SECRETARY OF THE SENATE
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# Senator Dianne Feinstein
Washington, D. C.

May 11, 2017

Secretary of the Senate
Office of Public Records
Room 232
Hart Senate Building
U. S. Senate
Washington, DC 20510

Dear Secretary of the Senate:

Enclosed is the United States Senate Financial Disclosure Report for Senator Dianne Feinstein of California.

**Period Covered**

The report has been prepared based on assets and liabilities as of December 31, 2016, and includes income for the period January 1, 2016 through December 31, 2016. Valuations of assets are presented as of December 31, 2016.

**Items Covered**

The report covers assets and related income owned by the Senator and her spouse either directly or through a living trust.

**Ownership Designation**

Senator Feinstein's assets, which are held directly or through the Bertram Feinstein Trust as separate property, consist of her interest in Carlton Hotel Properties, LP, a pension from the City of San Francisco, deposit accounts at Bank of America and Vanguard Prime Money Market Fund. In addition, Senator Feinstein has a Qualified Blind Trust which was established in 1991 and qualified in 1993, and she is a beneficiary of the Richard C. Blum Marital Trusts of 1994 and 1996. (For details of Senator Feinstein's income and assets, see pages 1, 3, 4, 14 and 15 in the report.)

Senator Feinstein and her spouse jointly own a condominium in Princeville, Kauai, Hawaii and have deposit and checking accounts at Bank of America. (For details of the Senator's and Mr. Blum's jointly held assets, see page 16.)

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NOT PRINTED AT GOVERNMENT EXPENSE

The remaining assets listed herein are the separate property of Senator Feinstein's spouse, Richard C. Blum.
Assets and liabilities as listed in Sections III A, III B, and VII have been identified as follows:

| | |
|---|---|
| "M" | owned directly or indirectly by the Senator, Dianne Feinstein |
| "S" | owned directly or indirectly by the Senator's spouse, Richard C. Blum |
| "J" | owned jointly by the Senator and her spouse (directly or indirectly) |

The "J" (jointly owned) designation is used for all assets owned by both the Senator and her spouse. These include assets held in formal tenancy in common or joint tenancy.

**Investment Partnerships Managed By Blum Capital Partners, L.P. (Separate Property Of Richard C. Blum)**

The spouse of the Senator, Richard C. Blum, is the Chairman of an investment management firm, Blum Capital Partners, L.P (BCP L.P.). The general partner of BCP L.P is Richard C. Blum & Associates, Inc. (RCBA Inc.). Richard C. Blum's ownership interest in BCP L.P is held through RCBA Inc. BCP L.P is the general partner of several investment partnerships.
Mr. Blum has an ownership interest in RCBA Inc. and in several of the investment partnerships. Additionally, the investment management firm has ownership interests in the investment partnerships and some of the partnerships have investments in other partnerships. This results in a tiered ownership structure.

During 2001, 2005, and 2007, Blum Strategic Partners II, L.P., Blum Strategic Partners III, L.P and Blum Strategic Partners IV, L.P were formed to invest in public and private companies. Blum Strategic GP II, L.L.C. manages and is the general partner of Blum Strategic Partners II, L.P., Blum Strategic GP III, L.P manages and is the general partner of Blum Strategic Partners III, L.P., and Blum Strategic GP IV, L.P manages and is the general partner of Blum Strategic Partners IV, L.P Richard C. Blum is the managing member of Blum Strategic GP II, L.L.C., Blum Strategic GP III, L.L.C. and Blum Strategic GP IV, L.L.C. He is also a member of Blum Strategic Equity II, L.L.C., Blum Strategic Equity III, L.L.C., and Blum Strategic Equity IV, L.L.C. which are limited partners of Blum Strategic Partners II, L.P Blum Strategic Partners III, L.P., and Blum Strategic Partners IV, L.P., respectively.

During 2002, Blum Strategic Partners II GmbH & Co. KG was formed as a parallel vehicle to Blum Strategic Partners II, L.P Blum Strategic Partners Verwaltungs GmbH is the General Partner and Blum Strategic GP II, L.L.C. is the Managing Limited Partner.

In addition, during 2005 and 2007, Blum Strategic GP III, L.P and Blum Strategic GP IV, L.P., respectively were formed as the General Partner of Blum Strategic Partners III, L.P and Blum Strategic Partners IV, L.P Blum Strategic GP III, L.L.C and Blum Strategic GP IV, L.L.C. are the General Partners of Blum Strategic GP III, L.P and Blum Strategic GP IV, L.P., respectively.

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During 2015, Blum Strategic Partners III, L.P and Blum Strategic Partners IV, L.P were restructured whereby Blum Strategic Partners V, LP was formed to invest in Blum Strategic Partners III, L.P and Blum Strategic Partners IV, L.P through a secondary transaction. Blum Strategic GP V, L.P is the general partner of Blum Strategic Partners V, L.P

The Blum Strategic funds described above hold public as well as private investments. The private investments are reported under Part III B. Blum Strategic Partners GP II is the general partner of Blum Strategic Partners II, L.P 's only investment is an escrow in Current Media, L.L.C. through BCP AIV, L.P as a result of the sale of the investment in 2013. Current Media is located in San Francisco, CA and provides media content through the internet and cable television. (See pages 25 and 26.)

Blum Strategic Partners III, L.P holds only one public security as of December 31, 2016. (See pages 5 and 6.)

Blum Strategic Partners IV, L.P private investments include X Group Holdings Limited (formerly known as Xtralis), a Bahamian Company that sells fire and security prevention equipment. Collective Brands, Inc., a publicly traded corporation was acquired in a leverage buyout in 2012. Payless Holdings, LLC, is the surviving entity is was reported as a non-publicly traded asset. Payless Holdings, LLC was removed due to a recent bankruptcy filing. (See pages 28 and 29.)

Blum Strategic Partners V, L.P., holds investment interest in Blum Strategic Partners III, L.P and Blum Strategic IV Partners, L.P (See pages 9 and 30.)

Blum GA III Partners, L.L.C. and Blum GA III Equity Partners, L.L.C. invest in Newbridge Asia Advisors III, Inc. and Newbridge Asia Equity III, L.P., respectively. (See pages 31-32.)

A separate page of Part III A & III B information has been included for RCBA Inc., BCP L.P and for each investment partnership managed by BCP L.P The estimated valuation and income for BCP L.P have been reported separately in Section III B starting on page 17 at Mr. Blum's ownership percentage, which has been indicated. The value and income of Mr. Blum's direct and indirect holdings in the investment partnerships are reported based on his direct and indirect ownership percentages. These percentages may change from year to year depending on the activities (contributions by new or existing partners and distributions to withdrawing partners) of the partners. A separate reporting of value and income of BCP L.P 's interest in the investment partnerships has not been reported, as this would cause Mr. Blum's interests to be double reported. Where applicable, BCP L.P 's holdings of the investment partnerships have been referenced to the applicable schedules in Sections III A and III B where the investment partnerships are reported. BCP L.P and the investment partnerships it manages incidental to their operations also hold cash and cash equivalents, accounts receivable, pre-paids and other assets as well as accounts payable, margin borrowings and other liabilities that have not been listed. A pro rata share of the value of these assets and liabilities has been incorporated in the determination of value reported for Mr. Blum's direct holdings in RCBA Inc. and in his direct

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and indirect (through RCBA Inc. and BCP L.P.) ownership of the investment partnerships managed by BCP L.P

In the normal course of business, amounts due to or from Mr. Blum arise at RCBA Inc. and BCP L.P., e.g., reimbursable business expenses. The valuation of his investment in RCBA Inc. is stated net of these amounts.

