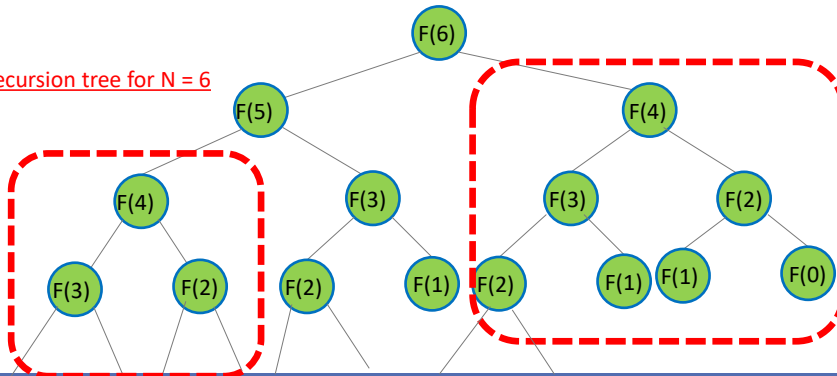
if N == 0 or N == 1
  return N
else
  return F(N - 1) + F(N - 2)

```

Time Complexity

$T(1) = b$ // b and c are constants
 $T(N) = T(N-1) + T(N-2) + c$
 $= O(2^N)$

Recursion tree for N = 6



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N-th Fibonacci Number

F(N)

```

if N == 0 or N == 1
  return N
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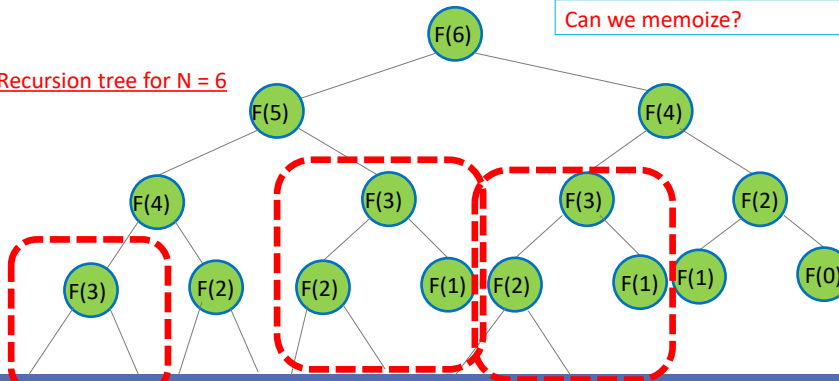
```

Time Complexity

$T(1) = b$ // b and c are constants
 $T(N) = T(N-1) + T(N-2) + c$
 $= O(2^N)$

Can we memoize?

Recursion tree for N = 6



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Fibonacci with Memoization: Version 1

```
memo[0] = 0 // 0th Fibonacci number
```

```
memo[1] = 1 // 1st Fibonacci number
```

```
for i=2 to i=N:
```

```
    memo[i] = -1
```

```
fibDP(N)
```

```
    if memo[N] != -1
```

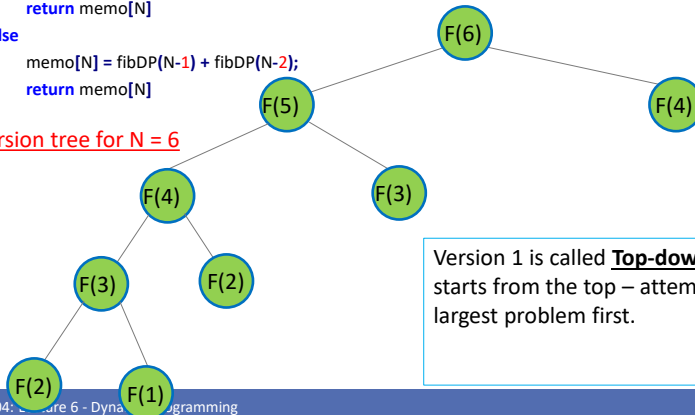
```
        return memo[N]
```

```
    else
```

```
        memo[N] = fibDP(N-1) + fibDP(N-2);
```

```
    return memo[N]
```

Recursion tree for N = 6



Time Complexity

calls fibDP() roughly $2 \cdot N$ times

So the complexity is $O(N)$

Version 1 is called **Top-down** because it starts from the top – attempting the largest problem first.

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Fibonacci with Memoization: Version 2

