

This Report Should Be Filed With:

Secretary of the Senate
Office of Public Records
Hart Building, Suite 232
Washington, DC 20510

**PERIODIC DISCLOSURE
OF FINANCIAL
TRANSACTIONS**

14 NOV 17 PM 4:28

STORY OF THE SENATE
(Time/Date)

Reporting Individual's Name

Amendment

Senate Office / Agency in Which Employed

Page Number

Report any purchase, sale, or exchange by you, your spouse, or dependent child within 30 days of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction.

Identification of Assets

		Purchase		Sale		Exchange		Amount of Transaction (x)						
Example:								Transaction Type (x)						
(S) Spouse	IBM Corp. (stock) NYSE	X						Transaction Date (Mo., Day, Yr.)						
(DC) Dependent	(DC) Microsoft (stock)		X											
(J) Child	NASDAQ/OTC			X										
(U) Joint														
1	(s) Schlumberger Limited (stock) NYSE	X						\$1,001 - \$15,000						
2	(s) National Oilwell Varco, Inc. (stock) NYSE	X						\$15,001 - \$50,000						
3	(s) McDonalds Corporation (stock) NYSE	X						\$50,001 - \$100,000						
4	McDonalds Corporation (stock) NYSE	X						\$100,001 - \$250,000						
5	National Oilwell Varco, Inc. (stock) NYSE	X						\$250,001 - \$500,000						
6	Schlumberger Limited (stock) NYSE	X						\$500,001 - \$1,000,000						
7	Devon Energy Corporation (stock) NYSE	X						Over \$1,000,000***						
8	(s) Devon Energy Corporation (stock) NYSE	X						\$1,000,001 - \$5,000,000						
9								\$5,000,001 - \$25,000,000						
10								\$25,000,001 - \$50,000,000						
11								Over \$50,000,000						

29

Stephens Inc.

1-800-643-9691
501-377-2000

• MEMBER •
NEW YORK STOCK EXCHANGE
SECURITIES INVESTORS PROTECTION CORPORATION

111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

CODES	RICHARD O. ROGERS III	NAME	DATE	SECURITY DESCRIPTION	CONFIRMATION
SLB YOU BOT	255	806857108 SCHLUMBERGER LIMITED	11/13/14	16BZK09	437 687684

We Make A Market In This Security

Discretionary Order Average Reported Price

DE	PRICE	PRINCIPAL	COMMISSION	INTEREST	TAXES	MISC	HANDBK	LINE AMOUNT
95.3860		24,323.43						24,323.43

Stephens Inc Custodian for
Brooke F Burr SEP IRA

Winston Salem NC 27104

CONFIRMATION

THANK YOU FOR LETTING US SERVE YOU.

It is agreed between this firm and the customer:
Us, our, we, firm means Stephens Inc.

1. That all transactions are subject to the rules and regulations of the Federal Reserve Board and customs of the Exchange or Market (and its Clearing House if any) where executed.
2. That all securities from time to time carried in the customer's open account, or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect for a sum, not in excess of the aggregate indebtedness of such customers in respect of such securities.
3. That delivery of securities sold and payment for securities bought must be received by us at our office not later than the "Settlement Date," otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.
4. That this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawals from or additions to existing firm personnel, where the customer does not indicate his nonacquiescence in such agreement.
5. In some cases Stephens receives payment for directing orders to particular brokers/dealers or market centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.
6. Time of execution available upon request.
7. There may be, under some circumstances, an additional charge for addition executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.
8. In the event of a call of collateral held in bulk segregation, impartial lotteries are used by the depository and Stephens to apportion the bonds. Uncalled fully paid securities may be withdrawn prior to call unless prohibited by regulations. Details available upon request.
9. If bonds have been delivered to you, we assume no responsibility for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.
10. Callable Securities: Call features may exist which could affect yield. Complete information available on request.
11. All mutual funds are sold by prospectus. Confirmation is acknowledgement of receipt of the prospectus. You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sales charge you pay may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the Prospectus Statement of Additional Information or contact your financial advisor for further information. We may receive payments as an Executing Broker from the fund. Additional information concerning the security will be provided as upon request. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction.
12. CMOs—yields are subject to fluctuation depending on the speed in which the underlying note or receivable prepayments. Specific information is available upon written request.
13. If we acted as agent, name of person with whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.
14. Zero coupon bonds - No periodic payments - callable below maturity value without prior notice by mail to holder unless registered.
15. For advisory accounts, written consent for agency cross transactions may be revoked at any time by written consent to us or advisory affiliate.
16. SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FAILS CHARGE TRADING PRACTICE PUBLISHED BY TIAA AND SIFMA.
<http://www.sifma.org/Services/Standard-Forms-and-Documents/Securitized-Products-Fails-Charge-Trading-Practice/>
17. IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR NOTIFY US IMMEDIATELY FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER.

