# Math 110.1

# ABSTRACT ALGEBRA I: Unit III

Course Notes by: Jeremiah Daniel Regalario II-BS Mathematics
University of the Philippines - Diliman
Dr. Lilibeth Valdez

# Rings

### **Definition:**

A  $\underline{ring} \langle R, +, \cdot \rangle$  is a set together with two binary operations + (called addition) and  $\cdot$  (called multiplication) such that the following axioms are satisfied:

- 1.  $\langle R, + \rangle$  is an <u>abelian group</u>.
- 2. Multiplication is *associative*, that is, for all  $a, b, c \in \mathbb{R}$ ,  $(a \cdot b) \cdot c = a \cdot (b \cdot c)$
- 3. For all  $a, b, c \in \mathbb{R}$ ,  $a \cdot (b + c) = a \cdot b + a \cdot c$  and  $(a + b) \cdot c = a \cdot c + b \cdot c$  (*left and right distributive laws holds.*)

### **Examples:**

- 1.  $\mathbb{Z}$  is closed under the usual addition + and multiplication  $\cdot$ .
  - 1.  $\langle \mathbb{Z}, + \rangle$  is an abelian group.
  - 2. :  $\cdot$  is associative.
  - 3. : Left and right distributive laws holds

Thus,  $\langle \mathbb{Z}, +, \cdot \rangle$  is a ring.

2.  $\langle \mathbb{Q},+,\cdot \rangle$ ,  $\langle \mathbb{R},+,\cdot \rangle$  and  $\langle \mathbb{C},+,\cdot \rangle$  are rings.

#### Remarks:

- 1. If the operations + and  $\cdot$  are clear from context we denote the ring  $\langle R, +, \cdot \rangle$  simply by R.
- 2. The identity of the group  $\langle R, + \rangle$  is denoted 0 and is called the <u>zero element</u> of R.
- 3. The inverse of a in the group  $\langle \mathbb{R}, + \rangle$  is denoted -a.
- 4. We write a b for a + (-b).
- 5. To simplify notations, we write ab for  $a \cdot b$ .
- 6. In the absence of parentheses, multiplication is assumed to be performed before addition, that is, ab+c=(ab)+c

# Commutative Rings, Rings with Unity, and Units

#### **Definition:**

Let R be a ring.

- 1. If multiplication in R is commutative, then R is called a *commutative ring*.
- 2. An element  $1_R$  such that  $\forall r \in R, 1_R r = r = r 1_R$  is called a *multiplicative identity* or a *unity*.
- 3. If R has a multiplicative identity, then R is called a <u>ring with unity</u>.
- 4. Suppose R is a ring with unity  $1_R \neq 0$ . An element  $u \in R$  is a  $\underline{unit}$  if u has a multiplicative inverse, that is  $\exists u^{-1} \in \mathbb{R}$  such that  $uu^{-1} = 1_R = u^{-1}u$ .

### Remarks:

- 1. Some rings are <u>not commutative</u> and some have <u>no unity</u>.
- 2. If R has unity, then this unity is unique.
- 3. If R has unity  $1_R$ , then  $1_R$  is a unit in R.
- 4. If R has unity, <u>not all</u> elements in the ring are units.

# **Examples:**

- 1.  $\langle \mathbb{Z}, +, \cdot \rangle$  is a commutative ring with unity 1. The units of  $\mathbb{Z}: 1, -1$ .
- 2.  $\langle \mathbb{Q}, +, \cdot \rangle$ ,  $\langle \mathbb{R}, +, \cdot \rangle$  and  $\langle \mathbb{C}, +, \cdot \rangle$  are commutative rings with unity 1.

Every nonzero element in these rings is a unit.

- 3.  $\langle \mathbb{Z}_n, +_n, \cdot_n \rangle$  is a commutative ring with unity 1. The set of units of  $\mathbb{Z}_n$  is denoted U(n). Exercise: Determine the elements of U(4) and U(5).

  - $U(4) = \{a \in \mathbb{Z}_4 \mid \exists k \in \mathbb{Z} \text{ s.t. } a \cdot_4 k = 1\} = \{1, 3\}$   $U(5) = \{a \in \mathbb{Z}_5 \mid \exists k \in \mathbb{Z} \text{ s.t. } a \cdot_5 k = 1\} = \{1, 2, 3, 4\}$
- 4.  $\langle 2\mathbb{Z}, +, \cdot \rangle$  is a commutative ring with no unity.
- 5. Let  $M_2(\mathbb{R}) = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \middle| a, b, c, d, \in \mathbb{R} \right\}$ . Define + and  $\cdot$  on  $M_2(\mathbb{R})$  as:

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} + \begin{bmatrix} e & f \\ g & h \end{bmatrix} = \begin{bmatrix} a+e & b+f \\ c+g & d+h \end{bmatrix}$$

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} e & f \\ g & h \end{bmatrix} = \begin{bmatrix} ae + bg & af + bh \\ ce + dg & cf + dh \end{bmatrix}$$

Then  $M_2(\mathbb{R})$  is a noncommutative ring with unity:

- + is associative and commutative (Exercise)
- · is associative but not commutative (Exercise)
- left and right distributive laws hold (Exercise)
- zero element:  $\begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$ ; additive inverse:  $\begin{bmatrix} -a & -b \\ -c & -d \end{bmatrix}$ ; unity:  $\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$

# Theorem 2.13

# **Definition:**

Let R be a ring with additive identity 0. Let  $a, b, c \in R$ .

- 1.  $a \cdot 0 = 0 \cdot a = 0$
- 2. a(-b) = (-a)b = -(ab).
- 3. (-a)(-b) = ab
- 4. a(b-c) = ab ac and (a-b)c = ac bc.

#### **Proof:**

- (1.)  $a \cdot 0 + a \cdot 0 = a(0+0) = a \cdot 0$ . By left cancellation,  $a \cdot 0 = 0$ . The proof for  $0 \cdot 0 = 0$ . a=0 follows analogously.
- (2.)  $ab + a(-b) = a(b-b) = a \cdot 0 = 0$ . Since the additive inverse of ab is unique, -(ab) = a(-b). The proof that (-a)b = -(ab) proceeds analogously.

3

(3.) 
$$(-a)(-b) = -[a(-b)] = -[-(ab)] = ab$$

### Remarks:

- 1. If R is a nonzero ring with unity then  $1 \neq 0$ . (Why?)
- 2. If R is a ring with unity and  $a \in R$  then (-1)a = -a. In particular (-1)(-1) = 1.
- 3. Let R be a ring and  $a,b,c\in R$ . If  $a\neq 0$  and ab=ac, then b and c are not necessarily equal.  $(a\neq 0 \land ab=ac\Longrightarrow b=c)$ 
  - e.g. in  $\mathbb{Z}_4$ ,  $2 \cdot_4 1 = 2 = 2 \cdot_4 3$  but  $1 \neq 3$ .
- 4. In a ring R, ab = 0 does not necessarily mean that either a = 0 or b = 0.
  - e.g. in  $\mathbb{Z}_6$ ,  $2 \cdot_6 3 = 0$

# Group of Units of R (Theorem 2.14)

### **Definition:**

Let R be a ring with unity. The units of R form a group under multiplication.

### **Remark:**

The group of units of a ring with unity R is denoted U(R).

#### **Proof:**

• Closure under multiplication: Let  $a,b\in U(R)$ . (WTS:  $ab\in U(R)$ ). Since  $a,b\in U(R)$ ,  $\exists a^{-1},b^{-1}\in R$  such that  $aa^{-1}=bb^{-1}=1$ . Note that  $b^{-1}a^{-1}\in R$  and

$$(b^{-1}a^{-1})(ab) = b^{-1}[(a^{-1})(ab)]$$

$$= b^{-1}[(a^{-1}a)b]$$

$$= b^{-1}[1 \cdot b]$$

$$= b^{-1}b = 1$$

Thus  $(ab)^{-1} = b^{-1}a^{-1}$  and so  $ab \in U(R)$ .

