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# PERKINSCOIE

SECRETARY OF THE SENATE

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August 13, 2015

Secretary of the Senate

Office of Public Records

232 Hart Senate Office Building

Washington, DC 20510

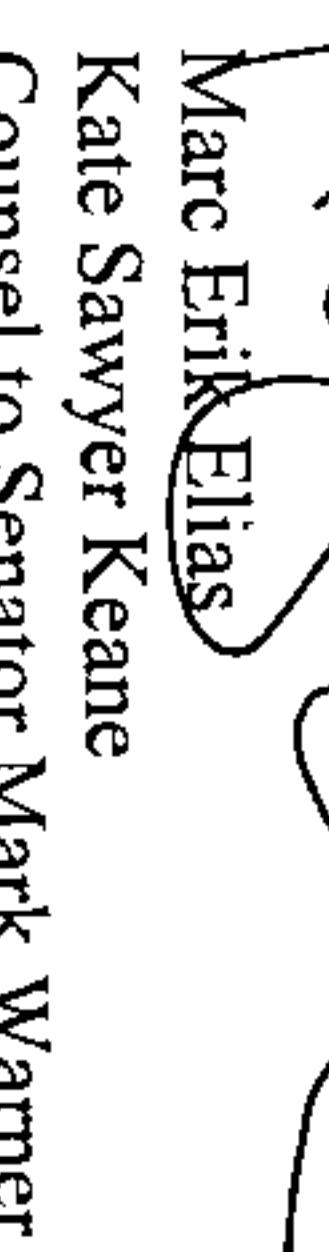
**Re: Senator Mark R. Warner**

Dear Madame Secretary:

We are writing on behalf of Senator Mark R. Warner. Enclosed please find Senator Warner's Public Financial Disclosure Report for the calendar year ending December 31, 2014.

Please do not hesitate to call us should you have further questions. Thank you for your attention to this matter.

Very truly yours,

  
Marc Erik Elias  
Kate Sawyer Keane  
Counsel to Senator Mark Warner

Enclosure

# UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

<input type="checkbox"/> Amendment			
Last Name	First Name and Middle Initial	Annual Report	
Warner	Mark R.	Calendar Year Covered by Report <b>2014</b>	Senate Office / Agency in Which Employed <b>United States Senate</b>
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report	Prior Office / Agency in Which Employed
Russell Senate Office Building, Washington, DC 20510	202-224-1813	Termination Date (mm/dd/yy)	

## AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$350 from one source)? If Yes, complete and attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, complete and attach PART II.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, complete and attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If Yes, complete and attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your <u>FIRST</u> Report: Did you receive compensation of more than \$5,000 from a single source in the <u>two</u> prior years? If Yes, complete and attach PART X.	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Each question must be answered and the appropriate PART attached for each “YES” response.**

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Do Not Write Below this Line

Reporting Individual's Name

 Amendment

Page Number

Sen. Mark R. Warner

## PART I. PAYMENTS TO PAY CHARITABLE ORGANIZATIONS IN LIEU OF HONORARIA

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Report the source (name and address), date, and amount of any payment from each source to a charitable organization made in lieu of honoraria to you during the reporting period. Identify the activity (speech, article, or appearance), which generated the payment. For further information, see Instructions.

**Note:** Travel expenses in excess of \$350 related to activities giving rise to these payments must be reported in Part VI, Reimbursements.

Date of Payment	Name of Source	Address (City, State)	Speech, Article, or Appearance	Amount
Example: 3/26/1X 7/23/1X	Association of American Associations	Wash., DC <b>EXAMPLE</b>	Speech	\$1,000
	XYZ Magazine	NY, NY <b>EXAMPLE</b>	Article	\$500
1 2/7/14	Information requested, payment to Our Lady of Lourdes School	Bethesda, MD	Appearance	\$350
2 1/22/14	Kim Schwalm, payment to Parent Encouragement Program	Washington, DC	Appearance	\$350
3 3/22/14	Kelly Chenault, payment to Visual Arts Center of Richmond	Richmond, VA	Appearance	\$320
4 3/29/14	Information requested, payment to United Communities Ministries	Alexandria, VA	Appearance	\$350
5 5/1/14	Information requested, payment to MCCP	Fairfax, VA	Appearance	\$450
6 5/2/14	Brett Hayes, payment to Waynesboro Family YMCA	Waynesboro, VA	Appearance	\$350
7 9/12/14	Cynthia Olinger, payment to Mr. Jefferson's Capitol Ball	Charlottesville, VA	Appearance	\$400
8 11/14/14	Information requested, payment to Achievable Dream Tennis Ball Gala	Hampton Roads, VA	Appearance	\$500
9 9/12/14	Donald Lewis, payment to Colonial Heritage Golf Classic	Williamsburg, VA	Appearance	\$500
10 4/1/14	Information requested, payment to Herndon Foundation for the Cultural Arts	Herndon, VA	Appearance	\$130
11 2/22/14	Information requested, payment to Cancer Outreach Foundation Gala	Abingdon, Va	Appearance	\$350
12 2/7/14	Information requested, payment to Greater McLean Chamber of Commerce	McLean, VA	Appearance	\$350
13 5/15/14	Mary Turek, payment to Smithsonian's National Zoo's Zoafari	Washington, DC	Appearance	\$350

A separate, confidential report which names the charitable organization receiving such payments must be filed directly with the Select Committee on Ethics.

Reporting Individual's Name	<input type="checkbox"/> Amendment
Sen. Mark R. Warner	

Page Number

2

**PART I. PAYMENTS TO PAY CHARITABLE ORGANIZATIONS IN LIEU OF HONORARIA**

Report the source (name and address), date, and amount of any payment from each source to a charitable organization made in lieu of honoraria to you during the reporting period. Identify the activity (speech, article, or appearance), which generated the payment. For further information, see Instructions.

**Note:** Travel expenses in excess of \$350 related to activities giving rise to these payments must be reported in Part VI, Reimbursements.

Date of Payment		Name of Source	Address (City, State)	Speech, Article, or Appearance	Amount
Example:	3/26/1X	Association of American Associations	Wash., DC	EXAMPLE	Speech \$1,000
	7/23/1X	XYZ Magazine	NY, NY	EXAMPLE	Article \$500
1	4/8/14	Information requested, payment to Greater Augusta Chamber	Augusta, VA	Appearance	\$350
2	5/17/14	Information requested, payment to Harrisonburg Downtown Renaissance	Harrisonburg, VA	Appearance	\$350
3	4/8/14	Information requested, payment to Abingdon Rotary Club	Abingdon, VA	Appearance	\$350
4	10/18/14	Information requested, payment to The Arc of Northern Virginia	Falls Church, VA	Appearance	\$350
5	7/21/14	Information requested, payment to Greater McLean Chamber of Commerce Gulf Classic	McLean, VA	Appearance	\$350
6	11/15/14	Information requested, payment to Kidney Foundation	Washington, DC	Appearance	\$350
7	10/25/14	Information requested, payment to SCAN of Northern Virginia	Alexandria, VA	Appearance	\$350
8					
9					
10					
11					
12					
13					

A separate, confidential report which names the charitable organization receiving such payments must be filed directly with the Select Committee on Ethics.

