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Coie**

SECRETARY OF THE SENATE

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August 13, 2014

Secretary of the Senate
Office of Public Records
232 Hart Senate Office Building
Washington, DC 20510

Re: Senator Mark R. Warner

Dear Madame Secretary:

We are writing on behalf of Senator Mark R. Warner. Enclosed please find Senator Warner's Public Financial Disclosure Report for the calendar year ending December 31, 2013.

Please do not hesitate to call us should you have further questions. Thank you for your attention to this matter.

Very truly yours,

Marc E. Elias, Esq.

Marc Erik Elias
Kate Sawyer Keane
Counsel to Senator Mark Warner

Enclosure

66364-0001/LEGAL/122995413.1

ANCHORAGE BEIJING BELLEVUE BOISE CHICAGO DALLAS DENVER LOS ANGELES MADISON NEW YORK
PALO ALTO PHOENIX PORTLAND SAN DIEGO SAN FRANCISCO SEATTLE SHANGHAI TAIPEI WASHINGTON, D.C.

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UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

<input type="checkbox"/> Amendment			
First Name	First Name and Middle Initial	Annual Report	
Warner	Mark R.	Calendar Year Covered by Report	Senate Office / Agency in Which Employed
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report	Prior Office / Agency in Which Employed
Russell Senate Office Building, Washington, DC 20510	202-224-1813	Termination Date (mm/dd/yy)	

AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes, complete and attach PART I.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$350 from one source)? If Yes, complete and attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-vestment income of more than \$200 from any reportable source in the reporting period? Yes, complete and attach PART II.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or vestment income of more than \$200 in the reporting period? Yes, complete & attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any portable asset worth more than \$1,000 in the reporting period? Yes, complete and attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? Yes, complete and attach PART V.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, complete and attach PART X.	<input type="checkbox"/>	<input type="checkbox"/>

Each question must be answered and the appropriate PART attached for each “YES” response.

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THE SENATE

Reporting Individual's Name

 Amendment

Page Number

Sen. Mark Warner

PART I. PAYMENTS TO PAY CHARITABLE ORGANIZATIONS IN LIEU OF HONORARIA

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Report the source (name and address), date, and amount of any payment from each source to a charitable organization made in lieu of honoraria to you during the reporting period. Identify the activity (speech, article, or appearance), which generated the payment. For further information, see Instructions.

Note: Travel expenses in excess of \$350 related to activities giving rise to these payments must be reported in Part VI, Reimbursements.

Date of Payment	Name of Source	Address (City, State)	Speech, Article, or Appearance	Amount
Example:	3/26/1X Association of American Associations	Wash., DC EXAMPLE	Speech	\$1,000
	7/23/1X XYZ Magazine	NY, NY EXAMPLE	Article	\$500
1 2/23/13	Ms. Atkins; payment to Patient Advocate Foundation	Hampton, VA	Appearance	\$300
2 3/1/13	Information requested; payment to Greater McLean Chamber of Commerce	McLean, VA	Appearance	\$350
3 3/2/13	Jill Battaglia; payment to Wakefield Forest	Fairfax, VA	Appearance	\$300
4 3/8/13	Information requested; payment to Merritt Academy	Fairfax, VA	Appearance	\$300
5 3/27/13	Tom Sheets and Bruce Wiseman; payment to Greater Augusta Chamber	Augusta, VA	Appearance	\$2,000
6 4/6/13	Information requested; payment to United Communities Ministries	Alexandria, VA	Appearance	\$225
7 4/6/13	Sean McDermott; payment to MCCP	McLean, VA	Appearance	\$450
8 4/13/13	Laura Kostel; payment to Virginia Symphony Orchestra	Williamsburg, VA	Appearance	\$1,800
9 4/19/13	Thomas Weidner; payment to Waynesboro Family YMCA	Waynesboro, VA	Appearance	\$750
10 5/4/13	Information requested; payment to Campagna Center	Alexandria, VA	Appearance	\$300
11 9/13/13	Information requested; payment to Colonial Heritage	Williamsburg, VA	Appearance	\$650
12 11/2/13	Information requested; payment to Mount Vernon Community School	Mount Vernon, VA	Appearance	\$200
13 11/16/13	Cheryl Amick; payment to National Kidney Foundation	Washington, DC	Appearance	\$220

A separate, confidential report which names the charitable organization receiving such payments must be filed directly with the Select Committee on Ethics.

Reporting Individual's Name

 Amendment

Page Number

Sen. Mark Warner

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PART I. PAYMENTS TO PAY CHARITABLE ORGANIZATIONS IN LIEU OF HONORARIA

Report the source (name and address), date, and amount of any payment from each source to a charitable organization made in lieu of honoraria to you during the reporting period. Identify the activity (speech, article, or appearance), which generated the payment. For further information, see Instructions.

Note: Travel expenses in excess of \$350 related to activities giving rise to these payments must be reported in Part VI, Reimbursements.

Date of Payment		Name of Source	Address (City, State)	Speech, Article, or Appearance	Amount
Example:	3/26/1X	Association of American Associations	Wash., DC	EXAMPLE	Speech \$1,000
	7/23/1X	XYZ Magazine	NY, NY	EXAMPLE	Article \$500
1	11/16/13	Jennifer Stevens; payment to An Achievable Dream	Newport News, VA	Appearance	\$400
2	12/19/13	Information requested; payment to PRS Inc.	Merrifield, VA	Appearance	\$300
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					

A separate, confidential report which names the charitable organization receiving such payments must be filed directly with the Select Committee on Ethics.

Click  to create an additional page for this part.

Part II			
Earned and Non-Investment Income			
Name of Income Source	Address (City, State)	Type of Income	Amount
			-

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MARTIAL
Publicly Traded Assets & Unearned Income SourcesIdentity of Publicly Traded Assets
And Unearned Income Sources

	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Valuation of Assets		Type and Amount of Income																	
								Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000
Individual Retirement Account								X																			
Artisan International Fund																X											
Capital World Growth Fund Class A	X															X											
Personal																											
JPMorgan-Checking/ATM Account						X										X											
MRW Home, LLC - JPMorgan - Checking Account	X															X											
MRW Office, LLC - JPMorgan - Checking Account	X															X											
JPMorgan-MMIA Savings																X											
THE MRW BLIND TRUST																											
Templeton Global Bond Fund	X															X											
JPM Strategic Income Opportunities Fund									X							X											
JPM Strategic Income Opportunities Fund									X							X											
JPM High Yield FD - Sel	X															X											X
Oppenheimer Developing Markets Fund										X						X											
Steel Partners Holdings LP		X														X			X								
Colt Telecom Group SA										X						X			X								
Handy & Harman Group LTD Sub Sec 10/10 (Steel Partners)	X															X											X
SPDR S&P 500 ETF Trust											X					X											
Blackrock Equity Dividend Fund Inst											X					X											
RS Global Natural Resources Fund											X					X											
First Eagle Overseas FD Class I											X					X											X
Oppenheimer Developing Markets											X					X											
Templeton Global Bond Fund Advisor	X															X											
Sands Capital Global Growth Fund											X					X											X
Artisan International Fund											X					X											
Artisan International Small Cap Fund											X					X			X								
Baron Growth Fund Institutional Class Open End Fund											X					X			X								
Vulcan Value Partners Small Cap Fund											X					X			X								X
Wisdomtree TR Smallcap Dividend Fund											X					X			X								
Wisdomtree TR Japan Hedged Equity Fund											X					X			X								

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Part II
Publicly Traded Assets & Unearned Income SourcesIdentity of Publicly Traded Assets
And Unearned Income Sources

