

## Sorting means . . .

- The values stored in an array have keys of a type for which the relational operators are defined. (We also assume unique keys.)
- Sorting rearranges the elements into either ascending or descending order within the array. (We'll use ascending order.)

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## Straight Selection Sort

values [ 0 ]	36
[ 1 ]	24
[ 2 ]	10
[ 3 ]	6
[ 4 ]	12

Divides the array into two parts: already sorted, and not yet sorted.

On each pass, finds the smallest of the unsorted elements, and swaps it into its correct place, thereby increasing the number of sorted elements by one.

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## Selection Sort: Pass One

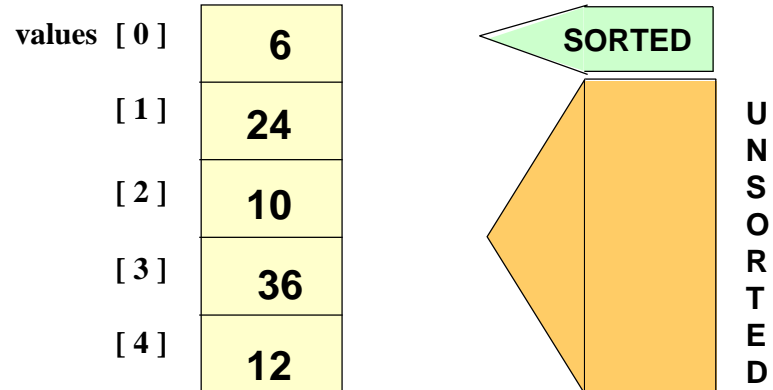
values [ 0 ]	36
[ 1 ]	24
[ 2 ]	10
[ 3 ]	6
[ 4 ]	12

UNSORTED

4



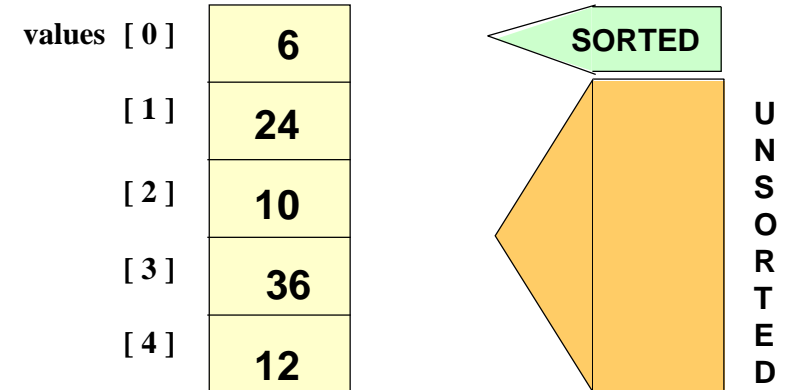
## Selection Sort: End Pass One



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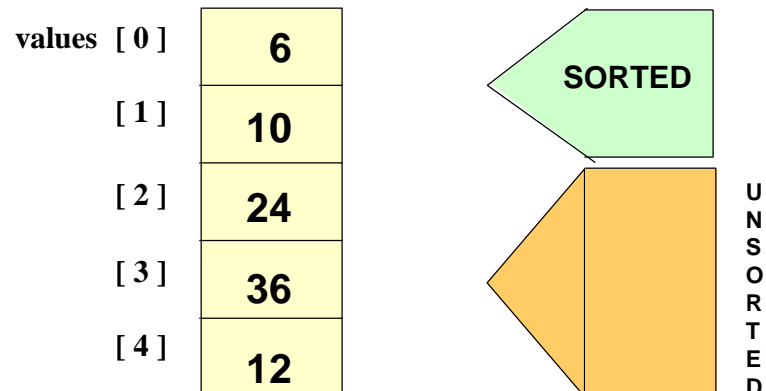
## Selection Sort: Pass Two



6



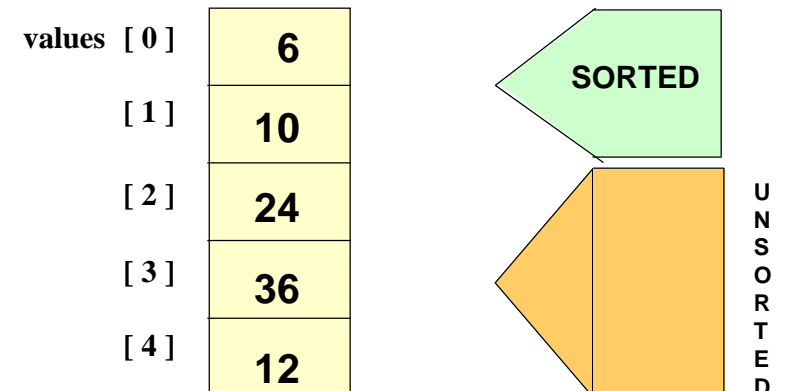
## Selection Sort: End Pass Two



7



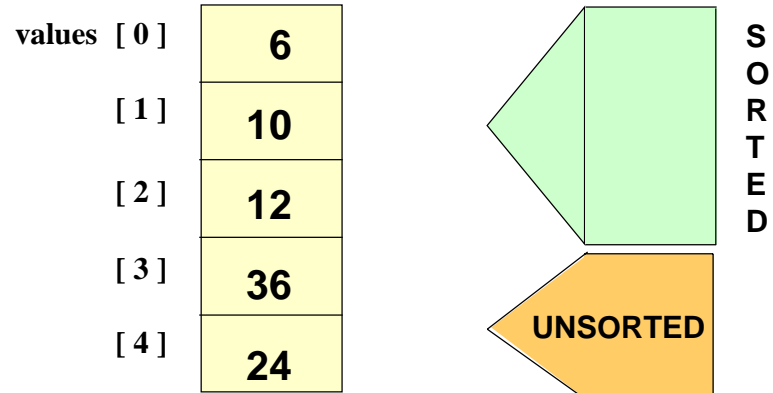
## Selection Sort: Pass Three



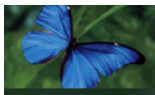
8



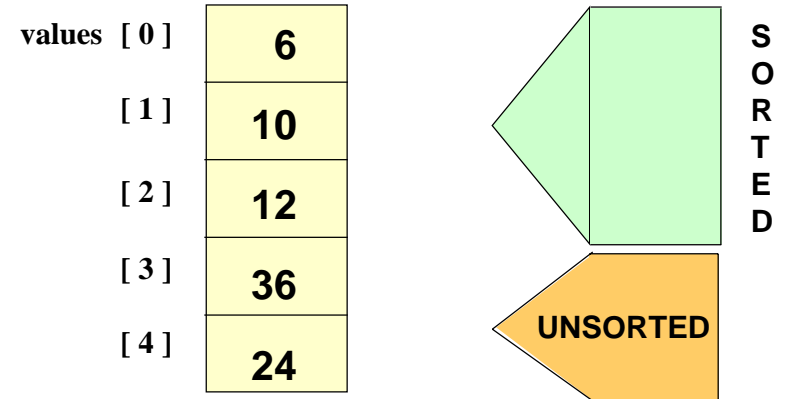
## Selection Sort: End Pass Three



9



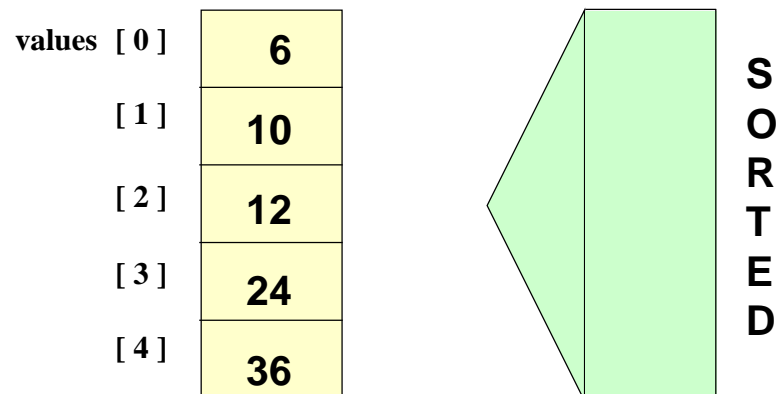
## Selection Sort: Pass Four



10



## Selection Sort: End Pass Four



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## Selection Sort: How many comparisons?

values [0]	6	4 compares for values[0]
[1]	10	3 compares for values[1]
[2]	12	2 compares for values[2]
[3]	24	1 compare for values[3]
[4]	36	
		<hr/>
		$= 4 + 3 + 2 + 1$

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## For selection sort in general

- The number of comparisons when the array contains N elements is

$$\text{Sum} = (N-1) + (N-2) + \dots + 2 + 1$$

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## Notice that ...

