

## A fact about fields

**Observation.**  $\{0\}$  is a field, with algebraic operations  $0 \cdot 0 = 0 = 0 + 0$ .

### Proposition

*If  $F$  is a field with more than one element, then  $0 \neq 1$ .*

**Proof.** Find  $x \in F$  different from 0. Then  $0 = x \cdot 0 \neq x \cdot 1 = 1$ , hence  $0 \neq 1$ . ■

# Order

**Definition:** A relation  $\leq$  on a set  $S$  is called **linear (total) order** if:

- (01) Totality: for  $a, b \in S$ , either  $a \leq b$ , or  $b \leq a$ .
- (02) Antisymmetry: if  $a \leq b$  and  $b \leq a$ , then  $a = b$ .
- (03) Transitivity: if  $a \leq b$  and  $b \leq c$ , then  $a \leq c$ .

We write  $a < b$  if  $a \leq b$ ,  $a \neq b$ .

**Definition:** A field  $F$  is called **ordered** if it is equipped with linear order  $\leq$  s.t.:

- (04) If  $a, b, c \in F$ , and  $a \leq b$ , then  $a + c \leq b + c$ .
- (05) If  $a, b, c \in F$ ,  $a \leq b$ , and  $c \geq 0$ , then  $ac \leq bc$ .

**Examples of ordered fields.**  $(\mathbb{Q}, \leq)$ ,  $(\mathbb{R}, \leq)$ .

$\mathbb{C}$  is a field, but cannot be equipped with a linear order.

# Properties of ordered fields

## Theorem (Theorem 3.2 – p. 16 of text)

Suppose  $F$  is an ordered field,  $a, b, c \in F$ . Then:

- i If  $a \leq b$ , then  $-b \leq -a$ .
- ii If  $a \leq b$ , and  $c \leq 0$ , then  $bc \leq ac$ .
- iii If  $0 \leq a$  and  $0 \leq b$ , then  $0 \leq ab$ .
- iv  $0 \leq a^2$  (for all  $a \in F$ ).
- v  $0 < 1$ .
- vi If  $0 < a$ , then  $0 < a^{-1}$ .
- vii If  $0 < a < b$ , then  $0 < b^{-1} < a^{-1}$ .

**Proof of (iii).**  $b \geq 0$ , hence, by (O5),  $0 \cdot b \leq ab$ . But,  $0 \cdot b = 0$ . ■

**Fact.**  $\mathbb{C}$  is not an ordered field.

**Proof.**  $\mathbb{C}$  is a field. Suppose, for the sake of contradiction, that  $\leq$  determines a linear order on  $\mathbb{C}$ . Recall:  $\iota^2 = -1$ , where  $\iota = \sqrt{-1}$ . Then  $-1 > 0$ , hence  $1 = -(-1) < -0 = 0$ . However,  $1 > 0$ . ■

# Absolute value

## Definition (Absolute value – Def. 3.3, p. 17)

Suppose  $F$  is an ordered field,  $a \in F$ . Define

$$\text{absolute value } |a| = \begin{cases} a & a \geq 0 \\ -a & a < 0 \end{cases}.$$

Meaning: distance between 0 and  $a$  (imagine the real line).

**Note:**  $|a| = |-a|$ .

## Definition (Distance – Def. 3.4, p. 17)

Suppose  $F$  is an ordered field,  $a, b \in F$ . Define the **distance** between  $a$  and  $b$  as  $\text{dist}(a, b) = |a - b|$ .

**Note:**  $\text{dist}(a, b) = \text{dist}(b, a)$ .

# Properties of absolute value

## Theorem (Theorem 3.5, p. 17)

Suppose  $F$  is an ordered field,  $a, b \in F$ .

- i  $|a| \geq 0$ .
- ii  $|ab| = |a| |b|$ .
- iii  $|a + b| \leq |a| + |b|$  [sometimes called *Triangle Inequality*].

## Corollary (Triangle inequality – Cor. 3.6, p. 18)

For  $a, b, c \in F$ ,  $\text{dist}(a, c) \leq \text{dist}(a, b) + \text{dist}(b, c)$ .

