

00000600409

# UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR NEW EMPLOYEE AND CANDIDATE REPORTS

Amendment

Last Name	First Name and Middle Initial	New Employee Report	Date of Employment (mm/dd/yy):	Senate Office / Agency in Which Employed
KING, JR.	ANGUS S.			
Senate/Candidate Office Address (Number, Street, City, State, and ZIP )	Senate/Candidate Office Telephone No.	Candidate Report	Commencement of Candidacy (mm/dd/yy):	State in which you are a candidate
135 MAINE ST, BRUNSWICK, ME 04011	888-229-3420		03/13/2012	MAINE
				Candidate Reporting Period
				1/1/2011 - 5/14/2012

## AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS

	YES	NO		YES	NO
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions during the reporting period? If Yes, Complete and Attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period or receive unearned or investment income of more than \$200 in the reporting period? If Yes, Complete and Attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you have any reportable agreement or arrangement with an outside entity on the filing date? If Yes, Complete and Attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, Complete and Attach PART VII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you receive compensation of more than \$5,000 from a single source in the <u>two</u> prior years? If Yes, Complete and Attach PART X.	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Each question must be answered and the appropriate PART attached for each "YES" response.**

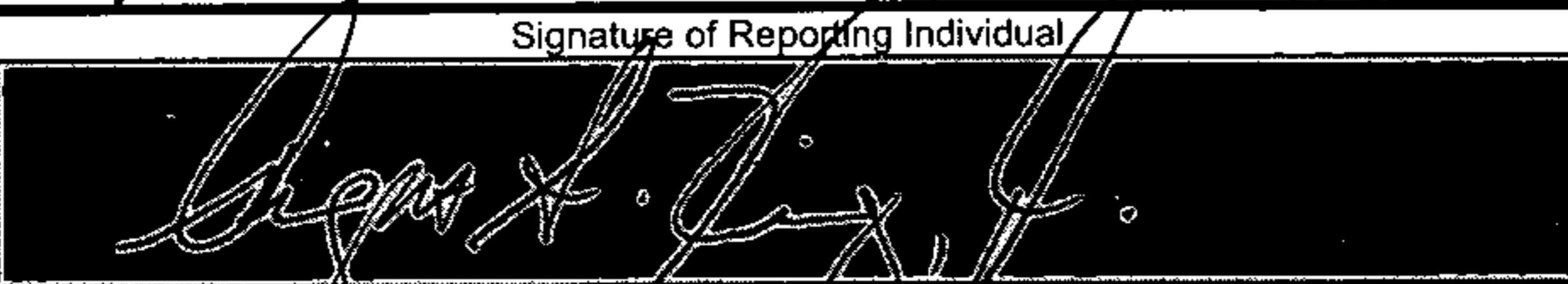
**File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. \$200 Penalty for filing more than 30 days after due date.**

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 4, § 104, and 18 U.S.C. § 1001.)

**FOR OFFICIAL USE ONLY  
Do Not Write Below this Line**

RECEIVED  
OFFICE OF THE SENATE  
PUBLIC RECORDS  
DEPARTMENT OF THE  
SENATE

JULY 17 AM 10: 11

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/14/12
For Official Use Only - Do Not Write Below This Line		
It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.	Signature of Reviewing Official	Date (Month, Day, Year)

a26

ANGUS S KING, JR

## PART II. EARNED AND NON-INVESTMENT INCOME

1

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

## Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

	Name of Income Source	Address (City, State)	Type of Income	Amount
Example:	JP Computers	Wash., DC	EXAMPLE	Salary \$15,000
	MCI (Spouse)	Arlington, VA	EXAMPLE	Salary Over \$1,000
1	BOWDOIN COLLEGE	BRUNSWICK, ME	SALARY	49,456
2	MAINE PUBLIC EMPLOYEES RETIREMENT SYSTEM	AUGUSTA, ME	PENSION	39,852
3	DOWNEAST ENTERPRISES INC	CAMDEN, ME	BOOK ROYALTIES	4,000
4	TRUST U/W/O ROGER KLINE FBO MATTHEW KLINE	NEW YORK, NY	TRUSTEE COMMISSION	42,710
5	GOOLD HEALTH SYSTEMS INC	AUGUSTA, ME	ADVISORY FEE	5,000
6	HANCOCK LUMBER COMPANY INC	CASCO, ME	DIRECTOR FEE	18,100
7	LEE MANAGEMENT COMPANY	AUBURN, ME	ADVISORY FEE	7,000
8	SBM FINANCIAL INC/THE BANK OF MAINE	GARDINER, ME	DIRECTOR FEE	66,750
9	WOODARD & CURRAN	PORTLAND, ME	DIRECTOR FEE	25,000
10	BERNSTEIN SHUR SAWYER & NELSON	PORTLAND, ME	CONSULTING FEE	56,960
11	LEADERS LLC	PORTLAND, ME	CONSULTING FEE	15,000
12	GENERAL DYNAMICS INC/BATH IRON WORKS	BATH, ME	SPEAKING FEE 1/18/11	2,000
13	GENERAL DYNAMICS INC/BATH IRON WORKS	BATH, ME	SPEAKING FEE 6/15/11	2,000

## PART II. EARNED AND NON-INVESTMENT INCOME

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

**Individuals not covered by the Honoraria Ban:**

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article)-generating such honoraria payment. Do not include payments in-lieu-of honoraria reported on Part I.

