00000623472

UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

☐ Amendment

Last Name	First Name and Middle Initial	Annual Report: Calendar Year Covered by Report	Senate Office / Agency in Which Employed
Grassley	Charles E.	2012	U.S. Senator for Iowa

Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number *(Include Area Code)*	Termination Report: Termination Date (mm/dd/yy)	Prior Office / Agency in Which Employed
135 Hart Senate Office Building Washington, DC 20510	202-224-3744	N/A	N/A

AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

Question	YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.		X
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than $200 from any reportable source in the reporting period? If Yes, complete and attach PART II.	X	
Did you, your spouse, or dependent child hold any reportable asset worth more than $1,000 at the end of the period, or receive unearned or investment income of more than $200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.	X	
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? If Yes, complete and attach PART IV.	X	
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? If Yes, complete and attach PART V.		X
Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than $350 from one source)? If Yes, complete and attach PART VI.		X
Did you, your spouse, or dependent child have any reportable liability (more than $10,000) during the reporting period? If Yes, complete and attach PART VII.	X	
Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	X	
Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.		X
If this is your FIRST Report: Did you receive compensation of more than $5,000 from a single source in the two prior years? If Yes, complete and attach PART X.		

Each question must be answered and the appropriate PART attached for each "YES" response.

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Reporting Individual's Name: Charles E. Grassley	☐ Amendment	PART II. EARNED AND NON-INVESTMENT INCOME	Page Number: 3

Report the source (name and address), type, and amount of earned income to you from any source aggregating $200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate $1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS, Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:
For you and /or your spouse, report honoraria income received which aggregates $200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

	Name of Income Source	Address (City, State)	Type of Income	Amount
Example:	*JP Computers*	*Wash., DC* EXAMPLE	*Salary*	*$15,000*
	MCI (Spouse)	*Arlington, VA* EXAMPLE	*Salary*	*Over $1,000*
1	Chambers, Conlon & Hartwell (spouse)	Washington, DC	Salary	Over $1,000
2				
3				
4				
5				
6				
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Reporting Individual's Name	Amendment	PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES	Page Number
Charles E. Grassley	☐		4

BLOCK A — Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS, Part B of Instructions)* for production of income or investment which:

(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.

Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B — Valuation of Assets

At the close of reporting period. If None, or less than $1,001, check the first column.

BLOCK C — Type and Amount of Income

	BLOCK A	B: None (or less than $1,001)	B: $1,001 - $15,000	B: $15,001 - $50,000	B: $50,001 - $100,000	B: $100,001 - $250,000	B: $250,001 - $500,000	B: $500,001 – $1,000,000	B: Over $1,000,000***	B: $1,000,001 - $5,000,000	B: $5,000,001 - $25,000,000	B: $25,000,001 - $50,000,000	B: Over $50,000,000	Type: None	Type: Dividends	Type: Rent	Type: Interest	Type: Capital Gains	Type: Excepted Investment Fund	Type: Excepted Trust	Type: Qualified Blind Trust	Type: Other (Specify Type)	Amount: None (or less than $201)	Amount: $201 - $1,000	Amount: $1,001 - $2,500	Amount: $2,501 - $5,000	Amount: $5,001 - $15,000	Amount: $15,001 - $50,000	Amount: $50,001 - $100,000	Amount: $100,001 - $1,000,000	Amount: Over $1,000,000***	Amount: $1,000,001 - $5,000,000	Amount: Over $5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J	*IBM Corp. (stock)*				X										X							*Example*		X										*Example*
	(S) Keystone Fund					X													X			*Example*	X											*Example*
1	Chuck & Barbara Freedom Joint Account																																	
2	J)ALLIANCE BERNSTEIN MUN. INC. NATL FND		X												X									X										
3	J)COLUMBIA ACORN INTERNATIONAL		X												X								X											
4	J)COLUMBIA MARSICO FOCUSED			X											X			X								X								
5	J)DWS RREEF GLOBAL REAL ESTATE		X												X									X										
6	J)EAGLE SMALL COMPANY FND		X												X			X						X										
7	J)EUROPACIFIC GROWTH FND CLF1		X												X									X										
8	J)JP MORGAN U.S.LARGE CAP CORE		X												X			X						X										
9	J)JP MORGAN MID CAP VALUE FND INSTITUTIONAL SHARES N/L		X												X			X						X										
10	J)JANUS VENTURE FND CLASS A		X												X			X							X									

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Name	☐ Amendment	PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES	Page Number
Charles E. Grassley			5

BLOCK A
Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS, Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B
Valuation of Assets
At the close of reporting period. If None, or less than $1,001, check the first column.

