

Amendment for

Senator Tom Udall

2011 Calendar Year

## DESCRIPTION OF AMENDMENTS TO PERSONAL FINANCIAL DISCLOSURE COVERING 3/20/14 - 5/11/27

In past years, Senator Udall has, at his own expense, paid the financial planning firm responsible for managing most of his investments to prepare a summary of investments and income that must be reported on financial disclosure statements. After passage of new disclosure requirements under the STOCK ACT, Senator Udall requested more detailed transaction records for 2012 from the financial planning firm.

After reviewing the more detailed records for 2012, discrepancies from previous years became apparent. This amendment includes updated information to correct the 2011 report. The decision to review and amend previous financial disclosure reports was made in the interest of improving the transparency and clarity of the reports and was not requested by the Senate Committee on Ethics or any outside group.

### Grouping of Assets Held In Trust.

Many of the investments held by Senator Udall and his wife, Ms. Cooper, are jointly held in a trust. Because this trust is not a qualified blind trust, Senator Udall is required to disclose each underlying asset held by the trust, which he has done regularly. For this amendment, we are taking the extra step of grouping together and labeling which assets are held by the trust by indicating with a top line for the trust, followed by its underlying assets. This change does not reflect any change in the disclosure or value of assets held. It is simply intended to make the organization of investments easier to follow and clarify which assets are held by the trust.

### Assets Renamed For Consistency Across All Years.

In order to provide more clarity regarding changes in assets from year to year, we are amending the names of several investments to clarify abbreviated financial jargon when possible and make the names of assets consistent across past reports.

### Reporting Of Unearned Income From Mutual Funds Simplified.

When unearned income is derived from an investment asset, Senate ethics rules require members to show what types of income were accrued, whether it came from dividends, rent, interest, capital gains, or other sources. Mutual fund investments, which trade in a variety of investments, may yield different types of income from the funds' underlying assets, which must then be passed on to fund investors in a variety of ways.

While past reports have attempted to distinguish the different types of income derived from mutual funds, Senate ethics rules have actually provided a catch-all category to report income from these types of funds as an "excepted investment fund." This exception is also important to note because mutual funds that are not declared as excepted would require the Senator to disclose all of the investments and transactions made by the fund itself (resulting in possibly hundreds of pages added to the financial disclosure report) even though the Senator was not directly executing those transactions.

Although past reports attempted to be more transparent than necessary, we realized that it was more important to properly mark these funds as "excepted investment funds" in order to avoid confusion and show that these are not funds controlled by the Senator.

**Previous Estimates For Income Related To Some Investments Revised.**

After a more detailed review of specific financial transaction records, it was discovered that the actual income derived from some investments was slightly different from the estimates reported by the financial planning firm.

These changes are shown on Page 4, Lines 3; Page 5, Line 1; and Page 7, Lines 2 & 7.

**Additional Disclosure Of Investments Supporting Retirement Accounts.**

Financial disclosure reports for past years disclosed three variable annuity plans jointly held by Senator and Ms. Cooper, however these annuity plans were incorrectly listed with the total value of each plan on a single line. After requesting and reviewing further documentation from the plan providers, we have determined that these plans do not meet the criteria necessary to be treated as excepted investment funds. As a result, we have amended the financial disclosure statement to provide additional transparency by showing the underlying mutual fund investments supporting these plans.

Additionally, financial disclosure reports for past years disclosed Senator Udall's New Mexico Public Employees Retirement account as a single excepted investment fund. After further review of additional documentation, we questioned our previous determination that the fund meets all criteria of an excepted investment fund. In the interest of erring on the side of transparency, we chose to separate out and list the two underlying funds of the NM Public Employees Retirement Association, which can each be treated separately as excepted investment funds.

These changes are shown on Page 2, Lines 3-10; Page 3, Line 1; and Page 6, Lines 2-3.

**Double Disclosure Of IRA Disbursements.**

Some of the investments held in Jill Cooper's IRA account were liquidated in disbursements that had been previously disclosed in Part IV (transactions) of the original disclosure statement. This amendment adds an additional notation next to each related asset in Part III (assets) to show the disbursement income as unearned income in the "other" column."

