

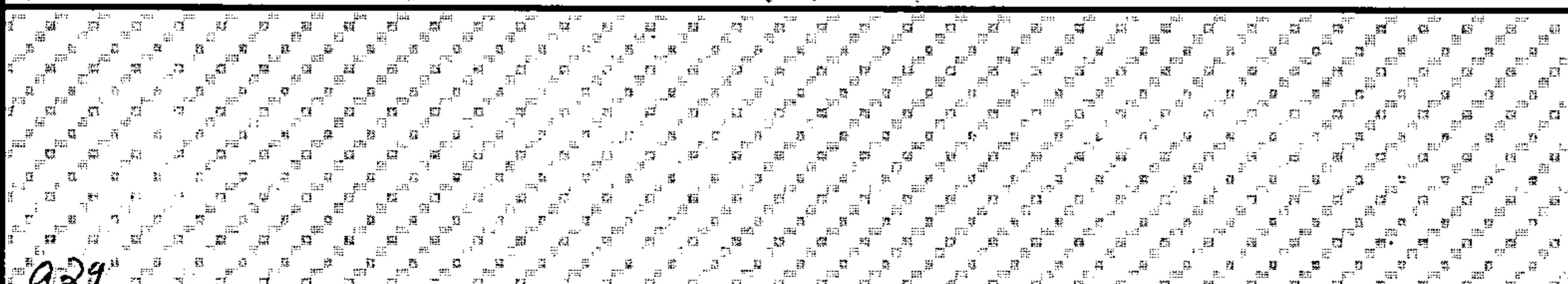
UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

<input type="checkbox"/> Amendment			
Last Name	First Name and Middle Initial	Annual Report	
COLLINS	SUSAN M.	Calendar Year Covered by Report	Senate Office / Agency in Which Employed
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report	Prior Office / Agency in Which Employed
413 Dirksen Senate Building, Washington, DC 20510	202-224-1941	Termination Date (mm/dd/yy)	

AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$350 from one source)? If Yes, complete and attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, complete and attach PART II.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, complete and attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If Yes, complete and attach PART V.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, complete and attach PART X.	<input type="checkbox"/>	<input type="checkbox"/>

Each question must be answered and the appropriate PART attached for each “YES” response.



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SENATE OF THE UNITED STATES

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PART II. EARNED AND NON-INVESTMENT INCOME

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

	Name of Income Source	Address (City, State)	Type of Income	Amount
Example:	JP Computers	Wash., DC	EXAMPLE	Salary \$15,000
	MCI (Spouse)	Arlington, VA	EXAMPLE	Salary Over \$1,000
1	Jefferson Consulting Group (Spouse)	1401 K Street NW #900 Washington, DC 20005	Salary	Over \$1,000
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

2

BLOCK A

**Identity of Publicly Traded Assets
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

At the close of reporting period
If None, or less than \$1,001.
Check the first column.

BLOCK C

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

***** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.**

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

3

BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

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BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)														
\$1,001 - \$15,000														
\$15,001 - \$50,000														
\$50,001 - \$100,000														
\$100,001 - \$250,000														
\$250,001 - \$500,000														
\$500,001 - \$1,000,000														
Over \$1,000,000***														
\$1,000,001 - \$5,000,000														
\$5,000,001 - \$25,000,000														
\$25,000,001 - \$50,000,000														
Over \$50,000,000														

BLOCK C

Type and Amount of Income

Type of Income

Amount of Income

S, Example: DC, or J	IBM Corp. (stock)		X												Actual Amount
	(S) Keystone Fund			X											Required if "Other" Specified
1 S	CVX - NYSE		X												Example
2 S	CMCSK - OTC		X												Example
3 S	COP - NYSE		X												
4 S	DD - NYSE		X												
5 S	XOM - NYSE		X												
6 S	GE - NYSE		X												
7 S	GIS - NYSE		X												
8 S	HNZ - NYSE		X												
9 S	HD - NYSE		X												
10 S	INTC - OTC		X												

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

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BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

Type of Income							Amount of Income														
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$50,000,000	Actual Amount Required if "Other" Specified		