#	BLOCK A	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 – $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example: S, DC, or J	*IBM Corp. (stock)*				x								
	(S) Keystone Fund					x							
1	J)JOHN HANCOCK DISC VAL FND CL A			X									
2	J)MANNING&NAPIER EQUITY SERIES		X										
3	J)MFS MUNICIPAL FND CLASS A		X										
4	J)MFS RESEARCH FND CLASS A		X										
5	J)MUNDER SER INT SMALL CAP CL Y		X										
6	J)NATIXIS ASG GLOBAL ALTERNATIVES FND		X										
7	J)NEUBERGER & BERMAN EQUITY FD GENESIS FD		X										
8	J)PIMCO FDS PAC INVT MGMT SER COM RLRT STR P (formerly A)		X										
9	J)PRUDENTIAL JENNISON MID CAP GROWTH CL Z		X										
10	J)RJ BANK DEPOSIT PROGRAM		X										

BLOCK C
Type and Amount of Income

#	BLOCK A	Type of Income: None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other *(Specify Type)*
Example: S, DC, or J	*IBM Corp. (stock)*		x							*Example*
	(S) Keystone Fund						x			*Example*
1	J)JOHN HANCOCK DISC VAL FND CL A		X			X				
2	J)MANNING&NAPIER EQUITY SERIES		X			X				
3	J)MFS MUNICIPAL FND CLASS A		X							
4	J)MFS RESEARCH FND CLASS A		X							
5	J)MUNDER SER INT SMALL CAP CL Y		X							
6	J)NATIXIS ASG GLOBAL ALTERNATIVES FND	X								
7	J)NEUBERGER & BERMAN EQUITY FD GENESIS FD		X			X				
8	J)PIMCO FDS PAC INVT MGMT SER COM RLRT STR P (formerly A)		X			X				
9	J)PRUDENTIAL JENNISON MID CAP GROWTH CL Z		X			X				
10	J)RJ BANK DEPOSIT PROGRAM				X					

#	BLOCK A	Amount of Income: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	Over $5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J	*IBM Corp. (stock)*		x										*Example*
	(S) Keystone Fund	x											*Example*
1	J)JOHN HANCOCK DISC VAL FND CL A			X									
2	J)MANNING&NAPIER EQUITY SERIES			X									
3	J)MFS MUNICIPAL FND CLASS A		X										
4	J)MFS RESEARCH FND CLASS A	X											
5	J)MUNDER SER INT SMALL CAP CL Y	X											
6	J)NATIXIS ASG GLOBAL ALTERNATIVES FND	X											
7	J)NEUBERGER & BERMAN EQUITY FD GENESIS FD		X										
8	J)PIMCO FDS PAC INVT MGMT SER COM RLRT STR P (formerly A)		X										
9	J)PRUDENTIAL JENNISON MID CAP GROWTH CL Z		X										
10	J)RJ BANK DEPOSIT PROGRAM					X							

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name: Charles E. Grassley ☐ Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A — Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS, Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B — Valuation of Assets At the close of reporting period. If None, or less than $1,001, check the first column.

BLOCK C — Type and Amount of Income (Type of Income; Amount of Income)

