

**Perkins
Coie**

SECTION 501(c)(19) OF THE INTERNAL REVENUE CODE

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August 13, 2012

Secretary of the Senate
Office of Public Records
232 Hart Senate Office Building
Washington, D.C. 20510

Re: Senator Mark Warner

Dear Madame Secretary:

We are writing on behalf of Senator Mark Warner. Enclosed please find Senator Warner's Public Financial Disclosure Report for the calendar year ending December 31, 2011.

Please do not hesitate to call us should you have further questions. Thank you for your attention to this matter.

Very truly yours,



Marc B. Elias
Kate Sawyer Keane
Counsel to Senator Mark Warner

Enclosure

67194-0002/LEGAL24373596.1

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Perkins Coie LLP

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**UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT
FOR ANNUAL AND TERMINATION REPORTS**

<input type="checkbox"/> Amendment			
Last Name	First Name and Middle Initial	Annual Report	
Warner	Mark R.	Calendar Year Covered by Report:	Senate Office / Agency in Which Employed
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report	
475 Russell Office Building, Washington, DC 20510	202-224-2023	Termination Date (mm/dd/yy):	Prior Office / Agency in Which Employed

AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, Complete and Attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$350 from one source)? If Yes, Complete and Attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, Complete and Attach PART VII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, Complete & Attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, Complete and Attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, Complete and Attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, Complete and Attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If Yes, Complete and Attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, Complete and Attach PART X.	<input type="checkbox"/>	<input type="checkbox"/>

Each question must be answered and the appropriate PART attached for each "YES" response.

File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. \$200 Penalty for filing more than 30 days after due date.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 4, § 104, and 18 U.S.C. § 1001.)

**FOR OFFICIAL USE ONLY
Do Not Write Below this Line**

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		8/2/12

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For Official Use Only - Do Not Write Below This Line		
It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.	Signature of Reviewing Official	Date (Month, Day, Year)

Part II			
Earned and Non-Investment Income			
Name of Income Source	Address (City, State)	Type of Income	Amount
			-

Part IIIA. Publicly Traded Assets & Unearned Income Sources																															
Identity of Publicly Traded Assets And Unearned Income Sources		Valuation of Assets		Type and Amount of Income																											
		None (or less than \$1,000)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000
Individual Retirement Account																															
Capital World Growth and Income Fund									x								x									x					
JPMorgan Checking Account				x													x														
MRW Home, LLC - JPMorgan - Checking Account		x															x									x					
MRW Office, LLC - JPMorgan - Checking Account		x															x									x					
THE MRW BLIND TRUST																															
DB Insured Deposit Program		x															x								x						
Virginia St Rfdg-Ser VA 5.0% Due 6/1/11		x															x								x						
Virginia St Ser A 5.0% Due 6/1/13		x															x								x						
Virginia State Pub Sch Auth SCH FING-1997 5.0% Due 8/1/11		x															x								x						
Hampton VA Rfdg Pub Impt 4.25% Due 01/15/12		x															x								x						
Virginia St Pub Bldg Auth Pub Facs Rev Rfdg Ser C 5.0% Due 8/1/12		x															x								x						
Virginia College Bldg Auth VA Edl Facs Rev Pub Higher Ed Ser A 5.25% Due 9/1/13		x															x								x						
Henrico Cnty Va Rfdg & Pub Impt 4.000% Due 01/15/14		x															x								x						
Newport News VA Int 5.00% Due 8/15/21		x															x								x						
Virginia College Bldg Auth VA Edl Ser A 5.0% Due 9/1/12		x															x								x						
Virginia College Bldg Auth VA Edl Facs Rfdg-21st College PG-B 5.25% Due 2/1/14		x															x								x						
VA St Pub Sch Auth Ser VII 5.0% Due 4/15/12		x															x								x						
VA St Res Auth Infra Rev SR Ser C 5.0% Due 11/1/13		x															x								x						
Pimco Commodity Real Return Strategy A									x								x								x						
Goldman Sachs Local Emerging Markets Debt Fund Class A									x								x								x						
Templeton Global Bond Fund Class A									x								x								x						

Part IIIA.
Publicly Traded Assets & Unearned Income Sources
**Identity of Publicly Traded Assets
And Unearned Income Sources**

	Valuation of Assets					Type and Amount of Income															
	None (or less than \$1,000)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	Amount of Income
Wells Fargo Advantage Ultra Short Term Muni Income Fund Inv	x													x						x	
Yandex N V SHS Class A								x											x		
Put 32 Yandex N V					x				x										x		
Put 33 Yandex N V				x														x			
Put 34 Yandex N V				x													x				
JPM US Equity Fund	x												x						x		
Ishares Russell MidCap Index Fund	x												x						x		
JPM Asia Equity Fund					x				x				x						x		
JPM Strategic Income Opportunities Fund					x				x				x	x				x			
BNP SPX Note 7.0% 05/12/11	x									x									x		
Henrico Cnty VA Pub Impt 4.00% Due 5/1/12			x						x				x					x			
Roanoke VA Pub Impt 4.00% Due 2/1/11	x								x				x					x			
Virginia St Res Auth Infrastructure Rev Va Pooled Fing Prog-SR Ser A 5.000% Due 05/01/11	x								x				x					x			
Loudoun Cnty VA Pub Impt Ser A 5.000% Due 11/01/11	x								x				x					x			
Hampton VA Rfdg & Pub Impt 4.25% Due 1/15/12			x						x				x					x			
Virginia College Bldg Auth VA Edi Facs Rev 21 St Century Cig & Equip D 5.00% Due 2/1/11	x								x				x					x			
Fairfax Cnty VA Water Auth Rev Rfdg 4.00% Due 4/1/11	x								x				x					x			
Alexandria VA Ser A 3.00% Due 7/1/11	x								x				x					x			
Hanover Cnty VA Rfdg & Pub Impt 2.5% Due 7/15/11	x								x				x					x			
Richmond VA Ser B FSA 5.00% Due 7/15/11	x								x				x					x			
Virginia Beach VA Rfdg 5.45% Due 7/15/11	x								x				x					x			
Virginia College Bldg Auth VA Edi FACS Rev Pub Higher ED Fing Prog Ser A 5.00% Due 9/1/11	x								x				x					x			

Identity of Publicly Traded Assets And Unearned Income Sources	Valuation of Assets				Type and Amount of Income				
	None (or less than \$1,001)		\$1,001 - \$15,000		Type of Income		Amount of Income		
	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000
Virginia St Pub School Auth Rfdg School Fng Ser C 4.00% Due 8/1/13			x			x			x
Virginia St Res Auth Infrastructure Rev State Moral -VA Pooled - Ser B 4.00% Due 11/1/14			x			x			x
Alexandria VA Ser A 5.00% Due 7/15/15			x			x			x
Virginia St Res Auth Infrastructure Rev VA Pooled Fng Ser B 4.00% Due 11/1/15			x			x			x
Massachusetts St Var Cons LN Ser B Due 3/1/26	x				x			x	
Chicago ILL Sales Tax Rev Rfdg Rmkt Due 1/1/34	x				x			x	
Nassau Cnty N Y Indl Dev Agy Civic Fac Rev Var Cold Spring Harbor Lab Due 1/1/42	x				x			x	
Rutgers St Univ NJ Var Rfdg Ser A Due 5/1/18	x				x			x	
Chesapeake VA Pub Impt Ser A 3.0% Due 6/1/11	x				x			x	
Virginia St Res Auth Clean Water Rev St Revolving Fd 5.0% Due 10/1/11	x				x			x	
Alexandria VA Cons Pub Impt Ser C 5.0% Due 12/15/11	x				x			x	
Hampton VA Rfdg Pub Impt Ser A 4.0% Due 1/15/12	x				x			x	
Virginia St Ser B 5.0% Due 6/1/12			x			x			x
New York N Y Ser B 5.0% Due 8/1/14	x				x			x	
Metropolitan Washington DC Arpt Auth Sys Ser A 4.0% 10/1/15			x			x			x
Richmond VA Rfdg Pub Impt-Ser C 5.0% 7/15/16	x				x			x	
Arlington Cnty VA Rfdg Ser C 4.0% 8/15/16		x			x			x	
Artio Global High Income Fund		x			x			x	
Chesterfield Cnty VA Pub Impt 3.50% Due 1/1/12		x			x			x	
Virginia St 4.00% 6/1/12		x			x			x	
Virginia St Pub Bldg Auth Pub Facs 5.00% 8/1/12		x			x			x	
Arlington Cnty VA Pub Impt Ser A 3.00% 8/15/13		x			x			x	
New York St Dorm Auth Revs St Supported Debt City Univ Cons 5th Gen Res B 5.00% 7/1/14		x			x			x	
Virginia St Res Auth Clean Wtr Rev Rfdg Ser A 4.00% 10/1/14		x			x			x	

