

Linking permissioned blockchain to Internet of Things (IoT)-BIM platform for off-site production management in modular construction

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Highlights

- A novel blockchain-enabled IoT-BIM platform (BIBP).
- The business process of off-site production in modular construction is reviewed for designing BIBP.
- A novel three-layer system architecture of BIBP.
- The prototype for BIBP was proven for avoiding a single point of failure in IoT networks.
- The prototype for BIBP was proven for ensuring the provenance of BIM modifications.

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1 **Abstract**

2 Traditionally, construction managers were considered more experienced in project
3 management than off-site production management, although the latter is gaining importance
4 with the renaissance of modular construction worldwide. Various Internet of Things (IoT)-
5 enabled Building Information Modelling (BIM) platforms have been developed to facilitate
6 production management by providing better information visibility, traceability, and a more
7 collaborative working environment. Nevertheless, by and large, existing platforms suffer
8 from two shortcomings: (a) the ‘single point of failure’ problem of IoT networks and (b) how
9 to guarantee the provenance of BIM modifications from multi-sources. This research aims to
10 develop a blockchain-enabled IoT-BIM platform (BIBP) for off-site production management
11 in modular construction (OPM-MC) that can overcome the shortcomings. A design science
12 research method is adopted to develop a three-layer BIBP system architecture. The system
13 architecture is implemented and then compared with the existing IoT-enabled BIM platform.
14 It was found that BIBP can avoid a single point of failure in IoT networks and ensure the
15 provenance of BIM modifications with reduced storage costs in OPM-MC. The system
16 architecture developed in our study can help the industry advance beyond the rhetoric to
17 develop practical blockchain-enabled IoT-BIM applications. Future works are recommended
18 to fine-tune the platform and test and evaluate it in various scenarios.

19 **Keywords:** Blockchain, Internet of Things, BIM, Off-site Production Management, Modular
20 Construction

22 **1. Introduction**

23 Modular construction (MC) is a procurement innovation that is fostered from the
24 globalization of construction logistics and supply chain (CLSC). It allows a construction
25 project, traditionally cast in-situ on a congested site, to be designed in one place and
26 manufactured-produced building “modules” in an off-site factory and transporting them to a
27 construction site for installation (Darko et al., 2020). Widely propagated benefits of adopting
28 MC include enhanced cost-effectiveness, productivity (Wuni and Shen, 2020), quality of
29 works (Deng et al., 2017), site safety, sustainability, and reduced construction period and
30 wastage (Lu et al., 2018).

31 Nevertheless, MC also results in several new non-trivial challenges. Firstly, it is the quality
32 assurance and material authenticity in off-site production. This is particularly onerous as now
33 the production is taking place in a remote, off-site place with many embedded trades.
34 Stakeholders raised concerns about the nonappearance of systematic records of inspection
35 and operations (Li et al., 2021a) or the ones that may suffer input errors, document loss, and
36 even tampering (Zhong et al., 2020). Secondly, it is the fragmented CLSC. The high level of
37 off-site production in an MC project involves various stakeholders with diversified
38 backgrounds, including clients, designers, engineers, suppliers, manufacturers, transporters,

40 contractors, and sub-contractors (Luo et al., 2019). To this end, a high level of coordination
41 and collaboration is much desired. However, it should be noted that many MC projects
42 involve numerous stakeholders who store, retrieve and manage information on their own
43 isolated systems (Li et al., 2019). In Hong Kong, the issue of CLSC fragmentation is
44 amplified when the production work of MC has been completely shifted to the Great Bay
45 Area of Mainland China. These issues hamper higher levels of trust, which is further
46 execrated by the travel restrictions of dispatching authorized persons as inspectors due to
47 COVID-19 outbreaks around the globe.

48
49 Scholars and practitioners have been enthusiastic in developing platforms for off-site
50 production management in modular construction (OPM-MC) primarily by adopting Building
51 Information Modeling (BIM) and the Internet of Things (IoT) (Li et al., 2016; Zhong et al.,
52 2017; Xu et al., 2018; Zhou et al., 2021). These IoT-BIM platforms are designed to collect
53 near real-time data to enhance visualization and traceability. Construction stakeholders can
54 then supervise the progress and accumulated costs in practices such as OPM-MC. However,
55 centralized IoT-BIM platforms may bring a “single point of failure (SPOF)” problem. Here a
56 SPOF refers to one component of a system that, if it fails, will make the entire system unable
57 to perform its primary functions (ISO, 2020). For example, noisy and malicious data
58 produced by IoT sensors would affect the trustworthiness of IoT networks (Lu et al., 2021a).
59 It further compromises the integrity of the IoT-BIM platforms. Another example is to modify
60 BIM without providing provenance. The designer may change the window size from 750 mm
61 x 1400 mm to 1400 mm x 1400 mm without notifying all participants, resulting in rework
62 and additional costs. The current IoT-BIM platforms cannot ensure the single point of truth of
63 any modification in BIM (Das et al., 2021). Thus, it leaves room for manipulations of BIM
64 models without traceability (Xue and Lu, 2020). Together, these issues restrain the
65 performance of IoT-BIM platforms for OPM-MC.

66
67 Blockchain, used most broadly to record bitcoin and other cryptocurrency transactions, has
68 been actively investigated for its various potentials in construction (Wang et al., 2020; Lu et
69 al., 2021b; Li et al., 2021a). A blockchain refers to a distributed database with cryptography
70 and decentralized consensus mechanisms (Kuhle et al., 2021). Lately, blockchain has also
71 been proposed for IoT and BIM to establish trust in distributed IoT networks (Reyna et al.,
72 2018; Li et al., 2021b) and record the history of BIM changes (Zheng et al., 2019; Xue and
73 Lu, 2020). Theoretically, integrating blockchain with IoT and BIM can eliminate the pain
74 points of the IoT-BIM platforms mentioned above. Nevertheless, configuring a blockchain-
75 enabled IoT-BIM platform (BIBP) for OPM-MC is still in the conceptual stage rather than a
76 developed system. At the current stage, there is a lack of an effective system architecture that
77 uses blockchain to prevent a SPOF of the IoT networks and ensure a single point of truth of
78 BIM modifications.

Thus, this research aims to develop a spanking-new BIBP for OPM-MC. The specific objectives of this research are to: (1) define the objectives of the proposed platform; (2) propose a system architecture of the BIBP based on the defined objectives; (3) substantiate the proposed system architecture by implementing a prototype and evaluate its performance by comparing with existing centralized IoT-BIM platforms. The remainder of this paper is organized as follows. Section 2 introduces the related works of BIM, IoT, blockchain, and their integrations. Section 3 reviews the current business process of OPM-MC. Section 4 presents the research methodology. Section 5 gives the details of the BIBP system architecture. Section 6 shows the developed platform and evaluates its performance. Section 7 offers our discussion, and Section 8 concludes this research.

2. Background

2.1 Building Information Modeling (BIM)

In recent years, the introduction of BIM has been perceived as one of the critical developments in industrialized construction. BIM is a nomenclatural term employed to refer to a series of technologies and associated works utilized to describe and manage information used and produced for the process of designing, constructing, and operating buildings (Xue et al., 2021). The taxonomy of BIM information differentiates geometric, semantic, and topological types (Xue and Lu 2020). Open BIM supports the definition of the above information, and the Industry Foundation Class (IFC) (an open BIM standard) is usually used for data exchange for BIM interoperability.

BIM has been widely adopted in MC in various stages of a project lifecycle, including feasibility study (Li et al., 2020), design (Alwisy et al., 2019), production (Li et al., 2019), transportation (Bortolini et al., 2019), installation (Zhang et al., 2016), and maintenance (Wang and Piao, 2019). However, rare works contribute to BIM security, e.g., BIM modification audit and provenance (Zheng et al., 2019). For instance, the design of modular products in BIM may be modified due to budget or client requirements. The modification of BIM information is usually updated rather than keeping revision history. Even if the modification records are stored, it is difficult to guarantee the integrity of historical information (Xue and Lu, 2020). In addition, the modification records rely on complete trust in the central operator. Once the internal operators misbehave, the tampered information will lead to production rework and even legal proceedings. In short, the main challenge is the lack of an effective way to safely track BIM changes (Das et al., 2021). Therefore, this research aims to link the blockchain with BIM to track off-site production information in modular construction.

117 *2.2 Internet of Things (IoT) and IoT-enabled BIM*

118 IoT can provide accurate and timely information collection (Trappey et al., 2017).

119 Practitioners and scholars have suggested many core components of the IoT, such as RFID
120 (Radio Frequency Identification) tags, NFC (Near Field Communication) tags, and GPS
121 sensors to help realize its concept (Zheng et al., 2018). Besides, Niu et al. (2016) proposed
122 smart construction objects (SCOs), an IoT model with sensing, processing, and
123 communication capabilities, to facilitate the information exchange among construction
124 resources.

125
126 To fully achieve the potential of BIM in MC projects requires accurate information
127 collection, timely information exchange, and automatic decision support throughout the
128 project life cycle. Thus, several researchers have developed IoT-enabled BIM platforms for
129 construction safety management (Xu et al., 2018), construction logistics and supply chain
130 management (Zhong et al., 2017), on-site assembly services (Li et al., 2016; Zhou et al.,
131 2021), and facility management (Hu et al., 2016). Tang et al. (2019) summarized five IoT and
132 BIM integration methods: (1) employing the Application Program Interfaces (APIs) of BIM
133 tools' and relational databases; (2) adopting novel data schema to reconstruct BIM data; (3)
134 formulating novel query language; (4) applying semantic web technologies; and (5) adopting
135 a hybrid approach. However, integrating the IoT and BIM cannot guarantee information
136 security (Lu et al., 2021a). For example, the IoT may provide noisy or malicious data to BIM,
137 thereby reducing the trustworthiness of the IoT-enabled BIM platforms. Thus, this study aims
138 to use blockchain to avoid a SPOF in IoT networks.

139 *2.3 Blockchain*

140 Three essential components keep the functioning of a blockchain: cryptography, distributed
141 ledgers, and a consensus mechanism (Xue and Lu et al., 2020). Cryptography (e.g., hashing
142 algorithms) embodies the principles and methods for transforming data to hide their semantic
143 content, restrict their unauthorized use or prevent undetected modification (ISO, 2020).
144 Distributed ledgers involve an accounting technique to record when anything of value is
145 transacted. These ledgers are shared and synchronized among users using a decentralized
146 consensus mechanism (Li et al., 2021a). Consensus mechanisms are procedures used to reach
147 an agreement on the order and correctness of data (ISO, 2020). Besides, blockchains can be
148 configured with smart contracts. Smart contracts are digital contracts that can self-execute
149 processes when preset conditions are satisfied (Kuhle et al., 2021). Some studies classify
150 blockchain platforms as permissioned or permissionless based on whether platforms provide
151 access control on their network. Users are pre-authorized to use a permissioned blockchain
152 platform, such as Hyperledger Fabric.

