# CS2010 – Data Structures and Algorithms II

Lecture 09 — Finding Shortest Way from Here to There, Part II

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#### **Announcements**

- Online quiz 2 is coming up in 2 weeks (week 11 lab)
- Written quiz 2 is coming up in 3 weeks (week 12 during lecture)
- For both you will be tested on material from Lecture 6 to Lecture 9

#### Outline

#### Four special cases of the classical SSSP problem

- Special Case 1: The graph is a tree
- Special Case 2: The graph is unweighted
- Special Case 3: The graph is directed and acyclic (DAG)
- Special Case 4ab: The graph has no negative weight edge/cycle
  - Introduce a new SSSP algo (Dijkstra's algorithm)

#### Basic Form and Variants of a Problem

In this lecture, we will *revisit* the same topic that we have seen in the previous lecture:

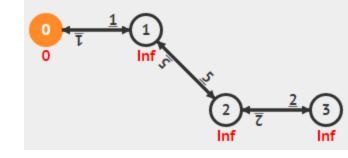
The Single-Source Shortest Path (SSSP) problem

An idea from the previous lecture and this one (and also from our PSes so far) is that a certain problem can be made 'simpler' if some assumptions are made

- These variants (special cases) may have better algorithm
  - PS: It is true that some variants can be more complex than their basic form, but usually, we made some assumptions in order to simplify the problems ©

## Special Case 1:

The weighted graph is a **Tree** 



When the weighted graph is a tree, solving the SSSP problem becomes much easier as every path in a tree is a shortest path. Q1: Why?

There won't be any negative weight cycle. Q2: Why?

Thus, any **O(V)** graph traversal, i.e. **either DFS or BFS** can be used to solve this SSSP problem.

Q3: Why O(V) and not the standard O(V+E)?

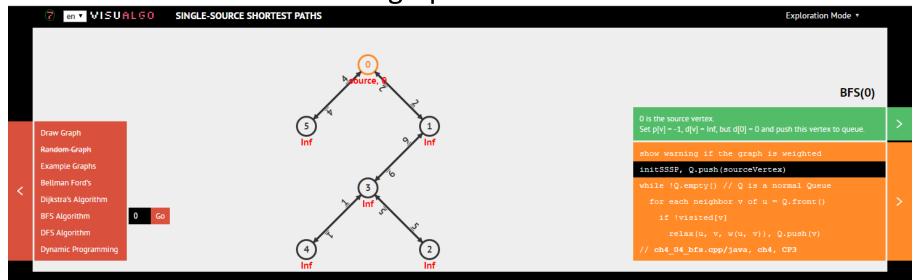
Important note: You can try this on PS5 Subtask A ©

## Try in VisuAlgo!

(use DFS/BFS)

Try finding the shortest paths from source vertex 0 to other vertices in this weighted (undirected) tree

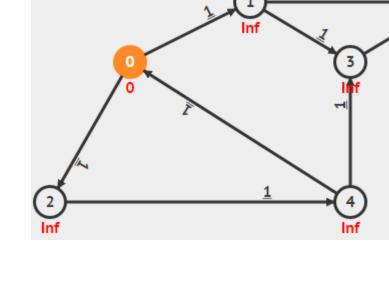
- Notice that you will always encounter unique (simple) path between those two vertices
- Try adding negative weight edges, it does not matter if the graph is a tree ©



## Special Case 2:

The graph is unweighted

This has been discussed last week ©



Solution: O(V+E) BFS

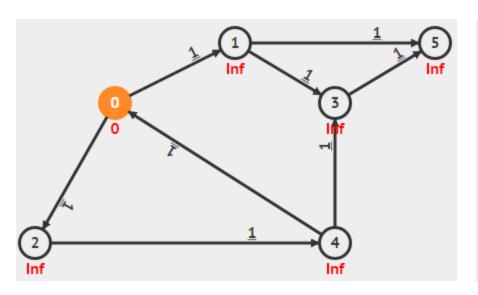
#### Important note:

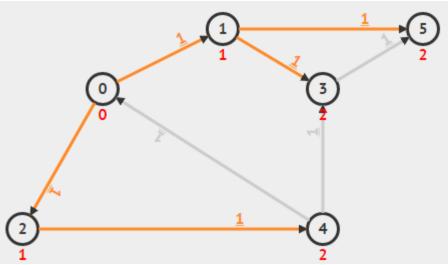
- For SSSP on unweighted graph, we can <u>only</u> use BFS
- For SSSP on tree, we can use <u>either</u> DFS/BFS
- You can try this on PS5 Subtask A

## Try in VisuAlgo!

This graph is unweighted (i.e. all edge weight = 1)

Try finding the shortest paths from source vertex 0 to other vertices using **BFS** 



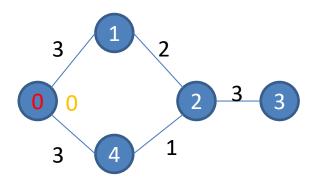


## Special Case 3:

The weighted graph is directed & acyclic (DAG)

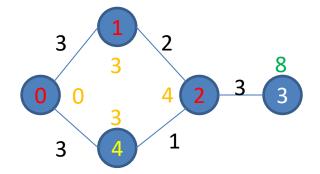
#### Cycle is a major issue in SSSP

 Can cause an edge to be relaxed multiple times (depending on order of edge relaxation) as multiple paths can use the same edge



Solve SSSP at source vertex 0

Order of edge relaxation 0-1, 0-4, 1-2, 2-3, 4-2



After one pass

SP to vertex 3 not yet found because sequence of edge relaxation caused the the longer path (0,1,2,3) to be found first before the shorter path (0,4,2,3)

## Special Case 3:

The weighted graph is **directed** & **acyclic** (DAG)

When the graph is **acyclic** (has no cycle), we can "modify" the Bellman Ford's algorithm by replacing the outermost **V**-1 loop to just **one pass** 

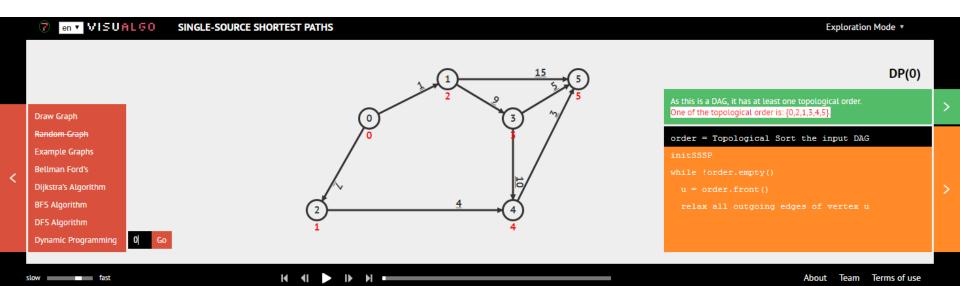
- i.e. we only run the relaxation across all edges once
  - But in topological order, recall toposort in Lecture 06

More details later in the introductory lecture on Dynamic Programming (Week 10)

## Try in VisuAlgo!

One Topological Sort of the given DAG is {0, 2, 1, 3, 4, 5}

- Try relaxing the outgoing edges of vertices
  listed in the topological order above (starting from the source vertex, 0 in this case)
  - With just one pass, all vertices will have the correct D[v]
    - (This will be revisited in Lecture 10)



## Special Case <u>4a</u>:

The graph has no negative weight edge

**Bellman Ford's algorithm** works fine for all cases of SSSP on weighted graphs, but it runs in **O(VE)**...  $\stackrel{\textstyle ext{ iny SSSP}}{}$ 

For a "reasonably sized" weighted graphs with
 V ~ 1000 and E ~ 100000 (recall that E = O(V²) in a complete simple graph), Bellman Ford's is (really) "slow"...

