

UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

Amendment

Last Name	First Name and Middle Initial	Annual Report	
KING, JR	ANGUS S.	Calendar Year Covered by Report 2012 (PRIOR TO SENATE SERVICE)	Senate Office / Agency in Which Employed UNITED STATES SENATE
Senate Office Address (Number, Street, City, State, and ZIP Code) 188 RUSSELL SENATE OFFICE BUILDING WASHINGTON, DC 20510	Senate Office Telephone Number (Include Area Code) 202-224-5344	Termination Report	Prior Office / Agency in Which Employed

AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$350 from one source)? If Yes, complete and attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, complete and attach PART II.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, complete and attach PART IV.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If Yes, complete and attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, complete and attach PART X.	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Each question must be answered and the appropriate PART attached for each “YES” response.

SEC
FOR OFFICIAL USE ONLY
Do Not Write Below this Line

15 APRIL 2011
U.S. SENATE

a25

Reporting Individual's Name	<input type="checkbox"/> Amendment
ANGUS S. KING JR.	

Page Number
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PART II. EARNED AND NON-INVESTMENT INCOME

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS, Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Name of Income Source		Address (City, State)	Type of Income	Amount
Example:	JP Computers	Wash., DC	EXAMPLE	Salary \$15,000
	MCI (Spouse)	Arlington, VA	EXAMPLE	Salary Over \$1,000
1	BOWDOIN COLLEGE	BRUNSWICK, ME	SALARY	10,140
2	MAINE PUBLIC EMPLOYEES RETIREMENT SYSTEM	AUGUSTA, ME	PENSION	30,488
3	ESTATE OF ROGER C KLINE (SEE PART IX)	NEW YORK, NY	EXECUTOR COMMISSION	225,000
4	TRUST U/W/O ROGER KLINE FBO MATTHEW KLINE 2011 TRUSTEE FEES (SEE PART IX)	NEW YORK, NY	TRUSTEE COMMISSION	20,789
5	BERNSTEIN SHUR SAWYER & NELSON	PORTLAND, ME	CONSULTING FEE	22,500
6	GOOLD HEALTH SYSTEMS	AUGUSTA, ME	ADVISORY FEE	5,000
7	HANCOCK LUMBER COMPANY	CASCO, ME	DIRECTOR FEE	3,000
8	LEADERS LLC	PORTLAND, ME	CONSULTING FEE	10,000
9	NORTHERN NEW ENGLAND LAW PUBLISHERS INC (EARNED PRIOR TO SENATE SERVICE)	PORTLAND, ME	SPEAKING FEE 5/8/2012	3,500
10	LEE MANAGEMENT COMPANY	AUBURN, ME	ADVISORY FEE	3,000
11	SBM FINANCIAL INC / THE BANK OF MAINE	GARDINER, ME	DIRECTOR FEE	27,208
12	WOODWARD & CURRAN, INC	PORTLAND, ME	DIRECTOR FEE	22,830
13	THE COMMUNITY SCHOOLS OF OPPORTUNITY FARM AND CAMDEN (SPOUSE - SELF EMPLOYED)	CAMDEN, ME	CONSULTING FEE	OVER 1,000
14	COBSOOK COMMUNITY LEARNING CENTER (SPOUSE SELF EMPLOYED)	LUBEC, ME	CONSULTING FEE	OVER 1,000

ANGUS S KING JR

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

1

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS, Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

S,
Example: DC,
or J

IBM Corp. (stock)

(S) Keystone Fund

None (or less than \$1,001)

\$1,001 - \$15,000

\$15,001 - \$50,000

\$50,001 - \$100,000

\$100,001 - \$250,000

\$250,001 - \$500,000

\$500,001 - \$1,000,000

Over \$1,000,000***

\$1,000,001 - \$5,000,000

\$5,000,001 - \$25,000,000

\$25,000,001 - \$50,000,000

Over \$50,000,000

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

3

BLOCK A

**Identity of Publicly Traded Assets
And Unearned Income Sources**

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- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B

At the close of reporting period
If None, or less than \$1,001,
check the first column.

