

UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

<input type="checkbox"/> Amendment			
Last Name	First Name and Middle Initial	Annual Report	
Cochran	Thad	Calendar Year Covered by Report 2012	Senate Office / Agency in Which Employed United States Senate
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report	Prior Office / Agency in Which Employed
113 Dirksen Senate Office Building	202 224 5054	Termination Date (mm/dd/yy)	

AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$350 from one source)? If Yes, complete and attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, complete and attach PART II.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, complete and attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If Yes, complete and attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, complete and attach PART X.	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Each question must be answered and the appropriate PART attached for each “YES” response.

<p style="margin-top: 0; margin-bottom: 0;">Q33</p>	FOR OFFICIAL USE ONLY Do Not Write Below this Line
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Reporting Individual's Name

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C
Type and Amount of Income

Type of Income

Amount of Income

S, Example: DC, or J	IBM Corp. (stock)											Actual Amount
	(S) Keystone Fund											Required if "Other" Specified
1 J	Bank of America	x						x				Example
2 J	Trustmark National Bank	x						x				Example
3 S	Pentagon, F.C.U.	x						x				
4	Bank of New Albany		x					x				
5 S	Bank of New Albany		x					x				
6	Watkins & Eager Retirement Trust, The Van Guard Group		x				x					
7	Cash		x				x					
8	Nuveen Credit Strategies	x					x			x		
9	Dean Foods 7% 6/1/2016	x					x			x		
10	FedEx Corp. 8& 1/15/2019	x					x			x		

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

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BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
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None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C
Type and Amount of Income

Type of Income							Amount of Income					Actual Amount Required if "Other" Specified							
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	
X									X	X								Example	
									X	X								Example	
1	CSX Corp. 7 3/8 2/1/2019	X									X								
2	Bunge Ltd 8.5 % 6/15/2019		X								X								
3	GNMACMO Ser 2011 - 113DE	X									X								
4	FNMACMO Ser 201260 QA	X									X								
5	FHLMC CMO Ser 4039 UA	X									X								
6	Nexterra Energy Pfd. 5 5/8%	X									X								
7	Nexterra Energy Pfd. 5 1/8%	X									X								
8	Prudential Fin Pfd 5 3/4%	X									X								
9	Stanley Black & Decker Pfd 5 3/4%	X									X								
10	Colfax Corp.		X								X								

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, **CONTENTS OF REPORTS Part B of Instructions**) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
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Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

At the close of reporting period
If None, or less than \$1,001,
Check the first column.

BLOCK C

Source and Amount of Income

EXEMPTION TEST (*see instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

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S, Example: DC, or J IBM Corp. (stock)

(S) Keystone Fund

1 Valero Energy

2 Zions Bancorp

3 Covidien PLC

4 Pentair Ltd.

5 Flextronics Intl.

6 BB&T Pfd 5 5/8%

7 Everbank Fin 6 3/4%

8 Kimco Realty Pfd

9 Regions Finl. Pfd 6 3/8%

10 Eaton Vance Worldwide

BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C
Type and Amount of Income

Type of Income							Amount of Income														
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	Actual Amount Required if "Other" Specified		

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

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BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)																								
\$1,001 - \$15,000	x																							
\$15,001 - \$50,000		x																						
\$50,001 - \$100,000			x																					
\$100,001 - \$250,000				x																				
\$250,001 - \$500,000					x																			
\$500,001 - \$1,000,000						x																		
Over \$1,000,000***							x																	
\$1,000,001 - \$5,000,000								x																
\$5,000,001 - \$25,000,000									x															
\$25,000,001 - \$50,000,000										x														
Over \$50,000,000											x													

BLOCK C

Type and Amount of Income

S, Example: DC, or J	IBM Corp. (stock) (S) Keystone Fund	Type of Income							Amount of Income							Actual Amount Required if "Other" Specified					
		None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	
1	Health Sciences CD-C	x									x										Example
2	Hercules Technology Gr.	x									x										Example
3	Pimco All Asset		x								x										
4	Energy Transfer Equity	x									x										
5	Markwest Energy Ptnrs		x								x										
6	Targa Resources Partners	x									x										
7	Tourchmark Corp. 9 1/4 % 6/15/2019											x									
8	Eaton Vance Tax Mining	x									x										
9	Abbott Labs	x									x										
10	Axis Capital Holdings	x									x										

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

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BLOCK B
Valuation of Assets

At the close of reporting period
If None, or less than \$1,001.
Check the first column.

