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# **UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS**

|   |  |                                 |  |
|---|--|---------------------------------|--|
| <input type="checkbox"/> Amendment                                |  |                                 |  |
| Last Name   | First Name and Middle Initial                      | Annual Report                   |  |
| BOOZMAN   | JOHN N.  | Calendar Year Covered by Report | Senate Office / Agency in Which Employed |
| Senate Office Address (Number, Street, City, State, and ZIP Code) | Senate Office Telephone Number (include Area Code) | Termination Report              | j41 Hart                                 |
| Office<br>Senator Boozman   | 202-224-4843                                       | Termination Date (mm/dd/yy)     | Prior Office / Agency in Which Employed  |

**AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART**

|   | YES                                 | NO                                  |  | YES                                 | NO                                  |
|---|-------------------------------------|-------------------------------------|--|-------------------------------------|-------------------------------------|
| Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?<br>If Yes, complete and attach PART I.  | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$390 from one source)?<br>If Yes, complete and attach PART VI. | <input type="checkbox"/>            | <input checked="" type="checkbox"/> |
| Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income totaling \$200 or more from any reportable source in the reporting period?<br>If Yes, complete and attach PART II.  | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Did you, your spouse, or dependent child have any reportable liability (i.e., more than \$10,000) during the reporting period?<br>If Yes, complete and attach PART VII.  | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |
| Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period?<br>If Yes, complete & attach PART IIIA and/or IIIB. | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | Did you hold any reportable positions on or before the date of filing in the current calendar year?<br>If Yes, complete and attach PART VIII.  | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |
| Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period?<br>If Yes, complete and attach PART IV.  | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | Do you have any reportable agreement or arrangement with an outside entity?<br>If Yes, complete and attach PART IX.  | <input type="checkbox"/>            | <input checked="" type="checkbox"/> |
| Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$390 and not otherwise exempt)?<br>If Yes, complete and attach PART V.   | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years?<br>If Yes, complete and attach PART X.  | <input type="checkbox"/>            | <input checked="" type="checkbox"/> |

**Each question must be answered and the appropriate PART attached for each “YES” response.**

**FOR OFFICIAL USE ONLY**  
Do Not Write Below this Line.

H12:49

**Reporting Individual's Name**

Amendment

Page Number

## **PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

**BLOCK A**  
**Identity of Publicly Traded Assets**  
**And Unearned Income Sources**

**Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child (see Financial Disclosure Instructions for CY 2018, p. 13) for production of income or investment which:**

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
  - (2) generated over \$200 in "unearned" income during the reporting period.

**Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.**

|                             |                               |  |
|-----------------------------|-------------------------------|--|
| <b>Example:</b> DC,<br>or J | <b>S.</b><br><hr/> <b>(S)</b> | <i>IBM Corp. (stock)</i><br><hr/> <i>Keystone Fund</i> |
|-----------------------------|-------------------------------|--|

## J-Arvest Cheating

<sup>2</sup> J-Federal Credit Union Checking

3 J-Federal Credit Union, Savings-

4 Senate Credit Union, checking

5 S-Pensions Bank KIRA (CASH Acct)

6 J-Invesco short-term treasury MM

## J-ABC Bond Fund

8 J-ABG Equity Fund

9 John Boozman IRA

10 \* Invesco Short Term Treasury

## BLOCK B

# Valuation of Assets

**At the close of reporting period.  
If None, or less than \$1,001,  
check the first column.**

**BLOCK C**

**Type and Amount of Income**

**EXEMPTION TEST** (see Financial Disclosure Instructions for CY 2018): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

 Amendment

Page Number

2

### PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

#### BLOCK A

#### Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child (see Financial Disclosure Instructions for CY 2018, p. 13) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

|                            |                   |   |                    |                     |                      |                       |                       |                         |                    |                           |                            |                             |                   |
|----------------------------|-------------------|---|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|--------------------|---------------------------|----------------------------|-----------------------------|-------------------|
| S,<br>Example: DC,<br>or J | IBM Corp. (stock) | X | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000** | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 |
|                            | (S) Keystone Fund | X |                    |                     |                      |                       |                       |                         |                    |                           |                            |                             |                   |

1 \*Equity Investments See Schedule A

2 \*Fixed Income Investments See Schedule B

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#### BLOCK B

#### Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
check the first column.

