Faculty of Information Technology, Monash University

COMMONWEALTH OF AUSTRALIA

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FIT2004: Algorithms and Data Structures

Week 3: Quick Sort and Quick Select

Overview

Divide and conquer (W 1-3)

Greedy algorithms (W 4-5) Dynamic programming (W 6-7)

Network flow (W 8-9) Data structures (W 10-11)

- What we covered so far?
 - O Divide and conquer algorithm design paradigm
 - Complexity analysis for recursive algorithms (recurrence relations)
 - Correctness of algorithms
 - Non-comparison based algorithms: counting and radix sort
- Today's lecture
 - Quick sort and Quick select

FIT2004: Lecture 3 - Quick Sort and Select

Quicksort and its Analysis

- 1. Algorithm and partitioning
- 2. Complexity analysis
- 3. Improving worst-case complexity
 - A. Quick select
 - B. Quicksort in O(N log N) worst-case

Quicksort Idea

- If list is length 1 or less, do nothing
- 2. Choose a pivot p
- 3. Put items <= p on the left, items >p on the right
- 4. Quicksort the left and right parts of the list

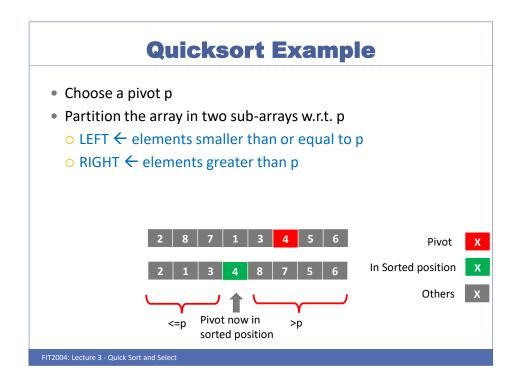
FIT2004: Lecture 3 - Quick Sort and Select

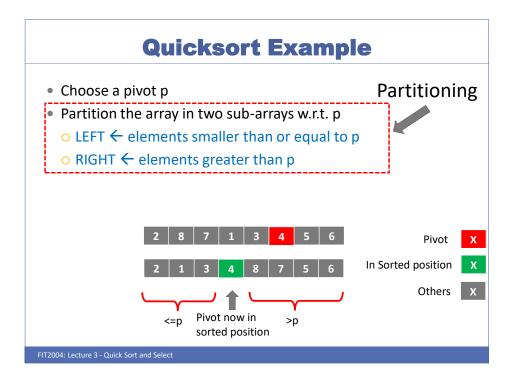
Quicksort Example

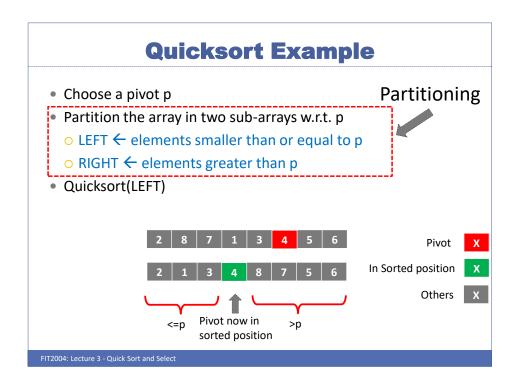
• Choose a pivot p

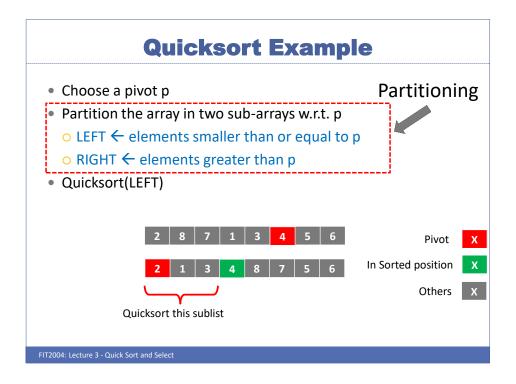
2 8 7 1 3 4 5 6

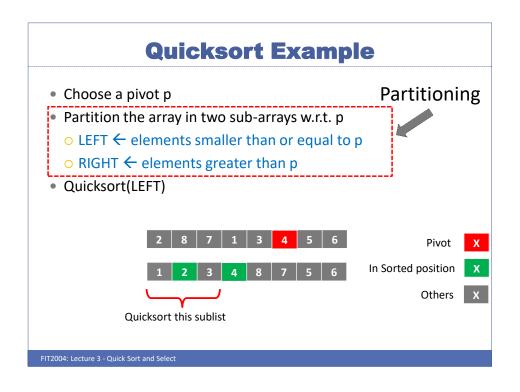
Quicksort Example Choose a pivot p Partition the array in two sub-arrays w.r.t. p LEFT ← elements smaller than or equal to p RIGHT ← elements greater than p Pivot X In Sorted position X Others X FIT2004: Lecture 3 - Quick Sort and Select

