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KSKeane@perkinscoie.com

October 31, 2013

BY HAND DELIVERY

Secretary of the Senate
Office of Public Records
Room 232
Hart Senate Office Building
Washington, D.C. 20510

Re: Senator Richard Blumenthal

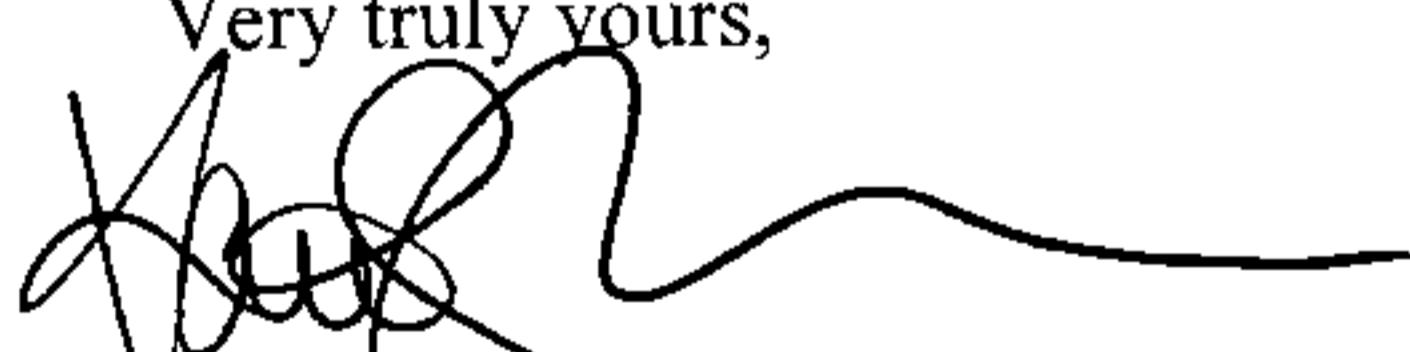
Dear Madame Secretary:

We are writing on behalf of Senator Richard Blumenthal to file an amendment to his CY 2012 public financial disclosure ("PFD") report.

The purpose of the amendment is to provide additional information derived from Schedule K-1s received after the original report was filed. The amended Part IIIB of the 2012 PFD report is attached.

Please do not hesitate to contact us should you have further questions. Thank you for your attention to this matter.

Very truly yours,



Marc Erik Elias
Kate Sawyer Keane
Counsel to Senator Richard Blumenthal

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ANCHORAGE · BEIJING · BELLEVUE · BOISE · CHICAGO · DALLAS · DENVER · LOS ANGELES · MADISON · NEW YORK
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Perkins Coie LLP

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Reporting Individual's Name
Richard Blumenthal

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number
3

	BLOCK A	BLOCK B Valuation of Assets	BLOCK C Type and Amount of Income	
			Type of Income	Amount of Income
	Identity of Non-Publicly Traded Assets and Unearned Income Sources		Actual Amount Required if "Other" Specified	
S 1	PETER L. MALKIN FAMILY 9 LLC c/o Malkin Holdings LLC New York, NY 10165		Over \$5,000,000	
S 2	JPMorgan Checking Account – Newark, DE			X
S 3	BR Properties S.A. – Real Estate San Paulo, Brazil – Public Company (Stock)			X
S 4	Golub BDC, Inc. – (GBDC-NASDAQ) – Public Company			X
S 5	Fine Troy Ounces of Allocated Gold – Held at JPMorgan			X
S 6	3M's Partners (Assets reported in Part IIIB, page 24)			X
S 7	Blue Ridge Limited Partnership – Hedge Fund New York, NY			X
S 8	Falcon Edge Global LP – Hedge Fund – New York, NY			X
S 9	Quasar Global Opportunities Fund LP – Hedge Fund – New York, NY (1)			X
S 10	Redwood Domestic Fund, LP – Hedge Fund – Englewood Cliffs, NJ			X
S 11	Trellus Partners LP – Hedge Fund – New York, NY			X
S 12	Consonance Capital Investors, L.P. – Hedgefund – New York, NY (2)			X
S 13	Steadview Capital Partners – Hedgefund – Hong Kong (3)			X
S 14	Valiant Capital Partners, L.P. – Hedge Fund – San Francisco, CA			X
S 15	O-Cap Partners, L.P. – Hedge Fund – New York, NY (4)			X
S 16	Wavecrest Partners Fund I, LP – Hedgefund – New York, NY (5)			X
	None (or less than \$201)			
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Reporting Individual's Name
Richard Blumenthal

BLOCK A		BLOCK B		BLOCK C	
		Valuation of Assets		Type and Amount of Income	
		Type of Income	Other (Specify Type)	Actual Amount	Required for "Other" Specified
	Identity of Non-Publicly Traded Assets and Unearned Income Sources			Over \$5,000,000	
S	17 Asia Opportunity Fund II, LP – Private Equity – Hong Kong		X		
S	18 Blue Ridge China Partners, LP – Private Equity – New York, NY		X		
S	19 Blue Ridge China Partners II, LP – Private Equity – New York, NY		X		
S	20 BTG Pactual Brazil Investment Fund I – Private Equity – San Paulo, Brazil		X		
S	Leader – Rio de Janeiro – Retailer		X		
S	Bravante (previously called Brasbunker Participacoes S.A.) – Rio de Janeiro, Brazil – Maritime support services.		X		
S	Estre Ambiental – San Paulo, Brazil – Waste Management		X		
S	CCRR Participacoes S.A. – San Paulo, Brazil – Specialty paper, labels and adhesives		X		
S	Universo Online – San Paulo, Brazil – Online Services		X		
S	Bodytech – Rio de Janeiro, Brazil – Fitness Centers		X		
S	C-III Recovery Fund I, LP – Private Equity – New York, NY		X		
S	22 C-3 Acquisition Co. LLC – Real Estate – Private Equity – New York, NY (6)		X		
S	23 Carlyle/Riverstone Renewable Energy Infrastructure Fund I, LP – Private Equity – Washington, DC		X		
S	Amaizingly Green Products, LP – Renewable Energy – Collingwood, ON, Canada		X		
S	Bottle Rock Power, LLC – Renewable Energy – Cobb, CA		X		
S	Coastal Carolina Clean Power, LLC – Renewable Energy – Austin, TX		X		
S	Companhia Nacional de Acucar e Alcool – Renewable Energy - Ribeirao Preto, Brazil		X		
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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A	Identity of Non-Publicly Traded Assets and Unearned Income Sources	Valuation of Assets		Type of Income Other (Specify Type)	Amount of Income Actual Amount Required "Other" Specified
		Type and Amount of Income	Amount of Income		
S	Green Earth Fuels, LLC – Renewable Energy – Houston, TX	X		None (or less than \$201)	\$201 - \$1,000
S	Newberry Geothermal Holdings, LLC – Renewable Energy - Stamford, CT	X		None (or less than \$201)	\$1,001 - \$10,000,000
S	ThermaSource, LLC – Renewable Energy – Santa Rose, CA			Capital Gains	\$100,001 - \$100,000
S	C/R Brazil Ethanol Partnership ... Private Equity – Washington, DC - Companhia Nacional de Acucar e Alcol, S.A. – Ribeirão Preto, Brazil – Energy	X		Exceptioned Investment Fund	\$1,000,001 - \$5,000,000
S	Cellfor Inc. – Biotechnology – Storichton, BC Canada	X		Qualified Billed Trust	\$25,000,001 - \$50,000,000
S	BR Fund II, L.P. – Private Equity – San Paulo, Brazil	X		Exempted Trust	\$50,000,001 - \$25,000,000
S	GEMS Fund, L.P. – Private Equity – New York, NY		X	Exempted Trust	\$1,000,001 - \$1,000,000
S	GEMS Palm Beach Holdings, L.P. – Private Equity – New York, NY	X		Exempted Trust	Over \$1,000,000...
S	GP Capital Partners III, LP – Private Equity - São Paulo, Brazil	X		Exempted Trust	\$50,000,001 - \$50,000,000
S	Tempo Participoes S.A. – Brazil - Healthcare		X	Exempted Trust	\$100,001 - \$250,000
S	Magnesita Refractories S.A. – Brazil – Manufacturer of refractory material	X		Exempted Trust	\$15,001 - \$50,000
S	Golub Capital Partners V, LP – Private Equity – New York, NY		X	Exempted Trust	\$50,001 - \$100,000
S	Golub Capital Partners VI, LP – Private Equity – New York, NY	X		Exempted Trust	Over \$50,000,000
S	Golub Capital Partners VII, LP – Private Equity – New York, NY	X		Exempted Trust	\$25,000,001 - \$50,000,000
S	Haverford Capital Partners, LP – Private Equity – San Francisco, CA	X		Exempted Trust	\$1,001 - \$15,000
S	Island C-3 Holdings LLC – Private Equity – New York, NY (6)		X	Exempted Trust	\$1,001 - \$2,500
S	Island C-3 Holdings II LLC – Private Equity – New York, NY (6)	X		Exempted Trust	\$1,001 - \$2,500
			X	Ordinary	338,515
			X	Ordinary	31,227