#### BLOCK C

#### Type and Amount of Income

| Type of Income              |                    |                     |                      |                       |                       |                         | Amount of Income          |                            |                             |                              |                                 |                        |                      |
|-----------------------------|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|---------------------------|----------------------------|-----------------------------|------------------------------|---------------------------------|------------------------|----------------------|
| None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | \$50,000,001 - \$100,000,000 | \$100,000,001 - \$1,000,000,000 | Over \$1,000,000,000** | Over \$5,000,000,000 |
| None                        | X                  |                     |                      |                       |                       |                         |                           |                            |                             |                              |                                 |                        |                      |
|                             |                    | X                   |                      |                       |                       |                         |                           |                            |                             |                              |                                 |                        |                      |
|                             |                    |                     | X                    |                       |                       |                         |                           |                            |                             |                              |                                 |                        |                      |
|                             |                    |                     |                      | X                     |                       |                         |                           |                            |                             |                              |                                 |                        |                      |
|                             |                    |                     |                      |                       | X                     |                         |                           |                            |                             |                              |                                 |                        |                      |
|                             |                    |                     |                      |                       |                       | X                       |                           |                            |                             |                              |                                 |                        |                      |
|                             |                    |                     |                      |                       |                       |                         | X                         |                            |                             |                              |                                 |                        |                      |
|                             |                    |                     |                      |                       |                       |                         |                           | X                          |                             |                              |                                 |                        |                      |
|                             |                    |                     |                      |                       |                       |                         |                           |                            | X                           |                              |                                 |                        |                      |
|                             |                    |                     |                      |                       |                       |                         |                           |                            |                             | X                            |                                 |                        |                      |
|                             |                    |                     |                      |                       |                       |                         |                           |                            |                             |                              | X                               |                        |                      |
|                             |                    |                     |                      |                       |                       |                         |                           |                            |                             |                              |                                 | X                      |                      |
|                             |                    |                     |                      |                       |                       |                         |                           |                            |                             |                              |                                 |                        | X                    |

**EXEMPTION TEST** (see Financial Disclosure Instructions for CY 2018): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

**Equity Investments**

| Description                         | Valuation<br>Of Assets | Income |
|-------------------------------------|------------------------|--------|
| Discretionary                       |                        |        |
| Comcast Corp New Cl A               | \$1,001-15,000         | None   |
| Ebay Inc                            | \$1,001-15,000         | None   |
| Ecolab Inc Com                      | \$1,001-15,000         | None   |
| Fortune Bands Home & Sec<br>In Com  | \$1,001-15,000         | None   |
| Lowes Cos Inc Com                   | \$1,001-15,000         | None   |
| Manpowergroup Inc. Com              | None                   | None   |
| <br><b>Staples</b>                  |                        |        |
| Colgate Palmolive Co                | \$1,001-15,000         | None   |
| CVS Caremark Corp                   | None                   | None   |
| Mondelez Intl Inc Cl A              | \$1,001-15,000         | None   |
| Procter & Gamble                    | \$1,001-15,000         | None   |
| Walgreens Boots Alliance<br>Inc Com | None                   | None   |
| <br><b>G</b>                        |                        |        |
| <b>GEnergy</b>                      |                        |        |
| Baker Hughes A GE Co                | None                   | None   |
| ChevronTexaco Corp Com              | \$1,001-15,000         | None   |
| Conocophillips                      | \$1,001-15,000         | None   |
| Helmerich & Payne Inc               | \$1,001-15,000         | None   |
| Hess Corporation                    | \$1,001-15,000         | None   |

**Schedule A**  
2 of 4

**Equity Investments**

| Description                | Valuation<br>Of Assets | Income |
|----------------------------|------------------------|--------|
| <b>Energy (cont.)</b>      |                        |        |
| Valero Energy Corp Com     | \$1,001-15,000         | None   |
| <b>Financials</b>          |                        |        |
| Bank of Amer Corp Com      | \$1,001-15,000         | None   |
| Capital One Financial Corp | \$1,001-15,000         | None   |
| Discover Financial Service | \$1,001-15,000         | None   |
| Invesco Ltd                | None                   | None   |
| J P Morgan Chase & Co Com  | \$1,001-15,000         | None   |
| State Street Corp Com      | \$1,001-15,000         | None   |
| Travelers Cos Inc The      | \$1,001-15,000         | None   |
| Wells Fargo & Co Com       | \$1,001-15,000         | None   |
| <b>Health Care</b>         |                        |        |
| Abbvie Inc Com             | \$1,001-15,000         | None   |
| Allergan PLC Shs           | \$1,001-15,000         | None   |
| Biogen Idec Inc            | \$1,001-15,000         | None   |
| Celgene Corp Com           | None                   | None   |
| Gilead Sciences Inc        | \$1,001-15,000         | None   |
| Qvia Hldgs Inc Com         | \$1,001-15,000         | None   |
| Johnson & Johnson          | \$1,001-15,000         | None   |
| Pfizer Inc                 | \$1,001-15,000         | None   |