- Associativity of multiplication: Follows from  $\mathcal{R}_2$ .
- Identity element under multiplication: unity  $1 \in U(R)$  has the property that

$$\forall a \in U(R) \subseteq R, a \cdot 1 = 1 \cdot a = a.$$

- Inverse under multiplication: Let  $a \in U(R)$ . Then  $\exists a^{-1} \in R$  such that  $a \cdot a^{-1} = a^{-1} \cdot a = 1$ . From this, we see that  $a^{-1} \in U(R)$ .
- $: \langle U(R), \cdot \rangle$  is a group.

### **Examples:**

- 1.  $U(\mathbb{Z}) = \{1, -1\} \cong \mathbb{Z}_2$
- 2.  $U(\mathbb{Q}) = \mathbb{Q}^*, U(\mathbb{R}) = \mathbb{R}^*, U(\mathbb{C}) = \mathbb{C}^*$
- 3.  $U(\mathbb{Z}_n) = U(n)$  = set of all elements of  $\mathbb{Z}_n$  that are relatively prime to n
- 4.  $U(M_2(\mathbb{R})) = GL(2, \mathbb{R})$

# Fields and Division Rings

### **Definition:**

Let R be a ring with unity  $1 \neq 0$ . If every nonzero element of R is a unit then R is called a <u>division ring</u>.

If R is a commutative division ring, then R is called a *field*.

### **Remarks:**

Let R be a ring with unity  $1 \neq 0$ .

- 1. If R is a field, we write  $\frac{a}{b}$  for  $ab^{-1} = b^{-1}a$ . In particular, we write  $b^{-1} = \frac{1}{b}$ .
- 2. A division ring can be thought of as an algebraic structure that is closed under addition, subtraction, multiplication and division by nonzero elements.
- 3. R is a division ring if and only if  $R^* := R \setminus \{0\}$  is a group.
- 4. R is a field if and only if  $R^* := R \setminus \{0\}$  is an abelian group.

# **Examples:**

- 1.  $\mathbb{Z}$  is not a division ring, and hence not a field.
- 2.  $\mathbb{Q}$ ,  $\mathbb{R}$ ,  $\mathbb{C}$  are fields.
- 3.  $\mathbb{Z}_4$  is not a division ring.  $: 0 \neq 2 \in \mathbb{Z}_4$  is not a unit.
- 4.  $\mathbb{Z}_5$  is a field.

In  $\mathbb{Z}_5$ :

• 
$$\frac{3}{4} = 3 \cdot_5 4^{-1} = 3 \cdot_5 4 = 2$$

• 
$$2\frac{1}{3} = 2 + \frac{1}{3} = 2 + \frac{1}{3} = 2 + \frac{1}{5} = 2$$

# **Subrings and Subfields**

# **Subring**

### **Definition:**

A subset S of a ring R which is also a ring itself under the same operations as in R is called a <u>subring</u> of R.

### Theorem 2.15

Let R be a ring and S a nonempty subset of R. Then S is a subring of R if and only if for all  $a,b\in S, a-b\in S$  and  $ab\in S$ .

### **Proof:**

 $(\Longrightarrow)$  Since S is a ring, then (S,+) is an abelian group hence  $a-b\in S$ .

Also,  $ab \in S$  since  $\cdot$  is a binary operation on S.

 $(\Longleftrightarrow) \text{ Suppose } a-b\in S \text{ and } ab\in S \text{ for all } a,b\in S. \ \mathcal{R}_1: a-b\in S \text{ for all } a,b\in S. \ \mathcal{R}_1$ 

 $S \Longrightarrow \langle S, + \rangle$  is a subgroup of  $\langle R, + \rangle$ . Thus,  $\langle S, + \rangle$  is an abelian group.

 $\mathcal{R}_2$  : and  $\mathcal{R}_3$  : follows since operations in S and R are the same.

### **Remarks:**

Let R be a ring and S a subring of R.

- 1. If R is commutative, then S is also commutative.
- 2. S may be without unity even if R has unity.

# **Subfields**

### **Definition:**

A subset S of a field F which is also a field itself under the same operations as in F is called a *subfield* of F.

### Theorem 2.16

Let F be a field and S a nonempty subset of F. Then S is a subfield of F if and only if the following hold:

- 1.  $S \neq \{0\}$
- 2. for all  $a, b \in S$ ,  $a b \in S$  and  $ab \in S$
- 3. for all  $0 \neq a \in S$ ,  $a^{-1} \in S$  (i.e. every nonzero element is a unit.)

#### **Proof:**

Exercise!

### **Examples:**

1. If R is a ring then  $\{0\}$  (trivial subring) and R (improper subring) are subrings of R.

2.  $\mathbb{Q}$  is a subfield of  $\mathbb{R}$ .

3. For any  $n \in \mathbb{Z}$ ,  $n\mathbb{Z}$  is a subring of  $\mathbb{Z}$ . (Why?) Note that if  $n \neq 1, -1$ , then  $n\mathbb{Z}$  has no unity.

4. Let 
$$D_2(\mathbb{R}) = \left\{ \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix} \middle| a, b \in \mathbb{R} \right\}$$
. Let  $\begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix}$ ,  $\begin{bmatrix} c & 0 \\ 0 & d \end{bmatrix} \in D_2(\mathbb{R})$ , 
$$\begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix} - \begin{bmatrix} c & 0 \\ 0 & d \end{bmatrix} = \begin{bmatrix} a - c & 0 \\ 0 & b - d \end{bmatrix} \in D_2(\mathbb{R})$$
 
$$\begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix} \begin{bmatrix} c & 0 \\ 0 & d \end{bmatrix} = \begin{bmatrix} ac & 0 \\ 0 & bd \end{bmatrix} \in D_2(\mathbb{R})$$

 $D_2(\mathbb{R})$  is a subring of  $M_2(\mathbb{R})$ .

# **Zero Divisors**

### **Definition:**

Let R be a commutative ring. A nonzero element  $a \in R$  is called a <u>zero divisor</u> (or a divisor of zero) if there is a non-zero element  $b \in R$  such that ab = 0.

# **Example:**

- 1. zero divisors of  $\mathbb{Z}_{12}$ : 2, 3, 4, 6, 7, 8, 9, 10
- 2.  $\mathbb{Z}$  has no zero divisors.

### **Theorem 2.17:**

The zero divisors of  $\mathbb{Z}_n$  are its non-zero elements that are not relatively prime to n.

**Proof**. Let  $0 \neq a \in \mathbb{Z}_n$ .

 $(\Longrightarrow)$  Suppose a is a zero divisor of  $\mathbb{Z}_n$ . Then,  $\exists (0 \neq b \in \mathbb{Z}_n)$  s.t.  $ab = 0 \Longrightarrow n \mid ab$ . Suppose (on the contrary) that a is relatively prime to n, then  $n \mid b \Longrightarrow b = 0$ .  $\mathbb{1}$  $\mathcal{1}$  $\mathcal{2}$  $\mathcal{2}$  $\mathcal{3}$  $\mathcal{3}$  $\mathcal{4}$  $\mathcal{3}$  $\mathcal{4}$  $\mathcal{4}$  $\mathcal{5}$  $\mathcal{4}$  $\mathcal{5}$  $\mathcal{4}$  $\mathcal{5}$  $\mathcal{5}$  $\mathcal{5}$  $\mathcal{6}$  $\$ 

 $(\Longleftarrow)$  Suppose  $d=\gcd(a,n)>1.$  Let  $a=dk_1$  and  $n=dk_2$  for some  $k_1,k_2\in\mathbb{Z}.$  Note that  $0\neq k_2\in\mathbb{Z}_n.$  Then

$$ak_2 = dk_1k_2 = dk_2k_1 = nk_1 = 0. \\$$

 $\therefore a$  is a zero divisor.

# **Integral Domain**

### **Definition:**

A commutative ring with unity  $1 \neq 0$  is said to be an <u>integral domain</u> if it has no zero divisors.

### Remark:

In an integral domain D, if ab = 0, then either a = 0 or b = 0.

# **Example:**

Division rings that are integral domains.

- 1. ℤ ✓
- 2.  $\mathbb{Q}, \mathbb{C}, \mathbb{R} \checkmark$
- 3.  $\mathbb{Z}_p \checkmark$ , where *p* is prime.
- 4.  $\mathbb{Z} \times \mathbb{Z}$  has zero divisors (0, a) and (b, 0) for some  $0 \neq a, b \in \mathbb{Z}$ .
- 5.  $M_{2}(\mathbb{R})$  not a commutative ring
- 6.  $2\mathbb{Z}$  has no unity

#### **Theorem 2.18:**

Let R be a commutative ring with unity  $1 \neq 0$ . Then, the cancellation law for multiplication holds in R if and only if R is an integral domain.