S, Example: DC, or J	IBM Corp. (stock)	X																	
(S)	Keystone Fund		X																
1 S	IP - NYSE	X																	
2 S	JCI - NYSE	X																	
3 S	JNJ - NYSE	X																	
4 S	KMB - NYSE	X																	
5 S	MET - NYSE	X																	
6 S	MSFT - OTC	X																	
7 S	NSRGY - OTC	X																	
8 S	NEE - NYSE	X																	
9 S	PBCT - OTC	X																	
10 S	PEP - NYSE	X																	

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3,

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BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

Type of Income

Amount of Income

S, Example: DC, or J	IBM Corp. (stock)	X										Actual Amount
	(S) Keystone Fund		X									Required if "Other" Specified
1 S PFE - NYSE		X										
2 S PSX - NYSE	X											
3 S PPG - NYSE	X											
4 S PG - NYSE	X											
5 S QCOM - OTC	X											
6 S RTN - NYSE	X											
7 S SLB - NYSE	X											
8 S SE - NYSE	X											
9 S TGT - NYSE	X											
10 S TMO - NYSE	X											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

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BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

Type of Income							Amount of Income														
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	Over \$50,000,000	Actual Amount Required if "Other" Specified	

S, Example: DC, or J	IBM Corp. (stock)	X																				Example
(S)	Keystone Fund		X																			Example
1 S	TWX - NYSE	X																				
2 S	TOT - NYSE	X																				
3 S	TRV - NYSE	X																				
4 S	UPS - NYSE	X																				
5 S	UTX - NYSE	X																				
6 S	VZ - NYSE	X																				
7 S	WMT - NYSE	X																				
8 S	DIS - NYSE	X																				
9 S	WM - NYSE	X																				
10 S	WEC - NYSE	X																				

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

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BLOCK B
Valuation of Assets

At the close of reporting period.
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Check the first column.

None (or less than \$1,001)														
\$1,001 - \$15,000	X													
\$15,001 - \$50,000		X												
\$50,001 - \$100,000			X											
\$100,001 - \$250,000				X										
\$250,001 - \$500,000					X									
\$500,001 - \$1,000,000						X								
Over \$1,000,000***							X							
\$1,000,001 - \$5,000,000								X						
\$5,000,001 - \$25,000,000									X					
\$25,000,001 - \$50,000,000										X				
Over \$50,000,000											X			

BLOCK C

Type and Amount of Income

Type of Income							Amount of Income																
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified			
X									X	X												Example	
			X							X	X											Example	
	X										X												
		X										X											
			X									X											
				X								X											
					X								X										
						X							X										
							X							X									
								X							X								
									X							X							
										X							X						
											X							X					
												X							X				
													X							X			
														X							X		
															X							X	
																X							X

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

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BLOCK B
Valuation of Assets

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None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

Type of Income

Amount of Income

S, Example: DC, or J	IBM Corp. (stock)	x										Actual Amount
	(S) Keystone Fund		x									Required if "Other" Specified
1 S BMY - NYSE		x										
2 S COF - NYSE		x										
3 S AEP - NYSE		x										
4 S C - NYSE		x										
5 S CMCSA - OTC		x										
6 S CVS - NYSE		x										
7 S EBAY - OTC		x										
8 S EMC - NYSE		x										
9 S ESV - NYSE		x						x				
10 S ESRX - OTC		x							x			

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Click...to create an additional page for this part.

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A
**Identity of Publicly Traded Assets
And Unearned Income Sources**

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Valuation of Assets

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Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C
Type and Amount of Income

S, Example: DC, or J	IBM Corp. (stock)	Type of Income						Amount of Income													
		None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
	(S) Keystone Fund			X								X									Example
1 S	UNH - NYSE	X										X									Example
2 S	PFE - NYSE		X									X									Example
3 S	PM - NYSE		X									X									
4 S	PCP - NYSE		X									X	X								
5 S	DIS - NYSE		X									X									
6 S	RIO - NYSE		X									X									
7 S	SLB - NYSE		X									X									
8 S	SCHW - NYSE		X									X									
9 S	TGT - NYSE		X									X									
10 S	TWC - NYSE		X									X									

