

**Perkins
Coie**

SECRETARY OF THE SENATE

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August 12, 2013

Secretary of the Senate

Office of Public Records

232 Hart Senate Office Building

Washington, D.C. 20510

Re: Senator Mark R. Warner

Dear Madame Secretary:

We are writing on behalf of Senator Mark R. Warner. Enclosed please find Senator Warner's Public Financial Disclosure Report for the calendar year ending December 31, 2012.

Please do not hesitate to call us should you have further questions. Thank you for your attention to this matter.

Very truly yours,



Marc E. Elias
Kate S. Keane
Counsel to Senator Mark R. Warner

Enclosure

UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

<input type="checkbox"/> Amendment			
Last Name	First Name and Middle Initial	Annual Report	Senate Office / Agency in Which Employed
Warner	Mark R.	Calendar Year Covered by Report 2012	United States Senator
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report	Prior Office / Agency in Which Employed
Russell Senate Office Building, Washington, DC 20510	202-224-1813	Termination Date (mm/dd/yy)	

AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

Question	YES	NO	Question	YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$350 from one source)? If Yes, complete and attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, complete and attach PART II.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, complete and attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If Yes, complete and attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, complete and attach PART X.	<input type="checkbox"/>	<input type="checkbox"/>

Each question must be answered and the appropriate PART attached for each "YES" response.

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SECRETARY OF SENATE PUBLIC RECORDS	

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Part II			
Earned and Non-Investment Income			
Name of Income Source	Address (City, State)	Type of Income	Amount
			-

Part IIIA:
Publicly Traded Assets & Unearned Income Sources
**Identity of Publicly Traded Assets
And Unearned Income Sources**

	Valuation of Assets				Type and Amount of Income												
	None (or less than \$1,000)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000***	Over \$1,000,000***	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	Amount of Income
Individual Retirement Account Capital World Growth and Income Fund			X									X				X	
JPMorgan-Checking/ATM Account			X									X			X		
MRW Home, LLC - JPMorgan - Checking Account	X											X			X		
MRW Office, LLC - JPMorgan - Checking Account	X											X			X		
JPMorgan-MMIA Savings		X										X				X	
THE MRW BLIND TRUST																	
DB Insured Deposit Program	X											X			X		
Yandex N V SHS Class A	X											X					X
Put 32 Yandex N V	X											X				X	
Put 33 Yandex N V	X											X				X	
Put 34 Yandex N V	X											X				X	
Doubleline Total Return Bond Fund					X							X				X	
Doubleline Core Fixed Income Fund					X							X				X	
TCW Emerging Markets Income Fund CL I	X											X				X	
JPM Asia Equity Fund	X											X					X
JPM Strategic Income Opportunities Fund						X						X					X
JPM High Yield FD - Sel	X											X				X	
JPM Strategic Income Opportunities Fund						X						X				X	
JPM High Yield FD - Sel						X						X				X	
Oppenheimer Developing Markets Fund						X						X				X	
Steel Partners Holdings LP		X										X			X		
Handy & Harman Group LTD Sub Sec 10/10 (Steel Partners)		X										X				X	
Henrico Cnty VA Pub Impl 4.00% Due 5/1/12	X											X				X	
Hampton VA Rfdg & Pub Impl 4.25% Due 1/15/12	X											X			X		
Virginia St Pub School Auth Rfdg School Fng Ser C 4.00% Due 8/1/13	X											X	X			X	
Virginia St Res Auth Infrastructure Rev State Moral -VA Pooled - Ser B 4.00% Due 11/1/14	X											X	X			X	
Alexandria VA Ser A 5.00% Due 7/15/15	X											X	X			X	
Virginia St Res Auth Infrastructure Rev VA Pooled Fng Ser B 4.00% Due 11/1/15	X											X	X			X	
Virginia St Ser B 5.0% Due 6/1/12	X											X	X			X	

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Part IIIA.
Publicly Traded Assets & Unearned Income Sources
**Identity of Publicly Traded Assets
And Unearned Income Sources**

	(None or less than \$1,001)	Valuation of Assets					Type and Amount of Income					Amount of Income				
		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other
Metropolitan Washington DC Arpt Auth Sys Ser A 4.0% 10/1/15	x								x x							x
Arlington Cnty VA Rfdg Ser C 4.0% 8/15/16	x								x x							x
Chesterfield Cnty VA Pub Impt 3.50% Due 1/1/12	x								x			x				
Virginia St 4.00% 6/1/12	x								x			x				
Virginia St Pub Bldg Auth Pub Facs 5.00% 8/1/12	x								x x							x
Arlington Cnty VA Pub Impt Ser A 3.00% 8/15/13	x								x x							x
New York St Dorm Auth Revs St Supported Debt City Univ Cons 5th Gen Res B 5.00% 7/1/14	x								x x							x
Virginia St Res Auth Clean Wtr Rev Rfdg Ser A 4.00% 10/1/14	x								x x							x
Tarrant Cnty Tex Cultural Ed Facs Fin Corp Hosp Rev Baylor Health Care Ser A 5.00% 11/15/14	x								x x							x
Charlottesville VA Pub Impt 5.00% 6/15/16	x								x x							x
Portsmouth VA Rfdg 5.00% 7/15/17	x								x x							x
University VA Univ Revenues Rfdg Gen 5.00% 9/1/17	x								x x							x
Tobacco Settlement Fing Corp NY Asset Bkd Ser A 5.00% 6/1/10	x								x							x
Longleaf Partners Mutual Fund	x								x			x				x
SPDR S&P 500 ETF Trust						x			x			x	x			
Blackrock Equity Dividend Fund Inst						x			x			x				x
RS Global Natural Resources Fund			x						x			x				x
Van Eck Global Hard Assets Fd Class A		x							x			x				x
First Eagle Overseas FD Class I				x				x			x					x
Oppenheimer Developing Markets			x					x			x					x
TCW Emerging Markets				x				x			x					x
Templeton Global Bond Fund Class A					x			x			x					x
Templeton Global Bond Fund Advisor				x				x			x					x
Vanguard Intl Equity Index FDS MSCI					x			x			x					x
DB AB Inv Cash Trust Treasury Instl		x				x			x			x				x
DB AB Cash Reserve Prime Series Money Market Fund				x			x		x		x	x	x		x	
Deutsche Tax-Free Money Market Fund			x			x			x		x	x				x
United States Treasury Bills DTD 4/5/12	x								x		x		x		x	
JPMorgan Cash Deposit	x								x		x		x		x	
JPMorgan Prime Money Market Fund - Non-USD				x			x		x		x	x			x	
JPMorgan Tax Free Resv Sweep Fund				x			x		x		x	x			x	

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Part IIIA:
Publicly Traded Assets & Unearned Income Sources