#	Block A: Asset (S, DC, or J)	B: None (or less than $1,001)	B: $1,001 - $15,000	B: $15,001 - $50,000	B: $50,001 - $100,000	B: $100,001 - $250,000	B: $250,001 - $500,000	B: $500,001 – $1,000,000	B: Over $1,000,000***	B: $1,000,001 - $5,000,000	B: $5,000,001 - $25,000,000	B: $25,000,001 - $50,000,000	B: Over $50,000,000	Type: None	Type: Dividends	Type: Rent	Type: Interest	Type: Capital Gains	Type: Excepted Investment Fund	Type: Excepted Trust	Type: Qualified Blind Trust	Type: Other (Specify Type)	Amount: None (or less than $201)	Amount: $201 - $1,000	Amount: $1,001 - $2,500	Amount: $2,501 - $5,000	Amount: $5,001 - $15,000	Amount: $15,001 - $50,000	Amount: $50,001 - $100,000	Amount: $100,001 - $1,000,000	Amount: Over $1,000,000***	Amount: $1,000,001 - $5,000,000	Amount: Over $5,000,000	Actual Amount Required if "Other" Specified
Example	*IBM Corp. (stock)*				X										X							*Example*		X										*Example*
Example	*(S) Keystone Fund*					X													X			*Example*	X											*Example*
1	J)T.ROWE PRICE SUMMIT MUN INC FND			X											X									X										
2	J)RS INVT TR INTL GROWTH FD Y		X												X								X											
3	J)WELLS FARGO ADV INTL VAL FD ADMIN CL		X												X									X										
4	Chuck & Barbara Joint Account																																	
5	J)NUVEEN PREM INCOME MUN FD 2 COM		X												X								X											
6	AXA EQUITABLE ANNUITY				X									X									X											
7	J) RJ BANK DEPOSIT PROGRAM			X													X											X						
8	Chuck's Freedom Sep IRA																																	
9	ASTON FDS AR DIV FND CLASS I			X											X			X								X								
10	CAPITAL INC BLDR INC SHS CL F-2			X											X										X									

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

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Reporting Individual's Name: Charles E. Grassley ☐ Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A — Identity of Publicly Traded Assets And Unearned Income Sources

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BLOCK B — Valuation of Assets: At the close of reporting period. If None, or less than $1,001, check the first column.

BLOCK C — Type and Amount of Income

#	BLOCK A: Asset	B: None (or less than $1,001)	B: $1,001 - $15,000	B: $15,001 - $50,000	B: $50,001 - $100,000	B: $100,001 - $250,000	B: $250,001 - $500,000	B: $500,001 – $1,000,000	B: Over $1,000,000***	B: $1,000,001 - $5,000,000	B: $5,000,001 - $25,000,000	B: $25,000,001 - $50,000,000	B: Over $50,000,000	Type: None	Type: Dividends	Type: Rent	Type: Interest	Type: Capital Gains	Type: Excepted Investment Fund	Type: Excepted Trust	Type: Qualified Blind Trust	Type: Other (Specify Type)	Amount: None (or less than $201)	Amount: $201 - $1,000	Amount: $1,001 - $2,500	Amount: $2,501 - $5,000	Amount: $5,001 - $15,000	Amount: $15,001 - $50,000	Amount: $50,001 - $100,000	Amount: $100,001 - $1,000,000	Amount: Over $1,000,000***	Amount: $1,000,001 - $5,000,000	Amount: Over $5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J	*IBM Corp. (stock)*				X										X							*Example*		X										*Example*
	(S) Keystone Fund					X													X			*Example*	X											*Example*
1	DWS RREEF GLOBAL REAL EST SEC FD CLASS A			X											X							IRA				X								$22,
2	FEDERATED STRATEGIC VAL DIV FND CLASS IS			X											X							Distri-			X									575
3	FRANKLIN INCOME FND ADVISOR CL			X											X							bution				X								
4	CLEARBRIDGE EQUITY INC. FND CLASS A			X											X									X										
5	MAINSTAY EPOCH GLOBAL EQUITY YIELD FND CLASS A			X											X										X									
6	MFS UTILITIES FUND CLASS A			X											X									X										
7	NEUBERGER & BERMAN EQUITY FND EQT INCM FD A			X											X										X									
8	PRINCIPAL PREFERRED SEC FND CLASS P			X											X											X								
9	PRUDENTIAL JENNISON EQUITY INC FUND CLASS Z			X											X										X									
10	RJ BANK DEPOSIT PROGRAM		X														X									X								

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name: Charles E. Grassley | Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A — Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS, Part B of Instructions)* for production of income or investment which:
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Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B — Valuation of Assets

At the close of reporting period. If None, or less than $1,001, check the first column.

