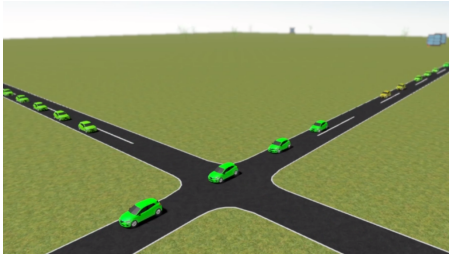


# Scalable Coordination of Autonomous Vehicles in Networks

Jeroen van Riel

January 2025

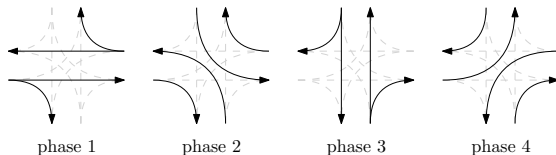
# Autonomous vehicles



- ▶ Coordination of autonomous vehicles
  - ▶ Better guarantees on safety
  - ▶ Potentially reduce economic costs
  - ▶ No need for traffic lights

# Related literature

- ▶ Traffic light control with deep reinforcement learning
  - ▶ Policy to control *phase* of signal

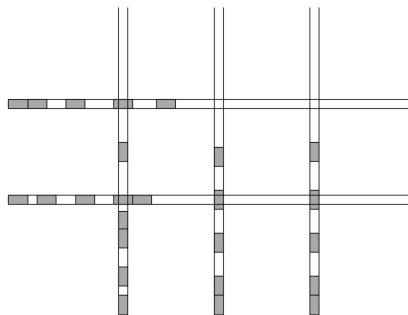


- ▶ Based on microsimulation (e.g., SUMO, VISSIM)
- ▶ Multi-agent perspective: each intersection has some degree of autonomy

# Related literature

- ▶ No traffic lights (*autonomous intersections*)
  - ▶ Autonomous (rather “automated”) vehicles
  - ▶ Coordination for
    - ▶ Collision avoidance
    - ▶ Efficiency
  - ▶ Locus of control: central  $\leftrightarrow$  distributed
  - ▶ Central control  $\implies$  optimal control problem

# Networks of autonomous intersections



- ▶ Fixed routes
- ▶ Double integrator vehicle dynamics
- ▶ Collision-free trajectories

# Problem formulation

- ▶ Algorithmic challenges

1. Safety with respect to collisions
2. Scalability to large urban networks
3. Learn from interaction with the system

- ▶ Research questions

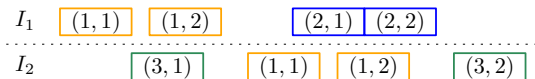
1. Formulate as job-shop scheduling
2. Apply Deep Reinforcement Learning (DRL)

## Research approach

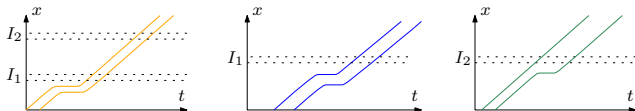
- ▶ Decomposition
- ▶ Vehicle scheduling problem
- ▶ DRL heuristic

# Decomposition

- ▶ Upper-level vehicle scheduling problem
  - ▶ Mixed-Integer Linear Programming (MILP)

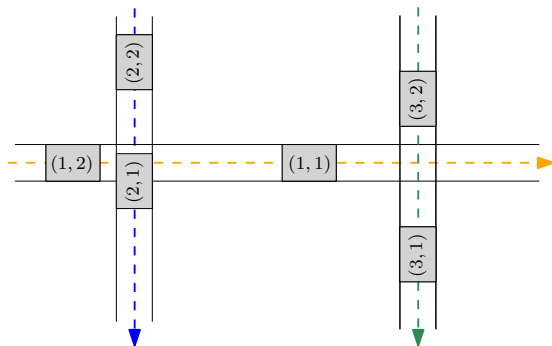


- ▶ Lower-level trajectory optimization problem
  - ▶ Direct transcription  $\rightarrow$  linear programming



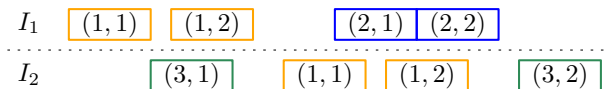


# Vehicle scheduling problem

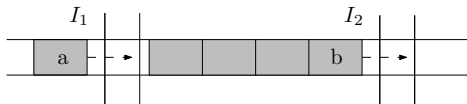


- ▶ Order vehicles at intersections
- ▶ Intersection occupied by at most one vehicle