	None (or less than \$1,001)	Valuation of Assets				Type and Amount of Income						Amount of Income									
		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	
DB AB Inv Cash Trust Treasury Instl								x						x							
Doubteline Total Return Bond Fund Class I	x													x						x	
Doubleline Core Fixed Income Fund Class I	x													x						x	
DB AB Cash Reserve Prime Series Money Market Fund								x						x					x		
Deutsche Tax-Free Money Market Fund					x									x					x		
JPMorgan Cash Deposit	x												x			x					
JPMorgan Prime Money Market Fund - Non-USD			x										x			x					
JPMorgan Tax Free Resv Sweep Fund				x									x			x					
Consolidated Bank & Trust Certificate of Deposit			x										x					x			
Virginia Commerce Bank Certificate of Deposit			x										x					x			
Burke & Herbert Certificate of Deposit				x									x					x			
Morgan Stanley Bank N.A. #	x												x			x					
MGE, LLC													x			x					
Deutsche Tax-Free Money Fund			x										x			x					
Doubleline Total Return Bond Fund Class I		x											x			x		x			
LISA COLLIS (SPOUSE)																					
JPMorgan Checking	x												x			x					
JPMorgan Checking		x											x			x					
Individual Retirement Account																					
Artisan International Fund		x											x			x					
Washington Mutual Investors Fund Class A		x											x			x		x			
THE LDC BLIND TRUST (S Trust)																					
DB AB Inv Cash Trst Treasury Instl		x											x			x					
Virginia Commerce Bank Certificate of Deposit			x										x			x					
Consolidated Bank and Trust Certificate of Deposit			x										x			x					
Blackrock Equity Dividend Fund Instl				x									x			x			x		
Vulcan Value Partners Fund				x									x			x			x		
Artisan International Fund				x									x			x		x			
MGE, LLC					x								x			x					
Deutsche Tax-Free Money Fund					x								x			x					
Doubleline Total Return Bond Fund Class I					x								x			x		x			
DEPENDENT CHILDREN ASSETS: IRREVOCABLE TRUSTS																					

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Part IIIA
Publicly Traded Assets & Unearned Income Sources

Identity of Publicly Traded Assets And Unearned Income Sources	Valuation of Assets	Type and Amount of Income																					
		Type of Income				Amount of Income																	
None (or less than \$1,000)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Qualified Blind Trust	Other	None (or less than \$1,000)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$1,000,000
JP Morgan Tax Free Money Market Premier Fund	X														X								
JPMorgan Tax Free Bond Fund Select Share Class Fund	X														X								
JPMorgan Mid Cap Value Fund	X														X								
Matthews Pacific Tiger Fund	X														X								
Ishares Russell Midcap Growth Index Fund	X														X								
JPMorgan US Large Cap Core Plus Fund Select	X														X								
JPMorgan High Yield Bond Fund	X														X								
JPM Strategic Income Opportunities Fund	X														X								
Manning & Napier Fund Inc		X													X								
SPDR S&P 500 ETF Trust		X													X								
Artisan International Value Fund- Inv		X													X								
Dodge & Cox International Stock		X													X								
Eaton Vance Mutual Funds TR - Floating Rate I		X													X								
JPMorgan TR I - Tax Aware Real Return Fund Select Share Class Fund	X														X								
Dreyfus/Laurel FDS TR- Prm Emerging Market I	X														X								
JPM Large Cap Growth Fund - Select	X														X								
Aberdeen Asia Pacific Ex Japan	X														X								
JPM Value Advantage FD - Instl	X														X								
Doubleline Total Return Bond-I		X													X								
Ishares MSCI EAFE Index Fund	X														X								
Vanguard FTSE Europe ETF	X														X								
JPM China Region Fund - Sel	X														X								
Oppenheimer Developing Mkt-Y	X														X								
JPM Global Res ENH Index Fund - Sel	X														X								
Oakmark International Fund - I	X														X								
DEPENDENT CHILDREN ASSETS: 1999 TRUST																							
JP Morgan Tax Free Money Market Premier Class Sweep Fund			X												X			X					
JPMorgan Tax Free Bond Fund			X												X				X				
JPMorgan TR I - Tax Aware Real Return Fund Select Share Class Fund		X													X			X					
Ishares Russell Midcap Growth Index Fund		X													X			X					
JPMorgan Strategic Income		X													X			X					

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Publicly Traded Assets & Unearned Income Sources

Identity of Publicly Traded Assets
And Unearned Income Sources

	None (or less than \$1,000)	Valuation of Assets						Type and Amount of Income																					
		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Exempted Investment Fund	Exempted Trust	Qualified Blind Trust	Other	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***
Gateway Fund- Y	X												X							X									
JPMorgan US Real Estate Fund	X													X								X							
Matthews Pacific Tiger Fund	X													X								X							
SPDR Gold Trust	X													X							X								
Harbor High Yield Bond Fund- INS	X													X								X							
Eaton Vance Floating Rate- I		X												X								X							
Dreyfus/Laurel FDS TR	X													X								X							
The Arbitrage Funds-I CL I	X													X								X							
Eaton Vance Mut FDS TR Global Macro Absolute Return I	X													X								X							
Highbridge Dynamic Commodities	X													X								X							
JPM Large Cap Growth Fd - Sel	X													X								X							
Primecap Odyssey Funds		X												X								X							
SPDR S&P 500 ETF Trust		X												X								X							
Artisan Intl Value Fund - INV		X												X								X							
MFS Intl Value - I			X											X								X							
Aberdeen Asia Pacific Ex Japan		X												X								X							
JPM EM Markets Equity Fund - Select	X													X								X							
Ridgeworth Funds			X											X								X							
GS BREN SPX 05/15/13 10% Buffer-2 XLEV- 6.52% CAP 13.04%	X													X								X							
GS Cont Buff EQ SPX 07/10/13 80% Contin Barrier - 10.5% CPN 15% Cap	X													X								X							
GS Cont Buff EQ SPX 08/21/13 80% Contin Barrier - 7.6% CPN 15% Cap	X													X								X							
JPM Equity Income Fund - Sel		X												X								X							
UBS Cont Buff EQ SPX 06/12/13	X													X								X							
BNP BREN EAFE 6/19/13		X												X								X							
BNP BREN EAFE 7/17/13		X												X								X							
CS BREN EAFE 08/14/13		X												X								X							
DB Market Plus SX5E 03/19/14 80% Contin Barrier 7.6% CPN		X												X								X							
BNP Delta One MSCI World TR 6/14/13	X													X								X							
HSBC Delta One MSCI World TR 6/14/13	X													X								X							
AIM Inv FDS (INV Balanced Risk Allocation - Y)		X												X								X							

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Hart WA
Publicly Traded Assets & Unearned Income SourcesIdentity of Publicly Traded Assets
And Unearned Income Sources

		Valuation of Assets						Type and Amount of Income													
		\$1,000 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	
BARC CBEN Commodity Basket 06/12/13	x												x								
SG Brent CBEN 10/25/13 80% Barrier 13.75% CPN	x												x								
Doubleline FDS TR		x											x								
JPM Short Duration Bond FD- Sel		x											x						x		
BARC REN SPX 09/04/14		x											x					x			
CS LEV Cont Buff Eq MXEA 9/4/14		x											x				x				
Ishares MSCI EAFE Index Fund		x											x				x				
SG REN MXEA 06/25/14		x											x				x				
Vanguard FTSE Europe ETF		x											x				x				
JPM China Region Fund - SEL		x											x				x				
Virtus Emerging Markets Opportunity - I		x											x				x				
JPM Global RES ENH Index Fund - SEL		x											x				x				
BARC BEN BRENT 09/29/14		x											x				x				
Pimco Commodity PL Strat-P		x											x				x				
DB PIMS 90% PPN 02/14/14		x											x				x				
MGE, LLC													x				x				
Deutsche Tax-Free Money Fund								x					x				x				
Doubleline Total Return Bond Fund Class I						x							x				x				