$$\begin{array}{r} \text{Sum} = (N-1) + (N-2) + \dots + 2 + 1 \\ + \text{Sum} = 1 + 2 + \dots + (N-2) + (N-1) \\ \hline \end{array}$$

$$2 * \text{Sum} = \underbrace{N + N + \dots + N + N}_{\text{N terms}}$$

$$2 * \text{Sum} = N * (N-1)$$

$$\text{Sum} = \frac{N * (N-1)}{2}$$

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## For selection sort in general

- The number of comparisons when the array contains N elements is


$$\text{Sum} = (N-1) + (N-2) + \dots + 2 + 1$$

$$\text{Sum} = N * (N-1) / 2$$

$$\text{Sum} = .5 N^2 - .5 N$$

$$\text{Sum} = O(N^2)$$

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```
template <class ItemType >
int MinIndex(ItemType values [ ], int start, int end)
// Post: Function value = index of the smallest value
// in values [start] .. values [end].
{
    int indexOfMin = start ;

    for(int index = start + 1 ; index <= end ; index++)
        if (values[ index] < values [indexOfMin])
            indexOfMin = index ;

    return  indexOfMin;
}
```

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```

template <class ItemType >
void SelectionSort (ItemType values[ ],
    int numValues )
// Post: Sorts array values[0 .. numValues-1 ]
// into ascending order by key
{
    int endIndex = numValues - 1 ;
    for (int current = 0 ; current < endIndex;
        current++)
        Swap (values[current],
            values[MinIndex(values,current, endIndex)]);
}

```

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## Bubble Sort

values [ 0 ]

36

[ 1 ]

24

[ 2 ]

10

[ 3 ]

6

[ 4 ]

12

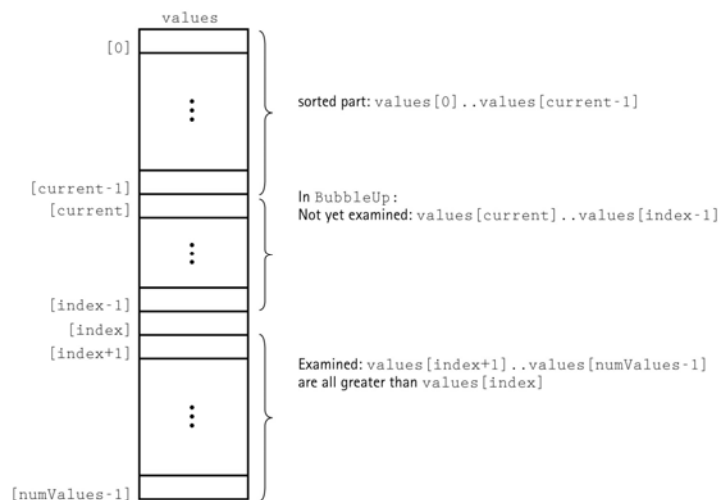
Compares neighboring pairs of array elements, starting with the last array element, and swaps neighbors whenever they are not in correct order.

On each pass, this causes the smallest element to “bubble up” to its correct place in the array.

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## Snapshot of BubbleSort



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## Code for BubbleSort

```

template<class ItemType>
void BubbleSort(ItemType values[],
    int numValues)
{
    int current = 0;
    while (current < numValues - 1)
    {
        BubbleUp(values, current, numValues-1);
        current++;
    }
}

```

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```
template<class ItemType>
void BubbleUp(ItemType values[],
    int startIndex, int endIndex)
// Post: Adjacent pairs that are out of
// order have been switched between
// values[startIndex]..values[endIndex]
// beginning at values[endIndex].

{
    for (int index = endIndex;
        index > startIndex; index--)
        if (values[index] < values[index-1])
            Swap(values[index], values[index-1]);
}
```

This algorithm is *always*  $O(N^2)$ .

There can be a large number of intermediate swaps.

**Can this algorithm be improved?**



## Insertion Sort

values [ 0 ]

36

[ 1 ]

24

[ 2 ]

10

[ 3 ]

6

[ 4 ]

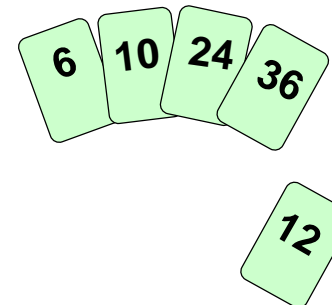
12

One by one, each as yet unsorted array element is inserted into its proper place with respect to the already sorted elements.

**On each pass, this causes the number of already sorted elements to increase by one.**



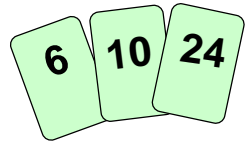
## Insertion Sort



Works like someone who “inserts” one more card at a time into a hand of cards that are already sorted.

**To insert 12, we need to make room for it by moving first 36 and then 24.**

## Insertion Sort

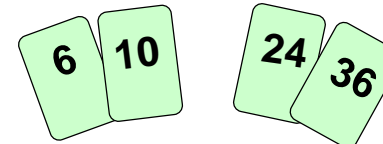


Works like someone who “inserts” one more card at a time into a hand of cards that are already sorted.

To insert 12, we need to make room for it by moving first 36 and then 24.

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## Insertion Sort

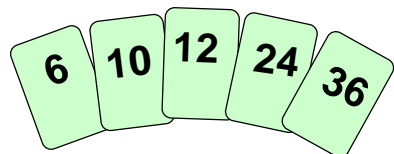


Works like someone who “inserts” one more card at a time into a hand of cards that are already sorted.

To insert 12, we need to make room for it by moving first 36 and then 24.

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## Insertion Sort

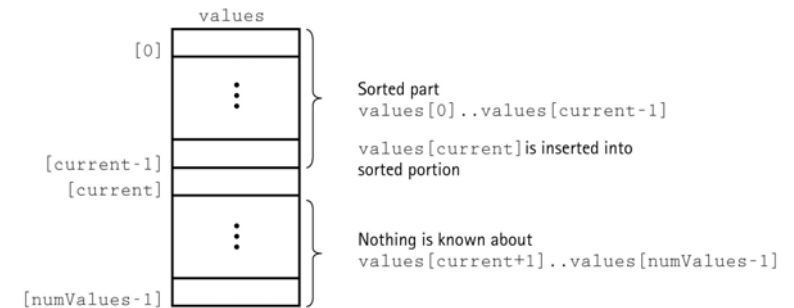


Works like someone who “inserts” one more card at a time into a hand of cards that are already sorted.

To insert 12, we need to make room for it by moving first 36 and then 24.

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## A Snapshot of the Insertion Sort Algorithm



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```

template <class ItemType >
void InsertItem ( ItemType values [ ] , int start ,
int end )
// Post: Elements between values[start] and values
// [end] have been sorted into ascending order by key.
{
    bool finished = false ;
    int current = end ;
    bool moreToSearch = (current != start);

    while (moreToSearch && !finished )
    {
        if (values[current] < values[current - 1])
        {
            Swap(values[current], values[current - 1]);
            current--;
            moreToSearch = ( current != start );
        }
        else
            finished = true ;
    }
}

```

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```

template <class ItemType >
void InsertionSort ( ItemType values [ ] ,
int numValues )

// Post: Sorts array values[0 .. numValues-1 ] into
// ascending order by key
{
    for (int count = 0 ; count < numValues; count++)