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<b>Part II</b>			
<b>Earned and Non-Investment Income</b>			
<b>Name of Income Source</b>	<b>Address (City, State)</b>	<b>Type of Income</b>	<b>Amount</b>
			-

**Part IIIA.**  
**Publicly Traded Assets & Unearned Income Sources**

<b>Identity of Publicly Traded Assets And Unearned Income Sources</b>	<b>Valuation of Assets</b>					<b>Type and Amount of Income</b>																					
	<b>None (or less than \$1,001)</b>	<b>\$1,001 - \$15,000</b>	<b>\$15,001 - \$50,000</b>	<b>\$50,001 - \$100,000</b>	<b>\$100,001 - \$250,000</b>	<b>\$250,001 - \$500,000</b>	<b>\$500,001 - \$1,000,000</b>	<b>Over \$1,000,000***</b>	<b>Dividends</b>	<b>Rent</b>	<b>Interest</b>	<b>Capital Gains</b>	<b>Excepted Investment Fund</b>	<b>Exempted Trust</b>	<b>Qualified Blind Trust</b>	<b>Other</b>	<b>None (or less than \$201)</b>	<b>\$201 - \$1,000</b>	<b>\$1,001 - \$2,500</b>	<b>\$2,501 - \$5,000</b>	<b>\$5,001 - \$15,000</b>	<b>\$15,001 - \$50,000</b>	<b>\$50,001 - \$100,000</b>	<b>\$100,001 - \$1,000,000</b>	<b>Over \$1,000,000***</b>		
<b>Individual Retirement Account</b>																											
Artisan International Fund								x								x					x						
<b>Personal</b>																											
JPMorgan-Checking/ATM Account					x										x					x							
MRW Home, LLC - JPMorgan - Checking Account	x													x					x								
MRW Office, LLC - JPMorgan - Checking Account		x										x			x				x								
JPMorgan-MMIA Savings							x				x				x				x								
<b>THE MRW BLIND TRUST</b>																											
JPM Strategic Income Opportunities Fund						x							x								x						
JPM Strategic Income Opportunities Fund							x						x								x						
<sup>2</sup> Steel Partners Holdings LP	x												x			x			x								
Colt Telecom Group SA	x											x				x						x					
Coho Relative Value Equity Instl							x					x			x			x			x						
SPDR S&P 500 ETF Trust							x					x			x			x			x						
Blackrock Equity Dividend Fund Inst								x				x			x			x			x						x
FPA International Value Fund							x					x			x			x			x						
Federated Muni Ultra Short							x					x			x			x		x							
RS Global Natural Resources Fund	x											x			x			x		x							
First Eagle Overseas FD Class I							x					x			x			x			x						
Oppenheimer Developing Markets							x					x			x			x			x						
Akre Focus Fund Institutional							x					x			x			x			x						
Sands Capital Global Growth Fund							x					x			x			x			x						x
Artisan International Fund							x					x			x			x			x						x
Goldman Sachs Strategic Income							x					x			x			x			x						
Vanguard Limited Tax-Exempt Fund							x					x			x			x			x						
Vulcan Value Partners Small Cap Fund	x											x			x			x			x						x
Wisdomtree TR Smallcap Dividend Fund	x											x			x			x			x						x
Wisdomtree TR Japan Hedged Equity Fund							x					x			x			x			x						x
Wisdomtree Trust Japan Smallcap Hedged Equity							x					x			x			x			x						x

Identity of Publicly Traded Assets And Unearned Income Sources	Valuation of Assets						Type and Amount of Income																							
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000
Wisdomtree Europe Hedged Equity Fund					x				x															x						
DB AB Inv Cash Trust Treasury Instl						x			x									x												
Fidelity Cash Deposit					x				x									x												
GW&K Short Term Muni Bond Strategy	x															x								x						
Federated Muni Ultra Short CL IS	x															x			x											
DB AB Cash Reserve Prime Series Money Market Fund					x										x			x			x									
United States Treasury Bills 0.0%: 3/19/15					x				x						x					x										
Deutsche Tax-Free Money Market Fund	x														x			x			x									
JPMorgan Cash Deposit	x														x			x			x									
JPMorgan Prime Money Market Fund - Non-USD			x												x			x			x									
JPMorgan Tax Free Resv Sweep Fund			x												x			x			x									
Consolidated Bank & Trust Certificate of Deposit			x												x					x										
Virginia Commerce Bank Certificate of Deposit			x												x			x			x									
Burke & Herbert Certificate of Deposit			x												x			x			x									
Morgan Stanley Bank N.A. #	x														x			x			x									
<b>MGE, LLC</b>															x			x			x									
Deutsche Tax-Free Money Fund			x												x			x			x									
Doubleline Total Return Bond Fund Class I		x													x			x			x									
<b>LISA COLLIS (SPOUSE)</b>															x			x			x									
JPMorgan Checking		x													x			x			x									
JPMorgan Checking		x													x			x			x									
<b>Individual Retirement Account</b>															x			x			x									
Artisan International Fund		x													x			x			x									
<b>THE LDC BLIND TRUST (S Trust)</b>															x			x			x									
DB AB Inv Cash Trst Treasury Instl		x													x			x			x									
Virginia Commerce Bank Certificate of Deposit			x												x			x			x									
Consolidated Bank and Trust Certificate of Deposit			x												x			x			x									
Blackrock Equity Dividend Fund Inst			x												x			x			x					x				
Vulcan Value Partners Fund			x												x			x			x				x					

Identity of Publicly Traded Assets And Unearned Income Sources	Valuation of Assets					Type and Amount of Income																								
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	x \$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	None (or less than \$20,001)	\$20,001 - \$1,000,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000
Artisan International Fund																														
MGE, LLC																														
Deutsche Tax-Free Money Fund						x											x				x									
Doubleline Total Return Bond Fund Class I				x													x				x									
<b>DEPENDENT CHILDREN ASSETS: IRREVOCABLE TRUSTS</b>																														
JP Morgan Tax Free Money Market Premier Fund		x															x				x									
JPMorgan Tax Free Bond Fund Select Share Class Fund		x															x				x									
JPMorgan Short Int Muni Bond Fund - INSTL		x															x				x									
JPMorgan Mid Cap Value Fund	x																x				x									
Matthews Pacific Tiger Fund	x																x				x									
Ishares Russell Midcap Growth Index Fund	x																x				x									
JPMorgan US Large Cap Core Plus Fund Select	x																x				x									
JPMorgan High Yield Bond Fund	x																x				x									
JPM Strategic Income Opportunities Fund	x																x				x									
Manning & Napier Fund Inc	x																x				x									
SPDR S&P 500 ETF Trust		x															x				x									
Artisan International Value Fund- Inv		x															x				x									
Dodge & Cox International Stock	x																x				x									
Eaton Vance Mutual Funds TR - Floating Rate I	x																x				x									
JPMorgan TR I - Tax Aware Real Return Fund Select Share Class Fund	x																x				x									
Dreyfus/Laurel FDS TR- Prm Emerging Market I	x																x				x									
JPM Large Cap Growth Fund - Select	x																x				x									
Aberdeen Asia Pacific Ex Japan	x																x				x									
JPM Value Advantage FD - Instl	x																x				x									
Doubleline Total Return Bond-I		x															x				x									
Ishares MSCI EAFE Index Fund		x															x				x									
Brown Adv Japan Alpha Opp-IS	x																x				x									
Vanguard FTSE Europe ETF	x																x				x									
JPM China Region Fund - Sel	x																x				x									