BLOCK C

S, Example: DC, or J	Type of Income	Amount of Income																						
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	Actual Amount Required if "Other" Specified
	IBM Corp. (stock)	X												X									Example	
	(S) Keystone Fund		X												X									Example
1	ISHARES MSCI EMERG MKT FUND (EEM)		X											X										
2	WP STEWART & CO LTD (WPSL)		X											X										
3	GATEWAY FUND CL A (GATEX)			X											X									
4	HUSSMAN STRATEGIC GROWTH (HSGFX)				X											X								
5	PIMCO ALL ASSET FUND (PAAIX)					X										X								
6	VANGUARD 500 INDEX FUND (VFINX)	X														X								
7	VANGUARD 500 INDEX FUND (VIFSX)								X							X								
8	VANGUARD TAX MANAGED (VTGLX)									X						X								
9	SCHWAB GOVERNMENT FUND (SWGXX)					X									X					X				
10	HONG KONG EXC & CLR ADRF (HKXCY)	X													X					X				

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

***** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.**



PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

3

BLOCK A

**Identity of Publicly Traded Assets
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS, Part B of Instructions*) for production of income or investment which:

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BLOCK B

At the close of reporting period
If None, or less than \$1,001,
check the first column.

BLOCK C

S, Example: DC, or J	Type of Income	Amount of Income																						
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	Actual Amount Required if "Other" Specified
	IBM Corp. (stock)	X												X									Example	
	(S) Keystone Fund		X												X									Example
1	ISHARES MSCI EMERG MKT FUND (EEM)		X											X										
2	WP STEWART & CO LTD (WPSL)		X											X										
3	GATEWAY FUND CL A (GATEX)			X											X									
4	HUSSMAN STRATEGIC GROWTH (HSGFX)				X											X								
5	PIMCO ALL ASSET FUND (PAAIX)					X										X								
6	VANGUARD 500 INDEX FUND (VFINX)	X														X								
7	VANGUARD 500 INDEX FUND (VIFSX)								X							X								
8	VANGUARD TAX MANAGED (VTGLX)									X						X								
9	SCHWAB GOVERNMENT FUND (SWGXX)					X									X					X				
10	HONG KONG EXC & CLR ADRF (HKXCY)	X													X					X				

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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ANGUS S KING JR

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

4

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

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BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

Type of Income

Amount of Income

	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	Actual Amount
S, Example: DC, or J	X								X	\$201 - \$1,000	Required if "Other" Specified
(S) Keystone Fund	X						X		X	\$1,001 - \$2,500	

S, Example: DC, or J	IBM Corp. (stock)	X							X		Example
(S) Keystone Fund		X					X		X		Example
1 MATTHEWS CHINA FUND (MCHFX)	X				X				X		
2 403B - FIDELITY DIVERSIFIED INTL (FDIVX)		X					X				
3 IRA - SCHWAB ADV CASH RESERVE (SWZXX)	X						X				
4 IRA - CME GROUP INC CL A (CME)	X						X				
5 IRA - ARTIO TOTAL RETURN (BJBGX)	X						X				
6 IRA - FIDELITY ADV FLOATING (FFRIX)	X						X				
7 IRA - HUSSMAN STRATEGIC GROWTH (HSGFX)	X							X			
8 IRA - ISHARES BARCLAYS 1-3 YR CR BOND (CSJ)	X						X				
9 IRA - ISHARES RUSSELL 2000 GROWTH (IWO)		X					X				
10 IRA - ISHARES RUSSELL 2000 VALUE (IWN)		X					X				

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

ANGUS S KING JR

BLOCK A
Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS, Part B of Instructions*) for production of income or investment which:

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BLOCK B
Valuation of Assets

At the close of reporting period
If None, or less than \$1,001,
check the first column.

