

Asx announcement 29th september 2017 for personal use only

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What does ASX listing rules mean? ASX means ASX Limited and the listed market known as ASX, as the case may be. ASX Listing Rules means the listing rules of the ASX as at the date of this undertaking.

What are the ASX listing rules for share purchase plans? ASX Listing Rule 7.2 requires that the issue price under a purchase plan is at least 80% of the volume-weighted average market price for securities in that class, calculated over the last five days on which sales in the securities were recorded, either before the day on which the issue was announced or before the day ...

What are the disclosure obligations under ASX Listing Rules? Listing Rule 3.1 requires listed entities immediately¹ to notify the ASX of any information that a reasonable person would expect to have a material effect on the price or value of its securities once it becomes aware of that information (subject to certain exceptions, including where the information is insufficiently ...

What happens when a stock is delisted from ASX? When a company delists, investors still own their shares. However, they'll no longer be able to sell them on the exchange. Instead, they'll have to do so over the counter (OTC).

What is the 5 year rule for purchase of own shares? The requirements to be met to secure capital treatment on a CPOS are as follows: The seller must be UK resident for tax purposes in the tax year in which the CPOS takes place. The shares must have been held by the seller for at least five years up to the date of the transaction.

What is the rule 3.1 of the ASX listing rules? Under Listing Rule 3.1, an entity must disclose all information concerning it that it becomes aware of from any source and of any character, if a reasonable person would expect the information to have a material effect on the price or value of its securities.

What is the 3 day rule shares? Many investors are often tempted to do so as they see an opportunity to buy at a lower price. However, the 3-day rule advises investors to wait for a full 3 days before buying shares of the stock. This rule clarifies the importance of patience in making best high return investment decisions.

Who enforces ASX listing rules? Enforcement decisions are generally made by the ASX Chief Compliance Officer (CCO) in accordance with the ASX Enforcement and Appeals Rulebook. A participant dissatisfied with an enforcement decision by the CCO can generally appeal that decision to the ASX Appeal Tribunal.

What are the requirements for ASX? Admission criteria Your company must have at least 300 non-affiliated shareholders, each of whom has a holding with a value of at least \$2,000 which is not subject to ASX-imposed or voluntary escrow. You do not need to have the required spread or free float before the listing application is made.

What is the ASX listing rule for AGM? 14.5 An entity which has directors must hold an election of directors at each annual general meeting. Note: This rule applies even where no director is required to stand for re-election at an annual general meeting under rule 14.4.

Do I lose my money if a stock is delisted? Though delisting does not affect your ownership, shares may not hold any value post-delisting. Thus, if any of the stocks that you own get delisted, it is better to sell your shares. You can either exit the market or sell it to the company when it announces buyback.

How do I get my money back from delisted shares? The corporation must honour the delisting price. If the firm has been delisted for more than a year, the shareholder might approach the company and negotiate a private sale of the shares to the promoters. This will be an off-market transaction, with the price agreed upon by the seller and buyer.

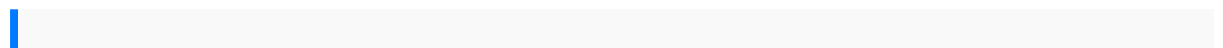
How to get rid of a stock that no longer trades? If the security cannot be sold in the market, it may be possible to dispose of the worthless security by gifting it to another person who can be related or unrelated to you. If you gift the worthless security to a family member, you will need to ensure that the person is not your spouse or minor child.

What does it mean to be listed on the ASX? Companies list on a stock exchange, such as the Australian Securities Exchange (ASX), to raise money by selling shares to investors who then have the chance to make a profit if the company does well.

What is the purpose of the Listing Rules? The purpose of the Listing Principles and the Premium Listing Principles is to ensure that listed companies pay due regard to the fundamental role they play in maintaining market confidence and ensuring fair and orderly markets.

What are the criteria for ASX listed?

What does the ASX listing rule 4.10.3 require a listed entity to do? ASX Listing Rule 4.10.3 requires all ASX listed entities (including foreign entities), to include in their annual report, either a Corporate Governance Statement, or a URL of the page on its web site where a Corporate Governance Statement can be found.



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