

# UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

<input type="checkbox"/> Amendment			
Last Name	First Name and Middle Initial	Annual Report	
Hoeven	John	Calendar Year Covered by Report 2012	Senate Office / Agency in Which Employed United States Senator
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report	
120 Russell Senate Office Building Washington DC 20510	202-224-2551	Termination Date (mm/dd/yy)	Prior Office / Agency in Which Employed

**AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART**

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$350 from one source)? If Yes, complete and attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, complete and attach PART II.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, complete and attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If Yes, complete and attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, complete and attach PART X.	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**Each question must be answered and the appropriate PART attached for each “YES” response.**

<p style="margin-top: 0; margin-bottom: 0;">a21</p>	<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> <b>FOR OFFICIAL USE</b>  <b>Do Not Write Below</b> </div> <div style="text-align: center; font-size: small;"> <span style="border-right: 1px solid black; padding: 0 5px;">JAN 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">FEB 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">MARCH 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">APRIL 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">MAY 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">JUNE 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">JULY 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">AUGUST 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">SEPTEMBER 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">OCTOBER 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">NOVEMBER 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">DECEMBER 15 PM 2:42</span> </div> <div style="text-align: center; font-size: small; margin-top: 10px;"> <span style="border-right: 1px solid black; padding: 0 5px;">JAN 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">FEB 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">MARCH 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">APRIL 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">MAY 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">JUNE 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">JULY 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">AUGUST 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">SEPTEMBER 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">OCTOBER 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">NOVEMBER 15 PM 2:42</span> <span style="border-right: 1px solid black; padding: 0 5px;">DECEMBER 15 PM 2:42</span> </div>
<span style="border: 1px solid black; padding: 2px 10px; background-color: #f0f0f0;">SECRETARY OF THE SENATE</span>	

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

## BLOCK B

## Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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## BLOCK C

## Type and Amount of Income

S, Example: DC, or J	IBM Corp. (stock)	Type of Income							Amount of Income												
		None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	Actual Amount
	(S) Keystone Fund			X																	Example
1	Blackrock Equity Dividend Fund Class A			X																	Example
2	Fidelity Contrafund			X																	Example
3	First Eagle Global Fund Class A			X																	Example
4	Fleming Capital JP Morgan Mid Cap Value Fund Class A			X																	Example
5	Ivy Asset Strategy Fund Class A		X																		Example
6	Managers Bond Fund			X																	Example
7	Permanent Portfolio Fund			X																	Example
8	Rowe T Price New Horizons Fund			X																	Example
9	Templeton Global Bond Fund Class A			X																	Example
10	AT&T Inc (Stock)			X																	Example

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

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## BLOCK B

## Valuation of Assets

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If None, or less than \$1,001,  
Check the first column.

		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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S, Example: DC, or J	IBM Corp. (stock)	Type of Income							Amount of Income													
		Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount	Required if "Other" Specified
	(S) Keystone Fund		x							x											Example	Example
1	Apple Inc. (Stock)		x							x												
2	Ares Capital Corp (Stock)		x							x	x								x			
3	Automatic Data Processing (Stock)		x							x								x				
4	Blackrock Inc. (Stock)		x							x								x				
5	CSX Corp (Stock)		x							x								x				
6	Carnival Corp Paired CTF 1 Com Carni CRP & 1 Tr Sh Ben Int P&O Princess (Stock)		x							x								x				
7	Centurylink Inc. (Stock)		x							x								x				
8	Coach Inc. (Stock)		x							x								x				
9	Coca-Cola Co (Stock)		x							x								x				
10	Cognizant Technology Solutions Corp Cl A (Stock)		x							x												

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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Click...to create an additional page for this part.

