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UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT
FOR ANNUAL AND TERMINATION REPORTS

☐ Amendment

Last Name	First Name and Middle Initial	Annual Report: Calendar Year Covered by Report:	Senate Office / Agency in Which Employed
Grassley	Charles E.	2011	U.S. Senator for Iowa
Senate Office Address (Number, Street, City, State, and ZIP Code)	**Senate Office Telephone Number** *(Include Area Code)*	**Termination Report:** Termination Date (mm/dd/yy):	**Prior Office / Agency in Which Employed**
135 Hart Building, Washington, DC	202-224-3744	N/A	N/A

AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, Complete and Attach PART I.		X
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than $200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.	X	
Did you, your spouse, or dependent child hold any reportable asset worth more than $1,000 at the end of the period, or receive unearned or investment income of more than $200 in the reporting period? If Yes, Complete & Attach PART IIIA and/or IIIB.	X	
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? If Yes, Complete and Attach PART IV.	X	
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? If Yes, Complete and Attach PART V.		X
Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than $350 from one source)? If Yes, Complete and Attach PART VI.		X
Did you, your spouse, or dependent child have any reportable liability (more than $10,000) during the reporting period? If Yes, Complete and Attach PART VII.	X	
Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, Complete and Attach PART VIII.	X	
Do you have any reportable agreement or arrangement with an outside entity? If Yes, Complete and Attach PART IX.	X	
If this is your FIRST Report: Did you receive compensation of more than $5,000 from a single source in the two prior years? If Yes, Complete and Attach PART X.		

Each question must be answered and the appropriate PART attached for each "YES" response.

File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. $200 Penalty for filing more than 30 days after due date.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 4, § 104, and 18 U.S.C. § 1001.)

FOR OFFICIAL USE ONLY
Do Not Write Below this Line

12 MAY 15 PM 5:10
SECRETARY OF THE SENATE

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Charles E Grassley	May 14 2012

For Official Use Only - Do Not Write Below This Line

	Signature of Reviewing Official	Date (Month, Day, Year)
It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.		

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Reporting Individual's Name	☐ Amendment		Page Number
Charles E. Grassley		**PART II. EARNED AND NON-INVESTMENT INCOME**	2

Report the source (name and address), type, and amount of earned income to you from any source aggregating $200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate $1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:
For you and /or your spouse, report honoraria income received which aggregates $200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

	Name of Income Source	Address (City, State)	Type of Income	Amount
Example:	*JP Computers*	*Wash., DC* **EXAMPLE**	*Salary*	*$15,000*
	MCI (Spouse)	*Arlington, VA* **EXAMPLE**	*Salary*	*Over $1,000*
1	Chambers, Conlon & Hartwell (Spouse)	Washington, DC	Salary	Over $1,000
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				

Reporting Individual's Name: Charles E. Grassley

☐ Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A — Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B — Valuation of Assets

At the close of reporting period. If None, or less than $1,001, Check the first column.

BLOCK C — Type and Amount of Income

#	S, DC, or J	Identity	B: None (or less than $1,001)	B: $1,001 - $15,000	B: $15,001 - $50,000	B: $50,001 - $100,000	B: $100,001 - $250,000	B: $250,001 - $500,000	B: $500,001 – $1,000,000	B: Over $1,000,000***	B: $1,000,001 - $5,000,000	B: $5,000,001 - $25,000,000	B: $25,000,001 - $50,000,000	B: Over $50,000,000	Type: None	Type: Dividends	Type: Rent	Type: Interest	Type: Capital Gains	Type: Excepted Investment Fund	Type: Excepted Trust	Type: Qualified Blind Trust	Type: Other (Specify Type)	Amount: None (or less than $201)	Amount: $201 - $1,000	Amount: $1,001 - $2,500	Amount: $2,501 - $5,000	Amount: $5,001 - $15,000	Amount: $15,001 - $50,000	Amount: $50,001 - $100,000	Amount: $100,001 - $1,000,000	Amount: Over $1,000,000***	Amount: $1,000,001 - $5,000,000	Amount: Over $5,000,000	Actual Amount Required if "Other" Specified
Example:	S, DC, or J	*IBM Corp. (stock)*				X										X							*Example*		X										*Example*
Example:	*(S)*	*Keystone Fund*					X													X			*Example*	X											*Example*
1		**Chuck & Barbara Freedom Joint Account**																																	
2	J	BARON ASSET FD GROWTH FD		X												X								X											
3	J	COLUMBIA ACORN INTERNATIONAL		X												X								X											
4	J	COLUMBIA MARSICO FOCUSED			X											X									X										
5	J	DWS RREEF GLOBAL REAL ESTATE		X												X									X										
6	J	EAGLE SMALLER COMPANY FUND		X											X									X											
7	J	EATON VANCE LGCAP VAL CL A			X											X									X										
8	J	EUROPACIFIC GROWTH FUND CLASS		X												X								X											
9	J	JPMORGAN U.S. LARGE CAP CORE		X												X								X											
10	J	MANNING & NAPIER EQUITY SERIES		X												X									X										

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Name: Charles E. Grassley

☐ Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A — Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:

(1) had a value exceeding $1,000 at the close of the reporting period; and/or

(2) generated over $200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B — Valuation of Assets

At the close of reporting period. If None, or less than $1,001, Check the first column.

