

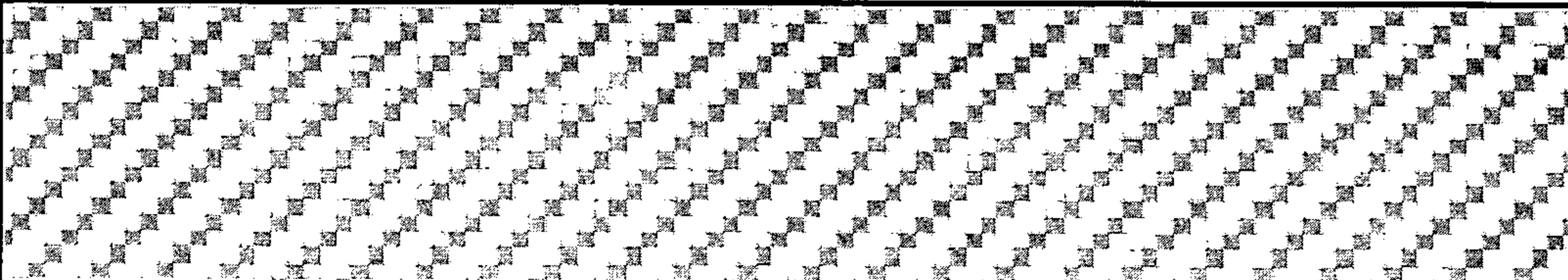
# UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

<input type="checkbox"/> Amendment			
Last Name	First Name and Middle Initial	Annual Report	
Durbin	Richard J.	Calendar Year Covered by Report	Senate Office / Agency in Which Employed
Senate Office Address (Number, Street, City, State, and ZIP Code)		Senate Office Telephone Number (Include Area Code)	Termination Report
U.S. Capitol, S-321, Washington, DC 20510		(202) 224-9447	Termination Date (mm/dd/yy)
Prior Office / Agency in Which Employed			

**AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART**

YES	NO	YES	NO		
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.		<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$350 from one source)? If Yes, complete and attach PART VI.	
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, complete and attach PART II.		<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.		<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, complete and attach PART IV.		<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.	
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If Yes, complete and attach PART V.		<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, complete and attach PART X.	

**Each question must be answered and the appropriate PART attached for each “YES” response.**



FOR OFFICIAL USE ONLY  
Do Not Write Below This Line

PM 3:01  
THE SENATE

Q65

Reporting Individual's Name Richard J. Durbin	<input type="checkbox"/> Amendment	PART I. PAYMENTS TO PAY CHARITABLE ORGANIZATIONS IN LIEU OF HONORARIA	Page Number 3
--	------------------------------------	---	------------------

Report the source (name and address), date, and amount of any payment from each source to a charitable organization made in lieu of honoraria to you during the reporting period. Identify the activity (speech, article, or appearance), which generated the payment. For further information, see Instructions.

Note: Travel expenses in excess of \$350 related to activities giving rise to these payments must be reported in Part VI, Reimbursements.

Date of Payment	Name of Source	Address (City, State)	Speech, Article, or Appearance	Amount
Example:	3/26/1X <i>Association of American Associations</i>	Wash., DC <b>EXAMPLE</b>	Speech	\$1,000
	7/23/1X <i>XYZ Magazine</i>	NY, NY <b>EXAMPLE</b>	Article	\$500
1	not applicable			
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				

A separate, confidential report which names the charitable organization receiving such payments must be filed directly with the Select Committee on Ethics.

## PART II. EARNED AND NON-INVESTMENT INCOME

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

**Individuals not covered by the Honoraria Ban:**

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

	Name of Income Source	Address (City, State)	Type of Income	Amount
Example:	JP Computers	Wash., DC	EXAMPLE	Salary \$15,000
	MCI (Spouse)	Arlington, VA	EXAMPLE	Salary Over \$1,000
1	U.S. Senate	Washington, DC	Salary	\$174,000
2	Soc. Sec.	Washington, DC	Benefits	\$30,255
3	Soc. Sec. (Spouse)	Washington, DC	Benefits	\$20,550
4	Gov't Affairs Consulting, LLC (Spouse)	Springfield, IL	Salary	\$64,234
5				
6				
7				
8				
9				
0				
1				
2				
3				



Reporting Individual's Name

 Amendment

Page Number

6

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)																								
\$1,001 - \$15,000	x																							
\$15,001 - \$50,000		x																						
\$50,001 - \$100,000			x																					
\$100,001 - \$250,000				x																				
\$250,001 - \$500,000					x																			
\$500,001 - \$1,000,000						x																		
Over \$1,000,000***							x																	
\$1,000,001 - \$5,000,000								x																
\$5,000,001 - \$25,000,000									x															
\$25,000,001 - \$50,000,000										x														
Over \$50,000,000											x													

BLOCK C  
Type and Amount of Income

## Type of Income

## Amount of Income

Actual  
AmountRequired  
if  
"Other"  
Specified

None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000
None	x								
Dividends	x								
Rent		x							
Interest		x							
Capital Gains			x						
Excepted Investment Fund				x					
Excepted Trust					x				
Qualified Blind Trust						x			
Other (Specify Type)							x		

S, IBM Corp. (stock)  
Example: DC, or J (S) Keystone Fund

1 J Fidelity RSP (122 sh: \$8,692)

x

2 J Fidelity VIG (55 sh: \$4,138)

x

3 J Fidelity FSEVX (203 sh: \$10,872)

x

4 J Fidelity FNCMX (173 sh: \$9,586)

x

5 J Fidelity OAKIX (392 sh: \$10,382)

x

6 J Fidelity GASFX (399 sh: \$10,592)

x

7 RJD - Fidelity FSEVX (193 sh: \$10,318)

x

8 RJD - Fidelity FNCMX (174 sh: \$9,643)

x

9 S Fidelity - SPLV (525 sh: \$17,409)

x

10 S Fidelity - RSP (395 sh: \$28,143)

x

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click... to create an additional page for this part.

### **Reporting Individual's Name**

Amendment

Page Number

## **PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

7

**BLOCK A**  
**Identity of Publicly Traded Assets**  
**And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
  - (2) generated over \$200 in "unearned" income during the reporting period.

**Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.**

BLOCK B

**At the close of reporting period**  
**If None, or less than \$1,001,**  
**Check the first column.**

## BLOCK C

**EXEMPTION TEST** (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

**\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.**

1

Reporting Individual's Name

 Amendment

Richard J. Durbin

Page Number

8

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
-----------------------------	--------------------	---------------------	----------------------	-----------------------	-----------------------	-------------------------	---------------------	---------------------------	----------------------------	-----------------------------	-------------------

BLOCK C  
Type and Amount of Income

Type of Income								Amount of Income												Actual Amount  Required if "Other" Specified	
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000			

S, Example: DC, or J	IBM Corp. (stock)	X																			Example
	(S) Keystone Fund		X																		Example
1	RJD - Evolution LQD	X																			
2	RJD - Evolution AMJ	X																			
3	RJD - Evolution PCY	X																			
4	RJD - Evolution SPLV	X																			
5	RJD - Evolution RSP	X																			
6	RJD - Evolution VIG	X																			
7	RJD - Evolution VWO	X																			
8	S Evolution SEP - PCY	X																			
9	S Evolution SEP - IAU	X																			
10	S Evolution SEP - LQD	X																			

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click... to create an additional page for this part.

Reporting Individual's Name

 Amendment

Richard J. Durbin

Page Number

9

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
-----------------------------	--------------------	---------------------	----------------------	-----------------------	-----------------------	-------------------------	---------------------	---------------------------	----------------------------	-----------------------------	-------------------

BLOCK C  
Type and Amount of Income

Type of Income								Amount of Income				Actual Amount Required if "Other" Specified						
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>													
1 S Evolution SEP - IAU	x								x			x						
2 S Evolution SEP - VQT	x								x			x						
3 S Evolution SEP - DBLTX	x								x			x						
4 S Evolution SEP - AMJ	x								x			x						
5 S Evolution SEP - JSOSX	x								x			x						
6 S Evolution SEP - RNDLX	x								x			x						
7 S Evolution SEP - VWO	x								x			x						
8 S Schwab SEP - MOSAIC	x								x			x						
9 S Fidelity SEP - MOSAIC	x								x			x						
10 S Fidelity SEP - THOPX	x								x			x						

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name  Amendment  
Richard J. Durbin

Page Number

10

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
-----------------------------	--------------------	---------------------	----------------------	-----------------------	-----------------------	-------------------------	---------------------	---------------------------	----------------------------	-----------------------------	-------------------

## BLOCK C

## Type and Amount of Income

Type of Income										Amount of Income									
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	Actual Amount Required if "Other" Specified

S, Example: DC, or J	(S)	IBM Corp. (stock)	x							x	x					Example
		Keystone Fund		x						x	x					Example
1	J	Evolution PCY	x							x	x					
2	J	Evolution IAU	x							x	x					
3	J	Evolution VQT	x							x	x					
4	J	Evolution DBLTX	x							x	x					
5	J	Evolution AMJ	x							x	x					
6	J	Evolution JSOSX	x							x	x					
7	J	Evolution RNDLX	x							x	x					
8	J	Evolution VWO	x							x	x					
9	J															
10																

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

Richard J. Durbin

11

## PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

## Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
-----------------------------	--------------------	---------------------	----------------------	-----------------------	-----------------------	-------------------------	---------------------	---------------------------	----------------------------	-----------------------------	-------------------

## BLOCK C

## Type and Amount of Income

Type of Income							Amount of Income														
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified	
	X									X											Example
	X									X											Example

S, Example: DC, or J	JP Computer, Software Design, Wash DC	X																			
	Undeveloped land, Dubuque, Iowa		X																		
S	Gov't Affairs Consulting, LLC	X																			
	Springfield residence (\$260,000 est)		X																		
3	Chicago condo (\$290,000 est)			X																	
	Car (\$15,521 est)		X																		
	Truck (\$3,257 est)		X																		
0																					

XEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

 Amendment

Richard J. Durbin

Page Number

12

## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

	S, Example: DC, or J	Transaction Type (x)			Amount of Transaction (x)												
		Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000		
		X			2/1/1X	X				E	X	A	M	P	L	E	
			X		1/27/1X					X	E	X	A	M	P	L	E
1	RJD - Evolution IAU (227 sh: \$3701)	X			01/04/13	X											
2	RJD - Evolution RSP (83 sh: \$4,403)	X			01/04/13	X											
3	RJD - Evolution VWO (78 sh: \$3,465)	X			01/04/13	X											
4	RJD - Evolution DBLTX (2,961 sh: \$33,608)	X			02/11/13		X										
5	RJD - Evolution JSOSX (283 sh: \$3,373)	X			02/11/13	X											
6	RJD - Evolution RNDLX (1,210 sh: \$13,699)	X			02/11/13	X											
7	RJD - Evolution VQT (101 sh: \$13,499)	X			02/13/13	X											
8	RJD - Evolution IAU (727 sh: \$11,781)	X			02/13/13	X											
9	RJD - Evolution LQD (57 sh: \$6,799)	X			02/13/13	X											
10	RJD - Evolution AMJ (172 sh: \$7,389)	X			02/13/13	X											
11	RJD - Evolution PCY (293 sh: \$8,961)	X			02/13/13	X											
12	RJD - Evolution SPLV (506 sh: \$14,797)	X			02/13/13	X											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.  
 \*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

 Amendment

Richard J. Durbin

Page Number

13

## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000.

Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

Example: S,  
DC,  
or J

IBM Corp. (stock) NYSE

(DC) Microsoft (stock) NASDAQ/OTC

							Amount of Transaction (x)														
							Purchase	Sale	Exchange	Transaction Type (x)	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000
1	RJD - Evolution RSP (256 sh: \$14,733)				X						2/1/1X	X		E	X	A	M	P	L	E	
2	RJD - Evolution VIG (173 sh: \$11,024)					X					1/27/1X			X	E	X	A	M	P	L	E
3	RJD - Evolution VWO (267 sh: \$11,813)					X					02/13/13	X									
4	S Evolution SEP - IAU (236 sh: \$3,848)				X						01/04/13	X									
5	S Evolution SEP - RSP (53 sh: \$3,607)				X						01/04/13	X									
6	S Evolution SEP - VWO (80 sh: \$3,554)				X						01/04/13	X									
7	S Evolution SEP - PCY (301 sh: \$8,996)					X					03/20/13	X									
8	S Evolution SEP - RSP (131 sh: \$7,770)				X						03/25/13	X									
9	S Evolution SEP - IAU (208 sh: \$2,822)					X					05/20/13	X									
10	S Evolution SEP - VQT (24 sh: \$3,381)				X						06/05/13	X									
11	S Evolution SEP - LQD (27 sh: \$3,177)					X					06/05/13	X									
12	S Evolution SEP - IAU (543 sh: \$6,826)					X					06/26/13	X									

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

Reporting Individual's Name  Amendment  
Richard J. Durbin

Page Number

## PART IV. TRANSACTIONS

14

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000.

Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

	S, Example: DC, or J	Description of Asset	Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)										
			Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000				
1	S	IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDAQ/OTC	X			2/1/1X	X			E	X	A	M	P	L	E	
2	S	Evolution SEP - VQT (128 sh: \$18,169)		X		1/27/1X				X	E	X	A	M	P	L	E
3	S	Fidelity SEP - VQT (128 sh: \$18,169)		X		09/19/13											
4	S	Evolution SEP - DBLTX (3,067 sh: \$33,557)		X		09/19/13				X							
5	S	Fidelity SEP - DBLTX (3,067 sh: \$33,557)		X		09/19/13				X							
6	S	Evolution SEP - AMJ (193sh: \$8,665)		X		09/19/13				X							
7	S	Fidelity SEP - AMJ (193 sh: \$8,665)		X		09/19/13				X							
8	S	Evolution SEP - JSOSX (298 sh: \$3,540)		X		09/19/13				X							
9	S	Fidelity SEP - JSOSX (298 sh: \$3,540)		X		09/19/13				X							
10	S	Evolution SEP - SPLV (525 sh: \$16,789)		X		09/19/13				X							
11	S	Fidelity SEP - SPLV (525 sh: \$16,789)		X		09/19/13				X							
12	S	Evolution SEP - RNDLX (1253 sh: \$13,363)		X		09/19/13				X							

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.  
 \*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

Reporting Individual's Name	<input type="checkbox"/> Amendment
Richard J. Durbin	

Page Number

15

## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

### Identification of Assets

S, Example: DC, or J	IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDAQ/OTC	Transaction Type (x)			Amount of Transaction (x)								
		Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000

1 S	Fidelity SEP - RNDLX (1253 sh: \$13,363)	X			09/19/13	X								
2 S	Evolution SEP - RSP (395 sh: \$26,413)		X		09/19/13		X							
3 S	Fidelity SEP - RSP (395 sh: \$26,413)	X			09/19/13		X							
4 S	Evolution SEP - VIG (179 sh: \$12,828)		X		09/19/13		X							
5 S	Fidelity SEP - VIG (179 sh: \$12,828)	X			09/19/13		X							
6 S	Evolution SEP - VWO (276 sh: \$11,811)		X		09/19/13		X							
7 S	Fidelity SEP - VWO (276 sh: \$11,811)	X			09/19/13		X							
8 S	Schwab SEP - MOSAIC (52 sh: \$2,391)		X		09/19/13		X							
9 S	Fidelity SEP - MOSAIC (52 sh: \$2,391)	X			09/19/13		X							
10 S	Fidelity SEP - MOSAIC (52 sh: \$2,325)		X		09/23/13		X							
11 S	Fidelity SEP - AMJ (193 sh: \$8,480)		X		09/24/13		X							
12 S	Fidelity SEP - VWO (276 sh: \$11,790)		X		09/24/13		X							

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.  
 \*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

[Click... to create an additional page for this part.](#)

Reporting Individual's Name

 Amendment

Richard J. Durbin

Page Number

16

## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000.

Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

	S, Example: DC, or J	Transaction Type (x)	Purchase			Sale			Exchange			Amount of Transaction (x)						
			Purchase	Sale	Exchange	Purchase	Sale	Exchange	Purchase	Sale	Exchange	Purchase	Sale	Exchange	Purchase	Sale	Exchange	
		IBM Corp. (stock) NYSE	X									X			E	X	A	
		(DC) Microsoft (stock) NASDAQ/OTC		X											X	E	X	
1	S	Fidelity SEP - DBLTX (3,067 sh: \$33,741)		X								X			M	P	L	E
2	S	Fidelity SEP - GASFX (799 sh: \$20,000)		X								X						
3	S	Fidelity SEP - JSOSX (298 sh: \$3,537)		X								X						
4	S	Fidelity SEP - RNDLX (1253 sh: \$13,425)		X								X						
5	S	Fidelity SEP - THOPX (1687 sh: \$20,000)		X								X						
6	S	Fidelity SEP - OAKIX (786 sh: \$20,000)		X								X						
7	S	Fidelity SEP - THOPX (1769 sh: \$20,000)		X								X						
8	S	Fidelity SEP - VQT (128 sh: \$17,987)		X								X						
9	S	Fidelity SEP - FBIOX (113 sh: \$20,000)		X								X						
10	S	Fidelity SEP - VILLX (787 sh: \$20,000)		X								X						
11	S	Fidelity SEP - THOPX (3456 sh: \$40,506)		X								X						
12	J	Evolution IAU (73 sh: \$1,190)		X								X						

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.  
 \*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click . to create an additional page for this part.

Reporting Individual's Name

 Amendment

Richard J. Durbin

Page Number

17

## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

Example: S,  
DC,  
or J

IBM Corp. (stock) NYSE

(DC) Microsoft (stock) NASDAQ/OTC

							Amount of Transaction (x)																												
							Transaction Type (x)			Transaction Date (Mo., Day, Yr.)																									
							Purchase	Sale	Exchange																										
1	J	Evolution RSP (18 sh: \$955) (amount of transaction less than \$1,001)			X					2/1/1X			X								E	X	A	M	P	L	E								
2	J	Evolution VWO (25 sh: \$1,110)				X				1/27/1X										X	E	X	A	M	P	L	E								
3	J	Evolution PCY (94 sh: \$2,809)					X				03/20/13			X																					
4	J	Evolution RSP (51 sh: \$3,023)			X					03/25/13			X																						
5	J	Evolution IAU (64 sh: \$869) (amount of transaction less than \$1,001)				X				05/20/13																									
6	J	Evolution VQT (7 sh: \$986) (amount of transaction less than \$1,001)			X					06/05/13																									
7	J	Evolution LQD (8 sh: \$941) (amount of transaction less than \$1,001)				X				06/05/13																									
8	J	Evolution IAU (169 sh: \$2,122)					X			06/21/13			X																						
9	J	Evolution VQT (39 sh: \$5,536)					X			09/19/13			X																						
10	J	Fidelity VQT (39 sh: \$5,536)			X					09/19/13			X																						
11	J	Evolution DBLTX (949 sh: \$10,385)				X				09/19/13			X																						
12	J	Fidelity DBLTX (949 sh: \$10,385)			X					09/19/13			X																						

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.  
 \*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click... to create an additional page for this part.

Reporting Individual's Name

 Amendment

Richard J. Durbin

Page Number

18

## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

Example: S, DC, or J		IBM Corp. (stock) NYSE	Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)										
		(DC) Microsoft (stock) NASDAQ/OTC					\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000

1	J	Evolution AMJ (53 sh: \$2,379)		X		09/19/13	X									
2	J	Fidelity AMJ (53 sh: \$2,379)		X		09/19/13	X									
3	J	Evolution JSOSX (91 sh: \$1,082)		X		09/19/13	X									
4	J	Fidelity JSOSX (91 sh: \$1,082)		X		09/19/13	X									
5	J	Evolution SPLV (162 sh: \$5,180)		X		09/19/13	X									
6	J	Fidelity SPLV (162 sh: \$5,180)		X		09/19/13	X									
7	J	Evolution RNDLX (388 sh: \$4,136)		X		09/19/13	X									
8	J	Fidelity RNDLX (388 sh: \$4,136)		X		09/19/13	X									
9	J	Evolution RSP (122 sh: \$8,158)		X		09/19/13	X									
10	J	Fidelity RSP (122 sh: \$8,158)		X		09/19/13	X									
11	J	Evolution VIG (55 sh: \$3,941)		X		09/19/13	X									
12	J	Fidelity VIG (55 sh: \$3,941)		X		09/19/13	X									

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.  
 \*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click... to create an additional page for this part.

Reporting Individual's Name  Amendment  
Richard J. Durbin

Page Number

19

## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

	Example: S, DC, or J	Identification of Assets	Transaction Type (x)			Amount of Transaction (x)									
			Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000
		IBM Corp. (stock) NYSE	X			2/1/1X	X				E	X	A	M	P
		(DC) Microsoft (stock) NASDAQ/OTC		X		1/27/1X				X	E	X	A	M	P
1	J	Evolution - VWO (85 sh: \$3,637)		X		09/19/13	X								
2	J	Fidelity - VWO (85 sh: \$3,637)	X			09/19/13	X								
3	J	Fidelity DBLTX (949 sh: \$10,404)		X		09/19/13	X								
4	J	Fidelity RNDLX (388 sh: \$4,140)		X		09/19/13	X								
5	J	Fidelity GASFX (388.5 sh: \$10,000)	X			09/24/13	X								
6	J	Fidelity JSOSX (91 sh: \$1,081)		X		09/24/13	X								
7	J	Fidelity VWO (85 sh: \$3,625)		X		09/24/13	X								
8	J	Fidelity FSEVX (198 sh: \$10,000)	X			09/25/13	X								
9	J	Fidelity OAKIX (381 sh: \$10,000)	X			09/26/13	X								
10	J	Fidelity VQT (39 sh: \$5,480)		X		09/27/13	X								
11	J	Fidelity AMJ (53 sh: \$2,332)		X		09/27/13	X								
12	J	Fidelity FNCMX (171 sh: \$9,000)	X			10/23/13	X								

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.  
 \*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click... to create an additional page for this part.

Reporting Individual's Name

 Amendment

Richard J. Durbin

Page Number

20

## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000.

Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

**Example:** S, DC, or J      IBM Corp. (stock) NYSE  
                           (DC) Microsoft (stock) NASDAQ/OTC

							Amount of Transaction (x)																												
							Transaction Type (x)			Transaction Date (Mo., Day, Yr.)			\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000												
Purchase	Sale	Exchange																																	
1	RJD - FNCMX (172 sh: \$9,030)		X																																
2	RJD - FSEVX (193 sh: \$10,000)			X																															
3																																			
4																																			
5																																			
6																																			
7																																			
8																																			
9																																			
10																																			
11																																			
12																																			

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.  
 \*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

Reporting Individual's Name	<input type="checkbox"/> Amendment
Richard J. Durbin	

Page Number

**PART V. GIFTS**

21

Report the source, brief description and value of all gifts aggregating more than \$350 in value received by you, your spouse, or your dependent child, (See p.3 CONTENTS OF REPORTS Part B of Instructions), from each source. Gifts with a value of \$140 or less need not be aggregated towards the disclosure threshold. "Gift" is defined in the Instructions.

**Exclude:** (1) Bequests and other forms of inheritance; (2) Political campaign contributions; (3) Communications to your offices including subscriptions to newspapers and periodicals; (4) Consumable products provided by home state businesses to your offices, if those products are intended for consumption by persons other than yourself; (5) Gifts received prior to your Federal employment; (6) Gifts to your spouse or dependent child totally independent of his or her relationship to you; (7) Gifts from relatives; (8) Personal hospitality of any individual (see instructions); (9) meals and beverages unless consumed in connection with a gift of overnight lodging; and (10) Food, lodging, transportation, and entertainment provided by a foreign government within a foreign country, or by federal, state, D.C., or local governments.

Name of Income Source		Address of Source	Dates and Brief Description	Gift Value
Example:	Mr. John Q. Smith	Anytown, VA	EXAMPLE	August 12, 201X, Silver platter - Ethics Committee wedding waiver granted
1	not applicable			\$400
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				

Note: The Senate Gift Rule prohibits most gifts from lobbyists and foreign agents and most gifts from other sources in excess of \$49.99.

Reporting Individual's Name Richard J. Durbin	<input type="checkbox"/> Amendment	PART VI. REIMBURSEMENTS	Page Number 22
--	------------------------------------	-------------------------	-------------------

Report necessary travel related expenses from each source aggregating more than \$350 in value during the reporting period received by you, your spouse and/or dependent child in connection with your provision of services at a speaking engagement, fact-finding event, or other event (personal campaign, or otherwise). Disclosure is required regardless of whether those expenses were **reimbursed** to the individual or **paid directly** by the sponsoring organization. A description of the itinerary, including date(s) and the nature of expenses is required. If you are reimbursed for more than one trip from the same sponsor (and the trips added together are worth more than \$350), then you must report each trip individually, even if the reimbursement for each separate trip does not equal more than \$350. Report Gifts of travel in Part V

**Exclude:** Travel related expenses provided by federal, state, D.C., and local governments; or by a foreign government; reimbursements from campaign funds which are reported to the FEC; reimbursements to a spouse or dependent child totally independent of his or her relationship to you; and reimbursements reported to the Office of Public Records pursuant to Senate Rule 35. For further information, see Instructions.

Name of Income Source		Address of Source	Dates and Brief Description
Example:	All States Company	Maintown, TX <b>EXAMPLE</b>	<i>Roundtrip air travel from Washington, D.C. to Maintown, TX and lunch for self and spouse for speaking engagement: May 1-3, 201X</i> <b>EXAMPLE</b>
1	not applicable		
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			

Reporting Individual's Name

 Amendment

Page Number

Richard J. Durbin

## PART VII. LIABILITIES

23

Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) mortgages on your personal residences unless rented (except for Senators); (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

Name of Creditor		Address	Type of Liability	Date Incurred	Interest Rate	Discount Points Paid for Mortgage (Senators Only)	Term if Applicable	Category of Amount of Value (x)							
Example: DC, or J	First District Bank	Wash., DC	Mortgage on undeveloped land	1992	13%	1 pt	25 yrs	X	E	X	A	M	P	L	E
	(J) John Jones	Wash., DC	Promissory Note	2000	10%	n/a	On dmd		X	E	X	A	M	P	E
1	Bank of Springfield (\$52,066)	Springfield, IL	Mortgage -- Springfield, IL	2012	3.475	n/a	30 yr	X							
2	Fifth-Third (\$184,555)	Cincinnati, OH	Mortgage - Chicago, IL	2010	4.375	n/a	30 yr		X						
3															
4															
5															
6															
7															
8															
9															
10															
11															
12															

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name Richard J. Durbin	<input type="checkbox"/> Amendment	PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT	Page Number 24
--	------------------------------------	---	-------------------

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

**Exclude:** Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

	Name of Organization	Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	National Assn. of Rock Collectors	NY, NY	EXAMPLE	Non-profit education	President	6/91
	Jones & Smith	Hometown, USA	EXAMPLE	Law Firm	Partner	7/96
1	not applicable					
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						

Compensation in excess of \$200 from any position must be reported in Part II.

Reporting Individual's Name

 Amendment

Richard J. Durbin

Page Number

25

## PART IX. AGREEMENTS OR ARRANGEMENTS

Report your agreements or arrangements for future employment (including agreements with a publisher for writing a book or sale of other intellectual property), leaves of absence, continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. See Instructions regarding the reporting of negotiations for any of these arrangements or benefits.

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	<i>Pursuant to partnership agreement, will receive lump sum payment of capital account &amp; partnership share calculated on services performed through 11/0X and retained pension benefits (diversified, independently managed, fully funded, defined contribution plan)</i>	Jones & Smith, Hometown, USA	<b>EXAMPLE</b> 1 / 94
	<i>Employment agreement with XYZ Co. to become Vice President of Government Relations. Terms of agreement include salary between \$50,001-\$100,000, signing bonus between \$2,501-\$5,000 and stock options</i>	XYZ Co., Bethesda, MD	<b>EXAMPLE</b> 1 / 1X
1	not applicable		
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			
14			

Reporting Individual's Name Richard J. Durbin	<input type="checkbox"/> Amendment	PART X. COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE	Page Number 26	
<b>FIRST TIME FILERS ONLY: (Except Candidate Reports - All Candidate Reports Must Include Part X If Applicable)</b>				
<p>Report sources of compensation received by you or your business affiliation for services provided directly by you during the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any non-profit organization when you directly provided the services to the clients and/or customers of the firm that generated a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.</p>				
	Name of Source	Address of Source	Brief Description of Duties	
Example:	Jones & Smith Metro University (client of Jones & Smith)	Hometown, TX Moneytown, USA	Legal Services <i>Legal Services in connection with university construction</i>	EXAMPLE EXAMPLE
1	not applicable			
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				
14				
15				

00000015128

Richard J. & Loretta M. Durbin

*Form 1040*

*2013 Income Tax Returns*

Prepared by:

Michelle J. Usher, CPA

# Two-Year Comparison Worksheet

**2013**

Name(s) as shown on return

**RICHARD J. & LORETTA M. DURBIN**

2012 Filing Status **MARRIED FILING JOINT**

2013 Filing Status **MARRIED FILING JOINT**

Social security number  
[REDACTED]

Description	Tax Year 2012	Tax Year 2013	Increase (Decrease)
WAGES, SALARIES, AND TIPS	150,406.	149,843.	-563.
SCHEDULE B - TAXABLE INTEREST	2,119.	1,960.	-140.
SCHEDULE B - ORDINARY DIVIDENDS	860.	1,261.	-159.
SCHEDULE B - QUALIFIED DIVIDENDS	68,108.	87,492.	401.
SCH. C/C-EZ (BUSINESS INCOME/LOSS)	22,691.	440.	19,384.
SCHEDULE D (CAPITAL GAIN/LOSS)	42,997.	43,186.	-22,251.
TAXABLE SOCIAL SECURITY BENEFITS	0.	85.	189.
OTHER INCOME	286,959.	283,504.	85.
<b>TOTAL INCOME</b>	<b>282,148.</b>	<b>277,323.</b>	<b>-4,825.</b>
<b>DEDUCTIBLE PART OF SE TAX</b>	<b>4,811.</b>	<b>6,181.</b>	<b>1,370.</b>
<b>TOTAL ADJUSTMENTS</b>	<b>4,811.</b>	<b>6,181.</b>	<b>1,370.</b>
<b>ADJUSTED GROSS INCOME</b>	<b>234,134.</b>	<b>227,819.</b>	<b>-6,315.</b>
<b>INCOME BEFORE EXEMPTIONS</b>	<b>7,600.</b>	<b>7,800.</b>	<b>200.</b>
<b>PERSONAL EXEMPTIONS</b>	<b>226,534.</b>	<b>220,019.</b>	<b>-6,515.</b>
<b>TAX</b>	<b>48,147.</b>	<b>48,850.</b>	<b>703.</b>
<b>FORM 6251 (ALTERNATIVE MINIMUM TAX)</b>	<b>2,615.</b>	<b>1,871.</b>	<b>-744.</b>
<b>TAX BEFORE CREDITS</b>	<b>50,762.</b>	<b>50,721.</b>	<b>-41.</b>
<b>FORM 1116 (FOREIGN TAX CREDIT)</b>	<b>51.</b>	<b>55.</b>	<b>4.</b>
<b>TAX AFTER NON-REFUNDABLE CREDITS</b>	<b>50,711.</b>	<b>50,666.</b>	<b>-45.</b>
<b>SCHEDULE SE (SELF-EMPLOYMENT TAX)</b>	<b>8,365.</b>	<b>12,362.</b>	<b>3,997.</b>
<b>FORM 8960 (NET INVEST. INCOME TAX)</b>	<b>0.</b>	<b>83.</b>	<b>83.</b>
<b>TOTAL TAX</b>	<b>59,076.</b>	<b>63,111.</b>	<b>4,035.</b>
<b>FEDERAL INCOME TAX WITHHELD</b>	<b>35,771.</b>	<b>25,398.</b>	<b>-10,373.</b>
<b>ESTIMATED TAX PAYMENTS</b>	<b>21,680.</b>	<b>29,240.</b>	<b>7,560.</b>
<b>TOTAL PAYMENTS</b>	<b>57,451.</b>	<b>54,638.</b>	<b>-2,813.</b>
<b>FORM 2210/2210F (EST. TAX PENALTY)</b>	<b>0.</b>	<b>43.</b>	<b>43.</b>
<b>BALANCE DUE (INCLUDING 2210/2210F)</b>	<b>1,625.</b>	<b>8,516.</b>	<b>6,891.</b>
<b>ILLINOIS STATE RETURN</b>	<b>233,051.</b>	<b>227,937.</b>	<b>-5,114.</b>
<b>TAXABLE INCOME</b>	<b>11,653.</b>	<b>11,397.</b>	<b>-256.</b>
<b>TAX</b>	<b>253.</b>	<b>259.</b>	<b>6.</b>
<b>NON-REFUNDABLE CREDITS</b>	<b>35.</b>	<b>42.</b>	<b>7.</b>
<b>OTHER TAXES</b>	<b>10,920.</b>	<b>11,367.</b>	<b>447.</b>
<b>PAYMENTS</b>	<b>0.</b>	<b>187.</b>	<b>187.</b>
<b>OVERPAYMENT APPLIED TO ESTIMATED TAX</b>			

# Two-Year Comparison Worksheet

2013

Name(s) as shown on return

RICHARD J. & LORETTA M. DURBIN

2012 Filing Status MARRIED FILING JOINT

2012 Tax Bracket 33.0%

2013 Filing Status MARRIED FILING JOINT

2013 Tax Bracket 28.0%

Social security number  
[REDACTED]

Description	Tax Year 2012	Tax Year 2013	Increase (Decrease)
BALANCE DUE	515.	0.	-515.