CODE EXPLANATION
Us, our, we, firm means Stephens Inc.

T- ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

- | | |
|------------------|-----------------|
| 1 Cash | 3 Short margin |
| 2 General Margin | 0 Broker Dealer |

CAP* CAPACITY IN WHICH THE FIRM ACTED

- 1 G As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distributor, administrator or other agreements. See prospectus and SAI re applicable commission (load) and other remuneration. Further information is available on written request.
- 2 As Principal we have sold to you or bought from you this security.
- 3 As Agent for another we have sold to you or bought from you this security.
- 4 As agent for both buyer and seller, charging a commission to buyer or seller or both. Commission amounts may differ. (Agency Cross Transaction)
- 5 As principal with commission field displaying markup or markdown. The price is "The Trade Price" reported, unless it is an average price, see below.
- 6 As Principal; we make a market in this security.
- 7 Riskless Principal
- 8 Multiple capacities, as some combination of principal, riskless principal and/or agent.

A B F As Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and your acceptance of this Confirmation is acknowledgement of receipt of the prospectus.

R As Agent for you we have redeemed or tendered your security.

"IF TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES, DETAILS AVAILABLE ON REQUEST."

Adjusted Options: When standardized options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, expressed as a price per share of the shares subject to the option may also be adjusted, as described in The Options Clearing Corporation Brochure, entitled Characteristics and Risks of Standardized Options, as amended ("Brochure"). The confirmations provided for transactions in standardized options that have been affected by such adjustments will disclose, adjacent to the abbreviation "DEL", the adjusted number of shares deliverable upon exercise of such option, and will disclose adjacent to the abbreviation "EASP", the estimated adjusted strike price, expressed as a price per share, for the shares subject to the option, all calculated in accordance with the descriptions set forth in the Brochure.

Investment securities and products are NOT FDIC insured, are NOT obligations of or guaranteed by any bank, and are subject to LOSS of the principal amount invested (except for certain brokered certificates of deposit), which are also subject to certain risks!

Asset-backed securities subject continuously to prepayment, actual yield may vary according to rates at which the underlying receivables or other financial assets are prepaid. Information concerning factors that affect yield is furnished upon written request.

MTD®: Most Days Method
CUST: Custom Last Gain/Loss Cost
LIFO: First in First Out
UFO: Most Gain/Low Cost

Offering Documents for Municipal Government Sponsored Enterprise ("GSE") and Federal Agency Securities To
AND SIFMA.
<http://www.sifma.org/Services/Standard-Forms-and-Documents/Securitized-Products-Fails-Charge-Trading-Practice/>

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CODES	RICHARD O. ROGERS III
PRICER	GENERAL
TRANSACTION NO.	16DK289
TYPE	1
CUST. TRADE DATE	11/13/14
SECURITY DESCRIPTION	NATIONAL OILWELL VARCO INC
EXPIRATION DATE	437
NET AMOUNT	687684

PRICE	QUANTITY	CUST. NUMBER	COMMISSION	TYPE	TRADE DATE	SECURITY	HOLDING	NET AMOUNT
71.6960	24,018.16							24,018.16

Stephens Inc Custodian for
Brooke F Ritter CED TRA

Winston Salem NC 27104

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CONFIRMATION

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3. That delivery of securities sold and payment for securities bought must be received by us at our office not later than the "Settlement Date," otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.
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6. Time of execution available upon request.
7. There may be under some circumstances, an additional charge for addition of executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.
8. In the event of a call of available bonds or preferred stock held in bulk segregation, impartial lotteries are used by the depository and Stephens to apportion the bonds. Uncalled fully paid securities may be withdrawn prior to call unless prohibited by regulations. Details available upon request.
9. If bonds have been delivered to you, we assume no responsibility for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.
10. Callable Securities: Call features may exist which could affect yield. Complete information available on request.
11. All mutual funds are sold by prospectus. Confirmation is acknowledgement of receipt of the prospectus. You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sales charge you paid may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the Prospectus Statement of Additional Information or contact your financial advisor for further information. We may receive payments as an Executing Broker from the fund.
12. Additional information concerning the security will be provided upon request. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction.
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14. If we acted as agent, name of person with whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.
15. Zero coupon bonds - No periodic payments - callable below maturity value without prior notice by mail to holder unless registered.
16. For advisory accounts, written consent for agency cross transactions may be revoked at any time by written consent to us or advisory affiliate.
17. SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FAILS CHARGE TRADING PRACTICE PUBLISHED BY TMPC <http://www.tmcn.org/Services/Standard-Forms-and-Documents/Securitized-Products-Fails-Charge-Trading-Practice/>

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AGREEMENT

CODE EXPLANATION
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T- ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

1 Cash

2 General Margin

3 Short margin

0 Broker Dealer

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1 G As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distributor, administration or other agreements. See fund prospectus and SAI re applicable commission (load) and other remuneration. Further information is available on written request.