```
memo[0] = 0 // 0th Fibonacci number
```

```
memo[1] = 1 // 1st Fibonacci number
```

```
for i=2 to i=N:
```

```
    memo[i] = memo[i-1] + memo[i-2]
```

Time Complexity

$O(N)$

memo	0	1	1	2	3	5	8	13	21	34
------	---	---	---	---	---	---	---	----	----	----

Version 2 is called **Bottom-up** because it starts from the bottom – solving the smallest problem first.

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Dynamic Programming Strategy

1. **Assume** you already know the solutions of **all sub-problems** and have **memoized** these solutions (**overlapping subproblems**).
 - E.g., Assume you know $\text{Fib}(i)$ for every $i < n$.
2. **Observe** how you can solve the original problem **using memoized solutions** (**optimal substructure**) .
 - E.g., $\text{Fib}(n) = \text{Fib}(n-1) + \text{Fib}(n-2)$.
3. **Solve** the original problem by building upon solutions to the sub-problems.
 - E.g., $\text{Fib}(0)$, $\text{Fib}(1)$, $\text{Fib}(2)$, ..., $\text{Fib}(n)$.

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Outline

1. Introduction to Dynamic Programming
2. **Coins Change**
3. Unbounded Knapsack
4. 0/1 Knapsack
5. Edit Distance
6. Constructing Optimal Solution

FIT2004: Lecture 6 - Dynamic Programming

Coins Change Problem

Problem: A country uses N coins with denominations $\{a_1, a_2, \dots, a_N\}$. Given a value V , find the minimum number of coins that add up to V .

- **Example:** Suppose the coins are $\{1, 5, 10, 50\}$ and the value V is 110. The minimum number of coins required to make 110 is 3 (two 50 coins, and one 10 coin).
- Greedy solution does not always work. E.g., If Coins = $\{1, 5, 6, 9\}$. What would be the minimum number of coins to make 12?
 - It would be 4 (i.e. coins of 9, 1, 1, 1)- a greedy solution.
 - However, the optimal is 2 (i.e., two 6 coins).
- Similarly, what is the minimum number of coins to make 13?
 - 3 (i.e., two 6 coins and one 1 coin)- optimal vs 5- greedy.

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Coins Change Problem

- **Problem:** A country uses N coins with denominations $\{a_1, a_2, \dots, a_N\}$. Given a value V , find the minimum number of coins that add up to V .
- **Overlapping subproblems:** What shall we store in the memo array?
- We want to know the minimum number of coins which add up to V .
- So lets try

$\text{MinCoins}[v] = \{\text{The fewest coins required to make exactly } \$v\}$

- **Note:** your first guess to include in the memo array may not be right, so try the most obvious thing and then play around if you can't make it work.

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Coins Change Problem

- **Problem:** A country uses N coins with denominations $\{a_1, a_2, \dots, a_N\}$. Given a value V , find the minimum number of coins that add up to V .
- **Overlapping subproblems:**
 $\text{MinCoins}[v] = \{\text{The fewest coins required to make exactly } \$v\}$
- **Optimal substructure:** To find the optimal substructure, we first deal with the base case(s). In this case, to make \$0 requires 0 coins, so $\text{MinCoins}[0] = 0$.
- Assume we have optimal solutions for all $v < V$ (stored in $\text{MinCoins}[0..V-1]$). How could we determine $\text{MinCoins}[V]$?

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Coins Change Problem - Example

Coins: 9, 6, 5, 1]

V: 12

MinCoins:

0	1	2	3	4	5	6	7	8	9	10	11	12
---	---	---	---	---	---	---	---	---	---	----	----	----

- What options do we have to try and make $V = \$12$?
- We have to use any available coin! Lets try using the 9...
- After choosing coin 9, what would be the optimal thing to do?
- Look at MinCoins[12-9] since now we need to make the other \$3, and we already know the best way to do that.
- Repeat this idea for the other coins and see which is best.

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Coins Change Problem - Example

Coins: [9, 6, 5, 1]

V: 12

MinCoins:

0	1	2	3	4	5	6	7	8	9	10	11	12
0	1	2	3	4	1	1	2	3	1	2	2	

- MinCoins[12] =
 $1 + \min(\text{MinCoins}[12-9], \text{MinCoins}[12-6], \text{MinCoins}[12-5], \text{MinCoins}[12-1])$
 $= 1 + \min(\text{MinCoins}[3], \text{MinCoins}[6], \text{MinCoins}[7], \text{MinCoins}[11])$
 $= 1 + \min(3, 1, 2, 2)$
 $= 2$
- In general, $\text{MinCoins}[v] = 1 + \min(\text{MinCoins}[v-c])$ for all c in coins, where $c \leq v$
- Note** also that if the value is less than every coin, then it cannot be made. This can be ignored if there is a \$1 coin.

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Coins Change Problem - Example

Coins: [9, 5, 6, 1]

V: 12

MinCoins:

0	1	2	3	4	5	6	7	8	9	10	11	12
0	1	2	3	4	1	1	2	3	1	2	2	2

Overlapping subproblems:

$\text{MinCoins}[v] = \{\text{The fewest coins required to make exactly } \$v\}.$

Optimal substructure:

$$\text{MinCoins}[v] = \begin{cases} 0 & \text{if } v = 0, \\ \infty & \text{if } v > 0 \text{ and } v < c[i] \text{ for all } i, \\ \min_{\substack{1 \leq i \leq n \\ c[i] \leq v}} (1 + \text{MinCoins}[v - c[i]]) & \text{otherwise} \end{cases}$$

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Coins Change Problem – Implementation (Bottom up)

With DP, you can generally implement straight from the recurrence to code.

$$\text{MinCoins}[v] = \begin{cases} 0 & \text{if } v = 0, \text{ A} \\ \infty & \text{if } v > 0 \text{ and } v < c[i] \text{ for all } i, \text{ B} \\ \min_{\substack{1 \leq i \leq n \\ c[i] \leq v}} (1 + \text{MinCoins}[v - c[i]]) & \text{otherwise C} \end{cases}$$

Coin_change(c[1..n], V)

Mincoins[0..V] = ∞ (note we start from 0 index here)

Mincoins[0] = 0 (from recurrence) A

for each i from 1 to V

options = []

for k from 1 to n

if i < c[k] (from recurrence) B

do nothing

else

options.append(...) = [MinCoins[i-c[k]], provided i >= c[k]] (from recurrence) C

Mincoins[i] = min(options)+1

return Mincoins[V]

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Coins Change – Implementation (top down)

//Assume that the *coin_change* function has appropriately initialised memo to an array of nulls, and called our auxiliary function

Coin_change_aux(c[1..n], v)

if v = 0, return 0

if memo[v] = null

min_coins = infinity

for i in 1 to n

if c[i] <= v

min_coins = min(min_coins, 1 + *coin_change_aux*(c, v-c[i]))

memo[v] = min_coins

return memo[v]

This recursive call just returns memo[v-c[i]] instantly if we have already calculated it (because of this "if")

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Unbounded Knapsack Problem

Problem: Given a capacity C and a set of items with their weights and values, you need to pick items such that their **total weight is at most C** and their total value is **maximized**. What is **the maximum value you can take**? In **unbounded** knapsack, you can pick an item as many times as you want.

Item	A	B	C	D
Weight	9kg	5kg	6kg	1kg
Value	\$550	\$350	\$180	\$40

Example: What is the maximum value for the above example given the capacity is 12 kg?

Answer: \$780 (take two Bs and two Ds).

Greedy solution does not always work.

This is one of the most popular algorithmic problems globally!

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DP Solution for Unbounded Knapsack

Problem: Given a capacity C and a set of items with their weights and values, you need to pick items such that their total weight is at most C and their total value is maximized. What is the maximum value you can take? In unbounded knapsack, you can pick an item as many times as you want.

- We want the LARGEST value under a given weight.
- **Overlapping subproblems:** What shall we store in the memo array?
 - $\text{Memo}[i]$ = LARGEST value with capacity at most i .
- **Optimal substructure:** If we know optimal solutions to all subproblems, how can we build an optimal solution to a larger problem?
 - Similar logic to coin change:
 - × We need to choose an item...
 - × For each possible item choice, find out how much value we could get (using subproblems) and then take the best one.

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DP Solution for Unbounded Knapsack

- What is the maximum value given capacity is 12 kg?
- If we take item 1, then we have 3kg left.
- The best we can do with 3kg is $\text{memo}[3] = \$120$.
- So one option for $\text{memo}[12]$ would be $\text{value}[1] + \text{memo}[12 - \text{weight}[\text{item_1}]$.

Item	1	2	3	4
Weight	9kg	5kg	6kg	1kg
Value	\$550	\$350	\$180	\$40

Memo	40	80	120	160	350	390	430	470	550	700	740	
	1	2	3	4	5	6	7	8	9	10	11	12

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DP Solution for Unbounded Knapsack

Memo[12] could be:

- $\text{value}[1] + \text{memo}[12 - \text{weight}[\text{item_1}]] = 550 + 120 = 670$
- $\text{value}[2] + \text{memo}[12 - \text{weight}[\text{item_2}]] = 350 + 430 = 780$
- $\text{value}[3] + \text{memo}[12 - \text{weight}[\text{item_3}]] = 390 + 180 = 570$
- $\text{value}[4] + \text{memo}[12 - \text{weight}[\text{item_4}]] = 740 + 40 = 780$
- Choose the best!

Item	1	2	3	4
Weight	9kg	5kg	6kg	1kg
Value	\$550	\$350	\$180	\$40

Memo	40	80	120	160	350	390	430	470	550	700	740	
	1	2	3	4	5	6	7	8	9	10	11	12

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DP Solution for Unbounded Knapsack

Memo[12] could be:

- $\text{value}[1] + \text{memo}[12 - \text{weight}[1]] = 550 + 120 = 670$
- $\text{value}[2] + \text{memo}[12 - \text{weight}[2]] = 350 + 430 = 780$
- $\text{value}[3] + \text{memo}[12 - \text{weight}[3]] = 390 + 180 = 570$
- $\text{value}[4] + \text{memo}[12 - \text{weight}[4]] = 740 + 40 = 780$
- Choose the best!

Item	1	2	3	4
Weight	9kg	5kg	6kg	1kg
Value	\$550	\$350	\$180	\$40

Memo	40	80	120	160	350	390	430	470	550	700	740	780
	1	2	3	4	5	6	7	8	9	10	11	12

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DP Solution for Unbounded Knapsack

Lets write our recurrence:

- What is our **base case**?
 - With no capacity, we cannot take any items. Also note, as before, that if an item is heavier than the capacity we have left, we cannot take it.
- Otherwise, we want the maximum over all values $(1 \leq i \leq n, v_i)$ of items that we could take $(w_i \leq c)$.
- But also taking into account the **optimal value** we could fit into the rest of our knapsack, once we took that item.
- Complete the **recurrence**:

$$\text{MaxValue}[c] = \begin{cases} 0 & \text{if } c < w_i \text{ for all } i \\ \max_i (v_i + \text{MaxValue}[c - w_i]) & \text{otherwise} \end{cases}$$

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DP Solution for Unbounded Knapsack

Overlapping subproblems: $\text{memo}[i]$ = most value with capacity at most i .

Optimal substructure:

$$\text{MaxValue}[c] = \begin{cases} 0 & \text{if } c < w_i \text{ for all } i \\ \max_i (v_i + \text{MaxValue}[c - w_i]) & \text{otherwise} \end{cases}$$



$$\text{MaxValue}[c] = \begin{cases} 0 & \text{if } c < w_i \text{ for all } i, \\ \max_{\substack{1 \leq i \leq n \\ w_i \leq c}} (v_i + \text{MaxValue}[c - w_i]) & \text{otherwise.} \end{cases}$$

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Bottom-up Solution

// Construct Memo[] starting from 1 until C in a way similar to previous slide.

Initialize Memo[] to contain 0 for all indices