Non-Publicly Traded Assets & Unearned Income Sources

Identity of Non-Publicly Traded Assets
And Unearned Income Sources

	None (or less than \$1,001)	Valuation of Assets						Type and Amount of Income																							
		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$150,000	\$150,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***
THE MRW BLIND TRUST																															
Loan: Murray M. Bonitt, Alexandria, VA					x														x												
Ramsey BPI Investors, LLC, McLean, VA, Investment fund Best Practices, Inc., Fairfax, VA, Medical services	x															x						x									
J^4 Ramsey Long Biased Fund, LP, McLean, VA, Investment func	x													x	x	x										x					
Steel Partners II, L.P., New York, NY, Investment func		x												x			x		x												
Hound Partners 3(c)7 LP, New York, NY, Investment fund								x						x			x								x						
Keel Point - EJF Distressed Fund II, LLC, Arlington, VA, Investment fund	x													x			x										x				
J^4 EJF Select Fund, LP, Alexandria, VA, Investment fund	x													x	x	x	x								x						
Salzman Onshore, L.P., New York, NY, Investment func	x													x												x					
Sire Global Partners, LP, Fund of Funds, New York, NY	x													x			x								x						
Apollo Value Investment Fund, L.P., New York, NY								x						x			x								x						
Apollo VII Private Investors, New York, NY, investment Func								x						x			x								x						
Apollo EPF Private Investors, LLC, New York, NY, Investment Fund							x							x			x								x						
AESSF II Private Investors LLC, New York, NY, Investment Fund								x	x					x			x								x						
Coatue Qualified Partners LP CI D, New York, NY Investment Func								x						x			x								x						
Highbridge Multi-Strategy Holdings, LP., New York, NY, Investment Func	x													x			x								x						
1225 King Street Associates, LLC, Commercial Property, Alexandria, VA					x									x	x										x						
Woodlawn Terrace Apartments L/P, Fairfax, VA, Investment Company Apartment Complex, Woodbridge, VA	x													x			x								x						
Capital Cellular Corporation, Alexandria, VA, Investment company MSA Investments, LP, Alexandria, VA						x								x	x										x						
Topeka KS, LP - Topeka, KS, Investment company Minority interest in Topeka, KS RSA Cellular License						x								x	x										x						
Petersburg, WV, LP - Little Rock, AR, WV, Investment company Minority interest in Petersburg, WV RSA Cellular License						x								x	x										x						
Columbia Capital LLC, Alexandria, VA, Management Company	x																x								x						
Columbia Capital III, LLC, Alexandria, VA, Management Compan	x																x								x						
Columbia Capital Investors, L.L.C., Alexandria, VA, Investment company	x													x			x								x						
Columbia Capital Investors III, LLC, Alexandria, VA, Investment company								x									x								x						
Columbia Capital Equity Partners IV, L.P., Alexandria, VA, Investment company								x						x			x								x						
Columbia Capital Equity Partners V, L.P., Alexandria, VA, Investment company								x						x			x								x						
Capital Investors II, LLC, Arlington, VA, Investment company Lucid Media, McLean, VA, Advertising platform/software	x																x								x						
Envest II LLC, Virginia Beach, VA, Investment Company	x															x									x						
Envest III LLC, Virginia Beach, VA, Investment Company								x						x			x								x						
Novus Energy Partners US LP, Alexandria, VA, Investment Company									x					x			x								x						

Non-Publicly Traded Assets & Unearned Income Sources

Identity of Non-Publicly Traded Assets
And Unearned Income Sources

	None (or less than \$1,001)	Valuation of Assets					Type and Amount of Income																							
		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Exempted Investment Fund	Exempted Trust	Qualified Blind Trust	Other	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$150,000	\$150,001 - \$100,000	\$100,001 - \$5,000,000
Novus Special Situations LLC, Alexandria, VA, Investment Company							X													X										
Norwegian Crystals AS, Oslo, Norway, Manufacturing of solar equipment																														
Tucker Anthony Private Equity Fund III, L.P., Boston, MA, Investment Company								X																						
Updata Venture Partners II B, LP, Reston, VA, Investment Company							X																							
Updata Partners III L.P., Reston, VA, Investment Company								X																						
Updata Partners IV, Reston, VA, Investment Company									X																					
Alexandria NBIS Investors, LLC, Alexandria, VA, Investment Company									X																					
SunTX NBIS Holdings, L.P., Dallas, TX										X																				
NationsBuilders Insurance Services, Inc., Atlanta, Ga, Insurance Co.											X																			
MRW Biotech Investors , LLC, Alexandria, VA Investment Company												X																		
New River Management V, Radford, VA, Biotechnology												X																		
Halozyme Therapeutics, Inc., San Diego, CA, Biotechnology												X																		
Intrexon Corporation, Blacksburg, VA, Biotechnology												X																		
Pinnacle Pharmaceuticals, Inc., Charlottesville, VA, Specialty pharmaceuticals													X																	
New River Management VI, Radford, VA, Biotechnology												X																		
Intrexon Corporation, Blacksburg, VA, Biotechnology												X																		
Pinnacle Pharmaceuticals, Inc., Charlottesville, VA, Specialty pharmaceuticals												X																		
Trilliant Networks, Inc., Redwood City, CA, Intelligent Network Solutions Compan												X																		
DubmeNow, Inc., Vienna, VA, Software Management													X																	
Private Investments Liquidating Trust, New York, NY, Investment Company													X																	
Mid-Ocean III Private Investors LLC, New York, NY, Investment Company														X																
CCMP Capital Investors II, LLC, New York, NY, Investment Company														X																
AP Europe VII, LP, New York, NY, Investment Company														X																
Bear Creek Investors LLC, Alexandria, VA, Investment Fund														X																
Charter Communications Inc., St. Louis, MO, Telecommunications														X																
Millennial Media, Baltimore, MD, Mobile Advertising company														X																
Definitive Guardian Partners, LP, Radnor, PA, Investment Fund														X																
Alexandria RC Investors LLC, Alexandria, VA, Investment Fund														X																
RideCharge Inc., Alexandria, VA, Software company (Riderway Inc.)														X																
Mphoria Holdings, Inc., Huntersville, NC, Investment Fund														X																
Sweet Relish, Huntersville, NC, Social Commerce Platform															X															
TZG-Sachs Empire LLC, Rockville, MD, Investment Fund														X																
EMSG LLC, Rockville, MD, Secured Debt															X															
WDE Partners, I.P., New York, NY, Investment Fund															X															
West Deptford Energy Holdings, LLC, New York, NY, Energy company																X														
Kayne Anderson MLP Fund, LP, Los Angeles, CA, Investment Fund																X														
Equinox Partners, LP, New York, NY, Investment Fund																X														
MS HP Millennium Str Cap LP, New York, NY, Investment Fund																X														

Identity of Non-Publicly Traded Assets

And Unearned Income Sources

	None (or less than \$1,000)	Type of Income						Amount of Income	
		Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	
Golden Mean Investment Fund, LP, Alexandria, VA, Investment Company	\$1,001 - \$15,000	X				X			\$1,001 - \$15,000
Tucker Anthony Private Equity Fund II, L.P., Boston, MA, Investment Company	\$15,001 - \$50,000	X				X			\$2,501 - \$5,000
Tucker Anthony Private Equity Fund II, L.P., Boston, MA, Investment Company	\$50,001 - \$100,000	X				X			\$5,001 - \$15,000
SunTx Fulcrum Fund, L.P., Dallas, TX, Investment company	\$100,001 - \$250,000	X				X			\$15,001 - \$50,000
Park Group, Inc., Dallas, TX, Insurance company		X				X			\$50,001 - \$100,000
Interface Security Holdings, Inc., Earth City, MO, Security systems company		X				X			\$100,001 - \$250,000**
Construction Partners, Inc., Dothan, AL, Construction company			X						
SunTx Interface, LP, Dallas, TX, Investment company			X						
Interface Security Holdings, Inc., Earth City, MO, Security systems company			X						
SunTx NBIS Holdings, LP, Dallas, TX, Investment Company				X					
NationsBuilders Insurance Services, Inc., Atlanta, GA, Insurance Company				X					
SunTx Fulcrum Fund II-SBIC, LP, Dallas, TX, Investment company					X				
Nouveau Eyewear, Inc., Dallas, TX, Eyewear					X				
Base4 Group, Dallas, TX, Wholesale					X				
CBI Laboratories, Inc., Ft. Worth, TX, Beauty and Skincare products					X				
Interface Security Holdings, Inc., Earth City, MO, Security systems company				X					
Huron, Inc., Lexington, MI, Machine products		X							
London Broadcasting Company, Dallas, TX, Broadcasting			X						
JC Flowers II, LP, New York, NY, Investment Company				X					
SLTT Energy, Inc., Leesburg, VA, Propane delivery company		X							
TireVan Corporation, Sterling, VA, Tire installation			X						
Kinderhook Partners, LP, Fort Lee, NJ, Investment company		X							
² Jefferson Capital Partners II, LP, Richmond, VA, Investment Company	X								
Multi-Packaging Solutions, New York, NY, Packaging Services	X								
JBG/Fund VI Qualified Investor, LLC, Chevy Chase, MD, Real Estate Fund			X						
JBG/Fund VIII Qualified Investor, LLC, Chevy Chase, MD, Real Estate Fund				X					
Echo Bridge Realty Fund I, L.P., Wellesley Hills, MA, Investment Company					X				
HCEB Legacy Heights, LLC, Federal Heights, CO, Real Estate			X						
Hawthorne EB North Rivers, LLC, North Charleston, SC, Real Estate				X					
The Gardens at Maplewood, LLC, Maplewood, NJ, Real Estate			X						
EB/GP3 Five Omni Way Holdings, LLC, Chelmsford, MA, Real Estate			X						
HCEB Waterhouse Place, LLC, Beaverton, OR, Real Estate		X							
Quadbridge HML Venture, LLC, Charlotte, NC, Real Estate			X						
Wilton GSE LLC, Wilton, CT, Real Estate			X						
Edge Park & Coast Coinvest, LP, New York, NY, Investment Company				X					
Park & Coast, LLC, Brooklyn, NY, Real Estate				X					
Edge Principal Investments II, LP, New York, NY, Investment Company					X				
Riverstone Global Energy and Power Private Investors V, LLC, New York, NY, Investment Company					X				
Lascaux Resource Capital Fund I, L.P., New York, NY, Investment Company		X							
RCH Energy Long Alpha Fund, LP, Dallas Texas, Investment Company				X					
Glenview Capital Opportunity Fund, LP, New York, NY, Investment Company				X					
Glenview Institutional Partners, LP, New York, NY, Investment Company				X					
NW Emerging Market Fixed Income, LP, New York, NY, Investment Company				X					
OHD Partners, LLC, Alexandria, VA, Investment Company			X						
Residential Real Estate, Alexandria, VA, Real Estate		X							
Sire SPV, LLC, Fund of Funds, New York, NY		X							
MGE, LLC, Alexandria, VA, Investment Company									
²³ Egg Factory L.L.C., Roanoke, VA, Investment holding company	X								
Columbia Capital Investors III, Alexandria, VA, Investment Company			X						