2 As Principal we have sold to you or bought from you this security.

3 As Agent for another we have sold to you or bought from you this security.

4 As agent for both buyer and seller, charging a commission to buyer or seller or both. Commission amounts may differ. (Agency Cross Transaction)

5 As principal with commission field displaying markup or markdown. The price is "The Trade Price reported, unless it is an average price, see below."

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7 Riskless Principal

8 Multiple capacities, at some combination of principal, riskless principal and/or agent.

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SECURITIES INVESTORS PROTECTION CORPORATION

111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

CODES	RICHARD O. ROGERS III	NET AMOUNT
MCD YOU BOT	165M1Y9 580135101 MCDONALDS CORPORATION	437 687684

PRICE	QUANTITY	ORDER NUMBER	COMMISSION	INTEREST	TAXES	DISCOUNT	NET AMOUNT
95.9190 335	32,132.87						32,132.87

We Make A Market In This Security Discretionary Order DE

Stephens Inc Custodian for

Brooke F Burr SEP IRA

CONFIRMATION

THANK YOU FOR LETTING US SERVE YOU.

AGREEMENT

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CODE EXPLANATION

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T. ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

1 Cash

2 General Margin

3 Short margin

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1 G As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distributor, administration or other agreements. See fund prospectus and SAI re applicable commission (fixed) and other remuneration. Further information is available on written request.

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ADJUSTED OPTIONS:

When standardized options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, expressed as a price per share of the shares subject to the option may also be adjusted, as described in The Options Clearing Corporation Brochure, entitled Characteristics and Risks of Standardized Options, as amended ("Brochure"). The continuations provided for transactions in standardized options that have been affected by such adjustments will disclose, adjacent to the abbreviation DEC, the adjusted number of shares deliverable upon exercise of such option, and will disclose, adjacent to the abbreviation EASP, the estimated adjusted strike price, expressed as a price per share, for the shares subject to the option, all calculated in accordance with the descriptions set forth in the Brochure.

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Stephens Inc.

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SECURITIES INVESTORS PROTECTION CORPORATION

111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

CODES	RICHARD O. ROGERS III	NAME
MCD YOU BOT	166J6Y9 11,511.42	1 11/13/14 1321844 437 687684 580135101 MCDONALDS CORPORATION

We Make A Market In This Security
Discretionary Order
DE

PRICE	PRINCIPAL	COMMISSION	INTEREST	TRADE	MISC	NET PAYMENT
95.9285	11,511.42					11,511.42

Stephens Inc Custodian for
Richard M Burr IRA

Winston Salem NC 27104

CONFIRMATION

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AGREEMENT
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16. AND SIFMA AT <http://www.sifma.org/Services/Standard-Forms-and-Documents/Securitized-Products-Fails-Charge-Trading-Practice/>

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2 General Margin	0 Broker Dealer

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<http://www.sifma.org/Services/Standard-Forms-and-Documents/Securitized-Products-Fails-Charge-Trading-Practice/>

ATMID® Call basis Methods

CUST Customer

HIFO Least Gain/Highest Cost

LIFO Last In/First Out

FIFO First In/First Out

LUFO Most Gain/Low Cost

Offering Documents for Municipal, Government Sponsored Enterprise ("GSE") and Federal Agency Securities. To obtain recent notices and offering documents, including Official Statement, if available, or other offering information visit the following website:

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1-800-643-9691
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111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

CODES	RICHARD O. ROGERS III													
PRICE	PRINCIPAL	COMMISSION	INTEREST	TRAN.FEE	MISC	HANDLING	NET AMOUNT							
71.7660	8,611.92													8,611.92

SECURITIES INVESTORS PROTECTION CORPORATION

Discretionary Order DE

SPECIAL DELIVERY INSTRUCTIONS											
NOV	YOU	SYMBOL	ACCOUNT NO.	TRN	TRANS NO	MTHD	CAP	TRADE DATE	SETTLE DATE	OFC	NE
	BOT	120			16EU3P9			11/13/14	11/18/14	437	687684

Stephens Inc Custodian for
Richard M Burr IRA

Winston Salem NC 27104

CONFIRMATION

THANK YOU FOR LETTING US SERVE YOU.