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

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BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)

\$1,001 - \$15,000

\$15,001 - \$50,000

\$50,001 - \$100,000

\$100,001 - \$250,000

\$250,001 - \$500,000

\$500,001 - \$1,000,000

Over \$1,000,000***

\$1,000,001 - \$5,000,000

\$5,000,001 - \$25,000,000

\$25,000,001 - \$50,000,000

Over \$50,000,000

Type of Income									Amount of Income														
		None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)			None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	Actual Amount Required if "Other" Specified
S. Example: DC, or J	IBM Corp. (stock)		X							Example	X												Example
	(S) Keystone Fund		X							Example	X												Example
1 S	NC Med Care, 3.000, 7/1/13		X							X													
2 S	Carroll Cnty Md, 5.000, 11/01/13		X							X		X											
3 S	Mansfield Tx Isd Sch, 5.000, 2/15/14		X							X													
4 S	Miami-Dade Cnty Fl Tran, 4.000, 7/01/14		X							X													
5 S	Huntsville Al, 3.250, 9/1/14		X							X		X											
6 S	St of Wa Go, 5.000, 2/1/15		X							X													
7 S	Bulloch Cnty Ga Sch, 5.000, 5/1/15		X							X		X											
8 S	Maryland St Dept Transn, 5.000, 6/1/15		X							X		X											
9 S	New York NY Fiscal, 5.000, 8/1/15		X							X													
10 S	St of Ca Var Gen Obli Bn, 4.000, 2/1/16		X							X													

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

Reporting Individual's Name

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COLLINS, SUSAN M

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3,

CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

S, Example: DC, or J	IBM Corp. (stock)	Type of Income							Amount of Income										
		Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$50,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
	(S) Keystone Fund	X								X									Example
1 S	Charles Cnty Md, 5.000, 3/1/16	X								X									Example
2 S	US Airways 2011 NTS, 7.125, 10/22/23	X									X								Example
3 S	New York Mun Wtr Fin Au, 5.000, 6/15/16	X									X								
4 S	Souderton Pa Area Sch, 4.000, 11/1/16	X									X								
5 S	San Antonio Tx Elec Gas, 4.000, 2/1/17	X								X									
6 S	Maryland St Trans Auth, 4.000, 7/1/17	X									X								
7 S	Ohio St Wtr Dev Auth Wtr, 5.000, 12/1/17	X									X								
8 S	Charlston Cnty Sc Sch, 4.000, 2/1/18	X								X									
9 S	Energy Northwest Wa Elec, 5.000, 7/1/18	X								X									
10 S	Washington Cnty Md Wtr&, 5.000, 7/1/18	X								X									

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

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COLLINS, SUSAN M

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15

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3,

CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

Type of Income							Amount of Income														
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified	
x					x				x	x											Example

S, Example: DC, or J	IBM Corp. (stock)	x																			Example
(S)	Keystone Fund		x																		Example
1 S	Metropolitan Transn Auth, 5.000, 11/15/19		x																		
2 S	New York City Transition, 5.000, 11/1/20		x																		
3 S	Pioneer Nat Resources, 6.875, 5/1/18	x																			
4 S	United Technologies Corp, 4.5000, 4/15/20	x																			
5 S	L-3 Comms Corp NTS B/E, 4.750, 7/15/20	x																			
6 S	PNC Funding Corp NTS B/E, 4.375, 8/11/20	x																			
7 S	Amer Towers Inc NTS B/E, 5.050, 9/1/20	x																			
8 S	EOG Resources Inc B/E, 4.100, 2/1/21	x																			
9 S	Medtronic Inc, 4.125, 3/15/21	x																			
10 S	Wells Fargo & Co NTS B/E, 4.600, 4/1/21	x																			

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

Reporting Individual's Name

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

1

- BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3,

CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B
Valuation of Assets

At the close of reporting period
If None, or less than \$1,001,
Check the first column.

