

# ON THE DEHN FUNCTIONS OF CENTRAL PRODUCTS OF NILPOTENT GROUPS

JERÓNIMO GARCÍA-MEJÍA, CLAUDIO LLOSA ISENRIK, AND GABRIEL PALLIER

**ABSTRACT.** We determine the Dehn functions of central products of two families of filiform nilpotent Lie groups of arbitrary dimension with all simply connected nilpotent Lie groups with cyclic centre and strictly lower nilpotency class. We also determine the Dehn functions of all central products of nilpotent Lie groups of dimension at most 5 with one-dimensional centre. This confirms a conjecture of Llosa Isenrich, Pallier and Tessera for these cases, providing further evidence that the Dehn functions of central products are often strictly lower than those of the factors. Our work generalises previous results of Llosa Isenrich, Pallier and Tessera and produces an uncountable family of nilpotent Lie groups without lattices whose Dehn function is strictly lower than the one of the associated Carnot-graded group. A consequence of our main result is the existence of an infinite family of groups such that Cornuier's bounds on the  $\epsilon$  for which there is an  $O(r^\epsilon)$ -bilipschitz equivalence between them and their Carnot-graded groups are asymptotically optimal, as the nilpotency class goes to infinity.

## 1. INTRODUCTION

The Dehn function is a natural and powerful quasiisometry invariant of compactly presented groups, which has attracted much interest in Geometric Group Theory over the last decades.<sup>1</sup> For a given compactly presented group  $G$ , its Dehn function  $\delta_G$  provides a quantitative measure for the complexity of its word problem. Dehn functions can also be interpreted geometrically as optimal isoperimetric functions for loops in simply connected spaces on which the group acts geometrically.

An important class of compactly presented groups in Geometric Group Theory are finitely generated nilpotent groups. This can at least be partially motivated by Gromov's Polynomial Growth Theorem, which says that (up to finite index) finitely generated nilpotent groups are precisely the finitely generated groups of polynomial growth, making them a natural class of groups to study from a geometric point of view. While a lot of work has been done in this area and many interesting results have been proved, maybe somewhat surprisingly basic questions about their geometry remain open. In particular, this is the case for their conjectural quasiisometry classification, which asserts that two simply connected nilpotent Lie groups are quasiisometric if and only if they are isomorphic [Cor18, Conjecture 19.114]. In view of Pansu's theorems it reduces to classifying nilpotent groups with bilipschitz homeomorphic asymptotic cones up to quasiisometry [Pan83, Pan89]. One reason that further progress has been very limited is that we still only know very few quasiisometry invariants of nilpotent groups that distinguish between groups with bilipschitz homeomorphic asymptotic cones. In [LIPT23] Llosa Isenrich, Pallier and Tessera proved that Dehn functions provide such an invariant by producing a family of  $k$ -nilpotent pairs

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<sup>1</sup>Strictly speaking Dehn functions are only quasiisometry invariants up to asymptotic equivalence. We make this precise later.

$(G_k, G'_k)$  for every  $k \geq 3$  such that  $\delta_{G_k}(n) \asymp n^k \prec n^{k+1} \asymp \delta_{G'_k}(n)$ . Besides Dehn functions there are very few other invariants that can distinguish between nilpotent groups with the same asymptotic cones, namely the real cohomology algebra [Sha04, Sau06, GK21].

The result of the second and third authors with Tessera showed that Dehn functions are of interest in the context of the quasiisometry classification of nilpotent groups. It also highlighted that despite extensive work and many fundamental results, we are still far from understanding them completely. By work of Gersten–Holt–Riley [GHR03] we know that the Dehn function of a finitely generated  $k$ -nilpotent group is at most  $n^{k+1}$ . It is also known that Dehn functions can take any polynomial value with integral exponent [BMS93, BP94] and that they can even be non-polynomial [Wen11]. This brief overview is far from exhaustive, rather than trying to give a full survey, we now proceed to highlight some open problems that this work addresses.

The focus of this work is on Dehn functions of central products. Let  $K$  and  $L$  be groups, and let  $\theta : Z(K) \rightarrow Z(L)$  be an isomorphism between their centres. The *central product* of  $K$  and  $L$  is  $K \times_\theta L = (K \times L)/R$ , where

$$R = \{(g, h) \in Z(K) \times Z(L) : h = \theta(g)\}.$$

When there is no ambiguity on  $\theta$  we simply write  $G = K \times_Z L$ . Central products can be taken in the category of topological groups, Lie groups and real Lie algebras.

For central products of nilpotent groups, there is at present growing evidence that the Dehn function is often smaller than the Gersten–Holt–Riley upper bound [All98, OS99, You13, LIPT23]. The first result in this direction was due to Allcock [All98] and Olshanskii–Sapir [OS99] who proved that the 5-Heisenberg group, which is the central product of two 3-Heisenberg groups (which have cubic Dehn function) has quadratic Dehn function. In Olshanskii–Sapir’s proof the decomposition as a central product played a key role and indeed they showed more generally.

**Theorem 1.1** (Allcock [All98], Olshanskii–Sapir [OS99], Young [You13]<sup>2</sup>). *The Dehn function of the  $(2m+1)$ -Heisenberg group is  $n^2$  if  $m \geq 2$ . If, more generally,  $G = K \times_Z K$  is a central product of a non-trivial finitely generated torsion-free 2-nilpotent group  $K$  with itself, then  $\delta_G(n) \asymp n^2 \log(n)$ .*

The main result proved by Llosa Isenrich, Pallier and Tessera in [LIPT23] is a version of Theorem 1.1 for a family of groups of higher nilpotency class. As a consequence they proposed the following version of Theorem 1.1 for groups of higher nilpotency class.

**Conjecture 1.2** ([LIPT23, Conjecture 11.3]). *Consider a central product*

$$G = K \times_Z L$$

*where  $K$  and  $L$  are simply connected nilpotent Lie groups with one-dimensional centres, and class  $k$  and  $\ell$  respectively, where  $2 \leq \ell \leq k$ . Then  $n^k \asymp \delta_G(n) \prec n^{k+1}$ .*

In this work we provide evidence for this conjecture, by proving it for large classes of groups. In particular, we prove it for certain  $L$  and all  $K$  provided that the nilpotency class  $k$  of  $K$  is lower than the nilpotency class  $\ell$  of  $L$ , and for all central products with both factors of dimension at most five.

In order to give precise statements of our main results, we recall that a simply connected nilpotent Lie group is called *filiform of class  $k$*  if it is of minimal possible dimension, namely  $k+1$ , among all nilpotent groups of class  $k$ . One can easily prove that the Lie algebra of such a group is spanned by a particular basis, called an adapted basis,  $X_1, \dots, X_{k+1}$ , such that  $[X_1, X_i] = X_{i+1}$  for  $2 \leq i \leq k$ , with possibly other brackets being nonzero. If the Lie algebra has an adapted basis where the brackets above are the only nonzero brackets, we

<sup>2</sup>The statement about Heisenberg groups is due to Allcock and Olshanskii–Sapir. The second part was stated without proof by Olshanskii–Sapir in [OS99] and a proof was given by Young [You13].

say that the group is *model filiform*, and denote it  $L_{k+1}$ . When  $k \geq 4$ , if the only nonzero brackets are the ones above and  $[X_2, X_3] = X_{k+1}$ , we denote the group  $L_{k+1}^\perp$ . Note that the group  $L_3$  is the 3-Heisenberg group, making our results below natural generalisations of Theorem 1.1 and of [LIPT23, Theorem A].

**Theorem I** (One filiform factor of highest nilpotency class). *Let  $k > \ell \geq 2$  be integers. Let  $K$  be either the group  $L_{k+1}$  or  $L_{k+1}^\perp$ .<sup>3</sup> Let  $L$  be a simply connected nilpotent Lie group with one-dimensional centre of nilpotency class  $\ell$ . Let  $G = K \times_Z L$ . Then  $\delta_G(n) \asymp n^k$ .*

Theorem I shares a large part of its proof with the following Theorem II, which is more general in that it allows equality of the nilpotency classes, but less general in that it makes some assumptions on both factors of the central product.

**Theorem II** (Two filiform factors). *Let  $k \geq \ell \geq 2$  be integers. Let  $K$  be either the group  $L_{k+1}$  or  $L_{k+1}^\perp$ . Let  $L$  be either the group  $L_{\ell+1}$  or  $L_{\ell+1}^\perp$ . Let  $G = K \times_Z L$ . Then  $\delta_G(n) \asymp n^k$ .*

For a comparison, [LIPT23, Theorem A] is the special case of Theorem II where  $k-1 \leq \ell \leq k$  and both groups  $K$  and  $L$  are assumed to be model filiform; the case  $k = \ell = 2$  follows from Allcock and Olshanskii-Sapir's theorems. The fact that we obtain  $n^k$  as the Dehn function also answers the question raised in [LIPT23, Remark 8.13] if the Dehn functions of the central products considered in Theorem II could have non-integer exponents for certain combinations of  $k$  and  $\ell$ . We emphasize that this only removes these groups as candidates for such examples, leaving open the general question if there exists a nilpotent group whose Dehn function is polynomial (or non-polynomial) with non-integer exponent (in the polynomial part).

**Example 1.3** (See Figure 1). The 7-dimensional central products  $L_5 \times_Z L_3$  and  $L_5^\perp \times_Z L_3$ , whose Lie algebras are the only real forms of the Lie algebras denoted  $\mathcal{G}_{7,3.17}$  and  $\mathcal{G}_{7,2.30}$  respectively in [Mag08], have a Dehn function of growth type  $n^4$  as a consequence of Theorem II. This does not follow from [LIPT23], since  $k - \ell > 1$  in these cases, and  $L_5^\perp$  is not model filiform.

Although Theorems I and II support Conjecture 1.2, they do not provide a complete picture in low dimensions. We are able to treat low-dimensional groups separately, with tailored methods, which turn out to be often more elementary than those employed for these two Theorems. Namely, we prove the following.

**Theorem III** (Low dimensional case). *Let  $k \geq \ell \geq 2$  be integers and let  $K$  and  $L$  be simply connected nilpotent Lie groups with one-dimensional centres, and class  $k$  and  $\ell$  respectively. Assume that  $\max\{\dim K, \dim L\} \leq 5$ . Let  $G = K \times_Z L$ . Then  $\delta_G(n) \asymp n^k$ .*

As a consequence of Theorems I, II and III, we significantly extend the class of pairs of groups with bilipschitz homeomorphic asymptotic cones and different Dehn functions. Since the Dehn function is a quasiisometry invariant we distinguish such groups from each other up to quasiisometry.

**Corollary IV.** *Let  $K$  and  $L$  be simply connected nilpotent Lie groups of classes  $k$  and  $\ell$  with  $k > \ell$  satisfying the assumption in Theorem I, II or III. Then the group  $G = K \times_Z L$  is not quasiisometric to its asymptotic cone.*

In Appendix A we explain how one can also deduce Corollary IV in special cases from Shalom's Theorem [Sha04]. We are not aware of a way to derive the general case of Corollary IV from [Sha04, Sau06, GK21] and it would be interesting to know if such a

<sup>3</sup>The group  $L_{k+1}^\perp$  is only defined for  $k \geq 4$ . So for  $k = 3$  (resp.  $\ell \leq 3$ ) the only allowed choice for  $K$  (resp.  $K$  or  $L$ ) in Theorem I (resp. Theorem II) is  $L_4$  (resp.  $L_3$  or  $L_4$ ).

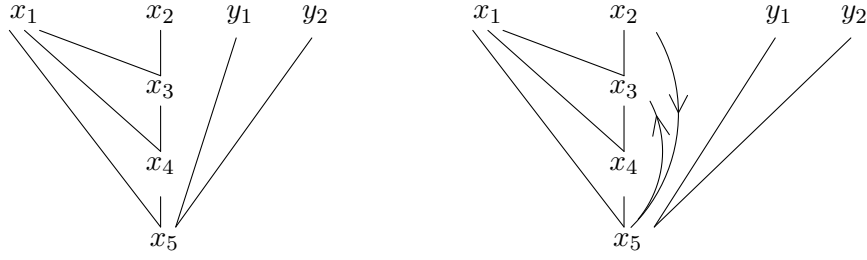


FIGURE 1. The groups in Example 1.3 have lattices whose presentation we may draw using diagrams where the letters represent the generators and there is an oriented curve with one corner that goes from  $x$  to  $z$  and then to  $y$  whenever  $[x, y] = z$ . When the broken curve can be read from left to right we omit the orientation.

proof exists. We note that for some groups covered by Corollary IV, the first, second and (in one case) the third Betti numbers are the same as the ones of the groups underlying the asymptotic cones, and thus if one uses [Sha04] or [GK21] to reach the same conclusion, one needs to consider third or fourth Betti numbers (See Table 2).

One can quantify the failure of existence of a quasiisometry in Corollary IV using *sublinear bilipschitz equivalences*. Recall that two metric spaces  $X$  and  $Y$  are called  $O(r^e)$ -*bilipschitz equivalent*, for  $e \in [0, 1)$ , if there exist  $L > 1$ ,  $c \geq 0$ , a pair of maps ( $f: X \rightarrow Y$ ,  $g: Y \rightarrow X$ ), and some  $x_0 \in X$  and  $y_0 \in Y$ , such that for all  $r > 0$   $f$  (resp.  $g$ ) is a  $(L, cr^e)$ -quasiisometric embedding when restricted to  $B(x_0, r)$  (resp. to  $B(y_0, r)$ ), and for all  $x \in X$ ,  $y \in Y$

$$d(g(f(x)), x) \leq c(1 + d(x, x_0)^e), \quad d(f(g(y)), y) \leq c(1 + d(y, y_0)^e).$$

This notion does not depend on the choice of  $x_0$  and  $y_0$ , up to changing  $c$ . In [Cor17, §6] Cornulier introduced a computable algebraic invariant  $e_G \in [0, 1)$  for every simply connected nilpotent Lie group such that  $G$  is  $O(r^{e_G})$ -bilipschitz equivalent to its asymptotic cone. He asked in [Cor17, Question 1.23] whether this invariant is optimal (for a given fixed group). Here we show that for the groups  $L_{k+1} \times_Z L_3$  Cornulier's invariant is asymptotically optimal as the nilpotency class  $k$  goes to infinity.

**Theorem V.** *Let  $k \geq 2$ . Let  $\underline{e}$  be the infimum of the exponents  $e$  such that there exists a  $O(r^e)$ -sublinear bilipschitz equivalence between  $G = L_{k+1} \times_Z L_3$  and its asymptotic cone. Then*

$$\frac{1}{2k+2} \leq \underline{e} \leq \frac{2}{k} = e_G.$$

The upper bound is due to Cornulier [Cor17] and the lower bound follows from our work in this paper. Note that asymptotically as  $k \rightarrow \infty$  the two bounds coincide up to a ratio of 4, showing that Cornulier's general upper bound is optimal in this asymptotic sense. To our knowledge these are the first examples of this kind. In [LPT23] the authors produced non-zero lower bounds on  $\underline{e}$  for all groups  $L_{k+1} \times_Z L_k$  with  $k \geq 3$ , but in this case the asymptotics of their lower bound did not coincide with those of Cornulier's upper bound as  $k \rightarrow \infty$ .

We finish by observing that the family of pairwise non-isomorphic groups covered by Theorem I and Corollary IV is uncountable. This is true even if we assume that the dimensions of  $K$  and  $L$  are uniformly bounded.

**Example 1.4.** For  $\lambda \neq 0$ , we denote by  $K_{7,\lambda}$  the simply connected Lie group whose Lie algebra is the unique real form of  $\mathcal{G}_{7,1.1(i_\lambda)}$  in [Mag08]. The 14-dimensional Lie group  $L_8 \times_Z K_{7,\lambda}$  has Dehn function of growth type  $n^7$  as a consequence of Theorem I. For

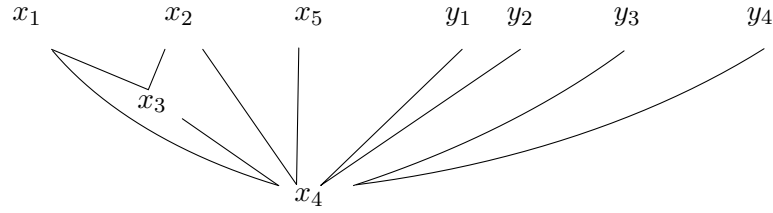


FIGURE 2. The central product of the group  $\Gamma_{5,5}$  (generated by  $x_1, x_2, x_5$ ) with a 5-Heisenberg group (generated by  $y_1, \dots, y_4$ ) pictured with the graphical convention of Figure 1. This group has the same first, second and third Betti numbers as the group underlying its asymptotic cone, namely 7, 21 and 34, but these groups are not quasiisometric by Corollary IV.

uncountably many values of  $\lambda$ , this group has no lattices. Since  $L_8 \times_{\mathbb{Z}} K_{7,\lambda_1} \cong L_8 \times_{\mathbb{Z}} K_{7,\lambda_2}$  if and only if  $\lambda_1 = \lambda_2$ , Corollary IV thus implies that there is an uncountable family of nilpotent groups whose Dehn function differs from the one of the associated Carnot graded group (See Appendix B.2 for details).

The presence of a lattice in a nilpotent group is sometimes required in order to be able to evaluate its filling invariants. It is the case, for instance, in Pittet’s proof of the Gersten–Holt–Riley upper bound for Carnot-gradable groups in [Pit95] and in Gruber’s thesis on the higher filling invariants [Gru19].

As noted above, going from finitely presented groups to compactly presented ones, one is led to consider uncountably many groups. This raises the following question, with which we end this introduction.

**Question 1.5.** Are there uncountably many possible growth types for the Dehn functions of compactly presented groups?

### Structure of the paper

We start with some preliminary material in §2, where we recall the basic definitions, our approach to Dehn functions using compact presentations and efficient words, and the specificities imposed by the groups we study in this paper. For the lower bound, we shall make use of the same argument as in [LIPT23, Section 8], but reframe it in a more qualitative and general way in §3. The upper bounds required for Theorems I and II are dealt with in §4, where we also prove Theorem V. To obtain them we generalize the methods from [LIPT23, Section 6]. This is achieved by replacing the first part of the proof given there by a simpler more direct argument, which enables us to cover the more general class of groups considered here. In particular, we replace the proof in [LIPT23] of the First and Second Commuting lemmas [LIPT23, Lemma 6.5 & 6.6] involving the highly technical Fractal Form Lemma [LIPT23, Lemma 6.17] by a much simpler induction argument. To showcase the strength of this new approach we cover the additional case of the groups  $L_{k+1}^{\perp}$  and we expect that a refinement of this approach will also enable an extension to other factors in the future.

The upper bound in Theorem III is obtained by a case-by-case study. Quite a few cases were either already known or are consequences of Theorems I and II. The remaining cases can be dealt with using relatively elementary arguments (without using compact presentations); we collect them in §5.A.2.

Our paper ends with two appendices. Appendix A concerns other quasiisometry invariants of nilpotent groups. In Appendix B we check that the Gersten–Holt–Riley upper bound extends to Lie groups irrespective of whether they have lattices or not and then

explain the construction of an uncountable family of simply connected Lie groups without lattices.

## Notations and conventions

We fix some notation and conventions that we use throughout this work.

When manipulating words with respect to a presentation  $\mathcal{P} := \langle S | R \rangle$  of a group it is useful to distinguish between identities that hold in the free group  $F_S$ , called *free identities* and denoted by  $=_F$ , and identities that hold in  $\mathcal{P}$ , which we refer to as *identities holding in  $G$*  and denote them by  $=_{\mathcal{P}}$  or  $=_G$ . When an equality follows from using a definition we simply write  $=_{\text{def}}$ .

Moreover, for a word  $w$  in the generating set  $S$  of a group  $G$  with respect to a presentation  $\mathcal{P}$  we denote by  $|w|_S$  the *word length of  $w$* . If there is no need to specify the generating set  $S$  we simply write  $|w|$ .

We use the convention  $[g, h] := g^{-1}h^{-1}gh$  and the notation  $[x_1, x_2, \dots, x_{k-1}, x_k] := [x_1, [x_2, \dots, [x_{k-1}, x_k]]]$  to denote a simple  $k$ -fold commutator of elements  $x_1, \dots, x_k$  in a group or Lie algebra. Moreover, for  $g \in G$  an element of a simply connected nilpotent Lie group  $G$  and  $a \in \mathbf{R}$ , we denote  $g^a := \exp(a \cdot \log(g))$ .

Given two functions  $f, g : \mathbf{R}_{\geq 0} \rightarrow \mathbf{R}_{\geq 0}$ , we use the notation  $f \lesssim_a g$  to mean that there exists some constant  $C < \infty$  that only depends on  $a$  such that  $f \leq C \cdot g$ . And  $f \simeq_a g$  if  $f \lesssim_a g$  and  $g \lesssim_a f$  hold. We also say that  $f$  is in  $O_a(g)$  if  $f \lesssim_a g$  and  $f = O_a(g)$  if  $f \simeq_a g$ . Moreover, we write  $f \preceq g$  (or rather, by a convenient abuse of notation,  $f(n) \preceq g(n)$ ) if there is a  $C \geq 1$  such that  $f(n) \leq Cg(Cn + C) + Cn + C$  for all  $n$ , and  $f \succ g$ , if  $f \preceq g \preceq f$ .

Unless otherwise stated, by *factor* of a central product  $G = K \times_Z L$  we mean  $K$  or  $L$ . When we consider direct factors of groups splitting as direct products instead, this will be explicitly specified.

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## 2. PRELIMINARIES

In this section we first summarise some background on Dehn functions, nilpotent groups and compact presentations. We then introduce explicit compact presentations for some of the groups we consider later in the paper.

### 2.A. Dehn functions of compactly presented groups

Generalising finite generation and finite presentability for discrete groups, a locally compact topological group  $G$  is *compactly generated* if it admits a compact generating set  $S$  and *compactly presented* if, in addition, it admits a presentation with a bounded set of



relations  $R$  with respect to  $S$ . An important class of examples of compactly presented groups are simply connected Lie groups, see [Tes18, Theorem 2.6]. This allows us to study the large-scale geometry of simply connected Lie groups via their compact presentations.

Let  $G$  be a group defined by a compact presentation  $\mathcal{P} := \langle S \mid R \rangle$ . We call a word  $w \in F_S$  *null-homotopic in  $G$*  if it represents the trivial element in  $G$ . The (*combinatorial*) *area* of a null-homotopic word  $w$  in  $G$  with respect to  $\mathcal{P}$  is defined by

$$\text{Area}_{\mathcal{P}}(w) := \min \left\{ k \in \mathbb{N} \mid w =_{F_S} \prod_{i=1}^k u_i \cdot r_i^{\epsilon_i} u_i^{-1}, \text{ with } u_i \in F_S, r_i \in R, \epsilon_i \in \{\pm 1\} \right\}.$$

The Dehn function of  $G$  is then defined as the function

$$\delta_G(n) := \min \{ \text{Area}_{\mathcal{P}}(w) \mid w =_G 1, |w| \leq n \} \in \mathbb{N}.$$

Similar as in the case of finite presentations, one can show that the Dehn function is a well-defined quasiisometry invariant of compactly presented groups up to the asymptotic equivalence  $\asymp$  of functions. We refer to [CT17, §2.B] for further details.

Assume now that  $G$  is a Lie group equipped with a left-invariant proper geodesic metric. To translate between words in  $S$  and paths in  $G$ , we fix for every element  $s \in S$  a continuous path  $\bar{s}$  from  $1_G$  to  $s$  such that the set  $\{\text{length}(\bar{s}) \mid s \in S\}$  is bounded. For a word  $w$  in  $S$  we denote by  $\bar{w}$  the path in  $G$ , obtained by concatenating paths corresponding to the generators. Moreover, for  $g \in G$  and a path  $\gamma : [a, b] \rightarrow G$  we denote by  $g_*\gamma$  the path obtained from  $\gamma$  by left translation by  $g$  in  $G$ .

To compute lower bounds on Dehn functions we use the following version of Stokes' formula for simply connected Lie groups equipped with compact presentations.

**Lemma 2.1.** *Let  $G$  be a simply connected Lie group and let  $\mathcal{P} := \langle S \mid R \rangle$  be a compact presentation for  $G$ . Let  $\alpha \in \Omega^1(TG)$  be a continuous 1-form on  $G$ . Assume that there exists a constant  $C$  such that  $\left| \int_{g_*\bar{r}} \alpha \right| \leq C$  for all  $r \in R$ . Then for any null-homotopic word  $w$  over the presentation*

$$\text{Area}_{\mathcal{P}}(w) \geq \frac{1}{C} \left| \int_{\bar{w}} \alpha \right|.$$

*Proof.* This is, up to reformulation, [LIPT23, Proposition 8.2]. Let us mention that although a Riemannian metric is mentioned in [LIPT23, Proposition 8.2], the area is the combinatorial area and not the Riemannian area of a filling disk of  $\bar{w}$ .  $\square$

## 2.B. Nilpotent groups

The *lower central series* of a group  $G$  is the descending sequence of subgroups which is defined inductively by  $C^1(G) := G$  and  $C^i(G) := [G, C^{i-1}(G)]$  for  $i > 1$ . We call  $G$  *nilpotent of class  $k$*  if  $C^k(G) \neq \{1\}$  and  $C^{k+1}(G) = \{1\}$ .

Among Lie groups, nilpotent Lie groups of class  $k$  can also be characterised as those Lie groups  $G$  which have a *nilpotent* Lie algebra  $\mathfrak{g}$ , that is, a Lie algebra whose lower central series becomes trivial precisely in the  $(k+1)$ -th term. Here the *lower central series* of a Lie algebra is defined analogously to the lower central series of a group:  $C^1(\mathfrak{g}) := \mathfrak{g}$  and  $C^i(\mathfrak{g}) := [\mathfrak{g}, C^{i-1}(\mathfrak{g})]$  for  $i > 1$ . Conversely, we can completely characterise a simply connected nilpotent Lie group by giving the Lie bracket structure with respect to a basis of its Lie algebra. We often use this fact here without further mention.

In this work we study Dehn functions of simply connected finite-dimensional nilpotent Lie groups and their lattices. Every finitely generated nilpotent group is a co-compact lattice in a simply connected finite-dimensional real nilpotent Lie group, uniquely defined up to isomorphism, called its real Malcev completion [Mal51]. Their Dehn functions coincide up to asymptotic equivalence and it turns out to be technically not harder to manipulate words over compact presentations than over finite ones, while it offers some additional

flexibility. In addition, the Lie group structure makes some tools from differential geometry readily available. So in this work, we mainly approach the problem of computing the Dehn function of nilpotent groups by considering directly that of simply connected nilpotent Lie groups. A notable exception to this standpoint is in §5.A.2 where no tool from the theory of Lie groups and Lie algebras is required in the upper bounds, since we can argue more directly using a suitable finite presentation in this case.

## 2.C. Compact presentations for central products of nilpotent groups

**Lemma 2.2.** *Let  $K$  and  $L$  be compactly presented nilpotent groups of class  $k$  and  $\ell$  respectively, such that  $Z(K) = C^k(K)$  and  $Z(L) = C^\ell(L)$ . Let  $\theta$  be a continuous isomorphism between  $Z(K)$  and  $Z(L)$ . Then the group  $G = K \times_\theta L$  is compactly presented. Moreover, given two compact presentations of  $K$  and  $L$ , there is a compact presentation of  $G = K \times_Z L$ , whose generating system and set of relators contain those of  $K$  and  $L$ , when viewed as closed subgroups of  $G$ .*

*Proof.* Let  $\mathcal{P}_K = \langle \mathcal{S}_K \mid \mathcal{R}_K \rangle$  and  $\mathcal{P}_L = \langle \mathcal{S}_L \mid \mathcal{R}_L \rangle$  be compact presentations of  $K$  and  $L$  respectively.  $Z(K)$  is a closed subgroup of  $K$ , and  $K$  is nilpotent, so  $Z(K)$  is compactly generated by [CdIH16, Proposition 5.A.7]. Let  $\mathcal{S}_{Z(K)}$  be a compact generating set of  $Z$ . By the assumption that  $Z(K) = C^k(K)$ , there is  $N < +\infty$  such that  $\mathcal{S}_{Z(K)} \subset \mathcal{S}_K^N$  [CdIH16, Lemma 5.A.5]. Similarly, if  $\mathcal{S}_{Z(L)}$  is a compact generating set of the centre  $Z(L)$  of  $L$ , then there is  $M < +\infty$  such that  $\mathcal{S}_{Z(L)} \subset \mathcal{S}_L^M$ . Now set

$$\mathcal{S} = \mathcal{S}_K \sqcup \mathcal{S}_L, \quad \mathcal{R} = \mathcal{R}_K \sqcup \mathcal{R}_L \sqcup \mathcal{R}_+ \sqcup \{[a, b] : a \in \mathcal{S}_K, b \in \mathcal{S}_L\}$$

where  $\mathcal{R}_+$  is constructed as follow: for every  $z \in \mathcal{S}_{Z(K)}$ , write  $z$  as a product of at most  $N$  elements of  $\mathcal{S}_K$ , write  $\theta(z) \in Z(L)$  as a product of at most  $M$  elements of  $\mathcal{S}_L$ ; let  $v$  and  $w$  be the resulting words, and add  $vw^{-1}$  in  $\mathcal{R}_+$ . Clearly these additional relations are of length bounded by  $N + M$ . Hence,  $\mathcal{S}$  is a compact subset of  $G$ ,  $\mathcal{R}$  is a bounded subset of  $F_{\mathcal{S}}$  and all the relation that hold true in  $G$  are products of conjugates of relations in  $\mathcal{R}$ . This shows that  $\langle \mathcal{S} \mid \mathcal{R} \rangle$  is a compact presentation for  $G$ .  $\square$

**Definition 2.3.** We call the presentation of the central product  $K \times_Z L$  constructed in the proof of Lemma 2.2 *adapted* to the central product decomposition.

**Lemma 2.4.** *Let  $K$  and  $L$  be compactly presented nilpotent groups with isomorphic centres and let  $G$  be their central product. Assume that  $K$  has a closed subgroup  $K_0$  containing the centre which is isomorphic to  $L$ , and that  $\delta_{G_0}(n) \preccurlyeq n^p$  where  $G_0 = L \times_Z L$  for some  $p \geq 2$ . If every word of length  $n$  over the generators of  $K < G$  representing the trivial element has area at most  $n^p$  in  $G$ , then  $\delta_G(n) \preccurlyeq n^p$ .*

*Proof.* Let  $\mathcal{P}$  be an adapted compact presentation for  $G$ . Without loss of generality, assume that there is an isomorphism  $L \rightarrow K_0$  such that  $\mathcal{S}_K$  contains the image of  $\mathcal{S}_L$ . Start from a null homotopic word  $u$  of length  $n$  over the generating set  $\mathcal{S}$ . Using  $\leq Cn^2$  commutator relations, we obtain that

$$u =_G wv$$

where the length of  $w$  and  $v$  is at most  $n$ . Since  $u$  is null-homotopic,  $w =_G v^{-1}$  and, in particular,  $w$  and  $v$  represent central elements. Using that  $\delta_{G_0}(n) \preccurlyeq n^p$  we may rewrite  $v$  as  $v'$ , where  $v'$  is a word in the generating set  $\mathcal{S}_K$  of the same length as  $v$ . Since  $\delta_K(n) \preccurlyeq n^p$ , this completes the proof.  $\square$

## 2.D. Some explicit compact presentations

At various points of our proofs, we work with explicit compact presentations of the nilpotent groups under consideration. For this reason we provide them here. They are of two kinds: filiform and low-dimensional. Adapted compact presentation for the central products can then be deduced using Lemma 2.2.



**2.D.1. Two families of filiform groups.** Recall that a nilpotent Lie group of dimension  $p$  is called filiform if it has maximal possible (finite) nilpotency class, namely  $p - 1$ , among all Lie groups of dimension  $p$ . We consider two infinite families of filiform Lie groups. Each family has one member in every dimension  $p \geq 3$  ( $p \geq 5$  for the second one), that we denote  $L_p$  and  $L_p^\perp$  respectively.

