

# Using the curse of dimensionality for perturbed token identification

1<sup>st</sup> Jérémy Rouot  
L@ISEN, Isen Brest  
Brest, France  
jeremy.rouot@yncrea.fr

**Abstract**—In the context of data tokenization, we model a token as a vector of a finite dimensional metric space  $E$  and given a finite subset of  $E$ , called the token set, we address the problem of deciding whether a given token is in a small neighborhood of an other token. We derive conditions to ensure that two tokens are in a small neighborhood and show that the probability that these conditions are satisfied tends to 1 as the dimension tends to infinity. Whereas a nearest neighbor algorithm is inefficient to solve such problem, we propose a new probabilistic algorithm to search a neighbor of a given token based on probability computation and on the high dimensionality of  $E$ . Finally we compare our algorithm with a nearest neighbor search algorithm.

**Index Terms**—Tokenization, Big data, Algorithmic probability, Nearest neighbor search

## CONTENTS

<b>I</b>	<b>Mathematical formulation and concepts</b>	1
<b>II</b>	<b>A new approach for finding a perturbed token in a token set</b>	2
II-A	A variant of the nearest neighbor search	2
II-B	Probability computation . . . . .	3
<b>III</b>	<b>Conclusion</b>	5
<b>References</b>		5

## INTRODUCTION

With the explosion of sensitive data, many standards emerge to secure information and reduce the number of incidents that may occur during an inappropriate or unauthorized access to a database during consultation, modification, deletion, leak or disclosure [7]. Tokenization consists in associating to a sensitive data an identifier (called token) that has non external or exploitable meaning related to the data that it corresponds.

While tokenization seems to be a reliable method for data obfuscation, identifying whether a given token belongs to the token set has an impact on the performance of the application. More precisely, the token space is modeled as a metric space  $(E, d)$  of finite dimension  $n$  and the token set,  $\mathcal{T}$ , is a finite subset of  $E$ . We will consider the discrete case where  $\mathcal{T} = \llbracket 0, c \rrbracket^n$ ,  $c \in \mathbb{N}$ . The distance  $d$  can be induced by the Euclidean norm  $\|\cdot\| = \sqrt{(\cdot, \cdot)}$ . This particular case where  $E$  is a normed vector space, instead of general metric space, allows

to consider orthogonal projections. A token  $\tilde{\tau}$  is considered as a neighbor of token  $\tau \in \mathcal{T}$ , and we note  $\tilde{\tau} \sim \tau$ , when  $d(\tau, \tilde{\tau})$  is small enough. Given  $\mathcal{T}$  and  $\tilde{\tau}$ , we would like to find, if it exists, a neighbor  $\tau \in \mathcal{T}$  of  $\tilde{\tau}$ .

This falls into the problem of similarity query in a metric space. While this problem can be seen as a global optimization problem considered as unsolvable [6], nearest neighbor search (NNS) are dedicated algorithms for this problem where the main bottleneck remain the so-called curse of dimensionality [2], [3]. We present a new algorithm that will be analyzed and compared with other NNS approaches. When the dimension  $n$  is large, the curse of dimensionality means, under reasonable assumptions on the token distribution, that the ratio between the distance of the nearest and the farthest neighbors is close to 1 [1]. We exploit this property to compute  $\tilde{\tau}$ ,  $\tilde{\tau} \sim \tau$  using a NNS based on orthogonal projection filtering. The complexity of NNS algorithms is based on the number of distance computations and memory limitation. We will use none of these complexities but rather time complexity which is more adapted for our case, where we assume to have enough memory to stock and sort the token database. This operation is done only one time – at the beginning of the oracle – and the benefit over other algorithms appears when the oracle is called a lot number of times, yet security problems may appear.

Section I introduces the model of the tokens database and defines a metric to characterize of perturbed token  $\tilde{\tau}$  of a given token  $\tau \in \mathcal{T}$ . We present a naive NNS to compute such neighbor  $\tau \in \mathcal{T}$  and that will be the benchmark of our new algorithm presented in Section II. We give mathematical conditions on the cardinal of  $\mathcal{T}$  and the dimension of  $E$  to ensure that the probability that our conditions are satisfied is closed to 1.