BLOCK C

		Check the first column.								
		Type of Income				Amount of Income				
		None	Dividends	Rent	Capital Gains	Excepted Investment Fund	Exempted Trust	Qualified Blind Trust	Other (Specify Type)	Actual Amount
	S, Example: DC, or J	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	Over \$50,000,000
	(S)	IBM Corp. (stock)	X							
		Keystone Fund		X						
1		Baxter Intl. Inc.	X							
2		Bunge Ltd.	X							
3		Cardinal Health Inc.	X							
4		Corp. Office Prpty Trust	X							
5		Exxon Mobile Corp.	X							
6		General Electric	X							
7		Gold Corp. Inc.	X							
8		Hewlett Packard Co.	X							
9		Honeywell Intl. Inc.	X							
10		Lamgold Corp.	X							

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

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BLOCK B
Valuation of Assets

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	None (or less than \$1,001)	Type of Income										Amount of Income																		
		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000
S, Example: DC, or J	IBM Corp. (stock)			X								X																	Example	
	(S) Keystone Fund				X												X												Example	
1	Kraft/Mondelez Intl.	X										X																		
2	Linnco LLC	X										X																		
3	Mosaic	X										X																		
4	Newmont Mining Corp.	X										X																		
5	Noble Corp.	X										X																		
6	Nucor Corp.	X										X																		
7	Pepsico Inc	X										X																		
8	Proctor and Gamble, Co.	X										X																		
9	Seadrill Ltd.	X										X																		
10	Total SA ADR	X										X																		

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

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None (or less than \$1,001)
\$1,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$250,000
\$250,001 - \$500,000
\$500,001 - \$1,000,000
Over \$1,000,000***
\$1,000,001 - \$5,000,000
\$5,000,001 - \$25,000,000
\$25,000,001 - \$50,000,000
Over \$50,000,000

BLOCK C
Type and Amount of Income

Type of Income										Amount of Income															
		None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified			
S, Example: DC, or J	IBM Corp. (stock)	X						X			X	X													Example
(S)	Keystone Fund		X						X		X	X													Example
1	Whiting USA Trust II	X							X										X						
2	GNMA CMO Ser 2010-55	X										X													
3	GNMA CMO Ser 2010-57 JA	X										X													
4	Spectra Energy Partners LP	X							X										X						
5	Exco Res. 7 1/2% 9/15/18	X										X								X					Loss
6	Forest Oil	X										X								X					Loss
7	Spectra Energy Partners	X										X								X					Loss
8	CSX Corp.	X										X								X					
9	Helix Energy Solutions	X										X								X					
10	Comstock Resources	X										X								X					

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

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Valuation of Assets

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None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C
Type and Amount of Income