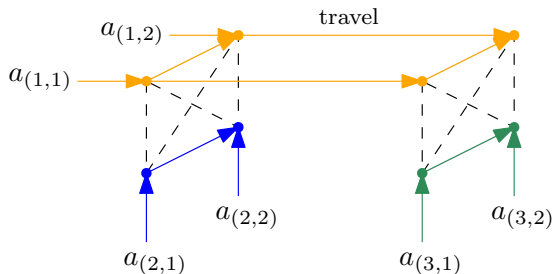
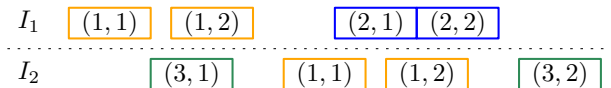
# Vehicle scheduling problem



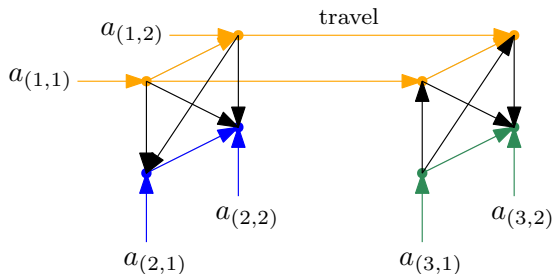
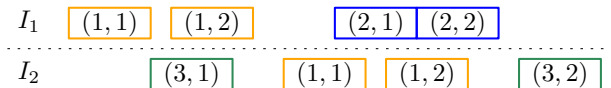
- ▶ In which order should vehicles cross intersections?
- ▶ Travel constraints model minimum travel time
- ▶ Buffer constraints to prevent overcrowding at lanes