```
for c = 1 to C
  maxValue = 0
  for i = 1 to N
    if Weight[ i ] <= c
      thisValue = Value[i] + Memo[c - Weight[ i ] ]
      if thisValue > maxValue
        maxValue = thisValue
  Memo[c] = maxValue
```

Time Complexity:

$O(NC)$

Space Complexity:

$O(C + N)$

E.g., Fill Memo[13]

Item	1	2	3	4
Weight	9kg	5kg	6kg	1kg
Value	\$550	\$350	\$180	\$40

Memo	40	80	120	160	350	390	430	470	550	700	740	780	
	1	2	3	4	5	6	7	8	9	10	11	12	13

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Top-down Solution

Initialize Memo[] to contain -1 for all indices // -1 indicates solution for this index has not yet been computed

Memo[0] = 0

function knapsack(Capacity)

```
if Memo[Capacity] != -1:
  return Memo[Capacity]
```

else:

maxValue = 0

```
for i = 1 to N
```

```
  if Weight[ i ] <= Capacity
```

```
    thisValue = Value[i] + knapsack(Capacity - Weight[ i ] )
```

```
    if thisValue > maxValue
```

```
      maxValue = thisValue
```

```
Memo[Capacity] = maxValue
```

```
return Memo[Capacity]
```

Bottom up solution:

Values[i] + Memo[Capacity – Weights[i]]

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Top Down vs Bottom Up



- Top-down **may** save some computations (E.g., some smaller subproblems may not need to be solved).
- Space saving trick may be applied for bottom-up to reduce space complexity.
- You may find one easier to think about.
- In some cases, the solution cannot be written bottom-up without some silly contortions.

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0/1 Knapsack Problem

Same as unbounded knapsack except that each item can only be picked at most once.

Example: What is the maximum value for the example given below given capacity is 11kg?

Answer: \$590 (B and D) for capacity of 10kg.
Greedy solution may not always work.

(Note that A & C together can produce 11kg, but value is only \$580)

Item	A	B	C	D
Weight	6kg	1kg	5kg	9kg
Value	\$230	\$40	\$350	\$550

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0/1 Knapsack Problem

Same as unbounded knapsack except that each item can only be picked at most once.

Difference from unbounded: If we pick an item X , giving us a remaining capacity R , we have to somehow make sure that X is not part of the optimal solution to our new subproblem of size R .

Idea: Lets have two axes on which we think about subproblems:

- Capacity
- Which items are part of the subproblem

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0/1 Knapsack Problem

Problem: What is the solution for 0/1 knapsack for items {A,B,C,D} where capacity = 11kg.

Assume that we have computed solutions for every capacity ≤ 11 considering the items {A,B,C} (see table below).

What is the solution for capacity=11 and set {A,B,C,D} ?

- **Case 1:** the knapsack must **NOT** contain D
 - Solution for 0/1 knapsack with set {A,B,C} and capacity 11 = 580
- **Case 2:** the knapsack **must** contain D
 - The value of item D + solution for 0/1 knapsack with set {A,B,C} and capacity $11-9=2$
 - This gives a value of $550+40 = 590$
- **Solution = max(Case1, Case2)**

Item	A	B	C	D
Weight	6kg	1kg	5kg	9kg
Value	\$230	\$40	\$350	\$550

	1	2	3	4	5	6	7	8	9	10	11
{A,B,C}	40	40	40	40	350	390	390	390	390	390	580

	1	2	3	4	5	6	7	8	9	10	11
{A,B,C,D}	40	40	40	40	350	390	390	390	550	590	590

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0/1 Knapsack Problem

Assume we know the optimal solutions for every subproblem and results are stored in Memo[][]

Memo[i][c] contains the solution of knapsack for **Set[1 ... i]** and capacity **c**.

Item	A	B	C	D
Weight	6kg	1kg	5kg	9kg
Value	\$230	\$40	\$350	\$550

$\max(40, 0)$

		1	2	3	4	5	6	7	8	9	10	11	12
0	Φ	0	0	0	0	0	0	0	0	0	0	0	0
1	A	0	0	0	0	0	230	230	230	230	230	230	230
2	B	?											
3	C												
4	D												

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0/1 Knapsack Problem

Assume we know the optimal solutions for every subproblem and results are stored in Memo[][]
Memo[i][c] contains the solution of knapsack for Set[1 ... i] and capacity **c**.

Item	A	B	C	D
Weight	6kg	1kg	5kg	9kg
Value	\$230	\$40	\$350	\$550

		1	2	3	4	5	6	7	8	9	10	11	12
0	Φ	0	0	0	0	0	0	0	0	0	0	0	0
1	A	0	0	0	0	0	230	230	230	230	230	230	230
2	B	40	40	40	40	40	230	270	270	270	270	270	270
3	C	40	40	40	40	350	390	390	390	390	390	580	620
i 4	D	40	40	40	40	350	390	390	390	550	590		

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0/1 Knapsack Problem

Assume we know the optimal solutions for every subproblem and results are stored in Memo[][]
Memo[i][c] contains the solution of knapsack for Set[1 ... i] and capacity **c**.

Item	A	B	C	D
Weight	6kg	1kg	5kg	9kg
Value	\$230	\$40	\$350	\$550

max(

		1	2	3	4	5	6	7	8	9	10	11	12
0	Φ	0	0	0	0	0	0	0	0	0	0	0	0
1	A	0	0	0	0	0	230	230	230	230	230	230	230
2	B	40	40	40	40	40	230	270	270	270	270	270	270
3	C	40	40	40	40	350	390	390	390	390	390	580	620
i 4	D	40	40	40	40	350	390	390	390	550	590		

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0/1 Knapsack Problem

Assume we know the optimal solutions for every subproblem and results are stored in Memo[][]
Memo[i][c] contains the solution of knapsack for Set[1 ... i] and capacity **c**.

Item	A	B	C	D
Weight	6kg	1kg	5kg	9kg
Value	\$230	\$40	\$350	\$550

max(580

		1	2	3	4	5	6	7	8	9	10	11	12
0	Φ	0	0	0	0	0	0	0	0	0	0	0	0
1	A	0	0	0	0	0	230	230	230	230	230	230	230
2	B	40	40	40	40	40	230	270	270	270	270	270	270
3	C	40	40	40	40	350	390	390	390	390	390	580	620
i 4	D	40	40	40	40	350	390	390	390	550	590		

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0/1 Knapsack Problem

Assume we know the optimal solutions for every subproblem and results are stored in Memo[][]
Memo[i][c] contains the solution of knapsack for Set[1 ... i] and capacity **c**.

Item	A	B	C	D
Weight	6kg	1kg	5kg	9kg
Value	\$230	\$40	\$350	\$550

max(580, 550 + 40)

		1	2	3	4	5	6	7	8	9	10	11	12
0	Φ	0	0	0	0	0	0	0	0	0	0	0	0
1	A	0	0	0	0	0	230	230	230	230	230	230	230
2	B	40	40	40	40	40	230	270	270	270	270	270	270
3	C	40	40	40	40	350	390	390	390	390	390	580	620
i 4	D	40	40	40	40	350	390	390	390	550	590		

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0/1 Knapsack Problem

Assume we know the optimal solutions for every subproblem and results are stored in Memo[][]
Memo[i][c] contains the solution of knapsack for Set[1 ... i] and capacity **c**.

Item	A	B	C	D
Weight	6kg	1kg	5kg	9kg
Value	\$230	\$40	\$350	\$550

$$\max(580, 550 + 40)$$

		1	2	3	4	5	6	7	8	9	10	11	12
0	Φ	0	0	0	0	0	0	0	0	0	0	0	0
1	A	0	0	0	0	0	230	230	230	230	230	230	230
2	B	40	40	40	40	40	230	270	270	270	270	270	270
3	C	40	40	40	40	350	390	390	390	390	390	580	620
i 4	D	40	40	40	40	350	390	390	390	550	590	590	

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$$\max($$

		1	2	3	4	5	6	7	8	9	10	11	12