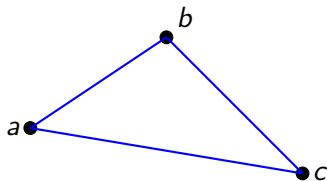
**Proof.**  $\text{dist}(a, c) = |a - c| = |(a - b) + (b - c)| \leq |a - b| + |b - c|$   
 $= \text{dist}(a, b) + \text{dist}(b, c)$ . ■

# Geometric meaning of the triangle inequality

## Corollary (Triangle inequality – Cor. 3.6, p. 18)

For  $a, b, c \in F$ ,  $\text{dist}(a, c) \leq \text{dist}(a, b) + \text{dist}(b, c)$ .

In a triangle, the length of one side cannot exceed the total length of the other two.



**Proof:**  $|a + b| \leq |a| + |b|$ .  $a \leq |a|$  and  $b \leq |b|$ , so  $a + b \leq |a| + |b|$ .

Similarly,  $(-a) + (-b) \leq |-a| + |-b| = |a| + |b|$ .

But  $(-a) + (-b) = -(a + b)$ , hence  $-(a + b) \leq |a| + |b|$ .

$|a + b|$  equals either  $a + b$  or  $-(a + b)$ , hence  $|a + b| \leq |a| + |b|$ . ■

## Section 4: Upper bound, maximum, etc.

### Definition

Suppose  $S \subset \mathbb{R}$ ,  $S \neq \emptyset$ .

- $x$  is an **upper bound** for  $S$  if  $x \geq s \ \forall s \in S$ .
- $S$  is **bounded above** if it has an upper bound.
- $x$  is the **maximum** of  $S$  ( **$\max S$** ) if  $x$  is an upper bound, and  $x \in S$ .
- Lower bound, minimum are defined similarly.
- $S$  is **bounded** if it is bounded both above and below.

**Observation.**  $\max S$  is **unique**. If  $x, y \in S$  are upper bounds for  $S$ , then  $x = y$ . Indeed, then  $x \leq y$ , and  $y \leq x$ , so  $x = y$ .

**Examples. 1.**  $A = \{1, 3, 5, 7, 11\}$ .  $\max A = 11$ .  $x$  is an upper bound for  $A$  iff  $x \geq 11$ .  $\min A = 1$ .  $x$  is a lower bound for  $A$  iff  $x \leq 1$ .

**Every finite set has max and min.** For infinite sets, things are different.

**2.**  $B = (-\infty, 0)$  is not bounded below.  $x$  is an upper bound for  $B$  iff  $x \geq 0$ .  $B$  has no maximum. 0 is the least (smallest) upper bound.

## Completeness axiom (p. 23)

### Axiom (Completeness axiom)

*If  $S \subset \mathbb{R}$  is non-empty and bounded above, then it has (unique) **least upper bound**, or **supremum**, denoted by  **$\sup S$** .*

We are not constructing  $\mathbb{R}$  in this course; rather, we are describing properties of  $\mathbb{R}$ .

**Remark.** The supremum is **unique**. Indeed, aiming at a contradiction, suppose  $x, y$  are different least upper bounds for  $S$ .  $\leq$  determines a linear order on  $\mathbb{R}$  (any two elements are comparable), hence either  $x < y$ , or  $y < x$ . If  $x < y$ , then  $y$  is not a least upper bound. Similarly, if  $y < x$ , then  $x$  is not a least upper bound. Either way, a contradiction! ■

**Notation (Section 5).** If  $S \neq \emptyset$  is not bounded above, we let  **$\sup S = \infty$** .



# Completeness makes real numbers special

There are many ordered fields,  $\mathbb{R}$ ,  $\mathbb{Q}$ , ...

Completeness **fails** for  $\mathbb{Q}$ . Let  $S = \{r \in \mathbb{Q} : r > 0, r^2 < 2\}$  (in other words,  $S = \{r \in \mathbb{Q} : 0 < r < \sqrt{2}\}$ ). Then no  $u \in \mathbb{Q}$  can be the supremum of  $S$ .

- If  $u < \sqrt{2}$ , then (by denseness of rationals, to be discussed)  
 $\exists v \in (u, \sqrt{2}) \cap \mathbb{Q}$ , so  $v \in S$ ; so  $u$  is not an upper bound.
- If  $u > \sqrt{2}$ , then (by denseness of rationals again)  $\exists v \in (\sqrt{2}, u) \cap \mathbb{Q}$ ,  
so  $v$  is an upper bound on  $S$ ; so  $u$  is not the least upper bound.