00000015130

# For 1040 U.S. Individual Income Tax Return (99) 2013

OMB No. 1545-0074

IRS Use Only - Do not write or staple in this space.

For the year Jan. 1-Dec. 31, 2013, or other tax year beginning \_\_\_\_\_, 2013, ending \_\_\_\_\_, 2014

Your first name and initial

**RICHARD J.**

If a joint return, spouse's first name and initial

**LORETTA M.**

Home address (number and street). If you have a P.O. box, see instructions.

**1525 SOUTH BATES AVENUE**

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below.

**SPRINGFIELD, IL 62704**

Foreign country name

Foreign province/state/country

Foreign postal code

Apt. no.

See separate instructions.

Your social security number

Spouse's social security number

Make sure the SSN(s) above and on line 8c are correct.

Presidential Election Campaign Check here if you, or your spouse if filing jointly, want \$3 to go to this fund. Checking a box below will not change your tax or refund.

You  Spouse

**Filing Status** 1  Single

2  Married filing jointly (even if only one had income)

3  Married filing separately. Enter spouse's SSN above

Check only one box.

6a  Yourself. If someone can claim you as a dependent, do not check box 6a

b  Spouse

c Dependents:

(1) First name \_\_\_\_\_ Last name \_\_\_\_\_

(2) Dependent's social security number \_\_\_\_\_

(3) Dependent's relationship to you \_\_\_\_\_

(4) If child under age 17  
building for child tax credit  
● lived with you  
● did not live with you due to divorce or separation (see instructions) \_\_\_\_\_

Dependents on 8c not entered above \_\_\_\_\_

Add numbers on lines above \_\_\_\_\_

d Total number of exemptions claimed. \_\_\_\_\_

7 149,843.  
8a 498.

b Tax-exempt interest. Attach Schedule B if required

9a Ordinary dividends. Attach Schedule B if required

b Qualified dividends

10 Taxable refunds, credits, or offsets of state and local income taxes

11 Alimony received

12 Business income or (loss). Attach Schedule C or C-EZ

13 Capital gain or (loss). Attach Schedule D if required. If not required, check here

14 Other gains or (losses). Attach Form 4797

15a IRA distributions **15a**   
**16a**

b Taxable amount

16a Pensions and annuities **16a**

b Taxable amount

17 Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E

18 Farm income or (loss). Attach Schedule F

19 Unemployment compensation

20a Social security benefits **20a**  50,807.  
21 Other income. List type and amount **THE INVESTMENT CENTER** **85.**

b Taxable amount

22 Combine the amounts in the far right column for lines 7 through 21. This is your total income

22 **283,504.**

23 Educator expenses Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ

24 Health savings account deduction. Attach Form 8889

25 Moving expenses. Attach Form 3903

26 Deductible part of self-employment tax. Attach Schedule SE

27 Self-employed SEP, SIMPLE, and qualified plans

28 Self-employed health insurance deduction

29 Penalty on early withdrawal of savings

30 Alimony paid **30**  Recipient's SSN

31a IRA deduction **31a**   
**32**   
**33**   
**34**   
**35**   
**36**

31b Student loan interest deduction **31b**   
**32**   
**33**   
**34**   
**35**   
**36**

31c Tuition and fees. Attach Form 8917 **31c**   
**32**   
**33**   
**34**   
**35**   
**36**

31d Domestic production activities deduction. Attach Form 8903 **31d**   
**32**   
**33**   
**34**   
**35**   
**36**

31e Subtract line 36 from line 22. This is your adjusted gross income **31e**   
**37**   
**38**   
**39**   
**40**   
**41**   
**42**   
**43**   
**44**   
**45**   
**46**   
**47**   
**48**   
**49**   
**50**   
**51**   
**52**   
**53**   
**54**   
**55**   
**56**   
**57**   
**58**   
**59**   
**60**   
**61**   
**62**   
**63**   
**64**   
**65**   
**66**   
**67**   
**68**   
**69**   
**70**   
**71**   
**72**   
**73**   
**74**   
**75**   
**76**   
**77**   
**78**   
**79**   
**80**   
**81**   
**82**   
**83**   
**84**   
**85**   
**86**   
**87**   
**88**   
**89**   
**90**   
**91**   
**92**   
**93**   
**94**   
**95**   
**96**   
**97**   
**98**   
**99**   
**100**   
**101**   
**102**   
**103**   
**104**   
**105**   
**106**   
**107**   
**108**   
**109**   
**110**   
**111**   
**112**   
**113**   
**114**   
**115**   
**116**   
**117**   
**118**   
**119**   
**120**   
**121**   
**122**   
**123**   
**124**   
**125**   
**126**   
**127**   
**128**   
**129**   
**130**   
**131**   
**132**   
**133**   
**134**   
**135**   
**136**   
**137**   
**138**   
**139**   
**140**   
**141**   
**142**   
**143**   
**144**   
**145**   
**146**   
**147**   
**148**   
**149**   
**150**   
**151**   
**152**   
**153**   
**154**   
**155**   
**156**   
**157**   
**158**   
**159**   
**160**   
**161**   
**162**   
**163**   
**164**   
**165**   
**166**   
**167**   
**168**   
**169**   
**170**   
**171**   
**172**   
**173**   
**174**   
**175**   
**176**   
**177**   
**178**   
**179**   
**180**   
**181**   
**182**   
**183**   
**184**   
**185**   
**186**   
**187**   
**188**   
**189**   
**190**   
**191**   
**192**   
**193**   
**194**   
**195**   
**196**   
**197**   
**198**   
**199**   
**200**   
**201**   
**202**   
**203**   
**204**   
**205**   
**206**   
**207**   
**208**   
**209**   
**210**   
**211**   
**212**   
**213**   
**214**   
**215**   
**216**   
**217**   
**218**   
**219**   
**220**   
**221**   
**222**   
**223**   
**224**   
**225**   
**226**   
**227**   
**228**   
**229**   
**230**   
**231**   
**232**   
**233**   
**234**   
**235**   
**236**   
**237**   
**238**   
**239**   
**240**   
**241**   
**242**   
**243**   
**244**   
**245**   
**246**   
**247**   
**248**   
**249**   
**250**   
**251**   
**252**   
**253**   
**254**   
**255**   
**256**   
**257**   
**258**   
**259**   
**260**   
**261**   
**262**   
**263**   
**264**   
**265**   
**266**   
**267**   
**268**   
**269**   
**270**   
**271**   
**272**   
**273**   
**274**   
**275**   
**276**   
**277**   
**278**   
**279**   
**280**   
**281**   
**282**   
**283**   
**284**   
**285**   
**286**   
**287**   
**288**   
**289**   
**290**   
**291**   
**292**   
**293**   
**294**   
**295**   
**296**   
**297**   
**298**

38 Amount from line 37 (adjusted gross income)

38 277,323.

**Credits**39a Check  You were born before January 2, 1949,  Blind. Total boxes checked ► 39a 239b If your spouse was born before January 2, 1949,  Blind. ► 39b □

- People who check any box on line 36a or 36b or who can be claimed as a dependent, see instructions.
- All others: Single or Married filing separately, \$6,100
- 40 Itemized deductions (from Schedule A) or your standard deduction (see left margin)
- 41 Subtract line 40 from line 38
- 42 Exemptions. If line 38 is \$150,000 or less, multiply \$3,900 by the number on line 6d. Otherwise, see inst.
- 43 Taxable income. Subtract line 42 from line 41. If line 42 is more than line 41, enter -0.
- 44 Tax. Check if any from: a  Form(s) 8814 b  Form 4972 c
- 45 Alternative minimum tax. Attach Form 6251
- 46 Add lines 44 and 45
- 47 Foreign tax credit. Attach Form 1116 if required
- 48 Credit for child and dependent care expenses. Attach Form 2441
- 49 Education credits from Form 8863, line 19
- 50 Retirement savings contributions credit. Attach Form 8880
- 51 Child tax credit. Attach Schedule 8812, if required
- 52 Residential energy credits. Attach Form 5695
- 53 Other credits from Form: a  3800 b  8801 c
- 54 Add lines 47 through 53. These are your total credits
- 55 Subtract line 54 from line 46. If line 54 is more than line 46, enter -0.
- 55 50,666.

**Other Taxes**

56 Self-employment tax. Attach Schedule SE

**Taxes**57 Unreported social security and Medicare tax from Form: a  4137 b  8919**58 Additional tax on IRAs, other qualified retirement plans, etc. Attach Form 5329 if required****59a Household employment taxes from Schedule H****b First-time homebuyer credit repayment. Attach Form 5405 if required****60 Taxes from: a  Form 8959 b  Form 8960 c  Inst, enter code(s)****61 Add lines 55 through 60. This is your total tax****Payments**

62 Federal income tax withheld from Forms W-2 and 1099

**63 2013 estimated tax payments and amount applied from 2012 return****64a Earned income credit (EIC)****64b Nontaxable combat pay election****Schedule EIC.****65 Additional child tax credit. Attach Schedule 8812****66 American opportunity credit from Form 8863, line 8****67 Reserved****68 Amount paid with request for extension to file****69 Excess social security and tier 1 RRTA tax withheld****70 Credit for federal tax on fuels. Attach Form 4136****71 Credits from Form: a  2439 b  Reserved c  8885 d** **72 Add lines 62, 63, 64a, and 65 through 71. These are your total payments****73 If line 72 is more than line 61, subtract line 61 from line 72. This is the amount you overpaid****74a Amount of line 73 you want refunded to you. If Form 8888 is attached, check here****75 Amount of line 73 you want applied to your 2014 estimated tax****76 Amount you owe. Subtract line 72 from line 61. For details on how to pay, see instructions****You Owe****77 Estimated tax penalty (see instructions)****Third Party Designee****78 Do you want to allow another person to discuss this return with the IRS (see instructions)?****79 Yes. Complete below.****No.****80 Personal identification number (PIN)****81 See instructions.****82 Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true.****83 Declaration of accuracy (other than taxpayer) is based on all information of which preparer has any knowledge.****84 Your signature****85 Spouse's signature. If a joint return, both must sign.****86 Keep a copy for your records.****COPY****87 Date****U. S. SENATOR****88 Your occupation****LOBBYIST****89 Spouse's occupation****U. S. SENATOR****90 If the IRS sent you an Identity Protection PIN, enter it here****Paid****Preparer MICHELLE USHER, CPA****Use Only****Firm's name ► SIKICH LLP**

**Form 2210**

**Underpayment of Estimated Tax by  
Individuals, Estates, and Trusts**

OMB No. 1545-0140

Department of the Treasury  
Internal Revenue Service

► Information about Form 2210 and its separate instructions is at [www.irs.gov/form2210](http://www.irs.gov/form2210).  
► Attach to Form 1040, 1040A, 1040NR, 1040NR-EZ, or 1041.

Attachment  
Sequence No. 06

Name(s) shown on tax return

**RICHARD J. & LORETTA M. DURBIN**

**2013**

Identifying number  
[REDACTED]

**Do You Have To File Form 2210?**

Complete lines 1 through 7 below. Is line 7 less than \$1,000?

Yes

You must file Form 2210. You do not owe a penalty.

Complete lines 8 and 9 below. Is line 6 equal to or more than line 9?

No

Yes

You do not owe a penalty. Do not file Form 2210 (but if box E in Part II applies, you must file page 1 of Form 2210).

You may owe a penalty. Does any box in Part II below apply?

No

Yes

No

Yes

You must figure your penalty.

Do not file Form 2210. You are not required to figure your penalty because the IRS will figure it and send you a bill for any unpaid amount. If you want to figure it, you may use Part III or Part IV as a worksheet and enter your penalty amount on your tax return, but do not file Form 2210.

**Part II Required Annual Payment**

- 1 Enter your 2013 tax after credits from Form 1040, line 55 (see instructions if not filing Form 1040)
- 2 Other taxes, including self-employment tax and, if applicable, Additional Medicare Tax and/or Net Investment Income Tax (see instructions)
- 3 Refundable credits (see instructions)
- 4 Current year tax. Combine lines 1, 2, and 3. If less than \$1,000, stop; you do not owe a penalty.
- 5 Multiply line 4 by 90% (.90)
- 6 Withholding taxes. Do not include estimated tax payments (see instructions)
- 7 Subtract line 6 from line 4. If less than \$1,000, stop; you do not owe a penalty. Do not file Form 2210
- 8 Maximum required annual payment based on prior year's tax (see instructions)
- 9 **Required annual payment.** Enter the smaller of line 5 or line 8

Next: Is line 9 more than line 6?

- No. You do not owe a penalty. Do not file Form 2210 unless box E below applies.
- Yes. You may owe a penalty, but do not file Form 2210 unless one or more boxes in Part II below applies.
- If box B, C, or D applies, you must figure your penalty and file Form 2210.

• If box A or E applies (but not B, C, or D) file only page 1 of Form 2210. You are not required to figure your penalty; the IRS will figure it and send you a bill for any unpaid amount. If you want to figure your penalty, you may use Part III or IV as a worksheet and enter your penalty on your tax return, but file only page 1 of Form 2210.

1	50,666.
2	12,445.
3	( )
4	63,111.
5	56,800.
6	25,398.
7	37,713.
8	64,984.
9	56,800.

**Part II Reasons for Filing.** Check applicable boxes. If none apply, do not file Form 2210.

- M A  You request a waiver (see instructions) of your entire penalty. You must check this box and file page 1 of Form 2210, but you are not required to figure your penalty.
- M B  You request a waiver (see instructions) of part of your penalty. You must figure your penalty and waiver amount and file Form 2210.
- M C  Your income varied during the year and your penalty is reduced or eliminated when figured using the **annualized income installment method**. You must figure the penalty using Schedule A1 and file Form 2210.
- M D  Your penalty is lower when figured by treating the federal income tax withheld from your income as paid on the dates it was actually withheld, instead of in equal amounts on the payment due dates. You must figure your penalty and file Form 2210.
- M E  You filed or are filing a joint return for either 2012 or 2013, but not for both years, and line 8 above is smaller than line 5 above. You must file page 1 of Form 2210, but you are not required to figure your penalty (unless box B, C, or D applies).
- M F  OLHA For Paperwork Reduction Act Notice, see separate instructions.

**Part III Short Method**

- Can You Use the Short Method?**
- You made no estimated tax payments (or your only payments were withheld federal income tax), or
  - You paid the same amount of estimated tax on each of the four payment due dates.

**Must You Use the Regular Method?**

You must use the regular method (Part IV) instead of the short method if:

- You made any estimated tax payments late,
- You checked box C or D in Part II, or
- You are filing Form 1040NR or 1040NR-EZ and you did not receive wages as an employee subject to U.S. income tax withholding.

**Note:** If any payment was made earlier than the due date, you may use the short method, but using it may cause you to pay a larger penalty than the regular method. If the payment was only a few days early, the difference is likely to be small.