BLOCK C — Type and Amount of Income

Block B:

#	S, DC, or J	Asset	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 – $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example:	S,	*IBM Corp. (stock)*				X								
Example:	(S)	*Keystone Fund*					X							
1	J	MFS MUNICIPAL HIGH INCOME FUND		X										
2	J	MFS RESEARCH FUND CLASS A M/F		X										
3	J	MUNDER SER TR INTL SCAP CL Y		X										
4	J	NATIXIS ASG GLOBAL ALTERNATIVES FUND		X										
5	J	NEUBERGER & BERMAN EQUITY FD GENESIS FD		X										
6	J	PERKINS MID CAP VALUE FUND CLASS A		X										
7	J	PIMCO FDS PAC INVT MGMT SER COMMD RL STR A		X										
8	J	PRUDENTIAL JENNISON MID CAP GROWTH CL Z		X										
9	J	RJ BANK DEPOSIT PROGRAM		X										
10	J	T. ROWE PRICE SUMMIT MUNICIPAL		X										

Block C — Type of Income:

#	Asset	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)
Example:	*IBM Corp. (stock)*		X							*Example*
Example:	*Keystone Fund*						X			*Example*
1	MFS MUNICIPAL HIGH INCOME FUND		X							
2	MFS RESEARCH FUND CLASS A M/F		X							
3	MUNDER SER TR INTL SCAP CL Y		X							
4	NATIXIS ASG GLOBAL ALTERNATIVES FUND	X								
5	NEUBERGER & BERMAN EQUITY FD GENESIS FD		X							
6	PERKINS MID CAP VALUE FUND CLASS A		X							
7	PIMCO FDS PAC INVT MGMT SER COMMD RL STR A		X							
8	PRUDENTIAL JENNISON MID CAP GROWTH CL Z		X							
9	RJ BANK DEPOSIT PROGRAM				X					
10	T. ROWE PRICE SUMMIT MUNICIPAL		X							

Block C — Amount of Income:

		None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	Over $5,000,000	Actual Amount Required if "Other" Specified
Example:	*IBM Corp. (stock)*		X										*Example*
Example:	*Keystone Fund*	X											*Example*
1	MFS MUNICIPAL HIGH INCOME FUND		X										
2	MFS RESEARCH FUND CLASS A M/F	X											
3	MUNDER SER TR INTL SCAP CL Y	X											
4	NATIXIS ASG GLOBAL ALTERNATIVES FUND	X											
5	NEUBERGER & BERMAN EQUITY FD GENESIS FD		X										
6	PERKINS MID CAP VALUE FUND CLASS A		X										
7	PIMCO FDS PAC INVT MGMT SER COMMD RL STR A			X									
8	PRUDENTIAL JENNISON MID CAP GROWTH CL Z	X											
9	RJ BANK DEPOSIT PROGRAM	X											
10	T. ROWE PRICE SUMMIT MUNICIPAL	X											

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

00000603114

Reporting Individual's Name: Charles E. Grassley

☐ Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A — Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:

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(2) generated over $200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B — Valuation of Assets

At the close of reporting period. If None, or less than $1,001, Check the first column.

#	S, DC, or J	Asset	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 – $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example:	S,	*IBM Corp. (stock)*				X								
Example:	(S)	*Keystone Fund*					X							
1	J	RS INTERNATIONAL GROWTH FUND		X										
2	J	WELLS FARGO FDS TR ADV INTVAL ADM		X										
3	J	ALLIANCE BERNSTEIN MUNICIPAL		X										
4		**Chuck & Barbara Joint Account**												
5	J	NUVEEN PREM INCOME MUN FD 2 COM		X										
6	J	AXA EQUITABLE ANNUTIY				X								
7	J	RJ BANK DEPOSIT PROGRAM			X									
8														
9														
10														

BLOCK C — Type and Amount of Income

#	Asset	Type of Income: None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	Amount of Income: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	Over $5,000,000	Actual Amount Required if "Other" Specified
Example:	*IBM Corp. (stock)*		X							*Example*		X										*Example*
Example:	*Keystone Fund*						X			*Example*	X											*Example*
1	RS INTERNATIONAL GROWTH FUND		X								X											
2	WELLS FARGO FDS TR ADV INTVAL ADM		X									X										
3	ALLIANCE BERNSTEIN MUNICIPAL		X									X										
4	**Chuck & Barbara Joint Account**																					
5	NUVEEN PREM INCOME MUN FD 2 COM		X								X											
6	AXA EQUITABLE ANNUTIY	X									X											
7	RJ BANK DEPOSIT PROGRAM				X						X											
8																						
9																						
10																						

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

Reporting Individual's Name: Charles E. Grassley

☐ Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A — Identity of Publicly Traded Assets And Unearned Income Sources

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BLOCK B — Valuation of Assets

At the close of reporting period. If None, or less than $1,001, Check the first column.