153
154 Only a few studies have explored blockchain in OPM-MC. Cao et al. (2019) demonstrated a
155 blockchain-based system to improve the transparency of information between steel

156 production companies and buyers. Li et al. (2021a) proposed a two-layer blockchain-based
157 model to supervise off-site production in modular construction and protect the privacy of
158 participants. Wang et al. (2020) designed a blockchain-based framework to improve the
159 information sharing of off-site production, transportation, and on-site assembly in precast
160 construction. It is observed that more studies are focused on the use of blockchain to enhance
161 the traceability of the construction supply chain (e.g., Zhang et al., 2016; Zhong et al., 2020)
162 and the overall management of information (Sheng et al., 2020; Lu et al., 2021b). However,
163 the benefits of using blockchain in OPM-MC cannot be cultivated with an incomplete,
164 inaccurate, and untimely data exchange and lack of real-time visibility (Lee et al., 2021). To
165 deal with these challenges, this study aims to link blockchain with IoT-BIM platform for
166 OPM-MC.

167 *2.4 The Integration of Blockchain, IoT, and BIM*

168 Recently, researchers have been studied the integration of blockchain and BIM or the
169 integration of blockchain and IoT separately. For example, Xue and Lu (2020) developed a
170 semantic differential transaction (SDT) approach to lessening information redundancy for
171 blockchain and BIM integration. Zheng et al. (2019) proposed a blockchain-based model
172 called “bcBIM” for BIM modification audit and provenance. Additionally, several studies
173 have found that integrating blockchain and IoT can help immerse trust into IoT-oriented data
174 sources and secure IoT networks (Reyna et al., 2018; Li et al., 2021b). Therefore, previous
175 studies have shown that blockchain has great potential to avoid a SPOF in the IoT networks
176 and ensure the provenance of BIM modifications, which are the two main challenges of the
177 current IoT-BIM platforms. Lee et al. (2021) proposed an integrated IoT-BIM and blockchain
178 framework to support accountable information sharing in construction. Nonetheless, the
179 integration of blockchain and IoT-BIM is still in its infancy.

180
181 The research gaps identified can be summarized as follows. Firstly, there is a lack of system
182 architecture to guide the integration of BIM, IoT, and blockchain for specific OPM-MC
183 functions. Secondly, the integrated blockchain-enabled IoT-BIM has not been compared with
184 the current centralized IoT-BIM platform for evaluation. Thus, this research aims to define
185 the objectives of the BIBP for OPM-MC; propose a system architecture of the BIBP based on
186 the defined objectives; substantiate the proposed system architecture, and evaluate its
187 performance.

189 **3. Off-site Production in Modular Construction**

190 The OPM-MC business process generally contains production preparation, production, and
191 inspection. The scope of the OPM-MC process has been identified as follows: (1) this process
192 begins when the contractor’s project manager signs the contract to confirm production; (2)
193 the inputs are the production plan and the design drawings; (3) this process ends when project

manager confirms the delivery order; and (4) the outputs are the qualified modules. As shown in Figure 1(a), the main contractor works with the manufacturer and designer to propose a production plan after placing an order. After confirming the design drawings, the manufacturer's design department formulates detailed shop drawings. The project client and the contractor need to approve shop drawings when these drawings are ready. After approval, the manufacturer can develop a master production plan. Then, a bill of materials will be formed according to the production execution plan. Next, the manufacturer's procurement department will order materials such as 2D panels from suppliers. The supplied materials will only be utilized after passing inspections and tests. Materials that pass the inspections will be sent to the factory, and then the production department will start production.

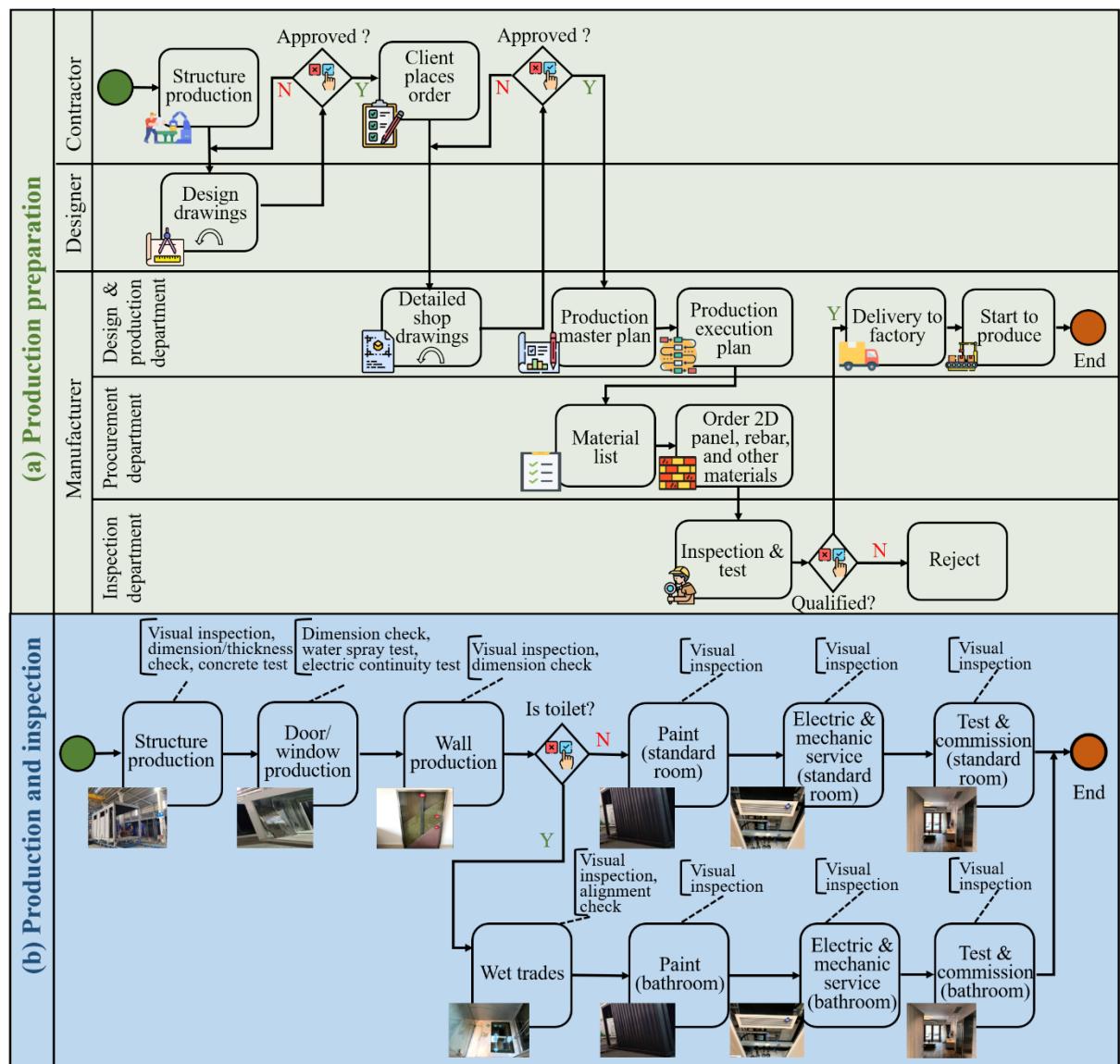


Figure 1. The business process of off-site production in modular construction: (a) production preparation; (b) production and inspection (Adapted from Li et al., (2021a))

209 Figure 1(b) shows that the standard module is produced through the following five main
210 procedures: structure, door/window, wall, paint, electrical and mechanical services, and
211 testing and commission. Workers need to apply additional wet trades to bathroom modules.
212 For example, they need to add waterproof layers and conduct flood tests. Various inspection
213 and testing means are employed to ensure quality control and assurance at each checkpoint,
214 as shown in Figure 1(b). For example, check the thickness of the fireproof coating on the
215 structural members at the structure stage, and check the dimensions of the windows at the
216 door/window stage.

217
218 Several existing information security problems have been observed when applying IoT-
219 enabled BIM platforms in the current business process of OPM-MC. Firstly, the noise data
220 generated by IoT sensors reduces the data quality of BIM and further affects the
221 trustworthiness of the IoT-BIM platform. For instance, the erroneous production status
222 generated by IoT sensors is reflected in BIM, causing
223 decision-makers to make inappropriate decisions in the subsequent transportation preparation
224 stage. Secondly, there is a lack of an effective method to ensure the provenance of BIM
225 modifications. For instance, BIM has been modified in multiple versions due to design change
226 requirements, so the manufacturer had to spend considerable time asking each stakeholder and
227 its departments to provide signatures to confirm that the current version they hold is the “right
228 version”.

229

230 **4. Research Methodology**

231 In this study, the design science research (DSR) approach was adopted to develop a BIBP
232 system architecture for OPM-MC. DSR, as a scientific knowledge production philosophy,
233 seeks to develop innovative constructs to solve real-world problems and simultaneously make
234 prescriptive scientific solutions (Peffers et al., 2007). Our study used four steps, as shown in
235 Figure 2. In the first step, to define the objectives of the BIBP system architecture, the
236 research team visited a prefabrication factory for module production in Foshan, Mainland
237 China, between February and March 2021, understanding the challenges of the existing IoT-
238 BIM platform from the employees. Then, the research team analyzed the knowledge obtained
239 from the site visits in two research workshops in April 2021. In the second step, the research
240 team brainstormed in four meetings in May 2021, synthesizing the knowledge obtained from
241 the literature and defined objectives. This process was non-linear and required multiple
242 iterations to develop a promising solution (some solutions are feasible but not the most
243 promising) for which the system architecture was developed.