None (or less than \$1,001)							
\$1,001 - \$15,000							
\$15,001 - \$50,000							
\$50,001 - \$100,000							
\$100,001 - \$250,000							
\$250,001 - \$500,000							
\$500,001 - \$1,000,000							
Over \$1,000,000***							
\$1,000,001 - \$5,000,000							
\$5,000,001 - \$25,000,000							
\$25,000,001 - \$50,000,000							
Over \$50,000,000							

BLOCK C

Type and Amount of Income

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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ANGUS S KING JR

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS, Part B of Instructions*) for production of income or investment which:

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BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

Type of Income							Amount of Income														
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	Actual Amount Required if "Other" Specified		
X								Example	X											Example	

Example: DC, or J (S) Keystone Fund

1 IRA - ISHARES 500 GROWTH INDEX (IVW)

X

2 IRA - ISHARES MIDCAP 400 VALUE (IJJ)

X

3 IRA - ISHARES MIDCAP 400 GROWTH (IJK)

X

4 IRA - VANGUARD MSCI EMERG MKT (VWO)

X

5 IRA - AMERICAN INTL GROWTH & INC (IGIFX)

X

6 IRA - AMERICAN CAPITAL WORLD (CWGFX)

X

7 IRA - AMERICAN WASHINGTON MUTUAL (WSHFX)

X

8 IRA - AMERICAN GROWTH FUND OF AMER (GFAFX)

X

9 IRA - IVY MIDCAP GROWTH (WMGAX)

X

10 IRA - JP MORGAN MIDCAP VALUE (JAMCX)

X

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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ANGUS S KING JR

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

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BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

Name	Type of Income							Amount of Income													
	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount	Required if "Other" Specified
S, Example: DC, or J	X							Example	X											Example	
(S) Keystone Fund		X						Example	X											Example	
1 IRA - AMERICAN CAPITAL WORLD BOND (WBFFX)	X								X												
2 IRA - FRANKLIN/TEMPLETON GLOBAL (TPINX)	X								X												
3 IRA - LOOMIS SAYLES STRATEGIC INC (NEFZX)		X							X												
4 IRA - PIMCO TOTAL RETURN (PTTAX)		X							X												
5 IRA - PIMCO UNCONSTRAINED BOND (PUBAX)	X								X												
6 IRA - AMERICAN INCOME FUND OF AMER (IFAFX)	X								X												
7 IRA - IVY ASSETT STRATEGY (WASAX)	X								X												
8 IRA - PERMANENT PORTFOLIO (PRPFX)	X								X												
9 S - 3M COMPANY (MMM)		X							X							X					
10 S - AMERICAN EXPRESS CO (AXP)	X								X							X					

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
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BLOCK C

Type and Amount of Income

Type of Income							Amount of Income														
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	Actual Amount Required if "Other" Specified		
	X							Example	X											Example	
						X		Example	X											Example	
1 S - APPLE INC (AAPL)	X									X											
2 S - AQUA AMERICA INC (WTR)	X									X											
3 S - CHUBB CORPORATION (CB)	X									X											
4 S - CISCO SYSTEMS INC (CSCO)	X									X											
5 S - CME GROUP INC CL A (CME)	X									X											
6 S - DENTSPLY INTL INC (XRAY)	X									X											
7 S - DISNEY WALT CO (DIS)	X									X											
8 S - EMC CORP MASS (EMC)	X									X											
9 S - ECOLAB INC (ECL)	X									X											
10 S - EXXON MOBIL CORP (XOM)	X									X											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS, Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

Type of Income							Amount of Income														Actual Amount
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	Required if "Other" Specified		

S, Example: DC, or J	IBM Corp. (stock)	X																			Example
(S)	Keystone Fund		X																		Example
1	S - GENERAL ELECTRIC CO (GE)	X																			
2	S - GILEAD SCIENCES INC (GILD)	X																			
3	S - INTL BUSINESS MACHINES (IBM)		X																		
4	S - ISHARES DJ US FINANCIAL (IYF)	X																			
5	S - ISHARES MSCI EAFE (EFA)	X																			
6	S - ISHARES MSCI EMERG MKT (EEM)	X																			
7	S - JOHNSON & JOHNSON (JNJ)	X																			
8	S - KIMBERLY-CLARK CORP (KMB)	X																			
9	S - LULULEMON ATHLETICA INC (LULU)	X							X									X			
10	S - MCCORMICK & CO INC N-VT (MKC)	X							X								X				

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

ANGUS S KING JR

10

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS, Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
check the first column.