Non-Publicly Traded Assets & Unearned Income Sources

Identity of Non-Publicly Traded Assets And Unearned Income Sources	None (or less than \$1,000)	Valuation of Assets						Type and Amount of Income						Amount of Income	
		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	Dividends	Rent	Interest	Capital Gains	Exempted Investment Fund	Exempted Trust	
NeuroVentures Fund LP, Charlottesville, VA, Investment Company															
Acumen Pharmaceuticals, Inc., South San Francisco, CA, Drug discovery company	x														
BrainCells Inc., San Diego, CA, Drug & Development company	x														
Concentric Medical, Inc., Mountain View, CA, Medical company	x														
NanoMed Systems, Inc., Houston, TX, Medical company	x														
NeurAxon, Inc., Mississauga, ON, Drug development company	x														
SpineWave, Inc., Shelton, CT, Therapy Company	x														
Mavion Medical System (Still River Systems, Inc.), Littleton MA , Therapy Company	x														
NeuroVentures Capital LLC, Charlottesville, VA, Investment Company	x							x	x						
Ramsey BPI Investors LLC, McLean, VA, Investment fund	x									x				x	
Best Practices Inc., Fairfax, VA, Medical services															
Ramsey Long Biased Fund, LP, McLean, VA, Investment fund	x							x	x	x				x	
Point Judith Venture Fund LP, Providence, RI, Investment company		x													
Antenna Software, Inc., Jersey City, NJ, Software Company		x													
MedOptions, Inc., Old Saybrook, CT, Medical Care services	x														
Narragansett Brewing Company, Providence, RI, Brewer	x														
Alexandria NBIS Investors LLC, Alexandria, VA, Investment company		x									x				
SunTX NBIS Holdings, L.P., Dallas, TX, Investment company			x												
NationsBuilders Insurance Services, Inc., Atlanta, GA, Insurance			x												
Point Judith Venture Fund II LP, Providence, RI, Investment Company			x									x			
Envista Corporation, Beverly, MA, Web Services		x										x			
FSA Store, Inc., New York, NY, Internet company		x										x			
NABsys, Inc., Providence, RI, Medical Technologies		x										x			
Nexamp, Inc., North Andover, MA, Clean Energy Solutions company		x										x			
Novare Surgical Systems, Inc., Cupertino, CA, Medical devices		x										x			
Tesora Corporation, Boston, MA, Internet company (fka ParElastic)		x										x			
PowerAssure, Santa Clara, CA, Software		x										x			
Pulse Point Inc., New York, NY, Internet company		x										x			
Retroficiency Inc., Boston, MA, Clean Technology company		x										x			
Sittercity, Inc., Chicago, IL, Internet company		x										x			
Spotlight Ticket Management, Woodland Hills, CA, Ticket Management		x										x			
IRISMaps, Inc., Manchester, NH, Technology Company		x										x			
RideCharge, Inc. Alexandria, VA, Software company (Riderway, Inc.)			x									x			
Alexandria RC Investors, LLC., Alexandria, VA, Software company			x									x			
RideCharge Inc., Alexandria, VA, Software company (Riderway, Inc.)			x									x			
Point Judith Venture Fund III LP, Providence, RI, Investment Company			x									x			
Evergage, Inc., Somerville, MA, Software Company (fka AppTegic, Inc.)	x											x			
Kitsy Lane, Inc., Boston, MA, Internet based social selling platform		x										x			
AetherPal, Inc., South Plainfield, NJ, Software Company		x										x			
Coachup, Inc., Boston, MA, Internet Company		x										x			
Curoverse, Inc., Boston, MA, Software Company (fka Clinical Future, Inc.)	x											x			
Vee24, Inc., Boston, MA, Software Company		x										x			
Glenview Institutional Partners, LP, New York, NY, Investment Company			x							x		x			
EJF Debt Opportunities Fund, LP, Arlington, VA, Investment Company			x							x				x	
RCH Energy Long Alpha Fund, LP, Dallas, TX, Investment Company			x						x		x				

Non-Publicly Traded Assets & Unearned Income Sources

Identity of Non-Publicly Traded Assets
And Unearned Income Sources

	None (or less than \$1,001)	Valuation of Assets				Type and Amount of Income																								
		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Exempted Investment Fund	Exempted Trust	Qualified Blind Trust	Other	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000
Lisa D. Collis																														
911 King Street Associates LLC, Investment Company Majestic Cafe, LLC Alexandria, VA, Restaurant					x																			x						
THE LDC BLIND TRUST (S Trust)																	x	x												
MGE, LLC, Alexandria, VA, Investment Company																														
2 ³ Egg Factory L.L.C., Roanoke, VA, Investment holding company	x																x	x						x						
Columbia Capital Investors III, Alexandria, VA, Investment Company			x														x						x							
NeuroVentures Fund LP, Charlottesville, VA, Investment Company		x																						x						
Acumen Pharmaceuticals, Inc., South San Francisco, CA, Drug discovery company	x																							x						
BrainCells Inc., San Diego, CA, Drug & Development company	x																							x						
Concentric Medical, Inc., Mountain View, CA, Medical company	x																							x						
NanoMed Systems, Inc., Houston, TX, Medical company	x																							x						
NeurAxon, Inc., Mississauga, ON, Drug development company	x																							x						
SpineWave, Inc., Shelton, CT, Therapy Company	x																							x						
Mevion Medical System (Still River Systems, Inc), Littleton MA , Therapy Company	x																							x						
NeuroVentures Capital LLC, Charlottesville, VA, Investment Company	x																x						x							
Ramsey BPI Investors LLC, McLean, VA, Investment fund	x																x						x							
Best Practices Inc., Fairfax, VA, Medical services																								x						
Ramsey Long Biased Fund, LP, McLean, VA, Investment fund	x															x	x	x					x							
Point Judith Venture Fund LP, Providence, RI, Investment Company		x																						x						
Antenna Software, Inc., Jersey City, NJ, Software Company	x																							x						
MedOptions, Inc., Old Saybrook, CT, Medical Care services	x																							x						
Narragansett Brewing Company, Providence, RI, Brewer	x																							x						
Alexandria NBIS Investors LLC, Alexandria, VA, Investment company		x																						x						
SunTX NBIS Holdings, L.P., Dallas, TX, Investment company			x																					x						
NationsBuilders Insurance Services, Inc., Atlanta, GA, Insurance				x																				x						
Point Judith Venture Fund II LP, Providence, RI, Investment Company			x																					x						
Envista Corporation, Beverly, MA, Web Services	x																							x						
FSA Store, Inc., New York, NY, Internet company	x																							x						
NABsys, Inc., Providence, RI, Medical Technologies	x																							x						
Nexamp, Inc., North Andover, MA, Clean Energy Solutions company		x																						x						
Novare Surgical Systems, Inc., Cupertino, CA, Medical devices	x		x																					x						
Tesora Corporation, Boston, MA, Internet company (fka ParElastic)	x			x																				x						
PowerAssure, Santa Clara, CA, Software	x			x																				x						
Pulse Point, Inc., New York, NY, Internet company	x			x																				x						
Retroficiency Inc., Boston, MA, Clean Technology company	x			x																				x						
Sittercity, Inc., Chicago, IL, Internet company	x			x																				x						
Spotlight Ticket Management, Woodland Hills, CA, Ticket Management	x			x																				x						
iRISMaps, Inc., Manchester, NH, Technology Company	x			x																				x						
RideCharge, Inc., Alexandria, VA, Software company (Riderway, Inc.)				x																				x						
Alexandria RC Investors, LLC, Alexandria, VA, Software company			x																					x						
RideCharge Inc., Alexandria, VA, Software company (Riderway, Inc.)		x																						x						
Point Judith Venture Fund III LP, Providence, RI, Investment Company	x			x																				x						
Evergage, Inc., Somerville, MA, Software Company (fka Applegic, Inc.)	x			x																				x						
Kitsy Lane, Inc., Boston, MA, Internet based																														