BLOCK C

Type and Amount of Income

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

***** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.**

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COLLINS, SUSAN M

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p. 3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

Type of Income							Amount of Income													
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified	

S, Example: DC, or J	IBM Corp. (stock)		X																	Example
	(S) Keystone Fund			X																Example
1 S	PerkinElmer Inc, 5.000, 11/15/21		X																	
2 S	Qwest Corp, 6.750, 12/1/21		X																	
3 S	Stanley Black & Decker, 3.400, 12/1/21		X																	
4 S	Citi Group Inc, 4.500, 1/14/22		X																	
5 S	US Bancorp Med Term NTS, 2.950, 7/15/22		X																	
6 S	Intel Corp Nts B/E, 2.700, 12/15/22		X																	
7 S	UBS Bank Deposit Account			X																
8																				
9																				
10																				

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Name

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COLLINS, SUSAN M

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

BLOCK A

**Identity of Non-Publicly Traded
Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

Example: DC, or J	<i>JP Computer, Software Design, Wash DC</i> <hr/> <i>Undeveloped land, Dubuque, Iowa</i>
-----------------------------	--------------------------------------------------------------------------------------------------

1 S Rental Condo; St. Petersburg Beach, FL

2 | Page

3 | Page

4

5

ANSWER **QUESTION** **ANSWER** **QUESTION** **ANSWER** **QUESTION**

Figure 1. A schematic diagram of the experimental setup. The laser beam (labeled 1) is focused onto the sample surface (labeled 2). The scattered light (labeled 3) is collected by a lens and detected by a photomultiplier tube (PMT).

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BLOCK B

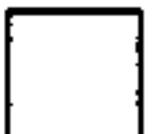
At the close of reporting period
If None, or less than \$1,001,
Check the first column.

BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

***** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.**



Reporting Individual's Name

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COLLINS, SUSAN M

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PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Identification of Assets

	S, Example: DC, or J	IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDAQ/OTC	Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)										
			Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
1	S	Citigroup, Inc.	X			2/1/1X	X			E	X	A	M	P	L	E	
2	S	J.P. Morgan Chase & Co.		X		1/27/1X				X	E	X	A	M	P	L	E
3	S	Time Warner Cable, Inc.		X		10/1/12	X										
4	S	PNC Financial Services			X	10/1/12	X										
5	S	ENSCO PLC CL A			X	10/2/12	X										
6	S	Pfizer, Inc			X	10/4/12	X										
7	S	Microsoft Corp			X	10/4/12	X										
8	S	General Motors Co.			X	10/9/12	X										
9	S	American International Group, Inc			X	10/10/12	X										
10	S	Gilead Sciences, Inc			X	10/10/12	X										
11	S	Occidental Petroleum Corp			X	10/12/12	X										
12	S	Goldman Sachs Group, Inc			X	10/16/12	X										

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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Reporting Individual's Name

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COLLINS, SUSAN M

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PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Identification of Assets

Example: S, DC, or J		Transaction Type (x)			Amount of Transaction (x)												
		Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000		
	IBM Corp. (stock) NYSE	X			2/1/1X	X				E	X	A	M	P	L	E	
	(DC) Microsoft (stock) NASDAQ/OTC		X		1/27/1X					X	E	X	A	M	P	L	E
1 S	American Intel Group, Inc	X			10/15/12	X											
2 S	Exxon Mobil Corp		X		10/15/12	X											
3 S	Traveler's COS Inc		X		10/19/12	X											
4 S	Freeport-McMoran Copper	X			10/19/12	X											
5 S	Abbott Labs		X		10/23/12	X											
6 S	Dupont De Nemours		X		10/19/12	X											
7 S	Blackrock Inc.	X			11/13/12	X											
8 S	Barclays Dynamic Vector		X		11/15/12		X										
9 S	FT-Franklin Gold & Prec		X		11/15/12	X											
10 S	Allergan Inc		X		11/5/12	X											
11 S	Target Corp	X			11/20/12	X											
12 S	Qualcomm Inc	X			11/21/12	X											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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COLLINS, SUSAN M

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PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Identification of Assets

