

### 2022 Fall Lab Seminar

The Curious Case of Neural Text DeGeneration (2019, ICLR)

> 2022.11.24 Jeon Hyolim gyfla1512@g.skku.edu

### Content

- Text Generation Method
- Decoding Strategy Method
- Maximization-based Method
- Sampling-based Method
- Evaluation

# Text Generation Method Directed Generation VS Open-Ended Generation

#### 1. Directed Generation

- Text Generation: (input, output) pairs
- Task: machine translation (Bahdanau et al., 2015), data-to-text generation (Wiseman et al., 2017), summarization (Nallapati et al., 2016)
- **Method**: with an attention mechanism encoder-decoder architectures (Bahdanau et al., 2015; Luong et al., 2015), using attention-based architectures such as the Transformer (Vaswani et al., 2017).

# Text Generation Method Directed Generation VS Open-Ended Generation

#### 2. Open Ended Generation

- (1) conditional story generation
  - (2) contextual text continuation
- Recently become a **promising research direction** due to significant advances in neural language models (Clark et al., 2018; Holtzman et al., 2018; Fan et al., 2018; Peng et al., 2018; Radford et al., 2019)
- given a sequence of m tokens x1 . . . xm as context, the task is to generate the next n continuation tokens to obtain the completed sequence x1 . . .xm+n.

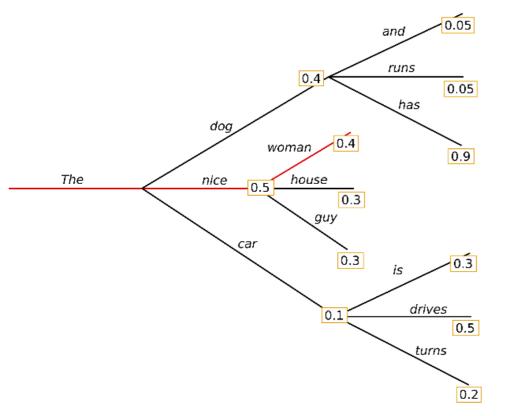
## **Decoding Strategy Method**

- what is the best decoding strategy is for text generation from a language model(e.g. to generate a story)
- Maximization based
   Greedy Search, Beam search
- Neural based
   Sampling with Temperature, Top-k Sampling,
   Nucleus Sampling(Top-p Sampling)

- most commonly used decoding objective, in particular for directed generation
- Assumes that the model assigns higher probability to higher quality text, these decoding strategies search for the continuation with the highest likelihood

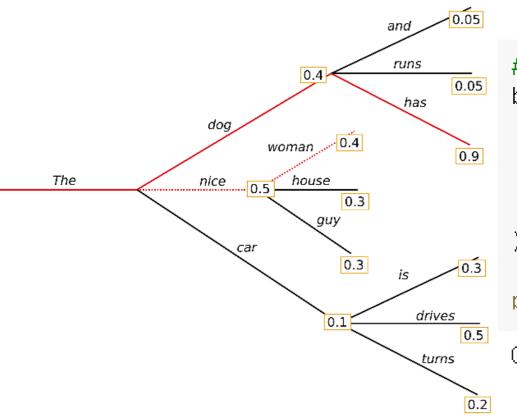
#### 1. Greedy Search

- Simple, intuitive decoding strategy
- Simply take the highest probability word at each position in the sequence and predict that in the output sequence.
- Time complexity(O), Accuracy(x)



#### 2. Beam Search

- Improved version of greedy search
- Selects multiple tokens(beam size(k), k best alternatives) for a position in a given sequence based on conditional probability



```
# activate beam search and early_stopping
beam_output = model.generate(
    input_ids,
    max_length=50,
    num_beams=5,
    early_stopping=True
)

print(tokenizer.decode(beam_output.tolist()[0], skip_special_tokens=True))

이순신은 조선 중기의 무신이다.</s><s> 그 후, 그는 《삼국사기》(三國史記)와
```

#### Limitation

• incredibly **degenerate**, even when using state-of-the-art models such as GPT-2 Large

#### Beam Search, b=32:

"The study, published in the Proceedings of the National Academy of Sciences of the United States of America (PNAS), was conducted by researchers from the Universidad Nacional Autónoma de México (UNAM) and the Universidad Nacional Autónoma de México (UNAM/Universidad Nacional Autónoma de México/Universidad Nacional Autónoma de México/Universidad Nacional Autónoma de México/Universidad Nacional Autónoma de ..."