Identity of Publicly Traded Assets And Unearned Income Sources	Valuation of Assets						Type and Amount of Income																					
	None (or less than \$1,001)			Over \$1,000,000***			Dividends			Type of income									Amount of Income									
	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
Oppenheimer Developing Mkt-Y	x													x				x										
JPM Global Res ENH Index Fund - Sel		x												x				x										
Oakmark International Fund - I		x												x				x										
<b>DEPENDENT CHILDREN ASSETS: 1999 TRUST</b>																												
JP Morgan Tax Free Money Market Premier Class Sweep Fund			x											x				x										
JPMorgan Tax Free Bond Fund				x										x				x			x							
JPMorgan TR I - Tax Aware Real Return Fund Select Share Class Fund	x													x				x										
Ishares Russell Midcap Growth Index Fund			x											x				x			x							
JPMorgan Strategic Income			x											x				x			x							
Gateway Fund- Y		x												x				x			x							
Goldman Sachs TR		x												x				x			x							
Gotham Absolute Return Fund (FundAdvantage)		x												x				x			x							
Matthews Pacific Tiger Fund		x												x				x			x							
SPDR Gold Trust		x												x				x			x							
Harbor High Yield Bond Fund- INS			x											x				x			x							
Eaton Vance Floating Rate- I	x													x				x			x							
Dreyfus/Laurel FDS TR	x													x				x			x							
The Arbitrage Funds-I CL I		x												x				x			x							
BARC BREN PLDMLNPM 2/17/15 (Hard Assets)	x													x				x			x							
BARC LEV Cont Buff EQ WTI 12/21/15; 85% Barrier (Hard Assets)	x													x				x			x							
DB Market Plus WTI 07/21/15 - 6.5% CPN (Hard Assets)	x													x				x			x							
Eaton Vance Mut FDS TR Global Macro Absolute Return I		x												x				x			x							
CS Cyclical Sect Rel Perf NT 2/25/15 (US Large Cap Equity)	x													x				x			x							
JPM Large Cap Growth Fd - Sel		x												x				x			x							
Primecap Odyssey Funds			x											x				x			x							
SPDR S&P 500 ETF Trust			x											x				x			x							
Artisan Intl Value Fund - INV		x												x				x			x							
MFS Intl Value - I			x											x				x			x							
Brown Adv Japan Alpha Opp-IS		x												x				x			x							

Identity of Publicly Traded Assets & Unearned Income Sources									
Valuation of Assets									
Type and Amount of Income									
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	None (or less than \$201)
Aberdeen Asia Pacific Ex Japan	x								x
Ridgeworth Funds	x								x
JPM Equity Income Fund - Sel		x							x
DB Market Plus SXSE 03/19/14 80% Contin Barrier 7.6% CPN	x								x
AIM Invt FDS (INV Balanced Risk Allocation - Y)		x							x
Doubleline FDS TR		x							x
JPM Short Duration Bond FD- Sel		x							x
BARC REN SPX 09/04/14	x								x
CS LEV Cont Buff Eq MXEA 9/4/14	x								x
iShares MSCI EAFE Index Fund		x							x
SG REN MXEA 06/25/14	x								x
JPM China Region Fund - SEL	x								x
JPM Global RES ENH Index Fund - SEL		x							x
BARC BEN BRENT 09/29/14	x								x
MGE, LLC									
Deutsche Tax-Free Money Fund								x	x
Doubleline Total Return Bond Fund Class I		x						x	x

#### **Part IIIB: Non-Publicly Traded Assets & Unearned Income Sources**

**Identity of Non-Publicly Traded Assets**

## **And Unearned Income Sources**

Identity of Non-Publicly Traded Assets And Unearned Income Sources	Valuation of Assets	Type and Amount of Income								Amount of Income								
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	
THE MRW BLIND TRUST										x			x		x			
Loan: Murray M. Bonitt, Alexandria, VA			x															
Ramsey BPI Investors, LLC, McLean, VA, Investment fund																		
Best Practices, Inc., Fairfax, VA, Medical services		x														x		
Steel Partners II, L.P., New York, NY, Investment fund			x										x				x	
Hound Partners 3(c)7 LP, New York, NY, Investment fund									x				x					x
Apollo Value Investment Fund, L.P., New York, NY								x				x						x
Apollo VII Private Investors, New York, NY, Investment Fund							x		x			x						x
Apollo VIII Private Investors, New York, NY, Investment Fund				x								x					x	
Apollo EPF Private Investors, LLC, New York, NY, Investment Fund					x							x						x
AESSF II Private Investors LLC, New York, NY, Investment Fund						x						x						x
Coatue Qualified Partners LP CID, New York, NY Investment Fund							x				x							x
1225 King Street Associates, LLC, Commercial Property, Alexandria, VA	x									x x			x					
Woodlawn Terrace Apartments LP, Fairfax, VA, Investment Company Apartment Complex, Woodbridge, VA	x									x			x					
Capital Cellular Corporation, Alexandria, VA, Investment company																		
MSA Investments, LP, Alexandria, VA																		
Topeka KS, LP - Topeka, KS, Investment company				x						x x								x
Minority interest in Topeka, KS RSA Cellular License																		
Petersburg, WV, LP - Little Rock, AR, WV, Investment company					x					x x								x
Minority interest in Petersburg, WV RSA Cellular License																		
Columbia Capital LLC, Alexandria, VA, Management Company	x																x	
Columbia Capital III, LLC, Alexandria, VA, Management Company	x									x x x			x				x	
Columbia Capital Investors, L.L.C., Alexandria, VA, Investment company	x										x		x				x	
Columbia Capital Investors III, LLC, Alexandria, VA, Investment company	x							x				x						x
Columbia Capital Equity Partners IV, L.P., Alexandria, VA, Investment company							x				x		x				x	
Columbia Capital Equity Partners V, L.P., Alexandria, VA, Investment company						x					x		x				x	
Capital Investors II, LLC, Arlington, VA, Investment company			x														x	
Lucid Media, McLean, VA, Advertising platform/software																		
Envest III LLC, Virginia Beach, VA, Investment Company				x				x			x		x			x		
Novus Energy Partners US LP, Alexandria, VA, Investment Company					x				x		x		x					x
Novus Special Situations LLC, Alexandria, VA, Investment Company						x				x		x						
Norwegian Crystals AS, Oslo, Norway, Manufacturing of solar equipment							x				x		x				x	
Tucker Anthony Private Equity Fund III, L.P., Boston, MA, Investment Company						x			x		x		x					x

## Part IIIB.

## Non-Publicly Traded Assets &amp; Unearned Income Sources

## Identity of Non-Publicly Traded Assets

## And Unearned Income Sources

	Valuation of Assets						Type and Amount of Income																						
	None (or less than \$1,000)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Exempted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***
Updata Venture Partners II B, LP, Reston, VA, Investment Company	x																				x								
Updata Partners III L.P., Reston, VA, Investment Company					x																					x			
Updata Partners IV, Reston, VA, Investment Company						x										x									x				
Alexandria NBIS Investors, LLC, Alexandria, VA, Investment Company							x																		x				
SunTX NBIS Holdings, L.P., Dallas, TX								x																	x				
NationsBuilders Insurance Services, Inc., Atlanta, Ga, Insurance Co.									x																x				
MRW Biotech Investors , LLC, Alexandria, VA Investment Company									x						x	x								x					
New River Management V, Radford, VA, Biotechnology								x							x	x								x					
Halozyme Therapeutics, Inc., San Diego, CA, Biotechnology						x		x							x	x								x					
Intrexon Corporation, Blacksburg, VA, Biotechnology					x										x	x								x					
New River Management VI, Radford, VA, Biotechnology						x									x	x								x					
Intrexon Corporation, Blacksburg, VA, Biotechnology							x								x	x								x					
Triliant Networks, Inc., Redwood City, CA, Intelligent Network Solutions Company							x								x									x					
DubmeNow, Inc., Vienna, VA, Software Management								x							x									x					
Private Investments Liquidating Trust, New York, NY, Investment Company	x								x						x			x						x					
Mid-Ocean III Private Investors LLC, New York, NY, Investment Company									x						x									x					
CCMP Capital Investors II, LLC, New York, NY, Investment Company								x							x									x					
AP Europe VII, LP, New York, NY, Investment Company								x							x									x					
Bear Creek Investors LLC, Alexandria, VA, Investment Fund									x						x									x					
Charter Communications Inc., St. Louis, MO, Telecommunications								x							x									x					
Millennial Media, Baltimore, MD, Mobile Advertising company									x						x									x					
Definitive Guardian Partners, LP, Radnor, PA, Investment Fund									x						x									x					
Alexandria RC Investors LLC, Alexandria, VA, Investment Fund									x						x									x					
RideCharge Inc., Alexandria, VA, Software company (Ridenway Inc.)										x					x									x					
Mphora Holdings, Inc., Huntersville, NC, Investment Fund								x							x									x					
Sweet Relish, Huntersville, NC, Social Commerce Platform									x						x									x					
TZG-Sachs Empire LLC, Rockville, MD, Investment Fund								x							x									x					
EMSG LLC, Rockville, MD, Secured Debt									x						x									x					
WDE Partners, L.P., New York, NY, Investment Fund									x						x									x					
West Deptford Energy Holdings, LLC, New York, NY, Energy company									x						x									x					
Kayne Anderson MLP Fund, LP, Los Angeles, CA, Investment Fund	x									x					x									x					
MS HP Millennium Str Cap LP, New York, NY, Investment Fund	x									x					x									x					