Part IIIA. Publicly Traded Assets & Unearned Income Sources		Valuation of Assets				Type and Amount of Income																									
		Identity of Publicly Traded Assets And Unearned Income Sources				Type of Income			Amount of Income																						
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000
Tarrant Cnty Tex Cultural Ed Facs Fin Corp Hosp Rev Baylor Health Care Ser A 5.00% 11/15/14		x																													
Tobacco Settlement Fing Corp NY Asset Bkd Ser A 5.00% 6/1/10			x																												
Charlottesville VA Pub Impt 5.00% 6/15/16				x																							x				
Portsmouth VA Rfdg 5.00% 7/15/17					x													x													
University VA Univ Revenues Rfdg Gen 5.00% 9/1/17						x											x														
Henrico Cnty VA 5.00% 7/15/11	x															x											x				
Virginia Beach VA 5.00% 5/1/11	x															x			x												
Los Angeles California 1.00% 7/1/35	x															x			x												
University of California Regts 5/15/20	x															x			x												
Connecticut St 0.00% 7/1/36	x															x			x												
University MO Univ Revs Sys Facs Ser B 3/30/20	x															x			x												
Burlington Cnty NJ Bridge Cnty 5.00% 3/31/11	x															x											x				
New York NY City 12/1/35	x															x			x												
New York NY City Transl Fin Auth Rev NY	x															x			x												
Triborough Brdg & Tuni Auth NY Subser 7/7/20	x															x			x												
Chicago IL Met Water 5.00% 12/1/15	x															x											x				
Uinta Cnty Wyoming Pollution Ctl Rev Rfdg	x															x			x								x				
Longleaf Partners Mutual Fund								x								x			x								x				
Capital World Growth and Income Fund								x								x			x								x				
Washington Mutual Investors Fund	x															x			x								x				
SPDR S&P 500 ETF Trust	x							x								x x			x												
SPDR Gold Trust ETF								x								x x			x												
The Investment Company of America Fund	x															x			x								x				
Manning & Napier Fd Inc. Equity SRS Fund									x							x			x								x				
Blackrock Equity Dividend Fund Inst									x							x			x								x				

Identity of Publicly Traded Assets And Unearned Income Sources	Valuation of Assets					Type and Amount of Income																									
						Type of Income																									
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Exempted Trust	Qualified Blind Trust	Other	Amount (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
RS Global Natural Resources Fund					x											x							x								
Van Eck Global Hard Assets Fd Class A					x											x							x								
Ishares TR S&P 500 Growth Index Fund	x															x							x								
Powershares QQQ TR Unit Ser 1	x															x			x				x								
Brown Advisory Small-Cap Growth			x													x			x				x								
Wells Fargo Advantage Fds Ultra Short Term Muni Income Cl A	x															x			x				x								
DB AB Inv Cash Trust Treasury Instl															x				x				x								
DB AB Cash Reserve Prime Series Money Market Fund						x										x			x				x								
Deutsche Tax-Free Money Market Fund			x													x			x				x								
JPMorgan Cash Deposit	x														x				x				x								
JPMorgan Prime Money Market Fund			x													x			x				x								
JPMorgan Tax Free Resv Sweep Fund	x															x			x				x								
Citibank NA Bank Deposit Program	x														x				x				x								
Consolidated Bank & Trust Certificate of Deposit				x											x			x				x									
Virginia Commerce Bank Certificate of Deposit			x												x			x				x									
Burke & Herbert Certificate of Deposit			x												x			x				x									
MGE, LLC Deutsche Tax-Free Money Fund		x													x			x				x									
LISA COLLIS (SPOUSE)																															
JPMorgan Checking	x																		x				x								
JPMorgan Checking	x																		x				x								
Individual Retirement Account Washington Mutual Investors Mutual Fund	x														x			x				x									
THE LDC BLIND TRUST (S Trust)																															
DB AB Inv Cash Trst Treasury Instl	x														x			x				x									

Identity of Publicly Traded Assets And Unearned Income Sources	Valuation of Assets				Type and Amount of Income																								
	None (or less than \$1,001)				Type of Income		Amount of Income																						
	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000...	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	None (or less than \$2011)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000...	\$1,000,001 - \$5,000,000
Virginia Commerce Bank Certificate of Deposit			x										x								x								
Consolidated Bank and Trust Certificate of Deposit			x										x								x								
Capital World Growth and Income Mutual Fund			x											x								x							
Longleaf Partners Mutual Fund			x										x								x			x					
Washington Mutual Investors Mutual Fund				x				x					x								x			x					
MGE, LLC Deutsche Tax-Free Money Fund				x									x				x	x											
DEPENDENT CHILDREN ASSETS: IRREVOCABLE TRUSTS																													
JP Morgan Tax Free Money Market Premier Fund			x										x				x	x											
JPMorgan Tax Free Bond Fund Select Share Class Fund				x									x				x	x											
JPMorgan Mid Cap Value Fund			x										x				x	x											
Matthews Pacific Tiger Fund			x										x				x	x											
Ishares Russell Midcap Growth Index Fund			x										x				x	x											
JPMorgan US Large Cap Core Plus Fund Select				x									x				x	x											
JPMorgan Asia Equity Fund Select			x										x				x	x											
Artio International Equity II-I			x										x				x	x											
JPMorgan High Yield Bond Fund			x										x				x	x											
JPM Strategic Income Opportunities Fund			x										x				x	x											
Manning & Napier Fund Inc				x									x				x	x											
SPDR S&P 500 ETF Trust			x										x				x			x				x					
Artisan International Value Fund- Inv			x										x				x	x											
Dodge & Cox International Stock			x										x				x	x											
Vanguard Emerging Market ETF			x										x				x	x											
Goldman Sachs Trust- High Yield Fund Institutional Shares			x										x				x	x											
Eaton Vance Mutual Funds TR				x									x				x	x											

Identity of Publicly Traded Assets And Unearned Income Sources	Valuation of Assets						Type and Amount of Income							
	None (or less than \$1,001)			\$1,001 - \$15,000			\$15,001 - \$50,000			\$50,001 - \$100,000			Type of Income	
	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other						Amount of Income
JPMorgan TR I - Tax Aware Real Return Fund Select Share Class Fund	x													
Dreyfus/Laurel FDS TR- Prm Emerging Market I	x													
JPM Large Cap Growth Fund - Select	x													
Nuveen NWQ Value Opportunities Fund	x													
Aberdeen Asia Pacific Ex Japan	x													
DEPENDENT CHILDREN ASSETS: 1999 TRUST														
JP Morgan Tax Free Money Market Premier Class Sweep Fund		x							x		x			
JPMorgan Tax Free Bond Fund			x						x			x		
JPMorgan US Large Cap Core Plus Fund	x								x	x				
JPMorgan TR I - Tax Aware Real Return Fund Select Share Class Fund		x							x		x			
Dodge & Cox International Stock	x								x		x			
Ishares Russell Midcap Growth Index Fund		x							x			x		
JPMorgan Asia Equity Fund Select	x								x			x		
Artio International Equity II-I	x								x			x		
JPMorgan Strategic Income		x							x		x			
Gateway Fund- Y		x							x	x				
Manning & Napier Fund Inc.	x								x		x			
JPMorgan US Real Estate Fund		x							x		x			
Matthews Pacific Tiger Fund		x							x		x			
SPDR Gold Trust		x							x		x			
Vanguard Emerging Market ETF	x								x	x				
Goldman Sachs Trust High Yield Fund Institutional Shs	x								x		x			
Harbor High Yield Bond Fund- INS		x							x		x			
Eaton Vance Floating Rate- I		x							x		x			

Identity of Publicly Traded Assets And Unearned Income Sources	Valuation of Assets				Type and Amount of Income			
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	Dividends	Rent	Interest	Amount of income
Dreyfus/Laurel FDS TR	x					x		
The Arbitrage Funds-I	x					x	x	
Eaton Vance Mut FDS TR Global Macro- I	x					x	x	
Highbridge Dynamic Commodities	x					x	x	
BARC REN SPX 05/02/12 2 XLEV 8.75% CAP	x						x	
GS Cont Buff EQ SPX 08/08/12 80% CONTIN Barrier	x						x	
HSBC BREN SPX 11/02/12 10% Buffer 2 XLEV 8.85%	x						x	
HSBC Cont Buff EQ SPX 06/27/12 80% Contin Barrier 2.35%	x						x	
JPM Large Cap Growth Fd - Sel	x					x	x	
Nuveen NWQ Value Opportunities Fund	x					x	x	
Primecap Odyssey Funds		x				x	x	
SG Cont Buff EQ SPX 05/31/12 80% Contin Barrier 2.7% CPN	x						x	
SPDR S&P 500 ETF Trust		x				x		x
Artisan Intl Value Fund - INV	x					x	x	
BARC BREN EAFE 08/01/12 10% Buffer 2 XLEV 7.61%	x						x	
GS BREN EAFE 06/06/12 10% Buffer 2 XLEV 8.36%	x						x	
GS BREN EAFE 07/05/12 10% Buffer 2 XLEV 8.04%	x						x	
MFS Intl Value - I		x				x	x	
Aberdeen Asia Pacific Ex Japan		x				x	x	
DB BREN EEM 10/31/12 10% Buffer-2 XLEV 11.8%	x						x	
JPM EM Markets Equity Fund - Select	x					x		x
DB BREN BRENT Crude 10/17/12	x						x	
Ridgeworth Funds		x				x		x
DB 95% PPN FX Basket 2/1/13	x						x	
BARC 93% PPN BRIC Basket 9/19/13	x						x	
								Over \$5,000,000

