

UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

<input type="checkbox"/> Amendment			
Last Name	First Name and Middle Initial	Annual Report Calendar Year Covered by Report	Senate Office / Agency in Which Employed
FEINSTEIN	DIANNE	2017	UNITED STATES SENATE
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report Termination Date (mm/dd/yy)	Prior Office / Agency in Which Employed
331 Hart, Senate Bldg. Washington DC 20519	(202) 224-3841		

AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

YES	NO	YES	NO		
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.		<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$350 from one source)? If Yes, complete and attach PART VI.	
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, complete and attach PART II.		<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.		<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, complete and attach PART IV.		<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.	
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If Yes, complete and attach PART V.		<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, complete and attach PART X.	

Each question must be answered and the appropriate PART attached for each “YES” response.

<p style="margin-top: 10px;">a69</p>	<div style="margin-bottom: 5px;">20 MAY 15</div> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="flex: 1;"> <p>RECEIVED SENATE</p> <p>FOR OFFICIAL USE ONLY Do Not Write Below this Line</p> </div> <div style="flex: 1; text-align: right;"> <p>5:53</p> </div> </div>
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-Reporting Individual's Name

DIANNE FEINSTEIN

PART II. EARNED AND NON-INVESTMENT INCOME (OF DIANNE FEINSTEIN)

1

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. No For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

Individuals not covered by the Honoraria Ban: For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Reporting Individual's Name DIANNE FEINSTEIN	PART II. EARNED AND NON-INVESTMENT INCOME	Page Number 2
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Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. Report the source (name and address), type, and amount of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

Individuals not covered by the Honoraria Part. For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

D PART 4A. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Dianne Feinstein)

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

EXEMPTION TEST (see *instructions before marking box*). If you omitted any asset because it meets the three-part exemption test, *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by spouse through Blum Strategic GP III, L.L.C.)

Page Number

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BLOCK A

**Identity of Publicly Traded Assets
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or

(2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income						Amount of Income														
Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount	
																				Required if "Other" Specified

S,
Example:
DC,
or
J

S | Blum Strategic GP III, L.L.C. :

(12.95% owned by Richard C. Blum)

Blum Strategic Partners III, L.P.:

(.32% owned by Blum Strategic GP III, L.L.C.)

S | Avid Technology, Inc.

Common Stock

EXEMPTION TEST (see instructions before marking box); If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

EXEMPTION TEST (see *instructions before marking box*). If you omitted any asset because it did not qualify for the exemption test, use the other categories of value, as appropriate.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

DIANNE FEINSTEIN

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by spouse through Blum Strategic Equity III, L.L.C.)

Page Number

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BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or

(2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	
\$1,001 - \$15,000	
\$15,001 - \$50,000	
\$50,001 - \$100,000	
\$100,001 - \$250,000	
\$250,001 - \$500,000	
\$500,001 - \$1,000,000	
Over \$1,000,000***	
\$1,000,001 - \$5,000,000	
\$5,000,001 - \$25,000,000	
\$25,000,001 - \$50,000,000	
Over \$50,000,000	

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

A large grid of squares, approximately 20 columns by 15 rows, composed of black lines on a white background. A single black 'X' mark is placed in the bottom-left square of the grid.

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

Reporting Individual's Name

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by spouse through Blum Strategic GP IV, L.L.C.)

Page Number

7

BLOCK A

**Identity of Publicly Traded Assets
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	
\$1,001 - \$15,000	
\$15,001 - \$50,000	
\$50,001 - \$100,000	
\$100,001 - \$250,000	
\$250,001 - \$500,000	
\$500,001 - \$1,000,000	
Over \$1,000,000***	
\$1,000,001 - \$5,000,000	
\$5,000,001 - \$25,000,000	
\$25,000,001 - \$50,000,000	
Over \$50,000,000	

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

***** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.**



Reporting Individual's Name

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by spouse through Blum Strategic Equity IV, L.L.C.)

Page Number

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BLOCK A

**Identity of Publicly Traded Assets
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or

(2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

S,
Example:
DC,
or
J

S | Blum Strategic Equity IV, L.L.C. :

(4.86% owned by Richard C. Blum)

Blum Strategic Partners IV, L.P. :

(.14% owned by Blum Strategic Equity IV, L.

S | Avid Technology, Inc.

Common Stock

BLOCK B

Valuation of Assets

**At the close of reporting period.
If None, or less than \$1,001,
Check the first column.**

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

EXEMPTION TEST (see instructions before marking **Y** or **N**)
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, etc.

Reporting Individual's Name

DIANNE FEINSTEIN

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by spouse through Blum Strategic GP V, L.L.C.)

Page Number

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BLOCK A

**Identity of Publicly Traded Assets
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or

(2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

S, Example: DC, or J	IBM Corp. (stock) <hr/> (S) Keystone Fund
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S | Blum Strategic GP V, L.L.C.

San Francisco, CA

(89.40% owned by Richard C. Blum)

(89.40% owned by Richard C. Blum)

Blum Strategic Partners V, L.P.:

(1.99% owned by Blum Strategic GP V, L.L.C.)

(1.00% owned by 2000) 

S | AVID Technology, Inc.

Common Stock

BLOCK B

Valuation of Assets

**At the close of reporting period.
If None, or less than \$1,001,
Check the first column.**

None (or less than \$1,001)	
\$1,001 - \$15,000	
\$15,001 - \$50,000	
\$50,001 - \$100,000	
\$100,001 - \$250,000	
\$250,001 - \$500,000	
\$500,001 - \$1,000,000	
Over \$1,000,000***	
\$1,000,001 - \$5,000,000	
\$5,000,001 - \$25,000,000	
\$25,000,001 - \$50,000,000	
Over \$50,000,000	

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income							Amount of Income						
X		X		X		X		X		X		X	
Dividends		Rent		Interest		Capital Gains		Excepted Investment Fund		Qualified Blind Trust		Other (Specify Type)	
X												None (or less than \$201)	
												\$201 - \$1,000	
												\$1,001 - \$2,500	
												\$2,501 - \$5,000	
												\$5,001 - \$15,000	
												\$15,001 - \$50,000	
												\$50,001 - \$100,000	
												\$100,001 - \$1,000,000	
												Over \$1,000,000***	
												Over \$5,000,000	
												\$1,000,001 - \$5,000,000	
												Actual Amount	
												Required if "Other" Specified	
												Example	
												Example	
												Example	

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

***** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the two of jointly with another person, then it would be included in the joint assets category.**

Reporting Individual's Name

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by spouse through Blum Family Partners, L.P.)

Page Number

10

BLOCK A

**Identity of Publicly Traded Assets
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
... This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

DIANNE FEINSTEIN

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by spouse through Blum Family Partners, L.P.)

Page Number

1-1

BLOCK A

**Identity of Publicly Traded Assets
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

DIANNE FEINSTEIN

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

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BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

S,
Example:
DC,
or
J

S | CBRE Group, Inc.

Common Stock

S | Amyris, Inc.

Common Stock

S | Avid Technology, Inc.

Common Stock

Kite Pharma, Inc.

Common Stock

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

		Type of Income						Amount of Income																						
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount	Required if "Other" Specified
	X																													
	X																													
					</td																									

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

Reporting Individual's Name
DIANNE FEINSTEIN

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by spouse Richard C. Blum cont.)