## **Identity of Non-Publicly Traded Assets And Unearned Income Sources**

## Identity of Non-Publicly Traded Assets

## And Unearned Income Sources

	None (or less than \$1,000)	Valuation of Assets						Type and Amount of Income																							
		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000		Dividends	Rent	Interest	Capital Gains	Exempted Investment Fund	Exempted Trust	Qualified Blind Trust	Other	None (or less than \$120)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000
NeuroVentures Fund LP, Charlottesville, VA, Investment Company																															
Acumen Pharmaceuticals, Inc., South San Francisco, CA, Drug discovery company	x																														
Concentric Medical, Inc., Mountain View, CA, Medical company	x																														
NanoMed Systems, Inc., Houston, TX, Medical company	x																														
SpineWave, Inc., Shelton, CT, Therapy Company	x																														
Mevion Medical System (Still River Systems, Inc.), Littleton MA, Therapy Company	x																														
NeuroVentures Capital LLC, Charlottesville, VA, Investment Company	x														x	x															
Ramsey BPI Investors LLC, McLean, VA, Investment fund																															
Best Practices Inc., Fairfax, VA, Medical services	x															x															
Point Judith Venture Fund LP, Providence, RI, Investment company																															
MedOptions, Inc., Old Saybrook, CT, Medical Care services	x																											x			
Narragansett Brewing Company, Providence, RI, Brewer	x																										x				
Alexandria NBIS Investors LLC, Alexandria, VA, Investment company																												x			
SunTX NBIS Holdings, L.P., Dallas, TX, Investment company	x															x															
NationsBuilders Insurance Services, Inc., Atlanta, GA, Insurance																															
Point Judith Venture Fund II LP, Providence, RI, Investment Company																															
Accela, Inc., San Ramon, CA, Software (Acquired as a result of Envista merger)	x																										x				
FSA Store, Inc., New York, NY, Internet company	x																										x				
NABsys, Inc., Providence, RI, Medical Technologies	x																										x				
Nexamp, Inc., North Andover, MA, Clean Energy Solutions company		x															x									x					
OmniEarth, Inc., Arlington, VA, Software (Acquired as a result of IRISMaps merger)	x																									x					
Tesora Corporation, Boston, MA, Internet company (fka ParElastic)	x																									x					
Pulse Point Inc., New York, NY, Internet company	x																									x					
Retroficiency Inc., Boston, MA, Clean Technology company	x																									x					
Sittercity, Inc., Chicago, IL, Internet company	x																									x					
Spotlight Ticket Management, Woodland Hills, CA, Ticket Management	x																									x					
RideCharge, Inc., Alexandria, VA, Software company (Riderway, Inc.)			x																							x					
Alexandria RC Investors, LLC., Alexandria, VA, Software company			x																							x					
RideCharge Inc., Alexandria, VA, Software company (Riderway, Inc.)	x																									x					
Point Judith Venture Fund III LP, Providence, RI, Investment Company																															
Evergage, Inc., Somerville, MA, Software Company (fka AppTege, Inc.)	x																									x					
Expensify, Inc., San Francisco, CA, Software Company	x																									x					
Kitsy Lane, Inc., Boston, MA, Internet based social selling platform	x																									x					
Pixability, Inc., Boston, MA, Advertising & Marketing	x																									x					
Powerhouse Dynamics, Inc., Newton, MA, Software Company	x																									x					
AetherPal, Inc., South Plainfield, NJ, Software Company	x																									x					
Coachup, Inc., Boston, MA, Internet Company	x																									x					
Curoverse, Inc., Boston, MA, Software Company (fka Clinical Future, Inc.)	x																									x					
Vee24, Inc., Boston, MA, Software Company	x																									x					
Glenview Institutional Partners, LP, New York, NY, Investment Company			x													x									x						
Tekne Partners, L.P., New York, NY, Investment Company			x													x			x						x						
EJF Debt Opportunities Fund, LP, Arlington, VA, Investment Company	x															x			x						x						
RCH Energy Long Alpha Fund, LP, Dallas, TX, Investment Company																															

Identity of Non-Publicly Traded Assets And Unearned Income Sources		Valuation of Assets						Type and Amount of Income																					
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Exempted Investment Fund	Exceptional Trust	Qualified Blind Trust	Other	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$11,000,000
Lisa D. Collis																													
911 King Street Associates LLC, Investment Company	Majestic Cafe, LLC Alexandria, VA, Restaurant				x									x							x								
THE LDC BLIND TRUST (S Trust)														x							x								
MGE, LLC, Alexandria, VA, Investment Company	Columbia Capital Investors III, Alexandria, VA, Investment Company				x									x							x								
NeuroVentures Fund LP, Charlottesville, VA, Investment Company	Acumen Pharmaceuticals, Inc., South San Francisco, CA, Drug discovery company	x																			x								
Concentric Medical, Inc., Mountain View, CA, Medical company	NanoMed Systems, Inc., Houston, TX, Medical company	x																			x								
SpineWave, Inc., Shelton, CT, Therapy Company	Mevion Medical System (Still River Systems, Inc), Littleton MA , Therapy Company	x			x															x									
NeuroVentures Capital LLC, Charlottesville, VA, Investment Company		x												x						x									
Ramsey BPI Investors LLC., McLean, VA, Investment fund	Best Practices Inc., Fairfax, VA, Medical services	x												x						x									
Point Judith Venture Fund LP, Providence, RI, Investment Company	MedOptions, Inc., Old Saybrook, CT, Medical Care services	x																		x									
	Narragansett Brewing Company, Providence, RI, Brewer	x																		x									
Alexandria NBIS Investors LLC, Alexandria, VA, Investment company	SunTX NBIS Holdings, L.P., Dallas, TX, Investment company		x																	x									
NationsBuilders Insurance Services, Inc., Atlanta, GA, Insurance																				x									
Point Judith Venture Fund II LP, Providence, RI, Investment Company	Accela, Inc., San Ramon, CA, Software (Acquired as a result of Envista merger)	x																		x									
	FSA Store, Inc., New York, NY, Internet company	x																		x									
NABsys, Inc., Providence, RI, Medical Technologies	Nexamp, Inc., North Andover, MA, Clean Energy Solutions company	x			x									x						x									
	OmniEarth, Inc., Arlington, VA, Software (Acquired as a result of IRISMaps merger)	x																		x									
Tesora Corporation, Boston, MA, Internet company (fka ParElastic)	Pulse Point Inc., New York, NY, Internet company	x																		x									
	Retroficiency Inc., Boston, MA, Clean Technology company	x																		x									
Sittercity, Inc., Chicago, IL, Internet company	Spotlight Ticket Management, Woodland Hills, CA, Ticket Management	x																	x										
RideCharge, Inc., Alexandria, VA, Software company (Ridenway, Inc.)			x																x										
Alexandria RC Investors, LLC., Alexandria, VA, Software company	RideCharge Inc., Alexandria, VA, Software company (Ridenway, Inc.)	x																	x										
Point Judith Venture Fund III LP, Providence, RI, Investment Company	Evergage, Inc., Somerville, MA, Software Company (fka AppTegic, Inc.)	x																	x										
	Expensify, Inc., San Francisco, CA, Software Company	x																	x										
Kitsy Lane, Inc., Boston, MA, Internet based social selling platform	Pixability, Inc., Boston, MA, Advertising & Marketing	x			x														x										
	Powerhouse Dynamics, Inc., Newton, MA, Software Company	x																	x										
AetherPal, Inc., South Plainfield, NJ, Software Company	Coachup, Inc., Boston, MA, Internet Company	x			x														x										
	Curoverse, Inc., Boston, MA, Software Company (fka Clinical Future, Inc.)	x																	x										
Vee24, Inc., Boston, MA, Software Company		x																	x										

