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# UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

<input type="checkbox"/> Amendment			
Last Name:	First Name and Middle Initial	Annual Report	
Inhofe	James M.	Calendar Year Covered by Report	Senate Office / Agency in Which Employed
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report	Prior Office / Agency in Which Employed
205 Russell Senate Office Bldg Washington, D.C. 20510	(202) 224-4721	Termination Date (mm/dd/yy)	

**AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART**

YES	NO	YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.	<input type="checkbox"/> <input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$375 from one source)? If Yes, complete and attach PART VI.	<input type="checkbox"/> <input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income totaling \$200 or more from any reportable source in the reporting period? If Yes, complete and attach PART II.	<input type="checkbox"/> <input checked="" type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	<input checked="" type="checkbox"/> <input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/> <input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	<input checked="" type="checkbox"/> <input type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, complete and attach PART IV.	<input checked="" type="checkbox"/> <input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.	<input type="checkbox"/> <input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$375 and not otherwise exempt)? If Yes, complete and attach PART V.	<input type="checkbox"/> <input checked="" type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, complete and attach PART X.	<input type="checkbox"/> <input checked="" type="checkbox"/> <i>N/A</i>

**Each question must be answered and the appropriate PART attached for each "YES" response.**

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Do Not Write Below this Line

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SENATE  
RECORDS

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RECORDS

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Inhofe, James W.

## **PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

**BLOCK A**

**Identity of Publicly Traded Assets  
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS*, Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
  - (2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest excepted investment fund, bank account excepted and qualified blind trust, and publicly traded asset of a retirement plan.

<b>Example:</b> DC, or J	S, <hr/> <i>IBM Corp. (stock)</i> <hr/> (S) <i>Keystone Fund</i>
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Schedule Attached

## **BLOCK B**

# **Valuation of Assets**

**At the close of reporting period**  
**If None, or less than \$1,001,**  
**check the first column.**

## BLOCK C

### Type and Amount of Income

**EXEMPTION TEST** (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. <sup>\*\* This category applies only if the asset is/was held independently by the spouse or dependent child.</sup>

**\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.**

JAMES M. INHOFE  
Details of Revocable Living Trust  
Securities Assets  
Schedule IIIA

ATTACHMENT-PART IIIA-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

<u>Asset and/or Income Source</u>	<u>Category</u>	<u>Year End</u>	<u>Type</u>	<u>Amount of</u>	<u>Income</u>
			<u>Value</u>		<u>Income</u>
* Prosperity Bank Tulsa(Account)		4	d		A
** Congressional Federal Credit Union(Account)		1	a		A
* Arvest Bank & Trust-Tulsa(Account)		4	d		A
* Stillwater National Bank-Tulsa(Account)		5	d		B
* Ford Motor Co.(Stock)		2	b		B
* Visteon Corporation		2	b		B
* Citigroup Inc.		2	b		A
* Central Bank of Oklahoma(formerly ONB)		5	d		B
** Capital Advisors(Schedule Attached)					

\* Spouse Asset  
\*\* Senator's Asset

**JAMES M. INHOFFE**  
**Details of Revocable Living Trust**  
**Capital Advisors**  
**Securities Assets**  
**Schedule IIIA**

<u>Asset and/or Income Source</u>	<u>Category</u>	<u>Year End</u>	<u>Type</u>	<u>Amount of Income</u>
			<u>Value</u>	<u>Income</u>
ALIBABA GROUP HLDG		3	a	A
ALLERGAN PLC F		4	a	A
ALPHABET CLASS A		4	a	A
ALPHABET CLASS B		4	a	A
AMAZON COM INC		4	a	A
ANHEUSER-BUSCH		5	a	A
APPLE, INC		4	a	A
BLACKROCK INC		5	b	D
BRIGHT HORIZON FAM		5	b	C
BRISTOL MYERS		4	b	C
BROOKFIELD ASSET MGMT INC		5	b	C
CHECK PT SOFTWARE		5	b	C
CITIGROUP INC		4	a	A
COHERENT INC		5	b	B
CONTINENTAL RESOURCE		5	a	A
CRISPR THERAPEUTICS		4	a	A
DELTA AIR LINES INC		3	a	A
DISCOVER FINL SVCS		5	a	A
EDITAS MEDICINE INCO		3	b	B
EXXON MOBIL CORP		4	a	A
GILEAD SCIENCES INC		5	b	A
INTELLA THERAPEUTIC		4	a	A
LAB CP OF AMER HLDG		3	a	A
MERCK & COMPANY		4	b	A
MICROSOFT CORP		5	a	C
PAYPAL HOLDINGS INC		4	a	A
PEPSICO INC		5	b	A
PRICELINE COM INC		4	a	D
PROCTER & GAMBLE		4	b	A
SALESFORCE COM		4	a	D
SENSATA TECH HOLDGS		4	a	A
UNITEDHEALTH GRP INC		4	a	A
VISA NC CLA		5	b	B