        InsertItem ( values , 0 , count ) ;
}

```

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## Sorting Algorithms and Average Case Number of Comparisons

### Simple Sorts

- Straight Selection Sort
- Bubble Sort
- Insertion Sort

$O(N^2)$

### More Complex Sorts

- Quick Sort
- Merge Sort
- Heap Sort

$O(N \log N)$

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## Recall that . . .

A heap is a binary tree that satisfies these special **SHAPE** and **ORDER** properties:

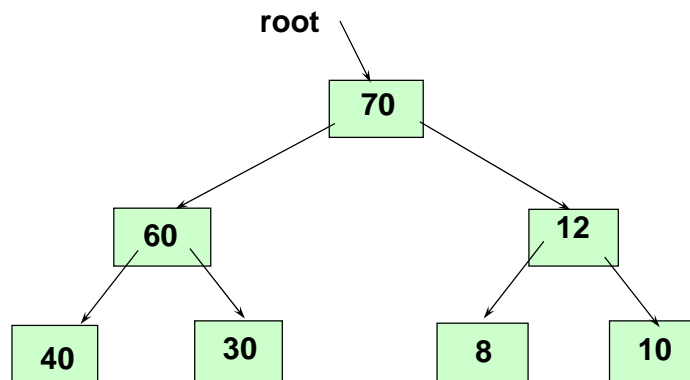
- Its shape must be a complete binary tree.
- For each node in the heap, the value stored in that node is greater than or equal to the value in each of its children.

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## The largest element in a heap

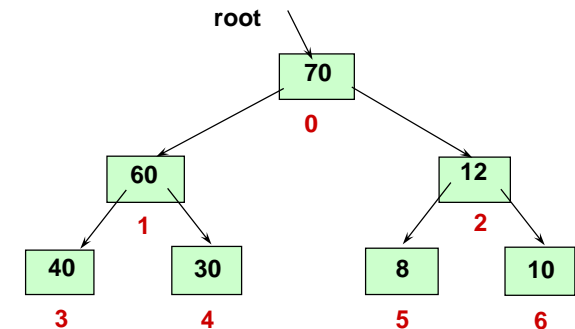
is always found in the root node



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## The heap can be stored in an array

values	
[ 0 ]	70
[ 1 ]	60
[ 2 ]	12
[ 3 ]	40
[ 4 ]	30
[ 5 ]	8
[ 6 ]	10



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## Heap Sort Approach

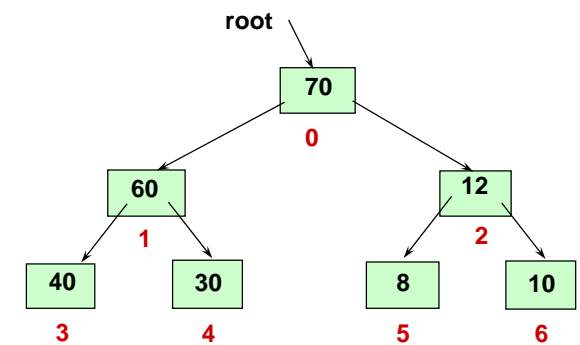
First, make the unsorted array into a heap by satisfying the order property. Then repeat the steps below until there are no more unsorted elements.