BLOCK C — Type and Amount of Income

#	Asset (S, DC, or J)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 – $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Type: None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	Over $5,000,000	Actual Amount Required if "Other" Specified
Example	IBM Corp. (stock)				X										X							Example		X										Example
Example	(S) Keystone Fund					X													X			Example	X											Example
1	Barbara's IRA																																	
2	S)INVESCO CHARTER FUND CL A		X												X							IRA	X											$1,195
3	S)BLACKROCK DIVID ACHIEVERS COM		X												X							Distri-		X										
4	S)TRANSAMERICA FLEXIBLE INCOM A		X												X							bution		X										
5	S) RJ BANK DEPOSIT PROGRAM	X															X							X										
6	NEW YORK LIFE INSURANCE		X												X								X											
7	NEW YORK LIFE INSURANCE		X												X								X											
8	OHIO NATIONAL LIFE INSURANCE		X												X								X											
9	OHIO NATIONAL LIFE INSURANCE		X												X								X											
10																																		

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

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Reporting Individual's Name: Charles E. Grassley ☐ Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A — Identity of Publicly Traded Assets And Unearned Income Sources

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BLOCK B — Valuation of Assets
At the close of reporting period. If None, or less than $1,001, check the first column.

BLOCK C — Type and Amount of Income

Block B: Valuation of Assets

#	Block A: Asset	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example: S, DC, or J	IBM Corp. (stock)				X								
Example	(S) Keystone Fund					X							
1	ING 401k-BARBARA												
2	S)ING FIXED ACCOUNT				X								
3	S)OPPENHEIMER INT.BOND A			X									
4	S)ING TROWE PRICE CAP APPREC PT SRV					X							
5	S)ING INDEX PLUS LARGE CAP PORT I		X										
6	S)ING FIDELITY VIP CONTRAFUND PORT SRV			X									
7	S)ALLIANZ NF J DIVIDEND VALUE FUND INST			X									
8	S)AMERICAN FUNDS GROWTH FUND R3				X								
9	S)ING FIDELITY VIP MD CP PORT.SRV			X									
10	S)OPPENHEIMER MAIN ST SM&MD CP F/VA			X									

Block C: Type of Income

#	Block A: Asset	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)
Example	IBM Corp. (stock)		X							Example
Example	(S) Keystone Fund						X			Example
1	ING 401k-BARBARA									
2	S)ING FIXED ACCOUNT						X			
3	S)OPPENHEIMER INT.BOND A						X			
4	S)ING TROWE PRICE CAP APPREC PT SRV						X			
5	S)ING INDEX PLUS LARGE CAP PORT I						X			
6	S)ING FIDELITY VIP CONTRAFUND PORT SRV						X			
7	S)ALLIANZ NF J DIVIDEND VALUE FUND INST						X			
8	S)AMERICAN FUNDS GROWTH FUND R3						X			
9	S)ING FIDELITY VIP MD CP PORT.SRV						X			
10	S)OPPENHEIMER MAIN ST SM&MD CP F/VA						X			

Block C: Amount of Income

#	Block A: Asset	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	Over $5,000,000	Actual Amount Required if "Other" Specified
Example	IBM Corp. (stock)		X										Example
Example	(S) Keystone Fund	X											Example
1	ING 401k-BARBARA												
2	S)ING FIXED ACCOUNT			X									
3	S)OPPENHEIMER INT.BOND A				X								
4	S)ING TROWE PRICE CAP APPREC PT SRV						X						
5	S)ING INDEX PLUS LARGE CAP PORT I		X										
6	S)ING FIDELITY VIP CONTRAFUND PORT SRV				X								
7	S)ALLIANZ NF J DIVIDEND VALUE FUND INST				X								
8	S)AMERICAN FUNDS GROWTH FUND R3					X							
9	S)ING FIDELITY VIP MD CP PORT.SRV				X								
10	S)OPPENHEIMER MAIN ST SM&MD CP F/VA				X								

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

00000623480

Reporting Individual's Name: Charles E. Grassley ☐ Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number 10

BLOCK A — Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS, Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B — Valuation of Assets — At the close of reporting period. If None, or less than $1,001, check the first column.