Name of Income Source		Address (City, State)	Type of Income	Amount
Example:	JP Computers	Wash., DC	EXAMPLE	Salary \$15,000
	MCI (Spouse)	Arlington, VA	EXAMPLE	Salary Over \$1,000
1	DARTMOUTH COLLEGE	HANOVER, NH	SPEAKING FEE 9/14/11	3,000
2	NEW ENGLAND STATES GFOA	LINCOLN, RI	SPEAKING FEE 9/12/11	1,500
3	NORTHERN NEW ENGLAND LAW PUBLISHERS INC	PORTLAND, ME	SPEAKING FEE 5/13/11	3,500
4	NORTHERN NEW ENGLAND LAW PUBLISHERS INC	PORTLAND, ME	SPEAKING FEE 9/9/11	3,500
5	NORTHERN NEW ENGLAND LAW PUBLISHERS INC	PORTLAND, ME	SPEAKING FEE 5/8/12	3,500
6	SYNERGENT	PORTLAND, ME	SPEAKING FEE 5/21/11	2,500
7	WALMART STORES INC/DISTRIBUTION CENTER	LEWISTON, ME	SPEAKING FEE 6/6/11	1,500
8	COBSCOOK COMMUNITY LEARNING CENTER (SPOUSE)	LUBEC, ME	CONSULTING FEE	OVER 1,000
9	HOSPICE OF SOUTHERN MAINE (SPOUSE)	SCARBOROUGH, ME	CONSULTING FEE	OVER 1,000
10	THE COMMUNITY SCHOOLS OF OPPORTUNITY FARM AND CAMDEN (SPOUSE)	CAMDEN, ME	CONSULTING FEE	OVER 1,000
11				
12				
13				

ANGUS S. KING, JR.

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

1

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
-----------------------------	--------------------	---------------------	----------------------	-----------------------	-----------------------	-------------------------	---------------------	---------------------------	----------------------------	-----------------------------	-------------------

BLOCK C  
Type and Amount of Income

	Type of Income						Amount of Income													
	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	Actual Amount Required if "Other" Specified	

	S, Example: DC, or J	IBM Corp. (stock)		X																Example
		(S) Keystone Fund			X															Example
1	J	TD BANK, NA AGGREGATE CASH ACCTS			X															
2		PRUDENTIAL INSURANCE CO WHOLE LIFE INSURANCE POLICY			X															
3		PRUDENTIAL FINANCIAL INC APPRECIABLE LIFE INSURANCE POLICY		X																
4		MARRIOTT INTERNATIONAL INC (MAR)		X																
5		HOST HOTELS & RESORTS (HST)		X																
6		REASSURANCE AMERICA LIFE INSURANCE CO VISION 25 LIFE INSURANCE POLICY		X																
7		VANGUARD INTER-TERM TAX-EXEMPT ADM (VWIUX)					X													
8		ISHARES 1-3 YR CREDIT BOND FUND (CSJ)			X												X			
9		ISHARES MSCI EAFE (EFA)			X												X			
10		ISHARES MSCI EMERG MKT FUND (EEM)		X													X			

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

ANGUS S. KING, JR.

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

2

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

## BLOCK B

## Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000***	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
-----------------------------	--------------------	---------------------	----------------------	-----------------------	-----------------------	----------------------------	---------------------	---------------------------	----------------------------	-----------------------------	-------------------

## BLOCK C

## Type and Amount of Income

Type of Income												Amount of Income											
	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)		None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000***	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified	
S, Example: DC, or J	X						X			X		X											Example
(S)	X						X			X		X											Example
1	PIMCO ALL ASSET FUND (PAAIX)	X						X										X					
2	SCHWAB GOVERNMENT FUND (SWGXX)	X										X						X					
3	VANGUARD 500 INDEX FUND (VFINX)			X								X											X
4	VANGUARD TAX MANAGED FUND (VTGLX)				X							X											X
5	BANK OF AMERICA MONEY MKT (BAMM)	X										X						X					
6	MAINE TAX EXEMPT CTF (METAXEX)				X							X X											X
7	HONG KONG EXC & CLR ADRF (HKXCY)	X										X						X					
8	WP STEWART & CO LTD (WPSL)	X			X							X						X					
9	MATTHEWS CHINA FUND (MCHFX)		X									X						X					
10	HUSSMAN STRATEGIC GROWTH FUND (HSGFX)		X									X						X					

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

### **Reporting Individual's Name**

Amendment

Page Number

3

## **PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

---

**BLOCK A**

**Identity of Publicly Traded Assets  
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
  - (2) generated over \$200 in "unearned" income during the reporting period.

**Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.**

BLOCK B

At the close of reporting period  
If None, or less than \$1,001  
Check the first column.

**EXEMPTION TEST** (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

**\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate**

ANGUS S. KING, JR.