Part IIIB. Non-Publicly Traded Assets & Unearned Income Sources																											
Identity of Non-Publicly Traded Assets And Unearned Income Sources		Valuation of Assets				Type and Amount of Income																					
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	Dividends	Rent	Interest	Capital Gains	Exempted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	None (or less than \$201)	\$201 - \$1,000	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	
Glenview Institutional Partners, LP, New York, NY, Investment Company					x														x								
Tekne Partners, L.P., New York, NY, Investment Company					x													x									
EJF Debt Opportunities Fund, LP, Arlington, VA, Investment Company	x																	x			x						
RCH Energy Long Alpha Fund, LP, Dallas, TX, Investment Company		x															x			x							
<b>DEPENDENT CHILDREN ASSETS</b>																											
MGE, LLC, Alexandria, VA																		x			x						
Columbia Capital Investors III, Alexandria, VA, Investment Company						x											x			x							
NeuroVentures Fund LP, Charlottesville, VA, Investment Company																											
Acumen Pharmaceuticals, Inc., South San Francisco, CA, Drug discovery company		x																		x							
Concentric Medical, Inc., Mountain View, CA, Medical company	x																		x								
NanoMed Systems, Inc., Houston, TX, Medical company	x																	x			x						
SpineWave, Inc., Shelton, CT, Therapy Company	x																	x			x						
Mevion Medical System (Still River Systems, Inc), Littleton MA, Therapy Company	x																	x			x						
'NeuroVentures Capital LLC, Charlottesville, VA, Investment Company	x																x			x							
Ramsey BPI Investors LLC, McLean, VA, Investment fund																	x			x							
Best Practices Inc., Fairfax, VA, Medical services	x																x			x							
Point Judith Venture Fund LP, Providence, RI, Investment Company						x														x							
MedOptions, Inc., Old Saybrook, CT, Medical Care services		x																	x								
Narragansett Brewing Company, Providence, RI, Brewer	x																		x		x						
Alexandria NBIS Investors LLC, Alexandria, VA, Investment company						x											x				x						
SunTX NBIS Holdings, L.P., Dallas, TX, Investment company							x														x						
NationsBuilders Insurance Services, Inc., Atlanta, GA, Insurance																											
Point Judith Venture Fund II LP, Providence, RI, Investment Company																											
Accela, Inc., San Ramon, CA, Software (Acquired as a result of Envista merger)	x																			x							
FSA Store, Inc., New York, NY, Internet company	x																		x								
NABsys, Inc., Providence, RI, Medical Technologies	x																		x								
Nexamp, Inc., North Andover, MA, Clean Energy Solutions company		x															x				x						
OmniEarth, Inc., Arlington, VA, Software (Acquired as a result of IRISMaps merger)	x																	x			x						
Tesora Corporation, Boston, MA, Internet company (fka ParElastic)	x																	x			x						
Pulse Point Inc., New York, NY, Internet company	x																	x			x						
Retroficiency Inc., Boston, MA, Clean Technology company	x																	x			x						
Sittercity, Inc., Chicago, IL, Internet company	x																	x			x						
Spotlight Ticket Management, Woodland Hills, CA, Ticket Management	x																	x			x						
RideCharge, Inc. Alexandria, VA, Software company (Riderway, Inc.)							x											x			x						
Alexandria RC Investors, LLC., Alexandria, VA, Software company						x												x			x						
RideCharge, Inc., Alexandria, VA, Software company (Riderway, Inc.)	x																	x			x						
Point Judith Venture Fund III LP, Providence, RI, Investment Company																											
Evergage, Inc., Somerville, MA, Software Company (fka AppTegic, Inc.)	x																		x								
Expensify, Inc., San Francisco, CA, Software Company	x																	x			x						
Kitsy Lane, Inc., Boston, MA, Internet based social selling platform	x																	x			x						
Pixability, Inc., Boston, MA, Advertising & Marketing	x																	x			x						
Powerhouse Dynamics, Inc., Newton, MA, Software Company	x																	x			x						
AetherPal, Inc., South Plainfield, NJ, Software Company	x																	x			x						
Coachup, Inc., Boston, MA, Internet Company	x																	x			x						
Curoverse, Inc., Boston, MA, Software Company (fka Clinical Future, Inc.)	x																	x			x						
Vee24, Inc., Boston, MA, Software Company	x																	x			x						
Glenview Institutional Partners, LP, New York, NY, Investment Company							x										x			x							
Tekne Partners, L.P., New York, NY, Investment Company							x										x			x							
EJF Debt Opportunities Fund, LP, Arlington, VA, Investment Company	x																x			x				</td			

Part IIIB.  
Non-Publicly Traded Assets & Unearned Income Sources

**Identity of Non-Publicly Traded Assets  
And Unearned Income Sources**

	Valuation of Assets						Type and Amount of Income																		
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	None (or less than \$1,001)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***
Sun Life Financial Insurance Policy					X			X							X										
Minnesota Mutual Life Insurance Policy								X																	
Columbia Partners Business Trust, Alexandria, VA, Investment company Columbia Capital Investors, LLC, Alexandria, VA, Investment company	X													X		X									