10	Enter the amount from Form 2210, line 9	10	56,800.
11	Enter the amount, if any, from Form 2210, line 6	11	25,398.
12	Enter the total amount, if any, of estimated tax payments you made	12	29,240.
13	Add lines 11 and 12	13	54,638.
14	Total underpayment for year. Subtract line 13 from line 10. If zero or less, stop; you do not owe a penalty. Do not file Form 2210 unless you checked box E in Part II	14	2,162.
15	Multiply line 14 by .01995	15	43.
16	• If the amount on line 14 was paid on or after 4/15/14, enter -0-.		
	• If the amount on line 14 was paid before 4/15/14, make the following computation to find the amount to enter on line 16.		
	Amount on line 14      Number of days paid before 4/15/14      X      .00008	16	0.
17	Penalty. Subtract line 16 from line 15. Enter the result here and on Form 1040, line 77; Form 1040A, line 46; Form 1040NR, line 74; Form 1040NR-EZ, line 26; or Form 1041, line 26. Do not file Form 2210 unless you checked a box in Part II..... ►	17	43.

**SCHEDULE A  
(Form 1040)**

Department of the Treasury  
Internal Revenue Service  
(89)  
Name(s) shown on Form 1040

**Itemized Deductions****2013**

OMB No. 1545-0074  
Attachment  
Sequence No. 07

► Information about Schedule A and its separate instructions is at [www.irs.gov/schedulea](http://www.irs.gov/schedulea).

► Attach to Form 1040.

RICHARD J. &amp; LORETTA M. DURBIN

Caution. Do not include expenses reimbursed or paid by others.

Medical and	1	Medical and dental expenses (see instructions)
Dental	2	Enter amount from Form 1040, line 38
Expenses	3	Multiply line 2 by 10% (.10). But if either you or your spouse was born before

January 2, 1949, multiply line 2 by 7.5% (.075) instead

4 Subtract line 3 from line 1. If line 3 is more than line 1, enter -0.

Taxes You Paid

SEE STATEMENT 7.

5 11,727.

4

a  Income taxes, orb  General sales taxes

6 Real estate taxes (see instructions)

6 5,180.

7 Personal property taxes

7

8 Other taxes. List type and amount ►

8 3,501.

OTHER REAL ESTATE TAXES - - - - - 3,501.

9 Add lines 5 through 8

9

Interest You Paid 10 Home mortgage interest and points reported to you on Form 1098  
Home mortgage interest not reported to you on Form 1098. If paid to the person  
from whom you bought the home, see instructions and show that person's name,  
identifying no., and address ►

10 10,075.

11

9

Note. Your mortgage interest deduction may be limited (see instructions).

12

13 Mortgage insurance premiums (see instructions)

13

14 Investment interest. Attach Form 4952 if required. (See instructions.)

14

15 Add lines 10 through 14

15

Gifts to Charity 16 Gifts by cash or check. If you made any gift of \$250 or more, see instructions

16 16,021.

17 Other than by cash or check. If any gift of \$250 or more, see instructions.

17

18 You must attach Form 8283 if over \$500

18

19 Carryover from prior year

19

15

10,075.

Casualty and Theft Losses 20 Casualty or theft loss(es). Attach Form 4684. (See instructions.)

20

Job Expenses and Certain Miscellaneous Deductions 21 Unreimbursed employee expenses - job travel, union dues, job education, etc.  
Attach Form 2106 or 2106-EZ if required. (See instructions.) ►

UNION AND PROFESSIONAL DUES - - - - - 105.

U.S. CONGRESS TRAVEL EXP - - - - - 3,000.

22 Tax preparation fees

22

23 Other expenses investment, safe deposit box, etc. List type and amount ►

23

SEE STATEMENT 6

-

24 Add lines 21 through 23

24

25 Enter amount from Form 1040, line 38

25

26 Multiply line 25 by 2% (.02)

26

27 Subtract line 26 from line 24. If line 26 is more than line 24, enter -0.

27

-

-

28 Other - from list in instructions. List type and amount ►

28

-

-

29 Is Form 1040, line 38, over \$150,000?

29

-

No. Your deduction is not limited. Add the amounts in the far right column  
for lines 4 through 28. Also, enter this amount on Form 1040, line 40.

29 49,504.

-

-

Yes. Your deduction may be limited. See the Itemized Deductions

29 49,504.

-

-

Worksheet in the instructions to figure the amount to enter.

29 49,504.

-

-

If you elect to itemize deductions even though they are less than your standard deduction, check here

29 49,504.

-

-

**SCHEDULE B**  
(Form 1040A or 1040)

Department of the Treasury (99)  
Internal Revenue Service  
Name(s) shown on return

**Interest and Ordinary Dividends**

OMB No. 1545-0074  
**2013**

Attachment  
Sequence No. 08

► Attach to Form 1040A or 1040.

**RICHARD J. & LORETTA M. DURBIN**

**Part I**

1 List name of payer. If any interest is from a seller-financed mortgage and the buyer used the property as a personal residence, see instructions and list this interest first. Also, show that buyer's social security number and address ►

**CONGRESSIONAL FEDERAL CREDIT UNION**

**CREDIT UNION ONE**

**Note.** If you received a Form 1099-INT, Form 1099-OID, or substitute statement from a brokerage firm, list the firm's name as the payer and enter the total interest shown on that form.

1	Amount
	152.
	346.
	1
	2
	2      498.
	3
	4      498.

Attach Form 8815

4 Subtract line 3 from line 2. Enter the result here and on Form 1040A, or Form 1040, line 8a ►

5 Amount

**Part II**  
**Ordinary  
Dividends**

**MANULIFE FINANCIAL CORPORATION**

**THE INVESTMENT CENTER**

**PFIZER**

**FIDELITY**

6	1,960.
---	--------

6 Add the amounts on line 5. Enter the total here and on Form 1040A, or Form 1040, line 9a ... ►

**Note.** If line 6 is over \$1,500, you must complete Part III.

You must complete this part if you (a) had over \$1,500 of taxable interest or ordinary dividends; (b) had a foreign account; or (c) received a distribution from, or were a grantor of, or a transferor to, a foreign trust.

7a At any time during 2013, did you have a financial interest in or signature authority over a financial account (such as a bank account, securities account, or brokerage account) located in a foreign country? See instructions

If "Yes," are you required to file FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR), formerly TD F 90-22.1 to report that financial interest or signature authority? See FinCEN Form 114 and its instructions for filing requirements and exceptions to those requirements

b If you are required to file FinCen Form 114, enter the name of the foreign country where the financial account is located ►

8 During 2013, did you receive a distribution from, or were you the grantor of, or transferor to, a foreign trust?

327501  
06-30-13

If "Yes," you may have to file Form 3520. See instructions

LHA For Paperwork Reduction Act Notice, see your tax return instructions.

00000015137

# Interest and Dividend Summary

Name: RICHARD J. & LORETTA M. DURBIN

FEIN/SSN: 493-46-133

**SCHEDULE C**  
**(Form 1040)**

Department of the Treasury  
Internal Revenue Service (see) ▶ Attach to Form 1040, 1040NR, or 1041; partnerships generally must file Form 1065.

OMB No. 1545-0074  
**2013**  
Attachment  
Sequence No. 09

**Profit or Loss From Business**  
(Sole Proprietorship)

Name of proprietor

**LORETTA M. DURBIN**

A Principal business or profession, including product or service (see instructions)

B Social security number (SSN)  
[REDACTED]

C Business name. If no separate business name, leave blank.

B Enter code from instructions  
[REDACTED]

**GOVERNMENT AFFAIRS CONSULTING, LLC**

D Employer ID number (EIN), (see instr.)  
[REDACTED] ▶ **541600**

E Business address (including suite or room no.) ▶ [REDACTED]

E Employer ID number (EIN), (see instr.)  
[REDACTED]

F City, town or post office, state, and ZIP code

F City, town or post office, state, and ZIP code  
[REDACTED]

G Accounting method: (1)  Cash (2)  Accrual (3)  Other (specify) ▶

G Accounting method: (1)  Cash (2)  Accrual (3)  Other (specify) ▶  
[REDACTED]

H Did you \*materially participate\* in the operation of this business during 2013? If "No," see instructions for limit on losses

H Did you \*materially participate\* in the operation of this business during 2013? If "No," see instructions for limit on losses  
[REDACTED]

I Did you make any payments in 2013 that would require you to file Form(s) 1099? (see instructions)

I Did you make any payments in 2013 that would require you to file Form(s) 1099? (see instructions)  
[REDACTED]

J If "Yes," did you or will you file required Forms 1099?

J If "Yes," did you or will you file required Forms 1099?  
[REDACTED]

**Part I Income**

1 Gross receipts or sales. See instructions for line 1 and check the box if this income was reported to you on Form W-2 and the "Statutory employee" box on that form was checked

1 Gross receipts or sales. See instructions for line 1 and check the box if this income was reported to you on Form W-2 and the "Statutory employee" box on that form was checked  
[REDACTED]

2 Returns and allowances

2 Returns and allowances  
[REDACTED]

3 Subtract line 2 from line 1

3 Subtract line 2 from line 1  
[REDACTED]

4 Cost of goods sold (from line 42)

4 Cost of goods sold (from line 42)  
[REDACTED]

5 Gross profit. Subtract line 4 from line 3

5 Gross profit. Subtract line 4 from line 3  
[REDACTED]

6 Other income, including federal and state gasoline or fuel tax credit or refund (see instructions)

6 Other income, including federal and state gasoline or fuel tax credit or refund (see instructions)  
[REDACTED]

7 Gross income. Add lines 5 and 6

7 Gross income. Add lines 5 and 6  
[REDACTED]

**Part II Expenses** Enter expenses for business use of your home only on line 30.

8 Advertising

8 Advertising  
[REDACTED]

9 Car and truck expenses (see instructions)

9 Car and truck expenses (see instructions)  
[REDACTED]

10 Commissions and fees

10 Commissions and fees  
[REDACTED]

11 Contract labor (see instructions)

11 Contract labor (see instructions)  
[REDACTED]

12 Depletion

12 Depletion  
[REDACTED]

13 Depreciation and section 179 expense deduction (not included in Part III) (see instructions)

13 Depreciation and section 179 expense deduction (not included in Part III) (see instructions)  
[REDACTED]

14 Employee benefit programs (other than on line 19)

14 Employee benefit programs (other than on line 19)  
[REDACTED]

15 Insurance (other than health)

15 Insurance (other than health)  
[REDACTED]

16 Interest:

16 Interest:  
[REDACTED]

a Mortgage (paid to banks, etc.)

a Mortgage (paid to banks, etc.)  
[REDACTED]

b Other

b Other  
[REDACTED]

17 Legal and professional services

17 Legal and professional services  
[REDACTED]

18 Total expenses before expenses for business use of home. Add lines 8 through 27a

18 Total expenses before expenses for business use of home. Add lines 8 through 27a  
[REDACTED]

19 Tentative profit or (loss). Subtract line 18 from line 7

19 Tentative profit or (loss). Subtract line 18 from line 7  
[REDACTED]

20 Expenses for business use of your home. Do not report these expenses elsewhere. Attach Form 8829 unless using the simplified method (see instructions).

20 Expenses for business use of your home. Do not report these expenses elsewhere. Attach Form 8829 unless using the simplified method (see instructions).  
[REDACTED]

21 Net profit or (loss). Subtract line 30 from line 29.

21 Net profit or (loss). Subtract line 30 from line 29.  
[REDACTED]

22 If a profit, enter on both Form 1040, line 12 (or Form 1040NR, line 13) and on Schedule SE, line 2. (If you checked the box on line 1, see instructions). Estates and trusts, enter on Form 1041, line 3.

22 If a profit, enter on both Form 1040, line 12 (or Form 1040NR, line 13) and on Schedule SE, line 2. (If you checked the box on line 1, see instructions). Estates and trusts, enter on Form 1041, line 3.  
[REDACTED]

23 If you have a loss, check the box that describes your investment in this activity (see instructions).

23 If you have a loss, check the box that describes your investment in this activity (see instructions). Estates and trusts, enter on Form 1041, line 3.  
[REDACTED]

24 If you checked 32a, enter the loss on both Form 1040, line 12, (or Form 1040NR, line 13) and on Schedule SE, line 2. (If you checked the box on line 1, see instructions). Estates and trusts, enter on Form 1041, line 3.

24 If you checked 32a, enter the loss on both Form 1040, line 12, (or Form 1040NR, line 13) and on Schedule SE, line 2. (If you checked the box on line 1, see instructions). Estates and trusts, enter on Form 1041, line 3.  
[REDACTED]

25 If "Yes," did you or will you file required Forms 1099? (see instructions).

25 If "Yes," did you or will you file required Forms 1099? (see instructions)  
[REDACTED]

32a  All investment is at risk.

32b  Some investment is not at risk.

32 If you checked 32b, you must attach Form 6198. Your loss may be limited.

32 If you checked 32b, you must attach Form 6198. Your loss may be limited.  
[REDACTED]

LHA For Paperwork Reduction Act Notice, see the separate instructions.

### **Part III Cost of Goods Sold (see instructions)**

Method(s) used to value closing inventory:

- a  Cost
- b  Lower of cost or market
- c  Other (attach explanation)

**34** Was there any change in determining quantities, costs, or valuations between opening and closing inventory?  
If "Yes," attach explanation

35 Inventory at beginning of year. If different from last year's closing inventory, attach explanation

36 Purchases less cost of items withdrawn for personal use

## 38 Materials and supplies

39 Other costs.

卷之三

Cast of Birds and Butterflies

**Part IV** Information on Your Vehicle. Complete this part only if you are not required to file Form 4562 for this business. See

43 When did you place your vehicle in service for business purposes? (month, day, year) ▶   /  /  

44 Of the total number of miles you drove your vehicle during 2013, enter the number of miles you used your vehicle for:

46 Do you (or your spouse) have another vehicle available for personal use?

**47 a** Do you have evidence to support your deduction?  
If yes, in the evidence section.

卷之三

SUBSCRIPTIONS AND CONFERENCES

卷之三

卷之三

卷之三

THE JOURNAL OF CLIMATE

**48** Total other expenses. Enter here and on line 27a

# Capital Gains and Losses

**SCHEDULE D**  
**(Form 1040)**

Department of the Treasury  
Internal Revenue Service (90)

► Attach to Form 1040 or Form 1040NR.

► Information about Schedule D and its separate instructions is at [www.irs.gov/scheduleD](http://www.irs.gov/scheduleD).

2013

OMB No. 1545-0074

Attachment Sequence No. 12

Name(s) shown on return

Your social security number

**RICHARD J. & LORETTA M. DURBIN**

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

See instructions for how to figure the amounts to enter on the lines below.  
This form may be easier to complete if you round off cents to whole dollars.

	<b>(d) Proceeds (sales price)</b>	<b>(e) Cost (or other basis)</b>	<b>(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)</b>	<b>(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)</b>
1a	Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b .....			<i>&lt;954.&gt;</i>
1b	Totals for all transactions reported on Form(s) 8949 with Box A checked .....	<b>5,042.</b>	<b>5,996.</b>	<i>&lt;954.&gt;</i>
2	Totals for all transactions reported on Form(s) 8949 with Box B checked .....			
3	Totals for all transactions reported on Form(s) 8949 with Box C checked .....			

4 Short-term gain from Form 6252 and short-term gain or (loss) from Forms 4684, 6781, and 8824

5 Net short-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1

6 Short-term capital loss carryover. Enter the amount, if any, from line 8 of your Capital Loss Carryover Worksheet in the instructions

7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). If you have any long-term capital gains or losses, go to Part II below. Otherwise, go to Part III on page 2 .....

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

See instructions for how to figure the amounts to enter on the lines below.  
This form may be easier to complete if you round off cents to whole dollars.

	<b>(d) Proceeds (sales price)</b>	<b>(e) Cost (or other basis)</b>	<b>(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)</b>	<b>(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)</b>
8a	Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b .....			
8b	Totals for all transactions reported on Form(s) 8949 with Box D checked .....	<b>16,004.</b>	<b>15,729.</b>	<b>275.</b>
9	Totals for all transactions reported on Form(s) 8949 with Box E checked .....	<b>13,895.</b>	<b>13,345.</b>	<b>550.</b>
10	Totals for all transactions reported on Form(s) 8949 with Box F checked .....			
11	Gain from Form 4797, Part I; long-term gain from Forms 2439 and 6252; and long-term gain or (loss) from Forms 4684, 6781, and 8824			<b>11</b>
12	Net long-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1			<b>12</b>
13	Capital gain distributions			<b>13</b>
14	Long-term capital loss carryover. Enter the amount, if any, from line 13 of your Capital Loss Carryover Worksheet in the instructions			<b>569.</b>
15	Net long-term capital gain or (loss). Combine lines 8a through 14 in column (h). Then go to Part III on page 2 .....			<b>15</b>

- LHA For Paperwork Reduction Act Notice, see your tax return instructions.