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
-----------------------------	--------------------	---------------------	----------------------	-----------------------	-----------------------	-------------------------	---------------------	---------------------------	----------------------------	-----------------------------	-------------------

BLOCK C  
Type and Amount of Income

Type of Income								Amount of Income														
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified		
		X								X											Example	
			X								X										Example	
1	CME GROUP INC CLA- IRA (CME)	X										X										Example
2	FIDELITY CONTRA FUND - IRA (FCNTX)	X										X										Example
3	HUSSMAN STRATEGIC GROWTH FUND-IRA (HSGFX)	X										X										Example
4	ISHARES 1-3 YR CR BOND-IRA (CSJ)	X										X										Example
5	ISHARES RUSSELL 2000 GROWTH-IRA (IWO)		X									X										Example
6	ISHARES RUSSELL 2000 VALUE-IRA (IWN)		X									X										Example
7	SCHWAB ADVISOR CASH RESERVE-IRA (SWZXX)	X										X										Example
8	SPDR GOLD TRUST-IRA (GLD)	X										X										Example
9	HARDING LOEVNER EMERG MKT-IRA (HLEMX)	X										X										Example
10	LAZARD EMERG MKTS EQUITY-IRA (EMBIX)		X									X										Example

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

ANGUS S. KING, JR.

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

5

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, **CONTENTS OF REPORTS Part B of Instructions**) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
--	-----------------------------	--------------------	---------------------	----------------------	-----------------------	-----------------------	-------------------------	---------------------	---------------------------	----------------------------	-----------------------------	-------------------

## BLOCK C

## Type and Amount of Income

	Type of Income							Amount of Income													
	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S, Example: DC, or J	X									X	X							Example			
(S)	X									X	X							Example			
1	TWEEDY BROWNE GLOBAL-IRA (TBLUX)	X								X											
2	WP STEWART & CO GROWTH - IRA (WPSGX)		X							X											
3	T ROWE PRICE STATEGIC - IRA (PRSNX)	X								X											
4	PIMCO COMMODITY REAL - IRA (PCRDX)	X								X											
5	CHAS SCHWAB DEPOSIT ACCT - IRA	X								X											
6	FEDERATED KAUFMAN FUND - IRA (KAUAX)	X								X											
7	ISHARES MIDCAP 400 GROWTH-IRA (IJK)	X								X											
8	ISHARES MIDCAP 400 VALUE-IRA (IJT)	X								X											
9	ISHARES 500 GROWTH INDEX-IRA (IVW)	X								X											
10	ISHARES 500 VALUE INDEX-IRA (IVE)	X								X											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

ANGUS S. KING, JR.

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

6

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
-----------------------------	--------------------	---------------------	----------------------	-----------------------	-----------------------	-------------------------	---------------------	---------------------------	----------------------------	-----------------------------	-------------------

BLOCK C  
Type and Amount of Income

Type of Income							Amount of Income														
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	Actual Amount Required if "Other" Specified		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Example		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/> </																		

### **Reporting Individual's Name**

Amendment

Page Number

## **PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

7

**BLOCK A**

**Identity of Publicly Traded Assets  
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, **CONTENTS OF REPORTS Part B of Instructions**) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
  - (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

## BLOCK B

# Valuation of Asset

At the close of reporting period  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)							
\$1,001 - \$15,000							
\$15,001 - \$50,000							
\$50,001 - \$100,000							
\$100,001 - \$250,000							
\$250,001 - \$500,000							
\$500,001 - \$1,000,000							
Over \$1,000,000***							
\$1,000,001 - \$5,000,000							
\$5,000,001 - \$25,000,000							
\$25,000,001 - \$50,000,000							
Over \$50,000,000							

## BLOCK C

**EXEMPTION TEST** (*see instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

ANGUS S. KING, JR.

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

8

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
-----------------------------	--------------------	---------------------	----------------------	-----------------------	-----------------------	-------------------------	---------------------	---------------------------	----------------------------	-----------------------------	-------------------

## BLOCK C

## Type and Amount of Income

Type of Income								Amount of Income													
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified	
	X							Example		X										Example	
						X		Example		X										Example	
							X			X											
								X			X										
									X			X									
										X				X							
											X					X					
												X						X			
													X							X	
														X							
															X						

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



**Reporting Individual's Name**  **Amendment**

Amendment

Page Number

ANGUS S. KING, JR.

## **PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

9

# BLOCK A

## Identity of Publicly Traded Assets And Unearned Income Sources

**Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:**

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
  - (2) generated over \$200 in "unearned" income during the reporting period.

**Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.**

BLOCK B

At the close of reporting period  
If None, or less than \$1,001,  
Check the first column.

\$25,000,001 - \$50,000,000
\$5,000,001 - \$25,000,000
\$1,000,001 - \$5,000,000
Over \$1,000,000***
\$500,001 - \$1,000,000
\$250,001 - \$500,000
\$100,001 - \$250,000
\$50,001 - \$100,000
\$15,001 - \$50,000
\$1,001 - \$15,000
None (or less than \$1,001)

### BLOCK C

**EXEMPTION TEST** (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



Reporting Individual's Name

Amendment

Page Number

ANGUS S. KING, JR.

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

10

BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
--	-----------------------------	--------------------	---------------------	----------------------	-----------------------	-----------------------	-------------------------	---------------------	---------------------------	----------------------------	-----------------------------	-------------------

BLOCK C

Type and Amount of Income

Type of Income

Amount of Income

Actual  
Amount

Required  
if  
"Other"  
Specified

Example

Example

	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000
--	-----------	------	----------	---------------	--------------------------	----------------	-----------------------	-------------------------	---------------------------	-----------------	-------------------	-------------------	--------------------	---------------------	----------------------	-------------------------	---------------------	------------------