For many practical cases, the SSSP problem is performed on a graph where all its edges have non-negative weight

Example: Traveling between two cities on a map (graph)
usually takes positive amount of time units

Fortunately, there is a *faster* SSSP algorithm that exploits this property: The **Dijkstra's** algorithm

The 'original version'

#### **DIJKSTRA'S ALGORITHM**

# Key Ideas of (the original) Dijkstra's Algorithm (1)

(for graphs with no negative weight edge)

#### Formal assumption:

• For each edge(u, v)  $\in$  E, we assume w(u, v)  $\ge$  0 (non-negative)

#### Key ideas of (the original) Dijkstra's algorithm:

- Maintain a set Solved of vertices whose final shortest path weights have been determined, initially Solved = {s}, the source vertex s only
- Repeatedly select vertex u in {V-Solved} with the min shortest path estimate D[u], add u to Solved, and relax all edges out of u
  - This entails the use of a kind of "Priority Queue", Q: Why?
  - This choice of relaxation order is "greedy": Select the "best so far"
    - Once added to Solved greedily, a vertex is never again enqueued in the PQ
    - But it eventually ends up with optimal result (see the proof later)

## Key Ideas of (the original) Dijkstra's Algorithm (2)



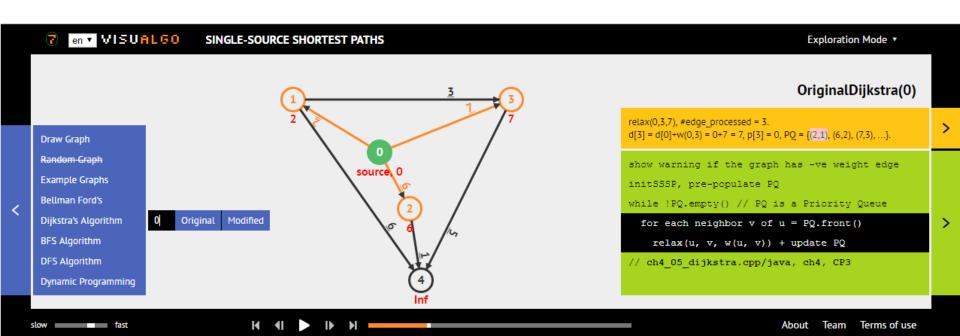
More details on Key idea of Dijkstra's algorithm:

- PQ: Store the shortest path estimate for a vertex v as an IntegerPair
   (d, v) in the PQ, where d = D[v] (current shortest path estimate)
- 2. Initialization: Enqueue (inf, $\mathbf{v}$ ) for all vertices  $\mathbf{v}$  except for source  $\mathbf{s}$  which will enqueue (0, $\mathbf{s}$ ) into the PQ
  - PQ will store integer pair for all vertices at the start
- 3. Main loop: Keep removing vertex u with minimum d from the PQ, add u to Solved and relax all its outgoing edges (see point 4.) until the PQ is empty
  - When PQ is empty all the vertices will be in Solved
- 4. If an edge (**u**,**v**) is relaxed find the vertex **v** it is pointing to in the PQ and "update" the shortest path estimate
  - Need to find v quickly and perform PQ "DecreaseKey" operation (no Java PQ ☺)

## SSSP: Dijkstra's (Original)

Ask VisuAlgo to perform Dijkstra's (Original) algorithm *from various sources* on the sample Graph (CP3 4.17)

The screen shot below shows the *initial stage* of **Dijkstra(0)** (the original algorithm)



## Why Does This Greedy Strategy Works? (1)

i.e. why is it sufficient to only process each vertex just once?

Loop invariant = Every vertex v in set **Solved** has correct shortest path distance from source, i.e  $D[v] = \delta(s, v)$ 

• This is true initially, Solved =  $\{s\}$  and  $D[s] = \delta(s, s) = 0$ 

Dijkstra's algorithm iteratively adds the next vertex **u** with the lowest **D[u]** into set **Solved** 

