

**This Report Should Be Filed With:**

Secretary of the Senate  
Office of Public Records  
Hart Building, Suite 232  
Washington, DC 20510

**PERIODIC DISCLOSURE  
OF FINANCIAL  
TRANSACTIONS**

SECRETARY OF THE SENATE  
(Time/Date)

16 JAN 11 PM 4:26

Reporting Individual's Name

Amendment

Senate Office / Agency in Which Employed

Page Number

Richard M. Burr

Report any purchase, sale, or exchange by you, your spouse, or dependent child within 30 days of receiving written notification of such transaction. Report any stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving an excepted investment fund, any real property, or a transaction between you, your spouse, or dependent child. Please clarify which two assets are involved in any reportable exchange. In no event may this disclosure be filed more than 45 days after such transaction.

		Purchase		Sale		Exchange		Amount of Transaction (x)							
		Transaction Type (x)						Transaction Date (Mo., Day, Yr.)							
\$1,001 - \$15,000															
\$15,001 - \$50,000															
\$50,001 - \$100,000															
\$100,001 - \$250,000															
\$250,001 - \$500,000															
\$500,001 - \$1,000,000															
Over \$1,000,000***															
\$1,000,001 - \$5,000,000															
\$5,000,001 - \$25,000,000															
\$25,000,001 - \$50,000,000															
Over \$50,000,000															

Example: (S) Spouse (DC) Dependent (J) Child Joint	(IBM Corp. (stock) NYSE) (DC) Microsoft (stock) NASDAQ/OTC	Purchase		Sale		Exchange		Amount of Transaction (x)							
		Transaction Type (x)													
1	(S) Loews Corporation (stock) NYSE	X		12/23/15											
2	Loews Corporation (stock) NYSE		X												
3	(S) Schlumberger Limited (stock) NYSE	X		12/23/15											
4	(S) Schlumberger Limited (stock) NYSE	X		12/23/15											
5	(S) Esterline Technologies Corporation (stock) NYSE	X		12/23/15											
6	Esterline Technologies Corporation (stock) NYSE	X		12/23/15											
7	(S) Fifth Third Bancorp (stock) NASDAQ	X		12/23/15											
8	Fifth Third Bancorp (stock) NASDAQ	X		12/23/15											
9	(S) Wynn Resorts LTD (stock) NASDAQ	X		12/23/15											
10	Wynn Resorts LTD (stock) NASDAQ	X		12/23/15											
11	(S) Flowserve Corp (stock) NYSE	X		12/23/15											
12	Flowserve Corp (stock) NYSE	X		12/23/15											

t19

## TRANSACTIONS (continued)

(use only if needed)

2

	Transaction Type (x)	Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)	
			Purchase	Sale
1 (s) ORKLA AS SPONS ADR (stock) OTCMKTS	X	12/23/15	\$1,001 - \$15,000	
2 ORKLA AS SPONS ADR (stock) OTCMKTS	X	12/23/15	\$15,001 - \$50,000	X
3 (s) Devon Energy Corp (stock) NYSE	X	12/23/15	\$50,001 - \$100,000	X
4 Devon Energy Corp (stock) NYSE	X	12/23/15	\$100,001 - \$250,000	X
5 (s) Nucor	X	12/23/15	\$250,001 - \$500,000	X
6			\$500,001 - \$1,000,000	
7			Over \$1,000,000***	
8			\$1,000,001 - \$5,000,000	
9			\$5,000,001 - \$25,000,000	
10			\$25,000,001 - \$50,000,000	
11			Over \$50,000,000	
12				
13				
14				
15				
16				
17				

# Stephens Inc.

1-800-643-9691  
501-377-2000

\* MEMBER \*  
NEW YORK STOCK EXCHANGE  
SECURITIES INVESTORS PROTECTION CORPORATION

111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

CODES	TRANS. NO.	INTEREST	Tran Fee	MISC	HANDLING	NET AMOUNT
L	11M6H59	FIFO	12/23/15	12/29/15	436	687684

PRICE	PRINCIPAL	COMMISSION	INTEREST	Tran Fee	MISC	HANDLING	NET AMOUNT
38.5230	38,523.00			0.71			38,522.29

Stephens Inc Custodian for  
Brooke F Burr SED TRA

## CONFIRMATION

THANK YOU FOR LETTING US SERVE YOU.

### AGREEMENT

Us, our, we, firm means Stephens Inc.

- It is agreed between this firm and the customer:
- That all transactions are subject to the rules and regulations of the Federal Reserve Board and customers of the Exchange or Market (and its Clearing House if any) where executed.
  - That all securities from time to time carried in the customer's open account, or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect of such securities.
  - That delivery of securities sold and payment for securities bought must be received by us at our offices no later than the "Settlement Date," otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.
  - This agreement shall move to the benefit of any successors of this firm which result merely by withdrawals from or additions to existing firm personnel, where the customer does not indicate his nonacquiescence in such agreement.
  - In some cases Stephens receives payment for directing orders to particular brokers/dealers or market centers for execution. When such payment is received it is considered compensation to Stephens and the customer and amount of any such compensation in connection with your transaction will be disclosed upon written request.
  - There may be, under some circumstances, an additional charge for odd-lot executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.
  - In the event of a call of callable bonds or preferred stock held in bulk segregation, impartial lotteries are used by the depository and Stephens to apportion the bonds. Uncalled fully paid securities may be withdrawn prior to call unless prohibited by regulations. Details available upon request.
  - If bonds have been delivered to you, we assume no responsibility for notification of redemption or to maturity but will make every effort to alert you when such information becomes known.
  - Callable Securities: Call features may exist which could affect yield. Complete information available on request.
  - All mutual funds are sold by prospectus. Confirmation's acknowledgement of receipt of the prospectus. You may be eligible for breakpoint discounts based on the size of your purchase. Current holdings of future purchases. The sales charge you pay may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the Prospectus Statement. Additional information or contact your financial advisor for further information. We may receive payments as an executing Broker from the fund. Additional information concerning the security will be provided upon request. A copy of the final official statement for the issue, if prepared, is available, if requested, within one year of the date of the transaction.
  - CMOs—yields are subject to fluctuation, depending on the speed in which the underlying note or receivable prepays. Specific information is available upon written request.
  - We acted as agent, name or reason with whom the transaction was made and source and amount of any owner remittance on received by us will be furnished upon written request.
  - 2010 coupon bars. No periodic payments...callable below maturity value without prior notice by mail to holder unless registered.
  - For advisory accounts, written consent for agency stock transactions may be revoked at any time by written consent to us or advisory affiliate.
  - SUBJECT TO US TREASURY OR AGENCY DEBT AND AGENCY MBS FAILS CHARGE TRADING PRACTICE PUBLISHED BY TMPC <http://www.stephens.com/Standard-Form-and-Documents/Securitized-Products/Securitized-Products-Fails-Charge-Trading-Practice>
  - Agency fees, transaction costs may be revoked at any time by written notice to Stephens.

IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY. FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER

REVISER 05-11-2015

CODE EXPLANATION	US our we firm means Stephens Inc
1. ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED	
1 Cash	3 Short Margin
2 General Margin	0 Broker Dealer
CAP: CAPACITY IN WHICH THE FIRM ACTED	
1G As Agent for you we have sold or bought from you this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distributor, administration or other agreements. See fund prospectus and SAI (separate commission (load) and other remuneration). Further information is available on written request.	
2 As Principal we have sold to you or bought from you this security	
3 As Agent for another we have sold to you or bought from you this security	
4 As agent for both buyer and seller, charging a commission to buyer or seller or both. Commission amounts may differ. Agency (Cross Transaction) Order is "The Trade Price reported unless it is an average price, see below."	
5 As principal with commission held displaying markup or markdown.	
6 As Principal we make a market in this security	
7 Riskless Principal	
8 Multiple capacities, as some combination of principal, riskless principal, agent and/or agency cross.	
A B F As Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise interested and your acceptance of this confirmation is acknowledgement of receipt of the prospectus.	
R As Agent for you we have redeemed or tendered your security	
"IF TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES. DETAILS AVAILABLE ON REQUEST"	
Adjusted Options: When standardized options are adjusted, i.e., as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, expressed at a price per share or the shares subject to the option may be so adjusted, as described in the Options' Circular Brochure, entitled Characteristics and Risks of Standardized Options as Amended (Circular). The confirmations provided for transactions in standardized options may have been affected by such adjustments and disclose adjustments adjacent to the abbreviation "O/S." The adjusted number of shares deliverable, upon exercise of such option, and will disclose adjacent to the abbreviation "E/S/P" the estimated adjusted strike price, expressed as a price per share, for the shares subject to the option, as calculated in accordance with the descriptions set forth in the Brochure.	
Business Day Settlement: All purchases are NOT F.D.I.C. insured and are subject to FDIC or similar rules or guidelines established by any organization which are also subject to certain risks.	
Assumed and specified continuously to prepayment actual yield may vary according to rates at which the underlying securities or other financial assets are prepaid. Interest rates, principal amounts, and weighted average life and the prepayment assumptions underlying financial instruments may be revised upon written request.	
Auto Strike AT <a href="http://www.stephens.com/Standard-Form-and-Documents/Securitized-Products/Securitized-Products-Fails-Charge-Trading-Practice">http://www.stephens.com/Standard-Form-and-Documents/Securitized-Products/Securitized-Products-Fails-Charge-Trading-Practice</a>	
WIND-Down Date/Interest <a href="http://www.stephens.com/Standard-Form-and-Documents/Securitized-Products/Securitized-Products-Fails-Charge-Trading-Practice">http://www.stephens.com/Standard-Form-and-Documents/Securitized-Products/Securitized-Products-Fails-Charge-Trading-Practice</a>	
INFO Legal/Regulatory <a href="http://www.stephens.com/Standard-Form-and-Documents/Securitized-Products/Securitized-Products-Fails-Charge-Trading-Practice">http://www.stephens.com/Standard-Form-and-Documents/Securitized-Products/Securitized-Products-Fails-Charge-Trading-Practice</a>	
Offering Documents for Municipal, Government Sponsored Enterprise (GSE), and Federal Agency Securities, to obtain recent notices and offering documents including Original Settlement, if available, or other offering documents, including web sites <a href="http://www.gmacmfp.org">www.gmacmfp.org</a> , <a href="http://federalhomeloanbank.org">federalhomeloanbank.org</a> , <a href="http://fha.gov">fha.gov</a> , <a href="http://fannie.mfh">fannie.mfh</a> , <a href="http://fanniemae.com">fanniemae.com</a> , <a href="http://ginnie.mfh">ginnie.mfh</a> , <a href="http://www.mfh.gov">www.mfh.gov</a>	