#### Pure Sampling:

They were cattle called Bolivian Cavalleros; they live in a remote desert uninterrupted by town, and they speak huge, beautiful, paradisiacal Bolivian linguistic thing. They say, 'Lunch, marge.' They don't tell what the lunch is," director Professor Chuperas Omwell told Sky News. "They've only been talking to scientists, like we're being interviewed by TV reporters. We don't even stick around to be interviewed by TV reporters. Maybe that's how they figured out that they're cosplaying as the Bolivian Cavalleros."

Figure 1: Even with substantial human context and the powerful GPT-2 Large language model, Beam Search (size 32) leads to degenerate repetition (highlighted in blue) while pure sampling leads to incoherent gibberish (highlighted in red). When  $b \ge 64$ , both GPT-2 Large and XL (774M and 1542M parameters, respectively) prefer to stop generating immediately after the given context.

## Sampling-based Method

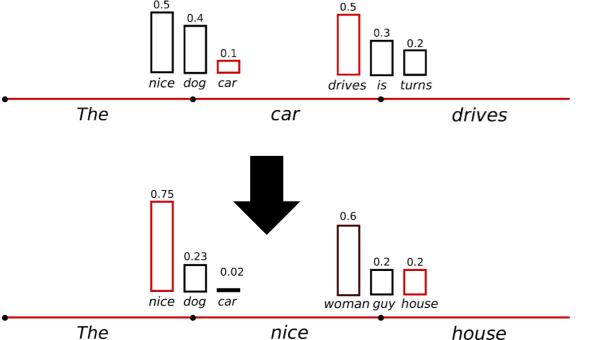
#### 1. Sampling

- Sampling
- sampling directly from the probabilities predicted by the model
- Probability of generating text with various words increases, but the probability of generating awkward text also increases.
- Adjust the probability distribution: "temperature"



#### 2. Temperature

- Sampling with Temperature
- " t ∈ [0, 1) " skews the distribution towards high probability events
   -> implicitly lowers the mass in the tail distribution.
- lowering the temperature improves generation quality, it comes at the cost of decreasing diversity (Caccia et al., 2018; Hashimoto et al., 2019).



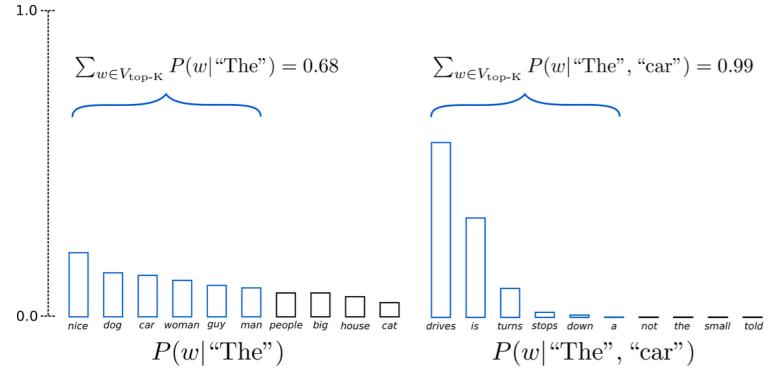
```
# use temperature to decrease the sensitivity to low probability candidates sample_output = model.generate(
    input_ids,
    do_sample=True,
    max_length=50,
    top_k=0,
    temperature=0.7
)

print(tokenizer.decode(sample_output.tolist()[0], skip_special_tokens=True))

이순신은 조선 중기의 무신이다.
```

### 3. Top-K sampling

- Top-K sampling
- a popular alternative sampling procedure (Fan et al., 2018; Holtzman et al., 2018; Radford et al., 2019)
- At each time step, the top k possible next tokens are sampled from according to their relative probabilities