## I. MATHEMATICAL FORMULATION AND CONCEPTS

*a) Notations:* Throughout the article,  $(E, (\cdot | \cdot))$  is an inner product space over the real numbers of finite dimension  $n$ . The induced norm of a vector  $x \in E$  is denoted by  $\|x\| = \sqrt{(x | x)}$ .  $E$  can also be seen as a metric space, induced by the distance defined by  $d(x, y) = \|x - y\|$  for  $x, y \in E$ . The  $\ell$ -norm,  $\ell \in \mathbb{N}$ , of a vector  $x \in E$  is  $\|x\|_\ell = (\sum_{i=0}^n |x_i|^{1/\ell})^\ell$  and the infinity norm is  $\|x\|_\infty = \max_{i=1..n} |x_i|$ . The distance associated to the  $\ell$ -norm will be denoted by  $d_\ell$ .

b) *Model*: The tokens  $x_1, \dots, x_N$  are realizations of a discrete random variable  $X$  valued in  $\llbracket 0, c \rrbracket^n \subset E$ . This is equivalent to say that each  $x_i$ ,  $i = 1 \dots N$  is a realization of a random variable  $X_i$ ,  $i = 1 \dots N$ ,  $X_i$ 's being independent and identically distributed (i.i.d.) with the same law as  $X$ .

We decompose a vector  $x \in E$  into  $p$  parts as follows. Choose  $d_1, \dots, d_p \in N$  such that  $n = d_1 + \dots + d_p$  and define for  $j = 1 \dots p$ , the projections  $\pi_j : E \rightarrow \mathbb{R}^{d_j}$  by  $\pi_j(x_i) = (x_i^{(s_j+1)}, \dots, x_i^{(s_{j+1})})$  and  $s_j = \sum_{k=1}^{j-1} d_k$  (with the convention  $s_1 = 0$ ). Hence, the components of a vector  $x \in E$  in the canonical basis are the components of the concatenation of the vectors  $\pi_j(x)$ ,  $j = 1 \dots p$ .

c) *Deterministic theorem*: Assume that for all  $n > 0$  and  $1 \leq p \leq n$ ,

(A1) there exists  $\varepsilon > 0$  such that  $\|x_1 - x_0\| < \varepsilon$ ,

(A2) for all  $k \in \{2, \dots, N\}$ , there exists  $j \in \{1, \dots, p\}$  such that  $|\|\pi_j(x_k)\| - \|\pi_j(x_0)\|| \geq \varepsilon$ .

These assumptions ensure that the nearest neighbor of  $x_0$  in the token space  $\{x_1 \dots x_N\}$  is  $x_1$ . Indeed, we have  $d(x_0, x_1) < \varepsilon$  and for  $k \in \{2, \dots, N\}$  and  $j \in \{1, \dots, p\}$ ,  $d(x_0, x_k) \geq d(\pi_j(x_0), \pi_j(x_k)) \geq \varepsilon$ .

Our new algorithm is based on the following theorem.

**Theorem 1.** Assume (A1), (A2) to be true, we have

$$\operatorname{argmin}_{k \in \{1, \dots, N\}} \max_{j_k \in \{1, \dots, p\}} |\|\pi_{j_k}(x_k)\| - \|\pi_{j_k}(x_0)\|| = 1. \quad (1)$$

*Proof.* Let  $e_1, \dots, e_n$  be an orthogonal basis of  $(E, (\cdot | \cdot))$ . For  $x \in E$ , the vector  $\pi_j(x)$  denotes the orthogonal projection of a vector  $x$  on  $E_j = \operatorname{span}(e_{s_j+1}, \dots, e_{s_{j+1}})$ . From (i), we get  $\|\pi_j(x_0 - x_1)\| < \varepsilon$ ,  $\forall j = 1 \dots p$ . For each  $k \in \{1, \dots, N\}$ , we compute  $j_k \in \{1, \dots, p\}$  such that  $|\|\pi_{j_k}(x_k)\| - \|\pi_{j_k}(x_0)\||$  is maximal. Once we have such projection  $\pi_{j_k}$ , suppose that  $m \in \{2 \dots N\}$  satisfies

$$|\|\pi_{j_m}(x_m)\| - \|\pi_{j_m}(x_0)\|| = \min_{1 \leq k \leq N} |\|\pi_{j_k}(x_k)\| - \|\pi_{j_k}(x_0)\||.$$

