

# Portfolio Review Pack

계좌 번호  
의해서 준비된:  
Review Date

{tk{fm1bFhLKzaFV}kt} {tk{cx+yDBIHhyjv}kt}  
Weiliang Chen  
27-7월-2022

# 포트폴리오 검토

계정:	{tk{fm1bFhLKzaFV}kt} {tk{cx+yDBIHhyjv}kt}
Investment Profile:	
Prepared For:	DBScient
:로 부터 준비된	Weiliang Chen
Review Period:	01-3월-2018 에게 23-8월-2018
Date Prepared:	27-7월-2022

# 내용 표

섹션 I: 마지막 투자 목표 요약

섹션 II: 주요 포트폴리오 분석

섹션 III: 포트폴리오 X-Ray

섹션 IV: 고객 사인 오프

# 마지막 검토 시점의 투자 프로필 및 전략

Account Information (For Account {tk{fm1bFhLKzaFV} kt} {tk{cx+yDBIHhyjv} kt}) 귀하가 선택한 전략

- Risk Tolerance: null
- Risk Ability: null
- Risk Profile: null

Portfolio Information (For Portfolio {tk{fm1bFhLKzaFV} kt} {tk{cx+yDBIHhyjv} kt})

- Investment Objective: null
- Investment Horizon: null
- Risk Profile: (Adjusted): null
- Risk Appetite: null
- Risk Appetite Adjusted: null
- Date of Signed IOS Form: null

# 포트폴리오 개요

Portfolio Distribution		Valuation as of 23-8월-2018	Portfolio Risk And Return Statistics:		포트폴리오	벤치마크
자산		445,489.16	연간 수익률		0.59%	
현금 및 등가물		0.00	연간 변동성		0.09%	
주식		0.00	트레킹 에러			
채권		0.00	알파			
대체투자		0.00	베타			
기타		445,489.16	샤프지수		0.21	
Liabilities		0.00	Information Ratio			
대출		0.00				
Net Assets		445,489.16				

# 성과 개요

Portfolio Performance	Review Period	MTD	QTD	YTD	Since Inception (Annualised)
-----------------------	---------------	-----	-----	-----	------------------------------

Portfolio TWRR	0.28%	0.01%	0.04%	0.28%	0.59%
----------------	-------	-------	-------	-------	-------

Portfolio TWRR (Unleveraged)	0.28%	0.01%	0.04%	0.28%	0.59%
------------------------------	-------	-------	-------	-------	-------