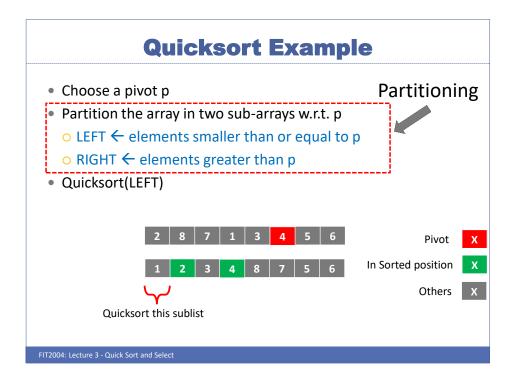


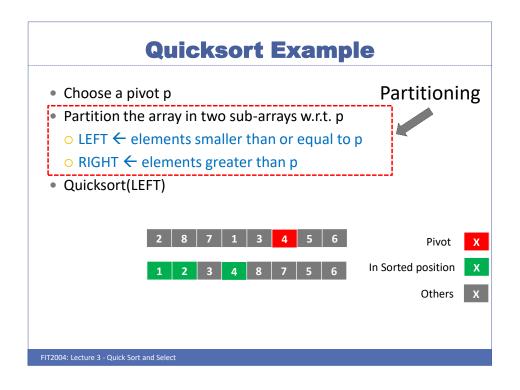


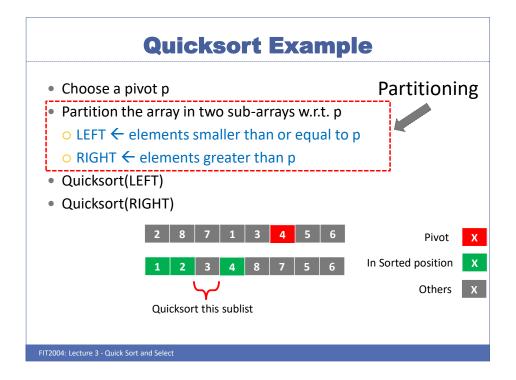


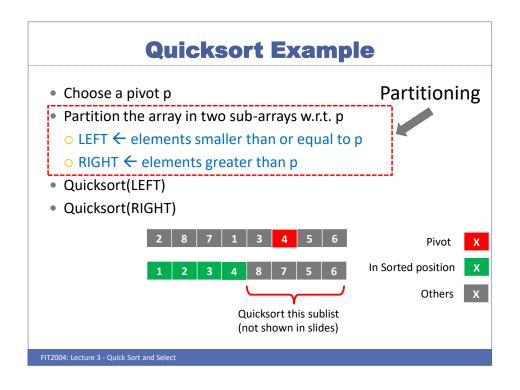












Quicksort Algorithm

Initial call is Quicksort(A, 1, len(A))

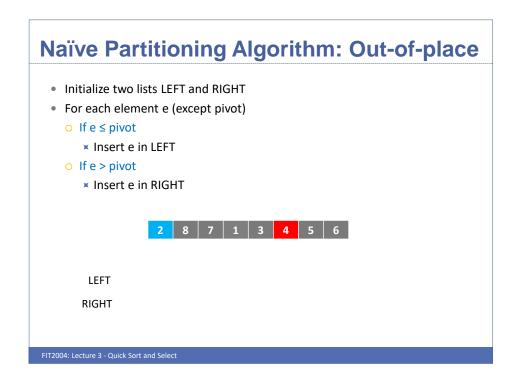
FIT2004: Lecture 3 - Quick Sort and Select

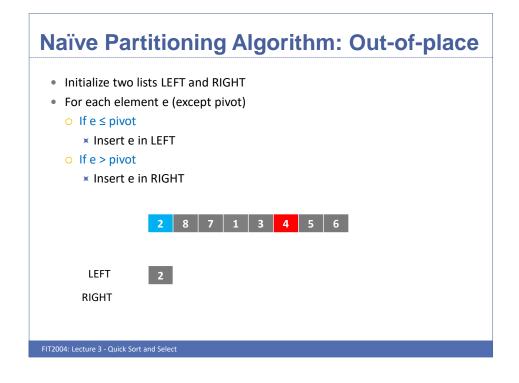
Naïve Partitioning Algorithm: Out-of-place

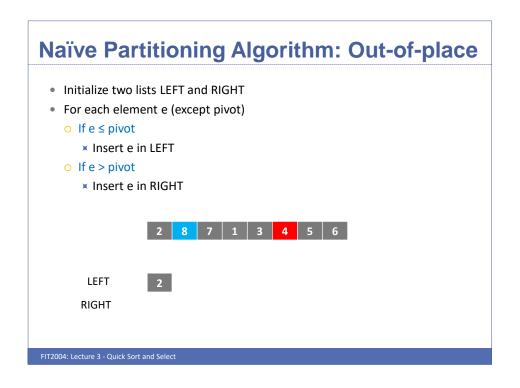
- Initialize two lists LEFT and RIGHT
- For each element e (except pivot)
 - o If e ≤ pivot
 - ▼ Insert e in LEFT
 - o If e > pivot
 - x Insert e in RIGHT



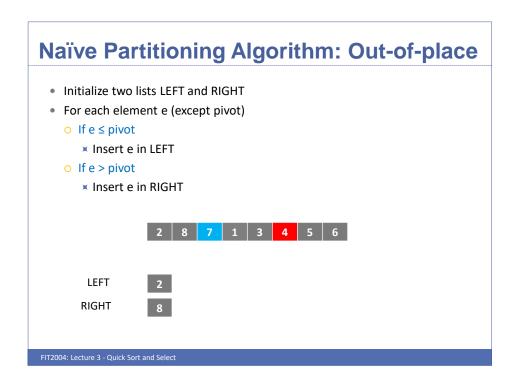
Naïve Partitioning Algorithm: Out-of-place • Initialize two lists LEFT and RIGHT • For each element e (except pivot) • If e ≤ pivot × Insert e in LEFT • If e > pivot × Insert e in RIGHT LEFT RIGHT FIT2004: Lecture 3 - Quick Sort and Select



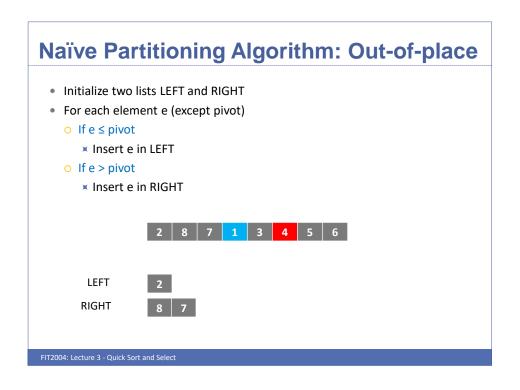


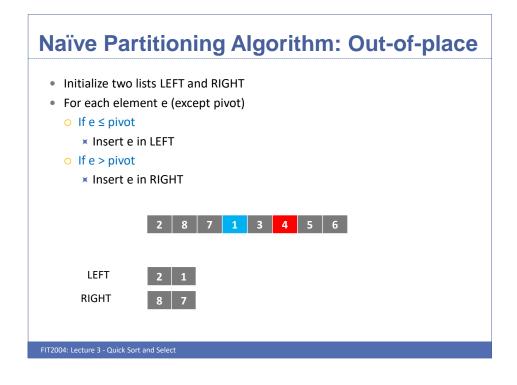


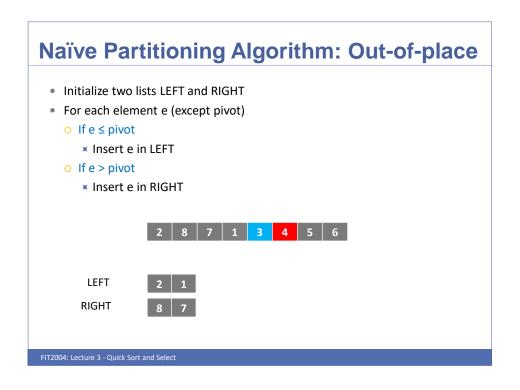
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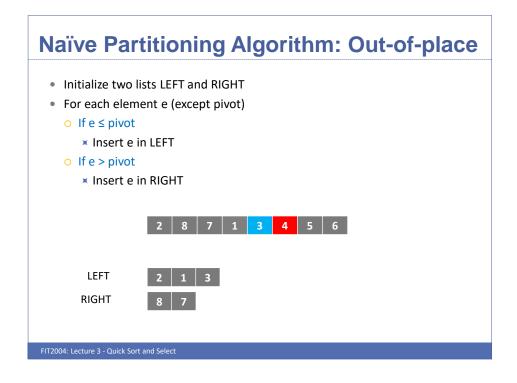