Part III B. Non-Publicly Traded Assets & Unearned Income Sources		Valuation of Assets						Type and Amount of Income																						
		Identity of Non-Publicly Traded Assets And Unearned Income Sources						Type of Income			Amount of Income																			
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Exempt Investment Fund	Exempted Trust	Qualified Blind Trust	Other	None (or less than \$101)	\$201 - \$1,000	\$1,001 - \$2,000	\$2,001 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	Over \$100,000****	\$1,000,001 - \$5,000,000
THE MRW BLIND TRUST																														
Loan: Murray M. Bonitt, Alexandria, VA			x							x										x										
Ramsey BPI Investors, LLC, McLean, VA, Investment fund Best Practices, Inc., Fairfax, VA		x		x						x	x									x										
Ramsey Long Biased Fund, LP, McLean, VA, Investment fund					x					x		x							x											
Pointer (QP), L.P., Fund of Funds, Chattanooga, TN						x			x		x								x							x				
Priority Insight Partners, LP, Richmond, VA, Investment Fund		x									x								x							x				
Steel Partners II, L.P., New York, NY, Investment fund			x							x		x						x		x										
X-10 Capital Partners (QP), LP, Alexandria, VA, Investment fund						x			x		x		x					x							x					
Hound Partners 3(c)7 LP, New York, NY, Investment fund							x			x		x					x								x					
Keel Point - EJF Distressed Fund II, LLC, Arlington, VA, Investment fund							x			x		x					x								x					
EJF Select Fund, LP, Alexandria, VA, Investment fund						x			x		x	x	x				x								x					
Salzman Onshore, L.P., New York, NY, Investment fund							x			x		x					x								x					
Sire Global Partners, LP Fund of Funds, New York, NY						x			x		x	x	x				x			x					x					
Apollo Value Investment Fund, L.P., New York, NY							x		x		x		x				x			x				x						
Apollo Value Investment Fund, L.P. Class S Series Federal Broach, New York, NY					x				x		x		x				x		x						x					
Apollo Value Investment Fund, L.P. Class S Series Bradco, New York, NY				x					x		x		x				x		x						x					
Apollo VII Private Investors, New York, NY, Investment Fund						x			x		x		x				x			x				x						
Apollo EPF Private Investors, LLC, New York, NY, Investment Fund						x			x		x		x				x			x				x						
AESSF II Private Investors LLC, New York, NY, Investment Fund					x				x		x		x				x		x						x					
Coatue Qualified Partners LP C1 D, New York, NY Investment Fund						x			x		x		x				x			x					x					
Highbridge Capital L.P., New York, NY, Investment Fund						x			x		x		x				x		x					x						
Highbridge Quantitative Commodities, New York, NY, Investment Fund						x			x		x		x				x		x						x					
1225 King Street Associates, LLC, Commerical Real Estate Investment, Alexandria, VA				x				x		x	x	x				x			x					x						
CMS Multifamily Investment Fund II-Q, LP, Philadelphia, PA, Investment Fund U-Store It, Commercial Real Estate, Southfield, MI				x				x		x	x	x				x			x					x						
Colonial @ Barrington Club, Commercial Real Estate, Macon, GA Colonial @ Mountainbrook, Commercial Real Estate, Birmingham, AL																														
Woodlee Terrace Apartments L/P, Fairfax, VA, Investment Company Apartment Complex, Woodbridge, VA		x								x	x	x	x			x		x	x		x			x						
Capital Cellular Corporation, Alexandria, VA, Investment company MSA Investments, LP, Alexandria, VA Topeka KS, LP - Topeka, KS, Investment company Minority interest in Topeka, KS RSA Cellular License Petersburg, WV, LP - Little Rock, AR, WV, Investment company		x						x		x	x	x				x			x						x					

Identity of Non-Publicly Traded Assets And Unearned Income Sources	Valuation of Assets						Type and Amount of Income																				
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$150,000	\$150,001 - \$600,000	\$600,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Exempted Investment Fund	Exempted Trust	Qualified Blind Trust	Other	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$25,000	\$25,001 - \$55,000	\$55,001 - \$100,000	\$100,001 - \$150,000	Over \$1,000,000***
Minority interest in Petersburg, WV RSA Cellular License																											
Columbia Capital LLC, Alexandria, VA, Management Company	x												x								x						
Columbia Capital III, LLC, Alexandria, VA, Management Company	x												x	x					x								
Columbia Capital Investors, L.L.C., Alexandria, VA, Investment company							x						x										x				
Columbia Capital Equity Partners, L.L.C., Alexandria, VA, Investment company	x												x								x			x			
Columbia Capital Investors III, LLC, Alexandria, VA, Investment company								x					x								x			x			
Columbia Capital Equity Partners III, L.P., Alexandria, VA, Investment company	x												x								x			x			
Columbia Capital Equity Partners IV, L.P., Alexandria, VA, Investment company									x				x								x			x			
Columbia Capital Equity Partners V, L.P., Alexandria, VA, Investment company								x					x								x			x			
Capital Investors II, LLC, Arlington, VA, Investment company	x								x				x								x			x			
Lucid Media, McLean, VA, Advertising platform/software																											
Envest Ventures I, LLC, Virginia Beach, VA, Investment Company	x									x	x		x	x							x			x			
Envest II LLC, Virginia Beach, VA, Investment Company			x												x												
Alexin, LLC, Fort Wayne, IN, Manufacturer																											
Home Care Delivered, Glen Allen, VA, Provide medical supplies																											
Liberty Tax Service, Virginia Beach, VA, Tax preparation company																											
MiniLuxe, LLC, Boston, MA, Retail																											
1-800-Pack-Rat, Chevy Chase, MD, Mini storage company																											
Envest III LLC, Virginia Beach, VA, Investment Company			x																		x			x			
EndoChoice, Alpharetta, GA, Health care management																											
Liberty Tax Service, Virginia Beach, VA, Tax preparation company																											
LivingWell Health Solutions, Charlotte, NC, Health care management																											
MD Acquisitions, Inc., Nashville, TN, Institutional pharmacy																											
Polymera, Inc., Hebron, OH, Provider of outsourced wood polymer																											
Service Center Metals, Prince George, VA, Supplier of aluminum																											
Specialty Coating & Laminating, LLC, Doswell, VA, Manufacturer																											
Novus Energy Partners US LP			x						x				x			x		x	x				x				
Rex Capital RuNet Investors, L.P., Providence, RI, Investment Company	x									x	x	x	x	x									x				
Internet Search Investments Ltd. (formerly RuNet Holdings, Ltd), Hamilton, Bermuda, Investment company																											
Yandex, Moscow, Russia, Information technology services																											
Tucker Anthony Private Equity Fund III, L.P., Boston, MA, Investment Company			x						x				x			x					x			x			
Updata Venture Partners II B, LP, Reston, VA, Investment Company	x								x				x			x		x	x		x		x				
Updata Partners III L.P, Reston, VA, Investment Company			x						x				x			x		x	x		x		x				
Updata Partners IV, Reston, VA, Investment Company			x						x				x			x		x	x		x		x				
Walker Investment Fund II, LLLP, Glenwood, MD, Investment Company	x								x											x			x				
Walker Investment Fund II SBIC , Glenwood, MD Investment Company																											
FortiusOne, Inc., Arlington, VA, Web solutions																											
Naviscan PET Systems, Inc., Rockville, MD, Manufactures medical scanners																											
MRW Enterprises, LLC, Alexandria, VA, Investment Company			x						x										x								
New Millennium Holding, LLC, Petersburg, VA, Investment Company																											
New Millennium Studios, LLC, Petersburg, VA, Film Studio																											