Then, we have

$$\begin{aligned} |\|\pi_{j_m}(x_m)\| - \|\pi_{j_m}(x_0)\|| &\leq |\|\pi_{j_1}(x_1)\| - \|\pi_{j_1}(x_0)\|| \\ &\leq \|\pi_{j_1}(x_1 - x_0)\| \\ &< \varepsilon, \end{aligned}$$

and, for all  $\ell \in \{1 \dots p\}$ ,

$$|\|\pi_\ell(x_m)\| - \|\pi_\ell(x_0)\|| \leq |\|\pi_{j_m}(x_m)\| - \|\pi_{j_m}(x_0)\||.$$

Hence, we deduce

$$|\|\pi_\ell(x_m)\| - \|\pi_\ell(x_0)\|| < \varepsilon, \quad \forall \ell \in \{1 \dots p\},$$

which contradicts the assumption (ii). Therefore the minimum in (1) is attained for  $k = 1$  and this concludes the proof.  $\square$

**Input:**

- The dimension  $n$  of  $E$ ,
- a token set  $\mathcal{T} = \{x_1, \dots, x_N\}$ ,
- the number  $p$  of projections  $\pi_j$ ,
- the sorted  $N$ -tuples  $\mathcal{P}_j$ ,  $j = 1 \dots p$  composed by  $\pi_j(x_k)$ ,  $k = 1 \dots N$ ,
- the permutations  $\sigma_j$ ,  $j = 1 \dots p$  obtained from the sort of  $\mathcal{P}_j$  ( $\sigma_j(k)$  is the position of  $\|\pi_j(x_k)\|$  in  $\mathcal{P}_j$ ),

a token  $x_0 \in E$ .

**Output:** Return the nearest token of  $x_0$  in  $\mathcal{T}$ .

**for**  $j = 1 \dots p$  **do**

    insert  $\|\pi_j(x_0)\|$  at the right place in  $\mathcal{P}_j$ ;  
    update  $(\sigma_j(k))_{k=1 \dots N}$ ;

**end**

$\eta = 0$ ;

$I = \emptyset$ ;

**while**  $I \setminus \{x_{\sigma(0)}\} = \emptyset$  **do**

$\eta = \eta + 1$ ;  
     $I = \cap_{j=1}^p \{x_{\sigma_j(0)-\eta}, \dots, x_{\sigma_j(0)+\eta}\}$ ;

**end**

**return** The token of  $I$  the nearest of  $x_0$ ;

**Algorithm 1:** Nearest token search with projective discrimination.

## II. A NEW APPROACH FOR FINDING A PERTURBED TOKEN IN A TOKEN SET

### A. A variant of the nearest neighbor search

From Theorem 1, we present an algorithm to decide whether a given token  $x_0$  is a neighbor of a token of  $\mathcal{T}$ . At the end of the while loop,  $I$  contains only two token  $x_a, x_b$  and the returns the one the closest to  $x_0$ , which is the nearest neighbor.

a) *Complexity*: The sort of the lists  $\mathcal{P}_j$ ,  $j = 1 \dots p$  can be done offline, and we do not take it into account in the complexity analysis. Contrary to NNS, the number of distance computations of our algorithm is not relevant, we will deal with time complexity.

Let  $\eta^*$  be the number of iterations of the while loop so that the algorithm terminates. Hence, the time complexity is in  $O(p\eta^{*2})$ .

b) *Discussion*: The naive NNS computes all the distances  $d(x_k, x_0)$ ,  $k = 1 \dots N$  and keep the smallest one. Our algorithm begins with sorting the lists  $\mathcal{P}_j$ ,  $j = 1 \dots p$  only once, (assuming the token set  $\mathcal{T}$  remains unchanged for further calls). This step is crucial and different from the NNS algorithm.