0	Φ	0	0	0	0	0	0	0	0	0	0	0	0
1	A	0	0	0	0	0	230	230	230	230	230	230	230
2	B	40	40	40	40	40	230	270	270	270	270	270	270
3	C	40	40	40	40	350	390	390	390	390	390	580	620
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0	Φ	0	0	0	0	0	0	0	0	0	0	0	0
1	A	0	0	0	0	0	230	230	230	230	230	230	230
2	B	40	40	40	40	40	230	270	270	270	270	270	270
3	C	40	40	40	40	350	390	390	390	390	390	580	620
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max(620, 550 + 40)

		1	2	3	4	5	6	7	8	9	10	11	12
0	Φ	0	0	0	0	0	0	0	0	0	0	0	0
1	A	0	0	0	0	0	230	230	230	230	230	230	230
2	B	40	40	40	40	40	230	270	270	270	270	270	270
3	C	40	40	40	40	350	390	390	390	390	390	580	620
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0/1 Knapsack Problem

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Weight	6kg	1kg	5kg	9kg
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0	Φ	0	0	0	0	0	0	0	0	0	0	0	0
1	A	0	0	0	0	0	230	230	230	230	230	230	230
2	B	40	40	40	40	40	230	270	270	270	270	270	270
3	C	40	40	40	40	350	390	390	390	390	390	580	620
i 4	D	40	40	40	40	350	390	390	390	550	590	590	620

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0/1 Knapsack Problem

Complexity:

- We need to fill this two-dimensional grid.
- Filling each cell is $O(1)$ since it is the max of 2 numbers, each of which can be computed in a constant number of lookups.
- Therefore, the time and space complexity are both $O(NC)$ where N is the number of items and C is the capacity of the knapsack.

		1	2	3	4	5	6	7	8	9	10	11	12
0	Φ	0	0	0	0	0	0	0	0	0	0	0	0
1	A	0	0	0	0	0	230	230	230	230	230	230	230
2	B	40	40	40	40	40	230	270	270	270	270	270	270
3	C	40	40	40	40	350	390	390	390	390	390	580	620
i 4	D	40	40	40	40	350	390	390	390	550	590	590	620

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0/1 Knapsack Problem

**BUT WE WERE TOLD
KNAPSACK IS NP-COMPLETE!**

**KEEP CALM
THIS IS
PSEUDO-POLYNOMIAL!**

	0	1	2	3	4	5	6	7
0	0	0	0	0	0	0	0	0
1	A	0	0	0	0	0	0	230
2	B	40	40	40	40	40	40	230
3	C	40	40	40	40	40	350	390
4	D	40	40	40	40	40	350	390

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0/1 Knapsack Problem

Complexity:

- Knapsack complexity is not polynomial, it is actually exponential
- The knapsack algorithm runs in $O(CN)$, where
 - N is the # of items (array)
 - C is the max capacity (single value)
- What is the definition of time complexity?
 - The amount of time taken by an algorithm to run, as a function of the length of the input (in bits) (not the value of the input).
- Think about how many bits it takes to specify input.
- Instead of C , let's talk about B , the number of bits to specify C .
- C is the capacity. C can be described with $\log_2 C + 1$ bits.
 - For $C = 4\text{kg}$, # of bits = 3 ($C = 100$ in binary)
 - For $C = 8\text{kg}$, # of bits = 4 ($C = 1000$ in binary)
 - For $C = 16\text{kg}$, # of bits = 5 ($C = 10000$ in binary)
- $\log_2 C + 1 = B \Rightarrow C = 2^B$
- Now we can say our algorithm runs in $O(CN) = O(2^B N)$, which is not polynomial in the size of the input (as expected for an NP-complete problem).

Reducing Space Complexity

- While generating each row, we only need to look at values from the previous row.
- So all values from the earlier rows may be discarded.
- Reduces space complexity to $O(C)$ (or $O(2^B)$ as we saw).
- **Note:** Space saving not possible for top-down dynamic programming (since we don't know the order we solve subproblems).
- **Note:** This simple space saving trick **cannot be used when we want to reconstruct the solution**. We need a more advanced idea for that case!

		1	2	3	4	5	6	7	8	9	10	11	12
3	C	40	40	40	40	350	390	390	390	390	390	580	620
4	D	40	40	40	40	350	390	390	390	550	590		

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Outline

1. Introduction to Dynamic Programming
2. Coins Change
3. Unbounded Knapsack
4. 0/1 Knapsack
5. Edit Distance
6. Constructing Optimal Solution

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Edit Distance

- The words **computer** and **commuter** are very similar, and a change of just one letter, $p \rightarrow m$, will change the first word into the second.
- The word **sport** can be changed into **sort** by the deletion of **p**, or equivalently, **sort** can be changed into **sport** by the insertion of **p**.
- Notion of **editing** provides a simple and handy formalisation to compare two strings.
- The goal is to convert the first string (i.e., sequence) into the second through a series of edit operations.
- The permitted edit operations are:
 1. **insertion** of a symbol into a sequence.
 2. **deletion** of a symbol from a sequence.
 3. **substitution** or replacement of one symbol with another in a sequence.

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Edit Distance

Edit distance between two sequences

- Edit distance is the **minimum number of edit operations** required to convert one sequence into another (*assuming that all operations have equal costs*).

For example:

- Edit distance between **computer** and **commuter** is 1.
- Edit distance between **sport** and **sort** is 1.
- Edit distance between **shine** and **sings** is ?
- Edit distance between **dnasgivethis** and **dentsgnawstrims** is ?

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Examples

shine → sings

s = s (no change)
 h → i (substitute 'h' → 'i')
 i = n (substitute 'i' → 'n')
 n → g (substitute 'n' → 'g')
 e → s (substitute 'e' → 's')

4 edits (substitutes) in total

shine → sings

s = s (no change)
 Delete 'h'
 i = i (no change)
 n = n (no change)
 e → g (substitute 'e' → 'g')
 Insert 's'

3 edits (1 delete, 1 substitute, 1 insert) in total

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dnasgivethis → dentsgnawstrims

d = d (no change)

Insert 'e'

n = n (no change)

a → t (substitute 'a' → 't')

s = s (no change)

g = g (no change)

Insert 'n'

i = a (substitute 'i' → 'a')

v → w (substitute 'v' → 'w')

e → s (substitute 'e' → 's')

t = t (no change)

h → r (substitute 'h' → 'r')

i = i (no change)

Insert 'm'

s = s (no change)

3 inserts + 5 substitutes = 4 edits in total

Some Applications of Edit Distance

- Natural Language Processing
 - Auto-correction
 - Query suggestions
- Bioinformatics
 - DNA/Protein sequence alignment

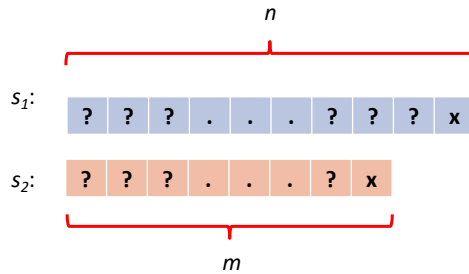
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Computing Edit Distance

We want to convert s_1 to s_2 containing n and m letters, respectively.

To gain an intuition for this problem, let's look at some situations we might run into.

This is a good technique in general, try playing around with the problem and see what happens.



How much does it cost to turn s_1 into s_2 if the last characters are **the same**?