Identity of Publicly Traded Assets
And Unearned Income Sources

	None (or less than \$1,000)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	Valuation of Assets					Type of Income	Type and Amount of Income	Amount of Income		
									Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other		
Consolidated Bank & Trust Certificate of Deposit			x							x					x			
Virginia Commerce Bank Certificate of Deposit			x							x				x				
Burke & Herbert Certificate of Deposit		x								x		x			x			
MGE, LLC Deutsche Tax-Free Money Fund		x									x		x					
LISA COLLIS (SPOUSE)																		
JPMorgan Checking	x												x			x		
JPMorgan Checking		x										x		x				
Individual Retirement Account Washington Mutual Investors Mutual Fund		x									x		x					
THE LDC BLIND TRUST (S Trust)																		
DB AB Inv Cash Trst Treasury Instl				x						x			x		x			
Virginia Commerce Bank Certificate of Deposit			x							x			x		x			
Consolidated Bank and Trust Certificate of Deposit			x							x		x			x			
Capital World Growth and Income Mutual Fund	x										x		x		x			
Washington Mutual Investors Mutual Fund	x										x		x		x			
Blackrock Equity Dividend Fund Inst			x							x		x			x			
Doubleline Core Fixed Income Fund			x								x			x		x		
MGE, LLC Deutsche Tax-Free Money Fund	x										x		x		x			
DEPENDENT CHILDREN ASSETS: IRREVOCABLE TRUSTS																		
JP Morgan Tax Free Money Market Premier Fund		x								x			x		x			
JPMorgan Tax Free Bond Fund Select Share Class Fund			x							x				x		x		
JPMorgan Mid Cap Value Fund	x										x		x		x			
Matthews Pacific Tiger Fund	x										x		x		x			
Ishares Russell Midcap Growth Index Fund	x										x		x		x			
JPMorgan US Large Cap Core Plus Fund Select		x									x			x		x		
JPMorgan High Yield Bond Fund	x										x			x		x		
JPM Strategic Income Opportunities Fund	x										x			x		x		
Manning & Napier Fund Inc		x									x		x		x			
SPDR S&P 500 ETF Trust	x										x			x		x		
Artisan International Value Fund- Inv	x										x		x		x			

Part IIIA:
Publicly Traded Assets & Unearned Income Sources

Identity of Publicly Traded Assets And Unearned Income Sources	Valuation of Assets	Type and Amount of Income					
		Dividends	Rent	Interest	Capital Gains	Exempted Investment Fund	Qualified Blnd Trust
Dodge & Cox International Stock	\$1,001 - \$15,000	x				x	
Vanguard Emerging Market ETF	\$15,001 - \$60,000					x	x
Eaton Vance Mutual Funds TR - Floating Rate I	\$50,001 - \$100,000	x				x	x
JPMorgan TR I - Tax Aware Real Return Fund Select Share Class Fund	\$100,001 - \$200,000					x	
Dreyfus/Laurel FDS TR- Pm Emerging Market I	\$250,001 - \$500,000					x	x
JPM Large Cap Growth Fund - Select	\$500,001 - \$1,000,000					x	x
Aberdeen Asia Pacific Ex Japan	Over \$1,000,000***					x	x
JPM Value Advantage						x	x
Vanguard MSCI Europe ETF						x	x
Doubleline Funds TR		x				x	x
DEPENDENT CHILDREN ASSETS: 1999 TRUST							
JP Morgan Tax Free Money Market Premier Class Sweep Fund		x				x	x
JPMorgan Tax Free Bond Fund		x				x	x
JPMorgan TR I - Tax Aware Real Return Fund Select Share Class Fund	x					x	x
Ishares Russell Midcap Growth Index Fund		x				x	x
JPMorgan Strategic Income	x					x	x
Gateway Fund- Y	x					x	x
JPMorgan US Real Estate Fund	x				x	x	
Matthews Pacific Tiger Fund	x				x	x	
SPDR Gold Trust	x				x	x	
Harbor High Yield Bond Fund- INS	x				x		x
Eaton Vance Floating Rate- I	x				x		x
Dreyfus/Laurel FDS TR	x				x		x
The Arbitrage Funds-I CLI	x				x	x	
Eaton Vance Mut FDS TR Global Macro Absolute Return I	x				x	x	
Highbridge Dynamic Commodities	x				x	x	
JPM Large Cap Growth Fd - Sel	x				x	x	
Primecap Odyssey Funds	x				x		x
SPDR S&P 500 ETF Trust	x				x		x
Artisan Intl Value Fund - INV	x				x	x	
MFS Intl Value - I	x				x		x
							Over \$5,000,000
							Over \$5,000,000

Part IIIB.

Non-Publicly Traded Assets & Unearned Income Sources

Identity of Non-Publicly Traded Assets
And Unearned Income Sources

	None (or less than \$1,001)	Valuation of Assets				Type and Amount of Income				Amount of Income			
		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	Over \$100,000***	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Exempted Trust	Qualified Blind Trust	Other
THE MRW BLIND TRUST			x				x				x		
Loan: Murray M. Bonitt, Alexandria, VA												x	
Ramsey BPI Investors, LLC, McLean, VA, Investment fund Best Practices, Inc., Fairfax, VA	x		x				x	x			x		
'Ramsey Long Biased Fund, LP, McLean, VA, Investment fund			x				x				x		
Pointer (QP), L.P.,Fund of Funds, Chattanooga, TN	x							x			x		x
Steel Partners II, L.P., New York, NY, Investment fund	x						x	x				x	
X-10 Capital Partners (QP), LP, Alexandria, VA, Investment fund				x			x			x		x	
Hound Partners 3(c)7 LP, New York, NY, Investment fund					x		x					x	
Keel Point - EJF Distressed Fund II, LLC, Arlington, VA, Investment fund					x		x			x		x	
EJF Select Fund, LP, Alexandria, VA, Investment fund					x		x	x	x			x	
Salzman Onshore, L.P., New York, NY, Investment fund					x			x				x	
Sire Global Partners, LP, Fund of Funds, New York, NY	x				x			x				x	
Apollo Value Investment Fund, L.P, New York, NY				x			x			x		x	
Apollo Value Investment Fund, L.P Class S Series Federal Broach, New York, NY		x					x			x			
Apollo Value Investment Fund, L.P Class S Series Bradco, New York, NY			x				x			x			
Apollo VII Private Investors, New York, NY, Investment Fund					x			x		x			x
Apollo EPF Private Investors, LLC, New York, NY, Investment Fund				x				x		x			x
AESSF II Private Investors LLC, New York, NY, Investment Fund					x			x		x		x	
Coatue Qualified Partners LP Cl D, New York, NY Investment Fund					x			x		x			x
Highbridge Capital L.P., New York, NY, Investment Fund	x				x			x		x			x
Highbridge Multi-Strategy Holdings, L.P., New York, NY, Investment Fund			x					x		x		x	
Highbridge Quantitative Commodities, , New York, NY, Investment Fund	x			x				x		x		x	
³ 1225 King Street Associates, LLC, Commercial Real Estate Investment, Alexandria, VA 1225 King Street, Alexandria, VA, Real Estate 1227 King Street, Alexandria, VA, Real Estate 1229 King Street, Alexandria, VA, Real Estate				x			x	x			x		
Woodlee Terrace Apartments L/P, Fairfax, VA, Investment Company Apartment Complex, Woodbridge, VA		x					x			x		x	
⁴ Capital Cellular Corporation, Alexandria, VA, Investment company MSA Investments, LP, Alexandria, VA Topeka KS, LP - Topeka, KS, Investment company Minority interest in Topeka, KS RSA Cellular License Petersburg, WV, LP - Little Rock, AR, WV, Investment company Minority interest in Petersburg, WV RSA Cellular License			x				x	x				x	
⁵ Columbia Capital LLC, Alexandria, VA, Management Company		x					x			x		x	
⁶ Columbia Capital III, LLC, Alexandria, VA, Management Company	x						x	x			x		