CODE EXPLANATION

Us, our, we, firm means Stephens Inc.

T - ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

1 Cash

2 General Margin

3 Short margin

0 Broker Dealer

CAP* CAPACITY IN WHICH THE FIRM ACTED

1 G As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distribution, administration or other agreements. See fund prospectus and SAI if applicable commission (load) and other remuneration. Further information is available on written request.

2 As Principal we have sold to you or bought from you this security.

3 As Agent for another we have sold to you or bought from you this security.

4 As agent for both buyer and seller, charging a commission to buyer or seller or both. Commission amounts may differ. (Agency/Cross Transaction)

5 As principal with commission field displaying markup or markdown. The price is "The Trade Price reported, unless it is an average price, see below."

6 As Principal we make a market in this security

7 Riskless Principal

8 Multiple capacities, as some combination of principal, riskless principal and/or agent.

A B F As Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and your acceptance of this Confirmation is acknowledgement of receipt of the prospectus.

R As Agent for you we have redeemed or tendered your security

"IF TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE

REPORTED PRICES DETAILS AVAILABLE ON REQUEST."

Adjusted Options. When standardized options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, expressed as a price per share of the shares subject to the option may also be adjusted as described in The Options Clearing Corporation Brochure, entitled Characteristics and Risks of Standardized Options, as amended ("Brochure"). The confirmations provided for transactions in standardized options that have been affected by such adjustments will disclose, adjacent to the abbreviation "DEL", the adjusted number of shares deliverable upon exercise of such option, and will disclose adjacent to the abbreviation "EASP" (the estimated adjusted strike price, expressed as price per share, for the shares subject to the option, all calculated in accordance with the descriptions set forth in the Brochure).

Investment securities and products are NOT FDIC insured are NOT obligations of or guaranteed by any bank and are subject to LOSSES of the principal amount invested except for certain brokered certificates of deposit which are also subject to certain risks!

Asset-backed securities subject continuously to prepayment, actual yield may vary according to rate at which the underlying receivables or other financial assets are prepaid. Information concerning factors that affect yield (including all minimum estimated yield, weighted average life, and the prepayment assumptions underlying yield) furnished upon written request.

1. It is agreed between this firm and the customer:
 1. That all transactions are subject to the rules and regulations of the Federal Reserve Board and customs of the Exchange or Market (and its Clearing House if any) where executed.
 2. That all securities from time to time carried in the customer's open account, or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect for a sum, not in excess of the aggregate indebtedness of such customers in respect of such securities.
 3. That delivery of securities sold and payment for securities bought must be received by us at our office not later than the "Settlement Date," otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.

4. That this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawals from or additions to existing firm personnel, where the customer does not indicate his nonacquiescence in such agreement.

5. In some cases Stephens receives payment for directing orders to particular broker/dealers or market centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.

6. Time of execution available upon request.

7. There may be, under some circumstances, an additional charge for add'l executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.

8. In the event of a call of callable bonds or preferred stock held in bulk segregation, impartial lotteries are used by the depository and Stephens to apportion the bonds. Uncalled fully paid securities may be withdrawn prior to call unless prohibited by regulations. Details available upon request.

9. If bonds have been delivered to you, we assume no responsibility for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.

10. Callable Securities: Call features may exist which could affect yield. Complete information available on request.

11. All mutual funds are sold by prospectus. Confirmation is acknowledgement of receipt of the prospectus. You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sales charge you pay may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the Prospectus Statement of Additional Information or contact your financial advisor for further information. We may receive payments as an Executing Broker from the fund. Additional information concerning the security will be provided upon request. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction.

12. CMO's--yields are subject to fluctuation depending on the speed in which the underlying note or receivable prepay's. Specific information is available upon written request.

13. If we acted as agent, name of person with whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.

14. Zero coupon bonds -- no periodic payments -- callable below maturity value without prior notice by mail to holder unless registered.

15. For advisory accounts, written consent for agency cross transactions may be revoked at any time by written consent to us or advisory affiliate.

16. SUBECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FAILS CHARGE TRADING PRACTICE PUBLISHED BY TMG AND SIFMA AT <http://www.sifma.org/ServiceAndDocumentationSecuritizedProductsSecuritizedProductsFailsChargeTradingPractice/>

17. IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY. FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER.

Stephens Inc.