**Investment Partnerships not Managed by Richard C. Blum, RCBA Inc., or BCP L.P.**

Mr. Blum, RCBA Inc., and Blum Capital Partners T, L.P an affiliate of his investment management firm, BCP L.P own investments in a number of investment partnerships that are not managed by Mr. Blum, RCBA Inc. or BCP, L.P They are comprised of the following:

**TPG Associates III, L.P. (Page 20)**

Address: 301 Commerce Street, Suite 3300
Fort Worth, TX 76102

Business Description: The partnership was formed to invest in various business ventures which may provide significant opportunities for capital appreciation. The partnership investments include, Quintiles, Transactional Holdings, Inc., Iasis, Healthcare Corp, SunGard Capital Corp. and LifeSync Corporation.

**TPG Equity IV-A, L.P. (Page 21)**

Address: 301 Commerce Street, Suite 3300
Fort Worth, TX 76102

Business Description: The partnership was formed to invest in various business ventures which may provide significant opportunities for capital appreciation. The partnership investments include several private and public investments including Univision (formerly Broadcast Media Partners, Inc.), Sabre Holdings., Iasis Healthcare, LLC, Isola AG, Vita Grormerly British Vita, LPL Financial Holding, Inc., SunGard Capital Corp., Energy Future Holdings.

Freescale Semiconductor, Inc., Biomet, Inc., Petco Holdings, Inc., Mey Icki and Media Broadcast (formerly TDF), were sold in 2016.

**TPG LAF Equity Partners, L.P. (Page 20)**

Address: 301 Commerce Street, Suite 3300
Fort Worth, TX 76102

Business Description: TPG LAF Equity, L.P is a limited partner of LatAm G.P., L.P

**LatAm G.P., L.P. (Page 20)**

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Address: 301 Commerce Street, Suite 3300
Fort Worth, TX 76102

Business Description: LatAm G.P., L.P is the general partner of Newbridge Latin America, L.P (NLA). NLA was formed to invest in business ventures throughout Latin America, which may provide significant opportunities for capital appreciation.

**Tarrant Venture Partners, L.P. (Page 20)**

Address: 301 Commerce Street, Suite 3300
Fort Worth, TX 76102

Business Description: This partnership was formed to invest in various public and private debt or equity securities, and venture investments.

**TPG Equity V-A, L.P. (Page 19)**

Address: 301 Commerce Street, Suite 3300
Fort Worth, TX 76102

Business Description: The partnership was formed to invest in various business ventures which may provide significant opportunities for capital appreciation. The partnership has several private investments including Sabre, Univision, Energy Future Holdings, Avaya, Norwegian Cruise Line, Quintiles Transnational Corporation, Lenta, ST Residential, Aptina Imaging, TPG CW, LP, American Tire Distributors Holdings, Inc., Ceasars, Media Broadcast (formerly TDF), Axip Energy Services (formerly Valerus Compression Services), and Strauss Coffee BV

Freescale Semiconductor, Petco Animal Supplies, Inc., Direct General, Surgical Care Affiliates, Biomet, IMS Health, Vertafore Holding Corp. VTB, were sold during 2016.

**TPG Asia Equity V-A, L.P. (Page 19)**

Address: 301 Commerce Street, Suite 3300
Fort Worth, TX 76102

Business Description: The partnership was formed to invest in various business ventures which may provide significant opportunities for capital appreciation. The partnership invests in several private investments including Global AT&T Holdings, Ltd. (formerly United Test and Assembly Center), NorthStar Equity Partners II Limited, Shriram City, Daphne International Holdings Limited, China International Capital Corporation Limited, Vishal Megamart, Shiram Capital Ltd., Shiram Properties Ltd, PT BFI Finance, Li Ning Company Limited, Alinta Energy, and Ingham Enterprises.

Avon Japan, Delta Dunia, Comtec Solar Systems, HCP Global Limited, Ingham Group, Ltd., Wumart Stores, Inc., were sold during 2016.

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Investment in RCBA Inc. (Pages 13, 23-24)

Mr. Blum's investment in RCBA Inc. is valued at his pro rata share of the business' equity as of December 31, 2016.

BCP L.P is in some cases, entitled to receive performance fees based on income including realized and unrealized capital gains on investments under its management. These fees are generally recorded as of the date the firm is entitled to bill its clients. The firm is also entitled to a disproportionate allocation of income and gain in the partnerships that it manages. As a limited partner of BCP L.P., Mr. Blum may be allocated a portion of such amounts, as reflected on Part III B.

Investment income earned by Mr. Blum on his investment in RCBA Inc. has been reported based on dividends received.

Richard C. Blum Marital Trust of 1994 (Page 15)

Dianne Feinstein is a beneficiary of The Richard C. Blum Marital Trust of 1994. The Trust holds a life insurance policy on the life of Richard C. Blum. The insurance policy was restructured in 2012 to a universal life policy. The value of the trust is stated at the cash surrender value of the life insurance policy. No distributions have been made from the Trust.

Richard C. Blum Marital Trust of 1996 (Page 15)

Dianne Feinstein is a beneficiary of The Richard C. Blum Marital Trust of 1996. The Trust holds a life insurance policy on the life of Richard C. Blum. The insurance policy was restructured in 2012 to a universal life policy. The value of the trust is stated at the cash surrender value of the life insurance policy. No distributions have been made from the Trust.

Investment in The Carlton Hotel (Pages 16, 35, and 44)

The Senator and her spouse own interests in a partnership, Carlton Hotel Properties, LP which owns a hotel in San Francisco. The ownership of the partnership is as follows:

| | |
|---|---|
| Bertram Feinstein Trust<br>(Dianne Feinstein beneficiary) | 50.05% |
| Richard C. Blum through Blum Family Partners, LP (spouse) | 47.95% |
| Richard C. Blum through Blum Investment Partners, Inc. (spouse) | 1.00% |
| Dianne Feinstein through DF Investment, LLC | 1.00% |

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The value of each of (1) the combined interest of Dianne Feinstein and her spouse, and (2) Dianne Feinstein's interest through the Bertram Feinstein Trust in the Carlton Hotel exceeds $1,000,000. Income from the investment has been reported based on partnership distributions received.

Dianne Feinstein 1991 Trust (Page 4)

In September 1991, the Senator transferred her securities to a blind trust. The trust became qualified by the Senate Ethics Committee on December 29, 1993. The Trust's value as of December 31, 2016, is reported on a separate schedule in Part IIIA.