#### 3. Top-K sampling

Difficulty in choosing a suitable value of k

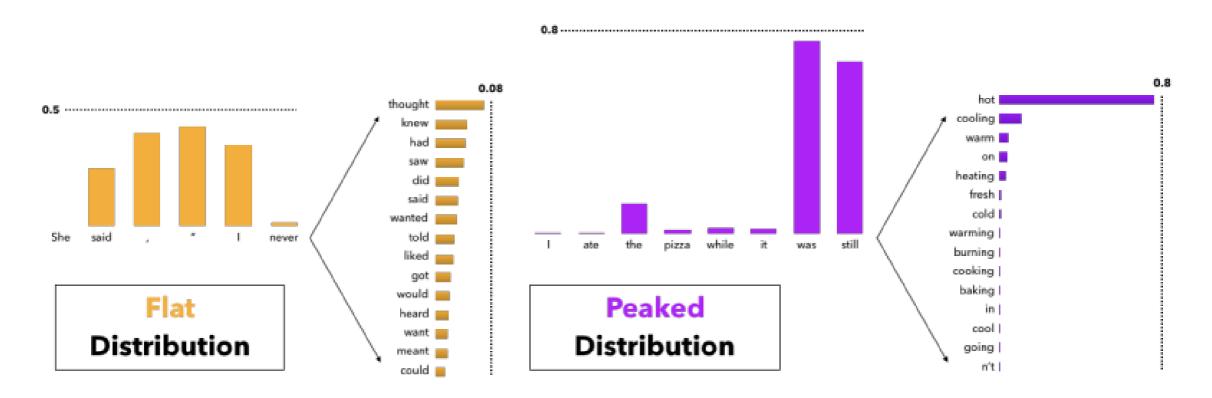
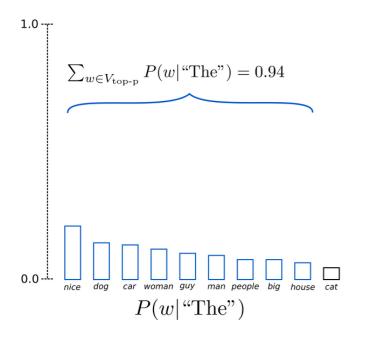


Figure 5: The probability mass assigned to partial human sentences. Flat distributions lead to many moderately probable tokens, while peaked distributions concentrate most probability mass into just a few tokens. The presence of flat distributions makes the use of a small k in top-k sampling problematic, while the presence of peaked distributions makes large k's problematic.

#### 4. Top-p sampling

- Top-p sampling(Nucleus Sampling)
- a new stochastic decoding method
- key idea: use the shape of the probability distribution to determine the set of tokens to be sampled from.

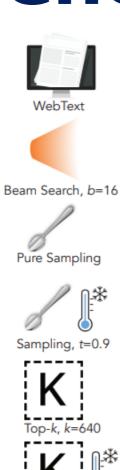




```
# set top_k = 50 and set top_p = 0.95 and num_return_sequences = 3
sample_outputs = model.generate(
    input_ids,
    do_sample=True,
    max_length=50,
    top_k=20,
    top_p=0.92,
    num_return_sequences=3
print("Output:\n" + 100 * '-')
for i, sample_output in enumerate(sample_outputs):
 print("{}: {}".format(i, tokenizer.decode(sample_output.tolist(), skip_special_tokens=True))
```

2: 이순신은 조선 중기의 무신이다.</s><s> 하지만, 그의 아버지는 그의 어머니인 신음수(申應洙)에

### **Text Generation Method**



WebText

An unprecedented number of mostly young whales have become stranded on the West Australian coast since 2008.

The number of stranded whales has increased by more than 50 per cent in the past year, with the number of stranded whales on the West Australian coast increasing by more than 50 per cent in the past year. The number of whales stranded on the West Australian coast has increased by more than 50 per cent in the past year, with the number of stranded whales on the West Australian coast increasing by more than 50 per cent in the past year.