$L_p$  is the model filiform group, whose Lie algebra  $\mathfrak{l}_p$  is generated by  $X_1, X_2, \dots, X_p$  subject to the nonzero brackets

$$[X_1, X_i] = X_{i+1} \text{ for } 2 \leq i \leq p - 1.$$

The next Proposition supports the definition of  $L_p^\perp$ :

**Proposition 2.5.** *For every  $p \geq 5$ , there exists a Lie algebra with basis  $X_1, \dots, X_p$  and nonzero brackets*

$$(2.1) \quad [X_1, X_i] = X_{i+1} \text{ for } 2 \leq i \leq p - 1, \quad [X_2, X_3] = X_p.$$

*This Lie algebra is not isomorphic to  $\mathfrak{l}_p$ , and the associated simply connected Lie group contains a lattice, with the following presentation:*

$$\langle x_1, \dots, x_p \mid [x_1, x_i] = x_{i+1}, \text{ for } 2 \leq i \leq p - 1, \quad [x_2, x_3] = x_p \rangle.$$

**Remark 2.6.** Although we are not aware of publications considering  $\mathfrak{l}_p^\perp$  for all  $p$  simultaneously, this Lie algebra can be found in the classifications of nilpotent Lie algebras (up to dimension 7) and of filiform nilpotent Lie algebras (up to dimension 11). The correspondences are as follows. In [dG07],  $\mathfrak{l}_5^\perp$  and  $\mathfrak{l}_6^\perp$  are  $L_{5,6}$  and  $L_{6,17}$  respectively. In [Mag08],  $\mathfrak{l}_5^\perp$ ,  $\mathfrak{l}_6^\perp$  and  $\mathfrak{l}_7^\perp$  are the unique real forms of  $\mathcal{G}_{5,3}$ ,  $\mathcal{G}_{6,17}$  and  $\mathcal{G}_{7,1.6}$  respectively. Finally, in [GJMK98] where filiform Lie algebras of dimension less or equal 11 are classified,  $\mathfrak{l}_5^\perp, \dots, \mathfrak{l}_{10}^\perp$  and  $\mathfrak{l}_{11}^\perp$  are  $\mu_5^2, \mu_6^3, \mu_7^3, \mu_8^{18}, \mu_9^{37}, \mu_{10}^{50}$  and  $\mu_{11}^{105}$  respectively.

**Remark 2.7.** The Lie algebra  $\mathfrak{l}_p^\perp$  does admit a grading for all  $p \geq 5$ . It is as follows:  $X_1$  has weight 1,  $X_2$  has weight  $p - 3$ , and the weight of  $X_k$  is  $p - 5 + k$  for  $3 \leq k \leq p$ .

*Proof of Proposition 2.5.* The fact that  $\mathfrak{l}_p^\perp$  defines a Lie algebra can be checked by observing that it is a central extension of  $\mathfrak{l}_{p-1}$ . Namely, let  $(\theta_i)_{1 \leq i \leq p-1}$  be the basis of  $\mathfrak{l}_{p-1}^*$  dual to  $(X_i)$ . Then the two-form  $\theta_2 \wedge \theta_3$  is a cocycle, since

$$d(\theta_2 \wedge \theta_3) = d\theta_2 \wedge \theta_3 - \theta_2 \wedge d\theta_3 = \theta_2 \wedge \theta_1 \wedge \theta_2 = 0.$$

The Lie algebra  $\mathfrak{l}_p^\perp$  is then the central extension corresponding to the cocycle  $\theta_1 \wedge \theta_{p-1} + \theta_2 \wedge \theta_3$ .

To see that  $\mathfrak{l}_p^\perp$  is not isomorphic to  $\mathfrak{l}_p$ , observe that  $\mathfrak{l}_p$  has an element, namely  $X_2$ , which is not in the derived subalgebra, and whose adjoint  $\text{ad}_{X_2}$  has rank one, while  $\mathfrak{l}_p^\perp$  has no such element. Now, consider the exponential mapping  $\exp: \mathfrak{l}_p^\perp \rightarrow L_p^\perp$ , and define

$$x_i = \begin{cases} \exp(X_i) & 1 \leq i \leq 2 \\ [x_1, x_{i-1}] & 3 \leq i \leq p \end{cases}$$

It remains to explain why  $[x_2, x_3] = x_p$ . We first show by induction on  $i$  that for all  $i \in \{1, \dots, p\}$ ,

$$(2.2) \quad \log x_i = X_i + \sum_{j>i} c_{i,j} X_j$$

for some real coefficients  $c_{i,j}$ . It is clearly true by definition for  $1 \leq i \leq 2$ , with vanishing  $c_{1,j}$  and  $c_{2,j}$ . Assume that (2.2) holds for some  $2 \leq i \leq p - 1$ . Using the Baker–Campbell–Hausdorff formula and (2.1), one gets that

$$(2.3) \quad \log(x_1 x_i) = X_1 + X_i + c_{i,i+1} X_{i+1} + \frac{1}{2} X_{i+1} + \frac{1}{12} X_{i+2} - \frac{\delta_{i,2}}{12} X_p + \sum_{j=i+2}^p d_{i,j-1} X_j;$$

$$(2.4) \quad \log(x_1^{-1}x_i^{-1}) = -X_1 - X_i - c_{i,i+1}X_{i+1} + \frac{1}{2}X_{i+1} - \frac{1}{12}X_{i+2} + \frac{\delta_{i,2}}{12}X_p + \sum_{j=i+2}^p d'_{i,j-1}X_j$$

where  $d_{i,j}$  and  $d'_{i,j}$  are real constants that depend on  $c_{i,j}$  and the higher coefficients in the Baker–Campbell–Hausdorff formula. Now, using (2.3), (2.4) and the Baker–Campbell–Hausdorff formula once again,

$$\begin{aligned} \log x_{i+1} &= \log((x_1^{-1}x_i^{-1}) \cdot (x_1x_i)) \\ &= -X_1 - X_i - c_{i,i+1}X_{i+1} + \frac{1}{2}X_{i+1} - \frac{1}{12}X_{i+2} + \frac{\delta_{i,2}}{12}X_p + \sum_{j=i+2}^p d'_{i,j-1}X_j \\ &\quad + X_1 + X_i + c_{i,i+1}X_{i+1} + \frac{1}{2}X_{i+1} + \frac{1}{12}X_{i+2} - \frac{\delta_{i,2}}{12}X_p + \sum_{j=i+2}^p d_{i,j-1}X_j \\ &\quad + \frac{1}{2} \left[ -X_1, X_i + c_{i,i+1}X_{i+1} + \frac{1}{2}X_{i+1} + \frac{1}{12}X_{i+2} - \frac{\delta_{i,2}}{12}X_p + \sum_{j=i+2}^p d_{i,j-1}X_j \right] \\ &\quad - \frac{1}{2} \left[ X_1, -X_i - c_{i,i+1}X_{i+1} + \frac{1}{2}X_{i+1} - \frac{1}{12}X_{i+2} + \frac{\delta_{i,2}}{12}X_p + \sum_{j=i+2}^p d'_{i,j-1}X_j \right] \\ &\quad + \sum_{j=i+2}^p e_{i,j}X_j \end{aligned}$$

where the  $e_{i,j}$  depend on the higher coefficients in the Baker–Campbell–Hausdorff formula and the  $d_{i,j}$  and  $d'_{i,j}$  (we used that the derived subalgebra is abelian in order to omit the commutators  $[X_{i+a}, X_{i+b}]$  for  $a, b \geq 0$  in the above). Summing this yields (2.2) for some coefficients  $c_{i,j}$  depending on  $e_{i,j}$ ,  $d_{i,j-1}$  and  $d'_{i,j-1}$ , ending the proof of (2.2) by induction on  $i$ . Finally, we successively check that

$$\begin{aligned} \log(x_2x_3) &= X_2 + X_3 + \frac{1}{2}X_p + \sum_{j=4}^p c_{3,j}X_j; \\ \log(x_2^{-1}x_3^{-1}) &= -X_2 - X_3 + \frac{1}{2}X_p - \sum_{j=4}^p c_{3,j}X_j; \\ \log[x_2, x_3] &= X_p \stackrel{(2.2)}{=} \log(x_p). \end{aligned}$$

This concludes the proof that the presentation of the lattice in  $L_p^\perp$  is the one given.  $\square$

**Proposition 2.8.** *The group  $L_p$  (for  $p \geq 3$ ), respectively  $L_p^\perp$  (for  $p \geq 5$ ) admits the compact presentation  $\mathcal{P}_p = \langle \hat{S} \mid R \rangle$ , resp.  $\mathcal{P}_p^\perp = \langle \hat{S} \mid R^\perp \rangle$ , over the generating set  $\hat{S} = \{x_i^a : 1 \leq i \leq p, -1 \leq a \leq 1\}$ , where*

$$\begin{aligned} R &= \left\{ x_i^a x_i^b x_i^{-(a+b)}, [x_1^a, x_i^b] = x_{i+1}^{ab} x_{i+2}^{-\binom{a}{2}b} \cdots x_p^{(-1)^{p+i+1} \binom{a}{p-i}b}, \right. \\ &\quad \left. i \in \{2, \dots, p-1\}, a, b \in [-1, 1] \right\} \text{ and} \\ R^\perp &= \left\{ x_i^a x_i^b x_i^{-(a+b)}, [x_1^a, x_i^b] = x_{i+1}^{ab} x_{i+2}^{-\binom{a}{2}b} \cdots x_p^{(-1)^{p+i+1} \binom{a}{p-i}b}, i \in \{3, \dots, p-1\}, \right. \\ &\quad \left. [x_2^a, x_3^b] = x_p^{ab}, [x_1^a, x_2^b] = x_3^{ab} x_4^{-\binom{a}{2}b} \cdots x_p^{(-1)^{p+1} \binom{a}{p-2}b - a \binom{b}{2}}, a, b \in [-1, 1] \right\}. \end{aligned}$$

*Proof.* In both cases,  $x_1, \dots, x_p$  forms a Malcev basis of the simply connected Lie group (See e.g. [LIPT23, §5.1] for the definition of a Malcev basis). To obtain a compact presentation it suffices to check that the same relations hold between the generators  $x_i$  for

the Zariski dense subset of integers  $a, b$  with  $a \geq 0$ .<sup>4</sup> For  $L_p$  this was proved in [LIPT23, Proposition 5.2]. For  $L_p^\perp$  we use Proposition 2.5 above to check that the identities

$$(2.5) \quad [x_1^a, x_i^b] = x_{i+1}^{ab} x_{i+2}^{-\binom{a}{2}b} \cdots x_p^{(-1)^{p+i+1} \binom{a}{p-i} b}$$

for  $3 \leq i \leq p-1$  and

$$(2.6) \quad [x_1^a, x_2^b] = x_3^{ab} x_4^{-\binom{a}{2}b} \cdots x_p^{(-1)^{p+1} \binom{a}{p-1} b - a \binom{b}{2}}$$

hold for all  $a, b \in \mathbf{Z}$ .

Consider first (2.5). Since real powers of  $x_1$  and  $x_i$  together generate a group isomorphic to  $L_{p-i-2}$ , (2.5) is a consequence of [LIPT23, Proposition 5.1]. Turning to (2.6), let us first prove that

$$(2.7) \quad [x_1, x_2^b] = x_3^b x_p^{-\binom{b}{2}},$$

by induction on  $b \in \mathbf{Z}_{\geq 0}$ . For  $b = 1$  it holds by definition of  $x_3$ ; assuming it holds for some  $b$ , we have that

$$\begin{aligned} x_1 x_2^{b+1} &= x_1 x_2^b x_2 = x_2^b x_1 x_3^b x_p^{-\binom{b}{2}} x_2 = x_2^b x_1 x_3^b x_2 x_p^{-\binom{b}{2}} = x_2^b x_1 x_2 x_3^b x_p^{-b - \binom{b}{2}} \\ &= x_2^{b+1} x_1 x_3^{b+1} x_p^{-\binom{b+1}{2}}. \end{aligned}$$

From this point, we can proceed as in the proof of [LIPT23, Proposition 5.2], proving the formula by induction on  $a$ , replacing  $[x_1, x_2^b] = x_3^b$  by (2.7). We provide the details below; in the computation, “I.H.” means that we use the induction hypothesis.

$$\begin{aligned} x_1^{a+1} x_2^b &= x_1^a x_1 x_2^b \stackrel{(2.7)}{=} x_1^a x_2^b x_1 x_3^b x_p^{-\binom{b}{2}} \\ &\stackrel{\text{I.H.}}{=} x_2^b x_1^a x_3^{ab} x_4^{-\binom{a}{2}b} x_5^{\binom{a}{3}b} \cdots x_p^{(-1)^{p+1} \binom{a}{p-2} b - a \binom{b}{2}} x_1 x_3^b x_p^{-\binom{b}{2}} \\ &= x_2^b x_1^{a+1} x_3^{ab} x_4^{-\binom{a+1}{2}b} x_5^{\binom{a+1}{3}b} \cdots x_p^{(-1)^{p+1} \binom{a+1}{p-2} b - a \binom{b}{2}} x_3^b x_p^{-\binom{b}{2}} \\ &= x_2^b x_1^{a+1} x_3^{(a+1)b} x_4^{-\binom{a+1}{2}b} x_5^{\binom{a+1}{3}b} \cdots x_p^{(-1)^{p+1} \binom{a+1}{p-2} b - (a+1) \binom{b}{2}}. \quad \square \end{aligned}$$

From now on, whenever a compact presentation has been provided for the factors, we equip their central product with an adapted presentation as produced by Lemma 2.2. We denote by  $y_i$  the generators of the right-hand side factor. As an example, the group  $L_p^\perp \times_{\mathbf{Z}} L_3$  has as generating set

$$\{x_i^a, y_j^b : 1 \leq i \leq p, 1 \leq j \leq 3, |a| \leq 1, |b| \leq 1\},$$

and a set of relators including  $R^\perp$ ,  $[y_1^a, y_{p-1}^b] = y_3^{ab}$  for all  $|a|, |b| \leq 1$  and the relation  $x_p = y_3$ .

The following consequences of Proposition 2.8 is used in §4 when we give upper bounds on the Dehn functions of  $L_p \times_{\mathbf{Z}} L_3$  and  $L_p^\perp \times_{\mathbf{Z}} L_3$ .

**Remark 2.9.** The results are stated for  $L_p^\perp \times_{\mathbf{Z}} L_3$  for all  $p \geq 5$  but the reader should note that the same results hold for  $L_p \times_{\mathbf{Z}} L_3$  for all  $p \geq 3$  with the same area estimates, the only minor change is that there is no error term of the form  $[y_1, y_{p-1}]^{\pm 1}$ .

**Corollary 2.10.** *Let  $p \geq 5$ .*

<sup>4</sup>The reader familiar with algebraic groups may derive the argument in the following way. From the rationality of the structure constants in  $\mathfrak{l}_p$  and  $\mathfrak{l}_p^\perp$  one can deduce that the elements  $x_1$  and  $x_2$  together generate subgroups of finite index in the groups of integer points of  $L_n = L_p(\mathbf{R})$  or  $L_p^\perp = L_p^\perp(\mathbf{R})$ , where  $L_p$  and  $L_p^\perp$  are certain unipotent algebraic groups defined over  $\mathbf{Q}$ . These lattices are Zariski-dense, and so a polynomial identity is valid over the group as soon as it is valid over the lattice. Concretely a polynomial identity is one that involves products of polynomial powers of elements taken in a fixed Malcev basis, or equivalently which is polynomial in the exponential charts [Mil17, 14.32].

(1) For all  $b \in \mathbf{R}$  the identities

$$[x_1, x_j] =_{\mathcal{P}} \begin{cases} x_{j+1}^b, & \text{if } j > 2, \\ x_3^b \cdot [y_1^{\tilde{b}}, y_{p-1}^{\tilde{b}}]^{\pm 1}, & \text{if } j = 2. \end{cases}$$

hold in  $L_p^\perp \times_Z L_3$  with area  $\lesssim_p |b|^2$ , where  $\tilde{b} \in \mathbf{R}$  with  $|\tilde{b}| \lesssim_p |b|$ .

(2) For all  $b \in \mathbf{R}$  the identities

$$[x_1^{-1}, x_j^b] =_{\mathcal{P}} \begin{cases} x_{j+1}^{-b} \cdots x_p^{-b}, & \text{if } j > 2, \\ x_3^{-b} \cdots x_p^{-b} \cdot [y_1^{\tilde{b}}, y_{p-1}^{\tilde{b}}]^{\pm 1}, & \text{if } j = 2. \end{cases}$$

hold in  $L_p^\perp \times_Z L_3$  with area  $\lesssim_p |b|^2$  where  $\tilde{b} \in \mathbf{R}$  with  $|\tilde{b}| \lesssim_p |b|$ .

*Proof.* In case (1) the identity for  $j > 2$  is a direct consequence of Proposition 2.8 since  $\binom{1}{m} = 0$  for all  $m \in \mathbf{Z}_{\geq 2}$ . Now suppose  $j = 2$ . For  $\beta := [b] - b$  we have that the identities

$$x_2^{-b} x_1 =_F x_2^\beta x_2^{-[b]} x_1 =_{\mathcal{P}} x_2^\beta x_1 (x_2 x_3^{-1})^{-[b]}$$

hold in  $L_p^\perp \times_Z L_3$  with area  $\lesssim_p |b|$ . By Proposition 2.8 it follows that

$$x_2^\beta x_1 (x_2 x_3^{-1})^{-[b]} =_{\mathcal{P}} x_1 x_3^{-\beta} x_p^{\binom{\beta}{2}} x_2^\beta (x_2 x_3^{-1})^{-[b]}$$

holds in  $L_p^\perp \times_Z L_3$  with area  $\lesssim_p |b|$ . Finally, since  $\langle x_2, x_3, y_1, y_{p-1} \rangle$  generate a subgroup isomorphic to the integral 5-Heisenberg group, it follows that

$$x_1 x_3^{-\beta} x_p^{\binom{\beta}{2}} x_2^\beta (x_2 x_3^{-1})^{-[b]} =_F x_1 x_3^{-\beta} x_2^\beta (x_2 x_3^{-1})^{-[b]} x_p^{\binom{\beta}{2}} =_{\mathcal{P}} x_1 x_3^b \cdot [y_1^{\tilde{b}}, y_{p-1}^{\tilde{b}}] \cdot x_2^{-b}$$

holds in  $L_p^\perp \times_Z L_3$  with area  $\lesssim_p |b|^2$  and  $|\tilde{b}| \lesssim_p |b|$ .

The proof of Case (2) is similar, only that now  $\binom{-1}{m} = \pm 1$  leading to the slightly modified formulas. We briefly explain the case  $j = 2$ , the case  $j > 2$  being similar but without the error term  $[y_{p-1}^{\tilde{b}}, y_1^{\tilde{b}}]$ . It follows from Proposition 2.8 that

$$x_2 x_1^{-1} = x_1^{-1} x_2^b x_3^b \cdots x_p^{b - \binom{b}{2}}$$

holds in  $L_p^\perp \times_Z L_3$ . Finally by applying the identity  $x_p^{\binom{b}{2}} =_{\mathcal{P}} [y_{p-1}^{\tilde{b}}, y_1^{\tilde{b}}]$ , which has area  $\lesssim_p |b|^2$  for suitable  $|\tilde{b}| \lesssim |b|$ , we obtain the desired statement.  $\square$

**Corollary 2.11.** *Let  $p \geq 5$ . Let  $a, b \in \mathbf{R}$ .*

*The identities*

$$(2.8) \quad [x_1^a, x_j^b] =_{\mathcal{P}} \begin{cases} x_{j+1}^{-b} \cdot [x_{j+1}^{-b}, x_1^{a-1}] \cdot [x_1^{a-1}, x_j^b], \\ \left( \prod_{i=j+1}^p x_i^{-b} \cdot [x_i^{-b}, x_1^{a+1}] \right) \cdot [x_1^{a+1}, x_j^b]. \end{cases}$$

hold in  $L_p^\perp \times_Z L_3$  for  $2 < j \leq p-1$  with area  $\lesssim_p |b|^2$ .

Moreover, there exists  $\tilde{b} \in \mathbf{R}$  with  $|\tilde{b}| \lesssim_p |b|$ , such that the identities

$$(2.9) \quad [x_1^a, x_2^b] =_{\mathcal{P}} \begin{cases} x_3^{-b} \cdot [x_3^{-b}, x_1^{a-1}] \cdot [x_1^{a-1}, x_2^b] \cdot [y_1^{\tilde{b}}, y_{p-1}^{\tilde{b}}]^{\pm 1}, \\ \left( \prod_{i=3}^p x_i^{-b} [x_i^{-b}, x_1^{a+1}] \right) \cdot [x_1^{a+1}, x_2^b] \cdot [y_1^{\tilde{b}}, y_{p-1}^{\tilde{b}}]^{\pm 1}. \end{cases}$$

hold in  $L_p^\perp \times_Z L_3$  with area  $\lesssim_p |b|^2$ .

*Proof.* We prove the second identity in (2.9). The other cases are analogous, but slightly simpler. It follows from Corollary 2.10 (2) that the identity

$$x_1^{-a} x_2^{-b} x_1^a x_2^b =_{\mathcal{P}} x_1^{-(a+1)} x_3^{-b} \cdots x_p^{-b} \cdot [y_1^{\tilde{b}}, y_{p-1}^{\tilde{b}}]^{\pm 1} x_2^{-b} x_1^{a+1} x_2^b$$

holds in  $L_p^\perp \times_Z L_3$  with area  $\lesssim_p |b|^2$  where  $|\tilde{b}| \lesssim_p |b|$ . Therefore, since the free identity

$$x_1^{-(a+1)} x_i^{-b} =_F x_i^{-b} \cdot [x_i^{-b}, x_1^{a+1}] \cdot x_1^{-(a+1)}$$

holds for all  $i \geq 3$ , we can shuffle  $x_1^{-(a+1)}$  to the right in front of the suffix-word  $x_2^{-b} x_1^{a+1} x_2^b$  to obtain the desired identity with area  $\lesssim_p |b|^2$ .  $\square$

We record a rewriting of the identities of Corollary 2.10 and Corollary 2.11 that are used in §4.

**Addendum 2.12.** *Let  $p \geq 5$  and let  $3 \leq i \leq p$ . Since for all  $i \geq j$  and for all  $b \in \mathbf{R}$  the identity*

$$x_i^{-b} =_{\mathcal{P}} \Omega_{i-j}^{j+1}(1, \dots, 1, -b)$$

*holds in  $L_p^\perp \times_Z L_3$  with area  $\lesssim_p |b|^2$ , we can rewrite the identities from Corollary 2.10 (2) as*

$$[x_1^{-1}, x_j^b] =_{\mathcal{P}} \begin{cases} \Omega_1^{j+1}(-b) \cdot \dots \cdot \Omega_{p-j}^{j+1}(1, \dots, 1, -b), & \text{if } j > 2, \\ \Omega_1^3(-b) \cdot \dots \cdot \Omega_{p-2}^3(1, \dots, 1, -b) \cdot [y_{p-1}^{\tilde{b}}, y_1^{\tilde{b}}]^{\pm 1}, & \text{if } j = 2, \end{cases}$$

*with area  $\lesssim_p |b|^2$ .*

*Similarly, we can rewrite the two identities corresponding to increasing the  $x_1$ -exponent by one in Corollary 2.11 as*

$$[x_1^a, x_j^b] =_{\mathcal{P}} \left( \prod_{i=j+1}^p \Omega_{i-j}^{j+1}(1, \dots, 1, -b) \cdot \Omega_{i-j+1}^{j+1}(a+1, 1, \dots, 1, -b)^{-1} \right) \cdot [x_1^{a+1}, x_j^b],$$

*for  $j > 2$ , and*

$$[x_1^a, x_2^b] =_{\mathcal{P}} \left( \prod_{i=3}^p \Omega_{i-2}^3(1, \dots, 1, -b) \cdot \Omega_{i-1}^3(a+1, 1, \dots, 1, -b)^{-1} \right) \cdot [x_1^{a+1}, x_2^b] \cdot [y_{p-1}^{\tilde{b}}, y_1^{\tilde{b}}]^{\pm 1},$$

*each with area  $\lesssim_p |b|^2$ .*

We finish this section by recalling the following well-known result that is used in §4.

**Lemma 2.13** ([LIPT23, Lemma 5.7]). *Let  $G$  be a group, and let  $u, v, w$  be words in some generating set of  $G$ . The following free identities hold in  $G$*

- (1)  $[u \cdot v, w] =_F [u, v]^w \cdot [v, w]$ .
- (2)  $[u, v \cdot w] =_F [u, w] \cdot [u, v]^w$ .
- (3)  $u^w =_F u \cdot [u, w]$ .

**2.D.2. Groups of low dimension.** Low-dimensional nilpotent Lie algebras over any field of characteristic not equal to 2 have been classified up to dimension 6 by de Graaf in [dG07]. We recall below the list of nilpotent Lie algebras of dimension at most 5 with one-dimensional centres, and the names as in [dG07, §4], where we write  $\mathfrak{l}_{d,i}$  instead of  $L_{d,i}$ . ( $d$  is the dimension and  $i$  an integer used as an index for the list in any given dimension).

- The three-dimensional  $\mathfrak{l}_{3,2} = \mathfrak{l}_3$ , namely the first Heisenberg Lie algebra.
- The four-dimensional  $\mathfrak{l}_{4,3} = \mathfrak{l}_4$ .
- The five-dimensional  $\mathfrak{l}_{5,4} = \mathfrak{l}_{3,2} \times_Z \mathfrak{l}_{3,2}$ , namely the second Heisenberg Lie algebra.
- The five-dimensional  $\mathfrak{l}_{5,5}$  with Lie brackets

$$[X_1, X_2] = X_3, [X_1, X_3] = [X_2, X_5] = X_4;$$

Note that  $\{X_1, X_2, X_3, X_4\}$  generate a  $\mathfrak{l}_{4,3}$  subalgebra which is not an ideal.

- The five-dimensional filiform Lie algebras  $\mathfrak{l}_{5,7} = \mathfrak{l}_5$  and  $\mathfrak{l}_{5,6} = \mathfrak{l}_5^\perp$ .

These Lie algebras are either filiform of the form  $\mathfrak{l}_p$  or  $\mathfrak{l}_p^\perp$ , or central products of such algebras, with the exception of  $\mathfrak{l}_{5,5}$ . We provide a lattice and a compact presentation of the latter separately below.

**Proposition 2.14.** *The simply connected Lie group with Lie algebra  $\mathfrak{l}_{5,5}$  contains a lattice with presentation*

$$\langle x_1, x_2, x_3, x_4, x_5 \mid [x_1, x_2] = x_3, [x_1, x_3] = x_4, [x_2, x_5] = x_4 \rangle$$

*and the subgroup generated by  $x_1, \dots, x_4$  is a lattice in the Lie subgroup with Lie algebra spanned by  $X_1, \dots, X_4$ .*

*Proof.* Set  $x_i = \exp X_i$  for  $1 \leq i \leq 5$ . A computation using the Baker–Campbell–Hausdorff formula, very similar to that in (2.3), yields that

$$\log(x_1 x_2) = X_1 + X_2 + \frac{1}{2}X_3 + \frac{1}{12}X_4 \text{ and } \log(x_1^{-1} x_2^{-1}) = -X_1 - X_2 + \frac{1}{2}X_3 - \frac{1}{12}X_4$$

so that  $X_3 = \log x_3 = \log[x_1, x_2]$ , while

$$\log(x_1 x_3) = X_1 + X_3 + \frac{1}{2}X_4 \text{ and } \log(x_1^{-1} x_3^{-1}) = -X_1 - X_3 + \frac{1}{2}X_4,$$

$$\log(x_2 x_5) = X_2 + X_5 + \frac{1}{2}X_4 \text{ and } \log(x_2^{-1} x_5^{-1}) = -X_2 - X_5 + \frac{1}{2}X_4,$$

so that  $X_4 = \log x_4 = \log[x_1, x_3] = \log[x_2, x_5]$ .  $\square$

**Proposition 2.15.** *The group  $L_{5,5}$  admits the compact presentation  $\mathcal{P}_{5,5} = \langle S \mid R_{5,5} \rangle$ , where*

$$S = \{x_i^a : i \in \{1, \dots, 5\}, a \in [-1, 1]\}$$

*and*

$$R_{5,5} = \left\{ x_i^a x_i^b x_i^{-a-b}, i \in \{1, \dots, 5\}, [x_1^a, x_2^b] = x_3^{ab} x_4^{-\binom{a}{2}b}, \right. \\ \left. [x_1^a, x_3^b] = x_4^{ab}, [x_2^a, x_5^b] = x_4^{ab}, -1 \leq a, b \leq 1 \right\}.$$

*Proof.* Since the elements  $x_1, \dots, x_5$  form a Malcev basis for  $L_{5,5}$ , this follows from Proposition 2.14 using the same arguments as in the proof of [LIPT23, Proposition 5.2].  $\square$

**2.D.3. Reduction to products of efficient words.** We start this section by establishing Proposition 2.17 which allows us to obtain upper bounds for Dehn functions by bounding the area of particular words belonging to so-called *efficient sets* (Definition 2.16). This idea originates from an observation of Gromov [Gro93, 5.A''<sub>3</sub>], for details we refer to [dCT10] and [LIPT23]. We then construct efficient sets for the groups  $L_p \times_{\mathbb{Z}} L_3$  and  $L_p^{\perp} \times_{\mathbb{Z}} L_3$  (Corollary 2.19) which we require in §4 when we prove the upper bounds on their Dehn functions.

Throughout this section  $G$  denotes a compactly presented group,  $\mathcal{P} := \langle S \mid R \rangle$  a compact presentation of  $G$ , and  $F_S$  the free group generated by  $S$ . Given a subset  $\mathcal{F} \subset F_S$  and an integer  $k \geq 1$ , we denote by  $\mathcal{F}[k]$  the set of concatenations of at most  $k$  elements of  $\mathcal{F}$ .

**Definition 2.16.** Given an integer  $r \geq 1$ , a subset  $\mathcal{F} \subset F_S$  is called *r-efficient with respect to  $\mathcal{P}$* , if there exists a constant  $C \geq 1$  such that for every  $w \in F_S$  there exists  $w' \in \mathcal{F}[r]$  such that  $w =_{\mathcal{P}} w'$  and  $|w'|_S \leq C|w|_S$ .

Note that this generalises the definition of efficient sets given in [dCT10]. What they call efficient corresponds to a 1-efficient set in our definition.

**Proposition 2.17.** *Let  $G$  be a compactly presented group and  $\mathcal{P} := \langle S \mid R \rangle$  a compact presentation for  $G$ . Suppose that  $\mathcal{F}$  is  $r$ -efficient with respect to  $\mathcal{P}$  for some  $r \geq 1$  and that there exists  $d > 1$  such that for all  $k \geq 1$  and all  $n \geq 0$ , the area of each null-homotopic word in  $\mathcal{F}[k]$  of length at most  $n$  is  $\lesssim_k n^d$ . Then, the Dehn function of  $G$  satisfies  $\delta_G(n) \preceq n^d$ .*

*Proof.* This is an immediate consequence of applying [dCT10] to the 1-efficient set  $\mathcal{F}[r]$ .  $\square$



We now explain the existence of  $O_p(1)$ -efficient sets for the groups  $L_p \times_Z L_3$  and  $L_p^\perp \times_Z L_3$  with respect to the compact presentations obtained from Proposition 2.8 and Lemma 2.2. To establish these results we first need to recall some notation from [LIPT23]:

$$\Sigma := \{x_1^{a_1}, x_2^{a_2} \mid |a_1|, |a_2| \leq 1\}, \quad \mathcal{F} := \{s^n \mid s \in \Sigma, n \in \mathbf{N}\},$$

$$T := \{x_1^{a_1}, x_2^{a_2}, y_1^{a_3}, y_{p-1}^{a_4} \mid |a_1|, |a_2|, |a_3|, |a_4| \leq 1\}, \text{ and } \mathcal{G} := \{s^n \mid s \in T, n \in \mathbf{N}\}.$$

**Proposition 2.18** (cf. [LIPT23, Proposition 5.11]). *The subset  $\mathcal{F}$  is  $O_p(1)$ -efficient with respect to the compact presentation  $\mathcal{P}_p^\perp$  (respectively  $\mathcal{P}_p$ ) of  $L_p^\perp$  for all  $p \geq 5$  (respectively  $L_p$  for all  $p \geq 3$ ).*

*Proof.* The proof is analogous to the one of [LIPT23, Proposition 5.11], so we only sketch it here. We first observe that the set  $\Sigma$  is a generating set for  $L_p$  (respectively  $L_p^\perp$ ), because for each  $b \in \mathbf{R}$  and  $3 \leq i \leq p$  the element  $x_i^b$  can be expressed as a word  $u_i$  in  $\mathcal{F}[O_p(1)]$  such that  $|u_i| = O_p(b^{\frac{1}{i-1}}) + O_p(1)$ . We then show that every word  $w$  in the letters  $x_1$  and  $x_2$  can be expressed in normal form  $x_1^{b_1} \dots x_p^{b_p}$  with  $|b_1| \lesssim |w|$  and  $|b_i| \lesssim |w|^{i-1}$  for  $2 \leq i \leq p$ . Finally, combining these observations, we can express each of the words  $x_j^{b_j}$ , in the normal form of  $w$ , as words  $u_j \in \mathcal{F}[O_p(1)]$ . Thus,  $u := u_1 \dots u_p =_P w$  with  $|u| = O_p(|w|) + O_p(1)$ , completing the proof.  $\square$

**Corollary 2.19** (cf. [LIPT23, Corollary 5.12]). *For all  $p \geq 5$  the subset  $\mathcal{G}$  is  $O_p(1)$ -efficient with respect to the compact presentation  $\mathcal{P}$  of  $L_p^\perp \times_Z L_3$  (respectively of  $L_p \times_Z L_3$  for all  $p \geq 3$ ).*

*Proof.* Proposition 2.18 gives us an efficient set for  $L_p^\perp$  for all  $p \geq 5$  (respectively  $L_p$  for all  $p \geq 3$ ). In particular, we have an efficient set for the product of  $L_p^\perp \times L_3$  (respectively  $L_p \times L_3$  for all  $p \geq 3$ ) and therefore for the quotient  $L_p^\perp \times_Z L_3$  (respectively of  $L_p \times_Z L_3$  for all  $p \geq 3$ ).  $\square$

### 3. LOWER BOUNDS

The main result of this Section is Proposition 3.2. On our way we single out the main technical ingredient as Lemma 3.1 below; our purpose in doing so is that this allows us to reframe the technique of [LIPT23, §8] in a more flexible framework. As in [LIPT23] we use certain one-forms with bounded exterior derivatives that we can integrate against loops, but Lemma 3.1 helps to evaluate the integrals provided that the exterior derivative is simple enough. Overlooking regularity issues, the ideal setting for such computations is when the differential of the one-form coincides piecewise with left-invariant forms.