BLOCK C — Type and Amount of Income

#	BLOCK A	B: None (or less than $1,001)	B: $1,001 - $15,000	B: $15,001 - $50,000	B: $50,001 - $100,000	B: $100,001 - $250,000	B: $250,001 - $500,000	B: $500,001 – $1,000,000	B: Over $1,000,000***	B: $1,000,001 - $5,000,000	B: $5,000,001 - $25,000,000	B: $25,000,001 - $50,000,000	B: Over $50,000,000	Type: None	Type: Dividends	Type: Rent	Type: Interest	Type: Capital Gains	Type: Excepted Investment Fund	Type: Excepted Trust	Type: Qualified Blind Trust	Type: Other (Specify Type)	Amount: None (or less than $201)	Amount: $201 - $1,000	Amount: $1,001 - $2,500	Amount: $2,501 - $5,000	Amount: $5,001 - $15,000	Amount: $15,001 - $50,000	Amount: $50,001 - $100,000	Amount: $100,001 - $1,000,000	Amount: Over $1,000,000***	Amount: $1,000,001 - $5,000,000	Amount: Over $5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J	*IBM Corp. (stock)*				X										X							*Example*		X										*Example*
	(S) Keystone Fund					X													X			*Example*	X											*Example*
1	S)COLUMBIA MID CAP VALUE FUND A			X															X							X								
2	S)AMERICAN FUNDS EURO PACIFIC R3				X														X								X							
3	S)LAZARD EMERGING MKTS EQTY PORT OPN			X															X							X								
4	College Savings Iowa for Granchildren managed by Vangard																																	
5	MODERATE GROWTH AGE-BASED TRACK 19 & OLDER Money Market				X														X															
6	MODERATE GROWTH AGE-BASED TRACK 16-18 Income Portfolio			X															X															
7	CONSERVATATIVE INCOME PORTFOLIO		X																X															
8	S)MODERATE GROWTH AGE-BASED TRACK 19 & OLDER Money Market				X														X															
9	S)MODERATE GROWTH AGE-BASED TRACK 16-18 Income Portfolio			X															X															
10	S)CONSERVATIVE INCOME PORTFOLIO		X																X															

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

00000623481

Reporting Individual's Name: Charles E. Grassley ☐ Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number 11

BLOCK A — Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS, Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment fund, bank account, excepted and qualified blind trust, and publicly traded asset of a retirement plan.

BLOCK B — Valuation of Assets
At the close of reporting period. If None, or less than $1,001, check the first column.

BLOCK C — Type and Amount of Income

#	Block A	B: None (or less than $1,001)	B: $1,001 - $15,000	B: $15,001 - $50,000	B: $50,001 - $100,000	B: $100,001 - $250,000	B: $250,001 - $500,000	B: $500,001 – $1,000,000	B: Over $1,000,000***	B: $1,000,001 - $5,000,000	B: $5,000,001 - $25,000,000	B: $25,000,001 - $50,000,000	B: Over $50,000,000	Type: None	Type: Dividends	Type: Rent	Type: Interest	Type: Capital Gains	Type: Excepted Investment Fund	Type: Excepted Trust	Type: Qualified Blind Trust	Type: Other (Specify Type)	Amount: None (or less than $201)	Amount: $201 - $1,000	Amount: $1,001 - $2,500	Amount: $2,501 - $5,000	Amount: $5,001 - $15,000	Amount: $15,001 - $50,000	Amount: $50,001 - $100,000	Amount: $100,001 - $1,000,000	Amount: Over $1,000,000***	Amount: $1,000,001 - $5,000,000	Amount: Over $5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J	*IBM Corp. (stock)*				X										X							*Example*		X										*Example*
	(S) Keystone Fund					X													X			*Example*	X											*Example*
1	S)THE AMERICAN FUNDS GROUP - AMERICAN MUTUAL FUND 03			X															X					X										
2	J)STATE BANK & TRUST COMPANY, NEW HARTFORD, IOWA (closed)	X															X							X										
3	J)COMMUNITY NATIONAL BANK, CEDAR FALLS, IOWA (checking)			X													X						X											
4																																		
5																																		
6																																		
7																																		
8																																		
9																																		
10																																		

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

00000623482

Reporting Individual's Name: Charles E. Grassley ☐ Amendment

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number 12

BLOCK A — Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B — Valuation of Assets

At the close of reporting period. If None, or less than $1,001, check the first column.