**Part IV**  
**Transactions**

<b>Identification of Assets</b>	<b>Transaction</b>		<b>Amount of Transaction</b>	
	<b>Type</b>		<b>Date</b>	
	Purchase	Sale	Exchange	
<b>Mark R. Warner</b>				
Artisan International Fund	X		11/20/2014	X
<b>THE MRW BLIND TRUST</b>				
Wisdomtree Smallcap Dividend Fund	X		1/30/2014	X
Wisdomtree Trust Japan Smallcap Hedged Equity	X		2/4/2014	X
Wisdomtree TR Japan Hedged Equity Fund	X		2/4/2014	X
Wisdomtree Europe Hedged Equity	X		2/4/2014	X
SPDR S&P 500 ETF Trust	X		2/4/2014	X
RS Global Natural Resources Fund		X	2/7/2014	X
Wisdomtree Trust Japan Smallcap Hedged Equity	X		2/20/2014	X
Wisdomtree TR Japan Hedged Equity Fund	X		2/20/2014	X
Wisdomtree Europe Hedged Equity	X		2/20/2014	X
Wisdomtree Smallcap Dividend Fund	X		2/27/2014	X
Wisdomtree Trust Japan Smallcap Hedged Equity	X		3/3/2014	X
Wisdomtree TR Japan Hedged Equity Fund	X		3/3/2014	X
Wisdomtree Europe Hedged Equity	X		3/3/2014	X
Sands Capital Global Growth Fund	X		3/14/2014	X
Artisan International Fund	X		3/14/2014	X
Wisdomtree Trust Japan Smallcap Hedged Equity	X		3/14/2014	X
SPDR S&P 500 ETF Trust	X		3/14/2014	X
Wisdomtree Europe Hedged Equity	X		3/14/2014	X
Wisdomtree TR Japan Hedged Equity Fund	X		3/14/2014	X
Vanguard Limited Tax-Exempt Fund	X		3/25/2014	X
Goldman Sachs Strategic Income	X		3/25/2014	X
Wisdomtree Smallcap Dividend Fund	X		3/27/2014	X
Blackrock Equity Dividend Fund Inst	X		4/17/2014	X
Wisdomtree Smallcap Dividend Fund	X		4/24/2014	X
Goldman Sachs Strategic Income	X		5/1/2014	X
Wisdomtree Smallcap Dividend Fund	X		5/29/2014	X
Vanguard Limited Tax-Exempt Fund	X		6/2/2014	X
Goldman Sachs Strategic Income	X		6/2/2014	X
Baron Growth Fund Institutional Class Open End Fund	X		6/2/2014	X
Vanguard Limited Tax-Exempt Fund	X		6/2/2014	X
Goldman Sachs Strategic Income	X		6/2/2014	X
Federated Muni Ultra Short	X		6/2/2014	X
Akre Focus Fund Institutional	X		6/2/2014	X
Wisdomtree Smallcap Dividend Fund	X		6/26/2014	X
Vanguard Limited Tax-Exempt Fund	X		7/1/2014	X
Goldman Sachs Strategic Income	X		7/1/2014	X
Blackrock Equity Dividend Fund Inst	X		7/18/2014	X
Vanguard Limited Tax-Exempt Fund	X		7/23/2014	X
Goldman Sachs Strategic Income	X		7/23/2014	X

## Transactions

Identification of Assets	Transaction		Transaction Date	Amount of Transaction		
	Type	Date		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000
Federated Muni Ultra Short	X	7/23/2014	\$1,001 - \$15,000			
Wisdomtree Smallcap Dividend Fund	X	7/24/2014	X			
Vanguard Limited Tax-Exempt Fund	X	7/29/2014			X	
Goldman Sachs Strategic Income	X	7/29/2014			X	
Federated Muni Ultra Short	X	7/29/2014			X	
Wisdomtree Smallcap Dividend Fund	X	7/29/2014				X
Artisan International Fund	X	7/29/2014				X
FPA Intl Value Fund	X	7/31/2014				X
Vanguard Limited Tax-Exempt Fund	X	8/1/2014	X			
Goldman Sachs Strategic Income	X	8/1/2014	X			
Vanguard Limited Tax-Exempt Fund	X	9/2/2014	X			
Goldman Sachs Strategic Income	X	9/2/2014	X			
Federated Muni Ultra Short	X	9/2/2014	X			
Vanguard Limited Tax-Exempt Fund	X	10/1/2014	X			
Goldman Sachs Strategic Income	X	10/1/2014	X			
Federated Muni Ultra Short	X	10/1/2014	X			
Vanguard Limited Tax-Exempt Fund	X	10/13/2014				X
Federated Muni Ultra Short	X	10/13/2014				X
Blackrock Equity Dividend Fund Inst	X	10/17/2014		X		
Vulcan Value Partners Small Cap Fund	X	10/27/2014				X
Vanguard Limited Tax-Exempt Fund	X	11/3/2014	X			
Goldman Sachs Strategic Income	X	11/3/2014	X			
Federated Muni Ultra Short	X	11/3/2014	X			
Wisdomtree Europe Hedged Equit	X	11/19/2014				X
Artisan International Small Cap Fund	X	11/20/2014		X		
Artisan International Fund	X	11/20/2014	X			
Wisdomtree Europe Hedged Equity	X	11/21/2014				X
Vanguard Limited Tax-Exempt Fund	X	12/1/2014	X			
Goldman Sachs Strategic Income	X	12/1/2014	X			
Federated Muni Ultra Short	X	12/1/2014	X			
Blackrock Equity Dividend Fund Inst	X	12/1/2014				X
Artisan International Small Cap Fund	X	12/1/2014				X
Oppenheimer Developing Markets	X	12/8/2014		X		
Oppenheimer Developing Markets	X	12/8/2014		X		
Akre Focus Fund Instl Cl	X	12/8/2014				X
Blackrock Equity Dividend Fund Inst	X	12/15/2014			X	
Blackrock Equity Dividend Fund Inst	X	12/15/2014		X		
Blackrock Equity Dividend Fund Inst	X	12/15/2014		X		
Akre Focus Fund Instl Cl	X	12/16/2014			X	
First Eagle Overseas FD Class I	X	12/18/2014			X	
First Eagle Overseas FD Class I	X	12/18/2014		X		
First Eagle Overseas FD Class I	X	12/18/2014		X		
FPA Intl Value Fund	X	12/23/2014	X			
Sands Capital Global Growth Fund	X	12/24/2014		X		

## Part IV

## Transactions

Identification of Assets	Transaction		Amount of Transaction	
	Type	Date		
Goldman Sachs Strategic Income	Purchase	12/29/2014	\$1,001 - \$15,000	Over \$1,000,000***
JPM Strategic Income Opportunities Fund	Sale	1/2/2014	X	\$1,000,001 - \$5,000,000
JPM Strategic Income Opportunities Fund		2/3/2014	X	
JPM Strategic Income Opportunities Fund		3/3/2014	X	
JPM Strategic Income Opportunities Fund		4/1/2014	X	
JPM Strategic Income Opportunities Fund		5/1/2014	X	
JPM Strategic Income Opportunities Fund		7/1/2014	X	
JPM Strategic Income Opportunities Fund		8/1/2014	X	
JPM Strategic Income Opportunities Fund		9/2/2014	X	
JPM Strategic Income Opportunities Fund		10/1/2014	X	
JPM Strategic Income Opportunities Fund		11/3/2014	X	
JPM Strategic Income Opportunities Fund		12/31/2014	X	
JPM Strategic Income Opportunities Fund		3/25/2014		X
JPM Strategic Income Opportunities Fund		6/2/2014		X
Coho Relative Value Equity Instl		12/8/2014		X
Coho Relative Value Equity Instl		12/23/2014	X	
Coho Relative Value Equity Instl		12/23/2014	X	
Coho Relative Value Equity Instl		12/23/2014	X	
Colt Telecom Group SA		7/2/2014		X
Colt Telecom Group SA		7/2/2014		X
Colt Telecom Group SA		7/9/2014	X	
Colt Telecom Group SA		7/10/2014	X	
Colt Telecom Group SA		7/17/2014		X
Coho Relative Value Equity Instl		2/24/2014		X
Federated Muni Ultra Short CL IS		3/28/2014		X
GW&K Short Term Muni Bond Strategy		6/27/2014		X
GW&K Short Term Muni Bond Strategy		6/30/2014		X
GW&K Short Term Muni Bond Strategy		6/24/2014		X
GW&K Short Term Muni Bond Strategy		6/26/2014		X
GW&K Short Term Muni Bond Strategy		6/27/2014		X
GW&K Short Term Muni Bond Strategy		7/24/2014		X
GW&K Short Term Muni Bond Strategy		7/25/2014		X
GW&K Short Term Muni Bond Strategy		7/31/2014		X
GW&K Short Term Muni Bond Strategy		7/30/2014		X
GW&K Short Term Muni Bond Strategy		8/8/2014		X
GW&K Short Term Muni Bond Strategy		8/13/2014	X	
GW&K Short Term Muni Bond Strategy		9/26/2014		X
GW&K Short Term Muni Bond Strategy		9/29/2014		X
GW&K Short Term Muni Bond Strategy		9/30/2014	X	
GW&K Short Term Muni Bond Strategy		10/15/2014	X	
GW&K Short Term Muni Bond Strategy		12/16/2014		X
GW&K Short Term Muni Bond Strategy		12/17/2014		X
GW&K Short Term Muni Bond Strategy		12/17/2014		X
GW&K Short Term Muni Bond Strategy		12/18/2014		X