Reporting Individual's Name

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## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3,

**CONTENTS OF REPORTS Part B of Instructions**) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
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Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

Example: S, DC, or J	IBM Corp. (stock) (S) Keystone Fund	BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																						
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount
1	Ford Motor Company New (Stock)	x								x				x								x											Example	
2	Freeport McMoran Copper & Gold (Stock)		x							x				x								x											Example	
3	General Mills Inc. (Stock)		x							x				x								x											Example	
4	Google Inc. (Stock)		x							x				x								x											Example	
5	Harley Davidson Inc. (Stock)	x								x				x								x											Example	
6	Heinz HJ Company (Stock)			x						x				x								x											Example	
7	Horizon Technology Finance Corp (Stock)		x							x	x				x				Cap. Gain Distributions			x									\$1,207			
8	Intel Corp (Stock)		x							x				x								x												
9	IBM (Stock)		x							x				x								x												
10	Investors Real Estate Trust Sh Ben Int (Stock)				x					x				x	x				Return of Capital			x										\$14,407		

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

**BLOCK A**  
**Identity of Publicly Traded Assets  
And Unearned Income Sources**

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S,  
**Example:** DC,  
or J      IBM Corp. (stock)

(S) Keystone Fund

1      Johnson & Johnson (Stock)

2      Kraft Foods Grp Inc (Stock)

3      McDonalds Corp (Stock)

4      Microsoft Corp (Stock)

5      Microchip Technology Inc (Stock)

6      Mondelez International Inc. (Stock)

7      Procter & Gamble Company (Stock)

8      Ralph Lauren Corp CL A (Stock)

9      Starbucks Corp (Stock)

10     TJX Companies Inc (Stock)

**BLOCK B**  
**Valuation of Assets**

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)
\$1,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$250,000
\$250,001 - \$500,000
\$500,001 - \$1,000,000
Over \$1,000,000***
\$1,000,001 - \$5,000,000
\$5,000,001 - \$25,000,000
\$25,000,001 - \$50,000,000
Over \$50,000,000

**BLOCK C**  
**Type and Amount of Income**

	Type of Income							Amount of Income										
	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000
	X							Example	X									Example
			X					Example	X									Example
1		X										X						
2		X										X						
3			X											X				
4			X										X					
5			X										X					
6			X										X					
7			X										X					
8			X										X					
9			X										X					
10			X										X					

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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Click...to create an additional page for this part.

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

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S, Example: DC, or J	IBM Corp. (stock) (S) Keystone Fund	BLOCK B Valuation of Assets							BLOCK C Type and Amount of Income																						
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$5,000,000	Over \$5,000,000
1	Teva Pharmaceutical Inds LTD ADR (Stock)	x								x				x					x									Example			
2	US Bancorp DE (Stock)	x								x				x					x									Example			
3	Union Pacific Corp (Stock)		x							x				x						x											
4	VF Corp (Stock)		x							x				x						x											
5	Verizon Communications (Stock)			x						x				x						x											
6	Viasat Inc (Stock)	x								x				x					x												
7	Wells Fargo & Co (Stock)		x							x				x					x												
8	Windstream Corp (Stock)	x								x				x					x												
9	Yum Brands Inc (Stock)		x							x				x					x												
10	Atlas Energy LTD Partnership Com Units	x															PTP Income											\$559			

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

Identity of Publicly Traded Assets  
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## BLOCK B

## Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

	S, Example: DC, or J	IBM Corp. (stock)	Type of Income							Amount of Income																						
			None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	Over \$1,000,000***	Over \$5,000,000
		IBM Corp. (stock)		X																											Example	
		(S) Keystone Fund			X																										Example	
1		Copano Energy LLC Common Units			X																										\$3,113	
2		EV Energy Partners Limited Partnership Units Repstg LTD Partner Int				X																									\$2,792	
3		Energy Transfer Partners Unit Ltd Partnership				X																									\$3,826	
4		Legacy Reserves Limited Partnership Unit Rep Ltd Partnership Int				X																									\$3,356	
5		Linn Energy LLC Unit Rep Limited Liability Interest				X																									\$1,773	
6		Vanguard Natural Res LLC Rep Ltd Liability Int				X																									\$4,487	
7		Atlas Resources Partners Ltd Partnership Com Unit Repslg LP Int			X																										\$33	
8		Stifel Nicolaus General Money Market Account			X														X													
9		First Western Bank & Trust Interest Bearing Accounts, Minot ND					X												X					X								
10	S	First Western Bank & Trust Interest Bearing Accounts, Minot ND			X														X				X									