Part III.B. Non-Publicly Traded Assets & Unearned Income Sources									
Identity of Non-Publicly Traded Assets And Unearned Income Sources					Valuation of Assets				
					\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000
					Over \$1,000,000***	Over \$1,000,000***	Over \$1,000,000***	Over \$1,000,000***	Over \$1,000,000***
Alexandria NBIS Investors, LLC, Alexandria, VA, Investment Company					X				
SunTx NBIS Holdings, L.P., Dallas, TX									
NationsBuilders Insurance Services, Inc., Atlanta, Ga., Insurance Co.									
MRW Biotech Investors , LLC, Alexandria, VA Investment Company					X				
New River Management V, Radford, VA, Biotechnology									
Halozyme Therapeutics, Inc., San Diego, CA, Biotechnology									
Intrexon Corporation, Blacksburg, VA, Biotechnology									
Cytellect, Inc., San Diego, CA, Biotechnology									
Pinnacle Pharmaceuticals, Inc., Charlottesville, VA, Specialty pharmaceutical									
New River Management VI, Radford, VA, Biotechnology									
Intrexon Corporation, Blacksburg, VA, Biotechnology									
Cytellect, Inc., San Diego, CA, Biotechnology									
Pinnacle Pharmaceuticals, Inc., Charlottesville, VA, Specialty pharmaceutical									
Synchrony, Inc., Salem, VA, Advanced technology solutions									
Trilliant Networks, Inc., Redwood City, CA, Intelligent Network Solutions Company					X				
DubmeNow, Inc., Vienna, VA, Software Management					X				
Private Investments Liquidating Trust, New York, NY, Investment Company					X				
Mid-Ocean III Private Investors LLC, New York, NY, Investment Company					X				
CCMP Capital Investors II, LLC, New York, NY, Investment Company					X				
AP Europe VII, LP, New York, NY, Investment Company					X				
J.P. Morgan Leveraged Loans LLC, New York, NY					X				
Bear Creek Investors LLC, Alexandria, VA, Investment Fund						X			
Charter Communications Inc., St. Louis, MO, Telecommunications							X		
Millennial Media, Baltimore, MD, Mobile Advertising company								X	
Definitive Guardian Partners, LP, Radnor, PA, Investment Fund						X			
Alexandria RC Investors LLC, Alexandria, VA, Investment Fund						X			
Riderway Inc., Alexandria, VA, Software company							X		
TZG-Sachs Empire LLC, Rockville, MD, Investment Fund						X			
EMSG LLC, Rockville, MD, Secured Debt							X		
WDE Partners, L.P., New York, NY, Investment Fund						X			
West Deptford Energy Holdings, LLC, New York, NY, Energy company							X		
Kayne Anderson MLP Fund, LP, Los Angeles, CA, Investment Fund						X			
Equinox Partners, LP, New York, NY, Investment Fund						X			
MS HP Millennium Str Cap LP, New York, NY, Investment Fund						X			
Golden Mean Investment Fund, LP, Alexandria, VA, Investment Company						X		X	
Tucker Anthony Private Equity Fund II, L.P., Boston, MA, Investment Company							X	X	
Tucker Anthony Private Equity Fund III, L.P., Boston, MA, Investment Company								X	
SunTx Fulcrum Fund, L.P., Dallas, TX, Investment company									X
Park Group, Inc., Dallas, TX, Insurance company									
Interface Security Holdings, Inc., Earth City, MO,Security systems company									
Construction Partners, Inc., Dothan, AL, Construction company									
SunTx Interface, LP, Dallas, TX, Investment company									
Interface Security Holdings, Inc., Earth City, MO,Security systems company									

Part III.B. Non-Publicly Traded Assets & Unearned Income Sources									
Identity of Non-Publicly Traded Assets And Unearned Income Sources					Valuation of Assets				
					\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000
Narragansett Brewing Company, Providence, RI, Brewer					x				
Novare Surgical Systems, Inc., Cupertino, CA, Surgical instruments						x			
Spirus Medical, Inc., Stoughton, MA, Developer of medical devices							x		
Alexandria NBIS Investors LLC, Alexandria, VA, Investment company								x	
SunTX NBIS Holdings, L.P., Dallas, TX, Investment company									x
NationsBuilders Insurance Services, Inc., Atlanta, GA, Insurance									
Point Judith Venture Fund II LP, Providence, RI, Investment Company					x				x
Antenna Software, Inc., Jersey City, NJ, Software Company									
Earth Aid, Inc., Worcester, MA, Clean Technology Company									
Envista Corporation, Beverly, MA, Web Services									
Fidelity Security Systems, Inc., Waltham, MA, Security System									
FSA Store, Inc., New York, NY, Internet company									
Ignighter, Inc., New York, NY, Internet company									
NABeys, Inc., Providence, RI, Medical Technologies									
Nexamp, Inc., North Andover, MA, Clean Energy Solutions company									
Novare Surgical Systems, Inc., Cupertino, CA, Medical devices									
ParElastic, Inc., Boston, MA, Internet company									
PowerAssure, Santa Clara, CA, Software									
Pulse Point Inc., New York, NY, Internet company									
Retroficiency Inc., Boston, MA, Clean Technology company									
Sittercity, Inc., Chicago, IL, Internet company									
Spotlight Ticket Management, Woodland Hills, CA, Ticket Management									
Riderway, Inc., Alexandria, VA, Software company					x				x
Alexandria RC Investors, LLC, Alexandria, VA, Software company					x				x
Riderway Inc., Alexandria, VA, Software company						x			
Point Judith Venture Fund III LP, Providence, RI, Investment Company				x			x		x
Apptegic Inc., Bedford, MA, Software Company									
THE LDC BLIND TRUST (S Trust)									
911 King Street Associates LLC, Investment Company					x				x
Majestic Cafe, LLC Alexandria, VA, Restaurant						x			
MGE, LLC, Alexandria, VA, Investment Company					x				x
Egg Factory L.L.C., Roanoke, VA, Investment holding company						x			
eVision, Roanoke, VA, Optical Solutions							x		x
PixelOptics, Inc., Roanoke, VA Optical Solutions								x	
Efenza, Inc., Roanoke, VA Optical Solutions									
High Performance Optics, Roanoke, VA Optical Solutions									
Encore Vision Inc., Roanoke, VA Tissue restoration technology									
WF Systems, LLC, Roanoke, VA, wavefront technology									
Vision Jeannie, LLC, Roanoke, VA, Optical Solutions									
Columbia Capital Investors III, Alexandria, VA, Investment Company					x			x	
NeuroVentures Fund I LP, Charlottesville, VA, Investment Company					x			x	
Acumen Pharmaceuticals, Inc., South San Francisco, CA, Drug discovery company						x			x
BrainCells Inc., San Diego, CA, Drug & Development company							x		
Concentric Medical, Inc., Mountain View, CA, Medical company								x	
NanoMed Systems, Inc., Houston, TX, Medical company									
NeurAxon, Inc., Mississauga, ON, Drug development company									
SpineWave, Inc., Shelton, CT, Therapy Company									
Z-3 Investors, LLC (Still River Systems, Inc), Littleton MA , Therapy Company									
NeuroVentures Capital LLC, Charlottesville, VA, Investment Company				x			x		x

Part III.B. Non-Publicly Traded Assets & Unearned Income Sources		Valuation of Assets					Type and Amount of Income																								
		Identity of Non-Publicly Traded Assets And Unearned Income Sources					Type of Income	Amount of Income																							
		None (or less than \$1,000)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	None (or less than \$200)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$150,000	\$150,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000
Ramsey BPI Investors LLC, McLean, VA, Investment fund Best Practices Inc., Fairfax, VA		X																													
Ramsey Long Biased Fund, LP, McLean, VA, Investment fund					X													X		X											
Point Judith Venture Fund LP, Providence, RI, Investment Company Antenna Software, Inc., Jersey City, NJ, Software Company GetWell Network, Inc., Bethesda, MD, Interactive patient care MedOptions, Inc., Old Saybrook, CT, Medical Care services Narragansett Brewing Company, Providence, RI, Brewer Novare Surgical Systems, Inc., Cupertino, CA, Surgical instruments Spirus Medical, Inc., Stoughton, MA, Developer of medical devices						X											X	X													
Alexandria NBIS Investors LLC, Alexandria, VA, Investment company SunTX NBIS Holdings, L.P., Dallas, TX, Investment company NationsBuilders Insurance Services, Inc., Atlanta, GA, Insurance							X																								
Point Judith Venture Fund II LP, Providence, RI, Investment Company Antenna Software, Inc., Jersey City, NJ, Software Company Earth Aid, Inc., Worcester, MA, Clean Technology Company Envista Corporation, Beverly, MA, Web Services Fidelis Security Systems, Inc., Waltham, MA, Security System FSA Store, Inc., New York, NY, Internet company Ignighter, Inc., New York, NY, Internet company NABsys, Inc., Providence, RI, Medical Technologies Nexamp, Inc., North Andover, MA, Clean Energy Solutions company Novare Surgical Systems, Inc., Cupertino, CA, Medical devices ParElastic, Inc., Boston, MA, Internet company PowerAssure, Santa Clara, CA, Software Pulse Point Inc., New York, NY, Internet company Retroficiency Inc., Boston, MA, Clean Technology company Sittercity, Inc., Chicago, IL, Internet company Spotlight Ticket Management, Woodland Hills, CA, Ticket Management								X																							
Riderway, Inc., Alexandria, VA, Software Company									X																						
Alexandria RC Investors, LLC, Alexandria, VA, Software company Riderway Inc., Alexandria, VA, Software company									X																						
Point Judith Venture Fund III LP, Providence, RI, Investment Company AppTegic Inc., Bedford, MA, Software Company						X										X															
DEPENDENT CHILDREN ASSETS																															
MGE, LLC, Alexandria, VA Egg Factory L.L.C., Roanoke, VA, Investment holding company eVision, Roanoke, VA, Optical Solutions PixelOptics, Inc., Roanoke, VA Optical Solutions Elenza, Inc., Roanoke, VA Optical Solutions High Performance Optics, Roanoke, VA Optical Solutions Encore Vision Inc., Roanoke, VA Tissue restoration technology WF Systems, LLC, Roanoke, VA, wavefront technology Vision Jeannie, LLC, Roanoke, VA, Optical Solutions										X							X														
Columbia Capital Investors III, Alexandria, VA, Investment Company											X							X													
NeuroVentures Fund LP, Charlottesville, VA, Investment Company Acumen Pharmaceuticals, Inc., South San Francisco, CA, Drug discovery company BrainCells Inc., San Diego, CA, Drug & Development company Concentric Medical, Inc., Mountain View, CA, Medical company NanoMed Systems, Inc., Houston, TX, Medical company NeurAxon, Inc., Mississauga, ON, Drug development company											X						X														