### Proof.

 $(\Longrightarrow)$  Suppose that  $\forall a,b,c\in R$  with  $a\neq 0$ ,  $ab=ac\Longrightarrow b=c$ .

Let  $a \in R$  with  $a \neq 0$ . Suppose that  $ab = 0 = a \cdot 0$  for some  $b \in R$ . Then, b = 0. Hence, a is a non-zero divisor of R.

- $\therefore R$  is an integral domain.
- $(\Leftarrow)$  Suppose that R is an integral domain. Let  $a,b,c\in R$  with  $a\neq 0$  and ab=ac.

$$ab = ac \Longrightarrow ab - ac = 0$$
$$\Longrightarrow a(b - c) = 0$$
$$\Longrightarrow b - c = 0$$
$$\Longrightarrow b = c$$

- $.. \ \forall a,b,c \in R \ \text{with} \ a \neq 0$  ,  $ab = ac \Longrightarrow b = c.$
- $\therefore$  Cancellation law for multiplication holds if and only if R is an integral domain.

### Remarks:

Let R be an integral domain. Let  $a, b \in R$  with  $a \neq 0$ .

- 1. Then ax + b has at most one solution.
- 2. If a is a unit in R, then ax = b has exactly one solution, given by  $x = \frac{b}{a} = a^{-1}b$ .

8

### Theorem 2.19:

Every field is an integral domain.

### Proof.

Let F be a field. Then, F is commutative with unity  $1 \neq 0$ .

Let  $a \in F$  s.t.  $a \neq 0$ .

Suppose ab = 0 for some  $b \in F$ .

$$\Rightarrow \frac{1}{a}(ab) = \frac{1}{a} \cdot 0$$

$$\Rightarrow \left(\frac{1}{a} \cdot a\right)b = 0$$

$$\Rightarrow 1 \cdot b = 0$$

$$\Rightarrow b = 0$$

- $\div$  a is not a zero divisor.
- $\therefore F$  is an integral domain.

# Theorem 2.20:

Every finite integral domain is a field.

### Proof.

Let D be a finite integral domain. Then, D is commutative with unity  $1 \neq 0$ .

Let  $0 \neq a \in D$ . (WTS: a is a unit.)

Consider the function f defined as:

$$f: D \to D$$
$$x \mapsto ax$$

Suppose f(x)=f(y) for some  $x,y\in D$ . Then,  $ax=ay\Longrightarrow x=y$ . (via C. L.)

So, f is one-to-one  $\Longrightarrow f$  is onto.

Since  $1 \in D \Longrightarrow \exists b \in D \text{ s.t. } f(b) = 1.$ 

$$\implies ab = 1$$
  
 $\implies a \text{ is a unit}$ 

9

 $\therefore$  D is a field.

### **Example:**

Let p be prime. Then  $\mathbb{Z}_p$  is an integral domain  $\Longrightarrow \mathbb{Z}_p$  is a field.

Recall: R is a ring,  $a \in R, n \in \mathbb{N}$ .

• 
$$n \cdot a = \underbrace{a + a + \dots + a}_{n}$$

• 
$$(-n)a = \underbrace{-a - a - \cdots - a}_{n}$$

• 
$$0 \cdot a = 0$$

# **Example:**

1. In  $M_2(\mathbb{R})$ ,

$$3 \cdot \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix} + \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix} + \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix} = \begin{bmatrix} 3 & 3 \\ 3 & 3 \end{bmatrix}.$$

$$\text{2. In } \mathbb{Z}_6 \text{: } \underbrace{2}_{\in \mathbb{Z}} \cdot \underbrace{3}_{\in \mathbb{Z}_6} = 3 +_6 3 = 0.$$

### **Remark:**

If R is a ring and  $a, b \in R, m, n \in \mathbb{Z}$ , then

- 1.  $(m+n) \cdot a = m \cdot a + n \cdot a$
- 2. m(a + b) = ma + mb
- 3. (mn)a = m(na)
- 4. m(ab) = (ma)b = a(mb)
- 5. (ma)(nb) = (mn)(ab)

# **Characteristic of a Ring**

### **Definition:**

The characteristic of a ring R is the least positive integer n such that  $\forall a \in R, n \cdot a = 0$ . If no such integer exists, R is said to be of characteristic 0.

# **Example:**

- 1.  $\mathbb{Z}_6 = \{0, 1, 2, 3, 4, 5\}. \operatorname{char}(\mathbb{Z}_6) = 6.$
- 2.  $\operatorname{char}(\mathbb{Z}) = 0$ .
- 3.  $\mathbb{R}$ ,  $\mathbb{Q}$ ,  $\mathbb{C}$  are of characteristic 0.

### **Theorem 2.21:**

Let R be a ring with unity 1.

- 1. If 1 has infinite order, then char(R) = 0.
- 2. If 1 has order n, then char(R) = n.

**Proof**. (Exercise)

### **Example:**

- 1.  $\operatorname{char}(\mathbb{Z}_n) = n$
- 2.  $char(M_2(\mathbb{R})) = 0$

### Theorem 2.22:

The characteristic of an integral domain is 0 or prime.

**Proof**. (Exercise)

# **Ideals and Factor Rings (Part I)**

# **Ideals**

### **Definition:**

A subring *I* of a ring *R* is called an <u>ideal of *R*</u> if  $\forall r \in R, \forall a \in I, ra \in I$  and  $ar \in I$ .

# **Example:**

1. Let R be a ring. Then,  $\{0\}$  (<u>trivial ideal</u>) and R (<u>improper ideal</u>) are ideals of R.

Ideal I s.t.  $I \neq R$  is a <u>proper ideal</u> of R.

- 2.  $n\mathbb{Z} \subseteq \mathbb{Z} (n \in \mathbb{Z}^+) n\mathbb{Z}$  is an ideal of  $\mathbb{Z}$ .
  - $(\because) \text{ Let } r \in \mathbb{Z}, x \in n\mathbb{Z} \Longrightarrow x = nk \text{ for some } k \in \mathbb{Z}. \ xr = rx = r(nk) = (rn)k = (nr)k \in n\mathbb{Z}.$
  - $n\mathbb{Z}$  is an ideal of  $\mathbb{Z}$ .

# **Ideal Subring Test (Theorem 2.23):**

Let R be a ring and  $\emptyset \neq I \subseteq R$ . Then, I is an ideal if and only if the following hold:

- 1.  $\forall a, b \in I, a b \in I$ ,
- 2.  $\forall r \in R, a \in I, ra \in I \text{ and } ar \in I.$

# **Principal Ideal**

Let R be a commutative ring with unity. Fix  $a \in R$ . Consider  $\{ar \mid r \in R\} =: \langle a \rangle = I$ 

- $a \cdot 1 = a \in I$  so  $I \neq \emptyset$ .
- Let  $x,y\in I\Longrightarrow x=ar_1,y=ar_2$  for some  $r_1,r_2\in R.$

$$x-y=ar_1-ar_2=\underbrace{a\underbrace{(r_1-r_2)}}_{\in R}\in I.$$

• Let  $r \in R, x \in I \Longrightarrow x = ar_1$  for some  $r_1 \in R$ .

$$xr = rx = r(ar_1) = (ra)r_1 = (ar)r_1 = a(rr_1) \in I.$$

 $\therefore$  *I* is an ideal of *R*.

*I* is called the *principal ideal generated by* a, denoted (a) or  $\langle a \rangle$ .

### **Example:**

1.  $\mathbb{Z}$ . Let  $n \in \mathbb{Z}$ . The principal ideal of  $\mathbb{Z}$  generated by n

$$\langle n \rangle = \{ n \cdot k \mid k \in \mathbb{Z} \} = n\mathbb{Z}$$

# **Factor Rings**

### **Concept:**

Consider S, subring of R.  $\langle S, + \rangle$  is a(n) (abelian) subgroup of the abelian group  $\langle R, + \rangle$ . So,  $S \subseteq R$ .

