UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

☐ Amendment

Last Name	First Name and Middle Initial	Annual Report	
BOOZMAN	JOHN N.	Calendar Year Covered by Report: 2017	Senate Office / Agency in Which Employed: 141 HART
Senate Office Address (Number, Street, City, State, and ZIP Code)	**Senate Office Telephone Number** *(Include Area Code)*	**Termination Report**	
OFFICE OF SENATOR BOOZMAN	202-224-4843	Termination Date (mm/dd/yy)	Prior Office / Agency in Which Employed

AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.		X
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income totaling $200 or more from any reportable source in the reporting period? If Yes, complete and attach PART II.		X
Did you, your spouse, or dependent child hold any reportable asset worth more than $1,000 at the end of the period, or receive unearned or investment income of more than $200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.	X	
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? If Yes, complete and attach PART IV.	X	
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $390 and not otherwise exempt)? If Yes, complete and attach PART V.		X
Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than $390 from one source)? If Yes, complete and attach PART VI.		X
Did you, your spouse, or dependent child have any reportable liability (more than $10,000) during the reporting period? If Yes, complete and attach PART VII.	X	
Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	X	
Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.		X
If this is your FIRST Report: Did you receive compensation of more than $5,000 from a single source in the two prior years? If Yes, complete and attach PART X.		X

Each question must be answered and the appropriate PART attached for each "YES" response.

FOR OFFICIAL USE ONLY
Do Not Write Below this Line

RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS
2018 AUG 13 AM 9:43

a26

Reporting Individual's Name | ☐ Amendment | 0000000001494

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number 1

BLOCK A
Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child (*see* page 13, Financial Disclosure Instructions for CY 2017) for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B
Valuation of Assets
At the close of reporting period. If None, or less than $1,001, check the first column.

BLOCK C
Type and Amount of Income

	Block A	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 – $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Type of Income: None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	Amount of Income: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	Over $5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J	*IBM Corp. (stock)*				X										X							*Example*		X										*Example*
	(S) *Keystone Fund*					X													X			*Example*	X											*Example*
1	J-Arvest Checking			X													X						X											
2	J-Fed Credit union checking		X														X						X											
3	J-Fed Credit union savings		X														X						X											
4	Senate Credit union checking		X														X						X											
5	S-Regions Bank IRA (Cash Acct)		X														X						X											
6	J-Invesco short term treasury MM		X														X						X											
7	J-ABG Bond Fund			X													X	X					X											
8	J-ABG Equity Fund			X													X	X							X									
9	John Boozman IRA*																																	
10	*Invesco Short term treasury MM				X												X						X											

EXEMPTION TEST (*see* Financial Disclosure Instructions for CY 2017): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate. ☐

Reporting Individual's Name

☐ Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A
Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child (*see* page 13, Financial Disclosure Instructions for CY 2017) for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B
Valuation of Assets
At the close of reporting period. If None, or less than $1,001, check the first column.

BLOCK C
Type and Amount of Income

	Asset	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	Over $5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J	IBM Corp. (stock)				X										X							Example		X										Example
	(S) Keystone Fund					X													X			Example	X											Example
1	Equity Investments	see schedule A																																
2	Fixed Income Investments	see schedule B																																
3																																		
4																																		
5																																		
6																																		
7																																		
8																																		
9																																		
10																																		

EXEMPTION TEST (*see* Financial Disclosure Instructions for CY 2017): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate. ☐

Equity Investments

Description	Valuation Of Assets	Income
Discretionary		
Brinker Intl Inc Com	None	None
Disney Com Stk	$1,001-15,000	None
Ecolab Inc Com	None (or less than $1,001)	None
Lowes Cos Inc Com	$1,001-15,000	None
Manpowergroup Inc. Com	$1,001-15,000	None
Target Corp Com	None	None
Viacom Inc Cl B	None	None
Staples		
Colgate Palmolive Co	$1,001-15,000	None
CVS Caremark Corp	$1,001-15,000	None
Mondelez Intl Inc Cl A	$1,001-15,000	None
Procter & Gamble	$1,001-15,000	None
Walgreens Boots Alliance Inc Com	$1,001-15,000	None
Energy		
Baker Hughes A GE Co	$1,001-15,000	None
Chevrontexaxo Corp Com	$1,001-15,000	None
Conocophillips	$1,001-15,000	None
Helmerich & Payne Inc	$1,001-15,000	None
Hess Corporation	$1,001-15,000	None
Valero Energy Corp Com	$1,001-15,000	None