BLOCK C — Type and Amount of Income

#	S, DC, or J	Asset	B: None (or less than $1,001)	B: $1,001 - $15,000	B: $15,001 - $50,000	B: $50,001 - $100,000	B: $100,001 - $250,000	B: $250,001 - $500,000	B: $500,001 – $1,000,000	B: Over $1,000,000***	B: $1,000,001 - $5,000,000	B: $5,000,001 - $25,000,000	B: $25,000,001 - $50,000,000	B: Over $50,000,000	Type: None	Type: Dividends	Type: Rent	Type: Interest	Type: Capital Gains	Type: Excepted Investment Fund	Type: Excepted Trust	Type: Qualified Blind Trust	Type: Other (Specify Type)	Amount: None (or less than $201)	Amount: $201 - $1,000	Amount: $1,001 - $2,500	Amount: $2,501 - $5,000	Amount: $5,001 - $15,000	Amount: $15,001 - $50,000	Amount: $50,001 - $100,000	Amount: $100,001 - $1,000,000	Amount: Over $1,000,000***	Amount: $1,000,001 - $5,000,000	Amount: Over $5,000,000	Actual Amount Required if "Other" Specified
Example:		*IBM Corp. (stock)*				X										X							*Example*		X										*Example*
Example:	*(S)*	*Keystone Fund*					X													X			*Example*	X											*Example*
1		Chuck's Freedom SEP IRA																																	
2		DWS RREEF GLOBAL REAL ESTATE SEC FD CL A			X											X										X									
3		FEDERATED STRATEGIC VALUE DIVIDEND FUND CL IS			X											X									X										
4		FRANKLIN CUSTODIAN FDS INC INCOME ADVISR			X											X									X										
5		LEGG MASON CLEARBRIDGE EQUITY INCOME BUILDER			X											X									X										
6		MAINSTAY FDS TR EPOCH GBL EQT A			X											X									X										
7		MFS SER TR VI UTILS FD CL A			X											X									X										
8		NEUBERGER & BERMAN EQUITY FD EQT INCM FD A			X											X									X										
9		PRINCIPAL FDS INC PFD SECS FD P			X											X									X										
10		PRUDENTIAL JENNISON EQUITY INCOME CLASS Z			X											X									X										

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

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Click...to create an additional page for this part.

Reporting Individual's Name: Charles E. Grassley

☐ Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A — Identity of Publicly Traded Assets And Unearned Income Sources

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BLOCK B — Valuation of Assets

At the close of reporting period. If None, or less than $1,001, Check the first column.

BLOCK C — Type and Amount of Income

BLOCK B — Valuation of Assets

#	S, DC, or J	Asset	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 – $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example	S, DC, or J	*IBM Corp. (stock)*				X								
Example	*(S)*	*Keystone Fund*					X							
1		RJ BANK DEPOSIT PROGRAM		X										
2		THORNBURG INVT TR INV INCM BLD I			X									
3		ASTON FDS AR DIV VAL I			X									
4		**Barbara's IRA**												
5	S	BLACKROCK DIVID ACHIEVRS TM		X										
6	S	RJ BANK DEPOSIT PROGRAM	X											
7	S	TRANSAMERICA FLEX INCM B		X										
8	S	INVESCO CHARTER FUND		X										
9		NEW YORK LIFE INSURANCE		X										
10		NEW YORK LIFE INSURANCE		X										

BLOCK C — Type of Income

#	Asset	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)
Example	*IBM Corp. (stock)*		X							*Example*
Example	*Keystone Fund*						X			*Example*
1	RJ BANK DEPOSIT PROGRAM				X					
2	THORNBURG INVT TR INV INCM BLD I						X			
3	ASTON FDS AR DIV VAL I						X			
4	**Barbara's IRA**									
5	BLACKROCK DIVID ACHIEVRS TM						X			IRA
6	RJ BANK DEPOSIT PROGRAM						X			distr-
7	TRANSAMERICA FLEX INCM B						X			bution
8	INVESCO CHARTER FUND						X			
9	NEW YORK LIFE INSURANCE		X							
10	NEW YORK LIFE INSURANCE		X							

BLOCK C — Amount of Income

#	Asset	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	Over $5,000,000	Actual Amount Required if "Other" Specified
Example	*IBM Corp. (stock)*		X										*Example*
Example	*Keystone Fund*	X											*Example*
1	RJ BANK DEPOSIT PROGRAM	X											
2	THORNBURG INVT TR INV INCM BLD I			X									
3	ASTON FDS AR DIV VAL I		X										
4	**Barbara's IRA**												
5	BLACKROCK DIVID ACHIEVRS TM		X										$1,235
6	RJ BANK DEPOSIT PROGRAM	X											
7	TRANSAMERICA FLEX INCM B		X										
8	INVESCO CHARTER FUND		X										
9	NEW YORK LIFE INSURANCE		X										
10	NEW YORK LIFE INSURANCE		X										

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

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Click...to create an additional page for this part.