Page Number
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BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources										BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																																																													
																														At the close of reporting period. If None, or less than \$1,001, Check the first column.																																																			
																				If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																																																													
																				<table border="1"> <thead> <tr> <th colspan="10">Type of Income</th> <th colspan="10">Amount of Income</th> </tr> </thead> <tbody> <tr> <td>None (or less than \$1,001)</td> <td>\$1,001 - \$15,000</td> <td>\$15,001 - \$50,000</td> <td>\$50,001 - \$100,000</td> <td>\$100,001 - \$250,000</td> <td>\$250,001 - \$500,000</td> <td>\$500,001 - \$1,000,000</td> <td>Over \$1,000,000***</td> <td>\$1,000,001 - \$5,000,000</td> <td>\$5,000,001 - \$25,000,000</td> <td>\$25,000,001 - \$50,000,000</td> <td>Over \$50,000,000</td> <td>Dividends</td> <td>Rent</td> <td>Interest</td> <td>Capital Gains</td> <td>Excepted Investment Fund</td> <td>Excluded Trust</td> <td>Qualified Blind Trust</td> <td>Other (Specify Type)</td> <td>None (or less than \$201)</td> <td>\$201 - \$1,000</td> <td>\$1,001 - \$2,500</td> <td>\$2,501 - \$5,000</td> <td>\$5,001 - \$15,000</td> <td>\$15,001 - \$50,000</td> <td>\$50,001 - \$100,000</td> <td>\$100,001 - \$1,000,000</td> <td>Over \$1,000,000***</td> <td>\$1,000,001 - \$5,000,000</td> <td>Over \$5,000,000</td> <td>Actual Amount Required if "Other" Specified</td> </tr> </tbody> </table>										Type of Income										Amount of Income										None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excluded Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Type of Income										Amount of Income																																																																							
None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excluded Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified																																																		
																				<table border="1"> <thead> <tr> <th colspan="10">Example</th> <th colspan="10">Example</th> </tr> </thead> <tbody> <tr> <td>x</td> <td></td> </tr> </tbody> </table>										Example										Example										x																															
Example										Example																																																																							
x																																																																																	
S Example: DC, or J	JP Computer, Wash DC									Undeveloped land, Dubuque, Iowa																																																																							
S	Fidelity Retirement																																																																																
	FMM Retire GOV II Fund									X									X																																																														
S	Fidelity Retirement																																																																																
	FID Focused Stock Fund									X									X																																																														
S	Fidelity Retirement																																																																																
	FID Govt Mmkt									X									X																																																														
S	Fidelity Retirement																																																																																
	TRP Retire BAL ADV									X									X																																																														

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by spouse through Yosemite Investments, LLC)

14

BLOCK A

**Identity of Publicly Traded Assets
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or

(2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by spouse through Yosemite Investments, LLC)

Page Number

15

BLOCK A

**Identity of Publicly Traded Assets
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

Valuation of Assets

**At the close of reporting period.
If None, or less than \$1,001,
Check the first column.**

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

EXEMPTION TEST (see instructions before marking box). If you omitted any asset because it was exempt, use the other categories of value, as appropriate.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by spouse through Yosemite Investments, LLC)

Page Number

16

BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

- BLOCK C

Type and Amount of Income

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

**** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.**

1

Reporting Individual's Name

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by spouse through Yosemite Investments, LLC)

Page Number

17

BLOCK A

**Identity of Publicly Traded Assets
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or

(2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

EXEMPTION TEST (see instructions before marking box). If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three part test, enter "X".

***** This category applies only if the asset is/was held independently by the spouse or dependent child.**

Reporting Individual's Name

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by spouse through Yosemite Investments, LLC)

18

BLOCK A

**Identity of Publicly Traded Assets
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

Valuation of Assets

**At the close of reporting period.
If None, or less than \$1,001,
Check the first column.**

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income	Amount of Income	Actual Amount Required if "Other" Specified
Over \$50,000,000		
Dividends		
Rent		
Interest		
Capital Gains		
Excepted Investment Fund		
Excepted Trust		
Qualified Blind Trust		
Other (Specify Type)	None (or less than \$201)	
	\$201 - \$1,000	
	\$1,001 - \$2,500	
	\$2,501 - \$5,000	
	\$5,001 - \$15,000	
	\$15,001 - \$50,000	
	\$50,001 - \$100,000	
	\$100,001 - \$1,000,000	
	Over \$1,000,000***	
	\$1,000,001 - \$5,000,000	
	Over \$5,000,000	

	<i>IBM Corp. (stock)</i>
S,	
Example:	
DC,	(S) <i>Keystone Fund</i>
or	

S | Yosemite Investments, LLC

Allergan LPC 5 % Conv Pref Registered

Crown Castle Intl Corp New Com

Hannon Armstrong Sustainable

Vici PPTYS Inc Com

The Osterweis Strategic Income

Osterweis Total Return Fund

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

EXEMPTION TEST (see instructions before marking box). If you omitted any asset because it meets the three-part exemption test, enter the value of the asset here. *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

DIANNE FEINSTEIN

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by spouse through Richard C. Blum & Associates, Inc.)

Page Number

19

BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

Valuation of Assets

**At the close of reporting period.
If None, or less than \$1,001,
Check the first column.**

None (or less than \$1,001)	
\$1,001 - \$15,000	
\$15,001 - \$50,000	
\$50,001 - \$100,000	
\$100,001 - \$250,000	
\$250,001 - \$500,000	
\$500,001 - \$1,000,000	
Over \$1,000,000***	
\$1,000,001 - \$5,000,000	
\$5,000,001 - \$25,000,000	
\$25,000,001 - \$50,000,000	
Over \$50,000,000	

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

***** This category applies only if the asset is/was held independently by the spouse or dependent child.**

Reporting Individual's Name

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Dianne Feinstein)

Page Number
20

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

DIANNE FEINSTEIN

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Dianne Feinstein (continuation))

Page Number

BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

Type an

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

**** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.**



Reporting Individual's Name

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by Dianne Feinstein & Richard Blum)

Page Number

22

BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	
\$1,001 - \$15,000	
\$15,001 - \$50,000	
\$50,001 - \$100,000	
\$100,001 - \$250,000	
\$250,001 - \$500,000	
\$500,001 - \$1,000,000	
Over \$1,000,000***	
\$1,000,001 - \$5,000,000	
\$5,000,001 - \$25,000,000	
\$25,000,001 - \$50,000,000	
Over \$50,000,000	

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was held jointly by the two spouses, see Part V.

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

DIANNE FEINSTEIN

**PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by Spouse through Blum Capital Partners, L.P. (continuation))**

Page Number

24

BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B

Valuation of Assets

**At the close of reporting period.
If None, or less than \$1,001,
Check the first column.**

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income

Amount of Income

**Actual
Amount**

**Required
if
“Other”
Specified**

Example: S, DC, or	<i>JP Computer, Wash DC</i> <hr/> <i>Undeveloped land, Dubuque, Iowa</i>
------------------------------------	---

S | Blum Strategic Partners II, L.P.

San Francisco, CA

(See page 28 and 29 for non-publicly traded assets)

Example x

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

EXEMPTION TEST (see *Instructions before marking box*). If you omitted any asset because it was not includable in the gross estate, enter the value of the asset here. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.
*** This category applies only if the asset is/was held independently by the spouse or dependent child.