Investment in Blum Family Partners, L.P. (BFP) (Pages 36 through 45)

BFP was formed to invest in various business ventures which provide opportunities for capital appreciation.

## Information on Non-Publicly Traded Assets

Several of the investments listed in Part III are not publicly traded and which are not managed or controlled by Richard C. Blum, RCBA, Inc. or BCP, L.P The following provides additional information regarding these investments:

**New Astar Holdings, LLC (formerly Astar USA, L.L.C.) (Page 48)**

Address: Two South Biscayne Blvd. Suite 3663
Miami, FL 33131

Business Description: Airline cargo service.

**Francisco Partners, L.P. (Page 38)**

Address: 2882 Sand Hill Road, Suite 280
Menlo Park, CA 94025

Business Description: A limited partnership formed to invest in technology companies, both domestically and internationally for long-term capital appreciation using a wide range of transaction structures. The partnership has over 400 limited partners and holds private and public investments. The investments include Barracuda, FrontRange Solutions, GXS, Attachmate and WebTrends.

During 2016, 817 shares of Barracuda were sold.

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**Central Station Land, L.L.C.** **(Page 37)**

Address: 1500 Park Avenue # 200
Emeryville, CA 94608

Business Description: Real estate development in Oakland, California.

**Coral Growth Investments Ltd.** **(Page 38)**

Address: St. Peter Port, Guernsey
Postal code: GY1-GY9

Business Description: A limited partnership that invests and trades in securities in business ventures which may provide opportunities for capital appreciation. The partnership has invested in Egyptian Treasury Notes, Arab Republic of Egypt Bond, and Lecico Egypt SAE.

## Information on Non-Publicly Traded Assets

Several of the investments listed in Part III which were made or are owned by Richard C. Blum, Blum Family Partners, L.P., RCBA, Inc. or BCP, L.P are not publicly traded. The following provides additional information about these investments:

**Blum Investment Partners, Inc.** **(Page 33 to 35)**

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: Blum Investment Partners, Inc. is a corporation whose business is to invest in investment partnerships which invest funds in various countries. The entity owns a 1% or less interest in., Blum GA Equity III Partners, L.L.C., Blum GA III, L.L.C., Blum GA IV, LP, Richard C. Blum Family Partnership, L.P., Carlton Hotel Properties, LP and BCP Investment, L.P Richard C. Blum does not hold a capital investment interest in any investment made in the People's Republic of China by this entity.

**Blum Investment Partners II, Inc.** **(Page 27)**

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: Blum Investment Partners II, Inc. is a corporation whose business is to act as a management entity on behalf of Richard C. Blum. The entity owns a 1% or less interest in Blum Family Partners, LP

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**Greycroft Partners, L.P. (Page 38)**

Address: 292 Madison Avenue
New York, NY 10017

Business Description: A limited partnership that invests and trades in securities in business ventures which may provide opportunities for capital appreciation. The partnership has invested in 33 Across, Inc., Collective Media, Inc., Ceros Inc., Extreme Reach, Inc., Instant.ly Inc., Joynet, Inc, ShoreTell, MDX Medical, Inc., Mode Media Corporation (formerly Glam Media), QBC Holdings, Inc. (formerly OnePIN, Inc.), and WideOrbit, Inc.

**Greycroft Partners Annex Fund, L.P. (Page 40)**

Address: 292 Madison Avenue
New York, NY 10017

Business Description: The Partnership's principal business activity is to invest, during particular situations, in Portfolio Companies of Greycroft Partners, L.P The partnership has invested in 33 Across, Inc., Collective Media, Inc., Ceros Inc., Extreme Reach, Inc., Instant.ly Inc. (fka uSamp) and MDX medical, Inc. (dbaVitals).

**Montgomery Street Housing I, L.P. (Page 41)**

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: A limited partnership formed in 2014 to invest in two student housing communities at East Carolina University (Greenville, NC) and Sam Houston State Univerity (Huntsville, TX). The Partnership sold both assets in February 2016.

**TPG Chinos Co-Invest, L.P. (Page 44)**

Address: 301 Commerce Street, Suite 3300
Fort Worth, TX 76102

Business Description: A limited partnership formed to invest in, hold and dispose of the securities of Chinos Holdings, Intermediate, Intermediate Holdings, J. Crew and their respective subsidiaries.

**Blum GA III, L.L.C. (Page 31)**

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

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Business Description: Blum GA III, L.L.C. is a limited liability company whose business is to invest in investment partnerships through Newbridge Asia Advisors III, Inc. and Newbridge Asia Genpar AIV III, L.P., which were formed to manage the investment in Newbridge Asia III, L.P (NBA III). NBA III was formed to invest in securities or entities conducting business in the countries and territories of Asia. Richard C Blum does not hold a capital investment interest in any investment made in the People's Republic of China by this entity.

**Blum GA III Equity Partners, L.L.C. (Page 21, 32)**

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: Blum GA III Equity Partners, LLC is a limited liability company whose business is to invest in investment partnerships through Newbridge Asia Equity III, L.P (NBAE III LP). NBAE III LP was formed to invest in Newbridge Asia III, L.P (NBA III). NBA III was formed to invest in securities or entities conducting business in the countries and territories of Asia. Richard C. Blum does not hold a capital investment interest in any investment made in the People's Republic of China by this entity.

**Blum GA IV, L.P. (Page 23, 34, 38)**

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: Blum GA IV, LP is a limited partnership whose business is to invest in investment partnerships through Newbridge Asia Equity IV, L.P (NBAE IV LP), TPG/NB Associates, LLC, TPG 2006 VSP, LP, TPG 2007 VSP, LP, TPG 2008 VSP, LP, TPG 2009 VSP, LP and TPG Holdings, LP NBAE IV LP was formed to invest in Newbridge Asia IV, L.P (NBA IV). NBA IV and TPG Asia V, LP were formed to invest in securities or entities conducting business in the countries and territories of Asia. Richard C. Blum does not hold a capital investment interest in any investment made in the People's Republic of China by this entity.

**RCBA Newbridge LAF, L.L.C. (Page 22)**

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: RCBA Newbridge LAF, L.L.C. is a limited liability company whose business is to invest in entities which invest funds in various Latin American countries. RCBA Newbridge LAF, LLC holds interests directly or indirectly in LATAM GP, L.P., Newbridge Latin America, L.P and Newbridge Andean Partners, L.P

**RCBA LAF Equity Partners L.L.C. (Page 21)**

Address: 909 Montgomery Street, Suite 400

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San Francisco, CA 94133

Business Description: RCBA LAF Equity Partners L.L.C. is a limited liability company whose business is to invest in investment partnerships which invest funds in various countries. It holds an interest directly in Newbridge Latin American L.P (NLA) and indirectly in Newbridge Andean Partners, L.P (NAP). NLA and NAP were formed to invest in various business ventures in Latin American countries which provide opportunities for capital appreciation.

**BBA Foresight, L.L.C. (Page 36)**

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: Real estate investment in undeveloped land in Arizona.