Part IIIB. Non-Publicly Traded Assets & Unearned Income Sources	Identity of Non-Publicly Traded Assets And Unearned Income Sources	Valuation of Assets	Type and Amount of Income					
			Type of Income			Amount of Income		
			Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust
DubmeNow, Inc., Vienna, VA, Software Management		\$1,001 - \$15,000						
Private Investments Liquidating Trust, New York, NY, Investment Company		\$15,001 - \$50,000	x					
Mid-Ocean III Private Investors LLC, New York, NY, Investment Company		\$50,001 - \$100,000					x	
CCMP Capital Investors II, LLC, New York, NY, Investment Company		\$100,001 - \$250,000				x		
AP Europe VII, LP, New York, NY, Investment Company		\$250,001 - \$500,000***				x		x
'Bear Creek Investors LLC, Alexandria, VA, Investment Fund Charter Communications Inc., St. Louis, MO, Telecommunications Millennial Media, Baltimore, MD, Mobile Advertising company		Over \$1,000,000***				x		x
Definitive Guardian Partners, LP, Radnor, PA, Investment Fund				x		x		x
Alexandria RC Investors LLC, Alexandria, VA, Investment Fund Riderway Inc., Alexandria, VA, Software company			x				x	
Mphoria Holdings, Inc., Huntersville, NC, Investment Fund Sweet Relish, Huntersville, NC, Social Commerce Platform			x				x	
TZG-Sachs Empire LLC, Rockville, MD, Investment Fund EMSG LLC, Rockville, MD, Secured Debt			x			x		x
WDE Partners, L.P., New York, NY, Investment Fund West Deptford Energy Holdings, LLC, New York, NY, Energy company			x		x			x
Kayne Anderson MLP Fund, LP, Los Angeles, CA, Investment Fund				x		x		x
Equinox Partners, LP, New York, NY, Investment Fund				x		x		x
MS HP Millennium Str Cap LP, New York, NY, Investment Fund				x		x		x
Golden Mean Investment Fund, LP, Alexandria, VA, Investment Company Tucker Anthony Private Equity Fund II, L.P., Boston, MA, Investment Company Tucker Anthony Private Equity Fund III, L.P., Boston, MA, Investment Company		x		x	x x x			x
'SunTx Fulcrum Fund, L.P., Dallas, TX, Investment company Park Group, Inc., Dallas, TX, Insurance company			x			x		x
Interface Security Holdings, Inc., Earth City, MO, Security systems company Construction Partners, Inc., Dolthan, AL, Construction company SunTx Interface, LP, Dallas, TX, Investment company		x		x		x		x
Interface Security Holdings, Inc., Earth City, MO, Security systems company SunTx NBIS Holdings, LP, Dallas, TX, Investment Company NationsBuilders Insurance Services, Inc., Atlanta, GA, Insurance Company			x		x			
SunTx Fulcrum Fund II-SBIC, LP, Dallas, TX, Investment company Nouveau Eyewear, Inc., Dallas, TX, Eyewear				x				
Base4 Group, Dallas, TX, Wholesale CBI Laboratories, Inc., Ft. Worth, TX, Beauty and Skincare products		x		x				
Interface Security Holdings, Inc., Earth City, MO, Security systems company Huron, Inc., Lexington, MI, Machine products			x		x			
London Broadcasting Company, Dallas, TX, Broadcasting JC Flowers II, LP, New York, NY, Investment Company			x		x	x		x
SLTT Energy, Inc., Leesburg, VA, Propane delivery company TireVan Corporation, Sterling, VA, Tire installation		x	x			x		x
Kinderhook Partners, LP, Fort Lee, NJ, Investment company		x		x		x		x
'Jefferson Capital Partners I, LP, Richmond, VA, Investment Company	x				x x			x
Jefferson Capital Partners II, LP, Richmond, VA, Investment Company Multi-Packaging Solutions, New York, NY, Packaging Services FirstStreet, Inc., Colonial Heights, VA, Consumer products			x		x x			x
JBG/Fund VI Qualified Investor, LLC, Chevy Chase, MD, Real Estate Fund			x		x	x		x

Part III B.