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## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

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BLOCK C  
Type and Amount of Income

	Type of Income							Amount of Income													
	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S, Example: DC, or J	X								Example	X										Example	
(S) Keystone Fund		X							Example	X										Example	
1 DC		X								X											
2 J				X																	
3 S	MDU Resources Group Inc. (Stock) IRA	X								X											
4	MDU Resources Group Inc. (Stock) IRA	X								X											
5	Enterprise Prods Partners L common (Stock) IRA	X								X											
6	DNP Select Income Fund IRA	X								X											
7	Microsoft Corp (Stock) IRA	X								X											
8	CHS Inc PFD 8% (Stock) IRA	X								X											
9	BP L C Sponsored ADR (Stock) IRA	X								X											
10	Chevron Corp New Common (Stock) IRA	X								X											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3,

**CONTENTS OF REPORTS Part B of Instructions**) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

S, Example: DC, or J	IBM Corp. (stock)	None (or less than \$1,001)					Type of Income					Amount of Income																				
		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
	(S) Keystone Fund		X										X				Example	X											Example			
1	Bhp Billiton Ltd Sponsored ADR (Stock) IRA	X											X				Example	X											Example			
2	Federated Total Return Bond Fund #328 IRA		X										X						X											Example		
3	Federated Max Cap Index Fund #39 IRA		X										X						X											Example		
4	T Rowe Price Blue Chip Growth Fund IRA		X										X						X											Example		
5	Vanguard Dividend Growth Fund #57 IRA		X										X						X											Example		
6	Vanguard Small Cap Index Fund #48 IRA		X										X						X											Example		
7	Federated High Yield Trust Fund #38 IRA		X										X						X											Example		
8																															Example	
9																															Example	
10																															Example	

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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## PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

## Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

S, Example: DC, or J JP Computer, Software Design, Wash DC

Undeveloped land, Dubuque, Iowa

1 The Campbell Fund Trust Series, Baltimore MD

2 Millburn Multi-Markets Fund LLP, Greenwich Ct

3 Westbrand Inc Bank Holding Co (Stock), Minot ND

4 Tompson-Hoeven Partnership, MT Farmland Rental, Bismarck ND

5 Northwest Respiratory Services LLC, Respiratory Services, St. Paul MN 12/31/12 (No underlying assets)

6 Corridor Investments, LLC, Mgmt. Co. Minot ND (no underlying assets)

7 S Corridor Investments, LLC, Mgmt. Co. Minot ND (no underlying assets)

8 Corridor Investments, LLC, Mgmt. Co.IRA Minot ND (no underlying assets)

9 S Corridor Investments, LLC, Mgmt. Co.IRA Minot ND (no underlying assets)

10 DC Westbrand Inc. Bank Holding Co (stock) Minot, ND

BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C  
Type and Amount of Income

Type of Income							Amount of Income													
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	Actual Amount Required if "Other" Specified	
X								Example	X										Example	
X							X			X									Example	
							X				X									
												X								
													X							
														X						
															X					
																X				
																	X			
																		X		
																			X	

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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## PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

## Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

S, *JP Computer, Software Design, Wash DC*  
Example: DC, or J *Undeveloped land, Dubuque, Iowa*

1  First Western Bank Collective Asset Fund IRA2 S  First Western Bank Collective Asset Fund IRA3 4 5 6 7 8 9 10 BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
-----------------------------	--------------------	---------------------	----------------------	-----------------------	-----------------------	-------------------------	---------------------	---------------------------	----------------------------	-----------------------------	-------------------

	Type of Income						Amount of Income					Actual Amount Required if "Other" Specified							
	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000
1	<input checked="" type="checkbox"/>								<input checked="" type="checkbox"/>										Example
2	S	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>										Example
3																			
4																			
5																			
6																			
7																			
8																			
9																			
10																			

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate. 