Reporting Individual's Name
Richard Blumenthal

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

6

BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

			BLOCK B Valuation of Assets		Type and Amount of Income Amount of Income	Type of Income Type of Income	Other (Specify If Other)	Actual Amount Required for "Other" Specified
S	36	Island Fund I LLC – Private Equity – New York, NY – Real Estate Investments	X					Over \$50,000,000
S		Celebrate Virginia – Fredericksburg, VA	X					\$25,000,001 - \$50,000,000
S		EFO – Really Sponsor Fund II, LP – Dallas, TX	X					\$5,000,001 - \$25,000,000
S		Insignia Opportunity Partners – New York, NY	X					Over \$1,000,000...*
S		Island Global Yachting II LP – owns, develops And manage yacht facilities – Ft. Lauderdale, FL	X					\$600,001 - \$1,000,000
S		NYC Residential Fund – owns 11 residential properties on Riverside Drive, New York City, NY	X					\$100,001 - \$250,000
S	37	JM Financial – Old Line India Corporate Opportunities Fund I Limited – Private Equity – Mumbai, India					X	\$15,001 - \$50,000
S	38	Magma Fund II, LP – Private Equity – San Paulo, Brazil - Magnesita Refractories, S.A. – Brazil – Manufacturer of refractory material	X				X	\$1,001 - \$15,000
S	39	Missionpoint Community Co-Investment – Private Equity – New York, NY - RE Community Holdings, L.P. – Charlotte, NC - Recycling and waste management					X	None (or less than 1,001)
S	40	Missionpoint Community Co-Investment II – Private Equity – New York, NY - RE Community Holdings, L.P. – Charlotte, NC - Recycling and waste management					X	\$201 - \$1,000
S	41	Missionpoint Community Co-Investment III – Private Equity – New York, NY - RE Community Holdings, L.P. – Charlotte, NC - Recycling and waste management					X	\$50,001 - \$150,000
S	42	Palomon European Equity Fund II LP – Private Equity – London, England					X	\$2,501 - \$55,000

Reporting Individual's Name
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BLOCK A		BLOCK B		BLOCK C	
		Valuation of Assets		Type and Amount of Income	
		Type of Income	Amount of Income		
S	Identity of Non-Publicly Traded Assets and Unearned Income Sources	Actual Amount Required for "Other" Specified			
S	R/C Pattern Energy, L.P. – Private Equity – Washington, DC - committed capital to Pattern Energy Group Holdings LP, a renewable energy development company. It has offices in San Francisco, Houston, New York, and Toronto.	\$1,000,001 - \$5,000,000	Over \$5,000,000		
S	Rockefeller Access Fund I LLC – Private Equity – New York, NY (7)	\$500,001 - \$5,000,000	Over \$5,000,000		
S	RRE Ventures III, LP – Private Equity – New York, NY	\$100,001 - \$250,000	\$250,001 - \$500,000		
S	RRE Ventures IV, LP – Private Equity – New York, NY	\$50,001 - \$100,000	\$100,001 - \$150,000		
S	RRE Ventures V, LP – Private Equity – New York, NY	\$15,001 - \$50,000	\$50,001 - \$150,000		
S	TPF Genco Co-Investment Fund – Private Equity – Omaha, NE	\$1,000,001 - \$5,000,000	Over \$5,000,000		
S	Tenaska Power Fund, L.P. – Private Equity – Omaha, NE	\$500,001 - \$1,000,000	\$1,000,001 - \$15,000		
S	Tiger Asia L Holdings Ltd SPV – Private Equity – New York, NY	\$100,001 - \$500,000	\$500,001 - \$1,000,000		
S	Tower Three Partners Fund II, L.P. – Private Equity – Greenwich, CT (no underlying holdings)	\$15,001 - \$50,000	\$50,001 - \$150,000		
S	2006 Special Global Opportunities, LLC (8)	\$1,001 - \$15,000	\$15,001 - \$150,000		
S	Real Estate Partnerships c/o Matkin Holdings LLC New York, NY 10165	\$100,001 - \$500,000	\$500,001 - \$1,000,000		
S	1112 West 34th Street Associates LLC – New York, NY – Commercial – Office Building	\$1,000,001 - \$5,000,000	Over \$5,000,000		
S	1112 West 34th Street Company LLC – New York, NY – Commercial – Office Building	\$100,001 - \$1,000,000	\$1,000,001 - \$15,000		
S	11185 Swap Portfolio LP	\$100,001 - \$1,000,000	\$1,000,001 - \$15,000		
S	Rochester Hills, Minnesota – Residential – Garden Apartments	\$1,000,001 - \$5,000,000	Over \$5,000,000		
S	New York, NY – Commercial – Retail	\$100,001 - \$1,000,000	\$1,000,001 - \$15,000		

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number
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Reporting Individual's Name
Richard Blumenthal

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

	BLOCK A	BLOCK B Valuation of Assets	BLOCK C Type and Amount of Income		Page Number 8
			Type of Income	Amount of Income	
S	Identity of Non-Publicly Traded Assets and Unearned Income Sources		Actual Amount Required if "Other" Specified		
S	White Plains, NY - Commercial - Office Building		Over \$50,000,000		
S	Edison, NJ - CVS Retail Store		\$1,000,001 - \$5,000,000		
S	Painsville, OH - CVS Retail Store		Over \$1,000,000**		
S	57 Broadway Associates LLC - New York, NY - Commercial - Office Building		\$100,001 - \$1,000,000		
S	1333 Broadway Associates LLC - New York, NY - Commercial - Office Building		\$50,001 - \$50,000,000		
S	1350 Broadway Associates LLC - New York, NY - Commercial - Office Building		Over \$1,000,000**		
S	1400 Broadway Associates LLC - New York, NY - Commercial - Office Building		\$250,001 - \$500,000		
S	250 West 57 th St. Associates LLC - New York, NY - Commercial - Office Building		\$100,001 - \$250,000		
S	500 Mamaroneck Avenue LP - Harrison, NY - Commercial - Office Building		\$50,001 - \$100,000		
S	601 Seventh Avenue Associates - New York, NY - Commercial - Office Building		\$15,001 - \$15,000		
S	62 Chicago Golf Road Limited Partnership - Des Plaines, IL - Residential - Garden Apartments		Over \$1,000,000**		
S	63 60 East 42 nd St. Associates LLC - New York, NY - Commercial - Office Building		\$1,000,001 - \$5,000,000		
S	64 East West Manhattan Retail Portfolio LP - New York, NY - Commercial - Office Building		\$250,001 - \$500,000		
S	65 Empire State Building Company LLC - New York, NY - Commercial - Office Building		\$50,001 - \$50,000,000		
S	66 Fairfax Merrifield Associates		Over \$1,000,000**		
S	67 Stamford, CT - Commercial - Office Building		\$100,001 - \$1,000,000		
S	68 Fairfield Merrifield Limited Partnership - Nonwalk, CT - Commercial - Office Building		\$15,001 - \$15,000		
S	69 Fairfax, VA - Residential - Garden Apartments		\$2,501 - \$2,500		
S	70 Stamford, CT - Commercial - Office Building		\$5,001 - \$5,000		
S	71 Fairfield Merrifield Associates		\$100,001 - \$1,000,000		
S	72 Merrifield Associates		\$15,001 - \$15,000		
S	73 Merrifield Associates		\$100,001 - \$1,000,000		
S	74 Merrifield Associates		\$15,001 - \$15,000		
S	75 Merrifield Associates		\$100,001 - \$1,000,000		
S	76 Merrifield Associates		\$15,001 - \$15,000		
S	77 Merrifield Associates		\$100,001 - \$1,000,000		
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S	171 Merrifield Associates		\$100,001 - \$1,000,000		
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S	173 Merrifield Associates		\$100,001 - \$1,000,000		
S	174 Merrifield Associates		\$15,001 - \$15,000		
S	175 Merrifield Associates		\$100,001 - \$1,000,000		
S	176 Merrifield Associates		\$15,001 - \$15,000		
S	177 Merrifield Associates		\$100,001 - \$1,000,000		
S	178 Merrifield Associates		\$15,001 - \$15,000		
S	179 Merrifield Associates		\$100,001 - \$1,000,000		
S	180 Merrifield Associates		\$15,001 - \$15,000		
S	181 Merrifield Associates		\$100,		

