

# No-reference Image Quality Assessment of Underwater Images Using Multi-Scale Salient Local Binary Patterns

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## Abstract

*Images acquired in underwater scenarios may contain severe distortions due to light absorption and scattering, color distortion, poor visibility, and contrast reduction. Because of these degradations, researchers have proposed several algorithms to restore or enhance underwater images. One way to assess these algorithms' performance is to measure the quality of the restored/enhanced underwater images. Unfortunately, since reference (pristine) images are often not available, designing no-reference (blind) image quality metrics for this type of scenario is still a challenge. In fact, although the area of image quality has evolved a lot in the last decades, estimating the quality of enhanced and restored images is still an open problem. In this work, we present a no-reference image quality evaluation metric for enhanced underwater images (NR-UWIQA) that uses an adapted version of the multi-scale salient local binary pattern operator to extract image features and a machine learning approach to predict quality. The proposed metric was tested on the UID-LEIA database and presented good accuracy performance when compared to other state-of-the-art methods. In summary, the proposed NR-UWQIA method can be used to evaluate the results of restoration techniques quickly and efficiently, opening a new perspective in the area of underwater image restoration and quality assessment.*

**Keywords:** Underwater image enhancement; Image quality assessment; Quality metrics, full-reference, no-reference; Underwater image formation model; Saliency; Multiscale Salient Local Binary Patterns;

## Introduction

Underwater images are often characterized by a poor visibility since the light travelling in the water medium is attenuated and, consequently, the captured scenes may be poorly contrasted and hazy. More specifically, light attenuation is produced by absorption and scattering processes. Absorption removes the light energy while scattering changes the direction of the light. Therefore, underwater images may have different types of degradations, including limited-range visibility, non-uniform lightening, low contrast, blurring, diminished color, bright artifacts, and noise. In other words, the visual aspect of underwater images may vary a lot depending on the water medium's characteristics, including the types of particles present in the water and the water depth [26]. Figure 1 shows examples of images captured underwater in three different scenarios: shallow water, deep water, and muddy water. Notice that, generally, degradations of images captured underwater are stronger than degradations of images captured over-the-

air [39]. Often the quality of underwater images is not adequate for the to be used by image and computer vision algorithms, requiring the use of restoration or enhancement algorithms [39].

Given the importance of the overall quality of underwater-captured images for ocean engineering and scientific research, there are in the literature several methods for restoring or enhancing the quality of underwater images [16, 19]. Therefore, the use of underwater images in computer vision and image processing applications often depends on the success restoration and enhancement algorithms [35, 3, 15]. To determine the performance of these algorithms, we must estimate the quality of the restored/enhanced images as perceived by human viewers. Unfortunately, most methods used to estimate the performance of these algorithms do not consider human perception or image quality. One of the reasons is that subjective quality experiments, which are considered as the ground truth in image quality research, are costly and time-consuming [21]. Moreover, these methods are unfeasible for real-time applications and system integration. One viable option to estimate the quality of restored or enhanced underwater images and, therefore, the restoration algorithm's performance is to use objective image quality assessment (IQA) methods.

IQA methods are algorithms capable of automatically estimate the quality of an image. These methods can be divided into three classes: (a) full-reference (FR) IQA methods, where a reference image is needed to estimate the quality; (2) reduced reference (RR) IQA methods, where partial information about the reference image is available; or (3) no-reference (NR) IQA methods, which blindly estimate quality without having access to the reference or pristine image. For underwater images scenario, where a reference image is not available, we must use NR-IQA methods to estimate the perceptual quality of restored and degraded images. IQA methods can be used to evaluate the restoration process's success and determine if the images are adequate for the target underwater engineering and monitoring applications. So far, a few researchers have proposed IQA methods specifically for underwater images. For example, Sanchez *et al.* [37] have proposed a restoration algorithm for underwater that uses an NR-IQA method as a performance metric for the optimization algorithm.

Although in the last decades a lot of progress has been made in the area of image quality assessment, designing metrics to estimate the quality of enhanced and restored images remains a challenge [8]. As mentioned earlier, the final quality of underwater images depend on the marine habitats where the images are captured, which often introduce specific chroma, saturation, and con-

trast degradations [45, 43]. Therefore, it is important to develop dedicated image quality assessment methods for underwater scenarios. So far, few blind (no-reference) image quality metrics have been proposed with the goal of evaluating the quality of underwater images [34].