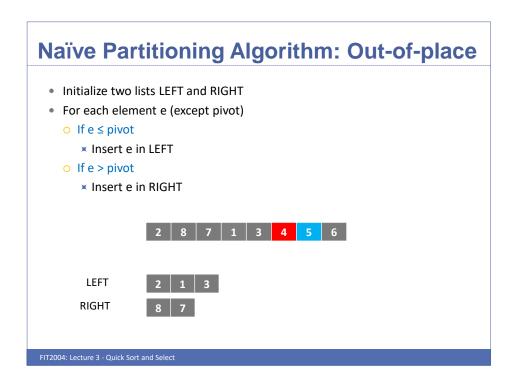
Naïve Partitioning Algorithm: Out-of-place • Initialize two lists LEFT and RIGHT • For each element e (except pivot) • If e ≤ pivot * Insert e in LEFT • If e > pivot * Insert e in RIGHT LEFT 2 RIGHT 8 7

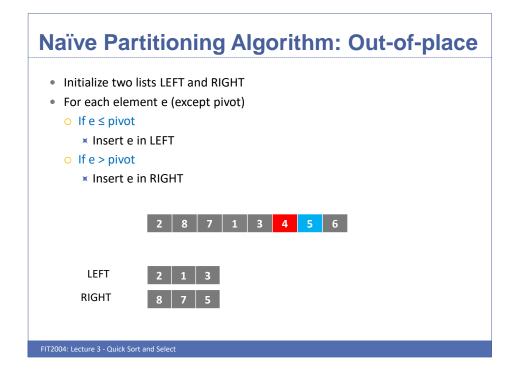


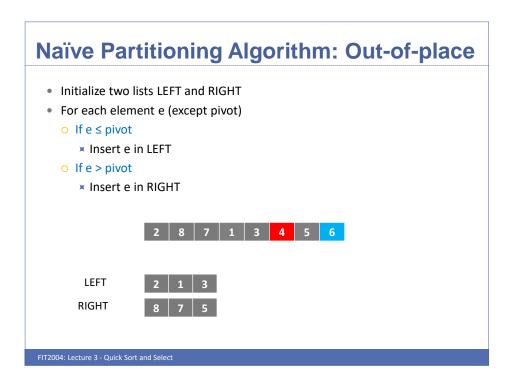




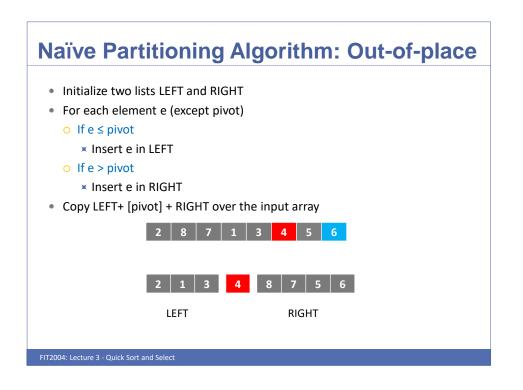








Naïve Partitioning Algorithm: Out-of-place • Initialize two lists LEFT and RIGHT • For each element e (except pivot) • If e ≤ pivot * Insert e in LEFT • If e > pivot * Insert e in RIGHT LEFT 2 1 RIGHT 2 8 7 5 6



Naïve Partitioning Algorithm: Out-of-place

- Initialize two lists LEFT and RIGHT
- For each element e (except pivot)
 - o If e ≤ pivot
 - x Insert e in LEFT
 - If e > pivot
 - x Insert e in RIGHT
- Copy LEFT+ [pivot] + RIGHT over the input array



- Array is now correctly partitioned
- · Algorithm is clearly not in place
- · Is this algorithm stable?

FIT2004: Lecture 3 - Quick Sort and Select

Naïve Partitioning Algorithm: Out-of-place

- Initialize two lists LEFT and RIGHT
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- Copy LEFT+ [pivot] + RIGHT over the input array



- · Array is now correctly partitioned
- · Algorithm is clearly not in place
- Is this algorithm stable? No. Elements which are equal to the pivot end up on the left regardless
- Can we make it stable? Yes, how? See lecture notes Algorithm 15, page 27

Naïve Partitioning Algorithm: Out-of-place

Activity 1:

Find out how to make the algorithm stable.

RECAP:

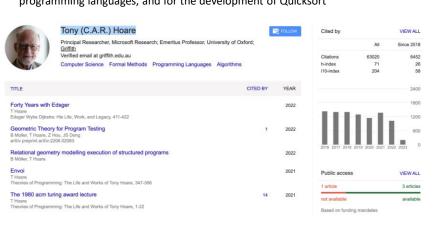
In-place algorithm: An algorithm that has O(1) auxiliary space complexity. i.e., it only requires constant space in addition to the space taken by the input

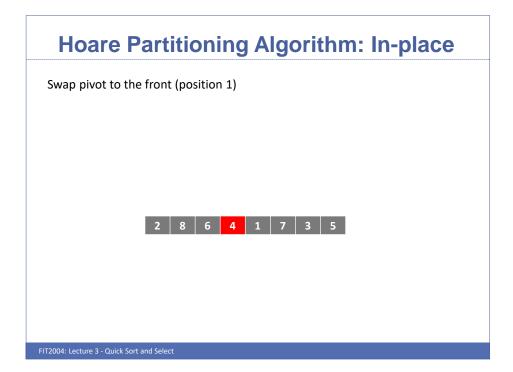
Stable algorithm: An algorithm is called stable if it maintains the relative ordering of elements that have equal keys. This applies mostly in sorting algorithms.