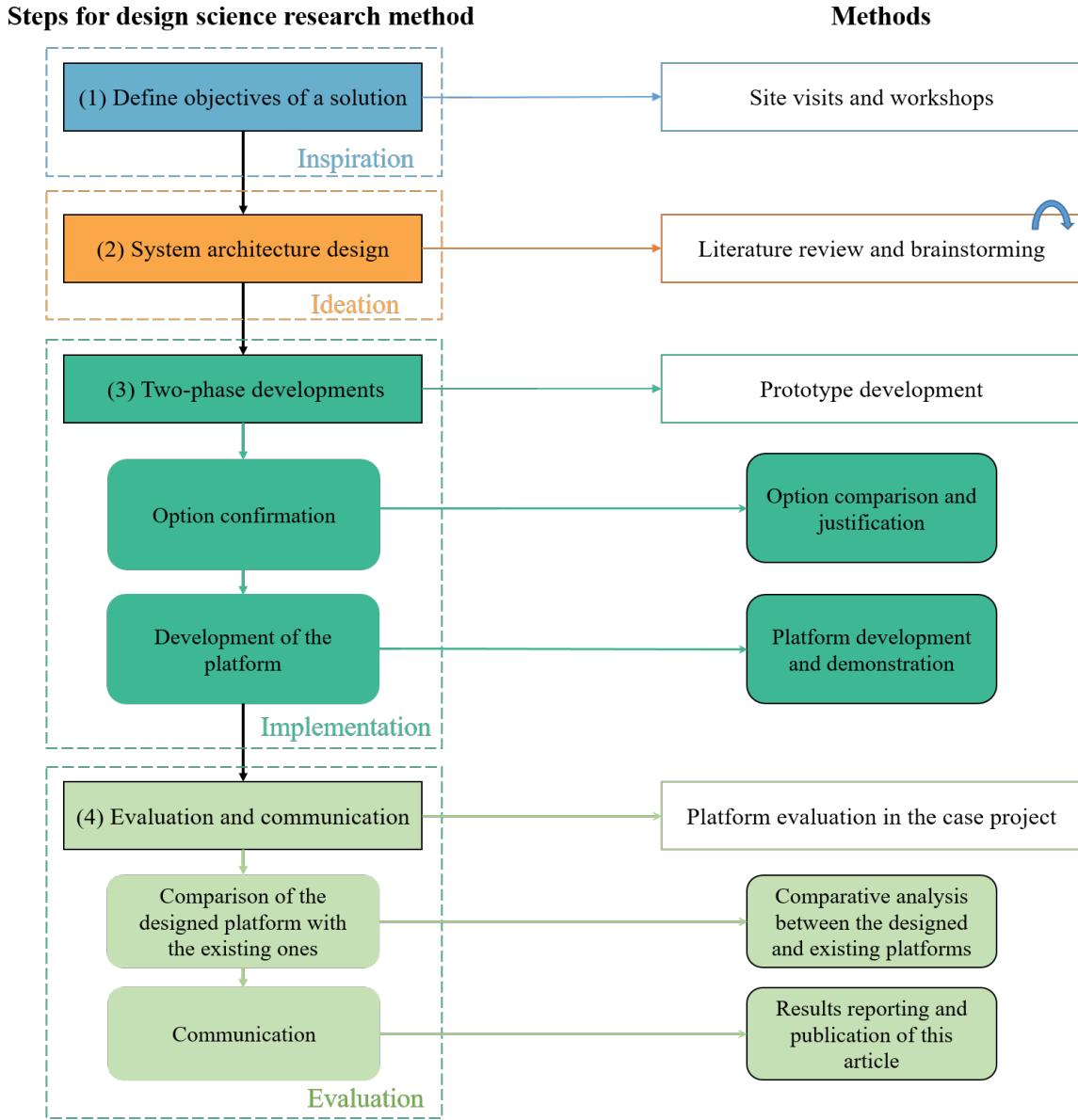


Figure 2. Research methodology

The third step involved a two-phase development of the prototype system. In the first phase, the options of IoT plan and application development language were firstly compared and selected with justifications. RFID has been widely used for production management due to its bright advantages. Through numerous studies (e.g., Poon et al., 2009), RFID has been proven to facilitate data collection and information sharing in production control efficiently. In addition, it can react quickly and flexibly to the dynamic environment of the production chain (Zhong et al., 2015). Compared to other IoT options (e.g., NFC and GPS), RFID technology adoption with component-level tagging, from the research conducted by Bottani and Rizzi (2008), shows that positive revenues for all production stakeholders could be achieved. Thus, passive RFID is adopted as the IoT plan in this study. JavaScript was selected to develop applications as it reduces the time required by other programming languages like Java for

258 compilation (Sheikh, 2016). Its structure is simple for the research team to implement the
259 prototype, and it provides various standard components to create user interfaces.

260
261 Additionally, blockchain type, development platform, open blockchain BIM standard
262 extension method, and consensus mechanism were selected to implement the system
263 architecture. Among blockchain types (permissioned and permissionless), permissioned
264 blockchains can provide functions such as authorization and identification audit, meeting
265 business cooperation demands (Lu et al., 2021b). The permissioned blockchain was selected
266 as OPM-MC includes numerous stakeholders, and only approved parties can participate in
267 the network. Next, from among three popular permissioned blockchain platforms, namely R3
268 Corda, Neo, and Hyperledger Fabric (Lu et al., 2021b), Hyperledger Fabric was adopted as
269 the development platform because it provides developers with numerous security-enhanced
270 alternatives and resources due to its maturity (Li et al., 2021a). The SDT method developed
271 by Xue and Lu (2020) was chosen to extend the open blockchain BIM standard IFC. SDT
272 provides a higher IFC compression ratio (e.g., 791.2 for modular room) to minimize
273 information redundancy compared to existing solutions. In addition, it offers rapid IFC
274 restoration from the chain (complete ifcJSON restoration at 200MB/s). Then, the crash fault
275 tolerance (CFT) consensus was chosen. Compared with open consensuses such as proof of
276 work and proof of stake, CFT can avoid network partitions and is relatively fast compared to
277 Byzantine fault tolerance (Hyperledger Fabric, 2020).

278
279 In the second phase, BIBP was implemented according to the designed system architecture.
280 Initially, RFID tags are affixed on material packages, prefabricated components, and
281 prefabricated modules to monitor the process states of OPM-MC. The user interfaces were
282 developed to allow input and inquiry production-related operations. Besides, the IFC standard
283 (ISO 16739-1:2018) was extended by appending a set of properties to the BIM family of the
284 precast components. In addition, the SDT method was introduced to compute the version
285 changes of the BIM over time. Next, the blockchain BIM system was implemented on
286 Hyperledger Fabric (version 1.4), and smart contracts were written through JavaScript. The
287 development environment was in Linux 5.4.0-58-generic-lpae (5.4.0-58.64~18.04.1) (Ubuntu
288 18.04.1 LTS), and the back-end was implemented using SpringBoot (version 2.4.0) allowing
289 the research team to quickly develop a database management system MySQL. The genesis
290 block of the blockchain BIM system was configured to initialize the CFT consensus
291 mechanism.

292
293 In the four step, the developed BIBP was tested in the mock-up production phase of a
294 modular construction project. The evaluation was done through a comparative analysis
295 between BIBP and the existing IoT-BIM platform. In previous studies (e.g., Farzan et al.,
296 2008), comparative analysis has been used to test the effectiveness of various information

systems before fine-tuning and further development. The evaluation results were disseminated to audiences in manufacturing and modular construction.

5. Architecture Design of the Blockchain-enabled IoT-BIM Platform

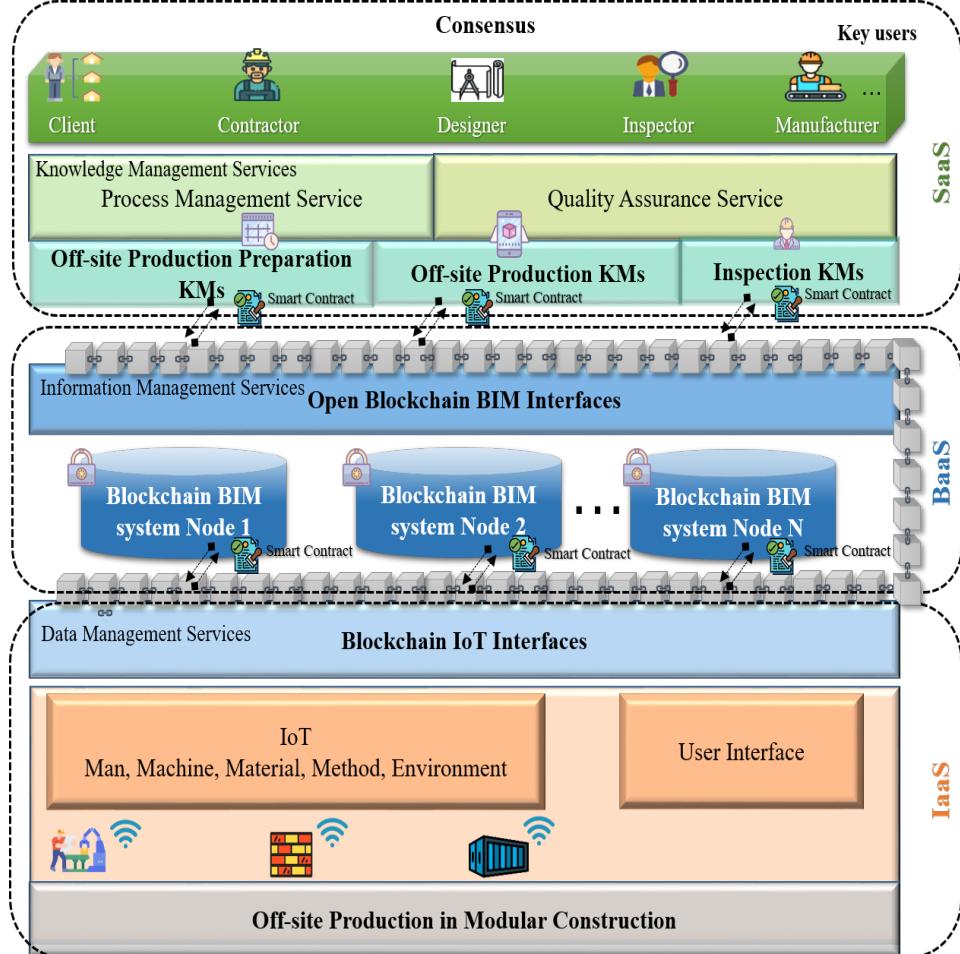
This section describes the architectural structure of the proposed BIBP platform. Section 5.1 illustrates the overall platform development objectives. Section 5.2 offers an overview of the platform. The key components of BIBP are categorized into three dimensions, i.e., Infrastructure as a Service (IaaS), Blockchain BIM as a Service (BaaS), and Software as a Service (SaaS), on data, information, and knowledge aspects, respectively. Section 5.2.1 describes the core components of the IaaS. Section 5.2.2 introduces the BaaS structure and interfaces. The SaaS Process Management and Quality Assurance (PM/QA) applications are shown in Section 5.2.3.

5.1 Platform Development Objectives

Based on the knowledge obtained from site visits and research workshops, the objectives of the proposed system architecture are defined as follows: (i) it should reinforce the authenticity of the information collected from RFID; (ii) it should record BIM modifications with provenance; (iii) it should provide a foundation for practitioners to develop high-level APIs. APIs developed based on the system architecture should be able to integrate with the existing software in construction.