00000603117

Reporting Individual's Name: Charles E. Grassley ☐ Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A — Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
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BLOCK B — Valuation of Assets
At the close of reporting period. If None, or less than $1,001, Check the first column.

BLOCK C — Type and Amount of Income

#	S, DC, or J	Asset	B: None (or less than $1,001)	B: $1,001 - $15,000	B: $15,001 - $50,000	B: $50,001 - $100,000	B: $100,001 - $250,000	B: $250,001 - $500,000	B: $500,001 – $1,000,000	B: Over $1,000,000***	B: $1,000,001 - $5,000,000	B: $5,000,001 - $25,000,000	B: $25,000,001 - $50,000,000	B: Over $50,000,000	Type: None	Type: Dividends	Type: Rent	Type: Interest	Type: Capital Gains	Type: Excepted Investment Fund	Type: Excepted Trust	Type: Qualified Blind Trust	Type: Other (Specify Type)	Amount: None (or less than $201)	Amount: $201 - $1,000	Amount: $1,001 - $2,500	Amount: $2,501 - $5,000	Amount: $5,001 - $15,000	Amount: $15,001 - $50,000	Amount: $50,001 - $100,000	Amount: $100,001 - $1,000,000	Amount: Over $1,000,000***	Amount: $1,000,001 - $5,000,000	Amount: Over $5,000,000	Actual Amount Required if "Other" Specified
Example:	S,	*IBM Corp. (stock)*				X										X							*Example*		X										*Example*
Example:	*(S)*	*Keystone Fund*					X													X			*Example*	X											*Example*
1		OHIO NATIONAL LIFE INSURANCE		x												x									x										
2		OHIO NATIONAL LIFE INSURANCE		x												x									x										
3		ING 401k-BARBARA																																	
4	S	ING FIXED ACCOUNT				x														x					x										
5	S	ING INDEX PLUS LARGE CAP PORTFOLIO 1		x																x								x							
6	S	ING FIDELITY VIP CONTRAFUND PORT SRV			x															x								x							
7	S	PIONEER EQUITY INCOME FUND R	x																					x											
8	S	ALLIANZ NFJ DIVIDEND VALUE FND INST			x															x								x							
9	S	AMERICAN FUNDS GROWTH FND R			x															x						x									
10	S	OPPENHEIMER INTERNATIONAL BOND FND A			x																			x											

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

Reporting Individual's Name: Charles E. Grassley

☐ Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A — Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B — Valuation of Assets

At the close of reporting period. If None, or less than $1,001, Check the first column.

BLOCK C — Type and Amount of Income

#	S, DC, or J	Asset	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Type: None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	Over $5,000,000	Actual Amount Required if "Other" Specified
Example:	S, DC, or J	IBM Corp. (stock)				X										X							Example		X										Example
	(S)	Keystone Fund					X													X			Example	X											Example
1	S	ING TROWE PRICE CAP APPREC PT SRV					X													X						X									
2	S	ING FIDELITY VIP MID CAP PORT.SRV			X															X				X											
3	S	OPPENHEIMER MAIT ST.SM & MID CAP F/VA			X															X					X										
4	S	AMERICAN FUNDS EURO-PACIFIC R3			X															X				X											
5	S	LAZARD EMERGING MKTS EQUITY PORT			X															X				X											
6	S	COLUMBIA MID CAP VALUE FUND A			X															X							X								
7		COLLEGE SAVINGS IOWA FOR GRANCHILDREN MANAGED BY VANGARD																																	
8		MODERATE GROWTH AGE-BASED TRACK: 19 & OLDER		X																X				X											
9		MODERATE GROWTH AGE-BASED TRACK MONEY MARKET PORT.16-18		X																X				X											
10		CONSERVATIVE INCOME PORTFOLIO		X																X				X											

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

Reporting Individual's Name: Charles E. Grassley

☐ Amendment

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A — Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B — Valuation of Assets

At the close of reporting period. If None, or less than $1,001, Check the first column.

#	S, DC, or J	Asset	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 – $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example:		*IBM Corp. (stock)*				X								
Example:	(S)	*Keystone Fund*					X							
1		COLLEGE SAVING IOWA FOR GRANDCHILDREN MANAGED BY VANGAR												
2	S	MODERATE GROWTH AGE-BASED TRACK:19 & OLDER			X									
3	S	MODERATE GROWTH AGE-BASED TRACK:16-18		X										
4	S	CONSERVATE INCOME PORTFOLIO		X										
5	S	THE AMERICAN FUNDS GROUP-AMERICAN MUTUAL FUND 03			X									
6	J	STATE BANK & TRUST COMPANY, NEW HARTFORD, IA		X										
7														
8														
9														
10														

BLOCK C — Type and Amount of Income

Type of Income

#	Asset	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)
Example:	*IBM Corp. (stock)*		X							*Example*
Example:	*Keystone Fund*						X			*Example*
1	COLLEGE SAVING IOWA FOR GRANDCHILDREN MANAGED BY VANGAR									
2	MODERATE GROWTH AGE-BASED TRACK:19 & OLDER						X			
3	MODERATE GROWTH AGE-BASED TRACK:16-18						X			
4	CONSERVATE INCOME PORTFOLIO						X			
5	THE AMERICAN FUNDS GROUP-AMERICAN MUTUAL FUND 03						X			
6	STATE BANK & TRUST COMPANY, NEW HARTFORD, IA				X					
7										
8										
9										
10										