In this work, we propose a no-reference (underwater) image quality assessment (NR-UWIQA) method. More specifically, the proposed NR-IQA method is targeted at restored underwater images and uses a multi-scale salient local binary patterns operator [13]. The NR-UWIQA method is able to identify the quality differences in both distorted and restored/enhanced underwater images, producing quality scores that are well correlated with subjective quality scores provided by human viewers. To achieve this, we have adapted a modified version of the Multiscale Salient Local Binary Patterns (MSLBP) [14] that is a no-reference IQA based on machine learning. The final results on underwater images show that the proposed method performs better than other state-of-the-art metrics. Additionally, the NR-UWIQA has a low computational complexity and can be implemented in real-time.

This paper is divided as follows. First, we discuss the underwater image formation model. Then, we describe currently available underwater image quality assessment methodologies. Then, we detail the proposed methodology, present the experimental results and our conclusions.

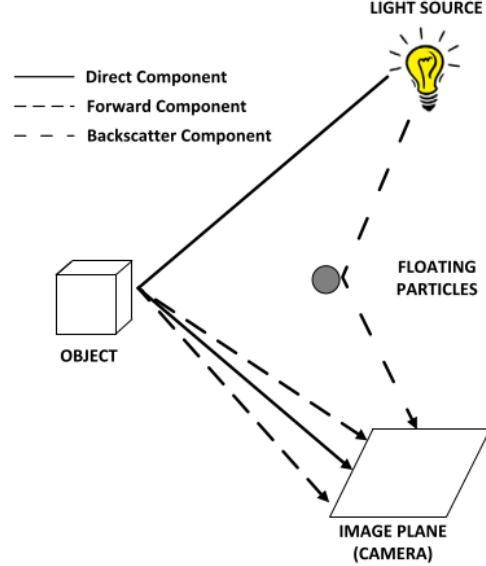


**Figure 1: Examples of images acquired underwater under different conditions: shallow water (left), deep water (center), and muddy water (right). These images are taken from AQUALOC dataset [11].**

## Underwater Image Formation Model

While being transmitted through the water medium, light is attenuated by either absorption or scattering. In absorption, the interactions of the light with the medium matter along the propagation path cause the attenuation. So, absorption may cause a significant loss of the image brightness and, depending on the wavelength and the object distance, color distortions [6, 7]. Scattering corresponds to a change of the light direction caused by the collision of photons and particles in the water. There are two types of scattering: (a) *back-scattering*, in which a fraction of the light reflected by the water particles is captured by the camera before reaching the objects, therefore reducing the image contrast and (sometimes) creating bright spots, and (b) *forward-scattering* in which the light changes direction along the path between the object and the camera, introducing blurring [38].

There are several models that aim to characterize underwater light propagation, with the most popular and complete physical model being the model proposed by Jaffe-McGlamery [28, 22]. Figure 2 illustrates the fundamentals of this model, where the light captured in the underwater medium is represented as the linear superposition of a direct component, a forward component, and a back scatter component. These three components are linearly



**Figure 2: Illustration of the Jaffe-McGlamery model for underwater light propagation [37].**

combined to compose the irradiance, as given by the following equation:

$$E_t = E_d + E_f + E_b, \quad (1)$$

where  $E_t$  is the total irradiance,  $E_d$  is the direct component,  $E_f$  is the forward component, and  $E_b$  is the back scatter component.

Jaffe-McGlamery also proposed a simplified model in which the light intensity is exponentially reduced as it travels through the water medium, as given by the following equation [42]:

$$E_i(d) = E_{0,i} \cdot e^{-C_i \cdot d}, \quad (2)$$

where  $E_i(d)$  is the light intensity of a wavelength  $i$  at a distance  $d$ ,  $E_{0,i}$  is the light intensity of a wavelength  $i$  at the light source, and  $C_i$  is the attenuation coefficient for wavelength  $i$ . Note that the attenuation coefficient depends on the wavelength of the light or, in other words, of the light color [25] that leads to the strong color distortions presented in underwater images.

## Underwater Image Quality Assessment (IQA) methods

Currently, there are many general-purpose IQA methods [8, 23]. Example of simple and popular IQA methods are the mean square error (MSE), the peak-to-signal noise ratio (PSNR), and the structural similarity measure (SSIM) [40]. There are also specific quality metrics that aim to measure a certain aspect of image quality. For example, the Underwater Colour Image Quality Evaluation (UCIQ) [45] metric that quantifies the non-uniform color cast, blurring, and low-contrast characteristics of underwater images for quality assessment. The Patch-based mean Underwater Image Quality (PUIQ) [43] metric incorporates log-contrast power spectrum features of underwater images. However, currently available IQA methods have shown little success when evaluating the quality of underwater images. It is worth pointing out that in underwater environments, pristine or undegraded

images are usually unavailable and, therefore, NR-IQA methods are the only viable approach for these applications.