The Australian Food Safety Authority has warned Australia's beaches may be revitalised this year because healthy seabirds and seals have been on the move. More than 50,000 seabirds, sea mammals and seahorses have been swept into the sea by the Holden CS118 and Adelaide Airport CS300 from 2013. A major white-bat and umidauda migration across Australia is under way in Australia for the first time, with numbers reaching an estimated 50,000.

Last week's intense storms and a series of powerful cyclones have been officially blamed for the deaths of at least nine large fin whales near Whitsundays - the largest loss of any species globally. The fin whales: packed in the belly of one killer whale thrashing madly in fear as another tries to bring it to safety. When the colossal animal breached the waters of Whitsundays, he'd been seen tagged for a decade.

Pumping Station #3 shut down due to construction damage Find more at: www.abc.net.au/environment/species-worry/in-the-top-10-killer-whale-catastrophes-in-history.html
"In the top 10 killer whale catastrophes in history:
1) 1986: Up to 12 orcas struck by lightning; many drowned and many more badly injured.

The whale's fate was confirmed late last week when the animal was found by fishermen off the coast of Bundaberg. Experts believe the whale was struck by a fishing vessel off the coast of Bundaberg, and died after being sucked into the ocean. The whale's fate was confirmed late last week when the animal was found by fishermen off the coast of Bundaberg.

There has been an unprecedented number of calves caught in the nets of whaling stations that operate in WA. Pilot whales continue to migrate to feeding grounds to feed their calves. They are now vulnerable due to the decline of wild populations; they are restricted to one breeding site each year. Image copyright Yoon Bo Kim But, with sharp decline in wild populations the size of the Petrels are shrinking and dwindling population means there will only be room for a few new fowl.

Poor nutrition has led to a rise in the number of stranded humpback whales on the West Australian coast, veterinary researchers have said. Carly Holyoake, from Murdoch University, at the Australian Veterinary Association's annual conference in Perth on Wednesday, said an unprecedented number of mostly young whales had become stranded on the coast since 2008.

Figure 3: Example generations continuing an initial sentence. Maximization and top-k truncation methods lead to copious repetition (highlighted in blue), while sampling with and without temperature tends to lead to incoherence (highlighted in red). Nucleus Sampling largely avoids both issues.

