

00000603602

**UNITED STATES SENATE FIN.  
FOR ANNUAL AND TERMINATION REPORTS**

**CLOSURE REPORT**

Last Name	First Name and Middle Initial	Annual Report	Senate Office / Agency in Which Employed
McConnell	A. Mitchell, Jr.	Calendar Year Covered by Report: 2011	United States Senate
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report Termination Date (mm/dd/yy): N/A	Prior Office / Agency in Which Employed N/A
317 Russell Senate Office Building Washington, DC 20510	(202) 224-2541		

**AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART**

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, Complete and Attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$305 from one source)? If Yes, Complete and Attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, Complete and Attach PART VII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, Complete & Attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, Complete and Attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, Complete and Attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, Complete and Attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$305 and not otherwise exempt)? If Yes, Complete and Attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, Complete and Attach PART X.	<input type="checkbox"/>	<input type="checkbox"/>

**Each question must be answered and the appropriate PART attached for each "YES" response.**

**File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. \$200 Penalty for filing more than 30 days after due date.**

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 6, 104, and 18 U.S.C. 1001.)

**FOR OFFICIAL USE ONLY  
Do Not Write Below this Line**

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/10/12

For Official Use Only - Do Not Write Below This Line		
It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.	Signature of Reviewing Official	Date (Month, Day, Year)

12 MAY 15 PM 2:19  
SECRETARY OF THE SENATE

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A. Mitchell McConnell, Jr.

**PART II. EARNED AND NON-INVESTMENT INCOME**

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

**Individuals not covered by the Honoraria Ban:**

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Name of Income Source		Address (City, State)		Type of Income	Amount
Example:	JP Computers	Wash., DC	Example	Salary	\$15,000
	MCI (Spouse)	Arlington, VA	Example	Salary	Over \$1,000
1	(S) The Heritage Foundation	Washington, DC		Salary	Over \$1,000
2	(S) Fox News	New York, NY		Fees	Over \$1,000
3	(S) Dole Food Company	Westlake Village, CA		Fees	Over \$1,000
4	(S) Protective Life	Birmingham, AL		Fees	Over \$1,000
5	(S) Wells Fargo	San Francisco, CA		Fees	Over \$1,000
6	(S) Keppler Associates (Speaker's Bureau Pass Through)	Arlington, VA		Speaking Fees	Over \$1,000
7	(S) Human Capital Institute: 3/07/11 speech	Washington, DC		Speaking Fees	Over \$1,000
8	(S) Boston Scientific: 3/15/11; 3/23/11; 3/29/11 speeches	Boston, MA		Speaking Fees	Over \$1,000
9	(S) BIO (Biotechnology Industry Organization): 10/12/11 speech	Washington, DC		Speaking Fees	Over \$1,000
10	(S) Delta Airlines	Atlanta, GA		Deferred comp. from past NWA bd service	Over \$1,000
11					
12					
13					
14					

## **PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

## BLOCK A

## **Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
  - (2) generated over \$200 in "unearned" income during the reporting period.

**Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.**

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BLOCK B  
**Valuation of Assets**

At the close of reporting period  
If None, or less than \$1,001,  
Check the first column.

### BLOCK C

### Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

**EXEMPTION TEST** (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



## **PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

## BLOCK A

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## BLOCK B

# Valuation of Assets

**At the close of reporting period**  
**If None, or less than \$1,001**  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$25,000,000	\$25,000,001 - \$50,000,000
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### BLOCK C

### Type and Amount of Income

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**Reporting Individual's Name**

A. Mitchell McConnell, Jr.

## **PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

Page Number

**BLOCK A**

**Identity of Publicly Traded Assets  
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

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**Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.**

BLOCK B

**At the close of reporting period**  
**If None, or less than \$1,001,**  
**Check the first column.**

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	\$50,000,001 - \$250,000,000
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**BLOCK C**

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

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## **PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

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**BLOCK A**

**Identity of Publicly Traded Assets  
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

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BLOCK B

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If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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## BLOCK C

### Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

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**\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.**

1

**Reporting Individual's Name**

A. Mitchell McConnell, Jr.

## **PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

Page Number

6

**BLOCK A**

**Identity of Publicly Traded Assets  
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3; *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

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## BLOCK B

**At the close of reporting period**  
**If None, or less than \$1,001,**  
**Check the first column.**

**BLOCK C**  
**and Amount of Income**

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

## **PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

**BLOCK A**  
**Identity of Publicly Traded Assets  
And Unearned Income Sources**

Report the complete name of each public traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

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## BLOCK B

At the close of reporting period  
If None, or less than \$1,000  
Check the first column.

**BLOCK C**  
**Type and Amount of Income**

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

**EXEMPTION TEST** (*see instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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1

**Reporting Individual's Name**

A. Mitchell McConnell, Jr.

## **PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

Page Number

**BLOCK A**  
**Identity of Publicly Traded Assets**  
**And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

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BLOCK B  
**Valuation of Assets**