Type of Income												Amount of Income																																	
		None		Dividends		Rent		Interest		Capital Gains		Excepted Investment Fund		Excepted Trust		Qualified Blind Trust		Other (Specify Type)		None (or less than \$201)		\$201 - \$1,000		\$1,001 - \$2,500		\$2,501 - \$5,000		\$5,001 - \$15,000		\$15,001 - \$50,000		\$50,001 - \$100,000		\$100,001 - \$1,000,000		Over \$1,000,000***		\$1,000,001 - \$5,000,000		Over \$5,000,000		Actual Amount		Required if "Other" Specified	
S, Example: DC, or J	IBM Corp. (stock)		X										X						Example	X															Example										
(S)	Keystone Fund			X										X					Example	X															Example										
1	Hewlett Packard	X													X					X																									
2	Whiting Petroleum	X														X						X																							
3	Anixter Intl. Inc.	X															X						X																						
4	Seadrill Ltd.	X															X							X																					
5	Pacific Drilling	X															X							X																					
6	Esterline Corp.	X															X								X																				
7	Mastee Inc.	X															X									X																			
8	Myr Group Inc.	X															X								X												Loss								
9	Lowes Cos	X															X							X																					
10	Spdr Ser Tr	X																X							X												Loss								

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BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)
\$1,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$250,000
\$250,001 - \$500,000
\$500,001 - \$1,000,000
Over \$1,000,000***
\$1,000,001 - \$5,000,000
\$5,000,001 - \$25,000,000
\$25,000,001 - \$50,000,000
Over \$50,000,000

BLOCK C
Type and Amount of Income

Type of Income								Amount of Income															
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified			

S, Example: DC, or J	IBM Corp. (stock)	x							x														Example
	(S) Keystone Fund		x							x													Example
1	Ducommunication Inc.	x								x									x				Loss
2	BPZ Resources Inc.	x								x								x					Loss
3	The Mosaic Co.	x								x								x					Loss
4	Wesco International	x								x								x					
5	Axis Capital Holdings	x								x								x					
6	EMC Corp.	x								x								x					
7	Honeywell Intl.	x								x								x					
8	Abbott Labs	x								x								x					
9	General Electric	x								x								x					
10	Nuance Comms.	x								x								x					Loss

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

11

BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

At the close of reporting period
If None, or less than \$1,001,
Check the first column.

BLOCK C

EXEMPTION TEST (*see instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

13

BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

At the close of reporting period
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
-----------------------------	--------------------	---------------------	----------------------	-----------------------	-----------------------	-------------------------	---------------------------	----------------------------	-----------------------------	-------------------

BLOCK C

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

***** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.**

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

	None (or less than \$1,001)	Type of Income							Amount of Income																						
		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000
S, Example: DC, or J	IBM Corp. (stock)		x										x																Example		
(S)	Keystone Fund			x											x														Example		
1	Proctor & Gamble	x												x							x								Loss		
2	Bank of America Corp.	x												x					x												
3	Nucor Corp.	x												x					x		x								Loss		
4	Exxon Mobil Corp.	x												x							x										
5	Torchmark 9 1/4% 6/15/2019	x												x							x										
6	Eaton Vance Tax Managed	x												x					x		x								Loss		
7	Crimson Exploration	x												x							x									Loss	
8	Resolute Energy	x												x						x		x								Loss	
9	Mondelez International	x												x					x		x									Loss	
10	Mondelez International	x												x				x		x											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B
Valuation of Assets

At the close of reporting period
If None, or less than \$1,001,
Check the first column.

BLOCK C

		Check the first column.															
		Type of Income				Amount of Income											
		None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)							
1	<input type="checkbox"/>	S, Example: DC, or J	IBM Corp. (stock)	<input checked="" type="checkbox"/>	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Actual Amount	
2	<input type="checkbox"/>		Johnson & Johnson	<input checked="" type="checkbox"/>												Required if "Other" Specified	
3	<input type="checkbox"/>		Proctor and Gamble	<input checked="" type="checkbox"/>													
4	<input type="checkbox"/>		Century Link Inc.	<input checked="" type="checkbox"/>													
5	<input type="checkbox"/>		Conocophillips	<input checked="" type="checkbox"/>													
6	<input type="checkbox"/>		Van Kampen Growth & Income Fund	<input checked="" type="checkbox"/>													
7	<input type="checkbox"/>		Van Kampen Equity Income Fund	<input checked="" type="checkbox"/>													
8	<input type="checkbox"/>		Mississippi Dev. SPL OBLG West Rankin	<input checked="" type="checkbox"/>													
9	<input type="checkbox"/>		Mississippi Dev. SPL OBLG CAP Project	<input checked="" type="checkbox"/>													
10	<input type="checkbox"/>		C&J Energy Services Inc.	<input checked="" type="checkbox"/>													