5.2 Overall System Architecture of the Platform

The platform takes advantage of the XaaS (Anything as a Service) paradigm to bridge the OPM-MC practices and the demands of multiple stakeholders. The whole architecture of BIBP can be divided into three layers from the reality to the OPM-MC demands, as shown in Figure 3(a). The first IaaS layer includes IoT module and user interfaces. The second BaaS layer includes functionality structure to interoperate the information, semantics, and meaningful inferences with existing ERP systems. The third SaaS layer meets the demands of multiple stakeholders with as-needed knowledge-based process management and quality assurance applications. Figure 3(b) summarizes the type, evidence process, media, and external interactions of the three layers. BIBP employs a clear data-information-knowledge (DIK) paradigm to map the evidence from practice to blockchain BIM to users (and existing software systems).



331
332 (a) system architecture

ID	XaaS	Evidence process	Media of evidence	External interaction
①	IaaS	Reality → data	Blockchain system	OPM-MC practice
②	BaaS	Data → information	Blockchain BIM	Existing ERP systems
③	SaaS	Information → knowledge	PM/QA on end devices	End users

333
334 (b) evidences and external interactions involved in system architecture

335 Figure 3. Overview of blockchain-enabled IoT-BIM platform

337 5.2.1 Infrastructure as a Service (IaaS)

338 IaaS of the BIBP includes two modules, namely IoT and user interface. The edge
 339 infrastructure supports the IoT module, which contains IoT-enabled production resources,
 340 protocols, gateway nodes, broker nodes, and computing unit nodes. In this study, OPM-MC
 341 resources and processes are linked with their virtual twins through RFID, and protocols are
 342 used to regulate the synchronization of data-information-knowledge in OPM-MC. Thus,
 343 RFID with existing protocols can capture OPM-MC data from regular operations to

344 blockchain BIM. Gateway nodes act as an interface to preprocess the data collected from
345 RFID and feed the data to the subsequent nodes. Broker nodes are responsible for allocating
346 computing unit nodes to handle time-sensitive tasks or transferring challenging tasks to the
347 cloud. By relying on smart contracts, blockchain IoT interfaces can provide users with
348 effective operational control. For instance, once a window is produced for a module, the
349 operator can scan the RFID tag affixed on it and publish this transaction proposal to the
350 blockchain, and when the proposed transaction reaches a consensus in the decentralized
351 network, the smart contract can send a task completion notification to the production manager
352 and other stakeholders. Different combinations of IoT configurations can be provided for
353 various future tasks. For instance, one can use GPS sensors with low energy consumption for
354 future logistics tasks.

355
356 The user interface module supports user interaction in the platform. For example, the client
357 can view the inspection results of the material, 2D panels, and 3D modules through the user
358 interface. In addition, the developed user interfaces are connected to a web-based operating
359 platform, allowing users to view the real-time progress of production preparation, production,
360 and inspection through the imported BIM.

361

362 5.2.2 Blockchain BIM as a Service (BaaS)

363 BaaS of the BIBP includes two major modules to interoperate information, semantics, and
364 inferences. The first module is the open blockchain BIM interface, extending the existing
365 open BIM standard IFC (ISO 16739-1:2018). The second module is the blockchain BIM
366 system, which includes BIBP network, ledger, and a set of smart contracts.

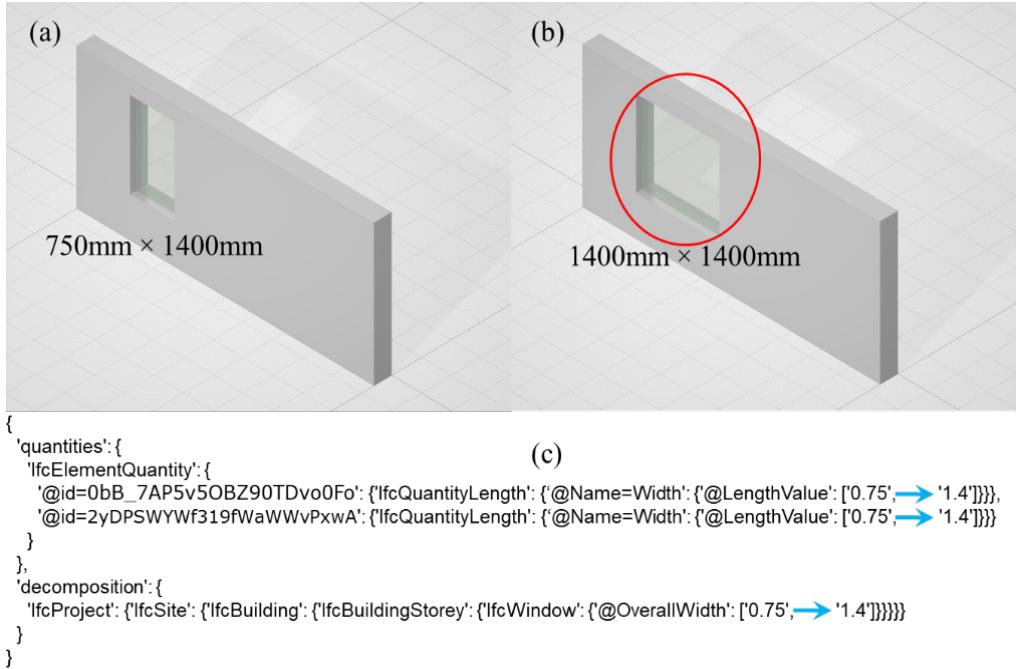
367

368 The first module (open blockchain BIM standard) is capable of handling more functions than
369 the conventional IFC standard. The new extension involves two parts. The first part is a SDT
370 model for blockchain computability. BIMs are usually massive in size, and the blockchain is
371 not good at handling massive data due to the network capability. In addition, as shared by
372 multiple stakeholders, BIM is subject to simultaneous changes by different parties at the same
373 time. The research conducted by Xue and Lu (2020) shows that SDT can manage real-time,
374 simultaneous changes as IFC change consensuses. The other extension to IFC is the IoT
375 properties, which used to be non-existed in the IFC properties. Examples include production
376 lines, production preparations, and defects. These new properties are attached to the IFC
377 standard directly.

378

379 Figure 4 shows an example illustrating how the new open blockchain BIM standard works on
380 an object's geometry modification in a BIM. A window on a wall (see Figure 4(a)) was
381 changed to a larger width in Figure 4(b). Figure 4(c) shows the corresponding change record,

382 where the two lines indicate the unique identification of the window and the pair of original
 383 and changed properties. The changes are associated with the IFC objects by a multi-level
 384 decomposition of the building hierarchy. The change record is short – which is not available
 385 in IFC without SDT – enough for blockchain. Besides, by swapping the value pair of original
 386 and changed properties, the time arrow of the changes can be reversed so that the rewind and
 387 tracing operations are available – which are not available in IFC – from the new blockchain
 388 BIM standard. With the new blockchain BIM standard, even a massive BIM can be stored on
 389 the blockchain.
 390



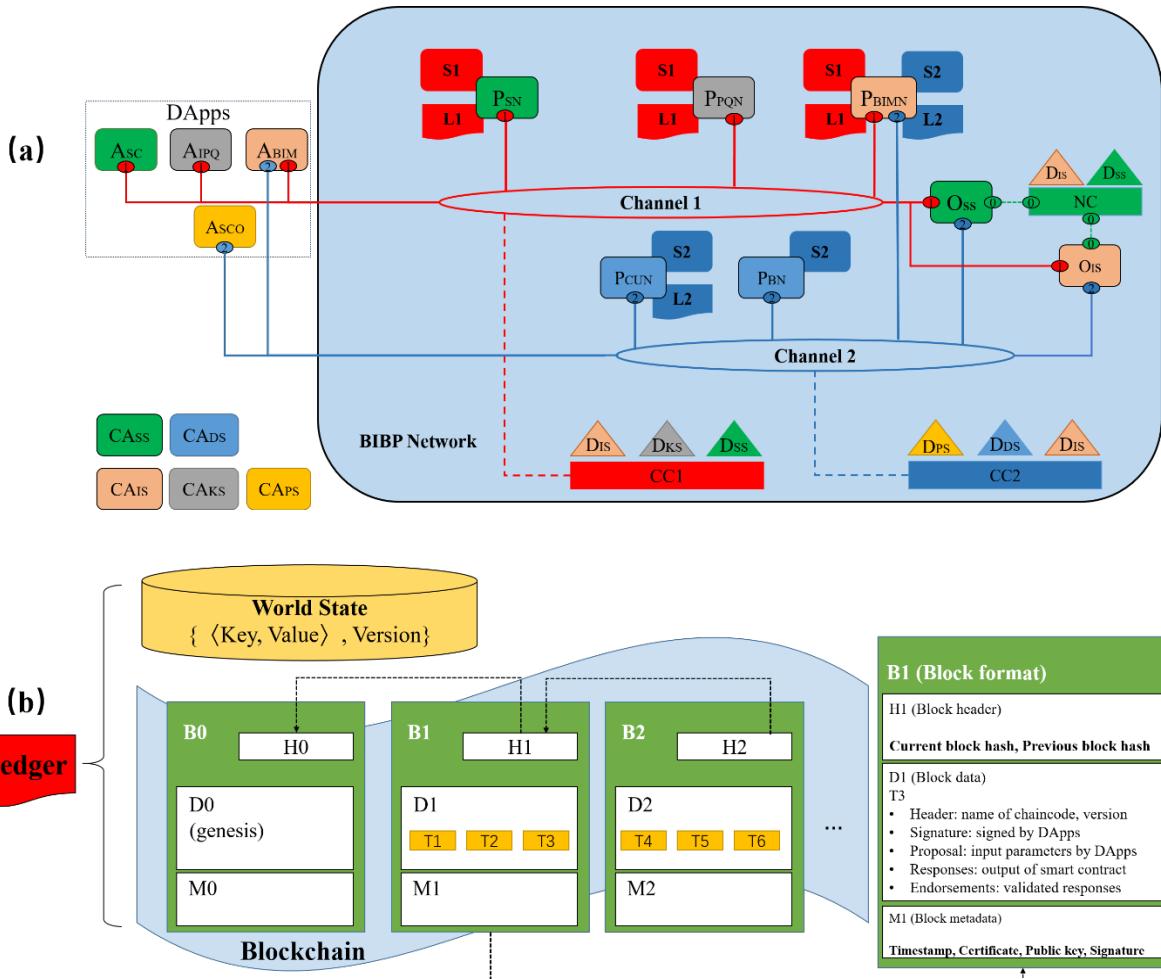
391
 392 Figure 4. Illustrative example of the semantic differential transaction record of a design
 393 change: (a) example wall; (b) window size changed; (c) differential record (0.36KB) of the
 394 design change

395
 396 The second module, blockchain BIM system, is implemented on Hyperledger Fabric (a
 397 permissioned blockchain platform), containing the services of the BIBP network, ledger, and
 398 chaincode (in Hyperledger Fabric, smart contracts are packaged as chaincode). The details of
 399 each service is explained as follows.