- Take the root (maximum) element off the heap by swapping it into its correct place in the array at the end of the unsorted elements.
- Reheap the remaining unsorted elements. (This puts the next-largest element into the root position).

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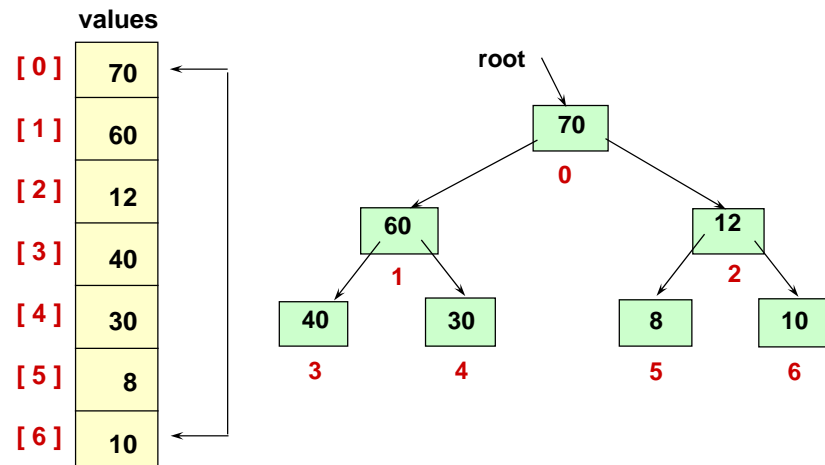
## After creating the original heap

values	
[ 0 ]	70
[ 1 ]	60
[ 2 ]	12
[ 3 ]	40
[ 4 ]	30
[ 5 ]	8
[ 6 ]	10



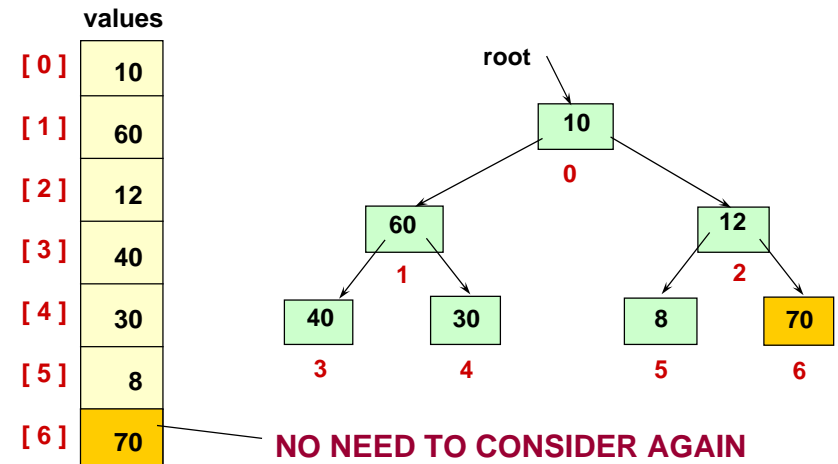
36

## Swap root element into last place in unsorted array



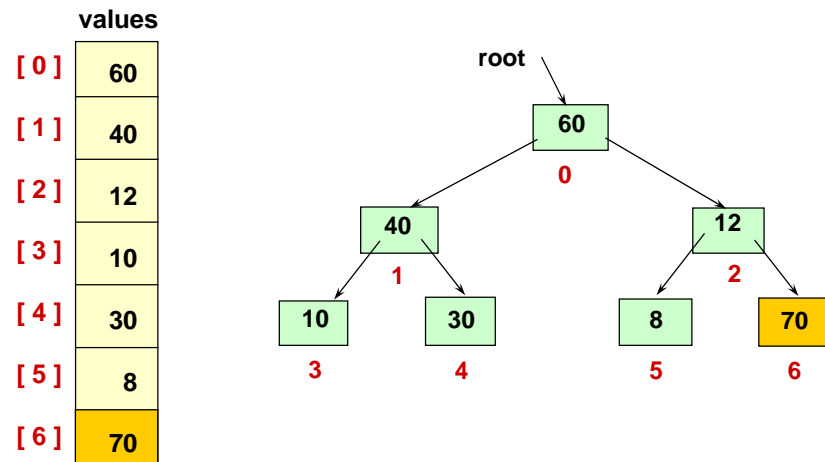
37

## After swapping root element into its place



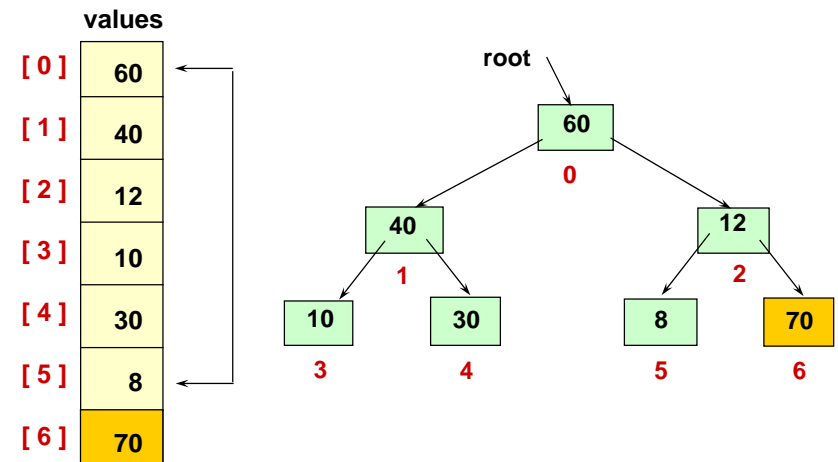
38

## After reheaping remaining unsorted elements



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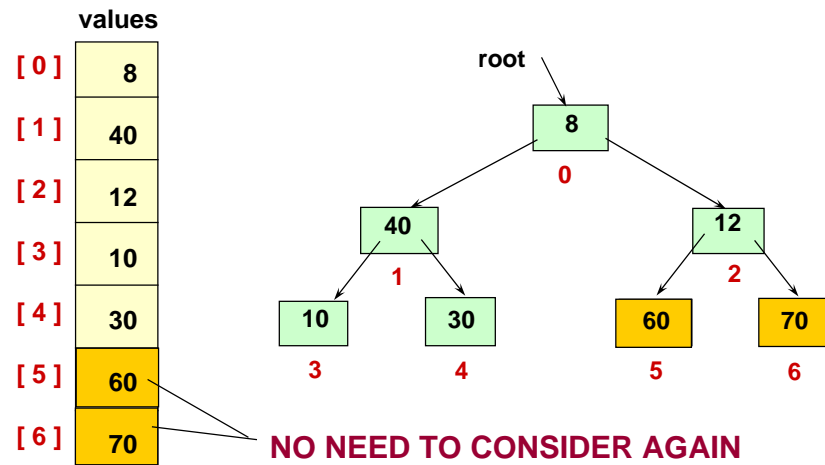
## Swap root element into last place in unsorted array



40



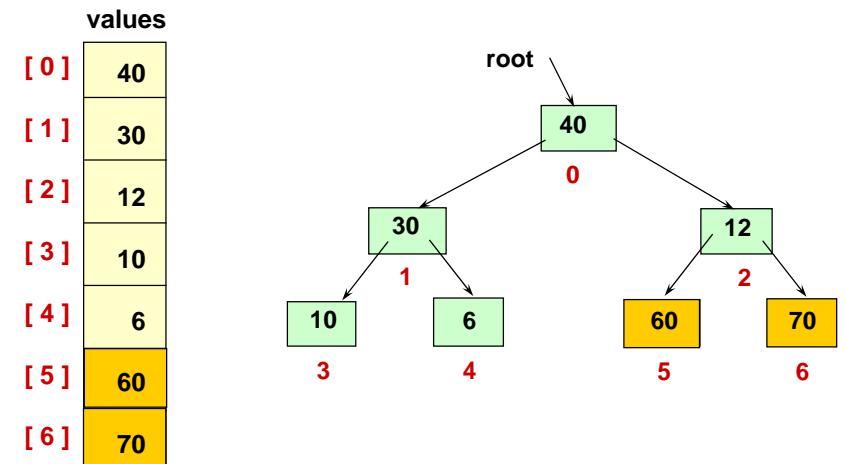
## After swapping root element into its place



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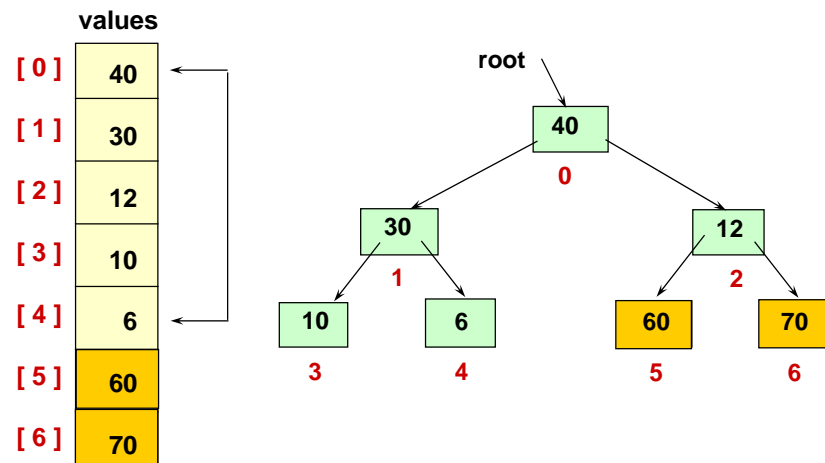
## After reheaping remaining unsorted elements



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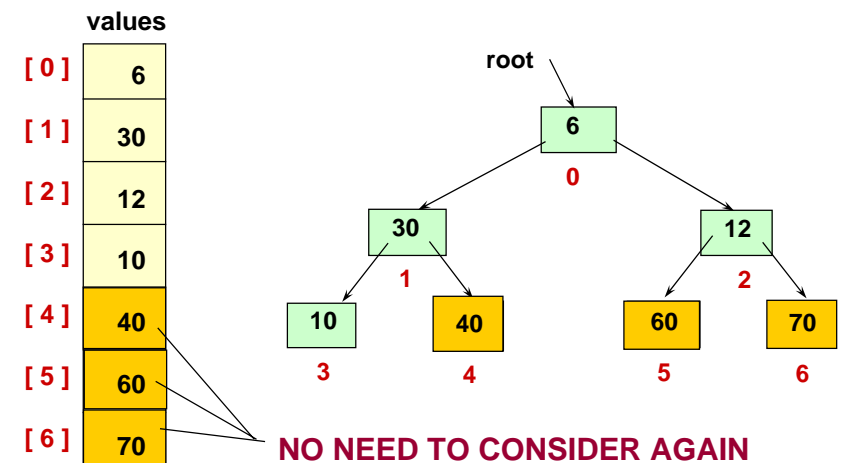
## Swap root element into last place in unsorted array



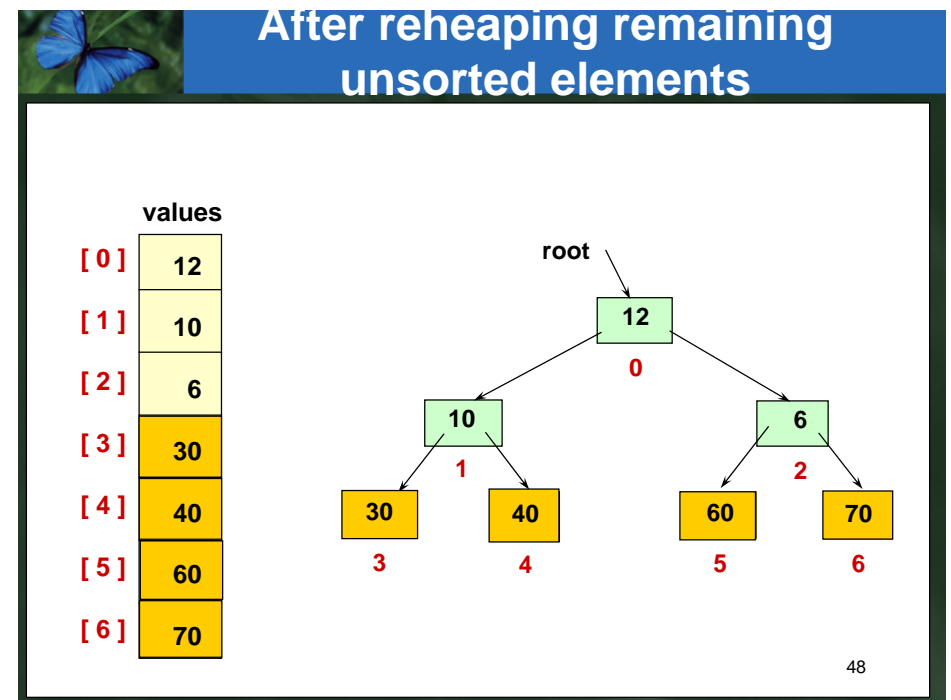
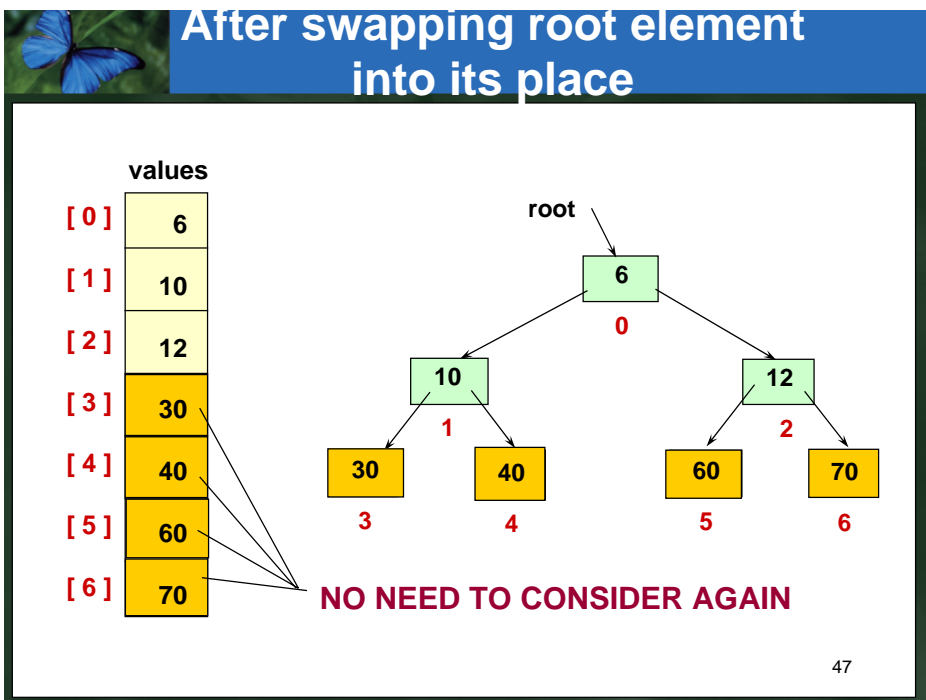
