## Gordon Research

10/30/24

```
class KANLinear(torch.nn.Module):
   def __init__(
       self,
       in features,
       out features,
       grid size=5,
       spline order=3,
       scale noise=0.1,
       scale_base=1.0,
        scale spline=1.0,
       enable standalone scale spline=True,
       base activation=torch.nn.SiLU,
       grid eps=0.02,
       grid_range=[-1, 1],
```

- KANLinear class is custom PyTorch layer designed to integrate linear transformations with kernel-based techniques

   B-spline interpolation

   grid\_size: Sets the resolution of the B-spline grid.
  - Higher values allow finer detail in the spline representation.
- spline\_order: Determines the order of the B-spline (how smooth the curves are). Common values are 2 or 3.
- scale\_noise, scale\_base, scale\_spline: control scaling factors for noise, the base transformation, and spline transformation

- enable\_standalone\_scale\_spline: A boolean flag indicating whether each spline connection has its unique scaling parameter
- base\_activation: The activation function applied to the base layer, set to torch.nn.SiLU by default.
- grid\_eps: An epsilon value used for fine-tuning the grid.
- grid\_range: Specifies the range within which the grid operates, typically between -1 and 1.

## **Grid creation**

- Computes the step size h to ensure the grid spans from grid\_range[0] to grid\_range[1].
- The grid tensor stores the grid points as a buffer (non-learnable parameter) for spline interpolation.

```
h = (grid range[1] - grid range[0]) / grid size
grid =
       torch.arange(-spline order, grid size + spline order + 1) * h
        + grid range[0]
    .expand(in features, -1)
    .contiguous()
self.register buffer("grid", grid)
```

```
- 1 / 2
    * self.scale noise
   / self.grid size
self.spline weight.data.copy (
    (self.scale spline if not self.enable standalone scale spline else 1.0)
   * self.curve2coeff(
        self.grid.T[self.spline order : -self.spline order],
       noise,
if self.enable_standalone_scale_spline:
   # torch.nn.init.constant_(self.spline_scaler, self.scale_spline)
   torch.nn.init.kaiming_uniform_(self.spline_scaler, a=math.sqrt(5) * self.scale_spline)
```

torch.nn.init.kaiming\_uniform\_(self.base\_weight, a=math.sqrt(5) \* self.scale base)

torch.rand(self.grid size + 1, self.in features, self.out features)

def reset parameters(self):

with torch.no\_grad():
 noise = (

torch.nn.init.kaiming\_uniform\_(self.base\_weight, a=math.sqrt(5) \* self.scale\_base)

- Purpose: Initializes the base\_weight matrix using Kaiming (He) uniform initialization, which is commonly used for layers with ReLU-based activations.
- Details: Kaiming initialization helps maintain stable variance throughout the network, which is crucial for effective learning. The a=math.sqrt(5) \* self.scale\_base scales the weight matrix giving control over the magnitude of the initialized weights.

```
with torch.no grad():
   noise = (
            torch.rand(self.grid_size + 1, self.in_features, self.out features) - 1 / 2
        * self.scale noise
        / self.grid size
```

Details: \* self.scale\_noise / self.grid\_size: Scales the noise based on the

Purpose: Creates a tensor noise that will be added to the spline weights.

scale noise and grid size parameters, controlling its magnitude

 Purpose: computes the B-spline basis functions for a given input tensor x. This function is essential for creating the spline transformation, allowing the layer to map inputs smoothly based on the spline basis.

```
def b splines(self, x: torch.Tensor):
```

```
Args:
    x (torch.Tensor): Input tensor of shape (batch size, in features).
Returns:
    torch. Tensor: B-spline bases tensor of shape (batch size, in features, grid size + spline order).
assert x.dim() == 2 and x.size(1) == self.in features
grid: torch. Tensor = (
    self.grid
) # (in_features, grid_size + 2 * spline_order + 1)
x = x.unsqueeze(-1)
bases = ((x \ge grid[:, :-1]) & (x < grid[:, 1:])).to(x.dtype)
for k in range(1, self.spline order + 1):
    bases = (
        (x - grid[:, : -(k + 1)])
        / (grid[:, k:-1] - grid[:, : -(k + 1)])
        * bases[:, :, :-1]
   ) + (
        (grid[:, k + 1:] - x)
        / (grid[:, k + 1 :] - grid[:, 1:(-k)])
        * bases[:, :, 1:]
assert bases.size() == (
    x.size(0).
    self.in_features,
    self.grid_size + self.spline_order,
return bases.contiguous()
```