The number of projections  $p$  is an interesting parameters. If  $p = 1$ , we do not recover the naive NNS. The assumptions (A1) – (A2) for  $p > 1$  are weaker, in term of probability, than for  $p = 1$ . This is illustrated by Fig. 1 for the case  $n = 2$ : the nearest neighbor of  $x_0$  is  $x_1$ . If  $p = 1$ , the assumption (A2) is satisfied if the tokens  $x_k$ ,  $k = 2 \dots N$  are outside the annulus delimited by the circles  $\mathbb{C}_{-\varepsilon}$  and  $\mathbb{C}_\varepsilon$ . If  $p > 1$ , the assumption (A2) is satisfied if the tokens  $x_k$ ,  $k = 2 \dots N$  are outside the

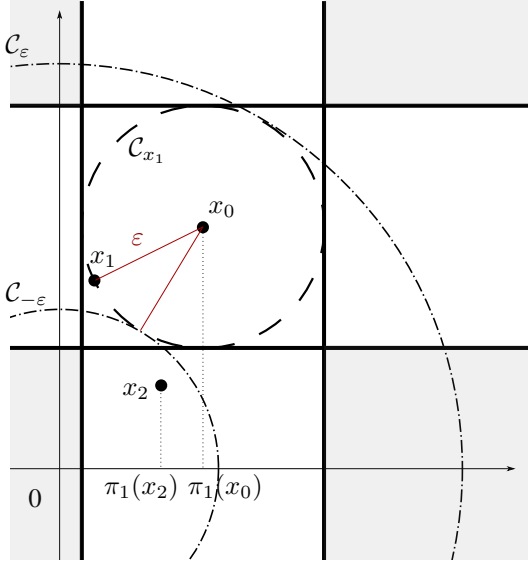


Fig. 1. Illustrative example for the weakness of the assumption (A2) if  $p > 1$ , compared with  $p = 1$ , required by Theorem 1. The assumption (A2) is satisfied for  $p = 2$ , but not for  $p = 1$  due to the token  $x_2$ .

cross centered at  $x_0$  and represented by the gray region, for instance the point  $x_2$  satisfied the assumption (A2) for  $p = 2$  but not for  $p = 1$ .

This paves the road to a probabilistic analysis of our algorithm and the following section II-B gives a starting point.

An other interesting perspective is to consider  $\mathcal{P}_j = (f(x_k))_{k=1 \dots N}$   $j = 1 \dots$  where  $f$  is a given function (we formulated our algorithm for  $f = \|\cdot\|$ ).

### B. Probability computation

We consider i.i.d. scalar-valued discrete random variables  $X_i^{(k)}$ ,  $k = 1 \dots n, i = 1 \dots N$ . The distribution of  $X_i$  is defined from the joint probability mass function of  $X_i^{(1)}, \dots, X_i^{(n)}$ , that is:

$$P(X_i = x_i) = P(X_i^{(1)} = x_i^{(1)}, \dots, X_i^{(n)} = x_i^{(n)}). \quad (2)$$

Since the random variables  $X_i^{(k)}, k = 1 \dots n, i = 1 \dots N$  are independent, we have

$$\begin{aligned} P(X_i^{(1)} = x_i^{(1)}, \dots, X_i^{(n)} = x_i^{(n)}) \\ = P(X_i^{(1)} = x_i^{(1)}) \dots P(X_i^{(n)} = x_i^{(n)}). \end{aligned}$$

For the application, the number of tokens  $N$  is fixed and our aim is to compute

$$P(\exists i \neq j, d(X_i, X_j) \leq \varepsilon)$$

is small, where  $d$  is a distance on  $E$ . We will treat the case where the distance  $d$  is the Euclidean distance, or induced by the  $L^1$  and  $L^\infty$  norm.

a) *Scalar case:* The random variables  $X_i$ ,  $i = 1 \dots N$  are valued in  $\llbracket 0, c \rrbracket^n$ . We recall properties to manipulate discrete random variables, see [4] for details.

**Definition 2.** Let  $U$  be a discrete random variable valued in  $\llbracket 0, c \rrbracket$ . The probability generating function of  $U$  is the polynomial function

$$G_U(z) = \sum_{k=0}^c P(U = k) z^k.$$

**Lemma 3.** The probability generating function of the sum  $U + V$  of two independent discrete random variables  $U, V$  is  $G_{U+V}(z) = G_U(z) G_V(z)$ .