Reporting Individual's Name

Richard Blumenthal

PAR

Reporting Individual's Name Richard Blumenthal	PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES							
BLOCK A	BLOCK B Valuation of Assets				BLOCK C Type and Amount of Income			
	Actual Amount	Required "Other" Specified	Amount of Income	Amount of Income				
Identity of Non-Publicly Traded Assets and Unearned Income Sources None (or less than \$1,001)		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000			
		\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$50,000,000	\$50,000,001 - \$500,000,000			
		Over \$500,000,000						
		None (or less than \$201)						
		\$201 - \$1,000						
		\$1,001 - \$2,500						
		\$2,501 - \$5,000						
		\$5,001 - \$15,000						
		\$15,001 - \$50,000						
		\$50,001 - \$100,000						
Other (Specify Type)		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
Capital Gains		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
Interest		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
Rent		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
Dividends		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
Excempted Investment Fund		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
Exempted Trust		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
Qualified Building Trust		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
None (or less than \$201)		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
Over \$50,000,000		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
Over \$1,000,000...		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
\$500,001 - \$1,000,000		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
\$250,001 - \$500,000		X	X	X	X			
		X	X	X	X			
		X	X	X	X			
		X						

2 2 2 0 6 2 5 1 1 1

Reporting Individual's Name
Richard Blumenthal**PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**Page Number
10

	BLOCK A	BLOCK B		BLOCK C	
		Type of Assets	Valuation of Assets	Type and Amount of Income	Amount of Income
S	Identity of Non-Publicly Traded Assets and Unearned Income Sources			Actual Amount Required for "Other" Specified	
S	81 Marlboro Building Associates LLC - New York, NY Commercial - Office Building	X		Over \$5,000,000	
S	82 McKnight Road Associates LLC - Ross Township, PA - Commercial - Retail	X		\$1,000,001 - \$5,000,000	
S	83 Merrifield Apartments Company LLC	X		Over \$1,000,000**	
S	Fairfax, VA - Garden Apartments	X		\$100,001 - \$1,000,000	
S	Stamford, CT - Office Buildings	X		\$50,001 - \$50,000	
S	84 New York Union Square Retail LP - New York, NY - Commercial - Retail	X		\$25,000,001 - \$25,000,000	
S	85 Pittsburgh Self-Service Associates LLC - Ross Township, PA - Commercial - Retail	X		\$5,000,001 - \$25,000,000	
S	86 Rochester River Oaks/Chicago Foxfire Apartments LLC - Rochester Hills, MI - Residential - Garden Apartments	X		\$1,000,001 - \$5,000,000	
S	87 Seventh & 37th Building Associates LLC - New York, NY - Commercial - Office Building	X		\$50,001 - \$50,000	
S	88 St. Louis Georgetown Associates LLC - New Shrewsbury, MO - Residential - Garden Apartments	X		\$15,001 - \$50,000	
S	89 Uniway Partners, LP/Malkin JV - New York, NY - Commercial - Retail	X		\$1,001 - \$15,000	
S	90 US Wertheim Associates - Wertheim Germany - Commercial - Retail	X		None (or less than 1,001)	
S	91 Wilk Realty Company LLC - Monroeville, PA - Commercial - Retail	X		Over \$1,000,000**	
S	92 Malkin East West Retail LLC - New York, NY - Commercial - Retail	X		\$50,001 - \$100,000	
S	93 Malkin Glen Oaks LLC - Des Plaines, IL - Residential - Garden Apartments	X		\$2.501 - \$5,000	
S	94 One Station Place, Limited Partnership - Stamford, CT (10)	X		\$1,001 - \$2,500	

Reporting Individual's Name
Richard Blumenthal

PART III B. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

	BLOCK A	BLOCK B Valuation of Assets	Type and Amount of Income		Page Number 11
			Type of Income	Amount of Income	
	Identity of Non-Publicly Traded Assets and Unearned Income Sources				
	1 Defined benefit pension plan interest - State of Connecticut. (11)				
S	PLM 1999 FAMILY TRUST - New York, NY (34)				
S	1 Wintergreen Fund (WGRNX) - Publicly traded mutual fund	X			
S	PLM/CMB 2001 TRUST - New York, NY				
S	1 JPMorgan - Checking Account - Newark, DE	X			
S	2 RCB Investment Partners LLC (12)	X			
S	3 Loan Receivable - BBSF LLC - Stamford, CT	X			
S	1 CMB 2010 Trust (13)				
S	1 Geo Energy Partners - 1983 LTD - Energy - New York, NY (14)	X			
S	1 Blumenthal Oil and Gas LLC - New York, NY - Oil and Gas	X			
S	1 Chelsea Gardens - Apartment - New York, NY	X			
S	1 Greely Gas Ltd - Austin, Texas	X			
					7,762
					Royalties

BLOCK C
Type and Amount of Income

Actual Amount Required if "Other" Specified
Over \$5,000,000
\$1,000,001 - \$5,000,000
Over \$1,000,000....
\$100,001 - \$1,000,000
\$50,001 - \$100,000
\$15,001 - \$50,000
\$5,001 - \$15,000
\$2,501 - \$2,500
\$1,001 - \$1,000
None (or less than \$201)
Exempted Investment Fund
Capital Gains
Rent
Interest
Dividends
Over \$50,000,000
\$25,000,001 - \$50,000,000
\$5,000,001 - \$25,000,000
\$1,000,001 - \$5,000,000
Over \$1,000,000....
\$500,001 - \$1,000,000
\$250,001 - \$500,000
\$100,001 - \$250,000
\$50,001 - \$100,000
\$15,001 - \$50,000
\$1,001 - \$15,000
None (or less than 1,001)
Exempted Trust
Qualified Blind Trust
Other (Specify Type)