 $R/S = \{r + S \mid r \in R\}$  is an abelian group under addition of left cosets.

(\*) Define multiplication of left cosets as follows:

$$(r_1 + S)(r_2 + S) = (r_1 r_2) + S$$

Note: It is not well-defined on some cases.

### Lemma 2.24:

Let R be a ring and I an ideal of R. Then, multiplication of left cosets of I is a well-defined operation on the set  $R/I = \{a + I \mid a \in R\}$ .

**<u>Proof.</u>** Suppose a + I = c + I and b + I = d + I for some  $a, b, c, d \in R$ .

$$(\text{WTS: } (a+I)(b+I) = (c+I)(d+I) \Longrightarrow ab+I = cd+I \Longrightarrow -ab+cd \in I)$$

$$\begin{aligned} a+I &= c+I \Longleftrightarrow -a+c \in I \\ &\iff -a+c = x, \exists x \in I \end{aligned}$$

$$\implies c = a + x$$

$$\begin{aligned} b+I &= d+I \Longleftrightarrow -b+d \in I \\ &\iff -b+d = y, \exists y \in I \end{aligned}$$

 $\implies d = b + y$ 

Now.

$$\begin{split} cd &= (a+x)(b+y) \\ &= a(b+y) + x(b+y) \\ &= ab + ay + xb + xy \\ \Longrightarrow -ab + cd &= \underbrace{a \ y}_{R \ I} + \underbrace{x \ b}_{I \ R} + \underbrace{x \ y}_{I \ I} \in I \end{split}$$

 $\therefore$  multiplication of left cosets is well-defined.

### Theorem 2.25:

Let I be an ideal of a ring R. Then, R/I is a ring under addition and multiplication of left cosets

**Proof**. Note that addition and multiplication of left cosets are binary operators in R/I  $\mathcal{R}_1$ : R/I is an abelian group under addition of left cosets.

$$\begin{split} \mathcal{R}_2 \text{: Let } a + I, b + I, c + I \in R/I. \\ (a + I)[(b + I)(c + I)] &= (a + I)(bc + I) \\ &= a(bc) + I \\ &= (ab)c + I \\ &= (ab + I)(c + I) = [(a + I)(b + I)](c + I) \end{split}$$
 
$$\mathcal{R}_3 \text{: Let } a + I, b + I, c + I \in R/I. \\ (a + I)[(b + I) + (c + I)] &= (a + I)[(b + c) + I] \\ &= a(b + c) + I \\ &= (ab + ac) + I \\ &= (ab + I) + (ab + I) \end{split}$$

$$\begin{split} [(a+I)+(b+I)](c+I)] &= [(a+b)+I](c+I) \\ &= (a+b)c+I \\ &= (ac+bc)+I \\ &= (ac+I)+(bc+I) \end{split}$$

∴ R/I is a ring under addition and multiplication of left cosets.  $\blacksquare$ 

### Remark:

R/I is called the factor ring or <u>quotient ring</u> of R <u>modulo</u> I.

### Remarks:

- 1. If R is commutative, then R/I is commutative.
- 2. If R has unity 1, then R/I has unity 1 + I.

### **Examples:**

• 
$$\mathbb{Z}/3\mathbb{Z} = \{3\mathbb{Z}, 1 + 3\mathbb{Z}, 2 + 3\mathbb{Z}\}\$$

+	$3\mathbb{Z}$	$1+3\mathbb{Z}$	$2+3\mathbb{Z}$
$3\mathbb{Z}$	$3\mathbb{Z}$	$1+3\mathbb{Z}$	$2+3\mathbb{Z}$
$1+3\mathbb{Z}$	$1+3\mathbb{Z}$	$2+3\mathbb{Z}$	$3\mathbb{Z}$
$2+3\mathbb{Z}$	$2+3\mathbb{Z}$	$3\mathbb{Z}$	$1+3\mathbb{Z}$

	$3\mathbb{Z}$	$1+3\mathbb{Z}$	$2+3\mathbb{Z}$
$3\mathbb{Z}$	$3\mathbb{Z}$	$3\mathbb{Z}$	$3\mathbb{Z}$
$1+3\mathbb{Z}$	$3\mathbb{Z}$	$1+3\mathbb{Z}$	$2+3\mathbb{Z}$
$2+3\mathbb{Z}$	$3\mathbb{Z}$	$2+3\mathbb{Z}$	$1+3\mathbb{Z}$

 $\mathbb{Z}/3\mathbb{Z}$  is commutative and has unity  $1+3\mathbb{Z}$ .  $(1+3\mathbb{Z})^{-1}=1+3\mathbb{Z}, (2+3\mathbb{Z})^{-1}=2+3\mathbb{Z}$ 

 $\therefore \mathbb{Z}/3\mathbb{Z}$  is a field.

• Consider  $8\mathbb{Z}\subseteq 2\mathbb{Z}.\ 8\mathbb{Z}$  is an ideal of  $2\mathbb{Z}.$  (Theorem 2.23)

(a) 
$$2\mathbb{Z}/8\mathbb{Z} = \{8\mathbb{Z}, 2 + 8\mathbb{Z}, 4 + 8\mathbb{Z}, 6 + 8\mathbb{Z}\}$$

(b)

+	8Z	$2+8\mathbb{Z}$	$4+8\mathbb{Z}$	$6+8\mathbb{Z}$
8Z	8Z	$2+8\mathbb{Z}$	$4+8\mathbb{Z}$	$6+8\mathbb{Z}$
$2+8\mathbb{Z}$	$2+8\mathbb{Z}$	$4+8\mathbb{Z}$	$6+8\mathbb{Z}$	8Z
$4+8\mathbb{Z}$	$4+8\mathbb{Z}$	$6+8\mathbb{Z}$	8Z	$2+8\mathbb{Z}$
$6+8\mathbb{Z}$	$6+8\mathbb{Z}$	8Z	$2+8\mathbb{Z}$	$4+8\mathbb{Z}$

	8Z	$2+8\mathbb{Z}$	$4+8\mathbb{Z}$	$6+8\mathbb{Z}$
8Z	$8\mathbb{Z}$	8Z	8Z	8Z
$2+8\mathbb{Z}$	8Z	$4+8\mathbb{Z}$	8Z	$4+8\mathbb{Z}$
$4+8\mathbb{Z}$	$8\mathbb{Z}$	8Z	8Z	8Z
$6+8\mathbb{Z}$	8Z	$4+8\mathbb{Z}$	8Z	$4+8\mathbb{Z}$

(c)  $2\mathbb{Z}/8\mathbb{Z}$  is not an integral domain.

# **Ring Homomorphism**

### **Definition:**

A ring homomorphism from a ring R to a ring R' is a mapping  $\phi$  from R to R' that preserves both ring operations, that is,

$$\forall a, b \in R, \phi(a+b) = \phi(a) + \phi(b) \text{ and } \phi(ab) = \phi(a)\phi(b).$$

### Remarks:

Let  $\phi:R\to R'$  be a ring homomorphism.

- 1. If  $\phi$  is one-to-one, we call  $\phi$  a <u>ring monomorphism</u>.
- 2. If  $\phi$  is onto, we call  $\phi$  a <u>ring epimorphism</u>.
- 3. If  $\phi$  is a bijection, then  $\phi$  is called a <u>ring isomorphism</u>.
- 4. If  $\phi$  is bijective and R' = R, then  $\phi$  is called a <u>ring automorphism</u>.

### **Definition:**

Two rings R and R' are said to be <u>isomorphic</u>, written  $R \cong R'$ , if there exists an isomorphism from R to R'.

### Remarks:

If  $\phi:R\to R'$  is a ring homomorphism, then  $\phi:\langle R,+\rangle\to\langle R',+'\rangle$  is a group homomorphism. In particular,

- 1. If 0 and 0' are the zero elements of R and R', then  $\phi(0) = 0'$ .
- 2. If  $a \in R$ , then  $\phi(-a) = -\phi(a)$ .
- 3. If  $a \in R$  and  $n \in \mathbb{Z}$ , then  $\phi(na) = n\phi(a)$ .