Amount of Income

#	Asset	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	Over $5,000,000	Actual Amount Required if "Other" Specified
Example:	*IBM Corp. (stock)*		X										*Example*
Example:	*Keystone Fund*	X											*Example*
1	COLLEGE SAVING IOWA FOR GRANDCHILDREN MANAGED BY VANGAR												
2	MODERATE GROWTH AGE-BASED TRACK:19 & OLDER	X											
3	MODERATE GROWTH AGE-BASED TRACK:16-18	X											
4	CONSERVATE INCOME PORTFOLIO	X											
5	THE AMERICAN FUNDS GROUP-AMERICAN MUTUAL FUND 03		X										
6	STATE BANK & TRUST COMPANY, NEW HARTFORD, IA	X											
7													
8													
9													
10													

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Name: Charles E. Grassley

☐ Amendment

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A — Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B — Valuation of Assets: At the close of reporting period. If None, or less than $1,001, Check the first column.

BLOCK C — Type and Amount of Income

#	S, DC, or J	Block A: Identity	B: None (or less than $1,001)	B: $1,001 - $15,000	B: $15,001 - $50,000	B: $50,001 - $100,000	B: $100,001 - $250,000	B: $250,001 - $500,000	B: $500,001 - $1,000,000	B: Over $1,000,000***	B: $1,000,001 - $5,000,000	B: $5,000,001 - $25,000,000	B: $25,000,001 - $50,000,000	B: Over $50,000,000	Type: None	Type: Dividends	Type: Rent	Type: Interest	Type: Capital Gains	Type: Excepted Investment Fund	Type: Excepted Trust	Type: Qualified Blind Trust	Type: Other (Specify Type)	Amount: None (or less than $201)	Amount: $201 - $1,000	Amount: $1,001 - $2,500	Amount: $2,501 - $5,000	Amount: $5,001 - $15,000	Amount: $15,001 - $50,000	Amount: $50,001 - $100,000	Amount: $100,001 - $1,000,000	Amount: Over $1,000,000***	Amount: $1,000,001 - $5,000,000	Amount: Over $5,000,000	Actual Amount Required if "Other" Specified
Example:	S, DC, or J	JP Computer, Software Design, Wash DC				X										X							Example		X										Example
		Undeveloped land, Dubuque, Iowa					X								X								Example	X											Example
1		Farmers Co-operative Co.		X												X							Combined		X										
2		Value First Co-operative, Dike, IA		X											X								Farm												
3		Farm Land-all located in Butler County, IA-Beaver Township																					Income												
4	J	Sec. 16, Rng. 15-118 A					X																$165,564												
5	J	Sec. 24, Rng. 15-72 A				X																	Combined												
6	J	Sec. 34, Rng. 15-40 A					X																Farm												
7	J	Sec. 15, Rng.15-43 A			X																		Expense												
8	J	Sec. 15, Rng. 15-87 A				X																	$129,219												
9	J	Sec. 34, Rng 15-104 A					X																Net Income												
10	J	Sec. 34 & 35, Rng. 18 120 A					X																$36 345												

EXEMPTION TEST (*see instructions before marking box*): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

00000603121

Reporting Individual's Name: Charles E. Grassley

☐ Amendment

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A
Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B
Valuation of Assets
At the close of reporting period. If None, or less than $1,001, Check the first column.

BLOCK C
Type and Amount of Income

#	S, DC, or J	Identity	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 – $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example:	S, DC, or J	*JP Computer, Software Design, Wash DC*				X								
Example:		*Undeveloped land, Dubuque, Iowa*					X							
1	J	Sec. 15, Rng. 15-118A					X							
2	J	Sec. 16, Rng. 15-40 A			X									
3	J	20 year Contract-Sale of 2 Acre Farmstead to Robin & Diane Grassley			X									
4														
5														
6														
7														
8														
9														
10														

#	Type of Income: None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	Amount of Income: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	Over $5,000,000	Actual Amount Required if "Other" Specified
Example		X							*Example*		X										*Example*
Example	X								*Example*	X											*Example*
1																					
2																					
3				X					Prop-		X										Plus
4									erty												$1,000
5									sale												per
6																					year
7																					
8																					
9																					
10																					