**Lemma 4.** Given two i.i.d. random variables  $U, V$  valued in  $\llbracket 0, c \rrbracket$ , the probability generating function of the variable  $|U - V|$  is  $G_{|U-V|}(z) = \sum_{k=0}^c P(|U - V| = k) z^k$  with

$$P(|U - V| = 0) = \sum_{i=0}^c p_i^2 \quad (3)$$

and

$$\begin{pmatrix} P(|U - V| = 1) \\ \vdots \\ P(|U - V| = c) \end{pmatrix} = 2 H(p_1, \dots, p_c) \begin{pmatrix} p_0 \\ \vdots \\ p_{c-1} \end{pmatrix}, \quad (4)$$

where  $p_k = P(U = k) = P(V = k)$ ,  $k = 0 \dots c$  and  $H(p_1, \dots, p_c)$  is the Hankel matrix associated to the probabilities  $p_1, \dots, p_c$  defined by

$$H(p_1, \dots, p_c) = \begin{pmatrix} p_1 & p_2 & p_3 & \dots & p_c \\ p_2 & p_3 & \dots & p_c & 0 \\ \vdots & & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & & \vdots \\ p_c & 0 & \dots & \dots & 0 \end{pmatrix}.$$

### b) Euclidean norm:

**Proposition 5.** Let  $X_i, X_j$  be two random variables valued in  $\llbracket 0, c \rrbracket^n$ . Then, for  $m \in \llbracket 0, \dots, \lfloor c\sqrt{n} \rfloor \rrbracket$ , we have

$$P(\|X_i - X_j\|_2 \leq m) = \sum_{k=0}^{m^2} [z^k] (G_{(U-V)^2}(z))^n, \quad (5)$$

where  $[z^k]Q(z)$  denotes the coefficient of the monomial of degree  $k$  of the polynomial  $Q(z)$ , that is  $[z^k]Q(z) = k! \frac{d^k Q(z)}{dz^k} \Big|_{z=0}$ , and  $U, V$  are random variables with the same distribution than the marginal distribution of  $X_i, X_j$ .

*Proof.* Computing, we have for  $m \in \llbracket 0, \dots, \lfloor c\sqrt{n} \rrbracket$ ,

$$\begin{aligned} P(\|X_i - X_j\|_2 \leq m) &= P\left(\sum_{k=0}^n \left(X_i^{(k)} - X_j^{(k)}\right)^2 \leq m^2\right) \\ &= \sum_{k=0}^{m^2} [z^k] \left(G_{(X_i^{(1)} - X_j^{(1)})^2}(z) \cdots G_{(X_i^{(n)} - X_j^{(n)})^2}(z)\right), \end{aligned}$$

which yields the result using Lemma 3 since  $(X_i^{(k)} - X_j^{(k)})^2$ ,  $k = 1 \dots n$  are independent and have the same law as  $(U - V)^2$ , the distribution of  $U, V$  being the distribution of  $X_i^{(k)}, X_j^{(k)}$ .  $\square$

To compute the polynomial  $G_{(U-V)^2}(z)$  in Proposition 5, observe that,

$$\begin{aligned} G_{(U-V)^2}(z) &= \sum_{k=0}^{c^2} P((U - V)^2 = k) z^k \\ &= \sum_{k=0}^c P(|U - V| = k) z^{k^2}, \end{aligned} \quad (6)$$

and  $P(|U - V| = k)$ ,  $k = 0 \dots c$  can be computed using Lemma 4.

Finally, we have the following proposition.

**Proposition 6.** *The probability that among the set of tokens  $\mathcal{T} = \{x_1, \dots, x_N\}$  with  $\forall i = 1 \dots N$ ,  $x_i \in \{0, \dots, c\}^n$  and  $x_i$  being a realization of a random variables  $X_i$ , there exists at least two tokens in the 2-ball of radius  $m/2$ ,  $m \in \llbracket 0, c \rrbracket$ , centered at the origin, is*

$$\begin{aligned} P(\exists i \neq j \in \{1, \dots, N\}, \|X_i - X_j\|_2 \leq m) &= N(N-1) \sum_{k=0}^{m^2} [z^k] (G_{(U-V)^2}(z))^n, \end{aligned}$$

where  $G_{(U-V)^2}(z) = \sum_{k=0}^c P(|U - V| = k) z^{k^2}$  and the distribution of  $U, V$  is the same as the marginal distribution of  $X_i, X_j$ .