 Loop for Higher Orders: The loop iteratively computes higher-order B-spline bases up to spline\_order. B-splines are calculated recursively, where each order k depends on the previously computed order k-1.

```
bases = (
    (x - grid[:, : -(k + 1)])
    / (grid[:, k:-1] - grid[:, : -(k + 1)])
    * bases[:, :, :-1]
) + (
    (grid[:, k + 1 :] - x)
    / (grid[:, k + 1 :] - grid[:, 1:(-k)])
    * bases[:, :, 1:]
```

for k in range(1, self.spline order + 1):

```
def curve2coeff(self, x: torch.Tensor, y: torch.Tensor):
computes the coefficients for
B-spline interpolation, allowing the
KANLinear layer to fit a curve that
passes through a set of given
points.
function takes input points x and
target output points y and
computes the spline coefficients
needed to produce an
interpolated curve. These
coefficients are returned as a
tensor and stored in spline weight
in the reset parameters method.
```

```
Args:
   x (torch.Tensor): Input tensor of shape (batch size, in features).
   y (torch.Tensor): Output tensor of shape (batch size, in features, out features).
Returns:
```

```
torch. Tensor: Coefficients tensor of shape (out features, in features, grid size + spline order).
....
assert x.dim() == 2 and x.size(1) == self.in features
assert y.size() == (x.size(0), self.in_features, self.out_features)
A = self.b splines(x).transpose(
   0, 1
) # (in features, batch size, grid size + spline order)
B = y.transpose(0, 1) # (in_features, batch_size, out_features)
solution = torch.linalg.lstsq(
   A, B
).solution # (in features, grid size + spline order, out features)
result = solution.permute(
   2, 0, 1
) # (out features, in features, grid size + spline order)
assert result.size() == (
   self.out_features,
   self.in_features,
   self.grid size + self.spline order,
```

return result.contiguous()

Compute the coefficients of the curve that interpolates the given points.

 The forward function applies both a base linear transformation and a spline-based transformation to the input tensor x. It combines these outputs to produce a final result, which enhances the model's ability to capture complex patterns in the data.

```
def forward(self, x: torch.Tensor):
   assert x.size(-1) == self.in features
   original shape = x.shape
   x = x.reshape(-1, self.in_features)
   base_output = F.linear(self.base_activation(x), self.base_weight)
   spline_output = F.linear(
        self.b_splines(x).view(x.size(0), -1),
        self.scaled_spline_weight.view(self.out_features, -1),
   output = base output + spline output
   output = output.reshape(*original_shape[:-1], self.out_features)
   return output
```

```
Purpose:
     Computes a new adaptive grid
     based on the sorted values of the
     input x
     Blends the adaptive grid with a
     uniform grid for flexibility
     Updates the spline weights to fit the
     new grid, recalculating the
     coefficients for B-spline interpolation
```

```
orig coeff = self.scaled spline weight # (out, in, coeff)
orig coeff = orig coeff.permute(1, 2, 0) # (in, coeff, out)
unreduced_spline_output = torch.bmm(splines, orig_coeff) # (in, batch, out)
unreduced spline output = unreduced spline output.permute(
   1, 0, 2
) # (batch, in, out)
# sort each channel individually to collect data distribution
x sorted = torch.sort(x, dim=0)[0]
grid adaptive = x sorted[
    torch.linspace(
        0, batch - 1, self.grid size + 1, dtype=torch.int64, device=x.device
uniform step = (x \text{ sorted}[-1] - x \text{ sorted}[0] + 2 * margin) / self.grid size
grid uniform = (
    torch.arange(
        self.grid size + 1, dtype=torch.float32, device=x.device
    ).unsqueeze(1)
    * uniform step
    + x sorted[0]
    - margin
```

def update grid(self, x: torch.Tensor, margin=0.01):

batch = x.size(0)

assert x.dim() == 2 and x.size(1) == self.in\_features

splines = splines.permute(1, 0, 2) # (in, batch, coeff)

splines = self.b\_splines(x) # (batch, in, coeff)

## Comparison of CNN and KAN on mnist (10 epochs)

CNN

Loss: 0.0267 Loss: 0.0894

Accuracy: 0.99 Accuracy: 0.97

Training time: 4 minutes 10 seconds

Training time: 4 minutes 5 seconds