1

Reporting Individual's Name
DIANNE FEINSTEIN

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by Spouse through Blum Capital Partners T, L.P. (continuation))

25

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources										BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income									
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.																													
None (or less than \$1,001) \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$250,000 \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000,000*** \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000 Over \$50,000,000										Type of Income Dividends Rent Interest Capital Gains Excepted Investment Fund Exempted Trust Qualified Blind Trust Other (Specify Type)										Amount of Income None (or less than \$201) \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000** \$1,000,001 - \$5,000,000 Over \$5,000,000									
S, Example: DC, or J		JP Computer, Wash DC Undeveloped land, Dubuque, Iowa										Example Example																	
S	LatAm GP, L.P.																												
	Fort Worth, TX										X																		
S	RCBA Newbridge LAF, L.L.C.																												
	San Francisco, CA										X																		
	(Also Owned in Richard C. Blum & Associates, Inc. through Blum Capital Partners T, L.P. See page 27)																												
S	Blum GA III, L.L.C.																												
	San Francisco, CA																												
	(See page 31-32 for non-publicly traded assets)																												

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
 *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



EXEMPTION TEST (see instructions before marking box): if you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

EXEMPTION TEST (see *instructions before marking box*). If you omitted any asset because it meets the three-part test for exemption described in the instructions, please enter the value here. *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



Reporting Individual's Name

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Richard C. Blum & Associates, Inc. (continuation))

Page Number

27

BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

EXEMPTION TEST (*see instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

EXEMPTION TEST (see instructions before marking box). If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check off **EXEMPT**. *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name DIANNE FEINSTEIN	PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Strategic GP II, L.L.C.)												
BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.			BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.			BLOCK C Type and Amount of Income If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.						Page Number	
												None (or less than \$1,001)	\$1,001 - \$15,000
S, Example: DC, or J	JP Computer, Wash DC	x										Required if "Other" Specified	
	Undeveloped land, Dubuque, Iowa		x										
S	Blum Strategic GP II, L.L.C.: San Francisco, CA												
	(Richard C. Blum owns 24.13% of Blum Strategic GP II, LLC)												
	(Blum Strategic GP II, L.L.C. owns 22.94% of Blum Strategic Partners, II, L.P.)												
	(Blum Capital Partners owns .0027% of Blum Strategic Partners II, L.P.)												
S	BCP AIV, L.P.:												
	San Francisco, CA												
S	Current Media, L.L.C.												
	San Francisco, CA		x										
											x		

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
 *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name DIANNE FEINSTEIN	PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Strategic Equity II, L.L.C.)												Page Number 29																																																																				
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	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***		Over \$50,000,000																																																																					
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<input checked="" type="checkbox"/> Example	<input type="checkbox"/> Example	<input type="checkbox"/> Example	<input type="checkbox"/> Example	<input type="checkbox"/> Example	<input type="checkbox"/> Example	<input type="checkbox"/> Example	<input type="checkbox"/> Example	<input type="checkbox"/> Example	<input type="checkbox"/> Example	<input type="checkbox"/> Example	<input type="checkbox"/> Example	<input type="checkbox"/> Example	<input type="checkbox"/> Example	<input type="checkbox"/> Example	<input type="checkbox"/> Example	<input type="checkbox"/> Example	<input type="checkbox"/> Example	<input type="checkbox"/> Example	<i>Example</i>																																																														
S, Example: DC, or J	JP Computer, Wash DC			Undeveloped land, Dubuque, Iowa																																																																													
S	Blum Strategic Equity II, L.L.C.:																																																																																
	San Francisco, CA																																																																																
	(8.56% owned by Richard C. Blum)																																																																																
	(Blum Strategic Equity II, L.L.C. owns 1.59% of Blum Strategic Partners, II, L.P.)																																																																																
S	Current Media, L.L.C.																																																																																
	San Francisco, CA																																																																																

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

EXEMPTION TEST (see *instructions before marking box*). If you omitted any asset because it meets the three part test for exemption, use the other categories of value, as appropriate. *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

PART II B. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by Spouse through Blum GA III, L.L.C.)

Page Number

31

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

EXEMPTION TEST (see *instructions before marking box*). If you omitted any asset because it did not qualify as an exemption, use the other categories of value, as appropriate.
*** This category applies only if the asset is/was held independently by the spouse or dependent child.

Reporting Individual's Name

DIANNE FEINSTEIN

**PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by Spouse through Blum GA III Equity Partners, L.L.C.)**

Page Number

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BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
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१०८ श्रीमद्भागवत् २४५

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Reporting Individual's Name
DIANNE FEINSTEIN

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by Spouse through Blum Family Partners, L.P.)

Page Number

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BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																					
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S, Example: DC, or J	JP Computer, Wash DC	x										x								x											Example		
S	Blum Family Partners, L.P.:																			x												Example	
	San Francisco, CA																																
S	BBA Foresight, L.L.C.											x																					
	Tucson, AZ											x																					
S	BBA Foresight II, L.L.C.											x																					
	Albuquerque, New Mexico											x																					
S	FanAI Inc.											x																					
	San Francisco, CA											x																					

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Reporting Individual's Name
DIANNE FEINSTEIN

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by Spouse through Blum Family Partners, L.P. (continuation))

37

BLOCK A.**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C
Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income							Amount of Income										Actual Amount Required if "Other" Specified	
Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
x								x	x								Example	
										x							Example	

S, Example: DC, or J	JP Computer, Wash DC
	Undeveloped land, Dubuque, Iowa
S	CB Richard Ellis Partners U.S. IV, L.L.C.
	Los Angeles, CA
S	Central Station Land, L.L.C.
	Emeryville, CA
S	Bill Press Partners, L.L.C.
	Washington, D.C.

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Reporting Individual's Name DIANNE FEINSTEIN	PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Family Partners, L.P. (continuation))														Page Number 38																																											
BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources <p>Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which:</p> <ul style="list-style-type: none"> (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. <p>Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.</p>		BLOCK B Valuation of Assets <p>At the close of reporting period. If None, or less than \$1,001, Check the first column.</p>							BLOCK C Type and Amount of Income <p>If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.</p>																																																	
									<table border="1"> <thead> <tr> <th colspan="7">Type of Income</th> <th colspan="7">Amount of Income</th> </tr> <tr> <th>Dividends</th> <th>Rent</th> <th>Interest</th> <th>Capital Gains</th> <th>Excepted Investment Fund</th> <th>Excepted Trust</th> <th>Qualified Blind Trust</th> <th>Other (Specify Type)</th> <th>None (or less than \$201)</th> <th>\$201 - \$1,000</th> <th>\$1,001 - \$2,500</th> <th>\$2,501 - \$5,000</th> <th>\$5,001 - \$15,000</th> <th>\$15,001 - \$50,000</th> <th>\$50,001 - \$100,000</th> <th>\$100,001 - \$500,000</th> <th>\$500,001 - \$1,000,000</th> <th>Over \$1,000,000***</th> <th>Over \$50,000,000</th> </tr> </thead> <tbody> <tr> <td>x</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>x</td> </tr> </tbody> </table>							Type of Income							Amount of Income							Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	Over \$50,000,000	x								x	x
Type of Income							Amount of Income																																																			
Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	Over \$50,000,000																																								
x								x	x	x	x	x	x	x	x	x	x	x																																								
<i>S, Example: DC, or J</i>		<i>JP Computer, Wash DC</i>							<i>Example</i>																																																	
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S	Blum G.A. IV, L.P.																																																									
	San Francisco, CA														X																																											
S	Coral Growth Investments, Ltd.																																																									
	St. Peter Port, Guernsey														X																																											
S	Francisco Partners, L.P.																																																									
	San Francisco, CA														X																																											
S	Greycroft Partners, L.P.																																																									
	New York, NY														X																																											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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Reporting Individual's Name DIANNE FEINSTEIN		PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Family Partners, L.P. (continuation))												Page Number 39																							
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		None (or less than \$1,001)			\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Exempted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified		
<i>S, DC, or J</i> Example: JP Computer, Wash DC Undeveloped land, Dubuque, Iowa																																					
S	Colony American Homes Holdings I, LP																																				
	New York, NY																																				
S	Current Media, LLC																																				
	San Francisco, CA																																				
S	Walker Street SP Brooklyn I, L.L.C.																																				
	San Francisco, CA																																				
S	Montgomery Street Partners II, L.P.																																				
	San Francisco, CA																																				