Part IIIB.
Non-Publicly Traded Assets & Unearned Income Sources

**Identity of Non-Publicly Traded Assets
And Unearned Income Sources**

Identity of Non-Publicly Traded Assets And Unearned Income Sources	Valuation of Assets	Type and Amount of Income							Amount of Income
		Dividends	Rent	Interest	Capital Gains	Exempted Investment Fund	Excepted Trust	Qualified Blind Trust	
Glenview Institutional Partners, LP, New York, NY, Investment Company	\$1,001 - \$15,000	X				X		X	
EJF Debt Opportunities Fund, LP, Arlington, VA, Investment Company	\$15,001 - \$50,000	X				X			X
RCH Energy Long Alpha Fund, LP, Dallas, TX, Investment Company	\$50,001 - \$100,000	X				X		X	
DEPENDENT CHILDREN ASSETS									
MGE, LLC, Alexandria, VA									
^{2,3} Egg Factory L.L.C., Roanoke, VA, Investment holding company	X				X	X			X
Columbia Capital Investors III, Alexandria, VA, Investment Company		X				X			X
NeuroVentures Fund LP, Charlottesville, VA, Investment Company			X						X
Acumen Pharmaceuticals, Inc., South San Francisco, CA, Drug discovery company			X						X
BrainCells Inc., San Diego, CA, Drug & Development company	X								X
Concentric Medical, Inc., Mountain View, CA, Medical company	X								X
NanoMed Systems, Inc., Houston, TX, Medical company	X								X
NeurAxon, Inc., Mississauga, ON, Drug development company		X							X
SpineWave, Inc., Shelton, CT, Therapy Company		X							X
Mevion Medical System (Still River Systems, Inc), Littleton MA, Therapy Company	X								X
¹ NeuroVentures Capital LLC, Charlottesville, VA, Investment Company	X					X			X
Ramsey BPI Investors LLC, McLean, VA, Investment fund	X					X			X
Best Practices Inc., Fairfax, VA, Medical services									
Ramsey Long Biased Fund, LP, McLean, VA, Investment fund	X				X	X	X		X
Point Judith Venture Fund LP, Providence, RI, Investment Company		X							X
Antenna Software, Inc., Jersey City, NJ, Software Company	X								X
MedOptions, Inc., Old Saybrook, CT, Medical Care services	X								X
Namagansett Brewing Company, Providence, RI, Brewer	X								X
Alexandria NBIS Investors LLC, Alexandria, VA, Investment company			X						X
SunTX NBIS Holdings, L.P., Dallas, TX, Investment company									
NationsBuilders Insurance Services, Inc., Atlanta, GA, Insurance									
Point Judith Venture Fund II LP, Providence, RI, Investment Company			X						X
Envista Corporation, Beverly, MA, Web Services	X								X
FSA Store, Inc., New York, NY, Internet company	X								X
NABsys, Inc., Providence, RI, Medical Technologies		X							X
Nexamp, Inc., North Andover, MA, Clean Energy Solutions company			X						X
Novare Surgical Systems, Inc., Cupertino, CA, Medical devices	X								X
Tesora Corporation, Boston, MA, Internet company (fka ParElastic)	X								X
ParElastic, Inc., Boston, MA, Internet company	X								X
PowerAssure, Santa Clara, CA, Software	X								X
Pulse Point Inc., New York, NY, Internet company	X								X
Retroficiency Inc., Boston, MA, Clean Technology company	X								X
Sittercity, Inc., Chicago, IL, Internet company		X							X
Spotlight Ticket Management, Woodland Hills, CA, Ticket Management	X								X
IRISMaps, Inc., Manchester, NH, Technology Company	X								X
RideCharge, Inc. Alexandria, VA, Software company (Riderway, Inc.)			X						X
Alexandria RC Investors, L.L.C., Alexandria, VA, Software company			X						X
RideCharge, Inc., Alexandria, VA, Software company (Riderway, Inc.)									
Point Judith Venture Fund III LP, Providence, RI, Investment Company		X							X
Evergage, Inc., Somerville, MA, Software Company (fka Apptegic, Inc.)	X								X
Kitsy Lane, Inc., Boston, MA, Internet based social selling platform	X								X
AetherPal, Inc., South Plainfield, NJ, Software Company	X								X
Coachup, Inc., Boston, MA, Internet Company	X								X
Curoverse, Inc., Boston, MA, Software Company (fka Clinical Future, Inc.)	X								X
Vee24, Inc., Boston, MA, Software Company	X								X
Glenview Institutional Partners, LP, New York, NY, Investment Company					X				X

Non-Publicly Traded Assets & Unearned Income Sources

Identity of Non-Publicly Traded Assets
And Unearned Income Sources

	None (or less than \$1,001)	Valuation of Assets						Type and Amount of Income																							
		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	Name (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$150,000	\$150,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000
EJF Debt Opportunities Fund, LP, Arlington, VA, Investment Company																															
RCH Energy Long Alpha Fund, LP, Dallas, TX, Investment Company				X																											
Mass Mutual Insurance Policy					X																										
Sun Life Financial Insurance Policy						X																									
Minnesota Mutual Life Insurance Policy																															
Columbia Partners Business Trust, Alexandria, VA, Investment company				X													X					X									
Columbia Capital Investors, LLC, Alexandria, VA, Investment company																															

Part IV**Transactions****Identification of Assets**

	NOTES	Transaction		Transaction		Amount of Transaction	
		Purchase	Sale	Date			
Mark R. Warner					\$1,001 - \$15,000		
Artisan International Fund		X		3/4/2013	\$15,001 - \$50,000		X
Capital World Growth and Income Fund			X	3/4/2013	\$50,001 - \$100,000		X
Artisan International Fund		X		11/22/2013	X	\$100,001 - \$250,000	
THE MRW BLIND TRUST					\$250,001 - \$500,000		
TCW Emerging Markets Income Fund Class I		X		1/2/2013		X	
TCW Emerging Markets Income Fund Class I		X		1/4/2013			X
Oppenheimer Developing Markets Fund Class Y		X		1/4/2013			X
First Eagle Overseas Fund Class I		X		1/4/2013			X
Templeton Income Tr Global Bond Fund A		X		1/16/2013	X		
Templeton Global Bond Fund		X		1/16/2013	X		
Oppenheimer Developing Markets Fund Class Y		X		1/23/2013			X
Artisan International Fund		X		1/23/2013			X
TCW Emerging Markets Income Fund Class I		X		2/4/2013		X	
Doubleline Total Return Bond Fund Class I		X		2/4/2013		X	
Doubleline Core Fixed Income Fund Class I		X		2/4/2013	X		
Doubleline Total Return Bond Fund Class I		X		2/12/2013			X
Doubleline Core Fixed Income Fund Class I		X		2/12/2013			X
Vulcan Value Partners Small Cap Fund		X		2/12/2013			X
SPDR S&P 500 ETF TR Unit		X		2/15/2013			
Templeton Income Tr Global Bond Fund A		X		2/19/2013	X		
Templeton Global Bond Fund		X		2/19/2013	X		
TCW Emerging Markets Income Fund Class I		X		3/4/2013		X	
Doubleline Total Return Bond Fund Class I		X		3/4/2013		X	
Doubleline Core Fixed Income Fund Class I		X		3/4/2013	X		
Templeton Global Bond Fund		X		3/18/2013	X		
Templeton Income Tr Global Bond Fund A		X		3/18/2013	X		
TCW Emerging Markets Income Fund Class I		X		4/2/2013		X	
Doubleline Core Fixed Income Fund Class I		X		4/2/2013	X		
Doubleline Total Return Bond Fund Class I		X		4/2/2013		X	
Templeton Global Bond Fund		X		4/16/2013	X		