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

Reporting Individual's Name: Charles E. Grassley

☐ Amendment

PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

#	S, DC, or J	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example:	S, DC, or J	*IBM Corp. (stock) NYSE*	*X*			*2 / 1 / 1X*		*X*			E	X	A	M	P	L	E
		(DC) Microsoft (stock) NASDAQ/OTC		*X*		*1 / 27 / 1X*				*X*	E	X	A	M	P	L	E
1	J	ALLIANCE BERNSTEIN MUNICIPAL		X		9/26/2011	X										
2	J	ALLIANCE BERNSTEIN MUNICIPAL	X			10/07/11	X										
3	J	COLUMBIA MARSICO FOCUSED	X			10/07/11	X										
4	J	DWS REEF GLOBAL REAL ESTATE		X		09/26/11	X										
5	J	DAVIS NEW YORK VENTURE FUND		X		06/20/11	X										
6	J	EAGLE LARGE CAP CORE FUND		X		06/20/11	X										
7	J	EATON VANCE LARGE CAP VALUE	X			10/07/11	X										
8	J	EUROPACIFIC GROWTH FUND CLASS	X			10/07/11	X										
9	J	HARBOR INTERNATIONAL GROWTH		X		09/26/11	X										
10	J	HARTFORD MIDCAP FUND CLASS A		X		05/23/11	X										
11	J	JPMORGAN U.S. LARGE CAP CORE	X			06/22/11	X										
12	J	JPMORGAN U.S. LARGE CAP CORE	X			10/07/11	X										

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

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00000603123

Reporting Individual's Name	Amendment		Page Number
Charles E. Grassley	☐	**PART IV. TRANSACTIONS**	14

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

#	S, DC, or J	Identification of Assets	Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example:	S, DC, or J	IBM Corp. (stock) NYSE	X			2/1/1X		X			E	X	A	M	P	L	E
		(DC) Microsoft (stock) NASDAQ/OTC		X		1/27/1X				X	E	X	A	M	P	L	E
1	J	MFS RESEARCH FUND CLASS A M/F	X			10/07/11	X										
2	J	MFS MUNICIPAL HIGH INCOME FUND	X			03/21/11	X										
3	J	MFS MUNICIPAL HIGH INCOME FUND		X		10/07/11	X										
4	J	MANNING & NAPIER EQUITY SERIES	X			06/22/11	X										
5	J	MANNING & NAPIER EQUITY SERIES	X			10/07/11	X										
6	J	ASG GLOBAL ALTERNATIVES FUND	X			09/28/11	X										
7	J	ASG GLOBAL ALTERNATIVES FUND	X			10/07/11	X										
8	J	PIMCO COMMODITY REAL RETURN		X		09/26/11	X										
9	J	PIMCO COMMODITY REAL RETURN	X			10/07/11	X										
10	J	PRUDENTIAL JENNISON MID CAP	X			05/23/11	X										
11	J	RS INTERNATIONAL GROWTH FUND	X			09/26/211	X										
12	J	T. ROWE PRICE SUMMIT MUNICIPAL		X		09/26/11	X										

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

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Reporting Individual's Name: Charles E. Grassley

☐ Amendment

PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

	S, DC, or J	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example:		*IBM Corp. (stock) NYSE*	*X*			*2 / 1 / 1X*		X			E	X	A	M	P	L	E
		(DC) Microsoft (stock) NASDAQ/OTC		*X*		*1 / 27 / 1X*				X	E	X	A	M	P	L	E
1	J	T. ROWE PRICE SUMMIT MUNICIPAL	X			10/07/11	X										
2	J	WELLS FARGO ADVANTAGE INTER-	X			10/07/11	X										
3	J	NUVEEN HIGH YIELD MUNICIPAL BOND FUND CLASS L		X		3/21/11	X										
4		ASTON/RIVER ROAD DIVIDEND ALL	X			09/21/11		X									
5		ASTON/RIVER ROAD DIVIDEND ALL	X			09/22/11	X										
6		ASTON/RIVER ROAD DIVIDEND ALL		X		09/29/11	X										
7		ASTON/RIVER ROAD SMALL CAP		X		09/21/11		X									
8		BARON GROWTH FUND RETAIL CLASS		X		09/21/11		X									
9		COLUMBIA ACORN INTERNATIONAL		X		09/21/11	X										
10		COLUMBIA MARSICO FOCUSED		X		09/21/11		X									
11		DAVIS NEW YORK VENTURE FUND		X		06/20/11		X									
12		DWS RREEF GLOBAL REAL ESTATE	X			09/21/11		X									

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

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00000603125

Reporting Individual's Name: Charles E. Grassley

☐ Amendment

PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

		Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example:	S, DC, or J	IBM Corp. (stock) NYSE	X			2/1/1X		X			E	X	A	M	P	L	E
		(DC) Microsoft (stock) NASDAQ/OTC		X		1/27/1X				X	E	X	A	M	P	L	E
1		DWS RREEF GLOBAL REAL ESTATE	X			09/22/11	X										
2		DWS RREEF GLOBAL REAL ESTATE		X		09/29/11	X										
3		EATON VANCE LARGE CAP VALUE	X			04/21/11	X										
4		EATON VANCE LARGE CAP VALUE		X		09/21/11		X									
5		EUROPACIFIC GROWTH FUND CLASS		X		09/21/11		X									
6		FEDERATED STRATEGIC VALUE	X			09/21/11		X									
7		FEDERATED STRATEGIC VALUE	X			09/22/11	X										
8		FEDERATED STRATEGIC VALUE		X		09/29/11	X										
9		FRANKLIN INCOME FUND ADVISOR	X			09/21/11		X									
10		FRANKLIN INCOME FUND ADVISOR	X			09/22/11	X										
11		FRANKLIN INCOME FUND ADVISOR		X		09/29/11	X										
12		HARBOR INTERNATIONAL GROWTH	X			04/21/11	X										

EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

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Reporting Individual's Name	Amendment		Page Number
Charles E. Grassley	☐	**PART IV. TRANSACTIONS**	17

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

	S, DC, or J	Identification of Assets	Transaction Type (x): Purchase	Transaction Type (x): Sale	Transaction Type (x): Exchange	Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example:		*IBM Corp. (stock) NYSE*	*X*			*2 / 1 / 1X*		X			E	X	A	M	P	L	E
		(DC) Microsoft (stock) NASDAQ/OTC		*X*		*1 / 27 / 1X*				X	E	X	A	M	P	L	E
1		HARBOR INTERNATIONAL GROWTH		X		09/21/11		X									
2		HARTFORD MIDCAP FUND CLASS A		X		05/23/11		X									
3		JPMORGAN CORE BOND FUND CLASS	X			04/21/11	X										
4		JPMORGAN CORE BOND FUND CLASS		X		09/21/11		X									
5		JPMORGAN U.S. LARGE CAP CORE	X			06/22/11		X									
6		JPMORGAN U.S. LARGE CAP CORE		X		09/21/11		X									
7		LEGG MASON CLEARBRIDGE EQUITY	X			09/21/11		X									
8		LEGG MASON CLEARBRIDGE EQUITY	X			09/22/11	X										
9		LEGG MASON CLEARBRIDGE EQUITY		X		09/29/11	X										
10		MAINSTAY EPOCH GLOBAL EQUITY	X			09/21/11		X									
11		MAINSTAY EPOCH GLOBAL EQUITY	X			09/22/11	X										
12		MAINSTAY EPOCH GLOBAL EQUITY		X		09/29/11	X										

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Reporting Individual's Name	☐ Amendment	PART IV. TRANSACTIONS	Page Number
Charles E. Grassley			18

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

		Transaction Type (x)				Amount of Transaction (x)										
S, DC, or J	Identification of Assets	Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example:	IBM Corp. (stock) NYSE	X			2 / 1 / 1X		X			E	X	A	M	P	L	E
	(DC) Microsoft (stock) NASDAQ/OTC		X		1 / 27 / 1X				X	E	X	A	M	P	L	E
1	MFS RESEARCH FUND CLASS A M/F		X		09/21/11		X									
2	MFS UTILITIES FUND CLASS A M/F	X			09/21/11		X									
3	MFS UTILITIES FUND CLASS A M/F	X			09/22/11	X										
4	MFS UTILITIES FUND CLASS A M/F		X		09/29/11	X										
5	MUNDER INTERNATIONAL SMALL-CAP		X		09/21/11	X										
6	NEUBERGER BERMAN EQUITY INCOME	X			09/21/11		X									
7	NEUBERGER BERMAN EQUITY INCOME	X			09/22/11	X										
8	NEUBERGER BERMAN EQUITY INCOME		X		09/29/11	X										
9	NEUBERGER BERMAN GENESIS FUND		X		09/21/11		X									
10	PERKINS MID CAP VALUE FUND		X		09/21/11		X									
11	PIMCO COMMODITY REAL RETURN		X		04/21/11	X										
12	PIMCO COMMODITY REAL RETURN		X		09/21/11		X									

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Reporting Individual's Name	☐ Amendment	Page Number
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PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

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Example:		IBM Corp. (stock) NYSE	X			2/1/1X		X			E	X	A	M	P	L	E
		(DC) Microsoft (stock) NASDAQ/OTC		X		1/27/1X				X	E	X	A	M	P	L	E
1		PIMCO TOTAL RETURN FUND CLASS	X			04/21/11	X										
2		PIMCO TOTAL RETURN FUND CLASS		X		09/21/11		X									
3		PRINCIPAL PREFERRED SECURITIES	X			09/21/11		X									
4		PRINCIPAL PREFERRED SECURITIES	X			09/22/11	X										
5		PRINCIPAL PREFERRED SECURITIES		X		09/29/11	X										
6		PRUDENTIAL HIGH YIELD FUND		X		09/21/11	X										
7		PRUDENTIAL JENNISON EQUITY	X			09/21/11		X									
8		PRUDENTIAL JENNISON EQUITY	X			09/22/11	X										
9		PRUDENTIAL JENNISON EQUITY		X		09/29/11	X										
10		PRUDENTIAL JENNISON MID CAP	X			05/23/11		X									
11		PRUDENTIAL JENNISON MID CAP		X		09/21/11		X									
12		T. ROWE PRICE INTERNATIONAL		X		09/21/11	X										