- Is the loop invariant always valid?
- Let's see the next short proof first

# Lemma 1: Subpaths of a shortest path are shortest paths

Let **p** be the shortest path:  $p = \langle v_0, v_1, v_2, ..., v_k \rangle$ Let **p**<sub>ij</sub> be the subpath of **p**:  $p_{ij} = \langle v_i, v_{i+1}, ..., v_j \rangle, 0 \le i \le j \le k$ Then **p**<sub>ii</sub> is a shortest path (from **i** to **j**)

Proof by contradiction:

- Let the shortest path  $\mathbf{p} = \mathbf{v_0}$   $\mathbf{p_{0i}} \quad \mathbf{v_i} \quad \mathbf{p_{ij}} \quad \mathbf{v_j} \quad \mathbf{v_k}$
- If  $\mathbf{p}_{ij}$  is not the shortest path, the we have another  $\mathbf{p}_{ij}$  that is shorter than  $\mathbf{p}_{ij}$ . We can then cut out  $\mathbf{p}_{ij}$  and replace it with  $\mathbf{p}_{ii}$ , which result in a shorter path from  $\mathbf{v}_0$  to  $\mathbf{v}_k$
- But **p** is the shortest path from  $v_0$  to  $v_k \rightarrow$  contradiction!
- Thus p<sub>ij</sub> must be a shortest path between v<sub>i</sub> and v<sub>j</sub>

## Lemma 2: After a vertex v is added to **Solved**, D[v] will not change thereafter

#### Proof by contradiction:

- Let p be path from s to v when v was added to Solved
   p = s v (u is predecessor of v)
- Suppose at some later point there is a better path p'
   p' = s u' → v (u' is the predecessor of v)
- By the algorithm u' must have been added to Solved later than u
  or v, otherwise p' would have be found earlier then p
  - When v is added, D[v] is the smallest among all other vertices not in Solved.
  - Since edge weights are positive, D[u'] >= D[v], therefore D[u'] >= D[u]
- Cost(p') < Cost(p) means that w(u',v) is −ve. → contradiction!</li>

### Why Does This Greedy Strategy Works? (2)

i.e. why is it sufficient to only process each vertex just once?

Loop invariant = Every vertex v in set **Solved** has correct shortest path distance from source, i.e  $D[v] = \delta(s, v)$ 

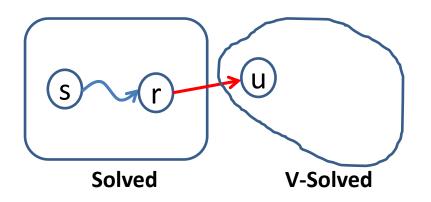
#### Proof by contradiction:

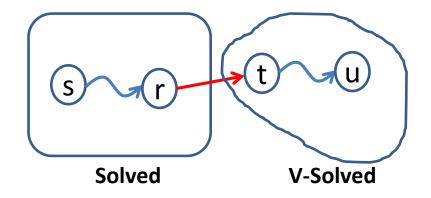
- Suppose u is the first vertex added to **Solved** where D[u] !=  $\delta$ (s, u)
- Observation
  - 1. u cannot be source s since  $D[s] = \delta(s, s) = 0$ , so it must be some later vertex added to **Solved**.
  - 2. If u is reachable from s, there must be a path from s to u.
  - 3. If there is a path there must be a shortest path from s to u.
  - 4. Due to Lemma 2, any vertex v added to **Solved** before u must have  $D[v] = \delta(s, v)$  computed at the point they are added

### Why Does This Greedy Strategy Works? (3)

i.e. why is it sufficient to only process each vertex just once?

There are then 2 possible configurations for the SP from s to u, and this SP was not chosen when u is added to **Solved**.



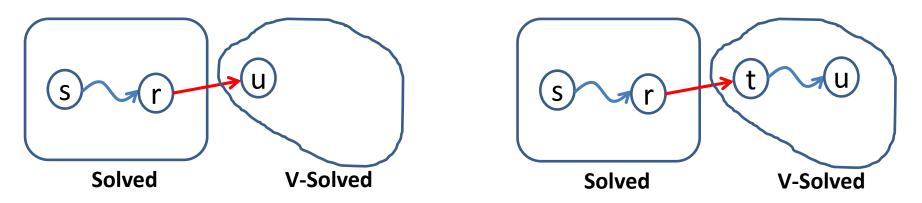


1<sup>st</sup> configuration

2<sup>nd</sup> configuration

### Why Does This Greedy Strategy Works? (4)

i.e. why is it sufficient to only process each vertex just once?



