## This Report Should Be Filed With:

Secretary of the Senate Office of Public Records Hart Building, Suite 232 Washington, DC 20510

## PERIODIC DISCLOSURE OF FINANCIAL TRANSACTIONS

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and within Reporting Individual's (S) the transaction exceeded \$1,000. notification of such transaction. transactions that resulted in a loss. Richard not report a transaction involving an any stocks, Report any purchase, clarify which two assets are involved in property, or a excepted investment fund, any real @9|3@E|C-9|10-1 (J may this disclosure be filed more than 45 any reportable exchange. your spouse, 15 2 6 ω **二** Example you, NYSE NYSE Level 3 Inc.(stock) NYSE Spouse Dependent Child (S) Actuant Corp. (S) Hain Celestial Group Inc. other securities when the (S) MSC Industrial Direct NASDAQ NASDAQ Hain Celestial Group Inc. (stock) NYSE MSC Industrial Actuant Corp. stock) NYSE after such transaction. 30 days your spouse, Identification of Assets bonds, commodity futures, Communications Inc. or dependent child. transaction of receiving written Communications (DC) NASDAQ/OTC IBM Corp. Class Direct Co. or dependent child sale, or exchange Class Microsoft ➣ between In no event (stock) NYS (stock) A (stock) ☐ Amendment amount of င္ပ (stock) nc. Report (stock) Include (stock) (stock) ը C NYSE 0 ➣ ➣ Senate Office / Agency in Which × × × **Purchase**  $\times$ ransaction Type × × × Sale ×  $\Xi$ **Exchange** (Mo., Day, Yr.) 10/19/2017 10/19/2017 10/19/2017 10/19/2017 10/19/2017 10/19/2017 10/19/2017 10/19/2017 ~ Date 7 -7 Employe ネ  $\times$  $\times$  $\times$  $\times$  $\times$ × \$1,001 - \$15,000  $\times$  $\times$ \$15,001 - \$50,000 \$50,001 - \$100,000 Amount × \$100,001 - \$250,000 Ш Ш \$250,001 - \$500,000 잋 Transaction × × \$500,001 - \$1,000,000 > Over \$1,000,000\*\*\* 3 3 \$1,000,001 - \$5,000,000  $\widehat{\mathbf{x}}$ T ס \$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000 Ш M Over \$50,000,000