**BBA Foresight II, L.L.C. (Page 36)**

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: Real estate investment in undeveloped land in New Mexico.

**Bill Press Partners, L.L.C. (Page 37)**

Address: 217 8th Street SE
Washington, D.C. 2003

Business Description: Investment in Bill Press' syndicated radio program.

**CB Richard Ellis Partners U.S. IV, L.L.C. (Page 37)**

Address: 865 South Figueroa Street, Suite 3500
Los Angeles, CA 90017

Business Description: Investment in real estate, loans and other securities.

**Lucky Bluff, L.L.C. (Page 40)**

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: Raw land in Coachella Valley and a single family residence in Lake Tahoe, CA.

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**Elevance Renewable Sciences, Inc.**

Address: 175 E Crossroads Parkway, Suite F
Bolingbrook, IL 60440

Business Description: Creates a range of valued specialty chemicals from natural oils using technology called olefin metathesis. This investment was held by BCP Investments, LP through Blum Family Partners, LP It was sold in December 2016.

**Filmyard Holdings, L.L.C. (Page 41)**

Address: 2450 Broadway, 6th Floor
Santa Monica, CA 90404

Business Description: Motion picture film and film rights library. Sold in 2016.

**RST, Inc. (Page 41)**

Address: 3791 Rocky Knoll Way
Santa Rosa, CA 95404

Business Description: Premier internet source of fine wine and related services.

**BDK Holdings, L.L.C. (Page 43)**

Address: Two South Biscayne Blvd. Suite 3663
Miami, FL 33131

Business Description: To explore investments in airline cargo services. Entity dissolved in 2016.

**Tensile Capital Management (Page 41)**

Address: Two Embarcadero Center
San Francisco, CA 94111

Business Description: Value oriented investment hedge fund.

**Colony American Homes Holdings I, L.P. (Page 39)**

Address: 100 Pine Street
San Francisco, CA 94111

Business Description: Leading owner and provider of high quality single family residences for rental across the United States.

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**Walker Street Brooklyn SP I, L.L.C.** **(Page 39)**

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Storage facility located in Brooklyn, NY

**Colony American Homes War I, L.L.C.** **(Page 43 )**

Address: 100 Pine Street
San Francisco, CA 94111

Business Description: Leading owner and provider of high quality single family residences for rental across the United States.

**Bell Mountain Capital Partners, L.L.C.** **(Page 42)**

Address: 2638 Watcher Way
Edmonton, AB T6W 0P1, Canada

Business Description: Value oriented investment hedge fund.

**Gobi Investment Partners, L.P.** **(Page 42)**

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Value oriented investment hedge fund.

**Petits Pains and Co, L.P.** **(Page 42)**

Address: 1730 Gilbreth Road
Burlingame, CA 94010

Business Description: The production and distribution of artisan breads and baked goods.

**BFP Tideline, L.L.C.** **(Page 45)**

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: On demand water taxi and commuter shuttle service in the San Francisco Bay Area.

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**Blum Family Partners GDM, L.L.C. (Page 45)**

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Investment in the Grand Del Mar, a luxury hotel located in San Diego, CA.

**Campanile Impact Fund, L.P. (Page 45)**

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Investment fund formed to invest in socially and environmentally friendly companies. The fund currently holds investments in Gram Power and Tarana Wireless.

**Latitude Capital Management (Page 45)**

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Investment fund to invest in expanding electricity in developing markets including Africa and India.

**Montgomery Street Partners II, L.P. (Page 39)**

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Was formed to invest in various real estate investments including Walker Street Investment Partners, LLC.

**BMGH, L.L.C. (Page 40)**

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: The limited liability company holds Green Hill Estates (13) lots, 65 Acres, in Placer County

**Montgomery Street Partners GP Acquisition Fund, L.P. (Page 40)**

Address: 909 Montgomery Street
San Francisco, CA 94133

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Business Description: Was formed to make co-investments in the general partner entity in real estate acquisition and development joint ventures in partnership with an established real estate owner or operator.

**Montgomery Street Partners GP Acquisition Fund GP, L.P. (Page 44)**

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Was formed to make co-investments in the general partner entity in real estate acquisition and development joint ventures in partnership with an established real estate owner or operator.

**Pension**

The Senator receives a pension from the City of San Francisco. The pension has been valued in the category of $500,000 to $1,000,000 based on a valuation provided by the Retirement Division of the City of San Francisco.

**Reporting Convention for Compensation Income from Investments**

Mr. Blum receives a salary from BCP L.P He also receives dividend income from RCBA Inc. The category indicated under "Amount of Income" is based on dividends received. The column 'Actual Amount Only if "Other" Specified' indicates salary compensation.

As reported in Part II, Mr. Blum received fees from Coral Growth Investments Limited and has investment in this corporation as well. In addition, Mr. Blum receives fees from CDP– Concord Egyptian Fund, but does not have an investment in this Fund.

**Valuation Methods**

Publicly traded securities are valued at quoted market value or at fair market value.

Non-publicly traded securities are valued at fair market value based on factors such as cost, projected profits, projected cash flows and comparisons with similar businesses.

Real estate investments are valued at cost or at estimated fair market value.

Partnership interests are valued based on a computation of pro rata share of the estimated net fair market value of the partnership as recorded for financial statement reporting purposes.

Assets held as nominee for others are excluded from the report.

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Secretary of the Senate
May 11, 2017

**Income Determination Methods**

Dividends, interest and salary are reported in the period received. In the case of interest earned on notes receivable, interest is reported as the amount is accrued.

Capital gains are reported in the period recognized. For individual securities, capital gains are reported net of offsetting losses realized during the reporting period. Capital losses are not otherwise offset against other sources of income. For instance, income related to an investment that produced dividend income and capital losses during the period is reported at the amount of the dividend income only. Changes in unrealized capital gains and losses are not included.

Income from S-Corporations is reported as the amount of dividends received, which differs from taxable income reportable on the Internal Revenue Service Schedule K-1 Income from partnerships is reported as the amount of distributions received from the partnership, which differs from taxable income reportable on Internal Revenue Service Schedule K-l.