EXEMPTION TEST (*see instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

***** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.**

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)
\$1,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$250,000
\$250,001 - \$500,000
\$500,001 - \$1,000,000
Over \$1,000,000***
\$1,000,001 - \$5,000,000
\$5,000,001 - \$25,000,000
\$25,000,001 - \$50,000,000
Over \$50,000,000

BLOCK C
Type and Amount of Income

Type of Income							Amount of Income															
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified		

S, Example: DC, or J	IBM Corp. (stock)	x							x													Example
	(S) Keystone Fund		x							x												Example
1	Coca Cola Co.	x							x									x				
2	GMX Resources Inc.	x							x									x				
3	HCA Holdings Inc.	x							x									x				
4	Inergy L.P.	x							x									x				
5	Midstates Petroleum Co. Inc.	x							x									x				
6	Dunkin Brands Group, Inc.	x							x									x				
7	Legacy Reserves LP UTS REP LP	x							x									x				
8	Midstates Petroleum Co., Inc.	x							x									x				
9																						
10																						

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Thad Cochran

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BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B

At the close of reporting period
If None, or less than \$1,001
Check the first column.

\$25,000,001 - \$50,000,000	\$5,000,001 - \$25,000,000	\$1,000,001 - \$5,000,000	Over \$1,000,000***	\$500,001 - \$1,000,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$1,000,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$1,001 - \$15,000	None (or less than \$1,001)
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BLOCK C

EXEMPTION TEST (*see instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

***** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.**

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PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Identification of Assets

	S, Example: DC, or J	IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDAQ/OTC	Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)										
			Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
1		Centurylink Inc.		X		2/1/1X	X			E	X	A	M	P	L	E	
2		Concophillips	+ X			1/27/1X				X	E	X	A	M	P	L	E
3		Dell Inc.		X		8/31/2012		X									
4		Yahoo Inc.		X		8/31/2012		X									
5		Bank of America	+ X			9/6/2012		X									
6		FHLMC Ser 4039 3% due		X		5/30/2012		X									
7		FNMA CMO Ser 2012 - 60 3.5%	+ X			5/31/2012		X									
8		Nextera Energy Capital 5.6. 25% jr. sub notes		X		6/15/2012		X									
9		Corporate Office Property Tr. 7.735% Pfd.	+ X			6/27/2012		X									
10		Stanley Black & Decker 5.75& Pfd		X		7/31/2012		X									
11		BB&T Corp. 5.625% Pfd		X		7/31/2012		X									
12		Regions Financial 6.375% Pfd.	+ X			11/1/2012		X									

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Identification of Assets

S, IBM Corp. (stock) NYSE
Example: DC, (DC) Microsoft (stock) NASDAQ/OTC
or J

1 Everbank Financial 6.75% Pfd.

2 Nextera Energy Capital 5.125% jr. sub notes

3 Prudential Financial 5.75% jr. sub debs

4 Kimco Realty 5.625% pfd.

5 Noble Corp.

6 Pacific Drilling

7 EMC Corp

8 Nuveen Credit Strategies Income Fund

9 Helix Energy Solutions

10 Esterline Corp.

11 Eaton Vance Tax Managed Opp. Fund

12 The Mosaic Co.

Transaction Type (x)			Amount of Transaction (x)										
Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000***	Over \$1,000,000***	\$1,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
X			2/1/1X	X					E X A M P L E				
	X		1/27/1X						E X A M P L E				
X			11/13/2012	X									
	X		11/19/2012	X									
X			12/4/2012	X									
	X		12/7/2012	X									
	X		12/29/2012	X									
	X		12/29/2012	X									
	X		1/5/2012		X								
	X		1/9/2012	X									
	X		1/18/2012	X									
	X		1/19/2012		X								
	X		1/27/2012	X									
	X		1/27/2012	X									