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## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

	S, Example: DC, or J	IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDAQ/OTC	Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)									
			Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000
1		Rowe T Price New Horizons Fund	X			2/1/1X		X		E	X	A	M	P	L	E
2		Coach Inc. (Stock)		X		1/27/1X			X	E	X	A	M	P	L	E
3		Heinz HJ Company (Stock)		X		8/17/12 & 9/28/12										
4		Microchip Technology Inc. (Stock)		X		8/28/12										
5		Ralph Lauren Corp Cl A (Stock)		X		9/28/12										
6		TJX Companies Inc New (Stock)		X		9/28/12										
7		Windstream Corp. (Stock)		X		8/31/12										
8		Microsoft Corp. (Stock)		X		1/26/12 & 8/17/12										
9		McDonalds Corp. (Stock)		X		1/26/12 & 8/6/12										
10		IBM (Stock)		X		1/26/12			X							
11		Intel Corp. (Stock)		X		1/26/12 & 8/6/12			X							
12		Freeport McMoran Copper & Gold		X		1/26/12 & 10/4/12			X							

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

**Example:** S, DC, or J    IBM Corp. (stock) NYSE  
                     (DC) Microsoft (stock) NASDAQ/OTC

1    Horizon Technology Finance Corp. (Stock)

2    Yum Brands Inc. (Stock)

3    Verizon Communications Inc. (Stock)

4    Johnson &amp; Johnson (Stock)

5    Coca Cola Company (Stock)

6    VF Corp. (Stock)

7    Procter &amp; Gamble Company (Stock)

8    Centurylink Inc. (Stock)

9    AT&amp;T Inc. (Stock)

10   Ares Capital Corp. (Stock)

11   Wells Fargo &amp; Company New (Stock)

12   Energy Transfer Partners Unit Ltd Partnership

			Amount of Transaction (x)														
			Transaction Type (x)														
	Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)			\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
X				2/1/1X			X		E	X	A	M	P	L	E		
	X			1/27/1X				X	E	X	A	M	P	L	E		
X	X			8/6/12			X										
	X			8/7/12				X									
X				8/7/12				X									
X				8/7/12					X								
X				8/7/12						X							
X				8/7/12							X						
X				8/8/12							X						
X				8/8/12								X					
X				8/8/12								X					
X				8/8/12								X					
X				8/8/12								X					
X				8/17/12				X									
X				8/30/12					X								
X				10/4/12				X									

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

	S, Example: DC, or J	Asset Description	Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)											
			Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$1,000,000***	\$25,000,001 - \$50,000,000			
1		General Mills Inc. (Stock)	x			2/1/1X	x											
2		Blackrock Inc. (Stock)		x		1/27/1X					x	e	x	a	m	p	l	e
3		Automatic Data Processing Inc. (Stock)		x		10/4/12												
4		Apple Inc. (Stock)		x		10/19/12						x						
5		Kraft Foods Class A (Stock) name change to Mondelez International Inc. (Stock)			x	10/1/12					x							
6		Kraft Foods Class A (Stock) completed spin-off of Kraft Food Groups Inc (Stock)			x	10/1/12					x							
7		Mondelez International Inc. (Stock)		x		8/8/12					x							
8		Kraft Food Groups, Inc. (Stock)		x		8/8/12					x							
9		Euro Pacific Growth Fund Class F1 (Sale & earnings below the reporting threshold.)		x		9/24/12					x							
10		Fidelity Advisor Ser 1 Small Cap Fd Inst CL (Sale & earnings below the reporting threshold.)		x		9/24/12					x							
11		Westbrand Inc Bank Holding Co (Stock)		x		9/7/12					x							
12		Chicago Bridge & Iron (Stock) IRA (Sale & earnings below the reporting threshold.)		x		8/10/12	x											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