The assets listed in Schedule IIIa are managed by Capital Advisors, a registered investment adviser. Capital Advisors has full and complete discretion and trading authority over these assets. The asset managers do not consult with me on the nature or timing of any transaction with respect to any of these assets.

The decisions made with respect to the buying and selling of these assets are made by Capital Advisors as part of its overall asset allocation model, which asset allocation model is also used for most, if not all, of the similarly constituted accounts managed by Capital Advisors.

Transactions involving these accounts are reported in part IV.

Inhofe, James M.

## PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

## Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

S, Example: DC, or J	JP Computer, Software Design, Wash DC  Undeveloped land, Dubuque, Iowa
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*Schedule Attached*

BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
check the first column.

1	2	3	4	5	6	7	8	9	10	11	12
None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000

BLOCK C  
Type and Amount of Income

Type of Income									Amount of Income											
a	b	c	d	e	f	g	h	i	A	B	C	D	E	F	G	H	I	J	K	L
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount

x	Example								
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JAMES M. INHOFE  
 Details of Revocable Living Trust  
 Capital Advisors  
 Securities Assets

Schedule IIIB

<u>Asset and/or Income Source</u>	<u>Category</u>	<u>Year End</u>	<u>Type</u>	<u>Amount of</u>
			<u>Value</u>	<u>Income</u>
			<u>6</u>	<u>a</u>
(1) The Padre Company, LLC, Real Estate & Aircraft Tulsa County, Oklahoma & Cameron County, Texas				
(2) Apartment (Contiguous to Residence) Washington, DC				
(3) GMKI, LLC-Located in City of Tulsa, County of Tulsa, State of Oklahoma Tulsa, Oklahoma				
(4) GMKI, LLC, Real Estate-Office Building(\$1,339,500)* Owasso, Oklahoma				
(5) S-IM3RD, LLC, Investment-Tulsa Abstract & Title Company Tulsa, Oklahoma				

Category  
 Year End      Type      Amount of  
Value      Income      Income  
6      a      A

5      c      F  
 9      c      G\*\*  
 7      c      A

7      j\*\*      A

\*Per Tulsa County Assessor

\*\*Combined - same tenant both properties

(6) \*\*\*K1 Distribution

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Reporting Individual's Name

 Amendment

Inhofe, James M.

Page Number

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## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

S,  
Example: DC,  
or J IBM Corp. (stock) NYSE  
(DC) Microsoft (stock) NASDAQ/OTC

	Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)											
					A	B	C	D	E	F	G	H	I	J	K	
1	X			2/1/1X	X								E	X	A	M
2		X		1/27/1X									X	E	X	A
3														M	P	L
4																E
5																
6																
7																
8																
9																
10																
11																
12																

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

JAMES M. INHOFE TTEE FBO JAMES M. INHOFE  
 CAPITAL ADVISORS, INC.  
 PURCHASES

1-1-2017 TO 12-31-2017

<u>DATE PURCHASED</u>	<u>SH SECURITY</u>	<u>TRANSACTION AMOUNT</u>	(IV)
1/17/2017	830 ANHEUSER-BUSCH	C	
1/24/2017	500 UNILEVER PLC	B	
1/26/2017	590 CHECK PT SOFTWARE F	C	
2/6/2017	370 BRISTOL-MYERS SQUIBB	C	
2/16/2017	1285 INTREXON	B	
3/7/2017	1860 GENERAL MOTORS CO	B	
4/5/2017	1560 GENERAL ELECTRIC CO	C	
4/20/2017	4885 HANESBRANDS INC	D	
4/25/2017	725 SALESFORCE COM	B	
5/17/2017	1330 FLUOR CORPORATION	C	
5/30/2017	810 BRIGHT HORIZON FAM	C	
6/9/2017	340 UNITEDHEALTH GRP INC	C	
6/19/2017	1440 SENSA TECH HLDGS	C	
6/22/2017	25 TRISURA GROUP LTD(SPIN OFF)	C	
6/26/2017	1965 RSP PERMIAN INC	A	
7/20/2017	1295 MICROSOFT CORP	C	
8/23/2017	525 INTREXON	A	
8/30/2017	355 COHERENT INC	C	
9/15/2017	975 CVS HEALTH CORP	C	
10/12/2017	40 COHERENT INC	A	
10/17/2017	85 BLACKROCK INC	B	
10/19/2017	1280 CRISPR THERAPEUTICS	B	
10/19/2017	985 EDITAS MEDICINE INC	B	
10/19/2017	845 INTELLIA THERAPEUTIC	B	
10/23/2017	700 PAYPAL HOLDINGS INC	B	
10/23/2017	275 ALIBABA GROUP HLDG	B	
11/13/2017	790 EXXON MOBIL CORP	C	
12/26/2017	1800 DELTA AIR LINES INC	D	