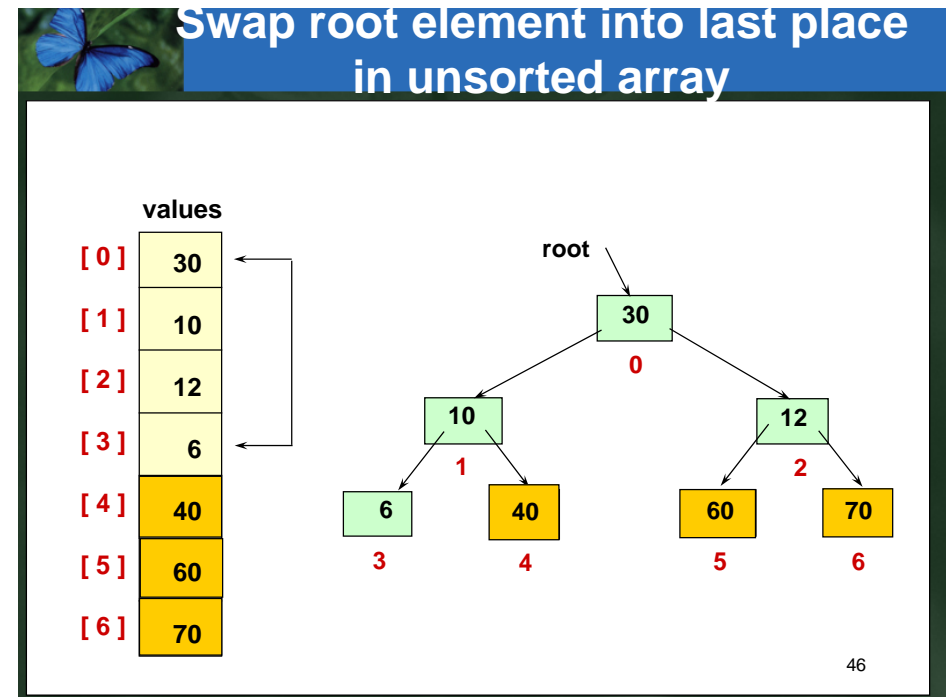
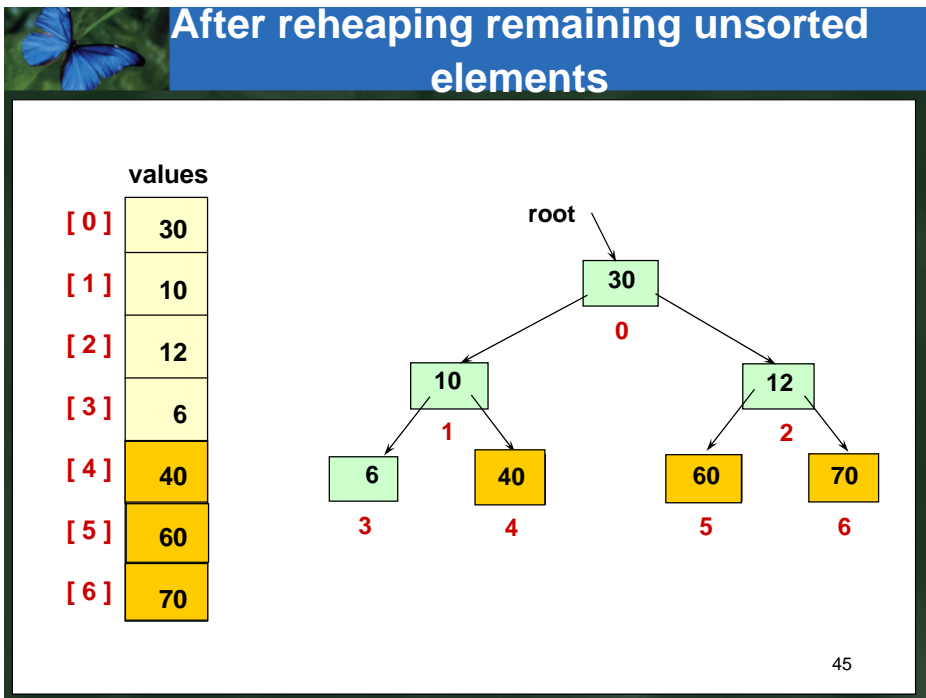
43



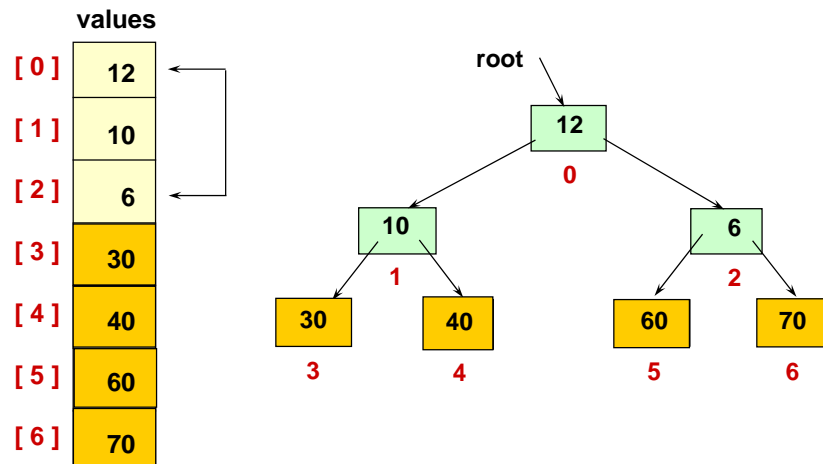
## After swapping root element into its place



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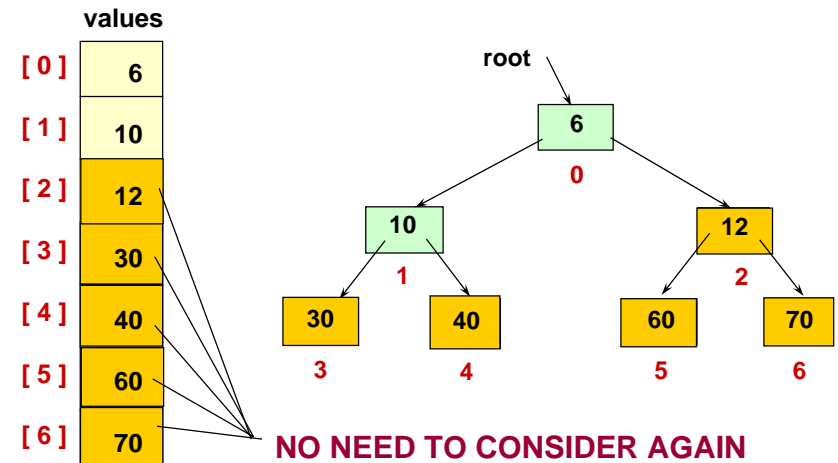


## Swap root element into last place in unsorted array



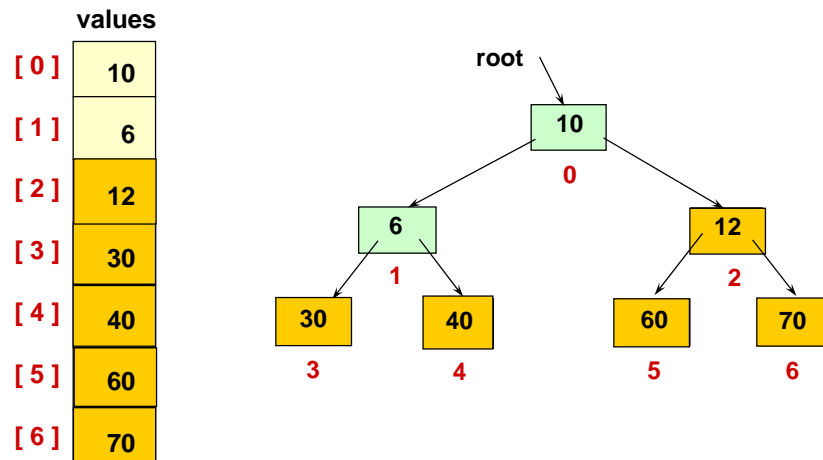
49

## After swapping root element into its place



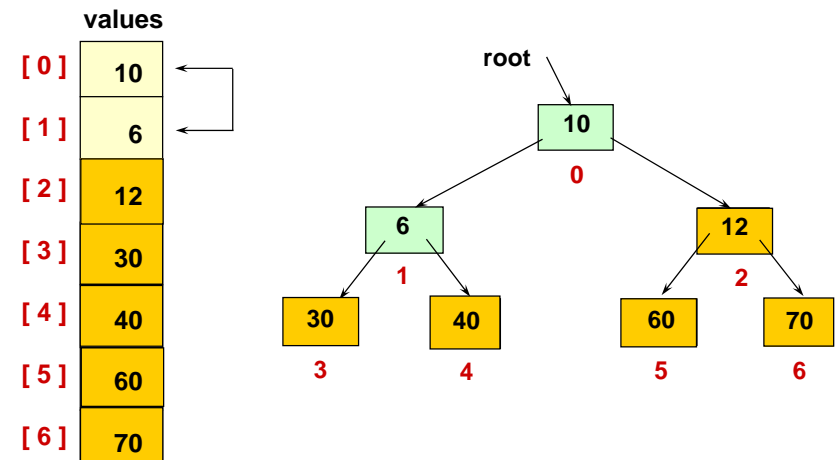
50

## After reheaping remaining unsorted elements



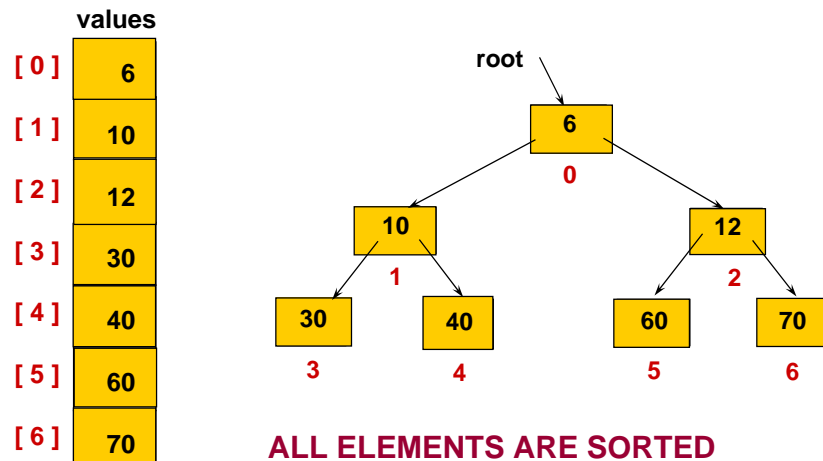
51

## Swap root element into last place in unsorted array



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## After swapping root element into its place



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```
template <class ItemType >
void HeapSort ( ItemType values [ ], int
    numValues )
// Post: Sorts array values[ 0 .. numValues-1 ] into
//       ascending order by key
{
    int index ;

    // Convert array values[0..numValues-1] into a heap
    for (index = numValues/2 - 1; index >= 0; index--)
        ReheapDown ( values , index , numValues - 1 ) ;

    // Sort the array.
    for (index = numValues - 1; index >= 1; index--)
    {
        Swap (values [0] , values[index]);
        ReheapDown (values , 0 , index - 1);
    }
}
```