- D[r] =  $\delta(s, r)$  by assumption that u is the first vertex where D[u] !=  $\delta(s, u)$
- For 1<sup>st</sup> configuration all out-going edges relaxed when r added to **Solved**,  $D[u] = \delta(s, u)$  must be computed when u is added.  $\rightarrow$  Contradiction!
- For 2<sup>nd</sup> configuration (right figure)
  - Both t and u are in V-Solved when u is chosen to be added to Solved.
  - By Lemma 1,  $s \rightarrow t$  is a SP since it is a subpath along SP from s to u.
  - Just like 1<sup>st</sup> configuration, when r added to **Solved**, D[t] =  $\delta$ (s, t) must have been found and D[t] >= D[u]
  - By the algorithm, t must be picked to be added to Solved before u. →
     Contradiction that both t and u are in V-Solved when u is chosen!

## Original Dijkstra's – Analysis (1)

In the original Dijkstra's, each vertex will only be inserted and extracted from the priority queue **once** 

- As there are V vertices, we will do this max O(V) times
- Each insert/extract min runs in O(log V) (since at most V items in the PQ) if implemented using binary min heap, ExtractMin() as discussed in Lecture 02 or using balanced BST, findMin() as discussed in Lecture 03-04

Therefore this part is O(V log V)

## Original Dijkstra's – Analysis (2)

Every time a vertex is processed, we relax its neighbors

- In total, all O(E) edges are processed (and only once for each edge)
- If by relaxing edge(u, v), we have to decrease D[v], we call the O(log V) DecreaseKey() in binary min heap (harder to implement) or simply delete old entry and then re-insert new entry in balanced BST (which also runs in O(log V), but this is much easier to implement)
  - \*\*PS: The easiest implementation is to use Java TreeSet as the PQ

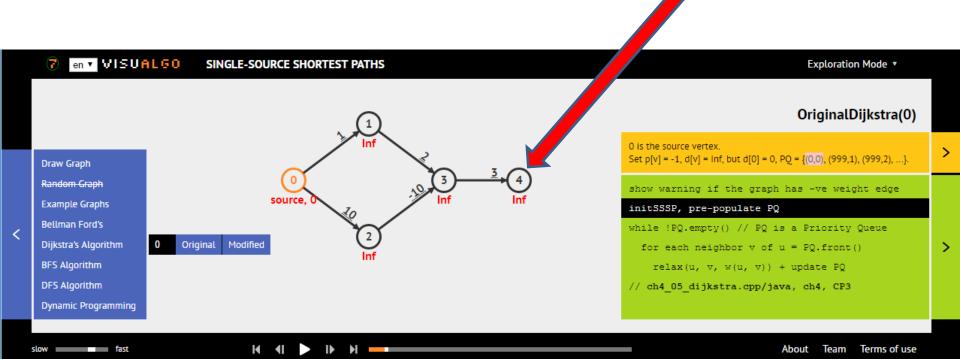
This part is O(E log V)

Thus overall, Dijkstra's runs in O(V log V + E log V), or more well known as an O((V+E) log V) algorithm

## Wait... Let's try this!

Ask VisuAlgo to perform Dijkstra's (Original) algorithm from source = 0 on the sample Graph (CP3 4.18)