An other technique for the lower bound, that is widely used in the setting of finitely generated groups, consists in using the distortion in central extensions, see e.g. [BW97, page 561] for an early occurrence; in [LIPT23] the relevant statement is part of Proposition 7.2. We prove a Lie-theoretic counterpart in Proposition 3.7, when the distortion is the highest possible. For this, we use again Lemma 3.1, so that this technique appears as a special case of the one involving non necessarily left-invariant forms. This principle was already instrumental in the choice of the one-forms in [LIPT23] (as described in the strategy of proof in [LIPT23, §2.2]), but the presentation here is intended to make the connection more apparent.

#### 3.A. An integral identity in central extensions

**Lemma 3.1.** *Let  $L > 0$ . Let  $G$  be a simply connected nilpotent Lie group. Let  $\omega \in Z^2(G, \mathbf{R})$  and let*

$$1 \rightarrow \mathbf{R} \xrightarrow{\iota} \tilde{G} \rightarrow G \rightarrow 1$$

*be the central extension associated to  $\omega$ . Let  $V$  be a left-invariant subbundle of  $T\tilde{G}$ , transverse to the cosets of  $\iota(\mathbf{R})$ . Let  $\lambda: [0, L] \rightarrow G$  be a piecewise  $C^1$  loop in  $G$  and*

let  $\tilde{\lambda}: [0, L] \rightarrow \tilde{G}$  be the lift of  $\lambda$  in  $\tilde{G}$  with derivative in  $V$  whenever it is defined, and  $\tilde{\lambda}(0) = 1$ . Further, let  $\alpha$  be a one-form on  $G$  such that  $d\alpha = \omega$ . Then

$$\int_{\lambda} \alpha = \iota^{-1}(\tilde{\lambda}(L)).$$

*Proof.* Let  $Y_1, \dots, Y_n$  form a basis of  $\text{Lie}(G)$ , and let  $\tilde{Y}_1, \dots, \tilde{Y}_n$  be the frame of  $V$  that lifts them. A basis of  $\text{Lie}(\tilde{G})$  is then given by  $\tilde{Y}_1, \dots, \tilde{Y}_n$  and  $Z$ , where  $Z$  is such that  $\iota(\mathbf{R})$  is the one-parameter subgroup generated by  $Z$ ; if we decompose  $[Y_i, Y_j] = \sum \beta_k^{ij} Y_k$  for all  $i, j$  then the Lie brackets in  $\tilde{\mathfrak{g}}$  are given by  $[\tilde{Y}_i, \tilde{Y}_j] = \sum \beta_k^{ij} \tilde{Y}_k + \omega(Y_i, Y_j)Z$ . Denote  $\tilde{\alpha} = \pi^* \alpha$ . Finally, define  $\mu(t) = \iota(t)$  for  $t \in [0, \iota^{-1}(\tilde{\lambda}(L))]$ .

In  $\tilde{G}$ ,  $\pi^* \omega = -d\zeta$  for the left-invariant form  $\zeta$  on  $\tilde{G}$  such that  $\ker(\zeta) = V$  and  $\langle \zeta, Z \rangle = 1$ . In particular,  $d(\tilde{\alpha} + \zeta) = 0$  and therefore,

$$\int_{\lambda} \alpha = \int_{\tilde{\lambda}} \tilde{\alpha} \stackrel{(1)}{=} \int_{\tilde{\lambda}} \tilde{\alpha} + \zeta \stackrel{(2)}{=} \int_{\mu} \tilde{\alpha} + \zeta.$$

Let us justify the previous equalities. In (1) we used that  $\int_{\tilde{\lambda}} \zeta = 0$ , as  $V = \ker(\zeta)$  and  $\tilde{\lambda}$  is almost everywhere tangent to  $V$ . In (2) we used that  $d(\tilde{\alpha} + \zeta) = 0$ , and that  $\tilde{\lambda}$  and  $\mu$  are homotopic with fixed endpoints. Now,

$$\int_{\mu} \tilde{\alpha} + \zeta = \int_{\mu} \zeta = \iota^{-1}(\mu(\tilde{\lambda}(L))),$$

where we used successively that  $Z \in \ker \tilde{\alpha}$ , and that  $\mu'(t) = Z$  for all  $t$  in  $[0, \iota^{-1}(\tilde{\lambda}(L))]$ .  $\square$

### 3.B. Lower bound from non-invariant forms

Throughout this subsection,  $G$  denotes a central product of the form

$$G = K \times_Z H$$

where  $K$  is either  $L_p$  for  $p \geq 3$  or  $L_p^\perp$  for  $p \geq 5$ , and  $H$  is a simply connected nilpotent group with a one-dimensional centre. We prove the following.

**Proposition 3.2.** *Let  $G$  be as above. Then  $\delta_G(n) \asymp n^{p-1}$ .*

Let  $\mathfrak{g}$  be the Lie algebra of  $G$ . A basis for  $\mathfrak{g}$  is given by

$$X_1, \dots, X_{p-1}, X_p = Z = Y_q, Y_1, \dots, Y_{q-1}$$

where  $X_1, \dots, X_{p-1}$  is an adapted basis of  $L_p$  or  $L_p^\perp$  and  $Y_1, \dots, Y_q$  is a (copy of) a basis of  $H$ . Let  $\xi_1, \dots, \xi_{p-1}, \zeta, \eta_1, \dots, \eta_{q-1}$ , be the basis of  $\text{Hom}(\mathfrak{g}, \mathbf{R})$  dual to the former one. Then

$$\begin{cases} d\xi_1 = 0 \\ d\xi_2 = 0 \\ d\xi_i = -\xi_1 \wedge \xi_{i-1} & 2 \leq i \leq p-1 \\ d\eta_j = -\sum e_{ik}^j \eta_i \wedge \eta_k & 1 \leq j \leq q-1 \\ d\zeta = -\xi_1 \wedge \xi_{p-1} - \sum f_{ik} \eta_i \wedge \eta_k, \text{ or } -\xi_1 \wedge \xi_{p-1} - \sum f_{ik} \eta_i \wedge \eta_k - \xi_2 \wedge \xi_3 \end{cases}$$

The left invariant form  $\xi_1$  is closed, hence it has a (non-left-invariant) primitive in  $G$ , that we denote  $u_1$ , with the convention that  $u_1(1) = 0$ .

Consider the following pair of continuous one-forms on  $G$ :

$$(3.1) \quad \beta_0 = u_1 \xi_{p-1} + \frac{u_1^2}{2} \xi_{p-2} + \frac{u_1^3}{6} \xi_{p-3} + \dots + \frac{u_1^{p-2}}{(p-2)!} \xi_2$$

and

$$(3.2) \quad \beta_1 = \text{sign}(u_1) \beta_0.$$

Note that  $\beta_1$  is continuous because  $\beta_0$  vanishes on  $\{u_1 = 0\}$ . Moreover, one computes that

$$\begin{aligned} d\beta_0 &= \xi_1 \wedge \xi_{p-1} - u_1 \xi_1 \wedge \xi_{p-2} + u_1 \xi_1 \wedge \xi_{p-2} - \frac{u_1^2}{2} \xi_1 \wedge \xi_{p-3} \\ &\quad + \cdots - \frac{u_1^{p-2}}{(p-2)!} \xi_1 \wedge \xi_2 + \frac{u_1^{p-2}}{(p-2)!} \xi_1 \wedge \xi_2 \\ &= \xi_1 \wedge \xi_{p-1}, \end{aligned}$$

and then, outside of the subvariety  $\{u_1 = 0\}$   $\beta_1$  is smooth and its exterior derivative can be expressed as

$$d\beta_1 = \text{sign}(u_1) \xi_1 \wedge \xi_{p-1}.$$

**Remark 3.3.**  $\beta_1$  is going to play the role of the form that was named  $\beta_0$  in [LIPT23, §8.3]; although we avoid using a linear representation of  $L_p$  or  $L_p^\perp$ .

Next, we are going to construct a loop  $\Lambda$  in  $G$  (actually, in the first factor of the central product). This loop is the concatenation of four paths. Two of those paths are defined below, and the remaining two paths follow integral curves of  $X_1$ .

Let  $\ell > 0$  be a first parameter. For  $3 \leq k \leq p$  we construct inductively a number  $\ell_k$  and a curve  $\lambda_k: [0, \ell_k] \rightarrow L_p$  as follows: Set  $\ell_3 := 4\ell$ , and define the following curve  $\lambda_3: [0, \ell_3] \rightarrow L_p$ :

$$\lambda_3(t) = \begin{cases} \exp(tX_1) & 0 \leq t \leq \ell \\ \exp(\ell X_1) \exp((t - \ell)X_2) & \ell \leq t \leq 2\ell \\ \exp(\ell X_1) \exp(\ell X_2) \exp(-(t - 2\ell)X_1) & 2\ell \leq t \leq 3\ell \\ \exp(\ell X_1) \exp(\ell X_2) \exp(-\ell X_1) \exp(-(t - 3\ell)X_2) & 3\ell \leq t \leq 4\ell. \end{cases}$$

Assuming that  $\ell_k$  and  $\lambda_k: [0, \ell_k] \rightarrow L_p$  have been constructed, define

$$\lambda_{k+1}(t) = \begin{cases} \exp(tX_1) & 0 \leq t \leq \ell \\ \exp(\ell X_1) \lambda_k(t - \ell) & \ell \leq t \leq \ell + \ell_k \\ \exp(\ell X_1) \lambda_k(\ell_k) \exp(-(t - \ell - \ell_k)X_1) & \ell + \ell_k \leq t \leq 2\ell + \ell_k \\ \exp(\ell X_1) \lambda_k(\ell_k) \exp(-\ell X_1) \lambda_k(t - 2\ell - \ell_k)^{-1} & 2\ell + \ell_k \leq t \leq 2\ell + 2\ell_k. \end{cases}$$

**Remark 3.4.** In §4 we consider certain families of words in  $L_p$  and  $L_p^\perp$ , and the loop  $\lambda_p$  is closely related to the word that we denote  $\Omega_{p-1}^2(\ell, \dots, \ell)$ . More precisely, one can embed the Cayley graph of the lattice generated by  $x_1^\ell, x_2^\ell$  in  $L_p$ ; then,  $\lambda_p$  is the loop in  $L_p$  corresponding to the word  $\Omega_{p-1}^2(\ell, \dots, \ell)$  seen as a loop in the Cayley graph.

It follows from the construction, by induction in  $k$  (compare to (2.2)), that we have

$$\log \lambda_k(\ell_k) \equiv \ell^{k-1} X_k \pmod{\oplus_{j=k+1}^p \langle X_j \rangle}$$

for all  $k \in \{3, \dots, p\}$ . In particular, we record for further use

$$(3.3) \quad \lambda_p(\ell_p) = \exp(\ell^{p-1} X_p).$$

Moreover, by induction on  $k$ , we get that

$$(3.4) \quad \ell_p = (2^{p-1} + 2^{p-2} - 2)\ell.$$

Now, let  $L > 0$  be a second parameter. Consider the following loop in  $G$ :

$$\Lambda(t) = \begin{cases} \exp(tX_1) & 0 \leq t \leq L, \\ \exp(LX_1) \lambda_p(t - L) & L \leq t \leq L + \ell_p, \\ \exp(LX_1 + \ell^{p-1} X_p) \exp(-(t - L - \ell_p)X_1) & L + \ell_p \leq t \leq 3L + \ell_p, \\ \exp(-LX_1) \lambda_p(3L + 2\ell_p - t) & 3L + \ell_p \leq t \leq 3L + 2\ell_p, \\ \exp(-LX_1) \exp((t - 3L - 2\ell_p)X_1) & 3L + 2\ell_p \leq t \leq 4L + 2\ell_p, \end{cases}$$

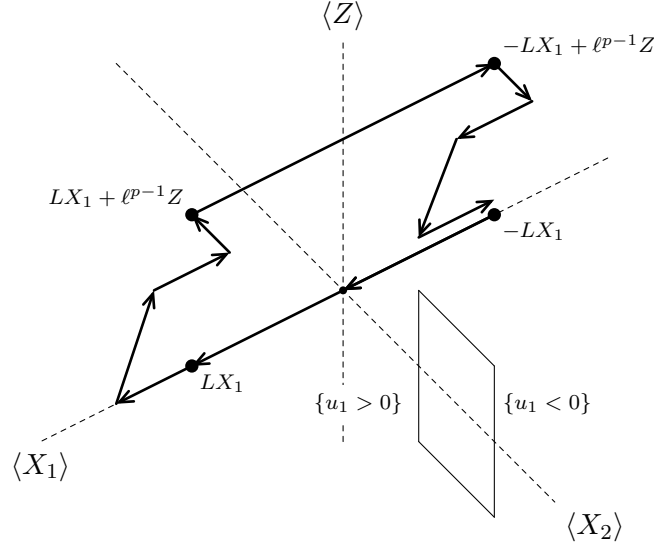


FIGURE 3. The loop  $\log \Lambda$  in  $\mathfrak{l}_p$  for  $p = 3$ . (For readability, the line segment that is the part of the loop ending at  $-LX_1$  is pictured with a slight shift.)

Here we used (3.3) and the fact that  $[X_1, X_p] = 0$  so that

$$\exp(LX_1) \exp(\ell^{p-1}X_p) = \exp(LX_1 + \ell^{p-1}X_p)$$

in order to simplify the expression of  $\Lambda$ .

**Lemma 3.5.** *Let  $\ell$ ,  $L$  and  $\Lambda: [0, 4L + 2\ell_p] \rightarrow G$  be as above. There is a constant  $C_p$  only depending on  $p$  such that if  $L \geq C_p \ell$ , then*

$$(3.5) \quad \int_{\Lambda} \beta_1 = 2\ell^{p-1}.$$

*Proof.* Let  $\pi: L_p \rightarrow L_{p-1}$  be the quotient by the centre of  $L_p$ . Note that  $\beta_0 = \pi^* \bar{\beta}_0$ <sup>5</sup>, where  $d\bar{\beta}_0$  is the closed one-form  $\xi_1 \wedge \xi_{p-1}$  on  $L_{p-1}$  determining the  $(p-1)$ -central extension  $L_p \rightarrow L_{p-1}$ . It then follows from Lemma 3.1 that

$$(3.6) \quad \int_{\lambda_p} \beta_0 = \ell^{p-1}.$$

For  $s, e \in \mathbf{R}$  denote by  $\sigma(s, e)$  the (oriented) portion of the one-parameter subgroup  $\{\exp(tX_1)\}$  between  $s$  and  $e$ . In view of the definition of  $\Lambda$ ,

$$(3.7) \quad \begin{aligned} \int_{\Lambda} \beta_1 &= \int_{\sigma(0, L)} \beta_1 + \int_{\exp(LX_1)\lambda_p} \beta_1 + \int_{\exp(\ell^{p-1}X_p)\sigma(L, -L)} \beta_1 \\ &\quad - \int_{\exp(-LX_1)\lambda_p} \beta_1 + \int_{\sigma(-L, 0)} \beta_1 \\ &= \int_{\exp(LX_1)\lambda_p} \beta_1 - \int_{\exp(-LX_1)\lambda_p} \beta_1, \end{aligned}$$

where we used that  $\beta_1(X_1) = 0$  to deduce that

$$\int_{\sigma(0, L)} \beta_1 + \int_{\exp(\ell^{p-1}X_p)\sigma(L, -L)} \beta_1 + \int_{\sigma(-L, 0)} \beta_1 = 0.$$

<sup>5</sup>Here  $\bar{\beta}_0$  is defined as in (3.1) with respect to the analogous choices of primitives and dual basis for  $L_{p-1}$  viewed as a quotient of  $L_p$ .

In order to evaluate the integral of  $\beta_1$  along  $\Lambda$ , we must determine the sign of  $u_1$  on the portions of the loop that remain in (3.7). Start by observing that  $|(u_1 \circ \Lambda)'(t)| \leq 1$  for all  $t \in [0, 4L + 2\ell_p]$  while  $u_1(\Lambda(L)) = L$  and  $u_1(3L + 2\ell_p) = -L$ . So if  $L > \ell_p$  then

$$(u_1 \circ \Lambda)(t) \geq L - \int_L^t |(u_1 \circ \Lambda)'(s)| ds \geq L - \ell_p > 0$$

for all  $t \in [L, L + \ell_p]$  and  $(u_1 \circ \Lambda)(t) < 0$  for all  $t \in [3L + \ell_p, 3L + 2\ell_p]$ , ensuring that

$$\int_{\exp(LX_1)\lambda_p} \beta_1 = \int_{\exp(LX_1)\lambda_p} \beta_0 = \ell^{p-1}$$

and

$$\int_{\exp(-LX_1)\lambda_p} \beta_1 = \int_{\exp(-LX_1)\lambda_p} (-\beta_0) = -\ell^{p-1}.$$

Plugging these two equalities into (3.7) yields the conclusion, still under the assumption  $L > \ell_p$ . Finally, by (3.4),  $\ell_p$  is bounded by a multiple of  $\ell$ . So the conclusion holds under the assumption that  $L \geq C_p \ell$ , for some  $C_p > 0$ .  $\square$

To complete the proof of Proposition 3.2, we need the following auxiliary Lemma.

**Lemma 3.6.** *The function  $u_1$  is lipschitz on  $G$ .*

*Proof.* Consider the projection  $\pi: G \rightarrow \mathbf{R}^2$  given by quotienting by the normal subgroup generated by  $[K, K]$  and  $H$ . Then  $u_1 = \pi^* \underline{u}_1$  where  $\underline{u}_1$  is a linear form on  $\mathbf{R}^2$ .  $\square$

*Proof of Proposition 3.2.* Equip  $K$  with the adapted compact presentation given in Proposition 2.8. After choosing any compact presentation for the group  $H$ , we can produce an adapted presentation of  $G$  (Definition 2.3) using Lemma 2.2. This is the presentation  $\langle S \mid R \rangle$  we use. In particular, we denote two subsets of the generating system,  $S_1 = \{\exp(tX_1) : 0 \leq t \leq 1\}$  and  $S_2 = \{\exp(tX_2) : 0 \leq t \leq 1\}$ . According to the setting of Lemma 2.1, for every  $s \in S_i$  we let

$$\bar{s} : [0, 1] \rightarrow G, \sigma \mapsto \exp(\sigma t X_i),$$

where  $t$  is such that  $s = \exp(tX_i)$ . Note that they have bounded length. We do not specify the other  $\bar{s}$ , aside from asking that they are smooth of bounded length, as the rest of the proof does not depend on them. For integers  $L$  and  $\ell$  the loop  $\Lambda$  in  $G$  is then the path  $\bar{w}$ , where

$$w = x_1^L \Omega_{p-1}(\ell, \dots, \ell) x_1^{-2L} \Omega_{p-1}(\ell, \dots, \ell)^{-1} x_1^L$$

is a word of word length  $4L + 2\ell_p$  in  $S$ . Set  $L = \lceil C_p \ell \rceil$ , where  $C_p$  is the constant from Lemma 3.5. From this point, fix the left-invariant Riemannian metric on  $G$  for which our chosen basis of  $\mathfrak{g}$  is orthonormal (this is not strictly necessary, but simplifies some of the following estimates). Since  $S$  is compact and every relator in  $R$  has bounded length in  $S$ , there is a uniform upper bound on the length and diameter of the  $\bar{r}$  with  $r \in R$ . By left-invariance, the same is true (with the same bounds) for the left translates  $g_* \bar{r}$  with  $g \in G$ .

We use the following case distinction to deduce a uniform upper bound on  $\int_{g_* \bar{r}} \beta_1$  with  $g \in G$  and  $r \in R$ :

- If  $g_* \bar{r}$  does not intersect  $\{u_1 = 0\}$ , then  $d\beta_1$  coincides with a form with left-invariant derivative (namely,  $\pm d\beta_0$ ) on  $g_* \bar{r}$ . By the usual Stokes formula (for surfaces with piecewise smooth boundary), for every disk  $\Delta$  filling  $\bar{r}$  we have

$$\int_{g_* \bar{r}} \beta_1 = \int_{g_* \Delta} \pm d\beta_0,$$

and the latter is bounded by a constant times the Riemannian area of  $\Delta$ . This area is itself bounded by a constant that does not depend on  $r$ .

- If  $g_*\bar{r}$  intersects  $\{u_1 = 0\}$ , by Lemma 3.6, there is a constant  $M$  independent of  $r$  such that  $\sup_{g_*\bar{r}} |u_1| \leq M$ . In view of the definition of  $\beta_1$  given in (3.2),

$$\left| \int_{g_*\bar{r}} \beta_1 \right| \leq \text{length}(\bar{r}) \cdot \left( M + \frac{M^2}{2} + \cdots + \frac{M^{p-2}}{(p-2)!} \right) \leq \text{length}(\bar{r}) \cdot (e^M - 1),$$

where by length, we mean the Riemannian length. We may now set

$$C := \sup \left\{ \left| \int_{g_*\bar{r}} \beta_1 \right| : r \in R \right\} < +\infty$$

and apply Lemma 2.1 with the word  $w$  defined above. We conclude that  $\text{Area}(w)$  is at least of the order  $\ell^{p-1}$ . Since the word length of  $w$  is of the order of  $\ell$ , this finishes the proof.  $\square$

### 3.C. Lower bound using maximal distortion: Lie-group version

**Proposition 3.7.** *Let  $k \geq 2$ . Let  $G$  be a simply connected nilpotent Lie group of nilpotency class  $k - 1$ . Assume that for some  $\omega \in Z^2(G, \mathbf{R})$  the central extension*

$$1 \rightarrow \mathbf{R} \xrightarrow{\iota} \tilde{G} \xrightarrow{\pi} G \rightarrow 1$$

*has nilpotency class  $k$ . Then the Dehn function of  $G$  satisfies  $\delta_G(n) \preceq n^k$ .*

To prove Proposition 3.7 we require two preliminary lemmas.

**Lemma 3.8.** *Let  $\tilde{G}$  be a simply connected nilpotent Lie group of class  $k$  with a one-dimensional centre. There exists  $x_1, \dots, x_k \in G$  such that  $[x_1, \dots, x_k] \in Z(\tilde{G}) \setminus 1$ .*

*Proof.* The proof is inspired by [MKS66, Exercise 3 page 291]. Using Lemma 2.13(2) and the fact that a conjugate of a commutator is a commutator of conjugates, we prove by induction on  $c$  that every element of  $C^c G$  is a product of simple  $c$ -fold commutators. This is especially true for  $c = k$ . The assumptions imply that  $C^k \tilde{G} = Z(\tilde{G})$ . Consider  $z \in C^k \tilde{G}$ , not equal to 1 and write it as a product of  $k$ -fold simple commutators. Assume for a contradiction that all  $k$ -fold commutators in this product are trivial; then  $\tilde{G}$  is of class less or equal  $k - 1$ . So one of them, say  $[x_1, \dots, x_k]$ , is non-trivial.  $\square$

**Lemma 3.9.** *Let  $\tilde{G}$  be a simply connected nilpotent Lie group of class  $k$ , and  $x_1, \dots, x_k \in \tilde{G}$  such that  $x := [x_1, \dots, x_k] \in Z(\tilde{G}) \setminus 1$ . Then for every  $s \in \mathbf{R}$ ,*

$$[x_1^s, x_2^s, \dots, x_k^s] = x^{s^k}.$$

*Proof.* By repeated application of the Baker-Campbell-Hausdorff formula,

$$\log[x_1^s, \dots, x_k^s] = [\log x_1^s, \dots, \log x_k^s] = s^k \log[x_1, \dots, x_k]. \quad \square$$

*Proof of Proposition 3.7.* Let  $V$  be as in the statement of Lemma 3.1. Fix a compact generating set  $S$  of  $G$ ; let  $x_1, \dots, x_k$  be as provided by Lemma 3.8. Moreover, assume without loss of generality in view of Lemma 3.9 that  $[x_1, \dots, x_k] = \iota(1)$ . We also consider a compact presentation of  $\tilde{G}$  in which all the  $x_i$  and their powers with exponents between 0 and 1 are in the generating system. Set  $X_i = \log(\pi(x_i))$  for all  $i$  and denote by  $\tilde{X}_i$  the lift of  $X_i$  to  $V$ . Note that  $X_i \neq 0$  for all  $i$ , otherwise  $[x_1, \dots, x_k]$  would be trivial.

Let  $\ell \geq 0$  be a parameter, and consider the loop  $\lambda = \overline{w}$ , where  $w = [\pi(x_1)^\ell, \dots, \pi(x_k)^\ell]$  and  $\overline{\pi(x_i)}$  is the segment  $\{\exp(sX_i)\}$  for  $0 \leq s \leq 1$ . A lift of  $\lambda$  in  $\tilde{G}$  that is everywhere parallel to  $V$  is  $\tilde{\lambda} = \overline{\Omega}$ , where  $\Omega$  is the word  $[x_1^\ell, \dots, x_k^\ell]$  in  $\tilde{G}$  and  $\overline{x_i}$  is the segment  $\{\exp(s\tilde{X}_i)\}$  for  $0 \leq s \leq 1$ .

Let  $\alpha$  be a primitive for  $\omega$ . By Lemmas 3.1 and 3.9 we have that

$$\left| \int_\lambda \alpha \right| = \ell^k.$$



Since  $\omega = d\alpha$  is a left-invariant form, there is a uniform bound on the absolute values of its integrals over  $g_*\bar{r}$  for  $r \in R$  and  $g \in G$ . It thus follows from Lemma 2.1 that the combinatorial area of  $w$  is at least of the order of  $\ell^k$ . Since its word length is of the order of  $\ell$ , this concludes the proof.  $\square$

**Corollary 3.10.** *Let  $k \geq 2$ . Let  $G$  be a simply connected nilpotent Lie group of nilpotency class  $k - 1$ . Assume that for some  $\omega \in Z^2(G, \mathbf{R})$  the central extension*

$$1 \rightarrow \mathbf{R} \xrightarrow{\iota} \tilde{G} \xrightarrow{\pi} G \rightarrow 1$$

*has nilpotency class  $k$ . Then  $\delta_G(n) \asymp n^k$ .*

*Proof.* The lower bound is given by Proposition 3.7 and the upper bound is the Lie-group version of the Gersten–Holt–Riley upper bound (see Theorem B.1).  $\square$

#### 4. UPPER BOUNDS

In this section we treat upper bounds on Dehn functions. With these results together with the ones in §3 we are able to precisely compute the Dehn functions of the groups we consider.

The first part, §4.A, deals with the central products

$$G_{p,3} := L_p \times_Z L_3 \quad \text{and} \quad G_{p,3}^\perp := L_p^\perp \times_Z L_3$$

introduced in §2.D.3. The main steps of the proof are similar to the ones in [LIPT23, Section 6], but the actual proofs of some of the steps require significant changes that involve new ideas. We thus follow the overall structure and notation used in [LIPT23] and refer the reader to that work in places where our proofs are completely analogous, while explaining in detail the steps where our proofs are different. We mostly focus on  $G_{p,3}^\perp$ . The proofs for  $G_{p,3}$  are similar, but slightly easier, since one of the relations simplifies. An important takeaway from this part is that the approach developed in [LIPT23, Section 6] holds with much higher generality. We achieve this by significantly simplifying the first part of the proof given there. The most important simplification is that we avoid the use of the highly technical Fractal Form Lemma [LIPT23, Lemma 6.17] by instead using an inductive argument to prove the First and Second commuting Lemmas and then deduce the Main Commuting Lemma (see below for the statements of these results). Further refining this approach seems to be a promising route towards extending the upper bound in Conjecture 1.2 to very general classes of groups in the factor of higher nilpotency class. The fact that we simultaneously cover the groups  $L_p$  and  $L_p^\perp$  showcases this idea, which is one of our motivations for proving this conjecture for both of these cases.

In the second part, §4.B, we show how to obtain the upper bounds for a bigger class of central products of nilpotent groups using the results obtained for  $G_{p,3}$  and  $G_{p,3}^\perp$ , namely central products of the form

$$L_p \times_Z H \quad \text{and} \quad L_p^\perp \times_Z H,$$

where  $H$  is a simply connected nilpotent Lie group of class  $q - 1$  with one-dimensional centre. This provides the upper bounds in Theorem I and Theorem II.

We start this section by recalling notation from §2.D.3 that is used throughout the whole section.

**4.1. Notation and conventions.** For  $j \geq 2$ ,  $k \geq 1$ , and  $\underline{n} := (n_1, \dots, n_k) \in \mathbf{R}^k$  we denote by

$$\Omega_k^j(\underline{n}) := [x_1^{n_1}, \dots, x_1^{n_{k-1}}, x_j^{n_k}].$$

For  $j = 2$  we only denote it by  $\Omega_k(\underline{n})$ . We refer to them as  $\Omega_k^j$ -words, and when we do not need to specify the parameters  $(k, j)$  we shall refer to them simply as  $\Omega$ -words. Moreover,

we extend the notation of  $\Omega$ -words to the generators  $y_i$  as follows:

$$\tilde{\Omega}_k^j(\underline{n}) := [y_1^{n_1}, \dots, y_1^{n_{k-1}}, y_j^{n_k}].$$

Recall the efficient sets introduced in §2.D.3:

$$\Sigma := \{x_1^{a_1}, x_2^{a_2} \mid |a_1|, |a_2| \leq 1\}, \quad \mathcal{F} := \{s^n \mid s \in \Sigma, n \in \mathbf{N}\},$$

$$T := \{x_1^{a_1}, x_2^{a_2}, y_1^{a_3}, y_{p-1}^{a_4} \mid |a_1|, |a_2|, |a_3|, |a_4| \leq 1\}, \text{ and } \mathcal{G} := \{s^n \mid s \in T, n \in \mathbf{N}\}.$$

#### 4.A. Upper bounds on the Dehn functions of $G_{p,3}^\perp$ and $G_{p,3}$

We fix once and for all the compact presentation  $\mathcal{P}$  for  $G_{p,3}^\perp$  (respectively for  $G_{p,3}$ ) obtained using Lemma 2.2 and the compact presentation for  $L_p^\perp$  (respectively for  $L_p$ ) given in Proposition 2.8. By abuse of notation we denote both presentations by  $\mathcal{P}$  and only specify to which groups it refers whenever confusion may arise. We start by stating the main results of this section.

**Proposition 4.1.** *Let  $p \geq 3$ . The Dehn function of the group  $G_{p,3} := L_p \times_Z L_3$  satisfies  $\delta_{G_{p,3}}(n) \preccurlyeq n^{p-1}$ .*

**Proposition 4.2.** *Let  $p \geq 5$ . The Dehn function of the group  $G_{p,3}^\perp := L_p^\perp \times_Z L_3$  satisfies  $\delta_{G_{p,3}^\perp}(n) \preccurlyeq n^{p-1}$ .*

**Strategy of the proofs of Propositions 4.1 and 4.2.** The proof of Proposition 4.1 and Proposition 4.2 are done simultaneously by ascending induction on  $p$ , where we emphasise that the *induction steps from  $p-1$  to  $p$  only require the induction hypothesis for  $G_{q,3}$  with  $q < p$* .