# Stephens Inc.

1-800-643-9691  
501-377-2000

• MEMBER •  
NEW YORK STOCK EXCHANGE  
SECURITIES INVESTORS PROTECTION CORPORATION

111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

CODES		SYMBOL		ACCOUNT NO.		TRANS. NO.		METHOD		CAP.		TRADE DATE		SETTLE DATE		OFC.		AE.		
YOU	SLD	QUANTITY	CUSIP NUMBER	1	1	LTMUN29	FIFO	1	12/23/15	12/29/15	436	687684								
																				JOEWS CORPORATION

## Discretionary Order

### Average Reported Price

DE

PRICE	PRINCIPAL	COMMISSION	INTEREST	TRAN FEE	MISC.	HANDLING	NET AMOUNT
38.5200	13,751.64			0.26			13,751.38

Stephens Inc Custodian for  
Richard M Burr IRA

## CONFIRMATION

THANK YOU FOR LETTING US SERVE YOU.

CODE EXPLANATION  
US, our firm means Stephens Inc

It is agreed between this firm and the customer:

1. That all transactions are subject to the rules and regulations of the Federal Reserve Board and customs of the Exchange or Market (and its Clearing House if any) where executed.
2. That all securities from time to time carried in the customer's open account, or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect for a sum, not in excess of the aggregate indebtedness of such customers in respect of such securities.
3. That delivery of securities sold and payment for securities bought must be received by us at our office not later than the "Settlement Date." Otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.
4. That this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawals from or additions to existing firm personnel, where the customer does not indicate his non-continuance in such agreement.
5. In some cases Stephens receives payment for directing orders to particular brokers/dealers or market centers for execution. When such payment is received it is considered compensation to Stephens and the customer and amount of any such compensation in connection with your transaction will be disclosed upon written request.
6. Payment may be made by wire, mail or otherwise, and we assume no responsibility for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.
7. Callable Securities: Call features may exist which could affect yield. Complete information available on request.
8. All mutual funds are sold by prospectus. Confirmation is acknowledgement of receipt of the prospectus. You may be eligible for break-point discounts based on the size of your purchase. Current holdings of future purchases. The sales charge you and may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the Prospectus Statement of Additional Information or contact your financial advisor for further information. We may receive payments as an Executing Broker from the fund. Additional information concerning the security will be provided upon request. A copy of the final official statement for the issue, if prepared, is available, if requested, within one year of the date of the transaction.
9. CMO's yields are subject to fluctuation depending on the speed in which the underlying note or receivable pre pays. Specific information is available upon written request.
10. We acted as agent, name or person with whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.
11. Zero coupon bonds... No periodic payments... callable below maturity, value without prior notice by mail to holder unless registered, by written consent to us, or advisory affiliate.
12. SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FAILS CHARGE TRADING PRACTICE PUBLISHED BY TMPC And SIEFA At <http://www.siefa.org/Service/StandartFormsAndDocumentation/SecuritizedProducts/SecuritizedProducts-Fair-Value-Charge-Trading-Practice/>
13. Agency Cross Transaction could may be revoked at any time by written notice to Stephens.

### CODE EXPLANATION

U.S., our firm means Stephens Inc

1. ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

1 Cash 3 Short Margin

2 General Margin 0 Broker Dealer

### CAP\* - CAPACITY IN WHICH THE FIRM ACTED

1G As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distribution, administration or other agreements. See fund prospectus and SAI for applicable commission (load) and other remuneration. Further information is available on written request.

2 As Principal we have sold to you or bought from you this security.

3 As Agent for another we have sold to you or bought from you this security.

4 As agent for both buyer and seller charging a commission to buyer or seller or both. Commission amounts may differ. Agency Cross Transaction

5 As Principal with Commission held displaying markup or markdown. The price is "The Trade Price" reported unless it is an average price, see below.

6 As Principal we make a market in this security

7 Riskless Principal

8 Multiple capacities, as some combination of principal, riskless principal, agent and/or agency cross.

A B F As principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and you acceptance of this Confirmation is acknowledgement of receipt of the prospectus.

R As Agent for you we have redeemed or tendered your security

"IF TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES, DETAILS AVAILABLE ON REQUEST"

Adjusted Options: When standardized options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, expressed as a price per share or the shares subject to the option may also be adjusted as described in the Options Clearing Corporation Brochure, entitled Characteristics and Risks of Standardized Options, as amended ("Brochure"). The commissions previously paid for transactions in standardized options that have been affected by such adjustments will disburse, subject to the abbreviation "DEL". The adjusted number of shares deliverable upon exercise of such option will be disclosed adjacent to the abbreviation "ESOP", the estimated option strike price expressed as a price per share, for the shares subject to the option, all calculated in accordance with the descriptions set forth in the Brochure.

Investment Securities and products are NOT FDIC insured and NO obligations of or guarantees by any bank and are subject to loss of principal amount invested subject to certain brokered certificates of deposit which are also subject to certain risks.

Assume back to security subject daily to repayment at actual yield may vary according to date of original issue, underlying receivables or other financial assets are prepaid before final date of conversion, "Actors It All A Part" and the prepayment assumptions underlying each

transaction, see the Disclosure Statement for more information.

Other documents for Municipal Government Sponsored Enterprises (GSE) and Federal Agency Securities to obtain recent numbers and governing documents including Offer Statement, if applicable, or other offering documents visit the following websites:

Municipals [www.muniinfo.org](http://www.muniinfo.org)  
Federal Home Loan Banks [www.fhlbb.org](http://www.fhlbb.org)  
FHLB Federal Farm Credit System [www.farmcredit.com](http://www.farmcredit.com)  
FNMIC Financial Markets [www.fnmic.com](http://www.fnmic.com)  
Ginnie Mae [www.ginniemae.gov](http://www.ginniemae.gov)

IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY. FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER.

REVISED NOV 2013

# Stephens Inc.

1-800-643-9691  
501-377-2000

• MEMBER •  
NEW YORK STOCK EXCHANGE  
SECURITIES INVESTORS PROTECTION CORPORATION

111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

CODES	Richard O. Rogers III
SYMBOL	
ACCOUNT NO.	
TRANSACTION NO.	
MTHD CAP	
TRADE DATE	12/23/15
SETTLE DATE	12/29/15
OFCL	436
AE	687684
SECURITY DESCRIPTION	SCHLUMBERGER LIMITED
COUPON/MATURITY	

We Make A Market In This Security  
Discretionary Order  
DE

PRICE	PRINCIPAL	COMMISSION	INTEREST	TRAN.FEE	MISC	HANDLING	NET AMOUNT
70.8099	8,851.24						8,851.24

Stephens Inc Custodian for  
Brooke F Burr SEP IRA

## CONFIRMATION

THANK YOU FOR LETTING US SERVE YOU.

### CODE EXPLANATION

US, our, we, firm means Stephens Inc

It is agreed between this firm and the customer:

That all transactions are subject to the rules and regulations of the Federal Reserve Board and customers of the Exchange or Market and its Clearing House if any where executed.

That all securities from time to time carried in the customer's open account or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect of such securities.

That delivery of securities sold and payment for securities bought must be received by us at our office, not later than the "Settlement Date", otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.

This agreement shall inure to the benefit of any successors of this firm which result merely by withdrawal from additions to existing firm personnel, where the customer does not indicate his non-succession in such agreement.

In some cases Stephens receives payment for directing orders to particular brokers, valuers or market centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.

There may be, under some circumstances, an additional charge for odd lot executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.

In the event of a call of callable bonds or preferred stock held in bulk segregation, impartial lotteries are used by the depository and Stephens to apportion the bonds. Uncalled fully paid securities may be withdrawn prior to call unless prohibited by regulations. Details available upon request.

If bonds have been delivered to you, we assume no responsibility for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.

Callable Securities: Call features may exist which could affect yield. Complete information available on request.

All mutual funds are sold by prospectus. Confirmation is acknowledgement of receipt of the prospectus. You may be eligible for breakpoint discounts based on the size of your purchase. Current holdings or future purchases. The sales charge you pay may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the Prospectus Statement of Additional Information or contact your financial advisor for further information. We may receive payments as an executing Broker from the fund.

Additional information concerning the security will be provided upon request. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction.

CMOs - yields are subject to fluctuation depending on the speed in which the underlying note or receivable prepays. Specific information is available upon written request.

If the acted as agent, name of person with whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.

Zero coupon bonds - no periodic payments - callible below maturity value without prior notice by issuer to holder unless registered by written consent to us or mandatory affidavit.

17. SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FAILS CHARGE TRADING PRACTICE PUBLISHED BY IMPC AND SIFMA AT <http://www.sifma.org/services/standards-forms-and-documentation/securitized-products/Securitized-Products-Fails-Charge-Trading-Practice>

18. Agency Cross Transaction contract may be revoked at any time by written notice to Stephens.  
<http://www.sifma.org/services/standards-forms-and-documentation/securitized-products/Securitized-Products-Fails-Charge-Trading-Practice>

IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY. FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER

Offering Document for Securitized Government Sponsored Enterprise ("GSE") and Federal Agency Securities to obtain recent notices and offering documents, including Official Statement if available, or other offering documents at the following websites:

FHB (Federal Home Loan Banks), [www.hib.org](http://www.hib.org); FNMA (Federal National Mortgage Association), [www.fnmagov.com](http://www.fnmagov.com); GNMA (Home Loan Bank), [www.gnmagov.com](http://www.gnmagov.com)

A copy of the GSE Offering Document can be obtained by calling 1-800-643-9691.

# Stephens Inc.

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501-377-2000

\* MEMBER \*  
NEW YORK STOCK EXCHANGE  
SECURITIES INVESTORS PROTECTION CORPORATION

111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

CODES	Richard O. Rogers III
SLB	[REDACTED]
YOU	[REDACTED]
BOT	[REDACTED]
QUANTITY	40
ACCUSI# NUMBER	[REDACTED]
SECURITY DESCRIPTION	SCHLUMBERGER LIMITED
COUPOON/MATURITY	[REDACTED]

## We Make A Market In This Security

### Discretionary Order DE

70.7800 2,831.20  
70.7800 2,831.20

Stephens Inc Custodian for  
Richard M Burr IRA

## CONFIRMATION

THANK YOU FOR LETTING US SERVE YOU.

### AGREEMENT

Us, you, we, him means Stephens Inc

It is agreed between this firm and the customer:  
That all transactions are subject to the rules and regulations of the Federal Reserve Board and Commissions of the Exchange or Market (and its Clearing House if any) where executed.

2 That all securities from time to time carried in the customer's open account, or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect for a sum, not in excess of the aggregate indebtedness of such customers in respect of such securities.

3 That delivery of securities sold and payment for securities bought must be received by us at our office not later than the Settlement Date otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.

4 That this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawal from or additions to existing firm personnel, where the customer does not indicate his nonacquiescence in such agreement.

In some cases Stephens reserves payment for directing orders to particular brokers/dealers or market centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.

There may be, under some circumstances, an additional charge for odd lot executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.

In the event of a call of callable bonds or preferred stock held in bulk segregation, impartial lotteries are used by the depository and Stephens to apportion the bonds. Uncalled fully paid securities may be withdrawn prior to final notices prohibited by regulations. Details available upon request.

If bonds have been delivered to you, we assume no responsibility for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.

Callable Securities: Call features may exist which could affect yield. Complete information available on request. All mutual funds are sold by prospectus. Confirmation is acknowledgement of receipt of the prospectus. You may be eligible for discount discounts based on the size of your purchase. Current holdings or future purchases. The sales charge you paid may differ slightly from the prospectus disclosed rate due to rounding fluctuations. Please refer to the Prospectus Statement of Additional Information or contact your financial advisor for further information. We may receive payments as an Executing Broker from the fund. Additional information concerning the security will be provided upon request. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction.

Options: CMO's yields are subject to fluctuation depending on the speed in which the underlying note or receivable prepays. Specific information is available upon written request. If we acted as agent, name of person with whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.

15. Zero coupon bonds... No periodic payments... callable below maturity value without prior notice by mail to holder unless registered.

16. For advisory accounts, written consent of agency clients, transactions may be revoked at any time by written consent of the advisor.

17. SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FAIL'S CHARGE TRADING PRACTICE PUBLISHED BY TWO C

<http://www.stephens.com/Products/SecuritizedProducts/FailChargeTradingPractice>

18. Agency Orders transaction costs may be revoked at any time by written notice to Stephens

### CODE EXPLANATION

Us, our we firm means Stephens Inc

#### I. ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

1 Cash  
2 General Margin  
3 Short Margin  
0 Broker Dealer

#### CAP - CAPACITY IN WHICH THE FIRM ACTED

- 1G As Principal, for you we have sold or bought from you this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distribution, administration or other agreements. See fund prospectus and SAI for applicable commission (load) and other remuneration. Further information is available on written request
  - 2 As Principal we have sold to you or bought from you this security
  - 3 As Agent for another we have sold to you or bought from you this security
  - 4 As agent for both buyer and seller, charging a commission to buyer or seller or both. Commission amounts may differ. Agency Cross Transaction
  - 5 As principal with commission held displaying markup or markdown. The price is "The trade price reported unless it is an average price, see below."
  - 6 As principal we make a market in this security
  - 7 Riskless Principal
  - 8 Multiple capacities, as some combination of principal, riskless principal, agent and/or agency cross.
- A, B, E: As Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and your acceptance of this confirmation is acknowledgement of receipt of the prospectus.
- R: As Agent for you we have redeemed or tendered your security.
- "IF TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES DETAILS AVAILABLE ON REQUEST"

Adjusted Options: When standardized options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option's exercise price, expressed as a price per share of the shares subject to the option may also be adjusted as described in the Options Clearing Corporation Brochure, entitled Characteristics and Risks of Standardized Options ("Brochure"). The adjustments provided for transactions in standardized options that have been affected by such adjustments will disclose, adjacent to the abbreviation DEL, the adjusted number of shares deliverable upon exercise of such option, and will disclose adjacent to the abbreviation EASP, the assumed adjusted strike price expressed as a price per share, for the shares subject to the option, all calculated in accordance with the descriptions set forth in the Brochure.

Investment securities are products of NOT DIC insured are NOT obligations of or guaranteed by any bank, which are also subject to certain risks.

Asset-backed securities subject most closely to prepayment actual yields may vary according to rates which are declining and minimum estimated yield weighted average life and prepayment assumptions underlying each investment.

IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER

REVISN 05-11-2013

Offering Documents for Municipal, Government Sponsored Enterprise (GSE), and Federal Agency Securities, to obtain recent notices and offering documents, including On-line Statement, it is available on the following websites:

Federal Home Loan Banks: [www.chnf.org](http://www.chnf.org), [FSLIC](http://www.fslinc.org), [HLC](http://www.hlc.org), [MHC](http://www.mhc.gov)

SEC: [www.sec.gov](http://www.sec.gov)

FDIC: [www.fdic.gov](http://www.fdic.gov)

Stephens Inc.

1-800-643-9891  
501-377-2000

**NEW YORK STOCK EXCHANGE  
SECURITIES INVESTORS PROTECTION CORPORATION**

III SENIORS' LITTLE ROCK, ARKANSAS / 220

RECIPIENT		ROGERS, T.L.	
ACCOUNT NO.	TRANSACTION NO.	SETTLE DATE	OF C
ESL	11UK6H9	12/23/15	12/29/15
YOU	QUANTITY	CUSIP NUMBER	COUPON/MATURITY
BOT	355	ESTERLINE TECHNOLOGIES	CORPORATION

Discretionary Order Average Reported Price DE	PRICE/ PRINCIPAL	PRINCIPAL
84.0606		29,841

Stephens Inc Custodian for  
Brooke F Burr SEP IRA

Average Reported Price  
DE

84.0606      29,841.51

## AGREEMENT

U.S., OUT, WE, HEREIN MEANS STEPHENS, INC.

1. It is agreed between this firm and the customer:
  - a. That all transactions are subject to the rules and regulations of the Federal Reserve Board and custodians of the Exchange or Market (and its Clearing House if any) where executed.
  - b. That all securities from time to time carried in the customer's open account, or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect for a sum, not in excess of the aggregate indebtedness of such customers in respect of such securities.
  - c. That delivery of securities sold and payment for securities bought must be received by us at our office not later than the "Settlement Date," otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment or purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.

4. That this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawals from or additions to existing firm personnel, where the customer does not indicate his nonacquiescence in such agreement.
5. In some cases Stephens receives payment for directing orders to particular brokers/dealers or market centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.

6. Time of execution available upon request.
7. There may be, under some circumstances, an additional charge for odd-lot executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.
8. In the event of a call of callable bonds or preferred stock held in bulk segregation, impartial lotteries are used by the depository and Stephens to apportion the bonds. Uncalled fully paid securities may be withdrawn prior to call unless prohibited by regulations. Details available upon request.
9. If bonds have been delivered to you, we assume no responsibility for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.
10. Callable Securities: Call features may exist which could affect yield. Complete information available on request.

11. All mutual funds are sold by prospectus. Confirmation is acknowledgement of receipt of the prospectus. You may be eligible for breakpoint discounts based on the size of your purchase current holdings or future purchases. The sales charge you paid may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the Prospectus Statement of Additional Information or contact your financial advisor for further information. We may receive payments as an Executing Broker from the fund.

12. Additional information concerning the security will be provided upon request. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction.

13. CMIO's yields are subject to fluctuation depending on the speed in which the underlying note or receivable prepays. Specific information is available upon written request.
14. If we acted as agent, name of person with whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.
15. Zero coupon bonds... No periodic payments... callable below maturity value without prior notice by mail to holder unless registered.
16. For advisory accounts, written consent for agency cross transactions may be revoked at any time by written consent to us or advisory affiliate.

17. SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FAIRS CHARGE TRADING PRACTICE PUBLISHED BY TMPC AND SIFMA AT <http://www.sifma.org/Services/Standard-Forms-and-Documetation/Securitized-Products/Securitized-Products-Facts-Change-Trading-Practice/>

1. ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED	
1 Cash	3 Short Margin
2 General Margin	0 Broker Dealer

### CAPACITY IN WHICH THE FIRM ACTED

- 1 G As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distribution, administration or other agreements. See fund prospectus and SAI for applicable commission (load) and other remuneration. Further information is available on written request.
- 2 As Principal we have sold to you or bought from you this security.
- 3 As Agent for another we have sold to you or bought from you this security.
- 4 As agent for both buyer and seller, charging a commission to buyer or seller or both. Commission amounts may differ. (Agency Cross Transaction)
- 5 As principal with commission field displaying markup or markdown. The price is "The Trade Price" reported unless it is an average price, see below.
- 6 As Principal we make a market in this security
- 7 Riskless Principal
- 8 Multiple capacities, as some combination of principal, riskless principal, agent and/or agency cross.

A B F As Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and your acceptance of this Confirmation is acknowledgement of receipt of the prospectus.

R As Agent for you we have redeemed or tendered your security

### "THE TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES. DETAILS AVAILABLE ON REQUEST."

**Adjusted Options.** When standardized options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, expressed as a price per share of the shares subject to the option may also be adjusted, as described in the Options Clearing Corporation Brochure, entitled Characteristics and Risks of Standardized Options as amended ("Brochure"). The confirmations provided for transactions in standardized options that have been affected by such adjustments will disclose adjustment to the abbreviation "ADJ", the adjusted number of shares deliverable upon exercise of the option, and will disclose adjacent to the abbreviation "EASP", the estimated adjusted strike price expressed as a price per share, for the shares subject to the option as calculated in accordance with the descriptions set forth in the Brochure.

**Investment securities and products are NOT FDIC insured and NOT obligations of or guaranteed by any bank and are subject to losses if the principal amount invested is lost to certain broker-dealers.**

**Asset-backed securities, consisting primarily of repayment of principal and interest on debt or deposit which are also subject to certain risks.**

**Underlying receivables or other financial assets are prepaid earlier than expected yielding a loss including an estimated yield required average life and the prepayment assumptions underlying such investment upon written request.**

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IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY. FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER.

ESTATE PLANNING

# Stephens Inc.

1-800-643-9691  
501-377-2000

SECURITIES INVESTORS PROTECTION CORPORATION  
• MEMBER •  
NEW YORK STOCK EXCHANGE

111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

CODES	Richard O. Rogers III	SYMBOL	ACCOUNT NO.	TRANS NO.	MTHD	CAP	TRADE DATE	SETTLE DATE	DOFC	MAE
YOU	120	BOT					12/23/15	12/29/15	436	687684
ESTERLINE TECHNOLOGIES CORPORATION										

**Discretionary Order**  
**Average Reported Price**  
DE

PRICE	PRINCIPAL	COMMISSION	INTEREST	TranFee	MISC	HANDLING	SPECIAL DELIVERY INSTRUCTIONS	NET AMOUNT
84.0558	10,086.70							10,086.70

Stephens Inc Custodian for  
Richard M Burr IRA

## CONFIRMATION

THANK YOU FOR LETTING US SERVE YOU.

**AGREEMENT**  
Us, our, we, firm means Stephens Inc.  
It is agreed between this firm and the customer:

1. That all transactions are subject to the rules and regulations of the Federal Reserve Board and customs of the Exchange or Market (and its Clearing House if any) where executed.
2. That all securities from time to time carried in the customer's open account or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities or other customers in respect of such securities.
3. That delivery of securities sold and payment for securities bought must be received by us at our office, not later than the "Settlement Date," otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.
4. That this agreement shall inure to the benefit of any successors of this firm which result from by withdrawals, from or addition to existing firm personnel, where the customer does not indicate his nonresidence in such agreement.
5. In some cases Stephens receives payment for directing orders to particular brokers/dealers or mutual centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.
6. If bonds have been delivered to you, we assume no responsibility for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.
7. Callable Securities: Call features may exist which could affect yield. Complete information available on request.
8. All mutual funds are sold by prospectus. Confirmation is acknowledgement of recent info of the prospectus. You may be eligible for breakpoint discounts based on the size of your purchase current rollovers or future purchases. The sales charge you pay may differ slightly from the prospectus disclosed rate due to financial advisor for further information. We may receive payments as an Executing Broker from the fund or firm unless prohibited by regulations. Details available upon request.
9. If bonds have been delivered to you, we assume no responsibility for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.
10. Callable Securities: Call features may exist which could affect yield. Complete information available on request.
11. Investment products are NOT FDIC insured and NOT obligations of or guaranteed by any bank and are subject to loss of principal amount invested for certain periods of time.
12. Additional information concerning the security will be provided upon request. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction.
13. CMO's - yields are subject to fluctuation depending on the speed in which the underlying note is called as agent, name of person who the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.
14. Zero coupon bonds - No periodic payments - callable below maturity value without prior notice by issuer to holder unless registered.
15. For advisory accounts, written consent for agency stock transactions may be revoked at any time by written consent to us or advisory affiliate.
16. SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FAIRS CHARGE TRADING PRACTICE PUBLISHED BY TMPC AND SEMAAT AND <http://www.sem.org/Services/Standard-Forms-and-Documentation/Securitized-Products/Securitized-Products-Fair-Charge-Trading-Practice/>
17. Agency Cross Transaction consent may be revoked at any time by written notice to Stephens.
18. Agency Cross Transaction consent may be revoked at any time by written notice to Stephens.

IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER

RE: WIRE 08-11-2013  
Offering Documents for Municipal Government Sponsored Enterprise (GSE) and External Agency Securities to obtain recent notices and offering documents, including Official Statement, available on the following websites:  
• Stephens  
• First Trust Trustee, Los Angeles, CA: [www.cet.org](http://www.cet.org)  
• FHLB, Federal Home Loan Bank, [www.fhlbo.com](http://www.fhlbo.com)  
• Fannie Mae, [www.fanniemae.com](http://www.fanniemae.com)  
• Freddie Mac, [www.freddiemac.com](http://www.freddiemac.com)  
• Ginnie Mae, [www.ginniemae.gov](http://www.ginniemae.gov)  
• <http://www.sec.gov> or the Office of State Non-Bank Regulation, Dept. of Fin., MD 600-4500

# Stephens Inc.

1-800-643-9691  
501-377-2000

\* MEMBER \*  
NEW YORK STOCK EXCHANGE  
SECURITIES INVESTORS PROTECTION CORPORATION

111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

SYMBOL	ACCOUNT NO.	TRANS. NO.	CODES	RICHARD O. ROGERS III
FITB		111 J9EK39	1	12/23/15
BOT	1,250		12/29/15	436 687684

FIFTH THIRD BANCORP

We Make A Market In This Security  
Discretionary Order  
DE

PRICE	PRINCIPAL	COMMISSION	INTEREST	TRANFee	MISC.	HANDLING	NET AMOUNT
20.4199	25,524.88						25,524.88

SPECIAL DELIVERY INSTRUCTIONS

Stephens Inc Custodian For  
Brooke F Burr SEP IRA

## CONFIRMATION

THANK YOU FOR LETTING US SERVE YOU.

### CODE EXPLANATION

#### 1. ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

- 1 Cash  
2 General Margin  
3 Short Margin  
0 Broker Dealer

#### 2. CAPACITY IN WHICH THE FIRM ACTED

- 1G As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distributor, administration or other agreements. See Fund prospectus and SAI for applicable commission (load) and other remuneration. Further information is available on written request.  
2 As Principal we have sold to you or bought from you this security.  
3 As Agent for another we have sold to you or bought from you this security.  
4 As agent for both buyer and seller charging a commission to buyer or seller or both Commission amounts may differ (Agency Cross Transaction)  
5 As principal with commission held dispository mark-up or mark-down. The price is "The Trade Price reported unless it is an average price, see below."  
6 As Principal we make a market in this security.  
7 Riskless Principal  
8 Multiple capacities, as some combination of principal, riskless principal, agent and/or agency cross.

- A B F As Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and your acceptance of this Confirmation is acknowledgement of receipt of the prospectus.  
R As Agent for you we have redeemed or tendered your security  
"IF TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES. DETAILS AVAILABLE ON REQUEST"

- Adjusted Options. When standard options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, expressed as a price per share of the shares subject to the option, may also be adjusted as described in the Options Clearing Corporation Brochure, entitled Characteristics and Risks of Standardized Options as Amended ("Brochure"). The confirmations provided for transactions in standardized options that have been affected by such adjustments will disclose, subject to this abbreviation (TEL), the adjusted number of shares deliverable upon exercise of such option, and will include adjustment to the abbreviation (EASP), the estimated adjusted strike price, expressed as a price per share, for the shares subject to the option, all calculated in accordance with the descriptions set forth in the Brochure.  
Investment securities and products of NOT FDIC insured are NOT obligations of or guaranteed by any bank or entity. They are subject to loss of principal and investment return for certain types of deposits which are also subject to certain rates.  
Assets held by Stephens are deposited primarily to pay premium actual yield may vary according to rates at which the underlying receivables or other financial assets are prepaid, interest rates, prepayment factors, default rates, and other factors.  
SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY ABS FAILS CHARGE TRADING PRACTICE PUBLISHED BY TMPC AND SIFMA AT <http://www.sifma.org/Services/StandardFormsandDocumentation/SecuritizedProducts/SecuritizedProductsFAQs/ChargingTradingPractice/>

- 18 Agency Dates Transaction consent may be revoked at any time by written notice to Stephens.  
If THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR NOTIFY US IMMEDIATELY. FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER.  
RE-MTC 03-11-2013



# Stephens Inc.

1-800-643-9691  
501-377-2000

• MEMBER •  
NEW YORK STOCK EXCHANGE  
SECURITIES INVESTORS PROTECTION CORPORATION

111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

CODES	RICHARD O. ROGERS III								
PRICE	SYMBOL	ACCOUNT NO.	TRANS NO.	METHOD	CAP	TRADE DATE	SETTLE DATE	OFC.	SHAE
69.0117	WYNN	██████████	1	1JK2539	1	12/23/15	12/29/15	436	687684

YOU QUANTITY CUSIP NUMBER ██████████ WYNN RESORTS LTD

**Discretionary Order**  
**Average Reported Price**  
**DE**

PRICE	PRINCIPAL	COMMISSION	INTEREST	TRANFee	MISC	HANDLING	NET AMOUNT
69.0117	29,675.03						29,675.03

Stephens Inc Custodian for  
Brooke F Burr SEP IRA

## CONFIRMATION

THANK YOU FOR LETTING US SERVE YOU.