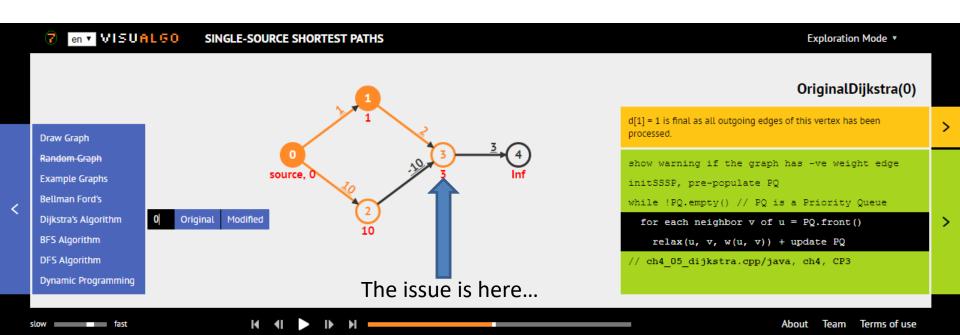
Do you get correct answer at vertex 4?



## Why Does This Greedy Strategy Not Work This Time ©?

The presence of negative-weight edge can cause the vertices "greedily" chosen first eventually not to have the true shortest path from the source!

It happens to vertex 3 in this example



The 'modified' implementation

#### **DIJKSTRA'S ALGORITHM**

## Special Case <u>4b</u>:

The graph has no negative weight cycle

For many practical cases, the SSSP problem is performed on a graph where its edges may have **negative weight but it has no negative cycle** 

- Example: Traveling between two cities on a map (graph) using electric car with battery to minimize battery usage:
  - We take (+) energy from the battery if the road is flat or go uphill
  - We recharge the battery (i.e. take -energy) if the road goes downhill
  - But we cannot keep cycling around to recharge the battery forever due to kinetic energy loss, etc

We have another version of Dijkstra's algorithm that can handle this case: The **Modified Dijkstra's** algorithm

## Modified Implementation (1) of Dijkstra's Algorithm (CP3, Section 4.4.3)

Formal assumption (different from the original one):

- The graph has no negative weight cycle (but can have negative weight edges)
   Key ideas:
- Allow a vertex to be possibly processed multiple times as detailed below and in the next slide
- Use a built-in priority queue in Java Collections to order the next vertex u to be processed based on its D[u]
  - This vertex information is stored as IntegerPair (d, u) where d = D[u] (the current shortest path estimate)
- But with modification: We use "Lazy Data Structure" strategy
  - Main idea: No need to maintain just one IntegerPair (shortest path estimate) for each vertex v in the PQ
  - Can have multiple shortest path estimates to exist in the PQ for a vertex v

## Modified Implementation (2) of Dijkstra's Algorithm (CP3, Section 4.4.3)

Lazy DS: Extract pair (d, u) in front of the priority queue PQ with the minimum shortest path estimate so far

- if d = D[u], we relax all edges out of u,
   else if d > D[u], we discard this inferior (d, u) pair
  - Since there can be multiple copies of (d, u) pair we only want the most up to date copy
  - See below to understand how we get multiple copies!
- If during edge relaxation, **D[v]** of a neighbor **v** of **u** decreases, enqueue a new (**D[v]**, **v**) pair for future propagation of shortest path estimate
  - No need to find the v in the PQ and update it!
  - Thus no need to implement **DecreaseKey** (which you don't have in Java PriorityQueue class) or need BST implementation of PQ!

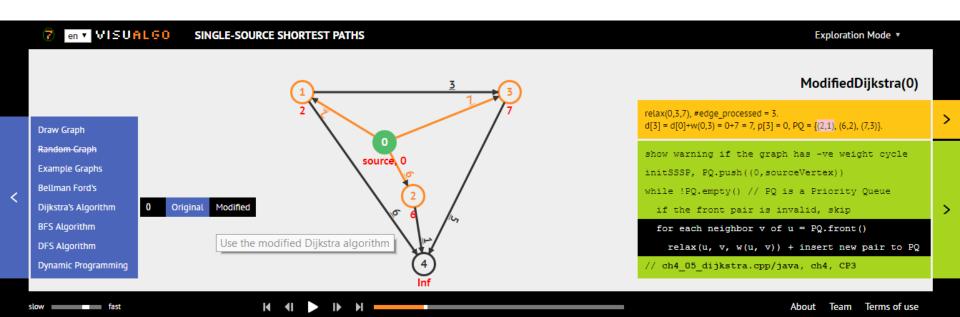
## Modified Dijkstra's Algorithm

```
initSSSP(s)
PQ.enqueue((0, s)) // store pair of (dist[u], u)
while PQ is not empty // order: increasing dist[u]
  (d, u) \leftarrow PQ.dequeue()
  if d == D[u] // important check, lazy DS
    for each vertex v adjacent to u
      if D[v] > D[u] + w(u, v) // can relax
        D[v] = D[u] + w(u, v) // relax
        PQ.enqueue ((D[v], v)) // (re) enqueue this
```