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCESPage Number
12

	BLOCK A	BLOCK B	BLOCK C	Type and Amount of Income
				Amount of Income
S 1	Identity of Non-Publicly Traded Assets and Unearned Income Sources			
S 2	Malkin Properties of Connecticut, Inc. - Stamford, CT - Real Estate Management	X		
S 3	Malkin Construction Corp. - Stamford, CT - Interior Building Construction	X		
S 4	Peter L. Malkin Family 2000 LLC c/o Malkin Holdings LLC New York, NY 10165			
S 5	JPMorgan - Checking Account - Newark, DE	X		
S 6	BR Properties SA - São Paulo, Brazil - Real Estate - Public Company	X		
S 7	Fine Troy Ounces of Allocated Gold - Held at JPMorgan	X		
S 8	Blue Ridge Limited Partnership - Hedge Fund - New York, NY	X		
S 9	Consonance Capital Investors, L.P. - Hedge Fund - New York, NY (2)	X		
S 10	D.C. Capital Partners, L.P. - Hedge Fund - New York, NY	X		
S 11	Eton Park, L.P. - Hedge Fund - New York, NY	X		
S 12	Falcon Edge Global LP - Hedge Fund - New York, NY	X		
S 13	Quasar Global Opportunities Fund, L.P. - Hedge Fund - New York, NY (1)	X		
S 14	Redwood Domestic Fund, L.P. - Hedge Fund - Englewood Cliffs, NJ	X		
S 15	Trellis Partners, L.P. - Hedge Fund - New York, NY	X		
S 16	Wintergreen Partners Fund, L.P. - Hedge Fund - Mountain Lakes, NJ (1)	X		
S 17	AAM Emerging Managers, L.P. - Private Equity - New York, NY	X		
S 18	AIM Midstream LLC - Private Equity - New York, NY	X		
S 19	C/R Knight Partners LP - Private Equity - Washington, DC	X		
S 20	None (or less than \$1,001)			
S 21	\$1,001 - \$15,000			
S 22	\$15,001 - \$50,000			
S 23	\$50,001 - \$150,000			
S 24	\$100,001 - \$1,000,000			
S 25	\$1,000,001 - \$5,000,000			
S 26	\$5,001 - \$50,000			
S 27	\$2,501 - \$2,500			
S 28	\$1,001 - \$1,000			
S 29	None (or less than \$201)			
S 30	\$201 - \$500			
S 31	\$500,001 - \$550,000			
S 32	\$2,501 - \$2,500			
S 33	\$1,001 - \$1,000			
S 34	None (or less than \$201)			
S 35	\$201 - \$500			
S 36	\$500,001 - \$550,000			
S 37	\$2,501 - \$2,500			
S 38	\$1,001 - \$1,000			
S 39	None (or less than \$201)			
S 40	\$201 - \$500			
S 41	\$500,001 - \$550,000			
S 42	\$2,501 - \$2,500			
S 43	\$1,001 - \$1,000			
S 44	None (or less than \$201)			
S 45	\$201 - \$500			
S 46	\$500,001 - \$550,000			
S 47	\$2,501 - \$2,500			
S 48	\$1,001 - \$1,000			
S 49	None (or less than \$201)			
S 50	\$201 - \$500			
S 51	\$500,001 - \$550,000			
S 52	\$2,501 - \$2,500			
S 53	\$1,001 - \$1,000			
S 54	None (or less than \$201)			
S 55	\$201 - \$500			
S 56	\$500,001 - \$550,000			
S 57	\$2,501 - \$2,500			
S 58	\$1,001 - \$1,000			
S 59	None (or less than \$201)			
S 60	\$201 - \$500			
S 61	\$500,001 - \$550,000			
S 62	\$2,501 - \$2,500			
S 63	\$1,001 - \$1,000			
S 64	None (or less than \$201)			
S 65	\$201 - \$500			
S 66	\$500,001 - \$550,000			
S 67	\$2,501 - \$2,500			
S 68	\$1,001 - \$1,000			
S 69	None (or less than \$201)			
S 70	\$201 - \$500			
S 71	\$500,001 - \$550,000			
S 72	\$2,501 - \$2,500			
S 73	\$1,001 - \$1,000			
S 74	None (or less than \$201)			
S 75	\$201 - \$500			
S 76	\$500,001 - \$550,000			
S 77	\$2,501 - \$2,500			
S 78	\$1,001 - \$1,000			
S 79	None (or less than \$201)			
S 80	\$201 - \$500			
S 81	\$500,001 - \$550,000			
S 82	\$2,501 - \$2,500			
S 83	\$1,001 - \$1,000			
S 84	None (or less than \$201)			
S 85	\$201 - \$500			
S 86	\$500,001 - \$550,000			
S 87	\$2,501 - \$2,500			
S 88	\$1,001 - \$1,000			
S 89	None (or less than \$201)			
S 90	\$201 - \$500			
S 91	\$500,001 - \$550,000			
S 92	\$2,501 - \$2,500			
S 93	\$1,001 - \$1,000			
S 94	None (or less than \$201)			
S 95	\$201 - \$500			
S 96	\$500,001 - \$550,000			
S 97	\$2,501 - \$2,500			
S 98	\$1,001 - \$1,000			
S 99	None (or less than \$201)			
S 100	\$201 - \$500			
S 101	\$500,001 - \$550,000			
S 102	\$2,501 - \$2,500			
S 103	\$1,001 - \$1,000			
S 104	None (or less than \$201)			
S 105	\$201 - \$500			
S 106	\$500,001 - \$550,000			
S 107	\$2,501 - \$2,500			
S 108	\$1,001 - \$1,000			
S 109	None (or less than \$201)			
S 110	\$201 - \$500			
S 111	\$500,001 - \$550,000			
S 112	\$2,501 - \$2,500			
S 113	\$1,001 - \$1,000			
S 114	None (or less than \$201)			
S 115	\$201 - \$500			
S 116	\$500,001 - \$550,000			
S 117	\$2,501 - \$2,500			
S 118	\$1,001 - \$1,000			
S 119	None (or less than \$201)			
S 120	\$201 - \$500			
S 121	\$500,001 - \$550,000			
S 122	\$2,501 - \$2,500			
S 123	\$1,001 - \$1,000			
S 124	None (or less than \$201)			
S 125	\$201 - \$500			
S 126	\$500,001 - \$550,000			
S 127	\$2,501 - \$2,500			
S 128	\$1,001 - \$1,000			
S 129	None (or less than \$201)			
S 130	\$201 - \$500			
S 131	\$500,001 - \$550,000			
S 132	\$2,501 - \$2,500			
S 133	\$1,001 - \$1,000			
S 134	None (or less than \$201)			
S 135	\$201 - \$500			
S 136	\$500,001 - \$550,000			
S 137	\$2,501 - \$2,500			
S 138	\$1,001 - \$1,000			
S 139	None (or less than \$201)			
S 140	\$201 - \$500			
S 141	\$500,001 - \$550,000			
S 142	\$2,501 - \$2,500			
S 143	\$1,001 - \$1,000			
S 144	None (or less than \$201)			
S 145	\$201 - \$500			
S 146	\$500,001 - \$550,000			
S 147	\$2,501 - \$2,500			
S 148	\$1,001 - \$1,000			
S 149	None (or less than \$201)			
S 150	\$201 - \$500			
S 151	\$500,001 - \$550,000			
S 152	\$2,501 - \$2,500			
S 153	\$1,001 - \$1,000			
S 154	None (or less than \$201)			
S 155	\$201 - \$500			
S 156	\$500,001 - \$550,000			
S 157	\$2,501 - \$2,500			
S 158	\$1,001 - \$1,000			
S 159	None (or less than \$201)			
S 160	\$201 - \$500			
S 161	\$500,001 - \$550,000			
S 162	\$2,501 - \$2,500			
S 163	\$1,001 - \$1,000			
S 164	None (or less than \$201)			
S 165	\$201 - \$500			
S 166	\$500,001 - \$550,000			
S 167	\$2,501 - \$2,500			
S 168	\$1,001 - \$1,000			
S 169	None (or less than \$201)			
S 170	\$201 - \$500			
S 171	\$500,001 - \$550,000			
S 172	\$2,501 - \$2,500			
S 173	\$1,001 - \$1,000			
S 174	None (or less than \$201)</			

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A		Identity of Non-Publicly Traded Assets and Unearned Income Sources	BLOCK C		
			Type and Amount of Income	Amount of Income	
			Actual Amount	Required for "Other" Specified	
			Over \$5,000,000		
			\$1,000,001 - \$5,000,000		
			Over \$1,000,000...		
			\$100,001 - \$1,000,000		
			\$50,001 - \$100,000		
			\$15,001 - \$50,000		
			\$5,001 - \$15,000		
			\$2,501 - \$5,000		
			\$1,001 - \$2,500		
			\$201 - \$1,000		
			None (or less than \$201)		
BLOCK B		Valuation of Assets	Type of Income	Type of Income	
			Other (Specify Type)	Amount of Income	
			Actual Amount	Required for "Other" Specified	
			Over \$50,000,000		
			\$25,000,001 - \$50,000,000		
			\$5,000,001 - \$25,000,000		
			Over \$1,000,000...		
			\$500,001 - \$1,000,000		
			\$100,001 - \$250,000		
			\$50,001 - \$100,000		
			\$10,001 - \$15,000		
			None (or less than 1,001)		