For the proof of the induction step for  $G_{p,3}^\perp$  (respectively  $G_{p,3}$ ) we need to show that the area of null-homotopic words  $w := w(x_1, x_2)$  in  $G_{p,3}^\perp$  (respectively  $G_{p,3}$ ) is  $\lesssim_p n^{p-1}$ . By Proposition 2.17 it suffices to show that null-homotopic words  $w(x_1, x_2) \in \mathcal{F}[\alpha]$  have area  $\lesssim_{p,\alpha} n^{p-1}$  for every  $\alpha > 0$ . To show this we first prove a result about commuting certain words in  $G_{p,3}^\perp$  (respectively  $G_{p,3}$ ), which we call the Main commuting Lemma 4.6 for  $G_{p,3}^\perp$  (respectively Lemma 4.3 for  $G_{p,3}$ ).

As explained above, it is in the proof of the Main commuting Lemmas for  $G_{p,3}$  and  $G_{p,3}^\perp$  that our proof significantly simplifies the one in [LIPT23]. Each one of the Main commuting Lemmas is a consequence of two corresponding results about commutators involving the  $\Omega_k^j$ -words – namely the First and Second commuting  $(k, j)$ -Lemmas – and the Reduction Lemma 4.9. It should be noted that the First commuting  $(k, j)$ -Lemma is a generalisation of [LIPT23, Lemma 6.5 and Lemma 6.7], while the Second commuting  $(k, j)$ -Lemma is a generalisation of [LIPT23, Lemma 6.4 and Lemma 6.6]. Once we have proven the First and Second commuting  $(k, j)$ -Lemmas, the proof follows the arguments in [LIPT23]: in particular, key steps include the Reduction Lemma 4.9, which allows us to deduce the Main Commuting Lemmas from the First and Second Commuting  $(k, j)$ -Lemmas, and the Cancelling  $k$ -Lemma 4.10 for  $G_{p,3}$  (respectively  $G_{p,3}^\perp$ ). We start by stating the main results.

**Disclaimer.** Throughout Section §4.A we state the results for both of the groups  $G_{p,3}$  and  $G_{p,3}^\perp$  and prove them for the more complicated case of  $G_{p,3}^\perp$ . In some cases the corresponding statements for  $G_{p,3}$  are simpler due to the absence of the relation  $[x_2, x_3] =_p x_p$  (see for instance the Main commuting Lemma or the Second commuting  $(k, j)$ -Lemma). Whenever it may not be clear that a proof for  $G_{p,3}^\perp$  translates easily to one for  $G_{p,3}$  we offer an explanation.

**Lemma 4.3** (Main commuting Lemma for  $G_{p,3}$ , cf. [LIPT23, Lemma 6.2]). *Let  $p \geq 3$ ,  $\alpha \geq 1$ ,  $n \geq 1$ , and let  $w_1(x_1, x_2)$ ,  $w_2(x_1, x_2)$  be either powers of  $x_2$  or words in  $\mathcal{F}[\alpha]$  representing elements in the derived subgroup of  $G_{p,3}$ . If  $|w_1|, |w_2| \leq n$ , then the identity*

$$[w_1, w_2] =_{\mathcal{P}} 1$$

*holds in  $G_{p,3}$  with area  $\lesssim_{\alpha,p} n^{p-1}$ .*

**Lemma 4.4** (First commuting  $(k, j)$ -Lemma for  $G_{p,3}$ , cf. [LIPT23, Lemma 6.5 and Lemma 6.7]). *Let  $p \geq 3$ ,  $n \geq 1$ ,  $j \geq 2$ ,  $k \geq 1$ ,  $\underline{n} \in \mathbf{R}^k$  with  $|\underline{n}| \leq n$ , and  $m \in \mathbf{R}$ . The identity*

$$[\Omega_k^j(\underline{n}), x_2^m] =_{\mathcal{P}} 1$$

*holds in  $G_{p,3}$  with area  $\lesssim_p |m|n^{p-j} + n^{p-j+1}$  if  $j < p$  and area  $\lesssim_p |m|n + n^2$  if  $j = p$ .*

**Lemma 4.5** (Second commuting  $(k, j)$ -Lemma for  $G_{p,3}$ , cf. [LIPT23, Lemma 6.4 and Lemma 6.6]). *Let  $p \geq 3$ ,  $n \geq 1$ ,  $j \geq 2$ ,  $k \geq 1$ ,  $\underline{n} \in \mathbf{R}^k$  with  $|\underline{n}| \leq n$ ,  $\alpha \geq 1$  and  $w := w(x_1, x_2) \in \mathcal{F}[\alpha]$  be a word of length at most  $n$  representing an element in the derived subgroup of  $G_{p,3}$ . The identity*

$$[\Omega_k^j(\underline{n}), w] =_{\mathcal{P}} 1$$

*holds in  $G_{p,3}$  with area  $\lesssim_{\alpha,p} n^{p-j+1}$  if  $j < p$  and area  $\lesssim_{\alpha,p} n^2$  if  $j = p$ .*

**Lemma 4.6** (Main commuting Lemma for  $G_{p,3}^\perp$ , cf. [LIPT23, Lemma 6.2]). *Let  $p \geq 5$ ,  $\alpha \geq 1$ , and  $n \geq 1$ . If  $w_1$  and  $w_2$  are either powers of  $x_2$  or words of length at most  $n$  in  $\mathcal{F}[\alpha]$  representing elements of the derived subgroup of  $G_{p,3}^\perp$  with  $|w_1|, |w_2| \leq n$ , then the identities*

$$[w_1, w_2] =_{\mathcal{P}} \begin{cases} 1, & w_1, w_2 \text{ are both powers of } x_2, \\ 1, & w_1, w_2 \text{ both represent elements in } [G_{p,3}^\perp, G_{p,3}^\perp], \\ \prod_{i=1}^D \Omega_3^{p-2}(\underline{\eta}_i)^{\pm 1}, & w_1 \text{ represents a word in } [G_{p,3}^\perp, G_{p,3}^\perp] \text{ and } w_2 := x_2^k. \end{cases}$$

*hold in  $G_{p,3}^\perp$  with area  $\lesssim_{\alpha,p} n^{p-1}$  for some  $D = O_{\alpha,p}(1)$ , and  $\underline{\eta}_i \in \mathbf{R}^3$  with  $|\underline{\eta}_i| \lesssim_p n$ .*

**Lemma 4.7** (First commuting  $(k, j)$ -Lemma for  $G_{p,3}^\perp$ , cf. [LIPT23, Lemma 6.5 and Lemma 6.7]). *Let  $p \geq 5$ ,  $n \geq 1$ ,  $j \geq 2$ ,  $k \geq 1$ ,  $\underline{n} \in \mathbf{R}^k$ , and  $m \in \mathbf{R}$  with  $|\underline{n}| \leq n$ . Then, the identities*

$$[\Omega_k^j(\underline{n}), x_2^m] =_{\mathcal{P}} \begin{cases} 1, & \text{if } k+j \neq 4, \\ \Omega_2^{p-1}(m, -n_1), & \text{if } (k, j) = (1, 3), \\ \Omega_2^{p-1}(m, -n_2)^{n_1}, & \text{if } (k, j) = (2, 2), \end{cases}$$

*hold in  $G_{p,3}^\perp$  with area  $\lesssim_p |m|n^{p-j} + n^{p-j+1}$  if  $j < p$  and area  $\lesssim_p |m|n + n^2$  if  $j = p$ .*

*If, moreover,  $|m| \leq n$  and  $(k, j) = (2, 2)$ , then an identity of the form*

$$[\Omega_2(\underline{n}), x_2^m] =_{\mathcal{P}} \Omega_3^{p-2}(\tilde{m})$$

*holds in  $G_{p,3}^\perp$  with area  $\lesssim_p n^{p-1}$  for some suitable  $\tilde{m} \in \mathbf{R}^3$  with  $|\tilde{m}| \lesssim_p n$ .*

**Lemma 4.8** (Second commuting  $(k, j)$ -Lemma for  $G_{p,3}^\perp$ , cf. [LIPT23, Lemma 6.4 and Lemma 6.6]). *Let  $p \geq 5$ ,  $n \geq 1$ ,  $j \geq 2$ ,  $k \geq 1$ ,  $\underline{n} \in \mathbf{R}^k$ , and  $\alpha \geq 1$  with  $|\underline{n}| \leq n$ . If  $w := w(x_1, x_2) \in \mathcal{F}[\alpha]$  is a word of length at most  $n$  representing an element in the derived subgroup of  $G_{p,3}^\perp$ , then the identity*

$$[\Omega_k^j(\underline{n}), w] =_{\mathcal{P}} 1,$$

*holds in  $G_{p,3}^\perp$  with area  $\lesssim_{\alpha,p} n^{p-j+1}$  if  $j < p$  and area  $\lesssim_{\alpha,p} n^2$  if  $j = p$ .*

A key step in the proof of the Main Commuting Lemmas is the Reduction Lemma; the proof of the latter relies on the First and Second commuting Lemmas.

**Lemma 4.9** ([LIPT23, Lemma 6.8], Reduction Lemma). *Let  $\alpha \geq 1$ , let  $w = w(x_1, x_2)$  be a word of length at most  $n$  in  $\mathcal{F}[\alpha]$  representing an element in the derived subgroup of  $G_{p,3}^\perp$  for  $p \geq 5$  (respectively  $G_{p,3}$  for  $p \geq 3$ ). There exists  $L = O_{\alpha,p}(1)$  such that the identity*

$$w =_{\mathcal{P}} \prod_{i=1}^L \Omega_{l_i}(\underline{\eta}_i)^{\pm 1}$$

*holds in  $G_{p,3}^\perp$  (respectively  $G_{p,3}$ ) with area  $\lesssim_{\alpha,p} n^{p-1}$  for some  $2 \leq l_i \leq p-1$  and some  $\eta_i \in \mathbf{R}^{l_i}$  with  $|\eta_i| \lesssim_p n$ .*

Finally, we deduce the Cancelling  $k$ -Lemma from the Main Commuting Lemmas and then use it to complete the proofs of Proposition 4.1 and Proposition 4.2.

**Lemma 4.10** (Cancelling  $k$ -Lemma cf. [LIPT23, Lemma 6.9]). *Let  $n \geq 1$ ,  $2 \leq k \leq p-1$ ,  $M_j$  be a positive integer for all  $k \leq j \leq p-1$ , and  $M := \max \{M_j \mid k \leq j \leq p-1\}$ . Consider the word*

$$w(x_1, x_2) := \left( \prod_{i=1}^{M_k} \Omega_k(\underline{n}_{k,i})^{\pm 1} \right) \left( \prod_{i=1}^{M_{k+1}} \Omega_{k+1}(\underline{n}_{k+1,i})^{\pm 1} \right) \cdots \left( \prod_{i=1}^{M_{p-1}} \Omega_{p-1}(\underline{n}_{p-1,i})^{\pm 1} \right),$$

*for some  $\underline{n}_{l,i} \in \mathbf{R}^j$  with  $|\underline{n}_{l,i}| \leq n$ . If  $w := w(x_1, x_2)$  is null-homotopic in  $G_{p,3}^\perp$  for  $p \geq 5$  (respectively  $G_{p,3}$  for  $p \geq 3$ ), then it has area  $\lesssim_{M,p} n^{p-1}$ .*

We now proceed with the proofs of Proposition 4.1 and Proposition 4.2.

**4.A.1. Start of the induction on  $p$ .** As mentioned above, the proofs of Proposition 4.1 and Proposition 4.2 are done in parallel by ascending induction on  $p$ . The corresponding bases of induction are as follows

**Base of induction on  $p$ .** By [All98] and [OS99] the Dehn function of  $G_{3,3}$  satisfies  $\delta_{G_{3,3}}(n) \asymp n^2$  and by [LIPT23] the Dehn function of the group  $G_{4,3}$  satisfies  $\delta_{G_{4,3}}(n) \asymp n^3$ .

**Induction hypothesis for  $p$ .** Throughout §4.A these are our standing assumptions. As mentioned above, the induction steps from  $p-1$  to  $p$  only requires the induction hypothesis for  $G_{q,3}$  with  $q < p$

**(IH- $p$ )** Let  $p-1 \geq 3$ . Suppose Proposition 4.1 holds for all  $G_{q,3}$  with  $q \leq p-1$ . Moreover, suppose the Main commuting Lemma for  $G_{q,3}$  along with the First commuting  $(k, j)$ -Lemma 4.4 and the Second commuting  $(k, j)$ -Lemma 4.5 for  $G_{q,3}$  hold.

For the induction step from  $p-1$  to  $p$  for  $G_{p,3}^\perp$  (respectively  $G_{p,3}$ ) we first prove the First and Second commuting  $(k, j)$ -Lemmas for  $G_{p,3}^\perp$  (respectively  $G_{p,3}$ ).

**4.A.2. Proof of the First and Second Commuting  $(k, j)$ -Lemmas.**

**Strategy of the proofs.** We prove the First and Second commuting  $(k, j)$ -Lemmas for  $G_{p,3}^\perp$  and  $G_{p,3}$  in parallel by descending induction on  $j$  for all  $(k, j) \neq (1, 2)$ . The case  $(1, 2)$  follows from the Main Commuting Lemma, whose proof only relies on the cases  $(k, j) \neq (1, 2)$ .

The induction in  $j$  for  $G_{p,3}^\perp$  is done for all pairs  $(k, j)$  such that  $k+j \geq 5$ , while for  $G_{p,3}$  it is done for all pairs  $(k, j)$ . In the induction step for  $j$ , namely to prove the statement for the pairs  $(k, j_0)$  assuming the statements for the pairs  $(k, \ell)$  with  $j_0 + 1 \leq \ell \leq p$ , we distinguish between two cases:

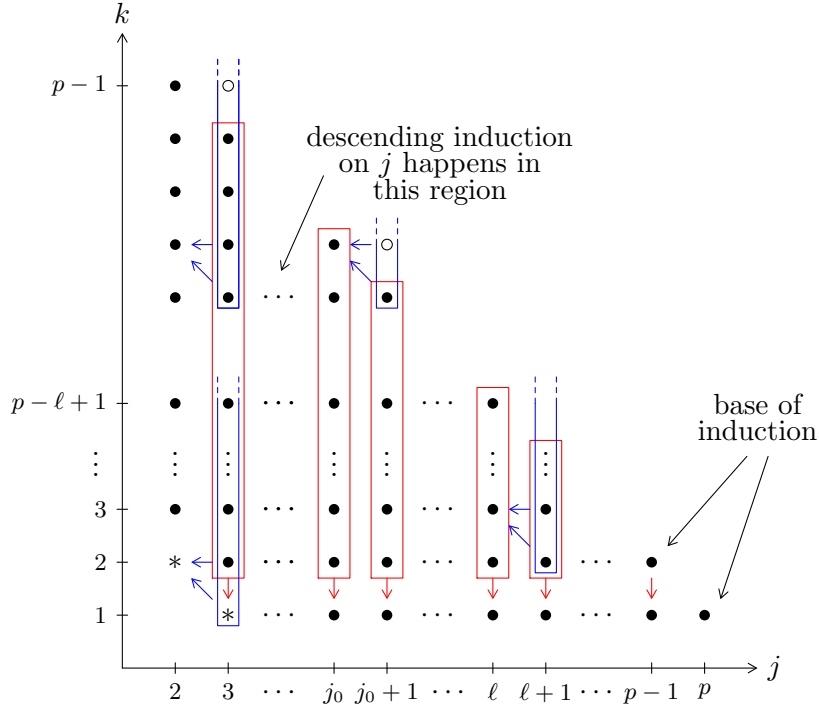


FIGURE 4. Implications of the Commuting  $(k, j)$ -Lemmas: the points  $\bullet$ ,  $*$ , and  $\circ$  correspond to both the First and Second commuting  $(k, j)$ -Lemmas 4.7 and 4.8 respectively (see also Addendum 4.18). The drawn blue arrows/boxes encode the fact that for the corresponding statement for  $(k, j)$  with  $k \neq 1$  we need the statements corresponding to the pairs  $(r, j+1)$  for all  $r \geq k-1$  (see Lemma 4.17). The red arrows/boxes correspond to the fact that for the statement corresponding to  $(1, j)$  we need the statements for the pairs  $(k, j)$  for all  $k \geq 2$  (see Lemma 4.21). All the points beside  $*$  correspond to the proof by descending induction on  $j$ ; the points  $*$  require special treatment and can only be done once we are done with the proof of the induction step.

- *Case (i): pairs  $(k, j_0)$  with  $k \neq 1$ .* In this case the First (respectively Second) commuting  $(k, j_0)$ -Lemma requires the First (respectively Second) commuting  $(r, j_0+1)$ -Lemmas for  $G_{p,3}^\perp$  for all  $r \geq k-1$ .
- *Case (ii): the pair  $(1, j_0)$ .* For this pair the First commuting  $(1, j_0)$ -Lemma will follow easily from the presentation of  $G_{p,3}^\perp$ , while the Second commuting  $(1, j_0)$ -Lemma requires the First commuting  $(k, j_0)$ -Lemma for  $G_{p,3}^\perp$  with  $k > 1$ .

Finally, the statements for the remaining pairs  $(1, 3)$  and  $(2, 2)$  for  $G_{p,3}^\perp$  require extra arguments to address the appearing “error terms” coming from the relation  $[x_2, x_3] =_{\mathcal{P}} x_p$ . For a pictorial description of the implications between the statements see Figure 4.

**Bases of induction on  $j$ .** Let  $W \in \{x_2^m, w\}$  where  $w := w(x_1, x_2) \in \mathcal{F}[\alpha]$  is a word in the derived subgroup of  $G_{p,3}^\perp$  (respectively  $G_{p,3}$ ). We start the induction with the pairs  $(1, p)$  and  $(2, p-1)$ .

For  $(1, p)$  we have that  $\Omega_1^p(\underline{n}) := x_p^{n_1}$  is central and it follows from the presentation  $\mathcal{P}$  that the identity  $[x_p^{n_1}, W] =_{\mathcal{P}} 1$  has area  $\lesssim_{\alpha,p} |W|n$  in  $G_{p,3}^\perp$  (respectively  $G_{p,3}$ ).

For  $(2, p-1)$ , we first use the fact that  $\langle x_1, x_{p-1}, y_1, y_{p-1} \rangle$  is a 5-Heisenberg subgroup of  $G_{p,3}^\perp$  to rewrite  $\Omega_2^{p-1}(\underline{n}) =_{\mathcal{P}} \tilde{\Omega}_2^{p-1}(\underline{n})$  with area  $\lesssim_p n^2$  in  $G_{p,3}^\perp$ . Since  $y_i$  commutes with  $x_i$ , we deduce that the identity  $[\Omega_2^{p-1}(\underline{n}), W] =_{\mathcal{P}} 1$  holds in  $G_{p,3}^\perp$  with area  $\lesssim_{\alpha,p} n^2$ .

**Induction hypotheses for  $j$ .**

- (IH- $G_{p,3}$ ) Let  $3 \leq j_0 + 1 \leq p$ . Suppose that for all pairs  $(k, \ell)$  such that  $j_0 + 1 \leq \ell \leq p$  the First commuting  $(k, \ell)$ -Lemma 4.4 and the Second commuting  $(k, \ell)$ -Lemma 4.5 for  $G_{p,3}$  hold.
- (IH- $G_{p,3}^\perp$ ) Let  $3 \leq j_0 + 1 \leq p$ . Suppose that for all pairs  $(k, \ell) \neq (1, 3)$  such that  $j_0 + 1 \leq \ell \leq p$  the First commuting  $(k, \ell)$ -Lemma 4.7 and the Second commuting  $(k, \ell)$ -Lemmas 4.8 for  $G_{p,3}^\perp$  hold.

**Auxiliary results for the induction steps for  $j$ .**

**Lemma 4.11** (cf. [LIPT23, Lemma 6.12(3)]). *Let  $\ell \geq j_0$ , and  $\beta, n, m \in \mathbf{R}$  with  $|\beta| \leq 1$ . The identity  $[x_1^\beta, x_\ell^n] =_{\mathcal{P}} x_{\ell+1}^{\beta \cdot n} x_{\ell+2}^{s_{\ell+2}} \dots x_{p-1}^{s_{p-1}} x_p^{s_p}$  holds in  $G_{p,3}^\perp$  for all  $p \geq 5$  (respectively  $G_{p,3}$  for all  $p \geq 3$ ) for suitable  $|s_i| \lesssim_p n$  with area  $\lesssim_p n^2$ .*

*Proof.* This is a straight-forward consequence of Proposition 2.8 and its proof. Since the arguments are completely analogous to the ones employed in the proof of [LIPT23, Lemma 6.12(3)] we omit them here.  $\square$

**Lemma 4.12** (cf. [LIPT23, Lemma 6.15]). *Let  $k \geq 2$ ,  $\ell \geq j_0 + 1$ ,  $n \geq 1$ , and  $|n_i|, |s_r| \leq n$  for  $1 \leq i \leq k-1$ ,  $\ell \leq r \leq p$ . The identity*

$$[x_1^{n_1}, \dots, x_1^{n_{k-1}}, x_\ell^{s_\ell} \dots x_p^{s_p}] =_{\mathcal{P}} \prod_{r=\ell}^{p-1} \Omega_k^r(n_1, \dots, n_{k-1}, s_r)$$

*holds in  $G_{p,3}^\perp$  for all  $p \geq 5$  (respectively  $G_{p,3}$  for all  $p \geq 3$ ) with area  $\lesssim_p n^{p-\ell+1}$ .*

*Proof.* Since by assumption  $\ell > 2$ , the statement is a direct consequence of the induction hypothesis (IH-p) using the fact that the Dehn function of  $G_{p-\ell+2,3} \hookrightarrow G_{p,3}^\perp$  is  $\preceq n^{p-\ell+1}$ .  $\square$

**Lemma 4.13.** *Let  $(k, \ell)$  with  $k \neq 1$  and  $\ell \geq j_0 + 1$ , and  $\underline{n} := (n_1, \dots, n_k) \in \mathbf{R}^k$ . The identity*

$$[x_1^{n_1}, \Omega_{k-1}^\ell(n_2, \dots, n_k)^{-1}] =_{\mathcal{P}} \Omega_k^\ell(\underline{n})^{-1}$$

*holds in  $G_{p,3}^\perp$  for all  $p \geq 5$  (respectively  $G_{p,3}$  for all  $p \geq 3$ ) with area  $\lesssim_p \max\{|\underline{n}|^{p-\ell+1}, |\underline{n}|^2\}$ .*

*Proof.* For  $\ell = p$  this is an easy consequence of  $x_p$  being central, so assume  $\ell < p$ . Since by assumptions  $\ell > 2$ , the statement is then a direct consequence of (i) the induction hypothesis (IH-p) that the Dehn function of  $G_{p-\ell+2,3} \hookrightarrow G_{p,3}^\perp$  is  $\preceq n^{p-\ell+1}$  and (ii) the following free identity in  $G_{p-\ell+2,3}$

$$[x_1^{n_1}, \Omega_{k-1}^\ell(n_2, \dots, n_k)^{-1}] =_F [\Omega_{k-1}^\ell(n_2, \dots, n_k), x_1^{n_1}]^{\Omega_{k-1}^\ell(n_2, \dots, n_k)^{-1}}.$$

$\square$

**Corollary 4.14.** *Let  $k \geq 2$ ,  $\ell \geq j_0 + 1$ ,  $\underline{n} \in \mathbf{R}^k$ , and  $|l| \leq |\underline{n}|$ . The identity*

$$[\Omega_k^\ell(\underline{n})^{\pm 1}, x_1^l] =_{\mathcal{P}} \Omega_{k+1}^\ell(l, \underline{n})^{\mp 1}$$

*holds in  $G_{p,3}^\perp$  for all  $p \geq 5$  (respectively  $G_{p,3}$  for all  $p \geq 3$ ) with area  $\lesssim_p \max\{|\underline{n}|^{p-\ell+1}, |\underline{n}|^2\}$ .*

*Proof.* Lemma 4.13 implies that the identity

$$[\Omega_k^j(\underline{n})^{\pm 1}, x_1^l] =_F [x_1^l, \Omega_k^j(\underline{n})^{\pm 1}]^{-1} =_{\mathcal{P}} \Omega_{k+1}^j(l, \underline{n})^{\mp 1}$$

*holds in  $G_{p,3}^\perp$  with area  $\lesssim_p \max\{|\underline{n}|^{p-\ell+1}, |\underline{n}|^2\}$ .*

$\square$



**Lemma 4.15** (cf. [LIPT23, Lemma 6.23]). *Let  $j_0 \leq \ell \leq p-1$ ,  $n \geq 1$ ,  $\nu \geq 1$ , and  $\eta \in \mathbf{R}$  with  $|\eta| \lesssim_p n$ . For words  $v_i := \Omega_{k_i}^{\ell+1}(\underline{n}_i)^{\pm 1}$ , with  $1 \leq k_i \leq p-\ell$  and  $|\underline{n}_i| \lesssim_p n$  ( $1 \leq i \leq \nu$ ), and  $w := \Omega_k^\ell(\underline{m})^{\pm 1}$ , with  $2 \leq k \leq p-\ell+1$ , the identity*

$$[x_1^\eta, v_1 \cdots v_\nu \cdot w] =_{\mathcal{P}} [x_1^\eta, w] \cdot [x_1^\eta, v_\nu] \cdots [x_1^\eta, v_1]$$

*holds in  $G_{p,3}^\perp$  for all  $p \geq 5$  (respectively  $G_{p,3}$  for all  $p \geq 3$ ). Moreover, if  $\ell \leq p-2$ , then it has area  $\lesssim_{\nu,p} n^{p-\ell}$ ; and if  $\ell = p-1$ , then it has area  $\lesssim_{\nu,p} n^2$ .*

*Proof.* Assume  $\ell = 2$ . (If  $p-1 > \ell > 2$  one can either apply the same reasoning in  $G_{p-\ell+2,3} \hookrightarrow G_{p,3}^\perp$  or observe that this assertion was already proved in the induction step from  $G_{p-\ell+1,3}$  to  $G_{p-\ell+2,3}$ . In the special case  $\ell = p-1$  we use that the power of  $x_p$  appearing in  $v_1, \dots, v_\nu$  is central.) We assume that  $v_i = \Omega_{k_i}^{\ell+1}(\underline{n}_i)^{-1}$  for  $1 \leq i \leq \nu$ ; the case where some exponents are  $+1$  is analogous, but slightly easier. Since  $\ell+1 > 2$ , the words  $v_1, \dots, v_\nu$  represent elements in  $G_{p-\ell+1,3} \hookrightarrow G_{p,3}^\perp$ .

In particular, it follows from the induction hypothesis (IH-p) and Lemma 4.13 that the identities

$$(4.1) \quad [x_1^\eta, v_i] =_F (\Omega_{k_i+1}^{\ell+1}(\eta, \underline{n}_i)^{-1})^{v_i^{-1}} =_{\mathcal{P}} \Omega_{k_i+1}^{\ell+1}(\eta, \underline{n}_i)^{-1}$$

hold in  $G_{p,3}^\perp$  with area  $\lesssim_p n^{p-\ell}$  for  $1 \leq i \leq \nu$ .

Finally, the identities

$$\begin{aligned} [x_1^\eta, v_1 \cdots v_\nu \cdot w] &=_F [x_1^\eta, w] \cdot \prod_{i=0}^{\nu-1} [x_1^\eta, v_{\nu-i}]^{v_{\nu-i+1} \cdots v_\nu w} \\ &=_{\mathcal{P}} [x_1^\eta, w] \cdot \prod_{i=0}^{\nu-1} [x_1^\eta, v_{\nu-i}]^w \\ &=_{\mathcal{P}} [x_1^\eta, w] \cdot [x_1^\eta, v_\nu] \cdots [x_1^\eta, v_1] \end{aligned}$$

have area  $\lesssim_{\nu,p} n^{p-\ell}$  in  $G_{p,3}^\perp$ , where the first identity is free, the second holds in  $G_{p-\ell+1,3} \hookrightarrow G_{p,3}^\perp$ , and for the last identity we apply the identity (4.1) and the Second commuting  $(k_i+1, \ell+1)$ -Lemmas in  $G_{p,3}^\perp$  at most  $\nu$  times.  $\square$

**Remark 4.16.** In our applications of Lemma 4.15 later we will always have  $k_i \geq k-1$  meaning that in those case the above proof only relies on the Second commuting  $(r, \ell+1)$ -Lemmas for  $r \geq k$ .

The following statement is a key ingredient of the induction step that allows us to decompose  $\Omega_k^\ell$ -words into a product of  $\Omega^{\ell+1}$ -words (see blue arrows/boxes in Figure 4).

**Lemma 4.17** (cf. [LIPT23, Proposition 6.21]). *Let  $(k, \ell) \neq (2, 2)$  with  $k \neq 1$  and  $j_0 \leq \ell \leq p-2$ ,  $\underline{n} := (n_1, \dots, n_k) \in \mathbf{R}^k$ , and  $\beta := n_{k-1} - \lfloor n_{k-1} \rfloor$ .*

*If  $n_{k-1} \geq 0$ , then the identity*

$$(4.2) \quad \Omega_k^\ell(\underline{n}) =_{\mathcal{P}} \Omega_k^\ell(n_1, \dots, \beta, n_k) \cdot \left( \prod_{r=0}^{\lfloor n_{k-1} \rfloor - 1} \Omega_k^{\ell+1}(n_1, \dots, r + \beta, n_k)^{-1} \cdot \Omega_{k-1}^{\ell+1}(n_1, \dots, n_{k-2}, n_k) \right)$$

*holds in  $G_{p,3}^\perp$  for all  $p \geq 5$  (respectively  $G_{p,3}$  for all  $p \geq 3$ ) with area  $\lesssim_p |\underline{n}|^{p-\ell+1}$ .*

*If  $n_{k-1} < 0$ , then the identity <sup>6</sup>*

<sup>6</sup>The formula for  $n_{k-1} < 0$  in the corresponding result in [LIPT23] was slightly flawed and should read like the one given here. Besides producing a constant number of terms for every  $r$  instead of only 2 terms this has no impact on the proofs given there, which remain correct as written for the modified formula.

(4.3)

$$\Omega_k^\ell(\underline{n}) =_{\mathcal{P}} \Omega_k^\ell(n_1, \dots, \beta, n_k) \cdot \prod_{r=0}^{-\lfloor n_{k-1} \rfloor - 1} \prod_{i=\ell+1}^p \Omega_{k+i-(\ell+1)}^{\ell+1}(n_1, \dots, n_{k-2}, \beta - r, 1, \dots, 1, -n_k)^{-1} \\ \cdot \Omega_{k+i-(\ell+1)-1}^{\ell+1}(n_1, \dots, n_{k-2}, 1, \dots, 1, -n_k)$$

holds in  $G_{p,3}^\perp$  for all  $p \geq 5$  (respectively  $G_{p,3}$  for all  $p \geq 3$ ) with area  $\lesssim_p |\underline{n}|^{p-\ell+1}$ .

**Addendum 4.18.** For  $3 \leq j_0 + 1 \leq \ell \leq p$  and  $k \geq p - \ell + 2$  the words  $\Omega_k^\ell(\underline{n})$  are null-homotopic in  $G_{p-\ell+2,3} \hookrightarrow G_{p,3}^\perp$  (respectively  $G_{p,3}$ ). By the induction hypothesis (IH-p) their area is  $\lesssim_p \delta_{G_{p-\ell+2,3}}(n) \lesssim_p n^{p-\ell+1}$ .

This observation has the following consequences in  $G_{p,3}^\perp$  and  $G_{p,3}$ :

- (1) For  $j_0 + 1 \leq \ell \leq p$  and  $k \geq p - \ell + 2$ , the First and Second commuting  $(k, \ell)$ -Lemmas may be interpreted as the process of removing and creating the  $\Omega_k^\ell$ -words with area  $\lesssim_p |m|n^{p-\ell} + n^{p-\ell+1}$  and  $\lesssim_p n^{p-\ell+1}$  respectively;
- (2) For  $j_0 + 1 \leq \ell \leq p$  and the pairs  $(p - \ell + 1, \ell)$ , in the identity (4.2) (respectively (4.3)) the  $\lfloor n_{k-1} \rfloor$  appearances of the  $\Omega_{p-\ell+1}^{\ell+1}$ -words (respectively the  $\Omega_{p-\ell+1}^{\ell+1}, \dots, \Omega_{2p-1}^{\ell+1}$ -words) can be removed with area  $\lesssim_p \lfloor n_{k-1} \rfloor \cdot \delta_{G_{p-\ell+1,3}}(n) \lesssim_p n^{p-\ell+1}$ . For notational convenience we do not distinguish cases and leave these terms in the identities;
- (3) For all  $k \geq p$  by Lemma 4.17 and using the induction hypothesis (IH-p) at most  $n$  times we get that  $\Omega_k(\underline{n})$  is a null-homotopic word with area  $\lesssim_p n^{p-1}$ .

