

Second Round Reviews

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Recommendation Submitted by: David VanderZwaag

The authors have substantially addressed most of the reviewer comments but there are still some wording issues and more substantive issues that should be addressed.

Wording issues:

Line 22...should read United Nations Law of the Sea Convention

- We have addressed this comment

Line 28...UNCLOS is binding international law. Do authors mean not a domestic law?

- We originally meant to state that UNCLOS creates an incentive for states to cooperate but these are not obliged to do so. We note that this was confusing and have modified the sentence. It now reads:

Under Article 63, UNCLOS incentivises actions to cooperate on the management of shared stocks (United Nations 1986) as often management success depends on effective cooperation between parties (Miller and Munro 2002; Sumaila 2013).

Line 122...celebrate? Better wording might be...have used a.

- We have changed the wording as suggested

Line 125...where nor were.

- We have addressed this comment

Line 350...wording needs correction...within across.

- We have addressed this comment, it now reads:

The potential adaptation of side payments in terms of quota swaps or allocating EEZ-fishing rights across the Gulf of Maine EEZs could be a potential solution as stocks shift due to climate change.

Line 377...Bering Sea not Berring Sea

- We have addressed this comment

There are quite a few past/present tense issues which will need to be corrected before publication.

- We have addressed this comment

Substantive issues:

1. A major problem still surrounds the treatment of NAFO in this paper and the proposition that NAFO involves joint Canada-USA management. NAFO only manages those stocks that are in the Regulatory Area (high seas) and those that straddle the EEZs of coastal States and the high seas. While NAFO Convention zonal areas are used for domestic management purposes, NAFO does not manage fisheries within the EEZs of Canada and the United States. The NAFO website presently only lists 19 stocks and 11 species subject to NAFO management. Table 1 suggests 26 NAFO managed species and also states that the Gulf of Maine Agreement (should be Arrangement) species are also managed by NAFO. That is not correct. If the NAFO treatment is retained in the paper, then the notion that the

stock share ratio will only be a question between Canada and the United States needs to be reconsidered. There are 12 Parties to the NAFO Convention.

- We thank the editor for pointing out this particular issue. We agree with the comment regarding the straddling nature of NAFO's stocks and decided to focus the paper on the two case studies. We believe the paper does not lose its main focus with this change as most of our discussion was already based on the IPHC and Gulf of Maine case studies. On the contrary, this change made the article more concise and on point. Moreover, the manuscript now aligns even more with other papers in the Special Feature (e.g Sumaila et al. uses the same species). We show here the specific changes of each section that are reflected in the manuscript:

Introduction. No changes.

Methods. Treaties table has been removed and the first paragraph now reads;

The current study used the International Pacific Halibut Commission (IPHC) and the Gulf of Maine arrangement (hereafter referred as GoMA) as case studies to discuss the implications that climate change could have in the management of transboundary stocks. For the IPHC, we used the most updated spatial regulatory data along its 12 regulatory areas (IPHC and Gustafson 2017; IPHC 2019). For this specific case, we considered Alaska as a separate entity, the US contiguous states as a second one (Washington, Oregon and California), and lastly British Columbia (Canada). For the GoMA we used the Northwest Atlantic Fisheries Organization's (NAFO) divisions 5Y, 5Ze, and 4X[NAFO] within latitudes 46.2°N and 41.5°S, and longitudes -72°W and -64°E (Fig. 1). Is worth mention that, while NAFO's regulatory areas were used in this study for domestic management, NAFO does not manage fisheries within the EEZs of Canada and the United States. Fisheries data was gathered from the Sea Around Us from 1951 to 2014 (Zeller et al. 2016).

Results. The first section of the results referent to all species was removed along with Figure 1 and Table 1. Results now focus on each one of the case studies but no text was added.

Discussion. We only modified the first paragraph that now reads:

The results of the present study suggest that climate change will alter the MCP of jointly managed transboundary fish stocks in North America consequently altering Canada's and the US's species' stock-share ratio, regardless of the climate change scenario. These results are aligned with regional (Morley et al. 2018) projections suggesting that climate change will push marine species towards the poles and deeper water (Pinsky et al. 2013) in search of their ecological niche (Poloczanska et al. 2016). Moreover, IPHC data[IPHC] suggest that some of these shifts are already happening. For example, since 2010, the distribution proportion of Pacific halibut has increased from 9% to 11% in region 2B, from 7.5% to 13% in region 2C, and from 12.3% to 13.5% in region 4CDE. On the other hand, regions 3A and 3B have seen the largest decreases in the IPHC regulatory areas since 2010, from 35.3% to 30.6% and 20.6% to 15.9%, respectively. Similarly, in the Gulf of Maine, the projected stock-share gain of yellowtail flounder and haddock by the US (Fig. 5) follows a historical trend where in 2019, Canada's stock-share decreased from 35% to 32% and 60% to 40% relative to 2010, respectively (Trinko Lake 2019).

Conclusion. No change

2. The paper might provide details on how the distributions of George's Bank stocks have actually been shifting or not since 2010 when the 90% distribution formula became fully operational. Anonymous reviewer A raised this point but it has not been adequately addressed in my opinion.
- We expanded the current paragraph that touches in this matter.

It reads in line XXX__

In the Gulf of Maine, the projected stock-share gain of yellowtail flounder and haddock by the US (Fig. 5) follows a historical trend where Canada's has seen its stock-share decrease (i.e. in 2019 Canada's stock share dropped from 35% to 32% and 60% to 40% relative to 2010, respectively) (Trinko Lake 2019).

It reads in the discussion on line 301:

By allocating quotas based on yearly surveys along the Convention area, the IPHC should be able to capture shifts in Pacific halibut distribution due to climate change, reducing the chances of over exploitation of the stock due to this shifts (Miller et al. 2013). Similarly, for the Gulf of Maine, since the GoMA's method to estimate quota allocation is weighted based on stocks distribution (90%) and historical catch (10%) (TRAC 2016). This process is especially important for cod and haddock due to their distribution variation within the Gulf (Soboil and Sutinen 2006; TRAC 2016). However, since 2010, when the weighted method was implemented, the quota allocation has favored the US over Canada, especially in terms of haddock and yellowtail flounder (Trinko Lake 2019). A perpetuation of this trend with no mitigation policy could jeopardize the arrangement as Canada's quota reduction could disincentive cooperation (see Sumaila et al., this Special Feature).

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