S, Example: DC, or J	IBM Corp. (stock)	X							X	X							
	(S) Keystone Fund		X														
1 S	MFS INT'L VALUE CLA (MGIAX)		X						X						X		
2 S	NEXTERA ENERGY INC (NEE)	X								X	X					X	
3 S	NIKE INC CLB (NKE)		X							X	X					X	
4 S	NOBLE CORP (NE)		X							X					X		
5 S	NORFOLK SOUTHERN CORP (NSC)		X							X					X		
6 S	ORACLE CORP (ORCL)		X							X					X		
7 S	PEPSICO INCORPORATED (PEP)		X							X					X		
8 S	PROCTOR & GAMBLE (PG)		X							X					X		
9 S	QUALCOMM INC (QCOM)		X							X					X		
10 S	SCHWAB ADVISOR CASH RES (SWZXX)		X							X					X		

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

**EXEMPTION TEST** (see *instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

**EXEMPTION TEST** (see instructions before marking box). If you omitted any asset because it meets the three part test for exemption described in the instructions, please check box to the right.

Reporting Individual's Name  Amendment

ANGUS S. KING, JR.

Page Number

11

### PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

#### BLOCK A

#### Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

S, IBM Corp. (stock)  
Example: DC, X  
or J (S) Keystone Fund

1 S SEMPRA ENERGY (SRE) X

2 S SIGMA ALDRICH CORP (SIAL) X

3 S STRYKER CORP (SYK) X

4 S TJX COS INC (TJX) X

5 S TARGET CORPORATION (TGT) X

6 S TEMPLETON GLOBAL BOND (TPINX) X

7 S TEVA PHARM IND LTD ADRF (TEVA) X

8 S TOYOTA MOTOR CORP ADR NEWF (TM) X

9 S US BANCORP DEL NEW (USB) X

10 S UNITED PARCEL SERVICE B (UPS) X

#### BLOCK B

#### Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
-----------------------------	--------------------	---------------------	----------------------	-----------------------	-----------------------	-------------------------	---------------------	---------------------------	----------------------------	-----------------------------	-------------------

#### BLOCK C

#### Type and Amount of Income

Type of Income							Amount of Income													
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	Actual Amount Required if "Other" Specified	
X								Example	X										Example	
			X					Example	X										Example	
	X									X										
		X									X									
			X									X								
				X									X							
					X									X						
						X									X					
							X									X				
								X									X			
									X									X		
										X									X	

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



Reporting Individual's Name  Amendment  
ANGUS S. KING, JR.

Page Number

12

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

**BLOCK A**  
**Identity of Publicly Traded Assets**  
**And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**BLOCK B**  
**Valuation of Assets**

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
-----------------------------	--------------------	---------------------	----------------------	-----------------------	-----------------------	-------------------------	---------------------	---------------------------	----------------------------	-----------------------------	-------------------

S, IBM Corp. (stock)  
Example: DC, or J (S) Keystone Fund

1 S VANGUARD MIDCAP INDEX (VIMSX)

2 S WASATCH LONG SHORT FUND (FMLSX)

3 S APPLE INC (AAPL)

4 S MUNI BOND - AUGUSTA ME

5 S MUNI BOND - BANGOR ME

6 S MUNI BOND - CAPE ELIZABETH ME

7 S MUNI BOND - KENNEBUNK ME

8 S MAINE GOVERNMENTAL BOND

9 S MAINE HEALTH & HIGH BOND

10 S MAINE MUNI BOND BANK

**BLOCK C**  
**Type and Amount of Income**

Type of Income							Amount of Income							Actual Amount Required if "Other" Specified				
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name  Amendment  
ANGUS S. KING, JR.

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

13

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

S, IBM Corp. (stock)  
Example: DC, X  
or J (S) Keystone Fund

1 S MAINE STREET BOND X

2 S MAINE STATE HOUSING AUTH BOND X

3 S MUNI BOND - PORTLAND ME X

4 S MUNI BOND - PORTLAND ME GO X

5 S MUNI BOND - WINDHAM ME X

6 S MUNI BOND YORK ME X

7 S LULULEMON ATHLETICA INC (LULU) X

8 S GILEAD SCIENCES INC (GILD) X

9 S WATERS CORP (WAT) X

10 S EMC CORP MASS (EMC) X

BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,000,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
-----------------------------	--------------------	---------------------	----------------------	-----------------------	-----------------------	-------------------------	---------------------	---------------------------	----------------------------	-----------------------------	-------------------

BLOCK C  
Type and Amount of Income

Type of Income							Amount of Income							Actual Amount Required if "Other" Specified				
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	
X								X	X									Example
			X					X										Example
		X																
			X															
				X														
					X													
						X												
							X											
								X										
									X									
										X								
											X							
												X						
													X					
														X				
															X			

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

**EXEMPTION TEST** (*see instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

**\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.**

Reporting Individual's Name  Amendment

ANGUS S. KING, JR.

Page Number

15

### PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

#### BLOCK A

##### Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

#### BLOCK B

##### Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
-----------------------------	--------------------	---------------------	----------------------	-----------------------	-----------------------	-------------------------	---------------------	---------------------------	----------------------------	-----------------------------	-------------------

S, Example: DC, or J IBM Corp. (stock)  
(S) Keystone Fund

1 DC	VANGUARD DIV APPRECIATION (VIG)	X
2 DC	VANGUARD MIDCAP INDEX FUND (VIMSX)	X
3 DC	SCHWAB ADV CASH RESER (SWZXX)	X
4 DC	APPLE INC (AAPL)	X
5 DC	SCHWAB ADV CASH RESERV (SWZXX)	X
6 DC	HONG KONG EXC & CLR ADRF (HKXCY)	X
7 DC	WASATCH LONG SHORT FUND (FMLSX)	X
8 DC	PIMCO LOW DURATION FUND (PTLDX)	X
9 DC	VANGUARD 500 INDEX FUND (VFINX)	X
10 DC	DIAMOND HILL LONG SHORT FUND (DIAMX)	X

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

#### BLOCK C

##### Type and Amount of Income

Type of Income							Amount of Income											
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000
X								Example	X							Example		
			X					Example	X							Example		
		X							X									
				X														
					X													
						X												
							X											
								X										
									X									
										X								
											X							
												X						
													X					
														X				

Reporting Individual's Name  Amendment  
ANGUS S. KING, JR.