Non-Publicly Traded Assets & Unearned Income Sources

Identity of Non-Publicly Traded Assets
And Unearned Income Sources

	None (or less than \$1,001)	Valuation of Assets										Type and Amount of Income																			
		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	Over \$25,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000		
JBG/Fund VII Qualified Investor, LLC, Chevy Chase, MD, Real Estate Fund								X						X								X									
¹ Women's Growth Capital Fund I, LP, Washington, DC, Investment Company	X													X															X		
¹ Echo Bridge Realty Fund I, L.P., Wellesley Hills, MA, Investment Company HCEB Legacy Heights, LLC, Federal Heights, CO, Real Estate Hawthorne EB North Rivers, LLC, North Charleston, SC, Real Estate The Gardens at Maplewood, LLC, Maplewood, NJ, Real Estate			X				X							X									X								
Edge Park & Coast Coinvest, LP, New York, NY, Investment Company Park & Coast, LLC, Brooklyn, NY, Real Estate							X							X									X	X	X						
Edge Principal Investments II, LP, New York, NY, Investment Company							X																X	X	X						
Riverstone Global Energy and Power Private Investors V, LLC, New York, NY, Investment Company							X																						X		
MGE, LLC, Alexandria, VA, Investment Company ³ Egg Factory L.L.C., Roanoke, VA, Investment holding company eVision, Roanoke, VA, Optical Solutions PixelOptics, Inc., Roanoke, VA Optical Solutions Elenza, Inc., Roanoke, VA Optical Solutions High Performance Optics, Roanoke, VA Optical Solutions Encore Vision Inc., Roanoke, VA Tissue restoration technology WF Systems, LLC, Roanoke, VA, wavefront technology Vision Jeannie, LLC, Refine Focus, Roanoke, VA, Optical Solutions							X								X	X							X								
Columbia Capital Investors III, Alexandria, VA, Investment Company							X																X							X	
¹ NeuroVentures Fund LP, Charlottesville, VA, Investment Company Acumen Pharmaceuticals, Inc., South San Francisco, CA, Drug discovery company BrainCells Inc., San Diego, CA, Drug & Development company Concentric Medical, Inc., Mountain View, CA, Medical company NanoMed Systems, Inc., Houston, TX, Medical company NeurAxon, Inc., Mississauga, ON, Drug development company SpineWave, Inc., Shelton, CT, Therapy Company Mevion Medical System (Stil River Systems, Inc.), Littleton MA, Therapy Company			X																					X							
¹ NeuroVentures Capital LLC, Charlottesville, VA, Investment Company			X												X	X								X							
Ramsey BPI Investors LLC, McLean, VA, Investment fund Best Practices Inc., Fairfax, VA	X																	X	X											X	
¹ Ramsey Long Biased Fund, LP, McLean, VA, Investment fund									X										X											X	
Point Judith Venture Fund LP, Providence, RI, Investment company Antenna Software, Inc., Jersey City, NJ, Software Company GetWell Network, Inc., Bethesda, MD, Interactive patient care MedOptions, Inc., Old Saybrook, CT, Medical Care services Narragansett Brewing Company, Providence, RI, Brewer							X								X	X													X		
Alexandria NBIS Investors LLC, Alexandria, VA, Investment company SunTX NBIS Holdings, L.P., Dallas, TX, Investment company NationsBuilders Insurance Services, Inc., Atlanta, GA, Insurance							X											X					X								
¹ Point Judith Venture Fund II LP, Providence, RI, Investment Company Antenna Software, Inc., Jersey City, NJ, Software Company Earth Aid, Inc., Worcester, MA, Clean Technology Company Envista Corporation, Beverly, MA, Web Services FSA Store, Inc., New York, NY, Internet company Ignighter, Inc., New York, NY, Internet company NABsys, Inc., Providence, RI, Medical Technologies Nexamp, Inc., North Andover, MA, Clean Energy Solutions company ParElastic, Inc., Boston, MA, Internet company PowerAssure, Santa Clara, CA, Software							X								X														X		

Part III B.

Non-Publicly Traded Assets & Unearned Income Sources

Identity of Non-Publicly Traded Assets
And Unearned Income Sources

	None (or less than \$1,000)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	Valuation of Assets						Type and Amount of Income								
									Type of Income						Amount of Income								
									Dividends	Rent	Interest	Capital Gains	Exempted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	None (or less than \$200)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000
Nexamp, Inc., North Andover, MA, Clean Energy Solutions company																							
ParElastic, Inc., Boston, MA, Internet company		x		x																			
PowerAssure, Santa Clara, CA, Software		x																					
Pulse Point Inc., New York, NY, Internet company	x																						
Retroficiency Inc., Boston, MA, Clean Technology company		x																					
Sittercity, Inc., Chicago, IL, Internet company			x																				
Spotlight Ticket Management, Woodland Hills, CA, Ticket Management		x																					
Riderway, Inc. Alexandria, VA, Software Company				x														x					
Alexandria RC Investors, LLC., Alexandria, VA, Software company					x											x			x				
Riderway Inc., Alexandria, VA, Software company						x											x				x		
Point Judith Venture Fund III LP, Providence, RI, Investment Company		x														x			x				
AppTegic Inc., Bedford, MA, Software Company	x																				x		
Kitsy Lane, Inc., Maynard, MA, Internet based social selling platform	x																						
Mass Mutual Insurance Policy				x					x									x					
Sun Life Financial Insurance Policy			x														x						
Minnesota Mutual Life Insurance Policy					x				x								x				x		
Columbia Partners Business Trust, Alexandria, VA, Investment company					x											x		x					
Columbia Capital Investors, LLC, Alexandria, VA, Investment company																							

Part IV

Transactions

Identification of Assets

	NOTES	Transaction		Transaction		Amount of Transaction				
		Type		Date						
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000
Mark R. Warner										
Capital World Growth and Income Fund		X		3/19/2012		X				
Capital World Growth and Income Fund		X		6/18/2012		X				
Capital World Growth and Income Fund		X		9/24/2012		X				
Capital World Growth and Income Fund		X		12/17/2012		X				
THE MRW BLIND TRUST										
SPDR Gold TR Gold		X		1/11/2012					X	
Dodge & Cox International Fund		X		2/9/2012					X	
Wells Fargo Advantage Emerging Markets Equity Fund Class A		X		2/9/2012					X	
Capital World Growth and Income Fund		X		3/19/2012		X				
Blackrock Equity Dividend Fund Inst Class		X		4/20/2012		X				
Manning & Napier Fund Inc Equity SRS Fund			X	5/23/2012					X	
SPDR Gold TR Gold			X	5/23/2012					X	
Wells Fargo Advantage Emerging Markets Equity Fund Class A			X	5/23/2012					X	
Longleaf Partners Fund			X	5/29/2012					X	
Capital World Growth and Income Fund			X	6/1/2012					X	
Dodge & Cox International Fund			X	6/1/2012					X	
Blackrock Equity Dividend Fund Inst Class			X	7/23/2012		X				
First Eagle Overseas Fund Class I			X	8/8/2012					X	
TCW Emerging Markets Income Fund Class I			X	8/8/2012					X	
Templeton Global Bond Fund Class A			X	8/8/2012					X	
Templeton Global Bond Fund Class A			X	8/17/2012		X				
TCW Emerging Markets Income Fund Class I			X	9/5/2012		X				
First Eagle Overseas Fund Class I			X	9/10/2012					X	
TCW Emerging Markets Income Fund Class I			X	9/10/2012					X	
Templeton Global Bond Fund Class A			X	9/10/2012					X	
Vanguard International Equity Index Funds MSCI Emerging Markets			X	9/10/2012					X	
SPDR S&P 500 ETF TR Unit			X	9/10/2012					X	
Templeton Global Bond Fund Class A			X	9/18/2012		X				
TCW Emerging Markets Income Fund Class I			X	10/2/2012		X				
Templeton Global Bond Fund Class A			X	10/16/2012		X				
Blackrock Equity Dividend Fund Inst Class			X	10/19/2012		X				
TCW Emerging Markets Income Fund Class I			X	11/5/2012		X				
SPDR S&P 500 ETF TR Unit			X	11/6/2012					X	
Templeton Global Bond Fund Class A			X	11/16/2012		X				
First Eagle Overseas Fund Class I			X	12/3/2012					X	
TCW Emerging Markets Income Fund Class I			X	12/3/2012					X	
TCW Emerging Markets Income Fund Class I			X	12/4/2012		X				