	S, Example: DC, or J	IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDAQ/OTC	Transaction Type (x)			Amount of Transaction (x)											
			Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
1		Deere & Common (Stock) IRA (Sale & earnings below the reporting threshold.)	x			2/1/1X	x				e	x	a	m	p	l	e
2		BP L C Sponsored ADR (Stock) IRA		x		1/27/1X				x	e	x	a	m	p	l	e
3		Kirby Corp (Stock) IRA		x													
4		Chevron Corp New Common (Stock) IRA		x		8/27/12	x										
5		Dollar Tree Inc (Stock) IRA (Earnings from sale less than reportable threshold.)		x		10/2/12		x									
6		Chicago Bridge & Iron (Stock) IRA (Sale & earnings below the reporting threshold.)		x		10/16/12			x								
7		DNP Select Income Fund (Closed-end Fund) IRA		x		10/19/12				x							
8		Deere & Common (Stock) IRA (Sale & earnings below the reporting threshold.)		x		10/19/12		x									
9		Microsoft Corp (Stock) IRA		x		10/19/12			x								
10		CHS Inc PFD 8% (Stock) IRA		x		10/19/12			x								
11		MDU Resources Group Inc (Stock) IRA		x		10/19/12		x									
12		Westbrand Inc Bank Holding Co (Stock) Minot, ND		x		11/27/12				x							

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

	S, Example: DC, or J	Identification of Assets	Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)									
			Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000
1	S	Corridor Investors, LLC Minot ND IRA		X		2/1/1X		X		E	X	A	M	P	L	E
2		Corridor Investors, LLC Minot ND IRA	+■	X		1/27/1X			X	E	X	A	M	P	L	E
3		Dollar Tree Inc. (Stock) IRA		X		7/3/12										
4		Enterprise Prods Partners L common (Stock) IRA		X		1/20/12										
5		Chicago Bridge & Iron (Stock) IRA (Sale & earnings below the reporting threshold.)		X		1/20/12		X								
6		DNP Select Income Fund IRA		X		1/23/12										
7		Corn Products Int'l' (Stock) IRA	+■	X		1/24/12										
8		Kirby Corp (Stock) IRA		X		1/24/12		X								
9		Microsoft Corp (Stock) IRA	+■	X		1/25/12										
10		CHS Inc PFD 8% (Stock) IRA		X		1/25/12										
11		BPL C Sponsored ADR (Stock) IRA		X		1/26/12										
12		Chevron Corp New Common (Stock) IRA	+■	X		1/27/12										

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

	Example: S, DC, or J	Asset Description	Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)											
			Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
1		BHP Billiton Ltd Sponsored ADR (Stock) IRA	x			2/1/1X	x					e	x	a	m	p	l	e
2		Deere & Common (Stock) IRA		x		1/27/1X					x	e	x	a	m	p	l	e
3		Deere & Common (Stock) IRA (Sale & earnings below the reporting threshold.)		x		1/30/12					x							
4		Enterprise prods Partners L common (Stock) IRA		x		2/29/12		x										
5		BHP Billiton Ltd Sponsored ADR (Stock) IRA		x		3/9/12					x							
6		Corn Products Int'l (Stock) IRA (Sale & earnings below the reporting threshold.)		x		4/11/12		x										
7		Deere & Common (Stock) IRA (Sale & earnings below the reporting threshold.)		x		5/9/12					x							
8		Deere & Common (Stock) IRA (Sale & earnings below the reporting threshold.)		x		5/22/12					x							
9		Kirby Corp (Stock) IRA (Sale & earnings below the reporting threshold.)		x		5/24/12					x							
10		Chicago Bridge & Iron (Stock) IRA (Sale & earnings below the reporting threshold.)		x		5/25/12					x							
11		Federated High Yield Trust #38 Fund IRA		x		11/5/12					x							
12		Federated Total Return Bond Fund #328 IRA		x		11/7/12					x							
		T Rowe Price Blue Chip Growth Fund IRA		x		11/29/12					x							

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate. 