#### **Perplexity**

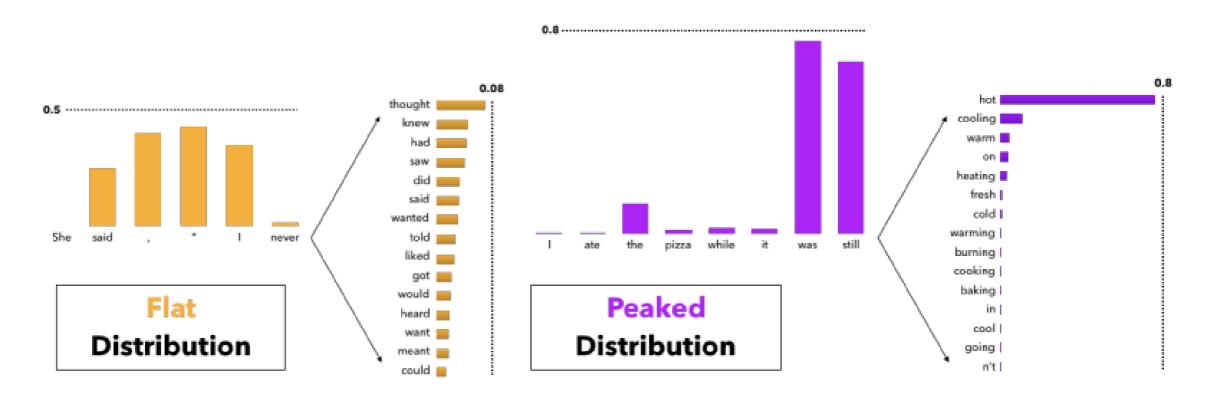


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#### **Perplexity**

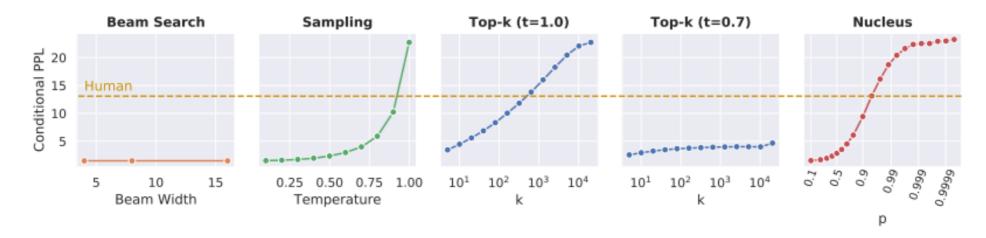


Figure 6: Perplexities of generations from various decoding methods. Note that beam search has unnaturally low perplexities. A similar effect is seen using a temperature of 0.7 with top-k as in both Radford et al. (2019) and Fan et al. (2018). Sampling, Top-k, and Nucleus can all be calibrated to human perplexities, but the first two face coherency issues when their parameters are set this high.

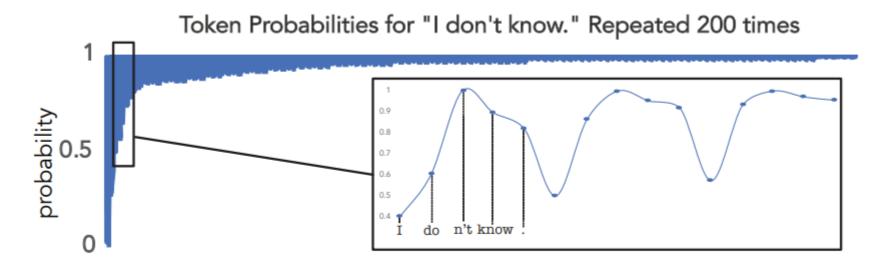


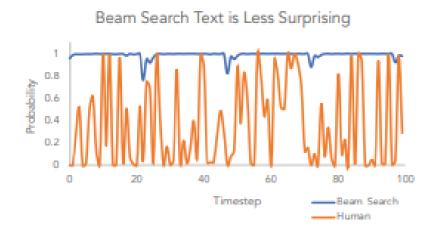
Figure 4: The probability of a repeated phrase increases with each repetition, creating a positive feedback loop. We found this effect to hold for the vast majority of phrases we tested, regardless of phrase length or if the phrases were sampled randomly rather than taken from human text.

#### **Perplexity**

Method	Perplexity	Self-BLEU4	Zipf Coefficient	Repetition %	HUSE
Human	12.38	0.31	0.93	0.28	-
Greedy	1.50	0.50	1.00	73.66	-
Beam, b=16	1.48	0.44	0.94	28.94	-
Stochastic Beam, b=16	19.20	0.28	0.91	0.32	-
Pure Sampling	22.73	0.28	0.93	0.22	0.67
Sampling, $t=0.9$	10.25	0.35	0.96	0.66	0.79
Top-k=40	6.88	0.39	0.96	0.78	0.19
Top-k=640	13.82	0.32	0.96	0.28	0.94
Top- $k=40$ , $t=0.7$	3.48	0.44	1.00	8.86	0.08
Nucleus $p=0.95$	13.13	0.32	0.95	0.36	0.97
			-		•

Table 1: Main results for comparing all decoding methods with selected parameters of each method. The numbers *closest to human scores* are in **bold** except for HUSE (Hashimoto et al., 2019), a combined human and statistical evaluation, where the highest (best) value is **bolded**. For Top-k and Nucleus Sampling, HUSE is computed with interpolation rather than truncation (see §6.1).

#### Natural Langugage does not Maximize probability



#### Beam Search

...to provide an overview of the current state-of-the-art in the field of computer vision and machine learning, and to provide an overview of the current state-of-the-art in the field of computer vision and machine learning, and to provide an overview of the current state-of-the-art in the field of computer vision and machine learning, and to provide an overview of the current state-of-the-art in the field of computer vision and machine learning, and...