Part IV

Transactions

Identification of Assets

	Transaction		Amount of Transaction
	Type	Date	
Oppenheimer Developing Markets Fund Class Y	X	12/5/2012	\$1,001 - \$15,000
Oppenheimer Developing Markets Fund Class Y	X	12/10/2012	\$15,001 - \$50,000
Blackrock Equity Dividend Fund Inst Class	X	12/13/2012	\$50,001 - \$100,000
Blackrock Equity Dividend Fund Inst Class	X	12/13/2012	\$100,001 - \$250,000
First Eagle Overseas Fund Class I	X	12/13/2012	\$250,001 - \$500,000
First Eagle Overseas Fund Class I	X	12/17/2012	X
First Eagle Overseas Fund Class I	X	12/17/2012	X
First Eagle Overseas Fund Class I	X	12/17/2012	X
RS Global Natural Resources Fund Class A	X	12/17/2012	X
Templeton Global Bond Fund Class A	X	12/18/2012	X
Templeton Global Bond Fund Class A	X	12/18/2012	X
Templeton Global Bond Fund Class A	X	12/18/2012	X
Templeton Global Bond Fund Advisor Class	X	12/18/2012	X
Templeton Global Bond Fund Advisor Class	X	12/18/2012	X
Templeton Global Bond Fund Advisor Class	X	12/18/2012	X
Van Eck Global Hard Assets Fund Class A	X	12/26/2012	X
Van Eck Global Hard Assets Fund Class A	X	12/26/2012	X
SPDR S&P 500 ETF TR Unit Short Sale	X	6/1/2012	X
SPDR S&P 500 ETF TR Unit Cover Short	X	8/6/2012	X
JPM Strategic Income Opportunities Fund	X	1/3/2012	X
JPM Strategic Income Opportunities Fund	X	1/5/2012	X
JPM High Yield Fund - Sel	X	1/6/2012	X
JPM Strategic Income Opportunities Fund	X	1/11/2012	X
JPM High Yield Fund - Sel	X	1/30/2012	X
JPM Strategic Income Opportunities Fund	X	2/1/2012	X
JPM Strategic Income Opportunities Fund	X	2/16/2012	X
JPM Strategic Income Opportunities Fund	X	3/1/2012	X
JPM Strategic Income Opportunities Fund	X	3/5/2012	X
JPM Strategic Income Opportunities Fund	X	4/2/2012	X
JPM Strategic Income Opportunities Fund	X	5/1/2012	X
JPM High Yield Fund - Sel	X	5/23/2012	X
JPM Asia Equity Fd- Sel	X	5/23/2012	X
JPM Strategic Income Opportunities Fund	X	6/1/2012	X
JPM Strategic Income Opportunities Fund	X	7/2/2012	X
JPM Strategic Income Opportunities Fund	X	8/1/2012	X
JPM Strategic Income Opportunities Fund	X	9/4/2012	X
JPM Strategic Income Opportunities Fund	X	10/1/2012	X
JPM Strategic Income Opportunities Fund	X	11/1/2012	X
JPM Strategic Income Opportunities Fund	X	12/3/2012	X
JPM Strategic Income Opportunities Fund	X	12/14/2012	

Part IV

Transactions

Identification of Assets

	NOTES	Purchase	Sale	Exchange	Transaction	Transaction	Amount of Transaction		
					Type	Date			
HB Multi-Strategy Holdings LP (Highbridge Capital LP)			X		\$1,001 - \$15,000				
Highbridge Capital LP			X		\$15,001 - \$50,000				
Steel Partners Holdings LP Ltd (Steel Partners II, LP)			X		\$50,001 - \$100,000				
Highbridge Capital LP			X		\$100,001 - \$250,000				
HB Multi-Strategy Holdings LP (Highbridge Capital LP)			X		\$250,001 - \$500,000				
Riverstone Global Energy and Power Private Investors V, LLC (Commitment)		X			\$500,001 - \$1,000,000				
Highbridge Quantitative Commodities Fund, LP			X						
JPM Strategic Income Opportunities Fund			X						
JPM High Yield Fund - Sel			X						
Oppenheimer Developing Markets Fund			X						
JPM Strategic Income Opportunities Fund			X						
JPM High Yield Fund - Sel			X						
HB Multi-Strategy Holdings LP			X						
HB Multi-Strategy Holdings LP			X						
Oppenheimer Developing Markets Fund			X						
HB Multi-Strategy Holdings LP (Highbridge Capital LP)			X						
Highbridge Capital LP			X						
Highbridge Capital LP			X						
Chesterfield Cnty VA Pub Impt 3.50% Due 1/1/12			X		1/3/2012				
Massachusetts St Health & Edl Rev Bds 2.500% 11/01/2049		X			1/4/2012				
Hampton VA Rfdg & Pub Impt 4.25% Due 1/15/12			X		1/17/2012				
Texas Wtr Dev Brd Rev Var Rfdg St Revolv Sub Lien A Dtd 05/01/07 FLTG RT 07/15/2			X		1/17/2012				
Texas Wtr Dev Brd Rev Var Rfdg St Revolv Sub Lien A Dtd 05/01/07 FLTG RT 07/15/2			X		1/23/2012				
Massachusetts St Health & Edl Rev Bds 2.500% 11/01/2049			X		1/23/2012				
Henrico Cnty VA Pub Impt 4.00% Due 5/1/12			X		1/23/2012				
Virginia St Ser B 5.0% Due 6/1/12			X		2/21/2012				
Virginia St Res Auth Infrastructure Rev VA Pooled Fing Ser B 4.00% Due 11/1/15			X		2/21/2012				
Virginia St Res Auth Clean Wtr Rev Rfdg Ser A 4.00% 10/1/14			X		2/21/2012				
Virginia St Res Auth Infrastructure Rev State Moral -VA Pooled - Ser B 4.00% Due 11/1/14			X		2/21/2012				
Virginia St Pub School Auth Rfdg School Fing Ser C 4.00% Due 8/1/13			X		2/21/2012				
Virginia St Pub Bldg Auth Pub Facs 5.00% 8/1/12			X		2/21/2012				
Virginia St 4.00% 6/1/12			X		2/21/2012				
University VA Univ Revenues Rfdg Gen 5.00% 9/1/17			X		2/21/2012				
Tobacco Settlement Fing Corp NY Asset Bkd Ser A 5.00% 6/1/10			X		2/21/2012				
Tarrant Cnty Tex Cultural Ed Facs Fin Corp Hosp Rev Baylor Health Care Ser A 5.00% 11/15/14			X		2/21/2012				
Portsmouth VA Rfdg 5.00% 7/15/17			X		2/21/2012				
New York St Dorm Auth Revs St Supported Debt City Univ Cons 5th Gen Res B 5.00% 7/1/14			X		2/21/2012				
Metropolitan Washington DC Arpt Auth Sys Ser A 4.0% 10/1/15			X		2/21/2012				
Henrico Cnty VA Pub Impt 4.00% Due 5/1/12			X		2/21/2012				
Charlottesville VA Pub Impt 5.00% 6/15/16			X		2/21/2012				