#	BLOCK A	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example: S, DC, or J	*JP Computer, Software Design, Wash DC*				X								
	Undeveloped land, Dubuque, Iowa					X							
1	FARMERS CO-OPERATIVE CO.		X										
2	VALUE FIRST CO-OPERATIVE, DIKE, IA		X										
3	FARM LAND-all located in Butler County, IA, Beaver Township												
4	J)SEC. 16, RNG. 15-118 A					X							
5	J)SEC 24, RNG. 15- 72 A					X							
6	J)SEC. 34, RNG. 15- 40 A					X							
7	J)SEC 15, RNG 15- 43 A				X								
8	J)SEC 15, RNG. 15- 87 A					X							
9	J)SEC 34, RNG. 15-104 A					X							
10	J)SEC 34 & 35, RNG. 18-120 A					X							

BLOCK C — Type and Amount of Income

#	BLOCK A	Type: None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other *(Specify Type)*	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	Over $5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J	*JP Computer, Software Design, Wash DC*		X							*Example*		X										*Example*
	Undeveloped land, Dubuque, Iowa	X								*Example*	X											*Example*
1	FARMERS CO-OPERATIVE CO.		X							Com-bined		X										
2	VALUE FIRST CO-OPERATIVE, DIKE, IA	X								Farm												
3	FARM LAND-all located in Butler County, IA, Beaver Township									In-come												
4	J)SEC. 16, RNG. 15-118 A									$313, 414												
5	J)SEC 24, RNG. 15- 72 A									Com-bined												
6	J)SEC. 34, RNG. 15- 40 A									Farm												
7	J)SEC 15, RNG 15- 43 A									Exp-ense												
8	J)SEC 15, RNG. 15- 87 A									$133, 052												
9	J)SEC 34, RNG. 15-104 A									Net In-come												
10	J)SEC 34 & 35, RNG. 18-120 A									$180, 362												

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

00000623483

Reporting Individual's Name: Charles E. Grassley

☐ Amendment

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A — Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B — Valuation of Assets

At the close of reporting period. If None, or less than $1,001, check the first column.

BLOCK C — Type and Amount of Income

#	Block A	B: None (or less than $1,001)	B: $1,001 - $15,000	B: $15,001 - $50,000	B: $50,001 - $100,000	B: $100,001 - $250,000	B: $250,001 - $500,000	B: $500,001 – $1,000,000	B: Over $1,000,000***	B: $1,000,001 - $5,000,000	B: $5,000,001 - $25,000,000	B: $25,000,001 - $50,000,000	B: Over $50,000,000	Type: None	Type: Dividends	Type: Rent	Type: Interest	Type: Capital Gains	Type: Excepted Investment Fund	Type: Excepted Trust	Type: Qualified Blind Trust	Type: Other (Specify Type)	Amt: None (or less than $201)	Amt: $201 - $1,000	Amt: $1,001 - $2,500	Amt: $2,501 - $5,000	Amt: $5,001 - $15,000	Amt: $15,001 - $50,000	Amt: $50,001 - $100,000	Amt: $100,001 - $1,000,000	Amt: Over $1,000,000***	Amt: $1,000,001 - $5,000,000	Amt: Over $5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J	*JP Computer, Software Design, Wash DC*				X										X							*Example*		X										*Example*
	Undeveloped land, Dubuque, Iowa					X								X								*Example*	X											*Example*
1	J) Sec. 15, Rng. 15-118A					X																												
2	J) Sec. 16, Rng. 15-40A				X																													
3	J) 20 year Contract-Sale of 2 Acre Farmstead to Robin & Diane Grassley			X													X					Prop-		X										Plus
4																						erty												$1,000
5																						Sale												Per
6																																		year
7																																		
8																																		
9																																		
10																																		