**At the close of reporting period**  
**If None, or less than \$1,001,**  
**Check the first column.**

**BLOCK C**

**Type and Amount of Income**

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

		Check the first column.								Type of Income		Amount of Income																																							
		None (or less than \$1,001)		\$1,001 - \$15,000		\$15,001 - \$50,000		\$50,001 - \$100,000		\$100,001 - \$250,000		\$250,001 - \$500,000		\$500,001 - \$1,000,000		Over \$1,000,000***		\$1,000,001 - \$5,000,000		\$5,000,001 - \$25,000,000		\$25,000,001 - \$50,000,000		Over \$50,000,000		None (or less than \$201)		\$201 - \$1,000		\$1,001 - \$2,500		\$2,501 - \$5,000		\$5,001 - \$15,000		\$15,001 - \$50,000		\$50,001 - \$100,000		\$100,001 - \$1,000,000		Over \$1,000,000***		\$1,000,001 - \$5,000,000		Over \$5,000,000		Actual Amount		Required if "Other" Specified	
	S, Example: DC, or J	IBM Corp. (stock)		X		X																						Example																							
	(S) Keystone Fund	X																								Example																									
1	(S) UBS IRA (PS Plan) - continued from previous page																																																		
2	(S) American Funds Growth Fund of America, Class F (GFAX)	X																										X																							
3	(S) American Funds Capital World Growth & Income Fund, Class F (CWGFX)	X																										X																							
4	(S) Small Cap RS Partners Fund, Class A (RSPFX)	X																										X																							
5	(S) Delaware Diversified Income Fund, Class A (DPDFX)	X																										X																							
6	(S) Franklin Templeton, Global Bond, A (TPINX)	X																										X																							
7	(S) PIMCO Real Return Fund, Class A (PRTNX)	X																										X																							
8	(S) UBS IRA#2 (Traditional)	X																										X																							



**Reporting Individual's Name**

A. Mitchell McConnell, Jr.

## **PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

Page Number

10

BLOCK A

## **Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
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income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B  
**Valuation of Assets**

**At the close of reporting period**  
**If None, or less than \$1,001,**  
**Check the first column.**

## BLOCK C

### Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

A. Mitchell McConnell, Jr.

## **PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

11

**BLOCK A**

**Identity of Publicly Traded Assets  
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
  - (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

## BLOCK B

# Valuation of Assets

**At the close of reporting period.**  
**If None, or less than \$1,001,**  
**Check the first column.**

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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**BLOCK C**

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

**EXEMPTION TEST** (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

**\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.**



A. Mitchell McConnell, Jr.

## PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

S,

JP Computer, Software Design,  
Wash DC

DC,

Undeveloped land, Dubuque, Iowa

or J

BLOCK B  
**Valuation of Assets**

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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Line Number	Description	Type of Income												Amount of Income											
		Name	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount	Required if "Other" Specified		
1	(J) Real Property with Carriage House Rental, Washington, DC				X							X													Example
2	(S) Firebird International, LLC, Washington, DC (holding company)*																								Example
3	*See page 6, lines 4 and 5, for associated accounts																								
4																									
5																									
6																									
7																									
8																									
9																									
10																									

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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**Reporting Individual's Name**

A. Mitchell McConnell, Jr.

## **PART IV. TRANSACTIONS**

Page Number

13

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000.

Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## **Identification of Assets**

A. Mitchell McConnell, Jr.

## PART IV. TRANSACTIONS

14

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

						Amount of Transaction (x)												
			Transaction Type (x)						Amount of Transaction (x)									
	Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)			\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
S, Example: DC, or J	IBM Corp. (stock) NYSE	X		2/1/0X			X		E	X	A	M	P	L	E			
	(DC) Microsoft (stock) NASDAQ/OTC		X	1/27/0X					X	E	X	A	M	P	L	E		
1	IRA: Royce Special Equity Fund	X		01/28/11			X											
2	IRA: Royce Special Equity Fund (Trade Correction)	X		01/28/11				X										
3	IRA: Royce Special Equity Fund (Trade Correction)		X	01/28/11					X									
4	IRA: Royce Special Equity Fund		X	01/28/11					X									
5	IRA: Royce Special Equity Fund	X		01/28/11					X									
6	IRA: PIMCO Total Return Fund		X	01/28/11					X									
7	IRA: IVY Limited Term Bond Fund	X		01/28/11					X									
8	IRA: Fairholme Fund	X		01/28/11					X									
9	IRA: First Eagle US	X		01/28/11			X											
10	IRA: Templeton Global Bond Fund		X	01/28/11				X										
11	IRA: IVA Worldwide		X	01/28/11					X									
12	IRA: Matthews Asian Funds		X	01/28/11					X									

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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A. Mitchell McConnell, Jr.

## PART IV. TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

			Transaction Type (x)			Amount of Transaction (x)														
			Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000			
Example: S, DC, or J	IBM Corp. (stock) NYSE		X			2/1/0X	X							E	X	A	M	P	L	E
	(DC) Microsoft (stock) NASDAQ/OTC			X		1/27/0X								X	E	X	A	M	P	L
1	IRA: IVY Limited Term Bond Funds			X		04/12/11	X													
2	IRA: Fairholme Fund			X		04/12/11	X													
3	IRA: First Eagle US			X		04/12/11	X													
4	IRA: Royce Special Equity Fund			X		04/12/11	X													
5	IRA: IShares Barclays TIPS Bond Fund			X		04/12/11	X													
6	IRA: Fairholme Fund				X	06/07/11		X												
7																				
8																				
9																				
10																				
11																				
12																				

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

## PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

**Exclude:** Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

Name of Organization		Address (City, State)		Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	National Assn. of Rock Collectors	NY, NY	EXAMPLE	Non-profit education	President	11/SEQ	Present
	Jones & Smith	Hometown, USA	EXAMPLE	Law Firm	Partner	7/85	11/0X
1	University of Kentucky College of Law	Lexington, KY		Public University/Law School	Member, Visiting Cmte. (Uncompensated)	6/94	Present
2	University of Louisville, McConnell Center for Political Leadership	Louisville, KY		Public University	Member, Board of Advisors (Uncompensated)	2/92	Present
3							
4							
5							
6							
7							
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11							
12							
13							

Compensation in excess of \$200 from any position must be reported in Part II.