## SSSP: Dijkstra's (Modified)

Ask VisuAlgo to perform Dijkstra's (Modified) algorithm *from various sources* on the sample Graph (CP3 4.17)

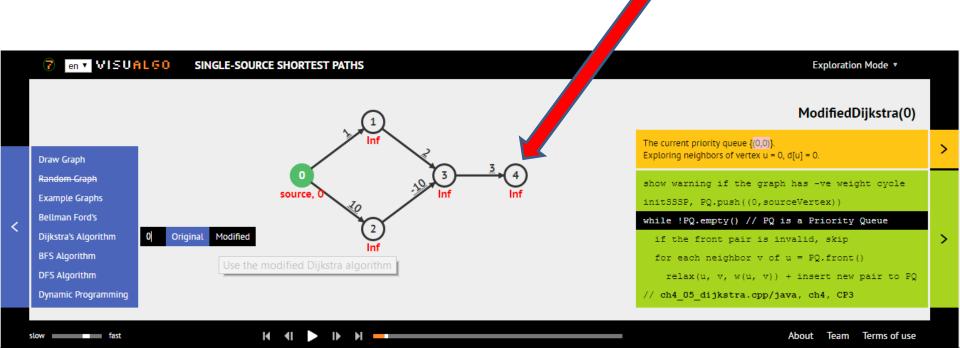
The screen shot below shows the *initial stage* of **Dijkstra(0)** (the modified algorithm)



## Try!

Ask VisuAlgo to perform Dijkstra's (modified) algorithm from source = 0 on the sample Graph (CP3 4.18)

Do you get correct answer at vertex 4?



### Modified Dijkstra's – Analysis (1)

for graphs with no negative weight edge

We **prevent** a processed vertex **v** to be re-processed again if its **d > D[u]** (inferior/outdated copy)

If there is **no-negative weight edge**, there will never be another path that can decrease **D[u]** once **u** is greedily processed (i.e relax all its outgoing edges). **Q: Why? (PS: we have just seen this case)** 

- Each vertex will still be processed from the PriorityQueue once;
   or vertices are greedily processed O(V) times
- Each extract min still runs in O(log V) with Java PriorityQueue (essentially a binary heap), thus O(Vlog V) in total
  - PS: There can be more than one copies of u in the PriorityQueue, but this will not affect the O(log V) complexity, see the next slide

### Modified Dijkstra's – Analysis (2)

for graphs with no negative weight edge

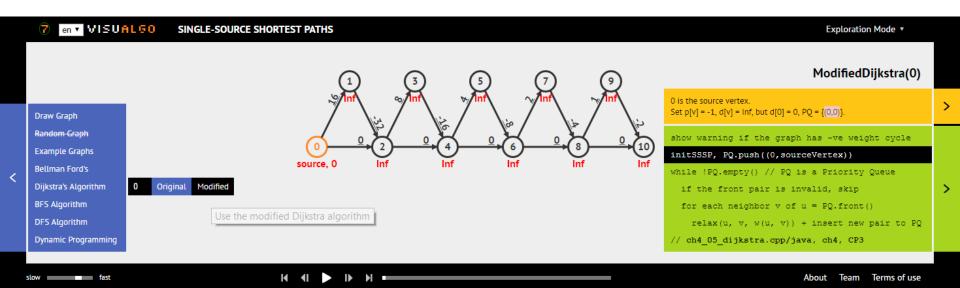
Every time a vertex is processed, we try to relax all its neighbors, in total all O(E) edges are processed