*Proof.* Computing, we have

$$\begin{aligned} P(\exists i \neq j \in \{1, \dots, N\}, \|X_i - X_j\|_2 \leq m) &= P(\cup_{1 \leq i \neq j \leq N}, \|X_i - X_j\|_2 \leq m) \\ &\leq \sum_{1 \leq i \neq j \leq N} P(\|X_i - X_j\|_2 \leq m) \\ &= N(N-1) P(\|X_i - X_j\|_2 \leq m), \\ &= N(N-1) \sum_{k=0}^{m^2} [z^k] (G_{(U-V)^2}(z))^n, \end{aligned} \quad (7)$$

using Proposition 5.  $\square$

It is clear that  $\lim_{n \rightarrow \infty} P(\|X_i - X_j\|_2 \leq m) = 0$ . To have an estimate on the convergence rate, we apply one central

limit theorem as follows. For each couple  $(X_i, X_j)$ ,  $1 \leq i < j \leq N$ , we can associate  $n$  scalar random variables  $A_{ijk}$ ,  $k = 1 \dots n$  such that  $A_{ijk} = (X_i^{(k)} - X_j^{(k)})^2$  and their probability law is given by (6). We denote by  $\mu$  and  $\sigma^2 \neq 0$  the expectation and the variance of  $A_{ijk}$  respectively. The central limit theorem asserts that  $1/n \sum_k A_{ijk}$  converges in probability to  $\mathcal{N}(\mu, \sigma^2/n)$ , hence we get for  $m \in \llbracket 0, c\sqrt{n} \rrbracket$

$$\begin{aligned} \lim_{n \rightarrow +\infty} P\left(\frac{\|X_i - X_j\|_2}{\sqrt{n}} \leq m\right) &= \lim_{n \rightarrow +\infty} P\left(\frac{\sum_{k=1}^n A_{ijk}}{n} \leq m^2\right) \\ &= \int_{-\infty}^{m^2} \frac{1}{\sqrt{2\pi}\sigma/\sqrt{n}} \exp\left(-\frac{1}{2} \left(\frac{x - \mu}{\sigma/\sqrt{n}}\right)^2\right) dx \\ &= \frac{1}{2} (1 + \operatorname{erf}(\zeta_n(m^2))), \end{aligned} \quad (8)$$

where  $\zeta_n(x) = \frac{x - \mu}{\sqrt{2\pi}\sigma/\sqrt{n}}$  and  $\operatorname{erf}$  is the *Gauss error function* defined by  $\operatorname{erf}(x) = \frac{2}{\sqrt{\pi}} \int_0^x \exp(-t^2) dt$ . We have shown the following theorem, illustrated in Fig.2, which represents the probability  $P(\|X_i - X_j\|_2^2 \leq m^2)$ ,  $m = 0 \dots \sqrt{n}c$ , where  $X_i, X_j$  follow an uniform probability law on  $\llbracket 0, c \rrbracket^n$  ( $c = 9, n = 256$ ).

**Theorem 7.** *Consider two random variables  $X_i, X_j$  valued in  $\{0, \dots, c\}^n$ . The marginal distributions of their components are the same and we note the expectation  $\mu$ . Then, we have*

$$\lim_{n \rightarrow +\infty} P\left(\frac{\|X_i - X_j\|_2}{\sqrt{n}} \leq m\right) = \begin{cases} 1 & \text{if } m^2 > \mu \\ \frac{1}{2} & \text{if } m^2 = \mu \\ 0 & \text{if } m^2 < \mu \end{cases}.$$

Theorem 7 answers the question raised in the beginning of Section II-B: for any fixed  $N, m$  and  $c$ , we can find  $n$  such that the probability that the assumptions of Theorem 1 are satisfied is arbitrary close to 1.