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

00000623484

00000622707

Reporting Individual's Name	☐ Amendment	Page Number
Charles E. Grassley		14

PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

#	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example: S, DC, or J	*IBM Corp. (stock) NYSE*	X			2/1/1X		X			E	X	A	M	P	L	E
	(DC) Microsoft (stock) NASDAQ/OTC		X		1/27/1X				X	E	X	A	M	P	L	E
1	EATON VANCE LARGE CAP VALUE FUND CLASS A M/F		X		1/9/2012		X									
2	JOHN HANCOCK DISCIPLINED VALUE FUND CLASS A M/F	X			1/9/2012		X									
3	PERKINS MID CAP VALUE FUND CLASS A M/F - JANUS		X		8/6/2012	X										
4	JP MORGAN MID CAP VALUE FUND INSTITUTIONAL SHARES N/L	X			8/6/2012	X										
5	BARON GROWTH FUND RETAIL CLASS N/L		X		8/6/2012	X										
6	JANUS VENTURE FUND CLASS A M/F	X			8/6/2012	X										
7	THORNBURG INVESTMENT INCOME BUILDER FUND CLASS I N/L		X		4/12/2012		X									
8	CAPITAL INCOME BULDER FUND CLASS F2-AMERICAN FUNDS N/L	X			4/12/2012		X									
9	EUROPACIFIC GROWTH FUND CLASS F1 AMERICAN FUNDS N/L	X			9/13/2012	X										
10	ALLIANCE BERNSTEIN MUNICIPAL INCOME NATIONAL FD ADV CLASS N/L	X			9/13/2012	X										
11	MANNING & NAPIER EQUITY SERIES N/L	X			12/4/2012	X										
12	ASG GLOBAL ALTERNATIVES FUND CLASS Y N/L NATIXIS ADV	X			9/13/2012	X										

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

00000623485

Reporting Individual's Name ☐ Amendment		Page Number
Charles E. Grassley	**PART IV. TRANSACTIONS**	15

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example: S, DC, or J	IBM Corp. (stock) NYSE	X			2/1/1X		X			E	X	A	M	P	L	E
	(DC) Microsoft (stock) NASDAQ/OTC		X		1/27/1X				X	E	X	A	M	P	L	E
1	JP MORGAN MID CAP VALUE FUND INSTITUTIONAL SHARES N/L	X			12/4/2012	X										
2	ASG GLOBAL ALTERNATIVES FUND CLASS Y N/L NATIXIS ADV	X			12/4/2012	X										
3	JOHN HANCOCK DISCIPLINED VALUE FUND CLASS M/F	X			9/13/2012	X										
4	JOHN HANCOCK DISCIPLINED VALUE FUND CLASS M/F	X			12/4/2012	X										
5	JANUS VENTURE FUND CLASS A M/F	X			12/4/2012	X										
6	MFS RESEARCH FUND CLASS A M/F	X			12/4/2012	X										
7	NEUBERGER BERMAN GENESIS FUND INVESTOR CLASS N/L	X			9/13/2012	X										
8	COLUMBIA MARSICO FOCUSED EQUITIES FUND CLASS A M/F	X			9/13/2012	X										
9	COLUMBIA MARSICO FOCUSED EQUITIES FUND CLASS A M/F	X			12/4/2012	X										
10	PIMCO COMMODITY REAL RETURN STRATEGY FUND CLASS P N/L	X			9/13/2012	X										
11	PIMCO COMMODITY REAL RETURN STRATEGY FUND CLASS P N/L	X			12/4/2012	X										
12	PRUDENTIAL JENNISON MID CAP GROWTH FUND CLASS Z N/L	X			12/4/2012	X										