**Schedule A**  
**3 of 4**

**Equity Investments**

| Description                       | Valuation<br>Of Assets |        |
|-----------------------------------|------------------------|--------|
| <b>Industrials</b>                |                        | Income |
| General Dynamics Corp Com         | \$1,001-15,000         | None   |
| Honeywell Intl Inc Com            | \$1,001-15,000         | None   |
| Rockwell Collins Inc Com          | None                   | None   |
| Union Pacific                     | \$1,001-15,000         | None   |
|                                   |                        |        |
| <b>Information Technology</b>     |                        |        |
| Accenture PLC Ireland Shs         | \$1,001-15,000         | None   |
| CLA                               |                        |        |
| Apple Computer Inc                | \$15,001-50,000        | None   |
| Cisco Systems Inc                 | \$1,001-15,000         | None   |
| Fiserv Inc                        | \$1,001-15,000         | None   |
| Hewlett Packard Enterprise Co Com | \$1,001-15,000         | None   |
| HP Inc Com                        | \$1,001-15,000         | None   |
| IBM                               | \$1,001-15,000         | None   |
| Intel Corp                        | \$1,001-15,000         | None   |
| Lam Research Corp Com             | \$1,001-15,000         | None   |
| Micron Technology Inc             | \$1,001-15,000         | None   |
|                                   |                        |        |
| <b>Materials</b>                  |                        |        |
| CA Inc                            | None                   | None   |
| Dowdupont Inc                     | \$1,001-15,000         | None   |
| Lyondellbasell Industries N Shs   | \$1,001-15,000         | None   |

**Schedule A**  
**4 of 4**

**Equity Investments**

| Description                            | Valuation<br>Of Assets | Income |
|--|------------------------|--------|
| <b>Telecommunications</b>              |                        |        |
| Disney Com Stk                         | \$1,001-15,000         | None   |
| Verizon Communications Com             | \$1,001-15,000         | None   |
| <b>Utilities</b>                       |                        |        |
| Dte Energy Co                          | \$1,001-15,000         | None   |
| Public Service Enterprise Group        | \$1,001-15,000         | None   |
| <b>Equity Funds &amp; Etfs</b>         |                        |        |
| First Tr Exchange Fd IV No Amer Energy | \$15,001-50,000        | None   |
| iShares Tr MSCI EAFFE Index Fd MFC     | \$100,001-250,000      | None   |
| iShares Tr MSCI Emerg Mkt MFC          | None                   | None   |
| MiShares Tr Rssl 2000 Indx MFC         | \$50,001-100,000       | None   |
| GSPDR S & P Midcap 400 ETF Tr UTSER1   | \$100,001-250,000      | None   |
| GSPDR S & P 500 ETF Trust              | \$1,001-15,000         | None   |
| Van Eck Fds Emrg Mkts Cl I             | \$15,001-50,000        | None   |
| Westwood Small Cap Instl               | \$1,001-15,000         | None   |
| G                                      |                        |        |

**Fixed Income Investments**

| Description  | Valuation<br>Of Assets | Income |
|--|------------------------|--------|
| <b>Federal Government Securities</b><br>Goldman Sachs Treas Acct ETF | \$15,001-50,000        | None   |
| iShares Barclays 20 Plus Year<br>Treasury Bond Fund                  | None                   | None   |
| iShares Tr Index Barclays 7-10 Yr                                    | \$1,001-15,000         | None   |
| iShares Tr Index Barclays 1-3 Yr                                     | \$15,001-50,000        | None   |
| iShares Barclays Tips ETF  | \$15,001-50,000        | None   |
| iShares Barclays Agency Bond   | \$100,001-250,000      | None   |
| iShares Barclays 3-7 Yr Tsy Bd Fd                                    | \$15,001-50,000        | None   |
| iShares Tr Barclays 10-20 Yr   | \$1,001-15,000         | None   |
| <b>Corporate Bonds</b>   |                        |        |
| Doubleline Emg Mkts Inc I  | \$1,001-15,000         | None   |
| Goldman Sachs ETF Tr Access<br>Inv Gr                                | \$100,001-250,000      | None   |
| 4<br>iShares Tr Gs Corp BD Fd<br>Rating NR                           | None                   | None   |
| 11<br>iShares Barclays Mbs Bond Fd                                   | \$100,001-250,000      | None   |
| iShares Jp Morgan Em Bond Fd   | \$15,001-50,000        | None   |
| iShares Cmbs Bond Fund   | \$50,001-100,000       | None   |
| Vanguard Scottsdale Fds<br>Sht Trm Corp                              | \$15,001-50,000        | None   |