	S, Example: DC, or J	Description of Asset	Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)										
			Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
		IBM Corp. (stock) NYSE	X			2/1/1X		X			E	X	A	M	P	L	E
		(DC) Microsoft (stock) NASDAQ/OTC		X		1/27/1X				X	E	X	A	M	P	L	E
1	S	McDonald's Corp			X	11/26/12		X									
2	S	Target Corp		X		11/27/12		X									
3	S	MetLife Inc			X	11/27/12		X									
4	S	Lowes Companies Inc		X		11/29/12		X									
5	S	Bank of America Corp			X	11/30/12		X									
6	S	Raytheon CO NTS B/E			X	12/3/12		X									
7	S	Chevron Corp			X	12/3/12		X									
8	S	Eaton Corp, PLC			X	12/13/12		X									
9	S	Staples Inc			X	12/13/12		X									
10	S	Netapp, Inc			X	12/12/12		X									
11	S	Intel Corp NTS B/E			X	12/11/12		X									
12	S	Peabody Energy Corp			X	12/18/12		X									

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Identification of Assets

	S, Example: DC, or J	IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDAQ/OTC	Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)										
			Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	
1	S	Nike, Inc CL B		X		2/1/1X	X				E	X	A	M	P	L	E
2	S	Rio Tinto PLC Spon Adr		X		1/27/1X				X	E	X	A	M	P	L	E
3	S	Microsoft Corp		X		12/19/12	X										
4	S	Goldman Sachs Group Inc		X		12/27/12	X										
5	S	JPMorgan Chase & Co		X		12/21/12	X										
6	S	Lowes Companies Inc		X		12/21/12	X										
7	S	Rio Tinto PLC Spon Adr	I	X		12/21/12	X										
8	S	General Electric Corp		X		12/21/12	X										
9	S	Microsoft Corp		X		12/21/12	X										
10	S	Du Pont de Nemours		X		10/26/12	X										
11	S	Nextera Energy Inc. Com.		X		10/29/2012	X										
12	S	Apple, Inc.		X		10/30/2012	X										

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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PART IV. TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Identification of Assets

	S, Example: DC, or J		Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)						
			Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***
		IBM Corp. (stock) NYSE	X			2/1/1X	X		E	X	A	M	P
		(DC) Microsoft (stock) NASDAQ/OTC		X		1/27/1X			X	E	X	A	M
1	S	Target Corp	X			11/8/2012	X					L	E
2	S	Freeport McMoran Copper	X			11/8/2012	X						
3	S	Amazon.com Inc	X			8/16/12	X						
4	S	Baidu Inc	X			8/21/12	X						
5	S	CVS Caremark Corp	X			8/21/12	X						
6	S	Freeport-McMoran Copper & Gold Inc	X			8/21/12	X						
7	S	Halliburton Co	X			8/22/12	X						
8	S	News Corp Inc	X			8/22/12	X						
9	S	Comcast Corp	X			9/6/12	X						
10	S	EMC Corp	X			9/7/12	X						
11	S	Microsoft		X		9/7/12	X						
12	S	Qualcomm Inc	X			9/7/12	X						

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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Click...to create an additional page for this part.

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PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Identification of Assets

	S, Example: DC, or J	Identification of Assets	Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)										
			Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
		IBM Corp. (stock) NYSE	X			2/1/1X		X		E	X	A	M	P	L	E	
		(DC) Microsoft (stock) NASDAQ/OTC		X		1/27/1X			X	E	X	A	M	P	L	E	
1	S	General Motors		X		9/10/12	X										
2	S	Kraft Foods Inc			X	9/10/12	X										
3	S	Amazon.com Inc		X		9/14/12	X										
4	S	Comcast Corp		X		9/14/12	X										
5	S	Precision Castparts Corp			X	9/14/12	X										
6	S	Duke Energy Corp			X	9/18/12	X										
7	S	EMC Corp			X	9/18/12	X										
8	S	Citigroup Inc		X		9/26/12	X										
9	S	JPMorgan Chase & Co			X	9/26/12	X										
10	S	PNC Financial Services Group			X	9/26/12	X										
11	S	Time Warner Cable Inc		X		9/26/12	X										
12	S	Enesco Plc Cl A			X	9/27/12	X										

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PART IV. TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Identification of Assets