### <u>Properties of Ring Homomorphisms (Theorem 2.26):</u>

- 1. If  $a \in R$  and  $n \in \mathbb{N}$ , then  $\phi(an) = [\phi(a)]n$ .
- 2. If S is a subring of R, then  $\phi(S) = \{\phi(a) | a \in S\}$  is a subring of R'.
- 3. If R is commutative, then  $\phi(R)$  is commutative.
- 4. If I is an ideal of R, then  $\phi(I)$  is an ideal of the ring  $\phi(R)$  (but not necessarily of R').
- 5. If S' is a subring of R', then  $\phi^{-1}(S') = \{a \in R \mid \phi(a) \in S'\}$  is a subring of R.
- 6. Let R be a ring with unity  $1_R$ .
  - 1. Then  $\phi(R)$  is a ring with unity  $\phi(1_R)$ .
  - 2. If a is a unit in R, then  $\phi(a)$  is a unit in the ring  $\phi(R)$  with  $[\phi(a)]^{-1} = \phi(a^{-1})$ .

### **Proof**. (Exercise!)

5. Suppose S' is a subring of R'. Show:  $\phi^{-1}(S')$  is a subring of R.

Note that  $\langle S', + \rangle$  is a subgroup of  $\langle R', + \rangle$ . Since  $\phi$  is a group homomorphism,  $\langle \phi - 1(S'), + \rangle$  is a subgroup of  $\langle R, + \rangle$ .

It remains to be shown that  $\phi^{-1}(S')$  is closed under multiplication.

Let 
$$x,y\in\phi^{-1}(S')$$
. WTS:  $xy\in\phi^{-1}(S')$ , i.e.  $\phi(xy)\in\phi^{-1}(S')$ .

Now, 
$$x,y \in \phi^{-1}(S') \Rightarrow \phi(x), \phi(y) \in S' \Rightarrow \phi(xy) = \varphi(x)\varphi(y) \in S'$$

Since S' is a subring of R'. Thus  $xy \in \phi^{-1}(S')$ .  $\therefore \phi^{-1}(S')$  is a subring of R.

# **Examples:**

1. Consider the map  $\phi: \mathbb{Z} \to 2\mathbb{Z}$  given by  $\phi(k) = 2k$ .

Let  $a, b \in \mathbb{Z}$ . Then

- $\phi(a+b) = 2(a+b) = 2a + 2b = \phi(a) + \phi(b)$
- $\phi(ab) = 2ab$  but  $\phi(a)\phi(b) = (2a)(2b) = 4ab$

Thus  $\phi$  is not ring homomorphism.

- 2. Consider the map  $\phi: \mathbb{Z} \to \mathbb{Z} \times \mathbb{Z}$  given by  $\phi(x) = (x,0)$ . Then  $\phi$  is a ring homomorphism. (Why?)
  - $\phi(\mathbb{Z}) = \{(x,0) | x \in \mathbb{Z}\}$  is a commutative ring with unity (unity in  $\phi(\mathbb{Z})$  is  $\phi(1) = (1,0)$ ). The units of  $\phi(\mathbb{Z})$  are  $\phi(1) = (1,0)$  and  $\phi(-1) = (-1,0)$ .

# Kernel of a Homomorphism

### **Definition:**

Let R, R' be rings with 0', the zero element in R'. Let  $\phi: R \to R'$  be a ring homomorphism. The kernel of  $\phi$  is the set

$$\ker \phi := \{ a \in R \mid \phi(a) = 0' \} = \phi^{-1}(\{0'\})$$

### Remarks:

- 1.  $\phi$  is one-to-one if and only if ker  $\phi = \{0\}$ .
- 2.  $\phi$  is a ring isomorphism if and only if  $\phi$  is onto and ker  $\phi = \{0\}$ .
- 3. If  $a \in R$  and  $\phi(a) = a'$  then

$$\phi^{-1}(a')=\{r\in R\mid \phi(r)=a'\}=a+\ker\phi$$

### The Kernel is an Ideal (Theorem 2.27):

Let  $\phi: R \to R'$  be a ring homomorphism. Then  $\ker \phi$  is an ideal of R.

**<u>Proof.</u>** Let 0' be the zero element of R'. Since  $\{0'\}$  is a subring of R', then  $\phi^{-1}(\{0'\}) = \ker \phi$  is a subring of R (by Theorem 2.26).

Let  $a \in \ker \phi$  and  $r \in R$ . (WTS: ar and ra are in  $\ker \phi$ )

$$\phi(ar) = \phi(a)\phi(r) = 0' \cdot \phi(r) = 0'$$

Since  $\phi(ar) = 0'$ , then  $ar \in \ker \phi$ .

Using a similar argument, we can show that  $ra \in \ker \phi$ .

 $\therefore$  ker  $\phi$  is an ideal of R.

# First Isomorphism Theorem for Rings (Theorem 2.28):

Let  $\phi: R \to R'$  be a ring homomorphism. Then

$$\mu: R/\ker \phi \to \phi(R)$$

given by  $\mu(a+\ker\phi)=\phi(a)$  is a ring isomorphism. In particular,  $R/\ker\phi\cong\phi(R)$  (as rings).

**<u>Proof.</u>** It follows from the First Isomorphism Theorem for Groups that  $\mu$  is a group isomorphism. (WTS:  $\mu$  preserves multiplication.)

Let  $a + \ker \phi, b + \ker \phi \in R / \ker \phi$ . Then,

$$\mu[(a + \ker \phi)(b + \ker \phi)] = \mu(ab + \ker \phi)$$
$$= \phi(ab) = \phi(a)\phi(b)$$
$$= \mu(a + \ker \phi)\mu(b + \ker \phi)$$

 $\therefore \mu$  is a ring isomorphism.

### Remark:

The isomorphism  $\mu$  is called the <u>natural</u> or <u>canonical isomorphism</u> from  $R/\ker\phi$  to  $\phi(R)$ .

### **Examples:**

1. Let  $\phi: \mathbb{Z} \to \mathbb{Z}_n$  be the mapping such that  $\phi(m) =$  the remainder when m is divided by n. Then  $\phi$  is a ring epimorphism. (Verify this!)

$$\ker \phi = n\mathbb{Z}$$

By the FITR,

$$\mathbb{Z}/n\mathbb{Z} = \mathbb{Z}/\ker\phi \cong \phi(\mathbb{Z}) = \mathbb{Z}_n$$

Thus,

$$\mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}_n$$
 as rings.

2. Consider the ring homomorphism  $\phi : \mathbb{Z} \to \mathbb{Z} \times \mathbb{Z}$  where  $\phi(x) = (x, 0)$ .

$$\ker \phi = \{0\}$$

By the FITR,

$$\mathbb{Z}/\{0\} = \mathbb{Z}/\ker\phi \cong \phi(\mathbb{Z}) = \{(x,0)|\ x \in \mathbb{Z}\}\$$

Noting that  $\mathbb{Z}/\{0\} \cong \mathbb{Z}$ , we get

$$\mathbb{Z} \cong \{(x,0) | x \in \mathbb{Z}\}$$

# Canonical Isomorphism from R to R/I (Theorem 2.29):

Let I be an ideal of a ring R. Then  $\gamma:R\to R/I$  given by  $\gamma(a)=a+I$  is a ring homomorphism with  $\ker\gamma=I.$ 

**<u>Proof.</u>** It follows from Theorem 2.12 that  $\gamma$  is a group homomorphism with  $\ker \gamma = I$ . (WTS:  $\gamma$  preserves multiplication.)

Let 
$$a,b \in R$$
. Then  $\gamma(ab) = ab + I = (a+I)(b+I) = \gamma(a)\gamma(b)$ .

 $\div$   $\gamma$  is a ring homomorphism.

# **Ideals and Factor Rings (Part II)**

### **Concept:**

Given: R, a commutative ring with unity.

I, ideal of  $R \Longrightarrow R/I$  is a commutative ring with unity

- Question 1: If R is a field, what are the possible factor rings R/I?
- *Question 2*: When is the factor ring R/I a field?
- *Question 3*: When is the factor ring R/I an integral domain?

# <u>Ideals of a Field (Theorem 2.30):</u>

Let R be a ring with unity 1 and let I be an ideal of R. If I contains a unit of R then I = R.

**<u>Proof.</u>** Suppose  $u \in I$  is a unit of R. Then  $\exists u^{-1} \in R$  such that  $1 = u^{-1}u \in I$  since I is an ideal of R. Thus  $1 \in I$ .