	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

	Type of Income							Amount of Income											
	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000
S, Example: DC, or J	IBM Corp. (stock)	X							Example	X									Example
(S)	Keystone Fund	X							Example	X									Example
1	S - NEXTERA ENERGY INC (NEE)	X							X	X								X	
2	S - NIKE INC CL B (NKE)	X							X									X	
3	S - NORFOLK SOUTHERN CORP (NSC)	X							X									X	
4	S - NOVARTIS AG SPON ADR (NVS)	X							X									X	
5	S - ORACLE CORP (ORCL)	X							X									X	
6	S - PEPSICO INCORPORATED (PEP)	X							X									X	
7	S - PROCTOR & GAMBLE (PG)	X							X									X	
8	S - QUALCOMM INC (QCOM)	X							X									X	
9	S - SCHLUMBERGER LTD (SLB)	X							X									X	
10	S - SEMPRA ENERGY (SRE)	X							X									X	

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

11

BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS, Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK

Valuation of Asset

**At the close of reporting period
If None, or less than \$1,001,
check the first column.**

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

****** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS, Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
check the first column.

	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C
Type and Amount of Income

Type of Income							Amount of Income															
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount		
	X							Example	X											Example		
			X					Example	X											Example		
				X																		
					X																	
						X																
							X															
								X														
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																					X	

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



ANGUS S KING JR

13

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS, Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000***	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

Type of Income							Amount of Income														
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	Actual Amount Required if "Other" Specified		
X								Example	X											Example	
	X							Example	X											Example	
1 S - MUNI BOND – AUGUSTA ME	X								X												
2 S - MUNI BOND – BANGOR ME	X								X												
3 S - MUNI BOND – CAPE ELIZABETH ME		X							X												
4 S - MUNI BOND – KENNEBUNK ME	X								X												
5 S - MAINE GOVERNMENTAL BOND		X							X												
6 S - MAINE HEALTH & HIGH BOND	X								X												
7 S - MAINE MUNI BOND BANK			X						X									X			
8 S - MAINE STREET BOND		X							X								X				
9 S - MAINE STATE HOUSING AUTH BOND			X						X								X				
10 S - MAINE STATE TURNPIKE AUTH BOND	X								X								X				

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



ANGUS S KING JR

14

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS, Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C
Type and Amount of Income

Type of Income

Amount of Income

	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified	
S, Example: DC, or J	X								Example	X											Example	
(S) Keystone Fund		X							Example	X											Example	
1 S - MUNI BOND – PORTLAND ME		X									X											
2 S - MUNI BOND - PORTLAND ME GO	X										X											
3 S - MUNI BOND – WINDHAM ME		X									X											
4 S - MUNI BOND – YORK ME	X										X											
5 S - IRA – SCHWAB ADVISOR CASH RES (SWZXX)	X									X												
6 S - IRA – TEMPLETON GLOBAL BOND (TPICZ)	X									X												
7 S - IRA – FIDELITY CONTRA FUND (FCNTX)		X								X												
8 S - IRA – HARBOR INTERNATIONAL (HAINX)	X									X												
9 S - IRA – PIMCO ALL ASSET INSTL (PAAIX)	X									X												
10 S - IRA – ISHARES BARCLAYS INTERM BOND (GVI)	X									X												

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3,

CONTENTS OF REPORTS, Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest excepted investment fund, bank account excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B
Valuation of Assets

At the close of reporting period
If None, or less than \$1,001,
check the first column

BLOCK C
Type and Amount of Income

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

******* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS, Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

Type of Income

Amount of Income

S, Example: DC, or J	IBM Corp. (stock)											Actual Amount
	(S) Keystone Fund	X										Required if "Other" Specified
1 DC - ISHARES MCSI EAFE (EFA)	X											
2 DC - PARNASSUS SMALL CAP FUND (PARSX)	X											
3 DC - PIMCO LOW DURATION FUND (PTLDX)	X											
4 DC - VANGUARD 500 INDEX FUND (VFINX)	X											
5 DC - WASATCH LONG SHORT FUND (FMLSX)	X											
6 DC - HONG KONG EXC & CLR ADRF (HKXCY)	X											
7 DC - DIAMOND HILL LONG SHORT (DIAMX)	X											
8 DC - SCHWAB ADV CASH RESRV (SWZXX)	X											
9												
10												

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

Amendment

Page Number

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

2

BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B
Valuation of Assets

At the close of reporting period
If None, or less than \$1,001,
check the first column.