Part IV

Transactions

Identification of Assets

	NOTES	Transaction		Transaction		Amount of Transaction	
		Type	Date	Type	Date		
Arlington Cnty VA Rfdg Ser C 4.0% 8/15/16		Purchase	\$1,001 - \$15,000	Sale	\$15,001 - \$50,000	\$100,001 - \$250,000	\$250,001 - \$500,000
Arlington Cnty VA Pub Impt Ser A 3.00% 8/15/13						X	
Alexandria VA Ser A 5.00% Due 7/15/15						X	
Artio Global High Income Fund						X	
Goldman Sachs Local Emerging Markets Debt Fund Class A	X		1/4/2012			X	
Templeton Global Bond Fund Class A	X		1/19/2012			X	
Templeton Global Bond Fund Class A	X		1/19/2012			X	
Goldman Sachs Local Emerging Markets Debt Fund Class A	X		2/2/2012			X	
Doubleline Total Return Bond Fund Class I	X		2/9/2012				X
Templeton Global Bond Fund Class A	X		2/17/2012			X	
Templeton Global Bond Fund Class A	X		2/17/2012			X	
Put 32 Yandex N V				X	2/18/2012		X
Put 33 Yandex N V				X	2/18/2012		X
Put 34 Yandex N V				X	2/18/2012		X
Yandex N V Shares Class A				X	2/21/2012		
Yandex N V Shares Class A				X	2/21/2012		X
Yandex N V Shares Class A				X	2/21/2012		
Yandex N V Shares Class A				X	3/2/2012		X
Doubleline Total Return Bond Fund Class I				X	3/2/2012		
Goldman Sachs Local Emerging Markets Debt Fund Class A				X	3/2/2012		X
Doubleline Total Return Bond Fund Class I				X	3/14/2012		
Templeton Global Bond Fund Class A				X	3/19/2012		X
Templeton Global Bond Fund Class A				X	3/19/2012		
Pimco Commodity Real Return Strategy Fund A				X	3/23/2012		X
Doubleline Total Return Bond Fund Class I				X	4/3/2012		X
Goldman Sachs Local Emerging Markets Debt Fund Class A				X	4/3/2012		
Pimco Commodity Real Return Strategy Fund A				X	4/10/2012		X
Goldman Sachs Local Emerging Markets Debt Fund Class A				X	4/11/2012		X
TCW Emerging Markets Income Fund Class I				X	4/12/2012		X
Templeton Global Bond Fund Class A				X	4/18/2012		
Templeton Global Bond Fund Class A				X	4/18/2012		X
Doubleline Total Return Bond Fund Class I				X	5/2/2012		X
Goldman Sachs Local Emerging Markets Debt Fund Class A				X	5/2/2012		
TCW Emerging Markets Income Fund Class I				X	5/2/2012		X
Templeton Global Bond Fund Class A				X	5/17/2012		
Templeton Global Bond Fund Class A				X	5/17/2012		X
Goldman Sachs Local Emerging Markets Debt Fund Class A				X	5/23/2012		
TCW Emerging Markets Income Fund Class I				X	5/23/2012		X
Templeton Global Bond Fund Class A				X	5/23/2012		
Doubleline Total Return Bond Fund Class I				X	6/4/2012		X

Identification of Assets	Transaction			Amount of Transaction
	Type	Date		
	Purchase	Sale	Exchange	
Doubleline Total Return Bond Fund Class I	X	7/2/2012	\$1,001 - \$15,000	\$1,000,001 - \$5,000,000
Doubleline Total Return Bond Fund Class I	X	7/3/2012	\$15,001 - \$50,000	X
Doubleline Total Return Bond Fund Class I	X	7/6/2012	\$50,001 - \$100,000	X
Doubleline Total Return Bond Fund Class I	X	7/10/2012	\$100,001 - \$250,000	X
Doubleline Total Return Bond Fund Class I	X	7/13/2012	\$250,001 - \$500,000	X
Doubleline Core Fixed Income Fund Class I	X	7/13/2012	\$500,001 - \$1,000,000	\$5,000,001 - \$25,000,000
Doubleline Total Return Bond Fund Class I	X	8/2/2012	X	\$25,000,001 - \$50,000,000
Doubleline Core Fixed Income Fund Class I	X	8/2/2012	X	Over \$50,000,000
Doubleline Total Return Bond Fund Class I	X	9/5/2012	X	
Doubleline Core Fixed Income Fund Class I	X	9/5/2012	X	
Doubleline Total Return Bond Fund Class I	X	10/2/2012	X	
Doubleline Core Fixed Income Fund Class I	X	10/2/2012	X	
Doubleline Total Return Bond Fund Class I	X	11/2/2012	X	
Doubleline Core Fixed Income Fund Class I	X	11/2/2012	X	
Doubleline Total Return Bond Fund Class I	X	12/4/2012	X	
Doubleline Core Fixed Income Fund Class I	X	12/4/2012	X	
Doubleline Core Fixed Income Fund Class I	X	12/7/2012	X	
MRW Biotech Investors LLC	X	1/18/2012	X	
Sire Global Partners, LP	X	12/31/2012		X
Edge Park & Coast Coinvest, LP	X	1/24/2012		X
Brown Advisory Small-Cap Growth	X	1/31/2012	X	
Mphoria Holdings	X	2/17/2012	X	
Echo Bridge Realty Fund I, L.P..	X	3/27/2012	X	
TZG-Sachs Empire	X	4/5/2012	X	
The Golden Mean Investment Fund, LP	X	4/5/2012	X	
TZG-Sachs Empire	X	4/16/2012	X	
Jefferson Capital Partners I	X	5/3/2012	X	
DubmeNow, Inc.	X	5/10/2012	X	
Bear Creek Investors LLC	X	5/16/2012	X	
DubmeNow, Inc.	X	5/22/2012	X	
Definitive Guardian Partners, LP	X	7/3/2012		X
Edge Principal Investments II, LP	X	7/6/2012	X	
Keel Point - EJF Distressed Fund II, LLC	X	7/31/2012		X
Definitive Guardian Partners, LP	X	7/31/2012		X
Ramsey BPI Investors LLC	X	8/10/2012	X	
EJF Select Fund, LP	X	9/28/2012	X	
MRW Biotech Investors LLC	X	11/2/2012	X	
Bear Creek Investors LLC	X	11/1/2012	X	
X-10 Capital Partners (QP), LP	X	11/30/2012		X
Alexandria RC Investors, LLC.	X	12/20/2012	X	