Among the few IQA methods specially designed for underwater applications is the metric proposed by Panette *et al.* - the underwater image quality measure (UIQM) [34]. UIQM is a NR-IQA method that explores the three-dimensional contrast measure relationship of RGB color channels. CRME [33] is a metric that measures the color differences between a center pixel and its neighbouring pixels for quality assessment. Hou *et al.* [20] proposed an IQA metric for scattered-blurred underwater images, which is based on a weighted gray scale angle (WGSA). Arredondo *et al.* [4] proposed an IQA method to measure the robustness of the algorithms to underwater noise. Yang *et al.* [44] proposed an underwater IQA metric based on the log-contrast power spectrum and on a perceptual sharpness metric.

In underwater image processing, many researches have used different types of objective methods to evaluate the performance of their techniques. For example, Chiang *et al.* [9] applied signal-to-noise ratio (SNR) and MSE to estimate the performance of their proposed method. Pramunendar *et al.* [36] analyzed the performance of their method by computing the number of matching points with a SIFT registration process.

## Proposed Methodology

To assess the quality of underwater images, we have used a blind image quality assessment (NR-IQA) method named the Multiscale Salient Local Binary Patterns (MSLBP) [14]. The MSLBP is based on machine learning algorithm and does not use any information from the reference source content. This method uses an extension of the multiscale local binary pattern (MLBP) algorithm [12], which is a variant of the local binary pattern (LBP) [31], to extract features that are relevant to image quality.

The LBP operator can be computed using the following equation:

$$L_R^P(\mathcal{I}_c) = \sum_{p=0}^{P-1} \sigma(\mathcal{I}_p, \mathcal{I}_c) 2^p, \quad (3)$$

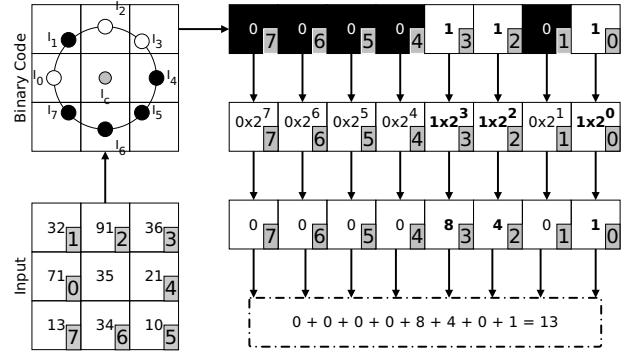
where  $\mathcal{I}$  is an input image,  $\mathcal{I}_c = \mathcal{I}(x, y)$  is arbitrary central pixel at the position  $(x, y)$ ,  $\mathcal{I}_p = \mathcal{I}(x_p, y_p)$  is a neighboring pixel surrounding  $\mathcal{I}_c$ , and  $\sigma(u, v)$  is the step function given by:

$$\sigma(v, u) = \begin{cases} 1, & \text{if } v - u \geq 0, \\ 0, & \text{otherwise.} \end{cases} \quad (4)$$

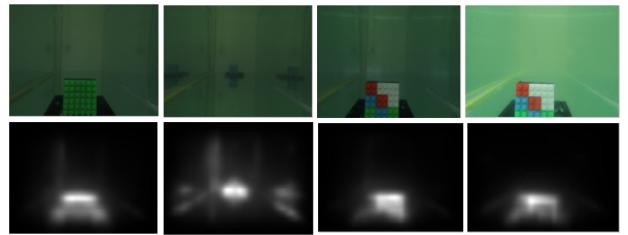
The points  $(x, y)$  are related to the neighboring points  $(x_p, y_p)$  as follows:

$$x_p = x + R \cos\left(2\pi \frac{p}{P}\right) \quad \text{and} \quad y_p = y - R \sin\left(2\pi \frac{p}{P}\right).$$

In the above equations,  $p = \{1, 2, \dots, P\}$  is the number of neighboring pixels sampled from a distance of  $R$  from  $\mathcal{I}_c$  to  $\mathcal{I}_p$ . Figure 3 describes the steps for applying the LBP operator on a single pixel ( $\mathcal{I}_c = 8$ ) located in the center of a  $3 \times 3$  image block, as shown in the bottom-left of this figure. The numbers in the gray squares of the block represent the order in which the operator is computed (clockwise direction, starting from 0). In this figure, we use a unitary neighborhood radius ( $R = 1$ ) and eight neighboring pixels ( $P = 8$ ).