We can leave the last character, and just convert the front part of one string into the front part of the other

$$\begin{aligned} \text{edit}(s_1[1..n], s_2[1..m]) \\ = \text{edit}(s_1[1..n-1], s_2[1..m-1]) \end{aligned}$$

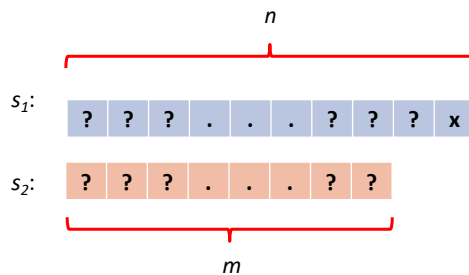
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Computing Edit Distance

We want to convert s_1 to s_2 containing n and m letters, respectively.

To gain an intuition for this problem, let's look at some situations we might run into.

This is a good technique in general, try playing around with the problem and see what happens.



How much does it cost to turn s_1 into s_2 if the last characters are **different**?

We have some options.

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Computing Edit Distance

- Remember! We can assume that we have solved ALL subproblems already. In other words, we know:
 - $\text{Edit}(s_1[1..i], s_2[1..j])$ for all $i \leq n, j \leq m$ BUT NOT when $i = n$ AND $j = m$ (since this is the exact problem we are trying to solve).
- Alternatively, we could think about it visually.
- In this table, cell $[i][j]$ is the cost of turning $s_1[1..i]$ into $s_2[1..j]$

	1	2	3	.	.	.	m
1							
2							
3							
.							
.							
.							
n							

Known:

Unknown:



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Computing Edit Distance

We know $\text{Edit}(s_1[1..i], s_2[1..j])$ for all $i \leq n, j \leq m$ BUT NOT $i = n$ AND $j = m$.

Equivalently, we know all the blue cells.

	1	2	3	.	.	.	m
1							
2							
3							
.							
.							
.							
n							

From the bottom right corner, there are three things we can do:

- Go up
- Go left
- Go left and up

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Computing Edit Distance

We know $\text{Edit}(s_1[1..i], s_2[1..j])$ for all $i \leq n$, $j \leq m$ BUT NOT $i = n$ AND $j = m$.

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1							
2							
3							
.							
.							
.							
n							

From the bottom right corner, there are three things we can do:

- Go up
- Go left
- Go left and up

Going up:

Subproblem: $\text{edit}(s_1[1..n-1], s_2[1..m])$.

- First delete $s_1[n]$.
- Then turn $s_1[1..n-1]$ into $s_2[1..m]$.

Total cost:

$\text{cost}(\text{delete}) + \text{edit}(s_1[1..n-1], s_2[1..m])$.

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Computing Edit Distance

We know $\text{Edit}(s_1[1..i], s_2[1..j])$ for all $i \leq n$, $j \leq m$ BUT NOT $i = n$ AND $j = m$.

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	1	2	3	.	.	.	m
1							
2							
3							
.							
.							
.							
n							

From the bottom right corner, there are three things we can do:

- Go up
- Go left
- Go left and up

Going left:

Subproblem: $\text{edit}(s_1[1..n], s_2[1..m-1])$.

- First turn $s_1[1..n]$ into $s_2[1..m-1]$.
- Then insert $s_2[m]$ at the end of s_1 .

Total cost:

$\text{edit}(s_1[1..n], s_2[1..m-1]) + \text{cost}(\text{insert})$.

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Computing Edit Distance

We know $\text{Edit}(s_1[1..i], s_2[1..j])$ for all $i \leq n$, $j \leq m$ BUT NOT $i = n$ AND $j = m$.

Equivalently, we know all the blue cells.

	1	2	3	.	.	.	m
1							
2							
3							
.							
.							
.							
n							

From the bottom right corner, there are three things we can do:

- Go up
- Go left
- Go left and up

Going left:

Subproblem: $\text{edit}(s_1[1..n-1], s_2[1..m-1])$.

- Replace $s_1[n]$ with $s_2[m]$.
- Turn $s_1[1..n-1]$ into $s_2[1..m-1]$.

Total cost:

$\text{edit}(s_1[1..n-1], s_2[1..m-1]) + \text{cost}(\text{replace})$.

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Computing Edit Distance

Base cases?

- When one string is empty, the cost is just the length of the other string.
- If s_1 is empty, we would have to insert each character in the other string, starting from nothing.
- So $\text{edit}(s_1[], s_2[1..j]) = j$ (# of insertions)
- $\text{edit}(s_1[1..i], s_2[]) = i$ (# of deletions)

$$\text{Dist}[i, j] = \begin{cases} i & \text{if } j = 0, \\ j & \text{if } i = 0, \end{cases}$$

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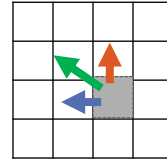
Computing Edit Distance

If the last characters are the same:

- $\text{edit}(s_1[1..n-1], s_2[1..m-1])$

If the last characters are different, three options:

- $\text{cost}(\text{delete}) + \text{edit}(s_1[1..n-1], s_2[1..m]) \rightarrow \text{go up}$
- $\text{edit}(s_1[1..n], s_2[1..m-1]) + \text{cost}(\text{insert}) \rightarrow \text{go left}$
- $\text{edit}(s_1[1..n-1], s_2[1..m-1]) + \text{cost}(\text{replace}) \rightarrow \text{go left and up}$



We want the **minimum cost**, so if all costs are 1, our optimal substructure is

$$\text{Dist}[i, j] = \begin{cases} i & \text{if } j = 0, \\ j & \text{if } i = 0, \\ \min \begin{cases} \text{Dist}[i-1, j-1] + 1_{s_1[i] \neq s_2[j]} \\ \text{Dist}[i-1, j] + 1 \\ \text{Dist}[i, j-1] + 1 \end{cases} & \text{otherwise} \end{cases}$$

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Computing Edit Distance

Overlapping subproblems: $\text{Dist}[i, j]$ = cost of operations to turn $s_1[1..i]$ into $s_2[1..j]$.

Optimal substructure:

$$\text{Dist}[i, j] = \begin{cases} i & \text{if } j = 0, \\ j & \text{if } i = 0, \\ \min \begin{cases} \text{Dist}[i-1, j-1] + 1_{s_1[i] \neq s_2[j]} \\ \text{Dist}[i-1, j] + 1 \\ \text{Dist}[i, j-1] + 1 \end{cases} & \text{otherwise} \end{cases}$$

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Example

$$\text{Dist}[i, j] = \begin{cases} i & \text{if } j = 0, \\ j & \text{if } i = 0, \\ \min \begin{cases} \text{Dist}[i-1, j-1] + 1_{S_1[i] \neq S_2[j]} \\ \text{Dist}[i-1, j] + 1 \\ \text{Dist}[i, j-1] + 1 \end{cases} & \text{otherwise} \end{cases}$$

i rows and j columns

	Φ	S	H	I	N	E
Φ						
S						
I						
N						
G						
S						

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Example

$$\text{Dist}[i, j] = \begin{cases} i & \text{if } j = 0, \\ j & \text{if } i = 0, \\ \min \begin{cases} \text{Dist}[i-1, j-1] + 1_{S_1[i] \neq S_2[j]} \\ \text{Dist}[i-1, j] + 1 \\ \text{Dist}[i, j-1] + 1 \end{cases} & \text{otherwise} \end{cases}$$

i rows and j columns

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S						
I						
N						
G						
S						

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Example

$$\text{Dist}[i, j] = \begin{cases} i & \text{if } j = 0, \\ j & \text{if } i = 0, \\ \min \begin{cases} \text{Dist}[i-1, j-1] + 1_{S_1[i] \neq S_2[j]} \\ \text{Dist}[i-1, j] + 1 \\ \text{Dist}[i, j-1] + 1 \end{cases} & \text{otherwise} \end{cases}$$

i rows and j columns

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1					
I	2					
N	3					
G	4					
S	5					

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Example