	S, Example: DC, or J	IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDAQ/OTC	Transaction Type (x)			Amount of Transaction (x)														
			Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000				
1	S	United Health Group, Inc		X		2/1/1X	X						E	X	A	M	P	L	E	
2	S	JP Morgan Chase & Co		X		1/27/1X							X	E	X	A	M	P	L	E
3	S	Allergan, Inc		X		11/05/12		X												
4	S	Apple, Inc		X		11/05/12		X												
5	S	General Motors Co.	X			11/6/12		X												
6	S	United Parcel Service Inc		X		9/6/12		X												
7	S	EMC Corp	X			9/21/12		X												
8																				
9																				
10																				
11																				
12																				

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Reporting Individual's Name	<input type="checkbox"/> Amendment
COLLINS, SUSAN M	

PART V. GIFTS

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Report the source, brief description and value of all gifts aggregating more than \$350 in value received by you, your spouse, or your dependent child, (See p.3 CONTENTS OF REPORTS Part B of Instructions), from each source. Gifts with a value of \$140 or less need not be aggregated towards the disclosure threshold. "Gift" is defined in the Instructions.

Exclude: (1) Bequests and other forms of inheritance; (2) Political campaign contributions; (3) Communications to your offices including subscriptions to newspapers and periodicals; (4) Consumable products provided by home state businesses to your offices, if those products are intended for consumption by persons other than yourself; (5) Gifts received prior to your Federal employment; (6) Gifts to your spouse or dependent child totally independent of his or her relationship to you; (7) Gifts from relatives; (8) Personal hospitality of any individual (see instructions); (9) meals and beverages unless consumed in connection with a gift of overnight lodging; and (10) Food, lodging, transportation, and entertainment provided by a foreign government within a foreign country, or by federal, state, D.C., or local governments.

Name of Income Source		Address of Source	Dates and Brief Description	Gift Value
Example:	Mr. John Q. Smith	Anytown, VA	EXAMPLE	\$400
1	Senator Robert Dole and Senator Elizabeth Dole	Washington, DC	August 11, 2012, Silver serving dish - Ethics Committee wedding waiver granted	\$400
2	The Hon. Charles Cragin & The Hon. Maureen Cragin	Raymond, ME	August 11, 2012, Painting - Ethics Committee wedding waiver granted	\$550
3	Secretary William Cohen and Janet Langhart Cohen	Chevy Chase, MD	August 11, 2012, Vase - Ethics Committee wedding waiver granted	\$595
4	Lisa and Leon Gorman	Yarmouth, ME	August 11, 2012, Dishes and Silverware - Ethics Committee wedding waiver granted	\$391
5	Maureen, Jim and Barbara Gorman	Cumberland Foreside, ME	August 11, 2012, Dishes and Silverware - Ethics Committee wedding waiver granted	\$391
6	The Hon. Gregory and Marina Slayton	Hanover, NH	August 11, 2012, Wine, Picnic set and Basket - Ethics Committee wedding waiver granted	\$695
7	Meg Gerstner and Alan Glendinning	Los Altos Hills, CA	August 11, 2012, Grill, Cover and BBQ tools - Ethics Committee wedding waiver granted	\$450
8				
9				
10				
11				

Note: The Senate Gift Rule prohibits most gifts from lobbyists and foreign agents and most gifts from other sources in excess of \$49.99.

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COLLINS, SUSAN M

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PART VII. LIABILITIES

Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) mortgages on your personal residences unless rented (except for Senators); (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

								Category of Amount of Value (x)								
								Date Incurred	Interest Rate	Discount Points Paid for Mortgage (Senators Only)	Term if Applicable					
Example:		S, DC, or J	First District Bank	Wash., DC	Mortgage on undeveloped land	1992	13%	1 pt	25 yrs		X	E	X	A	M	P
		(J)	John Jones	Wash., DC	Promissory Note	2000	10%	n/a	On dmd		X	E	X	A	M	P
1			Wells Fargo	P.O. Box 14411 Des Moines, IA 50306	Mortgage on personal residence	2012	3.125	0	7/1 ARM		X					
2	S		Sun Trust	P.O. Box 26149 Richmond, VA 23260	Mortgage on personal residence	2009	4 7/8	0	30 yr		X					
3																
4																
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7																
8																
9																
10																
11																
12																