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

00000623486

Reporting Individual's Name | Amendment

Charles E. Grassley

PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

	Identification of Assets	Transaction Type (x) Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x) $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example: S, DC, or J	IBM Corp. (stock) NYSE	X			2/1/1X		X			E	X	A	M	P	L	E
	(DC) Microsoft (stock) NASDAQ/OTC		X		1/27/1X				X	E	X	A	M	P	L	E
1	T.ROWE PRICE SUMMIT MUNICIPAL INCOME FUND N/L	X			9/13/2012	X										
2	RS INTERNATIONAL GROWTH FUND CLASS Y N/L	X			9/13/2012	X										
3	WELLS FARGO ADVANTAGE INTERNATIONAL VALUE FD ADMIN CL	X			9/13/2012	X										
4	ASTON/RIVER ROAD DIVIDEND ALL CAP VALUE FUND CLASSS I N/L		X		11/27/2012	X										
5	CAPITAL INCOME BUILDER FUND CLASS F2-AMERICAN FUNDS N/L	X			4/12/2012		X									
6	CAPITAL INCOME BUILDER FUND CLASS F2-AMERICAN FUNDS N/L		X		11/27/2012	X										
7	MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND CLASS A M/F	X			4/12/2012	X										
8	MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND CLASS A M/F		X		11/27/2012	X										
9	FRANKLIN INCOME FUND ADVISOR CLASS N/L		X		11/27/2012	X										
10	PRUDENTIAL JENNISON EQUITY INCOME FUND CLASS Z N/L		X		4/12/2012	X										
11	PRUDENTIAL JENNISON EQUITY INCOME FUND CLASS Z N/L		X		11/27/2012	X										
12	MFS UTILITIES FUND CLASS A M/F		X		11/27/2012	X										

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

00000623487

Reporting Individual's Name: Charles E. Grassley

PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example: S, DC, or J	IBM Corp. (stock) NYSE	X			2/1/1X		X			E	X	A	M	P	L	E
	(DC) Microsoft (stock) NASDAQ/OTC		X		1/27/1X				X	E	X	A	M	P	L	E
1	NEUBERGER BERMAN EQUITY INCOME FUND CLASS A M/F	X			4/12/2012	X										
2	NEUBERGER BERMAN EQUITY INCOME FUND CLASS A M/F		X		11/27/2012	X										
3	PRINCIPAL PREFERRED SECURITIES FUND CLASS P N/L	X			4/12/2012	X										
4	PRINCIPAL PREFERRED SECURITIES FUND CLASS P N.=/L		X		11/27/2012	X										
5	DWS RREEF GLOBAL REAL ESTATE SECURITIES FD CLASS A M/F		X		4/12/2012	X										
6	DWS RREEF GLOBAL REAL ESTATE SECURITIES FD CLASS A M/F		X		11/27/2012	X										
7	CLEARBRIDGE EQUITY INCOME FUND CLASS A M/F		X		4/12/2012	X										
8	CLEARBRIDGE EQUITY INCOME FUND CLASS A M/F		X		11/27/2012	X										
9	FEDERATED STRATEGIC VALUE DIVIDEND FUND INST SHARES IS N	X			4/12/2012	X										
10	FEDERATED STRATEGIC VALUE DIVIDENT FUND INST SHARES IS N		X		11/27/2012											
11																
12																

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

00000623488

Reporting Individual's Name: Charles E. Grassley

☐ Amendment

PART VII. LIABILITIES

Report liabilities over $10,000 owed by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) mortgages on your personal residences unless rented **(except for Senators)**; (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

	Name of Creditor	Address	Type of Liability	Date Incurred	Interest Rate	Discount Points Paid for Mortgage *(Senators Only)*	Term if Applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example: S, DC, or J	*First District Bank*	*Wash., DC*	*Mortgage on undeveloped land*	*1992*	*13%*	*1 pt*	*25 yrs*			X		E	X	A	M	P	L	E
	(J) John Jones	*Wash., DC*	*Promissory Note*	*2000*	*10%*	*n/a*	*On dmd*				X	E	X	A	M	P	L	E
1	WELLS FARGO HOME MORTGAGE	DES MOINES, IA	Mortgage-Virginia Home	2002	5.375 %	NONE	15 yrs.			X								
2	Paid in full during 2012		No Points															
3																		
4																		
5																		
6																		
7																		
8																		
9																		
10																		
11																		
12																		

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Name: Charles E. Grassley (Amendment)	PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT	Page Number 19

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

	Name of Organization	Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	*National Assn. of Rock Collectors*	*NY,NY* EXAMPLE	*Non-profit education*	*President*	*6 / 91*	*Present*
	Jones & Smith	*Hometown, USA* EXAMPLE	*Law Firm*	*Partner*	*7 / 96*	*11 / 1X*
1	Farm-Butler County Iowa	New Hartford & Cedar Falls, Iowa	Family Farm Operation	Proprietor	Life	Life
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						

Compensation in excess of $200 from any position must be reported in Part II.