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Reporting Individual's Name
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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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	BLOCK A	BLOCK B Valuation of Assets	BLOCK C Type and Amount of Income Amount of Income		
			Type of Income	Actual Amount	Required if "Other" Specified
S	33 Golub Capital Partners VIII, L.P. - Private Equity – New York, NY	X			
S	34 GP Capital Partners V, L.P. - Private Equity – São Paulo, Brazil	X			
S	35 Golub Capital CoInvestment, L.P. - Private Equity – New York, NY	X			
S	36 Horseshoe Re Limited – Series BTG-02 – Private Equity – São Paulo, Brazil – Insurance	X			
S	37 Magma Fund, L.P. – Private Equity – São Paulo, Brazil - Magnesita Refrátarios, S.A. – Brazil – Manufacturer of refractory material	X			
S	38 Magma Fund II, L.P. – Class A & B – Private Equity – São Paulo, Brazil - Magnesita Refrátarios, S.A. – Brazil – Manufacturer of refractory material	X			
S	39 Palamon European Equity Fund II, L.P. – Private Equity – London, England	X			
S	40 Rockefeller Access Fund I, LLC – Private Equity – New York, NY (7)	X			
S	41 Rockefeller Access Fund 06-I, LLC – Private Equity – New York, NY (15)	X			
S	42 TPF Genco Co Investment Fund – Private Equity – Omaha, NE	X			
S	43 Tenaska Power Fund, L.P. – Private Equity – Omaha, NE	X			
S	44 Tnicum Capital Partners II Add On Fund LP – Private Equity – New York, NY	X			
S	45 Tnicum Capital Partners II, L.P. – Private Equity – New York, NY	X			
S	46 Tiger Asia Holdings Ltd SPV – Hedgefund – New York, NY	X			
S	47 Tower Three Partners Fund II, L.P. – Private Equity – Greenwich, CT (no underlying holdings)	X			
S	48 Trident Private Equity II, L.P. – Private Equity – London, England	X			
			None (or less than \$201)		
			\$201 - \$1,000		
			\$1,001 - \$2,500		
			\$2,501 - \$5,000		
			\$5,001 - \$15,000		
			\$15,001 - \$50,000		
			\$50,001 - \$100,000		
			\$100,001 - \$1,000,000		
			\$1,000,001 - \$5,000,000		
			\$5,000,001 - \$25,000,000		
			\$25,000,001 - \$50,000,000		
			Over \$50,000,000		
			None (or less than \$201)		
			\$201 - \$1,000		
			\$1,001 - \$2,500		
			\$2,501 - \$5,000		
			\$5,001 - \$15,000		
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			\$50,001 - \$100,000		
			\$100,001 - \$1,000,000		
			\$1,000,001 - \$5,000,000		
			\$5,000,001 - \$25,000,000		
			\$25,000,001 - \$50,000,000		
			Over \$50,000,000		
			None (or less than \$201)		
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			\$1,000,001 - \$5,000,000		
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			\$25,000,001 - \$50,000,000		
			Over \$50,000,000		
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			\$25,000,001 - \$50,000,000		
			Over \$50,000,000		
			None (or less than \$201)		
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			\$1,000,001 - \$5,000,000		
			\$5,000,001 - \$25,000,000		
			\$25,000,001 - \$50,000,000		
			Over \$50,000,000		
			None (or less than \$201)		
			\$201 - \$1,000		
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			\$50,001 - \$100,000		
			\$100,001 - \$1,000,000		
			\$1,000,001 - \$5,000,000		
			\$5,000,001 - \$25,000,000		
			\$25,000,001 - \$50,000,000		
			Over \$50,000,000		
			None (or less than \$201)		
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			\$100,001 - \$1,000,000		
			\$1,000,001 - \$5,000,000		
			\$5,000,001 - \$25,000,000		
			\$25,000,001 - \$50,000,000		
			Over \$50,000,000		
			None (or less than \$201)		
			\$201 - \$1,000		
			\$1,001 - \$2,500		
			\$2,501 - \$5,000		
			\$5,001 - \$15,000		
			\$15,001 - \$50,000		
			\$50,001 - \$100,000		
			\$100,001 - \$1,000,000		
			\$1,000,001 - \$5,000,000		
			\$5,000,001 - \$25,000,000		
			\$25,000,001 - \$50,000,000		
			Over \$50,000,000		
			None (or less than \$201)		
			\$201 - \$1,000		
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			\$1,000,001 - \$5,000,000		
			\$5,000,001 - \$25,000,000		
			\$25,000,001 - \$50,000,000		
			Over \$50,000,000		
			None (or less than \$201)		
			\$201 - \$1,000		
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			\$100,001 - \$1,000,000		
			\$1,000,001 - \$5,000,000		
			\$5,000,001 - \$25,000,000		
			\$25,000,001 - \$50,000,000		
			Over \$50,000,000		
			None (or less than \$201)		
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			\$5,001 - \$15,000		
			\$15,001 - \$50,000		
			\$50,001 - \$100,000		
			\$100,001 - \$1,000,000		
			\$1,000,001 - \$5,000,000		
			\$5,000,001 - \$25,000,000		
			\$25,000,001 - \$50,000,000		
			Over \$50,000,000		
			None (or less than \$201)		
			\$201 - \$1,000		
			\$1,001 - \$2,500		
			\$2,501 - \$5,000		
			\$5,001 - \$15,000		
			\$15,001 - \$50,000		
			\$50,001 - \$100,000		
			\$100,001 - \$1,000,000		
			\$1,000,001 - \$5,000,000		
			\$5,000,001 - \$25,000,000		
			\$25,000,001 - \$50,000,000		
			Over \$50,0		

Reporting Individual's Name
Richard Blumenthal

BLOCK A		BLOCK B		BLOCK C	
		Valuation of Assets		Type and Amount of Income	
				Actual Amount	Required for "Other" Specified
S	49	ZM Capital, L.P. – Private Equity – New York, NY	X	Over \$50,000,000	
S	50	ZM Titan Investments LP – Private Equity – New York, NY (16)	X	\$1,000,001 - \$5,000,000	
S	51	3G Special Situations Fund II – Private Equity – New York, NY	X	Over \$1,000,000**	
S	52	Real Estate Partnerships c/o Malkin Holdings LLC New York, NY 10165	X	\$500,001 - \$1,000,000	
S	53	112 West 34 th Street Associates LLC – NY, NY Commercial – Office Building	X	\$100,001 - \$250,000	
S	54	1400 Broadway Associates LLC – NY, NY Commercial – Office Building	X	\$15,001 - \$50,000	
S	55	250 West 57 th Street Associates LLC – NY, NY Commercial – Office Building	X	\$1,000,001 - \$5,000,000	
S	56	500 Mamaroneck Avenue, L.P. – Harrison, NY Commercial – Office Building	X	Over \$1,000,000**	
S	57	60 East 42 nd Street Associates LLC – NY, NY Commercial – Office Building	X	\$50,001 - \$100,000	
S	58	BBSF, LLC – Vacant Land – Stamford, CT	X	\$1,001 - \$15,000	
S	59	Broadway/72 nd Associates II PLM J.V. – NY, NY Commercial – Retail	X	None (or less than 1,001)	
S	60	Chicago Golf Road Limited Partnership – Des Plaines, IL – Residential – Garden Apartments	X	\$500,001 - \$1,000,000	
S	61	East West Manhattan Retail Port L.P. – NY, NY Commercial – Retail	X	\$25,001 - \$50,000	
S	62	Empire State Building Associates LLC – NY, NY Commercial – Office Building	X	\$100,001 - \$100,000	
S	63	Empire State Building Company LLC – NY, NY Commercial – Office Building	X	\$15,001 - \$50,000	
S	64	Fairfield Merrifield Limited Partnership – Norwalk, CT – Commercial – Office Building	X	\$1,000,001 - \$5,000,000	
S	65	First Stamford Place LLC – Stamford, CT Commercial – Office Building	X	Over \$1,000,000**	
					1,576

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

	BLOCK A	BLOCK B Valuation of Assets	BLOCK C Type and Amount of Income Amount of Income		Page Number 16
			Type of Income	Actual Amount Required if "Other" Specified	
S	Identity of Non-Publicly Traded Assets and Unearned Income Sources		None (or less than \$201)		
S	66 Greenwich Retail LLC - Greenwich, CT - Commercial - Retail	X			
S	67 Jefferson (Dixie) Associates LLC - Louisville, KY - Commercial - Retail	X			
S	68 Kansas City Georgian Apartments LLC - Johnson County, KA - Residential - Garden Apartments	X			
S	69 KMO - 361 Reality Associates - Retail Shopping Centers - St. Davids, PA	X			
S	70 Manhasset, NY	X			
S	White Plains, NY	X			
S	Paramus, NJ	X			
S	71 Malkin Co-Investor Capital L.P.	X			
S	72 Louisville Self-Service Associates LLC - Louisville, KY - Commercial - Retail	X			
S	New York, NY - Office Building				
S	Westport, CT - Retail stores				
S	73 Intentionally left blank				
S	Malkin Industrial Partners I, L.P. - Warehouse Distribution Buildings				
S	Memphis, TN				
S	Columbus, OH				
S	Charleston, SC				
S	Raleigh-Durham, NC				
S	Philadelphia, PA				