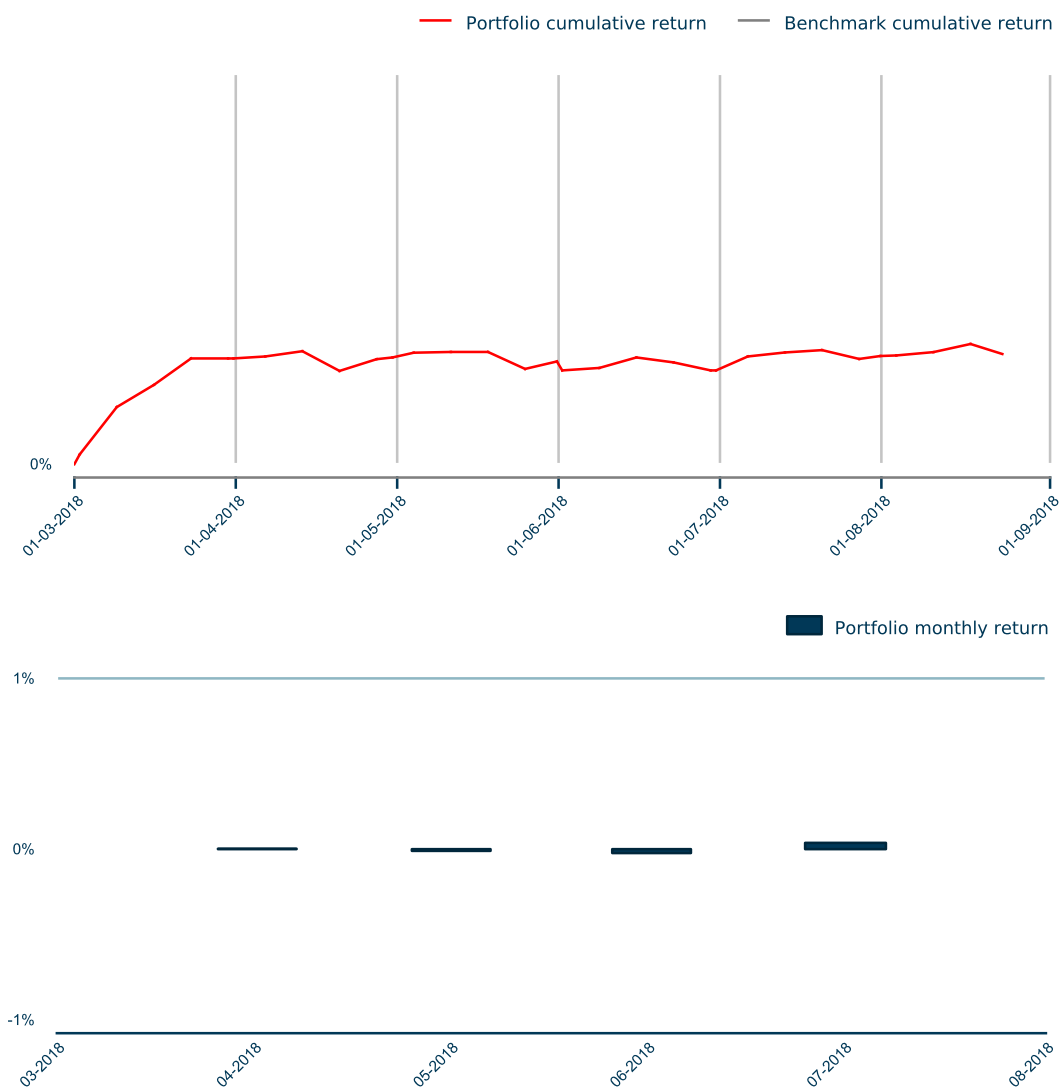
벤치마크	Return
------	--------

Top 5 Performers	HKD
------------------	-----

Fund Linked Note, Mat: 24-JAN-2020, USD, Undrly: PINEEHA ID	1,307.73
---	----------

Worst 5 Performers	HKD
--------------------	-----

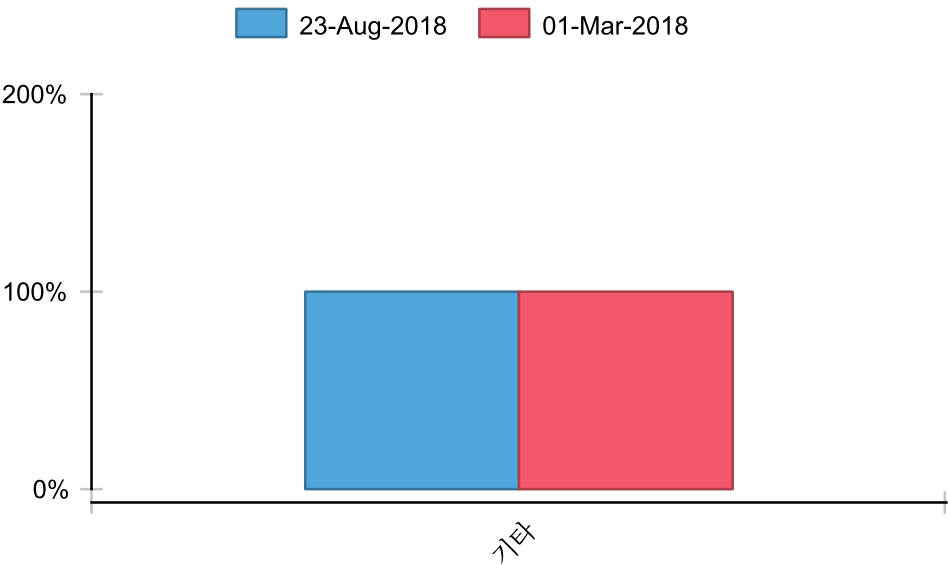
Fund Linked Note, Mat: 24-JAN-2020, USD, Undrly: PINEEHA ID	1,307.73
---	----------



# Portfolio Asset Allocation

Performance Contribution	Valuation as of 23-8월-2018		Valuation as of 01-3월-2018		Flows	Valuation Change*	Realised P/L and Income	Unrealised P/L and Accrued Interest
	HKD	%	HKD	%				
자산	445,489.16	100.00%	444,235.46	100.00%	(54.04)	1,307.73	0.00	1,307.73
기타	445,489.16	100.00%	444,235.46	100.00%	(54.04)	1,307.73	0.00	1,307.73
Net Assets	445,489.16		444,235.46					