**Figure 3: Example of LBP algorithm using  $R = 1$ ,  $P = 8$ ,  $\mathcal{I}_c = 35$ ,  $\mathcal{I}_p = \{71, 32, 91, 103, 21, 10, 34, 13\}$ , and  $L_1^8(35) = 13$  [12].**



**Figure 4: underwater images and their salient maps.**

After calculating  $\sigma(v, u)$  for each neighboring pixel  $\mathcal{I}_p$ , we obtain a binary output for each  $\mathcal{I}_p$  ( $0 \leq p \leq 7$ ), as illustrated in the block in the upper-left position of Figure 3. In this block, black circles correspond to “0” and white circles to “1”. These binary outputs are stored in a binary format, according to their position (gray squares). Then, the resulting binary number is converted to the decimal format. This decimal number is the output produced by LBP for  $\mathcal{I}_c$ . Then, we compute the LBP labels for all pixels of an image, obtaining the LBP maps.

Instead of using single values for  $R$ ,  $P$ , MLBP generates multiscale LBP maps by varying the parameters  $R$  and  $P$  and performing a symmetrical sampling. For a set of parameters  $R$  and  $P$ , the MLBP operator computes the LBP labels of all pixels in an image and obtains a set of LBP maps ( $\mathcal{L}_R^P$ ). In MSLBP, the spatial features extracted by the MLBP operator are weighted by a saliency map generated by a Boolean Map saliency model (BMS) [46]. BMS saliency maps  $\mathcal{W}(x, y)$  have values between 1 and 0, which represent the salience value of the corresponding pixel in the underwater image. We name each weighted map as the salient local binary pattern (SLBP) map, while the weighted maps of the MLBP maps are named multiscale SLBP (MSLBP) maps. The weighted features computed for the MSLBP are used as input to a supervised machine learning algorithm that predicts the final image quality score.

In this work, instead of using the BMS algorithm, we use the Graph-Based Visual Saliency (GBVS) [17] model to generate the saliency maps  $\mathcal{W}$ . Figure 4 shows samples of saliency maps generated by the GBVS model using a few underwater images as input images. We chose the GBVS model because it is a traditional model that is easy to execute and it has a similar performance to the BMS model proposed in the original MSLBP method [14]. The saliency maps  $\mathcal{W}$  are used weigh each pixel of

the MLBP maps  $\mathcal{L}_R^P$ . A feature vector is obtained by computing the histogram of the  $\mathcal{L}_R^P$  maps weighted by the salience maps  $\mathcal{W}$ . Particularly, the histogram is generated as:

$$H_R^P = \{h_R^P(0), h_R^P(1), \dots, h_R^P(P+1)\} \quad (5)$$

where:

$$h_R^P(\phi) = \sum_{x,y} \mathcal{W}(x,y) \cdot \delta(\mathcal{L}_R^P(x,y), \phi), \quad (6)$$

and

$$\delta(v,u) = \begin{cases} 1, & \text{if } v = u, \\ 0, & \text{otherwise.} \end{cases} \quad (7)$$

The number of bins of this histogram is similar to the number of different labels in  $\mathcal{L}_R^P$ . So, each  $\mathcal{L}_R^P(i,j)$  can be represented by its weighted form, generating the map  $\mathcal{S}_R^P$ . Figures 5 (a) and (b) depict the examples of the input images and their saliency maps, respectively. Figures 5 (c) to (h) depict examples of LBP maps obtained using different radius values ( $R$ ) and different numbers of neighboring points ( $P$ ). Figures 5 (i) to (n) display the SLBP maps generated from  $\mathcal{W}$  and their corresponding  $\mathcal{L}_R^P$ . In this work, we have used  $R = 1, 2$ , and  $3$  and  $P = 4, 8$ , and  $16$ .

After generating the SLBP maps, we compute the different SLBP histograms  $H$ , as illustrated in Figure 6. These histograms are concatenated to produce a feature vector for each underwater image as:

$$\mathcal{H} = H_1^4 \oplus H_1^8 \oplus H_2^4 \oplus H_2^8 \oplus H_2^{16} \oplus \dots \oplus H_R^N, \quad (8)$$

where  $\oplus$  denotes the concatenation operator.