400
 401 **(i) BIBP network:** The BIBP network is a two-channel structure that provides ledgers (L1,
 402 L2) and chaincode (S1, S2) to facilitate data-information-knowledge-driven OPM-MC (see
 403 Figure 5(a)). Each dimension (physical space, data space, information space, knowledge
 404 space, and service space) of the data-information-knowledge model can serve as an
 405 organization (D_{PS} , D_{DS} , D_{IS} , D_{KS} , D_{SS}) to join the network, in which the participants of
 406 organizations must obtain certificates from the corresponding certificate authority
 407 (CA_{PS} , CA_{DS} , CA_{IS} , CA_{KS} , CA_{SS}). For instance, the certificate authority CA_{SS} can distribute
 408 certificates to the client, contractor, manufacturer, and inspector affiliated with D_{SS} to

approve their identities. D_{DS} and D_{IS} manage the network by defining policy rules in the network configuration (NC). They also designate members as administration points (O_{IS} and O_{SS}) for ordering services. D_{IS} , D_{KS} , and D_{SS} establish a consortium for knowledge-based OPM-MC services on Channel 1, where configuration CC1 lists involved organizations' definitions and policies. Also, D_{SS} , D_{KS} , and D_{IS} will join peers, named P_{SN} (stakeholder peers), P_{PQN} (process management and quality assurance peers), and P_{BIMN} (BIM peers), to Channel 1. Decentralized applications (DApps) such as A_{SC} (stakeholder communication), A_{IPQ} (intelligent process management and quality assurance), A_{BIM} (BIM application) can be connected to Channel 1 by using certificates from the corresponding CAs. S1 is installed on P_{SN} , P_{PQN} , and P_{BIMN} to process business services, knowledge, and information level transactions from DApps, and then these transactions are packaged into blocks by O_{IS} and O_{SS} . Endorsed transactions will be passed to the peers in Channel 1 and recorded in their immutable ledger copies L1.

422



423
424 Figure 5. Blockchain BIM system: (a) network; (b) ledger

425

426 Similarly, D_{PS} , D_{DS} , and D_{IS} can establish a consortium for data and information-based
 427 OPM-MC services on Channel 2. CC2 lists the participated organizations' definitions and

428 policy rules. D_{DS} and D_{IS} will join peers, named P_{BN} (broker nodes), P_{CUN} (computing unit
429 nodes) and P_{BIMN} , to Channel 2. DApps such as A_{BIM} and A_{SCO} (RFID-enabled construction
430 resources) can be connected to Channel 1 by using certificates from the corresponding CAs.
431 S2 is installed on P_{CUN} , P_{BN} , and P_{BIMN} to process data level transactions from DApps, and
432 then these transactions are packaged into blocks by O_{IS} and O_{SS} . Endorsed transactions will
433 be passed to the peers in Channel 2 and recorded in their immutable ledger copies L2.

434

435 **(ii) Distributed ledgers:** In the network, each peer retains a copy of the ledger. The ledger is
436 used to record factual information about OPM-MC objects. For example, in channel 1, BIM-
437 based process management and quality assurance can be recorded on L1, while in channel 2,
438 RFID transactions can be recorded on L2. Each ledger consists of two parts: a world state and
439 a blockchain, as shown in Figure 5(b). The world state shows the current value of an object's
440 attributes as an individual ledger state. The second part, blockchain, is an immutable
441 historical record of how objects arrived at their current states. In a blockchain, blocks are
442 interconnected in sequence, and each block is composed of a block header, block data, and
443 block metadata. A block header includes a block number, a current block hash, and a
444 previous block hash. Block data contains a set of transactions, and each transaction represents
445 a query or update to the world state. When O_{IS} and O_{SS} pack the block in the ordering service,
446 these transactions will be recorded. Block metadata contains a timestamp, certificate, public
447 key, and signature of the block creator.

448

449 Two types of world states, namely W1 and W2, are defined in L1 and L2. W1 has three key-
450 value pairs: $\langle K_i^{PM}, V_i^{PM} \rangle$, $\langle K_l^{QA}, V_l^{QA} \rangle$ and $\langle K_m^{BIM}, V_m^{BIM} \rangle$. These key-value pairs show the latest
451 knowledge states of the i th project management, l th quality assurance and the m th BIM
452 changes, respectively. Specifically, K_i^{PM} and K_l^{QA} present the sequence number of OPM-MC
453 processes and inspection procedures. V_i^{PM} and V_l^{QA} show the knowledge of K_i^{PM} and K_l^{QA} ,
454 such as project management and quality assurance optimization, simulation and prediction
455 results. K_m^{BIM} indicates the identifier of BIM changes, and V_m^{BIM} shows the properties of BIM
456 changes, such as geometric, semantic, and topological information. The second type of world
457 state W2 $\langle K_j^{SCO}, V_j^{SCO} \rangle$ shows the latest data states of the j th SCO. K_j^{SCO} presents the identity
458 of IoT-enabled construction resources, and V_j^{SCO} shows the detailed data about resources,
459 such as states and location. Simply put, every endorsed transaction will cause the key-value
460 pair to change, which is then updated in ledgers.

461

462 **(iii) Chaincode:** In BaaS, chaincode S1 and S2 are installed on the peers in Channel 1 and 2,
463 respectively. S1 contains seven smart contracts for value assessment, capacity assessment,
464 availability assessment, process optimization, quality control, BIM modification, and
465 decision support. When a stakeholder proposes a transaction, the value assessment contract,
466 capacity assessment contract, and availability assessment contract will help evaluate the

transaction's business value and the capacity and availability of off-site production resources and processes. Next, these smart contracts will determine whether to validate the transaction based on peers' responses. After approval, A_{IPQ} creates a proposal to Channel 1, in which the process optimization contract and the quality control contract first use the knowledge model to optimize and simulate the proposal and then provide a suitable plan. Then, A_{BIM} can use the BIM modification contract to record the BIM modifications caused by the transaction. When consensus is reached, the optimized process management and quality assurance plan can be imported from BIM to Channel 2 by executing the decision support contract.

475

Chaincode S2 contains four smart contracts for managing data-oriented services across physical, data, and information spaces. These contracts are state validation contract, state computing contract, state evaluation contract, and state update contract. In DApps, A_{SCO} observes the states of production resources and processes to comprehend the interference in existing process management and quality assurance. Also, these states can be submitted as transaction proposals to Channel 2. When having a new proposal, state validation contract, state computing contract, state evaluation contract can check, compute, and evaluate the data in the proposal, and if they all produce a positive response, the states will be approved. In the process management and quality assurance, the state update contract can give the updated states to the A_{BIM} . Additionally, A_{BIM} can subscribe to past states and interferences to study the performance of process management and quality assurance, thereby improving future performance through knowledge models.

488

5.2.3 Software as a Service (SaaS)

SaaS of BIBP includes a consensus mechanism. The consensus mechanism adopted by O_{IS} and O_{SS} can help peers ensure the correctness and order of transactions. BIBP uses CFT consensus mechanism to control the transaction flow. In BIBP, A_{SC} , A_{IPQ} , A_{BIM} , and A_{SCO} are the specific services to perform consensus transaction flow.

494

Users can first use A_{SC} to propose a transaction T1 with proposal P (e.g., the transaction details) to initiate production communication, as shown in Figure 6(a). Then, A_{SC} should invoke the certificate from the CA to verify its identity and authority to join channel 1 through the gateway. Next, A_{SC} sends P to the peers involved in channel 1 for initial endorsement. In this case, P_{SN} assesses the business value of P by using the value assessment contract in S1, provides a response R1, and offers an endorsement E1 with a digital signature. P_{PQN} and P_{BIMN} evaluate the state, capacity, and availability of processes and resources using the capacity assessment contract, availability assessment contract, and BIM. After evaluation, P_{PQN} and P_{BIMN} provide R2 and E2 and R3 and E3, respectively. As a result, A_{SC} receives all

the responses and decides whether to proceed further or terminate T1. After reaching a consensus, O_{IS} and O_{SS} can order T1 into a block and deliver it to the peers involved in channel 1. The peers can endorse the block and append the block to the L1 after their endorsement is positive. Then, peers will notify the user of the DApps that T1 has been executed. Afterward, A_{IPQ} uses the transaction that has been ordered and notified to A_{SC} to make an initial plan as transaction T2 with proposal P and send it to P_{SN} , P_{PQN} , and P_{BIMN} . Considering constraints, risks, and uncertainties, P_{SN} , P_{PQN} , and P_{BIMN} simulate and optimize the plan by calling the process optimization contract and quality control contract, and then provide R1 and E1, R2 and E2, R3 and E3. A_{BIM} records the BIM modification caused by the plan as transaction T3 with proposal P and sends it to P_{SN} , P_{PQN} , and P_{BIMN} for endorsement. P_{PQN} and P_{BIMN} will invoke the BIM update contract to review BIM changes and IFC objects and then provide R2 and E2 and R3 and E3. Using the decision support contract, P_{SN} can endorse the modified BIM through a consensus and provide R1 and E1.