Reporting Individual's Name
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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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	BLOCK A	BLOCK B Valuation of Assets	BLOCK C Type and Amount of Income Amount of Income	
			Type of Income	Actual Amount Required "Other" Specified
\$	Virginia Beach, VA			
S	74 Malkin Strategic Capital Value Retail (17)		X	
S	75 Malkin Strategic Capital II L.P. – loan to VR Finance LLC – Oxfordshire, UK		X	
S	76 Malkin Strategic Capital III L.P. (9)		X	
S	77 Malkin Strategic Capital IV L.P.		X	
S	1370 Broadway – Office Building - New York, NY		X	
S	400 Madison Avenue – Office Building – New York, NY		X	
S	Bicester Village – Retail - Oxfordshire, England		X	
S	Panzer Dune Portfolio – Residential - Virginia and Maryland		X	
S	University Square – Retail - San Diego, CA		X	
S	681 Fifth Avenue – Office Building- New York, NY		X	
S	220 Park Avenue South – Office Building – New York, NY		X	
S	Marlboro Building Associates LLC – NY, NY Commercial – Office Building		X	
S	80 New Soundview Plaza Associates, LP		X	
S	New York, NY – Office Building			
S	Westport, CT – Retail stores			
S	81 New York Union Square Retail L.P. – NY, NY Commercial – Retail & Garage		X	
S	82 Pittsburgh Self-Service Associates LLC – Ross Township, PA – Commercial – Retail		X	

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PART III B. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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BLOCK A

BLOCK B

		Type and Amount of Income		BLOCK C	
		Type of Income	Amount of Income	Type of Income	Amount of Income
S	83	Rochester River Oaks/Chicago Fox: Rochester Hills, MI - Residential - Garden Apartments	X	Actual Amount Required if "Other" Specified	Over \$55,000,000
S	84	SD Malkin Atlantic Partners, L.P. - Greenwich, CT - Commercial Real Estate - Bridgewater - San Diego, CA - Commercial Retail	X		\$1,000,001 - \$5,000,000
S	85	St. Louis - Georgetown Associates I,L.C. - Shrewsbury, MO - Residential - Garden Apartments	X		Over \$1,000,000...
S	86	U.S. Bicester II LLC PLMalkin JV - Oxfordshire, England - Commercial - Retail (18)	X		\$100,001 - \$1,000,000
S	87	U.S. Bicester L.P. PLMalkin JV - Oxfordshire, England - Commercial - Retail (19)	X		\$500,001 - \$500,000
S	88	U.S. La Roca LLC PLMalkin JV - Barcelona, Spain - Commercial - Retail (20)	X		Over \$1,000,000...
S	89	U.S. Madrid LLC - Madrid, Spain - Commercial - Retail (21)	X		\$15,001 - \$150,000
S	90	U.S. Paris LLC - Paris, France - Commercial - Retail (22)	X		\$50,001 - \$100,000
S	91	U.S. Munich - Munich, Germany - Commercial - Retail (23)	X		\$100,001 - \$250,000
S	92	U.S. Maasmechelin, LLC - Maasmechelin, Belgium - Commercial Retail (24)	X		\$500,001 - \$500,000
S	93	U.S. Dublin, LLC - Dublin, Ireland - Commercial Retail (25)	X		\$25,000,001 - \$50,000,000
S	94	Westport Main Street Retail LLC - Westport, CT - Commercial - Retail	X		Over \$50,000,000
S	95	Malkin Industrial Partners II, LP - Charetstown, MA - Commercial - Office Building	X		None (or less than \$201)
S	1	3M's Partners (Assets reported in Part III B, page 24)	X	All of the following entities:	
S	2	Clo Malkin Holdings LLC New York, NY	X	2 Clo Malkin Holdings LLC New York, NY	X
S	3	LarryMae Partners LLC - Real Estate Supervisory Agent - New York, NY	X	3 LarryMae Partners LLC - Real Estate Supervisory Agent - New York, NY	X
S	4	Malkin Securities Corporation - Broker-dealer - New York, NY	X	4 Malkin Securities Corporation - Broker-dealer - New York, NY	X

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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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	BLOCK A	BLOCK B	BLOCK C	Type and Amount of Income	
				Type of Income	Amount of Income
S	Identity of Non-Publicly Traded Assets and Unearned Income Sources			Actual Amount Required "Other" Specified	
S	5 Malkin Properties of Illinois, Inc. - Real Estate Management - New York, NY	X		7,840	
S	6 Malkin Properties of Virginia, Inc. - Real Estate Management - New York, NY	X		102,061	
S	7 Malkin Properties of Missouri, Inc. - Real Estate Management - New York, NY	X		95,013	
S	8 Malkin Properties of Kansas, Inc. - Real Estate Management - New York, NY	X		129	
S	9 Malkin Properties LLC - Real Estate Management - New York, NY	X			X
S	10 Malkin Properties of Michigan, LLC - Real Estate Management - New York, NY	X			X
S	11 Malkin Properties of New York, LLC - Real Estate Management - New York, NY	X			X
S	12 W&M Properties, Inc. - Real Estate Management - New York, NY	X			X
S	Real Estate Partnerships c/o Malkin Holdings LLC New York, NY 10165				X
S	14 112 W 34th Street Company - New York, NY - Commercial - Office Building				X
S	15 112 W 34th Street Associates LLC New York, NY - Commercial - Office Building				X
S	16 1185 Swap Portfolio LP				X
S	Rochester Hills, Minnesota - Residential - Garden Apartments				X
S	New York, NY - Commercial - Retail				X
S	White Plains, NY - Commercial - Office Building				X
S	Edison, NJ - CVS Retail Store				X
S	Painesville, OH - CVS Retail Store				X
S	1333 Broadway Associates L.L.C. New York, NY - Commercial - Office Building				X
S	1400 Broadway Associates L.L.C. New York, NY - Commercial - Office Building				X
S	18				

Reporting Individual's Name
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BLOCK A		BLOCK B		BLOCK C	
		Valuation of Assets		Type and Amount of Income	
		Type of Income	Amount of Income	Type of Income	Amount of Income
S	19 250 W 57 th Street Associates LLC New York, NY - Commercial - Office Building	X	X	Actual Amount	
S	20 60 East 42 nd Street Associates LLC New York, NY - Commercial - Office Building	X	X	Required if "Other" Specified	
S	21 Broadway/72 nd Street Associates II P.L.M. JV New York, NY - Commercial - Retail & Garage	X	X	Over \$5,000,000	
S	22 Chicago Golf Road Limited Partnership Des Plaines, IL - Residential - Garden Apartments	X	X	\$1,000,001 - \$5,000,000	
S	23 East West Manhattan Retail Portfolio L.P. New York, NY - Commercial - Retail	X	X	\$100,001 - \$1,000,000	
S	24 Empire State Building Associates LLC New York, NY - Commercial - Office Building	X	X	\$25,000,001 - \$25,000,000	
S	25 Fairfield Merrittview LP Nonwalk, CT - Commercial - Office Building	X	X	\$500,001 - \$500,000	
S	26 First Stamford Place L.L.C. Stamford, CT - Commercial - Office Building	X	X	Over \$1,000,000	
S	27 Fisk Building Associates L.L.C. New York, NY - Commercial - Office Building	X	X	\$15,001 - \$15,000	
S	28 Kansas City Georgetown Apartments LLC - Johnson County, KA - Residential - Garden Apartments	X	X	\$100,001 - \$250,000	
S	29 KMO 361 Realty Associates - Retail Shopping Centers	X	X	\$50,001 - \$100,000	
S	30 Louisville Self-Service Associates LLC Louisville, KY - Commercial - Retail	X	X	\$15,001 - \$15,000	
S	31 Malkin Industrial Partners II LP - Charlestown, MA - Commercial - Office Building	X	X	None (or less than \$201)	
S	32 Malkin Strategic Capital II L.P. - Loan to VR Finance LLC - Oxfordshire, UK	X	X	\$201 - \$1,000	

PART III.B. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNFAIRNED INCOME SOURCES

Reporting Individual's Name
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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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BLOCK A