Sincerely,

Dianne Feinstein

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# UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

☐ Amendment

| Last Name | First Name and Middle Initial | Annual Report | |
|---|---|---|---|
| | | Calendar Year Covered by Report | Senate Office / Agency in Which Employed |
| FEINSTEIN | DIANNE | 2016 | UNITED STATES SENATE |
| Senate Office Address (Number, Street, City, State, and ZIP Code) | Senate Office Telephone Number *(Include Area Code)* | Termination Report | |
| | | Termination Date (mm/dd/yy) | Prior Office / Agency in Which Employed |
| 331 Hart, Senate Bldg. Washington DC 20519 | (202) 224-3841 | | |

## AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

| | YES | NO | | YES | NO |
|---|---|---|---|---|---|
| Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I. | | X | Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than $350 from one source)? If Yes, complete and attach PART VI. | | X |
| Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than $200 from any reportable source in the reporting period? If Yes, complete and attach PART II. | X | | Did you, your spouse, or dependent child have any reportable liability (more than $10,000) during the reporting period? If Yes, complete and attach PART VII. | X | |
| Did you, your spouse, or dependent child hold any reportable asset worth more than $1,000 at the end of the period, or receive unearned or investment income of more than $200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB. | X | | Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII. | | X |
| Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? If Yes, complete and attach PART IV. | | X | Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX. | | X |
| Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? If Yes, complete and attach PART V. | | X | If this is your FIRST Report: Did you receive compensation of more than $5,000 from a single source in the two prior years? If Yes, complete and attach PART X. | | X |

**Each question must be answered and the appropriate PART attached for each "YES" response.**

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| Reporting Individual's Name | PART II. EARNED AND NON-INVESTMENT INCOME | Page Number |
|---|---|---|
| DIANNE FEINSTEIN | (OF DIANNE FEINSTEIN) | 1 |

Report the source (name and address), type, and amount of earned income to you from any source aggregating $200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate $1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

**Individuals not covered by the Honoraria Ban**:
For you and /or your spouse, report honoraria income received which aggregates $200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

| | Name of Income Source | Address (City, State) | Type of Income | Amount |
|---|---|---|---|---|
| Example | *JP Computers* | *Wash., DC* *Example* | *Salary* *Example* | *$15,000* |
| | *MCI (Spouse)* | *Arlington, VA* *Example* | *Salary* *Example* | *Over $1,000* |
| 1 | City & County of San Francisco | San Francisco, CA | Pension | $59,099 |
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Reporting Individual's Name
DIANNE FEINSTEIN

# PART II. EARNED AND NON-INVESTMENT INCOME
(OF RICHARD C. BLUM)



Report the source (name and address), type, and amount of earned income to you from any source aggregating $200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate $1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

**Individuals not covered by the Honoraria Ban:**
For you and /or your spouse, report honoraria income received which aggregates $200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

| | Name of Income Source | Address (City, State) | Type of Income | Amount |
|---|---|---|---|---|
| Example: | *JP Computers* | *Wash., DC* *Example* | *Salary* *Example* | *$15,000* |
| | *MCI (Spouse)* | *Arlington, VA* *Example* | *Salary* *Example* | *Over $1,000* |
| 1 | Blum Capital Partners, L.P. (Spouse) | San Francisco, CA | Salary | Over $1,000 |
| 2 | Pacific Life Insurance Company (Spouse) | Newport Beach, CA | Retirement Plan | Over $1,000 |
| 3 | CDP – Concord Egyptian Fund (Spouse) | St. Peter Port, Guernsey | Director's Fees | Over $1,000 |
| 4 | Coral Growth Investments Limited (Spouse) | St. Peter Port, Guernsey | Director's Fees | Over $1,000 |
| 5 | Fidelity Investments (Spouse) | San Francisco, CA | Retirement Plan | Over $1,000 |
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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (of Dianne Feinstein)



**BLOCK A**
**Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, Example: DC, or J | *IBM Corp. (stock)* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *(S) Keystone Fund* | | | | | X | | | | | | | | | | X | | X | | | *Example* | X | | | | | | | | | | | *Example* |
| 1 | City & County of San Francisco | | | | | | | X | | | | | | | | | | X | | | | | | | | | | X | | | | | |
| | Defined Benefit Pension Plan | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by Dianne Feinstein)



**BLOCK A**
**Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, Example: DC, or J | *IBM Corp. (stock)* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *(S) Keystone Fund* | | | | | X | | | | | | | | | | X | | X | | | *Example* | X | | | | | | | | | | | *Example* |
| M | The Dianne Feinstein 1991 Trust | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Dated 09/23/1991 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Qualified Blind Trust | | | | | | | | | | X | | | X | | X | X | | | X | | | | | | | | | X | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| M | Bertram Feinstein Trust: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (Dianne Feinstein Beneficiary) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| M | Vanguard Prime Money Market Fund | | | | | | | | | X | | | | | | | | X | | | | X | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by spouse through Blum Strategic GP III, L.L.C.)



**BLOCK A**
**Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, Example: DC, or J | *IBM Corp. (stock)* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *(S) Keystone Fund* | | | | | X | | | | | | | | | | X | | X | | | *Example* | X | | | | | | | | | | | *Example* |
| S | **Blum Strategic GP III, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (11.29% owned by Richard C. Blum) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | **Blum Strategic Partners III, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (.34% owned by Blum Strategic GP III, L.L.C.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| S | **Avid Technology, Inc.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Stock | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by spouse through Blum Strategic Equity III, L.L.C.)



**BLOCK A**
**Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, Example: DC, or J | *IBM Corp. (stock)* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *(S) Keystone Fund* | | | | | X | | | | | | | | | | X | | X | | | *Example* | X | | | | | | | | | | | *Example* |
| S | **Blum Strategic Equity III, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (9.69% owned by Richard C. Blum) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | **Blum Strategic Partners III, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (1.29% owned by Blum Strategic Equity III, L.L.C.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| S | **Avid Technology, Inc.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Stock | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by spouse through Blum Strategic GP IV, L.L.C.)



**BLOCK A**
**Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, Example: DC, or J | *IBM Corp. (stock)* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *(S) Keystone Fund* | | | | | X | | | | | | | | | | X | | X | | | *Example* | X | | | | | | | | | | | *Example* |
| S | **Blum Strategic GP IV, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (22.38% owned by Richard C. Blum) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | **Blum Strategic Partners IV, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (.32% owned by Blum Strategic GP IV, L.L.C.)<br>(0.0003% owned by Blum Capital Partners, L.P.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| S | **Avid Technology, Inc.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Stock | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |

Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by spouse through Blum Strategic Equity IV, L.L.C.)



**BLOCK A**
**Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, Example: DC, or J | *IBM Corp. (stock)* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *(S) Keystone Fund* | | | | | X | | | | | | | | | | X | | X | | | *Example* | X | | | | | | | | | | | *Example* |
| S | **Blum Strategic Equity IV, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (5.22% owned by Richard C. Blum) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | **Blum Strategic Partners IV, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (.14% owned by Blum Strategic Equity IV, L.L.C.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| S | **Avid Technology, Inc.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Stock | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by spouse through Blum Strategic GP V, L.L.C.)



**BLOCK A**
**Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, Example: DC, or J | *IBM Corp. (stock)* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *(S) Keystone Fund* | | | | | X | | | | | | | | | | X | | X | | | *Example* | X | | | | | | | | | | | *Example* |
| S | **Blum Strategic GP V, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (89.39% owned by Richard C. Blum) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | **Blum Strategic Partners V, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (2.0% owned by Blum Strategic GP V, L.L.C.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Avid Technology, Inc.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Stock | | | | | | X | | | | | | | | | | | | | | | X | | | | | | | | | | | |

Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by spouse through Blum Family Partners, L.P.)