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**Part IV**  
**Transactions**

<b>Identification of Assets</b>	<b>Transaction</b>		<b>Transaction</b>	<b>Amount of Transaction</b>		
	<b>Type</b>	<b>Date</b>				
	Purchase	Sale	Exchange			
Envest II, LLC						
Home Care Delivered	X		1/9/2014	X		
Alexandria RC Investors, LLC	X		1/21/2014		X	
Tekne Partners, LP	X		1/31/2014			X
Kayne Anderson MLP Fund	X		1/31/2014			X
Kayne Anderson MLP Fund	X		2/25/2014	X		
New Amsterdam BC Investors, LLC						
BetterCloud	X		4/1/2014		X	
Columbia Capital LLC		X	4/4/2014	X		
DubmeNow, Inc.	X		5/30/2014		X	
Tekne Partners, LP	X		5/30/2014			X
Echo Bridge Realty Fund I, L.P.						
The Pointe & Stono Shores	X		6/29/2014		X	
Bear Creek Investors LLC						
Charter Communications, Inc., Millennial Media	X		7/22/2014		X	
Alexandria RC Investors, LLC						
RideCharge Inc.	X		7/28/2014		X	
Echo Bridge Realty Fund I, L.P.						
HCEB Legacy Heights	X		9/17/2014		X	
Alexandria RC Investors, LLC	X		10/7/2014		X	
1225 King Street Associates, LLC		X	10/27/2014		X	
Sachs Capital - Empire B, LLC						
Empire Petroleum Partners LLC	X		11/21/2014		X	
Edge Principal Investments III, LP	X		11/25/2014			X
Apollo VIII Private Investors, LLC	X		9/23/2014		X	
Private Investments Liquidating Trust	X		5/30/2014	X		
Private Investments Liquidating Trust	X		10/21/2014	X		
Woodlee Terrace Apartments L/P	X		1/8/2014		X	
Lisa D. Collis						
THE LDC TRUST						
Blackrock Equity Dividend Fund Inst	X		4/17/2014	X		
Blackrock Equity Dividend Fund Inst	X		7/18/2014	X		
Blackrock Equity Dividend Fund Inst	X		10/17/2014	X		
Artisan International Fund	X		11/20/2014	X		
Vulcan Value Partners Fund	X		12/3/2014	X		
Vulcan Value Partners Fund	X		12/3/2014	X		
Blackrock Equity Dividend Fund Inst	X		12/15/2014	X		
Blackrock Equity Dividend Fund Inst	X		12/15/2014		X	
Vulcan Value Partners Fund	X		12/24/2014	X		
MGE LLC						
Doubleline Total Return Bond Fund Class I	X		1/3/2014	X		

## Part IV

## Transactions

Identification of Assets	Transaction		Transaction		Amount of Transaction			
	Type	Date	Purchase	Sale	Exchange			
Doubleline Total Return Bond Fund Class I	X	2/4/2014			\$1,001 - \$15,000			
Doubleline Total Return Bond Fund Class I	X	3/4/2014			\$15,001 - \$50,000			
Doubleline Total Return Bond Fund Class I	X	4/2/2014			\$50,001 - \$100,000			
Point Judith Venture Fund III (Q.P.), LP					\$100,001 - \$250,000			
Evergage	X	5/2/2014			\$250,001 - \$500,000			
Expensify	X	5/2/2014			\$500,001 - \$1,000,000			
Pixability	X	5/2/2014			Over \$1,000,000**			
Powerhouse Dynamics	X	5/2/2014						
Doubleline Total Return Bond Fund Class I	X	5/2/2014						
Doubleline Total Return Bond Fund Class I	X	6/3/2014						
Doubleline Total Return Bond Fund Class I	X	7/2/2014						
Tekne Partners, LP	X	7/30/2014						
Glenview Institutional Partners, LP	X	8/1/2014						
Doubleline Total Return Bond Fund Class I	X	8/4/2014						
Doubleline Total Return Bond Fund Class I	X	9/3/2014						
Point Judith Venture Fund II LP								
Earth Aid, Inc.	X	2/28/2014						
Columbia Capital Investors III	X	6/24/2014						
Point Judith Venture Fund, LP								
MedOptions, Inc.	X	7/28/2014						
EJF Debt Opportunities Fund, LP	X	7/30/2014						
Columbia Capital Investors III	X	12/16/2014						
<b>DEPENDENT CHILDREN ASSETS: IRREVOCABLE TRUSTS</b>								
Dodge & Cox International Stock	X	2/7/2014						
JPM Tax Free Bond Fund - Sel	X	2/7/2014						
Ishares MSCI EAFE Index Fund	X	2/11/2014						
Brown Adv Japan Alpha Opp-IS	X	3/12/2014						
JPM Tax Free Bond Fund - Sel	X	6/2/2014						
Doubleline Total Return Bond-I	X	6/2/2014						
JPM Strategic Income Opportunities Fund	X	9/10/2014						
Aberdeen Asia-Pacific XJ-Inst Equity Fund	X	10/22/2014						
SPDR S&P 500 ETF Trust	X	10/24/2014						
JPM Short Int Muni Bond Fund - Instl Fund	X	11/21/2014						
SPDR S&P 500 ETF Trust	X	11/26/2014						
Dreyfus Emerging Market Debt LOC C-I	X	1/21/2014						
Oppenheimer Developing Market-Y	X	2/7/2014						
Dreyfus Emerging Market Debt LOC C-I	X	2/7/2014						
Aberdeen Asia-Pacific XJ-Inst Equity Fund	X	2/7/2014						
JPM China Region Fund - Sel	X	3/12/2014						
Eaton Vance Floating Rate-I	X	6/10/2014						
JPM Tax Free Bond Fund - Sel	X	9/10/2014						
JPM US Large Cap Core Plus Fd- Sel Fund 1002	X	9/10/2014						
Vanguard FTSE Europe ETF	X	10/24/2014						