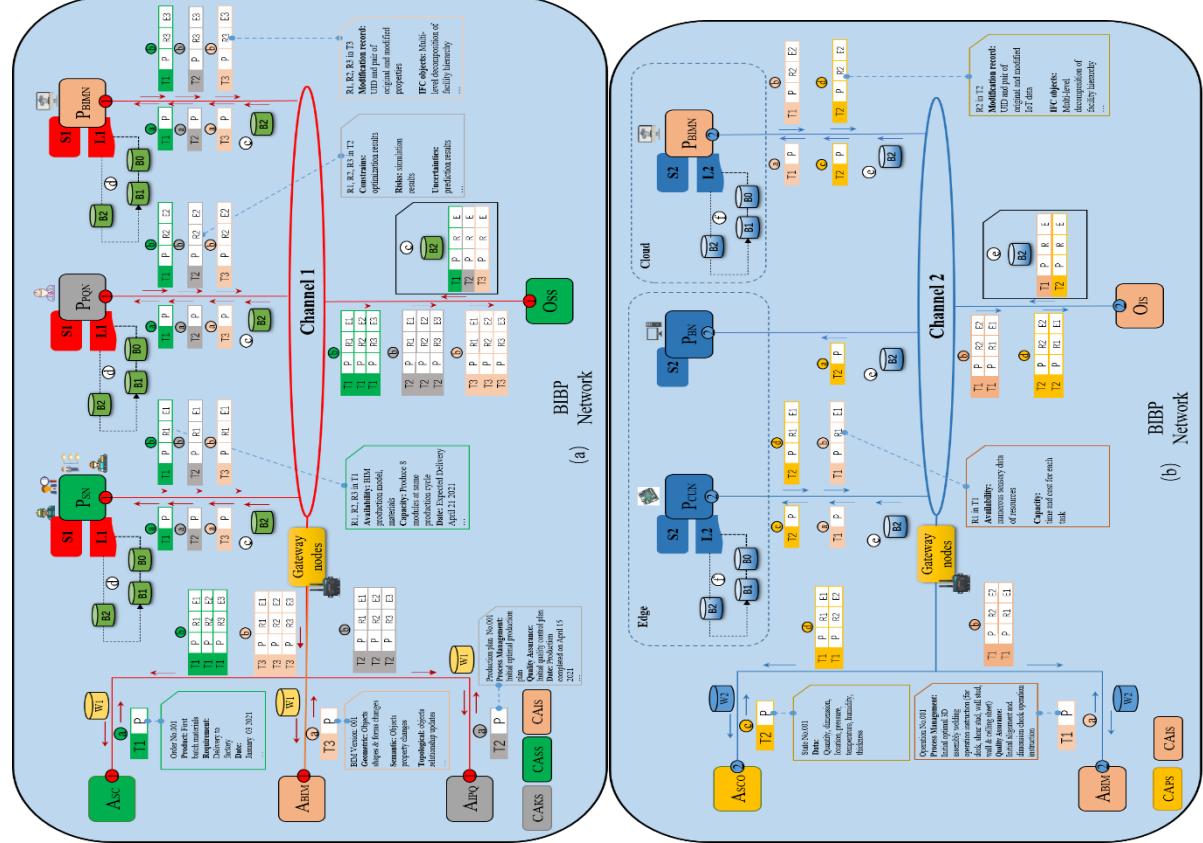


Figure 6. Transaction flows in blockchain-enabled IoT-BIM platform: (a) channel 1; (b) channel 2

The transaction flow of OPM-CM process management and quality assurance in channel 2 is demonstrated in Figure 6(b). After generating T2 in channel 1, A_{BIM} can conduct cross-channel communications and convert the optimal plan into actual operations in channel 2 (as

525 T1 and P) to guide production process management and quality assurance operations in
526 physical space. The state computing contract and state evaluation contract will be invoked to
527 help P_{CUN} and P_{BIMN} investigate operations while considering the states of production
528 resources and processes. Besides, A_{SCO} can submit the real-time states as T2 and P to P_{BN} .
529 P_{BN} can judge whether there is an abnormality in T2 through the state validation contract. If
530 there is an abnormality in R1, P_{BN} will use the state update contract to help pass the state to
531 P_{BIMN} for further analysis through the knowledge model and provide R2 and E2. On the
532 contrary, if no abnormality is detected, P_{BN} will use the state computing contract to pass the
533 state to P_{CUN} for processing, and provide R1 and E1. In addition, A_{BIM} can subscribe to the
534 states submitted by A_{SCO} to evaluate the existing states and improve future performance by
535 using the state evaluation contract with learning and predictive capabilities. Similarly, O_{IS}
536 and O_{SS} order the transactions into a new block and append it to L2.

537

538 **6. Implementation and Evaluation**

539 **6.1 Implementation**

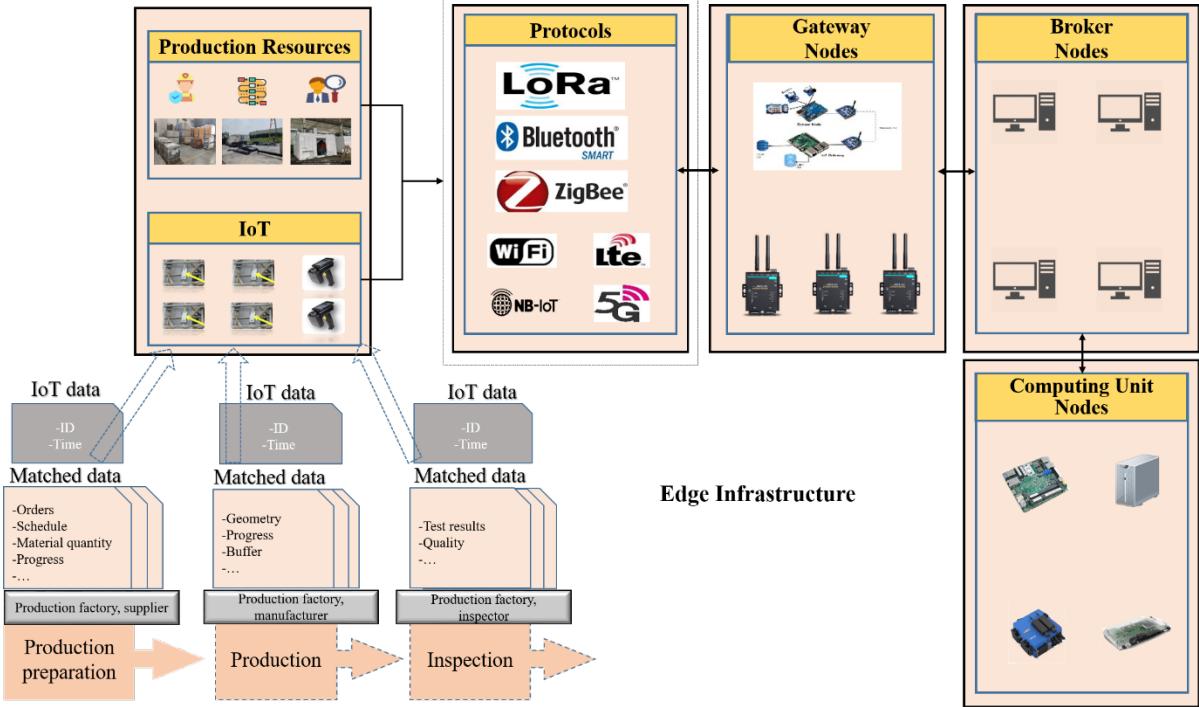
540 Based on the proposed system architecture, a BIBP is developed as a shadow platform
541 parallel to the existing platform to illustrate its advantages. The research team chose the off-
542 site mock-up production (OMP) phase of a modular construction project as the pilot scenario
543 for developing and implementing the platform.

544

545 **6.1.1 IoT Module and User Interface for the IaaS Layer**

546 Firstly, the IoT module and user interfaces are implemented for the IaaS layer of BIBP. In
547 this study, passive RFID is adopted as the IoT plan, and RFID tags are affixed on material
548 packages, prefabricated components, and prefinished modules to monitor the process states of
549 OPM-MC, as shown in Figure 7. The set of comprehensive protocols fulfills the need for
550 mapping and integrating the data in existing means and systems to the BIBP. Also, broker
551 nodes are configured to allocate computing unit nodes to handle time-sensitive tasks or
552 transfer challenging tasks to the cloud. Besides, the gateway nodes act as a data converter in
553 the factory. Thus, the RFID actions are captured by A_{SCO} , computed by the computing unit
554 node, endorsed by the peers in channel 2, and recorded on L2.

555



556

557 Figure 7. IoT module

558

559 The user interfaces are created through the development of the front-end. Figure 8(a) shows
 560 the client's interface for inquiring about past transactions. For example, the client can click
 561 "Details" to view the inspection results of transactions. By clicking the "View" button, one
 562 can also view the real-time off-site production progress in the web-based operating platform
 563 through the imported BIM, as shown in Figure 8(b). The buffer capacity of the holding yard
 564 can also be viewed in BIM. Such visualization helps project stakeholders identify any delays
 565 in OPM-MC to understand the current situation and make relevant decisions collaboratively
 566 on BIBP.

567

(a)

Transaction ID	Process Name	Publisher	Operation	Operation Time	Completion Time	Record Hash	BIM
PRP001	Production Preparation	Manufacturer 02	AAA_Block A_3F_1-8_Material_MEPrepared	04/22/2021	04/22/2021	Details	View
PRC002	Production (components)	Manufacturer 08	AAA_Block A_3F_9-15_Paint_completed	05/4/2021	05/05/2021	Details	View
PRC004	Production (components)	Manufacturer 10	AAA_Block B_3F_9-15_Wall_completed	05/4/2021	05/05/2021	Details	View
PRM002	Production (module)	Manufacturer 11	AAA_Block A_3F_10-16_Modules_entirely_produced	05/24/2021	05/24/2021	Details	View
INS003	Inspection	Inspector 03	AAA_Block A_3F_17-27_Modules_inspected	05/25/2021	05/25/2021	Details	View
INS004	Inspection	Inspector 02	AAA_Block A_3F_28-43_Modules_entirely_inspected	05/26/2021	05/26/2021	Details	View

(b)

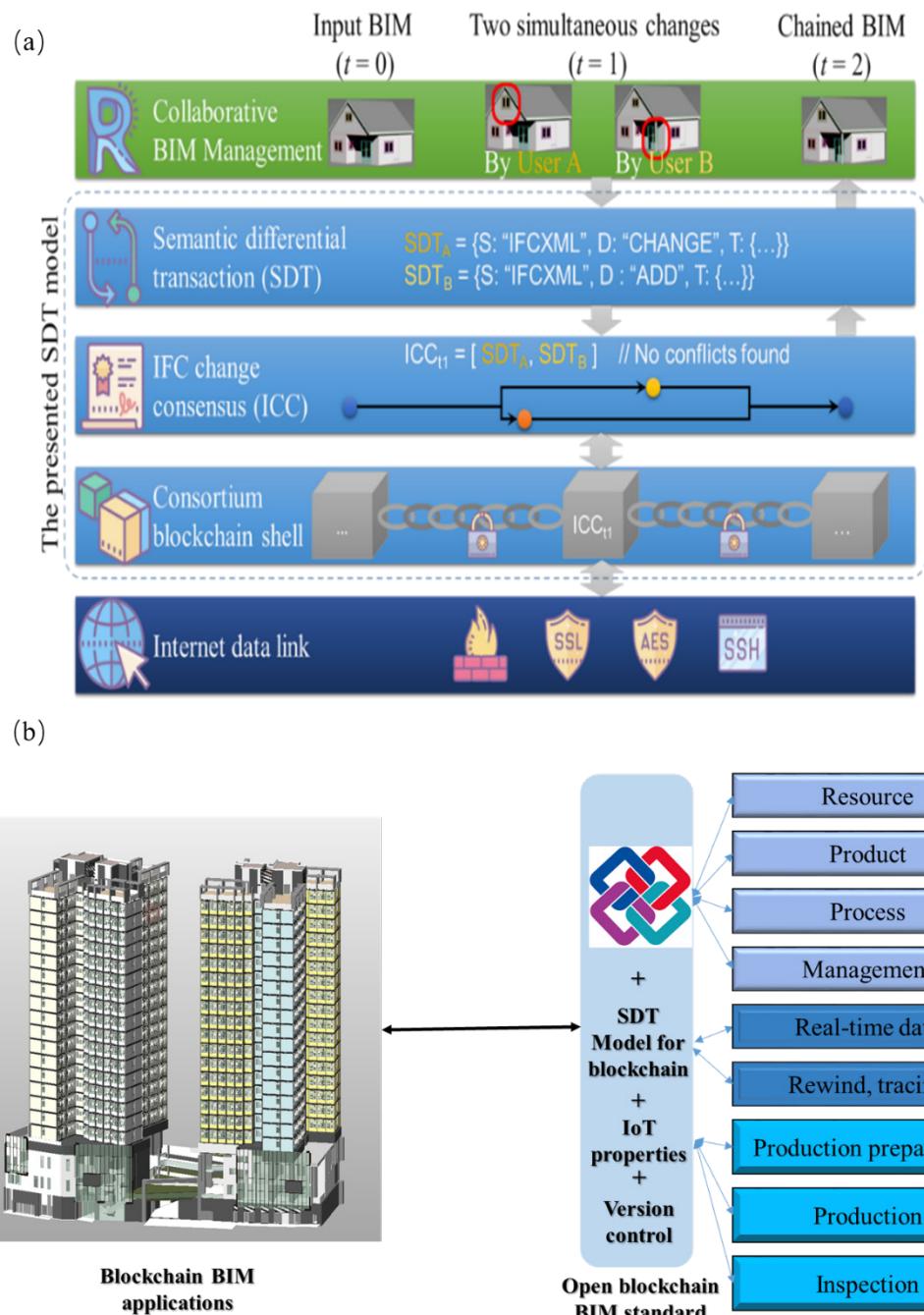
Figure 8. BIBP user interfaces: (a) project client's interface for inquiring about past transactions; (b) function for production progress visualization