- If relaxing edge(u, v) decreases D[v], we re-enqueue the same vertex (with better shortest path estimate), then duplicates may occur, but the previous check (see previous slide) prevents reprocessing of this inferior (D[v], v) pair
  - At most O(V) copies of inferior (D[v], v) pair if each edge to v causes a relaxation
  - And at most O(E) pairs in the PQ
- So each insert/extractMin still runs in O(log V) in PriorityQueue/Binary heap for a total of O(Elog V)
  - Thus  $O(\log E) = O(\log V^2) = O(2 \log V) = O(\log V)$
- Thus in overall, modified Dijkstra's run in O((V+E) log V) if there is no negative weight edge

## Not an all-conquering algorithm... Check this

If there are negative weight edges without negative cycle, then there exist some (extreme) cases where the modified Dijkstra's re-process the same vertices several/many/crazy amount of times...

Your Lab TA will discuss this case on Monday of Week10



#### About that Extreme Test Case

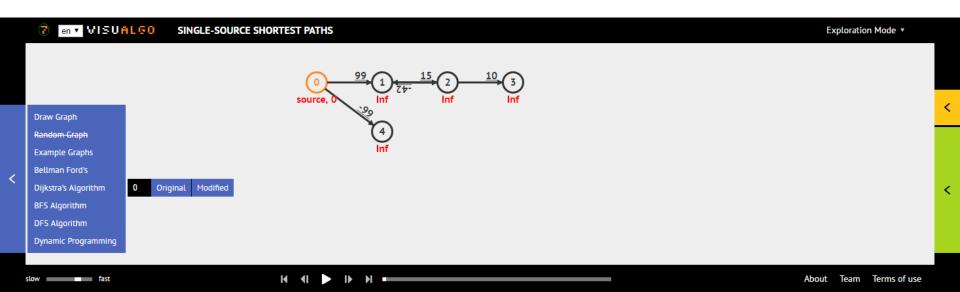
Such extreme cases that causes *exponential time complexity* are *rare* and thus in practice, the modified Dijkstra's implementation runs <u>much faster</u> than the Bellman Ford's algorithm ©

- If you know your graph has only a few (or no) negative weight edge, this version is probably one of the best current implementation of Dijkstra's algorithm
- But, if you know for sure that your graph has a high probability of having a negative weight cycle, use the tighter (and also simpler) O(VE) Bellman Ford's algorithm as this modified Dijkstra's implementation can be <u>trapped in an infinite loop</u>

## Try Sample Graph, CP3 4.19!

Find the shortest paths from s = 0 to the rest

- Which one can terminate?
   The original or the modified Dijkstra's algorithm?
- Which one is correct when it terminates?
   The original or the modified Dijkstra's algorithm?



## Java Implementation

There is <u>no</u> DijkstraDemo.java this time (you will implement the pseudo-code shown in this lecture by yourself when you do your PS5 Subtask B

But I will show the algorithm performance on:

- Small graph without negative weight cycle
  - OK
- Small graph with some negative edges; no negative cycle
  - Still OK ☺
- Small graph with negative weight cycle
  - SSSP problem is ill undefined for this case
  - The modified Dijkstra's can be trapped in infinite loop

### Summary of Various SSSP Algorithms

- General case: weighted graph
  - Use O(VE) Bellman Ford's algorithm (the previous lecture)
- Special case 1: Tree
  - Use O(V) BFS or DFS ☺
- Special case 2: unweighted graph
  - Use O(V+E) BFS ☺
- Special case 3: DAG (precursor to DP, revisited next week)
  - Use O(V+E) DFS to get the topological sort,
     then relax the vertices using this topological order
- Special case 4ab: graph has no negative weight/negative cycle
  - Use O((V+E) log V) original/modified Dijkstra's, respectively

## PS5\* should now be doable ⓒ (Released today at 12noon)

Subtask A (easy – use what you learn today + something from previous PS), Subtask B (easy/medium – today + something from previous PS),

Subtask C (can be hard)