# 9. Unique factorization and polynomial rings

### Polynomial rings and unique factorization

**Definition:** If R is an integral domain, the set of integers n such that  $n \cdot 1 = 0$ is a prime ideal in  $\mathbb{Z}$ . If this ideal is the zero ideal, R has characteristic zero; if this ideal is  $p\mathbb{Z}$  then R has characteristic p.

**Lemma:** In a ring of characteristic p, we have  $(x+y)^p = x^p + y^p$ .

#### Fraction fields

Suppose that R is an integral domain. We can construct a field containing Rconsidering

$$K(R) = \{\frac{a}{b} : a, b \in R, b \neq 0\}$$

and imposing the usual "fraction rules":

- $\frac{a}{b} + \frac{c}{d} = \frac{ad+bc}{bd}$   $\frac{xa}{xb} = \frac{a}{b}$  if  $x \neq 0$ .  $\frac{a}{b} \frac{c}{d} = \frac{ac}{bd}$

See DF Section 7.5 for a more formal definition.

The fraction field K(R) is the "smallest field containing R".

#### Polynomial rings: vocabulary and basics

Let R be a commutative ring with unity.

- 1. An element  $f \in R[x]$  is monic if its highest degree coefficient is 1.
- 2. The units in R[x] are the units in R.
- 3. If R is an integral domain, so is R[x] (look at highest degree terms of the polynomials)
- 4. If I is an ideal of R, then R[x]/IR[x] is isomorphic to (R/I)[x].
- 5. If I is a prime ideal in R, then IR[x] is a prime ideal in R[x].
- 6. If f is a monic polynomial in R[x] and g is any polynomial, then there is a division algorithm yielding g = qf + r with the degree of r less than the degree of f.
- 7. If R is a field, any polynomial can be made monic multiplying by the inverse of its highest degree coefficient.

The ring  $R[x_1, x_2, ..., x_n]$  is the ring of polynomials in n variables with coefficients in R. The terms of such a polynomial are monomials

$$a(i_1,\ldots,i_n)x_1^{i_1}\cdots x_n^{i_n}$$
.

The *total degree* of such a monomial is the sum of its degrees, and the total degree of a polynomial is the highest total degree of its monomials.

A polynomial in  $R[x_1, \ldots, x_n]$  may also be viewed as a polynomial in  $x_n$  whose coefficients are polynomials in  $x_1, \ldots, x_{n-1}$ . (In other words,  $R[x_1, \ldots, x_{n-1}][x] = R[x_1, \ldots, x_n]$ ).\$ In this case we can talk about the degree of a polynomial as the highest power of  $x_n$  with nonzero coefficient.

A polynomial in variables  $x_1, \ldots, x_n$  is homogeneous if all monomials have the same total degree. Any polynomial in n variables can be written as a sum of homogeneous polynomials.

**Proposition:** R[x] is a principal ideal domain if and only if R is a field. If R is a field, then R[x] is a Euclidean domain.

## Unique Factorization in R[x].

**Theorem:** If R is a UFD, then so is R[x].

## Criteria for irreducibility

- $\bullet\,$  Polynomials of degree 2 or 3 over a field are irreducible or have a root in the field.
- If a monic polynomial is irreducible in R/I[x], it is irreducible in R[x].

**Theorem:** (Eisenstein's Criterion) Let P be a prime ideal of R and suppose f(x) is a monic polynomial in R[x] of degree n. If  $f(x) \equiv x^n \pmod{P}$  and the constant term  $a_0$  of f is not in  $P^2$  then f is irreducible.

If a monic polynomial with integer coefficients has all its coefficients except its leading one divisible by a prime p, and its constant term is divisible by p but not  $p^2$ , then it is irreducible.

**Corollary:** The polynomial  $f(x) = \frac{x^p-1}{x-1}$ , the  $p^{th}$  cyclotomic polynomial, is irreducible in  $\mathbb{Z}[x]$  (and  $\mathbb{Q}[x]$ ).