

## Vehicle dynamics

In control theory, it is common to model motion dynamics of a system in terms of a state vector  $x(t) \in \mathbb{R}^n$  and a control input vector  $u(t) \in \mathbb{R}^m$ , which result in a scalar position  $y(t)$  via the equations

$$\dot{x}(t) = Ax(t) + Bu(t), \quad (1a)$$

$$y(t) = Cx(t). \quad (1b)$$

Furthermore, it is common to restrict the state and control trajectories by imposing linear constraints

$$Gx(t) \leq b, \quad (2a)$$

$$Fu(t) \leq d. \quad (2b)$$

In the discussion that follows, each vehicle is modeled as a *double integrator*, with  $x(t) = (p(t), v(t))$ , where  $p(t)$  and  $v(t)$  are the scalar position along a predefined path and corresponding velocity, respectively. The three matrices are chosen such that

$$\dot{x}(t) = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} x(t) + \begin{pmatrix} 0 \\ 1 \end{pmatrix} u(t), \quad (3a)$$

$$y(t) = \begin{pmatrix} 1 & 0 \end{pmatrix} x(t), \quad (3b)$$

which may simply be rewritten as

$$\dot{p}(t) = v(t), \quad \dot{v}(t) = u(t), \quad y(t) = p(t), \quad (4)$$

where we recognize that the control input  $u(t)$  corresponds directly to the acceleration of the vehicle. Furthermore, the constraints (2) are chosen such that the acceleration is bound from above and below, so

$$\underline{u} \leq u(t) \leq \bar{u}. \quad (5)$$

For technical reasons, it is assumed the system is *strongly output monotone*, defined as

$$\dot{y}(t) \geq \epsilon, \quad (6)$$

for some  $\epsilon > 0$ , which means that a vehicle cannot stop or reverse, but can move at an arbitrarily low speed.

## Intersection model

Consider an intersection with  $L$  lanes. We define the index set

$$\mathcal{I} = \{(l, k) : k \in \{1, \dots, n_l\}, l \in \{1, \dots, L\}\}, \quad (7)$$

where  $n_l$  denotes the number of vehicles of lane  $l$ . To further help with notation, given vehicle index  $i = (r, s) \in \mathcal{I}$ , we define  $l(i) = r$  and  $k(i) = s$ .

We assume that the position  $p_i(t)$  of some vehicle  $i \in \mathcal{I}$  corresponds to the physical front of the vehicle. In order to model collision avoidance, we say that a vehicle *occupies the intersection* whenever  $p_i(t) \in [L_i, H_i] = \mathcal{E}_i$ . The collision avoidance constraints are then given by

$$(p_i(t), p_j(t)) \notin \mathcal{E}_i \times \mathcal{E}_j, \quad (8)$$

for all  $t$  and for all pairs of indices  $i, j \in \mathcal{I}$  with  $l(i) \neq l(j)$ , which we collect in the set  $\mathcal{D}$ . Furthermore, in order to model a safe distance between vehicles on the same lane, we require that

$$p_i(t) - p_j(t) \geq P, \quad (9)$$

for all  $t$  and all pairs of indices  $i, j \in \mathcal{I}$  such that  $l(i) = l(j)$ ,  $k(i) + 1 = k(j)$ , which we collect in  $\mathcal{C}$ . Let  $D_i(x_{i,0})$  denote the set of feasible trajectories  $x_i(t) = (p_i(t), v_i(t), u_i(t))$  given some initial state  $x_{i,0}$  and satisfying the vehicle dynamics given by equations (4), (5) and (6). Given some performance criterion

$$J(x_i) = \int_0^{t_f} \Lambda(x_i(t)) dt, \quad (10)$$

where  $t_f$  denotes the final time, the coordination problem is formulated as

$$\min_{\mathbf{x}(t)} \sum_{i \in \mathcal{I}} J(x_i) \quad (11a)$$

$$\text{s.t. } x_i \in D_i(x_{i,0}), \quad \text{for all } i \in \mathcal{I}, \quad (11b)$$

$$(p_i(t), p_j(t)) \notin \mathcal{E}_i \times \mathcal{E}_j, \quad \text{for all } (i, j) \in \mathcal{D}, \quad (11c)$$

$$p_i(t) - p_j(t) \geq P, \quad \text{for all } (i, j) \in \mathcal{C}, \quad (11d)$$

where  $\mathbf{x}(t) = [x_i(t) : i \in \mathcal{I}]$ .

## Exact solution

We discretize problem (11) on a uniform time grid. Let  $K$  denote the number of discrete time steps and let  $\Delta t$  denote the time step size. We use the forward Euler integration scheme as follows

$$p_i(t + \Delta t) = p_i(t) + v_i(t)\Delta t, \quad (12a)$$

$$v_i(t + \Delta t) = v_i(t) + u_i(t)\Delta t. \quad (12b)$$

The disjunctive constraints are formulated using the big-M technique by the constraints

$$p_i(t) \leq L + \delta_i(t)M, \quad (13a)$$

$$H - \gamma_i(t)M \leq p_i(t), \quad (13b)$$

$$\delta_i(t) + \delta_j(t) + \gamma_i(t) + \gamma_j(t) \leq 3, \quad (13c)$$

where  $\delta_i(t), \gamma_i(t) \in \{0, 1\}$  for all  $i \in \mathcal{I}$  and  $M$  is a sufficiently large number. Finally, the follow constraints can simply be enforced at each time step for each pair of consecutive vehicles in  $\mathcal{C}$ .

## Decomposition

The *entry* and *exit* times of vehicle  $i$  are given, respectively, by

$$\tau_i = t : p_i(t) = L_i, \quad \xi_i = t : p_i(t) = H_i. \quad (14)$$

Define the optimization problem

$$F_i(\tau_i, \xi_i) = \min_{x_i(t)} J(x_i) \quad (15a)$$

$$\text{s.t. } x_i \in D_i(x_{i,0}), \quad (15b)$$

$$p_i(\tau_i) = L, \quad (15c)$$

$$p_i(\xi_i) = H. \quad (15d)$$

Given some vehicle  $i = (l, k)$ , define

$$\mathcal{N}(i, n) = \{j \in \mathcal{I} : l(j) = l(i), k(j) \in \{k(i), \dots, k(i) + n - 1\}\}, \quad (16)$$

to which refer to as the *lane successors* of vehicle  $i$ . Now we generalize problem (15a) by defining

$$F(i, \tau, \xi, n) = \min_{x_j(t): j \in \mathcal{N}(i, n)} \sum_{j \in \mathcal{N}(i, n)} J(x_j) \quad (17a)$$

$$\text{s.t. } x_j \in D_j(x_{j,0}), \text{ for all } j \in \mathcal{N}(i, n), \quad (17b)$$

$$p_i(\tau) = L, \quad (17c)$$

$$p_j(\xi) = H, \text{ for } j = (l(i), k(i) + n - 1), \quad (17d)$$

$$p_a(t) - p_b(t) \geq P, \text{ for all } (a, b) \in \mathcal{N}(i, n)^2 \cap \mathcal{C}, \quad (17e)$$

such that  $F_i(\tau_i, \xi_i) = F(i, \tau_i, \xi_i, 1)$ .

The idea is to generalize scheduling of single vehicle time slots to scheduling of time slots for platoons of consecutive vehicles.