If None, or less than \$1,001

If None, or less than \$1,001,

check the first column

BLOCK C

Type and Amount of Income

Reporting Individual's Name

Amendment

Page Number

PART III B. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

3

BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B

At the close of reporting period
If None, or less than \$1,001
check the first column.

[Check the first column](#)

If None, or less than \$1,001
check the first column.

BLOCK C

ANGUS S KING JR

PART VII. LIABILITIES

1

Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) mortgages on your personal residences unless rented (except for Senators); (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

			Category of Amount of Value (x)														
Name of Creditor		Address	Type of Liability	Date Incurred	Interest Rate	Discount Points Paid for Mortgage (Senators Only)	Term if Applicable	\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	Over \$50,000,000
S, Example: DC, or J	First District Bank (J) John Jones	Wash., DC Wash., DC	Mortgage on undeveloped land Promissory Note	1992 2000	13% 10%	1 pt n/a	25 yrs On dmd	X	E	X	A	M	P	L	M		
1	J-TD BANK, NA	LEWISTON, ME	COMBINED MORTGAGE ON RENTAL & PERSONAL RESIDENCE	2011	3.50	0	15 YRS	X									
2	J-JP MORGAN CHASE BANK, NA	COLUMBUS, OH	MORTGAGE ON SEASONAL RESIDENCE	1995	3.25	0	30 YRS	X									
3	DC - UNIVERSITY OF NEW ENGLAND	BIDDEFORD, ME	TUITION PAYMENTS	2012	N/A	N/A	TIMELY PD IN FULL	X									
4	DC - ST LAWRENCE UNIVERSITY	CANTON, NY	TUITION PAYMENTS	2012	N/A	N/A	TIMELY PD IN FULL	X									
5	J-TD BANK, NA	LEWISTON, ME	HOME EQUITY LINE OF CREDIT	2011	3.25	0	PD IN FULL	X									
6																	
7																	
8																	
9																	
10																	
11																	
12																	

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name ANGUS S KING JR	<input type="checkbox"/> Amendment	PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT	Page Number 1
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Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

	Name of Organization	Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	National Assn. of Rock Collectors	NY, NY EXAMPLE	Non-profit education	President	6/91	Present
	Jones & Smith	Hometown, USA EXAMPLE	Law Firm	Partner	7/96	11/1X
1	BOWDOIN COLLEGE	BRUNSWICK, ME	EDUCATIONAL INSTITUTION	ADJUNCT PROFESSOR	8/03	6/12
2	ESTATE OF ROGER C KLINE	NEW YORK, NY	ESTATE	EXECUTOR	3/09	11/12
3	TRUST U/W/O ROGER KLINE FBO MATTHEW KLINE	NEW YORK, NY	ESTATE TRUST	TRUSTEE	3/09	PRESENT
4	GOOLD HEALTH SYSTEMS	AUGUSTA, ME	MEDICAL SERVICES SOFTWARE CO	ADVISORY BOARD MBR	5/09	6/12
5	HANCOCK LUMBER COMPANY	CASCO, ME	LUMBER & FORESTRY COMPANY	DIRECTOR	9/03	4/12
6	LEE MANAGEMENT COMPANY	AUBURN, ME	AUTO DEALERSHIP	ADVISORY BOARD MBR	3/04	4/12
7	SBM FINANCIAL INC/THE BANK OF MAINE	GARDINER, ME	FINANCIAL INSTITUTION	DIRECTOR	6/10	7/12
8	WOODWARD & CURRAN INC	PORTLAND, ME	ENGINEERING FIRM	DIRECTOR	6/11	10/12
9	BERNSTEIN SHUR SAWYER & NELSON	PORTLAND, ME	LAW FIRM	CONSULTANT	1/04	6/12
10	LEADERS LLC	PORTLAND, ME	BUSINESS CONSULTING FIRM	CONSULTANT	3/04	12/12
11	INDEPENDENCE WIND LLC	BRUNSWICK, ME	WIND ENERGY DEVELOPMENT	PARTNER	1/07	3/12
12	THE NATURE CONSERVANCY / MAINE CHAPTER	BRUNSWICK, ME	NON-PROFIT CONSERVATION	TRUSTEE	6/04	3/12
13	MAINE LEARNING TECHNOLOGY FOUNDATION	BRUNSWICK, ME	NON-PROFIT EDUCATION	PRESIDENT	8/04	4/13