## Portfolio Distribution



# Portfolio Deep Dive: Equities Summary

## Equities Summary

Market Value (HKD)	
Number of Holdings	0
Average Dividend Yield	
Portfolio Weighted P/E Ratio	

Equities Top 10 Holdings	Market Value (HKD)
--------------------------	--------------------



# Portfolio Deep Dive: Equities Performance Summary

Equities Performance Contribution	HKD
Total Return	0.00
Realised P/L & Income	0.00
Realised P/L	0.00
Dividend	0.00
Unrealised P/L & Accrued Interest	0.00
Unrealised P/L	0.00
Accrued Interest	0.00
Top 5 Performers	HKD
Worst 5 Performers	HKD

# Portfolio Deep Dive: Equities Details

이름	ISIN	CCY 수량:	Maturity date Dividend Yield	Cost Value Cost Value Cost Price Cost FX	Market Value Market Value Last Price Last FX	Last Trade Date Last Price Date Last FX Date	% of Portfolio
----	------	------------	---------------------------------	---	---	--	----------------

# Portfolio Deep Dive: Equities Details

이름	Total Unrealised P/L Unrealised Capital P/L Unrealised FX P/L 경과이자	Realised Capital P/L Realised FX P/L	LV 지역 섹터	House View Fair Price	% of 52 Issuer/Underlying Week Range Concentration
----	---	---	-------------	--------------------------	---

# Portfolio Deep Dive: Fixed Income Summary

## Fixed Income Summary

Market Value (HKD)	
Accrued Income	0.00
Number of Issues	0
Portfolio YTM	
Portfolio Duration	
Portfolio Yield	
Portfolio Credit Rating	-
Next 12 Months Coupons (HKD)	
Next 12 Months Maturity (HKD)	

Fixed Income Top 10 Holdings	Market Value (HKD)
------------------------------	--------------------



# Portfolio Deep Dive: Fixed Income Performance Summary

Fixed Income Performance	HKD
Total Return	0.00
Realised P/L & Income	0.00
Realised P/L	0.00
Coupons	0.00
Unrealised P/L & Accrued Interest	0.00
Unrealised P/L	0.00
Accrued Interest	0.00
Best 5 Performers	HKD
Worst 5 Performers	HKD

# Portfolio Deep Dive: Fixed Income Details

이름 ISIN	CCY 쿠폰 비율 수량: Current Yield	Maturity date Next Call date Yield to Maturity Yield To Worst	기간 신용 등급	Cost Value Cost Value Cost Price Cost FX	Market Value Market Value Last Price Last FX	Last Trade Date Last Price Date Last FX Date	% of Portfolio
이름	Total Unrealised P/L Unrealised Capital P/L Unrealised FX P/L 경과이자	Realised Capital P/L Realised FX P/L	LV 지역 섹터		House View Fair Price	% of 52 Issuer/Underlying Week Range Concentration	

# Portfolio Deep Dive: Fixed Income Projected Cash Flows



# Portfolio Deep Dive: Other Holdings and Derivative Positions

Current Account	Account Number		Amount		Last FX		경과이자	Market Value
Alternative Investments	Product Type	수량:		Cost Price Cost FX		Last Price Last FX	Market Value	Unrealised Capital P/L Unrealised FX P/L
Derivatives	Product Type	수량:		Premium		Underlying Price	Market Value	Unrealised Capital P/L Unrealised FX P/L
Fund Linked Note, Mat: 24-JAN-2020, USD, Undrly: PINEEHA ID	구조화 상품	1.00	USD	56,754.26		TBD HKD	445,489.16	0.00
				7.85		USD	56,754.26	1,297.86

# Portfolio Review: Client Acknowledgement

I acknowledge that during this review, the following items have been discussed/shared with me,

My portfolio performance (return and risk) and contributions during the “period of review”,

Detailed holdings of all sections of my portfolio,

The health of my portfolio, in the area of any concentration risks or any mismatched holdings to my investment profile,

The Bank’s market outlook and recommended asset allocation relevant to my investment profile,

Proposed changes to my portfolio holdings and their effects,

Any other additional constraints/requests:

Client Signature: \_\_\_\_\_

Date: \_\_\_\_\_

## Disclaimers and Important Notes

This report is for proof of concept demonstration purpose only.