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Reporting Individual's Name

☐ Amendment

PART IV. TRANSACTIONS

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Example:		IBM Corp. (stock) NYSE	X			2 / 1 / 1X		X			E	X	A	M	P	L	E
		(DC) Microsoft (stock) NASDAQ/OTC		X		1 / 27 / 1X				X	E	X	A	M	P	L	E
1		THORNBURG INVESTMENT INCOME	X			09/21/11		X									
2		THORNBURG INVESTMENT INCOME	X			09/22/11	X										
3		THORNBURG INVESTMENT INCOME		X		09/29/11	X										
4		THORNBURG VALUE FUND CLASS I		X		09/21/11		X									
5		WELLS FARGO ADVANTAGE INTER-		X		09/21/11	X										
6																	
7																	
8																	
9																	
10																	
11																	
12																	

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Reporting Individual's Name	☐ Amendment	Page Number
Charles E. Grassley		21

PART IV. TRANSACTIONS

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Example:		*IBM Corp. (stock) NYSE*	X			2 / 1 / 1X		X			E	X	A	M	P	L	E
		(DC) Microsoft (stock) NASDAQ/OTC		X		1 / 27 / 1X				X	E	X	A	M	P	L	E
1		**Barbara 401k transactions-bimonthly contributions via payroll deduction**															
2	S	ING INDEX PLUS LARGECAP		X		11/09/11				X							
3	S	ING FIDELITY VIP CONTRAFUND		X		11/09/11			X								
4	S	ING FIXED		X		11/09/11	X										
5	S	PIONEER EQUITY INCOME FUND		X		11/09/11		X									
6	S	COLUMBIA MID CAP VALUE FUND		X		11/09/11	X										
7	S	ALLIANZ NFJ DIVIDEND VALUE FUND		X		11/09/11			X								
8	S	ING FIDELITY VIP MID CAP PORTFOLIO	X			11/09/11		X									
9	S	AMERICAN FUNDS GROWTH FUND R3	X			11/09/11		X									
10	S	AMERCIAN FUND EUROPACIFIC R3	X			11/09/11		X									
11	S	ING TROWE PRICE CAP APPREC	X			11/09/11				X							
12	S	OPPENHEIMER MAIN ST SM & MD CAP	X			11/09/11		X									

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Reporting Individual's Name: Charles E. Grassley

☐ Amendment

PART IV. TRANSACTIONS

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Example:		*IBM Corp. (stock) NYSE*	*X*			*2/1/1X*		X			E	X	A	M	P	L	E
		(DC) Microsoft (stock) NASDAQ/OTC		*X*		*1/27/1X*				X	E	X	A	M	P	L	E
1	S	LAZARD EMERGING MKTS	X			11/09/11		X									
2	S	OPPENHEIMER INT'L BOND FUND	X			11/09/11		X									
3		CHUCK'S CONTRIBUTIONS TO GRANDCHILDREN'S															
4		COLLEGE SAVINGS IOWA 529 ACCOUNTS:															
5		MODERATE GROWTH AGE-BASED TRACK:MONEY MARKET PORT.	X			12/23/11	X										
6		MODERATE GROWTH AGE-BASED TRACK:INCOME PORTFOLIO	X			12/23/11	X										
7		CONSERVATIVE INCOME PORTFOLIO	X			12/23/11	X										
8		BARBARA'S CONTRIBUTIONS TO GRANDCHILDREN'S COLLEGE															
9		SAVINGS IOWA 529 ACCOUNTS:															
10	S	MODERATE GROWTH AGE-BASED TRACK:MONEY MARKET PORT.	X			12/23/11	X										
11	S	MODERATE GROWTH AGE-BASED TRACK:INCOME PORTFOLIO	X			12/23/11	X										
12	S	CONSERVATIVE INCOME PORTFOLIO	X			12/23/11	X										

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Reporting Individual's Name: Charles E. Grassley

☐ Amendment

PART VII. LIABILITIES

Report liabilities over $10,000 owed by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) Mortgages on your personal residences unless rented; (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

	S, DC, or J	Name of Creditor	Address	Type of Liability	Date Incurred	Interest Rate	Term if Applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example:	S, DC, or J	*First District Bank*	*Wash., DC*	*Mortgage on undeveloped land*	*1992*	*13%*	*25yrs*			X		E	X	A	M	P	L	E
	(J)	*John Jones*	*Wash., DC*	*Promissory Note*	*2000*	*10%*	*On dmd*				X	E	X	A	M	P	L	E
1	J	Wells Fargo Home Mortgage	Des Moines, IA	Mortgage-Virginia Home	2002	5.375	15yrs				X							
2				(No Points)		%												
3																		
4																		
5																		
6																		
7																		
8																		
9																		
10																		
11																		
12																		

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Reporting Individual's Name	☐ Amendment		Page Number
Charles E. Grassley		**PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT**	24

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

	Name of Organization	Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	*National Assn. of Rock Collectors*	*NY,NY* **EXAMPLE**	*Non-profit education*	*President*	*6 / 91*	*Present*
	Jones & Smith	*Hometown, USA* **EXAMPLE**	*Law Firm*	*Partner*	*7 / 96*	*11 / 1X*
1	Farm-Butler County, Iowa	New Hartford & Cedar Falls, IA	Family Farm Operation	Proprietor	Life	Life
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						

Compensation in excess of $200 from any position must be reported in Part II.