*Proof of Lemma 4.17.* We assume  $n_{k-1} \geq 0$ . The proof for  $n_{k-1} < 0$  is analogous, but produces slightly longer terms when we use the corresponding formula from Addendum 2.12. The proof is by induction in  $\lfloor n_{k-1} \rfloor$ . The case  $\lfloor n_{k-1} \rfloor = 0$  is trivial and we now assume that we have proven the result for  $\lfloor n_{k-1} \rfloor - 1$ .

We deduce from  $k \neq 1$  and Corollary 2.11 that

$$\Omega_k^\ell(\underline{n}) =_{\mathcal{P}} \begin{cases} \left[ x_1^{n_1}, \dots, x_1^{n_{k-2}}, x_{\ell+1}^{n_k} \cdot [x_{\ell+1}^{n_k}, x_1^{n_{k-1}-1}] \cdot [x_1^{n_{k-1}-1}, x_\ell^{n_k}] \cdot [y_1^{\tilde{n}}, y_{p-1}^{\tilde{n}}] \right], & \ell = 2 \\ \left[ x_1^{n_1}, \dots, x_1^{n_{k-2}}, x_{\ell+1}^{n_k} \cdot [x_{\ell+1}^{n_k}, x_1^{n_{k-1}-1}] \cdot [x_1^{n_{k-1}-1}, x_\ell^{n_k}] \right], & \ell \neq 2 \end{cases} \\ =_{\mathcal{P}} \left[ x_1^{n_1}, \dots, x_1^{n_{k-2}}, x_{\ell+1}^{n_k} \cdot [x_{\ell+1}^{n_k}, x_1^{n_{k-1}-1}] \cdot [x_1^{n_{k-1}-1}, x_\ell^{n_k}] \right],$$

where the last identity holds in  $G_{p,3}^\perp$  at cost  $\lesssim_p |\underline{n}|^2$ , since the  $y_i$  commute with the  $x_i$ . Therefore, by applying Lemma 4.15 to the above equality for  $\nu = 2$  and for the words  $v_1 := x_{\ell+1}^{n_k}$ ,  $v_2 := [x_1^{n_{k-1}-1}, x_{\ell+1}^{n_k}]^{-1}$ , and  $w := [x_1^{n_{k-1}-1}, x_\ell^{n_k}]$ ,<sup>7</sup> we get that the identity

$$\left[ x_1^{n_1}, \dots, x_1^{n_{k-2}}, x_{\ell+1}^{n_k} \cdot [x_{\ell+1}^{n_k}, x_1^{n_{k-1}-1}] \cdot [x_1^{n_{k-1}-1}, x_\ell^{n_k}] \right] =_{\mathcal{P}} \\ \left[ x_1^{n_1}, \dots, x_1^{n_{k-3}}, [x_1^{n_{k-2}}, x_{\ell+1}^{n_k}] \cdot [x_1^{n_{k-2}}, [x_1^{n_{k-1}-1}, x_{\ell+1}^{n_k}]^{-1}] \cdot [x_1^{n_{k-2}}, [x_1^{n_{k-1}-1}, x_\ell^{n_k}]] \right].$$

holds in  $G_{p,3}^\perp$  with area  $\lesssim_p |\underline{n}|^{p-\ell}$ . By Lemma 4.13 the identity

$$\left[ x_1^{n_{k-2}}, [x_1^{n_{k-1}-1}, x_{\ell+1}^{n_k}]^{-1} \right] =_{\mathcal{P}} \left[ x_1^{n_{k-2}}, [x_1^{n_{k-1}-1}, x_{\ell+1}^{n_k}] \right]^{-1}$$

holds with area  $\lesssim_p |\underline{n}|^{p-\ell}$ .

Overall, on the first iteration of applying Lemma 4.15 we get that the identity

$$\Omega_k^\ell(\underline{n}) =_{\mathcal{P}} \left[ x_1^{n_1}, \dots, x_1^{n_{k-3}}, [x_1^{n_{k-2}}, x_{\ell+1}^{n_k}] \cdot \left[ x_1^{n_{k-2}}, [x_1^{n_{k-1}-1}, x_{\ell+1}^{n_k}] \right]^{-1} \cdot [x_1^{n_{k-2}}, [x_1^{n_{k-1}-1}, x_\ell^{n_k}]] \right]$$

<sup>7</sup>Note that in the case  $n_{k-1} < 0$ ,  $\nu \leq p$ .

holds in  $G_{p,3}^\perp$  with area  $\lesssim_p |\underline{n}|^{p-\ell}$ . Iterating this argument  $O_p(1)$ -times we get that the identity

$$\Omega_k^\ell(\underline{n}) =_{\mathcal{P}} \Omega_k^\ell(n_1, \dots, n_{k-1} - 1, n_k) \cdot \Omega_k^{\ell+1}(n_1, \dots, n_{k-1} - 1, n_k)^{-1} \\ \cdot \Omega_{k-1}^{\ell+1}(n_1, \dots, n_{k-2}, n_k)$$

holds in  $G_{p,3}^\perp$  with area  $\lesssim_p |\underline{n}|^{p-\ell}$ . Applying our induction hypothesis for  $\lfloor n_{k-1} \rfloor - 1$  to  $\Omega_k^\ell(n_1, \dots, n_{k-1} - 1, n_k)$  shows that identity (4.2) holds in  $G_{p,3}^\perp$  with area  $\lesssim_p |\underline{n}|^{p-\ell+1}$ .  $\square$

If  $(k, j) = (2, 2)$  the same arguments as in the proof of Lemma 4.17 yield a similar identity with the only difference that now the terms of the form  $[y_1^{\tilde{n}}, y_{p-1}^{\tilde{n}}]$  do not cancel. We record it below.

**Addendum 4.19.** Let  $p \geq 5$ ,  $(k, j) = (2, 2)$ ,  $\underline{n} = (n_1, n_2) \in \mathbf{R}^k$  and  $\beta = n_1 - \lfloor n_1 \rfloor$ .

If  $n_1 \geq 0$ , then the identity

$$(4.4) \quad \Omega_2(\underline{n}) =_{\mathcal{P}} [x_1^\beta, x_2^{n_2}] \cdot \left( \prod_{r=0}^{\lfloor n_1 \rfloor - 1} \Omega_1^3(n_2) \cdot \Omega_2^3(r + \beta, n_2)^{-1} \cdot [y_1^{\tilde{n}}, y_{p-1}^{\tilde{n}}]^{\pm 1} \right),$$

holds in  $G_{p,3}^\perp$  for all  $p \geq 5$  with area  $\lesssim_p |\underline{n}|^{p-1}$  and  $|\tilde{n}| \lesssim_p |n_2|$ .

Analogously, if  $n_1 < 0$ , then the identity

$$(4.5) \quad \Omega_2(\underline{n}) =_{\mathcal{P}} [x_1^\beta, x_2^{n_2}] \cdot \left( \prod_{r=0}^{-\lfloor n_1 \rfloor - 1} \left( \prod_{i=3}^p \Omega_{i-1}^3(\beta - r, 1, \dots, 1, -n_2)^{-1} \right. \right. \\ \left. \left. \cdot \Omega_{i-2}^3(1, \dots, 1, -n_2) \right) \cdot [y_1^{\tilde{n}}, y_{p-1}^{\tilde{n}}]^{\pm 1} \right),$$

holds in  $G_{p,3}^\perp$  for all  $p \geq 5$  with area  $\lesssim_p |\underline{n}|^{p-1}$  and  $|\tilde{n}| \lesssim_p |n_2|$ .

**Remark 4.20.** For all  $p \geq 3$  the identity (4.4) (respectively (4.5)) without the error terms  $[y_1^{\tilde{n}}, y_{p-1}^{\tilde{n}}]$  holds in  $G_{p,3}$  with area  $\lesssim_p |\underline{n}|^{p-1}$ .

The following result for  $(k, \ell) = (1, j_0)$  is a key ingredient in our derivation of the case  $(1, j_0)$  of the Commuting Lemmas from the cases  $(k, j_0)$  for  $k \geq 2$  (see the red arrows/boxes in Figure 4.) In particular, in its proof we can assume that the Commuting  $(k, j_0)$ -Lemmas with  $k \geq 2$  have already been proved. We prove a more general version for arbitrary  $(k, \ell)$ , as this is required in other parts of this work (after the proofs of the Commuting  $(k, \ell)$ -Lemmas).

**Lemma 4.21** (cf. [LIPT23, Lemma 6.20]). Let  $p \geq 5$ ,  $n \geq 1$ ,  $\alpha \geq 0$ ,  $j_0 \leq \ell \leq p - 1$ ,  $k \geq 1$ , and  $\underline{n} \in \mathbf{R}^k$  with  $|\underline{n}| \lesssim_p n$ . For  $u := u(x_1, x_2) \in \mathcal{F}[2\alpha]$  with  $|u| \leq n$ , the identities

$$[\Omega_k^\ell(\underline{n})^{\pm 1}, u] =_{\mathcal{P}} \begin{cases} \prod_{i=1}^{\nu} \Omega_{l_i}^\ell(\underline{\eta}_i)^{\pm 1}, & \text{if } k + \ell \geq 5, \\ \left( \prod_{i=1}^{\nu} \Omega_{l_i}^\ell(\underline{\eta}_i)^{\pm 1} \right) \left( \prod_{i=1}^{\mu} \Omega_2^{p-1}(\hat{n}_i)^{\pm 1} \right), & \text{if } (k, \ell) = (1, 3), \\ \left( \prod_{i=1}^{\nu} \Omega_{l_i}(\underline{\eta}_i)^{\pm 1} \right) \left( \prod_{i=1}^{\mu} \Omega_3^{p-2}(\tilde{n}_i)^{\pm 1} \right), & \text{if } (k, \ell) = (2, 2). \end{cases}$$

hold in  $G_{p,3}^\perp$  with area  $\lesssim_{\alpha,p} n^{p-\ell+1}$ , for suitable  $\nu = O_{p,\alpha}(1)$ ,  $\mu \leq 2\alpha$ ,  $l_i \geq k + 1$ ,  $\underline{\eta}_i \in \mathbf{R}^{l_i}$  with  $|\underline{\eta}_i| \lesssim_p n$  for  $1 \leq i \leq \nu$ ,  $\hat{n}_i \in \mathbf{R}^2$ , and  $\tilde{n}_i \in \mathbf{R}^3$  with  $|\hat{n}_i|, |\tilde{n}_i| \lesssim_p n$  for  $1 \leq i \leq \mu$ .

*Proof.* We start by proving the identities for  $k + \ell \geq 5$  for  $\Omega_k^\ell(\underline{n})$ , the proof for  $\Omega_k^\ell(\underline{n})^{-1}$  being similar. The proof for  $k + \ell \geq 5$  relies only on the First commuting  $(k + r, \ell)$ -Lemmas for  $G_{p,3}^\perp$  where  $r \geq 1$ .

Since  $u \in \mathcal{F}[2\alpha]$ , there exists  $\mu \leq 2\alpha$  such that

$$u := \prod_{i=1}^{\mu} x_1^{\beta_i} x_2^{\gamma_i}.$$

Without loss of generality we can assume  $\mu = 2\alpha$ . The proof is done by induction on  $2\alpha$ . For the base of induction,  $\alpha = 0$ , the statement is trivially true with  $\nu = \mu = 0$ , with the convention that in this case the product is empty. Suppose that the statement is true for  $2\alpha \geq 0$  and let  $u \in \mathcal{F}[2(\alpha + 1)]$ . The following identities hold in  $G_{p,3}^J$ . The area estimates are explained below.

$$\begin{aligned} \Omega_k^\ell(\underline{n}) \cdot \prod_{i=1}^{\mu} x_1^{\beta_i} x_2^{\gamma_i} &\stackrel{(1)}{=} x_1^{\beta_1} \cdot \Omega_k^\ell(\underline{n}) \cdot \Omega_{k+1}^\ell(\beta_1, \underline{n})^{-1} \cdot x_2^{\gamma_1} \cdot \prod_{i=2}^{\mu} x_1^{\beta_i} x_2^{\gamma_i} \\ &\stackrel{(2)}{=} x_1^{\beta_1} x_2^{\gamma_1} \cdot \Omega_k^\ell(\underline{n}) \cdot \Omega_{k+1}^\ell(\beta_1, \underline{n})^{-1} \cdot \prod_{i=2}^{\mu} x_1^{\beta_i} x_2^{\gamma_i} \\ &\stackrel{(3)}{=} x_1^{\beta_1} x_2^{\gamma_1} \cdot \Omega_k^\ell(\underline{n}) \cdot \left( \prod_{i=1}^{\nu} \Omega_{l_i}^\ell(\underline{\eta}_i)^{\pm 1} \right) \end{aligned}$$

- (1) is a consequence of Corollary 4.14<sup>8</sup>, so it has area  $\lesssim_p \max\{n^{p-\ell+1}, n^2\} \leq n^{p-\ell+1}$ ;
- (2) is obtained as follows: note that  $k + \ell \geq 5$ , if  $k = 1$ , then this follows because  $[x_2^{\gamma_2}, x_2^{n_1}]$  has area  $\lesssim_p n^2$  for all  $\ell \geq 4$ . Otherwise, by the induction hypothesis (IH- $G_{p,3}^J$ ) we can apply the First commuting  $(k, \ell)$ -and  $(k+1, \ell)$ -Lemmas for  $G_{p,3}^J$ . Therefore, it has area  $\lesssim_p n^{p-\ell+1}$ . In either case it has area  $\lesssim_p n^{p-\ell+1}$ ;
- (3) is a consequence of the induction hypothesis for  $2\alpha$ . More specifically, we apply the induction hypothesis first to the  $\Omega_{k+1}^\ell$ -word to obtain the error term  $\prod_{i=1}^{\nu_2} \Omega_{l_{2,i}}^\ell(\underline{\eta}_{2,i})^{\pm 1}$ , we then apply the induction hypothesis on  $2\alpha$  to the  $\Omega_k^\ell$ -word to obtain the error term  $\prod_{i=1}^{\nu_1} \Omega_{l_{1,i}}^\ell(\underline{\eta}_{1,i})^{\pm 1}$ , where  $\nu_1, \nu_2 = O_{\alpha,p}(1)$ ,  $l_{1,i} \geq k+1$ ,  $l_{2,i} \geq k+2$ ,  $\underline{\eta}_{1,i} \in \mathbf{R}^{l_{1,i}}$ , and  $\underline{\eta}_{2,i} \in \mathbf{R}^{l_{2,i}}$  with  $|\underline{\eta}_{1,i}|, |\underline{\eta}_{2,i}| \lesssim_p n$ . Finally, we merge these terms into the single product  $\prod_{i=1}^{\nu} \Omega_{l_i}^\ell(\underline{\eta}_i)^{\pm 1}$ . In total, this has area  $\lesssim_{p,\alpha} n^{p-\ell+1}$ .

This concludes the proof for the pairs  $(k, \ell)$  with  $k + \ell \geq 5$ . The proofs for the pairs  $(1, 3)$  and  $(2, 2)$  are analogous. However, some additional error terms are being produced. Rather than repeating the line of argument several times, we just give a brief explanation of the main differences and leave the details to the reader.

**Case (1,3):** In this case we also have to commute terms of the form  $x_3^{n_1}$  with terms of the form  $x_2^{\gamma_i}$ , which produces additional error terms of the form  $[x_3^{n_1}, x_2^{\gamma_i}]$ . However, since  $\langle x_3, x_2, y_1, y_{p-1} \rangle$  and  $\langle x_1, x_{p-1}, y_1, y_{p-1} \rangle$  generate subgroups of  $G_{p,3}^J$  isomorphic to the 5-Heisenberg group, each such commutation can be performed by producing an error term of the form  $\Omega_2^{p-1}(\hat{n}_i)$  on the very right with area  $\lesssim_p n^2$ . In particular, the total area of the transformations is still  $\lesssim_p n^{p-2}$ .

**Case (2,2):** This is similar to the case (1,3) only that now the error terms  $\Omega_3^{p-2}(\tilde{n}_i)$  arise from commutators of the form  $[\Omega_2(\underline{n}), x_2^{\gamma_i}]$ . Which by the First commuting (2,2)-Lemma 4.7<sup>9</sup> for  $G_{p,3}^J$  has area  $\lesssim_p n^{p-1}$ .

□

<sup>8</sup>In fact this is a free identity for  $\Omega_k^\ell(\underline{n})$ , but Corollary 4.14 is required for  $\Omega_k^\ell(\underline{n})^{-1}$ .

<sup>9</sup>At the point where we use the identity for (2,2) we have already proved the First commuting (2,2)-Lemma for  $G_{p,3}^J$ .

**Addendum 4.22.** *The above proof for the pairs  $(k, \ell)$  with  $k + \ell \geq 5$  translates without modification to  $G_{p,3}$  with  $p \geq 3$  for all pairs  $(k, \ell)$ . So we get that the identity*

$$[\Omega_k^\ell(\underline{n})^{\pm 1}, u] =_{\mathcal{P}} \prod_{i=1}^{\nu} \Omega_{l_i}^\ell(\underline{\eta}_i)^{\pm 1}$$

*holds in  $G_{p,3}$  for all  $p \geq 3$  with area  $\lesssim_{\alpha,p} n^{p-\ell+1}$  for suitable  $\nu = O_{p,\alpha}(1)$ ,  $l_i \geq k+1$ , and  $\underline{\eta}_i \in \mathbf{R}^{l_i}$  with  $|\underline{\eta}_i| \lesssim_p n$  for all  $1 \leq i \leq \nu$ .*

With the required auxiliary results we can now complete the induction step for the First commuting  $(k, j_0)$ -Lemma 4.7 and the Second commuting  $(k, j_0)$ -Lemma 4.8 for  $G_{p,3}^\perp$ .

**Proof of the induction step for  $j$ .** Recall that the induction in  $j$  for  $G_{p,3}^\perp$  is done for all pairs  $(k, j)$  such that  $k + j \geq 5$ . So assume  $k + j_0 \geq 5$ . As mentioned before we distinguish the cases (i)  $k \neq 1$  and (ii)  $k = 1$ .

*Case (i)  $k \neq 1$ .* Let  $W \in \{x_2^m, w(x_1, x_2)\}$  with  $w := w(x_1, x_2)$  a word in the derived subgroup of  $G_{p,3}^\perp$ . We need to show that the area of the null-homotopic word  $[\Omega_k^{j_0}(\underline{n}), W]$  is  $\lesssim_{\alpha,p} |W| \cdot n^{p-j_0} + n^{p-j_0+1}$ . We assume that  $n_k \geq 0$ , the proof for  $n_k < 0$  is analogous, but involves slightly more terms. Let  $\beta := n_{k-1} - \lfloor n_{k-1} \rfloor$ . We have the following identities in  $G_{p,3}^\perp$  whose area estimates are explained below.

$$\begin{aligned}
 (4.6) \quad \Omega_k^{j_0}(\underline{n}) \cdot W &\stackrel{(1)}{=}_{\mathcal{P}} \left( \Omega_k^{j_0}(n_1, \dots, \beta, n_k) \cdot \right. \\
 &\quad \left. \prod_{r=0}^{\lfloor n_{k-1} \rfloor - 1} \left( \Omega_k^{j_0+1}(n_1, \dots, r + \beta, n_k)^{-1} \cdot \Omega_{k-1}^{j_0+1}(n_1, \dots, n_{k-2}, n_k) \right) \right) \cdot W \\
 &\stackrel{(2)}{=}_{\mathcal{P}} \Omega_k^{j_0}(n_1, \dots, \beta, n_k) \cdot W \\
 &\quad \cdot \left( \prod_{r=0}^{\lfloor n_{k-1} \rfloor - 1} \Omega_k^{j_0+1}(n_1, \dots, r + \beta, n_k)^{-1} \cdot \Omega_{k-1}^{j_0+1}(n_1, \dots, n_{k-2}, n_k) \right) \\
 &\stackrel{(3)}{=}_{\mathcal{P}} \left( \prod_{r=j_0+1}^{p-1} \Omega_{k-1}^r(n_1, \dots, n_{k-2}, s_r) \right) \cdot W \\
 &\quad \cdot \left( \prod_{r=0}^{\lfloor n_{k-1} \rfloor - 1} \Omega_k^{j_0+1}(n_1, \dots, r + \beta, n_k)^{-1} \cdot \Omega_{k-1}^{j_0+1}(n_1, \dots, n_{k-2}, n_k) \right) \\
 &\stackrel{(4)}{=}_{\mathcal{P}} W \cdot \left( \prod_{r=j_0+1}^{p-1} \Omega_{k-1}^r(n_1, \dots, n_{k-2}, s_r) \right) \\
 &\quad \cdot \left( \prod_{r=0}^{\lfloor n_{k-1} \rfloor - 1} \left( \Omega_k^{j_0+1}(n_1, \dots, r + \beta, n_k)^{-1} \cdot \Omega_{k-1}^{j_0+1}(n_1, \dots, n_{k-2}, n_k) \right) \right) \\
 &\stackrel{(5)}{=}_{\mathcal{P}} W \cdot \Omega_k^{j_0}(\underline{n}),
 \end{aligned}$$

- (1) follows from Lemma 4.17, so the area is  $\lesssim_p |\underline{n}|^{p-j_0+1}$ ;
- (2) is a consequence of the induction hypothesis (IH- $G_{p,3}^\perp$ ) applied  $2 \cdot \lfloor n_k \rfloor$  times<sup>10</sup>. Therefore, it has area  $\lesssim_{\alpha,p} |W| n^{p-j_0} + n^{p-j_0+1}$ ;
- (3) follows from applying Lemma 4.11, since  $|\beta| \leq 1$ , and then Lemma 4.12. Therefore, it has area  $\lesssim_p n^{p-j_0}$ ;
- (4) follows from the induction hypothesis (IH- $G_{p,3}^\perp$ ) applied  $O_p(1)$  times, thus has area  $\lesssim_{\alpha,p} |W| n^{p-j_0-1} + n^{p-j_0}$ ;

<sup>10</sup>In the case  $n_{k-1} < 0$  there are  $2(p - j_0)|\lfloor n_{k-1} \rfloor|$  terms appearing.

(5) follows from Lemma 4.17, Lemma 4.11, and Lemma 4.12, so it has area  $\lesssim_p |\underline{n}|^{p-j_0+1}$ .

Overall, the null-homotopic word  $[\Omega_k^{j_0}(\underline{n}), W]$  has area  $\lesssim_{\alpha,p} |W|n^{p-j_0} + n^{p-j_0+1}$ . This finishes the proof for all pairs  $(k, j_0)$  with  $k \neq 1$  and  $k + j_0 \geq 5$ .

*Case (ii)  $k = 1$ .* In this case  $\Omega_1^{j_0}(\underline{n}) := x_{j_0}^{n_1}$ . Observe that since  $1 + j_0 \geq 5$  the First commuting  $(1, j_0)$ -Lemma for  $G_{p,3}^\perp$  follows from the relation  $[x_{j_0}, x_2] =_{\mathcal{P}} 1$ . For the Second commuting  $(1, j_0)$ -Lemma for  $G_{p,3}^\perp$ , we have that  $W =_{\text{def}} w(x_1, x_2) \in \mathcal{F}[\alpha]$  represents an elements in the derived subgroup of  $G_{p,3}^\perp$ . So from Lemma 4.21 for  $1 + j_0 \geq 5$  we obtain the identity

$$[x_{j_0}^{n_1}, w] =_{\mathcal{P}} \prod_{i=1}^{\nu} \Omega_{l_i}^{j_0}(\underline{\eta}_i)^{\pm 1}$$

in  $G_{p,3}^\perp$  with area  $\lesssim_{\alpha,p} n^{p-j_0+1}$ , where  $\nu = O_{\alpha,p}(1)$ ,  $l_i \geq 2$ , and  $\eta_i \in \mathbf{R}^{l_i}$  with  $|\eta_i| \lesssim_p n$ .

Since  $G_{p,3}^\perp$  is metabelian, it follows that the identity  $[x_{j_0}^{n_1}, w] =_{\mathcal{P}} 1$  holds. Thus,  $\prod_{i=1}^{\nu} \Omega_{l_i}^{j_0}(\underline{\eta}_i)^{\pm 1}$  is null-homotopic in  $G_{p-j_0+2,3} \hookrightarrow G_{p,3}^\perp$ . It follows from the induction hypothesis (IH-p) that it has area  $\lesssim_{\alpha,p} n^{p-j_0+1}$ . Thus, the identity  $[x_{j_0}^{n_1}, w] =_{\mathcal{P}} 1$  has area  $\lesssim_{\alpha,p} n^{p-j_0+1}$  in  $G_{p,3}^\perp$ .

This concludes the proof of the induction step of the First and Second commuting  $(k, j_0)$ -Lemmas for  $G_{p,3}^\perp$  with  $k + j_0 \geq 5$ .  $\square$

**Addendum 4.23.** *The above proof translates verbatim to the group  $G_{p,3}$  for all pairs  $(k, j_0)$ , completing the proof of the First and Second commuting Lemmas for  $G_{p,3}$ ; there is no need to treat the cases  $(1, 3)$  and  $(2, 2)$  separately for  $G_{p,3}$ .*

We now address the cases  $(1, 3)$  and  $(2, 2)$  of the Commuting Lemmas for  $G_{p,3}^\perp$ . Since the proof of these cases shares some arguments with the above proof, rather than repeating all arguments, we explain the parts where the proofs differ and provide details whenever a new argument is needed.

**Proof of case  $(1, 3)$ .** The First commuting  $(1, 3)$ -Lemma for  $G_{p,3}^\perp$  follows from the fact that  $\langle x_3, x_2, y_1, y_{p-1} \rangle$  and  $\langle x_1, x_{p-1}, y_1, y_{p-1} \rangle$  generate subgroups of  $G_{p-1,3}^\perp$  isomorphic to the 5-Heisenberg group, so that the identities  $[x_3^{n_1}, x_2^m] =_{\mathcal{P}} [y_1^m, y_{p-1}^{-n_1}] =_{\mathcal{P}} \Omega_2^{p-1}(m, -n_1)$  hold in  $G_{p,3}^\perp$  with area  $\lesssim_p |m|n$ .

For the proof of the Second commuting  $(1, 3)$ -Lemma for  $G_{p,3}^\perp$  we proceed as in *Case (ii)*. We first use Lemma 4.21 for  $(1, 3)$  to obtain

$$(4.7) \quad [x_3^{n_1}, w] =_{\mathcal{P}} \left( \prod_{i=1}^{\nu} \Omega_{l_i}^3(\underline{\eta}_i)^{\pm 1} \right) \left( \prod_{i=1}^{\mu} \Omega_2^{p-1}(\hat{n}_i)^{\pm 1} \right)$$

in  $G_{p,3}^\perp$  with area  $\lesssim_{\alpha,p} n^{p-2}$ . We then observe that the left side of (4.7) is null-homotopic in  $G_{p,3}^\perp$ , since  $G_{p,3}^\perp$  is metabelian, and thus the same is true for the right side. The induction hypothesis (IH-p) for  $G_{p-1,3} \hookrightarrow G_{p,3}^\perp$  then implies that the right side has area  $\lesssim_{\alpha,p} n^{p-2}$ . Thus, the word  $[x_3^{n_1}, w]$  is null-homotopic with area  $\lesssim_{\alpha,p} n^{p-2}$  in  $G_{p,3}^\perp$ .  $\square$

**Proof of case  $(2, 2)$ .** We start with the proof of the First commuting  $(2, 2)$ -Lemma. Arguing as in *Case (i)*, we first prove that the identities

$$(4.8) \quad [\Omega_2(\underline{n}), x_2^m] =_{\mathcal{P}} [y_1^m, y_{p-1}^{-n_2}]^{n_1} =_{\mathcal{P}} \Omega_2^{p-1}(m, -n_2)^{n_1}$$

hold in  $G_{p,3}^\perp$  with area  $\lesssim_p |m|n^{p-2} + n^{p-1}$ . We first use the decomposition of  $\Omega_2(\underline{n})$  given by Addendum 4.19 (as before we assume that  $n_1 \geq 0$  with the case  $n_1 < 0$  being analogous, but involving slightly more terms). We then follow the chain of identities in (4.6) applying the First commuting  $(1, 3)$ - and  $(2, 3)$ -Lemmas at most  $\lfloor n_1 \rfloor$  times. Each application of



the First commuting (1, 3)-Lemma produces an error term of the form  $[y_1^m, y_{p-1}^{-n_2}]$ . The first identity in (4.8) then follows by shifting each of these error terms to the right, with total area  $\lesssim_p n \cdot (|m|n^{p-3} + n^{p-2})$ . The second identity in (4.8) follows with area  $\lesssim_p |m|n^2$  by arguing with 5-Heisenberg subgroups, similar as in Case (1, 3).

For the moreover-part of the First commuting (2, 2)-Lemma it now suffices to show that the identity

$$\Omega_2^{p-1}(m, -n_2)^{n_1} =_{\mathcal{P}} \Omega_3^{p-2}(m, n_1, -n_2)$$

holds in  $G_{p,3}^{\perp}$  with area  $\lesssim_p n^{p-1}$ . For this observe that one can first argue as in the proof of Lemma 4.17, expanding the commutator  $[x_1^{n_1}, x_{p-2}^{-n_2}]$ , to obtain that the identity

$$\left[ x_1^m, [x_1^{n_1}, x_{p-2}^{-n_2}] \right] =_{\mathcal{P}} \left[ x_1^m, x_{p-1}^{-n_2} \right]^{n_1}$$

holds in  $G_{4,3} \hookrightarrow G_{p,3}^{\perp}$  with area  $\lesssim_p n^4$ , since  $|m| \leq n$ . Combining this with (4.8) and using again that  $|m| \leq n$ , the assertion follows.

The Second commuting (2, 2)-Lemma is done as follows. For simplicity assume that  $n_1 \geq 0$ , the proof for  $n_1 < 0$  is analogous but involves slightly more terms and thus uses more instances of the First and Second commuting Lemmas. First, from Addendum 4.19 we obtain the identity

$$\Omega_2(\underline{n}) =_{\mathcal{P}} [x_1^{\beta}, x_2^{n_2}] \cdot \left( \prod_{r=0}^{\lfloor n_1 \rfloor - 1} \Omega_1^3(n_2) \cdot \Omega_2^3(r, n_2)^{-1} \cdot [y_1^{\tilde{n}}, y_{p-1}^{\tilde{n}}] \right)$$

with area  $\lesssim_p n^{p-1}$  in  $G_{p,3}^{\perp}$ . Then, using the Second commuting (1, 3)- and (2, 3)-Lemmas for  $G_{p,3}^{\perp}$ , and the fact that the  $y_i$  commute with the  $x_i$  we now proceed using the chain of identities in (4.6) to commute  $w$  with  $\Omega_2(\underline{n})$  with area  $\lesssim_{\alpha,p} n^{p-1}$  in  $G_{p,3}^{\perp}$ .  $\square$

This completes the proof of the First and Second commuting  $(k, j)$ -Lemmas for  $G_{p,3}^{\perp}$ . To close this section we record a consequence of Lemma 4.17 and Lemma 4.12 that will only be used in the proof of the Cancelling  $k$ -Lemma 4.10 and in Section §4.B.

**Corollary 4.24** (cf. [LIPT23, Corollary 6.24]). *For all  $\underline{n} \in \mathbf{R}^{p-1}$ , there exists  $m \in \mathbf{R}$  with  $|m| \lesssim_p |\underline{n}|$  such that the identity*

$$\Omega_{p-1}(\underline{n})^{\pm 1} =_{\mathcal{P}} (\Omega_{p-2}^3(|\underline{n}|, \dots, |\underline{n}|))^m$$

*holds in  $G_{p,3}^{\perp}$  for all  $p \geq 5$  (respectively  $G_{p,3}$  for all  $p \geq 3$ ) with area  $\lesssim_p |\underline{n}|^{p-1}$ .*

*Proof.* The proof is analogous to the one for [LIPT23, Corollary 6.24] so we omit it here (it uses Lemma 4.17, Lemma 4.12, and [LIPT23, Lemma 6.14] which is stated for  $G_{p-1,p-1}$  in [LIPT23] but can be proved in precisely the same way for  $G_{p-1,3}$ ).  $\square$

**4.A.3. Proof of the Main commuting Lemma for  $G_{p,3}^{\perp}$  and  $G_{p,3}$ .** To deduce the Main commuting Lemmas from the First and Second commuting  $(k, j)$ -Lemmas for  $G_{p,3}^{\perp}$  (respectively for  $G_{p,3}$ ) we require the Reduction Lemma 4.9. Its proof follows the one of [LIPT23], but requires some modifications; we thus include it here. We start with two auxiliary results.