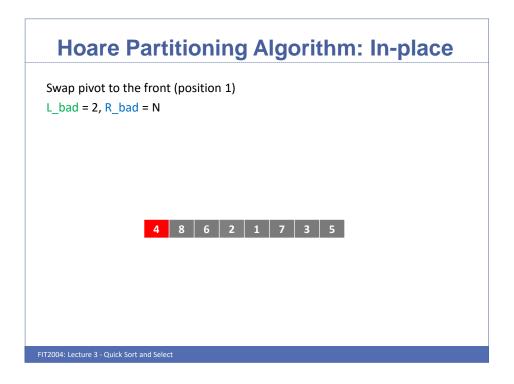
FIT2004: Lecture 3 - Quick Sort and Select



- Tony Hoare is a British computer scientist, and winner of the 1980 Turing Award.
- He is best known for his fundamental contributions to the definition and design of programming languages, and for the development of Quicksort







Swap pivot to the front (position 1)

 $L_bad = 2$, $R_bad = N$

Repeat until L_bad and R_bad cross

move L_bad right until we find a "bad" element, i.e. > pivot move R_bad left until we find a "bad" element, i.e. \le pivot swap these elements



FIT2004: Lecture 3 - Quick Sort and Select

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FIT2004: Lecture 3 - Quick Sort and Select

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swap these elements



FIT2004: Lecture 3 - Quick Sort and Select

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FIT2004: Lecture 3 - Quick Sort and Select

Hoare Partitioning Algorithm: In-place

- Pros:
 - Each element only swapped once (except pivot)
 - Simple idea
 - Simple invariant (what is it?)

Swap pivot to the front (position 1)

 $L_bad = 2$, $R_bad = N$

Repeat until L_bad and R_bad cross move L_bad right until > pivot move R_bad left until ≤ pivot swap these elements swap pivot to R_bad

At the start of each iteration of the loop:

All elements on left side of L_bad are less than or equal to the pivot. All elements on right side of R_bad are greater than or equal to the pivot. The elements in between L_bad and R_bad are yet to be partitioned.

- Pros:
 - Each element only swapped once (except pivot)
 - Simple idea
 - Simple invariant (what is it?)
- Cons:
 - Very tricky to implement without bugs
 - Termination conditions (It only ensures proper partitioning but not necessarily the correct final position of the pivot.)
 - ➤ Edge cases (When the array contains only 2 elements or all elements are identical, special handling is needed to ensure correct behavior.)
 - ➤ Off by one errors (as it uses two moving pointers that traverse towards each other, the algorithm may fail if they are not updated correctly)
 - Not stable
 - What would be the performance if all elements in the array have the same value?

FIT2004: Lecture 3 - Quick Sort and Selec

Hoare Partitioning Algorithm: In-place

- Pros:
 - Each element only swapped once (except pivot)
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- Cons
 - Very tricky to implement without bugs
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 - ▼ Edge cases (When the array contains only 2 elements or all elements are identical, special handling is needed to ensure correct behavior.)
 - Off by one errors (as it uses two moving pointers that traverse towards each other, the algorithm may fail if they are not updated correctly)
 - Not stable
 - What would be the performance if all elements in the array have the same value? It still works correctly as the loop terminates when i ≥ j.

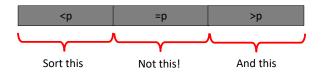
- Pros:
 - Each element only swapped once (except pivot)
 - Simple idea
 - Simple invariant (what is it?)
- Cons:
 - Very tricky to implement without bugs
 - ▼ Termination conditions
 - x Edge cases
 - ▼ Off by one errors
 - Not stable
 - How about duplicates? Or what would be the performance if all elements in the array have the same value?
 - ▼ It performs very badly when there are many elements that are equal to the pivot as it keeps on checking all possible pairs in each iteration.

FIT2004: Lecture 3 - Quick Sort and Selec

Partition and Duplicates

If the list has many duplicates, then sometimes...

- One will be chosen as the pivot
- All the others should go next to the pivot (and therefore not need to be moved any more)
- But the algorithms we have seen would require them to be sorted in the recursive calls!
- We want a partition method that does this:



Dutch National Flag Partition Problem

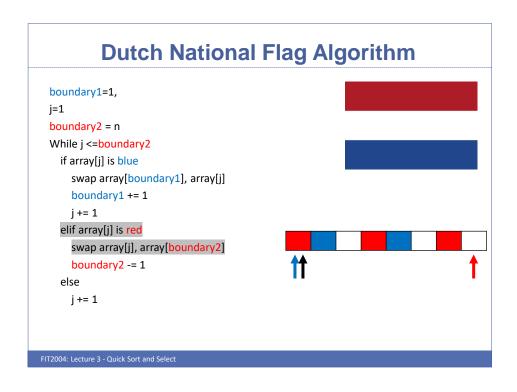
- Given a list of elements and a function that maps them to red, white and blue
- · Arrange the list to look like the Dutch national flag

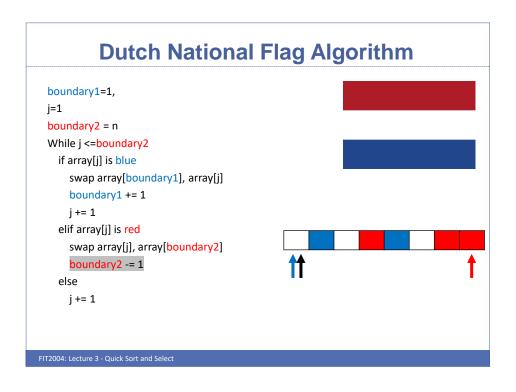


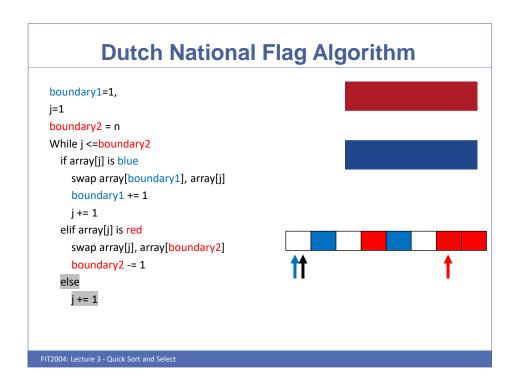
- This is equivalent to our problem
- Our function maps elements less than the pivot to blue, equal elements to white, and greater elements to red