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Identification of Assets

	S, Example: DC, or J	IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDAQ/OTC	Transaction Type (x)			Amount of Transaction (x)											
			Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000			
1		Comstock Resources	X			2/1/1X	X				E	X	A	M	P	L	E
2		Nabors Industries		X		1/27/1X				X	E	X	A	M	P	L	E
3		MYR Group		X		3/9/2012		X									
4		Lowes Companies Inc.		X		3/9/2012			X								
5		SPDR Ser Tr ETF		X		3/16/2012		X									
6		Pepsico Inc.		X		3/30/2012			X								
7		MetLife Inc.		X		4/11/2012		X									
8		Kraft/Mondelez Intl.		X		4/17/2012		X									
9		Whiting USA Trust II		X		4/17/2012		X									
10		Nuance Communications Inc.		X		4/25/2012		X									
11		The Fresh Market Inc.		X		4/26/2012		X									
12		BPZ Resources Inc.		X		5/4/2013		X									

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Identification of Assets

	S, Example: DC, or J	IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDAQ/OTC	Transaction Type (x)			Amount of Transaction (x)									
			Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	
1		Newmont Mining Corp.		X		5/16/2012	X						E X A M P L E		
2		Goldcorp Inc.	+■	X		5/21/2012	X						X E X A M P L E		
3		Cardinal Health Inc.		X		5/24/2012	X								
4		Newmont Mining Corp.		X		5/30/2012	X								
5		Baxter International Inc.	+■	X		5/31/2012	X								
6		Lamgold Corp.		X		6/4/2012	X								
7		Seadrill Ltd.	+■	X		6/5/2012	X								
8		Wesco Intl. Inc.		X		6/5/2012	X								
9		Carefusion Corp.	+■	X		6/6/2012		X							
10		Basic Energy Services		X		6/7/2012	X								
11		Corporate Office Properties Trust		X		6/19/2012	X								
12		Bunge Ltd.	+■	X		6/27/2012		X							

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

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PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Identification of Assets

	S, Example: DC, or J	IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDAQ/OTC	Transaction Type (x)			Amount of Transaction (x)										
			Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
1		Colfax Corp.		X		2/1/1X	X		E	X	A	M	P	L	E	
2		Titan Machinery Inc.	+■	X		1/27/1X			X	E	X	A	M	P	L	M
3		Valero Energy Corp.		X		6/29/2012		X								
4		Pimco All Asset All Authority C		X		7/6/2012		X								
5		MetLife Inc.	+■	X		7/18/2012		X								
6		Hercules Technology Growth		X		7/20/2012		X								
7		Triangle Petroleum Corp.	+■	X		7/27/2012		X								
8		Northern Oil & Gas		X		8/3/2012		X								
9		Proctor & Gamble Co.	+■	X		8/7/2012		X								
10		Crimson Exploration Inc.		X		8/9/2012		X								
11		Resolute Energy Corp.		X		8/10/2012		X								
12		Pacific Drilling	+■	X		8/16/2012		X								
						8/31/2012		X								

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	IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDAQ/OTC	X			2/1/1X	X		E	X	A	M	P	L	E
1	Flextronics International		X		9/6/2012	X								
2	C&J Energy Services		X		9/6/2012	X								
3	Ocean Rig VDW Inc.		X		9/7/2012	X								
4	Nucor Corp.		X		9/14/2012	X								
5	Mondelez International		X		10/3/2012	X								
6	Lam Reserch Corp.		X		10/4/2012	X								
7	Linn Co., LLC		X		10/12/2012	X								
8	Alere Inc.		X		10/16/2012	X								
9	Anixter International Inc.		X		10/18/2012	X								
10	Kraft Foods Group Inc.		X		10/31/2012	X								
11	Pentair Ltd.		X		11/2/2012	X								
12	Lincoln National Corp.		X		11/5/2012	X								

