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June 2, 2020

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Secretary of the Senate  
Office of Public Records  
232 Hart Senate Office Building  
Washington DC 20510

**Re: Senator Richard Blumenthal**

Dear Madame Secretary:

We are writing on behalf of Senator Richard Blumenthal. Enclosed please find Senator Blumenthal's Periodic Disclosure of Financial Transactions ("PTR") for a transaction dated April 17, 2020.

Please do not hesitate to call us should you have further questions. Thank you for your attention to this matter.

Very truly yours,

A handwritten signature in blue ink, appearing to read "Marc E. Elias".

Marc E. Elias  
Kate Sawyer Keane  
Counsel to Senator Richard Blumenthal

KSK:jsr

Enclosure



## This Report Should Be Filed With:

Secretary of the Senate  
Office of Public Records  
Hart Building, Suite 232  
Washington, DC 20510

# PERIODIC DISCLOSURE OF FINANCIAL TRANSACTIONS

(Time/Date)

Reporting Individual's Name

☐ Amendment

Senate Office / Agency in Which Employed

Page Number

Richard Blumenthal

United States Senate

1

Report any purchase, sale, or exchange by you, your spouse, or dependent child **within 30 days** of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction.

## Amount of Transaction (x)

## Transaction Type (x)

Transaction Date  
(Mo., Day, Yr.)

Purchase

Sale

Exchange

\$1,001 - \$15,000

\$15,001 - \$50,000

\$50,001 - \$100,000

\$100,001 - \$250,000

\$250,001 - \$500,000

\$500,001 - \$1,000,000

Over \$1,000,000\*\*\*

\$1,000,001 - \$5,000,000

\$5,000,001 - \$25,000,000

\$25,000,001 - \$50,000,000

Over \$50,000,000

## Identification of Assets

## Example:

(S) Spouse  
(DC) Dependent  
Child  
(J) Joint

IBM Corp. (stock) NYSE

(DC) Microsoft (stock)  
NASDAQ/OTC

X

2 / 1 / 1X

X

E

X

A

M

P

L

E

X

2 / 27 / 1X

X

E

X

A

M

P

L

E

1 (S) Peter L Malkin Family 2000 LLC:

2 (S) Coatue Opportunity Fund I LP

X

4/17/20

X

3

4

5

6

7

8

9

10

11

12