Equity Investments

Description	Valuation Of Assets	Income
Financials		
Allstate Corp	None	None
Bank of Amer Corp Com	$1,001-15,000	None
Capital One Financial Corp	$1,001-15,000	None
Discover Financial Service	$1,001-15,000	None
Invesco Ltd	$1,001-15,000	None
J P Morgan Chase & Co Com	$1,001-15,000	None
State Street Corp Com	$1,001-15,000	None
Travelers Cos Inc The	$1,001-15,000	None
US Bancorp	None	None
Wells Fargo & Co Com	$1,001-15,000	None
Health Care		
Allergan PLC Shs	$1,001-15,000	None
Biogen Idec Inc	$1,001-15,000	None
Celgene Corp Com	$1,001-15,000	None
Gilead Sciences Inc	$1,001-15,000	None
Iqvia Hldgs Inc Com	$1,001-15,000	None
Johnson & Johnson	$1,001-15,000	None
Pfizer Inc	$1,001-15,000	None
Quintiles Transnatio Hdlgs Inc Com	None	None

Equity Investments

Description	Valuation Of Assets	Income
Industrials		
General Dynamics Corp Com	$1,001-15,000	None
Honeywell Intl Inc Com	$1,001-15,000	None
Rockwell Collins Inc Com	$1,001-15,000	None
Union Pacific	$1,001-15,000	None
United Technologies	None	None
Information Technology		
Accenture PLC Ireland Shs CL A	$1,001-15,000	None
Apple Computer Inc	$15,001-50,000	None
Cisco Systems Inc	$1,001-15,000	None
Fiserv Inc	$1,001-15,000	None
Hewlett Packard Enterprise Co Com	$1,001-15,000	None
HP Inc Com	$1,001-15,000	None
IBM	$1,001-15,000	None
Intel Corp	$1,001-15,000	None
Qualcomm Inc	None	None

Materials

CA Inc	$1,001-15,000	None
Dowdupont Inc	$1,001-15,000	None
Lam Research Corp Com	$1,001-15,000	None
Lyondellbasell Industries N Shs	$1,001-15,000	None
Micron Tecnology Inc	$1,001-15,000	None

Equity Investments

Description	Valuation Of Assets	Income
Utilities		
Dte Energy Co	$1,001-15,000	None
Public Service Enterprise Group	$1,001-15,000	None
Equity Funds & Etfs		
First tr Exchange Fd IV No Amer Energy	$1,001-15,000	None
iShares Tr MSCI EAFE Index Fd MFC	$100,001-250,000	None
iShares Tr MSCI Emerg Mkt MFC	$15,001-50,000	None
iShares Tr Rssll 2000 Indx MFC	$50,001-100,000	None
SPDR S & P Midcap 400 ETF Tr UTSER1	$100,001-250,000	None
Telecommunications		
Verizon Communications Com	$1,001-15,000	None

Fixed Income Investments

Description	Valuation Of Assets	Income
Federal Government Securities		
Goldman Sachs Treas Acct ETF	$50,001-100,000	None
iShares Barclays 20 Plus Year Treasury Bond Fund	$15,000-50,000	None
iShares Tr Index Barclys 7-10 Yr	$15,000-50,000	None
iShares Tr Index Barclays 1-3 Yr	$50,001-100,000	None
iShares Barclays Tips ETF	$50,001-100,000	None
iShares Barclays Agency Bond	$100,001-250,000	None
iShares Barclys 3-7 Yr Tsy Bd Fd	$50,001-100,000	None
iShares Tr Barclys 10-20 Yr	$1,001-15,000	None
Corporate Bonds		
iShares Tr Gs Corp BD Fd Rating NR	$100,001-250,000	None
iShares Barclays Mbs Bond Fd	$100,001-250,000	None
iShares Cmbs Bond Fund	$50,001-100,000	None
Vanguard Scottsdale Fds Sht Trm Corp	$50,001-100,000	None