Part IV

Transactions

Identification of Assets	NOTES	Transaction		Transaction		Amount of Transaction		
		Type	Date					
Templeton Income Tr Global Bond Fund A	X	Purchase	4/16/2013	\$1,001 - \$15,000	X	\$50,001 - \$100,000	\$100,001 - \$250,000	Over \$1,000,000***
Blackrock Equity Dividend Fund Inst Class	X	Sale	4/18/2013	X			\$250,001 - \$500,000	\$1,000,001 - \$5,000,000
TCW Emerging Markets Income Fund Class I	X	Exchange	5/2/2013	X			\$500,001 - \$1,000,000	\$5,000,001 - \$25,000,000
Doubleline Core Fixed Income Fund Class I	X		5/2/2013	X				\$25,000,001 - \$50,000,000
Doubleline Total Return Bond Fund Class I	X		5/2/2013	X				Over \$50,000,000
Artisan International Fund	X		5/6/2013				X	
Templeton Global Bond Fund	X		5/16/2013	X				
Templeton Income Tr Global Bond Fund A	X		5/16/2013	X				
Doubleline Core Fixed Income Fund Class I	X		X	6/3/2013				X
TCW Emerging Markets Income Fund Class I	X		6/4/2013	X				
Doubleline Core Fixed Income Fund Class I	X		6/4/2013	X				
Doubleline Total Return Bond Fund Class I	X		6/4/2013	X				
RS Global Natural Resources Fund	X		6/4/2013				X	
Van Eck Global Hard Assets Fund Class A	X		6/4/2013				X	
Templeton Global Bond Fund	X		6/18/2013	X				
Templeton Income Tr Global Bond Fund A	X		6/18/2013	X				X
Vanguard Intl Equity Index FDS MSCI	X		6/18/2013					
Vulcan Value Partners Small Cap Fund	X		6/28/2013				X	
Wisdomtree Smallcap Dividend Fund	X		6/28/2013				X	
TCW Emerging Markets Income Fund Class I	X		7/2/2013	X				
Doubleline Total Return Bond Fund Class I	X		7/2/2013	X				
Vulcan Value Partners Small Cap Fund	X		7/5/2013				X	
Templeton Global Bond Fund	X		7/16/2013	X				
Templeton Income Tr Global Bond Fund A	X		7/16/2013	X				
Blackrock Equity Dividend Fund Inst Class	X		7/19/2013		X			
Wisdomtree Smallcap Dividend Fund	X		7/25/2013	X				
TCW Emerging Markets Income Fund Class I	X		8/1/2013		X			
Doubleline Total Return Bond Fund Class I	X		8/2/2013		X			
Templeton Global Bond Fund	X		8/16/2013	X				
Templeton Income Tr Global Bond Fund A	X		8/16/2013	X				
Wisdomtree Smallcap Dividend Fund	X		8/29/2013	X				
TCW Emerging Markets Income Fund Class I	X		9/3/2013	X				
Doubleline Total Return Bond Fund Class I	X		9/4/2013	X				

Part IV

Transactions

Identification of Assets	Transaction			Amount of Transaction		
	Type	Date				
Sands Capital Global Growth Fund Institutional Class	X	9/13/2013	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000
TCW Emerging Markets Income Fund Class I	X	9/16/2013				\$250,001 - \$500,000
Sands Capital Global Growth Fund Institutional Class	X	9/16/2013				\$500,001 - \$1,000,000
Templeton Global Bond Fund	X	9/17/2013	X			
Templeton Income Tr Global Bond Fund A	X	9/17/2013	X			
Wisdomtree Smallcap Dividend Fund	X	9/26/2013	X			
Doubleline Total Return Bond Fund Class I	X	10/2/2013		X		
Templeton Global Bond Fund	X	10/16/2013	X			
Templeton Income Tr Global Bond Fund A	X	10/16/2013	X			
Blackrock Equity Dividend Fund Inst Class	X	10/18/2013		X		
Wisdomtree Smallcap Dividend Fund	X	10/24/2013	X			
Doubleline Total Return Bond Fund Class I	X	11/4/2013		X		
Templeton Global Bond Fund	X	11/18/2013	X			
Templeton Income Tr Global Bond Fund A	X	11/18/2013	X			
Artisan International Fund	X	11/22/2013		X		
Wisdomtree Smallcap Dividend Fund	X	11/27/2013	X			
Vulcan Value Partners Small Cap Fund	X	12/3/2013			X	
Doubleline Total Return Bond Fund Class I	X	12/3/2013		X		
Oppenheimer Developing Markets Fund Class Y	X	12/9/2013		X		
Sands Capital Global Growth Fund Institutional Class	X	12/16/2013			X	
Blackrock Equity Dividend Fund Inst Class	X	12/16/2013		X		
Templeton Income Tr Global Bond Fund A	X	12/17/2013	X			
Templeton Global Bond Fund	X	12/17/2013	X			
First Eagle Overseas Fund Class I	X	12/19/2013			X	
RS Global Natural Resources Fund	X	12/20/2013		X		
Templeton Income Tr Global Bond Fund A	X	12/23/2013				X
Templeton Global Bond Fund	X	12/23/2013				X
Doubleline Total Return Bond Fund Class I	X	12/23/2013				X
Baron Growth Fund Institutional Class	X	12/23/2013				X
Artisan International Fund	X	12/23/2013				X
First Eagle Overseas Fund Class I	X	12/23/2013				X
Wisdomtree TR Japan Hedged Equity Fund	X	12/23/2013			X	
Artisan International Small Cap Fund	X	12/27/2013				X
						Over \$50,000,000
						\$1,000,001 - \$5,000,000
						\$5,000,001 - \$25,000,000
						\$25,000,001 - \$50,000,000

Part IV

Transactions

Identification of Assets

	NOTES	Transaction		Transaction		Amount of Transaction		
		Type	Date					
Wisdomtree Smallcap Dividend Fund	X	Purchase	12/30/2013	\$1,001 - \$15,000	X			
JPM Strategic Income Opportunities Fund	X	Sale	1/2/2013	\$15,001 - \$50,000	X			
JPM Strategic Income Opportunities Fund	X	Exchange	2/1/2013	\$50,001 - \$100,000	X			
JPM Strategic Income Opportunities Fund	X		3/1/2013	\$100,001 - \$250,000	X			
JPM Strategic Income Opportunities Fund	X		4/1/2013	\$250,001 - \$500,000	X			
JPM Strategic Income Opportunities Fund	X		5/1/2013	\$500,001 - \$1,000,000	X			
JPM Strategic Income Opportunities Fund	X		6/3/2013	Over \$1,000,000***	X			
JPM Strategic Income Opportunities Fund	X		7/1/2013	\$1,000,001 - \$5,000,000	X			
JPM Strategic Income Opportunities Fund	X		8/1/2013	\$5,000,001 - \$25,000,000	X			
JPM Strategic Income Opportunities Fund	X		9/3/2013	\$25,000,001 - \$50,000,000	X			
JPM Strategic Income Opportunities Fund	X		10/1/2013	Over \$50,000,000	X			
JPM Strategic Income Opportunities Fund	X		11/1/2013	\$1,000,001 - \$5,000,000	X			
JPM Strategic Income Opportunities Fund	X		12/1/2013	\$5,000,001 - \$25,000,000	X			
Colt Telecom Group SA (stock) London Exchange- [Received in exchange for interest in X-10 Capital Partners (QP), LP]		X	1/3/2013			X		
Highbridge Multi-Strategy Holdings, LP.		X	2/6/2013			X		
Highbridge Multi-Strategy Holdings, LP.	X		2/14/2013			X		
Highbridge Multi-Strategy Holdings, LP.	X		8/9/2013			X		
Highbridge Multi-Strategy Holdings, LP.	X		9/5/2013			X		
JPM High Yield Fund - Sel	X		6/3/2013				X	
Salzman Onshore, LP	X		7/16/2013				X	
JPM Strategic Income Opportunities Fund	X		9/17/2013				X	
Oppenheimer Developing Markets Fund Class Y	X		12/10/2013					
Doubleline Total Return Bond Fund Class I	X		1/3/2013			X		
Doubleline Core Fixed Income Fund Class I	X		1/3/2013			X		
Templeton Global Bond Fund	X		1/4/2013				X	
Templeton Global Bond Fund	X		1/16/2013			X		
Envest II, LLC	X		1/7/2013			X		
Envest III, LLC	X		1/27/2013			X		
Edge Principal Investments II, LP	X		2/5/2013			X		
Jefferson Capital Partners II, LP	X		2/7/2013			X		
Mphoria Holdings	X		2/8/2013			X		
Echo Bridge Realty Fund I, L.P..	X		2/11/2013			X		