$$\text{Dist}[i, j] = \begin{cases} i & \text{if } j = 0, \\ j & \text{if } i = 0, \\ \min \begin{cases} \text{Dist}[i-1, j-1] + 1_{S_1[i] \neq S_2[j]} \\ \text{Dist}[i-1, j] + 1 \\ \text{Dist}[i, j-1] + 1 \end{cases} & \text{otherwise} \end{cases}$$

i rows and j columns

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1					
I	2					
N	3					
G	4					
S	5					

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i rows and j columns

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0				
I	2					
N	3					
G	4					
S	5					

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Example

$$\text{Dist}[i, j] = \begin{cases} i & \text{if } j = 0, \\ j & \text{if } i = 0, \\ \min \begin{cases} \text{Dist}[i-1, j-1] + 1_{S_1[i] \neq S_2[j]} \\ \text{Dist}[i-1, j] + 1 \\ \text{Dist}[i, j-1] + 1 \end{cases} & \text{otherwise} \end{cases}$$

i rows and j columns

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0				
I	2					
N	3					
G	4					
S	5					

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Example

$$\text{Dist}[i, j] = \begin{cases} i & \text{if } j = 0, \\ j & \text{if } i = 0, \\ \min \begin{cases} \text{Dist}[i-1, j-1] + 1_{S_1[i] \neq S_2[j]} \\ \text{Dist}[i-1, j] + 1 \\ \text{Dist}[i, j-1] + 1 \end{cases} & \text{otherwise} \end{cases}$$

i rows and j columns

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1			
I	2					
N	3					
G	4					
S	5					

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Example

$$\text{Dist}[i, j] = \begin{cases} i & \text{if } j = 0, \\ j & \text{if } i = 0, \\ \min \begin{cases} \text{Dist}[i-1, j-1] + 1_{S_1[i] \neq S_2[j]} \\ \text{Dist}[i-1, j] + 1 \\ \text{Dist}[i, j-1] + 1 \end{cases} & \text{otherwise} \end{cases}$$

i rows and j columns

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1			
I	2					
N	3					
G	4					
S	5					

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Example

$$\text{Dist}[i, j] = \begin{cases} i & \text{if } j = 0, \\ j & \text{if } i = 0, \\ \min \begin{cases} \text{Dist}[i-1, j-1] + 1_{S_1[i] \neq S_2[j]} \\ \text{Dist}[i-1, j] + 1 \\ \text{Dist}[i, j-1] + 1 \end{cases} & \text{otherwise} \end{cases}$$

i rows and j columns

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2		
I	2					
N	3					
G	4					
S	5					

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Example

$$\text{Dist}[i, j] = \begin{cases} i & \text{if } j = 0, \\ j & \text{if } i = 0, \\ \min \begin{cases} \text{Dist}[i-1, j-1] + 1_{S_1[i] \neq S_2[j]} \\ \text{Dist}[i-1, j] + 1 \\ \text{Dist}[i, j-1] + 1 \end{cases} & \text{otherwise} \end{cases}$$

i rows and j columns

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	
I	2					
N	3					
G	4					
S	5					

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Example

$$\text{Dist}[i, j] = \begin{cases} i & \text{if } j = 0, \\ j & \text{if } i = 0, \\ \min \begin{cases} \text{Dist}[i-1, j-1] + 1_{S_1[i] \neq S_2[j]} \\ \text{Dist}[i-1, j] + 1 \\ \text{Dist}[i, j-1] + 1 \end{cases} & \text{otherwise} \end{cases}$$

i rows and j columns

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2					
N	3					
G	4					
S	5					

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Example

$$\text{Dist}[i, j] = \begin{cases} i & \text{if } j = 0, \\ j & \text{if } i = 0, \\ \min \begin{cases} \text{Dist}[i-1, j-1] + 1_{S_1[i] \neq S_2[j]} \\ \text{Dist}[i-1, j] + 1 \\ \text{Dist}[i, j-1] + 1 \end{cases} & \text{otherwise} \end{cases}$$

i rows and j columns

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1				
N	3					
G	4					
S	5					

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Example

$$\text{Dist}[i, j] = \begin{cases} i & \text{if } j = 0, \\ j & \text{if } i = 0, \\ \min \begin{cases} \text{Dist}[i-1, j-1] + 1_{S_1[i] \neq S_2[j]} \\ \text{Dist}[i-1, j] + 1 \\ \text{Dist}[i, j-1] + 1 \end{cases} & \text{otherwise} \end{cases}$$

i rows and j columns

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1			
N	3					
G	4					
S	5					

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Example

$$\text{Dist}[i, j] = \begin{cases} i & \text{if } j = 0, \\ j & \text{if } i = 0, \\ \min \begin{cases} \text{Dist}[i-1, j-1] + 1_{S_1[i] \neq S_2[j]} \\ \text{Dist}[i-1, j] + 1 \\ \text{Dist}[i, j-1] + 1 \end{cases} & \text{otherwise} \end{cases}$$

i rows and j columns

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1			
N	3					
G	4		Now	you	Try!	
S	5					

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Example

$$\text{Dist}[i, j] = \begin{cases} i & \text{if } j = 0, \\ j & \text{if } i = 0, \\ \min \begin{cases} \text{Dist}[i-1, j-1] + 1_{S_1[i] \neq S_2[j]} \\ \text{Dist}[i-1, j] + 1 \\ \text{Dist}[i, j-1] + 1 \end{cases} & \text{otherwise} \end{cases}$$

i rows and j columns

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Outline

1. Introduction to Dynamic Programming
2. Coins Change
3. Unbounded Knapsack
4. 0/1 Knapsack
5. Edit Distance
6. Constructing Optimal Solution

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Constructing optimal solutions

- The algorithms we have seen determine **optimal values**:
 - Minimum number of coins
 - Maximum value of knapsack
 - Edit distance
- How do we **construct optimal solution** that gives the optimal value:
 - The coins to give the change
 - The items to put in knapsack
 - Converting one string to the other
- There may be multiple optimal solutions and our goal is to return just one solution!
- Two strategies can be used.
 1. Create an additional array recording **decision at each step**
 2. Backtracking

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Decision Array

- Make a second array of the same size.
- Each time you fill in a cell of the **memo array**, record your decision in the decision array.
- Remember, going right (or coming from the left) is insert.
- Going down (or coming from up) is delete.
- Going down and right (or coming from up and left) is replace OR do nothing.

	Φ	S	H	I	N	E
Φ	0					
S						
I						
N						
G						
S						

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Decision Array						
	Φ	S	H	I	N	E
Φ	null	Insert S				
S						
I						
N						
G						
S						
	Φ	S	H	I	N	E
Φ	0	1				
S						
I						
N						
G						
S						

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Decision Array						
	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H			
S						
I						
N						
G						
S						
	Φ	S	H	I	N	E
Φ	0	1	2			
S						
I						
N						
G						
S						

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Decision Array						
	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I		
S						
I						
N						
G						
S						
	Φ	S	H	I	N	E
Φ	0	1	2	3		
S						
I						
N						
G						
S						