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## ReheapDown

```
template< class ItemType >
void ReheapDown ( ItemType values [ ], int root,
    int bottom )

// Pre: root is the index of a node that may violate the
//       heap order property
// Post: Heap order property is restored between root and
//       bottom
{
    int maxChild ;
    int rightChild ;
    int leftChild ;

    leftChild = root * 2 + 1 ;
    rightChild = root * 2 + 2 ;
```

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```
    if (leftChild <= bottom) // ReheapDown continued
    {
        if (leftChild == bottom)
            maxChild = leftChild;
        else
        {
            if (values[leftChild] <= values [rightChild])
                maxChild = rightChild ;
            else
                maxChild = leftChild ;
        }
        if (values[ root ] < values[maxChild])
        {
            Swap (values[root], values[maxChild]);
            ReheapDown ( maxChild, bottom ;
        }
    }
}
```

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## Building Heap From Unsorted Array

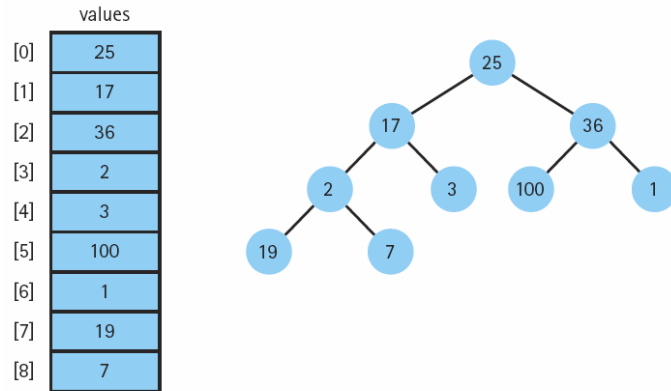
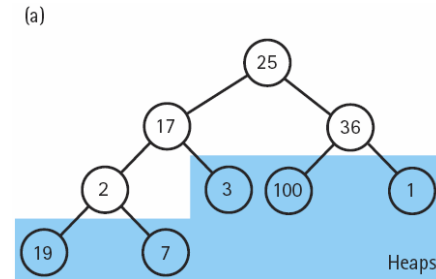


Figure 10.12 An unsorted array and its tree

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## Building Heap From Unsorted Array (cont'd)

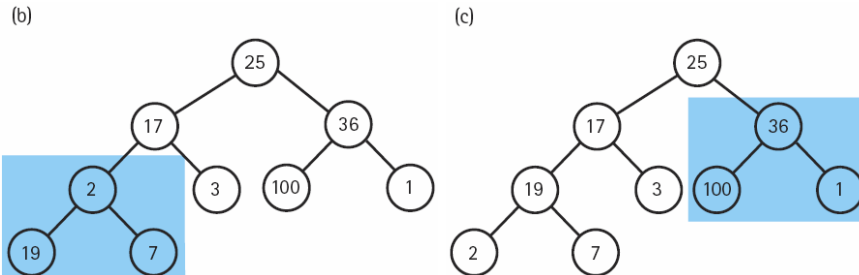
- Leaf nodes are already heaps



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## Building Heap From Unsorted Array (cont'd)

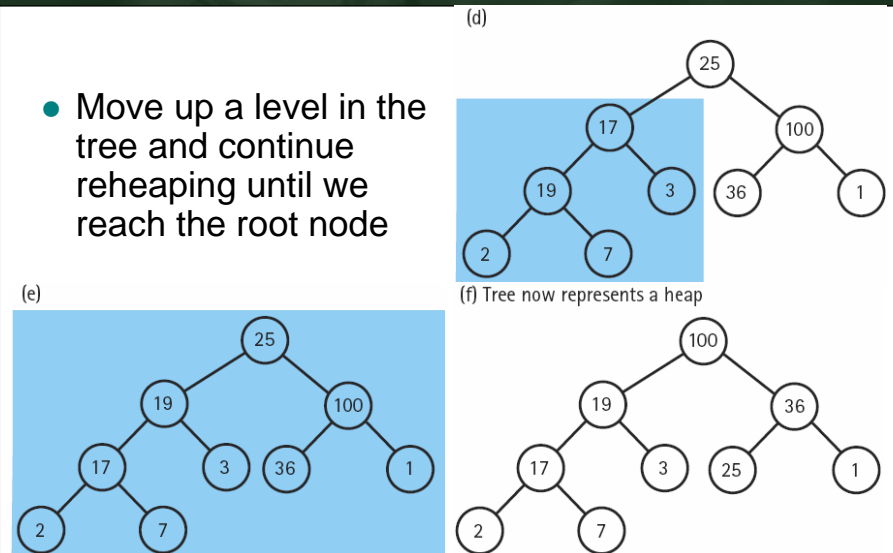
- The subtrees rooted at first nonleaf nodes are almost heaps



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## Building Heap From Unsorted Array (cont'd)

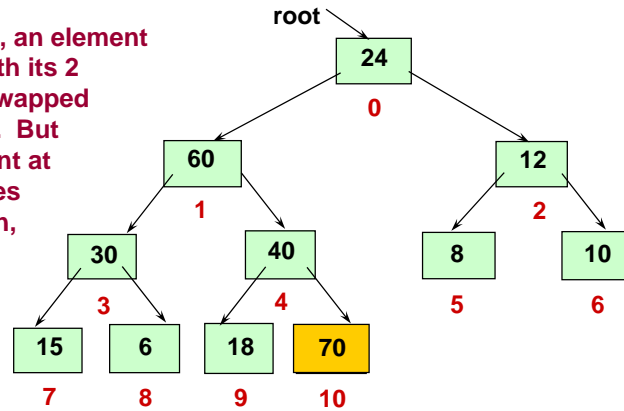
- Move up a level in the tree and continue reheaping until we reach the root node



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## Heap Sort: How many comparisons?

In reheap down, an element is compared with its 2 children (and swapped with the larger). But only one element at each level makes this comparison, and a complete binary tree with N nodes has only  $O(\log_2 N)$  levels.



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## Heap Sort of N elements: How many comparisons?

$(N/2) * O(\log N)$  compares to create original heap

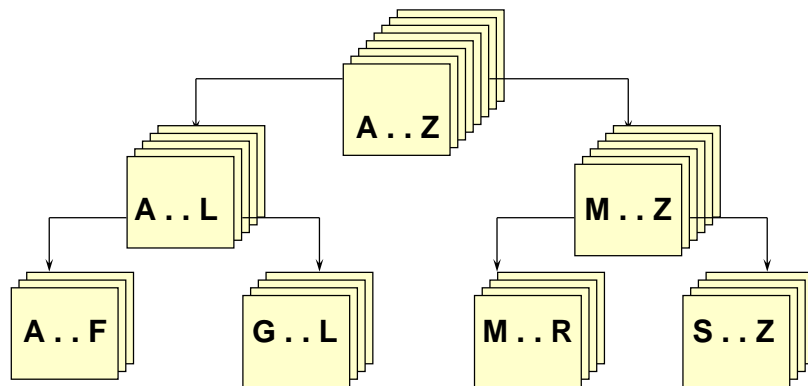
$(N-1) * O(\log N)$  compares for the sorting loop

---

=  $O(N * \log N)$  compares total

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## Using quick sort algorithm



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```
// Recursive quick sort algorithm

template <class ItemType >
void QuickSort ( ItemType values[ ], int first ,
                int last )

// Pre:  first <= last
// Post: Sorts array values[ first . . last ] into
//        ascending order
{
    if ( first < last )           // general case
    {
        int splitPoint ;
        Split ( values, first, last, splitPoint ) ;
        // values [first]..values[splitPoint - 1] <= splitVal
        // values [splitPoint] = splitVal
        // values [splitPoint + 1]..values[last] > splitVal
        QuickSort(values, first, splitPoint - 1);
        QuickSort(values, splitPoint + 1, last);
    }
};
```