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	IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDAQ/OTC	X			2/1/1X	X	E	X	A	M	P	L	E
1	Bank of America Corp.	X			11/19/2012	X							
2	Targa Resources Partners LP	X			11/19/2012	X							
3	Zions Bancorp	X			11/23/2012	X							
4	Triangle Petroleum	X			12/7/2012	X							
5	Helix Energy Solutions Group	X			12/14/2012	X							
6	Ion Geophysical Corp.	X			12/18/2012	X							
7	Exco Resources Inc.	X			1/10/2012	X							
8	Forest Oil Corp.	X			1/20/2012	X							
9	Spectra Energy Partners	X			2/6/2012	X							
10	CSX Corp.	X			2/7/2012	X							
11	Helix Energy Solutions Group	X			2/14/2012	X							
12	Comstock Resources	X			2/22/2012	X							

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			Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
			X			2/1/1X	X		E	X	A	M	P	L	E	
				X		1/27/1X			X	E	X	A	M	P	L	E
1		Hewlett Packard Co.		X		2/24/2012	X									
2		Whiting Petroleum Corp.	+	X		2/27/2012	X									
3		Anixter International		X		3/6/2012		X								
4		Seadrill Ltd.		X		3/13/2012		X								
5		Pacific Drilling	+	X		3/22/2012	X									
6		Easterline Corp.		X		3/28/2012		X								
7		Mastee Inc.	+	X		4/3/2012		X								
8		MYR Group Inc.		X		4/9/2012		X								
9		Lowes Companies Inc.	+	X		4/10/2012		X								
10		SPDR Sec Tr EFT		X		5/4/2012		X								
11		Ducommun Inc.		X		5/8/2012		X								
12		BPZ Resources Inc.	+	X		5/10/2012		X								

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	IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDAQ/OTC	X			2/1/1X	X		E	X	A	M	P	L	E
1	The Mosaic Co.		X		5/14/2012	X								
2	Wesco International Inc.		X		5/16/2012	X								
3	Axis Capital Holdings		X		5/18/2012	X								
4	EMC Corp.		X		5/25/2012	X								
5	Honeywell Intl.		X		5/30/2012	X								
6	Abbott Labs		X		6/1/2012	X								
7	General Electric		X		6/4/2012	X								
8	Nuance Communications		X		6/12/2012	X								
9	The Fresh Market		X		6/13/2012	X								
10	Wesco International		X		6/13/2012	X								
11	Seadrill Ltd.		X		6/18/2012	X								
12	Basic Energy Svcs.		X		6/21/2012	X								

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1		Baxter International Inc.		X		2/1/1X		X		E	X	A	M	P	L	E	
2		Goldcorp Inc.	+■		X	1/27/1X				X	E	X	A	M	P	L	E
3		Newmont Mining Corp			X	7/5/12				X							
4		Whiting USA Trust II			X	7/19/2012		X									
5		Corporate Office Properties Trust Inc.	+■		X	7/27/2012		X									
6		Cardinal Health Inc.			X	8/1/2012		X									
7		Pepsico Inc.	+■		X	8/9/2012				X							
8		Nabors Industries Inc.			X	8/20/2012		X									
9		Titan Machinery Inc.	+■		X	8/22/2012		X									
0		Bunge Ltd.			X	8/29/2012			X								
1		Lamgold Corp.			X	8/29/2012		X									
2		Noble Corp.	+■		X	9/4/2012		X									

