# UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT
# FOR ANNUAL AND TERMINATION REPORTS

| Last Name | First Name and Middle Initial | Annual Report<br>Calendar Year Covered by Report: | Senate Office / Agency in Which Employed |
|---|---|---|---|
| Warner | Mark R. | 2015 | United States Senate |
| **Senate Office Address (Number, Street, City, State, and ZIP Code)** | **Senate Office Telephone Number** *(Include Area Code)* | **Termination Report**<br>**Termination Date (mm/dd/yy):** | **Prior Office / Agency in Which Employed** |
| Russell Senate Office Building,<br>Washington, DC 20510 | 202-224-1813 | | |

## AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

| | YES | NO |
|---|---|---|
| Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?<br>If Yes, Complete and Attach PART I. | | X |
| Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than $200 from any reportable source in the reporting period?<br>If Yes, Complete and Attach PART II. | | X |
| Did you, your spouse, or dependent child hold any reportable asset worth more than $1,000 at the end of the period, or receive unearned or investment income of more than $200 in the reporting period?<br>If Yes, Complete & Attach PART IIIA and/or IIIB. | X | |
| Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period?<br>If Yes, Complete and Attach PART IV. | X | |
| Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)?<br>If Yes, Complete and Attach PART V. | | X |
| Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than $335 from one source)?<br>If Yes, Complete and Attach PART VI. | X | |
| Did you, your spouse, or dependent child have any reportable liability (more than $10,000) during the reporting period?<br>If Yes, Complete and Attach PART VII. | | X |
| Did you hold any reportable positions on or before the date of filing in the current calendar year?<br>If Yes, Complete and Attach PART VIII. | X | |
| Do you have any reportable agreement or arrangement with an outside entity?<br>If Yes, Complete and Attach PART IX. | | X |
| If this is your FIRST Report: Did you receive compensation of more than $5,000 from a single source in the two prior years?<br>If Yes, Complete and Attach PART X. | | |

**Each question must be answered and the appropriate PART attached for each "YES" response.**

**File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. $200 Penalty for filing more than 30 days after due date.**

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 4, § 104, and 18 U.S.C. § 1001.)

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| Certification | Signature of Reporting Individual | Date (Month, Day, Year) |
|---|---|---|
| *I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.* | Mark R. Warner | 08/12/2016 |

**For Official Use Only - Do Not Write Below This Line**

| | Signature of Reviewing Official | Date (Month, Day, Year) |
|---|---|---|
| *It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.* | | |

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| Part II | | | |
|---|---|---|---|
| Earned and Non-Investment Income | | | |
| | | | |
| Name of Income Source | Address (City, State) | Type of Income | Amount |
| | | | - |

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Part IIIA
Publicly Traded Assets & Unearned Income Sources

| Identity of Publicly Traded Assets And Unearned Income Sources | Valuation of Assets | | | | | | | | | | | | Type and Amount of Income | | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | | | Type of Income | | | | | | | | Amount of Income | | | | | | | | | | | |
| | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 |
| Individual Retirement Account | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Artisan International Fund | | | | | | | | | X | | | | | | | | X | | | | X | | | | | | | | | | | |
| Personal | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JPMorgan-Checking/ATM Account | | | | | | X | | | | | | | | | X | | | | | | X | | | | | | | | | | | |
| MRW Home, LLC - JPMorgan - Checking Account | | | X | | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | |
| MRW Office, LLC - JPMorgan - Checking Account | | X | | | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | |
| JPMorgan-MMIA Savings | | X | | | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | |
| THE MRW BLIND TRUST | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JPM Strategic Income Opportunities Fund | | | | | | | | | X | | | | | | | | X | | | | | | | | | X | | | | | | |
| JPM Strategic Income Opportunities Fund | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | X | | | | |
| Coho Relative Value Equity Instl | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | X | | | | |
| [6]Charter Communications Inc. | | | | | | | | | X | | | | | | | | | | | | X | | | | | | | | | | | |
| [9]Zayo Group Holdings, Inc. | | | | | | | X | | | | | | | | | | | | | | X | | | | | | | | | | | |
| SPDR S&P 500 ETF Trust | | | | | | | | | X | | | | | | | | X | | | | | | | | | | X | | | | | |
| Blackrock Equity Dividend Fund Inst | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | X | | |
| FPA International Value Fund | | | | | | | | | X | | | | | | | | X | | | | | | | | | | X | | | | | |
| Federated Muni Ultra Short | | | | | | | | | X | | | | | | | | X | | | | | | X | | | | | | | | | |
| First Eagle Overseas FD Class I | | | | | | | | | X | | | | | | | | X | | | | | | | | | X | | | | | | |
| Oppenheimer Developing Markets | | | | | | | | | X | | | | | | | | X | | | | | | | | | X | | | | | | |
| Akre Focus Fund Institutional | | | | | | | | | X | | | | | | | | X | | | | X | | | | | | | | | | | |
| Sands Capital Global Growth Fund | | | | | | | | | X | | | | | | | | X | | | | X | | | | | | | | | | | |
| Artisan International Fund | | | | | | | | | X | | | | | | | | X | | | | | | | | X | | | | | | | |
| Goldman Sachs Strategic Income | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | X | | | |
| Vanguard Limited Tax-Exempt Fund | | | | | | | | | X | | | | | | | | X | | | | X | | | | | | | | | | | |
| Wisdomtree TR Japan Hedged Equity Fund | X | | | | | | | | | | | | | | | | X | | | | | | | | | | X | | | | | |
| Wisdomtree Trust Japan Smallcap Hedged Equity | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | | X | | | |

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Part IIIA
Publicly Traded Assets & Unearned Income Sources

| Identity of Publicly Traded Assets And Unearned Income Sources | Valuation of Assets | | | | | | | | | | | | Type and Amount of Income | | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | | | Type of Income | | | | | | | | Amount of Income | | | | | | | | | | | |
| | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 |
| Wisdomtree Europe Hedged Equity Fund | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | X | | | |
| Angel Oak Multi Strategy Income Fund | | | | | | | | | X | | | | | | | | X | | | | | | | | | | X | | | | |
| Artisan International Small Cap | | | | | | | | | X | | | | | | | | X | | | | | | | | | X | | | | | |
| Center Coast MLP & Infrastructure Fund | | | | | | | X | | | | | | | | | | X | | | | | | | | | | X | | | | |
| Henderson European Focus | | | | | | | | | X | | | | | | | | X | | | | | | | | | X | | | | | |
| TCW Emerging Markets | | | | | | | | | X | | | | | | | | X | | | | | | | | | | X | | | | |
| Templeton Global Bond Fund | | | | | | | | | X | | | | | | | | X | | | | | | | | | X | | | | | |
| DB AB Inv Cash Trust Treasury Instl | | | X | | | | | | | | | | * | | X | | | | | | X | | | | | | | | | | |
| Doubleline Total Return | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | X | | | |
| DB AB Cash Reserve Prime Series Money Market Fund | | | | | | | | | | X | | | | | | | X | | | | | | X | | | | | | | | |
| United States Treasury Bills 0.0%; 3/19/15 | X | | | | | | | | | | | | | | X | | | | | | | X | | | | | | | | | |
| JPMorgan Prime Money Market Fund - Non-USD | X | | | | | | | | | | | | | | | | X | | | | | | | | X | | | | | | |
| JPMorgan Tax Free Resv Sweep Fund | | | | | | | | | X | | | | | | | | X | | | | | X | | | | | | | | | |
| Premier Bank, Inc. Certificate of Deposit (f/k/a Consolidated Bank & Trust) | | | | | X | | | | | | | | | | X | | | | | | | X | | | | | | | | | |
| United Bank Certificate of Deposit (f/k/a Virginia Commerce Bank) | | | | | X | | | | | | | | | | X | | | | | | | X | | | | | | | | | |
| Burke & Herbert Certificate of Deposit | | | | | X | | | | | | | | | | X | | | | | | | X | | | | | | | | | |
| **MRW Biotech Investors, LLC** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| [2]Ziopharm Oncology Inc. | | | | | | X | | | | | | | | | | | | | | | X | | | | | | | | | | |
| **MGE, LLC** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Deutsche Tax-Free Money Fund | | | | | X | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| Doubleline Total Return Bond Fund Class I | X | | | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |
| Akre Focus Fund International | | | | X | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| FPA International Value Fund | | | X | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| Matthews Asia Growth & Income | | | | X | | | | | | | | | | | | | X | | | | | | | X | | | | | | | |
| Vulcan Value Partners Fund | | | | X | | | | | | | | | | | | | X | | | | | | | X | | | | | | | |
| **LISA COLLIS (SPOUSE)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JPMorgan Checking | | X | | | | | | | | | | | | | X | | | | | | X | | | | | | | | | | |