Part IIIB. Non-Publicly Traded Assets & Unearned Income Sources																																	
Identity of Non-Publicly Traded Assets And Unearned Income Sources				Valuation of Assets			Type and Amount of Income																										
				\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$40,000,000	Over \$40,000,000	Dividends	Rent	Internet	Capital Gains	Exempted Investment Fund	Excepted Trust	Qualified Blind Trust	Other	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,000	\$2,001 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
SpineWave, Inc., Shelton, CT, Therapy Company																																	
Z-3 Investors, LLC (Still River Systems, Inc.), Littleton MA , Therapy Company																																	
NeuroVentures Capital LLC, Charlottesville, VA, Investment Company		x														x							x										
Ramsey BPI Investors LLC, McLean, VA, Investment fund		x														x	x							x									
Best Practices Inc., Fairfax, VA																																	
Ramsey Long Biased Fund, LP, McLean, VA, Investment fund							x									x							x										
Point Judith Venture Fund LP, Providence, RI, Investment Company					x											x	x						x										
Antenna Software, Inc., Jersey City, NJ, Software Company																																	
GetWell Network, Inc., Bethesda, MD, Interactive patient care																																	
MedOptions, Inc., Old Saybrook, CT, Medical Care services																																	
Narragansett Brewing Company, Providence, RI, Brewer																																	
Novare Surgical Systems, Inc., Cupertino, CA, Surgical instruments																																	
Spirus Medical, Inc., Stoughton, MA, Developer of medical devices																																	
Alexandria NBIS Investors LLC, Alexandria, VA, Investment company					x																		x										
SunTX NBIS Holdings, L.P., Dallas, TX, Investment company																																	
NationsBuilders Insurance Services, Inc., Atlanta, GA, Insurance																																	
Point Judith Venture Fund II LP, Providence, RI, Investment Company						x																	x										
Antenna Software, Inc., Jersey City, NJ, Software Company																																	
Earth Aid, Inc., Worcester, MA, Clean Technology Company																																	
Envista Corporation, Beverly, MA, Web Services																																	
Fidelis Security Systems, Inc., Waltham, MA, Security System																																	
FSA Store, Inc., New York, NY, Internet company																																	
Ignighter, Inc., New York, NY, Internet company																																	
NABsys, Inc., Providence, RI, Medical Technologies																																	
Nexamp, Inc., North Andover, MA, Clean Energy Solutions company																																	
Novare Surgical Systems, Inc., Cupertino, CA, Medical devices																																	
ParElastic, Inc., Boston, MA, Internet company																																	
PowerAssure, Santa Clara, CA, Software																																	
Pulse Point Inc., New York, NY, Internet company																																	
Retroficiency Inc., Boston, MA, Clean Technology company																																	
Sittercity, Inc., Chicago, IL, Internet company																																	
Spotlight Ticket Management, Woodland Hills, CA, Ticket Management																																	
Riderway, Inc., Alexandria, VA, Software Company						x																	x										
Alexandria RC Investors, LLC., Alexandria, VA, Software company							x																x										
Riderway Inc., Alexandria, VA, Software company																																	
Point Judith Venture Fund III LP, Providence, RI, Investment Company		x														x							x										
Applegic Inc., Bedford, MA, Software Company																																	
Mass Mutual Insurance Policy								x															x										
Sun Life Financial Insurance Policy								x															x										
Minnesota Mutual Life Insurance Policy									x														x										
Columbia Partners Business Trust, Alexandria, VA, Investment company									x														x										
Columbia Capital Investors, LLC, Alexandria, VA, Investment company																							x										

Part IV Transactions		Transaction Type	Transaction Date	Amount of Transaction
Identification of Assets	NOTES	Purchase	Sale	Exchange
Mark R. Warner				\$1,001 - \$15,000
Capital World Growth and Income Fund	X			\$10,001 - \$80,000
Capital World Growth and Income Fund	X			\$80,001 - \$100,000
Capital World Growth and Income Fund	X			\$100,001 - \$250,000
Capital World Growth and Income Fund	X			\$250,001 - \$300,000
Capital World Growth and Income Fund	X			\$300,001 - \$1,000,000
THE MRW BLIND TRUST				Owner \$1,000,000***
Capital World Growth and Income Fund	X			\$1,000,001 - \$10,000
SPDR S&P 500 ETF Trust	X			\$10,000,001 - \$25,000,000
Powershares QQQ	X			Own \$50,000,000
Manning & Napier Equity Series	X			
Longleaf Pttrs Fd	X			
Capital World Growth and Income Fund	X			
Longleaf Pttrs Fd	X			
Manning & Napier Equity Series	X			
SPDR S&P 500 ETF Trust	X			
Powershares QQQ	X			
iShares S&P 500 Gr Index	X			
iShares S&P 500 Gr Index	X			
Am. Fds - Inv Co of America- A	X			
SPDR S&P 500 ETF Trust	X			
SPDR S&P 500 ETF Trust	X			
SPDR S&P 500 ETF Trust	X			
Capital World Growth and Income Fund	X			
Washington Mutual Investors Fund	X			
Capital World Growth and Income Fund	X			
Capital World Growth and Income Fund	X			
SPDR S&P 500 ETF Trust	X			
BlackRock Eqty Div Fd - I	X			
BlackRock Eqty Div Fd - I	X			
Capital World Growth and Income Fund	X			
BlackRock Eqty Div Fd - I	X			
RS Global Natural Resources Fund A	X			
Van Eck Global Hard Assets Fund A	X			
Van Eck Global Hard Assets Fund A	X			
RS Global Natural Resources Fund A	X			
BlackRock Eqty Div Fd - I	X			
Longleaf Pttrs Fd	X			
BlackRock Eqty Div Fd - I	X			
Longleaf Pttrs Fd	X			
Longleaf Pttrs Fd	X			
SPDR Gold Trust ETF	X			
BlackRock Eqty Div Fd - I	X			
BlackRock Eqty Div Fd - I	X			
BlackRock Eqty Div Fd - I	X			
Manning & Napier Equity Series	X			
Manning & Napier Equity Series	X			
RS Global Natural Resources Fund A	X			
Capital World Growth and Income Fund	X			

Part IV					
Transactions					
Identification of Assets		Transaction Type	Transaction Date	Amount of Transaction	
Notes	Purchase	Sale	Exchange		
Van Eck Global Hard Assets Fund A	X		12/23/2011	\$1,001 - \$15,000	
Longleaf Ptnrs Fd	X		12/30/2011		
Longleaf Ptnrs Fd	X		12/30/2011		
Am. Fds - Inv Co of America- A	X		3/7/2011		
Longleaf Ptnrs Fd	X		3/7/2011		
Am. Fds - Inv Co of America- A	X		3/7/2011		
Am. Fds - Inv Co of America- A	X		3/7/2011		
Am. Fds - Inv Co of America- A	X		3/7/2011		
Am. Fds - Inv Co of America- A	X		3/7/2011		
Am. Fds - Inv Co of America- A	X		3/7/2011		
Am. Fds - Inv Co of America- A	X		3/7/2011		
Washington Mutual Investors Fund	X		5/19/2011		
Washington Mutual Investors Fund	X		5/19/2011		
Washington Mutual Investors Fund	X		5/19/2011		
Washington Mutual Investors Fund	X		5/19/2011		
Washington Mutual Investors Fund	X		5/19/2011		
Washington Mutual Investors Fund	X		5/19/2011		
Washington Mutual Investors Fund	X		5/19/2011		
Washington Mutual Investors Fund	X		5/19/2011		
Washington Mutual Investors Fund	X		5/19/2011		
Washington Mutual Investors Fund	X		5/19/2011		
Washington Mutual Investors Fund	X		5/19/2011		
Washington Mutual Investors Fund	X		5/19/2011		
Washington Mutual Investors Fund	X		5/19/2011		
SPDR S&P 500 ETF Trust	X		6/8/2011		
SPDR S&P 500 ETF Trust	X		6/8/2011		
SPDR S&P 500 ETF Trust	X		6/8/2011		
iShares S&P 500 Gr Index	X		7/8/2011		
iShares S&P 500 Gr Index	X		7/8/2011		
SPDR S&P 500 ETF Trust	X		8/8/2011		
SPDR S&P 500 ETF Trust	X		8/8/2011		
SPDR S&P 500 ETF Trust	X		8/8/2011		
SPDR S&P 500 ETF Trust	X		8/8/2011		
Powershares QQQ	X		8/16/2011		
Powershares QQQ	X		8/16/2011		
JP Morgan Strategic Income Oppo Fd	X		1/3/2011		
JP Morgan Strategic Income Oppo Fd	X		2/1/2011		
JP Morgan Strategic Income Oppo Fd	X		3/1/2011		
JP Morgan Strategic Income Oppo Fd	X		4/1/2011		
JP Morgan Strategic Income Oppo Fd	X		5/2/2011		
JP Morgan Strategic Income Oppo Fd	X		6/1/2011		
JP Morgan Strategic Income Oppo Fd	X		6/10/2011		
JP Morgan Strategic Income Oppo Fd	X		7/1/2011		
JP Morgan Strategic Income Oppo Fd	X		8/1/2011		
JP Morgan Strategic Income Oppo Fd	X		9/1/2011		
JP Morgan Strategic Income Oppo Fd	X		10/3/2011		
JP Morgan Strategic Income Oppo Fd	X		11/1/2011		
JP Morgan Strategic Income Oppo Fd	X		12/1/2011		
JP Morgan Strategic Income Oppo Fd	X		12/16/2011		
JP Morgan Strategic Income Oppo Fd	X		12/16/2011		
JPMorgan Asia Equity Fd-INST	X		12/2/2011		
BNP SPX Note 7.0% 05/12/11	X		1/25/2011		
JPMorgan U.S. Eq FD-Select	X		3/7/2011		
JPMorgan U.S. Eq FD-Select	X		3/7/2011		
JPMorgan Asia Equity Fd-INST	X		3/7/2011		
JPMorgan Asia Equity Fd-INST	X		3/7/2011		
GS EAFE Note 7% 05/12/11	X		6/12/2011		
JP Morgan Strategic Income Oppo Fd	X		6/29/2011		
JP Morgan Strategic Income Oppo Fd	X		8/2/2011		
JP Morgan Strategic Income Oppo Fd	X		8/2/2011		
JP Morgan Strategic Income Oppo Fd	X		8/2/2011		
IShares Russell Midcap Index Fd	X		8/29/2011		
JP Morgan Strategic Income Oppo Fd	X		10/4/2011		
JP Morgan Strategic Income Oppo Fd	X		10/4/2011		
JP Morgan Strategic Income Oppo Fd	X		10/4/2011		
JP Morgan Strategic Income Oppo Fd	X		10/4/2011		
JP Morgan Strategic Income Oppo Fd	X		10/4/2011		
JP Morgan Strategic Income Oppo Fd	X		10/4/2011		
JP Morgan Strategic Income Oppo Fd	X		10/4/2011		