### CODE EXPLANATION

Us, our, we firm means Stephens Inc

It is agreed between this firm and the customer:

1. That all transactions are subject to the rules and regulations of the Federal Reserve Board and customs of the Exchange or Market and its Cleaning House if any where executed.
2. That all securities from time to time carried in the customer's open account or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect of a sum, not in excess of the aggregate indebtedness of such customers in respect of such securities.
3. That delivery of securities sold and payment for "securities bought must be received by us at our office, not later than the "Settlement Date," otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.
4. That this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawal from or addition to existing firm personnel, where the customer does not indicate his non-sequitur in such agreement.
5. In some cases Stephens receives payment for directing orders to particular brokers/gains or marker centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.
6. Time of execution available upon request.
7. These may be, under some circumstances, an additional charge for add'l or executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.
8. In the event of a call of callable bonds or preferred stock held in bulk segregation, impartial letters are used by the depository and Stephens to apportion the bonds. Un-called fully paid securities may be withdrawn prior to call unless prohibited by regulations. Details available upon request.
9. If bonds have been delivered to you, we assume no responsibility for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.
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11. All mutual funds are sold by prospectus. Confirmation is acknowledgement of receipt of the prospectus. You may be eligible for breakpoint discounts based on the size of your purchase current holdings or future purchases. The sales charge you pay may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the Prospectus Statement of Additional Information or contact your financial advisor for further information. We may receive payments as an executing Broker or the fund manager concerning the security will be provided upon request. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction.
12. CMO's - yields are subject to fluctuation depending on the speed in which the underlying note is received or prepays. Specific information is available upon written request.
13. If we acted as agent, name of person with whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.
14. For advisory accounts, written consent for agency and transactions may be revoked at any time by written consent to us or advisory affiliate.
15. At SIEMAAT and www.siemaat.com/standards/Forms\_and\_Documentation/Securitized\_Products/adsChargeTradingPractice.
16. Agency Cross Transaction consent may be revoked at any time by written notice to Stephens.
17. SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FAIL CHARGE TRADING PRACTICE PUBLISHED BY TMPC.
18. Agency Cross Transaction consent may be revoked at any time by written notice to Stephens.

It is agreed between this firm and the customer:

1. That all transactions are subject to the rules and regulations of the Federal Reserve Board and customs of the Exchange or Market and its Cleaning House if any where executed.
2. That all securities from time to time carried in the customer's open account or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect of a sum, not in excess of the aggregate indebtedness of such customers in respect of such securities.
3. That delivery of securities sold and payment for "securities bought must be received by us at our office, not later than the "Settlement Date," otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.
4. That this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawal from or addition to existing firm personnel, where the customer does not indicate his non-sequitur in such agreement.
5. In some cases Stephens receives payment for directing orders to particular brokers/gains or marker centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.
6. Time of execution available upon request.
7. These may be, under some circumstances, an additional charge for add'l or executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.
8. In the event of a call of callable bonds or preferred stock held in bulk segregation, impartial letters are used by the depository and Stephens to apportion the bonds. Un-called fully paid securities may be withdrawn prior to call unless prohibited by regulations. Details available upon request.
9. If bonds have been delivered to you, we assume no responsibility for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.
10. Callable Securities: Call features may exist, which could affect yield. Complete information available on request.
11. All mutual funds are sold by prospectus. Confirmation is acknowledgement of receipt of the prospectus. You may be eligible for breakpoint discounts based on the size of your purchase current holdings or future purchases. The sales charge you pay may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the Prospectus Statement of Additional Information or contact your financial advisor for further information. We may receive payments as an executing Broker or the fund manager concerning the security will be provided upon request. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction.
12. CMO's - yields are subject to fluctuation depending on the speed in which the underlying note is received or prepays. Specific information is available upon request.
13. If we acted as agent, name of person with whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.
14. For advisory accounts, written consent for agency and transactions may be revoked at any time by written consent to us or advisory affiliate.
15. At SIEMAAT and www.siemaat.com/standards/Forms\_and\_Documentation/Securitized\_Products/adsChargeTradingPractice.
16. Agency Cross Transaction consent may be revoked at any time by written notice to Stephens.
17. SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FAIL CHARGE TRADING PRACTICE PUBLISHED BY TMPC.
18. Agency Cross Transaction consent may be revoked at any time by written notice to Stephens.

IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY, FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER.

REVISITE 05-31-2015

MINI® Cash & Markets 1110 1110 Cash & Markets 1110 1110 Cash & Markets

Offering Documents for Non-Convertible Standardized Corporate ("GSE") and Federal Agency Securities, to obtain recent notices and offering documents, visit the following websites:

Stephan's [www.stephans.com](http://www.stephans.com) Foothill Loan Fund [www.foothillfund.com](http://www.foothillfund.com) INMAG Federal Farm Credit [www.inmag.com](http://www.inmag.com) Foothill Financial [www.foothillfinancial.com](http://www.foothillfinancial.com)

Stephan's [www.stephans.com](http://www.stephans.com) Foothill Loan Fund [www.foothillfund.com](http://www.foothillfund.com) INMAG Federal Farm Credit [www.inmag.com](http://www.inmag.com) Foothill Financial [www.foothillfinancial.com](http://www.foothillfinancial.com)

Stephan's [www.stephans.com](http://www.stephans.com) Foothill Loan Fund [www.foothillfund.com](http://www.foothillfund.com) INMAG Federal Farm Credit [www.inmag.com](http://www.inmag.com) Foothill Financial [www.foothillfinancial.com](http://www.foothillfinancial.com)

Stephan's [www.stephans.com](http://www.stephans.com) Foothill Loan Fund [www.foothillfund.com](http://www.foothillfund.com) INMAG Federal Farm Credit [www.inmag.com](http://www.inmag.com) Foothill Financial [www.foothillfinancial.com](http://www.foothillfinancial.com)



# Stephens Inc.

1-800-643-9691  
501-377-2000

\* MEMBER \*  
NEW YORK STOCK EXCHANGE  
SECURITIES INVESTORS PROTECTION CORPORATION

111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

CODES	RICHARD O. ROGERS III
SYMBOL	ACCOUNT NO.
FLS	TRANS. NO.
YOU	METHOD
BOT	CAP
500	TRADE DATE
	SETTLE DATE
	OFC
	AE
	INTEREST
	Tran Fee
	MISC
	HANDLING
	NET AMOUNT
	21,169.05
SECURITY DESCRIPTION	COUPON/MATURITY
FLOWSERVE CORP	

## We Make A Market In This Security

### Discretionary Order

### Average Reported Price

DE

PRICE	PRINCIPAL	COMMISSION	INTEREST	TRAN FEE	MISC	HANDLING	NET AMOUNT
42.3381	21,169.05						21,169.05

### Stephens Inc Custodian for

Brooke F Ritter CFA CPA

## CONFIRMATION

THANK YOU FOR LETTING US SERVE YOU.

### CODE EXPLANATION

U.S. our we firm means Stephens Inc

### ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

- 1 Cash
- 2 General Margin
- 3 Short Margin
- 0 Broker Dealer

### CAP\* CAPACITY IN WHICH THE FIRM ACTED

- 1G As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distributor, administration or other agreements. See fund prospectus and SAI for applicable commission (load) and other remuneration. Further information is available on written request.
  - 2 As Principal we have sold to you or bought from you this security
  - 3 As Agent for another we have sold to you or bought from you this security
  - 4 As agent for both buyer and seller charging a commission to buyer or seller or both. Commission amounts may differ. Agency (Cross Transaction) Price is "The Trade Price reported unless it is an average price; see below."
  - 5 As Principal we make a market in this security
  - 6 As Principal we make a market in this security
  - 7 Riskless Principal
  - 8 Multiple capacities, as some combination of principal, riskless principal, agent and/or agency cross.
- A B F** As Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and your acceptance of this Confirmation is acknowledgement or receipt of the prospectus.
- R** As Agent to you we have redeemed or tendered your security
- "IF TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES. DETAILS AVAILABLE ON REQUEST."**
- Adjusted Options:** When standardized options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may also be adjusted as described in the Options Closing Corporation Brochure, entitled Characteristics and Risks of Standardized Options as Amended ("Brochure"). The conventions, procedures for transactions in standardized options that have been affected by such adjustments, will disagree with abbreviations, E.g., the adjusted number of shares deliverable upon exercise of such option, and will disclose adjacent to the abbreviation "S&P"; the estimated adjusted strike price expressed as a price per share, for the shares subject to the option, all calculated in accordance with the descriptions set forth in the Brochure.
- Investment Securities and Products:** Not FDIC insured and NOT obligations of or guaranteed by any bank and are subject to loss of principal amount invested prior to certain dates or events or demand which are also subject to certain risks.
- Assisted Sales:** A sales agent, who is not subject to payment of actual yield, may vary according to rate at which it is delivered, receiving fees or other financial assets or prepayment information concerning loans that affect yield including at a minimum estimated yield weighted average life and the prepayment assumptions underlying a loan.