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
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Reporting Individual's Name

DIANNE FEINSTEIN

**PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by Spouse through Blum Family Partners, L.P. (continuation))**

Page Number

40

BLOCK A of Non-Publicly Traded Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in “unearned” income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

DIANNE FEINSTEIN

**PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by Spouse through Blum Family Partners, L.P. (continuation))**

Page Number

41

BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the exemption test, enter the value here. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.
*** This category applies only if the asset is/was held independently by the spouse or dependent child.

8 Reporting Individual's Name

DIANNE FEINSTEIN

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by Spouse through Blum Family Partners, L.P. (continuation))

42

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																					
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S. Example: DC, or J	JP Computer, Wash DC	x										x								x											Example		
	Undeveloped land, Dubuque, Iowa		x																	x											Example		
S	Blum Capital Partners T, L.P.											x																					
	San Francisco, CA											x																					
	(See pages 19-22 for non-publicly traded assets)											x																					
S	Bell Mountain Capital Partners, L.L.C.											x																					
	Edmonton, Canada											x																					
S	Gobi Investment Partners, L.P.											x																					
	Los Angeles, CA											x																					
S	Petits Pains & Co., L.P.											x																					
	Burlingame, CA											x																					

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
 *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



Reporting Individual's Name

**PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by Spouse through Blum Family Partners, L.P. (continuation))**

Page Number

43

BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000
the close of the reporting period
and/or
 - (2) generated over \$200 in
“unearned” income during the
reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

S.
Example:
DC,
or
1

JP Computer, Wash DC

Undeveloped land, Dubuque, Iowa

S. First Republic Bank

San Francisco, CA

S BTIG, L.L.C. (Brokerage Acct-
Cash)

San Francisco, CA

S Colony American Homes
War I, LLC

Santa Monica, CA

S | BFP Thai Properties, LLC

San Francisco, CA

BLOCK B

Valuation of Assets

**'At the close of reporting period.
If None, or less than \$1,001,
Check the first column.**

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

EXEMPTION TEST (see instructions before marking) _____
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the tier or jointly held, _____

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

EXEMPTION TEST (see instructions before marking box). If you omitted any asset because it meets the three part exemption test, check here. If you did not omit any asset, leave this box blank.

Reporting Individual's Name DIANNE FEINSTEIN	PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES (Assets owned by Spouse through Blum Family Partners, L.P. (continuation))														Page Number 45															
B LOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources <p>Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which:</p> <ul style="list-style-type: none"> (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. <p>Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.</p>		BLOCK B Valuation of Assets <p>At the close of reporting period. If None, or less than \$1,001, Check the first column.</p>							BLOCK C Type and Amount of Income <p>If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.</p>																					
									Type of Income				Amount of Income																	
								Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Over \$5,000,000	Actual Amount
S, Example: DC, or I	JP Computer, Wash DC)	x		x											x	x												Example	
	Undeveloped land, Dubuque, Iowa																x													Example
S	Ponifax Agtech																	x												
	San Francisco, CA							x											x											
S	Vida Ventures							x											x											
	San Francisco, CA																			x										
S	Zignal Lab Inc.							x											x											
	San Francisco, CA																			x										

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



Reporting Individual's Name
DIANNE FEINSTEIN

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by Spouse through Blum Family Partners, L.P. (continuation))

46

B
LOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B.
Valuation of Assets**

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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**BLOCK C
Type and Amount of Income**

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income								Amount of Income												Actual Amount Required if "Other" Specified	
Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000			
x									x												Example
	x									x											Example

S, Example: DC, or J	JP Computer, Wash DC	x								x											Example
	Undeveloped land, Dubuque, Iowa		x																		Example

S	BFP Tideline, L.L.C.																				
	San Francisco, CA							x								x					
S	Blum Family Partners GDM, L.L.C.																				
	San Francisco, CA							x								x					
S	Campanile Impact Fund, L.P.																				
	San Francisco, CA							x								x					
S	Latitude Capital Management, L.P.																				
	Washington, D.C.						x									x					

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
 *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by Spouse (continuation))

Page Number

47

BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B

Valuation of Assets

**At the close of reporting period.
If None, or less than \$1,001,
Check the first column.**

None (or less than \$1,001)
\$1,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$250,000
\$250,001 - \$500,000
\$500,001 - \$1,000,000
Over \$1,000,000***
\$1,000,001 - \$5,000,000
\$5,000,001 - \$25,000,000
\$25,000,001 - \$50,000,000
Over \$50,000,000

BLOCK C

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

EXEMPTION TEST (see instructions above) If the asset is/was held by the filer or jointly held, use the other categories of value, as appropriate.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by Spouse (continuation))

Page Number

48

EXEMPTION TEST (see instructions before marking box). If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption, describe it below.



Reporting Individual's Name

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
(Assets owned by Spouse (continuation))

Page Number

49

BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B

Valuation of Assets

**At the close of reporting period.
If None, or less than \$1,001,
Check the first column.**

None (or less than \$1,001)	
\$1,001 - \$15,000	
\$15,001 - \$50,000	
\$50,001 - \$100,000	
\$100,001 - \$250,000	
\$250,001 - \$500,000	
\$500,001 - \$1,000,000	
Over \$1,000,000***	
\$1,000,001 - \$5,000,000	
\$5,000,001 - \$25,000,000	
\$25,000,001 - \$50,000,000	
Over \$50,000,000	

BLOCK C

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

EXEMPTION TEST (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

EXEMPTION TEST (see instructions above) -- If the asset is/was held independently by the spouse or dependent child, use the other categories of value, as appropriate.

Reporting Individual's Name
DIANNE FEINSTEIN

PART IV. TRANSACTIONS

Page Number

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										Amount of Transaction (x)									
Transaction Type (x)																			
	Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)			\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000		
Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.																			
Identification of Assets																			
S, Example: DC, or J	IBM Corp. (stock) NYSE			X			2/1/0X	X			E	X	A	M	P	L	E		
	(DC) Microsoft (stock) NASDAQ/OTC				X		1/27/0X			X	E	X	A	M	P	L	E		
S	Kite Pharma, Inc.				X		3/31/17, 5/31/17, 10/9/17									X			
S	TRP Retire BAL ADV			X			12/29/17	X											
S	AGN PRA (stock)			X			12/7/17				X								
S	INFO (stock)			X			12/7/17				X								
S	PNR (stock)			X			12/7/17				X								
S	CB (stock)			X			12/7/17				X								
S	AL (stock)			X			12/7/17				X								
S	GOOG (stock)			X			12/7/17					X							
S	AM (stock)			X			12/7/17			X									
S	BAYRY (stock)			X			12/7/17				X								
S	BA (stock)			X			12/7/17				X								
S	BAM (stock)			X			12/7/17				X								