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United States Senate

SELECT COMMITTEE ON ETHICS

HART SENATE OFFICE BUILDING, ROOM 220
 SECOND AND CONSTITUTION AVENUE, NE
 WASHINGTON, DC 20510-6425

March 9, 2012

The Honorable Susan M. Collins
 United States Senate
 Washington, D.C. 20510

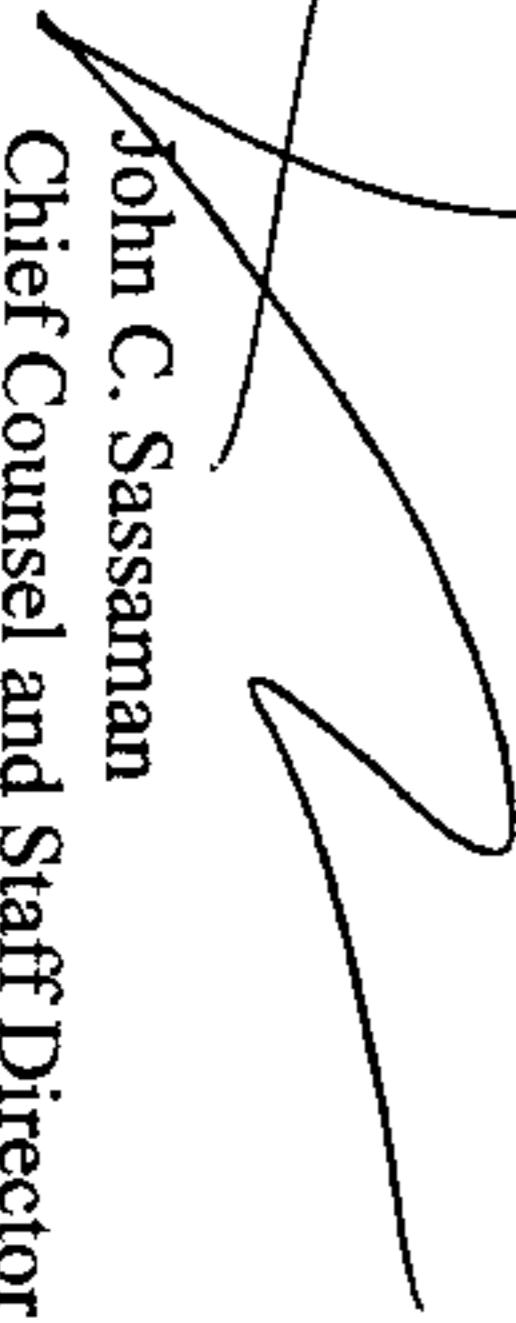
Dear Senator Collins:

This responds to your letter to the Committee requesting a waiver from the provisions of the Senate Gifts Rule (Senate Rule 35) for the receipt of wedding gifts that you receive on the occasion of your marriage. The Committee understands that you will be married in August of this year.

Senate Rule 35 prohibits a Member, officer, or employee of the Senate from receiving any gift of a value of \$50 or more, or gifts from one source (of \$10 or more) that aggregate \$100 or more during a calendar year. However, Senate Rule 35 provides at paragraph 1(c)(2) that the restrictions of the Gifts Rule expressly do not apply to "anything for which, in an unusual case, a waiver is granted by the Select Committee on Ethics."

The Committee's longstanding historical practice is to grant a waiver permitting the receipt of wedding gifts. Accordingly, your request for a waiver from the application of Senate Rule 35 regarding your receipt of unsolicited wedding gifts and "shower" gifts is granted. (Sending people invitations and registering for gifts is not considered soliciting). A copy of this letter, along with a copy of your letter requesting the waiver, with appropriate deletions to ensure confidentiality, will be available to the public at the Committee's office. Finally, if you are required to file an Annual Financial Disclosure Report, it will be necessary for you to report any gifts aggregating \$350 or more in value received from any source other than a relative.

Sincerely,



John C. Sassaman
 Chief Counsel and Staff Director