- If you have any questions concerning this transaction, please call us at 501-377-2000.
1. This agreement between this firm and the customer.
2. That all transactions are subject to the rules and regulations of the Federal Reserve Board and customs of the Exchange or Market and its Clearing House if any where executed.
3. That all securities from time to time carried in the customer's open account, or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect of a sum, not in excess of the aggregate indebtedness of such customers to respect of such securities.
4. That delivery of securities sold and payment for securities bought must be received by us at our office not later than the "Settlement Date," otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.
5. That this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawal from or addition to existing firm personnel, where the customer does not indicate his successor's price in such agreement.
6. In some cases Stephens receives payment for directing orders to particular broker-dealers or market specialists for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.
7. These may be, under some circumstances, an additional charge for odd-lot executions in the form of a differential up to 25 cents per share. Amount will be furnished upon request.
8. In the event of a call of callable bonds or preferred stock held in bulk segregation, impartial lotteries are used by the depositary and Stephens to apportion the bonds. Un-called fully paid securities may be withdrawn prior to call unless prohibited by regulations. Details available upon request.
9. If bonds have been delivered to you, we assume no responsibility for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.
10. Callable Securities: Call features may exist which could affect yield. Complete information available on request.
11. All mutual funds are sold by prospectus. Confirmation is acknowledgement of receipt of the prospectus. You may be eligible for breakpoint discounts based on the size of your purchase. Current holdings or future purchases. The sales charge you pay may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the Prospectus Statement of Additional Information or contact your financial advisor for further information. We may receive payments as an executing Broker from the fund if bonds have been delivered to you. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction.
12. CMO's yields are subject to fluctuation depending on the speed in which the underlying note or receivable matures. Specific information is available upon written request.
13. If we acted as agent, name of person with whom the transaction was made and source and amount of any overremittance received by us will be furnished upon written request.
14. Zero coupon bonds - No periodic payments - call date below maturity value without prior notice by us to holder unless registered. For advisory accounts, written consent for agency cross transactions may be revoked at any time by written consent to us or advisory affiliate.
15. SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FAILS CHARGE TRADING PRACTICE PUBLISHED BY TMRC AND SIFMA AT <http://www.sifma.org/Services/Standard-Forms-and-Documents/Securitized-Products/Failed-Charge-Trading-Pactice>
16. Agency Cross Transaction contract may be revoked at any time by written notice to Stephens.

IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY. FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER

09/09/15 05-11-2015

MDP® Costless Trading © 2009 First National Bank of Dallas

Info: First National Bank of Dallas

# Stephens Inc.

1-800-643-9691  
501-377-2000

MEMBER •  
NEW YORK STOCK EXCHANGE  
SECURITIES INVESTORS PROTECTION CORPORATION

111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

CODES	RICHARD O. ROGERS III	PRICE	PRINCIPAL	COMMISSION	INTEREST	TRAN FEE	MISC	HANDLING	NET AMOUNT
SYMBOL		ACCOUNT-NUM	TRANS NO.	METHOD	CAP	TRADE DATE	SETTLE DATE	OFC	VAE
FLS		1	1JRO8P9	1	12/23/15	12/29/15	436	687684	YOU BOT 175 FLOWSERVE CORP

We Make A Market In This Security  
Discretionary Order  
DE

Richard M. Stephens

Stephens Inc Custodian for  
Richard M. Stephens

## CONFIRMATION

THANK YOU FOR LETTING US SERVE YOU.

### CODE EXPLANATION

This, our firm means Stephens Inc

1. ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED  
1. Cash  
2. General Margin  
3. Short margin  
0. Broker Dealer

### CAP - CAPACITY IN WHICH THE FIRM ACTED

- 1.G As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distributor, administrator or other agreements. See fund prospectus and SAI for applicable compensation (load) and other remuneration. Further information is available on written request.

2. As Principal we have sold to you or bought from you this security  
3. As Agent for another we have sold to you or bought from you this security  
4. As agent for both buyer and seller charging a commission to buyer or seller or both. Commission amounts may differ. Agency Cross Transaction  
5. As principal with commission held displaying markup or markdown. The price is "The Trade Price reported unless it is an average price. See below."  
6. As Principal we make a market in this security  
7. Riskless Principal  
8. Multiple capacities as some combination of principal, riskless principal, agent and/or agency cross.

- A B F As Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and your acceptance of this Confirmation is acknowledgement of receipt of the prospectus.

- R As Agent for you we have redeemed or issued your security  
If TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES, DETAILS AVAILABLE ON REQUEST.

Adjusted Options - When unadjusted options are adjusted as a result of corporate transactions such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price expressed as a price per share of the shares subject to the option may also be adjusted as described in the Options Cleaving Corporation Brochure, entitled Characteristics and Risks of Standardized Options, as amended by Brochure. The adjustments provided for transactions in standardized options may have been affected by such adjustments will disclose adjustment to the abbreviation DEI. The adjusted number of shares deliverable upon exercise of such option, and will disclose adjustment to the abbreviation EASP, the estimated adjusted strike price, expressed as a price per share, for the shares subject to the option, all calculated in accordance with the descriptions set forth in the Brochure.

Investment securities and products are NOT FDIC insured. All NOIC conditions of or guarantees made by any bank and are subject to FDIC or the principal amount invested in respect to certain deposit contracts or demand when and where subject to certain risks?

Assume that principal is subject to annualized rate of return according to rate at which the underlying receivables or other financial assets are prepaid. Information concerning yields that reflect yield including a minimum estimated yield required to average life and the prepayment assumptions underlying each tranche can be found in the prospectus.

http://www.sifma.org/ServiceStandardsAndDocumentation/SecuritizedProducts/FairsChargesTradingPractice

18. Agency Orders Transaction confirm may be revoked at any time by written notice to Stephens.  
http://www.sifma.org/ServiceStandardsAndDocumentation/SecuritizedProducts/FairsChargesTradingPractice

IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY. FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER

REBATE DATA: 2015-01-01  
Offering Documents for Municipal Sponsored Enterprise (GSE) and Federal Agency Securities, to obtain recent notices and offering documents, including Official Statement if available or other offering information visit the following websites:  
Municipal Bonds: [www.muniinfo.org](http://www.muniinfo.org) FICO: [www.fico.com](http://www.fico.com) Credit: [www.micrc.com](http://www.micrc.com)  
Federal Home Loan Banks: [www.hib.com](http://www.hib.com) FNLMC: [www.fnlm.com](http://www.fnlm.com) FHLMC: [www.fhlmb.com](http://www.fhlmb.com)  
[www.ginnie.msu.gov](http://www.ginnie.msu.gov)



# Stephens Inc.

1-800-643-9691  
501-377-2000

• MEMBER •  
NEW YORK STOCK EXCHANGE  
SECURITIES INVESTORS PROTECTION CORPORATION

111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

CODES	Richard O. Rogers III						
7 8600	13,047.60			0.25			\$13,047.35
PRICE	PRINCIPAL	COMMISSION	INTEREST	TranFee	MISC	HANDLING	NET AMOUNT

Stephens Inc Custodian For  
Richard M Burr IRA

Discretionary Order  
Average Reported Price  
DE

SPECIAL DELIVERY INSTRUCTIONS							
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## CONFIRMATION

THANK YOU FOR LETTING US SERVE YOU.

It is agreed between this firm and the customer:

1. That all transactions are subject to the rules and regulations of the Federal Reserve Board and customs of the Exchange or Market Fund it; Clearing House it; where executed.
2. That all securities from time to time carried in the customer's open account, or deposited other securities of other customers in respect for a sum, not in excess of the aggregate indebtedness of such customers in respect of such securities.
3. That delivery of securities sold and payment for securities bought must be received by us at our office, not later than the "Settlement Date," otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.
4. That this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawal from, or addition to, existing firm personnel, where the customer does not indicate his nonacquiescence in such agreement.

In some cases Stephens receives payment for directing orders to particular broker/dealer or market centers for execution. When such payment is received it is considered compensation to Stephens and the customer and amount of any such compensation in connection with your transaction will be disclosed upon written request.

There may be, under some circumstances, an additional charge for bid-ask executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.

In the event of a call of callable bonds or preferred stock held in bulk registered, impartial lotteries are used by the depository and Stephens to determine the bonds, unrelated fully paid securities, may be withdrawn prior to call unless prohibited by regulations. Details available upon request.

If bonds have been delivered to you, we assume no liability for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.

**Callable Securities:** Call features may exist which could affect yield. Complete information available on request.

All mutual funds are sold by prospectus. Confirmation is acknowledgement of receipt of the prospectus. You may be eligible for breakpoint discounts based on the size of your purchase. Current holdings or future purchases, the sales charge you paid may differ slightly from the prospectus disclosed date due to rounding calculations. Please refer to the Prospectus Statement of Additional Information or contact your financial advisor for further information. We may receive payments as an executing broker from the fund call unless prohibited by regulations. Details available upon request.

Additional information concerning the security will be provided upon request. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction.

CMOs - yields are subject to fluctuation depending on the speed in which the underlying note or receivable pre-pays. Specific information is available upon written request.

If we acted as agent, name of person with whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.

Zero coupon bonds - No periodic payments. Callable below maturity value without prior notice by us to holder unless registered.

For advisory accounts, written consent for agency cross transactions may be required at any time, by written consent to us or advisory affiliate.

**SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FAIL CHARGE: TRADING PRACTICE PUBLISHED BY TMAC**

<http://www.stephens.com/Standard&Forms-and-Documents/Securitized-Products/Securitized-Products-Facts-Change-Trading-Practice/>

**CODE EXPLANATION**  
Us, our, we, firm means Stephens Inc

ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED	
1 ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED	
1 Cash	3 Short Margin
2 General Margin	0 Broker Dealer

**CAP - CAPACITY IN WHICH THE FIRM ACTED**

IF TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES, DETAILS AVAILABLE ON REQUEST
A B F As Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and your acceptance of this Confirmation is acknowledgement of receipt of the prospectus.
R As Agent for you we have redeemed or tendered your security
8 Multiple capacities, as some combination of principal, riskless principal, agent and/or agency cross.

**Adjusted Options** Other standardized options are adjusted as a result of corporate transactions such as stock dividends or splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price expressed as a price per share of the shares subject to the option may also be adjusted as described in the Options Clearing Corporation Brochure, entitled Characteristics and Risks of Standardized Options, as amended (Brochure). The conventions provided for transactions in standardized options that have been adjusted by such adjustments will disagree somewhat with the abbreviations (e.g., the adjusted number of shares deliverable upon exercise of such option, and will disclose reference to the abbreviation (e.g., the estimated adjusted strike price expressed as a price per share, for the shares subject to the option all calculated in accordance with the descriptions set forth in the Brochure).

**Investment Services and Products** are NOT FDIC insured, are NOT obligations of or guaranteed by the bank and are subject to LOSIG of the principal account investor for certain broker/dealer products which are also subject to certain risks.

**Asset-backed securities** are contracts to pay principal and interest according to their original terms, including as a minimum payment yield weighted average life and the present value assumptions underlying them.

**Underlying receivables or other financial assets are defined differently concerning rights (air rights, water rights, etc.)**

**Underlying receivables or other financial assets are defined differently concerning rights (air rights, water rights, etc.)**

IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR NOTIFY US IMMEDIATELY FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER

REVIEWED 05-11-2013

MDG® Cost Basis Method JDSI Custom IFCO First In/First Out

IIFD Last In/First Out IOMax Cash/Debt

Offering Documents for Municipal Government Sponsored Enterprise (GSE) and Federal Agency Securities, to obtain recent notices and offering documents, including Offering Statement (equivalent to other offering documents) visit the following websites:

Municipals

Federal Home Loan Banks [www.fhlbb.org](http://www.fhlbb.org)

FHLMC [fhlmc.com](http://fhlmc.com)

FNMIC [fnmic.com](http://fnmic.com)

Ginnie Mae [ginniemae.com](http://ginniemae.com)

• [www.fdic.gov](http://www.fdic.gov) for FDIC-insured banks

• [www.nasda.com](http://www.nasda.com) for Nasdaq-listed companies

• [www.nyse.com](http://www.nyse.com) for NYSE-listed companies

• [www.amex.com](http://www.amex.com) for AMEX-listed companies

• [www.sec.gov](http://www.sec.gov) for SEC-registered companies

• [www.federalhomeloanbank.org](http://www.federalhomeloanbank.org) for FHLB member banks

• [www.federalfarmcredit.com](http://www.federalfarmcredit.com) for FFCM member banks

• [www.federalmortgage.com](http://www.federalmortgage.com) for FMC member banks

• [www.federalhomeloanbankofnewyork.com](http://www.federalhomeloanbankofnewyork.com) for FHLBNY member banks

• [www.federalhomeloanbankofpittsburgh.com](http://www.federalhomeloanbankofpittsburgh.com) for FHLBP member banks

• [www.federalhomeloanbankofbaltimore.com](http://www.federalhomeloanbankofbaltimore.com) for FHLBB member banks

• [www.federalhomeloanbankofkansascity.com](http://www.federalhomeloanbankofkansascity.com) for FHLBK member banks

• [www.federalhomeloanbankofminneapolis.com](http://www.federalhomeloanbankofminneapolis.com) for FHLBM member banks

• [www.federalhomeloanbankofatlantabank.com](http://www.federalhomeloanbankofatlantabank.com) for FHLBA member banks

• [www.federalhomeloanbankofboston.com](http://www.federalhomeloanbankofboston.com) for FHLBB member banks

• [www.federalhomeloanbankofphiladelphia.com](http://www.federalhomeloanbankofphiladelphia.com) for FHLBP member banks

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• [www.federalhomeloanbankofpittsburgh.com</](http://www.federalhomeloanbankofpittsburgh.com)

# Stephens Inc.

1-800-643-9691  
501-377-2000

\* MEMBER \*  
NEW YORK STOCK EXCHANGE  
SECURITIES INVESTORS PROTECTION CORPORATION

111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

CODES	Richard O. Rogers III
PRICE	PRINCIPAL
33.1708	26,536.64
COMMISSION	INTEREST
	TranFee
DE	SPECIAL DELIVERY INSTRUCTIONS
	NET AMOUNT
	1267536.64

We Make A Market In This Security

Discretionary Order

Average Reported Price

PRICE	PRINCIPAL	COMMISSION	INTEREST	TranFee	MISC	HANDLING	NET AMOUNT
33.1708	26,536.64						1267536.64

Stephens Inc Custodian For

Brooke F Rinn CEP TRA

## CONFIRMATION

THANK YOU FOR LETTING US SERVE YOU.

### CODE EXPLANATION

Us, our, we, firm means Stephens Inc

It is agreed between this firm and the customer:

1. That all transactions are subject to the rules and regulations of the Federal Reserve Board and customs of the Exchange or Market and its Clearing House if any where executed.
2. That all securities loaned to me to time carried in the customer's open account, or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect for a sum, not in excess of the aggregate indebtedness of such customers in respect of such securities.
3. That delivery of securities sold and payment for securities bought must be received by us at our offices not later than the Settlement Date, otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.
4. That this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawal from, or addition to existing firm personnel, where the customer does not indicate his nonacquiescence in such agreement.
5. In some cases Stephens receives payment for directing orders to particular brokers/balancers or market centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount of any such compensation in connection with your transaction will be disclosed upon written request.
6. Time of execution available upon request.
7. There may be, under some circumstances, an additional charge for odd-lot executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.
8. In the event of a call of callable bonds or preferred stock held in bulk segregation, imperial lotteries are used by the depository and Stephens to apportion the bonds, uncalled fully paid securities may be withdrawn prior to call unless prohibited by regulations. Details available upon request.
9. If bonds have been delivered to you, we assume no liability for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.
10. All mutual funds are sold by prospectus. Confirmation is acknowledgement of receipt of the prospectus. You may be eligible for breakpoint discounts based on the size of your purchase. Current holdings or future purchases, fee charges charged may differ slightly from the prospectus disclosed rate due to financial advisor for further information. We may receive payments as an executing Broker from the fund.
11. Additional information concerning the security will be provided upon request. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction.
12. CMOS yields are subject to fluctuation depending on the speed in which the underlying note or receivable prepay. Specific information is available upon written request.
13. If we acted as agent, name of person with whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.
14. Zero coupon bonds -- Callable before maturity value without prior notice by mail to holder unless registered.
15. For advisory accounts, written consent for agency cross transactions may be revoked at any time by written consent to us or advisory affiliate.
16. SUBJECT TO US TREASURY OR AGENCY DEBT AND AGENCY MBS FAIL SHARE TRADING PRACTICE PUBLISHED BY TMPC AND SIFMA AT <http://www.sifma.org/Services/StandardsFormsAndDocumentation/SecuritizedProducts/Products/ArtsChargeTradingPractice/>
17. Agency Cross Transaction consent may be revoked at any time by written notice to Stephens.
18. Agency Cross Transaction consent may be revoked at any time by written notice to Stephens.

IF THIS TRANSACTION IS INCORRECT IN ANY PARTICULAR, NOTIFY US IMMEDIATELY FOR FULL EXPLANATION CONTACT YOUR INVESTMENT REPRESENTATIVE OR THE SALES MANAGER

REVIEWED 05-11-2012

<http://www.sifma.org/Services/StandardsFormsAndDocumentation/SecuritizedProducts/Products/ArtsChargeTradingPractice/>

2011-05-11-2012

UFCO, Inc., a wholly-owned subsidiary of UFG, Inc., doing business as UFG Financial Corp.

Offering Documents for Municipal, Government Sponsored Enterprise ("GSE"), and Federal Agency Securities to obtain recent notices and offering documents, including Option Statement, if applicable or other offering information visit the following websites:

<http://www.federalhome loanbank.gov>

<http://www.gse.mnfi.org>

<http://www.federalhome loanbank.gov>

# Stephens Inc.

1-800-643-9691  
501-377-2000

\* MEMBER \*  
NEW YORK STOCK EXCHANGE  
SECURITIES INVESTORS PROTECTION CORPORATION

111 CENTER STREET • LITTLE ROCK, ARKANSAS 72201

		CODES	RICHARD O. ROGERS III			
SYMBOL	ACCOUNT NO.	TRANS. NO.	IMTHD CAP.	TRADE DATE	SETTLE DATE	OPTION EXPIRE
DEVON ENERGY CORP		1K7P439	1	12/23/15	12/29/15	436 687684
YOU BOT	275					

We Make A Market In This Security

Discretionary Order

Average Reported Price

DE

PRICE	PRINCIPAL	COMMISSION	INTEREST	TRAN.FEE	MISC.	HANDLING	NET AMOUNT
33.0999	9,102.47						14,971.0247

Stephens Inc Custodian For

Richard M Burr IRA

## CONFIRMATION

THANK YOU FOR LETTING US SERVE YOU.

**CODE EXPLANATION**  
Us, our, we, firm means Stephens, Inc.

- That all transactions are subject to the rules and regulations of the Federal Reserve Board and "Customer" of the Exchange or Market (and its Clearing House if any), where executed.
- That all securities from time to time carried in the customer's open account, or deposited by the customer may be loaned or pledged by the broker either separately or with other securities of such customers in respect of a sum, not in excess of the aggregate indebtedness of such customers.