Page Number

## PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

1

## BLOCK A

## Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
-----------------------------	--------------------	---------------------	----------------------	-----------------------	-----------------------	-------------------------	---------------------	---------------------------	----------------------------	-----------------------------	-------------------

BLOCK C  
Type and Amount of Income

Type of Income							Amount of Income														
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified		

S,   
Example: DC,   
or J JP Computer, Software Design, Wash DC  
Undeveloped land, Dubuque, Iowa

1	INDEPENDENCE WIND LLC, BRUNSWICK, ME SEE NOTE BELOW	X																			Example
2	ENTIRE PARTNERSHIP INTEREST TRANSFERED TO EXISTING PARTNER ON 3/16/12																				Example
3	FOR NO CONSIDERATION APPROX TAX BASIS LOSS OF \$338,250																				Example
4	ANANIA & ASSOCIATES INVESTMENT CO LLC PORTLAND, ME VENTURE CAPITAL CORP		X																		
5 J	15 POTTER ST, BRUNSWICK, ME RESIDENCE & 2 RENTAL UNITS			X																	
6 J	WINDSWEPT VILLAS LLC, ST THOMAS USVI 1/5 SHARE VACATION RENTAL PROPERTY				X																
7 S	MECCASUE SIMMONS MORTGAGE, BURNHAM, ME UNDIVIDED 1/3 INTEREST LAND & BUILDING		X																		
8																					
9																					
10																					

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name  Amendment

ANGUS S. KING, JR.

Page Number

## PART VII. LIABILITIES

1

Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) Mortgages on your personal residences unless rented; (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

	Name of Creditor	Address	Type of Liability	Date Incurred	Interest Rate	Term if Applicable	Category of Amount of Value (x)								
							\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***		
S, Example: DC, or J	First District Bank (J) John Jones	Wash., DC Wash., DC	Mortgage on undeveloped land Promissory Note	1992 2000	13% 10%	25yrs On dmd		X	E	X	A	M	P	L	E
1	J TD BANK, NA	LEWISTON, ME	COMBINED MORTGAGE ON RENTAL & PERSONAL RESIDENCE	2011	3.50%	15 YRS		X							
2	J TD BANK, NA	LEWISTON, ME	HOME EQUITY LINE ON RENTAL & PERSONAL RESIDENCE	2009	3.25%	PD IN FULL		X							
3	DC UNIVERSITY OF NEW ENGLAND	BIDDEFORD, ME	TUITION PAYMENTS	2011	N/A	TIMELY PD IN FULL		X							
4															
5															
6															
7															
8															
9															
10															
11															
12															

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

 Amendment

Page Number

ANGUS S. KING, JR.

## PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

1

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

**Exclude:** Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

Name of Organization		Address (City, State)		Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	National Assn. of Rock Collectors	NY, NY	EXAMPLE	Non-profit education	President	6 / 91	Present
	Jones & Smith	Hometown, USA	EXAMPLE	Law Firm	Partner	7 / 96	11 / 1X
1	BOWDOIN COLLEGE	BRUNSWICK, ME		EDUCATIONAL INSTITUTION	ADJUNCT PROFESSOR	8/03	PRESENT
2	BATES COLLEGE	LEWISTON, ME		EDUCATIONAL INSTITUTION	ADJUNCT PROFESSOR	9/09	12/10
3	TRUST UW/O ROGER KLINE FBO MATTHEW KLINE	NEW YORK, NY		ESTATE TRUST	EXECUTOR TRUSTEE	4/09	PRESENT
4	GOOLD HEALTH SYSTEMS INC	AUGUSTA, ME		MEDICAL SERVICES SOFTWARE CO	ADVISORY BOARD MBR	5/09	PRESENT
5	HANCOCK LUMBER COMPANY INC	CASCO, ME		LUMBER & FORESTRY CO	DIRECTOR	9/03	PRESENT
6	LEE MANAGEMENT COMPANY	AUBURN, ME		AUTO DEALERSHIP	ADVISORY BOARD MBR	3/04	PRESENT
7	SBM FINANCIAL INC/THE BANK OF MAINE	GARDINER, ME		FINANCIAL INSTITUTION	DIRECTOR	6/10	4 / 12
8	WOODARD & CURRAN	PORTLAND, ME		ENGINEERING FIRM	DIRECTOR	6/11	PRESENT
9	BERNSTEIN SHUR SAWYER & NELSON	PORTLAND, ME		LAW FIRM	CONSULTANT	1/04	PRESENT
10	LEADERS LLC	PORTLAND, ME		BUSINESS CONSULTING FIRM	CONSULTANT	3/04	PRESENT
11	WP STEWART & CO LTD	NEW YORK, NY		INVESTMENT ADVISORY FIRM	DIRECTOR	2/04	6/10
12	THE NATURE CONSERVANCY/MAINE CHAPTER	BRUNSWICK, ME		NON-PROFIT ORGANIZATION	TRUSTEE	6/04	3/12
13	MAINE LEARNING TECHNOLOGY FOUNDATION	BRUNSWICK, ME		NON-PROFIT EDUCATION	PRESIDENT	8/04	PRESENT

Compensation in excess of \$200 from any position must be reported in Part II.