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| <b><u>Real Estate And Other Assets</u></b>       | <b>Description</b> | <b>Valuation<br/>Of Assets</b> | <b>Income</b> |
|--|--------------------|--------------------------------|---------------|
| <b>Alternatives</b>                              |                    |                                |               |
| Host Hotels & Resorts Inc Com                    | \$1,001-15,000     | None                           |               |
| Invesco Actively Managed ETF<br>TR Optimum Yield | \$15,001-50,000    | None                           |               |
| <b>Commercial</b>                                |                    |                                |               |
| Vanguard Index Fds REIT ETF                      | \$15,001-50,000    | None                           |               |

**Reporting Individual's Name**

Amendment

Page Number

## **PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

**BLOCK A**  
**Identity of Non-Publicly Traded  
Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (see Financial Disclosure Instructions for CY 2018, p. 13) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
  - (2) generated over \$200 in "unearned" income during the reporting period.

**Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.**

|   |  |
|---|--|
| <b>S,</b><br><b>Example: DC,</b><br><b>or J</b> | <i>JP Computer, Software Design,<br/>Wash DC</i><br><hr/> <i>Undeveloped land, Dubuque, Iowa</i> |
|---|--|

1 J-Cotswards Partners LLC

2 Rogers, AR \*

<sup>3</sup> \*Subdivision lots See Schedule C

**4 Northwestern Mutual**

## 5 Whole life

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## BLOCK B

# Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
check the first column.

## BLOCK C

### Type and Amount of Income

**EXEMPTION TEST** (see Financial Disclosure Instructions for CY 2018): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

2018  
Cotswolds Partners, LLC

Schedule C  
1 of 1

| Name of Asset      | Valuation of Asset | Type of Income | Amount of Income |
|--------------------|--------------------|----------------|------------------|
| Subdivision Lot G  | \$15,001-50,000    | None           | None             |
| Subdivision Lot H  | \$15,001-50,000    | None           | None             |
| Subdivision Lot L  | \$15,001-50,000    | None           | None             |
| Subdivision Lot M  | \$15,001-50,000    | None           | None             |
| Subdivision Lot N  | \$15,001-50,000    | None           | None             |
| Subdivision Lot O  | \$15,001-50,000    | None           | None             |
| Subdivision Lot P  | \$15,001-50,000    | None           | None             |
| Subdivision Lot R  | \$15,001-50,000    | None           | None             |
| Subdivision Lot T  | \$15,001-50,000    | None           | None             |
| Subdivision Lot U  | \$15,001-50,000    | None           | None             |
| Subdivision Lot V  | \$15,001-50,000    | None           | None             |
| Subdivision Lot W  | \$15,001-50,000    | None           | None             |
| Subdivision Lot X  | \$15,001-50,000    | None           | None             |
| Subdivision Lot Y  | \$15,001-50,000    | None           | None             |
| Subdivision Lot Z  | \$15,001-50,000    | None           | None             |
| Subdivision Lot AA | \$15,001-50,000    | None           | None             |
| Subdivision Lot BB | \$15,001-50,000    | None           | None             |
| Subdivision Lot CC | \$15,001-50,000    | None           | None             |
| Subdivision Lot DD | \$15,001-50,000    | None           | None             |
| Subdivision Lot EE | \$15,001-50,000    | None           | None             |
| Subdivision Lot HH | \$15,001-50,000    | None           | None             |
| Subdivision Lot II | \$15,001-50,000    | None           | None             |

Reporting Individual's Name

 Amendment

Page Number

## PART IV. TRANSACTIONS

4

Report any purchase, sale, or exchange by you, your spouse, or dependent child (see Financial Disclosure Instructions for CY 2018, p. 19-20) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange.