#### Human

...which grant increased life span and three years warranty. The Antec HCG series consists of five models with capacities spanning from 400W to 900W. Here we should note that we have already tested the HCG-620 in a previous review and were quite satisfied With its performance. In today's review we will rigorously test the Antec HCG-520, which as its model number implies, has 520W capacity and contrary to Antec's strong beliefs in multi-rail PSUs is equipped...

Figure 2: The probability assigned to tokens generated by Beam Search and humans, given the same context. Note the increased variance that characterizes human text, in contrast with the endless repetition of text decoded by Beam Search.

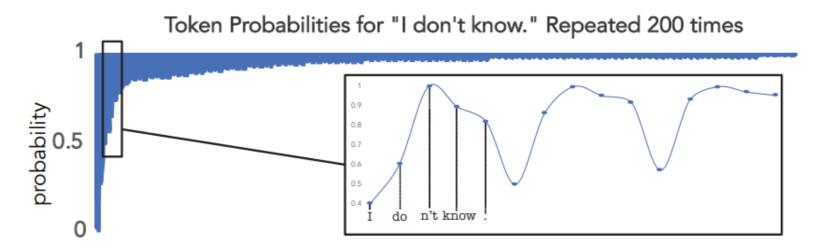


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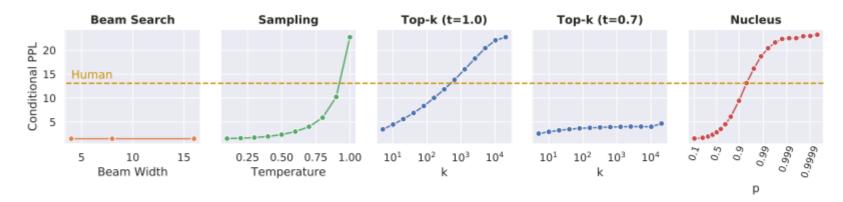


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#### Natural Language does not Maximize probability

- Why human-written text is not the most probable text?
- Grice's Maxims of Communication
- people optimize against stating the obvious
- making every word as predictable as possible will be disfavored

# **Distributional Statistical Evaluation Zipf Distribution Analysis**

- Zipf's law
- exponential relationship between the rank of a word and its frequency in text.

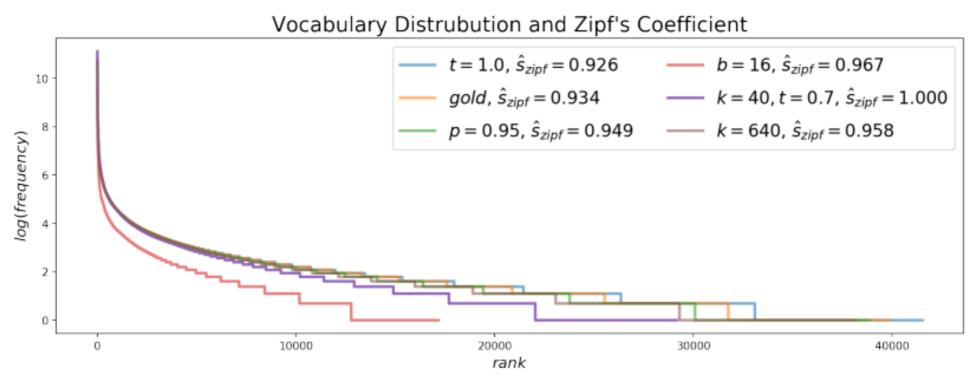


Figure 7: A rank-frequency plot of the distributional differences between n-gram frequencies of human and machine text. Sampling and Nucleus Sampling are by far the closest to the human distribution, while Beam Search clearly follows a very different distribution than natural language.

# **Distributional Statistical Evaluation Self-BLEU**

- Self-BLEU (Zhu et al., 2018)
- as a metric of diversity
- lower Self-BLEU score implies higher diversity.