Reporting Individual's Name
DIANNE FEINSTEIN

PART IV. TRANSACTIONS

51

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Identification of Assets

			Transaction Type (x)			Amount of Transaction (x)												
			Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	Over \$1,000,001 - \$5,000,000***	\$1,000,001 - \$25,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
S, Example: DC, or J	IBM Corp. (stock) NYSE		X			2/1/0X	X			E	X	A	M	P	L	E		
	(DC) Microsoft (stock) NASDAQ/OTC			X		1/27/0X				X	E	X	A	M	P	L	E	
S	FUN (stock)		X			12/7/17				X								
S	CHTR (stock)		X			12/7/17				X								
S	CCI (stock)		X			12/7/17				X								
S	DHR (stock)		X			12/7/17				X								
S	EPD (stock)		X			12/7/17				X								
S	HAL (stock)		X			12/7/17				X								
S	HASI (stock)		X			12/7/17				X								
S	HOLX (stock)		X			12/7/17				X								
S	INTC (stock)		X			12/7/17				X								
S	JPM (stock)		X			12/7/17				X								
S	JNJ (stock)		X			12/7/17				X								
S	MSFT (stock)		X			12/7/17				X								

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Identification of Assets

			Transaction Type (x)			Amount of Transaction (x)							
			Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000**	Over \$1,000,000***
Identification of Assets													
S, Example: DC, or J	IBM Corp. (stock) NYSE		X			2/1/0X	X		E	X	A	M	
	(DC) Microsoft (stock) NASDAQ/OTC			X		1/27/0X			X	E	X	M	
S	MCHP (stock)		X			12/7/17			X				
S	DWDP (stock)		X			12/20/17			X				
S	ADSW (stock)		X			12/15/17			X				
S	VICI (stock)		X			12/8/17			X				
S	VICI (stock)		X			12/7/17			X				
S	USFD (stock)		X			12/7/17			X				
S	TFX (stock)		X			12/7/17			X				
S	SBUX (stock)		X			12/7/17			X				
S	SERV (stock)		X			12/7/17			X				
S	RPM (stock)		X			12/7/17			X				
S	NVS (stock)		X			12/7/17			X				

卷之三十一

Reporting Individual's Name

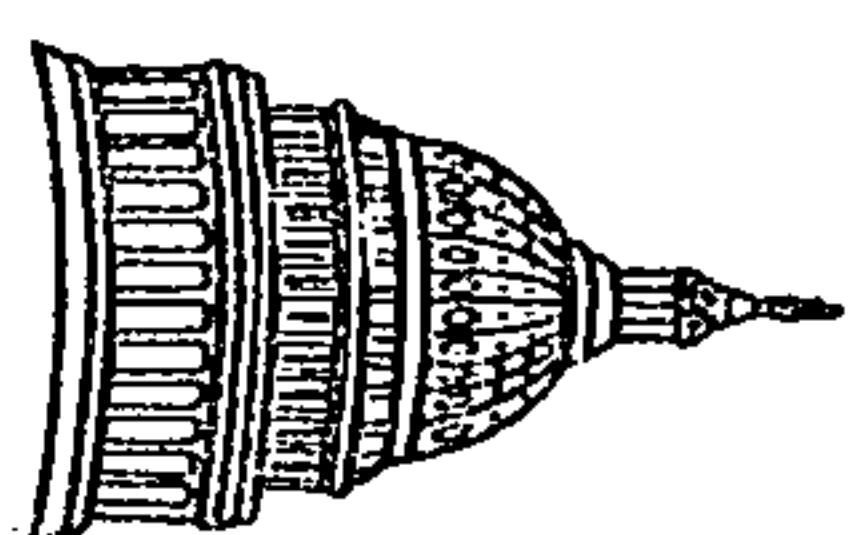
PART VII. LIABILITIES

(Liabilities of Richard C. Blum

Page Number

DIANNE FEINSTEIN

Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) Mortgages on your personal residences unless rented; (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.



May 15, 2018
Senator Dianne Feinstein
Washington, D. C.

Secretary of the Senate
Office of Public Records
Room 232
Hart Senate Building
U. S. Senate
Washington, DC 20510

Dear Secretary of the Senate:

Enclosed is the United States Senate Financial Disclosure Report for Senator Dianne Feinstein of California.

Period Covered

The report has been prepared based on assets and liabilities as of December 31, 2017, and includes income for the period January 1, 2017 through December 31, 2017. Valuations of assets are presented as of December 31, 2017.

Items Covered

The report covers assets and related income owned by the Senator and her spouse either directly or through a living trust.

Ownership Designation

Senator Feinstein's assets, which are held directly or through the Bertram Feinstein Trust as separate property, consist of her interest in Carlton Hotel Properties, LP, a pension from the City of San Francisco, deposit accounts at Bank of America and Vanguard Prime Money Market Fund. In addition, Senator Feinstein has a Qualified Blind Trust which was established in 1991 and qualified in 1993, and she is a beneficiary of the Richard C. Blum Marital Trusts of 1994 and 1996. (For details of Senator Feinstein's income and assets, see pages 1, 3, 4, 20 and 21 in the report.)

Senator Feinstein and her spouse jointly own a condominium in Princeville, Kauai, Hawaii and have deposit and checking accounts at Bank of America. (For details of the Senator's and Mr. Blum's jointly held assets, see page 22.)

The remaining assets listed herein are the separate property of Senator Feinstein's spouse, Richard C. Blum.

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Assets and liabilities as listed in Sections III A, III B, and VII have been identified as follows:

"M"	owned directly or indirectly by the Senator, Dianne Feinstein
"S"	owned directly or indirectly by the Senator's spouse, Richard C. Blum
"J"	owned jointly by the Senator and her spouse (directly or indirectly)

The "J" (jointly owned) designation is used for all assets owned by both the Senator and her spouse. These include assets held in formal tenancy in common or joint tenancy.

Investment Partnerships Managed By Blum Capital Partners, L.P. (Separate Property Of Richard C. Blum)

The spouse of the Senator, Richard C. Blum, is the Chairman of an investment management firm, Blum Capital Partners, L.P. (BCP L.P.). The general partner of BCP L.P. is Richard C. Blum & Associates, Inc. (RCBA Inc.). Richard C. Blum's ownership interest in BCP L.P. is held through RCBA Inc. BCP L.P. is the general partner of several investment partnerships. Mr. Blum has an ownership interest in RCBA Inc. and in several of the investment partnerships. Additionally, the investment management firm has ownership interests in the investment partnerships and some of the partnerships have investments in other partnerships. This results in a tiered ownership structure.

During 2001, 2005, and 2007, Blum Strategic Partners II, L.P., Blum Strategic Partners III, L.P. and Blum Strategic Partners IV, L.P. were formed to invest in public and private companies. Blum Strategic GP II, L.L.C. manages and is the general partner of Blum Strategic Partners II, L.P.; Blum Strategic GP III, L.P. manages and is the general partner of Blum Strategic Partners III, L.P.; and Blum Strategic GP IV, L.P. manages and is the general partner of Blum Strategic Partners IV, L.P. Richard C. Blum is the managing member of Blum Strategic GP II, L.L.C., Blum Strategic GP III, L.L.C. and Blum Strategic GP IV, L.L.C. He is also a member of Blum Strategic Equity II, L.L.C., Blum Strategic Equity III, L.L.C., and Blum Strategic Equity IV, L.L.C. which are limited partners of Blum Strategic Partners II, L.P. Blum Strategic Partners III, L.P., and Blum Strategic Partners IV, L.P., respectively.