Clearly  $I \subseteq R$ . (NTS:  $R \subseteq I$ ). Let  $r \in R$ . Now,  $r = r \cdot 1 \in I$  since I is an ideal of R. Thus  $R \subseteq I$  and so I = R.

### **Corollary 2.31:**

A field has no proper nontrivial ideals. That is, the only ideals of a field F are  $\{0\}$  or F itself.

**<u>Proof.</u>** Let F be a field and I an ideal of F. Note that either I is trivial (that is  $I = \{0\}$ ) or I is nontrivial. Suppose  $I \neq \{0\}$ . Let  $0 \neq a \in I \subseteq F$ . Thus a is a unit of F. Hence I = F.

### Remark:

Let F be a field and I an ideal of F. Then either  $I = \{0\}$  or I = F. Then the factor rings F/I are

- $F/\{0\} \cong F$
- $F/F \cong \{0\}$

### **Maximal Ideals**

### **Definition:**

A proper ideal M of a ring R is said to be  $\underline{maximal}$  if whenever J is an ideal of R such that  $M \subseteq J \subseteq R$ , either J = M or J = R.

### **Examples:**

 $3\mathbb{Z}$  and  $4\mathbb{Z}$  are ideals of  $\mathbb{Z}$ .

- Note that  $4\mathbb{Z} \subset 2\mathbb{Z} \subset \mathbb{Z}$ . Thus  $4\mathbb{Z}$  is not a maximal ideal of  $\mathbb{Z}$ .
- Suppose  $n\mathbb{Z}$  is an ideal of  $\mathbb{Z}$  such that  $3\mathbb{Z} \subseteq n\mathbb{Z} \subseteq \mathbb{Z}$ . Since  $3 \in 3\mathbb{Z} \subseteq n\mathbb{Z}$ , then  $n \mid 3$ . Hence n = 3 or n = 1. So  $n\mathbb{Z} = 3\mathbb{Z}$  or  $n\mathbb{Z} = \mathbb{Z}$ . Thus  $3\mathbb{Z}$  is a maximal ideal of  $\mathbb{Z}$ .

### Remarks:

Let R be a ring.

- 1. The only ideal that properly contains a maximal ideal of R is R.
- 2. A maximal ideal of R may not be unique. That is, R may have more than one maximal ideal. (e.g.  $2\mathbb{Z}$  and  $5\mathbb{Z}$  are both maximal ideals of  $\mathbb{Z}$ )

### **Examples:**

The ideals of  $\mathbb{Z}_{12}$ :

- $\mathbb{Z}_{12}$
- $\langle 2 \rangle = \{0, 2, 4, 6, 8, 10\}$
- $\langle 3 \rangle = \{0, 3, 6, 9\}$
- $\langle 4 \rangle = \{0, 4, 8\}$
- $\langle 6 \rangle = \{0, 6\}$
- {0}

Is  $\langle 4 \rangle$  a maximal ideal of  $\mathbb{Z}_{12}$ ?

Is  $\langle 4 \rangle$  a maximal ideal of  $\langle 2 \rangle$ ?

What are the maximal ideals of  $\mathbb{Z}_{12}$ ?

# Factor Rings from Maximal Ideals are Fields (Theorem 2.32):

Let R be a commutative ring with unity and let I be an ideal of R. Then

R/I is a field  $\iff$  I is a maximal ideal of R.

**<u>Proof.</u>** ( $\Longrightarrow$ ) Suppose R/I is a field. Let J be an ideal of R such that  $I \subseteq J \subseteq R$ . (NTS: Either J = I or J = R).

Suppose  $J \neq I$ . Then  $\exists b \in J/I \Longrightarrow I \neq b+I \in R/I \Longrightarrow b+I$  is a unit in  $R/I \Longrightarrow \exists (a+I) \in R/I$  such that  $(b+I)(a+I) = 1+I \Longrightarrow -ba+1 \in I \subset J$ .

Thus  $1 = ba + (-ba + 1) \in J \Longrightarrow J = R$ .

 $\therefore$  *I* is a maximal ideal of *R*.

 $(\Leftarrow)$  Suppose I is a maximal ideal of R. Since R is commutative with unity, then so is R/I. Note also that  $I \neq R$  since I is maximal and so  $1 \notin I$ . Thus  $1 + I \neq I$ .

(NTS: Every nonzero element of R/I is a unit.)

Let a + I be a nonzero element in R/I (i.e.  $a \in R$  but  $a \notin I$ ).

Form  $J := \{ra + b \mid r \in R, b \in I\}$ . Claim: J is an ideal of R. If  $x \in I$  then  $x = 0 \cdot a + x \in J \Rightarrow I \subseteq J \subseteq R \Rightarrow J = I \lor J = R$ . However,  $a \notin I$  but  $a = 1 \cdot a + 0 \in J \Longrightarrow J \neq I$ . Thus J = R.

Now,  $1 \in R = J \Longrightarrow 1 = ra + b$  for some  $r \in R, b \in I$ 

$$\Rightarrow -ra + 1 = b \in I$$

$$\Rightarrow ra + I = 1 + I$$

$$\Rightarrow (r+I)(a+I) = (a+I)(r+I) = 1 + I$$

$$\Rightarrow a + I \text{ is a unit.}$$

 $\therefore R/I$  is a field.

### Proof of claim that *J* is an ideal of R:

Claim:  $J = \{ra + b \mid r \in R, b \in I\}$  is an ideal of R.

### <u>Proof.</u>

- J is nonempty:  $0 = 0 \cdot a + 0 \in J \Longrightarrow J \neq \emptyset$
- If  $x, y \in J$ , show that  $x y \in J$ . (Exercise!)
- If  $s \in R$  and  $x \in J$ , show that  $sx \in J$  and  $xs \in J$ .

$$\because x \in J \Longrightarrow x = ra + b \text{ for some } r \in R, b \in I. \text{ So } sx = s(ra + b) = (sr)a + sb \in J.$$

Note that R is commutative so  $xs = sx \in J$ .

# **Appplication of Theorem 2.32:**

Consider the ideals  $3\mathbb{Z}$  and  $4\mathbb{Z}$  of  $\mathbb{Z}$ .

- $\mathbb{Z}/3\mathbb{Z} \cong \mathbb{Z}_3$  is a field, thus  $3\mathbb{Z}$  is a maximal ideal of  $\mathbb{Z}$ .
- $\mathbb{Z}/4\mathbb{Z} \cong \mathbb{Z}_4$  is not a field, thus  $4\mathbb{Z}$  is not a maximal ideal of  $\mathbb{Z}$ .

#### Remark:

 $n\mathbb{Z}$  is a maximal ideal of  $\mathbb{Z}$  if and only if n is prime.

### Converse of Corollary 2.31 holds (Corollary 2.33):

A commutative ring with unity is a field if and only if it has no proper nontrivial ideals.

### Proof.

- $(\Longrightarrow)$  Follows from Corollary 2.31.
- $(\Longleftarrow)$  Suppose a commutative ring R with unity has no proper nontrivial ideals. Then
- $\{0\}$  is a maximal ideal. Thus  $R \cong R/\{0\}$  is a field.

# **Prime Ideals**

### **Definition:**

A proper ideal P of a commutative ring R is said to be <u>prime</u> if whenever  $a, b \in R$  such that  $ab \in P$  then either  $a \in P$  or  $b \in P$ .

### **Examples:**

1. Consider  $6\mathbb{Z}$ . Note that  $2 \cdot 3 \in 6\mathbb{Z}$  but neither 2 nor 3 are in  $6\mathbb{Z}$ . Thus  $6\mathbb{Z}$  is not a prime ideal of  $\mathbb{Z}$ .

- 2. Consider the trivial ideal  $\{0\} \in \mathbb{Z}_{12}$ . Is  $\{0\}$  a prime ideal of  $\mathbb{Z}_{12}$ ?
- 3.  $\{0\}$  is a prime ideal of an integral domain D.
- $\therefore$  Let  $a, b \in D$  such that  $ab \in \{0\} \Longrightarrow ab = 0 \Longrightarrow a = 0$  or  $b = 0 \Longrightarrow a \in \{0\}$  or  $b \in \{0\}$ .