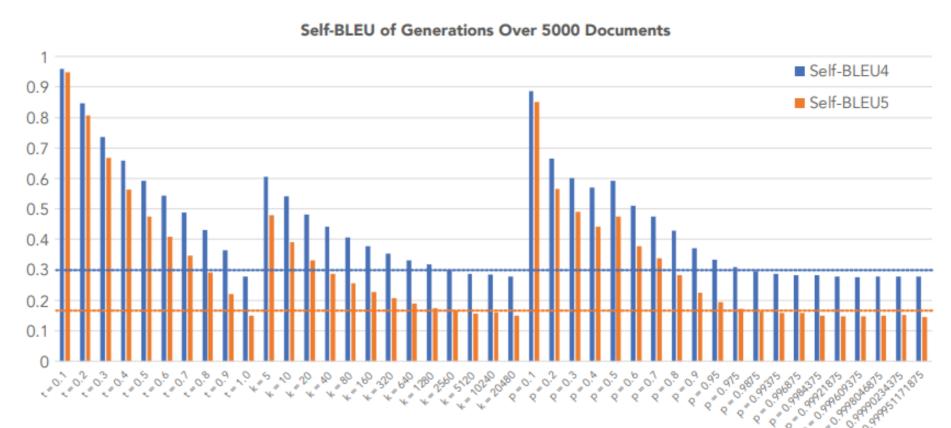


Figure 8: Self-BLEU calculated on the unconditional generations produced by stochastic decoding methods; lower Self-BLEU scores imply higher diversity. Horizontal blue and orange lines represent human self-BLEU scores. Note how common values of  $t \in [0.5, 1]$  and  $k \in [1, 100]$  result in high self-similarity, whereas "normal" values of  $p \in [0.9, 1)$  closely match the human distribution of text.

# **Distributional Statistical Evaluation Repetition**

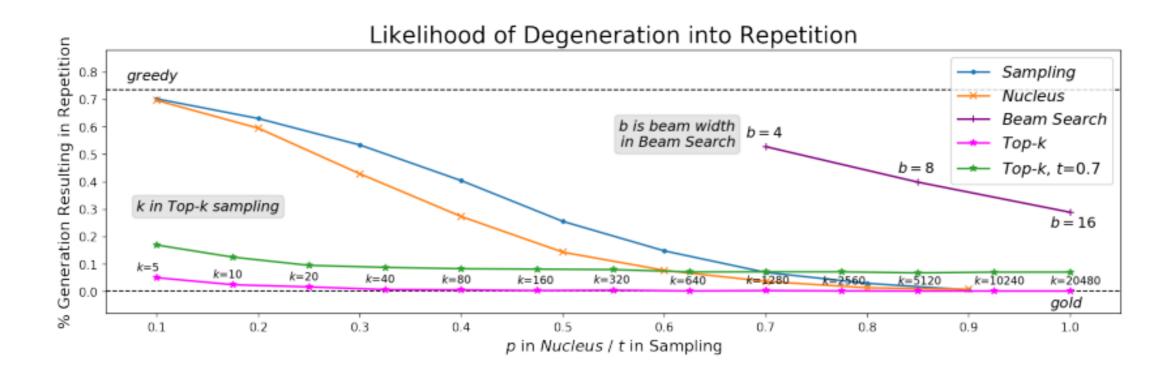


Figure 9: We visualize how often different decoding methods get "stuck" in loops within the first 200 tokens. A phrase (minimum length 2) is considered a repetition when it repeats at least **three** times at the *end* of the generation. We label points with their parameter values except for t and p which follow the x-axis. Values of k greater than 100 are rarely used in practice and values of p are usually in [0.9, 1); therefore Nucleus Sampling is far closer to the human distribution in its usual parameter range. Sampling with temperatures lower than 0.9 severely increase repetition. Finally, although beam search becomes less repetitive according to this metric as beam width increases, this is largely because average length gets shorter as p increases (see Appendix A).

### **Human Evaluation**

#### **Human Unified with Statistical Evaluation(HUSE)**

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### **Summary and Take-home message**

- Maximization based Method (repetition loop, incoherence problem)
  - -> Sampling Method
- Top-K sampling -> Nucleus Sampling(Top-p sampling)