**Lemma 4.25.** *Let  $\underline{n} := (n_1, n_2, n_3) \in \mathbf{R}^3$ . The identity*

$$\Omega_3^{p-2}(n_1, n_2, n_3) =_{\mathcal{P}} \Omega_{p-1}(n_1, n_2, 1, \dots, 1, n_3)$$

*holds in  $G_{p,3}^{\perp}$  for  $p \geq 5$  (respectively  $G_{p,3}$  for  $p \geq 3$ ) with area  $\lesssim_p |\underline{n}|^2$ .*

*Proof.* In  $G_{p,3}^{\perp}$  this is a straight-forward consequence of iteratively applying the identities  $x_j^{n_3} =_{\mathcal{P}} [x_1, x_{j-1}^{n_3}]$  for  $3 \leq j \leq p-2$  and the identity  $\Omega_1^3(n_3) =_{\mathcal{P}} [y_1^{\tilde{n}_3}, y_3^{\tilde{n}_3}]^{\pm 1} \Omega_2(1, n_3)$ , which hold with area  $\lesssim_p |\underline{n}|^2$ . The proof for  $G_{p,3}$  is analogous, but simpler.  $\square$

Using Lemma 4.25 we can rewrite the identity in Lemma 4.21 for (2, 2) as follows.

**Corollary 4.26** (cf. [LIP23, Lemma 6.26]). *Let  $p \geq 5$ ,  $n \geq 1$ ,  $\alpha \geq 0$ , and  $\underline{n} \in \mathbf{R}^2$ . If  $u := u(x_1, x_2) \in \mathcal{F}[\alpha]$  with  $|u| \leq n$ , then there exists  $M = O_{\alpha,p}(1)$  such that the identity*

$$\Omega_2(\underline{n})^{\pm 1} \cdot u =_P u \cdot \prod_{i=1}^M \Omega_{\ell_i}(\tilde{\underline{n}}_i)^{\pm 1}$$

*holds in  $G_{p,3}^\perp$  with area  $\lesssim_{\alpha,p} n^{p-1}$  for suitable  $2 \leq \ell_i \leq p-1$ , and  $\tilde{\underline{n}}_i \in \mathbf{R}^{\ell_i}$  with  $|\tilde{\underline{n}}_i| \lesssim_{\alpha,p} n$ .*

*Proof.* Apply the identity from Lemma 4.25 at most  $O_{\alpha,p}(1)$ -times to the identity in Lemma 4.21 for (2, 2) to obtain the desired identity and the area estimate. Finally, for all  $\ell_i \geq p$  we remove the  $\Omega_{\ell_i}$ -words as explained in Addendum 4.18(3) with area  $\lesssim_{\alpha,p} n^{p-1}$ .  $\square$

Note that the above corollary is not needed for  $G_{p,3}$  since Addendum 4.22 to Lemma 4.21 already gives us the required identity.

*Proof of the Reduction Lemma 4.9.* The proof is done by induction on  $2\alpha$ . The base of induction,  $\alpha = 1$ , is trivial with  $L = 0$  and the product being empty, since if  $w = x_1^{\beta_1} x_2^{\gamma_1}$  represents an element in the derived subgroup of  $G_{p,3}^\perp$ , then  $\beta_1 = \gamma_1 = 0$ .

Suppose that the statement is true for some  $2\alpha \geq 1$  and let  $w := \prod_{i=1}^\mu x_1^{\beta_i} x_2^{\gamma_i} \in \mathcal{F}[2(\alpha+1)]$ . Observe that we can assume  $\mu = 2(\alpha+1)$ . The following identities hold in  $G_{p,3}^\perp$ , the area estimates of which are explained below.

$$\begin{aligned} \prod_{i=1}^\mu x_1^{\beta_i} x_2^{\gamma_i} &\stackrel{(1)}{=}_F x_1^{\beta_1+\beta_2} x_2^{\gamma_1} \cdot [x_2^{\gamma_1}, x_1^{\beta_2}] \cdot x_2^{\gamma_2} \cdot \prod_{i=3}^\mu x_1^{\beta_i} x_2^{\gamma_i} \\ &\stackrel{(2)}{=}_F x_1^{\beta_1+\beta_2} x_2^{\gamma_1+\gamma_2} \cdot [x_2^{\gamma_1}, x_1^{\beta_2}] \cdot [x_2^{\gamma_1}, x_1^{\beta_2}, x_2^{\gamma_2}] \cdot \prod_{i=3}^\mu x_1^{\beta_i} x_2^{\gamma_i} \\ &\stackrel{(3)}{=}_P x_1^{\beta_1+\beta_2} x_2^{\gamma_1+\gamma_2} \cdot \Omega_2(\beta_2, \gamma_1)^{-1} \cdot \Omega_3^{p-2}(\hat{\underline{\gamma}}_1) \cdot \prod_{i=3}^\mu x_1^{\beta_i} x_2^{\gamma_i} \\ &\stackrel{(4)}{=}_P x_1^{\beta_1+\beta_2} x_2^{\gamma_1+\gamma_2} \cdot \Omega_2(\beta_2, \gamma_1)^{-1} \cdot \Omega_{p-1}(\tilde{\underline{\gamma}}_1) \cdot \prod_{i=3}^\mu x_1^{\beta_i} x_2^{\gamma_i} \\ &\stackrel{(5)}{=}_P x_1^{\beta_1+\beta_2} x_2^{\gamma_1+\gamma_2} \cdot \Omega_2(\beta_2, \gamma_1)^{-1} \cdot \left( \prod_{i=3}^\mu x_1^{\beta_i} x_2^{\gamma_i} \right) \cdot \prod_{i=1}^\nu \Omega_{s_i}(\underline{\eta}_i)^{\pm 1} \\ &\stackrel{(6)}{=}_P x_1^{\beta_1+\beta_2} x_2^{\gamma_1+\gamma_2} \cdot \left( \prod_{i=3}^\mu x_1^{\beta_i} x_2^{\gamma_i} \right) \cdot \left( \prod_{i=1}^N \Omega_{\ell_i}(\tilde{\underline{n}}_i)^{\pm 1} \right) \cdot \left( \prod_{i=1}^\nu \Omega_{s_i}(\underline{\eta}_i)^{\pm 1} \right) \\ &\stackrel{(7)}{=}_P x_1^{\beta_1+\beta_2} x_2^{\gamma_1+\gamma_2} \cdot \left( \prod_{i=3}^\mu x_1^{\beta_i} x_2^{\gamma_i} \right) \cdot \prod_{i=1}^L \Omega_{\ell_i}(\hat{\underline{\eta}}_i)^{\pm 1} \end{aligned}$$

- (1) is a free identity so it has no area;
- (2) is also a free identity;
- (3) is a consequences of the First commuting (2, 2)-Lemma 4.7 for  $G_{p,3}^\perp$  so it has area  $\lesssim_p n^{p-1}$  where  $|\hat{\underline{\gamma}}_1| \lesssim_p n$ ;
- (4) follows from applying Lemma 4.25, thus it has area  $\lesssim_p n^2$  where  $|\tilde{\underline{\gamma}}_1| \lesssim_p n$ ;
- (5) is a consequence of Lemma 4.21 so it has area  $\lesssim_p n^2$ , where  $|\underline{\eta}_i| \lesssim_{\alpha,p} n$ ,  $\nu = O_{\alpha,p}(1)$ , and  $s_i \geq p$ ;
- (6) follows from Corollary 4.26, so it has area  $\lesssim_p n^{p-1}$ , where  $\ell_i \geq 2$ ,  $|\tilde{\underline{n}}_i| \lesssim_{\alpha,p} n$ , and  $N = O_{\alpha,p}(1)$ ;
- (7) follows by first removing all the  $\Omega_{\ell_i}$ - and  $\Omega_{s_i}$ -words with  $\ell_i, s_i \geq p$  using Addendum 4.18(3). Since there are at most  $O_{\alpha,p}(1)$  of them, this has total area  $\lesssim_{\alpha,p} n^{p-1}$ . We then merge the remaining  $\Omega$ -words into a single product without any area.

The word  $v(x_1, x_2) := x_1^{\beta_1+\beta_2} x_2^{\gamma_1+\gamma_2} \cdot \left( \prod_{i=3}^{\mu} x_1^{\beta_i} x_2^{\gamma_i} \right)$  has the same exponent sum for  $x_1$  and  $x_2$  as that of the word  $w$ , therefore it represents an elements in the derived subgroup of  $G_{p,3}^{\downarrow}$  of length at most  $n$  and  $v(x_1, x_2) \in \mathcal{F}[2\alpha]$ . Hence applying the induction hypothesis for  $2\alpha$  to the word  $v(x_1, x_2)$  we obtain the desired result.  $\square$

**Proof of the Main commuting Lemma 4.6.** If both  $w_1$  and  $w_2$  are powers of  $x_2$  the statement is clear. Otherwise, we apply the Reduction Lemma 4.9 to  $w_1$  to obtain the identity  $w_1 =_{\mathcal{P}} \prod_{i=1}^L \Omega_{l_i}(\underline{\eta}_i)^{\pm 1}$  in  $G_{p,3}^{\downarrow}$  with area  $\lesssim_p n^{p-1}$  where  $2 \leq l_i \leq p-1$ . Thus, if  $w_2$  represents an element of the derived subgroup of  $G_{p,3}^{\downarrow}$ , then by applying the Second commuting  $(l_i, 2)$ -Lemma 4.8 for  $G_{p,3}^{\downarrow}$  we get the desired identity; if  $w_2 := x_2^k$ , then by applying the First commuting  $(l_i, 2)$ -Lemma 4.7 for  $G_{p,3}^{\downarrow}$  we get that the identity

$$[w_1, w_2] =_{\mathcal{P}} \prod_{i=1}^D \Omega_3^{p-2}(\underline{\eta}_i)^{\pm 1}$$

holds in  $G_{p,3}^{\downarrow}$  with area  $\lesssim_p n^{p-1}$ . Moreover,  $D$  equals the number of  $l_i$  that are equal to 2, in particular  $D \leq L = O_{\alpha,p}(1)$ .  $\square$

**4.A.4. Proof of the cancelling  $k$ -Lemma for  $G_{p,3}^{\downarrow}$ .** As in [LIPT23, Section 6.7] the proof of the Cancelling  $k$ -Lemma 4.10 is done by descending induction on  $k$ . For the base case  $k = p-1$  suppose that for some positive integer  $M_{p-1}$  and  $\underline{n}_{p-1,i} \in \mathbf{R}^{p-1}$  with  $|\underline{n}_{p-1,i}| \leq n$ , the word

$$w(x_1, x_2) := \prod_{i=1}^{M_{p-1}} \Omega_{p-1}(\underline{n}_{p-1,i})^{\pm 1}$$

is null-homotopic in  $G_{p,3}^{\downarrow}$  for all  $p \geq 5$  (respectively  $G_{p,3}$  for all  $p \geq 3$ ). It follows from Corollary 4.24 that for all  $1 \leq i \leq p-1$  there exist some  $m_i \in \mathbf{R}$  with  $|m_i| \lesssim_p |\underline{n}_{p-1,i}|$  such that the identity

$$\Omega_{p-1}(\underline{n}_{p-1,i})^{\pm 1} =_{\mathcal{P}} (\Omega_{p-2}^3(|\underline{n}_{p-1,i}|, \dots, |\underline{n}_{p-1,i}|))^{m_i}$$

holds in  $G_{p,3}^{\downarrow}$  for all  $p \geq 5$  (respectively  $G_{p,3}$  for all  $p \geq 3$ ) with area  $\lesssim_p n^{p-1}$ .

For all  $1 \leq i \leq p-1$ , the word  $\Omega_{p-2}^3(|\underline{n}_{p-1,i}|, \dots, |\underline{n}_{p-1,i}|)$  represents an element in  $G_{p-1,3} \hookrightarrow G_{p,3}$  (respectively  $G_{p,3}^{\downarrow}$ ). In particular, the word

$$\prod_{i=1}^{M_{p-1}} (\Omega_{p-2}^3(|\underline{n}_{p-1,i}|, \dots, |\underline{n}_{p-1,i}|))^{m_i}$$

is null-homotopic in  $G_{p-1,3}$ . Thus, by merging suitable pairs of  $\Omega_{p-2}^3$ -words into new shorter  $\Omega_{p-2}^3$ -words, in  $\lesssim M_{p-1}n$  steps we conclude from the induction hypothesis (IH-p) for  $G_{p-1,3}$  that it has area  $\lesssim_{M_{p-1},p} n^{p-1}$ . It now follows that the null-homotopic word  $w(x_1, x_2)$  has area  $\lesssim_{M_{p-1},p} n^{p-1}$  in  $G_{p,3}^{\downarrow}$  for all  $p \geq 5$  (respectively  $G_{p,3}$  for all  $p \geq 3$ ). This proves the base case.

We now assume that the statement of the Cancelling  $(k+1)$ -Lemma 4.10 holds. The proof of the induction step is analogous to the one in [LIPT23, Section 6.7]. The only changes required are some small modifications in the proofs of the auxiliary results for  $G_{p,3}^{\downarrow}$  that we explain below. Once these have been proved the remainder of the induction step proceeds as in [LIPT23, p.754]. One may worry that the applications of the Main commuting Lemma 4.6 there could produce error terms. However, this is *not* the case, since we only apply it for pairs of words in the derived subgroup.

**Lemma 4.27** ([LIPT23, Lemma 6.27]). *Let  $n \geq 1$ . For  $2 \leq k \leq p-2$  and  $\underline{n} \in \mathbf{R}^k$  with  $|\underline{n}| \leq n$  an identity of the form*

$$\Omega_k(\underline{n})^{\pm 1} =_{\mathcal{P}} x_{k+1}^{\ell} \cdot E_{p,k}(\underline{n})$$

holds in  $G_{p,3}^\perp$  for  $p \geq 5$  (respectively  $G_{p,3}$  for all  $p \geq 3$ ) with area  $\lesssim_p n^{p-1}$ , where  $E_{p,k}(n)$  is equal to  $\prod_{i=k+1}^{p-1} \Omega_i(\underline{m}_i)^{\pm 1}$  with  $|\underline{m}_i| \lesssim_p n$ . Moreover,  $|\ell| \lesssim_p n^k$ .

*Proof.* The proof is analogous to the one of [LIPT23, Lemma 6.27]. It is done by induction in  $m := \lceil \log_2(n) \rceil$ . The induction step uses the Cutting in half  $k$ -Lemma 4.28 stated below, which corresponds to [LIPT23, Lemma 6.10]; its proof requires a modification for  $k = 2$  with respect to the proof in [LIPT23]. Aside from this, the same arguments as in [LIPT23] can be applied without modification.<sup>11</sup>  $\square$

**Lemma 4.28** ([LIPT23, Lemma 6.10], Cutting in half  $k$ -Lemma). *Let  $2 \leq k \leq p-2$  and  $\underline{n} \in \mathbf{R}^k$ . The following identities hold in  $G_{p,3}^\perp$  for  $p \geq 5$  (respectively  $G_{p,3}$  for  $p \geq 3$ ):*

$$\Omega_k(2\underline{n}) =_{\mathcal{P}} \Omega_k(\underline{n})^{2^k} \cdot w_k(\underline{n}) \quad \text{and} \quad \Omega_k(2\underline{n}) =_{\mathcal{P}} w_k(\underline{n}) \cdot \Omega_k(\underline{n})^{2^k},$$

where  $w_k(\underline{n}) =_{\mathcal{P}} \prod_{i=1}^L \Omega_{l_i}(\underline{\eta}_i)^{\pm 1}$  with  $L = O_p(1)$ ,  $l_i \geq k+1$ , and  $|\underline{\eta}_i| \lesssim_p |\underline{n}|$  for  $1 \leq i \leq L$ . Moreover, each of the identities has area  $\lesssim_p |\underline{n}|^{p-1}$ .

*Proof.* Like for [LIPT23, Lemma 6.10] the proof is by ascending induction in  $k$ . The case  $G_{p,3}^\perp$  requires a minor adjustment for  $k = 2$ , which we explain below. The remainder of the induction step is completely analogous to the one in [LIPT23] and we omit it. So assume  $k = 2$ . Then the following identities hold in  $G_{p,3}^\perp$

$$\begin{aligned} \Omega_2(2\underline{n}) &:= [x_1^{2n_1}, x_2^{2n_2}] \stackrel{(1)}{=}_F [x_1^{2n_1}, x_2^{n_2}] \cdot [x_1^{2n_1}, x_2^{n_2}]^{x_2^{n_2}} \\ &\stackrel{(2)}{=}_{\mathcal{P}} [x_1^{2n_1}, x_2^{n_2}]^2 \cdot \prod_{i=1}^D \Omega_3^{p-2}(\underline{\eta}_i)^{\pm 1} \\ &\stackrel{(3)}{=}_F \left( [x_1^{n_1}, x_2^{n_2}]^{x_1^{n_1}} \cdot [x_1^{n_1}, x_2^{n_2}] \right)^2 \cdot \prod_{i=1}^D \Omega_3^{p-2}(\underline{\eta}_i)^{\pm 1} \\ &\stackrel{(4)}{=}_F \left( \Omega_2(\underline{n}) \cdot [\Omega_2(\underline{n}), x_1^{n_1}] \cdot [x_1^{n_1}, x_2^{n_2}] \right)^2 \cdot \prod_{i=1}^D \Omega_3^{p-2}(\underline{\eta}_i)^{\pm 1} \\ &\stackrel{(5)}{=}_{\mathcal{P}} \Omega_2(\underline{n})^4 \cdot \Omega_3(n_1, n_1, n_2)^{-2} \cdot \prod_{i=1}^D \Omega_3^{p-2}(\underline{\eta}_i)^{\pm 1} \\ &\stackrel{(6)}{=}_{\mathcal{P}} \Omega_2(\underline{n})^4 \cdot \Omega_3(n_1, n_1, n_2)^{-2} \cdot \prod_{i=1}^D \Omega_{p-1}(\tilde{\underline{n}})^{\pm 1}. \end{aligned}$$

- (1) is just the free identity  $[u, v \cdot w] =_F [u, w] \cdot [u, v]^w$ , so it has no area;
- (2) is a consequence of the Main commuting Lemma 4.6 for  $G_{p,3}^\perp$ , where  $|\underline{\eta}_i| \lesssim_p |\underline{n}|$  and  $D = O_{\alpha,p}(1)$ , so it has area  $\lesssim_p n^{p-1}$ ;
- (3) is an application of the free identity  $[u \cdot v, w] =_F [u, w]^v \cdot [v, w]$ , so it has no area;
- (4) is a free identity so it has no area;
- (5) is a consequence of the Main commuting Lemma 4.6, hence it has area  $\lesssim_p n^{p-1}$ ;
- (6) follows from Lemma 4.25 applied  $O_{\alpha,p}(1)$  times, thus it has area  $\lesssim_p n^2$ .

Setting  $w_2 := \Omega_3(n_1, n_1, n_2)^{-2} \cdot \prod_{i=1}^D \Omega_{p-1}(\tilde{\underline{n}})^{\pm 1}$  completes the proof for  $k = 2$ .  $\square$

**4.A.5. Proof of the upper bounds for  $\delta_{G_{p,3}}$  and  $\delta_{G_{p,3}^\perp}$ .** The proof is analogous to [LIPT23, Theorem 6.1, p.756]. We include it here for the readers convenience, since it is short and explains how the various technical results proved above fit together to yield the upper bound on the Dehn function.

<sup>11</sup>Note that  $k \geq 2$ , so whenever in the proof of [LIPT23, Lemma 6.27] the Main commuting Lemma is applied, we do not produce any error terms, since all the terms involved represent elements in the derived subgroup of  $G_{p,3}^\perp$ .

One has to show that for all  $\alpha \geq 1$  every null-homotopic word in  $\mathcal{G}[\alpha]$  of length at most  $n$  has area  $\lesssim_p n^{p-1}$ . Consider a null-homotopic word  $w := w(x_1, x_2, y_1, y_{p-1}) \in \mathcal{G}[\alpha]$  of length  $|w| \leq n$ . Then, there are  $u := u(x_1, x_2)$  and  $v := v(y_1, y_{p-1})$  with  $|u|, |v| \leq n$  such that the equality  $w =_{\mathcal{P}} u \cdot v$  holds in  $G_{p,3}^{\perp}$  with area  $\lesssim_p n^2$ . Since  $\langle x_1, x_{p-1}, y_1, y_{p-1} \rangle$  generates a 5-Heisenberg subgroup of  $G_{p,3}^{\perp}$ , the identity  $v(y_1, y_{p-1}) =_{\mathcal{P}} v(x_1, x_{p-1})$  holds in  $G_{p,3}^{\perp}$  with area  $\lesssim_p n^2$ . Since the word  $v(x_1, x_{p-1})$  represents a central element, there exists  $\tilde{n} \in \mathbf{R}^{p-1}$  with  $|\tilde{n}| \lesssim_p n$  such that  $v(x_1, x_{p-1}) =_{\mathcal{P}} \Omega_{p-1}(\tilde{n})$  holds in  $G_{p,3}^{\perp}$  with area  $\lesssim_p n^{p-2}$ , and for  $\alpha$  sufficiently large  $\Omega_{p-1}(\tilde{n}) \in \mathcal{F}[\alpha]$ . Therefore, after possibly increasing  $\alpha$ , the word  $u \cdot \Omega_{p-1}(\tilde{n})$  is null-homotopic in  $\mathcal{F}[\alpha]$  of length at most  $n$ , which allows us to apply the results of the previous sections: first, the Reduction Lemma 4.9 implies that

$$(4.9) \quad w =_{\mathcal{P}} u \cdot \Omega_{p-1}(\tilde{n}) = \mathcal{P} \prod_{i=1}^L \Omega_{l_i}(\underline{\eta}_i)^{\pm 1}$$

holds in  $G_{p,3}^{\perp}$  with area  $\lesssim_p n^{p-1}$ , where  $|\underline{\eta}_i| \lesssim_p n$  and  $2 \leq l_i \leq p-1$ . We can then apply the Main commuting Lemma 4.6 to rearrange the  $\Omega$ -words on the left hand side of (4.9); note that all the  $\Omega$  words represent elements in the derived subgroup of  $G_{p,3}^{\perp}$  so they commute with area  $\lesssim_{\alpha,p} n^{p-1}$ . We thus get

$$(4.10) \quad w =_{\mathcal{P}} \left( \prod_{i=1}^{M_k} \Omega_k(\underline{n}_{k,i})^{\pm 1} \right) \left( \prod_{i=1}^{M_{k+1}} \Omega_{k+1}(\underline{n}_{k+1,i})^{\pm 1} \right) \cdots \left( \prod_{i=1}^{M_{p-1}} \Omega_{p-1}(\underline{n}_{p-1,i})^{\pm 1} \right)$$

Since  $w$  is a null-homotopic word, the word on the right hand side of (4.10) is also null-homotopic, therefore by the Cancelling 2-Lemma 4.10 we get that  $w$  has area  $\lesssim_p n^{p-1}$  in  $G_{p,3}^{\perp}$ . This finishes the proof of the induction step (IH-p) for  $p$ .  $\square$

#### 4.B. From $G_{p,3}$ and $G_{p,3}^{\perp}$ to the general case

We can now obtain upper bounds on Dehn functions for a larger class of central products using the results obtained for  $G_{p,3}$  and  $G_{p,3}^{\perp}$ . In particular, we treat the upper bound corresponding to Theorem I and Theorem II.

**Proposition 4.29.** *Let  $q$  be an integer such that  $q \geq 3$ . Let  $L$  be a simply connected nilpotent group of class  $\leq q-1$  with one-dimensional cyclic centre. Let  $K$  be either  $L_p$  for  $p > q$  or  $L_p^{\perp}$  for  $p > \max\{q, 4\}$ . The Dehn function of  $G := K \times_Z L$  satisfies  $\delta_G(n) \preccurlyeq n^{p-1}$ .*

*Proof.* We prove the statement for  $K = L_p$  the argument for  $K = L_p^{\perp}$  is exactly the same. Let  $\mathcal{P}$  be the presentation for  $G$  given by Proposition 2.8 and Lemma 2.2. Let  $w$  be a word of length at most  $n$  in  $G$ . Then, the identity  $w =_{\mathcal{P}} u(x_1, x_2)v_L$  holds in  $G$  with area  $\lesssim_p n^2$  where  $v_L$  is a word in the generating set of  $L$  and  $|u|, |v_L| \leq n$ .

Since the centre  $Z$  is distorted in  $L$  with polynomial distortion of degree  $q-1$  and  $v_L$  represents a central element of  $G$ , there exists  $b$  with  $|b| \lesssim_p n^{q-1}$  such that  $v_L =_{\mathcal{P}} z^b$ . Since  $q-1 \leq p-2$  there thus exists  $\tilde{n}$  with  $|\tilde{n}| \leq n$  such that we can rewrite  $v_L$  as  $\Omega_{p-2}^3(\tilde{n})$  in  $L_{p-1} \times_Z L \hookrightarrow L_p \times_Z L$ . It follows from [GHR03], that the identity  $v_L =_{\mathcal{P}} \Omega_{p-2}^3(\tilde{n})$  has area  $\lesssim_p n^{p-1}$ . Therefore, since  $w =_{\mathcal{P}} u(x_1, x_2) \cdot \Omega_{p-2}^3(\tilde{n})$  is a null-homotopic word in  $L_p \times_Z L_{3,2}$  of length  $\lesssim_p n$ , it follows from Proposition 4.1 (respectively 4.2) that it has area  $\lesssim_p n^{p-1}$ .  $\square$

An immediate consequence of Proposition 4.29 is the following result that establishes the upper bound of Theorem II for  $k > \ell$ .

**Corollary 4.30.** *Let  $L$  be either  $L_q$  with  $q \geq 3$  or  $L_q^{\perp}$  with  $q \geq 5$ , and let  $K$  be either  $L_p$  with  $p > q$  or  $L_p^{\perp}$  with  $p > \max\{q, 4\}$ . Then the group  $G := K \times_Z L$  has Dehn function  $\delta_G(n) \preccurlyeq n^{p-1}$ .*

We close this section with the upper-bound of Theorem II for  $k = \ell$ .

**Proposition 4.31.** *Let each of  $K$  and  $L$  be either  $L_p$  with  $p \geq 3$  or  $L_p^\perp$  with  $p \geq 5$ . Then the Dehn function of  $G := K \times_Z L$  satisfies  $\delta_G(n) \preceq n^{p-1}$ .*

*Proof.* Let  $\mathcal{P}$  be the presentation for  $G$  given by Lemma 2.2 and Proposition 2.8. The proof is done by ascending induction on  $p$ <sup>12</sup>. For the base case we have that by [All98] and [OS99] the Dehn function of  $L_3 \times_Z L_3$  is quadratic and by [LIPT23] the Dehn function of  $L_p \times_Z L_p$  is cubic if  $p = 4$  and quartic if  $p = 5$ . Assume now that the statements hold for  $p - 1 \geq 4$ .

Let  $n \geq 1$  be an integer. Let  $w := w(x_1, x_2, y_1, y_2)$  be a null-homotopic word in  $G$  of length at most  $n$ . Using the fact that the  $y_i$ 's commute with the  $x_i$ 's we can rewrite  $w$  as  $w_1(x_1, x_2)w_2(y_1, y_2)$  in  $G$  with area  $\lesssim_p n^2$ . Since  $w_1w_2$  is also a null-homotopic word in  $G$  and  $\langle x_1, x_2 \rangle \cap \langle y_1, y_2 \rangle = \langle z \rangle$ , where  $x_p = z = y_p$  is the generator of the centre of  $G$ , we get that  $w_1$  and  $w_2$  represent elements in the centre. Thus, there exists  $d \in \mathbf{R}$  such that the identities  $w_1(x_1, x_2) =_P z^d$  and  $w_2(y_1, y_2) =_P z^{-d}$  hold in  $G$ .

Since the distortion of  $\langle z \rangle$  in  $G$  is  $\simeq n^{p-1}$ , we get  $|d| \lesssim_p n^{p-1}$ . It follows from Proposition 2.8 that the identities

$$z^d =_P \Omega_{p-1}(\hat{n}) \quad \text{and} \quad z^{-d} =_P \tilde{\Omega}_{p-1}(\hat{n})$$

hold in  $G$  for some  $\hat{n} \in \mathbf{R}^{p-1}$  with  $|\hat{n}| \lesssim_p n$ . Therefore, the words  $w_1(x_1, x_2) \cdot (\Omega_{p-1}(\hat{n}))^{-1}$  and  $\tilde{\Omega}_{p-1}(\hat{n}) \cdot w_2(y_1, y_2)$  are null-homotopic words in  $G_{p,3}^\perp \hookrightarrow G$  with area  $\lesssim_p n^{p-1}$ .

By Corollary 4.24 we can rewrite the  $\Omega_{p-1}$ - and  $\tilde{\Omega}_{p-1}$ -words as products of  $\Omega_{p-2}^3$ - and  $\tilde{\Omega}_{p-2}^3$ -words in  $G_{p-1,p-1} \hookrightarrow G$ . It then follows by applying the induction hypothesis on  $p \lesssim_p n$  times to pairs of  $\Omega_{p-2}^3$ - and  $\tilde{\Omega}_{p-2}^3$ -words, that the identity  $\Omega_{p-1}(\hat{n}) =_P \tilde{\Omega}_{p-1}(\hat{n})$  holds in  $G$  with area  $\lesssim_p n^{p-1}$ . Overall, the identities

$$\begin{aligned} w &=_P w_1(x_1, x_2)w_2(y_1, y_2) \\ &=_F w_1(x_1, x_2) \cdot \Omega_{p-1}(\hat{n})^{-1} \tilde{\Omega}_{p-1}(\hat{n}) \cdot w_2(y_1, y_2) \\ &=_P 1 \end{aligned}$$

hold in  $G$  with area  $\lesssim_p n^{p-1}$ . □

#### 4.C. Proof of Theorem V

**Remark 4.32.** The following proof assumes knowledge of [LIPT23, Section 9]. The reader unfamiliar with Carnot groups arising as asymptotic cones of nilpotent groups may first consult Appendix A and especially Proposition A.5 before reading [LIPT23, Section 9] and then come back here.

Let  $p \geq 3$ . As in [LIPT23], it readily follows from our proof that  $L_p \times_Z L_3$  admits  $(n^{p-1}, n)$  as a filling pair<sup>13</sup>. For this one checks that all prefix words of the transformations we apply represent group elements of distance  $\lesssim n$  to the identity element in the Cayley graph for our chosen generating set. Thus, one argues as in [LIPT23, Section 9] that  $L_p \times_Z L_3$  and its associated Carnot graded group are not  $O(r^e)$ -equivalent for  $e \in [0, 1/(2p))$ . On the other hand Cornulier shows in [Cor17, Proposition 6.13] that the two groups are  $O(r^{2/(p-1)})$ -bilipschitz equivalent. This shows that Cornulier's bound is optimal in the limit as the nilpotency class  $p - 1$  tends to  $+\infty$ .

### 5. PROOFS OF THEOREMS I, II, AND III

In the first part of this section we recall the statements of Theorem I and Theorem II whose proofs consist of putting together the upper and lower bounds obtained in the previous sections. The second part treats the proof of Theorem III whose proof is done by

<sup>12</sup>Note that the proofs for  $L_p^\perp \times_Z L_p^\perp$  and  $L_p^\perp \times_Z L_p$  only use the induction hypothesis for  $G_{q,q}$  with  $q < p$ .

<sup>13</sup>See [LIPT23, §3.3] for the definition of a filling pair we use here.



treating each of the groups individually. The treatment of these cases can be divided into two categories the *elementary ones* and the *non-elementary ones*. The latter are proved using (some of the ingredients in the proofs of) Theorem I and Theorem II, while the former are done with a hands-on treatment.