Part IV**Transactions****Identification of Assets**

	NOTES	Transaction		Transaction		Amount of Transaction		
		Type	Date					
X-10 Capital Partners (QP), LP (2012 holdback)		X	3/7/2013	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000
Sire SPV, LLC		X	3/31/2013	X				
Ramsey BPI Investors LLC		X	4/30/2013	X				
Lascaux Resource Capital Fund I L.P.		X	5/21/2013	X				
Glenview Institutional Partners, LP		X	6/28/2013				X	
Novus Special Situations, LLC								
Norwegian Cyrstals AS		X	7/26/2013				X	
Jefferson Capital Partners II, LP								
Multi-Packaging Solutuions		X	8/29/2013				X	
Definitive Guardian Partners, LP		X	9/3/2013					X
Glenview Institutional Partners, LP		X	9/3/2013					X
Glenview Capital Opportunity Fund, LP		X	9/3/2013				X	
DubmeNow, Inc.,		X	9/16/2013				X	
RCH Energy Long Alpha Fund, LP		X	9/30/2013					X
Handy & Harman Group LTD Sub Sec 10/10 (Steel Partners)		X	10/23/2013				X	
NWI Emerging Market Fixed Income, LP		X	10/31/2013					X
OHD Partners, LLC		X	11/7/2013				X	
Echo Bridge Realty Fund I, L.P.								
Wilton GSE LLC		X	12/20/2013				X	
NWI Emerging Market Fixed Income, LP		X	12/31/2013					X
Glenview Institutional Partners, LP		X	12/31/2013					X
Glenview Capital Opportunity Fund, LP		X	12/31/2013				X	
Equinox Partners, LP		X	12/31/2013					X
Hound Partners 3(c)7 LP		X	12/31/2013					X
EJF Select Fund, LP		X	12/31/2013					X
Keel Point - EJF Distressed Fund II, LLC		X	12/31/2013					X
Ramsey Long Biased Fund, LP		X	12/31/2013					X
Lisa D. Collis								
Artisan International Fund		X	3/4/2013		X			
Washington Mutual Investors Fund Class A		X	3/4/2013	X				
THE LDC TRUST								

Transactions

Identification of Assets

	NOTES	Transaction		Transaction		Amount of Transaction		
		Type		Date				
		Purchase	Sale	Exchange				
Blackrock Equity Dividend Fund Inst Class	X			4/18/2013	X	\$1,001 - \$15,000		
Doubleline Core Fixed Income Fund Class I		X		6/3/2013			X	
Artisan International Fund	X			7/10/2013			X	
Vulcan Value Partners Fund	X			7/10/2013			X	
Blackrock Equity Dividend Fund Inst Class	X			7/19/2013	X			
Blackrock Equity Dividend Fund Inst Class	X			10/18/2013	X			
Artisan International Fund	X			11/22/2013	X			
Vulcan Value Partners Fund	X			12/3/2013	X			
Blackrock Equity Dividend Fund Inst Class	X			12/16/2013	X			
Vulcan Value Partners Fund	X			12/24/2013	X			
MGE LLC								
Point Judith Venture Fund LP	X			1/29/2013			X	
Ramsey Long Biased Fund, LP.	X			4/18/2013				X
Egg Factory L.L.C.,	X			4/25/2013		X		
Ramsey BPI Investors LLC		X		4/30/2013		X		
Doubleline Total Return Bond Fund Class I	X			5/6/2013			X	
EJF Debt Opportunities Fund, LP	X			6/4/2013			X	
Glenview Institutional Partners, LP	X			7/29/2013			X	
Doubleline Total Return Bond Fund Class I	X			8/2/2013	X			
Doubleline Total Return Bond Fund Class I	X			9/4/2013	X			
RCH Energy Long Alpha Fund, LP	X			9/4/2013			X	
Doubleline Total Return Bond Fund Class I	X			10/2/2013	X			
Doubleline Total Return Bond Fund Class I	X			11/4/2013	X			
Point Judith Venture Fund III LP								
AetherPal, Inc.	X			11/15/2013	X			
Coachup, Inc.	X			11/15/2013	X			
Kitsy Lane, Inc.	X			11/15/2013	X			
Doubleline Total Return Bond Fund Class I	X			12/3/2013	X			
DEPENDENT CHILDREN ASSETS: IRREVOCABLE TRUSTS								
Manning & Napier Fund Inc.		X		2/14/2013	X			
SPDR S&P 500 ETF Trust		X		7/1/2013	X			

Transactions

Identification of Assets	NOTES	Transaction		Transaction		Amount of Transaction								
		Type	Date	Type	Date	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000
JPM High Yield Fund - Sel		X			7/29/2013	X								
JPM Large Cap Growth Fund - Sel			X		7/29/2013	X								
JPM Tax Free Bond Fund - Sel			X		7/29/2013	X								
JPM Strategic Income Opportunity Fund			X		7/29/2013	X								
JPM TR I Tax Aware Real Return Fund -Sel			X		7/29/2013	X								
JPM US Large Cap Core Plus Fund - Sel			X		7/29/2013	X								
JPM TR I GL RES ENH Index Fund			X		7/29/2013	X								
Doubleline Total Return Bond-I			X		7/29/2013	X								
Aberdeen Asia-Pacific XJ-Inst Equity Fund			X		7/29/2013	X								
Dreyfus Emerging Market Debt LOC C-I			X		7/29/2013	X								
Manning & Napier Fund Inc.			X		7/29/2013	X								
Eaton Vance Floating Rate-I			X		7/29/2013	X								
Dodge & Cox International Stock			X		7/29/2013	X								
Oakmark International Fund-I			X		7/29/2013	X								
Doubleline Total Return Bond-I			X		7/30/2013	X								
JPM Tax Free Bond Fund - Sel				X	7/30/2013	X								
Ishares Russell Midcap Index Fund			X		7/31/2013	X								
Vanguard FTSE Europe ETF			X		7/31/2013	X								
SPDR S&P 500 ETF Trust			X		7/31/2013	X								
Vanguard FTSE Emerging Markets ETF			X		7/31/2013	X								
Ishares MSCI EAFE Index Fund			X		8/1/2013	X								
Vanguard FTSE Emerging Markets ETF				X	8/2/2013	X								
Oakmark International Fund-I			X		8/2/2013	X								
Oppenheimer Developing Market-Y			X		8/16/2013	X								
Vanguard FTSE Emerging Markets ETF				X	8/20/2013	X								
Dreyfus Emerging Market Debt LOC C-I			X		9/10/2013	X								
JPM US Large Cap Core Plus Fund - Sel				X	10/3/2013	X								
Manning & Napier Fund Inc.			X		10/3/2013	X								
Manning & Napier Fund Inc.				X	2/14/2013	X								
Dodge & Cox International Stock			X		2/14/2013	X								
JPM China Region Fund - Sel			X		2/14/2013	X								
JPM US Large Cap Core Plus Fund - Sel				X	2/14/2013	X								
Vanguard FTSE Emerging Markets ETF			X		2/19/2013	X								