Part IV

Transactions

Identification of Assets

	NOTES	Transaction		Transaction		Amount of Transaction			
		Type	Date						
		Purchase	Sale	Exchange					
CMS Multifamily Investment Fund II-Q, LP		X	3/16/2012		\$1,001 - \$15,000	X			
CMS Multifamily Investment Fund II-Q, LP		X	12/28/2012		\$15,001 - \$50,000	X			
Hound Partners 3(c)7 LP		X	12/31/2012		\$50,001 - \$100,000			X	
Pointer (QP), L.P.		X	12/31/2012		\$100,001 - \$250,000				X
Handy & Harman Group LTD Sub Sec 10/10 (Steel Partners II, L.P.)		X	2/21/2012		\$250,001 - \$500,000	X			
					\$500,001 - \$1,000,000				
					Over \$1,000,000***				
					\$1,000,001 - \$5,000,000				
					\$5,000,001 - \$25,000,000				
					\$25,000,001 - \$50,000,000				
					Over \$50,000,000				
THE LDC TRUST									
Capital World Growth and Income Fund		X	3/19/2012						
Washington Mutual Investors Mutual Fund		X	3/26/2012		X				
Blackrock Equity Dividend Fund Inst		X	6/1/2012				X		
Capital World Growth and Income Fund		X	6/1/2012				X		
Longleaf Partners Mutual Fund		X	6/1/2012			X			
Washington Mutual Investors Mutual Fund		X	6/1/2012					X	
Blackrock Equity Dividend Fund Inst		X	7/23/2012		X				
Blackrock Equity Dividend Fund Inst		X	10/19/2012		X				
Doubleline Core Fixed Income Fund		X	11/27/2012				X		
Doubleline Core Fixed Income Fund		X	12/4/2012						
Doubleline Core Fixed Income Fund		X	12/7/2012		X				
Blackrock Equity Dividend Fund Inst		X	12/13/2012		X				
Blackrock Equity Dividend Fund Inst		X	12/13/2012						
MGE LLC									
Ramsey BPI Investors LLC		X	8/13/2012		X				
Alexandria RC Investors, LLC.		X	12/21/2012				X		
DEPENDENT CHILDREN ASSETS: IRREVOCABLE TRUSTS									
JPM Strategic Income Opportunities Fund		X	1/17/2012						
JPM Asia Equity Fd- Sel		X	1/17/2012						
Doubleline Total Return Bond Fund Class I		X	1/17/2012						
Artio Intl Equity Fund II Cl I		X	1/17/2012						
Vanguard MSCI Emg Mkts		X	1/17/2012						
JPM Large Cap Growth Fd		X	2/22/2012						
JPM US Large Cap Core Plus FD- Sel		X	2/22/2012		X				
Nuveen NWQ Value Opportunities		X	2/22/2012						
Artisan Intl Value Fd - INV		X	2/22/2012						
iShares Russell Midcap Index Fd		X	2/22/2012						
JPM High Yield Fund - Sel		X	3/21/2012						
JPM Tax Free Bond Fd - Sel		X	3/21/2012		X				
JPM Strategic Income Opportunities Fund		X	3/21/2012						

Identification of Assets	Transaction			Amount of Transaction		
	Type	Date				
	Purchase	Sale	Exchange			
JPM Value Advantage	X	3/21/2012	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000
JPM US Large Cap Core Plus FD- Sel	X	3/21/2012				
JPM Asia Equity Fd- Sel	X	3/21/2012				
Manning & Napier Equity Series	X	3/21/2012				
Artio Intl Equity Fund II Cl I	X	3/21/2012				
iShares Russell Midcap Index Fd	X	3/21/2012				
Nuveen NWQ Value Opportunities	X	3/22/2012	X			
Doubleline Total Return Bond Fund Class I	X	6/7/2012				
Artisan Intl Value Fd - INV	X	6/7/2012				
JPM High Yield Fund - Sel	X	6/7/2012				
JPM TR I Tax Aware Real Return Fund	X	6/7/2012				
SPDR S&P 500 ETF Trust	X	6/7/2012				
Aberdeen Asia-Pac ex-Jpn Eqy-Instl	X	6/21/2012				
JPM Asia Equity Fd- Sel	X	6/21/2012				
JPM US Large Cap Core Plus FD- Sel	X	8/7/2012				
Artio Intl Equity Fund II Cl I	X	8/7/2012				
Vanguard MSCI Europe ETF	X	9/20/2012				
Dodge & Cox Intl Stock Fd	X	11/2/2012				
Vanguard MSCI Emg Mkts	X	11/2/2012				
JPM Strategic Income Opportunities Fund	X	1/17/2012	X			
Doubleline Total Return Bond Fund Class I	X	1/17/2012	X			
JPM Tax Free Bond Fd - Sel	X	3/21/2012	X			
JPM Value Advantage	X	3/21/2012	X			
JPM US Large Cap Core Plus FD- Sel	X	3/21/2012	X			
JPM Mid Cap Value Fund - Sel	X	3/21/2012				
Manning & Napier Equity Series	X	3/21/2012	X			
Artio Intl Equity Fund II Cl I	X	3/21/2012				
iShares Russell Midcap Index Fd	X	3/21/2012				
Nuveen NWQ Value Opportunities	X	3/22/2012	X			
Doubleline Total Return Bond Fund Class I	X	6/7/2012	X			
Artisan Intl Value Fd - INV	X	6/7/2012	X			
JPM High Yield Fund - Sel	X	6/7/2012	X			
JPM TR I Tax Aware Real Return Fund	X	6/7/2012	X			
SPDR S&P 500 ETF Trust	X	6/7/2012	X			
Aberdeen Asia-Pac ex-Jpn Eqy-Instl	X	6/21/2012	X			
JPM Asia Equity Fd- Sel	X	6/21/2012	X			
Artisan Intl Value Fd - INV	X	8/7/2012				
Artio Intl Equity Fund II Cl I	X	8/7/2012	X			
Vanguard MSCI Europe ETF	X	9/20/2012	X			
Dodge & Cox Intl Stock Fd	X	11/2/2012	X			