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## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

	S, Example: DC, or J	Description of Asset	Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)									
			Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000
1		Vanguard Dividend Growth Fund #57 IRA	X			2/1/1X		X		E	X	A	M	P	L	E
2		Vanguard Small Cap Index Fund #48 IRA		X		1/27/1X			X	E	X	A	M	P	L	E
3		Federated Max Cap Index Fund #39 IRA		X		12/18/12		X								
4		Transfer shares of Westbrand Inc. Bank Holding Co (Stock) Minot, ND to spouse				12/29/12										X
5	S	Gift shares of Westbrand Inc. Bank Holding Co (Stock) Minot, ND to Irrevocable Trust				12/29/12										X
6																
7																
8																
9																
10																
11																
12																

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

## PART VII. LIABILITIES

Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) mortgages on your personal residences unless rented (**except for Senators**); (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

Name of Creditor		Address	Type of Liability	Date Incurred	Interest Rate	Discount Points Paid for Mortgage (Senators Only)	Term if Applicable	Category of Amount of Value (x)							
S, Example: DC, or J	First District Bank (J) John Jones	Wash., DC Wash., DC	Mortgage on undeveloped land Promissory Note	1992 2000	13% 10%	1 pt n/a	25 yrs On dmd	X	E	X	A	M	P	L	E
1	State Bank & Trust	Kenmare, ND	Personal Guarantor	2009	5.25%	n/a	5yrs								X
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3															
4															
5															
6															
7															
8															
9															
10															
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

## PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

**Exclude:** Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

	Name of Organization	Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	National Assn. of Rock Collectors	NY, NY <b>EXAMPLE</b>	Non-profit education	President	6 / 91	Present
	Jones & Smith	Hometown, USA <b>EXAMPLE</b>	Law Firm	Partner	7 / 96	11 / 1X
1	First Western Bank & Trust	Minot, ND	Bank	Director	10/84	Present
2	Northwest Respiratory Services, LLC	St Paul, MN	Respiratory Services, LLC	Member	6/99	Present
3	Tompson-Hoeven Partnership	Bismarck, ND	Farm Real Estate Partnership	Partner	3/79	Present
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Compensation in excess of \$200 from any position must be reported in Part II.

John Hoeven

## PART IX. AGREEMENTS OR ARRANGEMENTS

20

Report your agreements or arrangements for future employment (including agreements with a publisher for writing a book or sale of other intellectual property), leaves of absence, continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. See Instructions regarding the reporting of negotiations for any of these arrangements or benefits.

Status and Terms of any Agreement or Arrangement		Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on services performed through 11/0X and retained pension benefits (diversified, independently managed, fully funded, defined contribution plan)	Jones & Smith, Hometown, USA	<b>EXAMPLE</b> 1 / 94
	Employment agreement with XYZ Co. to become Vice President of Government Relations. Terms of agreement include salary between \$50,001-\$100,000, signing bonus between \$2,501-\$5,000 and stock options	XYZ Co., Bethesda, MD	<b>EXAMPLE</b> 1 / 1X
1	Participant in First Western Bank & Trust Employee Pension Plan. Plan is a defined benefit plan and is	First Western Bank & Trust, Minot ND	7/82
2	100% vested. Projected benefit at retirement is \$837.74.		
3	Participant in First Western Bank & Trust salary continuation agreement, however is currently	First Western Bank & Trust, Minot ND	4/86
4	suspended with a balance of \$8,604. If and when John Hoeven would return to employment		
5	with First Western Bank & Trust, his salary continuation accrual would resume.		
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