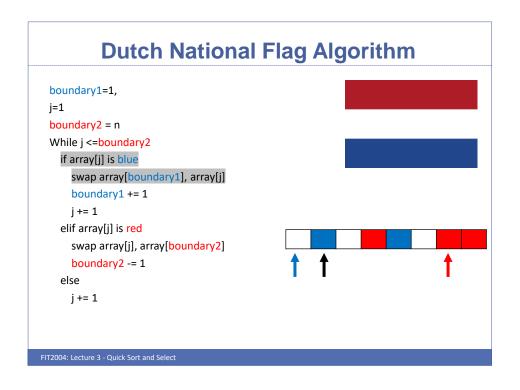
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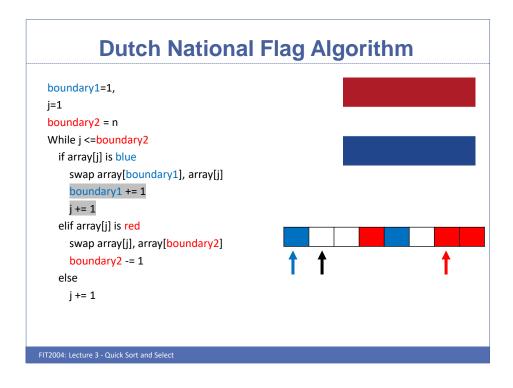
boundary1=1, j=1 boundary2 = n