# Factor Rings from Prime Ideals (Theorem 2.34):

Let R be a commutative ring with unity and let I be an ideal of R. Then

R/I is an integral domain  $\iff$  I is a prime ideal of R.

### Proof.

 $(\Longrightarrow)$  Suppose R/I is an integral domain. Let  $a,b\in R$  such that  $ab\in I$ . Then  $ab+I=I\Longrightarrow (a+I)(b+I)=I$ . Since R/I is an integral domain, either a+I=I or b+I=I, which means that either  $a\in I$  or  $b\in I$ .

( $\Leftarrow$ ) Suppose I is a prime ideal of R. Since Then R is a commutative ring with unity 1, then so is R/I. Note also that  $I \neq R$  since I is prime and so  $1 \notin I$ . Thus  $1 + I \neq I$ . (NTS: R/I has no zero divisors.)

Let  $a+I, b+I \in R/I$  such that (a+I)(b+I)=I. Then,  $ab+I=I \Longrightarrow ab \in I$ . Since I is prime, then either  $a \in I$  or  $b \in I \Longrightarrow a+I=I$  or b+I=I

 $\therefore R/I$  is an integral domain.

### **Applications of Theorem 2.34:**

1.  $\mathbb{Z}/4\mathbb{Z} \cong \mathbb{Z}_4$  is not an integral domain. Thus  $4\mathbb{Z}$  is not a prime ideal of  $\mathbb{Z}$ . Indeed  $2 \cdot 2 \in 4\mathbb{Z}$  but  $2 \notin 4\mathbb{Z}$ .

Remark:  $n\mathbb{Z}$  is a prime ideal of  $\mathbb{Z}$  if and only if n is prime.

2. Let  $I = \{(x,0) | x \in \mathbb{Z}\} \subseteq \mathbb{Z} \times \mathbb{Z}$ . Then I is an ideal of  $\mathbb{Z} \times \mathbb{Z}$ . (Exercise!)

Suppose  $(a,b),(c,d)\in\mathbb{Z}\times\mathbb{Z}$  such that  $(a,b)(c,d)=(ac,bd)\in I$ . Then  $bd=0\Longrightarrow b=0$  or  $d=0\Longrightarrow (a,b)\in I$  or  $(c,d)\in I$ . Hence I is prime. Thus  $(\mathbb{Z}\times\mathbb{Z})/I$  is an integral domain.

(Exercise:) Use FITR (First Isomorphism Theorem for Rings) to show that  $(\mathbb{Z} \times \mathbb{Z})/I \cong \mathbb{Z}$ .

# Maximal Ideals are Prime Ideals (Corollary 2.35):

Every maximal ideal of a commutative ring R with unity is a prime ideal of R.

**<u>Proof.</u>** Let I be a maximal ideal of R. By Theorem 2.32, R/I is a field. Hence R/I is an integral domain. Thus I is a prime ideal of R.

### Remarks:

1. The converse of Corollary 2.35 does not hold. That is, a prime ideal of a commutative ring R with unity may not be a maximal ideal of R.

e.g.,  $I=\{(x,0)|\ x\in\mathbb{Z}\}$  is a prime ideal of  $\mathbb{Z}\times\mathbb{Z}$  which is not a maximal ideal of  $\mathbb{Z}\times\mathbb{Z}$ . (Why?)

2. Corollary 2.35 does not hold if R has no unity.

e.g.  $2\mathbb{Z}$  has no unity and  $4\mathbb{Z}$  is a maximal ideal of  $2\mathbb{Z}$  but  $4\mathbb{Z}$  is not a prime ideal of  $2\mathbb{Z}$ . (Why?)

# Field of Quotients of Integral Domains and Prime Fields

# R with unity contains a homomorphic image of $\mathbb{Z}$ (Lemma 2.36):

Let R be a ring with unity 1. The mapping  $\phi:\mathbb{Z}\to R$  given by  $\phi(m)=m\cdot 1$  is a ring homomorphism.

**Proof.** Let  $m, n \in \mathbb{Z}$ . Then

$$\phi(m+n) = (m+n) \cdot 1 = m \cdot 1 + n \cdot 1 = \phi(m) + \phi(n)$$
 
$$\phi(mn) = (mn) \cdot 1 = (mn) \cdot 1 \cdot 1 = (m \cdot 1)(n \cdot 1) = \phi(m)\phi(n)$$

### Remark:

Note that  $\phi(\mathbb{Z})$  is a subring of R.

# The Characteristic of Rings with Unity

char R = smallest positive integer n such that  $n \cdot a = 0$  for all  $a \in R$ .

If no such positive integer exists, then char R = 0.

Recall: R, a ring with unity 1

- char  $R = n \iff |1| = n$  in the group  $\langle R, + \rangle$
- char  $R = 0 \iff 1$  has infinite order in the group  $\langle R, + \rangle$

### Structure of R based on its Characteristic (Theorem 2.37)

Let R be a ring with unity.

- 1. char  $R = n > 1 \Longrightarrow R$  contains a subring isomorphic to  $\mathbb{Z}_n$
- 2. char  $R = 0 \Longrightarrow R$  contains a subring isomorphic to  $\mathbb{Z}$

**Proof.** Consider the ring homomorphism  $\phi: \mathbb{Z} \to R$  given by  $\phi(m) = m \cdot 1$ .

By the FITR,  $\mathbb{Z}/\ker\phi\cong\phi(\mathbb{Z})$ .

Note that  $\ker \phi = \{ m \in Z \mid \phi(m) = 0 \} = \{ m \in Z \mid m \cdot 1 = 0 \}.$ 

• Suppose char R = n > 1. So |1| = n. That is,  $n \cdot 1 = 0$  and

$$m \cdot 1 = 0 \iff n \mid m \iff m \in n\mathbb{Z}.$$

Thus ker  $\phi = n\mathbb{Z}$ . Hence by FITR,

$$\phi(\mathbb{Z}) \cong \mathbb{Z}/\ker \phi = \mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}_n$$
.

• Suppose char R=0. Then 1 has infinite order. Thus  $m\cdot 1=0 \Longleftrightarrow m=0$ . Thus  $\ker \phi=\{0\}$ . Hence by FITR,

$$\phi(\mathbb{Z}) \cong \mathbb{Z}/\ker \phi = \mathbb{Z}/\{0\} \cong \mathbb{Z}.$$

# **Examples:**

Consider the ring  $R=M_2(\mathbb{R})$  with unity  $\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ . Note that the order of  $\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$  is infinite. (Why?)

Hence char  $M_2(\mathbb{R}) = 0$ .

Thus  $M_2(\mathbb{R})$  has a subring isomorphic to  $\mathbb{Z}$  by Theorem 2.37. This subring is  $\phi(\mathbb{Z})$  where  $\phi: \mathbb{Z} \to M_2(\mathbb{R})$  is given by

$$\phi(m) = m \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} m & 0 \\ 0 & m \end{bmatrix}$$

Thus

$$\phi(\mathbb{Z}) = \{\phi(m)|\ m \in \mathbb{Z}\} = \left\{ \begin{bmatrix} m & 0 \\ 0 & m \end{bmatrix} \,\middle|\, m \in \mathbb{Z} \right\} \cong \mathbb{Z}$$

# Field of Quotients of an Integral Domain

Consider the integral domain  $\mathbb{Z}$ .

Note that  $\mathbb{Z}$  is not a field. But  $\mathbb{Z}$  is a subring of the field  $\mathbb{Q}$ .

• *Question*: Given any integral domain *D*, is there a field *F* that contains *D*? If so, what is the smallest field that will contain *D*?

<u>Construction of  $\mathbb{Q}$  from  $\mathbb{Z}$ :</u>

$$\mathbb{Z}" \subset "\{(a,b)|\ a,b \in \mathbb{Z}, b \neq 0\} \longrightarrow \mathbb{Q} = \left\{\frac{a}{b} \middle| a,b \in \mathbb{Z}, b \neq 0\right\}$$

$$(a,b) + (c,d) = (ad + bc,bd) \longrightarrow \frac{a}{b} + \frac{c}{d} = \frac{ad + bc}{bd}$$

$$(a,b)(c,d) = (ac,bd) \longrightarrow \left(\frac{a}{b}\right)\left(\frac{c}{d}\right) = \frac{ac}{bd}$$

$$(1,2), (2,4), (3,6) \cdots \longrightarrow \frac{1}{a}$$

$$(a,b) \sim (c,d) \iff ad = bc \longrightarrow \frac{a}{b} = \frac{c}{d} \iff ad = bc$$

### Theorem 2.38:

Let D be an integral domain. Then there exists a field that contains a subring which is isomorphic to D.