|                            |                                   |  |          |      |          | Amount of Transaction (x) |                                  |                    |                     |                      |                       |                         |                           |                            |                             |                   |   |   |   |  |  |  |
|----------------------------|-----------------------------------|--|----------|------|----------|---------------------------|----------------------------------|--------------------|---------------------|----------------------|-----------------------|-------------------------|---------------------------|----------------------------|-----------------------------|-------------------|---|---|---|--|--|--|
|                            |                                   |  |          |      |          | Transaction Type (x)      | Transaction Date (Mo., Day, Yr.) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$500,001 - \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 |   |   |   |  |  |  |
|                            |                                   |  | Purchase | Sale | Exchange |                           |                                  |                    |                     |                      |                       |                         |                           |                            |                             |                   |   |   |   |  |  |  |
| S,<br>Example: DC,<br>or J | IBM Corp. (stock) NYSE            |  |          | X    |          |                           | 2/1/1X                           |                    | X                   |                      |                       | E                       | X                         | A                          | M                           | P                 | L | E |   |  |  |  |
|                            | (DC) Microsoft (stock) NASDAQ/OTC |  |          |      | X        |                           | 1/27/1X                          |                    |                     |                      |                       | X                       | E                         | X                          | A                           | M                 | P | L | E |  |  |  |
| 1                          | John Boozman IRA - See Schedule D |  |          |      |          |                           |                                  |                    |                     |                      |                       |                         |                           |                            |                             |                   |   |   |   |  |  |  |
| 2                          |                                   |  |          |      |          |                           |                                  |                    |                     |                      |                       |                         |                           |                            |                             |                   |   |   |   |  |  |  |
| 3                          |                                   |  |          |      |          |                           |                                  |                    |                     |                      |                       |                         |                           |                            |                             |                   |   |   |   |  |  |  |
| 4                          |                                   |  |          |      |          |                           |                                  |                    |                     |                      |                       |                         |                           |                            |                             |                   |   |   |   |  |  |  |
| 5                          |                                   |  |          |      |          |                           |                                  |                    |                     |                      |                       |                         |                           |                            |                             |                   |   |   |   |  |  |  |
| 6                          |                                   |  |          |      |          |                           |                                  |                    |                     |                      |                       |                         |                           |                            |                             |                   |   |   |   |  |  |  |
| 7                          |                                   |  |          |      |          |                           |                                  |                    |                     |                      |                       |                         |                           |                            |                             |                   |   |   |   |  |  |  |
| 8                          |                                   |  |          |      |          |                           |                                  |                    |                     |                      |                       |                         |                           |                            |                             |                   |   |   |   |  |  |  |
| 9                          |                                   |  |          |      |          |                           |                                  |                    |                     |                      |                       |                         |                           |                            |                             |                   |   |   |   |  |  |  |
| 10                         |                                   |  |          |      |          |                           |                                  |                    |                     |                      |                       |                         |                           |                            |                             |                   |   |   |   |  |  |  |
| 11                         |                                   |  |          |      |          |                           |                                  |                    |                     |                      |                       |                         |                           |                            |                             |                   |   |   |   |  |  |  |
| 12                         |                                   |  |          |      |          |                           |                                  |                    |                     |                      |                       |                         |                           |                            |                             |                   |   |   |   |  |  |  |

EXEMPTION TEST (see Financial Disclosure Instructions for CY 2018): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Schedule D

2018

Senator Boozman – Transactions

January – December 2018

**Senator Boozman Stock Act Transaction Jan. 2018**

1 of 1

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**Sales**

| Description                      | Date    | Amount of Transaction |
|----------------------------------|---------|-----------------------|
| Walgreens Boots Alliance Inc Com | 1/19/18 | \$1,001-15,000        |

**Purchases**

| Description    | Date    | Amount of Transaction |
|----------------|---------|-----------------------|
| Abbvie Inc Com | 1/19/18 | \$1,001-15,000        |

**Senator Boozman Stock Act Transaction Feb. 2018**

1 of 1

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**Sales**

| Description                      | Date    | Amount of Transaction |
|----------------------------------|---------|-----------------------|
| iShares Tr Index Barclays 1-3 Yr | 2/12/18 | \$15,001-\$50,000     |
|                                  | 2/20/18 | \$15,001-\$50,000     |

**Purchases**

| Description                                  | Date    | Amount of Transaction |
|--|---------|-----------------------|
| iShares Tr Barclays 10-20 Yr                 | 2/20/18 | \$1,001-15,000        |
| iShares Tr Index Barclays 7-10 Yrs           | 2/20/18 | \$1,001-15,000        |
| Powershares Gbl ETF Trust Fdm<br>Hg Yld Rafi | 2/20/18 | \$15,001-\$50,000     |

**Senator Boozman Stock Act Transaction Mar. 2018**

1 of 1

**Sales**

| Description        | Date   | Amount of Transaction |
|--------------------|--------|-----------------------|
| Apple Computer Inc | 3/5/18 | \$1,001-15,000        |
| Fiserv Inc         | 3/8/18 | \$1,001-15,000        |

**Purchases**

| Description                     | Date   | Amount of Transaction |
|---------------------------------|--------|-----------------------|
| Abbvie Inc Com                  | 3/8/18 | \$1,001-15,000        |
| Cisco Systems Inc               | 3/5/18 | \$1,001-15,000        |
| Hess Corporation                | 3/8/18 | \$1,001-15,000        |
| Lam Research Corp Com           | 3/5/18 | \$1,001-15,000        |
| Lyondellbasell Industries N Shs | 3/8/18 | \$1,001-15,000        |
| Verizon Communications Com      | 3/8/18 | \$1,001-15,000        |

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**Reporting Individual's Name**

Amendment

Page Number

## **PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

**BLOCK A**  
**Identity of Non-Publicly Traded  
Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (see Financial Disclosure Instructions for CY 2018, p. 13) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
  - (2) generated over \$200 in "unearned" income during the reporting period.

**Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.**

|   |  |
|---|--|
| <b>S,</b><br><b>Example: DC,</b><br><b>or J</b> | <i>JP Computer, Software Design,<br/>Wash DC</i><br><hr/> <i>Undeveloped land, Dubuque, Iowa</i> |
|---|--|

1 J-Cotswards Partners LLC

2      Rogers, AR\*

<sup>3</sup> \*Subdivision lots See Schedule C

**4** Northwestern Mutual

## 5 Whole life

6

7

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1

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## BLOCK B

# Valuation of Assets

**At the close of reporting period.**  
**If None, or less than \$1,001,**  
**check the first column.**

## BLOCK C

### Type and Amount of Income

**EXEMPTION TEST** (see Financial Disclosure Instructions for CY 2018): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

# Senator Boozman Stock Act Transaction May 2018

1 of 1

## Sales

| Description                             | Date    | Amount of Transaction |
|---|---------|-----------------------|
| Celgene Corp Com                        | 5/1/18  | \$1,001-15,000        |
| CVS Caremark Corp                       | 5/14/18 | \$1,001-15,000        |
| iShares Tr Gs Corp Bd Fd                | 5/30/18 | \$100,001-250,000     |
| Micron Technology Inc                   | 5/24/18 | \$1,001-15,000        |
| Vanguard Scottsdale Fds Sht<br>Trm Corp | 5/30/18 | \$15,001-15,000       |

## Purchases

| Description                           | Date    | Amount of Transaction |
|---------------------------------------|---------|-----------------------|
| Goldman Sachs ETF Tr Access<br>Inv Gr | 5/30/18 | \$100,001-250,000     |
| Hewlett Packard Enterprise<br>Co Com  | 5/24/18 | \$1,001-15,000        |
| Host Hotels & Resorts Inc Com         | 5/14/18 | \$1,001-15,000        |
| HP Inc Com                            | 5/24/18 | \$1,001-15,000        |
| IBM                                   | 5/24/18 | \$1,001-15,000        |
| United Rentals Inc                    | 5/1/18  | \$1,001-15,000        |

**Senator Boozman Stock Act Transaction June 2018**

1 of 1

**Sales**

| Description                       | Date    | Amount of Transaction |
|-----------------------------------|---------|-----------------------|
| Invesco Fundamental High Yield    | 6/28/18 | \$15,001-15,000       |
| iShares Tr Barclays 10-20 Yr      | 6/28/18 | \$1,001-15,000        |
| iShares Tr Index Barclays 7-10 Yr | 6/28/18 | \$1,001-15,000        |
| iShares Tr MSCI EAFE Index Fd MFC | 6/26/18 | \$1,001-15,000        |

**Purchases**

| Description                       | Date    | Amount of Transaction |
|-----------------------------------|---------|-----------------------|
| iShares Barclays Mbs Bond Fd      | 6/28/18 | \$15,001-15,000       |
| iShares Barclays 3-7 Yr Tsy Bd Fd | 6/28/18 | \$1,001-15,000        |
| iShares Tr Index Barclays 1-3 Yr  | 6/28/18 | \$1,001-15,000        |
| Vanguard Emerging Mkt ETF         | 6/26/18 | \$1,001-15,000        |

**In Exchanges**

| Description  | Date   | Amount of Transaction |
|--|--------|-----------------------|
| Clvesco Exch Traded Fd II                              | 6/6/18 | \$15,001-15,000       |
| GFundamental High Yield Corp Bond Etr                  |        |                       |
| Name changed from Powershares Gbl ETF Traded Trust Fdm |        |                       |
| Hi Yld Rafi  |        |                       |

**Senator Boozman Stock Act Transaction July 2018**

1 of 1

**Sales**

| Description                    | Date    | Amount of Transaction |
|--------------------------------|---------|-----------------------|
| CA Inc                         | 7/13/18 | \$1,001-15,000        |
| iShares Tr Rssll 2000 Indx MFC | 7/18/18 | \$1,001-15,000        |

**Purchases**

| Description                       | Date    | Amount of Transaction |
|-----------------------------------|---------|-----------------------|
| Abbvie Inc Com                    | 7/13/18 | \$1,001-15,000        |
| Ecolab Inc Com                    | 7/13/18 | \$1,001-15,000        |
| iShares Tr MSCI EAFE Index Fd MFC | 7/18/18 | \$1,001-15,000        |
| Public Service Enterprise Group   | 7/13/18 | \$1,001-15,000        |

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**Senator Boozman Stock Act Transaction August 2018**