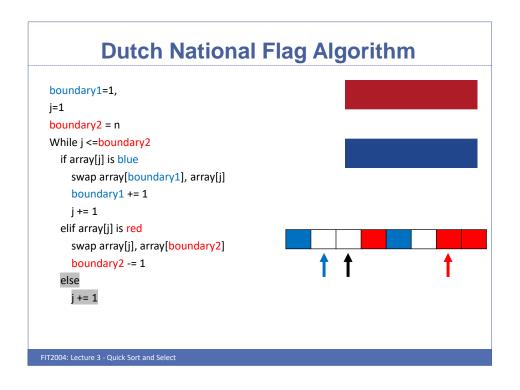


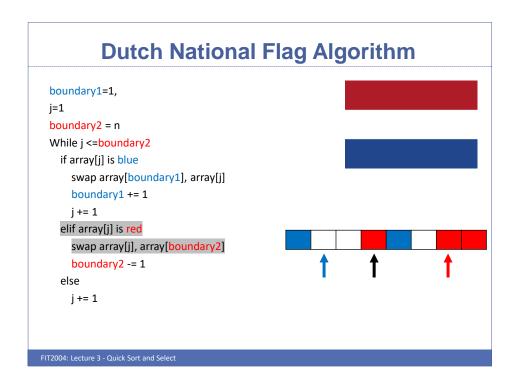


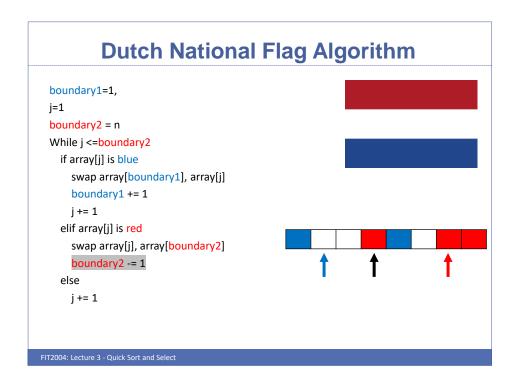


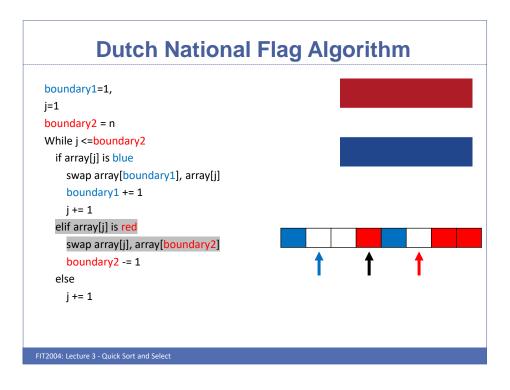


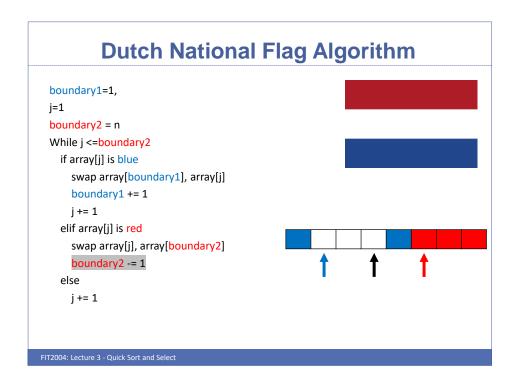


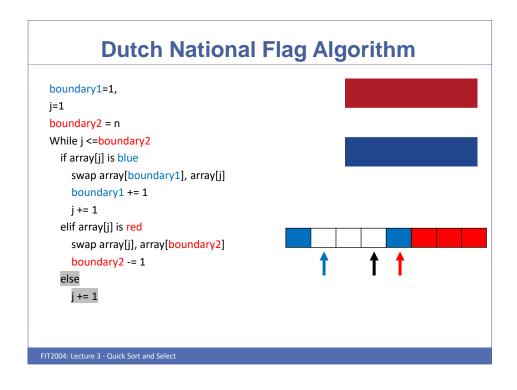


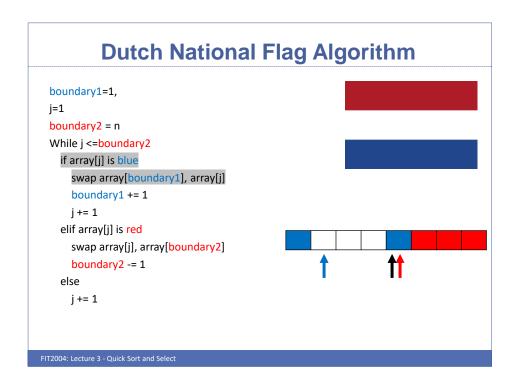


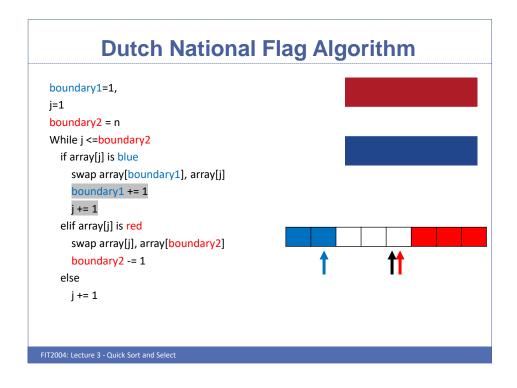


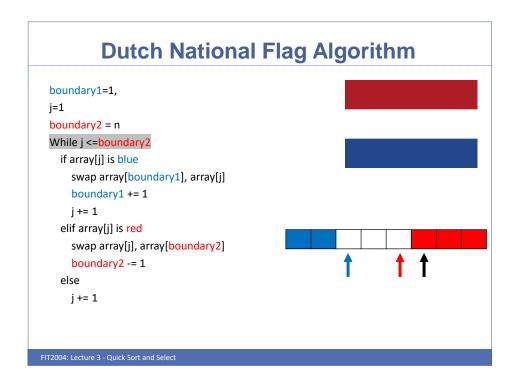


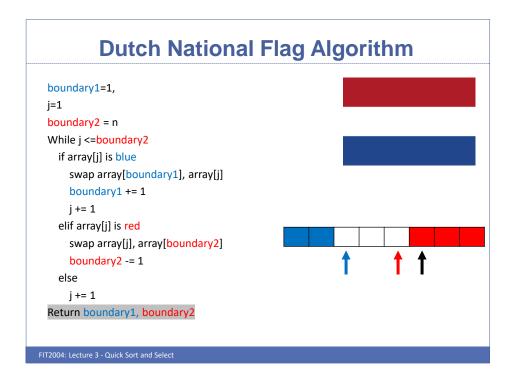


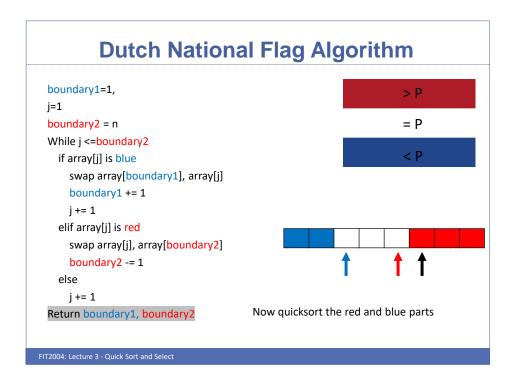












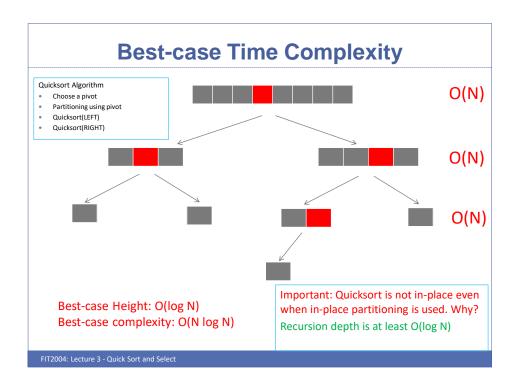
Partitioning Summary

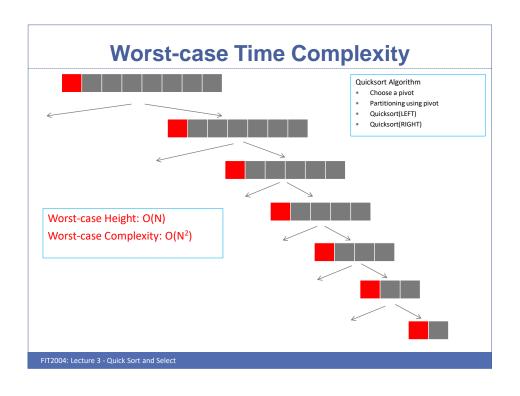
- Lots to consider
- State of the art is more complex
- Objectives
 - Minimise swaps
 - Minimise work in recursive calls
 - Be in place
- Both Hoare and DNF partition schemes are not stable
 - How to make these stable? We have seen some methods in the tutorial!

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Quicksort and its Analysis

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Average-case Time Complexity



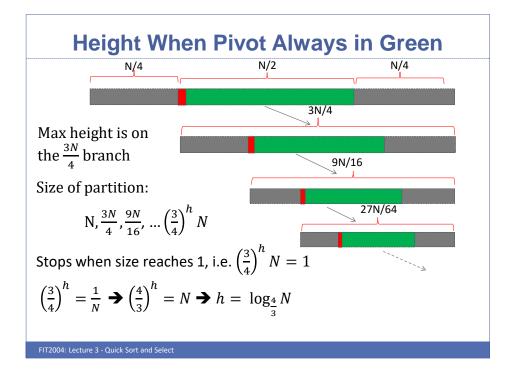
- After partitioning, pivot has 50% probability to be in the green sub-array and has 50% probability to be in one of the two grey sub-arrays.
 - i.e., on average, pivot will be in green half of the time and in grey half of the time

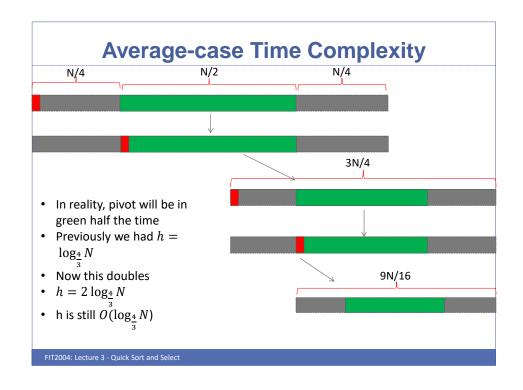
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Average-case Time Complexity



- If pivot is in grey sub-array
 - The worst-case (most unbalanced) partition sizes will be 1 and N-1
- If pivot is in green sub-array
 - The worst-case partition sizes will be N/4 and 3N/4
- For the purpose of the following argument, we assume one of these worst case scenarios always happen
- The complexity we obtain will therefore be at least as bad as the true complexity
- Let h be the height when pivot is always in green.