During 2002, Blum Strategic Partners II GmbH & Co. KG was formed as a parallel vehicle to Blum Strategic Partners II, L.P. Blum Strategic Partners Verwaltungs GmbH is the General Partner and Blum Strategic GP II, L.L.C. is the Managing Limited Partner.

In addition, during 2005 and 2007, Blum Strategic GP III, L.P. and Blum Strategic GP IV, L.P., respectively were formed as the General Partner of Blum Strategic Partners III, L.P. and Blum Strategic Partners IV, L.P. Blum Strategic GP III, L.L.C and Blum Strategic GP IV, L.L.C. are the General Partners of Blum Strategic GP III, L.P. and Blum Strategic GP IV, L.P., respectively.

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During 2015, Blum Strategic Partners III, L.P. and Blum Strategic Partners IV, L.P. were restructured whereby Blum Strategic Partners V, L.P. was formed to invest in Blum Strategic Partners III, L.P. and Blum Strategic Partners IV, L.P. through a secondary transaction. Blum Strategic GP V, L.P. is the general partner of Blum Strategic Partners V, L.P.

The Blum Strategic funds described above hold public as well as private investments. The private investments are reported under Part III B. Blum Strategic Partners GP II is the general partner of Blum Strategic Partners II, L.P.'s only investment is an escrow in Current Media, L.L.C. through BCP AIV, L.P. as a result of the sale of the investment in 2013. A legal settlement was reached late 2017 to release the funds from escrow. Because Blum Family Partners held the asset directly, they received a distribution from escrow in 2017. The other holding in Current Media (Blum Strategic Partners II, L.P) did not distribute until March 2018. Current Media is located in San Francisco, CA and provides media content through the internet and cable television. (See pages 28, 29, and 39.)

Blum Strategic Partners III, L.P. and Blum Strategic Partners IV, L.P. each holds only one public security as of December 31, 2017. (See pages 5 and 6.)

Blum Strategic Partners V, L.P., holds investment interest in Blum Strategic Partners III, L.P. and Blum Strategic IV Partners, L.P. (See page 9.).

Blum GA III Partners, L.L.C. and Blum GA III Equity Partners, L.L.C. invest in Newbridge Asia Advisors III, Inc. and Newbridge Asia Equity III, L.P., respectively. (See pages 33-34.)

A separate page of Part III A & III B information has been included for RCBA Inc., BCP L.P. and for each investment partnership managed by BCP L.P. The estimated valuation and income for BCP L.P. have been reported separately in Section III B starting on page 17 at Mr. Blum's ownership percentage, which has been indicated. The value and income of Mr. Blum's direct and indirect holdings in the investment partnerships are reported based on his direct and indirect ownership percentages. These percentages may change from year to year depending on the activities (contributions by new or existing partners and distributions to withdrawing partners) of the partners. A separate reporting of value and income of BCP L.P.'s interest in the investment partnerships has not been reported, as this would cause Mr. Blum's interests to be double reported. Where applicable, BCP L.P.'s holdings of the investment partnerships have been referenced to the applicable schedules in Sections III A and III B where the investment partnerships are reported. BCP L.P. and the investment partnerships it manages incidental to their operations also hold cash and cash equivalents, accounts receivable, pre-paids and other assets as well as accounts payable, margin borrowings and other liabilities that have not been listed. A pro rata share of the value of these assets and liabilities has been incorporated in the determination of value reported for Mr. Blum's direct holdings in RCBA Inc. and in his direct and indirect (through RCBA Inc. and BCP L.P.) ownership of the investment partnerships managed by BCP L.P.

In the normal course of business, amounts due to or from Mr. Blum arise at RCBA Inc. and BCP L.P.; e.g., reimbursable business expenses. The valuation of his investment in RCBA Inc. is stated net of these amounts.

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Investment Partnerships not Managed by Richard C. Blum, RCBA Inc., or BCP L.P.

Mr. Blum, RCBA Inc., and Blum Capital Partners T, L.P. an affiliate of his investment management firm, BCP L.P. own investments in a number of investment partnerships that are not managed by Mr. Blum, RCBA Inc. or BCP, L.P. They are comprised of the following:

LatAm G.P., L.P. (Page 25)

Address: 301 Commerce Street, Suite 3300
Fort Worth, TX 76102

Business Description: LatAm G.P., L.P. is the general partner of Newbridge Latin America, L.P. (NLA). NLA was formed to invest in business ventures throughout Latin America, which may provide significant opportunities for capital appreciation.

Investment in RCBA Inc. (Pages 19, 26 - 27)

Mr. Blum's investment in RCBA Inc. is valued at his pro rata share of the business' equity as of December 31, 2016.

BCP L.P. is in some cases, entitled to receive performance fees based on income including realized and unrealized capital gains on investments under its management. These fees are generally recorded as of the date the firm is entitled to bill its clients. The firm is also entitled to a disproportionate allocation of income and gain in the partnerships that it manages. As a limited partner of BCP L.P., Mr. Blum may be allocated a portion of such amounts, as reflected on Part III B.

Investment income earned by Mr. Blum on his investment in RCBA Inc. has been reported based on dividends received.

Richard C. Blum Marital Trust of 1994 (Page 21)

Dianne Feinstein is a beneficiary of The Richard C. Blum Marital Trust of 1994. The Trust holds a life insurance policy on the life of Richard C. Blum. The insurance policy was restructured in 2012 to a universal life policy. The value of the trust is stated at the cash surrender value of the life insurance policy. No distributions have been made from the Trust.

Richard C. Blum Marital Trust of 1996 (Page 21)

Dianne Feinstein is a beneficiary of The Richard C. Blum Marital Trust of 1996. The Trust holds a life insurance policy on the life of Richard C. Blum. The insurance policy was restructured in 2012 to a universal life policy. The value of the trust is stated at the cash surrender value of the life insurance policy. No distributions have been made from the Trust.

Investment in The Carlton Hotel (Pages 22, 35, and 44)

The Senator and her spouse own interests in a partnership, Carlton Hotel Properties, LP which owns a hotel in San Francisco. The ownership of the partnership is as follows:

Bertram Feinstein Trust (Dianne Feinstein beneficiary)	50.05%
Richard C. Blum through Blum Family Partners, LP (spouse)	47.95%
Richard C. Blum through Blum Investment Partners, Inc. (spouse)	1.00%
Dianne Feinstein through DF Investment, LLC	1.00%

The value of each of (1) the combined interest of Dianne Feinstein and her spouse, and (2) Dianne Feinstein's interest through the Bertram Feinstein Trust in the Carlton Hotel exceeds \$1,000,000. Income from the investment has been reported based on partnership distributions received.

Dianne Feinstein 1991 Trust (Page 4)

In September 1991, the Senator transferred her securities to a blind trust. The trust became qualified by the Senate Ethics Committee on December 29, 1993. The Trust's value as of December 31, 2016, is reported on a separate schedule in Part IIIA.

Investment in Blum Family Partners, L.P. (BFP) (Pages 30, 36 - 46)

BFP was formed to invest in various business ventures which provide opportunities for capital appreciation.

Information on Non-Publicly Traded Assets

Several of the investments listed in Part III are not publicly traded and which are not managed or controlled by Richard C. Blum, Blum Family Partners, L.P., RCBA, Inc. or BCP, L.P. The following provides additional information regarding these investments:

New Astar Holdings, LLC (formerly Astar USA, L.L.C.) (Page 48)

Address: Two South Biscayne Blvd. Suite 3663
Miami, FL 33131

Business Description: Airline cargo service. Sold August 2017.