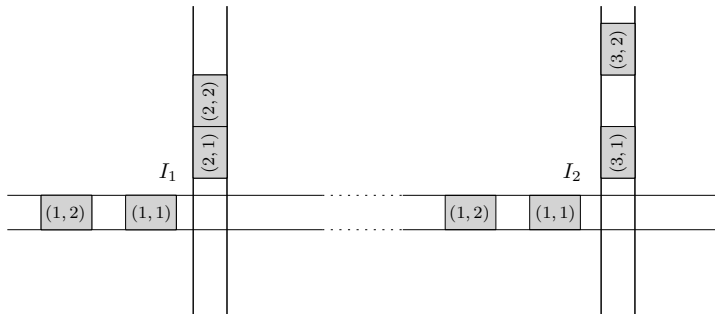
# Vehicle scheduling problem



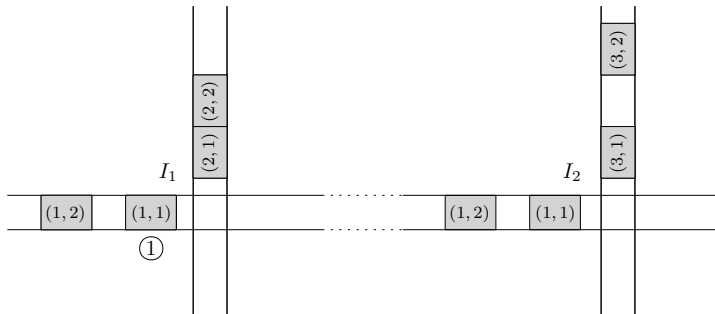
# Vehicle scheduling problem



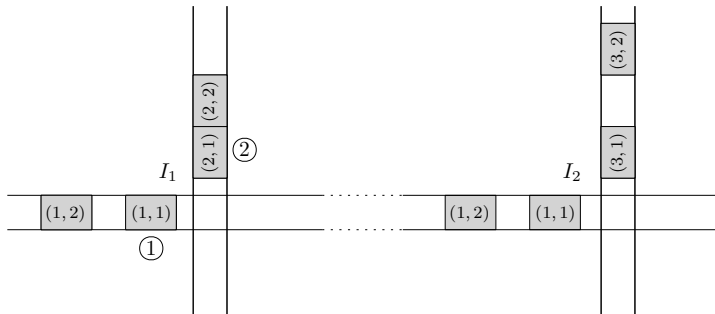
# DRL heuristic



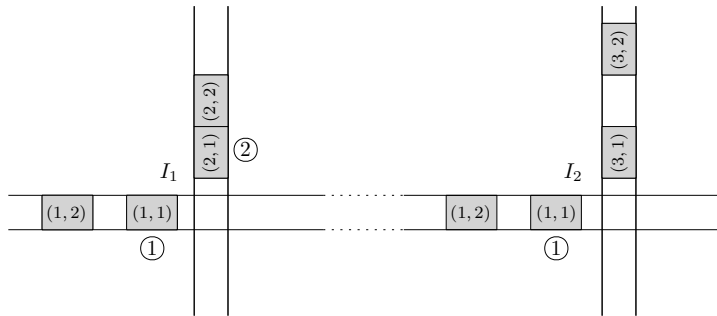
# DRL heuristic



# DRL heuristic

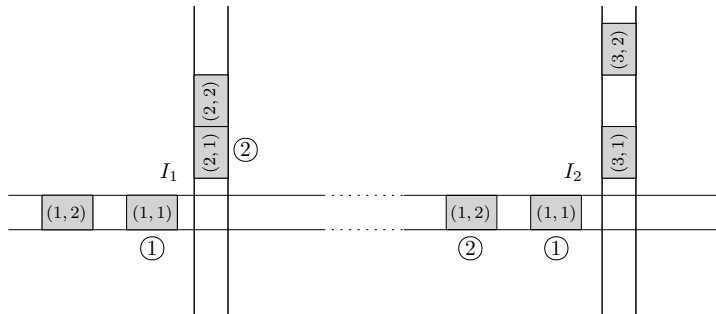


# DRL heuristic





# DRL heuristic



# DRL heuristic

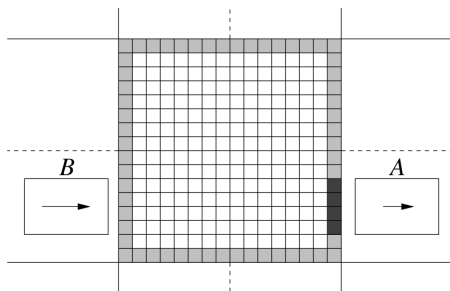
- ▶ Step-by-step construction of crossing order
- ▶ Partial solutions encoded as disjunctive graph augmented with lower bounds on crossing times
- ▶ Parameterize ordering policy based on graph neural network embedding of augmented disjunctive graph
- ▶ Imitation learning from MILP solutions
- ▶ Reinforcement learning from vehicle delay reward signal

## Appendix: Related literature

- ▶ Autonomous intersections
- ▶ Neural combinatorial optimization

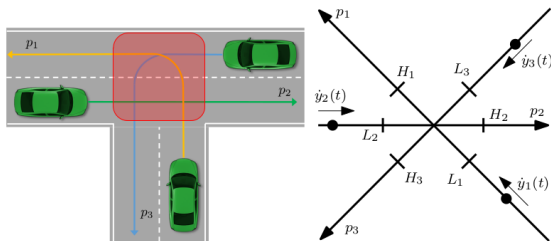
# Autonomous intersections

- ▶ “Autonomous Intersection Control” (Dresner & Stone)
  - ▶ Single intersection
  - ▶ Time slot reservation-based protocol
  - ▶ Central intersection manager



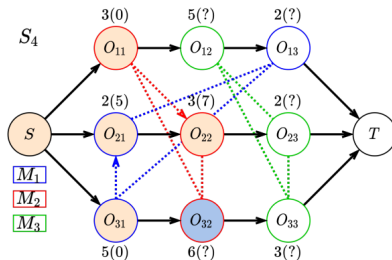
# Autonomous intersections

- ▶ “Approximate Optimal Coordination” (Hult et al.)
  - ▶ Single intersection
  - ▶ Single vehicle per lane
  - ▶ Explicit collision-avoidance constraints

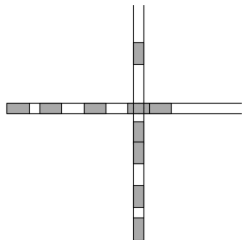


# Neural combinatorial optimization

- ▶ “Learn to dispatch” (Zhang et al.)
  - ▶ Job-shop scheduling problem
  - ▶ Dispatch next operation
  - ▶ Policy using Graph Isomorphism Network (GIN)



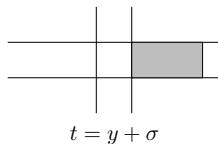
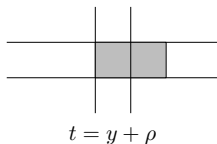
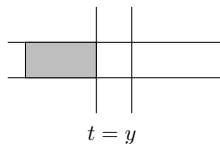
## Appendix: Single intersection



- ▶ Notation
- ▶ Upper-level crossing time scheduling
- ▶ Lower bound on starting times
- ▶ Imitation learning with neural policy
- ▶ Lower-level trajectory optimization

# Notation

- ▶ vehicle indices  $\mathcal{N}$
- ▶  $y(i)$  is crossing time of vehicle  $i$
- ▶  $r_i$  earliest crossing time of vehicle  $i$