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## Before call to function Split

**splitVal = 9**

**GOAL:** place splitVal in its proper position with all values less than or equal to splitVal on its left and all larger values on its right

9	20	6	18	14	3	60	11
---	----	---	----	----	---	----	----

values[first]

[last]

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## After call to function Split

**splitVal = 9**

smaller values  
in left part

larger values  
in right part

6	3	9	18	14	20	60	11
---	---	---	----	----	----	----	----

values[first]

[last]

splitVal in correct position

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## Quick Sort of N elements: How many comparisons?

- N** For first call, when each of N elements is compared to the split value
- 2 \* N/2** For the next pair of calls, when N/2 elements in each "half" of the original array are compared to their own split values.
- 4 \* N/4** For the four calls when N/4 elements in each "quarter" of original array are compared to their own split values.

...

**HOW MANY SPLITS CAN OCCUR?**

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## Quick Sort of N elements: How many splits can occur?

**It depends on the order of the original array elements!**

If each split divides the subarray approximately in half, there will be only  $\log_2 N$  splits, and QuickSort is  $O(N \log_2 N)$ .

But, if the original array was sorted to begin with, the recursive calls will split up the array into parts of unequal length, with one part empty, and the other part containing all the rest of the array except for split value itself. In this case, there can be as many as N-1 splits, and QuickSort is  $O(N^2)$ .

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## Before call to function Split

splitVal = 9

GOAL: place splitVal in its proper position with  
all values less than or equal to splitVal on its left  
and all larger values on its right

9	20	26	18	14	53	60	11
---	----	----	----	----	----	----	----

values[first]

[last]

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## After call to function Split

splitVal = 9

no smaller values  
empty left part

larger values  
in right part with N-1 elements

9	20	26	18	14	53	60	11
---	----	----	----	----	----	----	----

values[first]

[last]

splitVal in correct position

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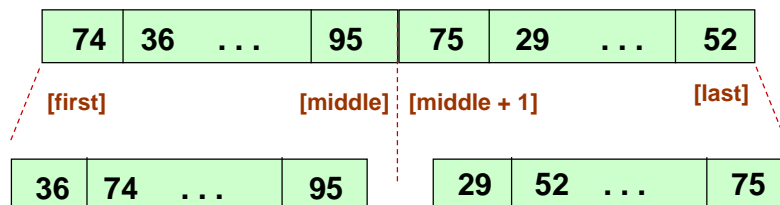
## Merge Sort Algorithm

Cut the array in half.

Sort the left half.

Sort the right half.

Merge the two sorted halves into one sorted array.



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// Recursive merge sort algorithm

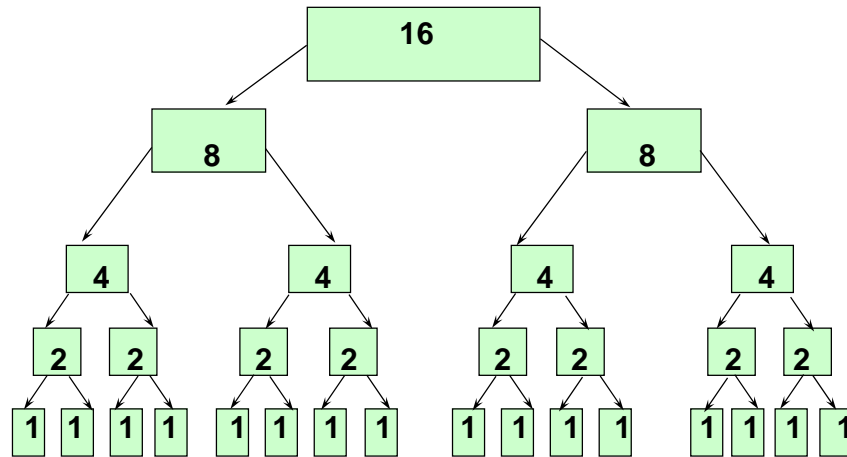
```
template <class ItemType >
void MergeSort ( ItemType values[ ], int first ,
               int last )
// Pre:  first <= last
// Post: Array values[first..last] sorted into
//        ascending order.
{
    if ( first < last )           // general case
    {
        int middle = ( first + last ) / 2 ;
        MergeSort ( values, first, middle ) ;
        MergeSort( values, middle + 1, last ) ;

        // now merge two subarrays
        // values [ first . . . middle ] with
        // values [ middle + 1, . . . last ].

        Merge(values, first, middle, middle + 1, last);
    }
}
```

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## Using Merge Sort Algorithm with N = 16



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## Merge Sort of N elements: How many comparisons?

The entire array can be subdivided into halves only  $\log_2 N$  times.

Each time it is subdivided, function Merge is called to re-combine the halves. Function Merge uses a temporary array to store the merged elements. Merging is  $O(N)$  because it compares each element in the subarrays.

Copying elements back from the temporary array to the values array is also  $O(N)$ .

**MERGE SORT IS  $O(N \cdot \log_2 N)$ .**

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## Comparison of Sorting Algorithms

Sort	Order of Magnitude		
	Best Case	Average Case	Worst Case
selectionSort	$O(N^2)$	$O(N^2)$	$O(N^2)$
bubbleSort	$O(N^2)$	$O(N^2)$	$O(N^2)$
shortBubble	$O(N)$ (*)	$O(N^2)$	$O(N^2)$
insertionSort	$O(N)$ (*)	$O(N^2)$	$O(N^2)$
mergeSort	$O(N \log_2 N)$	$O(N \log_2 N)$	$O(N \log_2 N)$
quickSort	$O(N \log_2 N)$	$O(N \log_2 N)$	$O(N^2)$ (depends on split)
heapSort	$O(N \log_2 N)$	$O(N \log_2 N)$	$O(N \log_2 N)$

\*Data almost sorted.

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## Testing

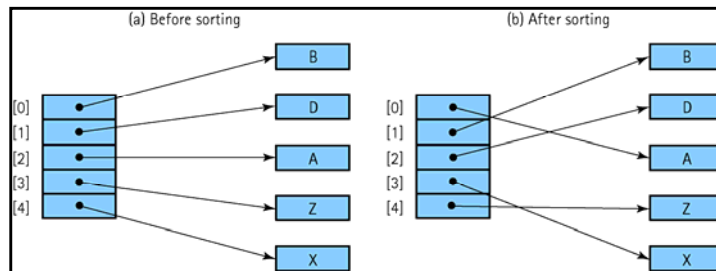
- To thoroughly test our sorting methods we should vary the size of the array they are sorting
- Vary the original order of the array-test
  - Reverse order
  - Almost sorted
  - All identical elements

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## Sorting Objects

- When sorting an array of objects we are manipulating references to the object, and not the objects themselves



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## Stability

- Stable Sort: A sorting algorithm that preserves the order of duplicates
- Of the sorts that we have discussed in this book, only `heapSort` and `quickSort` are inherently unstable

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## Searching

- Linear (or Sequential) Searching
  - Beginning with the first element in the list, we search for the desired element by examining each subsequent item's key
- High-Probability Ordering
  - Put the most-often-desired elements at the beginning of the list
  - *Self-organizing* or *self-adjusting* lists
- Key Ordering
  - Stop searching before the list is exhausted if the element does not exist


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## Function `BinarySearch( )`

- `BinarySearch` takes **sorted** array `info`, and two subscripts, `fromLoc` and `toLoc`, and `item` as arguments. It returns `false` if `item` is not found in the elements `info[fromLoc...toLoc]`. Otherwise, it returns `true`.
- `BinarySearch` is  $O(\log_2 N)$ .