The computed feature vector  $\mathcal{H}$  is supplied as input to a random forests (RFR) regression algorithm to predict the quality of underwater images. We chose RFR because in previous studies it has shown robust performance values [10] when compared to other machine learning algorithms (e.g. neural networks, support vector machines, generalized linear models, etc.).

### **Underwater Image Quality databases**

There are many real-world underwater image datasets. One example is the Fish4Knowledge dataset [18], which is used for target detection and recognition. The SUN dataset [41] is used for object detection, the MARIS dataset [27] is used for marine autonomous robotics, and the SEA-thru [2] dataset is used for range maps. However, existing datasets do not provide ground truth or reference images and, therefore, it is often difficult to design image quality metrics for this type of applications. Recently, Sanchez *et al.* proposed the UID-LEIA (Underwater Image Database of Laboratory of Embedded Systems and Integrated circuits Applications) dataset [37]. Figures 5-7 all shows examples of underwater images from the UID-LEIA dataset. Figure 7 shows a sample of the 45 reference images and 135 distorted underwater images contained in this dataset. Besides the images, UID-LEIA also contains subjective quality scores for all these images, which makes it possible to use this dataset in the design of underwater IQA methods.

## **Experimental Setup and Results**

In this work, we use the UID-LEIA database for training and testing the proposed underwater IQA metric. We used the Spearman's Rank Order Correlation Coefficient (SROCC), Pearson's Linear Correlation Coefficient (PLCC), the Kendall Rank Correlation (KRCC), and Root Mean Square Error (RMSE) as performance metrics. We compare the proposed methods with the following publicly available underwater IQA methods: the Underwater Colour Image Quality Evaluation (UCIQE) [45] and the Patch-based mean Underwater Image Quality (PUIQ) [43]. Additionally, we also compare our method with traditional NR-IQA methods: CORNIA [32], BRIQUE [1], SSEQ [24], DIIVINE [30], NIQE [29], Choi *et al.* [47], and Balboa *et al.* [5]. The experiments were performed on using a PC with an Intel Core i7-4790 processor at 3.60GHz, running an Ubuntu operating system. From the UID-LEIA dataset, 80% of the content is used for training and 20% is used for testing. This 80-20 training-testing random split is performed 1,000 times and then the mean correlation is computed and reported.

Table 1 illustrates the performance comparison of proposed method with other state-of-the art metrics on the UID-LEIA dataset. Notice that the proposed metric outperforms all other metrics with respect to SROCC, PLCC and RMSE values. The highest KRCC values are obtained by NIQE metric. The values in bold correspond to the best performance, while the results in italics correspond to the second best results. These results show the superiority of the proposed approach in terms of accuracy, monotonicity and consistency.

**Table 1: Performance evaluation of proposed method with different IQA methods on UID-LEIA dataset.**

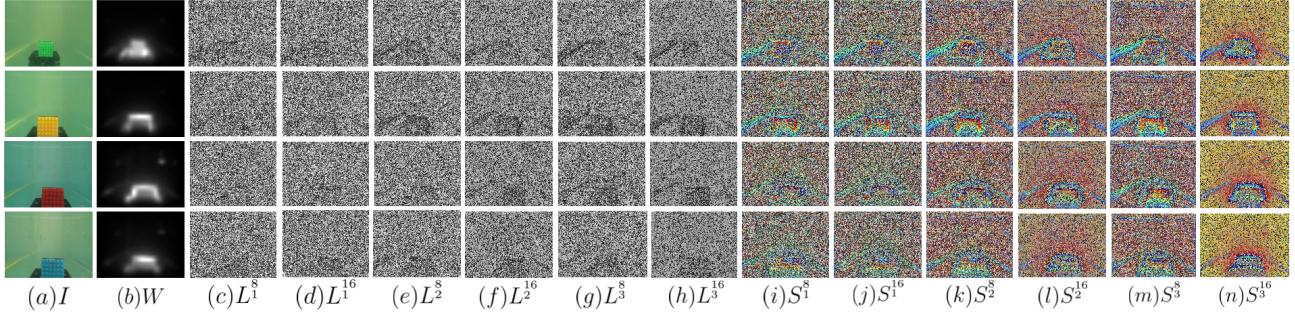
Type	Method	KRCC	PLCC	SROCC	RMSE
NR-IQA Methods	CORNIA	0.6502	0.4549	0.6394	32.1954
	SSEQ	0.0247	0.0129	0.0199	35.7431
	BRISQUE	0.1719	0.0998	0.1688	27.2345
	DIIVINE	0.7038	0.5724	0.6958	25.5244
	NIQE	<b>0.9372</b>	<b>0.7258</b>	<b>0.9357</b>	<i>11.5852</i>
	Choi <i>et al.</i> [47]	0.6900	0.4679	0.6867	31.9258
NR-IQA Methods for Underwater Images	Balboa <i>et al.</i> [5]	0.3958	0.2486	0.4138	35.2584
	UCIQE	<i>0.9005</i>	0.6854	0.8992	16.1584
	PUIQ	0.5968	0.3589	0.6002	33.4852
	<b>Proposed</b>	0.8286	<b>0.9502</b>	<b>0.9475</b>	<b>8.4735</b>