## Theorem (Characterization of reals)

*The set of reals is the unique complete ordered field.*

# Consequences of completeness axiom

## Proposition (Definition 4.3 + Corollary 4.5)

If  $S \subset \mathbb{R}$  is non-empty and bounded below, then it has (unique) **greatest lower bound**, or **infimum**, denoted by  $\inf S$ .

**Notation.** If  $S \neq \emptyset$  is not bounded below, we let  $\inf S = -\infty$ .

## Proposition (Archimedean Property – p 25)

If  $a, b \in \mathbb{R}$ ,  $a > 0$ ,  $b > 0$ , then  $\exists n \in \mathbb{N}$  s.t.  $na > b$ .

## Corollary

For  $x > 0$ ,  $\exists m, k \in \mathbb{N}$  s.t.  $\frac{1}{k} < x < m$ .

**Proof.** (1) Use Archimedean Property with  $a = x$ ,  $b = 1$ . Find  $k \in \mathbb{N}$  s.t.  $kx > 1 \Leftrightarrow x > \frac{1}{k}$ .

(2) Use Archimedean Property with  $a = 1$ ,  $b = x$ . Find  $m \in \mathbb{N}$  s.t.  $m > x$ .

■

# Proof of Archimedean Property

## Lemma

$\mathbb{N}$  is not bounded above.

**Proof.** Suppose, for the sake of contradiction, that  $\mathbb{N}$  is bounded above. Let  $x = \sup \mathbb{N}$ .  $x - 1$  is not an upper bound for  $\mathbb{N}$ , hence  $\exists n \in \mathbb{N}$  with  $n > x - 1$ . Then  $x < n + 1 \in \mathbb{N}$ , contradiction! ■

**Proof of Archimedean Property.** To arrive at a contradiction, suppose Archimedean Property fails: there exist  $a, b > 0$  so that  $na \leq b \forall n \in \mathbb{N}$ . Then  $n \leq a^{-1}b \forall n \in \mathbb{N}$ . IOW  $a^{-1}b$  is an upper bound for  $\mathbb{N}$ , which does not exist! ■

# Denseness of $\mathbb{Q}$ (Theorem 4.7)

## Theorem (Denseness of $\mathbb{Q}$ )

$\mathbb{Q}$  is dense in  $\mathbb{R}$  – in other words, if  $a, b \in \mathbb{R}$ ,  $a < b$ , then  $\exists r \in \mathbb{Q} \cap (a, b)$ .

## Remark

In fact,  $(a, b)$  contains **infinitely many** rational points. Indeed, find  $r_1 \in (a, b) \cap \mathbb{Q}$ . Find an interval  $(a_1, b_1) \subset (a, b) \setminus \{r_1\}$ . Find  $r_2 \in (a_1, b_1) \cap \mathbb{Q}$ , and an interval  $(a_2, b_2) \subset (a_1, b_1) \setminus \{r_2\}$ . Proceeding further in the same manner, we find distinct rational numbers  $r_1, r_2, \dots \in (a, b)$ .

# Denseness of $\mathbb{Q}$ (Theorem 4.7)

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**Lemma.** If  $x, y \in \mathbb{R}$ ,  $y - x > 1$ , then  $(x, y) \cap \mathbb{Z} \neq \emptyset$ .

**Proof of Lemma.** Need to find  $m \in \mathbb{Z}$ ,  $x < m < y$ . Use Archimedean property to pick  $k \in \mathbb{N}$  with  $k > |x| + |y|$ .

Then  $S = \{n \in \mathbb{Z} : |n| \leq k, n > x\}$  is a non-empty (since  $k \in S$ ) finite set, hence it has a *minimum*  $m$ . This  $m$  works for us. Indeed,  $m > x$ . Want:  $m < y$ . If  $m \geq y$ , then  $k \geq m > m - 1 \geq y - 1 > x$ , so  $m - 1 \in S$ , which contradicts the minimality of  $m$ . ■

**Proof of denseness of  $\mathbb{Q}$ .** Need to show that, if  $a, b \in \mathbb{R}$ ,  $a < b$ , then  $(a, b) \cap \mathbb{Q} \neq \emptyset$ .

Use Archimedean Property to find  $n \in \mathbb{N}$  s.t.  $n(b - a) > 1$ .

Then  $nb - na > 1$ , hence, by Lemma,  $\exists m \in \mathbb{Z} \cap (na, nb)$ .

Conclude:  $\frac{m}{n} \in (a, b)$ . ■