Part IV Transactions							
Identification of Assets		Transaction Type	Transaction Date	Amount of Transaction			
NOTES	Purchase Sale	Exchange					
NY Tobacco Settlement Corp 5% 6/01/16	X	8/29/2011	\$1,001 - \$15,000		X		
CA Los Angeles Department of Water & Power 4% 7/01/35	X	8/30/2011					
Uinta County WY 1% 8/15/20	X	7/18/2011		X			
Commonwealth of MA VAR Ser B 10% 3/01/26	X	7/18/2011		X			
Triborough Bridge/Tunnel Authority Ser B3 20% 1/1/32	X	7/18/2011		X			
NY City Trust for Cultural Resources 10% 12/01/35	X	7/18/2011		X			
Greater Chicago Metropolitan Water Reclamation District 5% 12/01/15	X	7/21/2011		X			
VA Henrico County Water & Sewer Revenue 4% 5/01/12	X	8/16/2011		X			
VA Resource Authority Cl Wtr Rev A 4% 10/01/14	X	8/22/2011		X			
Univ of VA 5% 8/01/17	X	8/28/2011		X			
Commonwealth of VA 4% 8/01/12	X	10/28/2011		X			
Univ of CA 6% 5/15/32	X	11/2/2011		X			
Chesterfield Cnty VA Pub Impt 3.5% 1/01/12	X	11/15/2011		X			
CT St Health/Educational Facilities Authority Rev - Yale Univ Ser V-2 - VAR 6% 7/01/36	X	12/15/2011		X			
Chicago ILL Sales Tax Rev Rfdg Rmkt 9% 1/1/34	X	12/15/2011		X			
Roanoke VA Pub Impt 4% 2/01/11	X	2/1/2011		X			
Virginia College Building Authority Rev/VA-D 5% 2/01/11	X	2/1/2011		X			
Chicago ILL Sales Tax Rev Rfdg Rmkt 9% 1/1/34	X	2/17/2011		X			
Chicago ILL Sales Tax Rev Rfdg Rmkt 9% 1/1/34	X	2/17/2011		X			
Chicago ILL Sales Tax Rev Rfdg Rmkt 9% 1/1/34	X	2/23/2011		X			
Rutgers St Univ NJ Var Rfdg Ser A 10% 5/1/18	X	3/23/2011		X			
MA St H/E Facs Auth Rev Harvard Univ Ser R 6% 11/1/2049	X	3/24/2011		X			
Fairfax Cnty VA Water Authority Water Rev Ref 4% 4/01/11	X	4/1/2011		X			
Nassau Cnty Industrial Development Agency VAR 14% 1/01/42	X	4/15/2011		X			
NY City Transitional Finance Authority Future Tax Secure Revenue - ADJ 8% 11/1/22	X	4/19/2011		X			
VA St Res Auth Inst Rev Pooled Fng Prog - Ser A 5% 5/01/11	X	5/2/2011		X			
City of Virginia Beach VA 5% 5/01/11	X	5/2/2011		X			
Chesapeake VA Pub Impt Ser A 3% 6/01/11	X	6/1/2011		X			
NY City Transitional Finance Authority Future Tax Secure Revenue - ADJ 4% 11/1/22	X	6/8/2011		X			
NY City Transitional Finance Authority Future Tax Secure Revenue - ADJ 8% 11/1/22	X	6/20/2011		X			
Univ of Missouri 2% 11/01/30	X	6/21/2011		X			
MA Health & Educational Facilities Authority 8% 7/01/39	X	6/23/2011		X			
University VA Univ Revs Rfdg- Gen- Ser A Due 6/1/34	X	6/28/2011		X			
University VA Univ Revs Rfdg- Gen- Ser A Due 6/1/34	X	6/28/2011		X			
Alexandria VA Ser A 3.00% 7/1/11	X	7/1/2011		X			
MA Health & Educational Facilities Authority 8% 7/01/39	X	7/5/2011		X			
City of Hanover VA 2.5% 7/15/11	X	7/18/2011		X			
Virginia Beach VA Ref 5.45% 7/15/11	X	7/19/2011		X			
Richmond VA 5% 7/15/11	X	7/15/2011		X			
City of Henrico VA 5% 7/15/11	X	7/16/2011		X			
NY City Trust for Cultural Resources 10% 12/01/35	X	7/22/2011		X			
Commonwealth of MA VAR Ser B 10% 3/01/26	X	8/17/2011		X			
CA Los Angeles Department Water & Power 4% 7/01/35	X	8/23/2011		X			
Uinta County WY 1% 8/15/20	X	8/24/2011		X			
VA College Building Authority Educational Facilities Ser A 5% 9/01/11	X	8/28/2011		X			
VA St Resources Authority Rev Fd 5% 10/01/11	X	10/3/2011		X			
City of Loudoun VA 5% 11/01/11	X	10/4/2011		X			
Triborough Bridge/Tunnel Authority Ser B3 20% 1/1/32	X	11/16/2011		X			
Commonwealth of MA VAR Ser B 10% 3/01/26	X	11/16/2011		X			
City of Hampton VA 4% 1/15/2012	X	11/17/2011		X			
County of Chesterfield VA 3.5% 1/01/12	X	11/17/2011		X			
Univ of CA 6% 5/15/32	X	11/18/2011		X			
City of Alexandria VA 5% 12/15/11	X	12/16/2011		X			
CT St Health/Educational Facilities Authority Rev - Yale Univ Ser V-2 - VAR 6% 7/01/36	X	12/23/2011		X			
City of NY NY 5% 8/01/14	X	12/23/2011		X			
Richmond VA Ref Pub Impt Ser C 5% 7/15/16	X	12/23/2011		X			
Burlington City NJ Bridge 5% 10/15/15	X	12/23/2011		X			
Greater Chicago Metropolitan Water Reclamation District 5% 12/01/15	X	12/23/2011		X			
Chicago ILL Sales Tax Rev Rfdg Rmkt 9% 1/1/34	X	12/23/2011		X			