**<u>Proof.</u>** Consider  $S = \{(a,b)|\ a,b \in D, b \neq 0)\} \subset D \times D$ .

Define the relation on S by  $(a, b) \sim (c, d) \iff ad = bc$ .

Claim 1:  $\sim$  is an equivalence relation on S. (Exercise!) Denote the equivalence class of (a,b) by [a,b].

Note that  $[a, b] = [c, d] \iff ad = bc$ 

Let 
$$F := \{ [a, b] \mid (a, b) \in S \}$$

Define the following operations on F:

addition : 
$$[a,b] + [c,d] = [ad + bc,bd]$$

multiplication : 
$$[a, b] \cdot [c, d] = [ac, bd]$$

<u>Claim 2</u>: The defined operations are well-defined binary operations on F. (Exercise!)

### Claim 3:

- a. If  $0 \neq b \in D$  then [0, b] = [0, 1].
- b. If  $0 \neq k \in D$  and  $[a, b] \in F$  then [ka, kb] = [a, b].
- c. If  $0 \neq a \in D$  then [a, a] = [1, 1]

(Exercise!)

We now show that F is a field.

F is a ring:

 $\mathcal{R}_1$ :  $\langle F, + \rangle$  is an abelian group.

• + is commutative: Let  $[a, b], [c, d] \in F$ .

$$[a, b] + [c, d] = [ad + bc, bd] = [cb + da, db] = [c, d] + [a, b]$$

- + is associative: (Exercise!)
- additive identity: Consider  $[0,1] \in F$ . For any  $[a,b] \in F$ ,

$$[0,1] + [a,b] = [a,b] + [0,1] = [a \cdot 1 + b \cdot 0, b \cdot 1] = [a,b]$$

• additive inverse: Let  $[a, b] \in F$ . Its additive inverse is [-a, b] since

$$[a, b] + [-a, b] = [-a, b] + [a, b] = [-ab + ab, b^2] = [0, b^2] = [0, 1]$$

 $\mathcal{R}_2$ : Multiplication is associative. (Exercise!)

 $\mathcal{R}_3$ : Left and Right Distributive Laws: (Exercise!) (Hint: You may need to use Claim 3(b).)

F is commutative: Given  $[a, b], [c, d] \in F$ ,

$$[a,b][c,d]=[ac,bd]=[ca,db]=[c,d][a,b] \\$$

F has unity: unity in F is [1, 1] since  $[a, b][1, 1] = [1, 1][a, b] = [a, b] \forall [a, b] \in F$ . Clearly,  $[1, 1] \neq [0, 1]$ .  $(\because 1 \cdot 16 = 1 \cdot 0.)$ 

F is a division ring: Let  $[a, b] \in F$  such that  $[a, b] \neq [0, 1]$ . Then  $a \cdot 1 \neq b \cdot 0 \Longrightarrow a \neq 0 \Longrightarrow [b, a] \in F$ . Note that [a, b][b, a] = [ab, ba] = [ab, ab] = [1, 1]. Thus  $[a, b]^{-1} = [b, a]$ .

 $\therefore$  F is a field under the operations addition and multiplication as defined.

Lastly, we show that F contains a subring which is isomorphic to D.

Consider 
$$\phi: D \to F$$
 given by  $\phi(a) = [a, 1]$ . Let  $a, b \in D$ . Then  $\phi(a) + \phi(b) = [a, 1] + [b, 1] = [a + b, 1] = \phi(a + b)$  and  $\phi(a)\phi(b) = [a, 1][b, 1] = [ab, 1] = \phi(ab)$ 

Thus,  $\phi$  is a ring homomorphism.

Note that  $\ker \phi = \{a \in D \mid \phi(a) = [0,1]\} = \{a \in D \mid [a,1] = [0,1]\}$ . But  $[a,1] = [0,1] \iff a \cdot 1 = 1 \cdot 0 \iff a = 0$ . Thus  $\ker \phi = \{0\}$ . So by the FITR,

$$\phi(D) \cong D/\ker \phi = D/\{0\} \cong D$$

D is isomorphic to  $\phi(D) = \{[a,1] \mid a \in D\}$  which is a subring of F.

# **Remarks**:

- 1. The field F in Theorem 2.38 is called the field of quotients of D.
- 2. We say that the integral domain D is embedded in its field of quotients F and we write  $D \hookrightarrow F$ .

# **Example**:

1.  $\mathbb{Q}$  is the field of quotients of  $\mathbb{Z}$ .

# Theorem 2.39:

Let D be an integral domain and F its field of quotients. Suppose K is a field that contains D. Then K contains a subfield L such that  $D \subseteq L \subseteq K$  and L is isomorphic to F.

### Remark:

The field of quotients F of D is the smallest field that contains D and is unique (up to isomorphism).

**<u>Proof.</u>** Let  $[a,b] \in F$ . Then  $a,b \in D$  and  $b \neq 0$ . Thus  $a,b \in K$  and b is a unit in K.

Define  $\phi: F \to K$  given by  $\phi([a,b]) = ab^{-1}$ . Then  $\phi$  is a well-defined monomorphism. (Exercise!)

Set  $L = \phi(F)$ . By FITR,

$$L = \phi(F) \cong F / \ker \phi = F / \{0\} \cong F$$

Thus L is a subfield of K which is isomorphic to F. For every  $a \in D$ ,  $a = a \cdot 1 = a \cdot 1 - 1 = \phi([a,1])$ . Thus  $D \subseteq L \subseteq K$ .

# Prime Subfield of a Field

*Recall*: The characteristic of an integral domain is either 0 or prime *p*.

# Theorem 2.40:

Let F be a field.

- 1. F is of prime characteristic  $p \Longrightarrow F$  contains a subfield isomorphic to  $\mathbb{Z}_p$
- 2. F is of characteristic  $0 \Longrightarrow F$  contains a subfield isomorphic to  $\mathbb{Q}$ .

# Proof.

- 1. Since char F=p, F contains a subring S isomorphic to  $\mathbb{Z}_p$ . Since p is prime,  $\mathbb{Z}_p$  is a field. Thus S is a subfield of F isomorphic to  $\mathbb{Z}_p$ .
- 2. If char F is 0, then F contains a subring S isomorphic to  $\mathbb{Z}$ . So S is an integral domain contained in the field F. By Theorem 2.39, F contains a subfield L which is isomorphic to the field of quotients  $F_S$  of S.

Since  $S \cong \mathbb{Z}$ ,  $F_S \cong \mathbb{Q}$ . Thus  $L \cong \mathbb{Q}$ .

### **Definition:**

The subfield of a field F that is isomorphic to either  $\mathbb{Z}_p$  or  $\mathbb{Q}$  is called a prime subfield of F.

### Remark:

A prime subfield of F is the smallest subfield of F. Equivalently, every subfield of F must contain the prime subfield of F.

# **Examples:**

- 1. Identify the prime subfield of the field  $\mathbb{Q}(\sqrt{2}) = \{a + b\sqrt{2} \mid a, b \in \mathbb{Q}\}.$
- 2. Suppose F is a field with 81 elements. The prime subfield of F is isomorphic to which field?

### **Solution:**

- 1. The unity in  $\mathbb{Q}\left(\sqrt{2}\right)$  is 1. Since order of 1 is infinite  $\Longrightarrow$  char  $Q\left(\sqrt{2}\right)=0$ . Thus the prime subfield of  $\mathbb{Q}\left(\sqrt{2}\right)$  is  $\mathbb{Q}$ .
- 2. Note: order of  $\langle F, + \rangle$  is 81.

order of 
$$1=\operatorname{char}\, F=p$$
 for some prime  $p\Longrightarrow p$  divides  $81=3^4\Longrightarrow p=3$ 

Thus the prime subfield of F is isomorphic to  $\mathbb{Z}_3$ .