JAMES M. INHOFE TTEE FBO JAMES M. INHOFE  
CAPITAL ADVISORS, INC.

SALES

1-1-2017 TO 12-31-2017

DATE <u>SOLD</u>	<u>SH</u>	<u>SECURITY</u>	(IV)		(IIIa)	
			TRANSACTION <u>AMOUNT</u>	CAPITAL <u>GAIN RANGE</u>	(I)	(II)
1/11/2017	1100	ARROW ELECTRONICS	C	F		
1/19/2017	1210	WELLS FARGO BK	C	F		
2/1/2017	1770	SYNCHRONY FINANCIAL	C			
2/10/2017	1425	CERNER CORP	C			
2/14/2017	260	ALLERGAN PLC	C	E		
2/28/2017	405	BRISTOL-NYERS SQUIBB	B			
3/2/2017	2390	SYNCHRONY FINANCIAL	C			
5/9/2017	1270	UNILEVER PLC FSPONSORED	C			
5/30/2017	490	AMGEN INCORPORATED	C			
6/9/2017	470	JOHNSON & JOHNSON	C			
6/19/2017	1180	UNILEVER PLC FSPONSORED	C			
6/26/2017	1270	NEWFIELD EXPLORATION	C			
7/7/2017	1355	CITIGROUP INC	B			
7/12/2017	25	TRISURA GROUP LTD	A			
7/26/2017	925	GENERAL ELECTRIC CO	A			
8/4/2017	1330	FLUOR CORPORATION	B			
8/30/2017	3640	GENERAL MOTORS CO	B			
10/1/2017	975	CVS HEALTH CORP	F			
10/13/2017	915	WALT DISNEY CO	A			
10/23/2017	3135	GENERAL ELECTRIC CO	D			
10/23/2017	4885	HANESBRANDS INC	E			
11/10/2017	1810	INTREXON	D			
11/13/2017	30	PRICELINE GROUP	E			
11/13/2017	1965	RSP PERMIAN INC	E			

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JAMES M. INHOFE TTEE FBO JAMES M. INHOFE

CAPITAL ADVISORS, INC.

EXCHANGES/NAME CHANGE

1-1-2017 TO 12-31-2017

NONE

Inhofe, James N.

## PART VII. LIABILITIES

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Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) mortgages on your personal residences unless rented (except for Senators); (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

Name of Creditor		Address	Type of Liability	Date Incurred	Interest Rate	Discount Points Paid for Mortgage (Senators Only)	Term if Applicable	Category of Amount					
S, Example: DC, or J	First District Bank	Wash., DC	Mortgage on undeveloped land	2002	13%	1 pt	25 yrs	X	E	X	A	M	P
(J)	John Jones	Wash., DC	Promissory Note	2010	10%	n/a	On dmd	X	E	X	A	M	P
1	Triad Bank	Tulsa, Ok	Line	2013	3%								L
2													E
3													
4													
5													
6													
7													
8													
9													
0													
1													
2													

XEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.  
 \* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

## **PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT**

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

**Exclude:** Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

Name of Organization		Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)	
Example:	National Assn. of Rock Collectors Jones & Smith	NY, NY Hometown, USA	EXAMPLE EXAMPLE	Non-profit education Law Firm	President Partner	6/01 7/06	Present
1	The Padre Company, LLC	Tulsa, OK	LLC	Member	1/2000	Present	
2							
3							
4							
5							
6							
7							
8							
9							
0							
1							
2							
3							

**Compensation totaling \$200 or more from any position must be reported in Part II.**