1 of 1

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**Sales**

| Description                   | Date    | Amount of Transaction |
|-------------------------------|---------|-----------------------|
| Goldman Sachs Treas Acct ETF  | 8/1/18  | \$1,001-15,000        |
| iShares Tr MSCI Emerg Mkt MFC | 8/27/18 | \$15,001-50,000       |

**Purchases**

| Description                                      | Date    | Amount of Transaction |
|--|---------|-----------------------|
| Invesco Actively Managed ETF Tr<br>Optimum Yield | 8/1/18  | \$1,001-15,000        |
| Vanguard Emerging Mkt ETF                        | 8/27/18 | \$15,001-50,000       |

**Senator Boozman Stock Act Transaction September 2018**

1 of 1

**Sales**

| Description                       | Date               | Amount of Transaction            |
|-----------------------------------|--------------------|----------------------------------|
| Invesco Ltd                       | 9/24/18            | \$1,001-15,000                   |
| iShares Barclays 3-7 Yr Tsy Bd Fd | 9/25/18            | \$1,001-15,000                   |
| iShares Tr Barclays 10-20 Yr      | 9/25/18            | \$1,001-15,000                   |
| iShares Tr Index Barclays 1-3 Yr  | 9/25/18<br>9/27/18 | \$1,001-15,000<br>\$1,001-15,000 |
| iShares Tr Index Barclays 7-10 Yr | 9/25/18            | \$1,001-15,000                   |
| Vanguard Index Fds REIT ETF       | 9/27/18            | \$1,001-15,000                   |

**Purchases**

| Description                     | Date    | Amount of Transaction |
|---------------------------------|---------|-----------------------|
| Ebay Inc                        | 9/24/18 | \$1,001-15,000        |
| Invesco Actively Managed ETF Tr | 9/27/18 | \$1,001-15,000        |
| iShared Jp Morgan Em Bond Fd    | 9/25/18 | \$15,001-50,000       |

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# Senator Boozman Stock Act Transaction October 2018

1 of 1

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| <u>Sales</u>              | Date     | Amount of Transaction |
|---------------------------|----------|-----------------------|
| NA                        |          |                       |
| <u>Purchases</u>          | Date     | Amount of Transaction |
| Doubleline Eng Mkts Inc I | 10/19/18 | \$1,001-15,000        |

**Senator Boozman Stock Act Transaction November 2018**

1 of 1

**Sales**

| Description         | Date     | Amount of Transaction |
|---------------------|----------|-----------------------|
| United Rentals Inc  | 11/13/18 | \$1,001-15,000        |
| United Technologies | 12/4/18  | \$1,001-15,000        |

**Purchases**

| Description                         | Date     | Amount of Transaction |
|-------------------------------------|----------|-----------------------|
| Fortune Brands Home & Sec<br>In Com | 11/13/18 | \$1,001-15,000        |
| SPDR S & P 500 ETF Trust            | 11/30/18 | \$1,001-15,000        |

**Exchanges**

| Description  | Date     | Amount of Transaction |
|--|----------|-----------------------|
| United Technologies<br>Recd Shs on Mandatory<br>Exchange of Rockwell Collins | 11/29/18 | \$1,001-15,000        |

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# Senator Boozman Stock Act Transaction December 2018

1 of 2

## Sales

| Description                                       | Date     | Amount of Transaction |
|---|----------|-----------------------|
| Accenture PLC Ireland Shs Cl A                    | 12/21/18 | \$1,001-15,000        |
| Apple Computer Inc                                | 12/21/18 | \$1,001-15,000        |
| Bank of Amer Corp Com                             | 12/21/18 | \$1,001-15,000        |
| Cisco Systems Inc                                 | 12/21/18 | \$1,001-15,000        |
| Discover Financial Services                       | 12/21/18 | \$1,001-15,000        |
| Disney Com Stk                                    | 12/21/18 | \$1,001-15,000        |
| Fiserv Inc  | 12/21/18 | \$1,001-15,000        |
| General Dynamics Corp Com                         | 12/21/18 | \$1,001-15,000        |
| Goldman Sachs ETF TR Access Inv Gr                | 12/21/18 | \$1,001-15,000        |
| Goldman Sachs Treas Acct ETF                      | 12/21/18 | \$15,001-\$50,000     |
| Intel Corp  | 12/21/18 | \$1,001-15,000        |
| Iqvia Hldgs Inc Com                               | 12/21/18 | \$1,001-15,000        |
| iShares Barclays Agency Bond                      | 12/21/18 | \$1,001-15,000        |
| iShares Barclays Mbs Bond Fd                      | 12/21/18 | \$1,001-15,000        |
| Q Shares Barclays Tips ETF                        | 12/21/18 | \$1,001-15,000        |
| Q Shares Barclays 20 Plus Year Treasury Bond Fund | 12/21/18 | \$1,001-15,000        |
| Q Shares Cmbs Bond Fund                           | 12/21/18 | \$1,001-15,000        |
| Q Shares Tr Barclys 10-20 Yr                      | 12/21/18 | \$1,001-15,000        |
| J P Morgan Chase & Co Com                         | 12/21/18 | \$1,001-15,000        |
| Johnson & Johnson                                 | 12/21/18 | \$1,001-15,000        |
| Lowes Cos Inc Com                                 | 12/21/18 | \$1,001-15,000        |
| Manpowergroup Inc Com                             | 12/31/18 | \$1,001-15,000        |