**Theorem I.** *Let  $k > \ell \geq 2$  be integers. Let  $K$  be either the group  $L_{k+1}$  or  $L_{k+1}^\perp$ . Let  $L$  be a simply connected nilpotent Lie group with one-dimensional centre of nilpotency class  $\ell$ . Let  $G = K \times_Z L$ . Then  $\delta_G(n) \asymp n^k$ .*

*Proof.* The upper bound follows from Proposition 4.29. The lower bound follows from Proposition 3.2.  $\square$

**Theorem II.** *Let  $k \geq \ell \geq 2$  be integers. Let  $K$  be either the group  $L_{k+1}$  or  $L_{k+1}^\perp$ . Let  $L$  be either the group  $L_{\ell+1}$  or  $L_{\ell+1}^\perp$ . Let  $G = K \times_Z L$ . Then  $\delta_G(n) \asymp n^k$ .*

*Proof.* The upper bound follows from Corollary 4.30 and Proposition 4.31. The lower bound follows from Proposition 3.2.  $\square$

**Theorem III.** *Let  $k \geq \ell \geq 2$  be integers and let  $K$  and  $L$  be simply connected nilpotent Lie groups with one-dimensional centres, and class  $k$  and  $\ell$  respectively. Assume that  $\max\{\dim K, \dim L\} \leq 5$ . Let  $G = K \times_Z L$ . Then  $\delta_G(n) \asymp n^k$ .*

### 5.A. Proof of Theorem III

There are twenty-one central products  $K \times_Z L$  as in the assumption of Theorem III, namely where  $K$  and  $L$  have one-dimensional centre and dimension at most 5. In Table 1 we list them, using de Graaf's notation. We treat them separately in §5.A.3 the group with a factor isomorphic to the 5-Heisenberg group. For the readers convenience we mention the group corresponding to the given central product on Magnin's list [Mag08] when it has dimension 6 or 7. We order the groups in the lexicographic order of  $(k, \ell, d)$  where  $k$  and  $\ell$  are the nilpotency classes of  $K$  and  $L$ , and  $d = \dim G$ . For readability, let us recall from §2.D.2 that

$$L_{3,2} = L_3, \quad L_{4,3} = L_4, \quad L_{5,4} = H_5, \quad L_{5,6} = L_5^\perp, \quad \text{and} \quad L_{5,7} = L_5.$$

**5.A.1. Non-elementary cases of Theorem III.** We start with the non-elementary cases, which are treated using Theorems I and Theorem II.

**Proposition 5.1.** *The following groups all have quartic Dehn functions:*

- (1)  $L_{5,6} \times_Z L_{3,2}$
- (2)  $L_{5,6} \times_Z L_{4,3}$
- (3)  $L_{5,6} \times_Z L_{5,6}$
- (4)  $L_{5,6} \times_Z L_{5,7}$
- (5)  $L_{5,i} \times_Z L_{5,4}$  for  $i = 6, 7$ .
- (6)  $L_{5,i} \times_Z L_{5,5}$  for  $i = 6, 7$ .

*Proof.* (1) Since  $L_{5,6}$  is the group  $L_5^\perp$ , this is a consequence of Theorem II with  $k = 4$  and  $\ell = 2$ .

(2) This is a consequence of Theorem II with  $k = 4$  and  $\ell = 3$ .

(3) This is a consequence of Theorem II with  $k = \ell = 4$ .

(4) Since  $L_{5,6} \times_Z L_{5,7}$  is the group  $G_{5,5}^\perp$ , this is a consequence of Theorem II with  $k = \ell = 4$ .

(5) This is a special case of Theorem I with  $k = 4$  and  $\ell = 2$ .

(6) This is a special case of Theorem I with  $k = 4$  and  $\ell = 3$ .  $\square$

**Proposition 5.2.** *The group  $G = L_{4,3} \times_Z L_{5,4}$  has a cubic Dehn function.*

*Proof.* This is a special case of Theorem I with  $k = 3$  and  $\ell = 2$ .  $\square$

This finishes the treatment of the non-elementary cases.



Group	$(k, \ell, d)$	$\delta(n)$	reference	Our name	Other names
$L_{3,2} \times_Z L_{3,2}$	$(2, 2, 5)$	$n^2$	[All98]	$L_3 \times_Z L_3 = H_5$	$L_{5,4}$ [dG07]
$L_{5,4} \times_Z L_{3,2}$	$(2, 2, 7)$	$n^2$	[All98]	$H_5 \times_Z L_3 = H_7$	
$L_{5,4} \times_Z L_{5,4}$	$(2, 2, 9)$	$n^2$	[All98]	$H_5 \times_Z H_5 = H_9$	
$L_{4,3} \times_Z L_{3,2}$	$(3, 2, 6)$	$n^3$	[LIPT23]	$L_4 \times_Z L_3$	$\mathcal{G}_{6,2}$ [Mag08]
$L_{5,5} \times_Z L_{3,2}$	$(3, 2, 7)$	$n^3$	§5.A.2		$\mathcal{G}_{7,3.18}$ [Mag08]
$L_{4,3} \times_Z L_{5,4}$	$(3, 2, 8)$	$n^3$	Theorem I	$L_4 \times_Z H_5$	
$L_{5,5} \times_Z L_{5,4}$	$(3, 2, 9)$	$n^3$	§5.A.3		
$L_{4,3} \times_Z L_{4,3}$	$(3, 3, 7)$	$n^3$	[LIPT23]	$L_4 \times_Z L_4$	$\mathcal{G}_{7,3.16}$ [Mag08]
$L_{5,5} \times_Z L_{4,3}$	$(3, 3, 8)$	$n^3$	§5.A.2		
$L_{5,5} \times_Z L_{5,5}$	$(3, 3, 9)$	$n^3$	§5.A.2		
$L_{5,6} \times_Z L_{5,4}$	$(4, 2, 9)$	$n^4$	Theorem I	$L_5^\perp \times_Z H_5$	
$L_{5,7} \times_Z L_{5,4}$	$(4, 2, 9)$	$n^4$	Theorem I	$L_5 \times_Z H_5$	
$L_{5,7} \times_Z L_{3,2}$	$(4, 3, 7)$	$n^4$	Theorem II	$L_5 \times_Z L_3$	$\mathcal{G}_{7,3.17}$ [Mag08]
$L_{5,6} \times_Z L_{3,2}$	$(4, 3, 7)$	$n^4$	Theorem II	$L_5^\perp \times_Z L_3$	$\mathcal{G}_{7,2.30}$ [Mag08]
$L_{5,7} \times_Z L_{4,3}$	$(4, 3, 8)$	$n^4$	[LIPT23]	$L_5 \times_Z L_4$	
$L_{5,6} \times_Z L_{4,3}$	$(4, 3, 8)$	$n^4$	Theorem II	$L_5^\perp \times_Z L_4$	
$L_{5,7} \times_Z L_{5,5}$	$(4, 3, 9)$	$n^4$	Theorem I		
$L_{5,6} \times_Z L_{5,5}$	$(4, 3, 9)$	$n^4$	Theorem I		
$L_{5,7} \times_Z L_{5,7}$	$(4, 4, 9)$	$n^4$	[LIPT23]	$L_5 \times_Z L_5$	
$L_{5,7} \times_Z L_{5,6}$	$(4, 4, 9)$	$n^4$	Theorem II	$L_5^\perp \times_Z L_5$	
$L_{5,6} \times_Z L_{5,6}$	$(4, 4, 9)$	$n^4$	Theorem II	$L_5^\perp \times_Z L_5^\perp$	

TABLE 1. Central products of groups with one-dimensional centre and dimension at most 5. In the fifth column we provide the name according to the notation in the present paper, when applicable. The sixth column gives names from de Graaf's and Magnin's classification (note that Magnin considers the Lie algebras over the complex numbers).

**5.A.2. Elementary cases of Theorem III.** In this paragraph, we take an opposite approach to the rest of the paper in that we compute the Dehn function of finitely presented groups  $\Gamma_{d,i}$  arising as cocompact lattices in  $L_{d,i}$ . No knowledge of Lie groups and Lie algebras is needed here for the computations of the upper bound. For the lower bound we make use of some computations of cocycles in Lie algebra cohomology, which could be avoided.

**The group  $\Gamma_{5,5} \times_Z \Gamma_{3,2}$ .** Let us recall that by Proposition 2.14 the group  $L_{5,5}$  contains a lattice  $\Gamma_{5,5}$  that is generated by  $x_1, \dots, x_5$ , with the nontrivial commutators

$$(5.1) \quad [x_1, x_2] = x_3, [x_1, x_3] = x_4, [x_2, x_5] = x_4.$$

We adapt the argument in §4 of [LIPT23], to prove the following:

**Proposition 5.3.** *The Dehn function of  $\Gamma = \Gamma_{5,5} \times_Z \Gamma_{3,2}$  is cubic.*

*Proof.* Let us start with the proof that the Dehn function of  $\Gamma$  is at most cubic. The presentation of  $\Gamma$  that we shall work with is the following: the generating set is

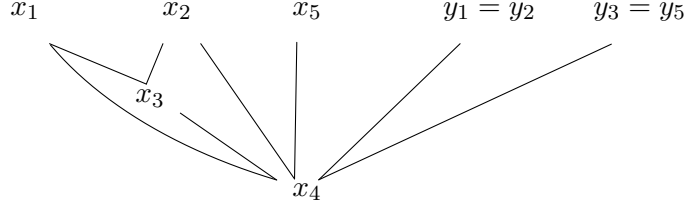
$$\{x_1, x_2, x_3, x_4, x_5, y_1, y_2\}$$

and the (non-trivial) relations are

$$[x_1, x_2] = x_3, [x_1, x_3] = x_4, [x_2, x_5] = x_4, [y_1, y_3] = x_4;$$

it is also convenient to add two letters corresponding to artificial generators  $y_2$  and  $y_5$  and the relations  $y_2 = y_1$  and  $y_5 = y_3$ , because we use the fact that the set  $\{x_2, x_5, y_2, y_5\}$

generates an integral 5-Heisenberg group which is the central product of  $\langle x_2, x_5 \rangle$  and of  $\langle y_2, y_5 \rangle$ . This can be summarized by the following diagram.



By Lemma 2.4, to prove Proposition 5.3 it is sufficient to show that null-homotopic words  $w(x_1, x_2, x_5)$  of length at most  $n$  have area at most  $Cn^3$  in the given presentation.

We define  $\Omega(m, n) := [x_1^m, x_3^n]$  with  $m, n \in \mathbf{Z}$ . We describe an algorithm to reduce  $w$  to a trivial word, which is a variant of the one given in [LPT23, §4]. It consists of first rewriting  $w(x_1, x_2, x_5)$  as a product of words  $\Omega(m_i, n_i)$  at a cubic cost. Then the product of the  $\Omega(m_i, n_i)$  is reduced to a single word of the form  $\Omega(m, n)$ , which is trivial, since  $w$  is null-homotopic.

We give names to the steps of our algorithm and compute the total area of our transformations at the end. First note that if there is no occurrence of  $x_1^{\pm 1}$  in  $w$  we are done, because  $\{x_2, x_5\}$  generates a Heisenberg subgroup, which has cubic Dehn function. We thus assume that there is at least one occurrence of  $x_1^{\pm 1}$  in  $w$ .

**(M<sub>1</sub>):** Start from  $w(x_1, x_2, x_5)$ , look for the leftmost occurrence of  $x_1^{\pm 1}$  that has other preceding letters and decompose  $w$  as  $w = x_1^{k_{1,0}} u_1(x_2, x_5) x_1^{\pm 1} v_1(x_1, x_2, x_5)$  for some  $k_{1,0} \in \mathbf{Z}$ . Move the  $x_1^{\pm 1}$  to the left using the identities

$$\begin{aligned} x_2 x_1 &= x_1 x_3^{-1} x_2, & x_2^{-1} x_1 &= x_1 x_3 x_2^{-1}, \\ x_2 x_1^{-1} &= x_1^{-1} x_3 x_4 x_2, & x_2^{-1} x_1^{-1} &= x_1^{-1} x_3^{-1} x_4^{-1} x_2^{-1}. \end{aligned}$$

(Note also that  $x_1$  commutes with  $x_5$ .) This produces occurrences of  $x_3^{\pm 1}$  and  $x_4^{\pm 1}$  directly right of  $x_1^{\pm 1}$  every time it crosses an occurrence of  $x_2^{\pm 1}$ . We carry these occurrences to the left with us. At the end of this step we are left with a word of the form

$$x_1^{k_{1,1}} x_3^{k_{3,1}} x_4^{m_{1,2}} u_1(x_2, x_5) v_1(x_1, x_2, x_5),$$

for some  $k_{3,1}, m_{1,2} \in \mathbf{Z}$ , where  $|k_{3,1}|$  is bounded above by the total number of occurrences of  $x_2^{\pm 1}$  in  $w$  and  $m_{1,2}$  is either zero or equal to  $k_{3,1}$ , depending on the sign of the exponent of the  $x_1^{\pm 1}$  we moved. The total cost of this step is  $\lesssim n^2$ .

**(M<sub>3</sub>):** Move all the  $x_3^{\pm 1}$ 's produced in Step (M<sub>1</sub>) to the very left. At the end of this step, we are left with a word of the form

$$x_3^{k_{3,1}} x_1^{k_{1,1}} \Omega(\tilde{m}_1, \tilde{n}_1) x_4^{m_{1,2}} u_1(x_2, x_5) v_1(x_1, x_2, x_5).$$

for some  $\tilde{m}_1, \tilde{n}_1 \in \mathbf{Z}$ . Note that  $|\tilde{m}_1| + |\tilde{n}_1|$  is bounded by  $n$ , as  $\tilde{m}_1 = k_{1,1}$  and  $\tilde{n}_1 = k_{3,1}$  and that this transformation happens in the free group on the generating set, meaning that it does not have any cost.

**(M<sub>Ω</sub>):** Move the  $x_4^{m_{1,2}}$  and the  $\Omega(\tilde{m}_1, \tilde{n}_1)$  created in Step (M<sub>3</sub>) to the very right using the 5-Heisenberg group generated by the set  $\{x_1, x_3, y_1, y_3\}$ . After that the word has the form

$$x_3^{k_{3,1}} x_1^{k_{1,1}} u_1(x_2, x_5) v_1(x_1, x_2, x_5) \Omega(\tilde{m}_1, \tilde{n}_1) x_4^{m_{1,2}}.$$

Then use the 5-Heisenberg group once more to obtain an identity of the form  $\Omega(\tilde{m}_1, \tilde{n}_1)x_4^{m_1,2} = \Omega(m_1, n_1)$  with  $|m_1| + |n_1| \lesssim n$ . The cost of this step is quadratic in  $|m_1| + |n_1| \leq n$ .

We inductively repeat the moves  $(\mathbf{M}_1)$ ,  $(\mathbf{M}_3)$  and  $(\mathbf{M}_\Omega)$ ,  $i$  times<sup>14</sup> to obtain a word of the form

$$(5.2) \quad x_3^{k_{3,i}} x_1^{k_{1,i}} u_i(x_2, x_5) v_i(x_1, x_2, x_5) \Omega(m_i, n_i) \cdots \Omega(m_1, n_1),$$

and it is always true that  $|u_i| + 1 + |v_i| \leq n$ , since we did not create new occurrences of  $x_1^{\pm 1}$ ,  $x_2^{\pm 1}$  and  $x_5^{\pm 1}$  in the process. Moreover, we reduce the total number of  $x_1^{\pm 1}$ 's by at least one in each step.

The algorithm ends when there is not a single occurrence of  $x_1^{\pm 1}$  left in  $v_i$ . At this point, say  $i = I$ , we are left with a word of the form

$$x_3^{k_{3,I}} x_1^{k_{1,I}} U(x_2, x_5) \Omega(m_I, n_I) \cdots \Omega(m_1, n_1).$$

Since  $\Omega(m_I, n_I) \cdots \Omega(m_1, n_1)$  represents a central element in  $G$ , the same must be true for  $x_3^{k_{3,I}} x_1^{k_{1,I}} U(x_2, x_5)$ . In particular, its  $x_1$ -exponent sum  $k_{1,I}$  must be zero, as its image under the abelianization map is trivial.

The remaining word is then

$$(5.3) \quad x_3^{k_{3,I}} U(x_2, x_5) \Omega(m_I, n_I) \cdots \Omega(m_1, n_1).$$

Using that the 5-Heisenberg group has quadratic Dehn function [OS99], we can first rewrite (5.3) as

$$x_3^{k_{3,I}} U(y_2, y_5) \Omega(m_I, n_I) \cdots \Omega(m_1, n_1),$$

and then as

$$(5.4) \quad x_3^{k_{3,I}} U(x_1, x_3) \Omega(m_I, n_I) \cdots \Omega(m_1, n_1)$$

at quadratic cost.

We now observe that there is some  $x_3^{k_{3,I+1}}$  with  $|k_{3,I+1}| \leq n$  such that  $x_3^{-k_{3,I+1}} U(x_1, x_3)$  is central. Thus, an identity of the form  $x_3^{-k_{3,I+1}} U(x_1, x_3) = \Omega(m_{I+1}, n_{I+1})$  holds in the corresponding 5-Heisenberg subgroup at quadratic cost. This yields a word of the form

$$x_3^{k_{3,I+1}} \Omega(m_{I+1}, n_{I+1}) \cdots \Omega(m_1, n_1).$$

Since the product of the  $\Omega(m_i, n_i)$  is central,  $k_{3,I+1} = 0$ .

Let us now bound the costs. Apart from the steps between (5.3) and (5.4), which have quadratic cost, the procedure described above has  $I$  steps, each of which has quadratic cost (since this is true for each of steps  $(\mathbf{M}_1)$ ,  $(\mathbf{M}_3)$  and  $(\mathbf{M}_\Omega)$  individually). Since  $I$  is the number of occurrences of  $x_1^{\pm 1}$  in the word  $w$  at the beginning it follows that  $I \leq |w| \leq n$ . In particular, at total cost  $\lesssim I \cdot n^2 \lesssim n^3$  we transformed the initial word  $w$  into a null-homotopic word of the form

$$\Omega(m_{I+1}, n_{I+1}) \cdots \Omega(m_1, n_1)$$

with  $I + 1 \leq \text{const} \cdot n$  and  $|m_i| + |n_j| \leq \text{const} \cdot n$ . By [LPT23, Lemma 4.5] the area of such a word is cubic in  $n$ . This completes the proof of Proposition 5.3.

We now turn to the lower bound. Letting  $\xi_1, \xi_2, \xi_3, \xi_4, \eta_1, \eta_3$  be the basis dual to  $X_1, X_2, X_3, X_4, Y_1, Y_3$  in the Lie algebra of  $L_{5,5} \times_Z L_{4,3}$  we find that  $\xi_2 \wedge \xi_3$  is a cocycle and not a coboundary, and so defines a central extension of the Lie algebra of  $L_{5,5} \times_Z L_{3,2}$ , with generators  $\tilde{X}_1, \dots, \tilde{X}_4, \tilde{Y}_1, \tilde{Y}_3, \tilde{Z}$  and Lie brackets

$$[\tilde{X}_1, \tilde{X}_2] = \tilde{X}_3, [\tilde{X}_1, \tilde{X}_3] = \tilde{X}_4, [\tilde{X}_2, \tilde{X}_5] = \tilde{X}_4, [\tilde{Y}_1, \tilde{Y}_3] = \tilde{X}_4, [\tilde{X}_2, \tilde{X}_3] = \tilde{Z}.$$

<sup>14</sup>Strictly speaking we apply the inductive step to the word  $u_i(x_2, x_5)v_i(x_1, x_2, x_5)$  in (5.2).

Using the Baker–Campbell–Hausdorff formula exactly in the same way as in Proposition 2.14, it then follows that the group  $\Gamma_{5,5} \times_Z \Gamma_{3,2}$  has a central extension  $\tilde{\Gamma}_1$  whose presentation is given by the set of generators  $\tilde{x}_1, \tilde{x}_2, \tilde{x}_3, \tilde{x}_4, \tilde{y}_1, \tilde{y}_3, \tilde{z}$  and the relations

$$[\tilde{x}_1, \tilde{x}_2] = \tilde{x}_3, [\tilde{x}_1, \tilde{x}_3] = \tilde{x}_4, [\tilde{x}_2, \tilde{x}_5] = \tilde{x}_4, [\tilde{y}_1, \tilde{y}_3] = \tilde{x}_4, [\tilde{x}_2, \tilde{x}_3] = \tilde{z}.$$

More precisely,  $\tilde{\Gamma}_1$  fits in the short exact sequence

$$1 \rightarrow \langle \tilde{z} \rangle \rightarrow \tilde{\Gamma}_1 \rightarrow \Gamma \rightarrow 1$$

where  $\tilde{x}_i \mapsto x_i$  and  $\tilde{y}_j \mapsto y_j$  for all  $i, j$ . The subgroup generated by  $\tilde{z}$  lies in the third term of the central series of  $\tilde{\Gamma}_1$ , and thus, it is cubically distorted. By [LIPT23, Proposition 7.2] (using criterion (i) there), the Dehn function of  $\Gamma$  is at least cubic.  $\square$

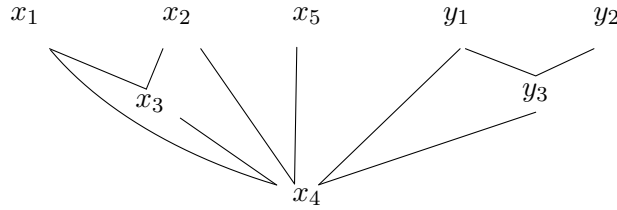
**The group**  $\Gamma = \Gamma_{5,5} \times_Z \Gamma_{4,3}$ . The generating set for the group  $\Gamma_{5,5} \times_Z \Gamma_{4,3}$  is

$$\{x_1, x_2, x_3, x_4, x_5, y_1, y_2, y_3\}$$

and the relations that we use are

$$[x_1, x_2] = x_3, [x_1, x_3] = x_4, [x_2, x_5] = x_4, [y_1, y_2] = y_3, [y_1, y_3] = x_4.$$

These are summarized in the diagram below.



**Proposition 5.4.** *The Dehn function of  $\Gamma = \Gamma_{5,5} \times_Z \Gamma_{4,3}$  is cubic.*

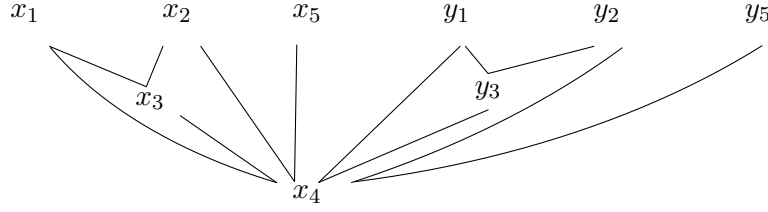
*Proof.* Let us start with the upper bound. The subgroup  $K_0$  of  $\Gamma_{5,5}$  generated by  $x_1$  and  $x_2$  is isomorphic to  $L_{4,3}$  and contains the centre of  $L_{5,5}$ . Since  $L_{4,3} \times_Z L_{4,3}$  has cubic Dehn function [LIPT23], Lemma 2.4 implies that it suffices to prove that any null-homotopic word  $w(x_1, x_2, x_5)$  in  $\Gamma$  of length at most  $n$  has area at most  $Cn^3$  in the given presentation. This is an immediate consequence of Proposition 5.3, since the subgroup of  $G$  generated by  $\{x_1, x_2, x_5, y_1, y_3\}$  is isomorphic to  $L_{5,5} \times_Z L_{3,2}$  and its presentation, given in (5.1), is a subpresentation of our presentation for  $G$ .

Now, let us prove that the Dehn function of  $\Gamma$  is at least cubic. The central extension  $\tilde{\Gamma}_1$  of the group  $\Gamma_{5,5} \times_Z \Gamma_{3,2}$  considered in the proof of Proposition 5.3 has itself an extension given by adding the generator  $\tilde{y}_2$  and setting the additional relation  $[\tilde{y}_1, \tilde{y}_2] = \tilde{y}_3$ . This is a central extension of  $\Gamma_{5,5} \times_Z \Gamma_{4,3}$ . The distortion of the subgroup generated by  $\tilde{z}$  in this central extension is again cubic. We deduce in the same way using [LIPT23, Proposition 7.2] that the Dehn function of  $\Gamma_{5,5} \times_Z \Gamma_{4,3}$  is at least cubic.  $\square$

**The group**  $\Gamma = \Gamma_{5,5} \times_Z \Gamma_{5,5}$ .

**Proposition 5.5.** *The Dehn function of  $\Gamma = \Gamma_{5,5} \times_Z \Gamma_{5,5}$  is cubic.*

**Remark 5.6.** A weaker estimate can be derived more directly. The asymptotic cone of  $\Gamma_{5,5} \times_Z \Gamma_{5,5}$  can be checked to be (quasiisometric to) the real Malcev completion of  $\Gamma_{4,3} \times_Z \Gamma_{4,3} \times \mathbf{Z}^2$  by [Pan83]. Also, the Dehn function of  $\Gamma_{4,3} \times_Z \Gamma_{4,3} \times \mathbf{Z}^2$  is of growth type  $n^3$  by [LIPT23]. It then follows from [Dru98] that  $\delta_\Gamma(n) \preceq n^{3+\epsilon}$  for every  $\epsilon > 0$ .



*Proof.* Again, the diagram above is meant to recall the relations in  $\Gamma$  and ease the reading of the proof. Let  $w$  be a null-homotopic word of length at most  $n$  over the generating set  $\{x_1, x_2, x_5, y_1, y_2, y_5\}$ . We can rewrite  $w$  as  $w_1(x_1, x_2, x_5)w_2(y_1, y_2, y_5)$  at a quadratic cost. Let's now consider the word  $w_2$ , and move all instances of  $y_5^{\pm 1}$  to the right, starting with the leftmost. Each time a  $y_5^{\pm 1}$  passes through a  $y_2^{\pm 1}$  from left to right, a  $x_4^{\mp 1}$  is produced. Since there are, in total, at most  $n$  occurrences of  $y_5^{\pm 1}$  and of  $y_2^{\pm 1}$ , at most  $n^2$  occurrences of  $x_4^{\pm 1}$  are produced in this process, and the cost of all  $y_5^{\pm 1}$  crossing them from left to right is at most  $n^3$ . At the end of this process the word has form

$$w_1(x_1, x_2, x_5)u_2(y_1, y_2, x_4)y_5^k,$$

for some  $k \in \mathbf{Z}$ , where  $|k| \leq n$ . Now, since  $x_4$  is central in  $\Gamma$ , moving all instances of  $x_4^{\pm 1}$  that are present in the subword  $u_2$  to the right of  $u_2$  costs, again, at most  $n^3$ . The resulting word is

$$w_1(x_1, x_2, x_5)v_2(y_1, y_2)x_4^m y_5^k,$$

for some  $m \in \mathbf{Z}$ , where  $|m| \leq n^2$  and, again,  $|k| \leq n$ . Now consider the subgroup  $H$  of  $\Gamma$  generated by  $x_1$  and  $x_3$ . It is isomorphic to a 3-Heisenberg group, therefore we can rewrite  $x_4^m$  as  $[x_1^{\sqrt{\pm m}}, x_3^{\pm \sqrt{\pm m}}]$  (where the  $\pm$  should be set according to the sign of  $m$ ) at cost  $\leq Cn^3$ . The resulting word

$$w' = w_1(x_1, x_2, x_5)v_2(y_1, y_2)[x_1^{\sqrt{\pm m}}, x_3^{\pm \sqrt{\pm m}}]y_5^k$$

now has length  $\leq c \cdot n$ . Since it is null-homotopic, we have that  $k = 0$ . Therefore, the word

$$w' = w_1(x_1, x_2, x_5)v_2(y_1, y_2)[x_1^{\sqrt{\pm m}}, x_3^{\pm \sqrt{\pm m}}]$$

is a null-homotopic word in  $\Gamma_{5,5} \times_{\mathbf{Z}} \Gamma_{4,3}$ , and by Proposition 5.4 its area is at most  $Cn^3$ . This finishes the proof.

Let us now turn to the lower bound. Arguing as in the proof of Proposition 5.3, we can construct a central extension  $\tilde{\Gamma}_3$  of  $\Gamma_{5,5} \times_{\mathbf{Z}} \Gamma_{5,5}$  whose presentation is as follows: the generators are

$$\tilde{x}_1, \dots, \tilde{x}_5, \tilde{y}_1, \tilde{y}_2, \tilde{y}_3, \tilde{y}_5, \tilde{z}$$

and the relations are

$$[\tilde{x}_1, \tilde{x}_2] = \tilde{x}_3, [\tilde{x}_1, \tilde{x}_3] = \tilde{x}_4, [\tilde{x}_2, \tilde{x}_5] = \tilde{x}_4, [\tilde{y}_1, \tilde{y}_3] = \tilde{x}_4, [\tilde{x}_2, \tilde{x}_3] = \tilde{z},$$

all other commutators between generators being trivial. The subgroup generated by  $\tilde{z}$  in this central extension is cubically distorted, so we can conclude again using criterion (i) in [LIPT23, Proposition 7.2].  $\square$

**5.A.3. Remaining case.** We exclude from the enumeration the higher Heisenberg groups, whose Dehn function was determined in [All98].

**Lemma 5.7.** *Let  $K$  be a non-abelian simply connected nilpotent Lie group with a one-dimensional centre and let  $H$  be a Heisenberg group of any dimension. Then*

$$\delta_{K \times_{\mathbf{Z}} H}(n) \preccurlyeq \delta_{K \times_{\mathbf{Z}} L_3}(n).$$

*Proof.* Any Heisenberg group is an iterated central product of copies of the group  $L_3$ . It is then sufficient to prove that, given a Heisenberg group  $H$  and a group  $K$  as in the assumptions,  $\delta_{K \times_Z H \times_Z L_3} \preccurlyeq \delta_{K \times_Z H}$ . Starting from a given presentation of the factors, equip both groups with adapted presentations  $\mathcal{P}$  and  $\mathcal{Q}$  respectively using Lemma 2.2. Let  $w$  be a null-homotopic word of length  $n$  in the generating set of  $G = K \times_Z H \times_Z L_3$ . Then with quadratic cost, one can rewrite

$$w =_{\mathcal{P}} u_K u_H u_L$$

where  $u_K$ ,  $u_H$  and  $u_L$  are words in the generating sets of  $K$ ,  $H$  and the copy  $L$  of  $L_3$  in  $G$  respectively. The words  $u_K$ ,  $u_H$  and  $u_L$  represent central elements in  $K \cap H \cap L$ . Since  $H \times_Z L$  is a higher Heisenberg group we have that  $\delta_{H \times_Z L}(n) \preccurlyeq n^2$ . With quadratic cost, one can rewrite  $u_H u_L$  as a single commutator word  $v$  of length bounded by a constant times  $n$ , in the generating set of  $H$ . This proves that

$$\text{Area}_{\mathcal{P}}(w) \lesssim_{\mathcal{Q}} n^2 + \text{Area}_{\mathcal{Q}}(u_K v) \lesssim_{\mathcal{P}, \mathcal{Q}} \delta_{K \times_Z H}(n).$$

where we used in the last inequality that the Dehn function of  $K \times_Z H$  is at least quadratic.  $\square$

**Proposition 5.8.** *The group  $\Gamma = \Gamma_{5,5} \times_Z \Gamma_{5,4}$  has a cubic Dehn function.*

*Proof.* The upper bound follows from the combination of Lemma 5.7 and Proposition 5.3. For the lower bound, consider the central extension  $\tilde{\Gamma}_1$  defined in the proof of Proposition 5.3. The group  $\tilde{\Gamma}_1 \times_Z L_3$  naturally is a central extension of  $\Gamma$  with cubically distorted centre.  $\square$

## APPENDIX A. QUASIISOMETRY INVARIANTS OF NILPOTENT GROUPS

Below we provide some context that motivates Corollary IV and explain its proof. We then proceed to explain how in special cases it can also be deduced from existing results in the literature. Note that we currently don't know a proof based on results from the literature that covers all cases and does not use our main results; it would be interesting to know if there is such a proof.

### A.1. Context and motivation

An outstanding problem in Geometric Group Theory is the classification of nilpotent groups up to quasiisometry. In retrospect, this was raised by Gromov's Polynomial Growth Theorem [Gro81]. The most general version of the problem asks to classify simply connected nilpotent Lie groups up to quasiisometry, and it is conjectured that two such groups should be isomorphic if and only if they are quasiisometric [Cor18].