- ▶  $i$  and  $j$  same lane:  $y(i) + \rho \leq y(j)$
- ▶  $i$  and  $j$  distinct lanes:  $y(i) + \sigma \leq y(j)$  or  $y(j) + \sigma \leq y(i)$



# Upper-level crossing time scheduling

- ▶ conjunctive constraints  $\mathcal{C}$
- ▶ disjunctive (conflict) constraints  $\mathcal{D}$

$$\min_y \quad \sum_{i \in \mathcal{N}} y(i)$$

$$\begin{aligned} \text{s.t.} \quad & r_i \leq y(i), && \text{for all } i \in \mathcal{N}, \\ & y(i) + \rho \leq y(j), && \text{for all } (i, j) \in \mathcal{C}, \\ & y(i) + \sigma \leq y(j) \text{ or } y(j) + \sigma \leq y(i), && \text{for all } (i, j) \in \mathcal{D} \end{aligned}$$

# Upper-level crossing time scheduling

- ▶ Formulate as mixed-integer linear program (MILP)
- ▶ Introduce binary decision variables  $\gamma_{ij}$
- ▶ Use big-M technique

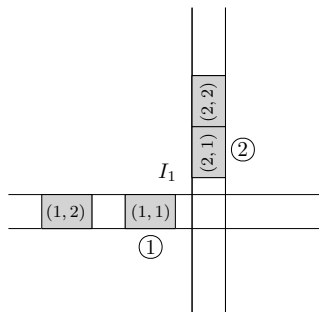
$$\begin{array}{ll}\min_y & \sum_{i \in \mathcal{N}} y_i \\ \text{s.t.} & r_i \leq y_i, \quad \text{for all } i \in \mathcal{N}, \\ & y_i + \rho_i \leq y_j, \quad \text{for all } (i, j) \in \mathcal{C}, \\ & y_i + \sigma_i \leq y_j + \gamma_{ij}M, \quad \text{for all } (i, j) \in \mathcal{D}, \\ & y_j + \sigma_j \leq y_i + (1 - \gamma_{ij})M, \quad \text{for all } (i, j) \in \mathcal{D}, \\ & \gamma_{ij} \in \{0, 1\}, \quad \text{for all } (i, j) \in \mathcal{D}\end{array}$$

## Lower bounds on starting times

- ▶ Disjunctive graph given current order  $\pi$
- ▶ Nodes are vehicle indices  $\mathcal{N}$
- ▶ Edges  $i \xrightarrow{w(i,j)} j$ 
  - ▶ Conjunctive edges  $i \xrightarrow{\rho} j$
  - ▶ Disjunctive edges  $i \xrightarrow{\sigma} j$  or  $j \xrightarrow{\sigma} i$
- ▶ Lower bounds  $\text{LB}_{\pi}$  on starting times given current order  $\pi$

$$\text{LB}_{\pi}(j) = \max\{r_j, \text{LB}_{\pi}(i) + w(i,j)\}$$

# Imitation learning with neural policy



- ▶ crossing order  $\pi = ((1,1), (2,1))$  of vehicles
- ▶ step-by-step construction of this order
  - ▶ 1. choose  $(1,1)$
  - ▶ 2. choose  $(2,1)$
  - ▶ 3. ...

# Imitation learning with neural policy

- ▶ get optimal trajectories from MILP solver
- ▶ parameterize policy based on  $LB_{\pi}$ 
  - ▶ only consider  $LB_{\pi}(j)$  for unscheduled  $j$
  - ▶ recurrent embedding of  $LB_{\pi}(j)$  per lane
  - ▶ alternatively, use zero padding
- ▶ fit policy parameters to expert transitions

# Lower-level trajectory optimization

- ▶ position  $x$ , velocity  $v$ , control input  $u$
- ▶ position of vehicle in front  $x'$ , follow distance  $L$
- ▶ position of intersection  $B$ , crossing time  $\tau$

$$\begin{aligned} \arg \min_{x:[0,\tau] \rightarrow \mathbb{R}} & \int_0^\tau |x(t)| dt \\ \text{s.t. } & \ddot{x}(t) = u(t), & \text{for all } t \in [0, \tau], \\ & |u(t)| \leq a_{\max}, & \text{for all } t \in [0, \tau], \\ & 0 \leq \dot{x}(t) \leq v_{\max}, & \text{for all } t \in [0, \tau], \\ & x'(t) - x(t) \geq L, & \text{for all } t \in [0, \tau], \\ & (x(0), \dot{x}(0)) = s_0, \\ & (x(\tau), \dot{x}(\tau)) = (B, v_{\max}) \end{aligned}$$