**BLOCK A**
**Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, Example: DC, or J | *IBM Corp. (stock)* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *(S) Keystone Fund* | | | | | X | | | | | | | | | | X | | X | | | *Example* | X | | | | | | | | | | | *Example* |
| S | **Blum Family Partners, L.P.:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (84.88% owned by Richard C. Blum) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (1% owned by Blum Investment Partners II, Inc,) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Avid Technology, Inc.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Stock | | | | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **Barracuda Networks** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Stock | X | | | | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | |

Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by spouse through Blum Family Partners, L.P.)



**BLOCK A — Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**BLOCK B — Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, Check the first column.

**BLOCK C — Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, Example: DC, or J | *IBM Corp. (stock)* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *(S) Keystone Fund* | | | | | X | | | | | | | | | | X | | X | | | *Example* | X | | | | | | | | | | | *Example* |
| S | **BCP Investments, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (97.92% owned by Blum Family Partners, L.P.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Kite Pharma, Inc.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Stock | | | | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by spouse Richard C. Blum)



**BLOCK A**
**Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| S, DC, or J | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, Example: DC, or J | *IBM Corp. (stock)* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *(S) Keystone Fund* | | | | | X | | | | | | | | | | X | | X | | | *Example* | X | | | | | | | | | | | *Example* |
| S | **CBRE Group, Inc.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Stock and Unvested Options | | | | | | | X | | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **Amyris, Inc.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Stock | | | | | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **Avid Technology, Inc.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Stock | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **Kite Pharma, Inc.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Stock | | | | | | | X | | | | | | | | | | | | | | X | | | | | | | | | | | |

Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by spouse through Richard C. Blum & Associates, Inc.)



**BLOCK A**
**Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, Example: DC, or J | *IBM Corp. (stock)* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *(S) Keystone Fund* | | | | | X | | | | | | | | | | X | | X | | | *Example* | X | | | | | | | | | | | *Example* |
| S | **Avid Technology, Inc.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Stock | | | | | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Dianne Feinstein)



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

| S, DC, or J | Asset | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example: | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| M | **Bertram Feinstein Trust:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (Dianne Feinstein is primary beneficiary) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| M | **Vanguard** Deposit Account | | | | | | | | | | X | | | | | X | | | | | | | | | | X | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| M | **Bank of America** Deposit Account | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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Reporting Individual's Name
**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by Dianne Feinstein (continuation))



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, DC, or J (Example) | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| S, DC, or J (Example) | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| M | **Richard C. Blum Marital Trust of 1994** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (Dianne Feinstein is primary beneficiary) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Indexed Universal Life Policy | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | John Hancock – (Cash Value) | | | | | | X | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| M | **Richard C. Blum Marital Trust of 1996** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (Dianne Feinstein is primary beneficiary) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Indexed Universal Life Policy | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | John Hancock – (Cash Value) | | | | | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | |

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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by Dianne Feinstein & Richard Blum)



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example: S, DC, or J | *JP Computer, Wash DC* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | X | | | | | | | | | | | | | | | *Example* | X | | | | | | | | | | | *Example* |
| J | **Carlton Hotel Properties, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | X | | | | | | | | Ordinary Income | | | | | | | | | | | | $2,600,000 |
| J | **Bank of America** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Checking Account - San Francisco, CA | | | | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| J | **Bank of America** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Checking Account - San Francisco, CA | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| J | **Princeville, Kauai – Hawaii** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Condominium | | | | | | | | | X | | | | | X | | | | | | | | | | | | | X | | | | | |

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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by Spouse through Blum Capital Partners, L.P.)



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example: S, DC, or J | *JP Computer, Wash DC* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | X | | | | | | | | | | | | | | | *Example* | X | | | | | | | | | | | *Example* |
| S | **Blum Strategic GP II, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (See page 25 for non-publicly traded assets) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Blum Strategic Equity II, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (See page 26 for non-publicly traded assets) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Capital Partners, L.P. (continuation))



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example: S, DC, or J | *JP Computer, Wash DC* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | X | | | | | | | | | | | | | | | *Example* | X | | | | | | | | | | | *Example* |
| S | **Blum Strategic Partners II, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (See page 25 for non-publicly traded assets) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## Assets owned by Spouse through Blum Capital Partners T, L.P.



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example: S, DC, or J | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **TPG Equity V-A, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Fort Worth, TX | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (Owned through Blum Family Partners, L.P.) (See page 42 for non-publicly traded assets) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **TPG Asia Equity V-A, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Fort Worth, TX | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (Owned through Blum Family Partners, L.P.) (See page 42 for non-publicly traded assets) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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Reporting Individual's Name: DIANNE FEINSTEIN

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Capital Partners T, L.P. (continuation))



**BLOCK A — Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B — Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, Check the first column.

**BLOCK C — Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, DC, or J (Example) | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **TPG Associates III, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Fort Worth, TX (Owned through Richard C. Blum Associates, Inc., see page 24) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **TPG LAF Equity Partners, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Fort Worth, TX | | | | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **LatAm GP, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Fort Worth, TX | | | | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **Tarrant Venture Partners, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Fort Worth, TX (Owned through Richard C. Blum Associates, Inc., see page 24) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Capital Partners T, L.P. (continuation))



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period:
If None, or less than $1,001, Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Block C: Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example: S, DC, or J | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **TPG Equity IV-A, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Fort Worth, TX | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (Owned through Blum Family Partners L.P. page 42) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **RCBA LAF Equity Partners, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| | (Also owned through Richard C. Blum & Associates, Inc. See page 24) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Blum G.A. III Equity Partners, L.L.C.** (San Francisco, CA) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (See page 32 for non-publicly traded assets) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Capital Partners T, L.P. (continuation))



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example: S, DC, or J | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **RCBA Newbridge LAF, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | X | | | | | | | | | | | | | | X | | | | | | | | | | | |
| | (Also owned through Richard C. Blum & Associates, Inc., see page 24) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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Reporting Individual's Name
**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by Spouse through Richard C. Blum & Associates, Inc.)



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Inv estment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, DC, J (Example: or) | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **Richard C. Blum & Associates, Inc.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **FWHY-88 Investors, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Fort Worth, TX | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Blum GA IV, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | X | | | | | | | | | | X | | X | X | | | | | | | X | | | | | | | | | |

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Reporting Individual's Name
**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Richard C. Blum & Associates, Inc. (continuation))



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S. Example: DC, or J | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **Blum Capital Partners, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | |
| | (see pages 17,18 for non-publicly traded assets) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Blum Capital Partners T, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| | (see pages 19-22 for non-publicly traded assets) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by Spouse through Blum Strategic GP II, L.L.C.)



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Block C: Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, DC, J (Example) | *JP Computer, Wash DC* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| or | *Undeveloped land, Dubuque, Iowa* | | | | | X | | | | | | | | | | | | | | | *Example* | X | | | | | | | | | | | *Example* |
| S | **Blum Strategic GP II, L.L.C.:** San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (Richard C. Blum owns 25.56% of Blum Strategic GP II, LLC) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (Blum Strategic GP II, L.L.C. owns 22.35% of Blum Strategic Partners, II, L.P.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (Blum Capital Partners owns .0021% of Blum Strategic Partners II, L.P.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **BCP AIV, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Current Media, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |

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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by Spouse through Blum Strategic Equity II, L.L.C.)