**Remark 8.** *The random variables  $A_{ijk}$ ,  $k = 1 \dots n$  may not follow the same probability law. In that case, we shall use a more generalized version, namely the Lindeberg central limit theorem [5].*

**Remark 9.** *The rate of convergence of  $\lim_{n \rightarrow +\infty} P\left(\frac{\|X_i - X_j\|_2}{\sqrt{n}} \leq m\right)$  can be precised using the asymptotic development of the Gauss error function as  $n \rightarrow +\infty$  is*

$$\operatorname{erf}(\zeta_n(m^2)) = \begin{cases} -1 + O\left(\sqrt{n} \frac{\exp(-nk^2)}{k\sqrt{\pi}}\right) & \text{if } m^2 < \mu \\ 1 - O\left(\sqrt{n} \frac{\exp(-nk^2)}{k\sqrt{\pi}}\right) & \text{if } m^2 > \mu, \end{cases} \quad (9)$$

where  $k = \frac{m^2 - \mu}{\sqrt{2\pi}}$ . We obtain

$$P\left(\frac{\|X_i - X_j\|_2}{\sqrt{n}} \leq m\right) = O\left(\sqrt{n} e^{-nk^2}\right) \text{ if } m^2 < \mu.$$

We illustrate this convergence in Figure 2.

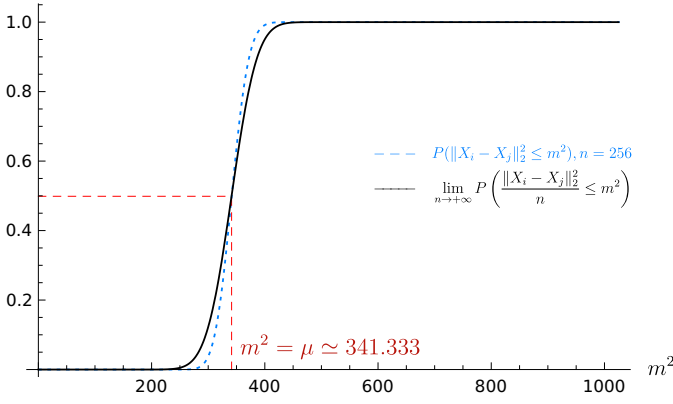


Fig. 2. (dashed line) Probability  $P(\|X_i - X_j\|_2 \leq m)$  where  $X_i, X_j$  are uniform random variables valued in  $\llbracket 0, c \rrbracket^n$ ,  $n = 256$ ,  $c = 9$  computed using (3)-(4) for  $m = 0, \dots, n \cdot c$ .  $\mu$  corresponds to the expectation of  $(X_i^{(k)} - X_j^{(k)})^2$ . (continuous line) Limit case where  $n \rightarrow +\infty$  computed using (8) (see Theorem 7).

c) *Generalization for other distances:* Theorem 7 can be adapted for the  $d$ -norm  $1 \leq d < +\infty$  since  $\|x\|_d^d = \sum_{k=0}^n |x_k|^d$  is a sum of  $n$  scalar. We present next other methods to derive Theorem 7 for the 1-norm and the infinity norm in the uniform case.

**1-norm,  $X_i, X_j$  uniform.** Even if we can adapt the method presented above for the 2-norm, we propose an other method to compute the probability  $P(\|X_i - X_j\|_1 \leq m)$ . Denote by  $C_{n,m}^*$  the number of tuples  $(x_i, x_j) \in (\llbracket 0, c \rrbracket^n)^2$  such that  $\|x_i - x_j\|_1 * m$ , where  $*$   $\in \{=, \leq\}$ . Enumerating the  $c + 1$  cases where the vector  $x_i - x_j$  has its last component equal to  $0, 1, \dots, c$ , we get the following recurrence relation

$$C_{n,m}^= = \sum_{k=0}^c C_{n-1,m-k}^= \delta_k^=, \quad (10)$$

where  $\delta_k^=$  is the cardinal of the set  $\{(a, b) \in \{0, \dots, c\}^2, |a - b| = m\}$  for  $m \in \{0, \dots, c\}$  and is equal to

$$\delta_m^= = \begin{cases} c + 1 & \text{if } m = 0 \\ 2(c - m + 1) & \text{if } m > 0 \end{cases}.$$

We can then use dynamic programming to compute  $C_{n,m}^=$  efficiently and from the equality  $C_{n,m}^{\leq} = \sum_{i=0}^m C_{n,i}^=$ , we can compute the probability  $P(\|U - V\|_1 \leq m)$ .