Real Estate And Other Assets

Description	Valuation Of Assets	Income
Commercial		
Vanguard Index Fds REIT ETF	$50,001-100,000	None

Reporting Individual's Name | ☐ Amendment

00000000014101

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A
Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (*see* page 13, Financial Disclosure Instructions for CY 2017) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B
Valuation of Assets
At the close of reporting period. If None, or less than $1,001, check the first column.

BLOCK C
Type and Amount of Income

	Block A	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 – $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Type of Income: None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	Amount of Income: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	Over $5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J	*JP Computer, Software Design, Wash DC*				X										X							*Example*		X										*Example*
	Undeveloped land, Dubuque, Iowa					X								X								*Example*	X											*Example*
1	J-Cotswalds Partners LLC																																	
2	Rogers, AR																																	
3	Subdivision lots *Schedule-C							X						X									X											
4	Northwestern Mutual																																	
5	Whole life			X											X									X										
6																																		
7																																		
8																																		
9																																		
10																																		

EXEMPTION TEST (*see* Financial Disclosure Instructions for CY 2017): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate. ☐

2017
Cotswalds Partners, LLC

Name of Asset	Valuation of Asset	Type of Income	Amount of Income
Subdivision Lot G	$15,001-50,000	None	None
Subdivision Lot H	$15,001-50,000	None	None
Subdivision Lot L	$15,001-50,000	None	None
Subdivision Lot M	$15,001-50,000	None	None
Subdivision Lot N	$15,001-50,000	None	None
Subdivision Lot O	$15,001-50,000	None	None
Subdivision Lot P	$15,001-50,000	None	None
Subdivision Lot R	$15,001-50,000	None	None
Subdivision Lot T	$15,001-50,000	None	None
Subdivision Lot U	$15,001-50,000	None	None
Subdivision Lot V	$15,001-50,000	None	None
Subdivision Lot W	$15,001-50,000	None	None
Subdivision Lot X	$15,001-50,000	None	None
Subdivision Lot Y	$15,001-50,000	None	None
Subdivision Lot Z	$15,001-50,000	None	None
Subdivision Lot AA	$15,001-50,000	None	None
Subdivision Lot BB	$15,001-50,000	None	None
Subdivision Lot CC	$15,001-50,000	None	None
Subdivision Lot DD	$15,001-50,000	None	None
Subdivision Lot EE	$15,001-50,000	None	None
Subdivision Lot HH	$15,001-50,000	None	None
Subdivision Lot II	$15,001-50,000	None	None

Reporting Individual's Name

☐ Amendment

0000000001463

PART IV. TRANSACTIONS

Page Number 4

Report any purchase, sale, or exchange by you, your spouse, or dependent child (*see* page 20, Financial Disclosure Instructions for CY 2017) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange.

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example: S, DC, or J	*IBM Corp. (stock) NYSE*	X			2/1/1X		X			E	X	A	M	P	L	E
	(DC) Microsoft (stock) NASDAQ/OTC		X		1/27/1X				X	E	X	A	M	P	L	E
1	J ABG Equity Fund	X			1/3/17	X										
2	J ABG Equity Fund		X		3/13/17	X										
3	J ABG Bond Fund	X			1/3/17		X									
4	J ABG Bond Fund		X		1/3/17		X									
5	J Invesco short term Treasury		X		3/31/17	X										
6	J Invesco short term Treasury	X			3/31/17	X										
7	John Boozman IRA - See Schedule D															
8																
9																
10																
11																
12																

EXEMPTION TEST (*see* Financial Disclosure Instructions for CY 2017): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate. ☐

Schedule D - 2017

Jan 2017.