**Senator Boozman Stock Act Transaction December 2018**

2 of 2

**Sales**

|                                      |          |                   |
|--------------------------------------|----------|-------------------|
| Pfizer Inc                           | 12/21/18 | \$1,001-15,000    |
| Union Pacific                        | 12/21/18 | \$1,001-15,000    |
| Vanguard Emerging Mkt ETF            | 12/21/18 | \$15,001-\$50,000 |
| Vanguard Scottsdale Fds Sht Trm Corp | 12/21/18 | \$1,001-15,000    |

**Purchases**

| Description                                   | Date     | Amount of Transaction |
|---|----------|-----------------------|
| Comcast Corp New Cl A                         | 12/31/18 | \$1,001-15,000        |
| First Tr Exchange Traded Fd IV No Amer Energy | 12/21/18 | \$15,001-\$50,000     |
| Invesco Actively Managed ETF Tr Optimum Yield | 12/21/18 | \$1,001-15,000        |
| iShares Tr Index Farclays 1-3 Yr              | 12/21/18 | \$1,001-15,000        |
| iShares Tr MSCI EAFE Index Fd MFC             | 12/21/18 | \$1,001-15,000        |
| iShares Tr Rssll 2000 Indx MFC                | 12/21/18 | \$1,001-15,000        |
| SPDR S & P Midcap 400 ETF                     | 12/21/18 | \$1,001-15,000        |
| TR UTSER1                                     |          |                       |
| Van Eck Fds Emrg Mkts Cl I                    | 12/21/18 | \$15,001-\$50,000     |
| Vanguard Index Fds REIT ETF                   | 12/21/18 | \$1,001-15,000        |
| Westwook Small Cap Instl                      | 12/21/18 | \$1,001-15,000        |

**Reporting Individual's Name**

Amendment

Page Number

## **PART VII. LIABILITIES**

**Report liabilities over \$10,000 owed by you, your spouse, or dependent child (see Financial Disclosure Instructions for CY 2018, p. 23), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period.**

**Exclude**: (1) mortgages on your personal residences unless rented (**except for Senators**); (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in the instructions. For more information on reporting revolving charge accounts, see Financial Disclosure Instructions for CY 2018.

**EXEMPTION TEST** (see Financial Disclosure Instructions for CY 2018): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

 Amendment

Page Number

## PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Report any positions held by you during the applicable reporting period, whether compensated or not (see Financial Disclosure Instructions for CY 2018, p. 24). Positions include, but are not limited to, those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

**Exclude:** Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

|          | Name of Organization                               | Address (City, State)   | Type of Organization    | Position Held                    | From (Mo/Yr)         | To (Mo/Yr)   |
|----------|--|-------------------------|-------------------------|----------------------------------|----------------------|--------------|
| Example: | National Assn. of Rock Collectors<br>Jones & Smith | NY, NY<br>Hometown, USA | EXAMPLE<br>EXAMPLE      | Non-profit education<br>Law Firm | President<br>Partner | 6/01<br>7/06 |
| 1        | Cotswolds Partners                                 | Rogers, AR              | Real Estate Development | Partner                          | 3/08                 | Present      |
| 2        |  |                         |                         |                                  |                      |              |
| 3        |  |                         |                         |                                  |                      |              |
| 4        |  |                         |                         |                                  |                      |              |
| 5        |  |                         |                         |                                  |                      |              |
| 6        |  |                         |                         |                                  |                      |              |
| 7        |  |                         |                         |                                  |                      |              |
| 8        |  |                         |                         |                                  |                      |              |
| 9        |  |                         |                         |                                  |                      |              |
| 10       |  |                         |                         |                                  |                      |              |
| 11       |  |                         |                         |                                  |                      |              |
| 12       |  |                         |                         |                                  |                      |              |
| 13       |  |                         |                         |                                  |                      |              |

Note: Compensation totaling \$200 or more from any position must be reported on Part II.