Francisco Partners, L.P. (Page 38)

Address: 2882 Sand Hill Road, Suite 280
Menlo Park, CA 94025

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Business Description: A limited partnership formed to invest in technology companies, both domestically and internationally for long-term capital appreciation using a wide range of transaction structures. The partnership has over 400 limited partners and holds private and public investments. The investments include Barracuda, FrontRange Solutions, GXS, Attachmate and WebTrends.

During 2017, 618 shares of Barracuda were sold upon receipt.

Central Station Land, L.L.C. (Page 37)

Address: 1500 Park Avenue # 200
Emeryville, CA 94608

Business Description: Real estate development in Oakland, California.

Coral Growth Investments Ltd. (Page 38)

Address: St. Peter Port, Guernsey
Postal code: GY1-GY9

Business Description: A limited partnership that invests and trades in securities in business ventures which may provide opportunities for capital appreciation. The partnership has invested in Egyptian Treasury Notes, Arab Republic of Egypt Bond, and Lecico Egypt SAE.

Several of the investments listed in Part III which were made or are owned by Richard C. Blum, Blum Family Partners, L.P., RCB A, Inc. or BCP, L.P. are not publicly traded. The following provides additional information about these investments:

Blum Investment Partners, Inc. (Pages 30 – 35)

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: Blum Investment Partners, Inc. is a corporation whose business is to invest in investment partnerships which invest funds in various countries. The entity owns a 1% or less interest in., Blum GA Equity III Partners, L.L.C., Blum GA III, L.L.C., Blum GA IV, LP, Richard C. Blum Family Partnership, L.P., Carlton Hotel Properties, LP and BCP Investment, L.P. Richard C. Blum does not hold a capital investment interest in any investment made in the People's Republic of China by this entity.

Blum Investment Partners II, Inc. (Page 30)

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

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Business Description: Blum Investment Partners II, Inc. is a corporation whose business is to act as a management entity on behalf of Richard C. Blum. The entity owns a 1% or less interest in Blum Family Partners, LP.

Greycroft Partners, L.P. (Page 38)

Address: 292 Madison Avenue
New York, NY 10017

Business Description: A limited partnership that invests and trades in securities in business ventures which may provide opportunities for capital appreciation. The partnership has invested in 33 Across, Inc., Collective Media, Inc., Ceros Inc., Extreme Reach, Inc., Instant.ly Inc., Joynet, Inc, ShoreTell, MDX Medical, Inc., Mode Media Corporation (formerly Glam Media), QBC Holdings, Inc. (formerly OnePIN, Inc.), and WideOrbit, Inc.

Greycroft Partners Annex Fund, L.P. (Page 40)

Address: 292 Madison Avenue
New York, NY 10017

Business Description: The Partnership's principal business activity is to invest, during particular situations, in Portfolio Companies of Greycroft Partners, L.P. The partnership has invested in 33 Across, Inc., Collective Media, Inc., Ceros Inc., Extreme Reach, Inc., Instant.ly Inc. (fka uSamp) and MDX medical, Inc. (dba Vitals).

RST, Inc. (Page 41)

Address: 3791 Rocky Knoll Way
Santa Rosa, CA 95404

Business Description: Premier internet source of fine wine and related services.

Montgomery Street Housing I, L.P. (Page 41)

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: A limited partnership formed in 2014 to invest in two student housing communities at East Carolina University (Greenville, NC) and Sam Houston State University (Huntsville, TX). The Partnership sold both assets in February 2016. Residual value remains.

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TPG Chinos Co-Invest, L.P. (Page 44)

Address: 301 Commerce Street, Suite 3300
Fort Worth, TX 76102

Business Description: A limited partnership formed to invest in, hold and dispose of the securities of Chinos Holdings, Intermediate, Intermediate Holdings, J. Crew and their respective subsidiaries. Sold in 2017.

Blum GA III, L.L.C. (Page 25, Pages 31 - 32)

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: Blum GA III, L.L.C. is a limited liability company whose business is to invest in investment partnerships through Newbridge Asia Advisors III, Inc. and Newbridge Asia Genpar AIV III, L.P., which were formed to manage the investment in Newbridge Asia III, L.P. (NBAE III). NBAE III was formed to invest in securities or entities conducting business in the countries and territories of Asia. Richard C Blum does not hold a capital investment interest in any investment made in the People's Republic of China by this entity.

Blum GA III Equity Partners, L.L.C. (Page 32)

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: Blum GA III Equity Partners, LLC is a limited liability company whose business is to invest in investment partnerships through Newbridge Asia Equity III, L.P. (NBAE III LP). NBAE III LP was formed to invest in Newbridge Asia III, L.P. (NBAE III). NBAE III was formed to invest in securities or entities conducting business in the countries and territories of Asia. Richard C. Blum does not hold a capital investment interest in any investment made in the People's Republic of China by this entity. Sold in 2017.

Blum GA IV, L.P. (Page 26)

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: Blum GA IV, LP is a limited partnership whose business is to invest in investment partnerships through Newbridge Asia Equity IV, L.P. (NBAE IV LP), TPG/NB Associates, LLC, TPG 2006 VSP, LP, TPG 2007 VSP, LP, TPG 2008 VSP, LP, TPG 2009 VSP, LP and TPG Holdings, LP. NBAE IV LP was formed to invest in Newbridge Asia IV, L.P. (NBAE IV). NBAE IV and TPG Asia V, LP were formed to invest in securities or entities conducting business in the countries and territories of Asia. Richard C. Blum does not hold a capital investment interest in any investment made in the People's Republic of China by this entity. In a series of transaction in 2017 the General Partner of Blum Capital Partners T, L.P.,

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Blum GA IV, L.P. and the Managing Member of RCBA LAF Equity Partners, LLC and Blum GA III Equity Partners, LLC (collectively the “Partnerships”) negotiated the sale of certain investments held by the Partnerships. The General Partner deemed it in the best interests of investors to execute the sale at that time. Investments that were sold by the Partnership were private equity funds sponsored by Texas Pacific Group (“TPG”).

RCBA Newbridge LAF, L.L.C. (Page 25)

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: RCBA Newbridge LAF, L.L.C. is a limited liability company whose business is to invest in entities which invest funds in various Latin American countries. RCBA Newbridge LAF, LLC holds interests directly or indirectly in LATAM GP, L.P., Newbridge Latin America, L.P. and Newbridge Andean Partners, L.P.

BBA Foresight, L.L.C. (Page 36)

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: Real estate investment in undeveloped land in Arizona.

BBA Foresight II, L.L.C. (Page 36)

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: Real estate investment in undeveloped land in New Mexico.

Bill Press Partners, L.L.C. (Page 37)

Address: 217 8th Street SE
Washington, D.C. 20003

Business Description: Investment in Bill Press’ syndicated radio program.

CB Richard Ellis Partners U.S. IV, L.L.C. (Page 37)

Address: 865 South Figueroa Street, Suite 3500
Los Angeles, CA 90017

Business Description: Investment in real estate, loans and other securities. Vested in 2017. Liquidated October 2017.

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Lucky Bluff, L.L.C. (Page 40)

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: Raw land in Coachella Valley and a single family residence in Lake Tahoe, CA.