Identification of Assets	Transaction		Transaction	Amount of Transaction		
	Type	Date				
Vanguard MSCI Emg Mkts	X	11/2/2012	\$1,001 - \$15,000			\$1,000,001 - \$5,000,000
JPM Strategic Income Opportunities Fund	X	1/17/2012	\$15,001 - \$50,000			\$5,000,001 - \$25,000,000
JPM TR I Tax Aware Real Return Fund	X	1/17/2012	\$50,001 - \$100,000			
Doubleline Total Return Bond Fund Class I	X	1/17/2012	\$100,001 - \$250,000			
JPM Tax Free Bond Fd - Sel	X	3/21/2012	\$250,001 - \$500,000			
JPM Value Advantage	X	3/21/2012	\$500,001 - \$1,000,000			Over \$1,000,000***
JPM US Large Cap Core Plus FD- Sel	X	3/21/2012				
JPM Mid Cap Value Fund - Sel	X	3/21/2012				
Manning & Napier Equity Series	X	3/21/2012				
Artio Intl Equity Fund II Cl I	X	3/21/2012				
iShares Russell Midcap Index Fd	X	3/21/2012				
Nuveen NWQ Value Opportunities	X	3/22/2012				
Artisan Intl Value Fd - INV	X	6/7/2012				
Doubleline Total Return Bond Fund Class I	X	6/7/2012				
JPM High Yield Fund - Sel	X	6/7/2012				
JPM TR I Tax Aware Real Return Fund	X	6/7/2012				
SPDR S&P 500 ETF Trust	X	6/7/2012				
Matthews Pacific Tiger Institutional Fund	X	6/21/2012				
Aberdeen Asia-Pac ex-Jpn Eqy-Instl	X	6/21/2012				
JPM Asia Equity Fd- Sel	X	6/21/2012				
Artisan Intl Value Fd - INV	X	8/7/2012				
Artio Intl Equity Fund II Cl I	X	8/7/2012				
Vanguard MSCI Europe ETF	X	9/20/2012				
Dodge & Cox Intl Stock Fd	X	11/2/2012				
Vanguard MSCI Emg Mkts	X	11/2/2012				
DEPENDENT CHILDREN ASSETS: 1999 TRUST						
Eaton Vance Mutual Funds TR Float Rate CL I	X	1/17/2012				
Doubleline FDS TR	X	1/17/2012				
Ridgeworth Funds	X	1/17/2012				
JPM Equity Income Fund - Sel	X	3/22/2012				
Nuveen NWQ Value Opportunities Fund	X	3/22/2012				
Doubleline FDS TR	X	3/22/2012				
GS BREN SPX 05/15/13 10% Buffer-2 XLEV- 6.52% CAP 13.04%	X	4/27/2012				
BARC REN SPX 05/02/12 2 XLEV 8.75% CAP	X	5/2/2012				
HSBC Delta One MSCI World TR 6/14/13	X	5/25/2012				
BNP Delta One MSCI World TR 6/14/13	X	5/25/2012				
UBS Cont Buff EQ SPX 06/12/13	X	5/25/2012				
JPM Short Duration Bond FD- Sel	X	5/30/2012				
JPM International Currency Income Fund	X	5/31/2012				

Part IV Transactions Identification of Assets	Transaction			Amount of Transaction
	Type	Date		
SG Cont Buff EQ SPX 05/31/12 80% Contin Barrier 2.7% CPN	X	5/31/2012	\$1,001 - \$15,000	\$1,000,001 - \$5,000,000
BNP BREN EAFE 6/19/13	X	6/1/2012	X	
BARC CBEN Commodity Basket 06/12/13	X	6/1/2012	X	
GS BREN EAFE 06/06/12 10% Buffer 2 XLEV 8.36%	X	6/6/2012	X	
Matthews Pacific Tiger Fund	X	6/21/2012	X	
Aberdeen Asia Pacific Ex Japan	X	6/21/2012	X	
JPMorgan Asia Equity Fund Select	X	6/21/2012	X	
GS Cont Buff EQ SPX 07/10/13 80% Contin Barrier - 10.5% CPN 15% Cap	X	6/22/2012	X	
HSBC Cont Buff EQ SPX 06/27/12 80% Contin Barrier 2.35%	X	6/27/2012	X	
BNP BREN EAFE 7/17/13	X	6/29/2012	X	
GS BREN EAFE 07/05/12 10% Buffer 2 XLEV 8.04%	X	7/5/2012	X	
CS BREN EAFE 08/14/13	X	7/27/2012	X	
BARC BREN EAFE 08/01/12 10% Buffer 2 XLEV 7.61%	X	8/1/2012	X	
GS Cont Buff EQ SPX 08/21/13 80% Contin Barrier - 7.6% CPN 15% Cap	X	8/3/112	X	
GS Cont Buff EQ SPX 08/08/12 80% CONTIN Barrier 3% CPN	X	8/8/2012	X	
DB Market Plus SX5E 03/19/14 80% Contin Barrier 7.6% CPN	X	9/14/2012	X	
BARC Participating Gold Note 10/09/13	X	9/28/2012	X	
SG Brent CBEN 10/25/13 80% Barrier 13.75% CPN	X	10/12/2012	X	
DB BREN BRENT Crude 10/17/12	X	10/18/2012	X	
DB BREN EEM 10/31/12 10% Buffer-2 XLEV 11.8%	X	10/31/2012	X	
HSBC BREN SPX 11/02/12 10% Buffer 2 XLEV 8.85%	X	10/31/2012	X	
JPMorgan Tax Free Bond	X	11/2/2012	X	
JPMorgan Strategic Income	X	11/2/2012	X	
Eaton Vance Mut FDS TR Global Macro Absolute Return I	X	11/2/2012	X	
Eaton Vance Mutual Funds TR Float Rate CL I	X	11/2/2012	X	
Gateway Fund- Y	X	11/2/2012	X	
Ridgeworth Funds	X	11/2/2012	X	
Manning & Napier Fund Inc.	X	11/2/2012	X	
The Arbitrage Funds-I CL I	X	11/2/2012	X	
Harbor High Yield Bond Fund- INS	X	11/2/2012	X	
AIM Invt FDS	X	11/2/2012	X	
			Over \$1,000,000***	
			Over \$50,000,000	
			\$1,000,001 - \$5,000,000	
			\$5,000,001 - \$25,000,000	
			\$25,000,001 - \$50,000,000	
			Over \$50,000,000	

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Part V Gifts			
Name of Income Source	Address (City, State)	Dates and Brief Description	Gift Value

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Part VI			
Reimbursements			
Name of Income Source	Address (City, State)	Dates and Brief Description	Amount

Part VII. Liabilities						Category of Amount of Value (x)
Address	Type of Liability	Date Incurred	Interest Rate	Term if applicable		
				\$10,001 - \$15,000		
				\$15,001 to \$50,000		
				\$50,001 - \$100,000		
				\$100,001 - \$250,000		
				\$250,001 - \$500,000		
				\$500,001 - \$1,000,000		
				OVER \$1,000,000***		
					\$1,000,001 to \$5,000,000	
					\$5,000,001 to \$25,000,000	
					\$25,000,001 to \$50,000,000	
					Over \$50,000,000	

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Part VIII.					
Positions Held Outside U.S. Government					
Name of Organization	Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Collis Warner Foundation, Inc.	Alexandria, VA	Non-Profit Organization	Board of Directors	Jan-97	Present

Footnotes to Mark R. Warner's Senate Personal Financial Disclosure Form 2012

Where market value statements were available, the reportable value of each interest was determined by applying the ownership percentage of the reporting individual to the total value of the capital account reserved for partners or members. Where market value statements were not available, the ending capital balance for the reporting individual was used to value the interest.

Where an asset held other (lower-tier) assets, the character of each lower-tier asset (to the extent such information is provided by the directly-held asset) is listed in Part IIIB. The value of, and income received from, the directly-held asset is generally reported on an aggregated basis.

¹For proprietary and confidentiality purposes, these entities permit only the information listed to be disclosed. These funds are not self-directed.

²These entities do not have any underlying holdings.

³The filer has requested additional information about this asset and its underlying holdings. The report will be amended as soon as additional information becomes available.