Average-case Time Complexity

- Therefore, height in average case is O(log N)
- Like before, the cost at each level is O(N)
- The average case complexity is thus O(N log N)

Does O(log_a N) = O(log_b N) if a and b are constants? $\log_a N = \frac{\log_b N}{\log_b a}$

Change of base rule:

So the base of the log doesn't matter for complexity (though it does in practice)

FIT2004: Lecture 3 - Quick Sort and Select

Best-case Time Complexity using Recurrence

Recurrence relation:

$$T(1) = b$$

$$T(N) = c*N + T(N/2) + T(N/2) = 2*T(N/2) + c*N$$

Quicksort Algorithm

- Choose a pivot
- Partitioning using pivot
- Quicksort(LEFT)
- Quicksort(RIGHT)

Solution (exercise in last week):

O(N log N)

Worst-case Time Complexity using Recurrence

Recurrence relation:

$$T(1) = b$$

$$T(N) = T(N-1) + c*N$$

Solution:

 $O(N^2)$

FIT2004: Lecture 3 - Quick Sort and Select

Quicksort Algorithm Choose a pivot

- Cnoose a pivot
 Partitioning using pivot
- Quicksort(LEFT)
- Quicksort(RIGHT)

Quicksort and its Analysis

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K-th Order Statistics

- Problem: Given an <u>unsorted</u> array, find k-th smallest element in the array
 - If k=1 (i.e., find the smallest), we can easily do this in O(N) using the linear algorithm we discussed last week.
- Median can be computed by setting k appropriately (e.g., k = len(array)/2
- For general k, how can we solve this efficiently?
 - Sort the elements and return k-th element takes O(N log N)
 - o Can we do better?
 - ▼ Yes, Quick Select

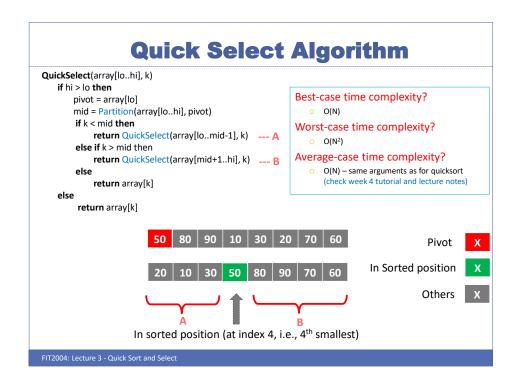
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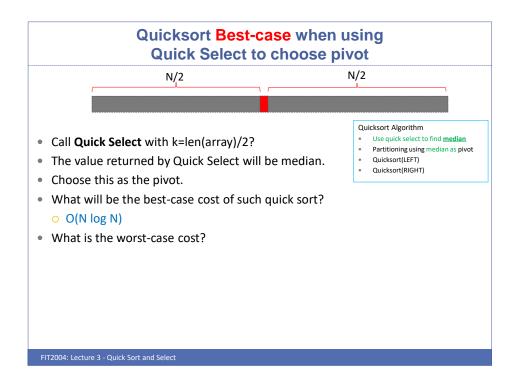
Quick Select

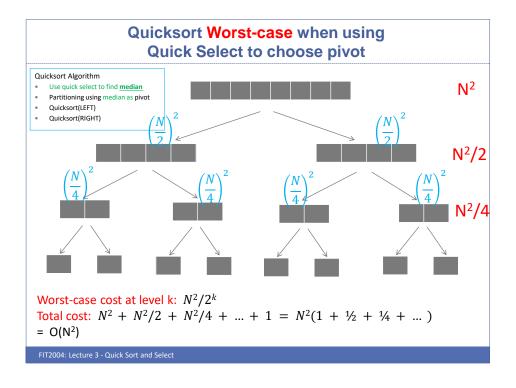
- Quick select is **not** a sorting algorithm
- Quick select(L, k) returns the kth smallest element in L (or the index of that element)



- In the above list
 - Ouickselect(L, 1) = 10
 - Quickselect(L, 2) = 20
 - Quickselect(L, 5) = 60
- Quick select does not find a particular number







Where are we?

- Trying to make quicksort O(N log N) in the worst case
- Need to find median in O(N)
- We have an algorithm (quick select) which finds median in O(N) in the best case (and average case)...
- But it is O(N²) in the worst case (which would make quicksort slower)
- We want to make quick select always take O(N)
- What do we need? A median pivot for quick select!

Selecting pivot using median of medians results in linear time in the worst case

Quicksort with O(N log N) in Worst-case

. First, we take a detour and see algorithms to answer k-th

Don't choose pivot randomly!

× O(N log N)

order statistics

O If we can find median in O(N), the worst-case would be?

How do we choose median in O(N)?

Where are we?

- What do we need? A median pivot for quick select!
- But that is what quick select is meant to do...
- Sounds impossible in order for quick select to run in O(N) we need to find a good (i.e. median) pivot in O(N), but that was exactly the problem quick select was meant to solve!
- The trick relax definition of a "good pivot"
- A good pivot is anything which cuts the list into fixed fractions
 E.g. it would be enough to always cut it 70:30
- Even 99:1 would be ok for O(N log N), but slower in practice, so the closer to 50:50 the better (See Tutorial Week 4)

FIT2004: Lecture 3 - Quick Sort and Select

Quicksort and its Analysis

- 1. Algorithm and partitioning
- 2. Complexity analysis
- 3. Improving worst-case complexity
 - A. Quick select
 - B. Quicksort in O(N log N) worst-case

Algorithm's Heroes

- Median of Medians: Very clever algorithm designed by Manuel Blum, Robert Floyd, Vaughan Pratt, Ronald Rivest and Robert Tarjan (1971)
 - Manuel Blum Turing Award 1995: complexity theory, cryptography, program checking
 - Robert Floyd Turing Award 1978: theory of parsing, the semantics of programming languages, automatic program verification, automatic program synthesis, analysis of algorithms
 - Ronald Rivest Turing Award 2002: cryptography, the R in RSA cryptosystem that used for online banking, e-commerce etc
 - Robert Tarjan Turing Award 1986: for fundamental achievements in the design and analysis of algorithms and data structures
 - Vaughan Pratt is the only author of the algorithm without a Turing award (so far). He was one of the key people in Sun Microsystems



Manuel Blum

Blum explains the tuition behind his contributions (video)

FIT2004: Lecture 3 - Quick Sort and Select

Why Median of Medians?

- Now we know that the performance of Quicksort depends on a good pivot.
- If we choose a bad pivot (e.g. smallest or largest element), QuickSort ends up at O(n²) in the worst case.
- If we pick a good pivot (close to the median), QuickSort will balance partitions and runs in O(n log n) time.
- The Median of Medians method guarantees a pivot that is at least reasonably close to the median, preventing QuickSort ending up at O(n²) in the worst case.