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Decision Array						
	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I	Insert N	
S						
I						
N						
G						
S						
	Φ	S	H	I	N	E
Φ	0	1	2	3	4	
S						
I						
N						
G						
S						

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Decision Array

	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I	Insert N	Insert E
S						
I						
N						
G						
S						

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S						
I						
N						
G						
S						

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Decision Array

	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I	Insert N	Insert E
S	Delete S					
I	Delete I					
N	Delete N					
G	Delete G					
S	Delete S					

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1					
I	2					
N	3					
G	4					
S	5					

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Decision Array

	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I	Insert N	Insert E
S	Delete S	Do nothing				
I	Delete I					
N	Delete N					
G	Delete G					
S	Delete S					
	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0				
I	2					
N	3					
G	4					
S	5					

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Decision Array

	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I	Insert N	Insert E
S	Delete S	Do nothing	Insert H			
I	Delete I					
N	Delete N					
G	Delete G					
S	Delete S					
	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1			
I	2					
N	3					
G	4					
S	5					

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Decision Array

	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I	Insert N	Insert E
S	Delete S	Do nothing	Insert H	Insert I		
I	Delete I					
N	Delete N					
G	Delete G					
S	Delete S					
	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2		
I	2					
N	3					
G	4					
S	5					

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Decision Array

	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I	Insert N	Insert E
S	Delete S	Do nothing	Insert H	Insert I	Insert N	
I	Delete I					
N	Delete N					
G	Delete G					
S	Delete S					
	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	
I	2					
N	3					
G	4					
S	5					

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Decision Array

	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I	Insert N	Insert E
S	Delete S	Do nothing	Insert H	Insert I	Insert N	Insert E
I	Delete I					
N	Delete N					
G	Delete G					
S	Delete S					
	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2					
N	3					
G	4					
S	5					

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Decision Array

	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I	Insert N	Insert E
S	Delete S	Do nothing	Insert H	Insert I	Insert N	Insert E
I	Delete I	Delete I				
N	Delete N					
G	Delete G					
S	Delete S					
	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1				
N	3					
G	4					
S	5					

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Decision Array

	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I	Insert N	Insert E
S	Delete S	Do nothing	Insert H	Insert I	Insert N	Insert E
I	Delete I	Delete I	Replace I,H	Do nothing	Insert N	Insert E
N	Delete N	Delete N	Replace N,H	Delete N	Do nothing	Insert E
G	Delete G	Delete G	Delete G	Delete G	Delete G	Replace G, E
S	Delete S	Delete S	Delete S	Delete S	Delete S	Delete S
	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Decision Array

	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I	Insert N	Insert E
S	Delete S	Do nothing	Insert H	Insert I	Insert N	Insert E
I	Delete I	Delete I	Replace I,H	Do nothing	Insert N	Insert E
N	Delete N	Delete N	Replace N,H	Delete N	Do nothing	Insert E
G	Delete G	Delete G	Delete G	Delete G	Delete G	Replace G, E
S	Delete S	Delete S	Delete S	Delete S	Delete S	Delete S
	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Decision Array

	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I	Insert N	Insert E
S	Delete S	Do nothing	Insert H	Insert I	Insert N	Insert E
I	Delete I	Delete I	Replace I,H	Do nothing	Insert N	Insert E
N	Delete N	Delete N	Replace N,H	Delete N	Do nothing	Insert E
G	Delete G	Delete G	Delete G	Delete G	Delete G	Replace G, E
S	Delete S	Delete S	Delete S	Delete S	Delete S	Delete S
	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Decision Array

	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I	Insert N	Insert E
S	Delete S	Do nothing	Insert H	Insert I	Insert N	Insert E
I	Delete I	Delete I	Replace I,H	Do nothing	Insert N	Insert E
N	Delete N	Delete N	Replace N,H	Delete N	Do nothing	Insert E
G	Delete G	Delete G	Delete G	Delete G	Delete G	Replace G, E
S	Delete S	Delete S	Delete S	Delete S	Delete S	Delete S
	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Decision Array

	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I	Insert N	Insert E
S	Delete S	Do nothing	Insert H	Insert I	Insert N	Insert E
I	Delete I	Delete I	Replace I,H	Do nothing	Insert N	Insert E
N	Delete N	Delete N	Replace N,H	Delete N	Do nothing	Insert E
G	Delete G	Delete G	Delete G	Delete G	Delete G	Replace G, E
S	Delete S	Delete S	Delete S	Delete S	Delete S	Delete S
	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Decision Array

	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I	Insert N	Insert E
S	Delete S	Do nothing	Insert H	Insert I	Insert N	Insert E
I	Delete I	Delete I	Replace I,H	Do nothing	Insert N	Insert E
N	Delete N	Delete N	Replace N,H	Delete N	Do nothing	Insert E
G	Delete G	Delete G	Delete G	Delete G	Delete G	Replace G, E
S	Delete S	Delete S	Delete S	Delete S	Delete S	Delete S
	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Decision Array

	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I	Insert N	Insert E
S	Delete S	Do nothing	Insert H	Insert I	Insert N	Insert E
I	Delete I	Delete I	Replace I,H	Do nothing	Insert N	Insert E
N	Delete N	Delete N	Replace N,H	Delete N	Do nothing	Insert E
G	Delete G	Delete G	Delete G	Delete G	Delete G	Replace G, E
S	Delete S	Delete S	Delete S	Delete S	Delete S	Delete S
	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Decision Array

	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I	Insert N	Insert E
S	Delete S	Do nothing	Insert H	Insert I	Insert N	Insert E
I	Delete I	Delete I	Replace I,H	Do nothing	Insert N	Insert E
N	Delete N	Delete N	Replace N,H	Delete N	Do nothing	Insert E
G	Delete G	Delete G	Delete G	Delete G	Delete G	Replace G, E
S	Delete S	Delete S	Delete S	Delete S	Delete S	Delete S
	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Decision Array

	Φ	S	H	I	N	E
Φ	null	Insert S	Insert H	Insert I	Insert N	Insert E
S	Delete S	Do nothing	Insert H	Insert I	Insert N	Insert E
I	Delete I	Delete I	Replace I,H	Do nothing	Insert N	Insert E
N	Delete N	Delete N	Replace N,H	Delete N	Do nothing	Insert E