Part IIIA

Publicly Traded Assets & Unearned Income Sources

| Identity of Publicly Traded Assets And Unearned Income Sources | Valuation of Assets | | | | | | | | | | | | Type and Amount of Income | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | | | Type of Income | | | | | | | | Amount of Income | | | | | | | | | | |
| | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000** | $1,000,001 - $5,000,000 | Over $5,000,000 |
| JPMorgan Checking | | | X | | | | | | | | | | | | X | | | | | | X | | | | | | | | | | |
| **Individual Retirement Account** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Artisan International Fund | | | X | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| **THE LDC BLIND TRUST (S Trust)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| DB AB Inv Cash Trst Treasury Instl | | | | | | | X | | | | | | X | | | | | | | | X | | | | | | | | | | |
| United Bank Certificate of Deposit (f/k/a Virginia Commerce Bank) | X | | | | | | | | | | | | | | X | | | | | | | X | | | | | | | | | |
| Premier Bank, Inc. Certificate of Deposit (f/k/a Consolidated Bank & Trust) | | | | | X | | | | | | | | | | X | | | | | | | X | | | | | | | | | |
| Blackrock Equity Dividend Fund Inst | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | X | | | |
| Vulcan Value Partners Fund | | | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | |
| Artisan International Fund | | | | | | X | | | | | | | | | | | X | | | | | | X | | | | | | | | |
| Akre Focus Fund International | | | | | X | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| **MGE, LLC** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Deutsche Tax-Free Money Fund | | | | | X | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| Doubleline Total Return Bond Fund Class I | X | | | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |
| Akre Focus Fund International | | | | X | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| FPA International Value Fund | | | X | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| Matthews Asia Growth & Income | | | | X | | | | | | | | | | | | | X | | | | | | | X | | | | | | | |
| Vulcan Value Partners Fund | | | | X | | | | | | | | | | | | | X | | | | | | | X | | | | | | | |
| **DEPENDENT CHILDREN ASSETS: IRREVOCABLE TRUSTS** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JP Morgan Tax Free Money Market Premier Fund | | | X | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| JPMorgan Tax Free Bond Fund Select Share Class Fund | | | X | | | | | | | | | | | | | | X | | | | | | X | | | | | | | | |
| JPMorgan Short Int Muni Bond Fund - INSTL | | | X | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| JPMorgan Mid Cap Value Fund | | X | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| Ishares Russell Midcap Growth Index Fund | | | X | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |
| JPMorgan US Large Cap Core Plus Fund Select | | | X | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| JPM Strategic Income Opportunities Fund | | | X | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |
| SPDR S&P 500 ETF Trust | | | | X | | | | | | | | | | | | | X | | | | | | X | | | | | | | | |
| Artisan International Value Fund- Inv | | | X | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |

Part IIA

Publicly Traded Assets & Unearned Income Sources

| Identity of Publicly Traded Assets And Unearned Income Sources | Valuation of Assets | | | | | | | | | | | | Type and Amount of Income | | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | | | Type of Income | | | | | | | | Amount of Income | | | | | | | | | | | |
| | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000** | $1,000,001 - $5,000,000 | Over $5,000,000 |
| Dodge & Cox International Stock | | | X | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |
| JPMorgan TR I - Tax Aware Real Return Fund Select Share Class Fund | | X | | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |
| JPM Large Cap Growth Fund - Select | | X | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| JPM Value Advantage FD - Instl | | X | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| Doubleline Total Return Bond-I | | | X | | | | | | | | | | | | | | X | | | | | | X | | | | | | | | |
| Ishares MSCI EAFE Index Fund | | | X | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |
| Brown Adv Japan Alpha Opp-IS | | X | | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |
| Vanguard FTSE Europe ETF | | X | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| JPM Global Res ENH Index Fund - Sel | | | X | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |
| Deutsche X-Trackers MSCI EAF | | X | | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |
| Blackrock High Yield PT-BLAC | | X | | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |
| DEPENDENT CHILDREN ASSETS: 1999 TRUST | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JP Morgan Tax Free Money Market Premier Class Sweep Fund | | | | | X | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| JPMorgan Tax Free Bond Fund | | | | | X | | | | | | | | | | | | X | | | | | | | | X | | | | | | |
| JPMorgan TR I - Tax Aware Real Return Fund Select Share Class Fund | | | X | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |
| Ishares Russell Midcap Growth Index Fund | | | | X | | | | | | | | | | | | | X | | | | | | X | | | | | | | | |
| Gateway Fund- Y | | | X | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| Goldman Sachs TR | | | | X | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| Gotham Absolute Return Fund (FundAdvantage) | | | X | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| Harbor High Yield Bond Fund- INS | | | X | | | | | | | | | | | | | | X | | | | | | X | | | | | | | | |
| The Arbitrage Funds-I CL I | | | X | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| Eaton Vance Mut FDS TR Global Macro Absolute Return I | | | X | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| JPM Large Cap Growth Fd - Sel | | | X | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| Primecap Odyssey Funds | | | | | X | | | | | | | | | | | | X | | | | | | X | | | | | | | | |
| SPDR S&P 500 ETF Trust | | | | | X | | | | | | | | | | | | X | | | | | | | X | | | | | | | |
| Artisan Intl Value Fund - INV | | | X | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |

Part IIIA 00000000001673

Publicly Traded Assets & Unearned Income Sources

| Identity of Publicly Traded Assets And Unearned Income Sources | Valuation of Assets | | | | | | | | | | | | Type and Amount of Income | | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | | | Type of Income | | | | | | | | Amount of Income | | | | | | | | | | | |
| | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000** | $1,000,001 - $5,000,000 | Over $5,000,000 |
| MFS Intl Value - I | | | | X | | | | | | | | | | | | | X | | | | | X | | | | | | | | | | |
| Brown Adv Japan Alpha Opp-IS | | | X | | | | | | | | | | | | | | X | | | | | | X | | | | | | | | | |
| JPM Equity Income Fund - Sel | | | X | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | | |
| Doubleline FDS TR | | | | X | | | | | | | | | | | | | X | | | | | | | X | | | | | | | | |
| JPM Short Duration Bond FD- Sel | | | X | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | | |
| Ishares MSCI EAFE Index Fund | | | | X | | | | | | | | | | | | | X | | | | | | X | | | | | | | | | |
| JPM Global RES ENH Index Fund - SEL | | | | X | | | | | | | | | | | | | X | | | | | | X | | | | | | | | | |
| BARC EAFE REN MAT 02/18/16 | | | X | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | | |
| Vanguard FTSE Europe ETF | | | X | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | | |
| Equinox FDS TR | | | X | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | | |
| JPM Unconstrained Debt FD - Select | | | | X | | | | | | | | | | | | | X | | | | | | | X | | | | | | | | |
| MGE, LLC | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Deutsche Tax-Free Money Fund | | | | | | X | | | | | | | | | | | X | | | | X | | | | | | | | | | | |
| Doubleline Total Return Bond Fund Class I | X | | | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | | |
| Akre Focus Fund International | | | | | X | | | | | | | | | | | | X | | | | X | | | | | | | | | | | |
| FPA International Value Fund | | | | X | | | | | | | | | | | | | X | | | | X | | | | | | | | | | | |
| Matthews Asia Growth & Income | | | | | X | | | | | | | | | | | | X | | | | | | | | X | | | | | | | |
| Vulcan Value Partners Fund | | | | | X | | | | | | | | | | | | X | | | | | | | | X | | | | | | | |

Part IIIB.
Non-Publicly Traded Assets & Unearned Income Sources

| Identity of Non-Publicly Traded Assets And Unearned Income Sources | Valuation of Assets | | | | | | | | | | | | Type and Amount of Income | | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | | | Type of Income | | | | | | | | | Amount of Income | | | | | | | | | | |
| | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000** | $1,000,001 - $5,000,000 | Over $5,000,000 |
| THE MRW BLIND TRUST | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Loan: Murray M. Bonitt, Alexandria, VA | | | | X | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | |
| [7]Steel Partners II, L.P., New York, NY, Investment fund | X | | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | | |
| Hound Partners 3(c)7 LP, New York, NY, Investment fund | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | | X | | |
| Apollo Value Investment Fund, L.P, New York, NY | | | | | X | | | | | | | | | | | | X | | | | X | | | | | | | | | | | |
| Apollo VII Private Investors LLC, New York, NY, Investment Fund | | | | | | | X | | | | | | | | | | X | | | | | | | | | | | X | | | | |
| Apollo VIII Private Investors LLC, New York, NY, Investment Fund | | | | | | | X | | | | | | | | | | X | | | | | | | | | X | | | | | | |
| Apollo EPF Private Investors, LLC, New York, NY, Investment Fund | | | | | X | | | | | | | | | | | | X | | | | X | | | | | | | | | | | |
| AESSF II Private Investors LLC, New York, NY, Investment Fund | | | | | | | | | X | | | | | | | | X | | | | | | | | | X | | | | | | |
| Coatue Qualified Partners LP Cl D, New York, NY Investment Fund | | | | | | | | | X | | | | | | | | X | | | | | | | | | | X | | | | | |
| Capital Cellular Corporation, Alexandria, VA, Investment company | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | X | | | | |
| MSA Investments, LP, Alexandria, VA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Topeka KS, LP - Topeka, KS, Investment company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Minority interest in Topeka, KS RSA Cellular License | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Petersburg, WV, LP - Little Rock, AR, WV, Investment company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Minority interest in Petersburg, WV RSA Cellular License | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | |
| [1]Columbia Capital III, LLC, Alexandria, VA, Management Company | | X | | | | | | | | | | | X | | X | X | | | | | | X | | | | | | | | | | |
| [7]Columbia Capital Investors, L.L.C., Alexandria, VA, Investment company | X | | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | | |
| Columbia Capital Investors III, LLC, Alexandria, VA, Investment company | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | X | | | | |
| Columbia Capital Equity Partners IV, L.P. , Alexandria, VA, Investment company | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | X | | | | |
| Columbia Capital Equity Partners V, L.P. , Alexandria, VA, Investment company | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | | X | | |
| Columbia Capital Equity Partners VI, L.P. , Alexandria, VA, Investment company | | | | | X | | | | | | | | | | | | X | | | | X | | | | | | | | | | | |
| [7]Capital Investors II, LLC, Arlington, VA, Investment company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Lucid Media, McLean, VA, Advertising platform/software | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| Envest III LLC, Virginia Beach, VA, Investment Company | | | | | | | X | | | | | | | | | | X | | | | | | | | | | | X | | | | |
| Novus Energy Partners US LP, Alexandria, VA, Investment Company | | | | | | | X | | | | | | | | | | X | | | | X | | | | | | | | | | | |
| Novus Special Situations LLC, Alexandria, VA, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Norwegian Crystals AS, Oslo, Norway, Manufacturing of solar equipment | | | | | | | X | | | | | | | | X | | | | | | | X | | | | | | | | | | |
| Tucker Anthony Private Equity Fund III, L.P., Boston, MA, Investment Company | | | | | | | X | | | | | | | | | | X | | | | X | | | | | | | | | | | |
| Updata Partners III L.P, Reston, VA, Investment Company | | | | | | | X | | | | | | | | | | X | | | | | | | | | X | | | | | | |
| Updata Partners IV L.P., Reston, VA, Investment Company | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | X | | | | |
| Alexandria NBIS Investors, LLC, Alexandria, VA, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SunTX NBIS Holdings, L.P., Dallas, TX | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| NationsBuilders Insurance Services, Inc., Atlanta, Ga, Insurance Co. | | | | | | | | | X | | | | X | | | | | | | | X | | | | | | | | | | | |