Purchases

Date	Assets Purchased	Amount of Transaction
01/25/17	Chevrontexaco Corp Com	$1,001-$15,000
01/13/17	iShares Tr Index Barclays 1-3 Yr	$1,001-$15,000

Sales

Date	Assets Sold/Matured	
01/13/17	iShares Tr Barclys 10-20 Yr	$1,001-$15,000
01/13/17	iShares Tr Index Barclys 7-10 Yr	$1,001-$15,000
01/25/17	US Bancorp	$1,001-$15,000

0000000001454

Feb 2017

1 of 2

Purchases

Date Assets Purchased	Amount of Transaction
IShares Barclays Agency Bond 02/23/17	$1,001-$15,000
IShares Barclays Mbs Bond Fd 02/23/17	$1,001-$15,000
IShares Barclays Tips ETF 02/23/17	$1,001-$15,000
IShares Barclays 20 Plus Year Treasury Bond Fund 02/23/17	$1,001-$15,000
IShares Cmbs Bond Fund 02/23/17	$1,001-$15,000
IShares Tr Barclys 10-20 Yr 02/23/17	$1,001-$15,000
IShares Tr Gs Corp Bd Fd 02/23/17	$1,001-$15,000
IShares Tr Index Barclays 1-3 Yr 02/09/17	$1,001-$15,000
IShares Tr Index Barclys 7-10 Yr 02/23/17	$1,001-$15,000
Powersha Db Multi Sect Comm Tr Db Agricult Fd 02/23/17	$1,001-$15,000
Vanguard Index Fds REIT ETF 02/23/17	$1,001-$15,000
Vanguard Scottsdale Fds Sht Trm Corp 02/23/17	$1,001-$15,000

00000001455

Feb 2017
2 of 2

Sales

Date Assets Sold	Amount of Transaction
Apple Computer Inc 02/23/17	$1,001-$15,000
Bank Of Amer Corp Com 02/23/17	$1,001-$15,000
Discover Financial Services 02/23/17	$1,001-$15,000
Fiserv Inc 02/23/17	$1,001-$15,000
iShares Barclays 20 Plus Year Treasury Bond Fund 02/09/17	$1,001-$15,000
iShares Tr Barclys 10-20 Yr 02/09/17	$1,001-$15,000
iShares Tr Index Barclays 1-3 Yr 02/23/17	$1,001-$15,000
iShares Tr Index Barclys 7-10 Yr 02/09/17	$1,001-$15,000
iShares Tr MSCI EAFE Index Fd MFC 02/23/17	$1,001-$15,000
iShares Tr MSCI Emerg Mkt MFC 02/23/17	$1,001-$15,000
iShares Tr Russ 2000 Indx MFC 02/23/17	$15,001-$50,000
SPDR S & P Midcap 400 ETF Tr UTSER1 02/23/17	$15,001-$50,000
Union Pacific 02/23/17	$1,001-$15,000

0000000001466

4

Mar 2017

Sales

Date	Amount of Transaction
Asset Sold	
IShares Barclays 20 Plus Year Treasury Bond Fund 03/23/17	$1,001-$15,000
IShares Tr Barclys 10-20 Yr 03/23/17	$1,001-$15,000
Target Corp Com 03/07/17	$1,001-$15,000

Purchases

Date	Amount of Transaction
Asset Purchased	
Bed Bath & Beyond Inc 03/07/17	$1,001-$15,000
IShares Tr Index Barclays 1-3 Yr 03/23/17	$1,001-$15,000

5

Senator Boozman Stock Act Transaction April 2017

Sales

Description	Date	Amount of Transaction
Dxc Technology Co	4/11/17	$1,001-15,000
iShares Barclays Mbs Bond Fd	4/10/17	$1,001-15,000
iShares Barclays 20 Plus Year Treasury Bond Fund	4/10/17 4/19/17	$1,001-15,000 $1,001-15,000
iShares Tr Barclys 10-20 Yr	4/10/17 4/19/17	$1,001-15,000 $1,001-15,000
iShares Tr Gs Corp Bd Fd	4/10/17	$1,001-15,000
iShares Tr Index Barclys 7-10 Yr	4/19/17	$1,001-15,000
United Technologies	4/12/17	$1,001-15,000
Vanguard Scottsdale Fds Sht Trm Corp	4/10/17	$1,001-15,000