G	Delete G	Delete G	Delete G	Delete G	Delete G	Replace G, E
S	Delete S	Delete S	Delete S	Delete S	Delete S	Delete S

Sequence of operations:

Delete S (from position 5)

Replace G with E (at position 4)

Insert H (at position 2)

- SINGS
- SING
- SINE
- SHINE

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Backtracking

- Start in bottom right.
- Are the letters the same?

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Start in bottom right.
- Are the letters the same?
- No

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Start in bottom right.
- Are the letters the same?
- No
- From recurrence...
- We know that our current value (3) was obtained from any of the three previous cells by adding 1.

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Start in bottom right.
- Are the letters the same?
- No
- From recurrence...
- We know that our current value (3) was obtained from any of the three previous cells by adding 1.
- So our options are up or up-and-left.
- Choose one arbitrarily.

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Continue from our new cell (but remember the path).

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Continue from our new cell (but remember the path).
- Letters are different.

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Continue from our new cell (but remember the path).
- Letters are different.
- Must have come from the cell above.

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Letters are the same.
- From our recurrence, we know:
 - If our value is the same as the up-and-left cell, then we could have come from there.
 - If our value is one more than the up cell or the left cell, then we could have come from there.
- In this case, we came from up-and-left.

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Letter are the same.
- From our recurrence, we know:
 - If our value is the same as the up-and-left cell, then we could have come from there.
 - If our value is one more than the up cell or the left cell, then we could have come from there.
- In this case, we came from up-and-left.

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Continue in this way.

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Continue in this way.

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Continue in this way.

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Continue in this way.

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Continue in this way.
- When you reach the top left cell, you are done.
- So the sequence of operations is:

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Continue in this way.
- When you reach the top left cell, you are done.
- So the sequence of operations is:
- Replace(5, E)

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Continue in this way.
- When you reach the top left cell, you are done.
- So the sequence of operations is:
- Replace(5, E), delete[4]

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	2	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Continue in this way.
- When you reach the top left cell, you are done.
- So the sequence of operations is:
- Replace(5, E), delete[4], nothing

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Continue in this way.
- When you reach the top left cell, you are done.
- So the sequence of operations is:
- Replace(5, E), delete[4], nothing, nothing

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Continue in this way.
- When you reach the top left cell, you are done.
- So the sequence of operations is:
- Replace(5, E), delete[4], nothing, nothing, insert(2, H)

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Continue in this way.
- When you reach the top left cell, you are done.
- So the sequence of operations is:
- Replace(5, E), delete[4], nothing, nothing, insert(2, H), nothing

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking

- Continue in this way.
- When you reach the top left cell, you are done.
- So the sequence of operations is:
- Replace(5, E), delete[4], nothing, nothing, insert(2, H), nothing
- SINGS
- SINGE (replace position 5 with E)
- SINE (delete G in position 4)
- SHINE (insert H at position 2)

	Φ	S	H	I	N	E
Φ	0	1	2	3	4	5
S	1	0	1	2	3	4
I	2	1	1	1	2	3
N	3	2	2	2	1	2
G	4	3	3	3	2	2
S	5	4	4	4	3	3

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Backtracking Vs Decision array?

- Space usage:
 - Backtracking requires less space as it does not require creating an additional array.
 - However, space **complexity** is the same.
- Efficiency:
 - Backtracking requires to determine what decision was made which costs additional computation.
 - However, time **complexity** is the same.
- Note the simple space saving trick discussed can only be used when the solution does not need to be constructed.

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Review yourselves: Subset Sum Problem

The **Subset Sum Problem** is a classic problem in computer science and combinatorics. Given a set of integers and a target sum, determine whether there is a subset of the given set whose sum is equal to the target.

It means, given a set of numbers $N = \{a_1, a_2, \dots, a_n\}$ and a value V , is there a subset of N such that the sum of elements is exactly V ?

Note: Unlike Coins Change problem, a number can only be used once to make the value V .

Example: Suppose $N = \{1, 5, 6, 9\}$ and the value V is 13. The answer is FALSE because no subset of N adds to 13. For $V=15$, the answer is TRUE because $9 + 6 = 15$.

It is an NP-complete problem, meaning no known polynomial-time algorithm exists for all inputs. It is a special case of the Knapsack Problem. It appears in many real-world problems such as resource allocation, cryptography, partitioning tasks, etc.

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Concluding Remarks

Dynamic Programming Strategy

- Assume you already know the optimal solutions for all subproblems and have memoized these solutions.
- Observe how you can solve the original problem using this memoization.
- Iteratively solve the sub-problems and memoize.

Things to do (this list is not exhaustive)

- Practice, practice, practice:
 - <http://www.geeksforgeeks.org/tag/dynamic-programming/>
 - <https://www.topcoder.com/community/data-science/data-science-tutorials/dynamic-programming-from-novice-to-advanced/>

Coming Up Next

- Dynamic Programming Graph Algorithms.

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Reading

- Course Notes: Chapter 7
- You can also check algorithms' textbooks for contents related to this lecture, e.g.:
 - CLRS: Chapter 15
 - KT: Chapter 6
 - Rou: Chapter 16

FIT2004: Lecture 8 - Network Flow