Pansu's original contribution to this problem is the following:

**Theorem A.1** (Pansu, [Pan89]). *Let  $G$  and  $G'$  be simply connected nilpotent Lie groups. If  $G$  and  $G'$  are quasiisometric, then  $\text{gr}(G)$  and  $\text{gr}(G')$  are isomorphic.*

Here, given a nilpotent Lie group  $G$  with Lie algebra  $\mathfrak{g} = \text{Lie}(G)$ ,  $\text{gr}(G)$  designates the nilpotent Lie group with Lie algebra

$$(A.1) \quad \text{gr}(\text{Lie}(G)) := \bigoplus_{i \geq 1} C^i \mathfrak{g} / C^{i+1}(\mathfrak{g}),$$

with brackets defined by the (well-defined) linear maps

$$C^i \mathfrak{g} / C^{i+1} \mathfrak{g} \otimes C^j \mathfrak{g} / C^{j+1} \mathfrak{g} \rightarrow C^{i+j} \mathfrak{g} / C^{i+j+1} \mathfrak{g}$$

induced by the brackets on  $\mathfrak{g}$  for all  $i, j > 0$ ; we call  $\text{gr}(G)$  the *Carnot-graded group associated to  $G$* . One of the steps in the proof of Pansu's theorem consists of identifying the *asymptotic cone* of  $G$  as  $\text{gr}(G)$  with a particular metric, and thus Pansu's theorem reduces the classification problem to that of discerning groups with the same asymptotic

cones (or equivalently, isomorphic associated Carnot-graded groups) up to quasiisometry. Under this viewpoint, a special role is played by the *Carnot groups*, that is, those  $G$  such that  $G \cong \text{gr}(G)$ ; the latter possess a special positive grading  $(\mathfrak{g}_i)$  on their Lie algebra given by the direct sum decomposition (A.1), which translates into a one-parameter group of automorphisms  $(\sigma_t)_{t \in \mathbf{R}}$ ,  $\sigma_t$  rescaling  $\mathfrak{g}_i$  by  $e^{ti}$  for all  $i$ .

Another approach to the quasiisometry classification was initiated by Shalom in [Sha04] using Gromov's dynamical characterization of quasiisometry. Let us state below the current best result given by Shalom's approach, which was pushed by Sauer [Sau06] and more recently by Gotfredsen and Kyed [GK21]. The key to the extension of Sauer's theorem to locally compact groups was provided by [BR18]; see the third footnote in [Cor17, §1F].

**Theorem A.2** ([GK21]). *Let  $G$  and  $G'$  be simply connected nilpotent Lie groups. If  $G$  and  $G'$  are quasiisometric, then the cohomology rings  $H^*(G, \mathbf{R})$  and  $H^*(G', \mathbf{R})$  are isomorphic.*

It follows from Theorem A.2 that, under the same assumptions on  $G$  and  $G'$ ,

- (1) The Betti numbers  $b_i(G)$  and  $b_i(G')$  are equal for all  $i \geq 0$ .
- (2) If  $G$  and  $G'$  have lattices  $\Gamma$  and  $\Gamma'$  respectively, then  $b_i(\Gamma) = b_i(\Gamma')$  for all  $i \geq 0$ , where we use the fact, due to Nomizu, that  $b_i(\Gamma) = b_i(G)$  for all  $i \geq 0$  (and similarly for  $\Gamma' < G'$ ) [Nom54].

The conclusion of Shalom's original theorem is equivalent to the statement (2). Sauer's result is Theorem A.2 under the additional assumption that  $G$  and  $H$  have lattices, or equivalently, that they are real points of unipotent algebraic groups defined over  $\mathbf{Q}$ .

**Remark A.3.** By semicontinuity one always has  $b_i(\text{gr}(G)) \geq b_i(G)$  for all  $i \geq 0$  (see e.g. [Mum08, §5]).

**Remark A.4.** Shalom and Sauer's theorems also yield the quasiisometry invariance of certain cohomological dimensions under more general assumptions. These ideas were pursued by Li, who obtained the quasiisometry invariance of various cohomologies, however, for infinite-dimensional representations [Li18]. One is faced with the following dilemma: the cohomology of nilpotent Lie groups with coefficients in finite-dimensional modules is computable and rich, but it is hard to prove it is a quasiisometry invariant (for instance, as remarked in [Cor18], knowing it is invariant when coefficients are taken in the adjoint module would allow significant progress); whereas if one takes coefficients in certain infinite-dimensional modules, the invariance is somewhat more natural, but the cohomology groups or reduced cohomology groups turn out to vanish or be much harder to compute. For instance  $\bar{H}^*(G, L^p(G, \mathbf{R}))$  vanishes for  $G$  simply connected nilpotent and  $p \notin \{1, \infty\}$ , the key point being that powers of left translations by non-trivial elements in the centre are parallel to the identity, which contradicts the uniqueness of a cochain of minimising  $L^p$  norm in any nontrivial cohomology class [Gro93, page 146].

## A.2. Proof of Corollary IV using Theorems I, II and III

Let us recall that Corollary IV applies to groups  $G$  obtained as central products of  $K$  and  $L$ , where the pair  $(K, L)$  should satisfy the assumption in one of the Theorems I, II or III, and have different nilpotency classes  $k$  and  $\ell$ .

**Proposition A.5.** *Let  $G$ ,  $K$  and  $L$  be as above. Then*

$$\delta_G(n) \asymp n^k \quad \text{whereas} \quad \delta_{\text{gr}(G)}(n) \asymp n^{k+1}.$$

*Proof.* The statement concerning  $G$  is precisely the conclusion of Theorem I, II or III. As for the statement concerning  $\text{gr}(G)$ , we start by observing that since  $K$  and  $L$  have different nilpotency classes  $k$  and  $\ell$ , and  $k > \ell$ ,

$$\text{gr}(G) \cong \text{gr}(K) \times \text{gr}(L/Z(L)).$$



$G$ (non-Carnot)	$\delta_G$	$\delta_{\text{gr}(G)}$	Betti numbers of $G$	Betti numbers of $\text{gr}(G)$
$L_{5,5} \times_Z L_{3,2}$	$n^3$	$n^3$	1,5,10,11,11,10,5,1	1,5, <b>11</b> ,15,15,11,5,1
$L_{5,5} \times_Z L_{4,3}$	$n^3$	$n^3$	1,5,11,14,14,14,11,5,1	1,5,11, <b>15</b> ,16,15,11,5,1
$L_{5,5} \times_Z L_{5,5}$	$n^3$	$n^3$	1,6,16,25,26,26,25,16,6,1	same as for $G$
$L_{5,5} \times_Z L_{5,4}$	$n^3$	$n^4$	1,7,21,34,33,33,34,21,7,1	1,7,21,34, <b>37</b> ,37,34,21,7,1
$L_{5,7} \times_Z L_{3,2}$	$n^4$	$n^5$	1,4,6,9,9,6,4,1	1,4, <b>8</b> ,11,11,8,4,1
$L_{5,6} \times_Z L_{3,2}$	$n^4$	$n^5$	1,4,6,8,8,6,4,1	1,4, <b>8</b> ,11,11,8,4,1
$L_{5,7} \times_Z L_{4,3}$	$n^4$	$n^5$	1,4,7,9,10,9,7,4,1	1,4, <b>9</b> ,14,16,14,9,4,1
$L_{5,6} \times_Z L_{4,3}$	$n^4$	$n^5$	1,4,7,10,12,10,7,4,1	1,4, <b>9</b> ,14,16,14,9,4,1
$L_{5,6} \times_Z L_{5,5}$	$n^4$	$n^5$	1,5,11,16,21,21,16,11,5,1	1,5,11, <b>17</b> ,22,22,17,11,5,1
$L_{5,7} \times_Z L_{5,5}$	$n^4$	$n^5$	1,5,11,16,19,19,16,11,5,1	1,5,11, <b>17</b> ,22,22,17,11,5,1

TABLE 2. Betti numbers for the central products of low dimension. We emphasize in bold the first difference with the Betti numbers of the associated Carnot group. Note that  $b_i(\text{gr}(G)) \geq b_i(G)$  for all  $i$ .

Now  $K$  is always either  $L_{k+1}$ ,  $L_{k+1}^\perp$ , in which case  $\text{gr}(K)$  is always  $L_{k+1}$ , or  $L_{5,5}$  in which case  $\text{gr}(K)$  is  $L_4 \times \mathbf{R}$ . In every case,  $\text{gr}(K)$  has Dehn function of growth type  $n^{k+1}$  (for instance, by [LIPT23, Example 7.8] and the Gersten–Holt–Riley upper bound).  $\square$

Corollary IV then directly follows from Proposition A.5 and the quasiisometry invariance of the Dehn function.

### A.3. Some particular cases of Corollary IV

We check that Corollary IV follows from [Sha04] in the following cases:

- (1)  $G = G_{p,q} = L_p \times_Z L_q$  where  $p > q \geq 3$ .
- (2) (a)  $G = K \times_Z L$  where  $k > \ell$  and  $\max(\dim K, \dim L) \leq 5$ .  
(b)  $G$  is one of the groups in the two infinite families

$$J_{k,m} = L_{k+1} \times_Z H_{2m+1} \quad k \geq 3, m \geq 2$$

and

$$J_{k,m}^\perp = L_{k+1}^\perp \times_Z H_{2m+1}, \quad k \geq 3, m \geq 2,$$

where  $H_{2m+1}$  denotes the real Heisenberg group of dimension  $2m+1$ .

These are not mutually exclusive: there is some overlap between (1) and (2a) occurring when  $p \leq 5$  in (1) and between (2a) and (2b) occurring when  $m = 2$  in (2b).

### A.4. Case when both factors are model filiform

Here we prove Corollary IV in case (1) using Theorem A.2. It was computed in [LIPT23, Lemma 7.12] that for  $p > q \geq 3$ ,

$$b_2(G_{p,q}) - b_2(L_p \times L_{q-1}) = \frac{3 + (-1)^{p+1}}{2} > 0.$$

It now follows from Theorem A.2 that  $G_{p,q}$  and its associated Carnot graded group  $L_p \times L_{q-1}$  cannot be quasiisometric.

### A.5. Low dimensions

With the help of SAGEMATH, we computed the Betti numbers for the Lie algebra cohomology with trivial coefficients of the non-Carnot graded central products of low-dimension. The results are in Table 2. We also indicate on the right the Betti numbers of the associated Carnot-graded group. In particular, this proves Corollary IV in case (2a) due to Theorem A.2.

### A.6. The groups $J_{k,m}$

Here we let  $k \geq 3$  and  $m \geq 2$ . Let  $\mathfrak{j}_{k,m}$ , resp.  $\mathfrak{j}_{k,m}^\perp$  be the Lie algebra of the group  $J_{k,m}$ , resp.  $J_{k,m}^\perp$  defined in Case (2b). The Carnot-graded group associated to  $J_{k,m}$  and  $J_{k,m}^\perp$  is  $L_{k+1} \times \mathbf{R}^{2m}$  in both cases. We now compute the second Betti numbers of  $J_{k,m}$  and  $J_{k,m}^\perp$  using Lie algebra cohomology and check that they differ from those of the associated Carnot-graded group. We start with  $\mathfrak{j}_{k,m}$  and describe the mild changes needed to treat  $\mathfrak{j}_{k,m}^\perp$ .

A basis of  $\Lambda^1 \mathfrak{j}_{k,m}^*$  is spanned by  $\xi_1, \dots, \xi_k, \theta_1, \dots, \theta_{2m}, \zeta$  where

$$\begin{cases} d\xi_i = -\xi_1 \wedge \xi_{i-1} & 3 \leq i \leq k \\ d\zeta = -\xi_1 \wedge \xi_k - \omega \end{cases}$$

where  $\omega$  is the symplectic form

$$\omega = \sum_{j=1}^m \theta_j \wedge \theta_{j+m}$$

and all the exterior derivatives of the remaining one-forms from the basis vanish. So

$$(A.2) \quad B^2(\mathfrak{j}_{k,m}) = \text{span}(\xi_1 \wedge \xi_2, \dots, \xi_1 \wedge \xi_{k-1}, \xi_1 \wedge \xi_k + \omega)$$

has dimension  $k-1$ . On the other hand, computing the exterior derivatives of 2-forms we find that

$$\begin{aligned} d(\xi_1 \wedge \xi_i) &= 0 & 2 \leq i \leq k \\ d(\xi_2 \wedge \xi_i) &= -\xi_1 \wedge \xi_2 \wedge \xi_{i-1} & 3 \leq i \leq k \\ d(\xi_i \wedge \xi_j) &= \xi_1 \wedge \xi_{i-1} \wedge \xi_j - \xi_1 \wedge \xi_i \wedge \xi_{j-1} & 3 \leq i \leq k-2, i+2 \leq j \leq k \\ d(\xi_i \wedge \xi_{i+1}) &= \xi_1 \wedge \xi_{i-1} \wedge \xi_{i+1} & 3 \leq i \leq k-1 \\ d(\xi_i \wedge \theta_j) &= 0 & 1 \leq i \leq 2, 1 \leq j \leq 2m \\ d(\xi_i \wedge \theta_j) &= \theta_j \wedge \xi_1 \wedge \xi_{i-1} & 3 \leq i \leq k, 1 \leq j \leq 2m \\ d(\xi_1 \wedge \zeta) &= \xi_1 \wedge \omega \\ d(\xi_2 \wedge \zeta) &= \xi_2 \wedge \omega - \xi_1 \wedge \xi_2 \wedge \xi_k \\ d(\xi_i \wedge \zeta) &= \xi_i \wedge \omega - \xi_1 \wedge \xi_{i-1} \wedge \zeta - \xi_1 \wedge \xi_i \wedge \xi_k & 3 \leq i \leq k-1 \\ d(\xi_k \wedge \zeta) &= \xi_k \wedge \omega - \xi_1 \wedge \xi_{k-1} \wedge \zeta \\ d(\theta_j \wedge \zeta) &= \theta_j \wedge \xi_1 \wedge \xi_k + \sum_{1 \leq k \leq m, k \neq j, k+m \neq j} \theta_j \wedge \theta_k \wedge \theta_{k+m} & 1 \leq j \leq 2m \\ d(\theta_i \wedge \theta_j) &= 0 & 1 \leq i < j \leq 2m. \end{aligned}$$

For  $l \geq 2$ , define

$$\nu_{2l} = \xi_{2,2l-1} - \xi_{3,2l-2} + \dots + (-1)^{l+1} \xi_{l,l+1}.$$

Then, proceeding as in the lines dedicated to the obtention of [LIPT23, Eq.(7.21)],

$$Z^2(\mathfrak{j}_{k,m}, \mathbf{R}) = B^2(\mathfrak{j}_{k,m}, \mathbf{R}) \oplus \text{span} \begin{cases} \nu_{2l} & 2 \leq l \leq \lfloor (k+1)/2 \rfloor \\ \xi_i \wedge \theta_j & 1 \leq i \leq 2, 1 \leq j \leq 2m \\ \theta_i \wedge \theta_j & 1 \leq i < j \leq 2m. \end{cases}$$

Note that we did not include the cocycle  $\xi_1 \wedge \xi_k$ , since it is equivalent to  $-\omega$  in cohomology, and as such, to a linear combination of the  $\theta_i \wedge \theta_j$ . Thus,

$$(A.3) \quad b_2(j_{k,m}) = \left\lfloor \frac{k+1}{2} \right\rfloor - 2 + 1 + 4m + m(2m-1) = \left\lfloor \frac{k+1}{2} \right\rfloor - 1 + m(2m+3).$$

On the other hand, by the Künneth formula

$$\begin{aligned} b_2(l_{2k+1} \times \mathbf{R}^{2m}) &= b_2(l_{k+1}) + b_2(\mathbf{R}^{2m}) + b_1(l_{k+1}) \cdot b_1(\mathbf{R}^{2m}) \\ &= \left\lfloor \frac{k+1}{2} \right\rfloor + \frac{2m(2m-1)}{2} + 2 \cdot 2m \\ &= \left\lfloor \frac{k+1}{2} \right\rfloor + m(2m+3). \end{aligned}$$

This finishes the proof that  $b_2(j_{k,m}) \neq b_2(l_{k+1} \times \mathbf{R}^{2m})$ .

We now describe the adaptation needed for  $j_{k,m}^\perp$ . It is convenient to assimilate the linear spaces underlying  $j_{k,m}$  and  $j_{k,m}^\perp$  simultaneously as a single vector space  $V$  whose dual is spanned by  $\xi_1, \dots, \xi_k, \theta_1, \dots, \theta_{2m}, \zeta$  while still denoting  $(\Lambda^*, d)$  and  $(\Lambda^*, d^\perp)$  the differential complexes computing the Lie algebra cohomologies in order to distinguish them from one another. The  $d^\perp$ -differential of one-forms is now

$$\begin{cases} d^\perp \xi_i = -\xi_1 \wedge \xi_{i-1} & 3 \leq i \leq k \\ d^\perp \zeta = -\xi_1 \wedge \xi_k - \xi_2 \wedge \xi_3 - \omega. \end{cases}$$

This change from  $d\zeta$  to  $d^\perp \zeta$  creates some changes in some of the exterior derivatives of the 2-forms in the basis involving  $\zeta$ . We mention all such derivatives below, even if some of them turn out to be the same as in the case of  $j_{k,m}$ .

$$\begin{aligned} d^\perp(\xi_1 \wedge \zeta) &= \xi_1 \wedge \omega + \xi_1 \wedge \xi_2 \wedge \xi_3 \\ d^\perp(\xi_i \wedge \zeta) &= \xi_i \wedge \omega - \xi_1 \wedge \xi_i \wedge \xi_k & 2 \leq i \leq 3 \\ d^\perp(\xi_i \wedge \zeta) &= \xi_i \wedge \omega - \xi_1 \wedge \xi_{i-1} \wedge \zeta - \xi_1 \wedge \xi_i \wedge \xi_k + \xi_1 \wedge \xi_2 \wedge \xi_3 & 4 \leq i \leq k-1 \\ d^\perp(\theta_j \wedge \zeta) &= \theta_j \wedge \xi_1 \wedge \xi_k + \theta_j \wedge \xi_2 \wedge \xi_3 + \sum_{1 \leq k \leq m, k \neq j, k+m \neq j} \theta_j \wedge \theta_k \wedge \theta_{k+m} & 1 \leq j \leq 2m. \end{aligned}$$

Let  $W$  be the subspace of  $\Lambda^2 V^*$  of 2-forms having  $\zeta$  as a factor, and let  $R$  be the complementary subspace to  $W$  spanned by two-forms in the basis not having  $\zeta$  as a factor. We have seen (by (A.2) and (A.3)) that  $d: \Lambda^2 V^* \rightarrow \Lambda^3 V^*$  has

$$\text{rank } d = \binom{k+1+2m}{2} - m(2m+3) - \left\lfloor \frac{k+1}{2} \right\rfloor + 1 - k + 1 > k+1+2m = \dim W.$$

Now,  $\text{rank } d^\perp$  is the largest size of a nonsingular minor of  $d^\perp$ ; since  $d|_R = d^\perp|_R$  and  $d$  and  $d^\perp$  are injective on  $W$  (by the above computations of derivatives of 2-forms), we have that  $\text{rank } d^\perp = \text{rank } d$ . Finally

$$B^2(j_{k,m}^\perp) = \text{span}(\xi_1 \wedge \xi_2, \dots, \xi_1 \wedge \xi_{k-1}, \xi_1 \wedge \xi_k + \omega + \xi_2 \wedge \xi_3)$$

has the same dimension as  $B^2(j_{k,m})$ . This completes the proof that

$$b_2(j_{k,m}^\perp) = b_2(j_{k,m}).$$

The two corresponding Lie groups have the same associated Carnot group  $L_{k+1} \times \mathbf{R}^{2m}$ , so this finishes the proof.

## APPENDIX B. SIMPLY CONNECTED NILPOTENT LIE GROUPS WITHOUT LATTICES

In this section we first explain how Gersten–Holt–Riley’s proof that a finitely generated nilpotent group of class  $c$  has Dehn function bounded above by  $n^{c+1}$  adapts to simply connected nilpotent Lie groups by using compact presentations. We then prove the existence of simply connected nilpotent groups without a lattice arising as central products, to which our Theorem I applies.

## B.1. A general upper bound on the Dehn function

In [Gro93, 5.A’<sub>5</sub>] Gromov asserts that the Dehn function of a simply connected nilpotent group of nilpotency class  $c$  is bounded above by  $n^{c+1}$ . This was proved by Gersten, Holt and Riley for finitely generated nilpotent groups using combinatorial arguments in [GHR03] (see also [Tes16, §5.1]). Their proof adapts to the situation of simply connected nilpotent groups  $G$ . When  $G$  has a lattice, this is an immediate consequence of [GHR03, Theorem B], as lattices in nilpotent Lie groups are uniform. In general, one can adapt Gersten–Holt–Riley’s proof to compact presentations. Here we explain this by sketching their proof and explaining the main adaptations required. For details we refer to [GHR03].

**Theorem B.1.** *Let  $G$  be a simply connected nilpotent Lie group of nilpotency class  $c$ . Then  $G$  admits an area filling length pair of the form  $(n^{c+1}, n)$ .<sup>15</sup>*

*Proof.* The proof is by induction on the nilpotency class  $c$  of  $G$ . If  $c = 1$ , then  $G$  is finite-dimensional abelian and thus  $(n^2, n)$  defines an area filling length pair for it.

It suffices to give an upper bound on the Dehn function for a suitably chosen compact presentation of  $G$ . We start by choosing this presentation.

The lower central series quotients  $C^i(G)/C^{i+1}(G)$  can naturally be viewed as finite dimensional real vector spaces. In particular, we can choose finite subsets  $\mathcal{A}_i \subset C^i(G) \setminus C^{i+1}(G)$  for  $1 \leq i \leq c$  such that the projection of  $\mathcal{A}_i$  to  $C^i(G)/C^{i+1}(G)$  identifies it with a basis. Let  $\mathcal{A} := \cup_{1 \leq i \leq c} \mathcal{A}_i$ . Then the set

$$\mathcal{X} := \{a^r \mid a \in \mathcal{A}, r \in [0, 1]\}$$

defines a compact generating set for  $G$ . We can then choose a compact presentation  $\langle \mathcal{X} \mid \mathcal{R} \rangle$  such that  $\mathcal{R}$  contains all  $(c+1)$ -fold commutators of elements of  $\mathcal{X}^{\pm 1}$ , all relations of the form  $x^r \cdot x^s = x^{r+s}$  for  $r, s, r+s \in [0, 1]$ , and all commutators  $[x, z^r]$  with  $x \in \mathcal{X}^{\pm 1}$ ,  $z \in \mathcal{A}_c^{\pm 1}$  and  $a \in [0, 1]$ . In particular, for any subset  $\mathcal{Y} \subseteq \mathcal{A}$  the canonical presentation for the free nilpotent group of class  $c$  generated by  $\mathcal{Y}$  is a subpresentation of  $\langle \mathcal{X} \mid \mathcal{R} \rangle$ , allowing us to define finitely many families of compression words  $u_{z_i}(q)$ ,  $q \in \mathbb{N}$  for the elements of the finite subset  $\mathcal{A}_c = \{z_1, \dots, z_k\} \subset \mathcal{A}$ , which satisfy the properties of [GHR03, Corollary 3.3]. This enables us to prove the induction step using essentially the same arguments as in [GHR03], with only minor modifications, that we shall now explain.

Consider the quotient  $\overline{G} := G/C^c(G)$  of  $G$  by its centre and equip it with the presentation  $\langle \overline{\mathcal{X}} \mid \overline{\mathcal{R}} \rangle$ , where  $\overline{\mathcal{X}} := \mathcal{X} \setminus \mathcal{X}_c$  and  $\overline{\mathcal{R}}$  is obtained from  $\mathcal{R}$  by deleting all occurrences of elements from  $\mathcal{X}_c := \{a^r \mid a \in \mathcal{A}_c, r \in [0, 1]\}$  from the words in  $\mathcal{R}$ .

Let  $w = w(\mathcal{X})$  be a null-homotopic word of length  $n \in \mathbb{N}$  in  $G$  and let  $\overline{w} = \overline{w}(\overline{\mathcal{X}})$  be the word in  $\overline{G}$ , which is obtained by removing letters from  $\mathcal{X}_c^{\pm 1}$  from  $w$ . By induction  $\overline{G}$  has an area filling length pair of the form  $(\overline{\lambda}n^c, \overline{\lambda}n)$  for some  $\overline{\lambda} > 0$ . We can thus find a sequence of transformations  $\overline{w} = \overline{w}_0, \overline{w}_1, \dots, \overline{w}_{\overline{m}} =_{F_{\overline{\mathcal{X}}}} 1$  reducing  $\overline{w}$  to the trivial word with the following properties:

- $\overline{w}_i$  is obtained from  $\overline{w}_{i-1}$  by either introducing or removing a pair  $\overline{x} \cdot \overline{x}^{-1}$ , with  $\overline{x} \in \overline{\mathcal{X}}^{\pm 1}$ , or a relation  $r \in \overline{\mathcal{R}}^{\pm 1}$  somewhere in  $\overline{w}_{i-1}$ ,
- $\overline{m} \leq \overline{\lambda}n^c$  and the words  $\overline{w}_i$  have length  $\leq \overline{\lambda}n$ .

<sup>15</sup>We refer to [GHR03] for the definition of an area filling length pair.

The induction step consists of using this sequence to produce a new sequence  $w = v_0, v_1, \dots, v_m =_{F_X} 1$  reducing  $w$  to the trivial word by  $m \leq \lambda n^{c+1}$  applications of elementary expansions, elementary reductions and relations such that the  $v_i$  have length bounded by  $\lambda n$ , where  $\lambda > 0$  is a constant that only depends on our chosen presentation for  $G$ . It closely follows the induction step in [GHR03] with some minor modifications. In the case of finitely generated nilpotent groups, Gersten–Holt–Riley account for the fact that the centre of  $G$  may contain torsion elements (see discussion on p.808 of [GHR03], as well as Lemmas 3.4 and 3.5 of their work), this issue can not arise for simply connected nilpotent Lie groups. This simplifies the proof in our setting. However, we do instead have to account for the fact that central elements are represented by a product of real powers of element of  $\mathcal{A}_c$ , while the compression words  $u_{z_i}(q)$  defined in [GHR03] can only absorb integer powers of such elements.

As in [GHR03] the filling sequence  $\{v_i\}$  for  $w$  is constructed from the filling sequence for  $\bar{w}$  in  $\bar{m}$  steps, where at step  $i$  we use the transformation from  $\bar{w}_{i-1}$  to  $\bar{w}_i$  to build a sequence of transformations  $w_{i-1} = v_{j_{i-1}}, \dots, v_{j_i} = w_i$  between words  $w_i$  of the form

$$w_i = \left( z_k^{s_{ki}^-} u_{z_k}(q_{ki}^-) \right)^{-1} \cdots \left( z_1^{s_{1i}^-} u_{z_1}(q_{1i}^-) \right)^{-1} \bar{w}_i \left( z_1^{s_{1i}^+} u_{z_1}(q_{1i}^+) \right) \cdots \left( z_k^{s_{ki}^+} u_{z_k}(q_{ki}^+) \right),$$

with  $q_{ji}^\pm \in \mathbb{N}$  and  $s_{ji}^\pm \in [0, 1]$ .

If  $\bar{w}_i$  is obtained from  $\bar{w}_{i-1}$  by an elementary expansion or reduction of a letter from  $\bar{X}^{\pm 1}$ , then  $j_i = j_{i-1} + 1$  and we obtain  $w_i$  from  $w_{i-1}$  by performing the same elementary expansion or reduction to the subword  $\bar{w}_{i-1}$ .

If  $\bar{w}_i$  is obtained from  $\bar{w}_{i-1}$  by inserting a relation  $\bar{r} \in \bar{R}^{\pm 1}$  somewhere in  $\bar{w}_{i-1}$ , then we pass from  $v_{j_{i-1}}$  to  $v_{j_{i-1}+1}$  by inserting the corresponding relation  $r \in R^{\pm 1}$ . We then move all positive powers of elements of  $\mathcal{A}_c$  appearing in  $r$  to the right until they are adjacent to their corresponding compression word  $u_{z_j}(q_{j(i-1)})$ . Similarly we move all negative powers of elements of  $\mathcal{A}_c$  appearing in  $r$  to the left until they are adjacent to their corresponding compression word. The properties of the compression words ensure that the cost of this is  $\lesssim n$ , using the same arguments as in [GHR03]. At the end of this process we obtain a word of the form

$$u_i = \left( z_k^{t_{ki}^+} u_{z_k}(q_{k(i-1)}^+) \right)^{-1} \cdots \left( z_1^{t_{1i}^+} u_{z_1}(q_{1(i-1)}^+) \right)^{-1} \bar{w}_i \left( z_1^{t_{1i}^-} u_{z_1}(q_{1(i-1)}^-) \right) \cdots \left( z_k^{t_{ki}^-} u_{z_k}(q_{k(i-1)}^-) \right),$$

for some real numbers  $t_{ji}^\pm$  which are bounded by a uniform constant that only depends on the maximal length of a word in  $\mathcal{R}$ . We now “compress” the integer parts of the  $t_{ji}^\pm$  into the  $u_{z_j}(q_{j(i-1)})$ , creating new compression words  $u_{z_j}(q_{ji}^\pm)$  as in [GHR03], and define  $s_{ji}^\pm := t_{ji}^\pm - \lfloor t_{ji}^\pm \rfloor$ .

The total cost of the transformations required for passing from  $w_0$  to  $w_{\bar{m}}$  can be estimated using the same arguments as in the proof of Theorem B in [GHR03]; they rely on the fact that the total compression process has cost  $\lesssim n^{c+1}$  and that the length of the compression words is  $\lesssim n$  in all steps by [GHR03, Corollary 3.3]. In particular, there is a constant  $\lambda > 0$  that only depends on our chosen presentation for  $G$  such that  $m \leq \lambda n^{c+1}$  and the length of all words  $v_i$  with  $i \leq j_{\bar{m}}$  is  $\leq \lambda n$ .

After completing the  $\bar{m}$  steps of this transformation, we obtain the word

$$w_{\bar{m}} = \left( z_k^{s_{k\bar{m}}^-} u_{z_k}(q_{k\bar{m}}^-) \right)^{-1} \cdots \left( z_1^{s_{1\bar{m}}^-} u_{z_1}(q_{1\bar{m}}^-) \right)^{-1} \left( z_1^{s_{1\bar{m}}^+} u_{z_1}(q_{1\bar{m}}^+) \right) \cdots \left( z_k^{s_{k\bar{m}}^+} u_{z_k}(q_{k\bar{m}}^+) \right).$$

Since  $w_{\bar{m}}$  is null-homotopic and every element  $z_j^t$ , with  $t \in [0, \infty)$  is uniquely represented by an expression of the form  $z_j^s u_{z_j}(q)$  with  $s \in [0, 1)$  and  $q \in \mathbb{N}$ , the word  $w_{\bar{m}}$  freely reduces to the trivial word.

This completes the proof of the induction step. We refer to [GHR03] for further details.  $\square$

## B.2. A central product without lattices

Here we let  $\lambda \in \mathbf{R} \setminus \{0\}$  and we consider the Lie algebra

$$\mathfrak{g}_\lambda = \mathfrak{l}_8 \times_Z \mathfrak{k}_{7,\lambda}$$

where  $\mathfrak{k}_{7,\lambda}$  is the filiform Lie algebra of dimension 7 with brackets

$$\begin{aligned} [Y_1, Y_i] &= Y_{i+1}, 1 \leq i \leq 6; \\ [Y_2, Y_3] &= Y_5; \\ [Y_2, Y_4] &= Y_6; \\ [Y_2, Y_5] &= \lambda Y_7; \\ [Y_3, Y_4] &= (1 - \lambda)Y_7. \end{aligned}$$

Let  $G_\lambda$  be the simply connected Lie group with Lie algebra  $\mathfrak{g}_\lambda$ .

**Proposition B.2.** *There are uncountably many values of  $\lambda$  for which  $G_\lambda$  has no lattices.*

*Proof.* In view of the Malcev correspondence,  $G_\lambda$  has a lattice if and only if there is a basis of  $\mathfrak{g}_\lambda$  in which the Lie brackets have rational coefficients [Mal51]. We start by observing that  $\mathfrak{k}_{7,\lambda}$  is a 7-dimensional filiform ideal in  $\mathfrak{g}_\lambda$  which is maximal among filiform ideals with respect to inclusion, and that it is unique with these properties. In addition, the Lie algebras  $\mathfrak{k}_{7,\lambda}$  are pairwise non-isomorphic (see [Mag08, page 191]; there the complexification of  $\mathfrak{k}_{7,\lambda}$  is called  $\mathcal{G}_{7,1.1(i_\lambda)}$ ), and thus there are uncountably many. It follows that the  $\mathfrak{g}_\lambda$  are pairwise non-isomorphic, and there are uncountably many. Since there are only countably many isomorphism types of rational Lie algebras, uncountably many of the  $\mathfrak{g}_\lambda$  have no rational form and thus no lattices.  $\square$

**Remark B.3.** A slight variant of the concluding argument can be obtained by invoking that torsion-free finitely generated nilpotent groups are finitely presented, so that there are countably many isomorphism types of those, and each of them sits in a unique simply connected Lie group, by uniqueness of the real Malcev completion.

**Remark B.4.** In fact one can prove that  $G_\lambda$  has lattices if and only if  $\lambda$  is rational. The proof can be done using the same method as was used in [HS09] to prove that  $K_{7,\lambda}$  has lattices if and only if  $\lambda$  is rational. We leave the details to the reader.

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