Part IIIB.
Non-Publicly Traded Assets & Unearned Income Sources

| Identity of Non-Publicly Traded Assets And Unearned Income Sources | Valuation of Assets | | | | | | | | | | | | Type and Amount of Income: Type of Income | | | | | | | | | Amount of Income | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other | | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000** | $1,000,001 - $5,000,000 | Over $5,000,000 |
| MRW Biotech Investors , LLC, Alexandria, VA Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| New River Management V, Radford, VA, Biotechnology | | | | | | | X | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| Halozyme Therapeutics, Inc., San Diego, CA, Biotechnology | | | | | | X | | | | | | | X | | | | | | | | | | | | | X | | | | | | | |
| Intrexon Corporation, Blacksburg, VA, Biotechnology | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| New River Management VI, Radford, VA, Biotechnology | | | | | X | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | |
| Intrexon Corporation, Blacksburg, VA, Biotechnology | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Trilliant Networks, Inc., Redwood City, CA, Intelligent Network Solutions Company | | | | | | | X | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| DubmeNow, Inc., Vienna, VA, Software Management | | | | | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | |
| Mid-Ocean III Private Investors LLC, New York, NY, Investment Company | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | X | | | | |
| CCMP Capital Investors II, LLC, New York, NY, Investment Company | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | X | | | | |
| AP Europe VII, LP, New York, NY, Investment Company | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | X | | | | |
| [7]Bear Creek Investors LLC, Alexandria, VA, Investment Fund | | | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| Charter Communications Inc., St. Louis, MO, Telecommunications | X | | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| Millennial Media, Baltimore, MD, Mobile Advertising company | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| [7]Definitive Guardian Partners, LP, Radnor, PA, Investment Fund | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | X | | | | | |
| Alexandria RC Investors LLC, Alexandria, VA, Investment Fund | | | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| RideCharge Inc., Alexandria, VA, Software company (Riderway Inc.) | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| [7]Mphoria Holdings, Inc., Huntersville, NC, Investment Fund | | | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| Sweet Relish, Huntersville, NC, Social Commerce Platform | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| TZG-Sachs Empire LLC, Rockville, MD, Investment Fund | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | X | | | |
| EMSG LLC, Rockville, MD, Secured Debt | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | |
| WDE Partners, L.P., New York, NY, Investment Fund | | | | | | | | | | | | | | | | X | | | | X | | | | | | | | X | | | | | |
| [8]West Deptford Energy Holdings, LLC, New York, NY, Energy company | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Golden Mean Investment Fund, LP, Alexandria, VA, Investment Company | | | | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | | | |
| Tucker Anthony Private Equity Fund II, L.P., Boston, MA, Investment Company | | X | | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | | | |
| Tucker Anthony Private Equity Fund III, L.P., Boston, MA, Investment Company | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SunTx Fulcrum Fund, L.P., Dallas, TX, Investment company | | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| Park Group, Inc., Dallas, TX, Insurance company | | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| Interface Security Holdings, Inc., Earth City, MO,Security systems company | | | | | | | X | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| Construction Partners, Inc., Dothan, AL, Construction company (dba SunTx CPI Growth Company, Inc.) | | | | | | | | | X | | | | | | X | X | | | | | | | | | | X | | | | | | | |
| SunTx Interface, LP, Dallas, TX, Investment company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Interface Security Holdings, Inc., Earth City, MO,Security systems company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SunTx NBIS Holdings, LP, Dallas, TX, Investment Company | | | | | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| NationsBuilders Insurance Services, Inc., Atlanta, GA Insurance Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SunTx Fulcrum Fund II-SBIC, LP, Dallas, TX, Investment company | | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| Nouveau Eyewear, Inc., Dallas, TX Eyewear (dba The Walman Optical Company) | | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| Base4 Group, Dallas, TX, Wholesale company | | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| CBI Laboratories, Inc., Ft. Worth, TX Beauty and Skincare products | | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| Interface Security Holdings, Inc., Earth City, MO, Security systems company | | | | | X | | | | | | | | | | | | X | | | | | X | | | | | | | | | | | |
| London Broadcasting Company, Dallas, TX, Broadcasting | | | | | | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | |
| JC Flowers II, LP, New York, NY, Investment Company | | | X | | | | | | | | | | | | | | X | | | | | | | X | | | | | | | | | |
| SLTT Energy, Inc., Leesburg, VA, Propane delivery company | X | | | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | | | |
| Kinderhook Partners, LP, Fort Lee, NJ, Investment company | | | | | | | X | | | | | | | | | | X | | | | | | | | | | | | | | | | |
| JBG/Fund VI Qualified Investor, LLC, Chevy Chase, MD, Real Estate Fund | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | | X | | | |
| JBG/Fund VIII Qualified Investor, LLC, Chevy Chase, MD, Real Estate Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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**Part IIIB.**
**Non-Publicly Traded Assets & Unearned Income Sources**

| Identity of Non-Publicly Traded Assets And Unearned Income Sources | Valuation of Assets | | | | | | | | | | | | Type and Amount of Income | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | | | Type of Income | | | | | | | | | Amount of Income | | | | | | | | | |
| | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000** | $1,000,001 - $5,000,000 | Over $5,000,000 |
| Echo Bridge Realty Fund I, L.P., Wellesley Hills, MA, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Hawthorne EB North Rivers, LLC, North Charleston, SC, Real Estate | | | | | | | X | | | | | | | | | | | | | | X | | | | | | | | | | |
| The Gardens at Maplewood, LLC, Maplewood, NJ, Real Estate | | | | | | | X | | | | | | | | | | | | | | X | | | | | | | | | | |
| EB/GP3 Five Omni Way Holdings, LLC, Chelmsford, MA, Real Estate | | | | | | | | | X | | | | | | | | | | | | X | | | | | | | | | | |
| Quadbridge HML Venture, LLC, Charlotte, NC, Real Estate | | | | | | | X | | | | | | | | | | | | | | X | | | | | | | | | | |
| Wilton GSE LLC, Wilton, CT, Real Estate | | | | | | X | | | | | | | | | | | | | | | X | | | | | | | | | | |
| MSR-EB Waterfront Venture LLC, Charleston, SC, Real Estate (The Pointe at Stono Shores) | | | | | X | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| HCEB Ballantyne, LLC, Englewood, CO, Real Estate | | | | | X | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| EB 480 Sprague, LLC, Dedham, MA, Real Estate | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | |
| Edge Park & Coast Coinvest, LP, New York, NY, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Park & Coast, LLC, Brooklyn, NY, Real Estate | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | | X | | |
| Edge Principal Investments II, LP, New York, NY, Investment Company | | | | | | | | | X | | | | | | | | X | | | | | | | | | | X | | | | |
| Edge Principal Investments III, LP, New York, NY, Investment Company | | | | | | | | | X | | | | | | | | X | | | | | | | | | X | | | | | |
| Riverstone Global Energy and Power Private Investors V, LLC, New York, NY, Investment Company | | | | | | | | | X | | | | | | | | X | | | | X | | | | | | | | | | |
| Lascaux Resource Capital Fund I L.P., New York, NY, Investment Company | | | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | |
| [7]RCH Energy Long Alpha Fund, LP, Dallas Texas, Investment Company | X | | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| Glenview Capital Opportunity Fund, LP, New York, NY, Investment Company | | | | | | | X | | | | | | | | | | X | | | | | | | | | X | | | | | |
| Glenview Institutional Partners, LP, New York, NY, Investment Company | | | | | | | | | X | | | | | | | | X | | | | X | | | | | | | | | | |
| NWI Emerging Market Fixed Income, LP, New York, NY, Investment Company | | | | | | | | | X | | | | | | | | X | | | | | | | | | | X | | | | |
| OHD Partners , LLC, Alexandria, VA, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Residential Real Estate, Alexandria, VA, Real Estate | | | | | | | | | X | | | | | | | | | | | | X | | | | | | | | | | |
| [7]Tekne Partners LP, New York, NY, Investment Company | X | | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| New Amsterdam BC Investors LLC, Telluride, CO, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| BetterCloud, New York, NY, Cloud Storage Company | | | | | | X | | | | | | | | | | | | | | | X | | | | | | | | | | |
| [5]Sachs Capital - Empire B LLC, Potomac MD, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Empire Petroleum Partners LLC, Gaithersburg, MD, Energy Company | | | | | | X | | | | | | | | | | | | | X | | | | | | | X | | | | | |
| Apollo Total Return Fund (Onshore) LP, New York, NY, Investment Company | | | | | | | X | | | | | | | | | | X | | | | X | | | | | | | | | | |
| GCM Equity Partners LP, New York, NY, Investment Company | | | | | | | | | X | | | | | | | | X | | | | | | | | X | | | | | | |
| Hound Partners Concentrated Fund, LP, New York, NY, Investment Company | | | | | | | X | | | | | | | | | | X | | | | | | | | X | | | | | | |
| Ascenty Investors III LLC, New York, NY, Investment Company | | | | | | | X | | | | | | | | | | X | | | | | X | | | | | | | | | |
| [4]Harvest Intrexon Enterprise Fund I, L.P., San Francisco, CA, Investment Company | | | | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Sachs Capital Fund II, LLC, Potomac MD, Investment Company | | | X | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| MGE, LLC, Alexandria, VA, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Columbia Capital Investors III, Alexandria, VA, Investment Company | | | | X | | | | | | | | | | | | | X | | | | | | | | | X | | | | | |
| NeuroVentures Fund LP, Charlottesville, VA, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Acumen Pharmaceuticals, Inc., South San Francisco, CA, Drug discovery company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| SpineWave, Inc., Shelton, CT, Therapy Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Mevion Medical System (Still River Systems, Inc), Littleton MA , Therapy Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| [1]NeuroVentures Capital LLC, Charlottesville, VA, Investment Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |

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**Part IIIB.**
**Non-Publicly Traded Assets & Unearned Income Sources**

| Identity of Non-Publicly Traded Assets And Unearned Income Sources | Valuation of Assets | | | | | | | | | | | | Type and Amount of Income | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | | | Type of Income | | | | | | | | Amount of Income | | | | | | | | | | |
| | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000** | $1,000,001 - $5,000,000 | Over $5,000,000 |
| Point Judith Venture Fund LP, Providence, RI, Investment company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| MedOptions, Inc., Old Saybrook, CT, Medical Care services | X | | | | | | | | | | | | | | | X | | | | | | | | | | X | | | | | |
| Narragansett Brewing Company, Providence, RI, Brewer | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| [5]Spirus Medical, Inc., Bridgewater, MA, Medical devices | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Alexandria NBIS Investors LLC, Alexandria, VA, Investment company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SunTX NBIS Holdings, L.P., Dallas, TX, Investment company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| NationsBuilders Insurance Services, Inc., Atlanta, GA , Insurance | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Point Judith Venture Fund II LP, Providence, RI, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Accela, Inc., San Ramon, CA, Software | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| FSA Store, Inc., New York, NY, Internet company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Nexamp, Inc., North Andover, MA, Clean Energy Solutions company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| OmniEarth, Inc., Arlington, VA, Software | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Tesora Corporation., Boston, MA, Internet company (f/k/a ParElastic) | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Pulse Point Inc., New York, NY, Internet company | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Retroficiency Inc., Boston, MA, Clean Technology company | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Sittercity, Inc., Chicago, IL, Internet company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Spotlight Ticket Management, Woodland Hills, CA, Ticket Management | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| [7]RideCharge, Inc. Alexandria, VA, Software company (Riderway, Inc.) | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Point Judith Venture Fund III LP, Providence, RI, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Evergage, Inc., Somerville, MA, Software Company (fka Apptegic, Inc.) | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Expensify, Inc., San Francisco, CA, Software Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Kitsy Lane, Inc., Boston, MA, Internet based social selling platform | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Pixability, Inc., Boston, MA, Advertising & Marketing | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Powerhouse Dynamics, Inc., Newton, MA, Software Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| AetherPal, Inc., South Plainfield, NJ, Software Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Coachup, Inc., Boston, MA, Internet Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Curoverse, Inc., Boston, MA, Software Company (fka Clinical Future, Inc.) | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Vee24, Inc., Boston, MA, Software Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| AppCast, Inc., Lebanon, NH, Software Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| GroupZoom, Inc., Waltham, MA, Internet Company (Also known as ZoomandGo) | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| TruMaker, Inc., San Francisco, CA, Internet Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Glenview Institutional Partners, LP, New York, NY, Investment Company | | | | | X | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| [7]Tekne Partners, L.P., New York, NY, Investment Company | X | | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| [7]RCH Energy Long Alpha Fund, LP, Dallas, TX, Investment Company | X | | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| Hound Partners Concentrated Fund, LP, New York, NY, Investment Company | | | | X | | | | | | | | | | | | | X | | | | | | | X | | | | | | | |
| **Lisa D. Collis** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 911 King Street Associates LLC, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Majestic Cafe, LLC Alexandria, VA, Restaurant | | | | | | X | | | | | | | | | | | | | | | X | | | | | | | | | | |
| **THE LDC BLIND TRUST (S Trust)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| MGE, LLC, Alexandria, VA, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Columbia Capital Investors III, Alexandria, VA, Investment Company | | | | X | | | | | | | | | | | | | X | | | | | | | | | X | | | | | |
| NeuroVentures Fund LP, Charlottesville, VA, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Acumen Pharmaceuticals, Inc., South San Francisco, CA, Drug discovery company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| SpineWave, Inc., Shelton, CT, Therapy Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Mevion Medical System (Still River Systems, Inc), Littleton MA , Therapy Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| [1]NeuroVentures Capital LLC, Charlottesville, VA, Investment Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |

Part IIIB. 0000000001078

Non-Publicly Traded Assets & Unearned Income Sources

| Identity of Non-Publicly Traded Assets And Unearned Income Sources | Valuation of Assets | | | | | | | | | | | | Type and Amount of Income | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | | | Type of Income | | | | | | | | Amount of Income | | | | | | | | | |
| | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000** | $1,000,001 - $5,000,000 | Over $5,000,000 |
| Point Judith Venture Fund LP, Providence, RI, Investment company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| MedOptions, Inc., Old Saybrook, CT, Medical Care services | X | | | | | | | | | | | | | | | X | | | | | | | | | | X | | | | |
| Narragansett Brewing Company, Providence, RI, Brewer | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| [5]Spirus Medical, Inc., Bridgewater, MA, Medical devices | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Alexandria NBIS Investors LLC, Alexandria, VA, Investment company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SunTX NBIS Holdings, L.P., Dallas, TX, Investment company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| NationsBuilders Insurance Services, Inc., Atlanta, GA , Insurance | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Point Judith Venture Fund II LP, Providence, RI, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Accela, Inc., San Ramon, CA, Software | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| FSA Store, Inc., New York, NY, Internet company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Nexamp, Inc., North Andover, MA, Clean Energy Solutions company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| OmniEarth, Inc., Arlington, VA, Software | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Tesora Corporation., Boston, MA, Internet company (f/k/a ParElastic) | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Pulse Point Inc., New York, NY, Internet company | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Retroficiency Inc., Boston, MA, Clean Technology company | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Sittercity, Inc., Chicago, IL, Internet company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Spotlight Ticket Management, Woodland Hills, CA, Ticket Management | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| [7]RideCharge, Inc. Alexandria, VA, Software company (Riderway, Inc.) | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Point Judith Venture Fund III LP, Providence, RI, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Evergage, Inc., Somerville, MA, Software Company (fka Apptegic, Inc.) | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Expensify, Inc., San Francisco, CA, Software Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Kitsy Lane, Inc., Boston, MA, Internet based social selling platform | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Pixability, Inc., Boston, MA, Advertising & Marketing | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Powerhouse Dynamics, Inc., Newton, MA, Software Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| AetherPal, Inc., South Plainfield, NJ, Software Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Coachup, Inc., Boston, MA, Internet Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Curoverse, Inc., Boston, MA, Software Company (fka Clinical Future, Inc.) | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Vee24, Inc., Boston, MA, Software Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| AppCast, Inc., Lebanon, NH, Software Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| GroupZoom, Inc., Waltham, MA, Internet Company (Also known as ZoomandGo) | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| TruMaker, Inc., San Francisco, CA, Internet Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Glenview Institutional Partners, LP, New York, NY, Investment Company | | | | | X | | | | | | | | | | | | X | | | | X | | | | | | | | | |
| [7]Tekne Partners, L.P., New York, NY, Investment Company | X | | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | |
| [7]RCH Energy Long Alpha Fund, LP, Dallas, TX, Investment Company | X | | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | |
| Hound Partners Concentrated Fund, LP, New York, NY, Investment Company | | | | X | | | | | | | | | | | | | X | | | | | | | X | | | | | | |
| DEPENDENT CHILDREN ASSETS | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| MGE, LLC, Alexandria, VA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Columbia Capital Investors III, Alexandria, VA, Investment Company | | | | | X | | | | | | | | | | | | X | | | | | | | | | X | | | | |
| NeuroVentures Fund LP, Charlottesville, VA, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Acumen Pharmaceuticals, Inc., South San Francisco, CA, Drug discovery company | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| SpineWave, Inc., Shelton, CT, Therapy Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Mevion Medical System (Still River Systems, Inc), Littleton MA , Therapy Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| [1]NeuroVentures Capital LLC, Charlottesville, VA, Investment Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| Point Judith Venture Fund LP, Providence, RI, Investment company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| MedOptions, Inc., Old Saybrook, CT, Medical Care services | X | | | | | | | | | | | | | | | X | | | | | | | | | | X | | | | |
| Narragansett Brewing Company, Providence, RI, Brewer | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |
| [5]Spirus Medical, Inc., Bridgewater, MA, Medical devices | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | |

## Part IIIB.
## Non-Publicly Traded Assets & Unearned Income Sources

| Identity of Non-Publicly Traded Assets And Unearned Income Sources | Valuation of Assets | | | | | | | | | | | | Type and Amount of Income | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | | | Type of Income | | | | | | | | Amount of Income | | | | | | | | | | |
| | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Dividends | Rent | Interest | Capital Gains | Excepted Investment Fund | Excepted Trust | Qualified Blind Trust | Other | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 |
| Alexandria NBIS Investors LLC, Alexandria, VA, Investment company | | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| SunTX NBIS Holdings, L.P., Dallas, TX, Investment company | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| NationsBuilders Insurance Services, Inc., Atlanta, GA , Insurance | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Point Judith Venture Fund II LP, Providence, RI, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Accela, Inc., San Ramon, CA, Software | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| FSA Store, Inc., New York, NY, Internet company | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Nexamp, Inc., North Andover, MA, Clean Energy Solutions company | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| OmniEarth, Inc., Arlington, VA, Software | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Tesora Corporation., Boston, MA, Internet company (fka ParElastic) | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Pulse Point Inc., New York, NY, Internet company | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Retroficiency Inc., Boston, MA, Clean Technology company | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Sittercity, Inc., Chicago, IL, Internet company | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Spotlight Ticket Management, Woodland Hills, CA, Ticket Management | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| RideCharge, Inc. Alexandria, VA, Software company (Riderway, Inc.) | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Point Judith Venture Fund III LP, Providence, RI, Investment Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Evergage, Inc., Somerville, MA, Software Company (fka Apptegic, Inc.) | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Expensify, Inc., San Francisco, CA, Software Company | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Kitsy Lane, Inc., Boston, MA, Internet based social selling platform | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Pixability, Inc., Boston, MA, Advertising & Marketing | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Powerhouse Dynamics, Inc., Newton, MA, Software Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| AetherPal, Inc., South Plainfield, NJ, Software Company | | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Coachup, Inc., Boston, MA, Internet Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Curoverse, Inc., Boston, MA, Software Company (fka Clinical Future, Inc.) | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Vee24, Inc., Boston, MA, Software Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| AppCast, Inc., Lebanon, NH, Software Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| GroupZoom, Inc., Waltham, MA, Internet Company (Also known as ZoomandGo) | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| TruMaker, Inc., San Francisco, CA, Internet Company | | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | |
| Glenview Institutional Partners, LP, New York, NY, Investment Company | | | | | | X | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| Tekne Partners, L.P., New York, NY, Investment Company | X | | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| RCH Energy Long Alpha Fund, LP, Dallas, TX, Investment Company | X | | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| Hound Partners Concentrated Fund, LP, New York, NY, Investment Company | | | | | X | | | | | | | | | | | | X | | | | | | | | X | | | | | | |
| Mass Mutual Insurance Policy | | | | | | | | | X | | | | | | | | | | | | X | | | | | | | | | | |
| Sun Life Financial Insurance Policy | | | | | | | X | | | | | | | | | | | | | | X | | | | | | | | | | |
| Minnesota Mutual Life Insurance Policy | | | | | | | | | X | | | | | | | | | | | | X | | | | | | | | | | |
| Columbia Partners Business Trust, Alexandria, VA, Investment company | | | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| Columbia Capital Investors, LLC, Alexandria, VA, Investment company | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

## Part IV
## Transactions

| Identification of Assets | Transaction Type | | | Transaction Date | Amount of Transaction | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 |
| **Mark R. Warner** | | | | | | | | | | | | | | | |
| Artisan International Fund | X | | | 11/20/2015 | X | | | | | | | | | | |
| **THE MRW BLIND TRUST** | | | | | | | | | | | | | | | |
| Angel Oak Multi Strategy Income Fund | X | | | 12/31/2015 | | X | | | | | | | | | |
| TCW Emerging Markets | X | | | 12/31/2015 | X | | | | | | | | | | |
| Henderson European Focus | X | | | 12/30/2015 | | X | | | | | | | | | |
| Goldman Sachs Strategic Income | X | | | 12/29/2015 | | | X | | | | | | | | |
| FPA International Value Fund | X | | | 12/22/2015 | | X | | | | | | | | | |
| First Eagle Overseas FD Class I | X | | | 12/21/2015 | X | | | | | | | | | | |
| First Eagle Overseas FD Class I | X | | | 12/21/2015 | X | | | | | | | | | | |
| First Eagle Overseas FD Class I | X | | | 12/21/2015 | X | | | | | | | | | | |
| Templeton Global Bond Fund | X | | | 12/16/2015 | X | | | | | | | | | | |
| Oppenheimer Developing Markets | X | | | 12/3/2015 | | X | | | | | | | | | |
| Angel Oak Multi Strategy Income Fund | X | | | 12/1/2015 | X | | | | | | | | | | |
| Federated Muni Ultra Short | X | | | 12/1/2015 | X | | | | | | | | | | |
| Goldman Sachs Strategic Income | X | | | 12/1/2015 | X | | | | | | | | | | |
| TCW Emerging Markets | X | | | 12/1/2015 | X | | | | | | | | | | |
| Vanguard Limited Tax-Exempt Fund | X | | | 12/1/2015 | X | | | | | | | | | | |
| Artisan International Fund | X | | | 11/20/2015 | X | | | | | | | | | | |
| Artisan International Small Cap | X | | | 11/20/2015 | X | | | | | | | | | | |
| Artisan International Small Cap | X | | | 11/20/2015 | X | | | | | | | | | | |
| Artisan International Small Cap | X | | | 11/20/2015 | | X | | | | | | | | | |
| Templeton Global Bond Fund | X | | | 11/17/2015 | X | | | | | | | | | | |
| TCW Emerging Markets | X | | | 11/3/2015 | X | | | | | | | | | | |
| Angel Oak Multi Strategy Income Fund | X | | | 11/2/2015 | X | | | | | | | | | | |
| Federated Muni Ultra Short | X | | | 11/2/2015 | X | | | | | | | | | | |
| Goldman Sachs Strategic Income | X | | | 11/2/2015 | X | | | | | | | | | | |
| Vanguard Limited Tax-Exempt Fund | X | | | 11/2/2015 | X | | | | | | | | | | |
| Wisdomtree TR Japan Hedged Equity Fund | | X | | 10/22/2015 | | | | | | | | X | | | |
| Wisdomtree Trust Japan Smallcap Hedged Equity | | X | | 10/22/2015 | | | | | | | | X | | | |
| Templeton Global Bond Fund | X | | | 10/16/2015 | X | | | | | | | | | | |
| Angel Oak Multi Strategy Income Fund | X | | | 10/1/2015 | X | | | | | | | | | | |
| Federated Muni Ultra Short | X | | | 10/1/2015 | X | | | | | | | | | | |
| Goldman Sachs Strategic Income | X | | | 10/1/2015 | X | | | | | | | | | | |

## Part IV
## Transactions

| Identification of Assets | Transaction Type | | | Transaction Date | Amount of Transaction | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 |
| TCW Emerging Markets | X | | | 10/1/2015 | X | | | | | | | | | | |
| Vanguard Limited Tax-Exempt Fund | X | | | 10/1/2015 | X | | | | | | | | | | |
| Henderson European Focus | X | | | 9/22/2015 | | | | | | | | X | | | |
| Templeton Global Bond Fund | X | | | 9/16/2015 | X | | | | | | | | | | |
| Angel Oak Multi Strategy Income Fund | X | | | 9/2/2015 | X | | | | | | | | | | |
| Federated Muni Ultra Short | X | | | 9/1/2015 | X | | | | | | | | | | |
| Goldman Sachs Strategic Income | X | | | 9/1/2015 | X | | | | | | | | | | |
| TCW Emerging Markets | X | | | 9/1/2015 | X | | | | | | | | | | |
| Vanguard Limited Tax-Exempt Fund | X | | | 9/1/2015 | X | | | | | | | | | | |
| Templeton Global Bond Fund | X | | | 8/18/2015 | X | | | | | | | | | | |
| Angel Oak Multi Strategy Income Fund | X | | | 8/4/2015 | X | | | | | | | | | | |
| Federated Muni Ultra Short | X | | | 8/3/2015 | X | | | | | | | | | | |
| Goldman Sachs Strategic Income | X | | | 8/3/2015 | X | | | | | | | | | | |
| TCW Emerging Markets | X | | | 8/3/2015 | X | | | | | | | | | | |
| Vanguard Limited Tax-Exempt Fund | X | | | 8/3/2015 | X | | | | | | | | | | |
| Center Coast MLP & Infrastructure Fund | X | | | 7/30/2015 | | | | | | X | | | | | |
| Center Coast MLP & Infrastructure Fund | X | | | 7/29/2015 | | | | | | X | | | | | |
| Center Coast MLP & Infrastructure Fund | X | | | 7/28/2015 | | X | | | | | | | | | |
| Angel Oak Multi Strategy Income Fund | X | | | 7/28/2015 | | | | | | | | X | | | |
| Templeton Global Bond Fund | X | | | 7/16/2015 | X | | | | | | | | | | |
| Henderson European Focus | X | | | 7/13/2015 | | | | | | | | X | | | |
| Wisdomtree Europe Hedged Equity Fund | | X | | 7/6/2015 | | | | | | | | X | | | |
| FPA International Value Fund | X | | | 7/2/2015 | | X | | | | | | | | | |
| Federated Muni Ultra Short | X | | | 7/1/2015 | X | | | | | | | | | | |
| Goldman Sachs Strategic Income | X | | | 7/1/2015 | X | | | | | | | | | | |
| TCW Emerging Markets | X | | | 7/1/2015 | X | | | | | | | | | | |
| Vanguard Limited Tax-Exempt Fund | X | | | 7/1/2015 | X | | | | | | | | | | |
| Templeton Global Bond Fund | X | | | 6/16/2015 | X | | | | | | | | | | |
| Federated Muni Ultra Short | X | | | 6/1/2015 | X | | | | | | | | | | |
| Goldman Sachs Strategic Income | X | | | 6/1/2015 | X | | | | | | | | | | |
| TCW Emerging Markets | X | | | 6/1/2015 | X | | | | | | | | | | |
| Vanguard Limited Tax-Exempt Fund | X | | | 6/1/2015 | X | | | | | | | | | | |
| Templeton Global Bond Fund | X | | | 5/18/2015 | X | | | | | | | | | | |
| Federated Muni Ultra Short | X | | | 5/1/2015 | X | | | | | | | | | | |
| Goldman Sachs Strategic Income | X | | | 5/1/2015 | X | | | | | | | | | | |
| TCW Emerging Markets | X | | | 5/1/2015 | X | | | | | | | | | | |