BLOCK B
Valuation of Assets

Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK C Type and Amount of Income	
		Type of Income	Amount of Income
S	1 NEW THINGS PARTNERS LLC c/o Malkin Holdings LLC New York, NY 10165	Actual Amount Required if "Other" Specified	Over \$55,000,000
S	2 JPMorgan - Checking Account - Newark, DE	Other (Specify Type)	\$1,000,001 - \$5,000,000
S	3 Wintergreen Partners Fund LP - Hedge Fund - Mountain Lakes, NJ (1)	Qualified Blind Trust	Over \$1,000,000...
S	4 AAM Emerging Managers LP - Private Equity - New York, NY	Exempted Trust	\$100,001 - \$1,000,000
S	5 AEA Mezzanine Fund - Private Equity - New York, NY	Accepted Investment Fund	\$50,001 - \$150,000
S	6 AIM MidStream Ltd - Private Equity - New York, NY	Capital Gains	\$25,001 - \$25,000
S	7 Aisling Capital Partners II LP - Private Equity - New York, NY	Interest	\$1,001 - \$100,000
S	8 Aisling Capital Partners III LP - Private Equity - New York, NY	Dividends	\$15,001 - \$50,000
S	9 Asia Opportunity Fund II, LP - Private Equity - Hong Kong	Rent	Over \$1,000,000...
S	10 Blue Ridge China Partners LP - Private Equity - New York, NY	Capital Gains	\$100,001 - \$500,000
S	11 C/R Brazil Ethanol Partners LP - Private Equity - Washington, DC - Companhia Nacional de Acucar e Alcool, located in Ribeirao Preto, Brazil.	Interest	\$500,001 - \$1,000,000
S	12 Carlyle/Riverstone Renewable Energy Infrastructure Fund I, LP - Private Equity - Washington, DC	Dividends	\$250,001 - \$250,000
S	13 Amaizingly Green Products, LLC - Collingwood, ON, Canada	Rent	\$5,001 - \$15,000
S	14 Bottle Rock Power, LLC - Cobb, GA	Interest	\$1,001 - \$100,000
S	15 Coastal Carolina Clean Power, LLC - Austin, TX	Dividends	Over \$55,000,000
S	16 Companhia Nacional de Acucar e Alcool - Ribeirao Preto, Brazil	Rent	\$1,000,001 - \$5,000,000

BLOCK A		BLOCK B		BLOCK C	
		Valuation of Assets		Type and Amount of Income	
				Type of Income	Amount of Income
S	Identity of Non-Publicly Traded Assets and Unearned Income Sources			Actual Amount Required if "Other" Specified	
S	Green Earth Fuels, LLC – Houston, TX	X		Over \$50,000,000	
S	Newberry Geothermal Holdings, LLC – Stamford, CT	X		\$1,000,001 - \$5,000,000	
S	ThermaSource, LLC – Santa Rose, CA	X		Over \$1,000,000**	
S	First Republic Bank (FRC-NYSE) (Stock)	X		\$100,001 - \$1,000,000	
S	Haverford Capital Partners (Cayman) Limited – Private Equity – Stamford, CT	X		Over \$1,000,000**	
S	Tinicum Capital Partners II, LP – Private Equity – New York, NY	X		\$500,001 - \$1,000,000	
S	Tinicum Capital Partners II Add-On Fund LP – Private Equity – New York, NY	X		\$250,001 - \$500,000	
S	3G Special Situations Fund II, LP – Private Equity – New York, NY	X		\$50,001 - \$150,000	
S	C/R Knight Partners LP – Private Equity – Washington, DC	X		\$15,001 - \$50,000	
S	OTHER ONE LLC c/o Malkin Holdings LLC New York, NY 10165			\$1,001 - \$15,000	
S	JPMorgan Checking Account - Newark, DE			None (or less than \$201)	
S	Valiant Capital Partners, LP – Hedge Fund – San Francisco, CA	X		Over \$5,000,000	
S				\$100,001 - \$1,000,000	
S				\$50,001 - \$150,000	
S				\$2,501 - \$5,000	
S				\$1,001 - \$2,500	
S				\$201 - \$1,000	
S				Capital Gains	
S				Rent	
S				Interest	
S				Accepted Investment Fund	
S				Exempted Trust	
S				Qualified Blind Trust	
S				Other (Specify Type)	
S				\$15,001 - \$50,000	
S				\$5,001 - \$15,000	
S				\$2,501 - \$5,000**	
S				\$1,000,001 - \$5,000,000	
S				Over \$50,000,000	

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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Reporting Individual's Name
Richard Blumenthal

PART III B. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

BLOCK A		Identity of Non-Publicly Traded Assets and Unearned Income Sources		Actual Amount Required if "Other" Specified	
\$	1	3M'S PARTNERS c/o Malkin Holdings LLC New York, NY 10165			
S	2	JPMorgan - Checking Account - Newark, DE		X	
S	3	Blue Ridge Limited Partnership- Hedge Fund - New York, NY		X	
S	4	DC Capital Partners, LP - Hedge Fund - New York, NY		X	
S	5	Eton Park Fund, LP - Hedge Fund - New York, NY		X	
S	6	Quasar Global Opportunity Fund - Hedge Fund - New York, NY (1)		X	
S	7	Wintergreen Partners Fund, LP - Hedge Fund - Mountain Lakes, NJ (1)		X	
S	8	Trellus Partners, LP - Hedge Fund - New York, NY		X	
S	9	Tiger Asia Holdings Ltd SPV - Hedgefund - New York, NY		X	

BLOCK B		Valuation of Assets		Type and Amount of Income	
				Actual Amount	Required if "Other" Specified
				Over \$5,000,000	
				\$1,000,001 - \$5,000,000	
				Over \$1,000,000...	
				\$100,001 - \$1,000,000	
				\$50,001 - \$100,000	
				\$15,001 - \$50,000	
				\$5,001 - \$15,000	
				\$2,501 - \$5,000	
				\$1,001 - \$2,500	
				\$201 - \$1,000	
				None (or less than \$201)	
				Other (Specify Type)	
				Qualified Blind Trust	
				Exempted Trust	
				Exempted Investment Fund	
				Capital Gains	
				Interest	
				Rent	
				Dividends	
				Over \$50,000,000	
				\$25,000,001 - \$50,000,000	
				\$5,000,001 - \$25,000,000	
				\$1,000,001 - \$5,000,000	
				Over \$1,000,000...	
				\$500,001 - \$1,000,000	
				\$250,001 - \$500,000	
				\$100,001 - \$250,000	
				\$50,001 - \$100,000	
				\$15,001 - \$50,000	
				\$1,001 - \$15,000	
				None (or less than \$1,001)	

BLOCK C		Amount of Income			
				Actual Amount	Required if "Other" Specified
				Over \$5,000,000	
				\$1,000,001 - \$5,000,000	
				Over \$1,000,000...	
				\$100,001 - \$1,000,000	
				\$50,001 - \$100,000	
				\$15,001 - \$50,000	
				\$5,001 - \$15,000	
				\$2,501 - \$5,000	
				\$1,001 - \$2,500	
				\$201 - \$1,000	
				None (or less than \$201)	

Reporting Individual's Name
Richard Blumenthal

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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BLOCK A		BLOCK B Valuation of Assets		BLOCK C Type and Amount of Income Amount of Income	
				Type of Income	Actual Amount Required if "Other" Specified
DC	1	PLM/DAVID 1998 TRUST - New York, NY		None (or less than \$201)	\$201 - \$1,000
DC	2	JPMorgan - Checking Account - Newark, DE	X	X	\$1,001 - \$10,000
DC	3	Wintergreen Fund (WGRNX) - Publicly traded mutual fund	X	X	\$100,001 - \$100,000
DC	4	RCB Investment Partners LLC (12)	X	X	\$50,001 - \$50,000
DC	5	Note Receivables - Peter L. Malkin - Greenwich, CT	X	X	\$1,001 - \$10,000
DC	6	Real Estate Partnerships c/o Malkin Holdings LLC New York, NY 10165		X	\$100,001 - \$100,000
DC	7	Louisville Self Service Associates LLC - Louisville, KY - Commercial - Retail	X	X	\$100,001 - \$100,000
DC	8	Malkin Support Fund (26)	X	X	\$15,001 - \$50,000
DC	9	Malkin Industrial Partners I, L.P. - Warehouse Distribution Buildings	X	X	\$250,001 - \$250,000
DC		Memphis, TN		X	\$500,001 - \$1,000,000
DC		Columbus, OH		X	\$250,001 - \$250,000
DC		Charleston, SC		X	\$1,001 - \$10,000
DC		Raleigh-Durham, NC		X	\$250,001 - \$250,000
DC		Philadelphia, PA		X	\$1,001 - \$10,000
DC		Virginia Beach, VA		X	\$1,001 - \$10,000
DC	10	Malkin Strategic Capital II L.P. - loan to VR Finance LLC - Oxfordshire, UK	X	X	OVER \$50,000,000