ANGUS S. KING, JR.

## PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

2

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

**Exclude:** Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

Name of Organization		Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	National Assn. of Rock Collectors	NY, NY      EXAMPLE	Non-profit education	President	6 / 91	Present
	Jones & Smith	Hometown, USA      EXAMPLE	Law Firm	Partner	7 / 96	11 / 1X
1	MAINE POLICY SCHOLARS	AUGUSTA, ME	NON-PROFIT EDUCATION	CHAIRMAN	6/06	PRESENT
2	MAINE INTERNATIONAL CTR FOR DIGITAL LEARNING	BRUNSWICK, ME	NON-PROFIT EDUCATION	CHAIRMAN	4/07	PRESENT
3	INDEPENDENCE WIND LLC	BRUNSWICK, ME	WIND ENERGY DEVELOPMENT	PARTNER	1/07	3/12
4	PATRIOT RENEWABLES/ECO INDUSTRIES LLC	QUINCY, MA	ALTERNATIVE ENERGY DEVELOPMENT	ADVISORY BOARD MBR	6/08	1/10
5	UNIVERSITY OF NE/ATHLETIC DEPT ADVISORY COUNCIL	BIDDEFORD, ME	NON-PROFIT EDUCATION	ADVISORY BOARD MBR	9/10	PRESENT
6						
7						
8						
9						
10						
11						
12						
13						

Compensation in excess of \$200 from any position must be reported in Part II.

ANGUS S. KING, JR.

## PART X. COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

## FIRST TIME FILERS ONLY: (Except Candidate Reports - All Candidate Reports Must Include Part X If Applicable)

Report sources of compensation received by you or your business affiliation for services provided directly by you during the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any non-profit organization when you directly provided the services to the clients and/or customers of the firm that generated a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Name of Source		Address of Source	Brief Description of Duties	
Example:	Jones & Smith Metro University (client of Jones & Smith)	Hometown, TX Moneytown, USA	Legal Services Legal Services in connection with university construction	EXAMPLE EXAMPLE
1	BOWDOIN COLLEGE	BRUNSWICK, ME	ADJUNCT PROFESSOR	
2	BATES COLLEGE	LEWISTON, ME	ADJUNCT PROFESSOR	
3	TRUST U/W/O ROGER KLINE FBO MATTHEW KLINE	NEW YORK, NY	EXECUTOR TRUSTEE FOR BENEFIT OF MATTHEW KLINE	
4	GOOLD HEALTH SYSTEMS INC	AUGUSTA, ME	ADVISORY BOARD MEMBER	
5	HANCOCK LUMBER COMPANY INC	CASCO, ME	BOARD DIRECTOR	
6	LEE MANAGEMENT COMPANY	AUBURN, ME	ADVISORY BOARD MEMBER	
7	SBM FINANCIAL INC/THE BANK OF MAINE	GARDINER, ME	BOARD DIRECTOR	
8	WOODARD & CURRAN	PORTLAND, ME	BOARD DIRECTOR	
9	BERNSTEIN SHUR SAWYER & NELSON	PORTLAND, ME	BUSINESS DEVELOPMENT & STRATEGY CONSULTANT	
10	LEADERS LLC	PORTLAND, ME	BUSINESS CONSULTANT	
11	NORTHERN NEW ENGLAND LAW PUBLISHERS INC	PORTLAND, ME	KEYNOTE SPEAKER	
12	WP STEWART & CO LTD	NEW YORK, NY	BOARD DIRECTOR	
13	GENERAL DYNAMICS INC/BATH IRON WORKS	BATH, ME	KEYNOTE SPEAKER	
14	ICON GROUP LTD	TEL AVIV, ISRAEL	KEYNOTE SPEAKER	
15				

Reporting Individual's Name	<input type="checkbox"/> Amendment	PART X. COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE	Page Number 2
ANGUS S. KING, JR.			

**FIRST TIME FILERS ONLY: (Except Candidate Reports - All Candidate Reports Must Include Part X If Applicable)**

Report sources of compensation received by you or your business affiliation for services provided directly by you during the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any non-profit organization when you directly provided the services to the clients and/or customers of the firm that generated a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Name of Source		Address of Source	Brief Description of Duties
Example:	Jones & Smith	Hometown, TX	Legal Services <span style="float: right;">EXAMPLE</span>
	Metro University (client of Jones & Smith)	Moneytown, USA	Legal Services in connection with university construction <span style="float: right;">EXAMPLE</span>
1	INDEPENDENCE WIND LLC	BRUNSWICK, ME	DEVELOPMENT OF WIND ENERGY PROJECTS
2	HIGHLAND WIND HOLDINGS LLC/CLIENT OF INDEPENDENCE WIND LLC	LYME, NH	PROJECT DEVELOPMENT SERVICES
3	RECORD HILL WIND HOLDINGS LLC/CLIENT OF INDEPENDENCE WIND LLC	LYME, NH	PROJECT DEVELOPMENT SERVICES
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			
14			
15			