Schedule D (Form 1040) 2013

**Part III Summary****16** Combine lines 7 and 15 and enter the result**16** **440.**

- If line 16 is a gain, enter the amount from line 16 on Form 1040, line 13, or Form 1040NR, line 14. Then go to line 17 below.
- If line 16 is a loss, skip lines 17 through 20 below. Then go to line 21. Also be sure to complete line 22.
- If line 16 is zero, skip lines 17 through 21 below and enter 0- on Form 1040, line 13, or Form 1040NR, line 14. Then go to line 22.

**17** Are lines 15 and 16 both gains? Yes. Go to line 18. No. Skip lines 18 through 21, and go to line 22.**18** Enter the amount, if any, from line 7 of the **28% Rate Gain Worksheet** in the instructions**18****19** Enter the amount, if any, from line 18 of the **Unrecaptured Section 1250 Gain Worksheet** in the instructions**19****20** Are lines 18 and 19 both zero or blank? Yes. Complete the **Qualified Dividends and Capital Gain Tax Worksheet** in the instructions

for Form 1040, line 44 (or in the instructions for Form 1040NR, line 42). Do not complete lines

21 and 22 below.

 No. Complete the **Schedule D Tax Worksheet** in the instructions. Do not complete lines 21 and 22 below.**21** If line 16 is a loss, enter here and on Form 1040, line 13, or Form 1040NR, line 14, the smaller of:

- The loss on line 16 or
- (\$3,000), or if married filing separately, (\$1,500)

Note. When figuring which amount is smaller, treat both amounts as positive numbers.

**22** Do you have qualified dividends on Form 1040, line 9b, or Form 1040NR, line 10b? Yes. Complete the **Qualified Dividends and Capital Gain Tax Worksheet** in the instructions for Form 1040, line 44 (or in the instructions for Form 1040NR, line 42). No. Complete the rest of Form 1040 or Form 1040NR.

# Form 8949

## Sales and Other Dispositions of Capital Assets

OMB No. 1545-0074  
2013

Department of the Treasury  
Internal Revenue Service

► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).  
► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Attachment  
Sequence No. 12A

**RICHARD J. & LORETTA M. DURBIN**

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS and for which no adjustments or IRS for most stock you bought in 2011 or later.

**Part I Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box, if more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

(A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

(B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

(C) Short-term transactions not reported to you on Form 1099-B

(a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price)	(e) Cost or other basis. See the Note below and see Column (e) in the instructions	(f) Code(s)	(g) Amount of adjustment	(h) Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See instructions.	(i) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
--	---	--	----------------------------------	---	----------------	--------------------------------	--	---

THE INVESTMENT

CENTER - SEE

STATEMENT

FIDELITY - SEE

STATEMENT

VARIOUS

2,992.

3,899.

<907.0>

2,050.

2,097.

<47.0>

5,042.

5,996.

<954.0>

2 Totals. Add the amounts in columns (d), (e), (g) and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked)

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return. (Name and SSN or taxpayer identification no. not required if shown on other side.)

Social security number or  
taxpayer identification no.  
**RICHARD J. & LORETTA M. DURBIN**

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part II Long-Term.**

Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

(D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

(E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

(F) Long-term transactions not reported to you on Form 1099-B

(a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price)	(e) Cost or other basis. See the Note below and see Column (e) in the instructions	(f) Code(s)	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
FIDELITY - SEE STATEMENT			16,004.	15,729.		275.	
M 1							
L 1							
C 2							

(2) Totals. Add the amounts in columns (d), (e), (g) and (h) (subtract negative amounts). Enter each total here and include on your

Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return. (Name and SSN or taxpayer identification no. not required if shown on other side.)

Social security number or  
taxpayer identification no.  


**RICHARD J. & LORETTA M. DURBIN**

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part II Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

(D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

(E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

(F) Long-term transactions not reported to you on Form 1099-B

(a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price)	(e) Cost or other basis. See the Note below and see Column (e) in the Instructions	(f)	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
--	---	--	----------------------------------	---	-----	--------------------------------	---

THE INVESTMENT CENTER - SEE STATEMENT	VARIOUS		4,881.	4,576.			305.
---------------------------------------	---------	--	--------	--------	--	--	------

THE INVESTMENT CENTER - SEE STATEMENT	VARIOUS		4.	4.			0.
---------------------------------------	---------	--	----	----	--	--	----

FIDELITY - SEE STATEMENT	VARIOUS		9,010.	8,765.			245.
--------------------------	---------	--	--------	--------	--	--	------

2	Totals. Add the amounts in columns (d), (e), (g) and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►	13,895.	13,345.				550.
---	---	---------	---------	--	--	--	------

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

# Qualified Dividends and Capital Gain Tax Worksheet - Line 44

Keep for Your Records

Name(s) shown on return  
**RICHARD J. & LORETTA M. DURBIN**

Your SSN  
[REDACTED]

**Before you begin:** ✓ See the instructions for line 44 to see if you can use this worksheet to figure your tax.

✓ Before completing this worksheet, complete Form 1040 through line 43.

If you do not have to file Schedule D and you received capital gain distributions, be sure you checked the box on line 13 of Form 1040.

1. Enter the amount from Form 1040, line 43. However, if you are filing Form 2555 or 2555-EZ (relating to foreign earned income), enter the amount from line 3 of the Foreign Earned Income Tax Worksheet. 1. 220,019.
2. Enter the amount from Form 1040, line 9b\* 2. 1,261.
3. Are you filing Schedule D?\*  Yes. Enter the smaller of line 15 or 16 of Schedule D. If either line 15 or line 16 is blank or a loss, enter -0.  No. Enter the amount from Form 1040, line 13 3. 440.
4. Add lines 2 and 3 4. 1,701.
5. If filing Form 4952 (used to figure investment interest expense deduction), enter any amount from line 4g of that form. Otherwise, enter -0. 5. 0.
6. Subtract line 5 from line 4. If zero or less, enter -0. 6. 1,701.
7. Subtract line 6 from line 1. If zero or less, enter -0. 7. 218,318.
8. Enter:  
  - \$ 36,250 if single or married filing separately, \$ 72,500 if married filing jointly or qualifying widow(er), \$ 48,600 if head of household. 8. 72,500.
  9. Enter the smaller of line 1 or line 8 9. 72,500.
  10. Enter the smaller of line 7 or line 9 10. 72,500.
  11. Subtract line 10 from line 9. This amount is taxed at 0% 11. 0.
  12. Enter the smaller of line 1 or line 6 12. 1,701.
  13. Enter the amount from line 11 13. 0.
  14. Subtract line 13 from line 12 14. 1,701.
  15. Enter:  
    - \$ 225,000 if single, \$ 450,000 if married filing separately, \$ 425,000 if married filing jointly or qualifying widow(er). 15. 450,000.
16. Enter the smaller of line 1 or line 15 16. 220,019.
17. Add lines 7 and 11 17. 218,318.
18. Subtract line 17 from line 16. If zero or less, enter -0. 18. 1,701.
19. Enter the smaller of line 14 or line 18 19. 1,701.
20. Multiply line 19 by 15% (.15) 20. 255.
21. Add lines 11 and 19 21. 1,701.
22. Subtract line 21 from line 12 22. 0.
23. Multiply line 22 by 20% (.20) 23. 0.
24. Figure the tax on the amount on line 7. If the amount on line 7 is less than \$100,000, use the Tax Table to figure the tax. If the amount on line 7 is \$100,000 or more, use the Tax Computation Worksheet 24. 48,595.
25. Add lines 20, 23, and 24 25. 48,850.
26. Figure the tax on the amount on line 1. If the amount on line 1 is less than \$100,000, use the Tax Table to figure the tax. If the amount on line 1 is \$100,000 or more, use the Tax Computation Worksheet 26. 49,071.
27. Tax on all taxable income. Enter the smaller of line 25 or line 26. Also include this amount on Form 1040, line 44. If you are filing Form 2555 or 2555-EZ, do not enter this amount on Form 1040, line 44. Instead, enter it on line 4 of the Foreign Earned Income Tax Worksheet 27. 48,850.

\*If you are filing Form 2555 or 2555-EZ, see the footnote in the Foreign Earned Income Tax Worksheet before completing this line.

**SCHEDULE SE  
(Form 1040)****Self-Employment Tax****2013**

OMB No. 1145-0074

Department of the Treasury  
Internal Revenue Service  
(99)Attachment  
Sequence No. 17**LORETTA M. DURBIN**► Information about Schedule SE and its separate instructions is at [www.irs.gov/schedulese](http://www.irs.gov/schedulese).

► Attach to Form 1040 or Form 1040NR.

Social security number of  
person with self-employment  
income

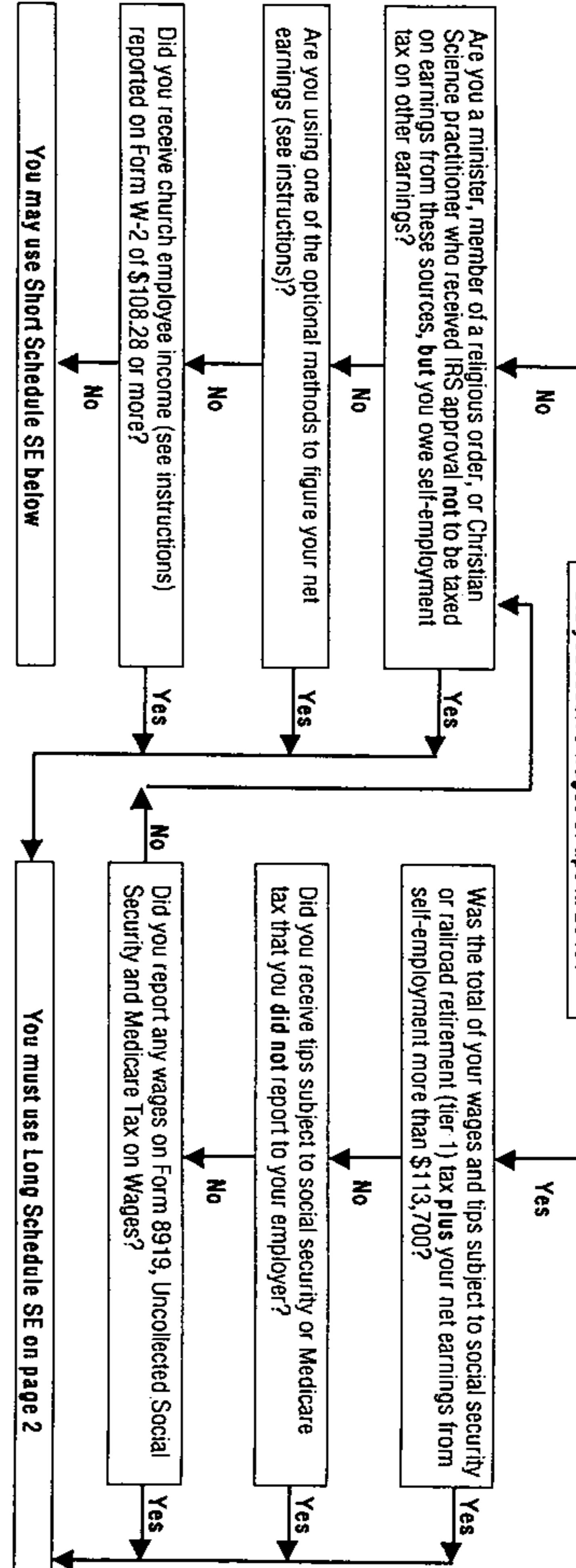
Name of person with self-employment income (as shown on Form 1040)

Social security number of  
person with self-employment  
income

[REDACTED]

**Before you begin:** To determine if you must file Schedule SE, see the instructions.**May I Use Short Schedule SE or Must I Use Long Schedule SE?**

Note. Use this flowchart only if you must file Schedule SE. If unsure, see Who Must File Schedule SE in the instructions.

**Section A-Short Schedule SE. Caution.** Read above to see if you can use Short Schedule SE.

- 1a Net farm profit or (loss) from Schedule F, line 34, and farm partnerships, Schedule K-1 (Form 1065), box 14, code A
- b If you received social security retirement or disability benefits, enter the amount of Conservation Reserve Program payments included on Schedule F, line 4b, or listed on Schedule K-1 (Form 1065), box 20, code Z
- 2 Net profit or (loss) from Schedule C, line 31; Schedule C-EZ, line 3; Schedule K-1 (Form 1065), box 14, code A (other than farming); and Schedule K-1 (Form 1065-B), box 9, code J1. Ministers and members of religious orders, see instructions for types of income to report on this line. See instructions for other income to report **STMNT. 9**.
- 3 Combine lines 1a, 1b, and 2
- 4 Multiply line 3 by 92.35% (.9235). If less than \$400, you do not owe self-employment tax; do not file this schedule unless you have an amount on line 1b
- Note.** If line 4 is less than \$400 due to Conservation Reserve Program payments on line 1b, see instructions.
- 5 Self-employment tax. If the amount on line 4 is:
- \$113,700 or less, multiply line 4 by 15.3% (.153). Enter the result here and on Form 1040, line 56, or Form 1040NR, line 54
  - More than \$113,700, multiply line 4 by 2.9% (.029). Then, add \$14,098.80 to the result.
- Enter the total here and on Form 1040, line 56, or Form 1040NR, line 54
- 6 Deduction for one-half of self-employment tax. Multiply line 5 by 50% (.50). Enter the result here and on Form 1040, line 27, or Form 1040NR, line 27
- 7 LHA For Paperwork Reduction Act Notice, see your tax return instructions.

0000001

Name(s) shown on Form 1040 or Form 1040NR

Your social security number

**RICHARD J. & LORETTA M. DURBIN****Part I Alternative Minimum Taxable Income**

- |   |    |          |
|---|----|----------|
| 1 If filing Schedule A (Form 1040), enter the amount from Form 1040, line 41, and go to line 2. Otherwise, enter the amount from Form 1040, line 38, and go to line 7. (If less than zero, enter as a negative amount.) | 1  | 227,819. |
| 2 Medical and dental. If you or your spouse was 65 or older, enter the smaller of Schedule A (Form 1040), line 4, or 2.5% (.025) of Form 1040, line 38. If zero or less, enter -0.                                      | 2  | 0.       |
| 3 Taxes from Schedule A (Form 1040), line 9   | 3  | 20,408.  |
| 4 Enter the home mortgage interest adjustment, if any, from line 6 of the worksheet in the instructions for this line   | 4  | 3,000.   |
| 5 Miscellaneous deductions from Schedule A (Form 1040), line 27   | 5  | 0.       |
| 6 If Form 1040, line 38, is \$150,000 or less, enter -0. Otherwise, see instructions  | 6  | 0.       |
| 7 Tax refund from Form 1040, line 10 or line 21   | 7  | 0.       |
| 8 Investment interest expense (difference between regular tax and AMT)  | 8  | 0.       |
| 9 Depletion (difference between regular tax and AMT)  | 9  | 0.       |
| 10 Net operating loss deduction from Form 1040, line 21. Enter as a positive amount   | 10 | 0.       |
| 11 Alternative tax net operating loss deduction   | 11 | 0.       |
| 12 Interest from specified private activity bonds exempt from the regular tax   | 12 | 0.       |
| 13 Qualified small business stock (7% of gain excluded under section 1202).   | 13 | 0.       |
| 14 Exercise of incentive stock options (excess of AMT income over regular tax income)   | 14 | 0.       |
| 15 Estates and trusts (amount from Schedule K-1 (Form 1041), box 12, code A)  | 15 | 0.       |
| 16 Electing large partnerships (amount from Schedule K-1 (Form 1065-B), box 6)  | 16 | 0.       |
| 17 Disposition of property (difference between AMT and regular tax gain or loss)  | 17 | 0.       |
| 18 Depreciation on assets placed in service after 1986 (difference between regular tax and AMT)   | 18 | 0.       |
| 19 Passive activities (difference between AMT and regular tax income or loss)   | 19 | 0.       |
| 20 Loss limitations (difference between AMT and regular tax income or loss)   | 20 | 0.       |
| 21 Circulation costs (difference between regular tax and AMT)   | 21 | 0.       |
| 22 Long-term contracts (difference between regular tax and AMT)   | 22 | 0.       |
| 23 Mining costs (difference between regular tax and AMT)  | 23 | 0.       |
| 24 Research and experimental costs (difference between regular tax and AMT)   | 24 | 0.       |
| 25 Income from certain installment sales before January 1, 1987   | 25 | 0.       |
| 26 Intangible drilling costs preference   | 26 | 0.       |
| 27 Other adjustments, including income-based related adjustments  | 27 | 0.       |
| 28 Alternative minimum taxable income. Combine lines 1 through 27. (If married filing separately and line 28 is more than \$238,550, see instructions.)   | 28 | 251,227. |

**Part II Alternative Minimum Tax (AMT)**

29 Exemption. (If you were under age 24 at the end of 2013, see instructions.)

IF your filing status is... AND line 28 is not over, THEN enter on line 29...