Purchases

Description	Date	Amount of Transaction
Hewlett Packard Enterprise Co Com	4/11/17	$1,001-15,000
iShares Barclays Tips ETF	4/10/17	$1,001-15,000
iShares Barclays 3-7 Yr Tsy Bd Fd	4/10/17	$1,001-15,000
iShares Tr Index Barclays 1-3 Yr	4/10/17 4/19/17	$1,001-15,000 $1,001-15,000
Micron Technology Inc	4/12/17	$1,001-15,000

Senator Boozman Stock Act Transaction May 2017

Sales

Description	Date	Amount of Transaction
iShares Barclays Agency Bond	5/30/17	$1,001-15,000
iShares Barclays Mbs Bond Fd	5/17/17	$1,001-15,000
iShares Barclays 20 Plus Year Treasury Bond Fund	5/30/17	$1,001-15,000
iShares Tr Barclys 10-20 Yr	5/30/17	$1,001-15,000
iShares Tr Index Barclys 7-10 Yr	5/30/17	$1,001-15,000
Vanguard Scottsdale Fds Sht Trm Corp	5/30/17	$1,001-15,000

Purchases

Description	Date	Amount of Transaction
iShares Barclays Tips ETF	5/30/17	$1,001-15,000
iShares Tr Index Barclays 1-3 Yr	5/30/17	$1,001-15,000

0000000001469

Senator Boozman Stock Act Transaction June 2017

Sales

Description	Date	Amount of Transaction
Brinker Intl Inc Com	6/23/17	$1,001-15,000
iShares Barclays 20 Plus Year Treasury Bond Fund	6/2/17	$1,001-15,000
Viacom Inc Cl B	6/9/17	$1,001-15,000

Purchases

Description	Date	Amount of Transaction
CA Inc	6/9/17	$1,001-15,000
iShares Barclays 3-7 Yr Tsy Bd Fd	6/2/17	$1,001-15,000
iShares Tr MSCI EAFE Index Fd MFC	6/28/17	$1,001-15,000

00000000001470

Senator Boozman Stock Act Transaction July 2017

Sales

Description	Date	Amount of Transaction
Allstate Corp	7/28/17	$1,001-15,000

Purchases

Description	Date	Amount of Transaction
Rockwell Collins Inc Com	7/28/17	$1,001-15,000

Exchanges

Description	Date	Amount of Transaction
Baker Hughes A GE Co Received as exchange for Baker Hughes Inc Com	7/7/17	$1,001-15,000

0000001471

Senator Boozman Stock Act Transaction August 2017

Sales

Description	Date	Amount of Transaction
None		

Purchases

Description	Date	Amount of Transaction
None		

Exchanges

Description	Date	Amount of Transaction
None		

0000000001472

Senator Boozman Stock Act Transaction Sept. 2017

Sales

Description	Date	Amount of Transaction
Bed Bath & Beyond Inc.	9/26/17	$1,001-15,000
Micro Focus International PLC Spon ADR New	9/12/17	$1,001-15,000

Purchases

Description	Date	Amount of Transaction
Hewlett Packard Enterprise Co. Com	9/12/17	$1,001-15,000
Verizon Communications Com	9/26/17	$1,001-15,000

Exchanges

Description	Date	Amount of Transaction
Dowdupont Inc Received as mandatory Exchange of DuPont E I De Nemours	9/7/17	$1,001-15,000

0000000014 72

Senator Boozman Stock Act Transaction Oct. 2017

Sales

Description	Date	Amount of Transaction
Qualcomm Inc.	10/18/17	$1,001-15,000

Purchases

Description	Date	Amount of Transaction
Lam Research Corp Com	10/18/17	$1,001-15,000