These changes are shown on Page 5, Lines 5, 8, 9 & 10.

**Additional Disclosure Of Disposed Assets.**

The original financial disclosure statement disclosed the sales of several assets. The sale amounts for these transactions were reported in Part IV of the original disclosure statement. Since these assets were no longer held at the close of the reporting period, they were not included in the list of assets held by the Senator. However, further review of records has shown that even though some of these assets sold at a loss, they did yield some unearned income in the form of interest or dividends, which would trigger a requirement for the assets to be listed in Parts IIIA and IIIB of the disclosure statement in order to show the unearned income even though the Senator no longer owned them.

These changes are shown on Page 3, Lines 3 & 10 and Page 4, Line 4.

**Investment Income Reclassified.**

After a more detailed review of specific financial transaction records, it was discovered that the type of income related to investments in Mewbourne Energy funds were improperly classified. Distribution income from Mewbourne Energy Partners 09 and Mewbourne Energy Partners 10 was improperly marked as interest. Additionally, the interest box was checked for Mewbourne Energy Partners 11, even though no income was received.

This change is shown on Page 7, Lines 4-6.

**Actual Value Of Cash Life Insurance Policy Revised.**

The value of a cash-based Northwestern Mutual life insurance policy had previously been based on estimates. Additional documents were requested during the review process that showed the actual value of the policy was high enough to force it into the next bracket.

This change is shown on Page 6, Line 4.

**Stock Transaction Reclassified As Sale And Purchase Instead Of Exchange.**

A previously-disclosed set of stock transactions was clarified to show them as sales and purchases, rather than a series of exchanges. On November 16, 2011, holdings in AIVSX and AWSHX were sold and shares of NEWFX were purchased.

These changes are shown on Page 8, Lines 4-7.

**Removal Of Confusing Automatic Reinvestments.**

Past financial disclosure statements have over-reported several small, automatic dividend reinvestment and related money market account transactions to the point of creating the impression of transactions that were larger than what actually occurred. There were several transactions occurring on an automatic basis to reinvest dividends from mutual funds. Under Senate reporting rules, these transactions do not need to be reported. Additionally, rules only require reporting of individual transactions that exceed \$1,000. Past financial disclosure statements have taken the extra step of reporting reinvestment transactions that in aggregate totaled more than \$1,000 over the course of the entire year.

However in many cases, the individual transactions fell below the \$1,000. The decision to over-report, along with the layout of the form led to the inaccurate impression that more than \$1,000 was being transacted every quarter (or month in some cases). In order to conform to the intended use of the disclosure form and clear up a false impression of a much higher trading volume created by the form's design, we have removed references to reinvestment transactions that were unnecessary to report. These transactions are still visible in the original report, which will remain a public record.

These changes apply to Page 7, Lines 8 & 11-12 and all of Pages 8-9

**Clarification of 2011 Mortgage Refinancing.**

Senator Udall's personal residence in Santa Fe, NM was refinanced during calendar year 2011, which was also the first year in which incumbent Senators were required to disclose the terms of mortgages secured for a personal residence. The original financial report disclosed the newly-refinanced mortgage agreement with SunTrust Mortgage, Inc., which was the liability held at the close of the reporting period.

This amendment adds the disclosure of the previous mortgage, which was held at the start of the reporting period, and reflects a previous refinancing in 2004. The 2004 mortgage was satisfied and closed before the end of the reporting period.

Additionally, SunTrust Mortgage, Inc. was identified as the creditor for the 2011 mortgage refinancing in Santa Fe. However, Suntrust Mortgage, Inc. was actually the mortgage servicer. This amendment updates the 2011 Santa Fe mortgage to show First National Bank of Santa Fe as the actual lending bank.

These changes are shown on Page 9, Lines 1 & 2.

# UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION FILERS

Amendment

Last Name

Udall

First Name and Middle Initial

Thomas S. (Tom)

Annual Report

Calendar Year Covered by Report

2011

Senate Office / Agency in Which Employed

U.S. Senate

Senate Office Address (Number, Street, City, State, and ZIP Code)

110 Hart Senate Office Building  
Washington, D.C. 20510

Senate Office Telephone Number (Include Area Code)

202-224-6621

Termination Report

Termination Date (mm/dd/yy)

Prior Office / Agency in Which Employed

## AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

YES	NO
<input type="checkbox"/>	<input checked="" type="checkbox"/>

Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?  
If Yes, complete and attach PART I.

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$350 from one source)?  
If Yes, complete and attach PART VI.

Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period?  
If Yes, complete and attach PART II.

Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period?  
If Yes, complete and attach PART VII.

Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period?  
If Yes, complete & attach PART IIIA and/or IIIB.

Did you hold any reportable positions on or before the date of filing in the current calendar year?  
If Yes, complete and attach PART VIII.

Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period?  
If Yes, complete and attach PART IV.

Do you have any reportable agreement or arrangement with an outside entity?  
If Yes, complete and attach PART IX.

Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?  
If Yes, complete and attach PART V.

If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years?  
If Yes, complete and attach PART X.

**Each question must be answered and the appropriate PART attached for each “YES” response.**

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Thomas S. (Tom) Udall

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

2

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

S,  
Example: DC,  
or J      IBM Corp. (stock)

(S) Keystone Fund

BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C  
Type and Amount of Income

Type of Income										Amount of Income												
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified		

1 J	Thomas S. & Jill Cooper Udall Revocable Trust (page 3, line 2 - page 4, line 8)		X							X														
2 J	PacificLife Select Variable Annuity (450)																							
3 J	BlackRock Equity Index		X																					
4 J	ClearBridge Large-Cap Value		X																					
5 J	Oppenheimer Main Street Core		X																					
6 J	MFS International Large-Cap			X																				
7 J	PacificLife Value Variable Annuity (414)																							
8 J	Portfolio Optimization Moderate (formerly Model C16)					X																		
9 J	TransAmerica Variable Annuity																							
10 J	TransAmerica Jennison Growth VP				X																			

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Thomas S. (Tom) Udall

## **PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

3

BLOCK A

# **Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

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Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B  
**Valuation of Assets**

At the close of reporting period  
If None, or less than \$1,001  
Check the first column.

**BLOCK C**  
**Type and Amount of Income**

**EXEMPTION TEST** (*see instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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1

Reporting Individual's Name

 Amendment

Thomas S. (Tom) Udall

Page Number

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## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

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Check the first column.

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BLOCK C  
Type and Amount of Income

Type of Income												Amount of Income									
	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S, Example: DC, or J	IBM Corp. (stock)		X																	Example	
	(S) Keystone Fund			X																Example	
1 J	ANWPX: American Funds New Perspective Fund Class A (590)		X																		
2 J	NEWFX: American Funds New World Fund Class A		X																		
3 J	PGNAX: Prudential Jennison Natural Resources Fund, Inc Class A			X																	
4 J	AWSHX: American Funds Washington Mutual Investors Fund Class A	X																			
5 J	EKWAQ: Wells Fargo Advantage Precious Metals Fund Class A			X																	
6 J	ESIYX: Wells Fargo Advantage International Bond Fund Class A		X																		
7 J	EWEAX: Wells Fargo Advantage Intrinsic World Equity Fd Cl A			X																	
8 J	Liberty Composite Fund, L.P.			X																	
9	Pershing Government Account (Money Market Account) (998)	X								X				X							
10	CBS: CBS Corp Cl B Com		X								X				X						

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

## **PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

BLOCK A

# **Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
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Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B  
**Valuation of Assets**

At the close of reporting period  
If None, or less than \$1,001  
Check the first column.

At the close of reporting period  
If None, or less than \$1,001  
Check the first column.