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found = BinarySearch(info, 25, 0, 14 );

item    fromLoc    toLoc

indexes


0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
---	---	---	---	---	---	---	---	---	---	----	----	----	----	----

info

0	2	4	6	8	10	12	14	16	18	20	22	24	26	28
								16	18	20	22	24	26	28
												24	26	28
													24	

**NOTE:**    denotes element examined


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```

template<class ItemType>
bool BinarySearch(ItemType info[ ], ItemType item,
                  int fromLoc, int toLoc )
    // Pre: info [ fromLoc .. toLoc ] sorted in ascending order
    // Post: Function value = ( item in info[fromLoc .. toLoc])
{
    int mid ;
    if ( fromLoc > toLoc ) // base case -- not found
        return false ;
    else
    {
        mid = ( fromLoc + toLoc ) / 2 ;
        if ( info[mid] == item ) // base case-- found at mid
            return true ;
        else
        {
            if ( item < info[mid]) // search lower half
                return BinarySearch( info, item, fromLoc, mid-1 );
            else // search upper half
                return BinarySearch( info, item, mid + 1, toLoc );
        }
    }
}
  
```

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## Hashing

- is a means used to order and access elements in a list quickly -- the goal is O(1) time -- by using a function of the key value to identify its location in the list.
- The function of the key value is called a hash function.

FOR EXAMPLE ...

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## Using a hash function

	values
[0]	Empty
[1]	4501
[2]	Empty
[3]	7803
[4]	Empty
⋮	⋮
[97]	Empty
[98]	2298
[99]	3699

HandyParts company makes no more than 100 different parts. But the parts all have four digit numbers.

This hash function can be used to store and retrieve parts in an array.

Hash(key) = partNum % 100

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## Placing Elements in the Array

	values
[0]	Empty
[1]	4501
[2]	Empty
[3]	7803
[4]	Empty
⋮	⋮
[97]	Empty
[98]	2298
[99]	3699

Use the hash function

$$\text{Hash}(\text{key}) = \text{partNum} \% 100$$

to place the element with  
part number 5502 in the  
array.

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## Placing Elements in the Array

	values
[0]	Empty
[1]	4501
[2]	5502
[3]	7803
[4]	Empty
⋮	⋮
[97]	Empty
[98]	2298
[99]	3699

Next place part number  
6702 in the array.

$$\text{Hash}(\text{key}) = \text{partNum} \% 100$$

$$6702 \% 100 = 2$$

But values[2] is already  
occupied.

**COLLISION OCCURS**

the condition resulting when two or more  
keys produce the same hash location

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## How to Resolve the Collision?

	values
[0]	Empty
[1]	4501
[2]	5502
[3]	7803
[4]	Empty
⋮	⋮
[97]	Empty
[98]	2298
[99]	3699

One way is by linear probing.  
This uses the rehash function

$$(\text{HashValue} + 1) \% 100$$

repeatedly until an empty location  
is found for part number 6702.

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## Resolving the Collision

↳ Linear Probing

	values
[0]	Empty
[1]	4501
[2]	5502
[3]	7803
[4]	Empty
⋮	⋮
[97]	Empty
[98]	2298
[99]	3699

Still looking for a place for 6702  
using the function

$$(\text{HashValue} + 1) \% 100$$

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## Collision Resolved

values
[0] Empty
[1] 4501
[2] 5502
[3] 7803
[4] Empty
...
[97] Empty
[98] 2298
[99] 3699

Part 6702 can be placed at the location with index 4.

*Open hash  
- chaining*

89

## Collision Resolved

values
[0] Empty
[1] 4501
[2] 5502
[3] 7803
[4] 6702
...
[97] Empty
[98] 2298
[99] 3699

Part 6702 is placed at the location with index 4.

Where would the part with number 4598 be placed using linear probing?

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## Deletion with Linear Probing

Order of Insertion:

14001  
00104  
50003  
77003  
42504  
33099  
⋮

[00]	Empty
[01]	Element with key = 14001
[02]	Empty
[03]	Element with key = 50003
[04]	Element with key = 00104
[05]	Element with key = 77003
[06]	Element with key = 42504
[07]	Empty
[08]	Empty
⋮	⋮
[99]	Element with key = 33099

What happens if we perform

- first, delete the element with 77003
- then, search for the element with 42504

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## Deletion with Linear Probing

Order of Insertion:

14001  
00104  
50003  
77003  
42504  
33099  
⋮

[00]	Empty
[01]	Element with key = 14001
[02]	Empty
[03]	Element with key = 50003
[04]	Element with key = 00104
[05]	<del>Element with key = 77003</del>
[06]	Element with key = 42504
[07]	Empty
[08]	Empty
⋮	⋮
[99]	Element with key = 33099

set this slot to **Deleted** rather than *Empty*

We cannot find the element with 42504 if we set the deleted slot to *Empty*

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## Resolving Collisions: Rehashing

- Resolving a collision by computing a new hash location from a hash function that manipulates the original location rather than the element's key
- Linear probing
  - $(\text{HashValue} + 1) \% 100$
  - $(\text{HashValue} + \text{constant}) \% \text{array-size}$
- quadratic probing
  - $(\text{HashValue} \pm I^2) \% \text{array-size}$
- random probing
  - $(\text{HashValue} + \text{random-number}) \% \text{array-size}$

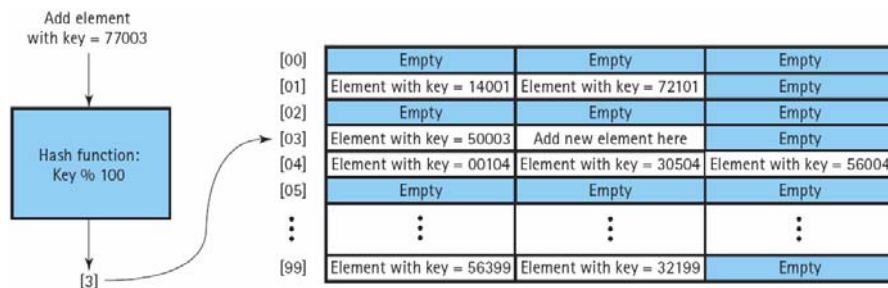
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## Resolving Collisions: Buckets and Chaining

- The main idea is to allow multiple element keys to hash to the same location
- **Bucket** A collection of elements associated with a particular hash location
- **Chain** A linked list of elements that share the same hash location

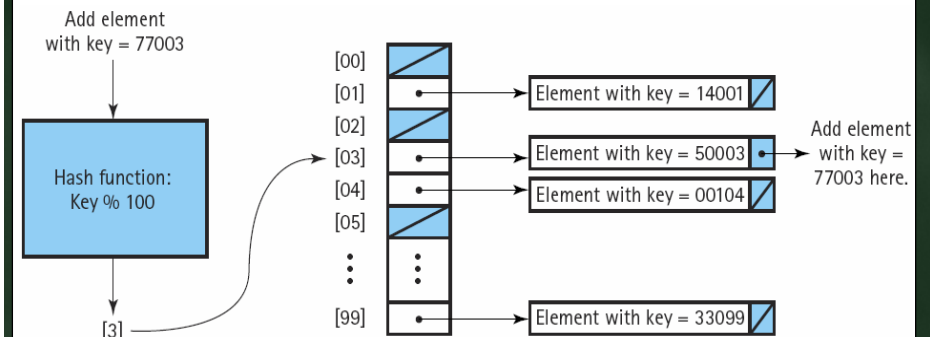
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## Resolving Collisions: Buckets



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## Resolving Collisions: Chain



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## Choosing a Good Hash Functions

- Two ways to minimize collisions are
  - Increase the range of the hash function  
Distribute elements as uniformly as possible throughout the hash table
- How to choose a good hash function
  - Utilize knowledge about statistical distribution of keys
  - Select appropriate hash functions
    - division method
    - sum of characters
    - folding
    - ...

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## Radix Sort

Radix sort

Is *not* a comparison sort

Uses a radix-length array of queues of records

Makes use of the values in digit positions in the keys to select the queue into which a record must be enqueued

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## Original Array

762
124
432
761
800
402
976
100
001
999

99



## Queues After First Pass

[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]
800	761	762		124		976			999
100	001	432							
		402							

100



## Array After First Pass

800
100
761
001
762
432
402
124
976
999

101



## Queues After Second Pass

[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]
800		124	432			761	976		999
100						762			
001									
402									

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## Array After Second Pass

800
100
001
402
124
432
761
762
976
999

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## Queues After Third Pass

[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]
001	100			402			761	800	976
	124			432			762		999

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## Array After Third Pass

001
100
124
402
432
761
762
800
976
999