00000600433

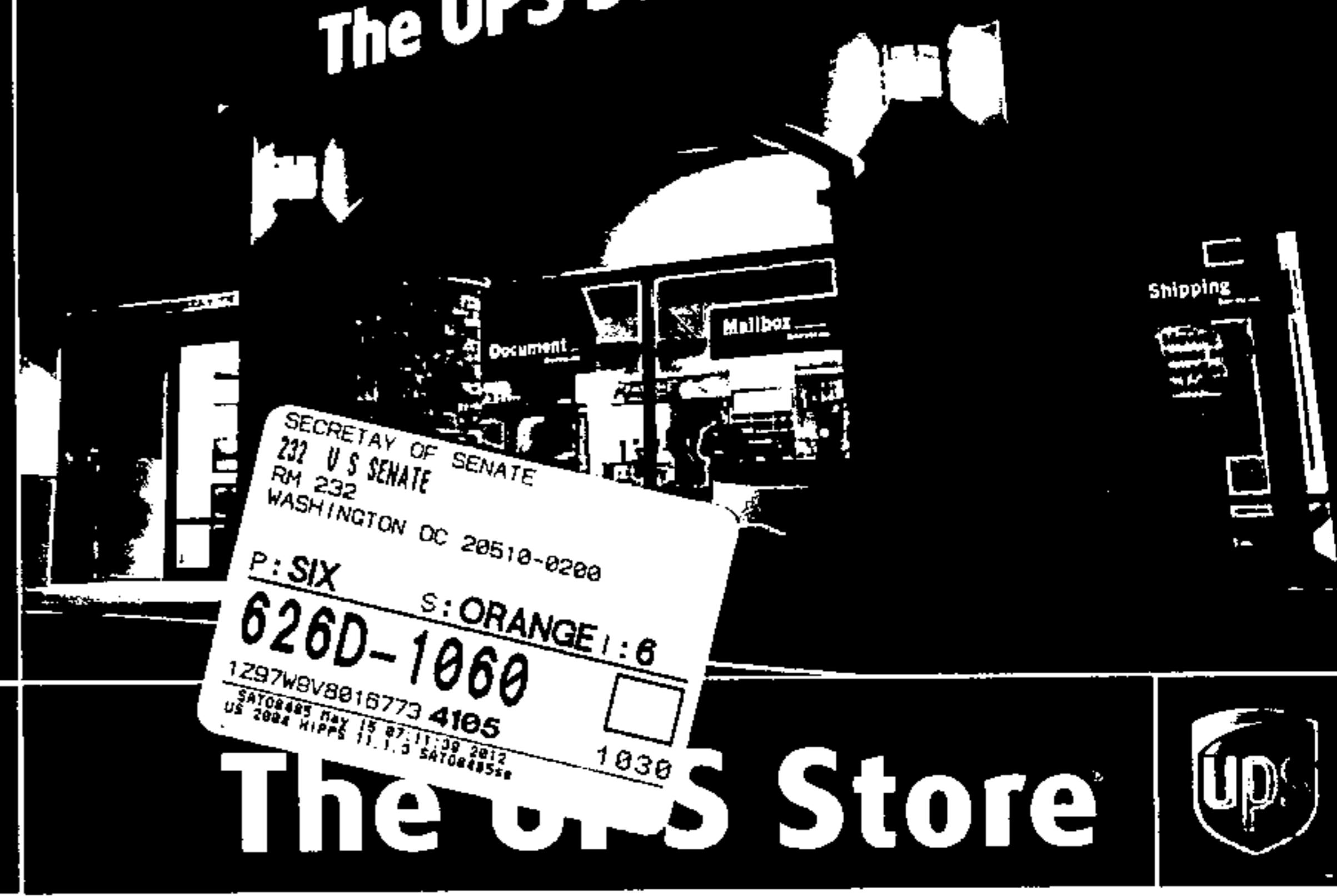


- Mailbox Services
- Digital Printing & Document Services
- Online Printing at [theupsstore.com/print](http://theupsstore.com/print)
- Notary Services
- Shipping & Freight Services
- Packing by our Certified Packing Experts
- Packaging Materials & Moving Supplies

[theupsstore.com](http://theupsstore.com)



The UPS Store 



The UPS Store



# Express Envelope

00000600434

**Extremely Urgent**

visit [ups.com](http://ups.com) or call 1-800-967-2211 to find a location near you.

**Domestic Shipments**

- To qualify for the Letter rate, UPS Express Envelopes may only contain correspondence, urgent documents, and/or electronic media, and must weigh 8 oz. or less. UPS Express Envelopes containing items other than those listed or weighing more than 8 oz. will be billed by weight.

**International Shipments**

- The UPS Express Envelope may be used only for documents of no commercial value. Certain countries consider electronic media as documents. Visit [ups.com/importexport](http://ups.com/importexport) to verify if your shipment is classified as a document.
- To qualify for the Letter rate, the UPS Express Envelope must weigh 8 oz. or less. UPS Express Envelopes weighing more than 8 oz. will be billed by weight.