**BLOCK C**

**Type and Amount of Income**

**EXEMPTION TEST** (*see instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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Thomas S. (Tom) Udall

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

6

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

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S,  
Example: DC,  
or J      IBM Corp. (stock)

(S) Keystone Fund

BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C  
Type and Amount of Income

Type of Income								Amount of Income												Actual Amount Required if "Other" Specified	
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified	

1		NM Public Employees Retirement Association																		
2		RGAGX: American Funds Growth Fund of America R6			X															
3		New Mexico Stable Value Fund		X																
4	J	Northwestern Mutual Paid Up Life Insurance (cash)			X															
5																				
6																				
7																				
8																				
9																				
10																				

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Thomas S. (Tom) Udall

## PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

## Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

## BLOCK B

## Valuation of Assets

At the close of reporting period.

If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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## BLOCK C

## Type and Amount of Income

Example: S, DC, or J	JP Computer, Software Design, Wash DC  Undeveloped land, Dubuque, Iowa	Type of Income										Amount of Income											
		None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Exempted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount  Required if "Other" Specified	
1 J	Thomas S. & Jill Cooper Udall Revocable Trust (lines 2-6)		X									X											Example
2 J	Inland Western REIT, Inc., Oak Brook, IL		X									X											Example
3 J	Wells Real Estate Funds VIII-A, Norcross, GA	X										X											
4 J	Mewbourne Energy Partners 09-A, Tyler, TX		X									X											\$11,544.97
5 J	Mewbourne Energy Partners 10-A, Tyler, TX		X									X											\$10,273.99
6 J	Mewbourne Energy Partners 11-A, Tyler, TX		X									X											
7 S	Behringer Harvard Multifamily REIT I Inc, Addison, TX	X										X											
8																							
9																							
10																							

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name  Amendment  
Thomas S. (Tom) Udall

Page Number

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## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

		Transaction Type (x)			Amount of Transaction (x)											
		Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
Example: S, DC, or J	IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDAQ/OTC	X			2 / 1 / 1X	X		E	X	A	M	P	L	E		
1 J	AWSHX: American Funds Washington Mutual Investors Fund		X		5/31/11		X									
2 J	Mewbourne Energy Partners 11-A, Tyler, TX	X			6/3/11		X									
3 J	NM Finance Authority Revenue Court Facilities Fee Series A		X		6/15/11		X									
4 J	AIVSX: American Funds Investment Company of America Class A (590)		X		11/16/11		X									
5 J	NEWFX: American Funds New World Fund Class A	X			11/16/11		X									
6 J	AWSHX: American Funds Washington Mutual Investor Fund Class A (590)		X		11/16/11		X									
7 J	NEWFX: American Funds New World Fund Class A	X			11/16/11		X									
8 S	NYVTX: Davis NY Venture Class A (004)		X		11/16/11		X									
9 S	AWSHX: American Funds Washington Mutual Investors Fund Class A (467)		X		12/28/11		X									
10 S	AIVSX: American Funds Investment Company of America Class A (467)		X		12/28/11		X									
11 S	ANWPX: American Funds New Perspective Fund Class A (467)		X		12/28/11		X									
12																

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Thomas S. (Tom) Udall

## PART VII. LIABILITIES

Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3, CONTENTS OF REPORTS, Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) mortgages on your personal residences unless rented (**except for Senators**); (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

				Date Incurred	Interest Rate	Discount Points Paid for Mortgage (Senators Only)	Term if Applicable	Category of Amount of Value (x)						
Name of Creditor  Example: S, DC, or J	Address	Type of Liability		\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
				1992	2000	2004	2011	2010						
1 J CitiMortgage	Wash., DC	Mortgage on undeveloped land	1992	13%	1 pt	25 yrs			X	E	X	A	M	P
2 J First National Bank of Santa Fe	Santa Fe, NM	Promissory Note	2000	10%	n/a	On dmd			X	E	X	A	M	P
3 J Bank of America	Washington, DC	refinanced home mortgage	2004	5.5%	n/a	30 yrs			X					
4														
5														
6														
7														
8														
9														
10														
11														
12														

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.