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1		Northern Oil & Gas		X		2/1/1X	X	E	X	A	M	P	L	E		
2		C & J Energy Services, Inc.	+		X	1/27/1X		X	E	X	A	M	P	L	E	
3		Pacific Drilling			X	9/24/2012	X									
4		Triangle Petroleum Corp.			X	9/26/2012	X									
5		Carefusion Corp.	+		X	9/28/2012		X								
6		Metlife Inc.			X	10/2/2012		X								
7		Ocean Rig UDW Inc.	+		X	10/5/2012	X									
8		LAM Research Corp.			X	10/26/2012	X									
9		Aleve Inc.	+		X	10/31/2012	X									
10		Anixter International Inc.			X	11/7/2012	X									
11		Corporate Office Property 7.375% Pfd.			X	11/12/2012	X									
12		Proctor & Gamble Co.	+		X	11/16/2012	X									

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			Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	
1		Bank of America Corp.		X		2/1/1X	X	E	X	A	M	P	L	E	
2		Nucor Corp.	+■		X	1/27/1X		X	E	X	A	M	P	L	E
3		Exxon Mobil Corp.			X	12/14/2012									
4		Torchmark Corp. 9.25%			X	12/18/2012									
5		Eaton Vance Tax Managed Opp Fund	+■		X	12/21/2012									
6		Crimson Exploration Inc.			X	12/24/2012									
7		Resolute Energy Corp.	+■		X	12/24/2012									
8		Triangle Capital Corp.	(REINVEST)				4/2/2012								
9		Triangle Capital Corp.	(REINVEST)	+■			6/27/2012								
10		Pimco All Asset C	(REINVEST)				9/24/2012								
11		Triangle Capital Group	(REINVEST)				10/1/2012								
12		Eaton Vance Worldwide Health C	(REINVEST)	+■			12/21/2012								

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1		Eaton Vance Worldwide Health C (REINVEST)				12/21/2012	X				E X A M P L E			
2		Eaton Vance Worldwide Health C (REINVEST)	+			12/21/2012	X				X E X A M P L E			
3		Pimco all Asset C (REINVEST)				12/31/2012	X							
4		Trinagle Capital Corp. (REINVEST)				12/31/2012	X							
5		Kraft/Mondelez Int	+		X	10/5/2012	X							
6		Mondelez International			X	10/5/2012	X							
7		Midstates Petroleum Co., Inc.	+	X		6/20/2012	X							
8		Dunkin Brands Group		X		8/31/2012		X						
9		Legacy Reserves LP UTS Rep LP	+	X		11/23/2012		X						
10		Midstates Petroleum Co. Inc.		X		12/10/2012	X							
11		C&J Energy Services INC		X		2/16/2012		X						
12		HCA Holdings Inc.	+	X		7/2/2012		X						

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		Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000		
1	SUBN Propane Ptnrs		X		2/1/1X	X			E	X	A	M	P	L	E	
2	SUBN Propane Ptnrs			X	1/27/1X				X	E	X	A	M	P	L	E
3	Coca Cola Co			X	9/14/2012	X										
4	Dunkin Brands Group Inc			X	9/19/2012	X										
5																
6																
7																
8																
9																
10																
11																
12																

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PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

Name of Organization		Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	National Assn. of Rock Collectors	NY, NY	EXAMPLE	Non-profit education	President	6/91
	Jones & Smith	Hometown, USA	EXAMPLE	Law Firm	Partner	7/96
1	Andrew Jackson Council of Boy Scouts of America	Jackson, Mississippi	Non-Profit Service	Executive Board Member	1/1974	Present
2	Smithsonian Institution	Washington, DC	Federal Trust	Member, Board of Regents	1/1995	Present
3	National Museum of American History	Washington, DC	Federal Trust	Board Member	9/1998	Present
4	Profiles in Courage Awards Committee	Boston, MA	Non-Profit Group	Committee Member	3/2001	Present
5	John F. Kennedy Center for the Performing Arts	Washington, DC	Federal Trust	Ex Officio Member of Board of Trustees	1/2005	Present
6						
7						
8						
9						
10						
11						
12						
13						

Compensation in excess of \$200 from any position must be reported in Part II.