**Part IV**  
**Transactions**

<b>Identification of Assets</b>	<b>Transaction</b>		<b>Amount of Transaction</b>	
	<b>Type</b>	<b>Date</b>		
	Purchase Sale	Exchange		
Manning & Napier Fund Inc.	X	11/21/2014	\$1,001 - \$15,000	
Dodge & Cox International Stock	X	1/21/2014	X	
Ishares MSCI EAFE Index Fund	X	1/23/2014	X	
Ishares MSCI EAFE Index Fund	X	2/11/2014	X	
Brown Adv Japan Alpha Opp-IS	X	3/12/2014	X	
JPM Tax Free Bond Fund - Sel	X	6/2/2014	X	
Doubleline Total Return Bond-I	X	6/2/2014	X	
JPM Strategic Income Opportunities Fund	X	9/10/2014	X	
SPDR S&P 500 ETF Trust	X	10/24/2014	X	
JPM Short Int Muni Bond Fund - Instl Fund	X	11/21/2014	X	
SPDR S&P 500 ETF Trust	X	11/25/2014	X	
Dreyfus Emerging Market Debt LOC C-I	X	1/21/2014	X	
Oppenheimer Developing Market-Y	X	2/7/2014	X	
Aberdeen Asia-Pacific XJ-Inst Equity Fund	X	2/7/2014	X	
JPM China Region Fund - Sel	X	3/12/2014	X	
Eaton Vance Floating Rate-I	X	6/10/2014	X	
JPM Tax Free Bond Fund - Sel	X	9/10/2014	X	
Vanguard FTSE Europe ETF	X	10/24/2014	X	
Manning & Napier Fund Inc.	X	11/21/2014	X	
Dodge & Cox International Stock	X	1/21/2014	X	
Ishares MSCI EAFE Index Fund	X	1/23/2014	X	
Ishares MSCI EAFE Index Fund	X	2/11/2014	X	
Brown Adv Japan Alpha Opp-IS	X	3/12/2014	X	
JPM Tax Free Bond Fund - Sel	X	6/2/2014	X	
JPM Strategic Income Opportunities Fund	X	6/2/2014	X	
Doubleline Total Return Bond-I	X	6/2/2014	X	
Doubleline Total Return Bond-I	X	6/9/2014	X	
Aberdeen Asia-Pacific XJ-Inst Equity Fund	X	10/22/2014	X	
SPDR S&P 500 ETF Trust	X	10/24/2014	X	
JPM Short Int Muni Bond Fund - Instl Fund	X	11/21/2014	X	
SPDR S&P 500 ETF Trust	X	11/25/2014	X	
Dreyfus Emerging Market Debt LOC C-I	X	1/21/2014	X	
Oppenheimer Developing Market-Y	X	2/7/2014	X	
Aberdeen Asia-Pacific XJ-Inst Equity Fund	X	2/7/2014	X	
JPM China Region Fund - Sel	X	3/12/2014	X	
JPM Tax Free Bond Fund - Sel	X	6/9/2014	X	
Eaton Vance Floating Rate-I	X	6/10/2014	X	
Vanguard FTSE Europe ETF	X	10/24/2014	X	
Manning & Napier Fund Inc.	X	11/21/2014	X	
<b>DEPENDENT CHILDREN ASSETS: 1999 TRUST</b>				
Goldman Sachs TR	X	1/14/2014	X	
Eaton Vance Mut FDS TR Global Macro Absolute Return I	X	1/14/2014	X	
Gateway Fund- Y	X	1/14/2014	X	

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## Part IV

## Transactions

## Identification of Assets

	Transaction		Amount of Transaction
	Type	Date	
The Arbitrage Funds-I CL I	X	1/14/2014	\$1,001 - \$15,000
AIM Invt FDS (INV Balanced Risk Allocation - Y)	X	1/14/2014	X
Ishares MSCI EAFE Index Fund	X	1/23/2014	X
BARC BREN PLDMLNPM 2/17/15	X	2/5/2014	X
JPM Global RES ENH Index Fund - SEL	X	2/7/2014	X
Ishares MSCI EAFE Index Fund	X	2/11/2014	X
CS Cyclical Sect Rel Perf NT 2/25/15	X	2/12/2014	X
Brown Adv Japan Alpha Opp-IS	X	3/12/2014	X
Vanguard FTSE Europe ETF	X	3/20/2014	X
Goldman Sachs TR	X	3/26/2014	X
Gotham Absolute Return Fund	X	3/26/2014	X
DB Market Plus WTI 07/21/15 - 6.5% CPN	X	5/29/2014	X
Gotham Absolute Return Fund	X	6/2/2014	X
JPMorgan Tax Free Bond Fund	X	6/2/2014	X
JPMorgan Strategic Income	X	6/2/2014	X
Ishares MSCI EAFE Index Fund	X	6/25/2014	X
BARC LEV Cont Buff EQ WTI 12/21/15; 85% Barrier	X	10/1/2014	X
Aberdeen Asia Pacific Ex Japan	X	10/22/2014	X
SPDR S&P 500 ETF Trust	X	10/24/2014	X
Dreyfus/Laurel FDS TR	X	1/21/2014	X
Virtus Emerging Markets Opportunity - I	X	2/7/2014	X
Aberdeen Asia Pacific Ex Japan	X	2/7/2014	X
DB PIMS 90% PPN 02/14/14	X	2/14/2014	X
JPM China Region Fund - SEL	X	3/12/2014	X
DB Market Plus SX5E 03/19/14 80% Contin Barrier 7.6% CPN	X	3/19/2014	X
Eaton Vance Mut FDS TR Global Macro Absolute Return I	X	3/26/2014	X
AIM Invt FDS (INV Balanced Risk Allocation - Y)	X	3/26/2014	X
Ridgeworth Funds	X	6/9/2014	X
Eaton Vance Floating Rate- I	X	6/10/2014	X
SG REN MXEA 06/25/14	X	6/25/2014	X
CS LEV Cont Buff Eq MXEA 9/4/14	X	9/4/2014	X
BARC REN SPX 09/04/14	X	9/4/2014	X
BARC BEN BRENT 09/29/14	X	9/23/2014	X
Vanguard FTSE Europe ETF	X	10/24/2014	X
BARC LEV Cont Buff EQ WTI 12/21/15; 85% Barrier	X	12/11/2014	X
Pimco Commodity PL Strat-P	X	12/24/2014	X
			Over \$1,000,000**
			\$1,000,001 - \$5,000,000
			\$5,000,001 - \$25,000,000
			\$25,000,001 - \$50,000,000
			Over \$50,000,000

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Part V Gifts			
Name of Income Source	Address (City, State)	Dates and Brief Description	Gift Value

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<b>Part VII. Liabilities</b>						<b>Category of Amount of Value (x)</b>
<b>Address</b>	<b>Type of Liability</b>	<b>Date Incurred</b>	<b>Interest Rate</b>	<b>Term if applicable</b>		
				\$10,001 - \$15,000		
				\$15,001 to 50,000		
				\$50,001 - \$100,000		
				\$100,001 - \$250,000		
				\$250,001 - \$500,000		
				\$500,001 - \$1,000,000		
				OVER \$1,000,000**		
				\$1,000,001 to \$5,000,000		
				\$5,000,001 to \$25,000,000		
				\$25,000,001 to \$50,000,000		
				Over \$50,000,000		

**Part VIII.****Positions Held Outside U.S. Government**

Name of Organization	Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Collis Warner Foundation, Inc.	Alexandria, VA	Non-Profit Organization	Board of Directors	Jan-97	Present
The Kennedy Center	Washington, DC	Non-Profit Organization	Board of Directors	Mar-13	Present

Footnotes to Mark R. Warner's Senate Personal Financial Disclosure Form 2014

Where market value statements were available, the reportable value of each interest was determined by applying the ownership percentage of the reporting individual to the total value of the capital account reserved for partners or members. Where market value statements were not available, the ending capital balance for the reporting individual was used to value the interest.

Where an asset held other (lower-tier) assets, the character of each lower-tier asset (to the extent such information is provided by the directly-held asset) is listed in Part IIIB. The value of, and income received from, the directly-held asset is generally reported on an aggregated basis.

<sup>1</sup>These entities do not have any underlying holdings.

<sup>2</sup>Interest has been transferred to the Collis-Warner Foundation as of 12/31/14.