Sort groups of size five

Bigger

15	20	16	18	20	20	19	19	15	17	19	20	17
12	17	15	14	19	20	18	10	11	10	16	18	12
7	15	10	10	13	16	15	7	11	4	16	16	10
7	8	10	8	7	7	12	1	11	2	13	13	5
1	2	5	4	2	6	8	1	8	1	12	6	2

Smaller

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Median of Medians

Sort groups of size five Find the medians

Bigger

15	20	16	18	20	20	19	19	15	17	19	20	17
12	17	15	14	19	20	18	10	11	10	16	18	12
7	15	10	10	13	16	15	7	11	4	16	16	10
7	8	10	8	7	7	12	1	11	2	13	13	5
1	2	5	4	2	6	8	1	8	1	12	6	2

Smaller

- Sort groups of size five
- Find the medians
- Find the median of those!
- (Note that the columns do not actually get sorted, just shown here in sorted order for clarity)

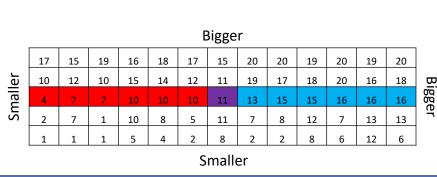
Bigger Bigger Smaller

Smaller

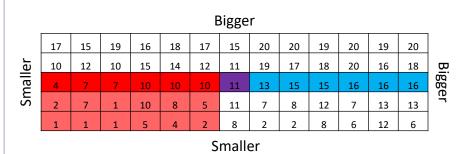
FIT2004: Lecture 3 - Quick Sort and Selec

Median of Medians

• Median of medians is bigger than half the medians



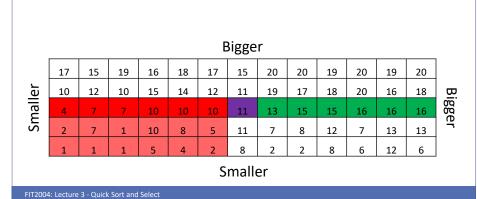
- Median of medians is bigger than half the medians
- So it is bigger than all the red values as well



FIT2004: Lecture 3 - Quick Sort and Select

Median of Medians

Median of medians is smaller than half the medians



- Median of medians is smaller than half the medians
- So it is smaller than the green values as well



Median of Medians

- Median of medians is greater than 30% and also less than 30%, so its in the middle 40%
- The worst split we can get using the MoM is 70:30!
- However, we wanted to find the exact median of n/5 items... how?



Median of Medians Algorithm

```
Median_of_medians(list[1..n])
    divide into sublists of size 5
    medians = [median of each sublist]
    use quickselect to find the median of medians
```

- We first divide the n elements into groups of 5.
- There are n/5 groups (approximately).
- Each group is sorted, and the median of each group is chosen.

FIT2004: Lecture 3 - Quick Sort and Select

Median of Medians Algorithm

```
Median_of_medians(list[1..n])
   if n <= 5
        use insertion sort to find the median, and
return it
   divide into sublists of size 5
   medians = [median of each sublist]
   use quickselect to find the median of medians</pre>
```

- The medians of the groups form a smaller array of size n/5.
- We recursively find the median of these n/5 medians, which becomes the pivot

Median of Medians Algorithm

```
Median_of_medians(list[1..n])
   if n <= 5
        use insertion sort to find the median, and
return it
   divide into sublists of size 5
   medians = [median of each sublist]
   return quickselect(medians, (len(medians)+1)/2)</pre>
```

- After choosing the median of medians as the pivot, at least 30% of elements are less than the pivot, at least 30% of elements are greater than the pivot and the pivot itself is in the middle 40% of elements.
- Thus, the partition is at worst 70:30 ensuring that no recursive call gets more than 7n/10 elements, preventing worst-case O(n²)

FIT2004: Lecture 3 - Quick Sort and Select

Quicksort with O(N log N) in Worst-case QuickSelect(list, lo, hi, k) **Quick Select Algorithm** if lo > hi > to then pivot = array[lo] mid = Partition(array[lo..hi], pivot) If k < mid then return array[k] f k < mid then return QuickSelect(array[lo..mid-1], k) lse if k > mid then pivot = Median_of_medians(list, lo, hi, k) mid = Partition(array, lo, hi, pivot) if mid > kThis call uses QuickSelect! **return** *QuickSelect*(array, lo, mid-1, k) But with a weaker pivot else if k > mid Recall: the worst split we can return QuickSelect(array, mid+1, hi, k) get using the MoM is 70:30! else return array[k]

Quicksort with O(N log N) in Worst-case

QuickSelect(list, lo, hi, k)

if lo > hi

return array[k]

pivot = Median_of_medians(list, lo, hi, k)

mid = *Partition*(array, lo, hi, pivot) (70:30 split in worst)

if mid > k

return QuickSelect(array, lo, mid-1, k) (7n/10 in worst)

else if k > mid

return QuickSelect(array, mid+1, hi, k) (7n/10 in worst)

else

return array[k]

FIT2004: Lecture 3 - Quick Sort and Select

Quicksort with O(N log N) in Worst-case

Quickselect time complexity recurrence

$$T(n) = T\left(\frac{n}{5}\right) + T\left(\frac{7n}{10}\right) + an$$

- $T\left(\frac{n}{5}\right)$ for recursing on the list of the medians of groups of 5 (inside the call to median of medians)
- $T\left(\frac{7n}{10}\right)$ for the main recursive call (inside the quick select), which is guaranteed to have split the list at least 30:70 (because the pivot was selected by MoM)
- an for the linear time partition algorithm + time to find medians of groups of five

Solving this gives linear time!

So armed with a linear time quickselect, we can now quicksort in O(N log N) worst case...

Anticlimax

- Although using "median of medians" reduces worst-case complexity to O(N log N), in practice choosing random pivots works better.
 - However, theoretical improvement in worst-case is quite satisfying.
- Also, quick **select** is an extremely useful algorithm in general

FIT2004: Lecture 3 - Quick Sort and Select

Reading

- Course Notes: Section 3.2, Chapter 4
- You can also check algorithms textbooks for contents related to this lecture, e.g.:
 - CLRS: Chapters 7 and 9
 - o KT: Section 13.5
 - Rou: Chapters 5 and 6

FIT2004: Lecture 8 - Network Flow

Concluding Remarks

Summary

- Quicksort and its analysis. Quicksort can be made O(N log N) in worst-case which is mostly of theoretical interest but does not usually improve performance in practice.
- In practice, it is better to do a simple pivot selection which takes less time (like random selection)

Coming Up Next

• Introduction to Graphs

Things to do before next lecture

 Make sure you understand this lecture completely especially the average-case complexity analysis of quicksort