Part IV							
Transactions							
Identification of Assets		Transaction		Transaction		Amount of Transaction	
		Type	Date	Type	Date		
NOTES	Purchase Sale Exchange						
MS HP Millennium Str Cap LP	X	8/30/2011	\$1,100,001 - \$115,000				
PIMCO Crndty ReaReturn Strgy - A	X	1/4/2011		X			
GS Local Emerging Mkts Debt Fd - A	X	1/4/2011		X			
PIMCO Crndty ReaReturn Strgy - A	X	1/6/2011			X		
Templeton Global Bond Fd CL A	X	1/20/2011		X			
GS Local Emerging Mkts Debt Fd - A	X	2/2/2011		X			
Templeton Global Bond Fd CL A	X	2/17/2011		X			
GS Local Emerging Mkts Debt Fd - A	X	3/2/2011		X			
Templeton Global Bond Fd CL A	X	3/17/2011		X			
PIMCO Crndty ReaReturn Strgy - A	X	3/21/2011		X			
PIMCO Crndty ReaReturn Strgy - A	X	3/21/2011		X			
GS Local Emerging Mkts Debt Fd - A	X	4/4/2011		X			
GS Local Emerging Mkts Debt Fd - A	X	4/8/2011			X		
Templeton Global Bond Fd CL A	X	4/18/2011		X			
GS Local Emerging Mkts Debt Fd - A	X	5/3/2011		X			
Templeton Global Bond Fd CL A	X	5/18/2011		X			
GS Local Emerging Mkts Debt Fd - A	X	6/2/2011		X			
Templeton Global Bond Fd CL A	X	6/17/2011		X			
PIMCO Crndty ReaReturn Strgy - A	X	6/20/2011		X			
GS Local Emerging Mkts Debt Fd - A	X	7/5/2011		X			
Yandex NV @32 Exp 2/18/2012	X	7/6/2011			X		
Yandex NV @33 Exp 2/18/2012	X	7/6/2011			X		
Yandex NV @32 Exp 2/18/2012	X	7/7/2011			X		
Yandex NV @34 Exp 02/18/2012	X	7/11/2011			X		
Yandex NV @32 Exp 02/18/2012	X	7/6/2011			X		
Yandex NV @33 Exp 02/18/2012	X	7/6/2011			X		
Yandex NV @34 Exp 02/18/2012	X	7/7/2011			X		
Templeton Global Bond Fd CL A	X	7/18/2011		X			
Templeton Global Bond Fd CL A	X	8/2/2011				X	
GS Local Emerging Mkts Debt Fd - A	X	8/2/2011		X			
GS Local Emerging Mkts Debt Fd - A	X	8/16/2011			X		
Templeton Global Bond Fd CL A	X	8/17/2011		X			
Templeton Global Bond Fd CL A	X	8/17/2011		X			
GS Local Emerging Mkts Debt Fd - A	X	8/2/2011		X			
Templeton Global Bond Fd CL A	X	9/8/2011			X		
PIMCO Crndty ReaReturn Strgy - A	X	9/18/2011			X		
Templeton Global Bond Fd CL A	X	9/19/2011		X			
Templeton Global Bond Fd CL A	X	9/19/2011		X			
GS Local Emerging Mkts Debt Fd - A	X	10/4/2011		X			
Templeton Global Bond Fd CL A	X	10/19/2011		X			
Templeton Global Bond Fd CL A	X	10/19/2011		X			

Part IV Transactions							
Identification of Assets		Transaction		Amount of Transaction			
		Type	Date				
NOTES	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000
GS Local Emerging Mkts Debt Fd - A	X		11/2/2011	X			
Templeton Global Bond Fd CL A	X		11/17/2011	X			
Templeton Global Bond Fd CL A	X		11/17/2011	X			
GS Local Emerging Mkts Debt Fd - A	X		12/2/2011	X			
PIMCO Cmdty RealReturn Strgy - A	X		12/6/2011	X			
PIMCO Cmdty RealReturn Strgy - A	X		12/6/2011	X			
GS Local Emerging Mkts Debt Fd - A	X		12/18/2011	X			
Templeton Global Bond Fd CL A	X		12/18/2011	X			
Templeton Global Bond Fd CL A	X		12/19/2011	X			
Templeton Global Bond Fd CL A	X		12/19/2011	X			
Templeton Global Bond Fd CL A	X		12/19/2011	X			
PIMCO Cmdty RealReturn Strgy - A	X		12/23/2011	X			
WellsFargo Advtg Ultra S/T Muni INV	X		4/1/2011		X		
VA St Pub Bldg Auth Pub Facs Rev Ser C 5% 08/01/12	X		4/27/2011		X		
Commonwealth of VA 5% 08/01/13	X		4/27/2011		X		
Henrico Cnty VA 4% 1/15/14	X		4/27/2011		X		
VA St Res Auth Infra Rev Sr Ser C 5% 11/01/13	X		4/27/2011		X		
VA St Pub Sch Auth Ser VII 5% 4/15/12	X		4/27/2011		X		
Commonwealth of VA 5% 08/01/11	X		6/1/2011		X		
PIMCO Cmdty RealReturn Strgy - A	X		6/3/2011		X		
PIMCO Cmdty RealReturn Strgy - A	X		6/3/2011		X		
PIMCO Cmdty RealReturn Strgy - A	X		6/3/2011		X		
PIMCO Cmdty RealReturn Strgy - A	X		6/3/2011		X		
PIMCO Cmdty RealReturn Strgy - A	X		6/3/2011		X		
PIMCO Cmdty RealReturn Strgy - A	X		6/3/2011		X		
PIMCO Cmdty RealReturn Strgy - A	X		6/3/2011		X		
PIMCO Cmdty RealReturn Strgy - A	X		6/3/2011		X		
PIMCO Cmdty RealReturn Strgy - A	X		6/3/2011		X		
PIMCO Cmdty RealReturn Strgy - A	X		6/3/2011		X		
PIMCO Cmdty RealReturn Strgy - A	X		6/3/2011		X		
PIMCO Cmdty RealReturn Strgy - A	X		6/3/2011		X		
VA St PS Auth Sch Fing - 1997 Resolution - Ser C 5% 08/01/11	X		6/1/2011		X		
Newport News VA 5% 08/15/21	X		6/1/2011		X		
VA Ctg Bldg Auth Edl Facs Rev 5.25% 09/01/13	X		10/3/2011		X		
City of Hampton Pub Imp & Rel 4.25% 01/15/12	X		10/3/2011		X		
VA Coll Bldg Auth VA Edl Ser A 5% 09/01/12	X		10/3/2011		X		
GS Local Emerging Mkts Debt Fd - A	X		10/4/2011		X		
GS Local Emerging Mkts Debt Fd - A	X		10/4/2011		X		
GS Local Emerging Mkts Debt Fd - A	X		10/4/2011		X		
GS Local Emerging Mkts Debt Fd - A	X		10/4/2011		X		
Brown Advisory Small-Cap Growth	X		2/7/2011		X		
Brown Advisory Small-Cap Growth	X		12/1/2011		X		
Hound Partners 3 (C) (7) LP	X		2/28/2011			X	
Hound Partners 3 (C) (7) LP	X		6/30/2011			X	
E.I.F Select Fund LP	X		6/30/2011		X		
Cousteau Qualified Partners LP CID	X		6/30/2011		X		
Cousteau Qualified Partners LP CID	X		6/28/2011		X		
Definitive Guardian Partners LP	X		6/31/2011		X		
Ramsey BPI Investors, LLC	X		4/30/2011		X		
Priority Insight Partners LP	X		4/30/2011			X	
Priority Insight Partners LP	X		4/30/2011			X	
Priority Insight Partners LP	X		7/1/2011		X		
AESSF II Private Investors LLC	X		10/5/2011			X	
Bear Creek Investors LLC	X		3/18/2011			X	
Alexandria RC Investors LLC	X		12/13/2011		X		
JBG VIII	X		6/24/2011			X	
TZG-Sachs Empire LLC	X		11/14/2011			X	
WDE Partners LP	X		11/30/2011			X	
Keyne Anderson MLP Fund, LP	X		12/29/2011			X	
Equinox Partners LP	X		12/29/2011			X	
Columbia Capital Equity Partners III	X		12/29/2011		X		