Single or head of household

\$115,400

Married filing jointly or qualifying widow(er)

153,900

Married filing separately

76,950

If line 28 is over the amount shown above for your filing status, see instructions.

30 Subtract line 29 from line 28. If more than zero, go to line 31. If zero or less, enter -0. here and on lines 31, 33, and 35, and go to line 34

31 • If you are filing Form 2555 or 2555-EZ, see instructions for the amount to enter.

• If you reported capital gain distributions directly on Form 1040, line 13; you reported qualified dividends on Form 1040, line 9b; or you had a gain on both lines 15 and 16 of Schedule D (Form 1040) (as refigured for the AMT, if necessary), complete Part III on page 2 and enter the amount from line 60 here.

• All others: If line 30 is \$179,500 or less (\$89,750 or less if married filing separately), multiply line 30 by 26% (.26). Otherwise, multiply line 30 by 28% (.28) and subtract \$3,590 (\$1,795 if married filing separately) from the result.

32 Alternative minimum tax foreign tax credit (see instructions)

33 Tentative minimum tax. Subtract line 32 from line 31

34 Tax from Form 1040, line 44 (minus any tax from Form 4972 and any foreign tax credit from Form 1040, line 47).

• If you used Sch J to figure your tax, the amount from line 44 of Form 1040 must be refigured without using Sch J

35 AMT. Subtract line 34 from line 33. If zero or less, enter -0. Enter here and on Form 1040, line 45

310481-14 LHA For Paperwork Reduction Act Notice, see your tax return instructions.

**Part III Tax Computation Using Maximum Capital Gains Rates**

Complete Part III only if you are required to do so by line 31 or by the Foreign Earned Income Tax Worksheet in the instructions.

**36** Enter the amount from Form 6251, line 30. If you are filing Form 2555 or 2555-EZ, enter the amount from line 3 of the worksheet in the instructions for line 31

**37** Enter the amount from line 6 of the Qualified Dividends and Capital Gain Tax Worksheet in the instructions for Form 1040, line 44, or the amount from line 13 of the Schedule D Tax Worksheet in the instructions for Schedule D (Form 1040), whichever applies (as figured for the AMT, if necessary) (see instructions). If you are filing Form 2555 or 2555-EZ, see instructions for the amount to enter

**38** Enter the amount from Schedule D (Form 1040), line 19 (as figured for the AMT, if necessary) (see instructions). If you are filing Form 2555 or 2555-EZ, see instructions for the amount to enter

**39** If you did not complete a Schedule D Tax Worksheet for the regular tax or the AMT, enter the amount from line 37. Otherwise, add lines 37 and 38, and enter the smaller of that result or the amount from line 10 of the Schedule D Tax Worksheet (as figured for the AMT, if necessary). If you are filing Form 2555 or 2555-EZ, see instructions for the amount to enter

**40** Enter the smaller of line 36 or line 39

**41** Subtract line 40 from line 36

**42** If line 41 is \$179,500 or less (\$89,750 or less if married filing separately), multiply line 41 by 28% (.28) and subtract \$3,590 (\$1,795 if married filing separately) from

**43** Otherwise, multiply line 41 by 28% (.28) and subtract \$3,590 (\$1,795 if married filing separately) from the result

- \$72,500 if married filing jointly or qualifying widow(er),
- \$36,250 if single or married filing separately, or
- \$48,600 if head of household.

**44** Enter the amount from line 7 of the Qualified Dividends and Capital Gain Tax Worksheet in the instructions for Form 1040, line 44, or the amount from line 14 of the Schedule D Tax Worksheet in the instructions for Schedule D (Form 1040), whichever applies (as figured for the regular tax). If you did not complete either worksheet for the regular tax, enter the amount from Form 1040, line 43; but do not enter less than -0-

**45** Subtract line 44 from line 43. If zero or less, enter -0-

**46** Enter the smaller of line 36 or line 37

**47** Enter the smaller of line 45 or line 46. This amount is taxed at 0%

**48** Subtract line 47 from line 46

**49** Enter the amount from the Line 49 Worksheet in the instructions

**STMT 11**

**50** Enter the smaller of line 48 or line 49

**51** Multiply line 50 by 15% (.15)

**52** Add lines 47 and 50

If lines 52 and 36 are the same, skip lines 53 through 57 and go to line 58. Otherwise, go to line 53.

**53** Subtract line 52 from line 46

**54** Multiply line 53 by 20% (.20)

If line 38 is zero or blank, skip lines 55 through 57 and go to line 58. Otherwise, go to line 55.

**55** Add lines 41, 52, and 53

**56** Subtract line 55 from line 36

**57** Multiply line 56 by 25% (.25)

**58** Add lines 42, 51, 54, and 57

**59** If line 36 is \$179,500 or less (\$89,750 or less if married filing separately), multiply line 36 by 26% (.26). Otherwise, multiply line 36 by 28% (.28) and subtract \$3,590 (\$1,795 if married filing separately) from the result

**60** Enter the smaller of line 58 or line 59 here and on line 31. If you are filing Form 2555 or 2555-EZ, do not enter

this amount on line 31. Instead, enter it on line 4 of the worksheet in the instructions for line 31

<b>37</b>	<b>1,701.</b>
<b>38</b>	
<b>39</b>	<b>1,701.</b>
<b>40</b>	<b>1,701.</b>
<b>41</b>	<b>193,058.</b>
<b>42</b>	<b>50,466.</b>
<b>43</b>	<b>72,500.</b>
<b>44</b>	<b>218,318.</b>
<b>45</b>	<b>0.</b>
<b>46</b>	<b>1,701.</b>
<b>47</b>	<b>0.</b>
<b>48</b>	<b>1,701.</b>
<b>49</b>	<b>231,682.</b>
<b>50</b>	<b>1,701.</b>
<b>51</b>	<b>255.</b>
<b>52</b>	<b>1,701.</b>
<b>53</b>	<b>0.</b>
<b>54</b>	
<b>55</b>	
<b>56</b>	
<b>57</b>	
<b>58</b>	<b>50,721.</b>
<b>59</b>	<b>50,943.</b>
<b>60</b>	<b>50,721.</b>

# Form 8960

## Net Investment Income Tax - Individuals, Estates, and Trusts

OMB No. 1545-2227

Attachment  
Sequence No. 72

Department of the Treasury  
Internal Revenue Service (99)

Name(s) shown on Form 1040 or Form 1041

RICHARD J. & LORETTA M. DURBIN

► Attach to Form 1040 or Form 1041.

Information about Form 8960 and its separate instructions is at [www.irs.gov/form8960](http://www.irs.gov/form8960).

Your social security number or EIN

**2013**

<b>Part I Investment Income</b>		<input type="checkbox"/> Section 6013(g) election (see instructions)	<input type="checkbox"/> Regulations section 1.1411-10(g) election (see instructions)
1	Taxable interest (Form 1040, line 8a; or Form 1041, line 1)	1	498.
2	Ordinary dividends (Form 1040, line 9a; or Form 1041, line 2a)	2	1,960.
3	Annuities from nonqualified plans (see instructions)	3	
4a	Rental real estate, royalties, partnerships, S corporations, trusts, etc. (Form 1040, line 17; or Form 1041, line 5)	4a	
b	Adjustment for net income or loss derived in the ordinary course of a non-section 1411 trade or business (see instructions)	4b	
c	Combine lines 4a and 4b	4c	
5a	Net gain or loss from disposition of property from Form 1040, combine lines 13 and 14; or from Form 1041, combine lines 4 and 7	5a	440.
b	Net gain or loss from disposition of property that is not subject to net investment income tax (see instructions)	5b	
c	Adjustment from disposition of partnership interest or S corporation stock (see instructions)	5c	
d	Combine lines 5a through 5c	5d	440.
6	Changes to investment income for certain CFCs and PFICs (see instructions)	6	
7	Other modifications to investment income (see instructions)	7	
8	Total investment income. Combine lines 1, 2, 3, 4c, 5d, 6, and 7	8	2,898.
<b>Part II Investment Expenses Allocable to Investment Income and Modifications</b>			
9a	Investment interest expenses (see instructions)	9a	
b	State income tax (see instructions)	9b	128.
c	Miscellaneous investment expenses (see instructions)	9c	592.
d	Add lines 9a, 9b, and 9c	9d	720.
10	Additional modifications (see instructions)	10	
11	Total deductions and modifications. Add lines 9d and 10	11	720.
<b>Part III Tax Computation</b>			
12	Net investment income. Subtract Part II, line 11 from Part I, line 8. Individuals complete lines 13-17.	12	2,178.
17	Estates and trusts complete lines 18a-21. If zero or less, enter -0.		
<b>Individuals:</b>			
13	Modified adjusted gross income (see instructions)	13	277,323.
14	Threshold based on filing status (see instructions)	14	250,000.
15	Subtract line 14 from line 13. If zero or less, enter -0.	15	27,323.
16	Enter the smaller of line 12 or line 15	16	2,178.
17	Net investment income tax for individuals. Multiply line 16 by 3.8% (.038). Enter here and on Form 1040, line 60	17	83.
<b>Estates and Trusts:</b>			
18a	Net investment income (line 12 above)	18a	
b	Deductions for distributions of net investment income and deductions under section 642(c) (see instructions)	18b	
c	Undistributed net investment income. Subtract line 18b from 18a (see instructions)	18c	
19a	Adjusted gross income (see instructions)	19a	
b	Highest tax bracket for estates and trusts for the year (see instructions)	19b	
c	Subtract line 19b from line 19a. If zero or less, enter -0.	19c	
20	Enter the smaller of line 18c or line 19c	20	
21	Net investment income tax for estates and trusts. Multiply line 20 by 3.8% (.038). Enter here and on Form 1041, Schedule G, line 4	21	
<b>C LHA For Paperwork Reduction Act Notice, see your tax return instructions.</b>			

**Lines 9 and 10 - Application of Itemized Deduction Limitations on  
Deductions Properly Allocable to Investment Income Worksheet**

Keep for Your Records

**Part I - Application of Section 67 to Deductions Properly Allocable to Investment Income**

- Enter the amount of Miscellaneous Itemized Deductions properly allocable to investment income before any itemized deduction limitations (Description and Form 8960 line number where they will be reported):
- | Description          | Line | Amount |
|----------------------|------|--------|
| (a) SEE STATEMENT 12 |      |        |
| (b)                  |      |        |
- Enter the total of all items listed in line 1
  - Enter the amount of all Miscellaneous Itemized Deductions after the application of the section 67 limitation (Schedule A (Form 1040), line 27)
  - Enter the lesser of the total reported on line 2 or line 3

**Part II - Application of Section 67 Limitation to Specific Deductions**

(B)

If line 3 is less than line 2, THEN divide line 3 by line 2 AND enter the amount in column (B).

If amounts reported on Part I, lines 2 and 4 are equal, THEN enter 1.00 in column

(C)  
Multiply the individual amounts in column (A) by the amount in column (B).

(A)  
Reenter the amounts and descriptions from Part I, line 1.

Description

Line

Amount

X

X

=

(a) SEE STATEMENT 13

(b)

**TIP**  
*Individuals - Use the amounts in column (C) on Part III, line 1, to determine the amount of these deductions that are allowable after the application of the section 68 limitation.*

*Estates or trusts - Enter the amounts in column (C) in the appropriate location on lines 9 and 10. Do not complete Parts III or IV of this worksheet.*

**Lines 9 and 10 - Application of Itemized Deduction Limitations on Deductions Properly Allocable to Investment Income Worksheet - continued**

Keep for Your Records

**Part III - Application of Section 68 to deductions properly allocable to investment income (Individuals Only)**

- Enter the amount of Miscellaneous Itemized Deductions properly allocable to investment income from column (C) of Part II:

Description      Line      Amount

(a) SEE STATEMENT 14 \_\_\_\_\_ 2. \_\_\_\_\_

(b) \_\_\_\_\_ 1.28.

- Enter the amount of state, local, and foreign income taxes that are properly allocable to investment income

- Enter the amounts of other Itemized Deductions subject to the section 68 limitation and properly allocable to investment income before any itemized deduction limitations (Description and Form 8960 line number):

Description      Line      Amount

(a) \_\_\_\_\_ (b) \_\_\_\_\_

- Enter the total deductions properly allocable to investment income subject to the section 68 limitation. Enter the sum of lines 1 through 3

- Enter the amount of total itemized deductions reported on Form 1040, line 40

5. \_\_\_\_\_ 49,504.

- Enter all other itemized deductions allowed but not subject to the section 68 deduction limitation:

- Investment Interest Expense \_\_\_\_\_
- Casualty Losses (other than losses described in section 165(c)(1)) \_\_\_\_\_
- Medical Expenses \_\_\_\_\_
- Gambling Losses \_\_\_\_\_
- Total of lines 6(a) through 6(d) 6e. \_\_\_\_\_
- Subtract line 6e from line 5 7. \_\_\_\_\_
- Enter the lesser of line 7 or line 4 8. \_\_\_\_\_

**TIP** This is the amount of itemized deductions that are properly allocable to investment income after the application of the sections 67 and 68 deduction limitations. Use Part IV of this worksheet to reconcile this amount to the individual deduction amounts reported on Form 8960, lines 9 and 10.

**Part IV - Reconciliation of Schedule A Deductions to Form 8960, lines 9 and 10 (Individuals Only)**

**(B)**  
IF Part III, line 8 is less  
than Part III, line 4,  
THEN divide line 8 by  
line 4 AND enter the  
amount in column (B).  
IF the amounts  
reported on Part III,  
lines 4 and 8 are  
equal, THEN enter  
1.00 in column (B).

**(C)**  
Multiply the individual  
amounts in column  
(A) by the amount in  
column (B). Enter  
these amounts in the  
appropriate location  
on lines 9 and 10.

- Miscellaneous Itemized Deductions properly allocable to investment income:

Description      Line      Amount

1. (a) SEE STATEMENT 15 X =

(b) \_\_\_\_\_ X =

- State, local, and foreign income taxes

Itemized Deductions Subject to Section 68 included on Line

3 of Part III: 128. X = 1.0000 = 128.

- (a) \_\_\_\_\_ X =
- (b) \_\_\_\_\_ X =

**Net Investment Income Tax -  
Individuals, Estates, and Trusts****2013**

Name(s)

**RICHARD J. & LORETTA M. DURBIN**Your social security number or EIN  
**[REDACTED]****Part I Investment Income** Section 6013(g) election Regulations section 1.1411-10(g) election

1 Taxable interest (Form 1040, line 8a; or Form 1041, line 1)

2 Ordinary dividends (Form 1040, line 9a; or Form 1041, line 2a)

3 Annuities from nonqualified plans

4a Rental real estate, royalties, partnerships, S corporations, trusts, etc. (Form 1040, line 17; or Form 1041, line 5)

b Adjustment for net income or loss derived in the ordinary course of a non-section 1411 trade or business

c Combine lines 4a and 4b

5a Net gain or loss from disposition of property from Form 1040, combine lines 13 and 14; or from Form 1041, combine lines 4 and 7

b Net gain or loss from disposition of property that is not subject to net investment income tax

c Adjustment from disposition of partnership interest or S corporation stock

d Combine lines 5a through 5c

6 Changes in investment income for certain CFCs and PFICs

7 Other modifications to investment income

8 Total investment income. Combine lines 1, 2, 3, 4c, 5d, 6, and 7

**Part II State Income Tax Pro-ration**

9 State total income

10 State income tax deduction

11 State income taxes attributable to investment income, line 8 divided by line 9 times line 10

**ILLINOIS**

1	<b>498.</b>
2	<b>1,960.</b>
3	
4a	
4b	
4c	
5a	<b>440.</b>
5b	
5c	
5d	<b>440.</b>
6	
7	
8	<b>2,898.</b>

9	<b>2,341,371.</b>
10	<b>10,347.</b>
11	<b>128.</b>

Form 8960 (2013)



RICHARD J. & LORETTA M. DURBIN

FORM 1040

WAGES RECEIVED AND TAXES WITHHELD

STATEMENT 3

EMPLOYER'S NAME	AMOUNT PAID	FEDERAL TAX WITHHELD	STATE TAX WITHHELD	CITY TAX WITHHELD	SDI TAX W/H	FICA TAX	MEDICARE TAX
UNITED STATES SENATE	149,843.	25,319.	7,287.			7,049.	2,400.
TOTALS	149,843.	25,319.	7,287.			7,049.	2,400.