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| Block A | | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example: S, DC, or J | *JP Computer, Wash DC* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | X | | | | | | | | | | | | | | | *Example* | X | | | | | | | | | | | *Example* |
| S | **Blum Strategic Equity II, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (8.67% owned by Richard C. Blum) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (Blum Strategic Equity II, L.L.C. owns 1.72% of Blum Strategic Partners, II, L.P.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Current Media, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |

Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by Spouse through Blum Investment Partners II, Inc.)



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example: S. DC, or J | JP Computer, Wash DC | | | | x | | | | | | | | | x | | | | | | | Example | | x | | | | | | | | | | Example |
| Example: S. DC, or J | Undeveloped land, Dubuque, Iowa | | | | | x | | | | | | | | | | | | | | | Example | x | | | | | | | | | | | Example |
| S | **Blum Family Partners, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (See pages 36-45 for non-publicly traded assets) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Strategic GP IV, L.L.C.)



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | BLOCK A | BLOCK B | | | | | | | | | | | | BLOCK C: Type of Income | | | | | | | | BLOCK C: Amount of Income | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
| Example: S, DC, or J | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **Blum Strategic GP IV, L.L.C.:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (22.38% owned by Richard C. Blum) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | **Blum Strategic Partners IV, L.P.:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (.23% owned by Blum Strategic GP IV, L.L.C.)<br>(0.0003% owned by Blum Capital Partners, L.P.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **X Group Holdings Limited** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Stock | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |

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Reporting Individual's Name
**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by Spouse through Blum Strategic Equity IV, L.L.C.)



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Block C: Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, DC, or J (Example) | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **Blum Strategic Equity IV, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (5.22% owned by Richard C. Blum) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | **Blum Strategic Partners IV, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (.14% owned by Blum Strategic Equity IV, L.L.C.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **X Group Holdings Limited** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Stock | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |

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Reporting Individual's Name: DIANNE FEINSTEIN

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Strategic GP V, L.L.C.)



**BLOCK A — Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B — Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, Check the first column.

**BLOCK C — Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Block C: Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, DC, or J (Example) | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **Blum Strategic GP V, L.L.C.:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (89.39% owned by Richard C. Blum) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | **Blum Strategic Partners V, L.P.:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (2.0 % owned by Blum Strategic GP V, L.P.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **X Group Holdings Limited** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Stock | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |

Reporting Individual's Name
**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by Spouse through Blum GA III, L.L.C.)



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Block C: Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, Example: DC, or J | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **Blum GA III, L.L.C.:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (99% owned by Blum Capital Partners T, L.P.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (1% owned by Blum Investment Partners, Inc.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Newbridge Asia Advisors III, Inc.** | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| | Fort Worth, TX | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Newbridge Asia Genpar AIV III, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Fort Worth, TX | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |

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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by Spouse through Blum GA III Equity, L.L.C.)



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Block C: Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example: S, DC, or J | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **Blum GA III Equity Partners, L.L.C.:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (99% owned by Blum Capital Partners T, L.P.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (1% owned by Blum Investment Partners, Inc.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Newbridge Asia Equity III, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Fort Worth, TX | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by Spouse through Blum Investment Partners, Inc.)



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Block C: Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, DC, or J (Example) | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **Blum Investment Partners, Inc.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (100% owned by Richard C. Blum) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Blum G.A. III Equity Partners, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (See page 32 for non-publicly traded assets) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Blum G.A. III, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (See page 31 for non-publicly traded assets) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Investment Partners, Inc. (continuation))



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S. Example: DC, or J | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **Blum G.A. IV, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | X | | | | | | | | | | X | | X | X | | | | | | | X | | | | | | | | | |
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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Investment Partners, Inc. (continuation))



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Block C (Type of Income): Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Block C (Amount of Income): None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S. Example: DC, or J | *JP Computer, Wash DC* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | X | | | | | | | | | | | | | | | *Example* | X | | | | | | | | | | | *Example* |
| S | **Carlton Hotel Properties, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (See page 16 for non-publicly traded assets) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
## (Assets owned by Spouse through Blum Family Partners, L.P.)



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S. Example: DC, or J | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **Blum Family Partners, L.P.:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| S | **BBA Foresight, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Tucson, AZ | | | | | | | | X | | | | | | X | | | | | | | | | | | X | | | | | | | |
| S | **BBA Foresight II, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Albuquerque, New Mexico | | | | | | | X | | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **FanAI Inc.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |

Reporting Individual's Name
**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Family Partners, L.P. (continuation))



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Block C: Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, DC, J (Example) | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| S, DC, J (Example) | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **CB Richard Ellis Partners U.S. IV, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Los Angeles, CA | | | | X | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Central Station Land, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Emeryville, CA | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Bill Press Partners, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Washington, D.C. | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |

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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Family Partners, L.P. (continuation))



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
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**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Block C: Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example: S, DC, or J | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **Blum G.A. IV, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | X | | | | | X | X | X | X | | | | | | | | | | | | | X | | | |
| S | **Coral Growth Investments, Ltd.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | St. Peter Port, Guernsey | | | | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **Francisco Partners, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | X | | | | | | | | | | X | | X | X | | | | | | | | | | | X | | | | | |
| S | **Greycroft Partners, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | New York, NY | | | | | | | X | | | | | | | | | | | | | | X | | | | | | | | | | | |

Reporting Individual's Name
**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Family Partners, L.P. (continuation))



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
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**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, DC, or J (Example) | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **Colony American Homes Holdings I, LP** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | New York, NY | | | | | | | X | | | | | | | | X | X | | | | | | | | | | | | | | X | | |
| S | **Current Media, LLC** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | X | | | | | | | | | | | | | | | X | | | | | | | | | | | | X | | | | |
| S | **Walker Street SP Brooklyn I, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | X | | | | | | X | | | | | | | | | | X | | | | | | | | |
| S | **Montgomery Street Partners II, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | X | | | | |

Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Family Partners, L.P. (continuation))



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
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**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Block C: Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, DC, or J | Example: JP Computer, Wash DC | | | | x | | | | | | | | | x | | | | | | | Example | | x | | | | | | | | | | Example |
| | Example: Undeveloped land, Dubuque, Iowa | | | | | x | | | | | | | | | | | | | | | Example | x | | | | | | | | | | | Example |
| S | **Lucky Bluff, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **BMGH** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Sacramento, CA | | | | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **Greycroft Partners Annex Fund, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | New York, NY | | | | | X | | | | | | | | | | | X | | | | | | | | | | X | | | | | | |
| S | **Montgomery Street Partners GP Acquisition Fund, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Dallas, TX | | | | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |

Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Family Partners, L.P. (continuation))



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

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**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
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Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Block C: Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example: S, DC, or J | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **Tensile Capital Management, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **Filmyard Holdings, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Santa Monica, CA | X | | | | | | | | | | | | | | X | X | | | | | | | | | | | | | X | | | |
| S | **RST, Inc.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | X | | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **Montgomery Street Housing I, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | X | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | X | | | |

8Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Family Partners, L.P. (continuation))



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

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At the close of reporting period.
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| | Block A | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Block C: Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example: S, DC, or J | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **Blum Capital Partners T, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (See pages 19-22 for non-publicly traded assets) | | | | | | | | X | | | | | X | | | X | | | | | | | | | | | | | X | | | |
| S | **Bell Mountain Capital Partners, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Edmonton, Canada | | | | | | | X | | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **Gobi Investment Partners, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Los Angeles, CA | | | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | | |
| S | **Petits Pains & Co., L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Burlingame, CA | | | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | | |

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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Family Partners, L.P. (continuation))



**BLOCK A**
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**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

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|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, DC, or J (Example) | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **First Republic Bank** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Checking Account - (San Francisco, CA) | | | | | | | X | | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **BDK Holdings, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | X | | | | | | | | | | | | | | | | | | | | | | | X | | | | | | | |
| S | **BTIG, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brokerage Account - Cash (San Francisco) | | | | | | X | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **Colony American Homes War I, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Santa Monica, CA | | X | | | | | | | | | | | | | | | | | | | | | | | X | | | | | | | |

Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Family Partners, L.P. (continuation))



**B LOCK A — Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B — Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, Check the first column.

**BLOCK C — Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | | Valuation of Assets | | | | | | | | | | | | Type of Income | | | | | | | | Amount of Income | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
| Example: S, DC, or J | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **TPG Chinos Co-Invest, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Fort Worth, TX | | | | | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **Carlton Hotel Properties, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (See page 16 for non-publicly traded assets) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Montgomery Street Partners GP Acquisition Fund GP, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Dallas, TX | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Reporting Individual's Name
**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Family Partners, L.P. (continuation))



B
LOCK A
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

BLOCK C
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example: S, DC, or J | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **BFP Tideline, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **Blum Family Partners GDM, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **Campanile Impact Fund, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **Latitude Capital Management, L.P.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Washington, D.C. | | | | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | |

Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse)



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | BLOCK A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example: S, DC, or J | *JP Computer, Wash DC* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | X | | | | | | | | | | | | | | | *Example* | X | | | | | | | | | | | *Example* |
| S | **Fidelity Retirement** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | FMM Retire GOV II Fund | | | | | X | | | | | | | | | | | | X | | | | X | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Fidelity Retirement** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | FID Focused Stock Fund | | | | | X | | | | | | | | | | | | X | | | | X | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse (continuation))



**BLOCK A**
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**
**Valuation of Assets**

At the close of reporting period.
If None, or less than $1,001,
Check the first column.

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example: S, DC, or J | *JP Computer, Wash DC* | | | | x | | | | | | | | | x | | | | | | | *Example* | | x | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | x | | | | | | | | | | | | | | | *Example* | x | | | | | | | | | | | *Example* |
| S | **LAF Management, Inc.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Fort Worth, TX | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **BTIG, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brokerage Account- Cash<br>San Francisco, CA | | | | | | X | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Bank of America, Inc.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Deposit and Checking Account<br>San Francisco, CA | | | | | | X | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse (continuation))



**BLOCK A — Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B — Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, Check the first column.

**BLOCK C — Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Block C: Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other (Specify Type) | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, DC, or J (Example) | *JP Computer, Wash DC* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | X | | | | | | | | | | | | | | | *Example* | X | | | | | | | | | | | *Example* |
| S | **Bank of America** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Deposit Account – San Francisco, CA | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **First Republic Bank** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Deposit Account – San Francisco, CA | | | | | | | | X | | | | | | | X | | | | | | | X | | | | | | | | | | |
| S | **New ASTAR Holdings, L.L.C.** (formerly ASTAR USA, L.L.C.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Miami, FL | | | | | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **BTIG, L.L.C.** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brokerage Account – Cash | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse (continuation))



**BLOCK A — Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

(1) had a value exceeding $1,000 at the close of the reporting period; and/or

(2) generated over $200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B — Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, Check the first column.

**BLOCK C — Type and Amount of Income**

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

| | Block A | Block B: None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 – $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Block C: Type of Income: Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other Ordinary Income | Amount of Income: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | Over $5,000,000 | Actual Amount Required if "Other" Specified |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| S, Example: DC, or J | *JP Computer, Wash DC* | | | | X | | | | | | | | | X | | | | | | | *Example* | | X | | | | | | | | | | *Example* |
| | *Undeveloped land, Dubuque, Iowa* | | | | | X | | | | | | | | | | | | | | | *Example* | X | | | | | | | | | | | *Example* |
| S | **JP Morgan** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Savings Account - New York, NY | | | | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **JP Morgan** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brokerage Account - New York, NY | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| S | **BTIG – (IRA) (Cash)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | San Francisco, CA | | | | | | | X | | | | | | | | | | | | | | X | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| S | **Tenzing Asian Art** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (Accounts Receivable) San Francisco, CA | | | | | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | |

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART VII. LIABILITIES

(Liabilities of Dianne Feinstein & Richard C. Blum)



Report liabilities over $10,000 owed by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) Mortgages on your personal residences unless rented; (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

| | Name of Creditor | Address | Type of Liability | Date Incurred | Interest Rate | Term if Applicable | Category of Amount of Value (x) | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | $10,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 |
| Example: S, DC, or J | *First District Bank* | *Wash., DC* | *Mortgage on undeveloped land* | *1991* | *13%* | *25yrs* | | | X | | E | X | A | M | P | L | E |
| | *(J) John Jones* | *Wash., DC* | *Promissory Note* | *1999* | *10%* | *On dmd* | | | | X | E | X | A | M | P | L | E |
| | | | | | | | | | | | | | | | | | |
| J | Bank of America | San Francisco, CA | Mortgage – Personal Residence (No Points) | 2013 | 2.63 | 7/1 ARM | | | | | | | | X | | | |

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Reporting Individual's Name

**DIANNE FEINSTEIN**

# PART VII. LIABILITIES

(Liabilities of Richard C. Blum)



Report liabilities over $10,000 owed by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) Mortgages on your personal residences unless rented; (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

| | Name of Creditor | Address | Type of Liability | Date Incurred | Interest Rate | Term if Applicable | Category of Amount of Value (x) | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | $10,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 |
| Example: S, DC, or J | *First District Bank* | *Wash., DC* | *Mortgage on undeveloped land* | *1991* | *13%* | *25yrs* | | | X | | E | X | A | M | P | L | E |
| | *(J) John Jones* | *Wash., DC* | *Promissory Note* | *1999* | *10%* | *On dmd* | | | | X | E | X | A | M | P | L | E |
| | | | | | | | | | | | | | | | | | |
| S | Northern Trust | Chicago, IL | Securities Loan | 2015 | 1.875 | 1 | | | | | | | X | | | | |
| S | First Republic Bank | San Francisco, CA | Line of Credit | 2015 | 4.0 | On dmd | | | | | | | X | | | | |
| | | | | | | | | | | | | | | | | | |
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