## Part IV
## Transactions

| Identification of Assets | Transaction Type | | | Transaction Date | Amount of Transaction | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 |
| Vanguard Limited Tax-Exempt Fund | X | | | 5/1/2015 | X | | | | | | | | | | |
| Blackrock Equity Dividend Fund Inst | | X | | 4/30/2015 | | | | | | | | X | | | |
| Blackrock Equity Dividend Fund Inst | X | | | 4/16/2015 | X | | | | | | | | | | |
| Templeton Global Bond Fund | X | | | 4/16/2015 | X | | | | | | | | | | |
| Templeton Global Bond Fund | X | | | 4/6/2015 | | | | | | | | X | | | |
| Federated Muni Ultra Short | X | | | 4/1/2015 | X | | | | | | | | | | |
| Goldman Sachs Strategic Income | X | | | 4/1/2015 | X | | | | | | | | | | |
| TCW Emerging Markets | X | | | 4/1/2015 | X | | | | | | | | | | |
| Vanguard Limited Tax-Exempt Fund | X | | | 4/1/2015 | X | | | | | | | | | | |
| Wisdomtree TR Japan Hedged Equity Fund | X | | | 3/6/2015 | | | | | | X | | | | | |
| Akre Focus Fund Institutional | X | | | 3/6/2015 | | | | | | X | | | | | |
| Artisan International Small Cap | X | | | 3/6/2015 | | | | | | X | | | | | |
| Blackrock Equity Dividend Fund Inst | | X | | 3/6/2015 | | | | | | | | X | | | |
| Goldman Sachs Strategic Income | X | | | 3/2/2015 | X | | | | | | | | | | |
| TCW Emerging Markets | X | | | 3/2/2015 | X | | | | | | | | | | |
| Vanguard Limited Tax-Exempt Fund | X | | | 3/2/2015 | X | | | | | | | | | | |
| Wisdomtree Europe Hedged Equity Fund | X | | | 2/13/2015 | | | | | | | | X | | | |
| Federated Muni Ultra Short | X | | | 2/2/2015 | X | | | | | | | | | | |
| Goldman Sachs Strategic Income | X | | | 2/2/2015 | X | | | | | | | | | | |
| TCW Emerging Markets | X | | | 2/2/2015 | X | | | | | | | | | | |
| Vanguard Limited Tax-Exempt Fund | X | | | 2/2/2015 | X | | | | | | | | | | |
| TCW Emerging Markets | X | | | 1/27/2015 | | | | | | | | X | | | |
| Akre Focus Fund Institutional | X | | | 1/8/2015 | | | | | | X | | | | | |
| Blackrock Equity Dividend Fund Inst | | X | | 1/8/2015 | | | | | | | | X | | | |
| Federated Muni Ultra Short | X | | | 1/2/2015 | X | | | | | | | | | | |
| Goldman Sachs Strategic Income | X | | | 1/2/2015 | X | | | | | | | | | | |
| Sands Capital Global Growth Fund | X | | | 1/2/2015 | X | | | | | | | | | | |
| Vanguard Limited Tax-Exempt Fund | X | | | 1/2/2015 | X | | | | | | | | | | |
| | | | | | | | | | | | | | | | |
| JPM Strategic Income Opportunities Fund | X | | | 12/30/2015 | X | | | | | | | | | | |
| JPM Strategic Income Opportunities Fund | X | | | 11/27/2015 | X | | | | | | | | | | |
| JPM Strategic Income Opportunities Fund | X | | | 10/29/2015 | X | | | | | | | | | | |
| JPM Strategic Income Opportunities Fund | X | | | 9/29/2015 | X | | | | | | | | | | |
| JPM Strategic Income Opportunities Fund | X | | | 8/28/2015 | X | | | | | | | | | | |
| JPM Strategic Income Opportunities Fund | X | | | 7/30/2015 | X | | | | | | | | | | |
| JPM Strategic Income Opportunities Fund | X | | | 6/29/2015 | X | | | | | | | | | | |

**Part IV**

**Transactions**

| Identification of Assets | Transaction Type | | | Transaction Date | Amount of Transaction | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 |
| JPM Strategic Income Opportunities Fund | X | | | 5/28/2015 | X | | | | | | | | | | |
| JPM Strategic Income Opportunities Fund | X | | | 4/29/2015 | X | | | | | | | | | | |
| JPM Strategic Income Opportunities Fund | X | | | 2/26/2015 | X | | | | | | | | | | |
| | | | | | | | | | | | | | | | |
| Coho Relative Value Equity Instl | X | | | 12/23/2015 | | X | | | | | | | | | |
| Coho Relative Value Equity Instl | X | | | 12/23/2015 | | X | | | | | | | | | |
| Coho Relative Value Equity Instl | X | | | 12/23/2015 | | X | | | | | | | | | |
| Columbia Capital Equity Partners IV, L.P | | | | | | | | | | | | | | | |
| Zayo Group Holdings, Inc. - stock distribution | | X | | 9/30/2015 | | | | | | X | | | | | |
| Coho Relative Value Equity Instl | X | | | 3/6/2015 | | | | | | X | | | | | |
| Coho Relative Value Equity Instl | X | | | 1/8/2015 | | | | | | X | | | | | |
| | | | | | | | | | | | | | | | |
| Doubleline Total Return | X | | | 12/31/2015 | | X | | | | | | | | | |
| Doubleline Total Return | X | | | 11/30/2015 | | X | | | | | | | | | |
| Doubleline Total Return | X | | | 10/30/2015 | | X | | | | | | | | | |
| Doubleline Total Return | X | | | 9/30/2015 | | X | | | | | | | | | |
| Doubleline Total Return | X | | | 8/31/2015 | | X | | | | | | | | | |
| Doubleline Total Return | X | | | 7/31/2015 | | X | | | | | | | | | |
| Doubleline Total Return | X | | | 6/30/2015 | | X | | | | | | | | | |
| Doubleline Total Return | X | | | 5/29/2015 | | X | | | | | | | | | |
| Doubleline Total Return | X | | | 4/30/2015 | | X | | | | | | | | | |
| Doubleline Total Return | X | | | 3/31/2015 | | X | | | | | | | | | |
| Doubleline Total Return | X | | | 2/27/2015 | X | | | | | | | | | | |
| Doubleline Total Return | X | | | 1/30/2015 | | X | | | | | | | | | |
| Doubleline Total Return | X | | | 1/8/2015 | | | | | | | | X | | | |
| | | | | | | | | | | | | | | | |
| Columbia Capital Equity Partners VI, L.P | X | | | 1/14/2015 | | X | | | | | | | | | |
| Novus Special Situations, LLC | | | | | | | | | | | | | | | |
| Norwegian Crystals AS | X | | | 1/15/2015 | | | | X | | | | | | | |
| New Amsterdam BC Investors, LLC | | | | | | | | | | | | | | | |
| BetterCloud | X | | | 3/5/2015 | | | | X | | | | | | | |
| Echo Bridge Realty Fund I, L.P. | | | | | | | | | | | | | | | |
| HCEB Ballantyne, LLC | X | | | 3/25/2015 | | | | X | | | | | | | |
| Alexandria RC Investors, LLC | X | | | 4/14/2015 | | | | X | | | | | | | |
| Echo Bridge Realty Fund I, L.P. | | | | | | | | | | | | | | | |
| EB 480 Sprague, LLC | X | | | 5/4/2015 | | | | | X | | | | | | |

## Part IV
## Transactions

| Identification of Assets | Transaction Type | | | Transaction Date | Amount of Transaction | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 |
| Alexandria RC Investors, LLC | X | | | 5/21/2015 | | | | X | | | | | | | |
| Ascenty Investors III LLC | X | | | 6/12/2015 | | | | | | X | | | | | |
| DubmeNow, Inc. | X | | | 6/29/2015 | | | | | | X | | | | | |
| Sachs Capital Fund II, LLC | X | | | 6/29/2015 | | X | | | | | | | | | |
| RCH Energy Long Alpha Fund, LP | | X | | 7/15/2015 | | | | | | | | X | | | |
| Definitive Guardian Partners, LP | | X | | 7/30/2015 | | | | | | | | X | | | |
| Bear Creek Investors LLC | | | | | | | | | | | | | | | |
| Millennial Media | | X | | 8/21/2015 | | X | | | | | | | | | |
| Charter Communications Inc. - stock distribution | | X | | 8/21/2015 | | | | | | | | X | | | |
| Harvest Intrexon Enterprise Fund I, L.P | X | | | 8/28/2015 | | X | | | | | | | | | |
| Hound Partners Concentrated Fund, LP | X | | | 9/1/2015 | | | | | | X | | | | | |
| Echo Bridge Realty Fund I, L.P. | | | | | | | | | | | | | | | |
| HCEB Waterhouse Place, LLC | | X | | 11/10/2015 | | | | X | | | | | | | |
| Bear Creek Investors LLC | | | | | | | | | | | | | | | |
| Millennial Media | | X | | 11/10/2015 | | | | X | | | | | | | |
| Harvest Intrexon Enterprise Fund I, L.P | X | | | 11/20/2015 | | X | | | | | | | | | |
| GCM Equity Partners LP | X | | | 12/1/2015 | | | | | | X | | | | | |
| Novus Special Situations, LLC | | | | | | | | | | | | | | | |
| Norwegian Crystals AS | X | | | 12/9/2015 | | | | X | | | | | | | |
| Tekne Partners, LP | | X | | 12/31/2015 | | | | | | | | X | | | |
| Apollo Total Return Fund (Onshore) LP | X | | | 12/31/2015 | | | | | | X | | | | | |
| | | | | | | | | | | | | | | | |
| **THE LDC TRUST** | | | | | | | | | | | | | | | |
| Artisan International Fund | X | | | 11/20/2015 | X | | | | | | | | | | |
| Blackrock Equity Dividend Fund Inst | | X | | 4/30/2015 | | | | | X | | | | | | |
| Blackrock Equity Dividend Fund Inst | X | | | 4/16/2015 | X | | | | | | | | | | |
| Akre Focus Fund International | X | | | 2/23/2015 | | | | X | | | | | | | |
| Blackrock Equity Dividend Fund Inst | | X | | 2/23/2015 | | | | X | | | | | | | |
| Artisan International Fund | X | | | 2/18/2015 | | X | | | | | | | | | |
| Artisan International Fund | X | | | 2/18/2015 | | X | | | | | | | | | |
| Artisan International Fund | | X | | 2/18/2015 | | X | | | | | | | | | |
| | | | | | | | | | | | | | | | |