0000000 1 3 7 5 1

Senator Boozman Stock Act Transaction Nov. 2017

Exchanges

Description	Date	Amount of Transaction
Iqvia Hldgins Inc Named changed from Quintiles Transnational Corp	11/21/17	$1,001-15,000

0000000147 5

Senator Boozman Stock Act Transaction Dec. 2017

Sales

Description	Date	Amount of Transaction
iShares Tr MSCI EAFE Index Fd MFC	12/28/17	$1,001-15,000
iShares Tr MSCI Emerg Mkt MFC	12/28/17	$1,001-15,000
iShares Tr Rssll 2000 Indx MFC	12/28/17	$1,001-15,000
Powershs Db Multi Sect Comm Tr Db Agricult Fd	12/21/17	$1,001-15,000
SPDR S & P Midcap 400 ETF Tr UTSER1	12/28/17	$1,001-15,000

Purchases

Description	Date	Amount of Transaction
First Tr Exchange Traded Fd IV No Amer Energy	12/21/17 12/28/17	$1,001-15,000 $1,001-15,000
Goldman Sachs Treas Acct ETF	12/28/17	$50,001-$100.000
iShares Barclays Agency Bond	12/28/17	$1,001-15,000
iShares Barclays Mbs Bond Fd	12/28/17	$1,001-15,000
iShares Barclays Tips ETF	12/28/17	$1,001-15,000
iShares Cmbs Bond Fund	12/28/17	$1,001-15,000
iShares Tr Gs Corp Bd Fd	12/28/17	$1,001-15,000
iShares Tr Index Barclays 1-3 Yr	12/28/17	$1,001-15,000
iShared Tr Index Barclys 7-10 Yr	12/28/17	$1,001-15,000
Vanguard Index Fds REIT ETF	12/21/17 12/28/17	$1,001-15,000 $1,001-15,000
Vanguard Scottsdale Fds Sht Trm Corp	12/28/17	$1,001-15,000

Reporting Individual's Name | ☐ Amendment | 0000000001477

PART VII. LIABILITIES

Report liabilities over $10,000 owed by you, your spouse, or dependent child (*see* page 23, Financial Disclosure Instructions for CY 2017), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period.

Exclude: (1) mortgages on your personal residences unless rented (**except for Senators**); (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in the instructions. For more information on reporting revolving charge accounts, *see* Financial Disclosure Instructions for CY 2017.

	Name of Creditor	Address	Type of Liability	Date Incurred	Interest Rate	Discount Points Paid for Mortgage (*Senators Only*)	Term if Applicable	Category of Amount: $10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example: S, DC, or J	*First District Bank*	*Wash., DC*	*Mortgage on undeveloped land*	*2002*	*13%*	*1 pt*	*25 yrs*			X		E	X	A	M	P	L	E
	(J) John Jones	*Wash., DC*	*Promissory Note*	*2010*	*10%*	*n/a*	*On dmd*				X	E	X	A	M	P	L	E
1	J Suntrust Bank	Atlanta, GA	mortgage	2016	3.37	0	30 yrs					X						
2	J Arvest Bank	Rogers, AR	mortgage on undeveloped land	2017	Floating 3.75	0	1 yr				X							
3																		
4																		
5																		
6																		
7																		
8																		
9																		
10																		
11																		
12																		

EXEMPTION TEST (*see* Financial Disclosure Instructions for CY 2017): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate. ☐

Reporting Individual's Name | ☐ Amendment | 0000000000147

PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Report any positions held by you during the applicable reporting period, whether compensated or not (*see* page 25, Financial Disclosure Instructions for CY 2017). Positions include, but are not limited to, those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month **must** be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

	Name of Organization	Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	*National Assn. of Rock Collectors*	*NY,NY* **EXAMPLE**	*Non-profit education*	*President*	*6 / 01*	*Present*
	Jones & Smith	*Hometown, USA* **EXAMPLE**	*Law Firm*	*Partner*	*7 / 06*	*11 / 1X*
1	Cotswalds Partners	Rogers, AR	Real Estate Development	Partner	3/08	Present
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						

Note: Compensation totaling $200 or more from any position **must** be reported on Part II.