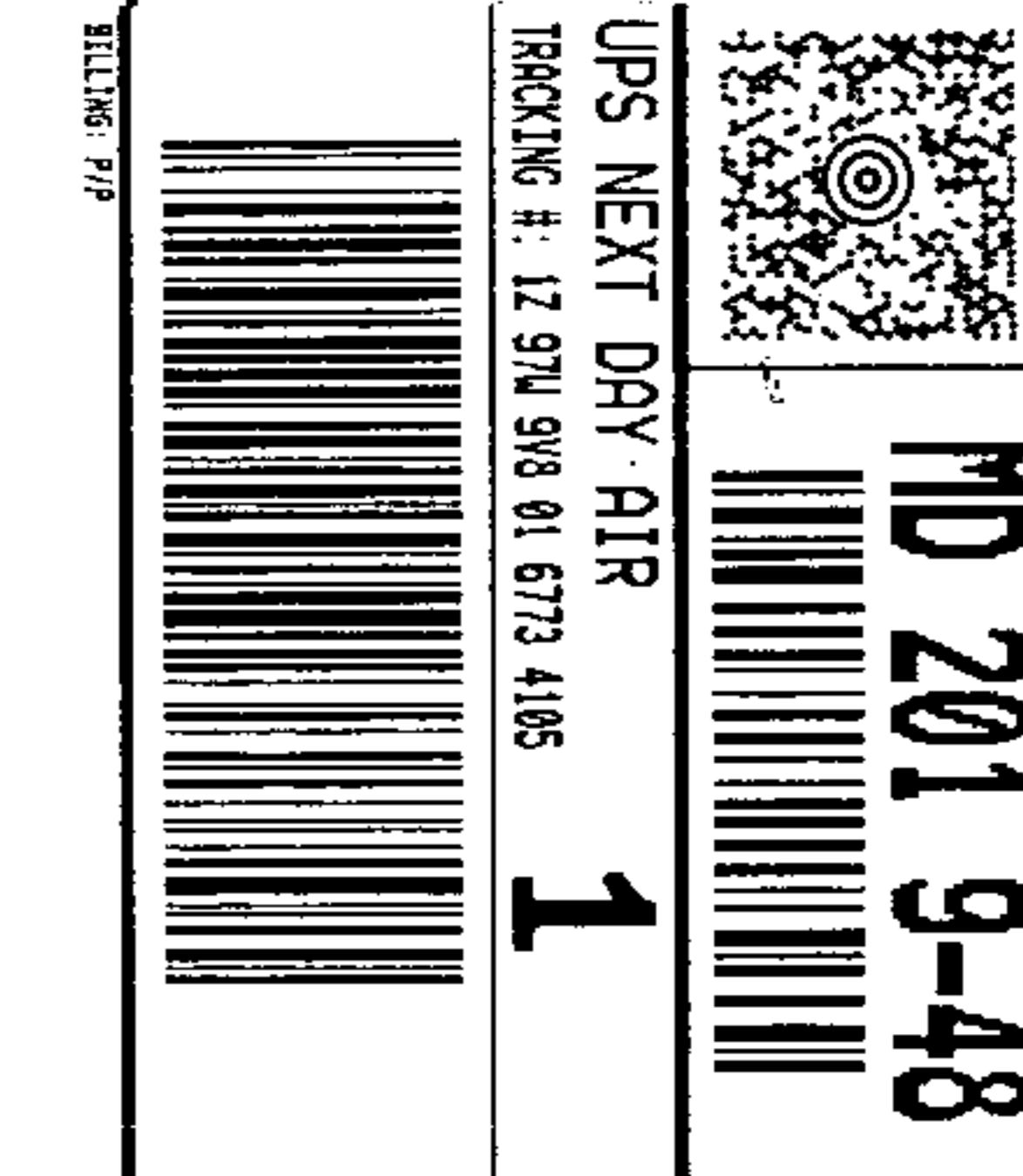
Note: Express Envelopes are not recommended for shipments of electronic media containing sensitive personal information or breakable items. Do not send cash or cash equivalent.

This envelope is for use with the following services:

**UPS Next Day Air®  
UPS Worldwide Express®  
UPS 2nd Day Air®**

Do not use this envelope for:

**UPS Ground  
UPS Standard  
UPS 3 Day Select®  
UPS Worldwide Expedited®**



SHIP OFC OF PUBLIC RECORDS - US SENATE  
TO: (202) 224-2981  
SECRETARY OF THE SENATE  
RH 232 HART SENATE OFFICE BU  
U S SENATE  
WASHINGTON DC 20510-0009  
0.4 LBS LTR 1 OF 1  
HILLARY INNIS  
(202) 607-3300  
ANGUS KING FOR US SENATE CAMPAIGN  
135 MAINE ST  
BRUNSWICK ME 04011  
SHP WT: LTR  
DATE: 14 MAY 2012

Mail Boxes Etc., Inc. is a UPS® company. The UPS Store® locations are independently owned and operated by franchisees of Mail Boxes Etc., Inc. in the USA and by its master licensee and its franchisees in Canada. Copyright © 2009 Mail Boxes Etc., Inc. 410260000509  
International Shipping Notice — Carriage hereunder may be subject to the rules relating to liability and other terms and/or conditions established by the Convention for the Unification of Certain Rules Relating to International Carriage by Air (the "Warsaw Convention") and/or the Convention on the  
Contract for the International Carriage of Goods by Road (the "CMR Convention"). These commodities, technology or software were exported from the U.S. in accordance with the Export Administration Regulations. Diversion contrary to U.S. law prohibited.

01880250709 1/10 SCA United Parcel Service, Louisville, KY

100% Recycled fiber  
80% Post-Consumer