## Part IV
## Transactions

| Identification of Assets | Transaction Type | | | Transaction Date | Amount of Transaction | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 |
| **MGE LLC** | | | | | | | | | | | | | | | |
| Tekne Partners, LP | | X | | 12/30/2015 | | | | X | | | | | | | |
| Vulcan Value Partners Fund | X | | | 12/23/2015 | X | | | | | | | | | | |
| FPA International Value Fund | X | | | 12/22/2015 | X | | | | | | | | | | |
| Point Judith Venture Fund III (Q.P.), LP | | | | | | | | | | | | | | | |
| AppCast | X | | | 12/14/2015 | | X | | | | | | | | | |
| Matthews Asia Growth & Income | X | | | 12/11/2015 | X | | | | | | | | | | |
| Vulcan Value Partners Fund | X | | | 12/3/2015 | | X | | | | | | | | | |
| Wisdom Tree Japan Hedged Equity Fund | | X | | 10/22/2015 | | | X | | | | | | | | |
| Point Judith Venture Fund III (Q.P.), LP | | | | | | | | | | | | | | | |
| ZoomandGo(dba: Bridj; aka GroupZoom) | X | | | 9/21/2015 | X | | | | | | | | | | |
| RCH Energy Long Alpha Fund, LP | | X | | 7/15/2015 | | | | X | | | | | | | |
| Wisdom Tree Europe Hedged Equity Fund | | X | | 7/6/2015 | | | | X | | | | | | | |
| FPA International Value Fund | X | | | 7/2/2015 | X | | | | | | | | | | |
| Point Judith Venture Fund III (Q.P.), LP | | | | | | | | | | | | | | | |
| Curoverse | X | | | 6/2/2015 | X | | | | | | | | | | |
| Evergage | X | | | 6/2/2015 | X | | | | | | | | | | |
| Pixability | X | | | 6/2/2015 | X | | | | | | | | | | |
| Trumaker | X | | | 6/2/2015 | X | | | | | | | | | | |
| Vee24 | X | | | 6/2/2015 | X | | | | | | | | | | |
| Matthews Asia Growth & Income | X | | | 6/19/2015 | X | | | | | | | | | | |
| Point Judith Venture Fund, LP | | | | | | | | | | | | | | | |
| MedOptions, Inc. | | X | | 5/14/2015 | | | X | | | | | | | | |
| Vulcan Value Partners Fund | X | | | 3/9/2015 | | | X | | | | | | | | |
| Wisdom Tree Europe Hedged Equity Fund | X | | | 3/9/2015 | | | X | | | | | | | | |
| Wisdom Tree Japan Hedged Equity Fund | X | | | 3/9/2015 | | X | | | | | | | | | |
| Akre Focus Fund International | X | | | 3/9/2015 | | | X | | | | | | | | |

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## Transactions

| Identification of Assets | Transaction Type | | | Transaction Date | Amount of Transaction | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 |
| FPA International Value Fund | X | | | 3/9/2015 | | | X | | | | | | | | |
| Matthews Asia Growth & Income | X | | | 3/9/2015 | | | X | | | | | | | | |
| Doubleline Total Return Bond Fund Class I | | X | | 3/9/2015 | | | X | | | | | | | | |
| Wisdom Tree Europe Hedged Equity Fund | X | | | 3/2/2015 | | | X | | | | | | | | |
| Wisdom Tree Japan Hedged Equity Fund | X | | | 3/2/2015 | | X | | | | | | | | | |
| Akre Focus Fund International | X | | | 3/2/2015 | | | X | | | | | | | | |
| FPA International Value Fund | X | | | 3/2/2015 | | | X | | | | | | | | |
| Matthews Asia Growth & Income | X | | | 3/2/2015 | | | X | | | | | | | | |
| Doubleline Total Return Bond Fund Class I | | X | | 3/2/2015 | | | X | | | | | | | | |
| Vulcan Value Partners Fund | X | | | 3/2/2015 | | | X | | | | | | | | |
| Hound Partners Concentrated Fund, LP | X | | | 3/1/2015 | | | | | X | | | | | | |
| Matthews Asia Growth & Income | X | | | 2/24/2015 | | | X | | | | | | | | |
| Vulcan Value Partners Fund | X | | | 2/23/2015 | | | X | | | | | | | | |
| Wisdom Tree Europe Hedged Equity Fund | X | | | 2/23/2015 | | | X | | | | | | | | |
| Wisdom Tree Japan Hedged Equity Fund | X | | | 2/23/2015 | | X | | | | | | | | | |
| Akre Focus Fund International | X | | | 2/23/2015 | | | X | | | | | | | | |
| FPA International Value Fund | X | | | 2/23/2015 | | | X | | | | | | | | |
| Doubleline Total Return Bond Fund Class I | | X | | 2/23/2015 | | | X | | | | | | | | |
| Point Judith Venture Fund III (Q.P.), LP | | | | | | | | | | | | | | | |
| Expensify & Pixability | X | | | 2/18/2015 | | X | | | | | | | | | |
| Doubleline Total Return Bond Fund Class I | X | | | 1/5/2015 | X | | | | | | | | | | |

## Part IV
## Transactions

| Identification of Assets | Transaction Type | | | Transaction Date | Amount of Transaction | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 |
| **DEPENDENT CHILDREN ASSETS: IRREVOCABLE TRUSTS** | | | | | | | | | | | | | | | |
| Ishares MSCI EAFE Index Fund | X | | | 12/21/2015 | X | | | | | | | | | | |
| Oakmark International Fund - I | | X | | 12/17/2015 | X | | | | | | | | | | |
| Blackrock High Yield PT-BLAC | X | | | 11/5/2015 | X | | | | | | | | | | |
| JPMorgan High Yield Bond Fund | | X | | 11/4/2015 | X | | | | | | | | | | |
| SPDR S&P 500 ETF Trust | X | | | 9/10/2015 | X | | | | | | | | | | |
| Ishares MSCI EAFE Index Fund | X | | | 9/10/2015 | X | | | | | | | | | | |
| Matthews Pacific Tiger Fund | | X | | 9/8/2015 | X | | | | | | | | | | |
| Aberdeen Asia Pacific Ex Japan | | X | | 9/3/2015 | X | | | | | | | | | | |
| Deutsche X-Trackers MSCI EAF | X | | | 8/6/2015 | X | | | | | | | | | | |
| Vanguard FTSE Europe ETF | X | | | 8/6/2015 | X | | | | | | | | | | |
| Aberdeen Asia Pacific Ex Japan | | X | | 8/4/2015 | X | | | | | | | | | | |
| JPM Strategic Income Opportunities Fund | | X | | 8/4/2015 | X | | | | | | | | | | |
| Brown Adv Japan Alpha Opp-IS | X | | | 7/30/2015 | X | | | | | | | | | | |
| Deutsche X-Trackers MSCI EAF | X | | | 4/7/2015 | X | | | | | | | | | | |
| Ishares MSCI EAFE Index Fund | | X | | 4/6/2015 | X | | | | | | | | | | |
| Deutsche X-Trackers MSCI EAF | X | | | 4/6/2015 | X | | | | | | | | | | |
| Ishares MSCI EAFE Index Fund | | X | | 4/6/2015 | X | | | | | | | | | | |
| SPDR S&P 500 ETF Trust | X | | | 2/12/2015 | X | | | | | | | | | | |
| Dodge & Cox International Stock | | X | | 2/10/2015 | X | | | | | | | | | | |
| Aberdeen Asia Pacific Ex Japan | X | | | 2/10/2015 | X | | | | | | | | | | |
| JPMorgan Mid Cap Value Fund | X | | | 1/7/2015 | X | | | | | | | | | | |
| JPM Global Res ENH Index Fund - Sel | X | | | 1/7/2015 | X | | | | | | | | | | |
| JPM Global Res ENH Index Fund - Sel | X | | | 1/5/2015 | X | | | | | | | | | | |
| Oakmark International Fund - I | | X | | 1/5/2015 | X | | | | | | | | | | |
| Blackrock High Yield PT-BLAC | X | | | 11/5/2015 | X | | | | | | | | | | |
| JPMorgan High Yield Bond Fund | | X | | 11/4/2015 | X | | | | | | | | | | |
| Matthews Pacific Tiger Fund | | X | | 9/8/2015 | X | | | | | | | | | | |
| Aberdeen Asia Pacific Ex Japan | | X | | 9/3/2015 | X | | | | | | | | | | |
| Deutsche X-Trackers MSCI EAF | X | | | 8/6/2015 | X | | | | | | | | | | |
| Vanguard FTSE Europe ETF | X | | | 8/6/2015 | X | | | | | | | | | | |
| Aberdeen Asia Pacific Ex Japan | | X | | 8/4/2015 | X | | | | | | | | | | |
| JPM Strategic Income Opportunities Fund | | X | | 8/4/2015 | X | | | | | | | | | | |
| Brown Adv Japan Alpha Opp-IS | X | | | 7/30/2015 | X | | | | | | | | | | |
| Deutsche X-Trackers MSCI EAF | X | | | 4/7/2015 | X | | | | | | | | | | |
| Ishares MSCI EAFE Index Fund | | X | | 4/6/2015 | X | | | | | | | | | | |