Reporting Individual's Name
Richard Blumenthal

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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	BLOCK A	BLOCK B Valuation of Assets	BLOCK C Type and Amount of Income	
			Amount of Income	Actual Amount Required if "Other" Specified
	Identity of Non-Publicly Traded Assets and Unearned Income Sources			
DC 1	PLM/CLAIRE 1998 TRUST - New York, NY			
DC 2	JPMorgan - Checking Account - Newark, DE	X		
DC 3	Wintergreen Fund (WGRNX) - Publicly traded mutual fund	X		
DC 4	RCB Investment Partners LLC (12)	X		
DC 5	Note Receivable - Peter L. Malkin - Greenwich, CT	X		
DC 6	Real Estate Partnerships cio Malkin Holdings LLC New York, NY 10165			
DC 7	Louisville Self-Service Associates LLC - Louisville, KY - Commercial - Retail	X		
DC 8	Malkin Industrial Partners I, LP	X		
DC	Memphis, TN			
DC	Columbus, OH			
DC	Charleston, SC			
DC	Raleigh-Durham, NC			
DC	Philadelphia, PA			
DC	Virginia Beach, VA			
DC 9	Malkin Support Fund (26)	X		
DC 10	Malkin Strategic Capital II L.P. - Loan to VR Finance LLC - Oxfordshire, UK	X		

Reporting Individual's Name
Richard Blumenthal

BLOCK A		BLOCK B Valuation of Assets		BLOCK C Type and Amount of Income	
				Type of Income	Amount of Income
				Actual Amount Required if "Other" Specified	
				Over \$5,000,000	
				\$1,000,001 - \$5,000,000	
				Over \$1,000,000...	
				\$100,001 - \$1,000,000	
				\$50,001 - \$50,000	
				\$15,001 - \$15,000	
				\$5,001 - \$5,000	
				\$2,501 - \$5,000	
				\$1,001 - \$2,500	
				\$201 - \$1,000	
				None (or less than \$201)	
				Other (Specify Type)	
				Qualified Blind Trust	
				Exempted Trust	
				Exempted Investment Fund	
				Capital Gains	
				Interest	
				Rent	
				Dividends	
				Over \$50,000,000	
				\$25,000,001 - \$50,000,000	
				\$5,000,001 - \$25,000,000	
				Over \$1,000,000...	
				\$500,001 - \$1,000,000	
				\$250,001 - \$500,000	
				\$100,001 - \$250,000	
				\$50,001 - \$100,000	
				\$1,001 - \$15,000	
				None (or less than 1,001)	
				Identity of Non-Publicly Traded Assets and Unearned Income Sources	
				CLARE M. BLUMENTHAL 2012 TRUST - GREENWICH, CT (29)	
DC		1		JPMorgan - Checking Account	
DC		2		RCB Investment Partners LLC (12)	
DC		3		Real Estate Partnerships c/o Malkin Holdings LLC New York, NY 10165	
DC		4		250 West 57 th St. Associates LLC - New York, NY - Commercial - Office Building	
DC		5		60 East 42 nd Street Associates LLC - New York, NY - Commercial - Office Building	
DC		6		Chicago Golf Road Limited Partnership - Des Plaines, IL - Residential - Garden Apartments	
DC		7		Empire State Building Associates LLC - New York, NY - Commercial - Office Building	
DC		8		Fairfax Merrifield Associates LP	
DC		9		Fairfax, VA - Residential - Garden Apartments	
DC				Stamford, CT - Commercial - Office Building	
DC		10		Fairfield Merritview LP - Norwalk, CT	
DC		11		Fisk Building Associates LLC - New York, NY	
DC		12		Malkin Industrial Partners II, LP - Charlestown, MA - Commercial - Office Building	
DC		13		Malkin Strategic Capital II LP - loan to VR Finance LLC - Oxfordshire, UK	
DC		14		St. Louis-Georgetown Associates LLC - St. Shrewsbury, MO - Residential - Garden Apartments	
DC		15		500 Mamaroneck Avenue L.P. - Harrison, NY - Commercial - Office Building	
				16	

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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Reporting Individual's Name
Richard Blumenthal

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Reporting Individual's Name

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RICHARD BLUMENTHAL

PART III B. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p. 3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

Example: DC. S. Wash DC JP Computer, Software Design,

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets		BLOCK C Type and Amount of Income																							
<p>Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See P.3, CONTENTS OF REPORTS Part B Of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which:</p> <ul style="list-style-type: none"> (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. <p>Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.</p>		<p>At the close of reporting period. If None, or less than \$1,001, Check the first column.</p>		<table border="1"> <thead> <tr> <th>Type of Income</th> <th>Amount of Income</th> </tr> </thead> <tbody> <tr><td>None</td><td>\$1,001 - \$15,000</td></tr> <tr><td>Dividends</td><td>\$15,001 - \$50,000</td></tr> <tr><td>Rent</td><td>\$50,001 - \$100,000</td></tr> <tr><td>Interest</td><td>\$100,001 - \$250,000</td></tr> <tr><td>Capital Gains</td><td>\$250,001 - \$500,000</td></tr> <tr><td>Accepted Investment Fund</td><td>\$500,001 - \$1,000,000</td></tr> <tr><td>Exempted Trust</td><td>Over \$1,000,000...</td></tr> <tr><td>Qualified Blind Trust</td><td>\$1,000,001 - \$5,000,000</td></tr> <tr><td>Other (Specify Type)</td><td>Over \$5,000,000</td></tr> <tr><td>Actual Amount Required if "Other" Specified</td><td>Over \$5,000,000...</td></tr> </tbody> </table>		Type of Income	Amount of Income	None	\$1,001 - \$15,000	Dividends	\$15,001 - \$50,000	Rent	\$50,001 - \$100,000	Interest	\$100,001 - \$250,000	Capital Gains	\$250,001 - \$500,000	Accepted Investment Fund	\$500,001 - \$1,000,000	Exempted Trust	Over \$1,000,000...	Qualified Blind Trust	\$1,000,001 - \$5,000,000	Other (Specify Type)	Over \$5,000,000	Actual Amount Required if "Other" Specified	Over \$5,000,000...
Type of Income	Amount of Income																										
None	\$1,001 - \$15,000																										
Dividends	\$15,001 - \$50,000																										
Rent	\$50,001 - \$100,000																										
Interest	\$100,001 - \$250,000																										
Capital Gains	\$250,001 - \$500,000																										
Accepted Investment Fund	\$500,001 - \$1,000,000																										
Exempted Trust	Over \$1,000,000...																										
Qualified Blind Trust	\$1,000,001 - \$5,000,000																										
Other (Specify Type)	Over \$5,000,000																										
Actual Amount Required if "Other" Specified	Over \$5,000,000...																										
S. Example: DC, or J	JP Computer, Software Design, Wash DC Undeveloped land, Dubuque, Iowa	Example X	Example X	Example X																							
1	DC	CLAIRES M. BLUMENTHAL																									
2	DC	CMB 2010 Family Trust (27)																									
3	DC	CMB 2010 Trust (13)																									
4	DC	CMB 2012 Gift Trust (28)																									
5																											
6																											
7																											
8																											
9																											
10																											

EXEMPTION YES (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 - (2) generated over \$200 in "unearned" income during the reporting period.
- Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

Example: DC, Wash DC
or J Undeveloped land, Dubuque, Iowa

1 DC DAVID J. BLUMENTHAL

2 DC CMB 2010 Family Trust (27)

3 DC CMB 2010 Trust (13)

4 DC CMB 2012 Gift Trust (28)

5

6

7

8

9

10

BLOCK B Valuation of Assets		BLOCK C Type and Amount of Income	
		Type of Income	Amount of Income
		Other (Specify Type)	Actual Amount Required if "Other" Specified
		None (or less than \$201)	Over \$5,000,000
			\$1,000,001 - \$5,000,000
			Over \$1,000,000***
			\$100,001 - \$1,000,000
			\$50,001 - \$100,000
			\$15,001 - \$50,000
			\$5,001 - \$15,000
			\$2,501 - \$5,000
			\$1,001 - \$2,500
			\$201 - \$1,000
			None (or less than \$201)
			Over \$50,000,000
			\$25,000,001 - \$50,000,000
			\$5,000,001 - \$25,000,000
			Over \$1,000,000***
			\$500,001 - \$1,000,000
			\$250,001 - \$500,000
			\$100,001 - \$250,000
			\$50,001 - \$100,000
			\$15,001 - \$50,000
			\$1,001 - \$15,000
			None (or less than \$1,001)

At the close of reporting period.
If None, or less than \$1,001.
Check the first column.

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES
**BLOCK C
Type and Amount of Income**

At the close of reporting period.
If None, or less than \$1,001.

Check the first column.

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
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Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

Example: S, JP Computer, Software Design,
Wash DC
or J Undeveloped land, Dubuque, Iowa

Example: X

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