6.1.2 Open Blockchain BIM Interface and Blockchain BIM System for the BaaS Layer

Secondly, the open blockchain BIM interface and blockchain BIM system are implemented for the BaaS layer. To develop the open blockchain BIM interface, the existing open BIM standard IFC needs to be extended. Thus, an SDT model for blockchain computability is included to bridge the fundamental gap between the IFC and blockchain. The SDT model, as shown in Figure 9(a), can manage the real-time, simultaneous changes as IFC change consensus. The other extension to IFC is the IoT properties, as shown in Figure 9(b). The extended standard can handle more functions than the original IFC standard. For example,

580 with the schematic definitions in the IFC standard, production analyses can be utilized, such
 581 as code checking, progress analysis, and cost estimation.

582



583

584 Figure 9. New open blockchain BIM standard: (a) semantic differential transaction model for
 585 blockchain BIM; (b) extension of IFC standard (ISO 16739-1:2018)

586

587 The blockchain BIM system was configured with a network and two sets of chaincode.
 588 Moreover, Channel 1 and 2 were configured for passing transactions related to OPM-MC
 589 applications to distributed ledgers. Figure 10(a) shows the detailed information of the BIBP
 590 network, which includes five-dimension organizations ($D_{PS}, D_{DS}, D_{IS}, D_{KS}, D_{SS}$), where D_{SS}

591 and D_{IS} also designate members as administration points (O_{IS} and O_{SS}) for ordering services.
 592 Additionally, Figure 10(b) and (c) present channel 1 and 2 and their associated organizations,
 593 respectively. Three peers (P_{SN} , P_{PQN} , and P_{BIMN}) were added to channel 1 of the BIBP
 594 network for intelligent process management and quality assurance in OPM-MC. P_{SN}
 595 comprises four peer nodes: client, main contractor, manufacturer, and inspector, while P_{PQN} ,
 596 and P_{BIMN} each has a peer node. Chaincode (S1) was installed on P_{SN} , P_{PQN} , and P_{BIMN} in
 597 channel 1 (see Figure 10 (d)). Similarly, P_{BIMN} , P_{BN} and P_{CUN} joined channel 2 to support
 598 state monitoring and operations of process management and quality assurance in OPM-MC,
 599 and each of them has one peer node. P_{BIMN} was defined for cross-channel communications
 600 between channel 1 and 2. Chaincode S2 was installed on P_{BIMN} , P_{BN} and P_{CUN} in Channel 2.
 601

(a) network

(b) channel 1

(c) channel 2

(d) Chaincode

602
603
604
605
606 Figure 10. Blockchain BIM system

607 6.1.3 Consensus Service for the SaaS Layer

608 Thirdly, the genesis block of the BIBP network was configured to initialize the CFT
 609 consensus mechanism for the SaaS layer, as shown in Figure 11. SaaS supports a pluggable
 610 consensus mechanism that enables the platform to be more effectively customized to fit
 611 particular use cases and trust models. For instance, a more traditional byzantine fault tolerant
 612 consensus can be configured in a multi-party, decentralized use case.
 613

```

614
615 Orderer:
616   Addresses: &ref_1
617     - 'orderross-oss:30000'
618     - 'orderois-ois:30001'
619   BatchSize: &ref_2
620     AbsoluteMaxBytes: 98 MB
621     MaxMessageCount: 100
622     PreferredMaxBytes: 8192 KB
623   BatchTimeout: 2s
624   Etcdrift:
625     Consenters: &ref_3
626       - ClientTLSCert: >-
627         crypto-config/ordererOrganizations/oss.org/orderers/ordeross.oss.org/tls/server.crt
628       Host: ordeross-oss
629       Port: '30000'
630       ServerTLSCert: >-
631         crypto-config/ordererOrganizations/oss.org/orderers/ordeross.oss.org/tls/server.crt
632       - ClientTLSCert: >-
633         crypto-config/ordererOrganizations/ois.org/orderers/orderois.ois.org/tls/server.crt
634       Host: orderois-ois
635       Port: '30001' The server did not create or modify data.
636       ServerTLSCert: >-
637         crypto-config/ordererOrganizations/ois.org/orderers/orderois.ois.org/tls/server.crt
638   Options: &ref_4
639     ElectionTick: 10
640     HeartbeatTick: 1
641     MaxInflightBlocks: 5
642     SnapshotIntervalSize: 20 MB
643     TickInterval: 600ms
644   OrdererType: etcdrift
645   Organizations: null

```

Figure 11. Initialization of the consensus mechanism

6.2 Evaluation

BIBP was tested in the OMP phase of a modular construction project, which involves the production of 10 modules in Foshan, Mainland China. The research team observed and recorded the usage and later compared BIBP with the existing IoT-enabled BIM system developed by Li et al. (2018).

621

6.2.1 Testing Scenario

The processes for testing with BIBP include production preparation, production, and inspection, as shown in Figure 12. The test involves several typical scenarios, such as order placement, IoT sensing, smart process management, quality assurance, and BIM state updates. The transaction flow of each application scenario is described as follows. Firstly, users can submit the transactions with the proposals from the DApps to peers through the gateway node, and then peers can endorse them by invoking smart contracts and provide corresponding responses. Secondly, peers send the endorsements and responses together with their digital signatures back to the DApps. Thirdly, endorsed and valid transactions are bundled into blocks through the ordering nodes and sent to peers through the defined communication channels. Finally, peers verify the transactions in the newly received blocks, and if these transactions are correct, they append the blocks to their ledgers and send completion notifications to the DApps.

635

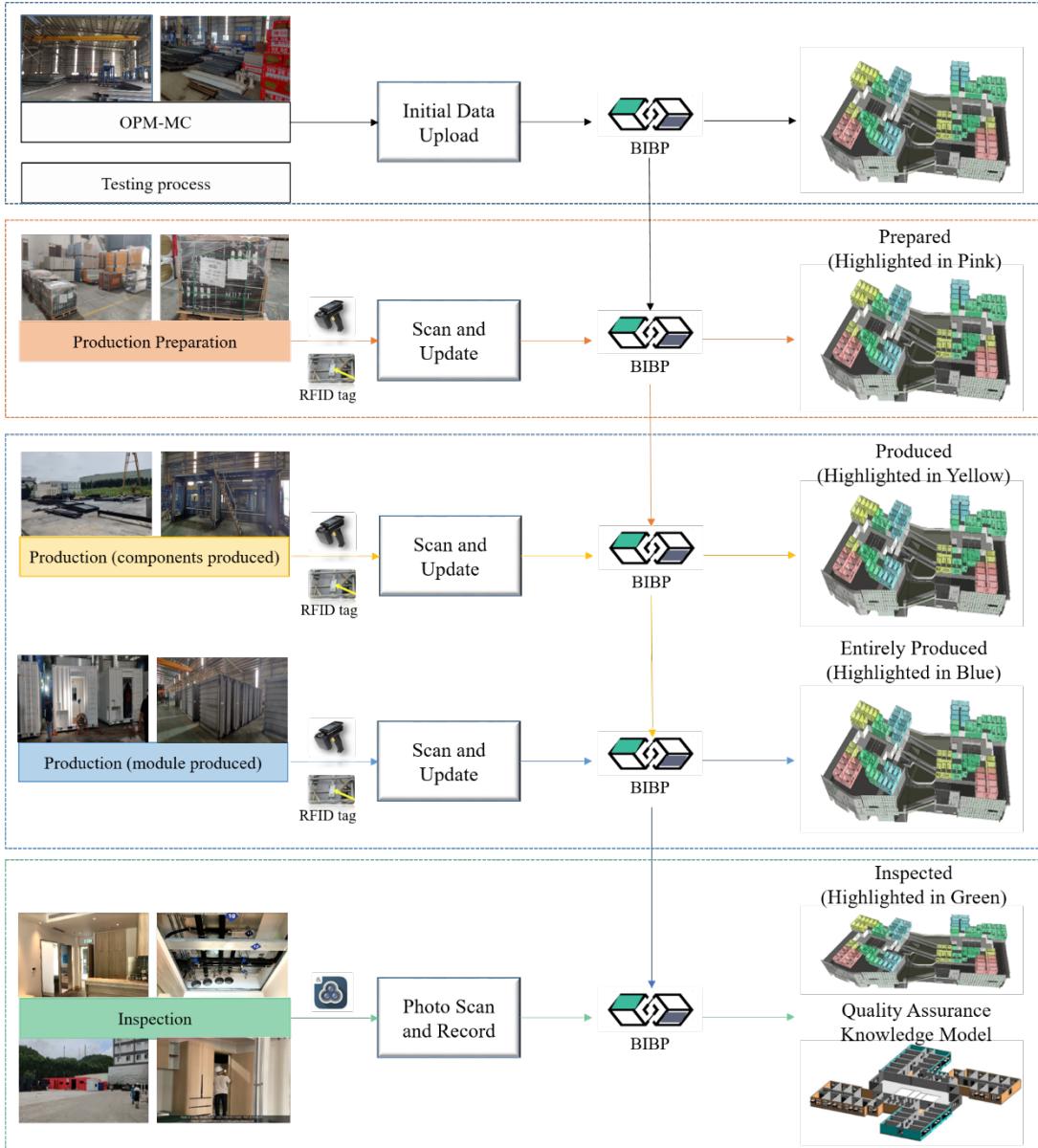


Figure 12. Processes for testing with blockchain-enabled IoT-BIM platform

As shown in Figure 12, the endorsed processes are displayed in four colors through A_{BIM} : (i) pink (production prepared); (ii) yellow (prefabricated components produced); (iii) blue (prefinished modules entirely produced); (iv) green (modules inspected). State changes in BIM (e.g., format: $\{ \text{'ifcprocess'} : \{ \text{'entirely produced'} \rightarrow \text{'inspected'} \} \}$) can be communicated in channel 1. Besides, when modules are entirely produced, the differences between the as-manufactured BIM and the as-designed BIM can be determined by the knowledge model used for quality assurance in A_{IPQ} .