1-800-643-9691
501-377-2000

* MEMBER *
NEW YORK STOCK EXCHANGE
SECURITIES INVESTORS PROTECTION CORPORATION

111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

CODES	RICHARD O. ROGERS III	DE
SLB YOU BOT	16AT7B9 90 806857108 SCHLUMBERGER LIMITED	1 11/13/14 13/18/21 437 687684

We Make A Market In This Security

Discretionary Order

DE

PRICE	CHARGE	COMMISSION	INTEREST	FEES	MISC	HOLDING	NET AMOUNT
95.4700	8,592.30						8,592.30

SPECIAL RETENTION INSTRUCTIONS

Stephens Inc Custodian for
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CONFIRMATION

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- That all securities from time to time carried in the customer's open account, or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect for a sum, not in excess of the aggregate indebtedness of such customers in respect of such securities.
- That delivery of securities sold and payment for securities bought must be received by us at our office not later than the "Settlement Date," otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.
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- If we acted as agent, name of person with whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.
- Zero coupon bonds - No periodic payments - Callable below maturity value without prior notice by mail to holder unless registered.
- For advisory accounts, written consent for agency cross transactions may be revoked at any time by written consent to us or advisory affiliate.
- SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FAILS CHARGE TRADING PRACTICE PUBLISHED BY TMPC

<http://www.sec.gov/Archives/edgar/Data/Standard-Forms-and-Documents/Securitized-Products-Securitized-Products-Fails-Charge-Trading-Practice.html>

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AND SIFMA

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 - 4 As agent for both buyer and seller, charging a commission to buyer or seller or both. Commission amounts may differ. (Agency Cross Transaction)
 - 5 As principal with commission field displaying markup or markdown. The price is "The Trade Price reported, unless it is an average price, see below."
 - 6 As Principal; we make a market in this security.
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 - 8 Multiple capacities, as some combination of principal, riskless principal and/or agent.
- A B F As Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and your acceptance of this confirmation is acknowledgement of receipt of the prospectus.
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- Adjusted Options:** When standardized options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, expressed as a price per share of the shares subject to the option, may also be adjusted, as described in The Options' Clearing Corporation Brochure, entitled Characteristics and Risks of Standardized Options, as amended ("Brochure"). The confirmations provided for transactions in standardized options that have been affected by such adjustments will disclose, adjacent to the abbreviation "DEC," the adjusted number of shares deliverable upon exercise of such option, and will disclose, adjacent to the abbreviation "EASP," the estimated adjusted strike price, expressed as a price per share, for the shares subject to the option, all calculated in accordance with the descriptions set forth in the Brochure.
- Investment securities and products are NOT FDIC insured, are NOT obligations of or guaranteed by any bank and are subject to LOSS of the principal amount invested (except for certain brokered certificates of deposit which are also subject to certain risks). Asset-backed security subject continuously to prepayment, actual yield may vary according to rates at which the underlying receivables or other financial assets are prepaid. Information concerning factors that affect yield (including at a minimum estimated yield, weighted average life, and the prepayment assumption underlying yield) furnished upon written request.

HTND: Coal Pass Holdings

CUST: Custom

LIFO: Last In First Out

FIFO: First In First Out

LIFO: Last In First Out

FIFO: First In First Out

CUST: Custom

FIFO: First In First Out

LIFO: Last In First Out

FIFO: First In First Out

CUST: Custom

FIFO: First In First Out

LIFO: Last In First Out

FIFO: First In First Out

CUST: Custom

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CODES	RICHARD O. ROGERS III	NAME NO.	MILES	CASE	TRANSACTION DATE	SELLER/BUYER	SECURITY DESCRIPTION	CONFIRMATION NUMBER
DVN YOU SLD	1.85	16986R9	FIFO	1	11/13/14	33318774	437 687684	25179M103 DEVON ENERGY CORP

We Make A Market In This Security

Discretionary Order
DE

PRICE	PRINCIPAL	COMMISSION	INTEREST	TAXES	DISC	FEES	NET AMOUNT
63.4701	11,741.97		0.26				11,741.71

Stephens Inc Custodian for
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Winston Salem NC 27104

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2. That all securities from time to time carried in the customer's open account, or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect for a sum, not in excess of the aggregate indebtedness of such customers in respect of such securities.
3. That delivery of securities sold and payment for securities bought must be received by us at our office not later than the "Settlement Date," otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.
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15. For advisory accounts, written consent for agency cross transactions may be revoked at any time by written consent to us or advisory affiliate.
16. SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY ABS FAILS CHARGE TRADING PRACTICE PUBLISHED BY TMPS AND SURIA AT <http://www.suria.org/SuriasStandardForms-and-Documetation/Securitized-Products/Securitized-Products-FailsCharge-Trading-Practice>
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