**Infinity norm,  $X_i, X_j$  uniform.** In this case, we can easily derive an analytical expression for  $P(\|X_i - X_j\|_\infty \leq m)$ . For  $m \in \{0, \dots, c\}$ , let  $\Delta_{n,m}^{\leq}$  be the cardinal of the set  $\{(u, v) \in (\{0, \dots, c\}^n)^2, \|u - v\|_\infty \leq m\}$ . The cardinals  $\delta_m^*$ ,  $*$   $\in \{=, \leq\}$ , of the sets  $\{(a, b) \in \{0, \dots, c\}^2, |a - b| * m\}$  for

$m \in \{0, \dots, c\}$  are

$$\delta_m^= = \begin{cases} c + 1 & \text{if } m = 0 \\ 2(c - m + 1) & \text{if } m > 0 \end{cases} \quad (11)$$

$$\delta_m^{\leq} = \sum_{i=0}^m \delta_i^= = (c + 1)(2m + 1) - m(m + 1).$$

Hence, using the relation  $\Delta_{n,m}^{\leq} = (\delta_m^{\leq})^n$ , we obtain

$$P(\|X_i - X_j\|_\infty \leq m) = \frac{\Delta_{n,m}^{\leq}}{(c + 1)^{2n}} = (1 - z_m)^n,$$

where  $z_m = (c - m)(c + 1 - m)/(c + 1)^2$ .

And following the proof of Proposition 6, we obtain the following.

**Proposition 10.** *The probability that, among the set of tokens  $\mathcal{T} = \{x_1, \dots, x_N\}$  where  $\forall i = 1 \dots N$ ,  $x_i \in \{0, \dots, c\}^N$ , there exists at least two tokens in a ball of radius  $m/2$ ,  $m \in \llbracket 0, c \rrbracket$  for the infinity norm is*

$$P(\exists i \neq j \in \{1, \dots, N\}, \|X_i - X_j\|_\infty \leq m) \leq \frac{N(N - 1)}{2} (1 - z_m)^n, \quad (12)$$

where  $z_m = (c - m)(c + 1 - m)/(c + 1)^2$ . Moreover for every  $m < c$  and  $N$  fixed, this probability tends to 0 as  $n \rightarrow +\infty$ .

### III. CONCLUSION

We present a nearest neighbor search based on projective filtering to compute the nearest neighbor of a token in token set, under some geometric assumptions considering different distances. We analyze the assumptions in terms of probability and show that, we need to choose high dimensional token (the dimension of a token being its number of digits) to satisfy the assumptions.

Other filtering functions can be used and an interesting perspective is to characterize them in terms of regularity and probability computation.

Although our algorithm is far from a classical nearest search, it would be interesting to compare it with an approximate nearest neighbor search to recover a token from a perturbed one. In this direction, a scalability study shall be investigated together with average-case complexity.

### REFERENCES

- [1] Kevin Beyer, Jonathan Goldstein, Raghu Ramakrishnan, and Uri Shaft. When is “nearest neighbor” meaningful? In *International conference on database theory*, pages 217–235. Springer, 1999.
- [2] Benjamin Bustos and Gonzalo Navarro. Probabilistic proximity searching algorithms based on compact partitions. *Journal of Discrete Algorithms*, 2(1):115–134, 2004.
- [3] Edgar Chávez, Gonzalo Navarro, Ricardo Baeza-Yates, and José Luis Marroquín. Searching in metric spaces. *ACM computing surveys (CSUR)*, 33(3):273–321, 2001.
- [4] William Feller. *An introduction to probability theory and its applications. Vol. 1*. John Wiley & Sons, 1968.
- [5] Jarl Waldemar Lindeberg. Eine neue herleitung des exponentialgesetzes in der wahrscheinlichkeitsrechnung. *Mathematische Zeitschrift*, 15(1):211–225, 1922.
- [6] Yurii Nesterov. *Lectures on convex optimization*, volume 137. Springer, 2018.

- [7] Simon Schwerin. Blockchain and privacy protection in the case of the european general data protection regulation (gdpr): a delphi study. *The Journal of the British Blockchain Association*, 1(1):3554, 2018.