## **Conclusions**

In this work, we have adapted a no-reference multiscale salient local binary pattern method to assess the visual quality of underwater images. Result suggest that the proposed metric is efficient and fast and can be implemented in real-time for different underwater image quality applications. The main contribution of this work is the design of a specific NR-UWIQA method that can be used in underwater enhancement and restoration application. The proposed metric is simple and fast, achieving good and robust results.

## **Acknowledgments**

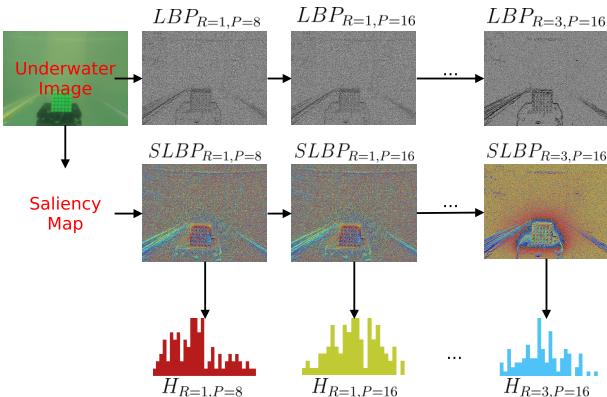
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**Figure 5: Example of underwater images (a), their saliency maps (b), LBP maps (c)-(h), and SLBP maps (i)-(n).**

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**Figure 6: Multiple histogram generation from SLBP.**



**Figure 7: Underwater images from UID-LEIA database**

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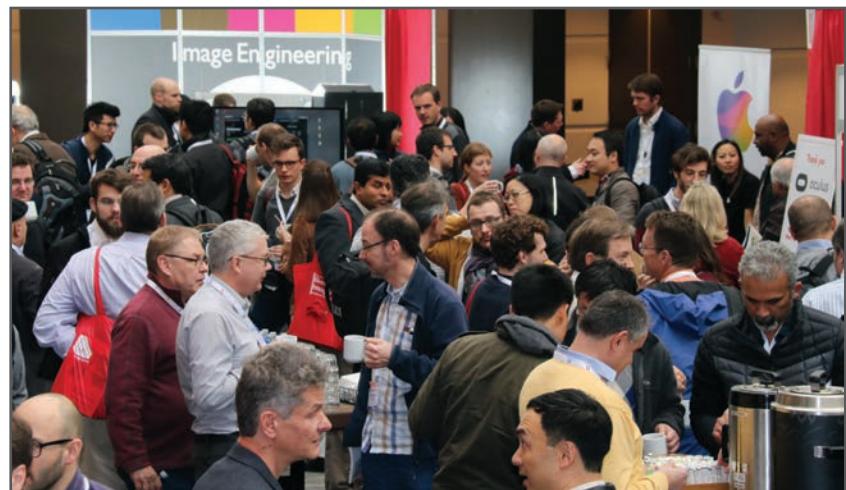
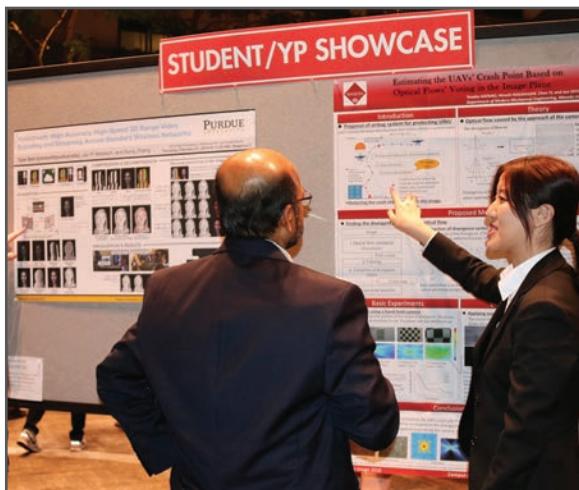
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