647 6.2.2 Evaluation Results

648 BIBP was evaluated by comparing with the existing IoT-enabled BIM system through five
649 performance indicators: storage cost, security, integrity, authentication, and authorization.
650 There are four settings made: (1) three batches of materials (structure, architecture, MEP) are
651 delivered to the factory; (2) an average of 4 transactions (one order from A_{SC} , one PM-QA
652 operation in A_{IPQ} , one state update in A_{BIM} , one data collection by A_{SCO}) are produced per
653 material batch; (3) a total of 10 modules are produced and inspected in 35 days; (4) an
654 average of 21 transactions (one order from A_{SC} , six PM-QA operations in A_{IPQ} , seven state
655 updates in A_{BIM} , seven data collections by A_{SCO}) are produced per module. A new block is
656 generated every 24 hours to record OPM-MC transactions. Therefore, each block bundles
657 about 7 transactions.

658 (1) Storage Cost: To ensure the single point of truth for BIM, the BIM model needs to be
659 saved in the blockchain. However, it may lead to information redundancy in the blockchain
660 network. Thus, the storage cost was evaluated for this contribution. In this study, any BIM
661 state change is calculated as the minimum SDT in BIBP, thereby reducing the average size of
662 each transaction to 1 KB (Xue and Lu, 2020) and storing the detailed information in the local
663 database. The total occurred transactions during the test are 222 ($4 \times 3 + 21 \times 10$), and at the
664 maximum, 222 KB (222×1) may be produced in each ledger. In the IoT-enabled BIM
665 platform developed by Li et al. (2018), the BIM model (e.g., the model in Figure 9) is stored
666 in a webserver with the size of approximately 129 MB. Thus, BIBP system architecture can
667 lessen storage load, especially when critical data needs to be tracked in large BIM files.

669
670 (2) Security: Another critical design philosophy in BIBP is to avoid a SPOF in IoT networks.
671 To this end, an evaluation was conducted to prove the security of BIBP in screening
672 malicious data. Before the final inspection, the research team deliberately set three of the ten
673 RFID tags as malicious tags (e.g., production completion as incompleteness). The results prove
674 that BIBP can avoid a SPOF by rejecting the malicious IoT data through the consensus
675 mechanism. In the same situation, the inspector may directly read the RFID data and input it
676 directly into the IoT-enabled BIM platform, so that the malicious data is not easy to be
677 detected. According to Lu et al. (2021a), the security analysis of BIBP (particularly the IoT
678 network) can also be discussed through integrity, authentication, and authorization.

679
680 (3) Integrity: In BIBP, the integrity of IoT data transaction can be ensured when it is
681 exchanged in Channels 1 and 2, because the hash algorithm of the blockchain can make them
682 tamper-proof. In contrast, IoT data collected for the IoT-BIM platform may be manipulated
683 by operators.

684 (4) Authorization: BIBP uses a permissioned blockchain structure to provide pre-authorized
 685 users with certificates to join the network through membership services (see Figure 13(a)). The
 686 existing IoT-BIM platform also requires user registration and right permission.

687

688 (5) Authentication: In BIBP, the authentication mechanism is realized through digital
 689 signatures, which requires each peer to hold two keys (see Figure 13(b)). The public key is
 690 used publicly and serve as identity verification anchor, and the private key is used to digitally
 691 sign IoT data transactions. On the contrary, due to the lack of such a mechanism,
 692 authentication cannot be guaranteed in the existing IoT-BIM platform.

693

Issued Certificate Version: 3 Serial Number: 31 ED CC 1C 9D F1 4A 46 AF 5F 4D 6F 34 70 BD 76 Not Valid Before: 2021-03-01 Not Valid After: 2031-02-27 Certificate Fingerprints SHA1: ED 14 09 54 12 3E CF FA 4D 91 2F C5 1B 58 4A D3 49 9A C0 94 MD5: 54 42 78 2F 87 63 D4 33 99 BD A2 CD 1A 79 0F 6C	(a)
Public Key Info Key Algorithm: Elliptic Curve Key Parameters: 06 08 2A 86 48 CE 3D 03 01 07 Key Size: 256 Key SHA1 Fingerprint: B0 F4 92 AA 15 A7 27 11 BE 14 1F 9E 09 8E 78 C9 8F 06 D5 CD Public Key: 04 D7 ED 69 32 B2 78 25 EF 94 78 67 D5 F2 2A EF E0 7C 00 55 F7 E2 9D DA 9F CD 54 19 49 DF E2 C9 CF CD C5 4E A0 EF AA AD 01 46 31 B7 DD AC 06 04 FB DC 4A 30 86 57 13 58 91 5E 10 99 6D EF F5 FA 6F	(b)

694
 695 Figure 13. Authorization and authentication in blockchain-enabled IoT-BIM platform: (a)
 696 certificate; (b) digital signature

697

698 7. Discussion

699 This study makes three novel contributions to the existing body of knowledge. Firstly, our
 700 research uses the advantages of blockchain to avoid a SPOF in IoT networks. The system
 701 architecture lays the foundation for researchers to explore the IoT as blockchain oracles
 702 (middleware agents that can capture and verify real-world information and feed it to the
 703 blockchain) in construction supply chain management. Secondly, compared with the existing
 704 IoT-BIM platform, this research proposes blockchain IoT-BIM as the infrastructure which
 705 builds on a new open blockchain BIM standard extended from IFC. The novel open

706 blockchain BIM standard provides a valuable reference for researchers to attach new IoT
707 attributes to BIBP to implement various functions easily. In practice, the new standard is also
708 conducive to public institutions (e.g., governmental supervision units that are unwilling to
709 force commercial software) to access and audit BIMs developed on commercial platforms.
710 Thirdly, our research links the permissioned blockchain to the IoT-BIM platform, which can
711 inherit the advantage of traceability of blockchain to record BIM modifications. The
712 information recorded in a blockchain is reduced mainly by capturing BIM modifications
713 instead of entire BIM files. In our OPM-MC pilot tests, the version history of BIM
714 modifications was captured and placed in a blockchain ledger with only around 222 KB,
715 adequately addressing the challenge of information redundancy in BIM and blockchain
716 integration.

717
718 The limitations of this study provide opportunities for future research. Firstly, the algorithm
719 for the SDT model was still slow to process large BIMs, e.g., the 129 MB IFCXML case
720 would exceed the one-hour time limit. Future work can develop efficient IFCXML
721 computing modules and plug-ins for these commercial BIM platforms to promote the
722 integration of BIM and blockchain. Researchers can also explore other approaches such as
723 open BIM web service and the BIM Collaboration Format standard to minimize information
724 redundancy for BIM and blockchain integration. Secondly, only one pilot study was carried
725 out. Thus, the testing and the evaluation results can only be perceived as a proof of concept of
726 the BIBP, rather than a final version for benchmarking performance or proof of compatibility
727 to other production projects. Future works are recommended to fine-tune the platform and
728 test and evaluate it in the logistics and on-site installation phases of the surveyed modular
729 construction case and other construction projects. Thirdly, there is a lack of a systematic
730 framework for forecasting the costs of a production-scale, commercial BIBP. Thus, a detailed
731 cost assessment of the proposed BIBP is desired when better empirical data is available.

732
733 Although these limitations can be addressed through future research, the barriers to using
734 BIBP in construction projects should not be underestimated. The Building Department in
735 Hong Kong has a series of concerns regarding technological (e.g., decentralization level),
736 organizational (e.g., top management support), and environmental (e.g., government support)
737 aspects to help construction organizations, ranging from large to small, to adopt blockchain-
738 based solutions. As the construction industry is historically known for its slow adoption of
739 innovative solutions, the implementation of BIBP can be hindered by knowledge, attitudinal,
740 industry, financial, technical, process, and policy-related barriers. Therefore, researchers
741 should also study strategies to address each barrier and promote the adoption of BIBP.

742

743 **8. Conclusions**

744 This research developed a blockchain-enabled IoT-BIM platform (BIBP) for off-site
745 production management in modular construction (OPM-MC) with a view to solving the
746 issues of a single point failure (SPOF) in IoT networks and the provenance of BIM
747 modifications. A design science research (DSR) method was adopted to develop a three-layer
748 BIBP system architecture. Firstly, Infrastructure as a Service (IaaS) was designed with an IoT
749 module and user interfaces to collect accurate data from daily production operations to
750 blockchain BIM and allow user interactivity. Secondly, Blockchain BIM as a Service (BaaS)
751 was developed by linking permissioned blockchain to BIM and extending the existing open
752 BIM standard. Thirdly, Software as a Service (SaaS) was configured with decentralized
753 applications to achieve knowledgeable processes with a consensus mechanism. The system
754 architecture was implemented and then compared with the existing IoT-enabled BIM
755 platform. It was found that BIBP can avoid a SPOF in IoT networks and ensure the
756 provenance of BIM modifications with reduced storage costs in OPM-MC.

757
758 The limitations of this study provide chances for further investigation. First, the semantic
759 differential transaction (SDT) approach is imperfect as it is still slow to process large BIMs.
760 Researchers can explore other approaches such as open BIM web service, the BIM
761 Collaboration Format standard, and the “signature” of IFC objects to minimize information
762 redundancy for blockchain and BIM integration. Second, this research only applies the
763 developed platform to one pilot project for testing its effectiveness. Future research can
764 improve and extend the applicability of the platform to more practical projects to enhance its
765 effectiveness. Third, a detailed cost assessment was not included for the initial platform
766 establishment, deployment, storage, and ongoing maintenance. Thus, researchers should
767 develop a framework for assessing the costs of a production-scale, commercial BIBP. Fourth,
768 the complex nature of the barriers hindering the broader diffusion of BIBP in the construction
769 industry. Therefore, future investigations can focus on integrated strategies to address the
770 identified barriers.

771
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