- That delivery of securities sold and payment for securities bought must be received by us at our office, not later than the Settlement Date, otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment or purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.
- That this agreement shall inure to the benefit of any successors of this firm which result merely by withdrawal from or addition to existing firm personnel, where the customer does not indicate his non-succession in such agreement.

- In some cases Stephens receives payment for directing orders to particular brokers/valuers or market centers for execution. When such payment is received it is considered compensation to Stephens and the customer and amount of any such compensation in connection with your transaction will be disclosed upon written request.
- There may be, under some circumstances, an additional charge for add'l. executions in the form of a differential of up to 25 cents per share. Amount will be furnished upon request.
- In the event of a call of callable bonds or preferred stock held in bulk segregation, important lotteries are used by the depository and Stephens to apportion the bonds. Uncalled fully paid securities may be withdrawn prior to call unless prohibited by regulations. Details available upon request.
- If bonds have been delivered to you, we assume no responsibility for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.
- Callable Securities. Call features may exist which could affect yield. Complete information available on request.
- All mutual funds are sold by prospectus. Confirmation is acknowledgement of receipt of the prospectus. You may be eligible for break-point discounts based on the size of your purchase current holdings or little purchases. If the sales charge you paid may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the Prospectus Statement of Additional Information or contact your financial advisor for further information. You may receive payment as an Executing Broker if the fund has been delivered to you. A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction.

- CMO's yields are subject to fluctuation depending on the speed in which the underlying note or receivable prepares. Specific information is available upon written request.
- If we acted as agent, name of person with whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.
- For advisory accounts, written consent of agency cross transactions may be revoked at any time by written consent to us or advisory affiliate.
- SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FAILS CHARGE TRADING PRACTICE PUBLISHED BY TMPC <http://www.sifma.org/services/standard-forms-and-documentation/securitized-products/securitized-products-fails-chARGE-trading-practice/>
- Agency Cross Transaction screen may be revised at any time by written notice to Stephens.

**AGREEMENT**  
It is agreed between this firm and the customer:

- That all transactions are subject to the rules and regulations of the Federal Reserve Board and "Customer" of the Exchange or Market (and its Clearing House if any), where executed.
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- That delivery of securities sold and payment for securities bought must be received by us at our office, not later than the Settlement Date, otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment or purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.
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- Callable Securities. Call features may exist which could affect yield. Complete information available on request.
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REVISED 05-11-2013

Offering Documents for Structured Sponsored Enterprise (GSE) and Federal Agency Securities to obtain recent notices and detailed documents, including Offer and Sale Agreement, if applicable, or other offering documents.  
Municipal, First Federal Home Loan Bank, New York City, FHLB, Freddie Mac, [www.investments.com](http://www.investments.com), FNMA, Freddie Mac, [www.ginnie Mae.gov](http://www.ginnie Mae.gov), [www.sifma.org/services/standard-forms-and-documentation/securitized-products/securitized-products-fails-chARGE-trading-practice/](http://www.sifma.org/services/standard-forms-and-documentation/securitized-products/securitized-products-fails-chARGE-trading-practice/)

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CODES	RICHARD O. ROGERS III										
SYMBOL	ACCOUNT NO.	TRANS NO.	MTHD	CAP	TRADE DATE	SETTLE DATE	LOFC	AE			
YOU SLD	387	[REDACTED]	11	1JCKJD9	FIFO	1	12/23/15	12/29/15	436	687684	NUCOR CORPORATION

## Discretionary Order

DE

## Traded with Dividends

Record 12/31/2015 Ex 12/29/2015

PRICE	PRINCIPAL	COMMISSION	INTEREST	TRAN FEE	MISC	HANDLING	NET AMOUNT
41.0010	15,867.39			0.30			4157.867109

Stephens Inc Custodian For

Brooke F Burr SEP IRA

## CONFIRMATION

THANK YOU FOR LETTING US SERVE YOU.

AGREEMENT  
U.S. our, we, firm means Stephens Inc

- It is agreed between this firm and the customer:
- That all transactions are subject to the rules and regulations of the Federal Reserve Board and customs of the Exchange or Market Fund & Clearing House if any where executed and deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers in respect of such securities.
- That delivery of securities sold and payment for securities bought must be received by us at our office not later than the "Settlement Date," otherwise interest charges, additional taxes and premiums are chargeable. Pending full payment on purchases we may hypothecate and commingle with other securities so purchased until as soon as practicable after receipt of payment.
- This agreement shall inure to the benefit of any successors of this firm which result merely by withdrawal from or addition to existing firm personnel, where the customer does not indicate his nonacquiescence in such agreement.
- In some cases Stephens receives payment for directing orders to particular brokers/dealers or market centers for execution. When such payment is received it is considered compensation to Stephens and the source and amount in any such compensation in connection with your transaction will be disclosed upon written request.
- Time of execution available upon request.
- There may be, under some circumstances, an additional charge for odd-lot executions in the form of a differential up to 25 cents per share. Amount will be furnished upon request.
- In the event of a call of callable bonds or preferred stock held in bulk registration, impartial lotteries are used by the depository and Stephens to apportion the bonds. Uncalled fully paid securities may be withdrawn prior to call dates prohibited by regulations. Details available upon request.
- If bonds have been delivered to you, you assume no responsibility for notification of redemption prior to maturity but will make every effort to alert you when call information becomes known.
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- All mutual funds are sold by prospectus. Confirmation is acknowledgement of receipt of the prospectus. You may be eligible for break-point discounts based on the size of your purchase. Current holdings or future purchases, fee sales charge you paid may differ slightly from the Prospectus disclosed rate due to rounding calculations. Please refer to the Prospective Statement or Additional Information or contact your financial advisor for further information. We may receive payments as an Executing Broker from the fund and/or Stephens prohibited by regulations. Details available upon request.
- A copy of the final official statement for the issue, if prepared, is available if requested within one year of the date of the transaction.
- CMO's yields are subject to fluctuation depending on the speed in which the underlying note or receivable prepays. Specific information is available upon written request.
- If we acted as agent, name of person whom the transaction was made and source and amount of any other remuneration received by us will be furnished upon written request.
- Zero coupon bonds -- No periodic payments -- Callable below maturity value without prior notice by issuer to holder unless registered by written consent to us or advisory affiliate.
- SUBJECT TO U.S. TREASURY OR AGENCY DEBT AND AGENCY MBS FAIR'S CHARGE TRADING PRACTICE PUBLISHED BY TMRC AND SIGMA AT <http://www.sigmacom.com/Standard-Forms-and-Documents/Securitized-Products-Facts-Change-Trading-Practices>
- Agency Cross Transaction comment may be revoked at any time by written notice to Stephens.

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09/09/15 11:23

CODE EXPLANATION  
U.S. our we firm means Stephens Inc

I ACCOUNT TYPE IN WHICH YOUR TRANSACTION WAS EXECUTED

1 Cash  
2 General Margin  
CAG - CAPACITY IN WHICH THE FIRM ACTED

1 G As Agent for you we have sold or bought this security. If mutual fund, we have purchased or redeemed mutual fund shares. We also receive remuneration from funds under selling agent, distribution, administration or other agreements. See fund prospectus and SAI re applicable commission (load) and other remuneration. Further information is available on written request.

2 As Principal we have sold to you or bought from you this security

3 As Agent for another we have sold to you or bought from you this security

4 As Agent for both buyer and seller charging a commission to buyer or seller or both Commission amounts may differ. Agency Cross Transaction price is "The Trade Price reported unless it is an average price. See below."

5 As principal with commission held displaying markup or markdown. The price is "The Trade Price reported unless it is an average price. See below."

6 As Principal we make a market in this security

7 Riskless Principal

8 Multiple capacities as some combination of principal, riskless principal, agent and/or agency cross.

A B F As Principal this transaction is part of a registered underwriting in which this firm is participating or otherwise financially interested and/or acceptance of this Confirmation is acknowledgement of receipt of the prospectus.

R As Agent for you we have redeemed or honored your security

"IF TRANSACTION IS CONFIRMED AS AN AVERAGE OF THE REPORTED PRICES. DETAILS AVAILABLE ON REQUEST"

Adjusted Options: When standardized options are acquired as a result of corporate action, such as stock dividends or stock splits, the number of shares deliverable upon exercise of the option may be adjusted and the option exercise price, expressed as a price per share of the shares subject to the option may also be adjusted. As described in the Options Clearing Corporation Brochure, "With Bid Characteristics and Prices of Standardized Options as contained in Brochure." The confirmations provided for transactions in standardized options that have been affected by such adjustments will disclose, according to the abbreviation TDEL, the adjusted number of shares deliverable upon exercise of such option, and will disclose, adjacent to the abbreviation TASP, the estimated adjusted strike price expressed as a price per share for the shares subject to the option, all calculated in accordance with the descriptions set forth in the Brochure.

Investment securities and products are NOT FDIC insured are NOT obligations of or guaranteed by any bank and are subject to LOS/S of the principal account investor for certain broker-dealer certificates or deposit witness are also subject to certain risks.

Asset backed securities subject to amortization by payment of principal and interest to the underlying investors or other financial assets are being amortized according to their individual terms and weighted average life and the prepayment assumptions underlying their amortization upon written request.

Offering Documents for Municipal, Government Sponsored Enterprise, GSE and Federal Agency Securities to option recent notices and offering documents, including Official Statement, if available or other offering information with the following websites:  
 Municipal Bonds: [www.muni.org](http://www.muni.org)  
 FHLB: [www.fhlbb.com](http://www.fhlbb.com)  
 FNMA: [www.fnmagov.com](http://www.fnmagov.com)  
 GNMA: [www.gnmagov.com](http://www.gnmagov.com)