Part IV		Transactions		
Identification of Assets		Transaction Type	Transaction Date	Amount of Transaction
NOTES	Purchase Sale Exchange			
THE LDC TRUST				
Washington Mutual Investors Fund	X	3/22/2011	X	
Capital World Growth and Income Fund	X	3/28/2011	X	
Capital World Growth and Income Fund	X	6/2/2011	X	
Washington Mutual Investors Fund	X	6/2/2011	X	
Washington Mutual Investors Fund	X	9/28/2011	X	
Longleaf Ptnrs Fd	X	11/8/2011	X	
Washington Mutual Investors Fund	X	12/18/2011	X	
Capital World Growth and Income Fund	X	12/18/2011	X	
MGE LLC				
Ramsey BPI Investors, LLC	X	4/30/2011		X
Alexandria RC Investors LLC	X	12/13/2011		X
Point Judith Fund III	X	8/4/2011		X
DEPENDENT CHILDREN ASSETS: IRREVOCABLE TRUSTS				
Dodge & Cox Intl Stock Fd	X	1/31/2011	X	
JP Morgan Lg Cap Growth Fd	X	4/12/2011	X	
Nuveen Tradewinds Value Optys - R	X	4/12/2011	X	
Dodge & Cox Intl Stock Fd	X	6/6/2011	X	
Matthews Pacific Tiger Fd - INS	X	1/31/2011	X	
GS Hi Yld Fd - INS	X	3/17/2011	X	
SPDR S&P 500 ETF Trust	X	4/12/2011	X	
JPMorgan Tax-Free Bond Fund	X	5/1/2011	X	
JPMorgan Tax-Free Bond Fund	X	6/21/2011	X	
JPMorgan Tax-Free Bond Fund	X	6/6/2011	X	
JP Morgan US L/C Core Plus Fd	X	6/12/2011	X	
Artisan Intl Value Fd - INV	X	1/31/2011	X	
Dodge & Cox Intl Stock Fd	X	1/31/2011	X	
Artio Intl Equity Fund II Cl I	X	1/31/2011	X	
Eaton Vance Floating Rate- I	X	3/21/2011	X	
Nuveen Tradewinds Value Optys - R	X	4/12/2011	X	
JP Morgan Lg Cap Growth Fd	X	4/12/2011	X	
Aberdeen Asia-Pac ex-Jpn Eqy-Instl	X	5/11/2011	X	
Vanguard MSCI Emg Mkts	X	8/8/2011	X	
Manning & Napier Equity Series	X	8/8/2011	X	
JP Morgan High Yield Fund - SEL	X	10/25/2011	X	
Matthews Pacific Tiger Fd - INS	X	1/31/2011	X	
JP Morgan Asia Eqty Fd - SLCT	X	1/31/2011	X	
GS Hi Yld Fd - INS	X	3/17/2011	X	
SPDR S&P 500 ETF Trust	X	4/12/2011	X	
JPMorgan Tax-Free Bond Fund	X	5/1/2011	X	
JPMorgan Tax-Free Bond Fund	X	6/21/2011	X	
JP Morgan US L/C Core Plus Fd	X	6/6/2011	X	
Dodge & Cox Intl Stock Fd	X	10/25/2011	X	
JP Morgan US L/C Core Plus Fd	X	10/25/2011	X	
Dodge & Cox Intl Stock Fd	X	12/6/2011	X	
Vanguard MSCI Emg Mkts	X	12/6/2011	X	
Dodge & Cox Intl Stock Fd	X	1/31/2011	X	
Eaton Vance Floating Rate- I	X	3/21/2011	X	
Nuveen Tradewinds Value Optys - R	X	4/12/2011	X	
JP Morgan Lg Cap Growth Fd	X	4/12/2011	X	
Aberdeen Asia-Pac ex-Jpn Eqy-Instl	X	5/11/2011	X	
Dodge & Cox Intl Stock Fd	X	6/6/2011	X	
Vanguard MSCI Emg Mkts	X	8/8/2011	X	
Manning & Napier Equity Series	X	8/8/2011	X	
JP Morgan High Yield Fund - SEL	X	10/25/2011	X	
Matthews Pacific Tiger Fd - INS	X	1/31/2011	X	
JP Morgan Asia Eqty Fd - SLCT	X	1/31/2011	X	
GS Hi Yld Fd - INS	X	3/17/2011	X	
GS Hi Yld Fd - INS	X	3/17/2011	X	
SPDR S&P 500 ETF Trust	X	4/12/2011	X	

Part IV Transactions		Transaction	Transaction	Amount of Transaction		
Identification of Assets		Type	Date			
Notes	Purchases	Sale	Exchange			
JP Morgan US LAC Core Plus Fd	X		4/28/2011	\$1,001 - \$15,000		
JPMorgan Tax-Free Bond Fund	X		5/1/2011	x		
JPMorgan Tax-Free Bond Fund	X		6/2/2011	x		
JPMorgan Tax-Free Bond Fund	X		6/8/2011	x		
Mathews Pacific Tiger Fund	X		6/12/2011	x		
JP Morgan US LAC Core Plus Fd	X		8/12/2011	x		
JP Morgan US LAC Core Plus Fd	X		10/25/2011	x		
Dodge & Cox Intl Stock Fd	X		12/6/2011	x		
Vanguard MSCI Eqty Mkts	X		12/6/2011	x		
Columbia Capital Investors LLC	X		1/12/2011	x		
DEPENDENT CHILDREN ASSETS: 1999 TRUST						
MS White Metals QRN 02/06/12	X		1/28/2011	x		
Barc GSCI Oil Part CPN Note 02/14/12	X		1/28/2011	x		
Arbitrage Funds- I	X		2/11/2011	x		
Artisan Int'l Value Fd - INV	X		2/11/2011	x		
Dodge & Cox Intl Stock Fd	X		2/11/2011	x		
Gateway Fund - Y	X		2/11/2011	x		
Eaton Vance Mut Fds Tr	X		2/11/2011	x		
JPM Strategic Income Opportunity Fund	X		2/21/2011	x		
Harbor High Yield Bond Fund-Ins	X		3/21/2011	x		
Eaton Vance Mut Fds Tr	X		3/21/2011	x		
JPM Asia Equity Fd- Sel	X		3/21/2011	x		
Artio Int'l Equity Fund II CII	X		3/21/2011	x		
Matthews Pacific Tiger Fd	X		3/21/2011	x		
Goldman Sachs Trust	X		3/21/2011	x		
JPM Large Cap Growth Fd	X		4/12/2011	x		
Nuveen NWQ Value Opportunities	X		4/12/2011	x		
Barc Ren SPX 5/2/12 2 XLEV	X		4/15/2011	x		
JPM Intl Currency Income Fd JPM Chase Bank	X		5/11/2011	x		
Ridgeworth Fds Ser. Fifth Hi	X		5/11/2011	x		
Aberdeen Asia-Pac ex-Jpn Eqty Instl	X		5/11/2011	x		
Vanguard MSCI Eqty Mkts	X		5/11/2011	x		
SG Cont Buff Eq SPX 05/31/12 80%	X		5/13/2011	x		
GS Bren EAFFE 06/06/12 10% Buffer	X		5/20/2011	x		
HSBC Cont Buff Eq SPX 06/27/12 80% Contin Barrier	X		5/20/2011	x		
GS Bren EAFFE 07/05/12 10% Buffer	X		5/20/2011	x		
JPM US Large Cap Core Plus FD- Sel JP Morgan Chase Bank	X		5/21/2011	x		
iShares Russell Midcap Index Fd	X		5/21/2011	x		
JPM Tax Free Bond Fd - Sel JP Morgan Chase Bank	X		5/21/2011	x		
Eaton Vance Mut Fds Tr Global Macro	X		5/21/2011	x		
Arbitrage Funds- I						
JPM US Large Cap Core Plus FD- Sel JP Morgan Chase Bank	X		6/19/2011	x		
JPM Tax Free Bond Fd - Sel JP Morgan Chase Bank	X		6/19/2011	x		
Barc Bren EAFFE 08/01/12 10% Buffer 2XLEV-7.61%	X		7/15/2011	x		
GS Cont Buff EQ SPX 08/08/12 80% Contin Barrier 3% CPN	X		7/22/2011	x		
DB 95% PPN FX Basket 2/1/12 LINKED to MXN	X		7/29/2011	x		
BARC 93% PPN BRIC Basket 9/19/13 LINKED to 3 Currency Bskts	X		8/16/2011	x		
JPM US Large Cap Core Plus FD- Sel JP Morgan Chase Bank	X		7/22/2011	x		
MS White Metals QRN 02/06/12 LINKED to PLTMLNPM	X		8/4/2011	x		
JPM Tax Free Bond Fd - Sel JP Morgan Chase Bank	X		8/15/2011	x		
Gateway Fund - Y						
Eaton Vance Mutual Funds TR FLT RT CL I	X		8/15/2011	x		
DB BREN BRENT Crude 10/17/12 Lndk to C01	X		10/7/2011	x		
JPM EM Markets Equity Fd- Sel JP Morgan Chase Bank	X		10/12/2011	x		
Primecap Odyssey Funds Odyssey Stk Fd	X		10/12/2011	x		
SPDR S&P 500 ETF Trust	X		10/12/2011	x		
MFS Int'l Value - I	X		10/12/2011	x		
HSBC BREN Spx 11/02/12 10% Buffer-2 XLEV 8.65%	X		10/12/2011	x		
DB BREN EEM 10/31/12 10% Buffer- XLEV	X		10/14/2011	x		
Barc GSCI Oil Part CPN Note 02/14/12	X		10/14/2011	x		
JPM US Large Cap Core Plus FD- Sel JP Morgan Chase Bank	X		10/14/2011	x		
Dodge & Cox Intl Stock Fd	X		10/12/2011	x		
Vanguard MSCI Eqty Mkts	X		10/12/2011	x		
JPM Tax Free Bond Fd - Sel JP Morgan Chase Bank	X		10/14/2011	x		
Manning & Napier Equity Series	X		10/18/2011	x		
Artisan Int'l Value Fd - INV	X		10/18/2011	x		
JPM EM Markets Equity Fd- Sel JP Morgan Chase Bank	X		12/6/2011	x		
Nuveen NWQ Value Opportunities	X		12/18/2011	x		

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Part V			
Gifts			
Name of Income Source	Address (City, State)	Dates and Brief Description	Gift Value

Part VIII.					
Positions Held Outside U.S. Government					
Name of Organization	Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Collis Warner Foundation, Inc.	Alexandria, VA	Non-Profit Organization	Board of Directors	Jan-97	Present

Footnotes to Mark R. Warner's Senate Personal Financial Disclosure Form

Where market value statements were available, the reportable value of each interest was determined by applying the ownership percentage of the reporting individual to the total value of the capital account reserved for partners or members. Where market value statements were not available, the ending capital balance for the reporting individual was used to value the interest.

Where an asset held other (lower-tier) assets, the character of each lower-tier asset (to the extent such information is provided by the directly-held asset) is listed in Part IIIB. The value of, and income received from, the directly-held asset is generally reported on an aggregated basis.

¹For proprietary and confidentiality purposes, these entities permit only the information listed to be disclosed.

²These entities do not have any underlying holdings.