FORM 1040

QUALIFIED DIVIDENDS

STATEMENT 4

NAME OF PAYER	ORDINARY DIVIDENDS	QUALIFIED DIVIDENDS
FIDELITY MANULIFE FINANCIAL CORPORATION RE INVESTMENT CENTER	679. 283. 812. 118. 68.	580. 283. 212. 118. 68.
FIZER FIDELITY		1,261.
TOTAL INCLUDED IN FORM 1040, LINE 9B		

FORM 1040

FEDERAL INCOME TAX WITHHELD

STATEMENT 5

DESCRIPTION	AMOUNT
UNITED STATES SENATE MANULIFE FINANCIAL CORPORATION	25,319. 79.
TOTAL TO FORM 1040, LINE 62	25,398.

SCHEDULE A

MISCELLANEOUS DEDUCTIONS SUBJECT TO FLOOR

STATEMENT 6

DESCRIPTION	AMOUNT
TOTAL REFLECTS \$3000IRC 162(A) LIMIT ON DC LIVING EXPENSES FOR INGRESSMEN INVESTMENT CENTER ADVISORY FEES GMT INVESTMENT EXP GTAL TO SCHEDULE A, LINE 23	4,129. 588. 4. 4,721.

RICHARD J. & LORETTA M. DURBIN

SCHEDULE A STATE AND LOCAL INCOME TAXES

STATEMENT 7

DESCRIPTION

DESCRIPTION	AMOUNT
JNITED STATES SENATE	
ILLINOIS 1ST QTR ESTIMATE PAYMENTS	7,287.
ILLINOIS 2ND QTR ESTIMATE PAYMENTS	1,020.
ILLINOIS 3RD QTR ESTIMATE PAYMENTS	1,020.
ILLINOIS PRIOR YEAR ESTIMATE PAYMENTS	1,020.
ILLINOIS PRIOR YEAR BALANCE DUE AND EXTENSION PAYMENTS	900.
	480.

TOTAL TO SCHEDULE A, LINE 5

SCHEDULE D CAPITAL GAIN DISTRIBUTIONS

STATEMENT 8

NAME OF PAYER

NAME OF PAYER	TOTAL CAPITAL GAIN	28% GAIN
FIDELITY	485.	
DELITY	84.	
	569.	

TOTALS TO SCHEDULE D, LINE 13

SCHEDULE SE NON-FARM INCOME

STATEMENT 9

DESCRIPTION

DESCRIPTION	AMOUNT
	87,492.
	87,492.

TOTAL TO SCHEDULE SE, LINE 2

00000015155

RICHARD J. & LORETTA M. DURBIN

IRM 6251

## **EXEMPTION WORKSHEET**

STATEMENT TO

00000015156

ENTER:

0.2 ENTER THE AMOUNT FROM FORM 6251, LINE 45 . . . . .

ENTER THE AMOUNT FROM LINE 7 OF THE QUALIFIED DIVIDENDS AND CAPITAL GAIN TAX WORKSHEET IN THE INSTRUCTIONS FOR FORM 1040, LINE 44, OR THE AMOUNT FROM LINE 19 OF THE SCHEDULE D TAX WORKSHEET, WHICHEVER APPLIES (AS FIGURED FOR REGULAR TAX). IF YOU DID NOT COMPLETE EITHER WORKSHEET FOR THE REGULAR TAX, ENTER THE AMOUNT FROM FORM 1040, LINE 43; BUT DO NOT ENTER LESS THAN -0-. IF YOU DID NOT COMPLETE EITHER WORKSHEET FOR THE REGULAR TAX AND YOU ARE FILING FORM 2555 OR FORM 2555-EZ, ENTER THE AMOUNT FROM LINE 3 OF THE FOREIGN EARNED INCOME TAX WORKSHEET IN THE FORM 1040 INSTRUCTIONS (AS FIGURED FOR THE REGULAR TAX) . . . . .

ADD LINE 2 AND LINE 3 . . . . . 218,318.

**STATEMENT 12  
MISCELLANEOUS ITEMIZED DEDUCTIONS PROPERLY  
ADDED TO INVESTMENT INCOME BEFORE LIMITATIONS  
JRM 8960**

DESCRIPTION	LINE	AMOUNT
INVESTMENT CENTER ADVISORY FEES	588.	9C
IMT INVESTMENT EXP	4.	9C

## REAL TO LINES 9 AND 10 WORKSHEET, PART 1, LINE 1

RICHARD J. & LORETTA M. DURBIN

! JRM 8960 MISCELLANEOUS ITEMIZED DEDUCTIONS PROPERLY STATEMENT 13  
ALLOCABLE TO INVESTMENT INCOME AFTER LIMITATION

DESCRIPTION	LINE	COLUMN A AMNT	RATIO	COLUMN C AMNT
INVESTMENT CENTER ADVISORY FEES	9C	588.	1.0000	588.
IMT INVESTMENT EXP	9C	4.	1.0000	4.
TOTAL TO LINES 9 & 10 WKST, PART II		592.		592.

DESCRIPTION	LINE	COLUMN A AMNT	RATIO	COLUMN C AMNT
MISCELLANEOUS ITEMIZED DEDUCTIONS PROPERLY ALLOCABLE TO INVESTMENT INCOME AFTER LIMITATION				
INVESTMENT CENTER ADVISORY FEES	9C	588.	1.0000	588.
IMT INVESTMENT EXP	9C	4.	1.0000	4.
TOTAL TO LINES 9 AND 10 WORKSHEET, PART III, LINE 1		592.		592.

DESCRIPTION	LINE	COLUMN A AMNT	RATIO	COLUMN C AMNT
MISCELLANEOUS ITEMIZED DEDUCTIONS PROPERLY ALLOCABLE TO INVESTMENT INCOME				
INVESTMENT CENTER ADVISORY FEES	9C	588.	1.0000	588.
IMT INVESTMENT EXP	9C	4.	1.0000	4.
TOTAL TO LINES 9 & 10 WORKSHEET, PART IV, LINE 1		592.		592.

00000015158

**Form** **8879**

**IRS e-file Signature Authorization**

OMB No. 1545-0074

Department of the Treasury  
Internal Revenue Service

► Do not send to the IRS. This is not a tax return.  
► Keep this form for your records.

**2013**

Submission Identification Number (SID) ▶

Taxpayer's name

**RICHARD J. DURBIN**

Spouse's name

**LORETTA M. DURBIN**

**Part I: Tax Return Information - Tax Year Ending December 31, 2013 (Whole Dollars Only)**

	Social security number
1	277,323.
2	63,111.
3	25,398.
4	
5	8,516.

**Part II: Taxpayer Declaration and Signature Authorization (Be sure you get and keep a copy of your return)**

Under penalties of perjury, I declare that I have examined a copy of my electronic individual income tax return and accompanying schedules and statements for the tax year ending December 31, 2013, and to the best of my knowledge and belief, it is true, correct, and complete. I further declare that the amounts in Part I above are the amounts from my electronic income tax return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send my return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund. If applicable, I authorize the U.S. Treasury and its designated Financial Agent to initiate an ACH electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of my federal taxes owed on this return and/or a payment of estimated tax, and the financial institution to debit the entry to this account. This authorization is to remain in full force and effect until I notify the U.S. Treasury Financial Agent to terminate the authorization. To revoke (cancel) a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537. Payment cancellation requests must be received no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I further acknowledge that the personal identification number (PIN) below is my signature for my electronic income tax return and, if applicable, my Electronic Funds Withdrawal Consent.

Taxpayer's PIN: check one box only

I authorize **SIKICH LLP**

ERO firm name

as my signature on my tax year 2013 electronically filed income tax return.

I will enter my PIN as my signature on my tax year 2013 electronically filed income tax return. Check this box only if you are entering your own

PIN and your return is filed using the Practitioner PIN method. The ERO must complete Part III below.

Your signature ▶

Spouse's PIN: check one box only

I authorize **SIKICH LLP**

ERO firm name

as my signature on my tax year 2013 electronically filed income tax return.

I will enter my PIN as my signature on my tax year 2013 electronically filed income tax return. Check this box only if you are entering your own

PIN and your return is filed using the Practitioner PIN method. The ERO must complete Part III below.

Spouse's signature ▶

**Practitioner PIN Method Returns Only - continue below**

**Part III: Certification and Authentication - Practitioner PIN Method Only**

**1**  ERO's EFIN/PIN. Enter your six-digit EFIN followed by your five-digit self-selected PIN. **[REDACTED]**

Do not enter all zeros

I certify that the above numeric entry is my PIN, which is my signature for the tax year 2013 electronically filed income tax return for the taxpayer(s) indicated above. I confirm that I am submitting this return in accordance with the requirements of the Practitioner PIN method and Publication 1345, Handbook for Authorized IRS e-file Providers of Individual Income Tax Returns.

ERO's signature ▶

Date ▶

**ERO Must Retain This Form - See Instructions**  
**Do Not Submit This Form to the IRS Unless Requested To Do So**

319905

10-03-13

LHA For Paperwork Reduction Act Notice, see separate instructions.

## Illinois Department of Revenue

## 2013 Form IL-1040

Individual Income Tax Return or for fiscal year ending \_\_\_\_\_

Over 80% of taxpayers file electronically. It is easy and you will get your refund faster. Visit tax.illinois.gov.

## Step 1: Personal Information

Do not write above this line.

RICHARD J. DURBIN  
LORETTA M. DURBIN  
[REDACTED]  
[REDACTED]

C Filing status (see instructions)

Single or head of household

Married filing jointly

Married filing separately

Widowed

## D Check if you are in a civil union (see instructions).

Check if you are in a civil union (see instructions).

## Step 2:

- 1 Federal adjusted gross income from your U.S. 1040, Line 37; U.S. 1040A, Line 21; or U.S. 1040EZ, Line 4. **1 277,323 .00**
- 2 Federally tax-exempt interest and dividend income from your U.S. 1040 or 1040A, Line 8b; or U.S. 1040EZ. **2 .00**
- 3 Other additions. Attach Schedule M. **3 .00**
- 4 Total income. Add Lines 1 through 3. **4 277,323 .00**

Staple W-2 and 1099 forms here

**Step 3:** 5 Social Security benefits and certain retirement plan income received if included in Line 1. Attach Page 1 of federal return. **5 43,186 .00**

**Income** 6 Illinois Income Tax overpayment included in U.S. 1040, Line 10. **6 .00**

7 Other subtractions. Attach Schedule M.

Check if Line 7 includes any amount from Schedule 1299-C. 

8 Add Lines 5, 6, and 7. This is the total of your subtractions.

**9 Illinois base income.** Subtract Line 8 from Line 4. **8 43,186 .00**  
**9 234,137 .00**

Step 4: 10 a Number of exemptions from your federal return. **2 x \$2,100 a 4,200 .00**Exemptions b If someone can claim you as a dependent, see instructions. **b .00**c Check if 65 or older.  You +  Spouse = **2 x \$1,000 c 2,000 .00**d Check if legally blind:  You +  Spouse = **d .00**

Exemption allowance. Add Lines a through d.

**10 6,200 .00**Step 5: 11 Residents: Net income. Subtract Line 10 from Line 9. Skip Line 12. **11 227,937 .00**

12 Nonresidents and part-year residents:

Check the box that applies to you during 2013  Nonresident  Part-year resident, and

write the Illinois base income from Sch. NR. Attach Sch. NR.

**12 .00**

## Step 6: 13 Residents: Multiply Line 11 by 5% (.05). Cannot be less than zero.

14 Nonresidents and part-year residents: Write the tax from Schedule NR.

15 Recapture of investment tax credits. Attach Schedule 4255.

16 Income tax. Add Lines 13 and 14. Cannot be less than zero.

17 Income tax paid to another state while an Illinois resident.

18 Attach Schedule CR.

19 Property tax and K-12 education expense credit amount from Schedule ICR. Attach Schedule ICR.

20 Credit amount from Schedule 1299-C. Attach Schedule 1299-C.

21 Add Lines 16, 17, and 18. This is the total of your credits.

22 Cannot exceed the tax amount on Line 15.

23 Tax after nonrefundable credits. Subtract Line 19 from Line 15.

**19 259 .00**  
**20 11,138 .00**

**21** Tax after nonrefundable credits from Page 1, Line 20.

**21** \_\_\_\_\_ 11,138.00

**Step 8:** **22** Household employment tax. See instructions.

**22** \_\_\_\_\_ .00

**Other** **23** Use tax on internet, mail order, or other out-of-state purchases from

UT Worksheet or UT Table in the instructions. **Do not leave blank.**

**23** \_\_\_\_\_ 42.00

**Taxes** **24** Total Tax. Add Lines 21, 22, and 23.

**24** \_\_\_\_\_ 11,180.00

**Step 9:** **25** Illinois Income Tax withheld. Attach all W-2 and 1099 forms.

**25** \_\_\_\_\_ 7,287.00

**Payments** **26** Estimated payments from Forms IL-1040-ES and IL-505-I,

**and** **26** \_\_\_\_\_ 4,080.00

**Refundable** **27** Pass-through entity tax payments. Attach Schedule K-1-P or K-1-T

**27** \_\_\_\_\_ .00

**Credit** **28** Earned Income Credit from Schedule ICR. Attach Schedule ICR.

**28** \_\_\_\_\_ .00

**29** Total payments and refundable credit. Add Lines 25 through 28.

**29** \_\_\_\_\_ 11,367.00

**Step 10:** **30** Overpayment. If Line 29 is greater than Line 24, subtract Line 24 from Line 29.

**30** \_\_\_\_\_ 187.00

**Result** **31** Underpayment. If Line 24 is greater than Line 29, subtract Line 29 from Line 24.

**31** \_\_\_\_\_ .00

**Step 11:** **32** Late-payment penalty for underpayment of estimated tax.

**32** \_\_\_\_\_ .00

**Underpayment** **a** Check if at least two-thirds of your federal gross income is from farming.

**a** \_\_\_\_\_ .00

**of Estimated** **b** Check if you or your spouse are 65 or older and permanently

**b** \_\_\_\_\_ .00

**Tax Penalty** **c** Check if your income was not received evenly during the year and you

**c** \_\_\_\_\_ .00

**and Donations** **d** Check if you were not required to file an Illinois Individual Income Tax

**d** \_\_\_\_\_ .00

annualized your income on Form IL-2210. Attach Form IL-2210.

**\_\_\_\_\_ .00**

**return in the previous tax year.**

**\_\_\_\_\_ .00**

**33** Voluntary charitable donations. Attach Schedule G.

**33** \_\_\_\_\_ .00

**34** Total penalty and donations. Add Lines 32 and 33.

**34** \_\_\_\_\_ .00

**Step 12:** **35** If you have an overpayment on Line 30 and this amount is greater than

Line 34, subtract Line 34 from Line 30. This is your remaining overpayment.

**35** \_\_\_\_\_ 187.00

**Refund or** **36** Amount from Line 35 you want refunded to you. Check one box on Line 37. See instructions.

**36** \_\_\_\_\_ 0.00

**Amount You** **37** I choose to receive my refund by

**\_\_\_\_\_ .00**

**direct deposit.** Complete the information below if you check this box.

**\_\_\_\_\_ .00**

**Routing number** \_\_\_\_\_ **Checking or**  **Savings** \_\_\_\_\_

**Account number** \_\_\_\_\_

**Illinois Individual Income Tax refund debit card**

**paper check**

**38** Subtract Line 36 from Line 35. This amount will be applied to your 2014 estimated tax.

**38** \_\_\_\_\_ 187.00

**39** If you have an underpayment on Line 31, add Lines 31 and 34. **Or**

If you have an overpayment on Line 30 and this amount is less than Line 34,

**39** \_\_\_\_\_ .00

subtract Line 30 from Line 34. This is the amount you owe.