Compensation in excess of \$200 from any position must be reported in Part II.

Reporting Individual's Name ANGUS S KING JR		<input type="checkbox"/> Amendment	PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT			Page Number 2
<p>Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.</p> <p>Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.</p>						
	Name of Organization	Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	National Assn. of Rock Collectors	NY, NY EXAMPLE	Non-profit education	President	6/91	Present
	Jones & Smith	Hometown, USA EXAMPLE	Law Firm	Partner	7/96	11/1X
1	MAINE POLICY SCHOLARS	AUGUSTA, ME	NON-PROFIT EDUCATION	CHAIRMAN	6/06	9/12
2	MAINE INTERNATIONAL CENTER FOR DIGITAL LEARNING	BRUNSWICK, ME	NON-PROFIT EDUCATION	CHAIRMAN	4/07	11/12
3	UNIVERSITY OF NEW ENGLAND / ATHLETIC DEPT ADVISORY COUNCIL	BIDDEFORD, ME	EDUCATIONAL INSTITUTION	ADVISORY BOARD MBR	9/10	PRESENT
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<p>Compensation in excess of \$200 from any position must be reported in Part II.</p>						

Reporting Individual's Name ANGUS S KING JR	<input type="checkbox"/> Amendment	PART IX. AGREEMENTS OR ARRANGEMENTS	Page Number 1
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Report your agreements or arrangements for future employment (including agreements with a publisher for writing a book or sale of other intellectual property), leaves of absence, continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. See Instructions regarding the reporting of negotiations for any of these arrangements or benefits.

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	<p><i>Pursuant to partnership agreement, will receive lump sum payment of capital account and partnership share calculated on services performed through 11/0X and retained pension benefits (diversified, independently managed, fully funded, defined contribution plan)</i></p> <p><i>Employment agreement with XYZ Co. to become Vice President of Government Relations. Terms of agreement include salary between \$50,001-\$100,000, signing bonus between \$2,501-\$5,000 and stock options</i></p>	Jones & Smith, Hometown, USA EXAMPLE	1 / 94
1	APPOINTMENT AS EXECUTOR UNDER THE LAST WILL AND TESTAMENT OF ROGER C. KLINE (DIED MARCH 18, 2009) IN SURROGATE'S COURT, NEW YORK COUNTY. ENTITLED TO BALANCE OF EXECUTOR'S COMMISSIONS OF \$98,167.26 AS OF NOVEMBER 30, 2012 PURSUANT TO NEW YORK STATUTE. AMOUNT REMAINS UNPAID AS OF DECEMBER 31, 2012.	ESTATE OF ROGER C. KLINE NEW YORK, NY	03/2009
2	APPOINTMENT AS TRUSTEE UNDER THE LAST WILL AND TESTAMENT OF ROGER C. KLINE FOR THE BENEFIT OF MATTHEW KLINE IN SURROGATE'S COURT, NEW YORK COUNTY. ENTITLED TO TRUSTEE'S COMMISSIONS FOR SERVICES RENDERED DURING 2012 OF \$20,361.59 PURSUANT TO NEW YORK STATUTE. AMOUNT REMAINS UNPAID AS OF DECEMBER 31, 2012.	TRUST U/W/O ROGER KLINE FBO MATTHEW KLINE NEW YORK, NY	03/2009
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