## Part IV
## Transactions

| Identification of Assets | Transaction Type | | | Transaction Date | Amount of Transaction | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 |
| Deutsche X-Trackers MSCI EAF | X | | | 4/6/2015 | X | | | | | | | | | | |
| Ishares MSCI EAFE Index Fund | | X | | 4/6/2015 | X | | | | | | | | | | |
| SPDR S&P 500 ETF Trust | X | | | 2/12/2015 | X | | | | | | | | | | |
| Dodge & Cox International Stock | | X | | 2/10/2015 | X | | | | | | | | | | |
| Aberdeen Asia Pacific Ex Japan | X | | | 2/10/2015 | X | | | | | | | | | | |
| JPM Global Res ENH Index Fund - Sel | X | | | 1/7/2015 | X | | | | | | | | | | |
| JPM Global Res ENH Index Fund - Sel | X | | | 1/5/2015 | X | | | | | | | | | | |
| Artisan International Value Fund- Inv | | X | | 1/5/2015 | X | | | | | | | | | | |
| Blackrock High Yield PT-BLAC | X | | | 11/5/2015 | X | | | | | | | | | | |
| JPMorgan High Yield Bond Fund | | X | | 11/4/2015 | X | | | | | | | | | | |
| SPDR S&P 500 ETF Trust | X | | | 9/11/2015 | X | | | | | | | | | | |
| Matthews Pacific Tiger Fund | | X | | 9/8/2015 | X | | | | | | | | | | |
| Aberdeen Asia Pacific Ex Japan | | X | | 9/3/2015 | X | | | | | | | | | | |
| Deutsche X-Trackers MSCI EAF | X | | | 8/6/2015 | X | | | | | | | | | | |
| Vanguard FTSE Europe ETF | X | | | 8/6/2015 | X | | | | | | | | | | |
| Aberdeen Asia Pacific Ex Japan | | X | | 8/4/2015 | X | | | | | | | | | | |
| JPM Strategic Income Opportunities Fund | | X | | 8/4/2015 | X | | | | | | | | | | |
| Brown Adv Japan Alpha Opp-IS | X | | | 7/30/2015 | X | | | | | | | | | | |
| Deutsche X-Trackers MSCI EAF | X | | | 4/7/2015 | X | | | | | | | | | | |
| Ishares MSCI EAFE Index Fund | | X | | 4/6/2015 | X | | | | | | | | | | |
| Deutsche X-Trackers MSCI EAF | X | | | 4/6/2015 | X | | | | | | | | | | |
| Ishares MSCI EAFE Index Fund | | X | | 4/6/2015 | X | | | | | | | | | | |
| SPDR S&P 500 ETF Trust | X | | | 2/12/2015 | X | | | | | | | | | | |
| Dodge & Cox International Stock | | X | | 2/10/2015 | X | | | | | | | | | | |
| Aberdeen Asia Pacific Ex Japan | X | | | 2/10/2015 | X | | | | | | | | | | |
| JPM Global Res ENH Index Fund - Sel | X | | | 1/7/2015 | X | | | | | | | | | | |
| JPM Global Res ENH Index Fund - Sel | X | | | 1/5/2015 | X | | | | | | | | | | |
| Artisan International Value Fund- Inv | | X | | 1/5/2015 | X | | | | | | | | | | |

Part IV

Transactions

| Identification of Assets | Transaction Type | | | Transaction Date | Amount of Transaction | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Purchase | Sale | Exchange | | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000*** | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 |
| **DEPENDENT CHILDREN ASSETS: 1999 TRUST** | | | | | | | | | | | | | | | |
| JPMorgan Strategic Income | | X | | 12/1/2015 | | | X | | | | | | | | |
| JPM Unconstrained Debt FD - Select | X | | | 12/1/2015 | | | X | | | | | | | | |
| Credit Suisse Comm Ret St-I | | X | | 11/23/2015 | | X | | | | | | | | | |
| Equinox FDS TR | X | | | 11/4/2015 | | X | | | | | | | | | |
| AIM Invt FDS (INV Balanced Risk Allocation - Y) | | X | | 11/4/2015 | | X | | | | | | | | | |
| Credit Suisse Comm Ret St-I | X | | | 9/28/2015 | | X | | | | | | | | | |
| AIM Invt FDS (INV Balanced Risk Allocation - Y) | X | | | 9/28/2015 | X | | | | | | | | | | |
| Goldman Sachs TR | X | | | 9/28/2015 | | X | | | | | | | | | |
| Matthews Pacific Tiger Fund | | X | | 9/8/2015 | | X | | | | | | | | | |
| Aberdeen Asia Pacific Ex Japan | | X | | 9/3/2015 | | X | | | | | | | | | |
| Vanguard FTSE Europe ETF | X | | | 8/18/2015 | | X | | | | | | | | | |
| Brown Adv Japan Alpha Opp-IS | X | | | 8/14/2015 | X | | | | | | | | | | |
| SPDR Gold Trust | | X | | 7/17/2015 | X | | | | | | | | | | |
| Credit Suisse Comm Ret St-I | X | | | 7/15/2015 | | X | | | | | | | | | |
| DB Market Plus WTI 07/21/15 - 6.5% CPN (Hard Assets) | | X | | 4/1/2015 | X | | | | | | | | | | |
| CS Cyclical Sect Rel Perf NT 2/25/15 (US Large Cap Equity) | | X | | 2/25/2015 | | X | | | | | | | | | |
| Ishares MSCI EAFE Index Fund | | X | | 2/18/2015 | | X | | | | | | | | | |
| BARC BREN PLDMLNPM 2/17/15 (Hard Assets) | | X | | 2/17/2015 | X | | | | | | | | | | |
| Aberdeen Asia Pacific Ex Japan | X | | | 2/13/2015 | | X | | | | | | | | | |
| BARC EAFE REN MAT 02/18/16 | X | | | 2/4/2015 | | X | | | | | | | | | |
| MFS Intl Value - I | | X | | 2/2/2015 | | X | | | | | | | | | |
| Ishares MSCI EAFE Index Fund | X | | | 1/14/2015 | | X | | | | | | | | | |
| JPM Global RES ENH Index Fund - SEL | X | | | 1/12/2015 | | X | | | | | | | | | |
| Doubleline FDS TR | X | | | 1/12/2015 | | X | | | | | | | | | |
| JPM Global RES ENH Index Fund - SEL | X | | | 1/7/2015 | | X | | | | | | | | | |

| Part V | | | |
|---|---|---|---|
| Gifts | | | |
| | | | |
| Name of Income Source | Address (City, State) | Dates and Brief Description | Gift Value |
| | | | |
| | | | |
| | | | |

| Part VI | | | |
|---|---|---|---|
| Reimbursements | | | |
| | | | |
| Name of Income Source | Address (City, State) | Dates and Brief Description | Amount |
| The University of Georgia Foundation | Athens, GA | Travel reimbursement for the Chambliss Leadership Forum held on 11/10/15 in Georgia | $ 1,355.30 |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

Part VII.
Liabilities

| | Address | Type of Liability | Date Incurred | Interest Rate | Term if applicable | Category of Amount of Value (x) | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | $10,001 - $15,000 | $15,001 to 50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | OVER $1,000,000*** | $1,000,001 to $5,000,000 | $5,000,001 to $25,000,000 | $25,000,001 to $50,000,000 | Over $50,000,000 |
| | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | |

| Part VIII. | | | | | |
|---|---|---|---|---|---|
| Positions Held Outside U.S. Government | | | | | |
| | | | | | |
| | | | | | |
| Name of Organization | Address (City, State) | Type of Organization | Position Held | From (Mo/Yr) | To (Mo/Yr) |
| Collis Warner Foundation, Inc. | Alexandria, VA | Non-Profit Organization | Board of Directors | Jan-97 | Present |
| The Kennedy Center | Washington, DC | Non-Profit Organization | Board of Directors | Mar-13 | Present |

Footnotes to Mark R. Warner's Senate Personal Financial Disclosure Form 2015

Where market value statements were available, the reportable value of each interest was determined by applying the ownership percentage of the reporting individual to the total value of the capital account reserved for partners or members. Where market value statements were not available, the ending capital balance for the reporting individual was used to value the interest.

Where an asset held other (lower-tier) assets, the character of each lower-tier asset (to the extent such information is provided by the directly-held asset) is listed in Part IIIB. The value of, and income received from, the directly-held asset is generally reported on an aggregated basis.

[1]These entities do not have any underlying holdings.

[2] MRW Biotech Investors, LLC received shares of Ziopharm Oncology as a distribution from New River V and New River VI on June 12, 2015. The MRW Trust holds a 96% interest in MRW Biotech Investors, LLC.

[3]Sachs Capital - Empire B LLC - "other income" represents guaranteed payments in the amount of $33,333.

[4]For proprietary and confidentiality purposes, Harvest Intrexon Enterprise Fund I, L.P permits only the information listed to be disclosed. This fund is not self-directed.

[5]Point Judith Venture Fund LP, Spirus Medical, Inc. - earnout interest based on milestones still held as part of a 2011 sale transaction. Not previously reported due to valuation and income not meeting threshold.

[6]Bear Creek Investors LLC distributed Charter Communications stock to its Limited Partners on August 21, 2015. The fund liquidated in 2015.

[7]Fully redeemed or liquidated during 2015.

[8]West Deptford Energy Holdings, LLC - "other income" represents ordinary business income in the amount of $55,178.

[9]Columbia Capital Equity Partners IV, LP distributed Zayo Group Holdings, Inc. stock to its Limited Partners on September 30, 2015.

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SECRETARY OF THE SENATE
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Marc Erik Elias
Kate Sawyer Keane
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FAX: (202) 434-1690
EMAIL: MElias@perkinscoie.com
KSKeane@perkinscoie.com

August 12, 2016

Secretary of the Senate
Office of Public Records
232 Hart Senate Office Building
Washington, DC 20510

**Re: Senator Mark Warner**

Dear Madame Secretary:

We are writing on behalf of Senator Mark Warner Enclosed please find Senator Warner's Public Financial Disclosure Report for the calendar year ending December 31, 2015.

Please do not hesitate to call us should you have further questions. Thank you for your attention to this matter

Very truly yours,

Marc Erik Elias
Kate Sawyer Keane
Counsel to Senator Mark Warner

Enclosure

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