812 Brooks LLC (Page 41)

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: Property purchased in Los Angeles, CA

BFP Thai Properties, LLC (Page 43)

Address: 909 Montgomery Street, Suite 400
San Francisco, CA 94133

Business Description: Property purchased in Thailand

Montgomery Tideline, L.L.C. (Page 47)

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: On demand water taxi and commuter shuttle service in the San Francisco Bay Area. Owned directly and solely by Richard Blum

Tensile Capital Management (Page 41)

Address: Two Embarcadero Center
San Francisco, CA 94111

Business Description: Value oriented investment hedge fund.

Colony American Homes Holdings I, L.P. (Page 39)

Address: 100 Pine Street
San Francisco, CA 94111

Business Description: Leading owner and provider of high quality single family residences for rental across the United States.

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Walker Street Brooklyn SP I, L.L.C. (Page 39)

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Storage facility located in Brooklyn, NY.

Colony American Homes War I, L.L.C. (Page 43)

Address: 100 Pine Street
San Francisco, CA 94111

Business Description: Leading owner and provider of high quality single family residences for rental across the United States.

Bell Mountain Capital Partners, L.L.C. (Page 42)

Address: 2638 Watcher Way
Edmonton, AB T6W 0P1, Canada

Business Description: Value oriented investment hedge fund.

Gobi Investment Partners, L.P. (Page 42)

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Value oriented investment hedge fund.

Petits Pains and Co, L.P. (Page 42)

Address: 1730 Gilbreth Road
Burlingame, CA 94010

Business Description: The production and distribution of artisan breads and baked goods.

Yosemite Investments, LLC (Page 47, Pages 14 – 18)

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Held entirely for the benefit of the grandchildren of Richard C. Blum.

BFP Tideline, L.L.C. (Page 46)

Address: 909 Montgomery Street

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San Francisco, CA 94133

Business Description: On demand water taxi and commuter shuttle service in the San Francisco Bay Area.

Blum Family Partners GDM, L.L.C. (Page 46)

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Investment in the Grand Del Mar, a luxury hotel located in San Diego, CA.

Campanile Impact Fund, L.P. (Page 46)

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Investment fund formed to invest in socially and environmentally friendly companies. The fund currently holds investments in Gram Power and Tarana Wireless.

Latitude Capital Management (Page 46)

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Investment fund to invest in expanding electricity in developing markets including Africa and India.

Montgomery Street Partners II, L.P. (Page 39)

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Was formed to invest in various real estate investments including Walker Street Investment Partners, LLC.

BMGH, L.L.C. (Page 40)

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: The limited liability company holds Green Hill Estates (13) lots, 65 Acres, in Placer County. Sold April 2017.

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Montgomery Street Partners GP Acquisition Fund, L.P. (Page 43)

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Was formed to make co-investments in the general partner entity in real estate acquisition and development joint ventures in partnership with an established real estate owner or operator.

Montgomery Street Partners GP Acquisition Fund GP, L.P. (Page 44)

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Was formed to make co-investments in the general partner entity in real estate acquisition and development joint ventures in partnership with an established real estate owner or operator.

Ponifax Agtech (Page 45)

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Food and agriculture technology.

Vida Ventures, (Page 45)

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Bicoastal life sciences company.

Zignal Lab, Inc. (Page 45)

Address: 909 Montgomery Street
San Francisco, CA 94133

Business Description: Turns media and social intelligence into a company-wide asset that benefits every corner of an organization.

Pension

The Senator receives a pension from the City of San Francisco. The pension has been valued in the category of \$500,000 to \$1,000,000 based on a valuation provided by the Retirement Division of the City of San Francisco.

Reporting Convention for Compensation Income from Investments

Mr. Blum receives a salary from BCP L.P. He also receives dividend income from RCBA Inc. The category indicated under "Amount of Income" is based on dividends received. The column 'Actual Amount Only if "Other" Specified' indicates salary compensation.

As reported in Part II, Mr. Blum received fees from Coral Growth Investments Limited and has investment in this corporation as well. In addition, Mr. Blum receives fees from CDP- Concord Egyptian Fund, but does not have an investment in this Fund.

Valuation Methods

Publicly traded securities are valued at quoted market value or at fair market value.

Non-publicly traded securities are valued at fair market value based on factors such as cost, projected profits, projected cash flows and comparisons with similar businesses.

Real estate investments are valued at cost or at estimated fair market value.

Partnership interests are valued based on a computation of pro rata share of the estimated net fair market value of the partnership as recorded for financial statement reporting purposes.

Assets held as nominee for others are excluded from the report.

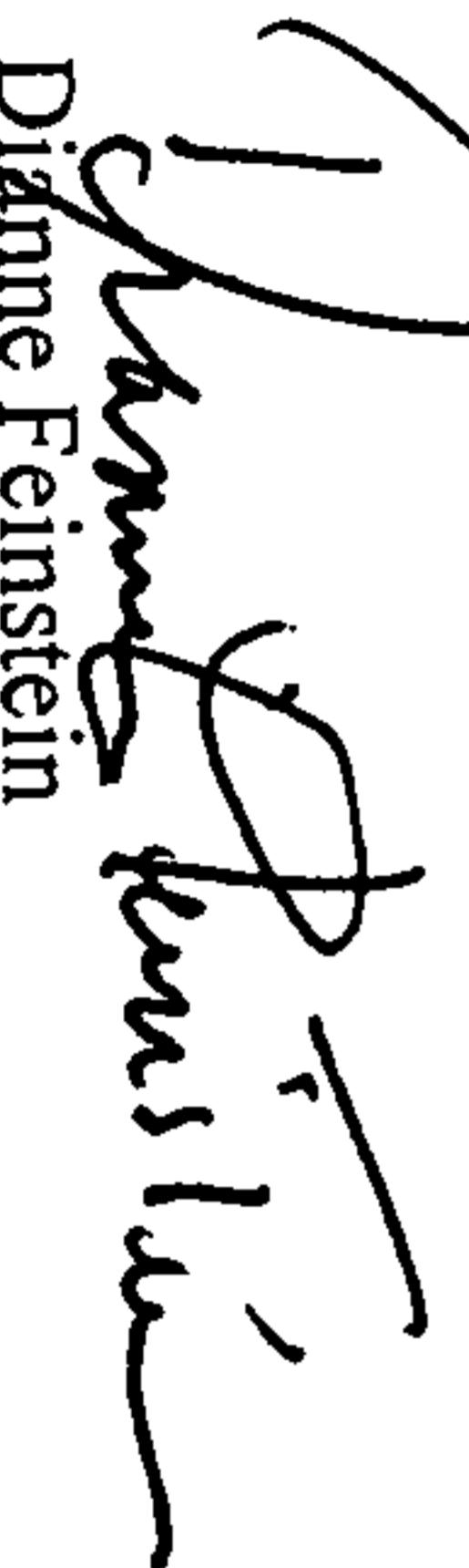
Income Determination Methods

Dividends, interest and salary are reported in the period received. In the case of interest earned on notes receivable, interest is reported as the amount is accrued.

Capital gains are reported in the period recognized. For individual securities, capital gains are reported net of offsetting losses realized during the reporting period. Capital losses are not otherwise offset against other sources of income. For instance, income related to an investment that produced dividend income and capital losses during the period is reported at the amount of the dividend income only. Changes in unrealized capital gains and losses are not included.

Income from S-Corporations is reported as the amount of dividends received, which differs from taxable income reportable on the Internal Revenue Service Schedule K-1. Income from partnerships is reported as the amount of distributions received from the partnership, which differs from taxable income reportable on Internal Revenue Service Schedule K-1.

Sincerely,


Dianne Feinstein