**Step 13:** Under penalties of perjury, I have examined this return, and, to the best of my knowledge, it is true, correct, and complete.

**Sign and** \_\_\_\_\_ **COPY** \_\_\_\_\_ **Date** \_\_\_\_\_ **Daytime phone number** \_\_\_\_\_ **Your spouse's signature** \_\_\_\_\_ **Date** \_\_\_\_\_

Paid preparer's signature \_\_\_\_\_ Date \_\_\_\_\_ Preparer's phone number \_\_\_\_\_ Preparer's FEIN, SSN, or PTIN \_\_\_\_\_

**16** **1** **Third Party** **Designee** **X** Check, and complete below, to allow another person to discuss this return with the Illinois Department of Revenue.

**MICHELLE USHER, CPA** \_\_\_\_\_ Designee's name (please print)

Designee's phone number \_\_\_\_\_

**1** **Form 1099-G**  We no longer automatically mail 1099-G forms. Instead, we ask that you get this information from our website.

Check the box to receive a paper Form 1099-G next year, if you meet the criteria requiring us to issue a Form 1099-G.

**1** **ID: 2BX**  If no payment enclosed, mail to:

ILLINOIS DEPARTMENT OF REVENUE

PO BOX 1040

GALESBURG IL 61402-1040

If payment enclosed, mail to:

ILLINOIS DEPARTMENT OF REVENUE

SPRINGFIELD IL 62726-0001

349002

01-02-14

DR \_\_\_\_\_

AP \_\_\_\_\_

RR DC IR

IL-1040 page 2 (R-12/13)

<b>Tax and Credits</b>	38 Amount from line 37 (adjusted gross income)	38	277,323.
Standard Deduction for:	39a Check <input checked="" type="checkbox"/> You were born before January 2, 1949, <input type="checkbox"/> Blind.      b If your spouse was born before January 2, 1949, <input type="checkbox"/> Blind. } Total boxes checked ► 39a 2	39a	2
● People who check any box on line 39a or 39b if who can be claimed as a dependent, see instructions.	40 Itemized deductions (from Schedule A) or your standard deduction (see left margin)	40	49,504.
Married filing separately.	41 Subtract line 40 from line 38	41	227,819.
Jointly or Qualifying widow(er) \$12,200 Head of household, \$8,950	42 Exemptions. If line 38 is \$150,000 or less, multiply \$3,900 by the number on line 6d. Otherwise, see inst.	42	7,800.
	43 Taxable income. Subtract line 42 from line 41. If line 42 is more than line 41, enter -0.	43	220,019.
	44 Tax. Check if any from: a <input type="checkbox"/> Form(s) 8814 b <input type="checkbox"/> Form 4972 c <input type="checkbox"/>	44	48,850.
	45 Alternative minimum tax. Attach Form 6251	45	1,871.
● All others: Single or Married filing separately.	46 Add lines 44 and 45	46	50,721.
\$6,100	47 Foreign tax credit. Attach Form 1116 if required	47	55.
48 Credit for child and dependent care expenses. Attach Form 2441	48		
49 Education credits from Form 8863, line 19	49		
50 Retirement savings contributions credit. Attach Form 8880	50		
51 Child tax credit. Attach Schedule 8812, if required	51		
52 Residential energy credits. Attach Form 5695	52		
53 Other credits from Form: a <input type="checkbox"/> 3800 b <input type="checkbox"/> 8801 c <input type="checkbox"/>	53		
54 Add lines 47 through 53. These are your total credits	54	55	
55 Subtract line 54 from line 46. If line 54 is more than line 46, enter -0	55	50,666.	
<b>Other Taxes</b>	56 Self-employment tax. Attach Schedule SE	56	12,362.
57 Unreported social security and Medicare tax from Form: a <input type="checkbox"/> 4137 b <input type="checkbox"/> 8919	57		
58 Additional tax on IRAs, other qualified retirement plans, etc. Attach Form 5329 if required	58		
<b>59a Household employment taxes from Schedule H</b>		59a	
b First-time homebuyer credit repayment. Attach Form 5405 if required		59b	
60 Taxes from: a <input type="checkbox"/> Form 8959 b <input checked="" type="checkbox"/> Form 8960 c <input type="checkbox"/> Inst, enter code(s)	60	83.	
61 Add lines 55 through 60. This is your total tax	61	63,111.	
<b>Payments</b>	62 Federal income tax withheld from Forms W-2 and 1099	62	25,398.
63 2013 estimated tax payments and amount applied from 2012 return	63	29,240.	
If you have a qualifying child, attach Schedule EIC.	64a Earned income credit (EIC)	64a	
64b Non-taxable combat pay election	64b		
65 Additional child tax credit. Attach Schedule 8812	65		
66 American opportunity credit from Form 8863, line 8	66		
67 Reserved	67		
68 Amount paid with request for extension to file	68		
69 Excess social security and tier 1 RRTA tax withheld	69		
70 Credit for federal tax on fuels. Attach Form 4136	70		
71 Credits from Form: a <input type="checkbox"/> 2439 b <input type="checkbox"/> Reserved c <input type="checkbox"/> 8885 d <input type="checkbox"/>	71		
72 Add lines 62, 63, 64a, and 65 through 71. These are your total payments	72	54,638.	
<b>Refund</b>	73 If line 72 is more than line 61, subtract line 61 from line 72. This is the amount you overpaid	73	
74a Amount of line 73 you want refunded to you. If Form 8888 is attached, check here	74a		
► b Routing # <input type="checkbox"/> ► c Type: <input type="checkbox"/> Checking <input type="checkbox"/> Savings ► d number Account # <input type="checkbox"/>	74a		
See instructions.			
75 Amount of line 73 you want applied to your 2014 estimated tax	75		
<b>Amount You Owe</b>	76 Amount you owe. Subtract line 72 from line 61. For details on how to pay, see instructions	76	8,516.
<b>Third Party Designee</b>	77 Estimated tax penalty (see instructions)	77	43.
<b>N Sign</b>	Do you want to allow another person to discuss this return with the IRS (see instructions)? <input checked="" type="checkbox"/> Yes. Complete below.		
10 Here	Name ► MICHELLE USHER, CPA	Phone # <input type="checkbox"/> ► <input type="checkbox"/> Personal identification # <input type="checkbox"/>	
11 Joint return? See instructions.	Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.	Your occupation	Daytime phone number
12 Paid Preparer Use Only	Spouse's signature. If a joint return, both must sign. Date Date Your signature	U.S. SENATOR	If the IRS sent you an Identity Protection PIN, enter it here
	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed
	Print/Type preparer's name		Firm's EIN <input type="checkbox"/> Phone no. <input type="checkbox"/>
	Paid Preparer Use Only	MICHELLE USHER, CPA Firm's name ► SIKICH LLP	

# 1040 U.S. Individual Income Tax Return

**2013**

OMB No. 1545-0074

, 2013, ending

IRS Use Only - Do not write or staple in this space.

For the year Jan. 1-Dec. 31, 2013, or other tax year beginning  
Your first name and initial

RICHARD J.

If a joint return, spouse's first name and initial

LORETTA M.

Home address (number and street). If you have a P.O. box, see instructions.

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below.

Foreign country name

Foreign province/state/county

Foreign postal code

Apt. no.

Check only one box.

Last name

DURBIN

Last name

DURBIN

Spouse's social security number

[REDACTED]

Spouse's social security number

[REDACTED]

Make sure the SSN(s) above and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

will not change your tax or refund.

You

Spouse

► and on line 8c are correct.

Presidential Election Campaign

Check here if you, or your spouse

if filing jointly, want \$3 to go to

this fund. Checking a box below

## Lines 23-29

**Note:** If we find that you owe additional tax, we may assess the additional tax plus applicable penalties and interest. We conduct routine audits based on information received from third parties, including the U.S. Customs Service and other states.

### Use Tax (UT) Table

If you had no major purchases and you do not have receipts to figure your purchases, use this table to estimate your annual Illinois Use Tax liability.

<u>AGI</u> (from IL-1040, Line 1)	<u>Use Tax</u>
\$0	\$10,000
\$10,001 - \$20,000	\$3
\$20,001 - \$30,000	\$9
\$30,001 - \$40,000	\$15
\$40,001 - \$50,000	\$21
\$50,001 - \$75,000	\$27
\$75,001 - \$100,000	\$38
Above \$100,000	\$52
	Multiply AGI by 0.06% (0.0006)

### Use Tax (UT) Worksheet

Complete this worksheet to report and pay your use tax on Form IL-1040. If your annual use tax liability is over \$600 (\$1,200 if married filing jointly), you must file and pay your use tax with Form ST-44.

**Note:**

- Do not include any
  - items for which you paid sales tax in another state (but not in another country) of
    - 6.25% or more on Line 1a and
    - 1% or more on Line 2a.
  - sales tax you paid in another state, on Line 4, for items not included in Lines 1a or 2a.

**1a** Write the total cost of general merchandise you purchased to use in Illinois on which you did not pay the required amount of Illinois Use Tax.

1a \_\_\_\_\_ 669 .00

**1b** Multiply Line 1a by 6.25% (.0625). Round the result to whole dollars.

1b \_\_\_\_\_ 42 .00

**2a** Write the total cost of qualifying food, non-prescription drugs, and medical appliances you purchased to use in Illinois on which you did not pay the required amount of Illinois Use Tax.

2a \_\_\_\_\_ .00

**2b** Multiply Line 2a by 1% (.01). Round the result to whole dollars.

2b \_\_\_\_\_ .00

**3** Add Lines 1b and 2b. This is your use tax on purchases.

3 \_\_\_\_\_ 42 .00

**4** Write the amount of sales tax you paid in another state (not in another country) on the items included on Lines 1a and 2a.

4 \_\_\_\_\_ .00

**5** Subtract Line 4 from Line 3. Write the result here and on Form IL-1040, Line 23 (if the result is less than zero, write zero).



5 \_\_\_\_\_ 42 .00

**Note:** Be sure to keep this worksheet with your income tax records. You must send us this information if we request it.

## Schedule ICR - Page 2

### Step 3: Figure your refundable credit

#### Earned Income Credit

**Note** If you were in a civil union as of December 31, 2013, you must recompute the federal EIC on your "as-if-married" federal return before computing this step.

- 10 a Write the amount of federal EIC as shown on your U.S. 1040, Line 64a; U.S. 1040A, Line 38a; or U.S. 1040EZ, Line 8a.
- 10 b Multiply the amount on Line 10a by 10% (.10).
- 10 c Illinois residents: Write 1.0.
- Nonresidents and part-year residents: Write the decimal from Schedule NR, Line 48.
- d Multiply Line 10b by the decimal on Line 10c.
- 11 Write the amount from Line 10d here. This is your Illinois Earned Income Credit. Write this amount on Form IL-1040, Line 28.

### Section B Continued - K-12 Education Expense Credit Worksheet (continued from Step 2, Section B)

**Note** You must complete this section and attach any receipt you received from your student's school.

- 12 Complete the following information for each of your qualifying students. If a student attended more than one qualifying school during the calendar year, please list separately. If you need more space, attach a separate piece of paper following this format.

A Student's name	B Social Security number	C Grade (K-12 only)	D School name (IL K-12 schools only or write "home school," if applicable)	E School city (IL cities only)	F Total tuition, book/lab fees
---------------------	-----------------------------	------------------------	---	-----------------------------------	-----------------------------------

a _____	_____	_____	_____	_____	_____
b _____	_____	_____	_____	_____	_____
c _____	_____	_____	_____	_____	_____
d _____	_____	_____	_____	_____	_____
e _____	_____	_____	_____	_____	_____
f _____	_____	_____	_____	_____	_____
g _____	_____	_____	_____	_____	_____
h _____	_____	_____	_____	_____	_____
i _____	_____	_____	_____	_____	_____
j _____	_____	_____	_____	_____	_____

- 13 Add the amounts in Column F for Lines 12a through 12j (and the amounts from Column F of any additional pages you attached). This is the total amount of your qualified education expenses for this year. Write this amount here and on Step 2, Line 7a of this schedule.



13 \_\_\_\_\_ .00



**Illinois Department of Revenue  
2013 Schedule ICR**

Illinois Credits

Attach to your Form IL-1040

IL Attachment No. 23

**Read this information first**

Complete this schedule only if you are eligible for the

- Illinois Property Tax Credit
- K-12 Education Expense Credit
- Earned Income Credit (EIC)

- You must complete Form IL-1040 through Line 15 and Schedule CR, if applicable, before completing this schedule.
- The total amount of Illinois Property Tax Credit and K-12 Education Expense Credit cannot exceed tax. Only the Earned Income Credit may exceed tax.

**Step 1: Provide the following information**

**RICHARD J. & LORETTA M. DURBIN**

Your name as shown on your Form IL-1040

Your Social Security number [REDACTED]

**Step 2: Figure your nonrefundable credit**

1 Write the amount of tax from your Form IL-1040, Line 15.

2 Write the amount of credit for tax paid to other states from your Form IL-1040, Line 16.

3 Subtract Line 2 from Line 1.

**Section A - Illinois Property Tax Credit (See instructions for directions on how to obtain your property number)**

4 a Write the total amount of Illinois Property Tax paid during the tax year for the real estate that includes your principal residence.

b Write the county and property number for the property listed above.

4b **SANGAMON**

County

Property number [REDACTED]

c Write the county and property number for an adjoining lot, if included in Line 4a.

4c \_\_\_\_\_ County \_\_\_\_\_

Property number [REDACTED]

d Write the county and property number for another adjoining lot, if included in Line 4a.

4d \_\_\_\_\_ County \_\_\_\_\_

Property number \_\_\_\_\_

e Write the portion of your tax bill that is deductible as a business expense on U.S. income tax forms or schedules, even if you did not take the federal deduction.

f Subtract Line 4e from Line 4a.

g Multiply Line 4f by 5% (.05).

5 Compare Lines 3 and 4g, and write the lesser amount here.

6 Subtract Line 5 from Line 3.

**Section B - K-12 Education Expense Credit**

**Note** You must complete the *K-12 Education Expense Credit Worksheet* on page 2 of this schedule and attach any receipt you received from your student's school.

7 a Write the total amount of K-12 education expenses from Line 13 of the worksheet on page 2 of this schedule.

b You may not take a credit for the first \$250 paid.

c Subtract Line 7b from Line 7a. If the result is negative, enter "zero."

d Multiply Line 7c by 25% (.25). Compare the result and \$500, and write the lesser amount here.

8 Compare Lines 6 and 7d, and write the lesser amount here.

**Section C - Total Nonrefundable Credit**

9 Add Lines 5 and 8. This is your nonrefundable credit amount. Write this amount on Form IL-1040, Line 17.



9

259.00



8

0.00

0



1

11,138.00

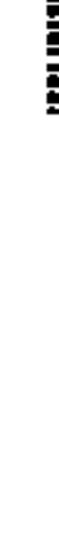
0



7

5,180.00

0



4

259.00

0



5

259.00

0



6

259.00

0



7

0.00

0



7a

250.00

0



7b

.00

0



7c

.00

0



7d

.00

0



8

0.00

0



9

259.00

0



10

0.00

0



11

0.00

0



12

0.00

0



13

0.00

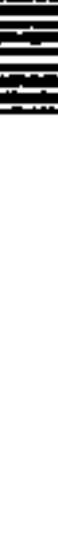
0



14

0.00

0



15

0.00

0



16

0.00

0



17

0.00

0



18

0.00

0



19

0.00

0



20

0.00

0



21

0.00

0



22

0.00

0



23

0.00

0



24

0.00

0



25

0.00

0



26

0.00

0



27

0.00

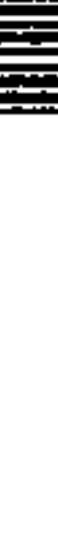
0



28

0.00

0



29

0.00

0



30

0.00

0



31

0.00

0



32

0.00

0



33

0.00

0



34

0.00

0



35

0.00

0



36

0.00

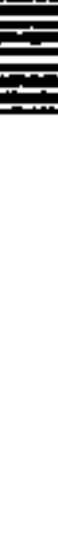
0



37

0.00

0



38

0.00

0