Part IV

Transactions

Identification of Assets

	NOTES	Purchase	Sale	Exchange	Transaction	Transaction	Amount of Transaction		
					Type	Date	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000
JPM US Large Cap Core Plus Fund - Sel Fund			X		5/24/2013	X			
JPM TR I GL RES ENH Index Fund			X		5/24/2013	X			
JPM Mid Cap Value Fund - Sel			X		5/24/2013	X			
SPDR S&P 500 ETF Trust			X		7/1/2013	X			
JPM Tax Free Bond Fund - Sel				X	7/29/2013	X			
JPM High Yield Fund - Sel			X		7/29/2013	X			
JPM Strategic Income Opportunity Fund			X		7/29/2013	X			
Eaton Vance Floating Rate-I			X		7/29/2013	X			
Vanguard FTSE Emerging Markets ETF				X	8/2/2013	X			
Ishares MSCI EAFE Index Fund			X		8/6/2013	X			
Oppenheimer Developing Market-Y			X		8/16/2013	X			
Vanguard FTSE Emerging Markets ETF				X	8/20/2013	X			
Dreyfus Emerging Market Debt LOC C-I				X	9/10/2013	X			
JPM Tax Free Bond Fund - Sel				X	10/3/2013	X			
JPM US Large Cap Core Plus Fund - Sel Fund				X	10/3/2013	X			
Doubleline Total Return Bond-I				X	10/3/2013	X			
Manning & Napier Fund Inc.			X		10/3/2013	X			
Manning & Napier Fund Inc.				X	2/14/2013	X			
Dodge & Cox International Stock				X	2/14/2013	X			
JPM China Region Fund - Sel				X	2/14/2013	X			
Vanguard FTSE Emerging Markets ETF				X	2/19/2013	X			
JPM US Large Cap Core Plus Fund - Sel Fund				X	5/24/2013	X			
JPM TR I GL RES ENH Index Fund				X	5/24/2013	X			
JPM Mid Cap Value Fund - Sel				X	5/24/2013	X			
Matthews Pacific Tiger Fund				X	5/24/2013	X			
SPDR S&P 500 ETF Trust				X	7/1/2013	X			
JPM High Yield Fund - Sel				X	7/29/2013	X			
JPM Tax Free Bond Fund - Sel				X	7/29/2013	X			
JPM Strategic Income Opportunity Fund				X	7/29/2013	X			
Eaton Vance Floating Rate-I				X	7/29/2013	X			
Vanguard FTSE Emerging Markets ETF				X	8/2/2013	X			
Ishares MSCI EAFE Index Fund				X	8/6/2013	X			
Oppenheimer Developing Market-Y				X	8/16/2013	X			

Identification of Assets	NOTES	Transaction		Transaction		Amount of Transaction		
		Type		Date				
Vanguard FTSE Emerging Markets ETF		X		8/20/2013	\$1,001 - \$15,000	\$100,001 - \$250,000	\$1,000,001 - \$5,000,000	\$25,000,001 - \$50,000,000
Dreyfus Emerging Market Debt LOC C-I		X		9/10/2013	\$15,001 - \$50,000	\$250,001 - \$500,000	\$5,000,001 - \$25,000,000	Over \$50,000,000
JPM Tax Free Bond Fund - Sel		X		10/3/2013	\$50,001 - \$100,000	\$500,001 - \$1,000,000	Over \$1,000,000**	
JPM US Large Cap Core Plus Fund - Sel Fund		X		10/3/2013	X			
Doubleline Total Return Bond-I		X		10/3/2013	X			
Manning & Napier Fund Inc.		X		10/3/2013	X			
DEPENDENT CHILDREN ASSETS: 1999 TRUST								
DB 95% PPN FX Basket 2/1/13		X		2/1/2013	X			
DB PIMS 90% PPN 02/14/14		X		2/6/2013	X			
Highbridge Dynamic Commodities		X		2/14/2013	X			
JPM Tax Free Bond Fund		X		2/14/2013		X		
JPMorgan Strategic Income		X		2/14/2013	X			
JPM China Region Fund - SEL		X		2/14/2013	X			
Dreyfus/Laurel FDS TR		X		2/14/2013	X			
Virtus Emerging Markets Opportunity - I		X		2/14/2013		X		
Pimco Commodity PL Strat-P		X		2/14/2013	X			
Harbor High Yield Bond Fund- INS		X		2/14/2013	X			
GS BREN SPX 05/15/13 10% Buffer-2 XLEV- 6.52% CAP 13.04%		X		5/15/2013		X		
Pimco Commodity PL Strat-P		X		6/10/2013	X			
SG REN MXEA 06/25/14		X		6/12/2013	X			
SPDR S&P 500 ETF Trust		X		6/12/2013		X		
UBS Cont Buff EQ SPX 06/12/13		X		6/12/2013		X		
BARC CBEN Commodity Basket 06/12/13		X		6/12/2013	X			
JPM Global RES ENH Index Fund - SEL		X		6/13/2013		X		
HSBC Delta One MSCI World TR 6/14/13		X		6/14/2013		X		
BNP Delta One MSCI World TR 6/14/13		X		6/14/2013		X		
BNP BREN EAFE 6/19/13		X		6/18/2013		X		
SPDR S&P 500 ETF Trust		X		7/2/2013		X		
SPDR S&P 500 ETF Trust		X		7/10/2013		X		
GS Cont Buff EQ SPX 07/10/13 80% Contin Barrier - 10.5% CPN 15% Cap		X		7/10/2013		X		
Ishares MSCI EAFE Index Fund		X		7/17/2013		X		
BNP BREN EAFE 7/17/13		X		7/17/2013		X		

Part IV

Transactions

Identification of Assets	Transaction			Transaction			Amount of Transaction		
		Type		Date					
	NOTES	Purchase	Sale	Exchange					
JPM EM Markets Equity Fund - Select		X		8/2/2013	X	\$1,001 - \$15,000			
Virtus Emerging Markets Opportunity - I			X	8/2/2013		X			
MFS Intl Value - I		X		8/6/2013		X			
Ishares MSCI EAFE Index Fund		X		8/14/2013	X				
CS BREN EAFE 08/14/13			X	8/14/2013		X			
BARC REN SPX 09/04/14		X		8/21/2013	X				
CS LEV Cont Buff Eq MXEA 9/4/14		X		8/21/2013	X				
GS Cont Buff EQ SPX 08/21/13 80% Contin Barrier - 7.6% CPN 15% Cap			X	8/21/2013		X			
Dreyfus/Laurel FDS TR			X	9/10/2013	X				
BARC BEN BRENT 09/29/14		X		9/17/2013	X				
JPMorgan US Real Estate Fund			X	9/19/2013		X			
BARC 93% PPN BRIC Basket 9/19/13			X	9/19/2013	X				
BARC Participating Gold Note 10/09/13			X	10/9/2013	X				
SG Brent CBEN 10/25/13 80% Barrier 13.75% CPN			X	10/25/2013	X				
Vanguard FTSE Europe ETF		X		11/1/2013		X			
							Over \$1,000,000**		
								\$1,000,001 - \$5,000,000	
								\$5,000,001 - \$25,000,000	
								\$25,000,001 - \$50,000,000	
								Over \$50,000,000	

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Part V Gifts			
Name of Income Source	Address (City, State)	Dates and Brief Description	Gift Value
Bryce Harlow Foundation	Washington, DC	May 10, 2013 - Bryce Harlow award	\$ 548.00

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Part VII. Liabilities		Category of Amount of Value (x)																
		Address		Type of Liability	Date Incurred	Interest Rate	Term if applicable	\$10,001 - \$15,000	\$15,001 to \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	OVER \$1,000,000***	\$1,000,001 to \$5,000,000	\$5,000,001 to \$25,000,000	\$25,000,001 to \$50,000,000	Over \$50,000,000

Part VIII.					
Positions Held Outside U.S. Government					
Name of Organization	Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Collis Warner Foundation, Inc.	Alexandria, VA	Non-Profit Organization	Board of Directors	Jan-97	Present
The Kennedy Center	Washington, DC	Non-Profit Organization	Board of Directors	Mar-13	Present

Footnotes to Mark R. Warner's Senate Personal Financial Disclosure Form 2013

Where market value statements were available, the reportable value of each interest was determined by applying the ownership percentage of the reporting individual to the total value of the capital account reserved for partners or members. Where market value statements were not available, the ending capital balance for the reporting individual was used to value the interest.

Where an asset held other (lower-tier) assets, the character of each lower-tier asset (to the extent such information is provided by the directly-held asset) is listed in Part IIIB. The value of, and income received from, the directly-held asset is generally reported on an aggregated basis.

¹These entities do not have any underlying holdings.

²Interest has been transferred to the Collis-Warner Fund of the Alexandria Community Trust (a/k/a/ACT for Alexandria) as of 12/31/13.

³For proprietary and confidentiality purposes, these entities permit only the information listed to be disclosed. These funds are not self-directed.

⁴Redemptions dated 12/31/13; cash was received on 1/22/14.